

**BOARD OF TRUSTEES MEETING SCHEDULE  
WEDNESDAY, JUNE 3, 2020**

Livestream will be available via: <https://trustees.osu.edu/>

8:00am-10:00am **Wexner Medical Center Board**

10:00-10:30am **Break**

10:30-11:00am **Full-Board Public Session**

11:00am – 1:30pm **Full-Board Executive Session – Committees**

- Talent, Compensation & Governance
- Academic Affairs, Student Life & Research
- Master Planning & Facilities
- Advancement
- Audit, Compliance & Finance

1:30-1:45pm **Break**

1:45-2:45pm **Full-Board Executive Session**

2:45-3:00pm **Break**

3:00-3:15pm **Full-Board Public Session – Recognition of President Michael V. Drake**

3:15-5:20pm **Full-Board Public Session – Committees**

- Talent, Compensation & Governance
- Academic Affairs, Student Life & Research
- Master Planning & Facilities
- Advancement
- Audit, Compliance & Finance

5:20-5:30pm **Break**

5:30-5:45pm **Full-Board Public Session – Standard Business**

- Approval of February 2020 and April 2020 Meeting Minutes
- President's Report
- Consent Agenda

**WEDNESDAY, JUNE 3, 2020**  
**TALENT, COMPENSATION & GOVERNANCE COMMITTEE MEETING**

Hiroyuki Fujita  
Lewis Von Thae  
Alex Shumate  
Erin P. Hoeflinger  
John W. Zeiger  
Elizabeth P. Kessler  
Jeff M.S. Kaplan  
Janice M. Bonsu  
Gary Heminger (*ex officio*)

Location: Public session available via WOSU livestream

Time: 11:00-11:30am (Executive)  
3:15-3:35pm (Public)

**Executive Session**

11:00- 11:30am

**Public Session**

*ITEMS FOR DISCUSSION*

1. *Key Critical Searches – Susan Basso*

3:15-3:20pm

*ITEMS FOR ACTION*

2. Personnel Actions – Susan Basso
3. Amendment to The Ohio State University Board Bylaws – Susan Basso
4. Ratification of Committee Appointments 2020-2021 – Dr. Hiroyuki Fujita

3:20-3:25pm

3:25-3:30pm

3:30-3:35pm

*Written Report (Background Only – Public) – Human Resources Summary*

**PERSONNEL ACTIONS**

BE IT RESOLVED, That the Board of Trustees hereby approves the personnel actions as recorded in the personnel budget records of the university since the February 27, 2020, meeting of the board, including the following appointments and contract updates:

Appointment

Name: Vishnu Srinivasan  
Title: Vice President & Chief Investment Officer  
Unit: Office of Business and Finance  
Term: May 18, 2020 – April 30, 2025

**AMENDMENT TO THE BYLAWS OF  
THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES**

Synopsis: Approval of the attached amendment to the *Bylaws of The Ohio State University Board of Trustees* is proposed.

WHEREAS the Board of Trustees, pursuant to rule 3335-1-09(D) of the Administrative Code, is authorized to adopt and amend bylaws on its own initiative by majority vote of the entire Board of Trustees at a regular meeting after having provided notice that such action is under consideration; and

WHEREAS the proposed changes to rule 3335-1-08 in the Bylaws of the Board of Trustees have been noticed for consideration at the June 3, 2020, regular meeting of the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the attached amendment to the *Bylaws of The Ohio State University Board of Trustees*.



## **PROPOSED BOARD RULE**

*Notwithstanding any rule of the university to the contrary, the president or his or her designee, may adopt, amend, administer, and terminate as appropriate a policy regarding furloughs for faculty, staff, and/or other employees. Any action to implement furloughs that apply to faculty, staff, and/or other employees throughout the university must be presented to the Board of Trustees for its consideration and approval prior to its implementation. This policy shall apply to and may be used in circumstances that are separate and apart from university-wide financial exigency as set forth in rules 3335-5-02.1 through 3335-5-02.3 of the Administrative Code.*

**RATIFICATION OF COMMITTEE APPOINTMENTS 2020-2021**

BE IT RESOLVED, That the Board of Trustees hereby approves that the ratification of committee appointments for 2020-2021 are as follows:

**Academic Affairs, Student Life & Research:**

Brent R. Porteus, Chair  
Alex Shumate  
Cheryl L. Krueger  
Abigail S. Wexner  
Hiroyuki Fujita  
Alan A. Stockmeister  
Elizabeth P. Kessler  
Jeff M.S. Kaplan  
Anand Shah  
Susan Olesik (faculty member)  
Gary R. Heminger (ex officio)

**Advancement:**

Erin P. Hoeflinger, Chair  
Alan A. Stockmeister, Vice Chair  
Alex Shumate  
Cheryl L. Krueger  
Abigail S. Wexner  
Janice M. Bonsu  
Craig S. Bahner  
Thomas M. Murnane  
Catherine Baumgardner (Alumni Assn member)  
Janelle Jordan (Alumni Assn member)  
Alec Wightman (Foundation Board member)  
**ANNE KLAMAR** (Foundation Board member)  
Gary R. Heminger (ex officio)

**Audit, Compliance & Finance:**

John W. Zeiger, Chair  
Timothy P. Smucker  
Brent R. Porteus  
Erin P. Hoeflinger  
Alexander R. Fischer  
Hiroyuki Fujita  
Elizabeth P. Kessler  
Lewis Von Thaer  
Jeff M.S. Kaplan  
Janice M. Bonsu  
James D. Klingbeil  
Amy Chronis  
Gary R. Heminger (ex officio)

**Talent, Compensation & Governance:**

Hiroyuki Fujita, Chair  
Lewis Von Thaer, Vice Chair  
Alex Shumate  
Erin P. Hoeflinger  
John W. Zeiger  
Elizabeth P. Kessler  
Jeff M.S. Kaplan  
Janice M. Bonsu  
Gary R. Heminger (ex officio)

**Master Planning & Facilities:**

Alexander R. Fischer, Chair  
James D. Klingbeil, Vice Chair  
Timothy P. Smucker  
Brent R. Porteus  
Anand Shah  
Robert H. Schottenstein  
Gary R. Heminger (ex officio)

**RATIFICATION OF COMMITTEE APPOINTMENTS 2020-2021 (cont'd)**

**Wexner Medical Center:**

Leslie H. Wexner, Chair  
Abigail S. Wexner  
Cheryl L. Krueger  
Hiroyuki Fujita  
John W. Zeiger  
Stephen D. Steinour  
Robert H. Schottenstein  
W.G. Jurgensen  
Cindy Hilsheimer  
Gary R. Heminger (ex officio, voting)  
Michael V. Drake (ex officio, voting)  
Harold L. Paz (ex officio, voting)  
Bruce A. McPheron (ex officio, voting)  
Michael Papadakis (ex officio, voting)

# Human Resources Summary Report

Talent, Compensation and Governance Committee

June 2020

The Ohio State University Human Resources prepares this summary report as an overview of key activities and updates specific to the HR Strategic Plan and related HR Transformation initiatives that are currently underway. The strategic priority areas of focus in the HR Strategic Plan include:

- HR Excellence
- Talent Management
- Total Rewards

Each of these pillars are supported by the core foundational elements that are key to the implementation of the plan, which are:

- Change Management
- Communication
- Compliance/Management of Risk
- Culture
- Diversity & Inclusion

For June 2020, the Talent, Compensation and Governance Committee HR summary report will also include key HR activities that contributed to the university's response to COVID-19.

As we continue to strive to operate as one HR discipline university-wide, the content outlined encompasses HR efforts across all campus locations, inclusive of the Wexner Medical Center. Additionally, data and metrics with current analytics, specific to the workforce demographics of the university have been recently refreshed.

I appreciate your continued support of our transformative HR journey.

On behalf of the HR Leadership Team,

Susan Basso  
SVP, Talent, Culture & Human Resources



# HR Transformation at Ohio State

In alignment with the 2018-2023 HR Strategic Plan  
focused on three strategic pillars, and a strong foundational culture



## **Talent Management**

Recruit, retain and develop the most talented and high-quality faculty, staff and physicians.

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## **Talent & Culture Strategy**

Ohio State's aspiration as an employer is to create an exceptional employee experience and be an employer of choice. A foundational element to achieve this goal is to cultivate a talent and culture framework that influences employee inspiration, student success and global solutions. We must also promote a respectful and collaborative workplace culture consistent with university-wide values. This is a vision that is consistent across all five pillars of the university's Time and Change Strategic Plan.

To achieve this vision, we are developing a comprehensive 5-year strategic plan for talent and culture that will encompass the entire university. The Talent and Culture Strategy will utilize Employer of Choice dimensions to define our key strategic initiatives.

Each of five identified workgroups has been sourced with a leader and a team (Innovative Workplace Practices, Inclusive Work Environment, Leadership Development, Performance & Growth, Recruiting and Onboarding). The workgroups have identified best practices, goals, metrics and initiatives.

In addition, a group of executive leaders collectively developed Ohio State's Talent and Culture Aspiration Statement to further guide the strategy. As a result of this work, the core team has developed the first draft of the Ohio State Talent and Culture strategy. The drafted strategy will be reviewed and modified by the core team and then reviewed with the Steering Committee during the summer.

### Completed Milestones:

- Workgroups meetings completed
- Aspiration Statement developed
- First draft of the Talent and Culture strategy developed

### Upcoming Milestones:

- Core Team revisions to Talent and Culture Strategy – May/early June
- Steering Committee review of Talent and Culture Strategy July



## HR Excellence

Improve the employee experience through transformed HR core processes that deliver strategic HR services and transactional accuracy and efficiency.

### Workday

Ohio State extended its Workday go-live timeline by six months due to the impact of the coronavirus outbreak on the university community. The timeline extension emphasized the need for Ohio State faculty and staff to focus on critical patient care, fully online teaching and other COVID-19 response priorities, and not on Workday testing and training activities.

Workday HR and Payroll will go live on January 3, 2021, and Workday Finance and Supply Chain will go live on January 7, 2021. To ensure they can support employees at go live, HR shared services teams will have access to Workday HR and Payroll on December 21, 2020.

Enterprise Project leaders revised the Workday implementation plan to accommodate these new deployment dates. All Workday testing will conclude by May 31, with additional catch-up time planned through June for Wexner Medical Center stakeholders who could not participate in Workday testing due to COVID-19 support. Workday training will begin in May 2020 with initial job aids and videos for employees and managers, with instructor-led training beginning in September 2020.

Human Resources policies that will be revised as part of the Enterprise Project’s business process transformations will take effect on January 3, 2021.

An overview of the Human Resources Testing as of 5/7/2020 is summarized below:

Human Resources Test Phase Execution Summary	E2E Cycle 1 - Prim Comp Execution Summary @ Test Case Level					
	Metrics to Date (through 5/7/20)					
	Planned through 5.29.20	Executed	Passed	% of Executed vs. Planned To Date	% of Passed vs. Executed	% of Passed vs. Planned To Date
	HR Academics	89	89	88	100%	99%
HR Benefits	1,037	1,014	994	98%	98%	96%
HR Compensation	388	367	358	95%	98%	92%
HR Core	542	527	513	97%	97%	95%
HR Recruiting	637	637	631	100%	99%	99%
HR NEP/Affiliates	194	69	53	36%	77%	27%
<b>Grand Total</b>	<b>2,887</b>	<b>2,703</b>	<b>2,637</b>	<b>94%</b>	<b>98%</b>	<b>91%</b>

Additionally, an overview of Payroll testing to date through 5/07/2020 is outlined below:

Payroll Test Phase Execution Summary	E2E Prim Comp Execution Summary @ Test Case Level					
	Metrics to Date (through 5/7/20)					
	Planned through 5.29.20	Executed	Passed	% of Executed vs. Planned To Date	% of Passed vs. Executed	% of Passed vs. Planned To Date
	PAY Accounting and Commitments	325	320	311	98%	97%
PAY Core	863	846	827	98%	98%	96%
PAY Time Tracking and Absence	618	618	612	100%	99%	99%
<b>Grand Total</b>	<b>1,806</b>	<b>1,784</b>	<b>1,750</b>	<b>99%</b>	<b>98%</b>	<b>97%</b>

## HR Service Delivery

The transition timeline to a new HR and payroll operating model has been modified and will be complete prior to the Workday go-live dates. All HR and payroll professionals will transition to their new roles before Workday HR and Payroll goes live on January 3, 2021. Due to COVID-19 response priorities, HR and payroll professionals will transition to new roles on a timeline based on current state processes and operational needs. Maintaining operations and minimizing disruptions will be factors that decide transition dates for employees.

HR Business Partners will work closely with OHR leaders, payroll leaders and the Enterprise Project team to develop individual transition plans and timelines for their HR and payroll professionals. Additionally, we will continue monitoring the COVID matter very carefully and make thoughtful transition decisions as the situation warrants.



## Total Rewards

Ensure competitive Total Rewards while balancing fiscal responsibility.

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### Career Roadmap

Career Roadmap, a job family model and compensation structure, will modernize compensation and talent practices to help attract and retain the Ohio State workforce of the future. When live in Workday, Career Roadmap will include new tools for career path visibility, consistent career levels and market-informed pay decisions. Career Roadmap also supports the operational excellence and resource stewardship goals in the university's strategic plan.

In alignment with extending the initial Enterprise Project implementation dates by six months, we are extending the Career Roadmap implementation dates by six months. We expect Career Roadmap to launch in workday in summer 2021.

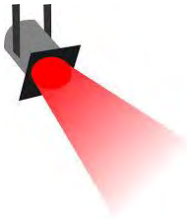
The Career Roadmap team has worked to adjust project timelines to meet the summer 2021 launch.

- During summer 2020, HR partners across the university and medical center will be re-engaged to complete unfinished functional alignment work that was interrupted due to the COVID-19 crisis. We will also prepare the HR community to roll out a new salary structure.
- Supervisors will be trained in the fall on the new salary ranges and work with their unit HR partners to confirm mapping that falls outside of salary ranges.
- We will pause for the December 2020 launch of Workday, followed by a three-month employee review period in spring 2021.

Recommendations from the Title Advisory Group are being applied to the project mapping tool. Per advisory group recommendations, most staff will still have two titles after Career Roadmap implementation: a job classification title and a working title. The recommendations are for moderate flexibility on working titles, with the intent that, wherever possible, positions will have the same job classification and working title.

When Career Roadmap is implemented in mid-2021, it will provide new tools and processes to help Ohio State better understand its payroll investment and ensure competitive, market-based compensation.





## HR Spotlight: COVID-19 Response

COVID-19 response requirements shifted the focus of HR staff and operations over the last three months. Related COVID-19 HR activities and contributions across the enterprise include, but are not limited to:

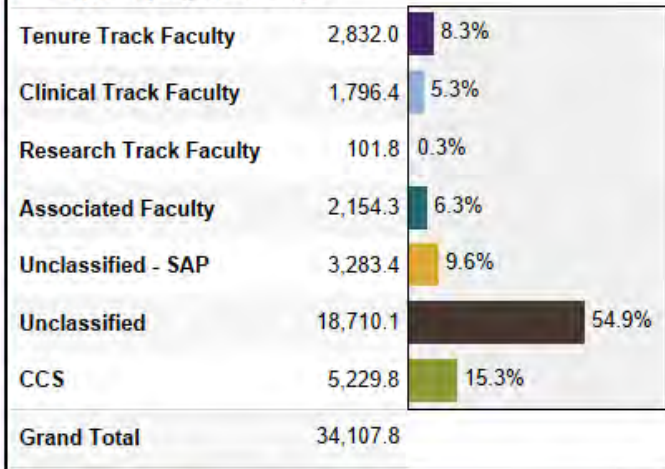
- Developed Disaster Leave and State of Emergency process and recommendation.
- Created a process overview document outlining appropriate steps needed for COVID-19 diagnosis and exposure for employees and HR Business Partners.
- Created a “critical staff” template letter to be used by staff to show proof of critical status.
- Developed recommendation for how to handle notifying employees of possible exposure that the Department of Health does not notify.
- Collaborated with Legal Affairs/Payroll on new federal legislation specific to FMLA and leave.
- Developing a process for employees to assess their wellness before their shift each day.
- Developed a new wellness resource page, Keep Well, for online access to resources.
- Developed a compensation and hiring pause strategy and guidance for the university, to include monitoring and a process for exceptions during the hiring pause for critical hires.
- Developed protocols to operate the Child Care Program under pandemic emergency license.
- Developed virtual onboarding checklist for managers and employees.
- Leave Option resources made available on the HR COVID-19 website.
- Single point of contact for campus with local public health agencies, monitor cases and facilitate communication.
- Talent share/redeployment process.
- Continued monitoring of exception hiring pause process.
- Developed online wellness resource page for employees and their families
- Developed a 5-week webinar series, LeaderConnection, hosted by a different university leader each week to share their insight on leading with agility and grace through uncertainty and unplanned change.
- Virtual Resources developed to help managers move from “face to face” leadership to a virtual Environment.
- University Transition planning effort on post-pandemic return.

# Quarterly Summary by All Employees

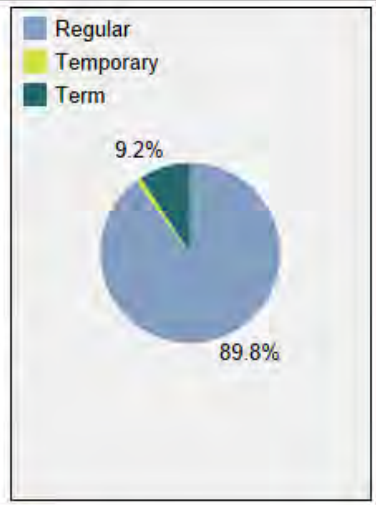
## All Employees FTE for Total University, March 2020

(\*Excludes students, intermittent employees and unpaid employees)

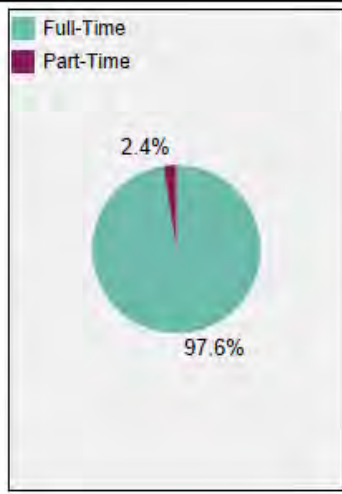
### FTE by Employee Group



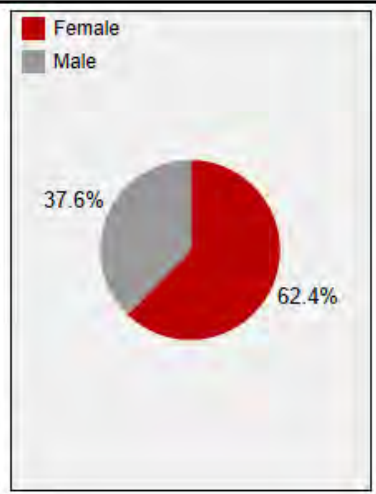
### FTE by Reg/Temp/Term



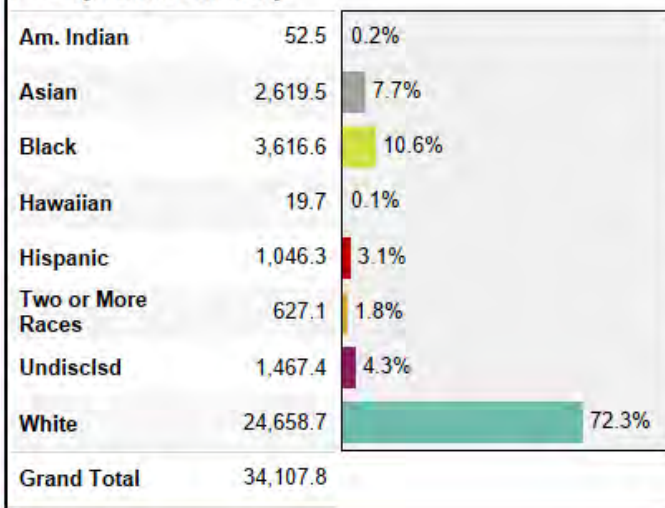
### FTE by Full-Time



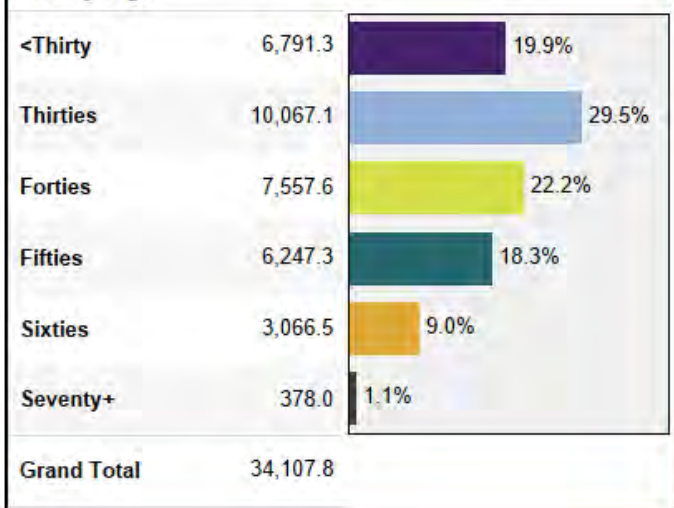
### FTE by Gender



### FTE by Race/Ethnicity



### FTE by Age

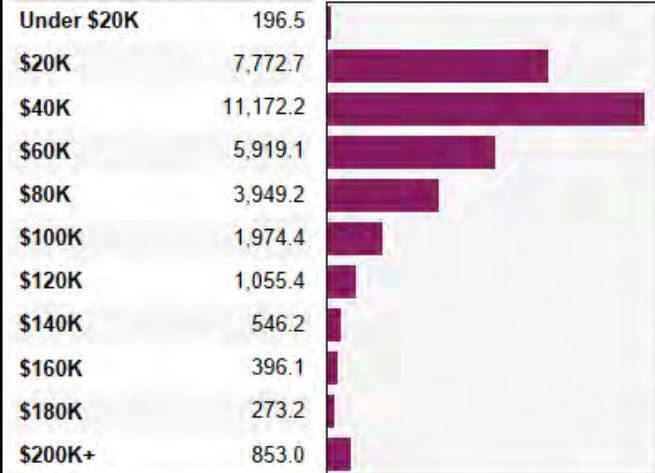


# Quarterly Summary by All Employees

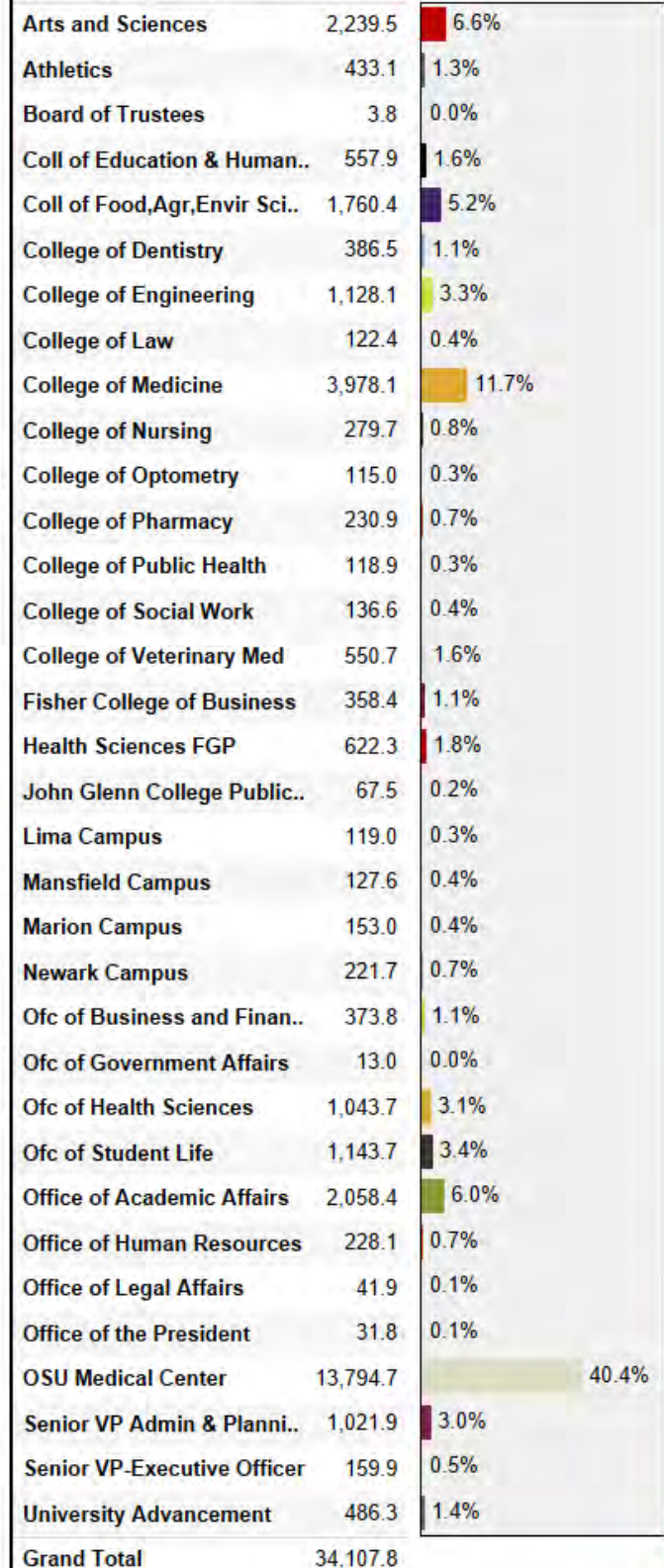
## All Employees FTE for Total University, March 2020

(\*Excludes students, intermittent employees and unpaid employees)

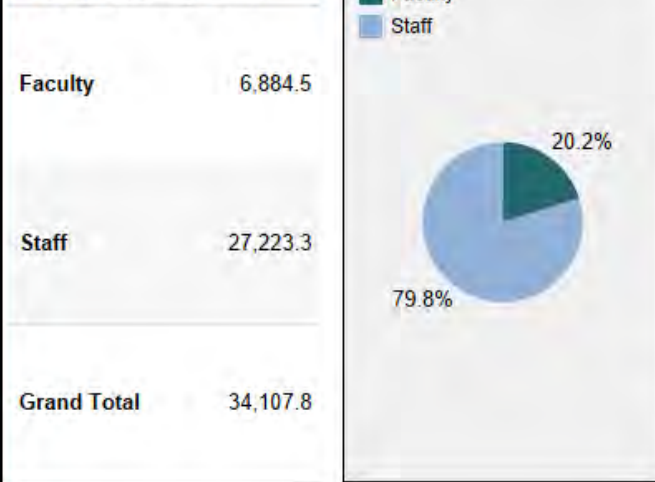
### FTE by Pay Band



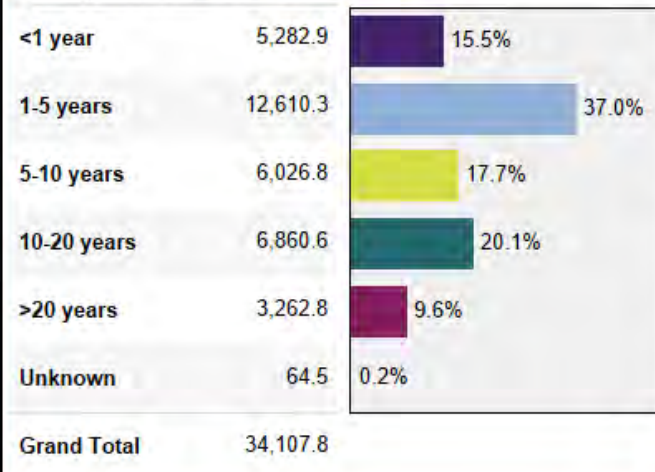
### FTE by VP College



### FTE by Faculty/Staff



### FTE by Years of Service



**WEDNESDAY, JUNE 3, 2020**  
**ACADEMIC AFFAIRS, STUDENT LIFE & RESEARCH COMMITTEE MEETING**

Brent R. Porteus  
Alex Shumate  
Cheryl Krueger  
Abigail S. Wexner  
Hiroyuki Fujita  
Alan A. Stockmeister  
Elizabeth P. Kessler  
Jeff M.S. Kaplan  
Anand Shah  
Susan Olesik  
Gary R. Heminger (*ex officio*)

Location: Public session available via WOSU livestream

Time: 11:30-11:40am (Executive)  
3:35-4:00pm (Public)

**Executive Session**

11:30-11:40am

**Public Session**

*ITEMS FOR DISCUSSION*

1. *Provost's Report – Dr. Bruce McPheron*

3:35-3:50pm

*ITEMS FOR ACTION*

3:50-4:00pm

2. Name Changes for the Department of Family Medicine and Graduate Program in Comparative and Veterinary Medicine – Dr. Bruce McPheron
3. Amend the Cap on Clinical Faculty in the College of Veterinary Medicine – Dr. Bruce McPheron
4. Amendments to the *Rules of the University Faculty* – Dr. Bruce McPheron
5. Faculty Personnel Actions – Dr. Bruce McPheron
6. Honorary Degrees – Dr. Bruce McPheron
7. Degrees and Certificates – Dr. Bruce McPheron
8. Written Reports (Background Only)
- a. Academic Lessons from Spring 2020
  - b. Student Life's Response to the COVID-19 Pandemic
  - c. From Support to Recovery: Three Phases of Response for University Research
  - d. Academic Affairs, Student Life & Research Scorecard



**NAME CHANGES OF THE DEPARTMENT OF FAMILY MEDICINE  
AND PROGRAM IN COMPARATIVE AND VETERINARY MEDICINE**

Synopsis: Approval to change the names of the Department of Family Medicine to the Department of Family and Community Medicine (College of Medicine), and the program in Comparative and Veterinary Medicine to Comparative Biomedical Sciences (College of Veterinary Medicine), is proposed.

WHEREAS academic departments and programs can seek to revise their names to reflect changes in their disciplines, to take into consideration the evolving interests of students, and to compare well with peer institutions; and

WHEREAS the University Senate on February 20, 2020, voted to recommend to the Board of Trustees that the university change the names of the Department of Family Medicine in the College of Medicine and the program in Comparative and Veterinary Medicine in the College of Veterinary Medicine:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves changing the names of:

- The Department of Family Medicine to the Department of Family and Community Medicine in the College of Medicine; and
- The program in Comparative and Veterinary Medicine to Comparative Biomedical Sciences in the College of Veterinary Medicine.

**The Ohio State University Board of Trustees**  
**June 3, 2020**

**Topic:**

Academic name changes

**Context:**

On February 20, 2020, the University Senate voted to recommend two academic name changes to the Board of Trustees:

**1. Change the name of the Department of Family Medicine to the Department of Family and Community Medicine in the College of Medicine.**

- The addition of “community” to the department name better reflects its commitment to community engagement and empowerment, population health and health equity.
- The new name aligns with the field’s prioritizing of health and wellness in communities.
- The name aligns with values shared with partners in Public Health, Social Work, Nursing, Population Health and Preventive Medicine.
- The name Family and Community Medicine is commonly used in large Family Medicine departments.

**2. Change the name of the program in Comparative and Veterinary Medicine to Comparative Biomedical Sciences in the College of Veterinary Medicine.**

- The new name aligns with the college’s research priorities to recruit the best and brightest candidates.
- The name change is in line with the names used by peer institutions with a single veterinary college graduate program.
- The college consulted Ohio State’s other health science colleges: the College of Medicine, the College of Public Health, the College of Nursing, the College of Pharmacy, the College of Optometry and the College of Dentistry.
- Comparative Biomedical Sciences reflects the diversity of the college’s research and the fact that 70% of extramural research funding and graduate student training opportunities are beyond the scope of veterinary medicine.

**AMEND THE CAP ON CLINICAL FACULTY  
IN THE COLLEGE OF VETERINARY MEDICINE**

Synopsis: Amending the cap on clinical faculty in the College of Veterinary Medicine from 40% to 65% is proposed.

WHEREAS Faculty Rule 3335-7-03 establishes that clinical/teaching/practice faculty may comprise no more than 40% of the total tenure-track, clinical/teaching/practice and research faculty in each of the colleges of the health sciences, unless an exception is approved by the University Senate and the Board of Trustees; and

WHEREAS the College of Veterinary Medicine has requested an exception that would account for increased enrollment, increased caseloads and the shift of some tenure-track faculty on the Wooster campus to the College of Food, Agriculture, and Environmental Science; and

WHEREAS both tenure and clinical faculty are required by the college's accrediting body, the American Veterinary Medical Association (AVMA), which is mandating that veterinary schools increase their clinical skills training in line with contemporary evidence-based research on best pedagogical practices; and

WHEREAS representatives of the College of Veterinary Medicine have indicated that they are not using clinical appointments to replace tenure-track faculty, who remain central to their mission; and

WHEREAS the University Senate on March 26, 2020, approved a proposal to allow clinical faculty to comprise up to 65% of College of Veterinary Medicine's total faculty:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves amending the cap on clinical faculty in the College of Veterinary Medicine from 40% to 65%.

# Proposal to Amend the Cap on Clinical Faculty in the College of Veterinary Medicine

September 26, 2019 (Revised January 30, 2019)

## Development of the Proposal

This proposal was developed by the chairs of the Departments of Veterinary Biosciences, Veterinary Clinical Sciences, and Veterinary Preventive Medicine in spring 2019. It was discussed and approved by the Dean's cabinet on March 21, 2019 and by CVM Faculty Council on April 12, 2019. It was presented at a CVM whole faculty meeting on August 21, 2019. Feedback from faculty was incorporated into the current proposal, which was submitted to the Office of Academic Affairs at the end of September.

## Summary of the Proposal

The purpose of this proposal is to request an amendment of the appointment cap for Clinical Faculty (Rule 3335-7-03 of the Administrative Code) in the College of Veterinary Medicine (CVM). Per Rule [3335-7-03](#), Clinical Faculty in the College of Veterinary Medicine may comprise no more than forty percent (40%) of the total Tenure Track, Clinical, and Research Faculty (as defined in Rule 3335-5-19 of the Administrative Code). Associated and research practice faculty are not included in this calculation. However, due to organizational changes, tenure track faculty positions will be moving their tenure-initiating unit in the CVM's Department of Veterinary Preventive Medicine in the Food Animal Health Research Program (FAHRP) at Wooster to the College of Food, Agriculture and Environmental Sciences. This resulted in a shift of the percentage of tenure track and clinical faculty. Another driving force behind the need for an increase in clinical faculty positions is the one-time increase in veterinary student numbers (22 per class or 88 additional per year). The expected increasing teaching load and the continuing increase in our patient population, necessitates additional faculty devoted to clinical service and teaching. To meet this need, this proposal requests an increase in the CVM clinical cap from forty (40%) to a maximum of sixty-five (65%).

## CVM Faculty Appointment Tracks

The faculty appointment tracks in the CVM are:

- Tenure Track faculty: Instructor/Assistant/Associate/Professor
- Clinical faculty: Instructor/Assistant/Associate/Professor - Clinical
- Research faculty: Research Assistant/Associate/Professor
- Practice faculty: Clinical Instructor/Assistant/Associate/Professor of Practice



## **Background and Rationale:**

As of September 1, 2019, excluding the Associated Faculty, the CVM has a total of 127 faculty appointed across Clinical and Tenure tracks. We have 60 clinical faculty and 67 tenure track faculty. No faculty have been appointed to the Research track.

Historically, the effort of faculty in CVM has focused on either teaching/clinical service or research. At times, this distinction caused issues with the promotion of teaching/clinical service intensive faculty as the promotion and tenure documents were based on metrics for research intensive faculty. Clinical faculty lines were introduced in 1994 by the Department of Veterinary Clinical Sciences, and has since been adopted by the Departments of Veterinary Preventive Medicine and Veterinary Biosciences. Subsequently, the AP&T documents were amended to clearly reflect expectations for both clinical faculty (teaching and clinical service intensive) and tenure track (research intensive) faculty. In consequence, the more realistically defined expectations improved the evaluation and promotion process. In addition, the recruitment of faculty with a primary interest in clinical teaching and service has been improved (as there is not expectation of extramural funding). Clinical and tenure track faculty are treated similarly in the CVM, as allowed under OSU Faculty Rules. The college voted in 2016 to allow clinical faculty to be elected to the OSU senate. In addition, they were awarded all governance rights with the restriction of participation in the promotion and tenure review of tenured faculty, as mandated by OSU Faculty Rules. Clinical faculty are hired on 3 or 5 year contracts with the expectation of teaching in the clinical practice-focused academic programs, conducting clinically-relevant evidence-based practice, providing leadership in clinical practice, and engaging in relevant service to the CVM, OSU, profession, and community. Although clinical positions are fixed-term positions, they are based on permanent salary lines and are typically renewed as they come up for renewal. All clinical faculty have a doctoral degree. They also should have obtained a specialty board-certification or achieve a specialty board certification, by an AVMA approved professional veterinary specialty college, in their area of expertise.

**Organizational Change in the College of Food, Agriculture and Environmental Sciences.** The Food Animal Health Research Program at the Ohio Agricultural Research and Development Center (OARDC) in Wooster, Ohio, consists of 9 tenure (track) faculty who were faculty members in the Department of Preventive Medicine, CVM. Due to organizational changes these faculty are now members of the College of Food, Agriculture and Environmental Sciences. In consequence, the percentage of clinical faculty in CVM has increased (by 5% and tenure track decreased by 5%).

**Increased case load in the Veterinary Medical Center.** In 2009, the various clinical entities of CVM were restructured into the Veterinary Medical Center with a small animal hospital, a large animal hospital and an equine hospital. In addition, satellite facilities in Marysville and Dublin serve large and small animal clients, respectively. In 2015, a \$35 million renovation of the Veterinary Medical Center was completed. As a consequence of the restructuring and renovation of the Veterinary Medical Center, the case load has

grown consistently. Over the last five years, the case load has increase from FY2014 with 31,468 cases to FY2018 with 43,406 cases.

FY 2014	FY 2015	FY 2016	FY2017	FY 2018	FY 2019
31,468	33,964	35,613	38,723	43,406	44,876
					(projected)

Table. Increase in case load in the Veterinary Medical Center from fiscal year (FY) 201418.

**Increase in student numbers.** The student body was increased five years ago from 140 to 164 students resulting in an increased need for clinical service and teaching in the CVM. Whereas in the preclinical curriculum, adjustment in the number of teaching faculty was relatively small, additional faculty were needed to teach clinical skills and practice, which is typically done in small groups. In addition, the accrediting body for veterinary colleges, the American Veterinary Medical Association (AVMA), has mandated that all veterinary colleges increase their clinical skills training, in the preclinical years as well as track the development of clinical competencies (<https://www.avma.org/ProfessionalDevelopment/Education/Accreditation/Pages/default.aspx>). The CVM obtained funding and built a new Veterinary Clinical and Professional Skills Laboratory. This added resources has allowed for recruiting clinical faculty to teach community/general practice and clinical skills.

In aggregate, these changes have led to an increase in the number of clinical faculty hired but added clinical faculty are needed, to meet added clinical teaching and service needs of the CVM.

In contrast to clinical faculty, tenure track faculty in the CVM are expected to engage in a significant research effort with a comparatively reduced teaching and service load. The college is committed to support its research enterprise and has recruited three tenure track faculty in 2018, with two more tenure track positions to be filled pending successful contract negotiations.

Both tenure and clinical faculty are required by our accrediting body and essential to the current and future growth of the research, teaching, service, and clinical practice missions of the CVM.

As required by the Rules, the CVM intends to remain fully consistent in its Pattern of Administration (POA) with all current Faculty Rules and in keeping with the commitment of the CVM to fully observing the Faculty Rules that protect the integrity of the tenure system and academic governance process. The proposed amendments to the clinical cap are only for capacity adjustment to increase the number of clinical faculty and would not necessitate changes to the existing Pattern of Administration in the CVM beyond the proposed changes in the percentages of Tenure Track and Clinical faculty. See Table 1 for further detail of the CVM faculty.

**Summary:**

The time CVM faculty (clinical track or tenure track) spend on clinical duties in the Veterinary Medical Center/Veterinary Health System, is a combination of clinical practice (patient and client care), consultation, referral services to veterinary practitioners, clinical research in the form of clinical trials and patient recruitment/enrollment. These duties are more heavily distributed to Clinical track faculty which allows them to provide increased clinical teaching of veterinary students and advanced trainees (interns and residents). This request to increase the number of CVM clinical track faculty provides for more clinical service coupled with added opportunities for students to get hands on training. Clinical track faculty also provide a substantial amount of didactic, small group, and laboratory teaching of veterinary students.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY**

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* is proposed.

WHEREAS the University Senate, pursuant to rule 3335-1-09 of the Administrative Code, is authorized to recommend through the president to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes to rules 3335-3, 3335-6-03(D)(1) and 3335-9-11 in the *Rules of the University Faculty* were approved by the University Senate on February 20, 2020, and the proposed changes to rule 3335-5-48.5 in the *Rules of the University Faculty* were approved by the University Senate on March 26, 2020:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the attached amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

**The Ohio State University Board of Trustees**  
**June 3, 2020**

**Context:**

The University Senate has recommended revisions to the *Rules of the University Faculty* to address the following four topics:

**1. Duties of the university administration - 3335-3**

- The current rule is incongruent with the Board of Trustees bylaws on administration.
- Prior to revisions, there are six administrative positions defined in rule 3335-3, so most of the 30+ Ohio State vice president and vice provost positions are not defined by rule.
- This revision removes specific job descriptions and gives the president and provost discretion to define direct reports, consistent with the bylaws of the Board of Trustees.

**2. Exclusion of time from probationary period - 3335-6-03(D)(1)**

- Faculty Rule 3335-6-03(D) outlines the three events that exclude time from the probationary period for tenure-track faculty, commonly known as an extension of the tenure clock.
- The revision specifies that an exclusion due to personal illness or care of a seriously ill or injured person should not require review by a promotion and tenure committee, as it is considered a private matter. Instead, requests shall be made in writing to human resources, which will work with the head of the tenure-initiating unit to determine the necessary documentation.
- Currently, a faculty member needs to submit a written request for exclusion due to personal illness or care of another person within one year. The revised language deletes the one-year provision to provide needed discretion to afflicted faculty.
- Current language dictates that a tenure-track faculty member will have one year excluded from the probationary period for the birth or the adoption of a child under age 6. The revised language deletes any age limit regarding adoptive children.

**3. Faculty pursuing additional degrees - 3335-9-11**

- The current rule prohibits faculty members from pursuing a degree in their own tenure-initiating unit due to conflicts of interest.
- The revision includes associated faculty in the rule, correcting an oversight.

**4. Duties of the Athletic Council - 3335-5-48.5**

- The Athletic Council duties and appointments are corrected to actual practice.
- Contradictions and a flawed timeline for the selection of the Faculty Athletics Representative are removed.
- The Big Ten and ticket price recommendation is corrected to current practice.
- Appointment of the faculty council chair as interim Faculty Athletics Representative is corrected to the athletic council chair.

## Chapter 3335-3 Administration

### ~~3335-3-15 Chief information officer~~ **1 President.**

- (A) The president shall be the chief executive officer of the Ohio State University subject to the control of the board of trustees (BOT). Duties, authority and rights are as specified in the BOT bylaws 3335-1-03(A).

~~Subject to the direction of the executive vice president and provost, the principal duties of the chief information officer shall be the leadership throughout the university with respect to information technology. These duties shall include but not necessarily be limited to: management and oversight of the office of information technology; oversight of strategic visioning and planning with respect to information technology, including planning to leverage technology to better benefit and enhance the academic environment, and the implementation of action plans to advance IT throughout the university; leadership in transitioning the university from decentralized IT governance, infrastructure, and operational environment to a more unified and balanced approach; working collaboratively with all university IT constituencies to develop and implement integrated and appropriately placed standards, policies, and procedures; leadership to ensure that the university has world class security with respect to data, infrastructure, and servers; and other projects and duties that may be assigned from time to time by the executive vice president and provost.~~

(Board approval date: 7/11/2008)

### ~~3335-3-16 University Treasurer.~~

~~Subject to the direction of the~~

- (B) Any responsibility of the president may be delegated to any other member of the faculty or staff of the university, subject to any BOT limitations. The president will retain final authority and responsibility for administration of the university. Delegation of major areas shall be in writing to the BOT before implementation as specified in BOT bylaw 3335-1-03[E].
- (C) The president shall designate a president's planning cabinet. The cabinet shall provide advice and counsel to the president, to discuss, deliberate and serve as the primary decision-making body on major university policies, information sharing, and other roles as the president shall determine, as specified in BOT bylaw 3335-1-03[F].
- (D) **Principal administrative officials** shall include the members of the president's cabinet, the deans of the colleges and the dean and directors of regional campuses and their designated staffs, the dean of the university libraries, chairs of academic departments, directors of schools and academic centers, and such other administrative officials as determined by the president, as specified in BOT bylaw 3335-1-03[G].

### **3335-3-2 Executive vice president and provost.**

- (A) The provost shall be the chief operating officer of the university. Under the direction of the president, the provost is responsible for oversight of all academic programs, instructional affairs and faculty affairs of the university. Duties, authority and rights are as specified in BOT bylaw 3335-1-03(B).
- (B) Any responsibility of the provost may be delegated to any other member of the faculty or staff of the university, subject to any university limitations. The provost will retain final authority and responsibility for operations of the university. Delegation of major areas shall be in writing to the president before implementation.
- (C) The provost shall designate a senior management council. The council shall provide advice to the provost, discuss, deliberate and serve as the primary decision-making body on academic or university policies and other roles as the provost shall determine. The provost shall chair a council of deans as proscribed in rule 3335-3-22.

**3335-3-3 Senior vice president for business and finance** ~~and chief financial officer, the principal duties of the university treasurer shall be as follows:~~

- ~~(A) — Receive such moneys as, in accordance with law, are not to be deposited with the state treasurer;~~
- ~~(B) — Have charge and custody of, and be responsible for all university funds, securities, and other financial instruments of the university, including investment of operating and agency funds in accordance with the investment policy approved by the board of trustees; receive and give receipts for moneys due and payable to the university from any sources whatsoever; deposit all such moneys in the name of the university in such banks, trust companies or other depositories of the university; be responsible for the accuracy of the amounts of and cause to be preserved proper vouchers for all moneys disbursed;~~
- ~~(C) — Keep, or cause to be kept, in the treasurer's office at the university, correct records of the financial affairs of the university, and exhibit such records to any member of the board, the president, or~~ The senior vice president (SVP) for business and finance **and shall be the chief financial officer upon request at such office;**
- ~~(D) — In accordance with section 3335.05 of the Ohio Revised Code, give bond, payable to of the university. Under the statedirection of Ohiothe president, is responsible for the useadministration of the university, in such sum as the board shall determine:~~
  - ~~(1) — For the safe keeping of all university funds,~~
  - ~~(2) — For the payment of same in accordance with the rules of the board, and~~
  - ~~(3) — For the faithful discharge of his or her duties as treasurer.~~

~~Such bond shall be signed by a surety company authorized to douiversity's business in the state of Ohio, and the premium thereon shall be paid by the university. Such bond shall be approved by the attorney general and filed with the secretary of state., financial and administrative operations. Duties, authority and rights are as specified in BOT bylaw 3335-1-03(C).~~

~~Perform such other duties as from time to time may be assigned to him or her by the senior~~**3335-3-4 Vice President.**

A vice president ~~for business~~ shall report to the president or the executive vice president and ~~finance~~ provost and shall be selected in accordance with BOT procedures.

### **3335-3-5 Vice Provost.**

A vice provost shall report to the executive vice president and provost and shall be selected in accordance with university procedures.

### **3335-3-6 Executive Vice President and Chancellor.**

- ~~(E) — The chancellor shall be the chief financial~~ executive officer.

(Board approval date: 7/11/2008)

### **3335-3-18 Director of libraries.**

- ~~(A) — The director of libraries~~ the Wexner Medical Center and shall be appointed by the ~~board of trustees~~ upon nomination of the president. ~~Before making this nomination the president shall confer with the executive vice president and provost who, in turn, shall confer with representatives of the~~

~~faculty of the university libraries and with the council on libraries and information technology.~~

~~(B) The director of libraries shall have the responsibility and authority for administering the university libraries under the jurisdiction of that office and the university archives. In the discharge of library duties the director shall be guided by the policies established by the council on libraries and information technology (see rule 3335-5-48.2 of the Administrative Code). The director shall report to the president through the executive vice president and provost.~~

~~Without limiting the generality of the foregoing, the director shall evaluate continuously the administrative and operating practices of the university libraries under the jurisdiction of that office and the university archives, and lead in the study of methods~~Wexner Medical Center Board of Trustees. ~~Duties, authority and rights are as specified in~~ improving them; recommend appointments, promotions, and dismissals under the rules of the university; and prepare for the approval of the executive vice president and provost's annual recommendations for the budgets for personnel and for archives and library materials. ~~the bylaws of the medical staff.~~

(Board approval dates: 4/4/1997, 12/4/1998, 6/7/2005)

### **3335-3-19 University registrar.**

~~Subject to the direction of the executive vice president and provost, the principal duties of the university registrar shall be the supervision of the details of registration, records, and scheduling which shall include: distribution of registration forms; distribution of approved schedules; fee assessments and adjustments; record of fee payments; maintenance of current semester, summer term, or session schedules; maintenance of home or permanent addresses, name and address of person(s) to be notified in case of emergency, local addresses and the respective telephone numbers of all currently enrolled students; the posting of semesters, summer terms, and sessions of attendance; the posting of all courses and respective marks; recording of all probations and dismissals; recording of withdrawals; recording of all degrees earned and dates; recording of all past names and other demographic data; the distribution of the official student records to include the proper confidentiality safeguards; scheduling of classroom space, classes, and final examinations; offering placement testing, admission testing, test scoring services, a mechanism for student evaluation of teaching, and coordination of the credit by examination programs; other routine duties associated with the registration, records and scheduling of students; and special projects and duties that may be assigned from time to time by the executive vice president and provost.~~

(Board approval dates: 7/12/1991, 4/4/1997, 12/4/1998, 12/4/2001, 5/14/2010)

### **3335-3-20 Vice president for strategic enrollment planning.**

~~Subject to the direction of the executive vice president and provost, the principal duties of the vice president for strategic enrollment planning shall be as follows:~~

~~(A) Direct the administration of admission and financial aid policies duly established by the appropriate faculty bodies.~~

~~(1) Exceptions to such policies shall be made only in accordance with recommendations of the dean or the director of the college, school, or division involved.~~

~~(2) A special student not seeking a degree must be approved for admission by the dean or the director of the college, school, or division concerned.~~

~~(B) Receive, evaluate, and maintain all certificates and transcripts presented by or on behalf of applicants for admission to the university or for credit in any course. Receive, evaluate and maintain all student financial aid applications.~~

~~(C) Issue all official notices of admission to applicants and certify the validity of transfer credits to the~~



~~director of registration and records and to the secretary of the college, school, or division involved. Issue notices of financial aid to students.~~

- ~~(D) — Transfer students upon request from one college, school, or division in the university to another, but only in accordance with policies established by colleges, schools, or divisions involved.~~
- ~~(E) — Conduct the official correspondence of the university on all matters connected with the admission of students, and student financial aid.~~
- ~~(F) — Perform other routine duties associated with the admission process of students.~~
- ~~(G) — Administer all aspects of student financial aid, including scholarships, loans, grants, and work study.~~
- ~~(H) — Engage in special projects or duties as may be assigned from time to time by the executive vice president and provost.~~

~~(Board approval dates: 7/12/1991, 2/4/1993, 4/4/1997, 6/18/2010)~~

### **3335-3-21 3335-3-7 Vice president and director of athletics.**

- (A) The ~~assistant~~senior vice president and director of athletics shall be appointed by and responsible to the president. The athletic council (see rule 3335-5-48.5 ~~of the Administrative Code~~) shall also be consulted in the appointment of the athletic director.
- (B) Under policies established by the athletic council, the vice president and director of athletics shall administer the intercollegiate athletics program subject to the direction of the president ~~and his or her designee~~their designee.
- (C) The athletic physical plant shall be under the concurrent jurisdiction of the department of athletics and the office of physical facilities. Athletic physical plant employees shall be under the jurisdiction of the department of athletics, which in consultation with the office of physical facilities shall be responsible for all normal maintenance and repairs. Major remodeling, renovation, construction, and other capital improvements shall be undertaken only with the prior approval of, and under the direction of, the office of physical facilities.

(Board approval dates: 12/4/1998, 3/5/2003, 7/11/2008, 4/8/2016)

### **3335-3-22 Council of deans.**

- (A) The executive vice president and provost, the deans of the colleges, the executive dean of the college of arts and sciences, the senior vice president for business and finance ~~and chief financial officer~~, the vice president for research, the dean of the graduate school, the deans and directors of the regional campuses, the dean for undergraduate education, and the ~~director~~dean of libraries shall comprise the council of deans of the university. The executive vice president and provost shall be chair of the council.
- (B) The council of deans shall meet on the call of the chair. The council of deans shall serve as an advisory council to the president.

(Board approval dates: 10/5/1973, 2/2/1979, 4/3/1981, 7/9/1982, 3/2/1984, 12/5/1986, 11/2/1990, 5/3/1996, 4/4/1997, 12/4/1998, 10/1/1999, 5/7/2004, 6/7/2005, 2/1/2006, 2/11/2011)

Here is the same chapter 3335-3 with no markup for readability.

## **Chapter 3335-3 Administration**

### **3335-3-1 President.**

- (A) The president shall be the chief executive officer of the Ohio State University subject to the control of the board of trustees (BOT). Duties, authority and rights are as specified in the BOT bylaws 3335-1-03(A).
- (B) Any responsibility of the president may be delegated to any other member of the faculty or staff of the university, subject to any BOT limitations. The president will retain final authority and responsibility for administration of the university. Delegation of major areas shall be in writing to the BOT before implementation as specified in BOT bylaw 3335-1-03[E].
- (C) The president shall designate a president's planning cabinet. The cabinet shall provide advice and counsel to the president, to discuss, deliberate and serve as the primary decision-making body on major university policies, information sharing, and other roles as the president shall determine, as specified in BOT bylaw 3335-1-03[F].
- (D) **Principal administrative officials** shall include the members of the president's cabinet, the deans of the colleges and the dean and directors of regional campuses and their designated staffs, the dean of the university libraries, chairs of academic departments, directors of schools and academic centers, and such other administrative officials as determined by the president, as specified in BOT bylaw 3335-1-03[G].

### **3335-3-2 Executive vice president and provost.**

- (A) The provost shall be the chief operating officer of the university. Under the direction of the president, the provost is responsible for oversight of all academic programs, instructional affairs and faculty affairs of the university. Duties, authority and rights are as specified in BOT bylaw 3335-1-03(B).
- (B) Any responsibility of the provost may be delegated to any other member of the faculty or staff of the university, subject to any university limitations. The provost will retain final authority and responsibility for operations of the university. Delegation of major areas shall be in writing to the president before implementation.
- (C) The provost shall designate a senior management council. The council shall provide advice to the provost, discuss, deliberate and serve as the primary decision-making body on academic or university policies and other roles as the provost shall determine. The provost shall chair a council of deans as proscribed in rule 3335-3-22.

### **3335-3-3 Senior vice president for business and finance.**

The senior vice president (SVP) for business and finance shall be the chief financial officer of the university. Under the direction of the president, is responsible for the administration of the university's business, financial and administrative operations. Duties, authority and rights are as specified in BOT bylaw 3335-1-03(C).

### **3335-3-4 Vice President.**

A vice president shall report to the president or the executive vice president and provost and shall be selected in accordance with BOT procedures.

### **3335-3-5 Vice Provost.**

A vice provost shall report to the executive vice president and provost and shall be selected in accordance with university procedures.

**3335-3-6 Executive Vice President and Chancellor.**

The chancellor shall be the chief executive officer of the Wexner Medical Center and shall be appointed by the Wexner Medical Center Board of Trustees. Duties, authority and rights are as specified in the bylaws of the medical staff.

**3335-3-7 Vice president and director of athletics.**

- (A) The senior vice president and director of athletics shall be appointed by and responsible to the president. The athletic council (see rule 3335-5-48.5) shall also be consulted in the appointment of the athletic director.
- (B) Under policies established by the athletic council, the vice president and director of athletics shall administer the intercollegiate athletics program subject to the direction of the president or their designee.
- (C) The athletic physical plant shall be under the concurrent jurisdiction of the department of athletics and the office of physical facilities. Athletic physical plant employees shall be under the jurisdiction of the department of athletics, which in consultation with the office of physical facilities shall be responsible for all normal maintenance and repairs. Major remodeling, renovation, construction and other capital improvements shall be undertaken only with the prior approval of, and under the direction of, the office of physical facilities.

(Board approval dates: 12/4/1998, 3/5/2003, 7/11/2008, 4/8/2016)

**3335-3-23 Council of deans.**

- (A) The executive vice president and provost, the deans of the colleges, the executive dean of the college of arts and sciences, the senior vice president for business and finance, the vice president for research, the dean of the graduate school, the deans and directors of the regional campuses, the dean for undergraduate education, and the dean of libraries shall comprise the council of deans of the university. The executive vice president and provost shall be chair of the council.
- (B) The council of deans shall meet on the call of the chair. The council of deans shall serve as an advisory council to the president.

(Board approval dates: 10/5/1973, 2/2/1979, 4/3/1981, 7/9/1982, 3/2/1984, 12/5/1986, 11/2/1990, 5/3/1996, 4/4/1997, 12/4/1998, 10/1/1999, 5/7/2004, 6/7/2005, 2/1/2006, 2/11/2011)

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## [D] Exclusion of time from probationary periods.

- (1) There are three circumstances under which probationary tenure-track faculty may obtain an exclusion of time from probationary periods, ~~also known as extending the tenure clock~~. These exclusions ~~are intended to~~ recognize ~~that there are~~ factors that ~~can~~ impact the ability ~~of probationary faculty~~ to meet the criteria for tenure within the probationary period outlined in paragraph (B) of this rule. A request to exclude time from the probationary period for any of the reasons listed in paragraphs (D)(1)(a) to (D)(1)(c) of this rule must be made prior to April first of the year in which the mandatory review for tenure is scheduled ~~to occur~~.
- (a) A probationary tenure-track faculty member will have ~~time~~ **one year** excluded from the probationary period ~~in increments of one year to reflect the caregiving responsibilities associated with~~ for the birth ~~of a child or the~~ adoption of a child ~~under age six~~. ~~The maximum time that can be excluded per birth or adoption is one year~~. This exclusion is guaranteed provided the faculty member informs the ~~head of her/his tenure initiating unit, the dean, or the office of academic affairs-TIU head~~ in writing within one year of the birth or ~~the~~ adoption, unless the exclusion ~~of time~~ is prohibited ~~by~~ in paragraph (D)(3) of this rule, ~~and no later than April first of the mandatory review year~~. It is the responsibility of the ~~unit~~ **TIU** head to inform the college dean and office of academic affairs of the birth or adoption. The probationary faculty member may decline the one-year exclusion by informing ~~her/his unit~~ **the TIU** head in writing before April first of the original mandatory review ~~year~~. ~~It is the responsibility of the unit head to inform the college dean and office of academic affairs of the faculty member's choice to decline the exclusion. The maximum amount of time that can be excluded from the probationary period per birth event or adoption is one year~~.
- (b) A probationary tenure-track faculty member may apply to exclude time from the probationary period in increments of one year because of personal illness, care of a seriously ill or injured person, an unpaid leave of absence, or factors beyond the faculty member's control that hinder the performance of the ~~usual range of~~ duties associated with being a successful ~~university~~ faculty member, i.e., teaching, scholarship, ~~or~~ and service. ~~For faculty members requesting an exclusion due to personal illness or care of a seriously ill or injured person, requests shall be made in writing to human resources, which will work with the TIU head to determine the necessary documentation~~. Requests to exclude time from the probationary period ~~made under the terms of this paragraph due to unpaid leave or factors beyond the faculty member's control~~ must be submitted in writing to the ~~head of the faculty member's tenure initiating unit-TIU head~~ within one year of the ~~illness, care, unpaid leave or other~~ **extenuating** factors. ~~Requests~~ **Such requests** shall be reviewed by the ~~tenure initiating unit~~ **TIU** promotion and tenure committee, which ~~shall~~ **will** advise the ~~head of the tenure initiating unit regarding~~ **TIU head** on the appropriateness of the request. In units that do not have a promotion and tenure committee, the eligible faculty shall review the request. ~~Such~~ **All** requests require approval by the ~~TIU head of the tenure initiating unit~~, dean, and executive vice president and provost. ~~A request to exclude time from the probationary period for any of these reasons must be made prior to April first of the year in which the mandatory review for tenure must occur~~. The extent to which the event leading to the request was beyond the faculty member's control, ~~and~~ **and** the extent to which it interfered with the faculty member's ability to be productive, ~~and the faculty member's accomplishments up to the time of the request~~ will be considered in ~~reviewing the appropriateness of~~ **granting or denying** the request.
- (c) Probationary tenure-track faculty members on less than full-time service for part or all of their probationary period may request an exclusion of time ~~from the probationary period on the basis that they are less than full time~~. Such requests require approval of the ~~tenure initiating unit~~ **TIU head** ~~chair~~, dean, and executive vice president and provost. The exclusion shall be for an ~~integral~~ **integer** number of years based on the principle that the usual probationary period represents full-time service. The maximum ~~permissible~~

exclusion of a probationary period ~~under this paragraph~~ for less than full-time service is one year for a probationary instructor, three years for a probationary assistant professor and two years for a probationary associate professor or professor.

Following is the same as the above with redline removed to enhance readability.

**[D] Exclusion of time from probationary periods.**

- (1) There are three circumstances under which probationary tenure-track faculty may obtain an exclusion of time from probationary periods, also known as extending the tenure clock. These exclusions recognize factors that impact the ability to meet the criteria for tenure within the probationary period outlined in paragraph (B) of this rule. A request to exclude time from the probationary period for any of the reasons listed in paragraphs (D)(1)(a) to (D)(1)(c) of this rule must be made prior to April first of the year in which the mandatory review for tenure is scheduled.
  - (a) A probationary tenure-track faculty member will have one year excluded from the probationary period for the birth or the adoption of a child. The maximum time that can be excluded per birth or adoption is one year. This exclusion is guaranteed provided the faculty member informs the TIU head in writing within one year of the birth or adoption, unless the exclusion is prohibited in paragraph (D)(3) of this rule. It is the responsibility of the TIU head to inform the college dean and office of academic affairs of the birth or adoption. The probationary faculty member may decline the one-year exclusion by informing the TIU head in writing before April first of the original mandatory review year.
  - (b) A probationary tenure-track faculty member may apply to exclude time from the probationary period in increments of one year because of personal illness, care of a seriously ill or injured person, an unpaid leave of absence, or factors beyond the faculty member's control that hinder the performance of the duties associated with being a successful faculty member, i.e., teaching, scholarship, and service. For faculty members requesting an exclusion due to personal illness or care of a seriously ill or injured person, requests shall be made in writing to human resources which will work with the TIU head to determine the necessary documentation. Requests to exclude time from the probationary period due to unpaid leave or factors beyond the faculty member's control must be submitted in writing to the TIU head within one year of the unpaid leave or the extenuating factors. Such requests shall be reviewed by the TIU promotion and tenure committee, which will advise the TIU head on the appropriateness of the request. In units that do not have a promotion and tenure committee, the eligible faculty shall review the request. All requests require approval by the TIU head, dean, and executive vice president and provost. The extent to which the event leading to the request was beyond the faculty member's control and the extent to which it interfered with the faculty member's ability to be productive will be considered in granting or denying the request.
  - (c) Probationary tenure-track faculty members on less than full-time service for part or all of their probationary period may request an exclusion of time. Such requests require approval of the TIU head, dean, and executive vice president and provost. The exclusion shall be for an integer number of years based on the principle that the usual probationary period represents full-time service. The maximum exclusion of a probationary period for less than full-time service is one year for a probationary instructor, three years for a probationary assistant professor and two years for a probationary associate professor or professor.

**3335-9-11 ~~Tenure-track faculty, clinical/teaching/practice faculty, research~~ Faculty and senior administrative and professional employees pursuing additional degrees.**

(A) Faculty members:

No tenure-track faculty member, clinical/teaching/practice faculty member, **associated faculty member**, nor research faculty member will be permitted to pursue a degree offered by the TIU in which the faculty member holds an appointment, as defined in rule 3335-6-06 of the Administrative Code. In those instances where faculty members wish to pursue degrees in other units or programs of this university, they must demonstrate that the acquisition of these degrees enhances their own competence as teachers and scholars. In making decisions to pursue additional degrees, these faculty must consider first and foremost the requirements of their total university commitment and responsibilities. Requests to pursue additional degrees must be approved by the faculty member's TIU head and dean and by the dean of the college or director of the program in which the degree would be granted.

(B) Senior administrative and professional appointees:

In those instances where senior administrative and professional appointees wish to pursue degrees at this university, they must demonstrate that the acquisition of these degrees enhances their competence as university employees. In making decisions to pursue additional degrees, senior administrative and professional appointees must consider first and foremost the requirements of their total university commitment and responsibility. Requests to pursue additional degrees must be approved by the immediate supervisor; the TIU head, dean or the appropriate vice president, in whose area the senior administrative and professional appointee holds position, and dean of the college or director of the program in which the degree would be granted.

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## Proposed Text that is Easier to Read With No Redline

### **3335-5-48.5 Athletic council.**

- (A) The athletic council shall consist of sixteen voting members with terms commencing July 1 and completing June 30. Incoming members of the council may attend meetings as non-voting members immediately following appointment.
- (1) Eight faculty members. The term of service is four years.
    - (a) Four faculty members selected by the faculty council.
    - (b) Four faculty members appointed by the president.
  - (2) Four students.
    - (a) One graduate student. The term of service is one year.
    - (b) One professional student. The term of service is one year.
    - (c) Two undergraduate students. The term of service is two years with one student selected each year.
  - (3) Two alumni designated by the Ohio state alumni association using procedures adopted by that body. The term of service is four years and the terms are staggered so that one member retires every two years.
  - (4) Two staff members, one selected by the university staff advisory committee and one by the president. The term of service is four years with one staff member selected every two years.
  - (5) Five ex-officio non-voting members or their designees.
    - (a) The director of athletics.
    - (b) The vice president of student life.
    - (c) The faculty athletics representative (FAR).
    - (d) The director of the student athletics support services office.
    - (e) The president of the student-athlete advisory council.
- (B) Duties and responsibilities.
- (1) Develop, subject to the general authority of the president and the board of trustees, policies governing intercollegiate athletics, as the agent of the senate. The senate may hold these policies in review.
    - (a) These policies shall guide the administration of the program of intercollegiate athletics by the director of athletics, but the athletic council shall not serve in an administrative or executive capacity.

- (b) It will consider and establish policy on such matters as the income and expenditures budget, the schedules for seasonal and post-seasonal play in relation to the effect upon the welfare of the athletes, grants and other financial aid to athletes, eligibility of athletes, student grievance procedures and sportsmanship policies related to athletics, awards to athletes, recommended ticket prices, ticket distribution, and public and campus relations of the athletic program.
  - (c) It will consider and establish standing committees, an executive committee, and ad hoc committees to consider athletic matters raised by any member of the university community.
  - (d) Its recommendations and decisions on policy shall be reported to the senate and to the department of athletics.
- (2) Participate with the president in the selection of a faculty athletics representative (FAR). The FAR shall provide advice and oversight for the intercollegiate athletics program at Ohio state and represent the university and its faculty to the Big10 Conference and the NCAA. The FAR shall participate in the assurance of academic integrity of the athletics program; monitor the student-athlete experience; participate in the assurance of institutional compliance with NCAA and Big10 conference regulations; and serve as the faculty advisor to the president and the director of athletics concerning the administration of the collegiate athletics program.
- (a) When selecting a new FAR, the athletic council shall prepare a list of no fewer than two tenured faculty members who do not hold any position in the Athletics Department from which the president shall select the FAR.
  - (b) Eighteen months before the June 30 end of the FAR final term, the chair of the athletic council shall appoint a nominating committee which shall inform the university community about the position of FAR and invite applications and nominations. Brief recommendations in writing that state the candidate's qualifications for the position are submitted to the nominating committee by any member of the university community. Applications and nominations shall be due in accordance with a schedule established by the nominating committee. Every reasonable effort shall be made to present qualified candidates for vote by the athletic council on or before June first.
  - (c) Final approval of the names submitted to the president shall be made by a 2/3 majority of the voting membership of the athletic council. The list shall be presented to the president and every reasonable effort shall be made to appoint the FAR-elect on or before July 1 to allow one year of shadowing and transition between FAR-elect and incumbent. The FAR-elect takes office one year after appointment on July 1.
  - (d) The FAR-elect shall shadow the incumbent FAR on selection and attend all meetings without voting rights until their respective terms begin and end on July 1.
  - (e) The FAR term is four years beginning July 1. Reappointment for no more than two additional four-year terms is permissible. If an incumbent FAR is eligible for and desires reappointment, at least eighteen months prior to expiration of the term the athletic council shall complete a review of the incumbent's performance. If two-thirds of voting members are in favor of reappointment and the president concurs the FAR shall be reappointed. Otherwise the athletic



council shall immediately prepare a list for the president following (B)(2)(a) through (d) of this rule.

- (f) The FAR is an ex-officio non-voting member of the athletic council. The chair of the athletic council or faculty designee shall serve as the FAR with full voting privileges at NCAA, Big10, and other conference meetings if the FAR is unavailable.
- (g) In the event that the FAR does not complete a full term the FAR-elect shall complete the term. The completion of a term does not constitute a four-year term for purposes of the three-term limit in paragraph (B)(2)(e) of this rule. If there is no FAR-elect the chair of the athletic council or designee shall serve as the interim FAR until the appointment of a FAR-elect. Upon notice of a vacancy the athletic council shall immediately prepare a list for the president following (B)(2)(a) through (d) of this rule.

(C) Organization.

- (3) Reports by the athletic council to the president, other than those made through the senate, shall be made through the director of athletics.
- (4) As a standing committee of the senate, this council is governed by the provisions of rules 3335-5-46 and 3335-5-48 of the Administrative Code.

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## Strikeout and Redline Showing All Changes

### 3335-5-48.5 Athletic council.

- (D) The athletic council shall consist of sixteen voting members with terms commencing July 1 and completing June 30. Incoming members of the council may attend meetings as non-voting members immediately following ~~election~~appointment.
  - (1) Eight faculty members. The term of service is four years.
    - (a) Four faculty members selected by the faculty council.
    - (b) Four faculty members appointed by the president.
  - (2) Four students.
    - (a) One graduate student. The term of service is one year.
    - (b) One professional student. The term of service is one year.
    - (c) Two undergraduate students. The term of service is two years with one student selected each year.
  - (3) Two alumni designated by the Ohio state ~~university~~ alumni association using procedures adopted by that body. The term of service is four years and the terms are staggered so that one member retires every two years.
  - (4) Two staff members, one selected by the university staff advisory committee and one by the president. The term of service is four years with one staff member selected every two years.

(5) Five ex-officio non-voting members or their designees.

(a) The director of athletics.

(b) The vice president of student life.

(c) The faculty athletics representative (FAR).

(d) The director of the student athletics support services office (~~SASSO~~).

(e) The president of the student-athlete advisory council (~~SAAC~~).

(E) Duties and responsibilities.

(5) Develop, subject to the general authority of the president and the board of trustees, policies governing intercollegiate athletics, as the agent of the senate. The senate may hold these policies in review.

(a) These policies shall guide the administration of the program of intercollegiate athletics by the director of athletics, but the athletic council shall not serve in an administrative or executive capacity.

(b) It will consider and establish policy on such matters as the income and expenditures budget, the schedules for seasonal and post-seasonal play in relation to the effect upon the welfare of the athletes, grants and other financial aid to athletes, eligibility of athletes, student grievance procedures and sportsmanship policies related to athletics, awards to athletes, recommended ticket prices, ticket distribution, and public and campus relations of the athletic program.

(c) It will consider and establish standing committees, an executive committee, and ad hoc committees to consider athletic matters raised by any member of the university community.

~~(c)~~(d) Its recommendations and decisions on policy shall be ~~made known~~ reported to the senate and to the ~~staff of the~~ department of athletics.

(6) Participate with the president in the ~~process~~ selection of ~~selecting~~ a faculty athletics representative. (~~FAR~~). The ~~faculty athletics representative~~ FAR shall provide advice and oversight for the intercollegiate athletics program at ~~the~~ Ohio state university and represent the university and its faculty to the Big10 Conference and the NCAA. The ~~faculty athletics representative also~~ FAR shall participate in the assurance of academic integrity of the athletics program; monitor the student-athlete experience; participate in the assurance of ~~the~~ institutional compliance with NCAA and Big10 conference regulations; and serve as the ~~senior~~ faculty advisor to the president and the director of athletics concerning the administration of the collegiate athletics program.

(a) When selecting a new ~~faculty athletics representative~~ FAR, the athletic council shall prepare a list of no fewer than two tenured faculty members who do not hold any position in the Athletics Department from which the president shall select a ~~faculty athletics representative~~ the FAR.

(b) Eighteen months ~~prior to~~ before the June 30 end of the ~~faculty athletics representative's~~ FAR final term, the chair of the athletic council shall appoint a

nominating committee which shall ~~have the responsibility to~~ inform the university community about the position of ~~faculty athletics representative~~FAR and ~~to invite applications and/or recommendations for~~ nominations. Brief recommendations in writing that state the candidate's qualifications for the position ~~may be~~are submitted to the nominating committee by any member of the university community. Applications and nominations shall be due in accordance with a ~~reasonable~~—schedule established by the nominating committee. ~~Every reasonable effort shall be made to present qualified candidates for vote by the athletic council on or before June first.~~

- ~~(a)~~ Final approval of the ~~list of~~ names submitted to the president shall be made by a 2/3 majority of the ~~full~~voting membership of the athletic council. The list ~~for the faculty athletics representative position~~ shall be presented to the president ~~during the autumn semester preceding the start of the next term of office in order to allow for a smooth transition between outgoing and incoming faculty athletics representatives. The new faculty representative will be appointed January first to take office July first of the same year.~~
- ~~(c)(a)~~ ~~The new faculty athletics representative will be appointed faculty athletics representative~~every reasonable effort shall be made to appoint the FAR-elect on July one of the year or before ~~becoming faculty athletics representative. The faculty athletics representative~~July 1 to allow one year of shadowing and transition between FAR-elect ~~shall attend all meetings in which the faculty athletics representative has access to, but the faculty athletics representative-elect shall have no right to vote until the faculty athletics representative-elect becomes the faculty representative~~and incumbent. The FAR-elect takes office =
- ~~(c)~~ ~~The term of office for a faculty athletics representative is four years, beginning July one of the year following~~after appointment on July 1.
- ~~(d)~~ ~~The as faculty athletics representative-elect.~~FAR-elect shall shadow the incumbent FAR on selection and attend all meetings without voting rights until their respective terms begin and end on July 1.
- ~~(d)(e)~~ The FAR term is four years beginning July 1. Reappointment for no more than two additional four-year terms is permissible. If an incumbent ~~faculty athletics representative~~FAR is eligible ~~to for and desires to serve another term, then~~reappointment, at least ~~nine~~eighteen months prior to ~~the~~ expiration of the ~~current~~ term, the athletic council shall complete a review of the incumbent's performance. ~~If the council decides that the incumbent should serve another term~~If two-thirds of voting members are in favor of reappointment and the president concurs, ~~the faculty athletics representative's term~~ the FAR shall be ~~renewed~~reappointed. Otherwise, the athletic council shall ~~move~~ immediately to prepare a list ~~to submit to~~for the president following ~~the procedures of paragraphs (B)(2)(a) to (B)(2)(c)~~through (d) of this rule, ~~except for the time limitations.~~
- ~~(e)(f)~~ ~~The faculty athletics representative shall not serve simultaneously as a~~The FAR is an ex-officio non-voting member of the athletic council, ~~but shall attend meetings of the council.~~ The chair of the athletic council, or ~~the chair's~~faculty designee from among the faculty members of the athletic council, shall serve as the ~~interim faculty athletics representative~~FAR with full voting privileges at NCAA, Big10, and other conference meetings ~~whenever the faculty athletics representative~~if the FAR is unavailable.
- ~~(f)(g)~~ In the event that the ~~faculty athletics representative~~FAR does not complete a full

term, the ~~faculty athletics representative~~FAR-elect shall complete the ~~remainder of the uncompleted~~term. The completion of a term does not constitute a four-year term for purposes of the three ~~four-year~~term ~~limitation~~limit in paragraph (B)(2)(e) of this rule. If there is no ~~faculty athletics representative-elect at the time the faculty athletics representative vacates the position,~~FAR-elect the chair of the ~~faculty~~athletic council, or ~~the chair's~~designee ~~from among the faculty members of the athletic council,~~ shall serve as the interim ~~faculty athletics representative~~FAR until the appointment of a ~~new faculty athletics representative.~~FAR-elect. Upon notice of a vacancy ~~or an imminent vacancy in the faculty athletics representative position,~~ the athletic council shall ~~move~~ immediately ~~to~~prepare a list ~~to submit to~~for the president following ~~the procedures of paragraphs (B)(2)(a) to (B)(2)(c)~~through (d) of this rule, ~~except for the time limitations.~~

(F) Organization.

- (7) Reports by the ~~athletic~~ council to the president, other than those made through the senate, shall be made through the director of athletics.
  - (8) As a standing committee of the senate, this council is ~~also~~ governed by the provisions of rules 3335-5-46 and 3335-5-48 of the Administrative Code.
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**FACULTY PERSONNEL ACTIONS**

BE IT RESOLVED, That the Board of Trustees hereby approves the faculty personnel actions as recorded in the personnel budget records of the university since the February 27, 2020, meeting of the board, including the following appointments, appointments/reappointments of chairpersons, faculty professional leaves and emeritus titles:

Appointments

Name: \*CRAIG J. BRYAN  
Title: Professor (S.T.A.R. Professorship)  
College: Medicine  
Term: July 15, 2020 through June 30, 2024

Name: SARAH COLE  
Title: Professor (Michael E. Moritz Chair in Alternative Dispute Resolution)  
College: Moritz College of Law  
Term: June 1, 2020 through May 31, 2025

Name: \*JINGYIN HUANG  
Title: Assistant Professor (Alice Louise Ridenour Wood Chair in Mathematics)  
College: Arts and Sciences  
Term: August 15, 2020 through August 14, 2025

Name: SANJAY KRISHNA  
Title: Professor (George R. Smith Chair in Engineering)  
College: Engineering  
Term: January 1, 2017 through June 30, 2021

Name: MATTHEW MAYHEW  
Title: Professor (William Ray and Marie Adamson Flesher Professorship in Educational Administration)  
College: Education and Human Ecology  
Term: August 15, 2016 through August 14, 2021

Name: ANTOINETTE C. MIRANDA  
Title: Professor (William H. and Laceryjette V. Casto Professorship in Interprofessional Education Fund)  
College: Education and Human Ecology  
Term: September 1, 2017 through August 31, 2022

Name: \*ERIN V. MOORE  
Title: Assistant Professor (Dr. Carl F. Asseff Professorship in Anthropology and History of Medicine)  
College: Arts and Sciences  
Term: August 15, 2020 through August 14, 2024

Name: SUSAN OLESIK  
Title: Divisional Dean, Natural and Mathematical Sciences  
College: Arts and Sciences  
Term: July 1, 2020 through June 30, 2025

**FACULTY PERSONNEL ACTIONS (cont'd)**

Appointments  
(cont'd)

Name: BOYD PANTON  
Title: Assistant Professor (Lincoln Electric Company Endowed Professorship)  
College: Engineering  
Term: September 1, 2018 through August 31, 2023

Name: LISA (PATRICK) PINKERTON  
Title: Assistant Professor-Clinical (Marie Clay Endowed Chair in Reading Recovery and Early Literacy)  
College: Education and Human Ecology  
Term: September 1, 2018 through August 31, 2023

Name: ABDOLLAH SHAFIEEZADEH  
Title: Associate Professor (Abba G. Lichtenstein Professorship in Civil Engineering)  
College: Engineering  
Term: June 9, 2017 through May 31, 2022

Name: CHRISTA TESTON  
Title: Associate Professor (Andrea Lunsford Designated Professorship for Rhetoric, Composition, and Literacy)  
College: Arts and Sciences  
Term: August 15, 2020 through August 14, 2025

Name: CHRISTOPHER J. WALKER  
Title: Professor (John W. Bricker Professorship in Law)  
College: Moritz College of Law  
Term: June 1, 2020 through May 31, 2025

Name: XIAODONG ZHANG  
Title: Professor (Robert M. Critchfield Professorship in Engineering)  
College: Engineering  
Term: July 1, 2016 through June 30, 2021

\*New Hire

Reappointments

Name: PETER HAHN  
Title: Divisional Dean, Arts and Humanities  
College: Arts and Sciences  
Term: September 1, 2020 through June 30, 2021

Name: MARIA PALAZZI  
Title: PROFESSOR (Ohio Eminent Scholar in Art and Design Technology)  
College: Arts and Sciences  
Term: August 1, 2020 through July 31, 2024

**FACULTY PERSONNEL ACTIONS (cont'd)**

Reappointments  
(cont'd)

Name: LU ZHANG  
Title: Professor (John W. Galbreath Chair in Real Estate)  
College: Fisher College of Business  
Term: October 1, 2020 through September 30, 2025

Extensions

Name: DAMON E. JAGGARS  
Title: Vice Provost and Dean  
Office: University Libraries  
Term: July 1, 2020 through December 31, 2020

Appointments/Reappointments of Chairpersons

PHILIP ARMSTRONG, Chair, Department of Comparative Studies, effective June 1, 2020 through June 30, 2024

PROSPER BOYAKA, Interim Chair, Department of Veterinary Biosciences, effective July 1, 2020 through June 30, 2021

RICARDO L. CARRAU, Acting Chair, Department of Otolaryngology-Head and Neck Surgery, effective February 1, 2020, until Chair is able to return

EUGENIA COSTA-GIOMI, Interim Director, School of Music, effective July 1, 2020 through June 30, 2021

NADINE GEORGE-GRAVES, Chair, Department of Dance, effective July 1, 2020 through June 30, 2024

\*\*KAREN HUTZEL, Chair, Department of Arts Administration, Education and Policy, effective July 1, 2020 through June 30, 2024

SCOTT LEVI, Interim Chair, Department of Near Eastern Languages and Cultures, effective July 1, 2020 through June 30, 2021

LAURA LISBON, Chair, Department of Art, effective July 1, 2020 through June 30, 2024

PHILIP TSICHLIS, Chair, Department of Cancer Biology and Genetics, effective March 15, 2020 through February 28, 2024

\*SHANNON WASHBURN, Chair, Department of Agricultural Communication, Education, and Leadership, effective July 1, 2020 through June 30, 2024

\*\*SHANNON WINNUBST, Chair, Department of Women's, Gender and Sexuality Studies, effective June 1, 2020 through June 30, 2023

THOMAS WITTUM, Chair, Department of Veterinary Preventive Medicine, effective July 1, 2020 through June 30, 2024

\*\*Reappointment

\*New Hire

Extensions of Chairpersons

JANET S. PARROTT, Chair, Department of Theatre, effective June 1, 2020 through June 30, 2020

DAVID STALEY, Director, Humanities Institute, effective July 1, 2020 through December 31, 2020

ROBYN WARHOL, Chair, Department of English, effective July 1, 2020 through December 31, 2020

BRIAN L. WINER, Chair, Department of Physics, effective June 1, 2020 through June 30, 2020

Faculty Professional Leaves

ANN ALLEN, Associate Professor, Department of Educational Studies, effective Spring 2021

GREG ANDERSON, Professor, Department of History, effective Autumn 2020



GÜZIN BAYRAKSAN, Associate Professor, Department of Integrated Systems Engineering, effective Autumn 2020

MIKHAIL BELKIN, Professor, Department of Computer Science and Engineering, effective Autumn 2020

JEFFREY M. BIELICKI, Associate Professor, Department of Civil, Environmental and Geodetic Engineering, effective Autumn 2020 and Spring 2021

JACKIE M. BLOUNT, Professor, Department of Educational Studies, effective Autumn 2020

ROBERT M. BOND, Associate Professor, School of Communication, effective Autumn 2020

ANNE E. CAREY, Professor, School of Earth Sciences, effective Spring 2021

BRYAN C. CARSTENS, Professor, Department of Evolution, Ecology, and Organismal Biology, effective Spring 2021

ANNE C. CO, Associate Professor, Department of Chemistry and Biochemistry, effective Autumn 2020

SARA L. CROSBY, Associate Professor, Department of English, effective Spring 2021

MARIE-CATHERINE DE MARNEFFE, Associate Professor, Department of Linguistics, effective Autumn 2020 and Spring 2021

FRANCIS J. DONOGHUE, Professor, Department of English, effective Autumn 2020

ALAN B. FARMER, Associate Professor, Department of English, effective Spring 2021

LISA FLORMAN, Professor, Department of History of Art, effective Autumn 2020

NAOMI FUKUMORI, Associate Professor, Department of East Asian Languages and Literatures, effective Autumn 2020

MARYAM GHAZISAEIDI, Associate Professor, Department of Materials Science and Engineering, effective Spring 2021

HELENA GOSCILO, Professor, Department of Slavic and East European Languages and Cultures, effective Autumn 2020 and Spring 2021

FRITZ GRAF, Professor, Department of Classics, effective Autumn 2020

CHRISTOPHER M. GRIMSLEY, Associate Professor, Department of History, effective Spring 2021

ANDREA G. GROTTOLI, Professor, School of Earth Sciences, effective Spring 2021

MARC J. GUERRERO, Associate Professor, Department of Educational Studies, effective Autumn 2020

JANE HATHAWAY, Professor, Department of History, effective Autumn 2020

CLAYTON C. HOWARD, Associate Professor, Department of History, effective Autumn 2020 and Spring 2021

PRANAV JANI, Associate Professor, Department of English, effective Autumn 2020

SARAH I. JOHNSTON, Professor, Department of Classics, effective Autumn 2020 and Spring 2021

IAN M. KRAJBICH, Associate Professor, Department of Psychology, effective Autumn 2020 and Spring 2021

LAURA S. KUBATKO, Professor, Department of Statistics, effective Autumn 2020

NAMIKO KUNIMOTO, Associate Professor, Department of History of Art, effective Spring 2021

ISAMU KUSAKA, Associate Professor, Department of Chemical and Biomolecular Engineering, effective Autumn 2020

KURT J. LAVETTI, Associate Professor, Department of Economics, effective Autumn 2020 and Spring 2021

WU LU, Professor, Department of Electrical and Computer Engineering, effective Autumn 2020

MIRANDA J. MARTINEZ, Associate Professor, Department of Comparative Studies, effective Autumn 2020 and Spring 2021

PALOMA A. MARTINEZ-CRUZ, Associate Professor, Department of Spanish and Portuguese, effective Spring 2021

PAUL MARTINI, Professor, Department of Astronomy, effective Autumn 2020 and Spring 2021

SMITA MATHUR, Professor, Department of Astronomy, effective Autumn 2020 and Spring 2021

KEVIN J. MCCLATCHY, Associate Professor, Department of Theatre, effective Spring 2021

WILLIAM S. MCGRAW, Professor, Department of Anthropology, effective Autumn 2020

SAM A. MEIER, Professor, Department of Near Eastern Languages and Cultures, effective Autumn 2020 and Spring 2021

FACUNDO MEMOLI, Associate Professor, Department of Mathematics, effective Autumn 2020

JOACHIM B. MOORTGAT, Associate Professor, School of Earth Sciences, effective Autumn 2020 and Spring 2021

REBECCA L. MORLEY, Associate Professor, Department of Linguistics, effective Autumn 2020

ILA NAGAR, Associate Professor, Department of Near Eastern Languages and Cultures, effective Autumn 2020

MICHAEL A. NEBLO, Professor, Department of Political Science, effective Autumn 2020 and Spring 2021

KAREN J. PIERSON, Associate Professor, School of Music, effective Autumn 2020

CHRISTOPHER PINCOCK, Professor, Department of Philosophy, effective Autumn 2020

MARTIN J. PONCE, Associate Professor, Department of English, effective Autumn 2020 and Spring 2021

MATTHEW T. PRATOLA, Associate Professor, Department of Statistics, effective Autumn 2020 and Spring 2021

KEELEY J. PRATT, Associate Professor, Department of Human Sciences, effective Spring 2021

CORINNE RECZEK, Associate Professor, Department of Sociology, effective Autumn 2020

DANI RESTACK, Associate Professor, Department of Art, effective Autumn 2020 and Spring 2021

AMANDA L. ROBINSON, Associate Professor, Department of Political Science, effective Autumn 2020 and Spring 2021

SCOTT D. SCHEER, Professor, Department of Agricultural Communication, Education, and Leadership, effective Spring 2021

KAMMI K. SCHMEER, Associate Professor, Department of Sociology, effective Spring 2021

HANNAH S. SHAFAT, Associate Professor, Department of Chemistry and Biochemistry, effective Autumn 2020 and Spring 2021

BARRY SHANK, Professor, Department of Comparative Studies, effective Spring 2021

DAVID J. SIVAKOFF, Associate Professor, Department of Statistics, effective Spring 2021

MARCOS M. SOTOMAYOR, Associate Professor, Department of Chemistry and Biochemistry, effective Autumn 2020 and Spring 2021

LAUREN SQUIRES, Associate Professor, Department of English, effective Spring 2021

KENNETH J. SUPOWIT, Associate Professor, Department of Computer Science and Engineering, effective Spring 2021

KIA-HUI TAN, Associate Professor, School of Music, effective Autumn 2020 and Spring 2021

CHRISTA TESTON, Associate Professor, Department of English, effective Autumn 2020

STEPHEN TURK, Professor, Knowlton School of Architecture, effective Autumn 2020 and Spring 2021

VADIM UTKIN, Professor, Department of Electrical and Computer Engineering, effective Autumn 2020

RICK VOITHOFER, Associate Professor, Department of Educational Studies, effective Autumn 2020

VINCENT Q. VU, Associate Professor, Department of Statistics, effective Autumn 2020 and Spring 2021

LAURA WAGNER, Professor, Department of Psychology, effective Autumn 2020 and Spring 2021

LORRAINE S. WALLACE, Associate Professor, Department of Biomedical Education and Anatomy, effective Spring 2021

ROBYN WARHOL, Professor, Department of English, effective Spring 2021

DAVID H. WEINBERG, Professor, Department of Astronomy, effective Autumn 2020 and Spring 2021

TRACI A. WILGUS, Associate Professor, Department of Pathology, effective Autumn 2020 and Spring 2021

KRISTI WILLIAMS, Professor, Department of Sociology, effective Spring 2021

WYNNE WONG, Professor, Department of French and Italian, effective Autumn 2020

MAX D. WOODWORTH, Professor, Department of Geography, effective Spring 2021

JIAN-QIU WU, Professor, Department of Molecular Genetics, effective Spring 2021

SHANG-TIAN YANG, Professor, Department of Chemical and Biomolecular Engineering, effective Autumn 2020

WEI ZHANG, Professor, Department of Materials Science and Engineering, effective Spring 2021

CHRISTOPHER J. ZIRKLE, Associate Professor, Department of Educational Studies, change from Autumn 2020 to Spring 2021

Emeritus Titles

JAMES E. BAUER, Department of Evolution, Ecology and Organismal Biology, with the title of Professor Emeritus, effective September 1, 2020

RICHARD J. BRILLI, Department of Pediatrics, with the title of Professor Emeritus-Clinical, effective June 1, 2020

J. RICHARD DIETRICH, Department of Accounting and MIS, with the title of Professor Emeritus, effective June 1, 2020

JAN EDWARDS, School of Music, with the title of Associate Professor Emeritus, effective June 1, 2020

TIMOTHY F. FELTES, Department of Pediatrics, with the title of Professor Emeritus-Clinical, effective August 1, 2020

ROBERT GILLESPIE, School of Music, with the title of Professor Emeritus, effective August 1, 2020

CLYDE C. GOAD, School of Earth Sciences, with the title of Professor Emeritus, effective June 1, 2020

KENNETH GOINGS, Department of African American and African Studies, with the title of Professor Emeritus, effective January 1, 2020

DAVID A. LINCOVE, University Libraries, with the title of Professor Emeritus, effective May 1, 2020

WILLIAM J. MARTIN II, College of Public Health, with the title of Professor Emeritus, effective April 1, 2020

SCOTT MCCOY, School of Music, with the title of Professor Emeritus, effective June 1, 2020

HAJIME MIYAZAKI, Department of Economics, with the title of Professor Emeritus, effective July 1, 2020

ROBERT J. PERRY, Department of Physics, with the title of Professor Emeritus, effective July 1, 2020

CATHY A. RAKOWSKI, School of Environment and Natural Resources, with the title of Associate Professor Emeritus, effective September 1, 2020

ROBERT H. SMALL, Department of Anesthesiology, with the title of Professor Emeritus, effective July 11, 2020

STEVEN M. STEINBERG, Department of Surgery, with the title of Professor Emeritus, effective June 23, 2020

JAMES TODD, Department of Psychology, with the title of Professor Emeritus, effective August 1, 2020

JOHN D. WALTERS, College of Dentistry, with the title of Professor Emeritus, effective July 1, 2020

PETER T. WARD, Department of Management Sciences, with the title of Richard M. Ross Emeritus Chair in Management, effective June 1, 2020

GARY L. WENK, Department of Psychology, with the title of Professor Emeritus, effective September 1, 2020

C. PATRICK WOLIVER, School of Music, with the title of Associate Professor Emeritus, effective June 1, 2020

LORETTA ROBINSON WOLIVER, School of Music, with the title of Associate Professor Emeritus, effective June 1, 2020

Promotion, Tenure, and Reappointments

**COLLEGE OF THE ARTS AND SCIENCES**

**DIVISION OF ART AND HUMANITIES**

PROMOTION TO PROFESSOR

Anthony, Sean, Near Eastern Languages and Cultures, June 3, 2020

Bruenger, David, School of Music, June 3, 2020

Friedman, Ryan, English, June 3, 2020

Halasek, Evonne (Kay), English, June 3, 2020

Jones, Scott, School of Music, June 3, 2020

Lerner, Mitchell, Newark, History, June 3, 2020

Lishan, Stuart, Marion, English, June 3, 2020

Martinez-Gil, Fernando, Spanish and Portuguese, June 3, 2020

McPherson, Tristram, Philosophy, June 3, 2020

Otter, Christopher, History, June 3, 2020

Renga, Dana, French and Italian, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Birkhold, Matthew, Germanic Languages and Literatures, June 3, 2020

Camacho-Platero, Luzmila, Marion, Spanish and Portuguese, June 3, 2020

Goldberg-Miller, Shoshanah, Arts Administration, Education and Policy, June 3, 2020

Hedgecoth, David, School of Music, June 3, 2020

Lin, Eden, Philosophy, June 3, 2020

Matheny, Rebekah, Design, June 3, 2020

Parrenas, Juno, Women's, Gender and Sexuality Studies, June 3, 2020

Rohrer, Katherine, School of Music, June 3, 2020

Shanahan, Daniel, School of Music, June 3, 2020

Tamarkin, Noah, Comparative Studies, June 3, 2020

**DIVISION OF NATURAL AND MATHEMATICAL SCIENCES**

PROMOTION TO PROFESSOR

Chou, Ching-Shan, Mathematics, June 3, 2020

Costin, Rodica, Mathematics, June 3, 2020

Durand, Michael, School of Earth Sciences, June 3, 2020

Goldberger, Joshua, Chemistry and Biochemistry, June 3, 2020

Memoli Techera, Roberto Facundo, Mathematics, June 3, 2020

Rappleye, Chad, Microbiology, June 3, 2020

Thompson, Daniel, Mathematics, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Anderson, David, Mathematics, June 3, 2020  
Badu-Tawiah, Abraham, Chemistry and Biochemistry, June 3, 2020  
Burd, Christin, Molecular Genetics, June 3, 2020  
Chkrebtti, Oxana, Statistics, June 3, 2020  
Dogan Ekici, Ozlem, Newark, Chemistry and Biochemistry, June 3, 2020  
Fowler, James, Mathematics, June 3, 2020  
Griffith, Elizabeth, School of Earth Sciences, June 3, 2020  
Lam, King-Yeung, Mathematics, June 3, 2020  
McGrier, Psaras, Chemistry and Biochemistry, June 3, 2020  
Munoz-Garcia, Agustin, Mansfield, Evolution, Ecology and Organismal Biology, June 3, 2020  
Nagib, David, Chemistry and Biochemistry, June 3, 2020  
Penneys, David, Mathematics, June 3, 2020  
Rich, Virginia, Microbiology, June 3, 2020  
Sawyer, Derek, School of Earth Sciences, June 3, 2020  
Tiglay, Feride, Newark, Mathematics, June 3, 2020  
Vivas, Liz, Mathematics, June 3, 2020  
Yoder, Ryan, Marion, Chemistry and Biochemistry, June 3, 2020  
Zhu, Yunzhang, Statistics, June 3, 2020

**DIVISION OF SOCIAL AND BEHAVIORAL SCIENCES**

PROMOTION TO PROFESSOR

Cheavens, Jennifer, Psychology, June 3, 2020  
Cranmer, Skyler, Political Science, June 3, 2020  
Holt, Rachael, Speech and Hearing Science, June 3, 2020  
Mortiz, Mark, Anthropology, June 3, 2020  
Piperata, Barbara, Anthropology, June 3, 2020  
Root, Elisabeth, Geography, June 3, 2020  
Strunk, Daniel, Psychology, June 3, 2020  
Thompson, Alexander, Political Science, June 3, 2020  
Willow, Anna, Marion, Anthropology, June 3, 2020  
Yang, Huanxing, Economics, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Holt, Lanier, School of Communication, June 3, 2020  
Hovick, Shelly, School of Communication, June 3, 2020  
Lenz, Kathryn, Psychology, June 3, 2020  
McKean, Benjamin, Political Science, June 3, 2020  
Wirth, James, Newark, Psychology, June 3, 2020

**DIVISION OF SOCIAL AND BEHAVIORAL SCIENCES  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Brello, Jennifer, Speech and Hearing Science, June 3, 2020

**DIVISION OF SOCIAL AND BEHAVIORAL SCIENCES  
RESEARCH**

REAPPOINTMENT

Jacewicz, Ewa, Speech and Hearing Science, July 1, 2020

**COLLEGE OF DENTISTRY**

PROMOTION TO PROFESSOR

Deguchi, Toru, June 3, 2020  
Lang, Lisa, June 3, 2020  
Sun, Zongyang, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Fowler, Sara, June 3, 2020

**COLLEGE OF DENTISTRY  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Jatana, Courtney, June 3, 2020, and, September 1, 2021

REAPPOINTMENT

Bolina, Janet, September 1, 2021  
Gross, Erin, September 1, 2021  
Henderson, Rebecca, September 1, 2021  
Heshmati, Reza, September 1, 2021  
Kanner, Dale, September 1, 2021  
Kissell, Denise, September 1, 2021  
Ness, Gregory, September 1, 2021

**COLLEGE OF EDUCATION AND HUMAN ECOLOGY**

PROMOTION TO PROFESSOR

Arnold, Noelle, Educational Studies, June 3, 2020  
Kamp Dush, Claire, Human Sciences, June 3, 2020  
Loibl, Caezilia, Human Sciences, June 3, 2020  
Scharff, Robert, Human Sciences, June 3, 2020  
Sutherland, Sue, Human Sciences, June 3, 2020  
Turner, Brian, Human Sciences, June 3, 2020  
Xie, Kui, Educational Studies, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Beard, Karen, Educational Studies, June 3, 2020  
Betz, Michael, Human Sciences, June 3, 2020  
Bujisic, Milos, Human Sciences, June 3, 2020  
Chao, Theodore, Teaching and Learning, June 3, 2020  
Hatsu, Irene, Human Sciences, June 3, 2020  
Ilic, Sanja, Human Sciences, June 3, 2020  
Purtell, Kelly, Human Sciences, June 3, 2020  
Thompson, Winston, Educational Studies, June 3, 2020  
Wong, Jen, Human Sciences, June 3, 2020

**COLLEGE OF EDUCATION AND HUMAN ECOLOGY  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Barnes, Amy, Educational Studies, June 3, 2020

REAPPOINTMENT

Folden, H. Eugene, Human Sciences, September 1, 2021

**COLLEGE OF ENGINEERING**

PROMOTION TO PROFESSOR

Agarwal, Gunjan, Biomedical Engineering, June 3, 2020  
Akar, Gulsah, Knowlton School of Architecture, June 3, 2020  
Asthagiri, Aravind, Chemical and Biomolecular Engineering, June 3, 2020  
Chen, Jen-Ping, Mechanical and Aerospace Engineering, June 3, 2020  
Fayed, Ayman, Electrical and Computer Engineering, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Blanas, Spyridon, Computer Science and Engineering, June 3, 2020  
Brunelli, Nicholas, Chemical and Biomolecular Engineering, June 3, 2020  
Cho, Han Na, Mechanical and Aerospace Engineering, June 3, 2020  
D'Souza, Kiran, Mechanical and Aerospace Engineering, June 3, 2020  
Harne, Ryan, Mechanical and Aerospace Engineering, June 3, 2020  
Hwang, Jinwoo, Materials Science and Engineering, June 3, 2020  
Khafizov, Marat, Mechanical and Aerospace Engineering, June 3, 2020  
Ritter, Alan, Computer Science and Engineering, June 3, 2020  
Roth, Curtis, Knowlton School of Architecture, June 3, 2020  
Song, Jonathan, Mechanical and Aerospace Engineering, June 3, 2020

**COLLEGE OF ENGINEERING  
CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Grzybowski, Deborah, Engineering Education, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Mirzaei, Golrokh, Marion, Computer Science and Engineering, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Nocera, Tanya, Biomedical Engineering, June 3, 2020, and September 1, 2021

REAPPOINTMENT

Kecskemety, Krista, Engineering Education, September 1, 2021  
Leonard, Donald, Knowlton School of Architecture, September 1, 2020  
Tang, Xiaofeng, Engineering Education, September 1, 2021

**COLLEGE OF ENGINEERING  
RESEARCH**

PROMOTION TO RESEARCH PROFESSOR AND REAPPOINTMENT

Alexandrov, Boian, Materials Science and Engineering, June 3, 2020, and September 1, 2021

**MAX M. FISHER COLLEGE OF BUSINESS**

PROMOTION TO PROFESSOR

Chandrasekaran, Aravind, Management Sciences, June 3, 2020

**MAX M. FISHER COLLEGE OF BUSINESS  
CLINICAL**

REAPPOINTMENT

Draper, John, Management Sciences, September 1, 2021  
Prud'homme, Andrea, Management Sciences, September 1, 2021



**COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES**

PROMOTION TO PROFESSOR

Ezeji, Thaddeus, Animal Sciences, June 3, 2020  
Fulton, John, Food, Agricultural and Biological Engineering, June 3, 2020  
Jasinski, James, OSU Extension Administration, June 3, 2020  
Slater, Brian, School of Environment and Natural Resources, June 3, 2020  
Wick, Macdonald, Animal Sciences, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Barrett, Eric, OSU Extension County Operations, June 3, 2020  
Bielke, Lisa, Animal Sciences, June 3, 2020  
Brooks, Jeremy, School of Environment and Natural Resources, June 3, 2020  
Culman, Steven, School of Environment and Natural Resources, June 3, 2020  
Haden, Van Ryan, Agricultural Technical Institute, June 3, 2020  
Miteva, Daniela, Agricultural, Environmental and Development Economics, June 3, 2020  
Peterman, William, School of Environment and Natural Resources, June 3, 2020  
Specht, Annie, Agricultural Communication, Education and Leadership, June 3, 2020  
Witter, Jonathan, Agricultural Technical Institute, June 3, 2020  
Zhao, Kaiguang, School of Environment and Natural Resources, June 3, 2020

**COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Kieffer, Justin, Animal Sciences, June 3, 2020, and, September 1, 2021

REAPPOINTMENT

Cakmak, Adem, Agricultural Technical Institute, September 1, 2021  
Parker, Elizabeth, Animal Sciences, September 1, 2021  
Raczkowski, Joseph, Entomology, September 1, 2021

**COLLEGE OF LAW**

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Glogower, Ariel, June 3, 2020  
Rudesill, Dakota, June 3, 2020  
Zettler, Patricia, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR [WITHOUT TENURE]

Abdelrasoul, Mohamed, June 3, 2020

**COLLEGE OF LAW  
CLINICAL**

REAPPOINTMENT

Bong (Lee), Katrina, September 1, 2021  
Jordan, Kimberly, September 1, 2021  
Ralph, Anne, September 1, 2021  
Starker, Todd, September 1, 2021

**JOHN GLENN COLLEGE OF PUBLIC AFFAIRS**

PROMOTION TO PROFESSOR

Hawley, Joshua, June 3, 2020  
Lavertu, Stephane, June 3, 2020

**COLLEGE OF MEDICINE**

PROMOTION TO PROFESSOR

Biesiadecki, Brandon, Physiology and Cell Biology, June 3, 2020  
Cao, Lei, Cancer Biology and Genetics, June 3, 2020  
Chaudhari, Ajit, School of Health and Rehabilitation Sciences, June 3, 2020  
Danforth, Douglas, Obstetrics and Gynecology, June 3, 2020  
Dungan, Kathleen, Internal Medicine, June 3, 2020  
Freitas, Michael, Cancer Biology and Genetics, June 3, 2020  
Guo, Deliang, Radiation Oncology, June 3, 2020  
Guo, Lianwang, Surgery, June 3, 2020  
Hall, Mark, Pediatrics, June 3, 2020  
Han, Renzhi, Surgery, June 3, 2020  
Harper, Scott, Pediatrics, June 3, 2020  
Havercamp, Susan, Psychiatry and Behavioral Health, June 3, 2020  
Lilly, Brenda, Pediatrics, June 3, 2020  
Magnussen, Robert, Orthopaedics, June 3, 2020  
Maitre, Nathalie, Pediatrics, June 3, 2020  
Minneci, Peter, Surgery, June 3, 2020  
Toland, Amanda, Cancer Biology and Genetics, June 3, 2020  
Woyach, Jennifer, Internal Medicine, June 3, 2020  
Yang, Jingzhen, Pediatrics, June 3, 2020  
Zhu, Hua, Surgery, June 3, 2020  
Zhu, Motao, Pediatrics, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Accornero, Federica, Physiology and Cell Biology, June 3, 2020  
Backes Jr., Carl, Pediatrics, June 3, 2020  
Coppola, Vincenzo, Cancer Biology and Genetics, June 3, 2020  
Feng, Zongdi, Pediatrics, June 3, 2020  
Freud, Aharon, Pathology, June 3, 2020  
Moberly, Aaron, Otolaryngology, June 3, 2020  
Ning, Xia, Biomedical Informatics, June 3, 2020  
Pyter, Leah, Psychiatry and Behavioral Health, June 3, 2020  
Quatman, Carmen, Orthopaedics, June 3, 2020  
Sieck, Cynthia, Family Medicine, June 3, 2020  
Slaughter, Jonathan, Pediatrics, June 3, 2020  
Yoder, Kristine, Cancer Biology and Genetics, June 3, 2020  
Zhang, Jun, Radiology, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR [WITHOUT TENURE]

Chen, James, Internal Medicine, June 3, 2020

TENURE [AT THE CURRENT RANK OF ASSOCIATE PROFESSOR]

Barrientos-Wood, Ruth, Psychiatry and Behavioral Health, June 3, 2020  
Khan, Mahmood, Emergency Medicine, June 3, 2020  
Singh, Harpreet, Physiology and Cell Biology, June 3, 2020

**COLLEGE OF MEDICINE  
CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Breitborde, Nicholas, Psychiatry and Behavioral Health, June 3, 2020  
Chandawarkar, Rajiv, Plastic Surgery, June 3, 2020  
Digiovine, Carmen, School of Health and Rehabilitation Sciences, June 3, 2020  
Efebera, Yvonne, Internal Medicine, June 3, 2020  
Elsheikh, Bakri, Neurology, June 3, 2020  
Kaide, Colin, Emergency Medicine, June 3, 2020  
Lara, Luis, Internal Medicine, June 3, 2020  
Lee, Vivien, Neurology, June 3, 2020  
Maddocks, Kami, Internal Medicine, June 3, 2020  
Ramaswamy, Bhuvanewari, Internal Medicine, June 3, 2020  
Walker, Alison, Internal Medicine, June 3, 2020  
Wininger, David, Internal Medicine, June 3, 2020

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Ardoin, Stacy, Pediatrics, June 3, 2020, and, September 1, 2021  
Cho, Raymond, Ophthalmology, June 3, 2020, and, September 1, 2021  
Colachis, Sam, Physical Medicine and Rehabilitation, June 3, 2020, and, September 1, 2021  
Elmaraghy, Charles, Otolaryngology, June 3, 2020, and, September 1, 2021  
Exline, Matthew, Internal Medicine, June 3, 2020 and September 1 2021  
Fabia, Renata, Surgery, June 3, 2020, and, September 1, 2021  
Fleming, Gloria, Ophthalmology, June 3, 2020, and, September 1, 2021  
Hanje, Adam, Internal Medicine, June 3, 2020, and, September 1, 2021  
Jatana, Kris, Otolaryngology, June 3, 2020, and, September 1, 2021  
Leber, Amy, Pathology, June 3, 2020, and, September 1, 2021  
Ohr, Matthew, Ophthalmology, June 3, 2020, and, September 1, 2021  
Panchal, Ashish, Emergency Medicine, June 3, 2020, and, September 1, 2021  
Phieffer, Laura, Orthopaedics, June 3, 2020, and, September 1, 2021  
Satoskar, Anjali, Pathology, June 3, 2020, and, September 1, 2021  
Slabaugh, Mark, Ophthalmology, June 3, 2020, and, September 1, 2021  
Sutherland, Michael, Surgery, June 3, 2020, and, September 1, 2021

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Chio, Eugene, Otolaryngology, June 3, 2020, and September 1, 2021  
Diez, Alejandro, Internal Medicine, June 3, 2020, and September 1, 2021  
D'Souza, Desmond, Surgery, June 3, 2020, and September 1, 2021  
El-Hinnawi, Ashraf, Surgery, June 3, 2020, and September 1, 2021  
Kim, Leslie, Otolaryngology, June 3, 2020, and September 1, 2021  
Lipps, Jonathan, Anesthesiology, June 3, 2020, and September 1, 2021  
Meara, Michael, Surgery, June 3, 2020, and September 1, 2021  
Mundy, Chantelle, Ophthalmology, June 3, 2020, and September 1, 2021  
Noonan, Anne, Internal Medicine, June 3, 2020, and September 1, 2021  
Palanichamy, Kamalakannan, Radiation Oncology, June 3, 2020, and September 1, 2021  
Rushing, Amy, Surgery, June 3, 2020, and September 1, 2021  
Stahl, David, Anesthesiology, June 3, 2020, and September 1, 2021  
Stoner, Michael, Pediatrics, June 3, 2020, and September 1, 2021  
Tozbikian, Gary, Pathology, June 3, 2020, and September 1, 2021  
Vazquez, Daniel, Surgery, June 3, 2020, and September 1, 2021  
Wood, Richard, Surgery, June 3, 2020, and September 1, 2021

