

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FORTY-FOURTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 10, 1998

The Board of Trustees met at its regular monthly meeting on Friday, July 10, 1998, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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July 10, 1998 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on July 10, 1998, at 11:10 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, George A. Skestos, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Soraya Rofagha, and Allyson Lowe. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

Mr. Celeste:

First and foremost, I would like to welcome President Kirwan. We are delighted, Brit, that you are officially on board here and have hit the ground running. As your first official duty at this Board meeting, we would like to hear your report.

President William E. Kirwan:

Thank you very much. Mr. Chairman, members of the Board, and ladies and gentlemen, this is a moment I have looked forward to ever since January 5, when I was announced as the 12th president of The Ohio State University. My tenure as president is no longer something to be thought of in the future tense. It has arrived.

Patty and I have received an extraordinary welcome from the University, community, the City of Columbus, and indeed, from the State of Ohio. Chairman Celeste, Mayor Lashutka, Trustees Judge Duncan and Allyson Lowe, the Men's Glee Club, and more than 100 of my new colleagues were on hand to greet me when I drove up to Bricker Hall on my first day. Of course, I couldn't find a place to park -- but that is a story for another day! Governor Voinovich and other state leaders called to welcome Patty and me, and wish us well.

Since that first day, we've been invited by the Mayor of Columbus to Red, White, and Boom, and by the Mayor of Bexley to ride in the Fourth of July parade. We've been asked to go out to dinner; to be members of several prestigious civic organizations; to attend Picnic with the Pops; and to many other special events.

Remarkably, in just twelve days, we have been made to feel part of this community. We are deeply touched by the kindness and warmth of our reception by the Trustees, the faculty, the staff, and students -- quite frankly, by everyone we have come in contact with since arriving in Columbus.

The warmth and graciousness of this community and the outpouring of support have only strengthened our resolve to do everything we can to justify the enormous honor you -- the Trustees -- have bestowed on us by asking us to serve as President and President's spouse for this great University.

In this vein, I want to take the opportunity of my first Trustees meeting to share with the Board and others in the University family some initial thoughts about the priorities I want to pursue and the issues I would like for us to address in the months and years ahead.

It is important that I stress the word "initial," because setting priorities and developing plans and strategies to address these priorities is not a solo performance. To be meaningful for the University, priorities must reflect the shared aspirations of the Trustees, deans and faculty, students, administrators and staff members, alumni, and the public we are privileged to serve.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

I have already spent many hours listening to others' ideas about this great University. I have read the impressive planning documents produced by dedicated committees of faculty, students, staff, and alumni. Based on these initial efforts to gauge the values and interests of this community, I am convinced that there is a remarkable congruence between the issues I feel most passionately about and those this University has identified as among its highest priorities.

But, I assure you, I will continue to meet with representative groups, both internal and external to the University, and refine these initial thoughts before I ask for your endorsement of the overarching priorities of my administration.

At the outset, let me say that I share the Board's aspirations for the advancement of The Ohio State University to the very top ranks of American higher education. Each day, as I learn more about the University, I am even more convinced that this goal is not only attainable, but within our grasp.

To move to the next level of excellence, however, we must have sustained, focused efforts in areas widely accepted as most vital to our success. In setting our priorities, I believe we should be highly selective so that we can ensure a focused agenda that will have measurable impact on the quality of the University and its contributions to the state. At the same time, our priorities must be overarching because the mission of this University is, perhaps, as broad as any university in the nation.

As my initial thoughts, I would like to suggest four areas I deem most vital to the achievement of our high aspirations for Ohio State.

First, I believe we must strengthen our efforts to elevate the quality and status of Ohio State's undergraduate programs. Through well-conceived plans, Ohio State has already made progress in this area. But more needs to be done.

Toward this end, I will work to support a culture within the University where all faculty and staff members take personal interest and pride in the attention given to undergraduates, inside and outside the classroom. This will require a serious commitment by the senior leadership of the University, just as it will depend upon a reward structure that recognizes efforts to advance this priority.

Success here also will require us to intensify our recruitment efforts, improve our advising system, and increase the amount of personal contact between the faculty and undergraduate students. Our success in this area will be measured, in part, by the quality of our entering freshman class and the retention and graduation rates of all of our undergraduates. As the state's flagship university, we should not accept anything less than that our retention and graduation rates set the standard for all public universities in Ohio.

Second, I am deeply committed to the rigorous program of academic enrichment through selective investments in research and graduate programs already in place at Ohio State. This University can take justifiable pride in the processes it has developed to identify and support programs with the potential for distinction on an international scale. To my knowledge, no university has developed a more effective process for this purpose.

I wholeheartedly support the Trustees' commitment to the 20/10 plan -- that is, having 10 academic programs ranked in the Top 10 and an additional 20 in the Top 20 by the year 2010. This plan, and the determination of campus leaders

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

to disproportionately enhance programs with potential for national eminence, will require us to marshal the resources necessary to sustain distinction at this level.

In part, this will occur by judicious reallocation of our resources and by a more entrepreneurial approach to resource development. The report of the Research Commission, chaired by Dean Bernadine Healy, should be enormously helpful to us in this effort. But success here also will require a larger state investment. The development and implementation of a strategy to persuade the state of the importance of making this investment must be one of our first orders of business.

Third, a commitment to diversity was a hallmark of my tenure at the University of Maryland, and it will continue to be a top priority for me at Ohio State. I have a deep personal belief in the value of diversity. What I need from the Trustees and others in the University family is your help in developing a clear sense of the most pressing diversity-related issues at Ohio State. And, I need your support in establishing an agenda that will enable Ohio State to become a model for the nation of a university that builds and measures its excellence in part through the diversity of its human resources.

Fourth, I believe the University must continue to expand the scope of its land-grant mission and address the important social, cultural, and economic issues of today and tomorrow. We must serve -- and serve well -- the changing needs of society. To be sure, the breadth and depth of the expertise at Ohio State is already making an enormous difference in the lives of our citizens. But we can -- and must -- do more.

Success in this area will require innovative, active partnerships that engage the University with elected officials, civic and business leaders, educators, and the public. We must make Ohio State more accessible to those across Ohio who seek our assistance and need information that only we, as a major national research university, can provide. This can be a daunting institution. We must ensure there are people and programs in place that open doors to collaboration.

Let me identify two programs which I find especially important to these efforts and reflect a broader engagement with the community than has been traditional for land-grant universities. The Science and Technology Campus is an exciting, high-risk venture. Through this initiative, we hope to create a growing hub of R & D and technology enterprise development activity in Central Ohio. While we are, of course, committed to great research that generates new knowledge, we must also engage in collaborative partnerships with business that turn great research into innovative products, that spawn new companies and that give birth to new industries.

What will it take for the Science and Technology Campus to succeed? Success will require a high level of commitment on the part of the Trustees, the president, and the faculty all working together. It will necessitate building stronger alliances with city, county, and state officials. It will require effective partnerships with the private sector and with venture capitalists. The Science and Technology Campus is a marvelous example of how this University can help our region and state build an economic base for the 21st century.

Campus Partners is another program that connects the University to its communities. Indeed, to understand the importance of an expanded land-grant mission, we need look no further than our own neighborhood.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

I am committed to the success of the Campus Partners initiative. The continued deterioration of the High Street area is an enormous threat to Ohio State. This was brought home to me in a recent conversation with a colleague who had been recruited by Ohio State. He decided not to accept an offer from us after driving along and east of High Street.

The quality of life in the near campus area influences our success as an institution. And it makes a significant difference to each person who lives and works in this community. We must seek to create a stable, viable campus environment that complements our academic objectives.

Furthermore, we must find ways to engage our faculty and students in addressing the challenges and opportunities of this urban setting in today's society. Campus Partners is broadly conceived and will facilitate such engagement.

For success with this initiative, I will need the Trustees' assistance in assembling a team with the talent, determination, and mandate necessary to transform Campus Partners from an exciting concept into positive action. While there is already evidence of success, we must seize this opportunity and quickly move forward.

These programs -- the Science and Technology Campus and Campus Partners -- are but two examples of innovative ways we express our land-grant mission. I hope and expect to see more examples of this kind of creative engagement.

It is vital to our success that the organizational structure of the University be consistent with accomplishing the four-part agenda I have just set forth. I have asked Dr. Frank Rhodes, past president of Cornell University and a highly respected leader in higher education, to head a consulting team that will work with us to review the University's upper-level administrative structure.

He and his colleagues will be here this summer and will consult extensively with Trustees, faculty leaders, student leaders, deans and vice presidents, and others in order to advise us on this important issue. The objective of this effort is to ensure that we are appropriately organized to achieve the goals we have set for this institution.

The four priorities I have set forth constitute an ambitious agenda. As I mentioned, I intend to consult widely with faculty, staff, students, and others in the Ohio State family to refine these priorities and to build consensus for the focus of our efforts in the years ahead. With your help and support, I expect us to be successful in this venture.

Mr. Chairman, I begin my tenure as president at a time when this University has considerable strength and a remarkable spirit. It clearly is an institution whose stature has increased in recent years, and this visible, measurable progress has not waned during the time of presidential transition. In fact, the momentum of this University has continued to build under the highly effective leadership of Interim President Dick Sisson.

I am the beneficiary of his sustained hard work during this transition. We all are beneficiaries of his thoughtful leadership and deep commitment to this University.

As Senior Vice President and Provost, Dick is regarded by his peers across the nation as one of higher education's leading chief academic officers. He adds to this expertise the passion of a native son and the loyalty of an Ohio State alumnus.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Dick has been an invaluable colleague to me -- and, fortunately, will continue to be so even after he steps down from his position as Provost. The dedication and love Dick and Willa have for this institution inspires and enriches all of us.

Dick, please accept my personal thanks and the gratitude of the entire Ohio State family for your extraordinary service as Interim President.

Mr. Chairman, that concludes my report.

Mr. Celeste:

That was quite a report and, hopefully, the Science and Technology Campus can take care of our technology for the next 10 years. Mr. President, as you know, the Board stands ready to assist you in the areas that you have outlined and we applaud you in your swift transition to "Head Buckeye."

We also join in your well-deserved praise of Dick Sisson's efforts as Interim President and appreciate your comments.

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CONSENT AGENDA

President Kirwan:

We have 24 resolutions to present to the Board for approval today. We would like to call for a separate vote on resolutions # 13, #18, and #24, but, unless there are any objections, I would like to ask for a motion to approve the remaining 21 resolutions on the consent agenda.

**AMENDMENTS TO THE
COMMITTEE APPOINTMENTS FOR 1998-99**

Resolution No. 99-1

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 1998-99 be amended as follows:

Educational Affairs Committee:

Michael F. Colley, Chair
George A. Skestos, Vice Chair
Daniel M. Slane
Soraya Rofagha

Agricultural Affairs Committee:

Daniel M. Slane, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Robert M. Duncan

Fiscal Affairs Committee:

James F. Patterson, Chair
Zuheir Sofia, Vice Chair
David L. Brennan
Tamala Longaberger

Investments Committee:

David L. Brennan, Chair
George A. Skestos, Vice Chair
James F. Patterson
John G. McCoy (Foundation Board)
Frank Wobst (Foundation Board)

Student Affairs Committee:

Tamala Longaberger, Chair
Soraya Rofagha, Vice Chair
Robert M. Duncan
Allyson M. Lowe

Affiliated Entities Committee:

Michael F. Colley, Chair
James F. Patterson, Vice Chair
~~Zuheir Sofia~~ ROBERT M. DUNCAN
George A. Skestos

**AMENDMENTS TO THE
COMMITTEE APPOINTMENTS FOR 1998-99 (contd)**

University Hospitals Board:
George A. Skestos
Zuheir Sofia Michael F. Colley (2 years)

Ohio State University Affiliates, Inc.:
Theodore S. Celeste (1 year)

George A. Skestos (3 years)

The Arthur G. James Cancer Hospital
and Research Institute Board:
Zuheir Sofia, Chair
Daniel M. Slane

Wexner Center Foundation Board:
Leslie H. Wexner (1999)
Tamala Longaberger*

University Managed Health Care System,
Inc., Board of Directors:
~~James F. Patterson~~
ROBERT M. DUNCAN

Inter-University Council
Robert M. Duncan

Research Foundation Board of Directors:
Tamala Longaberger

Personnel Committee:
Theodore S. Celeste, Chair
Michael F. Colley, Vice Chair
George A. Skestos

Science and Technology Campus
Board of Directors:
Theodore S. Celeste

University Foundation Ex Officio
Class of Directors:
David Brennan (1 year)
Tamala Longaberger (2 years)
Zuheir Sofia (3 years)

Regional Campus Boards:
Lima - Daniel M. Slane (2000)
Mansfield - James F. Patterson (2000)
Marion - Zuheir Sofia (2000)
Newark - Tamala Longaberger (1999)

*President's appointee

UNIVERSITY HOSPITALS BOARD REAPPOINTMENTS

Resolution No. 99-2

Synopsis: Approval of the reappointments of University Hospitals Board members is proposed.

WHEREAS the Board of Trustees on November 30, 1979, approved the establishment of a University Hospitals Board; and

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980, and has been subsequently amended; and

WHEREAS the membership of the Hospitals Board was amended at the June 6, 1997, Board of Trustees meeting to include the chair of the Integrated Faculty Practice Group; and

WHEREAS the terms of four general public members of the Hospitals Board have expired:

NOW THEREFORE

BE IT RESOLVED, That the following individuals be reappointed, as members of the University Hospitals Board, as follows:

UNIVERSITY HOSPITALS BOARD REAPPOINTMENTS (contd)

Reappointments as General Public Members, effective May 1, 1998, 3-year term

Shirley D. Bowser
Merom Brachman
Robert E.H. Rabold
Sarah Ross Soter

Appointment of Chair of the Integrated Faculty Practice Group , effective July 1, 1998 - June 30, 1999

Ernest L. Mazzaferri, M.D.

REGIONAL CAMPUS BOARDS -- APPOINTMENT/REAPPOINTMENTS

Resolution No. 99-3

Synopsis: Approval of nominees to The Ohio State University-Lima, Mansfield, and Newark Campus Boards is proposed.

WHEREAS the Board of Trustees on April 8, June 3, and July 8, 1994, approved the establishment of The Ohio State University-Lima, Mansfield, and Newark Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointment and reappointment to the Regional Campus Boards for the term as specified:

Lima Board Reappointments

Dan E. Fuhrman, 3-year term
Jaye E. McCain, 3-year term

Mansfield Board Appointment

Jennifer E. Unruh (Student Trustee), 1-year term

Newark Board Reappointments

Robert A. Barnes, 3-year term
Thomas A. Brannon, 3-year term
Earl Shurtz, 3-year term
Kori M. Pittman (Student Trustee), 1-year term

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Lima, Mansfield, and Newark Boards, effective July 1, 1998.

**ESTABLISHMENT OF A UNIVERSITY SENATE
FINANCIAL CONFLICTS OF INTEREST POLICY FOR FACULTY**

Resolution No. 99-4

WHEREAS faculty at The Ohio State University accept the obligation to avoid financial conflicts of interest in carrying out their professional work; and

WHEREAS a clear policy is needed to assist faculty members in meeting this obligation; and

WHEREAS a policy on financial conflicts of interest for faculty must be consistent with State and Federal laws and regulations; and

WHEREAS the development of a policy on financial conflicts of interest for faculty should involve substantial consultation with faculty members and with appropriate involvement by University governance bodies; and

WHEREAS this consultation and involvement has occurred;

WHEREAS the establishment of a University Senate Financial Conflicts of Interest Policy for Faculty has been approved by the University Senate at its June 6, 1998 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the attached proposal to establish a University Senate Financial Conflicts of Interest Policy for Faculty, effective immediately.

(See Appendix I for background information, page 69.)

REVISIONS TO THE PH.D. PROGRAM IN BIOCHEMISTRY

Resolution No. 99-5

WHEREAS the changes in the existing Ph.D. degree program will accommodate the merger of three existing programs in biochemistry, medical biochemistry, and the Ohio State Biochemistry Program (OSBP); and

WHEREAS this revision is in part a response to the Board of Regents review of all Ph.D. Programs in biological and biomedical sciences in that it develops a "single, coordinated program" in biochemistry at Ohio State [and naming OSBP as the mechanism for implementing that program]; and

WHEREAS the Deans of the Colleges participating in the program have reached agreement on the distribution of fiscal responsibility; and

WHEREAS the process has been consultative, and has the approval by vote of OSBP faculty members, the joint program was approved by a subcommittee consisting of representatives from the merged disciplines, and received the approval of the Council on Research and Graduate Studies, the Council on Academic Affairs, and was approved by the University Senate at its June 6, 1998 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the above proposal, effective immediately.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 99-6

Synopsis: Approval of the following amendments to the Rules of the University Faculty is recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on June 6, 1998:

Amended Rules

3335-3-21 Director of athletics.

- (A) ~~The director of athletics shall be a member of the faculty.~~ The director of athletics shall be responsible to the vice president for student and urban/community affairs and shall be appointed under the procedures outlined in rule 3335-3-35 of the Administrative Code. The athletic council (see rule 3335-5-485 of the Administrative Code) shall also be consulted.
- (B) Under policies established by the athletic council, the director of athletics shall administer the intercollegiate athletics program.
- (C) ~~The director shall have the duties of the chair of a department as prescribed in rule 3335-3-35 of the Administrative Code.~~
- (C) The athletic physical plant shall be under the concurrent jurisdiction of the department of intercollegiate athletics and the office of physical facilities. Athletic physical plant employees shall be under the jurisdiction of the department of intercollegiate athletics, which in consultation with the office of physical facilities shall be responsible for all normal maintenance and repairs. Major remodeling, renovation, construction, and other capital improvements shall be undertaken only with the prior approval of, and under the direction of, the office of physical facilities.

3335-9-10 Removal of entrance conditions.

Entrance conditions assessed against students at the time of admission or transfer to a college may be removed by the senior vice president and provost, when the dean of a college in which the conditioned student is registered has certified to the director of admissions that the deficiencies have been made up. The methods to be followed in making up entrance conditions shall have the joint approval of the director of admissions and the dean of the college concerned and, for undergraduate students, shall be in accordance with policies established by the council on academic affairs in consultation with the council on admission and registration. Removal of entrance conditions shall take precedence over the student's regular college schedule. If credits earned in the university are to be substituted for such deficiencies, the student must earn a grade of "A," "A-," "B+," "B," "B-," "C+," "C," "C-," "D+" or "D" in courses approved to remove admission conditions. ~~Five quarter credit hours and ten credit points shall be added to the graduation requirements of the conditioned student for each unit of entrance condition to be removed by this method. (B/T 4/4/97, B/T 7/10/98)~~

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

HONORARY DEGREES

Resolution No. 99-7

Synopsis: The awarding of honorary degrees to Elias Burstein and Chung-Hsin Chung is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Elias Burstein	Doctor of Science
Chung-Hsin Chung	Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

DEGREES AND CERTIFICATES - SUMMER QUARTER COMMENCEMENT

Resolution No. 99-8

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on September 3, 1998, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 99-9

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 5, 1998 meeting of the Board, including the following Appointments/Reappointments, Appointment/Reappointment of Chairpersons/Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, Promotion, and Emeritus Titles, as detailed in the University Budget be approved and the Medical Staff Appointments/Reappointments (The Arthur G. James Cancer Hospital and Research Institute) approved June 2, 1998, by The Arthur G. James Cancer Hospital and Research Institute Board, be ratified.

PERSONNEL ACTIONS (contd)

Appointments

Name: EDWARD J. RAY
Title: Interim Senior Vice President and Provost
Office: Academic Affairs
Effective: September 1, 1998
Present Position: Senior Vice Provost and CIO

Name: DARYL L. SIEDENTOP
Title: Interim Dean
College: Education
Term: July 1, 1998 through June 30, 1999
Present Position: Senior Associate Dean

Name: JESSIE LAI-SIM AU
Title: Distinguished University Professor
College: Pharmacy
Effective: October 1, 1998

Name: J. LAYNE MOORE
Title: Professor (Richard J. and Martha D. Denman Professorship for Clinical Research in Epilepsy)
College: Medicine and Public Health
Effective: July 1, 1998 through June 30, 2002

Name: RICHARD E. PETTY
Title: Distinguished University Professor
Department: Psychology
Effective: October 1, 1998

Name: RICHARD SISSON
Title: Professor (The Board of Trustees Chair in Comparative Politics)
Department: Political Science
Term: September 1, 1998 through August 31, 2003

Reappointments

Name: BARBARA RICH
Title: Interim Vice Provost for Minority Affairs
Office: Academic Affairs
Term: July 1, 1998 through June 30, 1999

Name: GERALD M. REAGAN
Title: Secretary of the University Senate
Office: Academic Affairs
Term: October 1, 1998 through September 30, 2001

Name: JAY B. BARNEY
Title: Professor (The Bank One Chair for Excellence in Corporate Strategy)
College: The Max M. Fisher College of Business
Term: October 1, 1998 through September 30, 2003

Name: FREDERICK H. DAVIDORF
Title: Professor (The Martha G. and Milton Staub Chair for Research in Ophthalmology)
College: Medicine and Public Health
Term: July 1, 1998 through June 30, 2002

PERSONNEL ACTIONS (contd)

Reappointments (contd)

Name: M. RONALD GLASER
Title: Professor (The Gilbert and Kathryn Mitchell Chair)
College: Medicine and Public Health
Term: July 1, 1998 through June 30, 2002

Name: CALVIN M. KUNIN
Title: Professor (Frank E. and Mary W. Pomerene Professorship in the Prevention and Treatment of Infectious Diseases)
College: Medicine and Public Health
Term: July 1, 1998 through June 30, 2002

Appointment of Chairpersons/Directors

July 1, 1998 through June 30, 1999

Anesthesiology	Michael B. Howie*
Cell Biology, Neurobiology and Anatomy	Robert M. DePhilip*
Industrial, Interior and Visual Communication Design	Susan King Roth*
School of Natural Resources	Gary W. Mullins*
School of Public Health	Antoinette J. Eaton*

July 1, 1998 through June 30, 2002

Mathematics	Peter D. March
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October 1, 1998 through September 30, 2002

Physics	William F. Saam
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Reappointment of Chairpersons/Directors

July 1, 1998 through June 30, 1999

Animal Sciences	David L. Zartman
Physiology	Jack A. Rall*
Psychiatry	Robert A. Bornstein*
Veterinary Teaching Hospital	Richard M. Bednarski

July 1, 1998 through June 30, 2002

Agricultural Technical Institute	William A. Anderson
Emergency Medicine	Douglas A. Rund
Food Science and Technology	Ken Lee
Medical Microbiology and Immunology	Caroline C. Whitacre
Physical Medicine and Rehabilitation	William S. Pease
School of Public Policy and Management	C. Ronald Huff

*Interim

Leaves of Absence Without Salary

HOWARD P. FINK, Professor, College of Law, effective Autumn Semester 1998 and Spring Semester 1999, to be a visiting professor at the University of San Diego School of Law.

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary (contd)

ALICE SILVERBERG, Professor, Department of Mathematics, effective Autumn Quarter 1998, Winter and Spring Quarter 1999, to accept an NSF POWRE grant to pursue her research at the University of California at Berkeley.

MARJORIE A. CAMBRE, Associate Professor, School of Educational Policy and Leadership, effective Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, for personal reasons.

KATHLEEN R. CONNER, Associate Professor, Department of Management and Human Resources, effective Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999.

THOMAS P. GALLANIS, JR., Assistant Professor, College of Law, effective Autumn Semester 1998, to be a visiting professor at the University of Michigan Law School.

Leave of Absence Without Salary -- Continuation

KARL C. RUBIN, Professor, Department of Mathematics, effective Autumn Quarter 1998, Winter and Spring Quarter 1999, to continue his work at Stanford University.

Professional Improvement Leave

ROBERT W. BRUEGGEMEIER, Chairperson and Professor, Division of Medicinal Chemistry and Pharmacognosy, effective Winter Quarter and Spring Quarter 1999.

Professional Improvement Leaves -- Change in Dates

STANLEY R. THOMPSON, Professor, Department of Agricultural, Environmental, and Development Economics, change leave from Autumn Quarter 1998, and Winter, Spring, and Summer Quarters 1999, to Summer Quarter and Autumn Quarter 1998, and Winter Quarter and Spring Quarter 1999.

ROY JOSHUA, Associate Professor, Department of Mathematics, change leave from Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to Spring Quarter and Autumn Quarter 1999, and Winter Quarter 2000.

Promotion

COLLEGE OF THE ARTS

Promotion to Professor

Mark D. Fullerton, History of Art - effective 7/1/98

COLLEGE OF MATHEMATICAL AND PHYSICAL SCIENCES

Promotion to Professor

Peter D. March, Mathematics - change of effective date to 7/1/98

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Research Institute)

May 8, 1998 through November 13, 1998

Anjan K. Ghosh, M.D., Associate Attending, Department of Anesthesiology
John A. Larry, M.D., Associate Attending Staff, Department of Medicine
Geoffrey Vaughan, M.D., Associate Attending Staff, Department of Medicine
Kelli Ann Cawley, M.D., Attending Staff, Department of Medicine
Robert E. Michler, M.D., Associate Attending Staff, Department of Surgery

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Research Institute) (contd)

May 8, 1998 through June 30, 1999

Michael Caligiuri, M.D., Attending Staff, Department of Medicine/Hem/Oncology
William E. Carson, III, M.D., Attending, Department of Surgical Oncology
Lynne A. Eaton, M.D., Attending Staff, Department of Gynecology/Oncology

Medical Staff Reappointments (The Arthur G. James Cancer Hospital and Research Institute)

July 1, 1998 through June 30, 2000

Raymond D. Magorien, M.D., Associate Attending Staff, Department of Medicine/Cardiology
William E. Maher, M.D., Associate Attending Staff, Department of Medicine/Inf .Dis.
William B. Malarkey, M.D., Associate Attending Staff, Department of Medicine/Endocrinology
Mitchell A. Medow, M.D., Associate Attending Staff, Department of Medicine/Gen. Med.
Robert A. Murden, M.D., Associate Attending Staff, Department of Medicine/Gen. Med.
Louis M. Nardella, M.D., Associate Attending Staff, Department of Medicine/Gen. Med.
David A. Orsinelli, M.D., Associate Attending Staff, Department of Medicine/Cardiology
Michael F. Para, M.D., Associate Attending Staff, Department of Medicine/Inf. Dis.
William S. Pease, M.D., Associate Attending Staff, Department of Phys. Med./Rehab.
Arthur E. Pellegrini, M.D., Associate Attending Staff, Department of Medicine/Derm.
Sheryl A. Pfeil, M.D., Associate Attending Staff, Department of Medicine/Gastro
Stephen R. Richards, M.D., Clinical Attending Staff, Department of Obstetrics/Gynecology
Robert L. Ruberg, M.D., Associate Attending Staff, Department of Surgery/Plastic
William H. Saunders, M.D., Associate Attending Staff, Department of Otolaryngology
George A. Sawaya, M.D., Clinical Attending Staff, Department of Obstetrics/Gynecology
David E. Schuller, M.D., Attending Staff, Department of Otolaryngology
Ronald J. Siegle, M.D., Attending Staff, Department of Otolaryngology
Deborah A. Stahl, M.D., Associate Attending Staff, Department of Anesthesiology
Fred B. Thomas, M.D., Associate Attending Staff, Department of Medicine/Gastro
Robert H. Small, M.D., Associate Attending Staff, Department of Anesthesiology
Ronald L. Whisler, M.D., Associate Attending Staff, Department of Medicine/Rheum
Gregory J. Wiet, M.D., Associate Attending Staff, Department of Otolaryngology
William A. Wilmer, M.D., Associate Attending Staff, Department of Medicine/Renal
David A. Winger, M.D., Associate Attending Staff, Department of Medicine/Inf. Dis.
Lisa D. Yee, M.D., Attending Staff, Department of Surgical Oncology

Emeritus Titles

Professor Emeritus, effective July 1, 1998

HAO CHANG, Department of History
WILLIAM J. DAVIS, Department of Mathematics
PATRICK K. GALLAGHER, Department of Chemistry
DUANE F. MARBLE, Department of Geography
REX T. MCGRAW, JR., Department of Theatre
GLYN MEYRICK, Department of Materials Science and Engineering
GEORGE E. MILO, Department of Medical Biochemistry
JAMES C. NAYLOR, Department of Psychology
SAMUEL C. PATTERSON, Department of Political Science
BRADLEY M. RICHARDSON, Department of Political Science

Professor Emeritus, effective October 1, 1998

SAMUEL H. OSIPOW, Department of Psychology

PERSONNEL ACTIONS (contd)

Associate Professor Emeritus, effective July 1, 1998

MATHEW HERBAN III, Department of History of Art
HARVEY T. HUBBARD, School of Journalism and Communication

RESOLUTIONS IN MEMORIAM

Resolution No. 99-10

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the family of the deceased.

Novice G. Fawcett

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 19, 1998, of Novice G. Fawcett, the eighth president of The Ohio State University.

Novice G. Fawcett was born March 29, 1909, in Gambier, Ohio. He graduated magna cum laude from Kenyon College in 1931 and received his master's degree from The Ohio State University in 1937. He began his career in education teaching math, science, and French at Gambier High School and went on to become the Superintendent of Schools in Gambier, Defiance, Bexley, and Columbus, Ohio. When The Ohio State University was searching for its eighth president, it recruited Novice G. Fawcett because of his accomplished administrative skills and vision in his work with Columbus Public Schools. He was named OSU's eighth President in 1956 and served with distinction until his retirement in 1972.

During his long, meritorious tenure at OSU, President Fawcett guided the University through a period of rapid growth in enrollment and an unprecedented expansion of its physical facilities. The student population during his years as President more than doubled and President Fawcett saw to it that proper resources were in place to meet the challenge. The diverse roles of universities saw tremendous changes in the years that marked Novice Fawcett's presidency and his vision and unwavering spirit served him well during this time of transition.

Following his retirement from the University, Novice Fawcett continued his close association with and positive contributions to Ohio State. He devoted much of his time to educational consulting and participating on numerous professional and corporate boards. He served as a consultant to the Ohio Board of Regents, the Governor's Council for Cost Control and the Lilly Endowment. His business and financial board memberships included the Buckeye Financial Corporation, Nationwide, and Ohio State Life Insurance Company. He was membership chairman of the Association of American Universities, first vice chairman of the American Council on Education, president of the National Association of State Universities and Land Grant Colleges, president of the Ohio College Association, and co-founder of the Ohio Eminent Scholars Program. In addition to serving his profession, he also was involved in his community and served as vice chairman of the Columbus Area Chamber of Commerce and served as trustee of the Air Force Museum Foundation and the Development Committee for Greater Columbus.

Among the many honors that Novice Fawcett received from national organizations, were the Distinguished Service Awards from the American Association of School Administrators and the Education Commission of the States. He also was honored with the Columbus Award and the Outstanding Citizen Award for his community service. In recognition of his dedicated educational leadership, the University established the Novice G. Fawcett Chair in Educational Administration and named the Fawcett Center for Tomorrow in his honor in 1972.

RESOLUTIONS IN MEMORANDUM (contd)

Novice G. Fawcett (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Novice G. Fawcett its deepest sympathy and sense of understanding at their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the Fawcett family as an expression of the Board's heartfelt sympathy.

Melba Woodruff

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on March 21, 1998, of Melba Woodruff, Associate Professor Emeritus in the College of Education.

Professor Woodruff was born in Blue Mounds, Wisconsin, on May 30, 1914. She attended the University of Wisconsin, receiving her B.S. degree in 1936, and her M.A. degree in 1939. Prior to World War II, she taught high school French in Wisconsin, and traveled to France, where she taught English. She served briefly as an instructor at the University of Wisconsin, before coming to The Ohio State University in 1949. At Ohio State, she taught in the University School, which was the laboratory school operated by the, then School of Education at The Ohio State University. She would eventually become the head of Foreign Languages at the University School, as well as a member of the faculty of the College of Education.

Professor Woodruff continued her research, domestically and internationally, producing a number of notable books and articles. She was a frequent participant and speaker at academic conferences.

After her retirement in 1979, Professor Woodruff continued an active participation in a number of organizations, including the University of Wisconsin Alumni Association, and the University School Alumni Association, where she was instrumental in the establishment of the University School Endowment Fund, which supports graduate students in the College of Education.

Professor Woodruff will be fondly remembered by her colleagues, as well as the many students she has touched over the years.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Melba Woodruff its deepest sympathy and sense of understanding at their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Mr. Celeste:

I did want to note that one of the Resolutions in Memoriam on this morning's consent agenda is for Dr. Novice G. Fawcett who died on June 19. As most of you know, Dr. Fawcett served sixteen years as this University's 8th president, from 1956 to 1972. This was a time of tremendous expansion on the campus and Dr. Fawcett expertly directed this growth -- seeing the student body grow from 22,000 to 51,000 during his tenure. Aside from being a tremendous educator and institutional leader, he was also a caring and considerate man. It has been said by many that his greatest joy came from his association with students. Some of whom are in this room today.

We shall be forever grateful to Dr. Fawcett for his many contributions to The Ohio State University and our community, and we join the family in mourning their loss.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-11

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for May 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 1998 be approved.

AUTHORIZATION FOR DESIGNATED OFFICIALS TO BUY, SELL, ASSIGN AND TRANSFER SECURITIES, TO DEPOSIT OR WITHDRAW FUNDS FROM BANK ACCOUNTS, AND TO DESIGNATE DEPOSITORIES

Resolution No. 99-12

Synopsis: Reaffirmation of the Board of Trustees Resolution No. 97-143 (June 6, 1997), which authorized designated officials to buy, sell, assign, and transfer securities, to deposit or withdraw funds from bank and investment accounts held in the name of The Ohio State University, to designate depositories, and to execute related agreements is proposed.

WHEREAS designated officials of the University buy, sell, assign, and transfer stocks, bonds, and other financial instruments owned by The Ohio State University; and

WHEREAS various financial institutions are designated as depositories of The Ohio State University; and

WHEREAS accounts at various financial institutions are opened and maintained in the name of The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the Treasurer and/or Vice President for Finance be authorized and empowered to buy, sell, assign, and transfer any and all stocks, bonds, evidences of interest and/or indebtedness, insurance, rights and options to acquire or to sell the same, and all other securities corporate or otherwise, standing in the name of or belonging to The Ohio State University in any capacity; and

BE IT FURTHER RESOLVED, That the Treasurer and/or Vice President for Finance be authorized and empowered to designate various financial institutions as depositories for The Ohio State University and to open and maintain accounts at various financial institutions in the name of The Ohio State University; to sign Agreements for bank, investment and financial services; and

BE IT FURTHER RESOLVED, That the Treasurer and/or Vice President for Finance be authorized, on behalf of The Ohio State University and in its name, to sign checks, drafts, notes, bills of exchange, letters of credit, acceptances or other orders for the payment of money from said accounts; to endorse in writing or by stamp checks, notes, bills, certificates of deposit or other instruments owned or held by this University for deposit in said accounts or for collection or discount by said banks; to accept drafts, acceptances, and other instruments payable to said banks; to waive,

AUTHORIZATION FOR DESIGNATED OFFICIALS TO BUY, SELL, ASSIGN AND TRANSFER SECURITIES, TO DEPOSIT OR WITHDRAW FUNDS FROM BANK ACCOUNTS, AND TO DESIGNATE DEPOSITORIES (contd)

demand, protest, file notice of protest, or dishonor any check, note, bill, draft or other instrument made, drawn or endorsed by the University.

FUNDING PLAN FOR UNIVERSITY DEVELOPMENT

Resolution No. 99-13

Synopsis: Mechanism for non-general fund budget supplement for fund raising support is proposed.

WHEREAS additional investment is needed to protect and enhance the University's ability to acquire private gift support and to improve the alumni/development information systems and administrative infrastructure through the end of the Affirm Thy Friendship Campaign and beyond; and

WHEREAS General Fund resources are limited and reallocations from academic programs is not practical; and

WHEREAS appropriate consultation with Deans and other University officials has occurred resulting in agreement of the methods to supplement current general funds resources:

NOW THEREFORE

BE IT RESOLVED, That

1. The current funding supplement consisting of the interest income from holding current use gifts 90 days and the 0.5% charge on gift additions to new and existing endowments should continue through June 30, 2003.
2. Effective immediately and through June 30, 2003, the current 0.03% charge against all endowments should be increased up to 0.5% in order to support a new alumni/development information system and other custodial or stewardship activities.
3. The Vice President for Finance and the Vice President for Development shall report annually to the Investments Committee and Fiscal Affairs Committee of the Board of Trustees and to the University Foundation Board on the acquisition and expenditure of these funds.
4. This plan will be reviewed by the Board of Trustees prior to June 30, 2003, for possible continuance or revision of the funding model beyond the stated date.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-14

Synopsis: The report on the receipt of gifts and the summary for July 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

REPORT ON UNIVERSITY DEVELOPMENT (contd)

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of fourteen (14) new named endowed funds and amendments to five (5) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of July 1998 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July-May

1996-97 Compared to 1997-98

GIFT RECEIPTS BY DONOR TYPE

	<u>1996-97</u>	Dollars <u>July through May</u> <u>1997-98</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 19,431,090	\$15,704,089	-19%
Alumni (From Bequests)	<u>9,234,483</u>	<u>7,079,903</u>	-23%
Alumni Total	\$ 28,665,573	\$ 22,783,992	-21%
Non-Alumni (Current Giving)	\$11,303,508	\$ 9,631,785	-15%
Non-Alumni (From Bequests)	<u>2,469,500</u>	<u>6,377,856</u>	158%
Non-Alumni Total	\$ 13,773,008	\$ 16,009,641	16%
Individual Total	\$42,438,581	\$ 38,793,633	-9% ^A
Corporations/Corp. Foundations	\$22,113,875	\$28,010,078	27% ^B
Private Foundations	\$9,518,827	\$ 9,474,982	0%
Associations & Other Organizations	\$ <u>3,372,369</u>	\$ <u>2,750,153</u>	-18% ^C
Total	\$77,443,652	\$79,029,446	2%

NOTES

- A Individual giving is down 9%. During July-May last year, 369 individuals had given \$10,000 or more (\$26.7 million). Within this group was one estate gift of \$7 million. This year during the same period 412 individuals had given \$10,000 or more but the total is \$25.0 million.
- B Gifts of \$10,000 or more from corporations are up more than \$5.5 million over the first eleven months last year.
- C Last year by this time 46 organizations or associations had given at the \$10,000 or more level (\$2.5 million). This year the total is \$1.8 million from 74 organizations or associations.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-May (contd)

1996-97 Compared to 1997-98 (contd)

GIFT RECEIPTS BY PURPOSE

		Dollars July through May	
	<u>1996-97</u>	<u>1997-98</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 10,562,677	\$ 17,033,972	61%
Faculty Support	\$ 7,050,628	\$ 9,731,686	38%
Program Support	\$ 42,932,675	\$ 30,152,432	-30%
Student Financial Aid	\$ 8,243,353	\$ 13,197,632	60%
Annual Funds-Colleges/Departments	\$ 7,240,481	\$ 7,304,206	1%
Annual Funds-University	\$ <u>1,413,838</u>	\$ <u>1,609,518</u>	14%
	\$ 77,443,652	\$ 79,029,446	2%

GIFT ADDITIONS TO ENDOWMENT

		Dollars July through May	
	<u>1996-97</u>	<u>1997-98</u>	<u>% Change</u>
	\$32,702,633	\$31,702,123	-3%

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
Earl K. Peters and Elizabeth Peters Loan Fund (Student Loans - Office of Student Financial Aid - Provided by gifts from the estate of Earl K. Peters)		\$387,500.00	\$387,500.00
The C. A. P. Fund in Health Services Management and Policy (Scholarships and general support - Division of Health Services Management and Policy - Provided by gifts from Corbett A. Price)	\$30,000.00		\$30,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Business History Fund (Support of teaching and research in business history – Department of History – Provided by gifts from Samuel B. Davis)		\$27,644.40	\$27,644.40

Change in Name and Description of Named Endowed Funds

- From: Bogner Endowment Fund
To: Theodore I. and Richard B. Bogner Memorial Scholarship Fund
- From: Roy H. Bowen Scholarship Fund
To: Roy H. and Addeleen Bowen Scholarship Fund
- From: The Milk Marketing Inc. 4-H Fund
To: The Dairy Farmers of America, Inc. 4-H Fund
- From: The Milk Marketing Inc. Agriculture and Human Ecology Fund
To: The Dairy Farmers of America, Inc. Agriculture and Human Ecology Fund

Change in Description of Named Endowed Fund

The James R. Miller Agriculture Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The William and Shirley Shapero Scholarship Fund (Scholarships - College of Medicine and Public Health - Provided by gifts in memory of William Shapiro from his widow, Shirley Shapero)		\$251,159.25	\$251,159.25
The Michael M. and Doris Boich Diabetes Education and Research Fund (Support for medical education, research and programs in the field of diabetes – provided by gifts from Michael and Doris Boich)		\$40,000.00	\$40,000.00
The Wayne and Emeline Boich Cancer Education and Research Fund (Support for breast cancer education and research at The OSU Arthur G. James Cancer Hospital and Research Institute – Provided by gifts from Wayne and Emeline Boich)		\$40,000.00	\$40,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The John W. Nelson & Arthur Tye Graduate Endowed Fund in Pharmacology (Graduate student stipends - Division of Pharmacology - Provided by gifts from alumni, former students, and friends of Professor John W. Nelson and the late Professor Arthur Tye)		\$26,000.00	\$26,000.00
The Carl E. Bentz Architectural Scholarship Fund (Scholarships to honor Carl E. Bentz - Knowlton School of Architecture – Provided by an anonymous donor)		\$25,000.00	\$25,000.00
The Michael and Doris Boich Medical Research Fund (Support for research in the Medical Research Facility - College of Medicine and Public Health - Provided by gifts from Michael and Doris Boich)		\$25,000.00	\$25,000.00
The Wayne and Emeline Boich Medical Research Fund (Support for research in the Medical Research Facility - College of Medicine and Public Health – Provided by gifts from Michael and Doris Boich)		\$25,000.00	\$25,000.00
The Donald G., M.D., and Patsy P. Jones Fund in Obstetrics and Gynecology (Support for Lectureship in the Department of Obstetrics and Gynecology - Provided by gifts from Donald G. and Patsy P. Jones)		\$25,000.00	\$25,000.00
The Robert A. Liebert Family Athletic Scholarship Fund (Grant-in-Aid Scholarships – Department of Athletics - Provided by a gift from Robert A. Liebert)		\$25,000.00	\$25,000.00
The William L. Pritchard Football Scholarship Fund (Grant-in-Aid Scholarships – Department of Athletics - Provided by gifts from William L. Pritchard)		\$25,000.00	\$25,000.00
The Wendell A. Weller Merit Scholars Award Fund in Medicine (Scholarships - College of Medicine and Public Health - Provided by gifts from the estate of Mable V. Weller in memory of her deceased husband Wendell A. Weller)		\$16,715.59	\$16,715.59
	<u>\$30,000.00</u>	<u>\$939,019.24</u>	<u>\$969,019.24</u>

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT

ESTABLISHMENT OF NAMED ENDOWED FUNDS

Earl K. Peters and Elizabeth Peters Loan Fund

The Earl K. Peters and Elizabeth Peters Loan Fund was established July 10, 1998, by the Board of Trustees of The Ohio State University with a bequest to The Ohio State University Development Fund from the estate of Earl K. Peters of Steubenville, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide interest free loans to professional, graduate and undergraduate students at the discretion of the Office of Student Financial Aid. All loan payments will be returned to the principal of the endowment. The annual income not loaned at the end of the fiscal year as described above, shall be reinvested in the principal of the endowment.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of Student Financial Aid in order to carry out the desire of the donor.

\$387,500.00

The C. A. P. Fund in Health Services Management and Policy

The C. A. P. Fund in Health Services Management and Policy was established July 10, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Corbett A. Price (M.S. Allied Medical Professions '75) of New York, NY.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide general support to the division of Health Services Management and Policy, to include scholarships, technology enhancements, training and other education needs as deemed appropriate by the Chairperson of the Division of Health Services Management and Policy in consultation with the Dean of the College of Medicine and Public Health.

With all other qualities being equal and unless prohibited by specific federal or state law, the scholarships shall be awarded with preference to, but not limited to, African-American students. Selection shall be made at the recommendation of the Dean of the College of Medicine and Public Health in consultation with the Chairperson of the Division of Health Services Management and Policy and with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Associate Dean for Research and the Dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$30,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Business History Fund

The Business History Fund was established July 10, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Samuel B. Davis, (B.A. History '72), Chairman and CEO, Liqui-Box Corporation, and Professor K. Austin Kerr.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for the support of teaching and research in business history. For the purposes of this support, business history is an international field of scholarship. Business history includes several topics, each of which the annual income may support: the study of entrepreneurship over time, the history of the business firm, examining patterns of institutional and management development in the firm and among firms over time, the relationships between business and government over time, and of the impact of business values on society over time, and of the impact of changing social values on the business firm, and on industries, over time. Recipients will be selected by the Chair of the Department of History, or the Chair's designee, in consultation with members of the faculty responsible for teaching business history. Recipients must be members of the faculty, staff, or student body of The Ohio State University. Income not used in any one year will be reinvested in the fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the Dean of the College of Humanities in consultation with the Chair of the Department of History in order to carry out the desire of the donors.

\$27,644.40

CHANGE IN NAME AND DESCRIPTION OF NAMED ENDOWED FUNDS

Theodore I. and Richard B. Bogner Memorial Scholarship Fund

The Bogner Endowment Fund was established on May 5, 1988, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the Bogner Construction Company of Wooster, Ohio, on the occasion of the firm's seventy-fifth anniversary. The fund was revised and the name changed to the Theodore I. and Richard B. Bogner Memorial Scholarship Fund as a memorial from Robert P., Robert E., and Theodore Bogner, July 10, 1998.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall provide scholarships for students enrolled in Construction Technology at the Agricultural Technical Institute. Scholarship recipients shall be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT (contd)

CHANGE IN NAME AND DESCRIPTION OF NAMED ENDOWED FUNDS (contd)

Roy H. and Addeleen Bowen Scholarship Fund

The Roy H. Bowen Scholarship Fund was established July 21, 1978, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from students, colleagues, and friends of Roy H. Bowen (Ph.D. Theatre '51). The name and description were revised July 10, 1998.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used to provide one or more scholarships for undergraduate students who have demonstrated exceptional talent and ability in theatre. The selection of the recipient is to be made upon the recommendation of the Chairperson of the Department of Theatre and Dean of the College of Arts, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. Should the need for these scholarships cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever as determined by the Board of Trustees, with preference being given to recommendations from the appropriate administrative official of the University who is then directly responsible for theatre education/training in order to carry out the desire of the donors.

The Dairy Farmers of America, Inc. 4-H Fund

The Milk Marketing Inc. 4-H Fund was established December 3, 1993, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Milk Marketing Inc., Strongsville, Ohio. The name and description were revised to reflect the new name of the company July 10, 1998.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs within The Ohio State University extension state 4-H program including, but not exclusively, the 4-H Dairy Award, 4-H Congress, National 4-H Center and programs, Dairy Conference and annual needs. Annual distribution shall be directed by the State 4-H Leader, in conjunction with the Ohio 4-H Foundation Board of Trustees with final approval by the Director of Ohio State University Extension or his/her designee in consultation with the CEO and/or Executive Vice President, Dairy Farmers of America, Inc., or its successor organization and/or designee.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Vice President for Agricultural Administration in order to carry out the desire of the donor.

The Dairy Farmers of America, Inc. Agriculture and Human Ecology Fund

The Milk Marketing Inc. Agriculture and Human Ecology Fund was established December 3, 1993, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Milk Marketing Inc., Strongsville, Ohio. The name and description were revised to reflect the new name of the company July 10, 1998.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT (contd)

CHANGE IN NAME AND DESCRIPTION OF NAMED ENDOWED FUNDS (contd)

The Dairy Farmers of America, Inc. Agriculture and Human Ecology Fund (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs and scholarships within the College of Food, Agricultural, and Environmental Sciences and the College of Human Ecology. Support shall include at least one scholarship in each of these areas: Dairy Science, Agricultural Economics, and ATI Dairy in the College of Food, Agricultural, and Environmental Sciences and in either Human Nutrition or Home Economics Education in the College of Human Ecology. Support shall also include ATI and OARDC programs, LEAD, and other priority programs identified by the Vice President for Agricultural Administration and the CEO and/or Executive Vice President, Dairy Farmers of America, Inc., or their designee or its successor organization. Annual awards will be directed by the Vice President for Agricultural Administration or their designee in consultation with the CEO and/or Executive Vice President, Dairy Farmers of America, Inc., or their designee or its successor organization. Scholarship and award recipients shall be selected annually by the Vice President for Agricultural Administration or their designee, the Dean, College of Human Ecology or their designee, and the Director, ATI or their designee, and the CEO and/or Executive Vice President, DFA, or their designee, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Vice President for Agricultural Administration in order to carry out the desire of the donors.

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND

The James R. Miller Agriculture Scholarship Fund

The James R. Miller Agriculture Scholarship Fund was established May 1, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from family of the late James R. Miller, Ph.D (B.S.Agr. '51; M.S. Poultry Science '52; Ph.D. Agricultural Education '71). The fund was revised July 10, 1998.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship annually to undergraduate students who are enrolled in the College of Food, Agricultural, and Environmental Sciences, Department of Animal Sciences, with priority based on need for financial assistance and strong academic promise. Selection shall be made by the scholarship selection committee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Vice President for Agricultural Administration and the Chair, Animal Sciences, or current program administrative officer in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The William and Shirley Shapero Scholarship Fund

The William and Shirley Shapero Scholarship Fund was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation made in memory of William Shapero (B.A. Physiology '34; M.D. '38) from his widow Shirley Shapero of Boca Raton, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships to students enrolled in the College of Medicine and Public Health, as appropriate within University policies. With all other qualities being equal and unless prohibited by specific federal or state law, preference shall be given in granting scholarships from this Endowment to students of Jewish faith. The scholarship(s) shall be awarded based primarily on academic merit rather than financial need. The selection of the recipient(s) shall be made at the recommendation of the Dean of the College of Medicine and Public Health in consultation with the University Committee on Student Financial Aid and the College of Medicine Committee for Scholarship Awards.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$251,159.25

The Michael M. and Doris Boich Diabetes Education and Research Fund

The Michael M. and Doris Boich Diabetes Education and Research Fund was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael M. and Doris Boich of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support medical education, research and programs in the field of diabetes as recommended by the Vice President for Health Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Vice President for Health Sciences in order to carry out the desire of the donors.

\$40,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Wayne and Emeline Boich Cancer Education and Research Fund

The Wayne and Emeline Boich Cancer Education and Research Fund was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Wayne and Emeline Boich of North Miami Beach, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support breast cancer education and research at The Arthur G. James Cancer Hospital and Research Institute as approved by the Director of The Arthur G. James Cancer Hospital and Research Institute in consultation with the Vice President for Health Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and Research Institute and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$40,000.00

The John W. Nelson & Arthur Tye Graduate Endowed Fund in Pharmacology

The John W. Nelson & Arthur Tye Graduate Endowed Fund in Pharmacology was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from alumni, former students and friends of Professor John W. Nelson and the late Professor Arthur Tye.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a stipend for a graduate student enrolled in the College of Pharmacy's Division of Pharmacology. The recipients of this annual stipend shall be determined by a representative of the Division of Pharmacology and the Dean of the College of Pharmacy or his/her designee.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$26,000.00

The Carl E. Bentz Architectural Scholarship Fund

The Carl E. Bentz Architectural Scholarship Fund was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a \$25,000 gift from an anonymous donor.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Carl E. Bentz Architectural Scholarship Fund (contd)

The annual income shall be used to support a scholarship in the Knowlton School of Architecture in the name of Mr. Carl E. Bentz, former State Architect and Engineer for the State of Ohio. Mr. Bentz, a 1935 graduate of the School of Architecture at The Ohio State University, is known as the most prolific architect in the state's history. Among his many projects were facilities on the campuses of The Ohio State University, Miami University, Ohio University, Kent State University, and Bowling Green State University.

The proceeds of the \$25,000 scholarship will be given annually to a young man or woman whom the faculty deems best representative of the Knowlton School of Architecture and the desire and pursuit of great architecture which epitomized the work of Mr. Carl E. Bentz. Recipients shall be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

The Michael and Doris Boich Medical Research Fund

The Michael and Doris Boich Medical Research Fund was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation made in honor of Dr. Manuel Tzagournis, Vice President for Health Sciences, from Michael and Doris Boich of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund, and other similar funds under the Medical Research Endowment Trust, shall be used to support researchers in the Medical Research Facility, with selection made through a grant application process. Researchers may apply for one-year funding grants, which are not limited to senior faculty, through the Associate Dean for Research, College of Medicine and Public Health. Selection shall be made by the Associate Dean for Research in consultation with the Dean of the College of Medicine and Public Health. The grants may be used for, but not limited to, supplies, equipment, salaries for research personnel and activities required for quality medical research.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Associate Dean for Research and the Dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,000.00

The Wayne and Emeline Boich Medical Research Fund

The Wayne and Emeline Boich Medical Research Fund was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation made in honor of Dr. Manuel Tzagournis, Vice President for Health Sciences, from Wayne and Emeline Boich of North Miami Beach, Florida.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Wayne and Emeline Boich Medical Research Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund, and other similar funds under the Medical Research Endowment Trust, shall be used to support researchers in the Medical Research Facility, with selection made through a grant application process. Researchers may apply for one-year funding grants, which are not limited to senior faculty, through the Associate Dean for Research, College of Medicine and Public Health. Selection shall be made by the Associate Dean for Research in consultation with the Dean of the College of Medicine and Public Health. The grants may be used for, but not limited to, supplies, equipment, salaries for research personnel and activities required for quality medical research.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Associate Dean for Research and the Dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,000.00

The Donald G., M.D., and Patsy P. Jones Fund in Obstetrics and Gynecology

The Donald G., M.D., and Patsy P. Jones Fund in Obstetrics and Gynecology was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donald G. Jones, M.D. (M.A. Medical Science '64) and Patsy P. Jones (attended 1959) of Granville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a lectureship by a visiting professor of Obstetrics and Gynecology or one noted in this field. A committee to administer the lectureship and choose the lecturer will meet annually and will be chaired by the Chairperson of the Department of Obstetrics and Gynecology. Any unused income shall be added to the principal at the end of each fiscal year.

When the principal balance of this fund reaches the Professorship level of \$750,000, it will be used for a Professorship position supporting a distinguished scholar in the Department of Obstetrics and Gynecology in the College of Medicine and Public Health. Appointment shall be made at the recommendation of the Dean of the College of Medicine and Public Health in consultation with the Chairperson of the Department of Obstetrics and Gynecology. The activities of the endowed Professorship shall be reviewed no less than every five years by the Dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Chairperson of the Department of Obstetrics and Gynecology and the Dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Robert A. Liebert Family Athletic Scholarship Fund

The Robert A. Liebert Family Athletic Scholarship Fund was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert A. Liebert, Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University. Recipients shall be: 1) students who are members of the women's tennis team. If the University commitments are met, then: 2) members of the men's tennis team. If those University commitments are met, then: 3) recipients shall be selected by the Director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of Robert A. Liebert that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of Robert A. Liebert.

\$25,000.00

The William L. Pritchard Football Scholarship Fund

The William L. Pritchard Football Scholarship Fund was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from William L. Pritchard (B.S. Bio. Sci. '58) of Cincinnati, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of student athletes who are members of the varsity football team pursuing undergraduate degrees at The Ohio State University. Recipients will be selected by the Director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donor.

\$25,000.00

The Wendell A. Weller Merit Scholars Award Fund in Medicine

The Wendell A. Weller Merit Scholars Award Fund in Medicine was established July 10, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from the estate of Mable V. Weller of West Lafayette, Indiana, in memory of her deceased husband, Wendell A. Weller (B.A. Arts & Sciences '27; M.D. '29).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Wendell A. Weller Merit Scholars Award Fund in Medicine (contd)

The annual income shall be used to provide one or more scholarships to students enrolled in the College of Medicine and Public Health and will be awarded with a focus on academic merit rather than on financial need. The selection criteria shall be based on scholastics, leadership, service and life accomplishment and shall be made at the recommendation of the Dean of the College of Medicine and Public Health in consultation with the University Committee on Student Financial Aid and the College of Medicine and Public Health Committee for Scholarship Awards.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$16,715.00
(Grandfathered)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 99-15

MACK HALL - PHASE I

Synopsis: Authorization to employ an architect/engineering firm and request construction bids for the Mack Hall B Phase I project is requested.

WHEREAS the renovation of the Ohio Stadium requires relocation of the Stadium Scholarship Program to another facility; and

WHEREAS the office of Housing, Food Services, and Events Centers has identified Mack Hall to be the new location for this program; and

WHEREAS Mack Hall requires renovation to provide program spaces and bring the facility to ADA compliance, including access to Kennedy Commons; and

WHEREAS the revised total estimated project cost is \$3,900,000 and the total estimated construction cost is \$3,135,000, with funding provided by Department of Athletics (\$2,340,000) and Housing, Food Service, and Events Centers (\$1,560,000):

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Mack Hall B Phase I project; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids for the Mack Hall B Phase I project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix II for map, page 73.)

REPORT OF AWARD OF CONTRACTS

Resolution No. 99-16

LONGABERGER ALUMNI HOUSE
BAKER HALL RENOVATION
HALE HALL RENOVATIONS
HEART AND LUNG INSTITUTE
MARION CAMPUS - MAINTENANCE/RECEIVING/STORAGE FACILITY
STILLMAN HALL ADDITION
SUCCESS CENTER (FORMERLY NEIL HALL RENOVATION)
VETERINARY HOSPITAL - RADIOLOGY FACILITY RENOVATION

Synopsis: Acceptance of the report of award of contracts and establishment of contingency funds for the Longaberger Alumni House, Baker Hall Renovation, Hale Hall Renovations, Heart and Lung Institute, Marion Campus - Maintenance/Receiving/Storage Facility, Stillman Hall Addition, Success Center (formerly Neil Hall Renovation), and Veterinary Hospital - Radiology Facility Renovation projects is requested.

WHEREAS resolutions adopted by the Board of Trustees on April 2, 1993, November 4, 1994, June 2, 1995, May 2, 1997, July 11, 1997, and February 6, 1998 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

(* Recommended alternates included in these amounts)

Longaberger Alumni House

This project constructs a three-story building with site development for parking and utilities to house and meet the needs of the Alumni Association. The total project cost is \$8,676,859; funding is provided by gifts to the Alumni Association. The completion date is August 1999. The contracts awarded are as follows:

Design:	Wandel & Schnell Architects, Columbus, Ohio
General Contract:	Gutknecht Construction, Columbus, Ohio
Amount:	\$5,449,000 +
Estimate:	\$5,176,700 +
Plumbing/HVAC Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$1,067,000
Estimate:	\$1,355,010

REPORT OF AWARD OF CONTRACTS (contd)

Longaberger Alumni House (contd)

Electric Contract:	Buckeye Electric, Dayton, Ohio
Amount:	\$775,000
Estimate:	\$898,180
Total All Contracts:	\$7,291,000
Contingency Allowance:	\$582,792
Total Project Cost:	\$8,676,859

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Baker Hall Renovation

This project brings electrical and plumbing systems to current code and upgrades finishes and furnishings. The total project cost is \$6,783,740; funding is provided by University bond proceeds with debt service paid by Housing, Food Service, and Events Centers. The completion date is September 2000. The contracts awarded are as follows:

Design:	Van Auken Akins Architects, Cleveland, Ohio
General Contract:	RWS Building, Columbus, Ohio
Amount:	\$2,933,000 *
Estimate:	\$3,961,672 *
Plumbing Contract:	Mid Ohio Mechanical, Granville, Ohio
Amount:	\$938,412
Estimate:	\$1,098,270
HVAC Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$633,700 +
Estimate:	\$487,737 +
Electric Contract:	Roberts Electric, Columbus, Ohio
Amount:	\$835,000 +
Estimate:	\$761,822 +
Asbestos Abatement Contract:	Columbus Abatement Company, Columbus, Ohio
Amount:	\$274,400
Estimate:	\$452,000
Total All Contracts:	\$5,614,512
Contingency Allowance:	\$534,012
Total Project Cost:	\$6,783,740

+ The HVAC and Electric Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Hale Hall Renovations

This project renovates space vacated by the Life Care Alliance for use as classrooms, computer laboratories and offices to support minority students and programs. The total project cost is \$1,028,000; funding is provided by House Bill 790 (\$1,000,000) and House Bill 904 (\$28,000). The completion date is March 1999. The contracts awarded are as follows:

Design:	Spencer & Spencer, Columbus, Ohio
General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$418,800
Estimate:	\$452,600

REPORT OF AWARD OF CONTRACTS (contd)

Hale Hall Renovations (contd)

Plumbing Contract:	Ro-Dan Construction, Columbus, Ohio
Amount:	\$71,148 +
Estimate:	\$68,135 +
HVAC Contract:	Ragland Construction, Jackson, Ohio
Amount:	\$207,380 +
Estimate:	\$165,352 +
Electric Contract:	Elite Building Systems, Grove City, Ohio
Amount:	\$161,425 +
Estimate:	\$158,930 +
Asbestos Abatement Contract:	Buckeye Asbestos, Cincinnati, Ohio
Amount:	\$24,546
Estimate:	\$30,200
Total All Contracts:	\$883,299.00
Contingency Allowance:	\$55,752
Total Project Cost:	\$1,028,000

+ The Plumbing, HVAC, and Electric Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Heart and Lung Institute

This project constructs a new facility to concentrate in one single location the resources necessary to conduct an intensive program of teaching and research in the diseases of the heart and lung. The total project cost is \$23,850,450; funding is provided by House Bill 748 (\$8,000,000), House Bill 790 (\$600,000), House Bill 904 (\$400,000), University Hospital funding (\$1,225,450) and University bond proceeds with debt service paid by University Hospitals (\$13,625,000). The completion date is October 1999. The contracts awarded are as follows:

Design:	Design Group, Inc., Columbus, Ohio
General Contract:	Frank Messer & Sons Construction Co., Cincinnati, Ohio
Amount:	\$12,922,000 +
Estimate:	\$12,271,215 +
Plumbing/HVAC Contract:	Teepe's River City Mechanical, Cincinnati, Ohio
Amount:	\$4,578,000
Estimate:	\$4,868,455
Electric Contract:	Now/Romanoff Electric, Inc., Columbus, Ohio
Amount:	\$2,504,961
Estimate:	\$2,628,545
Asbestos Abatement Contract:	LVI Environmental, Pittsburgh, Pennsylvania
Amount:	\$171,800
Estimate:	\$268,770
Total All Contracts:	\$20,176,761
Contingency Allowance:	\$1,297,427
Total Project Cost:	\$23,850,450

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Marion Campus - Maintenance/Receiving/Storage Facility

This project constructs a new facility to accommodate maintenance, receiving, and storage operations for the Marion Campus. The total project cost is \$1,656,185; funding is provided by House Bill 748 (\$85,705), House Bill 790 (\$1,497,000), and Marion Technical College (\$73,480). The completion date is March 1999. The contracts awarded are as follows:

REPORT OF AWARD OF CONTRACTS (contd)

Marion Campus - Maintenance/Receiving/Storage Facility (contd)

Design:	Spencer & Spencer, Columbus, Ohio
General Contract:	J & F Construction, Inc., Bucyrus, Ohio
Amount:	\$819,000 *+
Estimate:	\$800,501 *+
Plumbing Contract:	Carl's Plumbing & Heating, Inc., Marion, Ohio
Amount:	\$147,377
Estimate:	\$165,920
HVAC Contract:	Pete Miller, Inc., Marion, Ohio
Amount:	\$165,391 *+
Estimate:	\$164,070 *+
Electric Contract:	Midstate Electric Construction, Inc., Mt. Vernon, Ohio
Amount:	\$153,283 *
Estimate:	\$196,843 *
Total All Contracts:	\$1,285,051
Contingency Allowance:	\$128,506
Total Project Cost:	\$1,656,185

+ The General and HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Stillman Hall Addition

This project constructs an addition to expand the College of Social Work Library, provide classroom pool accommodations, and consolidate all of the College of Social Work's departments, auxiliary services, and offices in one building. The total project cost is \$5,302,000; House Bill 904 (\$5,295,000) and University Funds (\$7,000) provides funding. The completion date is September 1999. The contracts awarded are as follows:

Design:	HKI Associates, Columbus, Ohio
General Contract:	Cody Zeigler, Inc., Summit Station, Ohio
Amount:	\$2,884,700 *
Estimate:	\$3,064,200 *
Plumbing Contract:	Fox Mechanical Company, Columbus, Ohio
Amount:	\$353,285 *+
Estimate:	\$341,200 *+
HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$786,000 *+
Estimate:	\$694,800 *+
Electric Contract:	Brush Contractors, Inc., Columbus, Ohio
Amount:	\$480,000 *
Estimate:	\$512,300 *
Total All Contracts:	\$4,503,985
Contingency Allowance:	\$232,918
Total Project Cost:	\$5,302,000

+ The Plumbing and HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

REPORT OF AWARD OF CONTRACTS (contd)

Success Center (formerly Neil Hall Renovation)

This project demolishes Neil Hall to obtain approximately 60,000 square feet to construct space to house academic athletic/support offices and study spaces, counseling and consultation services, and student learning center. The total project cost is \$9,954,995; funding is provided by House Bill 790 (\$380,000), Department of Physical Facilities (\$120,000), Housing, Food Service, and Events Centers (\$455,000), Department of Athletics (\$317,475) and University bond proceeds (\$8,682,520). The completion date is May 1999. The contracts awarded are as follows:

Design:	Philip Markwood Architects, Inc., Columbus, Ohio
General Contract:	John James Estes, Columbus, Ohio
Amount:	\$4,860,000 *
Estimate:	\$5,320,500 *
Plumbing/HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$1,445,000
Estimate:	\$1,678,000
Electric Contract:	Buckeye Electric, Dayton, Ohio
Amount:	\$965,800 *
Estimate:	\$1,330,450 *
Asbestos Abatement Contract:	Central Insulation, Cincinnati, Ohio
Amount	\$52,890
Estimate	\$82,363
Demolition Contract:	Loewendick, Grove City, Ohio
Amount:	\$123,000
Estimate:	\$150,000
Total All Contracts:	\$7,446,690 *
Contingency Allowance:	\$1,273,100
Total Project Cost:	\$9,954,995

Veterinary Hospital - Radiology Facility Renovation

This project upgrades rooms 1170A and B through replacement of fluoroscopy unit and completing related room renovations including flooring and cabinetry. Upgrade room 1339 to house a CT Scanner. The total project cost is \$1,242,100; funding is provided by House Bill 748 (\$725,016), gifts to College of Veterinary Medicine (\$211,684) and Board of Regents Equipment funding (\$305,400). The completion date is September 1998. The contracts awarded are as follows:

Design:	Arden & Associates, Columbus, Ohio
General Contract:	T. G. Banks, Columbus, Ohio
Amount:	\$98,879
Estimate:	\$104,100
Plumbing/HVAC Contract:	Farber Corporation, Columbus, Ohio
Amount:	\$38,880
Estimate:	\$41,300
Electric Contract:	Fetter Electric, Newark, Ohio
Amount:	\$31,942
Estimate:	\$33,600
Total All Contracts:	\$169,701
Contingency Allowance:	\$16,969
Equipment Allowance:	\$1,029,584
Total Project Cost:	\$1,242,100

NOW THEREFORE

REPORT OF AWARD OF CONTRACTS (contd)

BE IT RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for Longaberger Alumni House, Baker Hall Renovation, Hale Hall Renovations, Heart and Lung Institute, Marion Campus - Maintenance/Receiving/Storage Facility, Stillman Hall Addition, Success Center (formerly Neil Hall Renovation), and Veterinary Hospital - Radiology Facility Renovation projects is hereby accepted.

(See Appendix III for maps, page 75.)

ARMS - PHASE VI

Resolution No. 99-17

Synopsis: Approval of Phase VI of the ARMS Project is proposed.

WHEREAS Phase V of the Administrative Resource Management System (ARMS) Project, as authorized by the Board of Trustees at its July 11, 1997 meeting, has been successfully completed; and

WHEREAS completion of Phase VI has been recommended by the appropriate University offices and required funding will be available:

NOW THEREFORE

BE IT RESOLVED, That the University is authorized to proceed with completion of Phase VI of the ARMS Project as described in the accompanying materials, including authorization to spend up to an additional \$10 million for Human Resources, General Ledger and Procurement Systems in FY 1999; and

BE IT FURTHER RESOLVED, That the University will report back to this Board before July 15, 1999, for authorization to proceed with Phase VII and complete the project.

(See Appendix IV for background information, page 83.)

**CONTINUATION OF COMPUTER LABORATORY FEE
IN THE MAX M. FISHER COLLEGE OF BUSINESS**

Resolution No. 99-18

Synopsis: Authorization to continue with an academic computing fee in the Fisher College of Business is proposed.

WHEREAS the Fisher College of Business was authorized to implement a computer laboratory fee for its majors in Spring 1994; and

WHEREAS a review of the past year has shown the income from that fee to have been invested as planned and the planned past-year improvements in instructional computing services to have been accomplished; and

WHEREAS a survey of business students has shown substantial satisfaction with the improvements already made; and

**CONTINUATION OF COMPUTER LABORATORY FEE
IN THE MAX M. FISHER COLLEGE OF BUSINESS (contd)**

WHEREAS the Fisher College of Business has monitored the use of computing laboratories and taken steps to increase accessibility as needed by students; and

WHEREAS there is a need to continue the implementation of the Fisher College of Business computer laboratory plan:

NOW THEREFORE

BE IT RESOLVED, That the Fisher College of Business is authorized to continue assessing undergraduate majors and graduate students in the college a computer laboratory fee. Effective Autumn Quarter 1998 full-time undergraduate majors (12 credit hours or more) will be assessed \$85 and full-time graduate students (8 credit hours or more) will be assessed \$120 per quarter. The fee will be prorated at \$7 per credit hour for undergraduate majors enrolled for less than 12 credit hours and at \$15 per credit hour for graduate students enrolled for less than 8 credit hours. This represents no change in computing fees from FY 98. For subsequent years the computing fee will be limited to 10 percent of the resident undergraduate tuition and the prorated fees for students who are not full-time will be adjusted correspondingly; and

BE IT FURTHER RESOLVED, That the use of the Fisher College of Business computer laboratory fee will be reviewed by the Office of Academic Affairs, and subject to annual approval by the Board of Trustees, until the fee is eliminated or replaced by a University-wide computing fee.

**CONTINUATION OF COMPUTER LABORATORY FEE
IN THE COLLEGE OF ENGINEERING**

Resolution No. 99-19

Synopsis: Authorization to continue with an academic computing fee in the College of Engineering is proposed.

WHEREAS the College of Engineering was authorized to implement a computer laboratory fee for its majors in Spring 1993; and

WHEREAS a review of the past year has shown the income from that fee to have been invested as planned and the planned past-year improvements in instructional computing services to have been accomplished; and

WHEREAS a survey of engineering students has shown substantial satisfaction with the improvements already made and a desire for increased staff support; and

WHEREAS the College of Engineering has monitored the use of computing laboratories and taken steps to increase accessibility as needed by students; and

WHEREAS there is a need to continue the implementation of the College of Engineering computer laboratory plan; and

WHEREAS students who are majors in computer and information sciences in the College of Mathematical and Physical Sciences make substantial use of Engineering computing laboratories, approximately two-thirds as much as their counterparts in Engineering, and are currently assessed the computing fee:

NOW THEREFORE

**CONTINUATION OF COMPUTER LABORATORY FEE
IN THE COLLEGE OF ENGINEERING (contd)**

BE IT RESOLVED, That the College of Engineering is authorized to continue assessing undergraduate majors and graduate students in the college a computer laboratory fee and to expand services to include additional staff in labs. Effective Autumn Quarter 1998 full-time undergraduate majors (12 credit hours or more) will be assessed \$110 and full-time graduate students (8 credit hours or more) will be assessed \$120 per quarter. The fee will be prorated at \$9 per credit hour for undergraduate majors enrolled for less than 12 credit hours and at \$15 per credit hour for graduate students enrolled for less than 8 credit hours. This represents no change in computing fees from FY 98. For subsequent years the computing fee will be limited to 15 percent of the resident undergraduate tuition and the prorated fees for students who are not full-time will be adjusted correspondingly; and

BE IT FURTHER RESOLVED, That majors in computer and information science who are enrolled in the College of Mathematical and Physical Sciences will continue to be assessed a computing laboratory fee by the College of Engineering at the rate of two-thirds of the amount assessed engineering majors; and

BE IT FURTHER RESOLVED, That the use of the Engineering computer laboratory fee will be reviewed by the Office of Academic Affairs, and subject to annual approval by the Board of Trustees, until the fee is eliminated or replaced by a University-wide computing fee.

**CONTINUATION OF COMPUTER LABORATORY FEE
IN THE SCHOOL OF PUBLIC POLICY AND MANAGEMENT**

Resolution No. 99-20

Synopsis: Authorization to continue with an academic computing fee in the School of Public Policy and Management is proposed.

WHEREAS the School of Public Policy and Management was authorized to implement a computer laboratory fee for its majors in Spring 1997; and

WHEREAS a review of the past year has shown the income from that fee to have been invested as planned and the planned past-year improvements in instructional computing services to have been accomplished; and

WHEREAS a survey of public policy and management students has shown substantial satisfaction with the improvements already made; and

WHEREAS the School of Public Policy and Management has monitored the use of computing laboratories and taken steps to increase accessibility as needed by students; and

WHEREAS there is a need to continue the implementation of the School of Public Policy and Management computer laboratory plan:

NOW THEREFORE

BE IT RESOLVED, That the School of Public Policy and Management is authorized to continue assessing graduate students in the school a computer laboratory fee. Effective Autumn Quarter 1998 full-time graduate students (8 credit hours or more) will be assessed \$120 per quarter. The fee will be prorated at \$15 per credit hour for graduate students enrolled for less than 8 credit hours. This represents no change in computing fees from FY 98. For subsequent years the computing fee will be limited to 10 percent of the resident undergraduate tuition and the prorated fees for students who are not full-time will be adjusted correspondingly; and

**CONTINUATION OF COMPUTER LABORATORY FEE
IN THE SCHOOL OF PUBLIC POLICY AND MANAGEMENT (contd)**

BE IT FURTHER RESOLVED, That the use of the School of Public Policy and Management computer laboratory fee will be reviewed by the Office of Academic Affairs, and subject to annual approval by the Board of Trustees, until the fee is eliminated or replaced by a University-wide computing fee.

LARKINS HALL ADDITION AND RENOVATION PROJECT STUDENT FEE REQUEST
Resolution No. 99-21

Synopsis: A future student fee is needed to retire the debt and fund operations for the student recreation portion of the new and renovated recreation, academic and athletic facility project.

WHEREAS the University Administration has made its recommendation regarding the Capital Request for State funds for the FY 1999 - FY 2000 biennium; and

WHEREAS the Board of Trustees approved the FY 1999 - FY 2000 biennium Capital Request for State funds at the July 11, 1997 Board meeting; and

WHEREAS \$3 million in planning money for the Larkins Hall/Student Recreation Center project is part of the Capital Request for State funds in the FY 1999 - FY 2000 biennium; and

WHEREAS these planning dollars are conditional on the approval of funding for the Student Recreation Center addition to Larkins Hall from non-general fund sources; and

WHEREAS the Department of Athletics has committed the dollars to support the construction and operation of their portion of the new facility; and

WHEREAS student leaders have been an integral part of the discussions related to this facility project and have diligently and conscientiously represented students' interests:

NOW THEREFORE

BE IT RESOLVED, That the mandatory fee of \$55 (in 1997 dollars) be collected from students taking 4 or more credit per quarter (students taking 0-3 credit hours plus special fee authorizations are exempted from the fee) to retire the debt service and fund operations for the student recreation portion of the facility, commencing the quarter the new addition to Larkins Hall is scheduled to open for recreational use; and

BE IT FURTHER RESOLVED, That the student fee be utilized only to fund recreational sport facilities construction, maintenance, operations and recreation programs and services; and

BE IT FURTHER RESOLVED, That the Secretary be instructed to include these materials as part of the official records of the University.

(See Appendix V for background information, page 95.)

Upon motion of Mr. Skestos, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, Judge Duncan, and Ms. Longaberger.

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President Kirwan:

Mr. Chairman, we are also recommending the approval of item #13, the Issuance of Commercial Paper.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES A OF THE OHIO STATE UNIVERSITY**

Resolution No. 99-22

Synopsis: Providing for the authorization, issuance and sale of General Receipts Commercial Paper Notes, Series A (the "Series A Notes"), in a principal amount determined as provided herein, for the purpose of (i) paying or reimbursing a portion of the costs of (a) constructing and installing the Keck Genetic Research Facility (b) constructing and installing the Astronomical Instrumentation Center, (c) constructing and installing infrastructure improvements, including: the extension of chilled water lines to Baker System, Coldwell Laboratory and the Journalism Building; replacement of steam lines from the McCracken Power Plant to the vicinity of St. John Arena; construction of a storm water detention area and storm sewers near Buckeye Village; the extension of Fyffe Road from Hess Road North to Ackerman Road, replacement of a steam boiler and extension of chilled water lines and steam lines from the McCracken Power Plant, construction of new walkways and landscaping and storm water improvements at Neil Avenue and 19th Avenue, the extension of Ines Drive to Tuttle Park Place and sidewalks and utilities in connection with the Fisher College of Business, (d) construction of recreation and intramural sports fields, (e) construction and installation of the Tuttle Park Place Garage, (f) construction and installation of the Heart and Lung Institute, (g) constructing and installing the Success Center, (h) residence halls improvements including: demolition and construction work at Baker Hall; renovation and improvements at the south high rises; roof replacement for Mack Hall, Old Canfield Hall and Baker Hall; interior upgrades for Neilwood Gables; installation of fiber optics in 18 residence halls; upgrade elevators at Mack Hall and the Rathskeller; upgrade residence hall fire systems; and (l) renovations to Ohio Stadium (collectively, the "Series A Project"), (ii) paying costs and expenses associated with the issuance of the Series A Notes, authorizing a Eighth Supplemental Trust Indenture to secure the payment of Bond Service Charges on the Series A Notes and (iii) amending the First Supplemental Indenture and the Third Supplemental Indenture (as defined below) to permit the appointment of a new Remarketing Agent for the Series 1985B Bonds and the Series 1986B Bonds (each as defined below) and for related purposes.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Original Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "auxiliary facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Bond Service Charges (as defined in the Original Indenture) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Bond Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), has provided for the issuance from time to time of General Receipts Bonds of the University, with each such issue to be authorized by a Series Resolution (as

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES A OF THE OHIO STATE UNIVERSITY (contd)**

defined in the Original Indenture) adopted by the Board pursuant thereto and secured pursuant to the terms of a Supplemental Indenture (as defined in the Original Indenture) with respect to such issue (the Original Indenture and all Supplemental Indentures being referred to collectively herein as the "Indenture"); and

WHEREAS, the University has heretofore issued pursuant to the Series 1985 A Bond Resolution and the Original Indenture, the \$27,680,000 The Ohio State University General Receipts Refunding Bonds, Series 1985 A, dated as of November 15, 1985 (the "Series 1985 A Bonds") all of which have redeemed and are no longer outstanding; and

WHEREAS, the University has heretofore issued pursuant to the Series 1985 A Bond Resolution, the resolution, adopted by the Board on December 6, 1985 (the "Series 1985 B Bond Resolution"), the Original Indenture and the First Supplemental Trust Indenture, dated as of December 1, 1985 (the "First Supplemental Indenture"), between the University and the Trustee, as amended by the Amendment Agreement, dated as of December 1, 1986, between the University and the Trustee, as further amended by the First Supplemental Trust Indenture Amendment Agreement, dated as of March 1, 1988, between the University and the Trustee, the \$42,500,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1985 B, initially dated as of December 30, 1985 (the "Series 1985 B Bonds"); and

WHEREAS, the University has issued pursuant to the Series 1985 A Bond Resolution, a resolution adopted by the Board on July 11, 1986 (the "Series 1986 A Bond Resolution"), the Indenture and the Second Supplemental Trust Indenture, dated as of July 15, 1986 (the "Second Supplemental Indenture"), between the University and the Trustee, the \$45,000,000 The Ohio State University General Receipts Bonds, Series 1986 A, dated as of July 15, 1986 (the "Series 1986 A Bonds") which have been advance refunded by the Series 1992 A2 Bonds described below; and

WHEREAS, the University has heretofore issued pursuant to a resolution adopted by the Board on July 11, 1986 (the "Series 1986 B Bond Resolution"), the Series 1985 A Resolution, the Original Indenture and the Amended Third Supplemental Trust Indenture, dated as of August 1, 1986 (the "Third Supplemental Indenture"), between the University and the Trustee, the \$45,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1986 B, initially dated as of August 14, 1986 (the "Series 1986 B Bonds"); and

WHEREAS, the University has issued pursuant to the Series 1985 A Bond Resolution, a resolution adopted by the Board on April 6, 1990 (the "Series 1990 Bond Resolution"), the Indenture and the Fourth Supplemental Trust Indenture, dated as of April 1, 1990 (the "Fourth Supplemental Indenture"), between the University and the Trustee, the \$54,265,000 The Ohio State University General Receipts Bonds, Series 1990, dated as of April 1, 1990 (the "Series 1990 Bonds") which have been advance refunded by the Series 1992 A2 Bonds described below; and

WHEREAS, the University has issued pursuant to the Series 1985 A Bond Resolution, the resolution adopted by the Board on September 2, 1992 (the "Series 1992 A Bond Resolution"), the Indenture and the Fifth Supplemental Trust Indenture, dated as of September 1, 1992 (the "Fifth Supplemental Indenture"), between the University and the Trustee, the \$60,520,000 The Ohio State University General Receipts Bonds, Series 1992 A1, and the \$103,800,000 The Ohio State University General Receipts Refunding Bonds, Series 1992 A2 (collectively, the "Series 1992 A Bonds"); and

WHEREAS, the University has issued pursuant to the Series 1985 A Bond Resolution, the resolution adopted by the Board on September 2, 1992 (the "Series 1992 B Bond Resolution"), the Indenture and the Sixth Supplemental Trust Indenture, dated as of November 1, 1992 (the "Sixth Supplemental Indenture"), between the University and the Trustee, the \$30,200,000 The Ohio State University General Receipts Bonds, Series 1992 B (the "Series 1992 B Bonds") which have been currently refunded by the Series 1997 Bonds described below; and

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES A OF THE OHIO STATE UNIVERSITY (contd)**

WHEREAS, the University has issued pursuant to the Series 1985 A Bond Resolution, the resolution adopted by the Board on November 7, 1997 (the "Series 1997 Bond Resolution"), the Indenture and the Seventh Supplemental Trust Indenture, dated as of December 1, 1997 (the "Seventh Supplemental Indenture") between the University and the Trustee, the \$79,540,000 The Ohio State University General Receipts Bonds, Series 1997 (the "Series 1997 Bonds"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series A (the "Series A Notes") in the principal amount not to exceed \$120,000,000 for the purpose of paying or reimbursing a portion of the costs of the Series A Project and paying costs and expenses associated with the issuance of the Series A Notes; and

WHEREAS the University desires to make provisions for the issuance of the Series A Notes and for the payment of the Bond Service Charges thereon and the securing thereof by this Resolution and a Eighth Supplemental Trust Indenture (the "Eighth Supplemental Indenture") herein authorized; and

WHEREAS, pursuant to Section 7(H) of the First Supplemental Indenture and Section 7(H) of the Third Supplemental Indenture, John Nuveen & Co. Incorporated was appointed as the initial Remarketing Agent, as defined in the Indenture, for each of the Series 1985 B Bonds and the Series 1986 B Bonds; and

WHEREAS, pursuant to Section 7(I) of the First Supplemental Indenture and Section 7(I) of the Third Supplemental Indenture, the University may remove and replace the Remarketing Agent with another person meeting the requirements of the respective Sections with respect to each of the Series 1985 B Bonds and the Series 1986 B Bonds; and

WHEREAS, certain amendments to the First Supplemental Indenture and the Third Supplemental Indenture are necessary in order to permit the University to appoint a new Remarketing Agent for the Series 1985 B Bonds and the Series 1986 B Bonds.

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Original Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Original Indenture but used herein and not previously defined herein are defined as follows:

"Book Entry System" means a system under which (i) a physical Series A Note certificate in fully registered form is issued for each maturity of Series A Notes only to a Depository or its nominee as registered owner, with the Series A Notes held by and immobilized in the custody of the Depository; and (ii) a book entry record, maintained by and the responsibility of the Depository and not maintained by or the responsibility of the University or the Trustee, is the record that identifies, and records the transfer of the interests of, the owners of book entry interests in such Series A Notes.

"Costs of Issuance Account" means the Costs of Issuance Account in the Note Program Fund created pursuant to Section 5 hereof.

"Dealer" or "Dealers" means Merrill Lynch & Co., or any successor or assigns permitted under the Dealer Agreement, and any other dealer or dealers for the Series A Notes which is appointed by the University and has entered into a Dealer Agreement.

**AUTHORIZATION, ISSUANCE AND SALE OF
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"Dealer Agreement" or "Dealer Agreements" means respectively, the Dealer Agreement, dated as of [Dated Date], by and among the University and Merrill Lynch & Co., and any and all modifications, alterations, amendments and supplements thereto, and such agreement and any other Dealer Agreement entered into by the University and a Dealer or Dealers with respect to the Series A Notes.

"Depository" means any securities depository that is a clearing agency under federal law operating and maintaining, with its participants or otherwise, a Book Entry System to record ownership of book entry interests in obligations, and includes and means initially as to the Series A Notes, The Depository Trust Company (a limited purpose trust company), New York, New York.

"Note Proceedings" means the Series 1985 A Bond Resolution, the Original Indenture, any applicable Series Resolution, any applicable Supplemental Indenture and any other resolutions and agreements and amendments of and supplements to the foregoing, or any combination thereof, authorizing or providing for the terms and conditions applicable to, or providing for the security or sale of Notes, and the terms contained in such Notes.

"Note Program Fund" means the Note Program Fund held by the University and created in Section 5 hereof to be funded with the proceeds of the Series A Notes.

"Offering Memorandum" means, as to the Series A Notes, the Offering Memorandum relating to the original issuance of the Series A Notes, authorized pursuant to Section 3 hereof.

"Project Account" means the Project Account in the Note Program Fund created pursuant to Section 5 hereof.

"Series A Commercial Paper Resolution" or "this Resolution" as used herein. means this Resolution, as the same may be amended from time to time.

"Series A Project" means, collectively, paying or reimbursing a portion of the costs of (a) constructing and installing the Keck Genetic Research Facility (b) constructing and installing the Astronomical Instrumentation Center, (c) constructing and installing infrastructure improvements, including: the extension of chilled water lines to Baker System, Coldwell Laboratory and the Journalism Building; replacement of steam lines from the McCracken Power Plant to the vicinity of St. John Arena; construction of a storm water detention area and storm sewers near Buckeye Village; the extension of Fyffe Road from Hess Road North to Ackerman Road, replacement of a steam boiler and extension of chilled water lines and steam lines from the McCracken Power Plant, construction of new walkways and landscaping and storm water improvements at Neil Avenue and 19th Avenue, the extension of Ines Drive to Tuttle Park Place and sidewalks and utilities in connection with the Fisher College of Business, (d) construction of recreation and intramural sports fields, (e) construction and installation of the Tuttle Park Place Garage, (f) construction and installation of the Heart and Lung Institute, (g) constructing and installing the Success Center, (h) residence halls improvements including: demolition and construction work at Baker Hall; renovation and improvements at the south high rises; roof replacement for Mack Hall, Old Canfield Hall and Baker Hall; interior upgrades for Neilwood Gables; installation of fiber optics in 18 residence halls; upgrade elevators at Mack Hall and the Rathskeller; upgrade residence hall fire systems; and (l) renovations to Ohio Stadium, each of (a) through (i), and the individual items in (c) and (h), constituting a Component, as defined in Section 5 hereof.

"Trustee" means The Huntington National Bank, and any successor Trustee as determined or designated under or pursuant to the Original Indenture.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
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provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Bond Service Charges in the amount and manner, at the times, and from the sources provided in the Series 1985 A Bond Resolution, this Resolution, the Original Indenture and the Eighth Supplemental Indenture. except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Bonds, to be designated and known as The Ohio State University General Receipts Commercial Paper Notes, Series A, shall be issued pursuant to and as authorized by the Act, Section 21 of Article VIII of the Ohio Constitution, the Series 1985 A Bond Resolution, this Resolution, the Original Indenture and the Eighth Supplemental Indenture for the purposes of financing a portion of the costs of the University Facilities comprising the Series A Project and paying costs and expenses incidental to the issuance of the Series A Notes. The Series A Notes shall be issued from time to time as provided in the Eighth Supplemental Indenture to finance and refinance the cost of the Series A Project. Proceeds of the Series A Notes may also be used to pay maturing Series A Notes.

(b) Form and Numbering. The Series A Notes shall be issued only as fully registered Bonds. The Series A Notes shall be numbered as determined by the Trustee.

(c) Denominations and Dates. The Notes shall be dated the date of their respective authentication and issuance; shall be issued in registered form, registered to bearer (subject to Section 2.06 of the Eighth Supplemental Indenture) unless otherwise designated by a Dealer; and shall be issued in denominations of \$100,000 and in integral multiples of \$1,000 in excess thereof.

(d) Principal Amount. The Series A Notes shall be issued in the principal amount specified in the Eighth Supplemental Indenture, which amount shall not exceed \$120,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Dealer to establish the date, location, procedures and conditions for the delivery of the Series A Notes to the Dealer and to take all steps as necessary to effect due execution, authentication and delivery of the Series A Notes to the Dealer or to the persons whom the Dealer directs under the terms of this Resolution. The Series A Notes shall be signed by the the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest. The Series A Notes shall bear interest from their respective dates, payable at maturity, at a rate not to exceed 12% per annum (calculated on the basis of a year consisting of 365/366 days and actual number of days elapsed).

(g) Maturities. The Series A Notes (i) shall mature not more than 270 days after their respective dates, but in no event later than July 1, 2001, and (ii) shall mature on a Business Day. The stated interest rate, maturity date and other terms of each Note, so long as not inconsistent with the terms of the Eighth Supplemental Indenture, shall be as set forth in the Instructions delivered to the Trustee pursuant to Section 2.07 of the Eighth Supplemental Indenture.

(h) Redemption. The Series A Notes shall not be subject to redemption prior to their stated maturities.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES A OF THE OHIO STATE UNIVERSITY (contd)**

(i) Appointment of Dealer. The Board hereby appoints Merrill Lynch & Co. as the initial Dealer for the Series A Notes.

(j) Security. As provided in the Indenture as supplemented by the Seventh Supplemental Indenture, there is hereby pledged to the security of the Series A Notes, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the moneys contained in the Special Funds, but excluding the Bond Reserve Fund. Anything else to the contrary in the Indenture, the Series 1985 A Bond Resolution, the Eighth Supplemental Indenture or this Resolution notwithstanding, the Series A Notes shall not have access to, any claim upon or be secured by, the Bond Reserve Fund or the Note Program Fund. This pledge of General Receipts shall be on parity with expenses, claims and payments relating to other Parity Obligations (as defined in the Original Indenture) and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all the General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University.

For the further security of the Series A Notes, and any Additional Bonds (as defined in the Original Indenture), the University hereby covenants with the bondholders and the Trustee that so long as any Series A Notes or Additional Bonds are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Bond Service Charges when due, (ii) together with other moneys lawfully available therefor, to pay all costs and expenses required to be paid under the Note Proceedings, and (iii) together with other moneys lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(k) Payment, Places of Payment, and Paying Agents. The principal of and interest on the Series A Notes shall be paid in federal or other immediately available funds in such coin or currency of the United States of America as, at the respective times of payment, is legal tender for the payment of public and private debts. The principal of and interest on the Series A Notes shall be payable at the principal office of the Trustee on or before the close of business on any Business Day upon which such Series A Notes have become due and payable, provided that such Series A Notes are presented and surrendered on a timely basis. Upon presentation of such a Series A Note to the Trustee no later than 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment for such Series A Note shall be made by the Trustee in immediately available funds on such Business Day. If a Series A Note is presented for payment after 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment therefor shall be made by the Trustee on the next succeeding Business Day, without the accrual of additional interest thereon.

(l) Book Entry. Subject to the provisions of the immediately following paragraph, the Series A Notes shall be issued only to a Depository for holding in a Book Entry System in accordance with the provisions of Section 2.06 of the Eighth Supplemental Indenture. Those Series A Notes shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series A Notes shall be evidenced by a single certificate in the aggregate principal amount of the Series A Notes maturing on such maturity date.

If any Depository determines not to continue to act as a Depository for the Series A Notes for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series A Notes from the Depository, and authenticate and deliver the

**AUTHORIZATION, ISSUANCE AND SALE OF
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Series A Note certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series A Notes) of the Bondholders.

Section 3. Offering Memorandum. It is determined hereby that the manner of sale and the terms of the Series A Notes, as provided in this Resolution and the Eighth Supplemental Indenture, are consistent with all legal requirements and will carry out the public purposes of the Act.

The use and distribution of the Offering Memorandum relating to the Series A Notes substantially in the form now on file with the Board by the Dealer is hereby approved and authorized. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of any statements in the Offering Memorandum contained in Appendix B thereto.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series A Notes, including any accrued interest, shall be allocated, deposited and applied as follows:

(i) To the Bond Fund, accrued interest, if any, received on the sale of the Series A Notes;
and

(ii) To the Note Program Fund, created pursuant to Section 5 of this Resolution, the entire remaining amount of the proceeds of the Series A Notes, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series A Notes.

Section 5. Note Program Fund. There is hereby created by the a fund to be maintained in the custody of the Treasurer and designated the "Note Program Fund." The Note Program Fund shall be funded from the proceeds of the sale of the Series A Notes. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series A Project and costs and expenses associated with the issuance of the Series A Notes. The Note Program Fund shall not constitute a Special Fund and shall not be pledged to the payment of Bond Service Charges.

Moneys held in the Note Program Fund, including all investment earnings thereon, pending disbursement from the Note Program Fund shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University, as the same may be amended from time to time. The University may establish such accounts in the Note Program Fund as are necessary or desirable to carry out the requirements of the Eighth Supplemental Indenture.

The Treasurer shall maintain such books and records with respect to disbursements from the Note Program Fund so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Note Program Fund may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Bond Fund to be used to pay Bond Service Charges on the Series A Notes.

There shall be established in the Note Program Fund the following accounts:

(i) The Costs of Issuance Account, from which shall be paid the costs of issuance of the Series A Notes;

(ii) The Project Account, from which shall be disbursed such portion of the proceeds of the Series A Notes necessary to pay costs of the Series A Project.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES A OF THE OHIO STATE UNIVERSITY (contd)**

The Treasurer shall designate in writing, either in the Eighth Supplemental Indenture or otherwise, the allocation of the proceeds of the Series A Notes to each of the foregoing accounts.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series A Project (each a "Component") to be paid from the Note Program Fund have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Note Program Fund with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each an "Additional Project"). Moneys remaining on deposit in the Note Program Fund after the completion of the Series A Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series A Notes will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and

payment for the Series A Notes, so that the Series A Notes will not constitute "arbitrage bonds" under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series A Notes, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series A Notes setting forth the reasonable expectations of the University regarding the amount and use of those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series A Notes.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series A Notes and will not take or permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series A Notes; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series A Notes.

Section 7. Further Covenants. In addition to the covenants elsewhere contained herein, the University further covenants as provided in Section 13 of the Series 1985 A Bond Resolution, which covenants are incorporated herein as if fully set forth herein.

Section 8. Eighth Supplemental Indenture and Other Documents. In order to better secure the payment of the Bond Service Charges as the same shall become due and payable, the Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute, acknowledge and deliver to the Trustee and the Dealer, in the name and on behalf of the University and pursuant to the Indenture, the Eighth Supplemental Indenture and the Dealer Agreement, respectively, each in substantially the form submitted to this Board, or to such officers on behalf of this Board and the University, and such documents are hereby approved, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. The Eighth Supplemental Indenture shall also include the form of the Series A Notes (the "Form of Note"), attached thereto as Exhibit A. The execution of such documents by any of the officers shall conclusively evidence that the officers and the Board approve such changes and that such changes are not substantially adverse to the University.

Each of such officers is further authorized to execute and deliver on behalf of the University such other certificates, documents and instruments as are necessary in connection with the acts authorized by this Resolution.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES A OF THE OHIO STATE UNIVERSITY (contd)**

Section 9. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 10. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

Upon motion of Mr. Brennan, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolution with six affirmative votes cast by Messrs. Celeste, Colley, Brennan, and Slane, Judge Duncan, and Ms. Longaberger; and three abstentions by Messrs. Skestos, Patterson, and Sofia.

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President Kirwan:

In addition, we are recommending two easements, item #17: 1) the Ohio Edison Company, and 2) the Columbus and Southern Electric Company.

EASEMENTS

Resolution No. 99-23

THE OHIO EDISON COMPANY
MOLLY CAREN AGRICULTURAL CENTER AND
COLUMBUS AND SOUTHERN ELECTRIC COMPANY
2470 NORTH STAR AVENUE

Synopsis: Authorization to grant renewal easements across University property is proposed.

WHEREAS the Ohio Edison Company has requested a 25-year renewal easement consisting of a total of 4,672 feet of land located at the Molly Caren Agricultural Center in Madison County, Ohio, for electric transmission lines to serve the Farm Science Review buildings on the property; and

WHEREAS the Columbus and Southern Ohio Electric Company has requested a 25-year renewal easement consisting of a strip of land 15 feet in width and 1,160 feet in length for the purpose of serving University property at 2470 North Star Avenue; and

WHEREAS the appropriate University offices have recommended the approval of these easements:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Administration be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his/her statutory duties, to act for and on behalf of the University in developing the necessary legal instruments to provide for these easements.

Upon motion of Mr. Skestos, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolution with eight affirmative votes cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and Ms. Longaberger, and an abstention by Judge Duncan.

(See Appendix VI for maps, page 101.)

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July 10, 1998 meeting, Board of Trustees

President Kirwan:

Mr. Chairman, I would like to ask Vice President Bill Shkurti to outline the Fiscal Year 1999 Current Funds Budget.

Mr. William Shkurti:

Thank you, Mr. President. The current funds budget is organized to support the academic priorities of the institution, which are improving the excellence of our academic programs, improving the student experience, inside and outside of the classroom, and providing the additional resources necessary to do so.

This is really the fifth time the budget or a part, there of, has appeared before the Board. In previous sessions, we took a look at how Ohio State compares to benchmark institutions regarding its revenues and compensation, and the Board has previously approved the compensation and the first vote on tuition. State law requires a second vote when tuition is increased more than 4 percent, and that is also included in this resolution.

Mr. Shkurti: (contd)

We feel the budget, as it has been presented to the Board, represents a tremendous opportunity for the University to continue to move forward in the priorities that the President outlined earlier this morning. We recommend this resolution for approval.

Mr. Celeste:

Are there any questions of Mr. Shkurti?

FY 1999 CURRENT FUNDS BUDGET

Resolution No. 99-24

Synopsis: The budget for fiscal year 1998-99 is proposed.

WHEREAS the levels of resources have been identified for the second year of the 1997-99 biennium; and

WHEREAS current legislation requires a second separate vote for any tuition increases above 4 percent for the combined General and Instructional Fees for in-state undergraduates; and

WHEREAS an increase of 4 percent in the combined General and Instructional Fees for in-state undergraduates was previously approved at the June 5, 1998 Board meeting, along with General, Instructional and Non-resident fees for all other levels of students; and

WHEREAS an additional increase of 2 percent must be approved on a contingent basis in a separate vote; and

WHEREAS appropriate planning and consultation within the University has been accomplished; and

WHEREAS the President is now prepared to present the 1998-99 budget:

NOW THEREFORE

BE IT RESOLVED, That tuition and fees be increased for in-state undergraduates as specified in the accompanying materials; and

BE IT FURTHER RESOLVED, That the University's Current Funds Budget Summary for fiscal year 1998-99, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

FY 1999 CURRENT FUNDS BUDGET (contd)

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and to file these materials with the official records of this University.

Upon motion of Mr. Brennan, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, Judge Duncan, and Ms. Longaberger.

(See Appendix VII for background information, page 103.)

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STUDENT RECOGNITION AWARD

Ms. Lowe:

It is my pleasure to introduce to all of you this morning, our July Student Recognition Award recipient, John Lawton, known as "JB" to most of us. JB is completing his doctoral studies in the Department of Theater, where he has been a contributor both in and outside the classroom. Not only has JB been a successful graduate student and recitation instructor in his department, he has also been very active in the College of Arts as a student government representative and as the founder of an arts advocacy group within the College of the Arts.

JB's energies extend well beyond his department and college. He has been a graduate assistant in the Office of the Graduate School for the last two years, and just recently received the 1998 Graduate School Leadership Award. As one of his recommenders commented, "JB has redefined what it has meant to be involved legislatively within the University and outside of it."

One of his most prominent roles involves his work with the Council of Graduate Students, for which he has been a member of the Executive Committee responsible for legislative affairs. Similarly, he has served on the University Senate Legislative Affairs Committee as its chair. In this role, JB joins only a handful of students who have chaired University Senate Committees.

On campus, JB has been a powerful advocate for mobilizing students to be active in the politics of their community and the coordinator of a very successful voter registration and student volunteer recruitment drive. JB's leadership in this organization helped the OSU Council of Graduate Students win the Legislative Activities Award in 1997 from the National Association of Graduate and Professional Students. Beyond the campus, JB has served as the national chair of Legislative Concerns for the National Association of Graduate and Professional Students, the largest graduate student organization in America.

Although JB will complete his degree in December, I expect to hear his voice speaking on behalf of higher education for a long time to come.

On behalf of the Board of Trustees, please join me in thanking JB for his service to Ohio State University.

Mr. John B. Lawton:

Thank you so very much for this award. As a theater student, I have to take a stage whenever I get a chance. I am also very relieved to have listened to the remarks that President Kirwan just made and I think his vision of this University fits exactly what I am going to be talking about.

STUDENT RECOGNITION AWARD (contd)

Mr. Lawton: (contd)

I am honored by your recognition of me. It's wonderful to receive your endorsement of my work. I hope you will continue to encourage students like me to be politically active. More, I hope you will encourage the entire University community to be active. Because, unfortunately, activism is just what is needed right now.

The Ohio League of Women Voters recently released a study noting that Ohio is 40th in the nation in state funding for higher education. And in the wake of the defeat of Issue 2, no one knows for sure what will happen to state funding for Ohio's colleges and universities in the future. If we are going to achieve our goal of seeing OSU become one of the nation's top ten public universities in the next ten years, we need to be proactive advocates to the elected officials in the Statehouse and to all of the citizens of Ohio.

But that's only half the battle. We need to talk to the people in Washington, DC, too. And now more than ever. Just this week the Senate has been voting to reauthorize the Higher Education Act. That authorization however will be all but irrelevant, if Congress doesn't back up its actions with money in the budget. And there is where the problem hits home for us here, in particular.

Congressman John Kasich, an OSU alumnus, who considers the University community part of his constituency, has proposed a FY '99 budget that disproportionately hits higher education funding over the next five years. Perkins loans, State Student Incentive Grants, and the Byrd Honors Scholarship have been zeroed out. The few graduate grant programs remaining within Title IX of the Higher Education Act have been eliminated.

Why? The sad and simple truth is that the higher education community appears to be a vulnerable target, or at least Representative Kasich thinks so. An article in this week's *Chronicle of Higher Education* quotes him as saying, "Higher education couldn't organize its way out of a paper bag."

I hope and believe that Representative Kasich is wrong. I hope that we can organize ourselves in order to better explain to him and to his fellow legislators why an investment in higher education is an investment in this country's future. If we can't, we've got no one to blame but ourselves. If we can't be advocates for higher education, who can?

And there is nothing wrong with being an advocate. As Constance Ewing Cook reminds us in her new book Lobbying for Higher Education, lobbying is not a dirty word. "It is legitimate and important for a policy community to have its voice heard in Washington, and for legislators who are making decisions that will affect a group to hear how the decisions will affect that community."

Ladies and gentlemen, as Trustees of this great University, you are in a unique position to speak for our community. You are better aware than most how decisions made by legislators will affect us all. I hope you will share that insight as you talk to those legislators and other civic leaders. Please make our concerns part of the national and state political dialogue.

But as Trustees you are also in a unique position to speak to our community. You have spoken to me today by recognizing me with this award. I hope that you will continue to encourage all of us to rally together on our own behalf. We all know what a valuable institution we have in Ohio State. Help us share that knowledge with the world.

Thank you.

STUDENT RECOGNITION AWARD (contd)

Mr. Celeste:

Thank you very much, JB.

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STUDENT GOVERNMENT REPORTS

Ms. Kathleen Carberry:

Good morning. IPC would like to welcome President Kirwan to The Ohio State University and thank Dr. Sisson for his year of service.

In June, IPC sent two representatives, Travis Harker and Peter Rosel, to the National Association of Graduate and Professional Students (NAGS) Conference in Washington DC. The emphasis of this trip was to work with Senator Tom Harkin on the Higher Education Act, which would eliminate the one percent insurance fee paid by students on Subsidized Federal Family Education Loans and reduces the total origination fee on direct loans from four percent to three percent.

In short, the goal of the IPC representatives was to help reduce the total debt for students receiving loans. National issues will continue to be an emphasis for IPC and they look forward to working with CGS on a graduate and professional school voter registration drive that will allow students to become individually involved with NAGS.

Also, IPC supports the College of Optometry's campaign to increase the funding that the school receives. One goal of this campaign is to increase the percent of student tuition that goes directly to the College. This campaign began last spring and will continue to develop through next fall.

IPC has a new office and will make their move from Room 305, to Room 306 in the Ohio Union. This move is a small jump to a much bigger space. Further, they are currently working on a fundraising campaign in order to purchase two computers and furniture for this office.

This August, IPC will have a summer conference to finalize their agenda, budget, and schedule for the upcoming year. Their intention is to hit the ground running when Autumn Quarter begins.

Next, the Undergraduate Student Government welcomes President Kirwan to his first Board of Trustees meeting as President of The Ohio State University. USG would also like to express its gratitude for Dr. Sisson's service as Interim President and would additionally like to inform him that they are all taking his class this spring.

During this past month, USG has devoted substantial time into prioritizing their requests for set aside allocation and advocating for initiatives of great importance to students. Additionally, USG is pleased with the recommendations that the Office of Finance forwarded on to the Board for vote today. They are confident that the initiatives that the Office of Finance suggested funding will significantly impact students' lives. Additionally, they would like the Board to note that the Office of Finance did a great job of considering students' priorities and involving students in the allocation process.

USG has begun an initiative to create a student discount card. The card will be of no charge to students and it will provide discounts for twenty businesses in the University

STUDENT GOVERNMENT REPORTS (contd)

Ms. Carberry: (contd)

district. It will expire after the 1998-99 academic year, at which time they will evaluate its usage and merits, and decide whether to make the card an ongoing USG service.

USG is putting the final touches on their Student Safety Guide that will be distributed to students beginning Autumn Quarter. The guide highlights the best lighted walkways on campus and within the University district. Additionally, it identifies the location of the blue emergency phones throughout campus and also marks the Ohio State and COTA bus stops. The guide also provides crime prevention tips and emergency phone numbers, and is produced in memory of the Ohio State fallen police officer, Michael Blankenship.

Also, USG is finishing its Teacher Evaluation publication. This booklet displays the student evaluation of instruction survey that students complete at the end of each course. Currently, USG only prints the evaluations of instructors that permit them to do so. But according to the State of Ohio Open Records Law, these evaluations are public information. USG feels that students have a right to view these evaluations not only because they pay to take these courses, but also because they are primarily citizens of the State of Ohio and it is their right.

Accordingly, USG will work with instructors and departments in the coming year with the goal of printing every evaluation for the following publication. It is very important that the booklet highlights excellent instructors. Therefore, USG requested that the participating teachers for the coming publication provide information regarding grants, accolades, and awards.

Also, USG is currently planning a voter registration drive for this autumn. The drive will be campus-wide and will not only register students, but educate them on their rights to vote and the importance of exercising such rights.

Finally, the Council of Graduate Students wish to welcome President Kirwan and deeply appreciates the service of Dr. Sisson this past year. CGS has ambitious goals for the coming year, which we will work on at our executive retreat to be held at the end of this month. Among these goals will be attention to the international graduate student experience -- helping these students adjust to life at Ohio State -- and to evaluate the quality of programs for graduate teaching assistants and make recommendations.

We are also planning a leadership conference, along with the Student Leadership Council in the College of Education. We are eager to work with the University on living/learning communities, which will rely in part on the experience and expertise of graduate students to help mentor undergraduates at the University.

Some of our on-going programs include: planning the new graduate student orientation this fall; working with USG and IPC on the voter registration drive and other legislative issues; and the completion and subsequent recommendations of the G-QUE report, which some of you heard earlier.

We recently moved our offices to the Ohio Union and would like to thank Samantha Cothorn of the Ohio Union for making this process seamless. We are already in closer contact with other student organizations, including USG and IPC, and other student groups, as well as the Office of Student Affairs.

That completes my report.

STUDENT GOVERNMENT REPORTS (contd)

Mr. Celeste:

Any questions of Kathleen? Thank you very much.

Ms. Carberry:

Thank you.

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REPORT ON STRATEGIC INDICATORS

President Kirwan:

One of the things, as a newcomer to the community, that has impressed me so much is the rigor with which the institution is pursuing this goal of improved academic quality. I think the development of these benchmarks that we are going to hear about is a very important step and it reflects the quality of thought and the sense of purpose that really pervades the institution as we move towards this goal.

Back in February, the Board had an initial discussion about the development of benchmarks, and Ed Ray and his colleagues have been working in intervening months to take this another step forward. I'd now like to call on Ed Ray to report to us on strategic indicators.

Dr. Edward Ray:

Thank you, Mr. President. I'd also like to point out that Alice Stewart and Bill Shkurti are here to help me with the presentation.

As you mentioned, Mr. President, we had a presentation to the Board in February in which we talked about benchmark institutions. We promised then that we would come back to take this discussion of benchmarking and the use of performance measures to the next level.

We have talked in a number of different contexts about the goal of being among the top ten public universities in this country. It is a clear enough -- and I think many believe an approachable enough -- target that it helps, in a sense, energize a lot of efforts that are moving us in that direction. But I want to make a couple of points clear before we get into talking about the specific numbers and performance measures.

First and foremost, this is not about bragging rights. This isn't about saying that we are number ten or we are number fifteen or anything of the sort. This is about providing the people of Ohio with the highest possible quality of skilled citizens that the state will need to compete economically in the next century and contributing our utmost to their quality of life.

We'll talk a little bit about specific measures that we've been considering and how they might play a role in our list of strategic indicators. But, again, I want to remind us that first and foremost, we must develop our own checklist of what it means to be in the Top 10 that reflects our mission and values. So the task we're about here is much more demanding and rewarding, I believe, ultimately than simply finding out what the ratings game is about and how we could win it.

How are we going to get there in terms of setting our objectives and getting the job done? There are a lot of pieces that we've talked about at different Board meetings

REPORT ON STRATEGIC INDICATORS (contd)

Dr. Ray: (contd)

and elsewhere. There has been some mention already of the leadership agenda that has helped us to identify specific action areas and individuals responsible for progress in those areas with regard to the academic programs, the student experience, and our resource management issues. The student recruitment and retention plans have been discussed.

Recently the Research Commission issued its report and it offers tremendous insights and challenges that we'll be trying to address in the months and years ahead. There is a Faculty Development Report in process that will play an important part in helping us not only to recruit, but retain the very best faculty. We heard reports this morning on CUE, on G-QUE, and a discussion about where I-QUE is going. We have a library taskforce that's come in with a report recently with some very provocative and challenging suggestions.

How are we going to pay for all of this? There are a number of things that we are doing. In the earlier budget presentations to the Board this year, we talked about the various benchmarks for financial resources and how we compare to other institutions. It is important to do a reality check and have a sense of where we are. In the annual budget process, we try to relate what we propose to do with budgets, with what we have identified as our objectives, and to provide a logical and rational way to which we bring resources to bear on the problems that we have.

We have had some discussions for three years now about the budget restructuring process. And I expect that this fall, we will have a much more focused and engaged discussion about how we might do budgets differently around here to serve our objectives of becoming one of the truly leading public universities.

How will we know when we get there? Well that is part of what we are going to talk about today. If you are not willing to benchmark and look at performance measures - - both against yourself in the past and against the best in class, whatever the class is you are competing in -- you are never going to know really where you stand. We'll be talking today about University-wide strategic indicators that are in process. Alice Stewart and her staff have been doing an extraordinary job helping us make progress in that regard.

We are also working with the colleges on college-specific indicators. And, again, Alice and her staff have done a terrific job working with the colleges to help them define appropriate measures for themselves, track down data, and begin the very difficult task that we ultimately will have to perform. Really articulating the efforts that are going on at the department and college-level with what has to happen at the University-level. So having said all that, let me stop and ask Alice to get us into the specifics.

Dr. Alice Stewart: [overhead presentation]

Thank you for having us back. I'm excited to be back and speak to you again about our progress on the benchmarking project.

One thing that I do want to tell you is that what you are going to be seeing today is just one piece of what we have been working on. We've collected a lot of data in the last six months. The other piece of good and interesting news is that once we identified those benchmark institutions, a lot of people started contacting my group to learn more about the benchmarking process, to say, "How can we get involved?"

REPORT ON STRATEGIC INDICATORS (contd)

Dr. Stewart: (contd)

So in addition to the work that we've been doing, there are other areas on campus -- specifically, University Technology Services, the President's Council on Outreach and Engagement, and Career Services -- who are saying, "Hey, this is a good idea. Let's see if we can organize and coordinate our efforts." So one piece of good news is that this identification of benchmarks has really spawned a lot of interest at the University.

Basically, what we're trying to do now is use the data that we've created to identify more clearly what types of conversations we need to be having around the University in order to more clearly articulate where we want to go. As you can see, our target is, "What does it mean to be a top ten public university?" Our goals have been articulated: 1) we want academic quality; 2) we want to enhance the student experience; and 3) we want fiscal responsibility.

Now after sitting in many meetings with various people around the University, as well as looking at a lot of data these past six months, we have come up with four strategic tasks that we need to grapple with as a university. The first two are: 1) increase the value and visibility of OSU in the State of Ohio, the nation, and internationally; and 2) increase revenue generation from state and non-state sources. I know that these are things that we have some general consensus around. You have heard lots of reports from different areas around the University and this should probably strike a consistent note.

The last two strategic tasks are: 3) sharpen the academic focus to determine, "What we mean by Top Ten?"; and 4) redefine the "Access Mission" of OSU in the context of pursuing the "Top 10" goal. These are two goals where there may not be quite as much consensus. There is a lot of discussion around these two areas and I think that they are very consistent with President Kirwan's objective of trying to determine, "What does it mean to be a land-grant university in the 21st Century?"

But in dealing with these four strategic tasks and grappling with this, we need to have some data to support or inform the discussions that are going along on this particular list. Part of that is identifying what types of things we want to look at to see: 1) how it informs these particular discussions; and 2) to see where our progress is occurring. That is where we are going with the presentation today.

What I have brought for you is just a sample set of strategic indicators. These are certainly not everything that we have looked at. We've looked at a wide array of data, some of it is consistent with what we already have known and some pieces are probably a bit of a surprise. We keep looking for more data. We keep looking for ways to move from the traditional data that we have all seen, to data that helps us to understand the process of what is going on.

As you can see, the good news is we picked a good group of benchmarks. These universities are obviously of high quality. We do, however, find ourselves in a position where we are at the lower end of some of the lists.

A bright spot in our analysis is that even though we have a ways to travel in terms of our student diversity, we are making strides there and I think we should feel very proud of that. In the quality of our students -- the top ten percent of the high school class, or in terms of our student outcomes -- as you all know and have heard from other sources, we need to continue to make progress.

I would also like to tell you that we have been working not just on looking at these particular pieces of data -- which I know you have seen in other forms and from

REPORT ON STRATEGIC INDICATORS (contd)

Dr. Stewart: (contd)

other parts of the University -- but we've also tried to start to work toward getting an idea of what causes some of these retention rates. What causes these graduation rates to be this way?

Part of our efforts these past six months have generated what is called a "Campus/Student Survey" that we are doing in conjunction with several other universities. It is sponsored by the Higher Education Research Institute at UCLA. So we are part of that assessment. We've gotten an interesting sample of University students. Probably in another six months, we'll be able to come back and give you some idea of the student experience pieces that are missing from this list right now. Hopefully, we will tie that to some of the data that we already have about retention and graduation.

Other areas that we are looking at are the academic impact, our financial performance, and our position relative to our peers on these particular criteria. Again, we have a challenging position. OSU is positioned to be one of the top ten public universities in the country. There is no doubt about that, but as you can see from looking at our benchmarks we do have a ways to go.

One additional point here -- I know that you have seen some of this data in the Research Commission Report regarding market share, citations, or patents and licensing. As part of our benchmarking efforts, we are collecting more data and we are pushing toward trying to understand what do we need to do to make that research market share go up. What do we need to do in terms of focusing on our patents and licensing? What types of patents and licensing seem to be working in some of these other universities? So we are trying to push to that next level.

Now there are two things that we can do with this data that I think are pretty interesting. One is to say, "We have this data, what can it tell us about what we need to do? What will it take to move OSU up in the rankings? What will it take to move us up to the next level?" And we define the next level as trying to hit somewhere between number eight and fourteen. We can't say specifically we're going to shoot for number ten exactly, but if we followed this particular line of reasoning, it should land us somewhere between number eight and fourteen.

In terms of our teaching impact indicators, the student profiles, and student outcomes, you can see we really aren't that far away. In only one area -- which is the area of the students in the top ten percent of their high school class -- is there a substantial magnitude of increase necessary. In all of these other areas, these are very achievable goals. I think one of the pieces of good news is that we really aren't that far away. I think it requires a little more focus and attention to what we are doing.

We are a little farther down when it comes to research market share, citations, and patents and licensing. But I think these also represent achievable goals. These are not impossible tasks. It's very difficult to move the federal market share when the federal research dollars have been as tight as they have been in the past. The good news is that some of that is starting to free up because of the budget surpluses and there will be more investment at the federal level into the NSF and NIH. So I think it's going to be possible to capture some of that research money in the future than it has been in the past decade.

I combined patents and licensing purely for simplification purposes, but in some cases we are not as bad off as it might seem. Just in terms of patents by themselves, we

REPORT ON STRATEGIC INDICATORS (contd)

Dr. Stewart: (contd)

probably are closer to the middle of the pack. We are lower in licensing. So I do think that, again, we have some achievable goals. We are not so far away that we can't hit that top ten.

The second thing that we can do with the benchmarking information is to start thinking about what kinds of issues it raises? What does it inform? And how can it help us have conversations around these issues in such a way that we can clearly articulate or define some of the trade-offs that we have to grapple with as a university? This is just an example, but one of these issues is framed this way, "Should OSU's 'Access' focus be on traditional students or other learning populations?" I think there is a choice here that we may have to grapple with. Is access about our new entering fall quarter freshmen or is access about lifelong learning? I think that's a decision that OSU has to make and something we have to think about.

We seem to be in the middle of the pack relative to our benchmarks. I didn't put all of the benchmarks on here because it would look a bit confusing that way, but here represents the low end and here represents the high end. We certainly are a big distance away from the low end on some of these parameters, but we also are a far distance from the high end -- especially in terms of part-time students and non-traditional students.

The University of Minnesota is an outlier. They're the only ones that have such a high percentage, but they have a very interesting part-time program. As part of the benchmarking process, we visited Minnesota -- in conjunction with another conference that we were attending -- and actually talked to the people there about their part-time program. We asked them how they do that, what types of programs they have, and how they actually administer that system. So we are doing some of this more in-depth benchmarking that the Board asked about at our last meeting.

I think the issue is that at some point we might need to make a choice, because what we seem to find is two or three subgroups within this group of nine. Sometimes it looks like a group has moved in one direction and the rest have moved in another direction. Often we see that OSU is in the middle. We haven't really established that we're going to go this way or we're going to go that way. We are a comprehensive university and historically that has meant that we've tried to do a little bit of everything. It may be that we've reached the juncture where we need to make some decisions about moving more strongly in one direction or another.

Mr. Celeste:

Do you have any category that would include the distance learning students as they fit into those criteria?

Dr. Stewart:

I don't have any hard data. I would say that they tend to fit into the non-traditional and part-time categories. I only say that not as hard data, but in terms of reading about some of the other universities that have moved more strongly in that direction. They don't tend to be your traditional 18 to 22-year olds. They tend to be people who are coming back to school or people who are trying to fit school in around a job activity or continuing education opportunities.

REPORT ON STRATEGIC INDICATORS (contd)

Dr. Stewart: (contd)

UTS is in the process of developing some information on distance learning relative to our benchmark universities. I think that we are at the table within that conversation and they're going to have some more information on that. We're working with them to create an ongoing data collection mechanism so that we can answer that question a little bit better.

Dr. Ray:

Just to close the formal part of this and throw things open for discussion, let me remind us where we've been the past year. We developed a group of benchmark institutions. For comparison purposes, we've had a dialogue with the colleges

regarding college-specific benchmark institutions and strategic indicators. From the campus-wide discussions, a consensus seems to be emerging around two issues: 1) the University can and should aspire to be one of the country's top ten public institutions; and 2) although resources alone will not achieve this goal, more resources will be needed from a variety of sources. Finally, a leadership agenda was established to link strategic goals and operational activities.

Over the next year, among the things that need to be accomplished, the University needs to more clearly articulate a strategic focus, particularly, as it applies to what we mean by top ten. I might say in that regard -- among other summer projects -- Bill and I are working on another draft of what we mean by top ten and that will be integrated into the discussion of the budget restructuring process. Because if we are going to talk about how to make budgets more responsive to our needs, we have to clearly define what it is we are trying to achieve, and how the budget process can support that. The appropriate role played by access in this strategy is something that we need to come back to.

As specific goals for the University are defined, strategic indicators for the University and individual colleges will need to be refined and integrated, as we suggested earlier. And the leadership agenda needs to clearly link efforts such as the Research Commission and the budget restructuring process into the strategic focus.

Let me stop there and see if Bill or Alice would like to add anything and then we will entertain questions if you have any.

Mr. William J. Shkurti:

Ed, just let me add two things. One is that the strategic indicators that Alice put up on the screen were used as an illustrative purpose to give you a sense of where this all goes. As President Kirwan mentioned in his opening remarks, this is an initial discussion. This is a large campus and we want to make sure everybody has an opportunity to have input. So these indicators still need some discussion, particularly, on the college-level, as well as the University-wide level.

The second thing -- which is near and dear to my heart -- is that the indicators, as Ed mentioned, show that OSU is not as financially well-supported as perhaps some of the institutions we are competing with. It is important that as we move forward we acquire more resources, but I think it is equally important that we are using the resources we have in an appropriate way. This is not just about money; this is about what the University does for the people of Ohio. Although resources are a part of that, they are not an end in themselves. They are only a means, and it is important to stress that.

REPORT ON STRATEGIC INDICATORS (contd)

Mr. Brennan:

I have one more question, Ed. Have you carried that very far?

Dr. Ray:

Well, for example, the *U.S. News and World Report* has looked at what are called, "ACT Adjusted First-Year Retention Rates" or "ACT Adjusted Six-Year Graduation Rates." The idea is -- as imperfect as the ACT tests are in measuring preparation for college and the likelihood of success -- that this is a way of anchoring, as best we can, the characteristics and the training that students bring to the bargain in terms of getting a college education, and then getting a sense of how the institution deals with that. Those are probably the two clearest examples.

We have been looking at that data and we can compare it with the benchmark institutions. A real challenge is to find other areas where we can do that same process of looking at value-added. We think that's an important part of the mission of this University, to provide value-added in a way that the more elitist institutions really aren't able to target.

Mr. Brennan:

I think that we all know that when the quality of the freshman class elevates, in terms of academic skills, the broader, good effect we will get out of their education. Which is a lot to be said for someone that isn't all that terrific and yet hits a homerun while he is with us. We recognize all of these colleges that we have, we are a big conglomerate. But it seems to me, that at least you could -- by college or on a pilot basis -- do some entrance testing or do some exit testing, and see how the student, who stayed the course, did with us. It has to be possible to do that.

Dr. Ray:

Yes. In fact, Alice might want to say something about this. There is a lot of work being done, particularly in the professional colleges where they get a sense of what the outcomes are of the student experience here. Part of what the University -- in particular, the Arts and Sciences -- is going to have to learn is how we can gain from the experience that professional schools have had in trying to assess the characteristics that students come to them with and what the outcomes are associated with that. Alice, I don't know if you want to say something?

Dr. Stewart:

I would add two things. One is, again, this Campus Student Survey. We pulled a sample and we will be able to look at issues of the student experience by college. So we are able to do comparisons by college and by student rank. Some of the information in that survey has to do with their experience in the school and their perception of how well they have progressed. I know it is a self-reported type of instrument, but at least we will get some sense from the students as to how they perceive their progress and what their future goals are for graduate school and so forth. So we will have some data on that.

Also, in cooperation with Enrollment Management, we are trying to figure out what the different types of retention and graduation rate issues are in different categories. We did a bit of a study last fall where we looked at the initial high school rank and GPA and connected that to retention and found some interesting things, too. So we are sensitive to the fact that we don't need just top ten percent students and that there are some really hardworking students.

REPORT ON STRATEGIC INDICATORS (contd)

Mr. Brennan:

Let me ask you a very specific question. Think of colleges who use the conventional experience to benchmark a beginning freshman class, test them on the standardized test, and test them on graduation. I don't care how you do it, but have a subjective measurement at Ohio State. You come to the engineering college and when you graduate we would be asked to substantially -- however you want to -- measure that. Nobody does this now, Ed. We've already talked about setting our own standards. I'd like to see us pursue this one.

Mr. Celeste:

Any other questions? Jim?

Mr. Patterson:

Yes. Alice hit on this a little, but the assumption or presumption is that if one is in the top ten percent of the class, scored high on tests, and has a National Merit Scholarship, that that translates into retention. Okay, Ed, you are shaking your head no. But if that doesn't translate into retention, why is it important to us that we get the top ten percent of the students? It seems to me, what we need to do is find out what kind of a student does graduate and go after that kind of student. I don't know what kind of a student that is, but we don't want the top ten percent student, just to have the top ten percent student. We don't want most of the National Merit Scholars, what we want are students who will graduate ultimately from this University.

Dr. Ray:

Let me make three quick points. One is, if you looked at students in the top ten percent versus students in other positions with regard to where they are in their graduating class, you would expect people in the top ten percent, as a group, to be retained longer and to have higher graduation rates than students below them. So there is an element of truth to that. It's not one-to-one.

Another thing that's interesting about groups and where they are relative to their high school graduation class -- and Texas is experimenting with this now -- there are ways in which school districts are carved up where diverse populations are over-represented in some areas relative to others. One of the things they're looking at is top ten percent, not so much because these are the kids who are in some automatic sense presumed to be the brightest, but kids who achieve relative to everybody else.

So usually kids who are pretty driven. We all know, it's not always the smartest guy on the block who gets ahead, it's the person who is bright, dedicated, disciplined, and works. So another way in which top ten percent serves as a signal, is it gives you some indication of who the hard workers are, and hard workers tend to be retained and graduate.

The third point is one of the things that we're honestly having to grapple with ourselves. If you remember when we started talking about selective admissions and really started implementing it in 1992, we had expectations of retention rates that were, in fact, a good deal better than the retention rates that we've experienced. It goes back to Bill's point about it's not just about resources, it's what you do with them.

Another thing we're having to grapple with -- and Alice and Jim Mager, and others are looking at this -- is why aren't we doing better with retention rates given the students that we're bringing in? Some of it surely has to do with what we're doing,

REPORT ON STRATEGIC INDICATORS (contd)

Dr. Ray: (contd)

or what we're failing to do, as well. So all the onuses is not on the students who come in and what they bring with them in terms of their ultimate success, but what are we doing to assist them in succeeding. That is really a variant on David's point about what are we doing to provide value-added to their educational experience.

Mr. Shkurti:

Ed, if I can follow-up on one of the charts Alice showed. We know that one of the predictors of graduation is ACT scores. If you have more students with high ACT scores, you have a better graduation rate. So if you look at a place like Michigan, which attracts very qualified students and has a good graduation rate, that's wonderful. But we also know one of the things that impacts graduation rates are whether or not you have a lot of part-time students who work for a while, then quit, and then come back. OSU and Minnesota -- because they are in urban areas -- tend to have a lot of part-time students, which Michigan doesn't have. But are we doing less of a service than Michigan because we take these students who have to work longer and harder to graduate, but we graduate them, or are we doing a better job than Michigan? I think, David, that goes back to your point and was Alice's point about comparing some of these other factors.

This benchmarking exercise is really interesting in that it starts to get us to ask the right questions and to dig behind some of these numbers about what we want to be and what we want to do. This is a particularly good area that is rich for that. We need to have an additional discussion about what's unique about us, so that we don't just hate Michigan because they have good numbers, but rather we are doing something that really is a unique contribution.

Mr. Celeste:

Are there any other questions? This is certainly a very important topic and one which we will continue to discuss. We appreciate all the hard work that you are all doing in this area.

(See Appendix VIII for background information, page 159.)

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APPRECIATION TO RICHARD SISSON

Mr. Celeste:

There is another important item on the agenda. We did mention the fine service of Dick Sisson, but we also have a proclamation from Governor Voinovich that David Brennan would like to share.

Mr. Brennan:

Thank you, Ted. Dick, come on up here. I joined the Board in May 1993 and Dick came August 1, 1993, so we have been cohorts to Ohio State in that period of time. On a very personal note, I have enormous admiration for you and for all of your leadership.

The Governor has asked me to present something for him. It is a long recitation of your activities here, which I'm not going to read because they have already been covered this morning. He starts out by saying:

APPRECIATION TO RICHARD SISSON (contd)

Mr. Brennan: (contd)

“WHEREAS, it is incumbent upon the governor to honor those citizens who serve our state with distinction; and

NOW THEREFORE, I, GEORGE V. VOINOVICH, Governor of the State of Ohio, do hereby recognize the exemplary accomplishments of

J. RICHARD SISSON

on the occasion of his resignation from his positions as Interim President, Senior Vice President, and Provost of The Ohio State University. On behalf of all Ohioans, I extend my best wishes and appreciation for the dedicated and professional service Dick has provided the OSU community and our great state. His leadership and acuity will continue to inspire numerous Ohioans as he maintains his dedication to the improvement of higher education from the classroom. Thanks Dick, for a job well done!”

Signed,

George V. Voinovich

Mr. Celeste:

As you know, earlier in this meeting on the consent agenda, we appointed Dr. Richard Sisson to the Board of Trustees Chair in Comparative Politics. To mark this occasion, and to show our appreciation for your great work this past year, Dick, we have another chair to present to you. [Presentation of OSU Captain’s Chair with The Ohio State University seal emblazed upon it.]

Provost Richard Sisson:

I'm not going to say very much, but I am very honored to be presented with this and very honored to be an incumbent of the Trustees Chair. I have had no greater honor, nor will I ever, than to have served as the Interim President, the Senior Vice President, and Provost of this extraordinary University.

It's very rare in a person's life, that they come from a meager background to be launched into a land-grant university -- it is different now than when I first came here -- and then to come back as the chief academic officer, to serve as the chief executive officer, and then to return as a professor in the department from which they were launched in life. I'm deeply grateful. Thank you.

Mr. Celeste:

Dick, I should note that our colleague and your neighbor, Mike Colley, apologized that he had to leave early to catch a plane. He did have some kind words to share and he is putting them in a personal note to you.

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July 10, 1998 meeting, Board of Trustees

Thereupon the Board adjourned to meet Wednesday, September 2, 1998, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

William J. Napier
Secretary

Theodore S. Celeste
Chairman

May 12, 1997

THE OHIO STATE UNIVERSITY
FINANCIAL CONFLICTS OF INTEREST POLICY
FOR FACULTY

I. PREAMBLE

Faculty at The Ohio State University accept an obligation to avoid financial conflicts of interest in carrying out their professional work. This policy is intended to assist faculty members, including administrators with faculty appointments, in avoiding these conflicts. The patterns of administration of tenure initiating units and colleges may include conflict of interest policies specific to their mission composition, and sources of funding.

II. STATE AND FEDERAL LAWS AND REGULATIONS

Federal regulations require the University to adopt a policy governing conflicts of interest in research. In addition, faculty members should be aware that they are also subject to various provisions of Ohio law governing ethics and conflicts of interest in public employment. For example, as a general rule, a university employee may not have an interest in a contract involving the university. In addition, an employee may not use his or her authority or influence to obtain a contract between the university and a family member or business associate of the employee. A university employee may not accept compensation for the performance of his or her university duties from any person or entity other than the university. Finally, the Ohio Ethics Law prohibits university employees from accepting anything of value that will exert a substantial and improper influence upon them with respect to their university duties. Additional information about the requirements of Ohio law may be obtained by consulting the Office of Legal Affairs.

III. POLICY ADVISORY COMMITTEE

In consultation with the Faculty Council, the Provost shall appoint a Conflicts of Interest Policy Advisory Committee composed of six members, two from colleges in the health sciences, two from colleges in the arts and sciences and two from professional colleges (Business; Education; Engineering; Food, Agricultural and Environmental Sciences; Human Ecology; Law; Social Work). This committee shall periodically review the operation of this policy and make recommendations for change as needed. In addition, the Provost shall consult with the committee regarding particularly difficult or complicated conflict of interest situations.

IV. DEFINITION

For purposes of this policy, a conflict of interest exists if financial interests or other opportunities for tangible personal benefit may exert a substantial and improper influence upon a faculty member or administrator's professional judgment in exercising any university duty or responsibility, including designing, conducting or reporting research.

V. POLICY

Faculty members, including administrators with faculty appointments, are expected to review their professional activities to determine if conflicts of interest may exist, and to avoid activities that entail or create a conflict of interest.

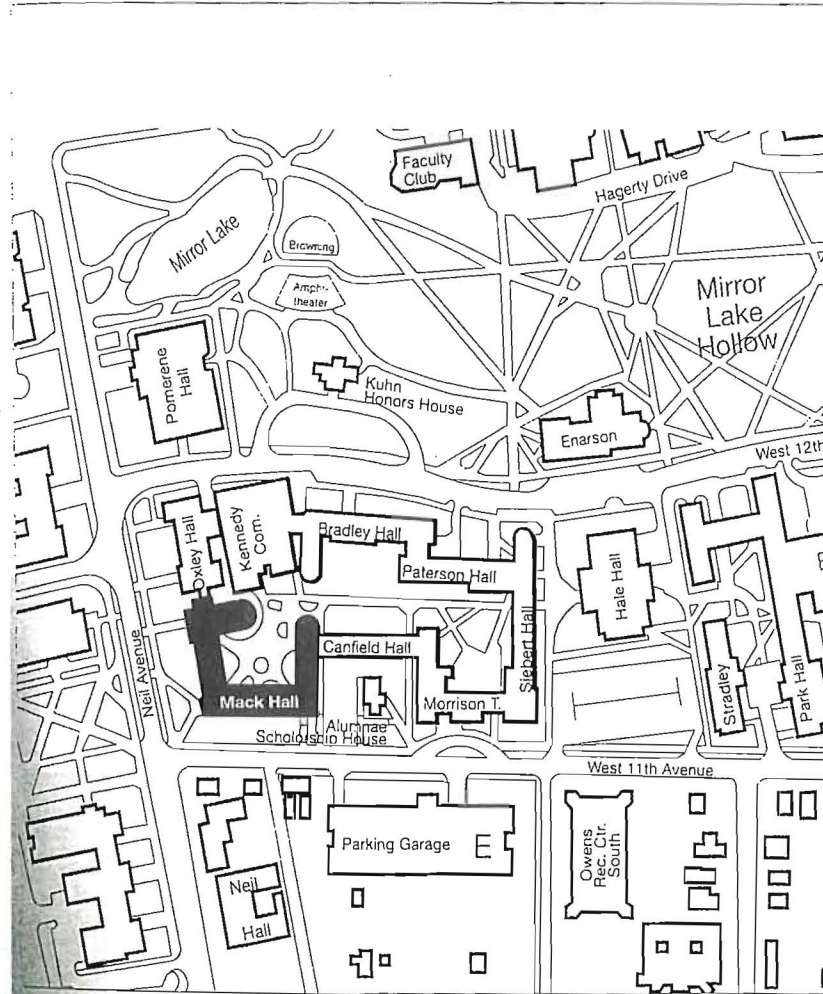
If a faculty member is engaged in externally funded research, performs consulting, or has other business interests that may create conflicts of interest, the faculty member shall report and manage the activity in a way that will avoid any conflict. The procedures for reporting and managing such activities shall be promulgated by the Office of Academic Affairs in consultation with the Conflict of Interest Policy Advisory Committee. If the activity cannot be managed to avoid the conflict, the faculty member must refrain from participating in the activity. Examples of situations that might entail or create a conflict of interest are presented below. The examples are by no means exhaustive, and are provided only as samples of some commonly encountered situations.

- Having significant involvement and/or financial interest in an entity that does business with the University.
- Participation in research that is funded by an entity in which the faculty member or the faculty member's family is involved or hold a significant financial interest.
- Entering into consulting agreements that purport to transfer to a private entity intellectual property that belongs to the University. (See the University's Policy on Patents and Copyrights for further detail.)
- Use of one's professional expertise to provide services that compete with services provided by an academic entity within the University.

The University encourages faculty authorship of instructional materials and does not discourage the use of such materials in courses in the faculty member's department. However, every academic unit should establish a policy appropriate to its circumstances that ensures that instructional materials are selected on their academic merit and also ensures that there is no significant conflict of interest or appearance of conflict of interest in the selection of such materials.

This policy shall apply to ongoing and future activities, research grants, projects or programs but not to completed purchases, past transactions or past research grants. The latter are subject to applicable University policies in place at the time these activities were undertaken. Failure to comply with this policy may result in the filing of a complaint against the faculty member under Faculty Rule 3335-5-04. If the conflict of interest involves a research project administered by the University, whether or not that administration is through the Ohio State University Research Foundation, any action legally required by the funding agency will also be taken.

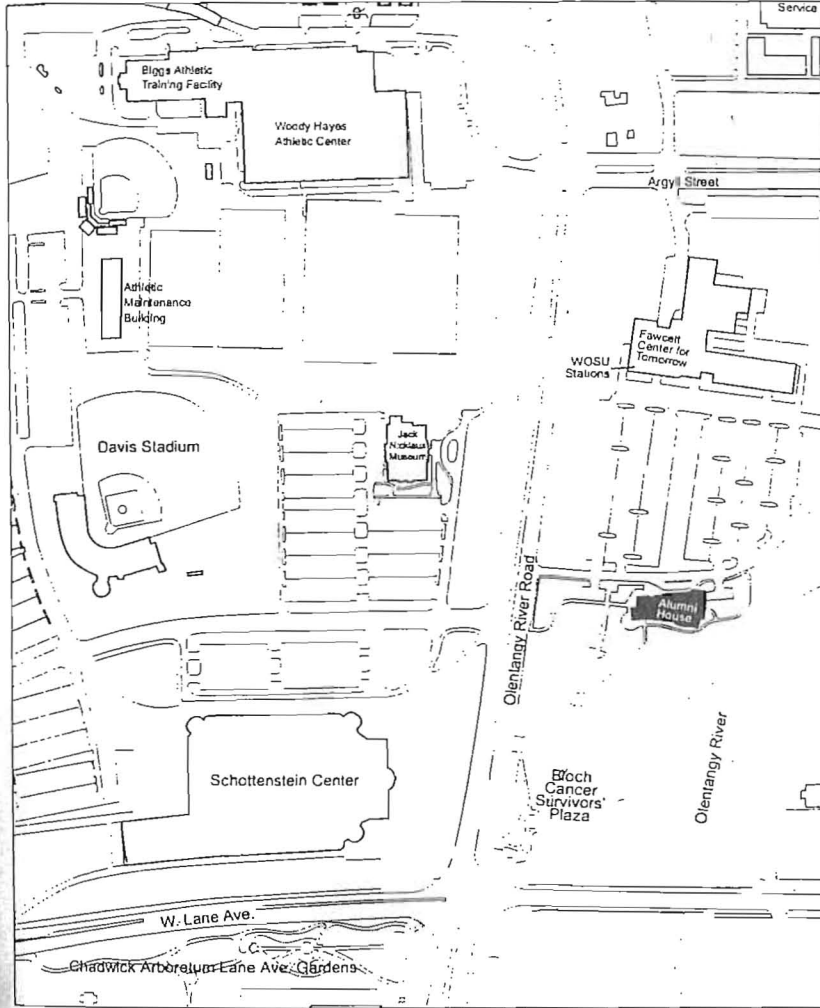
Mack Hall - Phase 1



Office of Business and Administration
Office of the University Architect and Physical Planning
June 30, 1998



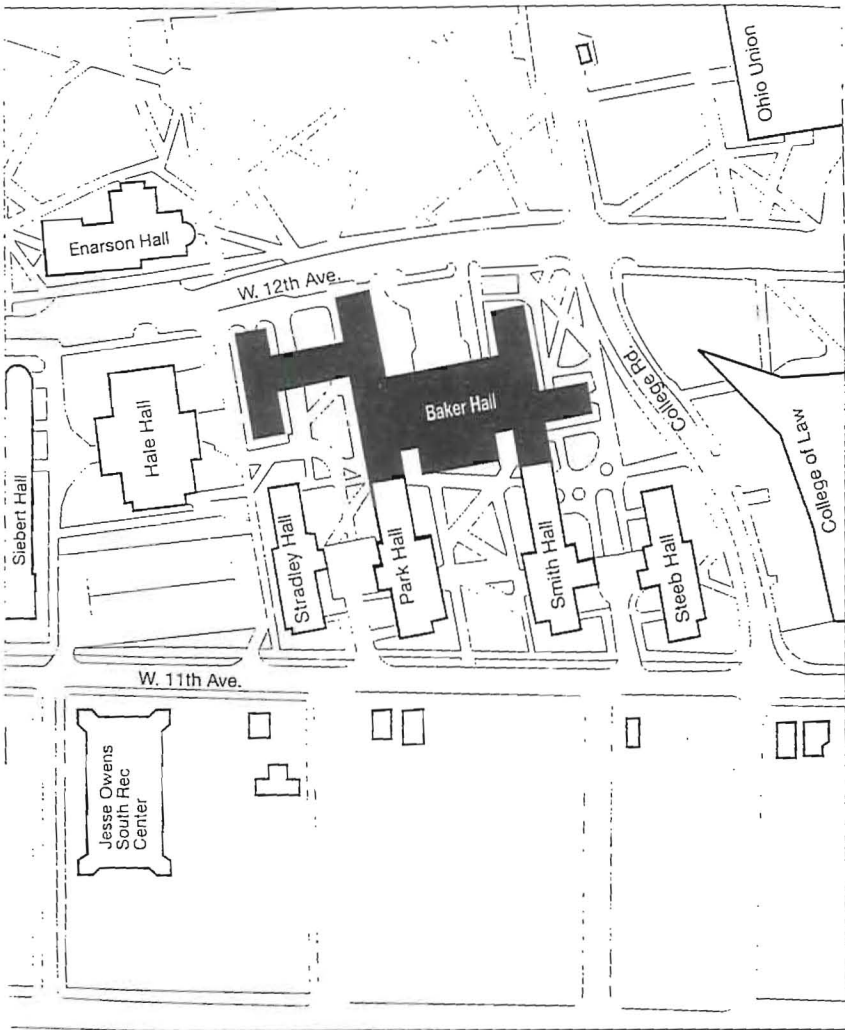
Alumni House



Office of Business and Administration
Office of the University Architect and Physical Planning
December 8, 1997



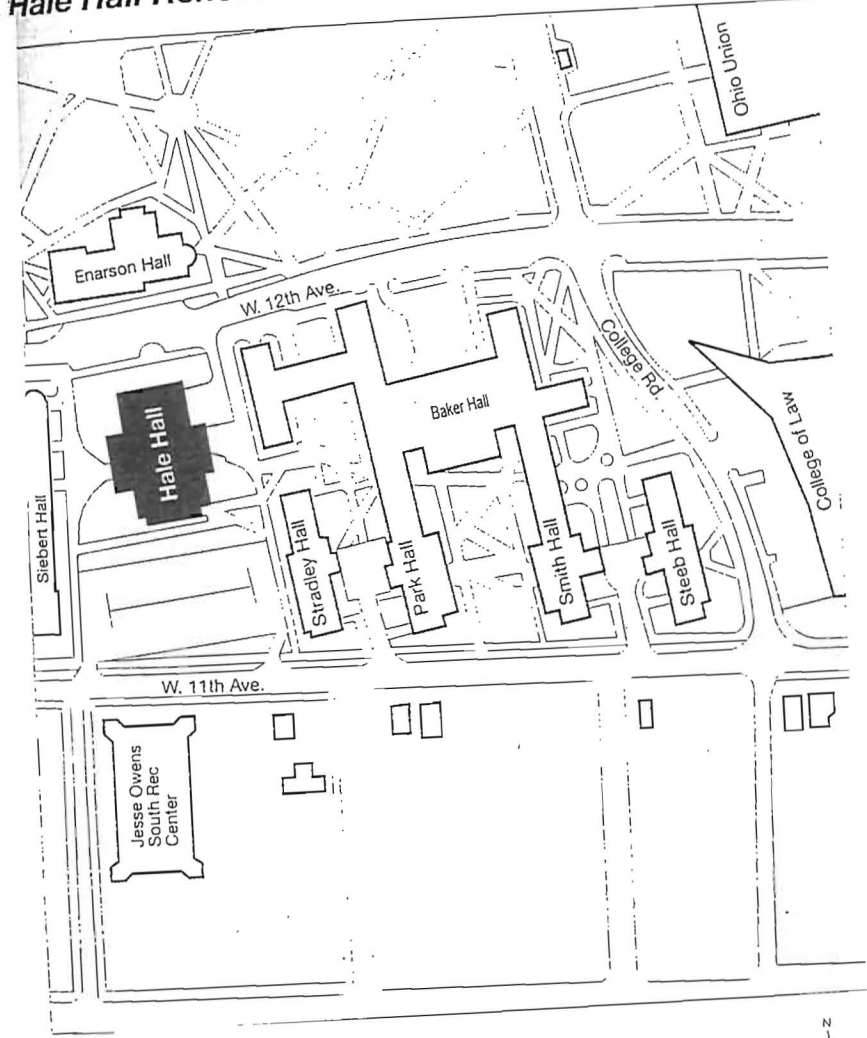
Baker Hall Renovation



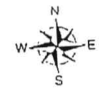
Office of Business and Administration
 Office of the University Architect and Physical Planning
 December 13, 1997



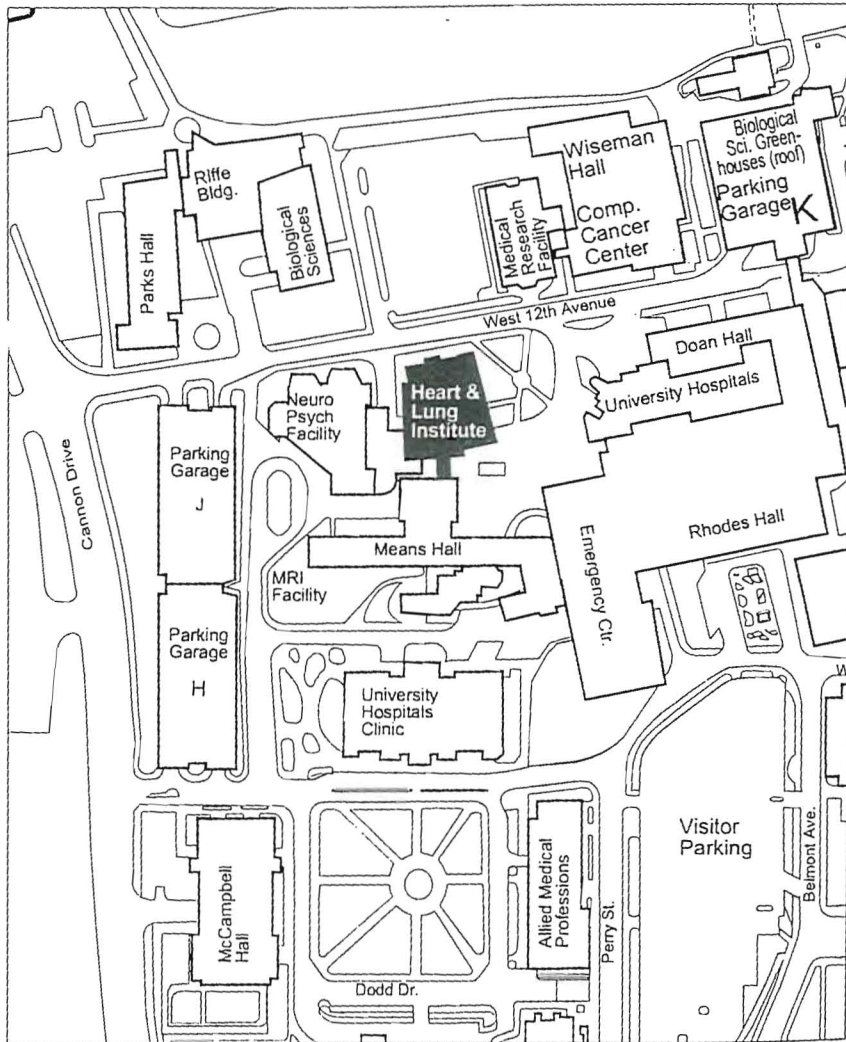
Hale Hall Renovation



Office of Business and Administration
 Office of the University Architect and Physical Planning
 December 13, 1997



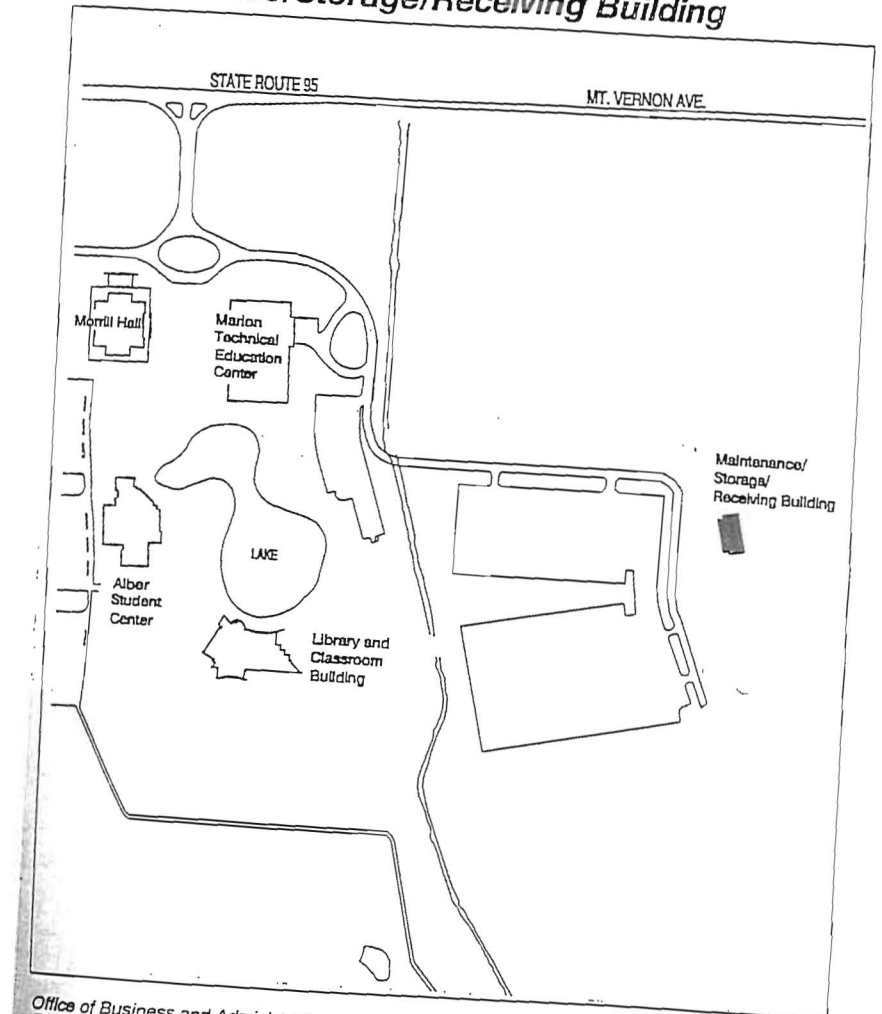
Heart and Lung Institute



Office of Business and Administration
Office of the University Architect and Physical Planning
December 10, 1997



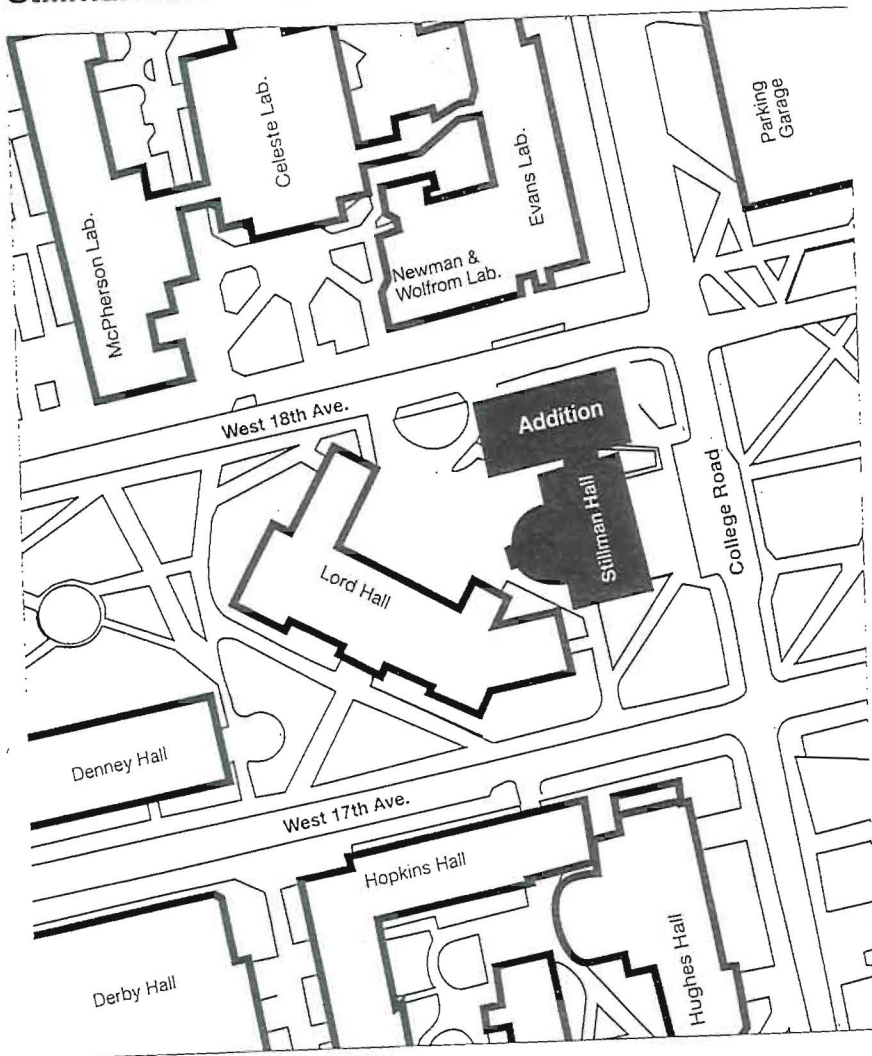
Marion Campus - Maintenance/Storage/Receiving Building



Office of Business and Administration
Office of the University Architect and Physical Planning
December 12, 1996



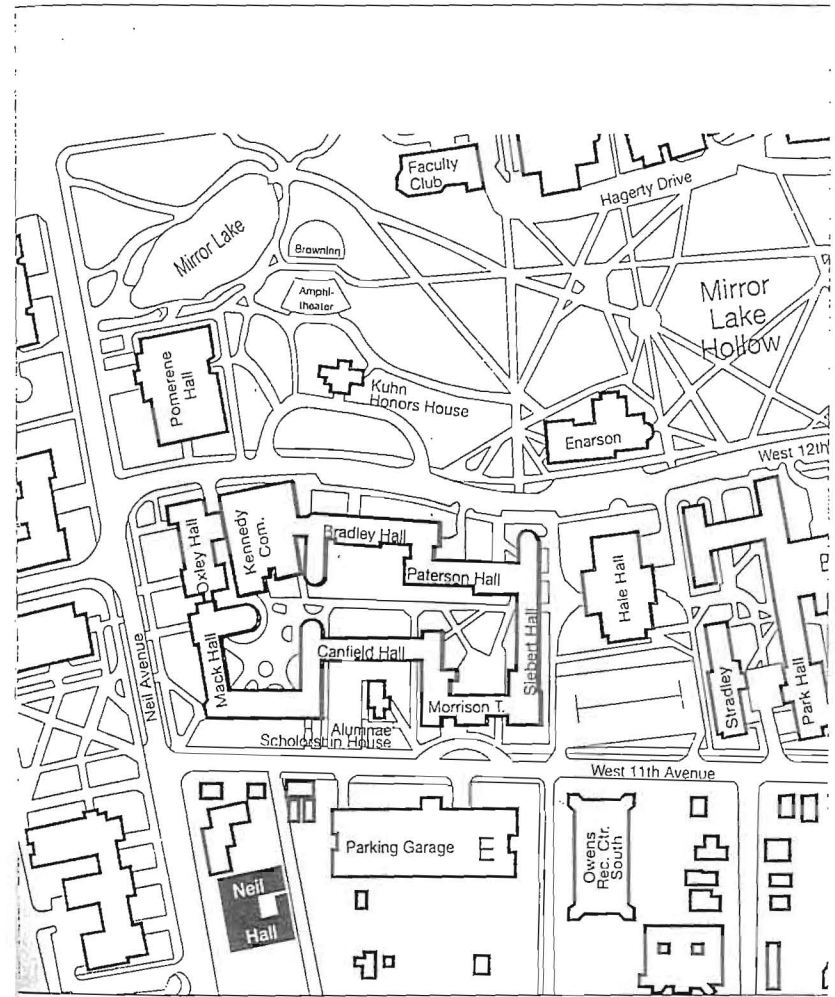
Stillman Hall Addition



Office of Business and Administration
Office of the University Architect and Physical Planning
December 8, 1996



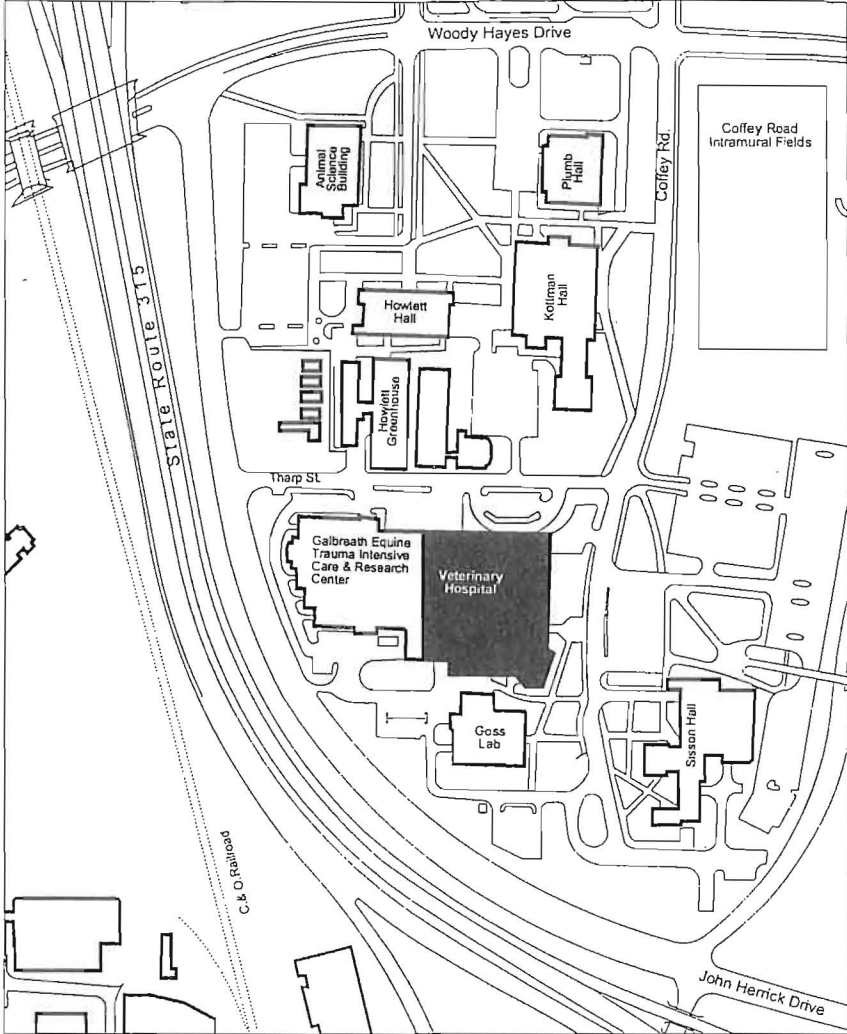
Success Center - (Formerly Neil Hall Renovation)



Office of Business and Administration
Office of the University Architect and Physical Planning
June 30, 1998



Veterinary Hospital - Radiology Facility Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
December 10, 1997



Fiscal Affairs Committee
July 10, 1998

TOPIC:

ARMS Phase VI

CONTEXT:

This is the ninth in a series of semi-annual reports to the Board on the progress of the Human Resources and Financial Systems Upgrade project begun in 1994.

RECOMMENDATIONS:

- Proceed with Phase VI
- Release of an additional \$10 million for FY 1999

ISSUES:

Major issues remaining include:

- Improving customer satisfaction with workflow and reporting
- Addressing integration issues with Hospital and OSURF
- Identifying sources and uses of funds for continuing operations after start up period
- Successful design and launch of GL and Procurement systems

BOARD ACTION REQUESTED:

Approval of release of funds for FY 1999.

Office of Academic Affairs
Office of Business and Administration
Office of Finance
Office of Human Resources

7/8/98

OSU Board of Trustees
Fiscal Affairs Committee
July 10, 1998

ARMS Project - Phase VI

- I. Context
- II. Status of Issues from Phase V
- III. Goals for Phase VI
- IV. Update on Other Institutions
- V. Implications
- VI. What ARMS Will and Will Not Do
- VII. What Happens Next

Office of Academic Affairs
Office of Business and Administration
Office of Finance
Office of Human Resources

7/7/98

Context

- A. What – ARMS is a major upgrade and re-engineering of Human Resources and Financial Systems.
- B. Why Now?
 - Existing systems are out of date and increasingly expensive to maintain.
 - Addresses the year 2000 problem for these systems.
 - Compliance with previous audit findings.
 - University positions itself for improvement in quality and speed of administrative processes.
- C. Previous Board Action:
 - Project in discussion since 1992.
 - Funding first authorized in November 1994.
 - Funded through June 30, 1998.
 - This is the ninth report to the OSU Board since 1992.

II. Status of Issues from Phase V

A. Human Resources Goals for Phase V

1. Implement and fine-tune the year-end payroll accounting system. (completed)
2. Improve the HR system workflow, worklist and reporting functions. (partially completed)
3. Investigate opportunities to improve College/Department processes. (investigation completed)
4. Perform 50-75% of the program design for:
 - additional HR Modules (postponed)
 - conversion to version 6.0 or 7.0 (on schedule)
5. Design and implement new:
 - benefits open enrollment process (completed)
 - annual salary budget process (completed)
6. Implement an ARMS Executive Scorecard Report (in process)

Status (cont.)

B. Financial Systems Goals for Phase V (Procurement and General Ledger)

1. Policy consultations and training preparation (January-March 1998): continuing
2. Implement General Ledger (Central only) (July 1998, FAS remains official General Ledger): on schedule
3. Commence Training (October 1998): on schedule
4. Implementation of Procurement (January 1999): on schedule
5. Implementation of General Ledger (July 1999): on schedule

C. Budget

1. Total amount authorized 11/1/94 through 6/30/98 - \$52.5 million.
2. Total amount expended 11/1/94 through 6/30/98 - \$47.2 million (estimated).

SOURCES	11/1/94 - 6/30/98	7/1/98 - 6/30/99
Colleges*	\$ 4.5M	0
Other Units*	\$ 12.0M	0
Central	<u>\$ 36.0M</u>	<u>\$9.6M</u>
Total	\$ 52.5M	\$9.6M
USES		
OSU Staff	\$ 11.5M	\$ 4.9M
External Staff	\$ 21.8M	\$ 9.8M
Software/Software Maintenance	\$ 6.0M	\$ 1.5M
Computer/Non-Office Equipm.	\$ 9.8M	\$ 4M
Supplies and Services	<u>\$ 2.8M</u>	<u>\$ 1.1M</u>
Total Expenses	\$ 51.9M	\$17.6M
Hospital Reimbursement	<u>(\$ 4.8M)</u>	<u>(\$ 3.0M)</u>
Total net expenditures	\$ 47.1M	\$14.6M

*Collection of remaining \$1.5 million from colleges and \$4.0 from other units to be completed in FY 1999.

NOTE: Market adjustments for technical personnel required nearly \$1.1 million above original budget.

NOTE: These figures are intended to incorporate all direct personnel and non-personnel costs associated with the project for FY 1999. However, the projections do not include the following:

1. Project closeout costs for the period 7/01/99-9-30-99. These are estimated to be about \$730,000.
2. Continuing costs for operating the HR system past 3-01/99. (These estimates are being developed.)
3. Any major modification to HR.
4. Indirect costs to units for training time.
5. Data archiving solution (\$300,000).
6. Production and development of servers for OSU Hospitals (\$475,000).
7. Implementation of a reporting system across HR, GL, and Procurement including a data warehouse.

Goals for Phase VI

A. Human Resources

1. Continue work on workflow and reporting functions.
2. Continue work on improving College/Department processes, including investigation of timekeeping changes and re-evaluation of centralization/decentralization of system functions.
3. Unresolved issues for HR only:
 - a) Appropriate upgrade path and timeline
 - b) Changes needed to improve timekeeping processes

B. Procurement

1. Successful implementation, on a phased in basis, beginning 1/1/99.
2. Unresolved Issues for Procurement only:
 - a) Phase out of LDPO's/Status of Procurement Cards
 - b) Integration with Hospital Procurement System
 - c) Integration with OSURF Procurement System

C. General Ledger

1. Successful implementation for FY 2000 beginning 7/1/99.
2. Unresolved Issues for General Ledger only:
 - a) Electronic workflow
 - b) Reports
 - c) Integration with Hospitals
 - d) Chart Field usage

III. Goals (cont).

- D. Unresolved Issues Common to all Systems
 - 1. Security
 - 2. Degree of centralization/decentralization
 - 3. Degree of customization
 - 4. Continuing training
 - 5. Funding of continuing operating costs/identification of savings
 - 6. User satisfaction
 - 7. Archiving and data warehouse functions

IV. Update on Other Institutions

- A. Michigan - PeopleSoft HR, Finance and Student Services
- B. Minnesota - PeopleSoft HR, Finance and Student Services
- C. Wisconsin - PeopleSoft Student Services and Medical Center HR and GL
- D. University of California, Los Angeles - PeopleSoft Finance and HR
- E. University of California, Berkeley - PeopleSoft Finance
- F. Washington - No changes planned at this time
- G. Texas - Self built system, but under review
- H. Penn State - Self built system
- I. Arizona - FAS/FRS
- J. Illinois - PeopleSoft Student Admissions, Integrated HR

V. Implications

- A. Successful installation of these systems will address the Year 2000 issues.
- B. Systems are on time and budget with regard to revised schedule and budget, but margins are tight.
- C. Extended implementation will cost about \$10 million but should result in more effective implementation.
- D. Successful implementation will continue to require major investment of staff and senior leadership time over the next two years.
- E. Implementation of the Student Information System has been slowed down to accommodate changes in technology and to reduce the strain on the University community.
- F. **The first year of implementation of any new system requires an extraordinary amount of effort by everyone involved to work out various conversion issues. Benefits are not likely to be readily apparent until at least the second year of operation.**

What ARMS will do and will not do.

ARMS will:

1. Integrate Human Resources, Accounting and Procurement processing.
2. Make management information available on a more timely basis.
3. Address Year 2000 problems in these systems.
4. Establish an infrastructure to help address other system problems.

ARMS will not:

1. Be a panacea for every administrative challenge facing the University.
2. Be effective unless resources are devoted to continuing training and renewal of software and equipment. (Upgrades will be required every 12-18 months, sometimes more often).
3. Produce a budget windfall. Any savings from streamlining processes on the central level will have to be reallocated to pay for continuing costs which are currently not budgeted; any savings in colleges, departments and other units are likely to be in portions of people's time and are to be redistributed at the discretion of the appropriate administrative officials.
4. Produce large numbers of University-wide personnel reductions. Any efficiency savings will be needed to:
 - a) Fund continuing costs, including future upgrades.
 - b) Upgrade training and skills of University personnel.
 - c) Improve service levels reduced because of previous personnel reductions.
5. Immediately address all questions or unmet needs regarding Human Resources or Finance Administration.
6. Address all other unmet computing needs. Although the infrastructure established for ARMS will help meet these needs, additional resources will be needed to upgrade Student Information Systems, Academic Computing, network support and other unmet needs.

VII. What Happens Next?

A. Human Resources

1. Stabilize basic financial/personnel reports for start up of FY 2000.
2. Complete re-evaluation of workflow and level of decentralization.
3. Determine appropriate upgrade path and timeline, including potential upgrade to version 6.0 by year end, if appropriate.

B. Procurement

1. Finish consultation on strategic issues and freeze system by 10/1/98
2. Commence training - Fall 1998
3. Commence Phase VI implementation - January 1999
4. Complete Phase VI implementation - April 1999

C. General Ledger

1. Install system centrally - July 1998
2. Finish consultation on strategic issues and freeze system by 10/1/98
3. Begin training - January 1, 1999
4. Implement on July 1, 1999

D. Student Information System - begin intensive planning for 3-5 year phase in.

E. Next Update to BOT - February 1999

(APPENDIX V)
Student Affairs Committee
Fiscal Affairs Committee
July 10, 1998

TOPIC:

Student Rec Center Fee

CONTEXT:

Improved recreational opportunities for students is critical to recruitment and retention.

When the Board of Trustees approved the Student Rec Center project in July 1997, it was agreed that the recreational portion of this project would be funded by non-general fund sources including user fees.

ISSUES:

Issues are listed in the attached document entitled "Implications of the Rec Center Proposal"

RECOMMENDATION:

Approval of a mandatory student fee of up to \$55 per quarter with exemptions for students taking less than 4 credit hours or students on special fee authorizations (e.g., public school teachers in Summer programs). The fee will not take effect until the facility opens.

REQUESTED OF STUDENT AFFAIRS AND FISCAL AFFAIRS:

Approval of fee structure by a formal vote. A subsequent vote will be required to authorize hiring of architects.

LARKINS HALL ADDITION AND RENOVATION PROJECT
STUDENT FEE PROPOSAL
June 3, 1998

- Amount of the Fee: Up to \$55 (in 1997 dollars)
- Who Pays: All students unless exemptions apply. Exempted students may pay a quarterly fee, equivalent to the established fee for optional memberships to the recreation facilities.
- Fee Components: Debt Service-remains fixed throughout the bond debt. Operations-increases annually to adjust for inflation.
- Fee Uses: Retire the construction debt. Fund expanded program and service delivery.
- Fee Commences: The quarter in which the new construction is ready for use. Projected to be mid-2003.
- Fee Exemptions: Students taking 0-3 credit hours and Franklin County School District special fee authorizations are exempted.
- Implement Fee Assessment and Building Access System:
Work with the Treasurer's Office, Fees & Deposits, and the I. D. Center to implement the system.

LARKINS HALL ADDITION AND RENOVATION PROJECT
PROJECT INFORMATION

Over the course of the past few years. Ohio State students have expressed their dissatisfaction with the condition of the existing recreational facilities as well as the shortage of fitness and general recreation space to support their out-of-classroom interest in healthy lifestyle choices. The Feasibility Study conducted in 1996 as well as benchmarking against other universities in the Big 10, the state of Ohio, and other peer institutions supports those perceptions. As The Ohio State University increases its efforts to recruit and retain the best and brightest students and become a Top Ten ranked public university, the existence of a state-of-the-art recreation center will provide the size and scope of facility to support this effort.

PROJECT OBJECTIVES

- Larkins Hall Addition and Renovation space will provide desperately needed program and service delivery space to meet the growing fitness and recreation needs of the campus. These include:
 1. First priority activities as revealed by the Feasibility Study survey for students are weight machines, cardiovascular machines, and free weights. The Larkins Hall Addition and Renovation project provides 25,582 square feet of new conditioning space and 6,900 square feet of renovated conditioning space to meet this growing trend.
 2. The addition of a leisure pool program element enhances our social recreation and family recreation programming options: enabling us to better serve the needs of non-traditional students, and faculty and staff.
 3. The new construction of nearly 400,000 gross square feet of facility space will insure greater access to a wider variety of recreational sport activity areas and increase our square footage per student from 2.7 before construction to 6.5 after construction.
- Larkins Hall Addition and Renovation provides significant academic enhancements for the School of Physical Activity and Educational Services (PAES), College of Education, and the University. These include:
 1. Consolidation of all PAES program areas (Special Education, Sport & Exercise Sciences, Wellness & Human Services, and Workforce Education & Lifelong Learning) currently housed in three buildings (Arps, Pomerene Hall, and Larkins) into one location.
 2. A net reduction in assignable square feet of space to PAES through the elimination of the Women's Field House, and the vacating of Pomerene Hall (36,954 asf) and Arps (8,608 asf) making these spaces available for other college/university use.
 3. Increasing credit hour generation by the Sport, Fitness and Health Program which annually turns away 8,000 students (15,000 credit hours) due to limitations in space availability.
- Inclusion of a new aquatic center in the Larkins Hall Addition and Renovation project, complete with a state-of-the-art 50 meter pool and diving well, will provide the facility necessary for the aquatic coaches to compete for the top student-athletes in their programs. Other service enhancements include:
 1. Provides an aquatic facility which will be considered the best in the Big Ten and one of the best in the country which will provide a boost in recruiting of student-athletes.
 2. Support facilities for student-athletes located proximal to the aquatic center.
 3. Centerpiece recreation facility for student-athletes to meet their out-of-season needs as a member of the student body at Ohio State University.

ESTIMATED PROJECT COSTS (in 2002/2003 dollars)

- Estimated total project costs: \$132,335,862
 - State Capital Budget: \$35,950,797
 - Department of Athletics Contribution: \$14,058,021
 - Bond (Debt service funded by student recreation fee): \$82,327,044

LARKINS HALL ADDITION AND RENOVATION PROJECT
CONSULTATION PROCESS

PRELIMINARY WORK (1995-1996)

- Surveys to determine users' perspectives on Larkins Hall administered at 5 locations on campus to 999 students.
- Benchmarking against 30 other universities (Big Ten, state of Ohio, other major universities).
- Presentations to CSA, RHAC, USG Assembly, IPC and CGS to provide information already gathered and determine if the groups were in support of our efforts to move forward with a recreation facility feasibility study.

FEASIBILITY STUDY (1996-97)

- Feasibility Study conducted by team of Hastings & Chivetta and Brailsford & Dunlavey.
- Stakeholders group comprised of students (Dan Larson, USG; Frank Chloupek, CGS; and Jim Dobies, IPC), faculty, staff, and administrators.
- Evaluation of the physical condition of existing recreation and physical education facilities.
- Market analysis/needs assessment surveys mailed to a random sample of 6000 students and 2000 faculty & staff. The student survey yielded results with a margin of error of +/- 3.9%, using a 95% confidence level. The faculty/staff survey yielded results with a margin of error of 5.7%, utilizing the standard 95% confidence level.
- Eight focus groups conducted to reveal qualitative data about facility users needs and preferences.
- Established preliminary cost estimates based on the program of requirements.

MoU PROCESS/PROJECT PLANNING TEAM

- MoU process has included representatives from the Department of Recreational Sports, Department of Athletics, College of Education, Council of Graduate Students (John Berry), Undergraduate Student Government (Greg Krabacher), Inter-Professional Council (April Guille), and other pertinent administrative units.
- Project planning team will include student representation to ensure a continuing commitment to student needs.

PROPOSED UNIVERSITY RECREATION SPORTS COMMITTEE

- Committee will serve as an advisory committee to the Vice President for Student Affairs on matters related to Recreational Sports programs and facilities.
- Proposed membership will include 1 inter-professional, 2 graduate, and 6 undergraduate student representatives, 2 faculty and 2 staff representatives, and several non-voting ex-officio members.

SUPPORT FOR THE PROJECT AND RECREATION FEE ASSESSMENT

- Autumn 1996, 66% of the students responding to the Feasibility Study survey (Autumn 1996) supported a \$55 (in 1997 dollars) increase in fees to provide significant enhancements to recreation facilities and programs.
- April 1997, the Student Recreation Representative Assembly passed a resolution in support of the facility project fee not going to a referendum vote.
- May 1997, President Gee approves a \$55 (1997 dollars) student fee to be assessed when the new facility opens.
- June 1997, Undergraduate Student Government passed Resolution 30-R-2 in support of the project.
- June 1997, the Department of Athletics made a commitment to fund a portion of the project equivalent to their usage of the aquatic center.
- July 1997, Capital Budget Plan approved by the Board of Trustees includes \$3 million for the planning of the Larkins Hall addition and renovation in FY 1999-2000 and an additional \$30+million in future biennia.
- December 1997, the \$3 million in planning dollars have been included in the December 1997 Board of Regents' capital plan recommendation for the 1999-2000 biennium.

KEY STUDENT PRESENTATIONS/MEETINGS TO DATE

- Council on Student Affairs (3/1/96; 11/19/96; 1/28/97; 5/6/97; 5/20/97; 12/2/97)
- USG Cabinet (1/30/97)
- Student Recreation Representative Assembly (1/12/97; 2/16/97; 4/13/97; 6/1/97; 11/16/97)
- USG Assembly (1/22/97)
- Inter-Professional Students (1/23/97)
- Board of Trustees Student Affairs Sub-Committee (2/7/97)
- Council of Graduate Students (2/23/97)

Implications of the Rec Center Proposal

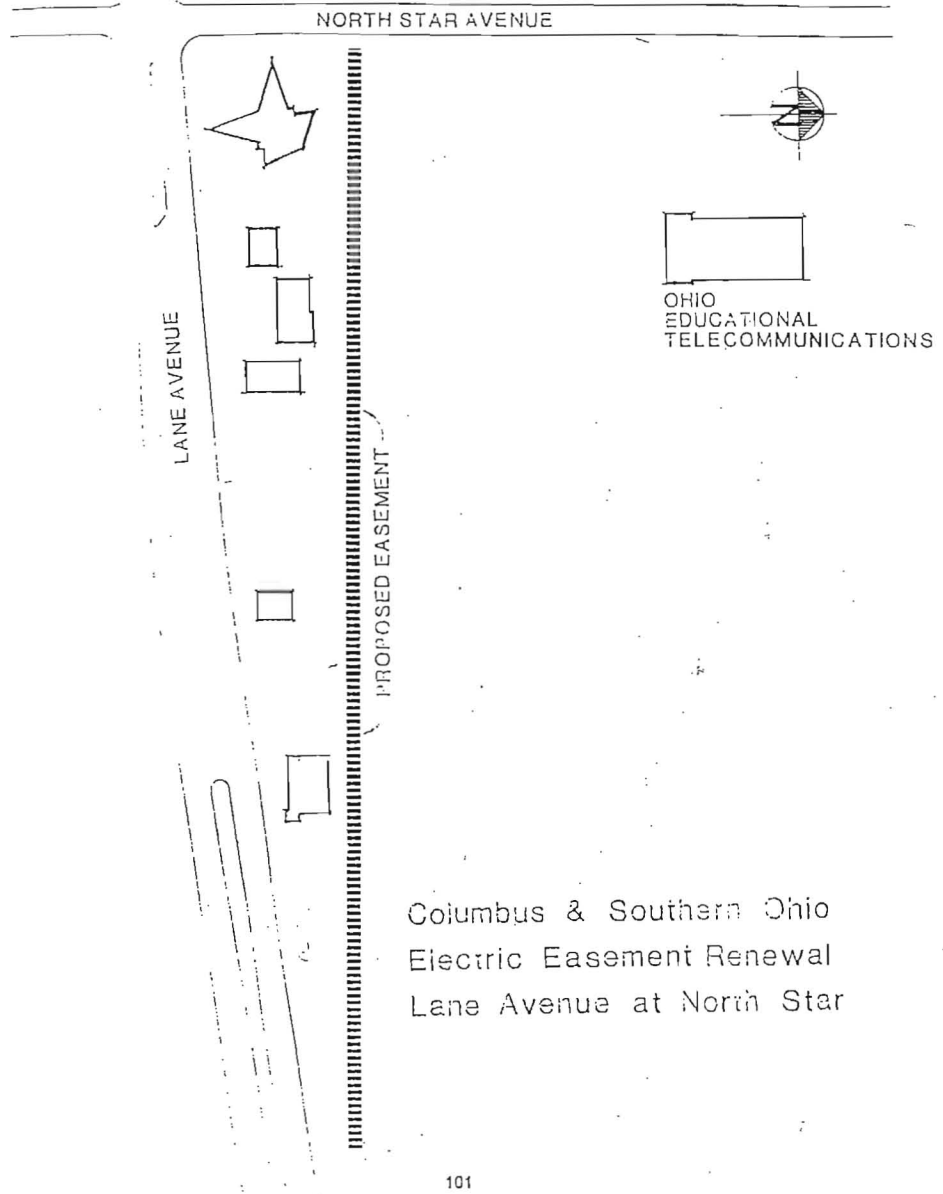
The Student Rec Center is a key component of the University's student recruitment and retention efforts. Therefore, failure to proceed in a timely manner could adversely effect both recruitment and retention. However, it is also important to understand the implications of moving forward as planned, including:

1. The project will add about 382,000 gross square feet of new space to main campus. Funds for maintaining this space are built into the funding estimates.
2. The current plan for the Rec Center assumes State funding of \$36 million for renovations of space in Larkins for instructional use. The status of our request for these funds will not be known until November. If these funds are not available, the project can still move forward but will have to be re-designed to stand separately.
3. The \$55 per quarter mandatory fee will increase mandatory resident undergraduate tuition and fees by 4.3% based on current fee levels. If all other relationships stay the same, and if all other universities report their fees in a similar manner, the "tuition gap" between OSU and benchmark average would be cut almost in half. However, OSU mandatory tuition and fees would remain sixth out of the ten benchmark schools and would advance only one position (from 8th to 7th) among Ohio's public universities.
4. This fee will not be waivable for graduate students on fee authorizations who are taking more than three credit hours. Most Big Ten schools and most Ohio schools do not waive their rec fees.
5. The Ohio Union needs an estimated \$26 million in renovations. To date, funding has been identified for approximately \$12 million. If a source for the remainder is not found, the University will be building a new facility before taking care of one it already has.
6. OSU is one of only a handful of universities that does not have a student activity fee to fund projects of this nature.

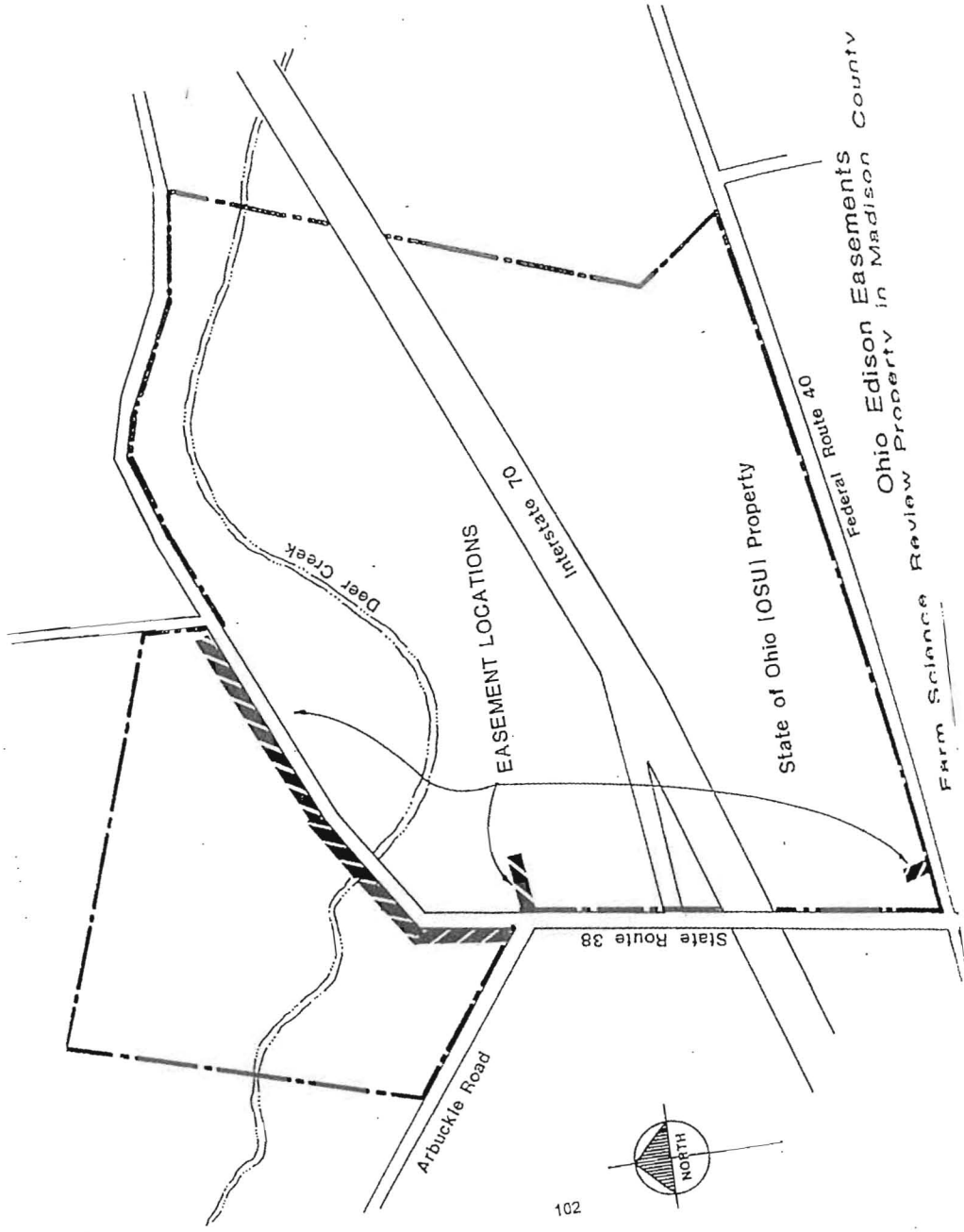
7. Consolidation of the School of Physical Activity and Education Services into Larkins will allow the majority of Pomerene to be vacated so that facility can be renovated.
8. Financial projections assume about \$106 million in University issued debt at current bond rating levels to be secured by fees and private contributions.
9. This vote memorializes the University's recommendation regarding the primary funding sources. Additional votes will be required to hire architects and to begin construction.
10. There are other issues related to the Rec Center that need to be worked out, including:
 - Updated financial projections
 - Relocation of other intercollegiate sports
 - Rates for Faculty and Staff
 - Appropriate fee schedule for University employees who are also students
 - Funding source for Athletics' portion
 - Impact on parking

Office of Finance
Office of Student Affairs
July 8, 1998

(APPENDIX VI)



Columbus & Southern Ohio
Electric Easement Renewal
Lane Avenue at North Star



I. BUDGET SUMMARY

Budget Objectives

Enhancing the quality of the educational and campus experience of our students has been the University's primary goal since achieving financial equilibrium three years ago.

To support this goal, the FY 1999 budget focuses on three principal objectives:

1. To continue to improve the quality of our academic programs.
2. To continue to improve the quality of the student experience inside and outside the classroom.
3. To continue to strengthen the University's management of its resources, including increasing and diversifying its revenue base.

The mission and vision statements are operationalized on an annual basis by the Leadership Agenda. This document is prepared in the Fall by the Provost after wide consultation and is designed to represent the priority goals for the academic year.

The Leadership Agenda for the 1997-98 academic year established specific goals in the following 15 areas:

Academic Excellence

1. Implement Selective Investment
2. Continue Academic Enrichment programs
3. Recruit and develop top notch faculty
4. Act on Research Commission Report
5. Identify initiatives and take action to improve the graduate student experience.

Student Experience

1. Improve attention to student progress
2. Provide a better understanding of diversity issues throughout campus
3. Promote Outreach Programs to engage students proactively
4. Revisit Clif: and improve regular assessment of student satisfaction
5. Engage faculty in dialogue about their role in the student experience

Resource Management

1. Protect and increase State appropriations
2. Develop new and expanded markets/revenue sources
3. Budget Restructuring
4. Promote external research funding
5. Increase private fund raising

Providing resources to support these objectives helps to frame budget priorities. These goals will be reviewed and updated as part of the academic planning process for the 1998-99 school year.

Budget Context

In February, at the beginning of the FY 1999 budget process, the University presented financial information for the 20 top public research institutions to the OSU Board of Trustees. This information compared five-year financial trends for these universities in a number of key areas.

From this list of 20, the nine institutions of highest quality that most closely resemble Ohio State in organization were selected as benchmark institutions. In order to enhance the value of our organizational comparisons, similarities between the organizational configuration, distribution of faculty, and institutional size of the benchmark institutions were examined.

These nine benchmark institutions include in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Washington, Illinois-Urbana/Champaign, Minnesota-Twin Cities, Texas-Austin, Penn State-Univ Park, and Arizona.

The preliminary outcome of these comparisons is as follows:

- Revenues per FTE student at Ohio State are significantly (23%) below these benchmark institutions.

- State support per FTE student at Ohio State in FY 96 is also significantly less (15%) than benchmark institutions.
- Resident Undergraduate fees at Ohio State are 9.1% below the Benchmark average.
- While instructional expenditures per FTE student at Ohio State are above the benchmark average, they are 34% below the benchmark average for academic support outside the classroom.
- This makes Ohio State an excellent value for students and taxpayers, but it also means Ohio State does not have the resources to match our competition in key support areas.

The university will continue to develop benchmarking of key academic, demographic and administrative issues to inform decisions on budget prioritization.

Academic Planning

The university mission and vision statements, adopted by the Board of Trustees on December 4, 1992, continue to be the cornerstone for planning at The Ohio State University:

The Ohio State University has as its mission the attainment of international distinction in education, scholarship and public service. As the state's leading comprehensive teaching and research university, Ohio State combines a responsibility for the advancement and dissemination of knowledge with a land-grant heritage of public service. It offers an extensive range of academic programs in the liberal arts, the sciences and the professions.

Ohio State provides accessible, high-quality undergraduate and graduate education for qualified students who are able to benefit from a scholarly environment in which research inspires and informs teaching.

At Ohio State, we celebrate and learn from our diversity and we value individual differences. Academic freedom is defended within an environment of civility, tolerance, and mutual respect.

The Ohio State University is a community of scholars of which:

- Teaching and research are part of the same process: learning.
- Academic units and curricula are structured to foster learning and nurture creativity.
- Administrative services, facilities and technology enrich the academic experience.
- Academic programs and research opportunities are extensive and excellent, but not exhaustive.
- Human resources complement our promise: High-ability students, faculty and staff from diverse backgrounds participate in leading programs and enrich an environment that sustains learning and growth.
- Ideas, inventions and creative work are made accessible to practitioners throughout the State of Ohio and the world in keeping with our land-grant mission.

The mission and vision statements are critical to the budget process as they provide the framework within which the established objectives can be achieved. These are now operationalized annually through the establishment of the leadership agenda described previously.

II. ACADEMIC PRIORITIES

The FY 1999 budget focuses on these key elements:

1. To continue to improve the quality of our academic programs.
2. To continue to improve the quality of the student experience inside and outside the classroom.
3. To continue to be competitive with our benchmark institutions in the area of faculty compensation.
4. To continue to strengthen the University's financial position including protection of assets and increasing and diversifying the revenue base.

Each of these four elements are reflected in the budget and spending priorities for FY 1999, which are discussed in the following sections.

Quality of the Academic Program

Improving the quality of the academic programs has been the prime objective of the budget process since a formal link with academic planning was forged in 1993.

Investments made in prior years have contributed positively to the improvement of the student experience. The program areas and budget priorities that continue to advance these objectives include:

- Increased student financial aid
- Reducing the number of closed courses
- Improved accessibility to information technologies
- Retaining the best students
- Improvements in the physical environment, including campus safety
- Other programs

Compensation

Consistent with prior years, the key to quality academic programs is a strong and diverse faculty. The budget for Fiscal Year 1999 continues to maintain competitive faculty compensation levels while increasing University support to hire additional faculty and to make other improvements in the quality of academic programs. It also includes merit-based increases for staff and student employees.

The program areas and budget priorities that further the objectives of quality academic programs include:

- Academic Enrichment and Selective Investment
- Student Recruitment initiatives
- Sustained Library Acquisitions
- Research Support
- Summer Enrollment Incentive Program (SEIP)
- Revenue Enhancement Program
- Other key academic programs

Improving the Student Experience Inside and Outside the Classroom

The first step to a good student experience is a high quality academic environment. However, that alone is not enough. The May 1995 report of the Committee on the Undergraduate Experience, demonstrated the need for the University to address the needs of the student both inside and outside the classroom. The key recommendations highlighted in the CUE report focus on basic student needs, including social involvement, the academic experience, reducing the run-around and valuing the individual.

Protection of Assets/Revenue Growth

Improving the quality of academic programs and the quality of the student experience, as well as offering competitive compensation packages, requires additional resources and the better management of existing resources. Resources alone will not improve outcome, but comparisons with our benchmark institutions show that Ohio State needs to strengthen and diversify its revenue base.

The key to financial growth is a quality academic product, an energized research agenda and an improved student experience, so that the recruitment and retention objectives that support a strong enrollment base can be met. The program and budget priorities that support the objective of protecting assets and financial growth in the FY 1999 budget include:

- Improving the physical environment.
- Upgrading administrative computing (ARMS).
- Complying with Federal and State mandates.
- Supporting Development efforts to increase corporate and individual giving to the University.
- Developing Distance Education programs.
- Supporting equipment and supplies budgets.
- Establishing reserves against enrollment declines.
- Improving services to the campus.

III. FY 1999 Revenue Summary

Overview

Total university current funds revenues including General Funds, Earnings and Restricted revenue are projected to increase by 6.4 percent from \$1.64 to \$1.75 billion. General Fund revenues for the Columbus Campus are projected to increase by \$23.6 million or 3.7 percent in FY 99.

General Fund Revenues

Category	FY 1998 Base Budget (In Millions)	FY 1999 Revenue Increase (In Millions)	Percent Change
State Support	297,551	8,067	2.7%
Tuition	264,610	12,900	4.9%
Other	60,915	2,025	3.3%
Total	\$623,076	\$22,992	3.7%

State Instructional Support

State instructional support for the Columbus and extended campuses is \$324.8 million or 46.1 percent of the General Funds Budget. This is an increase of \$8.9 million or 2.8 percent from the FY 1998 subsidy level.

Several new line items for Performance Funding were included in the State's biennial budget for Fiscal Years 1998 and 1999. Funding by each university or college is dependent upon that institution's performance in meeting statewide goals.

Since this is a new program, not all the money has been distributed. The table below indicates the amount expected to be received by OSU in each of these categories and whether or not the funds have actually been received. The seven items that make up this line item are:

- ◊ **Access Challenge** - reduces financial barriers to entry level higher education. (Two-year schools only)
- ◊ **Success Challenge** - rewards successful completion of academic programs by at risk students.
- ◊ **Research Challenge** - rewards success in securing sponsored research from external funding sources.
- ◊ **Jobs Challenge** - rewards successful efforts at meeting community needs for job training.
- ◊ **Technology Challenge** - supports efforts to improve use of technology in the classroom.
- ◊ **Performance Challenge** - rewards two-year schools for meeting specified performance goals.
- ◊ **Efficiency Challenge** - provides competitive grants of up to \$500,000 per institution for improvements in operations.

Summary of Performance Funding Available to OSU FY 1998 - FY 1999

Challenge Line Item	Driver	FY 1998	Received Funds ?	Estimated FY 1999
OSU Main Campus				
Success	Degrees awarded to OIG recipients	\$318,000	Yes	\$636,000
Research	Externally funded research expends	46,000	Yes	897,000
Jobs	Revenue from non-credit job training	68,000	No	339,000
Technology	Subsidy eligible earnings & FTE students	0	No	673,000?
Efficiency	Competitive ¹	-0-	No	?
Total		\$432,000		\$2,545,000
Extended Campuses				
Access	General Studies Student FTE	\$569,000	Yes	\$588,000
Success	Degrees awarded to OIG recipients	NA	No	NA
Jobs	Revenue from non-credit job training	2,000	No	14,000
Technology	Subsidy eligible earnings & FTE students	0	No	50,000
Total		\$571,000		\$652,000

¹ Maximum award - \$500,000

Student Fees

The entering class this fall for the Columbus campus will meet the university's enrollment target of 6,000 new first quarter freshman. Enrollment declines due to smaller entering classes in the early 1990's have now passed through the system providing for more stable enrollment totals from year to year. Therefore, total enrollments for FY 1999 (new first quarter freshman and returning students) are projected to be between 48,261 and 48,426.

♦ Undergraduate Fees:

- ◊ The combined instructional and general fees will increase 6.0 percent for all undergraduate students (including a 5.7 percent increase in the instructional fee and a 9.9 percent increase in the general fee). This is in compliance with language in the state budget bill that placed an upper limit on undergraduate fees. A full time resident undergraduate student will pay \$219 more per year.
- ◊ The undergraduate non-resident surcharge will increase 5.0 percent. A full time non-resident undergraduate will pay \$579 more per year.

♦ Graduate and Professional Fees:

- ◊ The combined instructional and general fees and the non-resident surcharges for all graduate and professional students will increase by at least 5.0

percent. Full time resident graduate students will pay \$258 more per year; full time non-resident graduate students will pay \$672 more per year.

- ◊ The following selective fee increases will be implemented for full time professional students. These increases represent the total increase from FY 1998 for instructional, general and non-resident fees.

College	Fee Increases			
	Residents		Non-Residents	
	%	\$	%	\$
Law	9.5	670	7.0	1,118
MBA	9.5	513	9.4	927
Medicine	6.1	669	5.4	1,623
Vet Med.	7.1	615	5.7	1,494
Dentistry	7.1	648	5.7	1,500

Increases above 5.0 percent in the instructional and non-resident fees will be earmarked for improved services to students in these five colleges

◊ **General Fees:**

The general fee was restructured in FY 1994-95 to correspond with the costs associated with the Office of

Student Affairs and includes such items as Student Unions, Student Health Center, and Student Life. The purpose of this restructuring was to improve accountability. The general fee will increase 9.9 percent for all students in FY 1999, in part as a result of using a more inclusive space cost.

◊ **Other Charges:**

Other charges to Columbus Campus students (room and board, bookstores, health insurance, etc.) will increase by an average of 4.0 percent for undergraduate students. Included in this weighted average is a 2.8 percent increase in room and board charges for undergraduate residence halls.

Other Income

Other General Funds income consists of Indirect Cost recoveries from external research grants (\$36.8 million); Interest Income (\$10.3 million); Unrestricted Endowment and other designated income (\$4.1 million); Research Challenge (\$5.3 million); Performance Funding (\$2.4 million); and other miscellaneous income (\$2.0 million). Other income in total is projected to increase by 3.3% from the FY 1998 level.

IV. FY 1999 EXPENDITURE SUMMARY

Academic Priorities

The second year of the biennium will continue to focus on the principal academic goal "to enhance the quality of the education and experience of our students" through funding allocated to the following categories:

Academic Priority	Continuing	One-Time
Academic Programs	4,857,000	1,800,000
Student Experience	5,562,000	1,752,000
Compensation	15,321,000	0
Protection of Assets	4,597,000	7,020,000
Total Academic Priorities	30,337,000	10,572,000

Academic Programs

- ◊ **Academic Enrichment and Selective Investment** - these funds will be used to support college proposals for learning opportunities in areas of proven excellence.
- ◊ **Student Recruitment** - these funds provide additional support to recruit students to Ohio State who are most likely to benefit from the environment of a comprehensive public research university.

- ◊ **Library Acquisitions** - funds are allocated to the three academic libraries to allow them to maintain their purchasing power for books, periodicals and journals.
- ◊ **Research Support** - A commitment to research is part of what makes a learning experience at Ohio State unique. Increased support for research, including space, equipment and graduate research associates, are required to keep pace with the substantial increase in research activity over the last ten years.
- ◊ **Revenue Enhancements and SEIP** - this is a program whereby fee increases for the professional colleges greater than the 5.0% implemented by the university and revenue increases from increased Summer enrollments will be shared with those colleges.
- ◊ **All Others** - Includes the Public Policy Initiative and Provost's Funds.

Academic Program	Continuing	One-Time
Academic Enrichment	1,500,000	500,000
Student Recruitment	500,000	544,000
Library Acquisitions	734,000	0
Research Support	1,005,000	236,000
SEIP & Revenue Enhancements	868,000	0
Other Programs	250,000	520,000
Total Academic Product	4,857,000	1,800,000

Student Experience

A successful experience outside the classroom is an important part of a student's education. Continuing efforts are being made to implement some of the recommendations from the report on the committee on the undergraduate experience. These include:

- ◊ **Student Financial Aid** - Funds the increase in tuition for students who receive financial aid to match the increase in tuition.
- ◊ **Closed Courses** - This is the fourth year of a four-year program that allocates continuing funds to ensure that high demand courses are available on a continuing basis.
- ◊ **Technology** - Access to state of the art technology is critical to a successful student experience. This includes efforts to wire all residence halls (Res Net), Web-based Student Services, increased support for

supporting education, scholarship and public service and enhancing the educational experience of our students. In FY 1999, the average budgeted salary increase for regular faculty and non-union staff and student workers will be 3.5 percent. This pay package is a result of the university's reaching financial equilibrium through an improved state budget allocation environment, limits on multi-year commitments and the redesign of our medical benefits programs. The guidelines for distribution are as follows:

- ◊ **Merit - 3.5%** of the total base salary budget will be allocated to recognize performance. As was done last year, no "base merit" amount was mandated centrally. Colleges and vice presidential units were provided discretion in distributing pay raises. *Note:* The policy of increasing non-union classified staff by a minimum of 1.5% is no longer in effect. All pay distributions are now based on merit.
- ◊ **Faculty Promotions** - Faculty approved for promotion will receive an additional 6.0% increase above the average increase of 3.5% being awarded other faculty.
- ◊ **Health and Other Benefits** - Aggressive management of health care administration, the shift in emphasis to the University Prime Care plan and an increase in the employees' share of the health premiums has resulted in the university's portion of health care costs increasing only 3 percent from the FY 1998 levels.

student computer labs, Academic Computing and planning money for a new student information system.

- ◊ **Student Retention Programs** - A variety of programs are being continued or added to enhance the students academic and social experience at the university that contribute to a more positive experience that improves retention.
- ◊ **Safety** - Includes additional police, police equipment, Ohio Union Security and emergency phones.
- ◊ **Other Programs** - Includes Leadership/Community Service events, town meetings, a 1-800 number for Fees and Deposits, KBUX (the student radio station) and a variety of other campus activities.

Student Experience	Continuing	One-Time
Student Financial Aid	3,942,000	0
Closed Courses	500,000	0
Technology	250,000	1,250,000
Student Retention	562,000	316,000
Safety	140,000	186,000
All Other	168,000	0
Total Student Experience	5,562,000	1,752,000

Compensation

Compensation cuts across all mission and vision areas. Quality of the faculty is the single most important factor in

Compensation	Continuing	One-Time
Faculty	5,094,000	
Faculty Promotions	500,000	
Admin. & Professional	2,688,000	
Classified	1,873,000	
Specials & Wages	2,568,000	
Other Personnel	365,000	
Benefits	2,200,000	
Other Benefits ⁽¹⁾	33,000	
Total Compensation	\$15,321,000	

⁽¹⁾ Represents removing Dependent Fee Authorizations from the departmental benefits rates due to changes in Federal regulations.

Financial Protection and Growth

The University's ability to continue to improve the quality of the academic product and the student experience depends in part on acquiring more resources to support these activities. This in turn requires a commitment by the University to protect its financial assets and to invest in revenue growth. The best investment to insure revenue growth is to continue to improve the quality of the academic programs and the student experience, which will in turn assure the enrollment base to support revenue growth. Other initiatives include:

- ◊ **Physical Environment** - Maintaining the physical plant of the University is necessary in order for all academic and academic support units throughout the campus to successfully carry out the missions of the University. This budget provides an inflationary 3.0 percent increase in budgeted facility costs

including utilities, rent, insurance, repair and renovations but excluding debt service. It also provides for an additional \$0.5 million for maintaining new facilities including the College of Business Phase II and \$0.25 million for additional repair and renovation of campus buildings.

- ◊ Administrative Computing (ARMS) - The university is in the final phase of upgrading several legacy, administrative support systems. This has been a multiyear effort.
- ◊ Mandate Compliance - The University generally does not receive additional state or federal funds to comply with new state or federal mandates. Nevertheless, the University must comply with these requirements. These include OSHA, Radiation Safety, Tax Relief Credit Act, Student Loan Reporting, OSURF research Risks, Native American Services, Disability Services and environmental clean ups
- ◊ Development Support - Selective investments in support of the university development effort ensure the Campus Campaign initiative and the total development effort continue to engage and encourage external support for key university priorities.

- ◊ Distance Education - There has been a high level of activity in the development of alternative methods of delivering the educational experience. Distance Education requires sound planning, strategic investment and artful implementation to be competitive with other university programs of similar technology.
- ◊ Supplies & Equipment - This is only the second year of funding increases for supplies and equipment since the severe budget constraints of the early 1990's.
- ◊ Enrollment Reserves - While enrollments appear to be stabilizing, many variables impact new enrollments and student retention. Of particular concern is the impact of the Asian financial crisis on the university's substantial Asian population. A \$1.0 million contingency was established as a protection against uncertainties in enrollment trends. This contingency will be re-evaluated after Autumn enrollments and the December subsidy distribution are finalized.
- ◊ Other Service Improvements - Selective investments were made in administrative support areas that promised increased service improvements or productivity gains in support of the academic mission.

President's Fund - a small amount of continuing funds set aside for use at the discretion of the President.

Protection of Assets	Continuing	One-Time
Physical Environment	1,602,000	326,000
ARMS Phase V	0	5,000,000
Mandate Compliance	400,000	600,000
Development Support	118,000	364,000
Distance Education		250,000
Supplies & Equipment	1,148,000	0
Enrollment Reserves	1,000,000	
Other Service Improvements	79,000	335,000
President's Fund	250,000	145,000
Total Protection of Assets	4,597,000	7,020,000

V. EXPENDITURE TRENDS AND MULTI-YEAR COMMITMENTS

Expenditure Trends (All figures in millions of \$)

Following are a series of tables showing a five-year trend of budgeted amounts for selected priorities. It includes non-general fund sources where indicated.

Compensation - The five year trend shows that the University has done better than inflation in salary. The University has also taken aggressive action to moderate health and other benefits increases through its effective management of those programs.

Compensation					
	FY 95	FY 96	FY 97	FY 98	FY 99
Salaries & Wages	\$309.7	\$326.7	\$347.8	\$358.7	\$373.1
Benefits	66.2	69.2	65.9	66.3	69.9
Total	\$375.9	\$395.9	\$413.7	\$425.0	\$443.0

Academic Enrichment and Selective Investment - The University continues to improve the academic experience of its students. The conversion of funding to reduce closed courses from one-time to continuing funds will be completed this year.

Academic Enrichment					
	FY 95	FY 96	FY 97	FY 98	FY 99
Course Closings (1-Time)	\$2.0	\$1.0	\$0.5	\$0.5	\$0
Course Closings (Cont.)	NA	0.5	1.0	1.5	2.0
Academic Enrich (Cont)	1.0	2.0	3.0	4.25	5.75
Academic Enrich(1-Time)			1.0	.75	0.5
Library Support	7.0	7.4	8.4	9.0	9.8
Total	\$10.0	\$10.9	\$13.9	\$16.0	\$18.1

Research Support - Research continues to be a high priority of the University where resources must continually be invested in order for the University to maintain its competitive advantage and to increase its research output. In FY 1998, total research support increased moderately. The Research Commission report will assist in focusing university efforts to further advance its research efforts.

Research Support					
	FY 95	FY 96	FY 97	FY 98	FY 99
OSURF Administration	\$5.5	\$5.8	\$6.1	\$6.3	\$6.4
Special Purpose Research	3.6	3.8	4.0	4.1	4.0
Research Fee Auths.	6.0	6.7	10.8	11.4	11.9
Research Challenge (St.)	3.2	4.4	4.8	5.1	5.3
Research Facilities	5.8	5.6	5.8	6.2	6.4
Total	\$24.1	\$26.3	\$31.5	\$33.1	\$34.0

Diversity - The University must continue to invest in those areas which will have the greatest potential for positive impact on its commitment to diversity. Additional funds are

provided for Young Scholars, minority scholarships and hiring faculty from underrepresented groups such as women and minorities.

Diversity					
	FY 95	FY 96	FY 97	FY 98	FY 98
Young Scholars	\$3.0	\$3.9	\$4.5	\$4.7	\$4.7
Minority Scholarships	5.7	6.0	6.3	6.6	7.0
Faculty Assistance Prog.	4.2	4.5	4.7	4.9	5.1
Total	\$12.9	\$14.4	\$15.5	\$16.2	\$16.5

Student Financial Aid - In FY 1999, an estimated 30,000 students will receive some form of financial aid, making this one of the most critical student services. In addition to improvements in financial aid administration, the University will allocate additional funds so that scholarships and fee authorizations can be increased to offset increases in student fees. In FY 1997, Fee Authorizations appear to decrease because of a realignment of non-resident Research Fee Authorizations from this category to Research Fee Authorizations (see Research Support on the previous page). FY 1997 also marks the beginning of the Buckeye Scholarships, a new program to attract quality non-resident students.

Student Financial Aid					
	FY 95	FY 96	FY 97	FY 98	FY 99
Administration	\$2.6	\$2.8	\$3.0	\$3.1	\$4.0
Scholarships	5.4	5.7	6.0	6.3	6.7
High Ability Scholars	4.4	4.7	5.0	5.0	5.3
Fee Authorizations	5.10	5.46	52.7	55.3	58.1
Buckeye Scholarships	NA	NA	1.0	2.3	2.7
Total	\$65.4	\$67.8	\$67.7	\$72.0	\$76.8

Physical Environment - The Ohio State University faces a backlog of over \$100 million in identified maintenance needs for campus facilities. While this backlog cannot be eliminated overnight, the University continues to invest significant resources to maintain the campus physical environment.

Physical Environment					
	FY 95	FY 96	FY 97	FY 98	FY 99
Renovations (State)	\$10.2	\$10.2	\$9.25	\$9.25	\$7.7
University (Cont.)	5.1	5.3	5.5	6.4	6.9
University (1-Time)	1.0	1.0	1.0	0.25	1.7
Total	\$16.3	\$16.5	\$15.75	\$15.9	\$16.3

Academic Computing - The University has embarked on a multi-year effort to increase support of learning technology. It is critical to the University's mission that faculty, staff and students have access to the most advanced technologies.

Academic Computing					
	FY 95	FY 96	FY 97	FY 98	FY 99
Acad Computing (Cont)	\$0.2	\$1.0	\$1.3	\$2.3	\$2.6
Acad Computing (1-Time)	1.9	0	0.2	0	0.8
Engineering Compr Fees	1.6	1.6	1.6	1.6	1.6
Business Compr Fees	0.7	0.7	0.7	0.7	0.7
Total	\$4.1	\$3.3	\$3.8	\$4.6	\$5.7

Multi-Year Commitments

The documentation of multi-year commitments has been part of the annual budget document every year since FY 1996. The purpose of this review is to share with the campus a sense of what these commitments are and how they change from year to year. In order to plan effectively, the University needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility.

Multi-year commitments are divided into five categories to reflect the various sources of the funds to address these commitments. These categories are:

- Commitments of continuing Central General Funds.
- Commitments of one-time Central General Funds.
- Commitments of Central Non-General Funds.
- Commitments by colleges and support units.
- Capital Commitments.

Central Continuing General Funds

The following table lists the explicit multi-year commitments against continuing General Funds. These include only two commitments carried over from previous years - Closed Course Support and Academic Enrichment. Closed course support will be fully funded after FY 1999. Young Scholars and Occupational Medicine were on the list last year, but are not this year because they have now been

fully funded. Completion of these commitments does not mean the programs will end, but it does mean that additional continuing General Funds are no longer necessary. Academic Enrichment and Supplemental Enrichment are not always shown beyond FY 2002 because the programs are to be re-evaluated before any future commitments are made, but we anticipate continued funding beyond that time.

One new multi-year commitment has been proposed for FY 1999 and beyond - funding for enhanced recruiting of high ability students. The amount proposed is also shown in the following table and is subject to annual review.

Commit	Initial FY	FY 98 Base	FY 99	FY 00	FY 01	FY 02	Total
Closed Courses	1993	\$1,500	\$500				\$2,000
Academ Enrich	1995	4,000	1,000	1,000	1,000	1,000	8,000
Supplml Enrich	1998	250	500	750	1,000	1,000	3,500
Recruit Enhance	1998	1,100	500	500	500	500	3,100
Total		6,850	2,500	2,250	2,500	2,500	16,600

The desired ceiling for multi-year commitments is that those for the following three years not exceed 1% of the current year's budget, which would be \$6.7 million for FY 1999. When the new commitment to Supplemental Enrichment and recruiting are added, the future obligations

from FY 2000 through FY 2002 totals about \$6.75 million or approximately equal to the desired ceiling of 1% of the FY 1999 annual General Funds budget.

In addition to the specific multi-year commitments listed above, the University will continue to need to fund increases in a number of areas of the General Funds budget with normal growth in the budget or targeted increases in state support. These include:

- Competitive annual compensation increases for faculty, staff and student employees.
- Continuing needs for increases in supplies and equipment including library acquisitions.
- Implementation of the CUE recommendations.
- Support of research.
- Deferred maintenance and other capital needs.
- Academic and administrative computing needs.
- Student Financial Aid.
- Unfunded legal mandates.

Commitments of Central One-Time General Funds

The commitment to the ARMS project, Project Reinvent and Technology Transfer were identified in last year's report. The commitments to Project Reinvent and Technology Transfer remain unchanged. The commitment of one-time funds to the ARMS Project has been updated to reflect the financial impact of the decision to stretch out implementation of the Fiscal Systems.

The North Star and Fawcett Center environmental cleanups have been completed and have been dropped from the list. A new four-year commitment of cash and loans to the College of Pharmacy for the PharmD program has been added.

Commitment	Initial FY	FY 99	FY 00	FY 01	FY 02
ARMS		5.500	5.500	.50	.50
Project Reinvent	1997	.50	.50	.50	0
Technology Transfer	1998	.14	.09	.04	0
PharmD Support	1998	.24	.13	.25	.14

Central Commitments of Non-General Funds

As the University moves to diversify its funding sources, the commitment of non-General Fund sources is becoming much more significant. The following table lists ongoing commitments of non-General Fund sources which are primarily endowment and unrestricted gift money.

Project	FY Initiated	Amount	Source	Timing
Affirm Thy Friendship Campaign	1994	33.0	Unrestd Gift	FY 95-99
Campus Partners	1995	25.0	Endowment	FY 97-2002
		3.0	Unrestd Gift	FY 96-2000
		.5	Albinity Card	FY 97-2002
Science & Tech Campus	1997	.6	Unrestd Gift ¹	FY 98-2002
		.6	Off of Research ¹	FY 98-2002

¹ Subject to Board of Trustee approval of a business plan.

College and Other Unit Commitments

As the University moves to a more decentralized structure, colleges and other administrative units will be taking on greater financial responsibility. The section below lists specific initiatives where colleges and large administrative units have been given or have given loans to accomplish certain objectives.

New commitments are the loans from University Hospitals funds to Prologue Inc. (a non-profit clinical trials entity) and MedOhio Health Inc. (a health services entity), which are designed to help the Medical Center compete more effectively in a managed care environment.

Project	College	Amount	Source ¹	MOU	Time Frame
Gas Turbine Initiative	Engr	\$0.80	Cost Recovery	Yes	2004
Telescope project	MAPS	0.50	Cost Recovery	Yes	2002
Heart & Lung Institute Addition - Construction - Operating	Medicine	0.63 0.13	Cost Recovery	Yes	2013
MRI	Medicine	1.50	Cost Recoveries	Yes	2005
Prologue, Inc.	Medicine	2.50	Clinical Trials	Yes	?
MedOhio, Inc.	Hospitals	5.60	Patient Revenues	Yes	TBD

¹ Increases in cost recoveries are guaranteed by the respective college and/or Department

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In addition to these specific multi-year commitments by the colleges, we want to highlight some of the financial issues facing the OSU Medical Center and the Department of Athletics.

The OSU Medical Center is the most comprehensive in the country. It currently is facing two financial challenges: the competitive challenges presented by managed care and the competitive challenge for research dollars. Recent hires in the area of medical research will provide an enormous competitive advantage in cancer and heart and lung research. However, these new hires will also require a corresponding significant investment of financial support. Initial support has been made possible by the transfer of funds from past successful operations of the James Cancer Hospital and other sources.

With the competitive pressures of responding to managed care likely to become more intense, it is unlikely these resources will be available at this level in the future, and the resources that are available will be needed to address the significant start up costs involved in competing in managed care. The OSU Medical Center accounts for nearly 40% of the entire OSU budget from all sources. While the OSU Medical Center is currently in a strong financial position, the ability to remain strong academically and financially depends on the ability of the University and the Medical Center to balance internal demands for more resources in support of teaching and research against external market forces oriented primarily on cost.

OSU's Athletics program is the largest in the country and one of only a handful that contributes resources back to the university. The program has aggressively embarked on an effort to correct a number of chronic problems that have needed attention for quite some time, including inadequate facilities, equity issues in coaches pay and Title IX compliance. Facility investments alone will result in a quarter of a billion dollar commitment over the next few years. While the program is currently in sound financial shape, future revenue growth is not guaranteed. It is essential that new commitments be carefully balanced against future resources so that the program remains financially viable.

- Capital needs in Athletics and the Medical Center need to be balanced against operating needs and expected income sources, as discussed previously.
- Although adequate funds are available to meet the needs described above; existing funding sources are not sufficient to embark on additional major university financed construction projects involving Campus Partners or a major renovation of the Wexner Center.

Conclusions

Financial projections show that if present trends continue, the University will have sufficient funds to cover these commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the University needs to reduce other commitments or secure additional funds.

Capital

Capital commitments will be addressed as part of the preparation for the biennial Capital Request, and thus will not be dealt with in great detail here. However, we would like to emphasize the following:

- Most of the University's capital needs can be met through the capital funding process as long as state support remains at or near current levels. If state support is reduced, it will create a significant financial problem for the University. The recent failure of Issue 2 has raised our concerns in this regard.
- Even if state support remains constant or grows slightly, the University will need to make a modest commitment in additional continuing funds to address deferred maintenance problems (\$250,000-\$500,000 per year for the next 3-5 years).

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SELECTED DEFINITIONS

Current Funds are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

General Funds are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

Earnings Operations are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

Unrestricted refers to the sum of general funds plus earnings operations.

Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

Management, Finance, Human Resources, Business & Administration and Communications & Development.

Plant, Operations and Maintenance includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

Separately Budgeted Research includes all expenditures for activities specifically organized to produce research outcomes, whether commissioned by an external agency to the University (restricted) or the University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or contract. It does not include training grants or equipment grants.

Instruction and Departmental Research includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

Academic Support includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices.