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Abdel Baki, Mohamed, Pediatrics, June 3, 2020  
Ajam, Amna, Radiology, June 3, 2020

Atway, Said, Orthopaedics, June 3, 2020  
Audino, Anthony, Pediatrics, June 3, 2020  
Baker, Cindy, Internal Medicine, June 3, 2020  
Barrett, Todd, Internal Medicine, June 3, 2020  
Baylis, Adriane, Plastic Surgery, June 3, 2020  
Besecker, Beth, Internal Medicine, June 3, 2020  
Blakaj, Dukagjin, Radiation Oncology, June 3, 2020  
Bode, Ryan, Pediatrics, June 3, 2020  
Bonachea, Elizabeth, Pediatrics, June 3, 2020  
Carpenter, Kristen, Psychiatry and Behavioral Health, June 3, 2020  
Conteh, Lanla, Internal Medicine, June 3, 2020  
Creary, Susan, Pediatrics, June 3, 2020  
Dawson, Erica, Psychiatry and Behavioral Health, June 3, 2020  
Frag, Alexander, Otolaryngology, June 3, 2020  
Farhadi, Francis, Neurological Surgery, June 3, 2020  
Gee, Samantha, Pediatrics, June 3, 2020  
Grandominico, Jodi, Internal Medicine, June 3, 2020  
Hawley, Jeffrey, Radiology, June 3, 2020  
Hinduja, Archana, Neurology, June 3, 2020  
Humeidan, Michelle, Anesthesiology, June 3, 2020  
Islam, Monica, Pediatrics, June 3, 2020  
Keller, Brian, Internal Medicine, June 3, 2020  
Kerger, Amy, Radiology, June 3, 2020  
Kisanuki, Yasushi, Neurology, June 3, 2020  
Koesters, Stephen, Internal Medicine, June 3, 2020  
Lipari, Adele, Radiology, June 3, 2020  
Luttrull, Michael, Radiology, June 3, 2020  
Macerollo, Allison, Family Medicine, June 3, 2020  
Madhoun, Hareth, Internal Medicine, June 3, 2020  
Massick, Susan, Internal Medicine, June 3, 2020  
Mikulik, Zhanna, Internal Medicine, June 3, 2020  
Mims, Alice, Internal Medicine, June 3, 2020  
Natwa, Mona, Radiology, June 3, 2020  
Obarski, Timothy, Internal Medicine, June 3, 2020  
Ramtekkar, Ujjwal, Psychiatry and Behavioral Health, June 3, 2020  
Reed, Suzanne, Pediatrics, June 3, 2020  
Rempala, Helena, Psychiatry and Behavioral Health, June 3, 2020  
Rogers, Alan, Radiology, June 3, 2020  
Virk, Subhdeep, Psychiatry and Behavioral Health, June 3, 2020  
Walrod, Bryant, Family Medicine, June 3, 2020  
Zareba, Karolina, Internal Medicine, June 3, 2020

#### REAPPOINTMENT

Abou Assalie, Nour, Internal Medicine, September 1, 2021  
Ajam, Ali, Internal Medicine, September 1, 2021  
Alexander, Michael, Family Medicine, September 1, 2021  
Ali, Naeem, Internal Medicine, September 1, 2021  
Anantaraman, Lakshmi, Internal Medicine, September 1, 2021  
Appling, Susan, School of Health and Rehabilitation Sciences, September 1, 2021  
Aravapalli, Srikanth, Pediatrics, September 1, 2021  
Ardura, Monica, Pediatrics, September 1, 2021  
Ataya, Samy, Internal Medicine, September 1, 2021  
Ayan, Ahmet, Radiation Oncology, September 1, 2021  
Bahner, David, Emergency Medicine, September 1, 2021  
Bapat, Roopali, Pediatrics, September 1, 2021  
Baria, Michael, Physical Medicine and Rehabilitation, September 1, 2021

Bartman, Thomas, Pediatrics, September 1, 2021  
Bavishi, Sheital, Physical Medicine and Rehabilitation, September 1, 2021  
Bechtel, Mark, Internal Medicine, September 1, 2021  
Bhatnagar, Bhavana, Internal Medicine, September 1, 2021  
Bhatt, Udayan, Internal Medicine, September 1, 2021  
Boe, Brian, Pediatrics, September 1, 2021  
Boue, Daniel, Pathology, September 1, 2021  
Boulger, Creagh, Emergency Medicine, September 1, 2021  
Bowman, Mary Jo, Pediatrics, September 1, 2021  
Box, Geoffrey, Urology, September 1, 2021  
Brodsky, Sergey, Pathology, September 1, 2021  
Bubolz, Beth, Pediatrics, September 1, 2021  
Burgoon, Jennifer, Biomedical Education and Anatomy, September 1, 2021  
Butnariu, Madalina, Internal Medicine, September 1, 2021  
Butter, Eric, Pediatrics, September 1, 2021  
Cackovic, Michael, Obstetrics and Gynecology, June 30, 2024  
Carr, David, Internal Medicine, September 1, 2021  
Changizi, Barbara, Neurology, September 1, 2021  
Chao, Albert, Plastic Surgery, September 1, 2021  
Chiu, Christopher, Internal Medicine, September 1, 2021  
Christian, Beth, Internal Medicine, September 1, 2021  
Clark, Sharon, Internal Medicine, September 1, 2021  
Connors, Dean, Anesthesiology, September 1, 2021  
Conroy, Mark, Emergency Medicine, September 1, 2021  
Cooper, Robert, Emergency Medicine, September 1, 2021  
Coury, Daniel, Pediatrics, September 1, 2021  
Cvetanovich, Gregory, Orthopaedics, September 1, 2021  
Dalton, Ryan, Anesthesiology, September 1, 2021  
Diaz Pardo, Dayssy, Radiation Oncology, September 1, 2021  
Donham, Nathan, Internal Medicine, September 1, 2021  
Earl, Christian, Internal Medicine, September 1, 2021  
Elhassan, Ihab, Surgery, September 1, 2021  
Eneli, Ihuoma, Pediatrics, September 1, 2021  
Erdal, Barbaros, Radiology, September 1, 2021  
Farrell, Matthew, Family Medicine, September 1, 2021  
Farris, Roopan, Internal Medicine, September 1, 2021  
Feltes, Timothy, Pediatrics, September 1, 2021  
Fernandez Faith, Esteban, Pediatrics, September 1, 2021  
Fernandez, Richard, Pediatrics, September 1, 2021  
Findlen, Ursula, Otolaryngology, September 1, 2021  
Fiorillo, Lauren, Radiology, September 1, 2021  
Foley, Kristin, Radiology, September 1, 2021  
Fussner, Lynn, Internal Medicine, September 1, 2021  
Garbacz, Lauren, Pediatrics, September 1, 2021  
Gathof, Andrew, Emergency Medicine, September 1, 2021  
Gelfius, Carl, Physical Medicine and Rehabilitation, September 1, 2021  
Goldschmidt, Monique, Pediatrics, September 1, 2021  
Gorgas, Diane, Emergency Medicine, September 1, 2021  
Graham, Emily, Internal Medicine, September 1, 2021  
Groce, Jeffery, Internal Medicine, September 1, 2021  
Grove, Sarah, Physical Medicine and Rehabilitation, September 1, 2021  
Guinipero, Terri, Pediatrics, September 1, 2021  
Gulati, Ish, Pediatrics, September 1, 2021  
Gupta, Nilendu, Radiation Oncology, September 1, 2021  
Gutmann, Rebecca, Anesthesiology, September 1, 2021  
Haidar, Atteqa, Internal Medicine, September 1, 2021

Hamilton III, Charles, Anesthesiology, September 1, 2021  
Hardman, Michael, Internal Medicine, September 1, 2021  
Hart, Jocelyn, Psychiatry and Behavioral Health, September 1, 2021  
Hartz, Clinton, Family Medicine, September 1, 2021  
Hasan, Ayesha, Internal Medicine, September 1, 2021  
Hayes Jr., Don, Pediatrics, September 1, 2021  
Hem, Derek, Internal Medicine, September 1, 2021  
Hintenlang, David, Radiology, September 1, 2021  
Holtzlander, Melissa, Pediatrics, September 1, 2021  
Hostutler, Cody, Pediatrics, September 1, 2021  
Humphrey, Lisa, Pediatrics, September 1, 2021  
Hunter, Randee, School of Health and Rehabilitation Sciences, September 1, 2021  
Jackson, Kristen, Physical Medicine and Rehabilitation, September 1, 2021  
Job, Joici, Radiology, September 1, 2021  
Kallash, Mahmoud, Pediatrics, September 1, 2021  
Kamboj, Manmohan, Pediatrics, September 1, 2021  
Kang, Rima, Internal Medicine, September 1, 2021  
Kelly, Sean, Internal Medicine, September 1, 2021  
Kemp, Erika, School of Health and Rehabilitation Sciences, September 1, 2021  
Kendra, Kari, Internal Medicine, September 1, 2021  
Khandelwal, Sorabh, Emergency Medicine, September 1, 2021  
King, Andrew, Emergency Medicine, September 1, 2021  
Kirkpatrick, Robert, Internal Medicine, September 1, 2021  
Larrimore, Ashley, Emergency Medicine, September 1, 2021  
Larry, John, Internal Medicine, September 1, 2021  
Lauden, Stephanie, Pediatrics, September 1, 2021  
Li, Guibin, Internal Medicine, September 1, 2021  
Li, Lin, Anesthesiology, September 1, 2021  
Li, Na, Internal Medicine, September 1, 2021  
Lin, Ada Tina, Pediatrics, September 1, 2021  
Lindsey, Sommer, Emergency Medicine, September 1, 2021  
L'Italien, Kaitlin, Pediatrics, September 1, 2021  
Little, Kevin, Radiology, September 1, 2021  
Lo, Warren, Pediatrics, September 1, 2021  
Lu, Lanchun, Radiation Oncology, September 1, 2021  
Lu, Peter, Pediatrics, September 1, 2021  
Macklin, Jamie, Pediatrics, September 1, 2021  
Magalang, Ulysses, Internal Medicine, September 1, 2021  
Malone, Kara, Obstetrics and Gynecology, September 1, 2021  
Martin, Daniel, Emergency Medicine, September 1, 2021  
McCamey, Kendra, Family Medicine, September 1, 2021  
McClung, Timothy, Internal Medicine, September 1, 2021  
McGregor, John, Neurological Surgery, September 1, 2021  
McVey, Anne, Psychiatry and Behavioral Health, September 1, 2021  
Melvin, Jennifer, Pediatrics, September 1, 2021  
Meschbach, Nicole, Orthopaedics, September 1, 2021  
Michalsky, Marc, Surgery, September 1, 2021  
Milks, Michael, Internal Medicine, September 1, 2021  
Mindel, Jesse, Neurology, September 1, 2021  
Moran, Kenneth, Anesthesiology, September 1, 2021  
Mousa, Luay, Internal Medicine, September 1, 2021  
Nahikian-Nelms, Marcia, School of Health and Rehabilitation Sciences, September 1, 2021  
Neltner, Kurt, Emergency Medicine, September 1, 2021  
Neviaser, Andrew, Orthopaedics, September 1, 2021  
Niktash, Mohammedreza, Emergency Medicine, September 1, 2021  
Nolan, Eric, Internal Medicine, September 1, 2021

Nori, Uday, Internal Medicine, September 1, 2021  
Noritz, Garey, Pediatrics, September 1, 2021  
Norton, John, Anesthesiology, September 1, 2021  
Ojha, Devicka, Internal Medicine, September 1, 2021  
Okabe, Toshimasa, Internal Medicine, September 1, 2021  
Orsinelli, David, Internal Medicine, September 1, 2021  
Ouellette, Christopher, Pediatrics, September 1, 2021  
Ozer, Enver, Otolaryngology, September 1, 2021  
Palacios, Sabrina, Pediatrics, September 1, 2021  
Patel, Anup, Pediatrics, September 1, 2021  
Patrick Jr., Michael, Pediatrics, September 1, 2021  
Perera, Sajithya, Pediatrics, September 1, 2021  
Perez, William, Anesthesiology, September 1, 2021  
Pfeil, Sheryl, Internal Medicine, September 1, 2021  
Pinto, Swaroop, Pediatrics, September 1, 2021  
Pollak, Brandon, Internal Medicine, September 1, 2021  
Poteet, Stephen, Plastic Surgery, September 1, 2021  
Potter, Carol, Pediatrics, September 1, 2021  
Prevedello, Luciano, Radiology, September 1, 2021  
Prosek, Jason, Internal Medicine, September 1, 2021  
Purcell, Michael, Emergency Medicine, September 1, 2021  
Rai, Nina, Internal Medicine, September 1, 2021  
Raj, Rohit, Internal Medicine, September 1, 2021  
Ranalli, Mark, Pediatrics, September 1, 2021  
Renton, David, Surgery, September 1, 2021  
Richards, Nathan, Internal Medicine, September 1, 2021  
Richards, Rebekah, Emergency Medicine, September 1, 2021  
Rink, Trenton, Radiology, September 1, 2021  
Rizer, Milisa, Family Medicine, September 1, 2021  
Roberts, Kristen, School of Health and Rehabilitation Sciences, September 1, 2021  
Rogers, Barbara, Anesthesiology, September 1, 2021  
Rogoski, John, Anesthesiology, September 1, 2021  
Rosenthal, Joseph, Physical Medicine and Rehabilitation, September 1, 2021  
Rosko, Ashley, Internal Medicine, September 1, 2021  
Ruda, James, Otolaryngology, September 1, 2021  
Ryan, John, Orthopaedics, September 1, 2021  
Saklayen, Samiya, Anesthesiology, September 1, 2021  
San Miguel, Christopher, Emergency Medicine, September 1, 2021  
Sandhu, Gurmeet, Anesthesiology, September 1, 2021  
Sergakis, Georgianna, School of Health and Rehabilitation Sciences, September 1, 2021  
Setty, Bhuvana, Pediatrics, September 1, 2021  
Shadchehr, Ali, Internal Medicine, September 1, 2021  
Shah, Nilay, Pediatrics, September 1, 2021  
Shane-Carson, Kate, Internal Medicine, September 1, 2021  
Shellhaas, Cynthia, Obstetrics and Gynecology, September 1, 2021  
Shellman, Sondra, Emergency Medicine, September 1, 2021  
Shidham, Ganesh, Internal Medicine, September 1, 2021  
Simsic, Janet, Pediatrics, September 1, 2021  
Skoracki, Roman, Plastic Surgery, September 1, 2021  
Small, Robert, Anesthesiology, September 1, 2021  
Sohn, Bonnie, Internal Medicine, September 1, 2021  
Southerland, Lauren, Emergency Medicine, September 1, 2021  
Spetie, Dan, Internal Medicine, September 1, 2021  
Stasek Jr., Jerome, Internal Medicine, September 1, 2021  
Stukus, David, Pediatrics, September 1, 2021  
Stukus, Kristin, Pediatrics, September 1, 2021

Sykes, Sabrina, Psychiatry and Behavioral Health, September 1, 2021  
Taylor, Clayton, Radiology, September 1, 2021  
Thompson, Rohan, Pediatrics, September 1, 2021  
Trouten, Jasmine, Internal Medicine, September 1, 2021  
Turner, Katja, Anesthesiology, September 1, 2021  
Ulrich, Lisa, Pediatrics, September 1, 2021  
Ungureanu, Corina, Internal Medicine, September 1, 2021  
Viljoen, Jill, Internal Medicine, September 1, 2021  
Viljoen, Stephanus, Neurological Surgery, September 1, 2021  
Vondra, Mary, Internal Medicine, September 1, 2021  
Vong, Linda, Internal Medicine, September 1, 2021  
Walton, Grace, Pediatrics, September 1, 2021  
Wang, Tzu-Fei, Internal Medicine, September 1, 2021  
Welker, Maryjo, Family Medicine, September 1, 2021  
Wert, Michael, Internal Medicine, September 1, 2021  
Wesolowski, Robert, Internal Medicine, September 1, 2021  
Weymann, Alexander, Pediatrics, September 1, 2021  
Williams, Kent, Pediatrics, September 1, 2021  
Williams, Margaret, Internal Medicine, September 1, 2021  
Witman, Patricia, Pediatrics, September 1, 2021  
Woollard, Jeffrey, Radiation Oncology, September 1, 2021  
Yablok, David, Anesthesiology, September 1, 2021  
Yeager, Nicholas, Pediatrics, September 1, 2021

**COLLEGE OF MEDICINE  
RESEARCH**

**PROMOTION TO RESEARCH ASSOCIATE PROFESSOR AND REAPPOINTMENT**

Pekarsky, Yuri, Cancer Biology and Genetics, June 3, 2020 and September 1, 2021

**REAPPOINTMENT**

Agrawal, Shipra, Pediatrics, September 1, 2021  
Aqeilan, Rami, Cancer Biology and Genetics, September 1, 2021  
Bai, Shasha, Biomedical Informatics, September 1, 2021  
Chakroborty, Debanjan, Pathology, September 1, 2021  
Drusco, Alessandra, Cancer Biology and Genetics, September 1, 2021  
Gavrilin, Mikhail, Internal Medicine, September 1, 2021  
Hollway, Jill, Psychiatry and Behavioral Health, September 1, 2021  
Huang, Wei, Cancer Biology and Genetics, September 1, 2021  
Kinnamon, Daniel, Internal Medicine, September 1, 2021  
Lin, Pei-Hui, Surgery, September 1, 2021  
Lowe, Linda, Pediatrics, September 1, 2021  
Rajaram, Murugesan, Microbial Infection and Immunity, September 1, 2021  
Santoso, Netty, Pathology, September 1, 2021  
Sarkar, Chandrani, Pathology, September 1, 2021  
Spakowicz, Daniel, Internal Medicine, September 1, 2021  
Wang, Bowen, Surgery, September 1, 2021

**COLLEGE OF NURSING**

**PROMOTION TO PROFESSOR**

Smith, Laureen, June 3, 2020



**COLLEGE OF NURSING  
CLINICAL**

REAPPOINTMENT

Bauldoff, Gerene, September 1, 2021  
Browning, Kristine, September 1, 2021  
Momeyer, Mary Alice, September 1, 2021  
Pittman, Oralea, September 1, 2021

**COLLEGE OF NURSING  
RESEARCH**

PROMOTION TO RESEARCH PROFESSOR

Tan, Alai, June 3, 2020

**COLLEGE OF OPTOMETRY**

PROMOTION TO PROFESSOR

Bailey, Melissa, June 3, 2020

**COLLEGE OF OPTOMETRY  
CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Goedde, Dawn, June 3, 2020

**COLLEGE OF OPTOMETRY  
RESEARCH**

REAPPOINTMENT

Jordan, Lisa, September 1, 2021

**COLLEGE OF PHARMACY**

PROMOTION TO PROFESSOR

Hoyt, Kari, June 3, 2020

TENURE [AT THE CURRENT RANK OF ASSOCIATE PROFESSOR]

Campbell, Moray, June 3, 2020

**COLLEGE OF PHARMACY  
CLINICAL**

REAPPOINTMENT

Beatty, Stuart, September 1, 2021  
Pai, Vinita, September 1, 2021  
Pruchnicki, Maria, September 1, 2021

**COLLEGE OF PHARMACY  
RESEARCH**

REAPPOINTMENT

Shu, Dan, September 1, 2021

**COLLEGE OF PUBLIC HEALTH**

PROMOTION TO PROFESSOR

Gallo, Maria, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Felix, Ashley, June 3, 2020

Xu, Yi, June 3, 2020

**COLLEGE OF PUBLIC HEALTH  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Olivo-Marston, Susan, June 3, 2020

**COLLEGE OF SOCIAL WORK**

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Kagotho, Jacqueline, June 3, 2020

**UNIVERSITY LIBRARIES**

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Franks, Tina, June 3, 2020

Rinehart, Amanda, June 3, 2020

Springs Jr., Gene, June 3, 2020

**COLLEGE OF VETERINARY MEDICINE**

PROMOTION TO PROFESSOR

Cornet-Boyaka, Estelle, Veterinary Biosciences, June 3, 2020

Moore, Sarah, Veterinary Clinical Sciences, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Selmic, Laura, Veterinary Clinical Sciences, June 3, 2020

TENURE [AT THE CURRENT RANK OF ASSOCIATE PROFESSOR]

Quimby, Jessica, Veterinary Clinical Sciences, June 3, 2020

**COLLEGE OF VETERINARY MEDICINE  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Diaz Vergara, Sandra, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

Miller, Eric, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

Runcan, Erin, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

Schroeder, Eric, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

Yardley, Jonathan, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

REAPPOINTMENT

Brown, Megan, Veterinary Clinical Sciences, September 1, 2021

Diaz-Campos, Dubraska, Veterinary Clinical Sciences, September 1, 2021

Lerche, Phillip, Veterinary Clinical Sciences, September 1, 2021  
Masterson, Margaret, Veterinary Preventive Medicine, September 1, 2021  
Metzler, Anne, Veterinary Clinical Sciences, September 1, 2021  
Millward, Laurie, Veterinary Clinical Sciences, September 1, 2021  
Rhinehart, Jaylyn, Veterinary Clinical Sciences, September 1, 2021

## HONORARY DEGREES

Synopsis: Approval of the below honorary degrees is proposed.

WHEREAS pursuant to paragraph (A)(3) of rule 3335-1-03 of the Administrative Code, the president, after consultation with the Steering Committee of the University Senate, recommends to the Board of Trustees the awarding of the honorary degree as listed below:

Tim Cook

Doctor of Humane Letters

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-48.8 of the Administrative Code, have approved for recommendation to the Board of Trustees the awarding of honorary degrees as listed below:

Kathryn D. Sullivan  
Grace Wahba

Doctorate of Public Affairs  
Doctorate of Science

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the awarding of the above honorary degrees.



January 4, 2020

Dr. Ben Givens, Secretary  
University Senate  
119 Independence Hall  
1923 Neil Avenue  
Columbus, OH 43210

Dear Dr. Givens,

The Honorary Degrees Committee of the University Senate has carefully reviewed the dossier of Dr. Kathryn D. Sullivan for an honorary degree from The Ohio State University. Professor Caroline Wagner brought forward the nomination.

Kathryn D. Sullivan is an American geologist who began her career as an oceanographer. In the late 1970s, Dr. Sullivan applied to NASA to become an astronaut. She served on the crew of three space shuttle missions, and was the first American woman to walk in space. After leaving NASA, Dr. Sullivan served as President and Chief Executive Officer of COSI Columbus, an interactive science center in Columbus, Ohio. Under her leadership, COSI strengthened its impact on science teaching in the classroom and its national reputation as an innovator of hands-on, inquiry-based science learning resources. Following this service, Dr. Sullivan served at The Ohio State as the inaugural director of the Battelle Center for Mathematics and Science Education Policy, housed within the John Glenn School of Public Affairs. As Center Director, Dr. Sullivan was noted for acquiring grant funds to champion the role of girls and women in Science, Technology, Engineering, and Mathematics to great effect for the State of Ohio. In 2004, President George W. Bush appointed Dr. Sullivan to serve on the US Government's National Science Board, where she served the nation with distinction by supporting science and technology investments.

Dr. Sullivan was Under Secretary of Commerce for Oceans and Atmosphere and Administrator of the National Oceanic and Atmospheric Administration, appointed by President Barack Obama and confirmed by the United States Senate. Dr. Sullivan held this executive position from 2011 until 2017. At NOAA, Dr. Sullivan's tenure was noted for her leadership of infusing policy process with scientific rigor, of enhancing agency outreach to industry, academia, and to the public (including developing an award-winning website), and for coordinating policy actions across many agencies of government. Dr. Sullivan was a tireless advocate for tracking and monitoring changes to the climate and the oceans, ensuring effective stewardship of natural resources. Dr. Sullivan updated and expanded weather tracking for public good. In particular, she effectively coordinated with NASA to target and use satellites and GPS data to serve multiple government agencies in a way that would enhance service and save money. Following completion of her service at NOAA, Dr. Sullivan was designated as the 2017 Charles A. Lindbergh Chair of Aerospace History at the Smithsonian Institution's National Air and Space Museum and she has also served as a Senior Fellow at the Potomac Institute for Policy Studies.

By an overwhelming vote, the Committee recommends that Dr. Sullivan's dossier be forwarded to the University Senate for their consideration, and recommends the title of Doctorate of Public Affairs *honoris causa*.

Respectfully submitted,

Jennifer Crocker  
Ohio Eminent Scholar in Social Psychology  
Professor of Psychology  
Chair, Senate Honorary Degrees Committee



January 16, 2020

Dr. Ben Givens, Secretary  
University Senate  
119 Independence Hall  
1923 Neil Avenue  
Columbus, OH 43210

Dear Dr. Givens,

The Honorary Degrees Committee of the University Senate has carefully reviewed the dossier of Professor Dr. Grace Wahba for an honorary degree from The Ohio State University. Professor Yoonkyung Lee brought forward the nomination.

Professor Wahba is a pioneer in statistical methods for modeling with observational data. A common question in modeling with noisy complex observations is how to come up with a plausible mathematical description that has simple interpretable forms and characteristics to enhance our understanding of the world and the phenomenon we study, while at the same time maintains fidelity to the data. Professor Wahba developed a powerful and mathematically elegant method called smoothing splines that provides such a description. It combines the richness of flexible, high-dimensional models that can capture patterns in the data with simple, interpretable summaries that place focus on the central features of interest. The method has become part of the standard modeling toolkit for researchers and practitioners, finding numerous applications in a broad range of fields including science, engineering, and medicine.

Professor Wahba's extensive contributions to statistical modeling with splines in both theory and computation have made her name synonymous with the methodology. She is regarded as the mother of smoothing splines. Her book, *Spline Models for Observational Data*, is an authoritative reference on the subject. The book is based on a series of ten lectures that she delivered at Ohio State in March, 1987 at a conference organized by the Department of Statistics and sponsored by the Conference Board of the Mathematical Sciences and the National Science Foundation. At the conference, the statistics community saw an impressively consistent and unified treatment on a wide array of data modeling problems using smoothing splines. The conference and book led to a massive increase in the visibility and use of smoothing splines for data analysis.

The impact of Professor Wahba's work is significant and far-reaching. Her methods have been used in many practical applications including bioinformatics, demography, and machine learning. As importantly, she has been actively involved in collaborations with domain scientists throughout her career.

By an overwhelming vote, the Committee recommends that Dr. Wahba's dossier be forwarded to the University Senate for their consideration, and recommends the title of Doctorate of Science *honoris causa*.

Respectfully submitted,

Jennifer Crocker  
Ohio Eminent Scholar in Social Psychology  
Professor of Psychology  
Chair, Senate Honorary Degrees Committee

## **DEGREES AND CERTIFICATES**

Synopsis: Approval of degrees and certificates for summer term 2020, is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees, the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the degrees and certificates to be conferred on August 9, 2020, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools.

# Provost's Report

Academic Affairs, Student Life and Research Committee

June 2020

## ACADEMIC LESSONS FROM SPRING 2020

On March 12, 2020, Ohio State announced that spring semester 2020 would be completed using virtual modes of teaching and learning to protect the health and well-being of the Ohio State community in light of the COVID-19 pandemic. The academic calendar was extended by about a week to assist with the transition, most students who lived in university housing moved out, and all non-essential employees began to telework.

On May 3, 2020, the university awarded a record 12,967 degrees and certificates to its largest-ever graduating class through a virtual commencement ceremony viewed by more than 86,000 people.

### Keys to our successful transition

Ohio State was able to successfully pivot quickly to virtual teaching and learning for three main reasons:

- **Digital capabilities:** The university had already established the digital tools, capacity and expertise to allow for virtual teaching, learning and work. Our learning management system, CarmenCanvas, was already in place and included integrated, enterprise-scale tools such as Zoom. More than 24,000 students already had been provided with iPad learning technology suites through the Digital Flagship initiative, which also helps faculty members learn how to effectively use technology in their courses. The university had already developed best practices for online education, with the No. 1 online undergraduate degree program and multiple highly ranked master's degree programs.
- **Support for students, faculty and staff:** The Office of Distance Education and eLearning (ODEE) quickly stood up three websites — [keeplearning.osu.edu](http://keeplearning.osu.edu), [keep teaching.osu.edu](http://keep teaching.osu.edu) and [keepworking.osu.edu](http://keepworking.osu.edu) — to share techniques, tools and other resources to support the Ohio State community. Experts from the University Institute for Teaching and Learning, ODEE and other areas also assisted faculty in pivoting courses to virtual delivery. Throughout the 15 colleges, faculty and staff worked together to share best practices and provide individualized support to students.
- **Flexibility:** Through both university-wide initiatives and efforts by thousands of individual faculty and staff, Ohio State adapted to prioritize the key educational needs of the moment and provide services online. Students were offered the opportunity to opt-in for Pass/No Pass grading for most courses in recognition of the disrupted environment. The Graduate School adopted new flexibility for students to defend their dissertations through virtual modes. The Office of Academic Affairs expedited the course-approval process for summer 2020 courses to be delivered online and allowed eligible faculty members to extend the tenure clock, providing more time to complete disrupted work needed to pursue tenure.



## By the numbers

- **Course offerings:** Ohio State offered 13,574 course sections during spring semester, of which 89.6% were offered in-person prior to the transition to virtual learning. In addition, faculty updated more than 12,165 courses in the learning management system, CarmenCanvas, to prepare for virtual instruction.
- **CarmenCanvas:** 30,000 logins per week after spring break, vs. 9,000 prior
- **Zoom:** 47,000 meetings per week after spring break, compared with 2,000 prior
- **Workshops:** ODEE/UITL conducted 41 workshops across a range of tools and strategies, with 330 attendees and 10,000 recording views.
- **IT Support:** 464 IT support requests per week after spring break, compared with 171 prior
- **iPads:** 24,000+ iPad learning technology suites distributed to first- and second-year students through the Digital Flagship initiative. Another 270 iPads distributed to students, faculty and staff with critical needs for technology

## Academic resources

- **[Keep Teaching](#):** This webpage helped faculty quickly prepare for virtual instruction by emphasizing the Carmen Key Three (tasks to complete in our learning management system: upload your syllabus, organize your course materials and organize your gradebook). The site also offered workshops, tips on assessments and other resources.
- **[Keep Learning](#):** This student-focused website offered tips and techniques for virtual learning. Beyond educational support, the site listed free and reduced-cost internet options and primers on technology tools.
- **[Keep Working](#):** To support employees, this website provides tips on technology, IT security and links to HR resources.
- **[Keep Researching](#):** The Research Hub is a one-stop marketplace for researchers to discover projects, find experts and identify resources. It also links researchers to funding sources for COVID-related work.
- **[University Institute for Teaching and Learning](#):** UITL offers pedagogical support for faculty, including communities of practice for distance education.
- **[Academic Decisions and Dates](#):** This webpage shares academic decisions made in response to COVID-19 as well as adjustments to academic deadlines and dates.
- **[Grading Policy Changes](#):** From Pass/No Pass policies to extended timelines to resolve Incomplete grades and withdrawal deadlines, this webpage details flexible options to students for Spring 2020.

# Student Life's Response to the COVID-19 Pandemic

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The COVID-19 outbreak has affected nearly all aspects of life, including our campus environments. Now, more than ever, it has been critical to do all we can to support students to have a positive and productive experience at The Ohio State University. Student Life's goal is to help every student have their own extraordinary experience. Months ago, this included efforts such as hands-on service opportunities, on-campus jobs and in-person career workshops. Today, it means virtual counseling appointments, online group fitness classes and events via Zoom.

A hallmark of the Ohio State experience is the robust co-curricular and residential offerings. Even in a virtual learning environment, we must continue helping students learn, grow and thrive. The following outlines the Office of Student Life's response to the COVID-19 pandemic and how we are planning for a safe and healthy future for all Buckeyes.

## Immediate Response – March 2020

Student Life facilitated the move out of more than 12,000 students from residence halls on three campuses over the initial eight-day move out process while maintaining safe, physical distancing. To do so, each student scheduled a time to return to their residence hall, retrieve their belongings and check out. Each hall was limited to between five and 15 residents per timeslot, depending on the size of the building.

For our students who were unable to return home, we worked with them individually to approve an exception to stay on campus, either for a few weeks or until the end of the semester. In total, approximately 1,000 students remained living in residence halls. Student Life facilitated a safe, physically-distanced move process to consolidate students into three residence halls in order to provide proper services and close access to Student Life Dining Services facilities. Each student received their own room with a private bathroom to promote enhanced physical distancing.

## Mid-term Response – March to May 2020

When the university moved to virtual instruction, it was imperative that Student Life continue to support students wherever they might be. Many Student Life departments began providing virtual appointments and programs, including Counseling and Consultation Service, Disability Services, the Student Wellness Center and Career Counseling and Support Services. Residence Life provided online peer tutoring for math, chemistry and physics courses. The Buckeye Food Alliance food pantry expanded operating hours to better support students in need.

In addition to support services, Student Life continued to provide opportunities for students to learn and engage with each other, including virtual events, programming, speakers and service opportunities. Recreational Sports is offering daily workouts and group fitness anyone can do from home. Student Life also facilitated more than 250 volunteers to conduct kindness calls to more than 18,000 first-year students and graduating students to see how they were doing and how the university could better support them.

## Long-term Considerations – May 2020 and Beyond

Student Life is currently working with the university to plan for the future by contributing to post-pandemic planning. One area that may need additional support is our off-campus housing area. During spring semester, some student residents in the area were not following physical distancing guidelines despite educational efforts from the university and the City of Columbus. Ohio State, in collaboration with the City of Columbus, will need to continue educating students on the importance of following public health guidelines. Likewise, COVID-related financial impacts on students must be carefully monitored so that the university can assist students whose academic progress may be at risk.

# Student Life's Response to the COVID-19 Pandemic

## Key Learnings

The past months have been unprecedented in impact and scope, and some key learnings from the experience include:

As a 24/7 operation, remote work options have been historically difficult to advance in a student affairs organization. We have learned very quickly that working remotely is possible and can be effective and efficient in the higher education environment. However, as a residential campus, there will always be a need for on-campus staffing.

The mid-semester transition to exclusive virtual learning necessitated significant changes in service and program delivery, and it also resulted in significant housing, dining and recreation fee refunds to students. Depending on how the pandemic response impacts future operations in the short-term and in the "next normal," ongoing budget implications must be carefully considered.

### Students are willing to engage virtually in services and programming

- The Ohio Union Activities Board (OUAB) held three large virtual events in April; between 400 and 1,000 students attended each event, similar to in-person offerings earlier in the semester.
- Virtual engagement may be important for students' health and wellness. Prior research has found relationships between isolation and depression, health problems and increased mortality (Cacioppo & Cacioppo, 2018).

### Many students are in financially precarious situations

- Student Life's Student Advocacy Center received 1,432 applications for emergency funds and distributed \$481,250.53 to 635 students from March 12 to May 1.
- Prior to the COVID-19 pandemic, research by the Center for the Student of Student Life found that 15% of Ohio State students were food insecure and 39% reported that it was somewhat or very unlikely that they could come up with \$400 in case of an emergency.
- In March 2020, there were 320 visits to the Buckeye Food Alliance food pantry; compared to 67 visits in March 2019.

### Our recent graduates will need strong support as they begin their careers

- Results from Ohio State's spring 2020 Graduation Survey found that 55% of graduating seniors reported that COVID-19 changed their post-graduation job situation; among those who reported their job situation changed, 23% reported they lost a previously held job and 44% reported they had to restart or alter their job search.
- Research finds that college students who graduated during the 2009 recession experienced double the unemployment rates of their peers graduating during other time periods and were more likely to experience underemployment, working in jobs that typically do not require a college degree. African American and Latinx graduates experienced greater unemployment and underemployment than their Caucasian peers (Abel & Detiz, 2016).

## References

Abel, J.R. & Deitz, R. (2018). Underemployment in the early careers of college graduates following the great recession. In Hulton, C.R. & Ramey, V.A. (Eds.), *Education, skills and technical change: Implications for future U.S. GDP growth* (pp. 149-181). University of Chicago Press.

Cacioppo, J.T. & Cacioppo, S. (2018). The growing problem of loneliness. *The Lancet*, 391(10119), 426.

### ***From support to recovery: Three phases of response for university research***

The Office of Research COVID-19 preparations started in earnest in early March. Below is a synopsis of our efforts and how our focus has developed throughout this crisis.

#### **CONTAINMENT AND SUPPORT**

As we worked with academic and medical leaders across campus, we realized the increasing likelihood that on-campus lab and studio work would need to be ramped-down and administrative support for these efforts would need to be transitioned off campus where possible. Our initial focus was on support for our people. We immediately assessed our research support units and prioritized the University Lab Animal Resources (ULAR) unit as one where our staff for that unit would need to remain on campus. ULAR provides veterinary services to animals used in biomedical research and teaching. We worked with the unit leader, who is also the university's attending veterinarian, her team and others to provide enhanced safety for our workforce. By the week of March 16, we had transitioned all other support units to work from home.

To help prepare the research and creative expression community, on Tuesday, March 10 we launched the "[Coronavirus and Your Research Program](#)" website that initially included scenarios and potential impacts our investigators should consider as we got a handle on the effects COVID-19 would have on research. This site became one of our focal communication points to share valuable and timely information and was expanded to include Ohio State guidance and sponsor guidance that continues to provide value to our community even today.

On Wednesday, March 18, we worked with our colleges and academic leadership to issue guidance that formally began the ramp down of all non-critical, on-campus research and requested that non-critical research be transitioned to work from home where possible. As part of this guidance, we defined critical research activities that could continue on-campus if necessary. Those critical activities included:

- COVID-19 research that has the potential to mitigate the spread of the pandemic;
- Certain medical research that if discontinued would endanger the lives of the participants in the research; and
- Procedures that require timely and regular attention from a person to maintain critical laboratory infrastructure and experimental conditions.

By the end of March, the shortage of critical personal protective equipment (PPE) became an increasingly serious concern for clinicians working directly with patients at Wexner Medical Center. On Tuesday, March 24, we contacted our research community about donating needed PPE supplies to our frontline clinicians, and they responded positively.

#### **COORDINATION**

Once the initial shock of the crisis began to fade and faculty started to adjust to online teaching and the new normal, our focus within the Office of Research also changed. As you would expect from the state's flagship university, faculty were eager to find solutions to address the various impacts of COVID-19. We wanted to incent promising research and connect researchers, so on Wednesday, April 1 we launched the COVID Seed Funding program. Over three rounds of funding during a three week span, we received 258 proposals requesting nearly \$10 million in funding. We ultimately funded 24 innovative projects totaling over \$770,000.



In conjunction with this effort on Wednesday, April 8 we launched the [KeepResearching.osu.edu](https://KeepResearching.osu.edu) website to provide a connection point for those researchers interested in pivoting their research program to addressing COVID-related needs. The main part of the site, the Research Hub, shares information on the various COVID projects either under development or underway across campus. The Hub also includes resources that are available to help with those efforts, like 3D printers or data sets, as well as faculty and staff willing to provide expertise to those projects. As of May 11, we have over 50 projects listed and nearly 200 faculty and staff willing to provide expertise. This site includes internal and external COVID research funding, COVID research news from our colleges and units as well as information on a new multi-university effort that documents technology licensing principles designed to allow equitable access to university innovations during this public health crisis.

## **RECOVERY**

As we enter the recovery phase, the Office of Research is working on the ramp-up and recovery of the university's research and creative expression mission. To help with these efforts and gain additional insights from faculty and units across campus, we convened the faculty-led Research Recovery Committee on Tuesday, April 21. The committee delivered its report on Monday, May 11 providing recommendations for a strategic, gradual approach to ramping up research. The recommendations that emerged were further informed by the work of the university's COVID-19 transition task force and its committees, including the Safe Campus & Scientific Advisory and PPE subgroups.

Using these sources and guidance from our colleges, the Office of Research developed the Research Recovery Plan for Staged Reopening. This document provides the current framework for reopening laboratories, studios, and research spaces in five stages. On Monday, May 18, college leadership was asked to complete and approve plans detailing how they will implement each stage. College level plans for the first two stages of return are due on Friday, May 29. Before returning to campus, all faculty, staff and students will be required to complete a form acknowledging their responsibilities and complete an online training module.

One area that is important to our research recovery efforts involves supports for graduate students who are the backbone of many research program across campus. Graduate students face a unique set of challenges from adjusting to doing research from home, to concern about finishing their degrees on time and pressing financial troubles. We have worked with funders, the Graduate School and the Office of Human Resources to ensure their pay would continue, worked with PIs to help the transition to home, and have worked with colleges to move forward with graduate student hires in the fall.

West Campus Innovation District is another pivotal piece of our recovery efforts. Planning work continues on both the Interdisciplinary Research Facility, including the mapping of research neighborhoods, and the Energy Advancement and Innovation Center, the cornerstone buildings of Phase I.



	Measurement	2017-18	2018-19	2019-20 Actual	Status	2019-20 Target	
Teaching and Learning	Percentage of faculty who have completed Teaching Practices Inventory	Launched in 2019	74.9%	82.7%*	▲	70% (Expected of all new UG teaching faculty by 2021)	
	Percentage of faculty who have completed Teaching@OhioState modules/UITL Reading List	Launched in 2019	47.2%	69.0%*	▲	50% (Expected of all new UG teaching faculty by 2021)	
	Student participation in high impact learning opportunities	In Progress	In Progress	In Progress	■	In Progress	
	WSJ / THE Student Engagement Survey Public University Rank	16th	20th	7th	▲	Maintain Top 10	
Access and Affordability	Percent of NFYS Pell recipients	Columbus	16.9%	16.4%	18.2%	▲	N/A
		Regional	38.5%	36.5%	34.8%	▼	N/A
	Percentage of Pell recipients with 100% tuition and mandatory fees met (Ohio residents)	Columbus	78.0%	96.0%	Available Mid-Oct 2020	▲	95.0%
		Regional	50.0%	60.0%	Available Mid-Oct 2020	▲	N/A
	Total institutional aid awarded to students (Columbus)	Non-Need-based	\$74.7M	\$77.6M	\$78.5M	▲	N/A
		Need-based	\$114.0M	\$121.0M	\$127.8M	▲	N/A
	Percentage of UG students graduating with no debt	48%	50%	Available Mid-Oct 2020	▲	48%	
	Average student debt for UG graduating cohorts who borrowed	\$27,453	\$27,242	Available Mid-Oct 2020	▼	N/A	
No. of Columbus campus students who changed from Ohio State regional campuses or transferred from Ohio community and technical colleges	2,337	2,327	2,370	▲	2,370		
Student Experience and Success	First year retention rates	Columbus	94.2%	94.5%	94.1%	▼	94.6%
		Regional	67.7%	70.5%	68.7%	▼	71.0%
	Four/Six-year graduation rates (Columbus, Freshman Cohort)	Four-year	62.4%	64.6%	67.0%	▲	65.0%
		Six-year	82.5%	83.5%	85.8%	▲	85.0%
	Graduation rates for transfer students (to Columbus campus)	Four-year	68.0%	69.0%	68.7%	◄	N/A
		Six-year	72.1%	72.7%	74.4%	▲	N/A
	Six-year graduation rates by Pell status (Columbus)	Pell Recipients	74.4%	75.7%	78.3%	▲	N/A
		Non-Pell Recipients	84.7%	85.3%	87.5%	▲	N/A
	Degree completions (All Campuses)	Bachelors	11,349	11,478	Data for 2019-20 available mid-July 2020	▲	N/A
		Masters	2,761	2,750		▲	
		Doctoral	855	886		▲	
		Professionals	807	802		◄	
	Total	15,772	15,916	▲			
	Participation in undergraduate student activities	80.9%	80.2%	76.4%	▼	83%	
Sense of belonging score - graduate/professional students (4-point scale, 4 being the most positive)	3.03	3.06	3.01	▼	3.20		
Graduating students' overall satisfaction with Ohio State experience	Columbus	91.1%	88.8%	89.5%	▲	91%	
	Regional	90.2%	90.3%	92.3%	▲	91%	
Graduating students who say Ohio State is a good investment	Columbus	75.2%	70.0%	72.2%	▲	75%	
	Regional	71.1%	76.0%	70.1%	▼	75%	
Research and Creative Expression Excellence and Faculty Success	Number of National Academy Members and other Prestigious Awards	289	297	304*	▲	300	
	Recruit and retain talent	Chaired Faculty	N/A	N/A	198*	■	205
		Postdoctoral Scholars	642	595	659*	◄	650
		Grad. Research Associates Funded on Grants	1,284 (64%)	1,295 (62%)	1,432 (63%)*	▲	1,489 (65%)
	Total R&D Expenditures/ US university rank - NSF HERD	Expenditures	\$875M	\$929M	Data Available Fall 2020	▲	\$950M
		Ranking	25th (14th public)	Rank Available Fall 2020		▲	
	Total industry-funded R&D Expenditures/ US university rank - NSF HERD	Expenditures	\$139M	\$158M	Data Available Fall 2020	▲	\$165M
		Ranking	5th (2nd public)	Rank Available Fall 2020		▲	
	Total number of publications, citations and other creative expression indicators	Publications	21,671	22,695	Data Available Fall 2020	▲	23,150
		Citations	376,572	424,374		▲	
Performances and Exhibitions		In Progress	In Progress	In Progress		■	
Technology Commercialization	Gross License Income	\$7,972,860	\$8,862,863	Data Available Fall 2020	◄	\$5,000,000	
	Start-ups	19	14		◄		15
	Invention Disclosures	458	418		▼		425
Reputation and Strategic Position	USNWR, America's Best Colleges Rank (Publics)	17	17	Available Sep 2020	◄	In Progress	
	Number of Graduate & Professional Programs in Top 25	55	60	68	▲	In Progress	
	Online Degree/certificate Programs	# of Programs	27	36	43*	▲	35
		Program Enrollment	2,520	3,652	4,695*	▲	3,000
Revenue Generation	\$13.3M	\$16.6M	\$27.3M*	▲	\$25M		

Note: BOT Time and Change Scorecard Metrics are indicated by light blue shading in the table.

\* Data with an asterisk (\*) are most recent, year-to-date data.

- Meets or Exceeds Goal
- Caution
- Below Goal - Action Needed
- Data Pending for most recent year

- ▲ Performance Up from last Scorecard Update
- ◄ No Performance Change from last Scorecard Update
- ▼ Performance Down from last Scorecard Update

**WEDNESDAY, JUNE 3, 2020**  
**MASTER PLANNING AND FACILITIES COMMITTEE MEETING**

Alexander R. Fischer  
James D. Klingbeil  
Timothy P. Smucker  
Brent R. Porteus  
Anand Shah  
Robert H. Schottenstein  
Gary R. Heminger (*ex officio*)

Location: Public session available via WOSU livestream

Time: 11:45am-12:30pm (Executive)  
4:00-4:25pm (Public)

**Executive Session**

11:45am-12:30pm

**Public Session**

*ITEMS FOR DISCUSSION*

1. *Physical Environment Scorecard – Jay Kasey* 4:00-4:05pm
2. *Major Project Updates – Mark Conselyea* 4:05-4:15pm
3. *Design Update: Interdisciplinary Research Facility – Keith Myers* 4:15-4:25pm



PHYSICAL ENVIRONMENT	Actual Prior Year Same Period (FY19 YTD)	FY20 Year-To-Date				FY20 Annual Target (Budget)	Comments
		Actual	Target (Budget)	Target %Var	Actual vs Target		
<b>A. FINANCIAL</b>							
1. A&P Tot. Operating Expenses (General & Earnings Funds)	\$111,675,722	\$114,920,606	\$118,693,613	-3.2%	—		
<b>B. OPERATIONAL</b>							
1. %Total Projects Completed on Time	79.4%	79.2%	90.0%	-12.0%	▼	90.0%	
2. %Total Projects Completed on Budget	97.2%	98.9%	90.0%	9.8%	—	90.0%	
3. Capital Investment Program Spend *	\$368.4	\$432.1	\$532.5	-18.9%	▼	\$808.1	Metric is measured Quarterly, showing 3rd Quarter FY20 Values; in Millions
4. Facility Condition Index % - Conditioned Academic/Research Bldgs	74.2%	74.5%	80.0%	-6.9%	▬	80.0%	Avg. composite FCI to-date.
5. CABS Riders	3,834,901	3,789,145	3,702,000	2.4%	▼	4,589,000	YTD positive variance results from increased year-over-year service to the Med. Ctr. The reduction in trend is likely the result of OSU-wide COVID-19 precautions.
6. All Parking Garage Peak Time Occupancy % **	84.0%	74.9%	80.0%	-6.4%	▲	80.0%	YTD (Jul-Mar) Occup%: Transient= 65.4%, Permit= 82.3%, Mixed= 74.3% CampusParc uses loop counters to track counts. In high demand we see counts over 100%.
7. WMC Parking Garage Peak Time Occupancy % **	92.3%	89.3%	80.0%	11.7%	▼	80.0%	YTD (Jul-Mar) Occup%: Transient= 87.3%, Permit= 90.2%, Mixed= 90.3% CampusParc uses loop counters to track counts. In high demand we see counts over 100%.
8. Sum of Daily Temporary Parking Space Closures	8,898	13,607	13,750	-1.0%	▼	20,000	Drivers for space closures: MM Visitor Parking Proj., 600 Ackerman Generator Proj., 12th Ave Garage Proj. @Arnoff Dock, Mirror Lake Algea Proj., ENGIE's proj. in Sisson Lot, Enarson Roof Proj., Vet Med Ctr Tharp Rd Visitor lot, & Service Annex Remodel Proj.
9. WOSU Broadcast Audience (Viewers, Listeners)	565,033	646,433	565,033	14.4%	▲	569,758	Budget is based on prior FY actuals with increased viewers and listeners
10. WOSU Digital Audience (Unique Visitors, Video Views, Digital Audio)	6,128,557	7,605,584	6,128,557	24.1%	▲	8,346,796	Budget is based on prior FY actuals with increased unique visitors and streaming
<b>C. SAFETY</b>							
1. EHS Recordable Accident Rate (CYTD):	1.40	1.00	2.00	-50.0%	▲	2.00	2020 Calendar YTD
2. Major On-Campus Crimes (burglary, assault, arson, etc.)	88	70	96	-27.1%	▲	120	Record Mgmt Sys. Data unaudited at time of retrieval
3. Avg. Response time to In-Progress Calls for Svc	3:50	3:57	5:00	-20.8%	▲	5:00	Record Mgmt Sys. Data unaudited at time of retrieval
4. Traffic Accidents Injury	27	19	26	-26.9%	▲	31	Record Mgmt Sys. Data unaudited at time of retrieval
5. Traffic Accidents Non-Injury	168	119	177	-32.8%	▼	215	Record Mgmt Sys. Data unaudited at time of retrieval
6. Off-Campus Crime Statistics	1,519	1,120	1,519	-26.3%	▲	1,940	Record Mgmt Sys. Data unaudited at time of retrieval

\* For B3. Capital Investment Program Spend, Green = "Target %Variance" of + or - 10%, with an additional Yellow range extending 10% above and 20% below the Green range.

\*\* For B6&7. Parking Garage Peak Time Occupancy %, the target is 85% + or - 5% pts., with an additional 5% pt. Yellow range in both directions. Peak time measured on weekdays between 12:30 and 1:30 p.m.

- Meets or surpasses Target
- Within 10% of Target
- Does not meet Target by >10%
- Data Pending
- ▲ 4-Mo Target %Var improved from Prior 4-Mo
- ▬ Within +/- 2.5% of Prior 4-Mo Target %Var
- ▼ 4-Mo Target %Var decline from Prior 4-Mo





**THE OHIO STATE UNIVERSITY**

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# Major Project Updates

Projects Over \$20M

June 2020



# PROJECT STATUS REPORT CURRENT PROJECTS OVER \$20M

PROJECT	CONS COMPLETION DATE	APPROVALS		BUDGET	ON TIME	ON BUDGET
		Des	Con			
OSU East – West Wing Expansion/Renovation	7/2020	✓	✓	\$26.0M		
Mars G. Fontana Laboratories (BMEC)	7/2020	✓	✓	\$59.1M		
Wooster – New Laboratory Building	9/2020	✓	✓	\$33.5M		
Optometry Clinic and Health Sciences Faculty Office Bldg	10/2020	✓	✓	\$35.9M		
WMC Inpatient Hospital – Central Sterile Supply	11/2020	✓	✓	\$45.3M		
Postle Partial Replacement	12/2020	✓	✓	\$95.0M		
Ty Tucker Tennis Center	12/2020	✓	✓	\$22.8M		
Newark – John & Mary Alford Ctr for Sci and Technology	2/2021	✓	✓	\$32.0M		
WMC Inpatient Hosp Garage, Infr & Roadwork	3/2021	✓	✓	\$102.1M		
WMC Outpatient Care New Albany	7/2021	✓	✓	\$137.9M		
Dodd – Parking Garage	5/2022	✓	✓	\$33.3M		
Celeste Lab Renovation	8/2022	✓	✓	\$31.1M		
WMC Outpatient Care Dublin	9/2022	✓	✓	\$161.2M		
Interdisciplinary Research Center	2/2023	✓	✓	\$237.5M		
WMC Outpatient Care West Campus	TBD	✓	✓	\$343.7M		

Key: On track Watching closely Not on track Modified Scope/Paused



# PROJECT STATUS REPORT CURRENT PROJECTS OVER \$20M

PROJECT	CONS COMPLETION DATE	APPROVALS		BUDGET	ON TIME	ON BUDGET
		Des	Con			
Arts District	1/2022	✓	✓	\$161.6M		
Instructional Sciences Renewal and Deferred Maint.	7/2022	✓	✓	\$25.0M		
Controlled Environment Food Prod. Research Complex	TBD	✓	✓	\$35.0M		
Interdisciplinary Health Sciences Center	TBD	✓	✓	\$155.9M		
Lacrosse Stadium	TBD	✓		\$20.0M		
Newton Hall – Renovation and Addition	TBD	✓	✓	\$24.5M		



## OSU EAST – WEST WING EXPANSION/ RENOVATION

Renovation of 11,500 GSF and expansion of 29,000 GSF on the second floor of the west wing of the hospital tower with mechanical penthouse.

Renovated and expanded spaces include operating rooms, pre-operative/PACU spaces; imaging; and improvements to the patient arrival experience.

### PROJECT FUNDING

auxiliary funds

### CURRENT PROJECT BUDGET

construction w/cont	\$23.9M
total project	\$26.0M

### CONSULTANTS

architect of record	Moody Nolan
CM at Risk	Elford

### PROJECT SCHEDULE

BoT approval	6/17
construction	9/18 – 7/20
facility opening	8/20

### PROJECT UPDATE

Phase 1 completed 4/2020. Construction work is ongoing in remaining areas, including interior framing, plumbing, and fireproofing. Exterior paving and landscaping work is ongoing. Radiology will open 6/2020; phases 2-4 will complete 7/2020.

 On Time

 On Budget



# MARS G. FONTANA LABORATORIES BIOMEDICAL ENGINEERING COMPLEX (BMEC)

Renovation of the former Koffolt Lab and Fontana Lab to create research labs, offices, and classrooms.

### PROJECT FUNDING

state appropriations; fundraising; department funds; university debt

### CURRENT PROJECT BUDGET

construction w/cont	\$53.2M
total project	\$59.1M

### CONSULTANTS

arch of record	Moody Nolan
design architect	Perkins & Will
CM at Risk	Ruscilli

### PROJECT SCHEDULE

BoT approval	9/16
construction	5/18-7/20
facility opening	8/20

### PROJECT UPDATE

Hardscape and landscape work underway. Interior finish work is ongoing for all trades.



 On Time

 On Budget





## WOOSTER – NEW LABORATORY BUILDING



Construct a new facility for Entomology research that will include teaching labs, research space, classrooms, and a small café. The new building will connect to a central chilled water plant.

### PROJECT FUNDING

university funds; state appropriations

### CURRENT PROJECT BUDGET

construction w/cont	\$30.0M
total project	\$33.5M

### CONSULTANTS

architect of record	Hasenstab
CM at Risk	Elford



### PROJECT SCHEDULE

BoT approval	1/17
construction	10/18-9/20
facility opening	10/20

### PROJECT UPDATE

Structural steel and metal deck installation are nearly complete. Framing and installation of brick are in process. Air handling units have been set on the roof. Campus chilled water improvements are complete.

On Time

On Budget



## OPTOMETRY CLINIC AND HEALTH SCIENCES FACULTY OFFICE BUILDING

Construct a building at 11<sup>th</sup> Avenue and Neil Avenue for College of Optometry patient clinic and eyewear gallery. The facility will also include clinic support and Health Sciences faculty offices. This project is a key enabler for the Interdisciplinary Health Sciences Center.

### PROJECT FUNDING

auxiliary funds; university funds

### CURRENT PROJECT BUDGET

construction w/cont	\$31.7M
total project	\$35.9M

### CONSULTANTS

architect of record	Moody Nolan
Design/Build firm	Daimler Group

### PROJECT SCHEDULE

BoT approval	11/17
construction	2/19-10/20
facility opening	11/20

### PROJECT UPDATE

Masonry complete on south face; limestone and brick installation is ongoing on the east face. Interior drywall is complete on floors 1 through 3. Permanent power is established. Building enclosure completed in May.

 On Time

 On Budget



## WEXNER MEDICAL CENTER INPATIENT HOSPITAL – CENTRAL STERILE SUPPLY

The project will construct a central sterile supply building to serve the hospital and ambulatory locations.

### PROJECT FUNDING

auxiliary funds

### CURRENT PROJECT BUDGET

construction w/cont	\$35.4M
total project	\$45.3M

### CONSULTANTS

architect of record	HDR
CM at Risk	Walsh-Turner

### PROJECT SCHEDULE

BoT approval	2/18
construction	7/19-11/20
facility opening	1/21

### PROJECT UPDATE

Interior wall framing and roof work are nearly complete. Major equipment is being installed. Interior MEP rough-in work is ongoing.



 On Time

 On Budget





## POSTLE PARTIAL REPLACEMENT

Replace the southern portion of the east wing and renovate/upgrade portions of the west wing.

### PROJECT FUNDING

fundraising; state appropriations; department funds; university debt

### CURRENT PROJECT BUDGET

construction w/cont	\$85.4M
total project	\$95.0M

### CONSULTANTS

architect of record	Design Group
des architect	Robert AM Stern Arch
CM at Risk	Gilbane


### PROJECT SCHEDULE

BoT approval	9/16
construction	6/18-12/20
facility opening	TBD

### PROJECT UPDATE

Connector work between Postle and the new addition continues. Interior wall framing and mechanical/electrical/plumbing is progressing. The university is working with the CMR and College to develop a revised schedule.

 On Time

 On Budget



## TY TUCKER TENNIS CENTER

Construct a new 68,000 GSF indoor tennis facility in the Athletics District. The new center will include 6 courts, seating for 500, men’s and women’s varsity locker rooms, training facilities and offices.

### PROJECT FUNDING

university funds; fundraising

### CURRENT PROJECT BUDGET

construction w/cont	\$20.9M
total project	\$22.8M

### CONSULTANTS

architect of record	Moody Nolan
CM at Risk	Barton Malow

### PROJECT SCHEDULE

BoT approval	8/18
construction	9/19-12/20
facility opening	1/21

### PROJECT UPDATE

Wall panels, brick veneer, glazing and utility work are ongoing. Gas line install, electric, and communication duct bank work is complete. Installation of the stadium seating underway.







## NEWARK – JOHN & MARY ALFORD CTR FOR SCIENCE AND TECHNOLOGY

Construct a 60,000 GSF facility containing biological and physical sciences research and teaching labs, classrooms, collaborative learning and academic support spaces. Spaces will serve both the Newark campus and Central Ohio Technical College.

### PROJECT FUNDING

university funds; university debt; development

### CURRENT PROJECT BUDGET

construction w/cont	\$29.7M
total project	\$32.0M

### CONSULTANTS

architect of record	DesignGroup
CM at Risk	Smoot

### PROJECT SCHEDULE

BoT approval	8/18
construction	7/19-2/21
facility opening	3/21

### PROJECT UPDATE

Structural steel, decking and floor slabs are complete. Building enclosure is ongoing.



 On Time

 On Budget



## WMC INPATIENT HOSPITAL GARAGE, INFRASTRUCTURE & ROAD WORK

The project will construct a 1,904-space parking garage west of McCampbell Hall. Infrastructure work includes water line connections, sewer lines and communications lines; road work includes street, curb and sidewalks on both sides of street A, including direct sidewalk connection to ingress and egress points of the garage, and street lighting on the west side.

### PROJECT FUNDING

auxiliary funds

### CURRENT PROJECT BUDGET

construction w/cont	\$76.3M
total project	\$102.1M

### CONSULTANTS

architect of record	HDR
CM at Risk	Walsh-Turner

### PROJECT SCHEDULE

BoT approval	2/18
construction	5/19-3/21
facility opening	5/21

### PROJECT UPDATE

Work continues on site utilities and concrete deck pours. The first phase is mostly complete. Garage construction will be phased with 1,256 spaces opening in 11/2020, and the remainder open by 3/2021.



 On Time

 On Budget



## WMC OUTPATIENT CARE NEW ALBANY

Construct an approximately 244,000 square foot medical office building and ambulatory surgery center on North Hamilton Road.

### PROJECT FUNDING

auxiliary funds

### CURRENT PROJECT BUDGET

construction w/cont	\$89.2M
total project	\$137.9M

### CONSULTANTS

architect of record	DLR Group
CM at Risk	Daimler Group

### PROJECT SCHEDULE

BoT approval	4/18
construction	7/19-7/21
facility opening	8/21

### PROJECT UPDATE

Site work and utilities are ongoing. Slab on grade work is complete for the second floor and ongoing for the first floor.



 On Time

 On Budget





## DODD – PARKING GARAGE

Construct a six-level parking facility for approximately 1,100 cars on the former Dodd Hall surface lot.

### PROJECT FUNDING

university funds; partner funds

### CURRENT PROJECT BUDGET

construction w/cont	\$30.7M
total project	\$33.3M

### CONSULTANTS

arch of record	Schooley/Caldwell
Design Builder	TBD

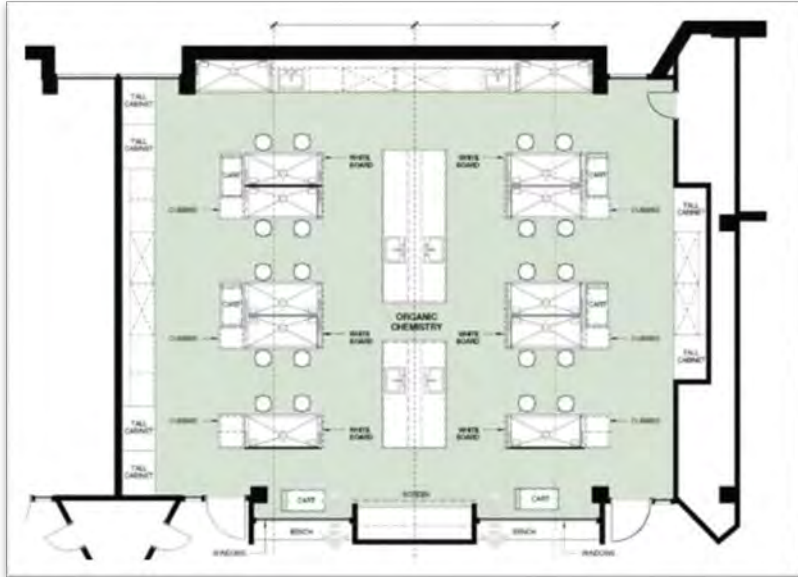
### PROJECT SCHEDULE

BoT approval	8/19
construction	10/20-5/22
facility opening	5/22

### PROJECT UPDATE

Criteria design is underway; design builder selection is underway.





## CELESTE LAB RENOVATION

Upgrade the building mechanical, electrical and plumbing systems; renovate chemistry labs and support spaces; improve the exterior envelope.

### PROJECT FUNDING

university funds; state funds

### CURRENT PROJECT BUDGET

construction w/cont	\$27.0M
total project	\$31.1M

### CONSULTANTS

architect of record	BHDP
CM at Risk	Elford

### PROJECT SCHEDULE

BoT approval	8/18
construction	5/20-8/22
facility opening	8/22

### PROJECT UPDATE

Construction abatement, demolition, and air handling unit replacements have begun.

On Time

On Budget



## WMC OUTPATIENT CARE DUBLIN

Construct an approximately 272,000-square foot ambulatory building that will include ambulatory surgery, endoscopy, primary care, specialty medical and surgical skills, and related support

### PROJECT FUNDING

auxiliary funds

### CURRENT PROJECT BUDGET

construction w/cont	\$105.0M
total project	\$161.2M

### CONSULTANTS

architect of record	DLR/WRL
CM at Risk	Corna/Kokosing

### PROJECT SCHEDULE

BoT approval	5/19
construction	9/20-9/22
facility opening	11/22

### PROJECT UPDATE

Design is ongoing and construction is scheduled to begin this fall.



On Time



On Budget





## INTERDISCIPLINARY RESEARCH FACILITY

Construct a five-story laboratory building in the West Campus Innovation district to serve multiple research disciplines, including biomedical, life sciences, engineering, and environmental sciences. The facility will also include a 55,000-square foot exterior plaza to provide collaborative space for the district.

### PROJECT FUNDING

auxiliary funds; university debt; fundraising; university funds

### CURRENT PROJECT BUDGET

construction w/cont	\$213.4M
total project	\$237.5M

### CONSULTANTS

architect of record	Pelli Clarke Pelli
CM at Risk	Whiting Turner/Corna Kok

### PROJECT SCHEDULE

BoT approval	11/17
construction	7/20-2/23
facility opening	6/23

### PROJECT UPDATE

Project design continues. Supporting infrastructure work has begun.



On Time



On Budget



## WMC OUTPATIENT CARE WEST CAMPUS

Construct an approximately 385,000-square foot ambulatory facility including a surgical center, proton therapy, and medical office space. The proton therapy facility will focus on cutting-edge cancer treatments and research in flash technology. The facility will also include a 640-space parking garage.

### PROJECT FUNDING

auxiliary funds

### CURRENT PROJECT BUDGET

construction w/cont	\$215.4M
total project	\$343.7M

### CONSULTANTS

architect of record	Perkins & Will
CM at Risk	BoldtLinbeck

### PROJECT SCHEDULE

BoT approval	11/18
construction	TBD
facility opening	TBD
facility opening – proton	TBD

### PROJECT UPDATE

Project is completing design development drawings.



On Time



On Budget



## ARTS DISTRICT

Renovate and expand the School of Music building and construct a new Department of Theatre building. The project will also extend Annie and John Glenn Avenue from College Road to High Street and make modifications to College Road and adjacent pedestrian spaces.

**PROJECT FUNDING**  
university funds

**CURRENT PROJECT BUDGET**

construction w/cont	\$144.0M
total project	\$161.6M

**CONSULTANTS**

architect of record	DLR Group
CM at Risk	Holder Construction

**PROJECT SCHEDULE**

BoT approval	8/15
construction	6/19-1/22
facility opening	3/22

**PROJECT UPDATE**

Steel erection for School of Music is ongoing. This project will continue with the completion of the School of Music, but the university has delayed the construction of Department of Theater. The university remains committed to completing the project and will evaluate a revised schedule for the Department of Theater scope at the appropriate time.

 On Time

 On Budget







# INSTRUCTIONAL SCIENCE BUILDINGS DEFERRED MAINTENANCE

Renew mechanical, electrical and plumbing services in selected buildings, including Mendenhall Laboratory, Bolz Hall, Howlett Hall, and Parks Hall.

### PROJECT FUNDING

university debt

### CURRENT PROJECT BUDGET

construction w/cont	\$23.0M
total project	\$25.0M

### CONSULTANTS

architect of record	Hasenstab
CM at Risk	Whiting-Turner

### PROJECT SCHEDULE

BoT approval	11/18
construction	6/20 – 7/22
facility opening	7/22

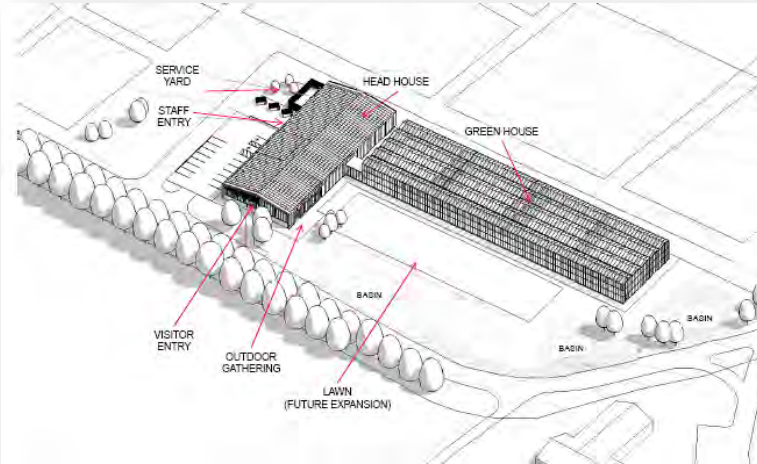
### PROJECT UPDATE

Mechanical improvements have started on the 4<sup>th</sup> floor of Bolz Hall. Parks Hall is currently completing GMP documents and work will include upgrades to mechanical systems and new heat exchanges.

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. Design development documents for Mendenhall Lab and Howlett Hall have been completed but additional steps need further evaluation.

On Time

On Budget



## CONTROLLED ENVIRONMENT FOOD PRODUCTION RESEARCH COMPLEX

Construct a new facility to house research and support learning in several approaches to food (plant and fish) production; research on greenhouse engineering, pest and pathogen management, and plant breeding.

### PROJECT FUNDING

fundraising

<b>CURRENT PROJECT BUDGET</b>	
construction w/cont	\$31.8M
total project	\$35.0M

<b>CONSULTANTS</b>	
architect of record	Erly McHenry
CM at Risk	Corna/Kokosing

### PROJECT SCHEDULE

BoT approval	6/17
construction	TBD
facility opening	TBD

### PROJECT UPDATE

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. This project has been paused while the university evaluates a modified timeline.



On Time



On Budget



## INTERDISCIPLINARY HEALTH SCIENCES CENTER

Multi-phase renovation of 120,000 sf and addition of 100,000 sf to create a collaborative campus for inter-professional education throughout the health science, including the College of Medicine, Optometry, Nursing, and the School of Health and Rehabilitation Sciences. Program spaces include classrooms, anatomy labs, research labs, administrative and building support.

### PROJECT FUNDING

auxiliary funds; department funds; state funds; fundraising

### CURRENT PROJECT BUDGET

construction w/cont	\$135.4M
total project	\$155.9M

### CONSULTANTS

architect of record	Acock Assoc
CM at Risk	Gilbane

### PROJECT SCHEDULE

BoT approval	11/17
construction	TBD
facility opening	TBD

### PROJECT UPDATE

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. The project was paused in May and will be reevaluated at the appropriate time.



 On Time

 On Budget



## LACROSSE STADIUM



Construct a new outdoor lacrosse stadium in the Athletics District, east of the Covelli Center, for the Men’s and Women’s varsity programs. The venue will include an outdoor field, seating for 2,500, locker rooms and concessions.

### PROJECT FUNDING

fundraising

### CURRENT PROJECT BUDGET

construction w/cont	\$17.7M
total project	\$20.0M

### CONSULTANTS

architect of record	HOK
CM at Risk	Ruscilli

### PROJECT SCHEDULE

BoT approval	8/19
construction	TBD
facility opening	TBD

### PROJECT UPDATE

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. Project design will be completed on schedule. The project will then be paused to evaluate a revised timeline for construction.

On Time

On Budget





## NEWTON HALL – RENOVATION AND ADDITION

Construct an addition of approximately 35,000 sf to the south that will include flexible classrooms, information learning spaces and offices.

### PROJECT FUNDING

department funds; fundraising; university debt; state funds

### CURRENT PROJECT BUDGET

construction w/cont	\$21.8M
total project	\$24.5M

### CONSULTANTS

architect of record	Meacham & Apel
CM at Risk	Ruscilli

### PROJECT SCHEDULE

BoT approval	2/18
construction	TBD
facility opening	TBD

### PROJECT UPDATE

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. Project design is complete and the project has been paused. A revised timeline for construction is under review.



On Time



On Budget





# THE OHIO STATE UNIVERSITY

## Interdisciplinary Research Facility



MOODY•NOLAN

Pelli Clarke Pelli Architects

June 3, 2020





WEST LANE AVE

ENGIE

IRF PHASE 1

IRF PHASE 2

IRF PHASE 3

KENNY ROAD

CARMACK ROAD

WOODY HAYES DR



West Campus IRF Site Plan





West Campus IRF Ground floor





West Campus IRF Typical Floor





West Campus IRF Northeast Plaza view





West Campus IRF Northeast Plaza view – **Grey Ironspot brick**





West Campus IRF Plaza view – Glass spandrel





West Campus IRF Plaza view – Grey ironspot brick



**WEDNESDAY, JUNE 3, 2020  
ADVANCEMENT COMMITTEE MEETING**

Erin P. Hoeflinger  
Alan A. Stockmeister  
Alex Shumate  
Cheryl L. Krueger  
Abigail S. Wexner  
Janice M. Bonsu  
Craig S. Bahner  
Thomas Murnane  
Catherine Baumgardner  
Janelle Jordan  
Gifford Weary  
Alec Wightman  
Gary R. Heminger (*ex officio*)

Location: Public session available via WOSU livestream

Time: 12:30-12:40pm (Executive)  
4:25-4:55pm (Public)

**Executive Session**

12:30-12:40pm

**Public Session**

*ITEMS FOR DISCUSSION*

1. *Advancement Scorecard – Michael Eicher* 4:25-4:30pm
2. *Campaign Update – Michael Eicher* 4:30-4:40pm

*ITEMS FOR ACTION*

3. University Foundation Report – Michael Eicher 4:40-4:45pm
4. Naming Approvals – Sara Rubin 4:45-4:50pm
  - Timashev Family Music Building
  - The American Electric Power Foundation Atrium
  - The Kokosing Design Studio
  - Internal Spaces in Postle Hall
  - The Greg Gordon Hydraulics Lab
  - The Charles R. Morin, Jr. Teaching and Research Laboratory
  - The John Ratliff Research Laboratory
  - The Greg and Robin Comfort Collaboration Areas
  - Internal Spaces in Bolz Hall
  - The Cindy & Larry Hilsheimer Conference Room
  - The Smariga Family Café
  - The Robert E. Schafrik Research Laboratory

5. Naming Policy – Julie Clark 4:50-4:55pm

## ADVANCEMENT SCORECARD

DATA THROUGH APRIL 30, 2020	FY18	FY19	FY19 TO 4/30	FY 20 TO 4/30	CURRENT STATUS	YTD Target	FY20 GOAL
<b>A FISCAL YEAR MEASURES</b>							
1. GIFTS AND PLEDGES	\$605.0M	\$623.3M	\$433.4M	\$429.0M		\$488.3M   87.8%	\$575M
2. CASH	\$448.4M	\$413.3M	\$322.8M	\$348.3M		\$333.7M   104.4%	\$405M
3. TOTAL DONORS	272,771	272,635	241,274	223,053		241,346   92.4%	275,000
A. RENEWED DONORS	144,764	145,785	134,396	129,653		138,085   93.9%	150,000
B. ACQUIRED AND REACQUIRED DONORS	128,010	126,850	106,878	93,400		103,845   89.9%	125,000
<b>B EVENTS</b>							
1. CONSTITUENT ATTENDANCE ACROSS EVENTS	50,011	49,405	37,786	33,293		N/A	42,000
2. AVERAGE NET PROMOTER SCORE	74.9	76.0	74.9	71.4		N/A	72.00
<b>C MARKETING</b>							
1. CULTIVATED AUDIENCE	2,006,085	1,971,427	2,106,514	2,089,222		N/A	2,000,000
2. AUDIENCE RATE OF ENGAGEMENT	3.0%	5.6%	5.64%	6.76%		N/A	6.0%

COMPARED TO PREVIOUS FY






TARGET BASED ON LAST 3 FY PERFORMANCE

	>=95%
	90% - 94.9%
	<90%




The Ohio State University

Inspiring 1,000,000 Donors	Raising \$4,500,000,000
<b>531,137</b>	<b>\$2,005,728,764</b>

Fundraising Progress

<u>Metric</u>	<u>Received to Date</u>	<u>Goal</u>	<u>% of Goal</u>	<u>\$ from Goal</u>	<u>Target</u>	<u>% of Target</u>	<u>\$ from Target</u>	
New Fundraising Activity	\$2,005.73M	\$4,500.00M	45%	(\$2,494.27M)	\$1,984.12M	101%	\$21.61M	
Endowment	\$413.93M	\$875.00M	47%	(\$461.07M)	\$385.80M	107%	\$28.13M	
Capital	\$179.11M	\$718.50M	25%	(\$539.39M)	\$206.02M	87%	(\$26.91M)	

New Fundraising Activity current target of 44% of goal based on 8.65% annual growth from FY2017 through FY2023  
 Endowment current target of 44% of goal based on 8.65% annual growth from FY2017 through FY2023  
 Capital current target of 29% of goal based on scheduled approval of capital projects

% of Target >= 100%   
 % of Target between 95% and 100%   
 % of Target < 95% 

The Ohio State University

Raising \$4,500,000,000

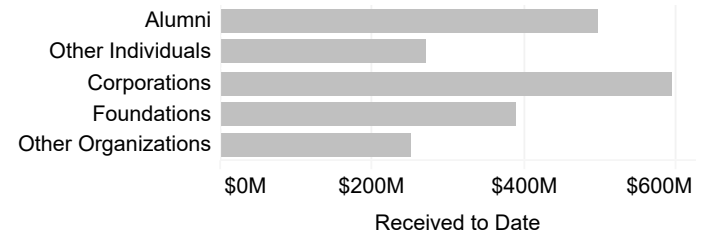
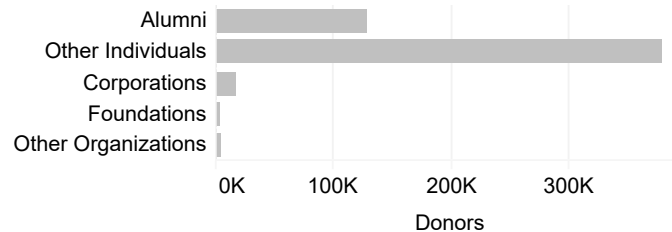
<u>Received to Date</u>	<u>Goal</u>	<u>% of Goal</u>	<u>\$ from Goal</u>	<u>Target</u>	<u>% of Target</u>	<u>\$ from Target</u>
\$2,005.73M	\$4,500.00M	45%	(\$2,494.27M)	\$1,984.12M	101%	\$21.61M



Current Target: 44% of goal

Donor Type Summary

	Donors	%	Received to Date	%
Individuals	Alumni	128,282	\$497,878,396	24.82%
	Other Individuals	379,026	\$270,898,124	13.51%
	Total	507,308	\$768,776,520	38.33%
Organizations	Corporations	16,100	\$596,542,011	29.74%
	Foundations	3,154	\$388,555,750	19.37%
	Other Organizations	4,575	\$251,854,483	12.56%
	Total	23,829	\$1,236,952,244	61.67%
<b>Grand Total</b>	<b>531,137</b>	<b>100.00%</b>	<b>\$2,005,728,764</b>	<b>100.00%</b>





The Ohio State University

**Raising \$875,000,000**

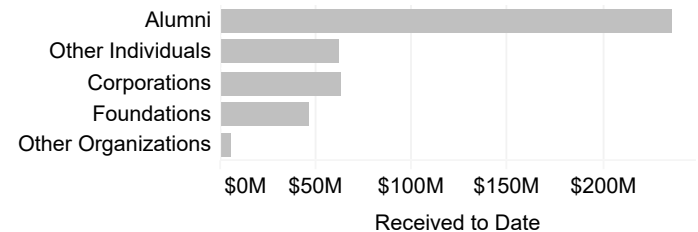
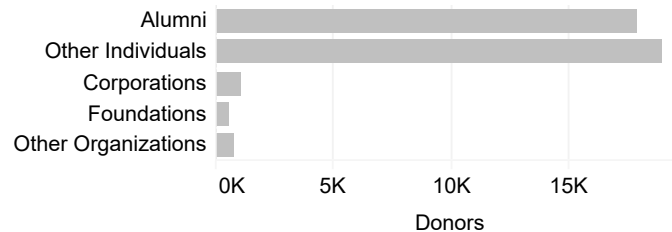
<u>Received to Date</u>	<u>Goal</u>	<u>% of Goal</u>	<u>\$ from Goal</u>	<u>Target</u>	<u>% of Target</u>	<u>\$ from Target</u>
\$413.93M	\$875.00M	47%	(\$461.07M)	\$385.80M	107%	\$28.13M



Current Target: 44% of goal

**Donor Type Summary**

	Donors	%	Received to Date	%
Individuals	Alumni	17,903	\$236,577,088	57.15%
	Other Individuals	18,946	\$62,316,892	15.06%
	Total	36,849	\$298,893,980	72.21%
Organizations	Corporations	1,036	\$62,691,801	15.15%
	Foundations	565	\$46,338,278	11.19%
	Other Organizations	733	\$6,002,288	1.45%
	Total	2,334	\$115,032,367	27.79%
<b>Grand Total</b>	<b>39,183</b>	<b>100.00%</b>	<b>\$413,926,347</b>	<b>100.00%</b>



The Ohio State University

**Raising \$718,500,000**

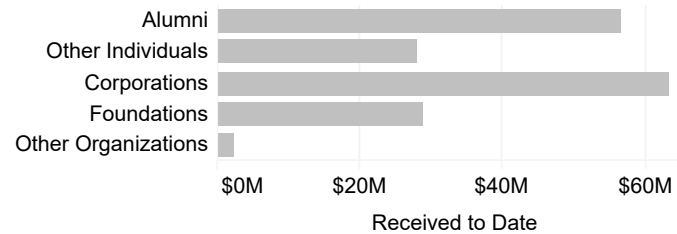
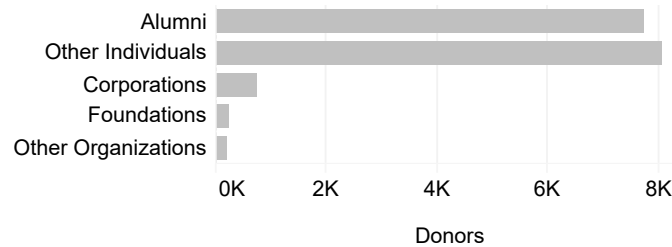
<u>Received to Date</u>	<u>Goal</u>	<u>% of Goal</u>	<u>\$ from Goal</u>	<u>Target</u>	<u>% of Target</u>	<u>\$ from Target</u>
\$179.11M	\$718.50M	25%	(\$539.39M)	\$206.02M	87%	(\$26.91M)



Current Target: 29% of goal

**Donor Type Summary**

		Donors	%	Received to Date	%
Individuals	Alumni	7,753	45.64%	\$56,611,879	31.61%
	Other Individuals	8,061	47.46%	\$28,052,144	15.66%
	<b>Total</b>	<b>15,814</b>	<b>93.10%</b>	<b>\$84,664,023</b>	<b>47.27%</b>
Organizations	Corporations	754	4.44%	\$63,502,352	35.45%
	Foundations	238	1.40%	\$28,740,216	16.05%
	Other Organizations	180	1.06%	\$2,203,818	1.23%
	<b>Total</b>	<b>1,172</b>	<b>6.90%</b>	<b>\$94,446,386</b>	<b>52.73%</b>
<b>Grand Total</b>		<b>16,986</b>	<b>100.00%</b>	<b>\$179,110,409</b>	<b>100.00%</b>





## **Nurses will be by our side, no matter what. Today, let's be there for them.**

“One of the most morally-distressing facets of caring for COVID-19 patients is their isolation from their loved ones,” Hunter Jefferis, BSN, RN, CCRN, shared. He is an assistant nurse manager at Ohio State’s Wexner Medical Center.

He added, “We do not let patients die alone. A critical care nurse is always with them.”

An outpouring of compassion, unity and support for the medical intensive care unit nursing staff, respiratory therapists and health care providers is helping them weather this storm.

But, as Hunter recognizes, “As the surge is upon us, we would still benefit from respirators, N95 masks and surgical masks.”

His staff has expressed their appreciation for the community support they are receiving. Throughout the long days and weeks of this unprecedented crisis, this gives Hunter the drive to continue working and caring for patients.

If you want to do more for our health care workers and nurses like Hunter, there are [ways to help](#) on the Wexner Medical Center website.





*Shannon Jones '08, '09*

**To help make the future secure for our students, you can provide emergency assistance through BuckeyeFunder.**

**As we all face some uncertainty, this is a time of greatest need for many of our Ohio State students.**

Shannon Jones '08, '09 is on the front line, fielding student emergency assistance requests for the Office of Student Life's Student Advocacy Center. She's also a student herself, and finished her candidacy exams for her doctoral program March 18, on the cusp of the coronavirus outbreak.

But since that day, all her attention has been devoted to addressing other students' immediate and basic needs.

The hardest stories to hear are from those who had nowhere to go when campus closed. "It layers on the assumption that everyone has the same ideal situation back home, highlighting some discrepancies among students," she said.

This was the case for Meredith Walters. "Having to move out, when this whole transition started, I was really anxious. Going 'home' to my parents' house was just not an option for me."

When Meredith saw the email from Shannon, letting her know she was going to receive support, a weight was lifted, allowing her to finish the semester strong in the new virtual class setting.

## UNIVERSITY FOUNDATION REPORT

Synopsis: Approval of the University Foundation Report as of April 30, 2020, is proposed.

WHEREAS monies are solicited and received on behalf of the university from alumni, industry and various individuals in support of research, instructional activities and service; and

WHEREAS such gifts are received through The Ohio State University Foundation; and

WHEREAS this report includes: (i) the establishment of one (1) endowed chair fund: the Research Endowed Chair in Pathology; four (4) endowed professorships: The Miltiadis Marinakis Endowed Professorship of Modern Greek Language and Culture, the Andrei Baronov and Ratmir Timashev Professorship in Chemical Physics, the Mary Fried Endowed Clinical Professorship, The Pinnell Endowed Professorship in Reading; one (1) endowed scholarship as part of the Joseph A. Alutto Global Leadership Initiative; one (1) endowed scholarship as part of the Ohio Scholarship Challenge and eighteen (18) additional named endowed funds; and (ii) the revision of eleven (11) named endowed funds; and (iii) the closure of one (1) named endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves The Ohio State University Foundation Report as of April 30, 2020.

	<u>Amount Establishing Endowment*</u>	<u>Total Commitment</u>
<u>Establishment of Named Endowed Chair (University)</u>		
Research Endowed Chair in Pathology Established June 4, 2020, with a fund transfer by OSU Pathology Services, LLC; used for a chair position to exclusively support the advancement of medical knowledge in the field of pathology, both basic and translational research, in the Department of Pathology.	\$3,500,000.00	\$3,500,000.00
<u>Establishment of Named Endowed Fund (University)</u>		
Charles G. Orosz, PhD, Post-Doctoral Training Fund in Transplant Sciences Established June 4, 2020, with a re-designation of funds originally transferred to the Charles G. Orosz, PhD, Post Doctoral Fellowship Fund in Transplant Sciences by the Department of Surgery, College of Medicine; used to provide post-doctoral training support for fellows in the Division of Transplantation Surgery, or its Comprehensive Transplant Center, in the Department of Surgery, College of Medicine.	\$329,664.23	\$329,664.23
<u>Change in Description of Named Endowed Fund (University)</u>		
The Dr. Phillip A. Heath Education Scholarship Fund		
The Ann and Emanuel D. Rudolph Fund		
<u>Closure of Named Endowed Fund (Foundation)</u>		
Charles G. Orosz, PhD, Post-Doctoral Fellowship Fund in Transplant Sciences		
<u>Establishment of Named Endowed Professorship (Foundation)</u>		
The Miltiadis Marinakis Endowed Professorship of Modern Greek Language and Culture Established June 9, 2017, with a commitment from a lead donor and gifts from members of the Ohio Hellenic Paideia (formerly the Modern Greek Steering Committee) and other supporters; used to provide support for a professorship of Modern Greek language and culture. To qualify, candidates must have a PhD in Modern Greek studies or strong graduate training in Modern Greek studies. Revised and position established June 4, 2020.	\$1,062,699.86	\$1,062,699.86
Andrei Baronov and Ratmir Timashev Professorship in Chemical Physics Established June 4, 2020, with a gift from Veeam Software Corporation; used to support a professorship position in chemical physics which provides robust resources to sustain and extend the discipline's research and teaching excellence at the University. The eligible candidate must be a professor from an Arts and Sciences tenure initiating unit.	\$1,000,000.00	\$1,000,000.00

<p>Mary Fried Endowed Clinical Professorship  Established June 4, 2020, with grants from The Columbus Foundation as recommended by Dr. Gay Su Pinnell (MA 1968, PhD 1975); used to support a clinical faculty member in the Department of Teaching and Learning, College of Education and Human Ecology who will serve as a bridge between both the Literacy Collaborative and Reading Recovery programs (or successors), through providing leadership support and enhanced, evidence-based training.</p>	\$1,000,000.00	\$1,500,000.00
<p>The Pinnell Endowed Professorship in Reading  Established June 4, 2020, with grants from The Columbus Foundation as recommended by Dr. Gay Su Pinnell (MA 1968, PhD 1975); used to support a professorship position in the Department of Teaching and Learning, College of Education and Human Ecology. This position will be held by a University faculty member who has an established record of scholarship excellence in the area of reading, particularly early reading experiences/Reading Recovery, has research or teaching experience in elementary school settings, has a strong program of research and record of seeking and/or obtaining external funding, has completed, or agrees to take and complete, Reading Recovery Trainer training, will teach courses in Reading Recovery training and shall be involved in national and/or international leadership in Reading Recovery and the Literacy Collaborative (or its successor).</p>	\$1,000,000.00	\$4,000,000.00
<p><u>Establishment of Named Endowed Fund (Foundation)</u></p>		
<p>Literacy Collaborative Endowed Training Fund  Established June 4, 2020, with grants from The Columbus Foundation as recommended by Dr. Gay Su Pinnell (MA 1968, PhD 1975); used to support staff in the Department of Teaching and Learning, College of Education and Human Ecology to provide leadership and expert support to the Literacy Collaborative (or its successor).</p>	\$1,000,000.00	\$1,500,000.00
<p>William D. Musselman Endowed Scholarship Fund  Established June 4, 2020, with an estate gift from Margaret L. Musselman (BS 1937); used to provide one or more scholarships to students who are attending the Austin E. Knowlton School of Architecture in the College of Engineering.</p>	\$122,000.00	\$122,000.00
<p>Jim Collinson and Jay and Laura Moffitt Field Camp Scholarship Fund  Established June 4, 2020, with gifts from John P. Moffitt (BS 1975) and Laura C. Moffitt; used to support students attending the Geology Field Camp (or successor) in the School of Earth Sciences.</p>	\$102,280.64	\$102,280.64
<p>Michael J. Kourie Biology Athletic Scholar Fund  Established June 4, 2020, with a gift from Leo William Brennan III (BS 1972) in celebration of a fifty-year friendship between Leo and Michael; used to supplement the grant-in-aid costs of an undergraduate student-athlete with preference given to student-athletes majoring in biology.</p>	\$100,000.00	\$100,000.00
<p>Brad and Karen Lakhia International Scholarship Fund  Established June 4, 2020, with gifts from Brad (BS 1997) and Karen Lakhia and the Andeavor Foundation and a University transfer as part of the Joseph A. Alutto Global Leadership Initiative; used to provide scholarship(s) to undergraduate or graduate students enrolled in the Max M. Fisher College of Business to support critical, action-based, learning experiences around the world.</p>	\$69,284.00	\$69,284.00

<p>Endowment Fund in the Department of Athletics  Established June 4, 2020, an estate gift from Dr. John L. Nethers (BS 1951, MA 1959, PhD 1964); used to support the varsity football program.</p>	\$68,076.92	\$68,076.92
<p>Guzzo Endowed Scholarship Fund  Established June 4, 2020, with gifts from Richard A. Guzzo and matching gifts from Marsh &amp; McLennan Companies Inc.; used to provide support to undergraduate students enrolled in the College of Arts and Sciences who are majoring in psychology and a second major. Candidates must demonstrate academic excellence and financial need. It is the donor's desire to provide as significant financial support as possible to one eligible recipient. Any remaining distribution shall be used to provide as significant financial support as possible to additional eligible recipients.</p>	\$55,000.00	\$55,000.00
<p>Dr. Lonnie King One Health Endowed Scholarship Fund  Established June 4, 2020, with gifts from Dr. King (BS 1966, DVM 1970), friends, family and colleagues given in honor of his service to the College of Veterinary Medicine; used to provide one or more annual scholarships to students who are pursuing their DVM and MVM degrees concurrently. It is the donors' desire to provide as significant financial support as possible to one eligible recipient. Any remaining distribution shall be used to provide as significant financial support as possible to additional eligible recipients.</p>	\$53,605.00	\$53,605.00
<p>Student-Alumni Council (SAC) Alumni Society Emerging Leaders Fund  Established June 4, 2020, with gifts from alumni and friends of The Ohio State University; used to support the Student-Alumni Council (SAC) in their mission to enhance the student experience, promote student-alumni interaction, establish a spirit of loyalty to the university, and cultivate relationships between students of past, present, and future.</p>	\$50,999.60	\$50,999.60
<p>Dr. Mark A. Bechtel and Ann M. Bechtel Fund for Excellence in Dermatology  Established June 4, 2020, with gifts given in honor of the career and leadership of Dr. Mark Bechtel from colleagues, alumni (including residents and fellows), friends, family and grateful patients; used to support ongoing needs, program enhancements or special initiatives to foster innovation in the field of dermatology.</p>	\$55,545.00	\$55,545.00
<p>The James A. Visconti Memorial Publication Endowment Fund  Established June 4, 2020, with initial gifts from Madelyn Visconti and Mary Beth Shirk, the spouse and friend, respectively, of Dr. James A. Visconti; used to provide monetary awards to Doctor of Pharmacy students and Pharmacy residents who are studying in The Ohio State University Doctor of Pharmacy and Pharmacy Residency Programs, in both the College of Pharmacy and the Wexner Medical Center.</p>	\$50,578.60	\$50,578.60
<p>Charles R. Carroll and Margaret R. Carroll Scholarship Fund  Established June 4, 2020, with gifts from Charles R. Carroll; used to provide one or more scholarships to undergraduate students who are enrolled in the College of Public Health and demonstrate financial need.</p>	\$50,000.00	\$50,000.00
<p>The Crawford Family Research Endowment Fund to End Cervical Cancer  Established June 4, 2020, with gifts from Andrew B. Crawford and Diane K. Crawford; used to support medical research, education, training, patient outreach, and other programs for the prevention, treatment, and cure of cervical cancer in the Division of Gynecologic Oncology.</p>	\$50,000.00	\$50,000.00

<p>Dr. Gary E. Dudley Endowed Scholarship Fund  Established June 4, 2020, with gifts from Dr. Gary E. Dudley (BS 1969); used to provide one or more scholarships to undergraduate students attending the main campus who demonstrate financial need and who are orphans or who have been in the foster care system. Preference given to candidates in this order. 1) Graduates from high schools in Cobb, Fulton, Cherokee, Bartow, DeKalb, or Douglas counties in the metropolitan Atlanta, Georgia area. 2) Graduates from Linden McKinley High School in Columbus, Ohio. 3) Graduates from any high school in the state of Ohio. Scholarships are renewable as long as recipients maintain a minimum 3.0 grade point average.</p>	\$50,000.00	\$50,000.00
<p>R. Scott Gledhill Prize in Applied Economics Endowed Fund  Established June 4, 2020, with gifts from R. Scott Gledhill (BS 1967); used to the annual prize (merit-based student awards) for best paper written by an undergraduate student in applied economics in the Department of Economics.</p>	\$50,000.00	\$50,000.00
<p>Knipp Agricultural Scholarship Fund  Established June 4, 2020, with gifts from Daryl L. Knipp (BS 1974) and Catherine R. Knipp; used to provide scholarships to students who are enrolled in the College of Food, Agricultural and Environmental Sciences. First time recipients should be freshmen enrolled at the Agricultural Technical Institute with plans to transfer to the Columbus campus to finish a four-year degree. The scholarship is renewable up to three years or until completion of the degree, as long as the student remains in good academic standing with the University. Preference shall be given to students from Sandusky County, Ohio. Additional preference shall be given to students who express interest in agricultural production, agricultural business, or an agricultural cooperative career path.</p>	\$50,000.00	\$50,000.00
<p>Millie, Marv and Eric Stammen Endowed Fund  Established June 4, 2020, with gifts from Marv (BS 1969) and Millie Stammen; used to equally support the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James) and the Max M. Fisher College of Business (the College). The James, including the OSU Comprehensive Cancer Center (CCC), shall use their portion for cancer research, education, patient care/community outreach with a focus on bio-nutrition, dietary or nutritional measures towards cancer prevention/control and improved treatments/recovery. The College shall use their portion to award a scholarship to an undergraduate student from Ohio who is enrolled in the College, majoring in finance and attending classes at the Columbus campus. Preference shall be given first to candidates from Darke and Mercer counties and second to candidates from Van Wert, Auglaize, Miami and Preble counties. Third preference is that the scholarship be available as a last option to all students who are from the state of Ohio.</p>	\$50,000.00	\$50,000.00
<p>Tom Steckel Endowed Fund for the Orton Museum  Established June 4, 2020, with gifts from Tom Steckel; used to support the Orton Geological Museum.</p>	\$50,000.00	\$50,000.00
<p>Carol Pitts Diedrichs Library Endowment Fund  Established June 4, 2020, with gifts from an anonymous donor; used to provide discretionary support to the University Libraries.</p>	\$27,100.00	\$27,100.00
<p><u>Change in Description and Name of Named Endowed Fund (Foundation)</u></p>		

From: The Judith and Dennis Guenther Endowed Fund  
To: The Judith and Dennis Guenther Endowed Scholarship Fund

From: The Heffner Family Olentangy River Wetland Research Park "Site Engineer" Endowment Fund  
To: The Heffner Family Wilma H. Schiermeier Olentangy River Wetland Research Park Endowment Fund

From: The Jo Osborne Award Fund for Humor in Children's Literature  
To: The Jo Osborne Fund for Humor in Children's Literature

From: Robert L. Ruberg MD Alumni Chair in Plastic Surgery  
To: Robert L. Ruberg MD Alumni Chair in Plastic and Reconstructive Surgery

Change in Description of Named Endowed Fund (Foundation)

The Coca-Cola Critical Difference for Women Research on Women Endowed Fund

The Ganobcik Family/Genesis Endowed Scholarship Fund for Computer Sciences

The Heffner Scholarship Fund in Medicine

Sandra M. and Michael J. Hermanoff Scholarship Fund

TS Tech Endowed Scholarship Fund

Total \$9,996,833.85

\*Amounts establishing endowments as of April 30, 2020.

### **Research Endowed Chair in Pathology**

The Board of Trustees of The Ohio State University shall establish the Research Endowed Chair in Pathology as a quasi-endowment effective June 4, 2020, with a fund transfer by OSU Pathology Services, LLC, Columbus, Ohio.

The annual distribution from this fund shall be used for a chair position to exclusively support the advancement of medical knowledge in the field of pathology, both basic and translational research, in the Department of Pathology. The position holder shall be appointed and reviewed in accordance with the then current guidelines and procedures for faculty appointment.

The highest ranking official in the College of Medicine or his/her designee, in consultation with the Department of Pathology, has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of OSU Pathology Services, LLC that the quasi-endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University may modify the purpose of this fund, in consultation with the unit named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University, may modify the purpose of this fund. The University shall consult the highest ranking official in the College of Medicine or his/her designee to identify a similar purpose consistent with the original intent of the unit. Modifications to endowed funds shall be approved by the University's Board of Trustees, in accordance with the policies of the University.

### **Charles G. Orosz, PhD, Post-Doctoral Training Fund in Transplant Sciences**

The Board of Trustees of The Ohio State University shall establish the Charles G. Orosz, PhD, Post-Doctoral Training Fund in Transplant Sciences, as a quasi-endowment, effective June 4, 2020, with a re-designation of funds originally transferred to the Charles G. Orosz, PhD, Post Doctoral Fellowship Fund in Transplant Sciences by the Department of Surgery, College of Medicine.

The annual distribution from this fund provides post-doctoral training support for fellows in the Division of Transplantation Surgery, or its Comprehensive Transplant Center, in the Department of Surgery, College of Medicine. Funds may be directed towards the fellow training program or to provide research grants to fellows engaged in full time or part time research in the transplant sciences. If not applicable in a given year, funds may be used as a research award or related activities to medical student(s) undertaking laboratory or health population research under the guidance of transplant sciences faculty members. The director(s) of the division and the center shall recommend fellows selection. Expenditures shall be approved in accordance with the then current guidelines and procedures established by the College of Medicine.

The highest ranking official in the College of Medicine or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the College of Medicine that the quasi-endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University may modify the purpose of this fund, in consultation with the unit named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University, may modify the purpose of this fund. The



University shall consult the highest ranking official in the college or his/her designee to identify a similar purpose consistent with the original intent of the unit. Modifications to endowed funds shall be approved by the University's Board of Trustees, in accordance with the policies of the University.

### **The Dr. Phillip A. Heath Education Scholarship Fund**

The Dr. Phillip A. Heath Education Scholarship Fund was established April 2, 2004, by the Board of Trustees of The Ohio State University with gifts from the faculty, staff, and friends of The Ohio State University at Lima. Effective June 4, 2020, the fund description shall be revised.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual distribution shall be used for scholarships for students pursuing a degree in education at The Ohio State University at Lima. Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by Ohio State Lima, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees, in consultation with the highest ranking official at The Ohio State University at Lima or his/her designee in order to carry out the desire of the donors.

### **The Ann and Emanuel D. Rudolph Fund**

The Ann and Emanuel D. Rudolph Fund was established June 4, 1993, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the estate of Dr. Emanuel D. Rudolph. Effective June 4, 2020, the fund description shall be revised.

All gifts are to be invested in the University's permanent endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual distribution shall be used to support a graduate research associateship or fellowship for a student enrolled in graduate study with a dissertation or thesis focus in the history of costume and/or buttons.

If no students meet the criteria above, the annual distribution shall be used to support an assistant curator position in the Department of Textiles and Clothing who oversees the Ann Rudolph Button Collection as part of their responsibilities.

Expenditures shall be approved in accordance with the then current guidelines and procedures established by the College of Education and Human Ecology.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused distribution, then another use shall be designated by the Board of Trustees, in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

### **Charles G. Orosz, PhD, Post-Doctoral Fellowship Fund in Transplant Sciences**

The Charles G. Orosz, PhD, Post Doctoral Fellowship Fund in Transplant Sciences was established December 2, 2005, by the Board of Trustees of The Ohio State University with funds from the Department of Surgery at The Ohio State University Medical Center. Effective June 4, 2020, this fund shall be closed and the funds shall be moved to the Charles G. Orosz, PhD, Post-Doctoral Training Fund in Transplant Sciences.

### **The Miltiadis Marinakis Endowed Professorship of Modern Greek Language and Culture**

The Board of Trustees of The Ohio State University established The Endowed Professorship Fund for the Teaching and Study of Modern Greek Language and Culture effective June 9, 2017, with gifts from the Ohio Hellenic Paideia (formerly the Modern Greek Steering Committee) and other supporters. The required funding level for an endowed professorship has been reached. Effective June 4, 2020, the fund name and description shall be revised and the position shall be established.

The intent of this fund is to serve the teaching, study, and/or research of the Modern Greek language and culture in perpetuity.

In recognition of Greek Independence Day (March 25), the gifted endowment principal balance has exceeded \$1,000,000, the minimum funding required to name The Miltiadis Marinakis Endowed Professorship of Modern Greek Language and Culture.

The annual distribution from this fund shall be used to provide support for a professorship of Modern Greek language and culture. To qualify, candidates must have a PhD in Modern Greek studies or strong graduate training in Modern Greek studies. Appointment of candidates for the position will be completed according to the University Policy on Faculty Appointments. Expenditures from the fund may include partial or full salary for the holder of the position (including off-semester remuneration and sabbatical supplement) and research activity expenses (including travel, materials and subscriptions, research assistants). Per University protocol, the activities of the professorship (or chair) holder shall be reviewed no less than every four years by the executive dean of the College of Arts and Sciences to determine compliance with the intent of the donors as well as the academic and research standards of the University.

Should the gifted endowment principal balance reach \$2,000,000 for an endowed chair on or before December 1, 2020, the endowment shall be revised to The Miltiadis Marinakis Endowed Chair of Modern Greek Language and Culture.

After December 1, 2020, the fund may be revised when the gifted endowment principal reaches the minimum funding level required at that date for an endowed chair.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. The University reserves the right to modify the purposes of this fund, however, (1) in consultation with the donors named above, or (2) if such purposes become unlawful, impracticable, impossible to achieve, or wasteful, provided that such fund shall only be used for the University's charitable purposes and shall be as closely aligned with the fund's intent as possible. In seeking such modification, the University shall consult the executive dean of the College of Arts and Sciences or his/her designee. Modifications to endowed funds shall be approved by the University's Board of Trustees, in accordance with the policies of the University.

### **Andrei Baronov and Ratmir Timashev Professorship in Chemical Physics**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Andrei Baronov and Ratmir Timashev Professorship in Chemical Physics effective June 4, 2020, with a gift from Veeam Software Corporation.

The annual distribution from this fund supports a professorship position in chemical physics which provides robust resources to sustain and extend the discipline's research and teaching excellence at the University. The eligible candidate must be a professor from an Arts and Sciences tenure initiating unit. Appointment to the position shall be recommended to the Provost by the executive dean of the College of Arts and Sciences and approved by the University's Board of Trustees in accordance with the then current guidelines and procedures for faculty appointment. The activities of the professorship holder shall be reviewed no less than every four years by the

executive dean of the college to determine compliance with the intent of the donor, as well as the academic and research standards of the University.

The highest ranking official in the College of Arts and Sciences or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Arts and Sciences or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Mary Fried Endowed Clinical Professorship**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Mary Fried Endowed Clinical Professorship effective June 4, 2020, with grants from The Columbus Foundation as recommended by Dr. Gay Su Pinnell (MA 1968, PhD 1975).

The annual distribution from this fund supports a clinical faculty member in the Department of Teaching and Learning, College of Education and Human Ecology who will serve as a bridge between both the Literacy Collaborative and Reading Recovery programs (or successors), through providing leadership support and enhanced, evidence-based training.

In years where there is no appointed clinical faculty, the annual distribution shall support the Literacy Collaborative and Reading Recovery programs (or successors) at the discretion of the highest ranking official in the College or his/her designee.

Expenditures may be recommended by the Department of Teaching and Learning and shall be approved in accordance with the then current guidelines and procedures established by the College of Education and Human Ecology.

The position holder shall be appointed and reviewed in accordance with the then current guidelines and procedures for clinical faculty appointment to determine compliance with the intent of the donor.

The highest ranking official in the College of Education and Human Ecology or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund

becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, in consultation with the donor when circumstances permit, may redirect the funds for similar purposes within the University that are consistent with the original intent of the donor as described in the endowment description. If no similar purpose exists within the University, the University will return the funds back to the donor to be used only for charitable purposes of the donor, provided the donor remains an organization in good standing exempt under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended. All parties acknowledge and agree that this provision shall satisfy the requirements of section 1715.55(A) of the Ohio Revised Code and constitutes donor consent in a record for the Foundation and/or University to release or modify, in whole or in part, restrictions contained in this gift instrument on the management, investment, or purpose of the fund if any of the above conditions occur. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **The Pinnell Endowed Professorship in Reading**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish The Pinnell Endowed Professorship in Reading effective June 4, 2020, with grants from The Columbus Foundation as recommended by Dr. Gay Su Pinnell (MA 1968, PhD 1975).

The annual distribution from this fund supports a professorship position in the Department of Teaching and Learning, College of Education and Human Ecology. This position will be held by a University faculty member who has an established record of scholarship excellence in the area of reading, particularly early reading experiences/Reading Recovery, has research or teaching experience in elementary school settings, has a strong program of research and record of seeking and/or obtaining external funding, has completed, or agrees to take and complete, Reading Recovery Trainer training, will teach courses in Reading Recovery training and shall be involved in national and/or international leadership in Reading Recovery and the Literacy Collaborative (or its successor).

Expenditures may be recommended by the Department of Teaching and Learning and shall be approved in accordance with the then current guidelines and procedures established by the College of Education and Human Ecology.

The position holder shall be appointed in accordance with the then current guidelines and procedures for faculty appointment. On an annual basis the activities of the endowed professorship holder shall be reviewed by the dean of the College of Education and Human Ecology to determine compliance with the intent of the donor as well as the academic and research standards of the University. This review shall also assess that the position holder is continuing to be a leader in Reading Recovery and the Literacy Collaborative (or its successor).

If the professorship is vacant, then the highest ranking official of the College of Education and Human Ecology or his/her designee may use the funding available under the professorship to support another professor who meets the terms set forth in the purpose paragraph until a new holder of the professorship is appointed in accordance with the then current guidelines and procedures for faculty appointment.

The highest ranking official in the College of Education and Human Ecology or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, in consultation with the donor when circumstances permit, may redirect the funds for similar purposes within the University that are consistent with the original intent of the donor as described in the endowment description. If no similar purpose

exists within the University, the University will return the funds back to the donor to be used only for charitable purposes of the donor, provided the donor remains an organization in good standing exempt under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended. All parties acknowledge and agree that this provision shall satisfy the requirements of section 1715.55(A) of the Ohio Revised Code and constitutes donor consent in a record for the Foundation and/or University to release or modify, in whole or in part, restrictions contained in this gift instrument on the management, investment, or purpose of the fund if any of the above conditions occur. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **Literacy Collaborative Endowed Training Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Literacy Collaborative Endowed Training Fund effective June 4, 2020, with grants from The Columbus Foundation as recommended by Dr. Gay Su Pinnell (MA 1968, PhD 1975).

The annual distribution from this fund supports staff in the Department of Teaching and Learning, College of Education and Human Ecology to provide leadership and expert support to the Literacy Collaborative (or its successor).

Expenditures may be recommended by the Department of Teaching and Learning and shall be approved in accordance with the then current guidelines and procedures established by the College of Education and Human Ecology.

The highest ranking official in the College of Education and Human Ecology or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, in consultation with the donor when circumstances permit, may redirect the funds for similar purposes within the University that are consistent with the original intent of the donor as described in the endowment description. If no similar purpose exists within the University, the University will return the funds back to the donor to be used only for charitable purposes of the donor, provided the donor remains an organization in good standing exempt under Section 501(c)(3) of the Internal Revenue Code of 1986, as amended. All parties acknowledge and agree that this provision shall satisfy the requirements of section 1715.55(A) of the Ohio Revised Code and constitutes donor consent in a record for the Foundation and/or University to release or modify, in whole or in part, restrictions contained in this gift instrument on the management, investment, or purpose of the fund if any of the above conditions occur. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **William D. Musselman Endowed Scholarship Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the William D. Musselman (BArchE 1930) Endowed Scholarship Fund effective June 4, 2020, with an estate gift from Margaret L. Musselman (BS 1937).

The annual distribution from this fund provides one or more scholarships to students who are attending the Austin E. Knowlton School of Architecture in the College of Engineering. Scholarship recipients, the number of recipients,



and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the college, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the College of Engineering or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Engineering or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Jim Collinson and Jay and Laura Moffitt Field Camp Scholarship Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Jim Collinson and Jay and Laura Moffitt Field Camp Scholarship Fund effective June 4, 2020, with gifts from John P. Moffitt (BS 1975) and Laura C. Moffitt.

The annual distribution from this fund supports students attending the Geology Field Camp (or successor) in the School of Earth Sciences. If at any time the Geology Field Camp (or successor) ceases to exist, the annual distribution may be used as general support for students studying in the school with first preference given to students involved in similar field experiences. Recipients, the number of recipients, and amount of support shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the College of Arts and Sciences, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the College of Arts and Sciences or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of

Arts and Sciences or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **Michael J. Kourie Biology Athletic Scholar Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Michael J. Kourie Biology Athletic Scholar Fund effective June 4, 2020, with a gift from Leo William Brennan III (BS 1972).

This fund is established in celebration of a fifty-year friendship between Leo and Michael which began in Professor Robert Giefy's Biology 100 class on March 31, 1970 in room 206 of Rightmire Hall, the Pharmacology building, on the west campus of The Ohio State University.

The annual distribution from this fund supplements the grant-in-aid costs of an undergraduate student-athlete. Preference shall be given to student-athletes majoring in biology. Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the Department of Athletics, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the Department of Athletics or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the Department of Athletics or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **Brad and Karen Lakhia International Scholarship Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Brad and Karen Lakhia International Scholarship Fund effective June 4, 2020, with gifts from Brad (BS 1997) and Karen Lakhia and the Andeavor Foundation and a University transfer as part of the Joseph A. Alutto Global Leadership Initiative.

The annual distribution from this fund shall provide scholarship(s) to undergraduate or graduate students enrolled in the Max M. Fisher College of Business to support critical, action-based, learning experiences around the world. Recipients shall be selected by the Office of Global Business at the college, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the Max M. Fisher College of Business or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the Max M. Fisher College of Business or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Endowment Fund in the Department of Athletics**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Dr. John and Marilyn Nethers Endowment Fund in the Department of Athletics effective June 4, 2020, with an estate gift from Dr. John L. Nethers (BS 1951, MA 1959, PhD 1964).

The annual distribution from this fund supports the varsity football program. Expenditures shall be approved in accordance with the then current guidelines and procedures established by the Department of Athletics.

The highest ranking official in the Department of Athletics or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the Department of Athletics or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Guzzo Endowed Scholarship Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Guzzo Endowed Scholarship Fund effective June 4, 2020, with gifts from Richard A. Guzzo and Nancy G. Guzzo and matching gifts from Marsh & McLennan Companies Inc.

The annual distribution from this fund provides support to undergraduate students enrolled in the College of Arts and Sciences who are majoring in psychology and a second major. Candidates must demonstrate academic excellence and financial need. It is the donors' desire to provide as significant financial support as possible to one

eligible recipient. Any remaining distribution shall be used to provide as significant financial support as possible to additional eligible recipients. If no students meet the selection criteria, support will be open to all students enrolled in the college. Support may be used for direct education related costs. Recipients, the number of recipients, and amount of support shall be determined in accordance with the then current guidelines and procedures for scholarship administration as established by the college, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the College of Arts and Sciences or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Arts and Sciences or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Dr. Lonnie King One Health Endowed Scholarship Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Dr. Lonnie King One Health Endowed Scholarship Fund effective June 4, 2020, with gifts from Dr. King (BS 1966, DVM 1970), friends, family and colleagues given in honor of his service to the College of Veterinary Medicine.

The annual distribution from this fund provides one or more annual scholarships to students who are pursuing their DVM and MVPH degrees concurrently. It is the donors' desire to provide as significant financial support as possible to one eligible recipient. Any remaining distribution shall be used to provide as significant financial support as possible to additional eligible recipients. If no students meet the selection criteria, the scholarship(s) will be open to all students enrolled in the College of Veterinary Medicine. Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the college, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the College of Veterinary Medicine or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Veterinary Medicine or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **Student-Alumni Council (SAC) Alumni Society Emerging Leaders Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Student-Alumni Council (SAC) Alumni Society Emerging Leaders Fund effective June 4, 2020, with gifts from alumni and friends of The Ohio State University.

The annual distribution from this fund supports the Student-Alumni Council (SAC) in their mission to enhance the student experience, promote student-alumni interaction, establish a spirit of loyalty to the university, and cultivate relationships between students of past, present, and future. Expenditures may include, but are not limited to, attending conferences for student organizations to gather and share information and events to connect students with alumni. Expenditures may be recommended by the highest ranking official responsible for student engagement, or his/her designee, and shall be approved in accordance with the then current guidelines and procedures established by The Ohio State University Alumni Association.

The highest ranking official in The Ohio State University Alumni Association or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in The Ohio State University Alumni Association or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **Dr. Mark A. Bechtel and Ann M. Bechtel Fund for Excellence in Dermatology**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Dr. Mark A. Bechtel and Ann M. Bechtel Fund for Excellence in Dermatology effective June 4, 2020, with gifts given in honor of the career and leadership of Dr. Mark Bechtel from colleagues, alumni (including residents and fellows), friends, family and grateful patients.

Dr. Bechtel joined the Ohio State faculty in 1982 as an assistant professor of dermatology. He served as associate professor and director of the Division of Dermatology in 2005 and became a full professor in 2012. Under his leadership, the faculty increased from two full-time dermatologists to twelve, and the residency program expanded. During his tenure, he was active in numerous professional societies and on editorial boards; authored or presented several hundred abstracts, journal articles, lectures or grand rounds; and was recognized for his achievements in medical education and service in several medical societies including the inaugural class of recipients of the Mazzaferri-Ellison Master Clinician Award. He also received the Edmund Lowney Outstanding Teaching and Earl Metz Distinguished Physician awards from his division and department, respectively.



The annual distribution from this fund supports ongoing needs, program enhancements or special initiatives to foster innovation in the field of dermatology. Expenditures shall be approved in accordance with the then current guidelines and procedures established by the College of Medicine.

The endowment may be revised if the gifted endowment principal reaches the minimum funding level required at that date for a professorship/chair position. Thereafter, the annual distribution shall support a professorship or chair position for a nationally or internationally recognized physician faculty member in clinical or academic leadership in the field of dermatology to foster innovation and excellence. The position holder shall be appointed and reviewed in accordance with the then current guidelines and procedures for faculty appointment.

The highest ranking official in the College of Medicine or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Medicine or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **The James A. Visconti Memorial Publication Endowment Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish The James A. Visconti Memorial Publication Endowment Fund effective June 4, 2020, with initial gifts from Madelyn Visconti and Mary Beth Shirk, the spouse and friend, respectively, of Dr. James A. Visconti.

The annual distribution from this fund provides monetary awards to Doctor of Pharmacy students and Pharmacy residents who are studying in The Ohio State University Doctor of Pharmacy and Pharmacy Residency Programs, in both the College of Pharmacy and the Wexner Medical Center. Funds not awarded each year may carry over to the following year. To apply, students/residents must submit a brief written request verifying they have met the eligibility criteria. Awards will be granted on a "first come, first served" basis. Awards shall be used for expenses to publish posters or manuscripts at state, national or international meetings, at the college's Research Day, or in a peer-reviewed publication. At the time the endowment was created, the award amount was up to \$100 per poster or manuscript. The award amount may be reviewed and adjusted annually to reflect increases in the Consumer Price Index. Expenditures shall be approved in accordance with the then current guidelines and procedures established by the College of Pharmacy, in consultation with the highest ranking official in the Department of Pharmacy or his/her designee. The College of Pharmacy will inform Student Financial Aid when awards are made to students.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the College of Pharmacy or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Pharmacy or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Charles R. Carroll and Margaret R. Carroll Scholarship Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Charles R. Carroll and Margaret R. Carroll Scholarship Fund effective June 4, 2020, with gifts from Charles R. Carroll.

The annual distribution from this fund provides one or more scholarships to undergraduate students who are enrolled in the College of Public Health and demonstrate financial need. Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the college, in consultation with Student Financial Aid. Scholarships are renewable as long as the recipients are in good standing with the University and meet the selection criteria.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the College of Public Health or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Public Health or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **The Crawford Family Research Endowment Fund to End Cervical Cancer**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish The Crawford Family Research Endowment Fund to End Cervical Cancer effective June 4, 2020, with gifts from Andrew B. Crawford and Diane K. Crawford.

The annual distribution from this fund supports medical research, education, training, patient outreach, and other programs for the prevention, treatment, and cure of cervical cancer in the Division of Gynecologic Oncology. Expenditures shall be recommended by the chair of the division or his/her designee and approved by the chief executive officer of The Ohio State University Comprehensive Cancer Center - Arthur G. James Cancer Hospital and Richard J. Solove Research Institute or his/her designee.

The highest ranking official/s in The Ohio State University Comprehensive Cancer Center – Arthur G. James Cancer Hospital and Richard J. Solove Research Institute or his/her/their designee/s has/have the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official/s in The Ohio State University Comprehensive Cancer Center – Arthur G. James Cancer Hospital and Richard J. Solove Research Institute or his/her/their designee/s has/have to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Dr. Gary E. Dudley Endowed Scholarship Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Dr. Gary E. Dudley Endowed Scholarship Fund effective June 4, 2020, with gifts from Dr. Gary E. Dudley (BS 1969).

The annual distribution from this fund provides one or more scholarships to undergraduate students attending the main campus who demonstrate financial need and who are orphans or who have been in the foster care system. Preference given to candidates in the order below.

- 1) Graduates from high schools in Cobb, Fulton, Cherokee, Bartow, DeKalb, or Douglas counties in the metropolitan Atlanta, Georgia area.
- 2) Graduates from Linden McKinley High School in Columbus, Ohio.
- 3) Graduates from any high school in the state of Ohio.

Scholarships are renewable as long as recipients maintain a minimum 3.0 grade point average.

If no candidates who are orphans or who have been in the foster care system can be identified, the annual distribution may be accrued for two consecutive years. If no candidates are identified for a third consecutive year, non-renewable scholarships may be awarded to undergraduate students attending the main campus who demonstrate financial need with geographical preference given in the order above.

Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the Student Financial Aid or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the Student Financial Aid or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **R. Scott Gledhill Prize in Applied Economics Endowed Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the R. Scott Gledhill Prize in Applied Economics Endowed Fund effective June 4, 2020, with gifts from R. Scott Gledhill (BS 1967).

The annual distribution from this fund supports the annual prize for best paper written by an undergraduate student in applied economics in the Department of Economics. The merit-based student awards will be selected by the department chair, in consultation with selected members of the economics department faculty and Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the College of Arts and Sciences or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Arts and Sciences or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Knipp Agricultural Scholarship Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Knipp Agricultural Scholarship Fund effective June 4, 2020, with gifts from Daryl L. Knipp (BS 1974) and Catherine R. Knipp.

The annual distribution from this fund provides scholarships to students who are enrolled in the College of Food, Agricultural and Environmental Sciences. First time recipients should be freshmen enrolled at the Agricultural Technical Institute with plans to transfer to the Columbus campus to finish a four-year degree. The scholarship is

renewable up to three years or until completion of the degree, as long as the student remains in good academic standing with the University. Preference shall be given to students from Sandusky County, Ohio. Additional preference shall be given to students who express interest in agricultural production, agricultural business, or an agricultural cooperative career path. Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the college, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking official in the College of Food, Agricultural and Environmental Sciences or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Food, Agricultural and Environmental Sciences or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Millie, Marv and Eric Stammen Endowed Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Millie, Marv and Eric (BA 2008) Stammen Endowed Fund effective June 4, 2020, with gifts from Marv (BS 1969) and Millie Stammen.

The annual distribution from this fund shall be divided equally between the Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James) and the Max M. Fisher College of Business (the College).

The James, including the OSU Comprehensive Cancer Center (CCC), shall use their portion for cancer research, education, patient care/community outreach with a focus on bio-nutrition, dietary or nutritional measures towards cancer prevention/control and improved treatments/recovery. These expenditures shall be approved by the chief executive officer of The James and director of the CCC.

The College shall use their portion to award a scholarship to an undergraduate student from Ohio who is enrolled in the College, majoring in finance and attending classes at the Columbus campus. Preference shall be given first to candidates from Darke and Mercer counties and second to candidates from Van Wert, Auglaize, Miami and Preble counties. The donors' third preference is that the scholarship be available as a last option to all students who are from the state of Ohio. Scholarship recipient(s) shall be selected by the college's scholarship committee, in consultation with Student Financial Aid. If the college's portion of the annual distribution exceeds 25% of that year's tuition, more than one student may be selected.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The highest ranking officials in The James and the College or their designees have the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in The James and the College or their designees to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Tom Steckel Endowed Fund for the Orton Museum**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Tom Steckel Endowed Fund for the Orton Museum effective June 4, 2020, with gifts from Tom Steckel.

The annual distribution from this fund supports the Orton Geological Museum. The fund shall be used for a variety of activities in the museum. The fund can support the museum's curator position as well as displays and purchases necessary to enhance and preserve the museum. The fund may be used to purchase new exhibits. In the event an exhibit is purchased, the *Tom Steckel Endowed Fund for the Orton Museum* shall be appropriately recognized for purchasing the exhibit. Expenditures shall be recommended by the director of the museum or his/her designee and approved by the director of the School of Earth Sciences.

The highest ranking official in the College of Arts and Sciences or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Arts and Sciences or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **Carol Pitts Diedrichs Library Endowment Fund**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, shall establish the Carol Pitts Diedrichs Library Endowment Fund effective June 4, 2020, with gifts from an anonymous donor.



The annual distribution from this fund provides discretionary support to the University Libraries. Expenditures shall be approved in accordance with the then current guidelines and procedures established by the University Libraries.

The highest ranking official in the University Libraries or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the University Libraries or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **The Judith and Dennis Guenther Endowed Scholarship Fund**

The Judith and Dennis Guenther Endowed Fund was established October 29, 2010, by the Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with an insurance gift from Dr. Dennis Guenther (MS 1971, PhD 1974). Effective June 4, 2020, the fund name and description shall be revised.

Dennis Guenther and his wife Judith Guenther, both The Ohio State University graduates, are passionate about providing support to students at Ohio State. Judith graduated with a Bachelor of Science from the College of Nursing in 1970. Dennis graduated from the College of Engineering with a Master of Science in Mechanical Engineering in 1971 and a PhD in Mechanical Engineering in 1974. Dr. Guenther is well known in the Mechanical Engineering field in addition to being a highly regarded professor and researcher at The Ohio State University.

The annual distribution from this fund shall provide scholarship support for students enrolled in the College of Engineering who are majoring in Mechanical Engineering. Candidates shall be recommended by the chair of the Department of Mechanical Engineering and selected by the dean of the College of Engineering, in consultation with Student Financial Aid.

The University may modify any selection criteria should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Engineering.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use, as nearly aligned with the original intent of the contribution as good conscience and need dictate, shall be designated by the Foundation's Board of Directors and the University's Board of Trustees. In making this alternate designation, the Boards shall seek advice from the donor, Dennis and/or Judith Guenther should they be alive, and the dean of the College of Engineering.

### **The Heffner Family Wilma H. Schiermeier Olentangy River Wetland Research Park Endowment Fund**

The Heffner Family Olentangy River Wetland Research Park "Site Engineer" Endowment Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Heffner Family Foundation. Effective June 4, 2020, the fund name and description shall be revised.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual distribution supports workforce development opportunities for students such as site engineer at The Wilma H. Schiermeier Olentangy River Wetland Research Park and internships and applied research experiences in environment and natural resources fields. Preference shall be given to students who demonstrate financial need. Expenditures shall be approved in accordance with the then current guidelines and procedures established by the College of Food, Agricultural, and Environmental Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused distribution, then another use shall be designated by the Foundation Board, in consultation with the highest ranking official in the College of Food, Agricultural, and Environmental Sciences in order to carry out the desire of the donors.

### **The Jo Osborne Fund for Humor in Children's Literature**

The Jo Osborne Award Fund for Humor in Children's Literature was established February 4, 2005, by the Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends of Jo Osborne. Effective June 4, 2020, the fund name and description shall be revised.

The Award was administered by the Ohio Library Foundation from 1996 until 2004, when the Award was transferred to The Ohio State University. Jo Osborne was director of Children's Services, Worthington, Ohio, Public Library from 1979 until her untimely death in 1995. She was a noted storyteller who loved to share humorous materials with others, especially children. She sought out the silly, the funny, the poignant, the witty for storytelling and puppet shows to impart a love of books to all the children who were lucky enough to cross her path.

The annual distribution from this fund shall be used to promote humor in children's literature via awards or other means at the discretion of the dean of the College of Education and Human Ecology or his/her designee.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that this fund should benefit the University in perpetuity. If, in the future, the need for this fund should cease to exist or so diminish as to provide unused distributions, then another use shall be designated by the Board of Trustees and Foundation Board as recommended by the dean of the College of Education and Human Ecology, in consultation with faculty in children's literature. Any such alternate distributions shall be made in a manner as nearly aligned with the original intent of the donors as good conscience and need dictate.

### **Robert L. Ruberg MD Alumni Chair in Plastic and Reconstructive Surgery**

The Robert L. Ruberg MD Alumni Fund in Plastic Surgery was established August 30, 2013, by the Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with an initial gift from OSU Plastic Surgery LLC and with additional gifts from colleagues, alumni, family, friends, and grateful patients, made in honor of the career and leadership of Dr. Robert L. Ruberg of Gahanna, Ohio. The required funding level for a chair has been reached. Effective August 31, 2018,

the fund name and description were revised and the position was established. Effective June 4, 2020, the fund name and description shall be further revised.

Dr. Ruberg joined the Department of Surgery as an assistant professor in 1975 and was promoted to professor in 1998. In 2012, he became a professor emeritus. Over the course of his 37-year career, his leadership has advanced medical education, patient care, and the field of plastic surgery. Ruberg held a multitude of leadership positions during his tenure at Ohio State, including director of the Division of Plastic Surgery (1985-2004, 2006-2007), co-director and director of the Burn Center (1977-1995), and chief-of-staff at University Hospitals (1993-1997). Additionally, Ruberg has served on numerous hospital committees.

Ruberg has also made significant contributions to Ohio State in the field of medical education. While remaining active in a variety of regional and national professional societies, he has authored or edited over 100 articles, abstracts, books, and presentations. At the College of Medicine, he was the chair of the Executive Curriculum Committee for 13 years (1985- 1998) and served as the Vice Dean for Education from 2011-2012. Always willing to give of his time, Ruberg has also served on the college's admissions committee where he interviewed prospective students during their visits to campus. On numerous occasions, medical students have recognized him with the surgery departments "Best Teacher Award." In 1997, he received the Medical Alumni Society's Faculty Teaching Award, which honors outstanding teaching contributions to the College of Medicine.

The annual distribution shall support a chair position for a nationally or internationally recognized physician faculty member in clinical or academic leadership in the field of plastic surgery. The appointment to the position shall be made by the Board of Trustees of The Ohio State University as recommended by the dean of the College of Medicine, in consultation with the senior vice president for Health Sciences and the chair of the Department of Plastic and Reconstructive Surgery. The activities of the holder shall be reviewed no less than every four years by the dean to determine compliance with the intent of the donor as well as the academic and research standards of University.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be held in the distribution account to be used in subsequent years and only for the purposes of the endowment, or reinvested in the endowment principal at the discretion of the dean of the College of Medicine.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. The University and the Foundation reserve the right to modify the purposes of this fund, however, (1) in consultation with the donors named above, or (2) if such purposes become unlawful, impracticable, impossible to achieve, or wasteful, provided that such fund shall only be used for the University's charitable purposes. In seeking such modification, the University and the Foundation shall consult the dean of the College of Medicine, the chairperson of the Department of Plastic and Reconstructive Surgery, and the senior vice president for Health Sciences. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

#### **The Coca-Cola Critical Difference for Women Research on Women Endowed Fund**

The Elizabeth D. Gee Endowment Fund for Research on Women was established October 7, 1994, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Coca-Cola Foundation. The fund name and description were revised on August 29, 2001. The fund description was revised on November 9, 2012. Effective June 4, 2020, the fund description shall be further revised.

The annual distribution shall be used to provide grants to Ohio State University faculty members and PhD candidates to pursue research on women, gender, and gender equity and will be open to applicants University-wide. The fund and the grant award process shall be administered by The Women's Place in accordance with the management agreement for the Coca-Cola Critical Difference for Women Research on Women Endowed Fund. Award grants from this fund will be based on recommendations of a University-wide Research on Women Committee convened by The Women's Place.

In any given year that the endowment distribution is not fully used for its intended purpose, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should unforeseen circumstances arise in the future so that the need for this endowment ceases to exist, then another use as nearly aligned with the original intent of the contribution as good conscience and need dictate, shall be designated by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation. In making this alternate designation, the Boards shall seek advice from the highest ranking official in The Women's Place or his/her designee.

### **The Ganobcik Family/Genesis Endowed Scholarship Fund for Computer Sciences**

The Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, established The Ganobcik Family/Genesis Endowed Scholarship Fund for Computer Sciences effective August 25, 2017, with gifts from Stefan N. Ganobcik. Effective June 4, 2020, the fund description shall be revised.

The annual distribution from this fund shall be used to provide scholarships to students enrolled in the College of Engineering who are majoring in Computer Science and Engineering. Scholarships may be used for study abroad. It is the donor's desire that the scholarships be awarded to one or more undergraduate students with particular attention to, but not limited to, United States citizens or legal residents. Scholarship recipients shall be selected by the department's scholarship committee, in consultation with the college and Student Financial Aid.

The scholarships are portable if the recipients change campuses; transferable if they change major; and renewable for up to eight semesters, even if the semesters are non-consecutive, as long as the student remains in good standing with the University.

This fund is eligible to be included in the Ohio Scholarship Challenge. If the fund's principal balance reaches \$100,000 on or before January 31, 2021, the University will match annual distribution payouts in perpetuity. The transfer of matching funds will occur once annually, usually in July. Under the Challenge, unused annual distribution cannot be reinvested in the fund's principal.

If the fund's principal balance does not reach \$100,000 on or before January 31, 2021, the fund will no longer be eligible to be included in the Ohio Scholarship Challenge; the annual distribution will not be matched; the scholarships will not be required to be portable, transferable, or renewable; and unused distribution can be reinvested in the fund's principal.

The University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. The University and the Foundation reserve the right to modify the purposes of this fund, however, (1) in consultation with the donors named above, or (2) if such purposes become unlawful, impracticable, impossible to achieve, or wasteful, provided that such fund shall only be used for the University's charitable purposes. In seeking such modification, the University and the Foundation shall consult the dean of the College of Engineering or his/her

designee. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **The Heffner Scholarship Fund in Medicine**

The Heffner Scholarship Fund in Medicine was established June 1, 2007, by the Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Heffner Fund of Columbus, Ohio. Effective June 4, 2020, the fund description shall be revised.

The annual distribution from this fund shall be used to provide one or more scholarships to medical students in the College of Medicine and/or students who are a part of the MedPath program based on financial need. Preference shall be given to students who have served in leadership roles that called upon their abilities to lead an organization in innovative ways, propelling the organization to excellence. Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the college, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

Unused annual distribution shall be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that this fund should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purpose of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Medicine or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **Sandra M. and Michael J. Hermanoff Scholarship Fund**

The Sandra M. and Michael J. Hermanoff Endowment Fund was established June 4, 2004, by the Board of Trustees of The Ohio State University in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Sandra M. (BA 1965) and Michael J. Hermanoff of Bingham Farms, Michigan. The name and description were revised September 23, 2005. Effective August 31, 2018, the fund description was further revised. Effective June 4, 2020, the fund description shall be yet further revised.

The annual distribution from this fund shall be used to provide one scholarship to an undergraduate rank four student with financial need, who has a 3.0 or better grade point average (GPA) and has been involved in student activities or community volunteerism. Preference will be given to students studying Journalism or Communication who have been awarded federal work-study. Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the College of Arts and Sciences, in consultation with Student Financial Aid.

In any given year that the endowment distribution is not fully expended, the unused portion should be reinvested in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures as approved by the Board of Trustees. As authorized by the Board of Trustees,

a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donors that the endowment established herein should benefit the University in perpetuity. The University and the Foundation may modify the purpose of this fund, in consultation with the donors named above. In accordance with Ohio Revised Code, if the purpose of the fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation, may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Arts and Sciences or his/her designee to identify a similar purpose consistent with the original intent of the donors. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.

### **TS Tech Endowed Scholarship Fund**

The TS Tech Endowed Scholarship Fund was established April 8, 2016, by the Board of Trustees of The Ohio State University, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from TS Tech Americas, Inc. Effective June 4, 2020, the fund description shall be revised.

The annual distribution from this fund shall be used to provide scholarship support to second, third, and fourth-year students with grade point averages of at least 3.0 who are majoring in Mechanical Engineering, Biomedical Engineering, Industrial Systems Engineering or Material Sciences Engineering. Preference shall be given to students from Ohio, Indiana, Nebraska, Texas, or Alabama. Scholarship recipients, the number of recipients, and amount of each scholarship shall be determined in accordance with the then current guidelines and procedures for scholarship administration established by the College of Engineering, in consultation with Student Financial Aid.

The Ohio State University's mission and admissions policy supports educational diversity. The University may modify any criteria used to select scholarship recipients should the criteria be found, in whole or in part, to be contrary to federal or state law or University policy.

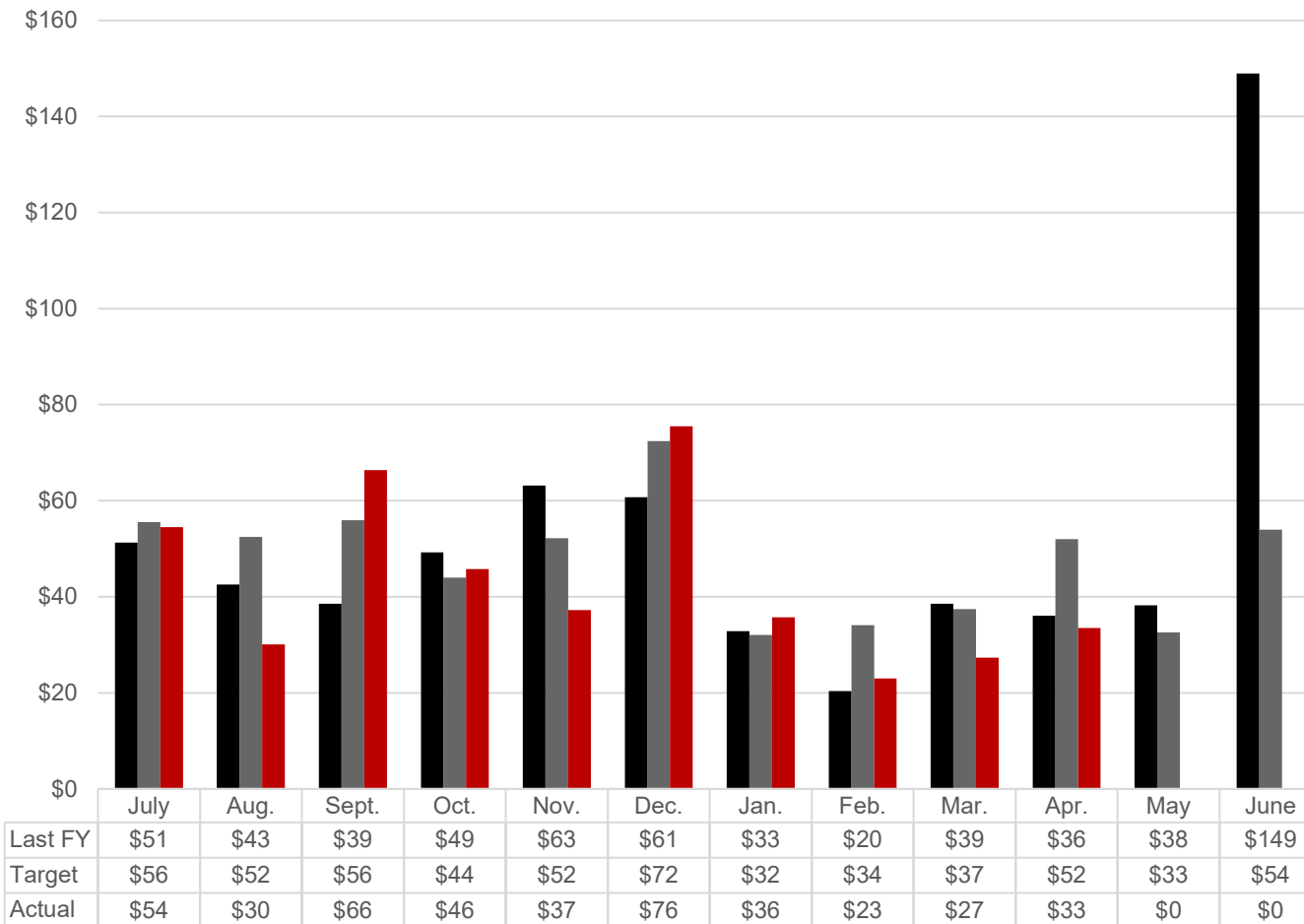
The highest ranking official in the College of Engineering or his/her designee has the discretion to hold all or a portion of the unused distribution in the distribution fund to be used in subsequent years, and/or reinvest all or a portion of the unused distribution in the endowment principal.

The investment and management of and expenditures from all endowment funds shall be in accordance with University policies and procedures, as approved by the Board of Trustees. As authorized by the Board of Trustees, a fee may be assessed against the endowment portfolio for the University's costs of development and fund management.

It is the desire of the donor that the endowment established herein should benefit the University in perpetuity. Should the University units referenced in this endowment restructure in the future, the terms of the endowment shall apply to their successors in interest. The University and the Foundation may modify the purposes of this fund, in consultation with the donor named above. In accordance with Ohio Revised Code, if the purpose of this fund becomes unlawful, impracticable, impossible to achieve, or wasteful, the University and Foundation may modify the purpose of this fund. The University and the Foundation shall consult the highest ranking official in the College of Engineering or his/her designee to identify a similar purpose consistent with the original intent of the donor. Modifications to endowed funds shall be approved by the University's Board of Trustees and the Foundation's Board of Directors, in accordance with the policies of the University and Foundation.



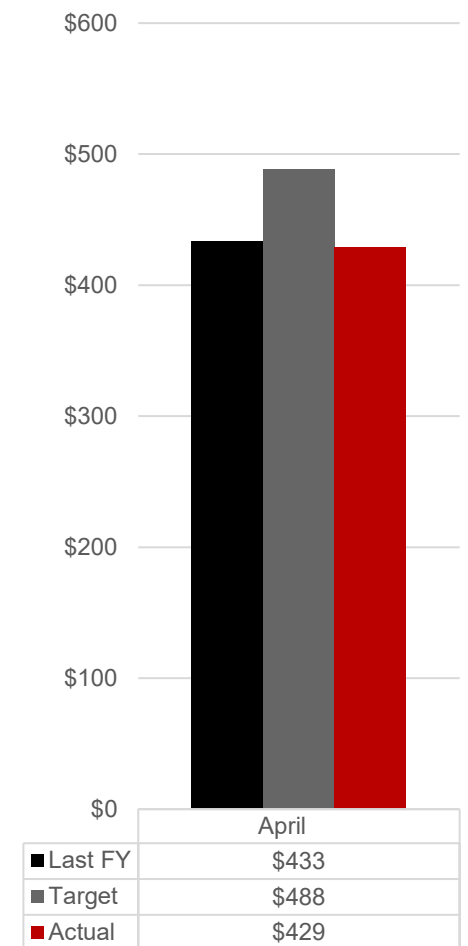
Monthly Activity - Last FY vs. Target vs. Actual



■ Last FY ■ Target ■ Actual

Target = (Last 3 FY % of total achieved at month end) \* (Current Annual Goal)

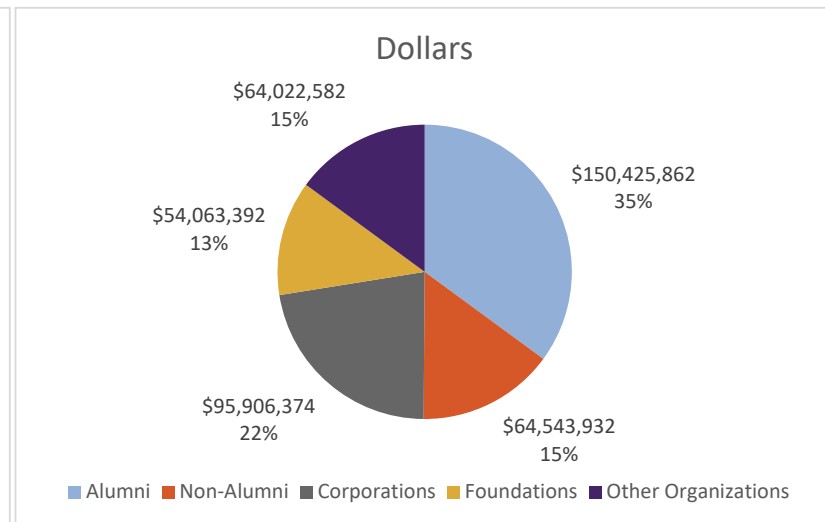
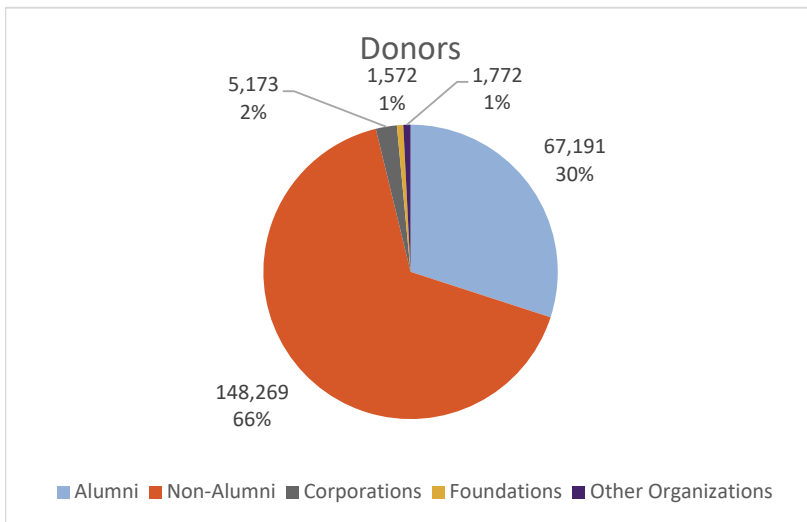
Cumulative Totals

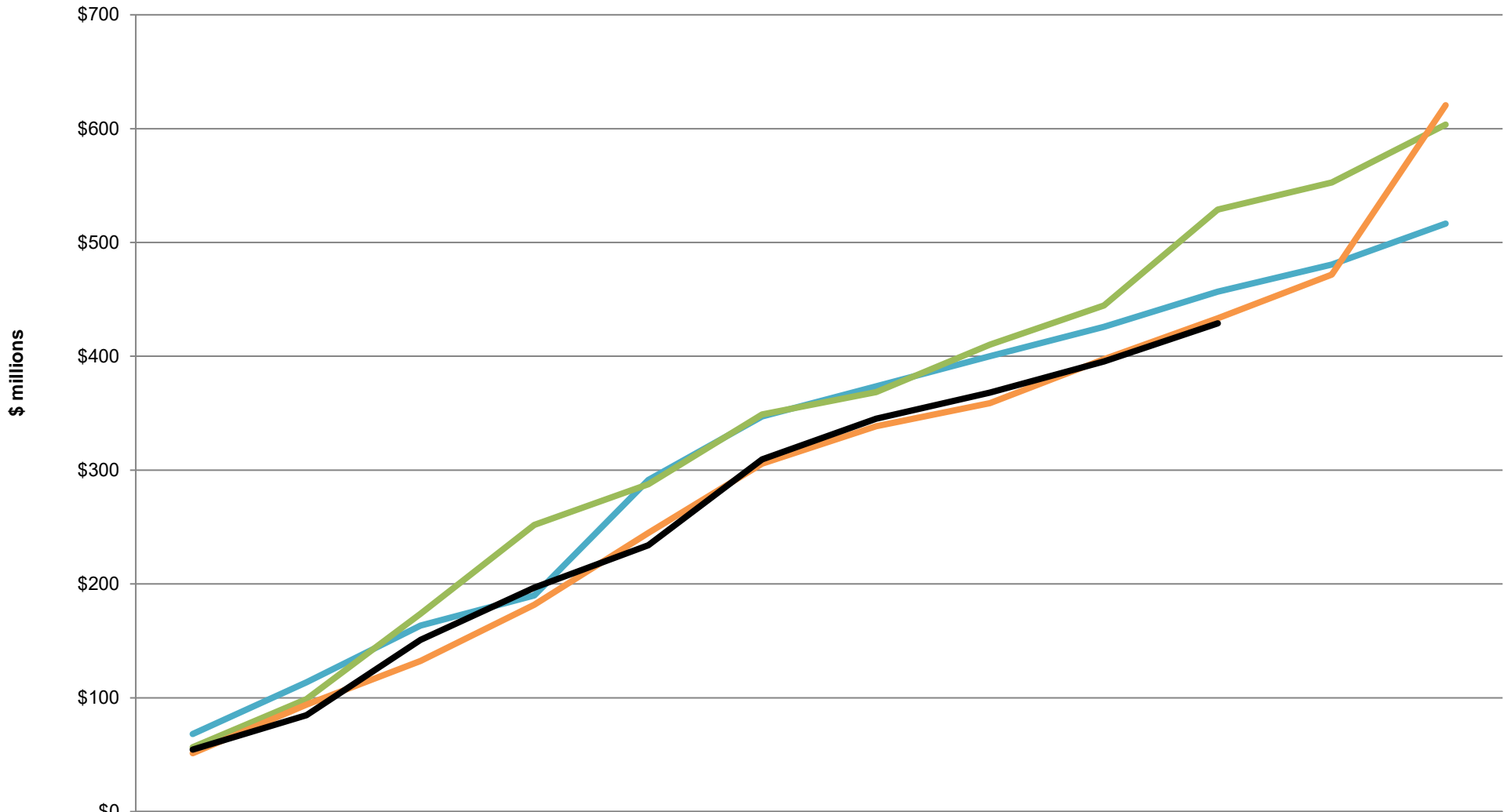


■ Last FY ■ Target ■ Actual



	7/1/2019 - 4/30/2020		7/1/2018 - 4/30/2019		% Change	
	Donors	Dollars	Donors	Dollars	Donors	Dollars
<b>Individuals</b>						
Alumni	67,191	\$150,425,862	72,368	\$126,938,796	-7%	19%
Non-Alumni	148,269	\$64,543,932	159,783	\$74,045,891	-7%	-13%
<b>Individuals</b>	<b>215,460</b>	<b>\$214,969,793</b>	<b>232,151</b>	<b>\$200,984,688</b>	<b>-7%</b>	<b>7%</b>
<b>Organizations</b>						
Corporations	5,173	\$95,906,374	5,801	\$131,970,005	-11%	-27%
Foundations	1,572	\$54,063,392	1,452	\$46,092,287	8%	17%
Other Organizations	1,772	\$64,022,582	1,814	\$54,392,185	-2%	18%
<b>Organizations</b>	<b>8,517</b>	<b>\$213,992,348</b>	<b>9,067</b>	<b>\$232,454,477</b>	<b>-6%</b>	<b>-8%</b>
<b>Grand Total</b>	<b>223,977</b>	<b>\$428,962,142</b>	<b>241,218</b>	<b>\$433,439,165</b>	<b>-7%</b>	<b>-1%</b>





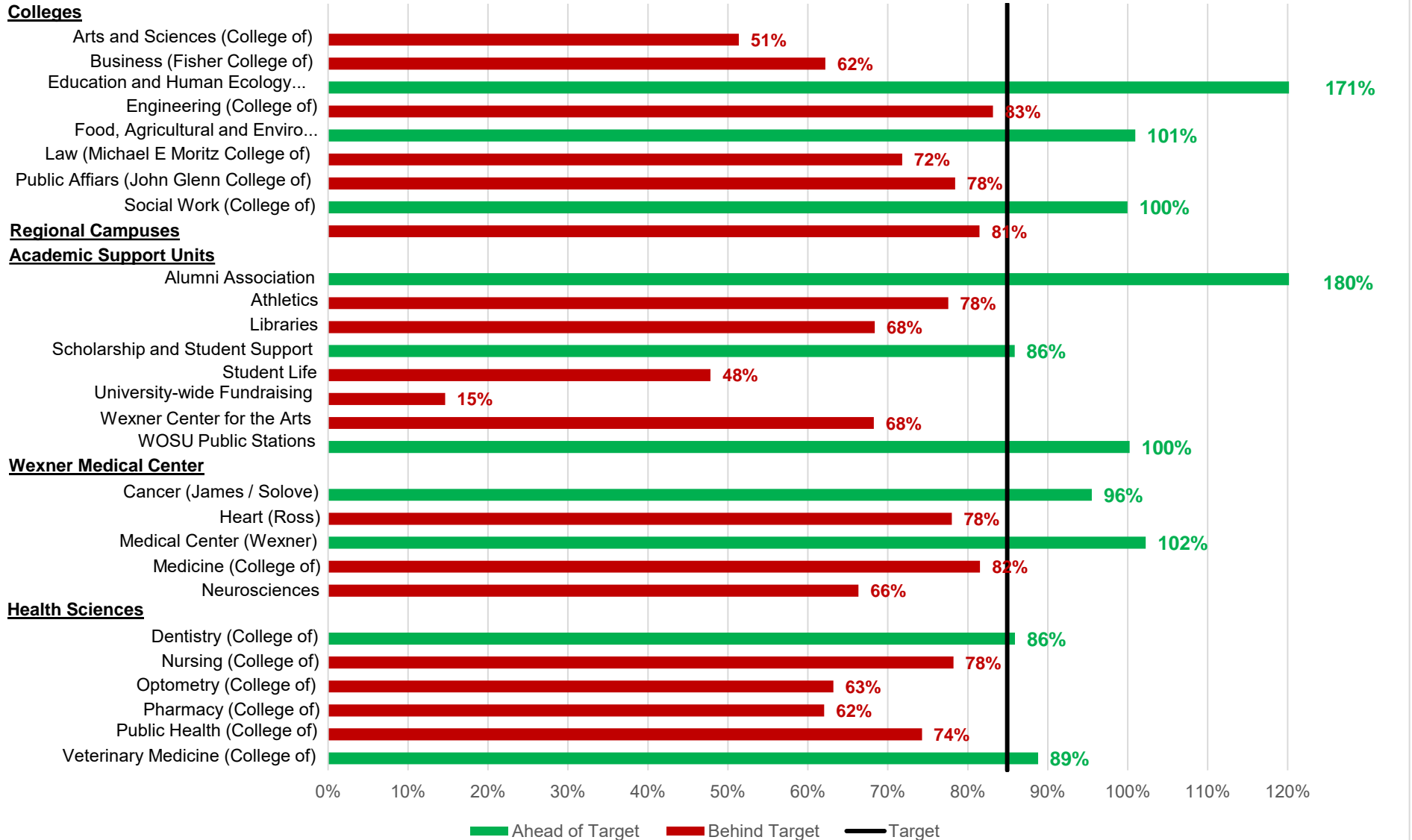
	July	August	September	October	November	December	January	February	March	April	May	June
2017	\$68	\$114	\$164	\$190	\$291	\$347	\$374	\$400	\$426	\$457	\$481	\$517
2018	\$57	\$99	\$174	\$252	\$288	\$349	\$369	\$410	\$445	\$529	\$553	\$604
2019	\$51	\$94	\$132	\$182	\$245	\$306	\$338	\$359	\$397	\$433	\$472	\$621
2020	\$54	\$85	\$151	\$197	\$234	\$309	\$345	\$368	\$395	\$429		

Unit	Alumni	Non-Alumni	Corporations	Foundations	Other Organizations	Total	Goal	% Achieved	vs. Target
<b>University</b>									
<b>Colleges</b>									
Arts and Sciences (College of)	\$15,040,184	\$2,247,614	\$2,111,588	\$1,786,746	\$7,069,858	\$28,255,991	\$55,000,000	51%	-34%
Business (Fisher College of)	\$9,554,928	\$513,433	\$2,592,792	\$2,036,627	\$850,409	\$15,548,188	\$25,000,000	62%	-23%
Education and Human Ecology (College of)	\$2,187,901	\$726,228	\$328,999	\$8,245,471	\$1,336,798	\$12,825,397	\$7,500,000	171%	86%
Engineering (College of)	\$8,389,892	\$626,367	\$24,554,486	\$2,391,258	\$12,263,702	\$48,225,704	\$58,000,000	83%	-2%
Food, Agricultural and Enviro Sciences (College of)	\$12,809,938	\$1,304,170	\$11,930,742	\$3,191,622	\$8,111,762	\$37,348,234	\$37,000,000	101%	16%
Law (Michael E. Moritz College of)	\$2,546,447	\$142,674	\$5,256,996	\$601,800	\$68,553	\$8,616,469	\$12,000,000	72%	-13%
Public Affairs (John Glenn College of)	\$684,056	\$237,690	\$67,975	\$758,315	\$212,345	\$1,960,380	\$2,500,000	78%	-7%
Social Work (College of)	\$1,203,135	\$36,795	\$365,591	\$204,103	\$589,701	\$2,399,324	\$2,400,000	100%	15%
<b>Colleges</b>	<b>\$52,416,480</b>	<b>\$5,834,971</b>	<b>\$47,209,169</b>	<b>\$19,215,941</b>	<b>\$30,503,127</b>	<b>\$155,179,688</b>	<b>\$199,400,000</b>	<b>78%</b>	<b>-7%</b>
<b>Regional Campuses</b>									
OSU Lima	\$228,622	\$29,024	\$3,817	\$2,250	\$1,347	\$265,059	\$500,000	53%	-32%
OSU Mansfield	\$28,632	\$15,998	\$8,484	\$87,786	\$5,228	\$146,127	\$700,000	21%	-64%
OSU Marion	\$778,184	\$164,708	\$18,824	\$48,810	\$1,780	\$1,012,305	\$1,000,000	101%	16%
OSU Newark	\$403,543	\$351,470	\$1,027,664	\$211,190	\$4,100	\$1,997,967	\$2,000,000	100%	15%
<b>Regional Campuses</b>	<b>\$1,438,980</b>	<b>\$561,199</b>	<b>\$1,058,788</b>	<b>\$350,036</b>	<b>\$12,455</b>	<b>\$3,421,459</b>	<b>\$4,200,000</b>	<b>81%</b>	<b>-3%</b>
<b>Academic Support Units</b>									
Alumni Association	\$2,132,866	\$226,181	\$108,246	\$188,994	\$49,834	\$2,706,121	\$1,500,000	180%	95%
Athletics	\$16,771,854	\$11,846,454	\$12,282,906	\$4,984,105	\$649,828	\$46,535,147	\$60,000,000	78%	-7%
Libraries	\$2,065,607	\$842,260	\$34,723	\$972,253	\$186,621	\$4,101,465	\$6,000,000	68%	-17%
Scholarship and Student Support	\$14,896,690	\$812,013	\$1,985,397	\$1,384,410	\$1,528,843	\$20,607,353	\$24,000,000	86%	1%
Student Life	\$893,066	\$330,443	\$247,558	\$229,164	\$116,324	\$1,816,556	\$3,800,000	48%	-37%
University-wide Fundraising	\$6,025,656	\$1,486,366	\$726,058	\$498,936	\$888,563	\$9,625,580	\$65,800,000	15%	-70%
Wexner Center for the Arts	\$153,225	\$214,488	\$454,041	\$1,900,314	\$8,000	\$2,730,068	\$4,000,000	68%	-17%
WOSU Public Media	\$1,997,952	\$2,772,058	\$1,207,106	\$544,586	\$2,500,418	\$9,022,120	\$9,000,000	100%	15%
<b>Academic Support Units</b>	<b>\$44,936,917</b>	<b>\$18,530,264</b>	<b>\$17,046,036</b>	<b>\$10,702,763</b>	<b>\$5,928,432</b>	<b>\$97,144,411</b>	<b>\$174,100,000</b>	<b>56%</b>	<b>-29%</b>
<b>University</b>	<b>\$98,792,377</b>	<b>\$24,926,435</b>	<b>\$65,313,993</b>	<b>\$30,268,740</b>	<b>\$36,444,013</b>	<b>\$255,745,557</b>	<b>\$377,700,000</b>	<b>68%</b>	<b>-17%</b>
<b>Medical and Health Sciences</b>									
<b>Wexner Medical Center</b>									
Cancer (James / Solove)	\$7,765,372	\$25,333,975	\$15,576,749	\$8,687,364	\$7,589,836	\$64,953,295	\$68,000,000	96%	11%
Heart (Ross)	\$11,442,963	\$1,646,862	\$1,799,771	\$264,650	\$447,686	\$15,601,931	\$20,000,000	78%	-7%
Medical Center (Wexner)	\$3,845,613	\$1,291,083	\$3,955,807	\$6,831,968	\$9,640,493	\$25,564,964	\$25,000,000	102%	17%
Medicine (College of)	\$5,716,883	\$149,810	\$1,861,086	\$982,610	\$3,519,593	\$12,229,982	\$15,000,000	82%	-3%
Neurosciences	\$473,537	\$1,915,110	\$1,426,021	\$3,693,976	\$2,441,178	\$9,949,822	\$15,000,000	66%	-19%
<b>Wexner Medical Center</b>	<b>\$29,244,368</b>	<b>\$30,336,839</b>	<b>\$24,619,434</b>	<b>\$20,460,567</b>	<b>\$23,638,786</b>	<b>\$128,299,994</b>	<b>\$143,000,000</b>	<b>90%</b>	<b>5%</b>
<b>Health Sciences Colleges</b>									
Dentistry (College of)	\$5,135,188	\$102,804	\$2,220,371	\$334,400	\$282,351	\$8,075,114	\$9,400,000	86%	1%
Nursing (College of)	\$3,294,427	\$215,616	\$465,207	\$127,228	\$902,820	\$5,005,298	\$6,400,000	78%	-7%
Optometry (College of)	\$578,461	\$79,878	\$161,307	\$379,333	\$64,957	\$1,263,936	\$2,000,000	63%	-22%
Pharmacy (College of)	\$791,116	\$171,842	\$1,097,011	\$991,341	\$361,381	\$3,412,692	\$5,500,000	62%	-23%
Public Health (College of)	\$114,368	\$42,534	\$193,591	\$51,500	\$1,454,581	\$1,856,573	\$2,500,000	74%	-11%
Veterinary Medicine (College of)	\$12,475,558	\$8,667,984	\$1,835,460	\$1,450,283	\$873,694	\$25,302,978	\$28,500,000	89%	4%
<b>Health Sciences Colleges</b>	<b>\$22,389,117</b>	<b>\$9,280,658</b>	<b>\$5,972,947</b>	<b>\$3,334,085</b>	<b>\$3,939,783</b>	<b>\$44,916,591</b>	<b>\$54,300,000</b>	<b>83%</b>	<b>-2%</b>
<b>Medical and Health Sciences</b>	<b>\$51,633,485</b>	<b>\$39,617,497</b>	<b>\$30,592,381</b>	<b>\$23,794,653</b>	<b>\$27,578,569</b>	<b>\$173,216,585</b>	<b>\$197,300,000</b>	<b>88%</b>	<b>3%</b>
<b>Grand Total</b>	<b>\$150,425,862</b>	<b>\$64,543,932</b>	<b>\$95,906,374</b>	<b>\$54,063,392</b>	<b>\$64,022,582</b>	<b>\$428,962,142</b>	<b>\$575,000,000</b>	<b>75%</b>	<b>-10%</b>

 Year to Date Target **85%**

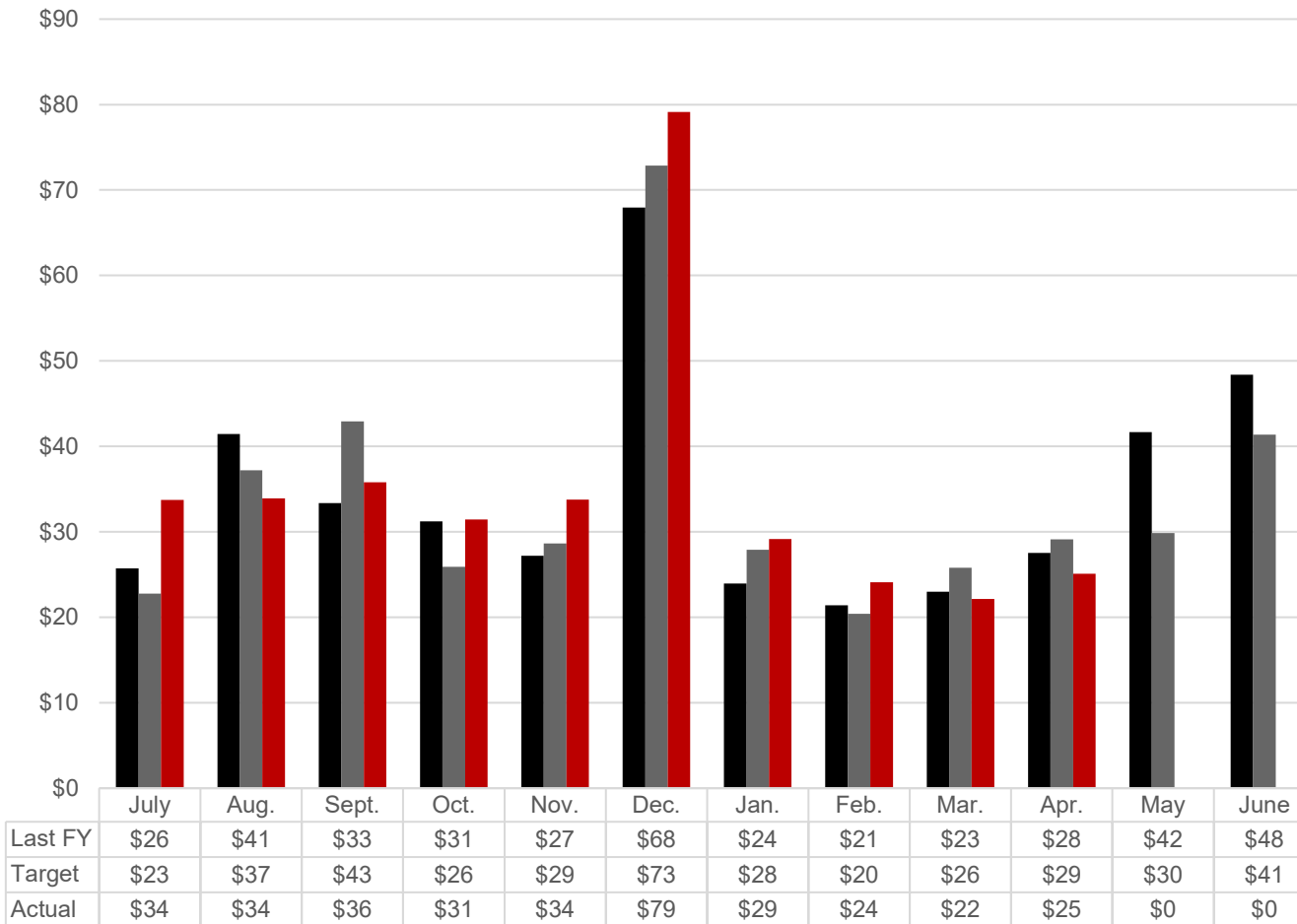
Target = (Last 3 FY % of total achieved at month end) \* (Current Annual Goal)

## Activity by Unit - Progress



Target = (Last 3 FY % of total achieved at month end) \* (Current Annual Goal)

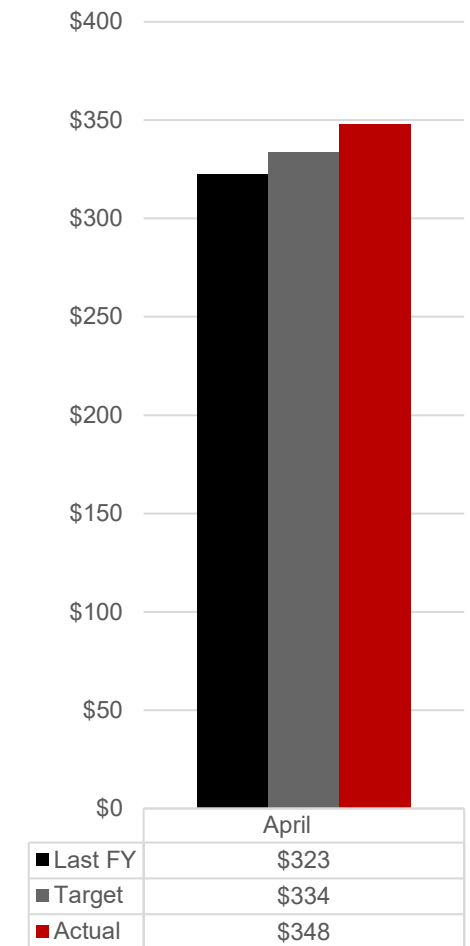
Monthly Receipts - Last FY vs. Target vs. Actual



■ Last FY   ■ Target   ■ Actual

Target = (Last 3 FY % of total achieved at month end) \* (Current Annual Goal)

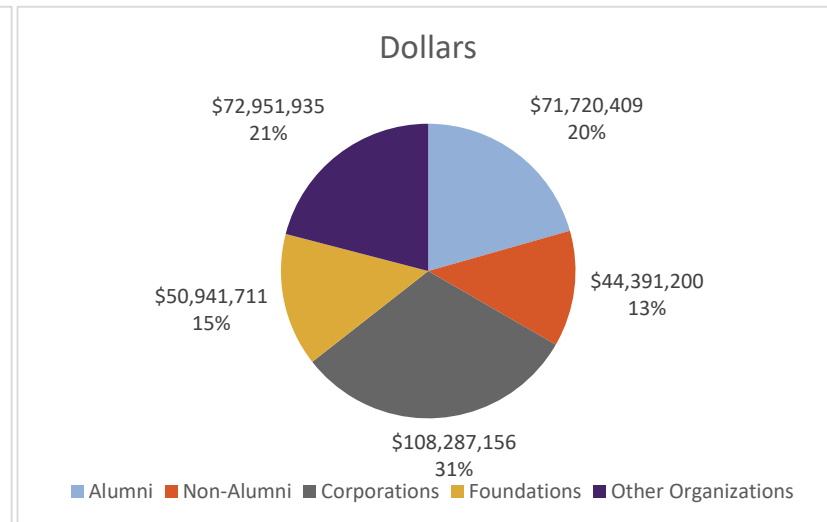
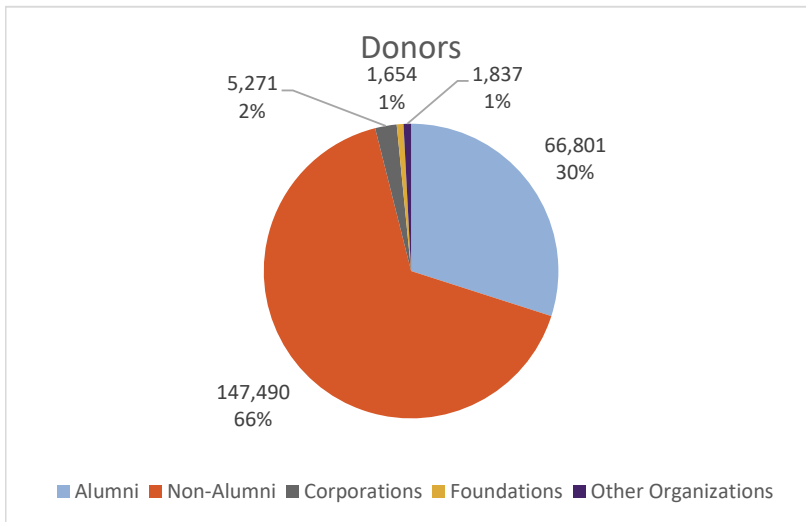
Cumulative Totals

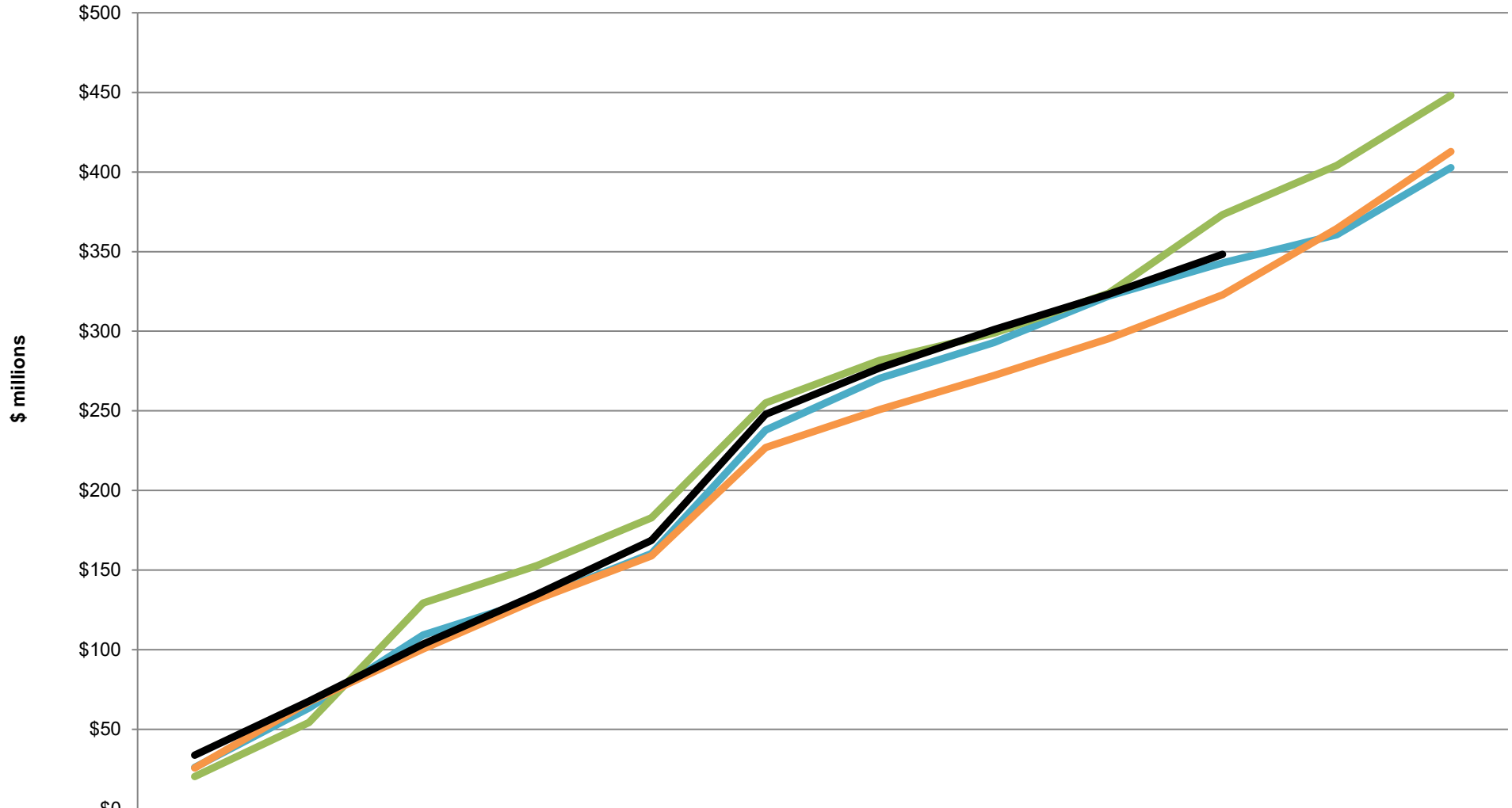


■ Last FY   ■ Target   ■ Actual



	7/1/2019 - 4/30/2020		7/1/2018 - 4/30/2019		% Change	
	Donors	Dollars	Donors	Dollars	Donors	Dollars
<b>Individuals</b>						
Alumni	66,801	\$71,720,409	72,384	\$60,671,096	-8%	18%
Non-Alumni	147,490	\$44,391,200	159,595	\$40,829,982	-8%	9%
<b>Individuals</b>	<b>214,291</b>	<b>\$116,111,610</b>	<b>231,979</b>	<b>\$101,501,078</b>	<b>-8%</b>	<b>14%</b>
<b>Organizations</b>						
Corporations	5,271	\$108,287,156	5,902	\$105,663,073	-11%	2%
Foundations	1,654	\$50,941,711	1,513	\$56,528,984	9%	-10%
Other Organizations	1,837	\$72,951,935	1,880	\$59,094,992	-2%	23%
<b>Organizations</b>	<b>8,762</b>	<b>\$232,180,802</b>	<b>9,295</b>	<b>\$221,287,048</b>	<b>-6%</b>	<b>5%</b>
<b>Grand Total</b>	<b>223,053</b>	<b>\$348,292,412</b>	<b>241,274</b>	<b>\$322,788,126</b>	<b>-8%</b>	<b>8%</b>





	July	August	September	October	November	December	January	February	March	April	May	June
2017	\$26	\$63	\$109	\$132	\$160	\$238	\$270	\$293	\$322	\$343	\$361	\$403
2018	\$20	\$54	\$129	\$153	\$183	\$255	\$282	\$299	\$324	\$373	\$404	\$448
2019	\$26	\$67	\$101	\$132	\$159	\$227	\$251	\$272	\$295	\$323	\$364	\$413
2020	\$34	\$68	\$103	\$135	\$169	\$248	\$277	\$301	\$323	\$348		

**Receipts by Unit - Dollars**

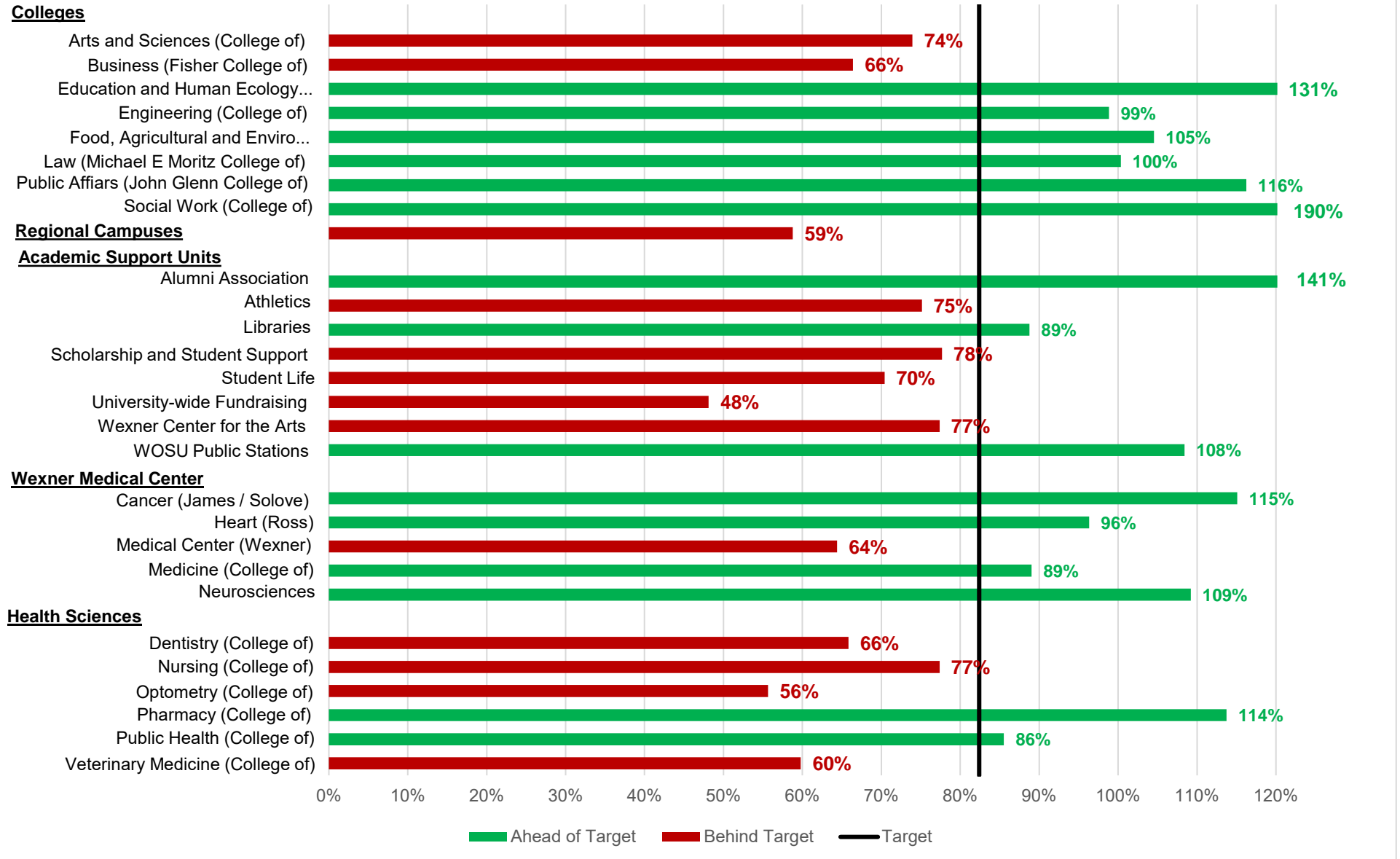
7/1/2019 through 4/30/2020

Unit	Alumni	Non-Alumni	Corporations	Foundations	Other Organizations	Total	Goal	% Achieved	vs. Target
<b>University</b>									
<b>Colleges</b>									
Arts and Sciences (College of)	\$7,457,282	\$1,391,048	\$12,514,714	\$2,569,071	\$7,124,868	\$31,056,982	\$42,000,000	74%	-8%
Business (Fisher College of)	\$1,948,793	\$394,870	\$5,086,955	\$986,627	\$880,695	\$9,297,939	\$14,000,000	66%	-16%
Education and Human Ecology (College of)	\$999,639	\$721,853	\$327,999	\$4,529,898	\$1,566,979	\$8,146,369	\$6,200,000	131%	49%
Engineering (College of)	\$6,493,754	\$664,445	\$27,727,445	\$1,943,071	\$12,592,082	\$49,420,796	\$50,000,000	99%	16%
Food, Agricultural and Enviro Sciences (College of)	\$5,528,134	\$1,278,858	\$11,372,262	\$2,817,122	\$10,893,237	\$31,889,613	\$30,500,000	105%	22%
Law (Michael E. Moritz College of)	\$1,891,782	\$176,864	\$1,333,246	\$1,394,070	\$221,156	\$5,017,118	\$5,000,000	100%	18%
Public Affairs (John Glenn College of)	\$204,149	\$252,665	\$67,975	\$758,315	\$228,088	\$1,511,192	\$1,300,000	116%	34%
Social Work (College of)	\$1,592,273	\$45,595	\$365,591	\$519,103	\$509,701	\$3,032,261	\$1,600,000	190%	107%
<b>Colleges</b>	<b>\$26,115,806</b>	<b>\$4,926,198</b>	<b>\$58,796,186</b>	<b>\$15,517,275</b>	<b>\$34,016,805</b>	<b>\$139,372,270</b>	<b>\$150,600,000</b>	93%	10%
<b>Regional Campuses</b>									
OSU Lima	\$119,699	\$43,524	\$111,817	\$402,250	\$4,947	\$682,236	\$900,000	76%	-7%
OSU Mansfield	\$34,072	\$15,998	\$9,484	\$108,386	\$7,228	\$175,167	\$1,000,000	18%	-65%
OSU Marion	\$116,495	\$183,208	\$23,824	\$650,755	\$26,780	\$1,001,062	\$1,000,000	100%	18%
OSU Newark	\$253,266	\$183,789	\$98,039	\$421,190	\$6,600	\$962,884	\$1,900,000	51%	-32%
<b>Regional Campuses</b>	<b>\$523,532</b>	<b>\$426,519</b>	<b>\$243,163</b>	<b>\$1,582,581</b>	<b>\$45,555</b>	<b>\$2,821,349</b>	<b>\$4,800,000</b>	59%	-24%
<b>Academic Support Units</b>									
Alumni Association	\$550,760	\$224,981	\$108,256	\$188,994	\$57,259	\$1,130,250	\$800,000	141%	59%
Athletics	\$11,744,786	\$8,720,213	\$12,721,614	\$4,955,586	\$1,686,328	\$39,828,527	\$53,000,000	75%	-7%
Libraries	\$2,107,725	\$686,439	\$34,723	\$979,253	\$186,621	\$3,994,763	\$4,500,000	89%	6%
Scholarship and Student Support	\$2,995,020	\$450,519	\$2,204,782	\$2,386,490	\$1,830,262	\$9,867,073	\$12,700,000	78%	-5%
Student Life	\$656,611	\$522,532	\$361,319	\$229,164	\$131,424	\$1,901,051	\$2,700,000	70%	-12%
University-wide Fundraising	\$5,519,489	\$1,020,834	\$766,058	\$2,303,257	\$927,523	\$10,537,161	\$21,900,000	48%	-34%
Wexner Center for the Arts	\$153,225	\$214,338	\$428,041	\$2,290,314	\$9,484	\$3,095,402	\$4,000,000	77%	-5%
WOSU Public Media	\$1,467,342	\$2,408,746	\$1,142,106	\$534,692	\$4,204,231	\$9,757,118	\$9,000,000	108%	26%
<b>Academic Support Units</b>	<b>\$25,194,958</b>	<b>\$14,248,602</b>	<b>\$17,766,900</b>	<b>\$13,867,751</b>	<b>\$9,033,133</b>	<b>\$80,111,345</b>	<b>\$108,600,000</b>	74%	-9%
<b>University</b>	<b>\$51,834,296</b>	<b>\$19,601,319</b>	<b>\$76,806,249</b>	<b>\$30,967,607</b>	<b>\$43,095,493</b>	<b>\$222,304,964</b>	<b>\$264,000,000</b>	84%	2%
<b>Medical and Health Sciences</b>									
<b>Wexner Medical Center</b>									
Cancer (James / Solove)	\$5,734,072	\$13,527,648	\$15,957,789	\$7,570,951	\$8,202,299	\$50,992,758	\$44,300,000	115%	33%
Heart (Ross)	\$2,184,724	\$3,700,158	\$2,018,771	\$319,650	\$447,806	\$8,671,108	\$9,000,000	96%	14%
Medical Center (Wexner)	\$1,181,806	\$1,580,401	\$3,711,869	\$1,855,138	\$9,700,228	\$18,029,442	\$28,000,000	64%	-18%
Medicine (College of)	\$4,307,810	\$241,864	\$1,864,336	\$1,542,610	\$3,618,888	\$11,575,508	\$13,000,000	89%	7%
Neurosciences	\$1,627,307	\$1,984,983	\$1,420,188	\$4,211,155	\$2,441,178	\$11,684,811	\$10,700,000	109%	27%
<b>Wexner Medical Center</b>	<b>\$15,035,718</b>	<b>\$21,035,054</b>	<b>\$24,972,953</b>	<b>\$15,499,504</b>	<b>\$24,410,399</b>	<b>\$100,953,628</b>	<b>\$105,000,000</b>	96%	14%
<b>Health Sciences Colleges</b>									
Dentistry (College of)	\$1,174,696	\$221,250	\$1,245,521	\$354,400	\$295,751	\$3,291,618	\$5,000,000	66%	-17%
Nursing (College of)	\$508,725	\$223,616	\$465,207	\$127,228	\$2,002,820	\$3,327,596	\$4,300,000	77%	-5%
Optometry (College of)	\$295,610	\$81,758	\$214,562	\$289,333	\$64,957	\$946,220	\$1,700,000	56%	-27%
Pharmacy (College of)	\$484,639	\$182,511	\$1,392,355	\$991,341	\$361,381	\$3,412,228	\$3,000,000	114%	31%
Public Health (College of)	\$167,981	\$17,384	\$193,591	\$1,160,015	\$1,454,581	\$2,993,551	\$3,500,000	86%	3%
Veterinary Medicine (College of)	\$2,218,745	\$3,028,308	\$2,996,719	\$1,552,283	\$1,266,553	\$11,062,608	\$18,500,000	60%	-23%
<b>Health Sciences Colleges</b>	<b>\$4,850,396</b>	<b>\$3,754,828</b>	<b>\$6,507,954</b>	<b>\$4,474,600</b>	<b>\$5,446,042</b>	<b>\$25,033,820</b>	<b>\$36,000,000</b>	70%	-13%
<b>Medical and Health Sciences</b>	<b>\$19,886,114</b>	<b>\$24,789,882</b>	<b>\$31,480,907</b>	<b>\$19,974,104</b>	<b>\$29,856,442</b>	<b>\$125,987,448</b>	<b>\$141,000,000</b>	89%	7%
<b>Grand Total</b>	<b>\$71,720,409</b>	<b>\$44,391,200</b>	<b>\$108,287,156</b>	<b>\$50,941,711</b>	<b>\$72,951,935</b>	<b>\$348,292,412</b>	<b>\$405,000,000</b>	86%	4%

 Year to Date Target **82%**

Target = (Last 3 FY % of total achieved at month end) \* (Current Annual Goal)

## Receipts by Unit - Progress



Target = (Last 3 FY % of total achieved at month end) \* (Current Annual Goal)

**NAMING OF TIMASHEV FAMILY MUSIC BUILDING**

COLLEGE OF ARTS AND SCIENCES

Synopsis: Approval for the naming of the future Timashev Family Music Building, to be located on College Road, is proposed.

WHEREAS the new Arts District facilities, including a renovated home for the School of Music, will reinforce the university's commitment to creative inquiry and performance; and

WHEREAS the music building will include world-class spaces for teaching, learning and performance — all for the benefit of students, faculty and the Columbus community; and

WHEREAS through the Timashev Family Foundation, Ratmir and Angela Timashev have provided significant support to the College of Arts and Sciences and construction of the new music building; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Ratmir and Angela Timashev's philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facility the aforementioned music building be named Timashev Family Music Building.

**NAMING OF THE AMERICAN ELECTRIC POWER FOUNDATION ATRIUM**

**IN THE BIOMEDICAL AND MATERIALS ENGINEERING COMPLEX  
COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of the atrium in Mars G. Fontana Laboratories, located at 116 W. 19th Avenue, is proposed.

WHEREAS the renovation of the current buildings named Mars G. Fontana Laboratories and 140 W. 19th Avenue, and the new construction to be attached to the current buildings, will create a new facility for research labs, offices and classrooms to further collaboration among the Departments of Biomedical Engineering and Materials Science and Engineering; and

WHEREAS the new Mars G. Fontana Laboratories will transform the spaces where students, faculty and partners learn and innovate, with the close proximity to other campus collaborators leading to life-changing material innovations impacting health, transportation, energy and more; and

WHEREAS the College of Engineering desires to continue the historic partnership with American Electric Power and recognize their significant contributions to the college; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

**NOW THEREFORE**

**BE IT RESOLVED**, That in acknowledgement of the American Electric Power Foundation's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned atrium be named The American Electric Power Foundation Atrium for the life of the current facility.



**NAMING OF THE KOKOSING DESIGN STUDIO**

**IN BOLZ HALL, COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of Rooms 405, 410, 415, 420 and 430 in Bolz Hall, located at 2036 Neil Ave, is proposed.

WHEREAS the Department of Civil, Environmental and Geodetic Engineering is committed to providing opportunities for students to work in a professional environment before beginning their careers post-graduation; and

WHEREAS the renovations include new student-centered spaces to create an environment where students can collaborate with each other, faculty and industry professionals; and

WHEREAS the College of Engineering desires to recognize their partnership with Kokosing, Inc., which has provided significant contributions to the college; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Kokosing, Inc.'s philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that Rooms 405, 410, 415, 420 and 430 on the fourth floor of Bolz Hall be named The Kokosing Design Studio for the life of the current facility.

## NAMING OF MULTIPLE SPACES IN POSTLE HALL

### COLLEGE OF DENTISTRY

Synopsis: Approval for the naming of multiple spaces in Postle Hall, located at 305 West 12th Avenue, is proposed.

WHEREAS for more than 125 years, the College of Dentistry has embraced its public purpose of educating exceptionally capable and compassionate dentists, providing care to patients, conducting research at the forefront of dentistry and oral health, and serving the community; and

WHEREAS the transformative renovation includes high-tech classrooms, simulation laboratories, student practice space, and surgery clinics to expand the College's position as a national leader in dental education, research, and patient care; and

WHEREAS the donors listed below have provided significant contributions to support the College of Dentistry; and:

- Dr. Ronald & Joyce Erkis, Dr. Mark & Danette Kriwinsky, Dr. Paul & Dr. Judy Loper
- Mr. Kevin & Dr. Sally Zifer Lauterjung
- The Estate of Dr. Linn Newman
- Dr. Jeffrey L. Kleinman & Dr. Yana K. Kleinman
- Dr. Edward Maag & Mrs. Christine Maag
- Dr. Kevin Gannon
- Dr. John D. & Jeannine Davis
- Mark & Shayne Fixari
- Dr. Dominic A. & Helen M. Bitonte Family Foundation
- Delta Dental Foundation
- Dr. Ronald E. Myers & Kathleen A. Kiefer
- Dr. Robert & Shirley Caldwell
- Dr. James G. Zaletel & Bette A. Zaletel
- Dr. David Smeltzer
- Dr. James DeVengencie and Dr. Laura Pall DeVengencie
- Dr. Stan K. Doty
- Dr. Richard W. Ellinger
- Dr. Dillon Rhodenbaugh & Mrs. Beverly Rhodenbaugh
- Dr. David Rummel & Nancy Rummel
- Dr. Lawrence Tepe & Dr. Jan H. Tepe
- Dr. James B. Ford
- Dr. Robert Haring and Dr. Dale Anne Featheringham
- Dr. Scott Little & Diane Little
- Drs. Carlo and Angela Marino
- Dr. Alan & Rev. Elaine Mikesell
- Dr. Michael J. Bernard
- Dr. William W. Sikora & Dr. Christine Beebe Sikora
- Dr. George G. Brown & Julie Brown
- Dr. & Mrs. Joseph Shadeed
- Dr. Jonathan M. Ash and Dr. Dorene Sabulski Ash
- Dr. Matthew Messina and Denise Sheehy Messina
- Dr. & Mrs. Dennis V. Shaw
- Dr. Timothy W. Conley and Mrs. Sherry D. Conley
- Dr. & Mrs. Michael Caprenter

**NAMING OF MULTIPLE SPACES IN POSTLE HALL (cont'd)**

COLLEGE OF DENTISTRY

- Dr. Thomas & Mrs. Joyce Danford
- Dr. Nancy Dysinger
- Dr. Stephen Harlan
- Dr. Rick Hires
- Dr. and Mrs. Martin Holzinger
- Dr. and Mrs. Steven M. Klayman
- Dr. Pranav Patel
- Dr. Brett & Mrs. Cherie Pelok
- Dr. Curt Russell
- Dr. Rick & Anita, Nathan and Philip Silverman
- Dr. Marc & Elizabeth Fried
- The Stark County Dental Society Charitable Foundation, Inc.
- Dr. Jon & Brenda Stocker
- Dr. Timothy Voss
- Dr. David S Winston
- Dr. Missy Baker & Ms. Jane Coulman
- Dr. Frank F. Omerza
- Dr. Joseph & Susan Fonagy
- Dr. Robert Hinkle and The Bob & Peg Hinkle Family Fund of The Ohio Dental Association Foundation
- Dr. Urban Picard
- Dr. Michael P. DiMauro
- The Estate of Dr. Derrill Hablitzel
- Dr. and Mrs. Dante A. DeAngelo
- Dr. William S Clayton II and Nancy G Clayton
- The Estate of Dr. Thomas Stenger
- Dr. Joe & Mrs. Pauletta Crowley
- Dr. & Mrs. R. Rubin Gutarts
- Dr. Tara Haid
- Dr. Mitchell. I Henn
- Dr. Robert and Karen E. Haas
- Dr. Fredric A. Kaufman & Christie L. Kaufman
- Dr. and Mrs. Casey O'Connor
- Dr. Thomas and Christy Paumier
- Dr. Mark D. Raisch
- Dr. Lawrence P. Schmakel
- Dr. James L Soltys
- Dr. R. Jeffery and Mrs. Diana L. Stephens
- Louis and Holly Susi
- Dr. and Mrs. Clark White
- Dr. Robert H. Wright
- Dr. Gary R. Baughman
- John E. Rhodes
- Dr. Patrick M. Lloyd & Dr. Joyce F. Palik
- Dr. Timothy V. Kyger & Dr. Billie Sue Kyger

**NAMING OF MULTIPLE SPACES IN POSTLE HALL (cont'd)**

COLLEGE OF DENTISTRY

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, that in acknowledgement of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facilities the aforementioned spaces be named the following:

- Dr. Donald F. Bowers, Jr. Student Commons (Room 116)
- Mr. Kevin & Dr. Sally Zifer Lauterjung Radiology Reception Room (Room 327)
- Dr. Linn W. Newman Elevator Lobby (Room X001L)
- Dr. Jeffrey L. Kleinman & Dr. Yana K. Kleinman Classroom (Room 1160-1170)
- Dr. Edward Maag & Mrs. Christine Maag Classroom (Room 1180)
- Dr. Kevin Gannon & Dr. R. Jeffrey Gannon Café (Room 1310A)
- Dr. John D. Davis & Jeannine Davis and Dr. John Todd Davis & Christi Davis Elevator Lobby (Room X101L)
- Mark & Shayne Fixari Elevator Lobby (Room X115L)
- Dr. Dominic A. & Helen M. Bitonte Family Foundation Atrium (Room 1310)
- Delta Dental Foundation Ambulatory Surgery Center
- Dr. Ronald E. Myers & Kathleen A. Kiefer Mezzanine (Room X211C)
- Dr. Robert & Shirley Caldwell Elevator Lobby (Room X0021)
- Dr. James G. Zaletel & Bette A. Zaletel Elevator Lobby (Room X204L)
- The Smeltzer Family Operating Room (Room 2336B)
- Dr. James DeVengencie and Dr. Laura Pall DeVengencie Procedure Room (Room 2336A)
- Dr. Stan K. Doty Procedure Room (Room 2336F)
- Dr. Richard W. Ellinger Procedure Room (Room 2336G)
- The Rhodenbaugh Family Recovery Hall (Room X215C)
- Dr. David Rummel & Nancy Rummel Waiting Room (Room 2320)
- Dr. Lawrence Tepe & Dr. Jan H. Tepe and Dr. Rachel Tepe Twyman & Mr. Ted Twyman Vestibule (Room X200L)
- C. Evelyn & James R. Ford Pre/Post Op Area (Room 2326D)
- Dr. Robert Haring and Dr. Dale Anne Featheringham Elevator Lobby (Room X203L)
- Dr. Scott Little & Diane Little Elevator Lobby (Room X301L)
- Drs. Carlo and Angela Marino Dispensary (Room 3322)
- Dr. Alan & Rev. Elaine Mikesell Dispensary (Room 3323)
- Dr. Michael J. Bernard Student Clinic Check In Area (Room 3308A)
- Dr. William W. Sikora & Dr. Christine Beebe Sikora Student Clinic Check In (Room 3328A)
- Dr. George G. Brown & Julie Brown Clinic Director's Office (Room 3318A)
- Dr. & Mrs. Joseph Shadeed Student Clinic Operator (Room 3336-06)
- Dr. Jonathan M. Ash and Dr. Dorene Sabulski Ash Student Clinic Operator (Room 3336-03)
- Dr. Matthew Messina and Denise Sheehy Messina Student Clinic Operator (Room 3336-02)
- Dr. & Mrs. Dennis V. Shaw Student Clinic Operator (Room 3328-04)
- Dr. Timothy W. Conley and Mrs. Sherry D. Conley Student Clinic Operator (Room 3328-05)
- Dr. & Mrs Michael Carpenter Student Clinic Operator (Room 3328-02)
- Dr. Thomas & Joyce Danford Student Clinic Operator (Room 3335-01)
- Drs. Marion and Nancy Arbogast Student Clinic Operator (Room 3335-04)
- Dr. Stephen and Dr. Ben Harlan Student Clinic Operator (Room 3335-05)

**NAMING OF MULTIPLE SPACES IN POSTLE HALL (cont'd)**

**COLLEGE OF DENTISTRY**

- Dr. Rick Hires Student Clinic Operatory (Room 3329-01)
- Dr. and Mrs. Martin Holzinger Student Clinic Operatory (Room 3329-02)
- Dr. J. David Brilliant Student Clinic Operatory (Room 3301-01)
- Dr. Pranav Patel Student Clinic Operatory (Room 3309-01)
- Dr. Brett and Mrs. Cherie Pelok And Family, Alec, Andrew Student Clinic Operatory (Room 3319-01)
- Dr. Curt Russell Student Clinic Operatory (Room 3325-01)
- Dr. Rick & Anita, Nathan and Philip Silverman Student Clinic Operatory (Room 3302-02)
- Dr. Marc & Elizabeth Fried Student Clinic Operatory (Room 3304-02)
- The Stark County Dental Society Charitable Foundation, Inc. Student Clinic Operatory (Room 3308-02)
- Dr. Jon & Brenda Stocker Lima, Ohio Student Clinic Operatory (Room 3318-02)
- Benjamin Ryan Fleming Student Clinic Operatory (Room 3324-02)
- Dr. David S Winston Student Clinic Operatory (Room 3318-01)
- Dr. Bill Coulman Student Clinic Operatory (Room 3309-02)
- Dr. Frank F. Omerza Student Clinic Operatory (Room 3301-02)
- Dr. Joseph & Susan Fonagy Clinic Director's Office (Room 3324A)
- The Bob & Peg Hinkle Family Fund of the Ohio Dental Association Foundation Elevator Lobby (Room X401L)
- Dr. Clair F. "Bud" Picard Student Clinic Operatory (Room 4336-06)
- Dr. Michael P. DiMauro Student Clinic Operatory (Room 4336-03)
- Dr. Derrill A. Hablitzel Student Clinic Operatory (Room 4328-04)
- Dr. and Mrs. Dante A. DeAngelo Student Clinic Operatory (Room 4328-05)
- Dr. William S Clayton Elevator Lobby (Room X402L)
- Dr. Thomas E. Stenger Clinic Director's Office (Room 4318A)
- Dr. Joe & Pauletta Crowley Student Clinic Operatory (Room 4328-02)
- Dr. & Mrs. R. Rubin Gutarts Student Clinic Operatory (Room 4335-01)
- The Haid & Enghauser Family Student Clinic Operatory (Room 4335-04)
- Dr. Mitchell I. Henn Student Clinic Operatory (Room 4335-05)
- Dr. Robert and Karen E. Haas Student Clinic Operatory (Room 4329-01)
- Dr. Fredric A. Kaufman & Christie L. Kaufman Student Clinic Operatory (Room 4329-02)
- Dr. and Mrs. Casey O'Connor Student Clinic Operatory (Room 4301-01)
- Dr. Thomas and Christy Paumier Student Clinic Operatory (Room 4309-01)
- Dr. Mark D. Raisch Student Clinic Operatory (Room 4319-01)
- Dr. Lawrence P. Schmakel Student Clinic Operatory (Room 4325-01)
- Dr. James L Soltys Student Clinic Operatory (Room 4302-02)
- Dr. R. Jeffery and Mrs. Diana L. Stephens Student Clinic Operatory (Room 4308-02)
- Louis and Holly Susi Student Clinic Operatory (Room 4318-02)
- Dr. and Mrs. Clark White Student Clinic Operatory (Room 4324-02)
- Dr. Robert H. Wright Student Clinic Operatory (Room 4318-01)
- Dr. Gary R. Baughman Student Clinic Operatory (Room 4309-02)
- John E. Rhodes, Dr. John E. Rhodes Jr., Dr. Joseph M. Rhodes, Erica Mack Rhodes, Beverly Stein Black Student Clinic Operatory (Room 4301-02)
- Dr. Patrick M. Lloyd & Dr. Joyce F. Palik Clinic Director's Office (Room 4324A)
- Dr. Timothy V. Kyger & Dr. Billie Sue Kyger / Dr. Timothy F. Kyger & Lauren E. Kyger Student Clinic Director's Office (Room 4325A)

**NAMING OF HYDRAULICS LAB IN SKOU HALL**

**COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES**

Synopsis: Approval for the naming of the Hydraulics Lab (Room 140) in Skou Hall, located at 1889 Garrison Circle East, Wooster, Ohio, is proposed.

WHEREAS Ohio State ATI students have opportunities to learn via hands-on applied education in specialized facilities that yield real-world experience valuable to employers; and

WHEREAS Ohio State ATI's curriculum trains students in the study of hydraulic power transmission, the properties of hydraulic fluids, and their ability to convey power in fully-equipped fluid power and diesel system laboratories; and

WHEREAS friends of the College of Food, Agricultural and Environmental Sciences have provided significant support to the College in honor of former faculty member Greg Gordon, an instructor of these programs; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facility the aforementioned space shall be named the Greg Gordon Hydraulics Lab.



**NAMING OF THE CHARLES R. MORIN, JR.  
TEACHING AND RESEARCH LABORATORY**

**IN THE BIOMEDICAL AND MATERIALS ENGINEERING COMPLEX  
COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of the Level 1 Teaching and Research Laboratory (Room 1155) in Mars G. Fontana Laboratories, located at 116 W. 19th Avenue, is proposed.

WHEREAS the renovation of the current buildings named Mars G. Fontana Laboratories and 140 W. 19th Avenue, and the new construction to be attached to the current buildings, will create a new facility for research labs, offices and classrooms to further collaboration among the Departments of Biomedical Engineering and Materials Science and Engineering; and

WHEREAS the new Mars G. Fontana Laboratories will transform the spaces where students, faculty and partners learn and innovate, with the close proximity to other campus collaborators leading to life-changing material innovations impacting health, transportation, energy and more; and

WHEREAS the College of Engineering desires to recognize the support of Ms. Amy N. Morin Martin, Mr. Jason D. Morin, Ms. Karen L. Coleman and Ms. Kelly L. Beyer, who have decided to honor their late father by providing significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Ms. Amy N. Morin Martin, Mr. Jason D. Morin, Ms. Karen L. Coleman and Ms. Kelly L. Beyer's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned Level 1 Teaching and Research Laboratory (Room 1155) be named the Charles R. Morin, Jr. Teaching and Research Laboratory for the life of the current facility.

**NAMING OF THE JOHN RATLIFF RESEARCH LABORATORY**

**IN THE BIOMEDICAL AND MATERIALS ENGINEERING COMPLEX  
COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of the Level 4 Research Laboratory (Room 4155) in Mars G. Fontana Laboratories, located at 116 W. 19th Avenue, is proposed.

WHEREAS the renovation of the current buildings named Mars G. Fontana Laboratories and 140 W. 19th Avenue, and the new construction to be attached to the current buildings, will create a new facility for research labs, offices and classrooms to further collaboration among the Departments of Biomedical Engineering and Materials Science and Engineering; and

WHEREAS the new Mars G. Fontana Laboratories will transform the spaces where students, faculty and partners learn and innovate, with the close proximity to other campus collaborators leading to life-changing material innovations impacting health, transportation, energy and more; and

WHEREAS the College of Engineering desires to recognize the continued partnership with Dr. John L. Ratliff and his significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Dr. John L. Ratliff's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned Level 4 Research Laboratory (Room 4155) shall be named the John Ratliff Research Laboratory for the life of the current facility.

**NAMING OF THE GREG AND ROBIN COMFORT COLLABORATION AREAS**

IN BOLZ HALL, COLLEGE OF ENGINEERING

Synopsis: Approval for the naming of multiple collaboration spaces in Bolz Hall, located at 2036 Neil Ave, is proposed.

WHEREAS the Department of Civil, Environmental and Geodetic Engineering is committed to providing opportunities for students to work in a professional environment before beginning their careers post-graduation; and

WHEREAS the renovations include new student-centered spaces to create an environment where students can collaborate with each other, faculty, and industry professionals; and

WHEREAS the College of Engineering desires to recognize their partnership with Mr. Greg Comfort, who has provided significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Mr. Greg Comfort's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facilities the aforementioned collaboration spaces shall be named the following:

- Comfort Family Collaboration Space (Room 430)
- Collaboration Room 1 (Room 430A)
- Collaboration Room 2 (Room 430B)

## NAMING OF MULTIPLE SPACES IN BOLZ HALL

### COLLEGE OF ENGINEERING

Synopsis: Approval for the naming of multiple spaces in Bolz Hall, located at 2036 Neil Avenue, is proposed.

WHEREAS the Department of Civil, Environmental and Geodetic Engineering is committed to providing opportunities for students to work in a professional environment before beginning their careers post-graduation; and

WHEREAS the renovations include new student-centered spaces to create an environment where students can collaborate with each other, faculty, and industry professionals; and

WHEREAS the donors listed below have provided significant contributions to support the College of Engineering; and

- Michael F. Plahovinsak and Jennifer L. Plahovinsak
- Mr. Robert K. Redfield
- Patrick K. Ellis and Deborah S. Ellis

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facilities the aforementioned spaces be named the following:

- The Plahovinsak Family Advising Office (Room 438)
- Dr. Carolyn J. Merry Student Advising Office (Room 440)
- Patrick ('75) & Deborah Ellis Huddle Room (Room 424)

**NAMING OF THE CINDY & LARRY HILSHEIMER CONFERENCE ROOM**

IN THE JAMESON CRANE SPORTS MEDICINE INSTITUTE  
WEXNER MEDICAL CENTER

Synopsis: Approval for the naming of the conference room (Room 2202) in the Jameson Crane Sports Medicine Institute located at 2835 Fred Taylor Drive, is proposed.

WHEREAS the Jameson Crane Sports Medicine Institute is the Midwest's largest and most advanced sports medicine facility, serving as the home of innovation and discovery in helping people improve their athletic performance, recover from injury and prevent future injuries; and

WHEREAS the Institute's research is focused on learning what causes athletic injuries, developing preventive interventions and enhancing how we get patients back to an active lifestyle, relying on a collaborative effort between engineers, athletic trainers, physical therapists, physicians and coaches; and

WHEREAS Cindy and Larry Hilsheimer have provided significant contributions to the Jameson Crane Sports Medicine Institute; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Cindy and Larry Hilsheimer's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned conference room (Room 2202) be named the Cindy & Larry Hilsheimer Conference Room for the life of the current facility.

**NAMING OF THE SMARIGA FAMILY CAFE**

**IN BOLZ HALL, COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of Room 427 in Bolz Hall, located at 2036 Neil Ave, is proposed.

WHEREAS the Department of Civil, Environmental and Geodetic Engineering is committed to providing opportunities for students to work in a professional environment before beginning their careers post-graduation; and

WHEREAS the renovations include new student-centered spaces to create an environment where students can collaborate with each other, faculty, and industry professionals; and

WHEREAS the College of Engineering desires to recognize their partnership with Mr. Edward G. Smariga and Ms. Pam D. Smariga, who have provided significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Mr. Edward G. Smariga and Ms. Pam D. Smariga's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that Room 427 of Bolz Hall be named the Smariga Family Cafe for the life of the current facility.



**NAMING OF THE ROBERT E. SCHAFRIK RESEARCH LABORATORY**

**IN THE BIOMEDICAL AND MATERIALS ENGINEERING COMPLEX  
COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of the Lower Level Research Laboratory (Room 0162) in Mars G. Fontana Laboratories, located at 116 W. 19th Avenue, is proposed.

WHEREAS the renovation of the current buildings named Mars G. Fontana Laboratories and 140 W. 19th Avenue, and the new construction to be attached to the current buildings, will create a new facility for research labs, offices and classrooms to further collaboration among the Departments of Biomedical Engineering and Materials Science and Engineering; and

WHEREAS the new Mars G. Fontana Laboratories will transform the spaces where students, faculty and partners learn and innovate, with the close proximity to other campus collaborators leading to life-changing material innovations impacting health, transportation, energy and more; and

WHEREAS the College of Engineering desires to recognize the support of Dr. Robert E. Schafrik and his significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Dr. Robert E. Schafrik's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned Lower Level Research Laboratory (Room 0162) be named the Robert E. Schafrik Research Laboratory for the life of the current facility.

**APPROVAL OF NAMING OF UNIVERSITY SPACES AND ENTITIES POLICY**

Synopsis: Approval of a revision to the university's naming policy is proposed.

WHEREAS The Ohio State University names entities and spaces in recognition of philanthropic contributions, to honor service or commitment to the university, and for administrative purposes, and the policy is designed to help foster positive recognition of individuals and organizations while also protecting the university's integrity and reputation; and

WHEREAS physical spaces and academic entities shall be named by the Board of Trustees following recommendations submitted by the President's Cabinet and Senior Vice President for Advancement; and

WHEREAS it is desirable to clarify the policy and procedures that govern the definition, review, approval, and, when appropriate, the revision or removal of named spaces and entities; and

WHEREAS the President's Cabinet, the Senior Management Council, the Senior Vice President for Advancement, and other involved and interested individuals have reviewed and approved the attached naming policy in accordance with the University's standard approach to policy review; and

WHEREAS the Board of Trustees has a history of approving the naming policy (formerly entitled Naming Guidelines), including most recently in November 2013; and

WHEREAS the University's standard policy review and approval process is sufficient for future policy changes and the Board of Trustees may be consulted but will not need to approve future policy changes:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the attached Naming of University Spaces and Entities Policy, proposed to be effective July 1, 2020.



Applies to: Faculty, staff, students, and donors to The Ohio State University and The Ohio State University Foundation

### Responsible Office

University Advancement

### POLICY

Issued: 1992  
Revised: 07/1/2020

The Ohio State University names entities and spaces in recognition of philanthropic contributions, to honor service or commitment to the university, and for administrative purposes. This policy governs the **naming** of a **space** on university-owned or university-leased property, as well as the naming of a university **entity**. The policy is designed to help foster positive recognition of individuals and organizations while also protecting the university’s integrity and reputation.

### Purpose of the Policy

To provide guidance on the creation and utilization of finite naming opportunities.

### Definitions

Term	Definition
Current use funds	Funds that are intended to be spent in the current operating cycle.
Endowment funds	Funds that are invested and have been permanently restricted by the donor to be used in perpetuity, with annual distributions to be used as prescribed by the donor.
Entity	A college, school, department, division, institute, center, or program which has defined leadership and resources supporting it.
Naming	Formal assignment of a specific name to a university space or entity.
Administrative naming	A formal assignment of a specific name related to the function or location of a space or entity (e.g., Eighteenth Avenue Library) that is unrelated to a philanthropic gift or honorific recognition.
Honorific naming	A formal assignment of a specific name to recognize a distinguished individual or organization for outstanding service or commitment to the university that may or may not involve a philanthropic gift (e.g., Jesse Owens Memorial Stadium).
Philanthropic naming	A formal assignment of a specific name to express appreciation for a philanthropic gift from an individual or an organization (e.g., The Max M. Fisher College of Business).
Space	A defined physical area or structure such as a building, interior room, outdoor area, features (e.g., fountains), or objects (e.g., lockers). The name given to a construction project to renovate or create a new space is separate from the naming of the space itself and outside the scope of this policy.
Unit	College or administrative unit.
Unit leader	Head of college or administrative unit (e.g., dean, senior vice president, president, provost).

### Policy Details

- I. The university will commit to name an entity or space only after carefully considering all relevant factors, including the potential impact the naming will have on the university and the campus community and consistency with university tradition, mission, and policy.
- II. Review and Approval Authority
  - A. The President’s Cabinet must review and approve all naming requests prior to detailed gift discussion with prospective donors or honorees.
  - B. The Gift Acceptance Committee also must review **philanthropic namings** of colleges or schools, namings of spaces in recognition of corporations involving external signage or major internal spaces, and other complex



Applies to: Faculty, staff, students, and donors to The Ohio State University and The Ohio State University Foundation

namings at the discretion of the senior vice president for Advancement prior to detailed gift discussion with prospective donors. Pursuant to the [Gift Acceptance policy](#), the senior vice president for Advancement convenes the Gift Acceptance Committee.

- C. The university Board of Trustees has final review and approval authority for all namings, consistent with section 3335-1-08(D) of the Ohio Administrative Code.

### III. Gifts and Recognition

- A. Gifts to name spaces should be outright cash gifts or pledges when such gifts are part of a funding plan associated with renovation or construction of a space. (See the [Gift Acceptance policy](#) for definitions of cash gifts and pledges.) Spaces may be named in recognition of other types of gifts at the discretion of the senior vice president for Advancement. Spaces named in recognition of a donor may be limited to a set length of time or term documented in the gift instrument.
- B. Entities will generally be named only in recognition of donors who provide **endowment funds** to establish or enhance and maintain the entity throughout its existence. In some instances, the university may consider offering naming recognition for a specified term to donors who provide **current use funds** in support of an entity.
- C. Those being recognized with a naming must have a positive image and demonstrated integrity.
- D. All signage reflecting the naming of a space or entity must conform to [University Signage Standards](#) and may not include a logo or other components of the donor's branding.
- E. In naming an entity or space, it is understood that such recognition does not constitute "ownership" or decision-making authority over the entity or space. Decisions made regarding the utilization and design of the facility or programmatic direction of the entity will be made solely by the university.

### IV. Revision or Removal

- A. The university is committed to retaining the name of a space or entity for its useful life or as otherwise provided for in a gift agreement and/or Board of Trustees resolution.
- B. In the event the space or entity is relocated, reorganized, or repurposed, the university will make reasonable attempts to notify the donor of the change and consider implications for recognition. The university may also dispose of any named space, via sale, demolition, renovation, or otherwise, at any time and at its sole discretion in accordance with the [Board of Trustees Review and Approval of Facilities Improvement Projects and Real Estate Transactions policy](#).
- C. Should the space or entity cease to exist, the university will not be obligated to continue the recognition, nor will it be obligated to provide substitute recognition.
- D. If a donor's name changes during the period of recognition, the university may change the name of the space or entity at the donor's request and expense upon approval by the Board of Trustees.
- E. In the event the donor fails to fulfill the terms of their gift or the gift is not received by the university as outlined in the gift documentation (including, but not limited to, in accordance with the payment schedule), the university may remove the donor's name from the named space or entity.
- F. Although generally discouraged, existing spaces and entities with **honorific naming** recognition may be renamed with the approval of President's Cabinet and the Board of Trustees. Considerations include the history of the current name and the rationale of the renaming.
- G. If at any time the university determines that the continued naming of a space or entity may compromise the university's integrity or reputation, the university may amend or remove the name, upon approval by the President and Board of Trustees and notification of the donor, if possible.



Applies to: Faculty, staff, students, and donors to The Ohio State University and The Ohio State University Foundation

### PROCEDURE

Issued: 1992

Revised: 07/1/2020

- I. **Unit leaders** and unit Advancement staff are responsible for the monitoring and administration of potential and existing naming opportunities within their areas in collaboration with the Office of University Advancement (Advancement).
- II. Proposed naming opportunities must be initiated with Advancement and signed by the unit leader before routing to the senior vice president for Advancement and President's Cabinet for review and approval.
- III. Philanthropic Namings
  - A. The following factors will be considered when defining minimum gift amounts associated with naming opportunities.
    1. For spaces:
      - a. Construction or renovation costs
      - b. Location, visibility, and prominence
      - c. Frequency of use by campus and non-campus constituents
      - d. Appropriate reflection of age of space
      - e. Fundraising goals and potential donors
      - f. Type of gift
    2. For entities:
      - a. Operating budget
      - b. National ranking
      - c. Similar namings at peer institutions
      - d. The potentially transformative nature of the philanthropic contribution
      - e. Type of gift, endowment or current use
  - B. Funding levels specific to namings associated with new or renovated spaces generally will not be determined until a project has completed the design phase.
  - C. Following President's Cabinet approval and, if necessary, Gift Acceptance Committee approval, naming opportunities may be discussed with donors.
  - D. Prior to gift acceptance, a unit Advancement staff member must confirm that the gift commitment meets the minimum funding level for a specified naming.
  - E. Upon execution of the gift documentation for the naming of a space or entity, an Advancement staff member from the requesting **unit** must prepare a naming resolution to submit for approval by the Board of Trustees at an upcoming meeting. Naming resolutions related to interior spaces for new construction will be submitted for approval by the Board of Trustees just prior to, or upon, a facility's official occupancy.
  - F. Following the Board of Trustees approval, the senior vice president for Advancement will communicate final approvals to the originator of the naming request.
  - G. The unit Advancement staff member installs recognition signage per [University Signage Standards](#).
- IV. Honoric Namings
  - A. Anyone wishing to request an honorific naming must consult with their unit Advancement staff member who will submit a naming request to the senior vice president for Advancement. The naming request must include a rationale for the request, an overview of the honoree's accomplishments in service or commitment to the university, and be signed by the unit leader.
  - B. In addition to overall record of service to the university, the relationship between the proposed space or entity to be named and the proposed honoree will be considered.
  - C. Individuals must be disassociated from employment or service to the university for at least three years prior to approval of an honorific naming.



Applies to: Faculty, staff, students, and donors to The Ohio State University and The Ohio State University Foundation

- D. Upon approval by the President’s Cabinet, an Advancement staff member from the requesting unit must prepare a naming resolution and work with Advancement to submit for Board of Trustees approval.
- E. Following the Board of Trustees approval, the senior vice president for Advancement will communicate final approvals to the originator of the naming request.

### V. Administrative Namings

- A. In coordination with the Office of Administration and Planning, the senior vice president for Advancement will submit **administrative naming** requests to President’s Cabinet.
- B. Once approved by the President’s Cabinet, Advancement will submit naming resolutions to the Board of Trustees for approval at its next meeting.
- C. Following the Board of Trustees approval, the senior vice president for Advancement will communicate final approvals to the originator of the request.