Student Services includes funds expended for those activities whose primary purpose is to contribute to the student's emotional and physical well-being, as well as his cultural and social development outside the context of the formal instructional program. Included in this category are Admissions and Registration, Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

Institutional Support contains expenditures for operations that provide support services to the total University. Included in this category are Executive

Public Service includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

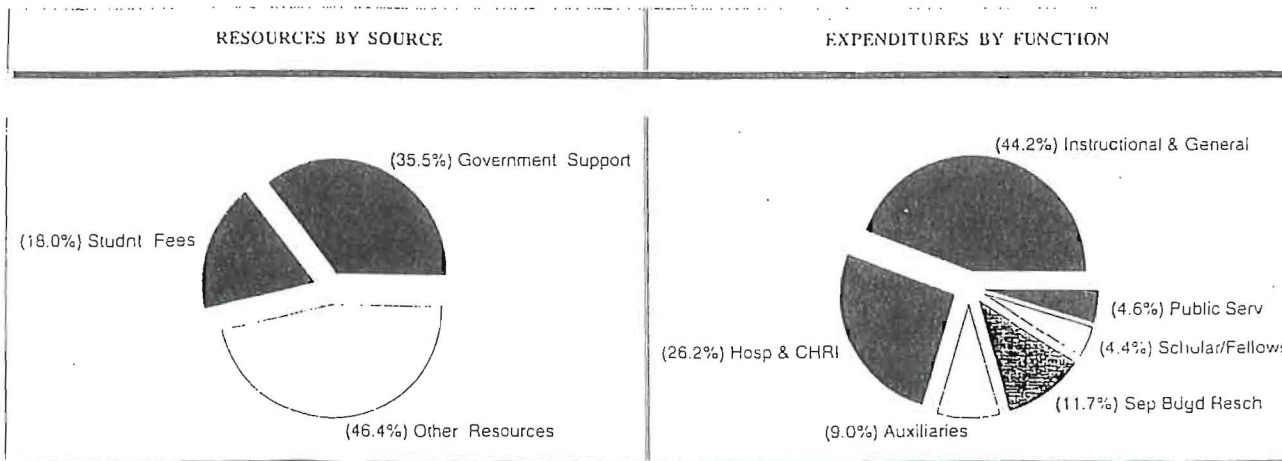
Scholarships and Fellowships include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

Auxiliaries are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Traffic & Parking, Fawcett Center, University Airport and Property Management.

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY
 (IN THOUSANDS)

	1997-98 Budget	1998-99 Budget	Dollar Change	Percent Change
RESOURCES				
Government Support				
State	423,210	443,066	19,856	4.7%
Federal	159,864	159,570	(294)	-0.2%
Local	17,915	18,805	890	5.0%
Subtotal Government Support	600,989	621,441	20,452	3.4%
Student Fees				
Instructional, General & Tuition	283,532	297,675	14,143	5.0%
Other	15,595	17,368	1,773	11.4%
Subtotal Student Fees	299,127	315,043	15,916	5.3%
Other Resources				
Hospital & CHRI	424,559	445,139	20,580	4.8%
Auxiliaries	122,267	149,520	27,253	22.3%
Departmental Sales & Services	35,319	48,648	13,329	37.7%
Private Grants & Contracts	118,899	124,374	5,475	4.6%
Other	41,420	44,351	2,931	7.1%
Subtotal Other Resources	742,464	812,032	69,568	9.4%
Total Resources	1,642,580	1,748,516	105,936	6.4%
EXPENDITURES				
Instructional & General	736,214	766,348	30,134	4.1%
Separately Budgeted Research	196,225	203,214	6,989	3.6%
Public Service	69,112	79,869	10,757	15.6%
Scholarships & Fellowships	71,305	75,581	4,276	6.0%
Auxiliaries	127,344	155,951	28,607	22.5%
Hospitals & CHRI	418,518	454,129	35,611	8.5%
Total Expenditures	1,618,719	1,735,092	116,373	7.2%

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY



SUMMARY OF ANNUAL STUDENT FEES COLUMBUS CAMPUS

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Undergraduate
Graduate
Graduate Professional
MBA
Pharmacy
Medicine
Dentistry
Optometry
Veterinary Medicine
Law

	INSTRUCTIONAL & GENERAL FEES				NON-RESIDENT SURCHARGE			
	FY 1998 Fees	FY 1999 Fees	Dollar Change	Percent Change	FY 1998 Fees	FY 1999 Fees	Dollar Change	Percent Change
Undergraduate	3,660	3,879	219	6.0%	7,209	7,569	360	5.0%
Graduate	5,187	5,445	258	5.0%	8,286	8,700	414	5.0%
Graduate Professional								
MBA	5,385	5,898	513	9.5%	8,286	8,700	414	5.0%
Pharmacy	6,000	6,429	429	7.1%	8,817	9,258	441	5.0%
Medicine	10,968	11,637	669	6.1%	19,059	20,013	954	5.0%
Dentistry	9,165	9,813	648	7.1%	17,019	17,871	852	5.0%
Optometry	8,517	8,955	438	5.1%	17,019	17,871	852	5.0%
Veterinary Medicine	8,691	9,306	615	7.1%	17,589	18,468	879	5.0%
Law	7,022	7,692	670	9.5%	8,946	9,394	448	5.0%

Notes:

Full time fees for Undergraduate Students are for 12+ credit hours.
Full time fees for Graduate and Professional Students are for 10+ credit hours.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY BY FUND (IN THOUSANDS)

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RESOURCES

Government Support
State Support
Instructional Subsidy
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Hospital & CHRI Services
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

Total Resources

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxiliaries
Hospitals & CHRI

Total Expenditures

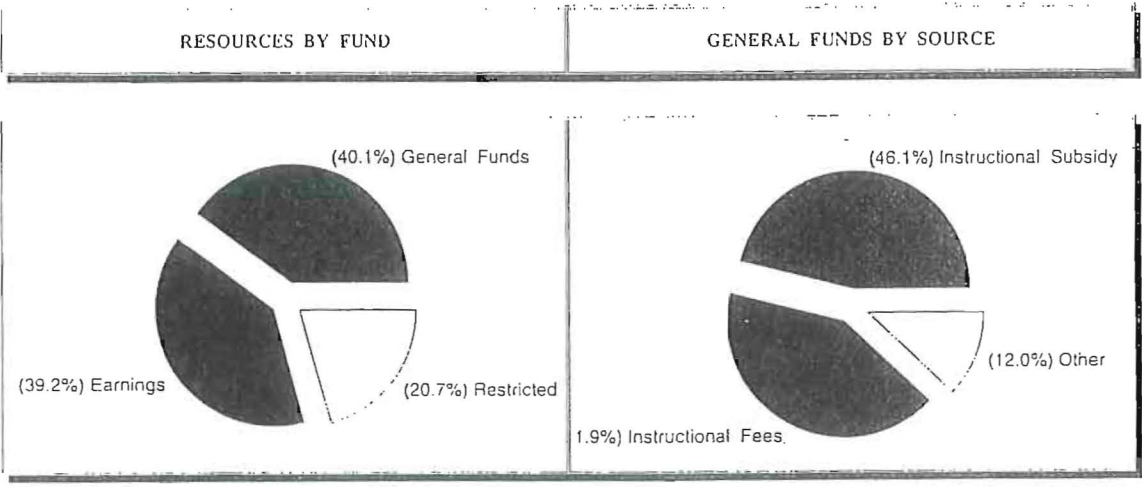
	1997-98	1998-99			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
Instructional Subsidy	515,883	324,823			324,823	2.8%
Appropriations	85,517	8,725		84,885	93,610	9.5%
Ohio Grants & Contracts	21,810	1,046		23,587	24,633	12.9%
Subtotal State Support	423,210	334,594		413,495	443,068	4.7%
Federal Grants & Contracts	159,864	26,943		132,627	159,570	-0.2%
Local Grants & Contracts	17,915	1,695		17,110	18,805	5.0%
Subtotal Government	600,989	363,232		258,209	621,441	3.4%
Student Fees						
Instructional, General and Tuition	283,532	297,675			297,675	5.0%
Other	15,695	9,840	7,528		17,368	11.4%
Subtotal Student Fees	299,127	307,515	7,528		315,043	5.3%
Other Resources						
Hospital & CHRI Services	424,559		445,139		445,139	4.8%
Auxiliary Sales & Services	122,267		149,520		149,520	22.3%
Departmental Sales & Services	35,319		48,648		48,648	37.7%
Private Grants & Contracts	118,899	12,041		112,333	124,374	4.6%
Endowment Income	24,045	3,600		22,545	26,145	8.7%
Investment Income	11,105	11,934			11,934	7.5%
Other	6,268	6,272			6,272	0.3%
Subtotal Other	742,464	33,847	843,307	134,878	812,032	9.4%
Total Resources	1,642,580	704,594	850,835	393,087	1,748,515	6.4%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	441,480	381,184	23,555	69,362	474,101	7.4%
Academic Support	116,908	104,086	3,280	9,761	117,127	0.2%
Student Services	40,234	38,845	3,840	757	43,442	8.0%
Institutional Support	55,141	40,717	1,870	8,126	50,713	-9.7%
Plant, Operations & Maintenance	81,452	75,948	6	5,011	80,965	-0.5%
Subtotal Instruction & General	736,214	640,780	32,551	83,017	766,348	4.1%
Separately Budgeted Research	196,225	20,264	5,210	177,740	203,214	3.6%
Public Service	69,112	2,888	11,871	65,112	79,869	15.6%
Scholarships & Fellowships	71,305	35,310		39,171	75,581	6.0%
Auxiliaries	127,344	1,293	148,808	5,860	155,955	22.5%
Hospitals & CHRI	418,518		441,932	12,197	454,129	8.5%
Total Expenditures	1,618,719	701,533	640,372	393,087	1,735,082	7.2%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
COLUMBUS CAMPUS BY FUND
(IN THOUSANDS)**

	1997-98	1998-99			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
Instructional Subsidy	297,551	305,618			305,618	2.7%
Appropriations	53,943	7,710		51,710	59,420	10.2%
Ohio Grants & Contracts	21,262	1,045		23,000	24,046	13.1%
Subtotal State Support	372,757	314,374		74,710	389,084	4.4%
Federal Grants & Contracts	147,372	25,943		121,000	147,943	0.4%
Local Grants & Contracts	17,852	1,893		17,050	18,745	5.0%
Subtotal Government	537,981	343,012		212,760	555,772	3.3%
Student Fees						
Instructional, General and Tuition	264,610	277,510			277,510	4.9%
Other	14,800	8,220	6,700		15,920	7.6%
Subtotal Student Fees	279,410	285,730	6,700		293,430	5.0%
Other Resources						
Hospital & CHRI Services	424,559		445,139		445,139	4.8%
Auxiliary Sales & Services	122,220		149,473		149,473	22.3%
Departmental Sales & Services	33,000		46,200		46,200	40.0%
Private Grants & Contracts	114,772	12,041		105,000	120,041	4.6%
Endowment Income	23,557	3,375		22,000	25,375	7.7%
Investment Income	10,400	11,210			11,210	7.6%
Other	5,886	5,933			5,933	1.6%
Subtotal Other	734,394	33,609	640,812	130,000	803,421	9.4%
Total Resources	1,551,795	662,351	647,512	342,760	1,652,623	6.5%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	419,691	353,541	22,110	59,053	449,704	7.2%
Academic Support	108,158	99,803	1,990	6,500	108,263	0.1%
Student Services	35,776	34,358	3,640	700	39,398	10.1%
Institutional Support	50,768	35,025	1,870	8,000	44,895	-11.6%
Plant, Operations & Maintenance	73,531	71,684		1,500	73,184	-0.6%
Subtotal Instruction & General	688,023	599,911	29,800	85,753	715,464	4.0%
Separately Budgeted Research	158,052	20,264	5,210	137,960	163,434	3.4%
Public Service	68,550	2,509	11,410	55,000	73,919	15.0%
Scholarships & Fellowships	67,875	35,710		36,000	71,710	6.0%
Auxiliaries	127,312	1,293	148,761	5,850	155,304	22.5%
Hospitals & CHRI	418,518		441,932	12,197	454,129	8.5%
Total Expenditures	1,528,242	659,687	637,110	342,760	1,639,560	7.3%

FY 1998 budgets were restated to include items not previously included in the budget.

**BUDGETED RESOURCES
COLUMBUS CAMPUS**



DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
LIMA CAMPUS BY FUND
 (IN THOUSANDS)

130

	1997-98	1998-99			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
Instructional Subsidy		3,647			3,647	5.9%
Appropriations	3,443	227		2	229	65.9%
Ohio Grants & Contracts	138			100	100	66.7%
Subtotal State Support	60	3,874		102	3,976	9.2%
Federal Grants & Contracts	3,611			625	625	-10.9%
Local Grants & Contracts	589					
Subtotal Government	4,230	3,874		627	4,501	6.4%
Student Fees						
Instructional, General and Tuition	3,841	4,064			4,064	5.8%
Other	291	308	301		607	108.6%
Subtotal Student Fees	4,132	4,370	301		4,671	13.0%
Other Resources						
Hospital & CHRI Services			2		2	
Auxiliary Sales & Services						
Departmental Sales & Services						
Private Grants & Contracts	50			65	65	30.0%
Endowment Income	73			80	80	6.7%
Investment Income	160	190			190	18.8%
Other	80	40			40	-50.0%
Subtotal Other	365	230	2	145	377	3.3%
Total Resources	8,727	8,474	303	772	9,549	9.4%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	4,102	4,787		68	4,855	18.4%
Academic Support	890	1,000		8	1,008	13.3%
Student Services	1,125	500	15		915	-18.7%
Institutional Support	1,125	600	40		940	-16.4%
Plant, Operations & Maintenance	642	797		6	803	25.1%
Subtotal Instruction & General	7,885	8,384		127	8,521	8.1%
Separately Budgeted Research	80			43	43	-45.3%
Public Service	194	50	301		391	101.5%
Scholarships & Fellowships	562			552	532	5.3%
Auxiliaries			2		2	
Hospitals & CHRI						
Total Expenditures	8,721	8,474	303	772	9,549	9.5%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MANSFIELD CAMPUS BY FUND
 (IN THOUSANDS)

131

	1997-98	1998-99			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
Instructional Subsidy		3,627			3,627	8.3%
Appropriations	3,348	214		88	302	36.7%
Ohio Grants & Contracts	161			150	150	-6.8%
Subtotal State Support	3,730	3,841		238	4,079	9.4%
Federal Grants & Contracts	500			650	650	30.0%
Local Grants & Contracts						
Subtotal Government	4,230	3,841		888	4,729	11.8%
Student Fees						
Instructional, General and Tuition	4,235	4,591			4,591	8.4%
Other	90	83			83	-7.8%
Subtotal Student Fees	4,325	4,674			4,674	8.1%
Other Resources						
Hospital & CHRI Services			160		160	45.5%
Auxiliary Sales & Services	110					
Departmental Sales & Services	80			80	80	
Private Grants & Contracts	9			15	15	65.7%
Endowment Income	200	260			260	30.0%
Investment Income		4			4	
Other						
Subtotal Other	399	264	160	95	319	30.1%
Total Resources	8,954	8,779	160	983	9,922	10.8%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	3,470	4,338		70	4,408	27.0%
Academic Support	1,005	1,047		6	1,053	4.8%
Student Services	1,001	542		15	557	-44.4%
Institutional Support	950	1,221			1,221	28.5%
Plant, Operations & Maintenance	900	860			860	-4.4%
Subtotal Instruction & General	7,326	8,008		91	8,099	10.6%
Separately Budgeted Research	160			150	150	-18.0%
Public Service	168	287	160		447	165.1%
Scholarships & Fellowships	1,100	447		742	1,189	8.1%
Auxiliaries						
Hospitals & CHRI						
Total Expenditures	8,777	8,742	160	983	9,885	12.6%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MARION CAMPUS BY FUND
(IN THOUSANDS)**

132

	1997-98	1998-99			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
Instructional Subsidy	3,511	3,505			3,505	-0.2%
Appropriations	136	182		29	211	55.1%
Ohio Grants & Contracts	27			27	27	
Subtotal State Support	3,674	3,687		56	3,743	1.9%
Federal Grants & Contracts	400			450	450	12.5%
Local Grants & Contracts						
Subtotal Government	4,074	3,687		506	4,193	2.9%
Student Fees						
Instructional, General and Tuition	3,021	3,408			3,408	12.8%
Other	80	56			66	12.0%
Subtotal Student Fees	3,071	3,464			3,464	12.6%
Other Resources						
Hospital & CHRI Services			1		1	
Auxiliary Sales & Services	1					
Departmental Sales & Services	215		306		306	42.3%
Private Grants & Contracts	80			70	70	15.7%
Endowment Income	100	225		85	210	210.0%
Investment Income	150	59			59	-60.7%
Other	63					-100.0%
Subtotal Other	589	284	307	155	746	26.7%
Total Resources	7,734	7,439	307	661	8,403	8.7%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	3,648	3,473	393	52	3,918	7.4%
Academic Support	969	1,005		35	1,040	7.3%
Student Services	967	1,072		25	1,097	13.4%
Institutional Support	730	820		3	823	12.7%
Plant, Operations & Maintenance	575	619	6	4	629	9.4%
Subtotal Instruction & General	6,889	6,999	399	119	7,507	9.0%
Separately Budgeted Research	21			10	10	-52.4%
Public Service				10	10	
Scholarships & Fellowships	713	253		522	775	8.7%
Auxiliaries	2		2		2	
Hospitals & CHRI						
Total Expenditures	7,625	7,242	401	661	8,304	8.9%

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**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
NEWARK CAMPUS BY FUND
(IN THOUSANDS)**

	1997-98	1998-99			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
Instructional Subsidy	3,700	4,001			4,001	6.1%
Appropriations	255	253		108	367	38.5%
Ohio Grants & Contracts	20			25	25	25.0%
Subtotal State Support	3,975	4,260		133	4,393	10.2%
Federal Grants & Contracts	533			600	600	1.2%
Local Grants & Contracts						
Subtotal Government	4,578	4,260		733	4,993	9.1%
Student Fees						
Instructional, General and Tuition	4,914	5,037			5,037	2.5%
Other	116	150			150	29.3%
Subtotal Student Fees	5,030	5,187			5,187	3.1%
Other Resources						
Hospital & CHRI Services			44		44	4.3%
Auxiliary Sales & Services	46					
Departmental Sales & Services	55			50	50	9.1%
Private Grants & Contracts	60			100	100	66.7%
Endowment Income	175	178			175	
Investment Income	129	142			142	10.1%
Other	465	317	44	150	511	9.9%
Subtotal Other						
Total Resources	10,073	9,764	44	883	10,691	6.1%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	5,613	5,854		38	5,902	5.1%
Academic Support	912	988			999	6.3%
Student Services	859	963			963	12.1%
Institutional Support	923	1,079		40	1,119	21.2%
Plant, Operations & Maintenance	763	822			822	7.7%
Subtotal Instruction & General	9,070	9,697		78	9,775	7.8%
Separately Budgeted Research	23			18	18	-21.7%
Public Service	48			47	47	-2.1%
Scholarships & Fellowships	690			740	740	7.2%
Auxiliaries	30		43		43	43.3%
Hospitals & CHRI						
Total Expenditures	9,861	9,697	43	883	10,623	7.7%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
AGRICULTURAL TECHNICAL INSTITUTE BY FUND
 (IN THOUSANDS)

134

	1997-98		1998-99			Percent Change
	Total Budget	General	Earnings	Restricted	Total Budget	
RESOURCES						
Government Support						
State Support						
Instructional Subsidy	4,330	4,425			4,425	2.2%
Appropriations	152	133		60	213	40.1%
Ohio Grants & Contracts	30			30	30	
Subtotal State Support	4,512	4,558		110	4,658	3.5%
Federal Grants & Contracts	910			910	910	
Local Grants & Contracts						
Subtotal Government	5,422	4,558		1,020	5,578	2.9%
Student Fees						
Instructional, General and Tuition	2,911	3,055			3,055	5.3%
Other	243	25	527		652	122.6%
Subtotal Student Fees	3,154	3,080	527		3,617	14.5%
Other Resources						
Hospital & CHRI Services						
Auxiliary Sales & Services						
Departmental Sales & Services	694		682		682	-1.7%
Private Grants & Contracts	82			68	68	-17.1%
Endowment Income	60			65	65	6.3%
Investment Income	20	40			40	100.0%
Other	111	103			103	-7.2%
Subtotal Other	967	143	682	133	958	-0.9%
Total Resources	9,549	7,791	1,209	1,153	10,153	6.3%
EXPENDITURES						
Instruction & General	4,953	4,181	1,052	78	5,311	7.2%
Instruction & Departmental Research	274	262		12	274	
Academic Support	505	510		2	512	1.4%
Student Services	1,642	1,672		40	1,712	4.3%
Institutional Support	1,141	1,165		1	1,167	2.3%
Plant, Operations & Maintenance	6,515	7,791	1,052	133	8,976	5.4%
Subtotal Instruction & General						
Separately Budgeted Research	585		575		575	-1.7%
Public Service	20		20		20	
Scholarships & Fellowships	414		426		426	2.7%
Auxiliaries						
Hospitals & CHRI						
Total Expenditures	9,534	7,791	1,052	1,153	9,956	4.8%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND
 (IN THOUSANDS)

135

	1997-98		1998-99			Percent Change
	Total Budget	General	Earnings	Restricted	Total Budget	
RESOURCES						
Government Support						
State Support						
Instructional Subsidy				32,866	22,863	7.2%
Appropriations	30,661			255	255	2.0%
Ohio Grants & Contracts	260			33,123	33,123	7.3%
Subtotal State Support	30,911			8,492	8,492	-10.6%
Federal Grants & Contracts	9,500			60	60	-4.8%
Local Grants & Contracts	63			41,675	41,675	3.0%
Subtotal Government	40,474					
Student Fees						
Instructional, General and Tuition						
Other						
Subtotal Student Fees						
Other Resources						
Hospital & CHRI Services						
Auxiliary Sales & Services			1,300		1,300	
Departmental Sales & Services	1,300			4,000	4,000	5.3%
Private Grants & Contracts	3,800			200	200	8.1%
Endowment Income	185					
Investment Income						
Other						
Subtotal Other	5,285		1,300	4,200	5,500	4.1%
Total Resources	45,759		1,300	45,875	47,175	3.1%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	3			3	3	
Academic Support	4,700		1,300	3,200	4,500	-4.3%
Student Services						
Institutional Support	3			3	3	
Plant, Operations & Maintenance	3,800			3,500	3,500	-7.9%
Subtotal Instruction & General	8,508		1,300	6,706	8,006	-5.9%
Separately Budgeted Research	37,271			38,984	38,984	4.6%
Public Service	32			35	35	9.4%
Scholarships & Fellowships	150			150	150	
Auxiliaries						
Hospitals & CHRI						
Total Expenditures	45,959		1,300	45,875	47,175	2.6%

**SUMMARY OF STATE SUPPORT
COLUMBUS CAMPUS
(IN THOUSANDS)**

	1997-98	1998-99		Total Budget	Dollar Change	Percent Change
	Total Budget	General Funds	Restricted			
INSTRUCTIONAL SUBSIDIES						
Instructional Subsidy	297,296	297,296		297,296	0	0.0%
Subtotal Instructional Subsidies	297,296	297,296	0	297,296	0	0.0%
APPROPRIATIONS						
OSU-Specific Line Items						
Cooperative Extension	22,385		23,815	23,816	1,431	6.4%
Clinical Teaching	14,989		15,270	15,270	281	1.9%
Cancer Research Institute	250		250	250	0	0.0%
Sea Grants	301		300	300	(1)	-0.3%
Dental/Veterinary Medicine	1,195		1,331	1,331	136	11.4%
Supercomputer	3,765		3,802	3,802	37	1.0%
OARNet	2,000		2,020	2,020	20	1.0%
Subtotal OSU-Specific Line Items	44,885		46,789	46,789	1,904	4.2%
General Line Items						
Priorities in Graduate Education	0		498	498	498	
Discovery Project	0		1,169	1,169	1,169	
Urban Universities	155		164	164	9	5.8%
Postsecondary Readiness Testing	199		217	217	18	9.0%
Library Book Depository	291		260	260	(31)	-10.7%
Student Support Services	89		178	178	89	100.0%
Research Challenge	5,151	5,316		5,316	165	3.2%
HEI Information System	15		14	14	(1)	-6.7%
Capital Component	839		839	839	0	0.0%
Performance Funding:						
Success Challenge	318	318		318	0	0.0%
Jobs Challenge	76	76		76	0	0.0%
Technology Challenge/TechLink	0	2,000		2,000	2,000	
Medical Items						
Family Practice	843		839	839	(4)	-0.5%
Primary Care	362		360	360	(2)	-0.6%
Geriatric Medicine	149		148	148	(1)	-0.7%
Area Health Education Center	236		235	235	(1)	-0.4%
Subtotal General Line Items	9,053	7,710	4,921	12,631	3,908	43.1%
Total Appropriations	53,944	7,710	51,710	59,420	5,812	10.8%
OHIO GRANTS & CONTRACTS	21,252	1,045	23,000	24,045	2,784	13.1%
TOTAL STATE SUPPORT	372,502	306,052	74,710	380,762	8,596	2.3%

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**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

	1997-98	1998-99		Total Budget	Dollar Change	Percent Change
	Total Budget	General Funds	Restricted			
LIMA CAMPUS						
Instructional Subsidy	3,443	3,647		3,647	204	5.9%
Access Challenge	129	174		174	45	
Jobs Challenge	0	7		7	7	
Performance Challenge	7	46		46	39	
HEI Information System	2		2	2	0	
Capital Component	0		0	0	0	
Subtotal Appropriations	138	227	2	222	84	60.9%
State Grants & Contracts	60	0	100	100	40	66.7%
Total Lima Campus	3,641	3,874	102	3,969	328	9.0%
MANSFIELD CAMPUS						
Instructional Subsidy	3,348	3,576		3,576	228	6.8%
Access Challenge	127	171		171	44	
Jobs Challenge	0	1		1	1	
Performance Challenge	6	42		42	36	
HEI Information System	2		2	2	0	
Capital Component	86		86	86	0	
Subtotal Appropriations	221	214	88	201	80	36.2%
State Grants & Contracts	161		50	50	(111)	-68.9%
Total Mansfield Campus	3,730	3,790	138	3,927	197	5.3%
MARION CAMPUS						
Instructional Subsidy	3,511	3,505		3,505	(6)	-0.2%
Access Challenge	102	137		137	35	
Jobs Challenge	0	6		6	6	
Performance Challenge	6	39		39	33	
HEI Information System	2		2	2	0	
Capital Component	27		27	27	0	
Subtotal Appropriations	137	182	29	205	68	49.5%
State Grants & Contracts	27		27	27	0	0.0%
Total Marion Campus	3,675	3,687	56	3,737	62	1.7%

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**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

139

	1997-98		1998-99		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted	Total Budget		
NEWARK CAMPUS						
Instructional Subsidy	3,700	4,001		4,001	301	8.1%
Access Challenge	150	203		203	53	
Jobs Challenge	0	0		0		
Performance Challenge	7	55		56	49	
HEI Information System	2		2	2	0	
Capital Component	106		106	106	0	
Subtotal Appropriations	265	259	106	367	102	38.5%
State Grants & Contracts	20		25	25	5	25.0%
Total Newark Campus	3,985	4,260	133	4,393	408	10.2%
AGRICULTURAL TECH INSTITUTE						
Instructional Subsidy	4,330	4,425		4,425	95	2.2%
Access Challenge	61	81		81	20	
Success Challenge	0	9		9		
Jobs Challenge	0	7		7		
Performance Challenge	11	36		36	25	
HEI Information System	2		2	2	0	
Capital Component	78		78	78	0	
Subtotal Appropriations	152	133	80	197	45	29.6%
State Grants & Contracts	100		30	30	(70)	-70.0%
Total ATI	4,582	4,558	110	4,652	70	1.5%
OARDC						
Appropriations	30,661		32,868	32,868	2,207	7.2%
State Grants & Contracts	250		255	255	5	2.0%
Total OARDC	30,911	0	33,123	33,123	2,212	7.2%
TOTAL EXTENDED CAMPUSES						
Instructional Subsidies	18,332	19,154	0	19,154	822	4.5%
Appropriations	31,574	1,015	33,175	34,190	2,616	8.3%
State Grants & Contracts	618	0	467	487	(131)	-21.2%
Total Extended Campuses	50,524	20,169	33,662	53,831	3,307	6.5%

**STUDENT FEE INCOME
COLUMBUS CAMPUS
(IN THOUSANDS)**

139

	FY 1998 BUDGET	FY 1999 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
INSTRUCTIONAL FEES				
Resident Fees	187,541	197,739	10,198	5.4%
General Fees	12,638	13,932	1,294	10.2%
Non-Resident Surcharge	64,431	65,839	1,408	2.2%
Subtotal Instructional Fees	264,610	277,510	12,900	4.9%
OTHER FEES				
Application Fees	1,350	1,350	0	0.0%
Acceptance Fees	600	670	70	11.7%
* Computer Fees	2,300	2,300	0	0.0%
* Study Abroad	1,650	1,750	100	6.1%
* Flight Instruction	675	675	0	0.0%
* Medical Instrument Fees	1,250	1,250	0	0.0%
Other	1,225	1,225	0	0.0%
Subtotal Other Fees	9,050	9,220	170	1.9%
TOTAL STUDENT FEES INCOME	273,660	286,730	13,070	4.8%

* FY 1998 income was restated to include budget items not previously included in the Current Funds Budget.

**1998-99 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Undergraduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	100	7	107	105	212
1	199	13	212	210	422
2	249	19	268	421	689
3	298	25	323	631	954
4	398	33	431	841	1,272
5	497	42	539	1,051	1,590
6	597	50	647	1,262	1,909
7	696	58	754	1,472	2,226
8	795	67	862	1,682	2,544
9	895	75	970	1,892	2,862
10	994	83	1,077	2,103	3,180
11	1,094	92	1,186	2,313	3,499
12+	1,193	100	1,293	2,523	3,816

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Graduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	107	7	114	145	259
1	214	13	227	290	517
2	343	20	363	580	943
3	515	30	545	870	1,415
4	686	40	726	1,160	1,886
5	858	50	908	1,450	2,358
6	1,029	60	1,089	1,740	2,829
7	1,201	70	1,271	2,030	3,301
8	1,372	80	1,452	2,320	3,772
9	1,544	90	1,634	2,610	4,244
10+	1,715	100	1,815	2,900	4,715

**1998-99 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

MBA

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	117	7	124	145	269
1	233	13	246	290	536
2	373	20	393	580	973
3	560	30	590	870	1,460
4	746	40	786	1,160	1,946
5	933	50	983	1,450	2,433
6	1,120	60	1,180	1,740	2,920
7	1,306	70	1,376	2,030	3,406
8	1,493	80	1,573	2,320	3,893
9	1,679	90	1,769	2,610	4,379
10+	1,866	100	1,966	2,900	4,866

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Pharmacy

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	128	7	135	193	328
1	255	13	268	386	654
2	409	20	429	617	1,046
3	613	30	643	926	1,569
4	817	40	857	1,234	2,091
5	1,022	50	1,072	1,543	2,615
6	1,226	60	1,286	1,852	3,138
7	1,430	70	1,500	2,160	3,660
8	1,634	80	1,714	2,469	4,183
9	1,839	90	1,929	2,777	4,706
10+	2,043	100	2,143	3,086	5,229

**FEES PER QUARTER
COLUMBUS CAMPUS**

Medicine Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	236	7	243		
1	472	13	485	417	660
2	756	20	776	834	1,319
3	1,134	30	1,164	1,334	2,110
4	1,512	40	1,552	2,001	3,165
5	1,890	50	1,940	2,668	4,220
6	2,267	60	2,327	3,336	5,276
7	2,645	70	2,715	4,003	6,330
8	3,023	80	3,103	4,670	7,385
9	3,401	90	3,491	5,337	8,440
10+	3,779	100	3,879	6,004	9,495
				6,671	10,550

Dentistry Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	198	7	205		
1	396	13	409	373	578
2	634	20	654	745	1,154
3	951	30	981	1,191	1,845
4	1,268	40	1,308	1,787	2,768
5	1,586	50	1,636	2,383	3,691
6	1,903	60	1,963	2,979	4,615
7	2,220	70	2,290	3,574	5,537
8	2,537	80	2,617	4,170	6,460
9	2,854	90	2,944	4,766	7,383
10+	3,171	100	3,271	5,361	8,305
				5,957	9,228

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**1998-99 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Optometry Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	181	7	188	373	561
1	361	13	374	745	1,119
2	577	20	597	1,191	1,788
3	866	30	896	1,787	2,683
4	1,154	40	1,194	2,383	3,577
5	1,443	50	1,493	2,979	4,472
6	1,731	60	1,791	3,574	5,365
7	2,020	70	2,090	4,170	6,260
8	2,308	80	2,388	4,766	7,154
9	2,597	90	2,687	5,361	8,048
10+	2,885	100	2,985	5,957	8,942

Vet Medicine Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	188	7	195	385	580
1	375	13	388	770	1,158
2	600	20	620	1,231	1,851
3	901	30	931	1,847	2,778
4	1,201	40	1,241	2,462	3,703
5	1,501	50	1,551	3,078	4,629
6	1,801	60	1,861	3,694	5,555
7	2,101	70	2,171	4,309	6,480
8	2,402	80	2,482	4,925	7,407
9	2,702	90	2,792	5,540	8,332
10+	3,002	100	3,102	6,156	9,258

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Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	231	10	241	294	535
1	462	19	481	587	1,068
2	739	30	769	939	1,708
3	1,109	45	1,154	1,409	2,563
4	1,478	60	1,538	1,879	3,417
5	1,848	75	1,923	2,349	4,272
6	2,218	90	2,308	2,818	5,126
7	2,587	105	2,692	3,288	5,980
8	2,957	120	3,077	3,758	6,835
9	3,326	135	3,461	4,227	7,688
10+	3,696	150	3,846	4,697	8,543

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**1998-99 STUDENT FEE SCHEDULE
FEES PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES**

Undergraduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	100	4	104	105	209
1	199	7	206	210	416
2	249	10	259	421	680
3	298	14	312	631	943
4	398	18	416	841	1,257
5	497	23	520	1,051	1,571
6	597	27	624	1,262	1,886
7	696	32	728	1,472	2,200
8	795	36	831	1,682	2,513
9	894	41	936	1,892	2,828
10	994	45	1,039	2,103	3,142
11	1,094	50	1,144	2,313	3,457
12+	1,193	54	1,247	2,523	3,770
Less	(71)	0	(71)		(71)
Net	1,122	54	1,176	2,523	3,699

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Graduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	107	4	111	145	256
1	214	7	221	290	511
2	343	11	354	580	934
3	515	16	531	870	1,401
4	686	22	708	1,160	1,868
5	858	27	885	1,450	2,335
6	1,029	32	1,061	1,740	2,801
7	1,201	38	1,239	2,030	3,269
8	1,372	43	1,415	2,320	3,735
9	1,544	49	1,593	2,610	4,203
10+	1,715	54	1,769	2,900	4,669

* Fees are reduced as a result of Access Challenge (555) and Service Expectation 8 (516) State assessments. These adjustments are prorated on a per-hour basis and apply only to Undergraduate Instructional fees.

**1998-99 STUDENT FEE SCHEDULE
FEES PER QUARTER
AGRICULTURAL TECHNICAL INSTITUTE**

Undergraduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	100	4	104	105	209
1	199	7	206	210	416
2	249	10	259	421	680
3	298	14	312	631	943
4	398	18	416	841	1,257
5	497	23	520	1,051	1,571
6	597	27	624	1,262	1,886
7	696	32	728	1,472	2,200
8	795	36	831	1,682	2,513
9	895	41	936	1,892	2,828
10	994	45	1,039	2,103	3,142
11	1,094	50	1,144	2,313	3,457
12+	1,193	54	1,247	2,523	3,770
Less *	(51)	0	(51)		(51)
Net	1,142	54	1,196	2,523	3,719

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Graduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	107	4	111	145	256
1	214	7	221	290	511
2	343	11	354	580	934
3	515	16	531	870	1,401
4	686	22	708	1,160	1,868
5	858	27	885	1,450	2,335
6	1,029	32	1,061	1,740	2,801
7	1,201	38	1,239	2,030	3,269
8	1,372	43	1,415	2,320	3,735
9	1,544	49	1,593	2,610	4,203
10+	1,715	54	1,769	2,900	4,669

* Fees are reduced as a result of Access Challenge (387) and Service Expectation 8 (D3) State assessments. These adjustments are prorated on a per-hour basis and apply only to Undergraduate Instructional fees.

**ANNUAL FEE HISTORY
COLUMBUS CAMPUS RESIDENT FEES**

Year	Under-graduate	Graduate	Law	Medicine	Dentistry	Optometry	Vet Med
1988-89	\$2,040	\$2,679	\$3,090	\$5,181	\$4,290	\$4,290	\$4,290
1989-90	2,190	3,075	3,552	5,976	4,899	4,899	4,899
1990-91	2,343	3,321	3,836	6,453	5,289	5,289	5,289
1991-92	2,568	3,639	4,204	7,074	5,799	5,799	5,799
1992-93	2,799	3,966	4,584	7,710	6,321	6,321	6,321
1993-94	2,940	4,266	4,932	8,310	6,810	6,810	6,810
1994-95	3,087	4,482	4,914	8,958	7,431	7,152	7,371
1995-96	3,273	4,707	5,864	9,408	8,013	7,512	7,740
1996-97	3,468	4,941	6,412	10,155	8,646	7,887	8,277
1997-98	3,660	5,187	7,022	10,968	9,165	8,517	8,691
1997-99	\$3,879	\$5,445	\$7,692	\$11,637	\$9,813	\$8,955	\$9,306
Average Increase	6.6%	7.4%	9.5%	8.4%	8.6%	7.6%	8.1%

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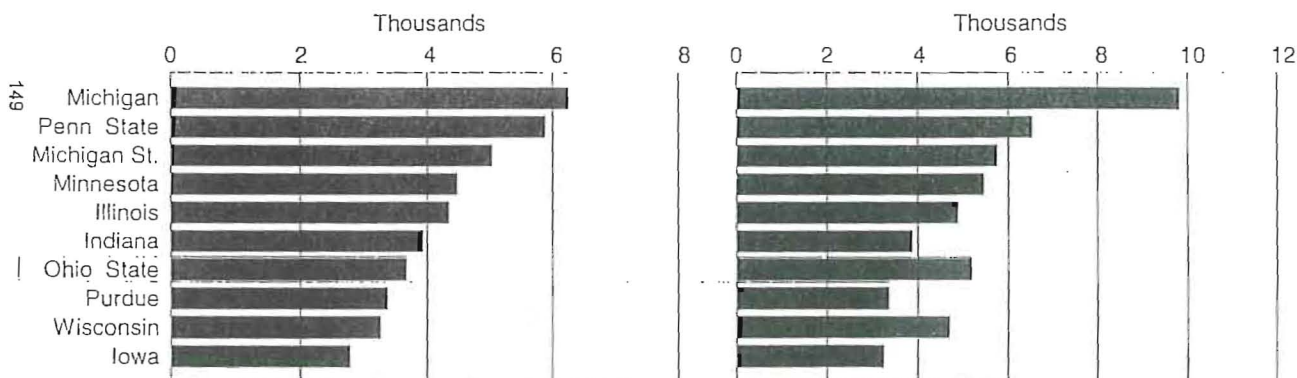
SUMMARY OF RESIDENT STUDENT FEES
BIG TEN PUBLIC INSTITUTIONS
 FOR FY 1997 AND FY 1998

UNDERGRADUATE FEES					GRADUATE FEES			
	FY 1997 Fees	FY 1998 Fees	Percent Change	5 Year Avg % Change	FY 1997 Fees	FY 1998 Fees	Percent Change	5 Year Avg % Change
1 Michigan	6,074	6,253	2.9%	6.4%	9,500	9,816	3.3%	5.8%
2 Penn State	5,624	5,882	4.6%	5.0%	6,268	6,526	4.1%	4.8%
3 Michigan State	4,887	5,029	2.9%	4.5%	5,586	5,750	2.9%	4.8%
148 4 Minnesota	4,363	4,473	2.5%	6.4%	5,150	5,454	5.9%	7.7%
5 Illinois	4,153	4,340	4.5%	4.6%	4,593	4,878	6.2%	4.2%
6 Indiana	3,783	3,929	3.9%	6.5%	3,722	3,871	4.0%	6.6%
7 Ohio State	3,468	3,660	5.5%	5.5%	4,941	5,187	5.0%	5.5%
8 Purdue	3,208	3,352	4.5%	5.9%	3,208	3,352	4.5%	5.9%
9 Wisconsin	3,032	3,241	6.9%	6.7%	4,375	4,692	7.2%	7.7%
10 Iowa	2,646	2,760	4.3%	4.4%	3,110	3,242	4.2%	4.4%
Average	4,124	4,292	4.1%	5.6%	5,045	5,277	4.6%	5.7%

Source: Annual Missouri Study of AAU Data Exchange Members.

SUMMARY OF RESIDENT STUDENT FEES
BIG TEN PUBLIC INSTITUTIONS
 FISCAL YEAR 1998

UNDERGRADUATE FEES	GRADUATE FEES
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Source: Annual Missouri Study of AAU Data Exchange members.

COLUMBUS CAMPUS

	UNDERGRADUATE FEES				GRADUATE FEES			
	1997-98 Fees	1998-99 Fees	Dollar Change	Percent Change	1997-98 Fees	1998-99 Fees	Dollar Change	Percent Change
RESIDENT FEES								
Instructional & General	3,660	3,879	219	6.0%	5,187	5,445	258	5.0%
Room & Board (1)	4,797	4,929	132	2.8%	4,584	4,719	135	2.9%
Text Books/Supplies (2)	662	695	33	5.0%	662	695	33	5.0%
Subtotal	9,119	9,503	384	4.2%	10,433	10,859	426	4.1%
Health Insurance (3)	573	561	(12)	-2.1%	573	561	(12)	-2.1%
Parking & Bus Pass (4)	83	99	16	19.3%	83	99	16	19.3%
COTA Pass	27	27	0	0.0%	27	27	0	0.0%
Football Tickets (5)	70	75	5	7.1%	70	75	5	7.1%
Basketball Tickets (5)	56	64	8	14.3%	56	64	8	14.3%
Total	9,928	10,329	401	4.0%	11,242	11,685	443	3.9%
NON-RESIDENT FEES								
Instructional & General	3,660	3,879	219	6.0%	5,187	5,445	258	5.0%
Non-Resident Tuition	6,867	7,209	342	5.0%	7,890	8,286	396	5.0%
Room & Board (1)	4,797	4,929	132	2.8%	4,584	4,719	135	2.9%
Text Books/Supplies (2)	662	695	33	5.0%	662	695	33	5.0%
Subtotal	15,986	16,712	726	4.5%	18,323	19,145	822	4.5%
Health Insurance (3)	573	561	(12)	-2.1%	573	561	(12)	-2.1%
Parking & Bus Pass (4)	83	99	16	19.3%	83	99	16	19.3%
COTA Pass	27	27	0	0.0%	27	27	0	0.0%
Football Tickets (5)	70	75	5	7.1%	70	75	5	7.1%
Basketball Tickets (5)	56	64	8	14.3%	56	64	8	14.3%
Total	16,795	17,538	743	4.4%	19,132	19,971	839	4.4%

- (1) Undergraduate - South 2/room, 10 meals. Graduate - single, 10 meals.
- (2) Estimated costs and inflationary increase per OSU Bookstore.
- (3) Student insurance is based on the single student rate.
- (4) Using main campus rate.
- (5) Five football and eight basketball games.

DETAIL OF SELECTED RATES
COLUMBUS CAMPUS
FY 1999

Student Fees					
Room & Board		1,643.00			
Undergraduate (South - 2/room, 10 meals)		1,573.00			
Graduate (Single - 10 meals)		480.00			
Family Apts. (2 Bedroom Per Mo.)		700.00			
Board Only (10 Meals Per Qtr.)					
Application Fees		40.00			
International (All Students)		30.00			
Domestic Undergraduate		30.00			
Domestic Graduate		30.00			
Law		30.00			
Other Professional Schools					
Acceptance Fees		65.00			
Undergraduate (All)		0.00			
Graduate (All)		25.00			
Professional					
Equipment Fees		340.00			
Optometry Equipment		676.00			
Dental School Instrumentation Kit		260.00			
Dental Hygiene Instrumentation Kit					
Computer Fees (Per Qtr.)		110.00			
Engineering (Undergraduate)		120.00			
Engineering (Graduate)		85.00			
Business (Undergraduate)		120.00			
Business (Graduate)		73.00			
Math & Phy. Sci.-CIS (Undergraduate)		80.00			
Math & Phy. Sci.-CIS (Graduate)					
Student Health Insurance (Per Qtr.)		187.00			
Student		479.00			
Student & Spouse		650.00			
Student, Spouse & Children		484.00			
Student & Children		280-974			
Law Students (Per Semester)		63-217			
Early Arriving Students (Per Mo.)					
Parking (Per Year)		99.00			
4-Wheel Vehicle (Main Camp)		25.00			
4-Wheel Vehicle (West Camp)		9.00			
COTA Bus Pass					
Recreational Fees					
Football Tickets (Per Game)		15.00			
Basketball Tickets (Per Game)		8.00			
Golf Course (Yearly Membership)		405.00			
Golf Course (Green Fees)		15.00			
Larkens Locker Fee (Per Qtr.)		12.50			
Faculty And Staff Fees					
Health Insurance (Per Month)					
Traditional Plan					
Individual		65.79			
Family		205.59			
OSUHP Plan		65.79			
Individual		205.59			
Family					
Prime Care Plan		21.84			
Individual		68.26			
Family					
Buckeye Plan		8.52			
Individual		26.61			
Family					
Parking (Per Year)		288.00			
4-Wheel Vehicle A Decal		150.00			
4-Wheel Vehicle B Decal					
Recreation Fees		28.00			
Football Tickets (Per Game)		16.00			
Basketball Tickets (Per Game)		1,120.00			
Golf Course (Yearly Membership)		25.00			
Golf Course (Green Fees)					
Departmental Rates					
Basic Telephone Service (Per Mo.)		29.50			
University Hospitals (percent change)		2.00 %			
James Cancer Hospital (percent change)		2.00 %			

* Cost varies depending upon coverage for individual, spouse & children.

SUMMARY OF AUXILIARY OPERATIONS
FISCAL YEAR 1999
 IN THOUSANDS

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COLUMBUS CAMPUS

Residence & Dining Halls
 Intercollegiate Athletics
 Student Unions
 Bookstores
 Transportation & Parking Sys
 University Airport
 Property Management

Auxiliary	Resources			Expenditures & Transfers				Net Income
	General Funds	Restricted	Total Budget	Expend	Debt Service	Other Transfers	Total Budget	
	52,275		52,275	42,622	5,102	4,473	52,197	78
	52,950	5,850	58,800	49,603	8,237	960	58,800	0
	3,237	1,263	4,500	3,995	98	378	4,471	29
	19,733		19,733	19,081	222	232	19,535	198
	13,413		13,413	8,154	2,977	2,282	13,413	0
	3,600		3,600	3,235	250		3,485	115
	4,265	30	4,295	2,445	648	910	4,003	292
Subtotal Columbus	149,473	1,293	155,516	129,135	17,534	9,235	155,904	712

LIMA CAMPUS

Parking Facility

	2		2	2			2	0
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MANSFIELD CAMPUS

Parking Facility

	0		0	0			0	0
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MARION CAMPUS

Parking Facility

	1		1	2			2	(1)
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NEWARK CAMPUS

Parking Facility

	44		44	43			43	1
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GRAND TOTAL

	149,520	1,293	155,663	129,182	17,534	9,235	155,951	712
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RESIDENCE & DINING HALLS
 (IN THOUSANDS)

RESOURCES

Food Service
 Undergraduate Housing
 Graduate Housing
 Married Student Housing
 Scholarship Housing
 Fawcett Center
 ATI Residence Hall
 Other
 Subtotal Resources

	FY 1998 BUDGET	FY 1999 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
	12,810	14,307	1,497	11.7%
	24,429	26,425	1,996	8.2%
	2,380	2,408	28	1.2%
	1,868	1,935	67	3.6%
	1,319	1,353	34	2.6%
	3,282	3,632	350	10.7%
	1,059	1,197	138	13.0%
	946	1,018	72	7.6%
Subtotal Resources	48,093	52,275	4,182	8.7%

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EXPENDITURES & TRANSFERS

Personnel
 Benefits
 Supplies & Services
 Equipment
 University Overhead
 Debt Service
 Other Transfers
 Subtotal Expenditures & Transfers

	FY 1998 BUDGET	FY 1999 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
	15,715	16,070	355	2.3%
	3,765	4,011	246	6.5%
	16,691	18,660	1,969	11.8%
	1,147	1,203	56	4.9%
	2,514	2,678	164	6.5%
	4,232	5,102	870	20.6%
	3,938	4,473	535	13.6%
Subtotal Expenditures & Transfers	48,002	52,197	4,195	8.7%

NET INCOME

	91	78	(13)	
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INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

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RESOURCES

Auxiliary
 Football
 Men's Basketball
 Other Sports
 Golf Course
 Schottenstein Center
 Other
 Subtotal Auxiliary

Restricted
 Fund Raising

Total Resources

	FY 1998 BUDGET	FY 1999 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Football	15,895	16,520	625	3.9%
Men's Basketball	5,625	7,175	1,550	27.6%
Other Sports	530	1,157	627	118.3%
Golf Course	2,540	2,917	377	14.8%
Schottenstein Center	NA	16,747	16,747	NA
Other	8,065	8,434	369	4.6%
Subtotal Auxiliary	32,655	52,950	20,295	62.1%
Restricted				
Fund Raising	5,370	5,850	480	8.9%
Total Resources	38,025	58,800	20,775	54.6%
EXPENDITURES & TRANSFERS				
Auxiliary				
Personnel	12,745	15,256	2,511	19.7%
Benefits	2,646	3,279	633	23.9%
Supplies & Services	12,242	22,412	10,170	83.1%
Equipment	530	832	402	75.8%
University Overhead	1,850	2,450	600	32.4%
Debt Service	1,582	7,661	5,979	355.5%
Other Transfers	960	960	0	0.0%
Subtotal Auxiliary	32,655	52,950	20,295	62.1%
Restricted				
Athletics Grants-In-Aid	4,806	5,274	468	9.7%
Debt Service	564	576	12	2.1%
Subtotal Restricted	5,370	5,850	480	8.9%
Total Expenditures & Transfers	38,025	58,800	20,775	54.6%
NET INCOME	0	0	0	

UNIVERSITY HOSPITALS

(IN THOUSANDS)

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RESOURCES

Auxiliary
 Inpatient Revenue (Net) (1)
 Outpatient Revenue (Net) (1)
 Network Services
 Hospital Gift Shop
 Other
 Subtotal Auxiliary

Restricted
 State Appropriation
 Other
 Subtotal Restricted

Total Resources

EXPENDITURES & TRANSFERS

Auxiliary
 Patient Services
 Student Education
 Research
 Administration (1)
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxiliary

Restricted

Total Expenditures & Transfers

NET INCOME

	FY 1998 BUDGET	FY 1999 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Inpatient Revenue (Net) (1)	211,863	221,741	9,878	4.7%
Outpatient Revenue (Net) (1)	67,471	71,859	4,388	6.5%
Network Services	18,000	14,039	(3,961)	-22.0%
Hospital Gift Shop	986	1,000	14	1.4%
Other	18,992	26,528	7,536	39.7%
Subtotal Auxiliary	317,312	335,167	17,855	5.6%
Restricted				
State Appropriation	9,675	9,588	(87)	-0.9%
Other	133	0	(133)	-100.0%
Subtotal Restricted	9,808	9,588	(220)	-2.2%
Total Resources	327,120	344,755	17,635	5.4%
EXPENDITURES & TRANSFERS				
Auxiliary				
Patient Services	188,278	200,119	11,841	6.3%
Student Education	9,314	10,251	937	10.1%
Research	817	784	(33)	-4.0%
Administration (1)	59,312	75,667	16,355	27.6%
Plant, Operation & Maintenance	19,369	15,725	(3,644)	-18.8%
Other	2,766	2,586	(180)	-6.5%
Transfers To Plant (Net)	25,132	29,152	4,020	16.0%
Subtotal Auxiliary	304,988	334,284	29,296	9.6%
Restricted	9,808	9,588	(220)	-2.2%
Total Expenditures & Transfers	314,796	343,872	29,076	9.2%
NET INCOME	12,324	883	(11,441)	-92.8%

(1) In FY 1998, Bad Debt Expense of \$11,592 is restated from Inpatient and Outpatient Revenue to Administration Expense to correspond with the current accounting practice in FY 1999.

JAMES CANCER HOSPITAL RESEARCH INSTITUTE
(IN THOUSANDS)

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	FY 1998 BUDGET	FY 1999 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Inpatient Revenue (Net)	83,731	80,319	(3,412)	-4.1%
Outpatient Revenue (Net)	23,071	28,357	5,286	22.9%
Other	445	1,296	851	191.2%
Subtotal Auxiliary	107,247	109,972	2,725	2.5%
Restricted				
State Appropriation	250	250	0	0.0%
Other	2,248	2,359	111	4.9%
Subtotal Restricted	2,498	2,609	111	4.4%
Total Resources	109,745	112,581	2,836	2.6%
EXPENDITURES & TRANSFERS				
Auxiliary				
Patient Services	60,146	65,118	4,972	8.3%
Student Education	3,841	4,752	911	23.7%
Research	2,945	977	(1,968)	-66.8%
Administration	23,191	25,407	2,216	9.6%
Plant, Operation & Maintenance	4,413	4,885	472	10.7%
Other	1,128	909	(219)	-19.4%
Transfers To Plant (Net)	5,560	5,600	40	0.7%
Subtotal Auxiliary	101,224	107,648	6,424	6.3%
Restricted	2,498	2,609	111	4.4%
Total Expenditures & Transfers	103,722	110,257	6,535	6.3%
NET INCOME	6,023	2,324	(3,699)	-61.4%

INSTRUCTIONAL SUBSIDY AND STUDENT FEES
TEN YEAR TREND
COLUMBUS CAMPUS
(IN THOUSANDS)

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	State Subsidy (1)			Student Fees (2)		
	Subsidy	Dollar Change	Percent Change	Fees	Dollar Change	Percent Change
FY 1989 Actual	242,830	7,090	5.2%	142,990	12,920	10.8%
FY 1990 Actual	258,850	16,020	6.6%	158,930	15,940	11.1%
FY 1991 Actual	267,373	8,523	3.3%	178,012	19,082	12.0%
FY 1992 Actual	254,928	(12,445)	-4.7%	197,634	19,622	11.0%
FY 1993 Actual	243,452	(11,476)	-4.5%	208,346	10,712	5.4%
FY 1994 Actual	251,274	7,822	3.2%	216,202	7,856	3.8%
FY 1995 Actual	263,900	12,626	5.0%	225,821	9,619	4.4%
FY 1996 Actual	273,320	9,420	3.6%	235,866	10,045	4.4%
FY 1997 Actual	283,012	9,692	3.5%	252,864	16,998	7.2%
FY 1998 Budget	297,551	14,419	5.1%	264,610	10,711	4.2%
FY 1999 Budget	305,618	8,067	2.7%	277,510	12,900	4.9%
Ten Year Average Increase			2.3%			6.9%

(1) State Subsidy includes Academic Challenge.

(2) Student Fees include Instructional, General and Non-Resident Fees.

HEADCOUNT ENROLLMENTS AUTUMN QUARTER, 1988-1998

<u>Columbus Campus</u>							
Year	Undergrad	Graduate	Law	Dentistry	Medicine	Optometry	Veterinary Medicine
1988	40,538	10,401	635	447	907	234	507
1989	40,122	10,082	627	440	887	231	506
1990	41,161	10,251	632	426	883	232	509
1991	40,785	10,902	635	393	868	234	496
1992	38,958	10,604	650	373	858	239	501
1993	37,062	10,932	665	359	856	241	508
1994	36,165	10,735	654	354	850	242	518
1995	35,475	10,530	678	360	863	249	521
1996	35,485	10,193	683	371	848	249	523
1997	35,647	9,948	666	380	855	247	535
E 1998	36,183	9,579	659	381	843	245	536

<u>Summary By Campus</u>							Grand Total
Year	Columbus	Lima	Mansfield	Marion	Newark	ATI	
1988	53,669	1,276	1,277	1,137	1,503	685	59,547
1989	52,895	1,313	1,336	1,161	1,582	707	58,994
1990	54,094	1,357	1,309	1,100	1,567	738	60,165
1991	54,313	1,475	1,428	1,026	1,656	691	60,589
1992	52,183	1,410	1,465	1,066	1,732	729	58,585
1993	50,623	1,348	1,412	1,046	1,675	713	56,817
1994	49,542	1,232	1,504	1,209	1,560	740	55,787
1995	48,676	1,244	1,359	1,171	1,548	783	54,781
1996	48,352	1,281	1,343	1,312	1,611	827	54,726
1997	48,278	1,374	1,460	1,105	1,676	925	54,818
E 1998	48,426	1,409	1,437	1,125	1,725	962	55,084

E The estimate is the higher of the range between 48,261 and 48,426.

OSU Board of Trustees
Report on Strategic Positioning
July 10, 1998

- I. Context
- II. Update Strategic Focus and Indicators
- III. Implications
- IV. Appendix

Office of Academic Affairs
Office of Finance
7/8/98

I. Context

A. What are our strategic goals?

1. Benchmark Report - Completed 2/6/98
2. What do we mean by Top Ten - draft in process

B. How Do We Get There?

1. Leadership Agenda
2. Student Recruitment Plan
3. Student Retention Plan
4. Research Commission Report
5. Faculty Development Report
6. CUE Report, G-CUE and I-CUE Reports
7. Library Task Force Report

C. How will we pay for it?

1. Annual Financial Benchmark Reports
2. Annual Budget Process
3. Budget Restructuring - forthcoming, Fall 1998

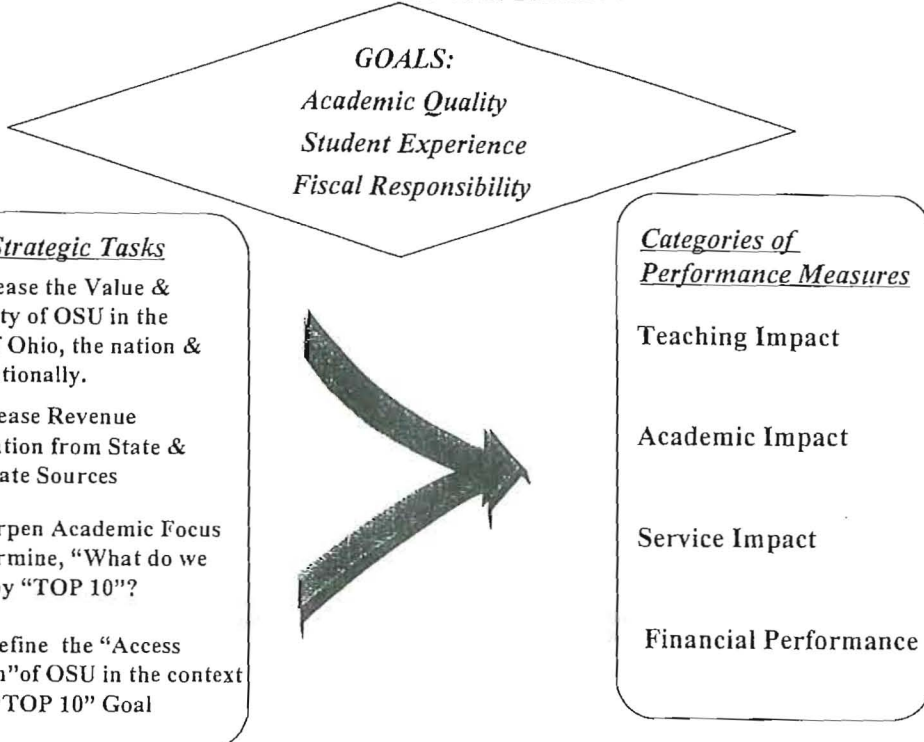
D. How will we know when we get there?

1. University-wide strategic indicators - in process
2. College-specific indicators - in process

II. Strategic Focus

The Ohio State University Strategic Analysis Project

Target: What does it mean to be a
TOP TEN PUBLIC UNIVERSITY?



Sample Strategic Indicators

OSU's Strategic Position Relative to Benchmark Institutions: Teaching Impact

<u>RANK</u> (Among Benchmarks)	<u>Student Profile</u>		<u>Student Outcomes</u>	
	Student Diversity	% of Students in top 10% of H.S. class	Retention rate (1 yr)	Graduation rate (6 yr)
1	Minnesota	UCLA	Michigan	Michigan
2	Washington	Michigan	UCLA	Illinois
3	Arizona	Penn State	Penn State	UCLA
4	Michigan	Illinois	Wisconsin	Penn State
5	Ohio State	Texas	Illinois	Wisconsin
6	UCLA	Wisconsin	Washington	Washington
7	Wisconsin	Washington	Texas	Texas
8	Illinois	Arizona	Minnesota	Ohio State
9	Texas	Minnesota	Ohio State	Minnesota
10	Penn State	Ohio State	Arizona	Arizona

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Sample Strategic Indicators

OSU's Strategic Position Relative to Benchmark Institutions

<u>RANK</u> (Among Benchmarks)	<u>Academic Impact</u>			<u>Financial Performance</u>
	Federal Research \$ Market Share	Citations per/Faculty	Patents and Licensing	Revenue per Student FTE
1	Washington	UCLA	Wisconsin	Michigan
2	Michigan	Washington	Illinois	UCLA
3	Wisconsin	Minnesota	Washington	Washington
4	UCLA	Wisconsin	Michigan	Minnesota
5	Penn State	Michigan	Minnesota	Wisconsin
6	Minnesota	Arizona	Penn State	Arizona
7	Arizona	Texas	Texas	Illinois
8	Texas	Illinois	Ohio State	Ohio State
9	Illinois	Penn State	Arizona	Penn State
10	Ohio State	Ohio State		Texas

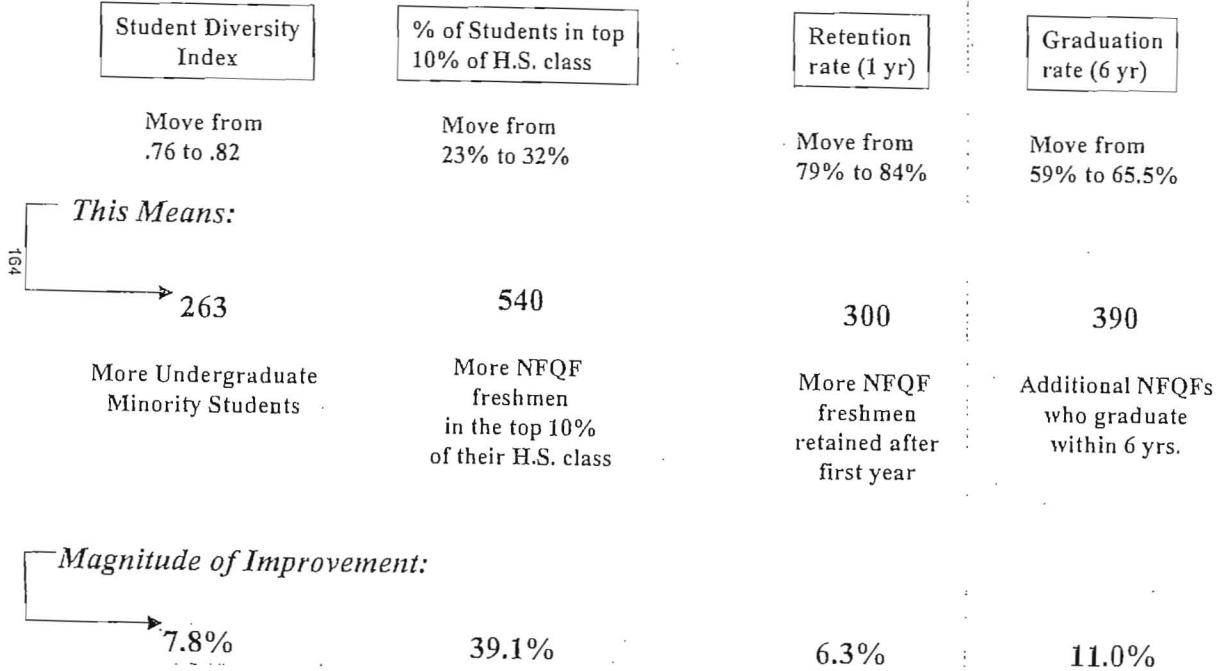
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What Will it Take to Move OSU UP in the Rankings?

To Take the NEXT STEP UP: *Goal to rank between 8 & 14 in national rankings*

Student Profile

Student Outcomes

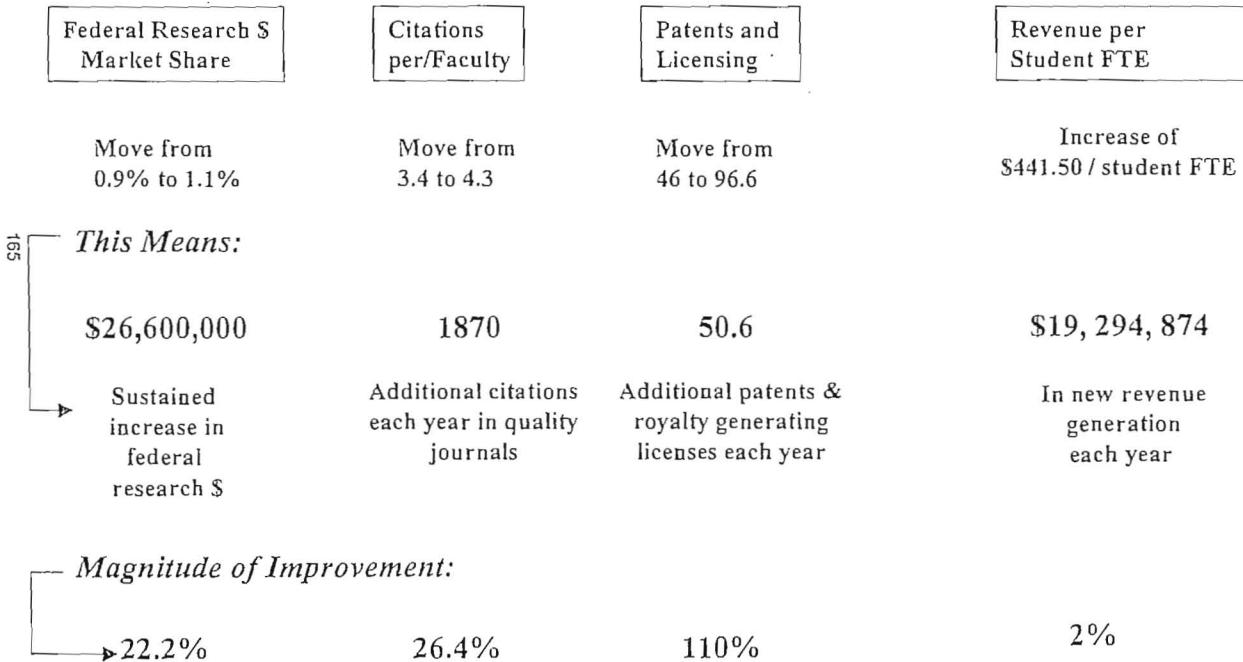


What Will it Take to Move OSU UP in the Rankings?

To Take the NEXT STEP UP: *Goal to rank between 8 & 14 in national rankings*

Academic Impact

Financial Performance



Implications of OSU's Strategic Position: Example

Should OSU "Access" Focus be on traditional students or on other learning populations?

- Potential alternatives to entering resident NFQF include Part-Time Students, Non-Resident Students, Non-Traditional Students, and International Students.

Percent of Student Population

<u>Types of Students</u>	Low	High
<u>Part Time Students</u>	IL (2.1%)	OSU (14%)
<u>Non-Traditional Students</u>	MI (1%)	OSU (15%)
<u>International Students</u>	PSU (1%)	OSU (4%)
<u>Non-Resident Students</u>	UCLA (3%)	MI (32%)
		MN (32%)
		WA (21%)

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III. Implications

- A. Over the past year, the following tasks have been accomplished:
1. A group of benchmark institutions has been identified for comparison purposes.
 2. A dialogue has been initiated with the colleges regarding college specific benchmark institutions and strategic indicators.
 3. It appears a campus-wide consensus is emerging regarding these two issues.
 - a) The University can and should aspire to be one of the country's top ten public insittutions.
 - b) Although resources alone will not achieve this goal, more resources will be needed from a variety of sources.
 4. A Leadership Agenda was established to link strategic goals and operational activity
- B. Over the next year, the following need to be accomplished:
1. University needs to more clearly articulate a strategic focus, particularly as it applies to:
 - a) What we mean by top ten.
 - b) The appropriate role played by access in this strategy.
 2. As specific goals for the University are defined, strategic indicators for the University and individual colleges need to be refined and integrated.
 3. The Leadership Agenda needs to clearly link efforts such as the Research Commission and Budget Restructuring into the strategic focus.

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Student Diversity Index

1	Minnesota	1.76
2	Washington	0.93
3	1st Step Arizona	0.83
4	Michigan	0.81
5	OSU	0.76
6	UCLA	0.69
7	Wisconsin	0.58
8	Illinois	0.53
9	Texas	0.51
10	Penn State	0.45
	Mean	0.78
	Std. Dev.	0.38

Top 10% of HS Rank

1	UCLA	0.97
2	Michigan	0.61
3	Penn State	0.54
4	Illinois	0.51
5	Texas	0.44
6	Wisconsin	0.43
7	1st Step Washington	0.37
8	Arizona	0.32
9	Minnesota	0.28
10	OSU	0.23
	Mean	0.47%
	Std. Dev.	0.21%

1st Year Retention Rate

1	Michigan	94%
2	UCLA	94%
3	Penn State	93%
4	Wisconsin	91%
5	Illinois	91%
6	Washington	91%
7	1st Step Texas	87%
8	Minnesota	81%
9	Ohio State	79%
10	Arizona	76%
	Mean	88%
	Std. Dev.	7%

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Student Diversity Index: The ratio of the % of African-American & Hispanic minority students on campus to the % of African-American & Hispanic population in each state. Source: US News & World Report 1997 (1996 data)

High School Rank: US News & World Report, 1997 (1996 data)

1st Year Retention Rates: US News & World Reports, 1997 (1996 data)

6th Year Graduation Rates: US News & World Reports, 1997 (1996 data)

Research Market Share: Research Expenditure as a percent of the Total for 1994-96; 0.1% is equivalent to \$13.3 million per year; Source: NSF CASPAR database

Citations per Faculty: The number of times articles written in 1995 were cited from the time of publication through 1996. Source: Institute for Scientific Information

Full Time Faculty: Source IPEDS 1996

Licenses and Patents: Chronicle of Higher Education 1997

Current Funds Revenue per Student FTE: U.S. Department of Education, IPEDS, 1996

Support Data for Benchmarking Presentation

6th Year Graduation Rate

1	Michigan	85%
2	Illinois	79%
3	UCLA	78%
4	Penn State	78%
5	Wisconsin	72%
6	1st Step Washington	68%
7	Texas-Austin	63%
8	Ohio State	59%
9	Minnesota	51%
10	Arizona	50%
	Mean	68%
	Std. Dev.	12%

Federal Research \$ Market Share

1	Washington	2.2%
2	Michigan	2.1%
3	Wisconsin	1.7%
4	UCLA	1.6%
5	Penn State	1.4%
6	Minnesota	1.4%
7	Arizona	1.2%
8	1st Step Texas-Austin	1.1%
9	Illinois	1.1%
10	Ohio State	0.9%
	Mean	1.47%
	Std. Dev.	0.43%

Citations per Faculty

1	UCLA	12.0
2	Washington	10.0
3	Minnesota	8.7
4	Wisconsin	8.5
5	Michigan	8.4
6	1st Step Arizona	5.4
7	Texas	4.3
8	Illinois	4.0
9	Penn State	3.7
10	Ohio State	3.4
	Mean	6.84
	Std. Dev.	3.05

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Support Data for Benchmarking Presentation

Licenses & Patents*

1	Wisconsin	164
2	Illinois	129
3	Washington	121
4 1st Step	Michigan	105
5	Minnesota	100
6	Penn State	85
7	Texas	47
8	OSU	46
9	Arizona	35
	<i>Mean</i>	<i>92.44</i>
	<i>Std. Dev.</i>	<i>43.39</i>

Revenue/ Student FTE

1	Michigan	\$37,118
2	UCLA	\$36,505
3	Washington	\$34,110
4	Minnesota	\$31,931
5	Wisconsin	\$29,955
6 1st Step	Arizona	\$22,404
7	Illinois	\$22,385
8	Ohio State	\$21,953
9	Penn State	\$21,461
10	Texas-Austin	\$19,270
	<i>Mean</i>	<i>27,709.20</i>
	<i>Std. Dev.</i>	<i>6,909.05</i>

* UCLA is not reported. UCLA is included with the entire U of California System data and cannot be distinguished.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FORTY-FIFTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, September 2, 1998

The Board of Trustees met at its regular monthly meeting on Wednesday, September 2, 1998, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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September 2, 1998 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on September 2, 1998, at 10:45 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, George A. Skestos, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, and Allyson Lowe. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

I would like to begin by introducing Chancellor Rod Chu, who is with us today for the meeting. Chancellor Chu, we thank you for your presence today and we appreciate, even in the brief time that you have been in office, all of your efforts to help advance higher education in the state. Chancellor Chu is here to participate a little later in the discussion of our selective investment program.

This week is my first real initiation into Buckeye life. I've been here two months, but an event will take place this week where there is much anticipation and tension, it will be an occasion for high fives, head butts, and rousing music. I speak of course, about Commencement! Tomorrow we will celebrate the single most important event in the life of the University, where we will honor our graduates who will be moving on to a new phase of their lives after the ceremony tomorrow. We will also be recognizing six extraordinary people. I think that the honorees that will be recognized tomorrow will bring great credit to the University and we will have the benefit of hearing from one of those, Don Shackelford, who will be giving the commencement address.

There is another event taking place this week which also has created some interest in our fair city. That is an event that will take place in Morgantown, where the Buckeyes will launch their football season. I have to tell you that Patty and I have already been captured by the "Buckeye Fever!" In fact, the other day I came home from work and I walked into the house -- and you have to understand that my wife has in the past not been one of the world's great football fans -- and the first thing that she said to me was, "You know, I am really getting into this football season. I'm ready! I'm ready!" So it has even captured my dear wife's attention.

I had a sense of this great Buckeye spirit and fever the other day. I was invited to be grand marshal in one of the parades at the State Fair. So I thought this was a wonderful opportunity for me to go visit the fair and see some folks. But a very wise person, Barbie Tootle, encouraged me to take along Brutus Buckeye, which I did. So we walk into the parade grounds and all of these students come running forth, waving for autographs, I get out my pen, and they run right past me to Brutus. I was glad I had Brutus along, at least my name was on the car so they could recognize me!

At the first Board meeting that I had a chance to participate in as president of the University, I indicated to the Board four priorities that would define, I hope, my administration. What I wanted to do today was to give a very brief update on these priorities, actions that have been taken, and this will then lead into a major presentation related to one of the initiatives.

The first initiative is the quality of the undergraduate experience. The intensification of our commitment and efforts related to undergraduate education. We have been developing initiatives over the course of the spring and summer and these will be rolled out over the course of the fall in preparation for both this current year and the next academic year. And I want the Trustees to know that these will be a major topic

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

of discussion at our upcoming retreat.

The second area that I indicated a special interest in is that related to diversity. I have asked Ed Ray to take the leadership in bringing together a group of people to help develop a diversity agenda for the University.

The third area is the expansion of the land-grant mission of this University -- for the University to help address some of the major issues facing our society -- K-12 education and community development -- along with the traditional role we've played in support of the agricultural community. In this general area, one of my major areas of interest and the University's area of interest is in the support we can give to developing the technology economy of Ohio, and most especially Central Ohio where we are located.

There are two, I think, very significant steps that have been taken that I want to report to you on in this regard. The first is the formation of what we are calling the University Technology Task Force. This has been put together with the help of David Allen and Bud Baeslack. What we are doing with this Technology Task Force really supports the efforts that the Mayor has already launched on making Central Ohio a Mecca for technology. So this is one way in which the University is contributing to the Mayor's thrust.

This Technology Task Force will bring together the best faculty at the University in technology areas, along with leaders from the private sectors -- CEO's of technology companies. The idea here is to create a synergy between the faculty and these leaders from the private sector. In addition to the synergistic effect of this, we want to use this task force to develop benchmarks of best practices for major research universities as it relates to enterprise and development. I am very pleased that the Chairman of the Board, Ted Celeste, will be on the Steering Committee of this task force.

The second initiative in this general area of priority -- this expansion of the land-grant mission we heard -- relates to the Science and Technology Campus. We will be announcing next week the president of the Science and Technology Campus Corporation. I am not going to "steal the thunder" from next week's presentation and announcement, but let me just say that you will be very pleased with the way this search has ended up. We have an extraordinary person to lead the effort with the Science and Technology Campus and, again, I must thank the Chairman of the Board, Ted Celeste, who was the chair of the search committee that has ended in such a successful way.

The fourth area that I talked about back in July was the efforts already underway at this University to elevate the quality and standing in recognition of academic programs at the University. Our effort is, and quite frankly the Board has led, to build within this University an array of preeminent academic programs and research programs. This is euthenistically captured in what has been referred to as the 20/10 plan. This goal is to have by the year 2010, 10 academic programs rated in the top ten, and 20 more rated in the top twenty.

Today, we are going to announce and discuss a major step in the direction of this goal. Ohio State has gone about this in exactly the right way. There has been a characteristic of these programs that we will be discussing in a few moments is not only their strength, but the interdisciplinary character of these programs. The way these programs are cutting across disciplines to really address cutting edge, leading edge issues in scholarships and research.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

I could not be more pleased with the results of this first year of the strategic investment program. I think today's announcement is very significant to the University and I am confident that in the years to come, we will look back on today as a very significant step toward our goal in achieving status as one of the nation's very best research universities.

So with that, Mr. Chairman, I would like to turn things back over to you so we can begin the presentation on the strategic investment program.

Mr. Celeste:

Thank you, Mr. President. Before we begin, I might just comment on behalf of all of the Trustees that we have, once again, seen reasons why we have this respect for our remarkable leader. We look forward to your continued dynamic leadership. And, indeed, as you identified, one important task is understanding the spirit of the Buckeyes here. It is an enormous challenge -- we are entering the season "#1," and we need to end the season, January 4, "#1."

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EDUCATIONAL AFFAIRS COMMITTEE

Mr. Celeste:

I would like to call on Vice Chairman Michael Colley to chair the Educational Affairs Committee as a whole and to make the presentation.

SELECTIVE INVESTMENT PRESENTATION

Mr. Colley:

Thank you, Mr. Chairman. As you probably read in this morning's *Dispatch*, and as the President has indicated, our purpose this morning is threefold: first, to announce the recipients of the University's Selective Investment Awards; second, to meet the leaders of the winning academic units; and third, to explain the importance of these awards to the University and to the people of Ohio.

In 1991, Governor George Voinovich urged that a blue-ribbon panel be appointed to review the state's higher education resources. Governor Voinovich emphasized the need for the state's colleges and universities to excel stating that, "As a nation and as a region, we're competing with the world's best, and our institutions of higher learning are absolutely vital. If they don't succeed, Ohio as a state will not succeed."

The Board of Regents responded and appointed a "Managing for the Future Task Force" which ultimately proposed changes to enhance excellence among our colleges and universities. Foremost among those proposals was the differential use of resources to promote excellence. At the time, then Chancellor Elaine Hairston said, "We need to encourage campuses to be the best of their type, the best among their peers."

As the President indicated, the new Chancellor, Rod Chu, is with us today seated in the front row and he will extend remarks at the conclusion of the presentation.

The Ohio State University, consistent with the guidance of the Governor, the Regents, and the Chancellor, adopted a new mission/vision statement and a

SELECTIVE INVESTMENT PRESENTATION (contd)

Mr. Colley: (contd)

Functional Mission Statement which called for the differential funding of academic areas of particularly high promise of benefit to the University, its students, and the people of Ohio.

The centerpiece of differential funding is the Selective Investment for Academic Excellence -- the first recipients of which we announce this morning. Dr. Edward Ray, interim senior vice president and provost, will discuss the importance of disciplinary diversity and academic excellence. Dr. Ray --

Dr. Edward Ray:

Thank you, Mr. Chairman. Before I make formal remarks, I would like to remind all of us that the selective investment process was, really as much as anyone's, the brain child of the late Ed Hayes, who certainly continues with us in spirit, and former provost, Dick Sisson, who is here today. I would also like to point out that Vice Provost Alayne Parson was really instrumental in working with the selection committee -- and Bud will talk more about the process in bringing this effort forward - - and when Dave Ferguson became ill in August, Alayne stepped in and became a media expert as well. So, if I could, I would like to ask both Dick and Alayne to stand and be recognized.

As we all know, the principal asset of a leading teaching and research university such as Ohio State University is a distinguished faculty. That includes scholars from many disciplines who can work collaboratively to help solve and to train future leaders who can help solve society's increasingly complex and interdisciplinary social, economic, environmental, and health-related problems.

Excellence in academic research and scholarship at Ohio State keeps and attracts some of the best minds in the world in Ohio. We want the best people thinking about and helping to solve society's problems and we want them right here in Ohio where their impact can be of greatest benefit to the people of Ohio.

Outstanding senior faculty and academic programs attract the best students and the best young faculty -- both of which enhance the richness of the instructional and scholarly environment.

We have designed the Selective Investment Program to invest added funds in those departments which are already very strong, and with extra resources, have the ability to move to the very top of academic programs in their fields and to contribute to the improvements in allied areas. They are programs that hold great potential for increased good for the people of Ohio, and as they continue to distinguish themselves, they will contribute to the skills and competitiveness of our graduates.

The recipients of the Selective Investment awards -- the Department of Electrical Engineering, the Department of Materials Science and Engineering, the Department of Physics, and the Department of Psychology -- meet all of these criteria, and we are pleased to present them to you as examples of academic excellence and exceptional potential of which we can all be proud.

The Selective Investment for Academic Excellence awards we announce today mean that more than \$4 million in continuing funding will be invested to make these four departments -- already among our best -- even more extraordinary.

Now I would like to turn the proceedings over to Vice President Baeslack.

SELECTIVE INVESTMENT PRESENTATION (contd)

Dr. William A. Baeslack:

Let me spend a few moments reviewing the process that was used to select these departments for these awards. The process was led by an evaluation committee that worked diligently to ensure success. The members of the committee were: Pascal Goldschmidt, chair; Charles Atkinson, Robert Brueggemeier, Bunny Clark, Liang-Shih Fan, Peter Howitt, Brian Joseph, and Andrew Ward.

This faculty committee was one of distinguished faculty and represented the diversity of departments across the campus. Some of these including certainly medicine, music, pharmacy, physics, chemical engineering, economics, linguistics, food and agricultural, and biological engineering. So it was a very diverse group of faculty who performed this evaluation and assessment process. And, again, as Ed Ray indicated, Vice Provost Alayne Parson provided outstanding support to this committee.

The review and assessment process that produced today's recipients was again intense and rigorous. In July 1997, the administration invited every college in the University to submit proposals. Twenty-one proposals were submitted.

Members of the evaluation committee reviewed all proposals and indicated their preferences. Individual rankings were tabulated and a mean rank was established for each proposal. Seven proposals were determined or identified for more in-depth review.

The seven departments were invited to formally present their proposals to the committee. Site visits by two or three members of the committee were also made to each department. External reviewers were selected by the concerned departments or the involved departments, and they were asked to provide letters of comment and review. The spectrum of those contacted referees ranged from Nobel Prize winners to movie producers. So it was a very diverse group of highly eminent people who provided this basically peer review of these departments and evaluated these proposals.

Additional deliberation by the evaluation committee concluded with the recommendations of the departments we honor today. The work of these departments has wide-ranging impact both on campus and off-campus, and enhances the quality of life of the people of Ohio in many different ways. The following video illustrates some of that impact.

[video presentation]

Dr. Ray:

Mr. Chairman, I would like to thank Dave Hoover and his staff who did a wonderful job, I think you will agree, on preparing the video for us today.

This process of selective investment really began as a partnership between the University and the colleges and departments. We asked the colleges and departments to do two things: 1) to give it their best shot -- tell us which one or two programs they really wanted to invest in for their future and the future of the University; and 2) to put their money where their mouths are -- we told them this is a partnership, the University will bring resources to bear, we want the colleges and departments to bring their own redirected resources to bear on this effort as well. It seems only fair since we have brought them into this partnership that the deans or representatives from the colleges ought to have a couple of minutes to tell you how these particular investment efforts will fit into their longer term plans for their

SELECTIVE INVESTMENT PRESENTATION (contd)

Dr. Ray: (contd)

colleges.

So, if I could, I would like to invite Dean David Ashley, Engineering; Associate Dean David Andereck, representing Mathematical and Physical Sciences; and Dean Randall "Rip" Ripley, Social and Behavioral Sciences, to please come forward and each take a couple of minutes to give us a sense of how these programs fit into their broader perspectives for the colleges.

Dean David Ashley:

Good morning. Mr. Chairman, I would like to thank you for this opportunity to make a few remarks on behalf of the College of Engineering.

We are very pleased that two of our ten academic units have been selected as recipients of the selective investment awards. This recognition by colleagues of two of our very fine programs is quite heartening. We obviously agree that the Departments of Electrical Engineering and Materials Science and Engineering are poised to move to the first ranks within their disciplines. These investments will make a profound difference. They will give us opportunities to address critical needs and will allow us to especially reinforce currently strong areas of both programs. We can already see the dividends.

Based on the promise of this award, we have made an offer to and received an informal acceptance from an exceptional senior colleague, a member of the National Academy of Engineering. We anticipate that he will quickly provide important leadership in high profile areas. Other similar strategic hires will follow in the near future.

This is truly a bold initiative, unlike any I have seen in higher education. It is an unambiguous statement that the quality is being pursued at Ohio State University and that we intend to be a major player within the academic community. Engineering at Ohio State will be recognized as being world-class.

On behalf of the college, I would like to express my sincere appreciation for the University's trust in us to invest these precious resources. I am confident that within the next three to four years, I will be able to stand before you and recount numerous successes and accomplishments through the investments we speak about today. You will see clear evidence that this trust was well placed.

Thank you.

Associate Dean David Andereck:

I want to thank the Board of Trustees also for the opportunity to be here today for this presentation. Dean Bob Gold sends his regrets that he could not attend.

It is truly an honor for our college, Mathematical and Physical Sciences, to have one of its several strong departments singled out for selective investment and we are proud of the work that the Physics Department and Chairman Frank DeLucia, in particular, put into the development of this proposal. I think it is appropriate that physics was chosen.

I recall seeing a bumper sticker a few years ago that stated, "Physics is all there is!" With deference to John Cooper and the football team, I won't go quite that far. But it is true that physics is a core discipline, whose discoveries and insights impact many

SELECTIVE INVESTMENT PRESENTATION (contd)

Associate Dean Andereck: (contd)

other fields of study. Great universities have and need great physics departments.

This investment by Ohio State and its physics program will have benefits felt across the college -- of course we are particularly happy about that -- and across the campus as the department's present interdisciplinary efforts are strengthened and new ones are initiated. I think it is fair to say that the physics department is already a model for selective investment.

In the 1980's, the department made a conscious decision to improve itself. With the intent to bring in the best new faculty in the most important areas of research, the department pursued external funding opportunities particularly in state-wide competitions with vigor and great success. Physics won three academic challenge awards for hiring new faculty in three distinct fields. They won two eminent scholar faculty positions.

History has shown, I believe, that the state invested wisely. Physics has risen in the National Research Council rankings of research and graduate programs farther and faster than any other physics department in the country. It now ranks 22nd in graduate program quality and 24th in faculty quality. We expect this rise to continue, aided immensely by the infusion of funds from this new award.

Of course, in addition to research, the Physics Department has long had a strong commitment to its teaching mission. So while working hard to enhance the science research effort, they have over the last few years built a strong physics education research group, which is very rare among the most prestigious physics departments. Its existence brought public praise from the head of the National Science Foundation. The groups' findings are influencing instruction from majors and non-majors alike, not just at Ohio State, but at other institutions as well.

Also, the department has been extraordinarily successful over the last few years in its recruitment of top undergraduate majors. This effort is a model for others in our college. These students have been extremely successful in winning national and international awards, prizes, and fellowships.

So in summary, the Department of Physics is poised to move to the next level. The faculty plan for success over the years in all aspects of their mission is to use their new external funding wisely and be able to build on their accomplishments with this major new investment. I am proud of the past and excited about the future with physics at Ohio State.

Thank you.

Dean Randall Ripley:

Like my colleagues, thank you for inviting me. The College of Social and Behavioral Sciences is, of course, delighted with this additional investment in psychology. The key to making Ohio State better is focusing resources through carefully selecting areas for investment that have the most potential for quality payoff, and the Trustees and central administration are to be commended for pursuing this strategy. The College of Social and Behavioral Sciences and the Department of Psychology have also pursued this strategy for many years and will continue to do so.

The college many years ago, identified psychology as an absolutely core discipline in the Social and Behavioral Sciences and, in fact, in any major university that had a faculty that was very good and had plans that would make it truly excellent. Through

SELECTIVE INVESTMENT PRESENTATION (contd)

Dean Ripley: (contd)

reallocation, the college invested additional resources in psychology even during the years of large cuts in state funding to Ohio State. Earlier the Regents had invested additional resources in psychology through its academic challenge and eminent scholar programs.

Focusing resources allows Ohio State to increase the centrality and importance of the knowledge we discover through research. It also lets us increase the vitality, relevance, and excitement of our teaching. It is not by accident that faculty colleagues in psychology are already known world-wide for fundamental research contributions and at the same time, provide the single largest major for undergraduates at Ohio State -- 1,200 majors -- and have one of the half a dozen largest doctoral programs in the University. This combination of research excellence and very large, high quality, undergraduate and graduate programs is, in fact, the "hallmark" of all the departments and schools in the College of Social and Behavioral Sciences.

Psychology will use these new resources with great effect. The College will continue to make choices as it uses its resources to support the best, and will continue to work with others both inside and outside the University to make already good programs even better.

Thank you.

Dr. Ray:

Mr. Chairman, if you or any of the members of the Board of Trustees would like to ask any questions at this time of the deans, that would be appropriate, or we will move to introducing our guests for each of the individual departments.

Mr. Colley:

Any questions from members of the Board? Thank you very much.

Dr. Ray:

Let me introduce representatives from each of the four departments and, as it turns out, each of these individuals, in fact, starred in the video that you just saw. They include: Walter D. "Denny" Burnside, Director of the Electroscience Lab; Robert "Bob" Snyder, Chair of Materials Science and Engineering; Frank DeLucia, Chair of Physics; and Richard "Rich" Petty, Chair of Psychology. Will you all please come forward? They will take a couple of minutes for comments and then we will open things up for discussion.

Dr. Richard Petty:

First, like the deans, I would like to thank the Board and University for its confidence in psychology. Receiving the Selective Investment Award has done and will do a number of good things for our department. The first thing that it really did is to enhance faculty and staff morale in the department. People in my department have taken it as a vote of confidence in, and appreciation for, the serious hard work that the department members have taken over the past several years.

In that period, psychology faculty members have made incredible strides in securing external funding. If you look at the last five-year period, for example, the amount of external dollars attracted has increased 500 percent from slightly under \$1 million a

SELECTIVE INVESTMENT PRESENTATION (contd)

Dr. Petty: (contd)

year to close to \$5 million a year for the current fiscal year. This should increase even further with selective investment and therefore again magnify the dollars of your investment as this continues to allow the department to move forward.

Second, there is a great anticipation over what we will be able to accomplish in the next 5 years. As you may know, our program moved from a top 30 department twenty years ago to a top 20 department more recently. Now we are really poised to seriously move to the next level.

We are excited about the new research in educational opportunities that we will be able to offer our graduate and undergraduate students. As Dean Ripley mentioned, we are currently the largest major on campus, and with selective investment funds we aim also to be one of the very best majors on campus.

There is a sense of pride not only for our department, but for the whole University as it embarks on its mission to elevate its status. I hope the whole University will share in this excitement and that the citizens of the State of Ohio, as well, appreciate what it means to have Ohio State University move into the ranks of the nation's premiere universities.

Dr. Frank DeLucia:

I am Frank DeLucia from physics. It certainly goes without saying, it's a great pleasure to be here, thank you very much.

Perhaps the most important thought that I can leave you with is that our Selective Investment Award was not the result of any hastily drawn plan or cleverly written proposal. We saw selective investment as an opportunity to sustain and enhance a long-term vision of what we would want to become as a department. It's our feeling that if Ohio State is going to become a truly great institution, it must instill an award at the grass roots level -- this kind of sustained vision.

Dave Andereck has already mentioned some of the foundations for this award. We have made outstanding faculty hires at both the junior and senior levels. These hires have allowed us to have growth and research in graduate programs. One of the things that really has been remarkable to me has been the dedication of our faculty to undergraduate instruction. Not what I imagined when I came here from the outside, it's a remarkable thing to see.

We've worked very hard to build our majors program. Bill Palmer is here today and he deserves the lion's part of the credit and he should be recognized. Most importantly, your support over the years has been greatly appreciated. Dave has already mentioned the academic challenges. Our people work very hard to put those plans together and appreciate the state funding them -- the Young Scholars positions and most recently a new building. They are very important to us, so thank you.

Very briefly, our selection investment program is designed to maintain the accelerator upward projectory. Our faculty is very good, but its very young, we have one of the youngest physics departments in the country. For some time we have needed the ability to make hires at the senior level. Selected investment will allow us to do that.

Our success has also diluted our infrastructure. Selective investment will allow us to better support the scholarly work of our students and faculty. And finally, scholarships to recreate outstanding students. We believe very strongly that the

SELECTIVE INVESTMENT PRESENTATION (contd)

Dr. DeLucia: (contd)

Physics Department cannot be any better than its students.

Finally, I would like to say that we understand that with this Selective Investment Award goes responsibility. Not only to reach the goals that we set out, but also to provide leadership to help the University to reach its larger goals -- those of broad scholarly excellence, recruitment, nurturing, and education for outstanding students and final contribution to the State of Ohio. So, again, thank you.

Dr. Robert L. Snyder:

I am Bob Snyder from the Department of Materials Science and Engineering.

First a word on what materials is -- this is one of the traditional areas -- so I should give you a brief history. Seventy-five percent of all engineers deal with materials. The science of materials takes us broadly into and overlaps with physics, chemistry, biology, and medicine. Materials used in medical applications are strong here at Ohio State and we intend to build them stronger.

The engineering of materials to build devices like aircrafts that will withstand higher and hotter conditions is a strong aspect of our current program. The program goes back to one of the earliest metallurgy programs ever found in the United States and the first program in ceramic engineering founded by Edward Orton, Jr., the son of the first president of Ohio State.

We have a long tradition of excellence in the development and nurturing of this field. The beginning of this field in academia was formed here at Ohio State. We, as with physics, have an extraordinary young faculty. We have lost a lot of the high end and we have not been able to hire at the very strong end. The selective investment opens these doors to us to bring in national and international leaders to help us balance this program.

Specifically, there is an opportunity to establish a new frontier in material science -- computational material design. This overlaps strongly with physics and with electrical engineering. In fact, it has components of all three of the selective investment proposals -- a synergy between those three aspects that are very strong.

I'm also happy to hear that psychology -- though it may not have as much synergy -- brings excellence to some of the other areas of this University. I am convinced and my faculty is convinced that we will be within the top ten rank within the next five years and top five within the next ten years.

Thank you.

Dr. Walter D. Burnside:

I'm Denny Burnside from the Department of Electrical Engineering and am representing Professor Zheng who could not make it today, he's on vacation. I, too, would like to thank the University and the review committee for making this a reality. To explain the benefit to the Department of Electrical Engineering, I would like to share with you our strategic plan which was set about five years ago. And much like physics, it is an integral part of our proposal.

First, we have a strong desire to be able to move into the top ten, even though we were rated as the top department in the College of Engineering and fifth in the University based on the National Research Council review in 1992. Our faculty still want to move to higher levels, and because of that, we have gone about and won

SELECTIVE INVESTMENT PRESENTATION (contd)

Dr. Burnside: (contd)

several academic challenge and academic enrichment awards.

We believe since 1992 -- when we were rated number twenty-two -- we have probably moved -- if they rated us today -- to about fifteen. But when we looked at what it would take to move us from fifteen into the top ten, it was very clear that our faculty size was too small. That if we looked at each of those departments in electrical engineering, their numbers were considerably larger than ours.

At this point, we realized that if we didn't get University support, there was no way we could add the faculty to make this a reality. Then came along selective investment and it was like heaven sent for us. That allowed us to propose what we were already planning to do. By adding the selective investment we will be able to add to our department seven faculty members which will then put our numbers into the same category as the members that are already in the top ten. So we are anxious to get the funding to get started -- and start competing with them -- much like the Buckeyes are excited about going to Morgantown and doing their thing with the University of West Virginia.

The second thing I would like to bring up is that as part of our strategic plan, we realized about five years ago that the Department of Electrical Engineering needed to get highly involved in the information and the wireless communications area. I was on a national committee in this area and on that committee, we had many people present to us that -- from surveys -- this industry will be larger than all of the manufacturing world-wide by the year 2005. That makes it very important for the State of Ohio, which has traditionally been a manufacturing state. Not only that, but this particular area is very unique, it draws together almost all aspects of electrical engineering and spreads out beyond that and encompasses a large part of the campus.

So you can see, in summary, that this is obviously going to have a big impact on the Department of Electrical Engineering, but it also has an impact on the college, the University, and the State of Ohio. Thank you.

Mr. Colley:

Mr. Chairman, at this time I am pleased to introduce Dr. Rod Chu, Chancellor of the Board of Regents.

Chancellor Rod Chu:

Good morning. Chairman Celeste, President Kirwan, Trustees and members of The Ohio State University community, it is a great pleasure that I have had to meet many of you during my eight months as Chancellor. I am certainly delighted to have been invited here today to comment on this very important program, not just because my undergraduate degree was in physics, but, indeed, I would like to add my congratulations to all of the initially selected programs.

Before becoming Chancellor, of course, as many of you know, I was a Trustee, in your position, at the State University of New York system. In eight years as Trustee, I was a dogged champion and insistent on building programs of excellence in our universities.

I have observed as a business person, as a government official, and as an American, that we Americans simply don't buy mediocrity any longer. It is a painful lesson that the auto industry learned decades ago. We Americans expect and

SELECTIVE INVESTMENT PRESENTATION (contd)

Chancellor Chu: (contd)

demand quality and world-class performance.

I was delighted to note that on coming to Ohio, that selective excellence has been a fundamental objective of higher education for many years. In coming here, I've seen a continued commitment to that principle. In my discussions with the various stakeholders of higher education, yourselves, with your colleagues in other institutions, with policy makers, from the Governor to our legislators and their leaders, everyone is fully committed to the principle that we build and sustain programs of excellence in our institutions.

We do enjoy a proud and successful history of programs of excellence, eminent scholars, academic challenge, and programs of excellence. Initiatives funded through the Ohio Board of Regents have helped attract, develop, and create world-class programs. In the visit I paid to this campus a few months ago, I got to meet some of your eminent scholars and distinguished professors, truly remarkable individuals building that into your students here and helping benefit our state and society.

This summer we had our Graduate Funding Commission. A commission composed of the graduate school deans and provosts from our universities, who took a bold step in recommending the initiation of a program of selective investment. We plan to reserve some of the funds that we currently expend in graduate programs to focus specifically in building truly world-class, outstanding programs in each of our universities, and in focusing research and scholarship in areas of critical state need.

We are now in the process of taking those recommendations, as well as those that are being developed right now by our Higher Education Funding Commission, in preparing our biennial operating budget request to the Governor and legislature. I would like to thank Trustee Tami Longaberger and Bill Shkurti for being members and participating in helping us in that funding commission effort. Those recommendations will come to the board in our meeting on September 18, and I anticipate the funding commission will voice their support also of a budget request focused on the principles of developing programs of excellence.

To paraphrase that great bumper sticker that we heard before, I would like to note that higher education certainly is "all there is." I've long said that higher education really does a couple of fundamental things, it transforms the lives of our students and we transform the quality of life for us all.

I would like to thank The Ohio State University, this great institution, for your leadership in this transformation. For the Ohio Board of Regents and certainly for myself as Chancellor, I would like to share our commitment with you of being your partners in continuing to elevate the quality and stature of academic programs at this great institution. Thank you.

Mr. Colley:

Thank you, Chancellor Chu.

President Kirwan:

Mr. Chairman, to conclude this part of the Board meeting, I want to make three observations. One is the enormous sense of pride that I feel and I'm sure you share in the quality of people that we have at Ohio State as reflected in these very impressive presentations.

SELECTIVE INVESTMENT PRESENTATION (contd)

President Kirwan: (contd)

The second observation I want to make is that this pursuit of top ten is not an ego trip, because if you think about where our society is headed in the next century, our quality of life, our economy is going to be dependent in ways that we have never known in the history of the world on good ideas and brilliant people. If we want a great economy in Ohio and a high quality of life, we have got to have the best minds right here in Ohio. That is what this program is all about. Something that came through so clearly to me, and I hope to all of you, is that the research that is going on in these departments all connect to things that are important in our society -- new technologies, the mental health of our society -- and I think that is a very important and impressive aspect of these awards.

The final thing is I have such great admiration for my colleagues here at Ohio State who have developed this approach. I want to add, once again, my compliments to Dick Sisson who is really the quarterback that made this wonderful presentation today possible. I guess I am mixing my metaphors here, since I referred to you as a quarterback, but you helped us hit a home run.

Thank you, Mr. Chairman.

Mr. Colley:

That concludes our report.

Mr. Celeste:

Thank you very much. I would like to also add my appreciation for the presentation and what it means to this University. Excellence is number one!

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CONSENT AGENDA

President Kirwan:

We have fifteen resolutions to present to the Board for approval today and unless there are any objections, I would like to recommend the following items:

**AUTHORIZATION TO FUND THE OHIO HEALTH ALLIANCE FOR
ADMINISTRATIVE SERVICES ASSOCIATED WITH MEDICARE EXTRA**

Resolution No. 99-25

Synopsis: Approval for The Ohio State University to continue to participate in The OhioHealth Alliance for the purpose of offering Medicare Extra cash to provide capital to the Alliance in the amount of \$1,816,000, is proposed.

WHEREAS the Board of Trustees previously determined that participation of The Ohio State University in a demonstration Medicare managed care program through membership in The OhioHealth Alliance would be advantageous for the Medical Center; and

WHEREAS The Ohio State University Board of Trustees previously authorized the expenditure of up to \$2,125,000 from the University Hospitals funds for the purpose of implementing Medicare Extra; and

WHEREAS The Ohio State University Hospitals Board has recommended additional capital of \$1,816,000 from University Hospitals funds for the purpose of supporting Medicare Extra through

**AUTHORIZATION TO FUND THE OHIO HEALTH ALLIANCE FOR
ADMINISTRATIVE SERVICES ASSOCIATED WITH MEDICARE EXTRA (contd)**

calendar year 1998; and

WHEREAS The Ohio State University Hospitals Board has determined that the funds to be used to support this managed care program will be fair consideration for the value and benefits received by the University:

NOW THEREFORE

BE IT RESOLVED, That the University is authorized to provide capital up to \$1,816,000, from University Hospitals funds for the purpose of further implementation of Medicare Extra.

**NOMINATIONS FOR BOARD OF DIRECTORS OF THE
UNIVERSITY HOME CARE SERVICES CORPORATION**

Resolution No. 99-26

WHEREAS the University Board of Trustees has previously authorized The Ohio State University and its Medical Center to enter into agreements with MedOhio Health, Inc., for the provision of a home health care program; and

WHEREAS MedOhio Health, Inc. has formed a separate nonprofit corporation, The University Home Care Services Corporation, to provide the home health care program; and

WHEREAS The University Home Care Services, Inc. has requested that representatives of the University be nominated annually to serve on its Board; and

WHEREAS the nominees from the University should be drawn from amongst several groups broadly representative of various components of the University:

NOW THEREFORE

BE IT RESOLVED, That the President may nominate officers and employees of the University to serve on the Board of Directors of The University Home Care Services, Inc., and the officers and employees nominated may include, as appropriate, representatives from amongst the following groups: a senior administrator of University Hospitals, a senior physician leader with special insight into the practice plan, a senior administrative official of the University, a member of the University Hospitals Board, and a member of the University Board of Trustees. The officers and/or employees so nominated are hereby authorized, designated and directed to serve as directors of The University Home Care Services, Inc., representing the University in such capacities as part of their official duties and responsibilities to the University and entitling them to any immunity, insurance, indemnity and representation to which officers and employees of the University now, or hereafter, are entitled; and

BE IT FURTHER RESOLVED, That the Secretary of The University Board of Trustees be annually notified of the nominees elected to the University Home Care Services, Inc.

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS**

Resolution No. 99-27

WHEREAS The Ohio State University Hospitals Board pursuant to Bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the Medical Staff Rules and Regulations; and

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

WHEREAS the proposed amendments to the Medical Staff Rules and Regulations were approved by the University Hospitals Board on June 25, 1998, as follows:

Amended Rules and Regulations

84-04 Order writing privileges.

(A) "Definition of "Patient Orders."

A patient order(s) is a prescription for care or treatment of inpatients, outpatients or emergency patients which is written, or dictated verbally, to qualified personnel identified by category in paragraph (C) of this rule, and signed by a LICENSED medical practitioner. Patient orders may be written initially, renewed, discontinued or canceled.

(B) Responsible medical practitioner.

The licensed physician, dentist or podiatrist (under medical doctor supervision), or psychologist (under medical doctor ~~supervisor~~-SUPERVISION) member of the ~~medical~~-staff responsible for the hospitalization or outpatient care, and treatment of the patient is responsible for all orders for the patient. Attending, courtesy, and honorary medical staff may designate members of the limited staff to write orders under their direction. The attending staff member may also designate members of the pre-M.D. medical student group to write orders, but in all cases the orders shall be signed by the physician, dentist, podiatrist, psychologist, or designated limited staff member who has the right of practice of medicine, dentistry, psychology, or podiatry, and who is responsible for that patient's care. All written orders must be signed by the medical practitioner prior to the execution of the order(s) by the hospital or outpatient nursing staff or other professional groups.

Patient orders written by "off-service" house officers or consultant staff must be countersigned by the responsible practitioner or the practitioner's designee. Exception may be made in the event of preoperative orders or in the instance of acknowledged co-management; e.g. the intensive care unit or recovery room.

(C) Telephone and verbal orders.

Telephone and verbal orders may be given by the responsible attending physician, dentist, podiatrist, psychologist, or member of the limited medical staff only to health care providers who have been approved in writing by title or category by the medical director, the executive director of the hospitals, and each chief of the clinical service where they will exercise clinical privileges, and only where said health care provider is exercising clinical privileges which have been approved and delineated by job description for employees of the hospitals, or by the customary medical staff credentialing process when the provider is not an employee of the hospitals. Lists of the approval titles or categories of providers shall be maintained by the medical director. The job description or delineated privileges for each provider must indicate each provider's authority to receive telephone or verbal orders, including but not limited to the authority to receive orders for medications. The order is to be written and signed by the approved health care provider to whom it is dictated or given as "verbal order by Dr. ," or "V. O. by Dr. ," giving the medical practitioner's name and hour, followed by the approved health care provider's signature. All telephone and verbal orders FOR D.E.A. SCHEDULE II CONTROLLED SUBSTANCES, PATIENT SECLUSION, OR PATIENT RESTRAINT must be authenticated within ~~24 hours~~ ONE (1) DAY by signature of a licensed physician, dentist, podiatrist, psychologist or ~~designated~~ limited staff member. ALL OTHER INPATIENT VERBAL AND TELEPHONE ORDERS MUST BE AUTHENTICATED WITHIN TWENTY-ONE (21) DAYS OF DISCHARGE BY SIGNATURE

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

BY A LICENSED PHYSICIAN, DENTIST, PODIATRIST, PSYCHOLOGIST, OR LIMITED STAFF MEMBER. ALL OTHER OUTPATIENT VERBAL AND TELEPHONE ORDERS MUST BE AUTHENTICATED PRIOR TO OR AT THE TIME OF THE NEXT OUTPATIENT VISIT BY SIGNATURE OF A LICENSED PHYSICIAN, DENTIST, PODIATRIST, OR LIMITED STAFF MEMBER.

- (D) Automatic stop orders of DEA schedule II drugs. Orders are automatically discontinued at 72 hours after the initial written order. The responsible nurse may at his/her NURSES discretion continue previous orders until new or renewed orders are obtained.

(E) and (F) unchanged.

- (G) Investigational drug orders. Evidence of informed patient consent must be available to a nurse or pharmacist before an investigational agent is ordered and administered. Investigational drugs may be ordered only upon authorization of the principal or co-investigator or other delegated physician, dentist, PSYCHOLOGIST, or podiatrist named in FDA forms 1572 or 1573. Registered nurses or pharmacists who are knowledgeable about the investigational agents may administer the drugs to patients.

- (H) Change of nursing service.

"Change of nursing service" means official and physical movement (transfer) of a patient from any permanent care unit to another with or without change in attending physician, dentist, PSYCHOLOGIST, or podiatrist or clinical service. Orders effective before transfer must be renewed or rewritten upon transfer by signature of a responsible medical practitioner. The new or renewed orders may be written before or when the patient arrives on the receiving unit and may become effective immediately.

In each case of "change of nursing service," it is the responsibility of the receiving nurse to establish the availability of renewed or new written orders. Prior orders will remain in effect until new orders are available. This should be done within eight hours of transfer.

- (I) Transfer of clinical service.

Transfer of clinical service means transfer of full patient responsibility from one attending physician, dentist, PSYCHOLOGIST, or podiatrist to another; the patient may remain on the same unit or a "change of nursing service" may also occur. Admission of a patient from an emergency service to the hospital as an inpatient involves "transfer of clinical service."

Balance unchanged.

- (J) Patient orders and the "covering" medical practitioner.

"Coverage" of patient responsibilities for another physician, dentist, PSYCHOLOGIST, or podiatrist for a brief period of time does not constitute or require "transfer of clinical service" unless so desired and agreed upon by the physician, dentist, PSYCHOLOGIST, or podiatrist and patient.

(K) and (L) Unchanged.

- (M) ~~No code blue~~ DO NOT RESUSCITATE ORDER.

~~The order for "no code blue" indicating that the patient should not under go cardiopulmonary resuscitation may be written only by the attending physician or his/her~~

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

~~other delegate. Verbal orders for "no code blue" will not be accepted under any circumstances. The order for "no code blue" may be rescinded only by the attending physician or delegate in written form on the order sheet. DO NOT RESUSCITATE ORDERS MUST BE WRITTEN IN STRICT COMPLIANCE WITH THE COMPREHENSIVE POLICY GUIDELINES PUBLISHED BY THE MEDICAL STAFF ADMINISTRATIVE COMMITTEE AND HOSPITAL ADMINISTRATION. SEE HOSPITAL PROCEDURE MANUAL SECTION 03-24.~~

~~84-06~~

~~84-05~~ Death procedures.

(A) through (C) unchanged.

~~84-07~~

~~84-06~~ Disaster plan.

~~In case of a A civil, military, natural emergency or disaster, patients may be discharged from DECLARED BY THE MEDICAL DIRECTOR AND EXECUTIVE DIRECTOR OF university hospitals, moved to other community hospitals, or moved to other facilities made available for the care and treatment of patients, by the order of the medical director of the university hospitals or the director's designated agent, to preserve life and health, to make room for more critically ill or injured patients sent to the hospitals from a disaster area or for the purpose of saving lives and to provide adequate medical care and treatment OR THEIR DESIGNEES. THE COMPREHENSIVE PLANNING FOR TRIAGE AND TREATMENTS OF PATIENTS PRESENTING FOR URGENT OR EMERGENCY CARE SHALL BE THE RESPONSIBILITY OF THE MEDICAL DIRECTOR. THE DEPARTMENTS OF EMERGENCY MEDICINE AND THE DEPARTMENT OF SURGERY SHALL BE CHARGED WITH THE PRIMARY RESPONSIBILITY FOR TRAUMA PATIENT CARE.~~

UPON ORDER OF THE MEDICAL DIRECTOR, PATIENTS MAY BE DISCHARGED, TRANSFERRED TO ANOTHER HOSPITAL, OR MOVED TO OTHER HEALTH CARE FACILITIES IN ORDER TO MAKE MORE ROOM FOR CRITICAL ILL OR INJURED PATIENTS. THE MEDICAL DIRECTOR AND THE EXECUTIVE DIRECTOR MAY PARTICIPATE IN LOCAL OR REGIONAL EMERGENCY OR DISASTER PLANS AS MAY BE APPROPRIATE TO SAVE LIVES AND PROVIDE ADEQUATE MEDICAL CARE AND TREATMENT.

~~84-08~~

~~84-07~~ Emergency care.

(A) Level of services

The emergency department offers level I comprehensive care 24 hours/day as defined in JCAH JCAHO Manual for Hospitals, 1985 1993. Emergency medical services are provided to any patient ~~requesting~~ REQUIRING APPROPRIATE care in the university hospitals emergency department. No patient shall be arbitrarily transferred to another hospital if university hospitals have the capability of proceeding with the necessary care.

(B) Organization

The emergency department shall be directed by a physician member of the attending medical staff, known as the medical director. A deputy director shall be designated and authorized to perform the functions of the director when ~~he/she~~ THE DIRECTOR is not available. Both shall be board certified or eligible in emergency medicine and shall have at least three years training or experience.

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSIT HOSPITALS (contd)**

Amended Rules and Regulations (contd)

(C) Coverage

All patient care is the responsibility of attending, courtesy or honorary staff. Medical coverage may be provided by limited staff under supervision by the attending, OR COURTESY staff.

When a consultation or arrangement for admission is referred to specialty service, the member of the attending medical staff to whom the consult is directed shall be notified of the findings by the limited staff and concur in the treatment plan and disposition of the patient. This shall be recorded in the emergency department record. When limited staff are unavailable or unable to provide the appropriate level of services, the attending staff member shall be contacted directly by the emergency department staff physician for provision of necessary and appropriate care. (Sec. bylaws 3335-43-07-D)

(D) Policies

Written policies concerning operation of emergency services will be developed by the medical director OF THE EMERGENCY DEPARTMENT in consultation with appropriate services. These will be reviewed at least annually and approved by the medical staff or its representatives and the hospital administration. These will be revised as needed and dated at time of last review.

(E) Records

Records will be maintained on all patients in accordance with the rules of the ~~JCAH~~ JCAHO for a level I service. The emergency record shall be incorporated into the permanent hospital record. All persons seeking care shall be identified in a control register.

~~84-09~~

84-08 Surgical case review (tissue committees).

Surgical case review shall be performed monthly by each department regularly doing surgical procedures. The review shall include indications for surgery and all cases in which there is a major discrepancy between preoperative and postoperative (including pathologic) diagnoses. A screening mechanism based on predetermined criteria may be established for cases involving no specimens. Written records of the evaluations and any action taken shall be maintained in the ~~department office~~ CLINICAL QUALITY AND RESOURCE MANAGEMENT DEPARTMENT, available to the medical director or the director's designee.

~~84-10~~

84-09 Tissue disposition.

All tissue and foreign bodies removed during a surgical procedure shall be sent to the pathology laboratory for examination except for the following categories. These exceptions may be invoked by the attending surgeon only when the quality of care is not compromised by the exception, when another suitable means of verification of the removal is routinely employed, and when there is an authenticated operative or other official report that documents the removal. The categories of specimens that may be exempted from pathological examination are the following:

(A) DISCREPANCIES BETWEEN THE CLINICAL IMPRESSION AND TISSUE REMOVED DURING A SURGICAL PROCEDURE ARE IDENTIFIED BY PATHOLOGY AND THEN

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

REFERRED TO THE APPROPRIATE SURGICAL COMMITTEE FOR REVIEW. FINAL DISPOSITION IS MADE BY THE QUALITY ASSURANCE COMMITTEE.

- ~~(A)~~
- (B) Specimens that by their nature or condition do not permit fruitful examination, such as cataract, orthopedic appliance, foreign body, or portion of rib removed only to enhance operative exposure;
- ~~(B)~~
- (C) Therapeutic radioactive sources, the removal of which shall be guided by radiation safety monitoring requirements;
- ~~(C)~~
- (D) Traumatologically injured members that have been amputated and for which examination for either medical or legal reasons is not deemed necessary;
- ~~(D)~~
- (E) Foreign bodies (for example, bullets) that for legal reasons are given directly in the chain of custody to law enforcement representatives;
- ~~(E)~~
- (F) Specimens known to rarely, if ever, show pathological change, and removal of which is highly visible postoperatively, such as the foreskin from the circumcision of a newborn infant;
- ~~(F)~~
- (G) Placentas that are grossly normal and have been removed in the course of operative and non-operative obstetrics; and
- ~~(G)~~
- (H) Teeth, provided the number, including fragments, is recorded in the medical record.

~~84-11~~

84-10 Committees.

In addition to the medical staff committees (~~medical records, operating room, pharmacy and therapeutics, transfusion and blood products~~), the medical staff shall participate in the following hospital committees and monitoring functions: infection control, CLINICAL quality assurance AND RESOURCE MANAGEMENT, safety, and disaster planning.

~~84-12~~

84-11 Medical records INFORMATION committee.

- (A) The medical records INFORMATION committee has representation from medical staff, nursing, medical records department INFORMATION MANAGEMENT, and hospital administration. ~~The majority of members shall be members of the medical staff.~~ The committee shall meet at least quarterly and carry out the following duties:
 - (1) through (3) unchanged.
 - (4) ~~Determine or recommend the format of the medical record, the forms used in the medical record, and the use of electronic data processing and storage systems for medical record purposes.~~ REVIEW ALL MEDICAL RECORD FORMS, REPORTS, AND SYSTEM DESIGN AND MAKE APPROPRIATE RECOMMENDATIONS FOR

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

THEIR USE, IMPROVEMENT, OR ALTERATION.

- (5) SUBMIT RECOMMENDATIONS TO ASSURE THE MAINTENANCE OF COMPLETE, ACCURATE MEDICAL INFORMATION FOR COMPLIANCE WITH APPLICABLE POLICIES AND REGULATIONS OF THE CLINICAL QUALITY MANAGEMENT COMMITTEE, CLINICAL COMPUTING COMMITTEE, GOVERNMENTAL AGENCIES, ACCREDITING BODIES, AND PURCHASERS OF CARE.
 - (6) REVIEW EXISTING POLICIES AND RULES AND REGULATIONS FOR THE COMPLETION OF MEDICAL RECORDS AND MAKE APPROPRIATE RECOMMENDATIONS FOR THEIR IMPROVEMENT.
 - (7) REVIEW AND RECOMMEND POLICIES AND PROCEDURES FOR ALL ACTIVITIES PERTAINING TO PATIENT INFORMATION, INCLUDING DATA ACCESS AND SECURITY IN SUPPORT OF PATIENT CARE, RESEARCH AND TEACHING.
 - ~~(5)~~
(8) Maintain written records of conclusions, recommendations, actions taken, and results of actions taken, AND REPORT REGULARLY TO THE CLINICAL COMPUTING COMMITTEE AND CLINICAL QUALITY MANAGEMENT COMMITTEE.
- (B) Each member of the medical staff shall conform to the policies established by the medical ~~records~~ INFORMATION committee, including the following:
- (1) Chart contents
 - (a) The attending ~~physician~~ MEDICAL STAFF MEMBER shall be responsible for the preparation of a complete medical record of each patient. This record shall include the following:
 - (I) through (vi) unchanged.
 - (vii) Special reports, as those from:
 - (a) and (b) unchanged.
 - (c) Consultants, AS VERIFIED BY THE ATTENDING MEDICAL STAFF MEMBER'S SIGNATURE.
 - (viii) through (xii) unchanged.
 - (xiii) Summary and final diagnosis as verified by the attending ~~physician's~~ MEDICAL STAFF MEMBER'S signature.
 - (xiv) Unchanged.
 - (2) Deadlines and sanctions
 - (a) The complete history and physical examination shall be ~~recorded on the patient's chart~~ DICTATED OR WRITTEN no later than 24 hours after admission of the patient.

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

IF THE HISTORY AND PHYSICAL EXAMINATION ARE DICTATED, A SUMMARY OF PERTINENT FINDINGS MUST BE RECORDED IN THE PATIENT'S CHART WITHIN 24 HOURS AFTER ADMISSION. IF A HISTORY AND PHYSICAL EXAMINATION HAVE BEEN PERFORMED WITHIN 30 DAYS BEFORE ADMISSION, A COPY OF THE REPORT MAY BE USED IN THE PATIENT'S MEDICAL RECORD, PROVIDED ANY CHANGES THAT HAVE OCCURRED ARE RECORDED.

THE HISTORY AND PHYSICAL EXAMINATION MUST BE PERFORMED BY A MEMBER OF THE MEDICAL STAFF OR HIS/HER DESIGNEE AND BE SIGNED AND DATED. IN THE EVENT THE HISTORY AND PHYSICAL EXAMINATION IS PERFORMED BY A PHYSICIAN EXTENDER, THEY SHALL BE COUNTERSIGNED BY THE RESPONSIBLE MEDICAL STAFF MEMBER.

- (b)A STAFF ADMISSION PROGRESS NOTE MUST BE COMPILED BY THE RESPONSIBLE ATTENDING MEDICAL STAFF MEMBER OR HIS/HER DESIGNEE NO LATER THAN 72 HOURS AFTER ADMISSION OF THE PATIENT. THE STAFF ADMISSION PROGRESS NOTE MUST BE SIGNED BY THE RESPONSIBLE ATTENDING MEDICAL STAFF MEMBER.

- ~~(b)~~
(c) When the history and physical examination, including the results of indicated laboratory studies and x-rays and the ~~attending staff's~~ STAFF ADMISSION PROGRESS notes, are not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the history, physical, and laboratory results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending ~~physician~~-MEDICAL STAFF MEMBER OR DESIGNEE, and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it will be adjudicated by the medical director or ~~his/her~~ THE MEDICAL DIRECTOR'S designee.

- ~~(e)~~
(d) ~~All procedures performed shall be fully described~~ A PROCEDURE NOTE SHALL BE ENTERED in the record by the responsible ~~physician~~ ATTENDING MEDICAL STAFF MEMBER or the ~~physician's~~ MEDICAL STAFF MEMBER'S designee immediately upon completion of ~~the~~ AN INVASIVE procedure. An operative/procedure report must be dictated immediately following the procedure.

PROCEDURE NOTES MUST BE WRITTEN FOR ANY SURGICAL OR MEDICAL PROCEDURES, IRRESPECTIVE OF THEIR REPETITIVE NATURE, WHICH INVOLVE MATERIAL RISK TO THE PATIENT. FOR ANY FORMAL OPERATIVE PROCEDURES A NOTE SHALL INCLUDE THE PRE-OPERATIVE DIAGNOSIS, PROCEDURE, SURGEON(S), RESIDENT(S), ANESTHESIOLOGIST(S), SURGICAL SERVICE, TYPE OF ANESTHESIA (GENERAL OR LOCAL), COMPLICATIONS, INVASIVE LINES EITHER ADDED OR DELETED, ESTIMATED BLOOD LOSS, ANY PERTINENT INFORMATION NOT INCLUDED ON O.R./ANESTHESIA RECORD, AND PRELIMINARY SURGICAL FINDINGS. WHERE A FORMAL OPERATIVE/ PROCEDURE REPORT MUST BE DICTATED IMMEDIATELY FOLLOWING THE PROCEDURE. The transcribed operative/ procedure report must be signed by the attending ~~physician within 21 days of the patient's discharge~~ MEDICAL STAFF MEMBER. Any operative/procedure report not dictated by 10:00 a.m. the day following the procedure shall be deemed delinquent

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

and the attending physician MEDICAL STAFF MEMBER responsible will lose operating/procedure room privileges that day and admitting MEDICAL STAFF privileges the following day. Affected medical staff members will receive phone TELEPHONE calls from the medical record administrator indicating the delinquent operative/procedure reports. A list will be prepared and distributed monthly by the medical record administrator indicating the attending physicians suspended during the previous month. The pathologist shall make such examination as necessary to arrive at a satisfactory diagnosis. The pathologist's report shall then be included on the patient's record.

- (e) FOR ALL PROCEDURES WHICH REQUIRE SUBMISSION OF A SPECIMEN, THE PATHOLOGIST SHALL MAKE SUCH EXAMINATION AS NECESSARY TO ARRIVE AT A SATISFACTORY DIAGNOSIS. THE PATHOLOGIST'S REPORT SHALL THEN BE INCLUDED IN THE PATIENT'S RECORD.
- (f) PROGRESS NOTES MUST PROVIDE A PERTINENT CHRONOLOGICAL REPORT OF THE PATIENT'S COURSE IN THE HOSPITAL AND REFLECT ANY CHANGE IN CONDITION, RESULTS OF TREATMENT. IN THE EVENT THAT THE PATIENT'S CONDITION HAS NOT CHANGED, AND NO DIAGNOSTIC STUDIES HAVE BEEN DONE, A PROGRESS NOTE MUST BE COMPLETED BY THE ATTENDING MEDICAL STAFF MEMBER AT LEAST EVERY THREE DAYS.

EACH PROGRESS NOTE IN THE MEDICAL RECORDS MUST BE SIGNED OR COUNTER-SIGNED BY A MEMBER OF THE ATTENDING, COURTESY, OR LIMITED STAFF.

- (g) BIRTH CERTIFICATES AND BIRTH CERTIFICATE WORK SHEETS MUST BE SIGNED BY THE MEDICAL STAFF MEMBER WHO DELIVERS THE BABY WITHIN 24 HOURS OF THE BABY'S BIRTH. BIRTH CERTIFICATE WORK SHEETS MUST BE SIGNED BY THE ATTENDING MEDICAL STAFF MEMBER OR THE MEDICAL STAFF MEMBER'S DESIGNEE WITHIN 24 HOURS OF THE BABY'S BIRTH. FETAL DEATH CERTIFICATES AND DEATH CERTIFICATES MUST BE SIGNED AND THE CAUSE OF DEATH MUST BE RECORDED BY THE MEDICAL STAFF MEMBER WITH A PERMANENT OHIO LICENSE WITHIN 24 HOURS OF DEATH. MEDICAL STAFF MEMBERS WHO HAVE INCOMPLETE CERTIFICATES WILL HAVE THEIR CLINICAL PRIVILEGES SUSPENDED UNTIL ALL CERTIFICATES ARE COMPLETED. A LIST OF DELINQUENT CERTIFICATES, BY MEDICAL STAFF MEMBER'S WILL BE PREPARED AND DISTRIBUTED ON A DAILY BASIS BY THE MEDICAL RECORDS ADMINISTRATOR. THE MEDICAL STAFF MEMBER WILL BE GIVEN THREE DAYS WRITTEN NOTICE OF INTENTION TO SUSPEND CLINICAL PRIVILEGES.

(3) Discharges

- (a) Patients shall be discharged only on written order of the attending physician, or of the agent of the attending physician acting for and under the specific instructions of the attending physician RESPONSIBLE MEDICAL STAFF MEMBER. At the time of ordering the patient's discharge or at the time of THE MEDICAL STAFF MEMBER'S next visit to the hospital (if the attending physician should have MEDICAL STAFF MEMBER HAS authorized a member of the post-M.D. student group LIMITED MEDICAL STAFF to sign the order of discharge), the attending physician MEDICAL STAFF MEMBER shall see that the record is complete. The attending physician MEDICAL STAFF MEMBER is responsible for certifying VERIFYING the principal diagnosis, SECONDARY DIAGNOSES principal procedure, complications, comorbidities, and other significant O.R. INVASIVE procedures on IN the face sheet MEDICAL RECORD by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

test results, the attending ~~physician~~ MEDICAL STAFF MEMBER must record a "provisional" principal diagnosis by the time of discharge. ~~The medical records committee will advise the medical staff administrative committee of the compliance on the part of the medical staff with this provision.~~

- (b) The discharge summary for each patient must be dictated by the responsible ~~physician~~ MEDICAL STAFF MEMBER or the ~~physician's~~ MEDICAL STAFF MEMBER'S designee within ~~seven~~ THREE days of discharge for any patient stay of more than 48 hours. A handwritten or dictated discharge summary must be completed within seven days of discharge for any patient stay of 48 hours or less. A dictated summary is required on all patients who ~~die~~ EXPIRE, regardless of length of stay. A HANDWRITTEN DISCHARGE NOTE MAY BE SUBSTITUTED FOR A DICTATED DISCHARGE SUMMARY FOR NORMAL OBSTETRIC AND NEWBORN STAYS. THE DISCHARGE SUMMARY MUST BE SIGNED BY THE RESPONSIBLE ATTENDING.
- (c) ~~The~~ ALL medical ~~record~~ RECORDS must be completed by the attending ~~physician~~ MEDICAL STAFF MEMBER or, when applicable, by ~~his/her~~ THE ATTENDING MEDICAL STAFF MEMBER'S designee within 21 days of discharge of the patient. ATTENDING MEDICAL STAFF MEMBERS WILL BE NOTIFIED OF THE INTENT TO SUSPEND FOR ALL INCOMPLETE RECORDS THAT ARE AVAILABLE. ~~Attending physicians who have incomplete records (of patients discharged for more than 21 days) assigned to them~~ MEDICAL STAFF MEMBERS will have their ~~admitting~~ MEDICAL STAFF AND OPERATIVE privileges suspended until all records are completed. A list of delinquent INCOMPLETE records, by attending ~~physician~~ MEDICAL STAFF MEMBERS, will be prepared and distributed by the medical records administrator once each week. ~~The list will include the name of the responsible resident or intern when applicable. The attending physician will be given one week's notice of an intent to suspend.~~ If an attempt is made by the attending ~~physician~~ MEDICAL STAFF MEMBER, or ~~his/her~~ THE ATTENDING MEDICAL STAFF MEMBER'S designee when applicable, to complete the record, and the record is not available, the record is not counted against the attending ~~physician until the next list is prepared~~ MEDICAL STAFF MEMBER.
- (d) RECORDS WHICH ARE INCOMPLETE, GREATER THAN 21 DAYS FROM DISCHARGE ARE DEFINED AS DELINQUENT.

(4) Records storage and security

All patient's records, pathological examinations, slides, radiological films, photographic records, cardiographic records, laboratory reports, statistical evaluations, etc., are the property of the university hospitals and shall not be taken from university hospitals except on court order, duly filed with the medical record administrator or the hospital administration. The hospital administration may, under certain conditions, arrange for copies or reproductions of ~~the above concerning~~ ANY ELEMENT OF THE patients' records to be made. Such copies may be removed from the hospitals after the medical record administrator or the proper administrative authority has received a written receipt thereof. In the case of readmission of the patient, all previous records or copies thereof shall be available for the use of the attending ~~physician~~ MEDICAL STAFF MEMBER OR OTHER HEALTH CARE PROVIDERS. Under normal circumstances, the original hospital records, pathological examinations, slides, radiological films, etc., will be maintained by the hospital for no less than five years. Microfilms, electronic tape recordings, and such other acceptable storage techniques will be used to maintain patients' records, following the above prescribed five years.

(5) Informed consent documentation

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

(a) Where informed consent is required for a special procedure (such as surgical operation), documentation that such consent has been obtained must be made in the hospital record prior to the initiation of the procedure. SUCH DOCUMENTATION SHALL BE IN COMPLIANCE WITH THE HOSPITALS POLICY AND PROCEDURE MANUAL SECTION 03-27.

(b) Unchanged.

(6) Sterilization consent

Prior to the performance of an operative procedure for the expressed purpose of sterilization of a (male or female) patient, the attending ~~physician~~ MEDICAL STAFF MEMBER will be responsible for the completion of the legal forms provided by the hospital and signed by the patient. Patients who are enrolled in the Medicaid program must have their forms signed at least 30 days prior to the procedure. Informed consent must also be obtained from one of the parents or the guardian of an unmarried minor.

(7) Unchanged.

(8) Signature stamps

Signature stamps may be used by ~~physicians~~ MEDICAL STAFF MEMBERS only when there is a statement on file with the medical records department stating that the ~~physician~~ MEDICAL STAFF MEMBER maintains possession of the stamp and will be the only person who will use the stamp in the medical ~~record~~ RECORDS. The use of the stamp may not be delegated by the ~~physician~~ MEDICAL STAFF MEMBER to any other person for the purpose of affixing a signature to an entry in the medical record.

~~84-13~~

84-12 Operating room committee.

(A) Unchanged.

(B) Each member of the medical staff shall conform to the policies established by the operating room committee, including the following:

A member of the attending SURGICAL staff SHALL BE PRESENT IN PERSON DURING SURGICAL PROCESSES and a member of the ATTENDING anesthesiology staff shall be present in person ~~for crucial periods of surgical procedures and~~ DURING anesthetization, shall be familiar with the progress of the procedure, and be immediately available at all times during the procedure.

~~84-14~~

84-13 Pharmacy and therapeutics committee.

Balance unchanged.

~~84-15~~

84-14 Transfusion AND IMMUNIZATION committee.

(A) The transfusion AND IMMUNIZATION committee has representation from physicians of the clinical departments frequently using blood products, nursing, transfusion service, and hospital administration. The majority of members shall be members of the medical staff. The committee shall meet at least quarterly and carry out the following duties:

**AMENDMENTS TO THE MEDICAL STAFF RULES AND REGULATIONS
OF THE OHIO STATE UNIVERSITY HOSPITALS (contd)**

Amended Rules and Regulations (contd)

(1) through (8) unchanged.

(B) Each member of the medical staff shall conform to the policies established by the transfusion AND IMMUNIZATION committee, including the following:

(1) All pregnant patients admitted for delivery or abortion shall be tested for Rh antigen.

(2) No ~~medicine~~ MEDICATION may be added to blood or blood products.

~~84-16~~

84-15 Mechanism for changing rules and regulations.

Balance unchanged.

~~84-17~~

84-16 Adoption of the rules and regulations.

These rules and regulations shall be adopted by the Medical Staff Administrative Committee and forwarded for approval in the same fashion as provided in Section ~~84-16~~ 84-15.

~~84-18~~

84-17 Sanctions.

Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Medical Staff Rules and Regulations be adopted as recommended by the University Hospitals Board.

ADMINISTRATIVE NAMING OF FACILITY

Resolution No. 99-28

Synopsis: Approval of the administrative naming of the building located at 1670 Upham Drive is proposed.

WHEREAS the need for inpatient psychiatric services has diminished since construction of the building located at 1670 Upham Drive to the point that a large part of the structure is used for other functions. The Office of Health Sciences requests that the name be changed to the "Neuroscience Facility" to more closely match the new functions; and

WHEREAS the request has been approved by the Vice President for Health Sciences, the Dean of the College of Medicine and Public Health, and the Associate Vice President for Health Sciences and Executive Director of University Hospitals; and

WHEREAS the request has been approved of the President's Executive Committee:

NOW THEREFORE

BE IT RESOLVED, That the structure located at 1670 Upham Drive be named the Neuroscience Facility.

NAMING OF INTERNAL SPACES

Resolution No. 99-29

Synopsis: The naming of internal space within University facilities is proposed.

WHEREAS gifts and gift commitments have been received by donors to support the construction, equipping or furnishing of the classrooms, offices, laboratories and other noted spaces; and

WHEREAS upon the recommendation of the President and the President's Executive Committee, it has been proposed that the donors to these internal spaces be recognized for their generosity:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned internal spaces in campus facilities shall be named as follows:

Project: The Success Center

- The Jim and Karen Davidson Conference Room (\$25,000)
- The David Adamkin Conference Room (\$25,000)
- The State Auto Insurance Academic Mall (\$50,000)
- The Douglas and Elizabeth Cole Conference Room (\$25,000)

Project: Longaberger Alumni House

- The Ralph and Barbara Rockow Board Room (\$250,000)
- The Ruth and John Mount Leadership Suite (\$200,000)

Project: Parks Hall

- The Jack L. Beal and College of Pharmacy Alumni Society Student Lounge

Project: Dulles Hall

- The Robert H. Bremner Seminar Room (344 Dulles)

Project: Vernal G. Riffe Building

- The Albert H. Soloway Floor (6th Floor Research Laboratories)

Project: Center for Education and Economic Development - ATI at Wooster

- J. M. Smucker Company Seminar Room (\$75,000)
- The Andersons Seminar Room (\$125,000)
- Mary E. Basford Conference Room (\$50,000)
- A. W. Yenne Conference Room (\$50,000)

PERSONNEL ACTIONS

Resolution No. 99-30

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the July 10, 1998 meeting of the Board, including the following Appointments/Reappointments, Leaves of Absence Without Salary, Professional Improvement Leaves, Promotion, and Emeritus Titles, as detailed in the University Budget be approved, and the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center) approved June 25, 1998, by the Hospitals Board and the Medical Staff Appointments/Reappointments (The Arthur G. James Cancer Hospital and Research Institute) approved July 21, 1998 by The Arthur G. James Cancer Hospital and Research Institute Board, be ratified.

PERSONNEL ACTIONS (contd)

Appointment

Name: MICHAEL S. BEATTIE
Title: Professor (The Doctor John D. and E. Olive Brumbaugh Chair in Brain Research and Training)
Department: Cell Biology, Neurobiology & Anatomy
Term: September 1, 1998 through June 30, 2002

Reappointment

Name: DANIEL L. JENSEN
Title: Professor (The Deloitte and Touche Accounting Professorship)
Department: Accounting and Management Information Systems
Term: October 1, 1999 through September 30, 2004

Appointment of Director

October 1, 1998 through September 30, 2002

Division of Comparative Studies
in the Humanities

Christian K. Zacher

Reappointment of Chair

July 1, 1998 through June 30, 2002

Human Development and Family Science

Albert J. Davis

Leaves of Absence Without Salary

DAVID SCHMEIDLER, Professor, Department of Economics, effective Autumn Quarter 1998 and the second half of Spring Quarter 1999 (May 5 through June 19, 1999).

ANN K. BLOMBACH, Associate Professor, School of Music, effective Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to work on completing the first IBM-compatible version of her MacGAMUT aural-training software.

HANS U. BODEN, Assistant Professor, Department of Mathematics (Mansfield Campus), effective Autumn Quarter 1998, to visit the Department of Mathematics at Indiana University at Bloomington.

Leave of Absence Without Salary--Continuation

JUDITH S. KULLBERG, Assistant Professor, Department of Political Science, effective Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to continue as a visiting professor at the University of Michigan.

Professional Improvement Leave

HAROLD J. MOELLERING, Professor, Department of Geography, effective Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999.

Professional Improvement Leave -- Cancellation

PHILIP J. VON RAABE, Associate Professor, Department of Art, effective Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999.

PERSONNEL ACTIONS (contd)

Professional Improvement Leave – Change in Dates

SAUL CORNELL, Associate Professor, Department of History, change leave from Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to Autumn Quarter 1998.

EDWARD M. CRENSHAW, Associate Professor, Department of Sociology, change leave from Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to Winter Quarter and Spring Quarter 1999.

KENNETH H. SANDHAGE, Associate Professor, Department of Materials Science and Engineering, change leave from Autumn Quarter 1998, and Winter Quarter and Spring Quarter 1999, to Winter Quarter and Spring Quarter 1999.

Promotion

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

John W. Wenzel, Entomology - effective 10/1/98

Emeritus Titles

DAVID L. BOGGS, School of Physical Activity and Educational Services, with the title Professor Emeritus, effective July 1, 1998.

IRA W. DEEP, Department of Plant Pathology, with the title Professor Emeritus, effective August 1, 1998.

RICHARD F. HAMILTON, Department of Sociology, with the title Professor Emeritus, effective August 1, 1998.

MERVIN E. MULLER, Department of Computer and Information Science, with the title Professor Emeritus, effective July 1, 1998.

Medical Staff -- Appointments (The Ohio State University Medical Center)

July 1, 1997 through June 30, 1999

Carol J. Ashman, M.D., Radiology, Attending
Steven P. Balaloski, M.D., Obstetrics and Gynecology, Courtesy
Jay E. Beilharz, D.O., Family Medicine, Community Affiliate Physician
Louis L. Bowman, D.O., Emergency Medicine, Courtesy
Mark E. Collins, M.D., Internal Medicine, Community Affiliate
Joseph C. Franz, M.D., Family Medicine, Community Affiliate
Guillermo M. Fuentes, D.O., Family Medicine, Community Affiliate Physician
Jon C. Gehrke, M.D., Surgery, Attending
Eric A. Hansen, M.D., Family Medicine, Community Affiliate Physician
Michael R. Jennings, M.D., Internal Medicine, Community Affiliate Physician
Joseph E. Kearns, D.O., Family Medicine, Community Affiliate Physician

April 1, 1998 through August 31, 1998

Paul C. Brown, M.D., Transplant, PGY2 Limited
Ayser C. Hamoudi, M.D., Family Medicine, Attending
Timothy J. Pitchford, M.D., Transplant, PGY3 Limited

September 2, 1998 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff -- Appointments (The Ohio State University Medical Center) (contd)

July 1, 1997 through June 30, 1998

Robert E. Michler, M.D., Surgery, Attending
Benita M. Petri, M.D., Family Medicine, Attending
Fred S. Stockinger, M.D., Surgery, Attending
Denise R. Williams, M.D., Family Medicine, Community Affiliate Physician
Albert C. Winfield, II, M.D., Family Medicine, Attending

July 1, 1998 through June 30, 1999

Kelli A. Cawley, M.D., Internal Medicine, Attending

July 1, 1998 through June 30, 2000

Christopher L. Mabee, M.D., Internal Medicine, Attending
Julie A. Mullen, D.O., Family Practice, Community Affiliate
Denise E. Rabold, Ph.D., Rehabilitation Psychology, Attending
Gautam Samadder, M.D., Internal Medicine, Attending
James P. Shaffer, M.D., Internal Medicine, Attending

Rotating Resident/Limited Medical Staff -- Appointments (The Ohio State University Medical Center)

July 1, 1997 through June 30, 1998

Thomas Lee, M.D., Obstetrics and Gynecology, PGY3 Limited
Matthew J. Tompkins, M.D., Obstetrics and Gynecology, PGY3 Limited

June 29, 1998 through October 29, 1998

Josette D'Amato, D.O., Obstetrics and Gynecology, PGY 3 Limited

Visiting Medical Staff -- Appointments (The Ohio State University Medical Center)

July 1, 1997 through June 30, 1998

Louis G. Mercuri, D.D.S., Dentistry, Visiting Physician

Medical Staff -- Reappointments (The Ohio State University Medical Center)

July 1, 1998 through June 30, 2000

Douglas M. Magorien, M.D., Internal Medicine, Attending
Raymond D. Magorien, M.D., Internal Medicine, Attending
Julie E. Mangino, M.D., Internal Medicine, Attending
Uma U. Marar, M.D., Internal Medicine, Attending
Unni K. Marar, M.D., Internal Medicine, MedOhio, Attending
Jack Marks, M.D., Internal Medicine, Courtesy
Clay B. Marsh, M.D., Internal Medicine, Attending
Deborah A. Martinez, M.D., Surgery, Attending
Larry C. Martin, M.D., Surgery, Attending
John S. McDonald, M.D., Anesthesiology, Attending
Wanda L. McEntryre, Ph.D., Physical Medicine and Rehabilitation, Attending/Phd
John M. McGregor, M.D., Surgery, Attending
Donald L. McNeil, Jr., M.D., Internal Medicine, Attending
William S. Melvin, M.D., Surgery, Attending

PERSONNEL ACTIONS (contd)

Medical Staff -- Reappointments (The Ohio State University Medical Center) (contd)

July 1, 1998 through June 30, 2000 (contd)

Earl N. Metz, M.D., Internal Medicine, Attending
Robert E. Michler, M.D., Surgery, Attending
Carole A. Miller, M.D., Surgery, Courtesy
Robert J. Miller, D.O., Anesthesiology, Attending
Joy L. Mosser, M.D., Internal Medicine, Attending
Charles F. Mueller, M.D., Radiology, Attending
Lawrence G. Murcko, M.D., Internal Medicine, Community Affiliate
Link R. Murphy, M.D., Internal Medicine, Courtesy
Walter J. Mysiw, M.D., Physical Medicine and Rehabilitation, Attending
Subir Nag, M.D., Radiology, Attending
Norris S. Nahman, Jr., M.D., Internal Medicine, Attending
Louis M. Nardella, M.D., Internal Medicine, Attending
Komal Narula, M.D., Obstetrics and Gynecology, Courtesy
Richard N. Nelson, M.D., Emergency Medicine, Attending
Steven D. Nelson, M.D., Internal Medicine, Attending
Anthony S. Neri, M.D., Obstetrics and Gynecology, Courtesy
Allan J. Nickols, M.D., Internal Medicine, Courtesy
Thomas A. Nims, M.D., Surgery, Courtesy
Richard A. Nockowitz, M.D., Psychiatry, Attending
Timothy P. Obarski, D.O., Internal Medicine, Community Affiliate
Thomas M. O'Dorisio, M.D., Internal Medicine, Attending
Johannes O. Olsen, M.D., Radiology, Attending
David A. Orsinelli, M.D., Internal Medicine, Attending
Debra A. Ortega-Carr, M.D., Internal Medicine, Attending
Richard W. O'Shaughnessy, M.D., Obstetrics and Gynecology, Attending
William H. Overholser, M.D., Family Medicine, Courtesy
Eric R. Pacht, M.D., Internal Medicine, Attending
William D. Padamadan, M.D., Internal Medicine, MedOhio, Courtesy
Jawahar Palaniappan, M.D., Internal Medicine, Courtesy
Michael F. Para, M.D., Internal Medicine, Attending
William S. Pease, M.D., Physical Medicine and Rehabilitation, Attending
Arthur E. Pellegrini, M.D., Internal Medicine, Attending
Eli N. Perencevich, D.O., Internal Medicine, Courtesy
Todd E. Pesavento, M.D., Internal Medicine, Attending
Benita M. Petri, M.D., Family Medicine, Attending
Harvey J. Pierce, M.D., Family Medicine, MedOhio, Community Affiliate
Evelyn E. Pintz, M.D., Internal Medicine, Attending
Joseph F. Plouffe, M.D., Internal Medicine, Attending
Amy L. Pope-Harman, M.D., Internal Medicine, Attending
David S. Postlewaite, M.D., Surgery, Attending
Rodney V. Pozderac, M.D., Radiology, Attending
Robert J. Ragosin, M.D., Radiology, Attending
Margaret A. Real, M.D., Internal Medicine, Attending
Gary C. Reid, M.D., Obstetrics and Gynecology, Courtesy
Anthony P. Restuccio, M.D., Family Medicine, MedOhio, Courtesy
Chris A. Rhoades, M.D., Internal Medicine, Attending
Martin L. Ribovich, M.D., Radiology, Attending
Stephen R. Richards, M.D., Obstetrics and Gynecology, Courtesy
David E. Roberts, M.D., Internal Medicine, Attending
John L. Robinson, M.D., Internal Medicine, Attending
Paul J. Rogers, M.D., Internal Medicine, Community Affiliate
Mark P. Rollins, M.D., Family Medicine, Attending
Vincent A. Romanelli, M.D., Anesthesiology, Attending

PERSONNEL ACTIONS (contd)

Medical Staff -- Reappointments (The Ohio State University Medical Center) (contd)

July 1, 1998 through June 30, 2000 (contd)

Robert L. Ruberg, M.D., Surgery, Attending
Paul D. Ruff, M.D., Internal Medicine, Courtesy
John S. Russ, M.D., Obstetrics and Gynecology, Courtesy
Alan R. Sacolick, M.D., Obstetrics and Gynecology, Courtesy
Akram Sadak, M.D., Family Medicine, Attending
Arthur L. Sagone, Jr., M.D., Internal Medicine, Attending
William H. Saunders, M.D., Otolaryngology, Courtesy
Beverly A. Savage, M.D., Obstetrics and Gynecology, Courtesy
Karl I. Schaeffer, M.D., Obstetrics and Gynecology, Courtesy
Douglas W. Scharre, M.D., Neurology, Attending
Grant E. Schmidt, M.D., Obstetrics and Gynecology, Courtesy
Steven M. Schneider, M.D., Family Medicine, MedOhio, Community Affiliate
Scott D. Schumann, D.D.S., Dentistry, Attending
Andreas W. Schuster, M.D., Anesthesiology, Attending
Dara P. Schuster, M.D., Internal Medicine, Attending
John R. Schwarzell, M.D., Surgery, Courtesy
David B. Seifer, M.D., Obstetrics and Gynecology, Attending
Myron N. Senchyshak, D.O., Anesthesiology, Attending
David H. Sharkis, M.D., Internal Medicine, Attending
Cynthia S. Shellhaas, M.D., Obstetrics and Gynecology, Attending
Phillip J. Shubert, M.D., Obstetrics and Gynecology, Attending
Ronald J. Siegle, M.D., Otolaryngology, Attending
Robert T. Sickles, M.D., Family Medicine, Attending
Sheldon R. Simon, M.D., Surgery, Attending
Robert H. Small, M.D., Anesthesiology, Attending
James H. Smith, M.D., Internal Medicine, Courtesy
Jiri D. Sonek, M.D., Obstetrics and Gynecology, Courtesy
Gaylynn J. Speas, M.D., Anesthesiology, Attending
Dimitrios G. Spigos, M.D., Radiology, Attending
Michael S. Sprague, M.D., Obstetrics and Gynecology, Courtesy
Deborah A. Stahl, M.D., Anesthesiology, Attending
Elizabeth A. Stechschulte, M.D., Internal Medicine, Attending
Carmen G. Strickland, M.D., Family Medicine, Attending
Vidya Sundaram, M.D., Internal Medicine, Attending
Joseph F. Swan, M.D., Anesthesiology, Courtesy
Diane Tallo, M.D., Internal Medicine, Courtesy
Deborah L. Taylor, M.D., Family Medicine, Community Affiliate
Vasanthi V. Thavendrarajah, M.D., Internal Medicine MedOhio, Courtesy
Fred B. Thomas, M.D., Internal Medicine, Attending
Melvin W. Thomas, M.D., Family Medicine, Courtesy
Sul R. Thorward, M.D., Psychiatry, Attending
Herman A. Tolbert, M.D., Psychiatry, Attending
Rodney L. Tomczak, D.P.M., Surgery, Attending
Lynne Ann B. Torello, M.D., Family Medicine, Community Affiliate
Victor A. Trianfo, Jr., D. O., Family Medicine, Attending
Pierre L. Triozzi, M.D., Internal Medicine, Attending
Wayne C. Trout, M.D., Obstetrics and Gynecology, Attending
Leslie P. Turner, M.D., Obstetrics and Gynecology, Courtesy
Manuel Tzagournis, M.D., Internal Medicine, Attending
James S. Ungerleider, M.D., Internal Medicine, Attending
Louis J. Unverferth, M.D., Surgery, Courtesy
Susan D. Vasko, M.D., Surgery, Courtesy
Geoffrey C. Vaughan, M.D., Internal Medicine, Attending

PERSONNEL ACTIONS (contd)

Medical Staff -- Reappointments (The Ohio State University Medical Center) (contd)

July 1, 1998 through June 30, 2000 (contd)

Suneela Vegunta, M.D., Internal Medicine, Attending
Rafael E. Villalobos, D.O., Surgery, Attending
Michael J. Walker, M.D., Surgery, Attending
John D. Walters, D.D.S., Dentistry, Courtesy
Doris E. Walzak, M.D., Internal Medicine, Attending
Harrison G. Weed, M.D., Internal Medicine, Attending
John M. Weigand, M.D., Family Medicine, Attending
Jeffrey E. Weiland, M.D., Internal Medicine, Attending
Jeffery A. Welgoss, M.D., Obstetrics and Gynecology, Attending
MaryJo P. Welker, M.D., Family Medicine, Attending
Howard A. Werman, M.D., Emergency Medicine, Attending
Ronald L. Whisler, M.D., Internal Medicine, Attending
Robert D. Whitehead, M.D., Family Medicine, Attending
Craig E. Williams, M.D., Psychiatry, Attending
Denise R. Williams, M.D., Family Medicine, Community Affiliate
Steven R. Williams, M.D., Obstetrics and Gynecology, Courtesy
William A. Wilmer, M.D., Internal Medicine, Attending
Albert C. Winfield, II, M.D., Family Medicine, Attending
David A. Winger, M.D., Internal Medicine, Attending
Russell P. Woda, D.O., Anesthesiology, Attending
Wiley G. Woodard, M.D., Obstetrics and Gynecology, Courtesy
David O. Yablok, M.D., Anesthesiology, Attending
Lisa D. Yee, M.D., Surgery, Attending
Frank W. Yoder, M.D., Internal Medicine, Courtesy
John J. Young, M.D., Internal Medicine, Attending
Emily J. Yu, M.D., Physical Medicine and Rehabilitation, Attending
Joseph S. Yu, M.D., Radiology, Attending
Kelley J. Zyniewicz, M.D., Internal Medicine, Courtesy

Limited Medical Staff -- Reappointments (The Ohio State University Medical Center)

July 1, 1997 through June 30, 1999

Cregg D. Ashcraft, M.D., Internal Medicine, PGY2 Limited

July 1, 1997 through June 30, 2000

Patricia J. Meredith, M.D., Ph.D., Physical Medicine and Rehabilitation, PGY3 Limited
Scott M. Otis, M.D., Physical Medicine and Rehabilitation, PGY3 Limited
Robert H. Perkins, M.D., Physical Medicine and Rehabilitation, PGY4 Limited
Deire D. Redd, M.D., Physical Medicine and Rehabilitation, PGY4 Limited
Mark J. Schuler, M.D., Physical Medicine and Rehabilitation, PGY3 Limited
Aloysia L. Schwabe, M.D., Physical Medicine and Rehabilitation, PGY4 Limited
Robin Y. Tomita, M.D., Physical Medicine and Rehabilitation, PGY4 Limited
Patricia A. Welsh, M.D., Obstetrics and Gynecology, PGY3 Limited

July 1, 1998 through June 30, 2000

Christopher J. Madden, M.D., Surgery, PGY4 Limited
Vikram V. Mali, M.D., Pediatrics, PGY3 Limited
Helen J. Malone, M.D., Obstetrics and Gynecology, PGY3 Limited
Sean I. Malone, M.D., Internal Medicine, PGY3 Limited
Tasos Manokas, D.O., Internal Medicine, PGY6 Limited

PERSONNEL ACTIONS (contd)

Limited Medical Staff -- Reappointments (The Ohio State University Medical Center) (contd)

July 1, 1998 through June 30, 2000 (contd)

Guido Marcucci, M.D., Internal Medicine, PGY8 Limited
Rafael Martinez-Monge, M.D., Radiology, PGY7 Limited
Dominic N. Mastruserio, M.D., Internal Medicine, PGY4 Limited
Jennifer R. Mawhinney, M.D., Obstetrics and Gynecology, PGY4 Limited
Judith M. Mayer, M.D., Internal Medicine, PGY2 Limited
Brian J. McGuinness, M.D., Surgery, PGY4 Limited
Autumn J. McIntyre, M.D., Internal Medicine, PGY3 Limited
Cora F. McNally, M.D., Internal Medicine, PGY4 Limited
Ali M. Melhem, M.D., Psychiatry, PGY2 Limited
Daniel P. Metz, M.D., Surgery, PGY2 Limited
Lori D. Meyers, M.D., Anesthesiology, PGY4 Limited
Joseph Mileti, M.D., Surgery, PGY3 Limited
Craig A. Miller, M.D., Surgery, PGY5 Limited
Omar Mobin-Uddin, M.D., Ophthalmology, PGY4 Limited
Francis X. Moga, M.D., Surgery, PGY7 Limited
Kimberly L. Moore, M.D., Emergency Medicine, PGY3 Limited
Steven R. Morman, M.D., Pediatrics, PGY3 Limited
Gregory J. Mosteller, M.D., Emergency Medicine, PGY3 Limited
Steven C. Motarjeme, M.D., Emergency Medicine, PGY3 Limited
Shana N. Miskovsky, M.D., Surgery, PGY2 Limited
Peter Muscarella II, M.D., Surgery, PGY4 Limited
Peter J. Mustillo, M.D., Internal Medicine, PGY3 Limited
Rajiv Nagesetty, M.D., Surgery, PGY2 Limited
Zoran Naumovski, M.D., Internal Medicine, PGY3 Limited
Anterpreet S. Neki, M.D., Internal Medicine, PGY5 Limited
Gayle M. Neufeld, M.D., Psychiatry, PGY4 Limited
Steven K. Neufeld, M.D., Surgery, PGY4 Limited
Julie A. Niedermier, M.D., Psychiatry, PGY4 Limited
Naushad A. Noorani, M.D., Psychiatry, PGY2 Limited
Mia L. Norlin, M.D., Obstetrics and Gynecology, PGY2 Limited
Christina N. Nye, M.D., Ophthalmology, PGY5 Limited
Scott W. Nye, M.D., Surgery, PGY5 Limited
Blaize A. O'Brien, M.D., Otolaryngology, PGY3 Limited
James M. O'Brien, Jr., M.D., Internal Medicine, PGY2 Limited
Elizabeth A. Orwick, M.D., Obstetrics and Gynecology, PGY3 Limited
Michael E. Orzo, M.D., Anesthesiology, PGY4 Limited
Michael R. Ott, D.O., Emergency Medicine, PGY2 Limited
Kerry E. Owens, M.D., Surgery, PGY4 Limited
Anastatsios Pantelidis, M.D., Anesthesiology, PGY4 Limited
Rizwan Pasha, M.D., Emergency Medicine, PGY2 Limited
Christina M. Payne, M.D., Pediatrics, PGY3 Limited
Christopher T. Payne, M.D., Surgery, PGY3 Limited
John W. Peck II, M.D., Surgery, PGY2 Limited
Jennifer J. Pflug, M.D., Internal Medicine, PGY2 Limited
Giao Q. Phan, M.D., Surgery, PGY2 Limited
Stephen M. Pickstone, M.D., Psychiatry, PGY3 Limited
Richard W. Pomerantz, M.D., Internal Medicine, PGY6 Limited
David M. Powell, M.D., Otolaryngology, PGY5 Limited
Jill K. Powell, M.D., Obstetrics and Gynecology, PGY4 Limited
Matthew A. Powell, M.D., Obstetrics and Gynecology, PGY4 Limited
Scott A. Prenger, M.D., Internal Medicine, PGY2 Limited
Rajeev D. Puri, M.D., Surgery, PGY3 Limited
David N. Quinn, M.D., Internal Medicine, PGY3 Limited

PERSONNEL ACTIONS (contd)

Limited Medical Staff -- Reappointments (The Ohio State University Medical Center) (contd)

July 1, 1998 through June 30, 2000 (contd)

Azeem A. Qureshi, M.D., Psychiatry, PGY2 Limited
Fady H. Rachid, M.D., Psychiatry, PGY3 Limited
Abdolreza Raissi, M.D., Surgery, PGY4 Limited
Jeffrey L. Ralston, M.D., Surgery, PGY5 Limited
Yaser Ramadan, M.D., Psychiatry, PGY3 Limited
Subha V. Raman, M.D., Internal Medicine, PGY3 Limited
Leta Ramsay, M.D., Pediatrics, PGY3 Limited
Nancy S. Reau, M.D., Internal Medicine, PGY3 Limited
Daniel N. Reed, D.D.S., Dentistry, PGY2 Limited
Timothy G. Reish, M.D., Surgery, PGY2 Limited
Vernon D. Reynolds, D.O., Anesthesiology, PGY3 Limited
Rod P. Rezaee, M.D., Otolaryngology, PGY3 Limited
Patrick M. Riley, M.D., Emergency Medicine, PGY2 Limited
Andrea D. Roche, M.D., Obstetrics and Gynecology, PGY2 Limited
Barbara M. Rogers, M.D., Anesthesiology, PGY4 Limited
David T. Rudman, M.D., Otolaryngology, PGY4 Limited
Anne M. Ryan, M.D., Surgery, PGY3 Limited
David A. Sabgir, M.D., Internal Medicine, PGY2 Limited
Sanjoy Saha, M.D., Surgery, PGY8 Limited
Dawn M. Salvatore, M.D., Surgery, PGY4 Limited
Anjana Samadder, M.D., Internal Medicine, PGY5 Limited
Milroy J. Samuel, M.D., Obstetrics and Gynecology, PGY2 Limited
Ramesh Saxena, M.D., Ph.D., Internal Medicine, PGY5 Limited
Thomas P. Schleeter, M.D., Internal Medicine, PGY2 Limited
Matthew L. Schlossberg, M.D., Surgery, PGY3 Limited
Tina S. Schnapper, M.D., Obstetrics and Gynecology, PGY4 Limited
Brian R. Sears, M.D., Surgery, PGY5 Limited
Alberto E. Selman, M.D., Obstetrics and Gynecology, PGY7 Limited
Puvinarayanan Seshiah, M.D., Internal Medicine, PGY3 Limited
Bivik Shah, M.D., Surgery, PGY5 Limited
Manish N. Shah, M.D., Emergency Medicine, PGY3 Limited
Manisha H. Shah, M.D., Internal Medicine, PGY7 Limited
Jared A. Sheets, M.D., Internal Medicine, PGY2 Limited
Deborah S. Sherman, M.D., Obstetrics and Gynecology, PGY3 Limited
Chester M. Slonaker, M.D., Pediatrics, PGY3 Limited
Ilona SluskerShternfeld, M.D., Ophthalmology, PGY3 Limited
Dan N. Spetie, M.D., Internal Medicine, PGY4 Limited
Lacramioara Spetie, M.D., Psychiatry, PGY5 Limited
Gregory L. Staker, M.D., Internal Medicine, PGY2 Limited
Scott C. Stallkamp, M.D., Obstetrics and Gynecology, PGY4 Limited
Matthew C. Stevenson, M.D., Psychiatry, PGY4 Limited
Cecilia W. Stuopis, M.D., Obstetrics and Gynecology, PGY3 Limited
Veena Subramanian, M.D., Internal Medicine, PGY2 Limited
Anne C. Sullivan, M.D., Surgery, PGY5 Limited
Patricia E. Sullivan, M.D., Internal Medicine, PGY4 Limited
Ronald M. Taddeo, M.D., Internal Medicine, PGY2 Limited
Bryan C. Tagge, M.D., Otolaryngology, PGY4 Limited
Tom X. Tan, M.D., Radiology, PGY4 Limited
Neeraj H. Tayal, M.D., Internal Medicine, PGY2 Limited
Patricia L. Teach, M.D., Obstetrics and Gynecology, PGY4 Limited
Michael W. Temple, M.D., Pediatrics, PGY3 Limited
Andrew M. Thomas, M.D., Internal Medicine, PGY4 Limited
Jason P. Thomas, M.D., Internal Medicine, PGY5 Limited

PERSONNEL ACTIONS (contd)

Limited Medical Staff -- Reappointments (The Ohio State University Medical Center) (contd)

July 1, 1998 through June 30, 2000 (contd)

Kristin E. Thompson, M.D., Pediatrics, PGY3 Limited
Willard D. Thompson, M.D., Surgery, PGY2 Limited
David L. Tibbits, M.D., Anesthesiology, PGY3 Limited
Tamara C. Togliatti, M.D., Internal Medicine, PGY2 Limited
Tracey L. Trgovac, M.D., Internal Medicine, PGY2 Limited
Hari K. Tumu, M.D., Surgery, PGY3 Limited
Katja R. Turner, M.D., Anesthesiology, PGY4 Limited
H. Scott Tyson, M.D., Pediatrics, PGY3 Limited
Adam C. Tzagournis, M.D., Internal Medicine, PGY6 Limited
Bennie R. Upchurch, M.D., Internal Medicine, PGY6 Limited
Sreeramulu R. Vaka, M.D., Psychiatry, PGY3 Limited
Ravindra K. Vegunta, M.D., Surgery, PGY7 Limited
Daniel R. Vig, M.D., Surgery, PGY5 Limited
Louis A. Violi, M.D., Internal Medicine, PGY8 Limited
Amir Vokshoor, M.D., Surgery, PGY3 Limited
Petra C. Von Kulajta, M.D., Ophthalmology, PGY4 Limited
Olga Voroshilova, M.D., Internal Medicine, PGY5 Limited
Thomas-Evangelo G. Vrachliotis, M.D., Radiology, PGY4 Limited
Joyce D. Wade-Hamme, M.D., Internal Medicine, PGY5 Limited
Raj P. Wadwa, M.D., Pediatrics, PGY3 Limited
Dian Wang, M.D., Ph.D., Radiology, PGY3 Limited
Jin Wang, M.D., Surgery, PGY6 Limited
Robert C. Wang, M.D., Ophthalmology, PGY3 Limited
Jacqueline S. Ward, M.D., Internal Medicine, PGY3 Limited
Grayson, H. Wheatley III, M.D., Surgery, PGY3 Limited
Todd E. Whitaker, M.D., Ophthalmology, PGY3 Limited
Sandra J. Wu, M.D., Internal Medicine, PGY3 Limited
Yun Xia, M.D., Anesthesiology, PGY3 Limited
Nicholas D. Yeager, M.D., Pediatrics, PGY3 Limited
Jeffery K. Yenchar, M.D., Surgery, PGY5 Limited
Melissa D. Young, M.D., Surgery, PGY5 Limited
Thomas M. Younger, Jr., M.D., Internal Medicine, PGY4 Limited
Dina Q. Zaqqa, M.D., Internal Medicine, PGY6 Limited
Michael R. Zetz, Jr., D.D.S., Dentistry, PGY2 Limited
Hong Zhang, M.D., Radiology, PGY5 Limited

Physician Extenders -- Reappointments (The Ohio State University Medical Center)

July 1, 1998 through June 30, 1999

Diana J. Angus, R.N., Internal Medicine, Physician Extenders
Jennifer S. Browning, R.N., Internal Medicine, Physician Extenders
Randy E. James, Cardiology, Physician Extender
Todd D. Kuck, R.N., C.N.P., Internal Medicine, Physician Extenders
Sharon S. Van Cleef, R.N., Surgery, Physician Extenders

July 1, 1998 through June 30, 2000

Tracy A. Hollar-Ruegg, R.N., Internal Medicine, Physician Extenders
Aimi J. Nash, P.A., Surgery, Physician Extenders
Lorie A. Rhine, R.N., Surgery, Physician Extenders

PERSONNEL ACTIONS (contd)

Medical Staff -- Appointments (The Arthur G. James Cancer Hospital and Research Institute)

June 12, 1998 through December 11, 1998

U. Krishnan Marar, M.D., Associate Attending, Medicine
Amy Pope-Harman, M.D., Associate Attending, Medicine
Carol Ashman, M.D., Associate Attending, Radiology
Thomas E. Williams, M.D., Associate Attending, Surgery

July 10, 1998 through June 30, 1999

Clara D. Bloomfield, M.D., Attending, Med/Hem/Onc
Jeffrey Fowler, M.D., Attending, GYN/ONC
Donald Kelley, M.D., Associate Attending, Pathology

July 1, 1998 through June 30, 2000

Julie Mangino, M.D., Associate Attending, Medicine/Inf Diseases
Uma Marar, M.D., Associate Attending, Medicine/Gen Med
Clay Marsh, M.D., Associate Attending, Medicine/Pulmonary
William L. Marsh, Jr., M.D., Associate Attending, Pathology
Edward W. Martin, M.D., Attending, Surgery/Oncology
Larry C. Martin, M.D., Associate Attending, Surgery/General
Thomas F. Mauger, M.D., Associate Attending, Ophthalmology
Ernest Mazzaferri, M.D., Associate Attending, Medicine/Endo
John S. McDonald, M.D., Associate Attending, Anesthesiology
John M. McGregor, M.D., Associate Attending, Surgery/Neuro
Hagop Mekhjian, M.D., Associate Attending, Medicine/Gastro
Scott W. Melvin, M.D., Associate Attending, Surgery/General
Jerry R. Mendell, M.D., Associate Attending, Neurology
Lawrence J. Mervis, M.D., Associate Attending, Surgery/Neuro
Earl N. Metz, M.D., Associate Attending, Medicine/Hem/Onc
Donald F. Middendorf, M.D., Associate Attending, Medicine/Renal
Michael E. Miner, M.D., Ph.D., Associate Attending, Surgery/Neuro
James L. Moore, M.D., Associate Attending, Neurology
Norris S. Nahman, Jr., M.D., Associate Attending, Medicine/Renal
Steven M. Nash, M.D., Associate Attending, Neurology
Richard N. Nelson, M.D., Associate Attending, Emergency Med.
Steven D. Nelson, M.D., Associate Attending, Medicine/Cardio
Gregory M. Ness, D.D.S., Associate Attending, Dentistry
Herbert B. Newton, M.D., Attending, Neurology
Theodore H. Niemann, M.D., Associate Attending, Pathology
Thomas A. Nims, M.D., Clinical Attending, Surgery/Oncology
Thomas M. O'Doriso, M.D., Associate Attending, Medicine/Endo
Kwame Osei, M.D., Associate Attending, Medicine/Endo
Richard O'Shaughnessy, M.D., Associate Attending, OB/GYN
Robert V. O'Toole, M.D., Associate Attending, Pathology
Robert F. Ostrum, M.D., Associate Attending, Surgery/Ortho
Eric R. Pacht, M.D., Associate Attending, Medicine/Pulmonary
George Paulson, M.D., Associate Attending, Neurology
Ronald P. Pelletier, M.D., Associate Attending, Surgery/General
Sam L. Penza, M.D., Attending, Medicine/Hem/Onc
Todd E. Pesavento, M.D., Associate Attending, Medicine/Renal
Larry J. Peterson, D.D.S., Associate Attending, Dentistry
Joseph Plouffe, M.D., Associate Attending, Medicine/Inf Diseases
Charles P. Pollak, M.D., Associate Attending, Neurology
Gary L. Racey, D.D.S., Clinical Attending, Dentistry

PERSONNEL ACTIONS (contd)

Medical Staff -- Reappointments (The Arthur G. James Cancer Hospital and Research Institute)
(contd)

July 1, 1998 through June 30, 2000 (contd)

Gary L. Rea, M.D., Associate Attending, Surgery/Neuro
Margaret A. Real, M.D., Associate Attending, Medicine/Cardio
Thomas E. Reilley, D.O., Associate Attending, Anesthesiology
Chris Rhoades, M.D., Attending, Medicine/Hem/Onc
John L. Robinson, M.D., Associate Attending, Medicine/Cardiology
Patrick Ross, Jr., M.D., Ph.D., Attending, Surgery/Cardiothor
Brad H. Rovin, M.D., Associate Attending, Medicine/Renal
James M. Ryan, M.D., Associate Attending, Medicine/Cardiology
Arthur L. Sagone, M.D., Attending, Medicine/Hem/Onc
Mervyn J. Samuel, M.D., Clinical Attending, OB/GYN
Dwight A. Scarborough, M.D., Clinical Attending, Medicine/Derm
Stephen F. Schaal, M.D., Associate Attending, Medicine/Cardiology
Douglas W. Scharre, M.D., Associate Attending, Neurology
William J. Schirmer, M.D., Associate Attending, Surgery/General
Daniel D. Sedmak, M.D., Associate Attending, Pathology
Donald A. Senhauser, M.D., Associate Attending, Pathology
Niranjan T. Shah, M.D., Associate Attending, Pathology
Hari M. Sharma, M.D., Associate Attending, Pathology
Robert Trent Sickles, M.D., Associate Attending, Family Medicine
Andrew P. Slivka, M.D., Associate Attending, Neurology
Sheldon R. Simon, M.D., Associate Attending, Surgery/Orthopaedic
William L. Smead, M.D., Associate Attending, Surgery/General
Carl E. Speicher, M.D., Associate Attending, Pathology
Michael J. Stanek, D.O., Attending, Medicine/Hem/Onc
Steven Teich, M.D., Community Associate, Surgery/Pediatric
Karl S. Theil, M.D., Associate Attending, Pathology
James C. Tober, M.D., Associate Attending, Surgery/General
Pierre L. Triozzi, M.D., Attending, Medicine/Hem/Onc
Marlin F. Troiano, D.D.S., Associate Attending, Dentistry
Manuel Tzagournis, M.D., Associate Attending, Medicine/Endo
James Ungerleider, M.D., Attending, Medicine/Hem/Onc
Luis Vaccarello, M.D., Clinical Attending, GYN/ONC
Douglas B. Van Fossen, M.D., Associate Attending, Medicine/Cardio
Suneela Vegunta, M.D., Associate Attending, Medicine/Gen Med
John R. Warmolts, M.D., Associate Attending, Neurology
Joel M. Weaver, D.D.S., Associate Attending, Dentistry/Anesthesia
Paul A. Weber, M.D., Associate Attending, Ophthalmology
Harrison G. Weed, M.D., Associate Attending, Medicine/Gen Med
Jeffrey E. Weiland, M.D., Associate Attending, Medicine/Pulmonary
Lawrence D. Weis, M.D., Attending, Surgery/Orthopaedic
Duane B. Welling, M.D., Associate Attending, Otolaryngology
Howard A. Werman, M.D., Associate Attending, Emergency Medicine
Judith A. Westman, M.D., Associate Attending, Medicine/Hem/Onc
Mark D. Wewers, M.D., Associate Attending, Medicine/Pulmonary
Henry A. Wise, M.D., Clinical Attending, Surgery/Urology
Bruce E. Woodworth, M.D., Clinical Attending, Surgery/Urology
Allan J. Yates, M.D., Ph.D., Associate Attending, Pathology

RESOLUTIONS IN MEMORIAM

Resolution No. 99-31

Synopsis: Approval of seven Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

Eleanor Webster Bulatkin-Pardo

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 25, 1998, of Dr. Eleanor Webster Bulatkin-Pardo, Professor Emeritus in the Department of French and Italian.

Dr. Bulatkin-Pardo was born in Baltimore, Maryland, September 2, 1913. She studied at the Maryland Institute of Fine and Applied Arts, and then at Johns Hopkins University, where she obtained her Ph.D. in Romance Philology in 1952. Prior to joining the faculty at The Ohio State University, she taught at the University of Maryland, College Park Campus. From 1959-61, she was a Fulbright scholar at the Seminario Andres Bello of the Instituto Caro y Cuervo in Bogota, Colombia. In 1961, she joined the faculty at The Ohio State University as Associate Professor in the Department of Romance Languages and Literatures. She was promoted to Professor in 1964. From 1966 to 1973, she was chairperson of the Department of Romance Languages and Literatures. She retired in 1976 and was named Professor Emeritus.

Early in her career, Dr. Bulatkin-Pardo was interested mainly in Romance philology. She published several important philological studies that focus on linguistic problems in French, Italian, and Spanish. Later on, she became increasingly interested in medieval French literature. She worked extensively on the early French medieval epic poem, The Song of Roland, and authored a book on that text, Structural Arithmetic Metaphor in the Oxford Roland.

A gifted and dynamic teacher, Dr. Bulatkin-Pardo directed the doctoral dissertations of numerous graduate students, several of whom have become prominent medieval French scholars in major universities in the United States.

On behalf of the University community, the Board of Trustees expresses its sympathy and understanding to her friends. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees as an expression of the Board's heartfelt sympathy.

Francis W. Dalrymple

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 13, 1998, of Francis "Pete" Dalrymple, Assistant Professor Emeritus in the Ohio State University Extension.

Professor Dalrymple was born December 29, 1916, in Mt. Vernon, Ohio. He completed his B.S. degree in 1938 in Agriculture at The Ohio State University.

His Extension career began in Ohio on February 1, 1946, as the County Extension Agent, Agriculture in Morrow County. On January 1, 1968, he became the Area Extension Agent, Resource Development for the Mt. Gilead Area Center. On April 1, 1969, he was named Area Supervisor at Mt. Gilead and held this position until his retirement on June 30, 1973.

Professor Dalrymple's contributions in providing excellent Extension educational programs during his career earned him the respect and admiration of his co-workers and associates throughout the state and nation.

RESOLUTIONS IN MEMORIAM (contd)

Francis W. Dalrymple (contd)

On behalf of the University community, the Board of Trustees expresses its sympathy and understanding to his family and friends. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees as an expression of the Board's heartfelt sympathy.

John E. Moore

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 24, 1998, of John E. Moore, Professor Emeritus in the Department of Agricultural, Environmental, and Development Economics.

Dr. Moore was born in Fountain City, Indiana, on September 7, 1919. He received his Bachelor of Science, Master of Science, and Doctor of Philosophy degrees from The Ohio State University in 1941, 1954, and 1966, respectively.

Professor Moore was a vo-ag teacher at Ansonia High School, prior to joining the Ohio Cooperative Extension Service in 1947. He served as the Madison County Agricultural Agent for 17 years. Following his years as County Agent, he was the State Farm Management Extension Specialist for an additional 17 years. For his work in these areas, Dr. Moore was awarded the Distinguished Service Award by the National Association of County Extension Agents. He also received The Ohio State University Meritorious Service Award from the College of Food, Agricultural, and Environmental Sciences in 1996.

His many professional memberships included the State and National Society of Farm Managers, American Farm Economic Association, State Extension Worker's Association, Gamma Sigma Delta, and Epsilon Sigma Phi. He was past president of the Ohio County Agents Association, Epsilon Sigma Phi, and the London, Ohio, Rotary Club.

Dr. Moore was well-known and highly respected by his colleagues, farm management and tax professionals, and farm families throughout the State of Ohio.

On behalf of the University, the Board of Trustees expresses to the family its deep sympathy and sense of understanding in its loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Margaret Oldfather

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 5, 1998, of Margaret Oldfather, Professor Emeritus in the University Libraries.

Professor Margaret Oldfather was born in Evanston, Illinois, March 20, 1904. She obtained her Bachelor of Arts, Bachelor of Science in Library Science, and Master of Arts degrees from the University of Illinois. She held positions of increasing responsibility, all in Cataloging Departments of University Libraries, throughout her career. She worked at three different institutions -- Lawrence College (1928-1929), University of Illinois (1946-1951), and The Ohio State University (1932-1945, 1951-1971). She was the head of the Cataloging Department at OSU from 1964-1971 and became Professor of Library Administration in 1965. She also served as acting Assistant Director of Technical Services, University Libraries, in 1968.

Professor Oldfather was active in library organizations on the state, regional, and national levels, including the Ohio Library Association, the Ohio Valley Group of Technical Services Librarians, and the American Library Association. She was known as a leader, a teacher, and a mentor in the field of cataloging.

RESOLUTIONS IN MEMORIAM (contd)

Margaret Oldfather (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Professor Margaret Oldfather its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Esco Sarkkinen

The Board of Trustees of The Ohio State University expresses its sorrow upon the death of Esco Sarkkinen, Assistant Professor Emeritus in the School of Physical Activity and Educational Services.

Professor Sarkkinen was born in Conneaut, Ohio, on April 9, 1918. He attended The Ohio State University, where he played football in 1937-1939. He won All-American honors and was Big Ten Scholar Athlete of the year in 1939, playing on the Big Ten championship team. He earned his B.S. degree with honors in physical education in 1940.

Professor Sarkkinen accepted the position of assistant football coach and instructor of physical education at OSU in 1946. Possessing a vast amount of football knowledge and a keen analytical mind, he was an extremely valuable member of the Buckeye staff. Over the years he became known on the staff as "the super-scout." His dedication and work ethic were renowned, he spent countless hours studying film, analyzing blocking techniques, fakes, footwork and so forth. In 1965, he was appointed to an assistant professor position, and continued to serve an additional 13 years in his roles as assistant professor and coach. Upon his retirement in 1977, Professor Sarkkinen's commitment to the mission of the School and University earned him the title of Assistant Professor Emeritus.

Coach Sarkkinen's true forte was his rapport with his students and players. He became personally involved and was a great motivator and teacher. He taught young men not only how to be better football players, but better people.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Sarkkinen its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

William B. Toran

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 14, 1998, of Dr. William B. Toran, Professor Emeritus in the School of Journalism and Communication.

Dr. Toran, born on April 30, 1917, was reared in Cincinnati. He earned the A.B. in English at the University of Cincinnati in 1939, the M.A. in English at the University of Kentucky in 1942, and the Ph.D. in Mass Communications at the University of Iowa in 1957.

He was an instructor in rhetoric at the University of Illinois 1942-43 and 1946-47, instructor in English at the University of Kentucky 1947-49, assistant professor of English at Miami University of Ohio 1949-52, government and medical correspondent for the Cedar Rapids (Iowa) *Gazette* 1952-55, supervisor of community relations and manager of communications at the Quaker Oats Co. in Chicago 1955-65, and public relations director for the Transportation Center of Northwestern University 1965-68. In World War II, he was a U.S. Army communication specialist in intelligence in Europe.

Dr. Toran joined the faculty in the OSU School of Journalism in 1968 and taught public relations and magazine journalism. He led or served on many standing committees and ad hoc committees in the School and served on many college and University committees, including 12 doctoral committees. He was faculty adviser to two student organizations, the OSU Chapter of Kappa Tau Alpha, the journalism honorary, 1968-73, and the OSU Chapter of the Society of Professional Journalists, Sigma Delta Chi, 1994-98.

RESOLUTIONS IN MEMORIAM (contd)

William B. Toran (contd)

His research, which emphasized public relations, was published in academic and trade journals and was presented at scholarly conventions. Dr. Toran served on 12 committees and in every officer position, including president in 1975-76, for the Public Relations Division of the Association for Education in Journalism and Mass Communication. He conducted workshops, training programs and other presentations in public relations for many national, state and local organizations.

He accepted professor emeritus status in 1987, but remained active in the School as liaison with SPJ, including membership on the board of directors of the Central Ohio Professional Chapter and as National SPJ Freedom of Information Coordinator for the State of Ohio. Since he was retired, he also was active in "Anvil and Bellows" lunch group of emeriti faculty, and its successor groups, at the Faculty Club.

He will be remembered as a gifted teacher, a tireless promoter of press freedom and ethics, a devoted scholar, and dear friend to the faculty, staff and students in the School and University.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. William B. Toran its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of this Board's heartfelt sympathy.

Robert Young

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 14, 1998, of Dr. Robert Young, Professor Emeritus in the Department of Family Medicine.

Dr. Young had a long and distinguished medical career which included service to his community, his profession, and his University. He graduated from The Ohio State University College of Medicine in 1947 and completed his internship at Indianapolis City Hospital. In 1948, Dr. Young established practice in Johnstown, Ohio, and practiced there for 43 years until retiring in 1991. Dr. Young was a longtime member of the Ohio Academy of Family Physicians and in 1970 became its president. Dr. Young was bestowed the Ohio Academy's highest honor in 1975 when he was named Family Physician of the Year. He was also an officer of the American Academy of Family Physicians, serving as board member from 1978-1981 and as treasurer from 1983-1987. Those with knowledge of Ohio's family practice history are well aware of Dr. Young's critical role in securing its future. His legacy includes the State line-item funding for family practice departments. He was the key force in convincing the 1973-74 Ohio General Assembly to pass a bill requiring funding and training for undergraduates and graduates. He became a part-time faculty member in the OSU Department of Family Medicine when it was founded in 1974 and a full professor in 1981, and later Professor Emeritus.

A true small town physician, Dr. Robert Young was a friend to all. He was a script consultant for the Dr. Marcus Welby television show. In his own community, he was involved in many civic organizations. He also served as team physician during Johnstown football games and was recognized as a driving force behind Johnstown's emergency squad service and protocols.

As an educator, Robert Young was active on many fronts. He was a mentor to many family physicians. His dedication to his students and family medicine was apparent, especially to those whom he taught. Anthony Foley, M.D., a family practice educator in Dayton, wrote, "Bob was there for us on his own time [at The Ohio State University] on Tuesday nights in the late '60's. We saw patients with him in an ad hoc clinic he organized in Means Hall. Several of us rotated in his Johnstown office as well. I chose family practice as a career because of him. He was the best."

RESOLUTIONS IN MEMORIAM (contd)

Robert Young (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Robert Young its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-32

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for July 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of July 1998 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-33

Synopsis: The report on the receipt of gifts and the summary for June 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Dave Longaberger Endowed Chair in Urology and The Richard W. Trott '61 Distinguished Visiting Professorship; and

WHEREAS this report also includes the establishment of seventeen (17) new named endowed funds and amendments to two (2) endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of June 1998 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

TOTAL UNIVERSITY PRIVATE SUPPORT

July-June

1996-97 Compared to 1997-98

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through June		
	1996-97	1997-98	%
Change			
Individuals:			
Alumni (Current Giving)	\$ 22,201,609	\$ 17,829,461	-20%
Alumni (From Bequests)	10,545,423	7,241,544	-31%
Alumni Total	\$ 32,747,032	\$ 25,071,005	-23%
Non-Alumni (Current Giving)	\$ 12,727,687	\$ 11,341,068	-11%
Non-Alumni (From Bequests)	2,584,504	7,951,801	208%
Non-Alumni Total	\$ 15,312,191	\$ 19,292,869	26%
Individual Total	\$ 48,059,223	\$ 44,363,874	-8% ^A
Corporations/Corp. Foundations	\$ 52,564,179	\$ 64,327,641	22% ^B
Private Foundations	\$ 13,989,991	\$ 14,073,199	1%
Associations & Other Organizations 16% ^C	\$ 14,426,743	\$ 16,666,841	
Total	\$129,040,136	\$139,431,555	8%

GIFT RECEIPTS BY DONOR TYPE

NOTES

- A Overall individual giving this year was down \$3.7 million (8% decrease) from last year's record of \$48.1 million. Alumni gave \$7.6 million less (23% decrease) in 1997-98 than in 1996-97. This was largely due to one estate gift of nearly \$8 million that was received in 1996-97. Non-alumni giving was about \$4 million ahead of last year (26% increase). The largest gift from a non-alumnus was \$1.6 million.
- B Private support from corporations was up 22% during 1997-98. Corporations gave \$11.7 million more in 1997-98 than 1996-97 for a record total of \$64.3 million.
- C This year 230 associations or other organizations gave \$10,000 or more (\$15.2 million). During 1996-97, 200 associations or organizations gave at this level for a total of \$13.4 million.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-June (contd)

1996-97 Compared to 1997-98 (contd)

GIFT RECEIPTS BY PURPOSE

		Dollars July through June		
	1996-97	1997-98		%
Change				
Gift Receipts to Current Use & Endowment Funds:				
Buildings/Equipment	\$ 12,572,044	\$ 19,643,926		56%
Faculty Support	\$ 7,884,522	\$ 11,463,620		45%
Program Support	\$ 88,605,444	\$ 84,189,066		-5%
Student Financial Aid	\$ 10,151,406	\$ 14,143,753		39%
Annual Funds-Colleges/ Departments	\$ 8,078,061	\$ 8,258,80		42%
Annual Funds-University	\$ 1,748,659	\$ 1,732,386		-1%
Total	\$ 129,040,136	\$139,431,555		8%

GIFT ADDITIONS TO ENDOWMENT

		Dollars July through June		
	1996-97	1997-98		%
Change				
	\$37,156,237	\$34,751,583		-6%

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	Previous Gifts	Current Gifts	Total Gifts
Establishment of Endowed Professorship			
The Richard W. Trott '61 Distinguished Visiting Professorship (Support for Visiting Professor - Knowlton School of Architecture - provided by gifts from the estate of Richard W. Trott, his family, friends and colleagues).	\$500,042.34		\$500,042.34
Establishment of Endowed Named Funds			
The Harold Richard Scheufler and Eleanor Louise Scheufler Fund (Research - Arthur G. James Cancer Hospital and Research Institute - provided by a gift from the estate of Eleanor Louise Scheufler).		\$1,408,091.38	\$1,408,091.38
Frederick W. Peters Stadium Scholarship Dorm Fund (Program support for the Stadium Scholarship Dormitory - provided by a gift from the estate of Frederick W. Peters).		\$92,939.75	\$92,939.75
David and Michele Meeker Scholarship in Music (Scholarships - School of Music - provided by gifts from Michele Meeker and friends in memory of David Meeker).		\$25,108.93	\$25,108.93
Dr. Irving B. and Jeanne Tapper Scholarship Fund (Scholarships - College of Dentistry - provided by a gift from Irving B. and Jeanne Tapper).		\$25,000.00	\$25,000.00
Hardin County Alumni Scholarship Endowment Fund (Scholarships for graduates of a Hardin County, Ohio high school - provided by gifts from the Hardin County Alumni Club, alumni and friends).	\$20,000.00		\$20,000.00
The Greater Cincinnati Alumni Scholarship Endowment Fund (Scholarships to graduates from a Greater Cincinnati high School - provided by gifts from the Greater Cincinnati Alumni Club, alumni and friends).	\$18,858.39		\$18,858.39

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	Previous Gifts	Current Gifts	Total Gifts
Establishment of Endowed Named Funds (contd)			
The Mechanical Engineering Alumni Society Scholarship Fund (Scholarships - Department of Mechanical Engineering - provided with gifts from the Mechanical Engineering Alumni Society)	\$18,331.31		\$18,331.31
The Suguru Furuichi Memorial Scholarship Fund (Scholarships to OSU students from Japan - provided by gifts from friends and colleagues in memory of Suguru Furuichi)	\$15,000.00		\$15,000.00

Change in Description of Named Endowed Fund

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	Previous Gifts	Current Gifts	Total Gifts
Establishment of Endowed Chair			
The Dave Longaberger Endowed Chair in Urology (Support for a Chair in Urology - College of Medicine and Public Health - provided by gifts from The Longaberger Foundation).		\$1,500,000.00	\$1,500,000.00
Establishment of Endowed Named Funds			
The William G. and Ernestine R. Lowrie Endowment Fund for Chemical Engineering Excellence (Program support for the Department of Chemical Engineering - provided by gifts from William G. and Ernestine R. Lowrie).		\$199,929.24	\$199,929.24

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	Previous Gifts	Current Gifts	Total Gifts
Establishment of Endowed Named Funds (contd)			
The Franklin W. Marquis and Mary Lee Marquis Scholarship Fund (Scholarships - College of Engineering - provided by a gift from the estate of Mary Lee Marquis).		\$150,000.00	\$150,000.00
The Warren Brown Endowed Scholarship Fund (Scholarships for OSU Marion Campus Students - provided by a gift from the Warren Brown Family Foundation).		\$100,000.00	\$100,000.00
The Gudis Family Endowed Scholarship Fund in the Max M. Fisher College of Business (Scholarships - Max M. Fisher College of Business - provided by gifts from Malcolm and Karen Gudis and the Gudis Family).		\$100,000.00	\$100,000.00
Fashion Group International of Columbus Endowment for Cancer Rehabilitation Services (Support for cancer rehabilitation services at the Arthur G. James Cancer Hospital and Research Institute - provided by a gift from Fashion Group International).		\$31,000.00	\$31,000.00
The Medical Class of 1957 Scholarship Fund (Scholarships - College of Medicine and Public Health - provided by gifts from College of Medicine and Public Health alumni of the class of 1957).		\$28,018.75	\$28,018.75
The Walter E. Mitchell, Jr., Fund (Support for planetarium and Astronomy education at OSU - provided by gifts from Walter E. Mitchell, Jr., and others).		\$25,268.52	\$25,268.52
The Merrill I. and Michael H. Baumgardner Endowment Fund (Unrestricted Gift to the University - provided by a gift from Michael H. Baumgardner in memory of his father, Merrill I. Baumgardner).		\$25,000.00	\$25,000.00
Change in Description of Named Endowed Fund			
The Kent Distinguished Scholarship Fund			
Total	\$572,232.04	\$3,710,356.57	\$4,282,588.61

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

ESTABLISHMENT OF ENDOWED PROFESSORSHIP

The Richard W. Trott '61 Distinguished Visiting Professorship

WHEREAS The Richard W. Trott Professorship Fund was established April 5, 1991, with gifts to The Ohio State University Development Fund from the estate of Richard W. Trott (B.Arch. '61), his family, friends and colleagues in tribute to his memory; and

WHEREAS the funding has now reached the level required; and

WHEREAS the name and description of the fund are being revised:

NOW THEREFORE

BE IT RESOLVED, that "The Richard W. Trott Professorship Fund" be changed to "The Richard W. Trott '61 Distinguished Visiting Professorship." The annual income shall be used to support a Distinguished Visiting Professor recommended by the Director of the Knowlton School of Architecture.

The Trott Professorship will be used to bring internationally acclaimed architects, landscape architects and planners to the Knowlton School of Architecture for one or more quarters. While in residence, the Trott Professor will teach design studios, conduct seminars and/or lecture courses, interact with faculty and students, and will make at least one public presentation.

Richard W. Trott graduated summa cum laude from The Ohio State University in 1961. Throughout his career, Mr. Trott made extraordinary contributions toward raising the standards of excellence in architectural design and to the integration of art and design. He was an exceptional designer who was always receptive to new ideas. For his efforts, he received numerous design awards including the Governor's Award for Contributions to the Fine Arts and was elected to the College of Fellows of the American Institute of Architects. Mr. Trott was the co-designer of the Wexner Center for the Arts which is his greatest professional legacy to The Ohio State University.

\$500,042.34
(Grandfathered)

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The Harold Richard Scheufler and Eleanor Louise Scheufler Fund

The Harold Richard Scheufler and Eleanor Louise Scheufler Fund was established September 2, 1998, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from the estate of Mrs. Eleanor L. Scheufler of Baltimore, Maryland, in memory of the late Harold Richard Scheufler, B.S.Ed. '20.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the basic research of The Arthur G. James Cancer Hospital and Research Institute as approved by the Director and the Vice President for Health Sciences with an emphasis on assisting the work of young scientists in every field of cancer research.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Harold Richard Scheufler and Eleanor Louise Scheufler Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

\$1,408,091.38

Frederick W. Peters Stadium Scholarship Dorm Fund

The Frederick W. Peters Stadium Scholarship Dorm Fund was established September 2, 1998, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from the estate of Frederick W. Peters (B.S.Bus.Adm. '36) of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide assistance which enables the Stadium Scholarship Dormitory or its cooperative scholarship housing successor to attract outstanding eligible students and to retain their residency; to support the implementation of the Stadium Scholarship Dormitory's virtues of scholarship, cooperation and community spirit; and to create and promote a positive, supportive and thriving educational community of Dormitory residents and alumni. Recommendations for the expenditures of income shall be made by the Board of Governors of the Stadium Scholarship Dormitory Alumni Society and approved by the director of Residence and Dining Halls.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate administrative officer responsible for Stadium Scholarship Dormitory and The Stadium Scholarship Dormitory Alumni Society, in order to carry out the desire of the donors.

\$92,939.75

David and Michele Meeker Scholarship in Music

The David and Michele Meeker Scholarship in Music was established September 2, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Michele Meeker (B.A. Arts 1978; M.A. Pub. Policy & Mgt. 1983) and friends in memory of David Meeker, Director and Professor Emeritus of the School of Music.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Eighty percent (80%) of the annual income shall be made available to provide scholarships to undergraduate music majors, with preference given to music education students. Recipients will be selected by the Director of the School of Music in consultation with the University Committee on Student Financial Aid. The scholarships will be awarded annually and may be renewed at the discretion of the selection committee. The remaining 20% of the annual income shall be returned

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

David and Michele Meeker Scholarship in Music (contd)

to the principal. If there are no candidates in any given year, all earnings shall be added to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income shall be used for purposes similar to those specified by the donors, as directed by the Board of Trustees of The Ohio State University, in order to carry out the desire of the donors.

\$25,108.93

Dr. Irving B. and Jeanne Tapper Scholarship Fund

The Dr. Irving B. and Jeanne Tapper Scholarship Fund was established September 2, 1998, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Dr. Irving B. (D.D.S. '35) and Jeanne Tapper.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships to students with financial need who are native residents of Ohio. Scholarship recipients shall be selected by the College of Dentistry in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$25,000.00

Hardin County Alumni Scholarship Endowment Fund

The Hardin County Alumni Scholarship Endowment Fund was established September 2, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the Hardin County Alumni Club, individual alumni and friends.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall used to provide scholarship support under the guidelines of the Alumni Scholars Program for one or more qualified graduates of a Hardin County, Ohio, high school. The Hardin County Alumni Club will recruit, interview and rank applicants, and recommend recipients to the University. The final selection will be made by the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Hardin County Alumni Scholarship Endowment Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the University Office of Student Financial Aid and the Hardin County Alumni Club in order to carry out the desire of the donors.

\$20,500.00 (Grandfathered)

The Greater Cincinnati Alumni Scholarship Endowment Fund

The Greater Cincinnati Alumni Scholarship Endowment Fund was established September 2, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from The Greater Cincinnati Alumni Club, alumni and friends.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support under the guidelines of the Alumni Scholars Program for one or more qualified graduates of a Greater Cincinnati, Ohio, high school. The Greater Cincinnati Alumni Club will recommend recipients. The final selection will be made by the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$18,858.39 (Grandfathered)

The Mechanical Engineering Alumni Society Scholarship Fund

The Mechanical Engineering Alumni Society Scholarship Fund was established September 2, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the Mechanical Engineering Alumni Society's membership.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall provide one or more scholarships to deserving undergraduates in Mechanical Engineering. Selection will be made by the chairperson of the Department of Mechanical Engineering with assistance from the College of Engineering Scholarship Committee and the University Committee on Student Financial Aid. The chairperson will inform the Mechanical Engineering Alumni Society of the use of each year's income.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Mechanical Engineering Alumni Society Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of The Mechanical Engineering Alumni Society.

\$18,331.31 (Grandfathered)

The Suguru Furuichi Memorial Scholarship Fund

The Suguru Furuichi Memorial Scholarship Fund was established September 2, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from friends and colleagues in memory of Suguru Furuichi, (Ph.D., Physical Education, 1980) and Ohio State Volleyball Coach, 1976-78.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for undergraduate or graduate students from Japan who are studying at The Ohio State University. Students will be selected upon the recommendation of the Office of International Education at Ohio State, and in consultation with the University Committee on Student Financial Aid. Preference shall be given to a student who attended Waseda University or majored in Physical Education.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate program administrative officer in order to carry out the desire of the donors.

\$15,000.00 (Grandfathered)

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund was established April 6, 1984, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from family and friends, in honor of Grace Tresch Henderson, (B.S.H.E. '29), and Dorothy Hammans Henderson, a former faculty member in the College of Human Ecology. The fund description was revised September 2, 1998.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income to \$750 is to be used to provide one or more scholarships in the name of Grace T. Henderson for sophomore, junior, or senior undergraduate students enrolled in the College of Human Ecology who best exemplify the academic excellence and professional leadership characteristics of Mrs. Henderson. The recipient must be a former 4-H member from Ohio with

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND (contd)

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund (contd)

preference going to students from Southeastern Ohio, with applicants from Noble, Harrison, Jackson, and Washington Counties receiving highest priority. The selection of the recipients shall be made by the College of Human Ecology selection committee in consultation with the University Committee on Student Financial Aid.

The income in excess of \$750 shall be used to provide at least one scholarship in the name of Dorothy H. Henderson. The recipient shall be a sophomore, junior or senior student in the College of Human Ecology, with preference given to those students majoring in Consumer and Textile Sciences, or its successor. The selection of the recipients shall be made by the College of Human Ecology selection committee in consultation with the University Committee on Student Financial Aid.

If the need for this fund should cease to exist or so diminish as to provide unused income, then the income from the fund may be used for any purpose whatsoever as determined by the Board of Trustees with special consideration given to recommendations by the Dean of the College of Human Ecology in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED ENDOWED CHAIR

The Dave Longaberger Endowed Chair in Urology

The Dave Longaberger Endowed Chair in Urology was established September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Longaberger Foundation of Newark, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide for a Chair position providing salary and program support for the work of a distinguished urologist whose focus will include the advancement of medical knowledge through research and treatment in urological diseases with preference given to urologists with interest in oncology. The position shall be held by a nationally eminent faculty member as recommended by the Dean of the College of Medicine and Public Health in consultation with the Director of The Arthur G. James Cancer Hospital and Research Institute and with the Vice President for Health Sciences. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Medicine and Public Health, the Director of The Arthur G. James Cancer Hospital and Research Institute and with the Vice President for Health Sciences in order to carry out the desire of the donor.

\$1,500,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The William G. and Ernestine R. Lowrie Endowment Fund for
Chemical Engineering Excellence

The William G. and Ernestine R. Lowrie Endowment Fund for Chemical Engineering Excellence was established September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from William G. (B.Ch.E. '66) and Ernestine R. Lowrie.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to advance the quality of Chemical Engineering educational and research programs. The income will not be used for scholarships or graduate fellowships. The Department of Chemical Engineering Chair will be responsible for allocating these discretionary earnings in ways to enhance the department. The department will report the use of the funds to the donors in July of each year.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of Engineering in order to carry out the desire of the donors.

\$199,929.24

The Franklin W. Marquis and Mary Lee Marquis Scholarship Fund

The Franklin W. Marquis and Mary Lee Marquis Scholarship Fund was established September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift to The Ohio State University Foundation from the estate of Mary Lee Marquis (B.M.E. '40).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used by the College of Engineering to support deserving undergraduates. Students selected as Franklin W. Marquis and Mary Lee Marquis Scholars will be eligible for renewal pending review of their academic progress. The dean of the College of Engineering will be responsible for administering these scholarships in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$150,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Warren Brown Endowed Scholarship Fund

The Warren Brown Endowed Scholarship Fund was established September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift of \$100,000 from the Warren Brown Family Foundation of Marion, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships to deserving students of merit who are attending The Ohio State University at Marion. Selection of the scholarship recipients is to be made upon the recommendation of The Ohio State University Marion Campus Scholarship Committee in consultation with the Dean and Director of the Marion Campus and with The University Committee on Student Financial Aid. Preferences shall be given to those students of sophomore or higher rank who are pursuing studies in business administration, preferably with an emphasis in marketing or finance. Criteria shall include a minimum overall GPA of 3.0 or record reflecting high academic potential, scholastic ability, and financial need.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Dean and Director of The Ohio State University at Marion, in consultation with the Director of Financial Aid, in order to carry out the desire of the donors.

\$100,000.00

The Gudis Family Endowed Scholarship Fund in
The Max M. Fisher College of Business

The Gudis Family Endowed Scholarship Fund in The Max M. Fisher College of Business was established as a permanently endowed fund on September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Malcolm and Karen Gudis and the Gudis Family for the support of students in The Max M. Fisher College of Business at The Ohio State University.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The income from, but not the principal of the fund, shall be used in support of students in The Max M. Fisher College of Business at The Ohio State University. Income available for distribution shall be determined under the standard spending rule for endowments as determined by the Board of Trustees of The Ohio State University.

The Max M. Fisher College of Business shall compile a list of those special students who would be unable to afford multiple years of tuition at The Ohio State University. It is the intent of the Gudis Family that the scholarship(s) support worthy individuals who will make positive contributions to society in the future. Nominees for the scholarship(s) shall be selected from applications of undergraduate students, without restriction as to their academic year, on the basis of their overall profile, including scholarship, leadership, community service, financial need, outstanding citizenship and other indications of merit. There will not be any restrictions with regard to ethnicity or geographic origin. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Gudis Family Endowed Scholarship Fund in
The Max M. Fisher College of Business (contd)

If available, the Gudis Family will select a family member with whom The Max M. Fisher College of Business will consult in determining Gudis Scholar(s). Mark Gudis shall be the initial designee.

Each scholar shall receive a one-year scholarship. The Gudis Family designee, if available, will be consulted on the number of scholarship(s) to be awarded and size of each scholarship.

It is the desire of the Gudis Family that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Gudis Family, and with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

\$100,000.00

Fashion Group International of Columbus Endowment
for Cancer Rehabilitation Services

The Fashion Group International of Columbus Endowment for Cancer Rehabilitation Services at The Arthur G. James Cancer Hospital and Research Institute was established September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Fashion Group International of Columbus, Inc.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer rehabilitation services with preference given to economically disadvantaged women recovering from breast cancer at The Arthur G. James Cancer Hospital and Research Institute at The Ohio State University as approved by the Director of The Arthur G. James Cancer Hospital and Research Institute and the Vice President of Health Sciences. Any unused income shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and Research Institute and the Vice President of Health Sciences in order to carry out the desire of the donor.

\$31,000.00

The Medical Class of 1957 Scholarship Fund

The Medical Class of 1957 Scholarship Fund was established September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from the College of Medicine and Public Health alumni of the class of 1957.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Medical Class of 1957 Scholarship Fund (contd)

The annual income shall be used to provide one or more scholarships to deserving students enrolled in the College of Medicine and Public Health. The selection of the recipient(s) shall be made based on academic merit and financial need, and at the recommendation of the Dean of the College of Medicine and Public Health in consultation with the University Committee on Student Financial Aid. Any unused income shall be returned to the principal at the end of each fiscal year.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$28,018.75

The Walter E. Mitchell, Jr., Fund

The Walter E. Mitchell, Jr., Fund was established September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Walter E. Mitchell, Jr., a former professor of Astronomy and others.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support planetarium education at The Ohio State University and to support undergraduate education in Astronomy at the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. The Spitz Planetarium located in Smith Laboratory on The Ohio State University campus is the primary asset to use in planetarium education. Should this planetarium cease to exist on campus, then the annual income shall be used for undergraduate Astronomy education at Ohio State and for public outreach to enhance the understanding of Astronomy in Central Ohio.

\$25,268.52

The Merrill I. and Michael H. Baumgardner Endowment Fund

The Merrill I. and Michael H. Baumgardner Endowment Fund was established September 2, 1998, by Michael H. Baumgardner (B.S. 1974, M.A. 1976, Ph.D. 1978, all Psychology) in memory of his father, Merrill I. Baumgardner, through gifts to The Ohio State University Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income, as defined by University policy, shall be unrestricted and the use determined by the President of The Ohio State University, in association with the Board of Trustees, to support projects, programs, and initiatives of greatest need to the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND

The Kent Distinguished Scholarship Fund

The Kent Distinguished Scholarship Fund was established February 4, 1993, by the Board of Trustees of The Ohio State University through funds received by the University from The Ohio State University Foundation, which has established an endowed fund with an initial gift from Ralph Kent (B.S. Accounting, 1937) and Betty Kent (w. 1939), Harbor Springs, Michigan, and Longboat Key, Florida. The description was revised September 2, 1998.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income and principal, if needed, as provided by the Foundation shall be used to provide merit scholarships for Kent Distinguished Scholars in Accounting. It is anticipated that the endowment principal, when fully funded, will provide each year sufficient distributable income as determined by the University to fund Distinguished Scholarships for five students annually on a continuing basis.

Recipients shall be students majoring or planning to major in accounting. Annually, any prior year's income which was not awarded shall be added to the fund's principal. It is the desire of the donors that students be selected as freshmen and continued through their senior year so long as they continue as Distinguished Scholars and continue in accounting. Kent Distinguished Scholars may be funded for up to three additional quarters at Ohio State (during the year following the completion of the bachelor's degree) if enrolled in a Master of Accounting program that would allow them to meet the requirement to sit for the CPA examination. The amount of the award will equal in-state graduate tuition plus a stipend to equate the additional amount awarded other Distinguished Scholars.

It is the hope of the donors that recipients will give strong consideration to the accounting honors program and accounting internships, especially the internships offered by Ernst & Young. The scholarship will be administered by the Department of Accounting and Management Information Systems in cooperation with the University Honors Center and in consultation with the University Committee on Student Financial Aid. The Dean of the Fisher College of Business will have a continuing oversight role on the operation of the Kent Scholarship program.

Kent Distinguished Scholars shall be selected through the University Initiative to recruit National Merit and National Achievement Scholars. Once these Scholars have confirmed acceptance at Ohio State, a Kent Scholar shall be named from the pool of candidates based on the above criteria. Should the recipient maintain eligibility as a Distinguished Scholar, but change from accounting, then the University shall fund the student from other sources and a new Kent Distinguished Scholar would be named. Each year, any unused income shall be transferred to the endowment principal.

The merit scholarship initiative is the result of recommendations from the 1990 University Task Force on Undergraduate Recruitment and the 1990-91 Undergraduate Recruitment Implementation Steering Committee to the Senior Vice President and Provost. Students shall be selected based upon the criteria sanctioned by the Senior Vice President and Provost.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

MODIFICATIONS OF INVESTMENTS POLICIES

Resolution No. 99-34

Synopsis: Revision of the University's Investments Policies is proposed.

WHEREAS the Board of Trustees, from time to time, has adopted specific policies governing various aspects of the management of the investment portfolios; and

WHEREAS there is a need for comprehensive policies to provide for the overall management of the investment portfolios; and

WHEREAS there is need to modify the comprehensive policies on occasion:

NOW THEREFORE

BE IT RESOLVED, That the following Investments Policies for The Ohio State University be adopted by the Board of Trustees: Endowment Fund Investments Policy; Total Return Operating Fund Investments Policy; and Operating Fund Investments Policy; and

BE IT FURTHER RESOLVED, That the Treasurer be, and hereby is, directed and authorized to implement and administer these policies and to manage the investment portfolios in accordance with these Policies.

(See Appendix X for background information, page 277.)

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 99-35

April - June, 1998

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Administration, or for the Hospitals of the University and their related facilities, the Associate Vice President for Health Services and Executive Director of University Hospitals, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Administration has submitted a report on waivers of competitive bidding requirements granted for the period of April - June, 1998; and

WHEREAS during the period covered, the Vice President for Business and Administration, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 34 waivers of competitive bidding requirements for annual purchases totaling approximately \$25,573,300 including approximately \$19,662,500 for merchandise for resale by various University departments, as shown on the enclosed exhibit:

WHEREAS during the period covered, the Associate Vice President for Health Services and Executive Director of University Hospitals, at the requests of the Hospitals of the University and their related facilities making purchases, granted 46 waivers of competitive bidding requirements for annual purchases totaling approximately \$15,658,400, as shown on the enclosed exhibit:

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)

April - June, 1998

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of April - June 1998, is hereby accepted.

(See Appendix XI for background information, page 295.)

REPORT OF AWARD OF CONTRACTS

Resolution No. 99-36

**DOAN HALL – LABOR AND DELIVERY UNIT
LINCOLN AND MORRILL TOWERS – FIRE ALARM REPLACEMENT**

Synopsis: Acceptance of the report of award of contracts and establishment of contingency funds for the Doan Hall – Labor and Delivery Unit and Lincoln and Morrill Towers – Fire Alarm Replacement projects is requested.

WHEREAS resolutions adopted by the Board of Trustees on November 3, 1995 and May 1, 1998 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

(* Recommended alternates included in these amounts)

Doan Hall – Labor and Delivery Unit

This project completes approximately 19,000 square feet of shelled space and renovates approximately 12,500 square feet of existing space on the sixth and seventh floors of Doan Hall to create one contiguous labor and delivery unit, including labor/delivery/recovery rooms, cesarean section rooms, and related staff and patient support functions. The total project cost is \$4,906,850; funding is provided by University Hospitals. The completion date is June 1999. The contracts awarded are as follows:

Design: NBBJ, Columbus, Ohio

General Contract:	The Altman Company, Columbus, Ohio
Amount:	\$2,082,635 *
Estimate:	\$2,453,174 *
Plumbing Contract:	Mandeville/Croson (AJV), Columbus, Ohio
Amount:	\$569,848 * +
Estimate:	\$459,550 * +
HVAC Contract:	J. A. Croson, Columbus, Ohio
Amount:	\$729,500 * +
Estimate:	\$599,275 * +
Electric Contract:	Royal Electric, Columbus, Ohio
Amount:	\$593,800 *
Estimate:	\$612,175
Total All Contracts:	\$3,975,783 *
Contingency Allowance:	\$397,578
Total Project Cost:	\$4,906,850

+ The Plumbing and HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

REPORT OF AWARD OF CONTRACTS (contd)

Lincoln & Morrill Towers – Fire Alarm Replacement

This project replaces the fire alarms in Morrill Tower and portions of Lincoln Tower to increase fire alarm evacuation bell volume. The total project cost is \$1,200,551; funding is provided by Housing, Food Services and Events Center. The completion date is February 1999. The contract awarded is as follows:

Design: Korda/Nemeth Engineering, Columbus, Ohio	
Electric Contract:	Accurate Electric, Columbus, Ohio
Amount:	\$989,000
Estimate:	\$1,369,952
Total All Contracts:	\$989,000
Contingency Allowance:	\$98,900
Total Project Cost:	\$1,200,551

NOW THEREFORE

BE IT RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds Doan Hall – Labor and Delivery Unit and Lincoln and Morrill Towers – Fire Alarm Replacement projects is hereby accepted.

(See Appendix XII for maps, page 297.)

EASEMENTS

Resolution No. 99-37

United Telephone Company of Ohio
Lima Campus, Lima, Ohio

NGO Development Corporation
Pomerene Forest Laboratory, Coshocton County

Ohio Bell Telephone Company
North Star Avenue

Columbia Gas of Ohio
East Bank of Olentangy River

American Electric Power
ATI/OARDC Apartment Village

SYNOPSIS: Authorization to grant easements across University property is proposed.

WHEREAS the United Telephone Company of Ohio has requested a 25-year renewal easement consisting of a strip of land 45 feet in width and approximately 3,967 feet in length for telephone transmission lines to serve University buildings on the Lima Campus; and

WHEREAS the telephone lines will serve the University; and

EASEMENTS (contd)

WHEREAS the NGO Development Corporation has requested a 25-year renewal easement consisting of a 4-inch gas pipeline running across approximately 1,036 feet of Ohio Agricultural Research and Development Center land located at the Pomerene Forest Laboratory in Coshocton County; and

WHEREAS, NGO Development Corporation will pay the University \$1.00 per linear foot (approximately \$1,036) for this easement; and

WHEREAS the Ohio Bell Telephone Company has requested a 25-year renewal easement consisting of a strip of land 15-feet in width and approximately 1,160 feet in length for telephone transmission lines located near the corner of Lane Avenue and North Star Avenue; and

WHEREAS, this easement will serve University property; and

WHEREAS the Columbia Gas Company of Ohio has requested a 25-year renewal easement for a twelve-inch and ten-inch gas line commencing at King Avenue and the east bank of the Olentangy River Road and running a distance of approximately 1,653 feet north; and

WHEREAS the Columbia Gas Company of Ohio will compensate the University with a lump sum payment of \$500 in consideration of the granting of this easement; and

WHEREAS the American Electric Power has requested a 25-year easement to install underground electric service to the ATI/OARDC apartment village located at 1190 Dover Road, Wooster, Ohio; and

WHEREAS, this easement will serve University property; and

WHEREAS the appropriate University offices have recommended the approval of these easements:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Administration be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his/her statutory duties, to act for and on behalf of the University in developing the necessary legal instruments to provide for these easements.

(See Appendix XIII for maps, page 299.)

ADOPTION OF THE OLENTANGY RIVER CORRIDOR DISTRICT PLAN

Resolution No. 99-38

Synopsis: Adoption of the proposed District Plan for the Olentangy River Corridor District as a part of the University Master Plan is proposed.

WHEREAS the Board of Trustees adopted Volume I ("University Context") and Volume II ("Long Range Plan") of the University Master Plan on October 6, 1995; and

WHEREAS as provided in the Long Range Concept Plan, the University is to prepare district plans for all the areas of the University to provide more detailed planning and design guidelines for each area and to ensure that the Master Plan's principles are advanced in a way that is commensurate with the particular circumstances of each district; and

ADOPTION OF THE OLENTANGY RIVER CORRIDOR DISTRICT PLAN (contd)

WHEREAS the University now has prepared a district plan for the Olentangy River Corridor area of the Columbus Campus, a district bounded generally by King Avenue on the south and the Wetland Research Area on the north, and encompassing the Olentangy River and the river-edge environments east and west of the river; and

WHEREAS the Olentangy River Corridor District Plan addresses planning and design issues specific to this area, including land use; preservation of green open space; civic structure; river use; biking and walking paths integrated with the City of Columbus Riverfront Vision Plan; and siting, design and development guidelines for future facilities and open space improvements; and

WHEREAS the appropriate University offices have reviewed the proposed Olentangy River Corridor District Plan and recommend its adoption:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the proposed Olentangy River Corridor District Plan as part of the University Master Plan and directs the appropriate University offices under the leadership of the Office of Business and Administration to proceed, consistent with the established University decision-making procedures, with additional planning activities provided for in the Plan and that the University shall report annually to this Board, through Fiscal Affairs Committee, decisions made with respect to matters for which authority is delegated in accordance with the Master Plan.

(See Appendix XIV for background information, page 305.)

PHARMACY BENEFIT MANAGER

Resolution No. 99-39

Synopsis: Approval of the Pharmacy Benefit Manager for the four medical plans offered by the University is proposed.

WHEREAS the University desires to provide quality health care coverage for enrolled faculty/staff and their dependents, particularly through Managed Care; and

WHEREAS a common Pharmacy Benefit Manager for all four university sponsored medical plans will allow complete analysis of the utilization of the pharmacy benefit; and

WHEREAS the proposed Pharmacy Benefit Manager contract will maintain effective cost control through significant discounts on prescription drugs, and will further enhance cost control and services for faculty and staff through clinical review of drug utilization and drug prescribing patterns with proactive information and reactive interventions; and

WHEREAS after public advertisement, specifications and proposal forms were issued to eighteen (18) vendors; six (6) did not respond, one (1) declined in writing, and eleven (11) submitted proposals; and

WHEREAS the appropriate University offices, and a selection committee comprised of members from the College of Pharmacy, University Medical Center, Office of Human Resources, Faculty Compensation and Benefits Committee, University Staff Advisory Committee, and Managed Health Care Systems Inc., has reviewed the proposals and based on prescription drug pricing, administrative fees and network service capabilities and associated services, it is the recommendation of the Office of Human Resources that the lowest responsive and responsible proposal is offered by Merck-Medco.

PHARMACY BENEFIT MANAGER (contd)

This pricing quotation is as follows:

Prescription Drug Price	Guaranteed at Average Wholesale Price Less 19.5%
Dispensing Fee	\$2.00 per prescription, brand name drugs \$2.50 per prescription, generic drugs \$0.74 per prescription, mail order
Administrative Fee	\$0.30 per prescription, retail only

NOW THEREFORE

BE IT RESOLVED, That the contract for providing pharmacy benefit management services to eligible University faculty and staff be awarded for a period of three years beginning July 1, 1999, through June 30, 2002. Pricing, fees and performance of the provider are to be reviewed annually thereafter. This contract will remain in force after the initial term until the Administration deems it appropriate to recommend review of the designated provider and/or seek a new price quotation. The Acting Vice President for Human Resources is authorized to enter into such negotiations, contract, and make future changes including termination of Pharmacy Benefit Manager contracts.

Upon motion of Mr. Skestos, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Sofia, and Slane, and Ms. Longaberger.

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STUDENT RECOGNITION AWARD

Ms. Lowe:

Earlier this summer, I asked the Dean of the Graduate School and the President of the Council of Graduate Students to nominate two individuals to receive our recognition award during our summer meetings. Fortunately, great minds do think alike, and the dean and the Council president nominated the same two students. You meant the first of these nominees, JB Lawton at our July meeting. JB was recognized for his legislative advocacy work and contributions to University service.

Today's recipient, Matthew Woessner, is also being recognized for his commitment to the University through his leadership and involvement in the G-QUE survey and report. Those of you on the Educational Affairs Committee have already seen his work during the July presentation of the initial G-QUE survey findings.

Matthew comes to Ohio State from California, where he graduated summa cum laude from UCLA with a bachelor's degree in political science. Currently, he is pursuing his doctoral degree in American politics and his research interests include mass political behavior, political psychology, econometrics, and survey research. These research interests, combined with a sincere commitment to participating in the life of the University outside of the classroom, have served Matthew well for working on a comprehensive graduate student survey. One nominator described Matthew's willingness to step up and take a leadership role as, "exemplary, especially his work as a committee chair."

Described also as professional, enthusiastic, and self-motivated, Matthew has been a key player at each stage of the development, implementation, and analysis of the Graduate Quality of Experience, or G-QUE, survey. Another nominator said that, "Matthew's willingness to do far more than his share is an example for others to follow, and that his influence is being felt in every aspect of the G-QUE project." This

STUDENT RECOGNITION AWARD (contd)

Ms. Lowe: (contd)

nominator even wished that Matthew could be, "cloned to capture his work for other projects."