### Responsibilities

Position or Office	Responsibilities
Board of Trustees	<ol style="list-style-type: none"> <li>1. Review and approve all namings.</li> <li>2. Approve renaming of spaces and entities with honorific naming recognition as outlined in the policy.</li> <li>3. Approve amendment or removal of names as outlined in the policy.</li> </ol>
Office of Administration and Planning	Coordinate with senior vice president for Advancement on submitting administrative naming requests to President’s Cabinet.
Office of University Advancement	<ol style="list-style-type: none"> <li>1. Monitor and administer potential and existing naming opportunities within units in collaboration with unit leaders and unit Advancement staff.</li> <li>2. In collaboration with requesting units, coordinate submission of naming requests for review by President’s Cabinet, Gift Acceptance Committee if necessary, and Board of Trustees.</li> </ol>
President’s Cabinet	<ol style="list-style-type: none"> <li>1. Review and approve all naming opportunities and requests as outlined in the policy.</li> <li>2. Approve renaming of spaces and entities with honorific naming recognition as outlined in the policy.</li> <li>3. Approve amendment or removal of names as outlined in the policy.</li> </ol>
Senior Vice President for Advancement	<ol style="list-style-type: none"> <li>1. Review and approve all naming opportunities and requests as outlined in the policy.</li> <li>2. Direct submission of naming requests for review by President’s Cabinet, Gift Acceptance Committee if necessary, and Board of Trustees.</li> <li>3. Convene Gift Acceptance Committee to review namings, as necessary.</li> <li>4. Communicate final approvals to request originators.</li> </ol>
Unit Advancement staff	<ol style="list-style-type: none"> <li>1. Monitor and administer potential and existing naming opportunities within unit in collaboration with Advancement.</li> <li>2. Submit naming requests to senior vice president for Advancement as outlined in the policy.</li> <li>3. Prior to gift acceptance, confirm that gift commitment meets minimum funding level.</li> <li>4. Prepare naming resolutions for Board of Trustees approval and work with Advancement to submit for Board of Trustees approval.</li> <li>5. Install recognition signage per University Signage Standards.</li> </ol>
Unit leader	<ol style="list-style-type: none"> <li>1. Monitor and administer potential and existing naming opportunities within unit.</li> <li>2. Seek approval prior to detailed external discussions of new naming opportunities.</li> <li>3. Sign all proposed naming requests prior to submission to senior vice president for Advancement.</li> </ol>





Applies to: Faculty, staff, students, and donors to The Ohio State University and The Ohio State University Foundation

### Resources

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#### Governance Documents

- Gift Acceptance policy, [advancement.osu.edu/sites/default/files/migrated/assets/files/Gift\\_Acceptance\\_Policy.pdf](http://advancement.osu.edu/sites/default/files/migrated/assets/files/Gift_Acceptance_Policy.pdf)
- Campus Signage Standards, [fod.osu.edu/sites/default/files/signage.pdf](http://fod.osu.edu/sites/default/files/signage.pdf)
- Ohio Administrative Code section 3335-1-08(D), [codes.ohio.gov/oac/3335-1-08v1](http://codes.ohio.gov/oac/3335-1-08v1)

#### Additional Guidance

Frequently Asked Questions, *[under development; link will be added]*

### Contacts

Subject	Office	Telephone	E-mail/URL
Policy questions	Advancement, Donor Experience	800-678-6412	donorexperience@osu.edu

### History

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- Issued: 1992
- Revised: 11/1995
- Revised: 06/1999
- Revised: 05/03/2010
- Revised: 04/06/2012
- Edited: 08/2013
- Reviewed: 10/07/2013
- Edited: 05/01/2013 (template updated)
- Revised: 07/01/2020 (approved by BOT, 06/XX/2020, Resolution #2020-XX; renamed Naming of University Spaces and Entities)

**WEDNESDAY, JUNE 3, 2020**  
**AUDIT, COMPLIANCE & FINANCE COMMITTEE MEETING**

John W. Zeiger  
Timothy P. Smucker  
Elizabeth P. Kessler  
Brent Porteus  
Erin P. Hoeflinger  
Alexander R. Fischer  
Hiroyuki Fujita  
Lewis Von Thaeer  
Jeff M.S. Kaplan  
Janice M. Bonsu  
James D. Klingbeil  
Amy Chronis  
Gary R. Heminger (*ex officio*)

Location: Public session available via WOSU livestream

Time: 12:45-1:30pm (Executive)  
4:55-5:20pm (Public)

**Executive Session**

12:45-1:30pm

**Public Session**

*ITEMS FOR DISCUSSION*

1. *FY21 Tuition and Mandatory Fees – Mike Papadakis, Kristine Devine* 4:55-5:00pm
2. *FY21 Interim Operating Budget – Mike Papadakis, Kristine Devine, Mark Larmore* 5:00-5:05pm
3. *External Audit Update – Christa Dewire* 5:05-5:15pm

*ITEMS FOR ACTION*

5:15-5:20pm

4. Approval of FY21 Tuition and Mandatory Fees
5. Approval of FY21 User Fees and Charges
6. Approval of FY21 Interim Operating Budget
7. Authorization for Designated Officials to Buy, Sell, Assign and Transfer Securities
8. Extension of Prior Authorization for the Issuance of General Receipts Obligations and Authorization of Multiyear Debt Issuance Program II of the University
9. Reappointments to the Self-Insurance Board
10. Written Reports (Background Only)
  - a. University Financial Scorecards
  - b. FY20 Interim Financial Report
  - c. Compliance & Integrity Report
  - d. Efficiency Scorecard
  - e. Major Project Updates
  - f. Audit, Compliance & Finance Scorecard
  - g. CY19 Annual Waiver Report



**THE OHIO STATE UNIVERSITY**

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# Tuition, Fees and Other Rates

Michael Papadakis, SVP and CFO

Board of Trustees: Audit, Compliance & Finance Committee | June 3, 2020



## Background: Affordability at Ohio State

### Controlling Tuition

- Since FY17, incoming in-state students benefit from the Ohio State Tuition Guarantee
  - Provides predictability for each entering cohort by locking rates for four years
- University has frozen base tuition and fees for existing resident students since 2012-13
  - No. 1 flagship for lowest in-state increases over past decade; No. 4 out-of-state
  - A fifth straight class of resident students will graduate this spring with no increases

### Expanding Aid

- More than \$200 million in additional need-based aid supports 33,000 students since FY15
  - Buckeye Opportunity Program covers tuition and fees for Ohio Pell students
  - 15,000 President's Affordability Grants for low- and middle-income Ohioans
  - Land Grant Opportunity Scholarships doubled, starting in Autumn 2018



# Summary of Proposed Tuition and Fees for FY 2021

## Undergraduate

Proposed freezes	Rate
Resident (base) tuition & fees – continuing undergraduate students	0% - No Change
Program, course and technology fees*	
International surcharge	

Proposed increases	Rate
Resident (base) tuition & fees – incoming first year undergraduates (to be frozen for four years under Tuition Guarantee)	4.1% tuition & general (Cap - 2.0% + CPI - 2.1%); 0% other fees
Non-resident surcharge	4.8% or \$1,007
Non-resident surcharge (Online)	\$3,580
Non-resident surcharge (Online degree / certificate programs)	\$390
Housing	2.5%
Dining	2.5%
Student health insurance	1.5% (pass through/private vendor)

## Graduate / Professional

Fees	Proposal
Tuition/fees for resident graduate students	0% - No Change
Professional school differential fees	7 programs seek increases; 3 new programs also seek a fee
Dentistry: Clinic fees	Fund pre-clinical and clinical costs
Arts & Sciences: Tuition deposit fee	Charged with applications; is applied toward tuition if students attend
Veterinary Medicine & Optometry	Reduce non-resident surcharge for ranks 2-4 (non-first year students) to \$5
Non-resident surcharge (Online degree / certificate programs)	\$390 increase



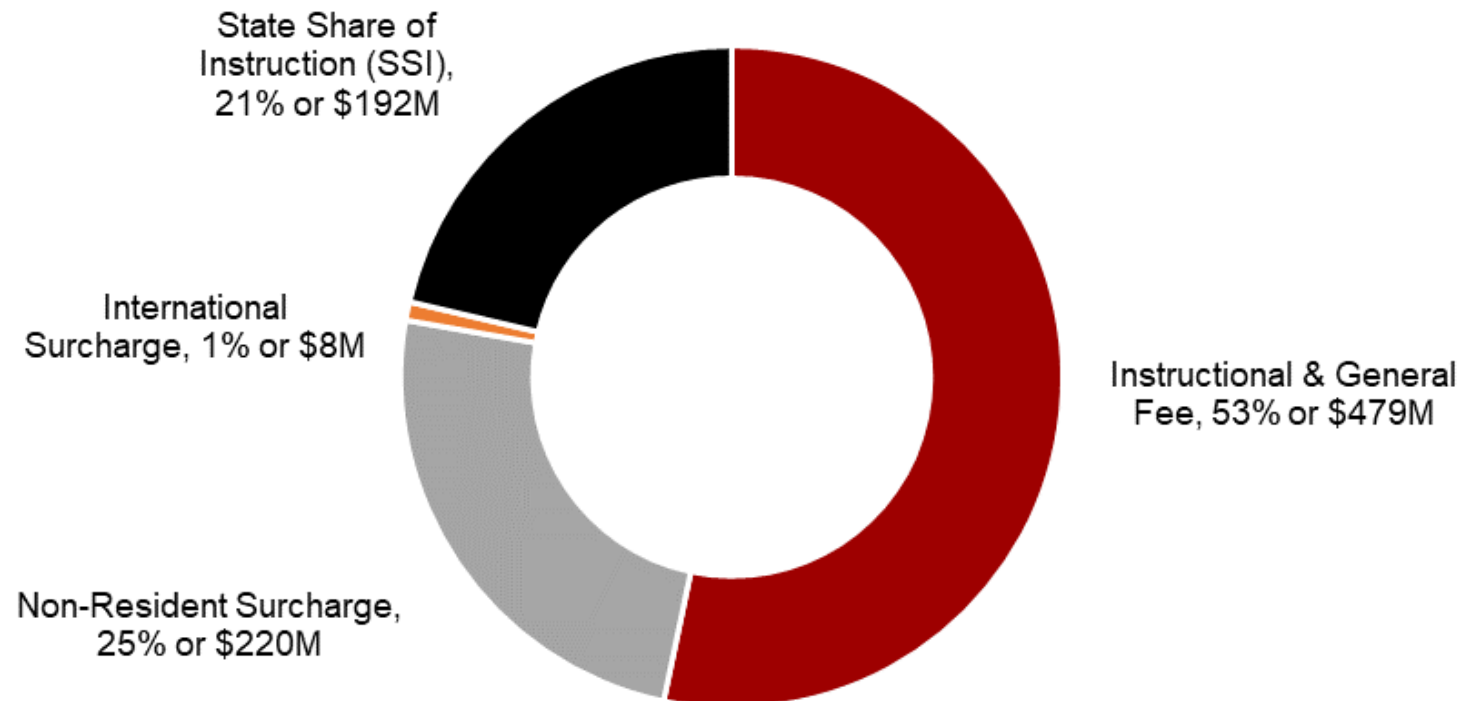
# Undergraduate Tuition





## Undergraduate Support

79% of undergraduate teaching revenue is from tuition / fees; the state provides the other 21%





## Summary: Undergraduate Tuition & Fees for FY 2021

	Freshmen	Continuing Students	Notes
Base tuition	4.1% frozen for 4 yrs (Tuition Guarantee)	0%	Cap - 2.0% + CPI – 2.1%
Non-resident surcharge	4.8%		Consistent with previous years
International surcharge	0%		Last increased in 2017
Program, course and technology fees	0%		70% of course fees eliminated in Spring 2019

### COST COMPARISONS\* – TOTAL TUITION AND MANDATORY FEES FOR FRESHMEN

	FY 2021	Change	Comparison to FY 2020 peers (1=most affordable)
Ohio residents	\$11,518	\$434	7 <sup>th</sup> in Big Ten (same as FY 2020); 2 <sup>nd</sup> among Ohio's 6 selective universities
U.S. non-resident	\$33,502	\$1,441	6 <sup>th</sup> in Big Ten (same as FY 2020)
International	\$36,430	\$1,441	8 <sup>th</sup> in Big Ten (7 <sup>th</sup> FY 2020)

\*Rates shown are for Columbus campus



## Summary: Undergraduate Online Tuition & Fees for FY 2021

	Freshmen	Continuing Students	Notes
Base tuition	4.1% frozen for 4 yrs (Tuition Guarantee)	0%	Cap - 2.0% + CPI – 2.1%
Non-resident surcharge	\$3,580 Online or \$390 Degree / Certificate		Increase to market

### COST COMPARISONS\* – TOTAL TUITION AND MANDATORY FEES FOR FRESHMEN

	Program	FY 2021	Change	Comparison to FY 2020 peers (1=most affordable)
Ohio residents	All	\$ 11,216	\$ 434	5th in Big Ten
U.S. Non-resident	Online *	\$ 14,806	\$ 4,014	3rd in Big Ten
	Online degree / certificate programs	\$ 11,616	\$ 824	

\* Rate when student takes all online course(s) over an entire semester and not pursuing an online degree / certificate program

Online students pay a distance learning fee in lieu of the student activity, union, recreation and COTA fees



# Housing and Dining



## Background: Housing and Dining (Columbus)

- Housing and Dining rates were frozen in FY 2016 and FY 2017
- Rates were reset in FY 2018 as part of new Tuition Guarantee structure
  - 6% housing increase and 3% dining increase
- Rates increased 1.5% in FY 2019 and 2.2% in FY 2020 for new incoming students as part of Tuition Guarantee

### HOUSING

- Rates support operations, repair and maintenance costs, and debt payments
- Efficiencies keep increases well below North Residential District financial model
- Off-campus benchmarking shows 3-5% increases for market

### DINING

- Based on student input, university has simplified and enhanced meal plan options
  - Declining Balance plan introduced in FY 2017 for second year and above
  - Increased dining dollars discount to 35% at campus locations
  - Meal exchanges in retail restaurants increased \$3 to current value of \$8



# Proposed for Housing and Dining

2.5% increase to cover operating, repair and maintenance, and debt costs

Housing Plans	FY 2020
Rate I	\$8,658
Rate II	\$7,214
Rate IIA	\$6,982
Rate III	\$6,742

FY 2021	\$ Change	% Change
\$8,874	\$216	2.5%
\$7,394	\$180	2.5%
\$7,156	\$174	2.5%
\$6,910	\$168	2.5%

Dining Plans	FY 2020
Scarlet Access 14	\$4,824
Declining Balance	\$4,304
Gray Access 10	\$4,050
Unlimited Access	\$3,952

FY 2021	\$ Change	% Change
\$4,944	\$120	2.5%
\$4,412	\$108	2.5%
\$4,152	\$102	2.5%
\$4,050	\$98	2.5%

## COST COMPARISONS – MOST COMMON HOUSING AND DINING

	FY 2021	Change	Comparison to FY 2020 peers (1=most affordable)
Rate I & Gray 10	\$13,026	\$318	5 <sup>th</sup> among Ohio publics (4 <sup>th</sup> in FY 2020)



# Undergraduate Summary





# Summary: Undergraduate rates (Columbus)

OHIO STATE TUITION GUARANTEE - COLUMBUS								
TOTAL OF BASE (RESIDENT) TUITION, MANDATORY FEES, HOUSING & DINING								
DESCRIPTION	FY 2015	FY 2018	FY 2019	FY 2020	FY 2021	1-year change		Frozen through
Cohort 2017-18	NA	\$22,843	\$22,843	\$22,843	\$22,843	\$0	0.0%	FY21
Cohort 2018-19	NA		\$23,160	\$23,160	\$23,160	\$0	0.0%	FY22
Cohort 2019-20	NA			\$23,792	\$23,792	\$0	0.0%	FY23
Cohort 2020-21	NA				\$24,544	\$752	3.2%	FY24

UNDERGRADUATE TUITION & FEES - COLUMBUS								
DESCRIPTION	FY 2015	FY 2018	FY 2019	FY 2020	FY 2021	1-year change		5 YEAR CAGR
BASE (RESIDENT) TUITION AND MANDATORY FEES								
Continuing (pre-Guarantee)	\$10,037	\$10,037	\$10,037	\$10,037	\$10,037	\$0	0.0%	NA
Cohort 2017-18		\$10,591	\$10,591	\$10,591	\$10,591	\$0	0.0%	1.1%
Cohort 2018-19			\$10,726	\$10,726	\$10,726	\$0	0.0%	1.3%
Cohort 2019-20				\$11,084	\$11,084	\$0	0.0%	2.0%
Cohort 2020-21					\$11,518	\$434	3.9%	2.8%
U.S. NON-RESIDENT TUITION AND FEES								
Continuing (pre-Guarantee)	\$26,537	\$29,141	\$30,053	\$31,014	\$32,021	\$1,007	3.2%	NA
Cohort 2017-18		\$29,695	\$30,607	\$31,568	\$32,575	\$1,007	3.2%	3.1%
Cohort 2018-19			\$30,742	\$31,703	\$32,710	\$1,007	3.2%	3.6%
Cohort 2019-20				\$32,061	\$33,068	\$1,007	3.1%	3.9%
Cohort 2020-21					\$33,502	\$1,441	4.5%	4.1%
INTERNATIONAL TUITION AND FEES								
Continuing (pre-Guarantee)	\$30,161	\$31,073	\$31,985	\$32,946	\$33,953	\$1,007	3.1%	NA
Cohort 2017-18		\$32,623	\$33,535	\$34,496	\$35,503	\$1,007	2.9%	4.2%
Cohort 2018-19			\$33,670	\$34,631	\$35,638	\$1,007	2.9%	4.7%
Cohort 2019-20				\$34,989	\$35,996	\$1,007	2.9%	4.9%
Cohort 2020-21					\$36,430	\$1,441	4.1%	4.5%
HOUSING AND DINING (rates for previous Tuition Guarantee cohorts continue to be frozen)								
Housing (Rate I plan)	\$6,560	\$8,348	\$8,472	\$8,658	\$8,874	\$216	2.5%	6.2%
Dining (Gray 10 plan)	\$3,700	\$3,904	\$3,962	\$4,050	\$4,152	\$102	2.5%	2.3%
Total - housing and dining	\$10,260	\$12,252	\$12,434	\$12,708	\$13,026	\$318	2.5%	4.9%



# Health Insurance



## Overview: Student Health Insurance

- All Ohio State students are required to have health insurance
  - Domestic (U.S.) students may choose outside insurance
    - Most domestic students (87%) choose outside insurance
    - International students are required to enroll in Ohio State's plan
- Rates cover cost of third-party vendor; 100% is passed through

### PROPOSAL FOR FY 2021

Plan Level			\$	%
	FY 2020	FY 2021	Change	Change
Student	\$3,316	\$3,366	\$50	1.5%
Student & Spouse	\$6,632	\$6,732	\$100	1.5%
Student & Children	\$9,948	\$10,098	\$150	1.5%
Student & Family	\$13,264	\$13,464	\$200	1.5%



# Graduate and Professional Tuition and Fees



## Graduate / Professional Proposals for FY 2021

- Board has traditionally supported market-based pricing

<b>Topic</b>	<b>Proposal</b>
Professional school differential fees	7 programs seek increases; 3 new programs also seek a fee
Dentistry: Clinic fees	Fund pre-clinical and clinical costs
Arts & Sciences: Tuition deposit fee	Charged with applications; is applied toward tuition if students attend
Veterinary Medicine and Optometry	Reduce non-resident surcharge for ranks 2-4 (non-first year students) to \$5
Non-resident surcharge (Online degree / certificate programs)	\$390 increase



# FY 2021 Proposed Differential Fees

NOTE: DIFFERENTIAL FEES ARE SHOWN AT THE PER-SEMESTER RATE; SCHEDULE VARIES BY PROGRAM

College	Fee Name	Current	Proposed	Proposed Increase	Proposed Increase %	Non-Resident: Current	Non-Resident: Proposed	Non-Resident: Proposed Increase
Graduate School	Masters of Translational Data Analytics (PSM-TDA)	N/A New program	\$9,530	N/A New program	N/A New program	N/A New program	\$12,358	N/A New program
Engineering	Master of Engineering Management (MEM)	N/A New program	\$35,160 (One-time fee-entire degree)	N/A New program	N/A New program	N/A New program	\$5	N/A New program
Medicine	Master of Dietetics and Nutrition (MDN)	N/A New program	\$6,196	N/A New program	N/A New program	N/A New program	\$12,358	N/A New program
Business	Master of Human Resource Management	\$8,100	\$8,505	\$405	5.0%	\$10,841	\$11,361	4.8%
Dentistry	Dentistry - Rank 1	See Dentistry section, which includes differential and clinic fee proposals						
	Dentistry - Ranks 2-4							
Law	Doctor of Jurisprudence (J.D.)	\$15,292	\$15,598	\$306	2.0%	\$7,476	\$7,626	2.0%
	Master in Study of Law (MSL)	\$8,092	\$8,092	\$0	0.0%	\$7,476	\$7,626	2.0%
Optometry	Optometry - Rank 1	\$13,468	\$13,468	\$0	0.0%	\$10,528	\$10,528	0.0%
	Optometry - Rank 2	\$13,468	\$13,468	\$0	0.0%	\$10,528	\$5	-100.0%
	Optometry - Ranks 3-4	\$11,956	\$11,956	\$0	0.0%	\$8,912	\$5	-99.9%
Pharmacy	Pharmacy Ranks 1-4	\$11,696	\$12,164	\$468	4.0%	\$12,417	\$13,013	4.8%
Veterinary Medicine	Veterinary Medicine - Rank 1	\$15,768	\$16,084	\$316	2.0%	\$19,668	\$19,668	0.0%
	Veterinary Medicine - Ranks 2-4	\$15,768	\$16,084	\$316	2.0%	\$19,668	\$5	-100.0%



## Dentistry: Differential and clinic education support fees

- Funds modern instruments, technology and services (such as sterilization)
  - Resources are essential to attract top students and faculty
- Continued compliance with Commission on Dental Accreditation standards

NOTE: FEES ARE SHOWN AT THE PER-SEMESTER RATE; RANK 1 IS 2 SEMESTERS; OTHER RANKS ARE 3

College	Fee Name	Current	Proposed	Proposed Increase	Proposed Increase %	Non-Resident: Current	Non-Resident: Proposed	Non-Resident: Proposed Increase
<b>Differentials:</b>		<b>Differentials</b>						
Dentistry	Dentistry - Rank 1	\$18,170	\$19,260	\$1,090	6.0%	\$21,210	\$21,846	3.0%
	Dentistry - Ranks 2-4	\$15,960	\$16,520	\$560	3.5%	\$18,808	\$19,372	3.0%
<b>Clinic Fees</b>		<b>Clinic Fees</b>						
Dentistry	Dentistry - Rank 1	\$1,993	\$2,202	\$209	10.5%	NA	NA	NA
	Dentistry - Ranks 2-4	\$1,636	\$1,751	\$115	7.0%	NA	NA	NA
<b>Overall:</b>		<b>Overall</b>						
Dentistry	Dentistry - Rank 1	\$20,163	\$21,462	\$1,299	6.4%	\$21,210	\$21,846	3.0%
	Dentistry - Ranks 2-4	\$17,596	\$18,271	\$675	3.8%	\$18,808	\$19,372	3.0%

### COST COMPARISONS – BIG TEN

	FY 2021	Change	Comparison to FY20 peers (1=most affordable)
Rank 1	\$21,462	\$1,299	4 <sup>th</sup> of 8 Big Ten programs
Rank 2-4	\$18,271	\$675	7 <sup>th</sup> of 8 Big Ten programs





# Arts & Sciences: Tuition deposit for Master of Actuarial and Quantitative Risk Management

## TUITION DEPOSIT

- Deposit holds a space in incoming class; fee is applied toward students' tuition
- Proposal would increase incentive to ensure students are serious applicants

College	Fee Name	Current	Proposed	<i>Proposed Increase</i>	<i>Proposed Increase %</i>	Non-Resident: Current	Non-Resident: Proposed	<i>Non-Resident: Proposed Increase</i>
	<b>Deposit</b>							
Arts & Sciences	Master of Actuarial and Quantitative Risk Management (MAQRM)	\$0	\$300	\$300	100.0%	NA	NA	NA



# Veterinary Medicine: Reduce Non-Resident Surcharge

- Historically, most students received in-state status after the completion of year one
- Proposal will
  - Effective Summer 2020
  - Provide the college the opportunity to provide clearer financial outlook to incoming students
  - Make the college more attractive to non-resident transfer students
  - Increase the likelihood the college can compete with other programs around the country for the most academically prepared and inclusively diverse students

FEES ARE SHOWN AT THE PER-SEMESTER RATE

College	Non-Resident Surcharge	Current	Proposed	Proposed Incr / (Decr)
Veterinary Medicine	Vet Med - Rank 1	\$19,668	\$19,668	\$0
	Vet Med - Rank 2-4	\$19,668	\$5	(\$19,663)

### ASPIRATIONAL PEER GROUP COST COMPARISONS

College	Rank	Current Cost	Future Cost
UC-Davis	#1	\$179,404	\$179,404
OSU	#4	\$318,297	\$183,981
Cornell	#2	\$201,414	\$201,414
Colorado State	#3	\$233,465	\$233,465

### BIG 10 COST COMPARISONS

College	Rank	Current Cost	Future Cost
OSU	#4	\$318,297	\$183,981
Purdue	#10	\$189,530	\$189,530
Wisconsin	#8	\$197,358	\$197,358
Illinois	#23	\$209,022	\$209,022
Michigan State	#17	\$233,362	\$233,362
Minnesota	#13	\$255,130	\$255,130



# Optometry: Reduce Non-Resident Surcharge

- Proposal will
  - Take effect Summer 2020
  - Provide more reliable four-year cost projections for all incoming students
  - Increase successful competition with other programs for the most academically prepared candidates
  - Increase student diversity by strengthening our appeal nationally

FEEES ARE SHOWN AT THE PER-SEMESTER RATE

College	Non-Resident Surcharge	Current	Proposal	Proposed Increase/(Decrease)
Optometry	Optometry - Rank 1	\$10,528	\$10,528	0
	Optometry - Rank 2	\$10,528	\$5	(\$10,523)
	Optometry - Rank 3-4	\$8,912	\$5	(\$8,907)



# Optometry: Reduce Non-Resident Surcharge

## Peer Comparisons

- 23 schools and colleges of Optometry in the United States (Optometry is not ranked by *US News & World Report*)
- One of six public, university-based optometry programs with full-fledged funded research and graduate programs
- Proposal ranks us as the 8th lowest four-year total non-resident cost of attendance of the 23 schools and 3rd lowest among our regional peers

RANKING OF TOTAL FOUR YEAR COST OF ATTENDANCE FOR NON-RESIDENT STUDENTS AT ASPIRATIONAL AND/OR REGIONAL PEERS 2017-2018

College	Total Cost
Univeristy of Kentucky at Pikeville (KYCO)	\$220,800
Southern College of Optometry (SCO in Memphis)	\$223,735
<b>The Ohio State University College of Optometry (OSU)</b>	<b>\$266,813</b> (Proposed)
Pennsylvania College of Optometry (PCO in Philadelphia)	\$269,970
Indiana University School of Optometry (IUSCO in Bloomington)	\$277,955
Illinois College of Optometry (ICO in Chicago)	\$284,516
University of Houston College of Optometry (UHCO)	\$310,136



## Summary: Graduate Online Tuition & Fees for FY 2021

	Proposed Increase	Notes
Base tuition	0%	Last increase FY 2014
Non-resident surcharge	\$390	Increase to market

### COST COMPARISONS\* – TOTAL TUITION AND MANDATORY FEES FOR GRADUATE

	Program	FY 2021	Change	Comparison to FY 2020 peers (1=most affordable)
Ohio residents	All	\$ 12,128	\$ -	
U.S. Non-resident	Online *	\$ 15,718	\$ 3,580	
	Online degree / certificate programs	\$ 12,528	\$ 390	

\* Rate when student takes all online course(s) over an entire semester and not pursuing an online degree / certificate program

Online students pay a distance learning fee in lieu of the student activity, union, recreation and COTA fees



# Appendix



## Ohio State Tuition Guarantee

Ohio students entering in fall will be the third class under new structure

- Freezes rates for four years for each incoming class of Ohio resident undergraduates
  - Fees included: Base (resident) tuition, mandatory fees, housing and dining
- Plan applies to all campuses – Columbus, Lima, Mansfield, Marion, Newark and ATI
- Ohio law allows rates to be increased by inflation, plus any state tuition flexibility
  - State budget will not be completed until June

### FY 2021 IMPLEMENTATION

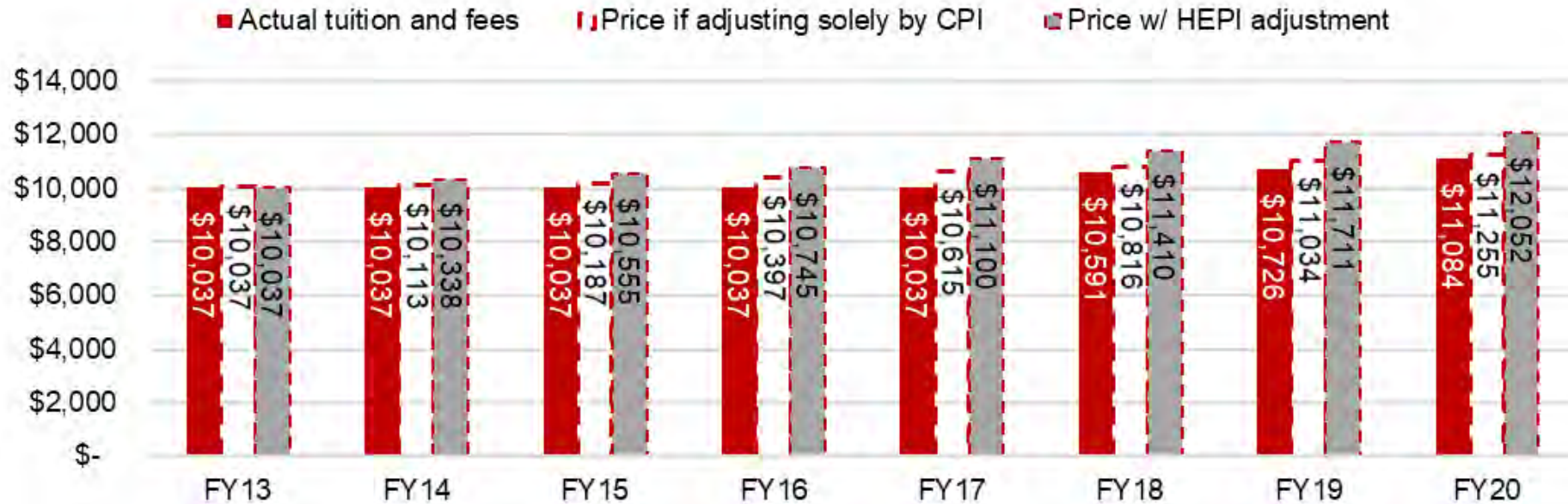
- Rates remain frozen for first three cohorts (new Ohio students in 2017-18, 2018-19 and 2019-20)
- Proposed 4.1% increase to instructional and general fee for cohort entering in 2020-21
- Proposed 2.5% increase for room and board rates for cohort entering in 2020-21





# Tuition increases have been below inflation

### In-state tuition since FY13 compared with inflationary growth



**Actual tuition and fees each year is less than the inflationary price**

CPI-U	\$ -	\$ (76)	\$ (151)	\$ (360)	\$ (578)	\$ (225)	\$ (308)	\$ (171)
HEPI	\$ -	\$ (301)	\$ (518)	\$ (708)	\$ (1,063)	\$ (819)	\$ (985)	\$ (968)

Ohio State converted from quarters to semesters in FY13. The Ohio State Tuition Guarantee was established in FY18.

Annual CPI averaged 1.6% (range: 0.7% to 2.1%) during this period. HEPI averaged 2.3% (range: 1.6% to 3.3%)

\*2019 & 2020 numbers reflect the 3-year average for CPI and HEPI



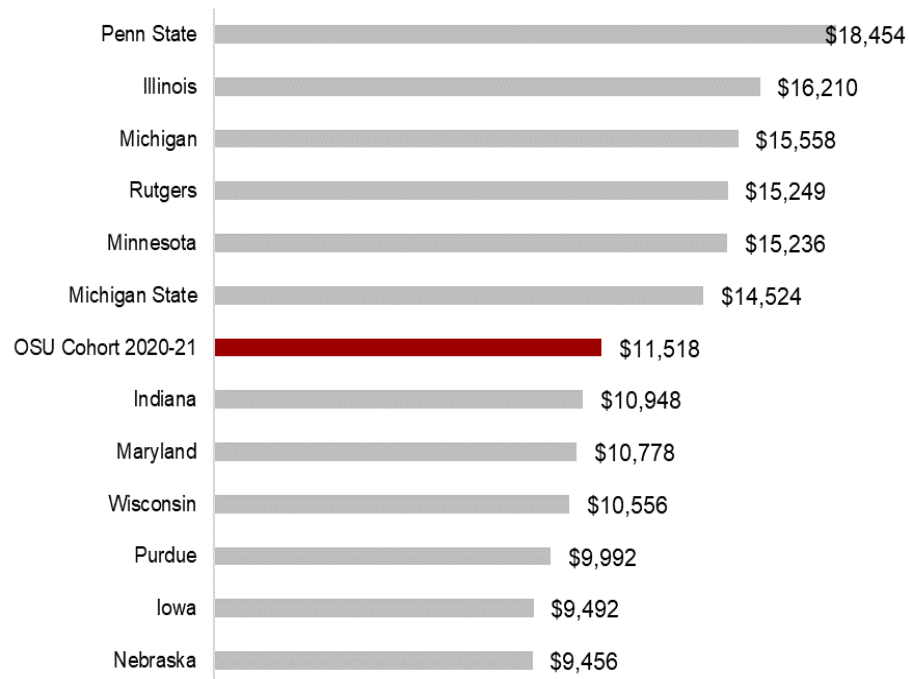
# Comparison: Resident Tuition and Fees

Proposed rates would maintain affordability compared with peers and in state

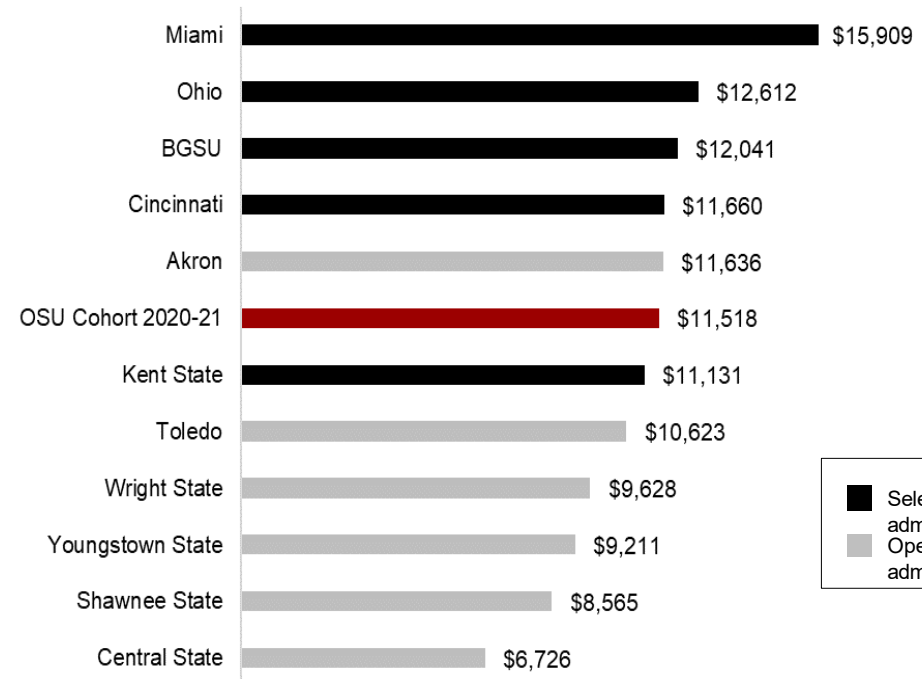
NOTE: ALL COMPARISONS CURRENTLY SHOW OHIO STATE FY 2021 PROPOSAL VS. PEERS' FY 2020 RATES

- Near median: More affordable than six of 13 public Big Ten schools
- Second most affordable among selective Ohio schools

Big Ten - Resident tuition & fees



Ohio - Resident tuition and fees



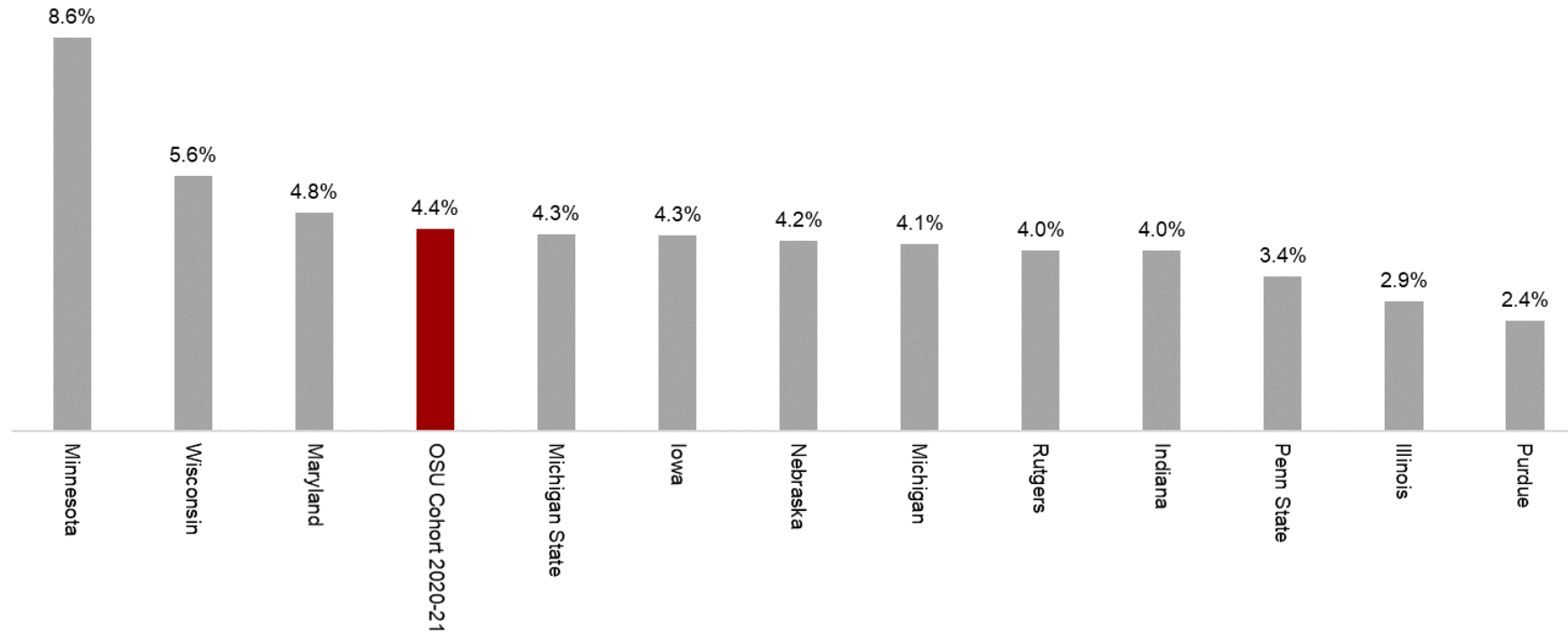
<span style="display:inline-block; width:10px; height:10px; background-color:black;"></span>	Selective admissions
<span style="display:inline-block; width:10px; height:10px; background-color:gray;"></span>	Open admissions



# Background: Non-resident Tuition and Fees

Ohio State is a national leader in controlling non-resident tuition and fees

**Big Ten: CAGR non-resident tuition and fees  
(Compound annual growth rate FY10-FY20)**





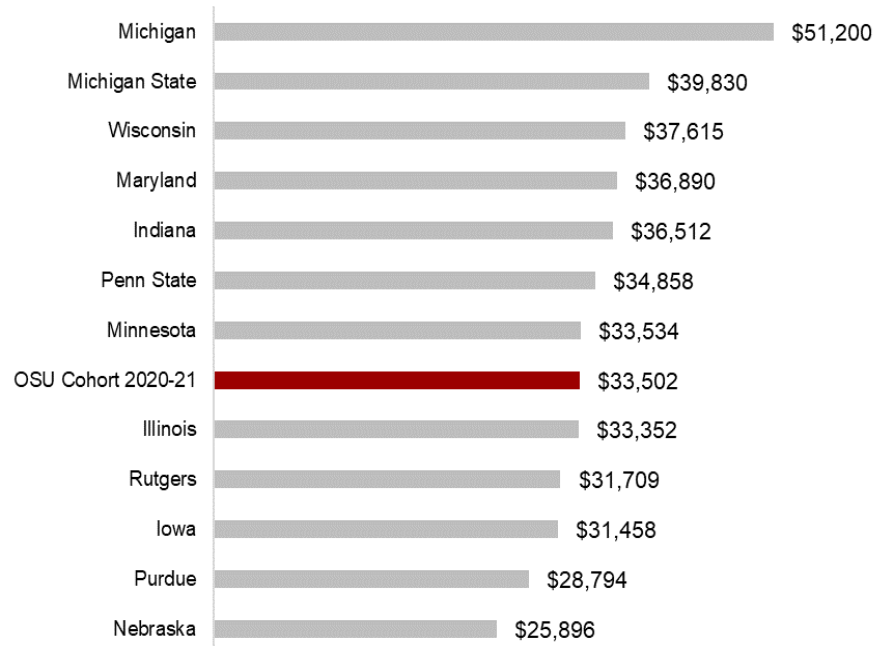
# Comparison: Non-resident Tuition and Fees

Non-resident surcharge would increase 4.8% (\$1,007) for undergraduates

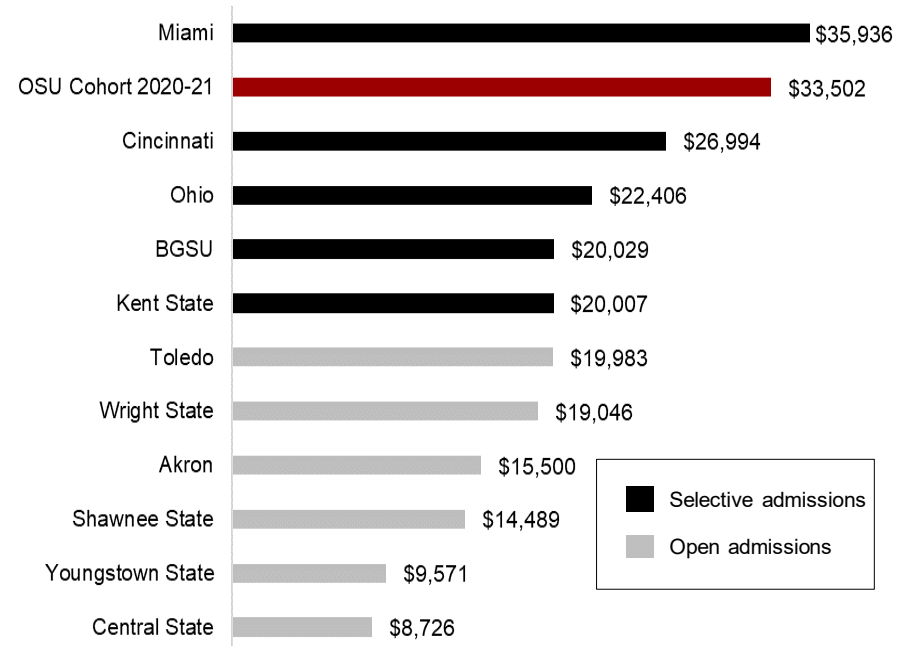
NOTE: ALL COMPARISONS CURRENTLY SHOW OHIO STATE FY 2021 PROPOSAL VS. PEERS' FY 2020 RATES

- After applying new rate, Ohio State would be
  - More affordable than seven of 13 public Big Ten schools
  - More affordable than one other Ohio selective public school

Big Ten - Non-resident tuition & fees



Ohio - Non-resident tuition and fees



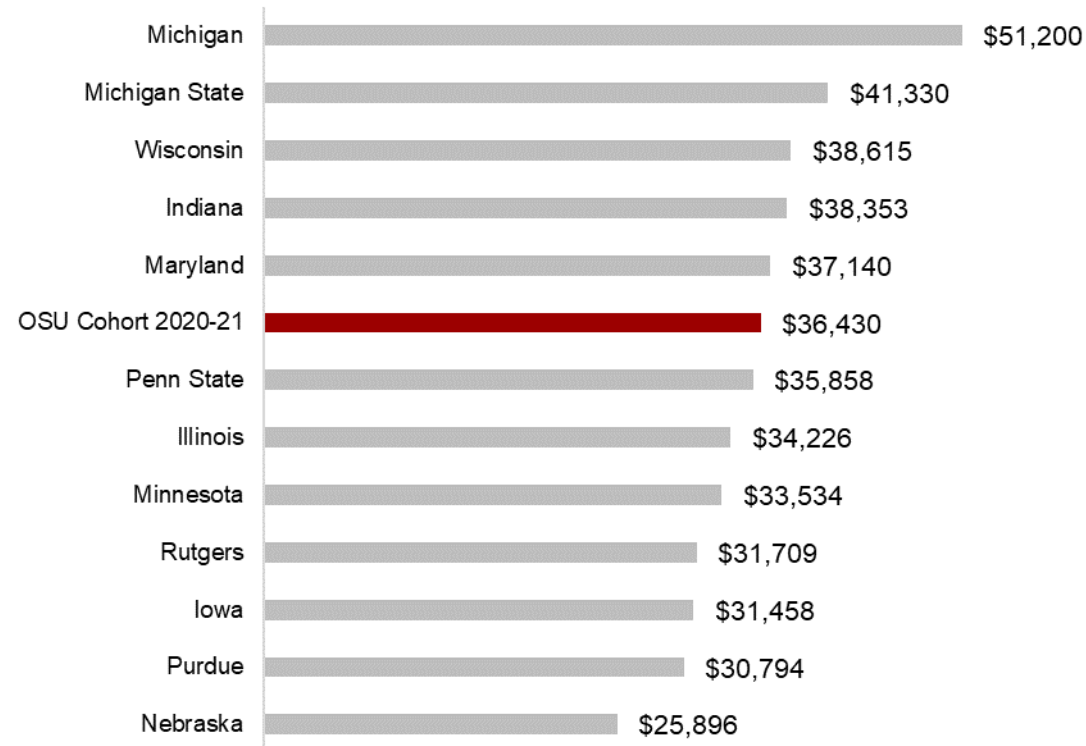


# Comparison: Non-resident Int'l Tuition and Fees

Ohio State is the 6<sup>th</sup> most affordable among the Big Ten public schools

NOTE: ALL COMPARISONS CURRENTLY SHOW OHIO STATE FY 2021 PROPOSAL VS. PEERS' FY 2020 RATES

**Big Ten - Non-resident Int'l tuition & fees**





# Regional campus tuition and fees

Lima, Mansfield, Marion and Newark

Description	Continuing Students (pre-Guarantee)			Tuition Guarantee 2017-18 cohort			Tuition Guarantee 2018-19 cohort			Tuition Guarantee 2019-20 cohort			Tuition Guarantee 2020-21 cohort		
	FY 2021	Change	% Change	FY 2021	Change	% Change	FY 2021	Change	% Change	FY 2021	Change	% Change	FY 2021	Change	% Change
<b>Fees:</b>															
Instructional	\$ 6,912	\$ -	0.0%	\$ 7,325	\$ -	0.0%	\$ 7,416	\$ -	0.0%	\$ 7,676	\$ -	0.0%	\$ 7,991	\$ 315	4.1%
General	228	-	0.0%	228	-	0.0%	228	-	0.0%	236	-	0.0%	246	10	4.2%
<b>Total Resident</b>	<b>\$ 7,140</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 7,553</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 7,644</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 7,912</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 8,237</b>	<b>\$ 325</b>	<b>4.1%</b>
Non-resident surcharge	\$ 21,984	\$ 1,007	4.8%	\$ 21,984	\$ 1,007	4.8%	\$ 21,984	\$ 1,007	4.8%	\$ 21,984	\$ 1,007	4.8%	\$ 21,984	\$ 1,007	4.8%
<b>Total Non-Resident Domestic</b>	<b>\$ 29,124</b>	<b>\$ 1,007</b>	<b>3.6%</b>	<b>\$ 29,537</b>	<b>\$ 1,007</b>	<b>3.5%</b>	<b>\$ 29,628</b>	<b>\$ 1,007</b>	<b>3.5%</b>	<b>\$ 29,896</b>	<b>\$ 1,007</b>	<b>3.5%</b>	<b>\$ 30,221</b>	<b>\$ 1,332</b>	<b>4.6%</b>
International surcharge	\$ 1,932	\$ -	0.0%	\$ 2,928	\$ -	0.0%	\$ 2,928	\$ -	0.0%	\$ 2,928	\$ -	0.0%	\$ 2,928	\$ -	0.0%
<b>Total Non-Resident Int'l</b>	<b>\$ 31,056</b>	<b>\$ 1,007</b>	<b>3.4%</b>	<b>\$ 32,465</b>	<b>\$ 1,007</b>	<b>3.2%</b>	<b>\$ 32,556</b>	<b>\$ 1,007</b>	<b>3.2%</b>	<b>\$ 32,824</b>	<b>\$ 1,007</b>	<b>3.2%</b>	<b>\$ 33,149</b>	<b>\$ 1,332</b>	<b>4.2%</b>

## ATI campus tuition and fees

Description	Continuing Students (pre-Guarantee)			Tuition Guarantee 2017-18 cohort			Tuition Guarantee 2018-19 cohort			Tuition Guarantee 2019-20 cohort			Tuition Guarantee 2020-21 cohort		
	FY 2021	Change	% Change	FY 2021	Change	% Change	FY 2021	Change	% Change	FY 2021	Change	% Change	FY 2021	Change	% Change
<b>Fees:</b>															
Instructional	\$ 6,876	\$ -	0.0%	\$ 7,289	\$ -	0.0%	\$ 7,380	\$ -	0.0%	\$ 7,638	\$ -	0.0%	\$ 7,951	\$ 313	4.1%
General	228	-	0.0%	228	-	0.0%	228	-	0.0%	236	-	0.0%	246	10	4.2%
<b>Total Resident</b>	<b>\$ 7,104</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 7,517</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 7,608</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 7,874</b>	<b>\$ -</b>	<b>0.0%</b>	<b>\$ 8,197</b>	<b>\$ 323</b>	<b>4.1%</b>
Non-resident surcharge	\$ 21,984	\$ 1,007	4.8%	\$ 21,984	\$ 1,007	4.8%	\$ 21,984	\$ 1,007	4.8%	\$ 21,984	\$ 1,007	4.8%	\$ 21,984	\$ 1,007	4.8%
<b>Total Non-Resident Domestic</b>	<b>\$ 29,088</b>	<b>\$ 1,007</b>	<b>3.6%</b>	<b>\$ 29,501</b>	<b>\$ 1,007</b>	<b>3.5%</b>	<b>\$ 29,592</b>	<b>\$ 1,007</b>	<b>3.5%</b>	<b>\$ 29,858</b>	<b>\$ 1,007</b>	<b>3.5%</b>	<b>\$ 30,181</b>	<b>\$ 1,330</b>	<b>4.6%</b>
International surcharge	\$ 1,932	\$ -	0.0%	\$ 2,928	\$ -	0.0%	\$ 2,928	\$ -	0.0%	\$ 2,928	\$ -	0.0%	\$ 2,928	\$ -	0.0%
<b>Total Non-Resident Int'l</b>	<b>\$ 31,020</b>	<b>\$ 1,007</b>	<b>3.4%</b>	<b>\$ 32,429</b>	<b>\$ 1,007</b>	<b>3.2%</b>	<b>\$ 32,520</b>	<b>\$ 1,007</b>	<b>3.2%</b>	<b>\$ 32,786</b>	<b>\$ 1,007</b>	<b>3.2%</b>	<b>\$ 33,109</b>	<b>\$ 1,330</b>	<b>4.2%</b>

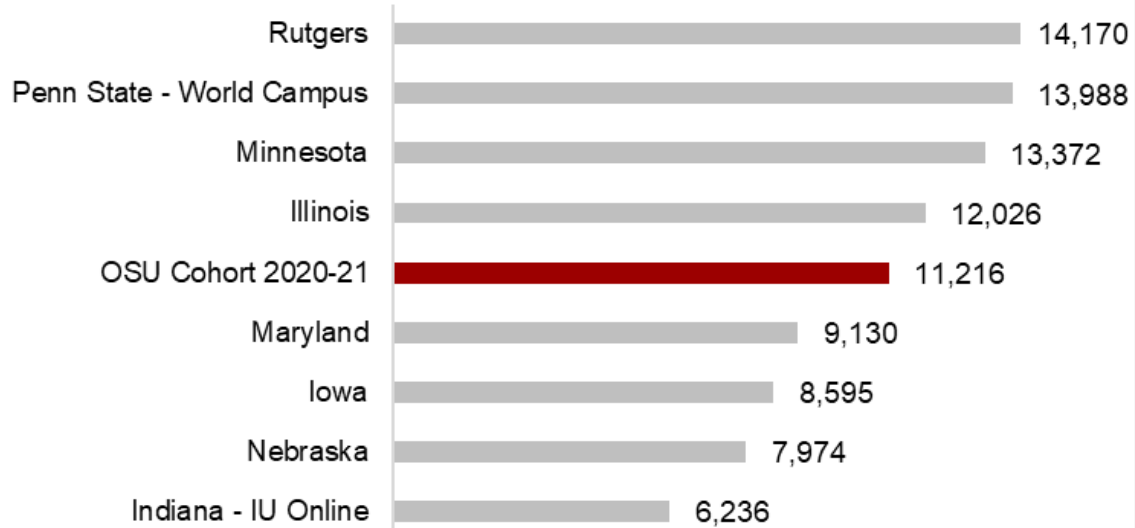


# FY20 Undergraduate Distance Learning Tuition and Fees

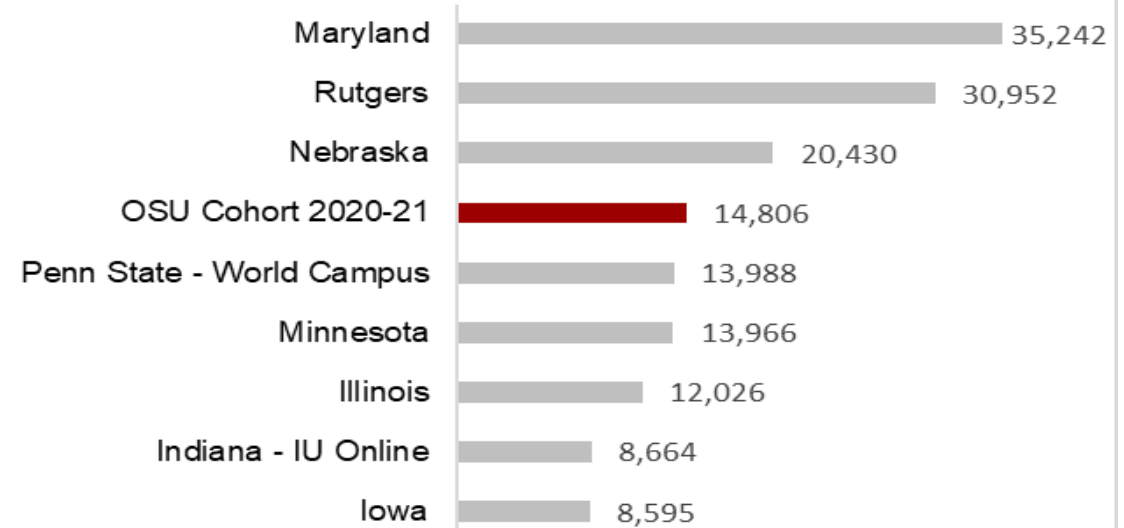
NOTE: ALL COMPARISONS CURRENTLY SHOW OHIO STATE FY 2021 PROPOSAL VS. PEERS' FY 2020 RATES

- Michigan (\$10,729/\$28,896), Michigan State (\$7,262/\$19,990), Purdue (\$4,996/\$14,397) and Wisconsin (\$6,089/\$19,619) do not have a different pricing structure for undergraduate online/distance learning.

### Big Ten Resident Tuition & Fees



### Big Ten Non-Resident Tuition & Fees



\*Illinois (\$35) and Nebraska (\$120) have an additional international surcharge



## Ohio State is rated the #1 online undergraduate program by *US News & World Report*

University	US News Ranking
<b>Ohio State</b>	<b>1</b>
Illinois	3
Penn State	8
Indiana	23
Purdue - Northwest	39
Rutgers – Camden	39
Wisconsin – Milwaukee	48
Wisconsin – Whitewater	48
Nebraska	80
Purdue – Fort Wayne	143
Wisconsin - Stout	183
Michigan – Flint	260-345
Wisconsin - Plattville	260-345
University of Minnesota-Crookston	260-345
Iowa	Not ranked
Maryland	Not ranked
Michigan State	Not ranked



# FY21 Proposed Online Degree Programs / Certificate Fees

Per Academic Year

Undergraduate	Instructional Fees	General	Distance Education Fee	Program Specific Fees		Resident Total	Non-Resident Surcharge	Non-Resident Total
				Program	Other			
Associate of Arts in Early Childhood Development and Education	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Certificate in Essential Skills for Travel and Business in Russia	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Bachelor of Science, Dental Hygiene	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Healthcare Environmental and Hospitality Services	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
AS to Bachelor of Science in Radiological Sciences	10,615.00	401.00	200.00	372.00	-	11,588.00	400.00	11,988.00
Assistive and Rehabilitative Technology Undergraduate Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Bachelor of Science in Health Sciences	10,615.00	401.00	200.00	400.00	-	11,616.00	400.00	12,016.00
Nursing in School Health Services Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Primary Care Academic Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Registered Nurses in Primary Care Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
RN to Bachelor of Science in Nursing	10,615.00	401.00	200.00	380.00	1,144.00	12,740.00	400.00	13,140.00
School Nurse Professional Pupil Services Licensure Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
<b>Graduate</b>								
Diversity, Equity and Inclusion	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Arts in Art Education	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Dental Hygiene	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Core Practices in World Language Education	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Science in Learning Technologies	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
* Master of Engineering Management	16,704.00	368.00	200.00	-	-	17,272.00	400.00	17,672.00
* Master of Global Engineering Leadership	16,704.00	368.00	200.00	-	-	17,272.00	400.00	17,672.00
Master of Science in Welding Engineering	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master in Plant Health Management	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
* Master of Science in Agricultural Communication, Education, and Leadership	14,344.00	368.00	200.00	-	-	14,912.00	400.00	15,312.00
* Specialized Master in Business Analytics	34,820.80	368.00	200.00	-	-	35,388.80	400.00	35,788.80
Assistive and Rehabilitative Technology Graduate Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Biomedical Informatics Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Arts in Bioethics	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Science in Translational Pharmacology	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
* Doctor of Nursing Practice	15,560.00	368.00	380.00	2,061.84	380.00	18,749.84	400.00	19,149.84
Health and Wellness Coaching Graduate Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Healthcare Leadership and Innovation Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Clinical Research	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Healthcare Innovation	11,560.00	368.00	200.00	2,124.32	380.00	14,632.32	400.00	15,032.32
Master of Science, Nursing	11,560.00	368.00	200.00	2,499.20	380.00	15,007.20	400.00	15,407.20
Nurse Coaching Graduate Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Nurse Educator Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
School Nurse Wellness Coordinator Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Science in Pharmaceutical Sciences	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Criminal Justice Administration Certificate	11,560.00	368.00	200.00	208.00	360.00	12,696.00	400.00	13,096.00
Master of Public Administration and Leadership	11,560.00	368.00	200.00	322.00	360.00	12,810.00	400.00	13,210.00
Public Management Certificate	11,560.00	368.00	200.00	208.00	360.00	12,696.00	400.00	13,096.00
Master of Social Work	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Social Work ASAP	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00

\* Differential Fee Paying Categories; Fees listed are for students starting in FY21



# Housing and Dining

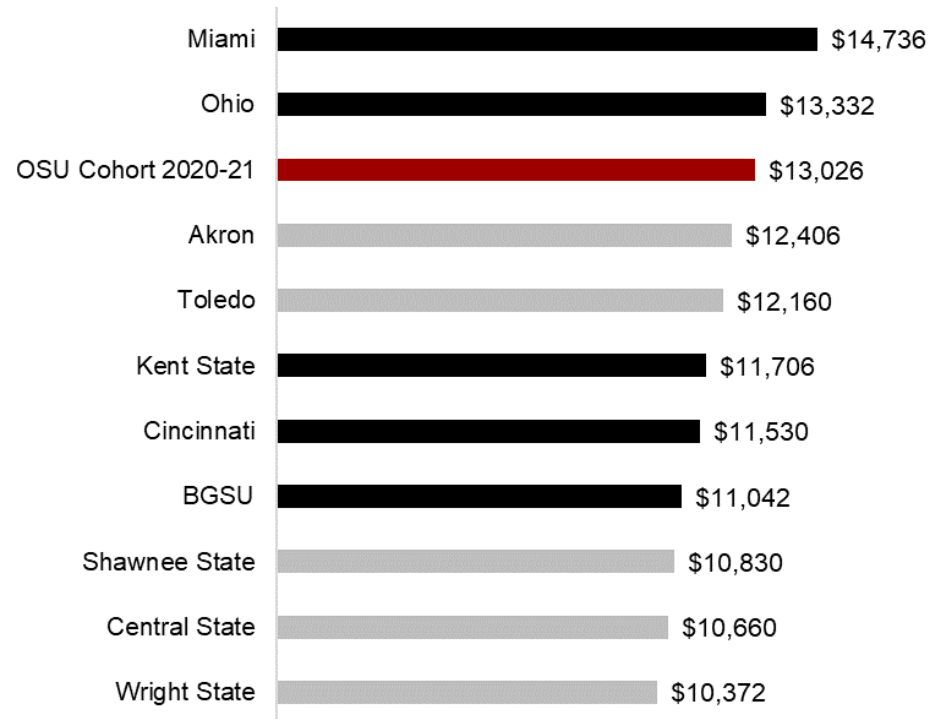


# Comparison: Housing and Dining Rates

## Comparison of Ohio public universities

NOTE: ALL COMPARISONS CURRENTLY SHOW OHIO STATE FY 2021 PROPOSAL VS. PEERS' FY 2020 RATES

### Ohio - Most Common Housing & Dining

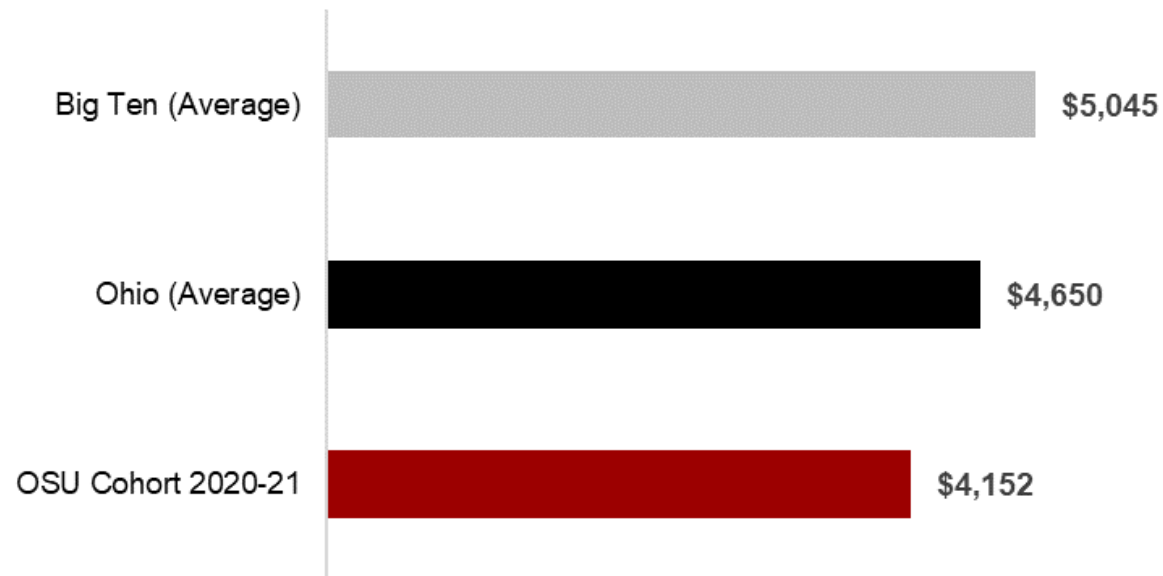




## What the Market Says: Dining (Columbus)

Even with the proposed increase for FY 2021, our most popular meal plan (Gray 10) is well below current year average of our peers

**Most Common Dining Plans**





## FY 2021 Proposed Housing (Regional Campuses)

Housing Plans	FY 2020	FY 2021	\$ Change	% Change
<b>ATI</b>				
1-bedroom for 2 (per person)	\$7,178	\$7,358	\$180	2.5%
2-bedroom for 4 (per person)	\$7,178	\$7,358	\$180	2.5%
2-bedroom for 5 (per person - double)	\$7,178	\$7,358	\$180	2.5%
2-bedroom for 5 (per person - triple)	\$6,192	\$6,346	\$154	2.5%
3-bedroom for 5 (per person - single)	\$7,562	\$7,750	\$188	2.5%
3-bedroom for 5 (per person - double)	\$7,178	\$7,358	\$180	2.5%
Private apartment	\$8,534	\$8,748	\$214	2.5%
<b>Newark</b>				
2-person efficiency (per person)	\$7,764	\$7,958	\$194	2.5%
2-bedroom for 4 (per person)	\$7,806	\$8,000	\$194	2.5%
3-bedroom for 6 (per person)	\$7,356	\$7,540	\$184	2.5%
McConnell Hall	\$8,040	\$8,240	\$200	2.5%
<b>Mansfield</b>				
2-bedroom for 2 (per person)	\$8,202	\$8,408	\$206	2.5%
2-bedroom for 4 (per person)	\$6,564	\$6,728	\$164	2.5%
5-bedroom for 5 (per person)	\$6,884	\$7,056	\$172	2.5%
5-bedroom for 6 - single (per person)	\$6,564	\$6,728	\$164	2.5%
5-bedroom for 6 - double (per person)	\$5,916	\$6,064	\$148	2.5%



# Health Insurance





## Enrollment: Student Health Insurance

### DETAIL – AUTUMN 2019

<b>Student Type</b>	<b>Residency</b>	<b># Enrolled Through OSU</b>	<b>% of Total Enrolled</b>	<b>University Headcount</b>	<b>% of Student Type / Residency</b>
Undergraduate	Domestic	4,259	30.0%	49,745	72.9%
Undergraduate	International	3,766	26.5%	3,924	5.7%
Graduate	Domestic	3,714	26.1%	11,946	17.5%
Graduate	International	2,479	17.4%	2,647	3.9%
<b>Total</b>		<b>14,218</b>	<b>100.0%</b>	<b>68,262</b>	<b>100.0%</b>

# Student Health Insurance: Marketplace Comparison

Cost comparison of January 2020 marketplace

	Ohio State	Marketplace <sup>1</sup>			
	Comprehensive Plan	Platinum (0 options)	Average Gold (6 options)	Average Silver (17 options)	Average Bronze (10 options)
<b>Monthly Cost</b>	\$280	No Plans Available	\$424	\$363	\$263
<b>Deductible</b>	\$150	No Plans Available	\$2,258	\$5,632	\$6,340
<b>Out-of-Pocket Max</b>	\$2,700	No Plans Available	\$6,883	\$7,535	\$7,745
<b>Cost Share</b>	90/10	No Plans Available	80/20	70/30	60/40
<b>Adult Dental</b>	Yes	No Plans Available	1 out of 6	3 out of 17	1 out of 10
<b>Adult Vision</b>	Yes	No Plans Available	1 out of 6	3 out of 17	1 out of 10

<sup>1</sup> Methodology: Average metallic level search results for PPO plan options for 2020 calendar year in Franklin County for 25-year-old male with no dependents; produced on January 9, 2020 via <https://www.healthcare.gov/apply-and-enroll/health-insurance-plans-estimator-overview/>



# Graduate/Professional Programs



## Graduate Programs

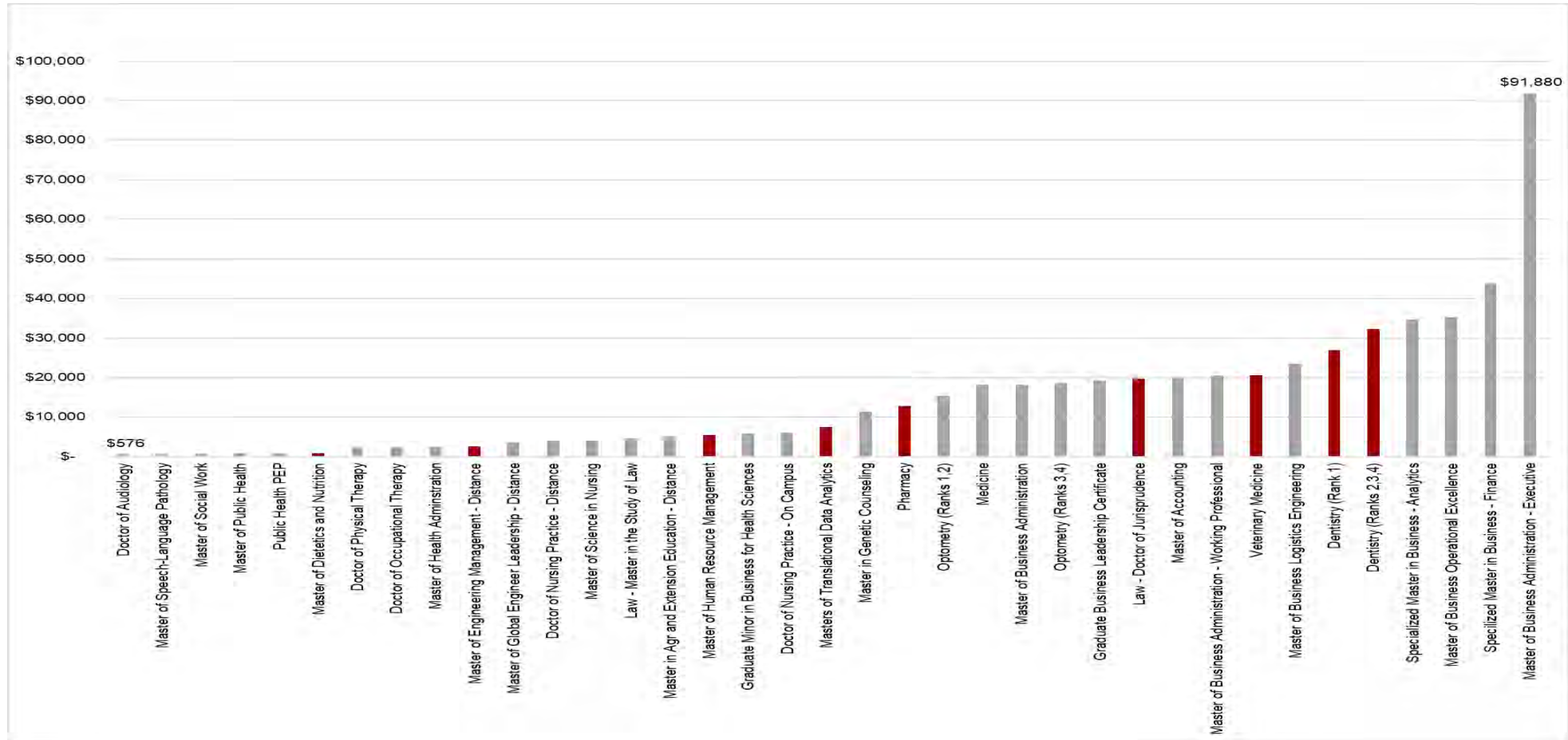
- Tuition revenue primarily funds fee authorizations and fellowships
- Base rates have been consistent for last 6 years (\$12,425 per year)
- Master's/Ph.D. pay these rates unless they have differentials
- Not subject to state tuition freeze/cap

Description	FY 2020	FY 2021	Change	% Change
<b>Total Resident</b>	<b>\$ 12,425</b>	<b>\$ 12,425</b>	<b>\$ -</b>	<b>0.0%</b>
Non-Resident Surcharge	\$ 23,584	\$ 24,716	\$ 1,132	4.8%
<b>Total Non-Resident Domestic</b>	<b>\$ 36,009</b>	<b>\$ 37,141</b>	<b>\$ 1,132</b>	<b>3.1%</b>



# Differential Fees above Base (instructional)

Reflects cost of program and market comparisons



Red indicates which fee-paying categories are currently requesting the creation or change to their fee structure



**THE OHIO STATE UNIVERSITY**

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# FY 2021 University Interim Budget

Michael Papadakis, SVP and CFO

Board of Trustees: Audit, Compliance & Finance Committee | June 3, 2020

# FY 2021 University Sources & Uses (July & August)

	FY 2020		FY 2021 - Jul + Aug			
	Jul + Aug		Pre-COVID	Post-COVID	Variance	% Variance
<b>Sources</b>						
Tuition & Fees, net	\$	89,860	\$ 92,086	\$ 67,559	\$ (24,526)	-26.6%
Exchange Grants & Contracts		158,943	142,571	137,016	(5,555)	-3.9%
Sales & Services, net		54,516	60,410	53,583	(6,828)	-11.3%
Other Operating Revenues		5,197	1,420	1,420	0	0.0%
Other Non-Operating Revenue		153,124	160,816	140,204	(20,612)	-12.8%
Endowment Distributions & Transfers		71,374	71,791	62,907	(8,884)	-12.4%
<b>Total Sources</b>	<b>\$</b>	<b>533,014</b>	<b>\$ 529,094</b>	<b>\$ 462,690</b>	<b>\$ (66,404)</b>	<b>-12.6%</b>
<b>Uses</b>						
Salaries & Benefits	\$	272,824	\$ 264,196	\$ 246,362	\$ (17,834)	-6.8%
Fee Authorizations		1,201	977	(14,613)	(15,590)	-1595.9%
Student Aid, net		26,763	26,922	26,922	0	0.0%
Supplies & Other		132,761	146,998	121,668	(25,329)	-17.2%
Debt Service		26,428	23,937	23,937	0	0.0%
<b>Total Uses</b>	<b>\$</b>	<b>459,976</b>	<b>\$ 463,029</b>	<b>\$ 404,276</b>	<b>\$ (58,753)</b>	<b>-12.7%</b>
<b>Sources Less Uses (Excl Capital)</b>	<b>\$</b>	<b>73,037</b>	<b>\$ 66,065</b>	<b>\$ 58,414</b>	<b>\$ (7,651)</b>	<b>-11.6%</b>
State & Private Capital Sources	\$	12,028	\$ 2,458	\$ 1,682	\$ (776)	-31.6%
Construction		(47,995)	(74,217)	(52,081)	22,136	-29.8%
<b>Total Capital Items</b>	<b>\$</b>	<b>(35,967)</b>	<b>\$ (71,759)</b>	<b>\$ (50,398)</b>	<b>\$ 21,360</b>	<b>-29.8%</b>
<b>Sources Less Uses (Incl Capital)</b>	<b>\$</b>	<b>37,070</b>	<b>\$ (5,694)</b>	<b>\$ 8,016</b>	<b>\$ 13,709</b>	<b>-240.8%</b>

- UG – Summer Online  
Graduate / PhD – Summer Online (offset by reduced Fee Auths)
- \$3M – Assumes State, local grants reductions  
\$3M – Private grants assumes 5% reduction
- Canceled events, hotel vacancies, athletics & student life revenue reductions
- \$16M – SSI & State Line Items reductions  
\$5M – Current use gifts assumes 20% reduction
- Endowment Distributions assumes 20% reduction
- Assumes hiring & compensation adjustment pause in place for summer and strategic unit budget reductions are enacted
- Graduate / PhD Summer Online
- \$5M – Canceled camps, events and limited hotel operations  
\$6M – Extended travel and conference restrictions  
\$14M - Other procurement and operating expense reductions
- \$1M - State & Private Capital Gifts  
\$22M - Adjustment represents an estimate for deferral of construction spending for the entirety of FY21. Assumes no FY21 State Capital Appropriations.



# FY 2021 Health System (July & August)

	FY 2020	FY 2021 -
	Jul + Aug	Jul + Aug
		Post-COVID
<b>Revenue</b>		
Total Operating Revenue	\$ 565,483	\$ 499,951
<b>Total Revenue</b>	<b>\$ 565,483</b>	<b>\$ 499,951</b>
<b>Expenses</b>		
Salaries and Benefits	\$ 249,164	\$ 223,811
Supplies	62,579	50,087
Drugs and Pharmaceuticals	73,120	68,453
Services	56,416	54,013
Depreciation	29,313	29,776
Interest	5,454	5,042
University Overhead	10,316	10,316
COVID-19 Expenses		0
Other	8,546	8,658
<b>Total Expenses</b>	<b>\$ 494,908</b>	<b>\$ 450,156</b>
<b>Gain/Loss from Operations</b>	<b>\$ 70,575</b>	<b>\$ 49,795</b>
Medical Center Investments	\$ (28,966)	\$ (29,932)
Income from Investments	6,517	3,111
Other Gains (Losses)	3,258	(4,434)
<b>Excess of Revenue over Expenses</b>	<b>\$ 51,384</b>	<b>\$ 18,541</b>

Inpatient Discharges at 75% and Outpatient at 80% of pre-COVID-19 levels through August. Admission and Visit volume for the months projected to be 20% below prior year activities

Salary & Benefits flex down with lower volumes, Removal of merit and market increases = \$6.5M, Labor efficiencies identified = \$11.5M (hiring freeze, OT, productivity improvement)

Supply chain savings = \$1.5M; Supplies, Cost of Drugs and Services flex down with lower volumes  
Spending freeze on non-critical discretionary items

University overhead remains flat from FY20

Strategic MCI will continue as planned

## FY 2021 OSU Physicians, Inc. (July & August)

	FY 2020	FY 2021 -
	Jul + Aug	Jul + Aug
		Post-COVID
<b>Revenue</b>		
Net Patient Revenue	\$ 64,246	\$ 55,652
Other Revenue and MCI	34,866	40,338
<b>Total Revenue</b>	<b>\$ 99,112</b>	<b>\$ 95,990</b>
<b>Expenses</b>		
Provider Salaries & Benefits	\$ 54,596	\$ 60,991
Provider Incentives	4,326	1,492
Salaries & Benefits	18,633	18,042
Other Expenses	16,090	16,573
<b>Total Expenses</b>	<b>\$ 93,645</b>	<b>\$ 97,098</b>
<b>Change in Net Assets</b>	<b>\$ 5,467</b>	<b>\$ (1,107)</b>

Impact of variance in patient care volume from budget more heavily weighted to beginning of fiscal year

Strategic MCI will continue as planned

Includes impact of timing of physician recruitment and proposed compensation plan changes plus impact on compensation plan due to volumes

Variable expenses correlate with volume changes. Assumes continued expense moderation strategies for discretionary expenses



**Date:** May 12, 2020  
**To:** The Ohio State University Audit, Compliance & Finance Committee  
**From:** Christa Dewire, Audit Partner  
**Subject:** External Audit – FY20 Audit Plan

**Purpose**

To provide the Committee with an overview of our audit approach for the external audit of the University’s financial statements as of and for the fiscal year ended June 30, 2020, as well as share certain other Committee-level communications required by professional auditing standards.

**Committee Action**

No action needed.

**Executive Summary**

Our report to the Committee highlights key aspects of our audit approach (including our objectives, risk assessment, scoping, materiality, deliverables, and timeline) as well as our continued commitment to the University.

- There are no significant changes in our audit objectives, scope of services, risk-based approach, deliverables or timeline. We will continue to use Parms & Company LLC in a direct assistance capacity, as required under our contract with the Auditor of State.
- We have performed a preliminary risk assessment and have identified three significant risks where we will place increased audit emphasis:
  - *Risk of management override of controls* – a presumed significant risk on all of our audits.
  - *Risk of Fraud in Revenue Recognition* – with the focus being on the valuation of unpaid patient service revenue and related net accounts receivable.
  - *Risk of Material Misstatement in the Valuation in Unpaid Patient Service Revenue and Reserves for Contractual Allowances and Bad Debts* - related to the level of judgement and estimation involved.
- We are currently in the process of assessing the potential impact of the COVID-19 global pandemic on the University and its affiliates. In response, there may be adjustments to our current audit plan which is detailed within our materials, including but not limited to the risk assessment process, determination of materiality, and scoping. Incremental audit procedures will likely be necessary in certain areas, such as receipt of grant awards/other funds under the CARES Act, and additional considerations may also be needed around the assessment of the University’s controls environment. We will keep the Committee informed of significant changes in our Audit Plan.

- We remain committed to providing accessible, experienced team members; delivering a “no surprises” audit; providing relevant and timely technical advice; and sharing our independent views and perspectives on matters arising from our audit work. We will also continue to drive innovation into our audit execution, leveraging available technologies and tools while simplifying and/or automating certain audit procedures to improve quality and drive efficiencies.
- Other matters we are required to bring to the Committee’s attention are included within our materials.



Report to the Audit, Compliance  
& Finance Committee  
FY2020 audit plan

The Ohio State University

June 4, 2020



May 11, 2020

Members of the Audit, Compliance & Finance Committee of the Board of Trustees of The Ohio State University

Dear Committee Members:

We appreciate the opportunity present our 2020 audit plan for The Ohio State University (the "University"). Our goal has been and continues to be delivering on your expectations and providing you with the best possible service and value. Our history with The Ohio State University is strong and we are truly proud to continue to serve as the University's independent auditor.

Our plan has been developed to provide the University with an efficient, high quality audit that addresses the key risks and business issues of the organization. Discussion of our plan with you ensures that our engagement team members understand your concerns and that we agree on mutual needs and expectations to provide the highest level of service quality. Recognizing the unprecedented times in which we find ourselves, our plan will by necessity remain flexible and responsive to the University's environment. Any significant changes to the plan will be shared and discussed with the Audit, Compliance & Finance Committee.

We remain committed to candid, forward looking discussions with the both the Committee and management, delivering high quality audits, as well as providing an independent point of view. We look forward to meeting with you on June 4, 2020 to discuss our plan and any other matters of interest to you. If you have any questions in advance of our meeting on any of the matters outlined in this report, please contact Christa Dewire at (201) 738 6553.

Very truly yours,

A handwritten signature in black ink that reads "Christa L. Dewire".

Christa L. Dewire  
Engagement Partner

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## Appendices

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Appendix I – Audit Deliverables

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Appendix II - A discussion of the impact of COVID-19

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This report and the information that it contains is intended solely for the information and use of the Audit, Compliance & Finance Committee or management, if appropriate, and should not be used by anyone other than these specified parties.





# Executive summary

# Executive summary

## Audit Objectives and Deliverables




- Our audit objectives remain consistent with the prior year and we are not aware of any changes to the schedule of deliverables.

## Potential Impacts of COVID-19 on our Audit Plan and Procedures

- We are currently in the process of assessing the potential impact of the COVID-19 global pandemic on the University and its affiliates.
- In response, there may be adjustments to our current audit plan as detailed in the remainder of these materials, including but not limited to the risk assessment process, determination of materiality and scoping.
- Incremental audit procedures will likely be necessary in certain areas, such as receipt of grant awards/other funds under the CARES Act, and additional considerations may also be needed around the assessment of the University's controls environment.
- We will keep the Committee informed of significant changes in our Audit Plan.
- At this time, we are not aware of any matters that would impact our ability to deliver our services within the established timeframes, including an extended requirement to work remotely.

# Executive summary, cont'd

## Significant risks

	Risk
	Management override of controls
	Fraud in revenue recognition related to valuation of unpaid patient service revenue and related net accounts receivable
	Material misstatement in the valuation of contractual allowance and bad debt reserve

## Other areas of audit focus

Item
• Accounting and reporting for actuarially determined estimates (medical malpractice, pension, and other post employment benefit liabilities)
• Accounting for the receipt of grant awards under the CARES Act or other governmental funding programs.
• Valuation of certain alternative investments.
• Presentation and disclosure of the financial statements, including determination of discretely presented component units.

## Estimated materiality

Given the current economic environment, it is particularly challenging to estimate GAAP-based metrics for the fiscal year-ended June 30, 2020. The below table reflects materiality thresholds utilized for purposes of the 2019 audit, based on our consideration of quantitative and qualitative factors. We will update our materiality determination when 2020 projections are available, but our expectation is that these thresholds may decrease year over year.

FY 2019	Primary Institution	Discretely Presented Component Units
Overall Materiality	\$111 Million	\$11.5M
De Minimis Materiality	\$11 Million	\$1.15M

# Executive summary, cont'd

## Scoping

Components	Planned scope	
	Full	Limited
General University	✓	
Health Systems	✓	
OSU Physicians	✓	
Transportation Research Center		✓
Campus Partners		✓
Dental Faculty Group (out of scope)		

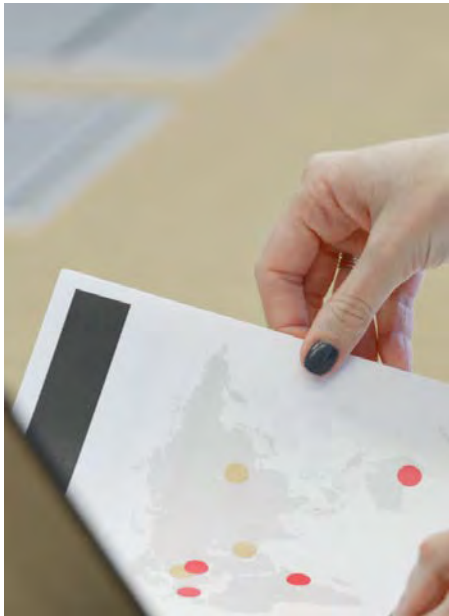
## Our Continued Commitment

- Perspectives and relevant insights from the audit
- Responsiveness, timeliness and transparency
- Quality and innovation
- Diversity and inclusion



# Audit approach

# Audit objective



As the University's auditor, we are responsible for reporting on the University's financial statements, as well as a number of affiliates / components.

Our audit engagement is directed toward delivering our services at three levels:

For stakeholders

Independent opinions and reports that provide assurance on financial information released by the University

For the Committee

Assistance to the Committee in discharging its governance compliance responsibilities

For management

Observations and advice on financial reporting, accounting and internal control issues from our professionals, including sharing experience on industry best practices

Our audit does not relieve management of its responsibilities with regard to the financial statements.

In performing our audits for 2020, our primary objectives are as follows:

- Opine on the University's financial statements (Primary Institution and Discretely Presented Component Units) in accordance with generally accepted auditing standards (GAAS) and, as applicable, Government Auditing Standards (GAGAS).
- In connection with our audits, we will obtain reasonable rather than absolute assurance about whether the financial statements are free of material misstatement, whether caused by error or fraud.
- Perform an audit of the University's compliance with federal award requirements in accordance with OMB Uniform Guidance.
- Communicate in writing to management and the Committee all material weaknesses and significant deficiencies identified during the audit. In addition, communicate in writing to management all deficiencies in internal control of a lesser magnitude identified during the audits.
- Pursuant to professional standards, communicate certain other matters to the Committee on a timely basis.

A full listing of audit deliverables can be found in Appendix I



# Risk assessment process and results

## Approach and definitions

### Our audit approach is based on the following principles:

- The use of a top-down, risk-based approach
- The application of well-reasoned professional judgment

These principles, with the application of materiality, allow us to develop and execute our audit approach in an effective and efficient manner. The results of our risk assessment include the identification of audit risks and also drives the identification of significant accounts.

### We evaluate audit risks as defined below:



**Significant** – requires special audit consideration in terms of the nature, timing or extent of testing (or in other respects) due to the risk's nature, likely magnitude of potential misstatement, and/or likelihood of that risk occurring - including the possibility that the risk may give rise to multiple misstatements.

**Normal** – relates to the relatively routine, non-complex transactions that tend to be subject to systematic processing and require little management judgment. Although a risk of material misstatement exists, there are no special factors related to the nature, the likely magnitude of the potential misstatements or the likelihood of the risk occurring.



# Risk assessment process and results





## Significant risks

We have outlined below the significant risks identified based on our preliminary risk assessment process, together with our planned audit response.

Risk	Related accounts (including relevant assertions)
 Management Override of Controls	 Pervasive

### Planned audit response

- We will evaluate the design and operating effectiveness of internal controls as well as perform substantive tests of details for significant risk areas including testing journal entries.

Risk	Related accounts (including relevant assertions)
 Fraud in revenue recognition related to valuation of unpaid patient service revenue and related net accounts receivable	 Valuation of patient service revenue and related net accounts receivable (Health Systems and OSU Physicians)
 Material misstatement in the valuation of contractual allowance and bad debt reserve	 Valuation of patient service revenue and related net accounts receivable (Health Systems and OSU Physicians)

### Planned audit response

- Test for existence of Patient Accounts Receivable at year-end by tracing certain attributes back to patient medical records.
- Test for existence of Patient Service Revenue transactions by tracing certain attributes back to patient medical records and cash receipts.
- Perform walkthrough procedures to gain an understanding of management's reserve methodology for different classes of A/R
- Perform testing over management's contractual allowance and bad debt reserve models as well as qualitative or top-side adjustments made to modeled results.
- Perform risk assessment analytical procedures over Days sales outstanding, Accounts receivable aging analysis, Bad debt write-offs analysis, Net patient service revenue (NPSR) revenue analysis, Contractual allowance collection percentage change analysis, and NPSR Cut-off
- Obtain and review management's hindsight analysis on FY19 net billed A/R, which will be compared to payments received through June 30, 2020, in order to gain an understanding of the accuracy of management's reserve methodology.
- Perform an additional subsequent cash analysis on the June 30, 2020 net A/R balance, in order to obtain further evidence over the adequacy of the current year reserves.



Risk consistent with 2019

# Risk assessment process and results

## *Other Areas of Audit Focus*

In addition to the significant risks identified above, we have identified the areas below that are not considered significant risks but are areas of focus during the audit due to materiality of the balance or complexity/judgment involved in the accounting. Such audit areas are subject to material accounting policies and/or judgments and are considerations as we develop our current year audit approach.

- Accounting and reporting for actuarially determined estimates (medical malpractice, pension and other post employment benefit liabilities).
- Accounting for the receipt of grant awards under the CARES Act or other governmental funding programs.
- Valuation of certain alternative investments, particularly where the application of a practical expedient (i.e. NAV) is not available, or where there is a lack of market observable data.
- Presentation and disclosure of the financial statements, including determination of discretely presented component units.

## *Uniform Guidance Reporting and Compliance Risk*

Although not considered a significant risk from a financial reporting standpoint, we also focus our audit procedures on regulatory compliance, including federal grants, and continued focus on compliance processes and controls over the University's federally sponsored research, financial aid, and other programs. These procedures are performed in connection with our OMB Uniform Guidance audit due to the reputational risk and potential regulatory ramifications associated with non-compliance. Certain compliance requirements associated with CARES Act funding have not yet been determined, so this may create additional compliance risk. In addition, the 2020 compliance supplement has not yet been released. Therefore, additional compliance requirements arising from the COVID-19 pandemic may require additional procedures.

## *Independence*

As auditors of the University, we are subject to a variety of standards to ensure our independence, including American Institute of Certified Public Accountants, Governmental Accountability Office, and internal PwC standards. Our quality control processes include confirmation of independence by professional staff and training and are established to ensure our continuing independence.

We hereby confirm our independence of the University for the fiscal year ending June 30, 2020. We will reconfirm our independence at the completion of our June 30, 2020 audits for the University.

# Risk assessment process and results

## Materiality

We determine the materiality level for the financial statements as a whole for purposes of (1) identifying and assessing risks of material misstatement and (2) for determining the nature, timing and extent of audit procedures. We consider both quantitative and qualitative factors in our assessment of materiality. Materiality is generally determined after considering one more benchmarks relevant to the organization and its industry. In the non-profit sector such benchmarks may include total revenues, total expenses, total assets, and net assets. We also assess the metrics considered important by the users of the financial statements in determining the appropriate basis for calculating materiality.

Given the current economic environment, it is particularly challenging to estimate GAAP-based metrics for the fiscal year-ended June 30, 2020. The below table reflects materiality thresholds utilized for purposes of the 2019 audit, based on our consideration of quantitative and qualitative factors. We will update our materiality determination when final 2020 results are available, but our expectation is that these thresholds may decrease year over year.

Materiality threshold	Purpose for the audit	FY 2019 Amount
Overall materiality	Our assessment of materiality for the financial statements as a whole. This is the level at which we believe a misstatement could reasonably be expected to influence the decisions of users of the financial statements.	Primary Institution: \$111M Discretely Presented Component Units: \$11.5M
De minimis	The threshold below which misstatements are considered to be clearly trivial. We will report to you all unadjusted misstatements identified during our work in excess of this amount.	Primary Institution: \$11.1M Discretely Presented Component Units: \$1.15M

Note that separate materiality thresholds are established at the individual affiliate/component entities where stand-alone financial statement audits are performed. These thresholds are typically below those established at the overall University level.

# Scoping

## Financial statement scoping

In an audit of the financial statements of an organization with operations in multiple locations or components, we determine the extent to which audit procedures should be performed at selected locations to obtain reasonable assurance about whether the overall financial statements are free of material misstatement. As part of that analysis, we consider:

- The size and complexity of the business and its components;
- The existence of entity-level and information technology general controls; and
- Management's process for evaluating internal control over financial reporting.

There are three different levels of scope that may be applied to each component, which are outlined below.

### Levels of scoping

<b>Full scope</b>	An audit of the financial information using allocated materiality
<b>Limited scope</b>	Audit procedures over certain identified balances and/or transactions using allocated materiality
<b>Out of scope</b>	Detailed audit procedures not performed, but certain entity-level and risk assessment procedures provide insight into the reasonableness of the balances at these components

Component	Full Scope	Limited Scope	Out of Scope
General University	✓		
Health System	✓		
OSU Physicians	✓		
Transportation Research Center		✓	
Campus Partners		✓	
Dental Faculty Group			✓

Once the components have been selected, we scope in financial statement line items considering various factors, including those outlined below.

### Considerations for scoping accounts

- |   |  |
|---|--|
| <ul style="list-style-type: none"> <li>• Size and composition of the account</li> <li>• Susceptibility of misstatement due to errors or fraud</li> <li>• Volume of activity, complexity and homogeneity of the individual transactions processed through the account or reflected in the disclosure</li> <li>• Nature of the account or disclosure</li> <li>• Changes from the prior period in account or disclosure characteristics</li> </ul> | <ul style="list-style-type: none"> <li>• Accounting and reporting complexities associated with the account or disclosure</li> <li>• Exposure to losses in the account</li> <li>• Possibility of significant contingent liabilities arising from the activities reflected in the account or disclosure</li> <li>• Existence of related-party transactions in the account</li> <li>• Knowledge obtained in prior audits</li> </ul> |
|---|--|

# Potential Impacts of COVID-19

## Potential Impacts of COVID-19 on our Audit Plan and Procedures

Unlike many other projects, the scope of the audit is not discretionary - we cannot reduce our efforts temporarily. We anticipate we will require incremental audit procedures as businesses are disrupted, which will lead, in many situations, to a decline in financial results - leading to lower materiality levels – and new or modified risks and controls.

- We are currently in the process of assessing the potential impact of the COVID-19 global pandemic on the University and its affiliates.
- In response, there may be adjustments to our current audit plan as detailed in these materials, including but not limited to the risk assessment process, determination of materiality, and scoping.
- Incremental audit procedures will likely be necessary in regard to the following: receipt of grant awards/other funds under the CARES Act; management's estimate of net patient receivables as well as valuation of certain alternative investments.
- Additional considerations may also be needed around the assessment of the University's controls environment, including evaluation of changes attributable to remote working arrangements currently in place.
- GASB has proposed delaying the implementation dates of certain standards (Fiduciary Activities, which was to be adopted in FY2020, and Leasing).
- With respect to our Uniform Guidance procedures, the 2020 Office of Management and Budget Compliance Supplement has not yet been issued. Given the environment, the government has moved quickly to make funding available, but guidance is continuing to be clarified and it is uncertain to what extent this will impact our procedures in this regard.

We will keep the Committee informed of significant changes in our Audit Plan.

At this time, we are not aware of any matters that would impact our ability to deliver our services within the established timeframes, including an extended requirement to work remotely.

See Appendix II for additional information regarding our Firm's response to COVID-19.

# Client service team

# Years serving the client



**Christa Dewire**  
Global Engagement Partner

6



**Drew Wagoner**  
Quality Review Partner

3



**Michael Petrecca**  
Senior Relationship Partner

10



**Lindsey Herr**  
Partner  
Healthcare-related Component  
Teams

5



**John Stieg**  
Partner  
Other Affiliate/Component  
Teams

5



**Brielle Sorter**  
Senior Manager

9



**Kenny Zhong**  
Director

9



**Garrett Ryan**  
Manager

4



**Jeff Annis**  
Manager

3



**Brigette Arata**  
Director  
Healthcare-related Component  
Teams

6



**Daniel Otanicar**  
Senior Manager  
Healthcare-related Component  
Teams

6

# Client service team

## Specialists

### *Process Assurance*

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**Doug Torline**  
Process Assurance  
Partner



**Ashley Worsowicz**  
Process Assurance  
Manager

### *Tax*

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**Travis Patton**  
Tax  
Partner

### *Healthcare and Higher Education*

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**Tim Weld**  
Healthcare and Higher  
Education Sector Leader

### *Other*

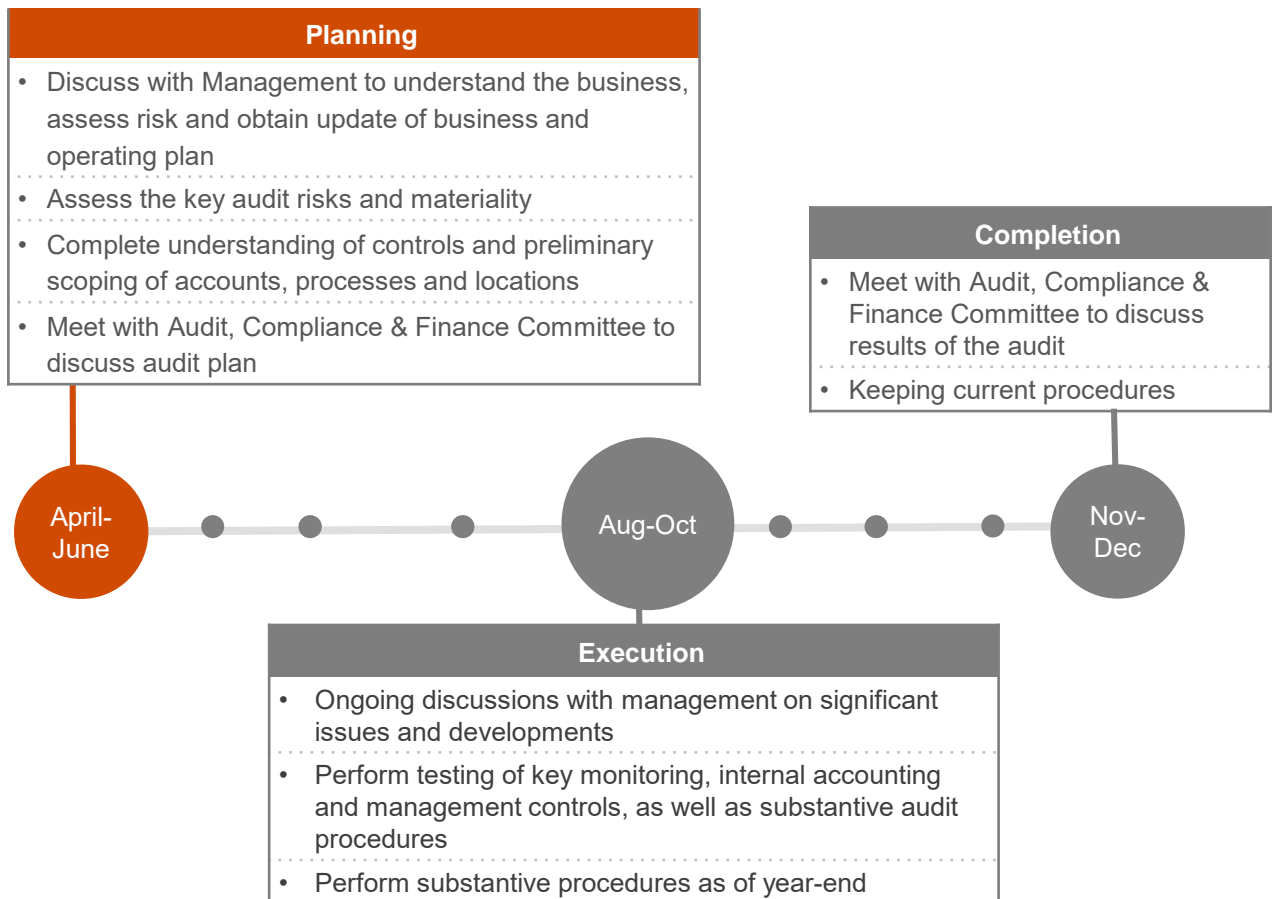
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Area of expertise	Description of service
Financial Services Valuation Specialists	Assistance with the risk assessment and evaluation of the fair value of certain investments and related disclosures
Actuarial Insurance Management Solutions	Review of actuarially determined balances and actuarial models for medical malpractice
Data Management Group	Review of complex spreadsheets and data outputs and assistance with SAS 99 journal entry testing.
Regulatory Compliance Services	Review the University's OMB Uniform Guidance report and data collection form as well as provide perspective on federal agencies' monitoring and expectations of award recipients.
Healthcare Reimbursement Specialists	Review third-party account transactions subject to complex rules and interpretations.



# Timeline and communication plan

The table below outlines our expected timing of communications and planned audit procedures. In addition, we may communicate with you more frequently, if and when significant matters arise.



# Other required communications

## Fraud

We are required to make certain inquiries of the Audit, Compliance & Finance Committee related to fraud risks. In addition, as part of our overall response to fraud risk, we incorporate unpredictability into our audit by modifying the nature, timing and extent of our procedures.

Fraud is a broad legal concept and auditors do not make legal determinations of whether fraud has occurred. Rather, the auditor's interest specifically relates to acts that result in a material misstatement of the financial statements. The primary factor that distinguishes fraud from error is whether the underlying action that results in the misstatement of the financial statements is intentional or unintentional.

The following two types of misstatements are relevant to the auditor's consideration of fraud:

### Fraud items for discussion:

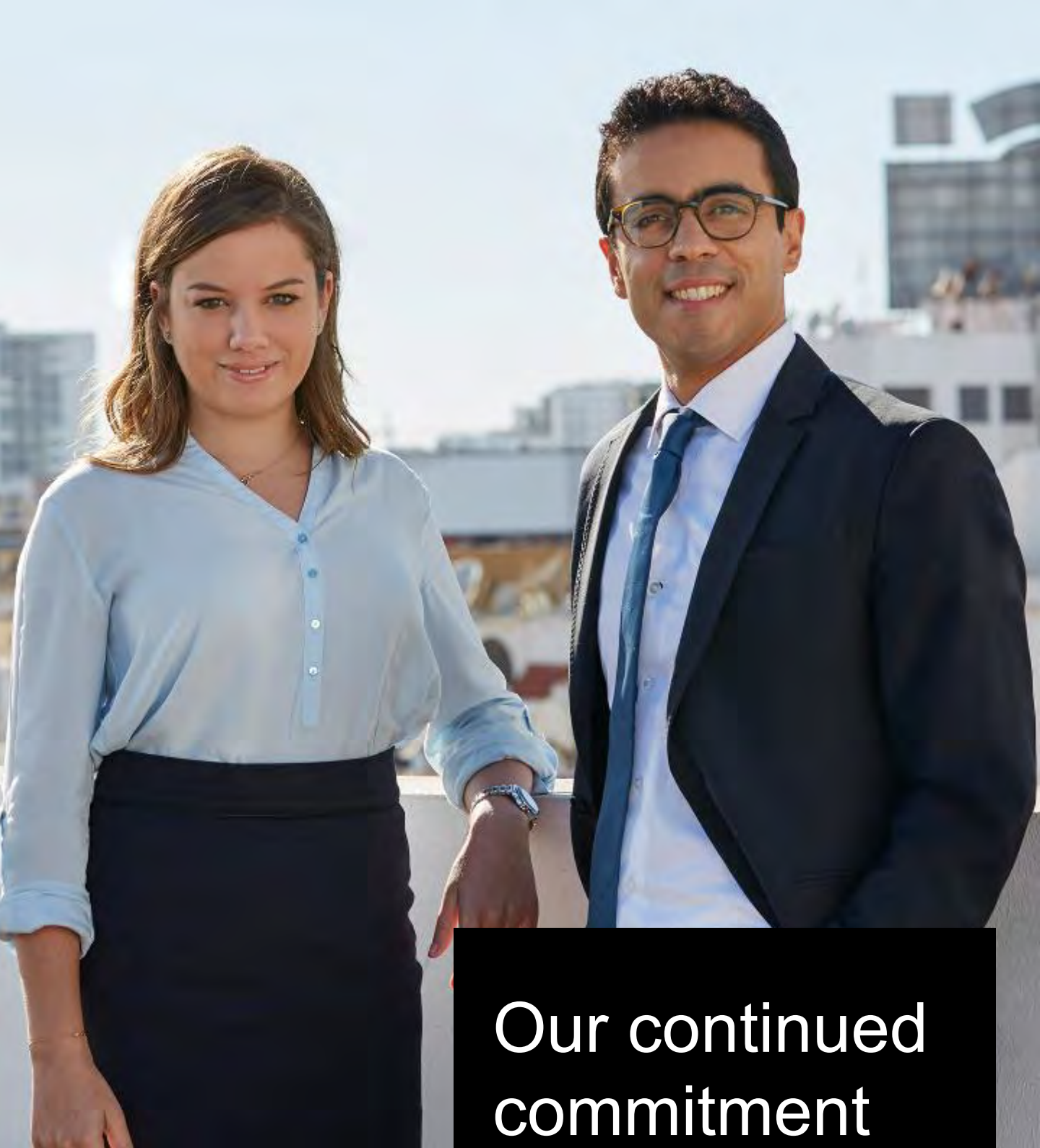
- Programs and controls in place to mitigate the risk of fraud and error
- Specific concerns about the risk of fraud or error
- Any actual, alleged or suspected fraud
- Oversight of the assessment of fraud risks and mitigating controls
- Violations or possible violations of law
- Nature and extent of communications about misappropriations by lower level employees
- Other matters relevant to the audit

**Misstatements arising from fraudulent financial reporting** are intentional misstatements or omissions of amounts or disclosures in financial statements designed to deceive financial statement users where the effect causes the financial statements not to be presented, in all material respects, in conformity with generally accepted accounting principles (GAAP).

**Misstatements arising from misappropriation of assets** (sometimes referred to as theft or defalcation) involve the theft of an entity's assets where the effect of the theft causes the financial statements not to be presented, in all material respects, in conformity with GAAP.

# Other required communications

<b>Independence</b>	<p>There were no relationships or other matters identified that might reasonably be thought to bear on independence.</p> <p>In accordance with the AICPA's Code of Professional Conduct, we are required to communicate a breach of external independence requirements to you as soon as possible or in line with a communication protocol that is confirmed in writing. As of the date of this report, we are not aware of any breach of external independence requirements.</p>
<b>Non-compliance with laws and regulations and illegal acts</b>	<p>Based on planning procedures performed to date, we have not identified any instances of non-compliance with laws and regulations.</p> <p>We have also not identified any potential illegal acts.</p>
<b>Significant issues discussed with management prior to appointment or retention</b>	<p>There were no significant issues discussed with management in connection with the retention of PwC.</p>
<b>Other material written communications</b>	<p>We will provide the Committee Chair with a copy of the executed engagement letter.</p>
<b>Obtain information relevant to the audit</b>	<p>We will inquire of the Audit, Compliance &amp; Finance Committee about whether it is aware of matters relevant to the audit and about the risks of material misstatement.</p>



Our continued  
commitment

# Our continued commitment

We affirm our commitment to the Audit, Compliance & Finance Committee to help you meet your responsibilities. We will endeavor to fulfill our responsibilities to you and management by focusing on quality and the following key commitments:

## Perspectives and relevant insights from the audit

- We will provide for an engagement team with relevant experience with both the University, as well as the higher education and healthcare sectors more broadly.
- We will provide guidance to management and the Committee related to various accounting and reporting matters, such as the implementation of technical guidance on emerging pronouncements from the Governmental Accounting Standards Board (GASB).
- We will provide ongoing points of view, as well as share targeted thought leadership on various accounting and industry issues throughout the year.

## Responsiveness, timeliness and transparency

- Our job is to support the Committee as it fulfills its responsibilities to the University's stakeholders. We will pursue an effective relationship with the Committee while maintaining objectivity, acting independent of management, and have direct, candid and timely discussions.
- Our team emphasizes prompt and personal communication with the University and will be available to management throughout the year.
- We will collaboratively work with management to ensure early identification of complex technical matters and communicate timely the impact that any changes have on our audit scope.

## Quality and innovation

- Delivering a quality audit remains our number one priority. During the course of the audit, we will engage subject matter experts outside of the core engagement team as needed, to effectively address certain key audit risks.
- In addition, we remain focused on continuously innovating our audit, embracing digital tools and technologies available to drive a more effective and efficient audit experience for both management and our teams.

# Our continued commitment

## Our multi-year audit innovation strategy



Create a **global xLOS** platform to meet clients' needs in all geographies and promote **reusability** of analytic modules, client data and insights in **appropriate** and approved ways.



Deliver client engagements with **enhanced quality** through **data-driven audit procedures**, machine learning and our best in class resource expertise.



**Securely extract and analyze** our client's information through state of the art methods and cryptography.



Deliver **next-generation insight** to clients about their data, performance, process efficiency, and optimization in ways not possible with today's PwC tools and applications.



**Tech-enable** the audit to streamline, standardize, automate, and centralize our work in Centers of Excellence/SDCs.



Deliver a workforce of talented professionals with the **triple threat of business knowledge, digital skills, and technology know-how**, who are highly valued in the marketplace.



**Train** our people in new digital skills that will be in demand at PwC and elsewhere, to be their best at work and in life, and to use their skills to make a difference.

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*We commit to sharing more as it relates to our innovation journey on the University's audit.*

# Our continued commitment

## Audit innovation tools

### Our current technology used on the audit engagement today



**Aura**

Aura, our global ERP system, is used by our 100,000 auditors worldwide on every PwC audit. It involves a systematic, risk based approach with workflow technology. This ensures that things are done one way – the right way – consistently and efficiently across the Group. The result is all of the elements of our audit fitting together seamlessly – no duplication, no omissions.



**Connect**

Connect is our data sharing and collaborative workflow tool, providing fast, efficient and secure information sharing at every stage of the audit globally. It monitors the status of information flows on a real time basis.

Connect Audit Manager is our global project management tool which will give you a real time view of the status of all your global statutory audits. CAM will facilitate even greater project management, more visibility and control, and a global consistency across the Group.



**Halo**

Halo is revolutionising the PwC audit, providing greater assurance and deeper insight. It is market-leading assurance technology that tests and visualises information, testing more, more often. We are able to share insight with management based on trends and anomalies identified in the data.



**ADC**

Automated Disclosure Checklist (ADC) is a cloud based technology solution which allows multiple users to work on a checklist at the same time from different locations. The application utilizes a tailoring

### Next generation technology



**GL.ai**

GL.ai is a revolutionary bot that uses AI and machine learning to 'x-ray' a business, analyzing billions of data points in milliseconds, seeing what humans can't, and applying judgement to detect anomalies in the general ledger. It is the first module of PwC's Audit.ai.



**Data Sieve**

We have bespoke optical character recognition tools that utilise machine learning technology and can be 'trained' to scan contracts and extract the relevant information. This information is easily searchable and can be analysed in several different ways. The technology is already being used to help clients to implement revenue and Leases for example.



**Process timing**

Our range of Halo tools can illustrate the journey that each transaction takes through your systems. This generates a detailed illustration of the transaction flows for each key process, showing not only the most common route that transactions take, but also where transactions follow an unexpected path.

This enables us to compare expected data flows to reality and to properly understand the reliance companies are placing on automated and manual controls. This drives a higher quality risk based audit and also generates unique insight into your business.