I have had the opportunity to work with each of our graduate student award recipients, either through the Council of Graduate Students, or through the Department of Political Science, and it is a pleasure to be able to call both recipients friends and future colleagues. Please join me in recognizing Matthew Woessner as the recipient of the Board of Trustees Student Recognition Award.

Mr. Matthew Woessner:

When I was told that I had been selected to be recognized for my work on the G-QUE Committee, it caught me by surprise. Because I have not thought of the work that I've been doing for that committee in terms of sacrifice or in terms of service. I've enjoyed my work, I've had the chance to work with some very talented people on interesting projects, and in something that I really believe in. In a large part, I feel I have taken with me a lot from this project and even before today this process was not without its rewards.

In a large part, I believe, I owe that positive experience to some outstanding leadership, Dean Huntington, Kathleen Carberry, my co-chair, Nancy Chissum, and the rest of the G-QUE Committee. They have done some outstanding work to provide what I think you will find to be a terrific project once it is complete.

Finally, I feel somewhat honored because I'm being recognized for doing something that I like, and that's a rare opportunity. So to the Board of Trustees and President Kirwan, thank you.

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STUDENT GOVERNMENT REPORTS

Mr. Eric Ley:

The following are the reports of the three student governments at The Ohio State University. I'll start with the Council of Graduate Students report, courtesy of Kathleen Carberry.

The Council of Graduate Students regretfully announce the resignation of Vice President Kevin Scott. Kevin had accepted two graduate assistantships and, in accordance with Graduate School policy, had to choose one of them. So he chose a teaching assistantship in his department. We wish him well and thank him for his service over the summer. A search is underway for an interim vice president to serve in the position until an election can be held.

CGS is closely following the Higher Education Act, as it is still being debated by Congress. Four graduate grant programs are being considered as part of the HEA. The Thurgood Marshall and Faculty Development Fellowships are for unrepresented minority students who desire to enter the law profession or become faculty members. The Gan and Javits Fellowships are for graduate students in the sciences and engineering or arts and humanities, respectively. CGS believes these programs are important to the graduate education act at Ohio State, as there is at least one student attending Ohio State on one of these scholarships and as Ohio State desires to increase the number of minority faculty members.

STUDENT GOVERNMENT REPORTS (contd)

Mr. Ley: (contd)

Federal support for graduate education has declined sharply over the past 20 years from more than 60,000 students supported, to under 10,000 students supported today. CGS has been working with our national association to advocate on behalf of these programs.

CGS has been working with the graduate student residents of Jones Tower for the past year as they have been dealing with some difficult maintenance issues. Recently we learned that although demand is high for graduate student housing, the only other dorm for graduate and professional students, Morrison Tower, will be converted to undergraduate housing within the year, a loss of 275 rooms for graduate and professional students. CGS sees the importance of providing on-campus housing for graduate students and they are working with the Student Affairs staff to explore the possibility of new graduate and professional housing in the south campus area. We believe this would both revitalize the area and present a more diverse mix of students living on south campus.

CGS is starting a Professional Development Program for graduate students. There is an inconsistency of funding across departments for professional development and CGS hopes to provide a central source of funding. Funds have already been committed for the Graduate School and the Office of Faculty and TA Development for this project. We expect to seek additional funds and launch the program Winter Quarter of this year.

Finally, CGS eagerly anticipates the start of the academic year and looks forward to welcoming 2,500 new graduate students to campus in just a few weeks. The annual new graduate student orientation, which is cosponsored by CGS and the Graduate School, will be held on Sunday, September 20. They would like to thank President Kirwan and Student Trustee Allyson Lowe for agreeing to speak at the orientation and look forward to hearing their remarks.

The next report, courtesy of Josh Mandel, is from the Undergraduate Student Government. The Undergraduate Student Government is busy preparing for the coming year. As undergraduates are beginning to return to campus, USG is maintaining momentum for the onset of Autumn Quarter.

This past weekend, USG had its annual retreat and focused on setting goals for the 1998-1999 school year. The organization will convene its first set of meetings of the new school year during the last week of September.

USG is in the process of coordinating an internet student book exchange. The book exchange will allow students to buy and sell books with each other rather than always having to deal with bookstore prices. This initiative is focused on saving students money.

Also along the lines of finances, USG is creating a discount card and is saving money for students. Ideally, USG would like to produce enough discount cards to provide for faculty and staff. USG is currently working with the Office of the Registrar on identifying the most efficient means of distributing these cards. The cards are targeted to be ready near the end of Autumn Quarter.

In addition to having numerous students working on University-wide programs for Autumn Quarter, USG is helping coordinate two major initiatives. Community Commitment is a service learning project that will involve thousands of freshmen in their first days on campus. The core of Community Commitment focuses on transporting students from campus to perform volunteer work at sites throughout the

STUDENT GOVERNMENT REPORTS (contd)

Mr. Ley: (contd)

Columbus area. The project is being led by Ohio Staters, Inc., Student Alumni Council, and USG. Additionally, USG is coordinating a voter registration drive in Get Out the Vote program for November elections. These programs will not only involve students outside of the classroom, but will also foster working relationships among student organizations with the common goal of maximizing student voter registration.

USG Project Community and the Office of Physical Facilities are working together to improve Ohio State's recycling program and increase campus awareness in hopes of lowering the tonnage of trash produced by the campus community. The improved program has the potential to answer the student demand for increased recycling, save Ohio State money, and promote positive environmental habits. In the future, USG hopes to work with Coca-Cola on maximizing campus recycling.

And, finally, after those two comes the Interprofessional Council report, courtesy of myself, Eric Ley. The Interprofessional Council would like to thank President Kirwan for his visit to each of the five professional schools.

IPC has been busy during the summer. At our recent summer conference, we completed the agenda for the year. At the top of the agenda for the year is the completion of the I-QUE report. A committee of five is chosen to write a survey similar to the one used by CGS. Vijay Nath, the author of a survey used by the College of Medicine and Public Health, will head this committee. The next step will involve printing the survey, at which point financing for the I-QUE report will be an issue. We look to the University to support our efforts.

At the summer conference, IPC invited representatives from other professional school organizations. These representatives learned how professional student organizations can become more involved with the University, while at the same time IPC learned how to better represent professional students. IPC also heard a NAGS update from our first lobbying trip to Washington D.C., which is an effort that will hopefully decrease the cost of Higher Education Loan fees.

IPC has a number of events on its schedule including a service day, the essay contest, professional school orientation, and the IPC fundraisers. Further, IPC is supporting the upcoming trip to Washington D.C. on October 2 for the Women's Health Lobby Day where professional students will lobby for improved insurance coverage for women. During the first week of March, IPC will help support the women's law caucus for a women's week. This week will conclude with a presentation by Sarah Weddington, the woman who argued Roe vs. Wade before the Supreme Court. For more details about our summer conference or other IPC information, please visit our web page at www.osu.edu/osu/ipc.

Any questions? Thank you.

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FALL QUARTER STUDENT ACTIVITIES

President Kirwan:

The Fall Quarter is upon us and we are about to welcome the most talented entering class we've ever had at Ohio State University. The staff have been working hard to develop appropriate activities to greet these outstanding young people. I would like to call on David Williams to make some comments about these activities, but before I do, I would like to ask Trustee Longaberger to make a few contextual comments.

FALL QUARTER STUDENT ACTIVITIES (contd)

Ms. Longaberger:

Just a couple of comments because we want to get right to David and particularly the students' presentation. Dr. Kirwan mentioned one of the priorities of improving the quality of the undergraduate experience and certainly selective investment focuses on improving the in-classroom experience which is certainly vital. But there is also another piece, particularly to students, and that's improving their quality of life outside the classroom as well. That helps us to improve the outside of the classroom experience as well. It also helps get us to the point of accomplishing our goal of moving the entire undergraduate experience to the next level. We hope that, in part, it helps improve retention and ultimately graduation rates as well.

So, I'll turn it over to David and get the students up here because we know that the faculty and administration already presented a very important piece of moving toward that earlier in our meeting. I want you to know that the students are very prepared to do their part in moving the University to the next level as well. With that, David, I will turn it over to you.

Vice President David Williams:

Thank you. I don't have much to say, we're going to let the students speak for themselves. I think they gave a great presentation at the Student Affairs Committee meeting this morning and this will be a condensed version. This is a result of a lot of hard work by them, a lot of challenges put to them. But I am very pleased with the fact that what you are going to see is work done by students for students to make this a better place. With any great effort, there has to be a lot of support. And what Tracie Stuck and her staff from the Office of Student Activities do is give them all support. Tracie, will you and your staff please stand and be recognized?

Mr. Matt Couch:

Thank you, Vice President Williams. Mr. Chairman, President Kirwan, Trustees and all of our guests here today, on behalf of the Division of Student Affairs and the Office of Student Activities, I want to express to you what a privilege it is for us to be able to come here and tell you about all the exciting things we have planned for Autumn Quarter. I think you're going to find from our students' testimonials that this is an unprecedented quarter for excitement and Ohio State pride.

I want to introduce myself, my name is Matt Couch. I'm a graduate student in the Higher Education and Student Affairs Program and I currently work in the Office of Student Activities. We have with us today the undergraduate student chairpersons of four student affairs committees.

I'm representing the Welcome Week Steering Committee and also want to speak on behalf of my undergraduate partner, Isao Shoji, who wanted to join us today but he had to take a final. When finals are on the agenda there is nothing you can do about it. I also will be introducing Dave Diffendal, and Teniell Trolian, the undergraduate co-chairs of the Homecoming Steering Committee; Greg Lestini, to represent the Ohio State-Northwestern Make-a-Difference Day service project; and Keenan Sanders, representing Michigan Week. So without further ado, I'll get into a brief discussion of Welcome Week.

FALL QUARTER STUDENT ACTIVITIES (contd)

Mr. Couch: (contd)

You have in front of you a packet that summarizes everything that we'll be talking about. We won't have the opportunity to go into too much detail, due to time constraints, but we wanted to give you a basic overview of the philosophy of Welcome Week. The purpose is generally to create a powerful first impression for our students, to make them feel proud and connected to the University, and, ultimately, to keep them here, retain them, and have them graduate proudly from this University.

So the Welcome Week Steering Committee, as you'll see from the second red sheet we have provided, is very diverse. We have as many diverse interest represented on that committee as we could. The programming that we have come up with is geared towards corporal appreciation, academic and regime. All of these events provide access for the students in our community with disabilities; they allow for community building; they're just plain fun; and the really big thing, the greatest attraction for our students -- the theme of the week is, "Cool Free Stuff." Free food and T-shirts, and a great time is had by all.

I will highlight a couple of events quickly. First we heard a little bit about the Undergraduate Student Government Community Commitment. This is a service learning project that debut last year. We had about 1,200 students come out in the cold rain to be bussed all around Columbus and perform service on that day. Last year, their efforts won an award from the National Association of Campus Activities for their distinguished service learning project in the nation. So we have already signed up 1,800 students and that's not counting our walk up traffic. So really our dilemma right now is finding enough places to put them to do services. I think that speaks volumes about the altruism of our students at Ohio State.

The next event I want to talk about is the Convocation, which takes place the day before classes begin on that Tuesday. This is President Kirwan's opportunity to kick-off the academic year and welcome the new students. We are also using that as an opportunity to train our new students on the traditions at Ohio State, be it academic traditions, student affairs traditions, or just student cultural traditions. The Marching Band will then meet us and lead us that day over to the main Oval where we will have a huge picnic. About 12,000 people came out to that picnic last year and students, faculty, and staff all interacted. Simultaneously, there will be a Student Involvement Fair which is an introduction for students to all the different ways they can get involved, extracurricularly, at Ohio State.

The last thing I wanted to mention is the Ohio State Street Fair which is to take place on that Saturday to conclude Welcome Week. This event is actually going to be pretty large. We are sealing off High Street, closing it to traffic and rerouting it around campus, from Twelfth Avenue to Sixteenth Avenue. We are going to have bands, carnival games, food vendors, craft vendors and it promises to be a giant event.

At this time, I would like to introduce Dave and Teniell to talk about Homecoming.

Mr. Dave Diffendal:

Thanks, Matt. My name is Dave Diffendal, a senior majoring in marketing, from Pittsburgh, Pennsylvania. I am a member of Sigma Phi Epsilon fraternity and I'm here today as the Homecoming Co-chair.

FALL QUARTER STUDENT ACTIVITIES (contd)

Ms. Tieniell Trolian:

I am Tieniell Trolian, a junior majoring in political science, from Sarasota, Florida. I am also the Homecoming Co-chair. One of the challenges we were given by Vice President Williams this year was to broaden our horizons and make sure we were reaching out to a broad group of students, faculty, staff, alumni and community members. As you can see from the green calendar in front of you, we have tried to highlight a number of different events that appeal to a broad base. One of those events is Under Construction on the Oval, which will highlight service learning. We've also selected a number of academic events, and worked in connection with the Office of Academic Affairs to highlight the University's commitment and mission to academics. We have also made a commitment to highlight diversity with our Cultural Extravaganza, and also alumni events with our Homecoming Court Reunion Banquet.

Mr. Diffendal:

One event during the week that we are very proud of is an event called Buckeye Blast 1998. And what we've done is fashion it after the University of Florida's Gator Blast. And what it is, it plays out from the pep rally from past years Homecoming and we bill it as a mega pep rally. We've invited the football team, cheerleaders, the Buckeye dance force, and the band. There's going to be a pyrotechnics show, we're going to have the crowning of the king and queen, and as our headliner event, we were fortunate enough to secure one of the most highlighted comedians in the country, Sinbad. So we're very happy about this event. It promises to be one of the premier events during Homecoming, as well as one of the premier events during the year.

Ms. Trolian:

Wrapping up our week is our Homecoming Parade which has moved from its usual Friday evening to Saturday morning, which is quite a shift. It will be starting at 9:00 a.m. before the 12:10 p.m. kick-off, and we have already registered 70 groups at the beginning of September, which is more than last year's parade. We are very excited about that. I can only comment on how amazing this experience has been and just having this opportunity has really been wonderful. I hope that other future students might experience the wonderful things we've done.

Mr. Diffendal:

To go along with what Tieniell just said, I don't think that there is any better opportunity, any better chance for a student, all of us, to be in the age group we are, to have the opportunity to plan these kinds of events at a great University. To create a tradition like the Buckeye Blast or the parade and to be able to be part of that.

I mentioned earlier, I want to have kids one day and you bet they are going to go to Ohio State. I want to be able to tell them, and all of us will be able to say this, we had a hand in creating and participating in one of the greatest traditions in the country. I am very happy about that, and I thank all of you for listening to us and giving us this opportunity.

Ms. Trolian:

And speaking of Homecoming, we have yet to announce our Grand Marshal. President Kirwan, it is a Buckeye tradition to ask the new president to be the Grand Marshal for the parade. So we would like to cordially invite you and Mrs. Kirwan to participate in the parade.

FALL QUARTER STUDENT ACTIVITIES (contd)

President Kirwan:

Thank you very much. I am honored to accept, on Patty's behalf, as well, to serve as the Grand Marshal. Given my experience at the State Fair, I may ask Brutus to join me. Thank you very much.

Ms. Trolan:

Thank you.

Mr. Couch:

At this time, I would like to introduce Greg Lestini to tell us a little bit more about an exciting service learning project.

Mr. Greg Lestini:

Thank you very much. As he said my name is Greg Lestini, I'm a senior majoring in political science and international studies, from Woodsfield, Ohio. I'm the student chair of The Ohio State University-Northwestern University Make-a-Difference Day. For those of you who may not be familiar, Make-a-Difference Day is a national event sponsored by USA Weekend and Points of Lights Foundation and many other groups. This is the commitment that Ohio State can make to the National Make-a-Difference Day.

There's a lot of information in your packet, as Matt said, but because of time constraints, I won't have time to go through everything, but just give you a brief description. Our plan is to take a hundred students from The Ohio State University campus and bus them up to Northwestern the evening before the Northwestern-Ohio State game. They will participate in many different social activities, and then the morning of the game go out and perform community service all across Evanston, Illinois.

There are many different service sites that are described in your packet. I had the extreme fortune of visiting them just a few weeks ago and it really got me excited for the service learning project. I think that students are going to make a huge impact while they are up there. It's our hope that with the group of students, we will get not only those student leaders that are involved and have been involved in the service learning organizations all over campus, but also that other group of students that really don't usually get involved in activities. Maybe they'll see the opportunity of the football game as a benefit to them going on this trip and in the meantime see how great service learning is. That really is the importance of this event.

Other schools across the nation have programs, even majors and minors, in service learning and volunteerism and things like leadership. This is our way of providing students with an opportunity to go out and see what an impact they can make; and how, not only as students in the classroom, they're learning to better their community with the skills that they receive. Once leaving the University and the out-of-class experience, they can garner all these skills to help them become better citizens of their communities.

The uniqueness of this event is really that it is a collaborative effort between Ohio State University and Northwestern University. Our committees almost mirror each other in the members that we have. It's also our hope that the students that participate will mirror each other so when they participate in the social events Friday night, they can get together and representatives from Ohio Staters and the Undergraduate Student Government can meet up with representatives from the

FALL QUARTER STUDENT ACTIVITIES (contd)

Mr. Lestini: (contd)

Northwestern Student Government and share strategies for dealing with obstacles. There are benefits from service learning, but also from meeting colleagues and other students and learning how things are done all across the nation.

We don't know of any other program -- say the activity of the Sugar Bowl last year -- where the two schools came together and performed service that nears this event. And we're hoping not only that this event will be a model for universities all across the country, but also a model for Ohio State to continue with other away games. Then in the future having the schools that come to play our marvelous Buckeyes to do the same kind of event here in Columbus.

On a personal note, I really got a great opportunity to go to Northwestern and speak with all of these service organizations. My aspirations are to go to law school eventually. I was given the opportunity to ask these organizations about their legal representation and how that works with the pro bono work, and it really opened my eyes to all the opportunities out there for my academic field. I hope that all the other students that participate in this event have the same kind of opportunity and draw from this the same kind of out of classroom experience. Again, thank you very much.

Mr. Couch:

Finally, we have Keenan Sanders to tell us what we're going to do to get the Buckeye football team prepared for -- that little, tiny obstacle on the road to the national championship -- Michigan Football Weekend.

Mr. Keenan Sanders:

Again, my name is Keenan Sanders, I'm a senior majoring in marketing. We have a lot of events planned for Michigan Weekend; I am going to highlight a couple of them. The biggest event is the second annual Operation Fill-Up, to go along with the Nike Reuse-A-Shoe Program. Also there will be a pep rally Thursday night, November 19, and Saturday morning there will be a pancake breakfast, hopefully we'll get students and fans pancake tossing.

Mr. Couch:

Incidentally for that pep rally, the Barenaked Ladies have promised to perform fully clothed. Hopefully, you have all received personal invitations to attend all of these events, so you can come out and see for yourself just how powerful these events impact our students and really the quality of their experience here. If you have any questions, we have a panel of experts.

Mr. Celeste:

We appreciate your comments and actually the invitation including the "Cool Free Stuff" might just attract the Trustees to the event. Are there any questions? Thank you very much.

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September 2, 1998 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, October 2, 1998, at the Cleveland Clinic, Cleveland, Ohio.

Attest:

William J. Napier
Secretary

Theodore S. Celeste
Chairman

DRAFT

ENDOWMENT FUND INVESTMENTS POLICY

I. Goal

It shall be the policy of The Ohio State University to manage the Endowment Fund for the use and benefit of the University in a manner that will preserve and maintain the real purchasing power of the principal in a diversified portfolio while allowing for an annual distribution of income according to this policy.

II. Investment Philosophy

The Endowment fund shall be managed with the intention of obtaining the highest possible "total return" (i.e., current income plus net realized and unrealized appreciation) of the investment portfolio, balancing reasonable growth with maintenance of prudently acceptable risk.

III. Limitations

A. The Endowment Fund will have an asset allocation range and a target allocation as follows:

	<u>RANGE</u>	<u>TARGET ALLOCATION</u>
EQUITIES		
U.S. LARGE/MID CAP STOCKS		
ACTIVE	15% TO 20%	20%
PASSIVE	20% TO 30%	25%
U.S. SMALL CAP STOCKS		
ACTIVE	5% TO 15%	10%
PASSIVE	10% TO 20%	15%
INTERNATIONAL STOCKS		
ACTIVE	0% TO 10%	4%
PASSIVE	0% TO 10%	3%
U.S. PRIVATE EQUITY	0% TO 5%	3%

ENDOWMENT INVESTMENTS POLICY (contd)

TOTAL EQUITY	70% TO 85%	80%
U.S. FIXED INCOME		
ACTIVE	5% TO 10%	7%
PASSIVE	5% TO 10%	8%
TOTAL FIXED INCOME	10% TO 20%	15%
U.S. REAL ESTATE	0% TO 10%	5%
CASH	0% TO 10%	0%
TOTAL ENDOWMENT		100%

- B. For purposes of these limitations, the amounts recommended to be maintained in short-term investments by the investment advisors shall be considered as invested in their asset class.
- C. New money received by the Endowment may be placed by the Treasurer in Index funds in amounts to maintain the Investment Limitations aforementioned until such time as the Board of Trustees reallocates such funds.
- D. The Treasurer may specify terms and conditions that are more restrictive than those of this Policy but shall not permit a less restrictive policy without specific authorization from The Board of Trustees.
- E. The quality rating guidelines which are to be used shall be the ratings as of the date of the purchase of the security. If a rating change occurs which disqualifies a security that is already present in the portfolio, the security must be sold within ninety days from the discovery of the rating change.

IV. Gains/Losses

- A. It shall be permissible for the Treasurer to realize gains and losses in the portfolio if such an action would be consistent with the University's investment goals.

ENDOWMENT INVESTMENTS POLICY (contd)

- B. In order to maintain the purchasing power of the endowment as well as maintain an orderly distribution of income under the Endowment Fund's spending policy, a stabilization reserve account shall be maintained. The stabilization reserve account shall be used to account for any excess (deficit) of income earned versus monies distributed to the endowment funds.

V. Income Distribution Policy

Income will be distributed to Endowment Funds at the beginning of each fiscal year according to a formula based upon a distribution of 5.0% of the average market value of the portfolio over the most recent three fiscal years. New monies placed in the Endowment Fund will receive an income distribution prorated by the month of the fiscal year received.

VI. Advisors

The University may utilize the services of external investment advisors to assist in the management of the endowment. The responsibilities and types of investment services provided by investment advisors shall be specified in written agreements. The investment advisors appointed by the Board of Trustees will invest the assets in accordance with this policy, their written agreement and their judgements concerning relative investment values. The Board may appoint an advisor with specific authority to invest in assets not otherwise addressed in this policy. The investment advisors are accorded full discretion, within policy limits, to select individual securities, and diversify the assets. The number of advisors and the amount of funds under each advisors aegis shall be determined by the Board of Trustees.

Advisors performance will be measured against an appropriate benchmark. Passive managers are expected to equal the benchmark while active managers are expected to exceed the benchmark net of fees.

VII. Marketability

Securities purchased by the endowment shall be listed on the New York, American or other exchange or be unlisted and traded over-the-counter provided that all securities purchased shall be readily marketable and meet the quality guidelines of this Policy. Real estate purchases will be based on investment merit. Real Estate and Private Equities must undergo periodic appraisals by a qualified third party appraiser. Investments that have no ready market that are gifts to the University shall only be accepted if, as determined by the Vice President for Finance and the Treasurer, acceptance is in the best interest of the University.

ENDOWMENT INVESTMENTS POLICY (contd)

VIII. Proxies

The Treasurer has the authority to vote proxies in accordance with the proxy policy approved by the Investments Committee of the Board of Trustees. The Treasurer shall make a written report of proxy votes to the Investments Committee at each regular meeting.

IX. Authorized Investments

The following categories of investments shall be authorized for the endowment:

A. Commercial Paper

1. Rated A-1 (Standard and Poor's) and P-1 (Moody's), not more than \$3,000,000 in any corporation or financial institution.
2. Commercial paper must have a term less than 270 days.

B. Banker's Acceptances

1. An amount not to exceed \$3,000,000 in any bank, or subsidiaries of that bank whose long-term debt is rated A or better.
2. Banker's acceptances must have a term less than 270 days.

C. Eurodollar CD and time deposits

1. An amount not to exceed \$3,000,000 in any bank, or subsidiaries of that bank with a long-term debt rating of BBB or better.
2. Eurodollars shall have a term less than one year.

D. Corporate Bonds and Notes

1. No bond or note shall be purchased in an amount greater than \$3,000,000 par value of any corporation.
2. Bonds and notes shall be rated BBB or better.
3. Corporate bonds or notes shall have a term less than 40 years.
4. Foreign Bonds may be purchased provided they comply with items 1 thru 3 of this section and are denominated in U.S. dollars.

ENDOWMENT INVESTMENTS POLICY (contd)

E. Common Stock and Preferred Stock

An amount not to exceed 3% of the outstanding shares of a company may be purchased. All stock shall be of investment grade.

F. Mutual and Co-Mingled Funds

Investment in any fund shall not exceed 10% of the total funds of the mutual or co-mingled fund. All mutual or co-mingled funds shall comply with the Authorized Investment provisions of this policy (see Section IX). Money market mutual funds shall not apply to this section (see Section N).

G. Real Estate

Investments in real estate and real estate related loans shall be made only upon specific authorization of the Board of Trustees. The Treasurer shall be responsible for the management of all real estate in the Endowment Fund.

H. Municipal or State Bonds

1. An amount not to exceed \$5,000,000 in any one municipality or state (except that there shall be no limit on Ohio State University or Ohio State University-related bonds).
2. Bonds shall be rated BBB or better (except that there shall be no rating requirement on Ohio State University or Ohio State University-related bonds).
3. No bonds shall be purchased with a term greater than 30 years.

I. Certificates of Deposit

1. Collateralized at market value

Not to exceed \$5,000,000 in any Ohio bank or savings and loan whose long-term debt is rated A or better provided the amount not federally insured is collateralized as provided in Section 135.18 of the Ohio Revised Code with securities eligible under Section 135.18 (B).

2. Certificate of deposit shall have a term not exceeding one year.

J. U.S. Treasury Bills, Notes and Bonds

No limitations on amount invested or term.

ENDOWMENT INVESTMENTS POLICY (contd)

- K. U.S. Government Agencies
No limitations on amount invested or term.
- L. Repurchase Agreements
Collateralized at Market Value
Not to exceed \$10,000,000 with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is rated A or better and only provided a written repurchase agreement is in place. The term of any agreement shall not exceed 15 days. Collateral must be U.S. government or agency securities at 102% or more of market value. Collateral must be segregated in an account bearing the University's name, except when there already exists a demand deposit account.
- M. Reverse Repurchase Agreements
Not to exceed \$10,000,000 with any dealer or broker recognized by the federal reserve as a primary dealer or any bank whose long term debt is rated A or better or only provided a written agreement is in place. The term of any agreement shall not exceed 15 days.
- N. Money Market Mutual Funds
1. Government collateralized
There shall be no limitations on the amount invested provided it is 100% collateralized at market value by U.S. government or agency securities.
 2. Other collateral
There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this policy.
- O. Guaranteed Investment Contracts (GIC)
1. An amount not to exceed \$1,000,000 can be placed with any insurance company with assets in excess of \$5 billion and a long-term debt rating of A or better.

ENDOWMENT INVESTMENTS POLICY (contd)

2. GIC's must be 100% collateralized with U.S. treasuries, agencies or corporate bonds rated A or better.
 3. No GIC shall be purchased with a term greater than 5 years.
- P. Collateralized Mortgage Obligations (CMO)
1. An amount not to exceed \$3,000,000 may be invested in any one issuer.
 2. CMO'S must be rated AAA.
 3. No CMO shall be purchased with a term greater than 30 years.
- Q. Asset Backed Securities (ABS)
1. An amount not to exceed \$1,000,000 may be invested in any one issuer.
 2. ABS's must be rated AAA.
 3. No ABS shall be purchased with a term greater than 5 years.
- R. Securities Lending
Equity and Fixed Income securities not to exceed 20% of the Endowment may be loaned on condition the loan is secured. Collateral must be cash or U.S. Government Agency securities at 102% or more market value. Lending may be done with any dealer or broker recognized by the Federal Reserve of a primary dealer or any bank whose long term debt rating is A or better and only provided a written agreement is in place.
- S. Private Equity and Venture Capital
Investments in Private Equity and Venture Capital may be made only by a professional investment advisor [See Article VI.] unless specifically authorized by the Board of Trustees.
- T. Other Investments
Between meetings of the Board of Trustees, if deemed advisable, other investments not specifically authorized by this Policy may be made if approved by the Investments Committee of the Board of Trustees. Any such action shall be taken to the Board of Trustees for approval at its next meeting.

ENDOWMENT INVESTMENTS POLICY (contd)

X. Management of Investments

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the endowment in accordance with this Policy.

XI. Exceptions

Modifications and exceptions to this Policy shall be authorized only by resolution of the Board of Trustees or as provided herein. The terms and conditions of this Policy as to the endowment portfolio may be waived if specified by the terms of a gift and approved by the Board of Trustees.

XII. Reporting and Accountability

The Treasurer shall be accountable to the Board of Trustees for implementing this Policy. The Treasurer shall make a written report to the Investments Committee at each regular meeting on the status of the Endowment Fund.

XIII. Support Level Required to Establish an Endowment Fund

- A. A *Named Endowed Chair* will be established in the Endowment with support of \$1,500,000 or more and the distributed income will be used to provide supplemental compensation and academic support funds for chair holder.
- B. A *Named Endowed Professorship* will be established in the Endowment with support of \$750,000 or more and the distributed income will be used to provide supplemental compensation and academic support for faculty member.
- C. A *Named Endowed Distinguished Visitor* will be established in the Endowment with support of \$500,000 or more and the distributed income will be used to provide funds to bring a distinguished visitor to the University for a limited time, generally from one week to one year. This category of endowed faculty funds may be used for distinguished scholars, teachers, lecturers, research investigators, artists, writers, executives-in-residence, or others in association with activities that advance the academic, interdisciplinary, diversity, and other educational goals of the University, its colleges and programs.

ENDOWMENT INVESTMENTS POLICY (contd)

- D. A *Named Endowed Faculty Award* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide funds to recruit or retain outstanding faculty and provide them with academic support funds to enhance their work. Also designed to help meet the University's diversity goals.
- E. A *Named Dean's Leadership Fund* will be established in the Endowment with support of \$100,000 or more and the distributed income will be used to provide funds to allow deans to support existing or new faculty endeavors, promote promising initiatives across or among colleges, support lecturer series, and generally promote the goals of the college.
- F. A *Named Scholarship* will be established in the Endowment with support of \$100,000 or more and the distributed income will be used to provide general University scholarships for a diverse group of students according to financial need as determined by federal guidelines, or provides scholarship assistance for students based on performance (i.e., musicians, artists, dancers, athletes, etc.), and for minority students.
- G. A *Named Graduate Fellowship* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide funds for a diverse group of outstanding graduate and professional students including minority students based on criteria established by colleges and departments.
- H. A *University Scholar Merit Scholarship* will be established in the Endowment with support of \$25,000 or more and the distributed income will be used to provide \$1,000 to incoming students who graduated at the top of their high school class and who have high test scores.
- I. A *Medalist Scholar Merit Scholarship* will be established in the Endowment with support of \$75,000 or more and the distributed income will be used to provide in-state tuition awards for 30 incoming students who score among the best in and on-campus competition.
- J. A *Distinguished Scholar Merit Scholarship* will be established in the Endowment with support of \$150,000 or more and the distributed income will be used to provide awards of in-state tuition and a stipend toward room and board for qualifying National Merit and National Achievement Scholars.
- K. A *Presidential Scholar Merit Scholarship* will be established in the Endowment with support of \$250,000 or more and the distributed income will be used to provide an in-state full-ride award for ten incoming students who score at the very top in and on-campus competition.

ENDOWMENT INVESTMENTS POLICY (contd)

- L. A *Named Endowed Fund* will be established in the Endowment with support of \$25,000 or more and the distributed income will be used to provide an enduring memorial to the donor and bear the donor's name or an appropriate name of the donor's designation. The fund will be invested and the income used to provide support for a program designated by the donor and approved by the University.

DRAFT

TOTAL RETURN OPERATING FUND INVESTMENTS POLICY

- I. Goal

It shall be the policy of The Ohio State University to manage the Total Return Operating Fund for the use and benefit of the University in a manner that will preserve and maintain the real purchasing power of the principal in a diversified portfolio while allowing for an annual distribution of income according to this policy.
- II. Investment Philosophy

The Total Return Operating fund shall be managed with the intention of obtaining the highest possible "total return" (i.e., current income plus net realized and unrealized appreciation) of the investment portfolio, balancing reasonable growth with maintenance of prudently acceptable risk.
- III. Definitions

For purposes of this Policy, the Total Return Operating Fund portfolios shall include the various charitable remainder annuity trusts, life income funds, gift annuities, unitrusts, all other gifts made to the University, Self-Insurance Funds, and Income produced on these funds. Portions of auxiliary funds may be invested in the total return portfolio.
- IV. Limitations
 - A. The Total Return Operating Fund will have as asset allocation range and a target allocation as follows:

	RANGE	TARGET ALLOCATION
EQUITIES		
U.S. Large/Mid Cap Stocks		
Passive S&P 500	40% to 50%	45%
U.S. Small Cap Stocks		
Passive Russell 2000	20% to 30%	25%
U.S. Fixed Income		
Passive Lehman Govt./ Corp.	25% to 35%	30%

TOTAL RETURN PORTFOLIO INVESTMENTS POLICY (contd)

B. New money received by the Total Return Operating Fund will be placed by the Treasurer in Index Funds in amounts to maintain the investment targets outlined in this section.

V. Gains/Losses

It shall be permissible for the Treasurer to realize gains and losses in the portfolios if such an action would be consistent with the University's investment goals.

VI. Marketability

Securities purchased for the Total Return portfolios shall be listed on the New York, American or other exchange or be unlisted and traded over-the-counter provided that all securities purchased shall be readily marketable and meet the quality guidelines of this Policy. Investments that have no ready market that are gifts to the University shall only be accepted if, as determined by the Vice President for Finance and the Treasurer, acceptance is in the best interest of the University.

VII. Proxies

The Treasurer has the authority to vote proxies in accordance with the proxy policy approved by the Investments Committee of the Board of Trustees. The Treasurer shall make a written report of proxy votes to the Investments Committee at each regular meeting.

VIII. Authorized Investments

A. Mutual and Co-Mingled Funds

Investment in any fund shall not exceed 10% of the total funds of the mutual or co-mingled fund. Money market mutual funds shall not apply to this section (see Section B).

B. Money Market Mutual Funds

1. Government collateralized

There shall be no limitations on the amount invested provided it is 100% collateralized at market value by U.S. government or agency securities.

2. Other collateral

There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this policy.

TOTAL RETURN PORTFOLIO INVESTMENTS POLICY (contd)

2. Other collateral

There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this policy.

C. Other Investments

Between meetings of the Board of Trustees, if deemed advisable, other investments not specifically authorized by this Policy may be made if approved by the Investments Committee. Any such action shall be taken to the Board of Trustees for approval at its next meeting.

IX. Management of Investments

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the total return portfolio in accordance with this Policy.

X. Exceptions

Modifications and exceptions to this Policy shall be authorized only by resolution of the Board of Trustees or as provided herein. The terms and conditions of this Policy as to the total return portfolio may be waived if specified by the terms of a gift and approved by the Board of Trustees.

XI. Reporting and Accountability

The Treasurer shall be accountable to the Board of Trustees for implementing this Policy. The Treasurer shall make a written report quarterly to the Investments Committee and the Vice President for Finance on the status of the Total Return Operating Fund.

OPERATING FUND INVESTMENTS POLICY

DRAFT

I. Goals

It shall be the policy of The Ohio State University to manage the Operating Fund portfolio for the use and benefit of the University in a manner that will produce a yield which when compared to the current marketplace, would be described as acceptable by conservative prudent investment managers.

II. Investment Philosophy

The Operating Fund portfolio shall be managed with the intention of obtaining the highest possible "current income" for the investment portfolio, while adhering to a prudent level of risk, and retaining sufficient liquidity to meet cash flow requirements.

III. Definitions

For purposes of this Policy, the operating investments portfolio shall include all non-endowment investments of University funds that are not specified in, or designated to, the Total Return Operating Fund.

IV. Gains/Losses

A. It shall be permissible for the Treasurer to realize gains and losses if such an action would be consistent with the University's investment goals. Losses and gains realized on the Operating Fund shall be charged against current income.

V. Limitations

A. The Treasurer may specify terms and conditions that are more restrictive than those of this Policy but shall not permit a less restrictive policy without specific authorization from the Board of Trustees.

B. The quality rating guidelines which are to be used shall be the ratings as of the date of the purchase of the security. If a rating change occurs which disqualifies a security that is already present in the Operating Fund, the security must be sold within ninety days from the discovery of the rating change.

VI. Marketability

All securities purchased shall be readily marketable and meet the quality guidelines of this Policy. Investments that have no ready market that are gifts to the University shall only be accepted if it is in the best interest of the University.

OPERATING FUND INVESTMENTS POLICY (contd)

VII. Authorized Investments

The following categories of investments shall be authorized for the non-endowment portfolio as indicated.

A. U.S. Treasury Bills, Notes and Bonds

No limitations on amount invested or term.

B. U.S. Government Agencies

No limitations on amount invested or term.

C. Repurchase Agreements

Not to exceed \$20,000,000 with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank with assets in excess of \$5 billion and a long-term debt rating of A or better and only provided a written repo agreement is in place. The term of any agreement shall not exceed 30 days. Collateral must be U.S. government or agency securities at 102% or more of market value of any agreement. Collateral must be retained in an account bearing the University's name, except when there already exists a demand deposit account.

D. Reverse Repurchase Agreements

Not to exceed \$20,000,000 with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is Rated A or better and only provided a written agreement is in place. The term of any agreement shall not exceed 30 days.

E. Money Market Mutual Funds

1. Government Collateralized

There shall be no limitations on the amount invested provided it is 100% collateralized at market value by U.S. government or agency securities.

2. Other collateral

There shall be no limitations on the amount invested provided it is 100% collateralized by marketable securities that comply with all other provisions set forth in this policy.

F. Certificates of Deposit

Not to exceed \$10,000,000 in any Ohio bank or savings and loan with assets in excess of \$5 billion and a long-term debt rating of A or better provided the amount not federally insured is collateralized as provided in Section 135.18 of the Ohio Revised Code with securities eligible under Section 135.18(B). No certificate of deposit shall have a term exceeding one year.

OPERATING FUND INVESTMENTS POLICY (contd)

G. Mutual Funds and Co-Mingled Funds

Investment in any fund shall not exceed 10% of the total funds of the mutual or co-mingled fund. All mutual and co-mingled funds shall be of investment grade and comply with all other provisions set forth in this policy. Money market mutual funds shall not apply to this section (see Section E).

H. Municipal or State Bonds

1. An amount not to exceed \$3,000,000 in any one municipality or state (except that there shall be no limit on Ohio State University or Ohio State University-related bonds).
2. Bonds shall be rated A or better (except that there shall be no limitation on Ohio State University or Ohio State University-related bonds).
3. Bonds shall be purchased with a term less than 30 years.

I. Securities Lending

Fixed income securities not to exceed 20% of the Operating Portfolio may be loaned on condition the loan is secured. Collateral must be cash or U.S. Government or agency securities at 102% or more of market value. Lending may be done with any dealer or broker recognized by the Federal Reserve as a primary dealer or any bank whose long-term debt is Rated A or better and only provided a written agreement is in place.

J. Asset Backed Securities (ABS)

1. An amount not to exceed \$3,000,000 may be invested in any one issue unless issued directly by a Government Agency where there would be no limit.
2. ABS's must be rated AAA.
3. The underlying assets must comply with all other provisions set forth in this policy.
4. ABS shall be purchased with a maturity less than 10 years and an average life less than 5 years.

K. Collateralized Mortgage Obligations (CMO)

1. An amount not to exceed \$5,000,000 may be invested in any one issuer except that CMO's issued directly by U.S. Government Agencies will have no limitations.
2. CMO's must be rated AAA.
3. CMO's shall be purchased with a term less than 30 years.

OPERATING FUND INVESTMENTS POLICY (contd)

L. Commercial Paper

1. Rated A-1 (Standard and Poor's) and P-1 (Moody's), not more than \$5,000,000 in any corporation of financial institution.
2. The total of commercial paper, certificates of deposit and banker's acceptances in one financial institution may not exceed \$5,000,000 (up to \$10,000,000 if the amount exceeding \$5,000,000 is in certificates of deposit that are 100% collateralized at their market value).
3. Commercial paper may be purchased with a term less than 270 days.

M. Banker's Acceptances

1. An amount not to exceed \$3,000,000 in any bank, or subsidiaries of that bank whose long-term debt is rated A or better.
2. The total of commercial paper, certificates of deposit and banker's acceptances in one financial institution shall not exceed \$5,000,000 (up to \$10,000,000 if the amount exceeding \$5,000,000 is in certificates of deposit that are 100% collateralized at their market value).
3. Banker's acceptances shall be purchased with a term less than 270 days.

N. Other Investments

Between meetings of the Board of Trustees, if deemed advisable, other investments not specifically authorized by this Policy may be made if approved by the Investments Committee. Any such action shall be taken to the Board of Trustees for approval at its next meeting.

VIII. Management of Investments

Except as provided herein, the Treasurer shall be authorized to implement and administer this Policy on behalf of the Board of Trustees and manage the Operating Fund in accordance with this Policy.

IX. Exceptions

Modifications and exceptions to this Policy shall be authorized only by resolution of the Board of Trustees or as provided herein. The terms and conditions of this Policy as to the non-endowment portfolio may be waived if specified by the terms of a gift and approved by the Board of Trustees.

X. Reporting and Accountability

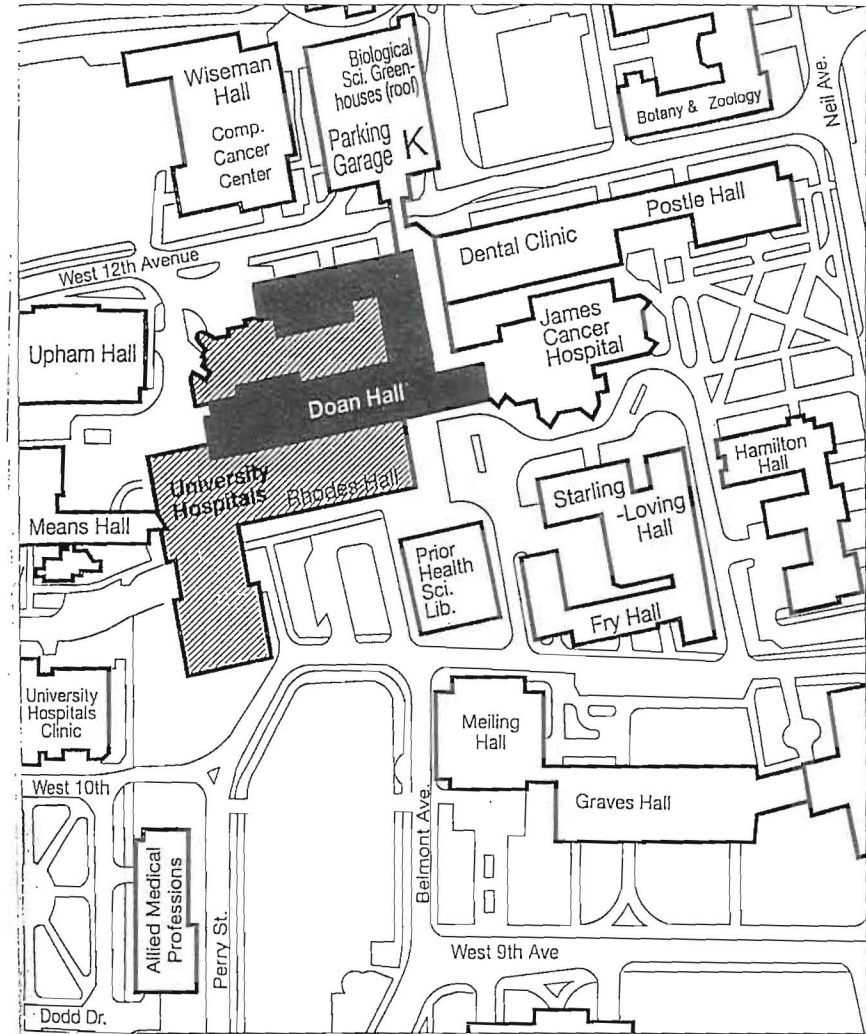
The Treasurer shall be accountable to the Board of Trustees for implementing this Policy. The Treasurer shall make a quarterly written report to the Investments Committee and the Vice President for Finance on the status of the Operating Fund.

Waivers of Competitive Bidding Requirements
April - June 1998

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>	
Merchandise for Resale	\$19,662,502	\$19,662,502	2	\$0	0
Hospitals - Professional Health Care Services	\$10,301,004	\$0	0	\$0	8
Hospitals - Equipment and Services	\$5,357,427	\$3,737,914	29	\$152,028	4
Instructional and Research Equipment and Services	\$822,501	\$611,894	7	\$0	0
Administrative Support Equipment and Services	\$5,088,254	\$1,673,268	16	\$35,965	1
TOTAL	\$41,231,688	\$25,685,578	54	\$187,993	5
					\$15,358,117

(APPENDIX XI)

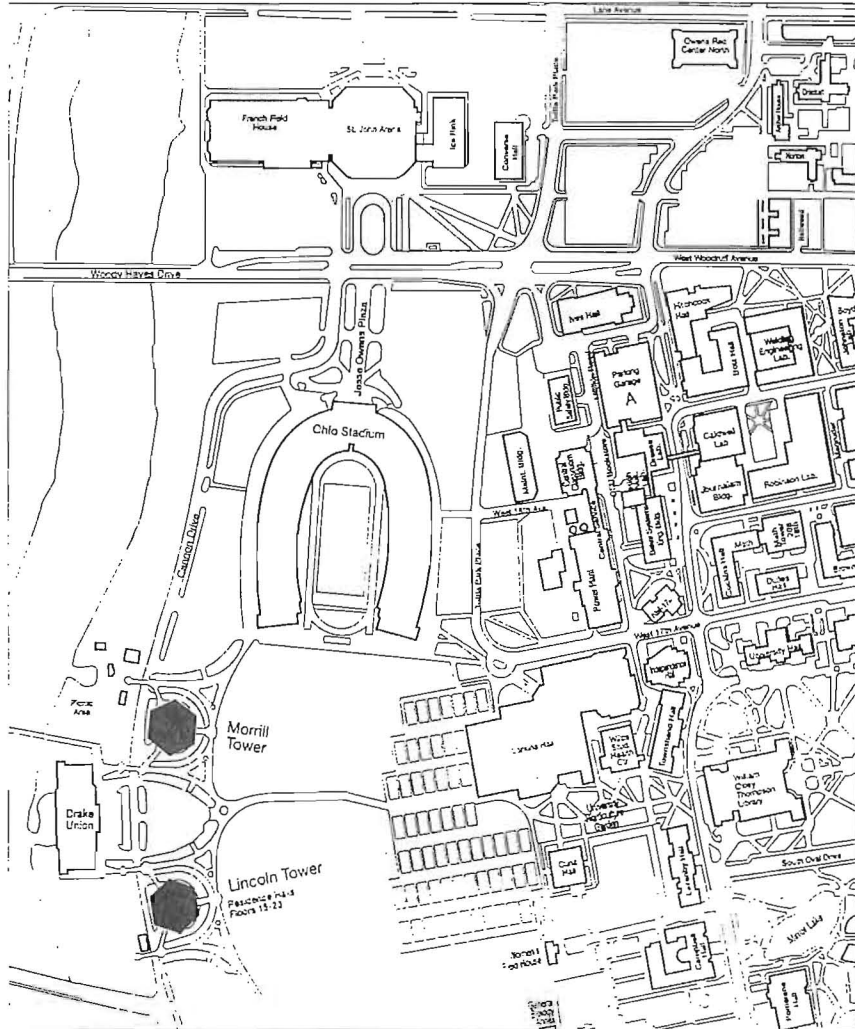
Doan Hall Labor and Delivery Unit



Office of Business and Administration
Office of the University Architect and Physical Planning
December 13, 1997



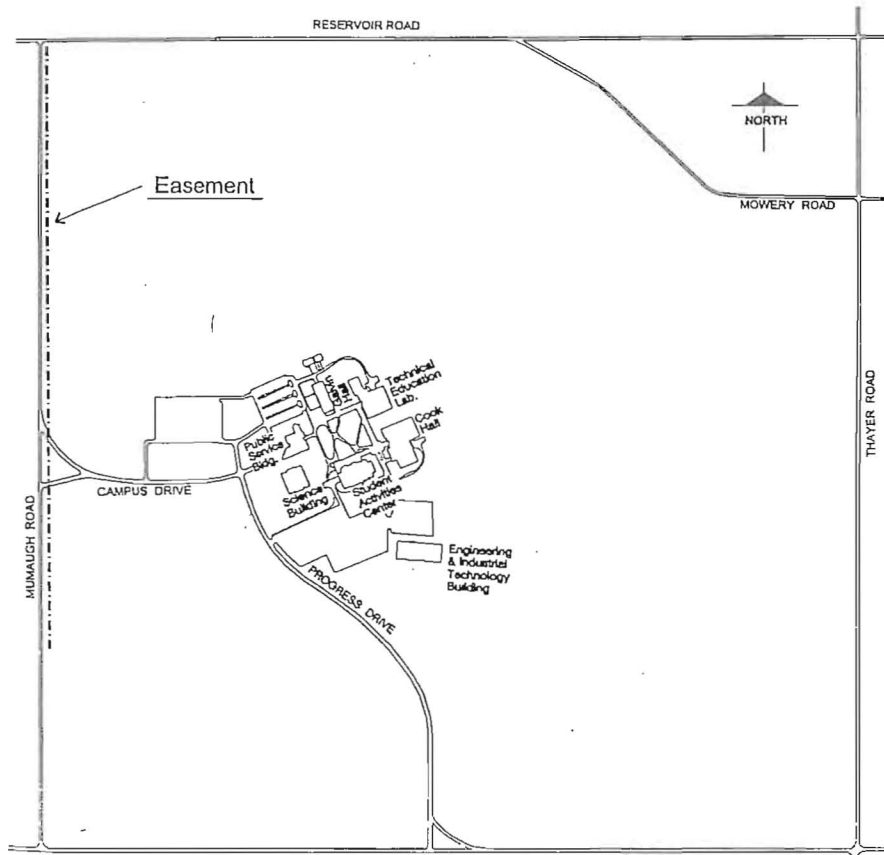
Lincoln and Morrill Towers - Fire Alarm Replacement



Office of Business and Administration
Office of the University Architect and Physical Planning
April 16, 1998



Easement to United Telephone Company at the Lima Regional Campus

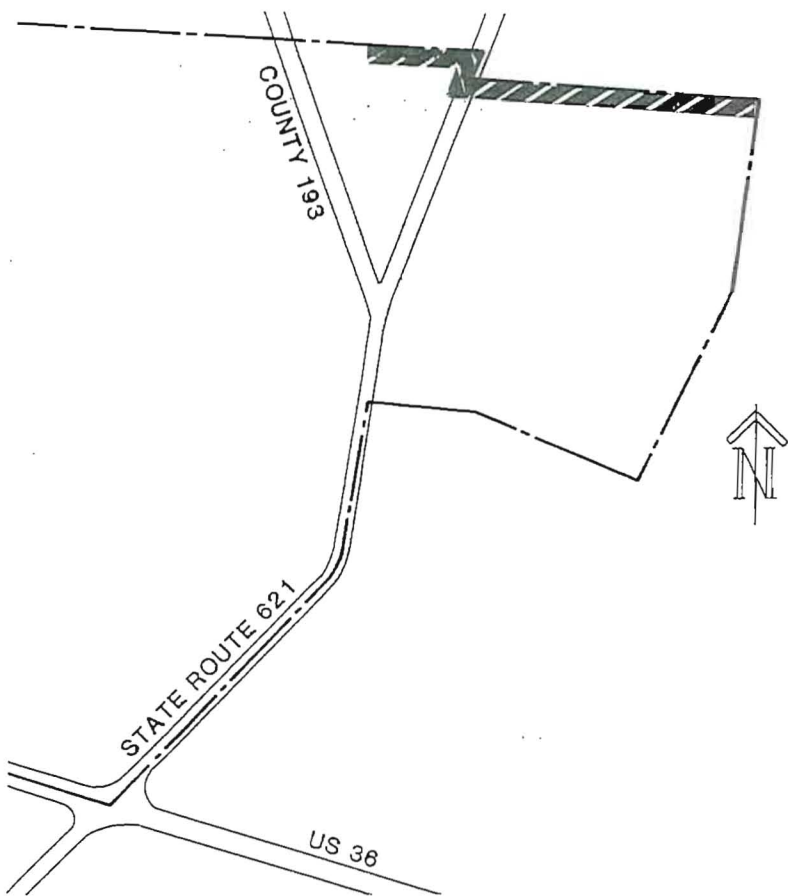


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Office of Business and Administration
August 6, 1998

Map Provided by University Resource Planning and Institutional Analysis

Gas Line Easement to NGO Development Corporation at OARDC-Pomerene Forest Laboratory

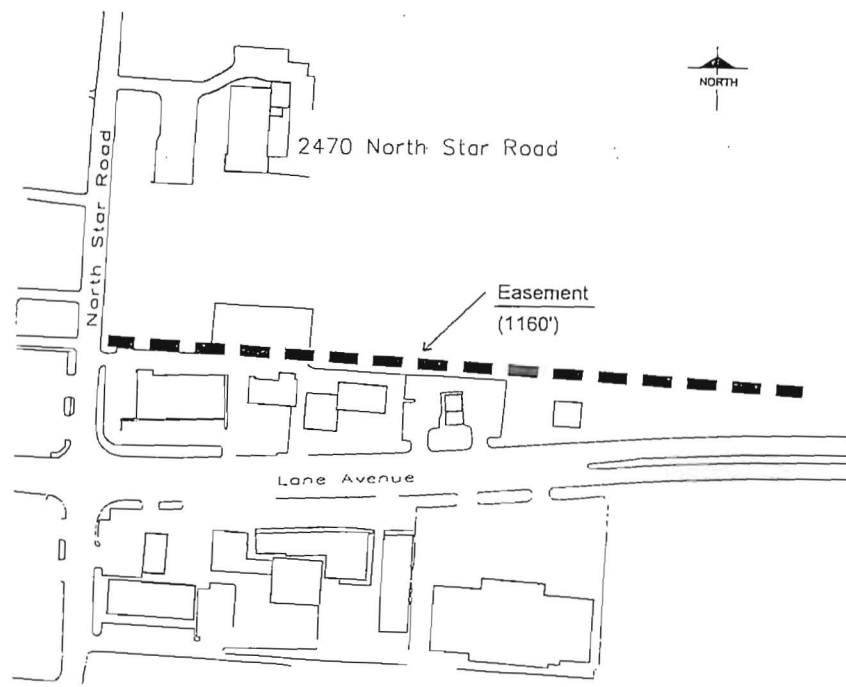


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Office of Business and Administration
August 6, 1998

Map Provided by University Resource Planning and Institutional Analysis

Easement to Ohio Bell Telephone Company

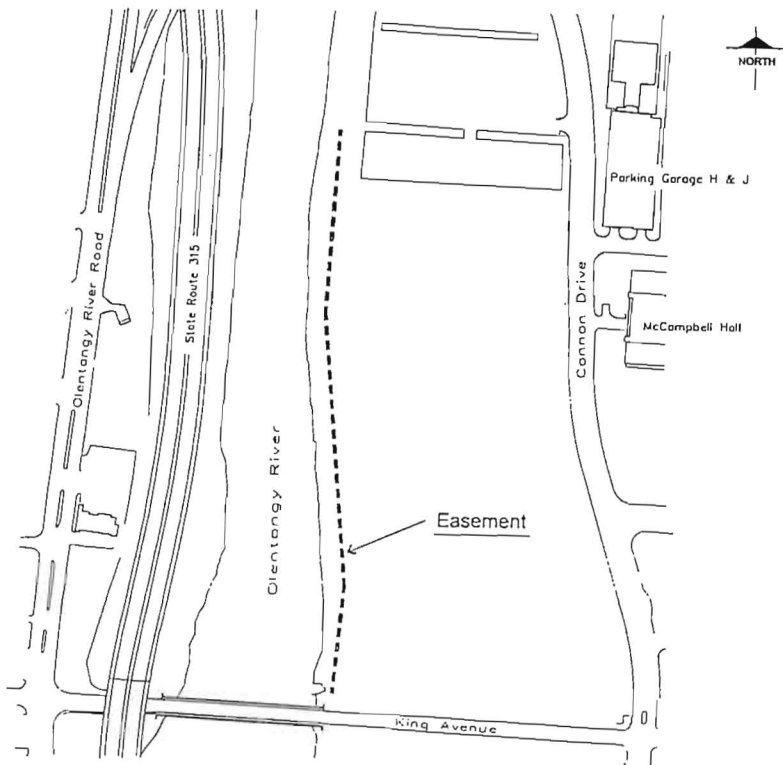


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Office of Business and Administration
August 6, 1998

Map Provided by University Resource Planning and Institutional Analysis

Gas Pipeline Easement to Columbia Gas of Ohio along Olentangy River

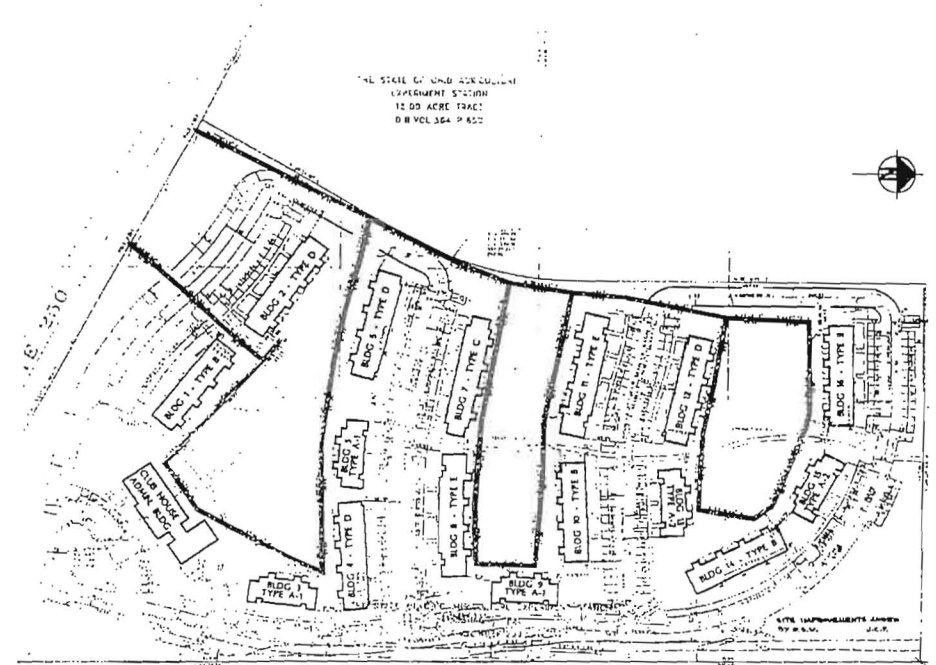


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Office of Business and Administration
August 5, 1998

Map Provided by University Resource Planning and Institutional Analysis

American Electric Power Easement at ATI/OARDC Apartment Village



No True Scale

Office of Business and Administration
August 11, 1998

Map Provided by University Engineer's Office

Summary of the Olentangy River Corridor District Plan

Area

The area bounded generally by King Avenue on the south and the Wetland Research Area on the north, and encompassing the Olentangy River and the river-edge environments east and west of the river (see attached map).

Elements of the Plan

As part of the campus the river corridor will become:

- A part of the Green Reserve open space system of the 1995 plan
- A circulation corridor for walking, running and bicycling
- A resource for learning
- A resource for student life and recreational activities
- A series of unique destinations reflecting different campus districts.

Health Sciences Riverfront

- Creates new highway access bridges crossing the river that serve as gateways joining the campus to the larger region.
- Extends the Green Reserve from the river's edge back to Cannon Drive.
- Creates paths that interconnect the continuous upper and lower trail systems.
- Extends the urban fabric of the Health Sciences Area to meet the river.

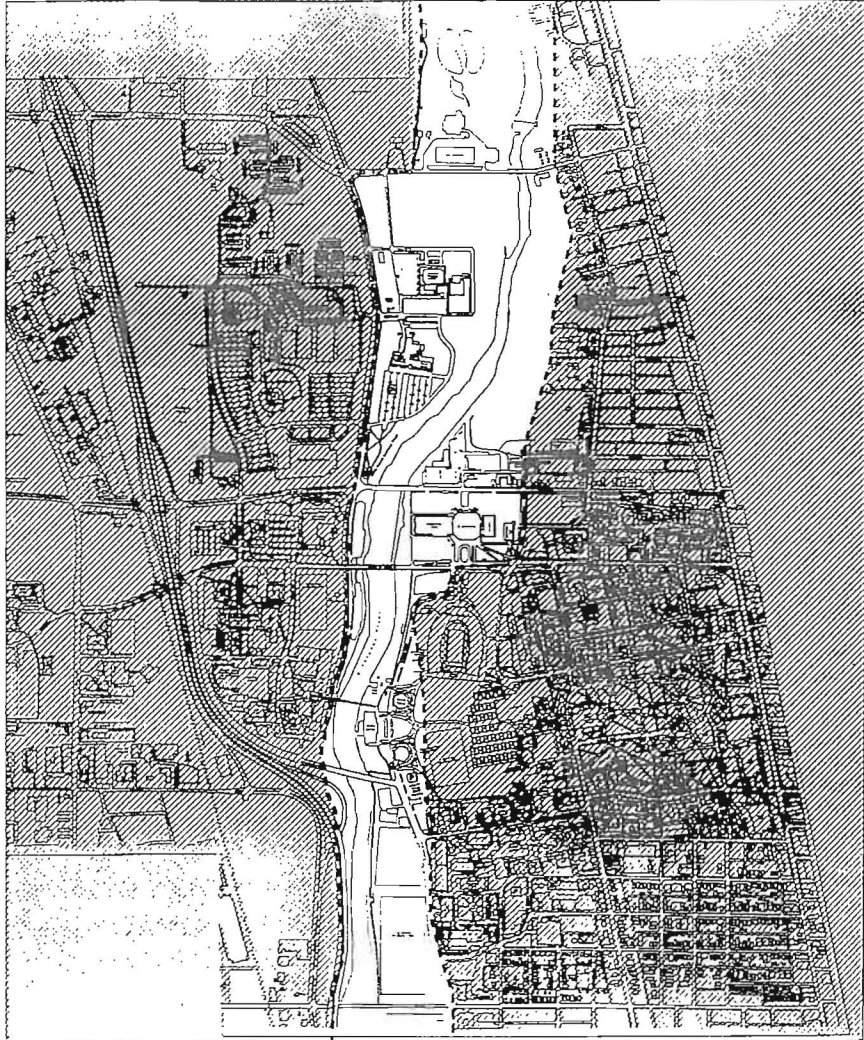
Drake Union Riverfront

- Creates new pedestrian bridge across river north of Drake Union.
- Recommends "river of trees" connecting Drake Union/river to Mirror Lake and oval.
- Identifies area between Lincoln and Morrill Towers for development.
- Redefines base of the towers with architectural and landscape components to create pleasant, supportive environment.
- Recommends pedestrian circulation in area be focused on the street level with continuous sidewalk and rows of trees.

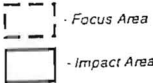
St. John Riverfront

- Recommends Lane Avenue Bridge be designed as a gateway into the main campus.
- Creates continuous trails across the riverbank to link proposed overlook with upper street and lower river levels.
- Recommends new buildings which have active uses on the street corners and on the frontage facing the river, including fitness rooms, offices, restaurants, and/or residential.

Olentangy River Corridor District Plan



Office of Business and Administration
Office of the University Architect and Physical Planning,
June 23, 1998



THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FORTY-SIXTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, October 2, 1998

The Board of Trustees met at its regular monthly meeting on Friday, October 2, 1998,
at The Cleveland Clinic Foundation, Cleveland, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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October 2, 1998 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on October 2, 1998, at 11:20 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, George A. Skestos, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Soraya Rofagha, and Allyson Lowe.

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Mr. Celeste:

I would like to take this opportunity to thank Dr. Loop and the leadership of the Cleveland Clinic Foundation for hosting our meeting this morning, we appreciate it very much. We are delighted to be here and look forward to hearing a stimulating presentation on the joint programs in research partnerships between the Cleveland Clinic and The Ohio State University.

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PRESIDENT'S REPORT

President William E. Kirwan:

I, too, would like to add my words of appreciation to Dr. Loop and his colleagues for making this wonderful facility available to us. A little later in the meeting, we are going to hear a report from him about some of the wonderful things going on between the Medical School at Ohio State and the Cleveland Clinic. We are anxious to hear about the success of our collaboration and what the future might hold for even stronger relations with the Clinic.

A little later in the meeting, we will also hear from Dr. Garland who will be talking about another kind of collaboration that we have with institutions here in the Cleveland area. This is a collaboration with several community colleges in this part of the state where we have articulation agreements that enable students to start here in a community college, then come to Ohio State and continue their academic program as if they had started at Ohio State. It is a remarkable articulation agreement. I was privileged to sign one on Thursday with Owens Community College in Toledo with Dr. Brown there. This will extend those kinds of opportunities to students from Owens Community College as well.

This morning I would like to report on several issues that I think are important since our last meeting. The first of these is that we have begun a new term at Ohio State. We have lots of eager students all over the campus. We welcomed 6,000 new students to the University for the fall term. I have to tell you, once again, that it was the most talented entering group of students we have had in the history of the institution.

Almost 60 percent of the students were in the top 25 percent of their high school class and over a quarter of them were in the top 10 percent of their class. That is really a very impressive number, more than 1,200 honor students included in that 6,000 students.

We had a highly successful move-in for the new students. I can talk about this with some enthusiasm because first of all I participated in it, but secondly, I was seeing it for the first time with fresh eyes. I was absolutely amazed. There was Chairman Celeste, vice presidents from across the institution, student volunteers, faculty, and staff, all coming to the institution on a Sunday morning to help our new students move into the residence halls.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

I just want you to picture this scene: a car drives up with a nervous student, agitated parents, full of all sorts of luggage and three students descend upon the car, throw open the trunk, take out all of the luggage, put it on specially made racks, whisk it off to the dorm rooms, all before the parents get out of the car. It was a remarkable display of support for our entering students. One parent even said, "You know we were figuring that this would take us two or three hours. We don't know what to do with our time now. We already moved in."

Following that, we had a marvelous Convocation for our new students. After the Convocation, the pep band -- this is another scene you have to picture -- led us out of St. John Arena. So there was the University administration, in academic regalia, led by the band and 5,000 students following. The band was almost like the Pied Piper. We marched our way through the Horseshoe with all these students following, all the way up to the Oval where we had a University picnic. The entire community came out for a picnic -- 15,000 people at a picnic on the Oval welcoming our new students.

This week we also had a Storm the Dorm event. We had hundreds of faculty who went into the residence halls, knocking on doors of our new students and asking them how things are going at Ohio State. We had a book bagging for the new students. Coach Cooper and I were at the Bookstore bagging books for new students, along with lots of other faculty and staff. It was just a tremendous display of spirit and enthusiasm in welcoming our new students. So I think the term has gotten off to an excellent start.

We also had another exciting event on campus the week before that. We announced the establishment of the John Glenn Institute for Public Service and Public Policy. Senator Glenn is, of course, giving all of his papers and memorabilia to the University and has agreed to establish this institute in his name. He will even teach in the institute.

His concept, which is very much attuned to the philosophy at the institution, is that this institute will really focus on three areas. The first is education -- the Senator feels so passionately that we have to do something to educate the next generation of leaders about the importance of civic life. So a central focus of this institute is going to be giving students an opportunity to learn about civic responsibilities and to have opportunities to go to the Statehouse and to Capital Hill to work as interns. The institute will also have a research function to address the major public policy issues of our day. The institute will also have a lecture series and symposia to keep the public informed. So we're very excited about this new Glenn Institute.

We had another big gathering on the Oval a couple of weeks ago when we announced the increase in the "Affirm Thy Friendship" campaign to a billion dollars. We increased our campaign goals because of our new priorities and because of the generosity of the alumni, friends, and corporations who support the University. We will be one of only seven public universities in the United States engaged in the billion dollar campaign. This would not be possible without the enthusiastic support of our friends and, of course, the outstanding work of Jerry May and his colleagues. This campaign has already produced for the institution. Now listen to these numbers: 56 endowed chairs and professorships, which will enable the University to recruit the most outstanding people from around the country; 2,000 students being supported by endowed scholarships; and facilities like the Fisher College of Business and the Schottenstein Center, all made possible because of the success of this campaign.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Another item I wanted to mention is that the Board of Regents has just submitted their next biennial budget. We have worked very closely with the Board of Regents and staff there, and Bill Shkurti and Tami Longaberger have been especially involved in this effort. The Board of Regents has responded to the issues that this University and others have raised. They are putting forth a budget request of seven percent for each year of the next biennial. This is a budget that reflects issues and priorities of Ohio State. A four percent increase in base budget support and three percent that will be performance-based, a principle that we have been pressing very hard here at Ohio State. I think it is just vitally important that everyone associated with Ohio State get behind and support this budget of the Board of Regents, and we need to get our colleagues at other institutions across the state to join us in this effort.

Finally, Mr. Chairman, I just want to close with some comments about Ohio State and the Cleveland area. I have been in Cleveland the last two and a half days, and have had a chance to visit with a lot of people and see many things. Indeed, we are going to hear two presentations today about activities involving Ohio State.

Yesterday, I, and several people from Ohio State, met with the guidance counselors from high schools here in the Cleveland area to talk about the opportunities that exist for their students at Ohio State. We currently have 3,600 students from this region attending Ohio State, including our very own president of the Undergraduate Student Government and honors student, Josh Mendal. Josh is with us today, along with his father. His mother wanted to be here, but she had another obligation. We are just so proud of Josh; he will be saying a few words a little later.

We have 15,000 dues paying alumni members in this region and I had a chance to meet with many of them at the Shaker Club last night. They support five full scholarships for students from this region to come to Ohio State University. I met with people from the Ohio State Extension Service, who are doing wonderful things in this metropolitan region through the 4-H activities and through a very exciting urban gardening program. They are really making a difference in this urban area here in greater Cleveland.

Ohio State faculty have been very involved in reclaiming the quality of Lake Erie. Our Stone Lab Center for Lake Erie Research is right here in this region. It has done tremendous work on water quality in the Lake. The Ohio Sea Grant program from Ohio State was involved incidentally in the football stadium that was just torn down. It was our researchers from the Ohio Sea Grant program who pointed out that the foundation of the old stadium would make a great reef and would attract fish. So that area where Memorial Stadium used to be is now a fabulous fishing area, in part, designed by the faculty and staff from Ohio State.

It is clear that Ohio State is making an enormous difference in the lives of citizens here in the greater Cleveland area. We can and will do more, but I think we can take great pride in the work that is currently going on.

Now I couldn't close without noting that there are two major events effecting all Ohioans taking place this weekend -- one will be in a city on the east coast and another will be down at Ohio Stadium. I will just conclude my remarks, Mr. Chairman, by saying Go Tribe and Go Bucks!

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CONSENT AGENDA

President Kirwan:

We have five routine resolutions to present to the Board for approval today and unless there are any objections, I would like to recommend the following items:

PERSONNEL ACTIONS

Resolution No. 99-40

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the September 2, 1998 meeting of the Board, including the following Appointments/Reappointment, Leave of Absence Without Salary, and Emeritus Titles, as detailed in the University Budget be approved and the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center) approved August 27, 1998, by the Hospitals Board, be ratified.

Appointments

Name: JOHN M. STANG
Title: Associate Professor (The Harry C. and Mary Elizabeth Powelson Professorship in Medicine)
Effective: October 1, 1998 through September 30, 2002
College: Medicine and Public Health

Name: JAMES C. WILLIAMS
Title: Professor (The Honda Chair for Transportation)
Effective: March 1, 1999
Department: Materials Science and Engineering
Present Position: General Manager, Materials and Process Engineering Department, GE Aircraft Engines, Cincinnati, Ohio

Reappointment

Name: W. JAMES HARPER
Title: Professor (The J. T. "Stubby" Parker Chair in Dairy Foods)
Department: Food Science and Technology
Term: October 1, 1998 through September 30, 2002

Leave of Absence Without Salary

MICHAEL P. FITZ, Associate Professor, Department of Electrical Engineering, effective Autumn Quarter 1998, to allow him to participate in the development of a wireless modem with Sophia Communications, Inc., in San Francisco, California.

Emeritus Titles

DAVID HOTHERSALL, Department of Psychology, with the title Professor Emeritus, effective August 1, 1998.

ALFRED C. P. YANG, Department of Physics, with the title Professor Emeritus, effective May 1, 1998.

JOHN F. LONG, Department of Veterinary Biosciences, with the title Associate Professor Emeritus, effective August 1, 1998.

HARRY D. MCSWINEY, JR., Department of Chemistry (Lima Campus), with the title Associate Professor Emeritus, effective July 1, 1998.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

SUSANN K. ERWIN, Ohio State University Extension, with the title Instructor Emeritus, effective September 1, 1998.

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center)

June and July 1998

Vivek V. Abhyankar, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/99
Jeffery W. Adkins, M.D.	Ophthalmology	PGY2 Limited	7/1/98 - 6/30/99
Amit Agrawal, M.D.	Otolaryngology	PGY6 Limited	7/1/98 - 6/30/99
Ann Albers, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/99
Todd S. Albright, D.O.	Obstetrics/Gynecology	PGY3 Limited	7/1/98 - 6/30/99
Bruce V. Alden, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Raajan R. Arulampalam, A.A.	Anesthesiology	Physician Extender	10/30/97 - 6/30/99
David P. Bahner, M.D.	Emergency Medicine	Attending	7/1/98 - 6/30/99
Gregory W. Baran, M.D.	Radiology	Attending	5/1/98 - 6/30/99
Jonathan C. Bender, M.D.	Hematology/Oncology	PGY4 Limited	7/1/98 - 6/30/99
Daniel R. Benner, P.A.	Internal Medicine	Physician Extender	7/1/98 - 6/30/99
Shauna L. Bethel, M.D.	Internal Medicine	PGY1 Limited	7/1/98 - 6/30/99
Joan S. Bohon, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Richard Jon Breen, M.D.	General Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Rick L. Brittain, M.D.	Radiology	PGY6 Limited	7/1/98 - 6/30/99
Scott A. Buck, M.D.	Ophthalmology	PGY2 Limited	7/1/98 - 6/30/99
Kathryn Lynn Burns, M.D.	General Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
William E. Cadwell, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
David M. Caldwell, M.D.	Anesthesiology	PGY2 Limited	7/1/98 - 6/30/99
Philip E. Calendne, M.D.	Diagnostic Radiology	PGY2 Limited	7/1/98 - 6/30/99
Barbara Ann Carson, CRNA	Anesthesiology	Physician Extender	4/7/98 - 6/30/98
Jeff D. Chancellor, M.D.	Gen Obst./Gynecology	PGY1 Limited	7/1/98 - 6/30/99
Lisa A. Chi, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Herman R. Clements M.D.	Psychiatry	Attending	5/12/98 - 6/30/99
Salvatore Di Carlo, M.D.	General Pediatrics	Courtesy	7/1/98 - 6/30/99
Stephanie W. Costa, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 7/1/99
Michelle A. Couture, P.A.	Internal Medicine	Physician Extender	7/1/98 - 6/30/99
Michael R. Cram, M.D.	Urologic Surgery	Attending	7/1/98 - 6/30/99
David R. Crotzer, M.D.	Obstetrics/Gynecology	PGY1 Limited	7/1/98 - 6/30/99
Curt J. Daniels, M.D.	Cardiology	Attending	7/14/98 - 6/30/99
Robert L. Daniels, M.D.	Otology/Neurotology	PGY7 Limited	7/1/98 - 6/30/99
Amy Elizabeth Deibel, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Lawrence A. DeRenne, M.D.	Pathology	PGY6 Limited	7/1/98 - 6/30/99
Darius C. Desai, M.D.	Surgical Oncology	PGY6 Limited	7/1/98 - 6/30/99
Hiwot B. Desta, M.D.	Internal Medicine	PGY1 Limited	7/1/98 - 6/30/99
Mary S. DiOrio, M.D.	Preventive Medicine	PGY2 Limited	7/1/98 - 6/30/99
Beth Leah Ellingwood, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Jeffrey C. Eschbach, M.D.	Internal Medicine	Attending	7/1/97 - 6/30/99
Ruairi J. Fahy, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/99
Linda A. Fannin, D.O.	General Pediatrics	Courtesy	5/6/98 - 6/30/99
Diana C. Fenton, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Tadd Ferguson, M.D.	Emergency Medicine	PGY3 Limited	7/1/98 - 7/31/98
Chimene W. Fikkert, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Lisa Forehand, M.D.	Internal Medicine	PGY1 Limited	7/1/98 - 6/30/99
Jill J. Fortin, PCRM	Internal Medicine	Physician Extender	7/1/98 - 6/30/00
Jack R. Fry, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Vamshi K. Garlapaty, M.D.	Internal Medicine	PGY1 Limited	7/1/98 - 6/30/99
Igor Genkin, M.D.	Internal Medicine	PGY4 Limited	7/1/98 - 6/30/99

PERSONNEL ACTIONS (contd)Medical Staff Appointments and Reappointments (The Ohio State University Medical Center) (contd)June and July 1998 (contd)

Mark A. Gerhardt, M.D., PhD	Anesthesiology	Attending	7/1/98 - 6/30/99
Timothy G. Gillum, M.D.	Otolaryngology	PGY2 Limited	7/1/98 - 6/30/99
Catherine S. Glazer, M.D.	Emergency Medicine	PGY1 Limited	7/1/98 - 6/30/99
Anuj Goyal, M.D.	Pulmonary	PGY4 Limited	7/1/98 - 6/30/99
Lonna L. Grady, R.N.	Internal Medicine	Physician Extender	7/1/98 - 6/30/00
Lorna J. Grim, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Genevieve B. Hagerty, M.D.	Gen Obst/Gynecology	PGY1 Limited	7/1/98 - 6/30/99
Natasha B. Halasa, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Sharon L. Hammond, R.N.	Obstetrics/Gynecology	Physician Extender	7/1/98 - 6/30/00
Lisa G. Hanna, M.D.	Internal Medicine	PGY1 Limited	7/1/98 - 6/30/99
Angela Marie Harris, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Veronica D. Haverick, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Brian C. Hiestand, M.D.	Emergency Medicine	PGY1 Limited	7/1/98 - 6/30/99
Patricia A. Hile, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/98
Jason C. Hollingsworth, M.D.	Emergency Medicine	PGY1 Limited	7/1/98 - 6/30/99
Jean A. Jaffke, M.D.	General Surgery	PGY6 Limited	7/1/98 - 6/30/99
Mark G. Janorschke, CRNA	Anesthesiology	Physician Extender	7/1/98 - 6/30/99
William J. Janssen, M.D.	Internal Medicine	PGY1 Limited	7/1/98 - 6/30/99
Jeffrey R. Johnson, M.D.	Maternal/Fetal Medicine	PGY5 Limited	7/1/98 - 6/30/99
David A. Jones, M.D.	General Surgery	PGY1 Limited	7/1/98 - 6/30/99
Jamie B. Kaplin, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Boris I. Karanfilov, M.D.	Otolaryngology	PGY2 Limited	7/1/98 - 6/30/99
Joyce I. Karl, R.N.	Internal Medicine	Physician Extender	7/1/98 - 6/30/00
Charles V. Kassiech, D.O.	Family MedicineCommunity Affiliate		3/26/98 - 6/30/99
John J. Keller, M.D.	Internal Medicine	PGY1 Limited	7/1/98 - 6/30/99
Sorabh Khandelwal, M.D.	Emergency Medicine	Attending	7/1/98 - 6/30/99
Cynthia E. King, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Rosemary J. Klecker, M.D.	Radiology	PGY2 Limited	7/1/98 - 6/30/99
Dino D. Klisovic, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/99
Randall B. Knutson, M.D.	Emergency Medicine	PGY1 Limited	7/1/98 - 6/30/99
Valerie K. Kounkel, D.O.	Ophthalmology	PGY3 Limited	7/1/98 - 6/30/99
Lawrence J. Kusior, M.D.	Orthopedic Surgery	PGY6 Limited	7/1/98 - 6/30/99
Susan E. Lampert, CRNA	Anesthesiology	Physician Extender	7/1/97 - 7/1/99
Allen J. LeBlanc, Jr., M.D./Ph.D.	Obstetrics/Gynecology	PGY1 Limited	7/1/98 - 6/30/99
Heather A. Loesch, M.D.	Otolaryngology	PGY5 Limited	7/1/98 - 6/30/99
Gwyn R. Londeree, M.D.	Dermatology	PGY2 Limited	7/1/98 - 6/30/99
Nancy K. Lowe, R.N.	Obstetrics/Gynecology	Physician Extender	7/1/98 - 6/30/99
Andrew S. MacDowell, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
John P. MacLaurin, D.O.	Internal Medicine	Attending	7/1/98 - 6/30/00
Jeffery D. Madden, Ph.D.	Physical Med& Rehab	Attending/Ph.D.	7/1/98 - 6/30/00
William E. Maher, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
William B. Malarkey, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Gunwant S. Mallik, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Charles J. Manfresca, D.O.	Family MedicineCommunity Affiliate		7/1/98 - 6/30/00
Andrei V. Manilchuk, M.D.	General Surgery	PGY1 Limited	7/1/98 - 6/30/00
Guido Marcucci, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Christopher G. Maropis, M.D.	Family Medicine	Attending	7/1/98 - 6/30/00
John L. Marquardt, M.D. Ophthalmology		Courtesy	7/1/98 - 6/30/00
William L. Marsh, Jr., M.D.	Pathology	Attending	7/1/98 - 6/30/00
Daniel R. Martin, M.D.	Emergency Medicine	Attending	7/1/98 - 6/30/00
Edward W. Martin, Jr., M.D.	Surgery	Attending	7/1/98 - 6/30/00
Christine S. Marx, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Linda J. Masone, CRNA	Anesthesiology	Physician Extender	7/1/98 - 6/30/99

PERSONNEL ACTIONS (contd)

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center) (contd)

June and July 1998 (contd)

Douglas D. Massick, M.D.	Otolaryngology	PGY2 Limited	7/1/98 -6/30/00
John H. Masys, M.D.	Internal Medicine	Community Affiliate	7/1/98 - 6/30/00
Velimir Matkovic, M.D.	Physical Med & Rehab	Attending	7/1/98 - 6/30/00
Ernest L. Mazzaferri, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Richard E. McClead, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Derek A. McClellan, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00
Joel C. McClurg, M.D., Ph.D.	Orthopedic Surgery	PGY1 Limited	7/1/98 -6/30/00
Joan C. McCord, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Karen S. McCoy, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
John S. McDonald, M.D.	Obstetrics/Gynecology	Attending	7/1/98 - 6/30/00
Mary L. McGregor-Kackmer, M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Robert T. McKinlay, M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Mitchell A. Medow, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Hagop S. Mekhjian, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Jerry R. Mendell, M.D.	Neurology	Attending	7/1/98 - 6/30/00
James A. Menke, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Marco Mercieri, M.D.	Anesthesiology	PGY5 Limited	3/1/98 - 8/30/98
Lawrence J. Mervis, M.D.	Surgery	Attending	7/1/98 - 6/30/00
George M. Messick, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Kenneth J. Michau, II, M.D.	Emergency Medicine	PGY3 Limited	7/1/98 - 6/30/00
Donald F. Middendorf, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Michael J. Miedler, M.D.	Dermatology	PGY1 Limited	7/1/98 - 6/30/00
Kevin S. Miller, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Randy R. Miller, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Susan E. Miller, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00
Michael E. Miner, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Carl A. Minning, Jr., M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Bruce R. Mirvis, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Constance R. Moore, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
James L. Moore, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Nancy C. Moore, M.D.	Family Medicine	PGY2 Limited	7/1/98 - 6/30/00
Laura E. Morgan, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Patty A. Morgan, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Richard L. Morgan, M.D.	Pathology	PGY6 Limited	7/1/98 - 6/30/00
Carl D. Morrison, M.D.	Pathology	PGY1 Limited	7/1/98 -6/30/00
Grant Morrow, III, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
James L. Moses, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00
Perry D. Mostov, D.O.	Family Medicine	Attending	7/1/98 -6/30/00
Robert A. Murden, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Mark A. Muresan, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Amy A. Myers, M.D.	Family Medicine	Attending	7/1/98 - 6/30/00
Padma Chowdary Nadella, M.D.	Hematology/Oncology	PGY4 Limited	7/1/98 -6/30/00
Maria Nakelchik, M.D.	General Medicine	PGY1 Limited	7/1/98 -6/30/00
Arvin K. Nanda, D.O.	Obstetrics/Gynecology	PGY3 Limited	3/23/98 - 4/17/98
Nestor M. Narcelles, M.D.	Anesthesiology	Attending	7/1/98 - 6/30/00
Steven M. Nash, M.D.	Neurology	Attending	7/1/98 -6/30/00
Henry A. Nasrallah, M.D.	Psychiatry	Attending	7/1/98 - 6/30/00
Malarvizhi Natesan, A.A.	Anesthesiology	Physician Extender	7/1/98 - 6/30/00
Herbert B. Newton, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Theodore H. Niemann, M.D.	Pathology	Attending	7/1/98 - 6/30/00
Keith Alan Niggemyer, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Mary-Lynn Niland, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00
Jose J. Norberto, M.D.	Surgery	PGY6 Limited	7/1/98 - 6/30/00

PERSONNEL ACTIONS (contd)Medical Staff Appointments and Reappointments (The Ohio State University Medical Center) (contd)June and July 1998 (contd)

Peter Novak, M.D.	Neurology	PGY2 Limited	7/1/98 - 6/30/00
Philip T. Nowicki, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Richard E. O'Brien, M.D.	Internal Medicine	Courtesy	7/1/98 - 6/30/00
Jeffrey C. Oehler, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00
Gary E. Okuley, M.D.	Family Medicine	PGY2 Limited	7/1/98 - 6/30/00
Stephen C. Olson, M.D.	Psychiatry	Attending	7/1/98 - 6/30/00
Emil M. Opremcak, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00
Brian J. Oricoli, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Angela M. Orlino, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Kwame Osei, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Robert F. Ostrum, M.D.	Surgery	Courtesy	7/1/98 - 6/30/00
Robert V. O'Toole, M.D.	Pathology	Attending	7/1/98 - 6/30/00
Hosi Padamadan, M.D.	Family Medicine	Community Affiliate	7/1/98 - 6/30/00
John T. Pajka, M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Stanley F. Pajka, M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Ann Pakalnis, M.D.	Neurology	Courtesy	7/1/98 - 6/30/00
Richard A. Pape, D.M.D.	Dentistry	PGY3 Limited	7/1/98 - 6/30/00
John P. Paraskos, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Michael S. Parker, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
George W. Paulson, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Gregory D. Pearson, M.D.	General Surgery	PGY1 Limited	7/1/98 - 6/30/00
Joyce C. Peji, M.D.	Dermatology	PGY1 Limited	7/1/98 - 6/30/00
Ronald P. Pelletier, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Sam L. Penza, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Eduardo J. Perez, M.D.	General Surgery	PGY1 Limited	7/1/98 - 6/30/00
Maria I. Periquet, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Sheryl A. Pfeil, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Srikumar B. Pillai, M.D.	General Surgery	PGY3 Limited	7/1/98 - 6/30/00
Christine A. Pitts, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Laura D. Plachta, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Charles P. Pollak, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Joseph W. Pool, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Kathryn S. Pool, M.D.	Gen Obst/Gynecology	PGY1 Limited	7/1/98 - 6/30/00
Ana I. Porcell, M.D.	Pathology	PGY5 Limited	7/1/98 - 6/30/00
David J. Portman, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Dwight A. Powell, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Anant C. Praba, M.D.	Surgery Critical Care	PGY6 Limited	7/1/98 - 6/30/00
Jerry W. Pratt, M.D.	Thorac/Cardio Surgery	PGY6 Limited	7/1/98 - 6/30/00
David H. Prescott, M.D.	Family Medicine	Attending	7/1/98 - 6/30/00
Daniela M. Proca, M.D.	Pathology	PGY3 Limited	7/1/98 - 6/30/00
Jonathan T. Puchalski, M.D.	IM/Pediatrics	PGY1 Limited	7/1/98 - 6/30/00
Helen L. Quickle, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/98
Stephen J. Qualman, M.D.	Pathology	Attending	7/1/98 - 6/30/00
Patty A. Rabinowitz, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Kottil W. Rammohan, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Kirk P. Rankine, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Amanda M. Rauck, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Robert C. Rau, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Gary L. Rea, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Douglas W. Reader, M.D.	Diagnostic Radiology	PGY2 Limited	7/1/98 - 6/30/00
Kristina M. Reber, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Andrew L. Reeves, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Alan J. Rehmar, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00

PERSONNEL ACTIONS (contd)

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center) (contd)

June and July 1998 (contd)

Thomas E. Reilley, D.O.	Anesthesiology	Attending	7/1/98 -6/30/00
Rosemary E. Reiss, M.D.	Obstetrics/Gynecology	Attending	7/1/98 - 6/30/00
Chester D. Ridenour, D.O.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Ali A. Rikabi, M.B., B.CH.	Diagnostic Radiology	PGY2 Limited	7/1/98 - 6/30/00
Karen M. Rice, CRNA	Anesthesiology	Physician Extender	7/1/98 - 6/30/00
Ralph J. Riviello, M.D.	Emergency Medicine	Attending	7/1/98 - 6/30/00
Bonnie H. Rizzo, CRNA	Anesthesiology	Physician Extender	1/12/98 - 6/30/99
Jane A. Roach, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Bard L. Rogers, M.D.	Family Medicine	PGY2 Limited	7/1/98 - 6/30/00
James T. Rogers, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00
JoAnn C. Rohyans, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Peter A. Roloff, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00
Patrick Ross, Jr., M.D.	Surgery	Attending	7/1/98 - 6/30/00
Steven E. Ross, M.D.	Family Medicine	Community Affiliate	7/1/98 - 6/30/00
Susan R. Rovick, R.N.	Obstetrics/Gynecology	Physician Extender	7/1/98 - 6/30/00
Brad H. Rovin, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Daniel G. Rowland, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
David A. Ruedrich, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Douglas A. Rund, M.D.	Emergency Medicine	Attending	7/1/98 - 6/30/00
Frederick B. Ruymann, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
James M. Ryan, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Martin T. Ryan, M.D.	General Psychiatry	Attending	7/1/98 - 6/30/00
Grace Y. Ryu, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Christine M. Saas, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Smitha Sadashivappa, M.D.	General Psychiatry	PGY1 Limited	7/1/98 - 6/30/00
Zarife Sahenk, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Mervyn J. Samuel, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Bhagwan Satiani, M.D.	Surgery	Courtesy	7/1/98 - 6/30/00
Richard M. Saucier, CRNA	Anesthesiology	Physician Extender	7/1/98 - 6/30/00
George A. Sawaya, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Jason G. Sayat, M.D.	Gen Obst/Gynecology	PGY1 Limited	7/1/98 - 6/30/00
Lisa M. Sayoc, M.D.	Dermatology	PGY2 Limited	7/1/98 - 6/30/00
Dwight A. Scarborough, M.D.	Internal Medicine	Courtesy	7/1/98 - 6/30/00
Stephen F. Schaal, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
William J. Schirmer, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Marc B. Schnapper, M.D.	Emergency Medicine	PGY3 Limited	7/1/98 - 6/30/00
David E. Schuller, M.D.	Otolaryngology	Attending	7/1/98 - 6/30/00
David G. Schwegman, M.D.	Emergency Medicine	PGY1 Limited	7/1/98 - 6/30/00
Daniel D. Sedmak, M.D.	Pathology	Attending	7/1/98 - 6/30/00
John H. Seguin, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Donald A. Senhauser, M.D.	Pathology	Attending	7/1/98 - 6/30/00
Niranjan T. Shah, M.D.	Pathology	Courtesy	7/1/98 - 6/30/00
Shahin Shahinfar, M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Hari M. Sharma, M.D.	Pathology	Attending	7/1/98 - 6/30/00
Richard D. Shell, M.D.	Pediatrics	PGY5 Limited	7/1/98 - 6/30/00
Roger H. Sherman, M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Joel A. Shockley, M.D.	Diagnostic Radiology	PGY2 Limited	7/1/98 - 6/30/00
Christian T. Shull, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Ann F. Siefert, CRNA	Anesthesiology	Physician Extender	7/1/97 - 7/1/99
John H. Sirak, M.D.	Surgery	PGY3 Limited	7/1/98 - 6/30/00
Andrew P. Slivka, M.D.	Neurology	Attending	7/1/98 - 6/30/00
William L. Smead, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Ann M. Smith, R.N.	Physical Med & Rehab	Physician Extender	7/1/98 - 6/30/00

PERSONNEL ACTIONS (contd)Medical Staff Appointments and Reappointments (The Ohio State University Medical Center) (contd)June and July 1998 (contd)

Warren M. Sobol, M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Denise A. Somsak, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00
Annemarie Sommer, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Sofronio S. Soriano, M.D.	Physical Med & Rehab	PGY2 Limited	7/1/98 - 6/30/00
John P. Sotos, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Juan F. Sotos, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Wendy L. Sparling, D.O.	Family Medicine	Attending	7/1/98 - 6/30/00
Carl E. Speicher, M.D.	Pathology	Attending	7/1/98 - 6/30/00
Steven J. Stack, M.D.	Emergency Medicine	PGY1 Limited	7/1/98 - 6/30/00
Karen J. Stacey, M.D.	Family Medicine	PGY3 Limited	7/1/98 - 6/30/00
Brian R. Stahl, M.D.	Ophthalmology	Courtesy	7/1/98 - 6/30/00
Shannon R. Staker, M.D.	Pediatrics	PGY3 Limited	7/1/98 - 6/30/00
Vanessa C. Stallkamp, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Michael J. Stanek, D.O.	Internal Medicine	Attending	7/1/98 - 6/30/00
John R. Stechschulte, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00
Laurence E. Stempel, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Nicholas S. Stevens, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00
Fred S. Stockinger, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Heather B. Stout, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00
James E. Sturm, III, M.D.	Family Medicine	Attending	7/1/98 - 6/30/00
Uma Sundaram, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Patricia A. Sweeney, M.D.	Family Medicine	Community Affiliate	4/22/98 - 6/30/00
Renee S. Taylor, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Robert E. Taylor, M.D.	Psychiatry	PGY5 Limited	7/1/98 - 6/30/00
Robert M. Taylor, M.D.	Neurology	Courtesy	7/1/98 - 6/30/00
Shaheen S. Tedjarati, M.D.	Obstetrics/Gynecology	PGY3 Limited	7/1/98 - 6/30/00
Timothy A. Teller, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Douglas W. Teske, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
John N. Teteris, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Nicholas J. Teteris, M.D.	Obstetrics/Gynecology	Attending	7/1/98 - 6/30/00
Karl S. Theil, M.D.	Pathology	Attending	7/1/98 - 6/30/00
Andrew M. Thomas, M.D.	General Medicine	Attending	7/1/98 - 6/30/00
Wenzel Tirheimer, III, M.D.	Emergency Medicine	PGY3 Limited	7/1/98 - 6/30/00
Evan J. Tobin, M.D.	Otolaryngology	Attending	7/1/98 - 6/30/00
James C. Tober, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Virginia L. Tranovich, M.D.	Pathology	PGY4 Limited	7/1/98 - 6/30/00
Chang-Yong Tsao, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Ellen M. Turner, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Glen M. Updike, M.D.	Obstetrics/Gynecology	PGY1 Limited	7/1/98 - 6/30/00
Peter J. Utrata, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00
Luis Vaccarello, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Patrick S. Vaccaro, M.D.	Surgery	Courtesy	7/1/98 - 6/30/00
Manjunath S. Vadmal, M.D.	Dermatopath	Attending	7/1/98 - 6/30/00
Douglas B. Van Fossen, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Lori C. Vavul-Roediger, M.D.	Pediatrics	PGY3 Limited	7/1/98 - 6/30/00
Deborah A. Venesy, M.D.	Physical Med & Rehab	Attending	7/1/98 - 6/30/00
Anil C. Verma, D.D.S.	Dentistry	Attending	7/1/98 - 6/30/00
Louis A. Violi, M.D.	Pulmonary	Attending	7/1/98 - 6/30/00
Phyllis Visocan, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00
Joel G. Wachtel, M.D.	Ophthalmology	Honorary Status	
Theodore T. Wagner, III, M.D.	Surgery	PGY5 Limited	7/1/98 - 6/30/00
Charles G. Wahoff, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Michael D. Waite, M.D.	Emergency Medicine	Attending	7/1/98 - 6/30/00

PERSONNEL ACTIONS (contd)

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center) (contd)

June and July 1998 (contd)

Joseph L. Walsh, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Philip D. Walton, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Karen J. Walter, M.D.	Pediatrics	PGY3 Limited	7/1/98 - 6/30/00
Bonita S. Ward, M.D.	Pathology	PGY5 Limited	7/1/98 - 6/30/00
Marion J. Ware, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/98
John R. Warmolts, M.D.	Neurology	Attending	7/1/98 - 6/30/00
Ray C. Wasielewski, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Joel M. Weaver, D.D.S.	Dentistry	Attending	7/1/98 - 6/30/00
Paul A. Weber, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00
Michael B. Weinstock, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Lawrence D. Weis, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Louise M. Weizer, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/98
Duane B. Welling, M.D.	Otolaryngology	Attending	7/1/98 - 6/30/00
Jennifer L. Wenzke, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Judith A. Westman, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Mark D. Wewers, M.D.	Internal Medicine	Attending	7/1/98 - 6/30/00
Ray S. Wheasler, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
John J. Wheller, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Elizabeth R. Whitaker, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Jeffrey E. White, M.D.	Family Medicine	PGY2 Limited	7/1/98 - 6/30/00
Jennifer R. White, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Patricia S. White, CRNA	Anesthesiology	Physician Extender	7/1/97 - 6/30/99
Gregory J. Wiet, M.D.	Otolaryngology	Attending	7/1/98 - 6/30/00
Jeffrey P. Williams, D.O.	Family Medicine	PGY2 Limited	7/1/98 - 6/30/00
Marvin Williams, Jr., D.O.	Gen Obst/Gynecology	PGY4 Limited	7/1/98 - 6/30/00
Thomas E. Williams, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Thomas J. Williamson, M.D.	Internal Medicine	PGY2 Limited	7/1/98 - 6/30/00
John J. Winsch, M.D.	Family Medicine	Community Affiliate	7/1/98 - 6/30/00
Henry A. Wise, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Mary E. Wissel, M.D.	Pathology	Courtesy	7/1/98 - 6/30/00
Joel C. Wood, M.D.	Family Medicine	PGY2 Limited	7/1/98 - 6/30/00
Bruce E. Woodworth, M.D.	Surgery	Attending	7/1/98 - 6/30/00
Paul J. Wulf, CRNA	Anesthesiology	Physician Extender	7/1/98 - 6/30/00
Ann M. Wurst, M.D.	Obstetrics/Gynecology	Courtesy	7/1/98 - 6/30/00
Donald G. Wyse, M.D.	Family Medicine	Community Affiliate	7/1/98 - 6/30/00
Dong-lin Xie, M.D.	Pathology	PGY6 Limited	7/1/98 - 6/30/00
Rajiv Yakhmi, M.D.	Family Medicine	PGY3 Limited	7/1/98 - 6/30/00
Peter Andrew Yalch, M.D.	Family Medicine	PGY2 Limited	7/1/98 - 6/30/00
Allan J. Yates, M.D.	Pathology	Attending	7/1/98 - 6/30/00
Christopher L. Yerington, M.D.	Anesthesiology	PGY1 Limited	7/1/98 - 6/30/00
James D. Youngman, M.D.	Psychiatry	Attending	7/1/98 - 6/30/00
Carl P. Zelinka, II, D.O.	Family Medicine	Community Affiliate	5/29/98 - 6/30/00
Lara M. Zibners, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00

RESOLUTIONS IN MEMORIAM

Resolution No. 99-41

Synopsis: Approval of four Resolutions in Memoriam.

RESOLUTIONS IN MEMORIAM (contd)

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

William Edward Ashleman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 16, 1998, of Dr. William Edward Ashleman, Assistant Professor Emeritus in the College of Dentistry.

Dr. Ashleman, a native of Grandview Heights, Ohio, graduated from the College of Dentistry in 1943, as a member of the U.S. Army's A.S.T.P. program. Commissioned on graduation as a Captain in the Dental Corps, he volunteered for combat duty and was assigned to the 708th Amphibious Tank Battalion in the Pacific Theatre as the Battalion Dental Surgeon. Dr. Ashleman was wounded in the Okinawa Campaign and was awarded the Purple Heart. He returned to civilian life in 1947 and joined the faculty of the College of Dentistry as an Instructor in 1948.

His service to the University was interrupted from 1951 to 1959 as he initiated and developed a private practice in periodontology in Columbus. He returned to the College as a part-time instructor and in 1966 was promoted to the rank of Assistant Professor. Upon his retirement in 1981, he was awarded Emeritus status.

William Ashleman was active in his profession and was a member of the American Dental Association, the Ohio Dental Association and the Columbus Dental Society, serving as president of the latter. He also served as president of the Ohio Academy of Periodontists. He was a founder and president of the Columbus Dental Veterans Group and was a life member of Delta Sigma Delta, professional dental fraternity.

He will be remembered by his peers and former students for his dedication to his profession, his commitment as teacher and mentor, his loyalty to the College and the University, and his congeniality and thoughtfulness in his relationships with friends and colleagues.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. William Edward Ashleman its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Edward P. J. Corbett

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 24, 1998, of Edward P. J. Corbett, Professor Emeritus in the Department of English.

Professor Corbett was born on October 19, 1919, in Jamestown, North Dakota. From 1938-1942, he attended Venard College in Clarks Summit, Pennsylvania. He then entered the United States Marine Corps and from 1943-46 served in the Pacific as a Radar Technician in the Marine Air Corps. After the war, he took his M.A. from the University of Chicago in 1948 and began his teaching career at Creighton University. He taught at Creighton from 1948 until 1966, with a three-year hiatus to study for the Ph.D., which he received from Loyola University in 1956. In 1966, he came to the Department of English at The Ohio State University, where he served as Director of Freshman English and Vice-Chair until 1970. He became Professor Emeritus upon his retirement in 1990.

Professor Corbett was an outstanding scholar in the broad field of rhetoric and composition and especially distinguished in his particular specialty of classical rhetoric. His book, *Classical Rhetoric for the Modern Student* (1965, 2nd edition 1981) was both widely praised by his peers and widely adopted by teachers of first-year writing. His *The Little English Handbook* and *The Little Rhetoric Handbook* also went through multiple editions and spread his influence across the country. From 1974 to 1979, he served as editor of *College Composition and Communication*, the official journal of the Conference on College Composition and Communication.

RESOLUTIONS IN MEMORIAM (contd)

Edward P. J. Corbett (contd)

In 1984, Professor Corbett's research contributions were rewarded locally by his receiving the University Distinguished Research Award. That same year, three of his former OSU students, Andrea Lunsford, Lisa Ede, and Robert J. Connors, edited a festschrift in his honor called *Essays on Classical Rhetoric and Modern Discourse*. In 1996, Professor Corbett's research, teaching, and service were honored when he received the Exemplar Award from the Conference on College Composition and Communication.

All who knew Professor Corbett regarded him as an exemplar of many virtues: diligence, integrity, unselfishness, and generosity. He maintained a sense of wonder about the world, about the educational enterprise, and about OSU that made him beloved by his colleagues and students. It is fair to say that he was an exemplar of the best values of The Ohio State University.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Edward P. J. Corbett its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Christen Tonnes Jonassen

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 8, 1998, of Christen Tonnes Jonassen, Professor Emeritus in the Department of Sociology.

Born on September 5, 1912, in Norway, Christen Jonassen earned a Bachelor of Arts degree in English from Brooklyn College in 1937, and taught English and social studies for two years at Pawling School in Pawling, New York. He earned a master's and doctoral degrees in sociology from New York University in 1941 and 1947, respectively. Professor Jonassen also served with the Second Infantry Division in the Rifle Squad and participated in campaigns in France, Belgium, and Germany.

He joined the faculty at Ohio State as an instructor in 1947, advancing through the faculty ranks to full professor in 1960. He was a Fulbright University Professor at the Institute for Social Research in Oslo, Norway, in 1962-1963, and held a Social Science Research Council Fellowship there in 1970. Professor Jonassen retired from the University in 1980, having completed 34 years of research, teaching, and service.

Professor Jonassen specialized in the study of urban sociology and community analysis, emphasizing the relationships between culture and personality, and his research focused on attempting to establish the influences of a group's culture and of the ecological facets of their environment. He was also well-known for his work on methods for measuring communities.

The author of numerous journal articles, he also published three books: Community Conflict in School District Reorganization: A Cross-Cultural Study (1968), Values and Beliefs: A Study of American and Norwegian College Students (1972), and Value Systems and Personality in a Western Civilization: Norwegians in Europe and America (1983). His teaching in the department over the years focused on urban sociology and the study of community, comparative sociology, and the sociology of education, and he supervised numerous master's theses and Ph.D. dissertations.

On behalf of the University community, the Board of Trustees expresses to the family of Christen T. Jonassen its deep sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

Harold Brenner Pepinsky

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 4, 1998, of Dr. Harold Brenner Pepinsky, Professor Emeritus in the Department of Psychology.

Dr. Pepinsky was born in St. Paul, Minnesota, September 16, 1917. His elementary education in St. Paul was followed by a year of study at the Fontaine Realschule in Berlin, Germany. He graduated from the University (of Minnesota) High School and then earned the B.A. degree in 1938 (psychology and music), the M.S. degree in 1940 (psychology and social work), and the Ph.D. degree in 1946 (educational psychology and psychology) from the University of Minnesota. He was accredited as a Diplomate in Counseling Psychology by the American Board of Examiners in Professional Psychology and was a certified and licensed psychologist in the State of Ohio.

Harold Pepinsky joined the faculty of The Ohio State University in 1951 as Associate Professor in the Department of Psychology and as Director of Research in the University Counseling and Testing Center, a position he held until 1962. He was promoted to Professor in 1956 and held joint appointments in the Department of Computer and Information Science (1967-1977), and in the Mershon Center as a member of the Core Faculty in Public Policy (1971-1979). He was visiting professor at many universities including New York University, Columbia University, Louisiana State University, and the University of Georgia. He also held numerous elected and appointed offices in national and international professional and honorary organizations, including the presidency of the American College Personnel Association and of the Division of Counseling Psychology of the American Psychological Association. He became Professor Emeritus in 1985.

Professor Pepinsky was unsurpassed in his field as a creative scholar and researcher. Beginning with his doctoral dissertation which was honored by the American Council of Guidance and Personnel Associations as the most outstanding research in his field at that time, he continued to pioneer investigations novel to counseling psychology in both focus and methodology. In 1982, he received the Tyler Award for Distinguished Contributions to Counseling Psychology from the Division of Counseling Psychology of the American Psychological Association. His work also brought him internal recognition as a Guggenheim Fellow and Fulbright Research Fellow to Norway, an invited lecturer in Tokyo and Osaka, Japan, and a research consultant to the University of Yucatan in Merida, Mexico. He served on the editorial boards of several professional journals in the United States and abroad, and authored, co-authored, or edited more than 100 scientific articles, books and book chapters.

Dr. Pepinsky also will be remembered as a teacher, adviser, and mentor whose dedication to excellence, informed scientific curiosity, and willingness to collaborate with others, inspired students and colleagues to challenge their own intellectual, conceptual, and expressive boundaries. In his many civic, cultural, and intercultural activities, and in his University service he worked to establish common understanding among persons whose perspectives differed from his own. His integrity, ebullience and sociability brought him the respect and good will of a worldwide network of friends and admirers.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Harold Pepinsky its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-42

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for August 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of August 1998 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-43

Synopsis: The report on the receipt of gifts and the summary for August 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of six (6) new named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of August 1998 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July-August
1997 Compared to 1998

GIFT RECEIPTS BY DONOR TYPE

Dollars
July through August

	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 1,482,649	\$10,582,372	614%
Alumni (From Bequests)	<u>247,333</u>	<u>332,996</u>	35%
Alumni Total	\$ 1,729,982	\$10,915,368	531%
Non-Alumni (Current Giving)	\$ 1,068,281	\$ 1,075,015	1%
Non-Alumni (From Bequests)	<u>520,631</u>	<u>114,486</u>	-78%
Non-Alumni Total	\$ 1,588,912	\$ 1,189,501	-25%
Individual Total	\$ 3,318,894	\$12,104,869	265% ^A
Corporations/Corp. Foundations	\$ 3,371,694	\$ 5,179,598	54% ^B
Private Foundations	\$ 844,752	\$ 1,152,610	36%
Associations & Other Organizations	\$ <u>337,719</u>	\$ <u>463,850</u>	37% ^C
Total	\$ 7,873,059	\$18,900,927	140%

NOTES

- A Individual giving is up 265% largely due to one gift totaling more than \$9 million which occurred during August, 1998.
- B Corporate giving is up 54%. Last year there were 80 corporate gifts providing private support of \$10,000 or more (\$2.6 million). This year private support at the \$10,000 level is \$4.1 million (90 gifts).
- C Foundation giving at the \$10,000 or more level is up 40% for the first two months of the fiscal year (\$742,126 from 19 gifts last year; \$1,040,498 from 19 gifts this year).
- D Gifts from associations and other organizations are up 37% since giving at the \$10,000 or more level is \$133,068 more than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-August (contd)
1997 Compared to 1998 (contd)

GIFT RECEIPTS BY PURPOSE

		Dollars July through August	
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 1,207,645	\$ 8,758,488	625
Faculty Support	\$ 672,547	\$ 990,930	47
Program Support	\$ 3,738,911	\$ 6,939,981	86
Student Financial Aid	\$ 1,529,152	\$ 1,127,644	-26
Annual Funds-Colleges/Departments	\$ 667,252	\$ 971,274	46
Annual Funds-University	\$ <u>57,552</u>	\$ <u>112,610</u>	96
Total	\$ 7,873,059	\$18,900,927	140

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through August		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
	\$2,420,576	\$11,744,203	385

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Endowed Funds</u>			
The F. Peter and Dorothy Ramsey Gross Scholarship Fund (Scholarships - College of Education - provided by a gift from F. Peter Ramsey)	\$19,987.00		\$19,987.00
The Richard C. Knopf Scholarship Fund (Scholarships - College of Humanities - provided by gifts from Richard C. Knopf)	\$17,950.00		\$17,950.00

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Endowed Funds</u>			
The Ruth E. Smart Student Nurse Scholarship Fund (Scholarships - College of Nursing - provided by a gift from Ruth E. Smart)		\$70,000.00	\$70,000.00
The Major General Raymond E. Mason Leadership Scholar Award Endowment Fund (Scholarships - ROTC Programs - provided by a gift from Major General Raymond E. Mason)		\$50,000.00	\$50,000.00
The Major General Raymond E. Mason Scholastic Achievement Awards Endowed Fund (Scholarships - ROTC Programs - provided by a gift from Major General Raymond E. Mason)		\$50,000.00	\$50,000.00
The Albert H. Soloway Graduate Endowed Fund in Pharmacy and Cancer Research (Annual Award to Graduate Student - College of Pharmacy - provided by gifts from friends and colleagues of Dr. Albert H. Soloway)		\$42,090.00	\$42,090.00
Total	\$37,937.00	\$212,090.00	\$250,027.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The F. Peter and Dorothy Ramsey Gross Scholarship Fund

The F. Peter and Dorothy Ramsey Gross Scholarship Fund was established October 2, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Mr. F. Peter Gross (M.A. Psychology '57) in honor of his wife, Dorothy Ramsey Gross (M.A. Education '76) and their years in public education.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship support for a graduate student(s) in the College of Education with demonstrated financial need who plans to serve public schools. The scholarship shall alternate annually between the school administration and school psychology programs. Selection of the scholarship recipient(s) shall be made by the Dean of the College of Education in consultation with the University Committee on Student Financial Aid. If either the school administration or school psychology programs cease to exist, the annual income shall be used to assist graduate students who plan a career working with special needs students and/or programs.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

\$19,987.00 (Grandfathered)

The Richard C. Knopf Scholarship Fund

The Richard C. Knopf Scholarship Fund was established October 2, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Richard C. Knopf (B.S.Ed. '48; M.A. History 1949; Ph.D. History 1960), of Columbus, Ohio.

Dr. Knopf is a Professor Emeritus of Kent State University, where he taught history for 21 years. As former director, historical editor, and research historian of the Anthony Wayne Parkway Board of the Ohio State Museum, Knopf is a two-time recipient of the Award of Merit conferred by the American Association for State and Local History. He is the author of *Anthony Wayne, A Name in Arms* (University of Pittsburgh Press, 1960), the ten-volume *Documentary History of the War of 1812 in the Northwest* (Ohio State Museum, 1957), and *A History of the Ohio State School for the Blind* (O.S.S.B., Columbus, 1997), in addition to numerous other books and articles. He was the first editor of *Ethnohistory*, the quarterly journal of the American Indian Ethno-Historic Conference and was a member of the Project and Events Committee and the Education Committee for the Ohio Bicentennial Commission. He has been an active member in professional and community organizations and continues to serve as an evaluator for the Ohio Humanities Council.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide merit scholarships to undergraduate humanities majors who rank in the top 10% of their class. Recipients shall be selected by a committee named by the Dean of the College of Humanities in consultation with the University Committee on Student

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Richard C. Knopf Scholarship Fund (contd)

Financial Aid. If an award is not made in a given year, the income shall be added to the principal. In addition, Professor Knopf's bequest to the College of Humanities shall be added to the principal upon his death.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, over an extended period of time, then another use shall be designated by the Board of Trustees as recommended by the Dean of the College of Humanities in order to carry out the desire of the donor.

\$17,950.00 (Grandfathered)

THE OHIO STATE UNIVERSITY FOUNDATION

The Ruth E. Smart Student Nurse Scholarship Fund

The Ruth E. Smart Student Nurse Scholarship Fund was established October 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ruth E. Smart (B.S.Nurs. '61) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for undergraduate nursing scholarships, with preference given to students with the greatest financial need. Selection of the recipients will be made by the Dean of the College of Nursing upon the recommendation of the assistant dean and academic counselor and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$70,000.00

The Major General Raymond E. Mason
Leadership Scholar Award Endowment Fund

The Major General Raymond E. Mason Leadership Scholar Award Endowment Fund was established October 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Raymond E. Mason, Jr., Chairman of Columbus Truck and Equipment, Columbus, Ohio, (B.S.Bus.Adm. '41).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide The Mason Leadership Scholar Award which will recognize the most outstanding non-scholarship cadet/midshipman in the ROTC programs. This award is based upon superior academic and leadership performance by an enrolled cadet/midshipman and provides an annual \$2,500 scholarship. The Mason Leadership Scholar

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Major General Raymond E. Mason
Leadership Scholar Award Endowment Fund (contd)

Award assists in attracting quality students to the ROTC programs, motivating non-scholarship cadets/midshipmen to excel in their college/ROTC careers, and provides significant support for a cadet/midshipman who might not otherwise be able to fund a college education and thereby, enabling him/her to serve as an officer in our armed forces.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the commander of the ROTC at The Ohio State University in conjunction with the Executive Dean of the Colleges of Arts and Sciences in order to carry out the desire of the donor.

\$50,000.00

The Major General Raymond E. Mason
Scholastic Achievement Awards Endowed Fund

The Major General Raymond E. Mason Scholastic Achievement Awards Endowed Fund was established October 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Raymond E. Mason, Jr., Chairman of Columbus Truck and Equipment, Columbus, Ohio, (B.S.Bus.Adm. '41).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide The Mason Scholastic Achievement Awards which will recognize the most superior academic performance in the ROTC. These awards will be presented to the most outstanding cadets and midshipmen whose GPA is 3.5 or higher. The impact of this award program is to encourage and reward superior performance, and outstanding potential. Five cadets/midshipmen will receive a minimum amount of \$500 each.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the commander of the ROTC at The Ohio State University in conjunction with the Executive Dean of the Colleges of Arts and Sciences in order to carry out the desire of the donor.

\$50,000.00

The Albert H. Soloway Graduate Endowed Fund
in Pharmacy and Cancer Research

The Albert H. Soloway Graduate Endowed Fund in Pharmacy and Cancer Research was established October 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the friends and colleagues of Dr. Albert H. Soloway, Dean and Professor Emeritus, The Ohio State University College of Pharmacy.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Albert H. Soloway Graduate Endowed Fund
in Pharmacy and Cancer Research (contd)

The annual income shall be used to support an annual award provided to a graduate student who is engaged in cancer research projects in the College of Pharmacy's Division of Medicinal Chemistry and Pharmacognosy. The Soloway endowed fund recipient shall be determined by the Division Chairperson and the Dean of the College of Pharmacy or his/her designee.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$42,090.00

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 99-44

JOHNSTON LAB RENOVATION -- MACROMOLECULAR LAB
LARKINS HALL -- ROOF REPLACEMENT PHASE III AND PANELS
LIMA CAMPUS -- AGRICULTURAL BUILDING

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Johnston Lab Renovation – Macromolecular Lab and Larkins Hall – Roof Replacement Phase III and Panels projects and accept the report of award of contracts and establishment of contingency funds for the Lima Campus -- Agricultural Building project is requested.

WHEREAS the University desires to renovate a portion of the second floor of Johnston Lab to accommodate the relocation of the macromolecular x-ray laboratory from Rightmire Hall; and

WHEREAS the total estimated project cost is \$1,081,570 and the total estimated construction cost is \$850,000, with funding provided by House Bill 748 (\$493,500), House Bill 790 (\$306,500), Mathematics and Physical Sciences (\$93,857), Office of Academic Affairs (\$46,928), Office of Research (\$46,929), Medicine (\$32,850), Biological Sciences (\$25,810), Food, Agriculture and Environmental Science (\$25,810), and Pharmacy (\$9,386); and

WHEREAS the University desires to remove the existing sloped glazing units on all elevations of Larkins Hall and install new insulated panels and flashing and remove and replace approximately 54,300 square feet of built-up roof system down to the substructure on the west and east sides of the Larkins Hall complex; and

WHEREAS the two roofing projects were approved by this Board at their meeting on December 6, 1996; and

WHEREAS in order to facilitate the roof replacement and minimize disruption for users, the two projects have been combined into one and are being resubmitted to this Board for approval;

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the total estimated project cost is \$1,000,000 and the total estimated construction cost is \$820,000, with funding provided by House Bill 748; and

WHEREAS a resolution adopted by the Board of Trustees on March 7, 1997 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following project:

(* Recommended alternates included in these amounts)

Lima Campus – Agricultural Building

This project constructs an approximate 14,000 square foot single story building to house the OSU Extension, Allen County Farm Services Agency, and a joint meeting room. The total project cost is \$1,344,393; funding is provided by Lima Campus (\$644,393) and a loan from the University (\$700,000) with loan payments being made by revenue generated by the Allen County Farm Services Agency leasing a portion of this facility. The completion date is February 1999. The contracts awarded are as follows:

Design: Sheets Associates, Inc., Lima, Ohio

Site Work/

Foundation Contract:	Schnippel Construction, Botkin, Ohio
Amount:	\$102,400
Estimate:	\$150,000
General Contract:	Tuttle Construction, Inc., Lima, Ohio
Amount:	\$650,600 * +
Estimate:	\$610,000 * +
Plumbing Contract:	Gast Plumbing and Heating, Inc., Lima, Ohio
Amount:	\$37,374 +
Estimate:	\$30,000 +
HVAC Contract:	Lima Sheet Metal, Lima, Ohio
Amount:	\$183,754 +
Estimate:	\$170,000 +
Electric Contract:	Koester Electric, Inc., Coldwater, Ohio
Amount:	\$155,700
Estimate:	\$216,000
Total All Contracts:	\$1,129,828 *
Contingency Allowance:	\$112,983
Total Project Cost:	\$1,344,393

+ The General, Plumbing, and HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Johnston Lab Renovation – Macromolecular Lab and Larkins Hall – Roof Replacement Phase III and Panels projects and that the fees for these services be negotiated between the firm selected and the University; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids for the Johnston Lab Renovation – Macromolecular Lab and Larkins Hall – Roof Replacement Phase III and Panels projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Lima Campus – Agricultural Building project is hereby accepted.

(See Appendix XV for maps, page 353.)

Upon motion of Mr. Colley, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, Slane, Judge Duncan, and Ms. Longaberger.

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**PRESENTATION OF THE OHIO STATE UNIVERSITY/
CLEVELAND CLINIC FOUNDATION PARTNERSHIP**

Mr. Celeste:

I'd like to call on Dr. Tzagournis to present the panel of speakers before us today.

Dr. Manuel Tzagournis:

I would like to introduce Dr. Floyd Loop, CEO of the Cleveland Clinic Foundation, who will give a progress report on the Cleveland Clinic.

Dr. Floyd Loop:

I wanted to give you a brief progress report on what the Cleveland Clinic has been doing since the last time you had a Board of Trustees meeting here.

We have undertaken a rather large regional expansion of our services and, in effect, have created the Cleveland Clinic Health System through the acquisition of ten community hospitals, extending from the east side to the west side of Cleveland. We now have more than thirty percent of the regional market share. We have also developed six family health centers -- two offer ambulatory surgery -- and they're all located in the outlying communities.

As you have seen, we are in the middle of a large construction project on our main campus: the Learner Research Institute and the Education Institute, which I hope you will be able to tour. We also have the eye institute under construction and nearing completion, and the new Cleveland Clinic Taussig Cancer Center.

We have initiated a series of what we call hospitality projects which should benefit the OSU Board of Trustees at some time. We are constructing an all-suites hotel right next to the Cancer Center. The current guest house is undergoing renovation and in two years, the Omni International Hotel will be razed for the construction of a larger intercontinental hotel integrated with a state-of-the-art conference center.

We have acquired the Wooster Clinic, which is a thirty-five person physician group. In Florida, after having been there for ten years, we finally have gotten through the

**PRESENTATION OF THE OHIO STATE UNIVERSITY/
CLEVELAND CLINIC FOUNDATION PARTNERSHIP (contd)**

Dr. Loop: (contd)

Certificate of Need process and we have certificates to construct hospitals at two sites. One is in Weston, which is on the west side of Fort Lauderdale, and the other is in Naples, on the west coast, and construction has been undertaken in both sites.

We still have a good national profile. We have been named one of the dozen best-run, large hospitals in America for the third year in a row. For the ninth consecutive year, *U.S. News and World Report* has ranked us among the ten best hospitals in the United States and recognizes thirteen of our specialties in the top ten, including the cardiology services, which is rated number one.

We believe that our success is due to our group practice model of medicine which has been in effect for seventy-seven years without any changes. We have made the annual review process a little more sophisticated, but all of the physicians, educators, and scientists at the Cleveland Clinic are under salary with no bonuses or incentives, and there are no physician practice plans.

Very much like Ohio State, we have a tri-fold mission of research, education, and clinical medicine. Our vision has been, throughout the 1990's, to develop a distinctive competence in every specialty, to provide satisfaction for all patients, deliver thoughtful comprehensive care, and obtain a world-wide market base for specialty medicine. By comprehensive care, I mean that for many decades we concentrated only on tertiary and quaternary care. But over the past few years, we've broadened that to develop this health system that I spoke about that combines regional practice centers with community hospitals to create, in effect, a network of doctors and hospitals all across Cleveland.

We want to preserve private practice in the communities. We don't believe that everybody fits into our model of medicine, but we also tell the community hospital administrators that the old methods of managing by turf is gone. Hospitals can't put imaginary fences around themselves and compete with everyone. The key to a sustained endurance is better value through consolidation and the avoidance of duplication. We hope to initiate physician-led organizations in the hospitals that we have acquired.

It took awhile for me to sell everybody on the fact that we wanted to have a complimentary relationship with community physicians. Our philosophy is that patients should, for the most part, stay in the neighborhoods where they live for their medical care. Our belief is that by strengthening our healthcare delivery system in these local communities, we add greater value to medical care all across the region.

From its founding, the Cleveland Clinic has been an academic institution, and we are proud to bear that designation in the best sense of the word. We have 750 residents in training in 46 approved residencies here on this campus and 230 research associates or fellows.

We heavily fund basic science. From operations, we fund our basic science and bridge programs to about \$25 million a year, and then another \$30 million comes from NIH and other granting agencies.

There are 93 Ohio State medical students on our campus this year and 326 additional rotations are scheduled. In 1997, our staff wrote 2,134 peer reviewed articles, much of it with resident help.

**PRESENTATION OF THE OHIO STATE UNIVERSITY/
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Dr. Loop: (contd)

We are convinced that academics are crucial to the future of American medicine and apart from just the joy of teaching, it also helps us recruit the best physicians and scientists. This has helped bring about many of the innovations that the Cleveland Clinic has been noted for.

All of this leads to the next obvious step which is a medical school. I believe that we should talk seriously about that in the next few months.

To summarize my remarks, we strongly believe that medicine is not a commodity. The development of our new healthcare delivery system is one that is independent, autonomous, and community based. We believe this is very good for this region. We also believe that the survival of hospital-based research and academic medicine is critical if America's healthcare system is to remain the best in the world. We're very interested in bringing this along further by establishing a medical school on this campus.

Finally, all that I have discussed involves many changes and like it or not, more changes are coming to all of us in medicine. As someone said, "Change breeds doubt, doubt kindles choice, and choice is opportunity -- the opportunity to do better or worse." But we have chosen to do better and for that reason, we consider OSU a great academic partner. Thank you.

Dr. Tzagournis:

Thank you very much, Fred. From time to time, you have received reports regarding the affiliation of Ohio State and the Cleveland Clinic. This relationship has been based on a great deal of trust, credibility, and mutual advantages. Now you will hear about two brief aspects of our activities: one that deals with our students, and the second one that deals with our research efforts. Dr. Kathryn Clausen, Associate Dean for Medical Education at the OSU College of Medicine and Public Health, will begin.

Dr. Kathryn Clausen: [overhead presentation]

My job is medical education and my goal this morning is to talk about the success of our medical education program at the Cleveland Clinic. I want to show you that the future of our relationship will be built on the foundation of established medical education excellence.

This year the College of Medicine and Public Health underwent accreditation. This happens every seven years and it's a very arduous, year-long process. During the course of the accreditation, we reevaluated ourselves and our mission. Without belaboring the point -- and I have distributed a summary of our accreditation report for anybody who is interested -- the Cleveland Clinic played a significant role in our reaccreditation, and it's really what I want to talk about today.

At the conclusion of the on site visit, we received a very positive review and a full seven-year accreditation. The strengths of our institution began with an extremely visionary dean. I'm sure you are all aware of that and I'm going to get back to that in a second. Our strength is the fact that we have three great clinical pathways, one of which is our independent study program, which facilitated our ability to export that program. If we didn't have that pathway, we wouldn't have been able to do this. We have other initiatives, new clinical initiatives, and some selective students who have distinguished themselves on all external measures of success. Back to the

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Dr. Clausen: (contd)

vision of our dean -- we have successfully recruited outstanding scientists who have then, in turn, established a higher level of scientific research and reputations.

Just to quickly show you something that you might find interesting -- the results of our national examination. Look at the dates. Although it is not possible at this moment to count all the independent variables that show such a dramatic increase in our performance, we have interviewed our students as to what enhances their recruitment, which, in turn, enhances our performance. In rank order, what they told us was the general ability of problem-based learning and the availability of the Cleveland Clinic option. So we know right out of the gate that the opportunity for our students to rotate to the Cleveland Clinic is a very attractive recruiting tool and it makes us probably the most competitive medical school in the State of Ohio with respect to recruiting the best students. This, in turn, replaced itself in the outcome measures of success, which are external examinations.

We did a survey of all the interning resident directors in this country who have accepted Ohio State students into their program. We do this every two years and following the first year of internship we ask how the OSU residents do because that is what really matters. That is probably the only thing that really matters. The results: 95 percent of them said they were "as good or better" than all the other interns and residents in their program, and 75 percent said that they were "better than all" the other interns and residents in their program. So nationally, our students are very well regarded as having good clinical training and are among the top students to be recruited anywhere. This is probably why we could be so effective with the applicants in the State of Ohio.

One of the critical features of that accreditation was the interest of our site visitors, all of whom came from Ivy League institutions, in our relationship with the Cleveland Clinic. It was actually kind of fun. They said, "Well, we want to see it." So we went up there. I was going to spend the day with them making sure they saw all the right things, but when we got there they said, "Goodbye, we'll see you at 3:00 p.m. We're going to go talk with the students." So, I had to go away.

When they returned, I asked how it went, and they were absolutely dazzled. They said, "This is a model for medical education in the future. This is the way it should be." I don't think I'm making this up; this is exactly what they said. These people are from Columbia, Yale, and the University of Chicago. So it just doesn't get any better than that. Partly it was because of what the students said, and in a moment the students will have a chance to tell you themselves.

We are grateful for this relationship. We think we brought value to the Clinic by providing our students. The Clinic brought value to us by providing excellent clinical training that will enhance the reputation of our graduates, which is the product that we have to get to know. This is the best bargain in medical education in the state. It's the best program with the highest outcome in numbers, and it has provided a very strong record of success and the foundation for our increased relationship in the future. It begins with education, it continues with medical education, and it will probably end with medical education. That is our principle mission and in my judgement, Cleveland has demonstrated its capability in this regard. We are grateful and we say thank you publicly.

At this point, I will turn it over to Dr. Jeff Hutzler, Assistant Dean of Medical Education and Student Affairs at the Cleveland Clinic. He really runs this program.

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Dr. Jeff Hutzler: [slide presentation]

Thank you, Kitty. I'm Jeff Hutzler. I'd like to welcome President Kirwan and the Board, and Drs. Healy, St. Pierre, Clausen and, particularly, Dr. Manuel Tzagournis, who has been a friend of mine since 1966 and a mentor.

I taught for ten years at Ohio State, so it is a natural blend for me to run the medical student program here. I'm also a psychiatrist, which means I'm perfectly trained to run this Department of Medical Student Education. There are drawbacks though. I have an old house in Shaker, and there's a cottage industry of people who fix old houses. I had a plumber in the basement for an hour clanking around and he came back up and handed me a bill for \$150. I said, "My God, I'm a psychiatrist and I only charge \$120 an hour." He said, "I used to, too, when I was a psychiatrist."

I'd like to just outline a few things about the program. We have a wonderful and enthusiastic faculty here and it is an enriching process. I tell the medical students, "Thank you for being here," because it enriches our academic center and it has encouraged people to get into the teaching business. Dr. Loop has given us full backing, as has Dr. Fishleder, despite the fact that the bottom line obviously has become increasingly important for all hospitals and medical people.

Let me tell you who is on this first slide: I'm there; Jerry Hall runs the independent study program, which I will describe later; Wilma Doyle is the registrar and a terrific administrator; Gwendolen Dove runs the third-year coordination; Chris Redeven -- now that she has her MBA we are worried that she'll leave, but I hope we can keep her -- is the fourth-year coordinator; and Elizabeth Wilson runs the summer research program, which is a terrific program. We had forty-one students from all over the country, some from Ohio State, present their research this last summer. So there is somebody who focuses on every area.

The other thing I'd like to show you here is that as we take more students full-time -- the red line is full-time students, and we now have fifty-one on campus who are full-time students from Ohio State, that includes the second, third, and fourth year -- the number of total students from OSU stays about the same. That is because these fifty-one students take up a lot of rotations. In other words, where a rotating student would come up for a month, that full-time student is on that service. So we are trying to increasingly add to the total number of rotations so that we can continue to take rotators, as well as full-time students here. We are doing that by using the regional health centers that Dr. Loop described. I think we're doing an excellent job and hope to have an increased number of opportunities for our OSU medical students.

There are a number of faculty here that have had appointments at The Ohio State University. You can see the division of them. Almost 260 of our faculty have appointments at Ohio State and are very proud of that relationship.

I just want to give you an overview. In the second year, we offer an independent study program for these students who are self-starters. They read books, they interact with computers and they occasionally interact with a scientist or clinician. Basically, they are very self-motivated. They do very well on the boards. They come onto the clinical clerk shifts looking very good. So we now have ten full-time independent study students.

We have in the third year now, 21 full-time medical students and, of course, others do come up and rotate through these basic medical specialties that form the clinical

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Dr. Hutzler: (contd)

basis for becoming a physician. In the fourth year, there is what is called the differentiation of care. The main thing to know is that we emphasize ambulatory care and the Cleveland Clinic is uniquely able to do that because of our extensive out-patient facilities. So that is the overview of the teaching program.

We are on a lot of committees. I'm on a lot of committees. My tires are going bald. We're doing a lot of teleconferencing because of this, but we actually have two members on the admissions committee and several on the more important policy making committees. So I just wanted you to be able to see that there are a number of people on a number of important committees.

That is my presentation. I'm an enthusiastic supporter of Ohio State, of course, and of the Cleveland Clinic. I think we blend beautifully as partners in this effort to train fine medical students.

Now I would like to introduce the students who are the most important people here in the room. Janelle Park is a third-year Ohio State medical student here for the year and Karl Kulikowski is a fourth-year medical student here for the year and applying for residency now in anesthesia.

Dr. Karl Kulikowski:

For as much as could be done, I came to the Cleveland Clinic for everything: independent study in my second year, all of my third year, and all of my fourth year.

Going back to the day I interviewed for Ohio State, I can remember being in a small conference room and having the exciting new program of this Cleveland Clinic option explained to me. I had been in Cleveland for about five years before I applied to medical school, I was working as an engineer. I really was extremely excited about the prospect of this Cleveland Clinic thing, and I couldn't get enough information fast enough. How many people apply? How many people actually get accepted to go?

At the time I started, I found that it wasn't a competitive program then and it is still not competitive. It's done by a lottery and everyone who signed up to go, got to go. I couldn't believe this. You get to go to the Cleveland Clinic and there's not people fighting you to go. So I signed up as quickly as I could and did my first year in Columbus, sort of. I did anatomy down there and I took all my tests down there, but I did a majority of my studying here at home, but that's the advantage of independent study. You really find your own way.

As far as coming up here for my second year, I found that to be an exceptionally rewarding opportunity. The staff they have signed up for the independent study program here are exceptionally enthusiastic about students, sometimes too enthusiastic. The advantage of independent study is you try to work independently and sometimes these advisors do tend to pursue you. They want to be spending more time with you than sometimes you want to spend with them. I thought it was an excellent experience. The staff was helpful, like I said, almost too helpful.

Staying for the third year -- as Dr. Loop pointed out, they have 750 residents in training and 46 programs. They probably have a thousand or twelve hundred different staff members, and as Dr. Hutzler pointed out, they have 50 full-time students distributed between the third and fourth year. This looks like pretty good odds to me. It's a nice ratio. There are 20 to 25 third-year students, 25 fourth-year

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Dr. Kulikowski: (contd)

students and there are 1,000 staff. I regularly get one-on-one attention. It's very good for that.

The staff here is excellent. I think you get a very diverse experience. They've been good at incorporating different Cleveland Clinic satellites into the clinical care. You get time to go to out-patient ambulatory facilities in any of the suburbs. There are four or five different ones now. So it's the best of a couple different worlds. You get the feeling of being in one of the largest exceptional medical facilities in the world, and yet you still get some of the feeling of being in a family practitioner's office twenty miles south of here and you see patients all day. You get a very broad perspective on medical care from a huge research facility that has sixty OR's going all day long, to seeing somebody's grandmother out in a practice satellite. It is really a diverse exposure.

I don't have a lot more to add at this time. I'll take any questions after Janelle speaks.

Dr. Janelle Park:

I grew up on the west side of Cleveland, so I've been very familiar with the Cleveland Clinic Foundation and its amazing reputation ever since I can remember.

I attended Columbia College and basically when I was an undergraduate I applied my senior year to attend medical school. Of course, being in New York City is exciting. You are young and you'd like to try to stay on the east coast once you get acclimated to that kind of environment, so I didn't really consider any Ohio schools. The two schools I actually did end up seriously considering in Ohio were Ohio State and Case Western.

After interviewing at both of these schools, Ohio State stood out in my mind, not only for the fact that they had the three-track program for the first two years of medical school, but also the very interesting option going to the Cleveland Clinic Foundation.

I think the benefits that you get from this program are outstanding. The staff is just so helpful and so willing to share their knowledge with you.

The variety of people that you see is amazing. I've seen already in my first month here, patients from Saudi Arabia, Ecuador, and Japan, as well as people that live a block away from here. So it's really impressive to see the wide variety of people that come in and out of this institution. I think that also leads to the large advantage of being able to see rare cases that you might not be able to see in other locations.

I've had a great time here so far and I haven't regretted coming here at all. I really enjoy it here, and I think it's a wonderful place to be and to learn. That's all I have to say.

Mr. Celeste:

Thank you both so much. Are there any questions from the Board for the two students? We appreciate your being here and sharing that with us.

Dr. Andrew J. Fishleder:

Thank you very much for the comments. We did not provide any additional incentives.

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Dr. Fishleder: (contd)

I'm Andy Fishleder, Chairman of the Division of Education here at the Clinic. It has been one of my responsibilities over the past seven years, to make sure that this program got implemented both in medical education and in the research area. In fact, Dr. Cornhill will be speaking to the research activity in a minute.

I think you heard from the students themselves -- and really that's where you should hear it -- that we've been very successful in the medical student education area. The responses that we've gotten from the students thus far have really been very rewarding. We're very proud of the fact that that has been as successful as it has been. I can tell you also that the faculty here, and the residents, are very enthusiastic about the interactions that they've had with the students and there's no question that this has been an enhancement to the academic environment here at the Clinic.

Having said all of that, I think that now is the time that we do have an opportunity to take this affiliation to another level. To date, we pretty much have transferred the curriculum in Columbus to Cleveland and mirrored exactly what has been going on in Columbus up here in Cleveland. I think that has worked out very well. What that hasn't done, is we've not taken full advantage of some of the unique strengths of the institution up here. I think that creatively, we have some opportunities to do so in the future.

Some specific examples might be our strengths in clinical research and transnational research, efforts that we have in quality assessment and outcomes research, and providing greater exposure to the students in those areas in clinical effectiveness. I also think there are more opportunities we can provide in ambulatory training, particularly now as we've developed a broader-based delivery system.

We are excited about the possibility of being able to implement something that might take this to another level. I think in order to do that -- and we've had some discussions about this previously -- we need to think of a structure that will allow us to have a little bit more independent oversight of those sorts of activities, so that we can have the flexibility to implement these new and innovative things. We've been having discussions with Dr. Healy and Dr. Clausen and others, about how we might create some structure within the College of Medicine and Public Health, perhaps a school of biomedical sciences that would enable us to do that. We're very excited about the possibility and we hope that others are enthusiastic about that as well. We look forward to trying to get that accomplished in the not too distant future.

Thank you.

Dr. Bradford T. Stokes:

We had many successes in a variety of activities in the research arena, Mr. Chairman and Mr. President, that we want to tell you just a little bit about today.

One of the early successes in graduate education has certainly been Biomedical Engineering. Fred Cornhill -- by driving up and down the road on several sets of bald tires as well -- certainly sponsored that type of activity. I think both institutions owe him a debt of gratitude for putting that all together.

Our plans for the future are to form a bridged department between the College of Engineering -- and with Dean Ashley as the new dean it should be possible -- and

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Dr. Stokes: (contd)

the College of Medicine and Public Health that should further solidify this relationship. Fred is going to tell us a little bit about some of the background in medical engineering, and then I'll tell you of some ideas and new directions.

Dr. Fred Cornhill: [overhead presentation]

Ladies and gentlemen, it is a great pleasure for me to be able to speak to you today and tell you a little bit about the successes that we have had in Biomedical Engineering Education and also research in general.

I was on the faculty at Ohio State for twenty years and for the last five years of those twenty -- because of Dr. Healy's convincing me that one could do two jobs in two different cities -- I spend half the time at Ohio State as the Director of the Medical Engineering Center, and half the time here in the new department of Biomedical Engineering. It was one of those situations where you're recruited by your new boss and then she tells you two weeks later that she's going to Washington. So it has been an interesting experience, but one that has been wonderful. We look forward certainly to the revitalization at Ohio State in Biomedical Engineering with the collaboration between the Colleges of Medicine and Public Health and Engineering.

Let me just give you some of the background of the friendship that we have here. So far, the program has 24 students who have graduated with Master's of Science degrees and 8 of them with doctoral degrees. Most of them were in Biomedical Engineering, but some of them were in electrical and mechanical engineering. We currently have some 19 graduate students, 8 master's students, and 11 doctoral students.

I think the important thing about the students is the quality of the students. I think we are able, as in medical school, to attract high-quality students and attract students to Ohio State who otherwise would not come. These are students who are very interested in the implied and transnational part of biomedical engineering in the development of medical products, devices, and technology.

The students also do extremely well when they leave here. Almost none of them apply for jobs. They are working here with the Clinic, in the clinics with the clinicians with the medical products devices, and technology companies. They all are hired directly from those interactions. It's hard to get them sometimes to change their degrees because they are getting these wonderful salaries. Our master's students our commanding \$60,000 - \$65,000 and our Ph.D. students in the upper \$90,000 immediately upon finishing their program.

Let me now give you four very short synopses of what some of the research OSU students are doing. One student, Joe Arendt, is doing a Ph.D. with us. He is using fluorescence spectroscopy to look at diagnosing cancer -- being able to look at cancers in the bladder, uterus, and breasts, and having some wonderful success in that area. It is a great new device coming up and the electrical property is being protected. We're going to have a great future in the diagnosis of cervical cancer.

Another student, Bernhard Sturm, is using image processing to look at left ventricular mechanics and to identify patients who are candidates for certain procedures, particularly from the more radical partial left ventriculectomy that we are undertaking here at the Clinic.

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Dr. Cornhill: (contd)

We have a third student, Dominik Meier, who is taking traditional anatomy and three dimensional anatomical atlases and doing a merge with those with images, medical images, and MRI's. This is really moving us into a new area of computational anatomy where we can use mathematics, image processing, and engineering to go from traditional anatomical approaches to diagnosis with medical images that are obtained through MRI's. It is an exciting new field that we're going to be involved in; it's been wonderful.

Jon Klingensmith is developing on-line, three-dimensional reconstruction of arteries with intervascular ultrasound. He's been able to take a process that believed all coronary arteries were straight tubes and reconstruct the real three-dimensional geometry to diagnose and differentiate the disease type. We also begin to do that on appropriate real time, as opposed to the off-line -- doing it in days.

These are just four examples of some of the work that Ohio State students are doing. The process that we have now is that they go to Ohio State and do their course work in a compressed period of time, and then they come to the Clinic and get full-time research.

In addition to graduate education, we've had success in external support of research. These are just some of those examples that tallies up to \$13 million of joint research programs established between the Cleveland Clinic and The Ohio State University. You can see from the highest-quality, peer reviewed agencies, NIH, NSF, NASA, the Ohio Board of Regents, and the Whitaker Foundation, which is particularly supported by medical research. We also have one from Novamedics, which is a new venture which has been funded by the Cleveland Clinic -- a new technology out of the institution and support technology development -- and we have had to support almost \$400,000 in that area.

I'd like to finally give you a little bit more detail on one of these projects to illustrate how this collaboration can really be a benefit to both organizations and to faculty and staff at both locations. Dr. Maciej Zborowski, an assistant staff member in the Department of Biomedical Engineering, and Jeff Chalmers, a staff member in Chemical Engineering at Ohio State, established a collaboration about six years ago after inviting Jeff, whose mother is from Ohio State, to come up and see if there were any areas we could work together. Both of them at that time were struggling to get research grants and it was competitive at times, and again that was quite difficult. They got together and the chemistry worked very well.

As a result of this collaboration, you can see the two NIH grants, the grants from the Whitaker Foundation, NSF Grant, part of the Ohio Board of Regents Bioprocessing Research, and this newest contract from Novamedics. This shows clearly that when you get the right people together -- the right people from Ohio State and the Cleveland Clinic with complimentary skills -- we can do great things.

This project is one where we used a unique way of labeling cells with magnetic particles and then put them through very high magnetic fields and we were able to slice into individual cells. There are a lot of potential applications for this: stem cell therapy, bone marrow transplantation, removing rare cancer cells, biotechnology, even environmental, such as biological warfare retention, and also the cleaning up

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Dr. Cornhill: (contd)

of the environment, in addition to basic biology research. We think this is an exciting new technology which illustrates the way in which we can cooperate between the two organizations.

Some of the ways we can demonstrate success. We have three patent applications pending. We have the Novamedics approach to moving toward spinning off a company as a result of this technology. Finally, it is one which has included not only the staff members, but also it included post-doctoral fellows, graduate students at Ohio State, also graduate students and post-docs up here at the Cleveland Clinic.

I think this is one example of the way we can work together. We need to expand it to areas other than biomedical engineering. We need to work very carefully to try and establish joint programs in basic and cell molecular biology departments.

Dr. Stokes: [overhead presentation]

Thanks, Fred. That was a nice introduction and I think that I can somewhat shorten my remarks based on an introduction, because the scheme that Fred has presented is a very similar scheme to the one I will suggest.

I would like to report to both the Board and President Kirwan that obviously there are some changes in the Ohio State College of Medicine and Public Health and the research arena. Indeed, there is an engine running down there and I think you all know why. I'm delighted to be a part of it. But I would point out from our benchmarking data, that the latest Research Commission reports that the College of Medicine and Public Health has made jumps in its aggressive activity, primarily in NIH rankings, over the last decade. Previously, because of formal leadership and now, obviously, because of our new dean.

In terms of the areas that Fred talked about that we need to develop -- and it is possible to develop because of some of the new faculty hires that we've made -- the six targeted areas in our strategic plan are obviously, I think, the ones we would target first that can most rapidly be developed.

What are the ongoing milestones of some of these other activities at Ohio State University that you should be aware of? First of all, you're all aware of the senior cancer and cardiovascular recruits at the Comprehensive Cancer Center and the Heart and Lung Institute, which have ongoing collaborative activities. Potential grants that we prepare and are being submitted in human cancer genetics and in some of the cardio and thoracic areas -- these are important areas that can be quickly developed, along with the cardio-pulmonary areas in our strategic plan.

Our Center for Neurobiotechnology and Molecular Medicine, as you will see in a few moments, has already directed a number of collaborative programs specifically in my own area of neuroscience. So that's been a success that is already attracting faculty here to come to OSU and the OSU faculty to come up here on matching interests.

George Stark, one of our new chairs in molecular biology and biomedicine, organized last year's very successful symposium that was held at OSU titled, "Molecular Medicine in Gene Therapy." That led to a number of spin-offs. George told me yesterday that we have another such symposium planned up here. Hopefully, the hotel will be finished by then and we can stay in it next summer. Again, I think

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Dr. Stokes: (contd)

initial ideas and initial contacts in science are where science spins off, and these symposia have done a nice job of that.

You're all now aware that we have the most powerful human magnet now at Ohio State University to do magnetic resonance imaging, magnetic resonance spectroscopy. I would like to inform you of the fact that just three days ago we put in a large grant to the National Center for Research Resources at NIH, roughly \$7 or \$8 million, and some of your faculty at the Cleveland Clinic Foundation are coauthors on that grant.

So there are a lot of activities going on that can serve as a growth platform for the future. I just want to emphasize one of those activities here in just a moment that seems to have worked very well in the recent past. That is in the area of neuroscience. I'm a neuro scientist. I run three major programs for the National Institutes of Health, and I run the Spinal Cord Research Center at Ohio State as well. It has been through partnership with people -- and I'll introduce them in a moment -- at the Cleveland Clinic, that really my individual programs and our collective programs in the neurosciences have grown.

There are some reasons for that. We have a very strong, nationally ranked graduate program in neurosciences. We share students, both graduate students and post-doctoral fellows, and mainly because of the participation of some very committed faculty in both places: Dr. Bruce Trapp, chair of the Department of Neuroscience at CCF; Jim King, chair of the Neuroscience Graduate Studies Program at Ohio State; and Jerry Mendell, chair of the Department of Neurology at Ohio State.

Recently, some of that has spun off into areas of mutual expertise having to do with really your strengths here at CCF involving Dr. Bruce Trapp. I would tell you that he comes quite often to give lectures. He is one of those people that has also wasted tires coming down to our place. Most excitingly, he just participated in one of my student's examinations. What was unusual about that student was that she is a 50 year-old female and a tenured associate professor in the Department of Neurology, and she just completed her Ph.D. I think that is exciting.

I wanted to say thank you to Bruce and also give you, Bruce, the opportunity to make a few comments on the relationship.

Dr. Bruce D. Trapp:

Well thanks, Brad. I've been the chair of the Department of Neuroscience here for five years and certainly saw an opportunity for doing collaborations with Ohio State because of the high quality of science and medicine going on there. But that didn't start eight years ago. It started in 1981, when I collaborated with Jerry Mendell while I was at the National Institutes of Health.

In coming to Cleveland, one of the strong points was to be able to extend these collaborations with the scientists at Ohio State. One of the first things I did when I came to Cleveland, was to see if I could get a joint appointment and certainly Drs. Stokes, King, and Mendell made that possible. I'm more than happy to go down and give lectures, which I do, and, as important, to be in these committees of graduate students down there at Ohio State. I think I've been on four different Ph.D. committees and now we'll have the first student from Ohio State come to the Department of Neuroscience in the next few months.

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Dr. Trapp: (contd)

I should also mention that since I've been here, we have had opportunities to do collaborative research with individuals at Ohio State. These are collaborations that I think are of incredible significance for biomedical research and for patient care. I'm just going to give you a short example of that.

We've been very interested in an inflammatory disease of the central nervous system called multiple sclerosis. This is a disease that historically was thought to destroy the insulation around nerve fibers, but spare the nerve fibers. What we've recently shown is that the nerve fibers are destroyed and that this is probably the principle cause of neurological deficits in multiple sclerosis. So it's basically a nerve transection. At Ohio State, we have Dr. Stokes and others' labs that are world experts on axon transections. So our collaborations with them now is becoming very strong and we're bridging the gap between this classical demyelinating disease now in a neural degenerative disease.

At the same time, work done in Dr. Stokes' labs on axon regeneration found that reinsulating these axons are essential for their regeneration and reaching target. This is a perfect bridge between the strengths of two departments. We hope it is going to make an effect in the clinical arena and we think the MS neural protective agents that Dr. Stokes had been using will be given to MS patients in the near future.

Dr. Stokes:

I'd just like to describe for you very briefly what these sorts of contacts could mean because economics in biomedical research -- in addition to finding answers -- is the name of the game. What started out as an informal contact with experts in chemocons in 1996 -- which are the cells that make messages attract emiological cells in the central nervous system, sometimes to do some damage -- rapidly turned into a visit by one of my post-doctoral fellows.

The next year it generated a new, now widely-cited paper and led to a renewal of Dr. Ransohoff's proposal -- although that was only a small part. But number two has spun off three individual funded projects just this year in my own laboratory. Some of the models that we developed led to the submission of a program project for \$1.7 million, just three days ago.

So these sort of informal contacts, asking questions, and rapidly taking them into the various models that we offer can have significant economic conclusions and outcomes, it seems to me, for both institutions. That is exactly what we want to do with our research portfolios in the future.

I'll stop there and take any questions. Richard, do you have any comments you'd like to make?

Dr. Richard Ransohoff:

Just to amplify what you've already heard. I think that collaboration is generally recognized as a good thing. But from my point of view as a clinical neurologist who takes care of patients with MS, the only point in doing collaborations is if they work and you can learn something new. The reason that this is a success story is not because we got a lot of money and achieved this sort of apple pie and motherhood goal of collaboration, but really because we learned something new.

**PRESENTATION OF THE OHIO STATE UNIVERSITY/
CLEVELAND CLINIC FOUNDATION PARTNERSHIP (contd)**

Dr. Ransohoff: (contd)

What we discovered when we began looking at signals that attract inflammatory cells into the brain, is that the brain cells make some of these signals. That gave us the initial insight into how inflammation works in MS that we expect to exploit, in terms of therapeutics in the future.

However, there are many examples of inflammatory processes in the brain and the nervous system and one of them is spinal cord injury. So the opportunity to take advantage of this exquisite model of spinal cord injury with Dr. Stoke's lab was just heaven sent. If you do a vendiagram between what Stoke's lab does and what my lab does, they would just barely, barely touch. There is just enough overlap to make this a synergistic collaboration.

It has been a pleasure to work with the group at Ohio State because they're smart and good at what they do. It's completely different from what we do or ever would have done. It's something that absolutely would not have happened without this relationship. I think, again, that it is a success story in an administrative sense because we set up a program and made it work. But it's a success story in a scientific sense because we really learned something new that we would not have learned otherwise.

Thank you.

Dr. Manuel Tzagournis:

To give a brief comment is Dean Bernadine Healy, who has very personal ties to the Cleveland Clinic as well.

Dr. Bernadine Healy:

I will try to be brief. I want to just quickly refer to something Michael Dolence said a few weeks ago at our retreat when he said, "We are moving from a land-grant mission to a knowledge-grant mission." Knowledge has a way of knowing no boundaries. As knowledge generators, we have an obligation to clearly know no boundaries on our thoughts of what we can create for our communities, students, and, obviously, for our service.

President Kirwan also spoke at that time about the importance of outreach and the responsibilities that Ohio State University has with regard to its mission. We have a strategic alliance that we have nurtured and developed over the past eight years with the Cleveland Clinic. I think we are here at a point in time where we have an opportunity to really execute that knowledge-grant mission in an outreach way as we have never seen before.

Getting to know each other over these eight years gives all of us, both at OSU and at the Cleveland Clinic, a certain sense of confidence that some of the things that we could lay out on the table could be done. That is something you might not have known or might not have imagined eight years ago.

Clearly, we are two institutions that share the same mission for learning, research, and service. We are among the best not-for-profit institutions in the State of Ohio, almost in a class of our own. With that level of achievement, historically, there is a self-confidence that comes from both institutions, so they have the ability to enjoy and to thrive on the excellence of their partners, not to be threatened by it.

**PRESENTATION OF THE OHIO STATE UNIVERSITY/
CLEVELAND CLINIC FOUNDATION PARTNERSHIP (contd)**

Dr. Healy: (contd)

There has traditionally been an enormous amount of good will inherent in the fabric of Ohio State University and also very much in the fabric and the structure of the Cleveland Clinic. I think that good will has expanded over these past several years bringing together closer strategic allies. Again, we are building that critical issue of trust and confidence -- sort of that factor "X" that makes any alliance succeed or fail.

Mr. Celeste said another thing at our retreat a few weeks ago and that was to "be bold." I think I'm quoting him correctly when he said, "Never lose sight of the passion to get better." Any institution that's devoted to education, to research, and to service must never lose sight of the passion to get better.

I believe that it is time now to see the value that we have really created these past eight years and to move to the next step. There are a lot of possibilities that I think working together we could present to you as the Board of Trustees and to the Board of the Cleveland Clinic. My belief is that we in no way at this point are going to, or will be limited by, a vision of what we can lay out and create. I think the big issue that any next step collaboration or alliance will come down to is the simple issue of courage.

Ted, you talked about boldness; boldness takes courage. I understand one of the Greek philosophers once said, "Courage is the most important virtue, because without courage none of the others matter." So I look forward to having the opportunity with our colleagues on both sides, Cleveland and Columbus, to perhaps present to you something more concrete and to receive your vision and your take on some of the things that we've presented so we can move ahead.

Mr. Celeste:

Any questions or comments? This has been a wonderful presentation. For Michael and I, in particular, who have been on the Board since this relationship started, this is a terrific story. I'm very excited about it. I'm looking forward to all the good things that are about to happen. I appreciate it.

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PRESENTATION OF ARTICULATION PROCESS

Mr. Celeste:

At this point, I would like to call on Dr. Martha Garland to discuss the articulation process that the President referred to earlier.

Dr. Martha Garland:

Thank you, it is nice to be with you today. In some sense, one of the broader themes that you have been looking at already in today's meeting is the connection of Ohio State in Columbus to other parts of the state. Particularly, we thought that since we're in Cleveland we'd take a look at our relationship to the northeastern part of the state, particularly with respect to where we draw our students from and especially transfer students who come from the community colleges.

As you probably know, the Task Force on Enrollment Management and also the Committee on Enrollment and Student Progress is going to be focusing this year on transfer students and looking at the role that they play in our undergraduate student

PRESENTATION OF ARTICULATION PROCESS (contd)

Dr. Garland: (contd)

population. You may also remember that the State of Ohio in 1990, through legislative feedout, directed the Board of Regents, and then the Board of Regents directed state institutions to get better relationships with one another so students could flow more easily from one institution to another. Then we went further than that requirement for articulation. We have established formal articulation agreements with seven of the community colleges in areas that particularly feed to Ohio State, three up here in the northeastern part of Ohio -- Cuyahoga Community College, Lakeland Community College, and Lorain Community College.

What I have handed out to the Board are some sheets of data that I think really make most sense for you to have as we continue to talk about transfer students. Let me just explain what you have in front of you, so you can study them for your own interests.

The first sheet is a diagram of where we have relationships with community colleges, the enrollments that we attract from those colleges, how those students do in being retained for one year, and then how they do in graduating. If you compare that to the second sheet, which gives us our current information and our plans about retention and graduation for our students who come in as first quarter freshman, you can see that community college students do pretty well in staying with the University and graduating.

The numbers from the community colleges are relatively small so that the patterns tend to go up and down more than they do with larger numbers. Where, at present, we are graduating about sixty percent of our students who come into us as native students, the community colleges tend to vary between 50 percent and, in some cases, into the 50, 60, and 70 percents. So people who come to us from the community colleges can do very well.

The third sheet that you have details at how transfer students, in general, do with us. You can look at the transfer students from community colleges in comparison to transfer students in general. The last sheet I have given you -- may be interesting to you -- shows where our transfer students come from. In order of the size of the contributing institution, you can see that Columbus State Community College is our biggest contributor, significantly.

In summary, regarding where we're going to be going over the next year, we need more information about transfer students in general, and we're going to be gathering that. We think we can serve them better in terms of evaluating their credits quickly and helping them get better integrated into the University. The most exciting thing is that we can begin to look at transfer students as a population whom we could actually recruit and whom we could be selective about.

At the present moment, we recruit incoming freshman and we select from among them, and thereby improving the quality of the incoming class. But the transfer students just come on a first-come, first-served basis. We really have the ability, especially with these articulated community colleges, to go to them directly, seek their best students, and try to bring them to Ohio State, confident really that they would do well. This is a particularly attractive approach because it really strengthens our access mission. It is also particularly helpful with respect to diversity, because a lot of students from minority group backgrounds start at community colleges for economic reasons, and also because sometimes it is easier to stay closer to home at first.

PRESENTATION OF ARTICULATION PROCESS (contd)

Dr. Garland: (contd)

There are a lot of opportunities to be more aggressive about how we treat transfer students and, particularly, the way we interact with community colleges. I'm hopeful that we'll move forward in that direction in the next few years. I'd be glad to take any questions.

Mr. Celeste:

Thank you, Martha. Are there any questions from Board members? Thank you very much for the presentation.

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REPORT ON STUDENT GOVERNMENTS

Mr. Josh Mandel:

As President Kirwan mentioned, I am from Cleveland, so it is great to come home. Sitting here has allowed me to reflect a little on something my dad taught me growing up about speaking -- especially when you follow a great group of speakers -- "Thou shall be brief."

Being here at the Clinic is an example of outreach in a relationship with institutions beyond Columbus and beyond the University. In that regard, I had the opportunity to go on the Roads Scholar Tour, along with President Kirwan and other faculty members, at the beginning of September. We traveled through Southwestern Ohio and it was a great learning experience about faculty and their challenges and about our Extension program. I encourage the University to extend the Extension program and also engage more students in it. It focuses more now on faculty and I think it would be a great experience for students.

As President Kirwan also mentioned, this past week was Welcome Week for the students. I'd like to tip my hat to the Office of Student Affairs who did an incredible job planning Welcome Week. It was definitely a group of events that benefited students and the Office of Student Activities and Student Affairs, in general, did a great job of planning that. Hopefully for future Welcome Weeks, we'll have more faculty involved with the students. It is imperative that we engage students, most importantly in their freshman year, so as time goes on, they're engaged in the fabric of the University.

In that regard, you probably know about the situation with the freshman football tickets -- where most freshman won't have the opportunity to be at a majority of the games this season. So to help remedy that problem, the Undergraduate Student Government sent out an E-mail to faculty and staff asking them that if they had any extra football tickets to contact us and we'll find freshman to accept them. I offer that same request to the Board. If you have any football tickets you're not using, the Undergraduate Student Government can find freshman to accept them.

Mr. Celeste:

We've got two coming right up here -- look at that.

Vice President David Williams:

All you had to do was ask!

REPORT ON STUDENT GOVERNMENTS (contd)

Mr. Mandel: (contd)

Thank you, Vice President Williams.

This past week, the Undergraduate Student Government has been working on a voter registration program to register students around the campus area. We were also at the Missouri game two weeks ago. More importantly, over the next month, we'll be working on a "get out the vote program" to help promote voter participation. Hopefully, increased participation in college will result with participation throughout students' lives.

In a couple of weeks, the Undergraduate Student Government, along with many Greek students, will be traveling to University college classes to talk to freshman about the importance of getting involved, regardless of whether it is student government or the other 400 student organizations. It is important that students get involved outside of the classroom.

As Dr. Garland pointed out, the freshman class is a little larger this year and also there are more returning students, which means that the University is definitely doing many things right. In conversations with the freshman class this year, it seems like students are more engaged and more a part of the University and the University family. I'd just like to tip my hat in that regard to any and all the administrators who have been working on those things and taking advice from students. Because, in fact, we feel our most important role is to tell you what students need, and we appreciate you listening.

IPC continues to affect policy making decisions on a federal level. Today in Washington, IPC-sponsored representatives from the College of Dentistry and the College of Medicine and Public Health are participating in an AMS essay lobby day for legislation effecting women's health. The day's activities include: workshops on the legislative process, lectures on relevant areas of the current health policy, and, finally, meetings with individual representatives of the 105th Congress.

On Wednesday of this past week, the monthly IPC general body meeting took place at the College of Veterinary Medicine. The main topic of discussion was the representation of professional students at the University-wide level.

The Council of Graduate Students had a successful beginning to the year, welcoming 2,000 new graduate students to campus. Several hundred students attended the new graduate student orientation on the Sunday before classes and the welcome party for new graduate students last Friday. We even registered 100 new graduate students to vote. We wish to thank the members of the CGS orientation committee for helping to organize these events, as well as the Graduate School and sponsors of the College of Education and the Coca Cola Company.

Does anyone have any questions? Thank you very much, Mr. Chairman.

Mr. Reed Fraley:

The Medical Center would not want to be outdone by the Office of Student Affairs -- we also have two football tickets for you to handout.

Mr. Mandel:

Thank you.

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STUDENT RECOGNITION AWARD

Ms. Allison Lowe:

Given our location today and today's agenda, it seemed only appropriate to recognize a professional student and, in particular, a medical student. Eric Ley, President of the Interprofessional Council, Dean Healy, and Assistant Dean Westman put their heads together and came up with the nomination, and I'm pleased to present that person to you today.

His name is Vijay Nath and he is a student in our Medical School. Vijay comes to us from that school up north -- yes, the University of Michigan. He received his Bachelor of Science with honors, in cellular and molecular biology and religious studies, from the University of Michigan. Currently, Vijay is a fourth-year medical student in the College of Medicine and Public Health at Ohio State and has a particular interest in internal medicine.

Like most graduate and professional students, Vijay is immersed in rigorous and time-consuming class work. He has, however, found a number of opportunities and a number of hours to contribute both to the Medical School and to professional programs on our campus. He is currently working with the Interprofessional Council on their I-QUE report in the wake of the successful G-QUE and, of course, the successful CUE. The forthcoming I-QUE report will relate the quality of the students' experience in the professional programs.

Within the Medical School, Vijay serves on the Student Council for the School of Medicine and Public Health. He also had the unique honor of serving as the co-author of the student section of the Accreditation Report, which Dr. Clausen discussed earlier.

One of his nominators said of Vijay that, "He is respected by students, residents, and attendings alike, and is patient, encouraging and compassionate as a teacher. Even offering his own wrist for practicing arterial blood draws to awkward new third-year students who have very sharp needles in hand." So Vijay is joining us on one of his rare days off from the medical program. He came up on the bus to join us here today in Cleveland, so please join us in congratulating Vijay on his accomplishments.

Dr. Vijay Nath:

I, like Josh, will keep my remarks short. President Kirwan, Board members, ladies and gentlemen, I just want to thank you for this honor and this privilege. It is, indeed, a pleasure to receive this award at the Cleveland Clinic Foundation where I'll be coming next month to do a month of internal medicine and infectious diseases. I'm very grateful for this opportunity.

I come from Dayton, Ohio, originally, and I did go to the school up north, so I do balance the line there. When I arrived at the OSU College of Medicine and Public Health in the fall of 1995, I was a wide-eyed, first-year medical student. I was just grateful to be accepted, number one, to one of the better schools in the nation quite frankly. I was ecstatic.

I think what I've really learned over the past three and a half years at the College of Medicine and Public Health, has been that the pursuit of medicine and education is more than the classroom or the Hospitals or the Clinics. It is doing stuff outside of those venues. I think that's where I've matured personally. I think that's where my greatest accomplishments have been.

STUDENT RECOGNITION AWARD (contd)

Dr. Nath: (contd)

Ohio State provides so many opportunities. For instance, at the national-level I've been able to work with the Organization of Student Representatives. We've gone to Congress and lobbied them on several financial matters in Washington, D.C. On a more local-level in the University atmosphere, I've been able to work with the Interprofessional Council and hope to come out with the I-QUE report in the next few months.

I think that my motivation for going into the I-QUE report, in fact, comes from my role at the Medical School where I helped out with the student survey for the Accreditation Report. I think what I was most impressed with was the fact that that report made a difference. It resulted in improvements. Dean Healy and Dr. Clausen made significant strides in order to better the school and I think that's what I was impressed with most.

In conclusion, I think that what needs to be emphasized at Ohio State is opportunity. There is an opportunity to learn, but there is also an opportunity to excel. Each motivated student must take it upon himself or herself to find those opportunities.

I look forward to working with the Medical School and the University, particularly, if I continue to stay in Columbus. One of the ideas that I've mentioned in passing to others, is to include more undergraduate students at the Medical Center to do part-time research at the Medical School-level. We did that at the school up north, and I thought that was one of the things that perhaps most benefited me. Such small strides can really make a difference.

So, once again, I thank you for this award, it means a great deal to me. I look forward to seeing you again. Thank you.

Mr. Celeste:

Thank you, Vijay, you make us proud. I saw Dean Healy in the back of the room beaming.

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REPORT ON THE FARM SCIENCE REVIEW

Mr. Slane:

Thank you, Mr. Chairman. My cohorts have given me ten seconds, so I'm going to be very brief.

For those of you who are unfamiliar with the Farm Science Review, it is the premier agricultural event in the state. We had the largest attendance in its history -- there were many people from all over the world and 600 vendors participating. Both Governor Voinovich and President Kirwan were very adroit in dogging the cow pies on the way to the podium.

In short, it was a great success and continues to grow. It's a very high profile event for both the University and the College. The College of Food, Agricultural, and Environmental Sciences deserves a lot of credit for all the hard work that's gone into it. Thank you.

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October 2, 1998 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, November 6, 1998, at the Fawcett Center for Tomorrow, Columbus, Ohio.

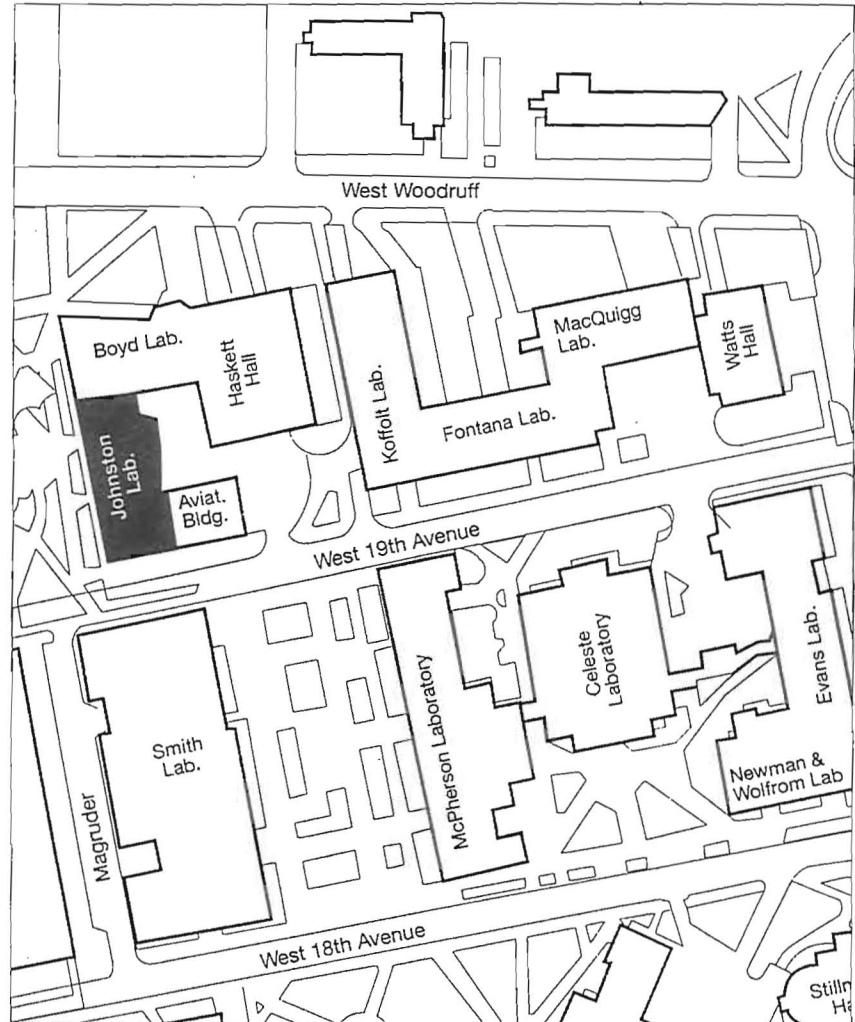
Attest:

William J. Napier
Secretary

Theodore S. Celeste
Chairman

(SEE APPENDIX XV)

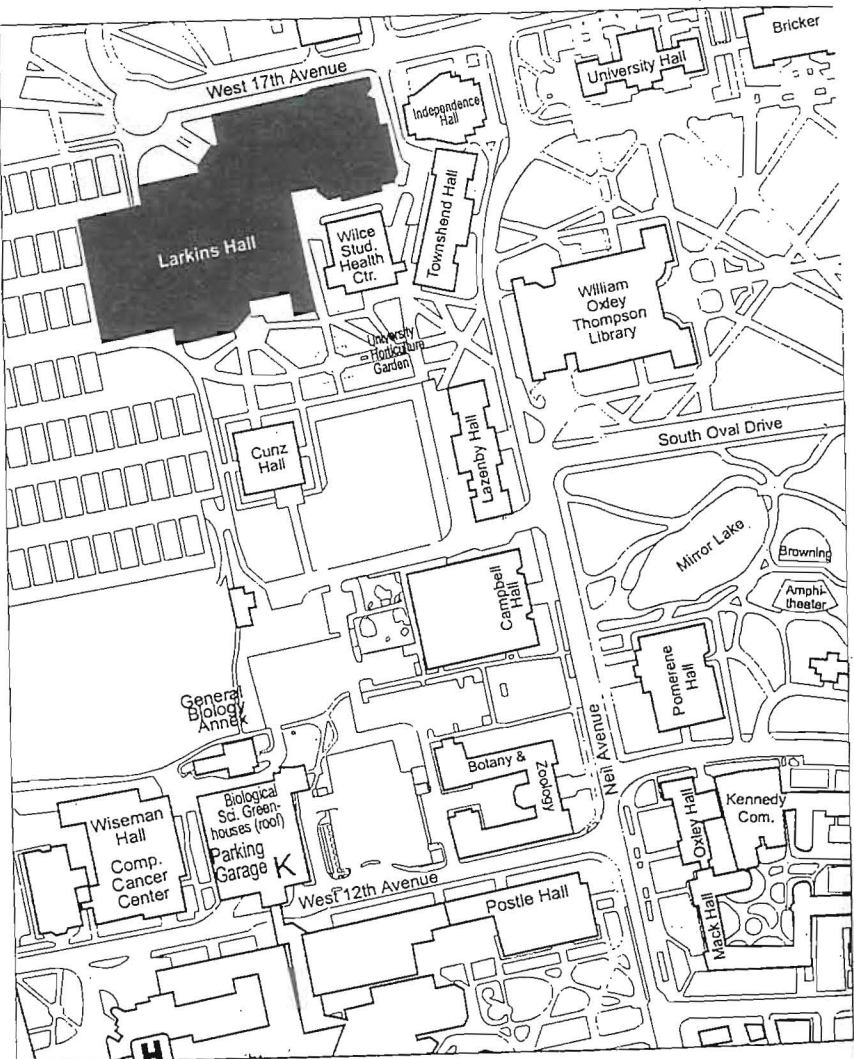
Johnston Lab Renovation – Macromolecular Lab



Office of Business and Administration
Office of the University Architect and Physical Planning
September 9, 1998



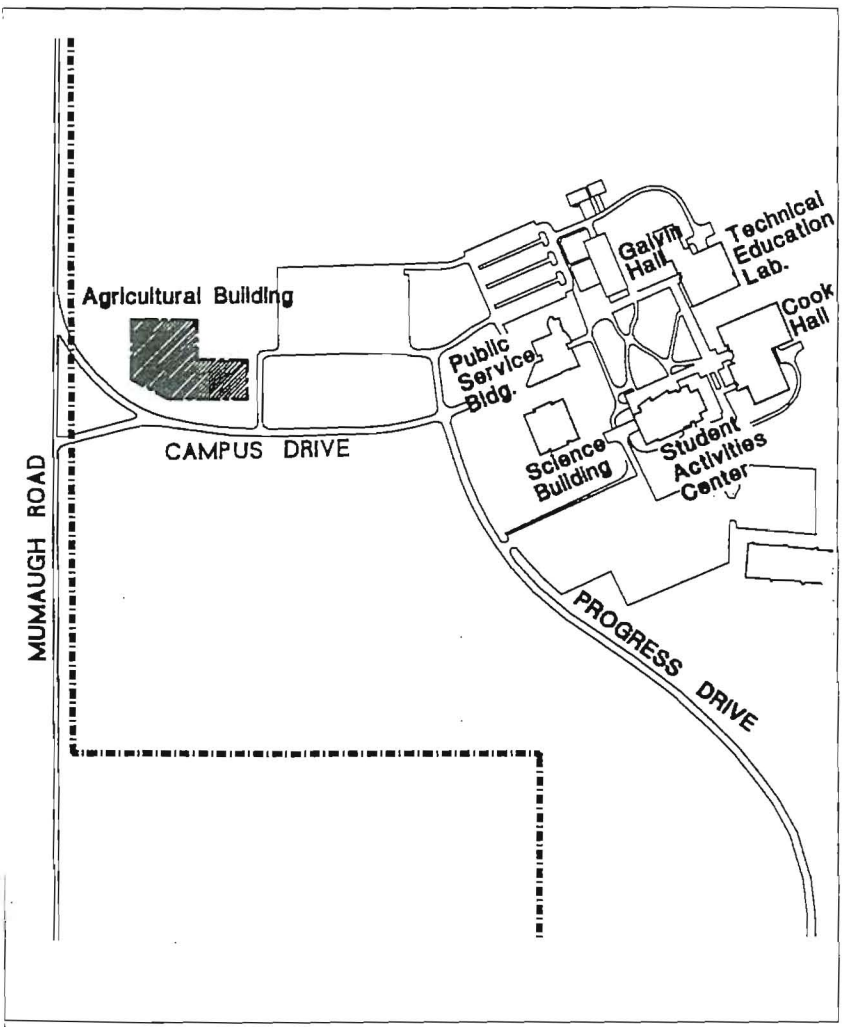
Larkins Hall - Roof Replacement Phase III and Panels



Office of Business and Administration
 Office of the University Architect and Physical Planning
 December 22, 1997



Lima -Agricultural Building



Office of Business and Administration
 Office of the University Architect and Physical Planning
 January 8, 1997



THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FORTY-SEVENTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, November 6, 1998

The Board of Trustees met at its regular monthly meeting on Friday, November 6, 1998, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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November 6, 1998 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on November 6, 1998, at 11:10 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, George A. Skestos, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Soraya Rofagha, and Allyson Lowe. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

October was an extraordinary month for the university. It began with an impressive, I would almost say inspirational, dedication of Fisher and Gerlach Halls in the new Fisher College of Business complex. In my opinion, this facility has no peers among U.S. business schools. During the dedication ceremonies, Max Fisher and Les Wexner eloquently reminded all of us of the important role public higher education played in their lives. Their remarkable generosity ensures that future generations of students will leave Ohio State prepared for leadership in their careers and in their communities.

It is also exciting to report that the Jerome Schottenstein Center opened two days ago. What a marvel it is. It too has no peers among collegiate arenas in the nation. It is a wonderful example of the public-private partnership. The generosity of the Schottenstein family and others, along with state support, created the margin of excellence to make this remarkable facility.

We not only launched these wonderful new buildings this month, but we also gained momentum in the creation of The John Glenn Institute for Public Service and Public Policy. In one of the great examples of fortuitous timing, The John Glenn Institute for Public Service and Public Policy received heightened interest and visibility during Senator Glenn's space flight. From the PBS broadcast of "An American Hero," to press accounts around the world, Ohio State has been identified as a repository of his papers and as the site of his next mission as professor.

In conjunction with the launch, Space Week on campus highlighted faculty research, provided student activities, and brought youngsters from around the state to the campus. From 4-H to the Byrd Polar Research Center, the spotlight has been shining on space-related activities at Ohio State.

Also highlighted this past month, was Ohio State's Cartoon Research Library and the Cartoon Archive, which is a repository for the collected works of some of our nation's most distinguished cartoonists and satirists. The 1998 Festival of Cartoon Art was a significant academic conference on the history and future of cartoons, held here at Ohio State. The festival featured the Cohn and McDaniel collection of the Art, Artists, and Artifacts of *Mad Magazine*. I don't know how many of you remember reading *Mad Magazine*, but I did in my youth, and I got to see the fabulous cartoons from *Mad Magazine*. Our cartoon archive, incidentally, is one of the jewels of the university.

I made my first trip to Ohio State at Marion in October, and I was greatly impressed by the quality of this branch of the university, and the broad base of community support it enjoys. I can assure you that our Marion campus is meeting the needs of its community by providing an impressive range of academic opportunities for its citizens and through significant outreach activities.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

I am delighted to announce another point of pride that occurred during October. Professor Terry Miller, Ohio Eminent Scholar in Experimental Physical Chemistry, has been awarded the 1999 Broda Prize, given annually by the American Physical Society to a single individual for exceptional advancements in molecular spectroscopy. You have on today's agenda the creation of a spectroscopy institute. Our strength in this area of intellectual endeavor is due to the international reputation of our faculty, and most especially, the high regard with which Dr. Miller is held. We congratulate Dr. Miller on his singular award.

As I learn more about this university through "new eyes," if you will, I continue to be excited by the energy and ability of my colleagues. I thought it would be appropriate during these reports each month to introduce a member of the faculty who will briefly highlight some aspect of his or her work that is making a difference in the quality of our university.

Today, I am pleased to introduce Professor Weldon Mathews. Some of you met him earlier during the Educational Affairs Committee meeting. Dr. Mathews, Associate Professor and Vice Chair of Undergraduate Studies in the Department of Chemistry, has been at Ohio State since 1967. In a moment, he will tell us about a program he developed that uses technology to assist students in their study of general and organic chemistry. Let me first give you some background.

Some 3,200 students enroll in general chemistry and another 900 take organic chemistry each quarter. That seems like a lot of students and, of course, it is. About 200 degree programs require one or both of these chemistry sequences. Most of these students are taking chemistry not as a major, but as a requirement for something else. And I am sure many of us in this room remember how tough chemistry can be. In fact, it was organic chemistry that made me decide as a doctor I would make a great mathematician.

Dr. Mathews has been involved in the development of web resources that help our students succeed in chemistry. Where were you when I needed you, Dr. Mathews? Dr. Mathews, would you please tell us a little bit about this program.

Dr. Weldon Mathews: [Power Point presentation]

Thank you very much, Dr. Kirwan. What I would like to do right now is to go through what is, in fact, a Power Point presentation. In the earlier session, we had a live presentation over the web so this is going to be snapshots of some of those materials. I strongly encourage you to take a look at the real thing on the web.

When I came here a number of years ago, one of the things that attracted me to Ohio State was the fact that the Department of Chemistry has a strong commitment to teaching on all its levels. From the post-doctoral fellows -- who are preparing to go out and become leaders in the industry -- to the entering freshmen. In 1965, the pre-lab tapes were developed, and you can see the chain of events that has culminated more recently in the development that started in 1995, a world-wide web project.

This is the home page for the Chemistry Department, and I would request that each of you write down that address at the very top. It's not hard to remember. But it is the beginning of the material which is available for us in chemistry, and it will give you a great deal of information about the department.

PRESIDENT'S REPORT (contd)

Dr. Mathews: (contd)

The thing that I will talk about first is the undergraduate page. This information is available for all students taking chemistry, and includes primarily those nearly 4,000 students per quarter that are enrolled in the course because it is satisfying their requirements elsewhere. It also is material that is available for the person who is majoring in chemistry, but we will concentrate on the material available for the students in the first two years.

Among the list of materials which we have, is a list of each of the courses available and their syllabus. There is a wealth of information, including pointers to each of the people who would be involved with the students in the lecture, in the laboratory, and in the recitation. The maps may be available; in fact, those highlighted colors you saw before are active on the web page. You can actually go to a location and, in most cases, find not only the description of the activity, but where it is located.

The point of interest here is Room 160, the Learning Resource Center. You'll see a view of that a little bit later on the videotape. In this case, Dr. Grandinetti is teaching 121. These are courses that certainly I've taught -- the first year and the first quarter cores. Very typically, a lecture will include material which has been given in the lecture, as well as information that will assist the students in working on homework and prepare them for material to be presented later. This is Dr. Grandinetti's version in which he's actually done a very fine job in preparing his lecture notes so that they may be downloaded from the web actively.

The next stage, of course, is to actually prepare the student to start giving the information back. This is the place where the web resources have wound up being very useful. Keep in mind, that these are efforts that began not with me, but, in fact, back in 1965, and most recently with Dr. Ouellette, some 12 years ago, when he started developing what was then called, "computer-assisted instruction."

I am going to concentrate on the two articles at the top, the study questions, quizzes, and tutorials, and also on the educational chemistry. Microchemistry is the first version of the computer-assisted instruction written by Dr. Ouellette and Dr. Tatz and it is available in Room 160. It has some features which are not yet available on the web, but we are actively working on that.

The thing that is available on the web is some 3,000-5,000 questions arranged in a number of different test banks as indicated here, in which the primary topics are arranged. Each of these is an active site that one can go to.

The next location would eventually get you to a test quiz. The quiz would have three questions and they are typical of the kinds of formulation which we often use in our actual examinations. The students can answer questions on these quizzes, submit them for correction, and find out if they've answered the questions properly. If they haven't, they may be able to go to a tutorial, and some of these are indicated here.

This is one of the more adventurous tutorials and is one, I regret, we do not have live, because this is a real flame. The idea here is that we have hydrogen and oxygen molecules being combined in a torch to produce water as that product which is formed. The primary focus is the matter of "balancing," as it's called, chemical reactions. The description which is available here is simply an amplification of the ideas which are involved.

The use of video presentations helps a great deal. That particular product was a result of the funding from the Beta Funds and this was an effort to present a

PRESIDENT'S REPORT (contd)

Dr. Mathews: (contd)

number of tutorials which involve a lot of effort on the part of faculty and graduate students. The graduate students came from the Advanced Computing Center for the Arts and Design. As they leave our area, they are in fact going off to work with Disney and contributing to a lot of other art that we will see. There were some very imaginative categories and ideas that went into it. Dr. Kitagawa-DeLeon is a faculty member in that department; Dr. Sherwin Singer is the faculty member in our own department.

The educational resources also include information for the electronic flash cards. This was prepared by Dr. Lowary and Dr. Hadad, with the help of a number of other people within the department. The idea is exactly the same, but the delivery is somewhat different because of the nature of the material. In this case, the quizzes are presented in the form of a question. The first part of the flash card would include this information, and the student would be expected to provide what the answer is as a result of a reaction of this material. As you can see, the options are at the bottom, and, in this case, we have already gotten the answer, it's here. In some places there will also be additional information which may be provided.

Dr. Hadad also provides his lecture notes, and these are available in a number of formats. When you look at those, they may be something of this type; things that if you copied down in the laboratory or the lecture class would actually take a great deal of time.

In closing, I would like to thank a number of people who have been helpful in producing this, and also the resources which have been available to us. Also, the level of continuity which I have been able to enjoy in the Department of Chemistry is certainly something we would like to look forward to, and the matter of having adequate resources and devising adequate rewards is one of the things that we need.

Thank you.

President Kirwan:

Dr. Mathews, thank you very much. I think you deserve a round of applause. I just want to say, Dr. Mathews, that I hope everyone can appreciate what a phenomenal resource this is for our students, what an incredible learning tool that you and your colleagues have created, and the effort required by so many faculty and staff to put this together. It is a great service to our students. We just want you to know how much we appreciate what you've done.

Mr. Chairman, there is one thing I would like to go back and touch on. When I mentioned earlier that Professor Terry Miller, Ohio Eminent Scholar, received the Broda Prize, I didn't realize that he was in the room, so I would like to recognize him.

But before I ask him to stand up, I just want to go back and be sure that everybody understands the magnitude of an award like this, because every university in the United States has a chemistry department. Chemistry is a fundamental discipline. Every chemistry department has experts in physical chemistry, because it is the building block of the discipline.

To win a prize like this, you're in competition with Harvard, which has physical chemistry, and with Stanford, which has physical chemistry. You name the university, they have eminent scholars working in physical chemistry. We have a

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

person that was selected among all the universities in the United States to win this award. It's a very special thing, and I would like Terry Miller to stand so we can congratulate him.

Mr. Chairman, that concludes my report.

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**PRESENTATION ON DISTANCE LEARNING
AND TECHNOLOGY IN THE CLASSROOM**

Mr. Celeste:

Dr. Mathews' comments certainly lead nicely into our presentation of "Distance Learning and Technology in the Classroom" this morning. Some of us sat in on the Educational Affairs Committee meeting and heard some of these remarks earlier. I would like at this time to call on Dr. Jim Davis, Associate Provost and Director of University Technology Services, for this presentation.

Dr. James F. Davis: [Power Point presentation]

We continue with the theme of technology in the classroom. I wanted to start with this slide to emphasize the terminology that we are using at Ohio State University, namely Technology Enhanced Learning and Research, to indicate that we are looking at a full complement of activities relative to learning and research. While we focus a great deal on distance learning, distance learning is a subset of a much broader group.

I have organized the format for this short presentation around five questions that I get often, and I hope I can provide some direct answers and put some of these questions to rest. I will go through these one at a time.

The first question is, "OSU is active in TELR, isn't it?" I worded it that way because I often get that question, and this is one I would indeed like to put to rest. I would like to carry you through a video tape that takes you across seven additional activities, of which one you heard already from Weldon Mathews.

[Video presentation]

I hope with this tape, that you get a pretty good sense that Ohio State is very much engaged in technology-enhanced learning and research. Again, these are seven examples across 100 distance education courses and approximately 2,000 courses that are web-enhanced on the campus itself.

To make all this happen, we have identified what we call five necessary support areas: 1) access; 2) the ability to get into the OSU internet; 3) the media centers; 4) the ability to deliver; and 5) the delivery services, which have to do with grades, admission, registration, and so forth that make all of this possible.

At the forefront, however, we must concentrate on content and presence. The content is what programs are in place and how do we encourage faculty to get involved with the technology and move forward with it. We put these arrows here to indicate that content and presence go hand-in-hand. As we develop more content, we get more presence and vice-versa.

**PRESENTATION ON DISTANCE LEARNING
AND TECHNOLOGY IN THE CLASSROOM (contd)**

Dr. Davis: (contd)

In 1995, I presented to the Board where we were with technology-enhanced learning and research, and that is indicated in the red bars on this particular graph. Since that time, the blue indicates the progress that we have made in terms of building capacity up in these five areas. I would encourage you to dwell just a moment on some of the numbers that occur in each of these areas, so that you can get a very good feel for the scale that exists at Ohio State.

If we take a look at access, there are 10,000 dorm connections and 65,000 e-mail connections. If we look at the media centers, there are seven interactive video sites, 3,500 laboratory seats, and 85 lecture rooms, with 24 hours-a-day, seven days-a-week delivery. With respect to delivery services, this past year, we were ranked by Yahoo's national survey the 29th "Most Wired Campus," and "wired" means networked. What is significant about this is that the survey dwells on student services, and this really does speak to the kinds of services that are put forward to help the students move forward and encourage their experience here at Ohio State. Our tech support center is now approaching 100,000 calls in an academic year.

With respect to content and presence, as we mentioned earlier in the Educational Affairs Committee, we have now distributed about \$3 million in grants, and these have been matched by another \$1 million from the colleges. These are serving to move us forward in a number of classes. We have a policy in place now, "Faculty Tools, Best Practices," all encouraging technology transfer and getting the faculty brought up to speed.

One of the things that is significant with the presence is with the OSU web page -- it is now getting 100 million hits per day and we're tapping into that as a way of bringing up the presence of the courses. We're also continuing to work very closely with consortia.

One of the questions we're asked is, "Does OSU have a technology-enhanced research agenda?" Three years ago, I reported what's in the boxes. We have maintained this agenda throughout this time period, focusing on our on-campus students with technology-enhanced learning and experience, and skills for our on-campus students. We're focusing on increased availability and scheduling flexibility for our students, both on campus and distance; we have a very strong effort now moving forward in work force training and professional development; and, as a research institution, we need to consider shared research activities. As I indicate on the right-hand side, we have a number of specific activities where resources are now being targeted.

This past summer, we commissioned a marketing firm to do some benchmarking as to: "What exactly does distance education mean across a number of institutions?" and "Where does OSU stand?" There are many, many different elements in the report, so I am picking only two of them in the short presentation, but you can see a couple of things.

We are taking a look at the number of courses, and we can see that there is a wide range of courses relative to distance education.

One of things that was noted is all of these institutions that are boxed are those institutions which are marketing their courses, however large, very aggressively. You can see that -- and one of the things the report brings out -- the term "leader" on a national basis is much more strongly correlated with how strongly the courses

**PRESENTATION ON DISTANCE LEARNING
AND TECHNOLOGY IN THE CLASSROOM (contd)**

Dr. Davis: (contd)

are being marketed, than they are with how many courses there are or what the quality of those courses is.

One of the things that this also brings out is that OSU is -- the term we've been using -- a "fast follower." It is in a group that is well positioned and very actively engaged.

We are obviously not marketing -- and it's something that we should be doing -- but nevertheless, we are well positioned and can move forward. There are clearly leaders in the form of Penn State, Indiana, and California Virtual, at least with regard to this particular survey.

What is the University commitment? As we look over the past three years in terms of what we've done -- both in technology-enhanced education, in particular for our on-campus students, as well as in distance education -- you can see the growth from 40 courses in 1995 to about 100 now. In distance education, we've gone from 500 to 2,000 in terms of electronically-enhanced classes on the campus. Our capacity -- when we pull together all the elements: resources, incentives, and just general institutional wherewithal -- is to grow about 5 percent in the general technology-enhanced education arena, and about 20 courses per year in the distance education arena.

That brings several questions forward. One of the things that came out of the survey is, that as I stated a moment ago, Ohio State is a fast-follower in distance education, and the question is, "How can we leverage that point?" Most importantly, we took a look at what are considered to be the critical success factors of those leading institutions that are marketing and have substance behind them -- in particular, Penn State, Indiana, and California Virtual -- and it was very clear that there are three elements.

One is the strategic integration of technology-enhanced learning and research into the overall fabric of the institution. Faculty must be actively engaged, faculty must be integrated into the overall enterprise, and one must go forward with a very clear view of the economic viability of each of these programs. So I have indicated a decision point because, from Ohio State's standpoint, we have grown a great deal. We put the resources and technology in place. We no longer have technology bottlenecks.

Our question now is, as an institution, "How do we now move forward with a strategic and faculty integration, along with this economic viability?" This is the subject that I've been working on very closely with President Kirwan, Provost Ray, and the Dean's Learning Technology Council.

We believe that this is the essential ingredient now for us to get into a capacity where we think we need to be, which is to get into the 5 percent of our 10,000 courses for technology-enhanced education. We need to get into the range of 100 distance education courses per year, to put ourselves in an appropriate leadership position over the next two years, and also to keep up with what the other institutions are doing, in particular our peer institutions.

So with that, I would like to close. I hope that gives you a perspective on the enterprise. I also would like to indicate that two faculty members and two students, who appeared on the video tape, are here and can answer questions. The faculty members are: Weldon Mathews, who you met earlier; and Alan Escovitz, from the

**PRESENTATION ON DISTANCE LEARNING
AND TECHNOLOGY IN THE CLASSROOM (contd)**

Dr. Davis: (contd)

College of Pharmacy. And the students are: Alex Aducci, from the College of Education, and Gail Hollowell, from the College of Pharmacy.

Mr. Celeste:

Thank you, Jim. That was a nice presentation; we appreciate it. Are there questions of Jim or any of the other participants from members of the Board?

Mr. Brennan:

I didn't see a reference on the progress to degree programs. You say certificate programs, but are degree programs intended to be one of the by-products of this activity?

Dr. Davis:

Yes. The reason I didn't make an explicit reference is because we see the two so closely interlinked. If you develop a degree program, these can often be rolled out as a continuing education program. If you develop a continuing education program, it is feeding right back into the degree program. So, they're ultimately one and the same.

Mr. Brennan:

The only reason I mention that is, my impression is on building what Phoenix does. The market for degree programs with a certain physical presence at various places is quite substantial, and they pay a lot of money for those courses.

Dr. Davis:

That's right. So the emphasis is embedded in those comments and in those slides, but it's very much on degree programs. I should mention also, and I've mentioned this before, we are very actively engaged in a consortium called LearnShare. There are fifteen *Fortune 500* companies with a very strong interest in the degree programs being rolled out to their 3 million employees world-wide.

Mr. Celeste:

Any questions? Thank you very much, Jim.

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CONSENT AGENDA

President Kirwan:

We have thirteen resolutions to present to the Board for approval today and unless there are any objections, I would like to recommend the following:

UNIVERSITY HOSPITALS BOARD APPOINTMENT

Resolution No. 99-45

Synopsis: Approval of an appointment to the University Hospitals Board is proposed.

WHEREAS the Board of Trustees on November 30, 1979, approved the establishment of a University Hospitals Board; and

WHEREAS the membership of the Hospitals Board was approved on April 4, 1980, and has been subsequently amended; and

WHEREAS the following appointment to the Hospitals Board is hereby recommended:

David P. Lauer, effective November 1, 1998 - April 30, 2000

NOW THEREFORE

BE IT RESOLVED, That the above appointment of a general public member to the University Hospitals Board be approved.

REGIONAL CAMPUS BOARD REAPPOINTMENT

Resolution No. 99-46

Synopsis: Approval of a reappointment to The Ohio State University-Lima Campus Board is proposed.

WHEREAS the Board of Trustees on July 8, 1994, approved the establishment of The Ohio State University-Lima Board; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for reappointment to the Lima Regional Campus Board for the term as specified:

Lima Board Reappointments

Thomas M. Francis, 3-year term

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of The Ohio State University-Lima Board, effective July 1, 1998.

CREATION OF A SPECTROSCOPY INSTITUTE

Resolution No. 99-47

Synopsis: Approval of the creation of a spectroscopy institute is proposed.

CREATION OF A SPECTROSCOPY INSTITUTE (contd)

WHEREAS research in spectroscopy would be facilitated by the proposed institute; and

WHEREAS it would facilitate and enhance continuing cooperation among a multidisciplinary group of scholars already actively engaged in productive research in the field; and

WHEREAS the quality of graduate student research experiences in spectroscopy and related fields would be enhanced; and

WHEREAS this proposal satisfies the guidelines for the establishment of academic centers and institutes, including requirements for appropriate consultative processes, oversight, and evaluation; and

WHEREAS investment of University funds for the proposed institute has been endorsed by the Dean of the College of Mathematical and Physical Sciences and the Office of Research; and

WHEREAS the proposed institute was discussed and approved by the Council on Academic Affairs, and was approved by the University Senate at its September 26, 1998 meeting:

NOW THEREFORE

BE IT RESOLVED, That the above creation of the Spectroscopy Institute is hereby approved, effective immediately.

PERSONNEL ACTIONS

Resolution No. 99-48

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the October 2, 1998 meeting of the Board, including the following Appointment, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved and the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center) approved September 24, 1998, by the Hospitals Board be ratified.

Appointment

Name: YANG LIU
Title: Professor (The Ralph W. and Helen Kurtz Chair in Pathology)
Department: Pathology
Effective: September 1, 1998
Present Position: Associate Professor, Division of Immunology, Department of Pathology, New York University Medical Center, New York, NY

Leaves of Absence Without Salary

LEIGH GILMORE, Associate Professor, Department of English, effective Spring Quarter 1999, for personal reasons.

RENEE J. MILLER, Assistant Professor, Department of Computer and Information Science, effective Autumn Quarter 1998, Winter and Spring 1999, for personal reasons.

Leaves of Absence Without Salary--Continuation

DANIEL C. K. CHOW, Professor, College of Law, effective August 16, 1998 through August 15, 1999, to continue his work as a senior lawyer for Proctor and Gamble's China operations.

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary--Continuation (contd)

TIM C. OPLER, Assistant Professor, Department of Finance, effective Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to continue working in New York in the corporate financial services sector.

Professional Improvement Leaves

VASSILIOS LAMBROPOULOS, Professor, Department of Greek and Latin, effective Spring Quarter 1999.

YU-PING CHIN, Associate Professor, Department of Geological Sciences, effective Winter Quarter and Spring Quarter 1999.

SHELLEY FENNO QUINN, Associate Professor, Department of East Asian Languages and Literatures, effective Spring Quarter and Autumn Quarter 1999, and Winter Quarter 2000.

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center)

August 1998

Kerri S. Benjamin-Brandt, D.D.S.	General Denistry	PGY1 Limited	7/1/98 - 6/30/99
Loretta A. Campbell, M.D.	Radiology	PGY5 Limited	7/1/98 - 6/30/99
Jeffrey S. Castel, D.D.S.	General Dentistry	PGY1 Limited	7/1/98 - 6/30/99
Francesco Catania, M.D.	Gen Obstetrics/Gyn	PGY2 Limited	7/1/98 - 6/30/99
Susan L. Chang, M.D.	General Medicine	PGY2 Limited	7/1/98 - 6/30/99
Timothy J. Custer, M.D.	Transplant	PGY3 Limited	8/1/98 - 9/30/98
Douglas R. Dreffer, M.D.	Obstetrics/Gynecology	PGY2 Limited	9/21/98 - 10/19/98
Herbert R. Estis Jr., M.D.	Family Medicine	Courtesy	7/1/98 - 6/30/99
Angela B. Gantt, M.D.	Gen Obstetrics/Gyn	PGY1 Limited	7/1/98 - 6/30/99
James P. Gerard, M.D.	Emergency Medicine	PGY1 Limited	7/1/98 - 6/30/99
David Keith Groen, M.D.	Family Medicine	Community Aff	7/1/98 - 6/30/99
Alesia J. Johnson, D.D.S.	General Dentistry	PGY1 Limited	7/1/98 - 6/30/99
Melinda M. Johnston, P.A.	Thoracic/Cardio Surg	Physician Extender	7/1/98 - 6/30/99
Gordon Y. Kim, M.D.	Dermatology	PGY1 Limited	7/1/98 - 6/30/00
Steven S. Lee, D.D.S.	General Dentistry	PGY2 Limited	7/1/98 - 6/30/99
Jennifer Regan Lods, M.D.	Gen Obstetrics/Gyn	Courtesy	7/10/98 - 6/30/99
David K. Magnusen, M.D.	Phys Med & Rehab	PGY2 Limited	7/1/98 - 6/30/00
Sanjeeuan Maheswaran, D.D.S.	General Dentistry	PGY1 Limited	7/1/98 - 6/30/00
Thomas F. Mauger, M.D.	Ophthalmology	Attending	7/1/98 - 6/30/00
Diane W. McCarthy, M.D.	Pediatric Surgery	PGY6 Limited	7/1/98 - 6/30/00
MaryEllen K. Mccullough, M.D.	Hematology/Oncology	PGY4 Limited	9/1/98 - 6/30/00
Asma Mobin-Uddin, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Anne E. Morgan-Marshall, D.D.S.	Oral/Maxillofacial Surg	PGY1 Limited	7/1/98 - 6/30/00
Julie R. Namanworth, D.M.D.	Oral/Maxillofacial Surg	PGY1 Limited	7/1/98 - 6/30/00
Craig A. Nankervis, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Gregory M. Ness, D.D.S.	Dentistry	Attending	7/1/98 - 6/30/00
M. Sue O'Dorisio, M.D.	Pediatrics	Attending	7/1/98 - 6/30/00
Nathan J. O'Dorisio, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Francine M. Oelrich, M.D.	Phys Med & Rehab	PGY1 Limited	7/1/98 - 6/30/00
Greg L. Offenburger, D.D.S.	Dentistry	Attending	7/1/98 - 6/30/00
John F. O'Leary, M.D.	Orth Surgery	Attending	7/14/98 - 6/30/00
Terry D. Olejko, D.D.S.	Dentistry	Courtesy	7/1/98 - 6/30/00
Mary E. Osterlund, M.D.	Emergency Medicine	PGY1 Limited	7/1/98 - 6/30/00
Gregory Alan Otterson, M.D.	Hematology/Oncology	Attending	7/13/98 - 6/30/00
Stephen F. Pariser, M.D.	Obstetrics/Gynecology	Attending	7/1/98 - 6/30/00
Larry J. Peterson, D.D.S.	Dentistry	Attending	7/1/98 - 6/30/00

PERSONNEL ACTIONS (contd)

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center) (contd)

August 1998 (contd)

Gary L. Racey, D.D.S.	Dentistry	Attending	7/1/98 - 6/30/00
Richard B. Reid, D.D.S.	General Dentistry	PGY1 Limited	7/1/98 - 6/30/00
Randall James Reilman, M.D.	Diagnostic Radiology	PGY5 Limited	7/1/98 - 6/30/00
Ann M. Rogers, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Mary M. Rowan, C.N.M., Ph.D.	Obstetrics/Gynecology	Physician Extender	7/1/98 - 6/30/99
Richard E. Scheetz, Jr., D.D.S.	Dentistry	Courtesy	7/1/98 - 6/30/00
Hamideh Shamsai, D.D.S.	General Dentistry	PGY1 Limited	7/1/98 - 6/30/00
Paul E. Shoaps, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/00
Bushra Siddiqi, M.D.	General Psychiatry	PGY1 Limited	7/1/98 - 6/30/00
David A. Smeltzer, D.D.S.	Dentistry	PGY3 Limited	7/1/98 - 6/30/00
Anand V. Soni, D.D.S.	General Dentistry	PGY1 Limited	7/1/98 - 6/30/00
Eric A. Stine, D.D.S.	Oral/Max Surgery	PGY1 Limited	7/1/98 - 6/30/00
Rebecca M. Striet, M.D.	Phys Med & Rehab	PGY2 Limited	7/1/98 - 6/30/00
Craig R. Thompson, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Marlin F. Troiano, D.D.S.	Dentistry	Attending	7/1/98 - 6/30/00
Timothy S. Troiano, D.D.S.	Dentistry	Attending	7/1/98 - 6/30/00
Bradley G. Van Hoose, D.D.S.	Oral/Max Surgery	PGY1 Limited	7/1/98 - 6/30/00
Kuldeep K. Vaswani, M.D., Ph.D.	Diagnostic Radiology	Attending	7/1/98 - 6/30/00
David A. Waack, D.D.S.	Dentistry	PGY2 Limited	7/1/98 - 6/30/00
Diana T. Wagner, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Patrick M. Wall, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Douglas W. Wallace, D.D.S.	Dentistry	Courtesy	7/1/98 - 6/30/00
Dajie Wang, M.D.	Anesthesiology	PGY2 Limited	7/1/98 - 6/30/00
John J. Young, M.D.	Internal Medicine	PGY7 Limited	7/1/98 - 6/30/00

Emeritus Titles

MARGARET G. HERMANN, Department of Political Science, with the title Professor Emeritus, effective October 1, 1998.

WILLIAM F. LYON, Department of Entomology, with the title Professor Emeritus, effective August 1, 1998.

LARRY C. AULT, Ohio State University Extension, with the title Associate Professor Emeritus, effective October 1, 1998.

HENRY M. BARTHOLOMEW, Ohio State University Extension, with the title Associate Professor Emeritus, effective October 1, 1998.

KEITH DEVOE, JR., Department of Obstetrics and Gynecology, with the title Clinical Professor Emeritus, effective November 1, 1998.

RESOLUTION IN MEMORIAM

Resolution No. 99-49

Synopsis: Approval of a Resolution in Memoriam for Gordon J. Ryder is proposed.

RESOLVED, That the Board adopt the following Resolution in Memoriam and that the President be requested to convey a copy to the family of the deceased.

RESOLUTION IN MEMORIAM (contd)

Gordon J. Ryder

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 14, 1998, of Dr. Gordon J. Ryder, Professor Emeritus in the Department of Agronomy (now the Department of Horticulture and Crop Science).

Dr. Ryder was a native of Ohio and was raised in Delaware County and farmed there prior to entering college in 1937. He graduated with a B.S. in Agricultural Engineering in 1942 from The Ohio State University. Dr. Ryder served as an officer in the field artillery in the European theater for four years and was discharged as a Captain.

After returning to The Ohio State University for some graduate work, Gordon Ryder taught vocational agriculture for five and one-half years during which time he received his M.S. degree (1948). Dr. Ryder returned to The Ohio State University in 1952 on a Ford Foundation scholarship to complete the work toward his Ph.D. which he received in 1954.

From 1954 to 1965, Gordon Ryder served as Extension Agronomist in corn production and as the District Supervisor in the Cooperative Extension Service from 1965 to 1969. Following that, he was an Extension Agronomist in soybeans and crop improvement. Upon his retirement in 1980, he was appointed Professor Emeritus.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Gordon J. Ryder its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-50

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for September 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of September 1998 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-51

Synopsis: The report on the receipt of gifts and the summary for September 1998 are presented for Board acceptance.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of six (6) new named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of September 1998 be approved.

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

TOTAL UNIVERSITY PRIVATE SUPPORT

July-September
1997 Compared to 1998

GIFT RECEIPTS BY DONOR TYPE

		Dollars		
		July through September		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>	
Individuals:				
Alumni (Current Giving)	\$ 2,008,945	\$11,342,458	465%	
Alumni (From Bequests)	<u>1,454,929</u>	<u>343,420</u>	-76%	
Alumni Total	\$ 3,463,874	\$11,685,878	237%	
Non-Alumni (Current Giving)	\$ 1,400,691	\$ 1,602,812	14%	
Non-Alumni (From Bequests)	<u>524,131</u>	<u>554,483</u>	6%	
Non-Alumni Total	\$ 1,924,822	\$ 2,157,295	12%	
Individual Total	\$ 5,388,696	\$13,843,173	157% ^A	
Corporations/Corp. Foundations	\$ 6,979,329	\$ 7,107,702	2%	
Private Foundations	\$ 1,394,981	\$ 1,639,933	18% ^B	
Associations & Other Organizations	\$ <u>549,545</u>	\$ <u>569,076</u>	4%	
Total	\$14,312,551	\$23,159,884	62%	

July-September
1997 Compared to 1998

GIFT RECEIPTS BY DONOR TYPE

NOTES

A Individual giving is up 157% largely due to one gift totaling more than \$9 million which occurred during August, 1998

B Foundation giving at the \$10,000 or more level is up 14% for the first quarter of the fiscal year (\$1.2 million from 28 gifts last year; \$1.4 million from 37 gifts this year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-September (contd)
1997 Compared to 1998 (contd)

GIFT RECEIPTS BY PURPOSE

	Dollars July through September		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 1,337,642	\$ 9,059,014	557
Faculty Support	\$ 3,202,509	\$ 1,405,056	-56
Program Support	\$ 6,117,416	\$ 9,013,191	47
Student Financial Aid	\$ 2,181,665	\$ 2,033,950	-7
Annual Funds-Colleges/Departments	\$ 1,342,566	\$ 1,497,874	12
Annual Funds-University	\$ <u>90,753</u>	\$ <u>150,799</u>	66
Total	\$14,312,551	\$23,159,884	62

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through September		
	<u>1997</u>	<u>1998</u>	<u>%</u>
<u>Change</u>	\$ 5,385,131	\$12,315,108	129

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Endowed Funds</u>			
The Borden Foundation Endowment for Children (Support for children's programs at the Comprehensive Cancer Center - Arthur G. James Cancer Hospital and Research Institute provided by a gift from The Borden Foundation of Columbus, Ohio)		\$302,508.00	\$302,508.00
The Fred D Augsburger Mechanical Engineering Scholarship Fund (Scholarships - College of Engineering - provided by a gift from William F. Augsburger to honor his father Fred D Augsburger)		\$27,500.00	\$27,500.00
The Huff Family Scholarship Fund (Scholarships - Department of Athletics - provided by gifts from the Huff Family, Mason, Ohio)		\$25,000.00	\$25,000.00
The H. Gordon Hullfish Memorial Scholarship Fund (Assistance for doctoral candidates - College of Education - provided with gifts from Joan Hullfish Bailey and the estate of Lucile Hullfish to honor the late Professor H. Gordon Hullfish)		\$25,000.00	\$25,000.00
The Up On The Roof Cancer Genetics Endowment Fund (Support of cancer genetics research at the Comprehensive Cancer Center - Arthur G. James Cancer Hospital and Research Institute - provided by a gift from the Arthur G. James Hospital and Research Foundation Board)		\$25,000.00	\$25,000.00
The Summit County Alumni Scholars Fund (Scholarships for Summit County high school graduates - provided by gifts from The Ohio State University Alumni Club of Summit County, its members and friends)	\$15,155.00		\$15,155.00
Total	<u>\$15,155.00</u>	<u>\$405,008.00</u>	<u>\$420,163.00</u>

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The Borden Foundation Endowment for Children

The Borden Foundation Endowment for Children at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Research Institute was established November 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Borden Foundation of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support children's programs at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Research Institute at The Ohio State University. Children's programs include, but are not limited to: Balloon Brigade; Kids Can Cope, Too; Good Grief; Care Bears & Comfort Robes; School Nurses as Advocates for Cancer Control; Science in the Cinema; anti-tobacco use education, e.g. *Lost in Smoke*; and family housing. Utilization of funds shall be approved by the Director of The Arthur G. James Cancer Hospital and Research Institute, and the Director of the Comprehensive Cancer Center, in consultation with the Vice President for Health Sciences. Any unused income shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences in order to carry out the desire of the donor.

\$302,508.00

The Fred D Augsburger Mechanical Engineering Scholarship Fund

The Fred D Augsburger Mechanical Engineering Scholarship Fund was established November 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from William F. Augsburger (B.M.E. '61) in honor of his late father, Fred D Augsburger.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used by the Department of Mechanical Engineering to support deserving undergraduate Mechanical Engineering majors who are graduates of an Ohio high school and who have completed their sophomore year at the University. Students selected as Fred D Augsburger Scholars will have demonstrated academic abilities, yet have grade point averages below the top 10% for Mechanical Engineering undergraduates. Fred D Augsburger Scholars will be eligible for renewal pending review of their academic progress. The Chairperson of the Department of Mechanical Engineering will be responsible for administering these scholarships in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Fred D Augsburger Mechanical Engineering Scholarship Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$27,500.00

The Huff Family Scholarship Fund

The Huff Family Scholarship Fund in honor of Jack Smith (Varsity Football 1932-35) was established November 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Huff Family of Mason, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement student-athlete grant-in-aid scholarship costs of a student athlete participating in football at The Ohio State University. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donors.

\$25,000.00

The H. Gordon Hullfish Memorial Scholarship Fund

The H. Gordon Hullfish Memorial Scholarship Fund was established November 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Lucile D. Hullfish and Joan Hullfish Bailey (B.S.Ed. '53). Professor H. Gordon Hullfish (M.A. 1922, Ph.D. 1924) taught in the College of Education from 1922 until his death in 1962. He was one of the first recipients of the Alumni Award for Distinguished Teaching and was an inaugural member of the College of Education Hall of Fame. He was a prolific author and editor, an outstanding teacher, and an educational leader.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide assistance to doctoral candidates in the College of Education whose major field of study is the philosophy of education.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Education in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Up On The Roof Cancer Genetics Endowment Fund

The Up On The Roof Cancer Genetics Endowment Fund was established November 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Arthur G. James Hospital and Research Foundation Board.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Research Institute at The Ohio State University as approved by the Director of The Arthur G. James Cancer Hospital and Research Institute and the Vice President for Health Sciences. Any unused income shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences in order to carry out the desire of the donor.

\$25,000.00

Summit County Alumni Scholars Fund

The Summit County Alumni Scholars Fund was established November 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Ohio State University from The Ohio State University Alumni Club of Summit County, its members and friends.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to the Office of Student Financial Aid to be used to provide academic merit scholarships to students graduating from Summit County high schools with preference for students qualified under the Alumni Scholars Program criteria, ranked and recommended by the Summit County Alumni Club. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid. If any unused distributed income remains at the end of a fiscal year, it shall be added to principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$15,155.00
(grandfathered)

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 99-52

July - September 1998

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Administration, or for the Hospitals of the University and their related facilities, the Associate Vice President for Health Services and Executive Director of University Hospitals, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Administration has submitted a report on waivers of competitive bidding requirements granted for the period of July - September, 1998; and

WHEREAS during the period covered, the Vice President for Business and Administration, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 35 waivers of competitive bidding requirements for annual purchases totaling approximately \$4,531,300, as shown on the enclosed exhibit:

WHEREAS during the period covered, the Associate Vice President for Health Services and Executive Director of University Hospitals, at the requests of the Hospitals of the University and their related facilities making purchases, granted 33 waivers of competitive bidding requirements for annual purchases totaling approximately \$21,915,300, as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 1998, is hereby accepted.

(See Appendix XVI for background information, page 397.)

SALE OF REAL PROPERTY

Resolution No. 99-53

460 HAVENS CORNERS ROAD
GAHANNA, OHIO 43230

Synopsis: Authorization to sell the improved real property located at 460 Havens Corners Road, Gahanna, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University owns real property located at 460 Havens Corners Road in Gahanna, Ohio; and

WHEREAS the University Medical Center has determined that this property no longer is needed for, and cannot be operated economically in support of, University Hospitals; and

SALE OF REAL PROPERTY (contd)

460 HAVENS CORNERS ROAD
GAHANNA, OHIO 43230 (contd)

WHEREAS Third Federal Savings and Loan Association of Cleveland has offered to purchase the property for \$425,000.00; and

WHEREAS the net proceeds from the sale of the property shall benefit the University Medical Center; and

WHEREAS the appropriate University offices have determined that the sale of this property is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized, in the name of the Board of Trustees of The Ohio State University, to sell all of the University's right, title, and interest in the improved real property located at 460 Havens Corners Road to Third Federal Savings and Loan of Cleveland, for \$425,000.00, upon such terms as are deemed to be in the best interest of the University.

(See Appendix XVII for background information and map, page 399.)

PURCHASE OF REAL PROPERTY

Resolution No. 99-54

2104 TULLER STREET
COLUMBUS, OHIO

Synopsis: Authorization to purchase the improved real property at 2104 Tuller Street, in Columbus, Ohio, is proposed.

WHEREAS The Ohio State University has been presented with an opportunity to purchase the improved real property located at 2104 Tuller Street, Columbus, Ohio; and

WHEREAS this property has an appraised value of \$340,000 and the owner the Columbus Foundation, Inc. has offered to sell the property to the University at a price of \$300,000; and

WHEREAS funds for the purchase will be provided by the University's Office of Housing, Food Service, and Events Centers; and

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Administration be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, the improved real property located at 2104 Tuller Street at a price not to exceed \$300,000, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix XVIII for background information and map, page 403.)

AUTHORIZATION TO FUND A CAMPUS PARTNERS INITIATIVE

Resolution No. 99-55

Synopsis: Authorization for the University to loan up to \$2,010,000, plus closing costs, to Campus Partners for temporary funding of the purchase of the property at 1714-1726 North High Street in Columbus, Ohio, is proposed.

WHEREAS previous actions of the Board of Trustees have authorized setting aside up to \$28 million to support Campus Partners initiatives, with \$15 being authorized for release, in accordance with a memorandum of understanding to be approved by the University Oversight Committee, for the purpose of investment in property acquisition for the High Street redevelopment area; and

WHEREAS Campus Partners has been presented with an opportunity to purchase the Newport Theatre, located at 1714-1726 North High Street, at a purchase price of \$2,010,000, with such purchase subject to the terms of the purchase contract, including satisfactory building inspections; and

WHEREAS this property is inside the Campus Partners planning area but outside the proposed initial High Street redevelopment area; and

WHEREAS final decisions regarding funding for this purchase have not been determined, and Campus Partners has requested temporary funding in the event this purchase is completed:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees authorizes the University to loan to Campus Partners, from non General Fund sources, up to \$2,010,000, plus an amount to cover the usual and customary closing costs, for the purpose of purchasing the Newport Theatre, subject to all principal and accrued interest being repaid within 18 months of the date of the loan, and subject to such terms and conditions as are in the best interest of the University, as determined by the Vice President for Finance.

**AUTHORIZATION TO FUND THE OHIO HEALTH ALLIANCE FOR
ADMINISTRATIVE SERVICES ASSOCIATED WITH MEDICARE EXTRA**

Resolution No. 99-56

Synopsis: Approval that The Ohio State University continue to participate in The Ohio Health Alliance for the purpose of offering Medicare Extra and to provide additional capital in the amount of \$1,500,000 is proposed.

WHEREAS the Board of Trustees previously determined that participation of The Ohio State University in a demonstration Medicare managed care program through membership in The OhioHealth Alliance would be advantageous for the Medical Center; and

WHEREAS The Ohio State University Board of Trustees previously authorized the expenditure of up to \$3,941,000 from the University Hospitals funds for the purpose of implementing Medicare Extra; and

WHEREAS The Ohio State University Hospitals Board has recommended additional capital of \$1,500,000 from University Hospitals funds for the purpose of supporting Medicare Extra through calendar year 1998; and

**AUTHORIZATION TO FUND THE OHIO HEALTH ALLIANCE FOR
ADMINISTRATIVE SERVICES ASSOCIATED WITH MEDICARE EXTRA (contd)**

WHEREAS The Ohio State University Hospitals Board has determined that the funds to be used to support this managed care program will be fair consideration for the value and benefits received by the University:

NOW THEREFORE

BE IT RESOLVED, That the University is authorized to provide capital up to \$1,500,000 from University Hospitals funds for the purpose of the continued operation of Medicare Extra through December 31, 1998.

(See Appendix XIX for background information, page 405.)

President Kirwan:

I would like to call on Vice President Ashe to say a few words about the audit report.

Vice President Janet Ashe:

Thank you, Mr. President. We had an extensive discussion of the audit prepared by Deloitte & Touche at a November 3, 1998 meeting of the Fiscal Affairs Committee, and a summary presentation earlier this morning. I would just like to emphasize that: 1) the report is a clean, unqualified opinion; 2) there are several management concerns noted, which the University is preparing responses; and 3) the report notes a reportable condition with respect to our payroll, which resulted from implementation of a new payroll system, and that the University expects that this is to be a unique, one-time occurrence as we complete implementation.

I also want to, again, thank Aletha Shipley, Director of Internal Audit, and Greta Russell, Controller, and their staffs, for their tremendous work in coordinating this effort. If there are any questions, we would be happy to address them.

ACCEPTANCE OF THE REPORT OF AUDIT FOR 1997-98

Resolution No. 99-57

Synopsis: The report of the audit of the financial statements for The Ohio State University for 1997-98 conducted by Deloitte & Touche is recommended for acceptance.

WHEREAS in February 1996, with the approval of the Auditor of State, The Ohio State University entered into a five-year agreement with Deloitte & Touche for an annual audit of the University for fiscal years 1995-96 through 1999-2000; and

WHEREAS the Deloitte & Touche audit of the University for 1997-98, meeting the requirements of the Auditor of State, has been received and the accounts, records, files, and reports of the University have been found to be in satisfactory condition, but certain recommendations have been discussed with the Fiscal Affairs Committee; and

WHEREAS the auditors' recommendations are being studied by the appropriate University offices, and procedures and responses are being developed as a result of the recommendations:

NOW THEREFORE

ACCEPTANCE OF THE REPORT OF AUDIT FOR 1997-98 (contd)

BE IT RESOLVED, That the report of the Deloitte & Touche audit for The Ohio State University for 1997-98, including the report on the audit of the University's financial statements and the summary of significant recommendations to management be accepted.

Upon motion of Mr. Skestos, seconded by Mr. Celeste, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger.

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PRESENTATION ON SPACE-RELATED RESEARCH

Mr. Celeste:

Often I've heard the expression that our faculty is so good they're out of this world. Well, one of our newest faculty members literally is out of this world, circling the globe as we meet, so this would be an appropriate time to call on Ed Ray to introduce the discussion on space-related research.

Dr. Edward J. Ray:

Thank you, Mr. Chairman. It seems appropriate while one of our newest colleagues, Professor Glenn, is circling the Earth, to have some of our other faculty colleagues talk about our space-related research. I'd like to call on Pat Osmer, Chair of the Department of Astronomy, Ken Jezek, Director of the Byrd Polar Center, and Joel Morrison, Director of the Center of Mapping, to make this presentation.

What I might point out, to give you a little bit of context, is that it is worth noting that there is somewhere on the order of \$20 million in NASA-related research going on at The Ohio State University right now. In terms of the competition, that puts us somewhere in the 15th to 17th position with our work with NASA. So at this point, let me turn this over to Pat, Ken, and Joel.

Dr. Patrick S. Osmer: [Power Point presentation]

Thank you very much, Ed. Mr. Chairman, Mr. President, members of the Board, and vice presidents, it's an honor to be here.

It has certainly been an exciting week for Space Week, starting with the launch of the shuttle and on our side, of course, we are interested in deep space. We began our own efforts with a presentation in the Stadium of the solar system, which was a lot of fun, and reached out to the students and the state.

I want to make the point that space research touches on many things in our national life. We saw the launch, we've talked about education, and I'd like to go through a few of those points today. In particular, as you can imagine, these deep space programs, funded by NASA, are an essential part of modern astronomy and I will elaborate on that in a little bit.

Also, we are very active participants in these programs and I would like to give you some examples on that, not only on what we're doing, but in terms of a proposal we have undertaken. It would be a really major initiative if successful. Of course, we don't know the outcome, but I thought I would mention that as an example of our plans. Indeed, representative of some progress that we have made in the department, and then go on and stress the benefits that these programs do provide to our students and faculty, and to the people of the state.

PRESENTATION ON SPACE-RELATED RESEARCH (contd)

Dr. Osmer: (contd)

We saw that the launch and the shuttle does many things, but the space program, in particular for astronomy, literally provides us with new windows on the universe. There are really two reasons for that. One is that the atmosphere blocks much of the radiation coming in from outer space, which is good -- or we'd have more than a suntan from the sun, we'd be in big trouble with the ultraviolet radiation. But indeed, by observing from space radiation coming in -- x-ray, ultraviolet, infrared -- we've learned many things about the universe that we simply had no idea before, from the violent hot universe to cool regions, where stars and planets are forming today.

With Hubble, and other telescopes that will follow, we get some of the sharpest images of space objects, because from space, the telescopes don't suffer from the blurring of the Earth's atmosphere. Hubble gets some of the widest publicity. We've all seen some of the wonderful pictures from Hubble, it's constantly in the news. In fact, there's an excellent web page I can call to your attention. Among other things that are of interest to me, it has seen the most distant galaxies ever discovered, giving us a chance to really probe back more than 90 percent of the history of the universe.

Perhaps less appreciated is that this is really a national resource. All astronomers in the country, indeed in the world, compete to use Hubble by putting forward their proposals for research programs, and our own faculty has been very successful in gaining access. I will mention an example of that, as one of the benefits of the space programs to campus, in a little bit.

This beautiful image of the telescope in orbit above Earth is sometimes called, "the largest and most complex scientific project ever carried out." A true testament to the ability of NASA and another demonstration of how widely it affects the country and the world.

I just picked out one particular example of research done here by Professor Richard Pogge, who has made use of the Hubble's capabilities in the two areas I mentioned. One taking advantage of the sharp resolution to probe the centers of active galaxies. Galaxies that hold black holes, galaxies that can power quasars and can be some of the most powerful sources of emission in the whole universe. The problem we have in seeing these centers, however, is that their view can be blocked in optical wavelengths by clouds of dust between us and these objects. By using an infrared camera on Hubble, Professor Pogge and his students have been able to penetrate to these very centers and learn more about how these so-called "monsters" -- the black holes in the centers of galaxies -- are being fed, how they're producing this prodigious amount of power.

Let me elaborate briefly on the role of space projects. The research of course is exciting to astronomers and I think to the public, in general, in many areas, but there is much more to it. In fact, the greater part of NASA's efforts go into other areas. Of course we know of that technology department development that ranges from everything from computers and new materials to launch systems, flight controls, instrumentation that benefits not only the space program and science, but the community and the country as a whole. As we've seen -- and as any launch will remind you -- a tremendous industrial effort goes into the space program. Again, there's a partnership between NASA in funding things that not only to produce the projects, but also lead to advances in their technological capability.

Again, NASA does a terrific job on the education side of making all of these results available to everyone in the country and in the world. And through the distance

PRESENTATION ON SPACE-RELATED RESEARCH (contd)

Dr. Osmer: (contd)

learning and the web-based technology as we've been seeing this morning, all of these results are now becoming publicly available.

As already mentioned, NASA provides \$20 million in direct support for research funding at OSU and ranks us somewhere between 15th to 17th among public universities. In our department, we have some 20 active research projects with a total value of about \$2 million. An integral part of our field is observation, but NASA has a broader view of this and also supports the theory and instrumentation projects needed both to develop the equipment and to interpret the results. The research support enables the programs, but provides support for students, provides materials for classes, and, again, all the materials are seen for the public on the web.

At the same time, I want to emphasize that this doesn't make ground base astronomy obsolete. As you know, our major project is indeed on the ground, and the role there is that we can still build bigger telescopes on the ground that are far less expensive than a space operation which is beyond a university capability. For many of the programs, observations can be done perfectly well from the ground, and that it's better to concentrate with space programs on those things that can only be done from space.

I thought I'd mention briefly a major initiative being led by Professor Bradley Peterson from our department. He was asked to lead an effort involving a partnership between the NASA Goddard Space Flight Center -- the spectrum astro, which is a spacecraft provider, the space telescope science institute for operations support -- and COSI, as a public outreach.

This is a proposal in a class of projects called MidEx, as medium explorers that are about \$140 million in total value at a level that we have not heretofore been able to really participate in, but now as an indicator of events in our department, being asked to take lead in this project. This will have tremendous benefits for the campus through the science operations center that would be hosted here if this were approved, the internship opportunities for students that would not only occur in astronomy but could reach out to areas as diverse as engineering and business because of the large measure of this project. There is a specific link with COSI for the educational outreach aspects of the program that would benefit the people of the state.

It would be an exciting satellite that would be built as a new project. It would look at simultaneously x-ray, ultraviolet and optical wavelengths to probe the black holes in the centers of the galaxies, like I showed you earlier, and help teach us more about the regions surrounding these very exotic objects. We don't know, of course, if it will be accepted, but as I say, the potential benefits are great. I did want to make this point that projects like this are part of building a top-ranked astronomy program, that our peer institutions are doing this, and that we hope this is part of the path to our continued development.

Thank you very much for your time. Again, Space Week has shown us all the diverse activities going on, not only in the nation but at OSU. I think it's going to benefit us all. I would like to thank Dave Ferguson for his key role in organizing this. Thank you, Mr. Chairman.

Mr. Celeste:

Thank you. Any questions?

PRESENTATION ON SPACE-RELATED RESEARCH (contd)

Dr. Ken Jezek: [Video presentation]

Space-borne remote sensing of the Earth's polar regions is really revolutionizing our understanding of polar processes and their role in global systems, because remote sensing can provide all-weather, day/night coverage. Photographic images of these very remote and inhospitable locations' research -- with these data by OSU's Byrd Polar Research Center -- is revealing, for the first time, new details about the ice, land, ocean, and atmosphere of the Arctic and Antarctic.

The Radar Antarctic Mapping Project (RAMP) is one example of our application of space-borne technology to look back at our own planet. A collaboration between NASA, the Canadian Space Agency, and the Byrd Polar Research Center, the RAMP objective is to create the first complete, high resolution radar image of Antarctica. And in so doing, complete the radar mapping of Earth, a job completed several years ago now for the planet Venus, which is rather remarkable.

The task of actually acquiring the data was completed about one year ago during a 30-day period which relied on the unique properties of radar to operate day or night and to penetrate pervasive cloud cover characteristic of the polar regions. Previous attempts with a series of more conventional satellites required almost 14 years to acquire suitable data.

Processing of the RAMP data to the final image is ongoing, as researchers grapple with the problem of constructing a digital image spanning an area equivalent to the United States and Mexico combined, and at a resolution about the size of a good-size house. However, a preliminary image displayed over there, has been constructed and it has already proven to be a reservoir of new information about the southern continent.

In comparison with earlier data, the RAMP image demonstrates how the margins of the Antarctic ice sheet are changing, including processes controlling the formation of gigantic tabular icebergs that constitute an important mechanism for returning Antarctic fresh water back to the sea. It captures the dramatic retreat of Antarctic Peninsula ice shelves and the consequent effect on the newly exposed polar ocean.

The image graphically portrays enormous ice streams, hundreds of miles of long rivers of ice that snake through the interior ice sheet. Because ice streams flow at speeds from hundreds of meters to kilometers per year, they represent one process by which the ice sheet can quickly respond to changing climate. There is even information in the image about the rocky Antarctic continent, now buried beneath an ice sheet that is on average about a mile thick.

The short video that I'll show now represents a small portion of the radar image data acquired along a 1,500-mile long portion of the orbit of the satellite.

[Video presentation]

This is sea-ice covered waters of the Ross Sea approaching Franklin Island, which is up north of the Ross Island -- site of the U.S. base -- McMurdo Sound. We're passing now onto the Ross Ice Shelf, rumbled by Byrd Glacier, one of the largest outlet glaciers in the world, and just before reaching the Trans-Antarctic Mountains, we see the alcove of Nimrod Glacier, the Trans-Antarctic Mountains themselves barrier to the interior Antarctic plateau. That's Beardmore Glacier, carved through rock exposing plant and animal fossils hundreds of millions of years old, now reaching deep into the interior of the Antarctic plateau at an elevation of about 2,000 meters. There goes Amason Scott South Pole Station, which in lower part of the image looked very much like a space station seen from space, but looking back towards Earth.

PRESENTATION ON SPACE-RELATED RESEARCH (contd)

Dr. Jezek: (contd)

I'll just let the movie loop around a little bit. These are slightly higher resolution versions of that movie. As I mentioned, that movie is about 2,000 kilometers long, this is about 30-miles wide. Robert Falcon Scott, the ill-fated British explorer who perished on his return journey from the Pole, took about 3 months. The satellite, of course, travelled about 7 kilometers per second and the total acquisition required about 5 minutes of observation.

As you look at that image, I would point out that RAMP really is cutting-edge science and it is a continuing, perhaps more importantly, demonstration of international and, as importantly, interdisciplinary cooperation necessary to achieve new advancement in our understanding of Earth. Information gleaned through that cooperation is helping us to understand how human activity affects even this most remote region of the Earth. In so doing, it may help us appreciate our roles as stewards of this unique continent, and perhaps how to be better stewards of our home planet.

Thank you very much.

Mr. Celeste:

Great, appreciate it. Thank you. Any questions? If not, we'll move right on to the next presentation.

Dr. Joel Morrison: [Power Point presentation]

I'm Joel Morrison, Director of the Center for Mapping.

The Interdisciplinary Center for Mapping was established in 1986, as a NASA center for the commercial development of space in real-time satellite mapping. Make no mistake about it, we were established to help NASA bring the things that were developed to aid their space missions down to Earth and make them available to the citizens who actually have paid for them. We have taken this, and have developed both airborne and Earth-based precision special data capture capabilities.

We are working with the global positioning system, and highly precise cameras, both digital cameras and your conventional cameras, and we have mounted these instrumentations in a van that actually enables us to drive the roads of the state capturing data that is at submeter level accuracy and traveling at normal highway speeds. We will see a picture of the van and I will go through it very quickly when we get this out.

Secondly, we have an airborne system that collects data of a few centimeters' accuracy from low-flying heights. Once again, putting all this equipment in a small aircraft, enabling us to fly distinct missions to collect this data.

The Center has also completed a four-year project in which they oversaw the complete digitization of the USGS quadrangle maps for the State of Ohio into its various geographic layers. This forms an important basis for the continual maintenance and update of the topographic data for this state and should be directly linked with the national spatial data infrastructure which the Federal Geographic Data Committee in Washington, D.C. is building.

The vision for this Center is to integrate these data capture capabilities. There is the van traveling at normal highway speeds with the ability to capture submeter

PRESENTATION ON SPACE-RELATED RESEARCH (contd)

Dr. Morrison: (contd)

level accuracy data. This is the aircraft with some of the instrumentation on board to collect airborne data of very high resolution, centimeter level. This is the project in which we digitized the seven layers of data off the 793, 71/2 minute quadrangles for the State of Ohio, that's available. We are now in the process of trying to integrate these data capture capabilities to a complete process which is totally automated, and that process will begin with the effective and quality data capture and proceed through automated interpretation storage analysis and the communication of the resulting geographic information in multiple possible formats.

At the Center, we work with NASA on many of these projects. Most of those projects I mentioned were funded by NASA. We're also becoming involved with a consortium of universities in Ohio, along with NASA and the USGS in a project that's called Ohio View. This project will result in current satellite imagery of Ohio being made available to every citizen of the state on a routine basis. That means that you'll be able to access the latest coverage from space of whatever area of interest you have.

The Center will focus on the creation of processes which will enable the people of Ohio to readily process and use this data for a variety of purposes, ranging all the way from simply "look, there's my house," to the planning agencies' assessment of a proposed development to the mitigation of natural disasters, like tornadoes and floods. A relatively small investment on behalf of the state, coupled with a much larger investment by the federal agencies of NASA and USGS, is going to enable this Ohio View consortium to make it happen. Of course, the researchers at Ohio State will have the valuable resource available to them as well.

In addition, we are monitoring the national development of the National Spatial Data Infrastructure and are positioning a center to be able to assist local and state governments within Ohio to ensure that data covering Ohio is properly and timely involved. The first part of that is the digitized topographic base, which we have already completed and is part of the NSDI.

In a similar vein, we are monitoring and following the development of the digital Earth project, which was suggested by Vice President Gore and is being implemented by NASA. The Center will be submitting proposals for funding to that project in response to a March 1999 call for proposals. The specific research that we are concentrating on will be the automated extraction and classification of geographic features from satellite remotely-sensed data, the integration of these digital features with auxiliary materials existing in spatial data bases, the three-dimensional visualization of these data, and attention to the overall quality of the data, its processing, and the quality of the final decision that results from using them.

Thank you, Mr. Chairman.

Mr. Celeste:

Thank you very much. Are there any questions? If not, we thank you all for your presentations. We understand that there is some material you brought along that is available to any of the Trustees; there are handouts on the table. We appreciate your time and the presentations that you've made.

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STUDENT RECOGNITION AWARD

Ms. Lowe:

The events of the last few weeks have focused the University's and the community's attention on the Fisher College of Business, its strong reputation, and the tremendous new facilities which will house the College's future growth and success. It seems appropriate then to focus the spotlight on one of the College's best assets -- its students.

Today we recognize Lynn Burks, a senior majoring in business administration, international business, and French. Her nominator recommended Lynn for this award because she is a student of "tremendous poise, determination, and vision, exemplified not only by an already impressive list of work experiences, but also by her understanding of the other component that will help to make her a successful business leader and a successful human being."

Lynn brings extensive and continuing work experience to her studies. Currently, she is the student staff assistant to the Office of Minority Student Services in the College of Business, and completed an internship as a marketing assistant this year. She has also worked as a systems integrity analyst to the Student Loan Marketing Association in Virginia, and is a consultant to several Ohio businesses.

In addition to this appreciation for the workings of American business, she has gained insight into other business practices by spending last summer studying commerce in France. Her international focus extends beyond her major to include service work as a conversation partner for a Korean graduate student.

Lynn has also reached outside of Ohio State to work with inner-city youth at East High School here in Columbus, where she assists students in the preparations for their efficiency exams, and mentors students about their future educational opportunities. Lynn's high academic achievement is matched by her distinctions such as the Pacesetter's Award and membership in several honor societies. Clearly, she represents the best of what the Fisher College can do for Ohio State students and demonstrates how much Ohio State students can do for themselves and for others.

On behalf of the Board and the President, please join me in congratulating Lynn Burks.

Ms. Lynn Burks:

As you can tell, I am an old student. I am a very much non-traditional student. But still I can bring you some of my experiences and let you know how basically a great opportunity it has been to attend Ohio State University.

First of all, I would like to thank the Board, because these are the types of vehicles that are out there that recognize and honor the many personal and academic achievements of the very talented pool of students we have at The Ohio State University. I would also like to accept this award on behalf of the Fisher College of Business faculty and staff. Those are the individuals that have been very instrumental in providing the opportunities, recognizing those opportunities, and also providing an incredible support network.

In learning about these opportunities, as Allyson said, I did have the opportunity to go to France for about six weeks and study, which was tremendous. I have also attended numerous leadership conferences and personal development workshops through various departments such as the Office of Minority Student Services. But,

STUDENT RECOGNITION AWARD (contd)

Ms. Burks: (contd)

most importantly, as Allyson said, it is really about the opportunity to give back to the Ohio State community, as well as the extended community.

I would like to applaud Ohio State on its initiatives to diversity. I think we are among the very few schools that have actually assigned a dean for the educational and professional diversity initiative. I have also been informed that there is compensation to faculty members who demonstrate the importance of diversity by incorporating it into their classrooms. I know that we have a lot of challenges left to deal with many of the diversity issues, but I am very confident that Ohio State will meet those challenges head-on.

Like Allyson said, I am a non-traditional student, I am quite old, but not that old. I have been working for about nine years in the business field. A lot of my time was as a consultant, and I learned that I really needed to supplement and enhance by education. So I decided to come back to school and I chose Ohio State University. I am an international marketing major, and I have been very impressed with the curriculum because, unlike one of the other schools that I attended, Ohio State has incorporated a blend of different tools to teach the students. They've used open-ended discussions, presentations, and, most importantly, they have allowed the text to actually jump out of the book and be applied to real work situations. I think that is the most key and critical skill for students in re-entering the business world.

As we all know, the Fisher College of Business is becoming a very competitive school. I believe we are ranked roughly 17th in the nation, and we are constantly moving up. But I contribute our success to the commitment of Ohio State, the highly accredited faculty members, and a very diverse and talented pool of students, as well as the generous support of our communities. I know that OSU has been the beneficiary of over \$100 million in commitments from our community members such as Les Wexner, and, of course, Max M. Fisher. We at the College of Business are very appreciative and value their support.

Lastly, I'd like to thank David Harrison, Director of the Office of Minority Student Services. David has provided a very supportive and nurturing environment for myself, as well as to numerous students around the campus. I just can't say enough about David's commitment to the students and his tremendous commitment to the Fisher College of Business. It is because of this support network and the support that I have received from David, that I have chosen to complete my graduate work here at Ohio State University.

Again, I applaud the Fisher College of Business on its success, and I also want to thank again the Board of Trustees for this award. Lastly, as I say to students, there are so many opportunities, there are so many resources, there is such a great support system here at Ohio State, that if students will take the necessary steps, there is no reason not to succeed. Thank you again.

Mr. Celeste:

Thank you very much, Lynn. Another shining star in our galaxy of excellence here at Ohio State.

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STUDENT GOVERNMENT REPORTS

Ms. Kathleen Carberry:

Good morning. Currently, undergraduate representatives are working with CGS, IPC, faculty, and staff on the University Senate Athletic Council to correct the current football ticket allocation policy. As you know, thousands of students were not allowed to purchase 1998 season football tickets. This denial conflicts with the Board of Trustees' dedication to increase retention rates and the top ten ranking, runs contrary to President Kirwan's dedication to the undergraduate experience, and also opposes the fundamental values of The Ohio State University. For the above reasons and many others, USG asks you to support their initiative to afford every student the opportunity to purchase season football tickets while maintaining a cost-neutral solution.

The Undergraduate Student Government has begun distributing the *Safety Guide and Teacher Evaluations*. The Safety Guide contains a map of campus and University districts, highlights the best lit areas, and showcases the blue emergency phones. Their production was led by sophomore Amanda Insko.

The teacher evaluations present the evaluation of instructors that students fill out after taking a class. Historically, USG has only published the evaluations of teachers that granted them permission to do so, but the chances are great that volumes to follow will contain every evaluation of instruction. Junior Tenille Trillian spearheaded the teacher evaluations.

Concerning the tragedies in Nicaragua caused by Hurricane Mitch, USG is beginning today a fundraising drive to support the activities of saving lives and salvaging resources in Nicaragua. A member of USG, Alberto Bolanos, whose grandfather is vice president of Nicaragua initiated this effort. We hope to enlist the support of the University community in helping Ohio State help others in need. To that end, any donations can be forwarded to the USG office to the attention of the Nicaraguan Relief Fund-Hurricane Mitch.

USG has begun speaking to UVC classes about the importance of being involved. Our UVC talks began a few weeks ago and will extend until the beginning of December, are coordinated by sophomore Amanda Graf. Currently, USG is conducting recycling experiments in certain residence halls in cooperation with officials in Physical Facilities and Residence Life, and led by sophomore Seth Metcalf. We hope that the experiments result in data that will be transformed into the most efficient campus-wide recycling program.

Sophomore Gilda Mossadegh is creating a freshman student leader mentoring program that links student leaders to freshmen mentees. The goal of this program is to involve more students beyond the classroom, and ultimately we would like to see mentors outside of the student organization arena.

This past week, USG concluded its Campus Vote '98 Program. As you may recall, the first part of the program was a voting registration drive and the most recent activities encouraged students and University community members to vote.

Finally, just to reiterate from the October Board meeting, USG is still accepting extra football tickets to give to freshman. USG sincerely thanks those people in units who have donated and sold tickets for freshman thus far -- you've made some students' year.

Next, I'll present the IPC report. This past Halloween, the Interprofessional Council held its annual costume party, making use of the Campus Partners' newly acquired jewel, the Newport Theatre. The event was co-sponsored by professional school

STUDENT GOVERNMENT REPORTS (contd)

Ms. Carberry: (contd)

organizations from all five professional schools, and attended by over 1,200 professional students. The purpose of this annual party is to increase the awareness of IPC and its goal of representing professional students from the campus-wide level to the nation-wide level. The event was an overwhelming success, in that all in attendance had a great time, and IPC increased its number of active members.

The IPC's annual essay contest will begin next week. This year, professional students will write about a member of the faculty at OSU who has affected their lives in a positive way. With so many mentors who shape the lives of professional students, it will be hard to choose which person to honor. The IPC Award for Excellence will be given to both the writer of the winning essay and the honored faculty. IPC looks forward to sharing these essays describing the fantastic professional school education available at OSU.

In other areas, the I-Q Report Committee continues to work on the survey which will help define the professional school experience. The questions that will be asked have been decided on and currently representatives from all five professional schools are working to add to the survey, questions that focus on areas of concern specific to each school. Sending representatives to national conferences, preparing for elections, and campus-wide legislation are other areas of interest to IPC.

Lastly, the Council of Graduate Students is delighted to announce that we are the recipient of the 1998 Graduate Student Association of the Year Award, by the National Association of Graduate and Professional Students. Thank you. I said thank you to Tami, because she announced it at the Student Affairs Committee meeting, which was very nice of her.

CGS was selected over two other finalists, the University of Minnesota, and Washington University in St. Louis. We are thrilled to have been chosen for this award. I would like to thank all the members of CGS for their hard work and dedication, which contributed to us receiving this award. There will be three members of CGS traveling to our national conference for NAGS in Boston to accept the award. The awards dinner is November 20, so my only regret is that I will be missing the Michigan game. I've had several e-mails about what I'm doing with my tickets, but they will probably go to another grad student.

We would like to thank Anne Hayes for establishing an endowment fund for the Edward F. Hayes Graduate Research Forum. This event will be in its 13th year this year, so we appreciate her thoughtfulness in that area.

We are also continuing our work on a professional development fund for graduate students, and will be launching that program before the end of the year. One of our committee chairs, Kelly Costner, from the College of Education, is heading up that project. We also are continuing to work on the issue of graduate student housing and are working with various University offices on that. That's being coordinated by the Campus Partners' graduate and professional student representative, Ron Meyers.

Finally, we have a new office manager, Lisa Perry, a graduate student in atmospheric sciences. She has been a tremendous help since she started with us a few weeks ago, and we are grateful for her support.

That is all for now. Thank you. Are there any questions?

STUDENT GOVERNMENT REPORTS (contd)

Mr. Celeste:

Kathleen, thank you very much for your report and congratulations.

Ms. Carberry:

Thank you very much, we're delighted.

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UPDATE ON THE AFFIRM THY FRIENDSHIP CAMPAIGN

Mr. Celeste:

At this point, I am especially pleased to call on two very special friends and supporters of the University -- individuals who have devoted hours and hours of time on behalf of the University -- Teckie Shackelford and Ray Groves, from the University's Foundation Board, to present an update on Affirm Thy Friendship Campaign.

Ms. Thelka Shackelford: [Slide presentation]

We are delighted that you invited us to come, I believe this is the first time that the Foundation has ever reported to the Board of Trustees, and we are very excited. Bill Lowry, the other vice chair, would have been here, had he not been proving that Ohio State is an international university. He is in London, busy merging BP and Amoco.

I would like to thank you for having us, and I want to thank also President Kirwan, who came on to lead us into the next phase of this Campaign. It is his commitment and his energy, that enabled us to do this without even skipping a beat. Had it not been for that energy, I think we would have had second thoughts about raising the goal, which we have just recently done.

I think behind me there are a number of Foundation Board members, and I would like to have them stand. We're here in numbers. With you, those are the folks that are going to make this thing happen.

You know, you don't just raise money because it's there to be raised. You raise it for a reason. I remember it was my second Foundation Board meeting, when we decided on what the goal would be -- and that was in consultation with fundraising experts -- and it was \$850 million. I sat next to John McCoy, and I thought to myself, "I'm a Pollyanna here," but John was being a Pollyanna, too. We kept saying "a billion, a billion, a billion." Well we didn't get it, because Jerry was afraid he was going to be the person who'd have to raise it. In fact, we have raised the goal, and we will complete that goal, thanks to everybody's help.

My son-in-law asked me one time -- we were at a Foundation sponsored meeting in Chicago -- "Why do you have to raise private dollars for a public university?" And it wasn't very hard to give him the answer to that. The answer is because it really is what distinguishes you from other people.

This Campaign, at \$1 billion, is going to thrust us into a new level, up with only seven other universities who have billion-dollar campaigns: UCLA, University of California-Berkeley, Illinois, Michigan, Texas, and Virginia. There are eight private institutions also which have campaigns of that size, but these are the only public ones, and it will, in fact, raise our visibility nationally and internationally.

UPDATE ON THE AFFIRM THY FRIENDSHIP CAMPAIGN (contd)

Ms. Shakelford: (contd)

This Campaign also allows us new initiatives and the next two slides are going to show you what those new initiatives are: the Student Success Center, the Mathematics and Statistics Lab Learning Center, the College of Veterinary Medicine building improvements, the World Media and Culture Center, and the Center for Emerging Technologies on the Newark campus.

And on this slide, I would just highlight for you the Mathematics and Statistics Learning Center. Last summer I read in the *New York Times* an article that said that if students take algebra in high school they are far more apt to go to college. If they go to college and continue in their math, they are far more apt to be retained by the university. And I think it's particularly meaningful with this math and stat lab that Dr. Kirwan is a mathematician.

Twelve thousand students on this campus will be taking mathematics. Further, because of this Center, they will be training teachers to go into secondary schools. Those teachers will be teaching math, which in turn will probably attract them to Ohio State University, and we will retain them once they have been enrolled. So that is a very important initiative.

Other initiatives are the John Glenn Institute for Public Service and Public Policy, the College of Arts complex, the Frank W. Hale, Jr., Black Cultural Center, and the expanded goals for a number of college units to fund endowments and new programs. I would just tell you -- because you have heard it many times today and we all know that John Glenn comes down to Earth and to Ohio State University -- that is major, and it brings national and international focus to Ohio State.

This institute will also cut across eight to ten colleges within the University, arts and sciences, and geriatrics, just a number of different disciplines. He is a wonderful role model and a very exemplary person because of his personal and political ethics. At this point in our history, that will focus attention -- his being here and this institute being here -- on Ohio State. He is particularly interested in public service, and if there were ever a time to highlight public service, I believe it is now.

You know, money just doesn't fall out of the sky, it has to be asked for, it has to be earned. I remember a number of years ago I came back to Columbus, because my mother had her gall bladder operated on at University Hospital. It took her two full weeks to recover, and perhaps she never did fully recover from that operation, it was very invasive.

It has been announced this week, a \$6.3 million gift to Ohio State for the Minimally Invasive Surgery Center of Excellence on this campus. I would like to think that when my children come to take care of me, it will be minimally invasive. All of you who will ever face the knife on an operating table will, I'm sure, welcome Larry Heaton and his colleagues, and I believe they are here.

Larry is the CEO of the United States Surgical Corporation. It was because of his vision that, I presume, he decided that Ohio State was the right place for this center. So we thank him immensely and his colleagues for their vision and their product that they're going to produce once here. We thank Dr. Melvin -- I think we saw his picture on a slide earlier -- and Drs. Bernadine Healy and Manuel Tzagournis for creating the atmosphere that attracted them here.

I would now like to turn this over to Ray Groves.

UPDATE ON THE AFFIRM THY FRIENDSHIP CAMPAIGN (contd)

Mr. Ray Groves:

Thanks, Teckie. Just a few comments on some of our endowments that have been completed. As you can see, there are 57 new endowed chairs and professorships -- that is since the beginning of the Campaign -- that represents more than a third of what we have cumulatively here at the University. Endowed scholarships and fellowships and research and program funds, it is these types of things that allow us to continue and increase the number of world class professors, researchers, and those top students that everybody's competing to come to Ohio State University. In Physical Facilities, the project funding has been completed during this Campaign. For the Alumni House, you can see that the ground has been broken and you can see the columns at the School of Architecture. Back in the spring, the Bill Davis Stadium was dedicated, I was there for that; also, the Galbreath Center has already been dedicated. As President Kirwan said earlier this morning, the Fisher College was dedicated about two weeks ago. That was a great day, it was a sunny day, and everything just went right.

It is these kinds of things that, again, allow us to bring, attract, and retain at this University those top people that make the difference. We have to have these excellent things to make that difference.

I will turn it over to Jerry May, he is the fellow who keeps us all organized, energizes us, and gives us just a modest bit of oversight.

Vice President Jerry May:

Thank you very much, Ray. I want to take this opportunity to thank Ray and Teckie. Teckie is the chair of the Foundation and a co-chair of the Campaign; Ray is the vice chair of the Foundation; and Bill Lowry -- as you heard is in London -- is also a vice chair of the Foundation. Ray has also been co-chairing the fundraising for the Fisher College of Business and co-chairs our fundraising in New York City. Teckie's been involved with the Veterinary School, the College of Education, and a number of different initiatives across the University.

All of the people that you saw behind you are just an example of people that do this kind of work all across the University. This money wouldn't be raised without the partnership between the academic priorities of the University and the academic leadership going out -- with the Rays and the Teckies of this University -- all across the country nationally to make sure this is all successful.

You see this report on a monthly basis, but I want to mention one thing that Bill Lowry was going to talk with you about today. That is that when you look at the net pledges and you see \$710 million in gifts and pledges -- you see \$72 million that will be paid over the next five-year period of time -- it is a very impressive number. That is the number that will make the difference in the academic programs at the University, as we continue to move ahead to fund the scholarships and fellowships, the chairs and professorships, the endowed research funds, and program funds that Ray has already alluded to and shown you.

I want to show you the planned gift column. This is the part that isn't going to help the University for many, many years. But what I wanted you to see is that in this Campaign, we have a \$150 million goal out of \$1 billion for the planned gifts. Of those, \$93 million has been committed thus far from people in their wills. What we find is, that people actually end up giving a lot more than they commit over a period of time.

UPDATE ON THE AFFIRM THY FRIENDSHIP CAMPAIGN (contd)

Mr. May: (contd)

I am really pleased to tell you that a number that is not in there, but came to me informally yesterday. That is that in the last month, that amount of money -- \$93 million -- has increased by \$10 million. So next month when you see this chart, it will be \$103 million. We have had an amazing month in this particular regard, and there's a lot of people that are responsible for that.

The other thing that we do is we do benchmarking. We are most interested in the quality of the academic program internally and how the money changes this University. We also have to benchmark ourselves to see how we're doing, because as President Kirwan has talked of many times, we have a 20/10 program here. What you need to know here, is that Ohio State is now in the top 20 of all public and private universities during this last year when all gifts were recorded. We're in the company of Harvard, Yale, Stanford, Wisconsin, Michigan, and MIT in that top 20 group, and Ohio State is 19th in all private support during that period of time.

Secondly, we are in the top 10 right now of all public universities in America, a place that we really want to be. You can see that we are 7th, behind Wisconsin, UCLA, Berkeley, Michigan, Washington, and Minnesota.

Let me just highlight something about Washington. Some of you follow Microsoft, and one of the things that you may or may not have read is that Microsoft, of course, has a huge impact on the State of Washington, and on the economy of that state. The Microsoft Company has essentially made 8,000 millionaires. So what you can do, is you can continue to look at the University of Washington and say, there's a lot of people in that community that are going to be supportive. And we are very fortunate ourselves to be in a community that is enormously supportive and in a state that is enormously supportive.

As we were talking last night at a dinner that Teckie hosted for some of the new board members, the strength of our fundraising program at Ohio State is a great national fundraising program we have. We have volunteers in 15 cities around the country, most of them outside of Ohio, and that is what's keeping us in the top 10 at this point in time.

The other thing that you need to know is that Ohio State is in the top 10 -- you can see we are 10th in fact -- in endowment at this institution. This is an opportunity for me to say a couple of things to you. First off, these are 1996-97 figures. You know from the figures that you heard from Jim Nichols, that we're around \$900 million. When this benchmarking took place -- which is our most recent comparative data -- we were at \$760 million. We're at \$900 million today for two principle reasons. That is there is a great fundraising organization of volunteers that is bringing in so far \$158 million in endowment in this Campaign to support these chairs and professorships and scholarships and so forth. So it's those volunteers that have done that.

It is also the professionalism of the whole organization that the Vice President for Finance, Bill Shkurti, has, Jim Nichols and his great team of assistant directors that partner on a daily basis with my staff and partner with the volunteers to make this very successful. That partnership is going to continue. We hope that we are all going to hit \$1 billion by the time we are done in terms of the goal of the Campaign itself, but we are certainly looking forward to hitting \$1 billion in our endowment and continuing to stay in the big leagues.

So with that, I simply want to say thanks, again, to Teckie and Ray. This is your opportunity to ask them any questions if you have them. I want to thank them, and

UPDATE ON THE AFFIRM THY FRIENDSHIP CAMPAIGN (contd)

Mr. May: (contd)

their colleagues, the Foundation Board behind them, and the 1,400 volunteers that work on behalf of this Campaign. I also want to thank the Board for your partnership because, as Teckie alluded to, I have really never quite seen a governing board that has gotten as involved in the fundraising as you all have, as well as this Foundation group. I appreciate that very much.

Mr. Celeste:

Are there any questions? If not, thank you so much, Ray, Teckie, and Jerry. We appreciate the report and look forward to lunch in a few minutes.

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Thereupon the Board adjourned to meet Friday, December 4, 1998, at The Max M. Fisher College of Business, Columbus, Ohio.

Attest:

William J. Napier
Secretary

Theodore S. Celeste
Chairman

Waivers of Competitive Bidding Requirements July-September 1998

(APPENDIX XVI)

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals - Professional Health Care Services	\$2,773,196	\$400,000	\$0	\$2,373,196
Hospitals - Equipment and Services	\$19,142,080	\$6,663,913	\$38,682	\$12,439,485
Instructional and Research Equipment and Services	\$3,040,996	\$2,468,099	\$0	\$572,897
Administrative Support Equipment and Services	\$1,490,285	\$1,077,452	\$255,710	\$157,123
TOTAL	\$26,446,557	\$10,609,464	\$294,392	\$15,542,701

SALE OF REAL PROPERTY

460 HAVENS CORNERS ROAD
GAHANNA, OHIO 43230

Background

Location and Description

The property consists of approximately one half acre located at 460 Havens Corners Road just east of Hamilton Road, in Gahanna, Ohio. There is a one-story brick medical office building of approximately 3,100 square feet.

The University purchased the property in 1987 (as part of a larger purchase of several Humana facilities) to operate a MedOhio facility. At the end of 1997, the MedOhio relocated to a larger building at 504 Havens Corners Road.

Appraisal and Purchase Price

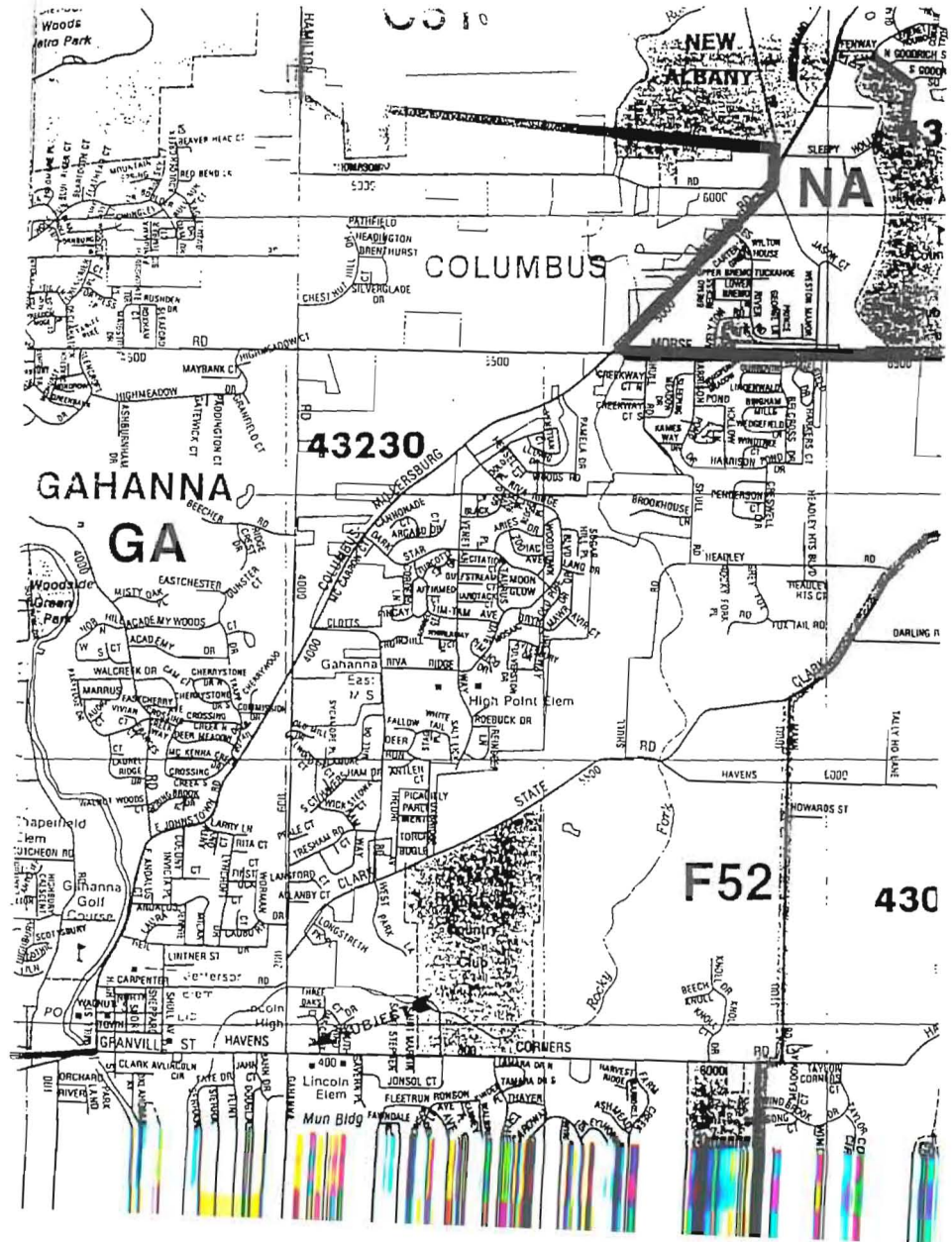
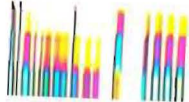
An MAI appraisal concluded in October, 1997, by R.F. Berger and Associates valued the property at \$420,000.00. Third Federal Savings and Loan Association of Cleveland has offered to purchase the property at a price of \$425,000.

Proposed Sale of Property
 460 Havens Corners Road, Gahanna



No True Scale

Office of Business and Administration



PURCHASE OF REAL PROPERTY

2104 TULLER STREET
COLUMBUS, OHIO

Background

Location and Description

The property consists of a building constructed of frame and stone with a slate and tile roof, on a 0.379 acre site located one block east of High Street and one-half block south of Lane Avenue. There is parking on the site for approximately 27 vehicles. The building, constructed in 1930, was formerly an orphanage that has been converted into offices. The property is currently owned by the Columbus Foundation, Inc., and is occupied by Big Brothers Big Sisters (BB/BS) administrative offices. The property is in good condition.

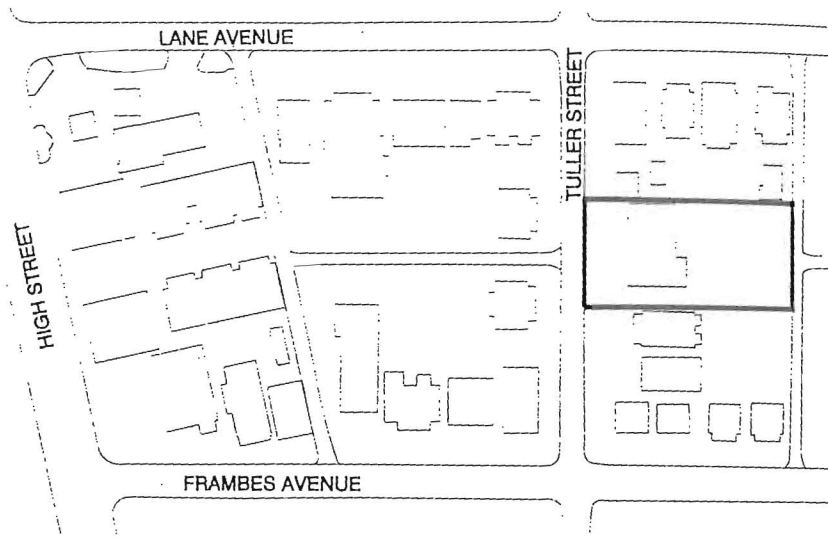
Appraisals and Purchase Price

Two MAI appraisals have been conducted. One in January 1998 by Ralph Berger and Associates valued the property at \$330,000, and the other in September 1998 by Wilhelm and Associates valued the property at \$350,000. The Columbus Foundation has offered to sell the property to the University for a price of \$300,000.

Use of the Property

Maryhaven, Inc., currently is a residential tenant of the University at 231 West Tenth Avenue; Maryhaven has a service provision contract with University Hospitals for extended care for women who suffer from chemical or alcohol abuse. That Tenth Avenue property now is needed for student scholarship housing. To provide for the needed student housing and ensure the continuation of the Maryhaven program that benefits University Hospitals, the University wishes to acquire the property on Tuller Street as a new site for the Maryhaven program. Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. Funding for the purchase will be provided by the University's Housing, Food Services, and Events Centers (HFSEC). The property will be managed by HFSEC and leased to Maryhaven at a rent rate that will be sufficient to cover all acquisition, operating, and administrative costs. The lease to Maryhaven will be for a sufficient time period to allow Maryhaven to amortize improvement costs. Maryhaven would be responsible for all ordinary operating expenses and interior and exterior maintenance and repair. In the event Maryhaven would cease its program at this location and return the facility to the University, it could be used for student housing.

2104 Tuller Street
Columbus



No True Scale

Office of Business and Administration
September, 1998



Map Provided by Department of Physical Facilities

**BACKGROUND PAPER
SUPPORTING THE REQUEST FOR
ADDITIONAL FUNDS FOR THE OHIO HEALTH ALLIANCE**

In 1996, the University Hospitals Board and the University Board of Trustees approved the Ohio State University joining in and participating in a not-for-profit corporation, Ohio Health Alliance, for the purpose of developing and delivering a new health care program under Medicare. This was in response to a unique demonstration project of the federal government (Health Care Financing Administration (HCFA) for providers to sponsor health care programs beyond the traditional HMOs. The Ohio Health Alliance in turn entered into a service agreement with the Ohio Health Group HMO to offer the Medicare product to eligible Medicare recipients in the central Ohio area. The product is known as Medicare Extra was very favorably received by Medicare recipients. Actual enrollment has substantially exceeded the projections such that Medicare Extra currently has a little over 7,000 members.

The Agreement between the Ohio Health Alliance and the OHG-HMO calls for the annual submission of a budget to the Alliance. Upon approval of that budget by the Alliance, the Alliance submits a request for funds sufficient to meet the budgeted expenses to the Ohio State University and to Ohio Health (the parent of Riverside/Grant Hospitals).

Over the last several months, the Ohio Health Alliance, in conjunction with the OHG-HMO and its actuary, has been continually reviewing Medicare Extra, its operations and financial performance. At its September meeting, the Ohio Health Alliance Board recommended that the OHG-HMO officially notify HCFA of its intent to not renew the contract with HCFA after December 31, 1998. OHG-HMO did so on September 30, 1998. That notification initiated the windup process established by HCFA. Enrollees have been officially informed of the termination of the program and their options for other coverage.

Based on actuarial evaluations, an additional \$1,500,000 is necessary for expenses already incurred in calendar 1998. The Ohio Health Alliance and Hospitals Administration are continuing to assess the financial obligations in winding down the program. Additional funds may be required to complete the obligations (as yet unquantified) for 1998 and to take care of the winding down costs to be incurred in 1999.

Accordingly, University Hospitals requests approval from the Board of Trustees to spend \$1,500,000.00 from University Hospitals funds for the purpose of the continued operation of the Medicare Extra program through December 31, 1998.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FORTY-EIGHTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 4, 1998

The Board of Trustees met at its regular monthly meeting on Friday, December 4, 1998, at The Ohio State University, The Max M. Fisher College of Business, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 4, 1998 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on December 4, 1998, at 10:30 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, George A. Skestos, David L. Brennan, James F. Patterson, Zuheir Sofia, Daniel M. Slane, Robert M. Duncan, Soraya Rofagha, and Allyson M. Lowe.

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Mr. Celeste:

Before we begin, I'd like to thank Dean Joe Alutto and the faculty of the Fisher College of Business for hosting our Board meeting this morning.

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PRESIDENT'S REPORT

President William E. Kirwan:

I would like to first report on recent developments related to our capital budget. On behalf of the entire University community, I want to express our appreciation to the Governor, the legislative leadership, and the members of the General Assembly for a capital budget, now approved, that will continue to move Ohio State forward.

We are especially grateful that the University's priorities have been respected in this capital budget. It will enable us to make significant strides in the renovation and replacement of several buildings, including Hagerty and Sisson Halls. Also, we can begin moving on the Physical Sciences building, the Heart and Lung Institute, the Knowlton School of Architecture, and the Science and Technology Campus, as well as several other important projects.

We just can't overstate how important these capital funds are because, as we pursue our ambitious agenda -- our high aspirations as a University -- it is so important that we have the quality of facilities to match the quality of our faculty and students. So, we can be very thankful that the capital budget is now approved and that we are so well-supported in this budget.

We come to the end of the Autumn Quarter, my first full term here at the University. Today is the last day of class. November was an especially busy month for the University and there is much to celebrate. Of course, perhaps, the most significant visible celebration that occurred during this past month was the glorious victory of our Buckeyes against Michigan in the Horseshoe. Mr. Chairman, I do want to point out that we continue to be undefeated against that school up north since the time I became president of the University. But I don't want to talk about that celebration so much, I want to talk about some things that went on during that week.

I want to pay special tribute to Student Affairs, to David Williams and his staff, and to the Undergraduate Student Government, and Josh Mandel and his colleagues. Not in the newspapers nearly as much, unfortunately, was an extraordinary amount of programming and activity that went on to make this week a very appropriate celebration.

David and his staff organized all sorts of events including, "Wing the Wolverines" event, where they served 20,000 chicken wings. They had a free pancake breakfast on game day and a blood drive in which the students contributed 1,500 pints of blood.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

We have to pause and thank Student Affairs, as well as Josh Mandel and the Undergraduate Student Government, for the program they put on out in the community to ensure that when we won the game, there would be appropriate celebrations. They put together packets of material -- and the students, faculty, and staff walked through the neighborhoods to distribute -- about appropriate ways to celebrate the victory. Ed Ray and I joined them on part of that effort. I think, by and large, this was a very successful effort, and we owe thanks to David and Josh, and all involved in creating such successful ways to celebrate the victory.

There were other things we celebrated this past month. The Wexner Center celebrated its ninth anniversary. We had a fabulously successful annual campaign to raise operating dollars for the Wexner Center, thanks in large measure to the good work of Les Wexner and his colleagues. We had the most successful ever gala that raised \$2.5 million of operating funds in one event to support the activities of the Wexner Center. Every time I go by or go into that building, I just think how fortunate we are as a University to have a place like that that is bringing such great recognition to the University for its focus on contemporary art.

We also celebrated the opening of the Jerome Schottenstein Center, and what a success it has been. It's a magnificent facility. I want you to know that since its opening on November 3, the Center has only had one open date over the entire month. Isn't that remarkable? Men's and women's basketball, concerts, family programs -- and the flexibility of that facility and the ability of our staff to turn it around is really quite extraordinary. We had a concert a few nights ago that ended at 11:15 p.m., and we played a basketball game the next day around noon time. So we are able to take that facility and use it in so many different ways for the support of our community.

We also had a small, but I thought wonderful, celebration of Veteran's Day on campus. There was a little ceremony in front of Bricker Hall. I had never participated in anything quite like this, but I got to be part of it by putting a wreath near a memorial at Bricker Hall. The ROTC students and faculty came together for this memorial ceremony to honor the men and women of Ohio State who have given their lives in the service of the country. I just wanted to comment on what a very touching and dignified and appropriate ceremony this was.

We celebrated the first lung transplant at our University Medical Center. Long-engaged in transplanting other organs -- heart, liver, pancreas, and kidney -- we now have the capacity for lung transplantation. It will be a very significant service to central Ohio and really puts our Medical Center in the forefront of this important new surgical procedure.

Two nights ago, we had a celebration of Senator John Glenn in Washington, D.C., at the Air and Space Museum. It is just hard for me to really convey the excitement and enthusiasm that this John Glenn Institute has generated. We know, of course, what our plans are here at Ohio State, but the way this has captured the imagination and the attention of people across the country is really extraordinary.

The Air and Space Museum was opened up for this event. Kodak was a major sponsor and presented a film from the space program in the fabulous I-Max theater. The theater was full and we had around 600 people at this dinner. Vice President Gore attended and made a most impressive, passionate presentation on the institute and what it can mean to our nation, and even made reference to it

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

becoming the Kennedy School of the Midwest. His enthusiasm for what we are doing with this institute was very evident.

We were all impressed by the significant people who came to this event: Ambassador Wolf; Al Berkeley, CEO of NASDAQ; major representatives from the media; Mark Shields was present at the dinner; Margaret Clift, a very prominent syndicated columnist; Senator Luger; and Senator Dashiell. All there to celebrate John Glenn, yes, but also to celebrate the establishment of this institute in his name, as well.

I want to thank Jerry May and his staff for all of the work that went into this. This was a highly successful event. Jerry, we are so appreciative of what you and your colleagues did to make that possible.

This past month we also celebrated the ninth Cullman Symposium of the College of Business. Art Cullman, as I think all of you know, was a very distinguished member of our faculty, one of the great marketing experts of our time, and this symposium has been named in his honor. It brings together the leading practitioners to discuss important issues and it focuses on global best practices in marketing and organizational strategy.

I had a chance to join Joe Alutto and his colleagues at the opening ceremony and it was impressive to see the kinds of corporations and organizations represented at this event. It is an international affair.

Each year at this event, we give out the Cullman Executive Award. We are proud to say the winner this past year was Jim Oates, President of Leo Burnett Advertising Agency and an Ohio State alum. That was pure coincidence. He won this award because of the extraordinary work he has done for that agency. This program, the Cullman Symposium, is just one example of the outreach of our Fisher College of Business. Something, again, we can be very proud of.

I want to invite Joe Alutto to come forward and say a few words about some of the plans and activities that we have going on at the Fisher College of Business. As he does, I just want to say that we really have an extraordinary opportunity with the Fisher College of Business. Under Joe's outstanding leadership, we have developed really an exceptional faculty.

We have this phenomenal facility that we are in and, as good as it is right now, it is going to get only better. You look out these windows and you know there are four more buildings to become part of this complex. There is no business school in the United States that has a facility of this quality. So this affords us, with Joe's leadership and the quality of people we have, an opportunity to develop one of America's great business schools.

Joe, we thank you for letting us share in your facilities today, but I would invite you to come up and make a few comments about some of your plans and hopes for the college.

PRESENTATION ON THE MAX M. FISHER COLLEGE OF BUSINESS

Dean Joseph A. Alutto:

Thank you very much, Brit. I am going to ask Tom Lynch to join me. Tom is a randomly selected, typical MBA student.

PRESENTATION ON THE MAX M. FISHER COLLEGE OF BUSINESS (contd)

Dean Alutto: (contd)

First of all, Brit, thank you very much for the invitation. I think what I want to convey to the Trustees is something that is felt very deeply by faculty and staff in the College of Business, and the students, and that is a sense of appreciation for all that you have done. You have invested a great deal in the College over the last few years. We hope you are beginning to see that it is paying off in a variety of different ways. That effort that you have made has not just been dollars, it has been confidence in our efforts in what we're trying to accomplish and we hope you see that confidence being repaid.

A number of individuals have asked about tours of the facilities. I think most of the Trustees have actually been on tours, but, if not, we would be happy to organize some after this event or any time, just give us a call.

I have a few comments that I would like to make. What I'd like to do is make sure you're comfortable interrupting me and asking me questions, because really the purpose of being here is to give you as much information as possible about the College and our plans. In front of you are some background materials on the facilities, and I would certainly be happy to respond to questions. What I would like to do, to the extent that you will let me, is to focus on some of the activities that are occurring because we have access to new facilities. I want to give you a sense of the impact that has on overall institutional development and then, hopefully, at the end, Tom will give you a sense of how students see that change and that evolution over time, since he has been with us for two years during the transition.

Let me begin by talking about some of the outreach activities that Brit identified, which have to do with executive education. Let me put it into a context of why executive education is so critical to us as a business school.

If one goes back 20-25 years, the flow of information was almost always from traditional degree programs into executive education. So, 20-25 years ago a company would come to me or any faculty member and say, "I had an interesting class one of our executives attended, would you take that semester course and condense it into a week or two?," and that was executive education. So the flow was always faculty research to degree program into executive education.

About 10-15 years ago, that flow began to reverse itself in a very pronounced way. What began to happen is that companies would come to faculty members, ask them to solve a particular problem facing that company. Once the problem was solved, they would then turn around and say, "We need to be self-sufficient, so train our people in solving that problem on their own." Then the faculty member would step back and realize that while he or she had successfully done that, they weren't even addressing that issue in their degree program, and they should.

So what we began to see is a flow that runs from executive education right into the classroom, with the innovations coming from executive education back into the degree classrooms. So you will not find a Top 20 business program on a world-wide basis that doesn't also have a very active and successful executive education program.

Let me talk a little bit about ours. Right now, we serve over 350 companies a year in a variety of different learning environments -- non-degree granting environments -- ranging from two-day programs all the way up to six-week programs. That number is expanding fairly dramatically and the facilities have helped us in a very tangible way.

PRESENTATION ON THE MAX M. FISHER COLLEGE OF BUSINESS (contd)

Dean Alutto: (contd)

Just this past fall, we signed three major contracts. One with Andersen Consulting, in which Andersen Consulting has consolidated most of its logistics consulting training here at the Fisher College of Business. They have run four sessions with 60 executives in each session through our facilities in Gerlach, and, in fact, there is another group that arrives on Monday of next week.

Emery Worldwide brought in three different sessions, 60 executives from 30 different countries, into training programs that were taught in Gerlach as a result of the facilities being available. And Johnston Controls, which is an Ohio-based company, ran its entire senior executive group through a series of programs in the facilities.

Each of those companies came here because of the quality of the faculty and because we finally have facilities that are consistent with the programming that is necessary and the technology that is needed to support that training. Each of those companies have told us that they will sustain the level of involvement they have, and will expand it once we get our executive residence operating. Because right now the main constraint is that there simply isn't an adequate place to house the executives in a way in which they are comfortable.

The facilities have also had another impact in that they encouraged others on campus to interact with us and to develop joint programming. And we're just delighted with it. The director of our executive education operations, Carol Newcomb, has been working with the deans of engineering, the arts, and veterinary medicine on joint programming in executive education because the facilities are here. We are beginning to see that reaching out to other segments of the University has a real payoff in terms of learning and access to the community, to knowledge that we don't possess within the College of Business.

We also have, very recently, developed an interesting relationship with Technology Alliance One. Some of you may not recognize that name, but that is, in a sense, the virtual company that has been created by AT&T, IBM, and Banc One to handle all their information technology problems. We had the senior management team on campus on Wednesday, along with the AT&T Foundation representatives. They presented us with a \$50,000 check to provide seed money to develop training programs that will help support this new initiative. They also have a great deal of interest in a series of new academic programs that I will talk about very briefly.

The point is simply that the facilities are helping us accomplish our objectives and are being used for a variety of other purposes. The YPO, for example, has asked if they can use the facilities for some of their events. We have had meetings of stock analysts on campus, and we've had requests for corporate board meetings to be held on this campus. All of that is important to us because of the national and international visibility that that brings and ultimately gets reflected in the access our faculty have to research sites and the access our students have to positions and jobs.

But it isn't just the buildings that are attracting people. It is really what we're doing, and let me talk briefly about programs within the College. We have a series of new programs that are being developed and are being offered in part because we now have the facilities that will support them.

There is a new master of accountancy program that has been approved by the faculty, and is now going through the normal review process within the University. That's a program that's designed to respond to the new 150-hour requirement that's

PRESENTATION ON THE MAX M. FISHER COLLEGE OF BUSINESS (contd)

Dean Alutto: (contd)

been announced for the profession. The nice part about that program is that the focus -- and the accountants will have to forgive me for this -- is not on accounting. The focus is really on broadening the backgrounds and skills of accountants. It's heavily driven by the information technology that exists in the new facilities, so we're delighted with that.

We have a new executive MBA program that has been approved by the faculty and that, again, is going through all of the appropriate review processes. This is a combination of long-distance and on-site learning. It is a 15-month-long program that involves executives from all over the world coming on campus for three days a month -- Thursday, Friday, and Saturday -- with long-distance, web-based and group software that is being used to have interaction and learning take place while they're off campus.

There is a week-long intensive program at the beginning of the program and then another week at the end. That program has generated a tremendous amount of interest on the part of corporate America because it minimizes time away from work. That's how we have to design programs in the future if we are to be effective. The faculty is very excited about the program because of the broad scope; it's not a regional program, it is truly a national and international program. We've already had inquiries from executives overseas who see it as an opportunity to fly in and out and still have access to the talents of faculty and students.

The undergraduate program is also undergoing some major revisions. We have a proposal for a new undergraduate business minor, actually taught through a summer institute. We have an interesting problem. A lot of students who say they want to get into the College of Business and can't get into the College of Business, with all due respect, are not interested in the College of Business. What they are interested in is hedging their bets. They're concerned about whether they can get jobs, but they really have an interest in psychology or political science or some area other than business.

What we're trying to do is to give students an opportunity to stay with their intellectual areas of interest, but at the same time get the kind of exposure that will increase the opportunities they have from a career point of view. So we have designed essentially a five-course integrated sequence that would expose undergraduate students to the broad array of areas within business, and allow them to do it in a way that is not disruptive of the course work and the sequences they will have to take during the regular academic year in their home departments. So we're trying to be responsive to that need on the part of students.

We also have an expanded undergraduate honors program. Ohio State's Fisher College of Business has long been known for a flagship honors program in accounting. It has been one of the major attractions of the accounting firms.

We looked at what we knew about that program and asked how we could extend it to the general business major within the College. In surveying businesses and the graduates of our program, we discovered an interesting thing -- everyone loves honors programs, and they love the graduates of honors programs because they are bright by definition. What they also found is that they are incredibly naive. So the question is, "How do we provide some seasoning for these very bright students?"

PRESENTATION ON THE MAX M. FISHER COLLEGE OF BUSINESS (contd)

Dean Alutto: (contd)

So one of the pieces of our honors program at the undergraduate level, is that every entering class into that program has to adopt a company. They literally have to both identify that company, negotiate with that company, and then convince that company to be involved with them for the full course of their program.

The first year they selected Wendy's. To give you a sense of how it works, they take all the normal courses that you take in a business curriculum -- accounting. So they would do all of the normal reading you would expect of honors students in accounting. The difference was they had to convince Wendy's senior executives to come in and open Wendy's books so they could see just exactly how it is Wendy's handles all of the detailed, sometimes arcane, accounting problems that come up. When they went into human resource issues and compensation policies, they had to negotiate with Wendy's to bring in their top executives in the human resource area to show them how Wendy's deals with compensation issues across national boundaries. They had to do that for each of the functional areas.

The reaction from both Wendy's and every company we've used, as well as the students and ultimately employers, has really been outstanding and we'd expect it to be, because it addresses that issue. But that also gives you a sense, I think, of the way in which the College uses a lot of market research to help design some of the programs that it has.

The message I also want to get across is something that gets lost in the translation in many cases. We talk a lot about big hits and big events, new degree programs; new buildings, and it's exciting. What is important to remember is that there are a lot of small steps that are involved in continuous improvement that don't get a lot of publicity, but really make the difference in the long run. And I would just like to mention two very brief ones, to give you a sense of operation on both the grand scale and the nitty-gritty little improvements that make a big difference.

We have what is called a Connections Program which we started this past year. It's not complicated. Every international student we accept into our MBA program immediately receives a list of the E-mail addresses of all of our other graduates in that home country. They begin to network immediately upon being accepted into the program, even before they actually arrive on campus. It also naturally provides them with a support base when they graduate from the program. So it serves a variety of different purposes. It is not costly, doesn't take a lot of money, but has a big impact on students.

We have another unusual characteristic of Gerlach Hall, and that is that we have control over the classrooms in the building. I know that's a bit of contention across the University, but you need to understand why that's critical to us.

One of the characteristics of our MBA program is that it is -- and it is nationally known for this -- very responsive to the needs and interests of our students. We do not create a new class if there are less than 25 students interested in that class and willing to commit to it. But when there are 25 or more students, we will find the faculty and we will offer the course. In order to do that, you have to have access to classrooms and you have to be able to move classrooms around as you have different information technology needs.

Access to Gerlach Hall and control over that scheduling has allowed us to continue one of the real differentiating features of the Fisher College of Business. Our students -- because it is their classrooms and they understand the scheduling and

PRESENTATION ON THE MAX M. FISHER COLLEGE OF BUSINESS (contd)

Dean Alutto: (contd)

rescheduling that takes place -- take tremendous pride in those facilities, and help us maintain them in a variety of really interesting ways.

The last thing I want to talk about is something I'm particularly proud of about this University. It is more than just the Fisher College of Business; it is the University's willingness to take risks. I want to talk just about two examples about the University's willingness to take risks.

The first has to do with all of the information technology that exists in these new facilities. As you may or may not know, in planning for new facilities at Ohio State, you have to do these five-year projections where you project out programmatically what you need in terms of new information technology. When our staff looked at that process -- David Greenberger and Kurtis Lindemann -- they said, "This is insane, we can't predict five years from now exactly what we're going to need in terms of information technology, nor can we commit to equipment, because it's going to change so rapidly." They managed to work with Janet Ashe, Bill Shkurti, and Jill Morelli to get the University to agree to allow us to be a subcontractor on the project for the installation of all audiovisual and computing equipment in the new complex. This enabled us to make just-in-time, last-minute decisions about what it is we needed and negotiate the delivery of the equipment.

That did four things for us: 1) it saved us \$1.8 million in actual cash expenditure; 2) we got state-of-the-art equipment; 3) we developed our own capability to maintain and grow as time goes on; and -- which is the best part of this, because it is all state-of-the-art -- 4) we had 3COM Corporation essentially come to us and ask for a partnership. One in which they will provide us with, for the next five years, state-of-the-art servers and support systems for that computing equipment. We, in turn, have agreed to be a demonstration site for all their new state-of-the-art equipment. We have delegations coming in from all over the world to take a look at how that equipment works and what the advantages are, which increases our visibility once again.

That wouldn't have happened if people weren't willing to take risks, both within the College when we took a risk, but also at the University-level. Somebody had to say this was okay, we're going to allow you to get out there on a limb and deliver the equipment that you use. That's important.

The last thing -- and in a sense the most mundane example -- that the University is willing to take risks, has to do with the way we maintain this building. All of these facilities in this complex right now, Gerlach and Fisher Halls, are maintained by essentially a self-directed maintenance crew. We were able to negotiate an agreement with Janet's operation, and with the support of the Provost and Bill Shkurti, in which the College picks up half the cost for the maintenance crew.

We treat them as part of our staff. They are on our information network. They get all of the information that goes out to every other faculty member or staff member in the College. They determine how they're going to perform the task. We set the goals, we set the objectives, and we hold them accountable. They have been a wonder to this facility. They have helped us run this facility in ways we never imagined, because they are the individuals who see it in its purest form.

As I was telling Janet, I knew this was a winner when the following incident occurred. The crew works multiple shifts, and I try to get in to see them from time

PRESENTATION ON THE MAX M. FISHER COLLEGE OF BUSINESS (contd)

Dean Alutto: (contd)

to time. I was in about 6:00 a.m. one morning, and I came in the main entrance and ran into one of our maintenance crew who was in the process of finishing waxing up some floors. He said, "Dean Alutto, I want to apologize." I said, "Well, what for?" He said, "We have now put three coats of wax down on the floors in Fisher Hall, and they're really not shining the way we want them to because the granite is absorbing all the wax. We're probably going to have to put a fourth or fifth coat on."

Now you have to understand, this is 6:00 in the morning. The hallway is spotless, the floor looks as if you could eat off it, and he is apologizing. I said, "Look don't worry about it, it looks great, it's wonderful, you're doing a fine job." He said, "No, no." He then looked at me and said, "I'm going to tell you something I hate it when I hear faculty members tell me..." And I said, "What's that?" He said, "You know, we could keep this building looking beautiful if it weren't for the students." And we laughed, because I knew what he was saying. There is that sense of pride in the facility. It would not have happened if the University weren't willing to be supportive as we tried some new and different ways, and if the unions involved were not willing to be supportive, so we're very grateful for that.

With that, let me stop, and turn it over to Tom, who is a second-year MBA student. I have no idea what he is going to say, but I have every confidence in him. Tom --

Mr. Tom Lynch:

Thank you. Good morning. My name is Thomas Lynch, a second-year MBA student, majoring in operations and logistics. In addition, I am the president of the Black MBA Association.

Today, I would like to share two items with you. First, I would like to share how the new facilities at the Fisher College of Business have benefitted myself, as well as other students. And secondly, I would like to tell you how the Fisher College of Business has allowed me to reach my personal and professional goals.

With regard to the new facilities, obviously one can compare Hagerty and Page Halls to the new Fisher and Gerlach Halls and recognize that there is a significant difference. Perhaps one of the biggest benefits has been the access to well-equipped break-out rooms, which contain white boards, flip charts, overhead projectors, and other amenities. As you know, the MBA program is predicated on teamwork, and these break-out rooms have been instrumental for myself and other students in analyzing business problems in a more conducive environment.

For example, this past September three other students and myself used the break-out rooms to prepare for the National Black MBA Association Case Competition. We competed against 30 other schools, which we were fortunate enough to reach the final round of only six schools. This was the first time the Fisher College of Business has ever reached this level. We out performed other Top 25 business schools, such as the University of Michigan, Indiana University, and Duke University.

In addition to the well-equipped break-out rooms, the MBA students have also benefitted from the consolidation of break-out rooms, computer labs, and classroom equipment, with presentation media such as Microsoft Powerpoint into one building. This is a significant difference to last year, when we had to utilize three buildings to prepare for our classes and assignments.

PRESENTATION ON THE MAX M. FISHER COLLEGE OF BUSINESS (contd)

Mr. Lynch: (contd)

Now, I would like to share with you how the faculty and staff of the Fisher College of Business have allowed me to reach my personal and professional goals. I decided to pursue an MBA to obtain a job in the consulting industry, as well as position myself to return to the east coast. Last year, I worked very closely with the Office of Career Services to improve my interviewing skills, as well as other job search skills.

Also, through my courses, the faculty have been instrumental in helping me acquire the knowledge to address technical questions in interviewing situations. As a result, last year I was extended five summer internship opportunities, one of which has led to a full-time offer with Ernst and Young management consulting practice in their Baltimore office.

In conclusion, the new facilities, faculty, and staff have made a tremendous impact on my everyday life as a student, and have enabled me to achieve my personal and professional goals. Thank you for your time.

President Kirwan:

Tom, thank you very much for your comments. Joe, we thank you for the presentation today. It is a very exciting world you've entered and we want you to know how much we appreciate the leadership you're providing at the College.

With that, Mr. Chairman, I conclude my report.

Mr. Celeste:

Thank you very much, Mr. President and Dean Alutto.

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CONSENT AGENDA

President Kirwan:

We have twelve resolutions to present to the Board for approval today and I would like to ask that #10 be held for a separate vote. Unless there are any objections, I would like to recommend these remaining eleven resolutions on the consent agenda.

**APPROVAL OF UNIVERSITY ADMINISTRATIVE REORGANIZATION PLAN AND
AMENDMENTS TO THE BYLAWS OF THE BOARD OF TRUSTEES
AND RULES OF THE UNIVERSITY FACULTY**

Resolution No. 99-58

Synopsis: Approval of the administrative reorganization plan and amendments to the Bylaws of the Board of Trustees and Rules of the University Faculty to reflect title changes and the delineation of responsibilities in accordance with the recommended restructuring of the central University administration is recommended.

WHEREAS the President has announced an administrative reorganization plan designed to enhance effective decisionmaking, communication and accountability and to focus the collective senior leadership on the academic goals and priorities for the University; and

**APPROVAL OF UNIVERSITY ADMINISTRATIVE REORGANIZATION PLAN AND
AMENDMENTS TO THE BYLAWS OF THE BOARD OF TRUSTEES
AND RULES OF THE UNIVERSITY FACULTY (contd)**

WHEREAS pursuant to the reorganization plan, a copy of which is filed with this resolution, the position of senior vice president and provost is being elevated to the position of executive vice president and provost with expanded responsibilities; and

WHEREAS the position of vice president for finance is being elevated to the position of senior vice president for finance, business, and administration; and

WHEREAS the position of vice president for university relations is being created to establish senior leadership responsibility for the development and coordination of a comprehensive communications and marketing strategy for the University; and

WHEREAS these and other position realignments and assignments are reflected in personnel actions which are the subject of a separate resolution; and

WHEREAS as a part of the restructuring of the University administration, amendments to the Bylaws of the Board of Trustees and Rules of the University Faculty are necessary to effectuate the changes proposed:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the President, the proposed administrative reorganization be hereby adopted, effective immediately; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees is hereby authorized and directed to incorporate the recommended changes -- title changes, unit designations, and unit realignments in the applicable sections in the Bylaws of the Board of Trustees and the Rules of the University Faculty.

(See Appendix XX for administrative reorganization chart, page 459.)

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AMENDMENTS TO RULES

Resolution No. 99-59

Synopsis: Approval of the following amendments to the Rules of the University Faculty and Traffic and Parking Rules are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on October 31, 1998; and

WHEREAS on November 3, 1978, the Board adopted Motor Vehicle, Traffic and Parking Regulations of The Ohio State University and amendments to those rules are recommended as follows:

AMENDMENTS TO RULES (contd)

Amended Rules of the University Faculty

3335-5-37 Membership.

There shall be a university senate, a unicameral body constituted as follows:

- (A) Unchanged.
- (B) Non-voting members: senate members not entitled to vote on the floor of the senate, but otherwise to participate in all senate deliberations, shall include the chair of faculty council (if not a member of the senate), the president of the alumni association, the secretary of the university senate, the president of the freshman senate, the president of the undergraduate student government, the vice president of the undergraduate student government, the president of the council of graduate students, and the president of the inter-professional council, AND THE CHAIR OF THE UNIVERSITY STAFF ADVISORY COMMITTEE. (B/T 7/7/72, B/T 6/14/74, B/T 7/9/76, B/T 7/22/77, B/T 7/20/79, B/T 2/3/84, B/T 2/7/86, B/T 2/1/91, B/T 4/7/95, B/T 5/3/96, B/T 8/1/97, B/T 12/4/98)

Amendments to Traffic and Parking Rules

3335-21-18 Bicycles, motorized bicycles and toy vehicles.

(A) through (N) unchanged.

(O) Use of coasters, roller skates, ROLLER BLADES, SKATEBOARDS, OR similar devices.

~~No person upon roller skates, or riding in or by means of any coaster, shall go upon any street or roadway, except while crossing a street, roadway or driveway on a crosswalk, and when so crossing such person shall be granted all the rights and shall be subject to all the duties applicable to pedestrians. This section shall not apply upon a street or roadway that is set aside as a recreation street or roadway as authorized by these rules.~~

PERSONS USING SKATEBOARDS, ROLLER SKATES, ROLLER BLADES, COASTERS, OR ANY SIMILAR DEVICE ON UNIVERSITY PREMISES ARE LIMITED TO RIDING UPON SIDEWALKS AND CROSSWALKS AND MUST YIELD THE RIGHT OF WAY TO PEDESTRIANS, BICYCLISTS, AND MOTORISTS. THE USE OF SKATEBOARDS, ROLLER SKATES, ROLLER BLADES, COASTERS, OR ANY SIMILAR DEVICE IS PROHIBITED IN ALL OTHER AREAS, INCLUDING, WITHOUT LIMITATION, UPON ROADWAYS OR BICYCLE PATHS; INSIDE ANY UNIVERSITY BUILDING; IN ANY PARKING LOT, GARAGE, OR CONSTRUCTION AREA; OR UPON SITE FURNITURE, ARCHITECTURAL ELEMENTS, WALLS, STEPS, RAMPS, OR SITE IMPROVEMENTS.

(P) through (Q) unchanged.

(R) Impounding of bicycles, SKATEBOARDS, ROLLER SKATES, ROLLER BLADES, COASTERS OR SIMILAR DEVICES.

Any bicycle, SKATEBOARD, ROLLER SKATE, ROLLER BLADE, COASTER, OR SIMILAR DEVICE USED in violation of any of the provisions of these rules may be impounded by and held in the custody of the division of ~~traffic~~ TRANSPORTATION and parking SERVICES until there is final disposition of all issued notices of violation. Any impounded bicycle, SKATEBOARD, ROLLER SKATE, ROLLER BLADE, COASTER, OR SIMILAR DEVICE held in custody by the division for longer than ninety consecutive days may be disposed of through the university ~~inventory department~~ SURPLUS PROPERTY DEPARTMENT.

Balance unchanged.

AMENDMENTS TO RULES (contd)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty, as recommended by the University Senate, and amendments to the Traffic and Parking Rules, as recommended by the Office of Business and Administration be adopted.

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HONORARY DEGREE

Resolution No. 99-60

Synopsis: The awarding of an honorary degree to Ilse Lehiste is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

Ilse Lehiste

Doctor of Humane Letters

NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

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DEGREES AND CERTIFICATES - AUTUMN QUARTER COMMENCEMENT

Resolution No. 99-61

Synopsis: Approval of Degrees and Certificates for Autumn Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on December 11, 1998, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

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PERSONNEL ACTIONS

Resolution No. 99-62

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 6, 1998 meeting of the Board, including the following Central Administration Changes in Responsibility, Appointment, Appointment of Chairs/Director, Leaves of Absence Without Salary, Professional Improvement Leaves, Emeritus Title, as detailed in the University Budget be approved, and the Medical Staff Appointments/ Reappointments (The Ohio State University Medical Center) approved October 22, 1998, by the Hospitals Board and the Medical Staff Appointments/Reappointments (The Arthur G. James Cancer Hospital and Research Institute) approved November 17, 1998, by The Arthur G. James Cancer Hospital and Research Institute Board, be ratified.

Central Administration Changes in Responsibility

Name: JAMES F. DAVIS
Title: Interim Chief Information Officer
Office: Academic Affairs
Effective: December 4, 1998
Present Position: Associate Provost and Director of University Technology Services

Name: MARTHA M. GARLAND
Title: Vice Provost and Dean of Undergraduate Studies
Office: Academic Affairs
Effective: November 20, 1998
Present Position: Vice Provost for Undergraduate Studies

Name: WILLIAM J. NAPIER
Title: Special Assistant to the President for Government Relations and Secretary of the Board of Trustees
Offices: President/Board of Trustees
Effective: December 4, 1998
Present Position: Executive Assistant to the President and Secretary of the Board of Trustees

Name: L. ALAYNE PARSON
Title: Senior Vice Provost for Academic Administration
Office: Academic Affairs
Effective: November 20, 1998
Present Position: Vice Provost for Academic Program Coordination and Initiatives

Name: EDWARD J. RAY
Title: Executive Vice President and Provost
Office: Academic Affairs
Effective: November 20, 1998
Present Position: Interim Senior Vice President and Provost

Name: NANCY M. RUDD
Title: Vice Provost for Academic Policy and Human Resources
Office: Academic Affairs
Effective: November 20, 1998
Present Position: Vice Provost for Academic Policy and Personnel

Name: WILLIAM J. SHKURTI
Title: Senior Vice President for Finance, Business, and Administration
Offices: Finance/Business and Administration
Effective: December 4, 1998
Present Position: Vice President for Finance

PERSONNEL ACTIONS (contd)

Central Administration Changes in Responsibility (contd)

Name: W. RANDY SMITH
Title: Vice Provost for Curriculum and Institutional Relations
Office: Academic Affairs
Effective: November 20, 1998
Present Position: Associate Provost for Assessment and Institutional Cooperation

Name: MAC A. STEWART
Title: Associate Provost for Undergraduate Studies and Dean of University College
Office/College: Academic Affairs/University College
Effective: November 1, 1998
Present Position: Dean, University College

Name: VIRGINIA M. TRETHERWEY
Title: Executive Assistant to the President and General Counsel
Offices: President/Legal Affairs
Effective: December 4, 1998
Present Position: Vice President for Legal Affairs and General Counsel

Appointment:

Name: HERBERT B. ASHER
Title: Interim Director
Pending Institute: John Glenn Institute for Public Service and Public Policy
Term: September 1, 1998 through August 31, 1999
Present Position: Professor Emeritus, Department of Political Sciences and Counselor to the President

Appointment of Chairs and Director

December 1, 1998 through November 30, 1999

Horticulture and Crop Science
Ohio Agricultural Research and
Development Center

A. Ray Miller*
Lowell R. Nault*

January 1, 1999 through September 30, 2002

Agricultural, Environmental and Development
Economics

Alan J. Randall

*Interim

Leave of Absence Without Salary

HELENA I. KAUFMAN, Assistant Professor, Department of Spanish and Portuguese, effective Winter Quarter 1999, for personal reasons.

Leave of Absence Without Salary -- Change in Dates

ANTHONY B. SANDERS, Professor, Department of Finance, change leave from Spring Quarter and Autumn Quarter 1998, and Winter Quarter and Spring Quarter 1999, to Spring Quarter and Autumn Quarter 1998 through November 30, 1998.

PERSONNEL ACTIONS (contd)

Leave of Absence Without Salary -- Continuation

RONI YAGEL, Associate Professor, Department of Computer and Information Science, effective Autumn Quarter 1998, Winter and Spring Quarter 1999, for personal reasons.

Professional Improvement Leaves

NICHOLAS G. HALL, Professor, Department of Management Sciences, effective Autumn Quarter 1999, Winter and Spring Quarter 2000.

VIRGINIA E. RICHARDSON, Professor, College of Social Work, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

RICHARD A. BRADLEY, Associate Professor, Department of Evolution, Ecology, and Organismal Biology, effective Spring Quarter and Autumn Quarter 1999, and Winter Quarter 2000.

Professional Improvement Leave -- Change in Dates

PETER L. HAHN, Associate Professor, Department of History, change leave from Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to Winter Quarter 1999.

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center)

September 1998

<u>Name</u>	<u>Department</u>	<u>Status</u>	<u>Dates</u>
Sheila Ann Cain, M.D.	General Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Wendy K. Dage, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Babak Emami, D.D.S.	Oral/Maxillofacial Surgery	PGY1 Limited	7/1/98 - 6/30/99
Arthur Flores, M.D.	Family Medicine	PGY1 Limited	7/1/98 - 6/30/99
Maritha A. Hollingsworth, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Michele M. Hwang, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Gregory P. Jones, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Daniel L. Kapp, M.D.	Plastic Surgery	PGY1 Limited	7/1/98 - 6/30/99
Kevin G. Kegler, M.D.	Ophthalmology	PGY2 Limited	7/1/98 - 6/30/99
Stephen C. Koesters, M.D.	General Medicine	PGY1 Limited	7/1/98 - 6/30/99
John D. Leff, M.D.	Transplant	PGY3 Limited	10/1/98 - 10/31/98
Clifford B. Maximo, M.D.	Surgery	PGY5 Limited	7/1/98 - 6/30/00
Matthew J. Morrison, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/00
Tariq A. Nayfgh, M.D.	Orthopedic Surgery	PGY1 Limited	7/1/98 - 6/30/00
Matthew A. Pecci, M.D.	Fam Med/Sports Medicine	PGY1 Limited	9/1/98 - 6/30/00
Jaspere A. Petrucci, M.D.	Orthopedic Surgery	PGY1 Limited	7/1/98 - 6/30/00
Robert W. Reagan, Jr., M.D.	General Surgery	PGY1 Limited	7/1/98 - 6/30/00
David J. Robertson, M.D.	General Surgery	PGY1 Limited	7/1/98 - 6/30/00
Todd J. Sadowski, M.D.	General Surgery	PGY1 Limited	7/1/98 - 6/30/00
Paulette M. See, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/00
Jean L. Smith, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/00
Javeed S. Syed, M.D.	Internal Medicine	Limited	9/1/98 - 6/30/99
Elizabeth S. Tuttle, M.D.	Family Medicine	Comm Affiliate	7/1/98 - 6/30/00
Rebecca A. Ware, M.D.	General Psychiatry	PGY2 Limited	7/1/98 - 6/30/00
Gwynette M. Williams, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00
Samuel D. Weller, M.D.	Family Medicine	PGY1 Limited	7/1/98 - 6/30/00
Russell Wenacur, M.D.	Fam Med/Sports Medicine	PGY4 Limited	9/1/98 - 6/30/00
Catherine Wubbel, M.D.	Pediatrics	PGY2 Limited	7/1/98 - 6/30/00

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Research Institute)

September/October/November, 1998

Hamby Awad-Elsayed, M.D., Associate Attending, Anesthesiology
Thomas W. Englehart, M.D., Associate Attending, Anesthesiology
Mark A. Gerhardt, M.D., Associate Attending, Anesthesiology
Nestor M. Narcelles, M.D., Associate Attending, Anesthesiology
Mary Jo Welker, M.D., Associate Attending, Family Medicine
Diana L. Bushless, M.D., Associate Attending, Medicine
Curtis J. Daniels, M.D., Associate Attending, Medicine
Jeffrey C. Eschbach, M.D., Clinical Attending, Medicine
Ruairi J. Fahy, M.D., Associate Attending, Medicine
Christopher Mabee, M.D., Associate Attending, Medicine
Guido Marcucci, M.D., Attending, Medicine
Gregory A. Otterson, M.D., Attending, Medicine
Victoria Seewaldt, M.D., Attending, Medicine
Manish Shah, M.D., Attending, Medicine
Louis A. Violi, M.D., Associate Attending, Medicine
Deborah Bartholomew, M.D., Associate Attending, OB/GYN
Stephanie Costa, M.D., Clinical Attending, OB/GYN
Evan J. Tobin, M.D., Associate Attending, Otolaryngology
Manjunath Vadmal, M.D., Associate Attending, Pathology
Paul Walkely, M.D., Associate Attending, Pathology
Rodney Pozderac, M.D., Associate Attending, Radiology
Michael R. Cram, M.D., Associate Attending, Surgery
Michael F. Cunningham, M.D., Associate Attending, Surgery
Andrew Goldstein, M.D., Associate Attending, Surgery
William J. Somers, M.D., Community Associate Attending, Surgery

September 9, 1998 through June 30 1999

Todd Cohen, M.D., Associate Attending, Surgery/Urology
Charles Cook, M.D., Associate Attending, Surgery/General

September 9, 1998 through June 30, 2000

Deborah A. Martinez, M.D., Attending, Surgery/Oncology
Robert E. Michler, M.D., Associate Attending, Surgery/Cardiothoracic
Rafael E. Villalobos, D.O., Associate Attending, Surgery/Plastic
Nicholas Senchysyak, D.O., Associate Attending, Anesthesiology

November 13, 1998 through June 30, 1999

Kelli A. Cawley, M.D., Attending, Medicine/Hem/Onc
John A. Larry, M.D., Associate Attending, Medicine/Cardio

November 13, 1998 through June 30, 2000

Geoffrey Vaughan, M.D., Associate Attending, Medicine/Gen. Int.

1998-2000

Henry Nasrallah, M.D., Associate Attending, Psychiatry
Stephen F. Pariser, M.D., Associate Attending, Psychiatry
Zarife Sahenk, M.D., Associate Attending, Neurology

PERSONNEL ACTIONS (contd)

Emeritus Title

YAN-SHUAN LAO, Department of East Asian Languages and Literatures, with the title Associate Professor Emeritus, effective October 1, 1998.

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REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-63

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for October 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 1998 be approved.

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REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-64

Synopsis: The report on the receipt of gifts and the summary for October 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of nine (9) new named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 1998 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July-October
1997 Compared to 1998

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through October		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 3,233,683	\$14,265,423	341%
Alumni (From Bequests)	<u>1,938,538</u>	<u>444,615</u>	-77%
Alumni Total	\$ 5,172,221	\$14,710,038	185%
Non-Alumni (Current Giving)	\$ 2,033,502	\$ 2,281,386	12%
Non-Alumni (From Bequests)	<u>1,445,164</u>	<u>668,808</u>	-54%
Non-Alumni Total	\$ 3,478,666	\$ 2,950,194	-15%
Individual Total	\$ 8,650,887	\$17,660,232	104% ^A
Corporations/Corp. Foundations	\$ 9,743,941	\$12,097,355	24% ^B
Private Foundations	\$ 1,937,146	\$ 2,514,589	30% ^C
Associations & Other Organizations	\$ <u>956,078</u>	\$ <u>843,872</u>	-12% ^D
Total	\$21,288,052	\$33,116,048	56%

NOTES

- A Individual giving is up 104% largely due to one gift totaling more than \$9 million which occurred during August, 1998.
- B Giving at the \$10,000 or more level from corporations is up 22% so far this year (\$10.0 million from 190 gifts this year; \$8.2 million from 159 gifts last year).
- C Foundation giving at the \$10,000 or more level is up 29% for the first four months of the fiscal year (\$1.7 million from 38 gifts last year; \$2.2 million from 50 gifts this year).
- D Other organizations and associations have given about \$100,000 less at the \$10,000 or more level so far this year compared with last year.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-October (contd)
1997 Compared to 1998 (contd)

GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 2,807,650	\$ 10,995,483	292
Faculty Support	\$ 4,436,519	\$ 2,254,947	-49
Program Support	\$ 8,675,076	\$14,911,865	72
Student Financial Aid	\$ 2,712,768	\$ 2,528,164	-7
Annual Funds-Colleges/ Departments	\$ 2,110,612	\$ 2,230,897	6
Annual Funds-University	\$ <u>545,427</u>	\$ <u>194,692</u>	-64
Total	\$21,288,052	\$33,116,048	56

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through October		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
	\$ 8,948,338	\$16,594,742	85

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Fund</u>			
The Hugh A. Lindsey and Robert C. Winzeler Scholarship Endowment (Scholarships to graduates of high schools in Williams County, Ohio; provided by gifts from the Robert C. Winzeler family, the OSU Williams County Alumni Club and friends).	\$25,218.23		\$25,218.23

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
Eleanor Shane Resler Cancer Research Endowment Fund (Support of cancer research at the Comprehensive Cancer Center - Arthur G. James Cancer Hospital and Research Institute; provided by an anonymous estate gift).			
The Lambert Family Athletic Scholarship (grant-in-aid soccer scholarships - Department of Athletics; provided by gifts from Bill Lambert).		\$100,000.00	\$100,000.00
The F. Ernest and Maryann I. Barthel Scholarship Fund (Scholarships - Fisher College of Business; provided by gifts from F. Ernest and Maryann I. Barthel).		\$48,437.50	\$48,437.50
Ohio Home Builders Scholarship Fund (Scholarships and support of Construction Technology at the Agricultural Technical Institute; provided by gifts from The Ohio Home Builders Association).		\$30,500.00	\$30,500.00
The Madalyn Schlezinger Fund (Support of cancer research at the Comprehensive Cancer Center - Arthur G. James Cancer Hospital and Research Institute; provided by gifts from Edward Schlezinger, Joan Kass, I. Howard Schlezinger, Anne Safdi and Bernard Ruben).		\$30,050.00	\$30,050.00
Billy A. Hill Scholarship Fund (Scholarships - Department of Athletics; provided by gifts from friends, colleagues and family members in memory of Billy A. Hill).		\$25,758.95	\$25,758.95
Luther F. Lalendorf Memorial Scholarship Endowment (Scholarships - College of Humanities; provided by gifts from Gretchen Lalendorf Rogers in memory of her father Luther F. Lalendorf).		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The George E. and Betty L. Merva Scholarship Endowment Fund (Scholarships - Department of Food, Agricultural and Biological Engineering; provided by gifts from George E. and Betty L. Merva).		\$25,000.00	\$25,000.00
	_____	_____	
	\$25,218.23	\$284,746.45	\$309,964.68

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

ESTABLISHMENT OF NAMED ENDOWED FUND

The Hugh A. Lindsey and Robert C. Winzeler Scholarship Endowment

The Hugh A. Lindsey and Robert C. Winzeler Scholarship Endowment was established December 4, 1998, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the Robert C. Winzeler Family, Montpelier, Ohio, the Hugh A. Lindsey Family, Bryan, Ohio, the OSU Williams County Alumni Club, and friends of The Ohio State University.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to the Office of Student Financial Aid to provide one or more scholarships for financially needy students who have graduated from high schools of Williams County, Ohio. The Ohio State University Alumni Club of Williams County will recruit and interview eligible applicants, rank and recommend recipients to the OSU Office of Student Financial Aid. Final selection will be made by the University Committee on Student Financial Aid in consultation with the Alumni Club.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of Student Financial Aid and the Alumni Club of Williams County in order to carry out the desire of the donors.

\$25,218.23

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED ENDOWED FUND

Eleanor Shane Resler Cancer Research Endowment Fund

The Eleanor Shane Resler Cancer Research Endowment Fund at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Research Institute was established December 4, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with an estate gift.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUND (contd)

Eleanor Shane Resler Cancer Research Endowment Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Research Institute at The Ohio State University. This fund will pay out all income with no return to principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director and Co-Director of the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Research Institute and the Vice President for Health Sciences in order to carry out the desire of the donor.

The Lambert Family Athletic Scholarship

The Lambert Family Athletic Scholarship was established December 4, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Bill Lambert (B.S.Bus.Adm. '68) of New York, New York.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is a member of the men's soccer team pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the Director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donor.

\$100,000.00

The F. Ernest and Maryann I. Barthel Scholarship Fund

The F. Ernest and Maryann I. Barthel Scholarship Fund was established December 4, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from F. Ernest (B.S.Bus.Adm. '58) and Maryann I. Barthel.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a junior or senior student who has been admitted into the Fisher College of Business' Accounting Department and is pursuing a degree in accounting and maintains a minimum grade point average of 3.0 on a 4.0 scale. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUND (contd)

The F. Ernest and Maryann I. Barthel Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the Fisher College of Business in order to carry out the desire of the donors.

\$48,437.50

Ohio Home Builders Scholarship Fund

The Ohio Home Builders Scholarship Fund was established December 4, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the University from the Ohio Home Builders Association, Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall benefit construction technology at the Agricultural Technical Institute. At least \$1,000 or 80% of the annual income, whichever is greater, shall be used for scholarships to students in this program. The remaining income shall be used to support the program at the discretion of the technology coordinator.

Scholarship recipients will be selected by the financial aid coordinator at ATI in consultation with the chair of the Agricultural Technologies Division, and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$30,500.00

The Madalyn Schlezinger Fund

The Madalyn Schlezinger Fund at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Research Institute was established December 4, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Edward Schlezinger (B.S.Bus.Adm. '32) of Columbus, Ohio, Joan Kass of Port Washington, New York, I. Howard Schlezinger (B.S.Bus.Adm. '64) of Columbus, Ohio, Anne Safdi of Cincinnati, Ohio, and Bernard Ruben of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Research Institute at The Ohio State University as approved by the Director of The Arthur G. James Cancer Hospital and Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences. Any unused income shall be returned to the principal of this fund.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUND (contd)

The Madalyn Schlezinger Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$30,050.00

Billy A. Hill Scholarship Fund

The Billy A. Hill Scholarship Fund was established December 4, 1998, in accordance with guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from friends, colleagues and family members, in memory of Billy A. Hill, who served the University from 1971 until the time of his passing on February 20, 1995. Billy was Head Trainer in the Athletic Department and held an adjunct teaching appointment in the School of Physical Activity and Educational Services.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide book scholarships for deserving students in pursuit of their academic goals at The Ohio State University, and financial assistance to talented students in athletic training in the Ohio State Department of Athletics. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics and the Vice Provost for Minority Affairs in order to carry out the desire of the donors.

\$25,758.95

Luther F. Lalendorf Memorial Scholarship Endowment

The Luther F. Lalendorf Memorial Scholarship Endowment was established December 4, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the College of Humanities from Gretchen Lalendorf Rogers in loving memory of her father, Luther F. Lalendorf (B.A. English '31).

Born December 4, 1909, in Toledo, Ohio, Luther F. Lalendorf distinguished himself as a student and campus leader, recognized by Bucket and Dipper and Sphinx Honoraries. He served as president of Big Brothers in Columbus and was a member of their national board. Mr. Lalendorf retired as an executive vice president of Columbus Coated Fabrics Corp. and lived in Sarasota, Florida for 26 years. Married to Florence Yurjevic Lalendorf (B.A. French '30), he died September 6, 1998.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUND (contd)

Luther F. Lalendorf Memorial Scholarship Endowment (contd)

The annual income shall be distributed to the College of Humanities to be used to provide one or more renewable academic scholarships for undergraduates in the humanities with preference for those who have participated in the Big Brothers/Big Sisters organization or its successor. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, in order to carry out the desire of the donor.

\$25,000.00

The George E. and Betty L. Merva Scholarship Endowment Fund

The George E. and Betty L. Merva Scholarship Endowment Fund was established December 4, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George E. (B.S.Agr.E. '60; Ph.D. Ag Engineering '67) and Betty L. Merva to The Ohio State University, College of Food, Agricultural and Environmental Sciences.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship annually to an undergraduate engineering student enrolled in a program of the Department of Food, Agricultural, and Biological Engineering. Priority will be based on scholastic merit and leadership. First choice of the funds of this scholarship shall go to a student from Guernsey County. The second choice for these funds shall go to a student in Southeastern Ohio, and lastly to any qualified student in the Department of Food, Agricultural, and Biological Engineering. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the funds shall then be transferred to the College of Engineering for scholarships. The Foundation Board will consult with the Vice President for Agricultural Administration, or Dean, College of Engineering and the Chair, Department of Food, Agricultural, and Biological Engineering in order to carry out the desire of the donors.

\$25,000.00

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**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 99-65

**RHODES HALL - 2 WEST - CARDIAC CATHETERIZATION
LARKINS HALL RENOVATION/ADDITION
NEWARK - FOUNDERS HALL SCIENCE LAB RENOVATION**

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Rhodes Hall - 2 West - Cardiac Catheterization project, authorization to employ architect/engineering firms for the Larkins Hall - Renovation/Addition project, and authorization to request construction bids for the Newark - Founders Hall Science Lab Renovation project is requested.

WHEREAS University Hospitals desires to construct two new cardiac catheterization labs, renovate three existing cardiac catheterization labs and support space on the second floor of Rhodes/Doan Hall, and relocate the Respiratory Therapy department and all resident and staff call rooms and related functions into the shelled space on the third floor of Doan Hall; and

WHEREAS the total estimated project cost is \$3,000,000 and the total estimated construction cost is \$2,400,000, with funding provided by University Hospitals; and

WHEREAS the University desires to plan and design the renovation of approximately 258,000 gross square feet of existing facilities and the addition of approximately 380,000 gross square feet of new space to Larkins Hall to completely upgrade the current primary student recreation and physical education facility, including all related business offices and required support functions currently housed in Larkins Hall, and replace the existing Peppe Aquatic Center; and

WHEREAS the total estimated project cost is \$132,335,862 and the total estimated construction cost is \$89,373,268, with funding provided by 1999-2000 biennium Capital Bill (\$3,000,000), future biennium capital bill (\$32,950,797), and student fees and local funds (\$96,385,065); and

WHEREAS the Newark Campus desires to proceed with the renovation of the science laboratories in Founders Hall; and

WHEREAS the total estimated project cost is \$1,036,144 and the total estimated construction cost is \$845,046, with funding provided by House Bill 904 (\$225,000), Central Ohio Technical College/Lima Campus (\$811,144):

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Rhodes Hall - 2 West - Cardiac Catheterization project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Larkins Hall - Renovation/Addition project, subject to appropriate consultation and a memorandum of understanding approved by all offices involved, and that the fees for these services be negotiated between the firm selected and the University; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids for the Rhodes Hall - 2 West - Cardiac Catheterization, and Newark - Founders Hall Science Lab Renovation projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXI for maps, page 475.)

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**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS**

Resolution No. 99-66

OARDC - FAHRP ANIMAL BUILDING RENOVATION
UTILITIES UPGRADE - LIGHTING RETROFIT PHASE II
POMERENE HALL - IMPROVEMENTS
POSTLE HALL - ROOF REPLACEMENT PHASE I
HOPKINS HALL - CHILLER REPLACEMENT
ENERGY CONTROL MODIFICATIONS
CAMPUS GROUNDS - EXTERIOR LIGHTING PHASE V
BOTANY AND ZOOLOGY - SAFETY EQUIPMENT
CUNZ HALL - SECOND FLOOR RENOVATION
DOAN HALL - SURGERIES
ELEVATOR MODERNIZATION/UPGRADE
HASKETT HALL - ROOF REPLACEMENT
LARKINS HALL - DOOR REPLACEMENTS
LARKINS HALL - STRUCTURAL IMPROVEMENTS
SCIENCE AND ENGINEERING LIBRARY - HIGH TECH SPACE
LIMA - QUADRANGLE SIDEWALKS
ELEVATOR UPGRADES
RHODES HALL - LABORATORY ROBOTICS
ARPS/HOPKINS/MERSHON - ADA MODIFICATIONS
ARPS HALL - CHILLER REPLACEMENT
NEWTON HALL - RENOVATION
MANSFIELD CAMPUS - RENOVATIONS
BEVIS HALL - TRANSGENIC ZEBRAFISH LAB
HITCHCOCK HALL - HVAC UPGRADES
JAY COOKE RESIDENCE - ROOF AND WINDOW REPLACEMENT
STORM WATER MANAGEMENT - FYFFE ROAD EXTENSION
OSHA VENTILATION - GROUP 1 (BIO SCIENCE)

Synopsis: Acceptance of the report of award of contracts and establishment of contingency funds for the projects indicated is requested.

WHEREAS resolutions adopted by the Board of Trustees on October 5, 1990, February 4, 1993, February 4, 1994, December 2, 1994, September 13, 1996, September 26, 1996, December 6, 1996, March 14, 1997, July 11, 1997, September 5, 1997, October 3, 1997, February 6, 1998, May 1, 1998, and June 5, 1998, and authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

(*Recommended alternates included in these amounts.)

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

OARDC - FAHRP Animal Building Renovations

This project renovates the Swine Isolation Building. The total project cost is \$343,060; funding is provided by House Bill 790. The completion date was October 1998. The contracts awarded are as follows:

Design: The Ohio State University

General Contract:	Stanley Miller Construction, East Sparta, Ohio
Amount:	\$136,500 *
Estimate:	\$153,800 *
Plumbing Contract:	Soehnlén Piping, Louisville, Ohio
Amount:	\$26,000 *
Estimate:	\$36,600 *
HVAC Contract:	Soehnlén Piping, Louisville, Ohio
Amount:	\$101,000 +
Estimate:	\$97,000
Electric Contract:	Shaffer Electric, Wooster, Ohio
Amount:	\$21,731
Estimate:	\$32,200
Total All Contracts:	\$285,231
Contingency Allowance:	\$28,524
Total Project Cost	\$343,060

+ The HVAC contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Utilities Upgrade - Lighting Retrofit Phase II

This project upgrades the lighting in older north campus buildings by replacing fixtures or modifying existing fixtures to operate with more energy efficient tubes and electronic ballasts. The total project cost is \$250,000; funding is provided by House Bill 748. The completion date was July 1998. The contract awarded is as follows:

Design: The Ohio State University

Electric Contract:	Fetter Electric, Newark, Ohio
Amount:	\$197,650
Estimate:	\$407,000
Total All Contracts:	\$197,600
Contingency Allowance:	\$19,635
Total Project Cost	\$250,000

Pomerene Hall - Improvements

This project renovates ceilings and walls on the upper floors that were damaged by the roof leaks. The total project cost is \$177,305; funding is provided by House Bill 904. The completion date was September 1998. The contract awarded is as follows:

Design: Braun & Steidl, Columbus, Ohio

General Contract:	The Atلمان Company, Columbus, Ohio
Amount:	\$146,460 *
Estimate:	\$236,114 *
Total All Contracts:	\$146,460 *
Contingency Allowance:	\$14,648
Total Project Cost	\$177,305

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Postle Hall - Roof Replacement Phase I

This project replaces the blistered, leaking roof. The total project cost is \$335,478; funding is provided by House Bill 790 (\$272,110) and Department of Physical Facilities (\$63,368). The completion date was August 1998. The contract awarded is as follows:

Design: The Ohio State University

General Contract:	ACME Sheet Metal & Roofing, Inc., Dayton, Ohio
Amount:	\$229,100 *
Estimate:	\$307,000 *
Total All Contracts:	\$229,100 *
Contingency Allowance:	\$85,887
Total Project Cost	\$335,478

Hopkins Hall - Chiller Replacement

This project replaces the existing 150-ton centrifugal chiller with a new unit and improves ventilation and exhaust systems in areas where chemicals and solvents are used for instructional and medium of student work. The total project cost is \$555,925; funding is provided by House Bill 790 (\$350,000) and House Bill 904 (\$205,925). The completion date was November 1998. The contracts awarded are as follows:

Design: The Ohio State University

General Contract:	Kirk Williams, Grove City, Ohio
Amount:	\$125,000 +
Estimate:	\$115,000
Mechanical Contract:	J. A. Croson, Columbus, Ohio
Amount:	\$199,000
Estimate:	\$238,000
Electric Contract:	Brush Contractors, Columbus, Ohio
Amount:	\$140,369
Estimate:	\$192,000
Total All Contracts:	\$464,369
Contingency Allowance:	\$46,440
Total Project Cost	\$555,925

+ The General contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Energy Control Modifications

This project installs direct digital controls for HVAC systems in Meiling Hall, Graves Hall, Newton Hall, and Postle Hall to improve occupant comfort and reduce energy consumption. The total project cost is \$238,076; funding is provided by House Bill 808 (\$226,020), House Bill 810 (\$8,980) and Department of Physical Facilities (\$3,076). The completion date was November 1998. The contract awarded is as follows:

Design: The Ohio State University

Electric Contract:	Romanoff Electric, Columbus, Ohio
Amount:	\$210,400 *
Estimate:	\$225,761 *
Total All Contracts:	\$210,400 *
Contingency Allowance:	\$10,520
Total Project Cost	\$238,076

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Campus Grounds - Exterior Lighting Phase V

This project continues the program to replace existing lights with energy efficient lights to improve safety at night. This particular phase of the project involves the South Dorm and North Dorm areas and the Tuttle 3 & 4 Parking Lots. The total project cost is \$507,500; funding is provided by House Bill 748 (\$500,000) and Transportation and Parking (\$7,500). The completion date is March 1999. The contract awarded is as follows:

Design: The Ohio State University
Electric Contract: Now Electric, Columbus, Ohio
Amount: \$442,320 *
Estimate: \$472,576 *
Total All Contracts: \$442,320 *
Contingency Allowance: \$29,480
Total Project Cost \$507,500

Botany and Zoology - Safety Equipment

This project provides fume hoods and cabinets to meet OSHA requirements. The total project cost is \$175,275; funding is provided by House Bill 904. The completion date is August 1998. The contract awarded is as follows:

Design: W. E. Monks, Columbus, Ohio
Plumbing Contract: Marco Plumbing and Heating, Johnstown, Ohio
Amount: \$132,000
Estimate: \$161,600
Total All Contracts: \$132,000
Contingency Allowance: \$13,905
Total Project Cost \$175,275

Cunz Hall - Partial Second Floor Renovation

This project renovates a portion of the second floor to create a centralized individualized instruction center consisting of an administrative area with student waiting and study area, twelve seat computer testing center, four small and two large interview rooms, a media video viewing room, and upgrades to the restrooms. The total project cost is \$365,200; funding is provided by House Bill 748. The completion date was November 1998. The contracts awarded are as follows:

Design: DH Architects, Fairfield, Ohio
General Contract: Williamson Builders, Plain City, Ohio
Amount: \$156,200 *
Estimate: \$178,246 *
Plumbing Contract: Rodan, Columbus, Ohio
Amount: \$21,432
Estimate: \$24,885
HVAC Contract: Rodan, Columbus, Ohio
Amount: \$47,900 +
Estimate: \$43,550 +
Electric Contract: Knight Electric, Columbus, Ohio
Amount: \$72,150 *
Estimate: \$98,925 *

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Cunz Hall - Partial Second Floor Renovation (contd)

Total All Contracts:	\$297,682 *
Contingency Allowance:	\$23,268
Total Project Cost	\$365,200

+ The HVAC contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Doan Hall - Surgeries

This project converts three existing rooms in the surgical suite to three operating, scrub, and sterilizer rooms. The total project cost is \$802,526; funding is provided by University Hospitals. The completion date is December 1998. The contracts awarded are as follows:

Design: Hasenstab & McCarthy, Akron, Ohio

General Contract:	Dorsey Construction, Columbus, Ohio
Amount:	\$256,900
Estimate:	\$178,246 *
Plumb/HVAC Contract:	J. A. Croson, Columbus, Ohio
Amount:	\$274,989 +
Estimate:	\$250,665 +
Electric Contract:	Electrical Services Co., Westerville, Ohio
Amount:	\$98,423
Estimate:	\$134,465
Total All Contracts:	\$630,312
Contingency Allowance:	\$92,759
Total Project Cost	\$802,526

+ The Plumbing/HVAC contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Elevator Modernization/Upgrade

This project modernizes and upgrades the equipment that was installed in the 1950=s in the Agricultural Administration Building, Arps Hall, and the Animal Science Building. The total project cost is \$307,300; funding is provided by House Bill 810 (\$300,000) and Department of Physical Facilities (\$7,300). The completion date is March 1999. The contracts awarded are as follows:

Design: The Ohio State University

General Contract:	The Altman Company, Columbus, Ohio
Amount:	\$197,896 * +
Estimate:	\$177,800 * +
Mechanical Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$39,700
Estimate:	\$43,600
Electric Contract:	Electric Power Equipment, Columbus, Ohio
Amount:	\$35,690
Estimate:	\$37,600

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Elevator Modernization/Upgrade (contd)

Total All Contracts:	\$273,286
Contingency Allowance:	\$13,664
Total Project Cost	\$307,300

+ The General contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Haskett Hall - Roof Replacement

This project removes and replaces asphalt built-up roof felts, insulation, and gravel. The total project cost is \$68,715; funding is provided by House Bill 790. The completion date was September 1998. The contract awarded is as follows:

Design: The Ohio State University
General Contract: Chemcote Roofing, Amlin, Ohio
Amount: \$57,420
Estimate: \$67,000
Total All Contracts: \$57,420
Contingency Allowance: \$5,743
Total Project Cost \$68,715

Larkins Hall - Door Replacements

This project replaces existing exterior doors with 1-hour fire-rated doors and rebuilds the curtain walls. The total project cost is \$200,000; funding is provided by House Bill 790. The completion date is December 1998. The contract awarded is as follows:

Design: The Ohio State University
General Contract: M & P Construction, Gahanna, Ohio
Amount: \$185,000 +
Estimate: \$172,000 +
Total All Contracts: \$185,000
Contingency Allowance: \$12,225
Total Project Cost \$200,000

+ The General contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Larkins Hall - Structural Improvements

This project removes deteriorated concrete at support columns of the diving pool, diving tower, shower areas, pool walls, and floor expansion joints. The total project cost is \$192,935; funding is provided by House Bill 790 (\$172,635) and Department of Physical Facilities (\$20,300). The completion date is December 1998. The contract awarded is as follows:

Design: Jezerinac/Geers, Columbus, Ohio
General Contract: Righter Company, Columbus, Ohio
Amount: \$154,827
Estimate: \$209,000

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Larkins Hall - Structural Improvements (contd)

Total All Contracts: \$154,827
Contingency Allowance: \$15,486
Total Project Cost \$192,935

Science and Engineering Library - High Tech Space

This project divides room 390 to provide a server room, electronic classroom, and a public access workstation room. The total project cost is \$478,116; funding is provided by House Bill 808 (\$372,359) and Central University Funds (\$105,757). The completion date was September 1998. The contracts awarded are as follows:

Design: Barge Waggoner Sumner & Cannon, Inc., Dayton, Ohio
General Contract: Inca Construction, Columbus, Ohio
Amount: \$32,750
Estimate: \$37,120
Mechanical Contract: General Temperature, Canal Winchester, Ohio
Amount: \$113,250
Estimate: \$126,118
Electric Contract: Royal Electric, Columbus, Ohio
Amount: \$93,780
Estimate: \$107,643
Total All Contracts: \$239,780
Equipment Allowance: \$185,761
Contingency Allowance: \$23,977
Total Project Cost \$478,116

Lima - Quadrangle Sidewalks

This project removes the center medians for the concrete walkways in the quadrangle and installs new asphalt. The total project cost is \$117,000; funding is provided by House Bill 748. The completion date is August 1999. The contract awarded is as follows:

Design: Kohli & Kaliher Associates, Inc., Lima, Ohio
General Contract: Bluffton Paving, Bluffton, Ohio
Amount: \$94,398 *
Estimate: \$102,754 *
Total All Contracts: \$94,398 *
Contingency Allowance: \$9,440
Total Project Cost \$117,000

Elevator Upgrades

This project is a three-phase project to modernize and upgrade elevators in various buildings to meet ADA standards. The total project cost is \$392,873; funding is provided by House Bill 748. The completion date is February 1999. The contract awarded is as follows:

Design: Kabil Associates, Columbus, Ohio
General Contract: Miller Elevator, Columbus, Ohio
Amount: \$317,644 *
Estimate: \$589,550 *
Total All Contracts: \$317,644 *
Contingency Allowance: \$31,764
Total Project Cost \$392,873

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Rhodes Hall - Laboratory Robotics

This project renovates space on the third floor of Rhodes Hall to accommodate the installation of a laboratory robotics system. The total project cost is \$852,290; funding is provided by University Hospitals. The completion date is January 1999. The contracts awarded are as follows:

Design: URS Greiner, Columbus, Ohio	
General Contract:	The Righter Company, Columbus, Ohio
Amount:	\$249,900
Estimate:	\$410,000
Plumbing Contract:	J. A. Croson Co., Columbus, Ohio
Amount:	\$97,989
Estimate:	\$125,000
HVAC Contract:	Martina Metal Contracting Co., Columbus, Ohio
Amount:	\$155,795
Estimate:	\$160,000
Electric Contract:	Royal Electric Co., Columbus, Ohio
Amount:	\$145,700
Estimate:	\$205,000
Total All Contracts:	\$649,384
Contingency Allowance:	\$64,938
Total Project Cost	\$852,290

Arps/Hopkins/Mershon -B ADA Modifications

This project modifies existing ramp accessing the main building, modifies existing ramp accessing the library, and renovates interior space at trash room for access to the only elevator in Arps Hall; constructs a new ramp and renovates the courtyard area in Hopkins Hall; and provides a ramp at the loading dock area in Mershon Auditorium. The total project cost is \$482,000; funding is provided by House Bill 748 (\$310,000), House Bill 904 (\$136,000) and Department of Physical Facilities (\$36,000). The completion date is February 1999. The contract awarded is as follows:

Design: J. L. Bender Associates, Columbus, Ohio	
Combined General/ Electric Contract: Ameriblock Inc., Lucasville, Ohio	
Amount:	\$411,340 +
Estimate:	\$375,100 +
Total All Contracts:	\$411,340
Contingency Allowance:	\$20,684
Total Project Cost	\$482,000

+ The General/Electric contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Arps Hall - Chiller Replacement

This project replaces the existing 155-ton centrifugal chiller including correct sizing and configuration and modification of replacement cooling tower, circulating pumps, and piping. The total project cost is \$319,456; funding is provided by House Bill 748. The completion date is June 1999. The contracts awarded are as follows:

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Arps Hall - Chiller Replacement (contd)

Design: Golliver & Associates, Columbus, Ohio	
General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$33,400 +
Estimate:	\$16,800 +
HVAC Contract:	J. A. Croson, Columbus, Ohio
Amount:	\$187,100
Estimate:	\$235,025
Electric Contract:	Knight Electric, Inc., Columbus, Ohio
Amount:	\$40,635
Estimate:	\$47,270
Total All Contracts:	\$261,135
Contingency Allowance:	\$26,114
Total Project Cost	\$319,456

+ The General contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Newton Hall - Renovation

This project reduces room 012T with a portion of the room converted to an exercise lab, converts room 020 from an exercise lab to a general research lab, and converts room 020A from a storage room to an animal observation room. The total project cost is \$249,440; funding is provided by House Bill 748. The completion date is December 1998. The contracts awarded are as follows:

Design: Shremshock-Yoder, Columbus, Ohio	
General Contract:	Field and Associates, Springfield, Ohio
Amount:	\$132,200
Estimate:	\$200,000
Plumbing Contract:	Radico, Inc., Columbus, Ohio
Amount:	\$22,900
Estimate:	\$27,750
HVAC Contract:	Gateway Mechanical, Columbus, Ohio
Amount:	\$14,440 +
Estimate:	\$14,025 +
Electric Contract:	Taylor Electric, Grove City, Ohio
Amount:	\$23,600 +
Estimate:	\$19,230 +
Total All Contracts:	\$197,370
Contingency Allowance:	\$19,739
Total Project Cost	\$249,440

+ The HVAC and Electric contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Mansfield Campus - Renovations

The project installs independent air conditioning to maintain proper temperature and humidity levels in the Conard Art Gallery; rebuilds catch basins, drains, and underground pipes for the campus; and provides new air conditioning system for Eisenhower Hall. The total project cost is \$120,700; funding is provided by House Bill 790 (\$42,002) and House Bill 904 (\$78,708). The completion date is March 1999. The contracts awarded are as follows:

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Mansfield Campus - Renovations (cont)

Design: Alexander Associates, Mansfield, Ohio

General Contract: Quality Masonry, Marion, Ohio

Amount: \$26,050

Estimate: \$35,000

Mechanical Contract: Standard Plumbing and Heating, Mansfield, Ohio

Amount: \$59,300

Estimate: \$90,000

Total All Contracts: \$85,350

Contingency Allowance: \$8,535

Total Project Cost \$120,710

Bevis Hall - Transgenic Zebrafish Lab.

This project renovates space in Bevis Hall to provide a transgenic zebrafish research lab. The total project cost is \$425,000; funding is provided by a Board of Regents Grant (\$375,000) and Department of Health Services (\$50,000). The completion date is May 1999. The contracts awarded are as follows:

Design: Jester, Jones, Schifer, & Feltham, Columbus, Ohio

General Contract: Inca Enterprises, Columbus, Ohio

Amount: \$98,700

Estimate: \$106,000

Plumbing Contract: Radico, Inc., Columbus, Ohio

Amount: \$38,200 +

Estimate: \$22,900 +

HVAC Contract: Farber Mechanical, Columbus, Ohio

Amount: \$93,800

Estimate: \$107,500

Electric Contract: Electric Power Equipment, Columbus, Ohio

Amount: \$35,345 +

Estimate: \$33,100 +

Total All Contracts: \$266,045

Contingency Allowance: \$26,604

Total Project Cost \$425,000

+ The Plumbing and Electric contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Hitchcock Hall - HVAC Upgrades

This project upgrades ductwork for air conditioning system, removes chiller, and installs cross-connect piping for the central chilled water system. The total project cost is \$261,200; funding is provided by a House Bill 790. The completion date is December 1998. The contracts awarded are as follows:

Design: The Ohio State University

General Contract: Ed Ross Construction, Columbus, Ohio

Amount: \$64,300

Estimate: \$70,000

HVAC Contract: Gateway Mechanical, Grove City, Ohio

Amount: \$78,490

Estimate: \$90,000

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

Hitchcock Hall - HVAC Upgrades (contd)

Electric Contract:	Brush Electric, Columbus, Ohio
Amount:	\$69,495
Estimate:	\$100,000
Total All Contracts:	\$212,285
Contingency Allowance:	\$17,879
Total Project Cost	\$261,200

Jay Cooke Residence - Roof and Window Replacement

This project replaces the roof and windows to prevent further deterioration of this historic facility and allow the interior to be adapted for reuse. The total project cost is \$501,030; funding is provided by a House Bill 748 (\$500,000) and Department of Physical Facilities (\$1,030). The completion date was November 1998. The contract awarded is as follows:

Design:	Chambers, Murphy & Burge, Medina, Ohio
General Contract:	Tradesmen Group, Ostrander, Ohio
Amount:	\$339,930
Estimate:	\$458,600
Total All Contracts:	\$333,930
Contingency Allowance:	\$121,091
Total Project Cost	\$501,030

Storm Water Management - Fyffe Road Extension

This project constructs a storm water detention area, storm sewers near Buckeye Village and extends Fyffe Road from Hess Road north to Ackerman Road at the Child Care Center, constructs two soccer playing fields west of Fyffe Road and south of Hess Road, and constructs surface parking lot adjacent to the Nicklaus Museum site. The total project cost is \$2,827,916; funding is provided by university bond proceeds (\$2,360,000) and Department of Athletics (\$467,916) The completion date is April 1999. The contracts awarded are as follows:

Design:	Jones-Stuckey, Ltd. Columbus, Ohio
General Contract	
(Phase I):	Bale Contracting, Columbus, Ohio
Amount:	\$1,597,364 *
Estimate:	\$2,420,000 *
General Contract	
(Phase II):	Bale Contracting, Columbus, Ohio
Amount:	\$193,800
Estimate:	\$250,200
Electric Contract	
(Phase I):	Brush Contractors, Inc., Columbus, Ohio
Amount:	\$260,213
Estimate:	\$395,000
Electric Contract	
(Phase II):	Brush Contractors, Inc., Columbus, Ohio
Amount:	\$63,700
Estimate:	\$68,500
Total All Contracts:	\$2,115,077
Contingency Allowance:	\$319,600
Total Project Cost	\$2,827,916

**REPORT OF AWARD OF CONTRACTS AND
ESTABLISHMENT OF CONTINGENCY FUNDS (contd)**

OSHA Ventilation - Group 1 (Bio Science)

This project is a two-phase program to install and/or upgrade fume hoods to address ventilation problems in various scientific laboratories. The total project cost is \$1,543,638; funding is provided by House Bill 748 (\$908,138), House Bill 790 (\$500,000) and Department of Physical Facilities (\$135,500). The completion date is May 1999. The contracts awarded are as follows:

Design:	Kramer Engineering, Columbus, Ohio
HVAC Contract:	Farber Corp., Columbus, Ohio
Amount:	\$1,198,000 *
Estimate:	\$1,489,860 *
Electric Contract:	ESCO Electric, Westerville, Ohio
Amount:	\$64,904 *
Estimate:	\$118,090 *
Total All Contracts:	\$1,262,904 *
Contingency Allowance:	\$126,290
Total Project Cost	\$1,543,638

BE IT RESOLVED, That pursuant to the actions previously authorized by the Board of Trustees, the report of award of contracts and establishment of contingency funds for the projects listed is hereby accepted.

(See Appendix XXII for maps, page 479.)

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**NON-MANDATORY TRANSFERS FROM CURRENT FUNDS
FISCAL YEAR 1997-1998**

Resolution No. 99-67

Synopsis: Transfers to Endowment Principal Funds, Loan Funds, Unexpended Plant Funds, Renewal and Replacement Funds and Retirement of Indebtedness Funds be submitted for approval.

WHEREAS non-mandatory transfers from current funds for the fiscal year 1997-1998 have been made; and

WHEREAS this accounting procedure is in compliance with the Auditor of State that all transfers among fund groups be reported:

NOW THEREFORE

BE IT RESOLVED, That the transfers as detailed in the accompanying report be accepted and approved.

(See Appendix XXIII for background information, page 507.)

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**FORMATION OF NON-PROFIT CORPORATION
WITH MOUNT CARMEL HEALTH SYSTEM**

Resolution No. 99-68

Synopsis: Approval for The Ohio State University to join and participate in a not-for-profit corporation for the purpose of developing and delivering new health facilities and services is proposed.

WHEREAS expanding health care facilities locally and regionally is necessary to the teaching, research, and service missions of The Ohio State University; and

WHEREAS a joint collaboration between Mount Carmel and The Ohio State University in developing and operating selected additional sites is an effective, timely, and economical way of achieving an expansion of health care facilities and services; and

WHEREAS The Ohio State University Hospitals and the Board of Trustees have determined that the funds to be used to support such a joint venture will be fair consideration for the value and benefits received by the University; and

WHEREAS The Ohio State University Hospitals Board recommends that the best way to implement this collaborative endeavor is to join with Mount Carmel in the formation of a new non-profit corporation:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees authorizes and endorses the establishment of and participation in a non-profit corporation, under Chapter 1702 of the Ohio Revised Code for the purpose of better providing for the health and welfare of the people of the State of Ohio by enhancing the availability, efficiency, and economy of hospital facilities and services; and

BE IT FURTHER RESOLVED, That as appropriate and as directed by the President, anyone or more of the officers and employees of the University are hereby authorized, designated, and directed to serve as an incorporator of the corporation and/or as directors, officers, employees, and agents of the corporation, representing the University in such capacities as part of their official duties and responsibilities to the University and entitling them to any immunity, insurance, indemnity, and representation to which officers and employees of the University now are, or hereafter may be, entitled; and

BE IT FURTHER RESOLVED, That the University Hospitals is authorized to contribute \$5,000,000 to the new corporation to develop and operate facilities and programs consistent with the strategic plan of University Hospitals.

Upon motion of Mr. Skestos, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and Judge Duncan.

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President Kirwan:

I would now like to ask for a separate vote on the Easement to Ohio Power Company.

EASEMENT

Resolution No. 99-69

**OHIO POWER COMPANY
LIMA CAMPUS**

Synopsis: Authorization to grant an easement for the installation of electric service at the Lima Regional Campus is proposed.

WHEREAS the Ohio Power Company has requested a 25-year easement consisting of a strip of land approximately 10 feet in width and approximately 358 feet in length for the installation of electric service to the Agricultural Services Building located at 4240 Mumaugh Road; and

WHEREAS this easement will serve University property, and the appropriate University offices have recommended the approval of this easement:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, to act for and on behalf of the University in developing the necessary legal instruments to provide for this easement.

Upon motion of Mr. Skestos, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolution with seven affirmative votes, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and an abstention by Judge Duncan.

(See Appendix XXIV for map, page 517.)

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STUDENT RECOGNITION AWARD

Ms. Lowe:

At last month's meeting many of you will remember meeting Tom's student colleague, Lynn Burks, who is also a student in the business school and talked about this wonderful facility. Today, with students like the one I'm going to introduce to you, it's not hard to understand why another college, the College of Education, is also one of our nationally-recognized programs.

Andrea Balas is currently a doctoral candidate in the field of science education, but has already had an impressive background in promoting education and the well-being of young people. Andrea brings to Ohio State a biology degree from the University of Pittsburgh, and a Master of Public Administration degree from Central Michigan University.

Not content to stay a student, Andrea has been a middle school teacher in Pennsylvania, and for the last seven years, she has been an environmental education specialist for the Ohio Girl Scout Council. Within K-12 education, she's been involved in Ohio's Project Discovery, and as a curriculum reviewer.

Now that she has returned to be a student once again, Andrea is showing leadership inside and outside the classroom. Nominated by her dean for leadership in education, she has also been involved at the college and University-levels. One of her recommenders commented that Andrea is, "both self-motivated and initiates many projects that she pursues with both professionalism and depth."

STUDENT RECOGNITION AWARD (contd)

Ms. Lowe: (contd)

Indeed, as the type of student who always goes beyond the call of duty, according to her advisor, it is not surprising that Andrea has served on the University Curriculum Committee, the Council on Research and Graduate Studies in the Graduate School, and the Environmental Education Council of Ohio. Currently, she is president of the Student Leadership Council of the College of Education. This Council coordinates student activities and especially student concerns throughout the College, and has been a model program that other graduate programs are seeking to emulate.

Please join me, Dr. Kirwan, and Dean Siedentop in congratulating Andrea Balas on her work and her contribution to Ohio State.

Ms. Andrea Balas:

First of all, I would like to thank my colleagues for their nomination, the College of Education, and Ohio State University for recognition of my work in the area of education. When I looked for a topic of my remarks today, the topic and title of a current book, "What Looks Like Crazy on an Ordinary Day," just seemed to fit.

As I reflect on my background -- I came from a small, steel-mill family in western Pennsylvania -- and my parents' decision to send a daughter to college, it was a time that looked crazy to everybody around them. It was a time and a place in our country when even sons were not sent on for further education. My nuclear family supported me -- my husband and my three children. When my oldest child was in the sixth grade, I went back to school to get my master's in public administration.

I then began work in non-formal science education. While I was there, I decided to research and explore the impact of non-formal education because I saw the difference it made in student learning and teacher comments on student learning in the outdoor environment. That led me to the point that I am in today, in the doctoral program at the College of Education at Ohio State University.

As a student, it's crazy; I have many roles, and it is easy to see how identities blur. On a given afternoon, I can be a student who works with faculty, a student who works with other students, a teacher who works with teachers, and a teacher who works with students.

The role of the College of Education is also crazy. Our College seeks to make connections, facilitate integration, maximize integration, and maintain communication within and outside the College boundaries. The nature of our task is complex, because education is a universal experience. All people have had learning experiences whether they are formal or non-formal. Everyone has an opinion about what good education is and how we can achieve it. All parents have students who are exceptional and each student has a right to expect an educational experience.

The College of Education focuses to maximize and enhance learning and professional development experiences for students within the College, to promote community outreach and support for in-service teachers, beyond the placement of student teachers at their school sites -- all while operating within the constraints of available funding.

One example of such community outreach is the Urban Systemic Initiative, a reform movement in math and science that focuses on problem-based education and how to facilitate that learning within classrooms east of High Street in the

STUDENT RECOGNITION AWARD (contd)

Ms. Balas: (contd)

Columbus school system. That program has 600 teachers at nine locations. We have 51 teachers involved in teacher leadership development under the auspices of my advisor, Patty Brosnan.

To get back to my organization, the Student Leadership Council, we are the only such student governance organization on the Ohio State campus. When the National Council for the Accreditation of Teacher Education (NCATE) came to look for the accreditation of the College, they called for the minutes of our meeting and a member of their panel met with our student organization board. Our student organization has representatives from 11 sections, five programs, and all student organizations within the College.

We've looked at students' experiences on three different levels. One is the program level. We asked them to look at their individual programs, their interaction with faculty, mentoring, and advising, and we looked for opportunity to enhance professional development. We also looked and tried to facilitate places where students can drink coffee and gather and have professional discussions. We tried to put forth this in our forum in February, which we call the State of the Student Forum.

We also look for representation, not only in our own College where we have students seated on the Faculty Council, Faculty Council committees, and various other organizations, but we also tried to support the University programs through the Council of Graduate Students, the Council on Research and Graduate Studies, the student senate, and other opportunities within the University. We also tried to provide service to our College through placing students on student constituent panels, Karlsberg Lecture Series, and our new concern is the Edgar Dale Media Center, and how the role of that will be changed within our College.

How do we do this? We have monthly meetings, we have forums to disseminate current information from various college and University meetings, we have our February Student Forum. We have initiated exit interviews with graduates that look behind the scenes of student interactions with administration, support services, and faculty.

Though some my age may be reading the latest Stephen King novel, leisurely sitting on sunny beaches and using rainy day funds for exotic educations, I will be spending endless hours reading education journals in the library, setting the next agenda for the Student Leadership Council meeting, and trying to raise funds to cover my next professional development experience. And to some, that just may look like crazy on an ordinary day. Thank you very much.

Mr. Celeste:

Thank you very much, Andrea, and congratulations.

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STUDENT GOVERNMENT REPORTS

Mr. Eric Ley:

The following are the student reports for the three governments here on campus. USG is beginning to survey for its second volume of *The Landlord Library*. This

STUDENT GOVERNMENT REPORTS (contd)

Mr. Ley: (contd)

publication -- to come out Winter Quarter -- displays student evaluations of landlords to help students make renting decisions.

USG is working closely with Transportation and Parking on a night parking program for Winter Quarter. This program will allow students to park on surface lots from 9:00 p.m. to 5:00 a.m. for no charge. The program is meant to increase safety and accessibility for students while saving them from having to purchase a pass.

USG is also working on a project to place additional blue safety lights off campus. The project is still in its research stage and is a collaboration of multiple units.

Finally, Mara Leventhal of USG is coordinating a "Student-for-a-Day" program for you, and we hope that you can participate on February 4.

Next I'll give the report on the Council of Graduate Students. Three representatives from the Council of Graduate Students attended the National Association of Graduate and Professional Students' national conference in Boston, Massachusetts, this past month, where CGS received its award for Graduate Student Association of the Year. The report about the conference was featured in a recent issue of the *Chronicle of Higher Education*.

CGS is delighted to announce that Columbus was chosen as the site for the 1999 NAGS National Conference, with Ohio State as the host school. CGS is also working with the President's office to think of a way for Ohio State to work with the conference.

CGS is delighted that plans for a new graduate and professional student housing complex are underway and would like to thank Dr. Kirwan, David Williams, and Bill Hall for their support of this concept. CGS supports the efforts by those individuals and Campus Partners to make the University area an active living and learning community for all students, staff, and faculty, and believes that the graduate and professional student housing will be a major step towards accomplishing that goal. Also, CGS has participated in discussions about the need for student football tickets as reported at the last meeting. We hope that a resolution to this problem will happen soon, and that all students -- undergraduate, graduate, and professional -- will have access to season football tickets.

In their discussions with graduate students about this issue, CGS learned that many of them have a greater allegiance to Ohio State than to their alma mater, although over one-third of the graduate students attended OSU as undergraduates. Over 60 percent of the graduate student body is from Ohio, and all of these students consider themselves to be life-long Buckeyes. CGS hopes to share some of our discussions widely after this issue is resolved as we have heard some interesting perspectives.

Finally, CGS would like to congratulate Dr. Ed Ray on his appointment as Executive Vice President and Provost, and wishes him well in his new position. They look forward to continuing to work with Dr. Ray.

Finally, IPC is sponsoring Jackie Macy, a fourth-year dental student, on a trip to hurricane-ravaged Honduras. Dr. Jolly, a professor of dentistry, will lead 12 doctors and students on a mission to Honduras, which will provide needed clinical work to the hurricane-devastated country. The project blends hard work and goodwill in an

STUDENT GOVERNMENT REPORTS (contd)

Mr. Ley: (contd)

environment where the professional school education received at OSU can make a difference.

IPC and the Women's Law Caucus will organize a week-long event which will highlight the lives of professional women. The event is slated to begin March 1. Susan Weddington, who argued for Jane Roe in Roe vs. Wade, will be a featured speaker. The annual IPC Service Day will take place during this week, along with a Career Advising Day, which will offer insight in applications, interviews, and everyday life of the OSU professional student.

The IPC committee appointed to write the I-QUE report has finished its work. This survey will give professional students a voice in shaping the future of professional schools. The survey will be presented at the IPC Senate meeting this Sunday, December 13, at which point the IPC senators will take the survey and decide if there are any final revision changes. The survey will then be printed over winter break and will be administered on a school-wide basis beginning in January.

The Interprofessional Council sent delegate April Gill to the 1998 NAGS National Conference in Boston, Massachusetts, from November 19-22. April attended four days of educational workshops and business meetings, listened to provocative speakers, and networked with student leaders, exchanging ideas and debating the issues that effect graduate and professional students on a national level.

A congratulations to the Council of Graduate Students, which took the prize for Graduate and Professional Student Organization of the Year.

That concludes my remarks.

Mr. Celeste:

Thank you very much, Eric.

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EARLY RETIREMENT INCENTIVE (ERI) UPDATE

Dr. Edward J. Ray:

I know you have the material for the ERI update in your books -- and certainly there is no need to repeat that -- so let me make four or five quick observations and then, if there are questions or concerns, I'd be happy to address them.

The first point I'd make is that through Fiscal 1998, 114 faculty were hired compared to 199 authorized replacement positions. You'll remember, when we started the program we talked about continuing cost savings and cash flow over the life of the program. Not surprisingly, given the delay in hires, the cash balance through June 30, 1998, stood at \$29 million compared to an original estimate of under \$17 million.

Let me just say briefly that two reasons why I think hires have lagged is because I know as a former department chair, you always think if you just had more positions you could do more hiring. But these tend to be very time-intensive, very idiosyncratic efforts, where you go after key individuals, and it's not at all surprising that there's a lag between authorizations and filling of positions.

EARLY RETIREMENT INCENTIVE (ERI) UPDATE (contd)

Dr. Ray: (contd)

I think a second factor that might be in play here is that the realities are that the budget difficulties we went through in the early 1990's really took away a lot of the discretionary financial power that deans and department chairs had to provide the wherewithal to help sustain ongoing faculty and instructional activity. I suspect that some of the delay in filling positions has been associated with pretty strategic thinking about what could be done with cash balances now, as opposed to filling a position now and therefore are perhaps filling it a little bit later. So I think there's been some of that activity going on.

The second point I'd make is that rehires for teaching purposes throughout the University have tended to diminish from one year to the next. I know one of the concerns that was expressed when we first brought the program forward was, are we going to retire people and then just hire them back, because you've got to have people to teach anyway.

The point we tried to make was that in fact we wanted to have that flexibility to hire people back, but on a selective basis, in a targeted way, because we do have program needs that are very specific that require very particular talents. We want to make sure as we make the transition, that we preserve the integrity and the quality of our course offerings. So it is important to have that ability to bring retired faculty back to teach in key positions. But we would expect as replacements occur over time, that we'd see less of a need to do that.

For example, what we find in Columbus is that that figure of rehires has declined from 71 in fiscal 1997 to 43 in fiscal 1998 out of a possible 258 faculty who retired. It is worth pointing out as well, that average compensation for a course taught was \$5,400, or about 38 percent of the preretirement compensation rate of \$14,300. So we view this as a very cost-effective way to make key hires during this transition period. As I said, to maintain the quality and integrity of our course offerings.

The third point I'd make is that original projections were for a 1.6 percent reduction in faculty and continuing savings of \$7.8 million, with a net cash savings of approximately \$18 million. As I've said -- and you could suspect from the earlier figures -- we expect that net cash savings to be substantially above the \$18 million mark.

A fourth element of that program was what we expected to happen with regard to the characteristics of the faculty here at Ohio State. We argued that we thought this also represented an opportunity to increase the diversity of our faculty. In fact, if you look at the 114 hires that have been made, what you find is that there have been net gains of seven women, three Asian, one black, and one Hispanic faculty members at the University, relative to those who retired.

At the same time that we can talk about those positive changes, in all candor, we have to say that's not as dramatic perhaps as we would like it to be. It's part of the reason why -- and we'll talk about it later -- we are having a very focused diversity in community agenda for the University. We think this program created some opportunities, we've taken advantage of that to diversify the faculty to some extent, and we think that much more is possible and will be done in the future.

The last point I'd make is that upon completion of the program -- assuming that the student population stays approximately constant with the final completion of the rehires -- the student/faculty ratio is expected to be at about 18.8, which is approximately the same as the 18.7 in fiscal 1994 before the program went into effect. The point, again, is to remind the Board that when we talked about a modest

EARLY RETIREMENT INCENTIVE (ERI) UPDATE (contd)

Dr. Ray: (contd)

downsizing of the faculty, we recognized that we were in a period of somewhat downsizing the overall student population. While we don't argue that a figure of 18.7 or 18.8 is an indicator of having gotten the student/faculty ratio right, I think many of us believe we need to drive that figure down further.

I did want to make the point that we haven't seen a further deterioration in terms of a dramatic rise in the student/faculty ratio over the life of this program. This is because the downsizing in faculty that was built into this was conditioned on the understanding that the overall size of the student population would be diminishing.

That completes my remarks. If there are any questions or comments, I'd be happy to respond.

Mr. Celeste:

Any questions from any of the Trustees? If not, thank you very much.

(See Appendix XXV for background information, page 519.)

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UPDATE ON THE LEADERSHIP AGENDA

Dr. Edward J. Ray:

As you know, this is the second Leadership Agenda that we've brought before the Board. To give you a sense of the next steps -- before I make a few comments and you've got the material so I don't want to go through it all -- my expectation is if the Board is comfortable with the current status of this draft Leadership Agenda, we'll consider this as the working document. This will go out Monday to people -- many of whom are already working on these action items -- telling them okay, this is the official set of instructions, let's do it with the understanding that we'll be back at the end of March with a mid-year report on where we stand with respect to the Leadership Agenda. Then, of course, in August with an end-of-year report.

I wanted to point out that this Leadership Agenda has five areas of focus, instead of the three we had the last time. At the same time, we started with 15 action items the first year. You'll remember we told people to come up with 3-5 action items for the three areas that we had. That was a mistake, because everybody went for five, and we ended up with three areas and fifteen topics. This time, we didn't tell them we were going to go to five topics, so they didn't have a chance to work us up to 25 agenda items.

We asked them what are the key things we need to be focused on and how do we sort them among the objectives that we have. We came up with 17 items that focus on the five areas: 1) academic excellence; 2) the quality of the student experience; 3) diversity in community; 4) outreach and engagement; and 5) revenue growth.

One observation I'd like to make as I move into the individual areas is -- for those of you who had an opportunity to hear the discussion in the Educational Affairs and Student Affairs Committees -- that you'll recall the overall focus of both of those discussions was, "What are we doing to enhance the quality of the student experience, both inside and outside the classroom?" We talked maybe with a little more emphasis about the classroom experience and related activities in the first

UPDATE ON THE LEADERSHIP AGENDA (contd)

Dr. Ray: (contd)

session and with maybe a little more emphasis on the out-of-classroom experience in the Student Affairs session. I think what's informative about that is that in the course of those two discussions, we talked about every one of these five areas. They're all interconnected; they're all important for any one of the others to be successful. So we have to sort of organize our thinking, and we think about compartmentalizing into topics, but all of these areas really run into each other.

Let me just give some examples under each of these areas of the kinds of activities that will be going on this year, and then see if there are questions or concerns.

With respect to academic excellence, one of the areas we'll be focusing on is the Selective Investment Academic Enrichment area. We'll be appointing an oversight committee to review the purpose and the selection process for both of those programs this spring to make sure we're managing them as effectively as possible. And we'll also look at the effectiveness of previous Academic Enrichment Awards to be certain that we are, in fact, making effective use of the monies that we've historically put into those programs.

We'll also develop an implementation plan for elements of the Research Commission Report. We've had the report. If it's to come to life, we need to think about actions, time lines, and budgets. The Interim Vice President for Research, Bud Baeslack, will be playing a lead role in helping to take us forward in developing that implementation effort.

With respect to the Student Experience, actions called for includes: improved advising in general, increased direct enrollment, a more effective orientation program, and direct intervention to help students at risk. Goals include improving freshman retention 2 percent a year, and graduation rates by 4 percent a year. Program enhancements include: implementation of the Ruth Mount Student Leadership Initiative, expansion of service-learning opportunities for students, and increasing the number of learning communities. And I'm sure we'll be having discussions about many of these topics at subsequent meetings of the subcommittees of the Board during the rest of the academic year.

A new area that we've highlighted this year, as part of President Kirwan's agenda, is the area of diversity in community. In that regard, we've committed ourselves to develop a University-wide organizational structure to develop and oversee implementation of -- for want of a better word -- what I would call a University agenda for diversity in community. We've talked about this before.

At Ohio State, our two most popular ways of failing to get something done are: 1) to decide it's just too big, we can't do it, so we do nothing, and therefore prove a problem can't be solved; or 2) we decide it's so important that we empower so many people to deal with it that they spend most of their time tripping over each other. So what we're hoping to do in the diversity in community area is bring all of the parties that have some degree of ownership in the issue together. To create a sense of ownership and responsibility to see that, by God, the conversation we have on what we don't like about the way we deal with diversity in community issues next year, is different from the discussion we've had this year. Hopefully, people will recognize that as some progress.

We'll also be making permanent appointments of an ADA compliance officer and affirmative action officer this year. As you've heard in earlier discussions, we intend to continue, coordinate, and expand the dialogue on race.

UPDATE ON THE LEADERSHIP AGENDA (contd)

Dr. Ray: (contd)

With respect to outreach and engagement through Campus Partners, campus collaboratives, and the Outreach and Engagement Council, we intend to start making substantive physical and community service changes in the Campus Partners' area.

I think we had a very good discussion of that in the Student Affairs session this morning. We'll step up collaborative University/industry/government partnership efforts in research-related activities through our new Science and Technology Campus and through increased focused efforts in technology transfer.

Finally, with respect to revenue growth, among other things, we expect to finalize the strategic focus document that we've been working on. The dialogue, I can tell you, has been informative and useful with various parts of the University. We propose to come forward with specific budget restructuring plans to begin possible implementation in fiscal 2000.

Mr. Chairman, that ends my remarks, and I'd be happy to respond to questions or comments.

Mr. Celeste:

Indeed, that was suitably brief, and right on the mark. Are there any questions or comments from the members of the Board?

Ms. Rofagha:

I have one comment in looking at the leadership agenda as it pertains to diversity. I think there is an important change in the way we've looked at it, in that we don't assign the responsibility to the periphery of the University, but rather have brought it into the core of the University. This signifies that we all have the interest and responsibility and also to make sure that this goes throughout our University and that people are held accountable. I really think that having the Council of Deans as a part of that, to take it to the college levels and to have these discussions within their colleges with their faculty as well, really helps send that message that this is a core University priority. So I really think that's a very nice way to have gone about it. It's very commendable.

Dr. Ray:

Thank you.

Mr. Celeste:

Any other comments or questions? Thank you very much and congratulations.

Dr. Ray:

Thank you.

(See Appendix XXVI for background information, page 525.)

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PRESENTATION BY THE CAMPUS CAMPAIGN COUNCIL

Ms. Marquette Peavy:

We didn't really want to be rude, but we want to surprise you with some outstanding news. The Campus Campaign -- with the help of all the staff and faculty here at Ohio State and, for the past three years, our graduating seniors -- has just reached \$50 million! This great campaign is an arm of the Affirm Thy Friendship Campaign. It is an internal campaign that our staff and faculty have been contributing to since 1985. And, as of last week, we've hit \$50 million. That is a lot of money for our faculty and staff to say, "We believe in Ohio State." Dr. Kirwan, we're glad you're here to be a part of this and we're real excited about it.

Dr. Richard Hill:

The Ohio State University, as you know, has been a pioneer in faculty and staff campaigning. Now that we've reached the \$50 million threshold, I think Ohio State is clearly the champion across the country in campus campaigns. Most important to us today, is to take this moment to thank every dean, every vice president, every president, and every trustee who have been with us in their support over the past 13 years, and it's because of all that support that this moment really has been possible.

I suspect maybe our Vice President for Development, Jerry May, may want to add a word here, too.

Mr. Jerry May:

I hope you'll forgive this unconventional announcement and approach, but I want to thank Dick Hill and Marquette Peavy -- both of whom have been talking with you, I don't think they need any introduction -- who have worked very hard over the past couple of years. The council is here, and if you look at the council you will see faces that you see on football fields, in classrooms, in research labs, or whatever. And that is the strength of the Campus Campaign.

The Campus Campaign as of this time, with the \$50 million announcement today, represents 556,409 supporters during this period of time. What that means is, that many gifts have happened over the 13 years of the Campus Campaign. That means that every single gift, no matter how small, makes a huge difference in the quality of this University. This is a very unique enterprise.

I want to thank members of the Campus Campaign Council that are here today. I want to thank Dick and Marquette for this great leadership, they really deserve a round of applause. If you would give us one more indulgence, I think we'd like to have a photo with President Kirwan, Dick, Marquette, and the group here.

President Kirwan:

I just want to say, what an extraordinary thing this is. Once again, we sort of sense here at Ohio State the good feelings that there are about this University. But if you look at it from a national perspective, there's nothing like this. This is an extraordinary statement that we have a community that cares so much about the University. I just want all of you to know how much it is appreciated, and how proud Patty and I are to be part of you.

December 4, 1998 meeting, Board of Trustees

SURPRISE PRESENTATION BY THE CAMPUS CAMPAIGN COUNCIL (contd)

Mr. May:

I also want to take this opportunity -- while these pictures are being taken, Linda Johnson is right here in the center -- to say that Linda Johnson is the heart of the staffing that support this great volunteer group across the University. I'd like to make a special recognition of Linda Johnson. Linda, thank you very much.

Ms. Linda Johnson:

Thank you.

Mr. Celeste:

I wonder if we could end every meeting like that. Isn't that wonderful? Fantastic, that's wonderful. Thank you all very much.

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Thereupon the Board adjourned to meet Friday, February 5, 1999, at the Ohio Union, Columbus, Ohio.

Attest:

William J. Napier
Secretary

Theodore S. Celeste
Chairman



Office of the President

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Phone: 614-292-2424
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TO: Deans, Directors, Department Chairs
University Senate - Faculty Cabinet
President's and Provost's Advisory Committee
Undergraduate Student Government
Council of Graduate Students
Interprofessional Council
University Staff Advisory Committee

FROM: William E. Kirwan

A handwritten signature in black ink, appearing to read "Bill Kirwan".

DATE: November 12, 1998

RE: Administrative Reorganization

Enclosed is the administrative reorganization plan that I will take to the Board of Trustees for approval at the Board's December 4, 1998 meeting. I want to thank the members of the university community who have provided advice to me on this matter throughout the process. I believe the structure I have developed positions us well for higher levels of academic excellence and addresses many of the concerns about administrative inefficiencies I have heard since arriving at Ohio State. It also accommodates most of the suggestions made in response to the Rhodes Report. I hope you will share the plan widely with your colleagues.

The new structure gives primacy to the voice of the academic community and expands the role of the provost. In order for the university to move forward with a renewed sense of purpose and urgency, I will recommend to the Trustees that Interim Senior Vice President and Provost Ed Ray be designated Executive Vice President and Provost, effective December 4, 1998. I do this for several reasons. First and foremost, I have been impressed by the dedicated and professional manner in which Ed Ray has carried out his responsibilities as Interim Provost. Second, I have become aware of the broad base of respect and support Ed enjoys from faculty, staff, students, and his colleagues in senior administration. And third, I recognize that a search at this time would cause disruption in the momentum so critical to achieving the goals we have set for Ohio State. It is also worth noting that, having exercised valued leadership in the formation, adoption, and benchmarking of our quest for excellence, Ed is ideally positioned to join me in carrying out our ambitious agenda.

I want to emphasize that I have consulted broadly before reaching this decision. Among others, I have talked with the Faculty Cabinet, many academic leaders, the President's and Provost's Advisory Committee, and members of the Board. I am confident that there is a broad consensus that this is the right decision to make given the quality of Ed's work as Interim Provost and the enormity of the issues we must address in the coming months.

Now that the reorganization work is complete, I look forward to working with each of you as we intensify our efforts to move Ohio State to the top rank of our nation's research universities.

“Organizing for Success”

Reorganization of the Central Administration
The Ohio State University
November, 1998

William E. Kirwan, President

1. Preface

The purpose of this document is to report on the review of Ohio State’s central administration structure that I have conducted and to outline the organizational structure I believe will best serve the needs of the university in the months and years ahead. My conclusions are the product of considerable reflection on information provided me from many sources, including conversations with Trustees, deans, faculty, students, staff, and members of the public; visits to many offices and academic units; and review of multiple documents, memos, and reports.

Important to my deliberations has been the “Review of the Administrative Structure and Administrative Forums Involving the President of The Ohio State University,” conducted at my request by Frank H. T. Rhodes, Carolyn K. Davis, and Charles E. Young. I am deeply grateful to the authors of this report for the time, effort, and thought they have invested. It has been an invaluable resource to me in my deliberations. I also received a number of thoughtful responses to the Rhodes Report from members of the Ohio State community and took these comments under advisement in preparing this document.

2. Reorganization within the context of the university’s goals and priorities

There is broad agreement on four general priority areas for the university to pursue as a means towards its goal of becoming one of the nation’s preeminent public research universities:

- Increase the quality and national reputation of academic programs.
- Enhance the quality of undergraduate programs and the quality of life of all students.
- Become an exemplar within higher education for the success of the university’s commitment to diversity.
- Expand outreach and engagement activities to better respond to the needs of the communities the university serves.

There is a remarkable resolve within the university community to elevate Ohio State to the ranks of the nation’s very best universities. This resolve can be seen in the report of the Research Commission, in the development of the selective

investment program and by the rigor with which this program has been carried out. It also can be seen in the creative energy unleashed through the academic enrichment program, in the growth and stature of the Honors Program and in the extraordinary success of the Affirm Thy Friendship Campaign.

Given the high ambitions of the community and the limit to our resources, it is essential that our administrative structure be one that builds consensus within the community, enables timely decisions based on community input, provides accountability for administrative actions and supports in an optimum manner the university's priorities.

3. The present administrative structure

Ohio State is blessed to have many talented administrators who have supported so effectively the university's remarkable advances over the decade of the 1990s, advances made despite devastating budget cuts and continuing resource constraints. Still there is a widely held perception that the current administrative structure, as opposed to the current administrators, is not serving the university as well as it should. This view was captured in the Rhodes Report. The Rhodes team interviewed 71 individuals and reviewed numerous documents as part of its deliberations. In the end, the team found that although there is a "pervasive sense of institutional enthusiasm and loyalty" and cause for optimism and a sense of satisfaction, the present structure has serious deficiencies. One quote from the report captures well a prevailing view:

"...there is a general feeling among those we interviewed that the university's administrative structure is large, clumsy, and ineffective. We were told by various individuals that it is 'not organized for success,' that it is 'skilled at failing,' and that decision-making is 'episodic, piecemeal, well-intentioned, but rarely effective.' One of those we interviewed concluded that 'Nothing is ever decided; nothing ever quite comes into focus...".

I find the comments by Dr. Rhodes and his colleagues, reinforced by many conversations I have had with colleagues throughout the university community, compelling. I view as urgent the need for significant reorganization within the central administration if we are to achieve our ambitious goals.

4. The new structure

In determining an optimum administrative structure for the university, there are five guiding principles that I have followed:

- Give primacy to the academic needs of the university;
- Provide effective communication with the university community and be responsive to the community's needs;

- Ensure a sustained focus on the university's priorities;
- Maintain a well-articulated and timely decision-making process; and
- Guarantee accountability for decisions and for overall progress of the university toward its goals.

Adherence to these principles leads me to implement the following changes in the administrative structure:

Academic Affairs

- ◆ The position of senior vice president & provost will be strengthened and elevated to a new position of executive vice president & provost.
 (1) Comment: This action is intended to establish the provost as the second in line within the university's hierarchy or, in effect, the university's chief operating officer.
 (2) Timetable: Dec. 4, 1998.
- ◆ The provost will become the chief architect of the university's budget.
 (1) Comment: The provost, as the senior university officer charged with orchestrating the university's advance toward its goals, needs to be the architect of the budget, which is the strategic statement of the university's priorities. The budget priorities and resource allocations will be developed by the provost and recommended to the president based on revenue and spending estimates prepared by the chief financial officer.
 (2) Timetable: Dec. 4, 1998.
- ◆ The vice president for research will report to the provost and retain a dotted line relationship to the president.
 (1) Comment: The Office of Research is central to the academic mission of the university. This change will ensure closer interaction among the vice president, the provost, and the dean of the graduate school. It also will ensure that the vice president for research has a greater voice in the determination of resource allocations. The vice president will continue to have a direct access to the president through a dotted reporting line and will have a seat at presidential level priority setting and policy-making councils.
 (2) Timetable: Dec. 4, 1998.
- ◆ The freestanding position of vice president for human resources will be eliminated and the associate vice president for human resources will report to the provost.
 (1) Comment: This change will strengthen the linkage of human resources to the central purposes of the university as represented in the Office of the Provost.
 (2) Timetable: The position of vice president for human resources will be eliminated as soon as a transition plan has been developed by the

provost, the acting vice president for human resources, and the vice president for finance. The transition plan will include recommendations on functional realignment of support services within human resources. The plan will be developed by Dec. 4, 1998.

- ◆ The provost will recruit a senior, nationally recognized expert as the university's chief information officer. This person will be responsible for ensuring that the university becomes a national leader in the application of information technology both in its academic programs and its business and service applications.
 - (1) Comment: Information technology holds unlimited potential for use in instruction, research, communications, and management, and the appointment of a full-time CIO is not only long overdue but is essential if institutional goals are to be realized.
 - (2) Timetable: The search will begin by Dec. 4, 1998.

- ◆ The vice president for agricultural administration will report to the provost on all academic matters and to the president on all statewide policy and fiscal matters.
 - (1) Comment: This reaffirms the understanding of the May 1, 1981, agreement placing OARDC within the university structure. It is restated, however, to emphasize the importance of the integration of all academic programs within the Office of the Provost. It also is anticipated that the vice president will assume additional leadership responsibilities as the university redefines its land-grant mission and expands its outreach and engagement activities.
 - (2) Timetable: Immediate.

- ◆ The vice president for health sciences will report to the provost on all academic matters and to the president on all matters relating to the hospitals and fiscal affairs.
 - (1) Comment: This reaffirms the existing reporting relationship but also emphasizes the necessity for all academic matters to be under the oversight of the provost. The organizational structure of the Health Sciences is undergoing review, which is anticipated to lead to changes in the administrative structure of that enterprise.
 - (2) Timetable: Immediate.

- ◆ The vice president for student affairs will report to the president but have a dotted line to the provost.
 - (1) Comment: This change will strengthen important working relationships and linkages between the Office of Student Affairs and the Office of the Provost.
 - (2) Timetable: Dec. 4, 1998.

- ◆ The vice president for development will report to the president but have a dotted line to the provost.
 (1) Comment: This reaffirms the important responsibility the president has for fund raising while emphasizing the importance of continued collaboration between the development activities and the provost's office to ensure that the academic priorities remain the focus of campaign efforts.
 (2) Timetable: Dec. 4, 1998.

- ◆ The provost will review the structure and organization of the provost's office in order to absorb the newly apportioned functions and ensure their optimum effectiveness in addressing existing responsibilities.
 (1) Comment: At my request, Dr. Edward Ray has prepared an OAA reorganization document that explains how the office will accommodate the changes outlined here.
 (2) Timetable: Completed.

Administration, Finance and Business

- ◆ The vice president for finance will become the senior vice president for finance, business, and administration. The senior vice president will report to the president but have a dotted line to the provost.
 (1) Comment: This change reflects the centrality of financial considerations to the ultimate success of the academic mission, and it expresses the need to better integrate the administrative, business, and financial functions of the university. It is anticipated that this change will lead to significantly greater efficiency in these areas.
 (2) Timetable: Dec. 4, 1998.

- ◆ The vice president for business and administration will report to the senior vice president for finance, business, and administration.
 (1) Comment: The change enhances the coordination of academic-support activities and streamlines the decision-making process while improving efficiency and effectiveness.
 (2) Timetable: Dec. 4, 1998.

- ◆ The vice president for legal affairs and general counsel will assume the position of executive assistant to the president and general counsel.
 (1) Comment: The executive assistant will assist in the development of the president's agenda for the university and will help the president direct his time and attention to advancing that agenda.
 (2) Timetable: Dec.4, 1998.

- ◆ The current executive assistant to the president will assume the position of special assistant to the president for governmental relations. This position will be responsible for developing and implementing a comprehensive strategy to

promote the university's goals with federal, state and local governments. The special assistant will continue to serve as Secretary of the Board of Trustees.

(1) Comment: The special assistant will assume reporting responsibility for and coordinate the activities of the current state and federal relations operations.

(2) Timetable: Dec. 4, 1998.

New Position

- ◆ A position of vice president for university relations will be created. This individual will be responsible for a coordinated university effort on public and media relations including the development of a comprehensive communications and marketing strategy. The vice president will report to the president but have a dotted line to the provost.

(1) Comment: Ohio State must do a better job of communicating its successes and ambitions, building constituencies, and informing its publics about the many benefits it offers and services it provides. The creation of the position of vice president for university relations will provide for this as well as a better coordination of interactions with business and industry and foundations. Special assistant functions in these areas will be transferred from the president's office to the vice president for university relations.

(2) Timetable: Search to begin by Dec. 4, 1998.

5. Primary Decision-Making Forums

In order to clarify the decision-making process within the new administrative structure, I am defining the role of two major administrative forums, one dealing primarily with the future directions of the university and the other with current year operations.

1. The Planning Cabinet. This body will consist of the president as chair, the provost as vice chair, the vice presidents, the executive assistant to the president, and the secretary of the board. The purpose of this group will be to determine the university's priorities and major initiatives, to review and recommend to the trustees the university's operating and capital budget requests, to recommend major policies to the trustees, and to guide and oversee the progress of the university towards its goals.

Actions of the cabinet will be guided and informed by recommendations from the Coordinating Council (see below) and advice from representative groups within the university community.

2. The Coordinating Council. This body will consist of the provost as chair, the vice presidents, the executive deans, the executive assistant to the president and

others deemed appropriate by the provost. The function of this body will be to provide recommendation and advice on major items coming before the Planning Cabinet, to monitor and administer the current year budgets, to monitor the progress of the university in fulfilling the annual Leadership Agenda, and to propose new initiatives aimed at advancing the university's priorities.

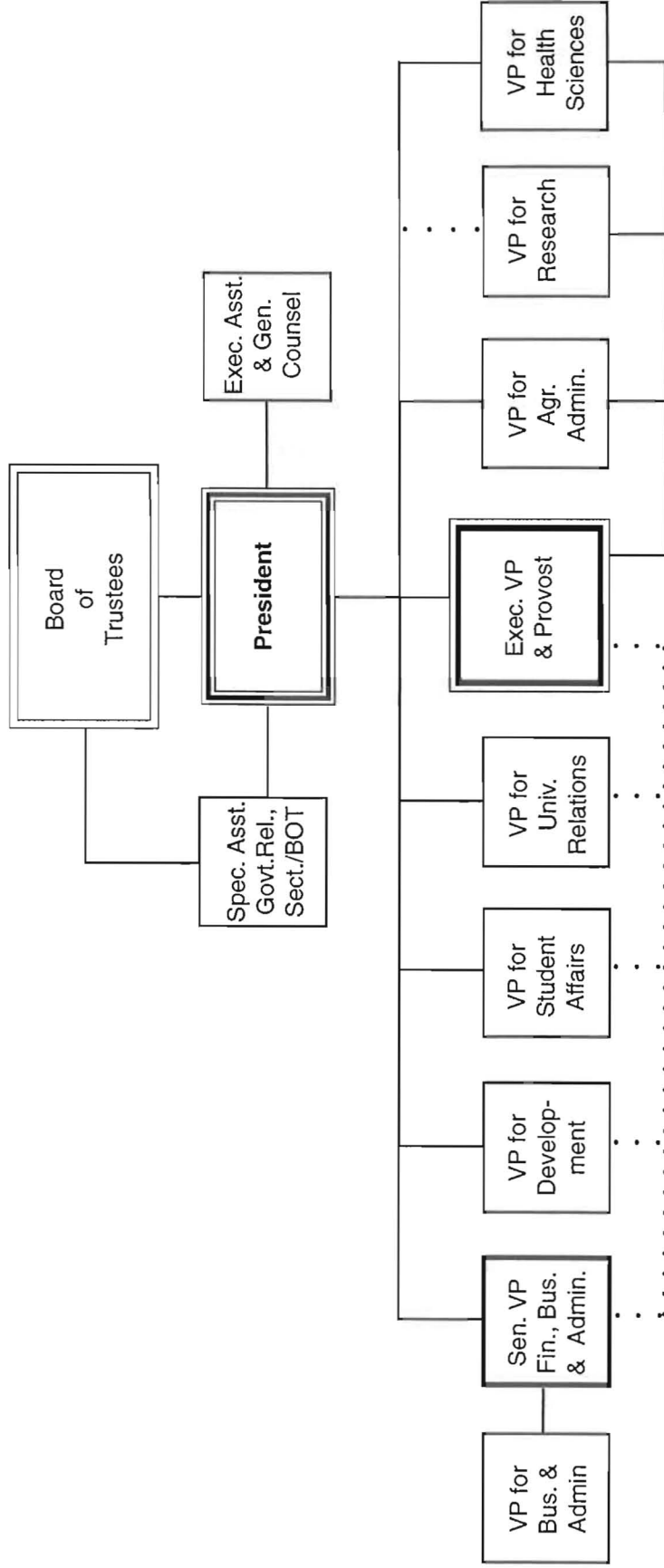
The work of the council will be guided and informed by ongoing interactions with, among other bodies, the University Senate, the Faculty Council, the Council of Deans, the University Staff Advisory Committee, and the three student government organizations.

6. Conclusion

Our institutional ambitions are bold. To succeed, our decision-making structure must have the capacity for clarity, decisiveness and accountability, and our many constituencies must be kept informed and inspired to help Ohio State reach its goal of true academic distinction.

The plan I have set forth is an important beginning and one I embrace with enthusiasm. Since arriving at Ohio State, I have received the unqualified support of the members of the central administration, and we share an eagerness to move forward with a renewed sense of purpose and direction. Although formal action, where required, will not occur until the December meeting of the Board of Trustees, many of the described changes will begin to be phased in over the next few days and weeks so that momentum and opportunities are not lost. With the completion of this plan, the university community must now return its focus and energy to our shared goal...building a university of the first rank.

Central Administration / The Ohio State University



NOTE:

The solid lines to the President reflect direct reporting and accountability relationships and policy development through the Planning Cabinet.


The solid and dotted lines to the Executive Vice President & Provost reflect a combination of direct reporting and close collaboration on academic priorities under the Provost's leadership.



Interim Senior Vice
President and Provost

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To: Deans, Directors, Department Chairs
Vice Presidents
University Senate-Faculty Cabinet
President's and Provost's Advisory Committee
Undergraduate Student Government
Council of Graduate Students
Inter-Professional Council
University Staff Advisory Committee

From: Ed Ray 

Date: November 19, 1998

Subject: President Kirwan's Report and Reorganization in OAA

This memo summarizes the immediate changes anticipated in staffing in OAA associated with the implementation of vice presidential changes contained in President Kirwan's report "Organizing for Success" distributed November 12, 1998. The primary purpose of the reorganization in OAA is to permit the Executive Vice President and Provost to effectively manage the additional responsibilities assigned to the Provost as detailed in the President's Report and to continue to take action to advance the University agenda. That agenda is to:

Increase the quality and national reputation of academic programs.

Enhance the quality of the undergraduate experience and the quality of life of all students.

Become an exemplar within higher education for the success of the University's commitment to diversity.

Expand outreach and engagement activities to better respond to the needs of the communities the University serves.

Given our focus, the new structure must accommodate new reporting relationships and realign the responsibilities of Vice Provosts to establish accountability within the Office of Academic Affairs for implementing the University agenda. A genuine effort has been made to keep the addition of new administration positions to a minimum.

I propose to move quickly with the reorganization of responsibilities in OAA. The needs of the University community demand decisions and actions. Important decisions will be made this year including such matters as how we do budgets, which areas to invest in selectively, and how we will respond to the recommendations of the Research Commission Report and the Library Task Force.

This process has benefited from the advice of Deans, Senate leaders, Vice Presidents, staff, students and other colleagues. With this new organizational structure and the continued service of an extraordinarily talented and dedicated staff, I am confident that the Office of Academic Affairs can meet its responsibilities in successfully supporting the goals of President Kirwan's reorganization plan.

This new structure includes 2 new positions (a Vice Provost and a CIO), elimination of 1 position (an Associate Provost), and 8 positions that are redefined in some way. Four current positions remain unchanged: 2 Vice Provosts - The Vice Provost for Graduate Studies and Dean of the Graduate School and Vice Provost for Minority Affairs; the Assistant Vice President for Enrollment Services; and the Faculty Fellow.

Attached is a one page appendix that lists additions, deletions and changed staff positions. Details of the proposed structure and position descriptions follow. The numbers correspond to the attached appendix.

- #1 Executive Vice President and Provost. The Senior Vice President and Provost assumes this new title with expanded responsibilities as defined in President Kirwan's report.

- #2 The position of Senior Vice Provost has been vacant for the last 10 months. That position will be filled and designated as the Senior Vice Provost for Academic Administration. While the Senior Vice Provost will continue to serve as the chief deputy to the Executive Vice President and Provost, the Senior Vice Provost will perform substantial coordination and liaison work with the Office of the Vice President for Agricultural Administration, the Vice President for Health Sciences, and the Office of the Vice President for Research and will be responsible for implementation and oversight of the Selective Investment/Academic Enrichment program and other central initiatives. L. Alayne Parson, who currently serves as Vice Provost for Academic Program Coordination and Initiatives, will assume the Senior Vice Provost position, effective immediately.

#3

The Vice Provost for Academic Policy and Personnel will perform substantial coordinating and liaison work with the Office of Human Resources and therefore will be redesignated the Vice Provost for Academic Policy and Human Resources. Nancy M. Rudd, Vice Provost for Academic Policy and Personnel, will serve in the expanded role of Vice Provost for Academic Policy and Human Resources, effective immediately.

Larry M. Lewellen, the Associate Vice President for Human Resources, will report to the Executive Vice President and Provost. For the remainder of FY99, to facilitate the implementation of the A.R.M.S. General ledger and procurement package and provide for a thoughtful assignment of Human Resources functions between the Office of Finance, Business and Administration and the Office of Academic Affairs, the Associate Vice President for Human Resources will have a dual reporting line to the Executive Vice President and Provost and the Senior Vice President for Finance, Business and Administration.

#4, 6

Elements of two current positions, the Vice Provost for Academic Program Coordination and Initiatives and the Associate Provost for Assessment and Institutional Cooperation, will be combined in the position of a Vice Provost for Curriculum and Institutional Relations. W. Randy Smith, who serves as Associate Provost for Assessment and Institutional Cooperation, will be appointed Vice Provost for Curriculum and Institutional Relations, effective immediately, and the Associate Provost position will be eliminated.

#5, 11

The Vice Provost for Undergraduate Studies will assume the expanded title of Vice Provost and Dean of Undergraduate Studies. Martha M. Garland, who currently serves as Vice Provost for Undergraduate Studies, will assume this expanded role. The change in duties will be effective immediately and the change in title will be effective on approval of the Board of Trustees. In close collaboration with the Vice President for Student and Urban/Community Affairs and working with a number of other offices across the University, she will coordinate efforts to enhance the student's academic experience at Ohio State. Joining her will be Mac A. Stewart, who has accepted a newly designated joint appointment as Associate Provost for Undergraduate Studies and Dean of University College. Drs. Stewart and Garland, in collaboration with the Office of Student Affairs and

the degree granting colleges, will especially focus their efforts on enhancement of academic advising and on university orientation.

#10 A new position in the Office of Academic Affairs associated with implementation of the President's report is the position of Vice Provost for Budgets and Planning. The responsibilities for this position were previously shared by Alayne Parson and myself, who are assigned other duties under this reorganization. This person will assume primary responsibility for working with the Provost, Senior Vice President for Finance, Business and Administration and other staff on such issues as budget restructuring and the allocation of financial and space resources. An internal search will commence immediately following Board approval of the position.

#7, 8 The President's report calls for the appointment of a full time CIO for the University. This is an action that is long overdue. The CIO will report directly to the Executive Vice President and Provost and have oversight responsibility for all aspects of management and coordination of information technology at the University. The Director of UTS will report directly to the CIO.

James F. Davis, who currently serves as Associate Provost and Director of UTS, will serve as Interim CIO. A national search for a permanent CIO will commence immediately following Board approval of the position. The Associate Provost position will be eliminated. Jim will develop a reorganization plan for UTS to accommodate the newly defined responsibilities of the CIO.

#9 Given our clear need to more effectively communicate and market our academic accomplishments both inside and outside the University community, the Executive Assistant to the Provost will become the Director of Communications for Academic Affairs. David P. Ferguson will fill the redefined position, effective immediately.

William A. (Bud) Baeslack III will continue to serve as Interim Vice President for Research until a national search for a permanent Vice President is completed. The goal for the appointment of a permanent Vice President is Autumn, 1999.

The Vice President for Research will report directly to the Executive Vice President and Provost with a dotted reporting

line to the President. Research is an integral part of the academic teaching and learning process and a defining characteristic of The Ohio State University. Therefore, the Vice President for Research will continue to partner with the Provost in defining and funding academic initiatives. And, the Vice President for Research will take the lead in developing an implementation plan corresponding to the recommendations of the Research Commission Report. Finally, the Vice President for Research will serve as the Vice Chair of Coordinating Council.

The transitions in both staffing and responsibilities will take some time. Please let me know if there are any concerns or issues as we go forward.

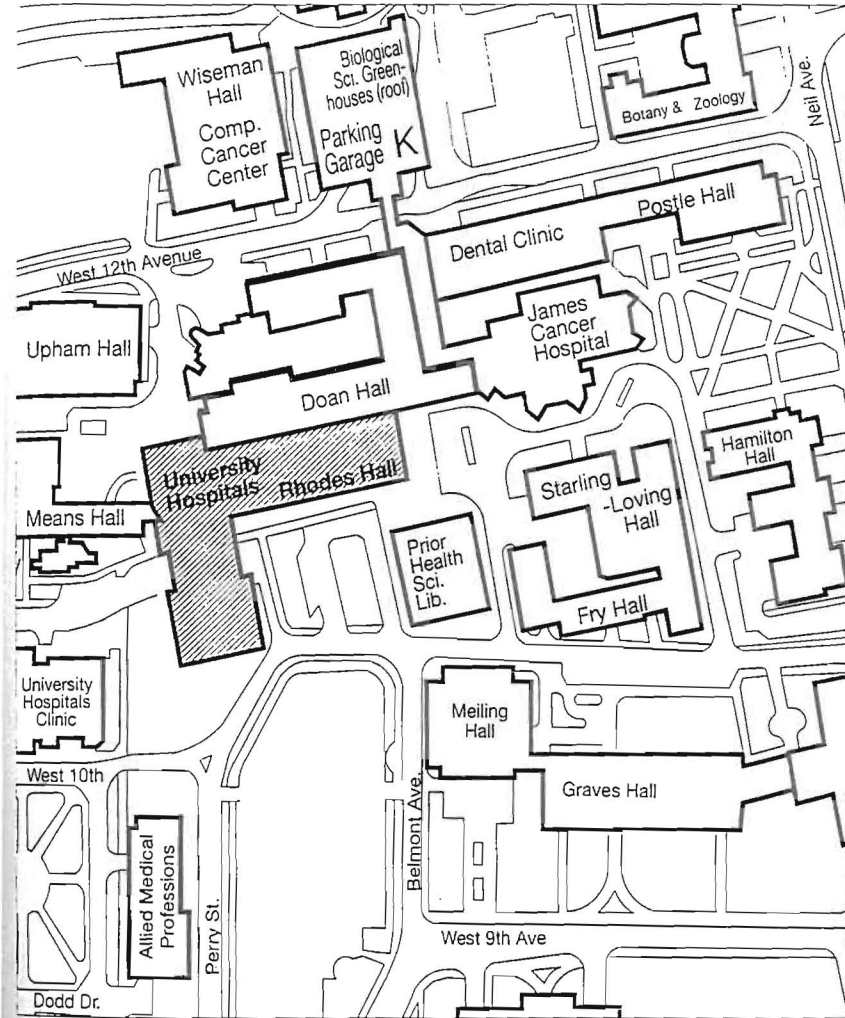
Office of Academic Affairs

APPENDIX

Additions, Deletions and Changes

Current Positions	Proposed Positions
1. Senior Vice President and Provost	1. Executive Vice President and Provost
2. Senior Vice Provost	2. Senior Vice Provost for Academic Administration (Alayne Parson)
3. Vice Provost for Academic Policy and Personnel	3. Vice Provost for Academic Policy and Human Resources (Nancy Rudd)
4. Vice Provost for Academic Program Coordination and Initiatives	4. Vice Provost for Curriculum and Institutional Relations (Randy Smith)
5. Vice Provost for Undergraduate Studies	5. Vice Provost and Dean for Undergraduate Studies (Martha Garland)
6. Associate Provost for Outcomes and Assessment	6. --
7. --	7. Interim CIO (Jim Davis)
8. Associate Provost and Director of UTS	8. Director of UTS (To Be Determined)
9. Executive Assistant to the Provost	9. Director of Communications for Academic Affairs (Dave Ferguson)
10. --	10. Vice Provost for Budgets and Planning (To Be Determined)
11. Dean of UVC	11. Associate Provost for Undergraduate Studies and Dean of UVC (Mac Stewart)
	New Staff support (1.5)

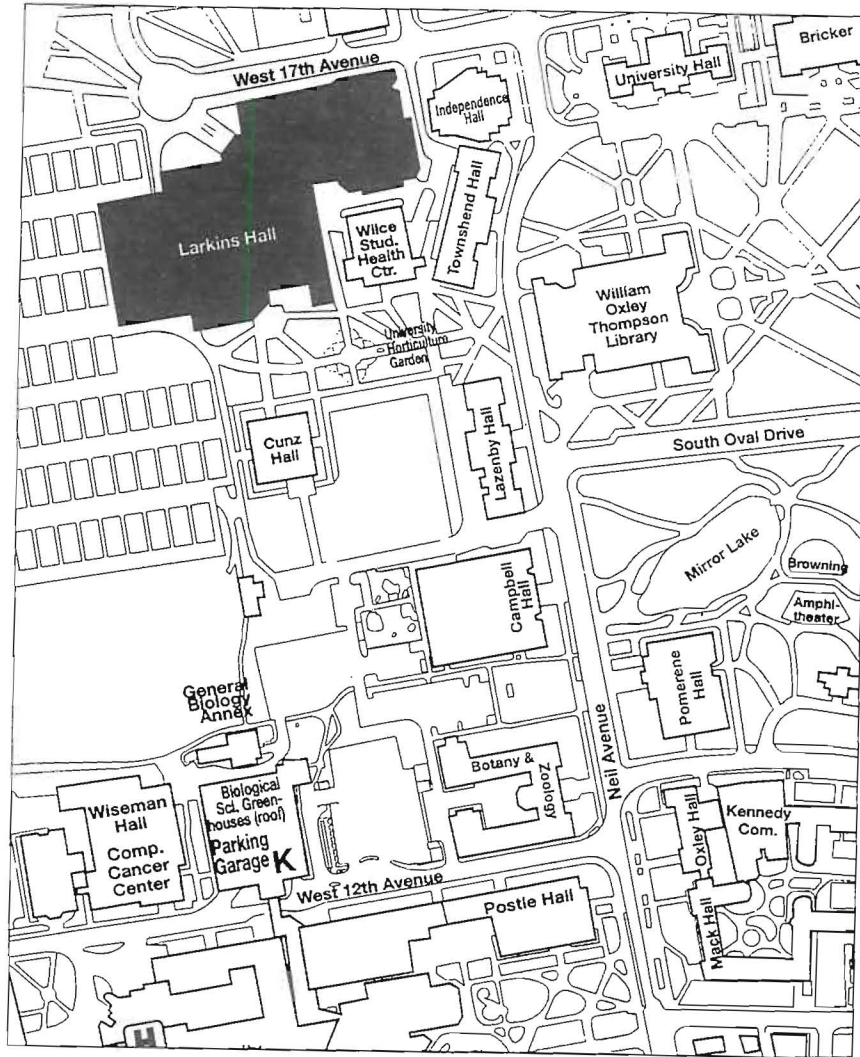
Rhodes Hall 2 West – Cardiac Catheterization



Office of Business and Administration
Office of the University Architect and Physical Planning
November 11, 1998



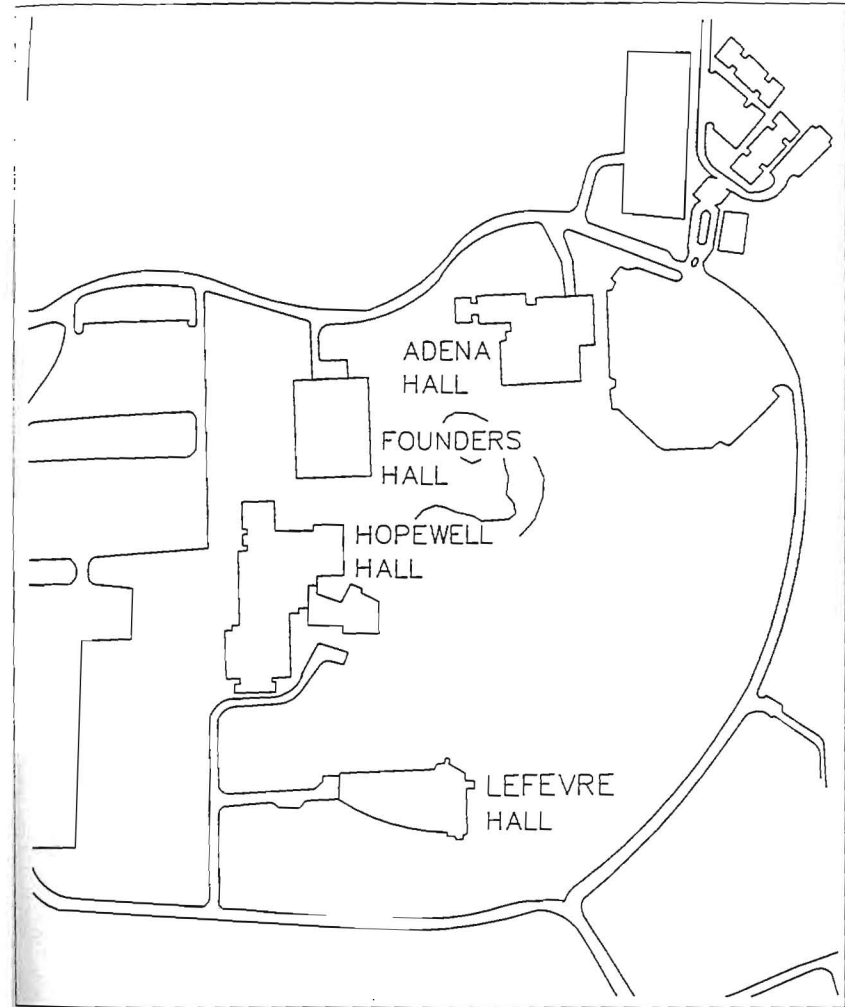
Larkins Hall Renovation / Addition



Office of Business and Administration
Office of the University Architect and Physical Planning
November 11, 1998



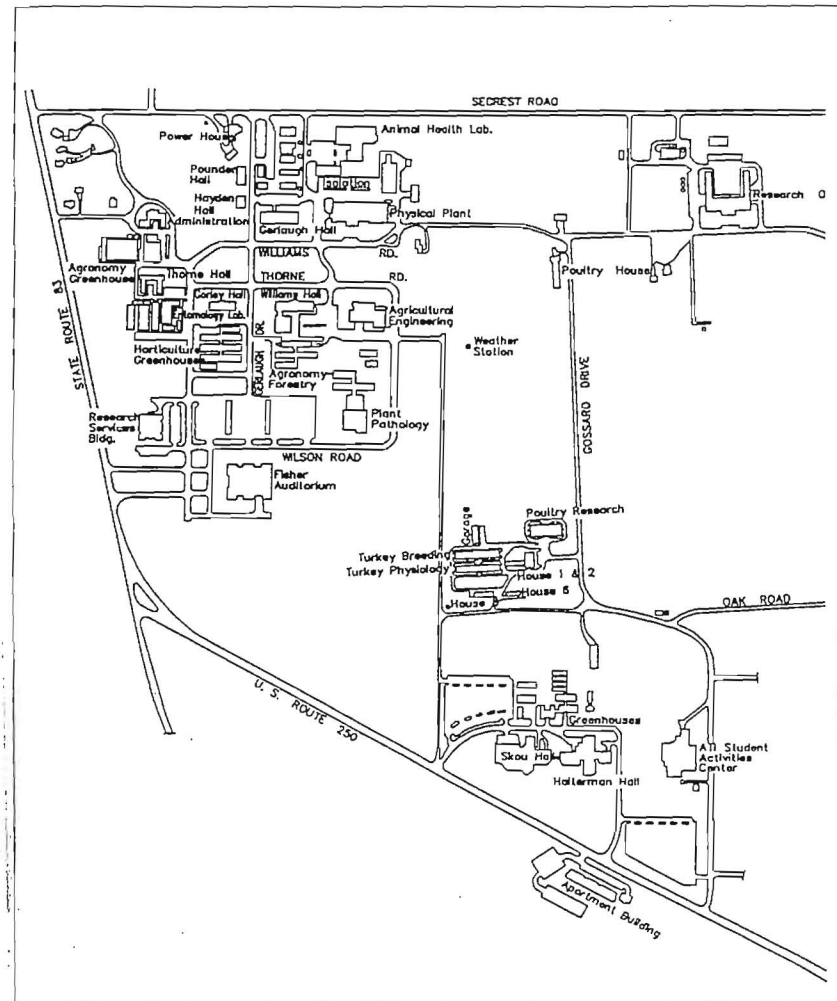
Newark – Founders Hall Science Lab Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
November 12, 1998



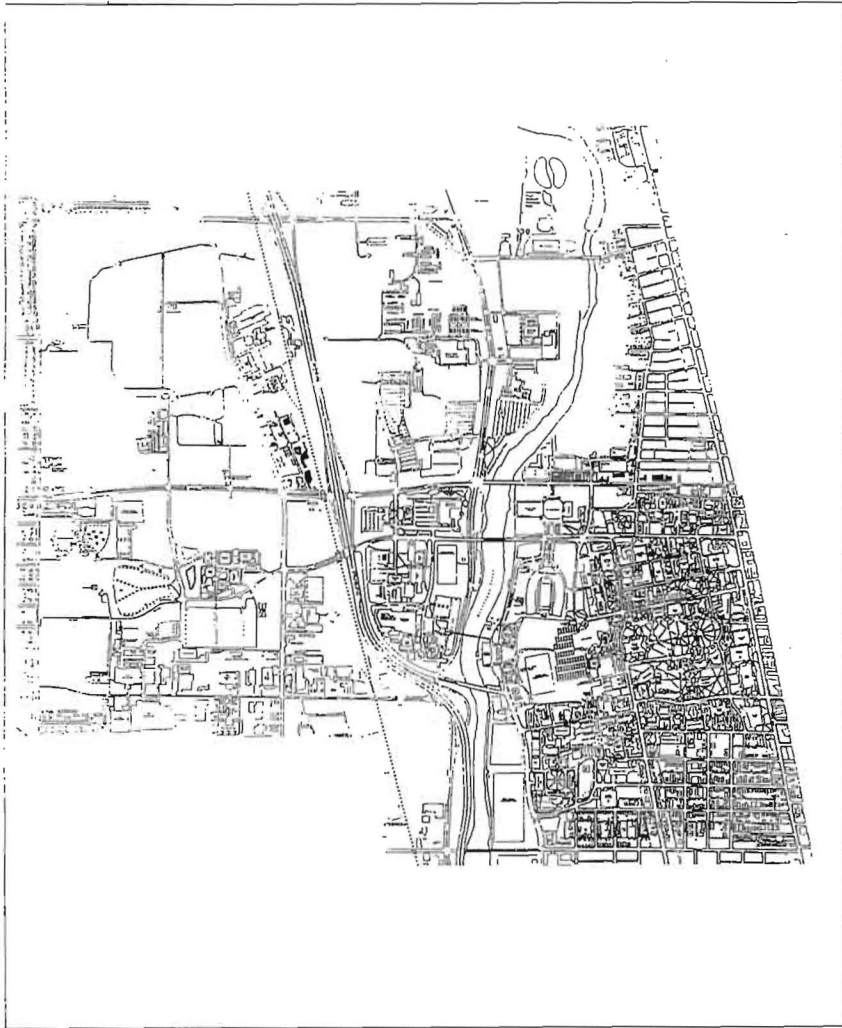
OARDC – FAHRP Animal Building Renovations



Office of Business and Administration
Office of the University Architect and Physical Planning
November 12, 1998



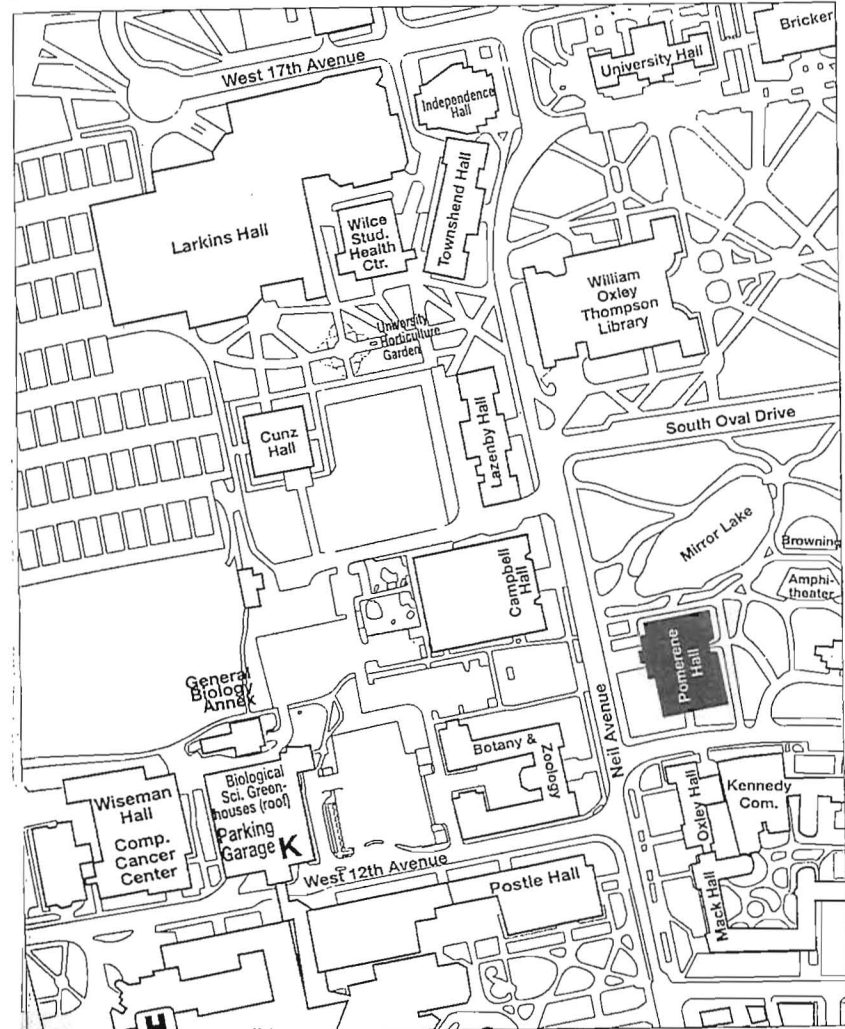
Utilities Upgrade - Lighting Retrofit Phase II



Office of Business and Administration
Office of the University Architect and Physical Planning
November 19, 1998



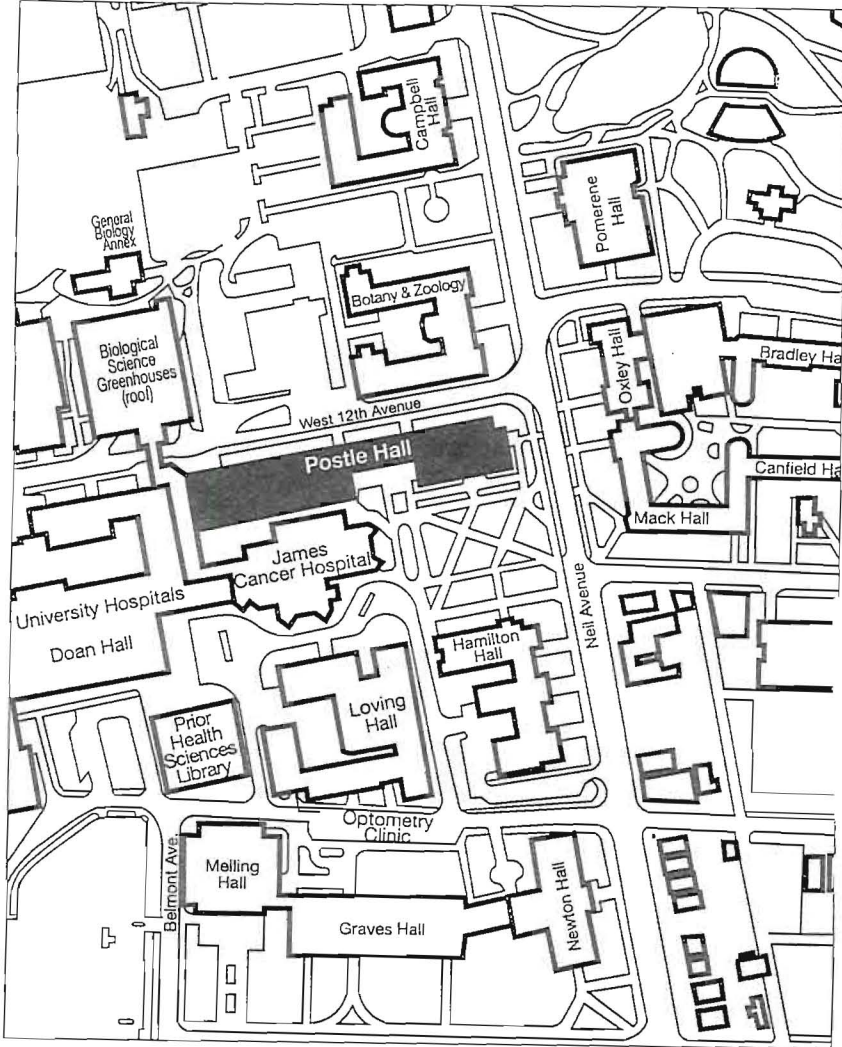
Pomerene Hall - Improvements



Office of Business and Administration
Office of the University Architect and Physical Planning
November 11, 1998



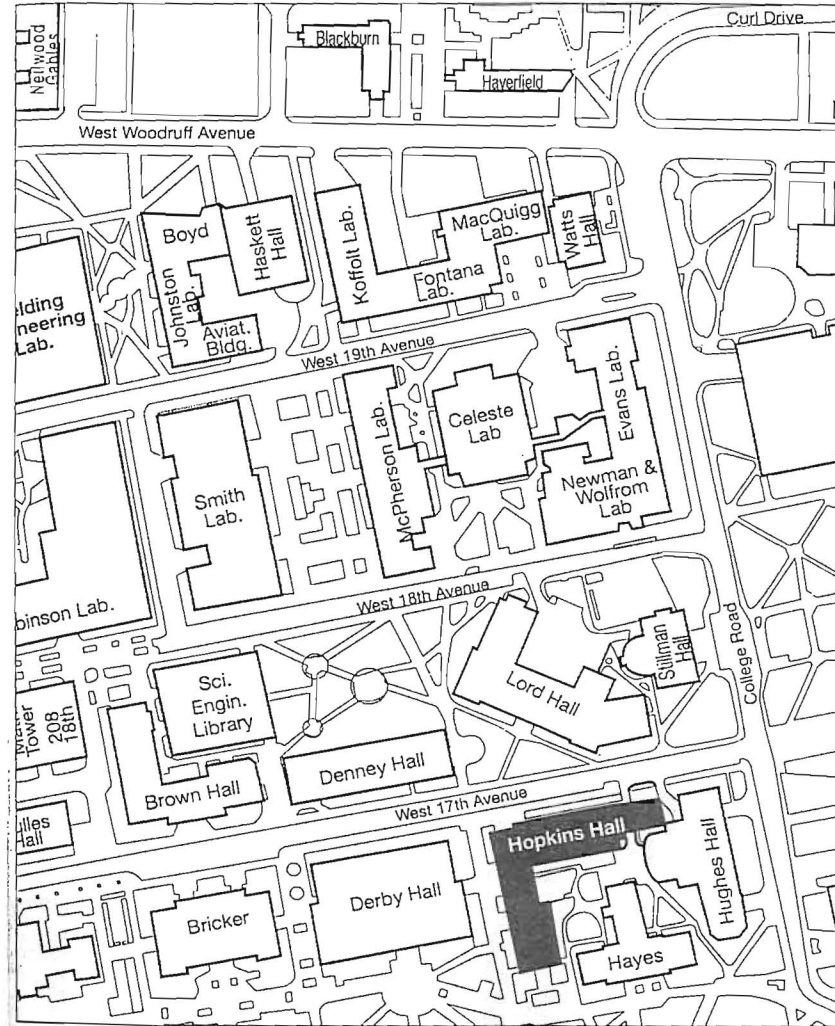
Postle Hall - Roof Replacement



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Office of the University Architect and Physical Planning
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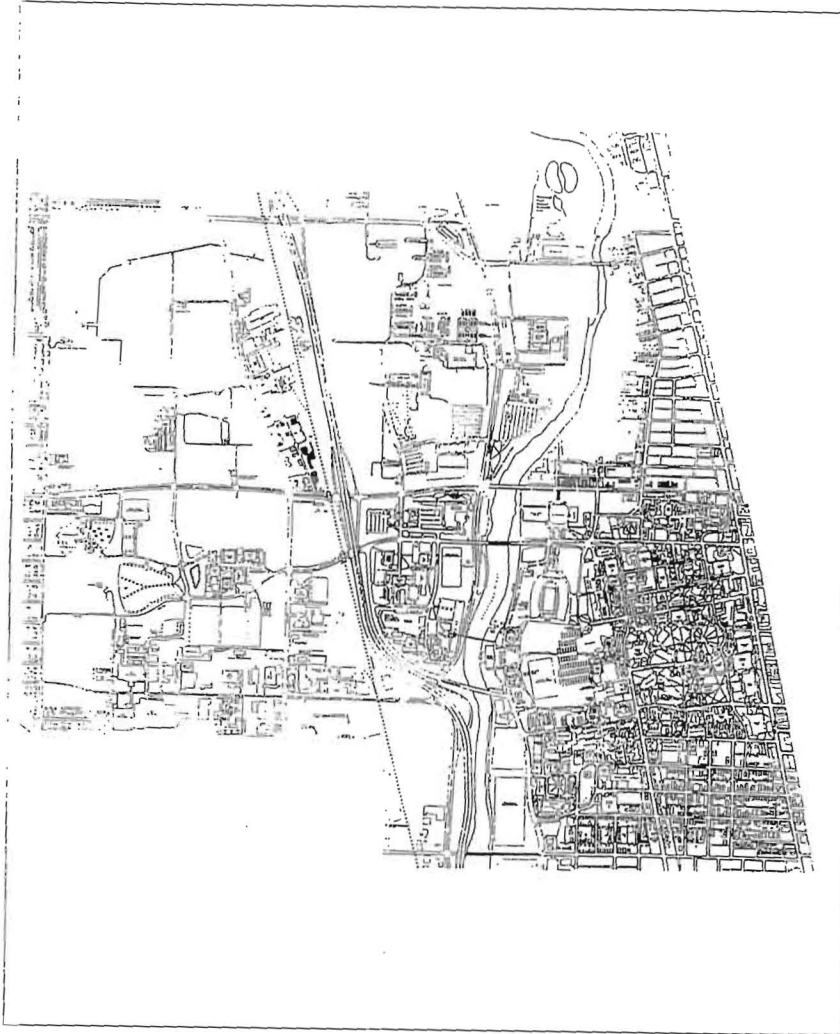
Hopkins Hall - Chiller Replacement



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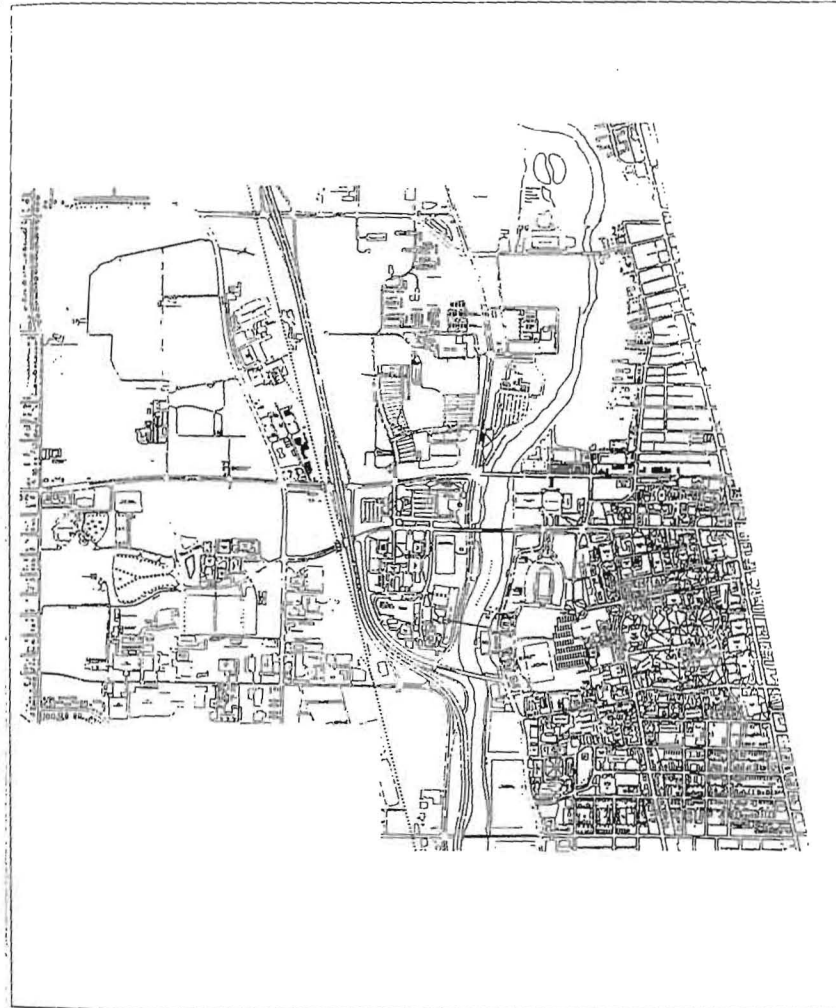
Energy Control Modifications



Office of Business and Administration
Office of the University Architect and Physical Planning
November 19, 1998



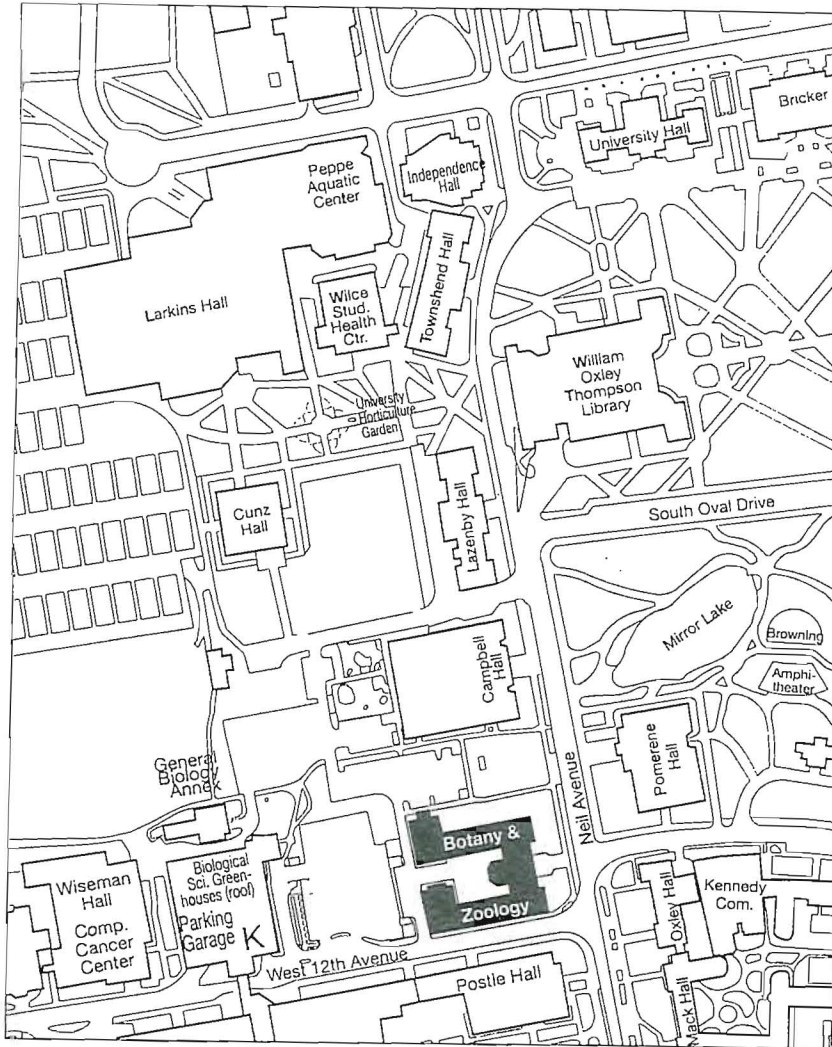
Campus Grounds - Exterior Lighting Phase V



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November 19, 1998



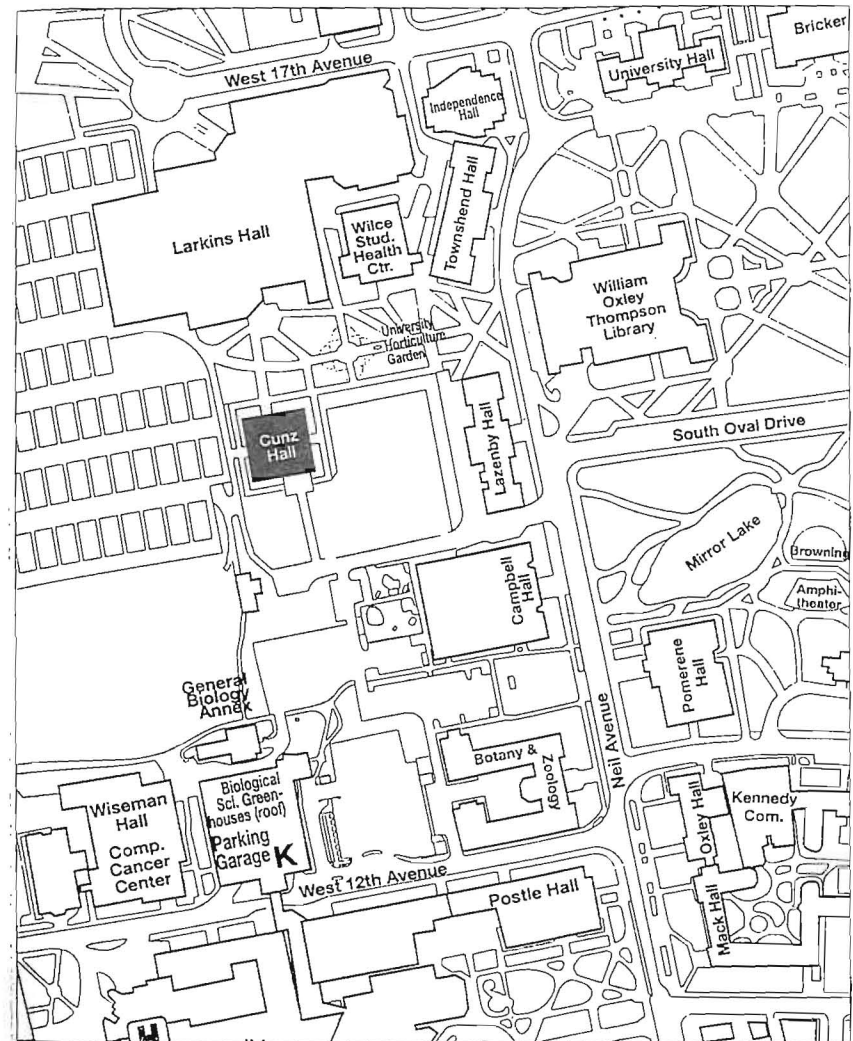
Botany and Zoology Safety Equipment



Office of Business and Administration
Office of the University Architect and Physical Planning
November 11, 1998



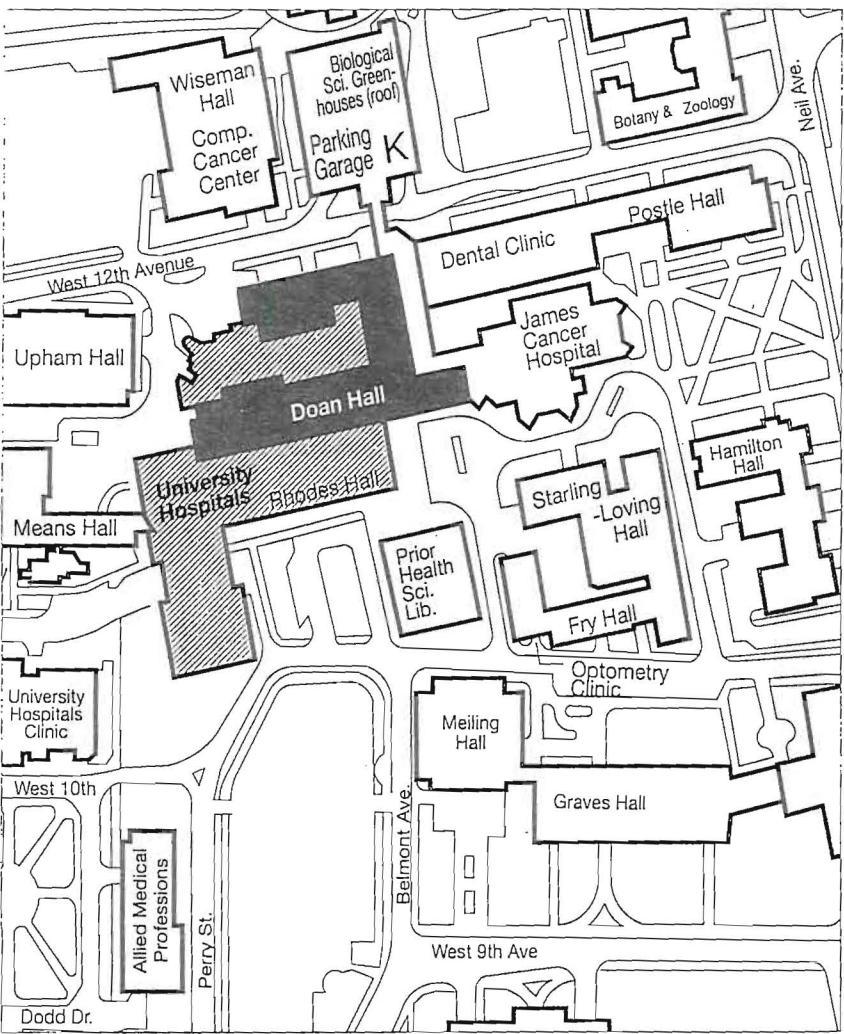
Cunz Hall – Second Floor Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
November 11, 1998



Doan Hall Surgeries



Office of Business and Administration
Office of the University Architect and Physical Planning
June 12, 1997



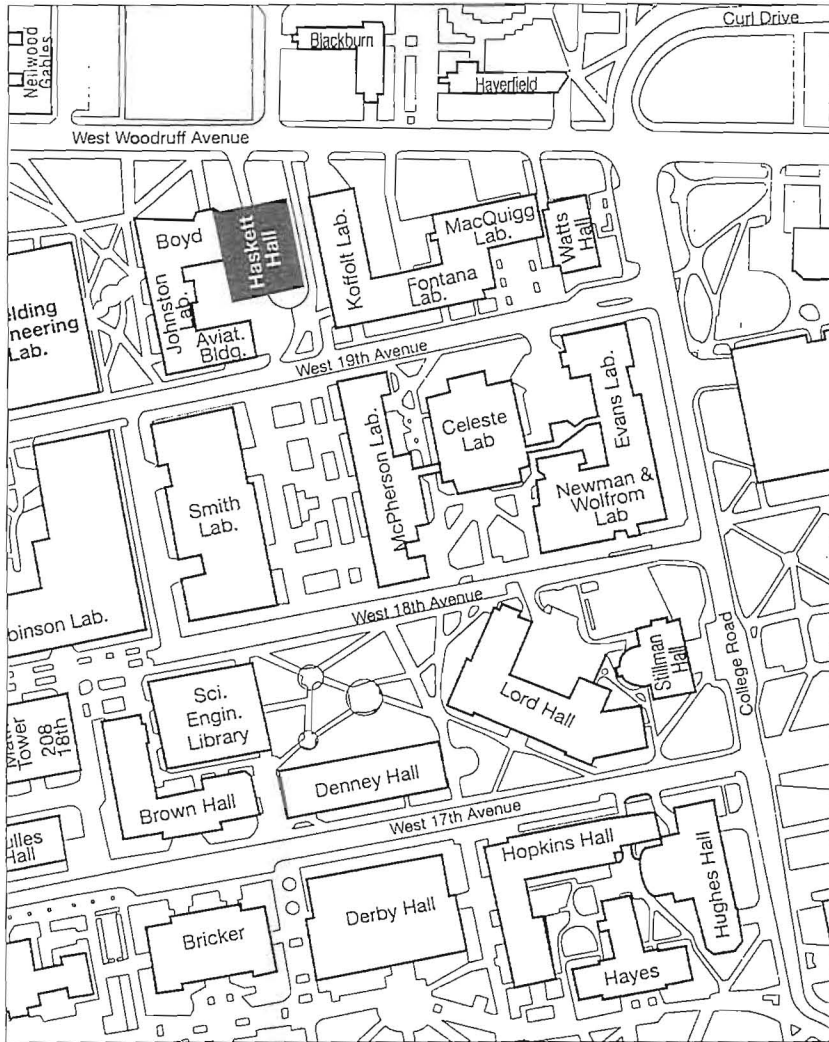
Elevator Upgrades



Office of Business and Administration
Office of the University Architect and Physical Planning
November 19, 1998



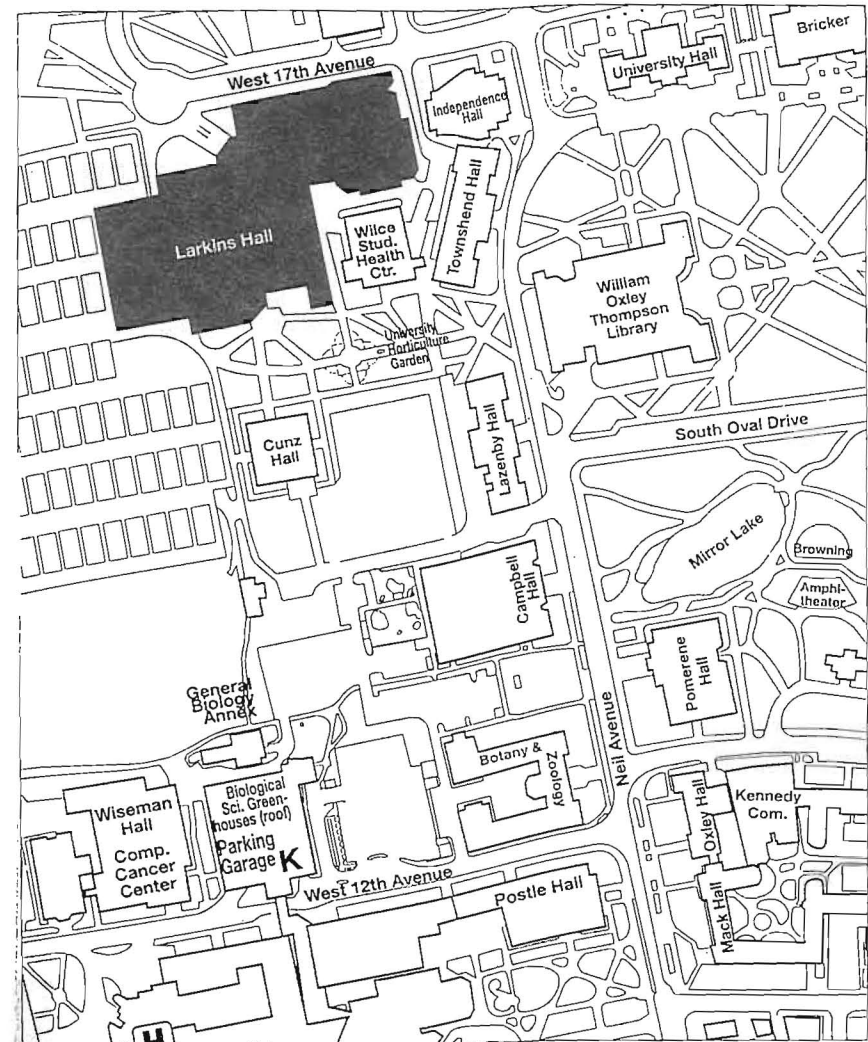
Haskett Hall – Roof Replacement



Office of Business and Administration
Office of the University Architect and Physical Planning
November 11, 1998



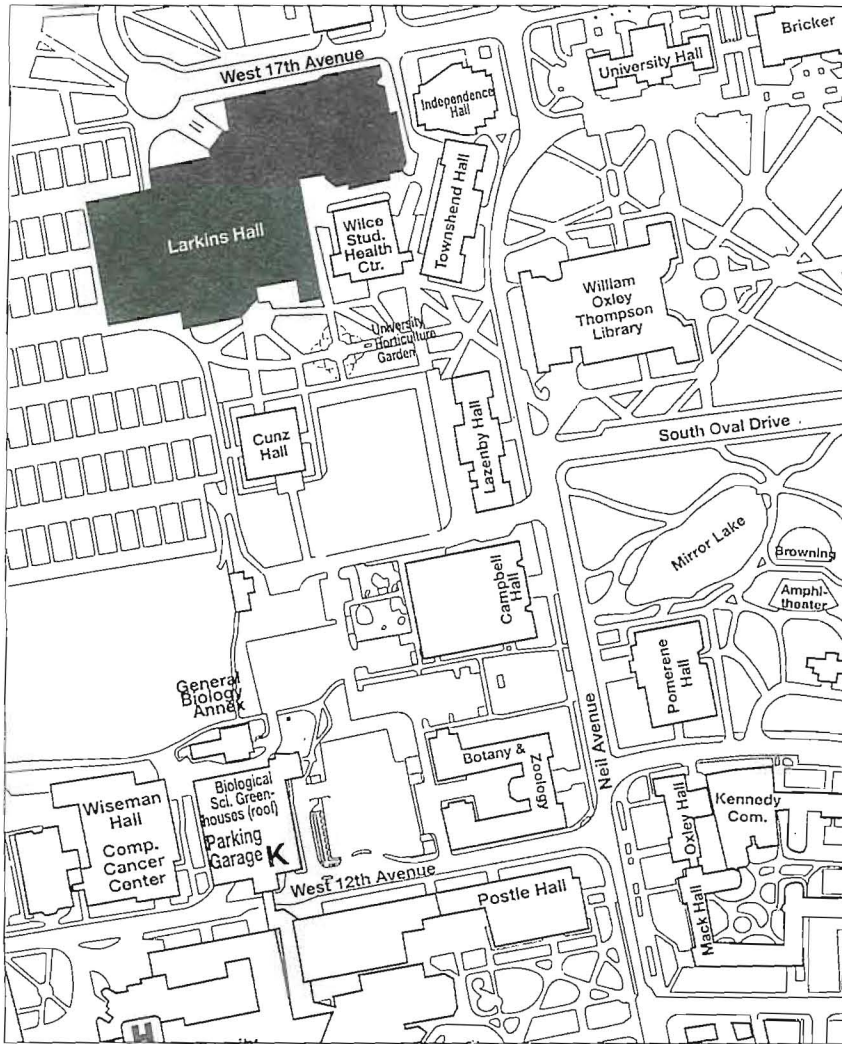
Larkins Hall - Door Replacement



Office of Business and Administration
Office of the University Architect and Physical Planning
November 11, 1998