# Our continued commitment

## Diversity and inclusion

“When you include a diversity of minds in the decision-making process, you inevitably have a better and stronger result.” - **Tim Ryan, US Chairman and Senior Partner**

We support an inclusive culture by acknowledging the unique experiences and perspectives each individual brings to the table. That's why an inclusive environment is key to seeing people's potential, innovating and solving important problems. Cultural dexterity—the ability to work and communicate with people from different backgrounds—is an important business skill that helps us work together and interact with you and the rest of our clients. It helps us bring PwC's values to life. Here are a few of the initiatives we are proud of:

- **CEO Action** - PwC, along with 650 other signatories, pledge our commitment to elevate the conversation about workplace diversity and inclusion.
- Our **“Blind Spots” program** is required for all new hires and promotees and available to all our people, and helps individuals gain awareness of unconscious biases and their consequences.
- **We continued a series of Color Brave™** conversations about race that has helped break down barriers to employees bringing their best selves to work.
- **Inclusion Networks** are designed as professional forums to help women, ethnic minorities, parents, and gay and lesbian professionals connect with one another and provide mentoring and learning and development opportunities

While we are proud of the progress we have made, there is still more we want to do to enhance our inclusive culture so that we can continue to attract talented people who have unique skills, experiences, and ambitions.

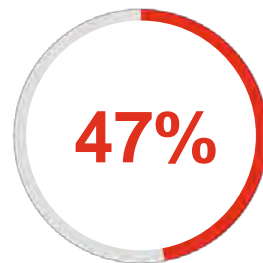
For 2020, women and minorities comprise approximately 50% of the University engagement team at the manager level and above.



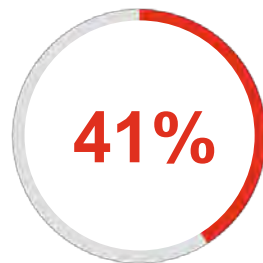
Percentage of our workforce that are women  
*(as of 7/1/19, excludes partners & interns)*



Percentage of our workforce that are minorities  
*(as of 7/1/19, excludes partners & interns)*



Percentage of 2019 partner class that are women and minorities



2019 partner class have international experience



# Appendices

# Appendices

- Appendix I – Audit Deliverables
- Appendix II – A Discussion of the Impact of COVID-19

# Audit Deliverables

We will provide the following services in connection with our audit contract with The Ohio State University for FY 2020 (no changes from the prior year):

University Audit	Components	Deliverables
Primary Institution	General University	Financial Statement Audit Opinion (GASB)
	OSU Wexner Medical Center Health System (OSU Health System)	
Discretely Presented Component Units	OSU Physicians	GAGAS Internal Controls Opinion (including procedures to support compliance with Ohio Revised Code)
	Campus Partners for Community Urban Redevelopment and Subsidiaries (Campus Partners)	
	Transportation Research Center Inc.	
	Dental Faculty Practice Association, Inc.	
Other Deliverables	Reporting Entity	
Stand-alone Financial Statement Audits	OSU Foundation	
	OSU Health System	
	Transportation Research Center	
	OSU Physicians	
	Athletics Department	
	WOSU Public Media	
	OSU Global Gateways	
Compliance	Uniform Compliance	
Review report	Wexner Center for the Arts	
	OSU Health Plan	
Agreed Upon Procedures	NCAA	
Benefit Plan Audit	Transportation Research Center – Benefit Plan	

Our contract with the State of Ohio as it relates to the audit of The Ohio State University requires that we utilize a Minority Business Enterprise (MBE) firm (Parms & Company, LLC) for approximately 15% of the annual contract fee for certain deliverables (specifically, those in excess of 800 hours).

# A Discussion of the Impact of COVID-19

## Business resiliency at PwC

PricewaterhouseCoopers LLP (PwC) understands the importance and benefits of managing resilient and recoverable operations to service our clients. We have designed the most important aspects of how we deliver client service to be fault tolerant, minimizing any single points of failure. From how we use technology, operate engagement teams, and utilize internal PwC services, our operations are highly resilient and secure. We recognize, however, even enhanced resiliency its limitations.

Accordingly, PwC has established a Business Continuity Management Program to prepare, respond, and recover from various types of operational threats. Through this three-pronged approach, PwC believes our planning activities and mitigation strategies reduce the level of interruption exposure and allow for efficient and proven recovery efforts. These capabilities were built using the same processes by which we consult with our clients regarding their business continuity and IT disaster recovery capabilities. The PwC program has also been certified with ISO 22301:2012 and operates with executive sponsorship and a Business Continuity Management System.



# A Discussion of the Impact of COVID-19

## Our response to COVID-19 (the coronavirus)

Our most valuable asset is our people. Therefore, a core component of our business continuity efforts is around pandemic planning. We believe pandemic planning and response efforts are a continuous cycle of information sharing and teaming with experts to help prevent, contain, and recover from life threatening infectious diseases and viruses impacting our people and our clients.

While this coronavirus presents new challenges, PwC's approach to managing external risks remains the same — including our commitment to the safety of the people of both our clients and our firm and having an open dialogue with internal and external stakeholders. Our Business Continuity Program is executing our pandemic plan with our leadership team.

- We're monitoring the spread of the virus on a daily basis and mapping emerging clusters of cases against our assets to take actions to protect the firm.
- We're actively consulting with the Centers for Disease Control (CDC), city and state authorities, outside medical advisors, and our Global Security team. We're also monitoring US State Department and CDC guidance and updating our own travel restrictions and meeting policies accordingly.
- We've launched a centralized task force and internal COVID-19 webpage for updates and questions; we've reviewed various scenarios with our crisis teams and stakeholders in preparation for PwC cases and other types of impacts.
- We're reinforcing how our people can get support (e.g., Emergency Hotline, Global Security team, local office leaders) for questions, guidance, or to report potential exposure.
- We have suspended all domestic and international travel, which aligns with guidance from the State Department. Our offices are currently closed and will remain so at least through May 31st.
- We're reminding our people of PwC benefits available to them (e.g., unlimited data plans, virtual healthcare visits, unrestricted sick time, backup child care, wellness apps).

# A Discussion of the Impact of COVID-19

## Overview of COVID-19 impacts to our audits

We are actively monitoring the evolving circumstances related to COVID-19. We continue to closely follow our firm and your directives regarding responsive actions. Our offices are closed and we have advised our team to work remotely.

We also appreciate the significant impact this may have on your people, your operations and your results. Likewise, these circumstances need to be properly assessed in connection with [an annual audit and/or interim review], including the need to rapidly assess evolving financial reporting, disclosure, and control risks and adjust procedures accordingly.

- We have taken steps to ensure the continuity of our services and mitigate the risks of delays related to our deliverables.
- We will stay connected with local management and be responsive to changes, particularly in terms of your policies and working practices.
- We have tools via Client Connect to electronically administer the secure sharing of supporting documentation and deliverables between PwC and you.
- Our auditing services group within our National office is evaluating the most effective way to address remote work options for procedures ordinarily conducted in person. We will work with management to complete these procedures safely and consistent with the policies instituted by both of our institutions.
- Our teams are working remotely and have the technology needed to do so. We also have the ability to conduct essential meetings with management and Audit Committees via voice/video conferencing and expect no disruption in this regard.



# A Discussion of the Impact of COVID-19

## Where to find more information

### Resources:

[PwC's COVID-19 CFO Pulse Survey](#)

[COVID-19: What US business leaders should know](#)

[Business resiliency at PwC](#)

[PwC's Digital Fitness App](#)

[PwC COVID-19 Navigator](#)

The Navigator is an interactive tool we're offering free of charge to help organizations better understand where they are on their path toward COVID-19 preparedness and response across six focus areas.

### Thought leadership:

PwC accounting podcasts:

[Coronavirus: Accounting considerations for your business](#)

In depth: [FAQ on accounting for COVID-19 and market volatility](#)

In the loop: [Are you ready for your stakeholders to ask about the coronavirus?](#)

[Responding to COVID-19: Considerations for corporate boards](#)

[Returning to the workplace after COVID-19](#)



**APPROVAL OF FISCAL YEAR 2021  
TUITION AND MANDATORY FEES**

Synopsis: Approval of instructional and mandatory fees and non-residential and international surcharges for undergraduate and graduate students at all campuses of The Ohio State University for the Fiscal Year 2021, is proposed.

WHEREAS the Board of Trustees of The Ohio State University supports the university's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS the state budget contains higher education funding through the State Share of Instruction and special purpose appropriations; and

WHEREAS the university established the Ohio State Tuition Guarantee program in Fiscal Year 2018, which sets the cost of tuition, mandatory fees, and room and board rates for each incoming class of Ohio resident students for four years; and

WHEREAS Ohio resident students in the Tuition Guarantee cohorts that began in Fiscal Years 2018, 2019 and 2020 will continue at the rates established for their cohorts and will therefore see no change (0%) in their tuition, mandatory fees, and room and board rates for Fiscal Year 2021; and

WHEREAS new first-year Ohio resident undergraduate students enrolled at all campuses in 2020-21 will be part of a new Ohio State Tuition Guarantee cohort; and

WHEREAS Ohio Revised Code 3345.48 establishes that institutions with tuition guarantee programs may increase the instructional and mandatory fees for each incoming class of first-year undergraduate students by the total of inflation (2.1% for fiscal year 2021 as defined by the 36-month average of the Consumer Price Index-Urban) and any tuition flexibility (2.0%) allowed under the State of Ohio biennial budget bill; and

WHEREAS the university administration now presents recommendations for tuition and mandatory fees and non-residential and international surcharges for the Columbus, Lima, Mansfield, Marion and Newark campuses and for the Agricultural Technical Institute (ATI) at Wooster for the Fiscal Year 2021:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the recommendation of the administration and hereby approves rates for the 2020-21 academic year for all campuses, effective Autumn semester 2020, as follows and as outlined in the attached document:

- That tuition, mandatory fees, housing rates and dining rates will be part of the Ohio State Tuition Guarantee for new first-year Ohio resident undergraduate students, and that tuition (the instructional fee and general fee) for this cohort will increase by 4.1%. Changes to housing and dining rates are addressed in the Fiscal Year 2021 User Fees and Charges resolution; and

**APPROVAL OF FISCAL YEAR 2021  
TUITION AND MANDATORY FEES (CONTINUED)**

- That tuition and mandatory fees for continuing Ohio resident undergraduate students — including previous Tuition Guarantee cohorts and students who began prior to the creation of the Ohio State Tuition Guarantee — will not increase; and
- That instructional and mandatory fees for graduate programs will not increase. Changes to differential fees for certain programs are addressed in the Fiscal Year 2021 User Fees and Charges resolution; and
- That the non-resident surcharge for undergraduates and most graduate students will be increased by 4.8%. Exceptions for certain graduate programs are addressed in the Fiscal Year 2021 User Fees and Charges resolution; and
- That the international surcharge for undergraduate students will not increase (0% change); and
- That the distance learning non-resident surcharge will increase by \$3,580 for a student taking all online course(s) over an entire semester and not pursuing an online degree or certificate program; and
- That the distance learning non-resident surcharge will increase by \$390 for a student pursuing an online degree or certificate program.

**The Ohio State University Board of Trustees  
June 3, 2020**

**Topic:**

Fiscal Year 2021 Tuition and Mandatory fees

**Context:**

The Board of Trustees sets rates for tuition and mandatory fees for each academic year. The adopted rates will be reflected in the Fiscal Year 2021 budget.

This summary first lays out the total cost of tuition and mandatory fees for each type of undergraduate student to reflect the university's focus on overall affordability. With the Ohio State Tuition Guarantee, the tuition and fee rates set for each incoming cohort of new first-year undergraduates are frozen for the entirety of a four-year education. State law requires the board to set rates for individual fees contained within these totals. Those line items are listed below.

<b>UNDERGRADUATE TUITION &amp; FEES - COLUMBUS</b>								
<b>DESCRIPTION</b>	<b>FY 2015</b>	<b>FY 2018</b>	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>1-year change</b>		<b>5 YEAR CAGR</b>
<b>BASE (RESIDENT) TUITION AND MANDATORY FEES</b>								
Continuing (pre-Guarantee)	\$10,037	\$10,037	\$10,037	\$10,037	\$10,037	\$0	0.0%	NA
Cohort 2017-18		\$10,591	\$10,591	\$10,591	\$10,591	\$0	0.0%	1.1%
Cohort 2018-19			\$10,726	\$10,726	\$10,726	\$0	0.0%	1.3%
Cohort 2019-20				\$11,084	\$11,084	\$0	0.0%	2.0%
Cohort 2020-21					\$11,518	\$434	3.9%	2.8%
<b>U.S. NON-RESIDENT TUITION AND FEES</b>								
Continuing (pre-Guarantee)	\$26,537	\$29,141	\$30,053	\$31,014	\$32,021	\$1,007	3.2%	NA
Cohort 2017-18		\$29,695	\$30,607	\$31,568	\$32,575	\$1,007	3.2%	3.1%
Cohort 2018-19			\$30,742	\$31,703	\$32,710	\$1,007	3.2%	3.6%
Cohort 2019-20				\$32,061	\$33,068	\$1,007	3.1%	3.9%
Cohort 2020-21					\$33,502	\$1,441	4.5%	4.1%
<b>INTERNATIONAL TUITION AND FEES</b>								
Continuing (pre-Guarantee)	\$30,161	\$31,073	\$31,985	\$32,946	\$33,953	\$1,007	3.1%	NA
Cohort 2017-18		\$32,623	\$33,535	\$34,496	\$35,503	\$1,007	2.9%	4.2%
Cohort 2018-19			\$33,670	\$34,631	\$35,638	\$1,007	2.9%	4.7%
Cohort 2019-20				\$34,989	\$35,996	\$1,007	2.9%	4.9%
Cohort 2020-21					\$36,430	\$1,441	4.1%	4.5%
<b>HOUSING AND DINING (rates for previous Tuition Guarantee cohorts continue to be frozen)</b>								
Housing (Rate I plan)	\$6,560	\$8,348	\$8,472	\$8,658	\$8,874	\$216	2.5%	6.2%
Dining (Gray 10 plan)	\$3,700	\$3,904	\$3,962	\$4,050	\$4,152	\$102	2.5%	2.3%
<b>Total - housing and dining</b>	<b>\$10,260</b>	<b>\$12,252</b>	<b>\$12,434</b>	<b>\$12,708</b>	<b>\$13,026</b>	<b>\$318</b>	<b>2.5%</b>	<b>4.9%</b>

**UNDERGRADUATE TUITION & FEES - LIMA, MANSFIELD, MARION AND NEWARK**

DESCRIPTION	FY 2015	FY 2018	FY 2019	FY 2020	FY 2021	1-year change	5 YEAR CAGR	
<b>BASE (RESIDENT) TUITION AND MANDATORY FEES</b>								
Continuing (pre-Guarantee)	\$7,140	\$7,140	\$7,140	\$7,140	\$7,140	\$0	0.0%	NA
Cohort 2017-18		\$7,553	\$7,553	\$7,553	\$7,553	\$0	0.0%	1.1%
Cohort 2018-19			\$7,644	\$7,644	\$7,644	\$0	0.0%	1.4%
Cohort 2019-20				\$7,912	\$7,912	\$0	0.0%	2.1%
Cohort 2020-21					\$8,237	\$325	4.1%	2.9%
<b>U.S. NON-RESIDENT TUITION AND FEES</b>								
Continuing (pre-Guarantee)	\$23,640	\$26,244	\$27,156	\$28,117	\$29,124	\$1,007	3.6%	NA
Cohort 2017-18		\$26,657	\$27,569	\$28,530	\$29,537	\$1,007	3.5%	3.3%
Cohort 2018-19			\$27,660	\$28,621	\$29,628	\$1,007	3.5%	3.9%
Cohort 2019-20				\$28,889	\$29,896	\$1,007	3.5%	4.1%
Cohort 2020-21					\$30,221	\$1,332	4.6%	4.3%
<b>INTERNATIONAL TUITION AND FEES</b>								
Continuing (pre-Guarantee)	\$27,264	\$28,176	\$29,088	\$30,049	\$31,056	\$1,007	3.4%	NA
Cohort 2017-18		\$29,585	\$30,497	\$31,458	\$32,465	\$1,007	3.2%	4.6%
Cohort 2018-19			\$30,588	\$31,549	\$32,556	\$1,007	3.2%	5.1%
Cohort 2019-20				\$31,817	\$32,824	\$1,007	3.2%	5.2%
Cohort 2020-21					\$33,149	\$1,332	4.2%	4.7%
<b>HOUSING AND DINING (rates for previous Tuition Guarantee cohorts continue to be frozen)</b>								
Housing (Rate I plan)	\$6,560	\$7,526	\$7,638	\$7,806	\$8,000	\$194	2.5%	4.0%
Dining (Gray 10 plan)	\$758	\$844	\$856	\$874	\$896	\$22	2.5%	3.4%
Total - housing and dining	\$7,318	\$8,370	\$8,494	\$8,680	\$8,896	\$216	2.5%	4.0%

**UNDERGRADUATE TUITION & FEES - ATI**

DESCRIPTION	FY 2015	FY 2018	FY 2019	FY 2020	FY 2021	1-year change	5 YEAR CAGR	
<b>BASE (RESIDENT) TUITION AND MANDATORY FEES</b>								
Continuing (pre-Guarantee)	\$7,104	\$7,104	\$7,104	\$7,104	\$7,104	\$0	0.0%	NA
Cohort 2017-18		\$7,517	\$7,517	\$7,517	\$7,517	\$0	0.0%	1.1%
Cohort 2018-19			\$7,608	\$7,608	\$7,608	\$0	0.0%	1.4%
Cohort 2019-20				\$7,874	\$7,874	\$0	0.0%	2.1%
Cohort 2020-21					\$8,197	\$323	4.1%	2.9%
<b>U.S. NON-RESIDENT TUITION AND FEES</b>								
Continuing (pre-Guarantee)	\$23,604	\$26,208	\$27,120	\$28,081	\$29,088	\$1,007	3.6%	NA
Cohort 2017-18		\$26,621	\$27,533	\$28,494	\$29,501	\$1,007	3.5%	3.3%
Cohort 2018-19			\$27,624	\$28,585	\$29,592	\$1,007	3.5%	3.9%
Cohort 2019-20				\$28,851	\$29,858	\$1,007	3.5%	4.1%
Cohort 2020-21					\$30,181	\$1,330	4.6%	4.3%
<b>INTERNATIONAL TUITION AND FEES</b>								
Continuing (pre-Guarantee)	\$27,228	\$28,140	\$29,052	\$30,013	\$31,020	\$1,007	3.4%	NA
Cohort 2017-18		\$29,549	\$30,461	\$31,422	\$32,429	\$1,007	3.2%	4.6%
Cohort 2018-19			\$30,552	\$31,513	\$32,520	\$1,007	3.2%	5.1%
Cohort 2019-20				\$31,779	\$32,786	\$1,007	3.2%	5.3%
Cohort 2020-21					\$33,109	\$1,330	4.2%	4.7%
<b>HOUSING AND DINING (rates for previous Tuition Guarantee cohorts continue to be frozen)</b>								
Housing (Rate I plan)	\$6,560	\$6,920	\$7,024	\$7,178	\$7,358	\$180	2.5%	2.3%
Dining (Gray 10 plan)	\$758	\$844	\$856	\$874	\$896	\$22	2.5%	3.4%
Total - housing and dining	\$7,318	\$7,764	\$7,880	\$8,052	\$8,254	\$202	2.5%	2.4%

**Undergraduate factors in these totals:**

- Tuition and mandatory fees for new first-year students (2020-21 cohort) in the Tuition Guarantee:
  - 4.1% increase in tuition (instructional and general fees)
  - 0% increase on other mandatory fees.
  - Housing and dining charges included in the guarantee are set out in the Fiscal Year 2021 User Fees and Charges resolution.
- Tuition and mandatory fees for continuing students: 0%. (This applies to continuing undergraduate students who are part of the 2017-18, 2018-19, and 2019-20 cohorts of the Tuition Guarantee and students who began prior to the creation of the guarantee)
- Non-resident surcharge: 4.8% increase
- International surcharge: 0% increase on new and existing students

**Graduate (Master's and Ph.D.) program charges:**

- Tuition and mandatory fees: 0%
- Non-resident surcharge: 4.8% increase, except as noted in Fiscal Year 2021 User Fees and Charges resolution

**Requested of The Board of Trustees:**

Approval of the attached resolution regarding Fiscal Year 2021 tuition and fees.



**The Ohio State University Board of Trustees**  
**June 3, 2020**

Approval of Fiscal Year 2021 Tuition and Mandatory Fee Rates

- I. Background
- II. Tuition and mandatory fees
  - a. Recommended undergraduate and graduate tuition and fees for Columbus
  - b. Recommended undergraduate and graduate tuition and fees for regional campuses, ATI
  - c. Comparison to other selective admission Ohio universities
  - d. Benchmark comparisons
  - e. Recommended distance learning non-resident surcharges
- III. Summary and Conclusions

**I. Considerations in Setting Tuition and Fees**

The university considers many factors in developing the proposed Fiscal Year 2021 tuition and mandatory fee recommendations. These include:

- A. Our commitment to access, affordability and excellence, including
  - Pathways for students to attend Ohio State (all campuses)
  - Controlling costs and providing financial aid to support students
  - Clarity and predictability for incoming Ohio resident students and their families through the Ohio State Tuition Guarantee, which sets base tuition and fees (as well as housing and dining rates) for four years
  - Investments in academic quality
- B. Financial factors we consider
  - State funding and regulatory requirements
    - i. State Share of Instruction
    - ii. Flexibility on resident tuition and fees for continuing students
    - iii. Tuition rates in Ohio State Tuition Guarantee for the 2020-21 cohort of new first-year students only
  - Peer comparisons/competition for students and faculty (See Section II-Part C for a comparison of tuition and mandatory fees among main campuses of Ohio universities.)
  - Inflationary pressures on the university's budget

## II. Tuition

### A. Recommended tuition and mandatory fees effective Autumn semester 2020 (Fiscal Year 2021) - Columbus

#### 1. New First-Year Undergraduates:

- a. Ohio resident students: As members of the 2020-21 cohort of the Ohio State Tuition Guarantee, base tuition and mandatory fees will be frozen for four years for Ohio residents, as will housing and dining rates. Tuition and mandatory fees will be \$11,518, a 3.9% effective increase compared with the 2019-20 tuition guarantee cohort. The total includes a 4.1% increase in tuition (instructional and general fees) and no change to the other mandatory fees (Student Activity, Recreation, Student Union, and COTA).
- b. Non-resident domestic students: Tuition and mandatory fees will be \$33,502, a 4.5% effective increase compared with new first-year students who entered in 2019-20. The total includes the 3.9% increase to the total resident (base) tuition and fees and a 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and fees will be \$36,430, a 4.1% effective increase compared with the 2019-20 tuition guarantee cohort. The total reflects the 3.9% increase to resident (base) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

#### UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2020-21

Description	FY 2020	FY 2021	Change	% Change
<b>Fees:</b>				
Instructional Fees	\$ 10,197	\$ 10,615	\$ 418	4.1%
General	385	401	\$ 16	4.2%
Student Activity	80	80	\$ -	0.0%
Student Union	149	149	\$ -	0.0%
Rec Fee	246	246	\$ -	0.0%
COTA Fee	27	27	\$ -	0.0%
<b>Total Resident</b>	<b>\$ 11,084</b>	<b>\$ 11,518</b>	<b>\$ 434</b>	<b>3.9%</b>
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%
<b>Total Non-Resident Domestic</b>	<b>\$ 32,061</b>	<b>\$ 33,502</b>	<b>\$ 1,441</b>	<b>4.5%</b>
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%
<b>Total Non-Resident Int'l</b>	<b>\$ 34,989</b>	<b>\$ 36,430</b>	<b>\$ 1,441</b>	<b>4.1%</b>

2. Second-Year Undergraduates reflecting the Ohio State Tuition Guarantee (continuing 2019-20 Tuition Guarantee cohort and corresponding Tuition Guarantee-eligible transfer students)
  - a. Ohio resident students: Tuition and fees will remain at \$11,084.
  - b. Non-resident domestic students: Tuition and fees will be \$33,068, a 3.1% effective increase. This total reflects base (resident) tuition and fees and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
  - c. International students: Tuition and fees will be \$35,996, a 2.9% effective increase. This total reflects base (resident) tuition and fees, the 4.8% increase to the non-resident surcharge, and the freeze to the international surcharge.

UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2019-20					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 10,197	\$ 10,197	\$ -	0.0%	
General	385	385	\$ -	0.0%	
Student Activity	80	80	\$ -	0.0%	
Student Union	149	149	\$ -	0.0%	
Rec Fee	246	246	\$ -	0.0%	
COTA Fee	27	27	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 11,084</b>	<b>\$ 11,084</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 32,061</b>	<b>\$ 33,068</b>	<b>\$ 1,007</b>	<b>3.1%</b>	
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 34,989</b>	<b>\$ 35,996</b>	<b>\$ 1,007</b>	<b>2.9%</b>	

3. Third-Year Undergraduates reflecting the Ohio State Tuition Guarantee (continuing 2018-19 Tuition Guarantee cohort and corresponding Tuition Guarantee-eligible transfer students)
- Ohio resident students: Tuition and fees will remain at \$10,726.
  - Non-resident domestic students: Tuition and fees will be \$32,710, a 3.2% effective increase. This total reflects base (resident) tuition and fees and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
  - International students: Tuition and fees will be \$35,638, a 2.9% effective increase. This total reflects base (resident) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2018-19					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 9,852	\$ 9,852	\$ -	0.0%	
General	372	372	\$ -	0.0%	
Student Activity	80	80	\$ -	0.0%	
Student Union	149	149	\$ -	0.0%	
Rec Fee	246	246	\$ -	0.0%	
COTA Fee	27	27	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 10,726</b>	<b>\$ 10,726</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 31,703</b>	<b>\$ 32,710</b>	<b>\$ 1,007</b>	<b>3.2%</b>	
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 34,631</b>	<b>\$ 35,638</b>	<b>\$ 1,007</b>	<b>2.9%</b>	

4. Fourth-Year Undergraduates under the Ohio State Tuition Guarantee (continuing 2017-18 Tuition Guarantee cohort and corresponding Tuition Guarantee-eligible transfer students)
  - a. Ohio resident students: Tuition and mandatory fees will remain at \$10,591.
  - b. Non-resident domestic students: Tuition and mandatory fees will be \$32,575, a 3.2% effective increase. This total reflects base (resident) tuition and fees and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
  - c. International students: Tuition and mandatory fees will be \$35,503, a 2.9% effective increase. This total reflects base (resident) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

<b>UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2017-18</b>					
<b>Description</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>Change</b>	<b>% Change</b>	
<b>Fees:</b>					
Instructional Fees	\$ 9,718	\$ 9,718	\$ -	0.0%	
General	372	372	\$ -	0.0%	
Student Activity	80	80	\$ -	0.0%	
Student Union	149	149	\$ -	0.0%	
Rec Fee	246	246	\$ -	0.0%	
COTA Fee	27	27	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 10,591</b>	<b>\$ 10,591</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 31,568</b>	<b>\$ 32,575</b>	<b>\$ 1,007</b>	<b>3.2%</b>	
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 34,496</b>	<b>\$ 35,503</b>	<b>\$ 1,007</b>	<b>2.9%</b>	

5. Undergraduates not involved in the Tuition Guarantee (Continuing students who began prior to the Tuition Guarantee and corresponding transfer students)
  - a. Ohio resident students: Tuition and mandatory fees will remain at \$10,037, a rate originally set in 2012-13.
  - b. Non-resident domestic students: Tuition and mandatory fees will be \$32,021, a 3.2% effective increase. The total reflects the freeze on base tuition and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
  - c. International students: Tuition and mandatory fees will be \$33,953, a 3.1% effective increase. The total reflects the freeze on base tuition, the 4.8% increase to the non-resident surcharge and the continuing freeze to the international fee (\$1,932 annually for most students) charged at the time they started at the university.

UNDERGRADUATE OHIO STATE PRE-TUITION GUARANTEE					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 9,168	\$ 9,168	\$ -	0.0%	
General	372	372	\$ -	0.0%	
Student Activity	75	75	\$ -	0.0%	
Student Union	149	149	\$ -	0.0%	
Rec Fee	246	246	\$ -	0.0%	
COTA Fee	27	27	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 10,037</b>	<b>\$ 10,037</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 31,014</b>	<b>\$ 32,021</b>	<b>\$ 1,007</b>	<b>3.2%</b>	
International Surcharge	\$ 1,932	\$ 1,932	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 32,946</b>	<b>\$ 33,953</b>	<b>\$ 1,007</b>	<b>3.1%</b>	

6. Graduate (Master's and Ph.D.) Program tuition and mandatory fees
- Graduate students: Tuition and mandatory fees will remain at \$12,425.
  - Non-resident graduate students: Tuition and fees will be \$37,141, a 3.1% effective increase. This reflects a 4.8% increase to the non-resident surcharge for most programs. (See the Fiscal Year 2021 User Fees and Charges resolution and background for information on programs that are not applying the standard increase to the non-resident surcharge.)

GRADUATE (Master's and Ph.D.) - COLUMBUS					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 11,560	\$ 11,560	\$ -	0.0%	
General	368	368	-	0.0%	
Student Activity	75	75	-	0.0%	
Student Union	149	149	-	0.0%	
Rec Fee	246	246	-	0.0%	
COTA Fee	27	27	-	0.0%	
<b>Total Resident</b>	<b>\$ 12,425</b>	<b>\$ 12,425</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 23,584	\$ 24,716	\$ 1,132	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 36,009</b>	<b>\$ 37,141</b>	<b>\$ 1,132</b>	<b>3.1%</b>	
International Surcharge	\$ -	\$ -	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 36,009</b>	<b>\$ 37,141</b>	<b>\$ 1,132</b>	<b>3.1%</b>	

B. Recommended tuition and mandatory fees effective Autumn semester 2020 (Fiscal Year 2021) - Regional Campuses and Agricultural Technical Institute (ATI).

1. New first-year undergraduates.

**Regional Campuses (Lima, Mansfield, Marion and Newark)**

- a. Ohio resident students: As members of the 2020-21 cohort of the Ohio State Tuition Guarantee, base tuition will be frozen for four years, as will applicable housing and dining rates. Tuition (instructional and general fees) will be \$8,237, an increase of 4.1% from the 2019-20 tuition guarantee cohort.
- b. Non-resident domestic students: Tuition and fees will be \$30,221, a 4.6% effective increase compared with new first-year students in 2019-20. The total reflects the 4.1% increase to resident (base) tuition and a 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and fees will be \$33,149, a 4.2% effective increase compared with the 2019-20 tuition guarantee cohort. The total reflects the 4.1% increase to resident (base) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2020-21					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 7,676	\$ 7,991	\$ 315	4.1%	
General	236	246	\$ 10	4.2%	
<b>Total Resident</b>	<b>\$ 7,912</b>	<b>\$ 8,237</b>	<b>\$ 325</b>	<b>4.1%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 28,889</b>	<b>\$ 30,221</b>	<b>\$ 1,332</b>	<b>4.6%</b>	
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 31,817</b>	<b>\$ 33,149</b>	<b>\$ 1,332</b>	<b>4.2%</b>	

**ATI**

- a. Ohio resident students: As members of the 2020-21 cohort of the Ohio State Tuition Guarantee, base tuition will be frozen for four years, as will applicable housing and dining rates. Tuition (instructional and general fees) will be \$8,197, an increase of 4.1% from the 2019-20 tuition guarantee cohort.
- b. Non-resident domestic students: Tuition and fees will be \$30,181, a 4.6% effective increase compared with new first-year students in 2019-20. The total reflects the 4.1% increase to resident (base) tuition and a 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and fees will be \$33,109, a 4.2% effective increase compared with the 2019-20 tuition guarantee cohort. The total reflects the 4.1% increase to resident (base) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

**UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2020-21**

Description	FY 2020	FY 2021	Change	% Change
<b>Fees:</b>				
Instructional Fees	\$ 7,638	\$ 7,951	\$ 313	4.1%
General	236	246	\$ 10	4.2%
<b>Total Resident</b>	<b>\$ 7,874</b>	<b>\$ 8,197</b>	<b>\$ 323</b>	<b>4.1%</b>
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%
<b>Total Non-Resident Domestic</b>	<b>\$ 28,851</b>	<b>\$ 30,181</b>	<b>\$ 1,330</b>	<b>4.6%</b>
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%
<b>Total Non-Resident Int'l</b>	<b>\$ 31,779</b>	<b>\$ 33,109</b>	<b>\$ 1,330</b>	<b>4.2%</b>

2. Second-Year Undergraduates under the Ohio State Tuition Guarantee (continuing 2019-20 Tuition Guarantee cohort and corresponding Tuition Guarantee-eligible transfer students)

**Regional Campuses (Lima, Mansfield, Marion and Newark)**

- a. Ohio resident students: Tuition will remain at \$7,912.
- b. Non-resident domestic students: Tuition will be \$29,896, a 3.5% effective increase. This total reflects base (resident) tuition and fees and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and fees will be \$32,824, a 3.2% effective increase. This total reflects base (resident) tuition and fees, and the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

**UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2019-20**

Description	FY 2020	FY 2021	Change	% Change
<b>Fees:</b>				
Instructional Fees	\$ 7,676	\$ 7,676	\$ -	0.0%
General	236	236	\$ -	0.0%
<b>Total Resident</b>	<b>\$ 7,912</b>	<b>\$ 7,912</b>	<b>\$ -</b>	<b>0.0%</b>
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%
<b>Total Non-Resident Domestic</b>	<b>\$ 28,889</b>	<b>\$ 29,896</b>	<b>\$ 1,007</b>	<b>3.5%</b>
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%
<b>Total Non-Resident Int'l</b>	<b>\$ 31,817</b>	<b>\$ 32,824</b>	<b>\$ 1,007</b>	<b>3.2%</b>

**ATI**

- a. Ohio resident students: Tuition will remain at \$7,874.
- b. Non-resident domestic students: Tuition will be \$29,858, a 3.5% effective increase. This total reflects base (resident) tuition and fees and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and fees will be \$32,786, a 3.2% effective increase. This total reflects base (resident) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.



**UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2019-20**

Description	FY 2020	FY 2021	Change	% Change
<b>Fees:</b>				
Instructional Fees	\$ 7,638	\$ 7,638	\$ -	0.0%
General	236	236	\$ -	0.0%
<b>Total Resident</b>	<b>\$ 7,874</b>	<b>\$ 7,874</b>	<b>\$ -</b>	<b>0.0%</b>
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%
<b>Total Non-Resident Domestic</b>	<b>\$ 28,851</b>	<b>\$ 29,858</b>	<b>\$ 1,007</b>	<b>3.5%</b>
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%
<b>Total Non-Resident Int'l</b>	<b>\$ 31,779</b>	<b>\$ 32,786</b>	<b>\$ 1,007</b>	<b>3.2%</b>

3. Third-Year Undergraduates under the Ohio State Tuition Guarantee (continuing 2018-19 Tuition Guarantee cohort and corresponding Tuition Guarantee-eligible transfer students)

**Regional Campuses (Lima, Mansfield, Marion and Newark)**

- a. Ohio resident students: Tuition will remain at \$7,644.
- b. Non-resident domestic students: Tuition will be \$29,628, a 3.5% effective increase. This total reflects base (resident) tuition and fees and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and fees will be \$32,556, a 3.2% effective increase. This total reflects base (resident) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

**UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2018-19**

Description	FY 2020	FY 2021	Change	% Change
<b>Fees:</b>				
Instructional Fees	\$ 7,416	\$ 7,416	\$ -	0.0%
General	228	228	\$ -	0.0%
<b>Total Resident</b>	<b>\$ 7,644</b>	<b>\$ 7,644</b>	<b>\$ -</b>	<b>0.0%</b>
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%
<b>Total Non-Resident Domestic</b>	<b>\$ 28,621</b>	<b>\$ 29,628</b>	<b>\$ 1,007</b>	<b>3.5%</b>
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%
<b>Total Non-Resident Int'l</b>	<b>\$ 31,549</b>	<b>\$ 32,556</b>	<b>\$ 1,007</b>	<b>3.2%</b>

**ATI**

- a. Ohio resident students: Tuition will remain at \$7,608.
- b. Non-resident domestic students: Tuition will be \$29,592, a 3.5% effective increase. This total reflects base (resident) tuition and fees and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and fees will be \$32,520, a 3.2% effective increase. This total reflects base (resident) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

<b>UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2018-19</b>					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 7,380	\$ 7,380	\$ -	0.0%	
General	228	228	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 7,608</b>	<b>\$ 7,608</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 28,585</b>	<b>\$ 29,592</b>	<b>\$ 1,007</b>	<b>3.5%</b>	
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 31,513</b>	<b>\$ 32,520</b>	<b>\$ 1,007</b>	<b>3.2%</b>	

- 4. Fourth-Year Undergraduates under the Ohio State Tuition Guarantee (continuing 2017-18 Tuition Guarantee cohort and Tuition Guarantee-eligible transfer students)

**Regional Campuses (Lima, Mansfield, Marion and Newark)**

- a. Ohio resident students: Tuition will remain at \$7,553.
- b. Non-resident domestic students: Tuition will be \$29,537, a 3.5% effective increase. This total aligns base (resident) tuition and fees with the corresponding cohort of the Tuition Guarantee and reflects the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and mandatory fees will be \$32,465, a 3.2% effective increase. This total reflects base (resident) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

<b>UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2017-18</b>					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 7,325	\$ 7,325	\$ -	0.0%	
General	228	228	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 7,553</b>	<b>\$ 7,553</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 28,530</b>	<b>\$ 29,537</b>	<b>\$ 1,007</b>	<b>3.5%</b>	
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 31,458</b>	<b>\$ 32,465</b>	<b>\$ 1,007</b>	<b>3.2%</b>	

**ATI**

- a. Ohio resident students: Tuition will remain at \$7,517.
- b. Non-resident domestic students: Tuition and fees will be \$29,501, a 3.5% effective increase. This total aligns base (resident) tuition with the corresponding cohort of the Tuition Guarantee and reflects the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and mandatory fees will be \$32,429, a 3.2% effective increase. This total reflects base (resident) tuition and fees, the 4.8% increase to the non-resident surcharge and the freeze to the international surcharge.

<b>UNDERGRADUATE OHIO STATE TUITION GUARANTEE - COHORT 2017-18</b>					
<b>Description</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>Change</b>	<b>% Change</b>	
<b>Fees:</b>					
Instructional Fees	\$ 7,289	\$ 7,289	\$ -	0.0%	
General	228	228	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 7,517</b>	<b>\$ 7,517</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 28,494</b>	<b>\$ 29,501</b>	<b>\$ 1,007</b>	<b>3.5%</b>	
International Surcharge	\$ 2,928	\$ 2,928	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 31,422</b>	<b>\$ 32,429</b>	<b>\$ 1,007</b>	<b>3.2%</b>	

- 5. Undergraduates not involved in the Tuition Guarantee (Continuing students who began prior to the Tuition Guarantee and corresponding transfer students)

**Regional Campuses (Lima, Mansfield, Marion and Newark)**

- a. Ohio resident students: Tuition will remain at \$7,140.
- b. Non-resident domestic students: Tuition and fees will be \$29,124, a 3.6% effective increase. This total reflects base (resident) tuition and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and mandatory fees will be \$31,056, a 3.4% effective increase. The total reflects the freeze on base tuition, the 4.8% increase to the non-resident surcharge and the continuing freeze to the international fee (\$1,932 annually for most students) charged at the time they started at the university.

UNDERGRADUATE OHIO STATE PRE-TUITION GUARANTEE					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 6,912	\$ 6,912	\$ -	0.0%	
General	228	228	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 7,140</b>	<b>\$ 7,140</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 28,117</b>	<b>\$ 29,124</b>	<b>\$ 1,007</b>	<b>3.6%</b>	
International Surcharge	\$ 1,932	\$ 1,932	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 30,049</b>	<b>\$ 31,056</b>	<b>\$ 1,007</b>	<b>3.4%</b>	

#### ATI

- a. Ohio resident students: Tuition and fees will remain at \$7,104.
- b. Non-resident domestic students: Tuition and fees will be \$29,088, a 3.6% effective increase. This total reflects base (resident) tuition and the 4.8% increase to the non-resident surcharge. The State of Ohio requires a non-resident surcharge.
- c. International students: Tuition and mandatory fees will be \$31,020, a 3.4% effective increase. The total reflects the freeze on base tuition, the 4.8% increase to the non-resident surcharge and the continuing freeze to the international fee (\$1,932 annually for most students) charged at the time they started at the university.

UNDERGRADUATE OHIO STATE PRE-TUITION GUARANTEE					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 6,876	\$ 6,876	\$ -	0.0%	
General	228	228	\$ -	0.0%	
<b>Total Resident</b>	<b>\$ 7,104</b>	<b>\$ 7,104</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 20,977	\$ 21,984	\$ 1,007	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 28,081</b>	<b>\$ 29,088</b>	<b>\$ 1,007</b>	<b>3.6%</b>	
International Surcharge	\$ 1,932	\$ 1,932	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 30,013</b>	<b>\$ 31,020</b>	<b>\$ 1,007</b>	<b>3.4%</b>	

6. Graduate (Master's and Ph.D.) Program tuition and mandatory Fees – Regionals (no ATI)
  - a. Graduate students: Tuition will remain at \$11,736
  - b. Non-resident graduate students: Tuition and fees will be \$36,452, a 3.2% effective increase. This total reflects base tuition and a 4.8% increase in non-resident surcharge.

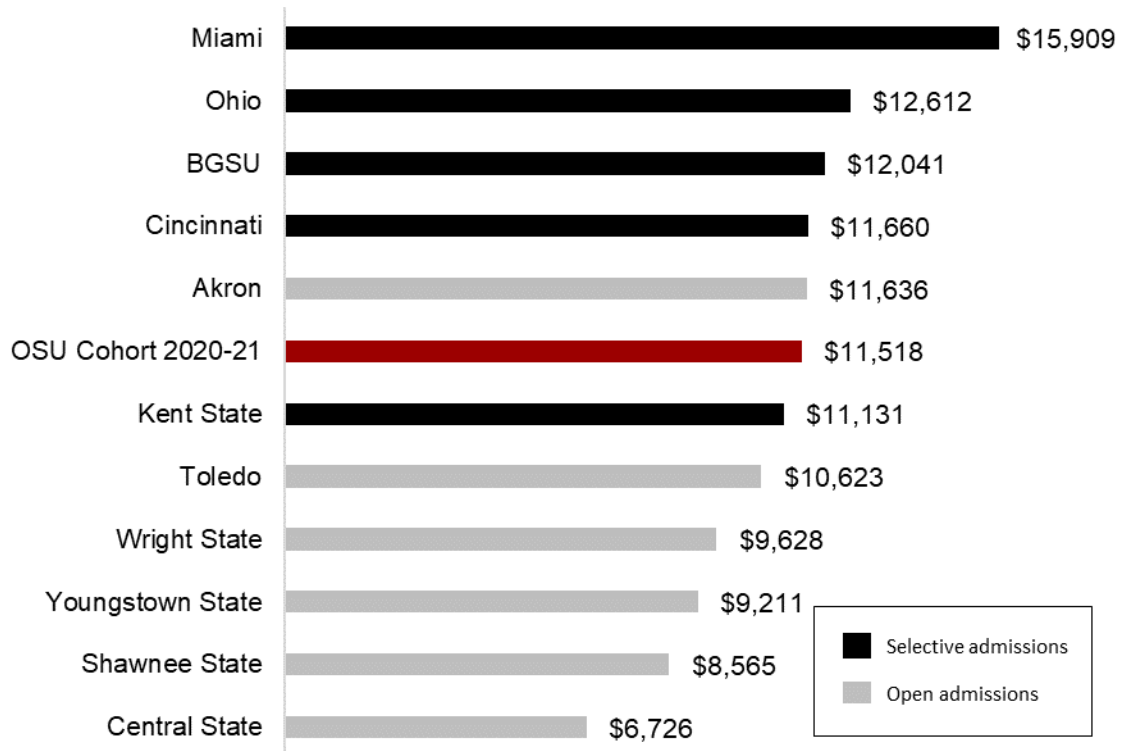
GRADUATE (Master's and Ph.D.) - REGIONAL					
Description	FY 2020	FY 2021	Change	% Change	
<b>Fees:</b>					
Instructional Fees	\$ 11,496	\$ 11,496	\$ -	0.0%	
General	240	240	-	0.0%	
<b>Total Resident</b>	<b>\$ 11,736</b>	<b>\$ 11,736</b>	<b>\$ -</b>	<b>0.0%</b>	
Non-Resident Surcharge	\$ 23,584	\$ 24,716	\$ 1,132	4.8%	
<b>Total Non-Resident Domestic</b>	<b>\$ 35,320</b>	<b>\$ 36,452</b>	<b>\$ 1,132</b>	<b>3.2%</b>	
International Surcharge	\$ -	\$ -	\$ -	0.0%	
<b>Total Non-Resident Int'l</b>	<b>\$ 35,320</b>	<b>\$ 36,452</b>	<b>\$ 1,132</b>	<b>3.2%</b>	

C. Comparisons to other Ohio selective admissions public universities

The charts below outline undergraduate tuition and mandatory fees for Ohio public universities for Autumn semester 2019 (Fiscal Year 2020).

With Ohio State's proposed 4.1% change to resident (base) tuition for the incoming cohort of the Ohio State Tuition Guarantee, the university would be the second most affordable for resident students among selective admission public universities.

### Ohio - Resident tuition and fees

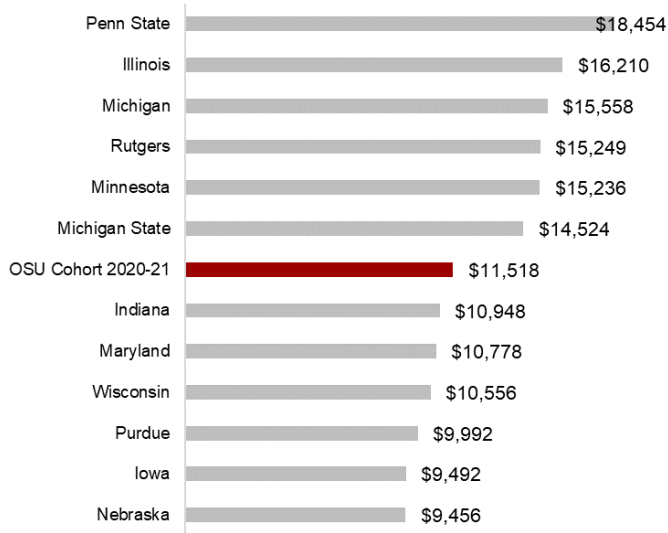


D. Comparison to benchmark universities

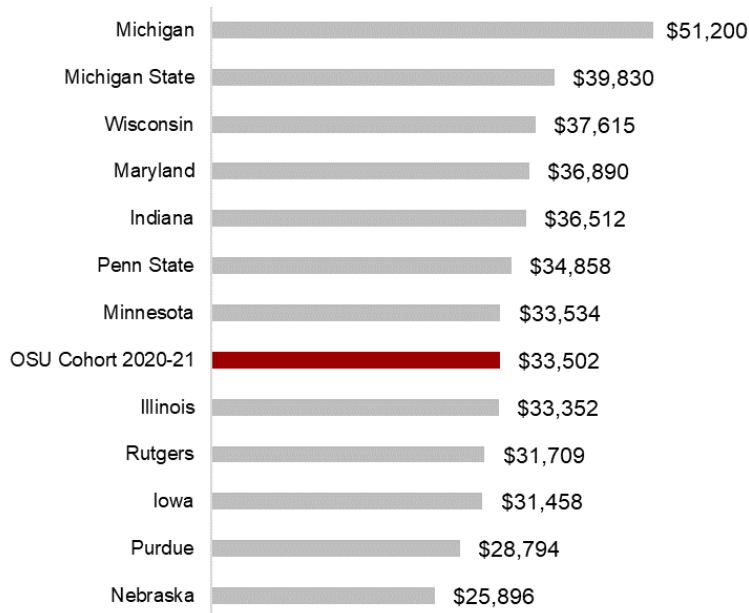
The charts below outline tuition and mandatory fees for Big Ten schools for 2019-20 (Fiscal Year 2020), along with the proposed Ohio State rate for the 2020-21 Tuition Guarantee cohort (Fiscal Year 2021).

Ohio State is near the median among the 13 public Big Ten schools. Resident tuition and fees are more affordable than six Big Ten peers, while non-resident tuition and fees are more affordable than seven schools.

**Big Ten - Resident tuition & fees**



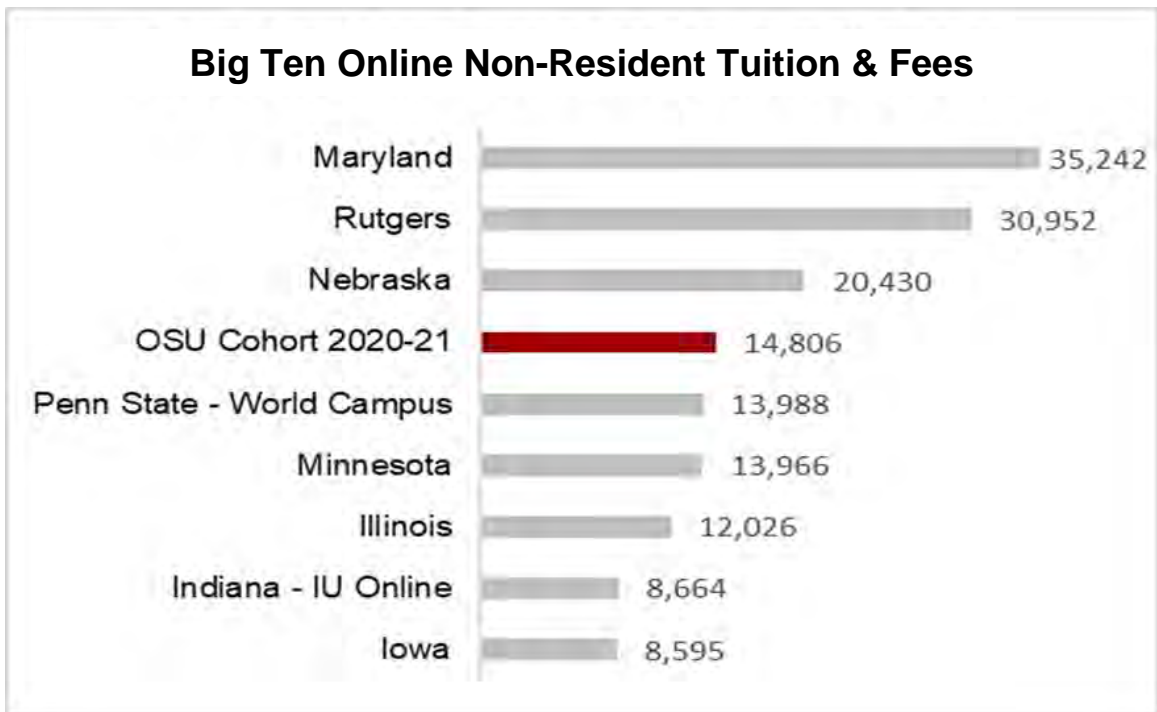
**Big Ten - Non-resident tuition & fees**



E. Distance Learning non-resident surcharge

The chart below is a cost comparison of total tuition and mandatory fees for freshman summarizing the addition of the increased online non-resident fee. For Fiscal Year 2021, OSU would be the sixth most affordable in comparison to Big Ten universities using Fiscal Year 2020 peer data.

	Freshmen	Continuing Students	Notes
Base tuition	4.1% frozen for 4 yrs (Tuition Guarantee)	0%	Cap - 2.0% + CPI – 2.1%
Non-resident surcharge	\$3,580 Online or \$390 Degree / Certificate		Increase to market





## FY21 Proposed Online Degree Programs / Certificate Fees (per academic year)

Undergraduate	Program Specific Fees						Non-Resident Surcharge	Non-Resident Total
	Instructional Fees	General	Distance Education Fee	Program	Other	Resident Total		
Associate of Arts in Early Childhood Development and Education	\$ 10,615.00	\$ 401.00	\$ 200.00	\$ -	\$ -	\$ 11,216.00	\$ 400.00	\$ 11,616.00
Certificate in Essential Skills for Travel and Business in Russia	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Bachelor of Science, Dental Hygiene	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Healthcare Environmental and Hospitality Services	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
AS to Bachelor of Science in Radiological Sciences	10,615.00	401.00	200.00	372.00	-	11,588.00	400.00	11,988.00
Assistive and Rehabilitative Technology Undergraduate Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Bachelor of Science in Health Sciences	10,615.00	401.00	200.00	400.00	-	11,616.00	400.00	12,016.00
Nursing in School Health Services Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Primary Care Academic Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
Registered Nurses in Primary Care Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
RN to Bachelor of Science in Nursing	10,615.00	401.00	200.00	380.00	1,144.00	12,740.00	400.00	13,140.00
School Nurse Professional Pupil Services Licensure Certificate	10,615.00	401.00	200.00	-	-	11,216.00	400.00	11,616.00
<b>Graduate</b>								
Diversity, Equity and Inclusion	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Arts in Art Education	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Dental Hygiene	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Core Practices in World Language Education	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Science in Learning Technologies	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
* Master of Engineering Management	16,704.00	368.00	200.00	-	-	17,272.00	400.00	17,672.00
* Master of Global Engineering Leadership	16,704.00	368.00	200.00	-	-	17,272.00	400.00	17,672.00
Master of Science in Welding Engineering	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master in Plant Health Management	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
* Master of Science in Agricultural Communication, Education, and Leadership	14,344.00	368.00	200.00	-	-	14,912.00	400.00	15,312.00
* Specialized Master in Business Analytics	34,820.80	368.00	200.00	-	-	35,388.80	400.00	35,788.80
Assistive and Rehabilitative Technology Graduate Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Biomedical Informatics Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Arts in Bioethics	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Science in Translational Pharmacology	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
* Doctor of Nursing Practice	15,560.00	368.00	380.00	2,061.84	380.00	18,749.84	400.00	19,149.84
Health and Wellness Coaching Graduate Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Healthcare Leadership and Innovation Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Clinical Research	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Healthcare Innovation	11,560.00	368.00	200.00	2,124.32	380.00	14,632.32	400.00	15,032.32
Master of Science, Nursing	11,560.00	368.00	200.00	2,499.20	380.00	15,007.20	400.00	15,407.20
Nurse Coaching Graduate Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Nurse Educator Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
School Nurse Wellness Coordinator Certificate	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Science in Pharmaceutical Sciences	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Criminal Justice Administration Certificate	11,560.00	368.00	200.00	208.00	360.00	12,696.00	400.00	13,096.00
Master of Public Administration and Leadership	11,560.00	368.00	200.00	322.00	360.00	12,810.00	400.00	13,210.00
Public Management Certificate	11,560.00	368.00	200.00	208.00	360.00	12,696.00	400.00	13,096.00
Master of Social Work	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00
Master of Social Work ASAP	11,560.00	368.00	200.00	-	-	12,128.00	400.00	12,528.00

\* Differential Fee Paying Categories; Fees listed are for students starting in FY21

### III. Summary and Conclusions

- A. Ohio State is freezing base tuition and fees for continuing Ohio resident students, including:
  - a. Students who are part of the first three cohorts of the Ohio State Tuition Guarantee (2017-18, 2018-19 and 2019-20). The program provides each incoming cohort of first-year Ohio resident students with a four-year freeze on base tuition and mandatory fees (along with housing and dining rates).
  - b. Students who began prior to the creation of the Ohio State Tuition Guarantee. They will pay tuition and fees at a rate that has been frozen since 2012-13.
- B. The Ohio State Tuition Guarantee will continue into its fourth year in Fiscal Year 2021, with a 4.1% increase on resident base tuition (instructional and general fees). Because other mandatory fees are frozen, the total cost of resident tuition and mandatory fees will increase 3.9% on the Columbus campus and 4.1% for regional campuses and ATI, compared with the previous cohort. These rates will be frozen for four years.
- C. The 4.8% increase to the non-resident surcharge will be implemented for undergraduate students and most graduate programs. Selective professional programs (Law, Optometry, Dentistry, Medicine, and Veterinary Medicine) will not be implementing this level of increase due to market considerations.
- D. The international surcharge will not increase.

- E. The distance learning non-resident surcharge will increase by \$3,580 for a student taking all online course(s) over an entire semester and not pursuing an online degree or certificate program.
- F. The distance learning non-resident surcharge will increase by \$390 for a student pursuing an online degree or certificate program.

**APPROVAL OF FISCAL YEAR 2021  
USER FEES AND CHARGES**

Synopsis: User fees and charges at the Columbus and regional campuses of The Ohio State University for Fiscal Year 2021, is proposed.

WHEREAS the Board of Trustees of The Ohio State University supports the university's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS the university is committed to access, affordability and excellence; and

WHEREAS consultations have taken place within the university to determine the appropriate fees for graduate and professional programs, housing and dining charges, and student health insurance charges, as described in the accompanying text and tables, which have been reviewed and recommended:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the recommendation of the administration for the following rates, which are outlined in the attached document and will be effective Autumn semester 2020:

- Graduate and professional fees, including differential instruction, clinic and tuition deposit, as well as the non-resident surcharge; and
- Housing and dining plans; and
- Student health insurance plan.

**The Ohio State University Board of Trustees**  
**June 3, 2020**

**Topic:**

User Fees and Charges for Fiscal Year 2021

**Context:**

Beyond tuition and mandatory fees, the university charges other fees for specific purposes, including student housing, dining and health insurance, as well as to support graduate and professional programs.

Differential fees for graduate and professional programs are market-based and reflect the need to continually invest in the academic excellence of Ohio State programs. Proposals for Fiscal Year 2021 include new or increased differential fees for nine programs, three new program fees and specific fees in two other programs.

Housing and dining fees support those operations, including the associated debt service and maintenance costs. The proposed 2.5% increases for housing and dining would apply to new first-year Ohio residents in the 2020-21 Tuition Guarantee cohort and to continuing students who enrolled prior to 2017-18 when the guarantee program was established. The proposed rates would be frozen for four years for Ohio resident students in the 2020-21 Tuition Guarantee cohort.

Ohio State requires students to carry health insurance, but most are not required to use the university's health plans. The proposed 1.5% increase reflects the cost charged by a third-party vendor. The university uses the fee to cover the costs of the third-party provider.

**Summary:**

- Approval of differential, program, deposit, clinical fees for graduate and professional programs
- Approval of housing and dining rates
- Approval of student health insurance rates

**Requested of Board of Trustees:**

Approval of the attached resolution regarding Fiscal Year 2021 User Fees and Charges

**The Ohio State University Board of Trustees  
June 3, 2020**

Approval of Fiscal Year 2021 User Fees and Charges

- I. Graduate and professional programs:
  - A. Differential instruction and non-resident surcharge fees
  - B. Tuition deposit and clinical
- II. Housing and dining plans and rates
- III. Student health insurance

**I. Differential Fee Requests and Specific Professional School Non-Resident Surcharge, Deposit and Clinical requests**

**A. Differential Instruction Fees**

University policy allows professional and Ohio Department of Higher Education-approved tagged master's programs to charge differential instructional fee rates based on market demand and pricing.

Nine programs are seeking increases or new differential instruction fees:

- Six colleges — Business, Dentistry, Law, Optometry, Pharmacy and Veterinary Medicine — have requested increases.
- Three colleges — Graduate School, Engineering and Medicine — have requested new differentials for existing programs.

In addition, five colleges are seeking lower-than-standard increases to the non-resident surcharge or to hold it flat. These changes would apply instead of the standard increase (4.8%) proposed for Fiscal Year 2020:

- Two colleges — Optometry Rank 1 and Veterinary Medicine Rank 1 — have requested to hold the non-resident surcharge flat.
- The College of Dentistry has requested a 3.0% increase
- The College of Law has requested a 2.0% increase.
- Two Colleges — Optometry Rank 2-4 and Veterinary Medicine Rank 2-4 — have requested to reduce the non-resident surcharge to \$5.

Each case reflects the college's response to market conditions.

College	Fee Name	Current	Proposed	Proposed Increase	Proposed Increase %	Non-Resident: Current	Non-Resident: Proposed	Non-Resident: Proposed Increase
Graduate School	Masters of Translational Data Analytics (PSM-TDA)	N/A New program	\$9,530	N/A New program	N/A New program	N/A New program	\$12,358	N/A New program
Engineering	Master of Engineering Management (MEM)	N/A New program	\$35,160 (One-time fee-entire degree)	N/A New program	N/A New program	N/A New program	\$5	N/A New program
Medicine	Master of Dietetics and Nutrition (MDN)	N/A New program	\$6,196	N/A New program	N/A New program	N/A New program	\$12,358	N/A New program
Business	Master of Human Resource Management	\$8,100	\$8,505	\$405	5.0%	\$10,841	\$11,361	4.8%
Dentistry	Dentistry - Rank 1	See Dentistry section, which includes differential and clinic fee proposals						
	Dentistry - Ranks 2-4							
Law	Doctor of Jurisprudence (J.D.)	\$15,292	\$15,598	\$306	2.0%	\$7,476	\$7,626	2.0%
	Master in Study of Law (MSL)	\$8,092	\$8,092	\$0	0.0%	\$7,476	\$7,626	2.0%
Optometry	Optometry - Rank 1	\$13,468	\$13,468	\$0	0.0%	\$10,528	\$10,528	0.0%
	Optometry - Rank 2	\$13,468	\$13,468	\$0	0.0%	\$10,528	\$5	-100.0%
	Optometry - Ranks 3-4	\$11,956	\$11,956	\$0	0.0%	\$8,912	\$5	-99.9%
Pharmacy	Pharmacy Ranks 1-4	\$11,696	\$12,164	\$468	4.0%	\$12,417	\$13,013	4.8%
Veterinary Medicine	Veterinary Medicine - Rank 1	\$15,768	\$16,084	\$316	2.0%	\$19,668	\$19,668	0.0%
	Veterinary Medicine - Ranks 2-4	\$15,768	\$16,084	\$316	2.0%	\$19,668	\$5	-100.0%

The following chart lists all programs that have differential fees from the view of an academic year — which is either two or three semesters, based on the requirements of the program. Instructional and mandatory fees are paid by both Ohio resident and non-resident students. The non-resident surcharge is paid by students from outside Ohio.

## Differential Fees - Complete List

College	Program	Resident Tuition & Mandatory Fees (per Academic Year)				Non-Resident Tuition & Mandatory Fees (per Academic Year)			
		FY 2020	FY 2021	Change	% Change	FY 2020	FY 2021	Change	% Change
Arts & Sciences	Doctor of Audiology	\$13,001	<b>\$13,001</b>	\$0	0.0%	\$36,585	<b>\$36,585</b>	\$0	0.0%
	Master of Speech-Language Pathology	\$13,001	<b>\$13,001</b>	\$0	0.0%	\$36,585	<b>\$36,585</b>	\$0	0.0%
Business	Graduate Minor in Business for Health Sciences	\$12,076	<b>\$12,076</b>	\$0	0.0%	\$12,081	<b>\$12,081</b>	\$0	0.0%
	Master of Accounting	\$32,321	<b>\$32,321</b>	\$0	0.0%	\$55,905	<b>\$55,905</b>	\$0	0.0%
	Master of Business Administration (MBA)	\$30,617	<b>\$30,617</b>	\$0	0.0%	\$54,201	<b>\$54,201</b>	\$0	0.0%
	Master of Business Administration - Working Professional	\$39,013	<b>\$39,013</b>	\$0	0.0%	\$70,441	<b>\$70,441</b>	\$0	0.0%
	Master of Business Logistics Engineering (MBLE)	\$42,073	<b>\$42,073</b>	\$0	0.0%	\$73,501	<b>\$73,501</b>	\$0	0.0%
	Master of Human Resource Management (MHRM)	\$17,065	<b>\$17,875</b>	\$810	4.7%	\$38,747	<b>\$40,597</b>	\$1,850	4.8%
	Specialized Masters in Business - Finance	\$56,129	<b>\$56,129</b>	\$0	0.0%	\$56,139	<b>\$56,139</b>	\$0	0.0%
	Master of Business Administration - Executive	\$115,000	<b>\$115,000</b>	\$0	0.0%	\$115,000	<b>\$115,000</b>	\$0	0.0%
	Master of Business Operational Excellence (MBOE)	\$54,584	<b>\$54,584</b>	\$0	0.0%	\$54,599	<b>\$54,599</b>	\$0	0.0%
	Graduate Business Leadership Certificate	\$25,000	<b>\$25,000</b>	\$0	0.0%	\$0	<b>\$0</b>	\$0	0.0%
	Specialized Master of Business - Analytics	\$52,000	<b>\$52,000</b>	\$0	0.0%	\$52,015	<b>\$52,015</b>	\$0	0.0%
Dentistry	Dentistry - Rank 1	\$37,205	<b>\$39,385</b>	\$2,180	5.9%	\$79,625	<b>\$83,077</b>	\$3,452	4.3%
	Dentistry - Ranks 2-4	\$49,117	<b>\$50,797</b>	\$1,680	3.4%	\$105,541	<b>\$108,913</b>	\$3,372	3.2%
Engineering	Master of Engineering Management (MEM)	NA	<b>\$35,160</b>	NA	NA	NA	<b>\$35,185</b>	NA	NA
	Master of Global Engineering Leadership (MGEL)-DL	<b>\$38,000</b>	<b>\$38,000</b>	\$0	0.0%	\$38,025	<b>\$38,025</b>	\$0	0.0%
FAES	Master of Ag and Extension Education	\$22,368	<b>\$22,368</b>	\$0	0.0%	\$22,383	<b>\$22,383</b>	\$0	0.0%
Graduate Scholol	Masters of Translational Data Analytics (PSM-TDA)	NA	<b>\$19,925</b>	NA	NA	NA	<b>\$44,641</b>	NA	NA
Law	Doctor of Jurisprudence (J.D.)	\$31,449	<b>\$32,061</b>	\$612	1.9%	\$46,401	<b>\$47,313</b>	\$912	2.0%
	Master in Study of Law (MSL)	\$17,049	<b>\$17,049</b>	\$0	0.0%	\$32,001	<b>\$32,301</b>	\$300	0.9%
Medicine	Medicine	\$30,505	<b>\$30,505</b>	\$0	0.0%	\$54,283	<b>\$54,283</b>	\$0	0.0%
	Master of Dietetics and Nutrition (MDN)	NA	<b>\$13,257</b>	NA	NA	NA	<b>\$37,973</b>	NA	NA
	Master of Genetic Counseling	\$30,001	<b>\$30,001</b>	\$0	0.0%	\$48,940	<b>\$48,940</b>	\$0	0.0%
	Doctor of Occupational Therapy	\$20,905	<b>\$20,905</b>	\$0	0.0%	\$49,465	<b>\$49,465</b>	\$0	0.0%
Nursing	Doctor of Physical Therapy	\$20,797	<b>\$20,797</b>	\$0	0.0%	\$50,728	<b>\$50,728</b>	\$0	0.0%
	Doctor of Nursing Practice Program-On-Line	\$28,211	<b>\$28,211</b>	\$0	0.0%	\$28,226	<b>\$28,226</b>	\$0	0.0%
	Doctor of Nursing Practice Program-On Campus	\$28,985	<b>\$28,985</b>	\$0	0.0%	\$64,361	<b>\$64,361</b>	\$0	0.0%
	Master of Science in Nursing Program	\$28,985	<b>\$28,985</b>	\$0	0.0%	\$64,361	<b>\$64,361</b>	\$0	0.0%
Optometry	Optometry - Ranks 1	\$27,801	<b>\$27,801</b>	\$0	0.0%	\$48,857	<b>\$48,857</b>	\$0	0.0%
	Optometry - Ranks 2-3	\$41,701	<b>\$41,701</b>	\$0	0.0%	\$73,285	<b>\$41,716</b>	-\$31,569	-43.1%
	Optometry - Ranks 4	\$24,737	<b>\$24,737</b>	\$0	0.0%	\$42,561	<b>\$24,747</b>	-\$17,814	-41.9%
Pharmacy	Pharmacy Ranks 1-4	\$24,257	<b>\$25,193</b>	\$936	3.9%	\$49,091	<b>\$51,219</b>	\$2,128	4.3%
Public Health	Master of Public Health	\$13,257	<b>\$13,257</b>	\$0	0.0%	\$36,841	<b>\$36,841</b>	\$0	0.0%
	Program for Experienced Professionals	\$13,257	<b>\$13,257</b>	\$0	0.0%	\$36,841	<b>\$36,841</b>	\$0	0.0%
	Master of Health Administration	\$14,833	<b>\$14,833</b>	\$0	0.0%	\$38,417	<b>\$38,417</b>	\$0	0.0%
Social Work	Master of Social Work	\$13,097	<b>\$13,097</b>	\$0	0.0%	\$36,681	<b>\$36,681</b>	\$0	0.0%
Veterinary Medicine	Veterinary Medicine - Ranks 1	\$32,401	<b>\$33,033</b>	\$632	2.0%	\$71,737	<b>\$72,369</b>	\$632	0.9%
	Veterinary Medicine - Ranks 2-4	\$32,401	<b>\$33,033</b>	\$632	2.0%	\$71,737	<b>\$33,043</b>	-\$38,694	-53.9%

### B. Other fees: Program, tuition deposit, and clinical

In addition to the differential instructional fees, two colleges have proposed other changes to their fees:

- Dentistry is seeking to increase a clinical fee for Rank 1 from \$1,993 to \$2,202 and Rank 2-4 from \$1,636 to \$1,751
- Arts and Sciences Master of Actuarial and Quantitative Risk Management is seeking to implement a deposit fee for applicants of \$300. The fee is applied toward tuition for students who enroll at Ohio State.

Both requests are outlined in greater detail below.



**Below is the market-related information and the basis for each graduate or professional college requesting to increase a fee or fees:**

Fisher College of Business

- Requests a \$405 increase for the Master of Human Resource Management (MHRM)

The program attracts students from the U.S. and abroad (e.g., Asia, South America, Africa). The MHRM program is 13th in [eduniversal-ranking.com](http://eduniversal-ranking.com) 2019 rankings and 18th in [collegechoice.net](http://collegechoice.net) 2018 rankings of Human Resource Management programs in the U.S. It is included in [predictiveanalyticstoday.com](http://predictiveanalyticstoday.com) list of Top Human Resources Masters Programs 2019. Our program’s resident tuition is quite a bit below that of our benchmark MHRM programs (e.g., Illinois, Rutgers, Minnesota, Michigan State).

The program curriculum is aligned with the Society for Human Resource Management (SHRM) Human Resource Curriculum Guidelines recommended for HR education at the graduate level. SHRM is the primary professional organization for HR professionals.

College of Dentistry

- Requests a 6.0% (Rank 1) / 3.5% (Rank 2-4) increase in differential instructional fees
- Requests a 3.0% increase in the non-resident surcharge, rather than the university’s proposed 4.8% increase
- Requests a 10.5% (Rank 1) / 7.0% (Rank 2-4) increase in clinical fees

Dentistry has two different sets of rates. Rank 1 students attend for two semesters and are predominately attending lectures. While Ranks 2-4 students attend three semesters per year and are in clinical settings that have higher costs associated with instruction.

The proposed increases are necessary to provide adequate financial resources to fund the increasing costs of education and patient care programs, which are highly dependent on specially trained personnel and advanced technologies. The college is requesting less than the university’s 4.8% increase for the non-resident surcharge to remain competitive with our peers on a cost basis.

Two-thirds of the 66 U.S. dental schools have higher first-year tuition costs than the college. The college ranks 46<sup>th</sup> out of the 66 peers. The requested increase is consistent with recent increases in other Big Ten dental schools and positions the college as the fourth most affordable for first-year students and seventh most affordable for the second through fourth-year students. Ohio State has the fourth largest dental school in the United States.

Dentistry charges a clinical fee that is applied towards modernizing instruments, technology and services (such as sterilization) that is essential to attract top students and faculty while remaining compliant with the Commission on Dental Accreditation standards.

College	Fee Name	Current	Proposed	Proposed Increase	Proposed Increase %	Non-Resident: Current	Non-Resident: Proposed	Non-Resident: Proposed Increase
<b>Differentials:</b>		<b>Differentials</b>						
Dentistry	Dentistry - Rank 1	\$18,170	\$19,260	\$1,090	6.0%	\$21,210	\$21,846	3.0%
	Dentistry - Ranks 2-4	\$15,960	\$16,520	\$560	3.5%	\$18,808	\$19,372	3.0%
<b>Clinic Fees</b>		<b>Clinic Fees</b>						
Dentistry	Dentistry - Rank 1	\$1,993	\$2,202	\$209	10.5%	NA	NA	NA
	Dentistry - Ranks 2-4	\$1,636	\$1,751	\$115	7.0%	NA	NA	NA
<b>Overall:</b>		<b>Overall</b>						
Dentistry	Dentistry - Rank 1	\$20,163	\$21,462	\$1,299	6.4%	\$21,210	\$21,846	3.0%
	Dentistry - Ranks 2-4	\$17,596	\$18,271	\$675	3.8%	\$18,808	\$19,372	3.0%

### College of Law

- Requests a 2.0% increase in differential instructional fees for Doctor of Jurisprudence (J.D.)
- Requests a 2.0% increase in the non-resident surcharge, rather than the university's proposed 4.8% increase for Doctor of Jurisprudence (J.D.) and Master in Study of Law (MSL)

The college's overall ranking, according to *U.S. News and World Report*, is tied at 38. As the college cultivates the high quality of incoming students, it is working to boost its rankings to attract highly qualified students further. The increased instructional fee will allow the college to continue its strategy to offer financial aid to attract those high-quality students.

The college is competitive with peers as it relates to the instructional fee. However, the non-resident tuition as it stands ranks the college on the high side. The request to increase its non-resident at a lesser rate than the university's proposed 4.8% increase will allow the College of Law's competitive ranking in comparison to peers that raise their non-resident charge.

### College of Optometry

- Requests a 0% increase in the non-resident surcharge for Rank 1, rather than the university's proposed 4.8% increase
- Requests a reduction in non-resident surcharge for Ranks 2-4 to \$5, rather than the university's proposed 4.8% increase effective summer 2020

Historically, most students receive in-state status after the completion of year one, the proposed reduction in non-resident surcharge for Ranks 2-4 to \$5 will provide the college the opportunity to provide clearer financial outlook to incoming students and increases the likelihood the college can compete with other programs around the country for the most academically prepared and inclusively diverse students. The proposal ranks us as the 8th lowest four-year total non-resident cost of attendance of the 23 schools and 3rd lowest among our regional peers.

### College of Pharmacy

- Requests a 4.0% increase in differential instructional fees

This increase request is to enhance learning in the Doctor of Pharmacy (PharmD) program by investing in instruction, student services and facilities. It will be used to meet increased teaching needs associated with implementing the new Pharmacy curriculum.

The college's overall ranking, according to *U.S. News and World Report* is tied at 7 with five other pharmacy universities. There are 2 Big 10 schools (Minnesota and Michigan) that are currently ranked ahead of us, tied at 3. The College of Pharmacy has the lowest tuition of the doctoral health sciences professional programs for in-state residents among peer institutions.

### College of Veterinary Medicine

- Requests a 2.0% increase in differential instructional fees
- Requests a reduction in non-resident surcharge for Ranks 2-4 to \$5, rather than the university's proposed 4.8% increase effective summer 2020

This increase request will be utilized to fund inflationary operating costs and salaries and changes in university assessments. The college's overall ranking, according to *U.S. News and World Report*, is tied at 4. Current tuition and fees rankings put the college at second most affordable out of 10 peers for cost of in-state tuition.

Historically, most students receive in-state status after the completion of year one, the proposed reduction in non-resident surcharge for Ranks 2-4 to \$5 will provide the college the opportunity to provide a clearer financial outlook to incoming students, makes the college more attractive to non-resident transfer students and increases the likelihood the college can compete with other programs around the country for the most academically prepared and inclusively diverse students.

## II. Housing and Dining

The Office of Student Life continues to implement cost-savings initiatives to keep room and board plans as affordable as possible for students and their families.

The proposed 2.5% increases for housing and dining rates will apply to new first-year students in 2020-21 and to continuing students who enrolled prior to 2017-18, when the guarantee program was established. The new rates will be frozen for four years for Ohio resident first-year students who are part of the Ohio State Tuition Guarantee.

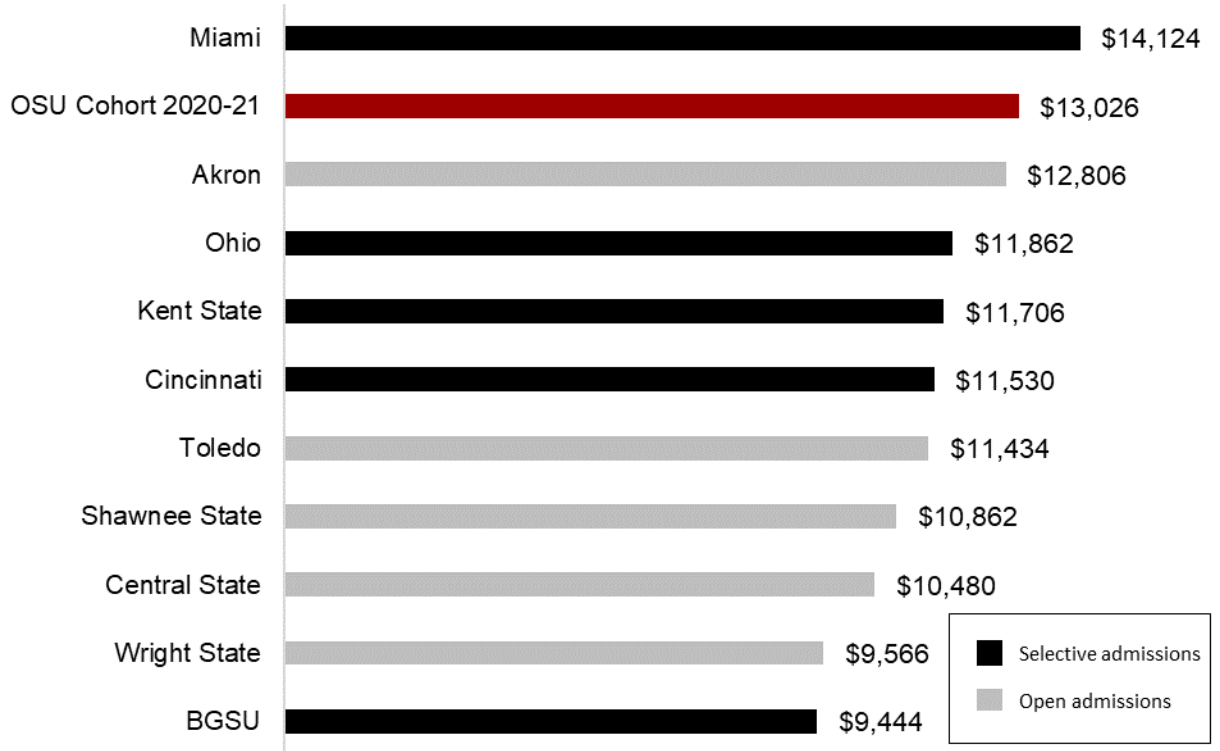
These are the proposed rates for the 2020-21 academic year, excluding students in the previous Tuition Guarantee cohorts. Housing and dining rates for students who entered with the 2017-18, 2018-19 and 2019-20 Tuition Guarantee cohorts will remain at the levels set when those students entered the university.

<b>Housing Plans</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>\$ Change</b>	<b>% Change</b>
Rate I	\$8,658	\$8,874	\$216	2.5%
Rate II	\$7,214	\$7,394	\$180	2.5%
Rate IIA	\$6,982	\$7,156	\$174	2.5%
Rate III	\$6,742	\$6,910	\$168	2.5%

<b>Dining Plans</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>\$ Change</b>	<b>% Change</b>
Scarlet Access 14	\$4,824	\$4,944	\$120	2.5%
Declining Balance	\$4,304	\$4,412	\$108	2.5%
Gray Access 10	\$4,050	\$4,152	\$102	2.5%
Unlimited Access	\$3,952	\$4,050	\$98	2.5%

Ohio State's room and board rates remain affordable compared to Ohio public universities (Rate I is the most common type of housing and Gray 10 is the most common dining plan):

### Ohio - Most Common Housing & Dining



**The Ohio State University**  
**Proposed Housing Rates for FY 2021**

Housing Plans	FY 2020	FY 2021	\$ Change	% Change
<b>Columbus Campus</b>				
Rate I	\$8,658	\$8,874	\$216	2.5%
Rate II	\$7,214	\$7,394	\$180	2.5%
Rate IIA	\$6,982	\$7,156	\$174	2.5%
Rate III	\$6,742	\$6,910	\$168	2.5%
<b>Summer Term Options</b>				
4-Week Session - Rate I	N/A	N/A		
6-Week Session - Rate I	N/A	N/A		
8-Week Session - Rate I	N/A	N/A		
4-Week Session - Rate II	\$902	\$925	\$23	2.5%
6-Week Session - Rate II	\$1,353	\$1,387	\$34	2.5%
8-Week Session - Rate II	\$1,804	\$1,850	\$46	2.5%
4-Week Session - Rate IIA	\$873	\$895	\$22	2.5%
6-Week Session - Rate IIA	\$1,309	\$1,342	\$33	2.5%
8-Week Session - Rate IIA	\$1,746	\$1,790	\$44	2.5%
Summer Term - Rate I	N/A	N/A		
Summer Term - Rate II	\$2,706	\$2,775	\$69	2.5%
Summer Term - Rate IIA	\$2,618	\$2,685	\$67	2.6%
Stadium Scholars Program	\$5,716	\$5,858	\$142	2.5%
Alumnae Scholarship Houses - single or double w/bath	\$6,554	\$6,718	\$164	2.5%
Alumnae Scholarship Houses - double or triple	\$6,412	\$6,572	\$160	2.5%
German House - 1-person room	\$6,650	\$6,816	\$166	2.5%
German House - 2-person room	\$6,190	\$6,344	\$154	2.5%

**The Ohio State University**  
**Proposed Housing Rates for FY 2021**

Housing Plans	FY 2020	FY 2021	\$ Change	% Change
<b>Monthly Housing Rates</b>				
237 E 17th - mini-single	\$457	\$468	\$11	2.4%
237 E 17th - single	\$632	\$648	\$16	2.5%
237 E 17th - supersingle	\$764	\$783	\$19	2.5%
237 E 17th - double	\$417	\$427	\$10	2.4%
Family - 1 bedroom	\$555	\$569	\$14	2.5%
Family - 2 bedroom	\$700	\$717	\$17	2.4%
Gateway - studio	\$948	\$972	\$24	2.5%
Gateway - 1 bedroom apartment	\$1,017	\$1,042	\$25	2.5%
Gateway - 2 bedroom apartment	\$990	\$1,015	\$25	2.5%
Gateway - 3 bedroom apartment	\$861	\$882	\$21	2.4%
Neil - efficiency	\$861	\$882	\$21	2.4%
Neil - 4 bedroom	\$854	\$875	\$21	2.5%
Penn Place - 1 person room	\$815	\$835	\$20	2.5%
Penn Place - 2 person room	\$467	\$478	\$11	2.4%

**The Ohio State University**  
**Proposed Housing Rates for FY 2021**

Housing Plans	FY 2020	FY 2021	\$ Change	% Change
<b>ATI</b>				
1-bedroom for 2 (per person)	\$7,178	\$7,358	\$180	2.5%
2-bedroom for 4 (per person)	\$7,178	\$7,358	\$180	2.5%
2-bedroom for 5 (per person - double)	\$7,178	\$7,358	\$180	2.5%
2-bedroom for 5 (per person - triple)	\$6,192	\$6,346	\$154	2.5%
3-bedroom for 5 (per person - single)	\$7,562	\$7,750	\$188	2.5%
3-bedroom for 5 (per person - double)	\$7,178	\$7,358	\$180	2.5%
Private apartment	\$8,534	\$8,748	\$214	2.5%
<b>Newark</b>				
2-person efficiency (per person)	\$7,764	\$7,958	\$194	2.5%
2-bedroom for 4 (per person)	\$7,806	\$8,000	\$194	2.5%
3-bedroom for 6 (per person)	\$7,356	\$7,540	\$184	2.5%
McConnell Hall	\$8,040	\$8,240	\$200	2.5%
<b>Mansfield</b>				
2-bedroom for 2 (per person)	\$8,202	\$8,408	\$206	2.5%
2-bedroom for 4 (per person)	\$6,564	\$6,728	\$164	2.5%
5-bedroom for 5 (per person)	\$6,884	\$7,056	\$172	2.5%
5-bedroom for 6 - single (per person)	\$6,564	\$6,728	\$164	2.5%
5-bedroom for 6 - double (per person)	\$5,916	\$6,064	\$148	2.5%

**The Ohio State University**  
**Proposed Meal Plan Rates for FY 2021**

Dining Plans	FY 2020	FY 2021	\$ Change	% Change
Scarlet Access 14	\$4,824	\$4,944	\$120	2.5%
Declining Balance	\$4,304	\$4,412	\$108	2.5%
Gray Access 10	\$4,050	\$4,152	\$102	2.5%
Unlimited Access	\$3,952	\$4,050	\$98	2.5%
McConnell (Newark)	\$2,820	\$2,890	\$70	2.5%
Carmen 1 (\$568 / \$582 Dining Dollars)	\$874	\$896	\$22	2.5%
Carmen 2 (\$1,110 / \$1,138 Dining Dollars)	\$1,708	\$1,750	\$42	2.5%
<b>Summer:</b>				
Carmen 1 (\$568 / \$582 Dining Dollars)	\$874	\$896	\$22	2.5%
Carmen 2 (\$1,110 / \$1,138 Dining Dollars)	\$1,708	\$1,750	\$42	2.5%

**Student Health Insurance**

Background: All Ohio State students are required to have health insurance. Domestic students may choose outside insurance, and most do (87%). International students typically buy Ohio State's plan.

The university uses fee revenue to cover the cost of third-party insurance. Rates are designed to be affordable and keep up with health care costs.

The recommendation for Fiscal Year 2021, based on third-party costs, is a 1.5% increase for students.

<b>Plan Level</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>\$ Change</b>	<b>% Change</b>
Student	\$3,316	\$3,366	\$50	1.5%
Student & Spouse	\$6,632	\$6,732	\$100	1.5%
Student & Children	\$9,948	\$10,098	\$150	1.5%
Student & Family	\$13,264	\$13,464	\$200	1.5%

### **III. Summary and Conclusions**

- A. Differentials for professional programs are market driven.
- B. Housing and dining rates will increase 2.5% for the new first-year Ohio resident students in the 2020-21 Ohio State Tuition Guarantee and continuing non-guarantee students.
- C. Housing and dining rates will remain unchanged (0.0%) for students associated with the previous Tuition Guarantee cohorts (2017-18, 2018-19 and 2019-20)
- D. Student health insurance rates will increase 1.5%.



**APPROVAL OF INTERIM OPERATING BUDGET FOR FISCAL YEAR 2021**

Synopsis: Authorization to make expenditures in fiscal year 2021, is proposed.

WHEREAS the university is still finalizing its operating budget for fiscal year 2021 to include the impact of the COVID-19 pandemic on university operations and the State of Ohio funding levels for state institutions of higher education for fiscal year 2021 is still pending; and

WHEREAS this will allow the university to continue to advance the academic and financial goals during the period from July 1, 2020 through August 31, 2020; and

WHEREAS it is necessary to continue operating expenditures, including payment of faculty and staff, operation of the Wexner Medical Center and other auxiliaries and student instructional and support services prior to the time that the fiscal year 2021 operating budget is finalized and adopted; and

WHEREAS the Audit, Compliance and Finance Committee has reviewed and recommends for approval the interim operating budget and:

NOW THEREFORE

BE IT RESOLVED That the Board of Trustees hereby authorizes the university to make operating expenditures consistent with the interim operating budget, pending the adoption of the fiscal year 2021 operating budget at the August 2020 Board of Trustees meeting.

**AUTHORIZATION FOR DESIGNATED OFFICIALS TO BUY, SELL,  
ASSIGN AND TRANSFER SECURITIES**

**ALSO TO DEPOSIT OR WITHDRAW FUNDS  
FROM BANK AND INVESTMENT ACCOUNTS, TO DESIGNATE DEPOSITORIES  
AND TO EXECUTE TREASURY- AND INSURANCE-RELATED AGREEMENTS**

Synopsis: Authorization for designated officials to buy, sell, assign and transfer securities, to deposit or withdraw funds from bank and investment accounts held in the name of The Ohio State University, to designate depositories and to execute treasury- and insurance-related agreements, is proposed.

WHEREAS designated officials of the university buy, sell, assign and transfer stocks, bonds and other financial instruments owned by The Ohio State University; and

WHEREAS various financial institutions are designated as depositories of The Ohio State University; and

WHEREAS accounts at various financial institutions are opened and maintained in the name of The Ohio State University; and

WHEREAS designated officials of the university are responsible for the procurement and management of the university's insurance programs:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes the university treasurer and/or the university senior vice president for Business and Finance or their designees to buy, sell, assign and transfer any and all stocks, bonds, evidences of interest and/or indebtedness, rights and options to acquire or to sell the same, and all other securities corporate or otherwise, standing in the name of or belonging to The Ohio State University in any capacity; and

BE IT FURTHER RESOLVED, That the university treasurer and/or the university senior vice president for Business and Finance or their designees be authorized to designate various financial institutions as depositories and/or custodians for The Ohio State University, and to open and maintain accounts and enter into agreements at institutions providing financial products and services in the name of The Ohio State University; to engage in and sign agreements for bank financing, underwriting, brokerage, leasing, equipment financing, deposits, foreign currency exchange, hedging vehicles including forwards, futures, swaps and options, financial advisory services; and

BE IT FURTHER RESOLVED, That the university treasurer and/or the university senior vice president for Business and Finance or their designees be authorized, on behalf of The Ohio State University and in its name, to sign checks, drafts, notes, bills of exchange, letters of credit, acceptances, electronic fund transfers or other orders for the payment of money from said accounts; to endorse in writing or by stamp checks, notes, bills, certificates of deposit, or other instruments owned or held by the university for deposit in said accounts or for collection or discount by said banks; to accept drafts, acceptances and other instruments payable to said banks; to waive, demand, protest, file notice of protest, or dishonor any check, note, bill, draft, or other instrument made, drawn, or endorsed by the university; and

**AUTHORIZATION FOR DESIGNATED OFFICIALS TO BUY, SELL  
ASSIGN AND TRANSFER SECURITIES (cont'd)**

BE IT FURTHER RESOLVED, That the university treasurer and/or the university senior vice president for Business and Finance or their designees be authorized to engage in insurance-related transactions and sign insurance-related agreements to ensure coverage that best fits the needs of The Ohio State University to include, but not be limited to, insurance carrier, consulting and broker agreements, emergency response services, and third-party claim administrator contracts.

## **BACKGROUND**

**TOPICS:** Annual resolution to authorize the university treasurer and/or the university senior vice president for business and finance to undertake a variety of financial transactions on behalf of the university.

**CONTEXT:** This annual resolution is required by the institutions with which the university does business. There are no changes from last year's annual resolution.

**SUMMARY:** This resolution will continue the current policies that authorize the university treasurer and/or senior vice president for business and finance or their designees to provide oversight and signature authority over the function of buying, selling, and transferring of stocks, bonds, and other financial instruments. The resolution continues the authorization that allows the university treasurer and/or senior vice president for business and finance or their designees to designate depositories and custodians and to open and maintain accounts at various financial institutions. It allows the university treasurer or the treasurer's designees be authorized to enter into agreements with institutions providing financial products and services on behalf of the university. In addition, the university treasurer and/or senior vice president for business and finance or their designees retain the authorization to sign agreements for bank financing, underwriting, brokerage, leasing, equipment financing, deposits, foreign currency exchange, hedging vehicles including forwards, futures, swaps and options, and other financial advisory services. The resolution also will continue the current authorization that allows the university treasurer and/or senior vice president for business and finance or their designees to enter into insurance-related agreements which includes emergency response service agreements.

**REQUESTED OF THE FINANCE COMMITTEE:** Approval of the resolution.

**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY**

Synopsis: Extension of authorization for the issuance and sale of general receipts obligations in an aggregate principal amount not to exceed \$1 billion, for the purposes of financing, on an interim or permanent basis, the costs of certain University capital improvement projects, refunding the outstanding principal amount of current obligations of the University, permitting the establishment of Multiyear Debt Issuance Program II and paying costs and expenses associated with the issuance of such debt, and authorization for amendments to the existing trust indenture and other documents as required, is proposed.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue its obligations to pay the costs of certain "facilities," as defined in the Act, and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of its obligations all or a specified part of its "available receipts," as defined in the Act (the "General Receipts") in priority to all other expenses, claims or payments; (c) to covenant that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985A Bond Resolution"), and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as trustee (the "Original Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution"), amended and restated the Original Indenture, as supplemented, in accordance with the terms thereof, by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Original Trustee; and

WHEREAS the Bank of New York Mellon Trust Company, N.A. (the "Trustee") has succeeded the Original Trustee as trustee under the Amended and Restated Trust Indenture; and

WHEREAS pursuant to the terms of a resolution adopted by the Board on June 5, 2015, a resolution adopted by the Board on June 9, 2017 and a resolution adopted by the Board on June 6, 2018 (collectively, the "Program Resolution"), the University authorized the issuance of The Ohio State University General Receipts Bonds and Commercial Paper Notes (the "Senior Obligations") and subordinated bonds and notes (the "Subordinated Obligations" and, together with the Senior Obligations, the "Obligations" ) to be issued pursuant to the Amended and Restated Trust Indenture in an aggregate amount not to exceed \$1 billion by no later than June 30, 2020; and

**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY (cont'd)**

WHEREAS the Amended and Restated Trust Indenture provides that Obligations may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution (as defined therein) adopted by the Board and secured pursuant to the terms of a Supplemental Indenture (as defined therein), with respect to such issue (the Amended and Restated Trust Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS in furtherance of and pursuant to the Program Resolution and the Indenture, the University entered into the Multiyear Debt Issuance Program Supplement to Amended and Restated Trust Indenture dated as of March 1, 2016; and

WHEREAS the University has issued \$600 million of Senior Obligations pursuant to such Program Resolution of the \$1 Billion authorized by the Program Resolution; and

WHEREAS the University has from time to time authorized the issuance of the Obligations listed on Schedule 1 attached hereto and made a part hereof pursuant to the Series 1985 A Bond Resolution, the Original Indenture, the 1999 General Bond Resolution, the Amended and Restated Trust Indenture, various supplements to the Original Indenture and the Amended and Restated Trust Indenture and various Series Resolutions; and

WHEREAS the Board has determined that it is in the best interests of the University to extend authorization of the issuance of Obligations, in one or more series, for the purposes of financing, on an interim or permanent basis, the costs of University Facilities, refunding Outstanding Obligations (as described below) of the University and paying costs and expenses associated with the issuance of such Obligations;

WHEREAS the Board finds that it is in the best interest of the University to promote administrative convenience, enhance sound debt management and improve efficiency in connection with the issuance of Obligations of the University, and that the aforementioned extension of authorization shall be accomplished through the establishment of the Multiyear Debt Issuance Program II; and

WHEREAS the Board desires to make provisions for the issuance of the Obligations and the payment of Debt Service Charges thereon and the securing thereof by this Resolution (hereinafter referred to as the "Program II Resolution") and the supplement(s) to the Amended and Restated Trust Indenture, including but not limited to the Multiyear Debt Issuance Supplement to Amended and Restated Trust Indenture (as described below), all as provided by this Program II Resolution, with all terms used herein with initial capitalization where the rules of grammar would not otherwise so require and not defined herein having the meanings given them in the Indenture:

NOW THEREFORE

**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY (cont'd)**

BE IT RESOLVED, That the Board of Trustees of The Ohio State University hereby extends the authorization provided in the Program Resolution to issue Obligations in an aggregate principal amount not to exceed \$400 million, which is the remaining unissued balance of the \$1 billion originally authorized by the Program Resolution, for the purposes as set forth in the recitals to this Program II Resolution, it being the express intention of this Board that the extension of authorization herein shall not be interpreted to constitute an authorization for the issuance of any Obligations in excess of the aforesaid \$400 million; provided, however, that the foregoing limitation on the amount of Obligations that may be issued shall not apply to (i) any Bonds or Notes authorized to be issued under this Program II Resolution to retire or refund any Obligations previously issued under the Program Resolution, this Program II Resolution, or any other Outstanding Obligations listed on Schedule 1 attached hereto and made a part hereof, or (ii) any capital lease or other type of indebtedness that does not constitute an Obligation as defined in the Indenture and is not issued pursuant to the Indenture, and, (iii) provided, further, that any Obligation or indebtedness described in (i) and (ii) above shall be excluded for purposes of calculating the total amount of Obligations issued pursuant to the authorization provided in this Program II Resolution; and

BE IT FURTHER RESOLVED, That the authority to issue any Obligations authorized by this Program II Resolution shall be in effect through June 30, 2023; and

BE IT FURTHER RESOLVED, That the Board of Trustees hereby authorizes the President and Senior Vice President for Business and Finance, or either of them, upon consultation with the Chair of the Finance Committee of this Board and upon consultation with the Senior Vice President for Legal Affairs and General Counsel and any outside counsel retained for this purpose, to determine (a) the time or times that any of the Obligations shall be issued as provided in this Program II Resolution, (b) the principal amount, subject to the limitations prescribed herein, and type of debt to be issued from time to time, (c) the rate or rates of interest to be borne by such Obligations, whether fixed or variable; provided, however, that in no event shall the interest rate on any series of Obligations exceed eight percent (8.00%) per annum, (d) whether any interest rates shall be fixed or variable, (e) the maturity or maturities of any of the Obligations and (f) whether and to what extent any series of such Obligations shall be Senior Obligations or Subordinated Obligations, any or all of which terms, as well as any terms required by Section 2.02 of the Indenture, may be set forth in one or more Certificates of Award executed and delivered by the President and Senior Vice President for Business and Finance, or either of them, in connection with the issuance and sale of the Obligations, the execution and delivery of such Certificates of Award and any of them being hereby authorized; and

**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY (cont'd)**

BE IT FURTHER RESOLVED, That, with respect to any Obligations issued on a tax exempt basis under the federal income tax laws, the President and Senior Vice President for Business and Finance, or either of them, is hereby authorized and directed (a) to make or effect any election, selection, designation, choice, consent, approval or waiver on behalf of the University with respect to the Obligations as permitted or required to be made or given under the federal income tax laws, for the purpose of assuring, enhancing or protecting favorable tax treatment or the status of the Obligations or interest thereon or assisting compliance with requirements for that purpose, reducing the burden or expense of such compliance, reducing any rebate amount or any payment of penalties, or making any payments of special amounts in lieu of making computations to determine, or paying, any excess earnings as rebate, or obviating those amounts or payments on behalf of the University; (b) to take any and all actions, make or obtain calculations, and make or give reports, covenants and certifications of and on behalf of the University, as may be appropriate to assure the status of the Obligations as Tax Exempt Bonds; and (c) to give an appropriate certificate on behalf of the University for inclusion in the transcript of proceedings setting forth the facts, estimates and circumstances, and reasonable expectations of the University pertaining to Section 148 of the Internal Revenue Code of 1986, as amended (the "Code") and the Regulations, and the representations, warranties and covenants of the University regarding compliance by the University with Sections 141 through 150 of the Code and the Regulations, as applicable; and

BE IT FURTHER RESOLVED, That the President and Senior Vice President for Business and Finance, or either of them, is authorized to negotiate and execute, on behalf of the University and this Board, a supplemental indenture to the Amended and Restated Trust Indenture to be denominated the "Multiyear Debt Issuance Supplemental Indenture to Amended and Restated Supplemental Indenture – Program II", any amendments or supplements thereto or the Amended and Restated Indenture, any purchase contract for the sale of the Obligations, any escrow agreement, continuing disclosure agreement, any interest rate management or hedging contract, credit support or enhancement contract, any official statement or other offering document relating to the offer and sale of the Obligations, the use and distribution of which is hereby authorized and any other agreement, receipt, certificate or document (collectively the "Transaction Documents") as the President or Senior Vice President for Business and Finance or either of them shall deem (a) necessary or appropriate to carry out this Program II Resolution and to provide for the most beneficial commercial terms reasonably available to the University and (b) not materially adverse to the University, with the execution by the President or Senior Vice President for Business and Finance of any such Transaction Documents constituting the conclusive determination of such officer that the terms of such Transaction Document are necessary and appropriate and not materially adverse to the University, it being expressly provided that any payment obligation whatsoever with respect to any Transaction Documents may, if so agreed to by the officer of the University executing the same be secured on a pari passu basis as the Obligations and the Debt Service Charges with respect thereto to which any such Transaction Document relates; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance shall report to the Board's Audit, Compliance and Finance Committee on a regular basis all actions taken in pursuant to this Program II Resolution; and

BE IT FURTHER RESOLVED, That it is found and determined that all formal actions of this Board concerning and relating to the adoption of this Program II Resolution were adopted in an open meeting of this Board, and that all deliberations of this Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code; and



**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY (cont'd)**

BE IT FURTHER RESOLVED, That this Program II Resolution shall take effect and be in force on July 1, 2020.

Schedule 1

<b>General Receipts Bonds</b>	<b>Original Amount</b>	<b>Amount Outstanding</b>
Series 1997 Bonds	\$79,540,000	\$15,610,000
Series 1999 B Bonds	\$83,400,000	\$8,200,000
Series 2001 Bonds	\$76,950,000	\$49,845,000
Series 2003 C Bonds	\$121,295,000	\$39,955,000
Series 2005 B Bonds	\$129,990,000	\$53,545,000
Series 2008 B Bonds	\$127,770,000	\$77,730,000
Series 2010 A Bonds	\$241,170,000	\$6,125,000
Series 2010 C Bonds	\$654,785,000	\$654,785,000
Series 2010 D Bonds	\$88,335,000	\$ 84,625,000
Series 2010 E Bonds	\$150,000,000	\$150,000,000
Series 2011 A Bonds	\$500,000,000	\$500,000,000
Series 2012 A Bonds	\$91,165,000	\$49,310,000
Series 2012 B Bonds	\$23,170,000	\$10,525,000
Series 2013 A Bonds	\$337,955,000	\$337,955,000
Series 2014 A Bonds	\$135,985,000	\$124,250,000
Series 2014 B-1 Bonds	\$75,000,000	\$75,000,000
Series 2014 B-2 Bonds	\$75,000,000	\$75,000,000
Series 2016 A Bonds	\$600,000,000	\$600,000,000
Series 2016 B Bonds	\$30,875,000	\$17,535,000
Series 2017 Bonds	\$69,950,000	\$69,915,000
Total:	\$3,909,930,000	\$2,992,910,000

## **BACKGROUND**

**TOPICS:** Extension of prior authorization for the issuance of general receipts obligations and authorization of multiyear debt issuance program II of the University.

**CONTEXT:** Pursuant to prior resolutions adopted by the Board of Trustees on June 5, 2015, on June 9, 2017, and on June 6, 2018 (collectively, the "Program Resolution"), the Board of Trustees authorized the issuance of The Ohio State University General Receipts Bonds and Commercial Paper Notes (the "Senior Obligations") and subordinated bonds and notes (the "Subordinated Obligations" and, together with the Senior Obligations, the "Obligations") in an aggregate principal amount not to exceed \$1 billion by no later than June 30, 2020. Under such Program Resolution, the Board of Trustees authorized the issuance of Obligations pursuant to the Amended and Restated Trust Indenture by the president and/or the senior vice president for business and finance after consultation with the chair of the Finance Committee and legal counsel over the time period of such resolution. As of the date hereof, the university has issued \$600 million of Senior Obligations pursuant to the Program Resolution.

**SUMMARY:** Under the proposed resolution, it is requested that the Board of Trustees extend through June 30, 2023 the remaining \$400 million of authorization provided in the Program Resolution and authorize the multiyear debt issuance program II. This resolution, like the prior Program Resolution, provides that the issuance limitation does not apply to Obligations issued to refund or retire any Outstanding Obligations or any capital lease or other type of indebtedness that does not constitute an Obligation as defined in the Amended and Restated Trust Indenture. This Resolution, like the prior Program Resolution, also authorizes the President or Senior V.P. for Business and Finance to determine appropriate terms and conditions and negotiate and execute related Transaction Documents. It is in the best interest of the university that the remaining authorization under the Program Resolution be extended and managed through a new multiyear debt issuance program II.

**REQUESTED OF THE FINANCE COMMITTEE:** Approval of the resolution.

## REAPPOINTMENTS TO THE SELF INSURANCE BOARD

Synopsis: Reappointment of members to the Self Insurance Board is proposed.

WHEREAS the Board of Trustees directed that a Self Insurance Board be established to oversee the University Self Insurance Program; and

WHEREAS all members of the Self Insurance Board are appointed by The Ohio State University Board of Trustees upon recommendation of the President; and

WHEREAS the terms of members Michael Papadakis, Mark Larmore, and Douglas Robinette expire on June 30, 2020:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the following individuals be reappointed as members of the Self Insurance Board effective June 30, 2020, for the terms specified below:

- Michael Papadakis, term ending June 30, 2022
- Mark Larmore, term ending June 30, 2022
- Douglas Robinette, term ending June 30, 2022

BE IT FURTHER RESOLVED, That this appointment entitles each member to any immunity, insurance or indemnity protection to which officers and employees of the University are, or hereafter may become, entitled.

Consolidated Financial Scorecard (\$ in thousands)	FY20 YTD Actual	FY20 YTD Budget	Actual vs. Budget
<b>A. Financial Snapshot (in thousands)</b>			
1. Total Revenue excluding endowment performance	\$ 5,749,649	\$5,745,078	↔
2. Total Expenses	\$ 5,709,107	\$5,724,213	↔
3. Change in Net Assets	\$ (69,719)	\$ 495,424	↓
4. Change in Net Assets excluding endowment performance	\$ 142,173	\$ 130,594	↑
5. Change in Net Financial Assets	\$ (236,221)	\$ 125,000	↓
<b>B. Institutional Financial Metrics</b>			
1. Liquidity - Days Cash on Hand	202	120	↑
2. Actual Debt Service to Operations	1.7%	< 3.0%	↑
	<b>FY20 Actual</b>	<b>FY20 Benchmark</b>	<b>Actual vs. Benchmark</b>
3. Short Term Investment Pool Return	1.79%	2.25%	↔
4. Intermediate Investment Pool Return	2.47%	4.45%	↓
5. Fiscal YTD Long Term Investment Pool Return	-6.16%	-7.52%	↑
6. 1 Year Long Term Investment Pool Return	-5.62%	-4.34%	↓
7. 3 Year Long Term Investment Pool Average Return	1.94%	3.14%	↓
8. Credit Rating	AA1/AA	AA	↔




	Meets or exceeds goal	↑	Performance up
	Below goal	↔	No change in performance
	Far below goal	↓	Performance down

University Financial Scorecard (\$ in thousands)	FY20 YTD Actual	FY20 YTD Budget	Actual vs. Budget
<b>A. Revenue Drivers (in thousands)</b>			
1. Tuition and Fees	\$ 1,008,886	\$ 1,013,447	↔
2. Grants and Contracts (exchange)	\$ 596,600	\$ 631,588	↓
3. Advancement Cash Receipts	\$ 139,965	\$ 152,152	↓
4. State Share of Instruction	\$ 294,177	\$ 287,017	↑
5. State Line Item Appropriations	\$ 67,425	\$ 67,425	↔
6. Net Contribution from Auxiliary Enterprises	\$ 43,732	\$ 44,218	↓
<b>B. Financial Snapshot (in thousands)</b>			
1. Total Revenue excluding endowment performance	\$ 2,856,332	\$ 2,842,156	↔
2. Total Expenses	\$ 2,804,324	\$ 2,861,738	↓
3. Current Net Margin	\$ 224,774	\$ 151,749	↑
4. Change in Net Assets	\$ (97,874)	\$ 396,221	↓
5. Change in Net Assets excluding endowment performance	\$ 309,254	\$ 241,010	↑
<b>C. Performance Metrics (Columbus Campus only)</b>			
1. Enrollment - summer, autumn and spring	138,376	138,386	↔
2. Credit Hours - summer, autumn and spring	1,731,515	1,735,648	↔

	Meets or exceeds goal	↑	Performance up
	Below goal	↔	No change in performance
	Far below goal	↓	Performance down

MEDICAL CENTER FINANCIAL PERFORMANCE	FY20 YTD Actual	FY20 YTD Budget	Current Status
<b>A. Revenue Drivers</b>			
1. Patient Admissions	49,084	49,595	↓
2. Patients in Inpatient Beds	61,093	61,063	↔
3. Patient Discharges	49,590	49,301	↑
4. Total Surgeries	36,475	35,412	↑
5. Outpatient Visits	1,527,099	1,461,061	↑
6. ED Visits	98,149	100,714	↓
<b>B. Activity Metrics</b>			
1. Adjusted Admissions	100,814	97,221	↑
2. Operating Revenue / Adjusted Admit	\$ 24,803	\$ 25,661	↓
3. Expense / Adjusted Admit	\$ 22,201	\$ 22,779	↑
<b>C. Financial Snapshot (in thousands)</b>			
1. Operating Revenues	\$ 2,500.5	\$ 2,494.8	↔
2. Total Expenses	\$ 2,238.1	\$ 2,214.6	↓
3. Gain from Operations	\$ 262.3	\$ 280.1	↓
4. Excess Revenue Over Expenses	\$ 172.1	\$ 176.2	↓
<b>D. Performance Metrics</b>			
1. Operating EBIDA Margin	16.7%	17.4%	↓
2. Days Cash on Hand	169.0	131.6	↑
3. Debt Service Coverage	7.25	7.31	↔

**LEGEND**

 Meets or exceeds goal	↑ Performance up
 Below goal	↔ No change in performance
 Far below goal	↓ Performance down

**THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES  
AUDIT, COMPLIANCE & FINANCE COMMITTEE**

**TOPIC:** Fiscal Year 2020 Interim Financial Report - March 31, 2020

**CONTEXT:** The purpose of this report is to provide an update of financial results for the third quarter of fiscal year 2020.

**REQUESTED OF THE AUDIT, COMPLIANCE & FINANCE COMMITTEE:** No vote required; for information only

**FINANCIAL SUMMARY**

Overall, our financial position remains solid. However, as of March 22, 2020, the university is under a state of emergency due to the COVID-19 outbreak:

- Classes have transitioned to virtual learning for spring and summer semesters
- Students have moved out of residence halls and were refunded \$35 million for housing, dining, and recreational fees in April
- Major facilities on campus are closed
- OSU Wexner Medical Center operations have shifted to focus on preparing for the impact of COVID-19, shutting down non-critical procedures, adopting widespread telemedicine procedures, and a focus on testing and treating COVID-19.

The initial impacts of COVID-19 are reflected through our financial statements with an overall decrease in net position of \$56 million. The main decrease in net position is due to a net investment loss of \$212 million from negative market volatility as a result of the economic impacts of COVID-19. Other activities for the first three quarters of fiscal year 2020 impacting our financial position include:

**Revenues**

*Student tuition and fees, net* - increased \$28 million, to \$823 million or 3.6% over the same period of fiscal year 2019, due primarily to an increase in gross tuition of \$39 million, offset by \$11 million increase in scholarship allowances. The increase in gross tuition is the result of increases in undergraduate instructional fees of \$11 million, undergraduate non-resident fees of \$10 million, graduate and professional instructional fees of \$10 million, and graduate and professional nonresident fees of \$8 million. Total enrollment is nearly flat to both budget and compared to fiscal year 2019. The increase in scholarship allowance reflects budgeted increases in undergraduate student financial aid.