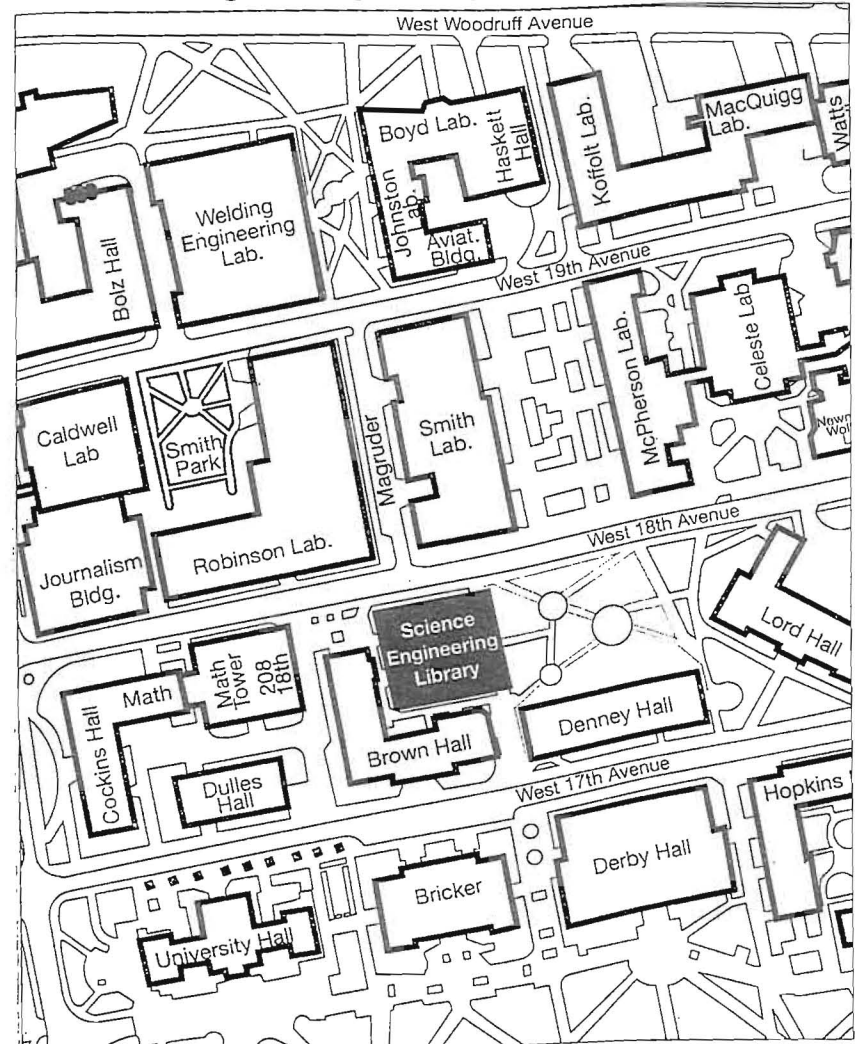
Larkins Hall - Structural Improvements



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November 11, 1998



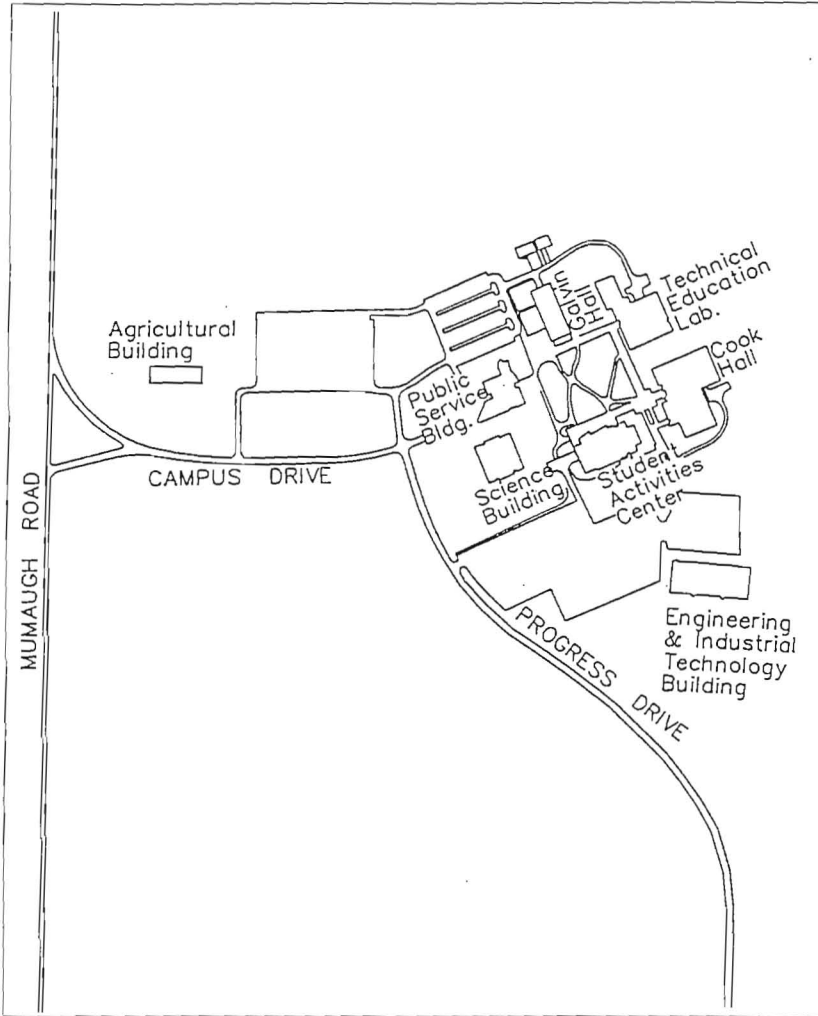
Science & Engineering Library - High Tech Space



Office of Business and Administration
Office of the University Architect and Physical Planning
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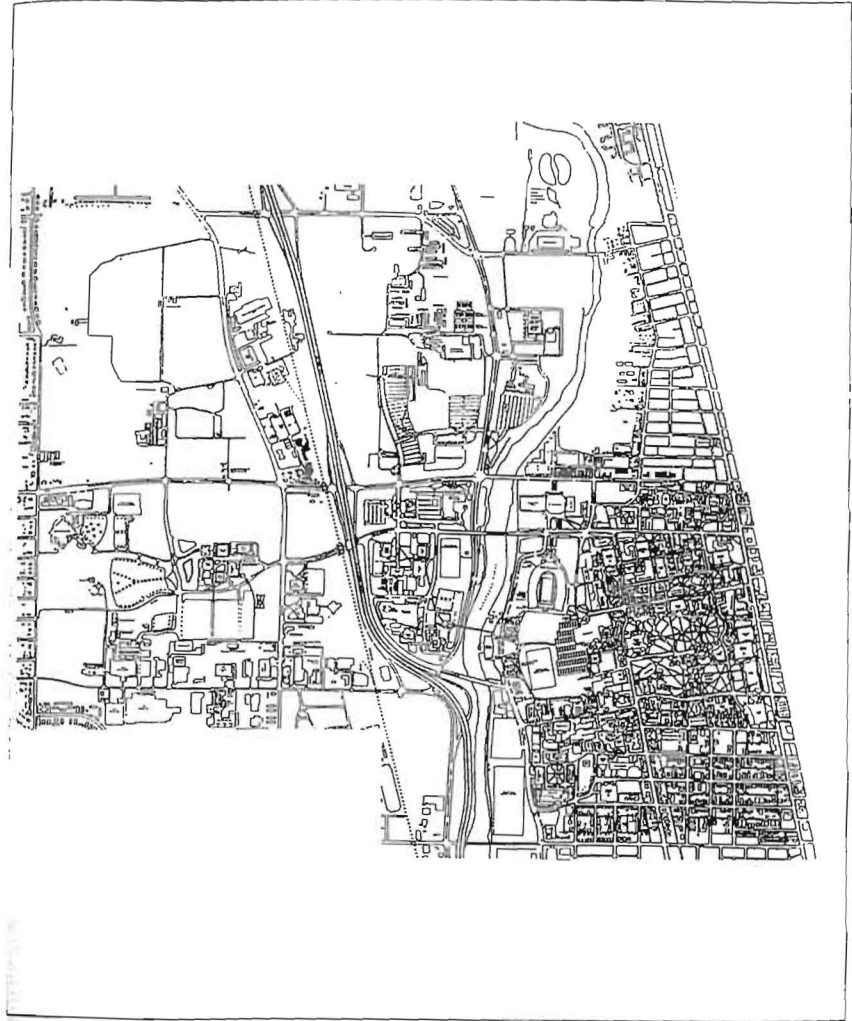
Lima – Quadrangle Sidewalks



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Office of the University Architect and Physical Planning
November 12, 1998



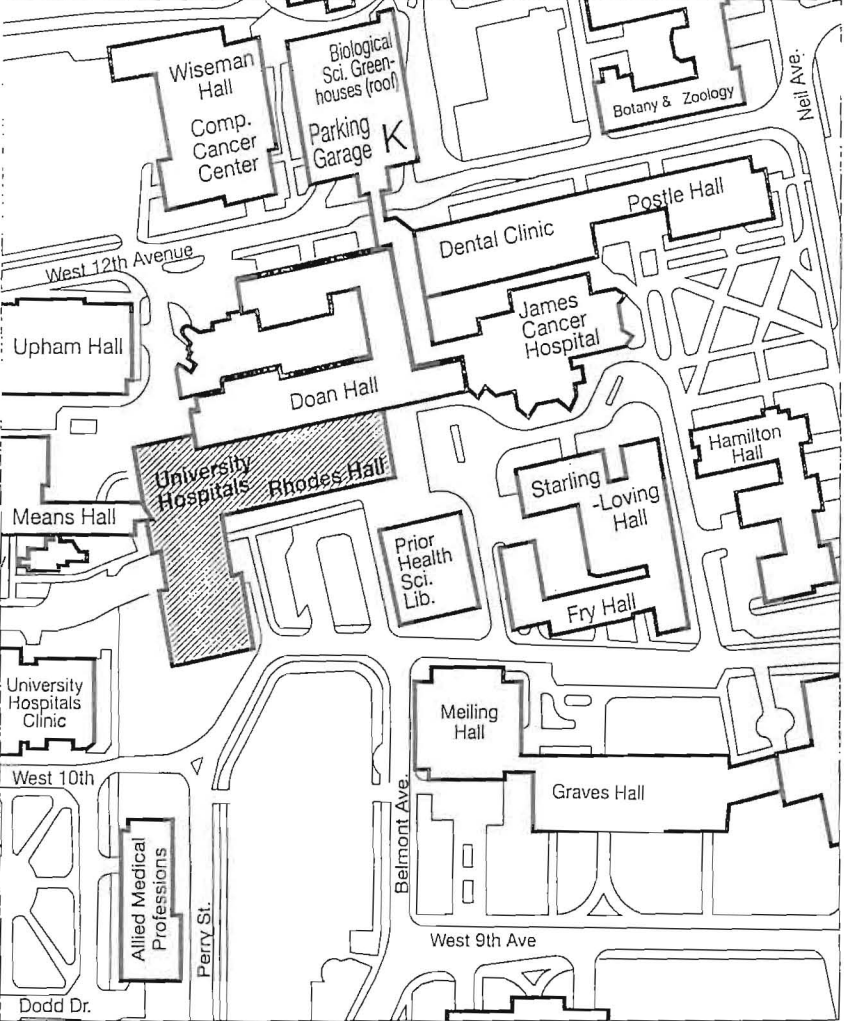
Elevator Upgrades



Office of Business and Administration
Office of the University Architect and Physical Planning
November 19, 1998



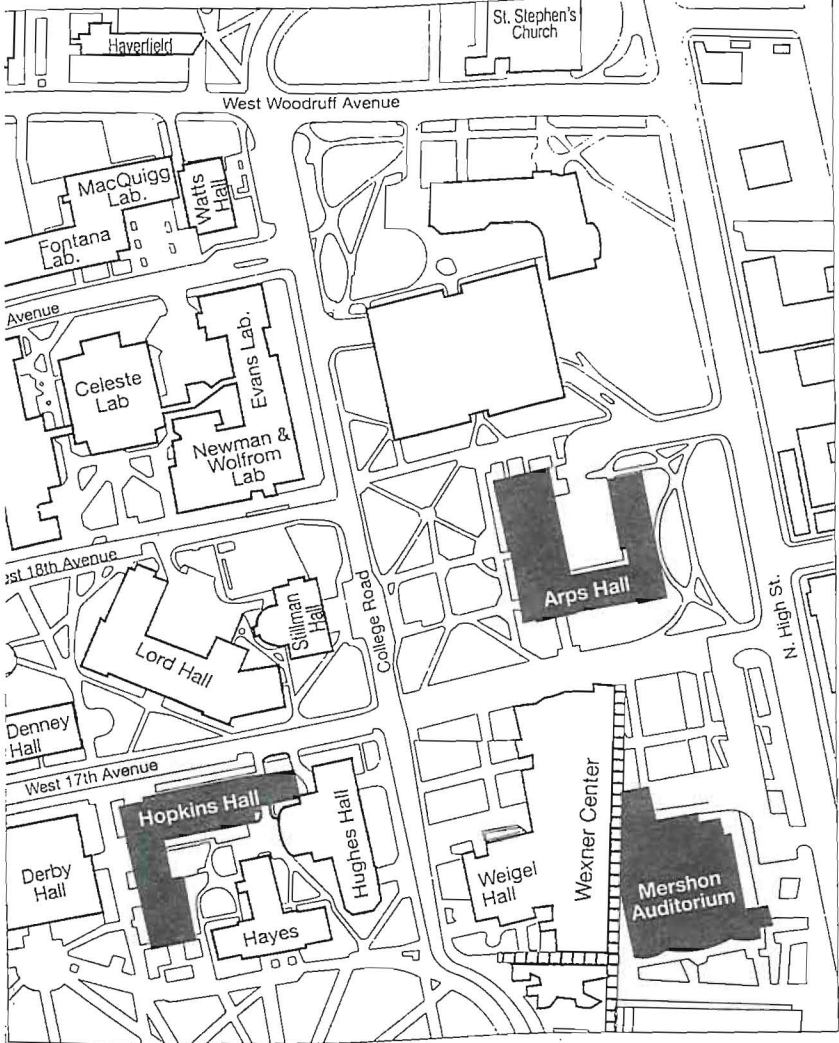
Rhodes Hall - Laboratory Robotics



Office of Business and Administration
 Office of the University Architect and Physical Planning
 January 10, 1998



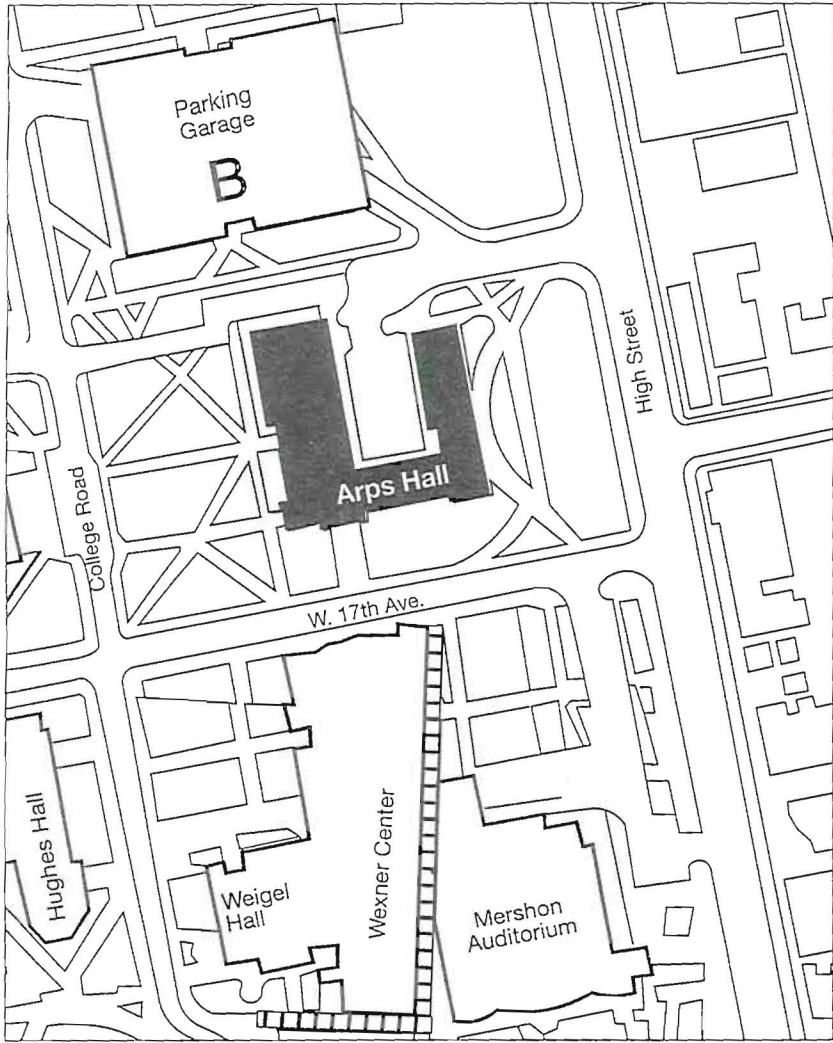
Arps / Hopkins / Mershon - ADA Modifications



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 Office of the University Architect and Physical Planning
 November 11, 1998



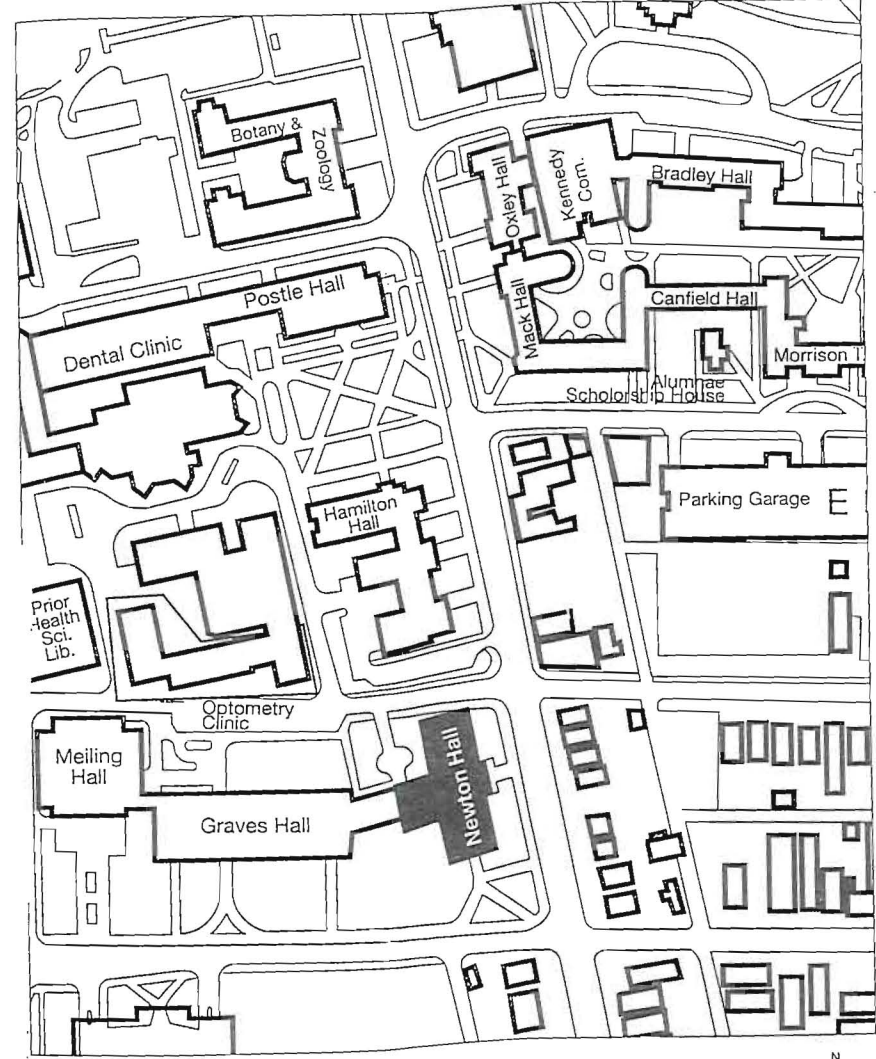
Arps Hall Chiller Replacement



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 Office of the University Architect and Physical Planning
 November 11, 1998



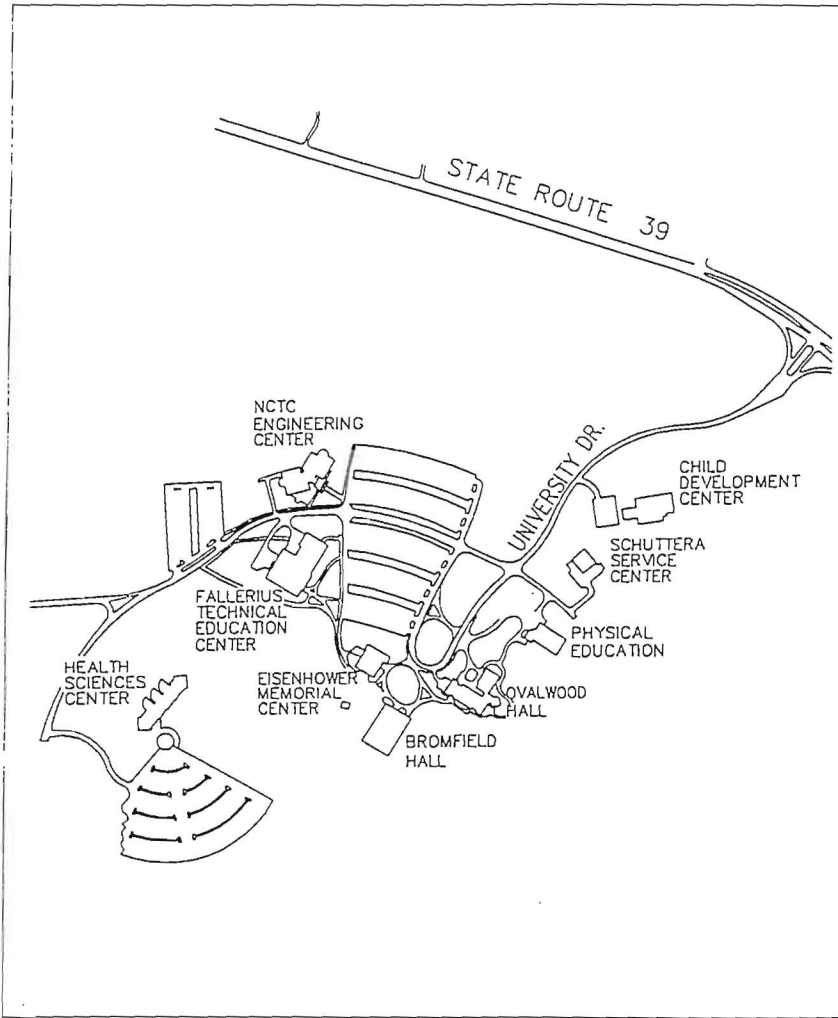
Newton Hall Renovation



Office of Business and Administration
 Office of the University Architect and Physical Planning
 November 11, 1998



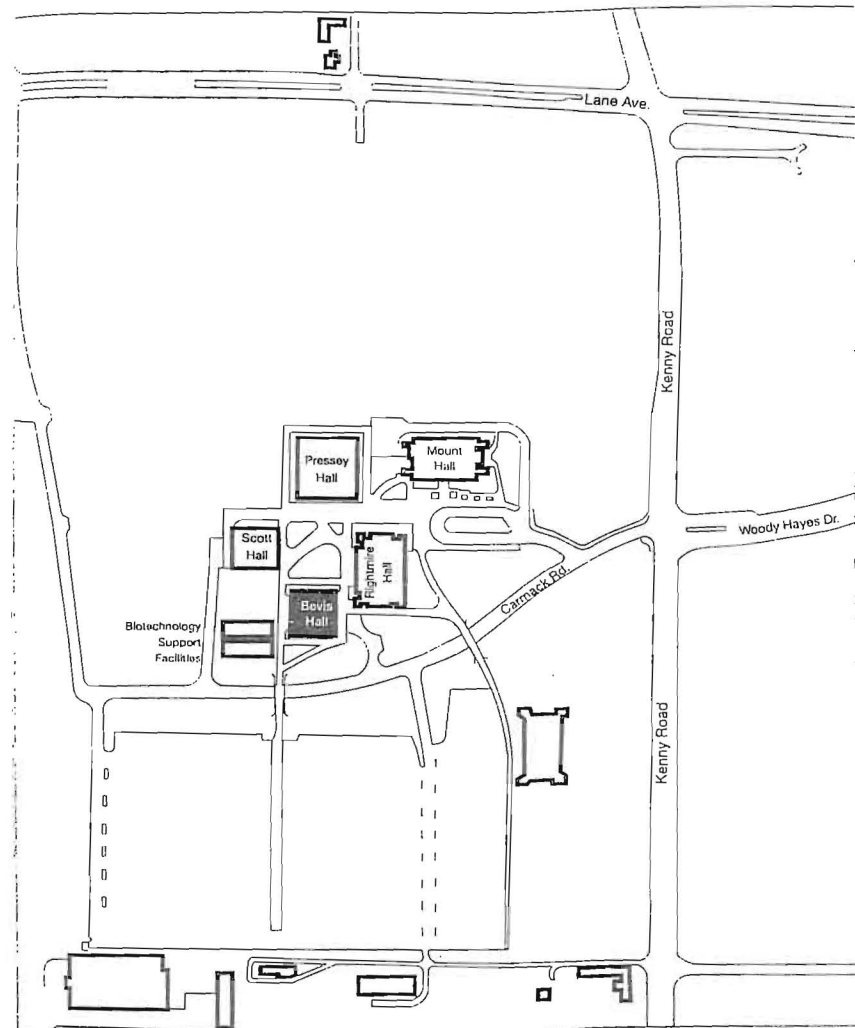
Mansfield Campus - Renovations



Office of Business and Administration
Office of the University Architect and Physical Planning
November 18, 1998



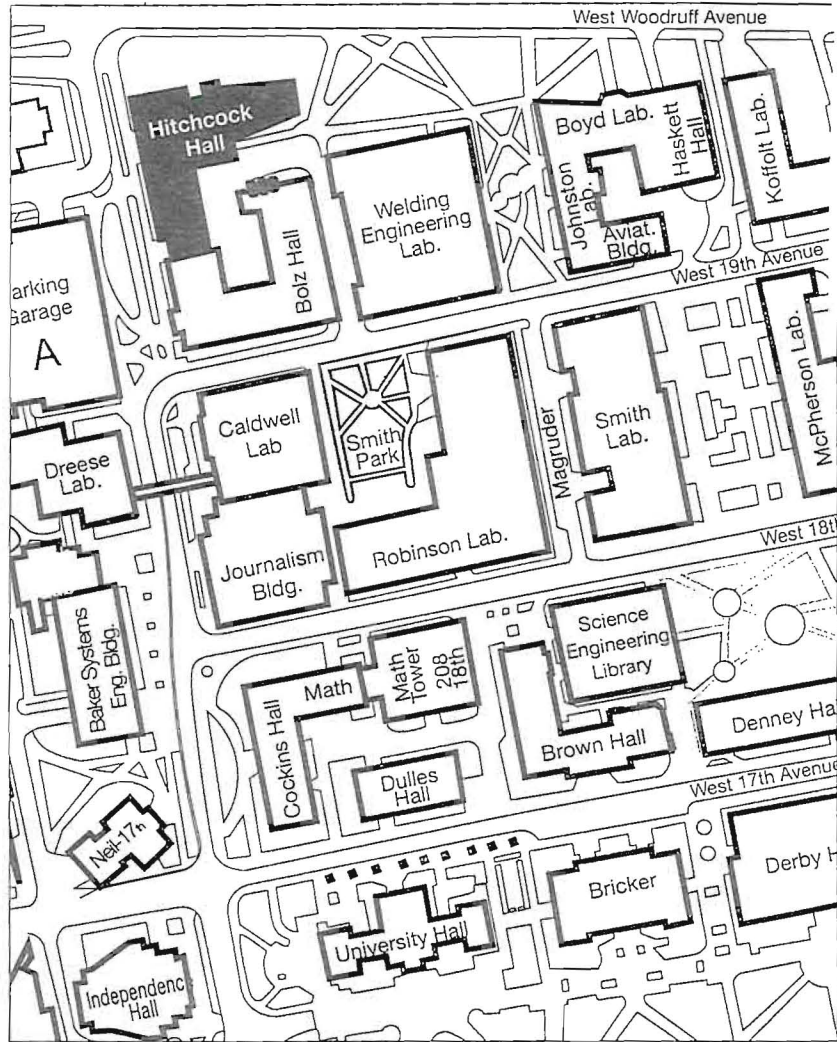
Bevis Hall - Transgenic Zebrafish Lab



Office of Business and Administration
Office of the University Architect and Physical Planning
April 9, 1998



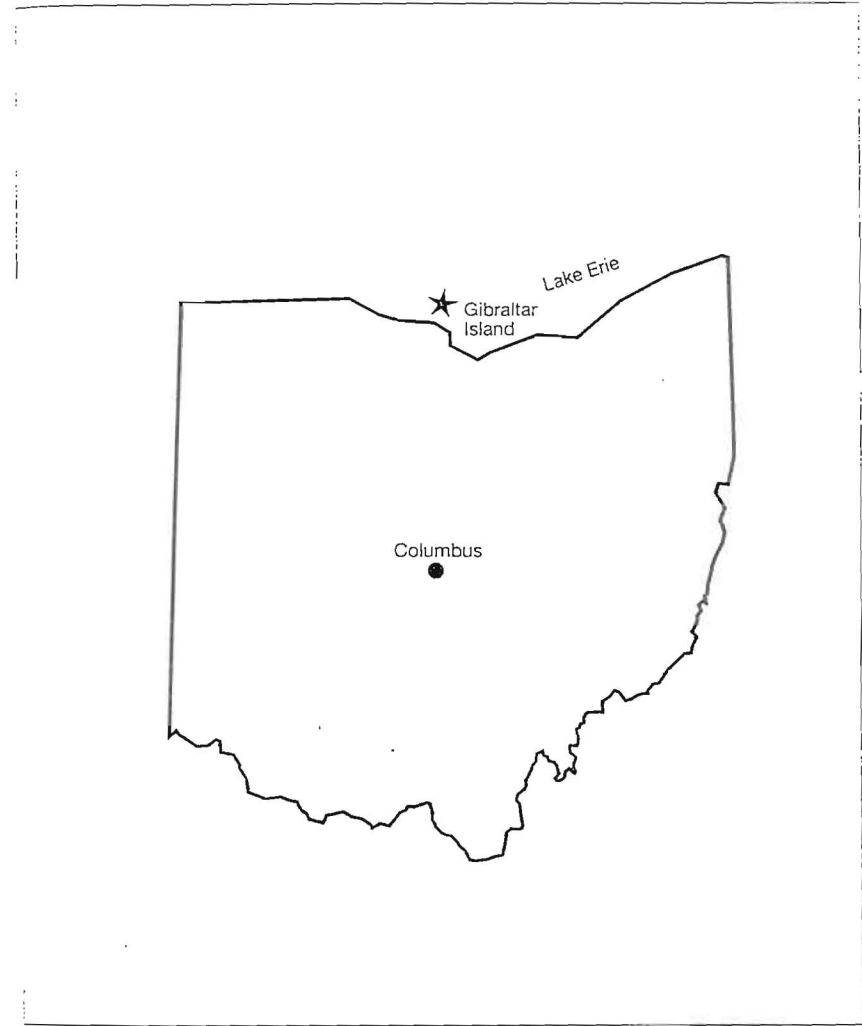
Hitchcock Hall – HVAC Upgrades



Office of Business and Administration
 Office of the University Architect and Physical Planning
 November 11, 1998



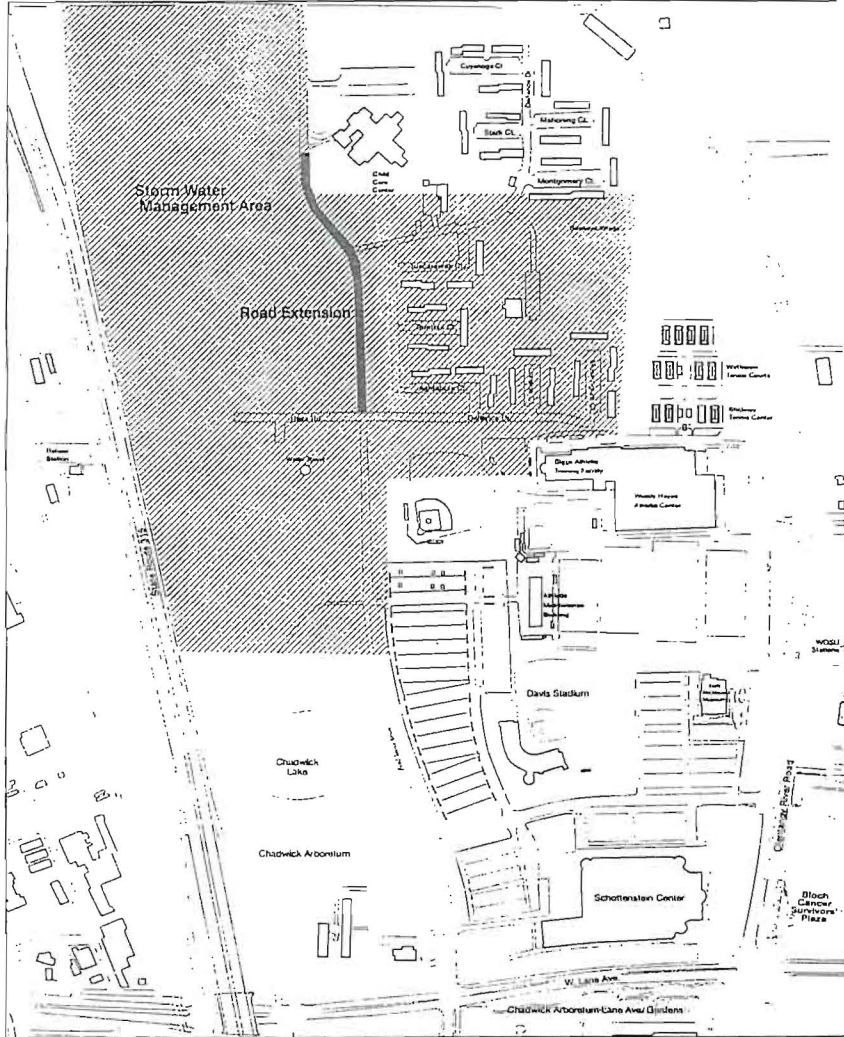
Jay Cooke Residence Roof and Window Replacement



Office of Business and Administration
 Office of the University Architect and Physical Planning
 November 12, 1998



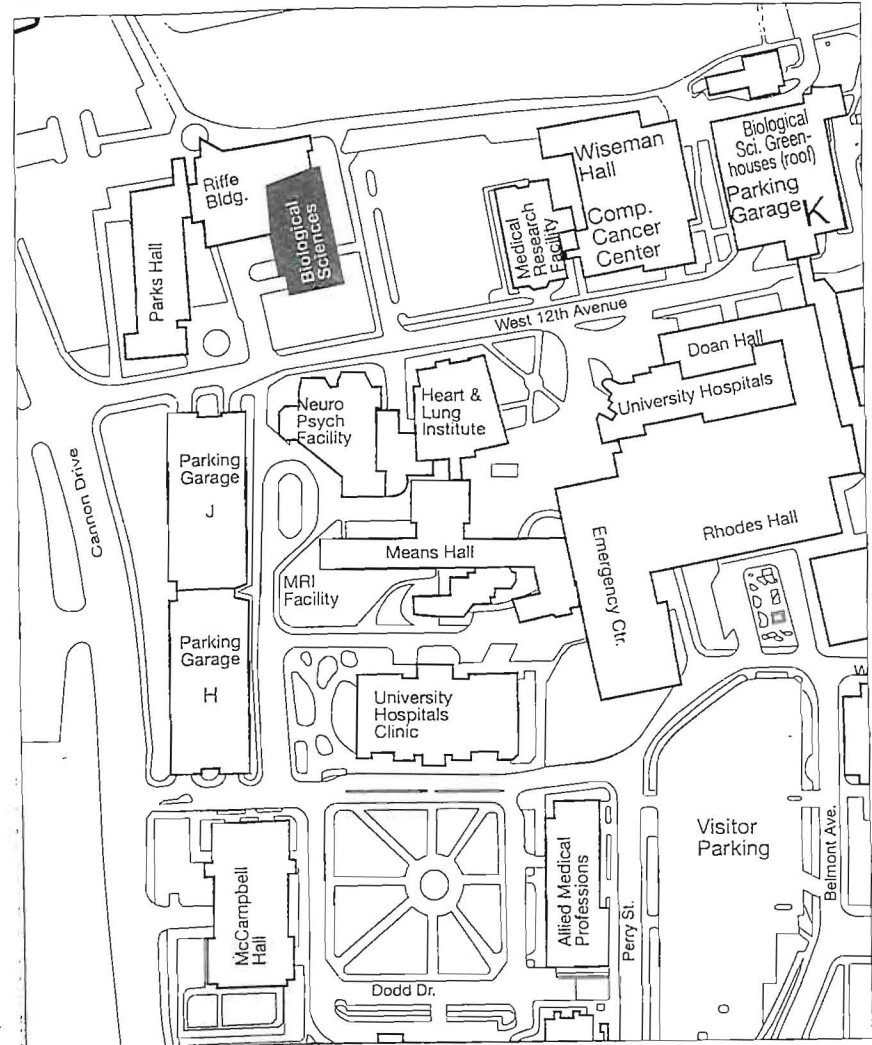
Storm Water Management - Fyffe Road Extension



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Office of the University Architect and Physical Planning
November 11, 1998



OSHA Ventilation - Group 1 (Bio Sci)



Office of Business and Administration
Office of the University Architect and Physical Planning
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THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Non-Current Account Description	Account Number	Transfer	Amount
		From Account Number	
ENDOWMENT FUNDS			
Endowment Clearing Account	070002	010000	5,000.00
Endowment Clearing Account	070002	010100	-5,000.00
Endowment Clearing Account	070002	011085	23,663.93
Endowment Clearing Account	070002	015061	51,121.57
Endowment Clearing Account	070002	031705	18,138.00
Endowment Clearing Account	070002	032714	5,000.00
Endowment Clearing Account	070002	033247	15,000.00
Endowment Clearing Account	070002	033247	1,000.00
Endowment Clearing Account	070002	033247	1,000.00
Endowment Clearing Account	070002	035434	1,740.00
Endowment Clearing Account	070002	036101	2,500.00
Endowment Clearing Account	070002	036533	3,090.31
Endowment Clearing Account	070002	037446	27,169.40
Endowment Clearing Account	070002	037676	5,000.00
Endowment Clearing Account	070002	037765	200.00
Endowment Clearing Account	070002	038309	1,250.00
Endowment Clearing Account	070002	038463	3,000.00
Endowment Clearing Account	070002	038696	26,480.00
Endowment Clearing Account	070002	038727	25,000.00
Endowment Clearing Account	070002	039298	15,000.00
Endowment Clearing Account	070002	050635	395.00
Aphyl Endowment	070122	035790	324.99
Beal Jack L Fellowship	070386	033247	1,191.67
Beal Jack L Fellowship	070386	033519	50.00
Buckeye Pro-Am Scholarship	070936	038034	50,000.00
Kathryn P. Clausen M.D. Scholarship	071297	050512	25,000.00
Davis & Ladd Memorial	071585	011085	8,643.00
Drug Dist/Public Policy	071833	036792	20,000.00
Gano, Bob Memorial	072413	039904	502.50
Hayes Wayne Woodrow	073025	031441	25,000.00
Bowers J./Hunt F. Fellowship	073277	011591	11,804.74
Miller Isabel Scholarship	074992	031705	60.00
Ohio 4-H Foundation	075354	050253	3,465.00
OSU Name & Seal Scholarship	075427	015102	279,667.00
The Pathology Faculty Support Fund	075658	050512	500,000.00
Renal R & D Fund	076015	036839	-22,798.72
Grayce Sills Professorship	076615	019300	25,000.00
Student Org Support	077053	015102	244,987.00

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
ENDOWMENT FUNDS			
Swank Chair Fund	077091	057189	500,000.00
Trott, Richard W. Professorship	077332	032943	8,000.00
WOSU Stations FD	077719	033227	3,863.19
Undistributed Gains	077799	020000	-15,441,585.29
Various Endowment funds			<u>6,997,319.91</u>
Net Transfers from Endowment Funds			<u>-6,533,756.80</u>

THE OHIO STATE UNIVERSITY
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 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
PLANT FUNDS			
Unexpended Funds			
Faa 89-1-3-39-0027-0	082088	016014	623,364.40
Faa 89-1-3-39-0027-0	082088	016014	250,000.00
Alumni Addition	082097	020134	140,000.00
3828/AR Baseball Stadium	082107	016019	250,000.00
College of Business	082110	010000	188,000.00
Marion Library/Class	082120	010956	15,991.70
Lima - Agriculture Building	082121	010928	150,000.00
VA Clinic Purchase	082122	010000	<u>148,374.48</u>
Net Transfers to Unexpended Plant Funds			<u>1,765,730.58</u>

OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Current Account Description	Transfer		Amount
	Account Number	From Account Number	
PLANT FUNDS			
Renewal and Replacement Funds			
Residential Healthcare	085036	019300	-88,988.85
Building Improvements	085047	017200	44,208.00
Room Improvements	085048	017200	241,632.00
Room Contingency Fd.	085049	016004	120,000.00
Room Contingency Fd.	085049	017200	2,434,229.00
Shelton/Oxley Improvement	085050	017200	89,826.00
Student Hall	085059	011085	23173.46
Student Library	085059	011085	0.00
Undergrad Ph 3	085063	012272	800.00
Postle 961209	085065	050249	-4,427.47
Working Lot Repairs	085071	016007	178,598.15
Wilson 960606	085072	010000	-1,158.54
Baseball Light	085079	038696	374,049.50
Class 970313	085080	010000	11,000.00
Class Lab Project 970313	085080	010000	18,460.59
Checker 970202	085081	010000	50,000.00
Checker 970202	085081	010000	70,000.00
Checker 970202	085081	010000	15,370.12
Postle 97502	085082	050249	30,400.00
Agricultural Sci Lab	085084	010000	250.00
McCoy Center	085087	011064	16,000.00
McCoy Center	085087	033808	10,000.00
Empire Walks Installation	085088	010000	7,470.54
Johnson Hall Replacement	085089	015108	400,000.00
Johnson Hall Replace	085089	039766	356,000.00
Johnson PF970630	085091	019300	-53,000.00
Johnson Hall Renovation	085092	010000	100,000.00
71561 Bevis Hall	085094	057171	25,000.00
Johnson 970312	085095	010000	64,600.00
Postle PF961213	085096	050249	134,089.77
University Hall 970609	085098	010000	32,000.00
University Hall 970609	085098	010000	-4,514.86
Johnson Hall 970302	085099	010000	18,818.42
Postle 970610	085100	010000	72,500.00
Campbell Roof Replacement	085101	010000	15,381.07
Stone Feasibility	085102	010000	100,000.00

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Non-Current Account Description	Transfer		Amount
	Account Number	From Account Number	
PLANT FUNDS			
Renewal and Replacement Funds			
Marion Childcare Renovation	085103	010956	30,000.00
R971565 Bricker 203	085104	010000	91,017.13
Postle 970905	085105	010000	69,500.00
Dreese 364662	085106	057374	25,000.00
Dreese 364662	085106	057374	108,000.00
Postle 971208	085107	010000	25,000.00
Postle 971011	085108	035802	25,000.00
Kottman 970202	085113	010000	37,200.00
R97 1567 Stores & Receiving	085114	010000	238,366.20
Campbell 971004	085115	021060	68,000.00
Education Administration - Feasibility	085116	010000	150,000.00
Brown 970903	085120	010000	77,265.00
ATI-Farm Mgmt	085121	036009	30,000.00
1570/AR Old Dreese	085124	010000	70,000.00
1570/AR Old Dreese	085124	022125	160,000.00
1570/AR Old Dreese	085124	026767	100,000.00
Postle 961104	085128	050249	-6,487.11
Bolz Hall	085129	010000	1,464.80
Caldwell Renovation	085131	010000	51,700.00
Kinnear 980104	085132	012126	30,000.00
R971566 Dreese Lab	085133	026767	10,000.00
Caldwell 971104	085135	036284	97,400.00
Smith Lab 971012	085136	010000	43,000.00
Postle 971202	085137	050249	56,000.00
Library (98543)	085140	010000	31,250.00
Postle-Roof Replacement	085152	010000	55,000.00
Food Science & Technology	085154	022254	750.00
Ramseyer 960807	085155	010000	43,000.00
Postle 980412	085156	050249	52,000.00
Class 970704	085168	010000	58,400.00
Derby 980308	085171	010000	34,650.00
DOE 81R52-6549	085172	010000	3,296.84
Vet Hosp Radiology	085198	011046	38,527.02
Vet Hosp Radiology	085198	015108	123,157.00
Vet Hosp Radiology	085198	039011	40,000.00
Stone Lab Res HL R&R	085258	016032	50,000.00

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
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Non-Current Account Description	Account Number	Transfer		Amount
		From Account Number	Number	
PLANT FUNDS				
Renewal and Replacement Funds				
Fawcett Ctr Capital Improvement	085327	016004		300,000.00
Marion Parking/Road	085369	010956		16,020.00
Heart/Lung	085385	019300		118,000.00
3216AR Stadium Paint	085529	016019		55,456.16
CHRI Equipment	085628	019399		15,948.95
Doan Wic-Proj 4154	085653	019300		21.00
Jesse Owens Rec-Intr	085660	039686		30,188.06
Univ Airport-1991	085674	016014		294,944.54
Larkins-Cunz Landscape	085736	038727		4,000.00
1283/AR Archer House	085759	010000		3,045.45
Kottman 970705	085766	010000		46,000.00
4211 RH Emergency	085773	019300		-455,051.57
4219 RH/DN Elevator	085774	019300		1,269.44
4224 Generator Upgrd	085775	019300		1,440,818.52
Keck Transgenic Facility	085788	010000		-120,000.00
OSACF Site Master Plan	085812	019300		-27,540.11
4208 Radiology Dept	085824	019300		-343,500.95
4272/AR Rhodes-Birth	085827	019300		-337,135.90
4254 Rh Roof Replace	085832	019300		-26,047.51
4938 CHRI 028-Lin Ac	085834	019399		7,603.77
4231 Rh Roof Caf/Cth	085843	019300		12,160.00
CHRI Hvac Study	085844	019399		-148,201.75
4276/Rhodes Catwalk	085847	019300		7,354.51
4283 SL Roof-A Wing	085849	019300		-27.75
4163 CHRI Myers Libr	085853	019399		-5,356.58
4956 CHRI Auditorium	085859	019399		-40,817.40
4958 Mobile Mammography	085860	019399		-7,411.00
4281 RH Brick/Parapt	085867	019300		-116,566.56
Integrated Child Center	085869	011086		125,000.00
Mount Hall Equipment Reserve	085871	010000		-297.50
4261 Phys Ther-Dodd	085875	019300		-125,000.00
4285 Rnv RM 1013 Uho	085876	019300		-5,251.54
4288 Eeg/Emg 10W RH	085881	019300		-51,380.13
Exterior Signage4280	085886	019300		-17,454.53
4289 Switchboard	085887	019300		-1,637.25
Dodd Park Fountain Renvovation	085889	019300		-388,145.07

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Non-Current Account Description	Account Number	Transfer		Amount
		From Account Number	Number	
PLANT FUNDS				
Renewal and Replacement Funds				
Women's Center	085890	019300		-273,549.41
MH Elev Shaft 4297	085891	019300		-25,000.00
Quldr ST DN/Jms 4298	085892	019300		-146,772.10
Atrium Improvement 4300	085893	019300		-202,794.88
4296 Line Isol DN OR	085897	019300		6,665.07
Reardon Family Practice-Equipment Reserve	085900	019300		83,017.49
Pressey Transgenic	085901	021095		34,000.00
Pressey Transgenic	085901	050505		25,000.00
4260 2 North Doan	085902	019300		443,206.52
Recr/Intr Fields	085935	010000		235,000.00
Joc Hosp-Minor Renovation	085945	019300		-298,096.89
Small Projects	085946	010000		29,500.00
Small Projects	085946	024794		8,000.00
Small Projects	085946	024823		1,000.00
Small Projects	085946	050564		40,000.00
Doan Hall Roof Replacement	085948	019300		-250,307.92
Klnnear 930	085949	010216		-1.72
James Joc Projects	085950	019399		-1,795,783.49
Gases Upgrade Doan	085956	019300		127,546.41
Parks Hall Renovation	085959	025726		245,900.00
Equine Treadmill	085962	039279		145,000.00
Mount 960304	085965	010000		-58,646.41
RH Brick Repair PH 2	085966	019300		-33,751.78
Caldwell 960301	085968	022125		-230.64
Baker Systems 951003	085970	010000		-14,982.91
Mount 960603	085973	010000		-12,108.70
ISIT-Com Backbone	085978	019399		-236,001.63
Clinic Dial Renovation-4319	085996	019300		-464,219.26
Equipment Reserve University Architect	086804	014010		50,000.00
Plant Reserve-Child Care	086808	011086		125,000.00
CHRI Equipment Reserve	086822	019399		-5,543,719.48
WCVA/Public Safety Equipment Reserve	086823	010000		50,000.00
CHR Equipment Reserve	086826	010000		44,450.00
Parking Facility Reserve	086828	016007		3,955,800.00
Dental Practice Plan	086832	010000		268,456.00
Dental Practice Plan	086832	050249		-271,056.00

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Non-Current Account Description	Account Number	Transfer		Amount
		From Account Number	Number	
PLANT FUNDS				
Renewal and Replacement Funds				
Schottenstein Construction	086843	019399		-1,250,000.00
Schottenstein Construction	086843	022175		200,000.00
Athletics Reserve	086844	016019		140,000.00
Baseball Stadium	086845	039637		-150,000.00
Sports Arena	086847	037676		-3,099,470.28
Plant Fund Photocopy	086848	010000		1.83
Plant Fund Photocopy	086848	018104		8,672.02
Med Center CIP Equipment	086850	019300		-417,465.72
D/P Fixed Asset Reserve	086852	011045		36,500.00
Golf Course Improvement	086853	016019		300,000.00
Gtrl IDC Recovery	086855	010000		406,615.00
Gtrl IDC Recovery	086855	010000		396,015.00
Gtrl IDC Recovery	086855	010000		64,186.55
Capital Projects	086899	010000		-450,000.00
Capital projects	086899	010000		2,130,000.00
Capital Projects	086899	010000		-16,000.00
Capital Projects	086899	010000		-20,000.00
Capital Projects	086899	010000		-47,600.00
Capital Projects	086899	010000		-73,000.00
Capital Projects	086899	010000		-80,000.00
Capital Projects	086899	010000		-350,000.00
Capital Projects	086899	010000		-1,500,000.00
Capital Projects	086899	010000		-10,400.00
Bus & Adm-Equipment Reserve	086905	010000		45,000.00
JTS Equipment Reserve	086906	010000		92,535.31
JTS Equipment Reserve	086906	010000		-67,000.00
JTS Equipment Reserve	086906	010000		-91,222.00
JTS Equipment Reserve	086906	014033		-63,172.00
Treasurer Equipment Reserve	086908	014028		100,000.00
Traffic/Parking Equipment Reserve	086911	016007		69,759.60
Stores-Equipment Reserve	086913	014001		287,000.00
Transportation - Equipment Reserve	086917	016007		315,916.69
Bookstore Equipment Reserve	086918	016201		-155,172.53
Telephone-Equipment Reserve	086919	014007		250,000.00
University Airport Reserve	086921	016014		202,669.45
Bookstore Improvement Reserve	086937	016201		-461,660.76

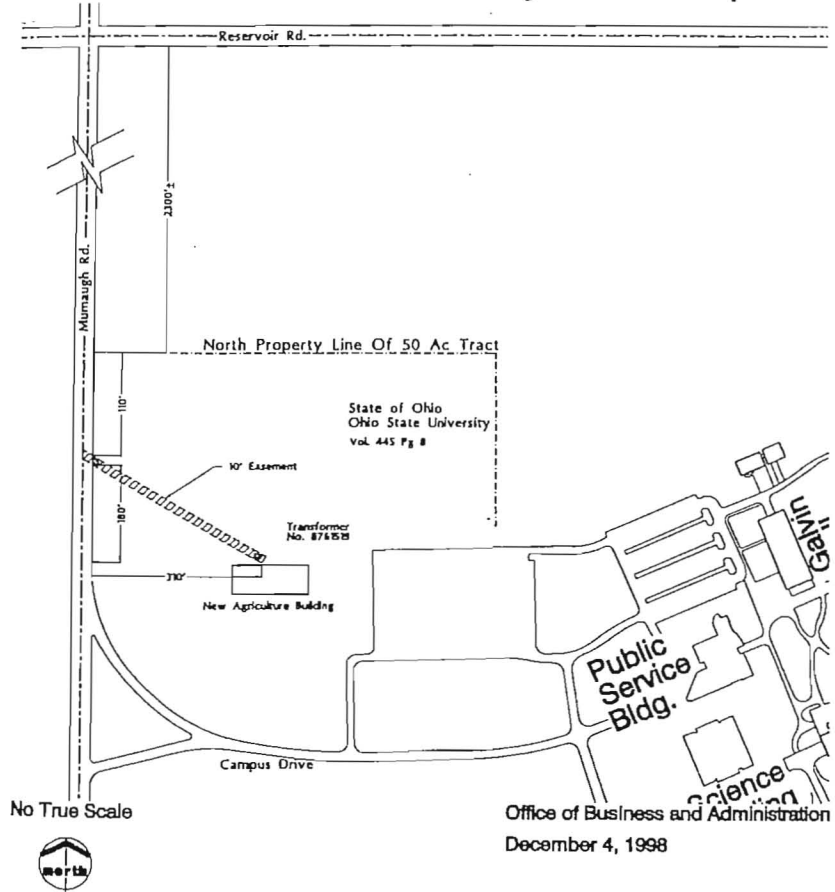
THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Non-Current Account Description	Account Number	Transfer		Amount
		From Account Number	Number	
PLANT FUNDS				
Renewal and Replacement Funds				
Telecom-Equipment Reserve	086938	011064		100,000.00
Mail Service-Equipment Reserve	086941	014027		121,597.05
Stores Improve Reserve	086943	014001		70,000.00
Ohio Union-Improvement Reserve	086963	016020		168,975.96
Lantern - Equipment Reserve	086968	011081		73,969.00
University Health Services - Equipment Reserve	086973	011473		102,625.00
University Health Services - Equipment Reserve	086973	011473		22,000.00
Reprographics - Improvement Reserve	086979	014003		87,237.00
Student Affairs Equipment Reserve	086985	010000		1,959.39
University Hospitals - Capital Equipment	086995	019300		6,905,532.70
Net Transfers to Renewal and Replacement Funds				<u>7,967,353.61</u>

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 1998

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
PLANT FUNDS			
Retirement of Indebtedness Funds			
Internal Bond Reserve	087006	016914	206,582.04
Internal Bond Reserve	087006	037676	117,126.72
Internal Bond Reserve	087006	037676	<u>-402,815.60</u>
Net Transfers from Retirement of Indebtedness Funds			<u>-79,106.84</u>
Net Transfers to Plant Funds			<u>9,653,977.35</u>
NET NON-MANDATORY TRANSFERS FROM CURRENT FUNDS TO NON-CURRENT FUNDS			<u><u>3,120,220.55</u></u>

Proposed Utility Easement for the Ohio Power Company at The Ohio State University Lima Campus



Map Provided by University Engineer's Office



Interim Senior Vice
President and Provost

11/17/98
11/17/98
11/17/98
11/17/98

To: Board of Trustees
From: Ed Ray
Date: November 17, 1998
Subject: Update on the 1994-95 ERI Program

This memo, updates the implementation of the ERI program, with a focus on outcomes for FY98. As indicated in table 1 of the attached material, through the end of FY98, 113 faculty were hired compared to 199 authorized replacement hires allowed for in the original projections in October, 1995. Given the nature of the specialized expertise of faculty by discipline and sub-areas of interest and program efforts to hire faculty of the highest possible quality, it is common for hires to lag behind hiring authority. Delayed hiring increased the net cash position of the ERI through June, 1998 to \$29.47 million compared to the original estimate of \$16.92 million.

To sustain the quality, integrity, and variety of course offerings and program activities during this transition period colleges rehired ERI retirees, part time, to fill key teaching needs. As indicated in table 2, 55 of 258 faculty at the Columbus campus and 66 of 318 faculty overall were rehired during FY98. Those figures compare with rehire counts of 86 and 105 for Columbus and all campuses, respectively for FY97. In short and as expected, the rehiring of key faculty to maintain program integrity is diminishing over time as new faculty join the university. The number of faculty rehires for instructional purposes on the Columbus campus decreased from 71 in FY97 to 43 in FY98. The average compensation of \$5,387 for courses taught compared to a compensation rate per course before retirement of \$14,300. Stated differently, 17% of the retirees on the Columbus campus were hired to teach key courses at a compensation rate equal to about 38% of their pre-retirement salary rate per course taught.

As indicated in previous reports, the program was projected to reduce faculty positions 1.6%, resulting in a \$7.8 million reduction in continuing costs and net cash savings of \$18 million, to be retained in the academic units. The delayed hiring in FY96 through FY98 should increase the net cash flow to the colleges over the life of the ERI program above the original \$18 million estimate.

When the ERI program was announced, we indicated that the greater diversity of the applicant pool should ensure a greater diversity among the new faculty hires relative to retirees. As indicated in table 3, 76 men and 20.5 women faculty retirees have been replaced on the Columbus campus with 69.5 men and 27 women, who, in turn, are more diverse than the men and women faculty they replaced. Specifically, 89.5 white men and women faculty, 3 asian and 4 black faculty members have been replaced by 84.5 white men and women faculty members, 6 asian, 5 black, and 1 hispanic faculty members.

Table 4 summarizes the impact of the ERI on the student/instructional-faculty ratio on the Columbus campus. The immediate impact of the ERI was to raise the student/faculty ratio from 18.76 in FY95 to 20.26 in FY96. As indicated, the student/faculty ratio declined to 19.67 for FY98. Assuming no further decline in student enrollment, the student/faculty ratio should fall to 18.79 at the conclusion of the ERI program, which compares favorably with figures throughout the 1990s. Although, we should remind ourselves that many believe that the student/faculty ratio should be reduced further.

STRS ERI Cash Flow Analysis
FACULTY-COLUMBUS & EXTENDED CAMPUSES

506.1 FTE Applied for ERI
318.1 FTE Retired
1.5% Downsizing in Faculty FTE
\$54,235 Average Replacement Salary
3,157.6 Total Faculty
266.7 # of Replacements
\$34,693 Average Start-Up Cost/FTE
0.0% Rate of Increase in Annual Salaries and Other Costs

ANNUAL RATE	As of 10/21/98					Projected 10/27/95
	FY 1994	FY 1995	FY 1996	FY 1997	FY 1998	
Retired Salary	1,754,266	19,167,542	20,991,808	20,991,808	20,991,808	20,916,808
Retired Benefits	308,397	4,037,469	4,418,136	4,418,136	4,418,136	4,405,866
Replacement Salary		102,024	1,725,812	2,571,300	2,325,479	6,724,615
Replacement Benefits		21,751	354,501	543,387	488,352	1,417,991
NET ANNUAL RATE:						17,180,068
CASH	123,455	3,235,171	20,991,808	20,991,808	20,991,808	66,235,056
Retired Benefits	25,975	692,325	4,418,136	4,418,136	4,418,136	13,865,020
ERI Costs	17,511	17,511	2,742,473	7,250,689	7,207,911	17,236,095
Leave Payouts	304,650	3,492,868	9,000	41.5	43.0	3,805,518
Permanent Replacement Faculty (FTE)		3.0	26	41.5	43.0	113.5
Permanent Replacement Salary		102,024	1,827,836	4,399,135	6,724,615	13,051,611
Permanent Replacement Benefits		21,751	358,252	929,639	1,418,136	2,750,090
Summer Supplemental Salary				43,657	65,899	109,556
Summer Supplemental Benefits				6,725	10,147	16,872
Start-up Costs		5,253	389,207	1,381,198	1,815,369	3,591,057
Temporary Replacement Faculty Salary	10,500	625,795	4,005,045	2,553,295	1,729,225	8,939,861
Temporary Replacement Faculty Benefits	1,617	96,635	616,778	394,747	215,414	1,325,391
Total Cash Costs	334,278	4,365,051	9,976,617	16,969,138	19,167,071	50,831,155
CUMULATIVE NET CASH:	(184,809)	(448,548)	15,433,327	8,440,807	6,222,873	29,465,561

NOTE: An additional 8 ERI replacements were used for non-faculty hires.

Table 2

ERI Rehires
1997-98
(SU, AU, WI, SP)

College	ERI Retirees	Average Salary/ Course	Number of ERI Rehires	Average Rehire Salary/ Course
Arts	19	11,724	2	5,494
			1	Non-teaching
Biological Sciences	10.5	29,629	0	0
Humanities	34.6	10,714	13	5,100
Mathematical and Physical Sciences	22.8	16,137	4	5,321
			2	Non-teaching
Social and Behavioral Sciences	23.7	14,490	1	5,001
Fisher College of Business	9.7	19,054	3	3,500
			3	Non-teaching
Food, Agricultural and Environmental Sciences	14.3	13,680	9	4,095
Education	24.3	10,449	1	3,500
			1	Non-teaching
Engineering	25	13,473	3	10,000
Human Ecology	4	15,610	0	0
Dentistry	12	25,443	3	Non-teaching
Law	5.5	26,822	2	15,000
Medicine	17.5	7,383	1	5,200
School of Allied Medical Professions	6	4,843	4	3,000
Nursing	3.4	N/A	0	0
Pharmacy	3	N/A	0	0
Social Work	3	N/A	0	0
Optometry	1	N/A	0	0
Veterinary Medicine	9.35	N/A	2	Non-teaching
Graduate School	2.5	N/A	0	0
University Libraries	7	N/A	0	0
College Totals*	258.15		55	
\$ Weighted College Average		14,307		5,387
Marion Campus	3	7,976	0	0
Lima Campus	3	N/A	1	3,600
			1	Non-teaching
OARDC	19.6	N/A	0	0
Ohio State University Extension Service	21.2	N/A	0	0
Mansfield Campus	4	8,239	1	3,215
Newark Campus	4	7,832	4	5,220
			1	Non-teaching
Agricultural Technical Institute	5.2	5,110	3	2,850
Regionals,ATI,OARDC,OSUE Totals	60		11	
\$ Weighted Regionals,ATI,OARDC,OSUE Average		7,085		4,027
Total All Campuses**	318.15		66	
\$ Weighted All Campuses Average		13,828		5,151

NOTE: An additional 14 faculty were rehired to finish grant work through the Research Foundation.

*95-96 = 113 96-97 = 88
**95-96 = 132 96-97 = 105

Table 3

Main Campus

	ERI Retirees	New Hires	Retirees Replaced	Net Replacements
Male				
White	192.3	63.5	72	-8.5
Asian	12	4	2	+2
Black	4	1	2	-1
Hispanic	1	1		+1
Female				
White	44.7	21	17.5	+3.5
Asian	2	2	1	+1
Black	2	4	2	+2

Regional Campuses, OARDC, ATI, OSUE

Male				
White	47.9	12	14	-2
Asian		1		+1
Female				
White	12.2	3	3	0
Hispanic		1		+1

318.1 113.5 113.5

Table 4

Columbus Campus Headcount

	Tenure-Track* Instructional Faculty	Total Student Headcount	Student Headcount per Faculty Headcount
FY88	2,814		
FY89	2,840		
FY90	2,798	52,895	18.90
FY91	2,809	54,094	19.26
FY92	2,841	54,313	19.12
FY93	2,695	52,172	19.36
FY94	2,704	50,623	18.72
FY95	2,641	49,541	18.76
FY96	2,402	48,676	20.26
FY97	2,457	48,352	19.68
FY98	2,454	48,278	19.67

An additional 115 of 210 Early Retirement positions are yet to be filled. This would raise faculty headcount to 2,569 with a student ratio of 18.79.

*Tenure-track faculty in the Libraries, Cooperative Extension, ATI in Wooster, and the regional campuses are excluded from these figures.

D R A F T

11/30/98

LEADERSHIP AGENDA FOR FY 99

The following leadership agenda was developed from discussions at the Leadership Retreat. The focus for action over the course of the next year will be on academic excellence, the student experience, diversity, outreach and engagement, and revenue growth. There are 17 action items, identified as priorities, and rank-ordered within subsections. This does not mean other issues are not important, but it does mean efforts need to be focused on some items more than others. Each of the five sections begins with a strategic statement, which is followed by the assignment of action items to campus leaders. Each action item is clarified by a short narrative.

These actions are guided by the university's Academic Planning Process. The goal of this process is to focus the University's substantial resources in a strategic way in order to advance the goal of becoming one of the top ten public institutions in the country. Ongoing activities related to academic planning that will move forward in the year ahead include: strategic benchmarking including identification of performance indicators, and improving the time and quality of University decision-making through quality improvement practices and investments in our management information systems.

Academic Excellence – Ray; Baeslack, Council of Deans

Strategic Statement: International distinction in education, scholarship and public service. This includes achieving top ten status among public universities.

Context: The long-term objective is to provide top-notch faculty and high ability students with the best possible quality teaching, learning and research environments. These are essential attributes of an internationally distinctive university.

Action Items FY 99

1. **Selective Investment/Academic Enrichment Program** - Ray, Baeslack, Parson

This is the second year of the Selective Investment program. In selecting up to 4 additional units to fund through this program this year it is necessary to clearly define what we mean by top 10 and to address the link with our strategic goal of achieving international distinction in education, scholarship, and public service. A timetable for investments in subsequent years should be developed.

The Academic Enrichment program and the Selective Investment program are two parts of a broader program aimed at investing in quality. The purpose of the two programs and the selection process will be reviewed by an Oversight Committee on Academic Enrichment and Selective Investment during Spring, 1999. The effectiveness of the Academic Enrichment investments from previous years will be assessed.

2. **Recruitment, Retention, and Development of Top-Notch Faculty** - Council of Deans, Rudd, Lewellen

We are committed to hiring top-notch faculty at the senior and entry levels and expect that they will attract other distinguished faculty and students. Partial funding for such hires is available on a competitive basis through initiatives such as Selective Investment, Academic Enrichment, and the Faculty Hiring Assistance program. At the same time, it is essential to retain faculty by attending to the quality of faculty life. During the 1998-99 academic year the Commission on Faculty Development and Careers will evaluate data gathered here and at peer institutions and will develop recommendations for review by the university community.

3. **Involve the University Community in Discussion and Implementation of the Research Commission Report in as Timely a Manner as Possible** - Baeslack, Ray, Council of Deans

The final report of the Research Commission has been distributed for discussion within the university community. It addresses the central question of what it would take for Ohio State to become one of the top 10 public universities in terms of research and graduate studies. The next step is to set in motion an implementation process. An implementation steering committee will be

appointed autumn quarter with instructions to develop a plan, budget and timeline by the end of winter quarter. Continue to expand undergraduate and graduate participation in research.

Student Experience – Garland, Williams, Ray

Strategic Statement: To recruit and retain students and enable their timely graduation and future success. To ensure that the student experience inside and outside the classroom is personally fulfilling.

Context: The longer-term objectives are to provide for the recruitment, retention, education and timely graduation of outstanding students and to develop measures of outcomes that can be used to assess and certify that the quality of the Ohio State experience for our students is among the best in the country. Each of the following action items should be firmly linked to improving the quality of the student body and offering our students a stronger academic experience.

Action Items FY 99

1. **Improve undergraduate student progress as measured by retention and graduation** - Garland, Stewart, Hall, Council of Deans, Williams

This year we are especially focused on improvements to the academic advising system. Degree-granting colleges are urged to consider the possibility of more direct enrollment, to bring students into earlier contact with faculty members in their fields of specialization, and the Orientation program is being significantly restructured, especially to include expanded input from Student Affairs and the colleges. Through information obtained from their application materials, we have identified one thousand NFQF with special needs; faculty and support staff are making personal contact with each of them to offer specifically tailored assistance. We are developing mechanisms (similar to the "honors contract") through which students can be granted curricular variances when appropriate to their special educational needs and goals. These strategies (and others) should lead to enhanced retention: we expect freshman-to-sophomore retention to improve by 2% a year, and the six-year graduation rate of the current and future incoming classes to improve by 4% a year.

2. **Develop programs to actively engage students in the life of the University -**
Williams, Garland, Freeman.

Students who participate actively in co-curricular and volunteer programming develop a stronger affiliation with the institution, an affiliation supportive of their academic persistence, success, and ultimate graduation. For this reason this year, under the rubric of the Ruth Mount Student Leadership Initiative, Student Affairs and Academic Affairs are collaborating to expand our efforts to develop student leadership skills. Preliminary programming is scheduled for this year, and next autumn we will field a residence-hall based pilot program. In related but separate efforts we also are providing a number of outreach opportunities through which students can become engaged and learn through community service; some of this work is connected to formal service learning curricula. Also using the residence halls, we are expanding the number of existing "learning communities," creating programs for students in International Studies and in Spanish and French. In all of these efforts, one of the goals is to involve more faculty members in student intellectual life outside of the formal classroom setting.

3. **Continue assessment of student satisfaction, follow-up with evolving vision of CUE commitment, G-QUE and I-QUE implementation -** Garland, Mager, Rich, Huntington, Williams, Enrollment Management Committee, Resource Planning and Institutional Analysis.

It continues to be important that we learn how our students think we are doing: toward this end this year we plan to re-administer a first quarter student satisfaction inventory, to use predictive modeling for program planning, and to review a new body of student attitudinal data provided through the Higher Education Research Institute. All of this information enables us to improve programming and to continue with the general initiatives begun under CUE. The G-QUE report will be finalized and distributed during FY 99 and plans developed for FY 00. I-QUE surveys will be conducted and reviewed during FY 99.

We will continue public and private discussions aimed at improving the quality and variety of services available to all constituents of the Office of Minority Affairs by developing the appropriate organizational structure, and we will make decisions for FY 99 by the end of Autumn Quarter. We will recruit a new, permanent vice provost for minority affairs by the end of FY 99.

4. **Engage faculty fully in their role in an enhanced student experience -**
Council of Deans, Garland, Freeman, Baeslack.

Faculty members obviously are key to an effective student experience. One major faculty responsibility rests with curricular oversight, both at the GEC and major program level; many improvements have been made in recent years and continued refinement-especially in the direction of flexibility for individual students-will be encouraged. We also expect continued faculty commitment to enhanced teaching quality; public forums sponsored by the Academy of Teaching are supportive of this goal, as is the work of the Office of Faculty and TA Development. We expect increasing engagement of undergraduate students in faculty research, following the guidelines sketched out in the recent Carnegie Report; departments and colleges should support student participation in the Undergraduate Research Forum. Faculty members can be helpful in developing student capabilities with technology; a faculty committee is being appointed to identify and help develop strategies for the achievement of an appropriate level of student technology competencies. Finally, faculty members in recent years have been increasingly active and highly effective in support of student recruitment activities; this will be encouraged.

5. **Enhancement of student academic support services -** Mager, Hart, Garland, Nichols, Schuster

Support services-registration, financial aid, loan servicing, student record management, etc.-are very important in determining the overall quality of the student experience at Ohio State. Direct service improvements are being undertaken at the front desks and on the phone lines of several offices. Furthermore, by aggressively using electronic technologies including the World Wide Web, we are providing a series of more convenient and user-friendly services, services that are especially supportive of academic advising. Particularly important are an initiative to integrate, at least at an operational level, the various offices that deal with students' financial relationship to the University, so that the services of Financial Aid and of Financial Aid Disbursement, for example, will be experienced in a seamless way-the committee report on this project is due at the end of winter quarter. We are also beginning-in a project which will extend over probably five or six years-the development of an integrated student information system.

The group working on the Student Experience did not make recommendations about “diversity,” which had been on our agenda last year, believing that this issue has broad implications for the whole institution, not just students. We recommend that it be treated as a separate set of Action Items.

Diversity and Community – Ray, Williams, Council of Deans, Vice Presidents

Strategic Statement: To create an environment on campus where faculty, staff and students feel a sense of mutual respect and support from the university community. To genuinely collaborate and learn from our diversity.

Context: Beyond the legal requirement and strategic value of providing the university community, with a diverse environment, the university has the responsibility to enrich and transform lives. A diverse and nurturing environment is a fundamental requirement of that effort.

Action Items FY 99

1. **Increase Diversity and the Sense of Community on Campus - Ray, Rich, Williams, Council of Deans, Vice Presidents**

Deans and Vice Presidents have been asked to develop agendas for improving diversity within colleges and support areas by the end of October and progress with respect to these agendas by Spring 1999 will be part of the annual evaluation process. Create an organizational framework within which offices and groups committed to diversity gains can work together by the end of Autumn Quarter and create and implement an annual agenda by the end of Winter Quarter.

2. **Address Disability Needs Inside and Outside the Classroom - Parson, Ashe, Lewellen, Yurcisin**

Appoint an Acting ADA Compliance Officer by the end of October, 1998 and a permanent compliance officer by the end of FY 99. Provide office support for that individual to inventory disability program needs inside and outside classrooms and develop a plan for implementation by the end of FY 99.

3. **Racial Legacies and Learning – Williams, Garland**

As part of the AAC&U-sponsored “Racial Legacies and Learning” conversation which will take place on campus throughout FY 99, OAA will develop an inventory of faculty with research and teaching interests related to race, racism, and racial policy issues. In the spring a research forum will be held, through which faculty members can share their interests with each other; scholars from other central Ohio institutions will be invited, as will students. Further, a systematic assessment of those parts of the core curriculum which are specifically designed to broaden student perspective on diversity will be begun with an assessment report available by no later than the end of FY 00.

Outreach and Engagement – Moser, Ray, Williams

Strategic Statement: In the land grant tradition of the university we must make the benefits of our scholarship, teaching and public service available to the communities we serve and learn from our constituents how we can make a genuine difference in their lives.

Context: The university has a responsibility to look beyond its borders to provide service to its contiguous communities, to the people of Ohio, the nation and the world. The talents, energy and creative efforts represented by the university should enrich the lives of all we serve.

Action Items FY 99

1. **Campus Partners – Williams, Koroscik, Siedentop, Campus Partners Board, Campus Collaborative**

Choose developers as partners for the improvement of the campus partners community during autumn quarter and launch specific projects by the end of the academic year. Distribute seed money through campus collaborative to initiate the availability of community programs and services during the current fiscal year and to promote community based scholarship.

2. **Research Partnerships** – Baeslack, Smith, Moser, Koroscik, Siedentop, Allen, Council of Deans

Establish new university-industry research partnerships during the current year on the science and technology campus. Expand research initiatives through extension, K-12 and in the campus partner area during FY 99. Increase technology transfer activity. Use these and other partnerships to expand student service learning opportunities.

Revenue Growth – Shkurti, Ray

Strategic Statement: Provide full funding for academic priorities. This includes recruiting, retaining, and developing the best faculty, staff and students through a combination of competitive compensation levels, staff support, state of the art equipment and a supportive physical and learning environment, as well as selective program enhancements based on the assessment of outcomes. Resources must be sufficient to pursue an adaptive strategy of excellence along multiple but focused dimensions simultaneously.

Context: Long-term objectives in the revenue growth area include efforts to increase and diversify revenues, use existing resources more effectively, protect assets to reduce financial uncertainty, and sharpen the strategic focus and measurement of academic and financial progress.

Action Items FY 99

1. **Sharpen Strategic Focus** - Ray, Council of Deans

The first priority of the coming year should be for the University to make a clear statement of strategic focus, including identification of those disciplines most critical to the University's academic aspirations. These academic goals should then drive revenue and financial policies. The deliverable should be a final version of the September 4, 1998 draft document, "Strategic Focus", by the end of the 1998-99 academic year.

2. **Budget Restructuring** - Ray, Shkurti, Council of Deans

The purpose of Budget Restructuring is to more directly align financial incentives with academic goals. These financial incentives must be driven by the academic aspirations referred to in the paragraph on strategic focus. The

deliverable is a set of recommendations on budget restructuring by the end of the 1998-99 academic year. Since this is also the time frame for the Strategic Focus discussion, it is essential these two processes run in parallel.

3. **Business Support** - Kirwan, Board of Trustees, Baeslack, Vice President for University Relations

Business support is critical to a constituency in support of public funding, but is not an area where Ohio's public universities have been successful in the past. The goal of this initiative is to more directly engage Ohio's businesses as advocates for public higher education. This engagement also implies greater interaction between business needs and academic goals of the institution. The deliverable is a comprehensive plan for university-business partnerships to support higher education funding and implementation by the beginning of FY 2000.

4. **Entrepreneurial Initiatives** - Ray, Shkurti, Trethewey, Baeslack, Garland, Davis, Council of Deans

Budget restructuring will help develop a framework for entrepreneurial issues, particularly at the college level, but will not by itself address this issue. A Comprehensive University policy needs to be established that removes barriers and provides incentives for initiatives such as distance learning and science and technology campus partnerships consistent with academic goals, while protecting the University and appropriately involving the governance structure. This includes making better use of existing assets. The deliverables in this case are a comprehensive policy statement and set of guidelines for these activities by the beginning of the 1999-00 academic year.

The use of technology to enhance learning through web-based instruction and other means is increasing dramatically. This is likely to be a source of both increased revenue and increased competition. OSU's ability to engage its resources in this endeavor is limited by a lack of workable policies regarding purpose, pricing, cost recovery, ownership and a variety of other barriers. The key to successful innovation is a set of policies that are flexible and encourage innovation while maintaining accountability and quality of academic programs and services. It is essential that faculty and academic leaders be involved in this process. Deliverables include interim guidelines by early Winter Quarter and development of a more comprehensive strategy by no later than mid-Summer of 1999.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FORTY-NINTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, February 5, 1999

The Board of Trustees met at its regular monthly meeting on Friday, February 5, 1999, at The Ohio State University, Ohio Union, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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February 5, 1999 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on February 5, 1999, at 10:20 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, and Allyson Lowe. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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Mr. Celeste:

Before I call on President Kirwan, I would like to take a minute to mention the passing of a great friend, a terrific supporter of The Ohio State University, and one of our former colleagues on the Board of Trustees, John Barone. I would like to ask all of you to remember the Barone family in your thoughts and prayers.

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PRESIDENT'S REPORT

President William E. Kirwan:

I am pleased to report that the University's momentum from 1998 has continued into the new year. In fact, the 1999 year started off well on New Year's Day with a Buckeye victory in the Sugar Bowl, a Big Ten title, and two top two finishes in the last three years. Pretty impressive accomplishments.

We also welcomed a new governor at the beginning of this year. We applaud and share Governor Taft's belief that education is the key to success in the next century. We look forward to working with the Governor and his cabinet to ensure that, grade school through graduate school, Ohioans are prepared to lead in the new millennium. As one example of our commitment to supporting the Governor's education agenda, we are developing ways to make a significant contribution to his Ohio Reads initiative.

This is the first week of United Black World Month on campus. Vice President David Williams and I had the honor of speaking at the opening ceremonies Sunday afternoon at the King Avenue Methodist Church. Lectures and performances open to students, faculty, staff, and the community will explore the theme of "Connections to Africa." Programs for children are an especially important part of the month-long celebration. Several distinguished lecturers and performers will come to campus for United Black World Month.

The University had a number of interesting visitors over the past few weeks, including astronaut and Ohio State graduate Nancy Currie. This veteran of three shuttle missions was the guest of our ROTC programs yesterday. Sarah Ferguson, the Duchess of York, was the guest of our College of Medicine and Public Health in University Hospitals. She spoke on behalf of the Spirit of Women projects and the bio-nutrition program.

Speaking of visitors, I want you to be aware of a very impressive statistic. More than 45,000 people attended athletic events at the Jerome Schottenstein Center in one weekend, January 22-23. The men's and women's basketball games and a hockey game were the beneficiaries of this interest. The support of our student athletes, because of the Schottenstein Center, has been outstanding.

Several of us had to miss those games, however, as we were returning from what I believe will turn out to be a very important trip to India. On this trip, we were able to create or re-establish institutional relationships in agriculture, engineering, and

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

the arts and sciences. These agreements were created with some of India's most distinguished universities and institutes. Professor Rattan Lal of our soil science program provided valuable consultation for our trip. I am especially grateful to Trustee Dan Slane and our Chairman, Ted Celeste, for giving their time to this successful endeavor. We expect faculty and student exchanges to begin early next year.

I notice several of my fellow travelers in the audience. Vice President Bobby Moser, Dean Kermit Hall, and Dean David Ashley all made a very significant contribution to the success of this trip.

As I think we are all aware, the continuation of the relationship between Ohio State students and COTA was passed overwhelmingly -- 90 percent to 10 percent -- by our students. Now we are extremely pleased by this cooperative agreement with COTA and the service it will provide all of our students.

Events of the past month have heightened our concern about the safety of our campus community. We are deeply saddened by the deaths of two young people in our campus neighborhood and by several other recent crimes. We are grateful for the diligence of the Columbus Police Department in pursuing these crimes.

The installation of blue emergency telephones off campus similar to those on campus is one step we are taking. Larger issues of jurisdiction between our safety officers and the Columbus Police are in active discussion at the highest levels, as is the police presence in our surrounding community. We must continue to work on education and prevention and work together to enhance the safety of our students and community.

As the quarter opened, we faced some very severe weather. I want to commend the staff of Physical Facilities for their extraordinary efforts at cleaning 105 miles of sidewalks, 38 lane-miles of streets, and all those parking lots. It was an enormous challenge and they performed so admirably. Quality performance is not new to this group, however. Thanks to the leadership of Janet Ashe and others, Physical Facilities recently won a Governor's Award for Team Excellence. You'll be hearing more about this honor a little later in today's meeting.

I am delighted to report today that our Affirm Thy Friendship Campaign has surpassed its original goal of \$850 million. At year's end, our gifts, pledges, and planned gifts exceeded \$885 million. It is an extraordinary accomplishment. This impressive total was led last month by Richard Solove's \$20 million commitment to cancer research. This 1948 pharmacy graduate inspires all of us by his determined effort to help society find answers to this horrible dreaded disease. The success of the campaign depends on the friendship of people like Dick Solove and so many others.

To date, the campaign has added 61 new faculty chairs in our academic units, 356 new endowed scholarships and fellowships, and more than \$100 million toward new facilities.

There is another important thing I want to mention today, and that is as part of this campaign, almost \$200 million to date have gone into the University's Endowment. I think you all know the Endowment is a permanent fund that supports many initiatives at the University in perpetuity through the return of investment on that

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Endowment, and our able Treasurer has just informed me that as of January 31, 1999, the University's Endowment was at \$1,010,300,000. We have exceeded the \$1 billion mark with our Endowment!

There are very few universities, public or private -- and only a handful of public universities -- that have a billion dollar endowment. It is a real statement about this University that we have reached that level.

Jim provided me with some interesting statistics; we just hope this projection that I'm about to describe to you can continue into the future. It took 113 years for our Endowment to reach \$50 million, it took 20 more years for it to reach \$500 million, and it took four years more for it to reach \$1 billion.

I want to congratulate this Board, the Foundation Board, Jerry May and his staff at University Development, the deans, faculty and staff, and thousands of volunteers, both inside the University community, and friends and alumni of the University, their contribution to this has been enormous and has made this possible. And so now, Mr. Chairman, it's on to our other billion dollar goal, the completion of the Affirm Thy Friendship Campaign. So on that challenging note, Mr. Chairman, I will conclude my report.

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CONSENT AGENDA

President Kirwan:

We have sixteen resolutions to present to the Board for approval today. I would like to ask that item #15 be held for a separate vote. Unless there are any objections, I would like to recommend these remaining fifteen resolutions on the consent agenda:

RENAMING OF CANCER RESEARCH INSTITUTE

Resolution No. 99-70

Synopsis: Renaming of the cancer research institute which is part of The Arthur G. James Cancer Hospital and Research Institute located at 300 W. Tenth Avenue is proposed.

WHEREAS The Ohio State University operates a cancer research institute as a strategic part of the mission of The Ohio State University Medical Center and The Arthur G. James Cancer Hospital and Research Institute; and

WHEREAS Richard J. Solove has had a long and distinguished tradition of service to The James, including service as a founding member of the Ohio Cancer Foundation Board (precursor to The James Foundation), president of The James Foundation Board from 1989-1994, a member of The James Cancer Foundation Board of Directors, and has served on The James Cancer Hospital Board since its inception; and

WHEREAS Richard J. Solove has had a remarkable record of service to the community and to his alma mater; and

WHEREAS it is Richard J. Solove's intention that the research institute have the best possible opportunity to find a cure for cancer; and

RENAMING OF CANCER RESEARCH INSTITUTE (contd)

WHEREAS Richard J. Solove has made a \$20 million gift to The Ohio State University Foundation to provide for basic cancer research within the institute; and

WHEREAS the family of Dr. Arthur G. James have willingly given consent to changing the name of The Arthur G. James Cancer Hospital and Research Institute:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of the rule 3335-1-08 of the Administrative Code, the aforementioned facility shall be renamed "The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute."

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT STATION
ADVISORY COUNCIL**

Resolution No. 99-71

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory council made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Council "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state;"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President William Kirwan, pursuant to the request of David B. Ashley, Dean and Director, College of Engineering, Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Council:

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
Umit S. Ozkan	Professor of Chemical Engineering	3 years (2/1/99 - 1/31/2002)
K. Cheena Srinivasan	Acting Associate Dean for Research - College of Engineering	3 years (2/1/99 - 1/31/2002 or whenever the acting appointment is concluded)

HONORARY DEGREES

Resolution No. 99-72

Synopsis: The awarding of honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

Robert D. Putnam

Doctor of Political Science

and

WHEREAS pursuant to paragraph (C) of rule 3335-3-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommended to the Board of Trustees awarding of honorary degrees as listed below:

Richard D. Klausner

Doctor of Science

John F. Wolfe

Doctor of Journalism and
Communication

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

WAIVER

Resolution No. 99-73

WHEREAS pursuant to the Board's acceptance of the Report of the Committee on Retirement and Insurance on February 6, 1959, and Resolution 77-92, "Principal Administrative Officials" of The Ohio State University are to be relieved of their administrative assignments at age 65; and

WHEREAS the University's reappointment of Lawrence A. Brown as Chairperson of the Department of Geography would be restricted by the age 65 exclusion; and

WHEREAS Lawrence A. Brown has demonstrated outstanding service to the Department of Geography and the College of Social and Behavioral Sciences; and

WHEREAS the University wishes to continue the appointment of Lawrence A. Brown in this administrative post beyond his sixty-fifth birthday:

NOW THEREFORE

BE IT RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance be waived to allow for the continued appointment of Lawrence A. Brown as Chairperson of the Department of Geography.

PERSONNEL ACTIONS

Resolution No. 99-74

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the December 4, 1998 meeting of the Board, including the following Appointment, Appointment/Reappointment of Chairpersons, Leaves of Absence Without Salary, Professional Improvement Leave, and Emeritus Titles as detailed in the University Budget be approved.

Appointment

Name: KARLA S. ZADNIK
Title: Associate Professor (The Glenn A. Fry Professorship in Optometry)
College: Optometry
Effective: January 1, 1999

Appointment of Chairpersons

July 1, 1998 through June 30, 1999

Biochemistry George A. Marzluf*

July 1, 1998 through June 30, 2002

Plant Biology Richard T. Sayre

January 1, 1999 through June 30, 1999

Food, Agricultural and Biological Engineering Thomas L. Bean*

January 1, 1999 through December 31, 1999
(Correction in Dates)

Horticulture and Crop Science A. Ray Miller*
Ohio Agricultural Research and Lowell R. Nault*
Development Center

October 1, 1999 through September 30, 2003

French and Italian Diane W. Birckbichler

Reappointment of Chairpersons

July 1, 1998 through June 30, 2002

Entomology David L. Denlinger
Molecular Genetics Lee F. Johnson

July 1, 1999 through June 30, 2003

Geography Lawrence A. Brown
Political Science Paul A. Beck
Speech and Hearing Science Robert A. Fox

*Interim

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary

EDWARD B. FOLEY, Professor, College of Law, effective January 1, 1999, through December 31, 2000, to serve as State Solicitor of the State of Ohio.

DEBRA A. MODDELMOG, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 1999, to assume the position of Visiting Associate Professor at California State University-Monterey.

MARK ROBBINS, Associate Professor, Knowlton School of Architecture, effective Winter Quarter, Spring Quarter, and Autumn Quarter 1999, to serve as the Director of Design at the National Endowment for the Arts in Washington, D.C.

INGRID WERNER, Associate Professor, Department of Finance, effective Winter Quarter 1999, to complete her requirements for application for permanent residency.

Leave of Absence Without Salary--Continuation

LINDSAY R. JONES, Associate Professor, Division of Comparative Studies in the Humanities, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000, to continue serving as a foreign professor at Tsukuba University in Japan.

Professional Improvement Leaves

TIMOTHY C. BROCK, Professor, Department of Psychology, effective Autumn Quarter 1999 and Winter Quarter 2000.

DANIEL B. SHAPIRO, Professor, Department of Mathematics, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

NANCY ETTLINGER, Associate Professor, Department of Geography, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2000.

JANET L. HENDERSON, Associate Professor, Department of Human and Community Resource Development, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

MARK M. LOUX, Associate Professor, Department of Horticulture and Crop Science, effective Winter Quarter and Spring Quarter 1999.

KAREN M. MANCL, Associate Professor, Department of Food, Agricultural and Biological Engineering, effective Summer Quarter and Autumn Quarter 1999.

ALLAN J. SILVERMAN, Associate Professor, Department of Philosophy, effective Winter Quarter 2000.

Professional Improvement Leave -- Change in Dates

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), change leave from Autumn Quarter 1998, Winter Quarter and Spring Quarter 1999, to Autumn Quarter 1998.

Emeritus Titles

DAVID H. IVES, Department of Biochemistry, with the title Professor Emeritus, effective January 1, 1999.

JOHN T. SOMMERS, Ohio State University Extension, with the title Associate Professor Emeritus, effective January 1, 1999.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

BETTY J. BARTLETT, School of Allied Medical Professions, with the title Assistant Professor Emeritus, effective January 1, 1999.

MARY P. KEY, University Libraries, with the title Assistant Professor Emeritus, effective December 1, 1998.

RESOLUTIONS IN MEMORIAM

Resolution No. 99-75

Synopsis: Approval of five Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

Maxwell K. Douglas

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 19, 1998, of Maxwell K. Douglas, retired and founding Director of The Ohio State University at Newark.

Mr. Douglas was a native of Ohio, raised in Frazeytsburg, and graduated from Denison University in 1929 with a Bachelor of Arts degree. He served as the first Director of the Newark Regional Campus from its inception in 1957 until his retirement in 1965. Classes were held at Newark High School and started on October 1, 1957, with 80 students registered. Mr. Douglas established an "encouragement grading system" to build the students' feelings of confidence. Recruiting and retaining students and upholding academic standards took most of his time the first year.

Prior to becoming affiliated with The Ohio State University, Mr. Douglas was a science teacher at Newark High School and also a coach for high school basketball, golf, track, and football. He was inducted into the Ohio High School Basketball Coaches Association Hall of Fame in 1990.

On behalf of the University community, the Board of Trustees expresses to the family of Maxwell K. Douglas its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

D. Lyle Goleman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 11, 1998, of Dr. D. Lyle Goleman, Professor Emeritus and former Chairperson of the Department of Entomology.

Dr. Goleman was a native of Illinois, and graduated with a B.S. degree from Eastern Illinois University. He received his M.S. and Ph.D. degrees from Iowa State College. Dr. Goleman served in the U.S. Army during the Korean Conflict.

His professional career was launched in 1954 when he joined the faculty of The Ohio State University as a member of the Ohio Extension Service. He then left Ohio State to work as an entomologist for American Cyanamid Company in New Jersey. After six years, he returned to Ohio State and served as the State Toxicologist.

RESOLUTIONS IN MEMORIAM (contd)

D. Lyle Goleman (contd)

When the newly-created Department of Entomology was formed in 1968, Dr. Goleman was named as the first chairperson of the department, a position he held for sixteen years. Upon his retirement in 1989, he was appointed Professor Emeritus.

He will be remembered by his peers and former students for his dedication to his profession, his commitment as a teacher, and his loyalty to the Department of Entomology.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. D. Lyle Goleman its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

James Robert McLeod

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 20, 1998, of Dr. James R. McLeod, Associate Professor in the Department of Anthropology.

Dr. McLeod was born in Illinois in 1949 and grew up there and in Japan and California. He received an A.B. degree from the University of California - Berkeley (1972), and both M.A. (1974) and Ph.D. (1983) degrees from The Ohio State University.

After teaching at several institutions, he joined The Ohio State University faculty in Mansfield in 1985. As a student and faculty member, Dr. McLeod was associated with the University for almost 26 years.

As the recipient of several Fulbright and other fellowships, James McLeod taught and conducted research in England, Japan, and Russia. His work on ritual, political rhetoric, and postmodern culture was widely published. An outstanding teacher, Dr. McLeod received numerous teaching awards, including the University's Alumni Distinguished Teaching Award in 1991.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. James R. McLeod its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Clarence J. Neal, Jr.

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 29, 1998, of Dr. Clarence J. "C. J." Neal, Jr., Associate Professor Emeritus in the College of Dentistry.

Dr. Neal was a native of Michigan. He completed his pre-dentistry education at Talladega College and the Morehouse College of Atlanta University. He graduated from Meharry Medical College School of Dentistry in 1949 with a D.D.S. degree, and then completed an internship and a residency program in oral and maxillofacial surgery. In 1951, Dr. Neal joined the U.S. Army Dental Corps as an oral surgeon at the rank of Captain and was stationed in Great Britain, where he completed additional studies in oral surgery at Oxford University during his tour of duty.

He entered private practice in Columbus in 1954 and joined the Department of Oral and Maxillofacial Surgery at Mercy Hospital. Dr. Neal wrote the proposal for the establishment of the Neighborhood Health Centers for the City of Columbus and served as its first administrative director in 1974-1975. He served on the boards of numerous community health councils and boards throughout his professional lifetime. Unselfish of his time and energy, he gave numerous presentations on oral health to schools and service clubs throughout Franklin County.

RESOLUTIONS IN MEMORIAM (contd)

Clarence J. Neal, Jr. (contd)

Dr. Neal joined the faculty of the College of Dentistry in 1974 as an Associate Professor of Oral Diagnosis and Oral Medicine. He was a popular teacher and was well-known for his course on the use of hypnosis in managing apprehensive and phobic patients. A model in communicating with patients and students, he served as director of the College's emergency clinic before accepting the position of Director of Student/Patient Relations. He also served as chairman of the College's Admissions Committee.

A man of wide and varied interests, he continually advanced his own education, receiving a baccalaureate degree from Talladega College in 1984, forty-one years after he left that school to enroll in Meharry Medical College. He also earned a Master of Science in interdisciplinary education from the University of Dayton. He was, as might be expected, the recipient of several prestigious awards from his profession.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Clarence J. Neal its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Frances McPherson Pease

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 10, 1998, of Frances McPherson Pease, Associate Professor Emeritus in the College of Nursing.

Professor Pease was a native of Ohio, and graduated from Grant Hospital School of Nursing, The Ohio State University, and the University of Chicago. She was past president of the Grant Hospital Alumni Association and past president of The Ohio State University Women's Faculty Association.

From 1941-44 and 1949-75, Frances Pease served on the faculty and in administrative positions at the School of Nursing (now the College of Nursing): Associate Director from 1952-69 and Secretary of the School from 1969-75. At the time of her retirement in 1975, she was appointed Associate Professor Emeritus.

On behalf of the University community, the Board of Trustees expresses to the family of Frances M. Pease its deepest sympathy and sense of understanding in their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-76

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for November and December 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of November and December 1998 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-77

Synopsis: The report on the receipt of gifts and the summary for December 1998 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of twenty-eight (28) new named endowed funds and amendments to one (1) endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of December 1998 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July-December

1997 Compared to 1998

GIFT RECEIPTS BY DONOR TYPE

Dollars
July through December

	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 9,441,039	\$24,121,642	155%
Alumni (From Bequests)	<u>3,457,269</u>	<u>628,436</u>	-82%
Alumni Total	\$ 12,898,308	\$24,750,078	92%
Non-Alumni (Current Giving)	\$ 6,599,098	\$ 7,381,633	12%
Non-Alumni (From Bequests)	<u>3,502,213</u>	<u>3,459,161</u>	-1%
Non-Alumni Total	\$ 10,101,311	\$ 10,840,794	-7%
Individual Total	\$ 22,999,619	\$35,590,872	55% ^A
Corporations/Corp. Foundations	\$ 17,775,782	\$20,465,649	15% ^B
Private Foundations	\$ 6,945,226	\$ 5,269,951	-24% ^C
Associations & Other Organizations	\$ <u>1,716,953</u>	\$ <u>1,647,452</u>	-4%
Total	\$49,437,580	\$62,973,924	27%

NOTES

- A Individual giving is up 55% largely due to 262 gifts totaling \$10,000 or more (27.1 million). Last year during this period 257 individuals gave \$10,000 or more (\$14.6 million).
- B Giving at the \$10,000 or more level from corporations is up 17% so far this year (\$17.1 million from 331 gifts this year; \$14.6 million from 296 gifts last year).
- C Foundation giving at the \$10,000 or more level is down 28% for the first six months of the fiscal year (\$6.5 million from 84 gifts last year; \$4.7 million from 92 gifts this year).

REPORT ON UNIVERSITY DEVELOPMENT(contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-December
1997 Compared to 1998 (contd)

GIFT RECEIPTS BY PURPOSE

	Dollars July through December		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 12,587,554	\$17,384,799	38
Faculty Support	\$ 7,272,511	\$ 5,871,920	-19
Program Support	\$ 16,782,516	\$25,119,861	50
Student Financial Aid	\$ 7,662,259	\$ 8,599,793	12
Annual Funds-Colleges/Departments	\$ 4,295,802	\$ 4,406,151	3
Annual Funds-University	\$ <u>836,938</u>	\$ <u>1,591,400</u>	90
Total	\$49,437,580	\$62,973,924	27

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through December		
	<u>1997</u>	<u>1998</u>	<u>% Change</u>
	\$ 19,840,032	\$28,289,454	43

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Thomas L. Payne Agricultural Ecosystems Research Fund (Research and program support for agricultural ecosystems at OARDC - provided through existing OARDC funds).	\$3,000,000.00		\$3,000,000.00
The Raymond C. Firestone Endowment Fund (Discretionary funds for University President - provided with proceeds from the sale of the Raymond C. Firestone property).		\$2,551,121.57	\$2,551,121.57
The Honda Fund for Engineering Academic Enrichment (Funds for activities or purchases to enrich engineering students education experience - provided with gifts from TRC, Inc. and Honda).	\$1,500,000.00		\$1,500,000.00
The Bess Krag Musser Graduate Fellowship Fund (Support for graduate fellowship in College of Veterinary Medicine - provided with a gift from the estate of Bess Krag Musser).	\$159,895.17		\$159,895.17
The College of Veterinary Medicine "Class of 63" Endowed Fund (Support for endowed Chair in Ophthalmology - College of Veterinary Medicine - provided by gifts from members of the College of Veterinary Medicine Class of 1963)	\$55,702.84		\$55,702.84
The R. T. and J. P. Ramsay Scholarship Fund (Scholarships for undergraduate students majoring in the sciences - provided by a gift from Roland T. and Jodie Price Ramsay).	\$47,590.63		\$47,590.63
The Charles L. Spohn, Jr. Graduate Award Fund (Support of a graduate assistant for the Director of the OSU Marching Band - provided by gifts from Dorothy M. Spohn and friends of Dr. Charles Spohn).		\$27,550.00	\$27,550.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Fay Graduate Fellowship Fund in Environmental Sciences (Scholarships - Environmental Sciences Graduate Program - College of Food, Agricultural and Environmental Sciences - provided by gifts from D. Dale Fay; Lucille Fay and Jerry W. Fay).		\$25,860.00	\$25,860.00
The Robert K. Graham Ophthalmic Optics Fund in Optometry (Support for research and study in ophthalmic optics - College of Optometry - provided by gifts from Robert K. Graham and the Graham Family Trust).	\$25,000.00		\$25,000.00
The OARDC Wooster Campus Beautification Fund (Funds will be used to beautify OARDC Wooster Campus - provided by existing OARDC funds).	\$25,000.00		\$25,000.00
The Secret Arboretum Director's Fund (Support of Secret Arboretum at OARDC Wooster Campus - provided by existing OARDC funds).	\$25,000.00		\$25,000.00
The Central Florida Alumni Scholarship Fund (Scholarships for graduates of central Florida high schools - provided by gifts from members and friends of the OSU Alumni Club of Central Florida Orlando).	\$15,660.00		\$15,660.00
The Joseph F. and Mary Stranges Scholarship Fund (Scholarships for participants in Freshman Foundation Program - provided by gifts from family, friends and colleagues of Joseph F. and Mary Stranges).	\$9,085.00		\$9,085.00
<u>Change in Description of Named Endowed Fund</u>			
The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund			

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Donald D. Mayhew Memorial Fellowship Endowment Fund (Support for student fellowship within the OSU Chadwick Arboretum - provided by gifts from the estate of Helen E. Mayhew).		\$1,500,000.00	\$1,500,000.00
The Sanford G. Price and Isabelle Price Barbee Scholarship Fund (Scholarships - Office of Student Financial Aid - provided by gifts from Mr. and Mrs. William H. Price II).		\$1,000,000.00	\$1,000,000.00
The Herb and Dee Dee Glimcher Visiting Professorship Fund (Support for Visiting Landscape Architecture Professor - Knowlton School of Architecture - provided by gifts from Herb and Dee Dee Glimcher).		\$325,000.00	\$325,000.00
The Mary M. Yost Scholarship Fund (Scholarships - College of Education - provided by gifts from Mary M. Yost).		\$157,182.21	\$157,182.21
The Lida Shriver Nursing Scholarship and Research Fund (Scholarships and research awards - College of Nursing - provided by a gift from Ralph Kent in honor of Anna Lida Shriver).		\$116,125.00	\$116,125.00
The Gerald and Elizabeth Williams Undergraduate Honors Program Fund (Scholarships and program support - Max M. Fisher College of Business - provided by gifts from Gerald R. and Mary Moffatt Williams).		\$34,312.50	\$34,312.50
The Jack W. Kennedy Scholarship Fund (Scholarships - Max M. Fisher College of Business - provided by gifts from family and friends of Jack W. Kennedy).		\$33,243.65	\$33,243.65
The Russell Klein Innovation Fund Endowment (Program development - Max M. Fisher College of Business - provided by gifts from Russell Klein).		\$32,908.35	\$32,908.35

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Richard and Margaret Knowlton Scholarship Fund (Scholarships to graduates from Logan County, Ohio - provided by gifts from Mr. and Mrs. Richard Knowlton).		\$31,080.00	\$31,080.00
The Anita and Michael Goldberg, Rite Rug Company and its Founder, Duke Goldberg, Endowment for Cancer Research Fund (Support of cancer research at the Comprehensive Cancer Center - Arthur G. James Cancer Hospital and Research Institute - provided by gifts from the Rite Rug Company, the Anita and Michael Goldberg family, and the Duke Goldberg Family Foundation).		\$30,000.00	\$30,000.00
The George W. Broughton Natural Resources Program Fund (Support for natural resources programming via OSU Extension in southeast Ohio - provided by gifts from George W. Broughton).		\$25,500.00	\$25,500.00
The John Glenn Institute Endowment Fund (Program and activity support for The John Glenn Institute for Public Service and Public Policy).		\$25,100.00	\$25,100.00
The Paul H. Rofkar Scholarship Endowment Fund (Scholarships - College of Food, Agricultural and Environmental Sciences - provided by gifts from Paul H. Rofkar).		\$25,000.00	\$25,000.00
The William and Dolly (Ardath) Saxbe Endowed Fund for the Arts (Dean's discretionary funds - College of the Arts - provided by a gift from William B. and Ardath L. (Dolly) Saxbe).		\$25,000.00	\$25,000.00
The Carl F. Mayer Scholarship Fund (Scholarships - Knowlton School of Architecture - provided by gifts from Charles G. Mayer in tribute to his father's memory).	\$22,400.00		\$22,400.00
Total	\$4,885,333.64	\$5,964,983.28	\$10,850,315.92

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

ESTABLISHMENT OF NAMED ENDOWED FUNDS

Thomas L. Payne Agricultural Ecosystems Research Fund

The Thomas L. Payne Agricultural Ecosystems Research Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University, through existing OARDC funds.

These funds are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research and related activities focused on agricultural ecosystems for growing, processing and providing renewable resources and for the related management of social, economic and ecological resources. Awards will be made available for start-up funds, equipment, research infrastructure support, and for other such forms of support as determined by the Director of the Ohio Agricultural Research and Development Center and by the Vice President for Agricultural Administration.

This fund is intended to benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of the Ohio Agricultural Research and Development Center, or the Vice President for Agricultural Administration and Dean of the College of Food, Agricultural, and Environmental Sciences.

\$3,000,000.00

The Raymond C. Firestone Endowment Fund

The Raymond C. Firestone Endowment Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with proceeds from the sale of the Raymond C. Firestone property.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the President for the general support of the University.

If the need for this fund should cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever as determined by the Board of Trustees.

\$2,551,121.57

The Honda Fund for Engineering Academic Enrichment

The Honda Fund for Engineering Academic Enrichment was established February 5, 1999, by the Board of Trustees of The Ohio State University ("OSU") with a gift to The Ohio State University Development Fund from the Transportation Research Center, Inc. ("TRC") of East Liberty, Ohio, in recognition of its unique partnership with, and the financial support of, Honda Motor Co., Ltd. and Honda of America Mfg., Inc. (collectively "Honda") and their long-standing interest in improving engineering education.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Honda Fund for Engineering Academic Enrichment (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income will be used by the dean of The Ohio State University's College of Engineering to support efforts to prepare more effectively students for careers in engineering. The dean should use this fund for activities or purchases that enrich the students' engineering education experience; these uses should not substitute for funding normally provided for course delivery by the College or University. The yearly earnings can be used, for example, to acquire new educational tools and technology, provide funding for new curriculum development and implementation in the field of engineering, hire peer mentors, support visiting scholars and support other innovative academic enhancements related to engineering.

The dean will report annually to TRC and Honda on results of the previous year and the goals, objectives, and plans for the upcoming year; these reports will include the use of all fund earnings, past and projected, over the same two-year period. The dean will consider any recommendations of Honda or TRC as to the future use of such fund earnings.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$1,500,000.00

The Bess Krag Musser Graduate Fellowship Fund

The Bess Krag Musser Graduate Fellowship Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Ms. Musser's estate.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be returned to principal until such time as the total market value reaches \$250,000. At that time, the income will be used to support a graduate fellowship at The Ohio State University College of Veterinary Medicine. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the appropriate department chair.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$159,895.12

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The College of Veterinary Medicine "Class of '63" Endowed Fund

The College of Veterinary Medicine "Class of '63" Endowed Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from members of The Ohio State University College of Veterinary Medicine Class of 1963.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be reinvested to principal for the next five (5) years. Beginning in 2003, the income will be used to support an endowed chair in ophthalmology at the College. At that time, if no endowed chair exists the income will be used to support another project designated by the "Class of '63" and approved by the College of Veterinary Medicine.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$55,702.84

The R. T. and J. P. Ramsay Scholarship Fund

The R. T. and J. P. Ramsay Scholarship Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Roland T. Ramsay (B.S. Arts & Sciences '51; M.A. Education '55) and Jodie Price Ramsay (M.A. Education '57) of Pittsburgh, Pennsylvania.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income of this fund is to be used to provide scholarships to undergraduate students majoring in the sciences, with preferred major areas of study specified by the donor in a letter of instruction to the University Committee on Student Financial Aid. Selection shall be based on both financial need and academic merit, from among students ranking in the top 25 percent of their high school classes, and attaining national test scores equal to those required of University Scholars. Selection of recipients will be made by the University Committee on Student Financial Aid. This scholarship is renewable upon annual application and evidence of satisfactory progress toward a degree. If in any given year no scholarships are awarded, the unused income shall be reinvested in the endowment principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the University Committee on Student Financial Aid in order to carry out the desire of the donor.

\$47,590.63

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Charles L. Spohn, Jr. Graduate Award Fund

The Charles L. Spohn, Jr. Graduate Award Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Dorothy M. Spohn of Cincinnati, Ohio, and friends of Dr. Charles Spohn, faculty member in the School of Music (1951-1970), Director of The Ohio State University Marching Band (1964-1969), and Associate Dean of the College of Arts (1968-1970).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a graduate assistant for the Director of The Ohio State University Marching Band. Recipients shall be selected by the Director of the Band, with the approval of the Director of the School of Music. The award is renewable annually.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees after prior consultation with the appropriate college officials in order to carry out the desire of the donors.

\$27,550.00

Fay Graduate Fellowship Fund in Environmental Sciences

The Fay Graduate Fellowship Fund in Environmental Sciences was established February 5, 1999, by the Board of Trustees of The Ohio State University with an initial gift to The Ohio State University Development Fund from D. Dale Fay, Ph.D. (B.S. Microbiology '66; M.S. Microbiology '68; Ph.D. Microbiology '77) and Lucille Fay and Jerry W. Fay.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship annually to doctoral students studying in the Environmental Sciences Graduate Program. It is intended for: books; professional and research related travel; costs associated with data collection, analysis, presentation and/or publication of result; and/or fees and tuition or other expenses related to a doctoral program. Priority shall be based on superior demonstrated academic ability, demonstrated leadership, relevance and quality of goals and experience related to Environmental Sciences, and professional references. Selection shall be made by the scholarship selection committee in consultation with the Director of the Environmental Sciences Graduate Program and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Vice President for Agricultural Administration and the Director of the Environmental Sciences Graduate Program or current program administrative officer in order to carry out the desire of the donors.

\$25,860.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Robert K. Graham Ophthalmic Optics Fund in Optometry

The Robert K. Graham Ophthalmic Optics Fund in Optometry was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Robert K. Graham (B.S. Applied Optics '37) and the Graham Family Trust.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to promote the study and research in ophthalmic optics at The Ohio State University College of Optometry and to be used to advance an Ophthalmic Optics Research Laboratory at The Ohio State University within the College of Optometry. Any unused income shall be applied to the principal at the dean's discretion.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$25,000.00

OARDC Wooster Campus Beautification Fund

The OARDC Wooster Campus Beautification Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University through existing OARDC funds.

These funds are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for beautifying the grounds of the OARDC Wooster Campus at the discretion of the OARDC Director in consultation with the OARDC Assistant to the Director for Field Operations.

This fund is intended to benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of the Ohio Agricultural Research and Development Center, or the Vice President for Agricultural Administration and Dean of the College of Food, Agricultural, and Environmental Sciences.

\$25,000.00

Secret Arboretum Director's Fund

The Secret Arboretum Director's Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University through existing OARDC funds.

These funds are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Secret Arboretum Director's Fund (contd)

The annual income shall be used to support the Secret Arboretum's endeavors to label new plants in the collection, to purchase supplies and equipment for projects to beautify the arboretum, to hire an intern, to hire a seasonal crew, and for other needs as determined by the Director of Secret Arboretum.

This fund is intended to benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of Secret Arboretum, with the Director of the Ohio Agricultural Research and Development Center, or the Vice President for Agricultural Administration and Dean of the College of Food, Agricultural, and Environmental Sciences.

\$25,000.00

Central Florida Alumni Scholarship Fund

The Central Florida Alumni Scholarship Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from The Ohio State University Alumni Club of Central Florida Orlando, its members, and friends.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide academic scholarships to students graduating from high schools of Central Florida Orlando with preference for students qualified under the Alumni Scholars Program criteria, ranked and recommended by the Central Florida Alumni Club. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid. If any unused distributed income remains at the end of a fiscal year, it shall be added to principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of Student Financial Aid in order to carry out the desire of the donors.

\$15,660.00
(Grandfathered)

The Joseph F. and Mary Stranges Scholarship Fund

The Joseph F. and Mary Stranges Scholarship Fund was established February 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from family, friends, and colleagues.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship(s) to minority undergraduate students who are participants in the Freshman Foundation Program. These scholarships should be awarded based on academic record (minimum cumulative grade point average of 3.0) and financial need.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Joseph F. and Mary Stranges Scholarship Fund (contd)

Selection shall be made by the Administrator of the Freshman Foundation Program in consultation with the Office of Student Financial Aid. If the Freshman Foundation Program ceases to exist, then it is the desire that preference for this scholarship is given to minority students.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Vice Provost for Minority Affairs in order to carry out the desire of the donors.

\$9,085.00
(Grandfathered)

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund was established April 6, 1984, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from family and friends, in honor of Grace Tresch Henderson, (B.S.H.E. '29), and Dorothy Hammans Henderson, a former faculty member in the College of Human Ecology. The fund description was revised September 2, 1998 and further revised February 5, 1999.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income to \$750 is to be used to provide one or more scholarships in the name of Grace T. Henderson for sophomore, junior, or senior undergraduate students enrolled in the College of Human Ecology who best exemplify the academic excellence and professional leadership characteristics of Mrs. Henderson and has attained a 2.7 grade point average or above. The recipient must be a former 4-H member from Ohio with preference going to students from Southeastern Ohio, with applicants from Noble, Harrison, Jackson, and Washington Counties receiving highest priority. The selection of the recipients shall be made by the College of Human Ecology selection committee in consultation with the University Committee on Student Financial Aid.

The income in excess of \$750 shall be used to provide at least one scholarship in the name of Dorothy H. Henderson. The recipient shall be a sophomore, junior or senior student in the College of Human Ecology, with preference given to those students majoring in Family Resource Management, Family Financial Management or Consumer Affairs options. The selection of the recipients shall be made by the College of Human Ecology selection committee in consultation with the University Committee on Student Financial Aid.

The annual income in excess of \$1,500 shall be used to increase the level of each of the two scholarships to equal amounts up to a level of approximately one half of three-quarters of in-state tuition. Income in excess of two, half tuition scholarships shall be used toward additional scholarship awards made in the name of Grace T. Henderson and Dorothy H. Henderson using the same criteria. Any time funds exceed two half tuition scholarships, the funds shall be used in the same year and given as broadly as possible. Additional scholarships shall be awarded in smaller amounts and up to half tuition.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND (contd)

The Grace T. and Dorothy H. Henderson Memorial Scholarship Fund (contd)

If the need for this fund should cease to exist or so diminish as to provide unused income, then the income from the fund may be used for any purpose whatsoever as determined by the Board of Trustees with special consideration given to recommendations by the Dean of the College of Human Ecology in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED ENDOWED FUNDS

Donald D. Mayhew Memorial Fellowship Endowment Fund

The Donald D. Mayhew Memorial Fellowship Endowment Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift/bequest from Helen E. Mayhew to be used for a fellowship within The Ohio State University Chadwick Arboretum. The fellowship will provide an experience in urban tree improvement and maintenance through a hands-on arboretum field activity and direct involvement in urban tree improvement research conducted within the Department of Horticulture and Crop Science.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for undergraduate student stipends, awarded through a competitive application process. Award criteria and awards shall be made available to applicants as recommended by a committee comprised of:

- 1) members of The Chadwick Arboretum Coordinating Council (and/or Arboretum senior administrator)
- 2) a faculty member of the Department of Horticulture and Crop Science with research and/or expertise in the area of tree or forestry improvement

The committee named above shall have the responsibility and must approve the expenditures from the fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$1,500,000.00

Sanford G. Price and Isabelle Price Barbee Scholarship Fund

The Sanford G. Price and Isabelle Price Barbee Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid from Mr. and Mrs. William H. Price II, of Wyoming.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Sanford G. Price and Isabelle Price Barbee Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide renewable undergraduate, graduate, and professional scholarships, with preference for financially needy recipients with high values, ethical principals, moral character and above average grades who demonstrate initiative, leadership capabilities and good citizenship to their teachers, have some religious affiliation, are trustworthy, industrious, responsible in personal behavior and appearance, and who bring honor to their high schools and to The Ohio State University. Recipients should have developed a plan for their education and career, and taken meaningful courses that lead to a productive career. First preference is for heirs and/or descendants of employees and directors of the Gibsonburg Lime Products Company of Gibsonburg, Ohio, 1933-1964, (no longer operating) which later became a division of Pfizer, Inc., in appreciation of the efforts of our employees and directors. Second preference is for graduates of Gibsonburg High School, Gibsonburg, Ohio, or its successors; and, graduates of Woodmore High School in Elmore, Ohio, or its successor (formerly Woodville High School, Woodville, and Elmore High School, Elmore, Ohio). Third preference is for graduates of any high school in Sandusky County, Ohio. The University Office of Student Financial Aid will select recipients upon consultation with high school and University faculty and administrators to ensure selection criteria for initial and renewal awarding are met. Unused income shall be returned to endowment principal.

A former resident of Jacksonville, Florida, Isabelle Price Barbee (B.S.H.E. '19) was born on February 20, 1896, in Woodville, Ohio, and attended Waite High School in Toledo. She was active in the Audubon Club, the Easter Seal Center and the American Red Cross. Her career included newspaper work in Detroit and Wyoming and as executive secretary of the Casper, Wyoming, chapter of the American Red Cross. A life member of The Ohio State University Alumni Association, Isabelle died on November 25, 1988.

A former resident of Woodville, Ohio, Sanford "Sandy" G. Price (B.S.Agr. '19), was born on October 22, 1894, in Woodville and attended Old Central High School in Toledo. He operated a farm and dairy and ran his family's Gibsonburg Lime Products Company, first as vice president and general manager and then as president and chairman before its 1964 sale to Pfizer, Inc. On numerous trips to Sierra Leone, Africa, he assisted developing communities with building projects, schools, and farming.

An extraordinary advocate for higher education and The Ohio State University, Sandy shared his time and talents recruiting students in Sandusky, Ohio, the State of Ohio, and Sierra Leone. At The Ohio State University, he was a member of Alpha Zeta Professional Agriculture and Honorary Fraternity and Delta Gamma Honorary. He maintained continuous contact with college deans, particularly in nursing and agriculture, to suggest and demonstrate effective ways to promote, recruit and support students. He maintained continuous contact with Coach Woodrow Hayes and recruited student athletes from Sandusky County. He valued academic achievement and supported numerous students by providing and helping them to obtain scholarships. He provided leadership and service for many decades in a variety of state and community organizations. A recipient of The Ohio State University Alumni Association's Alumni Citizenship Award in 1974 and its Alumni Centennial Award in 1970, Sandy died on June 24, 1982.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Sanford G. Price and Isabelle Price Barbee Scholarship Fund (contd)

be designated by the Foundation Board in consultation with the appropriate program administrative officers in the Office of Student Financial Aid and with the heirs of William H. Price II, in order to carry out the desire of the donors.

\$1,000,000.00

The Herb and Dee Dee Glimcher Visiting Professorship Fund

The Herb and Dee Dee Glimcher Visiting Professorship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, from the generous support of Herb and Dee Dee (B.S.Land.Arch. '88) Glimcher.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income and additional gifts shall be added to the principal until the minimum level necessary to establish an endowed professorship has been reached. Subsequently, the annual interest income shall be used to provide support for the work of the Distinguished Visiting Landscape Architectural Design Professor as recommended by the Section Head for Landscape Architecture and the Director of the Knowlton School of Architecture through the Dean of the College of Engineering to the Provost, and approved by the Board of Trustees of The Ohio State University. The Glimcher Architecture Professor, a nationally or internationally acclaimed landscape architectural designer, will teach design studios, interact with faculty and students, make public presentations and provide additional guidance to the development of the Chadwick Arboretum.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of the Knowlton School of Architecture and the Landscape Architecture Section Head, or program administrative officer in order to carry out the desire of the donors. It is the desire of the donors that this fund only be utilized by landscape architecture, not the College of Engineering or other administrative units where landscape architecture may be associated.

\$325,000.00

The Mary M. Yost Scholarship Fund

The Mary M. Yost Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to The Ohio State University Foundation from Mary M. Yost (Ph.D. '49), Professor Emeritus, College of Education.

Dr. Yost had a long and distinguished career at Ohio State and was regarded with the highest esteem by her students and colleagues. Dr. Yost taught physical education at The Ohio State University from 1937 until she retired in 1982, and then continued to teach following her retirement. She was a retired LCDR, U.S. Navy. She is known for her deep concern for students and their learning.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Mary M. Yost Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide a full scholarship for one year to a citizen of Costa Rica who is interested in the areas of sport and exercise science, sport and leisure studies or related fields. A statement regarding full procedures for identification and selection of the recipient is available in the files of the College of Education. The income shall provide full tuition, room and board and other related expenses, including travel from Costa Rica, for one complete academic year (four quarters). The student may study at either the graduate or undergraduate level, and does not need to be seeking a degree. The award is non-renewable, except in the case of illness or other special circumstances.

Selection of the Yost Scholar will be made annually by the Dean of the College of Education or designee, in consultation with faculty from Costa Rica and faculty in Sport and Exercise Science, and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Education in order to carry out the desire of the donor.

\$157,182.21

The Lida Shriver Nursing Scholarship and Research Fund

The Lida Shriver Nursing Scholarship and Research Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ralph Kent (B.S. Accounting '37) of Harbor Springs, Michigan, and Longboat Key, Florida, on the occasion of Betty Kent's 80th birthday and in honor of Betty's favorite aunt, Anna Lida Shriver, who completed her nurses training through Grant Hospital and continued as a nurse at Grant throughout her career.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support high ability undergraduate students enrolled in the College of Nursing. Each year the income will be divided between undergraduate scholarships and research awards. Scholarship recipients shall be high ability students with a GPA of 3.5 or above. Research awards will be granted to honors students completing their senior year thesis. Recipients will be selected by the Dean of the College of Nursing or his or her designee. This fund will be administered by the College of Nursing in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$116,125.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Gerald and Elizabeth Williams Undergraduate Honors Program Fund

The Gerald and Elizabeth Williams Undergraduate Honors Program Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Gerald R. (B.S.Bus.Adm. '60) and Mary Moffatt Williams (B.S.Ed. '60) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships and to provide program support funds to enhance communications skills, seminars, corporate interactions such as projects and other facets of the Undergraduate Honors Program in The Max M. Fisher College of Business. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$34,312.50

The Jack W. Kennedy Scholarship Fund

The Jack W. Kennedy Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends of Jack W. Kennedy.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship(s) to undergraduate students in The Max M. Fisher College of Business with financial need. Selection shall be made by the Dean of the Fisher College in consultation with the College Selection Committee and with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$33,243.65

Russell Klein Innovation Fund Endowment

The Russell Klein Innovation Fund Endowment was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Russell Klein.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Russell Klein Innovation Fund Endowment (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Dean of the Fisher College of Business to fund new projects and activities which further the interests of the College. Allocations from this fund will act as catalysts for new program developments and be based on competitive review processes.

It is the desire of Russell Klein that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of Russell Klein.

\$32,908.35

Richard and Margaret Knowlton Scholarship Fund

The Richard and Margaret Knowlton Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid, from Mr. and Mrs. Richard Knowlton, Bellefontaine, Ohio, and Hilton Head, South Carolina.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to the office of Student Financial Aid to be used to fund one or more scholarships for worthy undergraduates on any Ohio State University campus, with financial need, from Logan County, Ohio. Scholarships are renewable upon satisfactory progress toward a degree.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of the Office of Student Financial Aid in order to carry out the desire of the donors.

\$31,080.00

The Anita and Michael Goldberg, Rite Rug Company and its Founder, Duke Goldberg,
Endowment for Cancer Research Fund

The Anita and Michael Goldberg, Rite Rug Company and its Founder, Duke Goldberg, Endowment for Cancer Research Fund for the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Anita and Michael Goldberg Family, the Rite Rug Company, and the Duke Goldberg Family Foundation of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Anita and Michael Goldberg, Rite Rug Company and its Founder, Duke Goldberg,
Endowment for Cancer Research Fund (contd)

The annual income shall be used to support cancer research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute as approved by the Director of The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$30,000.00

The George W. Broughton Natural Resources Program Fund

The George W. Broughton Natural Resources Program Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George W. Broughton (B.S. '80; M.S. Agricultural Economics '81), of Marietta, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support natural resources programming via Ohio State University Extension in southeast Ohio. The income shall be used to promote and conduct educational outreach programs in natural resources subjects, and shall include support for teaching and educational materials, publications, and awards and honors, based on proposals submitted by county and district extension personnel. Selection shall be made by a committee convened at least annually by the Extension Specialist and/or District Director in case of a vacancy, who is directly responsible for natural resources programming in The Ohio State University District that includes Washington County.

The committee will include George Broughton or his designee, and shall select appropriate programs based on competitive proposals, with first preference being for those in Noble, Washington, Monroe, and Morgan Counties. The programs funded by this endowment shall be directed by the Extension Specialist and/or the District Director in case of a vacancy, in The Ohio State University Extension District that includes Washington County who is responsible for natural resources programming, in consultation with the District Director for The Ohio State University Extension District that includes Washington County, or their designees. George Broughton or his designee shall be informed annually of the uses of the earned income. Should funds be left unused after June 30 each year, they shall be directed to the Washington County 4-H program and used as directed by the Washington County 4-H Agent for scholarships, or for programs that advance 4-H members in Washington County.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The George W. Broughton Natural Resources Program Fund (contd)

be designated by the Foundation Board in consultation with the Director of Ohio State University Extension, or their successor, in consultation with the Vice President for Agricultural Administration in order to carry out the desire of the donor.

\$25,500.00

The John Glenn Institute Endowment Fund

The John Glenn Institute Endowment Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends and admirers of Senator John Glenn.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the programs and activities of The John Glenn Institute for Public Service and Public Policy.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of the Institute in order to carry out the desire of the donors.

\$25,100.00

The Paul H. Rofkar Scholarship Endowment Fund

The Paul H. Rofkar Scholarship Endowment Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Paul H. Rofkar (B.S.Agr. '38; B.S.Agr.Eng. '39) to The Ohio State University College of Food, Agricultural, and Environmental Sciences.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for merit scholarships, and will be restricted to qualified students who have maintained at least a 3.5 GPA, demonstrated leadership, with goals related to the College of Food, Agricultural, and Environmental Sciences, and who are enrolled in the Department of Food, Agricultural, and Biological Engineering. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Vice President for Agricultural Administration, and the Chair of Department of Food, Agricultural, and Biological Engineering in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The William and Dolly (Ardath) Saxbe Endowed Fund for the Arts

The William and Dolly (Ardath) Saxbe Endowed Fund for the Arts was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from William B. and Ardath L. (Dolly) Saxbe of Mechanicsburg, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the Dean of the College of the Arts, to support the priorities and initiatives of the College. Mr. and Mrs. Saxbe will be apprised annually of the disposition of the income, and where appropriate, will be acknowledged publicly for their support of the arts at Ohio State.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Dean of the College of the Arts in order to carry out the desire of the donors.

\$25,000.00

The Carl F. Mayer '09 Scholarship Fund

The Carl F. Mayer '09 Scholarship Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from his son, Mr. Charles G. Mayer (B.S.Ed. '40; M.A.Ed. '47), in tribute to his father's memory.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship in the Knowlton School of Architecture in the name of Mr. Carl F. Mayer. Mr. Mayer, a 1909 graduate of the School of Architecture at The Ohio State University, served the University as a staff architect in the University Architect's office for 19 years and provided significant structural engineering input into the construction of Ohio Stadium.

The scholarship will be awarded annually to a young man or woman in the architecture section at the end of his or her sophomore year. The scholarship is to be given to a student who shows marked talent for architectural design, has maintained at least a 3.5 grade point average, and demonstrates financial need. The recipient will be selected by the Director of the Knowlton School of Architecture in consultation with the Architecture Scholarship Committee and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of Mr. Charles G. Mayer.

\$22,400.00
(Grandfathered)

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 99-78

October - December, 1998

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Administration, or for the Hospitals of the University and their related facilities, the Associate Vice President for Health Services and Executive Director of University Hospitals, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Administration has submitted a report on waivers of competitive bidding requirements granted for the period of October - December, 1998; and

WHEREAS during the period covered, the Vice President for Business and Administration, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 24 waivers of competitive bidding requirements for annual purchases totaling approximately \$2,835,700, as shown on the attached exhibit; and

WHEREAS during the period covered, the Associate Vice President for Health Services and Executive Director of University Hospitals, at the requests of the Hospitals of the University and their related facilities making purchases, granted 34 waivers of competitive bidding requirements for annual purchases totaling approximately \$7,701,300, as shown on the attached exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of October - December, 1998 is hereby accepted.

(See Appendix XXVIII for chart, page 617.)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 99-79

ROBINSON LABORATORY REPLACEMENT
BOTANY AND ZOOLOGY RENOVATION
PAGE HALL RENOVATION
LIBRARY BOOK DEPOSITORY – PHASE II
PHYSICAL SCIENCES RESEARCH BUILDING
HAGERTY HALL REHABILITATION
SISSON HALL REPLACEMENT
OARDC – FEED MILL REPLACEMENT
ATI – EQUINE CENTER
LIMA – GALVIN HALL THIRD FLOOR RENOVATION
MANSFIELD – FOUNDERS HALL AUDITORIUM RENOVATION
ATI – HORTICULTURE OPERATIONS CENTER PHASE I
NATURAL HABITAT RESEARCH IMPROVEMENTS PHASE III
SCIENCE AND TECHNOLOGY PROJECT
JACK NICKLAUS MUSEUM

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

Synopsis: Authorization to employ an architect/engineering firm for the Robinson Laboratory Replacement, Botany and Zoology Renovation, Page Hall Renovation, and Library Book Depository – Phase II projects; to request construction bids for the Physical Sciences Research Building, Hagerty Hall Rehabilitation, Sisson Hall Replacement, and OARDC Feed Mill Replacement projects; and to employ an architect/engineering firm and request construction bids for the ATI – Equine Center, Lima – Galvin Hall Third Floor Renovation, Mansfield Founders Auditorium Renovation, ATI Horticulture Operations Center Phase I, Natural Habitat Research Improvements Phase III, Science and Technology Project, and Jack Nicklaus Museum projects is requested.

WHEREAS the University desires to proceed with the design to demolish the existing Robinson Laboratory and construct new space for the Mechanical Engineering Department on the Robinson Lab site; and

WHEREAS the total estimated project cost and the total estimated construction cost will be determined as a part of the planning process, with planning funds provided in House Bill 850 (\$1,000,000) and construction funds anticipated in a future biennium capital appropriation; and

WHEREAS the University desires to proceed with the design to renovate the Botany and Zoology Building for use by the College of Biological Sciences and other academic areas; and

WHEREAS the total estimated project cost and the total estimated construction cost will be determined as a part of the planning process, with planning funds provided in House Bill 850 (\$1,700,000) and construction funds anticipated in a future biennium capital appropriation; and

WHEREAS the University desires to proceed with the design to renovate Page Hall following the relocation of the Fisher College of Business for use by academic units as yet undetermined; and

WHEREAS the total estimated project cost and the total estimated construction cost will be determined as a part of the planning process, with planning funds provided in House Bill 850 (\$700,000) and construction funds anticipated in a future biennium capital appropriation; and

WHEREAS the University desires to proceed with the construction of the second of five high bay storage modules designed to provide economical and environmentally beneficial storage of low activity library books and materials; and

WHEREAS this Board approved proceeding with design of this project on November 1, 1996; and

WHEREAS the total estimated project cost is \$2,000,000 and the total estimated construction cost is \$1,697,500, with funding provided by the Ohio Board of Regents; and

WHEREAS the University desires to proceed with the demolition of the existing Welding Engineering Building and the construction of a new facility to house the Department of Physics; and

WHEREAS this Board approved proceeding with design of this project on November 1, 1996; and

WHEREAS the total estimated project cost is \$50,000,000 and the total estimated construction cost is \$41,010,500, with planning funds provided in House Bill 748 (\$4,000,000), a portion of the construction funds provided in House Bill 850 (\$20,000,000) and the balance of the construction funds anticipated in a future biennium capital appropriation; and

WHEREAS the University desires to proceed with the rehabilitation of Hagerty Hall to house a portion of the College of Humanities; and

WHEREAS this Board approved proceeding with the design of this project on November 1, 1996; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the total estimated project cost is \$20,500,000 and the total estimated construction cost is \$15,491,795, with planning funds provided in House Bill 748 (\$1,000,000), a portion of the construction funds provided in House Bill 850 (\$18,500,000) and the balance of project funds provided by gifts to the College of Humanities (\$1,000,000); and

WHEREAS the University desires to proceed with demolition and replacement of a portion of Sisson Hall for the College of Veterinary Medicine; and

WHEREAS this Board approved proceeding with the design of this project on November 1, 1996; and

WHEREAS the total estimated project cost is \$21,400,000 and the total estimated construction cost is \$15,990,690, with planning funds provided in House Bill 748 (\$1,600,000), a portion of the construction funds provided in House Bill 850 (\$17,600,000), and the balance of project funds provided by gifts to the College of Veterinary Medicine; and

WHEREAS the University desires to proceed with the design and construction of a new feed mill with raw ingredient storage and feed processing equipment on the OARDC campus; and

WHEREAS the Legislature of the State of Ohio has approved a project delivery method of design/build for this project in House Bill 215; and

WHEREAS the total estimated project cost is \$5,800,000 and the total estimated design/build cost is \$5,022,422, with planning funds provided in House Bill 748 (\$300,000) and the balance of project funds provided in House Bill 850 (\$5,500,000); and

WHEREAS the Agricultural Technical Institute desires to construct a 52-stall barn, breeding area, offices and arena barn with stalls with doors, windows, gates, lighting, and hay racks; and

WHEREAS the total estimated project cost is \$1,002,908 and the total estimated construction cost is \$867,000, with funding provided by House Bill 748 ATI Basic Renovation (\$430,321), House Bill 790 ATI Supplemental Renovation (\$125,000), House Bill 808 ATI Line Item (\$88,587), House Bill 850 Regional Campus Basic Renovation (\$147,000), and Agricultural Technical Institute funds (\$212,000); and

WHEREAS the Lima Campus desires to proceed with the renovation of the 3rd floor of Galvin Hall to provide additional classroom space; and

WHEREAS the total estimated project cost is \$1,118,330 and the total estimated construction cost is \$921,682, with funding provided in House Bill 850; and

WHEREAS the Mansfield Campus desires to proceed with the renovation of the Founders Auditorium in Ovalwood Hall; and

WHEREAS the total estimated project cost is \$1,184,500 and the total estimated construction cost is \$875,000, with funding provided by House Bill 748 (\$14,000), House Bill 850 (\$850,000), North Central Technical College (\$50,000), and Mansfield Campus (\$270,500); and

WHEREAS the Agricultural Technical Institute desires to construct a facility to provide direct academic support to horticulture students; and

WHEREAS the total estimated project cost is \$2,300,000 and the total estimated construction cost is \$1,798,715, with funding provided by House Bill 850 (\$1,560,000), ATI funds (\$40,000), future biennium capital appropriation (\$700,000); and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the University desires to proceed with additional animal facilities for the International Association for the Preservation of Wild Animals, Inc. (The Wilds), a pass-thru project; and

WHEREAS the total estimated project cost is \$2,200,000 and the total estimated construction cost is \$2,167,000, with funding provided by House Bill 850; and

WHEREAS the University desires to proceed with construction of the Science and Technology Project, a pass-thru project; and

WHEREAS the total estimated project cost is \$4,000,000 and the total estimated construction cost is \$3,940,000, with funding provided by House bill 850; and

WHEREAS the University desires to proceed with the construction of Jack Nicklaus Museum, a pass-thru project; and

WHEREAS the total estimated project cost is \$1,500,000 and the total estimated construction cost is \$1,477,500, with funding provided by House Bill 850:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Robinson Laboratory Replacement, Botany and Zoology Renovation, Page Hall Renovation, ATI – Equine Center, Lima – Galvin Hall Third Floor Renovation, Mansfield Founders Auditorium Renovation, ATI Horticulture Operations Center Phase I, Natural Habitat Research Improvements Phase III, Science and Technology Project, and Jack Nicklaus Museum projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids for the Library Book Depository – Phase II, Physical Sciences Research Building, Hagerty Hall Rehabilitation, Sisson Hall Replacement, OARDC Feed Mill Replacement, ATI – Equine Center, Lima – Galvin Hall Third Floor Renovation, Mansfield Founders Auditorium Renovation, ATI Horticulture Operations Center Phase I, Natural Habitat Research Improvements Phase III, Science and Technology Project, and Jack Nicklaus Museum projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXIX for maps, page 619.)

AUTHORIZATION FOR 1999 SMALL CAPITAL IMPROVEMENT PROJECTS

Resolution No. 99-80

Synopsis: Acceptance of the listing of anticipated 1999 capital improvement projects, each estimated at less than \$1,000,000, and authorization for the University to proceed with these projects, with subsequent reporting to the Board's Fiscal Affairs Committee are proposed.

WHEREAS consistent with the fiduciary duty of the Board of Trustees regarding project oversight and approval, the delegation of authority to proceed with projects specified in the attached list is designed to expedite the University's ability to move forward with capital projects on a timely basis and ensure the most effective use of University resources; and

AUTHORIZATION FOR 1999 SMALL CAPITAL IMPROVEMENT PROJECTS (contd)

WHEREAS the Board has received the attached listing of capital improvement projects estimated at a total project cost of less than \$1,000,000 each that are anticipated and/or needed to be undertaken during 1999, subject to scheduling and funding availability; and

WHEREAS the President has recommended that the University be permitted to undertake, in accordance with established procedures, those listed capital improvement projects without additional Board authorization of each such project:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized, without any additional authorization or approval from this Board being necessary, to select qualified architectural and/or engineering firms as necessary, with the fees for these services to be negotiated between the firms selected and the University, and to request construction bids in accordance with established University procedures, and if satisfactory bids are received, to award contracts for any capital improvement project on the attached 1999 listing of projects estimated at less than \$1,000,000; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration shall submit reports as appropriate to the Fiscal Affairs Committee for any such project undertaken pursuant to this authorization in which the total actual project cost exceeds \$1,000,000 and shall present to the Board an annual report on capital improvement projects completed, under construction, or in planning or design during 1999 and a written report to the Fiscal Affairs Committee of this Board on all contracts awarded pursuant to this authorization.

(See Appendix XXX for maps, page 635.)

PURCHASE OF REAL PROPERTY

Resolution No. 99-81

219-221 WEST TENTH AVENUE
COLUMBUS, OHIO

Synopsis: Authorization for the purchase of the improved real property at 219-221 West Tenth Avenue, Columbus, Ohio, is proposed.

WHEREAS The Ohio State University has been presented with the opportunity to purchase the improved real property located at 219-221 West Tenth Avenue, Columbus, Ohio; and

WHEREAS the property has an average appraised value of \$177,500, and the owner, Bennett Cooper, of Worthington, Ohio, has agreed to sell the property to the University for \$175,000; and

WHEREAS the property is located within the South Campus acquisition area of the University's Master Plan and the appropriate University offices have determined that purchase of this property is in the best interest of the University; and

WHEREAS funds for the purchase will be provided from the Land Purchase Account:

NOW THEREFORE

PURCHASE OF REAL PROPERTY (contd)

219-221 WEST TENTH AVENUE
COLUMBUS, OHIO (contd)

BE IT RESOLVED, That the President and/or the Vice President for Business and Administration be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, the improved real property located at 219-221 West Tenth Avenue, Columbus, Ohio, for a price not to exceed \$175,000 and upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix XXXI for background information and map, page 639.)

PURCHASE OF REAL PROPERTY

Resolution No. 99-82

249 WEST TENTH AVENUE
COLUMBUS, OHIO

Synopsis: Authorization for the purchase of the improved real property at 249 West Tenth Avenue, Columbus, Ohio, is proposed.

WHEREAS The Ohio State University has been presented with the opportunity to purchase the improved real property located at 249 West Tenth Avenue, Columbus, Ohio; and

WHEREAS the property has an average appraised value of \$101,000, and the owner, Jeffrey Sturgeon, of Columbus, Ohio, has agreed to sell the property to the University for \$98,000.00; and

WHEREAS the property is located within the South Campus acquisition area of the University's Master Plan and the appropriate University offices have determined that purchase of this property is in the best interest of the University; and

WHEREAS funds for the purchase will be provided from the Land Purchase Account:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Administration be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, the improved real property located at 249 West Tenth Avenue, Columbus, Ohio, for a price not to exceed \$98,000.00 and upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix XXXII for background information and map, page 641.)

PURCHASE OF REAL PROPERTY

Resolution No. 99-83

**2.19 ACRES ON KINNEAR ROAD
COLUMBUS, OHIO**

Synopsis: Authorization to purchase approximately 2.19 acres of unimproved real property located on the south side of Kinnear Road bordering University property at 1275 Kinnear Road is proposed.

WHEREAS The Ohio State University has been presented with an opportunity to acquire 2.19 acres of unimproved property owned by CSX Transportation, Inc., which has discontinued rail service in the West Campus area and desires to dispose of its rights of way, both owned and held by easement; and

WHEREAS this property has an appraised value of \$100,000, and CSX has offered to sell the property to the University for \$92,500; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS funds for the purchase will be provided from the University properties research park account:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, 2.19± acres of unimproved land adjacent to and east and south of 1275 Kinnear Road at a price not to exceed \$92,500 and to acquire railroad right-of-way easement rights in the Kinnear Road area, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary updated approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix XXXIII for background information and map, page 643.)

1998-99 SECOND QUARTER CURRENT FUNDS BUDGET REPORT

Resolution No. 99-84

Synopsis: The revised Current Funds Budget for the second quarter of Fiscal Year 1998-99 is being submitted for adoption.

WHEREAS the University's enrollments for Summer and Autumn Quarters are now known; and

WHEREAS the mix of those enrollments will cause an adjustment in the University's student fee revenues; and

WHEREAS the cost of the University's Buckeye Scholarship Program is now known:

NOW THEREFORE

BE IT RESOLVED, That the appropriate resources and expenditure budgets be adjusted to reflect these changes; and

1998-99 SECOND QUARTER CURRENT FUNDS BUDGET REPORT (contd)

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and to file these materials with the official records of this University.

(See Appendix XXXIV for background information, page 645.)

Upon motion of Mr. Colley, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger.

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**THE OHIO STATE UNIVERSITY
ESTABLISHMENT OF AN ALTERNATIVE RETIREMENT PLAN**

Resolution No. 99-85

Synopsis: Authorization for The Ohio State University to establish an Alternative Retirement Plan pursuant to the Ohio Revised Code Section 3305, is proposed.

WHEREAS Ohio law provides that public colleges and universities establish Alternative Retirement Plans for eligible faculty and unclassified staff members; and

WHEREAS the Ohio Department of Insurance has designated eight companies to serve as plan providers for the Alternative Retirement Plan; and

WHEREAS the University desires to implement an Alternative Retirement Plan to provide the maximum flexibility to its eligible faculty and unclassified staff, thereby enhancing recruitment and retention:

NOW THEREFORE

BE IT RESOLVED, That effective today, The Ohio State University Alternative Retirement Plan in substantially the form attached hereto as Exhibit A and incorporated herein by this reference, be hereby established; and

BE IT FURTHER RESOLVED, That the Office of Human Resources and the Office of Legal Affairs are hereby authorized and directed to take such further action as may be necessary or advisable to implement this resolution, including, but not limited to, entering into agreements with designated provider companies; and

BE IT FURTHER RESOLVED, That the University will contribute to the Provider selected by an Employee electing to participate in the alternative retirement program, an amount equal to the amount which the University would have contributed to the respective state retirement system in which the Employee would participate, less the amount specified in Section 3305.06(E) of the Ohio Revised Code; and

BE IT FURTHER RESOLVED, That amounts withheld through payroll deduction from the salary of an Employee electing to participate in the alternative retirement program are designated as being picked-up and paid by the University as employer contributions under the relevant provision of Section 414(h) of the Internal Revenue Code of 1986, as amended.

Upon motion of Judge Duncan, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolution with seven affirmation votes, cast by Messrs. Celeste, Colley, Brennan, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger, and an abstention cast by Mr. Patterson.

(See Appendix XXXV for background information, page 651.)

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EDUCATIONAL AFFAIRS COMMITTEE MEETING

Mr. Colley:

Today, the Educational Affairs Committee is meeting as a committee of the whole. You will remember, when Ed Sullivan presented the Beatles to the American television audience back in the early sixties, he said, "Tonight we've got a really big shoo." Well today, we don't have Ed Sullivan, we don't have the Beatles, but we have a really big show and Dr. Edward Ray, our Provost, is going to present that show in words and pictures.

ACADEMIC ENRICHMENT AWARDS PRESENTATION

Provost Edward J. Ray: [video presentation]

Thank you, Mr. Colley. A little over 5 years ago, the University was dealing with some financial difficulties and a number of colleagues argued that even in the worst of times, while we are learning how to deal with some tough situations, we ought to be building things, we ought to be creating programs, and we ought to be undertaking new initiatives. It is really out of those conversations that the Office of Academic Affairs and the Office of Research began to create what has come to be known as the Academic Enrichment Program.

We have provided funding for a number of initiatives from our offices in collaboration with the colleges and departments in each of the last five years. The Academic Enrichment Program supports initiatives both inside and outside the classroom. If there are common elements to these programs, they are that they are often interdisciplinary in nature, cutting across many different areas and that they are competitively judged by faculty and staff at the University. It is on that basis that selections are made.

Our presentation this morning is divided into two parts. The first part will give several examples of programs that have received academic enrichment funding in the past and give you a sense of -- in the voice of those who are managing those resources -- how Academic Enrichment has made a difference for their efforts. In the second part of the program, Professor and Interim Vice President Bud Baeslack will introduce several distinguished colleagues who have received Academic Enrichment funding for this year. They will talk about the ways in which they plan to use those resources. So if we can, let's start the video.

[Video presentation]

Dr. William A. Baeslack III:

Good morning. This year we have awarded 19 Academic Enrichment Programs, totaling over \$1.5 million. I hope that you have had a chance to look at this newspaper that describes them. I think, as Ed has indicated, it is important to note that these are programs that both enrich the disciplinary areas and, in many cases, programs that support and promote interdisciplinary work between our colleges jointly and between departments. We feel that is very important at Ohio State.

We have asked three of the individuals who have received these awards to say a few words about each of their programs this morning. They are: Professor Christian Zacher, Department of Comparative Studies in the College of Humanities, will talk about the proposed Institute for Collaborative Research and Public Humanities; Professor Karen Bell, chair of the Department of Dance, will discuss New Media Technologies in the Arts program; and Professor Bob Gustafson, associate dean

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Dr. Baeslack: (contd)

of the College of Engineering, will describe a new initiative in Ecological Engineering.

Professor Christian K. Zacher:

My colleagues in the College of Humanities and I are grateful to the Board for the opportunity to talk about our new institute and to the Provost's office which awarded the Academic Enrichment funding that let us really launch our program. A few years ago when Dean Hall and the faculty of Humanities decided that the College could benefit from establishing a humanities institute, they did so not just to play catch up with our peer institutions who had such entities, but for three more compelling reasons.

First, we thought that such an institute might help gather our departments and centers into a more cohesive college, especially if we encouraged humanists to try to do what they don't often do, work collaboratively and in more interdisciplinary ways, developing research agendas and outcomes together. With that in mind, we chose the large topic of narrative for this year's collective focus. Through a competition we selected fellows from various fields to collaborate in talking and writing about this topic. Next year's topic for a new set of fellows will be institutions of memory. Our further aim, by the way, is to interest faculty from other parts of the campus to join us in our scholarly discussions. We are fully aware that language, literature, history, culture, religion and philosophy -- the human preoccupations that we study -- are not only to preserve humanists in our college.

Second, we thought that the institute could provide the organized support that would let us more effectively reach out with our humanities programming to the citizens of Ohio. As we all know, Outreach is both more expected, but more possible at a large public land-grant university, and we have devoted a lot of our energy to these efforts in the past year.

Jointly with the Ohio Humanities Council, we are creating a traveling chautauqua show for the State of Ohio. Next July it will feature scholar actors performing well-known American humorists, two of them Ohioans. Through the generosity of the Ohio Historical Society, it will be held at Ohio Village. In future years, the chautauqua will travel around the state. We are also putting together teams of OSU faculty and students to assist ethnic communities in Ohio in examining the ways they preserve their cultures through what are called heritage schools.

Secondly, what will probably be our most visible undertaking in the education of our general public, we have been invited to produce an encyclopedia of the Midwest to be published by Indiana University Press. It will probably be a 1,500 page compendium that we are sure will help people better know what and where the Midwest is and bring special attention to Ohio State and this institute. Professor Richard Sisson, co-editor, serves as the guiding light of this project. We have begun fund raising and grant seeking for these outreach and scholarly efforts.

Third and last, we also realize that the location Dean Hall chose to lease for us as our facility -- the old visiting faculty lodge next to the Mershon Center -- suddenly offered us the unexpected chance to try and create a living-learning community. Resident students that we selected and faculty can interact intellectually in ways that the ordinary classroom experience doesn't allow. We've begun designing that environment hoping eventually to create a year-long credit course composed of all

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Professor Zacher: (contd)

the academic work that gets done by the students who are engaged there with research faculty.

We have other ideas and plans up our sleeves and we're open to suggestions from anyone. But if someone asks me what the institute will be known for years down the road and what it will have done for its constituents, I would predict the following few things.

First, it would become home to one of the ten or so new federally-funded regional humanity centers in the country. Second, we will have a growing endowment fueled chiefly by the sizeable royalties predicted from this encyclopedia. Third, we may have become famous for sponsoring the one course in the history of The Ohio State University taught by a collaborative variety of OSU faculty and staff. It might, in fact, reach off campus as well through distance learning and maybe even through holographic projection available to our far flung alumni and friends and other students from Cleveland to Naples, Florida, to Los Angeles, and around the globe. Fourth, our traveling chautauqua will become a fixture in Ohio's summer cultural life.

We think people will skip T.V. and movies and Indians and Reds games to come to it. And, lastly, I think we'll be known as a humanities think tank that students and scholars from everywhere will want to be a part of. Thank you.

Professor Karen A. Bell: [video presentation]

I want to first give you some background on the Dance Department and some of our goings on these last fifteen years. What you're about to see is some labanotation. Labanotation is a symbol system for reading and writing dance. What you're about to see are three staves and with these three staves each of them represent a person. The symbols represent level, direction, shifts of weight, and body parts. It's a whole language unto itself.

In the mid-1980's, we began our foray into technology with the creation of a software called Labanwriter. At that time, labanotation was written out by hand, it used to be much like musical scores. Since our creation of this software, the Labanwriter, you can now click a mouse and facilitate the notation. In the nineties we began work on multimedia documentation and that multimedia notation then linked labanotation. This is a very detailed, complex language of writing with video so you can see the big picture with the video and the specifics of it with labanotation. You then could see the floor plan and the musical scores linked.

The other thing that you'll see along with this documentation, took us into the next step -- labanotation. So, again, you see that all of these symbols represent different body parts. You look at the staff and the inside of the staff shows the support system and the steps that you're taking. As you move to the outside of the staff, the different body parts -- whether it's the arms, fingers, or head -- are very detailed. And then you can see here that it's linked with the video. So what's happening on the video is also happening with the score. Then, also, the floor plan and the musical score are also linked with that.

These particular screens are from a multimedia CD-rom that we produced on the work of Victoria Uris. She is a nationally-known artist and on our faculty. There are over 300 screens that contextualize and document her work. She is not only a choreographer, but a videographer. Here's an example of a video work that she created. You may recognize this. The quality is not so great, but that's the Wexner Center corridor there where they are dancing.

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Professor Bell: (contd)

The success of this work, which was funded by the PEW Charitable Trusts, the Office of Research, and the College of the Arts, allowed us to build on our strengths in documentation and this year to receive the department's largest grant to continue in this area. Here you're seeing a three camera shot. With the click of a mouse you can see the dance at three different angles allowing for more complete documentation.

Next you're going to see a variety of schematics that show you our next step. We are now looking at documenting work even more fully with a nine camera shot, with documenting work from the inside out. Having a camera in the center and above the dancers allows for a virtual reality field. We hope to continue to explore some of these ideas with motion capture processes that will further our investigations down the road.

These experiences in documentation lead to exploration of instructional CDs and here you see an example of a ballet faculty member's CD that supplements information from her class. Students can see here and read more about a particular technical skill and movement concept. You can imagine that in our field the moving image plays a crucial role in the communication of ideas, form, and content. This allows students to go in and at their leisure, work with some of these ideas more in-depth.

Most exciting is the use of computer technology and creative work. Here is an example of a dance created through the software where the figure is, as you can see, manipulated, stretched, twisted, squashed, and turned. Again, the idea of motion capture comes into play down the road as you can put sensors on a live body, feed that into the computer, and then see the animated figure. This obviously is not a live body. This has implications for creative work and documentation.

Lastly, you see some photos of a recent multimedia graduate student project where the student created an environment with projections on two sides -- this was in a studio -- and a mirror on the third side that the projections bounced off of back to the other side. It was really quite exciting as he danced with his own projections and computer-generated figures. He made all of this in our new computer lab that was funded by UTS and the department that we got last year.

Until this year, we've done all of this with two part-time incredibly dedicated staff people. Academic Enrichment has allowed us a full-time line that we filled this year, allowing us to continue focus on research projects. We're currently searching for a faculty member who will have a joint appointment in Dance and ACAD, and that will help us further develop curriculum and push creative boundaries using dance technologies. We're also beginning to update some of our ten-year-old equipment, which is a thrill.

The work of the last fifteen years enables us to be well poised for the future. We're excited to be invited back as part of the College's Selective Investment proposal which requests funding for motion capture and to bring in professional quality video digital personnel. We're really looking forward to all that the future holds for us and thanks for the Academic Enrichment.

Professor Robert J. Gustafson: [video presentation]

Good morning. I'm the third element of these three segments and I appreciate the opportunity to present, on behalf of my colleagues, developing ecological engineering areas. These being my colleagues in the Department of Food,

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Professor Gustafson: (contd)

Agricultural and Biological Engineering, the School of Natural Resources, and the Department of Civil and Environmental Engineering and Geodetic Sciences.

A brief definition of engineering -- it involves the application of quantitative science through design to solve problems for the benefit of human kind. Specific engineering disciplines are often named either by the science which underpins them, like Chemical Engineering or Biological Engineering, or the industry they serve such as aeronautical engineering and civil engineering. Each has a uniqueness and benefits to justify the development of a separately-defined discipline structure.

We at Ohio State are making a bold statement in declaring that we think the science of ecology and its application through engineering is worthy of the development of such a status and that we're willing to commit our best effort to make it happen. The Ohio State University has a significant number of scientists and engineers who have been working with ecological principles for many years. In a moment, I'm going to share with you a brief segment of a video that highlights one of our current persons, Dr. William Mitch from the School of Natural Resources.

For the Board packets, you've hopefully found three items regarding ecological engineering. One is an ad placed in *Science Magazine* for the filling of three faculty positions. The impact of that ad itself is very significant in making a statement about our commitment to this particular area. Furthermore, the fact that we're running one search process with one search committee for three positions across two colleges and three tenure initiating units has not been lost on our colleagues either. This is a unique collaboration and it is what will make this venture work. I am not saying it's easy, but it's unique and we're working hard at it.

A second item is an announcement of a workshop we're hosting, hopefully to be cosponsored by the National Science Foundation, the stated goal of which is to plan the future development of ecological engineering in U.S. academic institutions. We are inviting others we know and who are known to have an interest and capacity to collaborate in this initiative. We want to think it through to do it right, to make this a world-wide and sustainable movement. Many issues need to be addressed to meet the ultimate goal of having a separately accredited ecological engineering program.

For those of you who might want to have more in-depth background, there is a description of a potential field in an article included in your packet. The article is by Dr. Mitch and was published in the *Ecological Engineering Journal* of which he is the founder and chief editor. To give a more visual summary, I have selected three minutes from a recent thirty-minute production, not by Ohio State University, but by the Information Television Network for National Public Television. If we could have that segment please.

[Video presentation]

Other segments of this tape show work in the northeast marshland, the Everglades of Florida, water fowl habitat in the lower Mississippi, electrical transmission line and right-of-way work. We have been very heavily involved here at Ohio State in conservation tillage for soil and environmental management. Although the tape itself is very U.S.-centered, very significant applications world-wide cry out for addressing through the concepts of ecological engineering.

ACADEMIC ENRICHMENT AWARDS PRESENTATION (contd)

Professor Gustafson: (contd)

We are pleased that the ecological engineering academic enrichment proposal was selected for funding, we are committed to doing our best with this investment, and we hope in a few years we will be back showing you one of those star performances at Ohio State University. Thank you.

Vice President Baeslack:

I'd like to thank Professors Zacher, Bell, and Gustafson for their interesting presentations. If the audience has any questions, they'd be pleased to address those at this point.

[Questions/answers from audience]

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ANNUAL ARCHITECT'S PRESENTATION

Ms. Jill Morelli: [slide presentation]

We're happy to bring you the seventh annual report of the construction activities for The Ohio State University for 1998. I also wish to cite the very hard work of many people at the University, including: Jean Frey, Lori Seeger, Julie Karovics, Dan Okoli, Laura Shinn, Robert Haverkamp, Eric Kunz, and Renny Komula, and the leadership of Janet Ashe in bringing this report to you today and in your Board materials.

There has been a steady growth in the construction activities at Ohio State University, but first of all it seems appropriate to look at the growth over time. Presently, we have 121 projects in-design or under construction for a dollar volume of \$581 million. For the purposes of this slide, emerging projects are shown in yellow and we have the green representing projects in-design. The gold are projects under construction and the red represents projects that have been completed this past year. The annual report and this presentation are also organized in these same four categories.

The Office of Resource Planning and Institutional Analysis takes the lead for the emerging projects. These emerging projects include some that have only partial funding or no funding at all at this time, but reflect the Affirm Thy Friendship Campaign initiatives and the University's improved long-range planning. Today we will look at Hagerty Hall and Page Hall, which are located in yellow on the right-hand side of the slide and also Larkins renovation project on the left. Both of these are representatives of the emerging projects.

Hagerty Hall was formerly the home of the Fisher College of Business, which has since moved to its new facility. Once renovated, Hagerty will be the home of various language departments and the Department of Linguistics in the College of Humanities.

Page Hall is presently occupied by the Fisher College of Business, but will be vacated when Phase II of the new facility is completed this year. This building will be renovated to house multiple users.

Larkins Hall renovation and addition will be our next project in excess of \$100 million. It will probably be constructed in two phases. First, the new construction

ANNUAL ARCHITECT'S PRESENTATION (contd)

Ms. Morelli: (contd)

will occur south of the existing building and be occupied. Phase II will then begin, which will renovate the existing facility.

All of these emerging projects will benefit from new legislation which will allow for the University to request local administration no matter the source of funding or the threshold amount. This is extremely significant legislation that benefits Ohio State University.

Projects in-design are those with an architect hired to design the building and to complete the contract documents for bidding and construction. These projects are reviewed by an advisory board set up to review projects for their compatibility with the campus architecture and compliance with the master plan. This year represents the first year of the Design Review Board. I would like to now introduce Rob Livesey, Director of the Knowlton School of Architecture and the chair of the Design Review Board, to explain it a little more. Rob --

Professor Rob Livesey:

Thank you, Jill. Good morning. Our review board was established with the adoption of the Campus Master Plan by the Board of Trustees in October 1995. Just implemented in July 1998, funding for the first two years of the board has been provided as a pilot project by the Space Facilities Committee. The board serves as an advisor to the University Architect and the Space Facilities Committee, and through the SFC to the Coordinating Council.

The Design Review Board has two primary objectives: 1) to interpret the Campus Master Plan's policies and guidelines, recommending modifications to proposed projects or, when appropriate, granting project exceptions to the Master Plan; and 2) to evaluate projects to ensure that they meet the highest quality standards. In other words, the board's purpose is to represent the civic mission of every project and to advocate quality design. The board's role is to help the University be a more informed and therefore better client.

The board is made up of seven members appointed by the President of the University: two outside professionals, two faculty from the Knowlton School of Architecture, two faculty-at-large, and a student. The two outside professionals are Charles Gwothmee, an internationally-acclaimed architect whom you might know for his addition to the Guggenheim Museum in New York, or more locally for his Taft residence in Cincinnati, his dining facility at Oberlin College, or his art museum at Youngstown State University. The second outside professional is Elizabeth Myer, former chair of the landscape architecture department at the University of Virginia, and noted landscape architect designer, critic, and historian.

The two faculty from the School of Architecture are Douglas Grath, who most recently won a competition for the Town Center for Shanahan, Illinois, and myself. The two faculty-at-large are David Franz, professor in the Department of English, and Rebecca Jackson, associate professor both in Internal Medicine and Physical Medicine and Rehabilitation. The student is Jennifer Carlson, a junior majoring in Business.

From our initial meeting in July 1998, we've met four times, undertaken 16 reviews of 13 projects, from Mack Hall to the Lane Avenue bridge, from the new North Garage to the Medical Gateway. The board is both project-specific and campus-focused. The board recognizes that not all of its suggestions will be implemented, but it believes that by raising the level of discourse and by introducing issues early

ANNUAL ARCHITECT'S PRESENTATION (contd)

Professor Livesey: (contd)

into the design process, we can assist the University in protecting and indeed enhancing this beautiful campus and all our campuses. You might think of us as sort of eco-technology for the campus.

Let me briefly describe the impact the board has had on a couple of projects. First, would be the Sisson Hall replacement for the College of Veterinary Medicine. The scheme as presented to the board had some character, but it missed the opportunity of representing the University at Olentangy River Road and John Herrick Drive. It also did not define a memorable exterior space. The board encouraged the architects to consider the importance of the back side of the building and to better define the courtyard to the north, by reducing the scale of the open space and creating a garden plaza for receptions.

The second example of a project is the Landscape Master Plan. The board found the overall direction of the plan to be good and agreed that the idea of the building sitting on lawns rather than bushes is also appropriate. It encouraged the landscape architects to more clearly establish a hierarchy of spaces. In addition, the board made several recommendations about specific detail improvements to the plan. For instance, it encouraged the designers to extend the Neil Avenue walk south of Woodruff, to clarify some issues about the University gateways, and added details to the so-called "River of Trees" south of the recreational fields.

There are many contributing factors to a great University. A sense of place is an essential ingredient in establishing an academic community. We trust that the Design Review Board assures that both the designers and users not only consider the necessary accommodations, but that every project, building, or landscape will make a positive contribution to the campus as a whole. Thank you.

Ms. Morelli:

Thank you, Rob. Today, we wish to show you three projects that are presently in-design and have been reviewed or will be reviewed by the Design Review Board shortly. The Knowlton School of Architecture, shown here at the top of the slide, also the Life Sciences Building, at the bottom of the slide, and then the Physical Sciences Research Center, located at the top to the right.

One of the projects in-design is the new home for the Knowlton School of Architecture, which is presently located in Brown and Ives Halls. Although this is a bit like "doctor as patient," I'm happy to report that the project is moving along nicely with great cooperation from the School and the College of Engineering.

The new Life Sciences Research Building is located in the southern sector of our campus. The College of Biological Sciences will move from the adjacent Botany and Zoology Building that will then allow it to undergo renovation in the future. This project has been reviewed two times by the Design Review Board. As an example, the Design Review Board has requested design changes in the interior lobby, making it more open and inviting, and exterior modifications as well.

Last year, we reported on the Physical Sciences Research Building, a \$50 million project for Physics. You may remember this picture of the existing conditions. Physics is presently located in Smith Lab, but will move to the former Welding Engineering site which will undergo demolition soon. Design is proceeding as the user and the University grapple with many of the issues of a complex department and their needs on a confined site. Recognizing the confines of a site, the Design

ANNUAL ARCHITECT'S PRESENTATION (contd)

Ms. Morelli: (contd)

Review Board has asked for improved efficiencies resulting in an innovative stacking of the offices in relationship to the research laboratories.

As the orange barrels and construction fences prove, we do not lack for construction on campus. These are exciting times at Ohio State, particularly for the future occupants of McPherson Lab, in the upper right, the Success Center, at the bottom of the slide, and the Ohio Stadium.

McPherson Laboratory will house the Chemistry and Astronomy Departments and the Astronomical Instrumentation Facility. It has been completely gutted and is now undergoing a major renovation. When completed, this project will house a variety of classrooms, offices, and laboratories.

A new building in the southern sector of our campus is the Success Center, which will combine counseling and consultation services, faculty and TA development, student athlete academic support services, career services, and the new academic learning center. This is a unique combination of uses, whose goal is to make every student a success. These integrated services will exist nowhere else but at Ohio State.

Finally, but not least by any means, Ohio Stadium began its work the summer of 1998 with a utility relocation package. The next package will be the slurry wall, or the walls that will allow the lowering of the field, and this work will begin in the summer of 2000. Within the next month, we will begin the main package, the construction and renovation of Ohio Stadium.

We are incorporating many innovative elements in this bid package. A default schedule will be included in the specifications that will spell out the University's priorities. We will use design claims insurance to properly focus energy should a claim arise. Specific technical and quality criteria will be listed and used to assist us in the determination of responsive and responsible contractors. And monetary incentives for successful completion will be used. We are very excited about this latter item. It's a million-dollar incentive package for early delivery of the project at various critical milestones. Although this reward system has been used successfully in highway construction, it has not yet been used for agency work in the State of Ohio.

Today, I'm going to highlight two of our many completed projects of 1998. These two projects were selected because of their significance for the future of the University and for the residents of the State of Ohio. Research laboratories, resource labs, and clinical spaces form the tenant improvements for the sixth floor of the medical research facility on 12th Avenue in our Health Sciences area. This is where scientists are investigating inherited and acquired forms of cancer. They are also translating these discoveries and others into useable diagnostics and therapies.

The Transgenic Animal Facility is located in our West Campus research area, and has been renamed the W. M. Peck Genetics Research Facility as authorized by this Board a year ago. This project creates a research laboratory and a clean-room environment for immuno-deficient mice as scientists explore gene transfer. And, by the way, we finished a couple of other projects, too.

It's been a great year. Amongst the groundbreaking and the opening events, we pause, and look at these accomplishments not as personal victories, but rather as successes for our entire OSU community. The future Ohio State students are the

ANNUAL ARCHITECT'S PRESENTATION (contd)

Ms. Morelli: (contd)

true beneficiaries of the collective achievements of people who care. We are constructing for 2010 and beyond, we are building for the future at Ohio State University. If you have any questions, I'll be happy to field them now. Any questions? I thank you for your time.

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STUDENT RECOGNITION AWARD

Ms. Allyson Lowe:

As we hold our Board meeting here in the Student Union, it seemed like an appropriate time to think about student government, student activities, and student social life and ask ourselves three questions, "Where do our student leaders come from? How do they get here? and What do they do once they are here?" Now I know there's a whole committee that can answer those questions for me, but I want to use one student's story today to answer those questions in a more personal way.

The student that I'm going to use for those questions is Kate Brown, from Canton, Connecticut. While OSU may seem like an unlikely destination for someone from Connecticut to choose, Kate tells me that she first visited Ohio State while she was being recruited for the field hockey team and actually fell in love with the school and decided to stay. Since then, Kate has played four full years of field hockey and completed her collegiate sport career as the team captain this year.

Her service to athletics continues. She is the current chair-elect of the Student Athletics Advisory Board and will chair that board during her fifth and final year here at Ohio State.

Kate stays busy off the playing field with her course work which is not surprising. Formally an agriculture engineering major, she is now an environmental sciences major and is looking forward to pursuing her major in her last year and also a co-op or internship this summer.

Kate is an active volunteer in the engineering service honorary known as TXNIKOI where she serves as a tutor. This year she became involved with Sphinx which is a senior honorary, and joins with its members on service projects such as working in local area soup kitchens. Kate has served also in the Columbus area as a Girl Scout troop assistant.

One of Kate's nominators in the Athletic Department told me that Kate is an outstanding leader and it's really easy to see why. She has demonstrated that the life of a student athlete combines excellence in academics, in sport, and certainly in community and University involvement.

Please join me and Dr. Kirwan in meeting Kate here on the stage and congratulating her on her contributions to Ohio State.

Ms. Kate Brown:

Hello, and thank you very much. This is a very special honor and I'm honored to be here today to be recognized for my accomplishments. I first want to thank Kate Riffie, who nominated me for this, and Ms. Lowe for giving me the opportunity to thank you for helping maintain and better our University, which I've grown to love more and more over the years.

STUDENT RECOGNITION AWARD (contd)

Ms. Brown: (contd)

I first came here, as was said, on my recruiting trip and really had no idea what Ohio was and I didn't know what Ohio State really had to offer me. When I came I was impressed by the facilities, the departments, especially the engineering department that I was interested in at the beginning, and just the feeling I got once I came here. I graduated from a very small, seventy-seven student class so I wanted a big school. I wanted lots of opportunities and I have definitely not been disappointed.

I thank you very much for all that you do for our school and for this recognition. I would like to invite you all to our field hockey games next year. We play in the fall and, on behalf of any student athlete, we love visitors. Thank you very much, again.

Mr. Celeste:

Thank you very much, Kate, we appreciate that.

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STUDENT GOVERNMENT REPORTS

Mr. Celeste:

Josh Mandel is next up to present the Student Government Reports, but before Josh begins I want to mention that several of us had the opportunity yesterday to participate in "Student for a Day." We really appreciated the efforts of Mara Leventhal who made that happen. I know that Dan, David, Allyson, and I had a chance to take part in that and I appreciate your help, Josh, in making that happen and particularly Mara's. So if you'd pass that on, we'd appreciate it.

Mr. Josh Mandel:

I definitely will and likewise the students had a great time with the Trustees. Actually, if you pick up the *Lantern*, there's a picture of Mr. Brennan eating food in Kennedy Commons.

This past week the Undergraduate Student Government came out with our second volume of the *Landlord Library*. This was a project we started last year where we survey students throughout the University area and ask them questions about their landlords -- How do they tend to maintenance? Do they keep their places up to date? What's the quality versus cost? -- and we take those responses, we publish them in a booklet and we distribute them to students. We mail them throughout the University and also drop them off to the dorms.

This year we made some additions to the publication and one of the largest was a crime distribution map. We partnered with a professor who took the statistics from BNS census of crime throughout the area and he mapped it out. He put it into a map so students could see what parts of the University district have the high and low crime density. So when they're looking to rent places, they can consider that as well.

As President Kirwan eluded to, the COTA referendum passed overwhelmingly the week before last. We had 8,000 students vote and ninety percent of them voted to continue the service for the next five years. It's been a program about which we've heard overwhelmingly positive responses from the students.

STUDENT GOVERNMENT REPORTS (contd)

Mr. Mandel: (contd)

Also as President Kirwan mentioned, we've been working with many officials at the University and also the City to try to bring some of the blue lights that are on campus, off campus as well. We'd like to thank Vice President Ashe and people within Business and Administration and Physical Facilities because they really stepped up to the plate and went to bat for the students on this project.

This past Tuesday, we had a meeting with people from Ameritech, Business and Administration, and the City. Basically, it looks right now that it's going to happen. It's just a matter of time, and we'd like to thank you and your staff for that.

This past quarter we started a program for night parking for students. It allows students to park anywhere on campus in the surface spaces from 9:00 p.m. - 5:00 a.m. This was in response to a lot of students who said at night time they didn't want to walk to and from campus because it wasn't that safe and there were all of these spaces empty on campus. They asked if they could park in them. So this also was a partnership with Transportation and Parking Services. We're still working out some kinks, but we've had a good response from the students who are participating.

With Dr. Garland, we have a student, Amanda Graf, who is working on putting together a sheet that will tell students how to graduate in four years. Basically, it will say that if you accomplish ABC you should graduate in four years. We're looking at what other schools do in holding universities accountable for certain things and to push this University to make sure that students don't get closed out of classes and that type of stuff. Dr. Garland, along with Dr. Janet Weiss Sorrels, in Arts and Sciences, have been great in working with Amanda, and hopefully for students soon there will be that program.

Currently, we have eight students attending the Association of Big Ten Students Conference at the University of Illinois in Champagne-Urbana, working with the other Big Ten schools. Hopefully, they will bring back to Columbus some worthy projects. We do this three times a year. We also invite the University of Chicago to talk about what their student governments are working on.

Finally, I'd like to thank Dr. Moser and Melissa Kreiger, as well as Bill Hall who have helped us bring a project for students, faculty, and staff on the Agricultural campus. Hopefully starting at the end of this quarter or the beginning of next, there will be food services on the Ag Campus. We heard from many students in the past that they are studying and doing research on the Ag Campus, and the faculty and staff are working there, but there is nowhere to eat lunch. So in Kottman Hall, hopefully, starting next quarter there will be those services.

Now I'm going to read the report from the Council of Graduate Students. The Council of Graduate Students has been very active in a number of areas since December. During the past few weeks, CGS members provided graduate students with information and staff polling sites for the COTA referendum. CGS is delighted that turnout was so high and students strongly support the program's continuation.

CGS has been meeting with the developer teams for the Gateway project. This week members of CGS met with representatives from both the Drecker Companies and Madison Marquette to discuss graduate student opinions and needs for the project, including retail stores and housing for graduate students. We were pleased that these companies consulted with us and look forward to seeing the final plans in the coming weeks.

STUDENT GOVERNMENT REPORTS (contd)

Mr. Mandel: (contd)

CGS Vice President Manisha Vagira Robin is busy with the 1999 Edward F. Hayes Graduate Research Forum. Over 160 abstracts were received and these are now off to the abstract judges for finalists to be presented in April. We would like to thank the Graduate School and the Office of Research for their ongoing support of the Forum. Top winners in each of the ten academic areas of the Forum receive \$500 travel stipends for presenting their work at a professional conference.

The CGS Professional Development Fund Committee is working hard on a new initiative which will provide graduate students with the ability to apply for funds to support professional development activities such as travel to conferences. Students will be eligible for up to \$300 per year and their service activities will be considered by the committee in making grants. CGS believes that this is a very effective way to encourage graduate students to participate in service activities.

Finally, many of you have read the *Lantern* article concerning the funding of international graduate students. Some members of the state legislature have suggested that foreign students should not receive funding. Graduate students, both international and non-international, are very concerned about this issue and CGS is working with University officials to address this problem. The view is short-sited and dangerous for a number of reasons and also inaccurate.

International graduate students are not receiving free tuition as some have suggested. As G.A.'s, they are expected to perform services such as research or teaching, if needed, and to support faculty research. Often international students go into certain fields such as science and engineering in greater numbers than U.S. students fulfilling a critical need for these people in these areas. Many international students do stay in Ohio upon graduation and contribute to the economy. Those who do return to their home countries remain grateful to Ohio State for their experience and become wonderful international allies and contributors to the University.

CGS asks the Board members for their support in practically dealing with this issue and is willing to assist the University with any efforts that need to be made.

Finally, the report from Eric Ley, President of the Interprofessional Council. The IPC, in an effort to increase communication between professional students and administrators, will soon be conducting professional school-wide I-QUE survey. The Interprofessional Council Quality of University Experience Survey is designed to give professional students a strong voice in shaping the future of the professional colleges at The Ohio State University. The purpose of this survey is to make The Ohio State University a better place for professional students.

The work on this project began last fall. A committee made up of representatives from each professional college helped write the questions presented. The I-QUE committee members are: Jody Ball, a dentistry student; Dawn Barkan, an optometry student; April Evans, an optometry student; Julia Grossman, a veterinary medicine student; Eric Ley, a medical student; Vijay Nath, a medical student; Chad Webb, a dentistry student; Chip Welch, a law student; A.J. Welgman Montero, a veterinary medicine student; and Rudy Whipps, a dentistry student. Please feel free to discuss these surveys with any of the previously mentioned professional students.

The I-QUE survey is now at the Office of Testing for layout and final editing. Positive and negative criticism received from all levels has helped shape the I-QUE survey. IPC would like to thank the following OSU members who have taken time to give feedback about the I-QUE survey: President Kirwan, Dr. Ray, Vice

STUDENT GOVERNMENT REPORTS (contd)

Mr. Mandel: (contd)

President Williams, Dr. Tzagournis, Dr. Barr, from Optometry, Dean Fields, Dean Hoffsis, Dr. Fenner, from Veterinary Medicine, Dr. Westman, from the College of Medicine and Public Health, and Don Stenta, from Student Activities.

Finally, pending final editing, the I-QUE survey will be sent to the printers next week. Professional students will then have the opportunity to complete the surveys in early March. Feedback to IPC for professional students shows that these students are excited about the opportunity to express their opinions through the I-QUE survey. After the surveys are administered, the final stage will be to present the data in an I-QUE report to the Board of Trustees.

Funding for this project will likely come from the Office of Student Affairs, Office of Health Sciences, and OSU professional colleges. The IPC thanks these co-sponsors for their support.

Having said that, I conclude the reports for the student governments. Does anyone have any questions or comments? Thank you very much for your time, Mr. Chairman.

Mr. Celeste:

Thank you very much, Josh. We appreciate your reports.

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**PRESENTATION OF THE GOVERNOR'S TEAM EXCELLENCE
IN THE PRIVATE SECTOR AWARD**

Mr. Celeste:

At this time, I'd like to call on Janet Ashe for a very special recognition that the President eluded to earlier in his comments. Janet --

Ms. Janet Ashe:

Thank you, Mr. Chairman. I am very excited today to recognize the Physical Facilities Training team. When I came here seven years ago, we started a quality program and the quality program has really taken off. All the different parts of this campus that Business and Administration touches have a quality team.

This team actually brought home a major piece of hardware this fall. They competed in the Governor's Quality Team of Ohio. They were the first university in the state to do this and they won. They then went on to Cleveland to be showcased with teams from Honda, AEP, and other companies, and did our University proud.

The recognition received up at the Ohio Manufacturer's Association in December was amazing. We had many people coming up to us asking how we did it. What was it that we did? We now have a great program for skill trades and that is something that many manufacturers want to know how we do that.

When I first came to Ohio State, a lot of people said that you'll never get training on this campus because "you can take classes at Ohio State." Well that didn't help us in some of the trades. We got a partnership with the union which was very important. We now have different vocational colleges come to our campus and

**PRESENTATION OF THE GOVERNOR'S TEAM EXCELLENCE
IN THE PRIVATE SECTOR AWARD (contd)**

Ms. Ashe: (contd)

train. As you can see from what was handed to you, the number of employees has gone from five to forty-one. It's just been absolutely amazing.

Most of all what this team has brought is recognition to Ohio State University. We were at this banquet with some very heavy hitters from the state that are known for quality issues such as Honda. I've also now been asked to meet monthly with the Ohio Manufacturer's Association, which always met right in our back yard -- on Ackerman Road -- and now we'll be doing that.

I want to have the team come up. Like I said it's not just football teams who bring in major hardware. We received resolutions from Senator Espy and Representative Amy Salerno. The team members are: Leon Davis, Maintenance; Aly DeAngelo, Measurement; Jed Durtinger, Training; Dick Lighthiser, Maintenance; Sam Rutger, Maintenance; Paul Sherwood, Engineer's office; Amy Sullivan, Engineer's office; Jim Woltz, Maintenance; Ed Berlepsch, Maintenance; and, of course, our Associate Vice President, Jim Stevens.

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Thereupon the Board adjourned to meet Friday, March 5, 1999, at the Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

William J. Napier
Secretary

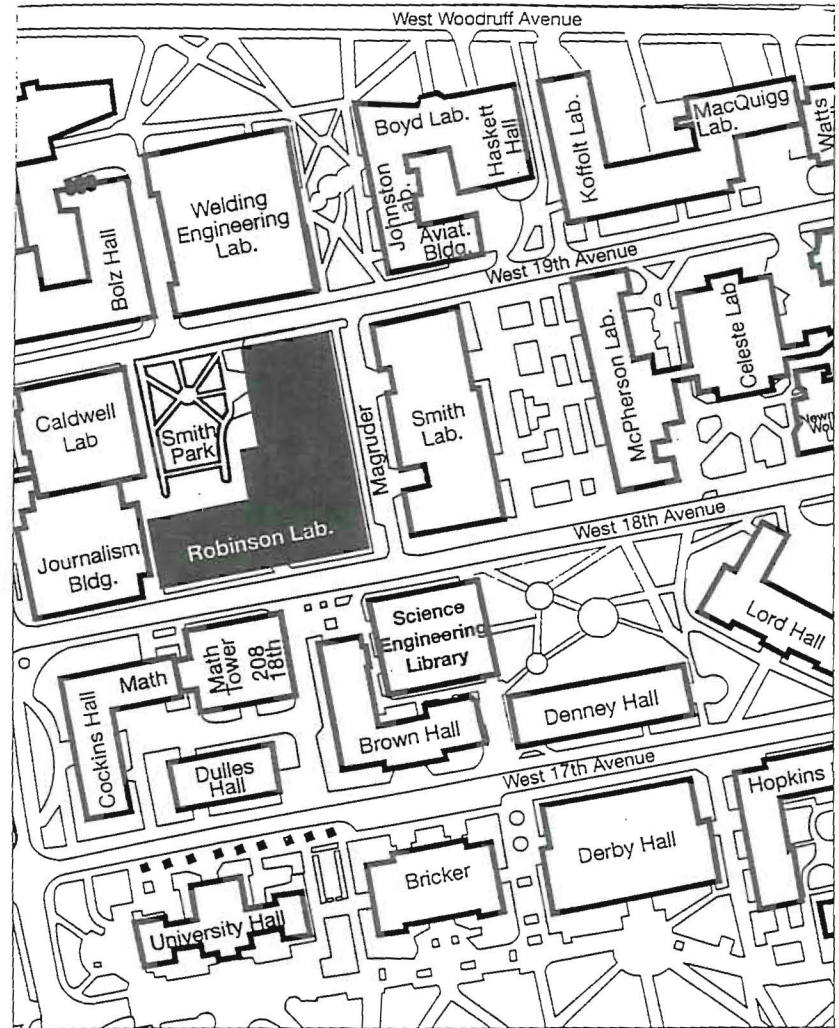
Theodore S. Celeste
Chairman

Waivers of Competitive Bidding Requirements October-December 1998

(APPENDIX XXIX)

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals - Professional Health Care Services	\$2,433,440	\$0	\$0	\$2,433,440
Hospitals - Equipment and Services	\$5,267,859	\$4,334,989	\$0	\$932,870
Instructional and Research Equipment and Services	\$973,107	\$824,511	\$0	\$148,596
Administrative Support Equipment and Services	\$1,752,638	\$435,391	\$227,172	\$1,090,075
Conference Facilities	\$110,000	\$55,000	\$0	\$55,000
TOTAL	\$10,537,044	\$5,649,891	\$227,172	\$4,659,981
	58	39	1	18

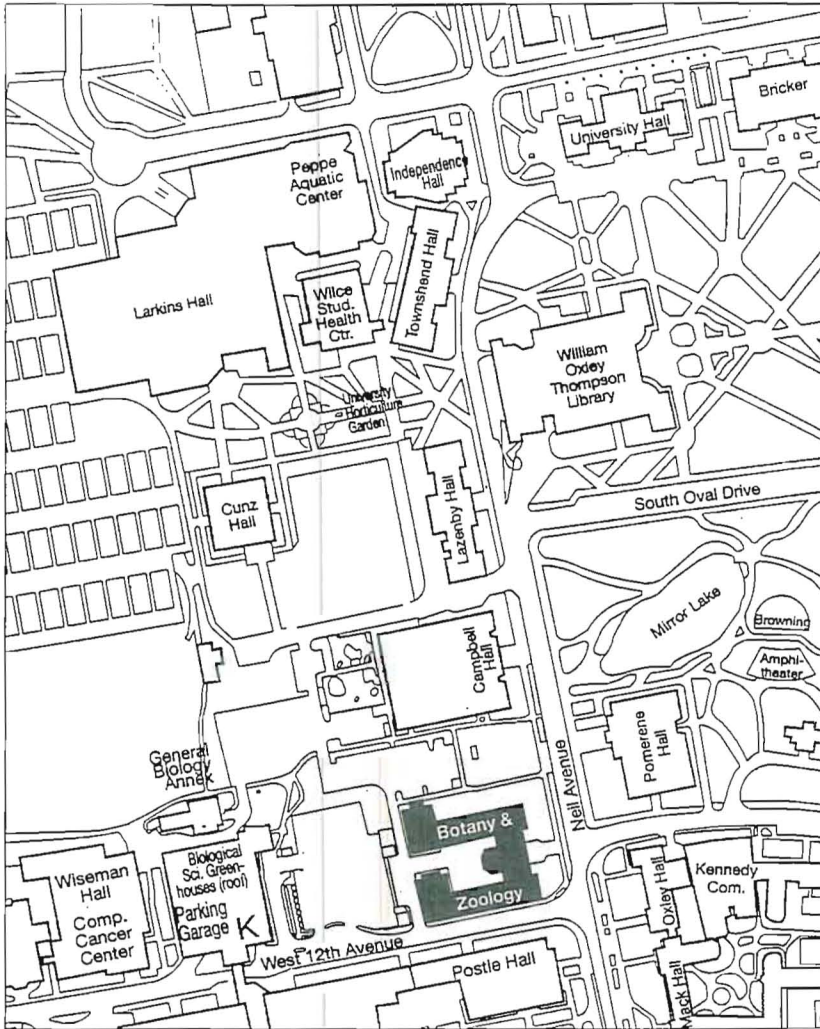
Robinson Laboratory Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
January 1, 1999



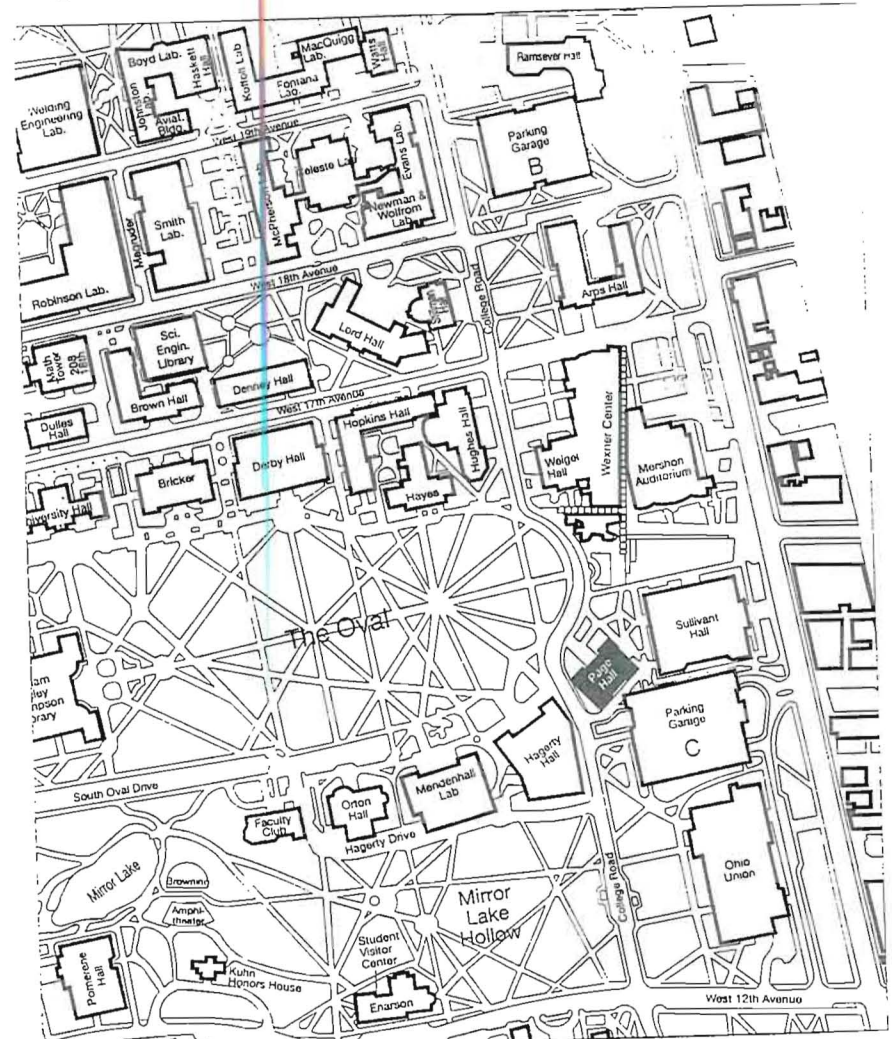
Botany and Zoology Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
December 19, 1998



Page Hall Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



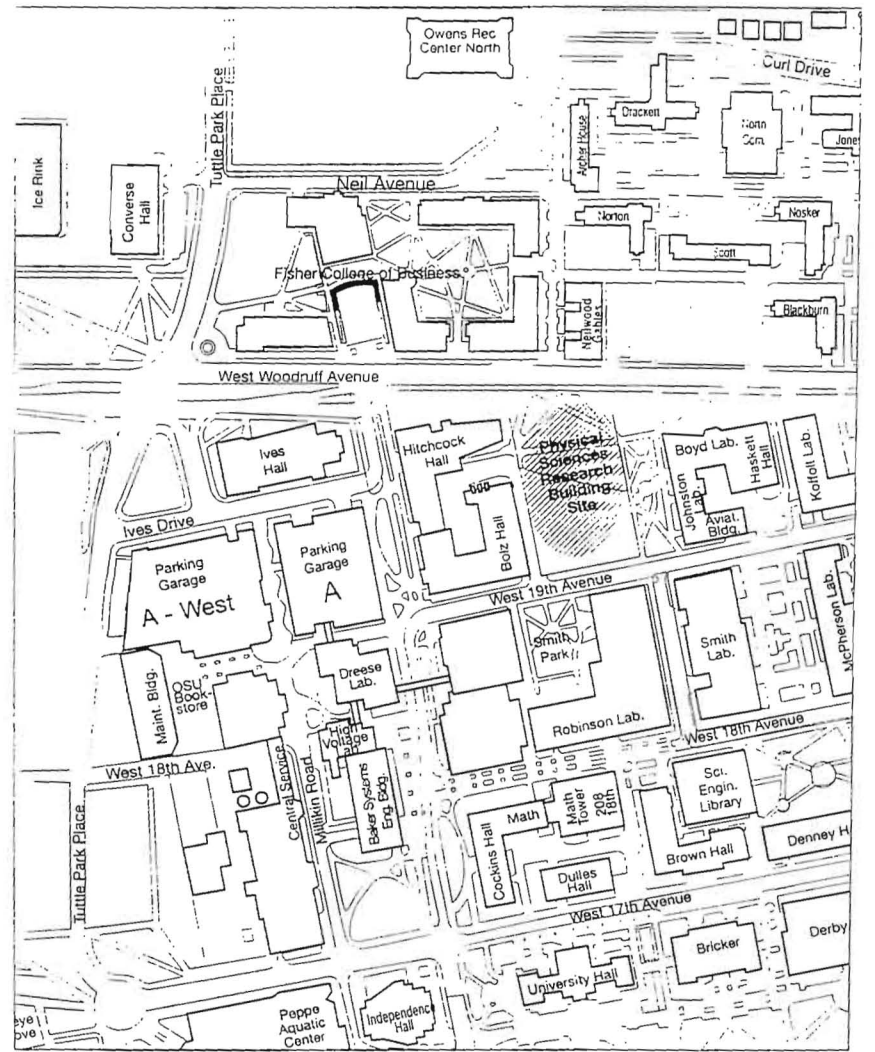
Library Book Depository - Phase II



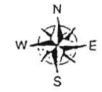
Office of Business and Administration
Office of the University Architect and Physical Planning
October 7, 1996



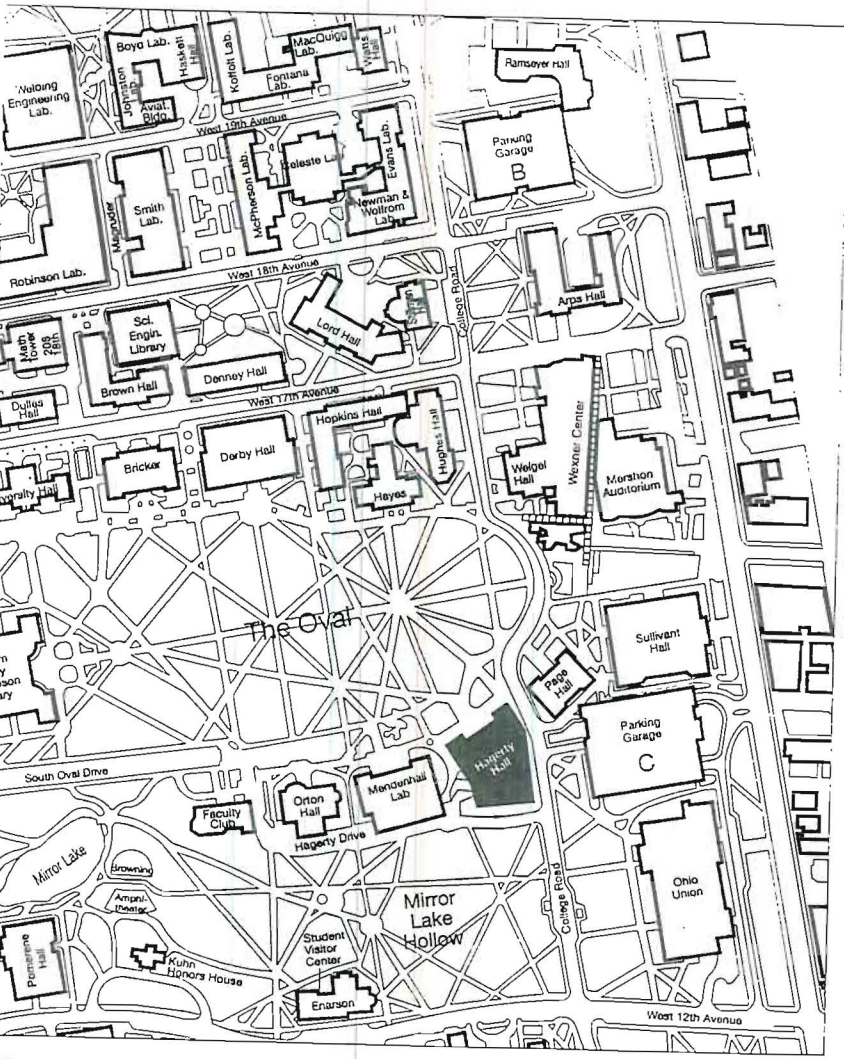
Physical Sciences Research Building



Office of Business and Administration
Office of the University Architect and Physical Planning
January 10, 1999



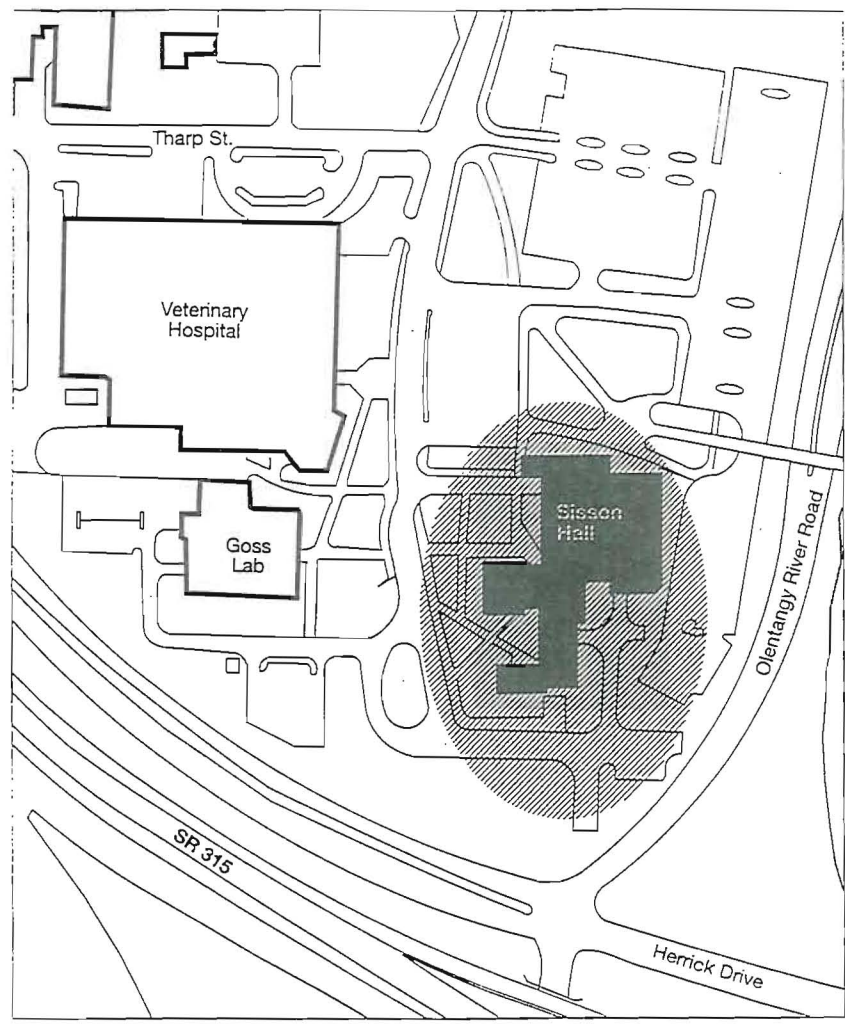
Hagerty Hall Rehabilitation



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



Sisson Hall Replacement



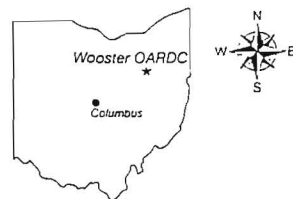
Office of Business and Administration
Office of the University Architect and Physical Planning
December 8, 1996



OARDC - Feed Mill Replacement



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



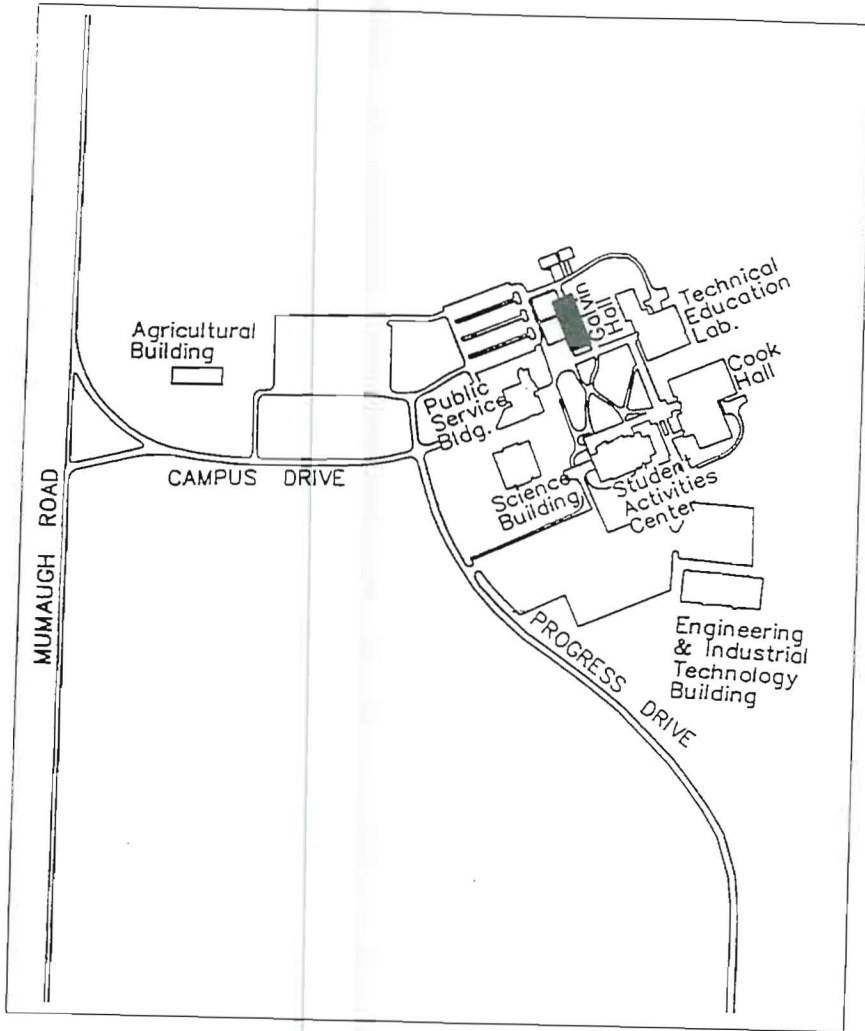
ATI - Equine Center



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



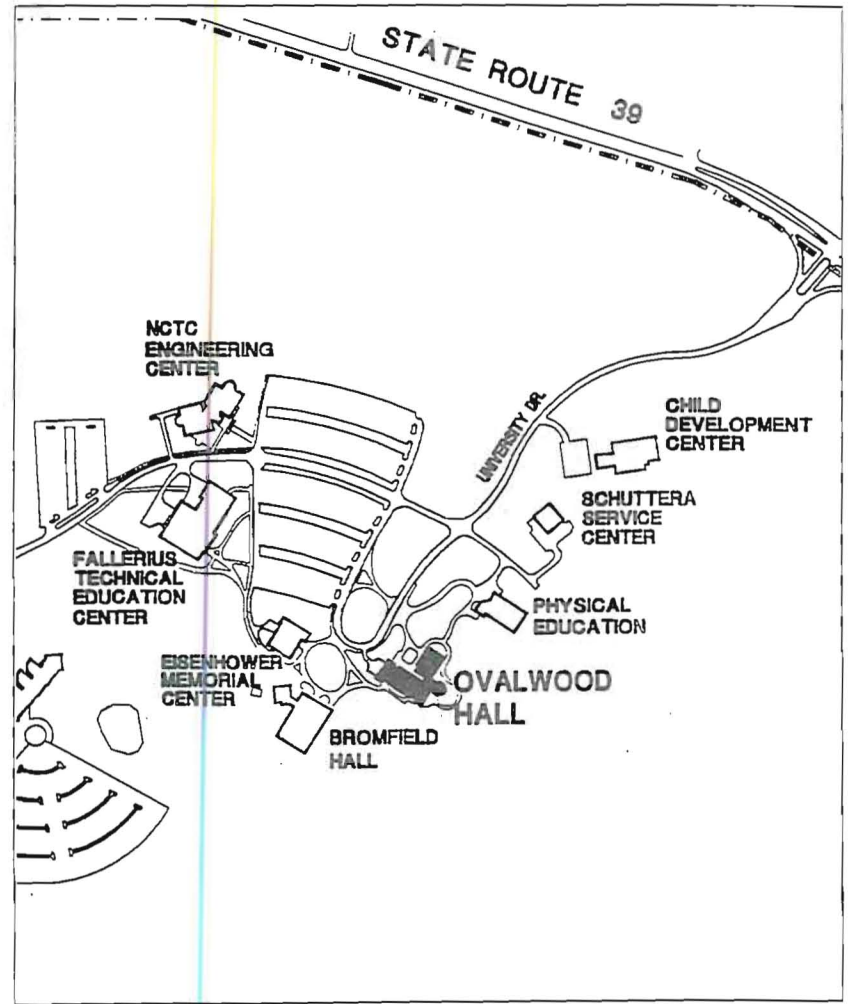
Lima - Galvin Hall Third Floor Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
January 5, 1999



Mansfield - Founders Auditorium Renovation



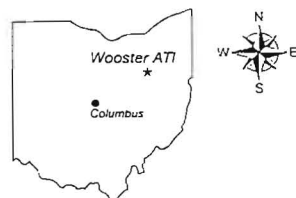
Office of Business and Administration
Office of the University Architect and Physical Planning
April 9, 1998



ATI - Horticulture Operations Center Phase I



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999



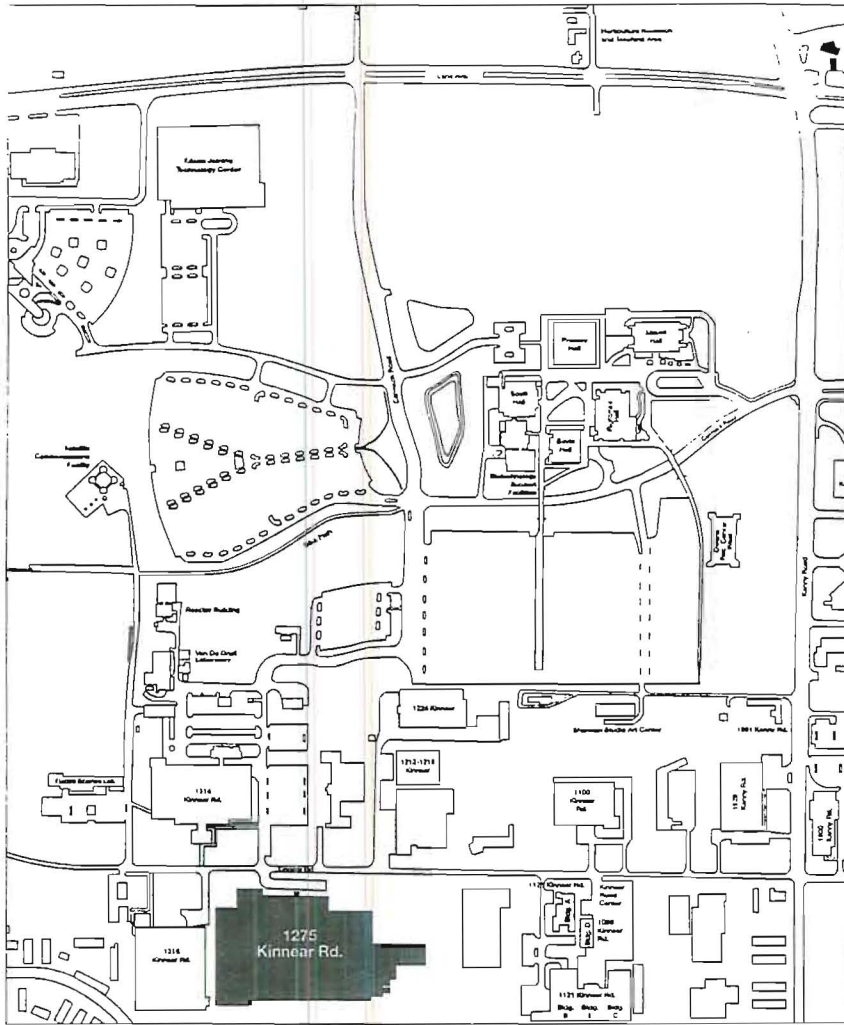
**Natural Habitat Research Improvements,
Phase III (Pass Thru)**



Office of Business and Administration
Office of the University Architect and Physical Planning
January 10, 1999



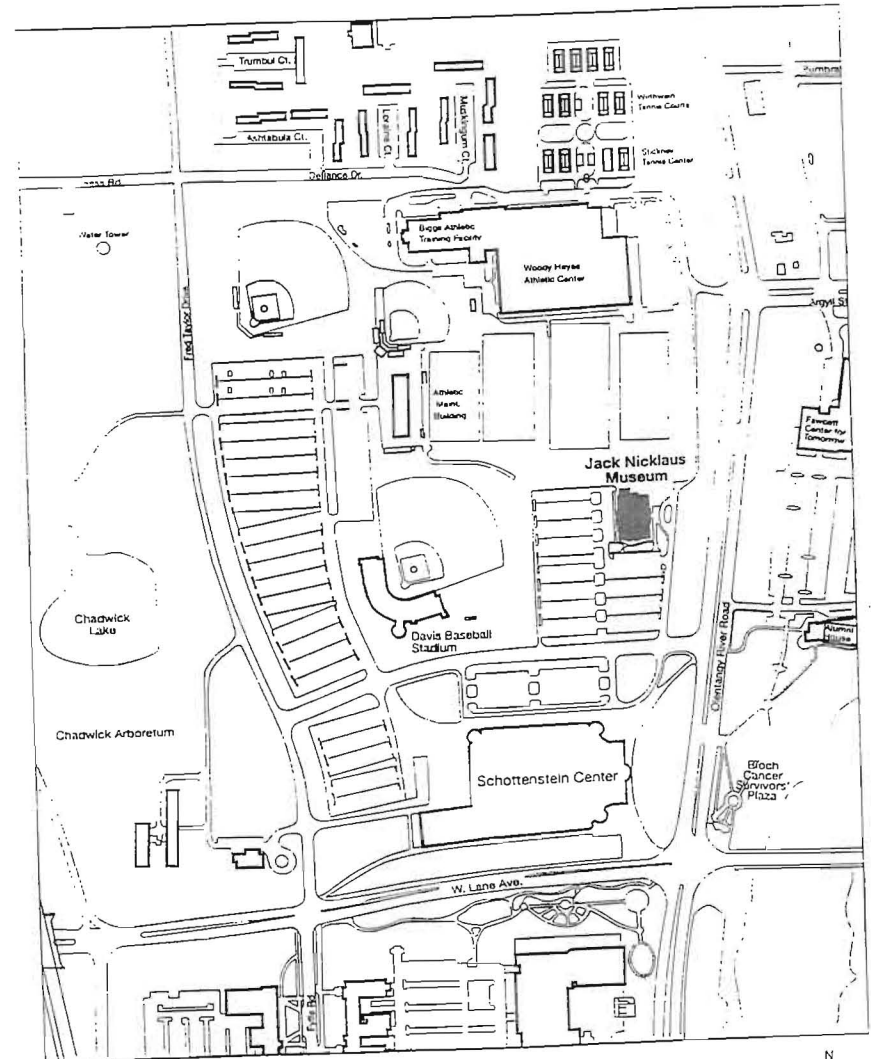
Science and Technology Project



Office of Business and Administration
Office of the University Architect and Physical Planning
January 5, 1999



Jack Nicklaus Museum



Office of Business and Administration
Office of the University Architect and Physical Planning
January 5, 1999



ANTICIPATED 1999 CAPITAL IMPROVEMENT PROJECTS
 TOTAL PROJECT COST OF \$1 MILLION OR LESS
 Submitted to the Board of Trustees, February 5, 1999

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
1218 Kinnear - Renovate URL Lab Space	\$475,000	University Hospitals	Renovate URL Lab space for clerical use
1315 Kinnear Road Roof Replacement	\$680,000	House Bill 850 Basic Renovation	Remove and replace the existing system with addition of expansion joints and well as improved drainage
Biological Sciences Cooling Tower Replacement	\$500,000	House Bill 850 Basic Renovation	Replace the worn out, undersized cooling tower with a new 4-cell tower sized to handle all of the building's chillers
Campus Buildings Emergency Lighting	\$500,000	House Bill 850 Basic Renovation	Buildings identified by the State Fire Marshall as not providing adequate emergency lighting for egress from the building will be upgraded with more emergency lighting to comply with current Life/Safety codes
Campus Buildings OSHA Fume Hood Monitors, Phase I	\$500,000	House Bill 850 Basic Renovation	Install OSHA required fume hood monitors on existing fume hoods that were not required to be so equipped when installed
Campus Grounds Exterior Lighting - Phase VI	\$500,000	House Bill 850 Basic Renovation	Continue the replacement of existing exterior lights with energy efficient lights that improve light levels and safety without increased electrical consumption. This phase of the project will complete lighting upgrades in the area bounded by 10th Avenue, College Road, 12th Avenue, and Neil Avenue
Cockins Hall Math & Statistics Learning Lab Renovation	\$800,000	House Bill 850 Basic Renovation	Renovate selected areas in the basement and first floor of Cockins Hall and one suite in the Math Building for the mathematics and statistics learning lab to provide open study areas, reservable rooms for peer led study groups, computer with statistical and tutorial software, support of WEB pages and multimedia course materials, and facilities for enhancing the classroom skills of tutors, TA's and lecturers.
Curl Drive Mill and Overlay	\$250,000	House Bill 850 Basic Renovation	Mill and overlay Curl Drive from Woodruff Avenue to Neil Avenue including repairing adjacent broken concrete curbs and sidewalks
Denney Hall Classrooms Renovation	\$970,000	House Bill 850 Basic Renovation	Renovate pool classrooms on the second floor of Denney Hall, surrounding common areas, and rest rooms
Derby Hall Roof Replacement	\$500,000	House Bill 850 Basic Renovation	Replace copper roof and copper gutter and restore cresting

ANTICIPATED 1999 CAPITAL IMPROVEMENT PROJECTS
 TOTAL PROJECT COST OF \$1 MILLION OR LESS
 Submitted to the Board of Trustees, February 5, 1999

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
Drinko Hall Air Conditioning Upgrade	\$500,000	House Bill 850 Basic Renovation	Replace air units #1, #2 and #3 and the 225-ton centrifugal chiller that contains obsolete refrigerant and cooling tower
Don Scott Feasibility Study	\$860,310	House Bill 850	Perform a feasibility study associated with the facilities in the Don Scott area
Evans Lab Roof Replacement	\$325,000	House Bill 850 Basic Renovation	Replace all the built-up roofs on the 2nd, 4th, and 5th levels of the original building and the north addition
Fontana Lab Chiller Replacement	\$300,000	House Bill 850 Basic Renovation	Replace the 210-ton Trane chiller that uses refrigerant that is no longer manufactured
French Field House Glass Replacement	\$400,000	House Bill 850 Basic Renovation	Remove the existing corrugated glass panels at the roofline on the north and south elevation and replace with new aluminum frames and a combination of sheet glass and insulated translucent panels
Hopkins Hall Ceramics Facility Renovation	\$920,000	House Bill 850 Basic Renovation	Upgrade the kiln area in the basement
James Cancer Hospital - Radiation Oncology Renovation	\$900,000	James Cancer Hospital	Renovate nurses' station, simulator, patient holding and waiting areas, treatment planning and diagnosis treatment rooms and install new Gamma Knife and associated planning and control areas
James Cancer Hospital - Relocate Cytogenetics Laboratory	\$414,792	James Cancer Hospital	Renovate existing space and construct a new mezzanine to accommodate new Cytogenetics lab
Johnston Lab Roof Replacement	\$100,000	House Bill 850 Basic Renovation	Replace original built-up roof with gravel
Koffel and Fontana Roof Replacement	\$415,000	House Bill 850 Basic Renovation	Replace built-up roof including new insulation and metal flashing
Lima - Reed Cooling Tower	\$48,000	House Bill 850 Regional Basic Renovation	Replace the existing, deteriorated cooling tower in Reed Hall.
Lima - Reed Lighting/Energy Control	\$79,166	House Bill 850 Regional Basic Renovation	Replace existing lighting with electronic ballasts and energy saving bulbs and install digital control system and associated control devices to HVAC system

ANTICIPATED 1999 CAPITAL IMPROVEMENT PROJECTS
 TOTAL PROJECT COST OF \$1 MILLION OR LESS
 Submitted to the Board of Trustees, February 5, 1999

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
Lincoln Tower Renovation	\$700,000	House Bill 850 Basic Renovation	Renovate the third floor creating a Student Financial Services office to bring together the public service areas of Admissions, Financial Aid, Registrar, Minority Affairs, and Fees and Deposits and to provide a small drive-through customer service booth located in the adjacent parking lot
Main Library Carpeting	\$530,000	House Bill 850 Basic Renovation	Replace the 19-20 year old carpeting in several high traffic patron areas
Main Library HVAC Upgrade	\$600,000	House Bill 850 Basic Renovation	Extend chilled water lines from McCracken Power Plant to Main Library to permit elimination of existing obsolete chillers in the library
Main Library Roof Replacement	\$600,000	House Bill 850 Basic Renovation	Remove and replace copper batten roof and built-up roof; install new insulation, gutter, flashing, valleys, and gravel stop
Mansfield - Ovalwood Building Upgrade	\$53,900	House Bill 850 Regional Basic Renovation	Provide new carpeting, re-keying and painting in Ovalwood Hall
Mansfield - Roadway & Tennis Court Paving	\$122,000	House Bill 850 Regional Basic Renovation	Repave roadway from the Fallerius Building, Health Sciences Building and from Lot 9 to the entrance at Lexington Springmill Road and remove asphalt from existing tennis courts and replace courts including net supports and markings
Mirror Lake Hollow Renovation	\$500,000	House Bill 850 Basic Renovation	Replace the wall and bottom of Mirror Lake and provide site improvements to the sidewalks, fountain pit, steps, and landscaping
Mount Hall HVAC Modifications	\$550,000	House Bill 850 Basic Renovation	Modify pumps, piping and controls to the chilled water system that serves Mount, Scott, Pressey, Bevis and Rightmire Halls to improve air conditioning reliability and reduce operating and maintenance costs
Newark - Adena Hall Roof Replacement	\$315,343	House Bill 850 Regional Basic Renovation and Central Ohio Technical College	Complete the replacement of approximately 40,000 square feet of built-up roofing originally installed in 1976

ANTICIPATED 1999 CAPITAL IMPROVEMENT PROJECTS
 TOTAL PROJECT COST OF \$1 MILLION OR LESS
 Submitted to the Board of Trustees, February 5, 1999

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
Orton Hall Roof Replacement	\$540,000	House Bill 850 Basic Renovation	Replace asphalt shingles with new clay tile as originally installed
Spirit of Women Park	\$700,000	University Hospitals and Gifts	Construct a park bounded by Dodd Hall, McCampbell Hall, University Hospitals Clinic and the School of Allied Medical Professions to include a water feature and be dedicated to women and the important contributions they have made
Utilities High Voltage Electric Circuit	\$400,000	House Bill 850 Basic Renovation	Replace the cables to increase the current carrying capacity of circuit 202/303 from the Buckeye substation to the midwest campus area
Utilities Steam Line Upgrade	\$700,000	House Bill 850 Basic Renovation	Replace 4" high pressure steam line and companion condensate line in the South Neil Tunnel
Veterinary Hospital Roof Replacement Phase I	\$500,000	House Bill 850 Basic Renovation	The first of two phases to replace the entire roof on the building. Phase I would remove the upper levels over the mechanical spaces, auditorium, entrance canopies, and separate roof on the southwest portion of the building

PURCHASE OF REAL PROPERTY (contd)

219-221 WEST TENTH AVENUE
COLUMBUS, OHIO (contd)

Background

Location and Description

The property consists of approximately 0.15 acre and is located on West Tenth Avenue between Neil Avenue and Worthington Street. The property is within the South Campus acquisition area. The property is improved with a two and one-half story brick double (circa 1900), in fair condition, that currently is being used as multi-tenant housing.

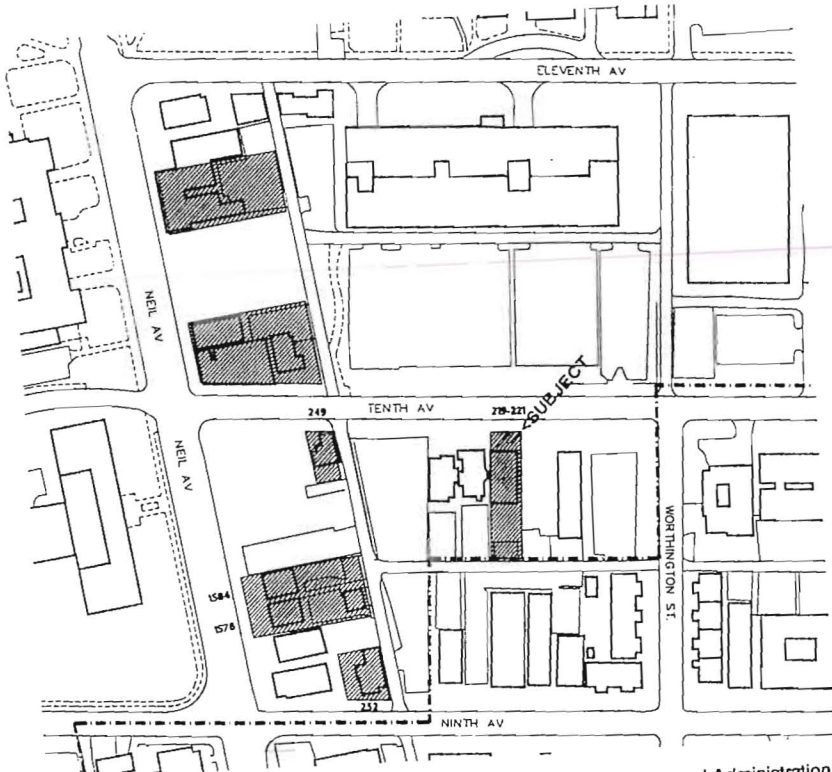
Appraisals and Purchase Price

Two M.A.I. appraisals were conducted in January 1999 by R.F. Berger & Associates and by Ohio Real Estate Consultants, Incorporated. The values of the property were determined to be \$175,000 and \$180,000, respectively. The seller, Bennett Cooper of Worthington, Ohio, has accepted the University's offer to purchase the property for \$175,000.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for use of The Ohio State University. Because the property is located within the Master Plan's South Campus acquisition area, purchase of the property is important for the University's long-range academic and support plans for the South Campus area. Funds for the purchase will be provided through the Land Purchase Account.

Proposed Purchase of Property in the South Campus Acquisition Area



No True Scale

Office of Business and Administration
February 5, 1999

- LEGEND**
- - - - - ACQUISITION LIMIT
 - ▨ PROPERTY WITHIN THE ACQUISITION LIMIT NOT OWNED BY THE OHIO STATE UNIVERSITY

Map Provided by University Engineer's Office

PURCHASE OF REAL PROPERTY (contd)

249 WEST TENTH AVENUE
COLUMBUS, OHIO (contd)

Background

Location and Description

The property consists of approximately 0.04 acre located on West Tenth Avenue just east of Neil Avenue on the corner of an alley. The property is within the South Campus acquisition area. The property is improved with a two and one-half story brick dwelling (circa 1905), in fair condition, that currently is being used as multi-tenant housing.

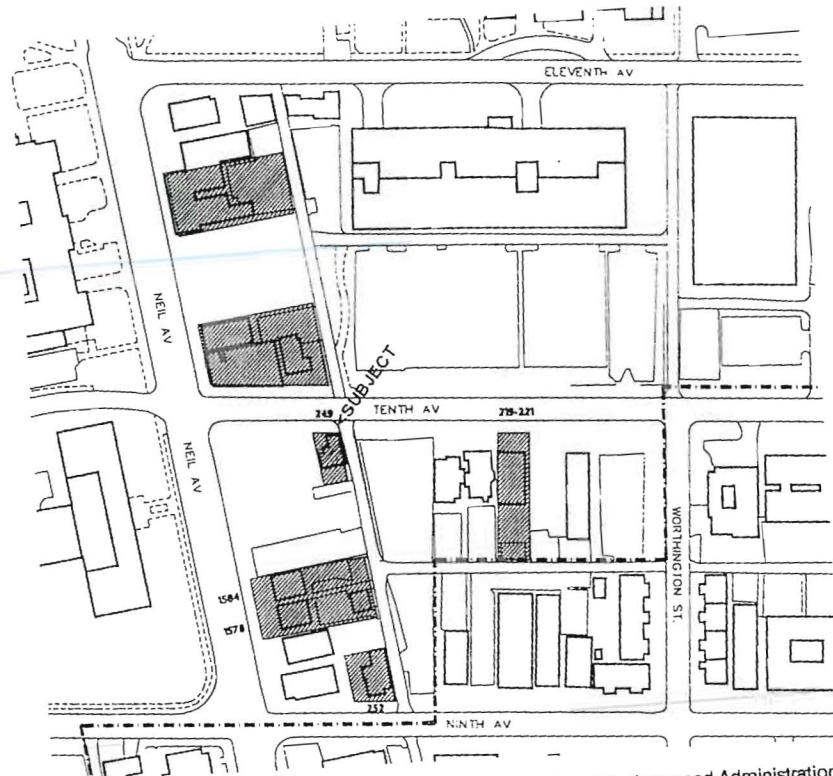
Appraisals and Purchase Price

Two M.A.I. appraisals were conducted in January 1999 by R.F. Berger & Associates and by Ohio Real Estate Consultants, Incorporated. The values of the property were determined to be \$99,000 and \$103,000, respectively. The seller, Jeffrey Sturgeon of Columbus, has accepted the University's offer to purchase the property for \$98,000.00.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for use of The Ohio State University. Because the property is located within the Master Plan's South Campus acquisition area, purchase of the property is important for the University's long-range academic and support plans for the South Campus area. Funds for the purchase will be provided through the Land Purchase Account.

Proposed Purchase of Property in the South Campus Acquisition Area



No True Scale

Office of Business and Administration
February 5, 1999

LEGEND

- - - - - ACQUISITION LIMIT
- ▨ PROPERTY WITHIN THE ACQUISITION LIMIT NOT OWNED BY THE OHIO STATE UNIVERSITY

Map Provided by University Engineer's Office

PURCHASE OF REAL PROPERTY (contd)

2.19 ACRES ON KINNEAR ROAD
COLUMBUS, OHIO (contd)

Background

Location and Description

The property is located on the south side of Kinnear Road and borders University property at 1275 Kinnear Road to the east and south. The seller, CSX Transportation, Inc., also will provide a quit claim deed to the easement rights over another approximately 1.93 acres of land in the Kenny-Kinnear Road neighborhood. Acquisition of these easement rights will extinguish the railroad's easements on University property.

Previous Approvals

The Board of Trustees approved the purchase of 1.48± acres at this location for \$50,000 in November 1995. However, in further negotiations with the owner and discussions with the STC Corporation regarding the use of this property, additional acreage and rights-of-way have been identified, necessitating a renegotiated purchase contract. At the time of the previous approval, CSX had removed the steel rails and wooden ties from the site, with the gravel ballast being all that remains on the property. The University previously had environmental assessments conducted that resulted in finding no adverse conditions.

Appraisal and Purchase Price

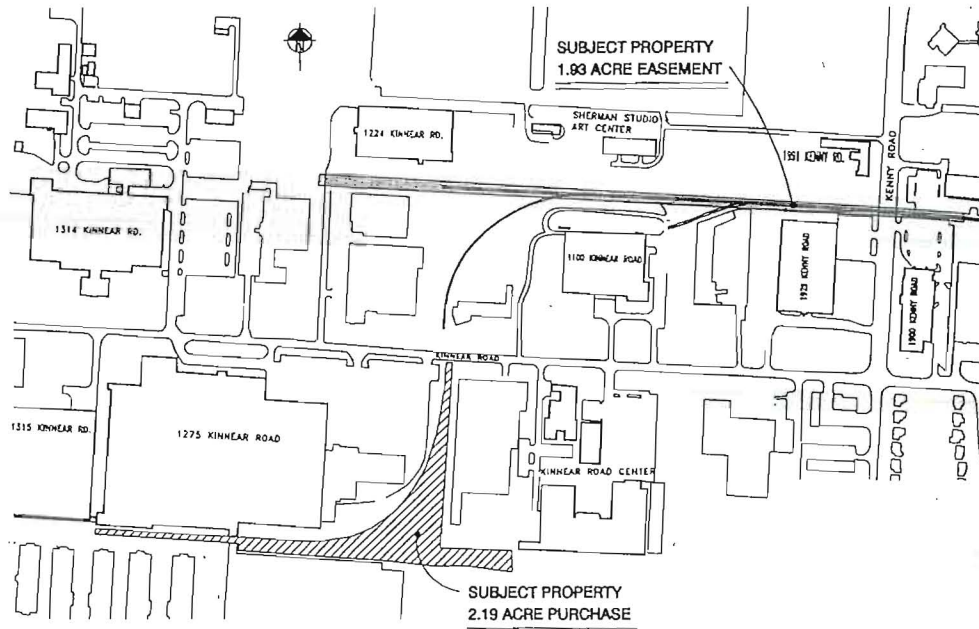
An MAI appraisal, conducted by Thomas R. Horner in August 1998 valued the property at \$100,000. CSX has offered to sell the parcel to the University at a price of \$92,500, which includes the quit claim deeds to the additional easement rights.

Use of the Property

The property will be leased to the Science and Technology Campus Corporation to provide additional parking and driveway access at 1275 Kinnear Road. STC plans for redevelopment of this property will require additional parking spaces and construction of a driveway at the rear of the building to permit better access to the facility for more versatile use. Some of the land being acquired may not be needed and is anticipated to be sold to neighboring land owners on terms to be negotiated and approved by the Board of Trustees at a later date.

CSX also is assigning to the University at no charge easements for additional right of way in the Kenny and Kinnear Road area. Much of the easement-held land is either on or borders University property. Once this assignment is made, the easements will be extinguished, so that land titles no longer will be subject to any CSX rights.

Proposed Purchase of 2.19 Acres of Real Property South of Kinnear Road
and an Easement for 1.93 Acres North of Kinnear Road
from CSX Transportation, Inc.



NO TRUE SCALE

□ BUILDINGS OWNED BY THE OHIO STATE UNIVERSITY

MAP PROVIDED BY UNIVERSITY RESOURCE PLANNING AND INSTITUTIONAL ANALYSIS

OFFICE OF BUSINESS AND ADMINISTRATION
JANUARY 22, 1999

**Executive Summary
Second Quarter Budget Report
Fiscal Affairs Committee
February 5, 1999**

TOPIC:

Mid-year Budget Report

CONTEXT:

This is a mid-year review and adjustment (if necessary) of the Current Funds budget as required by Board Policy

ISSUES:

- Stronger than projected enrollments in Summer and Fall have produced nearly \$3 million in net income.
- Both University Hospitals and the James Cancer Hospital have positive operating results through the first six months of this year.

RECOMMENDATION:

- Raise net tuition estimate for FY 1999 by \$2.7M but do not allocate funds until FY 2000 budget process.
- Continue to monitor impact of managed care on finances of OSU Medical Center, but make no budget changes at this time.

BOARD ACTION REQUESTED:

Vote.

University Resource Planning and Institutional Analysis

Subject: FY 1999 Second Quarter Report

Date: January 14, 1999

From: John Lowe

To: Eric Kunz

JL → *WJS*
ek

Attached are the FY 1999 Second Quarter Report and the corresponding Board of Trustees resolution.

There are only two changes to the Columbus Campus General Funds Budget:

1. An increase in student fees as a result of new projections based on actual Summer and Autumn 14th day enrollments (see my memo dated November 4, 1998 on this topic).
2. An increase in I&G expenses due to an increase in the Buckeye Scholarships as a result of increased non-resident undergraduate students. The original projection of the increase in Buckeye Scholarships by Student Financial Aid was \$0.7 million. After Autumn Quarter enrollments were known, that projection was increased to \$1.3 million. The projected increase in revenue from non-resident undergraduate students is \$1.6 million above the original budget so that the increased revenue covers the increased expense of the scholarships. Attached are the original and revised Student Fee Projection Models.

Historically, these are the only two revisions we make to the Second Quarter Report, unless there are any adjustments to the State Subsidy budget which there aren't this year. However, there are some adjustments that could be made to the Other Funds in accordance with the recommendations made in the Budget vs. Actual analysis just recently sent to you by Barb and myself. These are adjustments that will be made for the FY 2000 CFB. It is only a question whether we want to make them mid-year.

Cc: Barb DeYoung

SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
FY 1999 SECOND QUARTER REPORT
(In Thousands)

	Original Budget			2nd. Quarter Budget			Difference	
	General Funds	Other Funds	Total	General Funds	Other Funds	Total	General Funds	Other Funds
Resources								
Government Support	363,232	258,209	621,441	363,232	258,209	621,441	0	0
Student Fees	307,515	7,528	315,043	311,563	7,528	319,091	4,048	0
Auxiliaries		149,520	149,520		149,520	149,520	0	0
Hospitals		445,139	445,139		445,139	445,139	0	0
Other	33,847	183,526	217,373	33,847	183,526	217,373	0	0
Total Resources	704,594	1,043,922	1,748,516	708,642	1,043,922	1,752,564	4,048	0
Expenditures								
Instructional & General	640,780	125,568	766,348	642,062	125,568	767,630	1,282	0
Auxiliaries	1,293	154,658	155,951	1,293	154,658	155,951	0	0
Hospitals		454,129	454,129		454,129	454,129	0	0
Other	59,560	299,104	358,664	59,560	299,104	358,664	0	0
Total Expenditures	701,633	1,033,459	1,735,092	702,915	1,033,459	1,736,374	1,282	0

THE OHIO STATE UNIVERSITY
STUDENT FEE PROJECTION MODEL
 Reflecting 14th Day Enrollments for Summer and Autumn 1998
 FY 1999

	Headcount Enrollments					FTE Enrollments				
	Actual Summer	Actual Autumn	Projected Winter	Projected Spring	Total	Actual Summer	Actual Autumn	Projected Winter	Projected Spring	Total
Total Enrollments	11,112	36,252	34,618	33,176	115,158	7,701	34,025	33,351	30,841	104,917
Undergraduate	7,461	21,108	21,719	21,962	64,250	5,355	22,284	22,672	21,841	66,352
Graduate	84	430	410	400	1,324	67	404	378	367	1,216
MBA	42	94	91	79	306	40	89	84	78	291
Pharmacy	170	634	609	0	1,413	83	632	606	0	1,321
Dentistry	180	375	374	368	1,297	176	374	373	367	1,290
Optometry	121	247	249	249	866	121	247	249	249	866
Vet Medicine	133	529	526	520	1,708	132	527	525	457	1,641
Medicine	217	842	829	812	2,700	216	840	825	808	2,689
Total	19,520	48,511	46,425	44,566	159,022	13,890	44,421	42,263	40,007	140,581
Non-Resident Enrollments	1,531	3,833	3,600	3,538	12,502	967	3,507	3,435	3,326	11,235
Undergraduate	2,811	3,827	3,600	3,483	13,721	2,487	3,608	3,391	3,263	12,749
Graduate	8	131	111	105	355	3	116	104	98	321
MBA	3	8	7	4	22	3	8	7	2	20
Pharmacy	30	57	53	0	140	18	56	53	0	127
Law	2	7	7	7	23	2	7	7	7	23
Dentistry	1	32	32	31	97	1	32	32	32	97
Optometry	7	53	52	51	164	7	53	52	49	161
Vet Medicine	3	36	37	31	107	3	36	37	31	107
Medicine	4,396	7,984	7,499	7,252	27,131	3,491	7,472	7,118	6,806	24,887
Quarterly/Semester Fees	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Increase	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Total
Instructional Fees	1,129	1,193	1,193	1,193	5.7%	8,694	40,592	38,595	36,793	124,474
Undergraduate	1,638	1,715	1,715	1,715	4.7%	8,772	42,492	41,785	41,732	144,781
Graduate	1,704	1,866	1,866	1,866	9.5%	114	754	705	684	2,257
MBA	1,909	2,043	2,043	2,043	7.0%	76	182	172	160	590
Pharmacy	3,375	3,696	3,696	3,696	9.5%	280	2,336	2,238	0	4,854
Law (Semester)	2,964	3,171	3,171	3,171	7.0%	521	1,187	1,183	1,163	4,054
Dentistry	1,748	1,885	1,885	1,885	5.0%	332	713	718	717	2,480
Optometry	2,806	3,002	3,002	3,002	7.0%	370	1,583	1,577	1,371	4,901
Vet Medicine	3,565	3,779	3,779	3,779	6.0%	768	3,172	3,118	3,054	10,112
Medicine						19,927	63,011	60,091	55,674	198,703
General Fees	91	100	100	100	9.9%	701	3,401	3,235	3,084	10,421
Undergraduate	91	100	100	100	9.9%	487	728	687	684	2,586
Graduate	91	100	100	100	9.9%	6	40	38	37	121
MBA	91	100	100	100	9.9%	4	9	8	8	29
Pharmacy	136	150	150	150	10.3%	11	95	91	0	197
Law (Semester)	91	100	100	100	9.9%	16	37	37	37	127
Dentistry	91	100	100	100	9.9%	11	25	25	25	86
Optometry	91	100	100	100	9.9%	12	53	53	46	164
Vet Medicine	91	100	100	100	9.9%	10	84	83	81	268
Medicine						1,268	4,473	4,257	4,002	14,000
Non-Resident Tuition	2,403	2,523	2,523	2,523	5.0%	2,324	8,648	8,668	8,391	28,031
Undergraduate	2,762	2,900	2,900	2,900	5.0%	6,870	10,463	9,833	9,462	36,628
Graduate	2,762	2,900	2,900	2,900	5.0%	8	336	301	254	919
MBA	2,939	3,086	3,086	3,086	5.0%	9	24	22	6	61
Pharmacy	4,473	4,697	4,697	4,697	5.0%	79	263	249	0	591
Law (Semester)	5,673	5,957	5,957	5,957	5.0%	11	42	42	42	137
Dentistry	5,673	5,957	5,957	5,957	5.0%	5	191	191	191	578
Optometry	5,863	6,156	6,156	6,156	5.0%	41	324	320	302	987
Vet Medicine	6,353	6,671	6,671	6,671	5.0%	19	240	247	207	713
Medicine						9,366	30,791	29,873	28,844	108,874
Total						30,551	88,215	84,221	78,561	281,538

THE OHIO STATE UNIVERSITY
STUDENT FEE PROJECTION MODEL
 Reflecting Loss of Asian Students, May 6, 1998 (Used in the final GFB model for FY 1999)
 FY 1999

	Headcount Enrollments					FTE Enrollments				
	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Total	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Total
Total Enrollments										
Undergraduate	11,002	36,183	34,511	33,055	114,751	7,532	33,815	32,251	30,728	104,327
Graduate	7,393	9,506	9,092	9,314	35,305	5,432	7,577	7,217	7,170	27,397
Pharmacy	46	73	73	81	273	44	68	67	80	260
Law	138	659	633	0	1,430	79	658	619	0	1,356
Dentistry	178	381	380	374	1,313	173	380	379	373	1,304
Optometry	121	245	247	247	860	121	246	247	247	861
Vet Medicine	150	536	533	527	1,716	100	534	532	463	1,660
Medicine	112	843	831	814	3,700	210	842	827	810	3,689
Total	19,220	48,426	46,500	44,412	158,358	13,721	44,121	42,150	39,871	137,864
Non-Resident Enrollments										
Undergraduate	1,451	3,559	3,394	3,251	11,656	954	3,368	3,239	3,056	10,618
Graduate	2,705	3,757	3,531	3,446	13,439	2,420	3,573	3,363	3,269	12,625
Pharmacy	2	4	4	4	13	2	4	4	2	11
Law	14	55	53	0	122	8	55	53	0	117
Dentistry	1	4	4	4	13	1	4	4	4	13
Optometry	3	26	26	26	81	3	26	26	26	81
Vet Medicine	6	54	54	53	167	6	54	54	50	164
Medicine	0	37	37	36	111	0	37	37	36	111
Total	4,182	7,497	7,103	6,821	25,601	3,369	7,123	6,780	6,443	23,740
	Quarterly/Semester Fees					Projected Fee Income (In Thousands)				
	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Increase	Projected Summer	Projected Autumn	Projected Winter	Projected Spring	Total
Instructional Fees										
Undergraduate	1,129	1,193	1,193	1,193	4.7%	8,504	40,341	38,476	36,659	123,980
Graduate	1,638	1,715	1,715	1,715	4.7%	8,898	12,995	12,376	12,297	46,566
Pharmacy	1,909	2,043	2,043	2,043	7.0%	83	139	138	164	524
Law (Semester)	3,375	3,696	3,696	3,696	9.5%	266	2,433	2,326	0	5,025
Dentistry	2,964	3,171	3,171	3,171	7.0%	513	1,204	1,202	1,182	4,101
Optometry	2,743	2,885	2,885	2,885	5.0%	333	710	713	712	2,468
Vet Medicine	2,806	3,002	3,002	3,002	7.0%	365	1,604	1,598	1,390	4,957
Medicine	3,565	3,779	3,779	3,779	6.0%	749	3,183	3,125	3,061	10,118
Total						19,711	62,609	59,954	55,465	197,739
General Fees										
Undergraduate	91	100	100	100	9.9%	685	3,382	3,225	3,073	10,365
Graduate	91	100	100	100	9.9%	494	758	722	717	2,691
Pharmacy	91	100	100	100	9.9%	4	7	7	8	26
Law (Semester)	136	150	150	150	10.3%	11	99	94	0	204
Dentistry	91	100	100	100	9.9%	16	38	38	37	129
Optometry	91	100	100	100	9.9%	11	25	25	25	86
Vet Medicine	91	100	100	100	9.9%	12	53	53	46	164
Medicine	91	100	100	100	9.9%	19	84	83	81	267
Total						1,252	4,466	4,147	3,987	13,532
Non-Resident Tuition										
Undergraduate	2,403	2,523	2,523	2,523	5.0%	2,293	8,499	8,173	7,711	26,676
Graduate	2,762	2,900	2,900	2,900	5.0%	6,684	10,361	9,754	9,479	36,278
Pharmacy	2,939	3,086	3,086	3,086	5.0%	6	11	11	6	34
Law (Semester)	4,473	4,697	4,697	4,697	5.0%	38	260	250	0	548
Dentistry	5,673	5,957	5,957	5,957	5.0%	6	24	24	23	77
Optometry	5,673	5,957	5,957	5,957	5.0%	17	154	155	155	481
Vet Medicine	5,863	6,156	6,156	6,156	5.0%	35	333	331	309	1,008
Medicine	6,383	6,471	6,471	6,471	5.0%	0	250	246	241	737
Total						9,079	19,892	18,944	17,924	65,839
Grand Total						30,042	86,947	83,145	77,376	277,510

EXHIBIT A

**ALTERNATIVE RETIREMENT PLAN SUMMARY
FEBRUARY 5, 1999**

The Ohio General Assembly passed, and the Governor signed, legislation requiring Ohio public higher education institutions to adopt Alternative Retirement Plans.

The statute instructed the Ohio Department of Insurance to designate three or more providers for such Plan. On March 31, 1998, the Department of Insurance designated the following eight providers:

Aetna Life Insurance and Annuity Company
The Equitable Life Assurance Company
Great American Life Insurance Company
Lincoln National Life Insurance Company
Metropolitan Life Insurance Company
Nationwide Life Insurance Company
Teachers Insurance and Annuity Association
Variable Annuity Life Insurance Company

Participation in the Plan will be made available to all full-time faculty and unclassified staff members, either newly hired or with less than five years of service in the appropriate public retirement system, as provided in the statute. "Full-time" for the purpose of eligibility will mean appointed to a position of at least 75% Full-Time-Equivalent.

The University's Plan will provide an 8% employer contribution for participants who are members of the faculty, and 7.31% for staff participants. These contribution levels provide for cost neutrality relative to the current contribution rates of the public retirement systems. The law requires a 6% employer contribution to continue to be made to the appropriate public retirement system to offset past-service liabilities.

The University's Plan will include a one-year vesting requirement. Forfeitures will reduce future employer contributions, thereby providing funds to offset costs of administration.

The University's Plan Document will comply with all federal and state laws and will be submitted to the Internal Revenue Service for approval.

The Offices of Human Resources and Legal Affairs will enter into operating agreements with the eight designated providers on behalf of the University.

Important Points:

- The legislation did not include eligibility for classified staff. OSU made strong requests to include classified staff, and will continue to work for legislative change.
- Approximately 4,519 current faculty and staff, and 700 new hires this year, must be educated and given the opportunity to make their irrevocable decision.
- The ARP will be featured as an additional option to eligible faculty and staff, but will in no way be represented as a superior plan to the existing STRS and PERS plans. We will especially educate the eligible group that the ARP selection waives many of the survivor, disability, and health care options provided by STRS and PERS.
- This plan implementation is basically budget-neutral.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FIFTIETH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, March 5, 1999

The Board of Trustees met at its regular monthly meeting on Friday, March 5, 1999, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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March 5, 1999 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on March 5, 1999, at 10:20 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, George A. Skestos, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Soraya Rofagha, and Allyson Lowe.

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PRESIDENT'S REPORT

President William E. Kirwan:

I would like to begin by thanking you, Mr. Chairman, the members of the Board, and the entire University community, for the effort involved in the ceremony a week ago. What a wonderful occasion it was for me and my family, and certainly you created a day we will never forget. I think it was a very appropriate ceremony that celebrated the past of this great University and its very bright future. I just want to, again, express my appreciation to all involved in making this event possible.

I also want to report on some of the highlights that have occurred since the last Board meeting. On the morning of the Investiture, I had a chance to meet with 1,100 of the brightest students that I have come in contact with. These were students who were here for the University Scholar Maximus competition. These are very outstanding students and they represent the top 3 percent of their high school classes. They've all indicated a strong interest in attending Ohio State and all 1,100 will receive a \$1,200 scholarship from Ohio State.

They were here for a competition to select, from this impressive group, 120 students who will get a full-ride scholarship to Ohio State. I think this competition, and the kinds of students we're attracting to compete, makes a very strong statement about the quality of this institution and the way it is reaching out to bring in some of the most outstanding young people in Ohio.

I also want to report on a matter that has caused all of us a great deal of distress and that is the news about Bernadine Healy. As distressed as we have been to receive that news, we are all heartened by the encouraging reports that her condition is eminently treatable. Bernadine remains actively involved in the day-to-day life of the College of Medicine and Public Health.

In fact, several of us were involved in a meeting the other day in which she was going to participate by conference call. We were all gathered around and they called Bernadine to bring her into this conference call. Her secretary answered the phone and said, "She'll be with you in a few moments, she is on another conference call doing business." You can tell that Bernadine has not missed a beat, and we look forward to her return back to the campus in the not-too-distant future.

I think we all had a chance to see in the *Dispatch* today the report of Governor Taft's new budget for higher education. As would be expected, this budget will not address all of the needs of the University. I think we should all be very heartened and encouraged by this budget.

First of all, the Governor is recommending a 4.3 percent increase, followed by a 4.2 percent increase over the biennium. This is larger than anybody had projected would be the case. The budget expresses strong support for performance funding, something that we as a university, and the Board, has been on record as feeling important.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Secondly, there is a very strong commitment on the part of the Governor in this budget for financial aid for students. So access to higher education is a prominent feature of this budget. That is important because I think we're all concerned by the fact that Ohio ranks very low nationally in the participation rate of high school graduates in higher education. The Governor's budget is addressing this problem. So, I think this is a strong budget and is a statement on the part of the Governor, fulfilling his commitment to quality in higher education.

Tomorrow, the Evans Scholars Fraternity -- I think you know this fraternity is a group of very talented, very service-oriented students -- is going to dedicate the first off-campus, "blue light" emergency telephone. The Evans Scholars and the Undergraduate Student Government have led a fund-raising drive to help make these telephones possible.

The ceremony tomorrow, dedicating this first off-campus telephone, will be in memory of Stephanie Hummer on the fifth anniversary of her death. It will be located near the spot where she disappeared. I think we all owe the Evans Scholars Fraternity a debt of gratitude for the way they have championed this cause. This will be the first of seven phones that will be located east of High Street as part of this new service.

We also were pleased to see that the campus area bus service honored its one-millionth rider on Wednesday. Now, we're not talking about the one-millionth rider since they started the service, we're talking about the one-millionth rider since September 21, 1998, when school started. That's an incredible record and shows how this bus service is providing valuable service to our community.

Speaking of large numbers of people, I have to comment again on the Jerome Schottenstein Center. To give you one impressive statistic, we have had 100,000 people come to see the Buckeye ice hockey team play since the Schottenstein Center was opened. Think of that, 100,000 people just to come watch our ice hockey team.

Many of us were thrilled last Sunday to attend the Pavarotti concert. Twenty thousand people in the Schottenstein Center to watch this great performer, an evening that we'll never forget. What was so interesting is that people were sitting there in that beautiful arena listening to that concert and they recognize, because I heard this over and over again, that this could not have happened in the Columbus area without the Schottenstein Center. It just wouldn't have happened. So think of the enormous contribution that this center is making to the community. I like to say, from Luciano Pavarotti to Scoonie Penn, the Center covers it all.

Speaking of basketball, we're all excited about the Big Ten Tournament and how our Buckeyes will do. We are so proud of Coach O'Brien; I think you know that he was selected as "Coach of the Year" in the Big Ten and as far as I'm concerned, he's "Coach of the Year of the Nation!" Also, Scoonie Penn was selected as "Player of the Year."

But other Buckeye teams are doing well. The men's gymnastics team is ranked second in the nation, and sophomore Jamie Natalie was named to the U.S. National team. Our men's volleyball team is ranked fourth in the country, and our women's synchronized swimming team is en route to recapturing the national title.

Over the past week, I have had a wonderful opportunity to go around the campus and present faculty awards: six winners of the Distinguished Scholar Awards, ten

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

winner of the Alumni Award for Distinguished Teaching, and three winners of the Faculty Award for Distinguished University Service. These are extraordinary people, and when you see what contribution they've made to the University, you just burst with pride.

Just to capture this, I would like to mention one of the people that won a Distinguished Scholar Award. Professor Yasuko Rikihisa is one of the most eminent young scientists in the nation. She works on infectious diseases and has already brought in more than \$4 million worth of grants to the University.

When I went to present the award to Professor Rikihisa, she was teaching a large lecture of about 100 undergraduate students in the College of Veterinary Medicine. I walked in the room unannounced -- the students were a little surprised to see me I might add -- and presented this award, and you should have heard the response from the students. The outpouring of enthusiasm and applause for her was so genuine, and demonstrated that, not only is this person a great scholar, she is a teacher that is touching the lives of these young people because their obvious affection for her was very touching.

Speaking of impressive awards, Robert Parker, a young faculty member in the College of Engineering, was just selected as one of sixty scientists and engineers in the nation to receive the Presidential Early Career Award from the National Science Foundation. Professor Parker joined his colleagues at an awards ceremony in the White House. This is the highest honor that can be given to a young scientist and, among other things, will provide him with a \$100,000 research grant a year for the next five years.

We've had several other faculty be recognized nationally for their extraordinary work. Associate Professor of Architecture Mark Robbins has just been named the Director of Design at the National Endowment for the Arts; he was Curator of Architecture at the Wexner Center for the Arts. I know that we happen to have here at Ohio State one of the great architecture collections and, although we will miss Mark Robbins, it is certainly recognition of the caliber of people we have here at Ohio State that he has been selected for this distinguished position.

Also, Professor Peter Swire of our law school has just been named U.S. Counselor for Privacy in the Office of Management and Budget, working out of the White House. Professor Swire is one of the nation's leading experts on computer privacy issues, and he will be on assignment in Washington, but fortunately will be only on leave from Ohio State, and will be coming back here.

Finally, I want to mention a departure that saddens all of us. Kermit Hall, as was reported in the paper today, will be leaving to take the position of Provost and Vice Chancellor for Academic Affairs at North Carolina State University. We have benefitted at this University so much from Kermit's great vision and leadership for the College of Humanities, but really in a larger sense for the entire University.

Just think of the many different facets of this University that Kermit has touched. Through the recruitment of outstanding faculty, to his investment of energy in the Honors program, to his oversight of international programs on the campus, to his vision for creating the World Media Center, we owe this man an enormous debt of gratitude. He will be missed and we will be building on the legacy he leaves for years to come. We do want to wish him well in his new responsibility, a very much deserved appointment as the chief academic officer at a very fine university, North Carolina State. We do thank Kermit for all that he has done for our University.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Mr. Chairman, this concludes my report.

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CONSENT AGENDA

President Kirwan:

We have seventeen resolutions to present to the Board for approval today. I would like to ask that #9 and #17 be held for a separate vote. Unless there are any objections, I would like to recommend these remaining fourteen resolutions on the consent agenda:

NAMING OF THE WETLANDS PAVILION

Resolution No. 99-86

Synopsis: Naming of the wetlands pavilion, part of the Olentangy River Wetlands Research Park located at 352 Dodridge Street, is proposed.

WHEREAS The Ohio State University and its School of Natural Resources operates a wetlands research park along the banks of the Olentangy River; and

WHEREAS the mission of the wetlands research park is funded in large part through private gifts; and

WHEREAS the observation pavilion provides a splendid spot for visitors from the community; and

WHEREAS the pavilion was erected with private gifts to serve the community and the research mission; and

WHEREAS John and Tana Sandefur of Longboat Key, Florida, contributed to The Ohio State University Foundation the funds necessary to erect the pavilion:

NOW THEREFORE

BE IS RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned facility shall be named "The Sandefur Wetlands Pavilion."

RENAMING OF THE STADIUM II THEATRE

Resolution No. 99-87

Synopsis: Renaming of the Stadium II Theatre at Drake Union, located at 1849 Cannon Drive, is proposed.

WHEREAS The Ohio State University has operated a theatre department and performance schedule as part of the College of the Arts; and

WHEREAS Professor Roy Bowen served as a faculty member in the Department of Theatre for over 40 years; and

RENAMING OF THE STADIUM II THEATRE (contd)

WHEREAS Dr. Bowen was one of the co-founders of the Stadium Theatre in the 1950's and he brought national recognition to the theatre department at Ohio State and has over 140 major theatrical productions to his credit; and

WHEREAS Roy Bowen's lifetime of achievement has been recognized by the Ohio Arts Council and the Central Ohio theatre community:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned facility shall be renamed "The Roy Bowen Theatre."

RENAMING OF THE ALBERT J. KUHN HONORS HOUSE

Resolution No. 99-88

Synopsis: Approval of the name change for the Albert J. Kuhn Honors House to the Albert J. Kuhn Honors and Scholars House is proposed.

WHEREAS recruitment and retention have been identified as priority concerns at The Ohio State University; and

WHEREAS the university leadership has recommended that the focus of the Albert J. Kuhn Honors House be expanded to serve a wider range of students, with co-curricular and recruitment services to be provided under the leadership of the Director of the Center; and

WHEREAS the undergraduate program will be enriched with additional curriculum and academic programming for which a new officer, the Associate Provost, Honors and Scholars Curriculum, will be responsible; and

WHEREAS The Council of Academic Affairs on February 17, 1999, was informed of this proposal and this proposal was endorsed by the Honors Advisory Board:

NOW THEREFORE

BE IT RESOLVED, That the renaming of the Albert J. Kuhn Honors House to the "Albert J. Kuhn Honors and Scholars House" is hereby approved, effective immediately.

CREATION OF A CENTER FOR SURVEY RESEARCH

Resolution No. 99-89

WHEREAS the proposed Center for Survey Research serves the University's needs for statistical and survey information and provides services for not-for-profit and governmental agencies and the public sector, thereby defining the University as an institution vital to the public interest; and

WHEREAS the proposed center promotes faculty and graduate student education and research through such academic initiatives as graduate student traineeships, graduate student summer fellowships, and the faculty fellow program; and

CREATION OF A CENTER FOR SURVEY RESEARCH (contd)

WHEREAS external review and letters of support indicate that the University, its existing Survey Research Unit, and the faculty leaders of the proposed center are well-positioned to create a nationally-recognized center; and

WHEREAS the Center for Survey Research has the full support of the dean of the College of Social and Behavioral Sciences and endorsement of the chairs of the Departments of Economics, Geography, and Statistics; the Committee on Urban Affairs; and the Associate Dean for Research of the College of Education; and other relevant units; and

WHEREAS the proposal satisfies the guidelines for establishment of academic centers and institutes (rule 3335-3-36), including requirements for appropriate consultative processes, oversight, and evaluation; and

WHEREAS the proposed center has sufficiently accounted for its funding through Academic Enrichment, the College of Social and Behavioral Sciences, and revenues from the proposed center; and

WHEREAS the proposed center was discussed and approved by the Research and Graduate Council on May 20, 1998, by the Council on Academic Affairs on December 2, 1998, and by the University Senate at its January 30, 1999 meeting;

NOW THEREFORE

BE IT RESOLVED, That the creation of a Center for Survey Research is hereby approved, effective immediately.

CREATION OF A CENTER FOR THE STUDY AND TEACHING OF WRITING

Resolution No. 99-90

WHEREAS the proposed Center for the Study and Teaching of Writing will facilitate interdisciplinary scholarship in all areas of writing and writing instruction through its colloquia and grant writing and research programs; and

WHEREAS the proposed center will greatly enhance University outreach to the City of Columbus, the State of Ohio, and its public schools through its school, community, and employer outreach programs; and

WHEREAS the proposed center addresses a need articulated by the reports of the University Writing Board and Committee on the Undergraduate Experience for renewed focus on the undergraduate experience in writing across the University curriculum; and

WHEREAS the proposed Center for the Study and Teaching of Writing has the full support of the dean and faculty of the College of Humanities and endorsement of the chair of the Department of English, the Office of Faculty and TA Development, School of Journalism and Communication, College of Engineering, the College of Education, and other relevant units; and

WHEREAS the proposal satisfies the guidelines for establishment of academic centers and institutes (rule 3335-3-36), including requirements for appropriate consultative processes, oversight, and evaluation; and

WHEREAS the proposed center has secured funding through the Department of English, the College of Humanities, and the University Academic Enrichment competition; and

CREATION OF A CENTER FOR THE STUDY AND TEACHING OF WRITING (contd)

WHEREAS the proposed center was discussed and approved by the Council on Academic Affairs on November 18, 1998, and by the University Senate at its January 30, 1999 meeting:

NOW THEREFORE

BE IT RESOLVED, That the creation of a Center for the Study and Teaching of Writing is hereby approved, effective immediately.

**CREATION OF AN INSTITUTE FOR COLLABORATIVE
RESEARCH AND PUBLIC HUMANITIES**

Resolution No. 99-91

WHEREAS the proposed Institute for Collaborative Research and Public Humanities supports development of interdisciplinary and multidisciplinary research in the humanities among faculty and students at The Ohio State University through its collaborative research foci, seminars, colloquia, visiting fellow series, and reading groups; and

WHEREAS the proposed institute promotes University outreach to the Columbus and Central Ohio community through public humanities lectures, the *Encyclopedia of Midwest Culture*, chatauqua experiences, "heritage" communities, and collaborations with Ohio high schools; and

WHEREAS the proposed Institute for Collaborative Research and Public Humanities has the full support of the dean and unanimous support of faculty of the College of Humanities; and

WHEREAS the proposed institute has the enthusiastic endorsement of the University District Organization, American Council of Learned Societies, the Inter-professional Commission of Ohio, and the Ohio Humanities Council; and

WHEREAS the proposal satisfies the guidelines for establishment of academic centers and institutes (rule 3335-3-36), including requirements for appropriate consultative processes, oversight, and evaluation; and

WHEREAS the proposed institute has secured funding through the College of Humanities and University Academic Enrichment competition; and

WHEREAS the proposed institute was discussed and approved by the Research and Graduate Council on March 11, 1998, by the Council on Academic Affairs on December 2, 1998, and by the University Senate at its January 30, 1999 meeting:

NOW THEREFORE

BE IT RESOLVED, That the creation of an Institute for Collaborative Research and Public Humanities is hereby approved, effective immediately.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 99-92

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on October 31, 1998, and January 30, 1999:

Amended Rules

3335-5-4813 Legislative affairs committee.

(A) Membership.

The legislative affairs committee shall consist of ~~seven~~ ELEVEN members.

- (1) ~~Three~~ FIVE regular faculty selected by the faculty council. The term of service is three years.
- (2) Unchanged.
- (3) ~~One administrator~~ ONE STAFF MEMBER, appointed annually by the president.
- (4) TWO EX-OFFICIO, NON-VOTING MEMBERS: THE DIRECTOR OF STATE RELATIONS AND THE DIRECTOR OF FEDERAL RELATIONS.

(B) Duties and responsibilities.

- (1) Inform itself on NATIONAL, STATE, AND LOCAL legislative affairs, current or contemplated, that affect higher education in general and the Ohio state university in particular, and make this information available to the senate.

Balance unchanged.

3335-5-494 ~~Faculty advisory committee to the chancellor of the Ohio board of regents (FAC)~~ OHIO FACULTY COUNCIL.

- (A) The university's representation to the ~~FAC~~ OHIO FACULTY COUNCIL (OFC) shall ~~consist of two regular faculty members and the secretary of the university senate~~ BE THE CHAIR OF FACULTY COUNCIL AND THE CHAIR-ELECT OF FACULTY COUNCIL. THE CHAIR OF THE UNIVERSITY SENATE STEERING COMMITTEE AND THE SECRETARY OF THE UNIVERSITY SENATE SHALL SERVE AS ALTERNATES.
- (B) ~~One faculty member shall be selected every two years, for a term of four years, commencing autumn quarter. During the first two years of the term the member shall be the representative elect to the FAC. During the third and fourth years of the term, the member shall be the representative to the FAC. Faculty representatives may be selected for a second term. The secretary of the university senate shall serve for the duration of the secretary's appointment.~~
- (C) ~~The representative elect shall be selected by the faculty council.~~
- (D) ~~The president shall report the names of the faculty representatives to the chancellor of the Ohio board of regents.~~
- (E) The ~~faculty representative~~ REPRESENTATIVES to the ~~FAC~~ OFC shall report annually to the senate. (B/T 7/10/87, B/T 5/5/88, B/T 11/4/94, B/T 3/5/99)

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

DISTINGUISHED SERVICE AWARDS

Resolution No. 99-93

Synopsis: Approval of eight individuals to receive Distinguished Service Awards is proposed.

WHEREAS the President's Planning Cabinet upon the recommendation of the Committee on Distinguished Service Awards, nominated and recommended eight individuals for approval by the Board of Trustees to receive the Distinguished Service Award at a time convenient to the University and the recipients:

Frederic Beekman
Loann Woods Crane
G. Edwin Johnson
Isabel F. Miller
Kathryn M. Moore
Alex Shumate
Alberta Banner Turner
Wilburn H. Weddington II

WHEREAS these awards are given in recognition of distinguished service to The Ohio State University and the awards are in accordance with action taken by the Board of Trustees in 1952:

NOW THEREFORE

BE IT RESOLVED, That the Distinguished Service Awards be approved for awarding as designated above.

DEGREES AND CERTIFICATES - WINTER QUARTER COMMENCEMENT

Resolution No. 99-94

Synopsis: Approval of Degrees and Certificates for Winter Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on March 19, 1999, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 99-95

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the February 5, 1999 meeting of the Board, including the following Appointment, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Title as detailed in the University Budget be approved and the Medical Staff Appointments/Reappointments (The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute) approved March 5, 1999, by the James Cancer Hospital Board and the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center) approved January 28, 1999, by the Hospitals Board be ratified.

Appointment

Name: W. JERRY MYSIW
Title: Associate Professor (The Bert C. Wiley, M.D., Endowed Professorship in Physical Medicine and Rehabilitation)
Department: Department of Physical Medicine and Rehabilitation
Effective: March 1, 1999

Leaves of Absence Without Salary

JOHN T. CACIOPPO, Professor, Department of Psychology, effective Autumn Quarter 1999, Winter Quarter, and Spring Quarter 2000, to engage in collaborative research at the University of Chicago.

MARY ELLEN WEWERS, Associate Professor, Department of Adult Health and Illness, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000, to spend a year in residence at the National Cancer Institute in their intramural research program.

Leaves of Absence Without Salary--Continuation

HENRY A. NASRALLAH, Professor, Department of Psychiatry, effective January 1, 1999, through December 31, 1999, to continue his research projects.

HELENA I. KAUFMAN, Assistant Professor, Department of Spanish and Portuguese, effective Spring Quarter 1999, for personal reasons.

Professional Improvements Leaves

MANSEL G. BLACKFORD, Professor, Department of History, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

FRANK T. COULSON, Professor, Department of Greek and Latin, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

NICHOLAS G. HOWE, Professor, Department of English, effective Winter Quarter and Spring Quarter 2000.

THOMAS P. KASULIS, Professor, Department of Comparative Studies, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

LISA J. KISER, Professor, Department of English, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

JUDITH S. MAYNE, Professor, Departments of French and Italian and Women's Studies, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

ALLAN R. MILLETT, Professor, Department of History, effective Autumn Quarter 1999.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

PAUL C. NUTT, Professor, Department of Management Sciences, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2000.

CARL J. POLLARD, Professor, Department of Linguistics, effective Winter Quarter and Spring Quarter 2000.

HERBERT F. WEISBERG, Professor, Department of Political Science, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

ROBERT W. BATTERMAN, Associate Professor, Department of Philosophy, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

ROBERT C. DAVIS, Associate Professor, Department of History, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

KIRK FREUDENBURG, Associate Professor, Department of Greek and Latin, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

JANE HATHAWAY, Associate Professor, Department of History, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

DONALD R. LARSON, Associate Professor, Department of Spanish and Portuguese, effective Winter Quarter and Spring Quarter 2000.

K. ALAN LOPER, Associate Professor, Department of Mathematics (Newark Campus), effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

CHARLES D. MINAHEN, Associate Professor, Department of French and Italian, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

LINDA M. MIZEJEWSKI, Associate Professor, Department of English, Spring Quarter 2000.

BEVERLY J. MOSS, Associate Professor, Department of English, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

IN JAE MYUNG, Associate Professor, Department of Psychology, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

CHARLES J. QUINN, JR., Associate Professor, Department of East Asian Languages and Literatures, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

ILEANA RODRIQUEZ, Associate Professor, Department of Spanish and Portuguese, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

RANDOLPH A. ROTH, Associate Professor, Department of History, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2000.

AHMAD A. SIKAINGA, Associate Professor, Department of History, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

JENNIFER C. TERRY, Associate Professor, Department of Comparative Studies, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

FERNANDO UNZUETA, Associate Professor, Department of Spanish and Portuguese, effective Winter Quarter, Spring Quarter and Autumn Quarter 2000.

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute)

December 1998/January 1999

Charles L. Shapiro, M.D., Attending, Medicine -- Hematology and Oncology
Dara Schuster, M.D., Associate Attending, Medicine -- Endocrinology
Cynthia Shellhaas, M.D., Associate Attending, Obstetrics/Gynecology
Phillip J. Shubert, M.D., Associate Attending, Obstetrics/Gynecology
Gary Barnett, M.D., Associate Attending, Pathology
Brently A. Buchele, M.D., Associate Attending, Surgery
Gail Besner, M.D., Associate Attending, Surgery--Pediatric

The Community Hospital Physicians, Springfield, OH

December 1998/January 1999

Kamel Abraham, M.D., Community Associate, Anesthesiology
Emmanuel K. Rafla, M.D., Community Associate, Anesthesiology
Michael L. McKee, M.D., Community Associate, Family Medicine
Jeffrey B. Blackburn, M.D., Community Associate, Family Medicine
Robert E. Kneisley, M.D., Community Associate, Family Medicine
Peter J. Muir, M.D., Community Associate, Family Medicine
Mark S. Roberto, M.D., Community Associate, Family Medicine
Cleanne Cass, D.O., Community Associate, Family Medicine
Andrew Hughes, D.O., Community Associate, Emergency Medicine
Annette Nathan, M.D., Community Associate, Emergency Medicine
William A. Garringer, M.D., Community Associate, Emergency Medicine
Kenneth L. Jacobs, D.O., Community Associate, Emergency Medicine
Scott K. Kellogg, D.O., Community Associate, Emergency Medicine
Rohn T. Kennington, M.D., Community Associate, Emergency Medicine
Timothy A. Morton, M.D., Community Associate, Emergency Medicine
Shabid Bashir, M.D., Community Associate, Medicine/Pulmonary
Amrit L. Chadha, M.D., Community Associate, Medicine/Neurology
Alan L. Gabbard, M.D., Community Associate, Medicine/Gastroenterology
Sami B. Girgis, M.D., Community Associate, Medicine/Pulmonary
Ravi C. Khanna, M.D., Community Oncologist Attending, Medicine/Oncology
David G. Monjot, M.D., Community Associate, Medicine/Pulmonary
Moin A. Ranginwala, M.D., Community Associate, Medicine/Pulmonary
Abdur Rauf, M.D., Community Associate, Medicine/Pulmonary
Daljeet Singh, M.D., Community Oncologist Attending, Medicine/Oncology
Philip J. Duncan, M.D., Community Oncologist Attending, Medicine/Rad Oncology
Ganesh G. Potdar, M.D., Community Oncologist Attending, Medicine/Rad Oncology
Robert J. Scott, M.D., Community Associate, Medicine
George Varghese, M.D., Community Associate, Medicine/Nephrology
Howard F. Wunderlich, M.D. Community Associate, Medicine/Infectious Disease
David R. Billing, M.D., Community Associate, OB/GYN
Rodney E. Batie, D.O., Community Associate, OB/GYN
Johnny W. Bryant, M.D., Community Associate, OB/GYN
James L. Cromwell, M.D., Community Associate, OB/GYN
Steven Patterson, D.O., Community Associate, OB/GYN
Elillian Daugherty, M.D., Community Associate, Pathology
Feridun A. Doslu, M.D., Community Associate, Pathology
Richard A. Marsh, M.D., Community Associate, Pathology
Rick A. Kukulka, M.D., Community Associate, Radiology
Martin E. Morin, M.D., Community Associate, Radiology
Salvador B. Trinidad, M.D., Community Associate, Radiology

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute) (contd)

The Community Hospital Physicians, Springfield, OH (contd)

December 1998/January 1999 (contd)

Robert Lemming, M.D., Community Associate, Radiology
William G. Vazquez, M.D., Community Associate, Radiology
Krish Balakrishnan, M.D., Community Associate, Surgery/General
Arthur N. Cole, M.D., Community Associate, Surgery/Neurological
Noshir Deboo, M.D., Community Associate, Surgery/Orthopedic
John Z. Little, M.D., Community Associate, Surgery/General
Pamela S. Bucklew, M.D., Community Associate, Surgery/General
Joseph G. Demeter, M.D., Community Associate, Surgery/Vascular/Thoracic
Richard W. Furay, M.D., Community Associate, Surgery/General
John B. Gillen III, M.D., Community Associate, Surgery/Otolaryngology
Lofton Misick, M.D., Community Associate, Surgery/Cardiovascular/Thoracic
Richard M. Nedelman, M.D., Community Associate, Surgery/General
Surender R. Neravetla, M.D., Community Associate, Surgery/Cardiovascular/Thoracic
David T.H. Ryu, M.D., Community Associate, Surgery/Otolaryngology
Kira Schofield, M.D., Community Associate, Surgery/General
Peter Wagner, M.D., Community Associate, Surgery/Vascular
Michael B. DuVall, M.D., Community Associate, Surgery/Otolaryngology
Ronald B. Spier, M.D., Community Associate, Surgery/General
H. Thomas Staton, M.D., Community Associate, Surgery/Otolaryngology
Marios Panayides, M.D., Community Associate, Surgery/Cardiovascular/Thoracic
Charles D. Parsons, M.D., Community Associate, Surgery/Urology
George Cochran, M.D., Community Associate, Surgery/Vascular

December 11, 1998 through June 30, 1999

Carole Ashman, M.D., Associate Attending, Radiology

December 11, 1998 through June 30, 2000

Amy L. Pope-Harman, M.D., Associate Attending, Med/Pulmonary
U. Krishnan Marar, M.D., Associate Attending, Med/General
Thomas Williams, M.D., Associate Attending, Surg/Cardiothoracic

Change of Status:

Brent C. Behrens, M.D.
FROM: Attending, Hematology & Oncology
TO: Community Oncologist Attending

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center)

January 1999

Deborah A. Bartholomew, M.D., Obstetrics/Gynecology, Attending, 10/1/98 - 6/30/99
David Q. Beversdorf, M.D., Neurology, Attending, 9/21/98 - 6/30/99
Mary Beth Cass, M.D., Pediatrics, Courtesy, 10/6/98 - 6/30/99
Maureen M. Buckner, MSN, RN, CNP Hematology/Oncology, Physician Extender, 9/2/98 - 6/30/99
Nami L. Cho, M.D., Family Medicine, Community Affiliate, 9/21/98 - 6/30/99
Michael P. Collins, M.D., Neurology, Attending, 10/28/98 - 6/30/99
Letitia A. Covaci, M.D., Psychiatry, PGY3 Limited, 10/19/98 - 6/30/99

PERSONNEL ACTIONS (contd)

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center)
(contd)

January 1999 (contd)

Wayne J. Cummings, D.O., Family Medicine, Community Affiliate, 8/31/98 - 6/30/99
Tiersa Wallace Damore, M.D., Obstetrics/Gynecology, Attending, 7/30/98 - 6/30/99
Maureen A. Delphia, M.D., Neurology, Courtesy, 11/16/98 - 6/30/99
Andrew R. Getzin, M.D., Fam Med/Sports Medicine, PGY4 Limited, 8/1/98 - 6/30/99
Mimi Ananya Ghosh, M.D., Family Medicine, Community Affiliate, 9/1/98 - 6/30/99
Kenneth James Griffiths, M.D., Infectious Disease, Attending, 7/2/98 - 6/30/99
Kurt W. Heintzelman, M.D., General Psychiatry, PGY1 Limited, 7/1/98 - 6/30/99
Robert James Herbert, D.O., Family Medicine, Community Affiliate, 8/31/98 - 6/30/99
Grant L. Jones, M.D., Orthopedic Surgery, Attending, 9/8/98 - 6/30/99
Shalva V. Kakabadze, M.D., Family Medicine, PGY1 Limited, 7/1/98 - 6/30/99
Muhammad N. Khan, M.D., General Medicine, Attending, 7/1/98 - 6/30/99
Samer J. Khouri, M.D., Cardiology, PGY4 Limited, 7/1/98 - 6/30/99
Terry L. Lester, M.D., General Medicine, PGY1 Limited, 7/1/97 - 6/30/99
Carolyn D. Lugannani, M.D., Family Medicine, PGY1 Limited, 7/1/98 - 6/30/99
Daniel J. Martin, M.D., Psychiatry/General, Attending, 7/1/98 - 6/30/00
Dean Jiro Mikami, M.D., General Surgery, PGY1 Limited, 7/1/98 - 6/30/00
William F. Miser, M.D., Family Medicine, Community Affiliate, 10/19/98 - 6/30/00
Thomas M. Murphy, D.D.S., Oral/Maxillofacial Surgery, Courtesy, 7/1/98 - 6/30/00
Ikenna S. Nzeogu, D.O., Family Medicine, Community Affiliate, 8/1/98 - 6/30/00
Paul B. Oppenheimer, M.D., Family Medicine, Community Affiliate, 7/1/98 - 6/30/00
Karl S. Pappa, M.D., Ophthalmology, Attending, 7/1/98 - 6/30/00
Lee Li Peng, M.D., Hematology/Oncology, PGY4 Limited, 7/1/98 - 6/30/00
Kalsang Phuntsok, M.D., Internal Medicine, Attending, 10/14/98 - 6/30/00
Erick M. Rath, D.D.S., Ph.D., Oral/Maxillofacial Surgery, Attending, 10/29/98 - 6/30/00
Steven L. Rovenstine, D.O., Family Medicine, Community Affiliate, 8/31/98 - 6/30/00
David T. Rudman, M.D., Otolaryngology/Family Medicine Community Affiliate, 8/31/98 - 6/30/00
Victoria Louise Seewaldt, M.D., Hematology/Oncology, Attending, 10/30/98 - 6/30/00
Laura L. Sells, M.D., Gen Pediatric/Emergency Med, PGY5 Limited, 8/1/98 - 8/31/98
Manisha H. Shah, M.D., Hematology/Oncology, Attending, 10/28/98 - 6/30/00
Timothy E. Spencer, D.O., Neurology, PGY3 Limited, 10/1/98 - 11/30/98
Chad M. Stoker, D.D.S., General Dentistry, PGY1 Limited, 7/1/98 - 6/30/00
Jeffery P. Williams, D.O., Family Medicine, Community Affiliate, 7/1/98 - 6/30/00
Deborah L. Wilson, M.D., Orthopedics/Surgery, PGY4 Limited, 7/1/98 - 6/30/00

Laser Privileges:

Phyllis Visocan, M.D., Ophthalmology, Attending, 1/99
David Schuller, M.D., Otolaryngology, Attending, 1/99
Mervyn Samuel, M.D., Obstetrics & Gynecology, Courtesy, 1/99
Thomas Mauger, M.D., Ophthalmology, Attending, 1/99
Karl Pappa, M.D., Ophthalmology, Attending, 1/99
Alan Rehmar, M.D., Ophthalmology, Attending, 1/99
William Saunders, M.D., Otolaryngology, Attending, 1/99
Ronald Siegle, M.D., Otolaryngology, Attending, 1/99
Brian Stahl, M.D., Ophthalmology, Attending, 1/99
William Trout, M.D., Obstetrics & Gynecology, Attending, 1/99
Bruce Woodworth, M.D., Urology, Attending, 1/99
Luis Vaccarello, M.D., Obstetrics & Gynecology, Attending, 1/99
D. Bradley Welling, M.D., Otolaryngology, Attending, 1/99

PERSONNEL ACTIONS (contd)

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center)
(contd)

January 1999 (contd)

Laparoscopy Privileges:

Deborah Bartholomew, M.D., Obstetrics & Gynecology, Attending, 1/99
Stephanie W. Costa, M.D., Obstetrics & Gynecology, Courtesy, 1/99
Jennifer Lods, M.D., Obstetrics & Gynecology, Courtesy, 1/99

Emeritus Title

JACK MARKS, Department of Internal Medicine, with the title Clinical Associate Professor
Emeritus, effective January 1, 1999.

RESOLUTIONS IN MEMORIAM

Resolution No. 99-96

Synopsis: Approval of three Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

John J. Barone

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 4, 1999, of John J. Barone, former member and chairman of The Ohio State University Board of Trustees.

John J. Barone was born on February 10, 1912, in Jamestown, New York, and was one of eight children born to Mary and Frank Barone. He received his bachelor's degree from Miami University and obtained his J.D. degree from The Ohio State University in 1936. That same year, he established a private law practice in Toledo, and in 1945 was appointed U.S. Magistrate, serving in that capacity until 1964.

Mr. Barone established Barone Enterprises and Rosie's Fine Foods where he served as general counsel and board chairman. He also served on the boards of numerous local corporations, including Driggs Dairy Farms, Toledo Milk Processing, Toledo Testing and Allied Gear and Sprocket. In 1997, with his wife Rose, he provided initial funding to establish the Northwest Ohio Chapter of Operation Smile, an organization which provides surgical reconstruction and other services for indigent children with facial deformities. He was honored by the Toledo Bar Association in 1997 for providing 60 years of legal service in Northwest Ohio.

John Barone served on The Ohio State University Board of Trustees from 1984 to 1993, serving as chairman of the board from 1992-93. During his tenure on the board, he worked tirelessly to advance the University's goals and mission. His service on the board was extremely valuable, providing insight and leadership that were inordinately helpful to both the administration and his fellow trustees. Through his involvement in the Investments Committee of the board, Mr. Barone had a major impact on the University's endowment fund growth, which increased during his tenure from \$117 million to \$481 million.

RESOLUTIONS IN MEMORIAM (contd)

John J. Barone (contd)

One of the greatest legacies of his term on the board was Mr. Barone's proposal to offer a course in the Fisher College of Business that would be fully responsible for the investment management of a significant portion of the Ohio State endowment. He convinced other board members to establish the Student Investment Program and invest \$5 million of the University's endowment fund in order to provide students with "hands-on" experience. His early ideas and support were critical to its implementation and continuing success, which resulted in a course that gave the college pivotal national recognition, as well as growth of the fund to over \$12 million. He and his wife, Rose, continued to ensure the success of the class through their establishment of and regular contributions to the Whiz Kids Investors Fund to help meet the needs of the program and participating students.

Mr. Barone was a strong voice for Ohio State in the Toledo region and around the country. He was an early and strong advocate of the concept that The Ohio State University is a state-wide university, having great impact on both the University's legislative and fund-raising activities. He served as the honorary co-chair of the University Campaign's National Major Gift Committee for Northwestern Ohio.

In recognition of his dedicated commitment to the University, John Barone received the University's Distinguished Service Award in 1998.

On behalf of the University Community, the Board of Trustees expresses to the family of John Barone its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

William Edward Hunt

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 26, 1999, of Dr. William E. Hunt, Professor Emeritus in the Department of Surgery (Neurologic).

William E. Hunt was born in Columbus on November 26, 1921. A cum laude graduate of Culver Military Academy, he received his BA degree (cum laude) from The Ohio State University in 1943 and his Medical Degree (with honors) from Ohio State two years later. While in college, he was a member of the NCAA championship fencing team and Varsity "O" and was All-American in saber. He interned at Philadelphia General Hospital. After two years in the Army and a year of training in general surgery at White Cross Hospital in Columbus, he entered the neurosurgical training program in St. Louis, at Barnes Hospital of Washington University. Following this residency training, he spent a year as an instructor at Washington University. In 1953 he returned to Ohio State, and in 1963 became the Director of the Division of Neurological Surgery. He became a full professor at The Ohio State University in 1964.

Dr. Hunt was best known for his achievements in clinical medicine and a widely accepted classification system for intracranial aneurysms, the Hunt-Hess Classification. Along with a pathologist at Ohio State, he described a syndrome of painful ophthalmoplegia now known as the Tolosa-Hunt Syndrome. From 1973 to 1988, he was the Principal Investigator and Director of the Spinal Cord Injury Research Center at The Ohio State University. He served on the American Board of Neurological Surgery from 1968 to 1974.

Professor Hunt was active in numerous professional societies, including the American Association of Neurological Surgeons (Vice President, 1983-1984), the Neurosurgical Society of America (President, 1978-1979), the Congress of Neurological Surgeons, the American Academy of Neurological Surgery, the Society for Neurosciences, and the American Surgical Association. He served on the Editorial Board of the *Bulletin of the Academy of Medicine of Columbus and Franklin County*, as a delegate to The Ohio State Medical Association, and on the Admission Committee of the OSU College of Medicine. He was a member of Phi Beta Kappa, Alpha Omega Alpha, and Sigma Xi. Dr. Hunt was the first recipient of the Lifetime Achievement Award from the Neurosurgical Society of America for his contributions to clinical neurosurgery.

RESOLUTIONS IN MEMORIAM (contd)

William Edward Hunt (contd)

Dr. Hunt was married to Carole Ann Miller, M.D., a retired Professor of Neurosurgery at The Ohio State University. He had two sons and a daughter, all achieving and gifted individuals. Dr. Hunt was a gifted intellect with a wry sense of humor and outrage. He carried passion into all of his interests, be they professional, personal, or avocational. In 1993, The Ohio State University named the Clinical Neuroscience Library in the College of Medicine in his honor. The William E. Hunt Lectureship was also established in his honor in 1990. That lectureship has attracted some of the best and brightest neurosurgeons to The Ohio State University for the past eight years.

On behalf of the University Community, the Board of Trustees expresses to the family of Dr. Hunt its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Mary M. Yost

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 26, 1999, of Dr. Mary M. Yost, Professor Emeritus in the College of Education.

Mary Yost, Professor Emeritus of the School of Health, Physical Education, and Recreation, specialized in physical education. She epitomized the active life and generosity to student, advisees, and friends. A graduate of Wellesley College, she began her career at Ohio State University in 1937 as an Instructor in the Women's Physical Education Department. She earned her Ph.D. from OSU in 1949, became a full professor in 1963 and continued to teach well beyond her 70th year. She was a dedicated daily swimmer, taught hundreds of swim classes, and worked closely with the Health and Safety Services of the American Red Cross.

Dr. Yost was the long-time coordinator of what is now the Sport Fitness and Health Program. Until 1972 the program was separated into a men's program and a program for women. She was instrumental in establishing the co-educational program, then in the School of Health, Physical Education and Recreation. In 1941 the OSU Golf Course had just opened, and OSU courageously hosted the first national collegiate golf tournament for women. It was, in fact, the first national collegiate event of any kind, and Dr. Yost was very involved in the planning and conduct of that successful event. That tournament is seen to have been pivotal in changing attitudes and opportunities for women in all intercollegiate competition.

Professor Yost also served in the U.S. Naval Reserve, retiring with the rank of Lieutenant Commander. She served the Navy for 26 years and interrupted her OSU teaching twice for active duty in World War II and again in the Korean War.

On behalf of the University Community, the Board of Trustees expresses to the family of Dr. Mary M. Yost its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-97

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for January 1999 are presented for Board acceptance.

REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of January 1999 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-98

Synopsis: The report on the receipt of gifts and the summary for January 1999 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Ray W. Poppleton Research Chair and The William C. and Joan E. Davis Cancer Research Professorship; and

WHEREAS this report includes the establishment of twelve (12) new named endowed funds and amendments to two (2) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of January 1999 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

TOTAL UNIVERSITY PRIVATE SUPPORT

July-January
1997-98 Compared to 1998-99

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through January		
	<u>1997-98</u>	<u>1998-99</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 10,271,372	\$25,227,130	146%
Alumni (From Bequests)	<u>4,251,057</u>	<u>780,688</u>	-82%
Alumni Total	\$ 14,522,429	\$ 26,007,818	79%
Non-Alumni (Current Giving)	\$ 6,501,850	\$ 7,775,758	20%
Non-Alumni (From Bequests)	<u>4,234,598</u>	<u>3,614,294</u>	-15%
Non-Alumni Total	\$ 10,736,448	\$ 11,390,052	6%
Individual Total	\$25,258,877	\$ 37,397,870	48% ^A
Corporations/Corp. Foundations	\$19,015,485	\$19,015,485	17% ^B
Private Foundations	\$8,355,365	\$ 6,525,089	-22% ^C
Associations & Other Organizations	\$ <u>1,804,948</u>	\$ <u>1,898,142</u>	5%
Total	\$54,434,675	\$68,070,380	25%

July-January
1997-98 Compared to 1998-99

GIFT RECEIPTS BY DONOR TYPE

NOTES

- A Individual giving is up 48% largely due to 281 gifts totaling \$10,000 or more (\$27.9 million). Last year during this period 288 individuals gave \$10,000 or more (\$17.7 million).
- B Giving at the \$10,000 or more level from corporations is up 21% so far this year (\$18.5 million from 377 gifts this year; \$15.3 million from 322 gifts last year).
- C Foundation giving at the \$10,000 or more level is down 34% for the first six months of the fiscal year (\$7.9 million from 97 gifts last year; \$5.9 million from 101 gifts this year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-January
1997-98 Compared to 1998-99 (contd)

GIFT RECEIPTS BY PURPOSE

<u>Change</u>	Dollars July through January		<u>%</u>
	<u>1997-98</u>	<u>1998-99</u>	
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 13,857,415	\$ 19,184,112	38
Faculty Support	\$ 7,425,317	\$ 6,360,974	-16
Program Support	\$ 19,389,253	\$ 26,860,309	39
Student Financial Aid	\$ 8,197,021	\$ 9,082,232	11
Annual Funds-Colleges/Departments	\$ 4,694,109	\$ 4,926,290	5
Annual Funds-University	\$ <u>871,560</u>	\$ <u>1,656,463</u>	90
Total	\$ 54,434,675	\$ 68,070,380	25

GIFT ADDITIONS TO ENDOWMENT

Dollars July through January		<u>% Change</u>
<u>1997-98</u>	<u>1998-99</u>	
\$22,288,890	\$30,199,764	35

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Chair</u>			
The Ray W. Poppleton Research Chair (Chair support in the College of Medicine and Public Health)	\$2,171,019.66		\$2,171,019.66
<u>Establishment of Named Endowed Funds</u>			
The Distinguished Faculty Scholar Chair Fund in Medicine and Public Health (Chair Support - College of Medicine and Public Health; provided by existing college funds and numerous donors).	\$285,954.47		\$285,954.47
The Stella Hiltner Fund for Comparative Religious Studies (Support for religious studies students; provided from the estate of Stella Hiltner).		\$25,000.00	\$25,000.00
The John P. and Narcissia V. Starks Physical Therapy/Nursing Scholarship Fund (Scholarships - Physical Therapy Division, School of Allied Medical Professions, and College of Nursing; provided by John P. Starks).	\$15,500.00		\$15,500.00
Greater Richmond Virginia Scholarship Fund (Scholarships for graduates of Central Virginia area high schools; provided by alumni and friends of OSU).	\$15,186.77		\$15,186.77

Change in Name and Description of Named Endowed Fund

From: The Townshend AZ Endowment Fund
To: The Townshend ATZ Endowment Fund

Change in Description of Named Endowed Fund

The Flesher Fellowship Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Professorship</u>			
The William C. and Joan E. Davis Cancer Research Professorship (Professorship support - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided with a gift from the estate of Joan E. Davis).		\$773,860.27	\$773,860.27
<u>Establishment of Named Endowed Funds</u>			
The Louis Camboni Scholarship Fund (Scholarships - Office of Student Financial Aid; provided by a gift from the estate of Margherita Camboni).		\$450,000.00	\$450,000.00
The Ann and Darrell Dreher Chair Fund in Political Communication and Policy Thinking (Chair Support - Department of Political Science; provided by gifts from Ann and Darrell Dreher)		\$298,037.13	\$298,037.13
The Stefanie Spielman Fund for Breast Cancer Research (Breast Cancer Research - Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided with gifts from customers and employees of Big Bear Stores).		\$123,907.54	\$123,907.54
The Eldon J. Tobias Research Fund in Ophthalmology (Research - Department of Ophthalmology; provided with gifts from the estate of Eldon J. Tobias).		\$50,000.00	\$50,000.00
Dirk Zeiters Innovation Fund Endowment (Discretionary Funds - Max M. Fisher College of Business; provided by gifts from Dirk E. Zeiters).		\$36,093.76	\$36,093.76
The Barry M. Friedman Student Travel Award (Student travel awards - College of Medicine & Public Health; provided with gifts from family, friends, patients, and colleagues of Barry M. Friedman).	\$28,201.53		\$28,201.53

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Buschman Baseball Scholarship Fund (Scholarships - Department of Athletics; provided with gifts from William J. and Gwendolyn W. Buschman).		\$25,000.00	\$25,000.00
The Majidzadeh Family Scholarship Fund (Scholarships - Department of Athletics; provided by gifts from the Majidzadeh family).		\$25,000.00	\$25,000.00
<hr/>			
Totals	\$2,515,862.43	\$1,806,898.70	\$4,322,761.13

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

ESTABLISHMENT OF NAMED CHAIR

The Ray W. Poppleton Research Chair

The Ray W. Poppleton Memorial Fund was established October 1, 1982, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the estate of Ethel V. Poppleton (B.A. 1918) of Columbus, Ohio. The chair was established March 5, 1999.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall provide for salary support of a Chair position in the College of Medicine and Public Health in either the field of orthopaedics or a field related to diseases of the spinal cord. The position shall be held by a nationally eminent faculty member undertaking research in orthopaedics or a field related to diseases of the spinal cord, and as recommended by the dean of the College of Medicine and Public Health in consultation with the Vice President for Health Sciences. The activities of the endowed chair holder shall be reviewed no less than every five years by the Dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Medicine and Public Health and with the Vice President for Health Sciences in order to carry out the desire of the donor.

\$2,171,019.66

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The Distinguished Faculty Scholar Chair Fund in Medicine and Public Health

The Distinguished Faculty Scholar Chair Fund in Medicine and Public Health was established March 5, 1999, by the Board of Trustees of The Ohio State University with gifts made to The Ohio State University Development Fund from discretionary funds from the College of Medicine and Public Health and from numerous donors.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be applied back to the principal until it reaches the required Chair level of \$1,500,000, at which time it shall provide for salary support of a distinguished scholar, researcher and teacher through a chair position in the College of Medicine and Public Health. The position shall be held by a nationally eminent faculty member as recommended by the Dean of the College of Medicine and Public Health in consultation with the Vice President for Health Sciences. The activities of the endowed chair holder shall be reviewed no less than every five years by the Dean to determine compliance with the intent of the donors as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Medicine and Public Health and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$285,954.47

The Stella Hiltner Fund for Comparative Religious Studies

The Stella Hiltner Fund for Comparative Religious Studies was established March 5, 1999, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from the estate of Stella Mary Hiltner (B.S.H.E. '33; M.A. Education '40) of Bowling Green, Ohio. A former teacher, Ms. Hiltner was a devout Christian Scientist and was very interested in comparative religion studies programs.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

In keeping with Ms. Hiltner's lifelong dedication to teaching, the annual income shall be used to enhance the classroom and other educational resources at OSU for religious studies students.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the Chair of the Division of Comparative Studies and the Dean of the College of Humanities in order to carry out the desire of the donor.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The John P. and Narcissia V. Starks Physical Therapy/Nursing Scholarship Fund

The John P. and Narcissia V. Starks Physical Therapy/Nursing Scholarship Fund was established March 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from John P. Starks, Clinical Instructor Emeritus, of Worthington, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be divided equally between the Physical Therapy Division within the School of Allied Medical Professions and the College of Nursing to provide scholarships. It is the desire of the donor that, to the extent consistent with applicable law, consideration be given to African-American students. Recommendations of the award recipients shall be made by the Dean of the College of Medicine and Public Health in consultation with the Director of the Physical Therapy Division; and by the Dean of the College of Nursing; and in consultation with the Vice President for Health Sciences, with the University Committee on Student Financial Aid, and, as appropriate, with the College of Medicine and Public Health Committee for Scholarship Awards.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, or if the University should determine that the terms of this fund are inconsistent with applicable law, then another use shall be designated by the Board of Trustees in consultation with the deans of the College of Medicine and Public Health and the College of Nursing, and with the Vice President for Health Sciences in order to carry out the desire of the donor.

\$15,500.00 (Grandfathered)

Greater Richmond Virginia Scholarship Fund

The Greater Richmond Virginia Scholarship Fund was established March 5, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from alumni and friends of The Ohio State University.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide merit scholarships for undergraduate, professional and graduate students who graduated from high schools in the Central Virginia area. The Richmond Virginia Alumni Club will recruit and interview applicants, and recommend worthy recipients. The University Committee on Student Financial Aid will make the final selection of recipients.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$15,186.77 (Grandfathered)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN NAME AND DESCRIPTION OF NAMED ENDOWED FUND

The Townshend ATZ Endowment Fund

The Townshend AZ Endowment Fund was established on March 4, 1994, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from alumni, friends and current members of the Townshend Chapter of Alpha Zeta Fraternity, and in memory of Mark Summers by his friends and family. The name and description were revised March 5, 1999.

All gifts are to be invested in the University's permanent endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarship(s), and to further the mission of the Alpha Tau Zeta Fraternity, including, but not exclusively for the support of educational programs, facility improvement, alumni activity, house mother retirement fund, and publications. The scholarships shall be awarded to sophomore, junior or senior students enrolled in the College of Food, Agricultural and Environmental Sciences who are members of the Alpha Tau Zeta Fraternity. The students must hold at least a 3.0 grade point average. Selection of the recipient will be made by the Assistant Dean, Student Affairs and the scholarship selection committee in conjunction with the Office of Student Financial Aid. Funds can be used with the approval of the Assistant Dean, Student Affairs, College of Food, Agricultural and Environmental Sciences, and President, Alpha Tau Zeta alumni board.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Vice President, Agricultural Administration or the appropriate college dean, department chair, or program administrative officer in order to carry out the desire of the donors.

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND

The Flesher Fellowship Fund

The Flesher Fellowship Fund was established December 31, 1965, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from William R. Flesher (M.A. '35, Ph.D. '42) and Marie A. Flesher (M.A. '35, Ph.D. '51) in honor of Mr. Flesher's sixteen doctoral graduates from The Ohio State University. The fund description was revised March 5, 1999.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The income from this fund is to provide annual fellowships for worthy doctoral students majoring in the field of education, as well as to promote the ideals and professional education as exemplified by William R. and Marie A. Flesher and perpetuated by Mr. Flesher's sixteen doctoral graduates through the Sweet Sixteen Club. Each year an attempt will be made to gather all recipients, past and present, to the annual College awards ceremony.

The relationship among the donors, honorees, and recipients is to be characterized by fellowship, perpetuity of personal concern, and professional commitment. The overall administration of the fund is outlined in the procedural specifications established by the Sweet Sixteen Club. Changes in the foregoing organizational and procedural specifications may be made by a majority vote of the Sweet Sixteen Club with the approval of the Dean of the College of Education.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND (contd)

The Flesher Fellowship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Education in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED PROFESSORSHIP

The William C. and Joan E. Davis Cancer Research Professorship

The William C. and Joan E. Davis Cancer Research Professorship for the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Joan E. Davis of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for a Professorship position supporting a distinguished scholar in cancer genetics research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University. Appointment shall be made at the recommendation of the Director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and the Director of the Comprehensive Cancer Center in consultation with the Vice President for Health Sciences. The activities of the professorship holder shall be reviewed no less than every five years by the Director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and the Director of the Comprehensive Cancer Center to determine compliance with the intent of the donor as well as the academic and research standards of the University. Any unused income shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences in order to carry out the desire of the donor.

\$773,860.27

The Louis Camboni Scholarship Fund

The Louis Camboni Scholarship Fund was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Margherita Camboni, of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Louis Camboni Scholarship Fund (contd)

The annual income shall be used for scholarships to assist students in Ohio who are attending the University and who are in financial need for the payment of his or her annual tuition fees and towards any and all expenses in connection with his or her efforts to obtain a degree from The Ohio State University. Recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$450,000.00

The Ann and Darrell Dreher Chair Fund in Political Communication and Policy Thinking

The Ann and Darrell Dreher Chair Fund in Political Communication and Policy Thinking within the Department of Political Science was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with donations made by Ann (attended 1969) and Darrell (B.A. Arts & Sci. 1966) Dreher of Powell, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income is to be used to support the activities and salary of the person who will be the holder of the Ann and Darrell Dreher Chair in Political Communication and Policy Thinking.

The donors have agreed that the interest from this fund after it has reached \$750,000 in gifts may be used for activities relating to this topic of study. In addition, the Dreheres reserve the right to make additional contributions after the gifts have totaled \$1,500,000 to further augment activities in this area.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with Ann and Darrell Dreher or after their lifetime with their heirs and the appropriate dean and department chairperson in order to carry out the desire of the donors.

\$298,037.13

The Stefanie Spielman Fund for Breast Cancer Research

The Stefanie Spielman Fund for Breast Cancer Research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the customers and employees of Big Bear Stores, Columbus, Ohio, in honor of Stefanie Spielman.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Stefanie Spielman Fund for Breast Cancer Research (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support breast cancer research with preference given to breast cancer genetics research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the Director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$123,907.54

The Eldon J. Tobias Research Fund in Ophthalmology

The Eldon J. Tobias Research Fund in Ophthalmology was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Eldon J. Tobias of Ottawa, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research in the Department of Ophthalmology on the surgery, treatment, and care of the human eye, both basic and clinical research, as recommended by the Chairperson of the Department of Ophthalmology in consultation with the Dean of the College of Medicine and Public Health. The income may support, but is not limited to, scientific supplies, equipment, salaries for research personnel and activities required for quality medical research.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Chairperson of the Department of Ophthalmology and the Dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$50,000.00

Dirk Zeiters Innovation Fund Endowment

The Dirk Zeiters Innovation Fund Endowment was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dirk E. Zeiters (B.S.Bus.Adm. '63; M.B.A. '66) of Dunwoody, Georgia.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Dirk Zeiters Innovation Fund Endowment (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of The Max M. Fisher College of Business to support activities of the faculty, students and staff in accordance with the strategic plans of the college.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$36,093.76

The Barry M. Friedman Student Travel Award

The Barry M. Friedman Student Travel Award was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family, friends, patients, and colleagues of Barry M. Friedman, M.D. (M.D. '72), Assistant Professor of Internal Medicine, College of Medicine and Public Health.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more awards to third and fourth-year medical students in the College of Medicine and Public Health to attend conferences and other professional meetings relating to General Internal Medicine or Geriatrics. The award may cover travel, registration, and other related expenses. The selection of the recipient(s) shall be made at the recommendation of the Dean of the College of Medicine and Public Health in consultation with the Chairperson of the Department of Internal Medicine and the Director of the Division of General Internal Medicine, or in consultation with an ad hoc selection committee whose members are determined by the aforementioned Chairperson and Director.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$28,201.53

The Buschman Baseball Scholarship Fund

The Buschman Baseball Scholarship Fund was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from William J. and Gwendolyn W. Buschman.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (cont)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Buschman Baseball Scholarship Fund (contd)

The annual income shall be used to provide scholarship costs of student athletes who are members of the baseball team pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the Director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donors.

\$25,000.00

The Majidzadeh Family Scholarship Fund

The Majidzadeh Family Scholarship Fund was established March 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Majidzadeh family of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement student-athlete grant-in-aid scholarship costs of a female student athlete at The Ohio State University. Preference will be first given to a female athlete majoring in civil engineering. If no candidates exist, second preference will be given to a female athlete majoring in engineering. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donors.

\$25,000.00

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 99-99

RENOVATION OF MEDICAL PARKING GARAGES (H & J)
BAKER HALL COMMONS RENOVATION
STARLING-LOVING HALL – RELOCATION OF CANCER CYTOGENETIC LAB
FOOD SCIENCE AND TECHNOLOGY BUILDING

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Renovation of Medical Parking Garages (H & J), Baker Hall Commons Renovation, and Starling-Loving Hall – Relocation of Cancer Cytogenetic Lab projects and accept the report of award of contracts and establishment of contingency funds for the Food Science and Technology project is requested.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS Transportation and Parking desires to renovate the north and south medical parking garages (garages H and J); and

WHEREAS the total estimated project cost is \$8,522,800 and the total estimated construction cost is \$7,150,000, with funding provided by Transportation and Parking; and

WHEREAS Housing, Food Services, and Events Centers desires to renovate the kitchen, food service, and dining areas in Baker Hall Commons; and

WHEREAS the total estimated project cost is \$500,000 and the total estimated construction cost is \$310,750, with funding provided by University bonds with debt service paid by Housing, Food Services, and Events Centers;

WHEREAS the College of Medicine desires to renovate existing space and construct a new mezzanine in Starling-Loving Hall to relocate the cancer cytogenetics laboratory; and

WHEREAS the total estimated project cost is \$414,792 and the total estimated construction cost is \$329,200, with funding provided by the James Cancer Hospital and Solove Research Institute; and

WHEREAS a resolution adopted by the Board of Trustees on November 1, 1996 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following project:

(* Recommended alternates included in these amounts)

Food Science and Technology Building

This project constructs a facility to house the Food Science and Technology program including classrooms, offices, research laboratories, computing facilities, and pilot plant space for the department and renovates the existing third floor of Howlett Hall. The total project cost is \$18,299,000; funding is provided by House Bill 904 (\$1,100,000), House Bill 748 (\$10,999,000), and gifts to the Food Science and Technology department (\$6,200,000). The estimated completion date is September 2000. The contracts awarded are as follows:

Design:	Burgess & Niple, Columbus, Ohio
General Contract:	P. J. Dick, Columbus, Ohio
Amount:	\$8,878,000 +
Estimate:	\$8,573,025
Combined Plumbing/ HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$3,250,000 +
Estimate:	\$2,644,590
Electric Contract:	Jess Howard Electric, Blacklick, Ohio
Amount:	\$1,355,077
Estimate:	\$1,710,000
Total All Contracts:	\$13,483,077
Contingency Allowance:	\$815,815
Moveable Equipment	\$2,500,000
Total Project Cost:	\$18,299,000

+ The General, Plumbing, and HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Renovation of Medical Parking Garages (H & J), Baker Hall Commons Renovation, and Starling-Loving Hall – Relocation of Cancer Cytogenetic Lab, projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids for the Renovation of Medical Parking Garages (H & J), Baker Hall Commons Renovation, and Starling-Loving Hall – Relocation of Cancer Cytogenetic Lab projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Food Science and Technology Building project is hereby accepted.

(See Appendix XXXVI for maps, page 703.)

EASEMENT

Resolution No. 99-100

COMMON DRIVEWAY ACCESS
DUDLEY KIRCHER
610 CLIFTON ROAD, XENIA, OHIO

Synopsis: Authorization to grant an easements at Clifton Road, Xenia, Ohio, is proposed.

WHEREAS the property of Dudley Kircher at 610 Clifton Road in Xenia, Ohio, is landlocked, and Mr. Kircher has requested a 25-year easement consisting of a strip of land ten feet in width and approximately 768 feet in length for access to a driveway located at 4-H Camp Clifton, 2256 Clifton Road, Xenia, Ohio; and

WHEREAS this easement will be of mutual benefit to the parties in that Mr. Kircher provides access to his property for educational purposes; and

WHEREAS the appropriate University offices have recommended the approval of this easement:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Administration be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his statutory duties, to act for and on behalf of the University in developing the necessary legal instruments to provide for this easement.

(See Appendix XXXVII for map, page 707.)

Upon motion of Mr. Skestos, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger.

March 5, 1999 meeting, Board of Trustees

President Kirwan:

I would like to ask for a separate vote on the awarding of Honorary Degrees and I would like to ask for the media's cooperation in keeping the names of the recipients quiet until the University has had the opportunity to contact these individuals.

HONORARY DEGREES

Resolution No. 99-101

Synopsis: The awarding of honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Lawrence J. DeLucas	Doctor of Science
Suzanne Mubarak	Doctor of Humanitarian Service
Vincent C. Siew	Doctor of Public Service

and

WHEREAS pursuant to paragraph (C) of rule 3335-3-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommended to the Board of Trustees awarding of a honorary degrees as listed below:

David Longaberger	Doctor of Business Administration
John G. McCoy	Doctor of Business Administration
John Welch	Doctor of Science and Engineering

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

Upon motion of Mr. Skestos, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolution with seven affirmative votes cast by Messrs. Celeste, Colley, Skestos, Patterson, Sofia, and Slane, and Judge Duncan, and an abstention by Ms. Longaberger.

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DISCUSSION ON THE AUTHORIZATION TO PROCEED WITH NEGOTIATIONS TO PURCHASE PARK MEDICAL CENTER

President Kirwan:

I'd like to call on Dr. Tzagournis to provide information on an additional resolution proposed for the Board's approval today -- the Authorization to Proceed with Negotiations to Purchase Park Medical Center.

Dr. Manuel Tzagournis:

Chairman Celeste, President Kirwan, and ladies and gentlemen, thank you for this opportunity. On behalf of The Ohio State University Medical Center, I would like to recommend the authorization to purchase properties from Quorum Health Group, upon completion of all due diligence and finalization of a purchase agreement.

**DISCUSSION ON THE AUTHORIZATION TO PROCEED
WITH NEGOTIATIONS TO PURCHASE PARK MEDICAL CENTER (contd)**

Dr. Tzagournis: (contd)

I want to assure the Board that this issue has been given careful and complete attention. We have had excellent consultants, including the McDermott, Will and Emery consulting and law firm in Chicago, the Arthur Andersen group, EPA consultants, and good appraisal consultants. But I also want to add that we've had excellent consultation from our own vice presidents' staff, the Hospitals' staff, and members of the University Hospitals Board, as well as this Board.

This hospital we envision as being one with a cutting-edge specialty service to enhance our program in musculo-skeletal disease, especially orthopedics. At the same time, this community hospital will also be an excellent teaching facility to actually practice, learn, and train in family medicine. Finally, there will be a community component there that will continue to serve that area and we have received a great deal of support from that community to do just that.

The advantages to us is that our patients will have another point of access to our health system. But most importantly, it gives us the capacity and opportunity to add academic programs that would be enhanced tremendously by the additional space and the additional opportunities that are available at that institution. Importantly, it helps us to fulfill our mission of teaching because of the good training and the teaching that can go on at a community hospital, as well as that mission of health care delivery to our citizens in this community. For that reason, I would like very much to have your support.

I would like to ask Reed Fraley, who has been working very closely with all of us, to give you some additional information. Grayce Sills will briefly tell you about the deliberations of our Hospitals Board and then, with your permission, Mr. President, I would like to ask you if you have any thoughts, since you've been very intimately involved in this, in our meetings, and at our Hospitals Board conferences, as well.

Reed --

Mr. Reed Fraley:

We appreciate your time this morning. I would like to make some comments that are hopefully succinct but descriptive of where we have been for the past couple of months. We have been through our discussions also at a parallel time for significant due diligence of the organization and of its physical assets. We believe at this point in time, that we have a good understanding of the profile of both of those areas.

This probably need not be said, but an agreement of this type, a purchase of this type, is a very complex issue because we are buying property, plant, and equipment that have been used. We are buying property, plant, and equipment that we will be needing to expand and use for some of the programmatic issues that Dr. Tzagournis has referenced. We do believe that at this time we understand those. At the same time, we are in continued negotiations with the current owner, Quorum. We believe that both parties are of like minds to resolve this arrangement as quickly as possible.

As I said, a negotiation or transaction of this type is very complex and, while both parties are highly motivated, we are also continuing to have negotiations on various aspects of the issue. We think at this time we are prepared to conclude our portion, but we also know that Quorum has certain issues that are still within their realm of responsibility, and they have committed to resolving those, but they have to resolve them in the next few weeks.

**DISCUSSION ON THE AUTHORIZATION TO PROCEED
WITH NEGOTIATIONS TO PURCHASE PARK MEDICAL CENTER (contd)**

Mr. Fraley: (contd)

As I indicated with regard to the purchase itself, we will be buying property, plant, and equipment. That's important, because what is in that property, plant, and equipment are operating rooms, a skilled nursing facility, and MRI and CAT scanners, among other things. Items which are minimally used at Park, but for which at Ohio State University Hospitals our demand exceeds our capacity at this very moment. Throughout our due diligence, we have also found that the staff there is very caring and loyal, and we are looking to offer job opportunities to all the individuals who are there at this point in time.

In the context of as we get ready to move -- should we receive from you permission and authorization to do so -- we would see ourselves bringing portions of our culture to that facility, and we would see them bringing a portion of their culture to us. We believe the result would be a hybrid of the cultures, that in fact would let this become the community/teaching hospital in Central Ohio.

Dr. Grayce Sills:

I would like to assure you that the Hospitals Board has met at least three times, spent considerable time in asking ourselves the question and answering it, "Does this meet the University's mission, does it meet our mission?" And we are satisfied that it meets our mission to educate health care professionals for Ohio and the nation, but for our local community. And that we will not only fulfill that mission, but we will be enhanced in our ability to fulfill that mission if we are able to do this. We believe that the leadership that Dr. Tzagournis, Reed, and Dean Healy have brought to this has brought us the sense that the academic mission can be clearly fulfilled by this deal and this arrangement.

Then we next have to satisfy ourselves about our fiduciary responsibilities. Is this good use of our resources? Will the investment yield the kind of results we hope? We are satisfied that if we are able to complete the deal, as it is envisioned at this point, it will satisfy our fiduciary responsibilities and therefore we recommend it to you for your consideration.

Dr. Tzagournis:

Mr. President, are you willing to say a few words?

President Kirwan:

Yes, absolutely. I have had the opportunity to attend all of the Hospitals Board meetings on this matter, as well as engage in a lot of conversations with Dr. Tzagournis, Mr. Fraley, Dean Healy, and others as well. I have been enormously impressed by the level of scrutiny that this matter has received by all parties. I am very impressed by the level of discussion, the intensity of the discussion at the Hospitals Board meeting on this matter, the questions that were raised, the answers that were sought, and the level of thought that went into coming to a conclusion. I support the recommendation.

I think this represents a wonderful opportunity for the University to build a new program of excellence, as well as to expand the service that we give to the community, which is, of course, a fundamental part of our mission. So I am very hopeful that Quorum can remove the remaining impediments to the conclusion

**DISCUSSION ON THE AUTHORIZATION TO PROCEED
WITH NEGOTIATIONS TO PURCHASE PARK MEDICAL CENTER (contd)**

President Kirwan: (contd)

of this proposal and, if that happens, then I would enthusiastically recommend the purchase to the Board.

Mr. Celeste:

Thank you very much. George, as a member of the Board of Trustees who serves on the Hospital Boards, do you want to comment?

Mr. Skestos:

I have been involved, like Dr. Kirwan, in the three major discussions that we have had at the Hospitals' level, as well as some other discussions along the way. I am impressed also with the research that has taken place and the due diligence that has been involved.

I, like Dr. Kirwan, would recommend approval of this resolution, subject, obviously, to an, as yet, undetermined price, and to working out satisfactorily certain unresolved issues. If they are resolved, then I would recommend going forward. I, too, feel that this would be an important step for our Hospitals in furthering our academic teaching, and would be good for our relationship with the community. So subject to those items I just mentioned, I would recommend approval.

Mr. Celeste:

Judge Duncan?

Judge Duncan:

Mr. Chairman, I would also like to endorse the resolution. My family and I, for a number of years, lived in the hospital neighborhood. I mention that only to make the point that to many of us who have had that experience, this hospital is special. To think of the probability that this University's Medical Center could be a part of that -- to increase the quality of care, research, and teaching, which will have the general benefit for the east side neighborhood specifically, and the entire community generally, seems to me to be one of the most positive things that I have encountered in my association with the University. So I am delighted about where we are, and hope that we can get all of the loose ends tied down and get this done.

Mr. Celeste:

Thank you very much. Zuheir, as the other Board of Trustee member on the Hospitals Board, did you have anything that you want to mention?

Mr. Sofia:

I would not want to repeat what my colleagues have said, because I agree with them. I do have a couple of questions, though, I would like to ask. I do want to say that the leadership of Dr. Sills has been immense; she is chair of the University Hospitals Board. I also want to emphasize the dedicated work that has been done by Reed, Manny, and many others. They have spent an enormous amount of hours and have become excellent deal makers.

**DISCUSSION ON THE AUTHORIZATION TO PROCEED
WITH NEGOTIATIONS TO PURCHASE PARK MEDICAL CENTER (contd)**

Mr. Sofia: (contd)

Do we have specific plans for the financial discipline to run a hospital that has had some challenges, staffing requirements, training, culture issues, etc., and a timetable? What individuals are going to be responsible for execution of those?

Mr. Fraley:

We have developed financial plans, which we will continue to refine and present to the Hospitals Board for review as part of the whole final approval process. With regard to staffing, we will need to hire additional leadership. The current leadership at Park -- whose name we will change -- are from Quorum and those people will move when Quorum ceases to be the owner. At that point in time, we will make a mixture of new staff, plus staff from this organization that will move to the leadership positions in the context of bringing both their culture and our culture together. At the same time, we will, with your permission and the Hospitals Board's permission, then backfill and fill in those positions that would move from University Hospitals. The exact identification of those individuals in no case has been done. We certainly need to wait for the appropriate approvals and the completion of the negotiations.

Dr. Sills:

The other piece of your question, I think, Mr. Sofia, had to do with quality, and the Hospitals Board has been very clear about that. That the same quality standards that we expect with regard to practice, with regard to credentialing, with regard to information systems, with regard to fiscal reporting, and so on, will carry on as a part of our culture to that culture. We can be reasonably sure of the same level of quality in all of those aspects of the business.

Mr. Fraley:

I was hoping that either Dr. Tzagournis or Professor Sills would mention this, but the plan currently is that, in terms of continuity and consistency, Dr. Hagop Mekhjian, medical director at University Hospitals, would be the medical director. There would be a person there who would be the lead person reporting to him to ensure these medical quality and credentialing issues. I would be appointed as the CEO, with a person also assigned there with full-time responsibility for the daily operations, with the intent of making sure that the administrative rulings and decisions are of a consistent nature.

Mr. Celeste:

Any other comments or questions from the Board?

Mr. Patterson:

Ted, excuse me, one clarification. Our adoption of this resolution will approve our purchase, the manner in which it is done, of Park Hospital. However, there are certain things that they need to do to provide assurances to us by certain time frames. So it is incumbent upon Quorum, if that's the one, to provide those assurances or agreements in order to complete the transaction?

Dr. Tzagournis:

That's correct.

**AUTHORIZATION TO PROCEED WITH NEGOTIATIONS
TO PURCHASE PARK MEDICAL CENTER**

Resolution No. 99-102

Synopsis: Authorization to purchase certain properties from Quorum Health Group and QHG of Ohio as set forth in the body of this resolution is proposed.

WHEREAS the University Hospitals Board has adopted a strategy for fulfilling the University's mission as an academic medical center by the provision of additional sites for the education of medical students, residents and other health care professionals in local health care environments, and additional sites for the delivery of medical services; and

WHEREAS the University Hospitals Board has determined that the purchase of Park Medical Center would increase its capacity for providing patient care, provide a unique community teaching facility, and support the University's commitment to diversity and expanding outreach and engagement activities; and

WHEREAS the Board of Trustees deems that, at an appropriate price and with the resolution of related issues, the use of funds for acquiring Park Medical Center for the special use of the University's health care and medical teaching programs to be in the best interest of The Ohio State University;

NOW THEREFORE

BE IT RESOLVED, That the President, Vice President for Health Sciences and Vice President for Business and Administration be authorized to purchase, on such terms and conditions determined by them to be in the best interest of the University, and to pay Quorum Health Group a purchase price to be finally negotiated upon the completion of all due diligence for its interest in Park Medical Center in exchange for title free and clear to all real property and specified personal property, pursuant to a purchase agreement yet to be finalized, and contingent upon receipt of the approvals specified below; and

BE IT FURTHER RESOLVED, The President, Vice President for Business and Administration, and/or Vice President for Health Sciences be and hereby are authorized to execute any and all documents including but not limited to the purchase agreement and contract assumption agreements, as are requisite in their judgment, in the circumstances; and

BE IT FURTHER RESOLVED, That title to said property shall be taken in the name of the State of Ohio for the use of The Ohio State University subject to approval by the Board of Regents and by the Controlling Board for any expenditure for real property involved in this transaction; and

BE IT FURTHER RESOLVED, That it is the plan of the University Medical Center that this acquisition be financed on a long-term tax-exempt basis.

Upon motion of Mr. Skestos, seconded by Mr. Slane, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger.

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STUDENT RECOGNITION AWARD

Ms. Lowe:

Today's award winner was described by her nominator as "a rare find in the student body." Indeed, Carolina Nahuz has been an asset to Ohio State in many ways. A Brazilian, Carolina brings a host of talents to this University and community.

STUDENT RECOGNITION AWARD (contd)

Ms. Lowe: (contd)

As a student, her nominators say, "she exhibits tremendous intellectual curiosity and drive."

She is a senior majoring in Industrial and Systems Engineering, and is quite accomplished in her academic achievements. This includes being an honors student, receiving University College's Summa Award, and twice receiving the Minority Engineering Eminent Scholar award.

However, for Carolina, learning extends beyond the walls of the classrooms. She has held two different co-ops at Delphi Chassis Systems, working both in international operations and manufacturing and systems engineering. And she has continued her learning at home in Brazil, with an internship at Mettler-Toledo operations there.

It is probably not surprising to any of us that this recognition award winner is busy in other aspects of University life. She has been a member for the last four years of the OSU Varsity Tennis Team; has served as the team's representative to the Student Athlete Advisory Board; has twice won the Big Ten Academic All-Conference Award; and four times has received the OSU Scholar/Athlete Award. That's every year that she's been a student here. In 1997, she was selected to present a motivational speech for the National College Round Table on Women's Health Issues.

I know that the College of Engineering is proud to call her one of their own, and her chair says she's even looking forward to working with Carolina as an alumna.

I would like to invite Dr. Kirwan and Dean Ashley to join me in congratulating Carolina.

Ms. Carolina Nahuz:

Thank you very much. I am very honored with this award. I was very surprised, it was very unexpected to me. I think because of the fact that I come from a different culture, I don't expect any recognition or any pats on my back congratulating me for what I've done. I don't experience that in Brazil. Usually you don't get the recognition that you get here. It's very fulfilling to receive this and to see that people really care and appreciate what I do, and the efforts that I put into trying to make things better around me and in the community.

I first came to Ohio State in 1994 to join the tennis team, besides studying. At that point, tennis was a very individual sport to me. One of the things that I learned the most here was to play as a team. That carried on to class and to my personal life. That is only one of the things that really made an impact on the person I became here at Ohio State.

I have repeated this to many people, that this is an amazing institution and, if I could choose again, I would do Ohio State all over again. It's really, really been four and a half very amazing years of my life. They have shaped me into an individual where I can look at myself in the mirror and say that I am very proud. I look forward to my opportunities to contribute back to the University. I wish that I could delay my graduation a couple of years, because just now I got involved in many organizations at the University to start giving a little bit back of the amazing things that I have received here.

STUDENT RECOGNITION AWARD (contd)

Ms. Nahuz: (contd)

Unfortunately, I have to go on, because I have specific goals in my life. Starting in August, I am going to start working for General Electric, the plastics business, and I am going to be in a two-year training program rotating between the U.S., Europe, and the Pacific. Hopefully, in two or three years, I am going to be returning back to my country and contribute to that community. There is a lot that I have learned here at Ohio State and in the United States that I want to bring back to my country and make my country better.

I do look forward to the day, and I know that the right time will come, that I can contribute much more to this University. I would just like to, again, thank everybody that has been involved in choosing me. I am truly honored for this. Thank you.

Mr. Celeste:

Carolina, thanks so much. We're so proud of you.

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STUDENT GOVERNMENT REPORTS

Ms. Kathleen Carberry:

Good morning. First of all, I want to thank the Board members for the opportunity to participate in the Leadership Retreat yesterday. I thought it was very productive and I am very excited about the future direction of the University, and I appreciated the chance to share my thoughts.

I'll start with the Council of Graduate Students' report. The Council of Graduate Students has continued its work on graduate student housing recently through participating in the process for the Gateway project. Ron Meyers, the graduate professional student representative for Campus Partners, has been working with Campus Partners and University officials. Ron and I attended and spoke with all three developer teams at their public presentations this past week, and we will also be involved in a day-long panel discussion that will happen in about two weeks. We are excited to see the Gateway project now coming together, and we appreciate the opportunity to be so centrally involved in this process.

The Edward F. Hayes Graduate Research Forum finalists have been chosen to present their research at the event on April 10, 1999. CGS vice-president Manisha Vijayaraghavan has done a tremendous job with this year's forum, and I would like to recognize her efforts.

At today's CGS meeting, we will be voting on our legislative platform for the year and voting to officially create and launch the Professional Development Fund, among other business. The CGS Professional Development Fund will provide the opportunity for graduate students to apply for up to \$300 in support for travel to disciplinary conferences to present research. Thank you to all the offices that have pledged support for this project: the Office of Research, the Office of Faculty and TA Development, and the Graduate School.

Next, we'll go the Interprofessional Council Report. IPC is sponsoring two professional student organizations so they can attend regional conferences. They provided funds for the Black Student Law Association to represent The Ohio State University at its Midwest Regional Conference and are co-sponsoring the Student

STUDENT GOVERNMENT REPORTS (contd)

Ms. Carberry: (contd)

National Medical Association for students to attend a regional conference in Miami, Florida.

The Interprofessional Council I-QUE Survey has been sent to the printers. This survey will be administered during April, and we are looking forward to completing the next stage of this ongoing project. IPC would like to thank Provost Ed Ray and the Office of Academic Affairs, as well as Vice President Williams and the Office of Student Affairs, for helping to fund this project. IPC would also like to thank each of the professional colleges which contributed to this project, as did Vice President Tzagournis through the Office of Health Sciences.

Congratulations to the winner of the IPC Professor of the Year Award, Dr. Nick Fogt. Dr. Fogt was selected from a number of professors who were nominated by former students who wrote essays describing their favorite professors. The following is an excerpt from Dr. Fogt's nomination:

"...Perhaps most impressive to me about Dr. Fogt is his ability to be a professional yet approachable clinician. Although he has a dual degree (O.D. and Ph.D.), he is one of the most humble people I know. I can talk to him as a friend yet hold him in the highest respect. His willingness to donate his personal time and skills has made me realize that as health care providers we have a responsibility to provide the best personalized service we can, regardless of the patient's ability to pay."

IPC applauds Dr. Fogt for his continued excellence in teaching at The Ohio State University.

Last, but not least, the Undergraduate Student Government report. The Undergraduate Student Government has been working on a number of projects including the installation of the emergency "blue lights" in the off-campus area, faculty-student interaction, the creation of a four-year contract for students, and a Block Watch effort for the off-campus area. At 1:00 p.m. on Saturday, there will be an unveiling of the blue safety lights at the Evans Scholars fraternity house. We want to thank University officials for all of their support with this project.

Chris Pan, a senior in Lincoln Tower, has been heading up a student-faculty interaction project. There was a meeting this past week with students and faculty presenting ideas such as adding meal plans for students on their Buck ID's in order for students to take faculty members out to lunch with them.

Another project that sophomore Amanda Graf has been working on concerns the creation of a four-year contract between students and the University. This would allow the student to lay out his or her four-year plan so that he or she could take the required classes and graduate on time. Also, sophomore Jessica Weeks is beginning to look at the possibility of creating block watches in the immediate off-campus area. They are excited about the possibility of working with CGS on this project.

We are also very excited about the selection process for the student trustee. Now that the five names have been forwarded on to Governor Taft, we are anticipating his selection.

That concludes the Student Government reports.

STUDENT GOVERNMENT REPORTS (contd)

Mr. Celeste:

Thank you very much. Are there any questions?

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ANNUAL FINANCIAL BENCHMARK REPORT

Mr. William J. Shkurti: [overhead presentation]

The Annual Financial Benchmark Report is in front of you in the scarlet and gray cover which is similar to the slide on the screen. What this is, is the first of two reports we do annually in preparation for the annual budget process to set a strategic context. Today, I'll be talking about revenues and expenditures and next month, Ed Ray and Larry Lewellen will do a comparison on compensation and how it relates to faculty, staff, and students that are a key part of the institution, as well.

Before I get started, I want to recognize Eric Kunz, Assistant Vice President for Resource Planning and Institutional Analysis, who not only turns charts, but also is responsible for the entity that produced this data. Of course, the vice presidents and the assistant vice presidents never really do the work, there is actually a lower-paid person that does that. Henry Zheng, if you'd stand up so we could recognize your work as well. It's people like Henry that really keep this University running, and I want to express my appreciation for that.

I want to start out with just a couple of definitions, but not go into detail on this. One is that comparing universities financially is very difficult, even though we all subscribe to uniform accounting standards. We try to make this data as comparable as possible. So in this case, we've excluded auxiliaries like the Hospitals, because not all of the institutions that we're comparing with have hospitals, and that distorts the data. That does not mean our Hospitals is not important, however. But we do have to make this comparable.

In addition, we're using the nine benchmark institutions we've talked about before to make our comparisons. Those are campuses that are highly ranked academically and comparable to OSU in their mission, size, and configuration. Those are indicated on the map above, that also includes that institution north of us that is extremely well-financed, as you will see. The other data issues that we use -- and they are listed on the slide -- are the best data available but, again, I need to stress that even though everybody works in good faith with this, there are a lot of issues in comparability, and where those make a difference, I'll mention that.

Let me turn to the first chart, which compares our revenue per student FTE with the benchmark institutions and you will see a familiar story, which is what we've talked about in previous years. Ohio State ranks 19 percent below the benchmark average, or mean, in the current funds revenue that we have. So we start out on every dollar with about 19 percent less.

However, when you look at the distribution of the institutions on this chart -- and you'll see that Michigan leads the pack with \$39,000 per student -- there is quite a difference. You almost see the upper class on the left-hand side of the chart and the working class, which includes us, on the right-hand side. Obviously, there is a big gap there. For example, between us and the University of Michigan -- if my math is right -- we have about \$15,000-\$16,000 per student less that we start out with and multiply that over 40,000 or 50,000 students and that is a lot of money.

ANNUAL FINANCIAL BENCHMARK REPORT (contd)

Mr. Shkurti: (contd)

On the other hand, there are some good institutions that are funded at about the same level as we are: Illinois, Arizona, Penn State, and so forth. So although I think we have some handicaps financially, we also have some resources. Although we should continue to be aggressive, we shouldn't sell ourselves short in this very competitive battle.

Now, normally, in previous years, this is where we stop. We have a new chart this year that shows some good news, and this is the first time we've noticed this. In FY 1997, the latest year for which we have comparable data, Ohio State had the third largest dollar improvement in its current funds revenue per student FTE and the largest percentage improvement among all the benchmark institutions.

Now if you were to project this forward -- we talked about the tiering of demographers yesterday and straight line projections -- if, in fact, we had a year like 1997 nine years in a row, we would actually end up being funded at about the average of all the benchmark institutions. That would be very consistent with President Kirwan's goal of being in the top ten by the end of the decade.

Now, one year does not a trend make, and so we cannot take anything for granted. Fortunately, these are good times; where we have fallen behind is in bad times, but at least it's encouraging. I know some of the Board members were here in 1995 when we reoriented our financing strategy and I think it shows we're doing some things right. When the data comes in for 1998 and 1999 -- although we had the blip, remember, with the 0.5 percent cut from the state last year as a result of the K-12 funding issue -- I suspect we will have very good years in 1998 and 1999.

Mr. President, I am as encouraged as you are by what the Governor has proposed -- and although it wasn't everything we asked for and everything we need -- it is an important step forward. If the economy stays strong and we can build on what the Governor has proposed, we can hopefully keep this positive trend line going. I think it shows we are making the right decision when we decided to diversify our revenue, and it is starting to pay dividends.

The next chart shows our comparison based on the different categories of revenues. It shows that the smallest difference is in tuition and fees, and that 2.8 percent negative difference is about \$178. I need to stress, because we'll be making some fee comparisons later, that this includes graduate and professional fees. Our graduate and professional fees, both in-state and out-of-state, are relatively high compared to benchmark institutions. That helps us on the income side but it is a burden on those students.

Our state appropriations, as you know, are on average about 8 percent less than the benchmark institutions. And as we've talked about previously, our grants and contracts are a lot less than those benchmark institutions. You'll see there's some good news in terms of what we're doing in that area, but we have a lot of catch-up to do.

The next chart shows how things have changed from 1991 to 1997 in constant dollars. The interesting thing is that it shows our tuition and fees have increased on an average 5 percent a year in inflation-adjusted terms and our grants and contracts have increased by almost a similar amount of 4.7 percent. All other income, which includes a lot of our fund-raising efforts, have also increased at a similar amount.

ANNUAL FINANCIAL BENCHMARK REPORT (contd)

Mr. Shkurti: (contd)

In other words, our students are doing their part by paying a little more to go here, our faculty is doing their part by bringing in more external funding, and our staff is doing their part by finding other financial sources. So that leaves then the little sliver there in state support, which is a negative sliver. This shows that our state support over the six-year period has not kept pace with inflation. Now, in fairness to the state, a lot of this was the hole that was dug in 1991, 1992, and 1993, where we absorbed some very large cuts, and things have been better since then.

I think I would also say -- even though that's a relatively small percentage -- that is a lot of money. Our state support to the general fund alone is about \$300 million a year. So I want to be clear that we are appreciative of that state support, but I think the message to our state policy leaders is, "Look at how well we've done, even though we are not funded as well as those other institutions in other states. Think how much more we can do with just a little more support." Hopefully, we'll have an opportunity to see that realized in the budget process.

Now, the next set of charts looks at our resident undergraduate tuition. There you see a different pattern than we see for graduate and professional students. If you look at just other state institutions -- remember we're the most comprehensive institution in Ohio and we're the most highly ranked academically, although there are other fine institutions in this state -- our in-state undergraduate tuition and fees are 7.1 percent below the state average. We're ninth out of the thirteen.

That is kind of interesting, because that's where we were in 1991; so we're basically where we were eight years ago. Interestingly enough, then we were 8.4 percent below and now we're 7.1 percent below. So that means if present trends continue, sometime in the next millennium we might end up being at the state average for tuition. This is a wonderful bargain for our students, but what it does is handicap us in our ability to provide some of the services we know our students need.

Now, if you take a look at how we compare with the benchmark institutions, you have a similar picture. We are 7 percent below the average for those institutions. Many of those also receive more in the way of state support which, again, is why we have the funding difference I talked about. Those deal with the revenues.

If we take a look now at how we spend our money, obviously, if we have less revenues coming in, we have less to spend. We do not deficit spend, and you'll see now it's an interesting pattern. We do extremely well compared to the benchmark institutions in public service, those line items that the state specifically funds -- in cooperative extension and in agricultural support, and some of the health line items. The State of Ohio is very generous in those. I think we need to build on that.

We also are above average in our instructional expenditures per student FTE, and I think that shows we have our priorities in the right place. It also is a reflection of the way we account for things, because this is a three-year average from 1995-1997. Those of you that were here in 1995 know we had a buy-out of faculty, an early retirement buy-out that year, and the way we account for stuff, that shows up all in that year. So that tends to distort this a little bit. But I think it does show that, given the limited funds we have, we're putting our money in the right place.

If we're below average in our funding -- and we're doing above average in public support and instruction -- it shows up in other kinds of support services. You can see it's pretty consistent. Starting with institutional support, which is kind of the

ANNUAL FINANCIAL BENCHMARK REPORT (contd)

Mr. Shkurti: (contd)

general bureaucracy, but through the student services, scholarships and fellowships, physical plant, academic support and research, we consistently rank below these institutions. I have to point out that our physical plant people do a wonderful job, when you think they get \$0.33 less on the dollar than their counterparts in other institutions to do that. We owe them all a debt of gratitude. Again, that's an issue throughout the support structure of the University.

The next chart, chart #9, shows these in more global terms and how these proportions have changed over the last five years. Again, it's a case of good news. For example, our expenditures for instruction which were 1.9 percent above the average in 1992, are now 12 percent above the average. Again, although part of that is early retirement, I think part of that is real. On the non-instructional side, our gap has closed a little bit. We were 36 percent below the average five years ago, and now we're 33 percent below the average. So if those trends can continue, we can slowly catch up.

The next chart I put specifically in here for Mr. Brennan, because he always asks this question, so we will dedicate it to him in absentia. I think he likes us to take a look at what our expenditures on employee compensation are as a share of the general funds budget. His concern is that we not end up like the public schools where we spend 90 percent of our money on salaries, which is wonderful for that part of it, but we strangle the other parts of the institution.

As you can see, in 1991 -- and although our compensation has risen some since then -- we were at 70 percent of the budget; we've dropped now to about 64.5 percent. That's for a lot of reasons. One of them is that this University has done an excellent job of controlling its benefit costs and offering high quality benefits without an increase in prices. The Provost and I have talked about this and we're concerned we've done such a good job on meeting other parts of the budget, that we need to make sure we stay competitive in salaries. We'll have more of a discussion on that a month from now.

So if you take a look at this all together, in terms of the implications, again, when compared to benchmark institutions -- those best public institutions -- OSU starts out with almost 19 percent less resources per student, and that's a significant gap. Since we have less coming in, we spend less, and that is particularly felt on the support services side. That's something we need to do better on. OSU has made significant progress since 1995 that showed up in 1997, and we hope to continue to do that.

To do that, we need to do three things: Even though we're starting out with less money to begin with, we've got to do a better job of managing our existing resources, because we can't afford to make mistakes. Michigan has enough money that they can spend it on a lot of things and still come out ahead of us. We need to continue to work on getting state support. I think the days of double-digit increases in state support are probably over, but even small percentage increases mean big dollars that are extremely helpful to us in leveraging more dollars and benefiting the State of Ohio. We need to continue to expand and develop our revenue base. That means to continue to support the excellent success we've had in private fund-raising and in looking in other sources of income, exclusive contracts and pouring rights, and trademark and licensing, so that we can augment the revenues we have. If we do that, I think we are in a position to move forward.

Finally, if you take a look at our academic reputation, which among all the universities in the country is 37th, it's first among public institutions in Ohio. Even

ANNUAL FINANCIAL BENCHMARK REPORT (contd)

Mr. Shkurti: (contd)

though it is first, our fees among those top institutions are the lowest and by a lot. Again, that is a tremendous value for our students and for Ohio taxpayers. But to continue to provide that value, we are going to continue to need the resources and the support of the people of Ohio.

So I am pleased that after giving a number of reports that were somewhat challenging in previous years, that we're moving in the right direction. The key is that we continue to stay there.

Mr. Chairman, I'll be glad to answer any questions.

Mr. Celeste:

Bill, thank you very much. Are there any questions from the Trustees? Can you keep that last chart up there for a little while just to remind everybody? That's a good one.

Mr. Patterson:

Bill, you brought out what I thought was a significant point. We do figure our tuition based upon full-time students. That's where some of these figures are generated. Yet we get reimbursed from the state, not on the number of students we have, but on the number of courses that those students take. So my question is that when -- and it was indicated that the non-traditional student, the part-time student is the ever-increasing portion of the whole -- that is taken into account, you come up with the tuition factors? Because our potential for more revenue to the University is based upon credit hours and has more potential with the non-full-time student.

Mr. Shkurti:

Mr. Patterson, you're exactly right. That is where a lot of the potential for revenue is. In order to make these comparisons -- apples to apples, instead of apples to oranges -- we control for part-time and full-time by using the term the full-time equivalent, which does that. Then when we do the tuition comparisons that I've shown you in these charts -- which are the resident undergraduate tuition -- it is for a full-time student, but the part-time student tuition is scaled back proportionally. So, if you take fewer credit hours and are a part-time student, you do not pay as much for tuition as a full-time student. So we try to encourage as best we can part-time students. I'm sure there's more we can do, but I think this is an area where the potential for bringing revenue into the University and serving students is great. We are in a position to really move forward in that area.

Mr. Celeste:

Other questions? Thank you, Mr. Shkurti. We appreciate it.

(See Appendix XXXVIII for Financial Benchmark Report for 1999, page 709.)

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COMMENT ON THE GREATER RICHMOND VIRGINIA SCHOLARSHIP FUND

Mr. Celeste:

At this point, I would like to call on Greg Gilligan from Richmond, Virginia, for a brief comment on the Greater Richmond Virginia Scholarship Fund.

Mr. Greg Gilligan:

Mr. Chairman, thank you very much. My name is Greg Gilligan, past president of the Richmond Virginia Alumni Club and the current scholarship committee chairman. Next to me is Bob VanHorn, first president of our alumni club, founded in 1973, and a past scholarship committee chairman.

Mr. Chairman, President Kirwan, and other Board members, today is a very exciting day for the Richmond Virginia Alumni Club. For today, you all have approved an endowed scholarship application. This is something that we have been working on for the past nine years. Thank you for approving this.

This has been a huge undertaking for our alumni club, for we only have about 500 members in the Richmond, Virginia, area, and about 100 of those who are active members. As I said, we have been working on it for the past nine years. We started raising money for the scholarship in 1990 and gave out our first scholarship in 1991 out of current use funds. We have now awarded eight scholarships. Next month we will award our ninth scholarship, and, hopefully, President Kirwan will be in attendance.

Now, thanks to the fund-raising efforts of the alumni in the Greater Richmond area, we are able to have a permanent endowed scholarship. We are only one of a dozen or so alumni clubs outside of the State of Ohio that have actually achieved this feat, and by far, we are the smallest. I think this proves that we are also one of the University's strongest supporters. With this endowed scholarship we have ensured that many future Ohio State graduates will be from the Richmond area. Bob --

Mr. Bob VanHorn:

Thank you, Greg. Mr. Chairman, and ladies and gentleman, we of the Richmond Club feel that this is a fitting and realistic challenge that we can now toss out to similarly-sized clubs who are, "out there somewhere." We are decidedly beyond the borders of the State of Ohio; there's no doubt about that. But this accomplishment is achievable, and we've done this. We've done it for one very good reason, a very warm reason -- we consider it an epitome of how to "sing Ohio's praise." We thank you for your recognition.

Mr. Celeste:

Thank you both very much.

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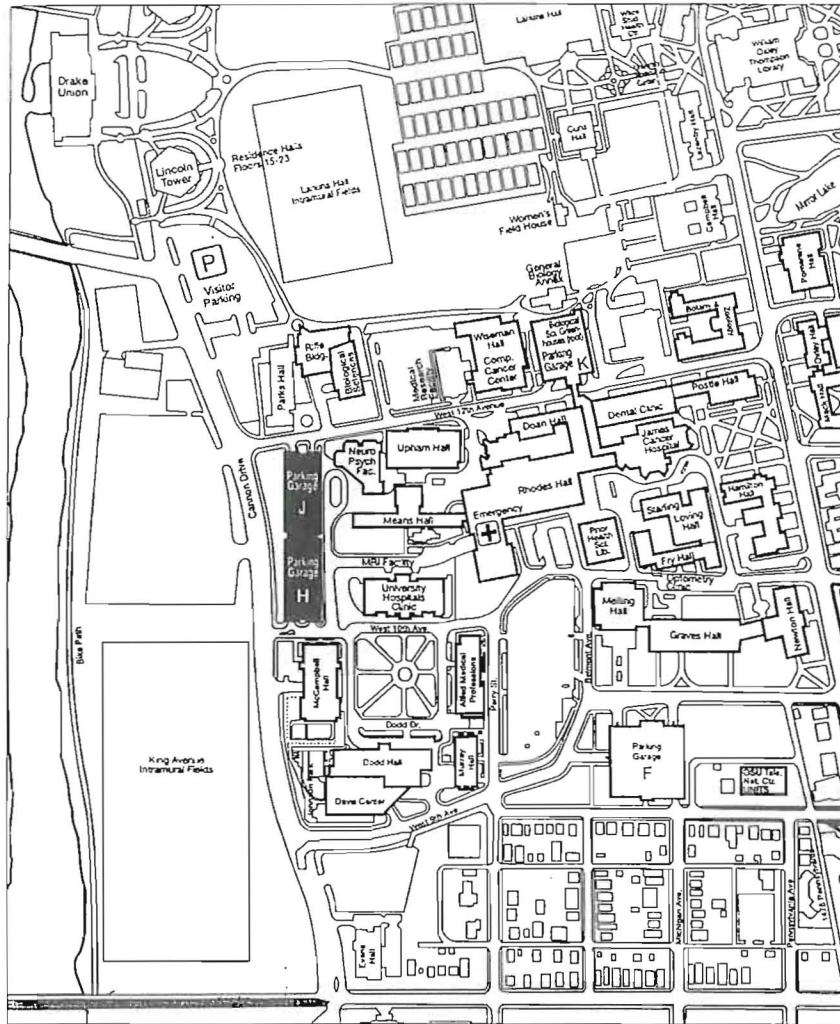
Thereupon the Board adjourned to meet Tuesday, April 6, 1999, at the Ohio Agricultural Research and Development Center, Wooster, Ohio.

Attest:

William J. Napier
Secretary

Theodore S. Celeste
Chairman

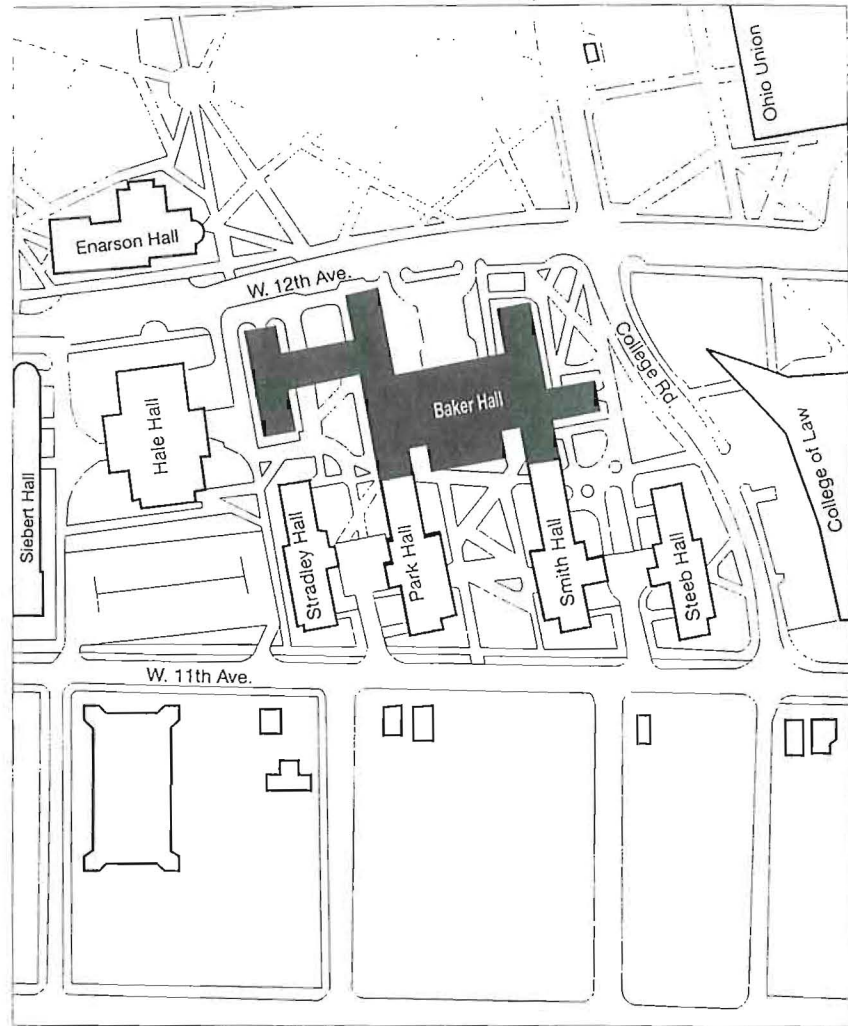
Medical Parking Garages (H & J) Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
February 17, 1999



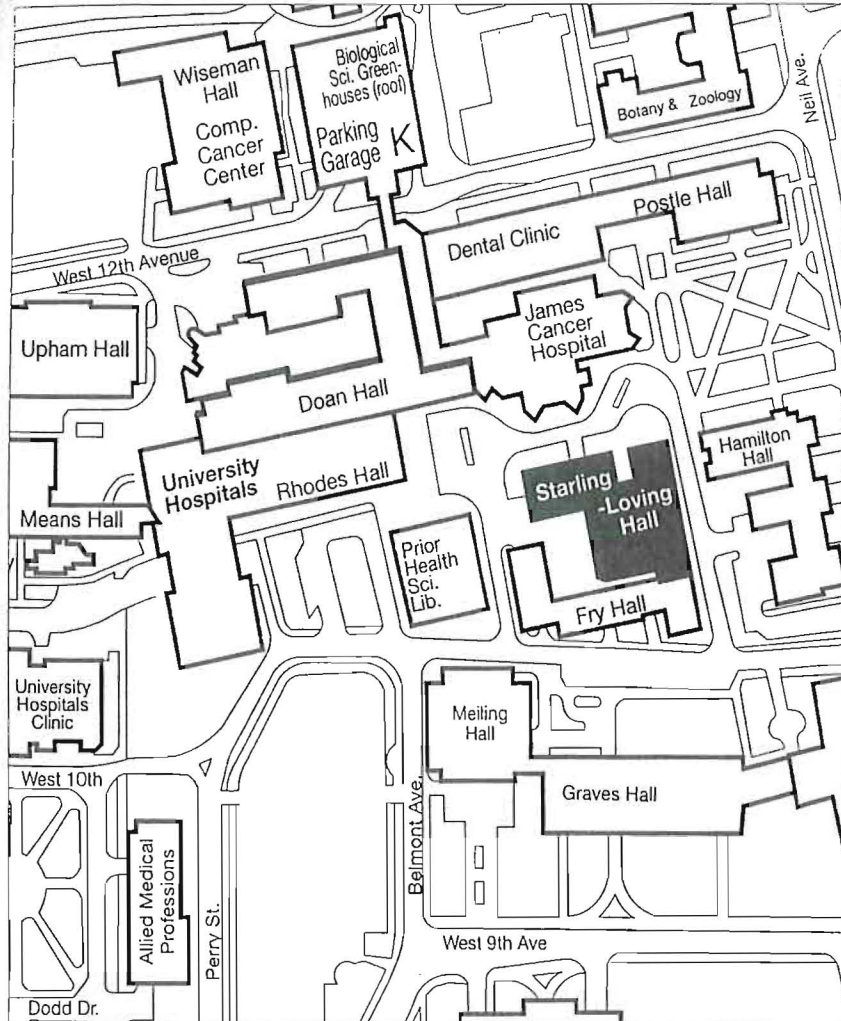
Baker Commons Renovation



Office of Business and Administration
Office of the University Architect and Physical Planning
February 17, 1999



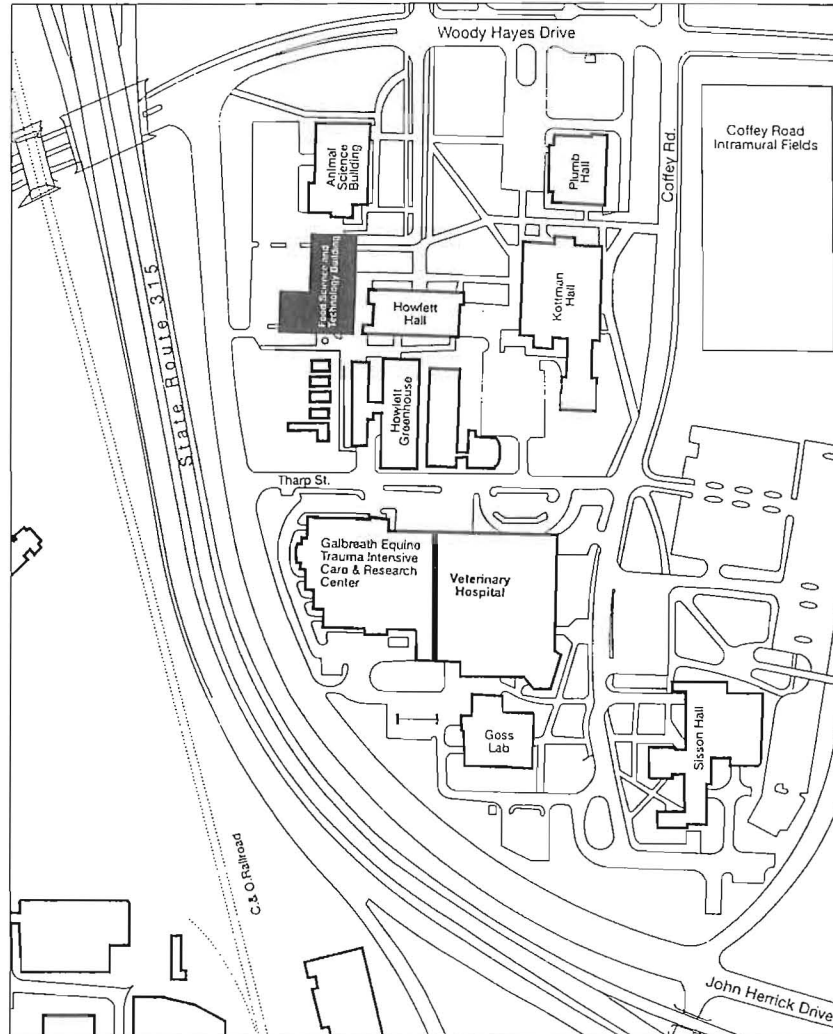
**Starling-Loving Hall -
Relocation of Cancer Cytogenic Lab**



Office of Business and Administration
Office of the University Architect and Physical Planning
February 17, 1999



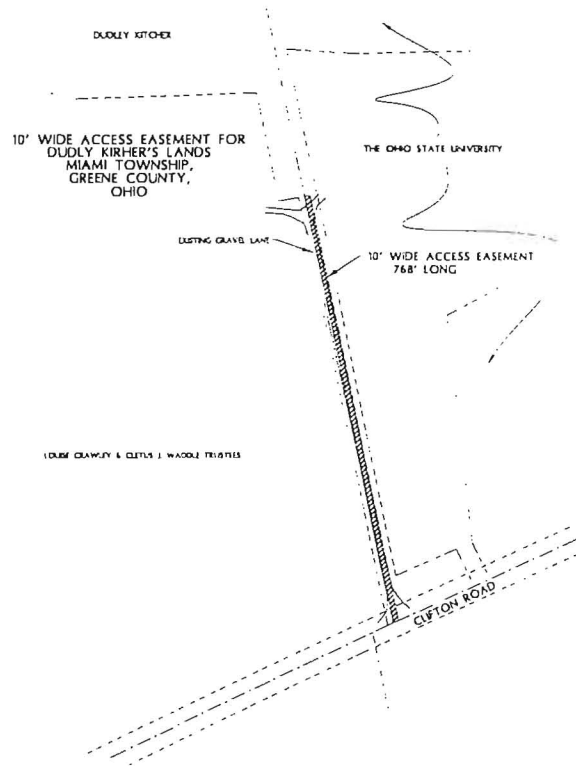
Food Science and Technology Building



Office of Business and Administration
Office of the University Architect and Physical Planning
February 17, 1999



Proposed Common Driveway Easement For Dudley Kircher at the OSU 4-H Camp Property in Greene County



No True Scale



Office of Business and Administration
March 5, 1999

Map Provided by University Engineer's Office

(APPENDIX XXXVIII)

OSU Board of Trustees
March 5, 1999

Financial Benchmark Report for 1999

- I. Context
- II. Revenue Comparisons
- III. Spending Comparisons
- IV. Implications

Resource Planning and Institutional Analysis
Office of Finance, Business and Administration

I. Context

A. Purpose - to inform financial decisions on a strategic level.

B. Key Definitions

1. Current Funds -- includes all income and expenditures to fund day to day operations, but excludes auxiliaries (i.e., hospitals) for comparability purposes.
2. Student FTE -- full-time equivalent students (adjusts for part-time vs. full-time).
3. Benchmark Institutions -- includes the nine campuses that are highly ranked academically and comparable to OSU in mission, size and configuration.

C. Data Sources

1. IPEDS -- statistics comparing other institutions come from the Integrated Post Secondary Education Data Survey of the U.S. Census Bureau and Department of Education (IPEDS) and the American Association of Universities Data Exchange (AAU-DE). These reports are widely regarded as the most reliable data sources available; however, specific comparisons should be approached with caution because significant variations exist in accounting and reporting practices at participating institutions, particularly regarding categories of expenditures.
2. Trends -- figures from one year to another are subject to fluctuations for a variety of causes, therefore, multi-year trends are more appropriate for strategic decision making. In addition, the IPEDS information is current through FY 1997. The University has had two years of financial growth since then that is not reflected in these numbers.

II. Revenue Comparisons

- A. Ohio State ranked 19% below the average of its benchmark institutions in revenues per FTE student in FY 1997. This is a 4 percentage point improvement over FY 1996 when OSU was 24% below the average.

University	Revenue Per FTE
Michigan- Ann Arbor	\$39,153
California - LA	38,602
Washington - Seattle	35,193
Minnesota - Twin Cities	32,998
Wisconsin - Madison	30,596
Average	\$29,420
Ohio State - Columbus	23,715
Illinois - Urbana	23,547
Arizona - Tucson	22,805
Penn State - University Park	22,206
Texas - Austin	19,684

Source: FY 1997 IPEDS Finance Survey
Includes all FY 1997 Current Funds Revenue except Hospitals and Auxiliary Enterprises

B. Ohio State had the greatest year to year percentage improvement in revenues per student of any benchmark institution in FY 1997.

Institution	\$ change FY 96 - FY 97	% change FY 96 - FY 97
California - LA	2,097	5.7
Michigan - Ann Arbor	2,035	5.5
Ohio State - Columbus	1,763	8.0
Illinois - Urbana	1,162	5.2
Washington - Seattle	1,083	3.2
Average	1,071	3.6
Minnesota - Twin Cities	1,067	3.3
Penn State - University Park	745	3.5
Wisconsin - Madison	640	2.1
Texas - Austin	414	2.2
Arizona - Tucson	401	1.8

Source: FY 1996 and FY 1997 IPEDS Finance Survey
Includes all FY 1997 Current Funds Revenue except Hospitals and Auxiliary Enterprises

- C. Ohio State still trails the average for benchmark institutions in all major categories of revenue per student FTE. However, OSU has improved its relative standing in all categories from the previous year.

Category	OSU Amount	\$ Difference from Benchmark Mean	Percentage Difference
Tuition & Fees	\$ 6,088	- \$ 178	- 2.8%
State Appropriations	7,928	- 723	- 8.4
Grants & Contracts	6,701	- 4,150	-38.2
All Other	2,999	- 654	-17.9
Total	23,715	- 5,705	-19.4

Source: FY 1997 IPEDS Survey

Includes all FY 1997 Current Funds Revenue except Hospitals and Auxiliaries

- D. Annual resident undergraduate tuition and fees at Ohio State are 6.9% below the average for benchmark institutions, compared to 9.1% below average in the previous year.

Tuition Rank	University	FY 1999 Annual Fees
1	Michigan	\$6,489
2	Penn State	6,194
3	Minnesota	4,802
4	Illinois	4,554
	AVERAGE	\$4,196
5	Ohio State	3,906
6	UCLA	3,863
7	Washington	3,495
8	Wisconsin	3,408
9	Texas	3,004
10	Arizona	2,158

Source: AAUDE Annual Academic Year Tuition and Required Fees Report, September 1998.

- E. Ohio State ranks 9th among 13 Ohio public assisted universities in annual resident undergraduate tuition and fees -- 7.1% below the state average, compared to 7.2% below the average in 1998.

Rank	University	FY 1999 Annual Fees
1	Miami	\$5,802
2	Cincinnati	4,746
3	Kent State	4,714
4	Bowling Green	4,670
5	Ohio University	4,530
6	Toledo	4,166
	STATE AVERAGE	4,174
7	Wright State	3,930
8	Akron	3,916
9	Ohio State	3,879
10	Youngstown State	3,762
11	Cleveland State	3,600
12	Central State	3,336
13	Shawnee State	3,213

NOTE: In 1991, Ohio State ranked 9th out of these 13 institutions, 8.4% below the statewide average.

Source: Ohio Board of Regents, "Fall Survey of Student Charges," September 1998.

- F. State appropriations per Student FTE are still below FY 1991 levels in constant dollars, while other revenue sources (including tuition) continue to increase in importance.

Source	FY 1991	FY 1997	Average Annual % Change FY 91 - FY 97
Tuition & Fees	\$4,551	\$6,088	+5.0
State Support	8,086	7,928	- 0.2
Grants & Contracts	5,120	6,701	+4.7
All Other	2,254	2,999	+5.0
Total	20,011	23,715	+2.9

Source: IPEDS Finance Survey, 1990-1997. 1997 constant dollars is based on U.S. Department of Labor, CPI - for Urban Areas (Midwest).

III. Expenditures

- A. Compared to benchmark institutions, Ohio State spent above the average for instruction and public service, but well below the average for all other non-instructional services:

Expenditures per Student FTE (95-97 Average)

Category	OSU	Benchmark Institution	OSU as a % Benchmark Average
Public Service	\$1,725	\$1,351	128%
Instruction	9,231	8,241	112
Institutional Support	1,357	1,575	86
Student Services	647	814	79
Scholarships	1,416	2,038	69
Academic Support	1,866	2,844	66
Physical Plant	1,179	1,769	67
Research	4,049	7,789	52
Total E&G*	21,469	26,421	81

NOTE: Instruction expenditures partially reflect impact of FY 1995 Early Retirement Plan.

*Total Education and General (E&G) Expenditures exclude mandatory and non-mandatory transfers.

Source: IPEDS Current Funds Education and General Expenditures (excluding auxiliaries and hospital expenditures) for three year average, 1995-1997.

B. Between the early 1990's and mid 1990's, Ohio State's relative position in relation to the benchmark institutions in both instructional expenditures and non-instructional expenditures improved:

Category	OSU Average Per FTE Spending 90-92	OSU as % of Average 90-92	OSU Average Per FTE Spending 95-07	OSU as % of Average 95-97
Instructional	\$ 7,293	102%	\$9,231	112%
Non-Instructional	9,073	64	12,238	67
Total	16,366	77	21,469	81

Source: Three year average of current fund education and general expenditures excluding mandatory and non-mandatory transfers, Hospitals and auxiliary enterprises. IPEDS Finance Survey and Fall Enrollment Survey, FY 1990-97.

- C. Compensation (salaries, wages, and benefits) has declined as a proportion of General Funds Budget FY 1991-FY 1998. This reflects a number of factors including the impact of early retirement and flat benefit costs

Year	Compensation (in millions)	As a % of Total General Funds Budget
1999	\$432	64.5%
1998	414	64.6
1997	399	64.9
1996	383	65.2
1995	372	66.8
1994	367	68.3
1993	366	71.2
1992	367	70.6
1991	348	70.0

NOTE: These are continuing budget figures and do not include one-time expenditures associated with the ERI buyout.

Source: Resource Planning and Institutional Analysis, Current Funds Budget Model, FY 1991 - FY 1999.

IV. Implications

- ✓ When compared to benchmark institutions, OSU has about 19% less resources per student.
 - Undergraduate tuition and fees at OSU are below the averages for benchmark institutions and other state institutions.
 - State support and grants and contracts per student are even farther below benchmark institutions, but the gaps have been closing in recent years.
- ✓ OSU spends less per student FTE than benchmark institutions.
 - OSU has spent more per student for instruction and public service.
 - OSU spends significantly less per student for most support services.
- ✓ These comparisons do not reflect results of funding decisions of the last two years (FY 1998 and FY 1999).
 - As OSU has downsized and restructured, compensation has declined as a share of the General Funds budget. This also reflects effective management of benefit costs.
 - Despite limited resources, OSU has continued to improve the quality of its academic programs and the quality of the experience of its students.
- ✓ In order to continue to make progress, OSU will need to continue to:
 - Manage its existing resources effectively
 - Assure continued State support
 - Expand and diversify its revenue base

✓ OSU is a tremendous value to Ohio's taxpayers

Academic Reputation*	University	Annual Tuition**
37	Ohio State	\$3,879
65	Miami	5,802
84	Ohio University	4,530
116	Cincinnati	4,746
148	Kent State University	4,714

* Academic Reputation Ranking is based on *U.S. News and World Report*, America's Best Colleges Exclusive Ranking, 1997.

** Annual Tuition is based on Fall 1998 Tuition and Fees for resident undergraduate students. Source: Ohio Board of Regents, "Fall Survey of Student Charges," 1998.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FIFTY-FIRST MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, April 6, 1999

The Board of Trustees met at its regular monthly meeting on Friday, April 6, 1999, at the Ohio Agricultural Research and Development Center, Wooster, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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April 6, 1999 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on April 6, 1999, at 10:55 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, George A. Skestos, James F. Patterson, Daniel M. Slane, Robert M. Duncan, and Allyson Lowe. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

Let me first express our appreciation to all of the people at OARDC and ATI for the extraordinary hospitality they have extended to us who are visiting this beautiful spot.

I had my first opportunity to visit OARDC this past summer when I came to participate in "Buy Ohio." That weekend I observed 20,000 people coming through this facility and I marveled at that time what it means to this region and to the State of Ohio. I am absolutely convinced that this is the finest facility of its kind in the United States, and we are going to hear a report from Bobby Moser in a few moments that will just further confirm that boast.

We also will have a chance to hear a report on OSU Extension from Bobby Moser and Keith Smith. I have said on many occasions around the state and at conferences nationally, that I believe Ohio State has the nation's best Extension service model. Indeed, I think all of you know that the expansion of the work that Extension is doing through outreach and engagement is one of the major priorities that I have announced for my administration. We will be looking to Extension to help us understand how we as a university can do more for our community.

We see an example of that already -- I'm so proud of and want to mention -- and it is the way Bobby Moser and his colleagues, as well as Daryl Siedentop in the College of Education, have stepped forward to help Governor Taft with his "Ohio Reads" initiative. Bobby and Daryl knew that the Governor was looking to find 20,000 volunteers in the state to help with reading and, armed with so many volunteers through 4-H and Extension, they have developed a pilot program to help with this reading tutoring. We will be piloting this in four sites and once the pilots are developed, we're going to unleash up to 10,000 volunteers across the state -- half of what Governor Taft was hoping to find in the entire state. This is just another example of the extraordinary spirit of outreach that exists within Extension.

Mr. Chairman and members of the Board, you will recall about a month ago, Ohio State University won an award from the Convention Center because of all we do to promote tourism in the City of Columbus and in Central Ohio. I saw a wonderful example of that a few weeks ago. The College of the Arts and the Department of Art hosted the National Council on Education for the Ceramic Arts national convention in Columbus last month. We brought 3,000 people into Columbus to participate in this scholarly conference to hear presentations and to see examples of ceramic art exhibitions. In this process, I was interested to learn that Ohio State's ceramic art program is the second oldest in the nation and is rated in the top five nationally as a specialty graduate program.

Speaking of rankings, the *U.S. News and World Report* issue ranking graduate programs just came out a few weeks ago, and there was a lot of good news for Ohio State. Our College of Education was ranked seventh in the nation, up from thirteenth the year before and third among all public universities. It is the top graduate education program in the Big Ten. Its programs of elementary and secondary education were ranked third in the nation among all universities and its vocational education program was ranked number one in the nation.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Other programs received high rankings: the College of Engineering was ranked twentieth in the nation, eleventh among all public institutions; the Fisher College of Business was ranked twenty-sixth in the nation, ninth among all public universities; the Law School, the program in dispute resolution, was ranked number one in the nation, tied with Harvard; Chemistry was ranked twentieth in the nation, tenth among all public universities; Physics was ranked twenty-fifth, fifteenth among all public universities; Political Science was ranked eighteenth in the nation, ninth among all public universities; Psychology was ranked twenty-sixth, fourteenth among all public universities; and Sociology was ranked twenty-first in the nation, twelfth among all public universities. So this shows that we really do have the basis of excellent programs to make this dream, this aspiration, this goal we have -- the 20/10 plan -- a reality.

We will be putting forth to the Board today, a request for the approval of a new Executive Dean for the Arts and Sciences. As you all know, Kermit Hall is leaving the University to take a position with North Carolina State University, and we will be bringing forward the name of Alan Goodridge, Dean of the College of Biological Sciences, to take on this new responsibility.

This past week, cellist YoYo Ma and the Mark Morris Dance Company performed at the Wexner Center for the Arts. We are one of only five cities to host this performance and the only city in the Midwest. This is another indication of the high regard the arts community has for the Wexner Center.

While many were enjoying YoYo Ma at Mershon Auditorium, 20,000 people filled the Schottenstein Center for the Rolling Stones. The choice between the Rolling Stones and YoYo Ma produced all kinds of conflicts within families, my own being a perfect example. In fact, Ginny Trethewey and her husband, Lee McCorkle, and Patty and I resolved this conflict in an interesting way. We went to dinner and then the two husbands went to YoYo Ma and our rocker wives went to see the Rolling Stones at the Schottenstein Center. It's just impressive to think the impact that Ohio State University is having on the sort of entertainment and cultural lives of people in Central Ohio.

This has been a month of several significant awards. Three of our faculty received prestigious Guggenheim Fellowships. We haven't gotten the full list of Guggenheim awards yet, there may, in fact, be additional awards at Ohio State, but we know of three. To have even three Guggenheims in one year places Ohio State in a very remarkable position. Normally there are not more than half a dozen schools in the country that have three Guggenheims in one year. The award winners were: Professor Robert Davis, Department of History, an early modern European historian with an interest in Renaissance history; Professor Joe Lynch, also of the Department of History, specializes in medieval social and political history; and Professor Richard Davis, Department of Near Eastern Languages and Cultures, has a special interest in Persian poetry.

In the College of Pharmacy, Professor Milap Nahata received the Research Achievement Award from the American Pharmaceutical Association for his work on dosage guidelines for the use of medication by children. And Marialice Bennett, Clinical Assistant Professor of Pharmacy, won the Daniel B. Smith Practice Excellence Award from the American Pharmaceutical Association for her work as a practitioner and an educator.

One of our graduates, Chris Wedge, won an Academy Award for Best Animated Short Film. A former student at the University's Advanced Computing Center for the

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Arts and Design, Chris took home the Oscar for a seven-minute animated film called "Bunny."

I know this Board is particularly proud that student trustee Soraya Rofagha has won a 1999 Truman Scholarship. Soraya is one of about 70 undergraduates in the entire nation to receive this prestigious award which supports graduate study. She is an extraordinary young woman, and I regret that she is not here today to receive our congratulations. This work not only honors her for her excellent performance, but also brings recognition to the University. I think it has to be noted that Professor David Frantz, Department of English, has taken on a special responsibility for helping these bright young people compete in these prestigious competitions and we owe David a vote of thanks for the work he has done with Soraya.

Three Ohio State students have also won Goldwater Scholarships selected on the basis of academic merit from a field of more than 1,000 semifinalists. There are 304 winners in the nation, so to have three out of 304 is another mark of how distinguished our students are. Each of these winners receive \$7,500 per year. Our winners were: Bradley Blaser, a senior from Cuyahoga Falls, Ohio, majoring in molecular genetics; Matthew Dorsten, a senior physics major from Centerville, Ohio; and Ilya Finkler, a senior math major from Columbus.

Another indicator of the quality of our students is the announcement that five Ohio State students received the prestigious National Science Foundation Graduate Fellowship Award. Again, to have five students win this most prestigious science award from the National Science Foundation is remarkable. The winners were: James Baumgardner, a physics major with Russian and math minors -- also a former Goldwater Scholar -- from Worthington, Ohio; Ben Gelbart, a linguistics major from Newton Square, Pennsylvania; Teresa Hart, a civil engineer from Austin, Texas; Jennifer Russell, a mechanical engineer from Farmington Hills, Michigan; and Alycia Stigall, a paleontology major from Cincinnati.

Finally, Ohio State had the enormous honor of being represented in the Final Four of the NCAA Men's Basketball Championship. We are all very proud of the achievement of the team. I want to especially note the manner in which student athletes and coaches handled the national media attention over the past few weeks.

I am so proud, as I know all of you are, of their grace and perspective. They remind us of what is good about intercollegiate athletics. And, again, in Tampa and around the nation, Ohio State's loyal supporters turned out in huge numbers to root for the Buckeyes. What a way to initiate the Jerome Schottenstein Center with a Final Four banner for the Value City Arena!

Mr. Chairman, with top-rated academic programs, a destination place for world class performers, prestigious faculty and student awards, a number two ranking in football and a Sugar Bowl victory, and Final Four in basketball, I think we can say Ohio State is on a roll.

I would now like to turn the program over to Bob Moser, Vice President for Agricultural Administration and Dean of the College of Food, Agricultural, and Environmental Sciences, for a brief overview of the academic activities of OARDC and ATI, two units which have been on a roll for a long time and keep achieving ever higher levels of excellence.

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PRESENTATION ON OARDC AND ATI

Vice President Bob Moser:

Thank you, Dr. Kirwan. Mr. Chairman and members of the Board, it is my job this morning to give you an official welcome and to say how pleased we are to have you here. We're very proud of our program in Food, Agricultural, and Environmental Sciences and we're very proud of our facilities. We enjoy showing people that there are a lot of good things going on here in the area of research, Extension, and teaching activities. We want very much to have everyone see these facilities and become better acquainted with them.

I am going to keep my comments short about the programs here, because a couple of other people will be talking about them a little bit later. I do want to say that we have planned a lunch and because of our interactions on a daily basis with the community, we've invited the community in this area to join us for lunch. We have approximately 100 people that will be attending the luncheon and some of the community members are here at the meeting and will be recognized during lunch.

Immediately following lunch, we have planned a short tour and people will have a couple of options on what to see. The tour will last about an hour and will give you a better flavor of the research, teaching, and Extension activities that are ongoing here on a regular daily basis.

I'm pleased we have community folks with us today. As we operate our programs, we're all over the state and become very much involved in the community wherever we are located. We'll talk more about that later as we get into the OSU Extension presentation.

We have two facilities here that we want to talk about and I am very proud of these facilities. The Ohio Agricultural and Research Development Center (OARDC) located here is one of a kind; there is not another one like it. No one university has anything like it anywhere in the country. There are a couple that come close, but I'm going to say that there is not one. We are the one that people look at. It has been very productive for many, many years in terms of producing quality research and graduate training that comes out of this facility.

The Agricultural Technical Institute (ATI) is the number one agricultural technical institute in the country. We don't have official rankings like the President mentioned a minute ago, because our particular college and departments don't get ranked in those areas. But you could ask people around this country, "Who's got the best agricultural technical program in the country?" And ATI will always come to the top as being one of the best.

We have two individuals who will describe the facilities to you: 1) Skip Nault, Associate Vice President for Agricultural Administration and Interim Director of OARDC; and 2) Bill Anderson, Associate Dean of the College and Director of ATI. Skip --

Dr. Lowell R. Nault:

Thank you and welcome to all of you, especially you, Ted. This is a special place for you. Ted is a graduate of the College of Wooster, a four-year, well-known college and it is a companion to us here. We have a number of IS students, students from the College of Wooster who do their thesis research with our faculty here. So it's a real special treat to have Ted here.

PRESENTATION ON OARDC AND ATI (contd)

Dr. Nault: (contd)

As Bobby said, we are the biggest and we think the best off-campus agricultural experiment station in the country. We have approximately 500 employees, 70 faculty from seven academic units, primarily plant and animal sciences and natural resources. At any one time, especially during the summer, we have from 70-100 graduate students residing on this campus to conduct their research with faculty.

There are, as Bobby mentioned, some other good facilities out there. I'd say that Cornell would be second to us, and there is a New York agricultural experiment station. I'm a Cornell graduate so I can say that, but I spent a lot of time at the Geneva campus. I certainly think that we do have the best here.

I also would like to recognize that, not only do we have the OARDC and ATI campuses here in Wooster, but also the Northeast District Extension office for OSU Extension. I'd like to recognize Lee Ann Buras, currently the Acting District Director for Extension. So we really have three-in-one here on this campus.

I know that you're going to have a busy day today and we're looking forward to those of you who can join us on the tour to show you more about our outstanding programs. Bill --

Dr. William A. Anderson:

Thank you, Skip. Welcome, too, on behalf of all the faculty, staff, and students at ATI. The Agricultural Technical Institute is a very unique component of the College of Food, Agricultural, and Environmental Sciences. Our primary mission is to provide associate degrees in agriculture, horticulture, and engineering technologies. We also have efforts in providing some certificate programs, for example: fluid power. We also provide half of the baccalaureate degrees. Students can take the first half of their baccalaureate degree on this campus and then transfer to the Columbus campus and finish up their degree. We also have a significant workforce training effort underway.

President Kirwan, you were itemizing some of the quality factors regarding programs, and I'll ask that you add another to the list. Over the past six years, we have received, in partnership with industry, three Governor's Work Force Excellence awards on this campus for our efforts in that area. So we are very proud of that.

Yesterday we talked about the size of ATI. Not only is ATI the largest school of this kind in the entire country, but we're a very rapidly growing campus. Over the past six years, we have been the fastest growing campus in the OSU system, exceeding enrollment, the head count percentages of all the regional campuses, as well as the Columbus campus. Again, we're happy about that because that is very good news for employers who are trying to find graduates to support their programs for Ohio's and the country's number one industry. Jim Patterson, Fred Dailey, and others with an agriculture interest in this room know that it is difficult to find graduates for some of these jobs that are out there, and we help provide those jobs.

ATI is very fortunate to be located on the same campus as OARDC and OSU Extension. OARDC is a wonderful facility, probably the finest in the world that I know about. It does some creative research which our faculty are very happy to help disseminate through our programs. We are also glad to have the presence of OSU Extension, with a wonderful reputation nationwide, on this campus as well.

PRESENTATION ON OARDC AND ATI (contd)

Dr. Anderson: (contd)

Again, we thank you for choosing the Wooster campus for your meeting and invite you back again soon.

Vice President Moser:

Let me just summarize, because both of these individuals were a little bit modest in their presentation. Regarding Bill's graduates: we do a survey every year of all our graduates and within six months after graduation, 95 percent of ATI's graduates have jobs; and many of those in the horticulture areas are close to 100 percent. We are very proud of that.

There has been some very unique research that has been conducted here that has made a significant impact on the economic development of this state, not only in the agricultural community, but as it relates to communities where the agricultural industry is located. And so for that we're very pleased.

There is graduate education that goes on here. Because we have the two campuses and we have faculty located at both campuses, we do teach by distance education. There are classes probably going on right now or will soon be going on where a professor will be teaching from here and the students will be here, as well as on the Columbus campus and vice versa. Departments also have departmental meetings by distance technology.

As we start talking about OSU Extension, we'll get into talking about the satellites that we have located around the state and how we interconnect with one another with computers and the Internet system. So technology has been a part of this College for a long time. We're seeing opportunities where we can extend this beyond these two campuses to other parts of the state as it gets into distance education and the opportunities that it can provide.

On the east side of this campus will be a continuing education center that will be located between OARDC and ATI. Capital money was provided for that out of the last Capital bill, so we are in the process of designing that facility now. Hopefully before too long, we'll have state-of-the-art facilities for distance education. Not only education for credit, but also for non-credit where we can do a lot of in-service training for companies and businesses.

There are a lot of things we can talk about and what we're planning in the future, but this will give you just a little slice of the pie of what's going on here and how it interacts with the Columbus campus and the rest of the state. Thank you very much.

Mr. Celeste:

Great, thank you.

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OSU EXTENSION PRESENTATION

Vice President Bob Moser:

Let me introduce Keith Smith, Associate Vice President for Agriculture Administration and Director of OSU Extension. OSU Extension also has some bragging rights. I don't know if there's ever been an official ranking of OSU

OSU EXTENSION PRESENTATION (contd)

Vice President Bob Moser: (contd)

Extension, but I agree with what President Kirwan said a minute ago, and that is, if this is not the best extension service in the country, it is in the top five. There are a lot of indicators that would rank us in that particular area. Because of OSU Extension, OSU has a presence in every county in the state. There is more we can build on in the future, as we will talk about later. But let me just stop there and say that this is a program that has been functioning and effective and is making a difference out across this state, it has been for many years, and will continue. We are looking for opportunities to even broaden it beyond just our College, as we interact with other colleges at the University. So Keith, I'll turn it over to you.

Dr. Keith L. Smith: [Powerpoint presentation]

Thank you very much, Bobby. I would also like to thank the Board for the opportunity to tell you more about Extension and especially appreciate Jim Patterson encouraging the Board to hear this presentation. So thanks, Jim, for this opportunity.

What is Extension? It is many things. It includes work, not only with the farmers of Ohio -- we are very proud of that and that's where our roots come from -- but also with youth and adults, other than those located on farms. It is individuals located in cities and in the country, it is all of the citizens of Ohio, all 11 million people. We touch them through our various programs that we'll talk about in a minute.

I thought it would be good to talk a little bit about the organization. Just to show you on the map, we have five districts of Extension throughout the state. As it was already mentioned, the Northeast District is located here on the campus of OARDC, and we're extremely happy about that relationship that we have with the Ohio Agricultural Research and Development Center and the Agricultural Technical Institute as well.

We have approximately 1,400 people in Extension, that's about 1,100 FTE's. A lot of our positions are joint appointments between OARDC and also academic programs on the Columbus campus. Then we have other people that have part-time appointments.

As Bobby mentioned, we are located in all 88 counties in Ohio, and we are also located now on the Regional Campuses. We are happy to report that our Allen County office will be moving in on the Lima campus. We are also located at the Marion campus through the Alber Center and we provided much of the funding for the Center. We're also at Mansfield, where we helped support the outreach portion of what they're trying to do with their enterprise center. So we're involved in a number of the Regional Campuses, we're involved with 13 colleges, and 30 departments across the University through our OSU Cares program, which I'll talk about in a minute.

I thought we should talk a little bit about the funding, because some of you might not be aware of that situation. In the funding area: we get 43 percent of our funding from the state legislature; 19 percent from the federal; 28 percent from the county; and 10 percent from other sources, namely grants and gifts. This grants and gifts area has really increased over the last year. If we were to go back five years, it would be 2-3 percent and now it is 10 percent. A lot of our faculty are really pushing to bring grants into Extension to make up for this federal partner. The federal partner about ten years ago would have been 35-38 percent of our budget. But now as you can see, it's down to 19 percent. This is happening across the

OSU EXTENSION PRESENTATION (contd)

Dr. Smith: (contd)

country. The federal partner just hasn't come through, but happily our state partner has and so has the county.

To show you where we spend the money, for the 1999 budget, 78 percent of our money goes to salary and fringes. Now you may think that is quite a bit, but that is really less than most of the other extension organizations across the country. In North Carolina, they spend 85-90 percent of their dollars on salary and fringes. We have elected to have more flexibility. This is a philosophy that Bobby started when he was Director and I was Associate Director. Our philosophy is that we hold salaries at 80 percent or less and we don't get above that. So the people that we hire into the organization we hold at that point, not to get above, and when we reach that point we say, "We'll back off and watch as far as the hiring in the organization."

To do what? Well, that 10 percent that you see up on the board we use as flexibility. We have innovative grants, we have cluster grants, and we have specialization dollars that we provide to the people in our organization. We've had \$100,000 for innovative grants that we supplied to our people for creative ideas that they submit to us and we fund. We believe this has paid off, and as Bobby mentioned, "Are we number one?" Well, there are some indications that might signify we are up there in the top strata.

If we were to take our 4-H agents that attended a national convention this last year -- along with a thousand agents from across the United States -- we had more refereed presentations at that convention than any other state. As a matter of fact, we had more than the next five states. Also at our Family Consumer Science Convention, we were the top state in refereed presentations. Our Agricultural and Natural Resource agents, the last two years running in the United States, were at the top as far as refereed presentations. In *The Journal of Extension*, our premier refereed journal that we have in Extension, Ohio has been at the top as far as papers that have been received to that journal for the last five years running.

So there are a number of indicators in the total amount of our budget. We have a \$58 million budget, with 1,400 people in the organization. If you look across the country, we would be one of the top organizations in the country by those criteria. Now, are we sitting on our laurels? No. There are a number of things that we want to do to even improve more and to reach across the campus, as the President has already indicated.

Just to show you where our projected funding is going, we are still concerned about what's going on with the federal budget. As you can see, overall it shows a decrease in the formula funds -- that's the regular funding that we get from the feds -- but it has shown an increase on the competitive funding side. Bobby is on the National FY 2000 Budget Committee -- as a matter of fact, he heads it up -- and is trying to get those formula funds back. We appreciate that he is trying to get that base funding back for Extension.

In the State of Ohio, our funding for 1999 was right around \$24 million. We've asked the legislature for an increase of \$3.6 million for 2000 and \$2.6 million on top of that for 2001. We're very hopeful that the legislature will come through for that. We have been very pleased with the way the legislature has responded in the past, and we have received very good increases in the past two bienniums.

Do our counties support us as well? As I said, we receive some funding from the federal, state, and county. For the last three years running, we have had over 5 percent increases from our counties as well. In the 58 counties reporting this year,

OSU EXTENSION PRESENTATION (contd)

Dr. Smith: (contd)

we have a 5.07 percent increase overall throughout the state. But I am happy to report that there are counties like Ashtabula County with a 14 percent increase over last year. So we're feeling very good about that.

Onto the things that really matter. Our mission is to help people improve their lives through an educational process using scientific knowledge focused on identified issues and needs. You will find a copy of our mission and vision statement at your places. What does that mean? We are first and foremost educators. As Roy Kottman used to say, "We are first and foremost teachers," and we have not backed off from that statement. We take research-based information from our good partner here at OARDC, and across the rest of the campus, and take it out to the people in Ohio. We then ask them, through advisory committees, "What do you need out in the county?" We have this good information coming from the state, "What are your needs out in the county?" Every one of our counties have these advisory committees that say these are the kinds of things that we need. So if I could quote Brower, "Education for reality." Namely, ivory tower coming together with the grass roots for education, for the reality of deciding what that particular county needs -- that is the way Extension operates.

Our vision is to become the premier educational network. What does that mean? We have four program areas and I will go quickly through each one of these. The Agricultural and Natural Resources area is our roots, this is where we come from. We're not going to leave that at all; it is our bread and butter. Just to show you, here is Larry Lotz, one of our top agents, in a field in Fayette County. He is working with the GPS, Global Positioning System, where we can determine just exactly the yield that is going on in a particular field and exactly where that yield is happening. How does that help us? Well, next year when we want to fertilize that field we know where to put more fertilizer or less fertilizer. That's an environmentally safe situation, with good impact on the environment and we're doing this through global positioning.

You see up here, "\$3.8 million saved as a result of the *C.O.R.N. -- Crop Observation and Recommendation Network -- Newsletter*." Let me explain. We've established teams in the College of Food, Agricultural, and Environmental Sciences, you've heard Bobby talk about this before. In the Agricultural and Natural Resources area, we have established 20 teams now -- for example, a swine team, beef team, grazing team, and small fruits and large fruits teams. All of these types of teams have come together in the agriculture area with specialized agents out in the field, district specialists and state specialists, working together to meet the needs of people out in the state.

For the *C.O.R.N. Newsletter*, our agronomy team, Greg LaBarge and Ray Wells, bring these teams together on Monday mornings, along with our state specialists like Pat Lipps in plant pathology, and they talk about what is going on out in the state. All of these agents report in via teleconference about what they are seeing with the wheat crop, with the corn crop, etc. This is then brought together in the newsletter. That afternoon, it goes out to all of our Agricultural and Natural Resources agents, and even some of our farmers who request it. Farmers like Tim Hartsock down in Chillicothe, who has 4,500 acres: 2,000 acres of corn, 2,000 acres of soybeans, and 500 acres of wheat. He has that information each Monday afternoon, and it tells him exactly what is going on with the crops. He says this is where Extension needs to be. This is cutting-edge information.

OSU EXTENSION PRESENTATION (contd)

Dr. Smith: (contd)

We sent a survey out last year to these farmers asking, "Did we help you at all? Did we save you anything on pesticide and herbicide application?" They told us that we saved them \$3.8 million due to fewer pesticide and herbicide applications.

We're not only out on the 70,000 farms throughout the State of Ohio, but also in the cities. In 1998, 55 acres of vacant city land, in seven of our urban cities, were cultivated as urban gardens for an estimated harvested value of \$1.65 million. So in the area of Agricultural and Natural Resources, we are "from gate to plate."

In another "bread and butter" program, 4-H and Youth -- President Kirwan mentioned this and how we're trying to partner with the Governor. We now have 230,000 youth in 4-H throughout the state. Some counties, like Noble County, have 60 percent of the kids, ages 9-19, involved in 4-H. Throughout the state, there are 32,000 volunteers involved in 4-H programs, out of a total of 55,000 volunteers all together with our other programs.

What do we want to do? Well, I challenged the Extension administrative cabinet to come up with goals. For this purpose, I referred to a wonderful article from *Harvard Business Review*, which established the acronym "BHAG" -- Big Hairy Audacious Goals. Our 4-H leader took that BHAG challenge, and he said by the year 2002 our goal will be to increase 4-H enrollment in the state to 500,000 -- half a million kids. That will mean 70,000 volunteers. So, Mr. President, we're going to have even more volunteers available and we're moving toward that goal.

We have doubled the agents in Dayton and we are considering doing that in Franklin County in the very near future. The Metro Parks in Cleveland have partnered with us. Bobby, I haven't even told you this, we have just received funding from Sherwin Williams. So we have Sherwin Williams, plus the Metro Parks in Cleveland, where we will put more 4-H agents. Where we're going to double is in the urban centers, and we're going to bring those kids in. If the 4-H program is good for these kids in the rural centers, it will be good for them in the cities as well.

We mentioned, and the President has already mentioned, the Ohio Reads program. We're partnering with the Governor and we've gotten the program established. We already had a literacy program in many of our clubs throughout Ohio. We're happy about that and we sent out an E-mail to address all of our county offices with the question, "Are you interested in partnering with the Governor?" We got those pilot programs that fast, Mr. President, so we're clicking in that regard.

Next, is our Community Development area. As Bobby and Fred know, our CD people helped with the land use team in the Governor's office and provided much input there. We continue to have programs throughout the state in land use planning because we believe this is very critical for the future. Retention and expansion programs are also a trademark of community development. You've probably heard about some of those programs, as well as retaining businesses and expanding businesses. We especially have those in our rural communities.

We are a very active partner with the Campus Collaborative as well. We have a faculty member assigned to help with that, along with two Extension associates.

In the area of Family and Consumer Sciences, our FNP -- Food and Nutrition Program -- is one of the grants that I was talking about. Marilyn Spiegel, Assistant Director of Family and Consumer Sciences, went after a \$1.9 million grant. With that grant, these are the types of people we help: 104,099 food stamp recipients

OSU EXTENSION PRESENTATION (contd)

Dr. Smith: (contd)

in 71 counties were helped last year to get them off food stamps and to eat more nutritionally. Also noted on the bottom is our FNET program which graduated 9,362 families. If you multiply that by four or five individuals per family, we're talking 40,000 to 50,000 people that were helped with our expanded Food and Nutrition Program through Family and Consumer Sciences.

What else are we involved in? We are also in the area of leadership. As Ed Ray and President Kirwan know, we are working with a grant through Kellogg on New Age Leadership, leadership for change. We have established a Leadership Center within Extension where you can check out leadership books, video tapes, etc. It's a very nice facility, come over and visit us, we'd love to have you. With that Leadership Center, we're pushing this Kellogg project -- which is in Phase I, soon to be in Phase II -- and we're going to go after seven digit dollars to help us carry this leadership project across campus for changed leadership, new age leadership.

We are also involved in the Outreach and Engagement Council as a permanent member. I sit on that Council and we are a very integral part, as the President already suggested. President Kirwan said today -- and I was pleased that he mentioned that again -- that he is committed to the land grant principles and traditions, to the outreach commitment that he has, and that Extension will play an integral part.

A couple of other things to mention quickly. The OSU Cares project -- The Ohio State University Community Access to Resources and Educational Services -- is where we are partnering with 13 colleges and 30 departments across campus by providing mini-grants. The President's and Provost's Offices have matched funds from Extension and we're offering these mini-grants. Mr. President, one of those mini-grants was to help with the East of High Project, where we actually offered employment training and then employment positions for these people. Two of those people ended up in positions at Ohio State University that went through the first class. Twelve people went through the first class, ten of them are now employed and two of them look like they will soon be employed. OSU Cares. What are the needs of people out in the state and how can the campus respond to those needs? This is what we're trying to do through OSU Cares.

We have a partnership with Medicine -- a joint position -- where we're working on cancer prevention. The Roads Scholar Tours -- Extension has been heavily involved with that and has provided the sites for some of those visits with the Roads Scholars. We're pleased to do that and will do so in the future. Family/Children First Initiative -- a new thing that we're involved with. You've heard of the Governor's Family First Initiative -- Extension has been there, been involved. Ten individuals have been hired from Human Services and given to Extension. We put them in our offices and they collaborate activities on the county-level for these agencies that are involved in helping families. Welfare reform -- we've already met with Jim Mermis from downtown and Gaye Gilbert, and we've been talking about how Extension can be involved in welfare reform.

Publications -- there are a 1,000 hits per day on the Ohio web site. Our CD rom disk, has 7,000 topics that you can pull off and it's not full yet. You have been given a copy of the CD rom disk, so please check it out, use it, look at it, and give us suggestions for anything that we might want to improve. We've also included a pamphlet that's entitled "Extension's Here for You." I've already mentioned our mission and vision statement. There are over a million publications ordered yearly from our Extension offices out in the state and on the campus.

OSU EXTENSION PRESENTATION (contd)

Dr. Smith: (contd)

Is Extension going to be here in the future? I hope so. The President's counting on us, Bobby's counting on us, OARDC and ATI want us around, so we want to be here. Fred, we want to be partnering with you as well and with our commodity groups that have encouraged us and feel very good about us. So we hope we are.

Vice President Moser:

Thank you, Keith, that was excellent. Very quickly, let me just summarize a couple of comments. First of all, what you just saw described this morning is a land grant model as it was intended -- teaching, research, and Extension. The research develops the new technology, the new discoveries. Extension then takes that new information out to the people across this state and helps them implement it wherever it is. Whether it is an individual, a family, a community, a farm, a business, or wherever it might be. While they are there, they learn about more problems and more questions. They then bring that back to the research program and new research is done, new information is generated, and the cycle then just continues. It has been going on for many years, and we have broadened that beyond just the agricultural sector into other areas, such as youth and families and communities as Keith described.

The model works regardless if it is a rural or an urban community. What we're saying to the rest of the University -- Keith has described some of those partnerships that we already have with other colleges, and Ohio Reads and the partnership with Education are prime examples, the network is already there -- is that we can address some of these problems, but we can't address them all. That's where we need help from other colleges. We're saying join with us in a partnership way, to really make a difference out across this state. Ohio State can make a tremendous impact on this state if we will just join together in a partnership way to make something like this happen.

I tell people, you can know everything that you want to know with one of those little CD disks right there. Everything we've got goes on there. It's up on the web, you can get it off the web; there are 7,000 documents right now and we'll add to it. Our agents use this in the field. They take it with them, and they can pull documents down, copy it off, give it to whoever they're talking to, and it's an immediate response to questions about particular topics. We can add to that as we go into the future.

Also I want to say a big thank you to the Agricultural Affairs Committee. Many of you have served on the Agricultural Affairs Committee, and I appreciate that very much. Right now, Dan Slane is chairing that committee, and Jim Patterson and Director Dailey are the other members. It has been excellent to have those individuals to counsel with, to give advice to, and to help us direct our programs in the right way.

I want to say a special thank you to Director Dailey. We have had an excellent working relationship with the Department of Agriculture. That is not true in every state -- Fred knows that -- but it is true here in Ohio. I am very pleased with that. We have worked together in a lot of different ways to really make it happen.

The bottom line -- and simply put what we're all about and what we do in these programs -- is to enhance the quality of life for the people of this state. And we think we're making a difference in some areas. Thank you very much.

OSU EXTENSION PRESENTATION (contd)

Mr. Celeste:

Thank you very much, Keith, John, Skip, and Bill. Thank you all very much for making these presentations, we really appreciate it. Since we're running late, perhaps we can talk about these during lunch.

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PRESENTATION ON THE LANDSCAPE DISTRICT PLAN

Vice President Janet Ashe:

This morning we are presenting the draft of the University's Sixth District Plan undertaken as part of the Master Planning process, first approved by the Board in October 1995. The draft plan focuses on all outdoor spaces which detail guidelines for the academic core, the campus edges and gateways, and more general recommendations for other campus areas. I'd emphasize, again, that the report is a draft. We welcome your questions and comments so that we may incorporate them into the draft and bring to you in a month, if acceptable, a final document.

I have with me today Laura Shinn, Campus Planner in the University Planning and Architect's office, and John Lawter, University Landscape Architect in Physical Facilities. So I will turn it over to the two of them.

Mr. John Lawter: [Powerpoint presentation]

Thank you, Mr. Chairman, President Kirwan, and members of the Board. President Kirwan, your enthusiasm for the University was obvious when you were giving your report, and certainly Dr. Moser and his team added to that enthusiasm. We are certainly proud to be here to present a synopsis of the plan which we feel is going to make the University an even better place.

As you may recall, and Janet mentioned, on October 6, 1995, this Board adopted the first two volumes of the Campus Master Plan to act as a guide for the physical development of the University for the next 30-50 years. Volume I, the Long-Range Concept Plan, addressed campus-wide issues relative to land use, density, open space, circulation, and the physical relationship to the surrounding community. This being an enormous and complex task, as you can imagine, subsequent district plans were developed and approved to study specific areas of the campus in greater detail.

Throughout the Master Plan process, the preservation and enhancement of open or what was called, "green space system" has been identified as a primary objective. Because of the importance of this open space to the campus fabric, the University determined that the campus landscape should be treated as a separate district plan, building on the previous district plans before it. The Landscape Master Plan, which you've seen, or Volume VIII of the Campus Master Plan, contains analysis and recommendations for the treatment of all exterior campus space.

Ms. Laura Shinn:

The Landscape Master Plan followed the same process as all of the district plans, under the guidance of a planning team, with core members who represented a wide variety of interests across campus, and a set of stake holders who gave us special expertise and interest in the campus landscape and its maintenance.

PRESENTATION ON THE LANDSCAPE DISTRICT PLAN (contd)

Mr. Lawter:

This slide illustrates the limits of the study area for the plan. The focus is on the academic core, due to the existing and proposed densities that are occurring, and the campus perimeter, but its principles can be applied to many other areas of the campus. These are the goals and principles that were taken directly from the long-range concept plan that we will discuss and what the Landscape Master Plan further articulates. They are to conserve and enhance the natural and man-made resources, to integrate and unify the campus development fabric, to improve and enhance the campus environment, and to strengthen linkages with the community.

Ms. Shinn:

Each of these goals is supported by several objectives. The four objectives under the first goal are: to assess the extent and condition of the urban forest; to preserve and enhance memorable outdoor spaces; to preserve natural features; and to ensure the sustainability of proposed plant materials. The Columbus campus in this case, probably the Wooster campus as well, is blessed with a mature tree canopy. This is an asset that should be preserved and nurtured and the Landscape Master Plan provides guidelines for this. It also provides recommendations for maintaining those wonderful outdoor spaces, those memorable spaces that really are the heart of the Columbus campus, including the Oval and Mirror Lake Hollow, as shown in this slide.

We're also lucky in Columbus to have a great natural asset -- the Olentangy River. Unfortunately, we haven't always taken advantage of this asset, but the Landscape Master Plan does provide recommendations for how to really integrate this river into the heart of campus.

Mr. Lawter:

Goal number two is supported by five major objectives. They are: identifying and organizing the wide variety of campus spaces; creating and improving linkages between the different areas of the green reserve; creating and improving the pedestrian connections; unifying the campus through site design standards; and to assess and simplify our existing site maintenance procedures.

This slide illustrates one example of a major pedestrian connection to the Oval between Derby and Hopkins Halls. This is the existing view looking towards the Oval. This is the same view showing the renovations that are part of a project that will actually be constructed this summer. From this sketch, you can see some of the Master Plan-influenced improvements related to pedestrian safety at the crosswalks, opening important vistas, and pavement design.

Ms. Shinn:

Six objectives support goal number three. These include: creating a variety of memorable outdoor spaces across the campus to augment those that we already have; to provide teaching, research, and public service opportunities in the landscape; to identify and enhance significant views; to identify opportunities for art in the landscape; to provide safe, efficient, and attractive circulation for all modes of transportation; and to make the campus accessible to everyone.

This is an example of a view of a landmark building that has been somewhat obscured by the landscape. Such vistas could be greatly enhanced with proper selection, placement, and pruning of landscape materials.

PRESENTATION ON THE LANDSCAPE DISTRICT PLAN (contd)

Ms. Shinn: (contd)

This is one of many examples across campus of art in the landscape. The Master Plan also provides other examples and opportunities for that, as well as ways that the landscape itself can become a work of art.

This is an example of a very crowded intersection and the conflicts which frequently occur at this intersection. On the Columbus campus, we have quite a bit of unusual pedestrian traffic -- tour groups, ROTC cadets out for their morning jog, buses, bicycles, and numerous, numerous students. The Landscape Master Plan provides guidelines for how to improve these intersections in terms of attractiveness and safety.

Mr. Lawter:

Goal number four is supported by four objectives. They are: to enhance the views into and out of the campus; to provide convenient and attractive pedestrian and bicycle routes through the campus; to define the campus as a destination through gateways, entries, and edge treatments; and to encourage the ease of way-finding into and through campus by landscape design. The entry points into campus have been categorized into six types that are identified on what we call the Gateway Plan shown here. We are calling them architectural, historical, and green gateways; rural and scientific and technology gateways; and entry parkways. This is an entrance into campus from Upper Arlington along Lane Avenue, which is a major gateway. It's also an example of a rural entry into the campus and good illustration of where we can make some improvements.

Ms. Shinn:

There are five major components of the Landscape Master Plan: the framework, the recommendations and guidelines, vegetation analysis and recommendations, landscape improvement projects, and a series of technical guidelines.

The framework of the Landscape Master Plan consists of four graphic diagrams and their accompanying text which explains them. The first of these four diagrams is the Campus Land Use and Cross Campus Connectors diagram. This illustrates the existing land use areas as identified within the Academic Core North Plan and previous Master Plan documents, as well as the spaces of the green reserve. That was identified as an important use in those previous documents. What this diagram does is take that one step further by identifying where connections need to be made between those areas. Some of these connections already exist in some form -- however they could be enhanced or clarified -- other connections are completely new ideas.

The Special Hierarchies and Organization diagram takes every space on campus and identifies it and categorizes it by use -- is it active or passive recreation -- and also by character -- is it an urban space, is it a lot of hard surfaces, is it more open, is it soft surfaces, is it enclosed by buildings. It takes every space on campus and gives it a category. These categories were then explained in the text. The text also gives recommendations for how to create such spaces, how to maintain them, where they exist, and also how to enhance them.

Mr. Lawter:

The Vision Plan diagram, shown here, graphically portrays the recommendations of the Landscape Master Plan. This drawing is conceptual in nature and only

PRESENTATION ON THE LANDSCAPE DISTRICT PLAN (contd)

Mr. Lawter: (contd)

illustrates one of the many possible designs for each significant exterior space on campus.

The Campus Master Plan Refinements diagram, shown here, illustrates the modifications we are proposing to the long-range concept plan in previous district plans. These revisions are proposed to strengthen the open space system. The modifications are explained in detail in the text.

Ms. Shinn:

There are two categories of recommendations within the Landscape Master Plan. One is a series of campus-wide general recommendations, which can be applied both to the study area and to areas outside of the study. There are also a series of detailed, site development guidelines provided.

Some examples of the campus-wide recommendations include: a process for the review of all site projects; guidelines for material selection such as paving materials, and maintenance procedures; and art and memorial opportunities. This diagram illustrates the many opportunities for the rich history and tradition of the University to come alive on the Columbus campus.

A series of detailed design guidelines are provided for eighteen sectors of the campus, which were identified in the Academic Core North Plan. Three additional areas have been added: the North Residence Halls, the South Residence Halls, and the campus edges.

Mr. Lawter:

When we speak of the landscape on our campus, we must also naturally speak about plant material. Analysis and recommendations concerning plant material are shown in the plan and are contained in the vegetation analysis portion of the report. This includes: a tree inventory; a soil sampling analysis and general bore culture recommendations; and the ecological zones and urban forest plan, shown here. This plan shows the ecological zones and the limits of the urban forest, and builds on the types of plants that exist or occur naturally in them. This graphic and the accompanying recommendations, provide guidance for future landscape planning in the study area.

Ms. Shinn:

Landscape development on the Columbus campus has typically been achieved through building construction projects. The Landscape Master Plan recommends that landscape projects should have separate consideration in their own right. It provides a list of freestanding landscape projects, prioritized. These would be projects that could be funded through capital budgets, but they also represent excellent donor opportunities. The costs associated with these are outlined in the technical appendix.

One example of such a project is the north green, which is illustrated here. This is a new pedestrian connection from the north dorms through the Oval to the south dorms. This is a combination of hardscape and softscape so it becomes both a green connection as well as a physical connection. Another example is the river of trees which is shown in the bottom of this slide just above the row of buildings.

PRESENTATION ON THE LANDSCAPE DISTRICT PLAN (contd)

Ms. Shinn: (contd)

That's a connection from Mirror Lake Hollow to the river. It's both a physical connection and a natural connection, in that it takes plants from both of the unique ecosystems of the river and the hollow and mixes them and makes the transition from one to the other.

A final and very popular possibility for a freestanding project would be the restoration of the Oval. This would involve both the Oval itself with turf grasses and plant materials, as well as a strengthening to the edge. As illustrated here, it would be a matter of recreating the idea of a street which would still be predominantly for pedestrians and bicycles, but could be opened on special occasions and, of course, always available for service and emergency vehicles.

Mr. Lawter:

Technical standards, such as site construction details and specifications, site furnishing standards, and recommended plant material maintenance practices are contained in a separate appendix. This appendix is intended to be a flexible tool that can be incorporated into the University design standards and updated as practices and standards improve. As such, it is not being proposed for approval for this Board, but is available for review and comment.

This concludes our presentation. We've thrown a lot of information out there we know. If you have any questions, we would be happy to answer them at this time.

Mr. Celeste:

We really appreciate both of you making this presentation. Are there any questions from the members of the Board? I know we'll have a chance to take a look at it and have another opportunity for comments.

Mr. Colley:

I was here in 1993 when you started this project. I read it cover to cover this past weekend and it is extraordinary. I just want to commend you for your excellent presentation today.

Mr. Lawter:

Thank you.

Ms. Shinn:

Thank you.

Mr. Celeste:

Thank you both very much.

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CONSENT AGENDA

President Kirwan:

Thank you, Mr. Chairman. We have ten items to present to the Board for approval today. Unless there are any objections, I would like to recommend the following resolutions on the consent agenda:

THE OHIO STATE UNIVERSITY - LIMA BOARD APPOINTMENT

Resolution No. 99-103

Synopsis: Approval of a nominee to The Ohio State University-Lima Campus Board is proposed.

WHEREAS the Board of Trustees on July 8, 1994, approved the establishment of The Ohio State University-Lima Board; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to The Ohio State University-Lima Board for the term as specified:

Student Member

<u>Name</u>	<u>Term of Appointment</u>
Matthew J. Buckley	1 year

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as members of The Ohio State University-Lima Board, effective July 1, 1998.

CHANGE IN NAME OF DEGREE

Resolution No. 99-104

WHEREAS the Department of Civil and Environmental Engineering and Geodetic Science currently offers an undergraduate major in surveying leading to a Bachelor of Science in Surveying; and

WHEREAS the name "surveying" as currently perceived no longer accurately represents the content of the degree program; and

WHEREAS "geomatics" is the discipline name now reflecting the study and practice of dealing with spatial data and information in such areas as surveying, geodesy, photogrammetry, mapping, cartography, and global information systems; and

WHEREAS the department has consulted with students, faculty, its industrial advisory board, and external professional associations; and

WHEREAS the Council on Academic Affairs discussed and approved at its February 3, 1999 meeting, the establishment of an honors program and revision to the undergraduate major in Geomatics Engineering; and

WHEREAS the proposed change was approved by the University Senate at its March 6, 1999 meeting:

CHANGE IN NAME OF DEGREE (contd)

NOW THEREFORE

BE IT RESOLVED, That the Bachelor of Science in Surveying be renamed the Bachelor of Science in Geomatics Engineering, effective with graduation in Spring Quarter 1999.

CREATION OF A DEPARTMENT OF ORTHOPAEDICS

Resolution No. 99-105

WHEREAS the proposal will elevate to departmental status the Division of Orthopaedics, which has held divisional status in the Department of Surgery since its inception in 1954, thus granting it a status enjoyed by over 87 percent of all orthopaedics units in the United States; and

WHEREAS the mode of clinical practice, rapid developments in medical technology, delivery trends in orthopaedics services, and changes in medical education combine to differentiate the scholarly, research, teaching, and clinical work of the proposed Department of Orthopaedics from those of the Department of Surgery; and

WHEREAS the proposed department supports the mission of the University through its clinical service to the public by the evaluation, treatment, prevention, and study of musculoskeletal deformities, injury, pain, and disability; and

WHEREAS the proposed department has the overwhelming support of the Department of Surgery and the Faculty Council, the Council of Chairs, and the Dean of the College of Medicine and Public Health; and

WHEREAS the proposed department has the enthusiastic support of orthopaedic surgery residents; and

WHEREAS the proposal satisfies the guidelines for establishment of an academic department and the "Requirements of Efficiency," which articulate standards for faculty, funding, and direct instruction of students; and

WHEREAS the proposed department has secured the space necessary for its operations and accounted for funding through its own clinical revenues; and

WHEREAS the proposed Department of Orthopaedics was discussed and approved by the Council on Academic Affairs on February 3, 1999, and by the University Senate at its March 6, 1999 meeting:

NOW THEREFORE

BE IT RESOLVED, That the creation of a Department of Orthopaedics is hereby approved, effective immediately.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 99-106

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on March 6, 1999:

Amended Rules

3335-5-37 Membership.

There shall be a university senate, a unicameral body constituted as follows:

- (A) Unchanged.
- (B) Non-voting members: senate members not entitled to vote on the floor of the senate, but otherwise to participate in all senate deliberations, shall include the chair of faculty council (if not a member of the senate), the president of the alumni association, the secretary of the university senate, the president of the freshman senate, the president of the undergraduate student government, ~~the vice president of the undergraduate student government~~, the president of the council of graduate students, and the president of the inter-professional council, and the chair of the university staff advisory committee. (B/T 7/7/72, B/T 6/14/74, B/T 7/9/76, B/T 7/22/77, B/T 7/20/79, B/T 2/3/84, B/T 2/7/86, B/T 2/1/91, B/T 4/7/95, B/T 5/3/96, B/T 8/1/97, B/T 12/4/98, B/T 4/6/99)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

HONORARY DEGREE

Resolution No. 99-107

Synopsis: The awarding of an honorary degree is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

William Julius Wilson, Jr.

Doctor of Humane Letters

NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipient.

PERSONNEL ACTIONS

Resolution No. 99-108

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the March 5, 1999 meeting of the Board, including the following Appointments, Concurrent Appointment, Appointment of Chairs, Leave of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved and the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center) approved February 25, 1999, by the Hospitals Board be ratified.

Appointments:

Name: DONNA A. CANIANO
Title: Associate Professor (H. William Clatworthy, Jr., Professorship in Pediatric Surgery)
Department: Department of Surgery (Division of Pediatric Surgery)
Term: March 1, 1999 through June 30, 2002

Name: THOMAS H. MALLORY
Title: Professor (The Frank J. Kloenne Chair in Orthopedic Surgery)
Department: Orthopaedics
Effective: April 6, 1999

Concurrent Appointment:

Name: ALAN G. GOODRIDGE
Title: Executive Dean of the Colleges of the Arts and Sciences
Term: March 29, 1999 through June 30, 2001
Present Position: Dean, College of Biological Sciences

Appointment of Chairpersons of Departments

April 6, 1999 through June 30, 2003

Orthopaedics Thomas H. Mallory

July 1, 1999 through June 30, 2000

Greek and Latin David E. Hahm*

*Interim

Leave of Absence Without Salary

PETER P. SWIRE, Professor, College of Law, effective May 1, 1999, through December 31, 2000, to serve as the United States Counselor on Privacy with the White House, Washington, DC.

Professional Improvement Leaves

MICHAEL W. BROWNE, Professor, Department of Psychology, effective Autumn Quarter 1999.

MORTON H. FRIEDMAN, Professor, Biomedical Engineering Center, Departments of Chemical Engineering and Pathology, effective Autumn Quarter 1999, Winter Quarter, and Spring Quarter 2000.

WILLIAM L. HEWARD, Professor, School of Physical Activity and Educational Services, effective Winter Quarter and Spring Quarter 2000.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

ANISH K. ARORA, Associate Professor, Department of Computer and Information Science, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

ANTOINETTE C. MIRANDA, Associate Professor, School of Physical Activity and Educational Services, effective Winter Quarter and Spring Quarter 2000.

ANDRAS NEMETHI, Associate Professor, Department of Mathematics, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center)

February 1999

<u>Name</u>	<u>Department</u>	<u>Category</u>	<u>Date</u>
Michael F. Cunningham, M.D	Surgery, Urological	Attending	10/2/98 - 6/30/99
Camilla Curren, M.D.	Internal Med/Pediatrics	Comm Affiliate	10/1/98 - 6/30/99
Patricia Marie Gentile, M.D.	Psychiatry	Attending	12/1/98 - 6/30/99
Balpreet S. Jammu, M.D.	Family Practice	Comm Affiliate	1/11/99 - 6/30/99
Samir Kahwash, M.D.	Pathology- Hematology	Attending	1/5/99 - 6/30/99
Jawad F. Kirmani, M.B.B.S.	Neurology	PGY2 Limited	7/1/98 - 6/30/99
William E.C. Knobeloch, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Ashok Kumar, D.D.S.	Dentistry, General	PGY1 Limited	7/1/98 - 6/30/99
Luke J. Lamers, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/99
Thomas Mallory	Surgery	Attending	7/1/98 - 6/30/00
Okechukwu N. Nwangburuka, M.D.	Psychiatry	PGY4 Limited	7/1/98 - 6/30/00
David H. Rich, Jr., M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/00
Lynn A. Richardson, D.O.	Physical Med & Rehab	PGY2 Limited	7/1/98 - 6/30/00
Radu V. Saveanu, M.D.	Psychiatry	Attending	10/27/98 - 6/30/00
Laura L. Schultz, M.D.	Pediatrics	PGY1 Limited	7/1/98 - 6/30/00
Robert W. Thiele, D.O.	Obstetrics & Gynecology	PGY4 Limited	1/5/99 - 2/13/99
Kenneth M. Vitellas, M.D.	Radiology	Attending	7/1/98 - 6/30/00
William Zipf, M.D.	Pediatrics	Courtesy	7/1/98 - 6/30/00

Conscious Sedation Privileges

February 1999

<u>Name</u>	<u>Department</u>
Deborah Bartholomew, M.D.	OB/Gyn
Emile El-Shammaa, M.D.	Emergency Room
Thomas Englehart, M.D.	Anesthesia
Bhaswandas Gupta, M.D.	Anesthesia
Rebecca Gutmann, M.D.	Anesthesia
Mona Halim, M.D.	Anesthesia
Michael Johanson, D.O.	Anesthesia
William Kelly, M.D.	Anesthesia
Sorabh Khandelwal, M.D.	Emergency Room
Peter Larsen, D.D.S.	Oral/Maxillofacial
Rao Lingam, M.D.	Anesthesia
Luis Lopez, M.D.	Anesthesia

PERSONNEL ACTIONS (contd)

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center) (contd)

Conscious Sedation Privileges (contd)

February 1999 (contd)

<u>Name</u>	<u>Department</u>
Gregory Michaels, D.D.S.	Oral/Maxillofacial
Robert Miller, D.O.	Anesthesia
T. Michael Murphy, D.D.S.	Oral/Maxillofacial
Gary Racey, D.D.S.	Oral/Maxillofacial
Richard Scheetz, Jr., D.D.S.	Oral/Maxillofacial
Howard Werman, M.D.	Emergency Room
Russell Woda, D.O.	Anesthesia
David Yoblok, M.D.	Anesthesia

Emeritus Titles

RICHARD J. FIRST, College of Social Work, with the title Associate Professor Emeritus, effective July 1, 1999.

MARY N. GOUKE, University Libraries, with the title Associate Professor Emeritus, effective April 1, 1999.

BRUCE L. BROCKETT, Ohio State University Extension, with the title Assistant Professor Emeritus, effective February 1, 1999.

H. JOYCE COURES, Ohio State University Extension, with the title Assistant Professor Emeritus, effective February 1, 1999.

RESOLUTIONS IN MEMORIAM

Resolution No. 99-109

Synopsis: Approval of three Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copies to the family of the deceased.

Albert B. Bishop III

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 25, 1999, of Dr. Albert B. Bishop III, Professor Emeritus in the Department of Industrial, Welding, and Systems Engineering.

Dr. Bishop was a native of Philadelphia, Pennsylvania. He completed his bachelor's degree in electrical engineering at Cornell University in 1951. After service as a Lieutenant in the Air Force, he joined Ohio State as a graduate assistant in 1953, and received his Master of Science degree in Industrial Engineering the same year. He then served as an instructor and research associate in the Department of Industrial Engineering from 1954-57. He received his Ph.D. in Industrial Engineering from The Ohio State University in August 1957, and joined the faculty as an assistant professor of Industrial Engineering in October of the same year. Dr. Bishop was promoted to associate professor

RESOLUTIONS IN MEMORIAM (contd)

Albert B. Bishop III (contd)

in 1960 and to professor in 1965. He served as chair of the Department of Industrial and Systems Engineering from 1975 - 1983.

Professor Bishop was a teacher, scholar, researcher, and leader during his 36 years of service at Ohio State. He advised 66 graduate students through their M.S. and Ph.D. degrees. He was a teacher of teachers, since many of his students became faculty members at other universities. Dr. Bishop was a fellow of the Institute of Industrial Engineers and a long-standing leader in the accreditation of industrial engineering programs across the country.

Albert Bishop was an avid athlete and was active in community affairs. He was a founding member of the Greater Columbus Rowing Association and an active member of St. Stephen's Episcopal Church. He served on numerous committees and boards. Most of all, Dr. Bishop was a true gentleman. He and his family warmly welcomed countless students and faculty members into the OSU family.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Albert B. Bishop III, its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Mary F. Hull

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 17, 1998, of Mary F. Hull, Assistant Professor Emeritus in the School of Physical Activity and Educational Services.

Mary Hull was a native of Newark, Ohio, and received a bachelor's degree in physical education from Miami University in 1946 and a master's degree in physical education from The Ohio State University in 1957.

Professor Hull's first position was with Roosevelt Junior High School in Newark, Ohio, teaching physical education, health, and general science for one year. She then obtained a position at Elmira College, Elmira, New York, teaching physical education and served as Dormitory Director from 1947-1951.

After receiving her master's degree from The Ohio State University, Professor Hull was hired as an instructor in the Women's Division of the Department of Physical Education in the College of Special Services. She served as Associate Coordinator for the Basic Instruction Program from 1964-1981 and as the Assistant to the Director of the School of Health, Physical Education, and Recreation from 1981 until her retirement in 1988.

On behalf of the University Community, the Board of Trustees expresses to the family of Mary F. Hull its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Robert E. Oates

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 16, 1999, of Robert E. Oates, Assistant Dean Emeritus of the Colleges of the Arts and Sciences.

Dean Oates was born in Shelby, Ohio, on June 27, 1919, and received both his Bachelor of Arts and Master of Arts degrees from The Ohio State University. As an undergraduate at Ohio State, he played bass drum in the Marching Band and was an active member of his fraternity.

RESOLUTIONS IN MEMORIAM (contd)

Robert E. Oates (contd)

Following his service in the U.S. Navy as a Lieutenant Commander during World War II, Dean Oates returned to Ohio State where he worked as Director of Testing in the Registrar's office. He was named Assistant Dean of the Colleges of the Arts and Sciences in 1962, a position in which he served until his retirement in 1983. During his tenure as Assistant Dean, he was responsible for student records and academic standards. He was known as a friend to students, a mentor to young professionals, and an exemplary citizen of the University. Dean Oates was a person of good humor and great integrity who earned the respect and affection of his many University friends and colleagues over the course of his long career at Ohio State.

Robert Oates was active in the community through his work as President of the North Columbus Civitan and as Governor of the Ohio District Civitan. In addition, he was very involved with ushering and committees at Maple Grove United Methodist Church.

On behalf of the University Community, the Board of Trustees expresses its sincere condolences to Betty Oates, his wife of 54 years, and his family. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-110

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for February 1999 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of February 1999 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-111

Synopsis: The report on the receipt of gifts and the summary for February 1999 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

REPORT ON UNIVERSITY DEVELOPMENT (contd)

WHEREAS this report includes the establishment of twelve (12) new named endowed funds and amendments to two (2) endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of February 1999 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July-February
1997-98 Compared to 1998-99

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through February		
	<u>1997-98</u>	<u>1998-99</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 12,066,882	\$ 28,087,553	133
Alumni (From Bequests)	<u>5,911,062</u>	<u>784,430</u>	-87
Alumni Total	\$ 17,977,944	\$ 28,871,983	61
Non-Alumni (Current Giving)	\$ 7,043,073	\$ 8,086,520	15
Non-Alumni (From Bequests)	<u>5,429,695</u>	<u>3,803,680</u>	-30
Non-Alumni Total	\$ 12,472,768	\$ 11,890,200	-5
Individual Total	\$ 30,450,712	\$ 40,762,183	34 ^A
Corporations/Corp. Foundations	\$ 21,080,897	\$ 24,677,029	17 ^B
Private Foundations	\$ 8,792,593	\$ 6,704,388	-24 ^C
Associations & Other Organizations	\$ <u>2,126,083</u>	\$ <u>2,051,813</u>	-3
Total	\$ 62,450,285	\$ 74,195,413	19

NOTES

- A Individual giving is up 34% largely due to 341 gifts totaling \$10,000 or more (\$30.1 million). Last year during this period 316 individuals gave \$10,000 or more (\$20.7 million).
- B Giving at the \$10,000 or more level from corporations is up 21% so far this year (\$20.4 million from 417 gifts this year; \$16.8 million from 353 gifts last year).
- C Foundation giving at the \$10,000 or more level is down 34% for the first eight months of the fiscal year (\$8.2 million from 106 gifts last year; \$6.1 million from 108 gifts this year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-February
1997-98 Compared to 1998-99 (contd)

GIFT RECEIPTS BY PURPOSE

	Dollars July through February		
	<u>1997-98</u>	<u>1998-99</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$ 14,953,503	\$ 19,305,815	29
Faculty Support	\$ 7,825,402	\$ 8,210,980	5
Program Support	\$ 22,994,324	\$ 29,649,084	29
Student Financial Aid	\$ 10,128,162	\$ 9,690,830	-4
Annual Funds-Colleges/ Departments	\$ 5,182,018	\$ 5,554,987	7
Annual Funds-University	\$ <u>1,366,876</u>	\$ <u>1,783,717</u>	30
Total	\$ 62,450,285	\$ 74,195,413	19

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through February		
	<u>1997-98</u>	<u>1998-99</u>	<u>% Change</u>
	\$26,462,771	\$33,112,983	25

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Peggy Egelhoff Breast Cancer Research Endowment Fund (Support for Breast Cancer Research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute - provided by gifts from Fred and Norma Egelhoff)	\$30,933.31		\$30,933.31

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
Class of 1957 Endowed Dentistry Scholarship Fund (Scholarships - College of Dentistry - provided by members of the Class of 1957)	\$26,050.00		\$26,050.00

Change in Description of Named Endowed Fund

The W. Keith Davis Memorial Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Max M. Fisher MBA Fellowship Fund (MBA Fellowships - Fisher College of Business - provided by gifts from the family and friends of Max M. Fisher in honor of his 90th birthday)		\$150,434.99	\$150,434.99
The Edna Lillian Fritz Memorial Lectureship Fund (Support for Annual Lectures - College of Nursing - provided with a gift from the estate of Edna Lillian Fritz)		\$65,000.00	\$65,000.00
The Billy Joe Armstrong Football Scholarship Fund (Scholarships - Department of Athletics - provided by gifts from the Greer Steel Company)		\$50,000.00	\$50,000.00
The Heffner Family Olentangy River Wetland Research Park "Site Engineer" Endowment Fund (Support for a "site engineer" at the Olentangy River Wetland Research Park - provided by gifts from the Heffner Family Foundation)		\$44,000.00	\$44,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Mildred and Simon Dinitz Graduate Fellowship Fund in Criminology in the Department of Sociology (Support for graduate fellowships in the Department of Sociology - provided by gifts from family, friends, and colleagues of Mildred and Simon Dinitz)		\$40,313.60	\$40,313.60
The Peggy S. Cuthbert M.D. Scholarship Fund in Primary Care Medicine (Scholarships or medical education expenses - College of Medicine and Public Health - provided by gifts in memory of Peggy S. Cuthbert M.D. from her family, friends, and colleagues)		\$25,625.00	\$25,625.00
Robert C. Schneider Big Brothers/Big Sisters of Ohio Scholarship Fund (Scholarships for students who were "Littles" in Big Brothers/Big Sisters organization - provided by gifts from John L. Martin and other supporters of Big Brothers/Big Sisters)		\$25,500.00	\$25,500.00
The Dr. Donald G. & Jo Anne Miller Endowment for Food Animal Research and Graduate Studies in Veterinary Preventive Medicine (Food animal research - Veterinary Preventive Medicine - provided by a gift from Dr. Donald G. and Jo Anne Miller)		\$25,287.38	\$25,287.38
The James and Annette Hartman and Lester E. Wiley Scholarship Fund (Scholarships - College of Veterinary Medicine - provided by a gift from Annette Hartman)		\$25,000.00	\$25,000.00
The Donald B. and Thekla R. Shackelford Medical Research Fund (Support for researchers conducting research in the Medical Research Facility - College of Medicine and Public Health - provided by gifts in honor of Dr. Manuel Tzagournis from Donald B. and Thekla R. Shackelford)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Fund

From: The Paul and Nancy Wherry Endowed Fund in the History of Pharmacy
To: The Paul and Nancy Wherry Endowed Fund and Scholarship in the History of Pharmacy

Total	\$56,983.31	\$476,160.97	\$533,144.28
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THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The Peggy Egelhoff Breast Cancer Research Endowment Fund

The Peggy Egelhoff Breast Cancer Research Endowment Fund was established April 6, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Fred and Norma Egelhoff of Powell, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support breast cancer research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute as approved by the Director of The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute, the Director of the Comprehensive Cancer Center, and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$30,933.31

Class of 1957 Endowed Dentistry Scholarship Fund

The Class of 1957 Endowed Dentistry Scholarship Fund was established April 6, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from members of the Class of 1957.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for students attending the College of Dentistry. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Class of 1957 Endowed Dentistry Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$26,050.00

CHANGE IN DESCRIPTION OF NAMED ENDOWED FUND

The W. Keith Davis Memorial Scholarship Fund

The W. Keith Davis Memorial Scholarship Fund was established March 1, 1985, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Gerald A. and Betty L. Davis, Marion, Ohio. The description was revised July 8, 1994; February 2, 1996; and was further revised April 6, 1999.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Sixty percent of the annual income is to be used to provide assistance to qualified students of sophomore standing or higher who are enrolled at The Ohio State University, Marion Campus. The remaining forty percent of annual income is to be returned to principal each year. The selection of the scholarship recipient(s) will be made by the University Committee on Student Financial Aid upon consultation with the Dean and Director of The Ohio State University at Marion.

Should the need for this fund cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever as determined by the Board of Trustees, with preference being given to recommendations from the person or committee then responsible for student scholarship awards.

THE OHIO STATE UNIVERSITY FOUNDATION

ESTABLISHMENT OF NAMED ENDOWED FUNDS

The Max M. Fisher MBA Fellowship Fund

The Max M. Fisher MBA Fellowship Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family and friends of Max M. Fisher in honor of his 90th birthday.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a fellowship(s) to MBA students of the highest caliber. Selection shall be made by the committee for MBA awards with formal approval by the Dean of the Fisher College.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Max M. Fisher MBA Fellowship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$150,434.99

The Edna Lillian Fritz Memorial Lectureship Fund

The Edna Lillian Fritz Memorial Lectureship Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Edna Lillian Fritz, Dean Emeritus, of Palm Desert, California, in recognition of her leadership in nursing education and her service as director of The Ohio State University School of Nursing from 1973 - 1983.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the College of Nursing to support an annual lectureship on contemporary issues in nursing, nursing education, and health care.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$65,000.00

The Billy Joe Armstrong Football Scholarship Fund

The Billy Joe Armstrong Football Scholarship Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Greer Steel Company.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs for a student athlete who is the starting center on the football team pursuing an undergraduate degree at The Ohio State University. Recipient shall be selected by the Director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donor.

\$50,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Heffner Family Olentangy River Wetland
Research Park "Site Engineer" Endowment Fund

The Heffner Family Olentangy River Wetland Research Park "Site Engineer" Endowment Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Heffner Family Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the Olentangy River Wetland Research Park (ORWRP) primarily to create and sustain "site engineer" employment opportunities for undergraduate and graduate students, including minor maintenance. This fund shall be administered by the Director of the ORWRP, with the approval of the Director of the School of Natural Resources, or his/her designee.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate dean, chairperson, and/or director in order to carry out the desire of the donors.

\$44,000.00

The Mildred and Simon Dinitz Graduate Fellowship Fund in Criminology
in the Department of Sociology

The Mildred and Simon Dinitz Graduate Fellowship Fund in Criminology in the Department of Sociology was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from family, friends and colleagues of Mildred and Simon Dinitz.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide graduate fellowship support for students in the Department of Sociology specializing in criminology.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with Simon Dinitz or after his lifetime with his heirs and the appropriate dean and department chairperson in order to carry out the desire of the donors.

\$40,313.60

The Peggy S. Cuthbert M.D. Scholarship Fund in Primary Care Medicine

The Peggy S. Cuthbert M.D. Scholarship Fund in Primary Care Medicine was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made in memory of Peggy S. Cuthbert, M.D. (M.D. '93) and in honor of her outstanding commitment as a physician from her family, including her husband and infant son, and from her friends and colleagues.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The Peggy S. Cuthbert M.D. Scholarship Fund in Primary Care Medicine (contd)

Dr. Cuthbert was born in 1965 in Groveport, Ohio. A recipient of many awards at Ohio State, she graduated summa cum laude from the College of Medicine and Public Health in 1993 and then received her residency training in Internal Medicine and Pediatrics. In 1997, she became board certified and opened a primary care practice focusing on pediatrics in Lancaster, Ohio, until her untimely death in 1998.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship or related medical education expenses (such as textbooks, lab coats, and instruments) for a medical student enrolled in the College of Medicine and Public Health. Preference should be given to female students who have an interest in women's or children's primary care health issues and rural medicine in the Division of General Internal Medicine or Department of Pediatrics. The scholarship will be awarded on academic merit and financial need, and shall support, preferably, the same student each year. Any unused income shall be added to the principal at the end of each fiscal year. The recipient shall be chosen by a committee that meets annually, is chaired by the Director of the Division of General Internal Medicine, and includes a faculty representative from the Internal Medicine/Pediatrics program. The selection of the recipient shall be made at the recommendation of the Dean of the College of Medicine and Public Health, in consultation with the Director of the Division of General Internal Medicine, in consultation with the University Committee on Student Financial Aid, and with the College of Medicine and Public Health Committee for Scholarship Awards.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,625.00

Robert C. Schneider Big Brothers/Big Sisters of Ohio Scholarship Fund

The Robert C. Schneider Big Brothers/Big Sisters of Ohio Scholarship Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid, from John L. Martin, Newark, Ohio (B.S., M.S., Ceramic Engineering '69) and other supporters of Big Brothers/Big Sisters.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more renewable scholarships to financially needy students who have been "Littles" in the Big Brothers/Big Sisters for a minimum of two years, who have maintained a minimum GPA of 2.25 in high school and college courses, and were full-time students in the last academic year with preference for graduates of high schools in Ohio counties of Licking and Stark. Selection of the recipients will be made by the University Committee on Student Financial Aid. Unused income shall be returned to the principal.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

Robert C. Schneider Big Brothers/Big Sisters of Ohio Scholarship Fund (contd)

Although Robert C. Schneider, resident of Navarre, Ohio, was not formally associated with the Big Brothers/Big Sisters organization, he recognized the potential benefits of mentoring a boy living in a single parent family in 1956. Bob exemplified and continues to exemplify the very best qualities of a Big Brother by befriending, nurturing, encouraging, and generally supporting a fatherless boy. His vision and willingness to invest his time to help someone else provided invaluable leadership to his unofficial "Little" that has helped in all the "Little's" endeavors.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of Student Financial Aid in order to carry out the desire of the donors.

\$25,500.00

The Dr. Donald G. & Jo Anne Miller Endowment for Food Animal Research
and Graduate Studies in Veterinary Preventive Medicine

The Dr. Donald G. & Jo Anne Miller Endowment for Food Animal Research and Graduate Studies in Veterinary Preventive Medicine was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. Donald G. and Jo Anne Miller.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide monies for food animal research or to support graduate students doing research in food animal diseases within the Department of Veterinary Preventive Medicine. Recipients will be selected by the Dean of the College of Veterinary Medicine and the Chairperson of the Department of Veterinary Preventive Medicine.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$25,287.38

The James and Annette Hartman and Lester E. Wiley Scholarship Fund

The James and Annette Hartman and Lester E. Wiley Scholarship Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Annette Hartman, Gahanna, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide two (2) scholarships, each scholarship to be in an amount equal to one-half (1/2) of the annual income generated by the gift as follows:

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

ESTABLISHMENT OF NAMED ENDOWED FUNDS (contd)

The James and Annette Hartman and Lester E. Wiley Scholarship Fund (contd)

1. One (1) scholarship shall be awarded to a student with high financial need at The Ohio State University College of Veterinary Medicine. The recipient will be selected by Professor Daniel Smeak, (if living and available), and the then-current Dean of the College of Veterinary Medicine, and in consultation with the University Committee on Student Financial Aid.
2. One (1) scholarship shall be awarded to an academically outstanding student at The Ohio State University College of Veterinary Medicine. The recipient will be selected by Professor Daniel Smeak, (if living and available), Dr. Scott Whiteman, DVM, (if living and available), and Professor Steven Schrader, (if living and available). If none of these three (3) individuals are living and available, the recipient will be selected by the then-serving Dean of the College of Veterinary Medicine in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$25,000.00

The Donald B. and Thekla R. Shackelford Medical Research Fund

The Donald B. and Thekla R. Shackelford Medical Research Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made to the Foundation in honor of Dr. Manuel Tzagournis, Vice President for Health Sciences, from Donald B. Shackelford (Doctor of Business Administration, Honorary '98) and Thekla R. Shackelford (M.A., Education, '69) of Gahanna, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund, and other similar funds under the Medical Research Endowment Trust, shall be used to support researchers conducting research in the Medical Research Facility, with selection made through a grant application process. Researchers may apply for one year funding grants, which are not limited to senior faculty, through the Associate Dean for Research, College of Medicine and Public Health. Selection shall be made by the Associate Dean for Research in consultation with the Dean of the College of Medicine and Public Health. The grants may be used for, but not limited to, supplies, salaries for research personnel, and activities required for quality medical research.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Associate Dean for Research and the Dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

CHANGE IN NAME AND DESCRIPTION OF NAMED ENDOWED FUND

The Paul and Nancy Wherry Endowed Fund and Scholarship in the History of Pharmacy

The Paul and Nancy Wherry Endowed Fund in the History of Pharmacy was established October 3, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Paul and Nancy Wherry of Worthington, Ohio. The name and description were revised April 6, 1999.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support educational and research initiatives in the History of Pharmacy at the College of Pharmacy and to support a \$500 scholarship to a pharmacy student engaged in the study of the History of Pharmacy. The research projects shall be determined by Professor Robert A. Buerki and the Dean of the College of Pharmacy or his/her designee. The recipients of the annual scholarship shall be determined by the Dean of the College of Pharmacy or his/her designee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 99-112

LANE AVENUE GARAGE
GRADUATE AND PROFESSIONAL STUDENT HOUSING
UNIVERSITY HOSPITALS CLINIC – OB/GYN CLINIC

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Lane Avenue Garage, Graduate and Professional Student Housing and University Hospitals Clinic -- OB/GYN Clinic projects is requested.

WHEREAS Transportation and Parking desires to construct an approximate 2,000 space parking facility with mixed use space near Lane and Neil Avenues; and

WHEREAS the total estimated project cost is \$28,725,000 and the total estimated construction cost is \$25,000,000, with funding provided by University bonds with debt service paid by Transportation and Parking; and

WHEREAS Housing, Food Services, and Events Centers desires to construct graduate and professional student apartments in the south campus area; and

WHEREAS the total estimated project cost is \$17,421,177 and the total estimated construction cost is \$15,413,644, with funding provided by University bonds with debt service paid by Housing, Food Services, and Events Centers; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS University Hospitals desires to renovate approximately 17,000 gross square feet of space located on the second floor of the University Hospitals Clinic in order to expand the OB/GYN clinic area; and

WHEREAS the total estimated project cost is \$914,000 and the total estimated construction cost is \$750,000, with funding provided by University Hospitals:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Administration be authorized to select qualified architectural/engineering firms as necessary for the Lane Avenue Garage, Graduate and Professional Student Housing, and University Hospitals Clinic – OB/GYN Clinic projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Administration be authorized to request construction bids for the Lane Avenue Garage, Graduate and Professional Student Housing, and University Hospitals Clinic -- OB/GYN Clinic projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXXX for maps, page 789.)

Upon motion of Mr. Skestos, seconded by Mr. Colley, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Patterson, and Slane, and Judge Duncan.

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ANNUAL COMPENSATION BENCHMARKS PRESENTATION

Provost Edward J. Ray: [overhead presentation]

You have material in your book that summarizes much of what we would have said in a longer presentation, so I will be brief and to the point. We've agreed that we need to have two discussions on all matters of consequence to the University before taking action. This represents our first discussion on the issue of compensation. What we'd like to do is go through what we've done in terms of our last round of compensation changes to assure you that we did what we said we would do, and then move into a discussion of where we stand relative to our benchmark peer institutions. I'll say a little bit about what USAC and FCBC have proposed and that will set the stage for our discussion next month about what the compensation package for Fiscal 2000 ought to be.

If I could summarize what we've done in the last year, you'll remember we had a raise package of 3.5 percent for Fiscal 1999. That's a little bit below where most of our peer institutions were. What we've been doing for at least the last ten years at Ohio State is putting all of our compensation package into merit raises. So there are no entitlements, we haven't done across-the-board increases. There are people, regrettably, who get zero increases when they fail to live up to expectations in terms of job performance, and there are people who get a good deal more.

On page 3, you will notice the bar graph. All I want to point out there is that with the average compensation package increase of 3.5 percent, there is really a pretty broad distribution of salary increases across the distribution, from less than 10 percent to well over 5 percent, with respect to faculty.

ANNUAL COMPENSATION BENCHMARKS PRESENTATION (contd)

Provost Ray: (contd)

The same follows with respect to different categories of staff. I point that out to suggest to you that the deans and the vice presidents and others who we asked look very seriously at the issue of merit and rewarding people appropriately do that, that we don't end up with everybody just getting some sort of standard increase.

If I could ask you to move forward to page 6, you'll see the list of the benchmark institutions and an indication of average raises over the last five years. For those of you who remember such things, we had 4 percent, 5 percent, 5 percent, 3 percent, and 3.5 percent; which gets you 4.1 percent. The benchmark average of the other institutions is 4 percent, so we're right about average during that period. We've slipped a little bit in the last couple of years and to see that, I'm going to skip ahead and then go back and let Larry say something about benefits in a moment. But if you go to page 10, what you'll observe is that Ohio State is now in sixth place among the peer group institutions. That's where we were the year before. So with the 3 percent and the 3.5 percent increases, we remained in sixth place among the institutions we're benchmarking against. We were in third place the year before that.

The point of making that observation, is to tell you that both USAC (University Staff Advisory Committee) and FCBC (Faculty Compensation and Benefits Committee) are looking back to that period when we were closer to the high end of the peer group and are encouraging us to do what we can to get back there. So to do that, USAC is proposing that we have a 5 percent increase this year -- they had proposed 5 percent last year. They'd like to see 5 percent next year, for three years of 5 percent. FCBC will be giving their report to the Senate next Saturday. They're proposing 5.5 percent for each of the next three years. USAC is also asking for 1.5 percent equity adjustment for 2000 to make up for the 1.5 percent below 5 percent that we were at last year. So that gives you a sense of what the advisory groups on campus are proposing.

Obviously, we need to balance compensation increases against all the other demands on resources that we have to deal with for the next fiscal year, and we have to do that in the context of knowing what the budget increases are likely to be for us. But we'll be back with specific recommendations for you at the May Board meeting, after we've consulted with the Senate and other appropriate groups on their recommendations, and try to explain what we believe is the situation that we find ourselves in in terms of trying to be responsive to that.

If I can, I'd like to let Larry say a little bit about both the inclusion of benefits increases, along with the salary increases, and some of the comparison work we do. Then, to the extent that he cares to, he can also talk about benchmarks for professional and clerical staff relative to the markets that we compete in for their services.

Mr. Larry Lewellen:

Thank you, Ed. The Board asked us last year, and the faculty and staff have both asked us, to make sure and put in context the effect on salary increases of the cost of benefits as they change each year and the cost of user fees. So you can see that -- this is for faculty, we have them for classified and unclassified staff, but we won't look at that -- the tall blue bars are the average faculty increases for the last four years. The yellow bars indicate "core benefits," which are the increases in medical, dental, vision, and parking for each year. Then the green bars are "elective" benefits, which are athletic tickets, golf course fees, and so forth. Everyone may not agree with those definitions, but we've used those for illustrative

ANNUAL COMPENSATION BENCHMARKS PRESENTATION (contd)

Mr. Lewellen: (contd)

purposes. So for somebody who takes all the benefits at a family level and buys athletic tickets, the yellow and green bars would add together.

You can see, in 1996 and 1997 those increases were very minimal, and they're starting to trend upwards some in 1998 and 1999. So we think it's good to start reporting this to the Board and also make sure we do collective context planning when we look at fees, as well as salaries.

On page 11, is the chart "Staff with Benefits." In the upper right-hand corner is the benchmarking we do with staff. With staff, we subdivide the groups not just into classified and unclassified staff, but by occupational groups. We've also adjusted this. The scarlet bar, which is the staff, has been adjusted for the value of our benefits package, which by and large is about 3 -5 percent more valuable than the private sector plans that are out there, against the market which are the blue bars.

So you can see, our managers, and administrators and professional staff -- professional by and large being B.S. degree-prepared positions -- are pretty competitive once you adjust. Our clerical and secretarial market we compare both to the general market, which is the blue bar, and to the State of Ohio, which is the black bar. The clerical and secretarial staff -- is a broad group for us -- used to be ahead of the general Columbus market, but behind the State of Ohio. In the last couple of years we've slipped below the general market and are a good couple thousand dollars in annual salary below the State of Ohio. So we have lost some ground for that group against the general market.

We have the peer professional and technical group, which includes computing staff and technical staff; that group is 8-10 percent below market. Even buried within it, you can't see as well what we call "hot market" issues, where you have computing staff with really "hot" computer skills. They could be as much as 25 percent below market. So we're doing reasonably well on average, but we have lost some ground and we do have some "hot market" issues.

The last point I would just make -- and, I think, Ed made it -- is we're looking nationally at what the trends are, and they seem to center around 4 percent predications for 1999 for other employers, both higher education and for the state. So, with that, I'll see if there are any questions or comments before we wrap up.

Mr. Celeste:

We'll have more opportunity to continue this discussion, but are there any other questions or comments? If not, thank you for your report.

(See Appendix XXXXI for Compensation Guidelines, page 793.)