*Grants and contracts* - increased \$28 million, or 4.5%, to \$665 million, due primarily to increases in federal grants of \$17 million, private grants of \$13 million, non-exchange federal and state grants of \$5 million, and local grants of \$1 million, offset by decreases in state grants of \$8 million. Grants managed by the Office of Sponsored Programs increased \$12 million. Research dollars awarded, which can be considered a leading indicator of the state of the research enterprise, are up 14% overall compared to this time last year, including a 10% increase in federal awarded dollars. To date, awards from our two top funders, the National Institutes of Health (NIH) and the National Science Foundation (NSF) are up by 12% and 38% over last year, respectively.

*State appropriations* - increased \$2 million primarily due to increases in state share of instruction and line-item appropriations of \$9 million, offset by decreases in state capital appropriations of \$7 million.

*Gifts* - increased \$22 million over prior year due primarily to increases in current use gifts of \$12 million, permanent endowment gifts of \$9 million, and capital gifts of \$1 million.

*Sales and services of auxiliary enterprises* - decreased \$5 million due primarily to decreases in Student Life housing and dining revenues of \$9 million, Business Advancement (Schottenstein Center and Blackwell) of \$4 million, offset by increases in Athletics revenues from sponsorships, TV rights, and football ticket revenues of \$7 million.

*Sales and services of the OSU Health System and OSU Physicians, Inc* - increased \$194 million, or 6.7%, to \$3,100 million. The Health System accounted for \$147 million of the increase. Outpatient visits year to date increased 4.5%

compared to budget and 8.2% above prior year. Total surgeries year to date increased 3.0% above budget and 5.9% above prior year.

### Expenses

*University* - expenses of \$2,374 million for the first three quarters of fiscal year 2020 increased \$102 million, or 4.5%, compared to the same period of fiscal year 2019 primarily due to salary increases of \$68 million, benefit increases of \$10 million, depreciation increase of \$14 million, supplies and services increase of \$5 million, and student aid increase of \$5 million. Salary increases are due to a 2.5% merit pool and new hires in certain areas due to strategic investments in faculty and staff.

*OSU Health System and OSU Physicians* - expenses of \$2,750 million increased \$278 million, or 11.2%, primarily due to increases in operating expenses driven by increased patient volumes as well as higher cost of drugs of \$56 million or 14.3%.

*Auxiliary* - expenses of \$273 million for the first three quarters of fiscal year 2020 increased \$5 million, or 2.0%, compared to the same period of fiscal year 2019 primarily due to increases in Athletics of \$3 million and Student Life housing and dining of \$2 million.

### Cash and Investments

Total university cash and investments decreased \$343 million, to \$9,188 million at March 31, 2020, compared to the same period of last year. Total cash and temporary investments decreased \$105 million compared to March 31, 2019 primarily due to higher payments to vendors and employees. Gifted endowment and long-term investments decreased \$238 million primarily due to decreased market values compared to March 31, 2019.

### Long-Term Investment Pool

For the nine months ended March 31, 2020, the fair value of the university's Long-Term Investment Pool (LTIP) decreased \$381 million to \$4,876 million.

	2020	2019
Fair Value at June 30	\$ 5,256,759	\$ 5,211,434
Net principal additions	129,417	131,224
Change in fair value	(372,081)	(5,230)
Income earned	90,032	78,621
Distributions	(174,979)	(164,506)
Expenses	(53,029)	(56,718)
Fair Value at March 31	<u>\$ 4,876,119</u>	<u>\$ 5,194,825</u>

Net principal additions include new endowment gifts (\$45 million), reinvestment of unused endowment distributions (\$3 million), and other net transfers of University monies (\$81 million). Change in fair value includes realized gains (losses) on the sale of investment assets and unrealized gains (losses) associated with assets held in the pool at March 31, 2020. Income earned includes interest and dividends and is used primarily to help fund distributions. Expenses include investment management expenses (\$38 million), University Development related expenses (\$14 million) and other administrative related expenses (\$1 million).

*LTIP Investment Returns* - for the nine months ended March 31, 2020, the LTIP earned a net of investment fee return of -6.16% versus a Policy Benchmark of -7.52%, resulting in outperformance of 1.36%. During that period, our Global Fixed Income returned 4.05%, followed by Real Assets at 1.72% and Global Equities at -11.20%.

*Temporary Investments* - For the nine months ended March 31, 2020 (FYTD), the Intermediate Investments earned a return of 0.81% (+\$7.9 million) underperforming the BofA ML 1-3 Yr US Gov't/Credit benchmark (+2.93%) by 2.12%. Short-Term Investments earned 0.51% (+\$3.1 million) underperforming the 90 Day T-Bill benchmark (+1.24%) by 0.73%.

### Statement of Net Position

Other significant changes on the Consolidated Statement of Net Position for balances as of March 31, 2020, compared to March 31, 2019, includes increases of net Pension and Other post-employment benefit assets of \$489 million and



liabilities of \$972 million for changes in benefit plan assets and liabilities held by OPERS and STRS and increased investments in property, plant, and equipment of \$274 million, net of depreciation.

**Cash Flows**

Cash used by operating activities was \$94 million through the first three quarters of fiscal year 2020, compared with net cash provided by operating activities of \$171 million for the same period in fiscal year 2019. The decrease in operating cash flows is due primarily to increased payments to vendors and employees outpacing receipts for sales and services.

Cash provided by noncapital financing activities was \$581 million through the first three quarters of fiscal year 2020, compared with \$595 million for the same period in fiscal year 2019. The decreases are primarily due to timing of state line item appropriations receipts and drawdowns of federal direct loan proceeds, offset by increases in current use gifts and additions to permanent endowments.

Net cash flows used by capital financing activities were \$528 million through nine months ending March 31, 2020, primarily for payments on the construction of capital assets of \$486 million and net payments for debt service of \$97 million, offset by receipt of state capital appropriations and gifts of \$55 million. Capital expenditures consist of \$240 million for University academic, infrastructure, and auxiliary projects, \$201 million for Health System projects and equipment expenditures, and University equipment purchases of \$45 million.

**THE OHIO STATE UNIVERSITY**  
**CONSOLIDATED STATEMENTS OF NET POSITION - UNAUDITED**  
**March 31, 2020 and March 31, 2019**

	<u>As of March 2020</u>	<u>As of March 2019</u>	<u>Increase/Decrease Dollars</u>	<u>%</u>
<b>ASSETS:</b>				
Current Assets:				
Cash and cash equivalents	\$ 1,786,928	\$ 1,869,041	\$ (82,113)	-4.4%
Temporary investments	1,777,345	1,754,042	23,303	1.3%
Accounts receivable, net	772,554	740,233	32,321	4.4%
Notes receivable - current portion, net	25,317	25,317	-	0.0%
Pledges receivable - current portion, net	34,715	29,524	5,191	17.6%
Accrued interest receivable	18,424	19,809	(1,385)	-7.0%
Inventories and prepaid expenses	203,616	189,130	14,486	7.7%
Investments held under securities lending program	10,714	35,509	(24,795)	-69.8%
<b>Total Current Assets</b>	<u>4,629,613</u>	<u>4,662,605</u>	<u>(32,992)</u>	<u>-0.7%</u>
Noncurrent Assets:				
Restricted cash	449,106	495,562	(46,456)	-9.4%
Notes receivable, net	53,261	44,017	9,244	21.0%
Pledges receivable, net	64,151	70,887	(6,736)	-9.5%
Net other post-employment benefit asset	74,520	-	74,520	100.0%
Long-term investment pool	4,876,119	5,194,825	(318,706)	-6.1%
Other long-term investments	298,957	218,183	80,774	37.0%
Capital assets, net	5,590,945	5,316,660	274,285	5.2%
<b>Total Noncurrent Assets</b>	<u>11,407,059</u>	<u>11,340,134</u>	<u>66,925</u>	<u>0.6%</u>
<b>Total Assets</b>	<u>16,036,672</u>	<u>16,002,739</u>	<u>33,933</u>	<u>0.2%</u>
Deferred Outflows:				
Pension	1,017,388	631,651	385,737	61.1%
Other post-employment benefits	116,173	87,915	28,258	32.1%
Other deferred outflows	21,551	20,853	698	3.3%
<b>Total Assets and Deferred Outflows</b>	<u>\$ 17,191,784</u>	<u>\$ 16,743,158</u>	<u>\$ 420,368</u>	<u>2.7%</u>
<b>LIABILITIES AND NET POSITION:</b>				
Current Liabilities:				
Accounts payable and accrued expenses	\$ 703,738	\$ 710,217	\$ (6,479)	-0.9%
Deposits and advance payments for goods and services	402,884	502,959	(100,075)	-19.9%
Current portion of bonds, notes and leases payable	44,969	53,551	(8,582)	-16.0%
Long-term bonds payable, subject to remarketing	574,675	588,360	(13,685)	-2.3%
Liability under securities lending program	10,714	35,509	(24,795)	-69.8%
Other current liabilities	48,716	78,222	(29,506)	-37.7%
<b>Total Current Liabilities</b>	<u>1,785,696</u>	<u>1,968,818</u>	<u>(183,122)</u>	<u>-9.3%</u>
Noncurrent Liabilities:				
Bonds, notes and leases payable	2,520,653	2,560,142	(39,489)	-1.5%
Concessionaire payable	37,208	9,925	27,283	274.9%
Net pension liability	3,715,195	2,548,245	1,166,950	45.8%
Net other post-employment benefit liability	1,339,444	1,249,674	89,770	7.2%
Compensated absences	182,805	175,195	7,610	4.3%
Self-insurance accruals	81,323	69,644	11,679	16.8%
Amounts due to third-party payors - Health System	65,246	61,995	3,251	5.2%
Irrevocable split-interest agreements	31,355	29,265	2,090	7.1%
Refundable advances for Federal Perkins loans	33,478	32,638	840	2.6%
Advance from concessionaire	1,008,216	1,030,002	(21,786)	-2.1%
Other noncurrent liabilities	123,364	92,246	31,118	33.7%
<b>Total Noncurrent Liabilities</b>	<u>9,138,287</u>	<u>7,858,971</u>	<u>1,279,316</u>	<u>16.3%</u>
<b>Total Liabilities</b>	<u>10,923,983</u>	<u>9,827,789</u>	<u>1,096,194</u>	<u>11.2%</u>
Deferred Inflows:				
Parking service concession arrangement	409,322	418,953	(9,631)	-2.3%
Pension	110,003	411,809	(301,806)	-73.3%
Other post-employment benefits	117,982	100,511	17,471	17.4%
Other deferred inflows	32,376	33,627	(1,251)	-3.7%
<b>Total Deferred Inflows</b>	<u>669,683</u>	<u>964,900</u>	<u>(295,217)</u>	<u>-30.6%</u>
Net Position:				
Net investment in capital assets	3,009,341	2,710,315	299,026	11.0%
Restricted:				
Nonexpendable	1,097,387	1,825,812	(728,425)	-39.9%
Expendable	1,113,397	1,176,342	(62,945)	-5.4%
Unrestricted	377,993	238,000	139,993	58.8%
<b>Total Net Position</b>	<u>5,598,118</u>	<u>5,950,469</u>	<u>(352,351)</u>	<u>-5.9%</u>
<b>Total Liabilities, Deferred Inflows, and Net Position</b>	<u>\$ 17,191,784</u>	<u>\$ 16,743,158</u>	<u>\$ 448,626</u>	<u>2.7%</u>

**THE OHIO STATE UNIVERSITY**  
**CONSOLIDATED STATEMENTS OF REVENUES, EXPENSES,**  
**AND CHANGES IN NET POSITION - UNAUDITED**  
**Comparative Year-To-Date**  
**March 31, 2020 and March 31, 2019**

	March	March	Increase/Decrease	
	2020	2019	Dollars	%
<b>Operating Revenues:</b>				
Student tuition and fees, net	\$ 822,562	\$ 794,189	\$ 28,373	3.6%
Federal grants and contracts	289,757	272,423	17,334	6.4%
State grants and contracts	56,959	64,926	(7,967)	-12.3%
Local grants and contracts	20,458	19,322	1,136	5.9%
Private grants and contracts	221,415	208,764	12,651	6.1%
Sales and services of educational departments	130,631	128,640	1,991	1.5%
Sales and services of auxiliary enterprises	287,127	292,935	(5,808)	-2.0%
Sales and services of the OSU Health System, net	2,643,904	2,496,410	147,494	5.9%
Sales and services of OSU Physicians, Inc., net	456,120	409,426	46,694	11.4%
Other operating revenues	33,929	31,014	2,915	9.4%
<b>Total Operating Revenues</b>	<b>4,962,862</b>	<b>4,718,049</b>	<b>244,813</b>	<b>5.2%</b>
<b>Operating Expenses:</b>				
<b>Educational and General:</b>				
Instruction and departmental research	830,722	788,241	42,481	5.4%
Separately budgeted research	397,183	385,810	11,373	2.9%
Public service	141,720	139,985	1,735	1.2%
Academic support	181,739	168,028	13,711	8.2%
Student services	70,564	69,588	976	1.4%
Institutional support	219,145	207,203	11,942	5.8%
Operation and maintenance of plant	103,644	103,505	139	0.1%
Scholarships and fellowships	114,672	109,211	5,461	5.0%
Auxiliary enterprises	273,131	267,746	5,385	2.0%
OSU Health System	2,305,978	2,091,400	214,578	10.3%
OSU Physicians, Inc.	444,010	380,901	63,109	16.6%
Depreciation	315,013	301,365	13,648	4.5%
<b>Total Operating Expenses</b>	<b>5,397,521</b>	<b>5,012,983</b>	<b>384,538</b>	<b>7.7%</b>
<b>Operating Loss</b>	<b>(434,659)</b>	<b>(294,934)</b>	<b>(139,725)</b>	<b>47.4%</b>
<b>Non-operating Revenues (Expenses):</b>				
State share of instruction and line-item appropriations	361,602	352,340	9,262	2.6%
Federal subsidies for Build America Bonds interest	8,157	8,140	17	0.2%
Federal non-exchange grants	62,541	59,927	2,614	4.4%
State non-exchange grants	13,773	11,053	2,720	24.6%
Gifts	149,052	137,014	12,038	8.8%
Net investment income (loss)	(211,892)	162,181	(374,073)	-230.7%
Interest expense on plant debt	(90,719)	(91,919)	1,200	-1.3%
Other non-operating revenues(expenses)	(13,296)	4,190	(17,486)	-417.3%
<b>Net Non-operating Revenue (Expense)</b>	<b>279,218</b>	<b>642,926</b>	<b>(363,708)</b>	<b>-56.6%</b>
<b>Income (Loss) before Other Revenues, Expenses, Gains or Losses</b>	<b>(155,441)</b>	<b>347,992</b>	<b>(503,433)</b>	<b>-144.7%</b>
<b>Changes in Net Position</b>				
State capital appropriations	46,879	53,741	(6,862)	-12.8%
Private capital gifts	9,329	8,015	1,314	16.4%
Additions to permanent endowments	45,422	36,364	9,058	24.9%
Capital contributions and other changes in net position	(2,086)	(5,895)	3,809	35.4%
<b>Total Changes in Net Position</b>	<b>99,544</b>	<b>92,225</b>	<b>7,319</b>	<b>7.9%</b>
<b>Increase (Decrease) in Net Position</b>	<b>(55,897)</b>	<b>440,217</b>	<b>\$ (496,114)</b>	<b>-112.7%</b>
<b>Net Position - Beginning of Year</b>				
Beginning of year	5,654,015	5,510,252		
<b>Net Position - End of Period</b>	<b>\$ 5,598,118</b>	<b>\$ 5,950,469</b>		

**THE OHIO STATE UNIVERSITY**  
**STATEMENTS OF CASH FLOWS - UNAUDITED**  
**Years Ended March 31, 2020 and March 31, 2019**  
(in thousands)

	<u>March 2020</u>	<u>March 2019</u>	<u>Incr/(Decr) to Cash Dollars</u>	<u>%</u>
<b>Cash Flows from Operating Activities:</b>				
Tuition and fee receipts	\$ 761,179	\$ 761,629	\$ (450)	-0.1%
Grant and contract receipts	589,711	545,810	43,901	8.0%
Receipts for sales and services	3,521,208	3,372,329	148,879	4.4%
Payments to or on behalf of employees	(2,375,790)	(2,183,850)	(191,940)	8.8%
University employee benefit payments	(671,501)	(581,463)	(90,038)	15.5%
Payments to vendors for supplies and services	(1,838,538)	(1,676,738)	(161,800)	9.6%
Payments to students and fellows	(107,500)	(105,115)	(2,385)	2.3%
Student loans issued	(3,001)	(4,628)	1,627	-35.2%
Student loans collected	7,083	8,437	(1,354)	-16.0%
Student loan interest and fees collected	1,540	1,415	125	8.8%
Other receipts, net	21,650	33,651	(12,001)	-35.7%
Net cash provided (used) by operating activities	<u>(93,959)</u>	<u>171,477</u>	<u>(265,436)</u>	<u>-154.8%</u>
<b>Cash Flows from Noncapital Financing Activities:</b>				
State share of instruction and line-item appropriations	312,663	352,340	(39,677)	-11.3%
Non-exchange grant receipts	76,314	70,980	5,334	7.5%
Gift receipts for current use	145,877	121,334	24,543	20.2%
Additions to permanent endowments	45,422	36,364	9,058	24.9%
Drawdowns of federal direct loan proceeds	301,800	321,193	(19,393)	-6.0%
Disbursements of federal direct loans to students	(301,740)	(308,201)	6,461	-2.1%
Repayment of loans from related organization	488	296	192	64.9%
Amounts received for annuity and life income funds	1,696	1,186	510	43.0%
Amounts paid to annuitants and life beneficiaries	(1,301)	(1,299)	(2)	0.2%
Agency funds receipts	5,777	5,892	(115)	-2.0%
Agency funds disbursements	(5,564)	(5,373)	(191)	3.6%
Net cash provided (used) by noncapital financing activities	<u>581,432</u>	<u>594,712</u>	<u>(13,280)</u>	<u>-2.2%</u>
<b>Cash Flows from Capital Financing Activities:</b>				
State capital appropriations	45,686	54,145	(8,459)	-15.6%
Gift receipts for capital projects	9,329	8,015	1,314	16.4%
Payments for purchase or construction of capital assets	(485,922)	(476,467)	(9,455)	2.0%
Principal payments on capital debt and leases	(39,101)	(35,967)	(3,134)	8.7%
Interest payments on capital debt and leases	(63,435)	(63,736)	301	-0.5%
Federal subsidies for Build America Bonds interest	5,327	5,310	17	0.3%
Net cash provided (used) by capital financing activities	<u>(528,116)</u>	<u>(508,700)</u>	<u>(19,416)</u>	<u>3.8%</u>
<b>Cash Flows from Investing Activities:</b>				
Net (purchases) sales of temporary investments	(22,825)	(138,420)	115,595	-83.5%
Proceeds from sales and maturities of long-term investments	2,239,558	2,934,999	(695,441)	-23.7%
Investment income	169,312	170,935	(1,623)	-0.9%
Purchases of long-term investments	(2,310,501)	(2,976,376)	665,875	-22.4%
Net cash provided (used) by investing activities	<u>75,544</u>	<u>(8,862)</u>	<u>84,406</u>	<u>-952.4%</u>
<b>Net Increase (Decrease) in Cash</b>	<b>34,901</b>	<b>248,627</b>	<b>\$ (213,726)</b>	<b>-86.0%</b>
Cash and Cash Equivalents - Beginning of Year	<u>2,201,133</u>	<u>2,113,482</u>		
Cash and Cash Equivalents - End of Period	<u>\$ 2,236,034</u>	<u>\$ 2,362,109</u>		



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# Compliance and Integrity Report

Board of Trustees  
Audit, Compliance, and Finance Committee

Office of University Compliance and Integrity

June 3, 2020

## COMPLIANCE AND INTEGRITY PROGRAM



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### Executive Summary

**Committee Action:** *For information and discussion. No vote is required.*

**1. Athletics: NCAA Name, Image and Likeness (NIL) Rules**

- Overview of expected changes in NCAA rules regarding NIL compensation for student-athletes
- Summary of action plan to prepare for expected changes

**2. Environmental Health & Safety (EHS)**

- Overall review of EHS program and responses to COVID-19

**3. Issuance of Final Title IX Regulations**

- Summary of new regulations issued by the Department of Education

**4. Resolution Agreement with Office for Civil Rights (OCR) [Title IX]**

- The university has made no submission to OCR since the last Board meeting.
- Informed the Office for Civil Rights on July 24, 2019 of developments in the Office of Institutional Equity; no additional information has been requested.

# COMPLIANCE AND INTEGRITY PROGRAM

## 1. EXPECTED NCAA NIL (NAME, IMAGE AND LIKENESS) RULES

### Overview

On April 29, 2020, the NCAA Board of Governors (chaired by President Drake) announced it accepted recommendations from an NCAA Working Group (co-chaired by Athletic Director Gene Smith) on how best to update its rules on name, image and likeness (NIL) compensation. Current NCAA rules preclude Division I student-athletes from using their NIL to promote or endorse a commercial product or service, even if the student-athlete is not compensated. Further, such restrictions preclude a student-athlete from creating and/or owning a business, even if the business is not related to athletics or their participation in intercollegiate athletics. Current NCAA legislation prohibits various forms of employment or compensation opportunities, such as owning a sports camp that teaches fundamental skills, selling autographed cards or signed memorabilia, or receiving compensation for participating in a podcast or a blog.

The need for NIL rules arose from a recognition that these prohibitions were not warranted. A developing national discussion in the past several years resulted in over 30 states introducing NIL bills, and several states (California, Colorado and Florida) adopted NIL legislation. This developing patchwork of legislative approaches undermines the NCAA's ability to manage its member institutions and ensure fair competition.

### Proposed NIL Principles

The NCAA Board of Governors and the NCAA Working Group determined that the NCAA membership needs to expand and broaden its rules as it relates to a student athlete's ability to use his or her NIL in promotional and commercial ventures. Specifically, the Board stated that student-athletes should be treated the same as the general student body regarding NIL under NCAA regulations, unless there is a compelling reason to differentiate between students and student athletes. Further, the Board noted that the NCAA needs to modernize its rules to reflect current uses of social media and the electronic platforms available to other collegiate students to pursue commercial ventures. The Board established principles which NIL legislative proposals must follow, which include:

- **Preclude a Patchwork:** Enact a single national standard to protect student-athletes on the issue of NIL licensing, eliminating divergent state-by-state rules that could disadvantage certain states and student athletes.
- **Promote Academics:** Promote academics and minimize encroachment of NIL into the recruiting process by requiring student-athletes to complete one term at a collegiate institution before engaging in any NIL or promotions.
- **Promote Compliance:** Utilize transparency to help prevent corruption of the collegiate model. Specifically, requiring institutions to publicly disclose NIL license agreements and to disclose representatives involved in licensing agreements with student-athletes.
- **Preserve Standards:** Permit universities to preserve their standards and policies by prohibiting NIL agreements that are inconsistent with the university's values (e.g. tobacco, alcohol, gambling).

Finally, final rules will not go into effect until after the 2020-21 academic year, once the following steps occur:

- **August 30, 2020:** Each of the three NCAA divisions (Divisions I, II and III) must draft NIL legislative proposals.
- **October 31, 2020:** The respective divisional governing bodies must have revised NIL legislation.
- **January 31, 2021:** Divisional bodies must enact all NIL legislative proposals, to go into effect no later than the start of the 2021-22 academic year.

## COMPLIANCE AND INTEGRITY PROGRAM

### Coverage of Recommendations

The NCAA's report considered rule changes for two categories: third-party endorsements and compensation for student-athlete work product or business activities. These proposed rule changes would allow student-athletes to engage in paid promotional activities, and to receive compensation for outside business ventures, including social media. The report proposed a structure for approved NIL compensation, as follows:

- The compensation must be for genuine use of NIL and not as a disguised form of pay for athletics participation, and institutions and boosters may not use NIL opportunities as recruiting inducements;
- Institutions and conferences may play no role in facilitating a student-athlete's NIL activities, and may not make such payments themselves;
- Student-athletes may not be compensated for their NIL under conditions in which they have no legal right to demand such compensation;
- The role of third parties in student-athlete NIL activities must be regulated; and
- NIL rules may not interfere with NCAA members' efforts in the areas of diversity, inclusion or gender equity.

Key challenges in developing this structure remain. For example, the NCAA needs to decide how best to determine whether NIL compensation is truly at fair market value (and not a recruiting inducement), and how to regulate third parties (e.g., agents, advisors) who assist student-athletes in developing their NIL rights. The working group identified numerous additional challenges, including:

- Possible preclusion of NIL compensation related to alcohol, tobacco and sports gambling;
- Limitations placed on athletics shoe and apparel companies, "due to their history of encouraging or facilitating recruiting and other rules infractions;"
- Safeguards that do not impose "undue" burdens on student-athlete time;
- Additional preclusions on boosters;
- Creating resources on campus to educate student-athletes about the newly permitted activities and in a manner consistent with gender equity; and
- Revision of other NCAA rules to incorporate the NIL rights of athletes prior to enrollment at an NCAA institution.

### Institutional Response

The Athletic Department has established a task force to provide feedback to the Big Ten Conference and create guidelines for implementation on-campus. Specifically, the objectives of the task force are as follows: 1) develop legislative concepts surrounding the NIL principles for recommendation to the Big Ten Conference, 2) evaluate legislative concepts from other institutions and conferences, 3) establish guidelines and best practices for on-campus implementation, and 4) create a governance structure to assess the effectiveness of the established institutional guidelines.

The task force is comprised of individuals internal and external to the Athletic Department and includes representatives from Athletic Compliance, Athletic Administration, Business Advancement, Communications, Government Affairs, Legal Affairs, and Trademark and Affinity Management. The task force will submit reports to a subcommittee of the Athletic Council with the expectation that the Council will report results to senior leaders and the Board of Trustees.

At this time, it is impossible to predict what proposals will be developed and adopted by the NCAA membership at the 2021 NCAA Convention. The earliest effective date of the legislation adopted at the 2021 NCAA Convention would be August 2021. Notably, the NCAA Board of Directors recommended that the NCAA seek input from the



## COMPLIANCE AND INTEGRITY PROGRAM

federal government on the creation of a national standard as it relates to NIL. This request may slow down the implementation date of the new rules as the NCAA seeks input and feedback from Congress.

### 2. ENVIRONMENTAL HEALTH & SAFETY – COVID-19 AND LAB SAFETY IMPACT

Environmental Health and Safety (EHS) is responsible for health and safety compliance at all OSU campuses, extensions and university hospital complexes, including the Medical Center Safety & Emergency Preparedness Program. These responsibilities have been and will continue to be reshaped by responses to COVID-19.

#### Overall Programmatic Responsibilities

EHS's safety culture initiative has focused for several years on laboratory safety through education and training, while engaging college and department leaders through Site Assessment Scorecards and Reports for each college/administrative unit. In 2019, EHS also implemented a new Facilities Safety Program with a focus on maintenance, custodial and groundkeeper safety initiatives. EHS also has been directly involved in ongoing compliance oversight and new project evaluation in OSU's energy management contract with Ohio State Energy Partners (OSEP), which substantially changed many aspects of control over university physical environments.

EHS manages the regulatory relationships with the significant number of regulators governing these activities. Over the past five years (FY2015-FY2020), EHS has managed approximately 85 regulatory audits in connection with these responsibilities. While much of EHS' work is compliance focused, EHS also provides service operations, such as hazardous waste collection and disposal, routine hazardous materials sampling, and incident/emergency response, which are crucial to the success of the university's teaching, research, and patient care missions. The regulators and compliance coverage of these programmatic areas can be summarized as follows:

Program Area	Primary Regulators	Compliance Overview	OSU Examples
<b>Radiation Safety</b>	Nuclear Regulatory Commission (NRC) / Ohio Department of Health (ODH)	Compliance and licensing for all use of radioactive material and radiation generating devices in research and patient care	<ul style="list-style-type: none"> <li>• Oversight of nuclear research reactor</li> <li>• Patient treatment involving radiation therapy</li> <li>• Research use of radioactive materials</li> <li>• Oversight of all radiation generating equipment (i.e. medical and non-medical x-ray equipment)</li> </ul>
<b>Environmental Affairs</b>	US Environmental Protection Agency (USEPA) / Ohio Environmental Protection Agency (OEPA)	Compliance and permitting related to air, water, waste and asbestos	<ul style="list-style-type: none"> <li>• Packaging, pickup and disposal of hazardous wastes (i.e. infectious, chemical and universal wastes)</li> <li>• Storm water MS-4 permits</li> <li>• Asbestos abatement</li> </ul>
<b>Occupational Health &amp; Safety / Research Safety &amp; Biosafety</b>	Occupational Safety & Health Administration (OSHA) / Ohio Public Employee Risk Reduction Program (PERRP)	All compliance related to worker safety, including hazard communication, personal protective equipment and exposure limits	<ul style="list-style-type: none"> <li>• Respirator fit testing and training</li> <li>• Hearing conservation program</li> <li>• Laboratory use of hazardous chemicals and/or operations</li> <li>• Potential occupational exposure to human bloodborne pathogens</li> </ul>
<b>Medical Center Safety</b>	Center for Medicare Services (CMS) / The Joint Commission	Compliance related to Environment of Care, Life Safety and Emergency Management	<ul style="list-style-type: none"> <li>• Joint Commission 6 management plans (Safety, Security, Utilities, Hazardous Materials, Fire Safety, Medical Equipment) oversight</li> <li>• Project reviews to ensure regulatory compliance</li> <li>• Regulatory documentation for TJC/CMS:                             <ul style="list-style-type: none"> <li>- Interim life safety measures</li> <li>- Space/system categorization (NFPA 99, chapter 4)</li> </ul> </li> <li>• Emergency management exercises and EOP management</li> </ul>
<b>Research Safety &amp; Biosafety</b>	National Institutes of Health (NIH) / Centers for Disease Control (CDC)	Federal guidelines for use of recombinant or synthetic nucleic acid molecules and infectious agents in laboratory and/or clinical research	<ul style="list-style-type: none"> <li>• Human gene transfer clinical trial protocol review</li> <li>• Hazard evaluations for the use of biohazards / rDNA in research laboratories</li> </ul>

EHS is organized into five program areas, which align with the above regulatory categories (with some adjustments for organizational effectiveness). These program areas are as follows:

## COMPLIANCE AND INTEGRITY PROGRAM

- **Research Laboratory Safety (Chemical & Biological)** – focuses on management and regulatory compliance involving research laboratories on campus, by supporting the research goals of the university while actively promoting a safe working environment
- **Environmental Affairs** – compliance with environmental regulations under federal and state law
- **Medical Center Safety & Emergency Preparedness** – oversight and guidance for the environment of care, safety, life safety and emergency management programs in The Ohio State University Wexner Medical Center
- **Occupational Health & Safety** – assists the university community in identifying, evaluating and eliminating occupational and workplace hazards that can cause illness or injury
- **Radiation Safety** – provides regulatory compliance oversight for all use of radioactive materials and radiation generating devices, both in research and clinical applications

### COVID-19 Pandemic Response

EHS has been heavily involved in the OSU COVID-19 pandemic response and post-pandemic planning activities. EHS staff are relied upon for advice on implementing risk mitigation recommendations such as social distancing, protective equipment and other safety related issues. Throughout the response, staff provided educational sessions regarding personnel risk, spread of infection and cleaning and disinfection, for essential staff and construction teams still on the job site. Education sessions will continue as on-campus activities resume for additional staff and contractors. EHS Biosafety staff are working with the College of Medicine and Office of Research to review COVID-19 related protocols and get researchers access to the Biosafety Level 3 containment facility trained to begin work on these important studies.

The Laboratory Research Safety staff has flexed the laboratory safety inspection program to continue to provide inspection services by conducting remote documentation audits during the stay at home order. This has allowed staff to spend time focused on reviewing Chemical Hygiene Plans, biosafety protocols, training documentation and Standard Operating Procedures and aiding Principal Investigators and lab managers via video conferencing. However, because of the pandemic, EHS does not anticipate having the ability to provide site visits during CY2020, except for new laboratory operations, labs possessing radioactive materials or radiation generating equipment and other previously identified high risk labs. Site safety visits are expected to resume in CY2021.

### Key Upcoming Challenges

Various EHS staff have been involved in campus task forces and working groups to determine when and how to safely bring faculty, staff and students back to OSU campuses, including the Safe Campus & Scientific Advisory Group, the COVID-19 After Action Team (Facilities Design & Construction), and the Facilities Operations & Development Operations Working Group. As the OSU campuses begin to re-open, faculty, staff and students will undoubtedly have questions and concerns related to their health and safety and the spread of COVID-19. EHS staff will continue to work with OSUWMC leaders, college and administrative department leaders and various committees across campus to ensure that proper risk mitigation strategies are developed and implemented to keep our Buckeyes safe. As new information about COVID-19 emerges, EHS will communicate with public health and infectious disease experts across campus to determine what, if any, changes to processes need to occur.

EHS Laboratory Research Safety staff will work with the Office of Research as well as individual college leadership to recommend best practices and safety procedures for re-opening research labs across campus. EHS Occupational Health & Safety staff anticipate an increase in requests for building air quality assessments to help ensure occupants are safe as they return to campus. Beginning in 2015, EHS has administered a bi-annual Occupational Safety Culture survey to a representative group of the OSU community. The results help determine if the safety programs and initiatives developed and implemented by EHS are making a difference in how OSU faculty, staff and students view workplace safety. Due to the pandemic and the interruption in regular campus

## COMPLIANCE AND INTEGRITY PROGRAM

activities, EHS is postponing the upcoming bi-annual survey, scheduled for September 2020. By postponing the survey until next calendar year, it is believed the data will be more representative of normal campus operations and not solely focused on the COVID-19 response.

In addition, as part of an on-going effort to reduce risks involving the safety of construction sites for the University community and contractors, Facilities Design and Construction is working with the Office of Risk Management to develop and implement safety improvements. Potential improvements are expected include evaluations of contractor safety ratings as part of the selection process and guidelines for reviewing contractor safety plans.

The shifts to adapt to COVID-19 and related new safety practices implemented across campus, and ongoing fiscal constraints, may reduce the ability of EHS staff to offer important proactive services such as job hazard analyses, risk assessments and audits, and trend analyses. This could lead to an increase in workplace accidents/incidents, undermine past progress in creating a positive safety culture, and increase regulatory risks. EHS will continue using a risk-based approach by prioritizing the safety of students, faculty, and staff, responding appropriately to emerging issues, and assisting the university in meeting its regulatory obligations.

### 3. ISSUANCE OF FINAL TITLE IX REGULATIONS

On May 6, 2020, the Department of Education issued its final regulations governing Title IX, through a formal rulemaking process it initiated on November 29, 2018. These regulations have an effective date of August 14, 2020, and while it is possible that litigation may affect either provisions within the regulations or their effective date, the university intends to be in full compliance by that date, including modifying relevant university policies and procedures.

The scope and intent of the regulations are summarized in the Department's Executive Summary:

These final regulations are premised on setting forth clear legal obligations that require recipients to: promptly respond to individuals who are alleged to be victims of sexual harassment by offering supportive measures [and provide supportive measures equitably to any respondents, including students, faculty and staff;] follow a fair grievance process to resolve sexual harassment allegations when a complainant requests an investigation or a Title IX Coordinator decides on the recipient's behalf that an investigation is necessary; and provide remedies to victims of sexual harassment.

Regarding sexual harassment, the final regulations:

- Define the conduct constituting sexual harassment for Title IX purposes [which now includes sexual assault, domestic violence, dating violence, and sex-based stalking as defined by VAWA];
- Specify the conditions that activate a recipient's obligation to respond to allegations of sexual harassment and impose a general standard for the sufficiency of a recipient's response, and specify requirements that such a response must [sic] include, such as offering supportive measures in response to a report or formal complaint of sexual harassment to both parties equitably;
- Specify conditions that require a recipient to initiate a grievance process to investigate and adjudicate allegations of sexual harassment [involving students, faculty and staff];
- Establish procedural due process protections that must be incorporated into a recipient's grievance process to ensure a fair and reliable factual determination when a recipient investigates and adjudicates a formal complaint of sexual harassment, [including the

## COMPLIANCE AND INTEGRITY PROGRAM

requirement of a live hearing that provides for cross-examination to be conducted by a party's advisor of choice or an advisor provided by the institution at no charge; and

- Support the integration of informal resolution processes, including mediation and other alternative dispute resolution mechanisms, at any time prior to a final determination subject to the parties' voluntary consent.]” 34 C.F.R. Part 106, p. 20-21.

Additionally, the final regulations added additional detail into the existing approach of the Department of Education towards Title IX. These measures include language that:

- “Affirm[s] that the Department’s Office for Civil Rights (“OCR”) may require recipients to take remedial action for discriminating on the basis of sex or otherwise violating the Department’s regulations implementing Title IX, consistent with 20 U.S.C. 1682;
- Clarif[ies] that in responding to any claim of sex discrimination under Title IX, recipients are not required to deprive an individual of rights guaranteed under the U.S. Constitution; [and]
- Acknowledge[s] the intersection of Title IX, Title VII, and FERPA, . . . update the requirements for recipients to designate a Title IX Coordinator, disseminate the recipient’s non-discrimination policy and the Title IX Coordinator’s contact information, and notify students, employees, and others of the recipient’s grievance procedures and grievance process for handling reports and complaints of sex discrimination, including sexual harassment. . . .” 34 C.F.R. Part 106, p. 21.

The Office of Institutional Equity (OIE) has anticipated these regulations by working extensively with its university partners, including University Communications, the Offices of Legal Affairs, Compliance, Human Resources, and Student Affairs, members of University Senate and Student Government, and other key partners to discuss the possible changes to Title IX under the proposed regulations and implementation strategy. Now that the Title IX regulations have been released, OIE will work closely and quickly with these key partners to incorporate changes as they relate to existing student, faculty, and staff processes.

Specifically, OIE has been leading a revision of the university’s interim Sexual Misconduct and Affirmative Action, Equal Employment Opportunity and Non-Discrimination/Harassment Policies to meet the regulations’ enforcement deadline. The full policy revision process will then begin with an August 2021 target implementation date and will incorporate community input to the extent possible under the law.

Second, several provisions of the requirements have already been implemented, and OIE will begin working with its university partners on implementing the remainder in alignment with the policy revisions. OIE will also be working with the University Senate to incorporate these changes into existing faculty processes.

Finally, OIE will be communicating any necessary changes to the university community, both as part of the interim and full policy revision processes, to ensure that the processes reflect our community values and are carried out with respect for the individuals involved. These new regulations in large part form a floor, not a ceiling, and our processes must not only meet the new Title IX regulations, but advance the university’s values and mission.

## COMPLIANCE AND INTEGRITY PROGRAM

### 4. RESOLUTION AGREEMENT WITH OFFICE FOR CIVIL RIGHTS (OCR) [TITLE IX]

All requirements of the Resolution Agreement have been met, and OCR confirmed orally that Ohio State has no outstanding items under our Resolution Agreement; the university awaits final written confirmation.

Activity	Steps	Status				
		2014-15	2015-16	2016-17	2017-18	
<b>Title IX Coordinator</b>	<ul style="list-style-type: none"> <li>✓ Published detailed statement outlining the roles and responsibilities of Ohio State's Title IX Coordinator (11/15/14)</li> </ul>	Complete	Complete	Complete	Complete	All requirements met.
<b>Document Maintenance</b>	<ul style="list-style-type: none"> <li>✓ Created a coordinated document management process for all Title IX complaints (12/15/14)</li> </ul>	Complete	Complete	Complete	Complete	All requirements met.
<b>Policies</b>	<ul style="list-style-type: none"> <li>✓ Revised Notice of Nondiscrimination and "Reporting Sexual Assault" links (10/15/14).</li> <li>✓ Reviewed, revised, and finalized all sexual harassment policies and Code of Student Conduct (10/15/14) and (4/8/16).</li> <li>✓ Submitted evidence of policy communications in nineteenth progress report (10/15/16). Submitted annual information on complaints during academic year to OCR (6/10/16).</li> <li>✓ Submitted annual information on complaints during academic year to OCR (6/15/17).</li> </ul>	Complete	Complete	On Track	On Track	<ul style="list-style-type: none"> <li>• Submitted revised Code of Student Conduct and final Sexual Misconduct policy (8/5/16). Provided proof of policy communication (10/15/16).</li> <li>• Submitted information on AY 2015-16 complaints (6/10/16); OCR approval (12/19/18).</li> <li>• Submitted information on AY 2016-2017 complaints (6/15/17); <b>awaiting OCR feedback.</b></li> </ul>
<b>Training</b>	<ul style="list-style-type: none"> <li>✓ Reviewed Student Wellness Center programming (12/15/14)</li> <li>✓ Developed Title IX Coordinator and investigator training and Title IX training module for employees (12/15/14)</li> <li>✓ Reviewed and revised orientation program and materials for incoming students (12/15/14)</li> <li>✓ Verified annual Title IX training conducted during previous calendar year (6/10/16)</li> <li>✓ Provide training to specific groups identified in climate survey (annual)</li> </ul>	Complete	Complete	Complete	Complete	<ul style="list-style-type: none"> <li>• Training for 2016-17 and 2017-18 submitted and approved by OCR in 12/19/18 response.</li> <li>• Notified OCR of online training for AY 2018-19</li> </ul>
<b>Climate Assessment and Response</b>	<ul style="list-style-type: none"> <li>✓ Added OHR representative to Sexual Violence Consultation Team (1/15/15)</li> <li>✓ Established campus working group on Title IX and climate survey (9/30/14)</li> <li>✓ Reviewed last 2 years of sexual harassment complaints and developed recommended actions (12/15/14)</li> <li>✓ Developed and conducted annual climate survey (3/23-4/22/16)</li> <li>✓ Developed and conducted annual climate survey (2/5-3/10/17)</li> <li>✓ Analyze survey results to identify need for additional actions and training as appropriate (annual)</li> </ul>	Complete	Complete	Complete	Complete	<ul style="list-style-type: none"> <li>• Submitted AY15-16 climate survey recommendations and results (1/15/17).</li> <li>• Disseminated AY16-17 climate survey; as noted in 1/15/17 report, recommendations submitted before January 2018.</li> <li>• Disseminated AY16-17 climate survey (6/15/2017).</li> <li>• Results of AY16-17 climate survey &amp; recommendations submitted (1/31/18).</li> </ul>
<b>Student Focused Remedies</b>	<ul style="list-style-type: none"> <li>✓ Reviewed last 3 years of sexual harassment complaints for prompt and equitable investigation (1/15/15)</li> <li>✓ Take appropriate action to address identified problems (within 30 days of OCR approval)</li> </ul>	Complete	Complete	N/A	N/A	<ul style="list-style-type: none"> <li>• Reported findings (2/27/15 and 9/15/15); submitted identified "process improvements" (8/5/16). Approved by OCR (4/14/17).</li> </ul>
<b>Marching Band Investigation</b>	<ul style="list-style-type: none"> <li>✓ Developed timetable for corrective actions (11/1/14)</li> <li>✓ Submit quarterly progress report to OCR (beginning 10/15/14)</li> </ul>	Complete	Complete	Complete	Complete	<ul style="list-style-type: none"> <li>• Addressing ongoing climate surveys (6/15/2017). Approved by OCR (12/19/18).</li> </ul>






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# Efficiency and New Resources Scorecard

Board of Trustees Audit, Compliance & Finance Committee | June 3, 2020

# Highlights: Operational Excellence

Efficiency program	FY20 Target	FY20 YTD	Progress to goal	Status
University	\$44M	\$35.7M	81%	
Wexner Medical Center	\$42M	\$44.3M	105%	
Capital efficiencies*	\$11M	\$11.4M	103%	

\* Additional project underway to establish building comps benchmarks.

## NEW INITIATIVES FUNDED

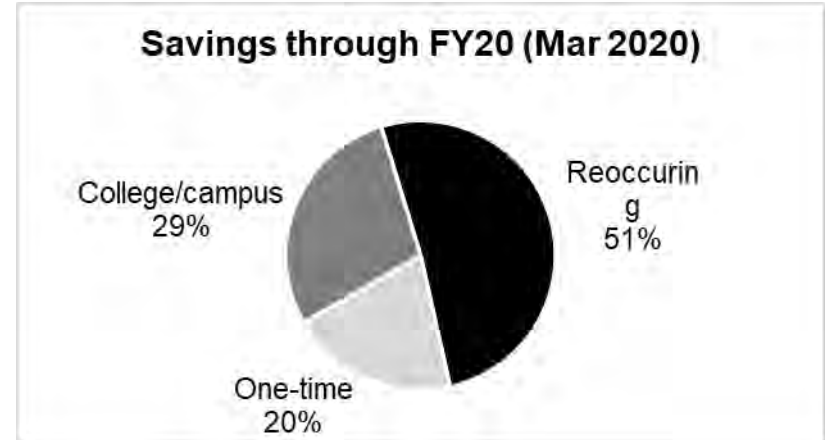
➤ More than \$200 million has been committed through fiscal 2020 to fund four major initiatives

Strategic initiative	Description	Funding source
President's Affordability Grants	Aid for 15,000+ low- and moderate-income Ohioans per year	Administrative efficiencies
Buckeye Opportunity Program	Aid package covers tuition for Ohio students who qualify for Pell grants	Innovative funding
Digital Flagship	24,000 students receive iPad learning technology suite through FY20	Administrative efficiencies
University Institute for Teaching and Learning	Teaching excellence program available to faculty	Innovative funding

# Operational efficiency scorecard

The university set a 5-year, \$200 million goal for non-WMC efficiencies

<b>FY20 goal</b>	\$200M		
<b>Progress to date</b>	<b>Total</b>	<b>% of goal</b>	<b>Status</b>
Through FY18	\$114.2M	57%	●
Through FY19	\$167.8M	84%	●
Projected through FY20	\$215.5M	108%	●



## OTHER EFFICIENCY HIGHLIGHTS


The university's 2020 goals focused on savings that could be redeployed to student financial aid and other strategic initiatives. Other initiatives reduce the cost of capital projects and operating costs at colleges and the Wexner Medical Center.

	<b>Progress through FY20</b>	<b>Status</b>	<b>Notes</b>
Strategic procurement	\$452.0M compared with FY12	●	Savings largely benefit colleges and units through discounted rates on goods and services
Wexner Medical Center	\$616.2M since FY15	●	Margin improvement has increased days cash on hand metric from 81 days to 168.2 days
Capital projects	\$99.3M In FY18 thru FY20 YTD	●	Costs avoided by improving project accounting, capturing bid favorability, budget reductions



## New resource scorecard

The university set a 5-year, \$200 million goal for innovative funding

<b>FY20 goal</b>	\$200M	
<b>Major projects</b>	<b>Upfront total</b>	<b>Annual funding through FY20</b>
NIKE extension	\$22.5M	\$6.4M
Comprehensive Energy Management	\$1.07B	\$99.1M
Coca-Cola extension	\$6M	\$550,000
<b>Total</b>	<b>\$1.1B</b> 	<b>\$106.1M</b>

### OTHER NEW RESOURCE HIGHLIGHTS

The university continues to benefit from innovative funding work that pre-dated the 2020 goal. Examples include:

<b>Project</b>	<b>New resource metric</b>	<b>Notes</b>
Parking	\$176.4M distributed FY13-FY20	Supports 200+ scholarships per year, 137 Discovery Themes faculty hires, Arts District and more
Affinity relationships	160+ internships per year	Relationships with Nationwide, Huntington and other partners support the campus in various ways



**THE OHIO STATE UNIVERSITY**

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# Major Project Updates

Projects Over \$20M

June 2020



# PROJECT STATUS REPORT CURRENT PROJECTS OVER \$20M

PROJECT	CONS COMPLETION DATE	APPROVALS		BUDGET	ON TIME	ON BUDGET
		Des	Con			
OSU East – West Wing Expansion/Renovation	7/2020	✓	✓	\$26.0M		
Mars G. Fontana Laboratories (BMEC)	7/2020	✓	✓	\$59.1M		
Wooster – New Laboratory Building	9/2020	✓	✓	\$33.5M		
Optometry Clinic and Health Sciences Faculty Office Bldg	10/2020	✓	✓	\$35.9M		
WMC Inpatient Hospital – Central Sterile Supply	11/2020	✓	✓	\$45.3M		
Postle Partial Replacement	12/2020	✓	✓	\$95.0M		
Ty Tucker Tennis Center	12/2020	✓	✓	\$22.8M		
Newark – John & Mary Alford Ctr for Sci and Technology	2/2021	✓	✓	\$32.0M		
WMC Inpatient Hosp Garage, Infr & Roadwork	3/2021	✓	✓	\$102.1M		
WMC Outpatient Care New Albany	7/2021	✓	✓	\$137.9M		
Dodd – Parking Garage	5/2022	✓	✓	\$33.3M		
Celeste Lab Renovation	8/2022	✓	✓	\$31.1M		
WMC Outpatient Care Dublin	9/2022	✓	✓	\$161.2M		
Interdisciplinary Research Center	2/2023	✓	✓	\$237.5M		
WMC Outpatient Care West Campus	TBD	✓	✓	\$343.7M		

Key: On track Watching closely Not on track Modified Scope/Paused



# PROJECT STATUS REPORT CURRENT PROJECTS OVER \$20M

PROJECT	CONS COMPLETION DATE	APPROVALS		BUDGET	ON TIME	ON BUDGET
		Des	Con			
Arts District	1/2022	✓	✓	\$161.6M		
Instructional Sciences Renewal and Deferred Maint.	7/2022	✓	✓	\$25.0M		
Controlled Environment Food Prod. Research Complex	TBD	✓	✓	\$35.0M		
Interdisciplinary Health Sciences Center	TBD	✓	✓	\$155.9M		
Lacrosse Stadium	TBD	✓		\$20.0M		
Newton Hall – Renovation and Addition	TBD	✓	✓	\$24.5M		



## WOOSTER – NEW LABORATORY BUILDING



Construct a new facility for Entomology research that will include teaching labs, research space, classrooms, and a small café. The new building will connect to a central chilled water plant.

### PROJECT FUNDING

university funds; state appropriations

### CURRENT PROJECT BUDGET

construction w/cont	\$30.0M
total project	\$33.5M

### CONSULTANTS

architect of record	Hasenstab
CM at Risk	Elford



### PROJECT SCHEDULE

BoT approval	1/17
construction	10/18-9/20
facility opening	10/20

### PROJECT UPDATE

Structural steel and metal deck installation are nearly complete. Framing and installation of brick are in process. Air handling units have been set on the roof. Campus chilled water improvements are complete.

On Time

On Budget



## POSTLE PARTIAL REPLACEMENT

Replace the southern portion of the east wing and renovate/upgrade portions of the west wing.

### PROJECT FUNDING

fundraising; state appropriations; department funds; university debt

### CURRENT PROJECT BUDGET

construction w/cont	\$85.4M
total project	\$95.0M

### CONSULTANTS

architect of record	Design Group
des architect	Robert AM Stern Arch
CM at Risk	Gilbane

### PROJECT SCHEDULE

BoT approval	9/16
construction	6/18-12/20
facility opening	TBD

### PROJECT UPDATE

Connector work between Postle and the new addition continues. Interior wall framing and mechanical/electrical/plumbing is progressing. The university is working with the CMR and College to develop a revised schedule.

 On Time

 On Budget





## NEWARK – JOHN & MARY ALFORD CTR FOR SCIENCE AND TECHNOLOGY

Construct a 60,000 GSF facility containing biological and physical sciences research and teaching labs, classrooms, collaborative learning and academic support spaces. Spaces will serve both the Newark campus and Central Ohio Technical College.

### PROJECT FUNDING

university funds; university debt; development

### CURRENT PROJECT BUDGET

construction w/cont	\$29.7M
total project	\$32.0M

### CONSULTANTS

architect of record	DesignGroup
CM at Risk	Smoot

### PROJECT SCHEDULE

BoT approval	8/18
construction	7/19-2/21
facility opening	3/21

### PROJECT UPDATE

Structural steel, decking and floor slabs are complete. Building enclosure is ongoing.



 On Time

 On Budget



## WMC OUTPATIENT CARE DUBLIN

Construct an approximately 272,000-square foot ambulatory building that will include ambulatory surgery, endoscopy, primary care, specialty medical and surgical skills, and related support

### PROJECT FUNDING

auxiliary funds

### CURRENT PROJECT BUDGET

construction w/cont	\$105.0M
total project	\$161.2M

### CONSULTANTS

architect of record	DLR/WRL
CM at Risk	Corna/Kokosing

### PROJECT SCHEDULE

BoT approval	5/19
construction	9/20-9/22
facility opening	11/22

### PROJECT UPDATE

Design is ongoing and construction is scheduled to begin this fall.



On Time



On Budget





## WMC OUTPATIENT CARE WEST CAMPUS

Construct an approximately 385,000-square foot ambulatory facility including a surgical center, proton therapy, and medical office space. The proton therapy facility will focus on cutting-edge cancer treatments and research in flash technology. The facility will also include a 640-space parking garage.

### PROJECT FUNDING

auxiliary funds

### CURRENT PROJECT BUDGET

construction w/cont	\$215.4M
total project	\$343.7M

### CONSULTANTS

architect of record	Perkins & Will
CM at Risk	BoldtLinbeck

### PROJECT SCHEDULE

BoT approval	11/18
construction	TBD
facility opening	TBD
facility opening – proton	TBD

### PROJECT UPDATE

Project is completing design development drawings.

 On Time

 On Budget



## ARTS DISTRICT

Renovate and expand the School of Music building and construct a new Department of Theatre building. The project will also extend Annie and John Glenn Avenue from College Road to High Street and make modifications to College Road and adjacent pedestrian spaces.

**PROJECT FUNDING**  
university funds

**CURRENT PROJECT BUDGET**

construction w/cont	\$144.0M
total project	\$161.6M

**CONSULTANTS**

architect of record	DLR Group
CM at Risk	Holder Construction

**PROJECT SCHEDULE**

BoT approval	8/15
construction	6/19-1/22
facility opening	3/22

**PROJECT UPDATE**

Steel erection for School of Music is ongoing. This project will continue with the completion of the School of Music, but the university has delayed the construction of Department of Theater. The university remains committed to completing the project and will evaluate a revised schedule for the Department of Theater scope at the appropriate time.

 On Time

 On Budget





## INSTRUCTIONAL SCIENCE BUILDINGS DEFERRED MAINTENANCE

Renew mechanical, electrical and plumbing services in selected buildings, including Mendenhall Laboratory, Bolz Hall, Howlett Hall, and Parks Hall.

### PROJECT FUNDING

university debt

### CURRENT PROJECT BUDGET

construction w/cont	\$23.0M
total project	\$25.0M

### CONSULTANTS

architect of record	Hasenstab
CM at Risk	Whiting-Turner

### PROJECT SCHEDULE

BoT approval	11/18
construction	6/20 – 7/22
facility opening	7/22

### PROJECT UPDATE

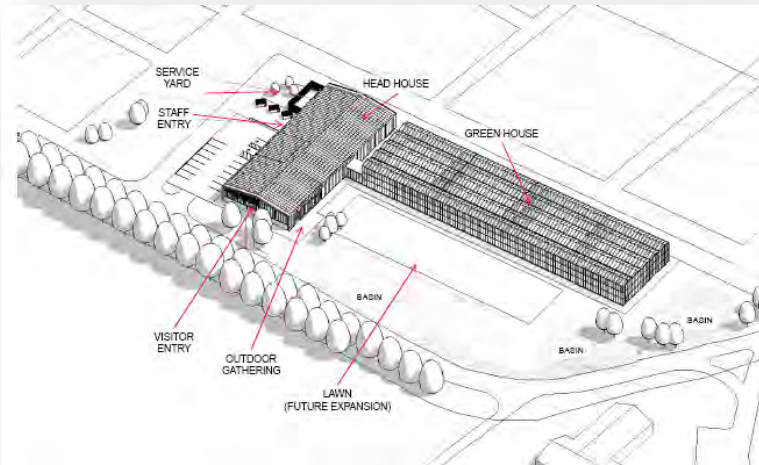
Mechanical improvements have started on the 4<sup>th</sup> floor of Bolz Hall. Parks Hall is currently completing GMP documents and work will include upgrades to mechanical systems and new heat exchanges.

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. Design development documents for Mendenhall Lab and Howlett Hall have been completed but additional steps need further evaluation.

 On Time

 On Budget





## CONTROLLED ENVIRONMENT FOOD PRODUCTION RESEARCH COMPLEX

Construct a new facility to house research and support learning in several approaches to food (plant and fish) production; research on greenhouse engineering, pest and pathogen management, and plant breeding.

### PROJECT FUNDING

fundraising

<b>CURRENT PROJECT BUDGET</b>	
construction w/cont	\$31.8M
total project	\$35.0M

<b>CONSULTANTS</b>	
architect of record	Erdy McHenry
CM at Risk	Corna/Kokosing

### PROJECT SCHEDULE

BoT approval	6/17
construction	TBD
facility opening	TBD

### PROJECT UPDATE

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. This project has been paused while the university evaluates a modified timeline.



On Time



On Budget



## INTERDISCIPLINARY HEALTH SCIENCES CENTER

Multi-phase renovation of 120,000 sf and addition of 100,000 sf to create a collaborative campus for inter-professional education throughout the health science, including the College of Medicine, Optometry, Nursing, and the School of Health and Rehabilitation Sciences. Program spaces include classrooms, anatomy labs, research labs, administrative and building support.

### PROJECT FUNDING

auxiliary funds; department funds; state funds; fundraising

### CURRENT PROJECT BUDGET

construction w/cont	\$135.4M
total project	\$155.9M

### CONSULTANTS

architect of record	Acock Assoc
CM at Risk	Gilbane

### PROJECT SCHEDULE

BoT approval	11/17
construction	TBD
facility opening	TBD

### PROJECT UPDATE

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. The project was paused in May and will be reevaluated at the appropriate time.



## LACROSSE STADIUM



Construct a new outdoor lacrosse stadium in the Athletics District, east of the Covelli Center, for the Men’s and Women’s varsity programs. The venue will include an outdoor field, seating for 2,500, locker rooms and concessions.

### PROJECT FUNDING

fundraising

### CURRENT PROJECT BUDGET

construction w/cont	\$17.7M
total project	\$20.0M

### CONSULTANTS

architect of record	HOK
CM at Risk	Ruscilli

### PROJECT SCHEDULE

BoT approval	8/19
construction	TBD
facility opening	TBD

### PROJECT UPDATE

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. Project design will be completed on schedule. The project will then be paused to evaluate a revised timeline for construction.

On Time

On Budget



## NEWTON HALL – RENOVATION AND ADDITION

Construct an addition of approximately 35,000 sf to the south that will include flexible classrooms, information learning spaces and offices.

### PROJECT FUNDING

department funds; fundraising; university debt; state funds

### CURRENT PROJECT BUDGET

construction w/cont	\$21.8M
total project	\$24.5M

### CONSULTANTS

architect of record	Meacham & Apel
CM at Risk	Ruscilli

### PROJECT SCHEDULE

BoT approval	2/18
construction	TBD
facility opening	TBD

### PROJECT UPDATE

Following an evaluation of capital projects related to the uncertainty of COVID-19, projects that can be slowed or modified have been identified. Project design is complete and the project has been paused. A revised timeline for construction is under review.

 On Time






 On Budget

<b>AUDIT, COMPLIANCE, AND FINANCE COMMITTEE</b>						2015-16	2016-17	2017-18	2018-19	Current Status <sup>1</sup>	
<b>A. Strategic Risk Mitigation Effectiveness</b>											
1. <b>Education</b> (risks related to decrease in academic standing; harm in ability to attract faculty/students)						↔	↑	↔	↔	TBD	
2. <b>Scholarship</b> (challenges to ability to perform significant academic or scientific research)						↓	↑	↔	↔	TBD	
3. <b>Information Technology</b> (inability to store, develop, transmit, or protect data)						↔	↔	↑	↔	TBD	
4. <b>Student Life</b> (inability to maintain an environment conducive to student life)						↔	↔	↔	↔	TBD	
5. <b>Athletics</b> (risk of disruption to Athletics operations, including significant NCAA violation)						↔	↔	↑	↔	TBD	
6. <b>Medical</b> (significant reduction in performance of the health system and related colleges)						↑	↔	↑	↔	TBD	
7. <b>Financial</b> (inability to reach capital, revenue, or cost containment objectives)						↔	↔	↔	↔	TBD	
8. <b>Physical Environment</b> (loss of infrastructure; major event impacting ongoing operations, including campus safety)						↔	↔	↑	↑	TBD	
9. <b>Government, Community and Affiliates</b> (failure to monitor and develop government, community, or affiliate relationships)						↓	↑	↓	↔	TBD	
10. <b>Talent and Culture</b> (failure to attract, develop, or retain talent)						↔	↔	↔	↔	TBD	
11. <b>Advancement</b> (events impacting Ohio State brand, alumni relationships, or advancement objectives)						↓	↔	↑	↓	TBD	
12. <b>Compliance</b> (failure to meet regulatory, legal, or policy requirements not captured in above categories)						↔	↑	↑	↔	TBD	
						2014-15	2015-16	2016-17	2017-18	2018-19	2019-20
<b>B. Public Records<sup>2</sup></b>											
1. Number of records requests closed (3-year average: 1,081)						769	842	964	890	1400	767
2. Average days to fill all records requests						21	15	16.0	20.7	32.1	21.5
<b>C. Regulatory Actions<sup>3</sup></b>											
1. Number of current regulatory actions						7	10	12	11	14	23
<b>D. Internal Audit</b>											
1. Number of audits cleared at second follow-up during the fiscal year						10	10	10	9	12	12
2. Number of audits open after second follow-up or cleared at third follow-up or later during the fiscal year						2	4	9	12	9	9

**COMMENTS & FOOTNOTES**
<sup>1</sup>Per University Risk Management, the university risk assessment is undergoing revision.

<sup>2</sup>Processed by Public Records Office only

<sup>3</sup>Includes audits, fines, probations, sanctions, warnings, or other similar actions

<b>Mitigation Effectiveness Rating</b>		<b>Trend</b>	
	Meets or Exceeds Goal	↑	Environment/Performance Improving
		↔	No Significant Change/On Track
	Caution	↓	Environment/Performance Worsening
			
	Below Goal - Action Needed		



THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES  
FINANCE COMMITTEE

**TOPIC:** Annual Waiver Report for the 2019 Calendar Year

**BACKGROUND:**

In accordance with Board policy, we are required to present to the Board of Trustees a summary of waivers of competitive bidding annually. Waivers to competitive bidding may be made for various reasons including sole source vendors, emergency purchases, sufficient economic reasons or the purchase is for a type of service or commodity that is permitted to be waived under a prior Board of Trustee resolution. Those items include software license renewals, term orders for utilities, talent fees for performers, renovations and repairs, consulting and physician services.

This report is for informational purposes only, and no Board action is required.

**SUMMARY:**

2019 Calendar Year (1/1/2019 - 12/31/2019)

A total of 1,078 waivers of competitive bidding were approved as sole source, emergency or for economic reasons, and by Board of Trustees resolution totaling approximately \$389.2 million.

- Twenty-four percent (24%) or \$94 million of spend was sole source waivers
- Less than one percent (<1%) or \$1 million of spend was emergency purchase waivers
- Thirty-seven percent (37%) or \$145 million of spend was for sufficient economic reason
- Thirty-eight percent (38%) or \$149 million of spend was Board of Trustees resolution waivers

2018 Calendar Year (1/1/2018 - 12/31/2018)

A total of 1,104 waivers of competitive bidding were approved as sole source, emergency or for economic reasons, and by Board of Trustees resolution totaling approximately \$452.5 million.

- Thirty-three percent (33%) or \$150 million of spend was sole source waivers
- One percent (1%) or \$3 million of spend was emergency purchase waivers
- Thirty-four percent (34%) or \$155 million of spend was for sufficient economic reason
- Thirty-two percent (32%) or \$145 million of spend was Board of Trustees resolution waivers

Year-Over-Year Comparison

Year-over-year, the total number of waivers issued decreased by 62, and the waiver spend decreased by \$63.4 million. This decrease was primarily attributed to waivers in the sole source category, primarily driven by Health Systems Administrative Equipment and Services (\$51 million), and Instructional and Academic Research Equipment and Services waivers (\$12 million).

**The Ohio State University**  
**Competitive Bid Waiver Report for calendar year 2019**

<u>Category</u>	<u>Sufficient Economic Reason</u>	<u>Count</u>	<u>Emergency</u>	<u>Count</u>	<u>Sole Source</u>	<u>Count</u>	<u>Total</u>	<u>Count</u>
Academic Support	\$ 40,103,878	58	\$ 176,286	2	\$ 22,694,081	58	\$ 62,974,245	118
Administrative Support Equipment and Services	\$ 58,604,565	81	\$ 621,474	2	\$ 6,296,423	58	\$ 65,522,462	141
Instructional and Academic Research Equipment and Services	\$ 7,852,824	68	\$ -	0	\$ 11,460,402	107	\$ 19,313,226	175
Health Systems - Merchandise for Resale							\$ -	0
Health Systems - Professional Health Care Services	\$ 4,444,444	15	\$ 250,000	1	\$ 6,192,959	19	\$ 10,887,403	35
Health Systems - Administrative Equipment and Services	\$ 34,258,518	61	\$ 175,560	3	\$ 47,514,232	95	\$ 81,948,310	159
<b>TOTAL WAIVERS</b>	<b>\$ 145,264,229</b>	<b>283</b>	<b>\$ 1,223,320</b>	<b>8</b>	<b>\$ 94,158,097</b>	<b>337</b>	<b>\$ 240,645,646</b>	<b>628</b>
Waivers Authorized by BOT Resolutions							\$ 36,489,413	252
Health Systems - Waivers Authorized by BOT Resolutions							\$ 112,051,378	198
<b>TOTAL BOT Resolutions</b>							<b>\$ 148,540,791</b>	<b>450</b>
<b>GRAND TOTAL</b>							<b>\$ 389,186,437</b>	<b>1,078</b>

**The Ohio State University**  
**Competitive Bid Waiver Report for calendar year 2018**

<u>Category</u>	<u>Sufficient Economic Reason</u>	<u>Count</u>	<u>Emergency</u>	<u>Count</u>	<u>Sole Source</u>	<u>Count</u>	<u>Total</u>	<u>Count</u>
Academic Support	\$ 74,492,828	68	\$ 1,377,993	3	\$ 19,208,388	81	\$ 95,079,209	152
Administrative Support Equipment and Services	\$ 15,136,185	19	\$ 810,435	5	\$ 3,635,411	12	\$ 19,582,031	36
Instructional and Academic Research Equipment and Services	\$ 28,119,354	109	\$ 243,883	4	\$ 23,355,981	172	\$ 51,719,218	285
Health Systems - Merchandise for Resale							\$ -	0
Health Systems - Professional Health Care Services	\$ 2,252,439	16	\$ -	0	\$ 5,479,745	30	\$ 7,732,184	46
Health Systems - Administrative Equipment and Services	\$ 34,505,985	66	\$ 394,551	6	\$ 98,357,010	103	\$ 133,257,546	175
<b>TOTAL WAIVERS</b>	<b>\$ 154,506,791</b>	<b>278</b>	<b>\$ 2,826,862</b>	<b>18</b>	<b>\$ 150,036,535</b>	<b>398</b>	<b>\$ 307,370,188</b>	<b>694</b>
Waivers Authorized by BOT Resolutions							\$ 44,139,453	319
Health Systems - Waivers Authorized by BOT Resolutions							\$ 101,041,033	127
<b>TOTAL BOT Resolutions</b>							<b>\$ 145,180,486</b>	<b>446</b>
<b>GRAND TOTAL</b>							<b>\$ 452,550,674</b>	<b>1,140</b>

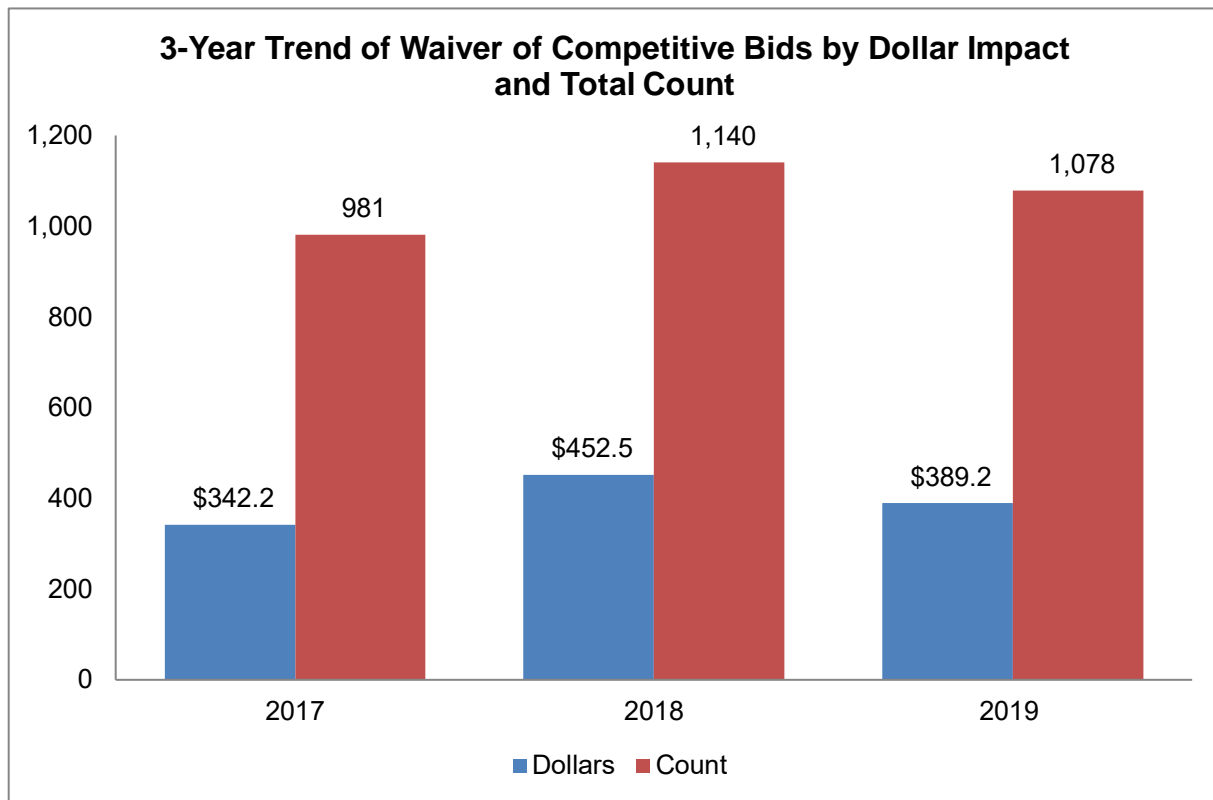
**The Ohio State University**  
**Competitive Bid Waiver Report Comparison for 2019 and 2018**

<u>Category</u>	<u>Sufficient Economic Reason</u>	<u>Count</u>	<u>Emergency</u>	<u>Count</u>	<u>Sole Source</u>	<u>Count</u>	<u>Total</u>	<u>Count</u>
Academic Support	\$ (34,388,950)	(10)	\$ (1,201,707)	(1)	\$ 3,485,693	(23)	\$ (32,104,964)	(34)
Administrative Support Equipment and Services	\$ 43,468,380	62	\$ (188,961)	(3)	\$ 2,661,012	46	\$ 45,940,431	105
Instructional and Academic Research Equipment and Services	\$ (20,266,530)	(41)	\$ (243,883)	(4)	\$ (11,895,579)	(65)	\$ (32,405,992)	(110)
Health Systems - Merchandise for Resale	\$ -	0	\$ -	0	\$ -	0	\$ -	0
Health Systems - Professional Health Care Services	\$ 2,192,005	(1)	\$ 250,000	1	\$ 713,214	(11)	\$ 3,155,219	(11)
Health Systems - Administrative Equipment and Services	\$ (247,467)	(5)	\$ (218,991)	(3)	\$ (50,842,778)	(8)	\$ (51,309,236)	(16)
<b>TOTAL WAIVERS</b>	<b>\$ (9,242,562)</b>	<b>5</b>	<b>\$ (1,603,542)</b>	<b>(10)</b>	<b>\$ (55,878,438)</b>	<b>(61)</b>	<b>\$ (66,724,542)</b>	<b>(66)</b>
Waivers Authorized by BOT Resolutions							\$ (7,650,040)	(67)
Health Systems - Waivers Authorized by BOT Resolutions							\$ 11,010,345	71
<b>TOTAL BOT Resolutions</b>							<b>\$ 3,360,305</b>	<b>4</b>
<b>GRAND TOTAL</b>							<b>\$ (63,364,237)</b>	<b>(62)</b>

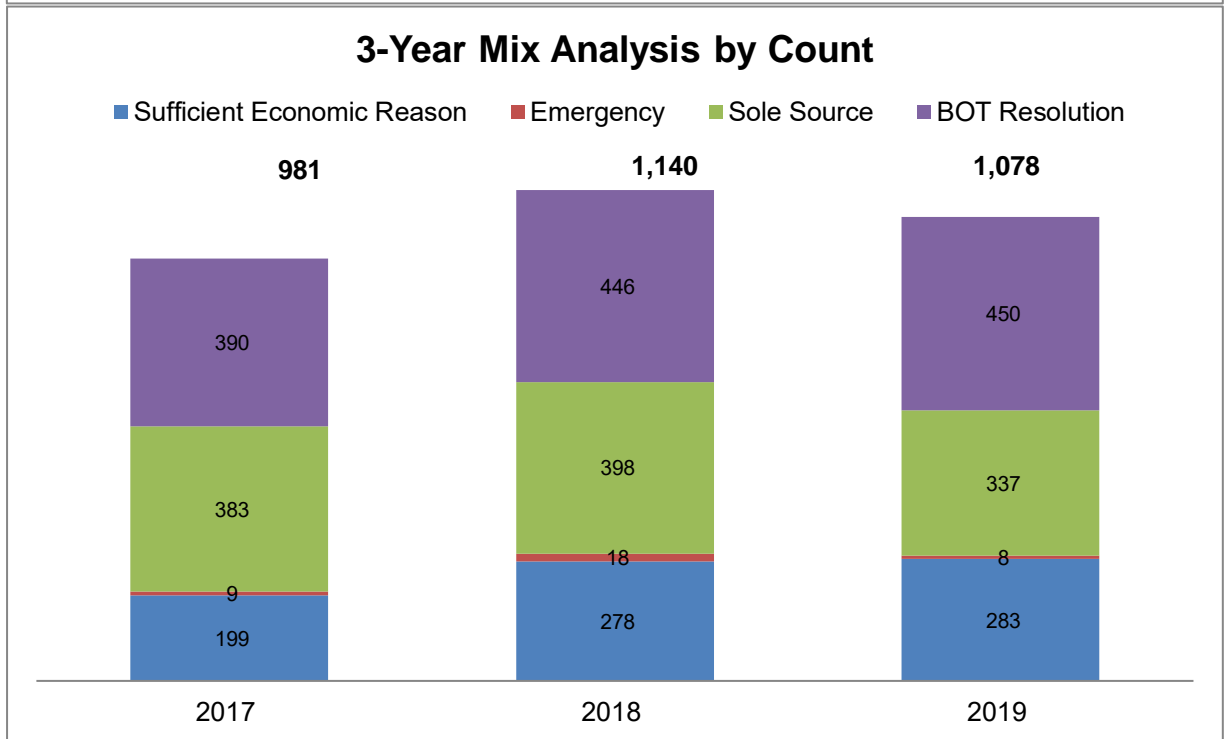
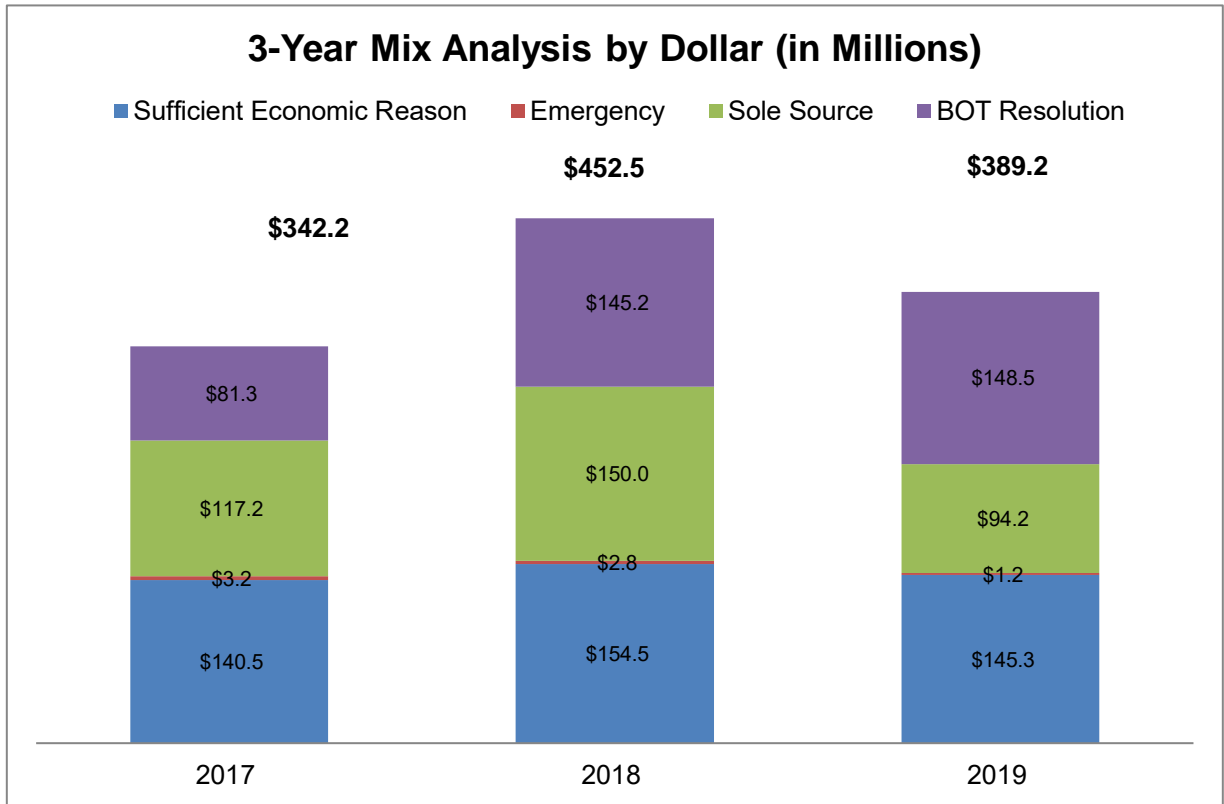
## Annual Waiver Report for Calendar Year 2019

### Waiver of Competitive Bids Summary 2017 - 2019

Waiver Type <i>(\$ in Millions)</i>	2017		2018		2019	
	Dollars	Count	Dollars	Count	Dollars	Count
<b>Sufficient Economic Reason</b>	\$ 140.5	199	\$ 154.5	278	\$ 145.3	283
<b>Emergency</b>	\$ 3.2	9	\$ 2.8	18	\$ 1.2	8
<b>Sole Source</b>	\$ 117.2	383	\$ 150.0	398	\$ 94.2	337
<b>BOT Resolution</b>	\$ 81.3	390	\$ 145.2	446	\$ 148.5	450
<b>TOTAL</b>	<b>\$ 342.2</b>	<b>981</b>	<b>\$ 452.5</b>	<b>1,140</b>	<b>\$ 389.2</b>	<b>1,078</b>



## Waiver of Competitive Bids Summary Calendar Years 2017 - 2019



**FULL-BOARD PUBLIC SESSION – STANDARD BUSINESS  
WEDNESDAY, JUNE 3, 2020**

Livestream will be available via: <https://trustees.osu.edu/>

**5:30-5:45pm Full-Board Public Session – Standard Business**

- Approval of February 2020 and April 2020 Meeting Minutes
- President's Report
- Consent Agenda
  1. Resolutions in Memoriam
  2. Personnel Actions
  3. Amendment to the Bylaws of The Ohio State University Board of Trustees
  4. Ratification of Committee Appointments 2020-2021
  5. Name Changes for the Department of Family Medicine and Graduate Program in Comparative and Veterinary Medicine
  6. Amend the Cap on Clinical Faculty in the College of Veterinary Medicine
  7. Amendments to the Rules of the University Faculty
  8. Faculty Personnel Actions
  9. Honorary Degrees
  10. Degrees and Certificates
  11. University Foundation Report
  12. Naming of the Timashev Family Music Building
  13. Naming of the American Electric Power Foundation Atrium
  14. Naming of the Kokosing Design Studio
  15. Naming of Internal Spaces in Postle Hall
  16. Naming of the Greg Gordon Hydraulics Lab
  17. Naming of the Charles R. Morin, Jr. Teaching and Research Laboratory
  18. Naming of the John Ratliff Research Laboratory
  19. Naming of the Greg and Robin Comfort Collaboration Areas
  20. Naming of Internal Spaces in Bolz Hall
  21. Naming of the Cindy & Larry Hilsheimer Conference Room
  22. Naming of the Smariga Family Café
  23. Naming of the Robert E. Schafrik Research Laboratory
  24. Naming Policy
  25. Approval of FY21 Tuition and Mandatory Fees
  26. Approval of FY21 User Fees and Charges
  27. Approval of FY21 Interim Operating Budget
  28. Authorization for Designated Officials to Buy, Sell, Assign and Transfer Securities
  29. Extension of Prior Authorization for the Issuance of General Receipts Obligations and Authorization of Multiyear Debt Issuance Program II of the University
  30. Reappointments to the Self-Insurance Board

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND FIVE HUNDRED AND FOURTH  
MEETING OF THE BOARD OF TRUSTEES

Columbus, Ohio, February 26-27, 2020

The Board of Trustees met on Wednesday, February 26, 2020, at the Longaberger Alumni House and The Blackwell Inn & Conference Center in Columbus, Ohio, and on Thursday, February 27, 2020, at the Longaberger Alumni House in Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.



February 27, 2020, Board of Trustees meeting

Chairman Gary R. Heminger called the meeting of the Board of Trustees to order on Wednesday, February 26, 2020 at 6:48 p.m.

Members Present: Gary R. Heminger, Timothy P. Smucker, Alex Shumate, Cheryl L. Krueger, Brent R. Porteus, Alexander R. Fischer, Hiroyuki Fujita, Alan A. Stockmeister, John W. Zeiger, Elizabeth P. Kessler, Lewis Von Thaeer, Jeff M.S. Kaplan, Michael Kiggin, Janice M. Bonsu and Anand Shah

Members Absent: Abigail S. Wexner, Erin P. Hoeflinger

Mr. Heminger:

I would like to convene the meeting of the Board of Trustees and ask the secretary to please note the attendance.

Ms. Eveland:

A quorum is present.

Mr. Heminger:

I hereby move that the board recess into executive session to consider business-sensitive trade secrets required to be kept confidential by federal and state statutes, and to discuss personnel matters regarding the appointment, employment, promotion or compensation of public officials. May I have a second? Will the secretary please call the roll?

Upon the motion of Mr. Heminger, seconded by Mr. Fischer, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by trustees Mr. Heminger, Mr. Smucker, Mr. Shumate, Ms. Krueger, Mr. Porteus, Mr. Fischer, Dr. Fujita, Mr. Stockmeister, Mr. Zeiger, Ms. Kessler, Mr. Von Thaeer, Mr. Kaplan, Mr. Kiggin, Ms. Bonsu and Mr. Shah.

Ms. Eveland:

Motion carries.

Mr. Heminger:

We are recessed.

The meeting adjourned at 9:05 p.m.

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February 27, 2020, Board of Trustees meeting

Chairman Gary R. Heminger called the meeting of the Board of Trustees to order on Thursday, February 27, 2020, at 12:21 p.m.

Members Present: Gary R. Heminger, Timothy P. Smucker, Alex Shumate, Cheryl L. Krueger, Brent R. Porteus, Alexander R. Fischer, Hiroyuki Fujita, Alan A. Stockmeister, John W. Zeiger, Elizabeth P. Kessler, Lewis Von Thae, Jeff M.S. Kaplan, Michael Kiggin, Janice M. Bonsu and Anand Shah

Members Absent: Abigail S. Wexner, Erin P. Hoeflinger

Mr. Heminger:

I would like to convene the meeting of the Board of Trustees and ask the secretary to please note the attendance.

Ms. Eveland:

A quorum is present.

Mr. Heminger:

I hereby move that the board recess into executive session to consider business-sensitive trade secrets required to be kept confidential by federal and state statutes, and to consult with legal counsel regarding pending or imminent litigation. May I have a second? Will the secretary please call the roll?

Upon the motion of Mr. Heminger, seconded by Dr. Fujita, the Board of Trustees adopted the foregoing motion by majority roll call vote, cast by trustees Mr. Heminger, Mr. Smucker, Mr. Shumate, Ms. Krueger, Mr. Porteus, Mr. Fischer, Dr. Fujita, Mr. Stockmeister, Mr. Zeiger, Ms. Kessler, Mr. Von Thae, Mr. Kaplan, Mr. Kiggin, Ms. Bonsu and Mr. Shah. Mr. Smucker and Mr. Shumate arrived late.

Ms. Eveland:

Motion carries.

Mr. Heminger:

We are recessed.

The meeting adjourned at 1:00 p.m.

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February 27, 2020, Board of Trustees meeting

Chairman Gary R. Heminger called the meeting of the Board of Trustees to order on Thursday, February 27, 2020, at 1:15 p.m.

Members Present: Gary R. Heminger, Timothy P. Smucker, Alex Shumate, Cheryl L. Krueger, Brent R. Porteus, Alexander R. Fischer, Hiroyuki Fujita, Alan A. Stockmeister, John W. Zeiger, Elizabeth P. Kessler, Lewis Von Thae, Jeff M.S. Kaplan, Michael Kiggin, Janice M. Bonsu and Anand Shah

Members Absent: Abigail S. Wexner, Erin P. Hoeflinger

Mr. Heminger:

Good afternoon to everyone. Welcome to The Ohio State University's Board of Trustees meeting. I would like to convene this meeting of the Board of Trustees and ask the secretary to please note the attendance.

Ms. Eveland:

A quorum is present.

Mr. Heminger:

Thank you. So that we are able to conduct the business of this meeting in an orderly fashion, I would ask that any sound on cell phones and other devices be turned off, and I would ask that all members of the audience observe rules of decorum proper to conducting the business at hand.

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#### **APPROVAL OF MINUTES**

Mr. Heminger:

Our first order of business is the approval of minutes from the board's November meeting, which were distributed to all trustees. If there are no additions or corrections, the minutes are approved as distributed. Any changes? Thank you.

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#### **RECOGNITION OF OUTGOING TRUSTEES**

Mr. Heminger:

Now, I would like to do a recognize some very important people on the Board of Trustees. I would like to publicly express the board's gratitude to four trustees whose terms are coming to a close after this meeting — Tim Smucker, Alex Shumate, Janice Bonsu and Alan VanderMolen.

This afternoon, we have a Celebration of Service planned to honor each of these outgoing trustees, but I would like to go ahead and say a few words about each of them.

Tim Smucker, to my right here, joined the University Board in 2011, and he has served in many roles since then, including Audit and Compliance Committee Chair, Finance Committee Chair, Vice Chair of the Board, and Interim Board Chair, just to name a few. Tim and his wife, Jenny, are great supporters of the university and The Ohio State University Marching Band, and Tim's passion for Ohio State and its land-grant mission is beyond compare.

Next is Alex Shumate. Alex is serving an unprecedented third term on the University Board, following his most recent appointment in 2012. He has served as Board Chair twice, as well as Vice Chair of the Board, Governance Committee Chair and current chair of the Academic Affairs, Student Life and Research Committee. I think Ohio State has truly become a home away from home for Alex.

I would be remiss if I didn't point out that on Friday, March 20, Alex has been asked to deliver a keynote address during the university's upcoming sesquicentennial summit called: "Defining the 21st Century Land-Grant." Alex will share his observations on the changes Ohio State has experienced during his 23 years as a trustee, as well as the opportunities he sees for advancement.

I have no doubt the board will continue to engage with both Tim and Alex in the coming years. We are deeply grateful for their service to the university and for the countless contributions they have made.

I also want to acknowledge Janice Bonsu, our outgoing graduate student trustee. Ohio State is one of the only universities in the nation whose student body has voting representatives on the board. Because of this, Janice's role as a trustee is a privilege and a significant responsibility — one she has handled with sophistication and wisdom beyond her years. We are all grateful for the insights she has shared with us these past two years, and we wish she could stay with us longer.

And finally, Alan VanderMolen. Alan is closing out his second term as a charter trustee. For those who don't know, the charter trustee position was created more than a decade ago with the intent of enhancing the overall makeup of the board by bringing in experienced, seasoned professionals who can offer counsel to the board related to their areas of expertise. Alan undoubtedly fits that bill and has devoted a great deal of time to assisting the university in his capacity as a globally recognized communications leader.

Thank you — Tim, Alex, Janice and Alan — for your passion, commitment and time to The Ohio State University.

With that, would any other members of the board, or you President Drake, like to say a few words?

President Drake:

I will say a couple of words. I was thinking about this and the board members who are retiring — it's a phase of my life where I am thinking about things in the past a lot. Since 2000, I've been at a couple of different universities and I've worked directly with about 125 trustees or regents in a direct relationship. I can remember Alan [VanderMolen] very much

from the beginning, even the first days, in fact. Your area of expertise was very useful to me and us, and you've been an invaluable advisor to us and really worked like a member of the team, even from across the pond. What great support you've brought to us and what a great friend you've been.

Janice [Bonsu] — I spent so much time as a professor at a medical school and had so many students who I knew, and one of my greatest pleasures in life is knowing medical students, and then they become young doctors, and then they have families and become professors or what have you. So I have photographs of them at different phases of their lives going forward. It has just been wonderful to watch people who we train go out into the world and become leaders. You will undoubtedly become one of those leaders and I look forward to that very, very much. I will have your picture on my refrigerator, too, and I look forward to it.

And then we have Tim [Smucker]. You know, in your jacket pocket you carry a book of values. I came from a tradition that said that values-based decision making and values-based leadership are the only way to go if you're really going to be out in front. You weren't following a road map or a cookbook, but actually using what was in your heart and mind to make the best decisions you could day by day. You have lived that and been an example of that, and you have been a wonderful board member and a wonderful chair for us. I look forward to continuing to be close friends over the years as they roll forward.

And finally, Alex [Shumate]. What do they say? When you do it once, they say you come back and try again, and then after that you come back until you get it right? Well, we're going to keep bringing you back and back. It has been wonderful. Your experience has been unprecedented, and what great support you provided as a member of the search committee when I came. I remember the day we met, and we talked often, multiple times a week, when you were Board Chair. The board was recognized for its great service under your leadership. What a great supporter you have been of this community and a great supporter of this university, and then a great personal supporter for Brenda and me, and we appreciate it so much.

I look forward to knowing all of you as our lives move forward. Thank you so much for what you've done for our university.

Mr. Heminger:

Thank you.

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### **PRESIDENT'S PRIZE WINNERS**

Mr. Heminger:

Now we have two graduating seniors here with us today who are dedicated to the type of innovation and social change that will help solve some of society's greatest challenges. I'm going to turn this over to Ms. Bonsu, who is going to make the presentations.

Ms. Bonsu:

Thank you, Chairman Heminger. I'd like to ask Simone Bacon and Jen Schlegel to come up to the presenter's table.

It's my pleasure to introduce this year's recipients of the President's Prize — the highest recognition Ohio State awards to exceptional students who are committed to social change. Each President's Prize scholar will receive a living stipend and startup funding for their first year post-graduation, along with access to the mentorship of accomplished experts and the opportunity to share their ideas with the university's global community of alumni, friends and partners.

I'll start by introducing Simone Bacon. A native of Chicago, Simone will graduate this May with a degree from the College of Public Health. Her project is called Un-CAGED, which stands for Changing the Achievement Gap in Education.

Un-CAGED will focus on removing barriers to early learning and increasing family engagement in the early stages of an infant's language development, particularly in disadvantaged and low-income populations in Columbus. Her project will use a three-pronged approach: Baby Book Bundles, a language-analysis intervention program and student volunteers.

After completing her project, Simone plans to attend graduate school and earn her master's in Healthcare Administration. Her hope is to become a Community Health Director and work to eliminate racial and socioeconomic health disparities.

Simone, would you like to tell us a little bit more about your project?

Ms. Bacon:

Sure, I will. Thank you for the introduction Ms. Bonsu. Chairman Heminger, President Drake, remaining trustees, faculty and distinguished guests — good afternoon. My name is Simone Bacon and I am a 2020 Presidents Prize recipient. I am so honored to speak before you today and to share with you how Ohio State helped me to transform my passion of racial and socioeconomic health disparities into tangible community change.

As Ms. Bonsu mentioned, my project is entitled Un-CAGED, Changing the Achievement Gap in Education, and focuses on decreasing educational disparities driven by socioeconomic status. Research shows that a word gap exists as early as in one-year-old children. Babies from high-income households hear over twice as many words per hour compared to babies in low-income households. In Ohio, low-income minority children are less likely to be read to at home and they often have less access to books, educational toys and resources. As a result, by the time they enter kindergarten they are already performing 12 to 14 months behind their high-income counterparts. This gap only widens throughout the lifespan, where low-income students are less likely to reach 4th grade reading benchmarks, are 10 percent less likely to graduate high school, and are 11 percent less likely to complete college. Unfortunately, these disparities can really impact a child's life outcomes by impacting their adulthood job choices, their residential area, their health and even their life expectancy.

So, to bridge this gap, Un-CAGED is providing low-income infants and their families across Columbus with free Baby Book Bundles filled with 60 baby-friendly books and baby items such as toys, wipes and diapers. Additionally, the parents participate in an intervention program that teaches them sustainable ways to increase their child's brain development, their reading strategies and interactive conversation. It is my hope that through this program, low-income children across Columbus will cease to experience barriers in their education, as well as empower them to have more successful life outcomes long term.

By working on my President's Prize project, I'm quickly realizing that not only is the project enabling me to help others, but it is also helping me professionally, too. It is equipping me with indispensable skills that are best learned through experiential practice. In just three short months, I've strengthened my leadership and organizational skills by crafting detailed action plans, learning social entrepreneurship strategies, building advisory boards and engaging with partners to garner support. I'm remarkably thankful to have the opportunity to develop these skills early on in my career as they will prepare me exceptionally well to continue to serve others in my future endeavors. I'm ecstatic to now dive further into the program by recruiting student volunteers and families.

None of this would have been possible without each of you, so on behalf of myself and the Un-CAGED program, thank you. If there is ever any doubt in your mind about the type of impact that you're leaving on this university, I hope that you think of my story and recognize that because of you, students develop big ideas, learn to turn them into tangible goals, and develop the skillset that's needed to make them come into fruition. By awarding me with the President's Prize you've left a remarkably huge impact on my life and through the success of Un-CAGED, you will also leave an impact on generations to come.

So, President Drake and The Ohio State University Board of Trustees, thank you.

*[Round of applause]*

Ms. Bonsu:

Thank you. Does anyone have any questions for Simone?

Alright, it sounds like a very exciting project and hopefully you will have a chance to come back and share with us how it's going.

Now, I'd like to introduce Jen Schlegel of Coshocton. Some of you may recognize Jen's name, as she was featured in an article by *The Washington Post* just last month entitled, "An engineering student is helping envision a self-driving future for people with disabilities."

While Jen is keenly interested in the development of autonomous vehicles, and is working with the Ohio Department of Transportation to create a driverless future for our roadways, her President's Prize project is a little different.

Jen will graduate in May with a degree in biomedical engineering from the College of Engineering. After graduation, she will lead a team in developing Handicom, a software platform designed to address the technical barriers to success for persons who are physically disabled.

Handicom includes a mobile application that facilitates connections between ideas and written work. It is finger tap-based with a built-in library, allowing for the import of homework, images, diagrams, equations and more.

Jen, would you like to tell us a little bit more about your project?

Ms. Schlegel:

Yes, good afternoon. It's truly my honor and privilege to be here today with you. It has been quite a journey. If you were to look up my name right now on Google, you might find some interesting results: award-winning innovator, powerhouse, inspiring visionary. While all these recent comments are flattering, the reality of my life is far more interesting. I don't really matter, but my story does.

For as long as I can remember, a college education was the ultimate dream. With education comes knowledge, freedom, stability, independence and security — all things that I craved. But if education was the key, I felt locked out. I was told I was not destined for medical school, but instead janitorial work; that I had a low IQ; that I was a tragic waste of potential. No one thought I would go to college, let alone stay here. I guess I'm really glad that I like defying expectations. Unfortunately, there's no how-to guide to living your life with a growing list of medical problems.

When my health snowballed in 2016, my first thought upon hearing that my lungs might be failing was, "What is going to happen to my midterm grades?" It had taken years of my childhood to learn dexterity and it all disappeared in the blink of an eye. It felt as if my diploma dream had evaporated, and by the time I went to class and took care of all my healthcare needs, I had less than an hour a day to actually do my homework. I felt like a failure. How was I supposed to learn if I couldn't write it down? How do you draw a triangle if you can't hold the pencil, let alone add dimension and manipulate it the way some classes require? How do you code if you can't use a keyboard? What about *my* extraordinary student experience? What about any student experience at all? It seemed hopeless and I felt helpless. I couldn't go back and I couldn't go forward. My small voice in that moment felt silent.

Reflection followed, and I had an epiphany — if I couldn't live in this world, I better help to start building one that I could belong in. Focus on solving the problems and hold fast to the belief that maybe impossible problems need someone to say "I'm possible" to the solutions. I decided I was possible and that changed the course of my life from failure to success. A thought became an idea, an idea became an action, and an action became a project. Ultimately that project became a company.

If ever there was an accidental start to anything, it was BeEnabled. Handicom grew out of a desperate need for me to be able to do my homework. I realized I wasn't alone — 40 million Americans struggle with limited dexterity. Imagine what we could create if we just had better access. I don't actually have an IQ of 90, I just lacked the tools I needed to be able to express myself. Handicom seeks to solve those problems. If you can't draw a triangle because you can't hold that pencil, it's going to give you a way to do that on a touch screen interface without the need for a pencil, a stylus or an assistant. Primarily you just need your brain, which is exactly how it should be in education and workforce options. It will open a whole new world of opportunities. Why should we limit anyone's ability to contribute to the world based on how well they can control their bodies? Why predetermine an ideal of what jobs might suit them?



Handicom and 119 Power to the Patient, my healthcare project that is currently a finalist for the Alliance for the American Dream, seek to highlight a siloed, whispered community voice. They have grown beyond my wildest dreams already, seeking patents and getting international attention, which strengthens that voice. They seek to start an important dialogue about what it means to be abled, disabled and, ultimately, alive. At their core, these projects are about accessibility. Accessibility is not just a disability topic; it's an everyone topic. My rollator and I, a working mom on a bike and a student-athlete on a bus all came to campus today using roads. These roads gave us all access. These roads improved all of our quality of life. I dream of a world where the elderly don't have to leave their homes because their new wheelchairs don't fit in their bathrooms; where children can all use the swing sets; and where education is a right, instead of a privilege; where we all are valued and where we all belong. Let's bring accessibility into this century. Now, is this idealistic? Probably. But is it possible? Absolutely. How do I know this? Because I've seen it for myself here at Ohio State.

To my guests here today — you represent what the world could be if we stood united for access for all. To my dearest friends — thank for teaching me the meaning of unconditional, and for valuing me as much on award-winning days as you do on emergency room ones. To Dr. Nocera, the BME department and the College of Engineering — thank you beyond words for undertaking the challenge that I issued to all of my professors ... that if you could educate me, you could educate anyone. I am possible instead of impossible because you in turn challenged me to grow to be more, instead of asking me to be less. Thank you for your patience as I've learned and grown from my mistakes. Tim Raderstorf and Paul Reeder, thank you for the many lessons in innovation and entrepreneurship, and for the warm honorary homes I've had at the College of Nursing and the Fisher College of Business. Handicom would only be a whisper in my head without you. OSU gave me a home when I had none. And accessibility gave me hope when I had none. Who better than Buckeyes to set the societal gold standards for the future and be the welcoming beacon for all?

Ultimately, thank you Ohio State, for helping me find a megaphone for my voice, my community and my future. You have changed my life for the better far more than I could ever put into words. While I've lived for my diploma for decades — and nothing in the world will ever top that moment the day that I receive it — I finally have a life beyond all of this that I'm deeply looking forward to, and *that's* the real journey. I'm so excited to be able to help others have the same dream. Thank you.

*[Round of applause]*

Ms. Bonsu:

Thank you so much for sharing your story. Your passion is so inspirational for all of us. Are there any questions for Jen?

Mr. Heminger:

Trustee Porteus?

Mr. Porteus:

I realize I'm repeating what we've already said, but many times around this boardroom, this is the most special moment of our open meetings. And it's obviously the best testament to why we participate. But I just need to say to Jen, having known her since birth, because

she comes from my community, this is why we're here at Ohio State. It's just an amazing story and an opportunity for this university, this institution, to make a difference not only in her life, but in the lives that she is going to influence because of her role. So thank you, Jen, very much.

Mr. Heminger:

And I want to say one thing. Simone and Jen, you both have been very successful to date, but your communication skills are going to take you far. Those were *both* outstanding presentations. Now, I believe we'll take a couple photos.

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### PRESIDENT'S REPORT

Mr. Heminger:

Again, congratulations to Simone and Jen. And now I'm going to turn the rest of the day over to President Drake.

Dr. Drake:

Thanks very much, Chairman Heminger.

And again, I just want to thank Janice, Alex, Tim, and Alan — Buckeyes through and through. We really appreciate what you've done and look forward to the future.

We are also saying goodbye to another true Buckeye in the coming weeks. We mentioned a little earlier today that Jim Smith will be departing from his position as president and CEO of our Alumni Association in April. During his tenure, he has done an outstanding job of engaging with and advocating for Buckeyes everywhere. We really appreciate Jim. Jim is an alumnus himself. He is among the 570,000 Buckeye alumni around the world. He and his wife, Polly, met here and I have no doubt that they will continue to stay involved. Please join me in thanking Jim and congratulating him on a job well done.

*[Round of applause]*

We're saying goodbyes, but we'll also say a hello. I would like to say hello to Melissa Shivers, who joined us last month as our new Vice President for Student Life. She is a recognized leader in higher education, having most recently led Student Life at the University of Iowa. Prior to that, she held leadership roles at the University of Tennessee-Knoxville, Clemson University and the University of Georgia. We are pleased to have her energy and deep commitment to students and the student experience here at Ohio State. Melissa, you have been contributing to the team already. Could you stand so we could welcome you?

*[Round of applause]*

I recently had the great pleasure to report on Ohio State's collective progress at our State of the University Address. It is remarkable what our community of students, faculty and

staff — in collaboration with each other and in partnership with the larger community — have been able to achieve. The last several years have been historic, seeing highs in applications, in the academic excellence and diversity of our students, in research funding, in graduation rates, in patient care, in donor support and more. All of this tremendous progress toward the goals of our strategic plan reflects our founding mission as a land-grant institution. This year marks our 150th anniversary as a university community and our sesquicentennial gives us the opportunity to celebrate what we have accomplished and all that is yet to come. So it is very gratifying to be able to share that Ohio State has never been as impactful and well-positioned as it is today. I'll provide a few recent examples in a moment, but first I want to mention a special upcoming event for our entire university community.

In honor of our 150th year, we will be holding an Ohio State Community Open House on Saturday, March 21st. There are dozens of events and activities planned for the day for all ages and all are welcome. Everyone can learn more on our sesquicentennial website: [150.osu.edu](http://150.osu.edu). This joins a series of sesquicentennial-year programs, including academic summits, student scholarships, exhibits, a free online history course and more, that speak to the importance of Ohio State's role as a flagship public research university. And, as Chairman Heminger mentioned earlier, Alex Shumate will be the keynote speaker at our summit next month focused on the 21st-century land-grant university, and we look forward to that. I invite you all to get involved in our 150th celebration and in the many ways, big and small, that we engage with each other to become better communities.

A great example of student engagement is BuckeyeThon's 24-hour dance marathon, one of my favorite events of the year. BuckeyeThon is our largest student-run philanthropic organization and it works to help end pediatric cancer. Each year, these efforts culminate with a dance marathon on campus that brings together children from the community, their families and thousands of our students. This year, BuckeyeThon raised more than \$1.6 million to benefit Nationwide Children's Hospital. Since its inception, the group has raised over \$11.5 million — amazing.

Our students were also among the key drivers of the new Ohio State Wellness app launched late last month. They joined Ohio State faculty and staff to develop the app as part of our Digital Flagship Initiative. It is designed to support student mental health and wellness, following recommendations from our 2018 Mental Health Taskforce. Another recommendation, the peer-to-peer "warm" line, launched last fall. As a large and complex university, we are not immune to serious challenges facing society, and mental health and wellness continue to be important focus areas.

One of the ways we are able to better address societal challenges is through the support of our friends and donors. We were pleased to announce yesterday that the Harry T. Mangurian Jr. Foundation is making its largest-ever gift to Ohio State. The \$10 million gift supports dementia and leukemia research, cancer drug development, health and wellness initiatives for student-athletes and scholarships for business students. It speaks to the incredible generosity of Buckeye Nation.

As you know, Ohio State has embarked on the most ambitious community-building and fundraising endeavor in our history. Time and Change: The Ohio State Campaign strives

to engage 1 million supporters, an unprecedented level in higher education. The gift from the Mangurian Foundation includes funds for our planned Interdisciplinary Research Facility in the West Campus Innovation District. On today's agenda are plans for the next steps in developing this facility — supporting scientists and their discoveries in the biomedical sciences, life sciences, engineering, environmental sciences and more. In addition, two floors will be dedicated to Ohio State's Comprehensive Cancer Center, including its new Pelotonia Institute for Immuno-Oncology. We are also exploring next steps for outpatient care facilities on West Campus and in Dublin, expanding the scope of world-class care that our Wexner Medical Center can provide to the community.

Ohio State has unprecedented and measurable momentum with many of the most important indicators at levels higher than at any point in our long history. Our focus on access, affordability and excellence is yielding real results for students and families. More of our students are graduating than ever before, in less time and with less debt than in the recent past. At the same time, we continue to innovate in teaching and learning. Ohio State was recently ranked No. 1 in the nation for online undergraduate education. Our University Institute for Teaching and Learning is recognized nationally for implementing a research-based survey instrument on effective teaching practices across the entire institution.

Last year was record-breaking for research expenditures and industry-sponsored research. We also rose nine spots nationally in rankings of NIH funding, including research and development contracts at several of our health sciences colleges.

In order to fulfill our potential in the years ahead, it is critical to continue our momentum broadly across the university. Having been in higher education for 40 years, I know of no other institution better positioned to fulfill its land-grant mission on a grand scale than The Ohio State University. It is truly an exciting time to be a Buckeye, and I look forward to continuing to work together to fulfill our incredible potential. Thank you.

That concludes my report.

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## COMMITTEE REPORTS

Mr. Heminger:

Thank you, President Drake. We will now move onto our committee reports. Mr. Stockmeister, Advancement Committee.

### Advancement

Mr. Stockmeister:

Thank you, Mr. Chairman. The Advancement Committee met just this morning and we began with a warm welcome for Tom Murnane. Tom is the newest public member of our committee. Tom is a two-time Ohio State graduate and he continues to be a critical partner and advocate for the university through his volunteerism with the College of Arts and Sciences and the Alumni Advisory Council, all the way from southern California to this cold weather in Ohio.

Christian McGhee, a recent graduate and 2019 President's Prize recipient, joined us to reflect on the status of his project, GROW: Getting Ready for Opportunities and Work. He shared how community partnerships have enhanced his mission to provide support for homeless youth involved in the justice system.

Michael Eicher shared positive Advancement scorecard results, noting a record-breaking December for fundraising and the steady progress toward campaign goals. Strong event attendance and growth in audience engagement are all part of his report. These metrics indicate successful efforts across the board to inspire donors, alumni, friends, and grateful patients to continue to choose Ohio State.

Time and Change: The Ohio State Campaign has raised more than \$1.9 billion to date. Progress toward fundraising and endowment goals are on track and momentum for capital project fundraising is expected to build as the campaign progresses. Jen Keyes shared a preview of creative efforts to grow fundraising to reach the 1 million donors through peer-to-peer initiatives and through engagement strategies to meet donors utilizing innovative technology.

During the Alumni Association report, we heard from Don Stenta, our Assistant Vice President for Alumni Experiences, about the engagement continuum — a concept that outlines how our community members move through a dynamic funnel of awareness, education, engagement, giving and advocacy. As we charge ahead toward the goal of 1 million donors, our strategies that leverage networks and our personal relationships present a great opportunity to impact those who are currently unengaged and unaware of what Ohio State has to offer.

We also took a moment to recognize Jim Smith and his extraordinary leadership and tremendous contributions that he has made in advancing our Alumni Association. We thanked him for his service as president and CEO.

Our Vice President of Marketing, Adrienne Nazon, shared a progress update on the university's brand strategy, including a public service announcement highlighting "Champions for All," the message which emphasizes the power of human potential at Ohio State. She also shared Ohio State's participation in the "One of Us" Columbus campaign, expected to launch this April.

We then reviewed and approved resolutions related to new endowments totaling approximately \$10 million, a series of naming resolutions, and Distinguished Service Award nominations for Chris Ellison and Tim Gerber. All of these items appear on the consent agenda today.

We then recessed into executive session, and that concludes my report.

Mr. Heminger

Thank you, Alan. Next, Dr. Porter, for the Wexner Medical Center Board.

Wexner Medical Center Board

Dr. Porter:

Thank you, Mr. Chairman. The Wexner Medical Center Board met yesterday morning and we began our meeting with a report from our Chancellor for Health Affairs, Dr. Hal Paz. Dr. Paz introduced the medical center's new Community Care Coach — a state-of-the-art mobile health unit that brings primary care, OB/GYN care, health screenings and other services directly to our patients in their own neighborhoods. Dr. Paz also shared that the medical center was recently named No. 4 in the country by *Forbes Magazine* on their list of "Best Employers for Diversity."

He also highlighted impressive increases in our health sciences research funding and national research rankings, as well as a \$10 million commitment that Dr. Drake mentioned earlier to the university from the Harry T. Mangurian Foundation that will support a number of key university priorities, including the new Interdisciplinary Research Facility.

Dr. Amy Fairchild, who is our new Dean of the College of Public Health, and Dr. Peter Mohler, Vice Dean for Research for the College of Medicine, gave a presentation on the national vaping public health challenge and detailed some of the extensive research efforts here at Ohio State related to vaping. Dr. Andy Thomas, the medical center's Chief Clinical Officer, also described a number of our related clinical programs, including the launch of a new hotline (366-VAPE) to help connect people with treatment options and programs.

Mark Larmore then provided the Medical Center Financial Report. Overall, as was mentioned earlier, the financial health of the Wexner Medical Center is very strong. Our current operating margin is at about 6.3 percent and our adjusted admissions are currently up by 11.3 percent over last year, an incredible growth.