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April 6, 1999 meeting, Board of Trustees

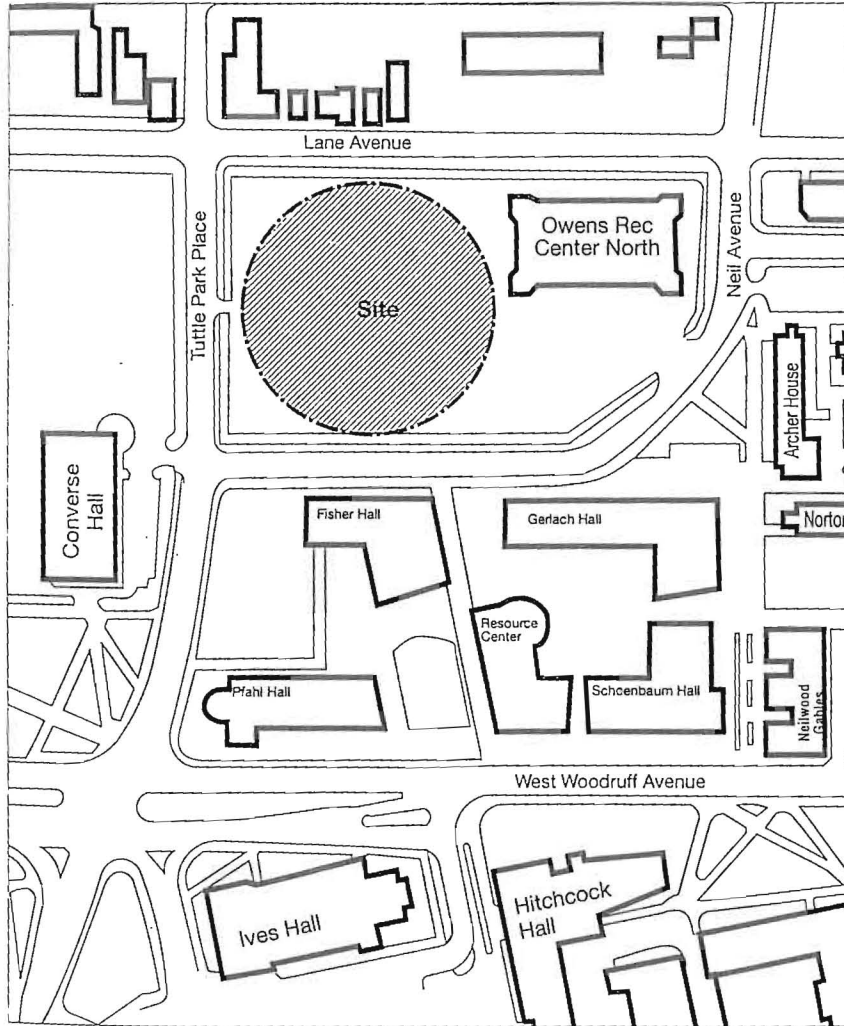
Thereupon the Board adjourned to meet Friday, May 7, 1999, at the Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

William J. Napier
Secretary

Theodore S. Celeste
Chairman

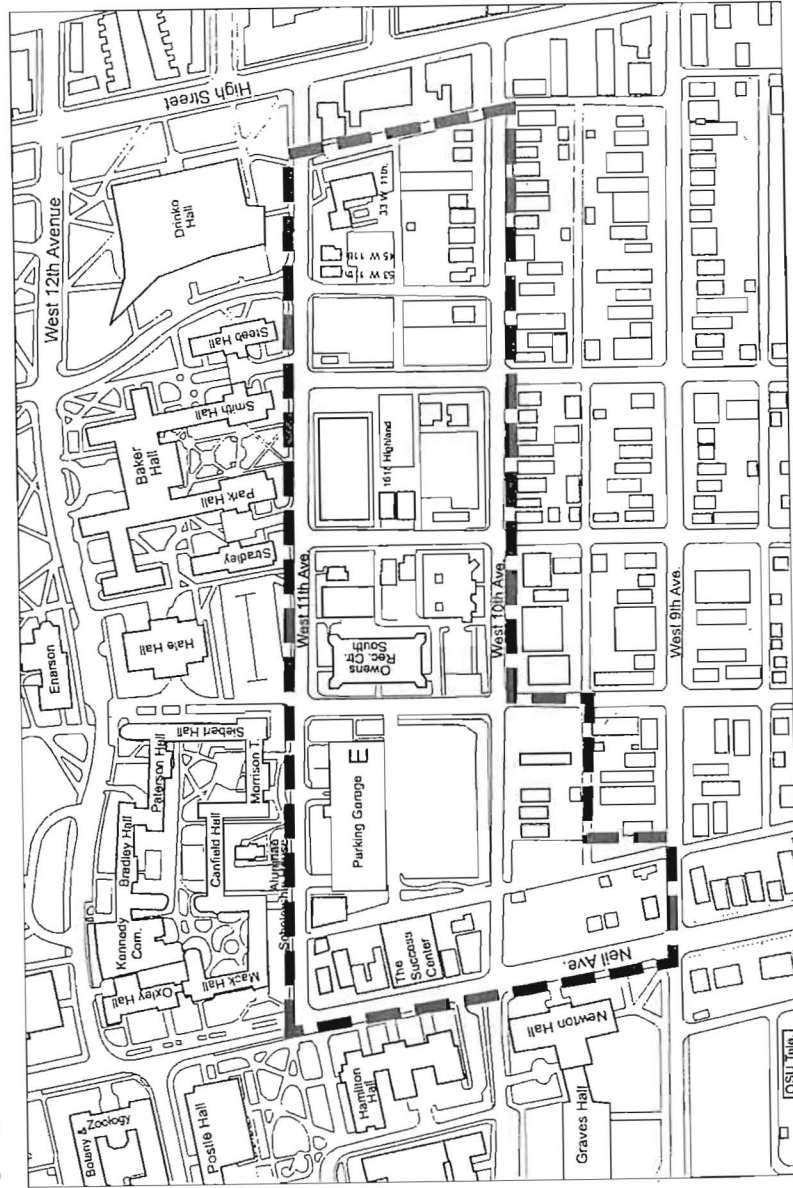
Lane Avenue Garage



Office of Business and Administration
Office of the University Architect and Physical Planning
January 8, 1999

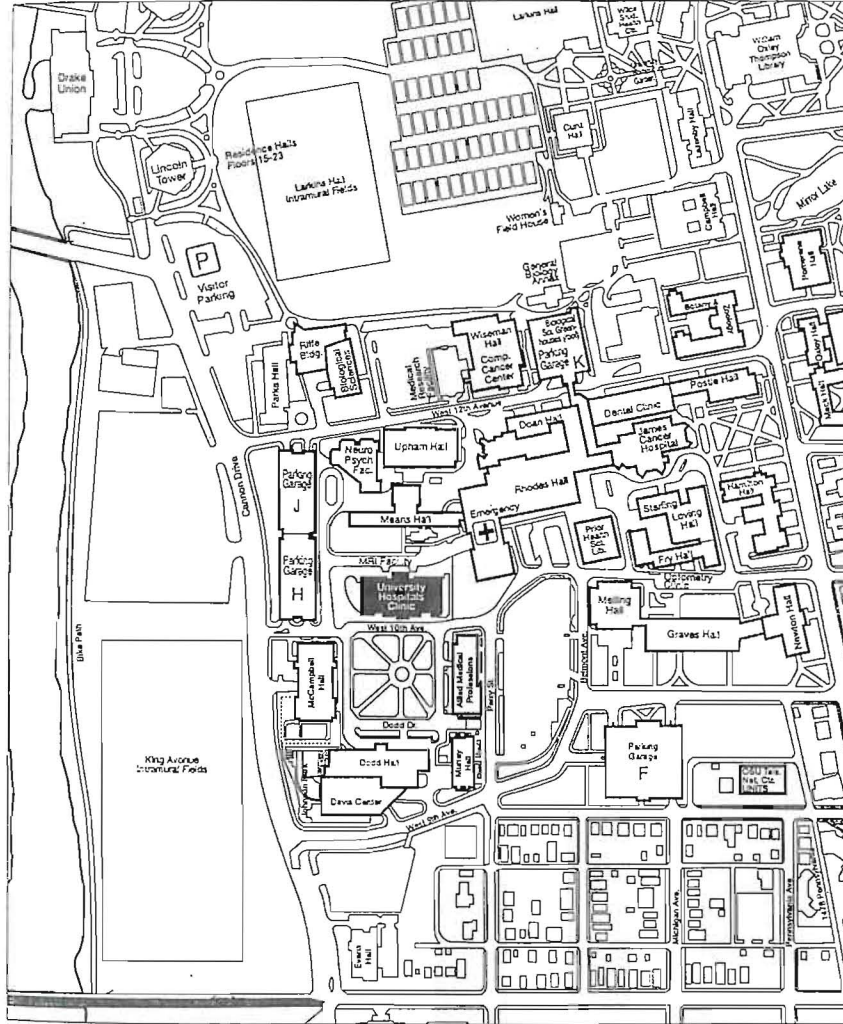


Graduate and Professional Student Housing



Office of Business and Administration
 Office of the University Architect and Physical Planning
 March 17, 1999

University Hospitals Clinic - OB/GYN Clinic



Office of Business and Administration
 Office of the University Architect and Physical Planning
 March 11, 1999



(APPENDIX XXXXI)

ANNUAL FACULTY AND STAFF COMPENSATION REPORT

April 6, 1999

- I. Review of Salary Increases Last Year
 - A. Distribution of Increases (faculty, classified, unclassified)
 - B. Comparison with Benchmark Institutions
 - C. Faculty/Staff Fee Changes

- II. Annual Review of Benchmark Position
 - A. Faculty Salaries vs. Benchmark Institutions
 - B. Staff Salaries vs. Competitive Markets

- III. Projections for FY 2000 Salary Increases (Competing Employers)

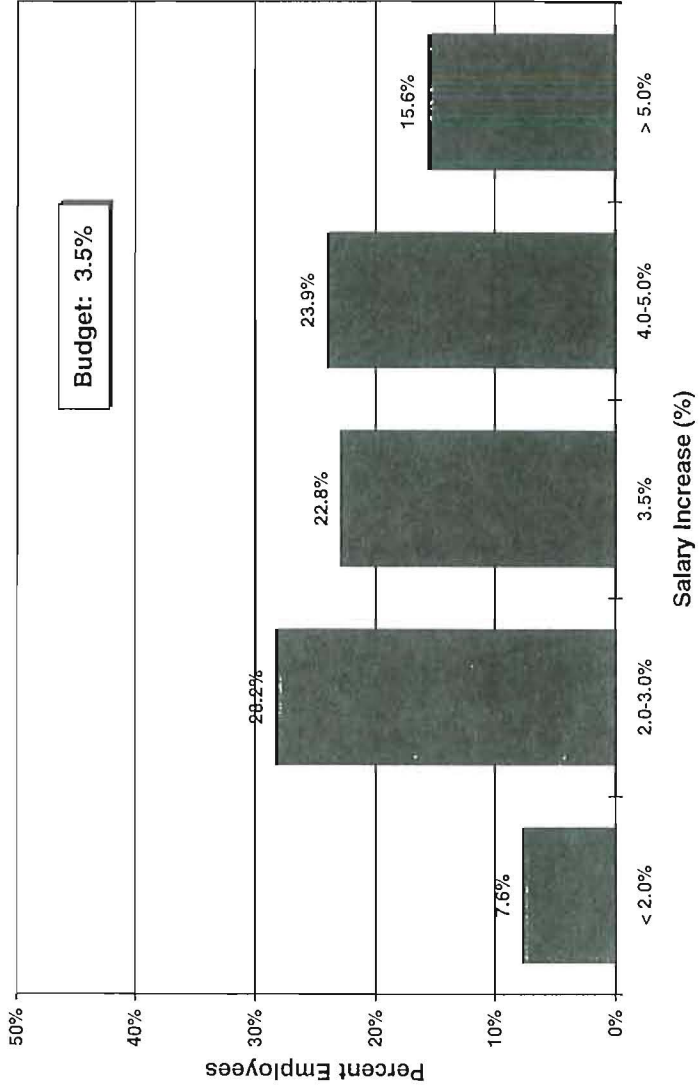
- IV. Summary Points

Offices of Academic Affairs and Human Resources

I. Review of July 1998 Salary Increases (Fiscal Year 1999)

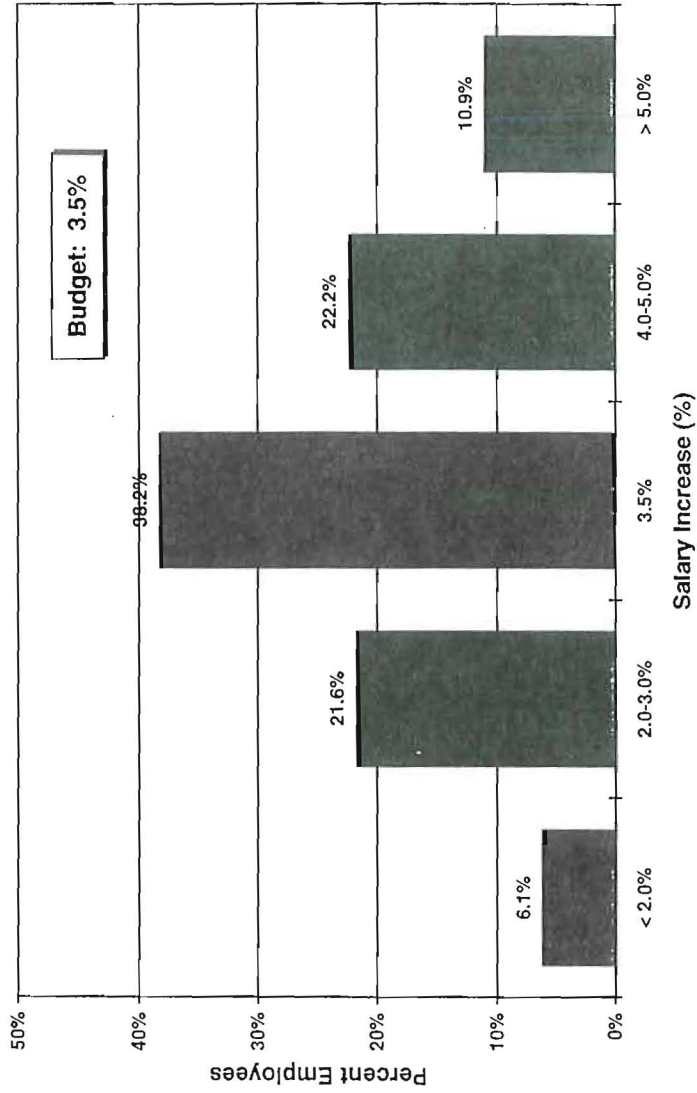
The Ohio State University
 Salary Increase Process
 FY 1999 Raise Distribution

TENURE-TRACK FACULTY



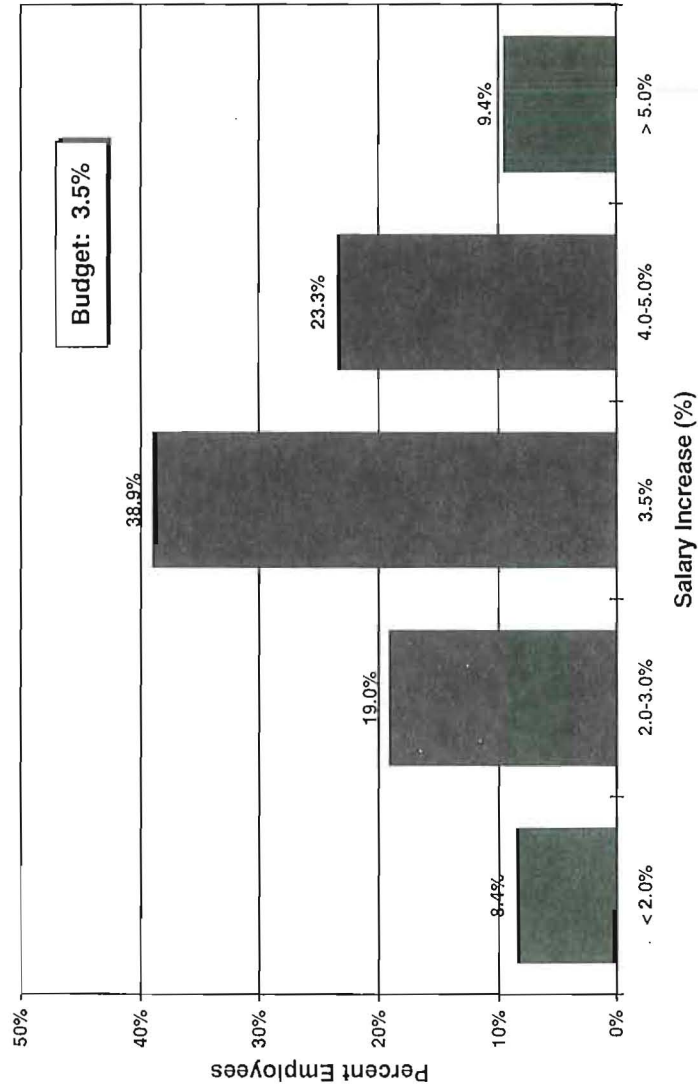
The Ohio State University
 Salary Increase Process
 FY 1999 Raise Distribution

CLASSIFIED CIVIL SERVICE STAFF



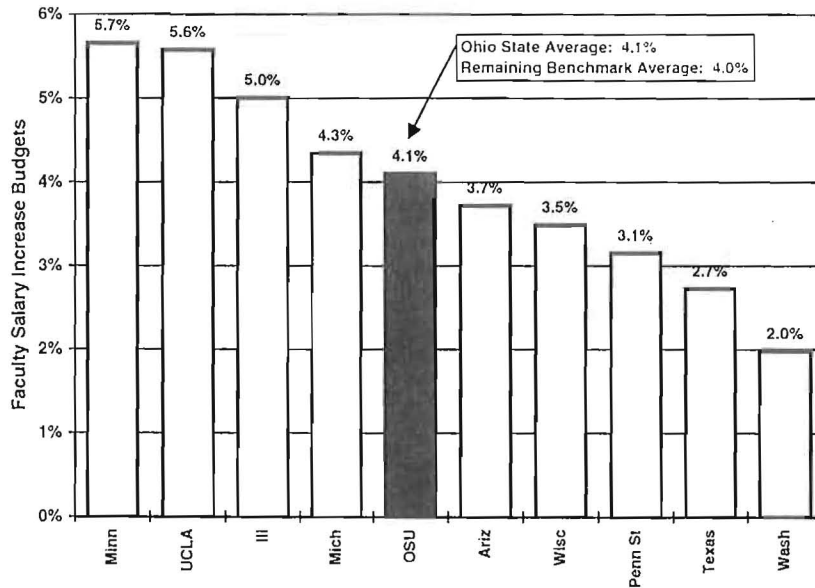
The Ohio State University
 Salary Increase Process
 FY 1999 Raise Distribution

UNCLASSIFIED STAFF



The Ohio State University **FACULTY**
Comparison of Faculty Salary Increase Budgets
Five-Year Average (FY 1995 - FY 1999)

BENCHMARK UNIVERSITIES



The Ohio State University
 Comparison of Annual Salary Increases and Annual Benefit Increases
 FY 1996 - 1999

FACULTY

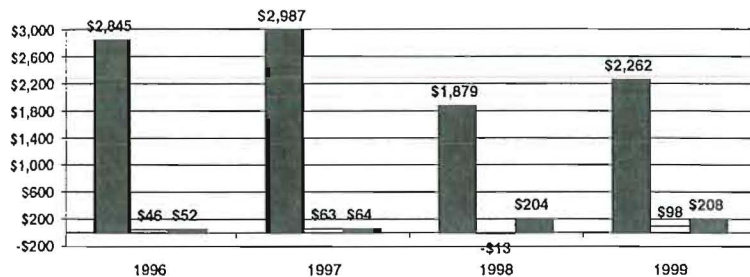
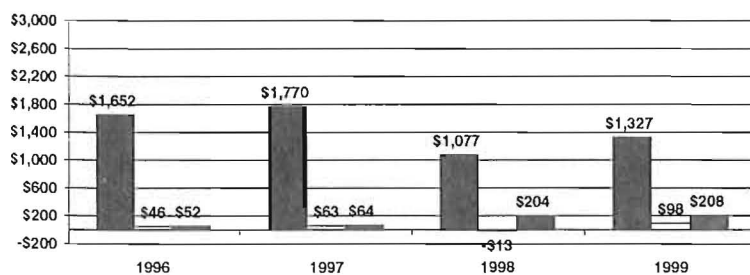


Chart
 Definitions:

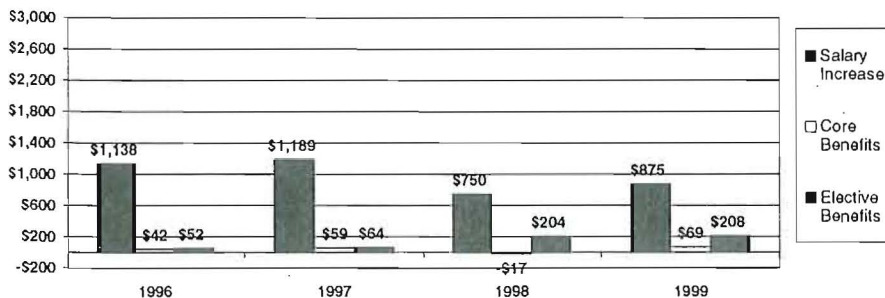
Core Benefits:
 Family Prime Care, Vision, Dental and Parking ("A" Sticker for Faculty and A&P, "B" Sticker for CCS)

A&P



Elective Benefits:
 Larkins Quarterly Family Pass, a Pair of Season Football Tickets, and a Golf Course Membership

CCS



■ Salary Increase
 □ Core Benefits
 ■ Elective Benefits

The Ohio State University
 Comparison of Annual Salary Increases and Annual Benefit Increases
 FY 1996 - 1999

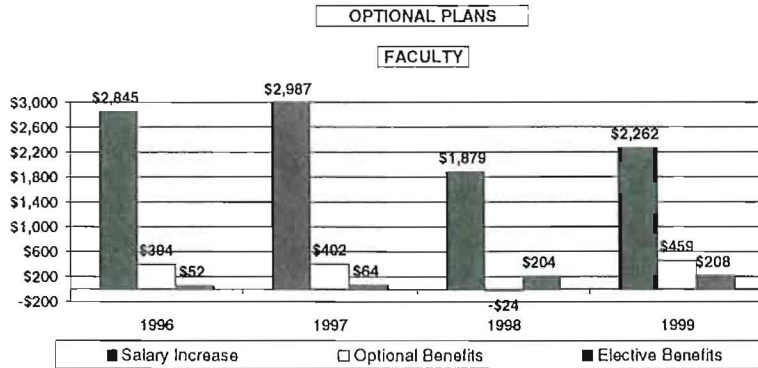


Chart Definitions:

Optional Benefits:

Family OSUHP, Vision, Dental and Parking ("A" Sticker)

Elective Benefits:

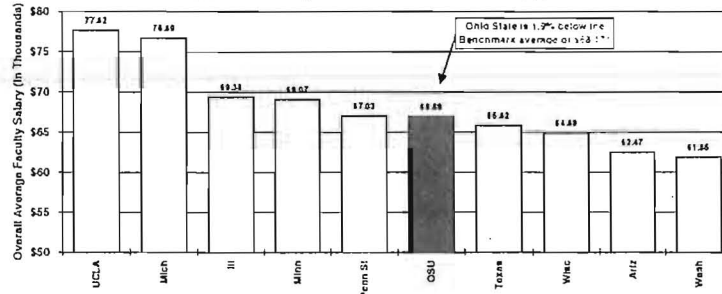
Larkins Quarterly Family Pass, a Pair of Season Football Tickets, and a Golf Course Membership

II. Annual Review of Benchmark Position

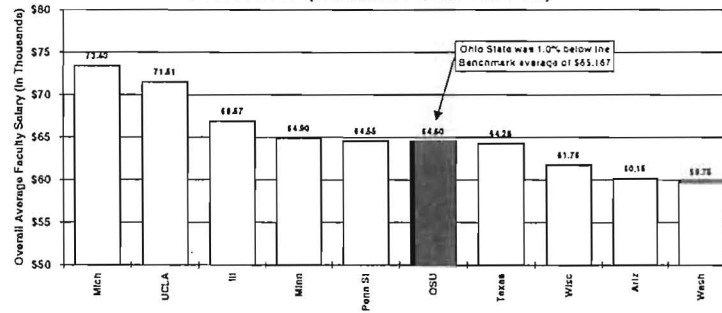
FACULTY

The Ohio State University Comparative Faculty Salary Analysis Benchmark Universities (Overall Average Salaries, In Thousands)

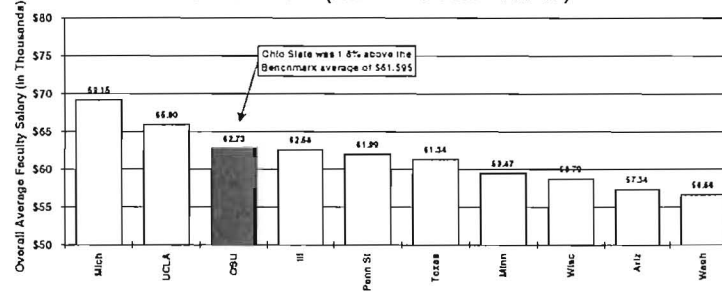
CURRENT (Academic Year 1998-99)



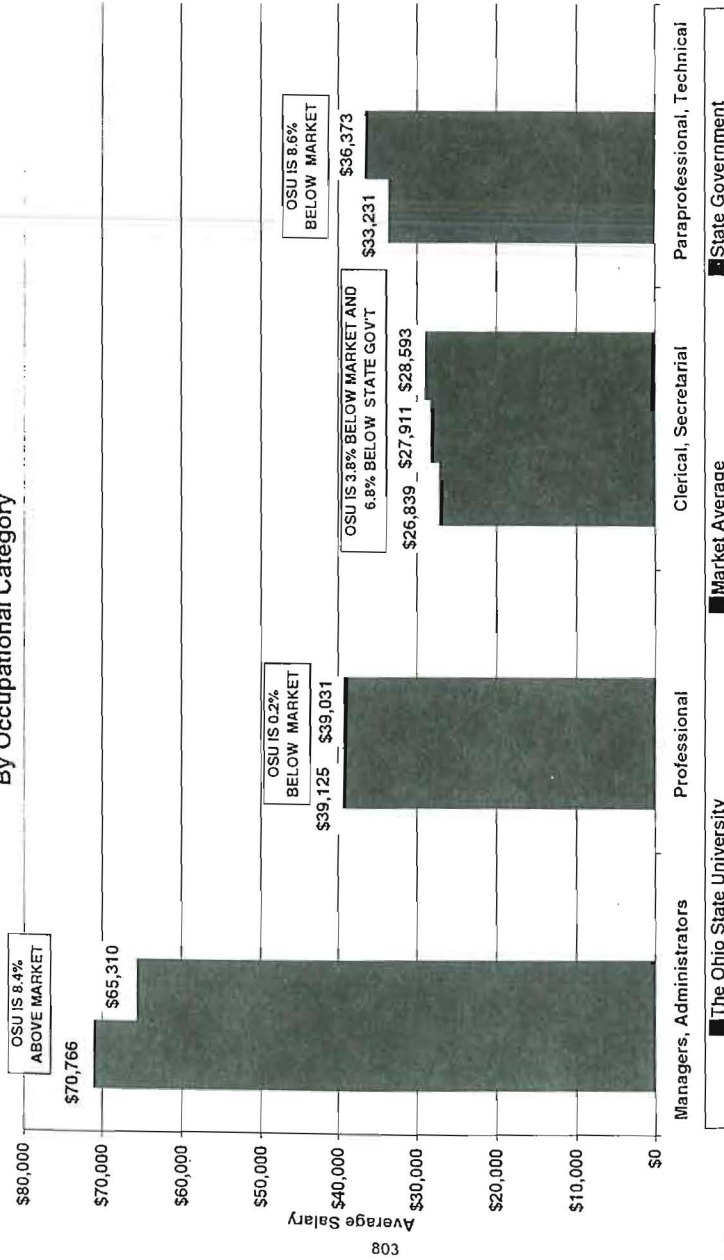
PREVIOUS (Academic Year 1997-98)



2 YEARS AGO (Academic Year 1996-97)



STAFF
 The Ohio State University
 1998-99 Staff Comparative Salary Analysis
 Positions Matched to Market
 Adjusted for Benefits ("Total Compensation")
 By Occupational Category



Notes:

Ohio State: Average of positions matched to market; Excludes bargaining unit members, Hospitals, term and temporary employees.

Market Average: Composite of salaries of local/regional employers for positions matched to market.

State Government: Average of positions matched to market.

III. Projections for FY 2000 (Competing Employers)

Competitive Salary Markets Outlook for FY 2000

National – All employer groups 3.8% to 4.4%

Ohio – All employer groups 3.6% to 4.0%

National – Higher Education
& Non-Profits 3.7% to 3.9%

State Government of Ohio 3.0%

IV. Summary Points

Summary Points

- Colleges and vice presidential units have done a good job with the distribution of salary funds, differentiating for performance, market, and equity; there are no entitlements.
- Fee increases and their effect on salary increases are now being reported annually.
- The average faculty salary is 1.9% below the average of our national benchmark institutions, and sixth out of ten in ranking (down from third).
- Staff competitiveness varies by occupational category; managers fare better than non-managers; office clerical and technical positions average 4% to 10% below market; those averages mask some key issues – for example, some “hot market” information technology positions are 25% or more below current market.
- Projections for the coming year, from competitive employers, currently approximate 4%.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FIFTY-SECOND MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, May 7, 1999

The Board of Trustees met at its regular monthly meeting on Friday, May 7, 1999, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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May 7, 1999 meeting, Board of Trustees

The Chairman, Mr. Celeste, called the meeting of the Board of Trustees to order on May 7, 1999, at 11:00 a.m. He requested the Secretary to call the roll.

Present: Theodore S. Celeste, Chairman, Michael F. Colley, George A. Skestos, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, and Allyson Lowe. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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FAREWELL TO SORAYA ROFAGHA

Mr. Celeste:

There is someone who is not with us this morning. I would like to call on Tami Longaberger to explain why and also make some comments.

Ms. Longaberger:

Thank you very much. I wanted to take the opportunity to acknowledge Soraya Rofagha's service as a Student Trustee on this Board. You have seen her lovely face at every meeting, but, unfortunately, she was not able to be here this morning. The good news is she was linked to the Sphinx Honorary and they dragged her out of her house at 5:45 a.m. this morning in her pajamas.

However, I did want to acknowledge Soraya's remarkable job and service to this Board the last couple of years. She's provided a student perspective that has been very helpful to us, is very thoughtful in her comments, and is certainly wise beyond her years. The Board will present a plaque to her, but we certainly wanted to acknowledge her today. Thank you very much, Mr. Chairman.

Mr. Celeste:

Thank you very much, Tami. I know we all feel very strongly about Soraya's service on the Board and appreciate the fact that this is probably one of the few things that would keep her from being here.

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ELECTION OF OFFICERS BOARD OF TRUSTEES

Resolution No. 99-113

Dr. Napier read the Nominating Committee's report in which the following slate of officers were recommended:

WHEREAS pursuant to paragraph (B) of rule 3335-1-02 of the Administrative Code, the officers of the Board are to be elected at the May meeting to take office immediately following adjournment of the meeting (May 7, 1999) and shall hold their office through the May 2000 meeting:

NOW THEREFORE

**ELECTION OF OFFICERS
BOARD OF TRUSTEES (contd)**

BE IT RESOLVED, That the following slate of officers for 1999-2000 be elected:

Michael F. Colley, Chairman

George A. Skestos, Vice Chairman

William J. Napier, Secretary

James L. Nichols, Treasurer

Upon motion of Mr. Sofia, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger.

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PRESIDENT'S REPORT

President William E. Kirwan:

April has been a busy month for the University. We have celebrated in some very special ways. We had a "Take a Daughter to Work" day, Siblings' Weekend, International Week, Disability Awareness Week, and the opening of Asian Awareness Month. With all of these celebrations have come cultural and social events, lectures, films, exhibits, and entertainment.

Spring is also a time of recognition. The freshman academic honor societies, Alpha Lambda Delta and Phi Eta Sigma, initiated 550 first-year students -- the largest number ever -- for achieving a 3.5 grade point average during their first two terms at Ohio State.

At the other end of the academic spectrum, the 1999 Outstanding Seniors were announced in April. They are 21 extraordinary students who have excelled in the classroom, in conducting research, in campus activities, and in community service. The soon-to-be alumni will make us very proud in the years to come.

Our students continue to distinguish themselves with national honors and awards. Jennifer Puckett of Hilliard was named one of only 98 Mellon Fellows in the United States. Her majors are French, Medieval and Renaissance Studies, Russian, and Chinese -- four majors. This one-year award provides a \$14,500 stipend, plus tuition and fees for graduate studies.

Our debate team took second in the prestigious Lincoln Douglas debate competition. Ohio State had two of the top four debaters: Mike Werneke and Nick Garcia who finished as national runner-up. Our men's gymnastic team finished second at the NCAA championships. They had five All-Americans on the team, a school record for a single season.

The synchronized swimming team finished second to Stanford at the U.S. Collegiate Championships, missing the title by only one-tenth of a point. Eight swimmers were named All-Americans. The women's golf team just won the Big Ten title, and is headed for NCAA competition under the direction of Big Ten Coach of the Year, Therese Hession.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Our Equestrian Club captured first place at the Intercollegiate Horse Show Association national championships. This is not a varsity sport, but a club team and seven of the club's 45 riders took part in the competition.

Our baseball team, now ranked in the Top Ten nationally, continues to excel. With a win this weekend, it will break the Ohio State record for most wins in a single season. We are very proud of all these accomplishments by our exceptional students.

The University joined with other central Ohio institutions for the first Student Job Information Fair, co-sponsored with the Greater Columbus Chamber of Commerce. Held at the Jerome Schottenstein Center, the fair is part of a University/private sector partnership aimed at encouraging talented graduates to seek employment in central Ohio after graduation. The job fair was extremely well received by employers and students, and we plan to make this an annual event.

Our faculty also continued to win impressive recognition. I was pleased to introduce a lecture by one of our faculty who has just been named a University Distinguished Lecturer. Professor Deborah Jones Merritt, who holds the John Deaver Drinko/Baker & Hostetler Chair in Law, has had a very distinguished career since clerking with Justice Ruth Bader Ginsburg and Justice Sandra Day O'Connor. She is widely published and often cited in the areas of federal-state relations, affirmative action and equality, and is well known for her interdisciplinary work using social science techniques to examine legal theories. It is our great good fortune to have Professor Merritt on our faculty. I know several of us were able to attend her lecture, Judge Duncan and Vice Chair Michael Colley in particular, and I think we all say it was one of the most impressive expositions we have ever heard. As I say, we are just so privileged to have her as a member of our faculty.

Assistant Professor of Chemistry, Anne McCoy, has received the 1999 Camille Dreyfuss Teacher-Scholar Award. It is presented to only 20 faculty members around the nation for their scientific accomplishments and their dedication to educating students at all levels. In addition to her grant, the Department of Chemistry receives \$5,000 for undergraduate education. Professor McCoy is also an NSF career awardee and a Bergstrom Fellow. She has emerged as one of the world's best young talents in the important field of spectroscopy.

Last month, I told you that three of our faculty had won the prestigious Guggenheim Fellowship. Today, I am pleased to report that we had a fourth winner. Physicist Tin-Lun "Jason" Ho received the Guggenheim for his work on quantum gases. He is a former Sloan Fellow winner and a leader in our condensed matter theory group.

Any self-respecting president, when he or she hears that the University has four Guggenheim Fellowships, quite naturally asks the question, "What other universities had four Guggenheim Fellowships?" I am pleased to tell you that the other universities with four Guggenheims are Columbia University, Princeton University, Yale University, the University of Pennsylvania, and the University of California at Berkeley. I say that those universities are in good company when they joined Ohio State with four Guggenheims.

The Department of History had two of those Guggenheim winners and this is just such an exceptional achievement. The very able chair of that department, Michael

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Hogan, is recommended to you today as the Interim Dean of Humanities. His leadership in the history department will serve the college and University well.

Finally, on today's agenda, is the appointment of the President of the Newark campus, Dr. Anne Cairns Federlein. She comes to us from SUNY Oneonta with exceptional credentials and academic leadership, community outreach, and scholarship in her field of early childhood education. Although she has Michigan roots, and a University of Michigan degree, I know she will quickly become a true Buckeye. I had the pleasure of meeting Anne in New York City, we had a wonderful conversation, and I know she's going to do great things for us with the Newark campus. I would like for her to stand and be recognized.

Mr. Chairman, that concludes my report

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PRESENTATION ON THE SCIENCE AND TECHNOLOGY CAMPUS

Mr. Celeste:

At this time, I'd like to call on Ora Smith, President of the Science and Technology Campus, for a presentation on the campus.

Dr. Ora Smith: [slide presentation]

I am nearing the end of my eighth month here, and I am very pleased to be able to give you a report on the status of the Science and Technology Campus Corporation at The Ohio State University. These are some of the issues I am going to cover today: our mission, the status of our construction activities, the status of our tenants, financial highlights, tenant data, how we're stacking up against the performance measures that were set out in the development agreement, and future issues that we plan to deal with.

The mission of STC, the Science and Technology Campus, is to promote on-campus research activities between businesses at the University and to provide facilities in which to house them. There are two elements to that mission that are very important. The most important one is connectivity with the University. That's why we're doing this. We're not doing this just to build buildings. We're doing it to bring research-intensive businesses into collaboration with the University. The second leg of this is affordability. We have to do this on an economically sound basis. Otherwise, we won't attract businesses.

This map shows the development properties that have been handed over to the stewardship of STC. Just to orient everyone -- we have Lane Avenue up across the top, Kinnear Road here, Kenny Road running north and south, and North Star Road over on this side -- this is the West Campus area. The shaded areas are STC properties, which includes: 53 acres -- about 35 acres which are vacant -- and three existing buildings -- one at 1929 Kenny Road, one at 1224 Kinnear Road, and one at 1275 Kinnear Road.

We have had a busy period of construction activity the past several months. The first project I'd like to talk about briefly is what we call the Technology Innovation Center renovation. This is old warehouse space in what's known as the Simmons Mattress factory at 1275 Kinnear Road. This building currently houses the Business Technology Center, which is a small technology business incubator. It also houses

PRESENTATION ON THE SCIENCE AND TECHNOLOGY CAMPUS (contd)

Dr. Smith: (contd)

the University warehousing operations. About 13,000 square feet on the end of it was vacant and pretty rundown and we have rehabbed that to make it suitable for occupancy by several companies. The basic construction of the shell -- which includes renovation of the exterior and the interior -- was done at a cost of \$574,000. We had \$14,000 in change orders on that, because there were hidden defects we discovered as we went along.

We are in negotiations and buildout discussions with two small company tenants for that space. Both of these companies are currently tenants in the complex and they need expansion room. We're estimating that it will cost us about \$250,000 for the landlord-financed share of the improvements.

We also have underway a construction project in the early planning stages now, which is the expansion of the BTC incubator. The incubator currently composes about 25,000 square feet and we're going to add about 29,000 square feet to that. We think it will be completed around the beginning of the second quarter of next year. The estimated cost for that is in the \$2 to \$4 million range, depending on the degree of interior fit and finish that's completed as part of this project. This will not involve any University funds. We received an allocation from the state capital budget to take care of this, plus there is private fundraising activity going on by BTC to raise additional money for that.

Now in the meantime, we have a problem with the incubator because we have far more companies wanting in there than we have space for. We have a long waiting list. In fact, I shoehorned a small software company into my office suite. We're sitting on top of each other. But I am very happy to report that we have been able to work out an arrangement through the Treasurer's Office at the University for the incubator to lease space in the Sensotech Building, which is a building that was donated to the College of Engineering. We're going to use that to temporarily house BTC overflow companies until the expansion is complete. The worst thing that would happen would be for these small companies to go somewhere else. We don't want that to happen.

Continuing on the projects, the Science Village Phase I is our first totally new building. This is an approximately 40,000 square foot building that will be located on a parcel near the corner of Kinnear and North Star Road. We've got construction drawings about half done now, with bids going out in early June. We expect to begin construction around the beginning of September and expect to have it occupied by about the middle of the year 2000. This is exactly the schedule that was proposed in the development plan.

I have a computer-generated image of the building as it will look on the site, looking west toward North Star and continuing along Kinnear Road. It looks so realistic I thought I could announce that we had completed the job now, because here's the photographic evidence. But this will give you an idea of what the building is going to look like when it is fully completed. The first phase of this is only 40,000 square feet, but the complete building will be 150,000 square feet.

Phase II of that is about a 10,000 square foot module that will add on to the initial 40,000 square feet. We expect to have that done by about the beginning of the year 2001. It will be a \$1 to \$1.5 million building, and we have an early potential tenant identified for that space.

This is our current tenant lineup in the Science and Technology Campus and we have business units and University units. These are the business units: the Silliker

PRESENTATION ON THE SCIENCE AND TECHNOLOGY CAMPUS (contd)

Dr. Smith: (contd)

Group and Morton International. Silliker has about 30 employees and Morton has about six employees and they have ties with the University. In addition, we have tenants of the Business Technology Center and there is a long list of companies there.

We also have a number of University units in STC. The Office of Research functions are a number of K-12 curriculum development and educational activities, a cluster of non-profits that are at 1929 Kenny Road -- the Ohio Supercomputer Center, the Advanced Computing Center for Arts and Design, and University Stores. Except for University Stores, we think that all of these units have business connectivity and spin-off potential, and we're working with the managements of all of them to try to bring something out of that.

In the case of the renovation at 1275 Kinnear Road, and some accompanying work in 1224 Kinnear Road, we're planning for that space to be used for expansion of existing tenants and graduates of the incubator. We have to find a place for these folks to go, otherwise we are going to lose them, and that's what this space is designed for.

We have a potential tenant identified for the first 40,000 square feet of the Science Village Phase I and it's a joint University-industry center. Getting this done is contingent on federal funding arriving, and we'll know that late this summer for the Science Village Phase II we have a leading potential tenant for that identified, which is a biotech start-up from out-of-state and it's working with a faculty member here. Again, this is early, the deal isn't done, it's never over until the check clears, but that's our lead horse at the moment.

We have a number of other discussions going on with other possible tenants: two major companies that are discussing arrangements with the College of Engineering, a local software company, possible industrial partners in the computer animation area from the College of Arts, and some other University spin-offs. This University is very entrepreneurial, it surprised me. The level of entrepreneurial activity here is really picking up.

On the financing front, the corporation's operations are financed jointly by the University, the City of Columbus, and the State of Ohio. We have these arrangements all in place: we're receiving \$300,000 a year from the University and \$100,000 a year each from the City of Columbus through the Development office and through the State of Ohio through the Ohio Department of Development.

On the capital side, we have \$7 million in construction financing available from the University. The projects that we have on the plate right now pretty well account for this by the time they're done. We also have \$4 million available in this biennium from the state through a capital authorization: \$2 million of that is dedicated to flow through to the BTC incubator expansion; and \$2 million will be used in conjunction with the other STC construction projects to augment the University money.

Lastly, we are also in discussion with a number of banks and bonding entities about the possibility of raising private capital for the Science and Technology Campus Corporation for construction activities. This is an obligation that we have under the development agreement and it is also a good idea. If we are successful at making these arrangements, that will allow us to very powerfully leverage the money that has been made available from the University and the various governmental entities.

PRESENTATION ON THE SCIENCE AND TECHNOLOGY CAMPUS (contd)

Dr. Smith: (contd)

We just completed our first-ever tenant survey. Ideally, we would have done this on day 1 so we had baseline data, but this data came in about the end of February.

This chart is a little bit tricky. There's a lot of information on here. In each entry you'll see a plain number and a bold number. The plain number refers to the response that we got from the entire University tenants that we have. The bold number takes out the non-profits and the University-related tenants and shows us what specifically is happening just with the business units. We have 26 total tenants, with 20 of them being businesses and a total head count of 238 employees, with 165 being business employees. This number is probably understated due to some of the error bar in the survey. We asked questions in such a way that we believe this number may be slightly understated.

OSU student employment: we currently have 74 students working in the complex. Thirty of these are employed by business. Total payroll is \$10 million, \$5.7 million of which is industrial payroll. Annual sales of the companies in the campus right now are about \$5 million; they have a market value of nearly \$39 million. Again, self-reported.

There were 169 business cooperative research projects reported. This number seems quite high to me. The survey was anonymously done, one tenant reported 120 cooperative projects, so we're going back and taking a look at this to make sure it's not the result of a survey error.

On the use of facilities and equipment, \$612,000 a year is being spent at the University by the business tenants. They were reporting \$1.1 million in sponsored research. Again, we probably need to go back and look again at the definition of this to see if it coincides with OSURF's definition of sponsored research. Licensing revenue, unfortunately, is zero. We have a way to go there. And \$77,000 was spent on seven faculty consultants by the businesses.

Tenant satisfaction level is high. You can see the numbers are high -- seven industrial people were completely satisfied and one industrial tenant was mostly dissatisfied. Even though the survey was anonymous, we're trying to find out who that is and correct the issue.

We have a high level of alumni employment. Among the businesses, 48 graduates are employed and 12 people are employed who attended Ohio State, but did not graduate. Again, the total complex number is 69 and 16.

These next two slide items are very remarkable. Nineteen of these organizations and 17 of the business organizations plan to expand within the next year. Ten of the business organizations plan to move. We want to make sure they move within the campus. We don't want these companies leaving the area and we especially don't want them to leave the state. That's a very important piece of information. It shows that there is a market demand for what we're doing.

I went through the development agreement and pulled out the specific performance measures and mapped how we compare. One of our goals is by the third year after the Science Village occupancy, we should have \$1 million in research money for OSURF. The business tenants are currently reporting \$1.1 million. Again, we need to make sure this is a correct number. But it looks like we have a lot more potential in funded research at the University than perhaps we originally thought. Licensing royalty -- we have a target of \$250,000 a year by the third year after occupancy of

PRESENTATION ON THE SCIENCE AND TECHNOLOGY CAMPUS (contd)

Dr. Smith: (contd)

the Science Village and we are currently at zero. So we have a long way to go on licensing.

We had a goal with respect to student employment of having 30 percent of the tenant employees being student employees either full- or part-time. I think in most cases it would be part-time. We are currently reporting 31 percent total and 18 percent by the business tenants. Probably these percentages are overstated because we think the total employment numbers are low because of the survey methodology. Again, we are reporting a large number of cooperative research activities. This number is so surprisingly high to me, it may in fact be real; we need to dig into it. But certainly there is a substantial level of activity among these companies.

What's happening in the future? We are going to be doing a comprehensive development plan for the entire site, including all of the vacant land, to figure out a time schedule for it. How much it is going to cost to do it, and what kind of space the current market conditions make us think we need to build? We want to look at additional renovated space. New construction is intrinsically more expensive than renovating construction. We have some old building space that we'd like to take a look at renovating and turning into moderately-priced business space in the campus complex. We also want to look at providing campus amenities. By that, I mean such things as conference centers, computer networking capabilities, things that tenants will find useful and that will be attractions to come into the complex.

We're also engaging in some capital access activities. I'm happy to know that the Board of Trustees has formed a Committee on Capital Formation for Emerging Businesses to help us address issues of raising investment capital for companies in the area and also raising construction capital for our future building projects going forward.

That concludes my report. I'd be very happy to take questions.

Mr. Celeste:

Does anyone have any questions for Ora? If not, Ora, thank you very much, we really appreciate it. Everyone is aware of the dedication at 12:15 p.m. today, following the Board meeting.

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CONSENT AGENDA

President Kirwan:

We have 14 resolutions on the consent agenda to present to the Board for approval today. I would like to ask that items #11 and #12 be held for a separate vote. Unless there are any objections, I would like to recommend these remaining 12 resolutions on the consent agenda:

**APPOINTMENT OF AN AD HOC COMMITTEE
ON CAPITAL FORMATION FOR EMERGING BUSINESSES**

Resolution No. 99-114

WHEREAS the Board of Trustees hereby appoints an ad hoc committee of the Board, to be known as the Committee on Capital Formation for Emerging Businesses, to advise the Science and Technology Campus Board of Directors and officials of The Ohio State University with regard to policies involving the formation of capital for emerging businesses in Ohio; and

WHEREAS this ad hoc committee would also provide recommendations and advice on strategies and initiatives for financing ongoing construction projects:

NOW THEREFORE

BE IT RESOLVED, That the following members of the Board of Trustees be appointed as members of an ad hoc Committee on Capital Formation for Emerging Businesses, effective immediately:

George A. Skestos
Zuheir Sofia
Daniel M. Slane

THE OHIO STATE UNIVERSITY - MARION BOARD REAPPOINTMENTS

Resolution No. 99-115

Synopsis: Approval of nominees to The Ohio State University-Marion Board is proposed.

WHEREAS the Board of Trustees on April 8, 1994, approved the establishment of The Ohio State University-Marion Board; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for reappointment to the Marion Regional Campus Board for the term as specified:

Marion Board Reappointments

David F. Bacon, 3-year term
Ronald E. Laipply, 3-year term
Elaine Merchant, 3-year term

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be reappointed as members of The Ohio State University-Marion Board, effective July 1, 1999.

RENAMING OF PARK HOSPITAL

Resolution No. 99-116

Synopsis: Approval of renaming the Park Medical Center to The Ohio State University Hospitals East is proposed.

WHEREAS The Ohio State University has acquired Park Medical Center, which acquisition was effective on April 8, 1999; and

WHEREAS this resolution was endorsed by the Executive Committee of the Hospitals Board on March 18, 1999, and The Ohio State University Hospitals Board on April 7, 1999:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the renaming of Park Medical Center to The Ohio State University Hospitals East effective April 8, 1999.

**ESTABLISHMENT OF BYLAWS OF THE MEDICAL STAFF OF
THE OHIO STATE UNIVERSITY HOSPITALS EAST**

Resolution No. 99-117

Synopsis: Adoption of Bylaws of the Medical Staff of The Ohio State University Hospitals East is proposed.

WHEREAS this Board established The Ohio State University Hospitals on September 13, 1963; and

WHEREAS a University Hospitals Board was created on November 30, 1979 by this Board by amendment of rule 3335-1-03 of the Administrative Code; and

WHEREAS Chapter 3335-101-05 of The Ohio State University Hospitals Board Bylaws provides that the Board of The Ohio State University Hospitals shall cause to be created a medical staff which shall perform the functions provided for in Chapter 3335-101-03 of The Ohio State University Hospitals Board; and

WHEREAS The Ohio State University has acquired Park Medical Center (to be known as The Ohio State University Hospitals East, "OSUH East"), which acquisition was effective on April 8, 1999; and

WHEREAS the medical staff of The Ohio State University Hospitals East shall also be delegated certain duties and responsibilities relating to quality of patient services at The Ohio State University Hospitals East, pursuant to Chapter 3335-101-03 of the Bylaws of The Ohio State University Hospitals Board;

WHEREAS The Ohio State University Hospitals Board, acting as the governing body of The Ohio State University Hospitals East, desires to approve and authorize the actions necessary for the formation, organization and operation of a medical staff for The Ohio State University Hospitals East:

NOW THEREFORE

BE IT RESOLVED, That The Ohio State University Hospitals Board, acting as the governing body of Park Medical Center, hereby grants temporary medical staff privileges to all of Park Medical Center's medical staff members existing as of April 8, 1999, the date of the acquisition; and

**ESTABLISHMENT OF BYLAWS OF THE MEDICAL STAFF OF
THE OHIO STATE UNIVERSITY HOSPITALS EAST (contd)**

BE IT FURTHER RESOLVED, That in order to review medical staff membership and clinical privileges, all applications for medical staff privileges must be submitted to the Medical Director of The Ohio State University Hospitals East no later than 90 days after April 8, 1999. The temporary privileges granted pursuant to the foregoing resolutions of any medical staff appointee who does not submit an application by that date will automatically expire and will not be entitled to any of the procedural rights contained in the medical staff bylaws of either The Ohio State University Hospitals or The Ohio State University Hospitals East; and

BE IT FURTHER RESOLVED, That the Board of The Ohio State University Hospitals, acting as the governing body of The Ohio State University Hospitals East, hereby approves and authorizes the organization and functioning of an interim executive committee of the proposed medical staff to assist in the formation and organization of The Ohio State University Hospitals East medical staff, which interim executive committee shall have the following members:

- Chief of Clinical Services for Musculoskeletal Diseases at The Ohio State University Hospitals East
- Chief of Clinical Services for Family Medicine at The Ohio State University Hospitals East
- Chief of Clinical Services for Emergency Medicine at The Ohio State University Hospitals East
- Chief of Clinical Services for Surgery at The Ohio State University Hospitals East
- Chief of Clinical Services for Internal Medicine at The Ohio State University Hospitals East
- Medical Director of Talbot Hall at The Ohio State University Hospitals East
- A physician representing Ancillary Services (Radiology, Pathology, Anesthesia) of The Ohio State University Hospitals East
- President of the Medical Staff of The Ohio State University Hospitals East
- Vice President-Elect of the Medical Staff of The Ohio State University Hospitals East
- Medical Director of The Ohio State University Hospitals East
- Dean of the College of Medicine and Public Health of The Ohio State University or the Dean's designee
- Medical Director of The Ohio State University Hospitals
- Executive Director of The Ohio State University Hospitals
- A community physician practicing at The Ohio State University Hospitals East

BE IT FURTHER RESOLVED, That the interim executive committee shall have the following duties and responsibilities:

1. The committee shall, with the advice of the Medical Director of The Ohio State University Hospitals East, develop a form of application for membership on the medical staff of The Ohio State University Hospitals East and shall provide application forms to interested physicians in the central Ohio area.
2. Receive completed application forms from interested physicians, obtain references and other information with respect to physicians who apply for membership on the medical staff of The Ohio State University Hospitals East.
3. Review and consider such applications and the information relating thereto.
4. Make written recommendations to The Ohio State University Hospitals East Board concerning the physicians who should be recommended for appointment to the medical staff of The Ohio State University Hospitals East and the clinical privileges to be delineated for each such physician, which appointments and privileges shall be subject to the approval of The Ohio State University Hospitals East Board.

**ESTABLISHMENT OF BYLAWS OF THE MEDICAL STAFF OF
THE OHIO STATE UNIVERSITY HOSPITALS EAST (contd)**

5. After consultation with the Medical Director of The Ohio State University Hospitals East, review, comment on and approve proposed bylaws, rules and regulations for the medical staff of The Ohio State University Hospitals East, subject to the final approval of the Board of The Ohio State University Hospitals and the Board of Trustees of The Ohio State University.

BE IT FURTHER RESOLVED, That throughout the development and implementation of the medical staff and medical staff bylaws of The Ohio State University Hospitals East, The Ohio State University Hospitals Board and the interim executive committee shall perpetuate utilization management, quality management, and credentialing standards and procedures that are consistent with those currently in place at The Ohio State University Hospitals; and

BE IT FURTHER RESOLVED, That the Bylaws of the Medical Staff of The Ohio State University Hospitals East be adopted effective this date as the administrative rules governing the practice of medicine at The Ohio State University Hospitals East; and

BE IT FURTHER RESOLVED, That the bylaws be forwarded to the appropriate state agencies for inclusion as a part of the Administrative Code.

Bylaws of the Medical Staff of The Ohio State University Hospitals East

CHAPTER 3335-45

3335-45-01 NAME.

THE NAME OF THIS ORGANIZATION OF PRACTITIONERS IS THE "MEDICAL STAFF OF THE OHIO STATE UNIVERSITY HOSPITALS EAST."

3335-45-02 PURPOSE.

THE PURPOSE OF THE MEDICAL STAFF OF THE OHIO STATE UNIVERSITY HOSPITALS EAST SHALL BE:

- (A) TO STRIVE TO MAINTAIN PROFESSIONAL STANDARDS OF PATIENT CARE FOR ALL PATIENTS ADMITTED TO THE HOSPITAL, CONSISTENT WITH AN ACTIVE TEACHING ENVIRONMENT, REALIZING THAT THE CARE AND TREATMENT OF THE INDIVIDUAL PATIENT IS THE MEDICAL RESPONSIBILITY OF THE MEMBER OF THE MEDICAL STAFF TO WHOSE CARE THE PATIENT IS ADMITTED OR TRANSFERRED.
- (B) TO SUPPORT EDUCATIONAL AND RESEARCH PROGRAMS; ELEVATE AND ADVANCE THE EDUCATIONAL STANDARDS, INCLUDING, BUT NOT LIMITED TO, PRE- AND POST-M.D. STUDENTS, NURSE STUDENTS, GRADUATE NURSE STUDENTS, STUDENTS OF THE ALLIED MEDICAL PROFESSIONS, AND STUDENTS OF OTHER HEALTH PROFESSIONAL COLLEGES; AND PROVIDE RESEARCH PROGRAMS TO ENHANCE AND ADVANCE THE EDUCATIONAL AND PATIENT-CARE PROGRAMS.
- (C) TO PROVIDE A MEANS WHEREBY MEDICAL PROBLEMS MAY BE REVIEWED; POLICIES AND PROCEDURES DISCUSSED; AND TO PROVIDE A MEANS FOR ESTABLISHING AND MAINTAINING STANDARDS OF PROFESSIONAL, MEDICAL AND EDUCATIONAL PERFORMANCE, ORGANIZATION, AND DISCIPLINE WITHIN THE MEDICAL STAFF AND HARMONIOUS COOPERATION AND UNDERSTANDING AMONG THE UNITS COMPRISING THE HOSPITAL.
- (D) TO PROVIDE SERVICE, EDUCATION AND RESEARCH PROGRAMS TO BENEFIT THE MENTAL, PHYSICAL, AND ENVIRONMENTAL HEALTH OF THE CITIZENS OF THE STATE OF OHIO; DEDICATE ITSELF TO BE RESPONSIVE TO THE NEEDS OF ITS

PATIENTS AND TO COMMUNICATE EFFECTIVELY CONCERNING MATTERS OF PATIENT CARE; AND ENCOURAGE DISSEMINATION OF MEDICAL KNOWLEDGE TO HEALTH PROFESSIONALS AND THE PUBLIC, AND CONDUCT RESEARCH FOR THE PREVENTION AND TREATMENT OF DISEASE.

- (E) THERE SHALL BE ONLY ONE CATEGORY OR CLASSIFICATION OF PATIENTS IN THE HOSPITALS, AND THOSE PATIENTS ARE THE PRIVATE PATIENTS OF THE PRACTITIONER UNDER WHOSE CARE AND MEDICAL RESPONSIBILITY THEY ARE ADMITTED. PATIENTS ADMITTED TO THE HOSPITAL WHO, AT THE TIME OF ADMISSION, HAVE NOT REQUESTED OR SELECTED A MEMBER OF THE MEDICAL STAFF TO ATTEND THEM SHALL BE ASSIGNED FOR THEIR CARE AND TREATMENT BY THE CHIEF OF THE APPROPRIATE CLINICAL DIVISION OR DEPARTMENT OR THEIR DESIGNEES TO A MEMBER OF THE ACTIVE MEDICAL STAFF. ALL PATIENTS ADMITTED TO THE HOSPITAL SHOULD COOPERATE AND BE AN INTEGRAL PART OF THE TEACHING PROGRAM OF THE COLLEGE OF MEDICINE AND PUBLIC HEALTH. SHOULD A PATIENT, OR ON THE BEHALF OF A PATIENT, THE PATIENT'S NEXT OF KIN, OR GUARDIAN, REFUSE TO PARTICIPATE OR COOPERATE IN THE TEACHING PROGRAMS OF THE HOSPITAL OR THE COLLEGE OF MEDICINE AND PUBLIC HEALTH, THE MEDICAL STAFF RESPONSIBLE FOR THE CARE AND TREATMENT OF THE PATIENT WILL ENCOURAGE PARTICIPATION IN THE TEACHING PROGRAMS. STUDENTS, INCLUDING PRE- AND POST-M.D., BUT NOT LIMITED THERETO, ARE UNDER THE DIRECTION AND CONTROL OF THE MEMBERS OF THE MEDICAL STAFF TO WHOM THE PATIENT IS ASSIGNED UPON ADMISSION TO OR TRANSFER WITHIN THE HOSPITALS' SERVICES.

3335-45-03

MEMBERSHIP.

- (A) NATURE OF MEDICAL STAFF MEMBERSHIP.
- (1) MEMBERSHIP ON THE MEDICAL STAFF IS EXTENDED ONLY TO PROFESSIONALLY COMPETENT PRACTITIONERS WHO CONTINUOUSLY MEET THE QUALIFICATIONS, STANDARDS AND REQUIREMENTS REQUIRED BY OHIO LAW, THE OHIO STATE MEDICAL BOARD, THE OHIO STATE DENTAL BOARD, THE OHIO STATE PSYCHOLOGICAL BOARD, THE MEDICAL STAFF BYLAWS OF THE OHIO STATE UNIVERSITY HOSPITALS EAST, THE BYLAWS AND POLICIES OF THE OHIO STATE UNIVERSITY HOSPITALS AND THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY.
- (2) MEMBERSHIP ON THE MEDICAL STAFF MAY BE REVOKED AT ANY TIME BY THE BOARD AS PROVIDED FOR BY THE MECHANISMS SET FORTH IN THESE RULES AND THE GOVERNING DOCUMENTS.
- (B) QUALIFICATIONS FOR MEMBERSHIP.
- (1) ONLY PRACTITIONERS LICENSED TO PRACTICE IN THE STATE OF OHIO WHO CAN DOCUMENT THE FOLLOWING SHALL BE QUALIFIED FOR MEDICAL STAFF MEMBERSHIP:
- (a) EDUCATION AND TRAINING, PROFESSIONAL BACKGROUND AND EXPERIENCE, AND PROFESSIONAL COMPETENCE;
- (b) ADHERENCE TO THE ETHICS OF THE PRACTITIONER'S PROFESSION AS DEFINED BY APPROPRIATE PROFESSIONAL SOCIETIES;
- (c) GOOD PERSONAL AND PROFESSIONAL REPUTATION AS ESTABLISHED BY APPROPRIATE REFERENCES;
- (d) SATISFACTORY HEALTH STATUS; AND

(e) PROFESSIONAL MALPRACTICE INSURANCE COVERAGE.

ADEQUATE DOCUMENTATION MUST BE PRESENTED TO ASSURE THE MEDICAL STAFF AND THE BOARD THAT ANY PATIENT TREATED BY THE PRACTITIONER IN THE HOSPITAL WILL BE GIVEN MEDICAL CARE ACCORDING TO PROFESSIONAL STANDARDS, AND THAT THE EFFICIENT OPERATION OF THE HOSPITAL WILL NOT BE INTERFERED WITH OR COMPROMISED BY THE PRACTITIONER'S CARE OF PATIENTS WITHIN THE HOSPITAL.

- (2) NO PRACTITIONER WILL BE DENIED MEDICAL STAFF MEMBERSHIP OR CLINICAL PRIVILEGES ON THE BASIS OF RACE, COLOR, RELIGION, SEX NATIONAL ORIGIN, OR, UNLESS DEMONSTRABLE GROUND EXIST, ON THE BASIS OF AGE OR HANDICAP.

(C) CONDITIONS OF ACCEPTANCE.

BY ACCEPTING MEMBERSHIP ON THE MEDICAL STAFF A PRACTITIONER AGREES TO THE FOLLOWING TERMS AND CONDITIONS:

- (1) THE PRACTITIONER SHALL PROVIDE PATIENT CARE IN THE HOSPITAL IN ACCORDANCE WITH THE CODE AND PRINCIPLES OF MEDICAL ETHICS OF THE AMERICAN MEDICAL ASSOCIATION, THE AMERICAN OSTEOPATHIC ASSOCIATION, THE AMERICAN PODIATRIC ASSOCIATION, THE AMERICAN DENTAL ASSOCIATION, OR THE AMERICAN PSYCHOLOGICAL ASSOCIATION, WHICHEVER IS APPLICABLE.
- (2) THE PRACTITIONER HAS READ THESE MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS AND AGREES TO ABIDE BY SUCH MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS, AND ANY AMENDMENTS THERETO THAT SHALL BE ADOPTED BY THE MEDICAL STAFF AND APPROVED BY THE BOARD IN CONFORMANCE WITH THE PROCEDURES SET FORTH IN THESE MEDICAL STAFF BYLAWS OR RULES AND REGULATIONS. FURTHER, THE PRACTITIONER SHALL ABIDE BY ANY APPLICABLE HOSPITAL POLICIES IN EFFECT AT THE TIME OF APPOINTMENT, REAPPOINTMENT, OR AS MAY BE IMPLEMENTED DURING THE COURSE OF THE MEDICAL STAFF YEAR.
- (3) EACH MEMBER OF THE LIMITED STAFF SHALL POSSESS A VALID TRAINING CERTIFICATE AND SHALL APPLY FOR AN UNRESTRICTED LICENSE IN THE STATE OF OHIO PURSUANT TO THE MEDICAL STAFF BYLAWS.
- (4) THE PRACTITIONER MUST DEMONSTRATE ADHERENCE AND DEDICATION TO THE EDUCATIONAL AND RESEARCH GOALS OF THE OHIO STATE UNIVERSITY.
- (5) THE PRACTITIONER GRANTS FULL IMMUNITY FROM LIABILITY UNDER THE PROVISIONS OF RULE 3335-45-16 OF THE ADMINISTRATIVE CODE.
- (6) THE PRACTITIONER SHALL HAVE A SUBSTITUTE PRACTITIONER WHO IS A MEMBER IN GOOD STANDING OF THE MEDICAL STAFF AVAILABLE TO CARE FOR THE PRACTITIONER'S PATIENTS IN THE PRACTITIONER'S ABSENCE.
- (7) THE PRACTITIONER SHALL NOT REBATE A PORTION OF A FEE, OR ACCEPT INDUCEMENTS IN EXCHANGE FOR A PATIENT REFERRAL.
- (8) THE PRACTITIONER SHALL NOT DECEIVE A PATIENT AS TO THE IDENTITY OF AN OPERATING SURGEON OR ANY OTHER PRACTITIONER PROVIDING TREATMENT OR SERVICE TO THE PATIENT.

- (9) THE PRACTITIONER SHALL NOT DELEGATE THE RESPONSIBILITY FOR THE DIAGNOSIS OR CARE OF ANY PATIENT WHILE IN THE HOSPITAL TO ANY PRACTITIONER OR OTHER PERSON WHO IS NOT LICENSED OR PROFESSIONALLY QUALIFIED TO UNDERTAKE THIS RESPONSIBILITY.
 - (10) THE PRACTITIONER SHALL CONTINUE TO MAINTAIN PROFESSIONAL MALPRACTICE INSURANCE OF AT LEAST THE AMOUNT AND THE TYPE SPECIFIED BY THE BOARD.
 - (11) THE PRACTITIONER IS SUBJECT TO REVIEW AS PART OF THE HOSPITAL'S QUALITY IMPROVEMENT PROGRAM AND UTILIZATION REVIEW PROGRAM.
 - (12) THE PRACTITIONER SHALL COMPLY WITH ALL APPLICABLE HOSPITAL POLICIES INCLUDING, BUT NOT LIMITED TO, POLICIES ON EMPLOYEE AND MEDICAL STAFF HEALTH AND SAFETY; UNCOMPENSATED CARE; CONFLICT OF INTEREST; ACCESS AND COMMUNICATION; THE PROVISIONS OF THE OHIO STATE UNIVERSITY MEDICAL CENTER INTEGRITY PROGRAM, AND ANY ADMINISTRATIVE DIRECTIVE OR POLICY ADOPTED TO AVOID DISRUPTING OPERATIONS OF THE HOSPITAL WHICH ADVERSELY IMPACT OVERALL PATIENT CARE OR WHICH ADVERSELY IMPACT THE ABILITY OF HOSPITAL EMPLOYEES OR STAFF TO EFFECTIVELY AND EFFICIENTLY FULFILL THEIR RESPONSIBILITIES.
 - (13) THE PRACTITIONER WILL RESPOND IN WRITING TO REQUESTS WITHIN THE REASONABLE TIME LIMITS IMPOSED BY MEDICAL STAFF COMMITTEES OR EXTERNAL REVIEW BODIES WHEN SO REQUESTED IN A PROFESSIONAL MANNER.
 - (14) THE PRACTITIONER WILL NOTIFY THE MEDICAL DIRECTOR IN WRITING OF ANY ILLNESS OR LIMITATIONS THAT COULD ADVERSELY IMPACT THE DELIVERY OF PATIENT CARE.
- (D) SPECIAL CONDITIONS FOR MEDICAL STAFF MEMBERSHIP.
- (1) CONSISTENT WITH THE PURPOSES SET FORTH IN RULE 3335-45-02 OF THE ADMINISTRATIVE CODE, THE BOARD MAY ADOPT SPECIAL REQUIREMENTS OR LIMITATIONS, INCLUDING BUT NOT LIMITED TO A FACULTY APPOINTMENT AS A CONDITION FOR MEDICAL STAFF MEMBERSHIP IN PARTICULAR CLINICAL DIVISIONS OR CLINICAL DEPARTMENTS OF THE HOSPITAL.

IF FACULTY MEMBERSHIP IS A CONDITION OF CONTINUED MEDICAL STAFF MEMBERSHIP, THE LOSS OR NON-RENEWAL OF SUCH FACULTY APPOINTMENT WILL RESULT IN AN AUTOMATIC AND IMMEDIATE TERMINATION OF MEMBERSHIP AND CLINICAL PRIVILEGES PURSUANT TO PARAGRAPH (E) OF RULE 3335-45-07 OF THE ADMINISTRATIVE CODE.
 - (2) IN THE INTERESTS OF BALANCED TEACHING AND PATIENT CARE, THE CHIEF OF THE CLINICAL DEPARTMENT MAY, FOLLOWING CONSULTATION WITH THE DEAN OF THE APPLICABLE COLLEGE, THE CHIEF EXECUTIVE OFFICER, THE MEDICAL DIRECTOR, AND WITH THE CONCURRENCE OF THE EXECUTIVE COMMITTEE, RESTRICT ADMISSIONS. IMPOSITION OF SUCH RESTRICTION SHALL NOT GIVE RISE TO ANY RIGHT OF APPEAL OR GRIEVANCE PERMITTED BY THESE RULES.
 - (3) THE BOARD MAY AUTHORIZE CONTRACTS OR CLINICAL PRIVILEGES TO A PRACTITIONER OR GROUP OF PRACTITIONERS TO PERMIT THEM TO PROVIDE EXCLUSIVE PROFESSIONAL OR MEDICAL SERVICES AT THE HOSPITAL.

3335-45-04 APPOINTMENT AND REAPPOINTMENT.

(A) INITIAL APPLICATION.

- (1) A PRACTITIONER MAKING INITIAL APPLICATION FOR MEDICAL STAFF MEMBERSHIP SHALL SUBMIT A WRITTEN AND SIGNED APPLICATION, ON A FORM PRESCRIBED BY THE MEDICAL DIRECTOR, TO THE MEDICAL DIRECTOR. ACTION ON THE APPLICATION IS WITHHELD UNTIL THE INFORMATION IS VERIFIED AND THE APPLICANT SATISFIES REQUIREMENTS OF RULE 3335-45-03 OF THE ADMINISTRATIVE CODE.
- (2) THE APPLICATION PROCESS IS DESCRIBED TO EACH APPLICANT.
- (3) A SEPARATE RECORD IS MAINTAINED FOR EACH PRACTITIONER.
- (4) A COMPLETED APPLICATION SHALL INCLUDE, AND THE APPLICANT SHALL HAVE THE BURDEN TO PROVIDE, AT A MINIMUM, THE FOLLOWING INFORMATION:
 - (a) THE APPLICANT'S PROFESSIONAL EDUCATION AND TRAINING;
 - (b) THE APPLICANT'S PROFESSIONAL EXPERIENCE;
 - (c) THE APPLICANT SHALL AT THE TIME OF APPOINTMENT BE BOARD CERTIFIED IN A MEDICAL SPECIALTY APPROVED BY THE AMERICAN MEDICAL ASSOCIATION AND AMERICAN BOARD OF MEDICAL SPECIALTIES, OR OTHER SIMILARLY APPLICABLE CERTIFYING BOARD FOR DOCTORS OF OSTEOPATHY, OR PRACTITIONERS OF PODIATRY, PSYCHOLOGY, OR DENTISTRY. AN APPLICANT WHO IS AN ACTIVE BOARD CANDIDATE AT THE TIME OF INITIAL APPOINTMENT, SHALL HAVE THREE YEARS FROM THE DATE ELIGIBILITY WAS FIRST ATTAINED TO BECOME BOARD CERTIFIED. BOARD CERTIFICATION IS A CONTINUING REQUIREMENT. WHENEVER RECERTIFICATION IS REQUIRED BY AN APPROVED SUBSPECIALTY BOARD, APPLICANTS SHALL MEET THE TERMS OF RECERTIFICATION. FAILURE TO MEET OR MAINTAIN BOARD CERTIFICATION OR RECERTIFICATION SHALL RESULT IN IMMEDIATE TERMINATION FROM THE MEDICAL STAFF. THIS REQUIREMENT MAY BE WAIVED BY THE BOARD AT THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE, UPON RECOMMENDATION OF THE CREDENTIALS COMMITTEE OR THE MEDICAL DIRECTOR;
 - (d) A COPY OF THE PRACTITIONER'S CURRENT OHIO LICENSE;
 - (e) LETTERS OF REFERENCE FROM AT LEAST TWO REPUTABLE PRACTITIONERS WHO ARE KNOWLEDGEABLE ABOUT THE APPLICANT'S CURRENT PROFESSIONAL COMPETENCE, ETHICAL CHARACTER AND PROFESSIONAL JUDGMENT;
 - (f) A LETTER OF REFERENCE FROM THE CHIEF OF THE CLINICAL SERVICE OF A HOSPITAL WHERE THE PRACTITIONER HAS, OR HAS HAD CURRENT CLINICAL PRIVILEGES;
 - (g) A SIGNED STATEMENT TO ABIDE BY THE MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS OF THE MEDICAL STAFF AND OF THE BOARD, AND THE BYLAWS OF THE OHIO STATE UNIVERSITY HOSPITALS AND THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY;

- (h) A CONSENT TO BE INTERVIEWED IN REGARD TO THE APPLICATION; A CONSENT TO AUTHORIZE THE MEDICAL DIRECTOR OR DESIGNEE TO CONSULT WITH OTHER HOSPITALS, PRACTITIONERS, INDIVIDUALS, OR ORGANIZATIONS WITH WHICH THE APPLICANT HAS BEEN OR HAS ATTEMPTED TO BE ASSOCIATED, AND TO OBTAIN INFORMATION ON THE APPLICANT'S COMPETENCE, CHARACTER, ETHICS, OR QUALIFICATIONS; AND A CONSENT TO PERMIT INSPECTION OF ALL RECORDS AND DOCUMENTS THAT MAY BE MATERIAL TO THE EVALUATION OF THE APPLICANT'S PROFESSIONAL QUALIFICATIONS;
- (i) AGREEMENT TO DISCLOSE ANY INSTANCE IN WHICH THE PRACTITIONER HAS AT ANY TIME EXPERIENCED THE RESTRICTION, SUSPENSION, REVOCATION, FINE OR CENSURE, DENIAL, LIMITATION, VOLUNTARY OR INVOLUNTARY RELINQUISHMENT OF ANY OF THE FOLLOWING: PROFESSIONAL LICENSURE, BOARD CERTIFICATION OR RECERTIFICATION, DEA REGISTRATION, MEMBERSHIP IN ANY PROFESSIONAL ORGANIZATION, MEDICAL STAFF MEMBERSHIP OR PRIVILEGES, MEMBERSHIP OR PRIVILEGES AT ANY OTHER HEALTH CARE FACILITY, AND MEMBERSHIP OR PROVIDER STATUS IN ANY STATE OR FEDERAL HEALTH PLAN;
- (j) AN AGREEMENT TO DISCLOSE WITHIN THIRTY DAYS TO THE MEDICAL DIRECTOR THE INITIATION OF ANY PROCESS WHICH COULD LEAD TO A CONDITION LISTED IN PARAGRAPH (A)(4)(i) OF THIS RULE;
- (k) ANY LEGAL ACTION BASED UPON AN ALLEGATION OF MEDICAL MALPRACTICE THAT IS CURRENTLY PENDING AGAINST THE APPLICANT, INCLUDING THE STATUS OF SUCH LEGAL ACTION; AND ANY INSTANCE WITHIN FIVE YEARS PRIOR TO THE DATE OF APPLICATION IN WHICH THE APPLICANT, OR THE APPLICANT'S INSURER, HAS PAID MONEY PURSUANT TO A COURT JUDGMENT OR AN OUT-OF-COURT SETTLEMENT IN A LEGAL ACTION BASED UPON AN ALLEGATION OF MEDICAL MALPRACTICE;
- (l) A SPECIFIC REQUEST FOR PARTICULAR STAFF ASSIGNMENTS AND DELINEATED CLINICAL PRIVILEGES;
- (m) EACH MEMBER OF THE MEDICAL STAFF WHO PROVIDES CARE TO PATIENTS, MUST, AS A CONDITION PRECEDENT TO BEING APPOINTED AND CONTINUING AN APPOINTMENT TO THE MEDICAL STAFF, PROVIDE EVIDENCE OF FINANCIAL ABILITY TO RESPOND TO PATIENT CLAIMS BY MEANS OF PROFESSIONAL LIABILITY INSURANCE OF AT LEAST THE MINIMUM LIMITS ESTABLISHED BY THE BOARD;
- (n) THE APPLICANT'S AGREEMENT TO OBSERVE ALL OF THE ETHICAL PRINCIPLES OF HIS OR HER PROFESSION AS DEFINED BY THE APPROPRIATED PROFESSIONAL SOCIETIES;
- (o) IF THE APPLICANT HAS A PHYSICAL OR MENTAL HEALTH CONDITION OR DISABILITY, INCLUDING ALCOHOL OR DRUG USE, ABUSE OR DEPENDENCY, THAT AFFECTS OR THAT IS EXPECTED TO PROGRESS WITHIN THE NEXT TWO YEAR PERIOD TO THE POINT OF AFFECTING THE APPLICANT'S ABILITY TO RENDER PATIENT CARE OR TO PERFORM PROFESSIONAL OR MEDICAL STAFF DUTIES OR ANY OF THE SPECIFIC CLINICAL PRIVILEGES REQUESTED;

- (p) CONSENT TO AUTHORIZE ANY APPROPRIATE HEALTH ASSESSMENT, INCLUDING BUT NOT LIMITED TO, DRUG OR ALCOHOL SCREENS ON THE PRACTITIONER AT ANY TIME DURING NORMAL PURSUIT OF MEDICAL STAFF DUTIES, BASED UPON REASONABLE CAUSE AS DETERMINED BY THE CHIEF OF THE PRACTITIONER'S CLINICAL DEPARTMENT OR THE MEDICAL DIRECTOR OR THEIR DESIGNEE. THE PURPOSE OF THIS ASSESSMENT SHALL BE TO ENSURE THAT THE PRACTITIONER IS ABLE TO FULLY PERFORM AND DISCHARGE THE CLINICAL, EDUCATIONAL, ADMINISTRATIVE, AND/OR RESEARCH RESPONSIBILITIES WHICH THE PRACTITIONER IS PERMITTED TO EXERCISE BY REASON OF MEDICAL STAFF MEMBERSHIP. AT THE TIME OF THE INITIAL REQUEST FOR HEALTH ASSESSMENT, AND AT ANY TIME THE PRACTITIONER REFUSES TO PARTICIPATE AS NEEDED IN A HEALTH ASSESSMENT, INCLUDING BUT NOT LIMITED TO, A DRUG OR ALCOHOL SCREENING, THE CHIEF OF THE PRACTITIONER'S CLINICAL DEPARTMENT OR THE MEDICAL DIRECTOR MAY IMPOSE A SUMMARY SUSPENSION OF THE PRACTITIONER'S PRIVILEGES;
 - (q) EVIDENCE OF REQUIRED IMMUNIZATIONS;
 - (r) SATISFACTION OF ECFMG REQUIREMENTS, IF APPLICABLE;
 - (s) VERIFICATION BY PRIMARY SOURCE DOCUMENTATION OF:
 - (i) STATE LICENSURE.
 - (ii) FACULTY APPOINTMENT, IF APPLICABLE.
 - (iii) DEA REGISTRATION.
 - (iv) GRADUATION FROM AN ACCREDITED MEDICAL SCHOOL OR OSTEOPATHIC SCHOOL, OR AN ACCREDITED PROGRAM OF DENTISTRY, PODIATRY OR PSYCHOLOGY.
 - (v) SUCCESSFUL COMPLETION OR RECORD OF POST M.D. GRADUATE MEDICAL EDUCATION, AND/OR OTHER POSTGRADUATE EDUCATION.
 - (vi) BOARD CERTIFICATION, RECERTIFICATION, OR ACTIVE CANDIDACY FOR CERTIFICATION.
 - (t) THE APPLICANT'S AGREEMENT TO PROVIDE FOR CONTINUOUS CARE OF ALL OF HIS OR HER PATIENTS WHILE THEY ARE UNDERGOING MEDICAL TREATMENT AT THE HOSPITAL;
 - (u) THE APPLICANT'S ACKNOWLEDGMENT OF THE IMMUNITY FROM LIABILITY PROVISIONS OF RULE 3335-45-16 OF THE ADMINISTRATIVE CODE; AND
 - (v) A RECENT PHOTOGRAPH OF THE APPLICANT.
- (B) ACTION ON INITIAL APPLICATION.
- (1) UPON RECEIPT OF A TIMELY, SIGNED APPLICATION FOR MEDICAL STAFF MEMBERSHIP AND CLINICAL PRIVILEGES, THE MEDICAL DIRECTOR OR DESIGNEE SHALL REVIEW AND VERIFY THE APPLICATION FOR COMPLETENESS. THE MEDICAL DIRECTOR OR DESIGNEE WILL ALSO CONTACT THE CLEARINGHOUSE TO DETERMINE WHETHER OR NOT ANY

MALPRACTICE CLAIMS OR ADVERSE ACTIONS HAVE EVER BEEN REPORTED AS TO THE APPLICANT. ANY INFORMATION OBTAINED FROM THE CLEARINGHOUSE SHALL BE DOCUMENTED IN THE RECORD OF THE APPLICANT. AN INCOMPLETE APPLICATION SHALL BE RETURNED TO THE APPLICANT FOR COMPLETION AND RESUBMISSION. UPON DETERMINING THAT AN APPLICATION IS COMPLETE, THE MEDICAL DIRECTOR OR DESIGNEE SHALL TRANSMIT THE COMPLETED APPLICATION TO THE CHIEF OF EACH CLINICAL DEPARTMENT IN WHICH THE APPLICANT SEEKS CLINICAL PRIVILEGES AND TO THE CREDENTIALS COMMITTEE.

- (2) UPON RECEIPT OF A COMPLETED APPLICATION, THE CHIEF OF EACH CLINICAL DEPARTMENT OR DESIGNEE SHALL REVIEW SUCH APPLICATION WITH THE MEMBERS OF THE CLINICAL DEPARTMENT AT THE NEXT REGULARLY SCHEDULED MEETING OF THE CLINICAL DEPARTMENT OR ANY SPECIAL MEETING OF THE CLINICAL DEPARTMENT CALLED FOR THE PURPOSE OF REVIEWING THE APPLICATION. AT SUCH MEETING THE CLINICAL DEPARTMENT MEMBERS SHALL MAKE A RECOMMENDATION TO THE CREDENTIALS COMMITTEE AS TO THE GRANTING OF THE CLINICAL PRIVILEGES REQUESTED, AND THE SCOPE OF THE PRIVILEGES TO BE GRANTED.
 - (a) ALL REVIEWED APPLICATIONS, AND ANY COMPLETED APPLICATIONS WHICH ARE NOT REVIEWED, OR FOR WHICH A RECOMMENDATION IS NOT MADE BY THE CLINICAL DEPARTMENT OR THE CHIEF OF THE CLINICAL DEPARTMENT ON A TIMELY BASIS (ON OR ABOUT SIXTY DAYS FROM THE RECEIPT OF THE COMPLETED APPLICATION), SHALL BE FORWARDED TO THE MEDICAL DIRECTOR FOR PRESENTATION TO THE CREDENTIALS COMMITTEE. THIS ACTION SHALL CONTINUE THE APPLICANT'S TEMPORARY PRIVILEGES, IF ANY, HOWEVER IT CREATES NO VESTED RIGHTS BEYOND THE DURATION OF THE APPOINTMENT PROCESSING PERIOD.
 - (b) TIME PERIODS FOR PROCESSING AN APPLICATION ARE:
 - (i) MEDICAL DIRECTOR VERIFICATION AND QUERY AND CLINICAL DEPARTMENTAL REVIEW - SIXTY DAYS.
 - (ii) CREDENTIALS COMMITTEE REVIEW AND RECOMMENDATION WITHIN THIRTY DAYS OR NINETY DAYS FROM SUBMISSION OF A COMPLETED APPLICATION, WHICHEVER IS LESS.
 - (iii) EXECUTIVE COMMITTEE REVIEW AND RECOMMENDATION WITHIN THIRTY DAYS OR ONE HUNDRED TWENTY DAYS FROM SUBMISSION OF A COMPLETED APPLICATION, WHICHEVER IS LESS.
 - (iv) BOARD REVIEW AND RECOMMENDATION WITHIN THIRTY DAYS OR ONE HUNDRED FIFTY DAYS FROM SUBMISSION OF A COMPLETED APPLICATION, WHICHEVER IS LESS.
- (3) UPON RECEIPT OF A COMPLETED APPLICATION, THE CREDENTIALS COMMITTEE SHALL PROCEED TO:
 - (a) REVIEW AND INVESTIGATE THE CHARACTER, QUALIFICATION AND PROFESSIONAL COMPETENCE OF THE APPLICANT;
 - (b) REQUEST A WRITTEN RECOMMENDATION FROM THE CHIEF OF EACH CLINICAL DEPARTMENT IN WHICH THE APPLICANT SHOULD

BE GRANTED THE CLINICAL PRIVILEGES REQUESTED, AND THE SCOPE OF THE PRIVILEGES TO BE GRANTED; AND

- (c) REQUEST A PERSONAL INTERVIEW WITH THE APPLICANT, IF DEEMED APPROPRIATE.
- (4) THE CREDENTIALS COMMITTEE SHALL MAKE A WRITTEN REPORT OF ITS REVIEW TO THE EXECUTIVE COMMITTEE. SUCH REPORT SHALL INCLUDE A RECOMMENDATION THAT THE APPLICANT BE:
 - (a) APPOINTED TO THE MEDICAL STAFF; OR
 - (b) THAT THE APPLICATION BE DEFERRED FOR FURTHER CONSIDERATION FOR A MAXIMUM OF AN ADDITIONAL NINETY DAYS; OR
 - (c) REJECTED FOR MEDICAL STAFF MEMBERSHIP.

IF APPROVED FOR APPOINTMENT, THE REPORT OF THE CREDENTIALS COMMITTEE SHALL INCLUDE DELINEATION OF THE APPLICANT'S CLINICAL PRIVILEGES.

IF THE APPLICATION IS DEFERRED OR REJECTED, THE REPORT OF THE CREDENTIALS COMMITTEE SHALL INCLUDE THE REASON FOR DEFERRAL OR REJECTION.

(C) EXECUTIVE COMMITTEE ACTION ON INITIAL APPOINTMENT.

- (1) THE EXECUTIVE COMMITTEE WILL CONSIDER THE REPORT OF THE CREDENTIALS COMMITTEE AND DETERMINE THE RECOMMENDATIONS TO BE MADE TO THE BOARD AT THE BOARD'S NEXT REGULARLY SCHEDULED MEETING. THE EXECUTIVE COMMITTEE'S RECOMMENDATION SHALL BE TRANSMITTED TO THE BOARD THROUGH THE MEDICAL DIRECTOR, AND IT SHALL BE THE RESPONSIBILITY OF THE MEDICAL DIRECTOR TO SEND ANY REQUIRED NOTICES TO THE APPLICANT.
- (2) IF THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE IS THAT THE APPLICANT SHOULD BE APPOINTED TO THE MEDICAL STAFF, THE EXECUTIVE COMMITTEE SHALL ALSO SPECIFICALLY RECOMMEND THE CLINICAL PRIVILEGES TO BE GRANTED, INCLUDING ANY LIMITATIONS TO BE IMPOSED UPON SUCH CLINICAL PRIVILEGES. THE EXECUTIVE COMMITTEE WILL ALSO RECOMMEND THE CLINICAL DEPARTMENT(S) AND THE STAFF CATEGORY TO WHICH THE APPLICANT WILL BE ASSIGNED.
- (3) IF THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE IS TO DEFER ACTION ON THE APPLICATION FOR FURTHER CONSIDERATION, THE EXECUTIVE COMMITTEE MUST SPECIFY THE SPECIFIC PROCEDURES THAT WILL BE PURSUED TO MAKE A SUBSEQUENT RECOMMENDATION ON THE APPLICANT'S ACCEPTANCE, REJECTION, OR LIMITATION OF PRIVILEGES.
- (4) IF THE EXECUTIVE COMMITTEE'S RECOMMENDATION IS THAT THE APPLICANT SHOULD BE REJECTED FOR MEDICAL STAFF MEMBERSHIP, OR THAT THE CLINICAL PRIVILEGES GRANTED TO THE APPLICANT SHOULD BE LESS THAN REQUESTED BY THE APPLICANT, THE MEDICAL DIRECTOR SHALL PROMPTLY NOTIFY THE APPLICANT BY CERTIFIED MAIL, RETURN RECEIPT REQUESTED, OF THE EXECUTIVE COMMITTEE'S RECOMMENDATION AND OF HIS OR HER APPEAL RIGHTS UNDER RULE 3335-45-08 OF THE ADMINISTRATIVE CODE. NO SUCH ADVERSE RECOMMENDATION SHALL BE TRANSMITTED TO THE BOARD UNTIL THE

APPLICANT HAS EXERCISED OR HAS BEEN DEEMED TO WAIVE HIS OR HER RIGHTS OF APPEAL PURSUANT TO RULE 3335-45-08 OF THE ADMINISTRATIVE CODE.

(D) ACTION OF THE BOARD ON INITIAL APPLICATION.

- (1) IF THE APPLICATION IN QUESTION IS NOT SUBJECT TO AN APPEAL PURSUANT TO RULE 3335-45-08 OF THE ADMINISTRATIVE CODE, AT THE NEXT REGULARLY SCHEDULED MEETING OF THE BOARD AFTER THE EXECUTIVE COMMITTEE HAS FORWARDED ITS RECOMMENDATION, THE BOARD SHALL ACT UPON THE APPLICATION. THE BOARD MAY EITHER ACCEPT THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE, OR REJECT OR MODIFY THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE. IF THE DECISION OF THE BOARD IS CONTRARY TO THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE, THE BOARD SHALL SUBMIT THE MATTER TO THE JOINT CONFERENCE COMMITTEE FOR ITS REVIEW AND RECOMMENDATION AND SHALL CONSIDER SUCH RECOMMENDATION BEFORE MAKING ITS FINAL DECISION.
- (2) IF THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE HAS BEEN APPEALED PURSUANT TO RULE 3335-45-08 OF THE ADMINISTRATIVE CODE, BUT THE APPLICANT HAS NOT REQUESTED APPELLATE REVIEW BY THE BOARD OF THE HEARING COMMITTEE'S DECISION (SEE PARAGRAPHS (I) AND (J) OF RULE 3335-45-08 OF THE ADMINISTRATIVE CODE), AT THE NEXT REGULARLY SCHEDULED MEETING OF THE BOARD AFTER THE HEARING COMMITTEE OR HEARING OFFICER HAS FORWARDED ITS DECISION, THE BOARD WILL CONSIDER THE HEARING COMMITTEE'S OR HEARING OFFICER'S DECISION AND THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE, AND ACT UPON THE APPLICATION. IF THE DECISION OF THE BOARD IS CONTRARY TO THE ORIGINAL RECOMMENDATION OF THE EXECUTIVE COMMITTEE AND IS ADVERSE TO THE PRACTITIONER, THE PRACTITIONER SHALL BE ENTITLED TO THE DUE PROCESS RIGHTS OF RULE 3335-45-08 OF THE ADMINISTRATIVE CODE.
- (3) IF THE RECOMMENDATION OF THE EXECUTIVE COMMITTEE HAS BEEN APPEALED PURSUANT TO RULE 3335-45-08 OF THE ADMINISTRATIVE CODE, AND THE APPLICANT HAS REQUESTED APPELLATE REVIEW OF THE HEARING COMMITTEE'S OR HEARING OFFICER'S DECISION BY THE BOARD, THE DECISION OF THE BOARD ON APPELLATE REVIEW PURSUANT TO RULE 3335-45-08 OF THE ADMINISTRATIVE CODE SHALL BE THE BOARD'S ACTION ON THE INITIAL APPLICATION.
- (4) WHEN THE BOARD DECISION IS FINAL, IT SHALL SEND NOTICE OF SUCH DECISION THROUGH THE MEDICAL DIRECTOR TO THE PROFESSIONAL AFFAIRS, RESEARCH AND EDUCATION COMMITTEE OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD FOR REVIEW AND RECOMMENDATION. THE BOARD SHALL ALSO FORWARD THE TRANSCRIPT OF ANY HEARING, AS WELL AS ANY OTHER DOCUMENTATION PROVIDED BY ANY PARTY REGARDING THE APPLICATION FOR MEDICAL STAFF MEMBERSHIP.
- (5) THE APPLICATION SHALL BE FORWARDED TOGETHER WITH A RECOMMENDATION BY THE PROFESSIONAL AFFAIRS, RESEARCH AND EDUCATION COMMITTEE FOR APPROVAL, MODIFICATION, OR REJECTION OF APPLICATION FOR PRIVILEGES BY THE OHIO STATE UNIVERSITY HOSPITALS BOARD AND IN LIKE FASHION BY THE OHIO STATE UNIVERSITY HOSPITALS BOARD TO THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY FOR FINAL ACTION.

(E) TERM OF APPOINTMENT.

- (1) THE BOARD WILL MAKE ALL APPOINTMENTS TO THE MEDICAL STAFF. APPOINTMENTS SHALL BE FOR TWO YEARS, PROVIDED THAT ALL INITIAL APPOINTMENTS SHALL BE IN NATURE WITH THE EXCEPTION OF THE PEER REVIEW MEDICAL STAFF. THEREAFTER, THE MEMBER WILL BE SUBJECT TO REAPPOINTMENT AS SET FORTH IN PARAGRAPH (F) OF THIS RULE.
- (2) NOTWITHSTANDING THE PROVISIONS OF THIS PARAGRAPH, THE CLINICAL PRIVILEGES AND MEDICAL STAFF MEMBERSHIP OF ANY PRACTITIONER MAY BE SUSPENDED OR REVOKED AT ANY TIME PURSUANT TO RULE 3335-45-07 OF THE ADMINISTRATIVE CODE, AND THE APPLICABLE PROVISIONS OF THE GOVERNING DOCUMENTS OF THE BOARD.

(F) REAPPRAISAL AND REAPPOINTMENT.

- (1) EACH MEMBER OF THE MEDICAL STAFF WILL BE REAPPOINTED, AT A MINIMUM, ON A BIENNIAL BASIS (EVERY TWO YEARS). THE CREDENTIALS COMMITTEE SHALL BEGIN TO CONDUCT ITS REVIEW ONE HUNDRED EIGHTY DAYS PRIOR TO THE END OF THE BIENNIAL PERIOD, AND CONSIDER ALL PERTINENT INFORMATION AVAILABLE ON EACH MEMBER WHOSE BIENNIAL TERM EXPIRES AT THE END OF THE MEDICAL STAFF YEAR FOR THE PURPOSE OF MAKING A RECOMMENDATION ON THE MEMBER'S REAPPOINTMENT TO THE MEDICAL STAFF AND FOR GRANTING OF CLINICAL PRIVILEGES DURING THE TERM OF SUCH REAPPOINTMENT. PROVISIONAL MEMBERS SHALL BE REVIEWED BY THE CREDENTIALS COMMITTEE AT THE EXPIRATION OF THEIR INITIAL APPOINTMENT FOR ADVANCEMENT TO THE ACTIVE MEDICAL STAFF, THE COURTESY MEDICAL STAFF, THE CONSULTING STAFF, THE LIMITED MEDICAL STAFF, OR PEER REVIEW MEDICAL STAFF. IF THE REAPPOINTMENT IS RECOMMENDED FOR THE PROVISIONAL MEMBER, THE DECISION REGARDING THE APPROPRIATE MEDICAL STAFF CATEGORY WILL BE MADE ON THE BASIS OF UTILIZATION OF THE HOSPITAL, MEETING AND COMMITTEE ATTENDANCE, RECOMMENDATION OF THE CHIEF OF THE CLINICAL DEPARTMENT AND PERSONAL REQUEST.
- (2) IT SHALL BE THE PRACTITIONER'S OBLIGATION TO PROVIDE ALL INFORMATION REQUESTED BY THE CREDENTIALS COMMITTEE ON OR BEFORE THE DATE SPECIFIED IN THE REQUEST. SUCH DATE SHALL NOT BE LESS THAN THIRTY DAYS FROM THE DATE OF THE REQUEST FOR INFORMATION. AN INCOMPLETE APPLICATION FOR REAPPOINTMENT SHALL BE RETURNED TO THE APPLICANT FOR COMPLETION AND RESUBMISSION.
- (3) THE CREDENTIALS COMMITTEE WILL INITIATE ITS REVIEW BY REQUESTING, IN WRITING, A COMPLETED AND SIGNED REAPPOINTMENT APPLICATION IN A FORM AS PRESCRIBED BY THE MEDICAL DIRECTOR CONTAINING AT LEAST IN THE FOLLOWING INFORMATION FROM THE MEMBER:
 - (a) ANSWERS AND UPDATES TO ALL INFORMATION PROVIDED BY THE MEMBER IN THE INITIAL APPLICATION.
 - (b) ANY REQUEST BY THE PRACTITIONER FOR CHANGE IN MEDICAL STAFF CATEGORY OR REQUEST FOR REVISION OF PRIVILEGES. IF ADDITIONAL PRIVILEGES ARE REQUESTED, THE PRACTITIONER MUST SUBMIT DOCUMENTATION OF COMPETENCY FOR THE REQUESTED PRIVILEGES.

- (c) A COPY OF THE FACE SHEET OF THE PRACTITIONER'S CURRENT PROFESSIONAL LIABILITY INSURANCE POLICY SHOWING AT LEAST THE MINIMUM REQUIREMENTS ESTABLISHED BY THE BOARD.
 - (d) ANY INSTANCE IN WHICH AN ALLEGATION OF MALPRACTICE HAS BEEN FILED, OR A SETTLEMENT, JUDGEMENT, DISMISSAL, OR OTHER MALPRACTICE ACTION RESULT HAS OCCURRED AGAINST THE PRACTITIONER SINCE THE PRACTITIONER'S LAST REAPPOINTMENT.
 - (e) A WRITTEN STATEMENT FROM THE PRACTITIONER VERIFYING THAT THE PRACTITIONER HAS MET ALL CONTINUING MEDICAL EDUCATION REQUIREMENTS NECESSARY FOR THE MAINTENANCE OF THE PRACTITIONER'S LICENSE.
 - (f) IF THE PRACTITIONER HAS A PHYSICAL OR MENTAL HEALTH CONDITION OR DISABILITY, INCLUDING ALCOHOL OR DRUG ABUSE OR DEPENDENCY, THAT AFFECTS THE PRACTITIONER'S ABILITY TO RENDER PATIENT CARE OR TO PERFORM PROFESSIONAL OR MEDICAL STAFF DUTIES OR ANY OF THE SPECIFIC CLINICAL PRIVILEGES REQUESTED.
 - (g) FAILURE, WITHOUT GOOD CAUSE AS DETERMINED BY THE CREDENTIALS COMMITTEE, TO SUBMIT A TIMELY REAPPOINTMENT APPLICATION OR TO PROVIDE REQUESTED INFORMATION SHALL BE DEEMED A VOLUNTARY RESIGNATION FROM THE MEDICAL STAFF AND SHALL RESULT IN AUTOMATIC TERMINATION OF MEMBERSHIP AND ALL CLINICAL PRIVILEGES. THE TERMINATION OF MEDICAL STAFF MEMBERSHIP AND PRIVILEGES SHALL NOT BE DEEMED AN ADVERSE ACTION AND SHALL NOT GIVE RISE TO THE DUE PROCESS RIGHTS OF RULE 3335-45-08 OF THE ADMINISTRATIVE CODE.
- (4) A REQUEST FOR A LEAVE OF ABSENCE FROM THE MEDICAL STAFF SHALL BE SUBMITTED IN WRITING TO THE MEDICAL DIRECTOR AND THE CHIEF OF THE CLINICAL DEPARTMENT STATING THE EXACT PERIOD OF THE TIME OF LEAVE, WHICH MAY NOT EXCEED ONE YEAR. LEAVE OF ABSENCE FROM THE FACULTY SHALL BE AS PROVIDED BY UNIVERSITY RULES AND POLICIES. AN APPLICATION FOR REAPPOINTMENT SHALL BE SUBMITTED FOLLOWING A LEAVE OF ABSENCE FROM THE MEDICAL STAFF AND/OR FROM THE FACULTY AND BE PROCESSED AS PROVIDED IN THIS PARAGRAPH.
- (5) THE CREDENTIALS COMMITTEE WILL OBTAIN, AT A MINIMUM, THE FOLLOWING INFORMATION FROM THE CHIEF OF EACH CLINICAL DEPARTMENT IN WHICH THE MEMBER HAS SUCH PRIVILEGES:
- (a) AN EVALUATION OF THE MEMBER'S PERFORMANCE, JUDGMENT, AND, WHEN APPROPRIATE, TECHNICAL SKILL;
 - (b) WHETHER, TO THE CHIEF'S KNOWLEDGE, THE MEMBER HAS DEVELOPED ANY PHYSICAL OR MENTAL IMPAIRMENTS SINCE THE PREVIOUS REAPPOINTMENT THAT INTERFERE WITH THE MEMBER'S ABILITY TO CARE FOR PATIENTS IN THE HOSPITAL;
 - (c) THE MEMBER'S ATTENDANCE AT MEDICAL STAFF COMMITTEE AND CLINICAL DEPARTMENT MEETINGS AS REQUIRED;
 - (d) THE MEMBER'S SERVICE ON HOSPITAL COMMITTEES;

- (e) WHETHER THE MEMBER MAINTAINS TIMELY, ACCURATE AND COMPLETE MEDICAL RECORDS;
 - (f) THE MEMBER'S QUALITY OF CARE, AS DEMONSTRATED BY QUALITY ASSURANCE REVIEWS, AND EVALUATIONS CONDUCTED BY ANY HOSPITAL OR MEDICAL STAFF PEER REVIEW COMMITTEE;
 - (g) THE MEMBER'S DEMONSTRATED ABILITY TO WORK WITH OTHER MEMBERS OF THE MEDICAL STAFF AND WITH HOSPITAL PERSONNEL TO ACHIEVE THE DELIVERANCE OF MEDICAL CARE ACCORDING TO PROFESSIONAL STANDARDS; AND
 - (h) ANY OTHER RELEVANT FACTORS, INCLUDING, BUT NOT LIMITED TO, DISRUPTIVE AND/OR ABUSIVE BEHAVIOR WHICH ADVERSELY AFFECTS THE PRACTITIONER'S OR THE HOSPITAL'S ABILITY TO PROVIDE CARE ACCORDING TO PROFESSIONAL STANDARDS.
- (6) THE CREDENTIALS COMMITTEE WILL REQUEST THE MEDICAL DIRECTOR TO CONTACT THE CLEARINGHOUSE TO DETERMINE IF ANY MALPRACTICE CLAIMS OR ADVERSE ACTIONS HAVE EVER BEEN REPORTED AS TO THE PRACTITIONER APPLYING FOR REAPPRAISAL AND REAPPOINTMENT. ANY INFORMATION OBTAINED FROM SUCH CLEARINGHOUSE SHALL BE DOCUMENTED IN THE RECORD OF THE PRACTITIONER BEING REVIEWED AND, UPON REQUEST OF THE PRACTITIONER, SHALL BE AVAILABLE TO THE PRACTITIONER FOR REVIEW AND COPYING.
- (7) THE CREDENTIALS COMMITTEE SHALL REVIEW PEER RECOMMENDATIONS (TWO LETTERS BY FELLOW PRACTITIONERS WITH CURRENT KNOWLEDGE OF THE PRACTITIONER'S COMPETENCY) AND THE INFORMATION PROVIDED BY THE MEMBER AND OTHER PERSONS AND MAKE ANY INVESTIGATION IT DETERMINES NECESSARY AND SUBMIT A WRITTEN RECOMMENDATION TO THE EXECUTIVE COMMITTEE ON WHETHER TO REAPPOINT THE MEMBER AND THE EXTENT OF PRIVILEGES, IF ANY, TO BE GRANTED. SUCH WRITTEN RECOMMENDATION SHALL BE SUBMITTED TO THE EXECUTIVE COMMITTEE FOR ACTION AT ITS NEXT MEETING AND TO THE BOARD AT ITS NEXT MEETING AFTER THE EXECUTIVE COMMITTEE MEETING.
- (8) UPON RECEIPT OF THE WRITTEN RECOMMENDATION BY THE EXECUTIVE COMMITTEE, THE PROCEDURES PROVIDED IN PARAGRAPHS (C) AND (D) OF THIS RULE RELATING TO INITIAL APPOINTMENTS SHALL BE FOLLOWED.
- (9) THE BOARD MAY REAPPOINT A MEMBER OF THE MEDICAL STAFF AS RECOMMENDED BY THE EXECUTIVE COMMITTEE FOR TWO YEARS.
- (G) ECONOMIC FACTORS OR ECONOMIC CRITERIA.

APPLICANTS BY PRACTITIONERS FOR INITIAL APPOINTMENT OR REAPPOINTMENT TO THE MEDICAL STAFF OR FOR CLINICAL PRIVILEGES SHALL NOT BE DENIED, NOR MEDICAL STAFF MEMBERSHIP OR CLINICAL PRIVILEGES RESTRICTED, SOLELY ON THE BASIS OF ECONOMIC FACTORS OR ECONOMIC CRITERIA WHICH ARE UNRELATED TO QUALITY OF CARE.

3335-45-05 CATEGORIES OF THE MEDICAL STAFF.

- (A) THE MEDICAL STAFF.

THE MEDICAL STAFF IS DIVIDED INTO HONORARY, CONSULTING, ACTIVE, COURTESY, PROVISIONAL, LIMITED, AND PEER REVIEW CATEGORIES.

(B) THE HONORARY MEDICAL STAFF.

- (1) THE HONORARY MEDICAL STAFF WILL BE COMPOSED OF THOSE INDIVIDUALS WHO HOLD EMERITUS FACULTY STATUS AND WHO ARE RECOGNIZED FOR OUTSTANDING REPUTATION, NOTABLE SCIENTIFIC AND PROFESSIONAL CONTRIBUTIONS, AND HIGH PROFESSIONAL STATURE. NOMINATION MAY BE MADE TO THE MEDICAL DIRECTOR WHO SHALL PRESENT THE CANDIDATE TO THE EXECUTIVE COMMITTEE FOR APPROVAL.

MEMBERS OF THE HONORARY MEDICAL STAFF SHALL HAVE ACCESS TO THE MEDICAL CENTER AND BE GIVEN NOTICE OF ALL MEDICAL STAFF ACTIVITIES AND MEETINGS, HOWEVER, THEY SHALL NOT BE REQUIRED TO ATTEND STAFF MEETINGS AND THEIR MEDICAL STAFF DUES SHALL BE WAIVED. THEY SHALL ENJOY ALL PRIVILEGES AND RESPONSIBILITIES OF ACTIVE MEDICAL STAFF APPOINTMENTS EXCEPT THE RIGHT TO VOTE OR HOLD ELECTED OFFICE IN THE MEDICAL STAFF ORGANIZATION.

- (2) THE HONORARY MEDICAL STAFF SHALL BE COMPOSED OF ACTIVE AND NON-ACTIVE MEMBERS. THOSE MEMBERS WHO DO NOT DESIRE TO EXERCISE CLINICAL PRIVILEGES WILL MAKE WRITTEN NOTICE TO THE MEDICAL DIRECTOR WHO WILL FORWARD THE APPLICATIONS WITH RECOMMENDATIONS TO THE CREDENTIALS COMMITTEE, WHICH SHALL HAVE THE DISCRETION TO WAIVE PORTIONS OF THE CUSTOMARY APPLICATION PROCESS. FOR THOSE MEMBERS OF THE HONORARY MEDICAL STAFF WHO DESIRE CLINICAL PRIVILEGES, FULL CREDENTIALING PROCEDURES MUST BE FOLLOWED.

- (3) MEMBERS OF THE HONORARY MEDICAL STAFF OF THE FORMER PARK MEDICAL CENTER WHO DO NOT DESIRE CLINICAL PRIVILEGES WILL BE GRANTED HONORARY MEDICAL STAFF MEMBERSHIP AT THE HOSPITAL.

(C) THE CONSULTING STAFF.

- (1) THE CONSULTING STAFF CONSISTS OF PRACTITIONERS WITH ABILITY IN THEIR RESPECTIVE SPECIALTIES, WHO HAVE QUALIFICATIONS AND WHO HAVE DEMONSTRATED AND SIGNIFIED THEIR WILLINGNESS TO RESPOND TO REQUESTS FOR CONSULTATION.

- (2) MEMBERS OF THE CONSULTING STAFF:

- (a) ARE NOT SOLICITED FOR STAFF DUES;
- (b) ARE NOT ELIGIBLE FOR ELECTED MEDICAL STAFF POSITIONS;
- (c) MAY NOT VOTE ON MEDICAL STAFF AFFAIRS UNLESS APPOINTED TO A MEDICAL STAFF COMMITTEE; AND
- (d) ARE NOT REQUIRED TO ATTEND MEETINGS.

(D) THE ACTIVE MEDICAL STAFF.

- (1) THE ACTIVE MEDICAL STAFF CONSISTS OF PRACTITIONERS WHO RESIDE IN THE COMMUNITY OR PRACTICE WITHIN A REASONABLE DISTANCE OF THE HOSPITAL; WHO ARE CAPABLE AND WILLING TO ASSUME ALL FUNCTIONS OF THE ACTIVE MEDICAL STAFF, AND WHO ARE WILLING TO ATTEND PATIENTS WHO ARE NOT UNDER THE CARE OF A MEMBER OF THE MEDICAL STAFF AT THE TIME SUCH PATIENTS REQUIRE ADMISSION TO THE HOSPITAL.

(2) MEMBERS OF THE ACTIVE MEDICAL STAFF:

- (a) HAVE FULL ADMITTING PRIVILEGES IF THEY ARE PRACTITIONERS OF MEDICINE OR OSTEOPATHIC MEDICINE, OR PRACTITIONERS OF DENTISTRY WHO HAVE BEEN GRANTED PRIVILEGES AS ORAL AND MAXILLOFACIAL SURGEONS. PRACTITIONERS OF DENTISTRY WHO HAVE NOT BEEN GRANTED PRIVILEGES AS ORAL AND MAXILLOFACIAL SURGEONS, MAY ADMIT PATIENTS TO THE HOSPITAL IF SUCH PATIENTS ARE BEING ADMITTED SOLELY TO RECEIVE CARE WHICH A DENTIST MAY PROVIDE WITHOUT MEDICAL ASSISTANCE PURSUANT TO THE SCOPE OF HIS OR HER PROFESSIONAL LICENSE. PRACTITIONERS OF DENTISTRY MUST, IN ALL OTHER CIRCUMSTANCES, CO-ADMIT PATIENTS WITH A PHYSICIAN MEMBER OF THE MEDICAL STAFF. MEMBERS OF THE MEDICAL STAFF WHO ONLY HAVE PRIVILEGES IN THE DEPARTMENT OF EMERGENCY SERVICES DO NOT HAVE ADMITTING PRIVILEGES. PSYCHOLOGISTS SHALL NOT HAVE ADMITTING PRIVILEGES. PRACTITIONERS OF PODIATRY MUST CO-ADMIT ALL PATIENTS WITH A MEMBER OF THE MEDICAL STAFF WHO IS A DOCTOR OF MEDICINE OR A DOCTOR OF OSTEOPATHIC MEDICINE.
- (b) ARE ASSESSED STAFF DUES AS ESTABLISHED BY THE EXECUTIVE COMMITTEE AND APPROVED BY THE BOARD.
- (c) ARE ELIGIBLE FOR ELECTED MEDICAL STAFF POSITIONS.
- (d) ARE REQUIRED TO SERVE ON COMMITTEES AS DESIGNATED BY THE CHIEF OF STAFF AND MEDICAL DIRECTOR AND TO ACCEPT EMERGENCY SERVICES ROTATION COVERAGE WHEN DESIGNATED BY THE CHAIR OF THEIR RESPECTIVE CLINICAL DIVISIONS OR IF NO CLINICAL DIVISION EXISTS, THEN THE CHIEF OF THE CLINICAL DEPARTMENT.
- (e) VOTE IN ALL MEDICAL STAFF AFFAIRS.
- (f) ARE REQUIRED TO ATTEND AT LEAST FIFTY PER CENT OF THE GENERAL MEDICAL STAFF MEETINGS AND FIFTY PER CENT OF THE MEETINGS OF COMMITTEES AND CLINICAL DEPARTMENTS OF WHICH THEY ARE MEMBERS.
- (g) SHALL RETAIN RESPONSIBILITY WITHIN THEIR AREA OF CLINICAL PRIVILEGES FOR THE CONTINUOUS CARE AND SUPERVISION OF EACH PATIENT IN THE HOSPITAL UNDER THEIR CARE, AND IF APPLICABLE, AN APPROPRIATE LEVEL OF CLINICAL SUPERVISION OF ALL STUDENTS, RESIDENTS, OR OTHER TRAINEES ASSIGNED TO THEM OR THEIR PATIENTS.

(E) THE COURTESY MEDICAL STAFF.

- (1) THE COURTESY STAFF CONSISTS OF PRACTITIONERS WHO ARE ELIGIBLE FOR MEDICAL STAFF MEMBERSHIP BUT WHO ONLY OCCASIONALLY ADMIT PATIENTS TO THE HOSPITAL OR ATTEND PATIENTS IN THE HOSPITAL.
- (2) MEMBERS OF THE COURTESY MEDICAL STAFF:
 - (a) MAY ADMIT NO MORE THAN TWELVE PATIENTS DURING ANY MEDICAL STAFF YEAR WITHOUT MAKING APPLICATION FOR MEMBERSHIP ON THE ACTIVE MEDICAL STAFF FOR THE NEXT MEDICAL STAFF YEAR;

- (b) MAY SEE NO MORE THAN TWELVE CONSULTS DURING ANY MEDICAL STAFF YEAR WITHOUT MAKING APPLICATION FOR ACTIVE MEDICAL STAFF MEMBERSHIP FOR THE NEXT MEDICAL STAFF YEAR;
- (c) ARE ASSESSED STAFF DUES;
- (d) ARE NOT ELIGIBLE FOR ELECTED MEDICAL STAFF POSITIONS (EXCEPT AS STATED UNDER PARAGRAPH (G)(3) OF RULE 3335-45-10 OF THE ADMINISTRATIVE CODE).
- (e) HAVE NO ASSIGNED DUTIES EXCEPT THOSE MUTUALLY AGREED TO WITH THE CHIEF OF STAFF AND MEDICAL DIRECTOR;
- (f) HAVE NO VOTE IN MEDICAL STAFF AFFAIRS UNLESS APPOINTED TO A MEDICAL STAFF COMMITTEE (EXCEPT AS STATED UNDER PARAGRAPH (E) OF RULE 3335-45-11 OF THE ADMINISTRATIVE CODE; AND
- (g) ARE NOT REQUIRED TO ATTEND MEDICAL STAFF MEETINGS.

(F) PROVISIONAL APPOINTMENTS.

- (1) EXCEPT AS OTHERWISE DETERMINED BY THE BOARD OR OTHERWISE SPECIFICALLY PROVIDED FOR IN THESE RULES, ALL INITIAL APPOINTMENTS TO ANY CATEGORY OF THE MEDICAL STAFF SHALL BE PROVISIONAL. EACH PRACTITIONER SHALL BE ASSIGNED TO A CLINICAL DEPARTMENT WHERE THAT PRACTITIONER'S PERFORMANCE SHALL BE OBSERVED BY THE CHIEF OF THE CLINICAL DEPARTMENT OR DESIGNEE, OR BY A COMMITTEE OF THE CLINICAL DEPARTMENT MEMBERS APPOINTED BY THE CHIEF OF THE CLINICAL DEPARTMENT, TO DETERMINE THE PRACTITIONER'S ELIGIBILITY FOR REGULAR STAFF MEMBERSHIP IN THE STAFF CATEGORY TO WHICH THE PRACTITIONER WAS PROVISIONALLY APPOINTED AND FOR EXERCISING THE CLINICAL PRIVILEGES PROVISIONALLY GRANTED. AN INITIAL APPOINTMENT AND ANY RENEWALS THEREOF SHALL REMAIN PROVISIONAL UNTIL THE APPOINTED PRACTITIONER HAS FURNISHED TO THE CREDENTIALS COMMITTEE AND TO THE MEDICAL DIRECTOR:
 - (a) A STATEMENT SIGNED BY THE CHAIR OF THE CLINICAL DEPARTMENT TO WHICH THE PRACTITIONER IS ASSIGNED AND OF EACH CLINICAL DEPARTMENT IN WHICH THE PRACTITIONER EXERCISES PRIVILEGES THAT THE PRACTITIONER MEETS ALL OF THE QUALIFICATIONS, HAS DISCHARGED ALL OF THE RESPONSIBILITIES AND HAS NOT EXCEEDED OR ABUSED THE PREROGATIVES OF THE STAFF CATEGORY TO WHICH THE PRACTITIONER WAS PROVISIONALLY APPOINTED; AND
 - (b) A STATEMENT SIGNED BY THE CHAIR OF THE CLINICAL DEPARTMENT THAT THE PRACTITIONER HAS DEMONSTRATED THE ABILITY TO EXERCISE THE CLINICAL PRIVILEGES PROVISIONALLY GRANTED.

THE CREDENTIALS COMMITTEE SHALL MAKE A RECOMMENDATION TO THE EXECUTIVE COMMITTEE REGARDING A PROVISIONAL PRACTITIONER'S PROMOTION IN STAFF STATUS AND THE EXECUTIVE COMMITTEE SHALL ACT ON SUCH RECOMMENDATION. TO THE EXTENT THAT SUCH RECOMMENDATION OF THE EXECUTIVE COMMITTEE IS ADVERSE, THE PRACTITIONER'S MEDICAL STAFF MEMBERSHIP AND CLINICAL PRIVILEGES

SHALL BE SUSPENDED AND THE PRACTITIONER SHALL BE ENTITLED TO DUE PROCESS RIGHTS AS SET FORTH IN RULE 3335-45-08 OF THE ADMINISTRATIVE CODE.

- (2) THE EXECUTIVE COMMITTEE MAY RECOMMEND TO THE BOARD THAT A CHANGE IN STAFF CATEGORY OF A CURRENT STAFF MEMBER OR THE GRANTING OF ADDITIONAL PRIVILEGES TO A CURRENT STAFF MEMBER BE MADE PROVISIONAL IN ACCORDANCE WITH THE PROCEDURES PROVIDED IN THIS PARAGRAPH FOR INITIAL APPOINTMENTS. SUCH PROVISIONAL GRANTS SHALL NOT BE CONSIDERED AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION GIVING RISE TO DUE PROCESS RIGHTS UNDER RULE 3335-45-08 OF THE ADMINISTRATIVE CODE.
 - (3) PROVISIONAL STATUS SHALL BE FOR A PERIOD OF ONE YEAR, WHICH MAY BE RENEWED FOR AN ADDITIONAL SIX MONTH PERIOD AT THE DISCRETION OF THE CHAIR OF THE CLINICAL DEPARTMENT AND EXECUTIVE COMMITTEE.
 - (4) PROVISIONAL APPOINTEES SHALL HAVE THE FOLLOWING PREROGATIVES:
 - (a) HAVE ADMITTING AND CLINICAL PRIVILEGES AS DESIGNATED BY THE BOARD IN THE INITIAL APPOINTMENT; PROVIDED HOWEVER, THOSE PRACTITIONERS OF DENTISTRY WHO HAVE NOT BEEN GRANTED PRIVILEGES AS ORAL AND MAXILLOFACIAL SURGEONS, AND PRACTITIONERS OF PODIATRY, MAY ONLY CO-ADMIT PATIENTS WITH A PHYSICIAN MEMBER OF THE MEDICAL STAFF, AND PSYCHOLOGISTS MAY NOT ADMIT PATIENTS;
 - (b) ARE NOT ASSESSED STAFF DUES;
 - (c) ARE NOT ELIGIBLE FOR ELECTED MEDICAL STAFF POSITIONS;
 - (d) ARE REQUIRED TO SERVE ON MEDICAL STAFF COMMITTEES TO WHICH THEY ARE APPOINTED;
 - (e) HAVE NO VOTE ON MEDICAL STAFF AFFAIRS (EXCEPT IN THE PRACTITIONER'S CAPACITY AS A MEDICAL STAFF COMMITTEE MEMBER); AND
 - (f) ARE REQUIRED TO ATTEND AT LEAST FIFTY PER CENT OF THE GENERAL MEDICAL STAFF MEETINGS AND FIFTY PER CENT OF THE MEETINGS OF COMMITTEES AND CLINICAL DEPARTMENTS TO WHICH THEY ARE ASSIGNED.
- (G) THE LIMITED MEDICAL STAFF.
- (1) MEMBERS OF THE LIMITED MEDICAL STAFF ARE THOSE PRACTITIONERS WHO ARE PARTICIPATING IN EITHER A CLINICAL ROTATION AT THE HOSPITAL AS PART OF THEIR RESIDENCY TRAINING OR A FELLOWSHIP PROGRAM:
 - (a) CONDUCTED BY PRACTITIONERS OF THE MEDICAL STAFF IN GOOD STANDING; AND
 - (b) APPROVED BY THE EXECUTIVE COMMITTEE AND THE BOARD.
 - (2) MEMBERS OF THE LIMITED MEDICAL STAFF CATEGORY:
 - (a) CANNOT ADMIT PATIENTS.

- (b) MAY PROVIDE ROUTINE AND EMERGENCY PATIENT CARE UNDER THE SUPERVISION OF A MEMBER OF THE MEDICAL STAFF.
 - (c) ARE NOT ELIGIBLE FOR ELECTED MEDICAL STAFF POSITIONS.
 - (d) HAVE NO VOTE IN MEDICAL STAFF AFFAIRS.
 - (e) ARE NOT REQUIRED TO ATTEND MEDICAL STAFF MEETINGS.
 - (f) ARE NOT REQUIRED TO PAY DUES.
 - (g) CLINICAL PRIVILEGES WILL BE IMMEDIATELY TERMINATED WITHOUT APPEAL WHEN THE LIMITED MEDICAL STAFF MEMBER IS NO LONGER A PARTICIPANT IN THE RESIDENCY OR FELLOWSHIP PROGRAM.
 - (h) THEY SHALL FOLLOW ALL RULES OF THE CLINICAL DEPARTMENT TO WHICH THEY ARE ASSIGNED, AS WELL AS HOSPITAL RULES, INCLUDING BUT NOT LIMITED TO REQUIREMENT THAT CONSULTATION SHALL BE OBTAINED WITH THE ACTIVE MEDICAL STAFF MEMBER RESPONSIBLE FOR THE CARE OF THE PATIENT BEFORE UNDERTAKING A PROCEDURE OR TREATMENT THAT CARRIES A SIGNIFICANT RISK TO THE PATIENT, UNLESS THIS CONSULTATION WOULD CAUSE A DELAY THAT WOULD JEOPARDIZE THE LIFE OR HEALTH OF THE PATIENT.
 - (i) THEY WILL BE EXPECTED TO MAKE SATISFACTORY PROFESSIONAL PROGRESS WITHIN THEIR TRAINING PROGRAM. FAILURE TO MEET REASONABLE EDUCATIONAL OR ACADEMIC EXPECTATIONS SHALL BE REPORTED TO THE CHIEF OF THE CLINICAL DEPARTMENT WHO SHALL FORWARD SUCH FAILURE TO THEIR RESIDENCY PROGRAM DIRECTOR AND THEREAFTER CORRECTIVE ACTION OR SANCTION SHALL OCCUR WITHIN THE ACADEMIC PROGRAMS AS ESTABLISHED BY THE OHIO STATE UNIVERSITY AND THE UNIVERSITY LIMITED MEDICAL STAFF CONTRACT. HOWEVER, ALLEGATIONS OF PATIENT CARE MISCONDUCT WHICH FALL OUTSIDE OF THE PROFESSIONAL EDUCATIONAL STANDARDS, SHALL BE HANDLED IN ACCORDANCE WITH THESE RULES.
 - (j) ALL MEMBERS OF THE LIMITED MEDICAL STAFF, WITH THE EXCEPTION OF DENTISTRY, SHALL MAKE WRITTEN APPLICATION FOR AN OHIO LICENSE WITHIN ONE YEAR AFTER BECOMING ELIGIBLE, AND SHALL THEREAFTER OBTAIN AND MAINTAIN LICENSURE IN OHIO DURING THE TERM OF THEIR POSTDOCTORAL EDUCATION.
- (3) PRIVILEGES REMAIN IN EFFECT UNTIL THE COMPLETION OR TERMINATION OF THE RESIDENCY TRAINING PROGRAM OR FELLOWSHIP AT WHICH TIME THE PHYSICIAN IN THIS CATEGORY IS REQUIRED TO APPLY FOR PROVISIONAL STAFF PRIVILEGES IF HE/SHE WISHES TO CONTINUE ON THE MEDICAL STAFF.
 - (4) NOTHING IN THIS RULE SHALL LIMIT THE ABILITY OF THE MEDICAL DIRECTOR OR DESIGNEE TO GRANT TEMPORARY PRIVILEGES PURSUANT TO PARAGRAPH (D) OF RULE 3335-45-06 OF THE ADMINISTRATIVE CODE.
 - (5) WHEN THE LIMITED MEDICAL STAFF MEMBER NO LONGER PARTICIPATES IN THE TRAINING PROGRAM, ALL CLINICAL PRIVILEGES CEASE WITHOUT RIGHTS OF APPEAL.

(H) STAFF PROMOTIONS.

- (1) PROVISIONAL APPOINTMENT PROMOTIONS WILL BE DONE IN ACCORDANCE WITH THE PROVISIONS OF PARAGRAPH (F) OF THIS RULE.
- (2) ANY REQUESTS FOR ADVANCEMENT IN MEDICAL STAFF CATEGORY SHALL BE MADE IN WRITING TO THE MEDICAL DIRECTOR OR DESIGNEE. ALL REQUESTS FOR ADVANCEMENT SHALL BE PROCESSED IN THE SAME MANNER AS AN APPLICATION FOR INITIAL APPOINTMENT AS SET FORTH IN PARAGRAPHS (B) TO (D) OF RULE 3335-45-04 OF THE ADMINISTRATIVE CODE.
- (3) A REQUEST FOR CHANGE OF STATUS TO THE HONORARY OR CONSULTING CATEGORY SHALL BE MADE IN WRITING TO THE MEDICAL DIRECTOR OR DESIGNEE AND PROCESSED IN THE SAME MANNER AS A REQUEST FOR ADVANCEMENT.

(I) PEER REVIEW MEDICAL STAFF.

- (1) MEMBERS OF THE PEER REVIEW MEDICAL STAFF ARE APPOINTED BY THE MEDICAL DIRECTOR AND CHIEF OF STAFF WITH APPROVAL BY THE EXECUTIVE COMMITTEE AND BOARD.
- (2) MEMBERS WILL GENERALLY BE APPOINTED FOR A SPECIFIC AND TIME LIMITED PEER REVIEW TASKS.
- (3) MEMBERS CAN NOT RENDER PATIENT CARE OTHER THAN BY OBSERVING OR ASSISTING THE MEDICAL STAFF MEMBER(S) BEING REVIEWED.
- (4) MEMBERS ENJOY FULL PROTECTION OF THE PEER REVIEW PROCESS UNDER OHIO LAW AND ARE BOUND BY CONFIDENTIALITY RULES OF OHIO LAW.

3335-45-06 CLINICAL PRIVILEGES.

(A) DELINEATION OF CLINICAL PRIVILEGES.

- (1) EVERY PRACTITIONER PRACTICING AT THE HOSPITAL BY VIRTUE OF MEDICAL STAFF MEMBERSHIP OR UNDER AUTHORITY GRANTED IN THESE RULES SHALL BE ENTITLED TO EXERCISE ONLY THOSE CLINICAL PRIVILEGES SPECIFICALLY APPLIED FOR AND GRANTED TO THE PRACTITIONER BY THE BOARD, EXCEPT AS PROVIDED FOR IN THIS RULE. REQUEST FOR THE EXERCISE AND DELINEATION OF CLINICAL PRIVILEGES MUST BE MADE AS PART OF EACH APPLICATION FOR APPOINTMENT OR REAPPOINTMENT TO THE MEDICAL STAFF ON THE APPROVED FORMS PROVIDED BY THE EXECUTIVE COMMITTEE. REQUESTS MUST BE SUBMITTED IN ACCORDANCE WITH RULE 3335-45-04 OF THE ADMINISTRATIVE CODE AND WILL BE REVIEWED IN ACCORDANCE WITH THE PROVISIONS OF RULE 3335-45-04 OF THE ADMINISTRATIVE CODE.
- (2) EACH CLINICAL DEPARTMENT WILL DEVELOP CLINICAL CRITERIA AND STANDARDS FOR THE EVALUATION OF PRIVILEGES AS PROVIDED FOR IN RULE 3335-45-04 OF THE ADMINISTRATIVE CODE AND PARAGRAPH (B)(4)(d) OF RULE 3335-45-12 OF THE ADMINISTRATIVE CODE WITH EMPHASIS ON INVASIVE OR THERAPEUTIC PROCEDURES OR TREATMENT WHICH PRESENTS SIGNIFICANT RISK TO THE PATIENT OR FOR WHICH SPECIFIC PROFESSIONAL TRAINING OR EXPERIENCE IS REQUIRED. REQUESTS FOR CLINICAL PRIVILEGES WILL BE EVALUATED BASED UPON THE APPLICANT'S EDUCATION, TRAINING, EXPERIENCE, DEMONSTRATED COMPETENCE,

REFERENCES, AND OTHER RELEVANT INFORMATION, INCLUDING THE DIRECT OBSERVATION AND REVIEW OF RECORDS OF THE APPLICANT'S PERFORMANCE BY THE CLINICAL DEPARTMENT IN WHICH THE PRIVILEGES ARE EXERCISED. WHENEVER POSSIBLE, THE REVIEW SHOULD BE A PRIMARY SOURCE OF INFORMATION. THE APPLICANT HAS THE BURDEN OF ESTABLISHING THE QUALIFICATIONS FOR THE PRIVILEGES REQUESTED.

- (3) ALL CLINICAL PRIVILEGES GRANTED TO PRACTITIONERS SHALL BE DELINEATED WITH SUFFICIENT SPECIFICITY TO ENSURE THAT A PRACTITIONER DOES NOT TREAT A PATIENT IN THE HOSPITAL OUTSIDE THE PRACTITIONER'S AREA OF DEMONSTRATED COMPETENCE.
- (4) CLINICAL PRIVILEGES GRANTED TO A PRACTITIONER ARE MONITORED THROUGH THE ESTABLISHED QUALITY ASSURANCE PROGRAM AND VARIANCES ARE REFERRED TO THE APPROPRIATE PEER REVIEW BODY FOR REVIEW AND ACTION.

(B) SPECIAL PRIVILEGES.

UNDER SPECIAL CIRCUMSTANCES, PRIVILEGES AT THE HOSPITAL MAY BE GRANTED TO A DOCTOR OF MEDICINE, OSTEOPATHIC MEDICINE, DENTAL SURGERY, AND TO A PRACTITIONER OF PSYCHOLOGY OR PODIATRY BY THE MEDICAL DIRECTOR WITH THE CONCURRENCE OF THE CHIEF OF THE CLINICAL DEPARTMENT OR DESIGNEE WHERE THE PRACTITIONER IS TO EXERCISE PRIVILEGES. THE EXTENT OF PRIVILEGES WILL BE GOVERNED BY THE APPLICANT'S TRAINING AND EXPERIENCE AND WILL BE IN KEEPING WITH CLINICAL DEPARTMENT GUIDELINES. THE DURATION OF PRIVILEGES WILL BE DETERMINED BY THE MEDICAL DIRECTOR. THE GRANTING AND DELINEATION OF PRIVILEGES SHALL BE CONSISTENT WITH THE APPLICATION PROCEDURES FOR CLINICAL PRIVILEGES SET FORTH IN THESE RULES.

SPECIAL PRIVILEGES MAY BE EXTENDED UPON THE RECOMMENDATION OF THE CHIEF OF THE CLINICAL DEPARTMENT, WITH THE CONCURRENCE OF THE MEDICAL DIRECTOR, ONLY FOR MEMBERS OF THE LIMITED STAFF WHO ARE NOT EMPLOYED BY THE HOSPITAL, TO PERMIT THEM TO SATISFY APPROVED GRADUATE MEDICAL EDUCATION CLINICAL ROTATIONS OF ONE HUNDRED TWENTY DAYS OR LESS AT THE HOSPITAL. ALL REQUIREMENTS FOR SPECIAL PRIVILEGES MUST BE SATISFIED.

SPECIAL PRIVILEGES WILL BE EXTENDED TO VISITING MEDICAL FACULTY OR FOR SPECIAL ACTIVITIES AS PROVIDED BY THE STATE MEDICAL OR DENTAL BOARDS.

(C) PODIATRIC PRIVILEGES.

ALL PATIENTS ADMITTED FOR PODIATRIC CARE SHALL RECEIVE THE SAME MEDICAL APPRAISAL AS ALL OTHER HOSPITALIZED PATIENTS. A MEMBER OF THE MEDICAL STAFF WHO IS A DOCTOR OF MEDICINE OR OSTEOPATHIC MEDICINE SHALL CO-ADMIT THE PATIENT AND BE RESPONSIBLE FOR THE HISTORY AND PHYSICAL AND MEDICAL CARE THAT MAY BE REQUIRED AT THE TIME OF ADMISSION OR THAT MAY ARISE DURING HOSPITALIZATION, AND SHALL DETERMINE THE NEED OF ANY PROPOSED PROCEDURE ON THE TOTAL HEALTH STATUS OF THE PATIENT. THE PODIATRIST WILL BE RESPONSIBLE FOR THE PODIATRIC CARE OF THE PATIENT INCLUDING THE PODIATRIC HISTORY AND PHYSICAL EXAMINATION AND ALL APPROPRIATE ELEMENTS OF THE PATIENT'S RECORD. ANY PROPOSED PROCEDURES BY THE PODIATRIST MUST BE APPROVED BY, AND UNDER THE DIRECT SUPERVISION OF, AN ACTIVE MEMBER OF THE MEDICAL STAFF WHO IS A DOCTOR OF MEDICINE OR OSTEOPATHIC MEDICINE.

(D) PSYCHOLOGY PRIVILEGES.

PSYCHOLOGISTS SHALL BE GRANTED CLINICAL PRIVILEGES BASED UPON THEIR TRAINING, EXPERIENCE AND DEMONSTRATED COMPETENCE AND JUDGMENT CONSISTENT WITH THEIR LICENSE TO PRACTICE. THEY WILL NOT PRESCRIBE DRUGS, OR PERFORM SURGICAL PROCEDURES, OR IN ANY OTHER WAY PRACTICE OUTSIDE THE AREA OF THEIR APPROVED CLINICAL PRIVILEGES OR EXPERTISE. PSYCHOLOGISTS MAY NOT ADMIT PATIENTS TO THE HOSPITAL. IN INPATIENT SETTINGS THEY MAY DIAGNOSE AND TREAT A PATIENT'S PSYCHOLOGICAL ILLNESS AS PART OF THE PATIENT'S COMPREHENSIVE CARE. ALL PATIENTS ADMITTED FOR PSYCHOLOGICAL CARE SHALL RECEIVE THE SAME MEDICAL APPRAISAL AS ALL OTHER HOSPITALIZED PATIENTS. A MEMBER OF THE MEDICAL STAFF WHO IS A DOCTOR OF MEDICINE OR OSTEOPATHIC MEDICINE SHALL ADMIT THE PATIENT AND BE RESPONSIBLE FOR THE HISTORY AND PHYSICAL AND MEDICAL CARE THAT MAY BE REQUIRED DURING THE HOSPITALIZATION, AND SHALL DETERMINE THE APPROPRIATENESS OF ANY PSYCHOLOGICAL THERAPY ON THE TOTAL HEALTH STATUS OF THE PATIENT. PSYCHOLOGISTS MAY PROVIDE CONSULTATION WITHIN THEIR AREA OF EXPERTISE ON THE CARE OF PATIENTS WITHIN UNIVERSITY HOSPITALS.

IN OUTPATIENT SETTINGS, THEY WILL DIAGNOSE AND TREAT THEIR PATIENT'S PSYCHOLOGICAL ILLNESS. THEY WILL ENSURE THAT THEIR PATIENTS RECEIVE REFERRAL FOR APPROPRIATE MEDICAL CARE.

PSYCHOLOGISTS WILL BE RESPONSIBLE TO THE CHIEF OF THE CLINICAL DEPARTMENT IN WHICH THEY ARE APPOINTED.

(E) DENTAL PRIVILEGES.

PRACTITIONERS OF DENTISTRY, WHO HAVE NOT BEEN GRANTED PRIVILEGES AS ORAL AND MAXILLOFACIAL SURGEONS, MAY ADMIT PATIENTS TO THE HOSPITAL IF SUCH PATIENTS ARE BEING ADMITTED SOLELY TO RECEIVE CARE WHICH A DENTIST MAY PROVIDE WITHOUT MEDICAL ASSISTANCE, PURSUANT TO THE SCOPE OF THE PROFESSIONAL LICENSE OF THE DENTIST. PRACTITIONERS OF DENTISTRY AND/OR PODIATRY MUST, IN ALL OTHER CIRCUMSTANCES CO-ADMIT PATIENTS WITH A PHYSICIAN MEMBER OF THE MEDICAL STAFF. A PHYSICIAN MEMBER OF THE MEDICAL STAFF WILL BE RESPONSIBLE FOR ANY MEDICAL PROBLEMS THAT THE PATIENT HAS WHILE AN INPATIENT OF THE HOSPITAL.

(F) TEMPORARY PRIVILEGES.

(1) THE MEDICAL DIRECTOR OR DESIGNEE, WITH THE CONCURRENCE OF THE CHIEF OF THE CLINICAL DEPARTMENT WHERE THE PRACTITIONER WILL BE EXERCISING CLINICAL PRIVILEGES, MAY GRANT TEMPORARY PRIVILEGES TO A PRACTITIONER UNDER THE FOLLOWING CIRCUMSTANCES:

(a) WHEN THE MEDICAL DIRECTOR BELIEVES CIRCUMSTANCES WARRANT GRANTING TEMPORARY PRIVILEGES TO A PRACTITIONER DURING THE PROCESSING OF THE PRACTITIONER'S APPLICATION FOR INITIAL APPOINTMENT OR REAPPOINTMENT TO THE MEDICAL STAFF; OR

(b) TO A PRACTITIONER WHO IS NOT AN APPLICANT FOR MEDICAL STAFF MEMBERSHIP FOR THE CARE OF A SPECIFIC PATIENT. IF THE MEDICAL DIRECTOR AND THE CHIEF OF THE APPLICABLE CLINICAL DEPARTMENT MUTUALLY AGREE THAT A PRACTITIONER'S USE OF TEMPORARY PRIVILEGES UNDER THIS PARAGRAPH HAS BECOME EXCESSIVE, THE MEDICAL DIRECTOR AND THE CHIEF OF THE APPLICABLE CLINICAL DEPARTMENT MAY REQUIRE THAT THE

PRACTITIONER APPLY FOR MEMBERSHIP ON THE MEDICAL STAFF BEFORE ATTENDING ADDITIONAL PATIENTS IN THE HOSPITAL.

- (2) ALL PRACTITIONERS REQUESTING TEMPORARY PRIVILEGES MUST HAVE A VALID OHIO LICENSE AND CURRENT MALPRACTICE INSURANCE COVERAGE. THE PRACTITIONER MUST PROVIDE EVIDENCE OF CURRENT COMPETENCE IN THE AREAS IN WHICH HE OR SHE IS REQUESTING PRIVILEGES. THE EVIDENCE OF COMPETENCY OFFERED BY THE PRACTITIONER SHOULD THEN BE VERIFIED AT THE PRIMARY SOURCE(S) PRIOR TO GRANTING THE PRIVILEGES REQUESTED.
 - (3) SPECIAL REQUIREMENTS OF SUPERVISION AND REPORTING MAY BE IMPOSED BY THE MEDICAL DIRECTOR WITH THE CONCURRENCE OF THE CHIEF OF THE CLINICAL DEPARTMENT WHERE THE PRACTITIONER IS EXERCISING CLINICAL PRIVILEGES ON ANY PRACTITIONER GRANTED TEMPORARY PRIVILEGES.
 - (4) TEMPORARY PRIVILEGES MAY BE TERMINATED BY THE MEDICAL DIRECTOR OR DESIGNEE, WITH THE CONCURRENCE OF THE CHIEF OF THE CLINICAL DEPARTMENT WHERE THE PRACTITIONER IS EXERCISING CLINICAL PRIVILEGES, AT ANY TIME FOR ANY REASON WITHOUT PRIOR NOTICE TO THE PRACTITIONER, AND THE PRACTITIONER IS NOT ENTITLED TO ANY RIGHT OF APPEAL OF THE DENIAL OR TERMINATION OF TEMPORARY PRIVILEGES.
 - (5) TEMPORARY PRIVILEGES AUTOMATICALLY EXPIRE AFTER NINETY DAYS AND MAY NOT BE RE-GRANTED UNTIL THE REASON FOR THE DELAY IN THE GRANTING OF FULL PRIVILEGES IS INVESTIGATED.
- (G) EMERGENCY PRIVILEGES.
- (1) FOR THE PURPOSE OF THIS PARAGRAPH, AN "EMERGENCY" IS DEFINED AS A CONDITION IN WHICH SERIOUS OR PERMANENT HARM WOULD RESULT TO A PATIENT OR IN WHICH THE LIFE OF A PATIENT IS IN IMMEDIATE DANGER AND ANY DELAY IN ADMINISTERING TREATMENT WOULD ADD TO THE DANGER.
 - (2) IN THE CASE OF AN EMERGENCY, ANY PRACTITIONER, TO THE DEGREE PERMITTED BY THE PRACTITIONER'S LICENSE, SHALL BE PERMITTED AND ASSISTED TO DO EVERYTHING POSSIBLE TO TREAT A PATIENT, USING ANY NECESSARY FACILITIES OF THE HOSPITAL AND INCLUDING THE CALLING OF ANY CONSULTATION NECESSARY OR DESIRABLE.
 - (3) WHEN AN EMERGENCY SITUATION NO LONGER EXISTS, THE PRACTITIONER MUST REQUEST THE PRIVILEGES NECESSARY TO CONTINUE TO TREAT THE PATIENT. IN THE EVENT SUCH PRIVILEGES ARE DENIED OR THE PRACTITIONER DOES NOT DESIRE TO REQUEST PRIVILEGES, THE PATIENT SHALL BE ASSIGNED BY THE CHIEF OF THE APPROPRIATE CLINICAL DEPARTMENT TO AN APPROPRIATE MEMBER OF THE MEDICAL STAFF.
- 3335-45-07 CORRECTIVE ACTION, SUMMARY SUSPENSION AND AUTOMATIC SUSPENSION.
- (A) INITIATION OF CORRECTIVE ACTION.
- (1) WHENEVER THE ACTIVITY OR PROFESSIONAL CONDUCT OF ANY PRACTITIONER WITH CLINICAL PRIVILEGES, WHETHER OCCURRING WITHIN THE HOSPITAL OR AT ANOTHER LOCATION:

- (a) VIOLATES THE STANDARDS OR AIMS OF THE MEDICAL STAFF OR STANDARDS OF PROFESSIONAL CONDUCT;
- (b) IS CONSIDERED TO BE DISRUPTIVE TO THE OPERATION OF THE HOSPITAL;
- (c) VIOLATES THESE MEDICAL STAFF BYLAWS OR RULES AND REGULATIONS OF THE MEDICAL STAFF, THE BOARD, THE OHIO STATE UNIVERSITY HOSPITALS BOARD OR THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES;
- (d) VIOLATES STATE OR FEDERAL LAW; OR
- (e) ARE A POSSIBLE THREAT TO THE WELL-BEING OF PATIENTS IN OR EMPLOYEES OF THE HOSPITAL, OR ARE DISRUPTIVE TO THE ABILITY OF THE HOSPITAL TO PROVIDE QUALITY CARE ACCORDING TO PROFESSIONAL STANDARDS.

CORRECTIVE ACTION MAY BE REQUESTED IN ACCORDANCE WITH PARAGRAPH (A)(2) OF THIS RULE BY ANY OFFICER OF THE MEDICAL STAFF, THE CHIEF OF ANY MEDICAL STAFF CLINICAL DEPARTMENT, THE CHAIR OF ANY STANDING COMMITTEE OF THE MEDICAL STAFF, THE CHIEF EXECUTIVE OFFICER, THE MEDICAL DIRECTOR, OR THE DEAN.

- (2) A REQUEST FOR CORRECTIVE ACTION SHALL BE MADE IN WRITING AND SHALL STATE THE SPECIFIC ACTIVITIES OR CONDUCT FORMING THE BASIS FOR THE REQUEST. A REQUEST FOR CORRECTIVE ACTION SHALL BE DELIVERED TO THE MEDICAL DIRECTOR WHO SHALL FORWARD THE REQUEST TO THE EXECUTIVE COMMITTEE.
- (3) WITHIN SEVEN DAYS FOLLOWING RECEIPT OF A REQUEST FOR CORRECTIVE ACTION, THE EXECUTIVE COMMITTEE SHALL ESTABLISH AN AD HOC COMMITTEE TO INVESTIGATE THE REQUEST. THE AD HOC COMMITTEE SHALL BE COMPRISED OF:
 - (a) ONE MEMBER OF THE MEDICAL STAFF APPOINTED BY THE DEAN;
 - (b) THE CHIEF OF THE RELEVANT CLINICAL DEPARTMENT (OR THE CHIEF'S DESIGNEE); AND
 - (c) ONE MEMBER OF THE MEDICAL STAFF APPOINTED BY THE MEDICAL DIRECTOR.

NOTWITHSTANDING THE FOREGOING, IF THE REQUEST FOR CORRECTIVE ACTION INVOLVES THE CHIEF OF THE CLINICAL DEPARTMENT, THE CHIEF OF STAFF SHALL APPOINT ANOTHER MEMBER TO SERVE ON THE AD HOC COMMITTEE. IN ADDITION, IF THE REQUEST FOR CORRECTIVE ACTION INVOLVES THE CHIEF OF STAFF, THE EXECUTIVE COMMITTEE, WITHOUT THE PARTICIPATION OF THE CHIEF OF STAFF, SHALL MAKE THE AD HOC COMMITTEE APPOINTMENTS WHICH WOULD OTHERWISE BE MADE BY THE CHIEF OF STAFF. IF THE REQUEST FOR CORRECTIVE ACTION INVOLVES THE MEDICAL DIRECTOR OR THE DEAN, THE CHIEF OF STAFF SHALL MAKE THE AD HOC COMMITTEE APPOINTMENTS WHICH WOULD OTHERWISE BE MADE AS PROVIDED ABOVE.

(B) INVESTIGATION.

- (1) UPON ITS APPOINTMENT, THE AD HOC COMMITTEE WILL IMMEDIATELY PROCEED TO INVESTIGATE, IN ANY MANNER IT DEEMS APPROPRIATE, THE

ALLEGATIONS CONTAINED IN THE REQUEST FOR CORRECTIVE ACTION. IN CONDUCTING ITS INVESTIGATION, THE AD HOC COMMITTEE MAY, BUT IS NOT REQUIRED TO: INTERVIEW THE AFFECTED PRACTITIONER; INTERVIEW ANY OTHER INDIVIDUALS WHO MAY HAVE INFORMATION PERTINENT TO THE INVESTIGATION; REVIEW ANY RELEVANT MEDICAL RECORDS; REVIEW ANY RELEVANT QUALITY IMPROVEMENT DATA OR STUDIES; OBTAIN THE REVIEW OF THE RELEVANT MEDICAL RECORDS BY AN INDIVIDUAL WITHIN THE SAME OR SIMILAR SPECIALTY AS THE AFFECTED PRACTITIONER; AND UNDERTAKE ANY OTHER ACTIONS WHICH ARE INTENDED TO PROVIDE INFORMATION RELEVANT TO THE INVESTIGATION. THE AD HOC COMMITTEE SHALL ATTEMPT TO DETERMINE THE FACTS SURROUNDING THE REQUEST FOR CORRECTIVE ACTION, AND WHETHER CORRECTIVE ACTION IS APPROPRIATE UNDER THE CIRCUMSTANCES. IF FORMAL CORRECTIVE ACTION IS NOT NECESSARY OR APPROPRIATE, THE AD HOC COMMITTEE SHALL WORK WITH THE AFFECTED PRACTITIONER AND THE PERSON REQUESTING THE CORRECTIVE ACTION TO INFORMALLY RESOLVE THE PROBLEMS OR CONCERNS RESULTING IN THE REQUEST FOR CORRECTIVE ACTION. THE AD HOC COMMITTEE'S INVESTIGATION AND ANY INTERVIEWS CONDUCTED SHALL NOT CONSTITUTE A HEARING AND NONE OF THE PROCEDURAL RULES PROVIDED IN THESE RULES WITH RESPECT TO A HEARING SHALL APPLY.

- (2) WITHIN FOURTEEN DAYS AFTER ITS APPOINTMENT, OR WITHIN TEN DAYS AFTER ITS APPOINTMENT IN THE CASE OF A SUMMARY SUSPENSION UNDER PARAGRAPH (D)(3)(b) OF THIS RULE, THE AD HOC COMMITTEE WILL PREPARE A WRITTEN REPORT OF ITS INVESTIGATION, FACTUAL FINDINGS AND RECOMMENDATIONS TO THE EXECUTIVE COMMITTEE AS TO WHAT ACTION, IF ANY, SHOULD BE TAKEN REGARDING THE REQUEST FOR CORRECTIVE ACTION. THE AD HOC COMMITTEE MAY RECOMMEND ONE OR MORE OF THE FOLLOWING ACTIONS:
- (a) THE REQUEST FOR CORRECTIVE ACTION BE DISMISSED BECAUSE NO FORMAL ACTION IS NECESSARY OR APPROPRIATE;
 - (b) THE REQUEST FOR CORRECTIVE ACTION BE REJECTED AS WITHOUT BASIS;
 - (c) THE REQUEST FOR CORRECTIVE ACTION BE MODIFIED;
 - (d) THE REQUEST FOR CORRECTIVE ACTION BE ACCEPTED AND ANY OF THE FOLLOWING PROFESSIONAL REVIEW ACTIONS BE TAKEN:
 - (i) THE PRACTITIONER BE ISSUED A WARNING;
 - (ii) THE PRACTITIONER BE FORMALLY REPRIMANDED;
 - (iii) THAT SPECIFIC TERMS OF PROBATION BE IMPOSED UPON THE PRACTITIONER;
 - (iv) THAT THE PRACTITIONER BE REQUIRED TO OBTAIN MEDICAL, PSYCHIATRIC OR SUBSTANCE ABUSE CONSULTATION AND/OR TREATMENT DUE TO INDICATIONS THAT THE PRACTITIONER HAS A PHYSICAL, MENTAL OR SUBSTANCE ABUSE PROBLEM THAT MAY AFFECT THE PRACTITIONER'S ABILITY TO PROVIDE QUALITY PATIENT CARE IN THE HOSPITAL, OR TO WORK WITH OTHER MEMBERS OF THE MEDICAL STAFF OR HOSPITAL EMPLOYEES IN A WAY THAT ENSURES MEDICAL CARE ACCORDING TO PROFESSIONAL STANDARDS;

- (v) THAT THE PRACTITIONER'S CLINICAL PRIVILEGES BE MODIFIED, REDUCED, SUSPENDED OR REVOKED;
- (vi) THAT AN ALREADY IMPOSED SUMMARY SUSPENSION OF CLINICAL PRIVILEGES BE TERMINATED, MODIFIED OR SUSTAINED; OR
- (vii) THAT THE PRACTITIONER'S MEDICAL STAFF MEMBERSHIP BE SUSPENDED OR REVOKED.

IN ADDITION, IN THE CASE OF A SUMMARY SUSPENSION UNDER PARAGRAPH (D)(3)(b) OF THIS RULE, THE AD HOC COMMITTEE SHALL MAKE A FINDING AS TO WHETHER OR NOT THE PRACTITIONER'S EXERCISE OF CLINICAL PRIVILEGES MAY RESULT IN AN IMMINENT DANGER TO THE HEALTH OF ANY INDIVIDUAL. IF THE AD HOC COMMITTEE FINDS THAT THE PRACTITIONER'S EXERCISE OF PRIVILEGES MAY RESULT IN SUCH DANGER, THE SUMMARY SUSPENSION SHALL CONTINUE IN EFFECT UNTIL THE CONCLUSION OF THE CORRECTIVE ACTION PROCESS AND ANY HEARING AND APPEAL. OTHERWISE, THE SUMMARY SUSPENSION SHALL TERMINATE BY ITS OWN TERMS.

- (3) THE REPORT AND RECOMMENDATION OF THE AD HOC COMMITTEE SHALL BE DELIVERED BY THE MEDICAL DIRECTOR TO THE EXECUTIVE COMMITTEE, AND A COPY SHALL BE SENT TO THE AFFECTED PRACTITIONER BY CERTIFIED MAIL RETURN RECEIPT REQUESTED. THE AFFECTED PRACTITIONER SHALL BE ADVISED THAT, WITHIN TEN DAYS FROM ITS RECEIPT, HE OR SHE MAY RESPOND IN WRITING TO THE AD HOC COMMITTEE'S REPORT AND RECOMMENDATION, BY DELIVERING THE WRITTEN RESPONSE TO THE MEDICAL DIRECTOR OR THE MEDICAL DIRECTOR'S DESIGNEE.
- (C) ACTION ON AD HOC COMMITTEE RECOMMENDATIONS.
- (1) AT THE NEXT REGULARLY SCHEDULED MEETING OF THE EXECUTIVE COMMITTEE AFTER THE TIME FOR SUBMITTING WRITTEN COMMENTS HAS EXPIRED, OR AT A SPECIAL MEETING CALLED FOR THIS PURPOSE, THE EXECUTIVE COMMITTEE SHALL REVIEW THE REPORT AND RECOMMENDATION OF THE AD HOC COMMITTEE, THE WRITTEN COMMENTS, IF ANY, SUBMITTED BY THE AFFECTED PRACTITIONER, AND ANY OTHER SUPPORTING INFORMATION SUBMITTED BY AD HOC COMMITTEE OR THE AFFECTED PRACTITIONER. THE EXECUTIVE COMMITTEE SHALL TAKE SUCH ACTION OR MAKE SUCH RECOMMENDATION TO THE BOARD ON THE REQUEST FOR CORRECTIVE ACTION, AS IT DETERMINES TO BE APPROPRIATE INCLUDING ANY AND ALL SUCH ACTIONS AND RECOMMENDATIONS AS ARE DESCRIBED IN PARAGRAPH (B)(2) OF THIS RULE.
 - (2) THE MEDICAL DIRECTOR SHALL NOTIFY THE PRACTITIONER OF THE RECOMMENDATION AND, IN THE CASE OF A RECOMMENDATION WHICH MAY ADVERSELY AFFECT THE PRACTITIONER'S STATUS AS A MEMBER OF THE MEDICAL STAFF OR EXERCISE OF CLINICAL PRIVILEGES, THE RIGHT TO A HEARING UNDER RULE 3335-45-08 OF THE ADMINISTRATIVE CODE. SUCH NOTIFICATION SHALL BE SENT BY CERTIFIED MAIL, RETURN RECEIPT REQUESTED. THE EXECUTIVE COMMITTEE'S RECOMMENDATION SHALL NOT BE TRANSMITTED TO THE BOARD UNTIL THE PRACTITIONER HAS EXERCISED OR WAIVED THE APPLICABLE HEARING AND APPEAL RIGHTS UNDER RULE 3335-45-08 OF THE ADMINISTRATIVE CODE.

(D) SUMMARY SUSPENSION.

- (1) UPON THE RECOMMENDATION OR CONCURRENCE OF EITHER THE CHIEF OF A CLINICAL DEPARTMENT, THE CHIEF OF STAFF, THE EXECUTIVE COMMITTEE OR THE BOARD, THE MEDICAL DIRECTOR MAY SUSPEND ALL OR A PORTION OF THE CLINICAL PRIVILEGES OF A PRACTITIONER, EFFECTIVE IMMEDIATELY, WITHOUT PRIOR NOTICE OR OPPORTUNITY FOR A HEARING. SUCH A SUMMARY SUSPENSION SHALL BE IMPOSED WHEN IMMEDIATE ACTION IS NECESSARY OR APPROPRIATE IN THE BEST INTEREST OF THE PATIENTS IN THE HOSPITAL OR IN THE BEST INTEREST AND SAFETY OF MEDICAL STAFF MEMBERS OR HOSPITAL EMPLOYEES.
- (2) THE MEDICAL DIRECTOR SHALL IMMEDIATELY GIVE NOTICE OF SUCH SUMMARY SUSPENSION TO THE AFFECTED PRACTITIONER BY HAND DELIVERY AND BY CERTIFIED MAIL, RETURN RECEIPT REQUESTED. IN ADDITION, THE MEDICAL DIRECTOR SHALL NOTIFY THE CHIEF EXECUTIVE OFFICER AND THE EXECUTIVE COMMITTEE OF THE SUMMARY SUSPENSION.
- (3) THE EXECUTIVE COMMITTEE SHALL REVIEW THE SUMMARY SUSPENSION WITHIN SEVENTY-TWO HOURS OF ITS IMPOSITION AND EITHER:
 - (a) LIFT THE SUMMARY SUSPENSION;
 - (b) MAINTAIN OR MODIFY THE SUMMARY SUSPENSION FOR A TOTAL PERIOD OF UP TO TEN DAYS WHILE THE INVESTIGATION PROCESS SET FORTH IN PARAGRAPH (B) OF THIS RULE PROCEEDS; OR
 - (c) MAINTAIN OR MODIFY THE SUMMARY SUSPENSION FOR AN INDEFINITE PERIOD OF TIME, UNTIL THE CONCLUSION OF THE CORRECTIVE ACTION PROCESS AND ANY HEARING AND APPEAL, BASED UPON THE EXECUTIVE COMMITTEE'S CONCLUSION THAT THE PRACTITIONER'S EXERCISE OF CLINICAL PRIVILEGES MAY RESULT IN AN IMMEDIATE DANGER TO THE HEALTH OF ANY INDIVIDUAL. THE EXECUTIVE COMMITTEE SHALL TREAT THE IMPOSITION OF A SUMMARY SUSPENSION, WHETHER OR NOT IT CONTINUES IN EFFECT, AS A REQUEST FOR CORRECTIVE ACTION PURSUANT TO THIS RULE.
- (4) UPON THE IMPOSITION OF A SUMMARY SUSPENSION, THE MEDICAL DIRECTOR OR THE CHIEF OF THE CLINICAL DEPARTMENT CONCERNED SHALL HAVE THE AUTHORITY TO PROVIDE ALTERNATE COVERAGE FOR PATIENTS OF THE SUSPENDED PRACTITIONER WHO ARE IN THE HOSPITAL AT THE TIME OF THE SUSPENSION. THE WISHES OF THE PATIENTS SHALL BE CONSIDERED IN THE SELECTION OF SUCH ALTERNATIVE PRACTITIONER.

(E) AUTOMATIC SUSPENSION OR TERMINATION.

- (1) ANY PRACTITIONER WHOSE LICENSE, CERTIFICATE OR OTHER LEGAL CREDENTIAL AUTHORIZING HIM OR HER TO PRACTICE HIS OR HER PROFESSION IN THIS STATE IS REVOKED OR SUSPENDED BY ANY AGENCY OF THE STATE OF OHIO SHALL IMMEDIATELY AND AUTOMATICALLY BE TERMINATED FROM STAFF STATUS AND FROM EXERCISING HIS OR HER CLINICAL PRIVILEGES AT THE HOSPITAL. IF SUCH LICENSE, CERTIFICATE OR OTHER LEGAL CREDENTIAL IS ONLY PARTIALLY LIMITED OR RESTRICTED, CLINICAL PRIVILEGES WITHIN THE SCOPE OF SUCH LIMITATION OR RESTRICTION SHALL AUTOMATICALLY BE SUSPENDED; PROVIDED THAT SUCH LIMITATION OR RESTRICTION MAY GIVE RISE TO AN

INVESTIGATION FOR CORRECTIVE ACTION PURSUANT TO PARAGRAPHS (A) AND (B) OF THIS RULE.

- (2) A PRACTITIONER WHOSE DEA NUMBER OR OTHER RIGHT TO PRESCRIBE OR ADMINISTER CONTROLLED SUBSTANCES IS REVOKED OR SUSPENDED SHALL IMMEDIATELY AND AUTOMATICALLY BE TERMINATED FROM PRACTICING AT THE HOSPITAL, FROM HIS OR HER STAFF STATUS, AND FROM EXERCISE OF CLINICAL PRIVILEGES. IF SUCH NUMBER OR OTHER RIGHT TO PRESCRIBE OR ADMINISTER CONTROLLED SUBSTANCES IS PARTIALLY LIMITED OR RESTRICTED, CLINICAL PRIVILEGES WITHIN THE SCOPE OF SUCH LIMITATION OR RESTRICTION SHALL BE AUTOMATICALLY SUSPENDED; PROVIDED THAT SUCH LIMITATION OR RESTRICTION MAY GIVE RISE TO AN INVESTIGATION FOR CORRECTIVE ACTION PURSUANT TO PARAGRAPHS (A) AND (B) OF THIS RULE.
- (3) A PRACTITIONER WHO FAILS TO REPORT TO THE HOSPITAL ANY RESTRICTION OR CONDITION IDENTIFIED IN PARAGRAPH (A)(4)(i) OF RULE 3335-45-04 OF THE ADMINISTRATIVE CODE WITHIN THIRTY DAYS OF IMPOSITION SHALL RESULT IN AUTOMATIC TERMINATION OF MEDICAL STAFF MEMBERSHIP AND CLINICAL PRIVILEGES.
- (4) AN AUTOMATIC SUSPENSION OF ALL OF A PRACTITIONER'S ADMITTING AND CLINICAL PRIVILEGES (EXCEPT WITH REGARD TO THE PRACTITIONER'S CURRENT INPATIENTS) MAY BE IMPOSED BY THE MEDICAL DIRECTOR FOR FAILURE TO COMPLETE MEDICAL RECORDS IN A TIMELY FASHION AS DEFINED BY THE MEDICAL STAFF RULES AND REGULATIONS. SUCH SUSPENSION SHALL REMAIN IN EFFECT UNTIL SUCH TIME AS ALL DELINQUENT MEDICAL RECORDS HAVE BEEN COMPLETED AND FILED WITH THE MEDICAL RECORDS DEPARTMENT OF THE HOSPITAL AS DETERMINED BY THE MEDICAL DIRECTOR. REPEATED FAILURE TO COMPLETE MEDICAL RECORDS WITHIN THE TIME LIMITS SPECIFIED IN THE MEDICAL STAFF RULES AND REGULATIONS MAY RESULT IN THE FILING OF A REQUEST FOR CORRECTIVE ACTION AGAINST THE PRACTITIONER.
- (5) ANY PRACTITIONER WHO FAILS TO SUBMIT A TIMELY AND COMPLETE REAPPOINTMENT APPLICATION OR TO PROVIDE INFORMATION REQUESTED BY THE CREDENTIALS COMMITTEE DURING THE REAPPOINTMENT PROCESS AS DESCRIBED IN PARAGRAPH (F) OF RULE 3335-45-04 OF THE ADMINISTRATIVE CODE SHALL BE DEEMED TO HAVE VOLUNTARILY RESIGNED FROM THE MEDICAL STAFF AND SHALL RESULT IN THE AUTOMATIC TERMINATION OF MEMBERSHIP AND ALL CLINICAL PRIVILEGES UPON EXPIRATION OF THE PRACTITIONER'S THEN CURRENT GRANT OF MEDICAL STAFF MEMBERSHIP AND CLINICAL PRIVILEGES.
- (6) ANY PRACTITIONER WHO FAILS TO PROVIDE DOCUMENTATION TO THE MEDICAL DIRECTOR OF CURRENT VALID PROFESSIONAL MALPRACTICE INSURANCE COVERAGE (OF AT LEAST THE MINIMUM AS REQUIRED BY THE BOARD) SHALL AUTOMATICALLY HAVE ALL ADMITTING AND CLINICAL PRIVILEGES AT THE HOSPITAL SUSPENDED, UNTIL SUCH TIME AS ADEQUATE DOCUMENTATION HAS BEEN PROVIDED TO THE MEDICAL DIRECTOR EVIDENCING VALID MALPRACTICE INSURANCE REQUIREMENTS OF AT LEAST THE MANNER REQUIRED BY THE BOARD.
- (7) A PRACTITIONER WHO HAS BEEN REQUESTED TO APPEAR AT ANY MEETING OF ANY COMMITTEE OF THE MEDICAL STAFF OR HOSPITAL TO DISCUSS PROPOSED CORRECTIVE ACTION AND FAILS TO APPEAR MAY BE AUTOMATICALLY TERMINATED FROM MEDICAL STAFF MEMBERSHIP AND CLINICAL PRIVILEGES.

- (8) A PRACTITIONER'S ADMITTING PRIVILEGES MAY BE TEMPORARILY RESTRICTED PURSUANT TO PARAGRAPH (D) OF RULE 3335-45-03 OF THE ADMINISTRATIVE CODE IN THE INTERESTS OF BALANCED TEACHING AND PATIENT CARE.
- (9) LOSS OR NON-RENEWAL OF FACULTY APPOINTMENT IF REQUIRED AS A CONDITION OF MEDICAL STAFF MEMBERSHIP OR CLINICAL PRIVILEGES WILL RESULT IN AN AUTOMATIC AND IMMEDIATE TERMINATION OF MEDICAL STAFF MEMBERSHIP OR CLINICAL PRIVILEGES.
- (10) FAILURE TO MEET OR MAINTAIN BOARD CERTIFICATION OR RECERTIFICATION AS REQUIRED IN PARAGRAPH (A)(4)(c) OF RULE 3335-45-04 OF THE ADMINISTRATIVE CODE SHALL RESULT IN AUTOMATIC AND IMMEDIATE TERMINATION OF MEDICAL STAFF MEMBERSHIP UNLESS WAIVED IN ACCORDANCE WITH THESE RULES.
- (11) A PRACTITIONER UNDER AUTOMATIC SUSPENSION BY OPERATION OF THIS PARAGRAPH SHALL NOT BE ENTITLED TO THE PROCEDURE RIGHTS PROVIDED IN RULE 3335-45-08 OF THE ADMINISTRATIVE CODE. SUCH SUSPENSION IS NOT THE RESULT OF ANY ADVERSE PROFESSIONAL REVIEW ACTION OR RECOMMENDATION OF THE BOARD, THE STAFF, OR ANY COMMITTEE OR DEPARTMENT.
- (12) ANY PRACTITIONER'S CLINICAL PRIVILEGES AUTOMATICALLY SUSPENDED UNDER (E)(1) OR (E)(2) OF THIS PARAGRAPH SHALL NOT, BY THE PASSAGE OF TIME OR THE CURING OF THE EVENT WHICH GAVE RISE TO AUTOMATIC SUSPENSION, BE AUTOMATICALLY REINSTATED. INSTEAD, IN ORDER TO REGAIN CLINICAL PRIVILEGES, SUCH PRACTITIONERS SHALL BE REQUIRED TO FILE AN APPLICATION FOR MEDICAL STAFF MEMBERSHIP AND CLINICAL PRIVILEGES WHICH APPLICATION SHALL BE PROCESSED AS PROVIDED IN RULE 3335-45-04 OF THE ADMINISTRATIVE CODE.

3335-45-08 HEARING AND APPELLATE REVIEW.

(A) DEFINITIONS.

THE FOLLOWING TERMS SHALL HAVE THESE MEANINGS WHEN USED IN THIS ARTICLE, UNLESS OTHERWISE SPECIFIED:

- (1) "NOTICE" MEANS WRITTEN NOTICE SENT BY CERTIFIED MAIL, RETURN RECEIPT REQUESTED.
- (2) "ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION" REFERS TO A RECOMMENDATION OR ACTION BY THE EXECUTIVE COMMITTEE OR AN ACTION BY THE BOARD, WHICH MAY ADVERSELY AFFECT THE PRACTITIONER'S APPOINTMENT TO OR STATUS AS A MEMBER OF THE MEDICAL STAFF OR THE PRACTITIONER'S EXERCISE OF CLINICAL PRIVILEGES.
- (3) "PARTIES" SHALL MEAN THE AFFECTED PRACTITIONER AND EITHER THE MEMBER OF THE EXECUTIVE COMMITTEE DESIGNATED TO REPRESENT THE EXECUTIVE COMMITTEE'S POSITION IN THE CASE OF A HEARING ON AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR DECISION BY THE EXECUTIVE COMMITTEE, OR THE MEMBER OF THE BOARD DESIGNATED TO REPRESENT THE BOARD POSITION IN THE CASE OF A HEARING ON AN ADVERSE PROFESSIONAL REVIEW DECISION OF THE BOARD.

(B) ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION.

A PRACTITIONER SHALL BE ENTITLED TO A HEARING PURSUANT TO THE PROVISIONS OF THESE RULES ONLY AFTER AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ADVERSE PROFESSIONAL REVIEW ACTION INVOLVING:

- (1) DENIAL OF INITIAL APPOINTMENT TO STAFF STATUS, EXCEPT WHERE THE APPLICATION DOES NOT MEET THE MINIMUM OBJECTIVE REQUIREMENTS SET FORTH IN RULE 3335-45-03 OF THE ADMINISTRATIVE CODE FOR MEDICAL STAFF MEMBERSHIP.
- (2) DENIAL OF REAPPOINTMENT.
- (3) SUMMARY SUSPENSION OF STAFF STATUS.
- (4) REVOCATION OR TERMINATION OF STAFF STATUS, EXCEPT WHERE CONTINUED APPOINTMENT TO THE MEDICAL STAFF WAS CONTINGENT UPON CONTINUANCE OF A CONTRACTUAL RELATIONSHIP WITH THE HOSPITAL.
- (5) DENIAL OF REQUESTED ADVANCEMENT IN STAFF STATUS.
- (6) REDUCTION IN STAFF STATUS.
- (7) DENIAL OF REQUESTED CLINICAL PRIVILEGES.
- (8) REDUCTION IN CLINICAL PRIVILEGES.
- (9) SUMMARY SUSPENSION OF CLINICAL PRIVILEGES.
- (10) REVOCATION OF CLINICAL PRIVILEGES.
- (11) REQUIREMENT OF CONSULTATION, SUPERVISION OR MONITORING WHICH RESTRICT THE CLINICAL PRIVILEGES OF THE PRACTITIONER OR THE DELIVERY OF PROFESSIONAL SERVICES TO PATIENTS.
- (12) NON-REINSTATEMENT OF STAFF STATUS OF CLINICAL PRIVILEGES AFTER A LEAVE OF ABSENCE.
- (13) TERMINATION OF STAFF STATUS OR PRIVILEGES FOR FAILURE TO ADVANCE FROM PROVISIONAL STATUS PURSUANT TO PARAGRAPH (F) OF RULE 3335-45-05 OF THE ADMINISTRATIVE CODE.
- (14) SUCH OTHER ACTIONS WHICH CONSTITUTE A REPORTABLE ADVERSE PROFESSIONAL REVIEW DECISION UNDER THE HEALTHCARE QUALITY IMPROVEMENT ACT OF 1986 AS AMENDED, OR STATE LAW.

NOTWITHSTANDING THE FOREGOING, NONE OF THE FOLLOWING ACTIONS OR RECOMMENDATIONS SHALL BE "ADVERSE PROFESSIONAL REVIEW ACTIONS OR RECOMMENDATIONS" ENTITLING A PRACTITIONER TO THE DUE PROCESS RIGHTS OF THIS RULE:

- (a) REQUIREMENTS OF CONSULTATION, SUPERVISION OR MONITORING WHICH ARE IMPOSED ON PRACTITIONERS HOLDING PROVISIONAL STATUS OR CLINICAL PRIVILEGES FOR A PROVISIONAL PERIOD OR WHICH ARE IMPOSED ON A PRACTITIONER BUT DO NOT RESTRICT THE CLINICAL PRIVILEGES OF THE PRACTITIONER OR THE DELIVERY OF PROFESSIONAL SERVICES TO PATIENTS.

- (b) AUTOMATIC SUSPENSIONS OR TERMINATIONS PURSUANT TO PARAGRAPH (E) OF RULE 3335-45-07 OF THE ADMINISTRATIVE CODE.
 - (c) ACTIONS BY THE EXECUTIVE COMMITTEE ADOPTING A SANCTION AS DESCRIBED IN PARAGRAPHS (B)(2)(d)(i) or (B)(2)(d)(ii) OF RULE 3335-45-07 OF THE ADMINISTRATIVE CODE.
 - (d) DENIAL, TERMINATION OR REDUCTION OF TEMPORARY PRIVILEGES.
 - (e) DENIAL OF AN INITIAL APPOINTMENT TO STAFF STATUS BECAUSE RESPONSES FROM REFERENCES AND FROM OTHER REQUESTS FOR INFORMATION HAVE NOT BEEN RECEIVED IN A TIMELY MANNER; AND DENIAL OF REAPPOINTMENT BECAUSE RESPONSES FROM ANY REFERENCES REQUIRED AND ASKED TO PROVIDE INFORMATION AND FROM OTHER REQUESTS FOR INFORMATION HAVE NOT BEEN RECEIVED IN A TIMELY MANNER.
 - (f) DENIAL OF REAPPOINTMENT BECAUSE OF FAILURE TO FILE A COMPLETED REAPPOINTMENT APPLICATION IN A TIMELY MANNER.
 - (g) ANY RECOMMENDATION OR ACTION WHICH DOES NOT CONSTITUTE A REPORTABLE ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION UNDER THE HEALTHCARE QUALITY IMPROVEMENT ACT OF 1986 AS AMENDED, OR STATE LAW.
- (C) RIGHT TO HEARING AND APPELLATE REVIEW.
- (1) A PRACTITIONER SHALL BE ENTITLED TO A HEARING ONLY UPON REQUEST AND ONLY AFTER:
 - (a) AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION BY THE EXECUTIVE COMMITTEE;
 - (b) AN ADVERSE PROFESSIONAL REVIEW ACTION BY THE BOARD CONTRARY TO A FAVORABLE RECOMMENDATION BY THE EXECUTIVE COMMITTEE; OR
 - (c) AN ADVERSE PROFESSIONAL REVIEW ACTION BY THE BOARD IN THE ABSENCE OF A RECOMMENDATION BY THE EXECUTIVE COMMITTEE.
 - (2) THE HEARING PROCEDURE FOLLOWING AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION BY THE EXECUTIVE COMMITTEE SHALL CONSIST OF A HEARING BEFORE A HEARING COMMITTEE OR HEARING OFFICER APPOINTED PURSUANT TO PARAGRAPH (I) OF THIS RULE AND AN APPELLATE REVIEW OF AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION BY THE HEARING COMMITTEE AS SUCH APPELLATE REVIEW IS PROVIDED FOR IN THESE RULES. THE HEARING PROCEDURE FOLLOWING AN ADVERSE PROFESSIONAL REVIEW ACTION OF THE BOARD PURSUANT TO (1)(b) OR (1)(c) OF THIS PARAGRAPH SHALL CONSIST OF A HEARING BEFORE A HEARING COMMITTEE OR HEARING OFFICER APPOINTED PURSUANT TO PARAGRAPH (I) OF THIS RULE AND AN APPELLATE REVIEW OF AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION BY THE HEARING COMMITTEE AS SUCH APPELLATE REVIEW IS PROVIDED FOR IN THESE RULES.

(D) VOLUNTARY LIMITATIONS.

AT ANY TIME AFTER AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ADVERSE PROFESSIONAL REVIEW ACTION (INCLUDING A SUMMARY PROFESSIONAL REVIEW ACTION) AND PRIOR TO THE FINAL ACTION OF THE BOARD, THE AFFECTED PRACTITIONER MAY REQUEST TO AGREE TO THE PROPOSED ACTION OR RECOMMENDATION OR TO RESIGN FROM STAFF STATUS AND SURRENDER HIS OR HER CLINICAL PRIVILEGES OR TO AGREE TO A MODIFICATION OF THE PROPOSED ACTION OR RECOMMENDATION. THE EXECUTIVE COMMITTEE OR BOARD, WHICHEVER BODY INITIATED THE ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION, SHALL ACCEPT OR REJECT THE PRACTITIONER'S PROPOSAL OR SUGGEST AN ALTERNATIVE PROPOSAL. THE CHIEF EXECUTIVE OFFICER OR DESIGNEE SHALL REPORT TO THE STATE MEDICAL BOARD OR OTHER AUTHORITIES, AS REQUIRED BY STATE AND/OR FEDERAL LAW, A PRACTITIONER WHO RESIGNS FROM THE MEDICAL STAFF AND SURRENDERS HIS OR HER CLINICAL PRIVILEGES PURSUANT TO THIS PARAGRAPH.

(E) NOTICE OF ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION. WHEN AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION HAS BEEN MADE OR TAKEN WHICH ENTITLES A PRACTITIONER TO A HEARING AS PROVIDED IN PARAGRAPH (B) OF THIS RULE, THE MEDICAL DIRECTOR SHALL, WITHIN TEN DAYS THEREAFTER, PROVIDE NOTICE BY CERTIFIED MAIL RETURN RECEIPT REQUESTED TO THE PRACTITIONER, WHICH NOTICE WILL INCLUDE:

- (1) THAT THE PRACTITIONER IS THE SUBJECT OF AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION;
- (2) THE PRACTITIONER'S RIGHT TO REQUEST A HEARING;
- (3) THE TIME LIMIT WITHIN WHICH TO REQUEST THE HEARING; AND
- (4) A SUMMARY OF HEARING RIGHTS.

THE NOTICE SHALL ALSO CONTAIN THE REASONS FOR THE RECOMMENDATION OR ACTION INCLUDING A CONCISE STATEMENT OF THE PRACTITIONER'S ALLEGED ACTS OR OMISSIONS AND, WHERE APPROPRIATE, A LIST OF SPECIFIC OR REPRESENTATIVE PATIENT CHARTS IN QUESTION OR THE OTHER REASONS OR SUBJECT MATTER FORMING THE BASIS FOR THE ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION.

(F) REQUEST FOR HEARING.

A PRACTITIONER SHALL HAVE THIRTY DAYS FOLLOWING HIS RECEIPT OF A NOTICE PURSUANT TO PARAGRAPH (E) OF THIS RULE TO FILE A WRITTEN REQUEST FOR A HEARING. SUCH A REQUEST SHALL BE DELIVERED TO THE MEDICAL DIRECTOR BY CERTIFIED MAIL RETURN RECEIPT REQUESTED.

(G) WAIVER BY FAILURE TO REQUEST A HEARING.

A PRACTITIONER WHO FAILS TO REQUEST A HEARING WITHIN THE TIME AND IN THE MANNER SPECIFIED IN PARAGRAPH (F) OF THIS RULE WAIVES ANY RIGHT TO SUCH HEARING AND TO ANY APPELLATE REVIEW TO WHICH HE OR SHE MIGHT OTHERWISE HAVE BEEN ENTITLED. SUCH WAIVER IN CONNECTION WITH:

- (1) AN ADVERSE PROFESSIONAL REVIEW ACTION BY THE BOARD SHALL CONSTITUTE ACCEPTANCE OF THAT ACTION WHICH SHALL THEREUPON BECOME EFFECTIVE AS OF THE FINAL ACTION OF THE BOARD.

- (2) AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION BY THE EXECUTIVE COMMITTEE SHALL CONSTITUTE ACCEPTANCE OF THE RECOMMENDATION WHICH SHALL THEREUPON BECOME AND REMAIN EFFECTIVE PENDING THE FINAL ACTION OF THE BOARD. A PRACTITIONER WHO HAS WAIVED THE RIGHT TO A HEARING REGARDING AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OF THE EXECUTIVE COMMITTEE IS NOT ENTITLED TO A HEARING ON AN ADVERSE PROFESSIONAL REVIEW ACTION OF THE BOARD BASED UPON SUCH ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OF THE EXECUTIVE COMMITTEE.

(H) NOTICE OF HEARING.

- (1) UPON RECEIPT OF A REQUEST FOR HEARING, OCCASIONED BY AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OF THE EXECUTIVE COMMITTEE, THE MEDICAL DIRECTOR WILL GIVE NOTICE OF THE REQUEST FOR HEARING TO THE CHIEF OF STAFF AND TO THE CHIEF EXECUTIVE OFFICER. PERSONS TO SERVE ON THE HEARING COMMITTEE OR AS THE HEARING OFFICER SHALL BE IMMEDIATELY APPOINTED AS PROVIDED IN PARAGRAPH (I) OF THIS RULE. IF A HEARING IS THE RESULT OF AN ADVERSE PROFESSIONAL REVIEW ACTION OF THE BOARD PURSUANT TO PARAGRAPHS (C)(1)(b) AND (C)(1)(c) OF THIS RULE, UPON RECEIPT OF A TIMELY REQUEST FOR A HEARING, THE MEDICAL DIRECTOR SHALL DELIVER SUCH REQUEST TO THE BOARD AND THE BOARD SHALL SCHEDULE AND ARRANGE FOR A HEARING.

THE MEDICAL DIRECTOR WILL ARRANGE A HEARING TO BE HELD NOT LESS THAN THIRTY DAYS, NOR MORE THAN FORTY-FIVE DAYS AFTER RECEIPT OF THE REQUEST FOR A HEARING. HOWEVER, IN THE CASE OF A PRACTITIONER WHO IS SUBJECT TO A SUMMARY SUSPENSION THEN IN EFFECT, THE HEARING SHALL BE HELD AS SOON AS APPROPRIATE ARRANGEMENTS MAY REASONABLY BE MADE, BUT NOT LATER THAN THIRTY DAYS FROM THE DATE OF RECEIPT OF THE REQUEST FOR HEARING, UNLESS THE PRACTITIONER WAIVES, IN WRITING, THE RIGHT TO HAVE THE HEARING CONDUCTED WITHIN THAT TIME PERIOD.

- (2) THE MEDICAL DIRECTOR SHALL SEND A NOTICE OF THE DATE, TIME, AND PLACE OF THE HEARING BY CERTIFIED MAIL, RETURN RECEIPT REQUESTED TO THE AFFECTED PRACTITIONER AND TO THE MEMBER(S) OF THE HEARING COMMITTEE OR HEARING OFFICER AS SOON AS ARRANGEMENTS FOR THE HEARING HAVE BEEN MADE. SUCH NOTICE SHALL ALSO INCLUDE A LIST OF WITNESSES, IF ANY, EXPECTED TO TESTIFY ON BEHALF OF THE EXECUTIVE COMMITTEE OR THE BOARD, DEPENDING ON WHOSE ACTION PROMPTED THE REQUEST FOR A HEARING. SUCH NOTICE SHALL BE SENT TO THE PRACTITIONER AT LEAST THIRTY DAYS IN ADVANCE OF THE HEARING, UNLESS A SUMMARY SUSPENSION IS CURRENTLY IN EFFECT, IN WHICH CASE THE NOTICE SHALL BE SENT AS SOON AS REASONABLY POSSIBLE.

(I) HEARING COMMITTEE.

- (1) THE HEARING COMMITTEE SHALL BE AN AD HOC COMMITTEE APPOINTED AT THE TIME A REQUEST FOR HEARING IS RECEIVED.
- (2) FOR HEARINGS OCCASIONED BY AN ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OF THE EXECUTIVE COMMITTEE, THE HEARING COMMITTEE WILL CONSIST OF ONE OF THE FOLLOWING ALTERNATIVES AS DETERMINED JOINTLY BY THE CHIEF OF STAFF AND THE MEDICAL DIRECTOR:

- (a) FIVE MEMBERS OF THE MEDICAL STAFF WHO ARE NOT IN DIRECT ECONOMIC COMPETITION WITH THE PRACTITIONER, APPOINTED JOINTLY BY THE CHIEF OF STAFF AND THE MEDICAL DIRECTOR. THE HEARING COMMITTEE SHALL DESIGNATE ONE OF THESE MEMBERS AS CHAIR OF THE HEARING COMMITTEE; OR
- (b) A HEARING OFFICER OR ARBITRATOR WHO IS APPOINTED JOINTLY BY THE CHIEF OF STAFF AND MEDICAL DIRECTOR, WHO IS ACCEPTABLE TO THE PRACTITIONER AND TO THE EXECUTIVE COMMITTEE, AND WHO IS NOT IN DIRECT ECONOMIC COMPETITION WITH THE AFFECTED PRACTITIONER INVOLVED.

NO ONE WHO ACTIVELY PARTICIPATED IN THE CONSIDERATION OF THE ADVERSE RECOMMENDATION OR ACTION SHALL BE APPOINTED TO THE HEARING COMMITTEE.

- (3) FOR HEARINGS OCCASIONED BY AN ADVERSE PROFESSIONAL REVIEW ACTION OF THE BOARD PURSUANT TO PARAGRAPHS (C)(1)(b) OR (C)(1)(c) OF THIS RULE, A HEARING COMMITTEE SHALL BE APPOINTED BY THE CHAIR OF THE BOARD IN CONSULTATION WITH THE MEDICAL DIRECTOR AND WILL BE COMPOSED OF NOT LESS THAN THREE NOR MORE THAN FIVE PERSONS. AT LEAST ONE MEDICAL STAFF MEMBER SHALL BE INCLUDED ON THE COMMITTEE AND THE REMAINING MEMBERS OF THE COMMITTEE MAY BE MEMBERS OF THE BOARD, THE MEDICAL STAFF OR ANY OTHER PERSON. ANY MEDICAL STAFF MEMBER SO APPOINTED SHALL NOT BE IN DIRECT ECONOMIC COMPETITION WITH THE AFFECTED PRACTITIONER.

(J) CONDUCT OF HEARING.

- (1) A MAJORITY OF THE HEARING COMMITTEE MEMBERS MUST BE PRESENT AT THE HEARING AND NO MEMBER MAY VOTE BY PROXY. AN ACTION OF THE HEARING COMMITTEE REQUIRES THE AFFIRMATIVE VOTE OF AT LEAST THREE MEMBERS, UNLESS THE HEARING OFFICER ALTERNATIVE IS CHOSEN.
- (2) THE PRACTITIONER MUST BE PHYSICALLY PRESENT AT THE HEARING. ABSENCE WITHOUT GOOD CAUSE CONSTITUTES A WAIVER OF ALL RIGHTS UNDER THIS RULE AND AN ACCEPTANCE OF THE ADVERSE RECOMMENDATION OR ACTION. THE HEARING COMMITTEE OR HEARING OFFICER, AS APPLICABLE, HAS SOLE DISCRETION TO DEFINE "GOOD CAUSE." IF GOOD CAUSE IS SHOWN, THE HEARING COMMITTEE OR HEARING OFFICER WILL RESCHEDULE THE HEARING AS SOON AS PRACTICAL.
- (3) POSTPONEMENT OF THE HEARING BEYOND THE TIME LIMITS OF THIS RULE MAY BE MADE ONLY FOR GOOD CAUSE AND WITH THE APPROVAL OF A MAJORITY OF THE HEARING COMMITTEE OR THE HEARING OFFICER, AS APPLICABLE. THE HEARING COMMITTEE OR HEARING OFFICER, AS APPLICABLE, HAS SOLE DISCRETION TO DEFINE "GOOD CAUSE".
- (4) THE PRACTITIONER MAY BE ACCOMPANIED AND/OR REPRESENTED BY EITHER LEGAL COUNSEL, OR A MEMBER OF THE MEDICAL STAFF, OR OTHER PERSON OF THE PRACTITIONER'S CHOICE. IF EITHER PARTY WILL BE ACCOMPANIED OR REPRESENTED BY LEGAL COUNSEL, WRITTEN NOTICE OF SUCH MUST BE GIVEN TO THE OTHER PARTY AT LEAST SEVENTY-TWO HOURS IN ADVANCE OF THE HEARING.
- (5) THE CHAIR OF THE HEARING COMMITTEE OR THE HEARING OFFICER WILL DETERMINE THE ORDER OF THE PROCEEDING, ASSURING ALL

PARTICIPANTS RECEIVE A REASONABLE OPPORTUNITY TO PRESENT RELEVANT ORAL AND DOCUMENTARY EVIDENCE. THE CHAIR WILL PRESIDE OVER THE HEARING AND MAINTAIN ORDER.

- (6) THE HEARING COMMITTEE OR HEARING OFFICER MAY, WITHOUT SPECIAL NOTICE, RECESS THE HEARING FOR THE CONVENIENCE OF THE MEMBERS OR FOR THE PURPOSE OF OBTAINING NEW OR ADDITIONAL EVIDENCE OR CONSULTATION.
- (7) THE PARTIES SHALL HAVE THE FOLLOWING RIGHTS, PROVIDED THAT THE HEARING COMMITTEE OR HEARING OFFICER IS PERMITTED TO REQUIRE THAT ORAL EVIDENCE BE TAKEN UNDER OATH OR AFFIRMATION ADMINISTERED BY A NOTARY PUBLIC DULY AUTHORIZED BY THE STATE OF OHIO:
 - (a) TO CALL AND EXAMINE WITNESSES;
 - (b) TO INTRODUCE WRITTEN EVIDENCE;
 - (c) TO CROSS-EXAMINE ANY WITNESS ON ANY MATTER RELEVANT TO THE HEARING;
 - (d) TO CHALLENGE AND REBUT ANY EVIDENCE; AND
 - (e) TO SUBMIT A WRITTEN STATEMENT AT THE CLOSE OF THE HEARING.
- (8) THE EXECUTIVE COMMITTEE OR THE BOARD, AS APPLICABLE, MAY APPOINT ONE OF ITS MEMBERS TO REPRESENT IT, AND DEFEND ITS RECOMMENDATION OR ACTION AT THE HEARING. IF THE PRACTITIONER WILL BE ACCOMPANIED OR REPRESENTED BY LEGAL COUNSEL AT THE HEARING, THE EXECUTIVE COMMITTEE OR THE BOARD MAY ALSO BE ACCOMPANIED OR REPRESENTED BY LEGAL COUNSEL AT THE HEARING.
- (9) THE PRACTITIONER SHALL HAVE THE BURDEN OF SHOWING THAT THE RECOMMENDATION OR ACTION IS ARBITRARY, CAPRICIOUS, OR UNREASONABLE.
- (10) THE RULES OF EVIDENCE APPLICABLE TO A COURT OF LAW SHALL NOT APPLY IN THE HEARING. ANY RELEVANT MATERIAL UPON WHICH RESPONSIBLE PERSONS ORDINARILY RELY MAY BE CONSIDERED, REGARDLESS OF ITS ADMISSIBILITY IN COURT.
 - (a) THE HEARING COMMITTEE OR HEARING OFFICER MAY TAKE OFFICIAL NOTICE OF ANY GENERALLY ACCEPTED TECHNICAL OR SCIENTIFIC MATTER AT ANY POINT PRIOR TO ITS FINAL REPORT. ALL PARTICIPANTS WILL BE INFORMED OF MATTERS SO NOTED, AND GIVEN THE OPPORTUNITY, UPON REQUEST, TO REFUTE SUCH OFFICIAL NOTICE IN A MANNER DETERMINED BY THE HEARING COMMITTEE OR HEARING OFFICER.
 - (b) THE HEARING COMMITTEE OR HEARING OFFICER MAY CONSIDER ANY PERTINENT MATERIAL CONTAINED ON FILE IN THE HOSPITAL AND ALL OTHER INFORMATION CONNECTED WITH A REQUEST FOR CORRECTIVE ACTION OR APPLICATION FOR APPOINTMENT OR REAPPOINTMENT TO THE MEDICAL STAFF OR FOR CLINICAL PRIVILEGES PURSUANT TO THESE RULES.

(c) AN ACCURATE RECORD OF PROCEEDINGS MUST BE KEPT AND SHALL BE RECORDED BY A COURT REPORTER, ELECTRONIC RECORDING DEVICE, OR DETAILED TRANSCRIPTION. COPIES OF THE TRANSCRIPT OF THE PROCEEDINGS MAY BE OBTAINED BY THE PRACTITIONER UPON PAYMENT OF ANY REASONABLE CHARGES ASSOCIATED WITH THE PREPARATION THEREOF. OTHERWISE, THE RECORD OF THE PROCEEDINGS SHALL BE PRIVILEGED AND CONFIDENTIAL, NOT SUBJECT TO DISCLOSURE TO OR DISCOVERY BY ANYONE AS PROVIDED BY SECTION 2305.251 OF THE REVISED CODE.

(11) WITHIN FOURTEEN DAYS AFTER THE HEARING IS CLOSED, THE HEARING COMMITTEE OR HEARING OFFICER SHALL DELIBERATE AND MAKE ITS DECISION. THE HEARING COMMITTEE OR HEARING OFFICER SHALL FORWARD ITS WRITTEN REPORT AND RECOMMENDATION TOGETHER WITH THE TRANSCRIPT OF THE HEARING AND ALL OTHER DOCUMENTATION PROVIDED BY THE PARTIES TO THE BODY WHOSE ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION OCCASIONED THE HEARING. THE REPORT SHALL CONCISELY STATE THE REASONS FOR THE FINDINGS AND RECOMMENDATIONS MADE IN THE REPORT. THE REPORT SHALL ALSO SPECIFICALLY AFFIRM, REVERSE OR MODIFY THE ADVERSE PROFESSIONAL REVIEW RECOMMENDATION OR ACTION WHICH WAS REVIEWED. THE EXECUTIVE COMMITTEE OR BOARD, AS APPROPRIATE, SHALL ACCEPT, REJECT, OR MODIFY THE RECOMMENDATION OF THE HEARING COMMITTEE OR HEARING OFFICER OR MAY CONDUCT FURTHER HEARINGS AS IT DEEMS NECESSARY OR MAY REMAND THE MATTER BACK TO THE HEARING COMMITTEE OR HEARING OFFICER FOR FURTHER ACTION AS DIRECTED. THE EXECUTIVE COMMITTEE OR THE BOARD MAY IMPOSE A GREATER OR LESSER SANCTION THAN THAT RECOMMENDED BY THE HEARING COMMITTEE OR HEARING OFFICER.

AN ACTION OF THE EXECUTIVE COMMITTEE SHALL BE FORWARDED TO THE BOARD. THE AFFECTED MEMBER OF THE MEDICAL STAFF SHALL BE NOTIFIED OF THE ACTION OF THE EXECUTIVE COMMITTEE BY THE MEDICAL DIRECTOR. THE ACTION AND RECORD OF THE EXECUTIVE COMMITTEE INCLUDING THE HEARING COMMITTEE OR HEARING OFFICER REPORT SHALL BE REVIEWED BY THE BOARD, WHICH SHALL HAVE THE AUTHORITY TO ACCEPT, REJECT, OR MODIFY THE PREVIOUS ACTION FORWARDED TO THEM. WHEN THE BOARD HAS MADE ITS DECISION, NOTICE OF THAT ACTION SHALL BE SENT CERTIFIED RETURN RECEIPT MAIL TO THE AFFECTED MEDICAL STAFF MEMBER AT THE MEMBER'S LAST KNOWN ADDRESS AS DETERMINED BY HOSPITAL RECORDS.

IF ANY ACTION OF THE BOARD IS ADVERSE TO THE PRACTITIONER, THE NOTICE OF ACTION WILL INFORM THE PRACTITIONER OF THE RIGHT TO AN APPELLATE REVIEW AS DESCRIBED IN THESE RULES.

(K) APPELLATE REVIEW.

(1) WITHIN FOURTEEN DAYS AFTER RECEIPT OF A NOTICE BY AN AFFECTED MEDICAL STAFF MEMBER OF AN ADVERSE PROFESSIONAL REVIEW ACTION OF THE BOARD PURSUANT TO PARAGRAPH (J) OF THIS RULE, THE MEMBER MAY, BY WRITTEN NOTICE TO THE OHIO STATE UNIVERSITY HOSPITALS BOARD, REQUEST AN APPELLATE REVIEW. SUCH APPELLATE REVIEW SHALL BE CONDUCTED BY THE OHIO STATE UNIVERSITY HOSPITALS BOARD OR A COMMITTEE DESIGNATED BY THE OHIO STATE UNIVERSITY HOSPITALS BOARD AND ONLY BE HELD ON THE RECORD ON WHICH THE ADVERSE ACTION IS BASED, AS APPENDED BY THE MEDICAL STAFF MEMBER'S STATEMENT PROVIDED FOR BELOW.

- (2) IF SUCH REVIEW IS NOT REQUESTED WITHIN THE FOURTEEN DAY PERIOD, THE AFFECTED MEDICAL STAFF MEMBER SHALL BE DEEMED TO HAVE WAIVED THE MEMBER'S RIGHT TO THE SAME, AND TO HAVE ACCEPTED SUCH ADVERSE ACTION, AND THE SAME SHALL BECOME EFFECTIVE IMMEDIATELY.
 - (3) THE AFFECTED MEDICAL STAFF MEMBER SHALL HAVE ACCESS TO THE REPORTS AND RECORDS, INCLUDING TRANSCRIPTS, IF ANY, OF THE HEARING COMMITTEE OR HEARING OFFICER AND OF THE EXECUTIVE COMMITTEE AND ALL OTHER MATERIAL, FAVORABLE OR UNFAVORABLE, THAT HAS BEEN CONSIDERED BY THAT COMMITTEE AND THE BOARD. THE STAFF MEMBER SHALL THEN SUBMIT A WRITTEN FACTUAL STATEMENT INDICATING THOSE FACTUAL AND PROCEDURAL MATTERS WITH WHICH THE MEMBER DISAGREES, AND THE REASONS FOR SUCH DISAGREEMENT, SHALL BE SPECIFIED. THIS WRITTEN STATEMENT MAY COVER ANY MATTERS RAISED AT ANY STEP IN THE PROCEDURE TO WHICH THE APPEAL IS RELATED, AND LEGAL COUNSEL MAY ASSIST IN ITS PREPARATION. SUCH WRITTEN STATEMENT SHALL BE SUBMITTED TO THE OHIO STATE UNIVERSITY HOSPITALS BOARD CHAIR AT LEAST SEVEN DAYS PRIOR TO THE SCHEDULED DATE FOR REVIEW.
 - (4) NEW OR ADDITIONAL MATTERS NOT RAISED DURING THE HEARING OR ANY APPEAL TO THE BOARD SHALL ONLY BE INTRODUCED AT THE REVIEW BY THE OHIO STATE UNIVERSITY HOSPITALS BOARD AT THE OHIO STATE UNIVERSITY HOSPITALS BOARD'S SOLE DISCRETION.
 - (5) THE OHIO STATE UNIVERSITY HOSPITALS BOARD MAY AFFIRM, MODIFY OR REJECT ANY PRIOR ACTION, OR REFER THE MATTER BACK TO THE BOARD FOR FURTHER REVIEW AND RECOMMENDATION WITHIN FOURTEEN DAYS. SUCH REFERRAL MAY INCLUDE A REQUEST FOR FURTHER INVESTIGATION. THE OHIO STATE UNIVERSITY HOSPITALS BOARD'S ACTION SHALL BE SENT CERTIFIED RETURN RECEIPT MAIL TO THE AFFECTED MEDICAL STAFF MEMBER'S LAST KNOWN ADDRESS AS DETERMINED BY HOSPITAL RECORDS.
- (L) APPEAL TO THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY.
- (1) IF THE AFFECTED MEDICAL STAFF MEMBER WISHES TO APPEAL THE FINAL ACTION OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD, THE MEMBER MAY WITHIN FOURTEEN DAYS AFTER NOTIFICATION OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD'S ACTION REQUEST THE ENTIRE RECORD OF PROCEEDINGS OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD BE FORWARDED TO THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY FOR REVIEW. IF SUCH REVIEW IS NOT REQUESTED WITHIN SUCH FOURTEEN DAY PERIOD, THE AFFECTED PRACTITIONER SHALL BE DEEMED TO HAVE WAIVED THE RIGHT TO THE APPEAL AND TO HAVE ACCEPTED THE ACTION OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD, AND THE SAME SHALL BECOME EFFECTIVE IMMEDIATELY. THE OHIO STATE UNIVERSITY BOARD, IN ITS DISCRETION, MAY UPON REVIEW OF THE RECORD ACCEPT, REJECT, OR MODIFY THE ACTION OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD. IT MAY REQUEST THE RECORD OF PROCEEDINGS OF THE EXECUTIVE COMMITTEE AS WELL AS ANY INFORMATION CONSIDERED BY THE BOARD AND THE OHIO STATE UNIVERSITY HOSPITALS BOARD IN ORDER TO TAKE SPECIFIC ACTION RELATING TO THE MATTER UNDER REVIEW.
 - (2) THE SECRETARY OF THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY SHALL NOTIFY THE EXECUTIVE COMMITTEE AND THE OHIO STATE UNIVERSITY HOSPITALS BOARD OF THE ACTION TAKEN BY THE

BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY. SUBSEQUENT TO THIS NOTIFICATION, THE SECRETARY OF THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY SHALL SEND NOTICE TO THE AFFECTED MEDICAL STAFF MEMBER OF THE FINAL ACTION TAKEN ON THE APPEAL.

3335-45-09 ADMINISTRATION OF THE HOSPITAL.

(A) ACADEMIC LEADERSHIP OF THE DEAN.

THE HOSPITAL, THE BOARD AND THE MEDICAL STAFF SHALL IN A MANNER CONSISTENT WITH THE OHIO STATE UNIVERSITY HOSPITALS, LEND ITS EFFORTS TO ASSURE THAT THE PROGRAMS OF THE HEALTH SCIENCES COLLEGES ARE EFFECTIVELY SUPPORTED IN COLLABORATION WITH THE HOSPITALS' PATIENT CARE PROGRAMS. IN ACKNOWLEDGEMENT OF THE IMPORTANCE OF THIS ACADEMIC MISSION, THE DEAN SHALL RECEIVE THE COOPERATION OF THE MEDICAL STAFF TO IMPLEMENT ACADEMIC PROGRAMS AND EXERCISE AUTHORITY OVER ACADEMIC MATTERS IN A MANNER COMMENSURATE WITH THE AUTHORITY PROVIDED TO THE DEAN UNDER THE BYLAWS OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD.

(B) HOSPITAL EXECUTIVE LEADERSHIP.

THE OHIO STATE UNIVERSITY HOSPITALS BOARD AND THE BOARD AUTHORIZE AND FULLY EXTEND THE AUTHORITY OF THE EXECUTIVE DIRECTOR OF THE OHIO STATE UNIVERSITY HOSPITALS TO SERVE AS THE CHIEF EXECUTIVE OFFICER OF THE HOSPITAL, WITH THE SAME DUTIES AND RESPONSIBILITIES FOR THE HOSPITAL AS HE OR SHE HAS AT THE OHIO STATE UNIVERSITY HOSPITALS.

(C) MEDICAL DIRECTOR LEADERSHIP.

THE OHIO STATE UNIVERSITY HOSPITALS BOARD AND THE BOARD AUTHORIZE AND FULLY EXTEND THE AUTHORITY OF THE MEDICAL DIRECTOR OF THE OHIO STATE UNIVERSITY HOSPITALS TO SERVE AS THE MEDICAL DIRECTOR OF THE HOSPITAL, WITH THE SAME DUTIES AND RESPONSIBILITIES FOR THE HOSPITAL AS HE OR SHE HAS AT THE OHIO STATE UNIVERSITY HOSPITALS.

3335-45-10 OFFICERS.

(A) OFFICERS OF THE MEDICAL STAFF.

THE ELECTED OFFICERS OF THE MEDICAL STAFF SHALL BE:

- (1) CHIEF OF STAFF;
- (2) CHIEF OF STAFF-ELECT; AND
- (3) REPRESENTATIVE OF THE COURTESY STAFF.

(B) QUALIFICATIONS OF OFFICERS.

- (1) OFFICERS MUST BE MEMBERS OF THE MEDICAL STAFF AT THE TIME OF NOMINATION AND ELECTION, AND MUST REMAIN MEMBERS IN GOOD STANDING DURING THEIR TERM OF OFFICE. FAILURE TO MAINTAIN SUCH STATUS SHALL IMMEDIATELY CREATE A VACANCY IN THE OFFICE INVOLVED.
- (2) THE MEDICAL DIRECTOR, ASSOCIATE MEDICAL DIRECTORS, ASSISTANT MEDICAL DIRECTORS AND CHIEFS OF CLINICAL DEPARTMENTS ARE NOT ELIGIBLE TO SERVE AS CHIEF OF STAFF OR CHIEF OF STAFF-ELECT

UNLESS THEY ARE REPLACED IN THEIR ADMINISTRATIVE ROLE DURING THE PERIOD OF THEIR TERM IN OFFICE.

(C) NOMINATION.

- (1) AT LEAST THIRTY DAYS PRIOR TO AN ANNUAL MEETING OF THE MEDICAL STAFF AT WHICH OFFICERS WILL BE ELECTED, THE EXECUTIVE COMMITTEE WILL APPOINT FIVE MEMBERS OF THE ACTIVE MEDICAL STAFF TO SERVE AS A NOMINATING COMMITTEE. THE NOMINATING COMMITTEE SHALL SELECT ONE OR MORE NOMINEES FOR EACH OFFICE, INCLUDING A REPRESENTATIVE OF THE COURTESY MEDICAL STAFF AND A SLATE LISTING THE NAMES OF ALL NOMINEES SHALL BE POSTED IN A CONSPICUOUS PLACE AT THE MEDICAL STAFF ENTRANCE TO THE HOSPITAL AND TRANSMITTED IN WRITING DIRECTLY TO EACH MEMBER OF THE MEDICAL STAFF ENTITLED TO VOTE AT LEAST FOURTEEN DAYS PRIOR TO THE ANNUAL MEETING.
- (2) AT AN ANNUAL MEETING OF THE MEDICAL STAFF AT WHICH OFFICERS WILL BE ELECTED, ANY MEMBER OF THE ACTIVE MEDICAL STAFF OR COURTESY STAFF WITH RESPECT TO THE COURTESY STAFF REPRESENTATIVE POSITION WILL HAVE THE OPPORTUNITY TO OFFER OTHER NOMINATIONS OF QUALIFIED STAFF MEMBERS FROM THE FLOOR.

(D) ELECTION OF OFFICERS.

- (1) OFFICERS SHALL BE ELECTED AT THE ANNUAL MEETING OF THE MEDICAL STAFF.
- (2) ONLY MEMBERS OF THE ACTIVE MEDICAL STAFF SHALL BE ELIGIBLE TO VOTE EXCEPT FOR THE COURTESY STAFF REPRESENTATIVE WHO SHALL BE ELECTED BY THE MEMBERS OF THE COURTESY STAFF.
- (3) IF THERE ARE THREE OR MORE CANDIDATES FOR ANY OFFICE AND NO CANDIDATE RECEIVES A MAJORITY OF THE VOTES CAST, THERE WILL BE SUCCESSIVE BALLOTING SO THAT THE CANDIDATE RECEIVING THE FEWEST VOTES IS OMITTED FROM EACH SUCCESSIVE SLATE UNTIL A MAJORITY IS OBTAINED BY ONE CANDIDATE.

(E) TERM OF OFFICE.

- (1) ALL OFFICERS SHALL SERVE A TWO YEAR TERM, BEGINNING ON THE FIRST DAY OF JULY FOLLOWING THEIR ELECTION.
- (2) THE CHIEF OF STAFF MAY NOT BE ELECTED CHIEF OF STAFF-ELECT WITHIN ONE YEAR OF THE END OF THE CHIEF OF STAFF'S TERM IN OFFICE.
- (3) THERE IS NO LIMITATION ON THE NUMBER OF TERMS A PERSON MAY SERVE AS AN OFFICER, PROVIDED HOWEVER THAT THE COURTESY MEDICAL STAFF REPRESENTATIVE MAY SERVE ONLY THREE CONSECUTIVE TERMS AND MAY NOT BE RE-ELECTED UNTIL THAT REPRESENTATIVE HAS BEEN OUT OF OFFICE FOR TWO YEARS. NO PERSON, HOWEVER, SHALL HOLD MORE THAN ONE OFFICE DURING ANY THREE YEAR TERM.

(F) VACANCIES IN OFFICE.

- (1) VACANCIES IN THE OFFICE OF CHIEF OF STAFF DURING THE TERM WILL BE AUTOMATICALLY SUCCEEDED AND PERFORMED BY THE CHIEF OF STAFF-ELECT. WHEN THE UNEXPIRED TERM IS ONE YEAR OR LESS, THE NEW

CHIEF OF STAFF WILL CONTINUE IN OFFICE UNTIL THE COMPLETION OF HIS OR HER EXPECTED TERM IN THAT OFFICE. WHEN THE UNEXPIRED TERM IS MORE THAN ONE YEAR, THE NEW CHIEF OF STAFF WILL SERVE UNTIL THE END OF THE TERM OF THE CHIEF OF STAFF HE OR SHE REPLACED.

- (2) VACANCIES IN THE OFFICE OF CHIEF OF STAFF-ELECT WILL BE FILLED BY A SPECIAL ELECTION HELD WITHIN SIXTY DAYS OF ESTABLISHING THE VACANCY. THE NOMINATING COMMITTEE WILL MAKE NOMINATIONS AND A SPECIAL MEETING OF THE ATTENDING STAFF WILL BE CALLED TO ADD NOMINATIONS AND ELECT THE REPLACEMENT. THE NEW CHIEF OF STAFF-ELECT WILL BECOME CHIEF OF STAFF AT THE END OF THE TERM OF THE INCUMBENT.
- (3) VACANCIES IN THE COURTESY STAFF REPRESENTATIVE POSITION WILL BE FILLED BY APPOINTMENT BY THE CHIEF OF STAFF TO SERVE UNTIL THE NEXT SCHEDULED ELECTION.

(G) DUTIES OF OFFICERS.

(1) CHIEF OF STAFF.

THE CHIEF OF STAFF SHALL:

- (a) COORDINATE AND COOPERATE WITH THE MEDICAL DIRECTOR ON ALL MATTERS OF MUTUAL CONCERN IN THE HOSPITAL.
- (b) CALL, PRESIDE AT, AND BE RESPONSIBLE FOR THE AGENDA OF ALL GENERAL AND SPECIAL MEETINGS OF THE MEDICAL STAFF.
- (c) SERVE AS THE VICE-CHAIR OF THE EXECUTIVE COMMITTEE.
- (d) SERVE AS AN EX OFFICIO MEMBER OF ALL COMMITTEES OF THE MEDICAL STAFF.
- (e) JOINTLY WITH THE MEDICAL DIRECTOR, BE RESPONSIBLE FOR THE ENFORCEMENT OF THESE MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS, AND FOR IMPLEMENTATION OF SANCTIONS WHERE THEY ARE AUTHORIZED BY THE BOARD.
- (f) JOINTLY WITH THE MEDICAL DIRECTOR APPOINT MEMBERS TO AND CHAIRS OF ALL COMMITTEES OF THE MEDICAL STAFF EXCEPT AS OTHERWISE PROVIDED BY THESE RULES WITH APPROVAL OF THE EXECUTIVE COMMITTEE AND THE BOARD.
- (g) REPRESENT THE VIEWS, POLICIES, NEEDS AND GRIEVANCES OF THE MEDICAL STAFF TO THE MEDICAL DIRECTOR AND EXECUTIVE DIRECTOR OF THE HOSPITAL, AND, WHEN APPROPRIATE, THROUGH THE MEDICAL DIRECTOR OR EXECUTIVE COMMITTEE TO THE BOARD.
- (h) FILL ANY VACANCY WHICH OCCURS IN THE POSITION OF THE COURTESY MEDICAL STAFF REPRESENTATIVE TO THE EXECUTIVE COMMITTEE.
- (i) BE SPOKESPERSON FOR THE MEDICAL STAFF IN ITS EXTERNAL PROFESSIONAL AND PUBLIC RELATIONS.
- (j) BE REPRESENTATIVE TO THE COUNCIL OF THE COLUMBUS MEDICAL ASSOCIATION OR SELECT A REPRESENTATIVE TO SERVE

ON THE COUNCIL.

- (k) SERVE ON THOSE COMMITTEES OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD OR THE OHIO STATE UNIVERSITY HOSPITALS EAST BOARD AS APPOINTED BY THE CHAIRPERSON(S) OF THE BOARD.
 - (l) APPOINT A REPRESENTATIVE TO THE MEDICAL STAFF TO THE AMERICAN MEDICAL ASSOCIATION.
- (2) CHIEF OF STAFF-ELECT.

THE CHIEF OF STAFF-ELECT SHALL:

- (a) ASSUME ALL OF THE DUTIES AND RESPONSIBILITIES OF THE CHIEF OF STAFF IN THE CHIEF OF STAFF'S ABSENCE OR IF THE CHIEF OF STAFF IS UNABLE TO DO SO IN ACCORDANCE WITH THE PROVISIONS OF THESE RULES.
 - (b) SERVE AS A MEMBER OF THE EXECUTIVE COMMITTEE.
 - (c) PERFORM SUCH OTHER DUTIES AS MAY BE ASSIGNED BY THE CHIEF OF STAFF OR THE EXECUTIVE COMMITTEE.
 - (d) KEEP RECORDS OF ALL MEETINGS OF THE MEDICAL STAFF AND OVERSEE THE INCLUSION OF CHANGES IN THE MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS.
 - (e) SERVE ON THOSE COMMITTEES OF THE BOARD AS APPOINTED BY THE CHAIRPERSON OF THE BOARD.
- (3) REPRESENTATIVE OF THE COURTESY STAFF.

THE REPRESENTATIVE OF THE COURTESY STAFF SHALL SERVE ON THE EXECUTIVE COMMITTEE AS PROVIDED FOR IN PARAGRAPH (E) OF RULE 3335-45-11 OF THE ADMINISTRATIVE CODE.

(H) SUSPENSION/REMOVAL OF OFFICERS.

ANY OFFICER MAY BE SUSPENDED OR REMOVED FROM OFFICE FOR CAUSE BY EITHER A TWO-THIRDS VOTE OF THE EXECUTIVE COMMITTEE MEMBERS IN ATTENDANCE AT A MEETING IN WHICH A QUORUM IS PRESENT, OR BY A TWO-THIRDS VOTE OF THE VOTING MEMBERS OF THE MEDICAL STAFF IN ATTENDANCE AT A MEETING IN WHICH A QUORUM IS PRESENT. UPON THE WRITTEN REQUEST OF THE AFFECTED OFFICER TO THE EXECUTIVE COMMITTEE WITHIN FOURTEEN DAYS OF ANY SUSPENSION OR REMOVAL BY THE EXECUTIVE COMMITTEE, THE SUSPENSION OR REMOVAL WILL BE SUBMITTED FOR REVIEW BY THE VOTING MEMBERS OF THE MEDICAL STAFF AT A SPECIAL MEETING CALLED FOR SUCH PURPOSE. RATIFICATION OF THE EXECUTIVE COMMITTEE ACTION REQUIRES AN AFFIRMATIVE VOTE OF TWO-THIRDS OF THE VOTING MEMBERS OF THE MEDICAL STAFF IN ATTENDANCE AT A MEETING IN WHICH A QUORUM IS PRESENT. THE SUSPENSION OR REMOVAL OF AN OFFICER IS AN ACTION THAT IS NOT SUBJECT TO ANY OTHER APPEAL.

3335-45-11 COMMITTEES.

(A) GENERAL DESIGNATION AND SUBSTITUTION.

- (1) THERE SHALL BE AN EXECUTIVE COMMITTEE, A BYLAWS COMMITTEE, AND SUCH OTHER STANDING AND SPECIAL COMMITTEES OF THE MEDICAL

STAFF RESPONSIBLE TO THE EXECUTIVE COMMITTEE AS MAY FROM TIME TO TIME BE NECESSARY AND DESIRABLE TO PERFORM REQUIRED MEDICAL STAFF FUNCTIONS. THE EXECUTIVE COMMITTEE MAY ESTABLISH A MEDICAL STAFF COMMITTEE TO PERFORM ONE OR MORE OF THE REQUIRED MEDICAL STAFF FUNCTIONS.

- (2) COMMITTEES OF THE MEDICAL STAFF SHALL BE DESIGNATED AS STANDING OR SPECIAL. STANDING COMMITTEES SHALL CONSIST OF THE EXECUTIVE COMMITTEE AND THE MEDICAL STAFF BYLAWS COMMITTEE. SPECIAL COMMITTEES SHALL BE THOSE COMMITTEES THAT THE EXECUTIVE COMMITTEE SHALL FROM TIME TO TIME DETERMINE TO BE NECESSARY AND CREATE.

WHENEVER THESE RULES REQUIRE THAT A FUNCTION BE PERFORMED BY OR THAT A REPORT OR RECOMMENDATION TO:

- (a) A NAMED MEDICAL STAFF COMMITTEE BUT NO SUCH COMMITTEE SHALL EXIST, THE MEDICAL EXECUTIVE COMMITTEE SHALL PERFORM SUCH FUNCTION OR RECEIVE SUCH REPORT OR RECOMMENDATION; OR
- (b) THE EXECUTIVE COMMITTEE, BUT A STANDING OR SPECIAL COMMITTEE SHALL HAVE BEEN FORMED TO PERFORM THE FUNCTION, THE COMMITTEE SO FORMED SHALL ACT IN ACCORDANCE WITH THE AUTHORITY DELEGATED TO IT.
- (3) THE CHIEF OF STAFF AND THE MEDICAL DIRECTOR SHALL SERVE AS AN EX-OFFICIO MEMBER OF ALL COMMITTEES OF THE MEDICAL STAFF.
- (4) ALL COMMITTEE MEMBERS, UNLESS SPECIFICALLY PROVIDED OTHERWISE, ARE APPOINTED JOINTLY BY THE CHIEF OF STAFF AND THE MEDICAL DIRECTOR, WITH THE CONSENT OF A MAJORITY VOTE OF THE EXECUTIVE COMMITTEE.

(B) TERM.

UNLESS OTHERWISE SPECIFIED HEREIN, ALL COMMITTEE APPOINTMENTS SHALL BE FOR THE MEDICAL STAFF YEAR.

(C) COMMITTEE CHAIR.

UNLESS OTHERWISE SPECIFIED HEREIN, THE CHAIR OF EACH COMMITTEE SHALL BE DETERMINED AS PROVIDED FOR IN PARAGRAPH (G)(1)(f) OF RULE 3335-45-10 OF THE ADMINISTRATIVE CODE, WITH THE EXCEPTION OF THE EXECUTIVE COMMITTEE WHICH SHALL BE CHAIRED BY THE MEDICAL DIRECTOR.

(D) AUTHORITY AND MANNER OF ACTING.

- (1) ALL COMMITTEES OF THE MEDICAL STAFF, EXCEPT THE EXECUTIVE COMMITTEE, ARE SUBJECT TO THE AUTHORITY OF, AND SHALL REPORT TO THE EXECUTIVE COMMITTEE.
- (2) ANY PERSON SERVING AS A MEMBER OF A COMMITTEE OF THE MEDICAL STAFF EXCEPT AN EX-OFFICIO MEMBER, SHALL BE ENTITLED TO VOTE ON ANY MATTER BEFORE THE COMMITTEE FOR CONSIDERATION.
- (3) UNLESS OTHERWISE PROVIDED IN THESE RULES OR DIRECTED, IN WRITING, BY THE EXECUTIVE COMMITTEE, ANY COMMITTEE MAY RECOMMEND ANY ACTION TO THE EXECUTIVE COMMITTEE BY THE VOTE

OF A MAJORITY OF ITS MEMBERS PRESENT AT A MEETING IN WHICH A QUORUM IS PRESENT.