Then, Dr. Bill Farrar shared updates regarding the great work going on at the James Cancer Hospital. We want to congratulate Dr. Raph Pollock and the faculty and staff of the Comprehensive Cancer Center, who recently submitted the renewal application to the National Cancer Institute for their core grant, which if renewed, would provide the cancer center with approximately \$32 million in federal funds over the next five to seven years. The site visit for that grant will occur in May 2020 and the results of the application will be shared with us and you this fall.

Dr. Farrar also shared information regarding a new cancer drug take-back program that is designed to address the financial burden on cancer patients and to save lives. He also highlighted the successful kickoff for the 2020 Pelotonia ride campaign, as well as the tremendous outcome from our very recent Buckeye Cruise for Cancer, which this year raised \$4.5 million — an increase of more than \$1 million from last year, all of which goes to support cancer research at the James.

Jay Kasey brought forward six projects that the Wexner Medical Center Board voted to recommend for approval to the University Board of Trustees. These include construction and professional services contracts for the following projects: the new West Campus Interdisciplinary Research Facility, infrastructure improvements for the West Campus Innovation District, the planned outpatient care facilities on West Campus and in Dublin, renovations in University Hospital related to operating room power distribution, as well as additional professional services and enabling construction work related to a potential new

inpatient hospital. These facilities are critically important projects that connect directly to the goals and priorities of the university's strategic plan.

Mr. Kasey also presented a proposed acquisition of vacant land on Olentangy River Road, adjacent to the Stefanie Spielman Comprehensive Breast Center, and a proposed acquisition of property on Olentangy River Road, north of I-270, that features a single-story medical office building with an ambulatory surgery suite. The Wexner Medical Center Board voted to recommend approval of these purchases to the full board as well.

We then met in executive session. But before I conclude my report, I just also have to say on behalf of all of the other board members, that we're really going to miss all of the departing board members. We have learned so much from you and appreciate all of your mentorship. So that concludes my report, Mr. Chairman.

Mr. Heminger:

Thanks, Dr. Porter. Talent, Compensation and Governance, Dr. Fujita?

Talent, Compensation & Governance

Dr. Fujita:

Thank you, Mr. Chairman. And I, of course, second what Dr. Porter said. I thank you very much for all the wisdom and guidance that you have provided. The Talent, Compensation and Governance Committee met yesterday. We began with an update on the HR Service Delivery Initiative, presented by Susan Basso and Kim Shumate, who serves as Associate Vice President for Strategic Initiatives for Human Resources. They discussed the new shared services model for HR that will deliver high-quality, technology-enabled customer service to all students, faculty, staff, physicians and nurses. They also shared the significant policy changes that will improve the employee experience as the university moves into Workday.

Ms. Basso updated the committee on several key searches, including the Senior Vice President and General Counsel; the Chief Investment Officer; the CEO and Senior Vice President for Alumni Relations; the Associate Vice Chancellor for Interprofessional Practice and Education for the Wexner Medical Center; the Vice President for Strategy and Transformation; and the Dean of the College of Medicine. And as chair of the Presidential Search Committee, Mr. Von Thaeer updated the committee on the progress of the presidential search.

Janice Bonsu updated the committee on the search for the next graduate student trustee. Yesterday morning, some of us met the top 10 candidates during our annual student-trustee mixer. We all agreed that we have some very strong candidates this year, though it will still be quite difficult to replace Janice. The Selection Committee will conduct interviews with the top 10 candidates this weekend. They will narrow the pool down even more and ultimately forward the top five finalists' names to the governor's office for his consideration and final decision.

The committee then reviewed a handful of items for action. First, Ms. Basso presented a series of proposed amendments to The Ohio State University retirement plans. These amendments are being recommended to maintain compliance with the Internal Revenue Code and other related guidance. Ms. Basso also reviewed personnel actions, including

a contract extension for Head Football Coach Ryan Day; the appointment of James Rocco as the Interim Dean of the College of Medicine; and the appointment of Michael Drake as President Emeritus from July 1, 2020 through June 30, 2021, and as Distinguished University Professor from July 1, 2021 through June 30, 2024.

I presented the ratification of our committee appointments to reflect the addition of Tom Murnane as a new public member of the Advancement Committee, and Mr. Von Thaer shared a resolution related to the Establishment of the Presidential Search Committee and Contract to Retain a Presidential Search Consultant.

The committee approved all of these items, which appear on the consent agenda today. We then met in executive session and that concludes my report, Mr. Chairman.

Mr. Heminger:

Thank you, Dr. Fujita. Academic Affairs, Student Life and Research, Mr. Shumate?

Academic Affairs, Student Life & Research

Mr. Shumate:

Thank you, Mr. Chairman. The Academic Affairs, Student Life and Research Committee met yesterday to cover a very full agenda that addressed the university's progress toward supporting student wellness, institutional equity, our research mission and international affairs, among other topics.

In his report, Provost McPherson shared details about a variety of topics. First, he introduced us to the new Ohio State Wellness app, which has already been downloaded onto more than 50,000 devices. This app, a key part of the university's broader wellness efforts, was developed through our Digital Flagship collaboration with Apple.

Second, the provost provided an update on the university's first revision in 30 years of the General Education curriculum. Students will have significantly more flexibility to pursue second majors, minors or electives because the new General Education requirements are fewer than in the past. A committee that spanned the undergraduate colleges has finalized an implementation plan as the university prepares to introduce elements of the new GE curriculum in the fall of 2021.

The provost then discussed the university's continued work on the international strategic plan, which will align with the Time and Change plan while more fully defining the university's approach to global engagement. Finally, the provost highlighted our recent rankings for online education in *U.S. News and World Report*, including Ohio State's position as the No. 1 university for online bachelor's degree programs and as top 20 for graduate programs in nursing, engineering and education. This is indeed quite an achievement.

In her first meeting with the committee, Dr. Shivers introduced a presentation about the progress being made by the Suicide & Mental Health Task Force Implementation Team. The university has expanded the number of mental health counselors, increased collaboration with the Wexner Medical Center, created a peer-to-peer "warm" line for non-emergency needs, and enhanced resources — both printed and digital — to support



individuals in need. This work will continue, but the university has already made strong inroads in expanding support.

In August, the university opened the Office of Institutional Equity as a centralized office that addresses all forms of harassment, discrimination and sexual misconduct. Katherine Lasher, our Associate Vice President for Institutional Equity, shared how her office is creating standardized processes for handling reports, building out educational programs and creating resources that support all members of the Ohio State community.

Finally, Dr. Morley Stone introduced Dr. Matt Sullivan, a professor in microbiology, to discuss how the university is implementing the strategic plan for research and creative expression. Building on our strengths as a comprehensive land-grant university, Dr. Sullivan is working with colleagues in seven colleges in the emerging interdisciplinary field of microbiome science. By collaborating, Ohio State is using its depth and breadth to advance applications in such areas as infectious diseases, climate change and antibiotic resistant bacteria.

The committee then reviewed the new Academic Affairs, Student Life and Research Scorecard. As of January, more than 2,300 faculty members — 77 percent of our faculty in undergraduate-serving colleges — have completed at least one component of the Teaching Support Program, and more than 54 percent have completed two components to enhance their teaching excellence. The rest of the scorecard was largely unchanged from November.

The Academic Affairs, Student Life and Research Committee is recommending five items for approval today. The first item involves the suspension of the Master of Business Logistics Engineering program, which has seen decreasing enrollment. All current students will be able to complete their degrees and the colleges of Business and Engineering can redirect faculty members to other programs. The second resolution will rename some engineering degree programs to Aerospace Engineering, which better aligns with the department name.

Third, the Faculty Personnel Actions include a two-year extension for Tom Gregoire as Dean of the College of Social Work, the appointment of James Rocco as Interim Dean of the College of Medicine, and the appointment of the Glenn College's Trevor Brown as Executive Dean of the Professional Colleges. Fourth, the updates to the *Rules of the University Faculty* expedite minor corrections to rules and bylaws, and also clarify notice requirements for University Senate meetings. Finally, the last item is the approval of degrees and certificates for May commencement.

These actions appear on the consent agenda today. After approving these items, the committee recessed into executive session. And that concludes my report, Mr. Chairman.

Mr. Heminger:

Thank you, Alex. Next is the Master Planning and Facilities Committee, Mr. Fischer?

Master Planning & Facilities

Mr. Fischer:

The Master Planning and Facilities Committee met this morning, beginning in executive session. We then covered numerous items in public session, including five items for discussion. Mr. Kasey presented the Physical Environment Scorecard and discussed briefly the changes to the metrics. Overall, the scorecard is in great shape, with 13 areas coded green, one 1 coded as yellow, and three coded red — we always have a detailed discussion on the areas that are coded red. Most of those are related to timing and specific issues of the calendar.

We also reviewed the Major Project Status Report. This looks at all projects over \$20 million — all projects are green or yellow. Everything is on scope, time and budget, which is fantastic to see. The details are in your packages.

Mr. Myers presented the designs for two significant strategic projects and Dr. Porter described them: the Interdisciplinary Research Facility and the Wexner Medical Center's Outpatient Care facility in Dublin, so I won't go into further detail. Dr. Porter also described the Wexner Medical Center Outpatient Care facility that is being constructed and was reviewed both by the Wexner Medical Center Board and in our facilities committee. Also all of these projects get a financial look in the finance committee, so without being redundant, those materials are in your packages.

Mr. Conselyea presented the aforementioned request for approval to enter into or increase professional services and construction contracts for 13 projects. These are listed in your materials and on the consent agenda. Mr. Myers presented a joint use agreement between the university and the Union County Community Improvement Corporation for pass-through monies for the state of Ohio. As mentioned, Dr. Porter referenced two real estate purchases for the Wexner Medical Center, which were also approved by the facilities committee. Lastly, Mr. Myers presented a request for approval to grant a perpetual sanitary sewer easement to the city of Columbus benefitting Ohio State East Hospital.

All of these resolutions were passed by the Master Planning and Facilities Committee and again, as a part of our process, the financial sides are reviewed by the finance committee.

So I rushed through that because I want to ask all of the retiring trustees, but candidly, Alex [Shumate]. I want you to think about something for just a second. I'm going to take you through a tour of campus.

Think about this building and how many times we've walked through those doors and been in meetings here. Think about the Knowlton School of Architecture — we love to pick on its design and style from occasion. Most of us have had the opportunity, though not everyone in the public, to go in the suites at Ohio Stadium. The amazing campus that was built at the Fisher School of Business is one of the best master plan developments on our campus. The construction of the Schottenstein Center — and now the renovation of the Schottenstein Center. The Ohio Union, perhaps one of the best student unions in the country, and maybe not perhaps but certainly one of the best. The Wexner Center for the Arts — another building we like to pick on the architecture, and one of my least favorite architectural buildings on campus. The Thompson Library and its amazing renovation — think of all the students who have passed through it since it has been renovated. The

Athletic District and how now that is a complete district. The James Cancer Center — the premier facility for the treatment of cancer here on our campus. All of the romances that have occurred at Mirror Lake and our recent renovation of Pomerene Hall. The north residential halls.

The common theme is that none of these buildings existed when I graduated from college in 1989. [I didn't graduate] from here, but that was the year that Alex Shumate joined the board. I hope that all of our retiring trustees can just acknowledge this enormous impact. Since 1989, Alex, more than 300,000 students have earned degrees from this institution and have passed through these buildings. They are just like the two exemplary students we heard from today. All of this impact is important. But as a Columbus guy and a business leader who is exemplary among business leaders — hats off to all of our trustees, but to Alex, your service is amazing.

Mr. Shumate:

Thank you very much.

Mr. Heminger:

Thank you, Mr. Fischer. Our last committee report is the Audit, Compliance and Finance Committee, Mr. Smucker?

Audit, Compliance & Finance

Mr. Smucker:

Thank you, and Alex [Fischer], I could not agree more with your comments about Alex [Shumate]. It has been an honor to work with Alex, and actually one of the reasons I'm on the board is because of Alex's recommendation. So thank you, Alex, and thank you for the leadership you provided.

Thank you, Mr. Chairman. The Audit, Compliance and Finance Committee began this morning in executive session. During the public session that followed, we discussed three items. Mr. Papadakis began the discussion noting that in December, Moody's upgraded the Higher Education Sector from negative to stable, and more recently, both Moody's and Fitch upgraded their healthcare outlooks from negative to stable. Those are big moves.

Ms. Devine presented the consolidated university and Wexner Medical Center financial scorecards through December 2019. The metrics demonstrate the university's strong financial position with no items marked as red, and four items marked yellow, which include Total Expenses, One-Year Long-term Investment Pool Return, Three-Year Long-Term Investment Pool Return and Fiscal Year-To-Date Long-Term Investment Pool Return. Overall, our change in net assets remains strong and ahead of budget, primarily driven by medical center margins and investment earnings.

Mr. Garrity-Rokous, Dr. Latz, who serves as vice provost for Global Strategies and International Affairs, and Ms. Roy-Dixon, who is the executive director for Global Gateways and Partnerships, gave a presentation regarding the current status of the Global Gateways

program and provided an overview of recent audits conducted of the India and Brazil Gateways.

Lastly, Mr. Kasey and Mr. Conselyea shared 13 professional services and construction projects, all of which were reviewed and approved by the Master Planning and Facilities Committee this morning. These include the following related to West Campus: the Interdisciplinary Research Facility, the Medical Center Outpatient Care West Campus facility, the Energy Advancement and Innovation Center, and West Campus Infrastructure Phase 1. And I should note that all medical center-related projects were reviewed, as Alex said, both by the Wexner Medical Center Board and Alex's committee.

The Audit, Compliance and Finance Committee then approved the following seven items for action, which appear on our consent agenda today: the Approval of a Master Equipment Lease; the Approval of FY20-21 Men's Basketball Ticket Prices; the Approval of First Amendment to the First Amended and Restated Long-Term Lease and Concession Agreement for The Ohio State University Utility System; the Authorization to Enter Into or Increase Professional Services and Construction Contracts; the Approval for Long-Term Ground Lease and Development Agreement Amendment; the Approval for the Acquisition of Real Property; and the Acquisition of Vacant Land.

After approving these items for action the committee adjourned. That concludes my report.

Mr. Heminger:

Thank you, Mr. Smucker. We will now move to today's consent agenda. First, I would like to note one item that has been added to the agenda related to the Richard Strauss matter.

For two years, we have been actively engaged in coming to terms with Strauss' abuse and the university's failure to appropriately respond at the time. Throughout this process, we have kept the public informed and have had regular updates at this meeting.

On today's agenda, we are offering a motion for this board to adopt that authorizes the chair of the board to approve any settlements with plaintiffs on behalf of the board and reaffirms our commitment to a resolution of claims involving Strauss.

President Drake, would you please present the consent agenda to the board?

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### **CONSENT AGENDA**

Dr. Drake:

Thank you. The consent agenda has been updated to reflect the change Chairman Heminger just mentioned. We now have 32 resolutions on the consent agenda, and copies of the hand-carried resolution are available for the public.

We are seeking approval of the following:

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## RESOLUTIONS IN MEMORIAM

Resolution No. 2020-69

### **WILLIAM F. COWEN JR.**

The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on September 20, 2019, of William F. Cowen Jr., Professor Emeritus of Forestry from the School of Environment and Natural Resources in the College of Food, Agricultural and Environmental Sciences.

Professor William F. Cowen, Jr. joined the faculty of The Ohio State University as an Assistant Professor in 1959, and served with distinction for 26 years until his retirement as Professor in 1985. During his tenure at Ohio State, Professor Cowen's academic appointment was 25 percent resident instruction and 75 percent Extension, with the title State Extension Specialist in Forestry. Professor Cowen was a truly gifted teacher, both in the classroom and with Extension clientele. Several awards testify to this fact, including The Ohio State University Alumni Award for Distinguished Teaching, recognition as one of the nation's outstanding educators with a listing in the annual national awards volume Outstanding Educators of America, and the Ohio Forestry Association's Outstanding Conservation Educator of the Year. With the creation of the School of Natural Resources in 1968, his dendrology and silviculture courses became requirements for all forestry and wildlife majors. Literally thousands of students passed through Professor Cowen's classes, benefiting not only from his enthusiastic teaching style and love for his profession, but also from his theoretically well-founded approach to the science and practice of forestry.

During his tenure, Professor Cowen was the only forest resources management Extension professional in the state. He developed strong educational programs addressing the needs of a wide variety of clientele, including Christmas tree growers, maple syrup producers, private non-industrial forest landowners, professional forestland managers and urban forest managers. There are several awards testifying to Professor Cowen's impact. These include his induction into the Ohio Christmas Tree Association's Hall of Fame, a certificate of commendation from the Ohio House of Representatives, an Honor Award from the Soil Conservation Society of America, and the Professional Excellence Award for Outstanding Contributions in Conservation and Natural Resource Development from the All-Ohio Chapter of the Soil Conservation Society of America. Perhaps the best measure of Professor Cowen's impact on forestry and the foresters in Ohio (many of whom were his students) is the fact that in 2000, the Ohio Society of American Foresters honored him as Ohio's Forester of the Millennium.

Also notable was that he volunteered in the U.S. Naval Reserve in 1943; attended Brown University during 1943-1944; and graduated from the University of Notre Dame Midshipmen's School as an Ensign USNR in October 1944. He was the Executive Officer of the USS SC628 during her periods of service at Guam, Saipan, Okinawa, Philippines and the occupation of Japan. He was the Commanding Officer of USS PC461 during her decommissioning at Green Cove Springs, Florida. Professor Cowen graduated from the

University of Rhode Island in 1948. He also graduated from the Yale University School of Forestry in 1950 with a master's degree in forestry. In 1952, his fellowship was the advanced study of European forestry practices in Norway and Denmark. From 1950-1959, he was Forester-in-Charge of all watershed operations for the city of Providence, Rhode Island's Water Supply Board.

Professor Cowen was an inspiration and a wealth of knowledge to all who knew him. He touched so many lives and will be truly missed, but not forgotten.

On behalf of the university community, the Board of Trustees expresses to the family of Professor William F. Cowen Jr. its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board's heartfelt sympathy and appreciation.

### **LUVERN L. CUNNINGHAM**

The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on December 6, 2019, of Luvern L. Cunningham, Professor Emeritus of Educational Administration in the Department of Educational Studies in the College of Education and Human Ecology.

Professor Cunningham grew up in rural Nebraska and fought in WWII when he was 18. After the war, he earned a bachelor's degree and became a school principal, then a superintendent at 23. His master's degree was from the University of Nebraska, his PhD from Oregon State University, and he was recruited in 1967 from the University of Chicago to become Ohio State's sixth dean of the College of Education.

He became widely known for bringing together disparate groups, mediating disputes and effecting positive change for both sides. Professor Cunningham guided the college through the turbulent late '60s and early '70s, landing it as the top-ranked, land-grant College of Education in the nation.

Professor Cunningham left the deanship in 1973, but not his tenured professorship, to serve on the Detroit Education Task Force. He led the extensive public schools improvement effort, bringing citizens, business people and educational leaders together to benefit children and their families. Over time, many cities called on him to guide their school desegregations, including San Francisco, St. Louis and Cleveland. He was appointed special master-commissioner for the desegregation of Columbus Public Schools, working closely with First Federal District Court Judge Robert M. Duncan, a two-time Ohio State alumnus, who decided the case. The pair focused on addressing the humane and practical concerns of the community's children and adults. Over time, Professor Cunningham found attractive gains for minority children in math and science. He measured attitudes and saw dramatic improvement among students in their attitudes toward one another.

In 1976, Professor Cunningham was named the Novice G. Fawcett Professor of Educational Administration, a particular honor because he worked closely with President Fawcett. The appointment included funding to engage more graduate students in

Professor Cunningham's work, a priority for him. Also in the early '70s, Professor Cunningham partnered with a local medical doctor, theologian and lawyer to form what came to be called the Interprofessional Commission of Ohio. A master at winning grants, Professor Cunningham launched it with an Ohio Board of Regents award. The commission offered innovative, team-taught, credit and continuing education courses taken by thousands of students and practitioners over time. The goal was to promote clinical cooperation among those in allied medicine, education, law, medicine, nursing, psychology, social work and theology.

The commission played a key role in launching the University Area Commission, which engaged Ohio State with its neighbors to provide tools to improve lives. Under Professor Cunningham's leadership, the commission founded Campus Partners, which continues to this day. Ever a supporter of peace and justice, Professor Cunningham also held an appointment with Ohio State's Mershon Center during this time. After his 1989 retirement from Ohio State, Professor Cunningham and his wife, Lila Carol Cunningham, founded Leadership Development Associates to continue education work. They were called upon for advice by the Ohio and Kentucky legislatures, among other groups. Professor Cunningham received many awards, including the Ohio Department of Education's Ohio Pioneer in Education Award.

On behalf of the university community, the Board of Trustees expresses to the family of Professor Luvern L. Cunningham its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board's heartfelt sympathy and appreciation.

#### **GERALD M. "JERRY" GREGOREK**

The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on October 3, 2019, of Gerald M. "Jerry" Gregorek, Professor Emeritus in the Department of Mechanical and Aerospace Engineering in the College of Engineering.

Professor Gregorek, also known as "Dr. G," served in the United States Air Force during the Korean War as a B-29 flight engineer before heading to Ohio State to study aeronautical engineering. A three-time graduate of Ohio State, Professor Gregorek earned his bachelor's in 1958, master's in 1959, and PhD in 1967. All three of his degrees were in aeronautical and astronautical engineering. He would go on to serve as Chair of the Department of Aeronautical and Astronautical Engineering from 1991-1999, Director of the Aeronautical/Astronautical Research Laboratory, and Director of the OSU Don Scott Airport.

Professor Gregorek retired in 2010 after 50 years of service at Ohio State. However, he remained active, engaging in research projects at the Aerospace Research Center and serving as a mentor to younger faculty. His lifelong passion was aviation research, and he was known internationally as a leader in applied aerodynamics. He participated in many notable projects, including his influential role in designing various aspects of the Piaggio Avanti, an Italian executive aircraft that went into production in 1986. Professor Gregorek was the designer of the aircraft's front and rear airfoils and was recognized for the innovative structure. Working from the Aeronautical/Astronautical Research Laboratory, Professor Gregorek was able to use the OSU Don Scott Airport to test his experiments.

While the airport had always supported aviation research, Professor Gregorek was the instigator in applied flight-testing on Ohio State's campus.

It was from the airport that Professor Gregorek launched many of his experimental projects. His projects ranged from general aviation tests to several NASA flight-test programs. And it was at this facility where Professor Gregorek, alongside Professor Stacy Weislogel, developed a flight-testing course that is still being taught at Ohio State. Professor Gregorek was an inspiration to his students as both an innovative researcher and teacher. He was known as a bright and creative role model for students and faculty alike, and he is remembered as an outstanding educator and pioneer in aerospace education. Thanks to Professor Gregorek, countless Ohio State aerospace engineering graduates have gone on to become impactful engineers, pilots and educators.

Professor Gregorek received many honors for his leadership in the aerospace industry. He was elected a Fellow of the American Institute of Aeronautics and Astronautics in 1999, and was a member of the Supersonic Tunnel Association, the American Society for Engineering Education, the Fédération Aéronautique Internationale, the Experimental Aircraft Association and the International Astronautical Federation — from which he received the Frank J. Malina Astronautics Medal, which is presented annually to an educator who has demonstrated excellence in taking the fullest advantage of the resources available to them to promote the study of astronautics and related space sciences. At Ohio State, Professor Gregorek's memory lives on through the Dr. Gerald Gregorek Aerospace Endowed Scholarship Fund, created in 2015 in his honor, as well as the Department of Mechanical and Aerospace Engineering's Gerald M. Gregorek Excellence in Teaching Award.

On behalf of the university community, the Board of Trustees expresses to the family of Professor Gerald M. "Jerry" Gregorek its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board's heartfelt sympathy and appreciation.

#### **THOMAS G. SKILLMAN**

The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on June 18, 2017, of Thomas G. Skillman, MD, Professor Emeritus of Internal Medicine in the College of Medicine.

Dr. Skillman completed his undergraduate studies at Baldwin Wallace University in Berea, Ohio, where he was a student-athlete. He was in the United States Navy's accelerated program, which allowed him to start medical school early, and required him to serve two years in the Navy following medical school. At the University of Cincinnati's College of Medicine, Dr. Skillman earned his medical degree and completed his internal medicine residency and endocrinology/metabolism fellowship. He was an exceptional student, graduating sixth in his medical school class of 68 students.

After serving as a medical officer in New Mexico during the Korean War, Dr. Skillman began his career in academic medicine, first as an instructor at the University of Cincinnati. In 1957, he was named an Assistant Professor of Medicine at Ohio State, but he left in 1961 to pursue an Associate Professor role at Creighton University in Nebraska. There, he rose to full Professor of Medicine and Chief of Endocrinology. In 1967, the Chair of



Medicine at Ohio State, Dr. James Warren, recruited Dr. Skillman back to serve as a Professor and Director of the Division of Endocrinology and Metabolism.

At Ohio State, Dr. Skillman was very involved in medical education and clinical research. He was honored by the College of Medicine's Class of 1972 as "Man of the Year" for his "dedication to medicine and medical education." In the class citation, Dr. Skillman is praised for both his clinical and teaching skills, his sense of humor and his humility. He considered "a teaching hospital akin to a scholar's library, where each patient represents a volume from which the physician learns." In the early 1970s, he was named the endowed Kurtz Chair in Endocrinology with the College of Medicine.

Dr. Skillman was a member of the Alpha Omega Alpha Honor Medical Society, the Central Society for Clinical and Translational Research, the American Federation for Clinical Research and multiple diabetes associations. Throughout his life, Dr. Skillman remained devoted to his two great loves — his family and medicine. While in college, he met Elizabeth McClellan, a nursing student at Christ College of Nursing and Health Science. They were married in 1947 and enjoyed nearly 70 years together.

On behalf of the university community, the Board of Trustees expresses to the family of Thomas G. Skillman, MD, its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board's heartfelt sympathy and appreciation.

#### **CARL E. SPEICHER**

The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on November 13, 2019, of Carl E. Speicher, MD, Professor Emeritus in the Department of Pathology.

Dr. Speicher's goal since childhood was to become a physician. He received his bachelor's degree in Biology, Summa Cum Laude, from King's College in Wilkes-Barre, Pennsylvania, in 1954, where he was also valedictorian. He then received his medical degree from the University of Pennsylvania's School of Medicine in Philadelphia in 1958.

After medical school, Dr. Speicher spent 21 years in the United States Air Force, where he served as the Chief of Laboratory Services as a Major, ultimately earning the rank of Colonel. He joined Ohio State's Department of Pathology in 1977. During his 22-year tenure with the department, he served as Professor of Pathology; Executive Vice-Chair of Pathology; Director of Clinical Services at the medical center; Medical Director of Clinical Pathology, Critical Care Laboratory and the Respiratory Therapy Laboratory; and President and Chief Operating Officer of the University Reference Laboratory.

He was also Co-Director of Clinical Laboratories at the James Cancer Hospital and Solove Research Institute; a member of the consulting staff at Memorial Hospital of Union County in Marysville, Ohio; Medical Director of LabNet of Ohio; and Medical Director of the Division of Medical Technology for the School of Allied Medical Professions at Ohio State. Dr. Speicher retired from the university in 2000.

Dr. Speicher reorganized the clinical labs and developed a total laboratory automation system while working on editing the next edition of his book entitled "The Right Test: A

Physician's Guide to Laboratory Medicine," which was translated into Portuguese, German and Greek. He was an internationally recognized leader in pathology and unfailingly dedicated to the welfare of his department and the College of Medicine. Dr. Speicher also served on numerous committees and editorial boards throughout his tenure at Ohio State.

On behalf of the university community, the Board of Trustees expresses to the family of Carl E. Speicher, MD, its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board's heartfelt sympathy and appreciation.

**THOMAS E. WEBB**

The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on November 14, 2019, of Thomas E. Webb, Professor Emeritus in the Department of Molecular, Cellular and Developmental Biology.

Professor Webb was a native of Canada, where he was raised on a farm in Mannville, Alberta. He completed his bachelor's and master's degrees in Biochemistry at the University of Alberta in 1956-57. In 1961, he earned his PhD in Biochemistry from the University of Toronto, where he also met his wife, Ellen. He continued his training by conducting postdoctoral research at the National Research Council of Canada in Ottawa and at the McArdle Laboratory for Cancer Research at the University of Wisconsin-Madison. Professor Webb's first faculty position was at McGill University in Montreal, Quebec, where he served as an Assistant Professor and Acting Director of the Cancer Unit for five years.

Professor Webb moved to Ohio State in 1970, and remained a faculty member at the university for nearly 30 years, dedicating his time to teaching and conducting research to find a cure for cancer.

During his impressive research career, Professor Webb studied important aspects of carcinogenesis. In particular, he published many studies on the potential anti-tumor properties of glucarate. Glucarate can be found in a variety of fruits and may help block tumorigenesis by increasing the detoxification of tumor-inducing compounds in the liver. Professor Webb was also a dedicated educator who made important contributions to teaching future generations of biomedical scientists.

On behalf of the university community, the Board of Trustees expresses to the family of Professor Thomas E. Webb its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board's heartfelt sympathy and appreciation.

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**FIRST AMENDMENT TO THE OHIO STATE UNIVERSITY  
ALTERNATIVE RETIREMENT PLAN**

Resolution No. 2020-70

Synopsis: Approval of the First Amendment to The Ohio State University Alternative Retirement Plan ("Plan"), is proposed.

WHEREAS the Board of Trustees originally adopted the Plan, effective February 5, 1999; and

WHEREAS the Plan was most recently amended and restated effective as of January 1, 2016; and

WHEREAS the university has the right to amend the Plan pursuant to Section 8.3 of the Plan; and

WHEREAS the university desires to amend to the Plan:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the First Amendment to the Plan, in the form attached hereto as Exhibit A, be, and hereby is, adopted effective as stated therein; and

BE IT FURTHER RESOLVED, That the senior vice president for business and finance and chief financial officer is hereby authorized to execute the First Amendment to the Plan and any other agreements, certificates, instruments, documents or conveyances necessary to effectuate or carry out the purpose and intent of the amendment; and

BE IT FURTHER RESOLVED, That if in the future further amendments to the Plan (or any other ancillary documents for the Plan) of a technical or non-discretionary nature become necessary to secure or maintain compliance with federal tax laws, then the senior vice president for business and finance and chief financial officer, in consultation with the Office of Human Resources and the Office of Legal Affairs, is hereby authorized to take such actions and execute such documents as are necessary to effectuate such amendments and ancillary documents, without further review or resolution by the Board of Trustees.

(See Appendix XXX for background information, page XXX)

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**FIRST AMENDMENT TO THE OHIO STATE UNIVERSITY  
AMENDED AND RESTATED 457(b) DEFERRED COMPENSATION PLAN**

Resolution No. 2020-71

Synopsis: Approval of the First Amendment to The Ohio State University Amended and Restated 457(b) Deferred Compensation Plan ("Plan"), is proposed.

WHEREAS the university previously established The Ohio State University ING Financial Advisors Deferred Compensation Plan, The Ohio State University Deferred Compensation Plan funded by TIAA-CREF, The Ohio State University Lincoln National Deferred Compensation Plan and The Ohio State University VALIC Deferred Compensation Plan (collectively, the Deferred Compensation Plans); and

WHEREAS the university amended, restated and consolidated the Deferred Compensation Plans into the Plan, effective January 1, 2012, and subsequently amended the Plan on November 21, 2014, and amended and restated the Plan effective as of March 6, 2016; and

WHEREAS under Section 10.01 of the Plan, the university has the right to amend the Plan; and

WHEREAS the university desires to amend to the Plan:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the First Amendment to the Plan, in the form attached hereto as Exhibit A, be, and hereby is, adopted effective as stated therein; and

BE IT FURTHER RESOLVED, That the senior vice president for business and finance and chief financial officer is hereby authorized to execute the First Amendment to the Plan and any other agreements, certificates, instruments, documents or conveyances necessary to effectuate or carry out the purpose and intent of the amendment; and

BE IT FURTHER RESOLVED, That if in the future further amendments to the Plan (or any other ancillary documents for the Plan) of a technical or non-discretionary nature become necessary to secure or maintain compliance with federal tax laws, then the senior vice president for business and finance and chief financial officer, in consultation with the Office of Human Resources and the Office of Legal Affairs, is hereby authorized to take such actions and execute such documents as are necessary to effectuate such amendments and ancillary documents, without further review or resolution by the Board of Trustees.

(See Appendix XXX for background information, page XXX)

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**SECOND AMENDMENT TO THE OHIO STATE UNIVERSITY  
RETIREMENT CONTINUATION PLAN**

Resolution No. 2020-72

AMENDED AND RESTATED EFFECTIVE AS OF FEBRUARY 1, 2016

Synopsis: Approval of the Second Amendment to The Ohio State University Retirement Continuation Plan ("Plan"), is proposed.

WHEREAS the Plan was established effective July 1, 2001; and

WHEREAS the Plan was most recently amended and restated effective as of February 1, 2016, and was subsequently amended effective as of February 1, 2016; and

WHEREAS pursuant to Section 15.01 of the Plan, the university desires to make technical amendments to the Plan to maintain compliance with federal tax laws and make certain other discretionary changes:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the Second Amendment to the Plan, in the form attached hereto as Exhibit A, be, and hereby is, adopted effective as stated therein; and

BE IT FURTHER RESOLVED, That the senior vice president for business and finance and chief financial officer is hereby authorized to execute the Second Amendment to the Plan and any other agreements, certificates, instruments, documents or conveyances necessary to effectuate or carry out the purpose and intent of the amendment; and

BE IT FURTHER RESOLVED, That if in the future further amendments to the Plan (or any other ancillary documents for the Plan) of a technical or non-discretionary nature become necessary to secure or maintain compliance with federal tax laws, then the senior vice president for business and finance and chief financial officer, in consultation with the Office of Human Resources and the Office of Legal Affairs, is hereby authorized to take such actions and execute such documents as are necessary to effectuate such amendments and ancillary documents, without further review or resolution by the Board of Trustees.

(See Appendix XXX for background information, page XXX)

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**SECOND AMENDMENT TO THE OHIO STATE UNIVERSITY  
RETIREMENT CONTINUATION PLAN II**

Resolution No. 2020-73

AMENDED AND RESTATED EFFECTIVE AS OF FEBRUARY 1, 2016

Synopsis: Approval of the Second Amendment to The Ohio State University Retirement Continuation Plan II ("Plan"), is proposed.

WHEREAS the Plan was established effective September 1, 2012;

WHEREAS the Plan was most recently amended and restated effective as of February 1, 2016, and was subsequently amended effective as of February 1, 2016; and

WHEREAS pursuant to Section 15.01 of the Plan, the university desires to make technical amendments to the Plan to maintain compliance with federal tax laws:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the Second Amendment to the Plan, in the form attached hereto as Exhibit A, be, and hereby is, adopted effective as stated therein; and

BE IT FURTHER RESOLVED, That the senior vice president for business and finance and chief financial officer is hereby authorized to execute the Second Amendment to the Plan and any other agreements, certificates, instruments, documents or conveyances necessary to effectuate or carry out the purpose and intent of the amendment; and

BE IT FURTHER RESOLVED, That if in the future further amendments to the Plan (or any other ancillary documents for the Plan) of a technical or non-discretionary nature become necessary to secure or maintain compliance with federal tax laws, then the senior vice president for business and finance and chief financial officer, in consultation with the Office of Human Resources and the Office of Legal Affairs, is hereby authorized to take such actions and execute such documents as are necessary to effectuate such amendments and ancillary documents, without further review or resolution by the Board of Trustees.

(See Appendix XXX for background information, page XXX)

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**PERSONNEL ACTIONS**

Resolution No. 2020-74

BE IT RESOLVED, That the Board of Trustees hereby approves the personnel actions as recorded in the personnel budget records of the university since the November 21, 2019, meeting of the board, including the following appointments and contract updates:

Contract Updates

Name: Michael V. Drake, MD  
Title: President Emeritus  
Unit: The Ohio State University  
Term: July 1, 2020 – June 30, 2021  
Title: Distinguished University Professor  
College: Medicine, Department of Ophthalmology  
College: Education and Human Ecology  
Term: July 1, 2020 – June 30, 2024

Name: Ryan P. Day  
Title: Head Coach  
Unit: Ohio State Football  
Term: February 1, 2020 – January 31, 2027

Interim Appointment

Name: James W. Rocco, MD  
Title: Interim Dean  
Unit: College of Medicine  
Title: Interim Vice President of Health Sciences  
Unit: Wexner Medical Center  
Term: January 6, 2020 – January 5, 2021, or until a permanent dean is named

(See Appendix XXX for background information, page XXX)

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**RATIFICATION OF COMMITTEE APPOINTMENTS 2020-2021**

Resolution No. 2020-75

BE IT RESOLVED, That the Board of Trustees hereby approves that the ratification of committee appointments for 2020-2021 are as follows:

**Academic Affairs, Student Life & Research:**

Alex Shumate, Chair  
Brent R. Porteus, Vice Chair  
Cheryl L. Krueger  
Abigail S. Wexner  
Hiroyuki Fujita  
Alan A. Stockmeister  
Elizabeth P. Kessler  
Jeff M.S. Kaplan  
Anand Shah  
Alan VanderMolen  
Janet Porter  
Susan Olesik (faculty member)  
Gary R. Heminger (ex officio)

**Audit, Compliance & Finance:**

Timothy P. Smucker, Co-Chair  
John W. Zeiger, Co-Chair  
Brent R. Porteus  
Erin P. Hoeflinger  
Alexander R. Fischer  
Hiroyuki Fujita  
Elizabeth P. Kessler  
Lewis Von Thaeer  
Jeff M.S. Kaplan  
Janice M. Bonsu  
James D. Klingbeil  
Amy Chronis  
Gary R. Heminger (ex officio)

**Advancement:**

Erin P. Hoeflinger, Chair  
Alan A. Stockmeister, Vice Chair  
Alex Shumate  
Cheryl L. Krueger  
Abigail S. Wexner  
Janice M. Bonsu  
Alan VanderMolen  
Janet Porter  
Craig S. Bahner  
**THOMAS M. MURNANE**  
Catherine Baumgardner (Alumni Assn member)  
Janelle Jordan (Alumni Assn member)  
Gifford Weary (Foundation Board member)  
Alec Wightman (Foundation Board member)  
Gary R. Heminger (ex officio)

**Talent, Compensation & Governance:**

Hiroyuki Fujita, Chair  
Lewis Von Thaeer, Vice Chair  
Alex Shumate  
Erin P. Hoeflinger  
John W. Zeiger  
Elizabeth P. Kessler  
Jeff M.S. Kaplan  
Janice M. Bonsu  
Janet Porter  
Gary R. Heminger (ex officio)

**Master Planning & Facilities:**

Alexander R. Fischer, Chair  
James D. Klingbeil, Vice Chair  
Timothy P. Smucker  
Brent R. Porteus  
Anand Shah  
Robert H. Schottenstein  
Gary R. Heminger (ex officio)



**RATIFICATION OF COMMITTEE APPOINTMENTS 2020-2021 (cont'd)**

**Wexner Medical Center:**

Leslie H. Wexner, Chair  
Abigail S. Wexner  
Cheryl L. Krueger  
Hiroyuki Fujita  
John W. Zeiger  
Janet Porter  
Stephen D. Steinour  
Robert H. Schottenstein  
W.G. Jurgensen  
Cindy Hilsheimer  
Gary R. Heminger (ex officio, voting)  
Michael V. Drake (ex officio, voting)  
Harold L. Paz (ex officio, voting)  
Bruce A. McPheron (ex officio, voting)  
Michael Papadakis (ex officio, voting)

(See Appendix XXX for background information, page XXX)

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**ESTABLISHMENT AND APPOINTMENT OF A PRESIDENTIAL  
SEARCH COMMITTEE AND CONTRACT TO RETAIN  
PRESIDENTIAL SEARCH CONSULTANT**

Resolution No. 2020-76

Synopsis: Establishment and appointment of Presidential Search Committee and Contract to retain a national search consultant to assist the Presidential Search Committee, are proposed.

WHEREAS Dr. Michael V. Drake, MD, announced his decision to retire from the presidency of The Ohio State University effective June 30, 2020; and

WHEREAS it is the responsibility of the Board of Trustees, pursuant to section 3335.09 of the Ohio Revised Code, to select the president of the university; and

WHEREAS the Board of Trustees has determined that it is appropriate to establish and appoint a Presidential Search Committee with broad representation of university constituencies and charge it with responsibility for recommending to the board one or more candidates to be the next president of the university; and

WHEREAS in order to proceed expeditiously with the commencement of the search it is appropriate to constitute and give an initial charge to the Presidential Search Committee; and

WHEREAS it is an established practice to select a professional search firm to serve as a consultant to the search committee and the Board of Trustees, providing advice, expertise and administrative support in the identification, screening and recruiting of the most highly qualified candidates for the presidency;

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the Presidential Search Committee of The Ohio State University will be established as follows:

Presidential Selection Subcommittee

Lewis Von Thaeer, Chairman, Presidential Search Committee  
Alex R. Fischer, Trustee  
Hiroyuki Fujita, Trustee  
Erin P. Hoeflinger, Trustee  
Brent R. Porteus, Trustee  
Abigail S. Wexner, Trustee  
John W. Zeiger, Trustee

University Advisory Subcommittee

Susan Olesik, Co-Chair, Professor of Chemistry and Biochemistry  
David Frantz, Co-Chair, Faculty Emeritus in the Department of English  
Juan Alfonzo, Distinguished Professor, Department of Microbiology  
Anil Arya, Associate Dean, Faculty and Research, and Professor,  
Department of Accounting and Management Information Systems,  
Fisher College of Business  
Catherine Baumgardner, Chair, Ohio State Alumni Association Board of  
Directors  
TJ Beavers, Student/Chief of Staff, Inter-Professional Council  
Michael Coleman, Former Mayor, City of Columbus  
Ben Duwve, Student/Senior Director of Allocations, Undergraduate  
Student Government  
Michael Eicher, Senior Vice President, Office of Advancement, and  
President, The Ohio State University Foundation  
Lisa Florman, Chair, Department of History of Art  
Donna Ford, Distinguished Professor, Department of Educational Studies  
Joseph Heremans, Member, University Research Committee;  
Professor, Department of Physics and Department of Materials Science  
and Engineering; Ohio Eminent Scholar, Department of Mechanical and  
Aerospace Engineering  
Andrew Jordan, Chair, University Staff Advisory Committee; Manager of  
Strategy and Organizational Effectiveness, Department of Recreational  
Sports, Office of Student Life  
Clark Kellogg, Former Trustee, The Ohio State University  
Peter Mohler, Vice Dean for Research, College of Medicine; Director,  
Dorothy M. Davis Heart and Lung Research Institute  
Devin Peterson, Distinguished Professor, Department of Food Science  
and Technology  
Stephen Post, Student/President, Council of Graduate Students

Sharon Schweikhart, Chair, Faculty Council; Associate Professor  
and Vice Chair, Health Services Management and Policy,  
College of Public Health  
Gene Smith, Senior Vice President and Wolfe Foundation Endowed  
Athletics Director  
Karla Zadnik, Executive Dean for Health Sciences; Dean, College of  
Optometry

BE IT FURTHER RESOLVED, That Lewis Von Thae shall serve as chair of the Presidential Search Committee and that the following people will serve the board and Presidential Search Committee as follows: Jessica Eveland, board secretary and liaison; Anne Garcia, interim general counsel; and Richard Chait, board consultant; and

BE IT FURTHER RESOLVED, That each member of the Presidential Search Committee shall commit to representing the best interests of the university in planning and directing all aspects of a comprehensive and expeditious search for a new president including, but not limited to, developing a profile of characteristics, skills and qualities desired in the next president; identifying candidates and verifying their qualifications, availability and interest in the position; and making a recommendation of one or more candidates to the board in a timely fashion; and

BE IT FURTHER RESOLVED, That the chair of the Presidential Search Committee shall provide periodic updates to the Board of Trustees at its regularly scheduled meetings until the search is concluded; and

BE IT FURTHER RESOLVED, That the Presidential Search Committee shall serve until discharged by the Board of Trustees or upon the appointment of a new president; and

BE IT FURTHER RESOLVED, That the university will retain Isaacson, Miller to consult with the Presidential Search Committee and the university to assist in the search for the next president; and

BE IT FURTHER RESOLVED, That the university is hereby authorized to enter into a contract of engagement with Isaacson, Miller, on terms favorable to the university; and

BE IT FURTHER RESOLVED, That any actions taken by the Presidential Search Committee or the chair in furtherance of this resolution prior to its effective date are hereby ratified and approved.

(See Appendix XXX for background information, page XXX)

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**SUSPENSION OF ADMISSIONS TO THE  
MASTER'S OF BUSINESS LOGISTICS ENGINEERING PROGRAM**

Resolution No. 2020-77

Synopsis: Approval to suspend admissions to the Master's of Business Logistics Engineering program (Fisher College of Business and College of Engineering), is proposed.

WHEREAS the university launched the Master's of Business Logistics Engineering (MBLE) program in 2005, when there were about 40 competing programs; and

WHEREAS there are now 80 competing programs, double the number when the MBLE program was created; and

WHEREAS increased competition has negatively affected both applications and enrollment in the MBLE program, which reached a high of 224 applications in 2012, but has since seen about a 50% decline; and

WHEREAS the program is expected to lose about \$300,000 in the 2019-2020 year and is no longer seen as financially viable by the offering academic units; and

WHEREAS under a suspension of admissions, the university would no longer add students to the MBLE program, but Ohio State would allow the 42 students currently enrolled to complete their degrees as planned; and

WHEREAS a suspension of admissions would result in no loss of faculty or staff positions; and

WHEREAS the University Senate on January 30, 2020, recommended to the Board of Trustees that the university suspend admissions to the MBE program:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the suspension of admissions to the Master's of Business Logistics Engineering program.

(See Appendix XXX for background information, page XXX)

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**NAME CHANGE OF THE AERONAUTICAL AND ASTRONAUTICAL ENGINEERING  
BACHELOR'S, MASTER'S AND DOCTORAL DEGREES**

Resolution No. 2020-78

Synopsis: Approval to change the name of the Aeronautical and Astronautical Engineering bachelor's, master's and doctoral degrees to Aerospace Engineering (College of Engineering), is proposed.

WHEREAS the departments of Mechanical Engineering and Aeronautical and Astronautical Engineering merged in 2010, resulting in the current Department of Mechanical and Aerospace Engineering; and

WHEREAS the difference between the name of the department administering the degrees and the name of the degrees has led to some student confusion regarding who administers the current Aeronautical and Astronautical Engineering degrees; and

WHEREAS the term "Aerospace" is more in keeping with 21st century terminology and aligned with the degree names most often offered by our peer institutions; and

WHEREAS the University Senate on January 30, 2020, voted to recommend to the Board of Trustees that the university adopt the name Aerospace Engineering for these bachelor's, master's and doctoral degrees:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the name change of the Aeronautical and Astronautical Engineering bachelor's, master's and doctoral degrees to Aerospace Engineering.

(See Appendix XXX for background information, page XXX)

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**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY**

Resolution No. 2020-79

Synopsis: Approval of the following amendments to the *Rules of the University Faculty*, is proposed.

WHEREAS the University Senate, pursuant to rule 3335-1-09 of the Administrative Code, is authorized to recommend through the president to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes to rule 3335-5-47.3, 3335-17-09 and 3335-19-02 in the *Rules of the University Faculty* were approved by the University Senate on January 30, 2020:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the attached amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

(See Appendix XXX for background information, page XXX)

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### FACULTY PERSONNEL ACTIONS

Resolution No. 2020-80

BE IT RESOLVED, That the Board of Trustees hereby approves the faculty personnel actions as recorded in the personnel budget records of the university since the November 21, 2019, meeting of the board, including the following appointments, appointments/reappointments of chairpersons, faculty professional leaves and emeritus titles:

#### Appointments

Name: KRSTOF S. BANKIEWICZ  
Title: Professor (Gilbert and Kathryn Mitchell Chair)  
College: Medicine  
Term: September 1, 2019 through June 30, 2024

Name: RAYMOND L. BENZA  
Title: Professor (Bob and Corrine Frick Chair in Heart Failure)  
College: Medicine  
Term: March 1, 2020 through June 30, 2024

Name: TREVOR L. BROWN  
Title: Executive Dean of the Professional Colleges  
Office: Academic Affairs  
Term: December 1, 2019 through June 30, 2022

Name: EMIL F. COCCARO  
Title: Professor (George T. Harding III, MD, Endowed Chair in Psychiatry)  
College: Medicine  
Term: May 1, 2020 through June 30, 2024

Name: MAGED M. COSTANTINE  
Title: Professor (Frederick P. Zuspan, MD, Chair in Obstetrics and Gynecology)  
College: Medicine  
Term: March 1, 2020 through June 30, 2024

Name: LIAN DUAN  
Title: Associate Professor (Honda Chair for Transportation)  
College: Engineering  
Term: September 1, 2019 through August 31, 2024

Name: THOMAS J. HUND  
Title: Professor (William D. and Jacquelyn L. Wells Chair at the Dorothy M. Davis Heart and Lung Research Institute)  
College: Medicine  
Term: March 1, 2020 through June 30, 2024

Name: CHRISTOPHER JARONIEC  
Title: Interim Dean of Natural and Mathematical Sciences  
College: Arts and Sciences  
Term: January 1, 2020 through June 30, 2020

Name: BERNADETTE M. MELNYK  
Title: Dean (Helene Fuld Health Trust Endowed Professorship for Evidence-based Practice in Nursing and Healthcare)  
College: Nursing  
Term: November 1, 2019 through October 31, 2024

Name: ANDRE F. PALMER  
Title: Professor (Fenburr Ohio Eminent Scholar in Nanotechnology: Molecular Self-Assembly)  
College: Engineering  
Term: September 1, 2019 through August 31, 2024

Name: BLAKE R. PETERSON  
Title: Professor and Chair (John W. Wolfe Chair in Cancer Research)  
College: Medicine  
Term: March 1, 2020 through June 30, 2024

Name: JAMES ROCCO  
Title: Interim Dean  
College: Medicine  
Title: Interim Vice President of Health Sciences  
Unit: Wexner Medical Center  
Term: January 6, 2020 through January 5, 2021, or until a permanent dean is named

Name: BENJAMIN SEGAL  
Title: Chair (Stanley D. and Joan H. Ross Chair in Neuromodulation)  
College: Medicine  
Term: Septembr 1, 2019 through June 30, 2024

Name: BRYAN A. WHITSON  
Title: Professor (Jewel and Frank Benson Family Research Professorship)  
College: Medicine  
Term: March 1,2020 through June 30, 2024

### Reappointments

Name: SHARYN D. BAKER  
Title: Professor (Gertrude Parker Heer Chair in Cancer Research)  
College: Medicine  
Term: August 24, 2019 through June 30, 2024

Name: SKYLER J. CRANMER  
Title: Professor (Carter Phillips and Sue Henry Professorship in Political Science)  
College: Arts and Sciences  
Term: August 16, 2019 through August 15, 2024

Name: LAURENCE V. MADDEN  
Title: Professor (Professorship in Plant Protection)  
College: Food, Agricultural, and Environmental Sciences  
Term: October 1, 2019 through September 30, 2024

Name: TIMOTHY PAWLIK  
Title: Professor (Urban Meyer III and Shelley Meyer Chair for Cancer Research)  
Title: Chair, Department of Surgery  
College: Medicine  
Term: July 1, 2020 through June 30, 2024

Name: INGRID WERNER  
Title: Professor (Martin and Andrew Murrer Professorship in Finance)  
College: Fisher College of Business  
Term: March 1, 2020 through February 28, 2025

### Extensions

Name: THOMAS K. GREGOIRE  
Title: Dean  
College: Social Work  
Term: July 1, 2020 through June 30, 2022

(See Appendix XXX for background information, page XXX)



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**DEGREES AND CERTIFICATES**

Resolution No. 2020-81

Synopsis: Approval of Degrees and Certificates for spring term 2020 is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees, the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the degrees and certificates to be conferred on May 3, 2020, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools.

(See Appendix XXX for background information, page XXX)

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**APPROVAL TO ENTER INTO/INCREASE PROFESSIONAL SERVICES AND ENTER INTO/INCREASE CONSTRUCTION CONTRACTS**

Resolution No. 2020-82

**APPROVAL TO ENTER INTO/INCREASE PROFESSIONAL SERVICES CONTRACTS**  
Campus Environmental Surveys  
Energy Advancement and Innovation Center

**APPROVAL TO ENTER INTO/INCREASE PROFESSIONAL SERVICES AND CONSTRUCTION CONTRACTS**

Celeste Lab Renovation  
Recreation Fields Improvements  
Frank Stanton Veterinary Spectrum of Care (SOC) Clinic  
Interdisciplinary Research Facility  
Rhodes/Doan – 4th Floor OR Power Distribution  
WMC Outpatient Care Dublin  
WMC Outpatient Care West Campus  
Wexner Medical Center Inpatient Hospital

**APPROVAL TO ENTER INTO/INCREASE CONSTRUCTION CONTRACTS**

Instructional Science Buildings Deferred Maintenance  
West Campus Infrastructure Phase 1  
Wooster Farm Operations Improvements

Synopsis: Authorization to enter into/increase professional services and construction contracts, as detailed in the attached materials, is proposed.

WHEREAS in accordance with the attached materials, the university desires to enter into/increase professional services contracts for the following projects; and

	Prof. Serv. Approval Requested	Total Requested	
Campus Environmental Surveys	\$1.0M	\$1.0M	University Funds
Energy Advancement and Innovation Center	\$2.2M	\$2.2M	Partner Funds

WHEREAS in accordance with the attached materials, the university desires to enter into/increase professional services contracts and enter into/increase construction contracts for the following projects; and

	Prof. Serv. Approval Requested	Construction Approval Requested	Total Requested	
Celeste Lab Renovation	\$0.2M	\$27.0M	\$27.2M	Fundraising University Funds State Funds
Recreation Fields Improvements	\$0.5M	\$4.0M	\$4.5M	University Funds
Frank Stanton Veterinary Spectrum of Care (SOC) Clinic	\$0.3M	\$1.7M	\$2.0M	Fundraising University Funds
Interdisciplinary Research Facility	\$9.1M	\$213.4M	\$222.5M	University Debt Fundraising University Funds Auxiliary Funds

	Prof. Serv. Approval Requested	Construction Approval Requested	Total Requested	
Rhodes/Doan – 4th Floor OR Power Distribution	\$0.7M	\$4.0M	\$4.7M	Auxiliary Funds
WMC Outpatient Care Dublin	\$3.3M	\$145.9M	\$149.2M	Auxiliary Funds
WMC Outpatient Care West Campus	\$2.7M	\$129.6M	\$132.3M	Auxiliary Funds Partner Funds
Wexner Medical Center Inpatient Hospital	\$9.9M	\$8.2M	\$18.1M	Auxiliary Funds

WHEREAS in accordance with the attached materials, the university desires to enter into/increase construction contracts for the following projects; and

	Construction Approval Requested	Total Requested	
Instructional Science Buildings Deferred Maintenance	\$23.0M	\$23.0M	University Debt
West Campus Infrastructure Phase 1	\$15.6M	\$15.6M	University Funds Auxiliary Funds OSEP Funds
Wooster Farm Operations Improvements	\$0.9M	\$0.9M	University Funds State Funds

WHEREAS the Capital Investment Plan (CIP) outlines capital projects recommended for funding and was approved by the University Board of Trustees on August 30, 2019; and

WHEREAS approval for the increase for professional services and construction for the Recreation Fields Improvements and the Frank Stanton Spectrum of Care Clinic will add scope to meet the needs of the campus community; and

WHEREAS approval for professional services and construction for the Wexner Medical Center Outpatient Care Dublin and Wexner Medical Center Inpatient Hospital is needed to advance strategically important projects; and

WHEREAS the full cost of professional services and/or construction for the Recreation Fields Improvements, Frank Stanton Spectrum of Care Clinic, Wexner Medical Center Outpatient Care Dublin and the Wexner Medical Center Inpatient Hospital projects was not known at the time the CIP was approved; and

WHEREAS the Master Planning and Facilities Committee has reviewed the projects listed above for alignment with all applicable campus plans and guidelines; and

WHEREAS the Audit, Compliance and Finance Committee has reviewed the projects listed above for alignment with the CIP and other applicable financial plans:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the fiscal year 2020 Capital Investment Plan be amended to include increases in professional services and/or construction for the Recreation Fields Improvements, Frank Stanton Spectrum of Care Clinic, Wexner Medical Center Outpatient Care Dublin and Wexner Medical Center Inpatient Hospital projects; and

BE IT FURTHER RESOLVED, That the Board of Trustees hereby approves that the President and/or Senior Vice President for Business and Finance be authorized to enter into/increase professional services and construction contracts for the projects listed above in accordance with established university and State of Ohio procedures, with all actions to be reported to the board at the appropriate time.

(See Appendix XXX for background information, page XXX)

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### **APPROVAL TO ENTER INTO A JOINT USE AGREEMENT**

Resolution No. 2020-83

#### **BETWEEN THE OHIO STATE UNIVERSITY AND THE UNION COUNTY COMMUNITY IMPROVEMENT CORPORATION**

Synopsis: Authorization to enter into a Joint Use Agreement (JUA) with The Union County Community Improvement Corporation (CIC), an Ohio nonprofit agency, to document the value and permit the release of funds appropriated in the State Capital Bill for design and build-out of the Automotive and Mobility Innovation Center (AMIC), is proposed.

WHEREAS The Ohio State University was allocated \$1,500,000 in the 2019-20 State Capital Bill that is specifically designated for use by the Union County CIC; and

WHEREAS the Union County CIC will utilize the funds for the construction of lab, industrial and office space in Marysville, Ohio; and

WHEREAS The Ohio State University has an opportunity to expand its partnerships and activities in the Marysville area and would benefit from having a space locally to support a variety of engagements; and

WHEREAS the Union County CIC commits to making office space, meeting space and shop/lab space in the Union County CIC facilities available for the university's use; and

WHEREAS the terms and conditions for this university use shall be more favorable than the terms and conditions of use by any other entity to a degree that reasonably reflects the magnitude of the university's investment in the Union County CIC facility for the term of the agreement; and

WHEREAS except for the funds used to cover the university's reasonable administrative costs related to the project, the funds provided under this JUA shall be used by the Union County CIC only for capital improvements or purchases and shall not be used for operating expenses; and

WHEREAS the university's use of the Union County CIC space will promote the university's mission to advance the well-being of the people of Ohio and the global community through the creation and dissemination of knowledge; and

WHEREAS before the state capital appropriation may be released to the Union County CIC, the Ohio Department of Higher Education requires that a Joint Use Agreement between the university and the Union County CIC be signed to document the value of the appropriation to the university and to ensure the benefits to the university will continue for a minimum period of 20 years:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the President and/or Senior Vice President for Business and Finance and/or Senior Vice President for Administration and Planning be authorized to take any action required to effect this Joint Use Agreement containing terms and conditions deemed to be in the best interest of the university.

(See Appendix XXX for background information, page XXX)

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**APPROVAL FOR LONG-TERM GROUND LEASE AND  
AMENDED DEVELOPMENT AGREEMENT**

Resolution No. 2020-84

LANE AVENUE AND CARMACK ROAD  
COLUMBUS, FRANKLIN COUNTY, OHIO

Synopsis: Authorization is requested for entering into a long-term ground lease with SciTech, a not for profit corporation, upon which SciTech would perform certain site improvements to prepare the site for development of a medical research and manufacturing facility, which would be constructed as a subtenant to SciTech. The subject Development Parcel is located at the southwest corner of Lane Avenue and Carmack Road, Columbus, Ohio.

WHEREAS The Ohio State University seeks to lease approximately 7.8 +/- acres of unimproved real property located at the southwest corner of Lane Ave and Carmack Road, Columbus, Ohio ("Development Parcel"); and

WHEREAS the developed property will create additional benefits and collaborative opportunities for the university, provide greater economic enrichment and development within Ohio, and enhance the university's preeminence as a major research organization; and

WHEREAS the existing development agreement between The Ohio State University and SciTech will be amended to reflect additional land to be leased to SciTech, and such terms as are necessary to support the efficient development of subtenant's facilities; and

WHEREAS the Development Parcel will be separately described and leased to SciTech consistent with other development properties subject to the Development Agreement; and

WHEREAS the long-term ground lease of the Development Parcel and the amended development agreement are in the best interest of the university:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that President and/or the Senior Vice President for Business and Finance be authorized to take any action required to effect the execution of a long-term ground lease for a Development Parcel of approximately 7.8 +/- acres with SciTech in Columbus, Ohio for a term of 50 years, with renewal options, and annual base rent to be calculated based upon the proceeds from the physical development and sublease, and that they be authorized to take any action required to amend the development agreement accordingly between The Ohio State University and SciTech, each under such additional terms and conditions as shall be in the best interest of the State of Ohio and the university.

(See Appendix XXX for background information, page XXX)

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**APPROVAL FOR THE ACQUISITION OF VACANT LAND**

Resolution No. 2020-85

**2.5 +/- ACRES ON OLENTANGY RIVER ROAD  
COLUMBUS, FRANKLIN COUNTY, OHIO**

Synopsis: Authorization to purchase approximately 2.5+/- acres of unimproved real property located at Olentangy River Road in Columbus, Franklin County, Ohio is proposed.

WHEREAS The Ohio State University (“University”) seeks to purchase approximately 2.5+/- acres of unimproved real property located at Olentangy River Road, Columbus, Ohio, identified as Franklin County parcel 010-288227 (“Property”); and

WHEREAS the Property is strategically located 1.6 miles from the university’s main campus; and

WHEREAS the Property is contiguous to the Stefanie Spielman Comprehensive Breast Center (SSCBC), a facility that provides a variety of cancer services critical to The Ohio State University Comprehensive Cancer Center – Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James) and the objectives of its ambulatory cancer strategic plan and its mission to improve health in Ohio and across the world through innovation in research, education and patient care; and

WHEREAS the city of Columbus’ Lower Olentangy Tunnel Project (“Tunnel Project”) will be built approximately 60 feet underground and will traverse the Property and the University will cooperate with the city to allow use of the Property for the Tunnel Project; and

WHEREAS the obligation of the University to purchase the Property is subject to and conditioned upon the approval of the State of Ohio Controlling Board:

**NOW THEREFORE**

BE IT RESOLVED, That the Board of Trustees hereby approves the purchase of approximately 2.5 +/- acres of unimproved real property, located at Olentangy River Road; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to take action required to effect the purchase of the referenced Property at a price and terms to be negotiated in the best interest of the university; and

BE IT FURTHER RESOLVED, That the title will be held in the name of the State of Ohio for the use and benefit of The Ohio State University and upon terms and conditions deemed to be in the best interest of the university.

(See Appendix XXX for background information, page XXX)

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**APPROVAL FOR THE ACQUISITION OF REAL PROPERTY**

Resolution No. 2020-86

7706 OLENTANGY RIVER ROAD  
COLUMBUS, FRANKLIN COUNTY, OHIO

Synopsis: Authorization to purchase real property located at 7706 Olentangy River Road, Columbus, Franklin County, Ohio, is proposed.

WHEREAS The Ohio State University seeks to purchase approximately 0.74 acres of improved real property located at 7706 Olentangy River Road, Columbus, Ohio, identified as Franklin County parcel number 610-233931; and

WHEREAS the property is strategically important in supporting the objectives of the Wexner Medical Center's ambulatory care strategy; and

WHEREAS the Wexner Medical Center will provide funding for the acquisition and subsequent management of the property:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the purchase of real property, located at 7706 Olentangy River Road; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to take action required to effect the purchase of the above referenced property in the name of the State of Ohio for the use and benefit of The Ohio State University upon terms and conditions deemed to be in the best interest of the university and at a purchase price determined to be fair market value for the property.

(See Appendix XXX for background information, page XXX)

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**AUTHORIZATION TO GRANT A PERPETUAL  
SANITARY SEWER EASEMENT**

Resolution No. 2020-87

BETWEEN THE OHIO STATE UNIVERSITY AND THE CITY OF COLUMBUS  
AT OHIO STATE EAST HOSPITAL

Synopsis: Authorization to grant a perpetual sanitary sewer easement to the city of Columbus, Ohio ("City) at Ohio State East Hospital, is proposed.

WHEREAS a university construction project at Ohio State East Hospital required the relocation of a city of Columbus sanitary sewer line; and

WHEREAS the line was relocated and a 25-year easement was granted to the City for the relocated line; and



WHEREAS the City has requested to replace the 25-year term easement with a perpetual easement for this line with a 20-foot-wide easement area of approximately 0.067 acres:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the President and/or Senior Vice President for Business and Finance be authorized to approve, and the Ohio Department of Administrative Services be authorized to process, appropriate documents and grant this easement to the city of Columbus upon such terms and conditions as are in the best interest of the university.

(See Appendix XXX for background information, page XXX)

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### UNIVERSITY FOUNDATION REPORT

Resolution No. 2020-88

Synopsis: Approval of The Ohio State University Foundation Report as of January 31, 2020, is proposed.

WHEREAS monies are solicited and received on behalf of the university from alumni, industry and various individuals in support of research, instructional activities and service; and

WHEREAS such gifts are received through The Ohio State University Foundation; and

WHEREAS this report includes: (i) the establishment of one (1) endowed chair fund: the Dr. Paul A Weber Chair Fund in Ophthalmology; two (2) endowed professorships: the Jewel and Frank Benson Family Research Professorship, and the Dr. Carl F. Asseff Professorship in Anthropology and History of Medicine; one (1) endowed professorship fund: The John I. Makhoul Professorship Fund in Electrical and Computer Engineering; one (1) designated professorship: the Andrea Lunsford Designated Professorship for Rhetoric, Composition, and Literacy; (1) endowed fellowship: the Michael and Nanette Triplett Endowed Chemical Engineering Graduate Fellowship; one (1) endowed scholarship as part of the 100% TBDBITL Scholarship Endowment Initiative; and forty-four (44) additional named endowed funds; (ii) the revision of five (5) named endowed funds; and the closure of one (1) named endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves The Ohio State University Foundation Report as of January 31, 2020.

(See Appendix XXX for background information, page XXX)

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**NAMING OF THE JOHN H. MCCONNELL –  
WORTHINGTON INDUSTRIES FLIGHT HUB**

Resolution No. 2020-89

**IN THE OHIO STATE UNIVERSITY AIRPORT, COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of the flight planning area at The Ohio State University Airport located at 2160 West Case Road, is proposed.

WHEREAS The Ohio State University Airport is one of the leading general aviation facilities in the nation and has been partnering with the community to offer a learning lab for future professionals, a core facility for university research and service to Columbus area industry since 1942; and

WHEREAS The Ohio State University Airport supports the development of future aviation professionals as the primary teaching and research nucleus serving the university's Center for Aviation Studies, with 300-plus students participating in labs or coursework at the airport, utilizing its fleet of 20 aircraft, flight simulators, air traffic control tower, weather station, and communications and navigation systems each semester; and

WHEREAS Worthington Industries and The McConnell Foundation have provided significant contributions to The Ohio State University Airport; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

**NOW THEREFORE**

BE IT RESOLVED, That in acknowledgement of Worthington Industries' and The McConnell Foundation's philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned flight planning area be named the John H. McConnell – Worthington Industries Flight Hub for the life of the current facility.

(See Appendix XXX for background information, page XXX)

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**NAMING OF THE SANDER A. FLAUM LOUNGE LIBRARY**

Resolution No. 2020-90

**PAGE HALL, JOHN GLENN COLLEGE OF PUBLIC AFFAIRS**

Synopsis: Approval for the naming of the third-floor lounge library in Page Hall, located at 1810 College Road, is proposed.

WHEREAS the John Glenn College of Public Affairs is committed to inspiring and developing the next generation of leaders and public and nonprofit professionals who can handle complex issues and make change a reality in civic life; and

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WHEREAS Page Hall features unique architectural elements, artifacts and historical documents displayed throughout the lounge library from Senator Glenn's private collection for students to interact with and experience; and

WHEREAS Sander A. Flaum has provided significant contributions to the John Glenn College of Public Affairs; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Sander A. Flaum's philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned third-floor lounge library be named the Sander A. Flaum Lounge Library for the life of the current facility.

(See Appendix XXX for background information, page XXX)

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**NAMING OF THE SHARON AND MICHAEL DEASCENTIS MAT  
IN THE JENNINGS WRESTLING FACILITY**

Resolution No. 2020-91

DEPARTMENT OF ATHLETICS

Synopsis: Approval for naming one of the wrestling practice mats in the Jennings Wrestling Facility, located at 684 Irving Schottenstein Drive, is proposed.

WHEREAS the Jennings Wrestling Facility provides a state-of-the-art practice facility, coaches' offices and other spaces for Ohio State wrestling student-athletes; and

WHEREAS the Jennings Wrestling Facility serves as a space for the wrestling student-athletes to lift, condition, train and rehabilitate injuries and to strive for peak performance; and

WHEREAS this facility helps attract and retain the best prospective wrestling student-athletes; and

WHEREAS Sharon and Michael DeAscentis Sr. have provided significant contributions to the construction of the Jennings Wrestling Facility; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgment of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1- 08 of the Ohio Administrative Code, that for the life of the physical facility the aforementioned wrestling practice mat be named the Sharon and Michael DeAscentis Mat.

(See Appendix XXX for background information, page XXX)

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**NAMING OF THE ANDERSON ALUMINUM CORPORATION  
STEALTH LAB FOR INNOVATION AND COLLABORATION**

Resolution No. 2020-92

**IN THE JAMESON CRANE SPORTS MEDICINE INSTITUTE  
WEXNER MEDICAL CENTER**

Synopsis: Approval for the naming of the stealth lab in the Jameson Crane Sports Medicine Institute, located at 2835 Fred Taylor Drive, is proposed.

WHEREAS the Jameson Crane Sports Medicine Institute is the Midwest's largest and most advanced sports medicine facility, serving as the home of innovation and discovery in helping people improve their athletic performance, recover from injury and prevent future injuries; and

WHEREAS the institute's research is focused on learning what causes athletic injuries, developing preventive interventions and enhancing how we get patients back to an active lifestyle, relying on a collaborative effort between engineers, athletic trainers, physical therapists, physicians and coaches; and

WHEREAS Anderson Aluminum Corporation has provided significant contributions to the Jameson Crane Sports Medicine Institute; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgment of Anderson Aluminum Corporation's philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned stealth lab (Room 3150) be named the Anderson Aluminum Corporation Stealth Lab for Innovation and Collaboration for the life of the current facility.

(See Appendix XXX for background information, page XXX)

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**NAMING OF THE  
MICHAEL, DINA AND CESARE MORELL COMPUTER LAB**

Resolution No. 2020-93

**IN BAKER SYSTEMS ENGINEERING, COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of classroom laboratory 480B in Baker Systems Engineering, located at 1971 Neil Avenue, is proposed.

WHEREAS the Departments of Computer Science and Engineering and Integrated Systems Engineering give students an appropriate foundation for their education, research and experiences after graduation, consistent with computing and engineering's increasingly fundamental role in society; and

WHEREAS both departments work with key academic partners within and outside of Ohio State, and with key industrial partners, in pursuit of research and educational endeavors; and

WHEREAS Michael A. Morell has provided significant contributions to the College of Engineering; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Michael A. Morell's philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned classroom laboratory (Room 480B) be named The Michael, Dina and Cesare Morell Computer Lab for the life of the current facility.

(See Appendix XXX for background information, page XXX)

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**NAMING OF INTERNAL SPACES**

Resolution No. 2020-94

**IN THE VETERINARY MEDICAL CENTER  
HUMMEL & TRUEMAN HOSPITAL FOR COMPANION ANIMALS**

Synopsis: Approval for naming of internal spaces at the Veterinary Medical Center (VMC) Hummel & Trueman Hospital for Companion Animals and the Clinical Office Building, located at 601 Vernon L. Tharp Street, is proposed.

WHEREAS since 1885 the College of Veterinary Medicine has had a comprehensive referral VMC that admits more than 35,000 animal patients each year, representing a wide range of species including companion, farm, equine and service animals; and

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WHEREAS the Hummel & Trueman Hospital for Companion Animals consists of enriched teaching and learning areas for students and dedicated treatment areas for specialty services, and the collaborative clinical environment will give veterinary students the ability to work one-on-one with expert faculty in a top-ranked veterinary school; and

WHEREAS the Board of Trustees previously approved the naming of a Housing Ward (Room 1063) in recognition of Karin Zuckerman and the space has been eliminated due to renovations in the Veterinary Medicine Emergency Room; and

WHEREAS the donors listed below have provided significant contributions to the building funds for the new Veterinary Medical Center Hummel & Trueman Hospital for Companion Animals; and

- A friend of The Ohio State University Veterinary Medical Center
- Noah's Ark Animal Clinics
- Karin Zuckerman

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgment of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1- 08 of the Ohio Administrative Code, that for the life of the physical facilities the aforementioned spaces be named the following:

- The Dr. Robert Rizzitano Internal Medicine Treatment Room (Room 1028 and 1028A)
- The Dr. Mike Lies Operating Room (Room 1066H)
- The Karin Zuckerman Oncology Housing Ward (Room 1078B)

(See Appendix XXX for background information, page XXX)

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### **NAMING OF INTERNAL SPACES**

Resolution No. 2020-95

#### **IN THE VETERINARY CLINICAL AND PROFESSIONAL SKILLS CENTER**

Synopsis: Approval for naming of skills labs in the Veterinary Clinical and Professional Skills Center at the Veterinary Medical Center (VMC), located at 601 Vernon L. Tharp Street, is proposed.

WHEREAS the College of Veterinary Medicine, consistently ranked one of the best veterinary colleges educating the best and brightest individuals who will create a healthier world for animals and people; and

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WHEREAS the Veterinary Clinical and Professional Skills Center is a state-of-the-art education and assessment resource with almost 9,000 square feet of educational space, including a large open lab, flexible skills labs and communications rooms; and

WHEREAS the Veterinary Clinical and Professional Skills Center will allow Ohio State to prepare more confident, competent and practice-ready veterinary graduates, equipped to provide a broad spectrum of care to animals and serve clients from diverse socioeconomic backgrounds; and

WHEREAS the donors listed below have provided significant contributions to support the Veterinary Clinical and Professional Skills Center; and

- Michael and Laura Grguric
- Veterinary Medicine Class of 1970
- Robyn and Neil Fillman

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgment of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1- 08 of the Ohio Administrative Code, that for the life of the physical facilities the aforementioned skills labs be named the following:

- Ohio Pet Vet Skills Lab
- Class of 1970 Skills Lab
- Fillman Skills Lab

(See Appendix XXX for background information, page XXX)

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## **DISTINGUISHED SERVICE AWARDS**

Resolution No. 2020-96

Synopsis: Approval of Distinguished Service Awards, to be presented in spring 2020, is proposed.

WHEREAS the President's Cabinet has reviewed and supported the recommendations of the Distinguished Service Awards Committee for E. Christopher (Chris) Ellison, MD, FACS and Timothy (Tim) Gerber, DMA to receive Distinguished Service Awards; and

WHEREAS these awards are given in recognition of distinguished service to The Ohio State University and the awards are in accordance with action taken by the Board of Trustees in 1952:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves these Distinguished Service Awards for awarding in 2020 as designated above.

(See Appendix XXX for background information, page XXX)

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### **AUTHORIZATION OF ACQUISITION OF APPLE IPADS**

Resolution No. 2020-97

Synopsis: Authorization of the acquisition of Apple iPads and tools either through an equipment financing agreement or cash purchase, or combination thereof, is proposed.

WHEREAS the university has committed to a student-success initiative that will help provide universal access to a common set of learning technologies; and

WHEREAS new first-year students who commenced studies in autumn 2018 and 2019 at the Columbus or regional campuses each received an Apple iPad with certain tools as well as certain software, AppleCare + warranty protection and apps to support learning and life at the university; and

WHEREAS the university has continued to evaluate the effectiveness of the deployment of such Apple iPads in achieving its student-success initiative and proposes to continue such initiative in autumn 2020; and

WHEREAS the university financed the cost of substantially all of the Apple iPads for the autumn 2018 and 2019 incoming first-year students by entering into a Master Lease Agreement with Apple Inc. dated as of April 2018, as amended (the "Apple Lease") and additional schedules thereto; and

WHEREAS for incoming first-year students who commence studies in autumn 2020, the university proposes to acquire the Apple iPads, tools and warranty protection (the "Equipment") for an aggregate amount not to exceed \$12,072,500.00 either through additional schedules to the Apple Lease or an existing master equipment lease (the "Equipment Lease Schedules"), an equipment lease with a new third-party financing entity (the "Equipment Lease" and together with the "Equipment Lease Schedules", the "Equipment Financing Agreement"), a cash purchase, or any combination thereof; and

WHEREAS the university expects that any Equipment Financing Agreement will provide for four (4) annual lease payments at a competitive market interest rate; and

WHEREAS the Audit, Compliance & Finance committee has determined that it is in the best interests of the university to acquire the Equipment through either an Equipment Financing Agreement or a cash purchase, or any combination thereof:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that it is in the best interests of the university to acquire the Equipment either through an Equipment Financing Agreement or a cash purchase, or any combination thereof, and if the acquisition is in whole or in part



through an Equipment Financing Agreement, to enter into the Equipment Financing Agreement and to perform the obligations arising thereunder, including the university's obligation to make the lease payments; and

BE IT FURTHER RESOLVED, That the board hereby authorizes the university to acquire the Equipment for an aggregate amount not to exceed \$12,072,500.00 either through an Equipment Financing Agreement or a cash purchase, or any combination thereof, and if the acquisition is in whole or in part through an Equipment Financing Agreement, to enter into the Equipment Financing Agreement on the terms contemplated above, and in any case, to enter into any other documents that the President and/or Senior Vice President for Business and Finance (each an "Authorized Officer"), or either of them, deems necessary, advisable or appropriate in connection with the acquisition of the Equipment, such Authorized Officer's execution thereof to be conclusive evidence of such approval and determination of the necessity, advisability or appropriateness thereof (the "Related Agreements"); and

BE IT FURTHER RESOLVED, That the board hereby authorizes and directs the Authorized Officers, or either of them, to negotiate, execute, acknowledge and deliver the Equipment Financing Agreement, if applicable, and any Related Agreements on such terms as any Authorized Officer deems necessary, advisable or appropriate, with such Authorized Officer's execution thereof to be conclusive evidence of such approval and determination of the necessity, advisability or appropriateness thereof and to take such actions as any Authorized Officer deems necessary, advisable or appropriate to perform the Equipment Financing Agreement, if applicable, and Related Agreements, with such Authorized Officer's taking of such action to be conclusive evidence of such approval and determination of the necessity, advisability or appropriateness thereof; and

BE IT FURTHER RESOLVED, That it is found and determined that all formal actions of this board concerning and relating to the adoption of this resolution were adopted in an open meeting of this board, and that all deliberations of this board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code; and

BE IT FURTHER RESOLVED, That this resolution shall take effect and be in force immediately upon its adoption.

(See Appendix XXX for background information, page XXX)

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## **AUTHORIZATION TO APPROVE ATHLETIC PRICES AND FEES**

Resolution No. 2020-98

### **FISCAL YEAR 2021 MEN'S BASKETBALL TICKET PRICING**

Synopsis: Approval of men's basketball ticket prices for Fiscal Year 2021 at the recommended levels, is proposed.

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WHEREAS The Ohio State University Department of Athletics has a long history of self-sustainability in supporting 36 world-class athletics programs and providing needed revenues back to the university for scholarships and academic programs; and

WHEREAS Ohio State desires to continue its variable ticket pricing methodology to create a range of pricing options for fans attending games; and

WHEREAS each year the Athletic Council reviews projections for the coming year's budget and recommends ticket prices; and

WHEREAS the Athletic Council has approved pricing for men's basketball tickets as shown on the attached table; and

WHEREAS the Athletic Council's recommendations have been reviewed and are recommended by the appropriate university administration:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the recommended prices for men's basketball tickets for Fiscal Year 2021.

(See Appendix XXX for background information, page XXX)

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**AUTHORIZATION OF FIRST AMENDMENT TO FIRST AMENDED AND RESTATED LONG-TERM LEASE AND CONCESSION AGREEMENT**

Resolution No. 2020-99

**FOR THE OHIO STATE UNIVERSITY UTILITY SYSTEM**

Synopsis: Authorization of that certain First Amendment to First Amended and Restated Long-Term Lease and Concession Agreement for The Ohio State University Utility System, including authorization to lease the land, which the combined heat and power and chiller facility (the "CHP Facility") will occupy after construction as well as the CHP Facility when built to the Concessionaire, is proposed.

WHEREAS The Ohio State University (the "University") has a long-term commitment to sustainability and the reduction of its impact on the environment, and the Board of Trustees of the University (the "Board") and the president of the University (the "President") believe the Concession Agreement (as defined below), which imposes certain sustainability obligations on the lessee and concessionaire thereunder, is a critical component of that commitment; and

WHEREAS the University and Ohio State Energy Partners LLC, a Delaware limited liability company (the "Concessionaire"), previously entered into a First Amended and Restated Long-Term Lease and Concession Agreement for The Ohio State University Utility System dated July 20, 2018 (the "Concession Agreement"), pursuant to which the Concessionaire leased from the University certain University utility facilities and utility system land, and

obtained a grant from the University for the exclusive right to operate, maintain, possess, control and improve the utility system for the term set forth therein; and

WHEREAS the Board previously approved the construction of the combined heat and power and chiller facility (the "CHP Facility") as part of the Concessionaire's capital improvement plan for fiscal year 2020, subject to receipt of approval from the Ohio Power Siting Board; and

WHEREAS it is proposed that the CHP Facility be constructed and occupy approximately 1.18 acres to be located at the northeast corner of John H. Herrick Drive and Vernon L. Tharp Street; and

WHEREAS it is proposed that the University enter into an amendment to the Concession Agreement (the "Amendment") to provide for, among other things, the lease from the University to the Concessionaire of (i) the land on which the CHP Facility will be constructed and occupy and (ii) the CHP Facility when built:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that it is in the best interests of the University to enter into the Amendment with the Concessionaire and the Related Documents (as defined below), to perform the obligations arising under, or in connection with, the Amendment and the Related Documents; and

BE IT FURTHER RESOLVED, That the board hereby authorizes the University (1) to enter into the Amendment with the Concessionaire and into any other documents and agreements that the President and Senior Vice President for Business and Finance ("Authorized Officers"), or either of them, deems necessary, advisable or appropriate in connection with the Amendment (including, without limitation, an amendment to the Memorandum of Lease (as defined in the Concession Agreement) (the "Related Documents")), such Authorized Officer's execution thereof to be conclusive evidence of such approval and determination of the necessity, advisability or appropriateness thereof, and (2) to take such actions as any Authorized Officer deems necessary, advisable or appropriate to perform obligations under the Amendment and Related Documents, such Authorized Officer's taking of such action to be conclusive evidence of such approval and determination of the necessity, advisability or appropriateness thereof; and

BE IT FURTHER RESOLVED, That the board hereby authorizes and directs the Authorized Officers, or either of them, (1) to negotiate, execute, acknowledge and deliver the Amendment and any Related Document on such terms as any Authorized Officer deems necessary, advisable or appropriate, with such Authorized Officer's execution thereof to be conclusive evidence of such approval and determination of the necessity, advisability or appropriateness thereof, and (2) to take such actions as any Authorized Officer deems necessary, advisable or appropriate to perform the obligations under the Amendment, with such Authorized Officer's taking of such action to be conclusive evidence of such approval and determination of the necessity, advisability or appropriateness thereof; and

BE IT FURTHER RESOLVED, That all actions previously taken by any Authorized Officer or employee of the University, by or on behalf of the University in connection with the

Amendment, be, and each of the same hereby is, adopted, ratified, confirmed and approved in all respects; and

BE IT FURTHER RESOLVED, That it is found and determined that all formal actions of this board concerning and relating to the adoption of this resolution were adopted in an open meeting of this board, and that all deliberations of this board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code; and

BE IT FURTHER RESOLVED, That this resolution shall take effect and be in force immediately upon its adoption.

(See Appendix XXX for background information, page XXX)

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**AFFIRMATION OF COMMITMENT  
TO RESOLVE CLAIMS INVOLVING RICHARD STRAUSS**

Resolution No. 2020-100

Synopsis: Affirmation of the Board of Trustees' commitment to a resolution of claims involving Dr. Richard Strauss and authorization for the Chair of the Board of Trustees to provide approval on behalf of the Board of Trustees for settlements related to claims involving Strauss, is proposed.

WHEREAS since learning of allegations in spring 2018, The Ohio State University of today has led the effort to investigate and determine Strauss' abuse and the university's failure at the time to adequately respond to or prevent it; and

WHEREAS Ohio State reiterates its deep regret and apologies to all who Strauss abused, and the university remains actively committed to a resolution with plaintiffs, including a monetary resolution, as well as creating future opportunities for survivors to be heard once the litigation is resolved; and

WHEREAS Chairman Gary R. Heminger told survivors at the November 2019 board meeting that the university is committed to a monetary resolution; and

WHEREAS the university has been and remains actively engaged in the confidential mediation process as directed by the federal court; and

WHEREAS in the normal course of university business, the university's General Counsel has authority to settle claims and lawsuits brought against the university with prior approval from the Ohio Attorney General, as required by law; and

WHEREAS the Board of Trustees recognizes the significance of the Strauss matter, which has resulted in lawsuits involving approximately 350 plaintiffs, and wants the university to be in a position to expedite the execution of a settlement if a resolution has been agreed to in principle:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby reaffirms its continued commitment to and active engagement in efforts that will result in a fair resolution, including a monetary component; and

BE IT FURTHER RESOLVED, That the Board of Trustees hereby authorizes the Chair of the Board of Trustees to act on behalf of the Board of Trustees for the purpose of approving, within parameters discussed by the Board of Trustees, matters related to the settlement of any claims or lawsuits against the university related to the actions of Strauss; and

BE IT FURTHER RESOLVED, That the Board of Trustees affirms the authorization of the university's General Counsel, with prior approval from the Ohio Attorney General, as required by law, to execute any necessary settlement agreements and other documents, instruments or agreements required in connection with the resolution of these matters.

(See Appendix XXX for background information, page XXX)

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Mr. Heminger:

Thank you, President Drake. First, we will hold a separate vote on item number 14 — Approval to Enter Into and Increase Professional Services and Construction Contracts, and item number 16 — Approval for Long-Term Ground Lease and Amended Development Agreement. Please note that trustees Mr. Fischer and Mr. Von Thaer have been advised to abstain.

Upon the motion of Mr. Stockmeister, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing motion by majority roll call vote, cast by trustees Mr. Heminger, Mr. Smucker, Mr. Shumate, Ms. Krueger, Mr. Porteus, Dr. Fujita, Mr. Stockmeister, Mr. Zeiger, Ms. Kessler, Mr. Kaplan, Mr. Kiggin, Ms. Bonsu and Mr. Shah. Mr. Fischer and Mr. Von Thaer abstained.

Ms. Eveland:

Motion carries.

Mr. Heminger:

Thank you. Now we will vote on the remainder of the items listed on the consent agenda.

Upon the motion of Mr. Zeiger, seconded by Mr. Von Thaer, the Board of Trustees adopted the foregoing motion by unanimous roll call vote, cast by trustees Mr. Heminger, Mr. Smucker, Mr. Shumate, Ms. Krueger, Mr. Porteus, Mr. Fischer, Dr. Fujita, Mr. Stockmeister, Mr. Zeiger, Ms. Kessler, Mr. Von Thaer, Mr. Kaplan, Mr. Kiggin, Ms. Bonsu and Mr. Shah.

Ms. Eveland:

Motion carries.

February 27, 2020, Board of Trustees meeting

Mr. Heminger:

Thank you. The next meetings of the Board of Trustees will take place Wednesday, June 3, and Thursday, June 4, 2020. If there is no further business this meeting is adjourned.

The meeting adjourned at 2:21 p.m.

Attest:

Gary R. Heminger  
Chairman

Jessica A. Eveland  
Secretary

DRAFT

THE OHIO STATE UNIVERSITY  
OFFICIAL PROCEEDINGS OF THE  
ONE THOUSAND FIVE HUNDRED AND FIFTH  
MEETING OF THE BOARD OF TRUSTEES

Columbus, Ohio, April 10, 2020

The Board of Trustees met virtually on Friday, April 10, 2020, via teleconference, pursuant to adjournment.

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Chairman Gary R. Heminger called the meeting of the Board of Trustees to order on Friday, April 10, 2020 at 3:00pm.

Members Present: Gary R. Heminger, Timothy P. Smucker, Abigail S. Wexner, Alex Shumate, Cheryl L. Krueger, Brent R. Porteus, Erin P. Hoeflinger, Alexander R. Fischer, Hiroyuki Fujita, Alan A. Stockmeister, John W. Zeiger, Elizabeth P. Kessler, Lewis Von Thaeer, Jeff M.S. Kaplan, Michael Kiggin, Janice M. Bonsu and Anand Shah

Mr. Heminger:

Good afternoon, everyone. This is Gary Heminger and I would like to convene this meeting of the Board of Trustees. Before we proceed, will the secretary please call the roll to confirm we have a quorum?

Ms. Eveland:

A quorum is present.

Mr. Heminger:

Thank you, Jessie. And thank you to everyone for joining us by phone today. I know this is unusual, but we are trying to be respectful of the CDC's current recommendations — and Governor DeWine's orders — related to limiting in-person gatherings.

We are also following the guidance outlined by the Ohio Attorney General's Office, and authorized by House Bill 197, that allows for virtual public meetings during this time of emergency.

A few quick ground rules for this meeting:

- First, please ensure you are on mute, unless you are speaking, so we can avoid unnecessary background noise.
- If you would like to speak during this meeting, please identify yourself first for the record.
- To simplify things, I will call on certain individuals to request that they offer seconds to any motions.
- And, finally, please note that this call is being recorded.

I will call for a single vote on both items at the conclusion of our discussion. Dr. McPheron?

Dr. Bruce McPheron:

Thank you, Chairman Heminger. Academic health centers are distinct from other healthcare settings in that they both provide exceptional treatment for patients and also train the next generation of caregivers. These students learn both in traditional educational classes at the College of Medicine and in clinical settings at the Wexner Medical Center. Today, 54 of these exceptional students have completed all of the requirements to earn their medical degrees and have indicated they are eager to join the fight against the



coronavirus. As health systems across the country prepare for the continuing surge of cases, the demand for well-trained medical professionals has never been higher.

We are therefore asking for approval to award their degrees early, so that they can enter the workforce at the Wexner Medical Center, in healthcare systems across the country, and at other sites where they can begin work. Students need their degrees in hand to complete the licensing process, but this step will allow them to begin that process and to bring their education to bear on addressing this crisis.

We are therefore asking for approval of the recommendation to confer their degrees on April 12, 2020, rather than waiting until our upcoming virtual commencement on May 3, 2020.

**APPROVAL OF THE EARLY AWARDING OF DEGREES FOR  
DOCTOR OF MEDICINE CANDIDATES**

Resolution No. 2020-101

Synopsis: Approval of the early awarding of degrees for Doctor of Medicine (MD) candidates for spring semester 2020, is proposed.

WHEREAS with the coronavirus (COVID-19) pandemic there is an urgent need for physicians; and

WHEREAS MD candidates graduating from the College of Medicine cannot move forward with the licensing process without having been certified as graduated; and

WHEREAS there is a significant number of fourth-year students in the College of Medicine who are scheduled to graduate during spring semester 2020, and who will have fully completed all of their requirements to graduate as of April 10, 2020, and who are electing to make the commitment to be engaged in the current health crisis as quickly as possible; and

WHEREAS pursuant to Rule 3335-1-06 (E) of the Administrative Code, the Board of Trustees has authority for the issuance of degrees; and

WHEREAS the faculty of the College of Medicine shall transmit, in accordance with Rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees, the names of persons who have completed degree requirements:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the early awarding of Doctor of Medicine degrees to students who, as of April 10, 2020, have met all of their academic requirements to graduate and have elected to make this commitment to be engaged in the current health crisis; and

BE IT FURTHER RESOLVED, That these degrees will be conferred on April 12, 2020, rather than on May 3, 2020.

Dr. Bruce McPheron:

The second item involves temporary changes to the *Rules of the University Faculty*. Mr. Chairman, the university has taken unprecedented actions this spring to protect the health and wellbeing of the university community. As you know, we suspended in-person education, sent students home from the residence halls, closed the campus to anyone but essential personnel, and have implemented virtual modes of teaching, learning and working. Our faculty and staff have taken extraordinary steps to minimize the impact on our students and I'm proud of the results to date. To cite one telling statistic, in the first two weeks of virtual education, our students, faculty and staff held more than 90,000 sessions on Zoom, a key tool in our virtual education.

While we've ramped up our virtual outreach to students, the university has also been working to reduce barriers and acknowledge the impact that COVID has had on all of us. Our students are learning in new ways, using sometimes unfamiliar technology, all the while supporting their families. Given these challenges, the University Senate approved two rule changes to provide students with additional flexibility. The first allows pass/no pass grading in undergraduate general education and elective courses. I'll note that most colleges have followed suit to provide similar flexibility for required courses for majors and minors, and the Graduate School has done the same for graduate courses. Students may opt for a traditional letter grade or request the pass/no pass option through their advisor. We have insisted that students talk to their advisors so that they fully understand the rules for using pass/no pass and any possible implications if they are applying for an academic program, using a scholarship that requires grades, or in any other various circumstances.

The second resolution allows students up to 10 weeks to complete courses if they have been granted an incomplete. Taken together, these two actions give our students additional time or flexibility to focus on mastery of their coursework versus a particular grade during this disruptive semester.

With that, I'd be happy to answer any questions.

**APPROVAL OF TEMPORARY CHANGES  
TO THE RULES OF THE UNIVERSITY FACULTY**

Resolution No. 2020-102

Synopsis: To mitigate the impact of the coronavirus (COVID-19) pandemic on students, approval of the following temporary changes to the *Rules of the University Faculty* for spring semester 2020, is proposed.

WHEREAS The Ohio State University always prioritizes the health and well-being of our community, and the university has taken significant action in spring semester 2020 to follow public health guidance in response to the COVID-19 pandemic; and

WHEREAS the university transitioned to virtual modes of teaching and learning for the remainder of the spring semester and closed our campuses to any non-essential activity; and

WHEREAS the University Senate, pursuant to Rule 3335-1-09 of the Administrative Code, is authorized to recommend through the president to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS On March 26, 2020, the University Senate approved temporary adjustments to Rule 3335-8-21 (G) and Rule 3335-8-21 (K) to provide students with additional academic flexibility in light of the extraordinary circumstances affecting this semester:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the temporary changes to the *Rules of the University Faculty* be adopted as recommended by the University Senate for spring semester 2020.

Mr. Heminger:

Any questions for Dr. McPheron? Thank you, Dr. McPheron. If there are no further questions, we will proceed with a single voice vote for both of these items.

I will make a motion to approve the “Early Awarding of Degrees for Doctor of Medicine Candidates” and the “Temporary Changes to the Rules of the University Faculty.” Mr. Shumate, as chair of the Academic Affairs, Student Life and Research Committee, would you please offer a second?

Mr. Shumate:

I second the motion, Mr. Chairman.

Upon the motion of Mr. Heminger, seconded by Mr. Shumate, the Board of Trustees adopted the foregoing motion by unanimous voice vote, cast by trustees Mr. Heminger, Mr. Smucker, Mrs. Wexner, Mr. Shumate, Ms. Krueger, Mr. Porteus, Mrs. Hoeflinger, Mr. Fischer, Dr. Fujita, Mr. Stockmeister, Mr. Zeiger, Ms. Kessler, Mr. Von Thaeer, Mr. Kaplan, Mr. Kiggin, Ms. Bonsu and Mr. Shah.

Mr. Heminger:

This has been passed by a voice vote. Before we move into executive session, would anyone like to make additional comments?

At this time, I move that the committee recess into executive session to discuss pending or imminent court action and personnel matters regarding the appointment and employment of public employees or officials and matters required to be kept confidential by federal law or regulations or state statutes. Vice Chairman Smucker, may I have a second?

Mr. Smucker:

I second that, Mr. Chairman.

Upon the motion of Mr. Heminger, seconded by Mr. Smucker, the Board of Trustees adopted the foregoing motion by unanimous roll-call vote, cast by trustees Mr. Heminger, Mr. Smucker, Mrs. Wexner, Mr. Shumate, Ms. Krueger, Mr. Porteus, Mrs. Hoeflinger, Mr. Fischer, Dr. Fujita, Mr. Stockmeister, Mr. Zeiger, Ms. Kessler, Mr. Von Thaeer, Mr. Kaplan, Mr. Kiggin, Ms. Bonsu and Mr. Shah.

Ms. Eveland:

Motion carries.

Mr. Heminger:

Thank you. We are recessed. At this time, I ask that our executive session attendees please hang up and dial into our next call so we can continue. For everyone else, we will leave this line open and return to it upon the conclusion of executive session to adjourn the meeting. Thank you.

The meeting recessed at 3:10 p.m. and returned to public session at 4:34 p.m.

Mr. Heminger:

Thank you. We have now reconvened in public session and this call is being recorded. The next scheduled meeting of the Board of Trustees is Wednesday, June 3 and Thursday, June 4, however, in the coming weeks we will keep everyone updated on likely changes to that schedule. Is there any further business to come before the board?

Hearing none, this meeting is adjourned. Thank you everyone for being with us virtually today and please stay safe and healthy. Thank you.

The meeting adjourned at 4:36 p.m.

Attest:

Gary R. Heminger  
Chairman

Jessica A. Eveland  
Secretary

**RESOLUTIONS IN MEMORIAM**

Synopsis: Approval of Resolutions in Memoriam, is proposed.

BE IT RESOLVED, That the Board of Trustees hereby approves the attached Resolutions in Memoriam and that the president be requested to convey copies to the families of the deceased.

Clara D. Bloomfield  
Arthur Efland  
Terrence L. Graham  
Donald Edward Herr  
Michael Britchford Howie  
Frederick D. Meyers  
B.D. "Bobby" VanStavern

### CLARA D. BLOOMFIELD

Synopsis: The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on March 1, 2020, of Dr. Clara D. Bloomfield, Distinguished University Professor of The Ohio State University.

Dr. Bloomfield served on the faculty of Ohio State for more than two decades, beginning in 1997. Her legacy had an enormous impact on the university and the world of academic medicine. From her youth, she was encouraged to pursue excellence. She attended both the University of Wisconsin and San Diego State University graduating *summa cum laude*. She received her Doctor of Medicine from the University of Chicago training in Internal Medicine. After training in Medical Oncology at the University of Minnesota, she joined the faculty, rising to Professor of Internal Medicine. After serving as Chair of Medicine at Roswell Park Memorial Cancer Institute, she joined Ohio State as Director of the OSU Comprehensive Cancer Center and Deputy-Director of the James. Under her superb leadership, the OSUCCC gained international recognition as an outstanding cancer research and treatment center.

Dr. Bloomfield was an international expert in research in hematologic malignancies. Her pioneering work established the importance of chromosomal abnormalities in predicting the prognosis and directing therapy for patients with many forms of malignant blood cancers. Her work defined the underlying genetic and molecular changes characterizing a variety of lymphomas and leukemias. However, her research focus on acute leukemia led the world to improve the classification and scientific innovation for effective treatment of these often fatal diseases. In addition to defining the role of the Philadelphia chromosome in acute leukemia, her most recent discoveries led to an international prognostic molecular classification of acute myeloid leukemia.

Her work resulted in more than 600 publications covering an incredible array of hematologic malignancies. In 2000, she was elected to the National Academy of Medicine. In 2011, she was elected to the American Academy of Arts and Sciences. She was recognized for numerous contributions to the field of leukemia research. As Chair of the World Health Organization Myeloid Advisory Committee, she was a major advisor to international research groups, being appointed as Honorary Chair of the European Executive Steering Committee on Leukemia in 2019. During her career, she won numerous prestigious awards from the American Association for Cancer Research (Joseph H. Burchenal Award), American Society of Oncology (David A. Karnofsky Award), American Society of Hematology (Henry M. Stratton Medal), and most recently the European Leukemia Merit Award in 2019.

Dr. Bloomfield continued as a senior advisor for the Cancer Program at Ohio State, and received the Distinguished Service Award from the OSUCCC. In addition, she advised numerous scientific programs both at the National Cancer Institute and the U.S. Food and Drug Administration. She has been a major advocate for women in medicine, and served as a mentor for many across the globe. She provided guidance and vision for Ohio State in many capacities throughout her highly valued career at this institution.

On behalf of the university community, the Board of Trustees expresses to the family of Dr. Clara D. Bloomfield its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the board's heartfelt sympathy and appreciation.

### ARTHUR “ART” EFLAND

Synopsis: The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on April 11, 2020, of Arthur “Art” Efland, Professor Emeritus of Art Education in the College of Arts and Sciences.

Arthur Efland received his doctorate in 1965 from Stanford University and taught at Fresno State College from 1964-65. A leading figure in the field of art education, Professor Efland served on the faculty in the Department of Arts Administration, Education and Policy from 1967 until his retirement in 1996. He and his colleagues focused on broadening the field of Art Education, and Professor Efland’s voice in curriculum and instruction as a whole will continue to resonate to those currently working in the field.

Professor Efland’s seminal texts — *A History of Art Education: Intellectual and Social Currents in Teaching the Visual Arts* (1990) and *Art and Cognition: Integrating the Visual Arts in the Curriculum (Language and Literacy Series)* (2002) — have given life to teaching and learning in the most significant ways. Even as we remember him now, his sense of humor and his tremendous body of scholarship, Professor Efland is making critical contributions to teaching and learning in the 21st century. We will continue to benefit from his knowledge and his kind wisdom.

Professor Efland taught the History of Art Education to graduate and undergraduate students, as well as the Graduate Research Seminar, where faculty members were invited to discuss their latest research. As a historian, he was the institutional memory of the department, providing novel and strategic direction instead of taking the safe or known path. He was very humble, and often said that all you needed to be a historian was to live a long time. He developed lifelong collegial relationships with students and faculty. Former Department Chair Patricia Stuhr remembers looking for Professor Efland’s graduate class. When she arrived at the assigned classroom, he wasn’t there. She asked a few graduate students if they knew where the class was, and they told her he now held all of his classes at his home. He felt it had a more conversational atmosphere there. He had been doing this for years and only met for the first class meeting on campus.

Beyond Ohio State, Professor Efland served the field generously. He was very active at art education conventions and meetings of the International Society for Education through Art. He was named a Fulbright Scholar at the University of Industrial Arts in Helsinki, Finland; held several prestigious visiting scholar appointments; and received numerous National Art Education Association (NAEA) awards, including the June King McFee Award, the Manuel Barkan Award, NAEA Distinguished Fellow, the NAEA Award of Excellence and the Lowenfeld Memorial Lecture.

Post retirement, Professor Efland remained committed to his work within the Department of Arts Administration, Education and Policy. In the 10 years that he served as Professor Emeritus, he frequented classrooms, events and committee meetings.

On behalf of the university community, the Board of Trustees expresses to the family of Professor Arthur “Art” Efland its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board’s heartfelt sympathy and appreciation.



### TERRENCE L. GRAHAM

Synopsis: The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on November 29, 2019, of Terrence “Terry” L. Graham, Professor Emeritus of Plant Pathology in the College of Food, Agricultural, and Environmental Sciences.

Professor Graham received a Bachelor of Science *summa cum laude* from Pennsylvania State University and a Master of Science and Doctor of Philosophy from Purdue University, all in the field of Biochemistry. His post-doctoral research in Plant Pathology took place at the University of Wisconsin. He enjoyed long, tenured careers at the Monsanto Corporation in St. Louis, Missouri, and at Ohio State. He served on the faculty at Ohio State in the Department of Plant Pathology from 1986 until his retirement in 2012.

His research centered on the biochemistry of signal perception and signal transduction in induced resistance to plant disease, with much of his work on soybean and the *Phytophthora* root-rot disease. He helped pioneer the department’s research in the molecular aspects of plant defense, plant metabolic profiling and metabolomics. His research led to a full definition of the remarkable array of defense responses triggered in soybean by a single master elicitor, the cell wall glucan from the *Phytophthora* plant pathogen. He also discovered that plant allelopathic chemicals condition these defense responses in plants. His expertise in plant natural products chemistry led to collaborations with researchers in pharmacy and veterinary medicine.

Professor Graham was active in teaching and advising. He served as faculty advisor to several graduate students and served on more than 50 graduate student advisory committees. He taught Biochemistry of Plant Responses to Infection and co-taught Plant-Microbe Interactions and other undergraduate- and graduate-level courses. He served as the department’s associate chair (1996–2000) and interim associate chair (2010–2011), and twice served as the graduate studies chair.

He also served as Ohio State’s representative to the multi-university Ohio Plant Biotechnology Consortium (2000-2012), and chaired the group from 2000 to 2002. This consortium had a major influence on advancing plant biotechnology at Ohio universities, allowing many researchers to become competitive for national funding. Graham also served on the editorial boards of *Phytopathology*, *Plant Physiology* and the *Journal of Medicinal Food Chemistry*.

Professor Graham was highly respected for his intelligence and in-depth knowledge of plant pathology, biochemistry and analytical techniques, and he will be fondly remembered for being a supportive and kind colleague, mentor and friend. His contributions enriched the department in countless ways.

On behalf of the university community, the Board of Trustees expresses to the family of Professor Terrence “Terry” Graham its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board’s heartfelt sympathy and appreciation.

### **DONALD “DON” EDWARD HERR**

Synopsis: The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on February 1, 2020, of Donald “Don” Edward Herr, Professor Emeritus of Agronomy in the College of Food, Agricultural, and Environmental Sciences.

Professor Herr was a proud Buckeye with 35 years of cumulative service to the university. He was born in Medina County, Ohio, into a farming family and as a child he milked cows on his family's farm. He completed his Bachelor of Science at Ohio State in 1951. He served the university as Extension Educator for Pickaway County (1952-1954); as Farm Manager, Northwestern Substation, Ohio Agricultural Experiment Station (1954-1962); as Research Assistant, Ohio Agricultural Experiment Station (1962-1965); and as a faculty member in the Department of Agronomy from 1965 until his retirement in 1985.

Professor Herr was a specialist in weed science and conducted early field research on the use of herbicides for weed control. He completed his Master of Science in Weed Science at Michigan State University in 1962. His PhD research, completed in 1965, was on the then-new compound picloram and its movement and persistence in soil. Picloram remains in commercial use today.

Professor Herr was passionate about international agriculture. He first travelled abroad with the Army Air Corps in Okinawa (1944-1947). Upon graduation, he served as a delegate to Brazil with the International Farm Youth Exchange Program. Professionally, he worked internationally in India, Africa and Somalia, including more than a year spent in Burma as leader of an international project in 1982. Personally, he enjoyed adventure travel to the great mountains of the world, including Kilimanjaro, Annapurna and Everest. He regularly included examples and anecdotes from his travels into his lectures back home.

Professor Herr's greatest service to the university was as a teacher, advisor and mentor. He served as Coordinating Advisor for the Agronomy major within the Department of Agronomy. He taught innumerable classes over the years, including soil science, weed science and agronomy. Professor Herr is remembered by his students as a transformational instructor and role model for life. He drew on his international travels and overseas living experiences to demonstrate the breadth of world agronomic challenges, and he used this venue to engage his students in the fascinating science of soils. He helped his students understand that ensuring food for our world was based in healthy soils and soil conservation.

In retirement, Professor Herr and his wife, fellow Ohio State faculty member Joan Elsensohn Herr, maintained close contact with the department and the university. They were among the lead supporters of the Chadwick Arboretum and regularly attended arboretum events. Recently, they made a significant donation that contributed to the renovation of the department's teaching laboratory. It was fitting to honor Professor Herr's legacy on our campus in the place where he was most impactful: the classroom.

On behalf of the university community, the Board of Trustees expresses to the family of Professor Donald “Don” Edward Herr its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board's heartfelt sympathy and appreciation.

**MICHAEL “MIKE” BRITCHFORD HOWIE**

Synopsis: The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on August 22, 2019, of Dr. Michael “Mike” Britchford Howie, Professor Emeritus of Anesthesiology in the College of Medicine.

Dr. Howie joined the faculty in the Department of Anesthesiology at Ohio State in 1979, where he remained until his retirement more than 30 years later. He rose through the academic ranks during his remarkable career, ultimately attaining the rank of Professor with Tenure of Anesthesiology and Pharmacy. He began his service at Ohio State as the Director of Cardiovascular Anesthesiology, a position he held until 2005. He served as the Anesthesiology Department Vice Chair from 1989 to 2002, and as Department Chair from 2002 to 2005.

Dr. Howie was a Fellow of the American College of Chest Physicians, a member of the American Society of Anesthesiologists, the Society of Cardiovascular Anesthesiology, the International Anesthesia Research Society, the Society for Intravenous Anesthesia, and the European Society of Anesthesiology, and was certified as a Diplomate of the American Board of Anesthesiology. Throughout his distinguished career, he furthered the national and international reputation of the Department of Anesthesiology as a renowned scholar and researcher, and was frequently invited as a visiting lecturer both nationally and internationally.

His passion for teaching, for the care of his patients, and for his research was readily evident to all who had the good fortune to have worked with Dr. Howie. His friendship, creativity, energy and humor will be sorely missed by his former colleagues, residents, fellows and students.

On behalf of the university community, the Board of Trustees expresses to the family of Dr. Michael “Mike” Britchford Howie its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board’s heartfelt sympathy and appreciation.

**FREDERICK “FRITZ” D. MEYERS**

Synopsis: The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on December 9, 2019, of Frederick “Fritz” D. Meyers, Professor Emeritus of Engineering Graphics in the Department of Civil, Environmental and Geodetic Engineering.

Professor Meyers served as an Associate Professor on the College of Engineering faculty from 1982 until his retirement in 2008. During this period, he served in multiple leadership appointments including Section Head and Chair of the Department of Engineering Graphics.

An exemplary instructor, Professor Meyers infused his class lectures with humor and “real world” examples. In recognition of his commitment to students, he was awarded the Charles E. MacQuigg Award for Outstanding Teaching in 1993 and 2007, and the College of Engineering Alumni Award for Distinguished Teaching in 1994. Professor Meyers advocated for the increased use of computer technology in student labs and served as the faculty advisor for Tau Beta Pi, the engineering honorary.

Professor Meyers co-authored two engineering textbooks and three engineering workbooks during his time at Ohio State. He was an active member of the American Society for Engineering Education (ASEE), where he served as Chair of the Engineering Design Graphics division. Professor Meyers received the ASEE Distinguished Service Award in 2006 for his significant contributions in leadership and support of the organization.

Prior to his appointment at the university, Professor Meyers worked for 30 years as a project engineer, engineering manager and technical director with Owens-Corning Corporation. He contributed to the organization’s research and development efforts, authored dozens of technical papers and reports, directed its Energy Conservation division and served as project director for the Social Security Administration Headquarters project in Washington, D.C. Professor Meyers was named the Ohio Engineer of the Year in 1972.

An active member of the campus community as an undergraduate, Professor Meyers served Ohio State during his industry career as a member of the College of Engineering’s advisory committee. A keen interest in teaching — cultivated during stints as an engineering instructor at the Agricultural and Mechanical College of Texas from 1949-1952, and as a Captain and Engineering Officer in the United States Air Force from 1952-1954 — brought him back to Ohio State at the conclusion of his career at Owens-Corning.

On behalf of the university community, the Board of Trustees expresses to the family of Professor Frederick “Fritz” D. Meyers its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board’s heartfelt sympathy and appreciation.

**B.D. "BOBBY" VANSTAVERN**

Synopsis: The Board of Trustees of The Ohio State University expresses its sorrow regarding the death on February 21, 2020, of B.D. "Bobby" VanStavern, Professor Emeritus of Animal Sciences in the College of Food, Agricultural, and Environmental Sciences.

Professor VanStavern served on the Ohio State faculty for 28 years. Born on October 27, 1929, in Dorr, West Virginia, to Eva Virginia and Clyde Wellington VanStavern, Professor VanStavern received his early education in a one-room schoolhouse, attended Union High School, and ultimately graduated from West Virginia State University in 1952. He served in the United States Air Force and married his beloved Anna Sue Brown in 1953. He earned his Master of Arts in 1956 and PhD in 1960 from Ohio State in the field of Animal Science.

Professor VanStavern served as a Professor and Cooperative Extension Agent at Ohio State. He and his Animal Science collaborators in extension programming formed a very collegial team in extension education endeavors at the university. He was a great supporter and advocate for the Ohio Meat Processors Association (OAMP) throughout the time he was an Ohio State faculty member, and subsequent to his retirement, by serving as a judge at the annual meeting for the OAMP.

One of his enduring contributions to the beef industry was a result of his work with Wooster, Ohio-based Certified Angus Beef (CAB) and the legendary Mick Colvin, who was the leader with the American Angus Association, in establishing the foundation on which CAB is built and sustained. He worked with CAB to develop guidelines for the marbling of beef (a mix of muscle and fat), maturity and yield — traits that ensured premium beef and favored Angus cattle. Consumers today equate beef quality with the Angus breed in large part because of Professor VanStavern's contributions. Professor VanStavern also developed a "Science Behind the Sizzle" presentation that continues to be used by CAB with grocery retailers and restaurants to explain to these lay audiences why the Certified Angus Beef brand provides superior taste and value for the consumer — and the science behind it.

Professor VanStavern played a significant role in the success of The Ohio State University Meat Laboratory and he mentored two generations of meat science students. He served as a leader of Ohio's commercial meat industry throughout his career. These efforts assured an affordable supply of safe, nutritious and delicious meat products for Ohio's consumers. Professor VanStavern and his wife were loyal members of the Ohio State community and there are many enduring friendships as a result.

On behalf of the university community, the Board of Trustees expresses to the family of Professor B.D. "Bobby" VanStavern its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the board's heartfelt sympathy and appreciation.

**PERSONNEL ACTIONS**

BE IT RESOLVED, That the Board of Trustees hereby approves the personnel actions as recorded in the personnel budget records of the university since the February 27, 2020, meeting of the board, including the following appointments and contract updates:

Appointment

Name: Vishnu Srinivasan  
Title: Vice President & Chief Investment Officer  
Unit: Office of Business and Finance  
Term: May 18, 2020 – April 30, 2025

**AMENDMENT TO THE BYLAWS OF  
THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES**

Synopsis: Approval of the attached amendment to the *Bylaws of The Ohio State University Board of Trustees* is proposed.

WHEREAS the Board of Trustees, pursuant to rule 3335-1-09(D) of the Administrative Code, is authorized to adopt and amend bylaws on its own initiative by majority vote of the entire Board of Trustees at a regular meeting after having provided notice that such action is under consideration; and

WHEREAS the proposed changes to rule 3335-1-08 in the Bylaws of the Board of Trustees have been noticed for consideration at the June 3, 2020, regular meeting of the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the attached amendment to the *Bylaws of The Ohio State University Board of Trustees*.

## **PROPOSED BOARD RULE**

*Notwithstanding any rule of the university to the contrary, the president or his or her designee, may adopt, amend, administer, and terminate as appropriate a policy regarding furloughs for faculty, staff, and/or other employees. Any action to implement furloughs that apply to faculty, staff, and/or other employees throughout the university must be presented to the Board of Trustees for its consideration and approval prior to its implementation. This policy shall apply to and may be used in circumstances that are separate and apart from university-wide financial exigency as set forth in rules 3335-5-02.1 through 3335-5-02.3 of the Administrative Code.*



**RATIFICATION OF COMMITTEE APPOINTMENTS 2020-2021**

BE IT RESOLVED, That the Board of Trustees hereby approves that the ratification of committee appointments for 2020-2021 are as follows:

**Academic Affairs, Student Life & Research:**

Brent R. Porteus, Chair  
Alex Shumate  
Cheryl L. Krueger  
Abigail S. Wexner  
Hiroyuki Fujita  
Alan A. Stockmeister  
Elizabeth P. Kessler  
Jeff M.S. Kaplan  
Anand Shah  
Susan Olesik (faculty member)  
Gary R. Heminger (ex officio)

**Advancement:**

Erin P. Hoeflinger, Chair  
Alan A. Stockmeister, Vice Chair  
Alex Shumate  
Cheryl L. Krueger  
Abigail S. Wexner  
Janice M. Bonsu  
Craig S. Bahner  
Thomas M. Murnane  
Catherine Baumgardner (Alumni Assn member)  
Janelle Jordan (Alumni Assn member)  
Alec Wightman (Foundation Board member)  
**ANNE KLAMAR** (Foundation Board member)  
Gary R. Heminger (ex officio)

**Audit, Compliance & Finance:**

John W. Zeiger, Chair  
Timothy P. Smucker  
Brent R. Porteus  
Erin P. Hoeflinger  
Alexander R. Fischer  
Hiroyuki Fujita  
Elizabeth P. Kessler  
Lewis Von Thaer  
Jeff M.S. Kaplan  
Janice M. Bonsu  
James D. Klingbeil  
Amy Chronis  
Gary R. Heminger (ex officio)

**Talent, Compensation & Governance:**

Hiroyuki Fujita, Chair  
Lewis Von Thaer, Vice Chair  
Alex Shumate  
Erin P. Hoeflinger  
John W. Zeiger  
Elizabeth P. Kessler  
Jeff M.S. Kaplan  
Janice M. Bonsu  
Gary R. Heminger (ex officio)

**Master Planning & Facilities:**

Alexander R. Fischer, Chair  
James D. Klingbeil, Vice Chair  
Timothy P. Smucker  
Brent R. Porteus  
Anand Shah  
Robert H. Schottenstein  
Gary R. Heminger (ex officio)

**RATIFICATION OF COMMITTEE APPOINTMENTS 2020-2021 (cont'd)**

**Wexner Medical Center:**

Leslie H. Wexner, Chair  
Abigail S. Wexner  
Cheryl L. Krueger  
Hiroyuki Fujita  
John W. Zeiger  
Stephen D. Steinour  
Robert H. Schottenstein  
W.G. Jurgensen  
Cindy Hilsheimer  
Gary R. Heminger (ex officio, voting)  
Michael V. Drake (ex officio, voting)  
Harold L. Paz (ex officio, voting)  
Bruce A. McPheron (ex officio, voting)  
Michael Papadakis (ex officio, voting)

**NAME CHANGES OF THE DEPARTMENT OF FAMILY MEDICINE  
AND PROGRAM IN COMPARATIVE AND VETERINARY MEDICINE**

Synopsis: Approval to change the names of the Department of Family Medicine to the Department of Family and Community Medicine (College of Medicine), and the program in Comparative and Veterinary Medicine to Comparative Biomedical Sciences (College of Veterinary Medicine), is proposed.

WHEREAS academic departments and programs can seek to revise their names to reflect changes in their disciplines, to take into consideration the evolving interests of students, and to compare well with peer institutions; and

WHEREAS the University Senate on February 20, 2020, voted to recommend to the Board of Trustees that the university change the names of the Department of Family Medicine in the College of Medicine and the program in Comparative and Veterinary Medicine in the College of Veterinary Medicine:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves changing the names of:

- The Department of Family Medicine to the Department of Family and Community Medicine in the College of Medicine; and
- The program in Comparative and Veterinary Medicine to Comparative Biomedical Sciences in the College of Veterinary Medicine.

**AMEND THE CAP ON CLINICAL FACULTY  
IN THE COLLEGE OF VETERINARY MEDICINE**

Synopsis: Amending the cap on clinical faculty in the College of Veterinary Medicine from 40% to 65% is proposed.

WHEREAS Faculty Rule 3335-7-03 establishes that clinical/teaching/practice faculty may comprise no more than 40% of the total tenure-track, clinical/teaching/practice and research faculty in each of the colleges of the health sciences, unless an exception is approved by the University Senate and the Board of Trustees; and

WHEREAS the College of Veterinary Medicine has requested an exception that would account for increased enrollment, increased caseloads and the shift of some tenure-track faculty on the Wooster campus to the College of Food, Agriculture, and Environmental Science; and

WHEREAS both tenure and clinical faculty are required by the college's accrediting body, the American Veterinary Medical Association (AVMA), which is mandating that veterinary schools increase their clinical skills training in line with contemporary evidence-based research on best pedagogical practices; and

WHEREAS representatives of the College of Veterinary Medicine have indicated that they are not using clinical appointments to replace tenure-track faculty, who remain central to their mission; and

WHEREAS the University Senate on March 26, 2020, approved a proposal to allow clinical faculty to comprise up to 65% of College of Veterinary Medicine's total faculty:

**NOW THEREFORE**

**BE IT RESOLVED**, That the Board of Trustees hereby approves amending the cap on clinical faculty in the College of Veterinary Medicine from 40% to 65%.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY**

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* is proposed.

WHEREAS the University Senate, pursuant to rule 3335-1-09 of the Administrative Code, is authorized to recommend through the president to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes to rules 3335-3, 3335-6-03(D)(1) and 3335-9-11 in the *Rules of the University Faculty* were approved by the University Senate on February 20, 2020, and the proposed changes to rule 3335-5-48.5 in the *Rules of the University Faculty* were approved by the University Senate on March 26, 2020:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the attached amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

**FACULTY PERSONNEL ACTIONS**

BE IT RESOLVED, That the Board of Trustees hereby approves the faculty personnel actions as recorded in the personnel budget records of the university since the February 27, 2020, meeting of the board, including the following appointments, appointments/reappointments of chairpersons, faculty professional leaves and emeritus titles:

Appointments

Name: \*CRAIG J. BRYAN  
Title: Professor (S.T.A.R. Professorship)  
College: Medicine  
Term: July 15, 2020 through June 30, 2024

Name: SARAH COLE  
Title: Professor (Michael E. Moritz Chair in Alternative Dispute Resolution)  
College: Moritz College of Law  
Term: June 1, 2020 through May 31, 2025

Name: \*JINGYIN HUANG  
Title: Assistant Professor (Alice Louise Ridenour Wood Chair in Mathematics)  
College: Arts and Sciences  
Term: August 15, 2020 through August 14, 2025

Name: SANJAY KRISHNA  
Title: Professor (George R. Smith Chair in Engineering)  
College: Engineering  
Term: January 1, 2017 through June 30, 2021

Name: MATTHEW MAYHEW  
Title: Professor (William Ray and Marie Adamson Flesher Professorship in Educational Administration)  
College: Education and Human Ecology  
Term: August 15, 2016 through August 14, 2021

Name: ANTOINETTE C. MIRANDA  
Title: Professor (William H. and Laceryjette V. Casto Professorship in Interprofessional Education Fund)  
College: Education and Human Ecology  
Term: September 1, 2017 through August 31, 2022

Name: \*ERIN V. MOORE  
Title: Assistant Professor (Dr. Carl F. Asseff Professorship in Anthropology and History of Medicine)  
College: Arts and Sciences  
Term: August 15, 2020 through August 14, 2024

Name: SUSAN OLESIK  
Title: Divisional Dean, Natural and Mathematical Sciences  
College: Arts and Sciences  
Term: July 1, 2020 through June 30, 2025

**FACULTY PERSONNEL ACTIONS (cont'd)**

Appointments  
(cont'd)

Name: BOYD PANTON  
Title: Assistant Professor (Lincoln Electric Company Endowed Professorship)  
College: Engineering  
Term: September 1, 2018 through August 31, 2023

Name: LISA (PATRICK) PINKERTON  
Title: Assistant Professor-Clinical (Marie Clay Endowed Chair in Reading Recovery and Early Literacy)  
College: Education and Human Ecology  
Term: September 1, 2018 through August 31, 2023

Name: ABDOLLAH SHAFIEEZADEH  
Title: Associate Professor (Abba G. Lichtenstein Professorship in Civil Engineering)  
College: Engineering  
Term: June 9, 2017 through May 31, 2022

Name: CHRISTA TESTON  
Title: Associate Professor (Andrea Lunsford Designated Professorship for Rhetoric, Composition, and Literacy)  
College: Arts and Sciences  
Term: August 15, 2020 through August 14, 2025

Name: CHRISTOPHER J. WALKER  
Title: Professor (John W. Bricker Professorship in Law)  
College: Moritz College of Law  
Term: June 1, 2020 through May 31, 2025

Name: XIAODONG ZHANG  
Title: Professor (Robert M. Critchfield Professorship in Engineering)  
College: Engineering  
Term: July 1, 2016 through June 30, 2021

\*New Hire

Reappointments

Name: PETER HAHN  
Title: Divisional Dean, Arts and Humanities  
College: Arts and Sciences  
Term: September 1, 2020 through June 30, 2021

Name: MARIA PALAZZI  
Title: Professor (Ohio Eminent Scholar in Art and Design Technology)  
College: Arts and Sciences  
Term: August 1, 2020 through July 31, 2024

**FACULTY PERSONNEL ACTIONS (cont'd)**

Reappointments  
(cont'd)

Name: LU ZHANG  
Title: Professor (John W. Galbreath Chair in Real Estate)  
College: Fisher College of Business  
Term: October 1, 2020 through September 30, 2025

Extensions

Name: DAMON E. JAGGARS  
Title: Vice Provost and Dean  
Office: University Libraries  
Term: July 1, 2020 through December 31, 2020



Appointments/Reappointments of Chairpersons

PHILIP ARMSTRONG, Chair, Department of Comparative Studies, effective June 1, 2020 through June 30, 2024

PROSPER BOYAKA, Interim Chair, Department of Veterinary Biosciences, effective July 1, 2020 through June 30, 2021

RICARDO L. CARRAU, Acting Chair, Department of Otolaryngology-Head and Neck Surgery, effective February 1, 2020, until Chair is able to return

EUGENIA COSTA-GIOMI, Interim Director, School of Music, effective July 1, 2020 through June 30, 2021

NADINE GEORGE-GRAVES, Chair, Department of Dance, effective July 1, 2020 through June 30, 2024

\*\*KAREN HUTZEL, Chair, Department of Arts Administration, Education and Policy, effective July 1, 2020 through June 30, 2024

SCOTT LEVI, Interim Chair, Department of Near Eastern Languages and Cultures, effective July 1, 2020 through June 30, 2021

LAURA LISBON, Chair, Department of Art, effective July 1, 2020 through June 30, 2024

PHILIP TSICHLIS, Chair, Department of Cancer Biology and Genetics, effective March 15, 2020 through February 28, 2024

\*SHANNON WASHBURN, Chair, Department of Agricultural Communication, Education, and Leadership, effective July 1, 2020 through June 30, 2024

\*\*SHANNON WINNUBST, Chair, Department of Women's, Gender and Sexuality Studies, effective June 1, 2020 through June 30, 2023

THOMAS WITTUM, Chair, Department of Veterinary Preventive Medicine, effective July 1, 2020 through June 30, 2024

\*\*Reappointment

\*New Hire

Extensions of Chairpersons

JANET S. PARROTT, Chair, Department of Theatre, effective June 1, 2020 through June 30, 2020

DAVID STALEY, Director, Humanities Institute, effective July 1, 2020 through December 31, 2020

ROBYN WARHOL, Chair, Department of English, effective July 1, 2020 through December 31, 2020

BRIAN L. WINER, Chair, Department of Physics, effective June 1, 2020 through June 30, 2020

Faculty Professional Leaves

ANN ALLEN, Associate Professor, Department of Educational Studies, effective Spring 2021

GREG ANDERSON, Professor, Department of History, effective Autumn 2020

GÜZIN BAYRAKSAN, Associate Professor, Department of Integrated Systems Engineering, effective Autumn 2020

MIKHAIL BELKIN, Professor, Department of Computer Science and Engineering, effective Autumn 2020

JEFFREY M. BIELICKI, Associate Professor, Department of Civil, Environmental and Geodetic Engineering, effective Autumn 2020 and Spring 2021

JACKIE M. BLOUNT, Professor, Department of Educational Studies, effective Autumn 2020

ROBERT M. BOND, Associate Professor, School of Communication, effective Autumn 2020

ANNE E. CAREY, Professor, School of Earth Sciences, effective Spring 2021

BRYAN C. CARSTENS, Professor, Department of Evolution, Ecology, and Organismal Biology, effective Spring 2021

ANNE C. CO, Associate Professor, Department of Chemistry and Biochemistry, effective Autumn 2020

SARA L. CROSBY, Associate Professor, Department of English, effective Spring 2021

MARIE-CATHERINE DE MARNEFFE, Associate Professor, Department of Linguistics, effective Autumn 2020 and Spring 2021

FRANCIS J. DONOGHUE, Professor, Department of English, effective Autumn 2020

ALAN B. FARMER, Associate Professor, Department of English, effective Spring 2021

LISA FLORMAN, Professor, Department of History of Art, effective Autumn 2020

NAOMI FUKUMORI, Associate Professor, Department of East Asian Languages and Literatures, effective Autumn 2020

MARYAM GHAZISAEIDI, Associate Professor, Department of Materials Science and Engineering, effective Spring 2021

HELENA GOSCILO, Professor, Department of Slavic and East European Languages and Cultures, effective Autumn 2020 and Spring 2021

FRITZ GRAF, Professor, Department of Classics, effective Autumn 2020

CHRISTOPHER M. GRIMSLEY, Associate Professor, Department of History, effective Spring 2021

ANDREA G. GROTTOLI, Professor, School of Earth Sciences, effective Spring 2021

MARC J. GUERRERO, Associate Professor, Department of Educational Studies, effective Autumn 2020

JANE HATHAWAY, Professor, Department of History, effective Autumn 2020

CLAYTON C. HOWARD, Associate Professor, Department of History, effective Autumn 2020 and Spring 2021

PRANAV JANI, Associate Professor, Department of English, effective Autumn 2020

SARAH I. JOHNSTON, Professor, Department of Classics, effective Autumn 2020 and Spring 2021

IAN M. KRAJBICH, Associate Professor, Department of Psychology, effective Autumn 2020 and Spring 2021

LAURA S. KUBATKO, Professor, Department of Statistics, effective Autumn 2020

NAMIKO KUNIMOTO, Associate Professor, Department of History of Art, effective Spring 2021

ISAMU KUSAKA, Associate Professor, Department of Chemical and Biomolecular Engineering, effective Autumn 2020

KURT J. LAVETTI, Associate Professor, Department of Economics, effective Autumn 2020 and Spring 2021

WU LU, Professor, Department of Electrical and Computer Engineering, effective Autumn 2020

MIRANDA J. MARTINEZ, Associate Professor, Department of Comparative Studies, effective Autumn 2020 and Spring 2021

PALOMA A. MARTINEZ-CRUZ, Associate Professor, Department of Spanish and Portuguese, effective Spring 2021

PAUL MARTINI, Professor, Department of Astronomy, effective Autumn 2020 and Spring 2021

SMITA MATHUR, Professor, Department of Astronomy, effective Autumn 2020 and Spring 2021

KEVIN J. MCCLATCHY, Associate Professor, Department of Theatre, effective Spring 2021

WILLIAM S. MCGRAW, Professor, Department of Anthropology, effective Autumn 2020

SAM A. MEIER, Professor, Department of Near Eastern Languages and Cultures, effective Autumn 2020 and Spring 2021

FACUNDO MEMOLI, Associate Professor, Department of Mathematics, effective Autumn 2020

JOACHIM B. MOORTGAT, Associate Professor, School of Earth Sciences, effective Autumn 2020 and Spring 2021

REBECCA L. MORLEY, Associate Professor, Department of Linguistics, effective Autumn 2020

ILA NAGAR, Associate Professor, Department of Near Eastern Languages and Cultures, effective Autumn 2020

MICHAEL A. NEBLO, Professor, Department of Political Science, effective Autumn 2020 and Spring 2021

KAREN J. PIERSON, Associate Professor, School of Music, effective Autumn 2020

CHRISTOPHER PINCOCK, Professor, Department of Philosophy, effective Autumn 2020

MARTIN J. PONCE, Associate Professor, Department of English, effective Autumn 2020 and Spring 2021

MATTHEW T. PRATOLA, Associate Professor, Department of Statistics, effective Autumn 2020 and Spring 2021

KEELEY J. PRATT, Associate Professor, Department of Human Sciences, effective Spring 2021

CORINNE RECZEK, Associate Professor, Department of Sociology, effective Autumn 2020

DANI RESTACK, Associate Professor, Department of Art, effective Autumn 2020 and Spring 2021

AMANDA L. ROBINSON, Associate Professor, Department of Political Science, effective Autumn 2020 and Spring 2021

SCOTT D. SCHEER, Professor, Department of Agricultural Communication, Education, and Leadership, effective Spring 2021

KAMMI K. SCHMEER, Associate Professor, Department of Sociology, effective Spring 2021

HANNAH S. SHAFAT, Associate Professor, Department of Chemistry and Biochemistry, effective Autumn 2020 and Spring 2021

BARRY SHANK, Professor, Department of Comparative Studies, effective Spring 2021

DAVID J. SIVAKOFF, Associate Professor, Department of Statistics, effective Spring 2021

MARCOS M. SOTOMAYOR, Associate Professor, Department of Chemistry and Biochemistry, effective Autumn 2020 and Spring 2021

LAUREN SQUIRES, Associate Professor, Department of English, effective Spring 2021

KENNETH J. SUPOWIT, Associate Professor, Department of Computer Science and Engineering, effective Spring 2021

KIA-HUI TAN, Associate Professor, School of Music, effective Autumn 2020 and Spring 2021

CHRISTA TESTON, Associate Professor, Department of English, effective Autumn 2020

STEPHEN TURK, Professor, Knowlton School of Architecture, effective Autumn 2020 and Spring 2021

VADIM UTKIN, Professor, Department of Electrical and Computer Engineering, effective Autumn 2020

RICK VOITHOFER, Associate Professor, Department of Educational Studies, effective Autumn 2020

VINCENT Q. VU, Associate Professor, Department of Statistics, effective Autumn 2020 and Spring 2021

LAURA WAGNER, Professor, Department of Psychology, effective Autumn 2020 and Spring 2021

LORRAINE S. WALLACE, Associate Professor, Department of Biomedical Education and Anatomy, effective Spring 2021

ROBYN WARHOL, Professor, Department of English, effective Spring 2021

DAVID H. WEINBERG, Professor, Department of Astronomy, effective Autumn 2020 and Spring 2021

TRACI A. WILGUS, Associate Professor, Department of Pathology, effective Autumn 2020 and Spring 2021

KRISTI WILLIAMS, Professor, Department of Sociology, effective Spring 2021

WYNNE WONG, Professor, Department of French and Italian, effective Autumn 2020

MAX D. WOODWORTH, Professor, Department of Geography, effective Spring 2021

JIAN-QIU WU, Professor, Department of Molecular Genetics, effective Spring 2021

SHANG-TIAN YANG, Professor, Department of Chemical and Biomolecular Engineering, effective Autumn 2020

WEI ZHANG, Professor, Department of Materials Science and Engineering, effective Spring 2021

CHRISTOPHER J. ZIRKLE, Associate Professor, Department of Educational Studies, change from Autumn 2020 to Spring 2021

#### Emeritus Titles

JAMES E. BAUER, Department of Evolution, Ecology and Organismal Biology, with the title of Professor Emeritus, effective September 1, 2020

RICHARD J. BRILLI, Department of Pediatrics, with the title of Professor Emeritus-Clinical, effective June 1, 2020

J. RICHARD DIETRICH, Department of Accounting and MIS, with the title of Professor Emeritus, effective June 1, 2020

JAN EDWARDS, School of Music, with the title of Associate Professor Emeritus, effective June 1, 2020

TIMOTHY F. FELTES, Department of Pediatrics, with the title of Professor Emeritus-Clinical, effective August 1, 2020

ROBERT GILLESPIE, School of Music, with the title of Professor Emeritus, effective August 1, 2020

CLYDE C. GOAD, School of Earth Sciences, with the title of Professor Emeritus, effective June 1, 2020

KENNETH GOINGS, Department of African American and African Studies, with the title of Professor Emeritus, effective January 1, 2020

DAVID A. LINCOVE, University Libraries, with the title of Professor Emeritus, effective May 1, 2020

WILLIAM J. MARTIN II, College of Public Health, with the title of Professor Emeritus, effective April 1, 2020

SCOTT MCCOY, School of Music, with the title of Professor Emeritus, effective June 1, 2020

HAJIME MIYAZAKI, Department of Economics, with the title of Professor Emeritus, effective July 1, 2020

ROBERT J. PERRY, Department of Physics, with the title of Professor Emeritus, effective July 1, 2020

CATHY A. RAKOWSKI, School of Environment and Natural Resources, with the title of Associate Professor Emeritus, effective September 1, 2020

ROBERT H. SMALL, Department of Anesthesiology, with the title of Professor Emeritus, effective July 11, 2020

STEVEN M. STEINBERG, Department of Surgery, with the title of Professor Emeritus, effective June 23, 2020

JAMES TODD, Department of Psychology, with the title of Professor Emeritus, effective August 1, 2020

JOHN D. WALTERS, College of Dentistry, with the title of Professor Emeritus, effective July 1, 2020

PETER T. WARD, Department of Management Sciences, with the title of Richard M. Ross Emeritus Chair in Management, effective June 1, 2020

GARY L. WENK, Department of Psychology, with the title of Professor Emeritus, effective September 1, 2020

C. PATRICK WOLIVER, School of Music, with the title of Associate Professor Emeritus, effective June 1, 2020

LORETTA ROBINSON WOLIVER, School of Music, with the title of Associate Professor Emeritus, effective June 1, 2020

Promotion, Tenure, and Reappointments

**COLLEGE OF THE ARTS AND SCIENCES**

**DIVISION OF ART AND HUMANITIES**

PROMOTION TO PROFESSOR

Anthony, Sean, Near Eastern Languages and Cultures, June 3, 2020

Bruenger, David, School of Music, June 3, 2020

Friedman, Ryan, English, June 3, 2020

Halasek, Evonne (Kay), English, June 3, 2020

Jones, Scott, School of Music, June 3, 2020

Lerner, Mitchell, Newark, History, June 3, 2020

Lishan, Stuart, Marion, English, June 3, 2020

Martinez-Gil, Fernando, Spanish and Portuguese, June 3, 2020

McPherson, Tristram, Philosophy, June 3, 2020

Otter, Christopher, History, June 3, 2020

Renga, Dana, French and Italian, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Birkhold, Matthew, Germanic Languages and Literatures, June 3, 2020

Camacho-Platero, Luzmila, Marion, Spanish and Portuguese, June 3, 2020

Goldberg-Miller, Shoshanah, Arts Administration, Education and Policy, June 3, 2020

Hedgecoth, David, School of Music, June 3, 2020

Lin, Eden, Philosophy, June 3, 2020

Matheny, Rebekah, Design, June 3, 2020

Parrenas, Juno, Women's, Gender and Sexuality Studies, June 3, 2020

Rohrer, Katherine, School of Music, June 3, 2020

Shanahan, Daniel, School of Music, June 3, 2020

Tamarkin, Noah, Comparative Studies, June 3, 2020

**DIVISION OF NATURAL AND MATHEMATICAL SCIENCES**

PROMOTION TO PROFESSOR

Chou, Ching-Shan, Mathematics, June 3, 2020

Costin, Rodica, Mathematics, June 3, 2020

Durand, Michael, School of Earth Sciences, June 3, 2020

Goldberger, Joshua, Chemistry and Biochemistry, June 3, 2020

Memoli Techera, Roberto Facundo, Mathematics, June 3, 2020

Rapple, Chad, Microbiology, June 3, 2020

Thompson, Daniel, Mathematics, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Anderson, David, Mathematics, June 3, 2020  
Badu-Tawiah, Abraham, Chemistry and Biochemistry, June 3, 2020  
Burd, Christin, Molecular Genetics, June 3, 2020  
Chkrebti, Oxana, Statistics, June 3, 2020  
Dogan Ekici, Ozlem, Newark, Chemistry and Biochemistry, June 3, 2020  
Fowler, James, Mathematics, June 3, 2020  
Griffith, Elizabeth, School of Earth Sciences, June 3, 2020  
Lam, King-Yeung, Mathematics, June 3, 2020  
McGrier, Psaras, Chemistry and Biochemistry, June 3, 2020  
Munoz-Garcia, Agustin, Mansfield, Evolution, Ecology and Organismal Biology, June 3, 2020  
Nagib, David, Chemistry and Biochemistry, June 3, 2020  
Penneys, David, Mathematics, June 3, 2020  
Rich, Virginia, Microbiology, June 3, 2020  
Sawyer, Derek, School of Earth Sciences, June 3, 2020  
Tiglay, Feride, Newark, Mathematics, June 3, 2020  
Vivas, Liz, Mathematics, June 3, 2020  
Yoder, Ryan, Marion, Chemistry and Biochemistry, June 3, 2020  
Zhu, Yunzhang, Statistics, June 3, 2020

**DIVISION OF SOCIAL AND BEHAVIORAL SCIENCES**

PROMOTION TO PROFESSOR

Cheavens, Jennifer, Psychology, June 3, 2020  
Cranmer, Skyler, Political Science, June 3, 2020  
Holt, Rachael, Speech and Hearing Science, June 3, 2020  
Mortiz, Mark, Anthropology, June 3, 2020  
Piperata, Barbara, Anthropology, June 3, 2020  
Root, Elisabeth, Geography, June 3, 2020  
Strunk, Daniel, Psychology, June 3, 2020  
Thompson, Alexander, Political Science, June 3, 2020  
Willow, Anna, Marion, Anthropology, June 3, 2020  
Yang, Huanxing, Economics, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Holt, Lanier, School of Communication, June 3, 2020  
Hovick, Shelly, School of Communication, June 3, 2020  
Lenz, Kathryn, Psychology, June 3, 2020  
McKean, Benjamin, Political Science, June 3, 2020  
Wirth, James, Newark, Psychology, June 3, 2020

**DIVISION OF SOCIAL AND BEHAVIORAL SCIENCES  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Brello, Jennifer, Speech and Hearing Science, June 3, 2020

**DIVISION OF SOCIAL AND BEHAVIORAL SCIENCES  
RESEARCH**

REAPPOINTMENT

Jacewicz, Ewa, Speech and Hearing Science, July 1, 2020

**COLLEGE OF DENTISTRY**

PROMOTION TO PROFESSOR

Deguchi, Toru, June 3, 2020  
Lang, Lisa, June 3, 2020  
Sun, Zongyang, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Fowler, Sara, June 3, 2020

**COLLEGE OF DENTISTRY  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Jatana, Courtney, June 3, 2020, and, September 1, 2021

REAPPOINTMENT

Bolina, Janet, September 1, 2021  
Gross, Erin, September 1, 2021  
Henderson, Rebecca, September 1, 2021  
Heshmati, Reza, September 1, 2021  
Kanner, Dale, September 1, 2021  
Kissell, Denise, September 1, 2021  
Ness, Gregory, September 1, 2021

**COLLEGE OF EDUCATION AND HUMAN ECOLOGY**

PROMOTION TO PROFESSOR

Arnold, Noelle, Educational Studies, June 3, 2020  
Kamp Dush, Claire, Human Sciences, June 3, 2020  
Loibl, Caezilia, Human Sciences, June 3, 2020  
Scharff, Robert, Human Sciences, June 3, 2020  
Sutherland, Sue, Human Sciences, June 3, 2020  
Turner, Brian, Human Sciences, June 3, 2020  
Xie, Kui, Educational Studies, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Beard, Karen, Educational Studies, June 3, 2020  
Betz, Michael, Human Sciences, June 3, 2020  
Bujisic, Milos, Human Sciences, June 3, 2020  
Chao, Theodore, Teaching and Learning, June 3, 2020  
Hatsu, Irene, Human Sciences, June 3, 2020  
Ilic, Sanja, Human Sciences, June 3, 2020  
Purtell, Kelly, Human Sciences, June 3, 2020  
Thompson, Winston, Educational Studies, June 3, 2020  
Wong, Jen, Human Sciences, June 3, 2020

**COLLEGE OF EDUCATION AND HUMAN ECOLOGY  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Barnes, Amy, Educational Studies, June 3, 2020

REAPPOINTMENT

Folden, H. Eugene, Human Sciences, September 1, 2021



**COLLEGE OF ENGINEERING**

PROMOTION TO PROFESSOR

Agarwal, Gunjan, Biomedical Engineering, June 3, 2020  
Akar, Gulsah, Knowlton School of Architecture, June 3, 2020  
Asthagiri, Aravind, Chemical and Biomolecular Engineering, June 3, 2020  
Chen, Jen-Ping, Mechanical and Aerospace Engineering, June 3, 2020  
Fayed, Ayman, Electrical and Computer Engineering, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Blanas, Spyridon, Computer Science and Engineering, June 3, 2020  
Brunelli, Nicholas, Chemical and Biomolecular Engineering, June 3, 2020  
Cho, Han Na, Mechanical and Aerospace Engineering, June 3, 2020  
D'Souza, Kiran, Mechanical and Aerospace Engineering, June 3, 2020  
Harne, Ryan, Mechanical and Aerospace Engineering, June 3, 2020  
Hwang, Jinwoo, Materials Science and Engineering, June 3, 2020  
Khafizov, Marat, Mechanical and Aerospace Engineering, June 3, 2020  
Ritter, Alan, Computer Science and Engineering, June 3, 2020  
Roth, Curtis, Knowlton School of Architecture, June 3, 2020  
Song, Jonathan, Mechanical and Aerospace Engineering, June 3, 2020

**COLLEGE OF ENGINEERING  
CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Grzybowski, Deborah, Engineering Education, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Mirzaei, Golrokh, Marion, Computer Science and Engineering, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Nocera, Tanya, Biomedical Engineering, June 3, 2020, and September 1, 2021

REAPPOINTMENT

Kecskemety, Krista, Engineering Education, September 1, 2021  
Leonard, Donald, Knowlton School of Architecture, September 1, 2020  
Tang, Xiaofeng, Engineering Education, September 1, 2021

**COLLEGE OF ENGINEERING  
RESEARCH**

PROMOTION TO RESEARCH PROFESSOR AND REAPPOINTMENT

Alexandrov, Boian, Materials Science and Engineering, June 3, 2020, and September 1, 2021

**MAX M. FISHER COLLEGE OF BUSINESS**

PROMOTION TO PROFESSOR

Chandrasekaran, Aravind, Management Sciences, June 3, 2020

**MAX M. FISHER COLLEGE OF BUSINESS  
CLINICAL**

REAPPOINTMENT

Draper, John, Management Sciences, September 1, 2021  
Prud'homme, Andrea, Management Sciences, September 1, 2021

**COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES**

PROMOTION TO PROFESSOR

Ezeji, Thaddeus, Animal Sciences, June 3, 2020  
Fulton, John, Food, Agricultural and Biological Engineering, June 3, 2020  
Jasinski, James, OSU Extension Administration, June 3, 2020  
Slater, Brian, School of Environment and Natural Resources, June 3, 2020  
Wick, Macdonald, Animal Sciences, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Barrett, Eric, OSU Extension County Operations, June 3, 2020  
Bielke, Lisa, Animal Sciences, June 3, 2020  
Brooks, Jeremy, School of Environment and Natural Resources, June 3, 2020  
Culman, Steven, School of Environment and Natural Resources, June 3, 2020  
Haden, Van Ryan, Agricultural Technical Institute, June 3, 2020  
Miteva, Daniela, Agricultural, Environmental and Development Economics, June 3, 2020  
Peterman, William, School of Environment and Natural Resources, June 3, 2020  
Specht, Annie, Agricultural Communication, Education and Leadership, June 3, 2020  
Witter, Jonathan, Agricultural Technical Institute, June 3, 2020  
Zhao, Kaiguang, School of Environment and Natural Resources, June 3, 2020

**COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Kieffer, Justin, Animal Sciences, June 3, 2020, and, September 1, 2021

REAPPOINTMENT

Cakmak, Adem, Agricultural Technical Institute, September 1, 2021  
Parker, Elizabeth, Animal Sciences, September 1, 2021  
Raczkowski, Joseph, Entomology, September 1, 2021

**COLLEGE OF LAW**

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Glogower, Ariel, June 3, 2020  
Rudesill, Dakota, June 3, 2020  
Zettler, Patricia, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR [WITHOUT TENURE]

Abdelrasoul, Mohamed, June 3, 2020

**COLLEGE OF LAW  
CLINICAL**

REAPPOINTMENT

Bong (Lee), Katrina, September 1, 2021  
Jordan, Kimberly, September 1, 2021  
Ralph, Anne, September 1, 2021  
Starker, Todd, September 1, 2021

**JOHN GLENN COLLEGE OF PUBLIC AFFAIRS**

PROMOTION TO PROFESSOR

Hawley, Joshua, June 3, 2020  
Lavertu, Stephane, June 3, 2020

**COLLEGE OF MEDICINE**

PROMOTION TO PROFESSOR

Biesiadecki, Brandon, Physiology and Cell Biology, June 3, 2020  
Cao, Lei, Cancer Biology and Genetics, June 3, 2020  
Chaudhari, Ajit, School of Health and Rehabilitation Sciences, June 3, 2020  
Danforth, Douglas, Obstetrics and Gynecology, June 3, 2020  
Dungan, Kathleen, Internal Medicine, June 3, 2020  
Freitas, Michael, Cancer Biology and Genetics, June 3, 2020  
Guo, Deliang, Radiation Oncology, June 3, 2020  
Guo, Lianwang, Surgery, June 3, 2020  
Hall, Mark, Pediatrics, June 3, 2020  
Han, Renzhi, Surgery, June 3, 2020  
Harper, Scott, Pediatrics, June 3, 2020  
Havercamp, Susan, Psychiatry and Behavioral Health, June 3, 2020  
Lilly, Brenda, Pediatrics, June 3, 2020  
Magnussen, Robert, Orthopaedics, June 3, 2020  
Maitre, Nathalie, Pediatrics, June 3, 2020  
Minnecci, Peter, Surgery, June 3, 2020  
Toland, Amanda, Cancer Biology and Genetics, June 3, 2020  
Woyach, Jennifer, Internal Medicine, June 3, 2020  
Yang, Jingzhen, Pediatrics, June 3, 2020  
Zhu, Hua, Surgery, June 3, 2020  
Zhu, Motao, Pediatrics, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Accornero, Federica, Physiology and Cell Biology, June 3, 2020  
Backes Jr., Carl, Pediatrics, June 3, 2020  
Coppola, Vincenzo, Cancer Biology and Genetics, June 3, 2020  
Feng, Zongdi, Pediatrics, June 3, 2020  
Freud, Aharon, Pathology, June 3, 2020  
Moberly, Aaron, Otolaryngology, June 3, 2020  
Ning, Xia, Biomedical Informatics, June 3, 2020  
Pyter, Leah, Psychiatry and Behavioral Health, June 3, 2020  
Quatman, Carmen, Orthopaedics, June 3, 2020  
Sieck, Cynthia, Family Medicine, June 3, 2020  
Slaughter, Jonathan, Pediatrics, June 3, 2020  
Yoder, Kristine, Cancer Biology and Genetics, June 3, 2020  
Zhang, Jun, Radiology, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR [WITHOUT TENURE]

Chen, James, Internal Medicine, June 3, 2020

TENURE [AT THE CURRENT RANK OF ASSOCIATE PROFESSOR]

Barrientos-Wood, Ruth, Psychiatry and Behavioral Health, June 3, 2020  
Khan, Mahmood, Emergency Medicine, June 3, 2020  
Singh, Harpreet, Physiology and Cell Biology, June 3, 2020

**COLLEGE OF MEDICINE  
CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Breitborde, Nicholas, Psychiatry and Behavioral Health, June 3, 2020  
Chandawarkar, Rajiv, Plastic Surgery, June 3, 2020  
Digiovine, Carmen, School of Health and Rehabilitation Sciences, June 3, 2020  
Efebera, Yvonne, Internal Medicine, June 3, 2020  
Elsheikh, Bakri, Neurology, June 3, 2020  
Kaide, Colin, Emergency Medicine, June 3, 2020  
Lara, Luis, Internal Medicine, June 3, 2020  
Lee, Vivien, Neurology, June 3, 2020  
Maddocks, Kami, Internal Medicine, June 3, 2020  
Ramaswamy, Bhuvanewari, Internal Medicine, June 3, 2020  
Walker, Alison, Internal Medicine, June 3, 2020  
Wininger, David, Internal Medicine, June 3, 2020

PROMOTION TO PROFESSOR-CLINICAL AND REAPPOINTMENT

Ardoin, Stacy, Pediatrics, June 3, 2020, and, September 1, 2021  
Cho, Raymond, Ophthalmology, June 3, 2020, and, September 1, 2021  
Colachis, Sam, Physical Medicine and Rehabilitation, June 3, 2020, and, September 1, 2021  
Elmaraghy, Charles, Otolaryngology, June 3, 2020, and, September 1, 2021  
Exline, Matthew, Internal Medicine, June 3, 2020 and September 1 2021  
Fabia, Renata, Surgery, June 3, 2020, and, September 1, 2021  
Fleming, Gloria, Ophthalmology, June 3, 2020, and, September 1, 2021  
Hanje, Adam, Internal Medicine, June 3, 2020, and, September 1, 2021  
Jatana, Kris, Otolaryngology, June 3, 2020, and, September 1, 2021  
Leber, Amy, Pathology, June 3, 2020, and, September 1, 2021  
Ohr, Matthew, Ophthalmology, June 3, 2020, and, September 1, 2021  
Panchal, Ashish, Emergency Medicine, June 3, 2020, and, September 1, 2021  
Phieffer, Laura, Orthopaedics, June 3, 2020, and, September 1, 2021  
Satoskar, Anjali, Pathology, June 3, 2020, and, September 1, 2021  
Slabaugh, Mark, Ophthalmology, June 3, 2020, and, September 1, 2021  
Sutherland, Michael, Surgery, June 3, 2020, and, September 1, 2021

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Chio, Eugene, Otolaryngology, June 3, 2020, and September 1, 2021  
Diez, Alejandro, Internal Medicine, June 3, 2020, and September 1, 2021  
D'Souza, Desmond, Surgery, June 3, 2020, and September 1, 2021  
El-Hinnawi, Ashraf, Surgery, June 3, 2020, and September 1, 2021  
Kim, Leslie, Otolaryngology, June 3, 2020, and September 1, 2021  
Lipps, Jonathan, Anesthesiology, June 3, 2020, and September 1, 2021  
Meara, Michael, Surgery, June 3, 2020, and September 1, 2021  
Mundy, Chantelle, Ophthalmology, June 3, 2020, and September 1, 2021  
Noonan, Anne, Internal Medicine, June 3, 2020, and September 1, 2021  
Palanichamy, Kamalakannan, Radiation Oncology, June 3, 2020, and September 1, 2021  
Rushing, Amy, Surgery, June 3, 2020, and September 1, 2021  
Stahl, David, Anesthesiology, June 3, 2020, and September 1, 2021  
Stoner, Michael, Pediatrics, June 3, 2020, and September 1, 2021  
Tozbikian, Gary, Pathology, June 3, 2020, and September 1, 2021  
Vazquez, Daniel, Surgery, June 3, 2020, and September 1, 2021  
Wood, Richard, Surgery, June 3, 2020, and September 1, 2021

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Abdel Baki, Mohamed, Pediatrics, June 3, 2020  
Ajam, Amna, Radiology, June 3, 2020

Atway, Said, Orthopaedics, June 3, 2020  
Audino, Anthony, Pediatrics, June 3, 2020  
Baker, Cindy, Internal Medicine, June 3, 2020  
Barrett, Todd, Internal Medicine, June 3, 2020  
Baylis, Adriane, Plastic Surgery, June 3, 2020  
Besecker, Beth, Internal Medicine, June 3, 2020  
Blakaj, Dukagjin, Radiation Oncology, June 3, 2020  
Bode, Ryan, Pediatrics, June 3, 2020  
Bonachea, Elizabeth, Pediatrics, June 3, 2020  
Carpenter, Kristen, Psychiatry and Behavioral Health, June 3, 2020  
Conteh, Lanla, Internal Medicine, June 3, 2020  
Creary, Susan, Pediatrics, June 3, 2020  
Dawson, Erica, Psychiatry and Behavioral Health, June 3, 2020  
Frag, Alexander, Otolaryngology, June 3, 2020  
Farhadi, Francis, Neurological Surgery, June 3, 2020  
Gee, Samantha, Pediatrics, June 3, 2020  
Grandominico, Jodi, Internal Medicine, June 3, 2020  
Hawley, Jeffrey, Radiology, June 3, 2020  
Hinduja, Archana, Neurology, June 3, 2020  
Humeidan, Michelle, Anesthesiology, June 3, 2020  
Islam, Monica, Pediatrics, June 3, 2020  
Keller, Brian, Internal Medicine, June 3, 2020  
Kerger, Amy, Radiology, June 3, 2020  
Kisanuki, Yasushi, Neurology, June 3, 2020  
Koesters, Stephen, Internal Medicine, June 3, 2020  
Lipari, Adele, Radiology, June 3, 2020  
Luttrull, Michael, Radiology, June 3, 2020  
Macerollo, Allison, Family Medicine, June 3, 2020  
Madhoun, Hareth, Internal Medicine, June 3, 2020  
Massick, Susan, Internal Medicine, June 3, 2020  
Mikulik, Zhanna, Internal Medicine, June 3, 2020  
Mims, Alice, Internal Medicine, June 3, 2020  
Natwa, Mona, Radiology, June 3, 2020  
Obarski, Timothy, Internal Medicine, June 3, 2020  
Ramtekkar, Ujjwal, Psychiatry and Behavioral Health, June 3, 2020  
Reed, Suzanne, Pediatrics, June 3, 2020  
Rempala, Helena, Psychiatry and Behavioral Health, June 3, 2020  
Rogers, Alan, Radiology, June 3, 2020  
Virk, Subhdeep, Psychiatry and Behavioral Health, June 3, 2020  
Walrod, Bryant, Family Medicine, June 3, 2020  
Zareba, Karolina, Internal Medicine, June 3, 2020

#### REAPPOINTMENT

Abou Assalie, Nour, Internal Medicine, September 1, 2021  
Ajam, Ali, Internal Medicine, September 1, 2021  
Alexander, Michael, Family Medicine, September 1, 2021  
Ali, Naeem, Internal Medicine, September 1, 2021  
Anantaraman, Lakshmi, Internal Medicine, September 1, 2021  
Appling, Susan, School of Health and Rehabilitation Sciences, September 1, 2021  
Aravapalli, Srikanth, Pediatrics, September 1, 2021  
Ardura, Monica, Pediatrics, September 1, 2021  
Ataya, Samy, Internal Medicine, September 1, 2021  
Ayan, Ahmet, Radiation Oncology, September 1, 2021  
Bahner, David, Emergency Medicine, September 1, 2021  
Bapat, Roopali, Pediatrics, September 1, 2021  
Baria, Michael, Physical Medicine and Rehabilitation, September 1, 2021

Bartman, Thomas, Pediatrics, September 1, 2021  
Bavishi, Sheital, Physical Medicine and Rehabilitation, September 1, 2021  
Bechtel, Mark, Internal Medicine, September 1, 2021  
Bhatnagar, Bhavana, Internal Medicine, September 1, 2021  
Bhatt, Udayan, Internal Medicine, September 1, 2021  
Boe, Brian, Pediatrics, September 1, 2021  
Boue, Daniel, Pathology, September 1, 2021  
Boulger, Creagh, Emergency Medicine, September 1, 2021  
Bowman, Mary Jo, Pediatrics, September 1, 2021  
Box, Geoffrey, Urology, September 1, 2021  
Brodsky, Sergey, Pathology, September 1, 2021  
Bubolz, Beth, Pediatrics, September 1, 2021  
Burgoon, Jennifer, Biomedical Education and Anatomy, September 1, 2021  
Butnariu, Madalina, Internal Medicine, September 1, 2021  
Butter, Eric, Pediatrics, September 1, 2021  
Cackovic, Michael, Obstetrics and Gynecology, June 30, 2024  
Carr, David, Internal Medicine, September 1, 2021  
Changizi, Barbara, Neurology, September 1, 2021  
Chao, Albert, Plastic Surgery, September 1, 2021  
Chiu, Christopher, Internal Medicine, September 1, 2021  
Christian, Beth, Internal Medicine, September 1, 2021  
Clark, Sharon, Internal Medicine, September 1, 2021  
Connors, Dean, Anesthesiology, September 1, 2021  
Conroy, Mark, Emergency Medicine, September 1, 2021  
Cooper, Robert, Emergency Medicine, September 1, 2021  
Coury, Daniel, Pediatrics, September 1, 2021  
Cvetanovich, Gregory, Orthopaedics, September 1, 2021  
Dalton, Ryan, Anesthesiology, September 1, 2021  
Diaz Pardo, Dayssy, Radiation Oncology, September 1, 2021  
Donham, Nathan, Internal Medicine, September 1, 2021  
Earl, Christian, Internal Medicine, September 1, 2021  
Elhassan, Ihab, Surgery, September 1, 2021  
Eneli, Ihuoma, Pediatrics, September 1, 2021  
Erdal, Barbaros, Radiology, September 1, 2021  
Farrell, Matthew, Family Medicine, September 1, 2021  
Farris, Roopan, Internal Medicine, September 1, 2021  
Feltes, Timothy, Pediatrics, September 1, 2021  
Fernandez Faith, Esteban, Pediatrics, September 1, 2021  
Fernandez, Richard, Pediatrics, September 1, 2021  
Findlen, Ursula, Otolaryngology, September 1, 2021  
Fiorillo, Lauren, Radiology, September 1, 2021  
Foley, Kristin, Radiology, September 1, 2021  
Fussner, Lynn, Internal Medicine, September 1, 2021  
Garbacz, Lauren, Pediatrics, September 1, 2021  
Gathof, Andrew, Emergency Medicine, September 1, 2021  
Gelfius, Carl, Physical Medicine and Rehabilitation, September 1, 2021  
Goldschmidt, Monique, Pediatrics, September 1, 2021  
Gorgas, Diane, Emergency Medicine, September 1, 2021  
Graham, Emily, Internal Medicine, September 1, 2021  
Groce, Jeffery, Internal Medicine, September 1, 2021  
Grove, Sarah, Physical Medicine and Rehabilitation, September 1, 2021  
Guinipero, Terri, Pediatrics, September 1, 2021  
Gulati, Ish, Pediatrics, September 1, 2021  
Gupta, Nilendu, Radiation Oncology, September 1, 2021  
Gutmann, Rebecca, Anesthesiology, September 1, 2021  
Haidar, Atteqa, Internal Medicine, September 1, 2021

Hamilton III, Charles, Anesthesiology, September 1, 2021  
Hardman, Michael, Internal Medicine, September 1, 2021  
Hart, Jocelyn, Psychiatry and Behavioral Health, September 1, 2021  
Hartz, Clinton, Family Medicine, September 1, 2021  
Hasan, Ayesha, Internal Medicine, September 1, 2021  
Hayes Jr., Don, Pediatrics, September 1, 2021  
Hem, Derek, Internal Medicine, September 1, 2021  
Hintenlang, David, Radiology, September 1, 2021  
Holtzlander, Melissa, Pediatrics, September 1, 2021  
Hostutler, Cody, Pediatrics, September 1, 2021  
Humphrey, Lisa, Pediatrics, September 1, 2021  
Hunter, Randee, School of Health and Rehabilitation Sciences, September 1, 2021  
Jackson, Kristen, Physical Medicine and Rehabilitation, September 1, 2021  
Job, Joici, Radiology, September 1, 2021  
Kallash, Mahmoud, Pediatrics, September 1, 2021  
Kamboj, Manmohan, Pediatrics, September 1, 2021  
Kang, Rima, Internal Medicine, September 1, 2021  
Kelly, Sean, Internal Medicine, September 1, 2021  
Kemp, Erika, School of Health and Rehabilitation Sciences, September 1, 2021  
Kendra, Kari, Internal Medicine, September 1, 2021  
Khandelwal, Sorabh, Emergency Medicine, September 1, 2021  
King, Andrew, Emergency Medicine, September 1, 2021  
Kirkpatrick, Robert, Internal Medicine, September 1, 2021  
Larrimore, Ashley, Emergency Medicine, September 1, 2021  
Larry, John, Internal Medicine, September 1, 2021  
Lauden, Stephanie, Pediatrics, September 1, 2021  
Li, Guibin, Internal Medicine, September 1, 2021  
Li, Lin, Anesthesiology, September 1, 2021  
Li, Na, Internal Medicine, September 1, 2021  
Lin, Ada Tina, Pediatrics, September 1, 2021  
Lindsey, Sommer, Emergency Medicine, September 1, 2021  
L'Italien, Kaitlin, Pediatrics, September 1, 2021  
Little, Kevin, Radiology, September 1, 2021  
Lo, Warren, Pediatrics, September 1, 2021  
Lu, Lanchun, Radiation Oncology, September 1, 2021  
Lu, Peter, Pediatrics, September 1, 2021  
Macklin, Jamie, Pediatrics, September 1, 2021  
Magalang, Ulysses, Internal Medicine, September 1, 2021  
Malone, Kara, Obstetrics and Gynecology, September 1, 2021  
Martin, Daniel, Emergency Medicine, September 1, 2021  
McCamey, Kendra, Family Medicine, September 1, 2021  
McClung, Timothy, Internal Medicine, September 1, 2021  
McGregor, John, Neurological Surgery, September 1, 2021  
McVey, Anne, Psychiatry and Behavioral Health, September 1, 2021  
Melvin, Jennifer, Pediatrics, September 1, 2021  
Meschbach, Nicole, Orthopaedics, September 1, 2021  
Michalsky, Marc, Surgery, September 1, 2021  
Milks, Michael, Internal Medicine, September 1, 2021  
Mindel, Jesse, Neurology, September 1, 2021  
Moran, Kenneth, Anesthesiology, September 1, 2021  
Mousa, Luay, Internal Medicine, September 1, 2021  
Nahikian-Nelms, Marcia, School of Health and Rehabilitation Sciences, September 1, 2021  
Neltner, Kurt, Emergency Medicine, September 1, 2021  
Neviaser, Andrew, Orthopaedics, September 1, 2021  
Niktash, Mohammedreza, Emergency Medicine, September 1, 2021  
Nolan, Eric, Internal Medicine, September 1, 2021

Nori, Uday, Internal Medicine, September 1, 2021  
Noritz, Garey, Pediatrics, September 1, 2021  
Norton, John, Anesthesiology, September 1, 2021  
Ojha, Devicka, Internal Medicine, September 1, 2021  
Okabe, Toshimasa, Internal Medicine, September 1, 2021  
Orsinelli, David, Internal Medicine, September 1, 2021  
Ouellette, Christopher, Pediatrics, September 1, 2021  
Ozer, Enver, Otolaryngology, September 1, 2021  
Palacios, Sabrina, Pediatrics, September 1, 2021  
Patel, Anup, Pediatrics, September 1, 2021  
Patrick Jr., Michael, Pediatrics, September 1, 2021  
Perera, Sajithya, Pediatrics, September 1, 2021  
Perez, William, Anesthesiology, September 1, 2021  
Pfeil, Sheryl, Internal Medicine, September 1, 2021  
Pinto, Swaroop, Pediatrics, September 1, 2021  
Pollak, Brandon, Internal Medicine, September 1, 2021  
Poteet, Stephen, Plastic Surgery, September 1, 2021  
Potter, Carol, Pediatrics, September 1, 2021  
Prevedello, Luciano, Radiology, September 1, 2021  
Prosek, Jason, Internal Medicine, September 1, 2021  
Purcell, Michael, Emergency Medicine, September 1, 2021  
Rai, Nina, Internal Medicine, September 1, 2021  
Raj, Rohit, Internal Medicine, September 1, 2021  
Ranalli, Mark, Pediatrics, September 1, 2021  
Renton, David, Surgery, September 1, 2021  
Richards, Nathan, Internal Medicine, September 1, 2021  
Richards, Rebekah, Emergency Medicine, September 1, 2021  
Rink, Trenton, Radiology, September 1, 2021  
Rizer, Milisa, Family Medicine, September 1, 2021  
Roberts, Kristen, School of Health and Rehabilitation Sciences, September 1, 2021  
Rogers, Barbara, Anesthesiology, September 1, 2021  
Rogoski, John, Anesthesiology, September 1, 2021  
Rosenthal, Joseph, Physical Medicine and Rehabilitation, September 1, 2021  
Rosko, Ashley, Internal Medicine, September 1, 2021  
Ruda, James, Otolaryngology, September 1, 2021  
Ryan, John, Orthopaedics, September 1, 2021  
Saklayen, Samiya, Anesthesiology, September 1, 2021  
San Miguel, Christopher, Emergency Medicine, September 1, 2021  
Sandhu, Gurneet, Anesthesiology, September 1, 2021  
Sergakis, Georgianna, School of Health and Rehabilitation Sciences, September 1, 2021  
Setty, Bhuvana, Pediatrics, September 1, 2021  
Shadchehr, Ali, Internal Medicine, September 1, 2021  
Shah, Nilay, Pediatrics, September 1, 2021  
Shane-Carson, Kate, Internal Medicine, September 1, 2021  
Shellhaas, Cynthia, Obstetrics and Gynecology, September 1, 2021  
Shellman, Sondra, Emergency Medicine, September 1, 2021  
Shidham, Ganesh, Internal Medicine, September 1, 2021  
Simsic, Janet, Pediatrics, September 1, 2021  
Skoracki, Roman, Plastic Surgery, September 1, 2021  
Small, Robert, Anesthesiology, September 1, 2021  
Sohn, Bonnie, Internal Medicine, September 1, 2021  
Southerland, Lauren, Emergency Medicine, September 1, 2021  
Spetie, Dan, Internal Medicine, September 1, 2021  
Stasek Jr., Jerome, Internal Medicine, September 1, 2021  
Stukus, David, Pediatrics, September 1, 2021  
Stukus, Kristin, Pediatrics, September 1, 2021



Sykes, Sabrina, Psychiatry and Behavioral Health, September 1, 2021  
Taylor, Clayton, Radiology, September 1, 2021  
Thompson, Rohan, Pediatrics, September 1, 2021  
Trouten, Jasmine, Internal Medicine, September 1, 2021  
Turner, Katja, Anesthesiology, September 1, 2021  
Ulrich, Lisa, Pediatrics, September 1, 2021  
Ungureanu, Corina, Internal Medicine, September 1, 2021  
Viljoen, Jill, Internal Medicine, September 1, 2021  
Viljoen, Stephanus, Neurological Surgery, September 1, 2021  
Vondra, Mary, Internal Medicine, September 1, 2021  
Vong, Linda, Internal Medicine, September 1, 2021  
Walton, Grace, Pediatrics, September 1, 2021  
Wang, Tzu-Fei, Internal Medicine, September 1, 2021  
Welker, Maryjo, Family Medicine, September 1, 2021  
Wert, Michael, Internal Medicine, September 1, 2021  
Wesolowski, Robert, Internal Medicine, September 1, 2021  
Weymann, Alexander, Pediatrics, September 1, 2021  
Williams, Kent, Pediatrics, September 1, 2021  
Williams, Margaret, Internal Medicine, September 1, 2021  
Witman, Patricia, Pediatrics, September 1, 2021  
Woollard, Jeffrey, Radiation Oncology, September 1, 2021  
Yablok, David, Anesthesiology, September 1, 2021  
Yeager, Nicholas, Pediatrics, September 1, 2021

#### **COLLEGE OF MEDICINE RESEARCH**

##### PROMOTION TO RESEARCH ASSOCIATE PROFESSOR AND REAPPOINTMENT

Pekarsky, Yuri, Cancer Biology and Genetics, June 3, 2020 and September 1, 2021

##### REAPPOINTMENT

Agrawal, Shipra, Pediatrics, September 1, 2021  
Aqeilan, Rami, Cancer Biology and Genetics, September 1, 2021  
Bai, Shasha, Biomedical Informatics, September 1, 2021  
Chakroborty, Debanjan, Pathology, September 1, 2021  
Drusco, Alessandra, Cancer Biology and Genetics, September 1, 2021  
Gavrillin, Mikhail, Internal Medicine, September 1, 2021  
Hollway, Jill, Psychiatry and Behavioral Health, September 1, 2021  
Huang, Wei, Cancer Biology and Genetics, September 1, 2021  
Kinnamon, Daniel, Internal Medicine, September 1, 2021  
Lin, Pei-Hui, Surgery, September 1, 2021  
Lowe, Linda, Pediatrics, September 1, 2021  
Rajaram, Murugesan, Microbial Infection and Immunity, September 1, 2021  
Santoso, Netty, Pathology, September 1, 2021  
Sarkar, Chandrani, Pathology, September 1, 2021  
Spakowicz, Daniel, Internal Medicine, September 1, 2021  
Wang, Bowen, Surgery, September 1, 2021

#### **COLLEGE OF NURSING**

##### PROMOTION TO PROFESSOR

Smith, Lauren, June 3, 2020

**COLLEGE OF NURSING  
CLINICAL**

REAPPOINTMENT

Bauldoff, Gerene, September 1, 2021  
Browning, Kristine, September 1, 2021  
Momeyer, Mary Alice, September 1, 2021  
Pittman, Oralea, September 1, 2021

**COLLEGE OF NURSING  
RESEARCH**

PROMOTION TO RESEARCH PROFESSOR

Tan, Alai, June 3, 2020

**COLLEGE OF OPTOMETRY**

PROMOTION TO PROFESSOR

Bailey, Melissa, June 3, 2020

**COLLEGE OF OPTOMETRY  
CLINICAL**

PROMOTION TO PROFESSOR-CLINICAL

Goedde, Dawn, June 3, 2020

**COLLEGE OF OPTOMETRY  
RESEARCH**

REAPPOINTMENT

Jordan, Lisa, September 1, 2021

**COLLEGE OF PHARMACY**

PROMOTION TO PROFESSOR

Hoyt, Kari, June 3, 2020

TENURE [AT THE CURRENT RANK OF ASSOCIATE PROFESSOR]

Campbell, Moray, June 3, 2020

**COLLEGE OF PHARMACY  
CLINICAL**

REAPPOINTMENT

Beatty, Stuart, September 1, 2021  
Pai, Vinita, September 1, 2021  
Pruchnicki, Maria, September 1, 2021

**COLLEGE OF PHARMACY  
RESEARCH**

REAPPOINTMENT

Shu, Dan, September 1, 2021

**COLLEGE OF PUBLIC HEALTH**

PROMOTION TO PROFESSOR

Gallo, Maria, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Felix, Ashley, June 3, 2020

Xu, Yi, June 3, 2020

**COLLEGE OF PUBLIC HEALTH  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Olivo-Marston, Susan, June 3, 2020

**COLLEGE OF SOCIAL WORK**

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Kagotho, Jacqueline, June 3, 2020

**UNIVERSITY LIBRARIES**

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Franks, Tina, June 3, 2020

Rinehart, Amanda, June 3, 2020

Springs Jr., Gene, June 3, 2020

**COLLEGE OF VETERINARY MEDICINE**

PROMOTION TO PROFESSOR

Cornet-Boyaka, Estelle, Veterinary Biosciences, June 3, 2020

Moore, Sarah, Veterinary Clinical Sciences, June 3, 2020

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Selmic, Laura, Veterinary Clinical Sciences, June 3, 2020

TENURE [AT THE CURRENT RANK OF ASSOCIATE PROFESSOR]

Quimby, Jessica, Veterinary Clinical Sciences, June 3, 2020

**COLLEGE OF VETERINARY MEDICINE  
CLINICAL**

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Diaz Vergara, Sandra, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

Miller, Eric, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

Runcan, Erin, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

Schroeder, Eric, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

Yardley, Jonathan, Veterinary Clinical Sciences, June 3, 2020, and September 1, 2021

REAPPOINTMENT

Brown, Megan, Veterinary Clinical Sciences, September 1, 2021

Diaz-Campos, Dubraska, Veterinary Clinical Sciences, September 1, 2021

Lerche, Phillip, Veterinary Clinical Sciences, September 1, 2021  
Masterson, Margaret, Veterinary Preventive Medicine, September 1, 2021  
Metzler, Anne, Veterinary Clinical Sciences, September 1, 2021  
Millward, Laurie, Veterinary Clinical Sciences, September 1, 2021  
Rhinehart, Jaylyn, Veterinary Clinical Sciences, September 1, 2021

**HONORARY DEGREES**

Synopsis: Approval of the below honorary degrees is proposed.

WHEREAS pursuant to paragraph (A)(3) of rule 3335-1-03 of the Administrative Code, the president, after consultation with the Steering Committee of the University Senate, recommends to the Board of Trustees the awarding of the honorary degree as listed below:

Tim Cook

Doctor of Humane Letters

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-48.8 of the Administrative Code, have approved for recommendation to the Board of Trustees the awarding of honorary degrees as listed below:

Kathryn D. Sullivan  
Grace Wahba

Doctorate of Public Affairs  
Doctorate of Science

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the awarding of the above honorary degrees.

## DEGREES AND CERTIFICATES

Synopsis: Approval of degrees and certificates for summer term 2020, is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees, the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the degrees and certificates to be conferred on August 9, 2020, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools.

**UNIVERSITY FOUNDATION REPORT**

Synopsis: Approval of the University Foundation Report as of April 30, 2020, is proposed.

WHEREAS monies are solicited and received on behalf of the university from alumni, industry and various individuals in support of research, instructional activities and service; and

WHEREAS such gifts are received through The Ohio State University Foundation; and

WHEREAS this report includes: (i) the establishment of one (1) endowed chair fund: the Research Endowed Chair in Pathology; four (4) endowed professorships: The Miltiadis Marinakis Endowed Professorship of Modern Greek Language and Culture, the Andrei Baronov and Ratmir Timashev Professorship in Chemical Physics, the Mary Fried Endowed Clinical Professorship, The Pinnell Endowed Professorship in Reading; one (1) endowed scholarship as part of the Joseph A. Alutto Global Leadership Initiative; one (1) endowed scholarship as part of the Ohio Scholarship Challenge and eighteen (18) additional named endowed funds; and (ii) the revision of eleven (11) named endowed funds; and (iii) the closure of one (1) named endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves The Ohio State University Foundation Report as of April 30, 2020.

**NAMING OF TIMASHEV FAMILY MUSIC BUILDING**

COLLEGE OF ARTS AND SCIENCES

Synopsis: Approval for the naming of the future Timashev Family Music Building, to be located on College Road, is proposed.

WHEREAS the new Arts District facilities, including a renovated home for the School of Music, will reinforce the university's commitment to creative inquiry and performance; and

WHEREAS the music building will include world-class spaces for teaching, learning and performance — all for the benefit of students, faculty and the Columbus community; and

WHEREAS through the Timashev Family Foundation, Ratmir and Angela Timashev have provided significant support to the College of Arts and Sciences and construction of the new music building; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Ratmir and Angela Timashev's philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facility the aforementioned music building be named Timashev Family Music Building.



**NAMING OF THE AMERICAN ELECTRIC POWER FOUNDATION ATRIUM**

**IN THE BIOMEDICAL AND MATERIALS ENGINEERING COMPLEX  
COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of the atrium in Mars G. Fontana Laboratories, located at 116 W. 19th Avenue, is proposed.

WHEREAS the renovation of the current buildings named Mars G. Fontana Laboratories and 140 W. 19th Avenue, and the new construction to be attached to the current buildings, will create a new facility for research labs, offices and classrooms to further collaboration among the Departments of Biomedical Engineering and Materials Science and Engineering; and

WHEREAS the new Mars G. Fontana Laboratories will transform the spaces where students, faculty and partners learn and innovate, with the close proximity to other campus collaborators leading to life-changing material innovations impacting health, transportation, energy and more; and

WHEREAS the College of Engineering desires to continue the historic partnership with American Electric Power and recognize their significant contributions to the college; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

**NOW THEREFORE**

**BE IT RESOLVED**, That in acknowledgement of the American Electric Power Foundation's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned atrium be named The American Electric Power Foundation Atrium for the life of the current facility.

**NAMING OF THE KOKOSING DESIGN STUDIO**

IN BOLZ HALL, COLLEGE OF ENGINEERING

Synopsis: Approval for the naming of Rooms 405, 410, 415, 420 and 430 in Bolz Hall, located at 2036 Neil Ave, is proposed.

WHEREAS the Department of Civil, Environmental and Geodetic Engineering is committed to providing opportunities for students to work in a professional environment before beginning their careers post-graduation; and

WHEREAS the renovations include new student-centered spaces to create an environment where students can collaborate with each other, faculty and industry professionals; and

WHEREAS the College of Engineering desires to recognize their partnership with Kokosing, Inc., which has provided significant contributions to the college; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Kokosing, Inc.'s philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that Rooms 405, 410, 415, 420 and 430 on the fourth floor of Bolz Hall be named The Kokosing Design Studio for the life of the current facility.

## NAMING OF MULTIPLE SPACES IN POSTLE HALL

### COLLEGE OF DENTISTRY

Synopsis: Approval for the naming of multiple spaces in Postle Hall, located at 305 West 12th Avenue, is proposed.

WHEREAS for more than 125 years, the College of Dentistry has embraced its public purpose of educating exceptionally capable and compassionate dentists, providing care to patients, conducting research at the forefront of dentistry and oral health, and serving the community; and

WHEREAS the transformative renovation includes high-tech classrooms, simulation laboratories, student practice space, and surgery clinics to expand the College's position as a national leader in dental education, research, and patient care; and

WHEREAS the donors listed below have provided significant contributions to support the College of Dentistry; and:

- Dr. Ronald & Joyce Erkis, Dr. Mark & Danette Kriwinsky, Dr. Paul & Dr. Judy Loper
- Mr. Kevin & Dr. Sally Zifer Lauterjung
- The Estate of Dr. Linn Newman
- Dr. Jeffrey L. Kleinman & Dr. Yana K. Kleinman
- Dr. Edward Maag & Mrs. Christine Maag
- Dr. Kevin Gannon
- Dr. John D. & Jeannine Davis
- Mark & Shayne Fixari
- Dr. Dominic A. & Helen M. Bitonte Family Foundation
- Delta Dental Foundation
- Dr. Ronald E. Myers & Kathleen A. Kiefer
- Dr. Robert & Shirley Caldwell
- Dr. James G. Zaletel & Bette A. Zaletel
- Dr. David Smeltzer
- Dr. James DeVengencie and Dr. Laura Pall DeVengencie
- Dr. Stan K. Doty
- Dr. Richard W. Ellinger
- Dr. Dillon Rhodenbaugh & Mrs. Beverly Rhodenbaugh
- Dr. David Rummel & Nancy Rummel
- Dr. Lawrence Tepe & Dr. Jan H. Tepe
- Dr. James B. Ford
- Dr. Robert Haring and Dr. Dale Anne Featheringham
- Dr. Scott Little & Diane Little
- Drs. Carlo and Angela Marino
- Dr. Alan & Rev. Elaine Mikesell
- Dr. Michael J. Bernard
- Dr. William W. Sikora & Dr. Christine Beebe Sikora
- Dr. George G. Brown & Julie Brown
- Dr. & Mrs. Joseph Shadeed
- Dr. Jonathan M. Ash and Dr. Dorene Sabulski Ash
- Dr. Matthew Messina and Denise Sheehy Messina
- Dr. & Mrs. Dennis V. Shaw
- Dr. Timothy W. Conley and Mrs. Sherry D. Conley
- Dr. & Mrs. Michael Caprenter

**NAMING OF MULTIPLE SPACES IN POSTLE HALL (cont'd)**

COLLEGE OF DENTISTRY

- Dr. Thomas & Mrs. Joyce Danford
- Dr. Nancy Dysinger
- Dr. Stephen Harlan
- Dr. Rick Hires
- Dr. and Mrs. Martin Holzinger
- Dr. and Mrs. Steven M. Klayman
- Dr. Pranav Patel
- Dr. Brett & Mrs. Cherie Pelok
- Dr. Curt Russell
- Dr. Rick & Anita, Nathan and Philip Silverman
- Dr. Marc & Elizabeth Fried
- The Stark County Dental Society Charitable Foundation, Inc.
- Dr. Jon & Brenda Stocker
- Dr. Timothy Voss
- Dr. David S Winston
- Dr. Missy Baker & Ms. Jane Coulman
- Dr. Frank F. Omerza
- Dr. Joseph & Susan Fonagy
- Dr. Robert Hinkle and The Bob & Peg Hinkle Family Fund of The Ohio Dental Association Foundation
- Dr. Urban Picard
- Dr. Michael P. DiMauro
- The Estate of Dr. Derrill Hablitzel
- Dr. and Mrs. Dante A. DeAngelo
- Dr. William S Clayton II and Nancy G Clayton
- The Estate of Dr. Thomas Stenger
- Dr. Joe & Mrs. Pauletta Crowley
- Dr. & Mrs. R. Rubin Gutarts
- Dr. Tara Haid
- Dr. Mitchell. I Henn
- Dr. Robert and Karen E. Haas
- Dr. Fredric A. Kaufman & Christie L. Kaufman
- Dr. and Mrs. Casey O'Connor
- Dr. Thomas and Christy Paumier
- Dr. Mark D. Raisch
- Dr. Lawrence P. Schmakel
- Dr. James L Soltys
- Dr. R. Jeffery and Mrs. Diana L. Stephens
- Louis and Holly Susi
- Dr. and Mrs. Clark White
- Dr. Robert H. Wright
- Dr. Gary R. Baughman
- John E. Rhodes
- Dr. Patrick M. Lloyd & Dr. Joyce F. Palik
- Dr. Timothy V. Kyger & Dr. Billie Sue Kyger

**NAMING OF MULTIPLE SPACES IN POSTLE HALL (cont'd)**

COLLEGE OF DENTISTRY

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, that in acknowledgement of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facilities the aforementioned spaces be named the following:

- Dr. Donald F. Bowers, Jr. Student Commons (Room 116)
- Mr. Kevin & Dr. Sally Zifer Lauterjung Radiology Reception Room (Room 327)
- Dr. Linn W. Newman Elevator Lobby (Room X001L)
- Dr. Jeffrey L. Kleinman & Dr. Yana K. Kleinman Classroom (Room 1160-1170)
- Dr. Edward Maag & Mrs. Christine Maag Classroom (Room 1180)
- Dr. Kevin Gannon & Dr. R. Jeffrey Gannon Café (Room 1310A)
- Dr. John D. Davis & Jeannine Davis and Dr. John Todd Davis & Christi Davis Elevator Lobby (Room X101L)
- Mark & Shayne Fixari Elevator Lobby (Room X115L)
- Dr. Dominic A. & Helen M. Bitonte Family Foundation Atrium (Room 1310)
- Delta Dental Foundation Ambulatory Surgery Center
- Dr. Ronald E. Myers & Kathleen A. Kiefer Mezzanine (Room X211C)
- Dr. Robert & Shirley Caldwell Elevator Lobby (Room X0021)
- Dr. James G. Zaletel & Bette A. Zaletel Elevator Lobby (Room X204L)
- The Smeltzer Family Operating Room (Room 2336B)
- Dr. James DeVengencie and Dr. Laura Pall DeVengencie Procedure Room (Room 2336A)
- Dr. Stan K. Doty Procedure Room (Room 2336F)
- Dr. Richard W. Ellinger Procedure Room (Room 2336G)
- The Rhodenbaugh Family Recovery Hall (Room X215C)
- Dr. David Rummel & Nancy Rummel Waiting Room (Room 2320)
- Dr. Lawrence Tepe & Dr. Jan H. Tepe and Dr. Rachel Tepe Twyman & Mr. Ted Twyman Vestibule (Room X200L)
- C. Evelyn & James R. Ford Pre/Post Op Area (Room 2326D)
- Dr. Robert Haring and Dr. Dale Anne Featheringham Elevator Lobby (Room X203L)
- Dr. Scott Little & Diane Little Elevator Lobby (Room X301L)
- Drs. Carlo and Angela Marino Dispensary (Room 3322)
- Dr. Alan & Rev. Elaine Mikesell Dispensary (Room 3323)
- Dr. Michael J. Bernard Student Clinic Check In Area (Room 3308A)
- Dr. William W. Sikora & Dr. Christine Beebe Sikora Student Clinic Check In (Room 3328A)
- Dr. George G. Brown & Julie Brown Clinic Director's Office (Room 3318A)
- Dr. & Mrs. Joseph Shadeed Student Clinic Operator (Room 3336-06)
- Dr. Jonathan M. Ash and Dr. Dorene Sabulski Ash Student Clinic Operator (Room 3336-03)
- Dr. Matthew Messina and Denise Sheehy Messina Student Clinic Operator (Room 3336-02)
- Dr. & Mrs. Dennis V. Shaw Student Clinic Operator (Room 3328-04)
- Dr. Timothy W. Conley and Mrs. Sherry D. Conley Student Clinic Operator (Room 3328-05)
- Dr. & Mrs Michael Carpenter Student Clinic Operator (Room 3328-02)
- Dr. Thomas & Joyce Danford Student Clinic Operator (Room 3335-01)
- Drs. Marion and Nancy Arbogast Student Clinic Operator (Room 3335-04)
- Dr. Stephen and Dr. Ben Harlan Student Clinic Operator (Room 3335-05)

**NAMING OF MULTIPLE SPACES IN POSTLE HALL (cont'd)**

**COLLEGE OF DENTISTRY**

- Dr. Rick Hires Student Clinic Operatory (Room 3329-01)
- Dr. and Mrs. Martin Holzinger Student Clinic Operatory (Room 3329-02)
- Dr. J. David Brilliant Student Clinic Operatory (Room 3301-01)
- Dr. Pranav Patel Student Clinic Operatory (Room 3309-01)
- Dr. Brett and Mrs. Cherie Pelok And Family, Alec, Andrew Student Clinic Operatory (Room 3319-01)
- Dr. Curt Russell Student Clinic Operatory (Room 3325-01)
- Dr. Rick & Anita, Nathan and Philip Silverman Student Clinic Operatory (Room 3302-02)
- Dr. Marc & Elizabeth Fried Student Clinic Operatory (Room 3304-02)
- The Stark County Dental Society Charitable Foundation, Inc. Student Clinic Operatory (Room 3308-02)
- Dr. Jon & Brenda Stocker Lima, Ohio Student Clinic Operatory (Room 3318-02)
- Benjamin Ryan Fleming Student Clinic Operatory (Room 3324-02)
- Dr. David S Winston Student Clinic Operatory (Room 3318-01)
- Dr. Bill Coulman Student Clinic Operatory (Room 3309-02)
- Dr. Frank F. Omerza Student Clinic Operatory (Room 3301-02)
- Dr. Joseph & Susan Fonagy Clinic Director's Office (Room 3324A)
- The Bob & Peg Hinkle Family Fund of the Ohio Dental Association Foundation Elevator Lobby (Room X401L)
- Dr. Clair F. "Bud" Picard Student Clinic Operatory (Room 4336-06)
- Dr. Michael P. DiMauro Student Clinic Operatory (Room 4336-03)
- Dr. Derrill A. Hablitzel Student Clinic Operatory (Room 4328-04)
- Dr. and Mrs. Dante A. DeAngelo Student Clinic Operatory (Room 4328-05)
- Dr. William S Clayton Elevator Lobby (Room X402L)
- Dr. Thomas E. Stenger Clinic Director's Office (Room 4318A)
- Dr. Joe & Pauletta Crowley Student Clinic Operatory (Room 4328-02)
- Dr. & Mrs. R. Rubin Gutarts Student Clinic Operatory (Room 4335-01)
- The Haid & Enghauser Family Student Clinic Operatory (Room 4335-04)
- Dr. Mitchell I. Henn Student Clinic Operatory (Room 4335-05)
- Dr. Robert and Karen E. Haas Student Clinic Operatory (Room 4329-01)
- Dr. Fredric A. Kaufman & Christie L. Kaufman Student Clinic Operatory (Room 4329-02)
- Dr. and Mrs. Casey O'Connor Student Clinic Operatory (Room 4301-01)
- Dr. Thomas and Christy Paumier Student Clinic Operatory (Room 4309-01)
- Dr. Mark D. Raisch Student Clinic Operatory (Room 4319-01)
- Dr. Lawrence P. Schmakel Student Clinic Operatory (Room 4325-01)
- Dr. James L Soltys Student Clinic Operatory (Room 4302-02)
- Dr. R. Jeffery and Mrs. Diana L. Stephens Student Clinic Operatory (Room 4308-02)
- Louis and Holly Susi Student Clinic Operatory (Room 4318-02)
- Dr. and Mrs. Clark White Student Clinic Operatory (Room 4324-02)
- Dr. Robert H. Wright Student Clinic Operatory (Room 4318-01)
- Dr. Gary R. Baughman Student Clinic Operatory (Room 4309-02)
- John E. Rhodes, Dr. John E. Rhodes Jr., Dr. Joseph M. Rhodes, Erica Mack Rhodes, Beverly Stein Black Student Clinic Operatory (Room 4301-02)
- Dr. Patrick M. Lloyd & Dr. Joyce F. Palik Clinic Director's Office (Room 4324A)
- Dr. Timothy V. Kyger & Dr. Billie Sue Kyger / Dr. Timothy F. Kyger & Lauren E. Kyger Student Clinic Director's Office (Room 4325A)

**NAMING OF HYDRAULICS LAB IN SKOU HALL**

**COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES**

Synopsis: Approval for the naming of the Hydraulics Lab (Room 140) in Skou Hall, located at 1889 Garrison Circle East, Wooster, Ohio, is proposed.

WHEREAS Ohio State ATI students have opportunities to learn via hands-on applied education in specialized facilities that yield real-world experience valuable to employers; and

WHEREAS Ohio State ATI's curriculum trains students in the study of hydraulic power transmission, the properties of hydraulic fluids, and their ability to convey power in fully-equipped fluid power and diesel system laboratories; and

WHEREAS friends of the College of Food, Agricultural and Environmental Sciences have provided significant support to the College in honor of former faculty member Greg Gordon, an instructor of these programs; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facility the aforementioned space shall be named the Greg Gordon Hydraulics Lab.

**NAMING OF THE CHARLES R. MORIN, JR.  
TEACHING AND RESEARCH LABORATORY**

IN THE BIOMEDICAL AND MATERIALS ENGINEERING COMPLEX  
COLLEGE OF ENGINEERING

Synopsis: Approval for the naming of the Level 1 Teaching and Research Laboratory (Room 1155) in Mars G. Fontana Laboratories, located at 116 W. 19th Avenue, is proposed.

WHEREAS the renovation of the current buildings named Mars G. Fontana Laboratories and 140 W. 19th Avenue, and the new construction to be attached to the current buildings, will create a new facility for research labs, offices and classrooms to further collaboration among the Departments of Biomedical Engineering and Materials Science and Engineering; and

WHEREAS the new Mars G. Fontana Laboratories will transform the spaces where students, faculty and partners learn and innovate, with the close proximity to other campus collaborators leading to life-changing material innovations impacting health, transportation, energy and more; and

WHEREAS the College of Engineering desires to recognize the support of Ms. Amy N. Morin Martin, Mr. Jason D. Morin, Ms. Karen L. Coleman and Ms. Kelly L. Beyer, who have decided to honor their late father by providing significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Ms. Amy N. Morin Martin, Mr. Jason D. Morin, Ms. Karen L. Coleman and Ms. Kelly L. Beyer's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned Level 1 Teaching and Research Laboratory (Room 1155) be named the Charles R. Morin, Jr. Teaching and Research Laboratory for the life of the current facility.



**NAMING OF THE JOHN RATLIFF RESEARCH LABORATORY**

**IN THE BIOMEDICAL AND MATERIALS ENGINEERING COMPLEX  
COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of the Level 4 Research Laboratory (Room 4155) in Mars G. Fontana Laboratories, located at 116 W. 19th Avenue, is proposed.

WHEREAS the renovation of the current buildings named Mars G. Fontana Laboratories and 140 W. 19th Avenue, and the new construction to be attached to the current buildings, will create a new facility for research labs, offices and classrooms to further collaboration among the Departments of Biomedical Engineering and Materials Science and Engineering; and

WHEREAS the new Mars G. Fontana Laboratories will transform the spaces where students, faculty and partners learn and innovate, with the close proximity to other campus collaborators leading to life-changing material innovations impacting health, transportation, energy and more; and

WHEREAS the College of Engineering desires to recognize the continued partnership with Dr. John L. Ratliff and his significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Dr. John L. Ratliff's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned Level 4 Research Laboratory (Room 4155) shall be named the John Ratliff Research Laboratory for the life of the current facility.

**NAMING OF THE GREG AND ROBIN COMFORT COLLABORATION AREAS**

IN BOLZ HALL, COLLEGE OF ENGINEERING

Synopsis: Approval for the naming of multiple collaboration spaces in Bolz Hall, located at 2036 Neil Ave, is proposed.

WHEREAS the Department of Civil, Environmental and Geodetic Engineering is committed to providing opportunities for students to work in a professional environment before beginning their careers post-graduation; and

WHEREAS the renovations include new student-centered spaces to create an environment where students can collaborate with each other, faculty, and industry professionals; and

WHEREAS the College of Engineering desires to recognize their partnership with Mr. Greg Comfort, who has provided significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Mr. Greg Comfort's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facilities the aforementioned collaboration spaces shall be named the following:

- Comfort Family Collaboration Space (Room 430)
- Collaboration Room 1 (Room 430A)
- Collaboration Room 2 (Room 430B)

## NAMING OF MULTIPLE SPACES IN BOLZ HALL

### COLLEGE OF ENGINEERING

Synopsis: Approval for the naming of multiple spaces in Bolz Hall, located at 2036 Neil Avenue, is proposed.

WHEREAS the Department of Civil, Environmental and Geodetic Engineering is committed to providing opportunities for students to work in a professional environment before beginning their careers post-graduation; and

WHEREAS the renovations include new student-centered spaces to create an environment where students can collaborate with each other, faculty, and industry professionals; and

WHEREAS the donors listed below have provided significant contributions to support the College of Engineering; and

- Michael F. Plahovinsak and Jennifer L. Plahovinsak
- Mr. Robert K. Redfield
- Patrick K. Ellis and Deborah S. Ellis

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of the aforementioned donors' philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that for the life of the physical facilities the aforementioned spaces be named the following:

- The Plahovinsak Family Advising Office (Room 438)
- Dr. Carolyn J. Merry Student Advising Office (Room 440)
- Patrick ('75) & Deborah Ellis Huddle Room (Room 424)

**NAMING OF THE CINDY & LARRY HILSHEIMER CONFERENCE ROOM**

IN THE JAMESON CRANE SPORTS MEDICINE INSTITUTE  
WEXNER MEDICAL CENTER

Synopsis: Approval for the naming of the conference room (Room 2202) in the Jameson Crane Sports Medicine Institute located at 2835 Fred Taylor Drive, is proposed.

WHEREAS the Jameson Crane Sports Medicine Institute is the Midwest's largest and most advanced sports medicine facility, serving as the home of innovation and discovery in helping people improve their athletic performance, recover from injury and prevent future injuries; and

WHEREAS the Institute's research is focused on learning what causes athletic injuries, developing preventive interventions and enhancing how we get patients back to an active lifestyle, relying on a collaborative effort between engineers, athletic trainers, physical therapists, physicians and coaches; and

WHEREAS Cindy and Larry Hilsheimer have provided significant contributions to the Jameson Crane Sports Medicine Institute; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Cindy and Larry Hilsheimer's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned conference room (Room 2202) be named the Cindy & Larry Hilsheimer Conference Room for the life of the current facility.

**NAMING OF THE SMARIGA FAMILY CAFE**

**IN BOLZ HALL, COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of Room 427 in Bolz Hall, located at 2036 Neil Ave, is proposed.

WHEREAS the Department of Civil, Environmental and Geodetic Engineering is committed to providing opportunities for students to work in a professional environment before beginning their careers post-graduation; and

WHEREAS the renovations include new student-centered spaces to create an environment where students can collaborate with each other, faculty, and industry professionals; and

WHEREAS the College of Engineering desires to recognize their partnership with Mr. Edward G. Smariga and Ms. Pam D. Smariga, who have provided significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Mr. Edward G. Smariga and Ms. Pam D. Smariga's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that Room 427 of Bolz Hall be named the Smariga Family Cafe for the life of the current facility.

**NAMING OF THE ROBERT E. SCHAFRIK RESEARCH LABORATORY**

**IN THE BIOMEDICAL AND MATERIALS ENGINEERING COMPLEX  
COLLEGE OF ENGINEERING**

Synopsis: Approval for the naming of the Lower Level Research Laboratory (Room 0162) in Mars G. Fontana Laboratories, located at 116 W. 19th Avenue, is proposed.

WHEREAS the renovation of the current buildings named Mars G. Fontana Laboratories and 140 W. 19th Avenue, and the new construction to be attached to the current buildings, will create a new facility for research labs, offices and classrooms to further collaboration among the Departments of Biomedical Engineering and Materials Science and Engineering; and

WHEREAS the new Mars G. Fontana Laboratories will transform the spaces where students, faculty and partners learn and innovate, with the close proximity to other campus collaborators leading to life-changing material innovations impacting health, transportation, energy and more; and

WHEREAS the College of Engineering desires to recognize the support of Dr. Robert E. Schafrik and his significant contributions to the College; and

WHEREAS the naming has been reviewed according to the approval process outlined in the Naming Guidelines policy:

NOW THEREFORE

BE IT RESOLVED, That in acknowledgement of Dr. Robert E. Schafrik's philanthropic support, the Board of Trustees hereby approves, in accordance with paragraph (D) of rule 3335-1-08 of the Ohio Administrative Code, that the aforementioned Lower Level Research Laboratory (Room 0162) be named the Robert E. Schafrik Research Laboratory for the life of the current facility.

**APPROVAL OF NAMING OF UNIVERSITY SPACES AND ENTITIES POLICY**

Synopsis: Approval of a revision to the university's naming policy is proposed.

WHEREAS The Ohio State University names entities and spaces in recognition of philanthropic contributions, to honor service or commitment to the university, and for administrative purposes, and the policy is designed to help foster positive recognition of individuals and organizations while also protecting the university's integrity and reputation; and

WHEREAS physical spaces and academic entities shall be named by the Board of Trustees following recommendations submitted by the President's Cabinet and Senior Vice President for Advancement; and

WHEREAS it is desirable to clarify the policy and procedures that govern the definition, review, approval, and, when appropriate, the revision or removal of named spaces and entities; and

WHEREAS the President's Cabinet, the Senior Management Council, the Senior Vice President for Advancement, and other involved and interested individuals have reviewed and approved the attached naming policy in accordance with the University's standard approach to policy review; and

WHEREAS the Board of Trustees has a history of approving the naming policy (formerly entitled Naming Guidelines), including most recently in November 2013; and

WHEREAS the University's standard policy review and approval process is sufficient for future policy changes and the Board of Trustees may be consulted but will not need to approve future policy changes:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the attached Naming of University Spaces and Entities Policy, proposed to be effective July 1, 2020.

**APPROVAL OF FISCAL YEAR 2021  
TUITION AND MANDATORY FEES**

Synopsis: Approval of instructional and mandatory fees and non-residential and international surcharges for undergraduate and graduate students at all campuses of The Ohio State University for the Fiscal Year 2021, is proposed.

WHEREAS the Board of Trustees of The Ohio State University supports the university's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS the state budget contains higher education funding through the State Share of Instruction and special purpose appropriations; and

WHEREAS the university established the Ohio State Tuition Guarantee program in Fiscal Year 2018, which sets the cost of tuition, mandatory fees, and room and board rates for each incoming class of Ohio resident students for four years; and

WHEREAS Ohio resident students in the Tuition Guarantee cohorts that began in Fiscal Years 2018, 2019 and 2020 will continue at the rates established for their cohorts and will therefore see no change (0%) in their tuition, mandatory fees, and room and board rates for Fiscal Year 2021; and

WHEREAS new first-year Ohio resident undergraduate students enrolled at all campuses in 2020-21 will be part of a new Ohio State Tuition Guarantee cohort; and

WHEREAS Ohio Revised Code 3345.48 establishes that institutions with tuition guarantee programs may increase the instructional and mandatory fees for each incoming class of first-year undergraduate students by the total of inflation (2.1% for fiscal year 2021 as defined by the 36-month average of the Consumer Price Index-Urban) and any tuition flexibility (2.0%) allowed under the State of Ohio biennial budget bill; and

WHEREAS the university administration now presents recommendations for tuition and mandatory fees and non-residential and international surcharges for the Columbus, Lima, Mansfield, Marion and Newark campuses and for the Agricultural Technical Institute (ATI) at Wooster for the Fiscal Year 2021:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the recommendation of the administration and hereby approves rates for the 2020-21 academic year for all campuses, effective Autumn semester 2020, as follows and as outlined in the attached document:

- That tuition, mandatory fees, housing rates and dining rates will be part of the Ohio State Tuition Guarantee for new first-year Ohio resident undergraduate students, and that tuition (the instructional fee and general fee) for this cohort will increase by 4.1%. Changes to housing and dining rates are addressed in the Fiscal Year 2021 User Fees and Charges resolution; and



**APPROVAL OF FISCAL YEAR 2021  
TUITION AND MANDATORY FEES (CONTINUED)**

- That tuition and mandatory fees for continuing Ohio resident undergraduate students — including previous Tuition Guarantee cohorts and students who began prior to the creation of the Ohio State Tuition Guarantee — will not increase; and
- That instructional and mandatory fees for graduate programs will not increase. Changes to differential fees for certain programs are addressed in the Fiscal Year 2021 User Fees and Charges resolution; and
- That the non-resident surcharge for undergraduates and most graduate students will be increased by 4.8%. Exceptions for certain graduate programs are addressed in the Fiscal Year 2021 User Fees and Charges resolution; and
- That the international surcharge for undergraduate students will not increase (0% change); and
- That the distance learning non-resident surcharge will increase by \$3,580 for a student taking all online course(s) over an entire semester and not pursuing an online degree or certificate program; and
- That the distance learning non-resident surcharge will increase by \$390 for a student pursuing an online degree or certificate program.

**APPROVAL OF FISCAL YEAR 2021  
USER FEES AND CHARGES**

Synopsis: User fees and charges at the Columbus and regional campuses of The Ohio State University for Fiscal Year 2021, is proposed.

WHEREAS the Board of Trustees of The Ohio State University supports the university's continued implementation of the Academic Plan and its initiatives to meet the needs of Ohio State students; and

WHEREAS the university is committed to access, affordability and excellence; and

WHEREAS consultations have taken place within the university to determine the appropriate fees for graduate and professional programs, housing and dining charges, and student health insurance charges, as described in the accompanying text and tables, which have been reviewed and recommended:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the recommendation of the administration for the following rates, which are outlined in the attached document and will be effective Autumn semester 2020:

- Graduate and professional fees, including differential instruction, clinic and tuition deposit, as well as the non-resident surcharge; and
- Housing and dining plans; and
- Student health insurance plan.

**APPROVAL OF INTERIM OPERATING BUDGET FOR FISCAL YEAR 2021**

Synopsis: Authorization to make expenditures in fiscal year 2021, is proposed.

WHEREAS the university is still finalizing its operating budget for fiscal year 2021 to include the impact of the COVID-19 pandemic on university operations and the State of Ohio funding levels for state institutions of higher education for fiscal year 2021 is still pending; and

WHEREAS this will allow the university to continue to advance the academic and financial goals during the period from July 1, 2020 through August 31, 2020; and

WHEREAS it is necessary to continue operating expenditures, including payment of faculty and staff, operation of the Wexner Medical Center and other auxiliaries and student instructional and support services prior to the time that the fiscal year 2021 operating budget is finalized and adopted; and

WHEREAS the Audit, Compliance and Finance Committee has reviewed and recommends for approval the interim operating budget and:

NOW THEREFORE

BE IT RESOLVED That the Board of Trustees hereby authorizes the university to make operating expenditures consistent with the interim operating budget, pending the adoption of the fiscal year 2021 operating budget at the August 2020 Board of Trustees meeting.

**AUTHORIZATION FOR DESIGNATED OFFICIALS TO BUY, SELL,  
ASSIGN AND TRANSFER SECURITIES**

**ALSO TO DEPOSIT OR WITHDRAW FUNDS  
FROM BANK AND INVESTMENT ACCOUNTS, TO DESIGNATE DEPOSITORIES  
AND TO EXECUTE TREASURY- AND INSURANCE-RELATED AGREEMENTS**

Synopsis: Authorization for designated officials to buy, sell, assign and transfer securities, to deposit or withdraw funds from bank and investment accounts held in the name of The Ohio State University, to designate depositories and to execute treasury- and insurance-related agreements, is proposed.

WHEREAS designated officials of the university buy, sell, assign and transfer stocks, bonds and other financial instruments owned by The Ohio State University; and

WHEREAS various financial institutions are designated as depositories of The Ohio State University; and

WHEREAS accounts at various financial institutions are opened and maintained in the name of The Ohio State University; and

WHEREAS designated officials of the university are responsible for the procurement and management of the university's insurance programs:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes the university treasurer and/or the university senior vice president for Business and Finance or their designees to buy, sell, assign and transfer any and all stocks, bonds, evidences of interest and/or indebtedness, rights and options to acquire or to sell the same, and all other securities corporate or otherwise, standing in the name of or belonging to The Ohio State University in any capacity; and

BE IT FURTHER RESOLVED, That the university treasurer and/or the university senior vice president for Business and Finance or their designees be authorized to designate various financial institutions as depositories and/or custodians for The Ohio State University, and to open and maintain accounts and enter into agreements at institutions providing financial products and services in the name of The Ohio State University; to engage in and sign agreements for bank financing, underwriting, brokerage, leasing, equipment financing, deposits, foreign currency exchange, hedging vehicles including forwards, futures, swaps and options, financial advisory services; and

BE IT FURTHER RESOLVED, That the university treasurer and/or the university senior vice president for Business and Finance or their designees be authorized, on behalf of The Ohio State University and in its name, to sign checks, drafts, notes, bills of exchange, letters of credit, acceptances, electronic fund transfers or other orders for the payment of money from said accounts; to endorse in writing or by stamp checks, notes, bills, certificates of deposit, or other instruments owned or held by the university for deposit in said accounts or for collection or discount by said banks; to accept drafts, acceptances and other instruments payable to said banks; to waive, demand, protest, file notice of protest, or dishonor any check, note, bill, draft, or other instrument made, drawn, or endorsed by the university; and

**AUTHORIZATION FOR DESIGNATED OFFICIALS TO BUY, SELL  
ASSIGN AND TRANSFER SECURITIES (cont'd)**

BE IT FURTHER RESOLVED, That the university treasurer and/or the university senior vice president for Business and Finance or their designees be authorized to engage in insurance-related transactions and sign insurance-related agreements to ensure coverage that best fits the needs of The Ohio State University to include, but not be limited to, insurance carrier, consulting and broker agreements, emergency response services, and third-party claim administrator contracts.

**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY**

Synopsis: Extension of authorization for the issuance and sale of general receipts obligations in an aggregate principal amount not to exceed \$1 billion, for the purposes of financing, on an interim or permanent basis, the costs of certain University capital improvement projects, refunding the outstanding principal amount of current obligations of the University, permitting the establishment of Multiyear Debt Issuance Program II and paying costs and expenses associated with the issuance of such debt, and authorization for amendments to the existing trust indenture and other documents as required, is proposed.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue its obligations to pay the costs of certain "facilities," as defined in the Act, and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of its obligations all or a specified part of its "available receipts," as defined in the Act (the "General Receipts") in priority to all other expenses, claims or payments; (c) to covenant that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985A Bond Resolution"), and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as trustee (the "Original Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution"), amended and restated the Original Indenture, as supplemented, in accordance with the terms thereof, by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Original Trustee; and

WHEREAS the Bank of New York Mellon Trust Company, N.A. (the "Trustee") has succeeded the Original Trustee as trustee under the Amended and Restated Trust Indenture; and

WHEREAS pursuant to the terms of a resolution adopted by the Board on June 5, 2015, a resolution adopted by the Board on June 9, 2017 and a resolution adopted by the Board on June 6, 2018 (collectively, the "Program Resolution"), the University authorized the issuance of The Ohio State University General Receipts Bonds and Commercial Paper Notes (the "Senior Obligations") and subordinated bonds and notes (the "Subordinated Obligations" and, together with the Senior Obligations, the "Obligations" ) to be issued pursuant to the Amended and Restated Trust Indenture in an aggregate amount not to exceed \$1 billion by no later than June 30, 2020; and

**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY (cont'd)**

WHEREAS the Amended and Restated Trust Indenture provides that Obligations may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution (as defined therein) adopted by the Board and secured pursuant to the terms of a Supplemental Indenture (as defined therein), with respect to such issue (the Amended and Restated Trust Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS in furtherance of and pursuant to the Program Resolution and the Indenture, the University entered into the Multiyear Debt Issuance Program Supplement to Amended and Restated Trust Indenture dated as of March 1, 2016; and

WHEREAS the University has issued \$600 million of Senior Obligations pursuant to such Program Resolution of the \$1 Billion authorized by the Program Resolution; and

WHEREAS the University has from time to time authorized the issuance of the Obligations listed on Schedule 1 attached hereto and made a part hereof pursuant to the Series 1985 A Bond Resolution, the Original Indenture, the 1999 General Bond Resolution, the Amended and Restated Trust Indenture, various supplements to the Original Indenture and the Amended and Restated Trust Indenture and various Series Resolutions; and

WHEREAS the Board has determined that it is in the best interests of the University to extend authorization of the issuance of Obligations, in one or more series, for the purposes of financing, on an interim or permanent basis, the costs of University Facilities, refunding Outstanding Obligations (as described below) of the University and paying costs and expenses associated with the issuance of such Obligations;

WHEREAS the Board finds that it is in the best interest of the University to promote administrative convenience, enhance sound debt management and improve efficiency in connection with the issuance of Obligations of the University, and that the aforementioned extension of authorization shall be accomplished through the establishment of the Multiyear Debt Issuance Program II; and

WHEREAS the Board desires to make provisions for the issuance of the Obligations and the payment of Debt Service Charges thereon and the securing thereof by this Resolution (hereinafter referred to as the "Program II Resolution") and the supplement(s) to the Amended and Restated Trust Indenture, including but not limited to the Multiyear Debt Issuance Supplement to Amended and Restated Trust Indenture (as described below), all as provided by this Program II Resolution, with all terms used herein with initial capitalization where the rules of grammar would not otherwise so require and not defined herein having the meanings given them in the Indenture:

NOW THEREFORE

**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY (cont'd)**

BE IT RESOLVED, That the Board of Trustees of The Ohio State University hereby extends the authorization provided in the Program Resolution to issue Obligations in an aggregate principal amount not to exceed \$400 million, which is the remaining unissued balance of the \$1 billion originally authorized by the Program Resolution, for the purposes as set forth in the recitals to this Program II Resolution, it being the express intention of this Board that the extension of authorization herein shall not be interpreted to constitute an authorization for the issuance of any Obligations in excess of the aforesaid \$400 million; provided, however, that the foregoing limitation on the amount of Obligations that may be issued shall not apply to (i) any Bonds or Notes authorized to be issued under this Program II Resolution to retire or refund any Obligations previously issued under the Program Resolution, this Program II Resolution, or any other Outstanding Obligations listed on Schedule 1 attached hereto and made a part hereof, or (ii) any capital lease or other type of indebtedness that does not constitute an Obligation as defined in the Indenture and is not issued pursuant to the Indenture, and, (iii) provided, further, that any Obligation or indebtedness described in (i) and (ii) above shall be excluded for purposes of calculating the total amount of Obligations issued pursuant to the authorization provided in this Program II Resolution; and

BE IT FURTHER RESOLVED, That the authority to issue any Obligations authorized by this Program II Resolution shall be in effect through June 30, 2023; and

BE IT FURTHER RESOLVED, That the Board of Trustees hereby authorizes the President and Senior Vice President for Business and Finance, or either of them, upon consultation with the Chair of the Finance Committee of this Board and upon consultation with the Senior Vice President for Legal Affairs and General Counsel and any outside counsel retained for this purpose, to determine (a) the time or times that any of the Obligations shall be issued as provided in this Program II Resolution, (b) the principal amount, subject to the limitations prescribed herein, and type of debt to be issued from time to time, (c) the rate or rates of interest to be borne by such Obligations, whether fixed or variable; provided, however, that in no event shall the interest rate on any series of Obligations exceed eight percent (8.00%) per annum, (d) whether any interest rates shall be fixed or variable, (e) the maturity or maturities of any of the Obligations and (f) whether and to what extent any series of such Obligations shall be Senior Obligations or Subordinated Obligations, any or all of which terms, as well as any terms required by Section 2.02 of the Indenture, may be set forth in one or more Certificates of Award executed and delivered by the President and Senior Vice President for Business and Finance, or either of them, in connection with the issuance and sale of the Obligations, the execution and delivery of such Certificates of Award and any of them being hereby authorized; and



**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY (cont'd)**

BE IT FURTHER RESOLVED, That, with respect to any Obligations issued on a tax exempt basis under the federal income tax laws, the President and Senior Vice President for Business and Finance, or either of them, is hereby authorized and directed (a) to make or effect any election, selection, designation, choice, consent, approval or waiver on behalf of the University with respect to the Obligations as permitted or required to be made or given under the federal income tax laws, for the purpose of assuring, enhancing or protecting favorable tax treatment or the status of the Obligations or interest thereon or assisting compliance with requirements for that purpose, reducing the burden or expense of such compliance, reducing any rebate amount or any payment of penalties, or making any payments of special amounts in lieu of making computations to determine, or paying, any excess earnings as rebate, or obviating those amounts or payments on behalf of the University; (b) to take any and all actions, make or obtain calculations, and make or give reports, covenants and certifications of and on behalf of the University, as may be appropriate to assure the status of the Obligations as Tax Exempt Bonds; and (c) to give an appropriate certificate on behalf of the University for inclusion in the transcript of proceedings setting forth the facts, estimates and circumstances, and reasonable expectations of the University pertaining to Section 148 of the Internal Revenue Code of 1986, as amended (the "Code") and the Regulations, and the representations, warranties and covenants of the University regarding compliance by the University with Sections 141 through 150 of the Code and the Regulations, as applicable; and

BE IT FURTHER RESOLVED, That the President and Senior Vice President for Business and Finance, or either of them, is authorized to negotiate and execute, on behalf of the University and this Board, a supplemental indenture to the Amended and Restated Trust Indenture to be denominated the "Multiyear Debt Issuance Supplemental Indenture to Amended and Restated Supplemental Indenture – Program II", any amendments or supplements thereto or the Amended and Restated Indenture, any purchase contract for the sale of the Obligations, any escrow agreement, continuing disclosure agreement, any interest rate management or hedging contract, credit support or enhancement contract, any official statement or other offering document relating to the offer and sale of the Obligations, the use and distribution of which is hereby authorized and any other agreement, receipt, certificate or document (collectively the "Transaction Documents") as the President or Senior Vice President for Business and Finance or either of them shall deem (a) necessary or appropriate to carry out this Program II Resolution and to provide for the most beneficial commercial terms reasonably available to the University and (b) not materially adverse to the University, with the execution by the President or Senior Vice President for Business and Finance of any such Transaction Documents constituting the conclusive determination of such officer that the terms of such Transaction Document are necessary and appropriate and not materially adverse to the University, it being expressly provided that any payment obligation whatsoever with respect to any Transaction Documents may, if so agreed to by the officer of the University executing the same be secured on a pari passu basis as the Obligations and the Debt Service Charges with respect thereto to which any such Transaction Document relates; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance shall report to the Board's Audit, Compliance and Finance Committee on a regular basis all actions taken in pursuant to this Program II Resolution; and

BE IT FURTHER RESOLVED, That it is found and determined that all formal actions of this Board concerning and relating to the adoption of this Program II Resolution were adopted in an open meeting of this Board, and that all deliberations of this Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code; and

**EXTENSION OF PRIOR AUTHORIZATION FOR  
THE ISSUANCE OF GENERAL RECEIPTS OBLIGATIONS AND AUTHORIZATION OF MULTIYEAR  
DEBT ISSUANCE PROGRAM II OF THE UNIVERSITY (cont'd)**

BE IT FURTHER RESOLVED, That this Program II Resolution shall take effect and be in force on July 1, 2020.

Schedule 1

<b>General Receipts Bonds</b>	<b>Original Amount</b>	<b>Amount Outstanding</b>
Series 1997 Bonds	\$79,540,000	\$15,610,000
Series 1999 B Bonds	\$83,400,000	\$8,200,000
Series 2001 Bonds	\$76,950,000	\$49,845,000
Series 2003 C Bonds	\$121,295,000	\$39,955,000
Series 2005 B Bonds	\$129,990,000	\$53,545,000
Series 2008 B Bonds	\$127,770,000	\$77,730,000
Series 2010 A Bonds	\$241,170,000	\$6,125,000
Series 2010 C Bonds	\$654,785,000	\$654,785,000
Series 2010 D Bonds	\$88,335,000	\$ 84,625,000
Series 2010 E Bonds	\$150,000,000	\$150,000,000
Series 2011 A Bonds	\$500,000,000	\$500,000,000
Series 2012 A Bonds	\$91,165,000	\$49,310,000
Series 2012 B Bonds	\$23,170,000	\$10,525,000
Series 2013 A Bonds	\$337,955,000	\$337,955,000
Series 2014 A Bonds	\$135,985,000	\$124,250,000
Series 2014 B-1 Bonds	\$75,000,000	\$75,000,000
Series 2014 B-2 Bonds	\$75,000,000	\$75,000,000
Series 2016 A Bonds	\$600,000,000	\$600,000,000
Series 2016 B Bonds	\$30,875,000	\$17,535,000
Series 2017 Bonds	\$69,950,000	\$69,915,000
Total:	\$3,909,930,000	\$2,992,910,000

**REAPPOINTMENTS TO THE SELF INSURANCE BOARD**

Synopsis: Reappointment of members to the Self Insurance Board is proposed.

WHEREAS the Board of Trustees directed that a Self Insurance Board be established to oversee the University Self Insurance Program; and

WHEREAS all members of the Self Insurance Board are appointed by The Ohio State University Board of Trustees upon recommendation of the President; and

WHEREAS the terms of members Michael Papadakis, Mark Larmore, and Douglas Robinette expire on June 30, 2020:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves that the following individuals be reappointed as members of the Self Insurance Board effective June 30, 2020, for the terms specified below:

- Michael Papadakis, term ending June 30, 2022
- Mark Larmore, term ending June 30, 2022
- Douglas Robinette, term ending June 30, 2022

BE IT FURTHER RESOLVED, That this appointment entitles each member to any immunity, insurance or indemnity protection to which officers and employees of the University are, or hereafter may become, entitled.