- (4) COMMITTEES ARE AUTHORIZED TO PERFORM SUCH FUNCTIONS AS ARE SPECIFIED IN THESE RULES OR AS MAY BE DIRECTED BY THE EXECUTIVE COMMITTEE.
 - (5) ALL COMMITTEES SHALL PREPARE AND FILE MINUTES OF ALL MEETINGS WITH THE OFFICE OF THE MEDICAL DIRECTOR.
- (E) EXECUTIVE COMMITTEE.
- (1) COMPOSITION. THE EXECUTIVE COMMITTEE SHALL CONSIST OF THE CHIEF OF STAFF; CHIEF OF STAFF-ELECT THE CHIEFS OF THE DEPARTMENTS OF SURGERY, INTERNAL MEDICINE, FAMILY MEDICINE, EMERGENCY MEDICINE, MUSCULOSKELETAL DISEASES; ONE ELECTED OFFICER REPRESENTATIVE OF THE COURTESY MEDICAL STAFF; ONE INDIVIDUAL REPRESENTING ALL THREE CLINICAL DEPARTMENTS OF ANESTHESIOLOGY, PATHOLOGY AND RADIOLOGY; MEDICAL DIRECTOR OF TALBOT HALL; MEDICAL DIRECTOR; MEDICAL DIRECTOR OF THE OHIO STATE UNIVERSITY HOSPITALS; CHIEF EXECUTIVE OFFICER AND THE DEAN OR DESIGNEE.
 - (2) ANY MEMBER OF THE COMMITTEE WHO ANTICIPATES ABSENCE FROM A MEETING OF THE COMMITTEE MAY APPOINT A TEMPORARY SUBSTITUTE TO REPRESENT HIM OR HER AT THE MEETING. THE TEMPORARY SUBSTITUTE WILL HAVE ALL THE RIGHTS OF THE ABSENT MEMBER. THE CHIEF EXECUTIVE OFFICER MAY INVITE ANY MEMBER OF THE CHIEF EXECUTIVE OFFICER'S STAFF TO REPRESENT HIM OR HER AT A MEETING OR TO ATTEND ANY MEETING.
 - (3) THE CHAIR OF THE COMMITTEE MAY INVITE ANY GUESTS AS NON-VOTING MEMBERS OF THE COMMITTEE.
 - (4) THE CHAIR OF THE QUALITY IMPROVEMENT COMMITTEE WILL ATTEND EACH MEETING ON AN EX-OFFICIO BASIS.
 - (5) DUTIES AND RESPONSIBILITIES. THE DUTIES OF THE EXECUTIVE COMMITTEE ARE:
 - (a) REPRESENT AND TO ACT FOR THE MEDICAL STAFF IN INTERVALS BETWEEN MEDICAL STAFF MEETINGS, SUBJECT TO SUCH LIMITATIONS AS MAY BE IMPOSED BY THESE RULES, BYLAWS OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD, THE BYLAWS OR RULES OF THE TRUSTEES OF THE OHIO STATE UNIVERSITY.
 - (b) TO RECEIVE AND ACT UPON REPORTS AND RECOMMENDATIONS FROM MEDICAL STAFF COMMITTEES, CLINICAL DEPARTMENTS, SERVICES, AND ASSIGNED ACTIVITY GROUPS;
 - (c) TO DELEGATE APPROPRIATE STAFF BUSINESS TO COMMITTEES WHILE RETAINING THE RIGHT OF EXECUTIVE RESPONSIBILITY AND AUTHORITY OVER ALL MEDICAL STAFF COMMITTEES;
 - (d) TO APPOINT COMMITTEES TO MEET THE NEEDS OF THE MEDICAL STAFF;
 - (e) TO PROVIDE A LIAISON BETWEEN THE MEDICAL STAFF, MEDICAL DIRECTOR AND THE BOARD;

- (f) TO IMPLEMENT APPROVED POLICIES AND PROCEDURES OF THE MEDICAL STAFF;
 - (g) TO ESTABLISH AND MAINTAIN RULES AND REGULATIONS GOVERNING THE MEDICAL STAFF;
 - (h) TO RECOMMEND ACTIONS TO THE MEDICAL DIRECTOR AND EXECUTIVE DIRECTOR OF THE HOSPITALS ON MATTERS OF MEDICO-ADMINISTRATIVE NATURE;
 - (i) TO INFORM THE MEDICAL STAFF OF ALL ACTIONS AFFECTING THE MEDICAL STAFF, CHANGES IN COMMITTEES AND THE ELIMINATION OF SUCH COMMITTEES AS CIRCUMSTANCES SHALL REQUIRE;
 - (j) TO RECOMMEND TO THE BOARD THROUGH THE MEDICAL DIRECTOR ALL MATTERS RELATING TO APPOINTMENTS, REAPPOINTMENTS AND TERMINATIONS, STAFF CATEGORIZATION, CLINICAL DEPARTMENT/SERVICE ASSIGNMENTS, CLINICAL PRIVILEGES, AND CORRECTIVE AND DISCIPLINARY ACTIONS;
 - (k) TO FULFILL THE MEDICAL STAFF'S ACCOUNTABILITY TO THE BOARD FOR THE QUALITY OF THE OVERALL MEDICAL CARE RENDERED TO PATIENTS IN THE HOSPITAL;
 - (l) TO INFORM THE MEDICAL STAFF OF THE JCAHO ACCREDITATION PROGRAMS AND THE ACCREDITATION STATUS OF THE HOSPITAL;
 - (m) TO TAKE ALL REASONABLE STEPS TO ENSURE ETHICAL PROFESSIONAL CONDUCT AND COMPETENT CLINICAL PERFORMANCE ON THE PART OF ALL MEMBERS OF THE MEDICAL STAFF AND ANY OTHER PRACTITIONERS OR ALLIED HEALTH PROFESSIONALS PROVIDING PATIENT CARE IN THE HOSPITAL; AND
 - (n) TO UNDERTAKE ALL DUTIES ASSIGNED TO THE EXECUTIVE COMMITTEE IN THESE RULES OR DIRECTED BY BOARD THROUGH MEDICAL DIRECTOR;
 - (o) TO ORGANIZE THE MEDICAL STAFF PERFORMANCE IMPROVEMENT ACTIVITIES AND ESTABLISH A MECHANISM DESIGNED TO CONDUCT, EVALUATE AND REVISE SUCH ACTIVITIES; AND
 - (p) TO REVIEW THE FAIR HEARING PROCEDURES SET FORTH IN THE RULES.
- (6) MEETINGS. THE EXECUTIVE COMMITTEE WILL MEET AT LEAST MONTHLY AT SUCH TIME AND PLACE AS THE EXECUTIVE COMMITTEE SHALL DETERMINE. THE AGENDA FOR EXECUTIVE COMMITTEE MEETINGS WILL BE MADE UNDER PROCEDURES THAT THE EXECUTIVE COMMITTEE WILL ESTABLISH FOR ITS INTERNAL GOVERNANCE.

(F) BYLAWS COMMITTEE.

THE BYLAWS COMMITTEE SHALL:

- (1) CONDUCT A PERIODIC REVIEW, BUT NO LESS THAN EVERY TWO YEARS, OF THE MEDICAL STAFF BYLAWS, RULES AND REGULATION, PROCEDURES, AND FORMS TO CONSIDER CHANGES, AMENDMENTS AND REVISIONS AS ADVISABLE OR REQUIRED BY LAW, OR OTHER AUTHORITIES SUCH AS THE JOINT COMMISSION ON ACCREDITATION OF HEALTHCARE ORGANIZATIONS.

- (2) SUBMIT RECOMMENDATIONS TO THE EXECUTIVE COMMITTEE AND TO THE MEDICAL STAFF REGARDING THESE DOCUMENTS.
- (3) REVIEW SUCH MATTERS REFERRED TO IT BY THE BOARD, THE MEDICAL EXECUTIVE COMMITTEE, THE CHIEF OF STAFF, THE CREDENTIALS COMMITTEE, ONE OR MORE CLINICAL DEPARTMENTS, MEMBERS OF THE MEDICAL STAFF OR THE CHIEF MEDICAL DIRECTOR OF THE HOSPITAL.

(G) TERMINATION OF COMMITTEES.

- (1) A STANDING COMMITTEE OF THE MEDICAL STAFF MAY BE ABOLISHED BY AMENDMENT TO THESE RULES IN ACCORDANCE WITH THE PROVISIONS OF RULE 3335-45-18 OF THE ADMINISTRATIVE CODE.
- (2) A SPECIAL COMMITTEE OF THE MEDICAL STAFF MAY BE ABOLISHED BY THE IMPOSITION OF A SPECIFIC LIMITATION UPON ITS DURATION, OR, AT ANY TIME, BY A RESOLUTION ADOPTED BY A MAJORITY VOTE OF THE EXECUTIVE COMMITTEE.

(H) CERTAIN REVIEW FUNCTIONS.

THE MEDICAL STAFF, THROUGH THE APPROPRIATE CLINICAL DEPARTMENTS, EXECUTIVE COMMITTEE OR OTHER STANDING OR SPECIAL COMMITTEES, SHALL PERFORM OR PARTICIPATE IN THE FOLLOWING REVIEW FUNCTIONS:

- (1) REVIEW OF SURGICAL AND OTHER INVASIVE PROCEDURES, TO BE CONDUCTED MONTHLY;
- (2) MONITOR AND EVALUATE CARE PROVIDED IN AND DEVELOP MEDICAL POLICY FOR: SPECIAL CARE AREAS SUCH AS INTENSIVE OR CORONARY CARE UNITS, PATIENT CARE SUPPORT SERVICES, SUCH AS RESPIRATORY THERAPY, PHYSICAL MEDICINE AND ANESTHESIA, AND EMERGENCY, OUTPATIENT, HOME CARE AND OTHER AMBULATORY CARE SERVICES;
- (3) DRUG USE EVALUATION AND BLOOD TRANSFUSIONS;
- (4) REVIEW OF THE QUALITY OF MEDICAL RECORDS, TO BE CONDUCTED AT LEAST QUARTERLY;
- (5) BLOOD USAGE REVIEW, COVERING ALL CATEGORIES OF BLOOD AND BLOOD COMPONENTS IN THE HOSPITAL;
- (6) PHARMACY AND THERAPEUTICS FUNCTION REVIEW, TO BE CONDUCTED IN COOPERATION WITH THE PHARMACEUTICAL DEPARTMENT, NURSING DEPARTMENT, HOSPITAL ADMINISTRATION AND, AS REQUIRED, OTHER DEPARTMENTS OF THE HOSPITAL;
- (7) RISK MANAGEMENT ACTIVITIES RELATED TO CLINICAL ASPECTS OF PATIENT CARE AND SAFETY;
- (8) OTHER REVIEW FUNCTIONS, INCLUDING INFECTION CONTROL, INTERNAL AND EXTERNAL DISASTER PLANS, HOSPITAL SAFETY AND UTILIZATION REVIEW;
- (9) MEDICAL ASSESSMENT AND TREATMENT OF PATIENTS;
- (10) EFFICIENCY AND SIGNIFICANT DEPARTURES FROM CLINICAL PRACTICE PATTERNS;

- (11) PROVIDE CONTINUING PROFESSIONAL EDUCATION RESPONSIVE TO EVALUATION FINDINGS AND NEW CLINICAL DEVELOPMENTS; AND
- (12) DIRECT STAFF ORGANIZATIONAL ACTIVITIES INCLUDING MEDICAL STAFF BYLAWS REVIEW, STAFF OFFICER AND COMMITTEE SELECTIONS, LIAISON WITH THE BOARD AND HOSPITAL ADMINISTRATION, AND REVIEW AND MAINTENANCE OF HOSPITAL ACCREDITATION.

3335-45-12 CLINICAL DEPARTMENTS.

(A) ORGANIZATION OF THE MEDICAL STAFF OF THE HOSPITAL INTO CLINICAL DEPARTMENTS AND DIVISIONS.

- (1) EACH MEMBER OF THE ACTIVE, COURTESY, HONORARY, CONSULTING, PROVISIONAL MEDICAL STAFF AND PHYSICIANS IN TRAINING SHALL BE ASSIGNED TO A CLINICAL DEPARTMENT OR DIVISION UPON THE RECOMMENDATION OF THE CHIEF OF THE CLINICAL DEPARTMENT CONCERNED SUBJECT TO THE APPROVAL OF THE MEDICAL DIRECTOR, EXECUTIVE COMMITTEE AND THE BOARD.
- (2) THERE SHALL BE THREE ACADEMIC DEPARTMENTS AT THE HOSPITAL: DEPARTMENTS OF MUSCULOSKELETAL DISEASES, FAMILY MEDICINE, AND EMERGENCY MEDICINE.
- (3) CLINICAL DEPARTMENTS OF THE MEDICAL STAFF INCLUDE, BUT ARE NOT LIMITED TO, THE FOLLOWING:
 - (a) DEPARTMENT OF INTERNAL MEDICINE.
 - (b) DEPARTMENT OF FAMILY PRACTICE.
 - (c) DEPARTMENT OF SURGERY.
 - (d) DEPARTMENT OF ANESTHESIOLOGY.
 - (e) DEPARTMENT OF RADIOLOGY.
 - (f) DEPARTMENT OF PATHOLOGY.
 - (g) DEPARTMENT OF EMERGENCY MEDICINE.
 - (h) DEPARTMENT OF MUSCULOSKELETAL DISEASES.
 - (i) DEPARTMENT OF DRUG AND ALCOHOL REHABILITATION (TALBOT HALL).

(B) CLINICAL DEPARTMENTAL CHIEFS.

- (1) QUALIFICATIONS OF CLINICAL DEPARTMENTAL CHIEFS. CLINICAL DEPARTMENTAL CHIEFS MUST BE ACTIVE MEMBERS OF THE MEDICAL STAFF. IN THE HOSPITAL-BASED CLINICAL DEPARTMENTS (ANESTHESIOLOGY, RADIOLOGY, PATHOLOGY AND EMERGENCY DEPARTMENTS), THE CLINICAL DEPARTMENTAL CHIEFS MUST BE A MEMBER OF THE PROVISIONAL OR ACTIVE MEDICAL STAFF AT THE TIME OF APPOINTMENT.

ALL CLINICAL DEPARTMENTAL CHIEFS MUST REMAIN MEMBERS IN GOOD STANDING DURING THE TERM OF OFFICE. FAILURE TO MAINTAIN SUCH STATUS WILL BE GROUNDS FOR IMMEDIATE SUSPENSION FROM THE OFFICE OF THE CLINICAL DEPARTMENTAL CHIEF. EACH CLINICAL

DEPARTMENT CHIEF SHALL BE QUALIFIED BY EDUCATION AND EXPERIENCE APPROPRIATE TO THE DISCHARGE OF THE RESPONSIBILITIES OF THE POSITION. QUALIFICATIONS FOR THE CHIEF OF THE CLINICAL DEPARTMENT GENERALLY SHALL INCLUDE RECOGNIZED CLINICAL COMPETENCE, SOUND JUDGMENT, AND WELL-DEVELOPED ADMINISTRATIVE SKILLS.

- (2) APPOINTMENT OF CLINICAL DEPARTMENTAL CHIEFS. THE ACADEMIC DEPARTMENT CHAIR WILL ORDINARILY SERVE ALSO AS THE CHIEF OF THE CLINICAL DEPARTMENT. WHENEVER THERE IS NO ACADEMIC DEPARTMENT AT THE HOSPITAL, THE MEDICAL DIRECTOR, IN CONSULTATION WITH THE DEAN OF THE COLLEGE OF MEDICINE AND PUBLIC HEALTH OR DEAN OF DENTISTRY WHEN APPROPRIATE, SHALL RECOMMEND THE APPOINTMENT OF A CLINICAL DEPARTMENTAL CHIEF OR ACTING CLINICAL DEPARTMENTAL CHIEF TO THE BOARD AFTER APPROVAL BY THE EXECUTIVE COMMITTEE. ALL APPOINTMENTS OF CLINICAL DEPARTMENTAL CHIEFS SHALL BE SUBJECT TO APPROVAL BY THE BOARD.
- (3) TERM. THE CLINICAL DEPARTMENTAL CHIEFS SHALL SERVE FOUR YEAR TERMS USUALLY BEGINNING THE FIRST DAY OF JULY FOLLOWING APPOINTMENT. ALL CLINICAL DEPARTMENTAL CHIEFS ARE SUBJECT TO PERIODIC PERFORMANCE REVIEWS BY THE EXECUTIVE COMMITTEE AND BOARD, AND THEY MAY BE REMOVED FOR CAUSE. PRIOR TO THE END OF THE FOUR YEAR APPOINTMENT, A FORMAL REVIEW WILL BE CONDUCTED AND WILL SERVE AS THE BASIS OF THE RECOMMENDATION FOR REAPPOINTMENT. THE REAPPOINTMENT PROCEDURE WILL BE AS OUTLINED IN (B)(2) OF THIS PARAGRAPH.
- (4) RESPONSIBILITIES. CLINICAL DEPARTMENTAL CHIEFS SHALL:
 - (a) BE ACCOUNTABLE TO THE EXECUTIVE COMMITTEE FOR ALL CLINICALLY RELATED ACTIVITY AND MEDICAL STAFF ADMINISTRATIVE ACTIVITIES WITHIN THE CLINICAL DEPARTMENT;
 - (b) UNDERTAKE A CONTINUOUS REVIEW OF THE PROFESSIONAL PERFORMANCE OF THE MEMBERS OF THE MEDICAL STAFF WITH CLINICAL PRIVILEGES IN THE CLINICAL DEPARTMENT;
 - (c) REVIEW THE CLINICAL PERFORMANCE, PHYSICAL AND MENTAL HEALTH OF ALL MEDICAL STAFF MEMBERS IN THE CLINICAL DEPARTMENT AND ON THE BASIS OF THIS REVIEW, PREPARE EVALUATIONS AND RECOMMENDATIONS TO THE CREDENTIALS COMMITTEE OF PRACTITIONERS WHO EITHER HAVE, OR ARE REQUESTING, CLINICAL PRIVILEGES IN THE DEPARTMENT AT THE TIME OF INITIAL APPOINTMENT, REAPPOINTMENT OR AT ANY TIME THAT THE PRACTITIONER REQUESTS THAT HIS OR HER CLINICAL PRIVILEGES BE CHANGED;
 - (d) RECOMMEND TO THE CREDENTIALS COMMITTEE AND THE EXECUTIVE COMMITTEE THE CRITERIA FOR THE GRANTING OF CLINICAL PRIVILEGES IN THE CLINICAL DEPARTMENT;
 - (e) IMPLEMENT A PROCESS FOR REGULAR REVIEW AND EVALUATION OF THE QUALITY AND APPROPRIATENESS OF PATIENT CARE AND TREATMENT OF PATIENTS SERVED BY THE CLINICAL DEPARTMENT/SERVICE AND THE CLINICAL PERFORMANCE OF ALL INDIVIDUALS WITH CLINICAL PRIVILEGES IN THE CLINICAL DEPARTMENT;

- (f) PROMOTE THE PARTICIPATION OF CLINICAL DEPARTMENT MEMBERS IN CLINICAL DEPARTMENTAL ORIENTATION AND CONTINUING EDUCATION PROGRAMS, AND CLINICAL DEPARTMENTAL MEETINGS, IDENTIFICATION OF IMPORTANT ASPECTS OF CARE FOR THE CLINICAL DEPARTMENT, INDICATORS USED TO MONITOR QUALITY AND APPROPRIATENESS AND THE EVALUATION OF QUALITY AND APPROPRIATENESS;
- (g) APPOINT COMMITTEES, AS NEEDED, TO CONDUCT CLINICAL DEPARTMENT FUNCTIONS;
- (h) ENFORCE COMPLIANCE BY PRACTITIONERS IN THE CLINICAL DEPARTMENT WITH HOSPITAL POLICY AND THE MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS;
- (i) PARTICIPATE IN THE BUDGETARY PLANNING FOR THE CLINICAL DEPARTMENT AND THE PREPARATION OF ANY REQUIRED ANNUAL REPORTS;
- (j) CALL MEETINGS AT LEAST QUARTERLY OF THE CLINICAL DEPARTMENT, PRESIDING OVER SUCH MEETINGS AND KEEPING, OR CAUSING TO BE KEPT, ACCURATE AND COMPLETE MINUTES OF SUCH MEETINGS; MINUTES OF THE MEETING, INCLUDING A RECORD OF ATTENDANCE WILL BE KEPT IN THE CLINICAL DEPARTMENTAL AND THE MEDICAL DIRECTOR'S OFFICE. GREATER THAN FIFTY PER CENT OF UNEXCUSED ABSENCE COULD SERVE AS THE BASIS FOR A RECOMMENDATION OF NON-APPOINTMENT TO THE MEDICAL STAFF;
- (k) ATTEND TO, OR CAUSING THE ATTENDANCE TO, ALL CORRESPONDENCE OF THE CLINICAL DEPARTMENT;
- (l) SUCH OTHER RESPONSIBILITIES AS MAY BE ASSIGNED BY THE MEDICAL DIRECTOR, EXECUTIVE COMMITTEE OR THE BOARD;
- (m) ASSESS AND MAKE RECOMMENDATION TO THE MEDICAL DIRECTOR AT LEAST ANNUALLY ON THE SPACE AND RESOURCE NEEDS OF THE CLINICAL DEPARTMENT INCLUDING OFFSITE SOURCES FOR NEEDED PATIENT CARE SERVICES NOT PROVIDED BY THE CLINICAL DEPARTMENT OR THE HOSPITAL;
- (n) INTEGRATE THE CLINICAL DEPARTMENT INTO THE PRIMARY FUNCTIONS OF THE HOSPITAL;
- (o) COORDINATE AND INTEGRATE INTRADEPARTMENTAL AND INTERDEPARTMENTAL SERVICES;
- (p) DEVELOP AND IMPLEMENTING POLICIES AND PROCEDURES THAT GUIDE AND SUPPORT THE PROVISION OF SERVICES;
- (q) RECOMMEND A SUFFICIENT NUMBER OF QUALIFIED AND COMPETENT PERSONS TO PROVIDE CARE OR SERVICE;
- (r) DETERMINE QUALIFICATIONS AND COMPETENCE OF CLINICAL DEPARTMENT OR SERVICE PERSONNEL WHO ARE NOT LICENSED INDEPENDENT PRACTITIONERS AND WHO PROVIDE PATIENT CARE SERVICES; AND
- (s) RECOMMEND SPACE AND OTHER RESOURCES NEEDED BY THE

CLINICAL DEPARTMENT.

(C) FUNCTIONS OF CLINICAL DEPARTMENTS.

- (1) EACH CLINICAL DEPARTMENT WILL FUNCTION AS A COMPONENT OF THE MEDICAL STAFF UNDER THE AUTHORITY OF THE EXECUTIVE COMMITTEE.
- (2) EACH CLINICAL DEPARTMENT SHALL MEET, SEPARATELY OR JOINTLY WITH ANOTHER CLINICAL DEPARTMENT OR DEPARTMENTS, AT LEAST ONCE EVERY TWO MONTHS (BIMONTHLY), TO CONSIDER THE FINDINGS OF THE ONGOING MONITORING AND EVALUATION OF THE QUALITY AND APPROPRIATENESS OF THE CARE AND TREATMENT PROVIDED TO PATIENTS AS REQUIRED BY THE JOINT COMMISSION ON ACCREDITATION OF HEALTHCARE ORGANIZATIONS. A RECORD OF THE CONCLUSIONS, RECOMMENDATIONS AND ACTION TAKEN ON THESE FINDINGS IS MAINTAINED.
- (3) EACH CLINICAL DEPARTMENT SHALL SUBMIT REPORTS TO THE EXECUTIVE COMMITTEE DETAILING THE CLINICAL DEPARTMENT'S ACTIVITIES, INCLUDING MINUTES OF EACH CLINICAL DEPARTMENTAL MEETING.

(D) ASSIGNMENT TO CLINICAL DEPARTMENTS.

- (1) AT THE TIME OF INITIAL APPOINTMENT OR ANY REAPPOINTMENT, EACH MEMBER OF THE MEDICAL STAFF WILL BE ASSIGNED TO AT LEAST ONE CLINICAL DEPARTMENT, BUT MAY BE GRANTED CLINICAL PRIVILEGES IN MORE THAN ONE CLINICAL DEPARTMENT.
- (2) THE EXERCISE OF CLINICAL PRIVILEGES BY A PRACTITIONER WITHIN ANY CLINICAL DEPARTMENT SHALL BE SUBJECT TO THE RULES AND REGULATIONS OF THAT CLINICAL DEPARTMENT AND THE DEFINED AUTHORITY OF THE CLINICAL DEPARTMENTAL CHIEF.
- (3) IN ORDER TO ASSURE THAT ALL PATIENTS WITH THE SAME MAJOR HEALTH PROBLEM ARE RECEIVING THE SAME LEVEL OF CARE, MEDICAL TREATMENT PERFORMED IN EACH CLINICAL DEPARTMENT SHALL BE INCLUDED IN THAT CLINICAL DEPARTMENT'S EVALUATION ACTIVITIES, REGARDLESS OF THE CLINICAL DEPARTMENTAL ASSIGNMENTS HELD BY THE PRACTITIONER PROVIDING THE CARE.

(E) CLINICAL SECTIONS AND SUBSECTIONS.

CLINICAL SECTIONS OR SUBSECTIONS MAY BE ADDED OR DELETED UPON THE RECOMMENDATION OF THE CLINICAL DEPARTMENT CHIEF WITH THE CONCURRENCE OF A MAJORITY OF THE EXECUTIVE COMMITTEE. THE CHIEF OF A CLINICAL DEPARTMENT MAY APPOINT A SECTION CHIEF SUBJECT TO THE APPROVAL OF THE MEDICAL DIRECTOR AND THE EXECUTIVE COMMITTEE.

3335-45-13 MEETINGS.

(A) ANNUAL MEETING.

THE INITIAL ANNUAL MEETING OF THE HOSPITAL MEDICAL STAFF SHALL BE HELD NO LATER THAN JUNE 30, 1999. THEREAFTER, THE ANNUAL MEETING OF THE MEDICAL STAFF SHALL BE HELD AS A PART OF THE REGULARLY SCHEDULED QUARTERLY MEETING IN MAY. THE AGENDA OF THE ANNUAL MEETING WILL INCLUDE THE ELECTION OF OFFICERS WHOSE TERMS HAVE EXPIRED. THOSE PERSONS ELECTED AS OFFICERS SHALL ASSUME THEIR RESPECTIVE ELECTED POSITIONS ON THE FIRST DAY OF THE NEXT MEDICAL STAFF YEAR, PROVIDED THAT THE INITIAL MEDICAL STAFF OFFICERS SHALL SERVE UNTIL THE NEXT

ANNUAL MEETING. THE INITIAL MEDICAL STAFF OFFICERS SHALL NOT BE SUBJECT TO THE LIMITATION OF OFFICE CONTAINED IN PARAGRAPH (E) OF RULE 3335-45-10 OF THE ADMINISTRATIVE CODE.

(B) QUARTERLY MEETINGS.

QUARTERLY MEETINGS OF THE OHIO STATE UNIVERSITY HOSPITALS EAST MEDICAL STAFF SHALL BE HELD IN FEBRUARY, MAY, AUGUST AND NOVEMBER.

(C) COMMITTEE AND CLINICAL DEPARTMENTAL MEETINGS.

COMMITTEE AND CLINICAL DEPARTMENTAL MEETINGS WILL BE HELD IN ACCORDANCE WITH THE PROVISIONS OF RULES 3335-45-11 AND 3335-45-12 OF THE ADMINISTRATIVE CODE.

(D) SPECIAL MEETINGS.

(1) ACTIVE MEDICAL STAFF MEETINGS.

(a) SPECIAL MEETINGS OF THE ACTIVE MEDICAL STAFF MAY BE CALLED AT ANY TIME BY THE CHIEF OF STAFF AT HIS OR HER DISCRETION, OR AT THE REQUEST OF THE MEDICAL DIRECTOR, THE EXECUTIVE COMMITTEE, OR THE BOARD, OR ANY TWENTY MEMBERS OF THE ACTIVE MEDICAL STAFF.

(b) WRITTEN OR ORAL NOTICE STATING THE PLACE, DAY AND TIME OF ANY SPECIAL MEETING SHALL BE DELIVERED PERSONALLY OR BY MAIL TO EACH VOTING MEMBER OF THE MEDICAL STAFF AT LEAST FIVE DAYS BEFORE THE DATE OF SUCH MEETING. IF MAILED, SUCH NOTICE SHALL BE POSTMARKED AT LEAST SEVEN DAYS BEFORE THE DATE OF SUCH MEETING. THE ATTENDANCE OF A MEMBER OF THE MEDICAL STAFF AT A SPECIAL MEETING SHALL CONSTITUTE A WAIVER OF NOTICE OF SUCH MEETING.

(c) NO BUSINESS SHALL BE TRANSACTED AT ANY SPECIAL MEETING EXCEPT THAT STATED IN THE NOTICE CALLING THE MEETING.

(2) CLINICAL DEPARTMENT OR COMMITTEE MEETINGS.

(a) SPECIAL MEETINGS OF A CLINICAL DEPARTMENT OR COMMITTEE MAY BE CALLED AT ANY TIME BY THE CHIEF OF SUCH CLINICAL DEPARTMENT OR CHAIR OF SUCH COMMITTEE AT HIS OR HER DISCRETION OR AT THE REQUEST OF THE MEDICAL DIRECTOR, CHIEF OF STAFF, EXECUTIVE COMMITTEE, BOARD OR AT THE REQUEST OF NO LESS THAN FIFTY PER CENT OF THE PRACTITIONERS WHO ARE MEMBERS OF SUCH CLINICAL DEPARTMENT OR COMMITTEE.

(b) WRITTEN OR ORAL NOTICE STATING THE PLACE, DAY AND HOUR OF ANY SPECIAL MEETING OF A CLINICAL DEPARTMENT OR COMMITTEE SHALL BE GIVEN EACH PRACTITIONER WHO IS A MEMBER OF SUCH CLINICAL DEPARTMENT OR COMMITTEE NOT LESS THAN FIVE DAYS BEFORE THE TIME OF SUCH MEETING. IF MAILED, SUCH NOTICE SHALL BE POSTMARKED AT LEAST SEVEN DAYS BEFORE THE DATE OF SUCH MEETING. THE ATTENDANCE OF A PRACTITIONER AT A SPECIAL MEETING SHALL CONSTITUTE A WAIVER OF NOTICE OF SUCH MEETING.

- (3) THE AGENDA AT ANY SPECIAL MEETING SHALL BE:
 - (a) READING OF THE NOTICE CALLING THE MEETING;
 - (b) TRANSACTION OF BUSINESS FOR WHICH THE MEETING WAS CALLED; AND
 - (c) ADJOURNMENT.
- (E) ATTENDANCE.
 - (1) ACTIVE MEDICAL STAFF MEMBERS MUST ATTEND AT LEAST FIFTY PER CENT OF THE GENERAL MEDICAL STAFF MEETINGS EACH CALENDAR YEAR, AND FIFTY PER CENT OF ALL MEETINGS OF COMMITTEES AND CLINICAL DEPARTMENTS OF WHICH THEY ARE A MEMBER.
 - (2) THE FAILURE OF ANY PRACTITIONER TO MEET THE ANNUAL ATTENDANCE REQUIREMENTS SET FORTH HEREIN MAY BE GROUNDS FOR INITIATING CORRECTIVE ACTION.
- (F) EXCUSED ABSENCES.
 - (1) A PRACTITIONER WHO IS UNABLE TO ATTEND ANY MEETING IN WHICH THE PRACTITIONER'S ATTENDANCE IS REQUIRED MAY SUBMIT THE REASONS FOR HIS OR HER ABSENCE IN WRITING TO THE PRESIDING OFFICER OF THE MEETING EITHER PRIOR TO THE MEETING OR WITHIN SEVENTY-TWO HOURS AFTER THE MEETING.
 - (2) THE PRESIDING OFFICER, IN HIS OR HER DISCRETION, MAY FIND THAT THERE IS GOOD CAUSE FOR THE ABSENCE AND EXCUSE THE PRACTITIONER FROM ATTENDANCE AT SUCH MEETING.
 - (3) NOTWITHSTANDING A FINDING OF GOOD CAUSE FOR A PRACTITIONER'S ABSENCE FROM A MEETING, AN EXCUSED ABSENCE SHALL BE COUNTED AS AN ABSENCE FOR PURPOSES OF DETERMINING WHETHER A PRACTITIONER HAS MET ALL ATTENDANCE REQUIREMENTS SET FORTH IN THESE RULES.

3335-45-14 ALLIED HEALTH PROFESSIONALS.

- (A) GENERAL.
 - (1) ALLIED HEALTH PROFESSIONALS ARE THOSE PROFESSIONALS WHO ARE ENUMERATED IN THE APPENDIX TO THIS RULE WHO POSSESS A LICENSE, CERTIFICATE OR OTHER LEGAL CREDENTIAL REQUIRED BY OHIO LAW TO PROVIDE DIRECT PATIENT CARE IN A HOSPITAL SETTING, BUT WHO IS NEITHER A LICENSED PRACTITIONER NOR AN EMPLOYEE OF THE HOSPITAL MAY APPLY TO BE AN ALLIED HEALTH PROFESSIONAL AUTHORIZED TO PRACTICE HIS OR HER PROFESSION IN THE HOSPITAL.
 - (2) ALLIED HEALTH PROFESSIONALS HAVE NO AUTHORITY TO ADMIT OR CO-ADMIT PATIENTS TO THE HOSPITAL, AND ARE NOT ELIGIBLE FOR MEDICAL STAFF MEMBERSHIP, TO HOLD OFFICE, TO VOTE ON MEDICAL STAFF AFFAIRS, OR TO SERVE ON STANDING COMMITTEES OF THE MEDICAL STAFF UNLESS SPECIFICALLY AUTHORIZED BY THE EXECUTIVE COMMITTEE.
 - (3) THE ALLIED HEALTH PROFESSIONALS STAFF IS CREATED FOR THE PURPOSE OF PROVIDING A MECHANISM FOR THE MEDICAL STAFF AND THE

HOSPITAL TO DOCUMENT AND VERIFY THE CREDENTIALS OF PERSON WHO, UNDER THEIR LICENSE, CERTIFICATE OR OTHER LEGAL CREDENTIAL, ARE PERMITTED BY OHIO LAW TO PROVIDE PATIENT CARE IN THE HOSPITAL AS AN ADJUNCT TO TREATMENT BY PRACTITIONERS WHO ARE MEMBERS OF THE MEDICAL STAFF.

- (4) ALL ALLIED HEALTH PROFESSIONALS WILL BE INDIVIDUALLY ASSIGNED TO MEDICAL STAFF DEPARTMENTS, OR, IF APPROPRIATE, TO MEMBERS OF THE MEDICAL STAFF.
- (5) ALL SERVICES RENDERED BY ALLIED HEALTH PROFESSIONALS MUST BE UNDER THE SUPERVISION AND DIRECTION OF, AND SUBJECT TO ANY POLICIES, PROCEDURES, PRIVILEGES AND RESTRICTIONS ADOPTED BY, THE APPLICABLE MEDICAL STAFF DEPARTMENT OR MEDICAL STAFF MEMBER.
- (6) ALL ALLIED HEALTH PROFESSIONALS MUST COMPLY WITH ALL LIMITATIONS AND RESTRICTIONS IMPOSED BY THEIR RESPECTIVE LICENSES, CERTIFICATIONS, OR LEGAL CREDENTIALS REQUIRED BY OHIO LAW, AND MAY ONLY PERFORM SERVICES IN ACCORDANCE WITH PROVISIONS RELATING TO THEIR RESPECTIVE PROFESSIONS CONTAINED IN THE APPENDIX TO THIS RULE.

(B) DESCRIPTIONS AND LIMITATIONS OF ALLIED HEALTH PROFESSIONALS.

- (1) ALLIED HEALTH PROFESSIONALS SHALL BE PERMITTED TO PRACTICE THEIR PROFESSIONS IN THE HOSPITAL ONLY IN ACCORDANCE WITH THE DESCRIPTIONS FOR THE RESPECTIVE PROFESSIONS CONTAINED IN THE APPENDIX TO OF THIS RULE.
- (2) THE EXECUTIVE COMMITTEE, WITH THE APPROVAL OF THE BOARD MAY, AT ANYTIME, MAKE MODIFICATIONS, ADDITIONS OR DELETIONS TO THE DESCRIPTIONS CONTAINED IN THE APPENDIX WITHOUT AMENDMENT TO THIS RULE.
- (3) ALLIED HEALTH PROFESSIONALS' CLINICAL PRIVILEGES SHALL BE DELINEATED WITH SUFFICIENT SPECIFICITY TO ENSURE THAT THE PROFESSIONAL IS PRACTICING WITHIN HIS/HER LICENSURE PARAMETERS.

(C) QUALIFICATIONS FOR APPOINTMENT.

- (1) APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL IS A PRIVILEGE THAT WILL ONLY BE GRANTED TO PROFESSIONALLY COMPETENT INDIVIDUALS WHO MEET THE QUALIFICATIONS, STANDARDS AND REQUIREMENTS OF THEIR RESPECTIVE LICENSURE, CERTIFICATION, OR OTHER LEGAL AUTHORIZATION.
- (2) ONLY INDIVIDUALS WHO CAN DOCUMENT THE FOLLOWING SHALL BE QUALIFIED FOR APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL:
 - (a) CURRENT LICENSE, CERTIFICATION, OR OTHER LEGAL CREDENTIAL REQUIRED BY OHIO LAW;
 - (b) EDUCATION, TRAINING, PROFESSIONAL BACKGROUND AND EXPERIENCE, AND PROFESSIONAL COMPETENCE;
 - (c) ADHERENCE TO THE ETHICS OF THE PROFESSION FOR WHICH AN INDIVIDUAL HOLDS A LICENSE, CERTIFICATION, OR OTHER LEGAL CREDENTIAL REQUIRED BY OHIO LAW;

- (d) GOOD PERSONAL AND PROFESSIONAL REPUTATION AS ESTABLISHED BY APPROPRIATE REFERENCES;
- (e) SATISFACTORY PHYSICAL AND MENTAL HEALTH; AND
- (f) ABILITY TO WORK WITH MEMBERS OF THE MEDICAL STAFF AND HOSPITAL EMPLOYEES.

THIS DOCUMENTATION MUST BE PRESENTED WITH SUFFICIENT ADEQUACY TO ASSURE THE MEDICAL STAFF AND THE HOSPITAL THAT ANY PATIENT CARED FOR BY THE PERSON SEEKING APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL WILL BE GIVEN QUALITY CARE, AND THAT THE EFFICIENT OPERATION OF THE HOSPITAL WILL NOT BE DISRUPTED BY SUCH PERSON'S CARE OF PATIENTS IN THE HOSPITAL.

(D) CONDITIONS OF ACCEPTANCE.

AN INDIVIDUAL ACCEPTING APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL AGREES TO THE FOLLOWING TERMS AND CONDITIONS:

- (1) THE ALLIED HEALTH PROFESSIONAL HAS READ THE MEDICAL STAFF BYLAWS OF THE HOSPITAL AND AGREES TO ABIDE BY ALL APPLICABLE TERMS OF SUCH MEDICAL STAFF BYLAWS AND ANY APPLICABLE RULES AND REGULATIONS, INCLUDING ANY SUBSEQUENT AMENDMENTS THERETO, AND ANY APPLICABLE HOSPITAL POLICIES THE HOSPITAL MAY FROM TIME TO TIME PUT INTO EFFECT;
- (2) THE ALLIED HEALTH PROFESSIONAL GRANT FULL IMMUNITY TO THE HOSPITAL FROM LIABILITY UNDER THE PROVISIONS OF RULE 3335-45-04 OF THE ADMINISTRATIVE CODE;
- (3) THE ALLIED HEALTH PROFESSIONAL SHALL NOT DECEIVE A PATIENT AS TO THE IDENTITY OF ANY PRACTITIONER PROVIDING TREATMENT OR SERVICE IN THE HOSPITAL;
- (4) THE ALLIED HEALTH PROFESSIONAL SHALL NOT MAKE ANY STATEMENT OR TAKE ANY ACTION THAT MIGHT CAUSE A PATIENT TO BELIEVE THAT THE ALLIED HEALTH PROFESSIONAL IS A PRACTITIONER;
- (5) THE ALLIED HEALTH PROFESSIONAL SHALL NOT PERFORM ANY PATIENT CARE IN THE HOSPITAL THAT IS NOT PERMITTED UNDER THE ALLIED HEALTH PROFESSIONAL'S LICENSE, CERTIFICATION, OR OTHER LEGAL CREDENTIAL REQUIRED UNDER OHIO LAW; AND
- (6) THE ALLIED HEALTH PROFESSIONAL SHALL CONTINUE TO MAINTAIN IN FORCE MALPRACTICE INSURANCE IN AN AMOUNT THAT WILL NOT BE LESS THAN THAT SPECIFIED BY THE BOARD.

(E) APPLICATION FOR APPOINTMENT.

PERSONS SEEKING APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL SHALL MAKE APPLICATION IN THE SAME MANNER AS PROVIDED IN PARAGRAPH (A) OF RULE 3335-45-04 OF THE ADMINISTRATIVE CODE.

(F) ACTION ON APPOINTMENT.

- (1) UPON RECEIPT OF AN APPLICATION FOR APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL, THE MEDICAL DIRECTOR SHALL REVIEW THE APPLICATION FOR COMPLETENESS. AN INCOMPLETE APPLICATION WILL

- (2) BE RETURNED TO THE APPLICANT FOR COMPLETION AND RESUBMISSION. UPON RECEIPT OF AN APPLICATION THAT HAS BEEN VERIFIED FOR COMPLETENESS, THE CHIEF OF THE CLINICAL DEPARTMENT SUPERVISING THE ALLIED HEALTH PROFESSIONAL WILL REVIEW THE APPLICATION AND MAKE RECOMMENDATION FOR THE SCOPE OF PRIVILEGES TO BE GRANTED. THE APPLICATION WILL BE FORWARDED TO ALLIED HEALTH CREDENTIALS SUBCOMMITTEE APPOINTED BY THE EXECUTIVE COMMITTEE.
 - (3) UPON RECEIPT OF A COMPLETED APPLICATION, THE ALLIED HEALTH CREDENTIALS SUBCOMMITTEE SHALL PROCEED TO:
 - (a) REVIEW AND INVESTIGATE THE CHARACTER, QUALIFICATIONS AND PROFESSIONAL COMPETENCE OF THE APPLICANT;
 - (b) VERIFY THE ACCURACY OF THE INFORMATION CONTAINED IN THE APPLICATION; AND
 - (c) REQUEST A PERSONAL INTERVIEW WITH THE APPLICANT IF DEEMED APPROPRIATE.
 - (4) FOLLOWING RECEIPT OF THE COMPLETED APPLICATION, THE ALLIED HEALTH CREDENTIALS SUBCOMMITTEE WILL FORWARD A WRITTEN RECOMMENDATION FOR PRIVILEGES TO THE CREDENTIALS COMMITTEE.
 - (5) AT ITS REGULARLY SCHEDULED MEETING, THE CREDENTIALS COMMITTEE WILL REVIEW AND ACT ON THE RECOMMENDATIONS FOR ALLIED HEALTH PRIVILEGES SUBMITTED BY THE ALLIED HEALTH CREDENTIALS COMMITTEE. THE RECOMMENDATION OF THE CREDENTIALS COMMITTEE IS FORWARDED TO THE EXECUTIVE COMMITTEE.
 - (6) AT THE NEXT REGULARLY SCHEDULED MEETING, THE EXECUTIVE COMMITTEE WILL REVIEW AND ACT ON THE RECOMMENDATION FOR ALLIED HEALTH PRIVILEGES AND FORWARD A WRITTEN RECOMMENDATION TO THE BOARD FOR FURTHER ACTION.
 - (7) AT THE NEXT REGULARLY SCHEDULED MEETING THE BOARD, AFTER THE WRITTEN RECOMMENDATION HAS BEEN FORWARDED TO THE BOARD, WILL MAKE A FINAL DECISION ON THE APPLICATION FOR APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL. THE MEDICAL DIRECTOR SHALL NOTIFY THE APPLICANT OF THE DECISION OF THE BOARD OF TRUSTEES. THERE SHALL BE NO RIGHT OF APPEAL OF AN ADVERSE DECISION.
- (G) TEMPORARY APPOINTMENT.
- (1) THE MEDICAL DIRECTOR, WITH THE CONCURRENCE OF THE CHIEF OF STAFF, OR HIS OR HER DELEGATE AND THE CHIEF OF THE CLINICAL DEPARTMENT CONCERNED, MAY TEMPORARILY APPOINT A PERSON AS AN ALLIED HEALTH PROFESSIONAL UNDER THE FOLLOWING CIRCUMSTANCES:
 - (a) WHEN THE MEDICAL DIRECTOR BELIEVES CIRCUMSTANCES WARRANT GRANTING TEMPORARY APPOINTMENT DURING THE PROCESSING OF A PERSON'S APPLICATION FOR APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL; OR
 - (b) TO A PERSON WHO HAS NOT APPLIED FOR APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL, BUT HAS BEEN REQUESTED TO ASSIST A MEMBER OF THE MEDICAL STAFF IN THE CARE OF A SPECIFIC PATIENT.

- (2) SPECIAL REQUIREMENTS OF SUPERVISION AND REPORTING WILL BE IMPOSED BY THE MEDICAL DIRECTOR, THE CHIEF OF STAFF, OR ANY CHIEF OF ANY CLINICAL DEPARTMENT CONCERNED WITH A PERSON GRANTED TEMPORARY APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL.

(H) TERM OF APPOINTMENT.

- (1) THE APPOINTMENT OF A PERSON AS AN ALLIED HEALTH PROFESSIONAL IS TERMINABLE AT ANY TIME, WITH OR WITHOUT CAUSE, BY THE MEDICAL DIRECTOR, THE CHIEF OF STAFF, THE EXECUTIVE COMMITTEE, OR THE BOARD. THE HOSPITAL SPECIFICALLY DOES NOT CREATE ANY PROPERTY INTEREST OR EXPECTATION OF CONTINUING APPOINTMENT IN ANY PERSON APPOINTED AS AN ALLIED HEALTH PROFESSIONAL.
- (2) THE PROVISIONS OF RULE 3335-45-08 OF THE ADMINISTRATIVE CODE SPECIFICALLY DO NOT APPLY TO ALLIED HEALTH PROFESSIONALS, AND THERE IS NO RIGHT TO APPEAL OF THE DENIAL OF AN APPOINTMENT OR THE TERMINATION OF AN APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL.

(I) REAPPRAISAL.

- (1) ON A BIENNIAL BASIS, THE MEDICAL DIRECTOR SHALL NOTIFY ALLIED HEALTH PROFESSIONALS PROVIDING PATIENT CARE IN THE HOSPITAL THAT THE INFORMATION SUBMITTED IN THE INITIAL APPLICATION MUST BE UPDATED TO INCLUDE ALL CURRENT INFORMATION ON EACH ALLIED HEALTH PROFESSIONAL.
- (2) WITHIN SIXTY DAYS OF SUCH NOTICE, EACH ALLIED HEALTH PROFESSIONAL SHALL PROVIDE THE REQUESTED INFORMATION TO THE MEDICAL DIRECTOR. FAILURE TO PROVIDE THE REQUESTED INFORMATION MAY RESULT IN TERMINATION OF APPOINTMENT.
- (3) THE MEDICAL DIRECTOR SHALL REVIEW THE UPDATED INFORMATION OF EACH ALLIED HEALTH PROFESSIONAL, AND THE MEDICAL DIRECTOR MAY TAKE ANY ACTION HE OR SHE DEEMS APPROPRIATE, INCLUDING REFERRING THE INFORMATION TO THE CHIEF OF STAFF, THE CHIEF OF THE CLINICAL DEPARTMENT, OR TO THE INDIVIDUAL PHYSICIAN, IF ANY, TO WHOM THE ALLIED HEALTH PROFESSIONAL IS ASSIGNED. IF THE ACTION RESULTS IN A CHANGE IN STATUS OF THE ALLIED HEALTH PROFESSIONAL, THE ACTION MUST BE APPROVED BY THE BOARD.
- (4) THE ALLIED HEALTH PROFESSIONAL SHALL RECEIVE WRITTEN NOTIFICATION OF HIS OR HER REAPPOINTMENT OR NON-REAPPOINTMENT, AND A COPY OF SUCH NOTIFICATION SHALL BE MAINTAINED IN THE HOSPITAL'S RECORDS.

3335-45-15 MEDICAL ADMINISTRATIVE PERSONNEL.

(A) MEDICAL STAFF MEMBERSHIP.

- (1) ANY PRACTITIONER PERFORMING EXCLUSIVELY ADMINISTRATIVE RESPONSIBILITIES WITH NO CLINICAL RESPONSIBILITY OR FUNCTION SHALL NOT BE REQUIRED TO BE A MEMBER OF THE MEDICAL STAFF.
- (2) ANY PRACTITIONER PERFORMING ADMINISTRATIVE RESPONSIBILITIES WHO ALSO HAS CLINICAL RESPONSIBILITIES OR FUNCTIONS MUST, AT ALL TIMES, BE A MEMBER OF THE MEDICAL STAFF. APPLICATIONS TO BECOME A MEMBER OF THE MEDICAL STAFF, AND ANY SUBSEQUENT

REAPPOINTMENT, SHALL BE GOVERNED BY THE PROVISIONS OF RULE 3335-45-04 OF THE ADMINISTRATIVE CODE.

(B) TERMINATION AND CHANGE IN STATUS.

A PRACTITIONER WHO HAS BOTH ADMINISTRATIVE AND CLINICAL RESPONSIBILITIES MAY HAVE SUCH ADMINISTRATIVE AND CLINICAL RESPONSIBILITIES TERMINATED OR MODIFIED IN THE FOLLOWING MANNER:

- (1) IF THE TERMINATION OR CHANGE IN STATUS RELATES TO THE PRACTITIONER'S MEMBERSHIP ON THE MEDICAL STAFF, THE TERMINATION OR CHANGE IN STATUS SHALL BE GOVERNED BY THE PROVISIONS OF THESE RULES; AND
- (2) IF THE TERMINATION OR CHANGE IN STATUS RELATES TO THE PRACTITIONER'S ADMINISTRATIVE RESPONSIBILITIES, THE TERMINATION OR CHANGE IN STATUS SHALL BE GOVERNED BY THE HOSPITAL POLICY, IF ANY, GOVERNING THE TERMINATION OR CHANGE IN STATUS OF ADMINISTRATIVE PERSONNEL AND THE PRACTITIONER WILL HAVE ACCESS TO THE HOSPITAL'S GRIEVANCE PROCEDURE, IF ANY.

3335-45-16 IMMUNITY FROM LIABILITY.

(A) PRACTITIONERS' RELEASE FROM LIABILITY.

(1) ANY PRACTITIONER WHO MAKES APPLICATION FOR APPOINTMENT OR REAPPOINTMENT OF THE HOSPITAL'S MEDICAL STAFF, AND EACH PRACTITIONER WHO IS A MEMBER OF THE HOSPITAL'S MEDICAL STAFF HEREBY AGREES AS FOLLOWS:

(a) TO THE FULLEST EXTENT PERMITTED BY LAW, EACH PRACTITIONER RELEASES AND GIVES FULL IMMUNITY FROM CIVIL LIABILITY TO ALL MEMBERS OF THE HOSPITAL'S MEDICAL STAFF, ALL REPRESENTATIVES, AGENTS AND EMPLOYEES OF THE HOSPITAL, THE BOARD, THE OHIO STATE UNIVERSITY HOSPITALS BOARD AND THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY, ALL MEMBERS OF ANY PROFESSIONAL REVIEW BODY, AND ALL THIRD PARTIES FOR ALL ACTS, COMMUNICATIONS, REPORTS, RECOMMENDATIONS OR DISCLOSURES, MADE IN GOOD FAITH AND WITHOUT MALICE, PERFORMED OR MADE IN CONNECTION WITH THE HOSPITAL OR ANY OTHER HEALTH CARE INSTITUTION'S ACTIVITIES RELATED TO:

- (i) APPLICATIONS FOR APPOINTMENT OR CLINICAL PRIVILEGES;
- (ii) PERIODIC REAPPRAISALS FOR REAPPOINTMENT OR CLINICAL PRIVILEGES;
- (iii) CORRECTIVE ACTION, INCLUDING SUMMARY SUSPENSION;
- (iv) HEARINGS AND APPELLATE REVIEWS;
- (v) QA MONITORING ACTIVITIES;
- (vi) UTILIZATION REVIEW;
- (vii) PEER REVIEW;

- (viii) ANY CLINICAL DEPARTMENT OR COMMITTEE OF THE MEDICAL STAFF; AND
 - (xi) ANY OTHER PROFESSIONAL REVIEW ACTIVITIES.
 - (b) THE ACTS, COMMUNICATIONS, REPORTS, RECOMMENDATIONS AND DISCLOSURES REFERRED TO IN THIS RULE MAY RELATE TO A PRACTITIONERS' PROFESSIONAL QUALIFICATIONS, CLINICAL COMPETENCY CHARACTER, MENTAL OR PHYSICAL WELL BEING, ETHICS ABILITY TO GET ALONG WITH OTHERS, OR ANY OTHER AFTER THAT RELATES DIRECTLY OR INDIRECTLY TO THE PRACTITIONER'S ABILITY TO CARE FOR PATIENTS IN A HOSPITAL SETTING; AND
 - (c) THE TERM "THIRD PARTIES" AS USED IN THIS RULE MEANS BOTH INDIVIDUALS AND ORGANIZATIONS, IN WHATEVER FORM, FROM WHOM INFORMATION RELATING TO THE PRACTITIONER HAS BEEN REQUESTED.
- (2) EACH PRACTITIONER SHALL, UPON THE REQUEST OF THE MEDICAL STAFF OR THE HOSPITAL, EXECUTE RELEASES IN ACCORDANCE WITH THE PROVISIONS OF (A)(1) OF THIS PARAGRAPH, IN FAVOR OF THE INDIVIDUALS SPECIFIED THEREIN, SUBJECT TO THE LIMITATION THAT SUCH RELEASES ONLY APPLY TO ACTS, COMMUNICATIONS, REPORTS, RECOMMENDATIONS OR DISCLOSURES MADE, REQUESTED OR RECEIVED IN GOOD FAITH AND WITHOUT MALICE.
- (3) THE RELEASES PROVIDED IN (A)(1) OF THIS PARAGRAPH SHALL BE INCLUDED ON THE APPLICATION FORMS FOR APPOINTMENT OR REAPPOINTMENT TO THE HOSPITAL'S MEDICAL STAFF, AND BY APPLYING FOR APPOINTMENT, REAPPOINTMENT OR CLINICAL PRIVILEGES, THE APPLICANT EXPRESSLY ACCEPTS THOSE CONDITIONS DURING THE PROCESSING AND CONSIDERATION OF HIS/HER APPLICATION, REGARDLESS OF WHETHER OR NOT HE/SHE IS GRANTED APPOINTMENT OR CLINICAL PRIVILEGES, AND THE CONDITION SHALL APPLY THROUGHOUT THE DURATION OF HIS/HER APPOINTMENT AND ALL REAPPOINTMENTS.
- (4) ANY ACT, COMMUNICATION, REPORT, RECOMMENDATION OR DISCLOSURE WITH RESPECT TO ANY APPLICATION FOR APPOINTMENT OR REAPPOINTMENT TO THE HOSPITAL'S MEDICAL STAFF MADE IN GOOD FAITH AND AT THE REQUEST OF AN AUTHORIZED REPRESENTATIVE OF THE HOSPITAL OR ANY OTHER HOSPITAL OR HEALTH CARE FACILITY, ANYWHERE AT ANY TIME, FOR THE PURPOSES SET FORTH IN (A)(1) OF THIS PARAGRAPH, SHALL BE PRIVILEGED TO THE FULLEST EXTENT PERMITTED BY LAW. THE PRIVILEGE SHALL EXTEND TO EMPLOYEES OF THE HOSPITAL AND ITS AUTHORIZED REPRESENTATIVES, AND TO THE THIRD PARTIES WHO EITHER SUPPLY OR ARE SUPPLIED INFORMATION OR RECEIVE, RELEASE OR ACT UPON THE SAME.
- (5) THE HOSPITAL AND ITS AUTHORIZED REPRESENTATIVES ARE SPECIFICALLY AUTHORIZED TO CONSULT WITH THE APPOINTEES TO THE PROFESSIONAL STAFFS OF OTHER HOSPITALS OR HEALTH CARE FACILITIES OR THE MANAGEMENT OF SUCH HOSPITALS OR FACILITIES WITH WHICH THE APPLICANT OR APPOINTEE IS OR HAS BEEN ASSOCIATED, AND WITH OTHERS WHO MAY HAVE INFORMATION BEARING ON THE APPLICANT'S OR APPOINTEE'S PROFESSIONAL QUALIFICATIONS, CREDENTIALS, CLINICAL COMPETENCE, CHARACTER, MENTAL OR EMOTIONAL STABILITY, PHYSICAL CONDITION, ETHICS, BEHAVIOR OR ANY

OTHER MATTER, AND INSPECT ALL RECORDS AND DOCUMENTS THAT MAY BE MATERIAL TO SUCH QUESTIONS.

THE IMMUNITY GRANTED THEREUNDER SHALL BE EXTENDED TO ANY AND ALL HOSPITALS, HEALTH CARE FACILITIES, INDIVIDUALS, INSTITUTIONS, ORGANIZATIONS AND THEIR REPRESENTATIVES WHO IN GOOD FAITH SUPPLY ORAL OR WRITTEN INFORMATION, RECORDS OR DOCUMENTS TO THE HOSPITAL IN RESPONSE TO AN INQUIRY EMANATING FROM THE HOSPITAL OR ITS AUTHORIZED REPRESENTATIVES.

(B) ALLIED HEALTH PROFESSIONALS' RELEASE FROM LIABILITY.

ANY PERSON SEEKING APPOINTMENT AS AN ALLIED HEALTH PROFESSIONAL, AND EACH ALLIED HEALTH PROFESSIONAL, HEREBY AGREES TO RELEASE AND GIVE FULL IMMUNITY FROM CIVIL LIABILITY AND TO EXECUTE ANY REQUESTED RELEASES, IN THE SAME MANNER AND TO THE SAME EXTENT AS IS PROVIDED IN PARAGRAPH (A) OF THIS RULE.

3335-45-17 RULES AND REGULATIONS.

(A) GENERAL.

- (1) THE MEDICAL STAFF SHALL ADOPT SUCH RULES AND REGULATIONS AS MAY BE NECESSARY FOR THE PROPER CONDUCT OF ITS AFFAIRS.
- (2) ANY RULES AND REGULATIONS ADOPTED BY THE MEDICAL STAFF MUST BE IN CONFORMITY WITH THE PROVISIONS OF THESE RULES.

(B) PROCEDURE FOR ADOPTION.

- (1) MEDICAL STAFF RULES AND REGULATIONS, AND ANY AMENDMENT THERETO, SHALL BE ADOPTED BY AN AFFIRMATIVE VOTE OF A MAJORITY OF THE ACTIVE MEDICAL STAFF PRESENT AT A MEETING IN WHICH A QUORUM IS PRESENT AND WHERE NOTICE OF SUCH PROPOSED RULES AND REGULATIONS, OR ANY AMENDMENT THERETO, HAS BEEN GIVEN IN ACCORDANCE WITH RULE 3335-45-03 OF THE ADMINISTRATIVE CODE.
- (2) THE ADOPTION OF MEDICAL STAFF RULES AND REGULATIONS, AND ANY AMENDMENT THERETO, ARE SUBJECT TO, AND EFFECTIVE UPON, THE APPROVAL OF THE BOARD.
- (3) MEMBERS OF THE MEDICAL STAFF WILL BE NOTIFIED IN WRITING OF ANY CHANGES IN THE MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS.

3335-45-18 PROCEDURE FOR AMENDMENT.

(A) THESE RULES MAY BE AMENDED, IN WHOLE OR PART, BY AN AFFIRMATIVE VOTE OF TWO-THIRDS OF THE ACTIVE MEDICAL STAFF PRESENT AT A MEETING IN WHICH A QUORUM IS PRESENT AND WHERE NOTICE OF SUCH PROPOSED AMENDMENT HAS BEEN GIVEN IN ACCORDANCE WITH RULE 3335-45-08 OF THE ADMINISTRATIVE CODE.

(B) ANY AMENDMENT SO ADOPTED SHALL BE SUBJECT TO, AND EFFECTIVE UPON, THE APPROVAL OF THE BOARD AND THE APPROVAL OF THE OHIO STATE UNIVERSITY HOSPITALS BOARD AND THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY. NEITHER THE MEDICAL STAFF NOR THE BOARD MAY UNILATERALLY AMEND THE MEDICAL STAFF BYLAWS EXCEPT AS PROVIDED IN PARAGRAPH (C) OF THIS RULE.

- (C) THE BOARD MAY AMEND THESE RULES ON ITS OWN INITIATIVE IF IMMEDIATE ACTION IS NECESSARY IN ORDER TO COMPLY WITH ANY FEDERAL, STATE AND LOCAL LAW OR REGULATION OR TO AVOID POTENTIAL LIABILITY AND THE MEDICAL STAFF HAS FAILED TO RESPOND TIMELY AS DETERMINED BY THE BOARD, TO THE BOARD'S REQUEST FOR SUCH AN AMENDMENT. ANY AMENDMENT TO THESE RULES ADOPTED BY THE BOARD SHALL BECOME EFFECTIVE WHEN NOTICE IS GIVEN TO THE MEDICAL STAFF.

3335-45-19 FORMS.

- (A) A COMPLETE SET OF FORMS USED IN CONJUNCTION WITH THESE RULES IS AVAILABLE IN THE MEDICAL STAFF OFFICE.
- (B) THE EXECUTIVE COMMITTEE MAY FROM TIME TO TIME MAKE MODIFICATIONS, ADDITIONS OR DELETIONS TO THE FORMS USED IN CONJUNCTION WITH THESE RULES.

3335-45-20 RULES OF CONSTRUCTION.

- (A) "SHALL" AS USED HEREIN IS TO BE CONSTRUED AS MANDATORY.
- (B) THESE RULES SHOULD BE CONSTRUED TO BE GENDER NEUTRAL.

Medical Staff Rules and Regulations of The Ohio State University Hospitals East

86-01 ADMISSIONS AND DISCHARGES.

- (A) ADMISSIONS. PATIENTS CAN ONLY BE ADMITTED TO THE HOSPITAL:
 - (1) BY MEMBERS OF THE MEDICAL STAFF WHO HAVE BEEN GRANTED ADMITTING PRIVILEGES BY THE BOARD.
 - (2) BY PRACTITIONERS WHO HAVE BEEN GRANTED TEMPORARY PRIVILEGES IN ACCORDANCE WITH THE MEDICAL STAFF BYLAWS.
- (B) PSYCHIATRIC PATIENTS.
 - (1) THE HOSPITAL IS NOT A LICENSED PSYCHIATRIC FACILITY. IN THE EVENT THAT A PATIENT IS PRESENTED TO THE HOSPITAL WITH AN ILLNESS OR EMOTIONAL PROBLEM THAT SUBSTANTIALLY IMPAIRS THE PATIENT'S CAPACITY TO USE SELF-CONTROL, JUDGMENT AND DISCRETION IN THE CONDUCT OF THE PATIENT'S AFFAIRS AND SOCIAL RELATIONSHIPS (E.G., ATTEMPTED SUICIDE, SUICIDAL GESTURES) THE EMERGENCY DEPARTMENT POLICIES AND PROCEDURES WILL BE FOLLOWED.
 - (2) IF A PATIENT WITH A MENTAL DISORDER IS TREATED IN THE HOSPITAL FOR A MEDICAL CONDITION, IT SHALL BE THE RESPONSIBILITY OF THE ATTENDING PRACTITIONER TO NOTIFY HOSPITAL PERSONNEL OF THE EXISTENCE OF THE MENTAL DISORDER, AND TO ORDER SUCH PRECAUTIONARY MEASURES AS MAY BE NECESSARY UNDER PARAGRAPH (C) OF THIS RULE.
- (C) PROTECTION OF PATIENTS AND OTHERS.

THE ADMITTING PRACTITIONER SHALL BE RESPONSIBLE FOR GIVING SUCH INFORMATION AS MAY BE NECESSARY TO ASSURE THE PROTECTION OF THE PATIENT FROM SELF HARM AND TO ASSURE THE PROTECTION OF OTHERS WHENEVER A PATIENT MIGHT BE A SOURCE OF DANGER.

(D) CONSENT.

A GENERAL CONSENT FORM, SIGNED BY THE PATIENT OR LEGALLY AUTHORIZED PERSON ON BEHALF OF THE PATIENT, MUST BE OBTAINED AT THE TIME OF ADMISSION. ADMITTING OFFICE PERSONNEL SHOULD NOTIFY THE ADMITTING PRACTITIONER WHENEVER SUCH CONSENT HAS NOT BEEN OBTAINED. WHEN SO NOTIFIED, EXCEPT IN AN EMERGENCY, THE PRACTITIONER SHALL HAVE THE OBLIGATION TO OBTAIN PROPER GENERAL CONSENT BEFORE THE PATIENT IS TREATED IN THE HOSPITAL. THIS CONSENT DOES NOT OBLIATE THE PRACTITIONER'S RESPONSIBILITY FOR INFORMING THE PATIENT OF, AND RECEIVING A CONSENT TO, SPECIAL TREATMENT OR SURGICAL PROCEDURES TO BE PERFORMED BY THE PRACTITIONER.

(E) DENTISTS, ORAL AND MAXILLOFACIAL SURGEONS AND PODIATRISTS.

PATIENT ADMITTED FOR DENTAL, ORAL OR PODIATRIC SURGERY MAY BE ADMITTED TO THE SERVICE OF THE DENTIST, ORAL AND MAXILLOFACIAL SURGEON, OR PODIATRIST, IN ACCORDANCE WITH THE MEDICAL STAFF BYLAWS.

(F) ADMISSION THROUGH EMERGENCY ROOM.

EVERY PATIENT IN THE EMERGENCY ROOM WILL BE CARED FOR BY THE EMERGENCY ROOM PHYSICIAN, OR THE PATIENT'S ATTENDING PRACTITIONER. IF HOSPITALIZATION IS INDICATED, THE PATIENT WILL BE ADMITTED UNDER THE CARE OF THE PATIENT'S ATTENDING PRACTITIONER, IF THE ATTENDING PRACTITIONER IS A MEMBER OF THE HOSPITAL MEDICAL STAFF. PATIENTS WHO HAVE NO ATTENDING PRACTITIONER, OR WHOSE PERSONAL PRACTITIONER IS NOT A MEMBER OF THE MEDICAL STAFF WILL BE ADMITTED TO THE CARE OF THE APPROPRIATE CLINICAL DEPARTMENTAL PRACTITIONER ON CALL OR MEMBER OF THE MEDICAL STAFF SELECTED BY THE PATIENT OR THE PATIENT'S ATTENDING PHYSICIAN, PENDING NOTIFICATION AND ACCEPTANCE BY SUCH APPROPRIATE ON-CALL PRACTITIONER.

FOR PURPOSE OF THIS PARAGRAPH, A PRACTITIONER WHO HAS BEEN DESIGNATED AS AN ALTERNATE BY THE ATTENDING PRACTITIONER OR THE CLINICAL DEPARTMENTAL PRACTITIONER ON-CALL MAY ADMIT THE PATIENT TO THE HOSPITAL PURSUANT TO PARAGRAPH (A) OF RULE 86.07 OF THESE RULES AND REGULATIONS.

(G) PATIENT DISCHARGE.

PATIENTS SHALL BE DISCHARGED ONLY UPON WRITTEN ORDER OF THE ATTENDING PRACTITIONER, UNLESS THE PATIENT REQUESTS DISCHARGE AGAINST MEDICAL ADVICE. A PATIENT WHO REQUESTS DISCHARGE AGAINST MEDICAL ADVICE SHALL BE REQUESTED TO SIGN A FORM PROVIDED BY THE HOSPITAL INDICATING THAT THE PATIENT'S DISCHARGE IS AT THE PATIENT'S REQUEST AND AGAINST MEDICAL

ADVICE. AT THE TIME OF DISCHARGE THE ATTENDING PRACTITIONER SHALL SEE THAT THE RECORD IS AS COMPLETE AS POSSIBLE. THE ATTENDING PRACTITIONER IS RESPONSIBLE FOR VERIFYING THE PRINCIPAL DIAGNOSIS, SECONDARY DIAGNOSES, PRINCIPAL PROCEDURE, AND OTHER SIGNIFICANT INVASIVE PROCEDURES IN THE MEDICAL RECORD BY THE TIME OF DISCHARGE. IF A PRINCIPAL DIAGNOSIS CANNOT BE DETERMINED IN THE ABSENCE OF OUTSTANDING TEST RESULTS, THE ATTENDING PRACTITIONER MUST RECORD A "PROVISIONAL" PRINCIPAL DIAGNOSIS BY THE TIME OF DISCHARGE.

(H) TIME OF DISCHARGE.

PATIENTS SHALL BE DISCHARGED BY 11:00 A.M., UNLESS SPECIAL ARRANGEMENTS ARE MADE IN ADVANCE WITH THE HOSPITAL'S ADMISSIONS OFFICE.

(I) PRE-ADMISSION TESTING.

ALL PRACTITIONERS ARE ENCOURAGED TO UTILIZE THE MEDICAL CENTER'S PRE-ADMISSION TESTING PROCEDURES.

86-02 OUTPATIENT/SAME DAY SURGERY.

(A) DEFINITIONS.

AN OUTPATIENT SURGERY PATIENT IS A PATIENT THAT UNDERGOES A SURGICAL PROCEDURE WITHOUT BEING ADMITTED AS AN INPATIENT TO THE MEDICAL CENTER. A SAME DAY SURGERY PATIENT IS A PATIENT THAT UNDERGOES A SURGICAL PROCEDURE ON THE DAY OF ADMISSIONS AS AN INPATIENT TO THE HOSPITAL.

(B) SCHEDULING.

OUTPATIENT/SAME DAY SURGERY PROCEDURES ARE SCHEDULED THROUGH THE APPROPRIATE PERSON. PATIENTS WHO HAVE UNDERGONE PRE-ADMISSION TESTING WILL BE GIVEN PRIORITY SCHEDULING FOR EARLY MORNING SURGERY.

(C) PRE-ADMISSION TESTING.

PRE-ADMISSION TESTING IS RECOMMENDED FOR ALL OUTPATIENT/SAME DAY SURGERY PATIENTS. THE ATTENDING PRACTITIONER SHOULD NOTIFY THE HOSPITAL OF THE PATIENT'S NAME, DIAGNOSIS, PROPOSED PROCEDURE, DATE AND TIME OF SURGERY, TYPE OF ANESTHESIA AND THE PRE-ADMISSION TESTING REQUIRED. THE NURSE SHALL INSTRUCT THE PATIENT ON ANY PREPARATION NECESSARY PRIOR TO THE DAY OF SURGERY, AND THE TIME AND PLACE TO REPORT ON THE DAY OF SURGERY.

(D) PRE-ADMISSION TESTING TIME LIMITS.

FOR OUTPATIENT/SAME-DAY SURGERY PATIENTS, PRE-ADMISSION TESTING MUST BE DONE WITHIN TWENTY-ONE DAYS PRIOR TO THE DATE OF SURGERY; EXCEPT FOR AN EKG WITH INTERPRETATION AND THE REPORT OF A CHEST X-RAY OF ACCEPTABLE QUALITY MADE WITHIN NINETY DAYS PRIOR TO THE DATE OF SURGERY MAY BE UTILIZED.

(E) PATIENTS REQUIRING PREOPERATIVE TESTING.

IT IS THE RESPONSIBILITY OF THE ATTENDING PRACTITIONER TO ENSURE THAT AN OUTPATIENT/SAME-DAY SURGERY PATIENT WHO NEEDS PREOPERATIVE TESTING THE DAY OF THE PROCEDURE (E.G., PATIENT OVER FORTY YEARS OF AGE FOR GENERAL ANESTHESIA) IS INSTRUCTED AS TO ANY SURGICAL PREPARATION AND THE PLACE AND TIME TO REPORT ON THE DAY OF SURGERY. SUCH PATIENTS MUST BE INSTRUCTED TO BE AT THE HOSPITAL TWO HOURS PRIOR TO THE SCHEDULED TIME OF SURGERY.

(F) PATIENTS NOT REQUIRING PREOPERATIVE TESTING.

PATIENTS FOR LOCAL ANESTHESIA NOT REQUIRING PREOPERATIVE TESTING MUST BE AT THE HOSPITAL ONE HOUR PRIOR TO SURGERY.

(G) REGISTRATION.

OUTPATIENT/SAME-DAY SURGERY PATIENTS ARE TO BE INSTRUCTED TO REGISTER WITH THE APPROPRIATE PERSON.

(H) PROCEDURES PERMITTED TO BE PERFORMED ON OUTPATIENT/SAME-DAY SURGERY BASIS.

- (1) UNLESS OTHERWISE APPROVED BY THE MEDICAL DIRECTOR OR ANESTHESIOLOGIST, ONLY PATIENTS WHOSE PHYSICIAN STATUS FALLS WITHIN THE AMERICAN SOCIETY OF ANESTHESIOLOGY ("ASA") CLASSIFICATIONS OF P1, P2 AND P3 MAY UNDERGO OUTPATIENT/SAME-DAY SURGERY IF THEY ARE TO RECEIVE GENERAL ANESTHESIA, REGIONAL ANESTHESIA OR MONITORED ANESTHESIA CARE. PRIOR CONSULTATION AND APPROVAL OF THE MEDICAL DIRECTOR OR ANESTHESIOLOGIST MUST BE OBTAINED BEFORE SCHEDULING PATIENTS WHO FALL OUTSIDE OF THE ABOVE ASA CLASSIFICATIONS. IN ALL CASES THE FINAL DECISION TO PROCEED WILL REST WITH THE MEDICAL DIRECTOR OR ANESTHESIOLOGIST.

P1 - A NORMAL HEALTHY PATIENT

P2 - A PATIENT WITH MILD SYSTEMIC DISEASE

P3 - A PATIENT WITH SEVERE SYSTEMIC DISEASE

P4 - A PATIENT WITH SEVERE SYSTEMIC DISEASE THAT IS A CONSTANT THREAT TO HIS LIFE

P5 - A MORIBUND PATIENT WHO IS NOT EXPECTED TO SURVIVE WITHOUT THE OPERATION

P6 - A DECLARED BRAIN-DEAD PATIENT WHOSE ORGANS ARE BEING REMOVED FOR DONOR PURPOSES

- (2) UNLESS OTHERWISE CONTRAINDICATED, ALL LOCAL ANESTHESIA PROCEDURES MAY BE PERFORMED ON AN OUTPATIENT/SAME-DAY SURGERY BASIS.

(I) HISTORY AND PHYSICAL.

- (1) WITHIN THIRTY DAYS PRIOR TO SURGERY.

- (2) MUST BE UPDATED, IF IN THE INTERVENING PERIOD THE PATIENT WAS HOSPITALIZED OR HAD SURGERY.

- (3) CONSENT SIGNED AND WITNESSED.

- (4) ALL FEMALES REQUIRE A HEMOGLOBIN.

- (5) ALL MALES SIXTY AND OVER REQUIRE A HEMOGLOBIN.

- (6) ALL PATIENTS SIXTY AND OVER REQUIRE AN ELECTROCARDIOGRAM.

- (7) PATIENTS WITH A MEDICAL HISTORY OF HEART DISEASE REQUIRE:

(a) EKG WITHIN NINETY DAYS.

(b) H & H AND LYLES (WITHIN TWENTY-ONE DAYS).

(c) CXR WITHIN SIX MONTHS.

(d) K + WITHIN THREE DAYS IF ON DIGOXIN.

- (8) PATIENTS WITH A HISTORY OF KIDNEY DISEASE:
 - (a) H & H, LYLES AND BLOOD SUGAR.
 - (b) EKG WITHIN NINETY DAYS.
- (9) DIALYSIS PATIENTS OR END-STAGE KIDNEY DISEASE:
H & H, LYLES AND BLOOD SUGAR WITHIN THREE DAYS OF SURGERY OR AFTER DIALYSIS.
- (10) DIABETIC OR ENDOCRINE DISORDER PATIENTS:
 - (a) FASTING GLUCOSE DAY OF SURGERY.
 - (b) EKG WITHIN THREE MONTHS.
- (11) PATIENTS WITH HISTORY OF LUNG DISEASE:
 - (a) CXR WITHIN SIX MONTHS.
 - (b) EKG WITHIN THREE MONTHS.
- (12) SMOKERS GREATER THAN TWENTY PACKS PER YEAR:
CXR
- (13) PATIENTS WITH MISSED OR LATE MENSTRUATION:
SERUM PREGNANCY TEST.
- (14) ALL LABS GOOD FOR TWENTY-ONE DAYS - EKG FOR THREE MONTHS, CXR FOR SIX MONTHS.

(J) DOCUMENTATION REQUIRED.

THE ATTENDING PRACTITIONER IS REQUIRED TO COMPLETE, AT A MINIMUM, THE FOLLOWING ON ALL OUTPATIENT/SAME-DAY SURGERY PATIENTS:

- (1) FACE SHEET;
- (2) COMPLETE OR CO-SIGN THE HISTORY AND PHYSICAL;
- (3) OPERATIVE NOTE WRITTEN AT THE TERMINATION OF THE PROCEDURE;
- (4) DICTATE THE OPERATIVE REPORT THE DAY OF SURGERY;
- (5) DISCHARGE NOTE; AND
- (6) DISCHARGE INSTRUCTIONS.

86-03 MEDICAL RECORDS.

(A) TIME OF COMPLETION.

THE ATTENDING PRACTITIONER SHALL COMPLETE AND SIGN THE PATIENT'S MEDICAL RECORD WITHIN TWENTY-ONE DAYS OF DISCHARGE. A PROCEDURE NOTE SHALL BE ENTERED IN THE RECORD BY THE RESPONSIBLE ATTENDING MEDICAL PRACTITIONER OR DESIGNEE IMMEDIATELY UPON COMPLETION OF AN

INVASIVE PROCEDURE. PROCEDURE NOTES MUST BE WRITTEN FOR ANY SURGICAL OR MEDICAL PROCEDURES, IRRESPECTIVE OF THEIR REPETITIVE NATURE, WHICH INVOLVE MATERIAL RISK TO THE PATIENT. THE NOTE SHALL INCLUDE THE PREOPERATIVE DIAGNOSIS, PROCEDURE, SURGEON(S), RESIDENT(S), ANESTHESIOLOGIST(S), SURGICAL SERVICE, TYPE OF ANESTHESIA (GENERAL OR LOCAL), COMPLICATIONS, INVASIVE LINES EITHER ADDED OR DELETED, ESTIMATED BLOOD LOSS, ANY PERTINENT INFORMATION NOT INCLUDED ON OR/ANESTHESIA RECORD, AND PRELIMINARY SURGICAL FINDINGS. AN OPERATIVE/PROCEDURE REPORT MUST BE DICTATED IMMEDIATELY FOLLOWING THE PROCEDURE. FAILURE TO COMPLY WITH THE ABOVE TIME LIMITS WILL RESULT IN THE AUTOMATIC SUSPENSION OF PRIVILEGES UNDER PARAGRAPH (E) OF RULE 3335-45-07 OF THE ADMINISTRATIVE CODE.

(B) CONTENTS.

IT IS THE RESPONSIBILITY OF THE ATTENDING PRACTITIONER TO ASSURE THAT A COMPLETE MEDICAL RECORD IS PREPARED FOR EACH PATIENT. THE MEDICAL RECORD SHALL INCLUDE IDENTIFICATION DATA; COMPLAINT; PERSONAL HISTORY; FAMILY HISTORY; HISTORY OF PRESENT ILLNESS; PHYSICAL EXAMINATION; CLINICAL OBSERVATIONS INCLUDING PROGRESS NOTES; NURSES NOTES AND CONSULTATION REPORTS; DIAGNOSTIC AND THERAPEUTIC REPORTS AND ORDERS; MEDICAL OR SURGICAL TREATMENT; PATHOLOGICAL FINDING; PROVISIONAL DIAGNOSIS; EVIDENCE OF INFORMED CONSENT; FINAL AUTOPSY REPORT WHEN AVAILABLE. NO MEDICAL RECORD IS TO BE FILED UNTIL IT IS COMPLETE EXCEPT ON ORDER OF THE EXECUTIVE COMMITTEE.

(C) AUTHENTICATION.

ALL ENTRIES IN THE MEDICAL RECORD MUST BE SIGNED BY THE PERSON MAKING THE ENTRY OR STAMPED WITH AN AUTHORIZED SIGNATURE FACSIMILE. THE SECTIONS OF THE MEDICAL RECORD THAT ARE THE RESPONSIBILITY OF THE ATTENDING PRACTITIONER SHALL BE SIGNED OR STAMPED BY THE PRACTITIONER. WHEN HOUSE OFFICERS OR OTHER SPECIFIED PROFESSIONAL PERSONNEL ARE INVOLVED IN PATIENT CARE, SUFFICIENT EVIDENCE MUST BE DOCUMENTED IN THE MEDICAL RECORD TO SUBSTANTIATE THE ACTIVE PARTICIPATION IN, AND SUPERVISION OF, THE PATIENT'S CARE BY THE RESPONSIBLE ATTENDING PRACTITIONER.

(D) DENTISTS AND PODIATRISTS.

IN ADDITION TO THE REQUIREMENTS OF PARAGRAPH (B) OF RULE 86-03 OF THE RULES AND REGULATIONS, THE MEDICAL RECORD OF PATIENTS ADMITTED FOR DENTAL REASONS SHALL CONTAIN THE DENTIST'S DETAILED EXAMINATION OF THE ORAL CAVITY, AS WELL AS THE DISCHARGE INSTRUCTIONS GIVEN TO THE PATIENT REGARDING FURTHER CARE. THE SAME APPLIES TO THE PODIATRIST IN REGARD TO THE LOCATION AND DESCRIPTION OF THE AILMENT FOR WHICH THE PATIENT WAS ADMITTED, TOGETHER WITH DISCHARGE INSTRUCTIONS.

(E) HISTORY AND PHYSICAL.

(1) THE COMPLETE HISTORY AND PHYSICAL EXAMINATION SHALL BE RECORDED ON THE PATIENT'S CHART NO LATER THAN TWENTY-FOUR HOURS AFTER ADMISSION OF THE PATIENT. THE HISTORY AND PHYSICAL EXAMINATION MUST BE PERFORMED BY A MEMBER OF THE MEDICAL STAFF OR HIS/HER DESIGNEE AND BE SIGNED BY THE RESPONSIBLE ATTENDING MEDICAL STAFF MEMBER AUTHORIZED TO PERFORM THE HISTORY AND PHYSICAL EXAMINATION.

(2) A STAFF ADMISSION PROGRESS NOTE MUST BE COMPILED BY THE RESPONSIBLE ATTENDING MEDICAL PRACTITIONER OR DESIGNEE NO LATER THAN SEVENTY-TWO HOURS AFTER ADMISSION OF THE PATIENT. THE STAFF ADMISSION PROGRESS NOTE MUST BE SIGNED BY THE RESPONSIBLE ATTENDING MEDICAL PRACTITIONER.

(3) WHEN THE HISTORY AND PHYSICAL EXAMINATION, INCLUDING THE RESULTS OF INDICATED LABORATORY STUDIES AND X-RAYS AND THE STAFF ADMISSION PROGRESS NOTES, ARE NOT RECORDED IN THE CHART BEFORE THE TIME STATED FOR A PROCEDURE, THE PROCEDURE CANNOT PROCEED UNTIL THE HISTORY, PHYSICAL AND LABORATORY RESULTS ARE ENTERED INTO THE CHART. IN CASES WHERE SUCH A DELAY WOULD LIKELY CAUSE HARM TO THE PATIENT, THIS CONDITION SHALL BE ENTERED INTO THE CHART BY THE ATTENDING MEDICAL PRACTITIONER OR DESIGNEE AND THE PROCEDURE MAY BEGIN. WHEN THERE IS A DISAGREEMENT CONCERNING THE URGENCY OF THE PROCEDURE, IT WILL BE ADJUDICATED BY THE MEDICAL DIRECTOR OR DESIGNEE.

(F) PRE-ADMISSION HISTORY AND PHYSICAL.

A PRE-ADMISSION HISTORY AND PHYSICAL EXAMINATION WILL BE ACCEPTED ONLY IF PERFORMED WITHIN THIRTY DAYS PRIOR TO ADMISSION, OR IF A HISTORY AND PHYSICAL ON RECORD WITH THE HOSPITAL IS UPDATED WITHIN THIRTY DAYS PRIOR TO ADMISSION.

(G) OWNERSHIP.

ALL ORIGINAL MEDICAL RECORDS ARE THE PROPERTY OF THE HOSPITAL AND SHALL NOT BE REMOVED FROM THE HOSPITAL'S JURISDICTION AND SAFEKEEPING, EXCEPT IN ACCORDANCE WITH COURT ORDER, SUBPOENA, OR STATUTE. COPIES OF THE PATIENT'S MEDICAL RECORD MAY BE FURNISHED TO THE PATIENT'S DESIGNATE UPON THE PATIENT'S WRITTEN REQUEST AND AT THE PATIENT'S EXPENSE.

(H) SYMBOLS.

ONLY SYMBOLS AND ABBREVIATIONS APPROVED BY THE MEDICAL STAFF WILL BE USED IN THE MEDICAL RECORD. EACH ABBREVIATION OR SYMBOL HAS ONLY ONE MEANING.

(I) CONFIDENTIALITY OF PATIENT RECORDS.

A PRACTITIONER'S ACCESS TO PATIENT RECORDS IS LIMITED TO NECESSARY USE IN THE TREATMENT OF PATIENTS, SCIENTIFIC STUDY, OR TO PEER REVIEW ACTIVITIES. ALL PRACTITIONERS ARE REQUIRED TO MAINTAIN THE CONFIDENTIALITY OF PATIENT RECORDS AND IMPROPER USE OR DISCLOSURE OF PATIENT INFORMATION MAY BE GROUNDS FOR CORRECTIVE ACTION.

(J) SUSPENSION FOR FAILURE TO COMPLETE MEDICAL RECORDS.

A REQUEST FOR CORRECTIVE ACTION WILL AUTOMATICALLY BE FILED AGAINST A PRACTITIONER WHO HAS BEEN SUSPENDED PURSUANT TO PARAGRAPH (A)(1) OF RULE 3335-45-07 OF THE ADMINISTRATIVE CODE DUE TO INCOMPLETE MEDICAL RECORDS FOR AN ACCUMULATIVE TOTAL OF NINETY DAYS OR MORE WITHIN A CALENDAR YEAR.

(K) ERROR CORRECTION.

THE CORRECTION OF AN ERROR SHOULD BE MADE BY DRAWING A SINGLE LINE

THROUGH THE ENTRY AND MARKING IT "ERROR". THE ENTRY IN ERROR MUST STILL BE LEGIBLE. THE PRACTITIONER'S INITIALS AND DATE CORRECTING THE ERROR SHOULD BE ADDED.

(L) LATE ENTRY.

ADDITIONS TO THE MEDICAL RECORD CAN BE MADE. THE WORDS "LATE ENTRY", DATE AND TIME NEED TO BE MADE AT THE BEGINNING OF THE LATE ENTRY. THE PRACTITIONER MUST SIGN. PENCILS SHOULD NEVER BE USED FOR CHART DOCUMENTATION. ERASING IS NOT PERMITTED NOR IS THE USE OF CORRECTION FLUID (I.E., WHITE OUT OR STICKERS).

86-04 PATIENT CARE ORDERS.

(A) ORDERS IN WRITING.

ALL ORDERS FOR TREATMENT SHALL BE IN WRITING, DATED AND SIGNED OR STAMPED WITH AN AUTHORIZED SIGNATURE FACSIMILE BY THE ATTENDING PRACTITIONER PROVIDED SUCH A COPY HAS BEEN FILED IN THE MEDICAL RECORD DEPARTMENT OF THE HOSPITAL.

(B) VERBAL ORDERS: PERSON AUTHORIZED TO RECEIVE.

ALL VERBAL ORDERS FROM PRACTITIONERS FOR PATIENT TREATMENT SHALL BE IN WRITING. AN ORDER SHALL BE CONSIDERED TO BE IN WRITING IF DICTATED TO AN AUTHORIZED PERSON AND SIGNED BY THE RESPONSIBLE PRACTITIONER. ORDERS DICTATED OVER THE TELEPHONE SHALL BE SIGNED BY THE RESPONSIBLE PRACTITIONER. ORDERS DICTATED OVER THE TELEPHONE SHALL ALSO BE SIGNED BY THE PERSON TO WHOM THE ORDER WAS DICTATED WITH THE NAME OF THE PRACTITIONER PER HIS/HER OWN NAME. VERBAL ORDERS WHICH HAVE BEEN TRANSMITTED TO AN AUTHORIZED PERSON SHALL BE AUTHENTICATED, DATED AND SIGNED BY THE RESPONSIBLE PRACTITIONER WITHIN TWENTY-FOUR HOURS. ALL VERBAL OR TELEPHONE ORDERS OF A LICENSED PRACTITIONER MUST BE SIGNED WITHIN THIRTY DAYS AFTER THE PATIENT'S DISCHARGE.

PERSONS AUTHORIZED TO RECEIVE VERBAL ORDERS ARE:

- (1) REGISTERED NURSE.
- (2) REGISTERED PHARMACIST.
- (3) REGISTERED PHARMACY INTERN.
- (4) LICENSED PHYSICAL THERAPIST.
- (5) LICENSED OCCUPATIONAL THERAPIST.
- (6) LICENSED SPEECH THERAPIST.
- (7) REGISTERED DIETITIAN.
- (8) LICENSED RESPIRATORY CARE PRACTITIONER.
- (9) REGISTERED RADIOLOGY TECHNOLOGIST.
- (10) REGISTERED LABORATORY TECHNOLOGIST.
- (11) CERTIFIED REGISTERED NURSE ANESTHETISTS.

THE ABOVE PERSONS ARE AUTHORIZED TO ACCEPT VERBAL ORDERS WITHIN THEIR DEPARTMENTS.

(C) ORDERS BY LIMITED MEDICAL STAFF.

LIMITED MEDICAL STAFF MAY WRITE ORDERS ON PATIENTS WITHIN THE SCOPE OF THEIR QUALIFICATIONS AND RESPONSIBILITY.

(D) AUTOMATIC CANCELLATION OF ORDERS.

ALL PREVIOUS ORDERS, INCLUDING STANDING ORDERS, ARE AUTOMATICALLY CANCELED FOR PATIENTS AT THE TIME OF SURGERY.

(E) ORDERS BY MEDICAL STUDENTS.

MEDICAL STUDENTS WHO ARE UNDER THE DIRECT SUPERVISION OF A MEMBER OF THE MEDICAL STAFF MAY WRITE ORDERS. SUCH ORDERS WILL NOT BE EFFECTIVE UNTIL CO-SIGNED BY THE SUPERVISING PRACTITIONER.

(F) PROGRESS NOTES.

PROGRESS NOTES MUST PROVIDE A PERMANENT CHRONOLOGICAL REPORT OF THE PATIENT'S COURSE IN THE HOSPITAL AND REFLECT ANY CHANGE IN CONDITION, AND RESULTS OF TREATMENT. IN THE EVENT THAT THE PATIENT'S CONDITION HAS NOT CHANGED, AND NO DIAGNOSTIC STUDIES HAVE BEEN DONE, A PROGRESS NOTE MUST BE COMPLETED BY THE ATTENDING MEDICAL PRACTITIONER AT LEAST EVERY THREE DAYS.

EACH PROGRESS NOTE IN THE MEDICAL RECORD MUST BE SIGNED OR COUNTER-SIGNED BY A MEMBER OF THE ACTIVE, COURTESY, CONSULTING, HONORARY OR LIMITED STAFF.

86-05 PHARMACEUTICAL.

(A) DISPENSING.

ONLY THE REGISTERED PHARMACIST, OR AUTHORIZED PERSONNEL UNDER THE DIRECTION AND SUPERVISION OF THE REGISTERED PHARMACIST, SHALL DISPENSE MEDICATION.

(B) GENERIC DRUGS.

THE HOSPITAL PHARMACIST MAY SUBSTITUTE A GENERIC DRUG FOR A DRUG ORDERED BY A PROPRIETARY NAME UNLESS THE PRACTITIONER INDICATES IN THE ORDER THAT A GENERIC EQUIVALENT IS NOT ACCEPTABLE.

(C) TELEPHONE AND VERBAL ORDERS.

TELEPHONE AND VERBAL ORDERS MAY BE GIVEN BY THE RESPONSIBLE ATTENDING PHYSICIAN, DENTIST, PODIATRIST, PSYCHOLOGIST OR MEMBER OF THE LIMITED MEDICAL STAFF ONLY TO HEALTH CARE PROVIDERS WHO HAVE BEEN APPROVED IN WRITING BY TITLE OR CATEGORY BY THE MEDICAL DIRECTOR, THE CHIEF EXECUTIVE OFFICER OF THE HOSPITALS, AND EACH CHIEF OF THE CLINICAL DEPARTMENT WHERE THEY WILL EXERCISE CLINICAL PRIVILEGES, AND ONLY WHERE SAID HEALTH CARE PROVIDER IS EXERCISING CLINICAL PRIVILEGES WHICH HAVE BEEN APPROVED AND DELINEATED BY JOB DESCRIPTION FOR EMPLOYEES OF THE HOSPITALS, OR BY THE CUSTOMARY MEDICAL STAFF CREDENTIALING PROCESS WHEN THE PROVIDER IS NOT AN EMPLOYEE OF THE HOSPITALS. LISTS OF THE APPROVED TITLES OR CATEGORIES OF PROVIDERS

SHALL BE MAINTAINED BY THE MEDICAL DIRECTOR. THE JOB DESCRIPTION OR DELINEATED PRIVILEGES FOR EACH PROVIDER MUST INDICATE EACH PROVIDER'S AUTHORITY TO RECEIVE TELEPHONE OR VERBAL ORDERS, INCLUDING BUT NOT LIMITED TO THE AUTHORITY TO RECEIVE ORDERS FOR MEDICATIONS. THE ORDER IS TO BE WRITTEN AND SIGNED BY APPROVED HEALTH CARE PROVIDER TO WHOM IT IS DICTATED OR GIVEN AS "VERBAL ORDER BY DR.", OR "V.O. BY DR.", GIVING THE PRACTITIONER'S NAME AND HOUR, FOLLOWED BY THE APPROVED HEALTH CARE PROVIDER'S SIGNATURE. ALL TELEPHONE AND VERBAL ORDERS FOR DEA SCHEDULE II CONTROLLED SUBSTANCES, PATIENT SECLUSION, OR PATIENT RESTRAINT MUST BE AUTHENTICATED WITHIN ONE DAY BY SIGNATURE OF A LICENSED PHYSICIAN, DENTIST, PODIATRIST, PSYCHOLOGIST, OR DESIGNATED LIMITED STAFF MEMBER. ALL OTHER INPATIENT VERBAL AND TELEPHONE ORDERS MUST BE AUTHENTICATED PRIOR TO, OR AT THE TIME OF, THE NEXT OUTPATIENT VISIT BY SIGNATURE OF A LICENSED PHYSICIAN, DENTIST, PODIATRIST, OR LIMITED STAFF MEMBER.

(D) PATIENT MEDICATIONS.

UNLESS OTHERWISE ORDERED OR AUTHORIZED BY THE ATTENDING PRACTITIONER, NO MEDICATIONS SHALL BE ALLOWED IN THE HOSPITAL EXCEPT THOSE DISPENSED BY THE MEDICAL CENTER PHARMACY.

(E) ADMINISTRATION OF DRUGS.

DRUGS MAY BE ADMINISTERED BY PRACTITIONERS, NURSES, PHARMACISTS, RESPIRATORY THERAPISTS, PARAMEDICS, PHYSICAL THERAPISTS AND RADIOLOGY TECHNICIANS, ACCORDING TO THEIR FIELD AND WITH THE ORDER OF THE PRACTITIONER.

86-06 CONSULTATIONS.

(A) CONSULTATION REQUIREMENTS.

WHEN THE ATTENDING PRACTITIONER IDENTIFIES IN THE COURSE OF TREATING THE PATIENT, A PATIENT CARE PROBLEM THAT REQUIRES INTERVENTION DURING THE HOSPITAL STAY THAT IS OUTSIDE THE PRACTITIONER'S AREA OF TRAINING AND EXPERIENCE, IT IS THE RESPONSIBILITY OF THE ATTENDING PRACTITIONER TO OBTAIN CONSULTATION BY THE APPROPRIATE SPECIALIST.

(B) RESPONSIBILITY TO MONITOR CONSULTATIONS.

IT IS THE DUTY OF THE MEDICAL STAFF THROUGH ITS CLINICAL DEPARTMENTAL CHIEFS AND THE EXECUTIVE COMMITTEE TO ASSURE THAT MEMBERS OF THE STAFF COMPLY IN THE MATTER OF REQUESTING CONSULTATIONS AS NEEDED.

(C) CONSULTATION CONTENTS.

A SATISFACTORY CONSULTATION INCLUDES EXAMINATION OF THE PATIENT AND OF THE MEDICAL RECORD; AND A WRITTEN OPINION SIGNED BY THE CONSULTANT THAT IS MADE A PART OF SUCH RECORD. IF OPERATIVE PROCEDURES ARE INVOLVED, THE CONSULTATION NOTE, EXCEPT IN EMERGENCY, SHALL BE RECORDED PRIOR TO THE OPERATION.

86-07 PATIENT CARE.

(A) ALTERNATE PRACTITIONER COVERAGE.

EACH MEMBER OF THE MEDICAL STAFF SHALL NAME A MEMBER OF THE MEDICAL STAFF WHO WILL BE CALLED TO ATTEND HIS OR HER PATIENTS IF THE

RESPONSIBLE ATTENDING PRACTITIONER IS NOT AVAILABLE. THE CHIEF OF THE PRACTITIONER'S CLINICAL DEPARTMENT, THE MEDICAL DIRECTOR OR HIS DESIGNEE SHALL HAVE AUTHORITY TO CALL ANY MEMBER OF THE MEDICAL STAFF SHOULD THE ATTENDING PRACTITIONER AND THE ALTERNATE BE UNAVAILABLE. IF THE CHIEF OF THE PRACTITIONER'S DEPARTMENT, THE MEDICAL DIRECTOR OR HIS DESIGNEE IS UNAVAILABLE, THE EMERGENCY DEPARTMENT PHYSICIAN ON DUTY IS RESPONSIBLE FOR ARRANGING APPROPRIATE MEDICAL COVERAGE UNTIL THE ATTENDING PRACTITIONER IS AVAILABLE TO CARE FOR THE PATIENT.

(B) TISSUE AND SPECIMEN REMOVAL.

(1) THE PRACTITIONER PERFORMING SURGERY IS RESPONSIBLE FOR SEEING THAT TISSUE AND SPECIMENS REMOVED DURING THE SURGICAL PROCEDURES ARE SENT TO THE PATHOLOGIST FOR EVALUATION AND DISPOSITION, EXCLUSIVE OF CLOTHES AND CONTENTS. EXCEPTIONS TO SENDING REMOVED TISSUE AND SPECIMENS TO THE PATHOLOGIST SHOULD BE MADE ONLY WHEN THE QUALITY OF CARE IS NOT COMPROMISED BY THE EXCEPTION. THE RESPONSIBLE PRACTITIONER SHALL DOCUMENT ON THE SURGICAL PROCEDURE AND TISSUE RECORD FORM: (a) ANY TISSUE OR SPECIMEN NOT SENT; AND (b) THE DISPOSITION OF THE EXEMPT TISSUE OR SPECIMEN. EXEMPTED TISSUE AND SPECIMENS MAY INCLUDE, BUT ARE NOT LIMITED TO:

- (a) TISSUES OR SPECIMENS THAT BY THEIR NATURE OR CONDITION DO NOT PERMIT FRUITFUL EXAMINATION SUCH AS A CATARACT, ORTHOPEDIC APPLIANCE, FOREIGN BODY, OR PORTION OF RIB REMOVED ONLY TO ENHANCE OPERATIVE EXPOSURE;
- (b) THERAPEUTIC RADIOACTIVE SOURCES, THE REMOVAL OF WHICH SHALL BE GUIDED BY RADIATION SAFETY MONITORING REQUIREMENTS;
- (c) TRAUMATICALLY INJURED PARTS OF THE BODY THAT HAVE BEEN AMPUTATED AND FOR WHICH EXAMINATION FOR EITHER MEDICAL OR LEGAL REASONS IS NOT DEEMED NECESSARY;
- (d) FOREIGN BODIES, (FOR EXAMPLE, BULLETS) THAT FOR LEGAL REASONS ARE GIVEN IN THE CHAIN OF CUSTODY DIRECTLY TO LAW ENFORCEMENT REPRESENTATIVES AFTER SUCH FOREIGN BODIES HAVE BEEN PROPERLY IDENTIFIED AND, ACKNOWLEDGED WITH A RECEIPT;
- (e) TISSUE OR SPECIMENS KNOWN TO RARELY, IF EVER, SHOW PATHOLOGICAL CHANGES, AND REMOVAL OF WHICH IS HIGHLY VISIBLE POSTOPERATIVELY, SUCH AS THE FORESKIN FROM THE CIRCUMCISION OF A NEWBORN INFANT;
- (f) PLACENTAS THAT ARE GROSSLY NORMAL AND HAVE BEEN REMOVED IN THE COURSE OF OPERATIVE AND NON-OPERATIVE OBSTETRICS; AND
- (g) TEETH, PROVIDED THE NUMBER, INCLUDING FRAGMENTS, IS RECORDED IN THE MEDICAL RECORD.

(2) THE PRACTITIONER PERFORMING THE SURGERY IS RESPONSIBLE TO SEE THAT TISSUE AND SPECIMENS SHALL BE PROPERLY LABELED, PACKAGED IN PRESERVATIVE AS DESIGNATED, AND IDENTIFIED AS TO PATIENT AND SOURCE IN THE OPERATING ROOM AT THE TIME OF REMOVAL. EACH TISSUE AND SPECIMEN MUST BE ACCOMPANIED BY PERTINENT CLINICAL

INFORMATION AND, TO THE DEGREE KNOWN, THE PREOPERATIVE AND POSTOPERATIVE DIAGNOSIS.

- (3) THE PATHOLOGIST WILL PREPARE AND SIGN A REPORT OF THE EXAMINATION OF THE TISSUE OR SPECIMEN, AND SUCH REPORT WILL BE MADE A PART OF THE PATIENT'S MEDICAL RECORD.
- (4) THE PATHOLOGIST WILL REFER THE PATHOLOGIST'S REPORT TO THE APPROPRIATE AUDIT COMMITTEE IN CASES WHEREIN IT APPEARS, IN THE PATHOLOGIST'S JUDGMENT, THAT NORMAL ORGANS WERE UNNECESSARILY REMOVED.

(C) INFORMED CONSENT.

IT IS THE RESPONSIBILITY OF THE PRACTITIONER PERFORMING THE PROCEDURE TO ASSURE THAT A WRITTEN, SIGNED AND INFORMED CONSENT IS OBTAINED PRIOR TO ANY OPERATION EXCEPT IN THOSE SITUATIONS WHERE THE PATIENT'S LIFE IS IN JEOPARDY AND SUITABLE SIGNATURES CANNOT BE OBTAINED DUE TO THE CONDITION OF THE PATIENT. FOR EMERGENCIES INVOLVING A MINOR, AN UNCONSCIOUS PATIENT OR AN INCOMPETENT PATIENT IN WHICH CONSENT FOR SURGERY CANNOT BE IMMEDIATELY OBTAINED FROM PARENTS, GUARDIAN, OR NEXT OF KIN, THESE CIRCUMSTANCES SHOULD BE FULLY EXPLAINED IN THE PATIENT'S MEDICAL RECORD.

SHOULD A SECOND OPERATION BE REQUIRED DURING THE PATIENT'S STAY IN THE HOSPITAL, A SECOND INFORMED CONSENT SPECIFICALLY WORDED SHALL BE OBTAINED. IF TWO OR MORE SPECIFIC PROCEDURES ARE TO BE CARRIED OUT AT THE SAME TIME AND THIS IS KNOWN IN ADVANCE, THEY MAY ALL BE DESCRIBED AND CONSENTED TO ON THE SAME FORM. IT IS THE PRACTITIONER'S RESPONSIBILITY TO ENSURE THAT A WRITTEN AND SIGNED CONSENT IS OBTAINED AND MADE A PART OF THE PATIENT'S MEDICAL RECORD. LIMITED MEDICAL STAFF AND NON-PHYSICIAN PRACTITIONERS SHALL COMPLY FULLY WITH THE ABOVE PROVISIONS WHEN OBTAINING AN INFORMED CONSENT FROM THE PATIENT.

(D) PATIENT VISITS BY PRACTITIONERS.

THE ADMITTING PRACTITIONER OR THE PRACTITIONER'S PHYSICIAN DESIGNEE MUST VISIT THE PATIENT WITHIN TWENTY-FOUR (24) HOURS OF THE PATIENT'S ADMISSION TO THE HOSPITAL. THEREAFTER, THE ADMITTING PRACTITIONER OR THE PRACTITIONER'S PHYSICIAN DESIGNEE MUST VISIT THE PATIENT AT LEAST DAILY, AND MORE FREQUENTLY IF WARRANTED BY THE PATIENT'S CONDITION. POLICIES OF THE SICU AND MICU RELATING TO PATIENT VISITS BY PRACTITIONERS SHALL SUPERSEDE THE REQUIREMENTS OF THIS RULE.

(E) AUTOPSIES.

IT SHALL BE THE DUTY OF ALL PRACTITIONERS TO SECURE AUTOPSIES WHENEVER APPROPRIATE. ALL AUTOPSIES SHALL BE PERFORMED BY THE HOSPITAL PATHOLOGIST OR BY A PHYSICIAN. CRITERIA FOR AUTOPSY REQUESTS INCLUDE THE FOLLOWING:

- (1) CORONER'S CASES WHEN THE CORONER ELECTS NOT TO PERFORM AN AUTOPSY. (THE COUNTY CORONER HAS JURISDICTION FOR PERFORMING AN AUTOPSY WHEN DEATH IS THE RESULT OF VIOLENCE, CASUALTY, OR SUICIDE, OR OCCURS SUDDENLY IN A SUSPICIOUS OR UNUSUAL MANNER. DEATHS OCCURRING DURING SURGERY OR WITHIN TWENTY-FOUR HOURS OF ADMISSION TO THE HOSPITAL ARE ALSO CORONER'S CASES, AND THE DECISION WHETHER TO AUTOPSY OR NOT IS THE CORONER'S RESPONSIBILITY.)

- (2) UNEXPECTED OR UNEXPLAINED DEATHS, WHERE APPARENTLY DUE TO NATURAL CAUSES OR DUE TO THOSE OCCURRING DURING OR FOLLOWING ANY SURGICAL, MEDICAL, OR DENTAL DIAGNOSTIC PROCEDURES OR THERAPIES.
- (3) UNDIAGNOSED INFECTIOUS DISEASE WHERE RESULTS MAY BE OF VALUE IN TREATING CLOSE CONTACTS.
- (4) ALL DEATHS IN WHICH THE CAUSE OF DEATH IS NOT KNOWN WITH CERTAINTY ON CLINICAL GROUNDS.
- (5) CASES WHERE THERE IS QUESTION OF DISEASE RELATED TO OCCUPATIONAL EXPOSURE.
- (6) ORGAN DONORS (TO RULE OUT NEOPLASTIC OR INFECTIOUS DISEASE).
- (7) CASES IN WHICH AUTOPSY MAY HELP TO ALLAY THE CONCERNS OF THE FAMILY OR PUBLIC REGARDING THE DEATH AND TO PROVIDE ASSURANCE TO THEM REGARDING THE SAME.
- (8) DEATHS IN WHICH AUTOPSY MAY HELP TO EXPLAIN UNKNOWN OR UNANTICIPATED MEDICAL COMPLICATIONS TO THE ATTENDING.
- (9) DEATHS OF PATIENTS WHO HAVE PARTICIPATED IN INVESTIGATIONAL THERAPY PROTOCOLS.

(See Appendix XXXXII for background information, 937.)

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 99-118

Synopsis: Approval of the following amendments to the Rules of the University Faculty is recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on April 10, 1999:

Amended Rule

3335-5-481 Council on academic affairs.

(A) Membership.

The council on academic affairs shall consist of ~~fifteen~~ SIXTEEN members.

- (1) ~~Nine~~ TEN regular faculty.
 - (a) ~~Five~~ SIX regular faculty, at least two of whom are members of the senate, selected by the faculty council. The term of service is three years.
 - (b) Four regular faculty shall be appointed by the president. The term of service is three years.

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Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

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PERSONNEL ACTIONS

Resolution No. 99-119

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the April 6, 1999 meeting of the Board, including the following Appointments, Appointment of Chairpersons/Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved and the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center) approved March 18 and April 22, 1999, by the Hospitals Board be ratified.

Appointments

Name: ANNE CAIRNS FEDERLEIN
Title: President, OSU Newark Campus
Effective: July 1, 1999
Present Position: Provost and Vice President for Academic Affairs, State University of New York, Oneonta, NY

Name: MICHAEL J. HOGAN
Title: Interim Dean
College: Humanities
Term: July 1, 1999 through June 30, 2000
Present Position: Chair, Department of History

Name: ODED SHENKAR
Title: Professor (The Ford Motor Company Designated Chair in Global Business Management)
Department: Management and Human Resources
Effective: May 7, 1999

Name: BRADFORD T. STOKES
Title: Professor (The Ray W. Poppleton Research Chair)
College: Medicine and Public Health
Term: April 1, 1999, through June 30, 2003

Appointment of Chair/Directors

March 15, 1999 through June 30, 2000

School of Public Health

Ronald L. St. Pierre*

May 1, 1999 through June 30, 2003

School of Natural Resources

Gary W. Mullins

June 15, 1999 through June 30, 2003

Animal Sciences

James Kinder

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July 1, 1999 through September 30, 2000

History

Leila J. Rupp**

October 1, 1999 through September 30, 2000

Center for Medieval and Renaissance
Studies

Anna A. Grotans**

*Interim

**Acting

Leaves of Absence Without Salary

TIMOTHY S. JOST, Professor, College of Law, effective Spring Semester 2000, to visit the Washington and Lee University School of Law.

NANCY H. ROGERS, Professor, College of Law, effective Spring Semester 2000, to accept a Visiting Professorship at the Harvard University School of Law.

DOUGLAS J. WHALEY, Professor, College of Law, effective Autumn Semester 1999 and Spring Semester 2000, to visit the Boston College Law School.

GLENN A. HARTZ, Associate Professor, Department of Philosophy (Mansfield Campus), effective March 27, 2000, through May 1, 2000, to accept a visiting professorship at the University of Michigan.

RONALD L. JACOBS, Associate Professor, School of Physical Activity and Educational Services, effective April 1, 1999, through June 30, 1999, to accept a visiting professorship at the Nanyang Technological University in Singapore.

Leaves of Absence Without Salary--Continuation

HOWARD P. FINK, Professor, College of Law, effective Autumn Semester 1999 and Spring Semester 2000, to visit the Santa Clara University School of Law.

ALICE SILVERBERG, Professor, Department of Mathematics, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000 to accept a Humboldt Fellowship from the Alexander von Humboldt Stiftung, Germany.

Professional Improvement Leaves

L. MARK BERLINER, Professor, Department of Statistics, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

SAUL BLUMENTHAL, Professor, Department of Statistics, effective Spring Quarter 2000.

DAVID M. GOSS, Professor, Department of Mathematics, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

HAIKADY N. NAGARAJA, Professor, Department of Statistics, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

M. SUE O'DORISIO, Professor, Department of Pediatrics, effective July 1, 1999, through June 30, 2000.

Professional Improvement Leaves--Cancellation

NANCY ETTLINGER, Associate Professor, Department of Geography, effective Winter Quarter, Spring Quarter and Autumn Quarter 2000.

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JANET L. HENDERSON, Associate Professor, Department of Human and Community Resource Development, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

Medical Staff Appointments and Reappointments (The Ohio State University Medical Center)

March 1999

<u>Name</u>	<u>Department</u>	<u>Category</u>	<u>Date</u>
Leslie J. Acakpo-Satchni, M.D.	Surgery - Neurological	PGY1 Limited	7/1/98 - 6/30/99
Gary Edward Barnett, M.D.	Pathology	Attending	12/3/98 - 6/30/99
Lawrence J. Damore II, M.D.	Surgery -General	PGY6 Limited	7/1/98 - 6/30/99
Kenneth D. Dembny II, M.D.	Plastic Surgery	PGY5 Limited	7/1/98 - 6/30/99
Douglas J. DiOrio, M.D.	Family Practice	Attending	11/2/98 - 6/30/99
	Family Practice - Sports Medicine		
Andrew Howard Goldstein, M.D.	Surgery -Cardiothoracic	Attending	8/19/98 - 6/30/99
Thomas G. Hospel, M.D.	Family Practice - Sports Medicine	PGY4 Limited	8/1/98 - 7/30/99
Norman H. Kumins, M.D.	Surgery - Vascular	PGY6 Limited	7/1/98 - 6/30/99
William H. Phillips, D.O.	Pathology	PGY4 Limited	7/1/98 - 7/31/98
Ajay K. Seth, M.D.	Orthopedic Surgery	PGY1 Limited	7/1/98 - 6/30/00
Charles Louis Shapiro, M.D.	Oncology	Attending	11/13/98 - 6/30/00
Selwyn T. Sornabala, M.D.	Family Practice	PGY1 Limited	7/1/98 - 6/30/00
Larry T. Todd, Jr., D.O.	Orthopedic Surgery	PGY4 Limited	1/1/99 - 1/31/99
Laszlo P. Vaghy, M.D.	Neurology	PGY2 Limited	7/1/98 - 6/30/00
Paul E. Wakely, Jr., M.D.	Surgery	Attending	10/26/98 - 6/30/00
Elizabeth A. Wallen, M.D.	Anesthesiology	Attending	1/4/99 - 6/30/00
Hong Wu, M.D.	Ophthalmology	PGY2 Limited	7/1/98 - 6/30/00

April 1999

Mohammad Ahmad, M.D.	Internal Medicine - Geriatrics	Courtesy	7/1/99 - 6/30/01
David A. Beard, M.D.	Internal Medicine	Comm. Affiliate	7/1/99 - 6/30/01
Suzanne Chang, M.D.	Internal Medicine	Comm. Affiliate	2/1/99 - 6/30/99
Charis Euli Eng, M.D., Ph.D.	Internal Medicine - Medical Oncology	Attending	2/24/99 - 6/30/99
Stephen P. Hoffman, M.D.	Critical Care - Pulmonary Diseases	Attending	12/23/98 - 6/30/99
Alan J. Kover, M.D.	Anesthesiology	Attending	7/1/99 - 6/30/01
Katherine Ann Lappert, D.O.	Family Practice	Comm. Affiliate	7/1/99 - 6/30/01
Jeremy T. Larson, M.D.	Pediatrics	PGY5 Limited	3/1/99 - 3/31/99
Chanun Park, D.O.	Internal Medicine	Comm. Affiliate	12/1/98 - 6/30/00
Neville Patel, M.D.	Family Practice	PGY1 Limited	7/1/98 - 6/30/00
Pamela J. Smith	Endocrinology	Courtesy	12/10/98 - 6/30/00
Linda Sorg, CRNA	Anesthesiology	Phys. Extend.	10/26/98 - 6/30/00
Patrick J. Ward, M.D., Ph.D.	Internal Medicine	PGY2 Limited	7/1/99 - 6/30/00

Conscious Sedation Privileges:

March 1999

<u>Name</u>	<u>Department</u>	<u>Category</u>	<u>Expiration Date</u>
Costantino Benedetti, M.D.	Anesthesiology	Attending	1/1/01
James H. Caldwell, M.D.	Internal Medicine	Attending	2/1/00
Emile N. El-Shammaa, M.D.	Emergency Medicine	Attending	5/15/99
John J. Fromkes, M.D.	Internal Medicine	Attending	2/1/00
Ernesto Goldman, M.D.	Anesthesiology	Attending	1/1/01

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Bhagwandas Gupta, M.D.	Anesthesiology	Attending	11/14/01
Rebecca M. Gutman, M.D.	Anesthesiology	Attending	11/14/01
Ronald L. Harter, M.D.	Anesthesiology	Attending	1/1/01
William R. Kaye, D.D.S.	Dentistry	Courtesy	4/30/99
Richard N. Nelson, M.D.	Emergency Medicine	Attending	3/01/00
Sheryl A. Pfeil, M.D.	Internal Medicine	Attending	1/20/00
Thomas E. Reilley, D.O.	Anesthesiology	Attending	1/1/01
Andreas W. Schuster, M.D.	Anesthesiology	Attending	11/14/00
Gaylynn J. Speas, M.D.	Anesthesiology	Attending	1/1/01
Fred B. Thomas, M.D.	Internal Medicine	Attending	2/1/00
Joel M. Weaver, D.D.S.	Dentistry	Attending	1/1/01
Joel M. Weaver, D.D.S.	Anesthesiology	Attending	1/1/01
Howard A. Werman, M.D.	Emergency Medicine	Attending	9/17/00
Mark D. Wewers, M.D.	Internal Medicine	Attending	12/14/00

April 1999

Alan J. Kover, M.D.	Anesthesiology	Attending	4/1/99 - 10/8/99
Edward J. Levine, M.D.	Internal Medicine	Attending	4/1/99 - 1/31/00
Christopher L. Mabee, M.D.	Internal Medicine	Attending	4/1/99 - 3/29/01
Douglas M. Magorien, M.D.	Internal Medicine	Attending	4/1/99 - 3/1/00
Uma Sundaram, M.D.	Internal Medicine	Attending	4/1/99 - 3/23/00
John D. Walters, D.D.S.	Dentistry	Courtesy	4/1/99 - 2/19/00

March 1999

Laser Privileges:

Robert Michler, M.D.	Surgery	Attending	March 1999
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Emeritus Titles

JAMES G. BURCH, College of Dentistry (Orthodontics), with the title Professor Emeritus, effective April 1, 1999.

INEZ CARDOZO-FREEMAN, Division of Comparative Studies in the Humanities (Newark Campus), with the title Professor Emeritus, effective October 1, 1999.

MICHAEL O. GARRAWAY, Department of Plant Pathology, with the title Professor Emeritus, effective July 1, 1999.

DAVID R. LAMB, School of Physical Activity and Educational Services, with the title Professor Emeritus, effective October 1, 1999.

ANNEMARIE SOMMER, Department of Pediatrics, with the title Professor Emeritus, effective May 1, 1999.

STANLEY H. BLOSTEIN, College of Social Work, with the title Associate Professor Emeritus, effective September 1, 1999.

EDWIN T. BOYER, Department of Civil and Environmental Engineering and Geodetic Science, with the title Associate Professor Emeritus, effective May 1, 1999.

ROBERT F. SCHWARTZ, Department of Art, with the title Associate Professor Emeritus, effective July 1, 1999.

SHARON S. BROCK, School of Journalism and Communication, with the title Assistant Professor Emeritus, effective July 1, 1999.

RESOLUTIONS IN MEMORIAM

Resolution No. 99-120

Synopsis: Approval of three Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the families of the deceased.

Chester E. Ball

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on March 8, 1999, of Chester E. Ball, Assistant Professor Emeritus in the College of the Arts.

Colonel Ball was a native of Seth, West Virginia. He received an undergraduate degree in journalism from Marshall College (University) in 1942 and a Master of Arts degree in journalism from Ohio State in 1947. In 1942, he joined the Army's 80th Infantry Division as a corporal and was commissioned a Second Lieutenant in 1943, serving with the 106th Infantry Division. He subsequently volunteered for overseas duty and joined the 5th Infantry Division in England and Northern Ireland. His combat duty with the 5th Infantry included France, Germany, Belgium, Luxembourg, Austria, and Czechoslovakia. Colonel Ball was awarded the Silver Star, 2 Bronze Stars, 3 Purple Hearts, and 5 battle stars. In May 1997, Colonel Ball was inducted in to the Field Artillery OCS (Officers Candidate School) Hall of Fame.

Colonel Ball retired from Ohio State in 1981 after 30 years of service as an Assistant Professor of Journalism, publications editor at the Engineering Experiment Station, Director of Reprographics at the Research Foundation, and Assistant Professor in the Department of Photography and Cinema. A highly regarded man, his biography appeared in *Who's Who in the Midwest*, *Who's Who in the World*, *Ohio Lives*, *Dictionary of International Biography*, and *Men of Achievement*.

With a life-long commitment to community service, Colonel Ball held memberships and leadership positions in many organizations. His life memberships included: Reserve Officers Association (past president of the Huntington chapter), Society of the 5th Division, 80th Infantry Division Association, Military Order of the Purple Heart, American Legion, and the OSU and Marshall University Alumni Associations. Other memberships included: Veterans of the Battle of the Bulge, Battle of Normandy Foundation, Hilliard Kiwanis, Upper Arlington Chamber of Commerce, and Hilliard Chapter of the AFS (American Field Service). He was elected to four 4-year terms on the Hilliard City School District Board of Education, serving as president for 5 years.

On behalf of the University community, the Board of Trustees expresses to the family of Chester E. Ball its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Kathleen E. Kendrick

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on March 2, 1999, of Dr. Kathleen E. Kendrick, Professor in the Department of Microbiology.

Dr. Kendrick was born in 1951 in Toledo, Ohio, graduated as valedictorian from Ottawa Hills High School in Toledo, and then earned a B.A. in 1972 from the University of California (Santa Barbara), and a Ph.D. in Microbiology in 1979 from the University of California (Davis). Following postdoctoral training with Professors Ensign and Reznikoff at the University of Wisconsin (Madison), she joined the faculty of the Department of Microbiology at The Ohio State University as Assistant Professor in 1984, and rose through the faculty ranks to Professor in 1998.

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Throughout her career, Dr. Kendrick focused her research attention on the biochemistry and developmental biology of *Streptomyces griseus*. She made the seminal observation that *S. griseus* sporulates when grown submerged in liquid culture, and subsequently took advantage of this property to investigate histidine catabolism, to isolate and characterize cell division mutants and, most recently, investigated the roles of penicillin binding proteins in *S. griseus* growth, cell division, and sporulation. She was an acknowledged leader in the *Streptomyces* research community and was in constant demand to give seminars, review research manuscripts, and evaluate grant proposals. She provided these professional services to her colleagues readily, enthusiastically, thoroughly, and carefully.

As a teacher and mentor, Professor Kendrick was generous with her time and was positive and precise, always encouraging while demanding the best from her students. Through her innovation, undergraduates improved their writing skills while reporting the results of microbiology laboratory exercises. Graduate students and postdoctoral trainees in her laboratory benefited enormously from her imaginative, demanding, but realistic research challenges.

Dr. Kendrick never overtly donned the mantle of a role model, but was nevertheless very much aware of her status as a senior professor, an internationally renowned scientist, and a woman, and deftly and effectively used this position and visibility to encourage young women to pursue and maintain professional careers as scientists.

Survived by her mother, three sisters, and brother, Dr. Kendrick's death at such an early age is both a personal tragedy for her family, friends, and colleagues, and a major loss for science. She was a truly dedicated scientist who maintained her research programs and taught throughout her long and courageous battle with illness. At her request, the Kathleen E. Kendrick Scholarship Fund has been established at The Ohio State University to support minority students majoring in microbiology. Through this scholarship, she will also be remembered by generations of Ohio State students who never had the chance to meet her personally.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Kendrick its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Gordon L. Nelson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on March 24, 1999, of Dr. Gordon Leon Nelson, Professor Emeritus in the Department of Food, Agricultural and Biological Engineering.

Dr. Nelson was a native of Chippewa County, Minnesota. He received his Bachelor of Agricultural Engineering degree from the University of Minnesota in 1942; a certificate in naval engineering design from the U.S. Naval Academy Postgraduate School in 1945; a Master of Science degree from Oklahoma State University in 1951; and a Ph.D. in Agricultural Engineering from Iowa State University in 1957.

He was awarded postdoctoral fellowships at the University of California (Berkeley and Davis) in 1964, 1965, and 1966. He served as Assistant Professor and Professor of Agricultural Engineering at Oklahoma State University from 1947-1969.

Dr. Nelson came to Ohio State University in 1969, where he served as Professor and Chair of the Agricultural Engineering Department until his retirement in 1987. He served as director and consultant to The Ohio State University Ford Foundation Project in Punjab, India, from 1969-1972. He was a Fellow in the American Society of Agricultural Engineers and a member of the American Society of Engineering Education, and was a member of numerous other societies. He was the recipient of several prestigious awards throughout his career. He was also a member of Gideons International. Many lives were touched by his faith, love, intellect, and wit.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Gordon L. Nelson its deepest sympathy and sense of understanding of their loss. It was directed that this

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resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-121

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for March 1999 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of March 1999 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-122

Synopsis: The report on the receipt of gifts and the summary for March 1999 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Ford Motor Company Designated Chair in Global Business Management; and

WHEREAS this report includes the establishment of twenty-five (25) new named endowed funds and amendments to two (2) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of March 1999 be approved.

(Figures for gift receipts by donor type and purposes and gift additions available from the Board of Trustees Office.)

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THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Designated Chair

The Ford Motor Company Designated Chair in Global Business Management

The Ford Motor Company Designated Chair in Global Business Management was established May 7, 1999, by the Board of Trustees of The Ohio State University, with discretionary funds designated for support of the Max M. Fisher College of Business.

The designated funds shall be used to promote and support the teaching, research and service activities of The Ford Motor Company Designated Chair in Global Business Management. Appointment to the Designated Chair shall be recommended by the Dean of the Fisher College of Business to the provost and approved by The Ohio State University Board of Trustees. The chair holder will report annually to The Ford Motor Company on the goals, objectives, and plans for the chair in the upcoming academic year.

\$75,000.00 per year

Establishment of Named Endowed Funds

The Janet and Grace Souders Crist Lancaster Memorial Scholarship Fund

The Janet and Grace Souders Crist Lancaster Memorial Scholarship Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the estate of Janet Crist.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships to full-time accepted or enrolled undergraduate students at The Ohio State University who are candidates for bachelor's degrees, residents of Lancaster, and shall be graduating or have graduated from an accredited public high school in Lancaster, Ohio. The scholarships are to be based on meritorious academic achievement, national test scores, demonstrated leadership abilities, and exemplary citizenship. Financial need is not to be a criterion for selection. Selection of the recipient(s) shall be made by a committee identified as The Ohio State University Crist Scholarship Committee and composed of the following persons or their designees: President or scholarship chairman of The Ohio State University Alumni Club of Fairfield County, Superintendent of Lancaster City Schools, and President of the Board of Education for the Lancaster City Schools, and in consultation with the University Committee on Student Financial Aid. The Superintendent or designee shall convene the committee to make recommendations in a timely manner to the appropriate officials at The Ohio State University, after consultation with the appropriate University administrators. The awards will be announced by the University. Scholarships may be awarded to outstanding students for more than one year if they continue to meet all of the qualifications set forth. Scholarships should be awarded and students and their schools notified well before graduation, so that they may be appropriately honored. Seventy-five percent (75%) of the income is to be used for scholarships, and twenty-five percent (25%) of the income is to be returned to the fund's principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the President of The Ohio State University, and President of The Ohio State University Alumni Association, Inc., and other appropriate University officials in order to carry out the desire of the donor.

\$60,000.00

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School of Journalism and Communication Discretionary Endowment Fund

The School of Journalism and Communication Discretionary Endowment Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University with funds transferred from the general fund of the School of Journalism and Communication.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used for general purposes for the School, with expenditure approval by the School's Director.

If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of the School of Journalism and Communication and the Dean of the College of Behavioral Sciences.

\$50,000.00

Stark County 4-H Endowment Fund

The Stark County 4-H Endowment Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from friends of Stark County 4-H.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to support the Stark County 4-H program. This support may include, but is not limited to, the funding of scholarships and awards for 4-H members; volunteer leaders and friends of 4-H; sponsoring seminars and programs; purchasing material and services that will supplement 4-H programming or increase the visibility and public support of 4-H in Stark County. All expenditures from this fund shall be approved by the Stark County 4-H Advisory Committee.

It is the desire of the donors that this fund should benefit the University in perpetuity. Should the need for this fund cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever, as determined by the Board of Trustees with preference given to the recommendations from the Director of the Ohio State University Extension, in consultation with a committee representing the local 4-H donors in order to carry out the desire of the donors.

\$26,374.00 (Grandfathered)

The Kalbouss Russian Culture Fund

The Kalbouss Russian Culture Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from George Kalbouss, a member of the faculty of the Department of Slavic and East European Languages and Literatures since 1973, and from faculty, friends, and former students of Professor Kalbouss.

Professor Kalbouss is the creator of OSU's Russian culture course which has been taught to thousands of OSU students since its inception in 1974. The program is named for his family which has been active in promoting Russian culture since the 1930's. Professor Kalbouss' areas of academic expertise are Russian symbolism and Russian drama. The author of *The Plays of the Russian Symbolists* (1982), he has recently completed a study on the mythological symbol system of Fedor Sologub. He is currently working on the dramas of Aleksandr Griboedov. Professor Kalbouss received an Ohio State University Alumni Distinguished Teaching Award in 1977 and was inducted into Ohio State's Academy of Teaching in March 1993. He previously served as Undergraduate Adviser of Russian for over fifteen years, directed Ohio State's Russian Language Program in Moscow, and currently chairs the Department's committee on development.

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All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for the promotion and education of Slavic and East European cultures, especially in the State of Ohio, and for the cultural interaction between the United States (especially the State of Ohio) and cultures of Slavic and Eastern Europe. The term "Slavic and Eastern European" is to be used broadly, including all the Slavic cultures, as well as the non-Slavic Cultures of Central and Eastern Europe (i.e., Romanian, Hungarian, Latvian, Estonian, Lithuanian) as well as the cultures of the former Soviet Union. The term likewise implies the heritage communities in the United States carrying on the traditions of these cultures. Activities funded by the fund would include: lectures and presentations given to various schools, churches, fraternal organizations, etc., regarding the cultures of Slavic and East Europe and their heritages, activities in which cultures are "compared" or "related" (including comparisons of American to East European cultures). The focus would be on "education", and the topics included would be heritage, the arts, music, literature, food, traditions, etc. All persons directly associated with The Ohio State University would be eligible to apply. The Chair of the Department of East European Languages and Literatures will establish a committee to assign the funds each year.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the Chair of the Department of Slavic and East European Languages and Literatures and the Dean of the College of Humanities in order to carry out the desire of the donor.

\$17,643.00 (Grandfathered)

George and Mildred Wharton Endowment for Acarology Fund

The George and Mildred Wharton Endowment for Acarology Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from friends and the estate of Professor Emeritus George W. and Mildred G. Wharton of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall benefit the Acarology Laboratory in the Department of Entomology, College of Biological Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$16,786.56 (Grandfathered)

Union County 4-H Endowment Fund

The Union County 4-H Endowment Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University through gifts to The Ohio State University Development Fund from friends of Union County 4-H.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to support the Union County 4-H program. This support may include, but is not limited to, the funding of scholarships and awards for 4-H members; volunteer leaders and friends of 4-H; sponsoring seminars and programs; and /or purchasing material

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and services that will supplement 4-H programming or increase the visibility and public support of 4-H in Union County. All expenditures from this fund shall be approved by the Union County 4-H Advisory Committee.

It is the desire of the donors that this fund should benefit the University in perpetuity. Should the need for this fund cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever, as determined by the Board of Trustees with preference given to the recommendations from the Director of the Ohio State University Extension, in consultation with a committee representing the local 4-H donors in order to carry out the desire of the donors.

\$16,564.69 (Grandfathered)

West Michigan Alumni Scholarship Fund

The West Michigan Alumni Scholarship Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the West Michigan Alumni Club in Grand Rapids, Michigan, its individual members and friends of the University.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more merit-based scholarships to worthy students graduating from high schools in the geographical area served by the West Michigan Alumni Club. The Alumni Club will recruit and interview applicants and rank and recommend recipients to the Office of Student Financial Aid. The University Committee on Student Financial Aid will make the final selection of recipients.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$16,175.00 (Grandfathered)

Jefferson County 4-H Endowment Fund

The Jefferson County 4-H Endowment Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University through gifts to The Ohio State University Development Fund from friends of Jefferson County 4-H.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to support the Jefferson County 4-H program. This support may include, but is not limited to, the funding of scholarships and awards for 4-H members; volunteer leaders and friends of 4-H; sponsoring seminars and programs; and /or purchasing material and services that will supplement 4-H programming or increase the visibility and public support of 4-H in Jefferson County. All expenditures from this fund shall be approved by the Jefferson County 4-H Advisory Committee.

It is the desire of the donors that this fund should benefit the University in perpetuity. Should the need for this fund cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever, as determined by the Board of Trustees with preference given to the recommendations of the Director of the Ohio State University Extension, in consultation with a committee representing the local 4-H donors in order to carry out the desire of the donors.

\$16,038.12 (Grandfathered)

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The Lawrence J. R. Herson Fund

The Lawrence J. R. Herson Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from alumni, friends and associates of Professor Lawrence J. R. Herson, in honor of his long and distinguished career in the Department of Political Science, on the occasion of his retirement from the University.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to enhance the academic goals of the Department of Political Science and to promote scholarly excellence among students majoring in Political Science. Expenditures from the fund shall be approved by the chairperson of the department.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$15,490.80 (Grandfathered)

Charles W. and Gwyenna L. Lifer 4-H Scholarship Fund

The Charles W. and Gwyenna L. Lifer 4-H Scholarship Fund was established May 7, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Charles W. and Gwyenna L. Lifer.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support at least one scholarship to current or former 4-H members, with preference given to Richland, Knox, or Monroe Counties. The scholarship is to be distributed equally for three (3) consecutive quarters providing the recipient maintains a minimum GPA of 2.0 or above. The applicant shall be a senior in high school during the year of application, have demonstrated leadership, and is committed to a profession in agriculture or natural resources at The Ohio State University. It is preferred that the student be an entering freshman at The Ohio State University, College of Food, Agricultural and Environmental Sciences, Columbus campus. Secondary acceptance is a student entering the Agricultural Technical Institute, Wooster, Ohio. Scholarship recipients shall be selected in consultation with the University Committee on Student Financial Aid. Unused income shall be reinvested to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. Should the need for this fund cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever, as determined by the Board of Trustees with preference given to the recommendations of the Director of the Ohio State University Extension, in consultation with a committee representing the 4-H Program in order to carry out the desire of the donors.

\$15,000.00 (Grandfathered)

Change in Description of Named Endowed Funds

The Robert E. Taylor Graduate Fellowship Fund

The Robert E. Taylor Graduate Fellowship Fund was established on September 5, 1986, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund in honor of Robert E. Taylor, founder and executive director of the National Center for Research in Vocational Education for twenty-one years. The description was revised May 7, 1999, to reflect a new department.

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All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a doctoral-level graduate student in the Department of Human and Community Resource Development who is preparing for initial or advanced leadership responsibility in a state department (division) of vocational education. One student is to be selected every two years to allow the individual the time required to complete the degree. Selection of the recipient is to be made by the graduate committee of the Department of Human and Community Resource Development.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the Chair of the Department of Human and Community Resource Development, or their successor, in consultation with the department's faculty in the agricultural/vocational education programmatic area in order to carry out the desire of the donors.

The Townshend ATZ Endowment Fund

The Townshend AZ Endowment Fund was established on March 4, 1994, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from alumni, friends and current members of the Townshend Chapter of Alpha Zeta Fraternity, and in memory of Mark Sommers by his friends and family. The description was revised, and the name was changed to The Townshend ATZ Endowment Fund, on March 5, 1999, and the description was further revised May 7, 1999.

All gifts are to be invested in the University's permanent endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarship(s), and to further the mission of the Alpha Tau Zeta Fraternity, including, but not exclusively for the support of educational programs, facility improvement, alumni activity, house mother retirement fund, and publications. The scholarships shall be awarded to sophomore, junior or senior students enrolled in the College of Food, Agricultural and Environmental Sciences who are members of the Alpha Tau Zeta Fraternity. The students must hold at least a 3.0 grade point average. Selection of the recipient will be made by the Assistant Dean, Student Affairs and the scholarship selection committee in conjunction with the Office of Student Financial Aid. Funds can be used with the approval of the Assistant Dean, Student Affairs, College of Food, Agricultural and Environmental Sciences, and President, Alpha Tau Zeta alumni board.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Vice President for Agricultural Administration or the appropriate college dean, department chair, or program administrative officer in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Mary E. Babcock Endowment Fund

The Mary E. Babcock Endowment Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with unrestricted gifts to The Ohio State University Foundation from the estate of Mary Elizabeth Babcock.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the President of The Ohio State University for the purpose of providing resources for fund raising at the University.

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It is the desire of the Foundation that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors.

\$1,015,000.00

The Ronald D. and Deborah F. Brooks Basketball Scholarship Fund

The Ronald D. and Deborah F. Brooks Basketball Scholarship Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ronald D. (B.A. Social & Behavioral Science '71) and Deborah F. Brooks (B.S. Social Work '70), of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of a student athlete who is a member of the men's basketball team pursuing an undergraduate degree at The Ohio State University. The recipient will be selected by the Director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donors.

\$101,100.00

Cardinal Health, Inc. Athletic Scholars Fund

The Cardinal Health, Inc. Athletic Scholars Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Cardinal Health, Inc., Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of student-athletes pursuing undergraduate degrees at The Ohio State University, and participating in varsity athletics at Ohio State, excluding participants in the sports of football and men's basketball. The Director of Athletics shall select recipients in consultation with the Director of Student Athlete Support Services, the appropriate varsity head coach, and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics, in order to carry out the desire of the donor.

\$100,000.00

The Anne Hayes Memorial Scholarship for Academic Excellence Fund

The Anne Hayes Memorial Scholarship for Academic Excellence Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Honorable Steven Hayes (B.A. Arts & Sciences '67; J.D. '70), Columbus, Ohio, in memory of his mother and her personal commitment to community caring.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

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The annual income shall be awarded bi-annually to a student or students of the College of Social Work who demonstrate academic excellence. Scholarship recipients shall be selected in consultation with the University Committee on Student Financial Aid. Unused income will be added to the principal, thus accelerating the growth of this endowment.

It is the desire of the donor that this fund should benefit the College of Social Work in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Dean of the College of Social Work in order to carry out the desire of the donor.

\$79,272.36

The "Remembering Jack" Endowment Fund

The "Remembering Jack" Endowment Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with memorial gifts from business associates, friends and the family of John J. Schiff (B.S.Bus.Adm. '38).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be evenly divided between The Ohio State University Marching Band and The Ohio State University Baseball Team. It is to be used to enhance student opportunities to perform and compete. Use of the funds shall be determined by the Director of the Marching Band and the Director of Athletics.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of The Ohio State University Marching Band and The Ohio State University Director of Athletics in order to carry out the desire of the donors.

\$70,715.00

The Gordon and Mary Flax Scholarship Fund

The Gordon and Mary Flax Scholarship Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Gordon and Mary Flax of South Charleston, Ohio. The gift was made in honor of their lifetime of involvement in agriculture and home economics, and for the continued education of Clark County residents in these fields.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used equally to support scholarships in the College of Food, Agricultural and Environmental Sciences and in the College of Human Ecology. One scholarship shall be used for a sophomore-, junior-, or senior-level student(s) enrolled in the College of Food, Agricultural and Environmental Sciences, including the School of Natural Resources. First preference shall be given

to students from Clark County, Ohio. The other scholarship shall be awarded to a sophomore-, junior-, or senior-level student(s) enrolled in the College of Human Ecology, with first preference for students from Clark County, Ohio. Selection shall be made by the scholarship selection committees in each college, in consultation with the University Committee on Student Financial Aid, with the right to provide more than one scholarship per year with the earned income. The selected students shall have a grade point average of 2.5 or above. The scholarship can be renewed for any student recipient through the application and selection process.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Vice President for Agricultural

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Administration, in consultation with the Dean of the College of Human Ecology, or their successor in order to carry out the desire of the donors.

\$50,018.00

The Dr. and Mrs. Norman Browning Endowed Scholarship Fund

The Dr. and Mrs. Norman Browning Endowed Scholarship Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Ohio State University at Lima from Dr. and Mrs. Norman Browning.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to The Ohio State University at Lima to be used to support women students 22 years or older, with a particular emphasis on women continuing their education. The purpose of the scholarship is to assist a segment of the student population needing financial assistance to complete their college education attending Ohio State University at Lima. Scholarship applicants need to complete the Free Application for Federal Student Aid (FAFSA) and have it on file at Ohio State University at Lima. The annual income will be used to award scholarship(s) using the following criteria: scholarship recipient(s) must maintain a minimum cumulative grade point average of 2.0 by the end of the first year and a 2.5 by the end of following years (on a 4.0 scale); the scholarship(s) is annual with the award distributed over three quarters of study; the scholarship(s) is renewable for a total of four years of study; there is no restriction on the program of study; the scholarship recipient(s) must be enrolled at the Lima campus for a minimum of 12 credit hours per quarter. Scholarship recipient(s) will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$49,519.53

The Frank C. Woodside III Fund for the Pro Bono Research Group at the College of Law

The Frank C. Woodside III Fund for the Pro Bono Research Group at the College of Law was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Frank C. Woodside (B.S. Biological Sciences '66; J.D. '69) of Wyoming, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the needs of the Pro Bono Research Group in The Ohio State University College of Law as determined by the Dean of the College of Law.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Dean of the College of Law in order to carry out the desire of the donor.

\$45,000.00

Buckeye Hall of Fame Cafe Scholarship Fund

The Buckeye Hall of Fame Cafe Scholarship Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Hall of Fame Charitable Foundation, Columbus, Ohio.

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All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of student-athletes participating in varsity football at The Ohio State University. The Director of Athletics will select the recipients, in consultation with the Director of Student Athlete Support Services, the varsity football coach, and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donor.

\$40,000.00

Herman Schoen Memorial Cancer Research Endowment Fund

The Herman Schoen Memorial Cancer Research Endowment Fund for the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael and Kathleen Schoen.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support chemoprevention and bionutrition cancer research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute at The Ohio State University as approved by the Director of the Comprehensive Cancer Center, Director of The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute and the Vice President for Health Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Director of the Comprehensive Cancer Center, Director of The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute and the Vice President for Health Sciences in order to carry out the desire of the donors.

\$27,700.00

The Donald E. Yingst Scholarship and Student Development Fund in Food Science and Technology

The Donald E. Yingst Scholarship and Student Development Fund in Food Science and Technology was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donald E. Yingst (B.S. Horticulture '62; M.S. Horticulture '64) of Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support equally: the Donald E. Yingst Scholarship for an undergraduate student(s) enrolled in Food Science and Technology and the enrichment of the undergraduate experience in Food Science and Technology. The student scholarship recipient shall be an undergraduate student(s) who plans to work in the food industry in the United States following graduation. The second half of the income shall be used to support the undergraduate experience in Food Science and Technology, including, but not exclusively, for recruitment of outstanding students, support of travel for student teams, awards, and incentives for food science clubs and national officer participation.

The use of the annual income shall be directed by the Chair of the Food Science and Technology Department. A scholarship selection committee will select the annual "Donald E. Yingst Scholarship" recipient, in consultation with the University Committee on Student Financial Aid. Donald E. Yingst or

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his designee can serve on the scholarship selection committee if so desired, and shall be informed annually of the scholarship recipient and the use of the remainder of the income.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Vice President for Agricultural Administration, in consultation with the Chair of the Department of Food Science and Technology or their successor in order to carry out the desire of the donor.

\$25,265.63

The John Marshall Adams Constitutional Law Award Fund

The John Marshall Adams Constitutional Law Award Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from John Marshall Adams (B.A. '59; J.D. Summa Cum Laude '54), Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund an award for one student in the College of Law who achieves the highest grade in constitutional law. Selection shall be made by the College of Law with formal approval by the Dean of the College of Law.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Dean of the College of Law in order to carry out the desire of the donor.

\$25,000.00

The John P. McMahon Scholarship Fund

The John P. McMahon Scholarship Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from his children, Colleen McMahon, Kevin P. McMahon, Patricia M. McCutcheon, Brian J. McMahon, Barry G. McMahon, and Michael K. McMahon.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship for a student in the College of Law, with a preference for a student from Huron County, Ohio, or surrounding counties. Selection shall be made by the College of Law in consultation with the University Committee on Student Financial Aid, with formal approval by the Dean of the College of Law.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Dean of the College of Law in order to carry out the desire of the donors.

\$25,000.00

The Frederick J. Richardson Civil Engineering Scholarship Fund

The Frederick J. Richardson Civil Engineering Scholarship Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from URS Greiner Woodward Clyde of Akron, Ohio, in honor of Frederick J. Richardson (B.S.C.E. '51).

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All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used by the Department of Civil and Environmental Engineering and Geodetic Science to support deserving undergraduate civil engineering majors. The Frederick J. Richardson Civil Scholars will be students who have completed their junior year of study with outstanding overall academic performance. Only students who are U.S. citizens or permanent residents will be eligible. The Chairperson of the Department of Civil and Environmental Engineering and Geodetic Science will be responsible for administering these scholarships in close consultation with a URS Greiner Woodward Clyde-designated representative and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

William S. and Barbara B. Weprin Scholarship Fund

The William S. and Barbara B. Weprin Scholarship Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Beerman Family Foundation of Dayton, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the general grant-in-aid scholarship costs of a student athlete at The Ohio State University. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donors.

\$25,000.00

WOSB-FM Endowment Fund

The WOSB-FM Endowment Fund was established May 7, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from community individuals and businesses in the Ohio counties of Marion, Wyandot, and Crawford.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The uses of the annual income shall be determined by the Dean of Ohio State University at Marion and the General Manager of the WOSU Stations with the recommendation of the Advisory Council of WOSB-FM to support the operation of WOSB-FM, part of the WOSU Classic Networks. Contributions to the fund may come from businesses, foundations, concerned individuals, Friends of WOSU, and periodic fund-raising events.

In the event the affiliation of WOSU (WOSB-FM) with The Ohio State University would cease, WOSU Stations has the authority to withdraw part or all of the original gift or gift additions of this fund from the University's Permanent Endowment Fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, the WOSB-FM Advisory Council shall, in consultation with the management of the WOSU Stations and the Dean of The Ohio

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State University at Marion, determine an alternate use or disposition of the fund, in order to carry out the desire of the donors.

\$25,000.00

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 99-123

**COOKE CASTLE RENOVATION
ADA ELEVATOR UPGRADES AND EXTERIOR HANDRAILS**

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Cooke Castle Renovation and accept the report of award of contracts and establishment of contingency funds for the ADA Elevator Upgrades and Exterior Handrails project is requested.

WHEREAS the University desires to proceed with the exterior renovation of the Jay Cooke Castle on Gibraltar Island in order to add life to the structure; and

WHEREAS the total estimated project cost is \$500,000 and the total estimated construction cost is \$400,000, with funding provided by an appropriation in House Bill 850 to the Department of Administrative Services for the State of Ohio; and

WHEREAS a resolution adopted by the Board of Trustees on December 2, 1994 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following project:

(* Recommended alternates included in these amounts)

ADA Elevator Upgrades and Exterior Handrails

This project provides a wheel chair accessible elevator to all levels of Converse and Cockins Halls, provides a wheel chair accessible entrance to Cockins Hall, and provides ADA compliant handrails at all building entrances and other exterior ramps and steps at more than 100 locations. The total project cost is \$1,178,210; funding is provided by House Bill 790. The estimated completion date is December 1999. The contracts awarded are as follows:

Design:	McDonald, Cassell & Bassett, Columbus, Ohio
General Contract:	The Righter Company, Columbus, Ohio
Amount:	\$805,960 *
Estimate:	\$834,466 *
Combined Plumbing/ HVAC Contract:	L. T. Mandeville, Columbus, Ohio
Amount:	\$117,850
Estimate:	\$126,166
Electric Contract:	Affordable Choice Electric, Columbus, Ohio
Amount:	\$58,780
Estimate:	\$68,657
Total All Contracts:	\$982,590
Contingency Allowance:	\$88,954
Total Project Cost:	\$1,178,210

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Cooke Castle project and that the fees for these services be negotiated between the firm selected and the University; and

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BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Cooke Castle Renovation project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the ADA Elevator Upgrades and Exterior Handrails project is hereby accepted.

(See Appendix XXXXIII for maps, page 947.)

EASEMENT

Resolution No. 99-124

COLUMBIA GAS OF OHIO, INC. NEAR THE INTERSECTION OF KENNY AND ACKERMAN ROADS

Synopsis: Authorization to grant renewal of an easement across University property is proposed.

WHEREAS Columbia Gas of Ohio has requested a 25-year renewal of an easement for a four-inch gas line and regulating station from a location on Kenny Road near Ackerman Road to the Service Building Annex located at 2578 Kenny Road; and

WHEREAS the gas service benefits the University; and

WHEREAS the appropriate University offices have recommended the approval of the easement:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his statutory duties, to act for and on behalf of the University in developing the necessary legal instrument to provide for the easement.

(See Appendix XXXXIV for map, page 949.)

ADOPTION OF THE LANDSCAPE DISTRICT PLAN

Resolution No. 99-125

Synopsis: Adoption of the proposed Landscape District Plan as a part of the University Master Plan is proposed.

WHEREAS the Board of Trustees adopted Volume I ("University Context") and Volume II ("Long Range Plan") of the University Master Plan on October 6, 1995; and

WHEREAS as provided in the Long Range Concept Plan, the University is to prepare district plans for all the areas of the University to provide more detailed planning and design guidelines for each area and to ensure that the Master Plan's principles are advanced in a way that is commensurate with the particular circumstances of each district; and

WHEREAS the University now has prepared a Landscape District Plan for the Columbus Campus, to encompass all outdoor spaces and the integration of buildings and outdoor spaces as part of the "fabric" of the campus, with detailed design guidelines for the academic core north district and more general policy and design recommendations for the other areas of the Columbus Campus; and

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WHEREAS the Landscape District Plan addresses specific planning and design issues regarding open space, including land use, preservation of green space, conservation and enhancement of natural and human-made resources, integration and unification of the campus development fabric, improvement of the campus environment, enhancement of campus edges and linkages with the greater community, and siting, design and development guidelines for future open space improvements; and

WHEREAS the appropriate University offices have reviewed the proposed Landscape District Plan and recommend its adoption:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the Landscape District Plan as part of the University Master Plan and directs the appropriate University offices under the leadership of the Office of Business and Administration to proceed, consistent with the established University decision-making procedures, with additional planning activities provided for in the Plan and that the University shall report annually to this Board, through Fiscal Affairs Committee, decisions made with respect to matters for which authority is delegated in accordance with the Master Plan.

(See Appendix XXXXV for background information and map, page 951.)

Upon motion of Mr. Colley, seconded by Mr. Skestos, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger.

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President Kirwan:

I would like now to ask for a separate vote on item #12, OSU Student Health Insurance Plan Year 1999-2000.

**OSU STUDENT HEALTH INSURANCE
PLAN YEAR 1999-2000**

Resolution No. 99-126

WHEREAS this Board on June 5, 1998, authorized the Student Health Insurance Committee to request plan bids for the commercially insured portion of the 1999-2000 OSU Student Health Insurance Plan in accordance with established University procedures; and

WHEREAS the Student Health Insurance Committee obtained the assistance and expertise of OSU Purchasing, University & Professional Resources, Inc., a local medical insurance consulting firm, and Stephen L. Beckley & Associates, a nationally recognized student health insurance consulting firm; and

WHEREAS after consideration of the expressed needs and concerns of the students who purchase the insurance, the Student Health Insurance Committee of the Council on Student Affairs has recommended -- and the Council has ratified that recommendation -- keeping Central Benefits Insurance Company as the underwriter of the commercially insured PPO portion of the plan for one year at the specific rates to provide existing benefits plus benefit/service changes to include:

- (1) Reducing the pre-existing condition period from the current 6 months/12 months time frame to 6 months/6 months;
- (2) Removing the Health Risk Appraisal benefit from the insurance plan and offering it as a standard service through the Student Wellness Program, netting some premium savings;

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- (3) Purchasing OSU-MHCS Directory services that include hard copy and Internet access to a Directory that supports the plan design and insures up to date information on network providers;
- (4) Modest revisions to dental coverage to include only medically necessary extraction of third molars, IV sedation when applicable, and extension of the 72 hour limitation on accidental injury to the mouth per review on a case by case basis; and

WHEREAS this plan is fully funded by student premiums and will be available on an optional basis to all registered students for the following proposed quarterly premium rates:

<u>Enrollment Category</u>	<u>Current Qtr Rates</u>	<u>Proposed Qtr Rates</u>
Student Only	\$187	\$198 (5.88% _)
+ Spouse	\$479	\$503 (5.01% _)
+ Children	\$484	\$507 (4.75% _)
+ Family	\$650	\$681 (4.77% _)

Adjusted rates have also been derived from the quarterly rate for students on a semester system and for periods of continuing coverage while not registered as a student:

NOW THEREFORE

BE IT RESOLVED, That Central Benefits Insurance Company be awarded the Student Health Insurance Contract effective Autumn Term 1999, for a period of one (1) academic year. The contract will provide the benefits now available under the terms of the 1998-99 contract together with the aforementioned benefit/service changes.

Upon motion of Mr. Colley, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolution with eight affirmative votes cast by Messrs. Celeste, Colley, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger, and an abstention by Mr. Skestos.

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Mr. Celeste:

I would now like to recommend the following resolution to the Board, the Appointment and Reappointment of Investment Managers.

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 99-127

Synopsis: Approval of the Appointment and Reappointment of Investment Managers is proposed.

WHEREAS it is the policy of The Ohio State University to utilize the services of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

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BE IT RESOLVED, That upon the recommendations of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approve to manage Endowment funds as follows:

<u>Equity Managers</u>	<u>Market Value as of 3/31/99</u>	<u>Changes</u>	<u>Revised Market Value</u>
ABN AMRO	\$ 65,501,890		\$ 65,501,890
Barclays Extended	128,540,336		128,540,336
Barclays International			
Alpha Tilts	28,839,318		28,839,318
Barclays S&P 500	268,633,353		268,633,353
Commonfund Capital Partners	-0-	\$ 10,000,000	10,000,000
Commonfund New Leaders	-0-	10,000,000	10,000,000
R. Meeder	55,148,055		55,148,055
Nicholas Applegate			
Mid Cap	128,257,799		128,257,799
Nicholas Applegate Sys			
Small Cap	25,315,700		25,315,700
University Students	18,183,272		18,183,272
<u>Fixed Income Managers</u>			
Bank One	\$ 15,368,972		\$ 15,368,972
Commonfund	25,587,168		25,587,168
Cypress Asset			
Management	24,822,778		24,822,778
Holt-Smith & Yates	2,936,345	\$ (2,936,345)	-0-
Hughes Capital Management	-0-	15,000,000	15,000,000
Huntington Trust	15,173,829		15,173,829
MDL Capital Management	49,912,664		49,912,664
University Managed	8,108,570	(8,108,570)	-0-

Upon motion of Mr. Colley, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution with six affirmative votes cast by Messrs. Celeste, Colley, Brennan, and Slane, and Judge Duncan, and Ms. Longaberger, and three abstentions cast by Messrs. Skestos, Patterson, and Sofia.

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PRESENTATION ON COMPENSATION BENCHMARKS

Mr. Celeste:

At this point, I would like to call on Ed Ray for a presentation on compensation benchmarks.

Provost Edward J. Ray:

Thank you, Mr. Chairman. Under Tab #16 in your Board book, you have a resolution to approve the increase in the compensation pool for salary increases for faculty, staff, and student employees by 4 percent for the coming fiscal year.

We've consulted broadly with various faculty and staff committees. We've shared with you at our last Board meeting the reports from FCBC and USAC. We indicated last month that our benchmark evidence suggested that compensation for faculty and staff and so forth -- across the benchmark institutions that we compare ourselves to -- are expected to average somewhere on the 4 percent level. So we're asking the Board's approval to go ahead with that recommendation at this point.

I point out that since 1994 the compensation pool increases have always been intended for merit increases. There are no cost of living across-the-board entitlement elements to the compensation package that we recommend. These decisions on individual cases will be made at the local level in departments, colleges, and vice presidential areas.

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I know that Bill Shkurti and Larry Lewellen are both here, and all three of us would be happy to respond to any questions or concerns that any of the Board members have.

Mr. Celeste:

Any of the Board members have questions? If not, I would recognize a motion on the FY 1999 Compensation Guidelines.

FY 1999 COMPENSATION GUIDELINES

Resolution No. 99-128

WHEREAS the current budget expires on June 30, 1999; and

WHEREAS faculty, staff and student employee salary increases at comparable institutions are expected to average 4.0 percent over the next twelve months; and

WHEREAS appropriate consultations have taken place through the University:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees approves budgeted increases to the salary pool of approximately 4.0 percent for faculty, staff and student employees to take effect July 1, 1999, as described in the attached materials; and

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and to file these materials with the official records of the University.

Upon motion of Mr. Colley, seconded by Mr. Skestos, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Celeste, Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger.

(See Appendix XXXXVI for FY 2000 Compensation Recommendations, page 953.)

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STUDENT GOVERNMENT REPORTS

Mr. Eric Ley:

On behalf of the professional students across campus, thank you for a great year, Chairman Celeste.

We'll start with the IPC report. During the previous year, the Interprofessional Council acted as the representative body for professional students. This past August at our summer conference, we outlined a number of goals to improve our organization. We decided our focus was to act as the voice for professional students to the governing bodies of this University. We began to achieve this goal by strengthening our foundation. With the aid of Don Stenta, we moved into a new, larger office and then hired our first office assistant to help with day-to-day activities. To keep professional students informed, we hit the Internet, forming our web page and writing monthly e-mail bulletins.

IPC then focused on University-wide committees and actively pursued professional students who were interested in improving their surroundings. We then formed the I-CUE Committee. This committee's purpose first was to write a survey that pursued professional students' input. With the help of Provost Ray, Vice President Williams, and Vice President Tzagournis, this survey is now in the hands of all professional students. The IPC will present the results of the I-CUE survey next fall and, with the input of the administrations at the five professional colleges, the I-CUE report will be written. The goal of this project is to better the professional

colleges at The Ohio State University by listening to the suggestions of the professional students.

During the previous year, IPC also sent a number of students to national conferences. Peter Rosal served as a NAGS representative at a Washington, D.C., conference to lobby for decreasing the cost of federal loans for all students.

Trista Onesti also went to Washington, D.C., to improve women's health issues. April Guille served as a NAGS representative at a Boston conference. The IPC also co-sponsored a Women's Week, a number of fun runs for various charities, and helped with Latin-American hurricane relief, as well as providing for Third World dental care.

We are also proud of donating over \$3,000 to other professional school organizations. These funds were raised in part at our Halloween concert at the Newport Theater, which over 1,400 students attended. The Interprofessional Council thanks all of the individuals involved with the organization.

We congratulate Dr. Nick Fought, who was chosen Professor of the Year, and Chip Welch, a third-year law student, chosen Professional Student of the Year.

The Undergraduate Student Government has been busy over the past month. In collaboration with Ohio Staters, Inc., the undergraduate student radio station, and the Ohio Union Activities Board, our own Shane Hawkins has been planning a student concert festival to be held this spring. Mara Leventhal is currently planning an open forum on the role of alcohol in the University setting. The forum is being coordinated by Mara, other students, the Alcohol Coalition, and multiple staff from the Student Wellness Center.

On April 30, student football ticket applications were due. Preliminary reports are showing a lower number of requests than last year from returning students, so we are confident that every student will have the opportunity to purchase season tickets this year. We would like to thank Dr. Ray, Mr. Geiger, and Dr. Davis, who especially helped out with the marketing of this year's tickets.

Finally, USG would like to thank Mr. Celeste for his hard work and service to the University and his unwavering support of all students. Your dedication is much appreciated and greatly respected across the student body.

Finally, the Council of Graduate Students is planning several exciting events and activities this month that will finish up the academic year. The most important is that nominations and elections for 1999-2000 CGS officers will happen at the next two CGS meetings.

The CGS Diversity Committee has put together an exciting event addressing diversity in student organizations. The program, entitled "Valuing Differences and Leveraging Similarities - The Roles of Students and Student Organizations Enhancing University Diversity," will be presented by international diversity consultant John C. Peoples, on Wednesday, May 12. CGS would like to thank Robin Lynch and Sabiha Daudi, CGS Diversity Committee co-chairs, for their efforts organizing this event. The event is co-sponsored by the Black Graduate and Professional Student Council and the Black MBA Association.

The annual CGS Service Awards are given to faculty, staff, students, and community members who have provided outstanding services to graduate students. Winners will be honored at the Graduate School awards reception in early June.

CGS received 77 total applications for the new professional development fund programs. The committee has awarded travel grants to 12 graduate students, totalling \$3,171, who will receive their notification within the next few weeks.

Congratulations to the PDF committee members for their excellent work. We are already working on revising the application for the Summer Quarter competition.

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The annual CGS Spring Picnic will be held following the May 21 CGS meeting and elections for the 1999-2000 officers will be held. Campus events chair Juergen Steininger is planning an event with food, fun, entertainment, and more on the agricultural campus. The event is open to anyone in the University community and will celebrate graduate students at The Ohio State University.

Any questions?

Mr. Celeste:

Thank you very much. Are there any questions of Eric for those reports? Thank you.

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REPORT ON AFFILIATED ENTITIES COMMITTEE

Mr. Colley:

Mr. Chairman, at the start of this administration year, you appointed an Affiliated Entities Committee, which was in response to the McKenzie Report developed the year before. The committee included myself, Mr. Skestos, Mr. Patterson, and Judge Duncan, and was staffed by Virginia Trethewey, John Biancamano, Bill Napier, and Maureen Sharkey.

The committee met monthly during the past year, and began a process of in-depth interviews of all of the affiliated entities, starting with the most highly visible. We were impressed with what they're doing, how they're doing it, the fact that they're sound financially, and they are operating consistent with the University mission.

One special note about the Alumni Association. We met with Chief Justice Moyer, chair, and Dan Heinlen, President of the Alumni Association, and I am pleased to report to you that we continue to have an excellent working relationship with the largest, single campus-based university alumni association in the entire world!

Some of the affiliated entities we met with were the Science and Technology Campus Corporation, the MedOhio Health, Inc., ProLog Research International, Transportation Research Center, the Supercomputer Center, and Midwest University Consortium for International Affairs.

The committee during the next year will continue the process started under your administration, and the objective will be to be certain that the University is providing the oversight that is required. Mr. Chairman, that completes my report.

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FAREWELL TO THEODORE S. CELESTE

President William E. Kirwan:

With your permission, I'd just like to say something. Mr. Chairman, this is the last Board meeting at which you will be physically seated beside me, but in a very real and meaningful sense, you've been beside me all year, helping me with the transition to my new responsibilities. I have been blessed with your friendship and the University has benefitted so greatly from your leadership.

Last night, many of us had the privilege of gathering to pay tribute to you for your extraordinary contributions to the University during the nine years you've served on this Board. You have guided this University to new heights of excellence, and we are in your debt. As one more tribute to you on this occasion of your last Board meeting, and on behalf of all the members of the Ohio State family who have such great appreciation and affection for you, I

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want to present you with one more remembrance of your service to the University and the high esteem in which you are held.

[Mr. Colley presented a commemorative gavel to Mr. Celeste.]

Mr. Celeste:

My goodness, thank you. It's wonderful. When I was told I would be given the opportunity to serve, I knew this was a special place, I just didn't know how special. The dedication and loyalty and commitment to excellence of those who work here is remarkable. The genuine thirst for knowledge and friendships of those who study here is wonderful. The spirit and enthusiasm of those who have graduated is amazing.

We set some very ambitious goals, but I feel comfortable in handing over the leadership to Michael knowing that the leadership team is in place to help get us there. The vast resource of tremendously talented faculty to carry it out is in place. We have a fabulous, committed Board of Trustees to help make the tough decisions that will be needed to get there, and there is an incredible support of extended family and community of Ohio State University believers. I'm just very proud to have had this opportunity to serve. Thank you all.

I do have one other responsibility and that is to hand over the gavel to Michael Colley. Michael, you take on this new official responsibility. It is a tremendous one and you will have the time of your life in this next year. I know you're going to do a fantastic job because you've been a strong right arm. I have appreciated you here as Vice Chair, so it's all yours now.

Mr. Colley:

The very first thing I want to say in this new role is, Ted, that they have been nine outstanding years that you've served this University on behalf of the Board. Last night was a lot of fun, and we talked about your energy and enthusiasm. Today, I want to talk about your spirit and commitment to the University. We are indebted, as the President said, and we look forward to your continuing service to Ohio State, and to your advice and counsel. Thank you.

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Thereupon the Board adjourned to meet Friday, June 2, 1999, at the Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

William J. Napier
Secretary

Theodore S. Celeste
Chairman

DESCRIPTION OF ALLIED HEALTH PRACTITIONERS

- I. CERTIFIED REGISTERED NURSE ANESTHETISTS (CRNA).
(Source: Section 4723.41, Section 4723.43 and Section 4731.35 of the Ohio Revised Code; Chapter 4723 of the Ohio Administrative Code)
- A. Qualifications.
1. Current Ohio RN license.
 2. After September 10, 1997, current Ohio certificate of authority to practice as a CRNA.
 3. Recertification every two (2) years by the American Association of Nurse Anesthetists. Documentation of 40 hours of CME credit is required for this re-certification.
- B. Procedures permitted in the hospital.
1. Providing all patient care authorized to be performed by licensed registered nurse anesthetist.
 2. Administering an anesthetic.
 3. Performing anesthesia induction, maintenance and emergency.
 4. With supervision, i.e. within the surgical suite, performing pre-anesthetic preparation and evaluation, post-anesthesia care and clinical support functions.
- C. Procedures prohibited in the hospital.
1. Any procedures not permitted by the Board of Nursing.
 2. The administration of anesthetic without the immediate presence, i.e. within the surgical suite, and direction of a licensed physician or podiatrist.
- D. Supervision by physician.
- All anesthetics must be administered with the supervision and in the immediate presence, i.e. within the surgical suite, of a licensed physician or podiatrist.
- E. Medical Staff Clinical Department Appointment.
- CRNA's shall be appointed to the Department of Anesthesia.
- II. CERTIFIED PHYSICIAN ASSISTANTS.
(Source: Chapter 4730 of the Ohio Revised Code; Chapter 4731-4 of the Ohio Administrative Code)
- A. Qualifications.
1. Current Ohio certificate of registration or temporary certificate of registration as a Physician Assistant.

2. Certification or eligibility by the National Commission for Certification of Physician Assistants.
- B. Procedures permitted in the hospital.
1. Performing any procedures set forth in the standard or supplemental Physician Assistant utilization plan submitted by the physician responsible for supervising the Physician Assistant, as approved by the State Medical Board.
 2. Providing patient education to the employing physician's patients to include, but not be limited to, such things as pre-operative instructions, instructions concerning the particular medical problem that the patient has, discharge instructions, and routine care instructions of any incisions or other physical ailments that the patient may have. The foregoing must be under the guidance of the employing physician.
 3. Making individual rounds and placing progress notes in the medical record, which must be co-signed by the employing physician at the next hospital visit.
 4. Writing orders in the medical record which have been directly given by the employing physician and which must be countersigned by the employing physician at the next hospital visit.
 5. Setting up patients for monitoring.
 6. Performing History and Physical. Such History and Physical must be reviewed and countersigned by the employing physician at the next hospital visit. Information collected is to be presented to the employing physician prior to treatment based upon such information.
 7. Dictating discharge summaries which will then be reviewed and countersigned by the employing physician within the time frame specified in the Rules and Regulations of the Professional Staff.
 8. Participating in cardiopulmonary support after documenting training.
 9. Functioning as a first or second assist in the operating room on minor/major procedures under the supervision of the employing physician.
 10. Performing the following clinical procedures, after appropriate instruction and demonstration of proficiency under the direction and instruction of the employing physician:
 - a. Venipuncture;
 - b. Intradermal testing (delayed hypersensitivity only);
 - c. Electrocardiogram (not including interpretation);
 - d. Application and/or changing dressings and bandages;
 - e. Catheterization of urinary bladder;

- f. Application of casts or splints under the direction of the employing physician. Such application shall be made only after examination by the employing physician, and any necessary reduction by the employing physician;
 - g. Administration of medication and intravenous fluids upon the order of the employing physician;
 - h. Removal of superficial foreign bodies after consultation with the employing physician and under his/her direction;
 - i. Cardiopulmonary resuscitation;
 - j. Audiometry screening, to be presented to the employing physician;
 - k. Routine visual screening, to be presented to the employing physician;
 - l. Place peripheral IV catheters; and
 - m. Screening patients to aid the employing physician in determining need for further medical attention.
11. Performing developmental screening examination on children as relating to nervous, motor, and mental functions.
 12. Initiating requests for commonly performed initial laboratory studies.
 13. Collecting specimens for commonly performed blood counts, urinalysis, stool analysis, and cultures.
 14. Physician Assistants may perform specialized duties so long as they work only under the supervision of a physician who has been granted privileges to perform these procedures and can supervise their performance. These procedures may be performed only after appropriate instruction and after demonstration of proficiency under the direction of the employing physician. Procedures in this category may include:
 - a. Insertion of percutaneous central venous pressure lines and percutaneous arterial lines with supervision (immediate phone contact in hospital), after documentation of fifty directly supervised procedures each;
 - b. Insertion of Swan-Ganz catheters with direct supervision of employing physician (in immediate voice contact, not telephone);
 - c. Removal of chest tubes; and
 - d. Removal of intra-aortic balloon pump.
- C. Procedures prohibited in hospital.
1. Performing procedures for a practitioner who is not a member in good standing of the Medical Staff.

2. Performing endoscopic examination or procedures, or insertion of intrauterine devices; or performing spinal punctures, bone marrow aspirations, or liver biopsies; unless written approval to do so is received from the State Medical Board.
3. Diagnosing a disease or ailment, or the absence thereof, independent of the employing physician.
4. Prescribing any treatment or regimen not previously ordered by the employing physician for an individual patient.
5. Prescribing medication, signing or stamping prescriptions on behalf of the employing physician; possessing pre-signed prescription blanks; or dispensing or ordering medication.
6. Signing a physician's name for the purpose of authenticating prescriptions, orders, or records, or in any other situation in which the physician's signature would indicate approval.
7. Independently initiating or changing any orders on a patient's chart.
8. Maintaining an independent office.
9. Delegating a function assigned to the physician assistant by the employing physician.
10. Admitting or releasing patients independent of the employing physician.
11. Practicing as a Physician Assistant without the supervision and direction of a physician.
12. Performing any functions beyond those specifically set forth in the approved standard or supplemental physician assistant utilization plan.

D. Supervision by Physician

1. The Physician Assistant is to function at all times under the supervision and direction of a physician or physicians. Availability for consultation and direction of actions is sufficient. Personal presence of the employing physician is not required.
2. Only the physician or physicians who employ the physician assistant can supervise the assistant.
3. If the Physician Assistant ceases to be employed by a practitioner, the Physician Assistant may not perform any procedures in the hospital until such time as the Physician Assistant is employed by a practitioner who is a member in good standing of the Medical Staff.

E. Medical Staff Clinical Department Appointment.

A Physician Assistant shall be appointed to the Medical Staff Clinical Department to which his or her supervising physician is appointed.

III. SPEECH PATHOLOGISTS AND AUDIOLOGISTS.

(Source: Chapter 4753 of the Ohio Revised Code and Chapter 4753 of the Ohio Administrative Code)

A. Qualifications

Current Ohio speech language pathology or audiology license.

B. Procedures permitted in hospital.

1. The practice of audiology includes assessment of those with hearing balance or related disorders; planning, directing, supervision and conducting habilitative or rehabilitative programs for those with disorders of hearing or balance; planning and directing hearing conservation programs; hearing instrument selection, fitting and after care; administering and interpreting tests of hearing, balance and tinnitus, including neurophysiologic studies relating to hearing, balance and intraoperative monitoring.
2. The practice of speech language pathology includes planning, directing, supervising and conducting diagnostic or habilitative or rehabilitative interventional programs for individuals with disorders of communication including disorders and related disorders of speech, articulation, fluency, voice, oral and written language, oral pharyngeal or laryngeal sensorimotor competencies, mastication or deglutition, auditory or visual processing, memory or cognition and assisted augmentative communication treatment and devices.

C. Procedures prohibited in hospital.

The practice of medicine or surgery, or a task in the normal practice of medicine or surgery, even if delegated by a licensed physician.

D. Supervision by physician.

1. Supervision by a physician is not required; provided, however, that:
 - a. For inpatients, the members of the Medical Staff admitting such patients maintain the ultimate authority for supervision and management of the patients' care in the hospital; or
 - b. For outpatients, the members of the Medical Staff attending such patients maintain the ultimate authority for supervision and management of the patients' care in the hospital.
2. Speech pathologists and audiologists may care for inpatients in the hospital only under the order of a member of the Medical Staff.

E. Medical Staff Clinical Department Appointment.

Speech pathologists and audiologists shall be appointed to any clinical department wherein their services are being utilized.

IV. CERTIFIED NURSE PRACTITIONER.

(Source: Chapter 4723 of the Ohio Revised Code and Chapter 4723 of the Ohio Administrative Code)

- A. Qualifications.
 - 1. Current Ohio RN license.
 - 2. After September 10, 1997, current Ohio certificate of authority to practice as a certified nurse practitioner.
- B. Procedures permitted in hospital.

Providing preventive and primary care services and evaluating and promoting patient wellness within the nurse's nursing specialty practicing in accordance with the standard care arrangement entered into with collaborating members of the Medical Staff.
- C. Procedures prohibited in hospital.

Providing any functions beyond those specifically set forth in the certified nurse practitioner's standard care arrangement or not in accordance with the rules of the Board of Nursing.
- D. Supervision by physician.

The certified nurse practitioner is to function in collaboration with physician or podiatrist members of the Medical Staff.
- E. Medical Staff Clinical Department Appointment.

A certified nurse practitioner shall be appointed clinical Department to which his or her collaborating practitioner is appointed.

V. CLINICAL NURSE SPECIALIST.
(Source: Chapter 4723 of Ohio Revised Code and Chapter 4723 of the Ohio Administrative Code)

- A. Qualifications.
 - 1. Current Ohio RN license.
 - 2. After September 10, 1997, current Ohio certificate of authority to practice as a certified nurse specialist.
- B. Procedures permitted in hospital.
 - 1. Providing and managing the care of individuals and groups with complex health problems in collaboration with members of the Medical Staff as set forth in the standard care arrangement between the clinical nurse specialist and the collaborating member(s) of the Medical Staff.
 - 2. Providing health care services that promote, improve and manage health care within the clinical nurse specialist's nursing specialty.
 - 3. Providing patient assessment.
 - 4. Providing patient education to employer's patients regarding common medical problems under the guidance of employing physician.

5. Assisting in clinical studies.
6. Documenting in progress notes to be co-signed by the physician on his or her next hospital visit.
7. Providing routine instructions to patients at discharge according to employing physician's orders.
8. Making individual rounds, but all patients must be seen daily by the employing physician.
9. Setting up patients for monitoring, exclusive of invasive procedures.

C. Procedures prohibited in hospital.

Providing any functions beyond those specifically set forth in the clinical nurse specialist's standard care arrangement or not in accordance with rules of the Board of Nursing.

D. Supervision by physician.

The clinical nurse specialist is to function in collaboration with physician or podiatrist members of the Medical Staff.

E. Medical Staff Clinical Department Appointment.

Clinical nurse specialist shall be appointed to the Medical Staff Department to which his or her collaborating practitioner is appointed.

VI. REGISTERED NURSE FIRST ASSISTANTS IN SURGERY.

(Source: Chapter 4723 of the Ohio Revised Code and Chapter 4723-16 of the Ohio Administrative Code)

A. Qualifications.

1. Minimum of 24 months of preoperative nursing experience.
2. Certification as a certified nurse operating room.
3. Current Ohio RN license.
4. Successful completion of a course in preparing the Registered Nurse as a first assistant in surgery approved by an Ohio Board of Nursing approver, according to Rule 4723-16-01 of the Ohio administrative Code.
5. Certification as a registered nurse first assistant (recommendation of the Nursing Board not a requirement).
6. Current cardiopulmonary certification.

B. Procedures permitted in hospital.

1. Functioning as a member of the surgical team by first assisting in operating room on minor/major procedures with direct supervision by employing physician.

2. Performing tasks to assist the surgeon in performing a safe operation, including but not limited to:
 - a. Handling of tissue;
 - b. Providing exposure;
 - c. Using instruments;
 - d. Suturing;
 - e. Providing hemostasis; and
 - f. Closing tissue and skin.

C. Procedures prohibited in hospital.

1. Performing surgery, acting as a surgeon, holding one's self out as a surgeon, practicing medicine or holding one's self out as a physician;
2. Transplanting organs; and
3. Performing a surgical task or procedure which is the primary purpose for the surgery.

D. Supervision by physician.

A registered nurse first assistant shall function as a registered nurse first assistant only when under the direct supervision of the surgeon who is present in the operating room during surgery.

E. Medical Staff Clinical Department Appointment.

A registered nurse first assistant shall be appointed to the Clinical Department of Surgery.

VII. PERFUSIONISTS.

A. Qualifications.

Board certification or eligibility by the American Board of Cardiovascular Perfusion.

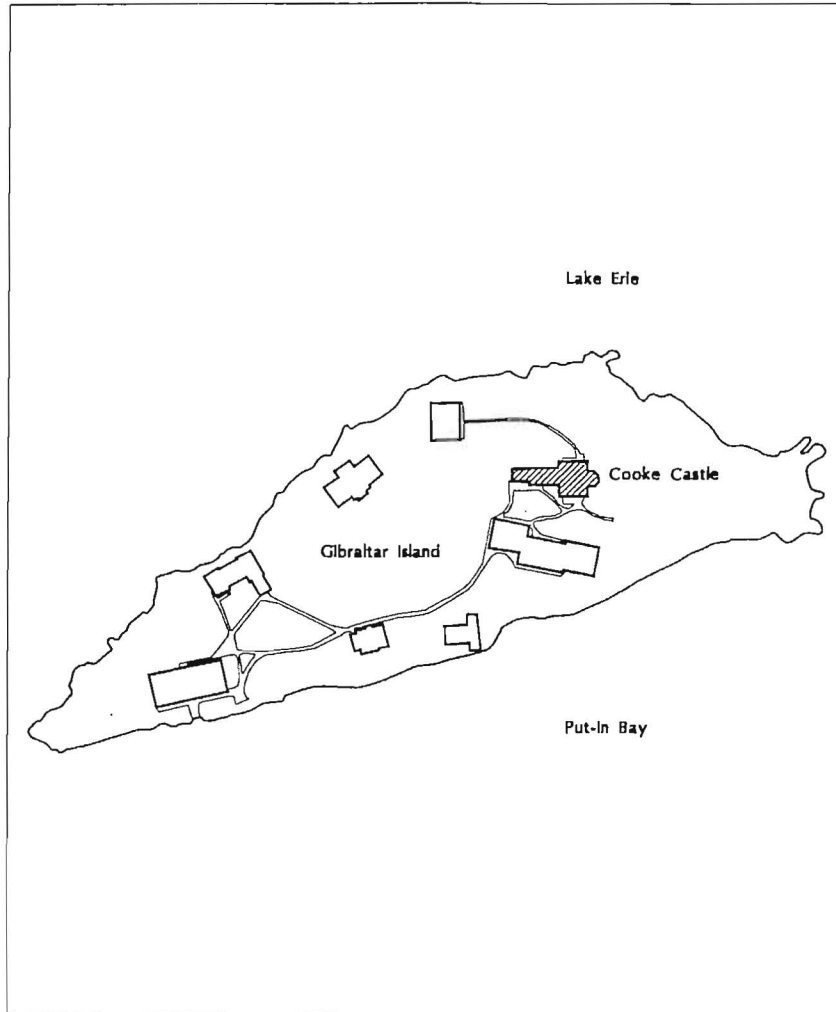
B. Procedures permitted in hospital.

1. Maintaining extracorporeal circulation/cardiopulmonary support (including bypass, cardiopulmonary support and extracorporeal membrane oxygenation);
2. Operating counterpulsation devices (including intra-aortic balloon pumps);
3. Operating ventricular assistance devices (including left ventricular assistance devices and right ventricular assistance devices);
4. Performing blood conservation techniques (autotransfusion utilizing the cell saver);

5. Administering cardioplegia solution;
 6. Performing anticoagulation and hematological monitoring and analysis;
 7. Performing physiologic monitoring;
 8. Performing blood gas and chemistry monitoring; (with lab supervision or special certification);
 9. Inducing hypothermia or hyperthermia, with reversal, including circulatory arrest;
 10. Performing hemodilution;
 11. Performing hemofiltration (ultrafiltration); and
 12. Administering medications, blood components and anesthetic agents via extracorporeal circuit.
- C. Procedures prohibited in hospital.
- Performing any functions other than those delineated in Article VIII, Section B above.
- D. Supervision by physician.
- The perfusionist shall be under the direct supervision of a member of the Medical Staff with privileges to perform the underlying procedure.
- E. Medical Staff Clinical Department Appointment.
- A perfusionist shall be appointed to Clinical Department of Surgery.

(APPENDIX XXXXIII)

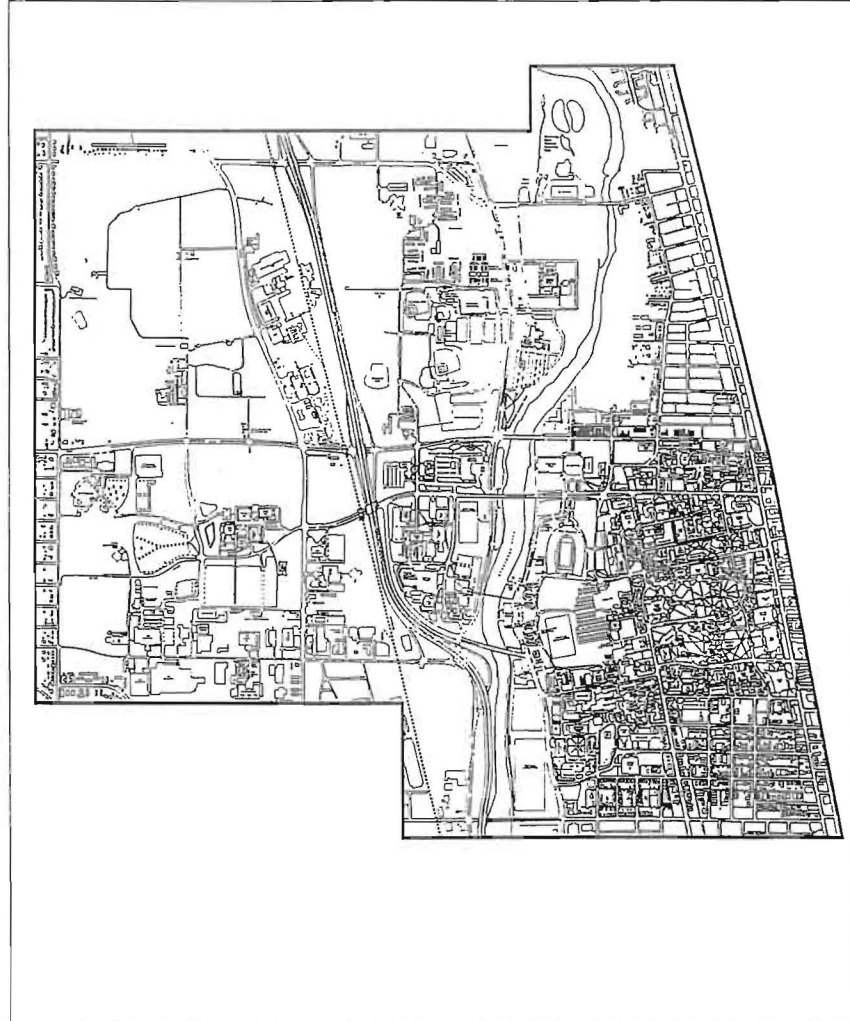
Cooke Castle Renovation



Office of Business and Finance
Office of the University Architect and Physical Planning
April 15, 1999



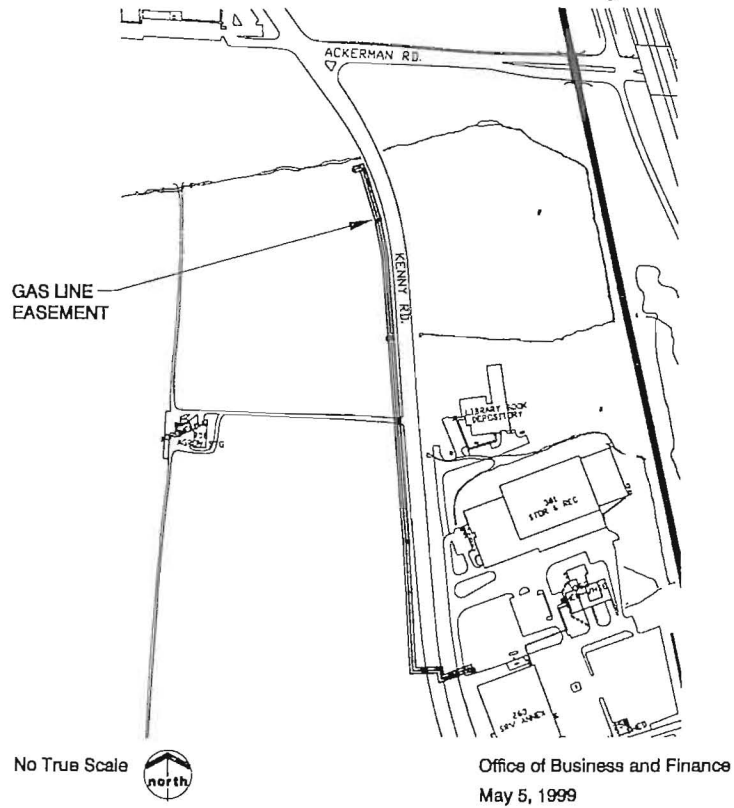
ADA Elevator Upgrades and Exterior Handrails



Office of Business and Finance
Office of the University Architect and Physical Planning
April 16, 1999



Gas Line Easement Renewal along Kenny Rd. to Service Building Annex The Ohio State University



Map Provided by University Engineer's Office

Summary of the Landscape Master Plan

Prepared by the Office of the University Architect and Physical Planning

Area:

The Landscape Master Plan focuses on an area, which roughly coincides with the Academic Core North District Plan boundaries (see attached map). The plan also addresses a number of important campus edges including Ackerman Road, Lane Avenue, Tenth Avenue, King Avenue, North Star Road, Kenny Road, State Route 315, and Neil Avenue.

Elements of the Plan:

Framework of the Plan

- Identifies uses established by the Long Range Concept Plan, and recommends improvements to the connections between the various uses and among the areas of the green reserve.
- Identifies all exterior spaces, categorizes them by use and character, and provides recommendations for the treatment of each type of space.
- Graphically portrays the recommendations of the plan, illustrating only one of many possible designs for each exterior space on campus.
- Strengthens the open space system through a series of proposed refinements to the Long Range Concept Plan and the previous District Plans.

Recommendations and Guidelines

- Provides campus wide recommendations, addressing common situations and issues such as:
 - Procedures for the review of site improvements
 - Maintenance and staffing issues
 - Recommendations for further detailed studies (signage, lighting and bicycle routes)
 - Art and memorial opportunities
 - Treatment of parking lots and on-street parking
 - Unifying the campus through common site materials and furnishings
 - Treatment of priority landscapesallowing the concepts of the plan to be applied to areas not specifically covered in the district.
- Provides design guidelines for the treatment of exterior space in the eighteen sectors identified in the Academic Core North Plan, as well as three additional areas; the North Residence Halls, the South Residence Halls, and Campus Edges.

Vegetation Analysis and Recommendations

- Summarizes the inventory of significant, landmark, historic and dedicated trees on campus.
- Provides recommendations for future tree planting, based on an analysis of the types of trees, which exist or occur naturally in the various ecosystems found on campus.
- Provides recommendations for street trees addressing form, color, texture ultimate size and density.
- Provides recommendations for establishing, protecting, maintaining and replacing healthy lawns and trees.

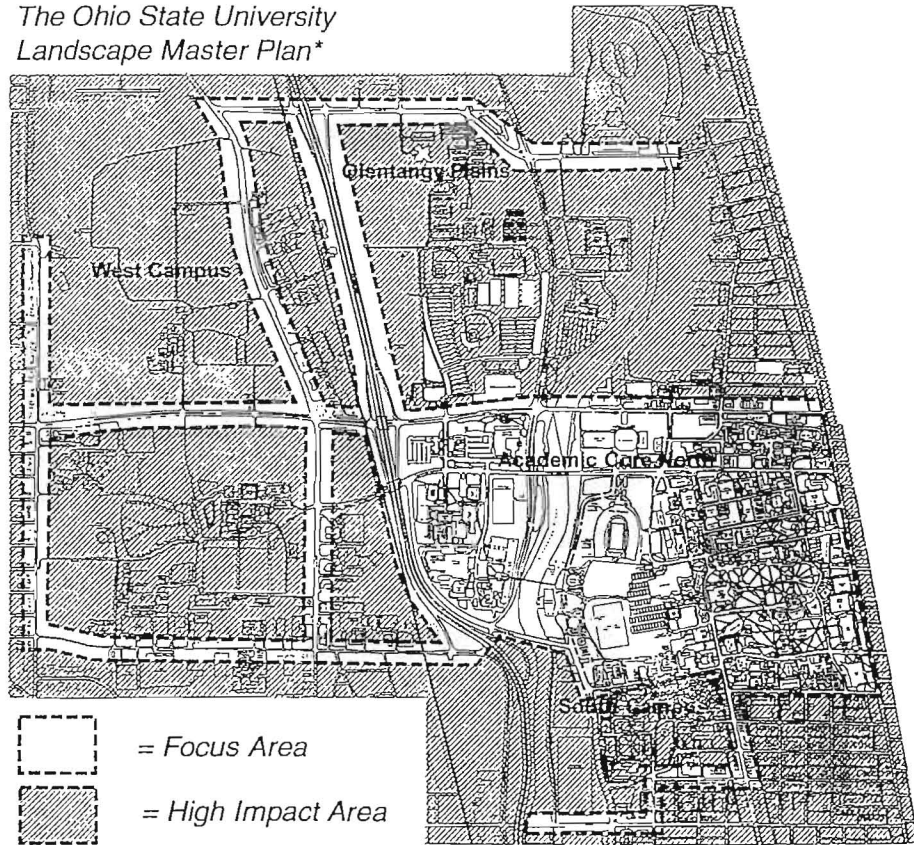
Landscape Improvement Projects

- Provides a prioritized list of freestanding landscape projects, which represent potential capital projects and excellent donor opportunities.

Standards, Details, and Specifications

- Specifies and details standard site treatments including materials, finishes, construction details, site furniture and plant maintenance recommendations in a Technical Appendix to be included in the University Design Standards.
Note: The technical appendix is intended to be a flexible, working document which can be updated as practices and standards improve. As such, it is not being proposed for approval by the Board of Trustees, but is available for review and comment.

*The Ohio State University
Landscape Master Plan**



**The Landscape Master Plan focuses on the Academic Core North area, but also addresses a number of important campus edges.*

(APPENDIX XXXVI)

OSU Board of Trustees
May 7, 1999

FY 2000 Compensation Recommendations

- I. Context
- II. Recommendations
- III. Impact

Office of Academic Affairs
Office of Business and Finance
Office of Human Resources

5/4/99

I. Context

- A. OSU salaries are generally competitive with the best public institutions, but our overall competitive status has eroded some over the last two years.
- B. The average faculty salary at OSU is 1.9% below that of our national benchmark institutions, and sixth out of ten in ranking (down from third).
- C. Staff competitiveness varies by occupational category; managers fare better than non-managers; office clerical and technical positions average 4% to 10% below market; those averages mask some key issues - for example, some "hot market" information technology positions are 25% or more below current market.
- D. The salary process has been merit driven since FY 1994. Colleges and vice presidential units have done a good job with the distribution of salary funds, differentiating for performance, market and equity; there are no entitlements.
- E. Fee increases and their effect on salary increases are now being reported annually.
- F. Rising health care costs are placing additional financial pressure on the University and its faculty and staff.
- G. Projections for the coming year, from competitive employers, show average salary increases of 4%.

NOTE: This is a summary of material presented at the April 6, 1999 Board of Trustees meeting.

II. Recommendations for FY 2000

- A. A budgeted salary increase pool of 4.0% of college and support unit personnel budgets for differential merit-based increases for faculty, staff and student employees effective 7/1/99. *Note: This means individual faculty, staff and student employees will receive more or less than the average increase, based on performance and equity.*
- B. No funds will be held back centrally.
- C. Continue to restructure health insurance benefits since that effort continues to produce savings for the University.
- D. The University Hospitals and employees under collective bargaining contracts have separate salary increase packages.

III. Impact of Recommendations

A. Cost of Recommended FY 2000 increases:¹

General Fund Main Campus	\$ 16.9 million
Total University	35.0 million

B. 26,000 employees affected (all campuses):

Faculty ² and staff ³	15,100
Students/temporary employees	10,000

Source: August 1998 Human Resources Payroll Data

¹ Includes salary and salary related benefits.

² Includes clinical, extension and libraries.

³ Includes hospital employees who are covered by a slightly different raise package; excludes approximately 3,000 staff covered by collective bargaining agreements.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND FIFTY-THIRD MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, June 4, 1999

The Board of Trustees met at its regular monthly meeting on Friday, June 4, 1999, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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June 4, 1999 meeting, Board of Trustees

The Chairman, Mr. Colley, called the meeting of the Board of Trustees to order on June 4, 1999, at 10:55 a.m. He requested the Secretary to call the roll.

Present: Michael F. Colley, Chairman, George A. Skestos, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Allyson M. Lowe, and Jaclyn M. Nowakowski. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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INTRODUCTION OF NEW TRUSTEES

Mr. Colley:

The Board of Trustees of The Ohio State University is appointed by the Governor. There are two student trustees appointed for two-year terms representing the undergraduate and graduate students and there are nine trustees appointed to nine-year terms.

This morning, it gives me great pleasure to introduce our two new trustees this morning. Jaclyn M. Nowakowski, of Broadview Heights, Ohio, is a junior majoring in psychology and an honors student and Tradition Scholar. She also serves as an Honors Ambassador and is a member of the Undergraduate Student Government.

Jaclyn is a member of Chimes Junior Honorary and served as president of Mirrors Sophomore Honorary last year. She was awarded a Presidential Leadership Citation this spring and is a recipient of the National Order of Battlefield Commissions scholarship.

Jaclyn was appointed by Governor Taft for a term that will end May 13, 2001. Ladies and gentlemen, Jaclyn Nowakowski.

Karen L. Hendricks, is chair of the board, chief executive officer, and president of the Baldwin Piano & Organ Company of Cincinnati, Ohio. Prior to joining Baldwin in November 1994, she was executive vice president and general manager of the Skin Care Division at The Dial Corporation in Phoenix, Arizona. Ms. Hendricks spent almost 21 years of her career at the Procter & Gamble Company, beginning in product development after graduating from The Ohio State University in 1971 with a B.S. in Chemical Engineering degree. In her last two years at Procter & Gamble, she was manager of Worldwide Strategic Planning for the Company's expanding global hair care business.

Karen has served as chair of the advisory committee for the Presidents Club at The Ohio State University; on the board of directors of the OSU Research Foundation; on the advisory committee for the Department of Chemical Engineering; and as a member of the Alumni Advisory Board to the president.

Governor Taft appointed Karen to a nine-year term -- ending May 13, 2008. Ladies and gentlemen, Karen Hendricks.

On behalf of the Board, we are delighted to welcome you both and look forward to working with you in the months ahead.

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COMMITTEE APPOINTMENTS FOR 1999-2000

Resolution No. 99-129

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 1999-2000 be approved as follows:

Support Staff

Educational Affairs Committee:

Edward J. Ray

George A. Skestos, Chair
Tamala Longaberger, Vice Chair
Daniel M. Slane
Allyson M. Lowe

Fiscal Affairs Committee:

William J. Shkurti

Zuheir Sofia, Chair
David L. Brennan, Vice Chair
Robert M. Duncan
James F. Patterson

Student Affairs Committee:

David Williams II

Tamala Longaberger, Chair
Robert M. Duncan, Vice Chair
Karen L. Hendricks
Jaclyn M. Nowakowski

Agricultural Affairs Committee:

Bobby D. Moser

Karen L. Hendricks, Chair
Fred L. Dailey, Vice Chair, Ex Officio
James F. Patterson
Jaclyn M. Nowakowski

Investments Committee:

William J. Shkurti
James L. Nichols

David L. Brennan, Chair
Daniel M. Slane, Vice Chair
Karen L. Hendricks
John G. McCoy (Foundation Board)
Frank Wobst (Foundation Board)

Affiliated Entities Committee:

Virginia M. Trethewey

Daniel M. Slane, Chair
Robert M. Duncan, Vice Chair
Zuheir Sofia
Allyson M. Lowe

Outreach & Engagement Committee:

Edward J. Ray
Bobby D. Moser

Michael F. Colley, Chair
Tamala Longaberger, Vice Chair
James F. Patterson
Allyson M. Lowe

COMMITTEE APPOINTMENTS FOR 1999-2000 (contd)

Planning Committee:	Janet G. Ashe John R. Kleberg Jill Morelli
Robert M. Duncan, Chair James F. Patterson, Vice Chair Daniel M. Slane Zuheir Sofia Jaclyn M. Nowakowski	
Government Relations Committee:	William J. Napier
James F. Patterson, Chair Daniel M. Slane, Vice Chair George A. Skestos Robert M. Duncan	
University Hospitals Board:	R. Reed Fraley
George A. Skestos, Chair Zuheir Sofia	
The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute:	David E. Schuller, M.D.
Zuheir Sofia, Chair Daniel M. Slane	
University Managed Health Care System, Inc. Board of Directors:	Manuel Tzagournis, M.D.
Robert M. Duncan	
Personnel Committee:	William J. Napier
Michael F. Colley, Chair George A. Skestos, Vice Chair David L. Brennan	
University Foundation Ex Officio Class of Directors:	Jerry A. May
Tamala Longaberger (1 year) Zuheir Sofia (2 years) James F. Patterson (3 years)	
Ohio State University Affiliates, Inc.:	William J. Napier
Michael F. Colley (1 year) George A. Skestos (2 years) David L. Brennan (3 years)	
Wexner Center Foundation Board:	Edward J. Ray Sherri M. Geldin
Leslie H. Wexner (2002) Tamala Longaberger (President's Appointee)	

COMMITTEE APPOINTMENTS FOR 1999-2000 (contd)

Inter-University Council William J. Napier

Daniel M. Slane

Research Foundation Board of Directors: William A. Baeslack III

David L. Brennan

Science and Technology Campus Board of Directors: William A. Baeslack III
James L. Nichols

George A. Skestos (1 year)

Regional Campus Boards: William J. Napier

Lima: Daniel M. Slane
Mansfield: James F. Patterson
Newark: Tamala Longaberger
Marion: Robert M. Duncan

Ad Hoc Committee on Capital Formation for Emerging Businesses (STC): Ora Smith

Zuheir Sofia
Daniel M. Slane
Karen L. Hendricks

Upon motion of Ms. Longaberger, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

Mr. Colley:

For the record, the chairs of the nine standing committees and the staff support for those committees are as follows:

Educational Affairs Committee:	George Skestos, chair Edward Ray, staff support
Fiscal Affairs Committee:	Zuheir Sofia, chair William Shkurti, staff support
Student Affairs Committee:	Tamala Longaberger, chair David Williams, staff support
Agricultural Affairs Committee:	Karen Hendricks, chair Bobby Moser, staff support
Investments Committee:	David Brennan, chair William Shkurti and James Nichols, staff support
Affiliated Entities Committee:	Dan Slane, chair Virginia Trethewey, staff support

The three new committees are: Outreach & Engagement, Government Relations, and Planning. Outreach & Engagement has historically been an important part of the committee structure at Ohio State, but it is even more important this year. At Ohio State, we have an enormous resource in our outstanding faculty and we need to bring that expertise front and center in the community. I will be chairing the Board's Committee on Outreach & Engagement this year and staffed by Edward Ray and Bobby Moser. Our objective will be to make the expertise of this University more available at all levels of government in the months ahead to assist in problem-solving for the betterment of the community.

COMMITTEE APPOINTMENTS FOR 1999-2000 (contd)

Mr. Colley: (contd)

The Planning Committee -- Ohio State is the flagship and our main campus is the crown jewel of higher education in Ohio. The Board of Trustees has a fiduciary duty to exercise oversight responsibility in the planning and development of all University properties to preserve and enhance them for future generations. Bob Duncan will chair this committee and Janet Ashe, John Kleberg, and Jill Morelli will provide staff support.

The University has a diverse constituency, including its Board of Trustees, administration, students, alumni, and faculty. To be effective in the public forum, we must speak with a single voice and with a coordinated and clear message. Toward that end, I have asked Jim Patterson and Bill Napier to serve as chair and staff support for our new Government Relations Committee. Their charge is to coordinate the Ohio State message.

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PRESIDENT'S REPORT

President William E. Kirwan:

Mr. Chairman, let me congratulate you on your first meeting as chair of the Board of Trustees. I also want to add my words of welcome to Karen Hendricks and Jaclyn Nowakowski as our newest members of the Board.

While we're speaking of new members to the Ohio State family, I want to introduce Lee Tashjian, who is joining the University today as Vice President for University Relations. I just want to say a few things about Lee.

First, he is a graduate of Ohio State and, as you would expect of a graduate of Ohio State, has gone on to have a very distinguished career in public relations, marketing, and communication. He has been the chief communication officer for three major American corporations.

Lee spent a number of years working at E.I. du Pont de Nemours and Company, and it was under his leadership that du Pont developed their famous slogan, "Better Things for Better Living." He left du Pont and went to California to work for the Fluor Corporation, and then left Fluor to work for ARCO, where he has been responsible for building the image of ARCO as the "environmentally-friendly energy company."

The issue for us at Ohio State is to align the reality and excellence of this University with the public perception. We could not have a more able person, he is a Buckeye at heart. He has been so successful in the private sector and is now ready to come back to his alma mater and bring that expertise to bear in helping us tell the real story of Ohio State throughout Ohio, and, indeed, around the world. The *pièce de résistance* to all of this is that his wife, Vicki, is also an Ohio State graduate.

Lee, would you please stand and let us all welcome you to The Ohio State University. He will begin his official duties on July 1, 1999, but he is already hard at work. I had a chance to spend some time out in California this past week, and he attended a number of events with me and made such a wonderful impression on all of our alumni and friends out there.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Today is the last day of classes for Spring Quarter and it has been an extraordinarily busy quarter. These last few weeks have been some of the most hectic of my life.

One of the highlights of Spring Quarter was the African-American Heritage Festival, which took place three weeks ago. We had literally thousands of students and young people come from all over the midwest for a marvelous weekend of cultural and social events. Through the exceptional effort of David Williams and his staff, faculty and staff across the campus, community leaders, and, most especially, ministers in the community came for this event. This was a highly successful event, and I think one that a lot of people will remember for some time.

Also during May, we had Asian Awareness Month. We had a full schedule of events, cultural and talent shows, films, and other activities highlighting the diverse Asian cultures.

May has been a month in which we have had yet further honors and recognition for our faculty, staff, and students. I participated, along with Ed Ray, in the Distinguished Diversity Enhancement Awards. These were awards presented to students, faculty, and staff who have been leaders and innovators in building a diverse community at Ohio State.

The winners this year were: Andrea Balas, a graduate student in education; Erica Estep, director of Drackett Tower residence hall; Samuel Hodge, assistant professor of physical activity and educational services; Michael Scott, associate professor of teaching and learning; and B. Li, associate professor of pediatrics. They all did different things to merit these awards, but there was a common element. It is their willingness to go beyond the expected to the exceptional. Reaching out, listening, responding, and helping us as a community better respect and react to people as valued individuals. I think this awards ceremony and the descriptions of what these individuals have done was an inspiration to all who were fortunate to be at the ceremony.

The Wexner Prize was given this year to a very remarkable artist, Louise Bourgeois, who is over 90 years old. At the ripe young age of 70, she was recognized as one of the great artists of our time. She has had an exhibit at the Metropolitan Museum of Modern Art and one of her sculpture pieces was just bought by the Hirshhorn Museum in the Smithsonian.

The Wexner Prize ceremony was remarkable too because the presentation was made in her apartment in New York City, but it was beamed live to a celebration at the Wexners' house, where many people had gathered to participate. They had a wonderful two-way interaction with Miss Bourgeois. Also, ten of our graduate students had a chance to spend the week with her and go to her studio in New York and learn about her work. It was a highly successful event.

We have had four students win prestigious NSF-sponsored Research Experience for Undergraduate awards this spring. Three are chemistry majors: John Antos, from Mentor, Ohio; Jeff Atwell, from Mount Vernon, Ohio; and Suma Dasari, from Westlake, Ohio. They will stay here this summer and work with Professors Gideon Frankel and Weldon Mathews.

I am especially pleased to say we had a mathematician, Jeff Clouse, from Youngstown, Ohio, win an NSF research award. He will be attending the College of William and Mary for his research experience. These are really very prestigious

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

awards, given out to some of the best young scientists in the nation, sponsored by NSF. These young people are clearly on the path to having very distinguished careers.

Two students won Pfizer Summer Research Fellowships: Jianwen Alex Feng, from Hilliard, Ohio, in Biochemistry; and John Freed, from Tallmadge, Ohio, in Chemistry. They will present their research findings in a symposium hosted by the Pfizer Corporation this fall.

We also recognized student athletes who had excelled in the classroom. It was a remarkable ceremony on the floor of the Schottenstein Center, with 294 student scholar-athletes -- 42 percent of all our athletes earned a 3.0 or higher GPA. That is a remarkable thing when you think of the time commitment these young people have.

They were recognized at a wonderful ceremony that our chairman attended, along with a number of other people.

This year the Phi Beta Kappa chapter had its largest class ever of initiates. One hundred seventy seniors and juniors were inducted this year into Phi Beta Kappa. We all know how demanding and what an honor it is to be elected to Phi Beta Kappa. It not only requires a high GPA, but requires a very broad, rigorous curriculum in order to be selected.

We had an undergraduate student win a very significant fellowship. Amanda Wass, a graduating senior from Medina, Ohio, has won one of the highly prestigious Howard Hughes Predoctoral Fellowship in Biological Sciences. Only 87 of these are awarded from more than 1,000 applicants around the country. She has a double major -- it always seems to happen that these extraordinary students have two majors -- in molecular genetics and philosophy. Amanda has completed an undergraduate thesis on the herpes simplex virus, with Professor of Molecular Genetics Deborah Parriss and will be going to Harvard Medical School's graduate program in virology next year.

There are two faculty awards that I want to recognize today also. Professor Gerald Gregorek, chair of the Department of Aerospace Engineering, Applied Mechanics, and Aviation, was elected a Fellow of the American Institute of Aeronautics and Astronautics. There were 30 people elected from around the world to this honor as Fellows this year, only six at American universities. So we are very proud of this recognition to Gerald Gregorek.

Finally, Mr. Chairman and members of the Board, I want to make a comment about our Distinguished University Professor. This is the highest honor that the University accords any faculty member at Ohio State. It is a very special award, highly prestigious, and it is given through a very rigorous, competitive process. To give you some sense of how special this award is, we have a faculty of some 2,800 and there are only 10 people who have been accorded this honor of Distinguished University Professor. We are about to increase that number from ten to eleven, because I want to introduce, along with Ed Ray's help, Dr. Gregory Caldeira, from the Department of Political Science.

Professor Caldeira received his bachelor's degree from Cal State and his master's and Ph.D. degrees from Princeton University. He is an extraordinarily prolific scholar and has written several prize-winning papers. Remarkably, he has had continuous funding from NSF for eight years. Now, I say it's remarkable -- it's one thing for a scientist or an engineer to get continuous funding from NSF -- but it is very difficult for social scientists to get funded every year for eight years from NSF.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

He is considered to be one of the nation's two or three most eminent distinguished scholars in the important area of public law and judicial politics.

I just want to comment on several of the letters written about him. I mention how rigorous this process is, because not only does it require recommendations internally -- from deans and department chairs -- but we also seek comments from the most renowned scholars in the world about these individuals before this award is given. Here are some of the things that were said about Professor Caldeira. One person wrote:

"I can think of only one other scholar in the nation of Greg's generation with a comparable record of productivity and impact."

Another wrote:

"His work has been extremely important not only to political scientists, but generally to members of the law community...I cannot think of anyone who has given so much to the study of legal institutions."

And a third wrote:

"It comes as no surprise to see a record of grant success on Caldeira's c.v. that is unequaled by any political scientist of my knowledge. The most eminent journals publish his work, the most difficult funding sources fund his research. This is distinction."

It makes us all enormously proud to know we have such a distinguished scholar on our faculty, but I am also pleased to tell you that he is a superb teacher. Students seek out his classes both at the graduate and undergraduate level, and you'll be pleased to know that he is always insisting upon teaching the introductory course for undergraduates in American politics. We are enormously proud to count Professor Caldeira among our faculty, and we are pleased to present him this morning for elevation to the title of Distinguished University Professor.

For the final words, I'd like to turn to Ed Ray.

PRESENTATION OF THE DISTINGUISHED UNIVERSITY PROFESSOR AWARD

Provost Edward J. Ray:

Thank you, Mr. President. As you pointed out, the Distinguished University Professor Award is the highest honor that the University can bestow upon one of our faculty members. Each year it is presented to faculty members who have been judged by their peers to represent the very best that this University has to offer. The recipients of this award embody the highest ideals of excellence in teaching, research, and service to the people of Ohio and the nation.

A committee of distinguished faculty members review nominations and nominate only those faculty members who have reached the very pinnacle of their profession. There is no set number of awards to be given each year and, as the President pointed out, we have one Distinguished University Professor Award winner this year out of a faculty of more than 2,800. The award carries with it an annual budget of \$10,000 for a period of three years to be used in support of

academic work.

PRESENTATION OF THE DISTINGUISHED UNIVERSITY PROFESSOR AWARD (contd)

Provost Ray: (contd)

In forwarding his nomination to us, the selection committee noted that Dr. Caldeira is an internationally distinguished political scientist. He is among the most eminent scholars in the fields of public law and judicial politics in the United States, has recently extended his inquiry to Europe, and enjoys high visibility in the legal profession and other disciplines. Through his research and professional leadership, Professor Caldeira played a critical role in bringing public law and judicial politics within the mainstream of political science.

Dr. Caldeira has a remarkable level of scholarly productivity, including a record number of articles in three of the most prestigious journals of political science. He currently serves as editor of *The American Journal of Political Science*, regarded by many as the discipline's top journal. He is renowned for training quality graduate students and, as President Kirwan noted, he regularly teaches at the introductory undergraduate level. In 1994, he received the University's Distinguished Scholar Award.

Dr. Kirwan and I heartily endorse the selection committee's nomination of Dr. Caldeira. Before I ask Dr. Caldeira to say a few words, we would like to show you this brief video.

[video presentation]

I'd like to point out to those of you who didn't recognize it at the time, the faculty who were shown at the beginning of this clip -- working and studying with their students -- were, in fact, former recipients of the Distinguished University Professor Award. That extraordinary ability to connect people of such excellence with students on a regular basis is really one of the distinctive features of this University of which we are very proud.

With us today are Dr. Paul Beck, Chair of the Department of Political Science, and Dr. Randall Ripley, Dean of the College of Social and Behavioral Sciences. I'd like to ask them to join Dr. Kirwan and me as we present the Distinguished University Professor Award to Dr. Caldeira. I'd also like to ask you to acknowledge this morning, Dr. Caldeira's wife Mary and their daughter Olivia, who are able to be here with us.

Dr. Caldeira, would you care to say a few words?

Dr. Gregory Caldeira:

First of all, I want to thank the Board of Trustees, President Kirwan, Provost Ray, my dean, Randall Ripley, my former dean, Joan Huber, my colleagues, Paul Beck and Richard Sisson, those on the selection committee this year, and, in particular, my wife and daughter, Mary and Olivia, who have supported me in all sorts of ways over the years in this venture.

What can I say, except that this award is breathtaking. I am ecstatic about it, it is a wonderful thing, and it gives me a tremendous feeling of satisfaction that I've received this recognition. Receiving it is all the more satisfying because it represents recognition from my colleagues at Ohio State and from around the nation, but it is humbling because I know the records of many of the people who have already received this honor. This is heady company indeed. So thanks to all of you for this award.

PRESENTATION OF THE DISTINGUISHED UNIVERSITY PROFESSOR AWARD (contd)

Dr. Caldeira: (contd)

This ceremony provides an occasion for reflecting a little on what faculty excellence means, what it entails, why it is important, what we are doing here at present to encourage it, and what we might do in the future. To me, faculty excellence means extraordinary performance in scholarship or creative activity as recognized by the most distinguished people around the United States and around the world, from institutions of the very first rank. It is performance that brings recognition and honor to the University and to the State of Ohio, and enhances the academic reputation of the University as a whole. It places a scholar at the very forefront of his or her field. It is performance that carries over into the classroom, to the lecture hall, to the labs, and in institutions where faculty excellence is plentiful, it creates a pervasive culture of excellence.

Scholarly distinction is only one facet of faculty excellence. Truly distinguished faculty make balanced contributions to the teaching, research, and service programs at the University. They bring scholarship into the classroom at all levels, they participate in the national and international professional life, and they play an important role in the governance of the University.

Teaching and service to the University are particularly important. What sets a comprehensive teaching and research university apart from research institutes and teaching colleges and universities is that, at places like Ohio State, research informs teaching, teaching informs research, and faculty, graduate students, and undergraduates are part and parcel of this tremendous enterprise.

University service is a critical component of faculty excellence, because no university becomes great or remains great without active participation by its most distinguished faculty in the central issues of governance within the institution. From hiring and promotion and tenure within departments to the setting of priorities and the allocation and reallocation of resources within colleges and across the university as a whole.

Why is it important to reward faculty excellence? I think it is important and really the assumption is, to have a great university you have to have a great faculty and great students. So why do we want a great university? One reason is utilitarian -- great teaching and research universities are engines of social and economic progress. Great public research and teaching universities are also engines of social mobility for many people from all strata of life to lift themselves up. A less utilitarian and, I think, just as important reason for having a great university is that Ohioans should expect and should receive no less than the best. They should want to be associated with excellence and with an institution in which their children can become knowledgeable, competent, and well-rounded citizens, prepared for the challenging life that will face us in this new century.

Getting and keeping excellent faculty is not an easy task, and it requires a pattern of incentives to do so. For the most part, faculty are motivated by the intrinsic value of what they do. They take enormous satisfaction in doing their research, creating knowledge, working with graduate students, and teaching undergraduates. But all of us want some external validation that those we care about appreciate what we do and that they can discern the difference between adequate and excellent performance.

The honor and respect that awards communicate are invaluable as motivations for faculty members. Moreover and, I think, just as importantly, awards for faculty excellence signal what is important to the University. That Ohio State has the Distinguished University Professors program and the Distinguished Scholars

PRESENTATION OF THE DISTINGUISHED UNIVERSITY PROFESSOR AWARD (contd)

Dr. Caldeira: (contd)

program tells faculty, students, alumni, and our other constituencies a great deal about what we place value on.

Also on the subject of what faculty members value, I would say they place a very high priority on being associated with a first-rate institution, with colleagues who are the best in their fields, and with talented graduate and undergraduate students of high intellectual caliber. Anything you can do to enhance the reputation of Ohio State, to recruit and retain excellent faculty, and graduate and undergraduate students is a benefit of the highest order to all members of the faculty here at Ohio State.

The University is already doing a number of things to reward faculty excellence. The awards for distinguished scholarship, teaching, and service are tremendous boosts in this regard. But there are many other programs in which faculty members compete for support for the research and teaching that nurture excellence all around the University.

I think two programs are particularly important: the Selective Investment and Academic Enrichment Programs are moving resources to places in the University where we can make the greatest strides in enhancing our academic reputation. From the perspective of a faculty member, I can't overemphasize their importance. They are a perfect example of what the best universities do, which is reallocate resources into excellent programs. We have very good programs poised to become excellent based on the evaluation of peers within the University, around the country and around the world. They provide a great incentive for faculty and departments to compete and excel.

We're already doing a good deal, but we need to do more. First, it's critical to continue what you've been doing, with awards for distinction and scholarship service and teaching, selective investment and academic enrichment, and various other competitive programs. But also to continue these programs in good times and bad.

Second, as I've already mentioned, one of the most important things to faculty members is the quality of the institution -- both in colleagues and in students. Other things being equal, faculty place a high value on being part of a great university and this carries great universities through good times and bad.

I have in mind not only departmental colleagues and colleagues around the University, but most importantly students. In my view, two of the most important things the Board and administration can do to recruit and retain faculty are: 1) to continue and intensify its efforts to bring more high quality undergraduates to Ohio State; and 2) to support efforts to enhance the quality of the undergraduate experience at Ohio State. This will pay tremendous dividends.

As it happens, I have a personal example of how you're doing this already. This past winter, a colleague and I received a grant from the honors program. The grant was funded through the Academic Enrichment Program to support a new honors course. This course -- which is called "Modern American Politics" -- focuses on ten important books about critical events in American politics from 1960 to the present, and analyzes it with a small group of students from the perspective of the discipline of political science. This is a big investment -- two full professors in a classroom with a small section of students -- but it's the kind of attention and experience that we owe to our students and that great universities give to their students. And it's

PRESENTATION OF THE DISTINGUISHED UNIVERSITY PROFESSOR AWARD (contd)

Dr. Caldeira: (contd)

an investment I think that is going to pay dividends. I hope you will continue to support this kind of program.

Now I wish I could say that doing these things is going to be easy, that enhancing the reputation of the University is easy, but it's not, and that's why so few universities achieve eminence. But what I've seen in my twelve years here at Ohio State, the signals we have gotten constantly from our administration and from the Board of Trustees, the big improvements in both the quality of the faculty and the students, and the energy and commitment of people at Ohio State, makes me think that we have a chance. Thank you.

Mr. Colley:

Thank you, Dr. Caldeira. As I look around the room, there are a few people that merit special introductions: the Director of the Department of Agriculture, Fred Dailey; and two former provosts of the University, Dr. Joan Huber and Dr. Richard Sisson.

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CONSENT AGENDA

President William E. Kirwan:

We have 16 resolutions on the consent agenda to present to the Board for approval. I would like to ask that #10 and #16 be held for a separate vote. Unless there are any objections, I would like to recommend these remaining 14 resolutions on the consent agenda:

REGIONAL CAMPUS BOARDS APPOINTMENTS AND REAPPOINTMENTS

Resolution No. 99-130

Synopsis: Approval of nominees to The Ohio State University-Mansfield, Marion, and Newark Campus Boards are proposed.

WHEREAS the Board of Trustees on April 8, June 3 and July 8, 1994, approved the establishment of The Ohio State University-Mansfield, Marion, and Newark Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointment and reappointment to the Regional Campus Boards for the terms specified:

Mansfield Board Student Appointment

Nichole M. Witchey, 1-year term

Mansfield Board Reappointments

Anne Epperson, 3-year term
James E. Meyer, 3-year term
Wayne Walston, 3-year term

REGIONAL CAMPUS BOARDS APPOINTMENTS AND REAPPOINTMENTS (contd)

Marion Board Student Appointment

Curtis L. Tuggle, 1-year term

Newark Board Appointment

Paddy Kutz, 3-year term

Newark Board Reappointments

Eugene Branstool, 3-year term
Charles F. Sinsabaugh, 3-year term

Neward Board Student Appointment

Eric Cameron, 1-year term

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Mansfield, Marion, and Newark Boards, respectively, effective July 1, 1999.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 99-131

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on May 8, 1999:

Amended Rules

3335-5-05 Procedures concerning faculty complaints about promotion, tenure and renewal decisions.

(A) Unchanged.

(B) The committee on academic freedom and responsibility.

(1) Unchanged.

(2) UPON RECEIPT OF A WRITTEN COMPLAINT ALLEGING IMPROPER EVALUATION, THE COMMITTEE SHALL HAVE SIXTY DAYS TO REVIEW THE COMPLAINT, AND EVIDENCE RELATING TO IT (INCLUDING EVIDENCE ON BEHALF OF THE ACADEMIC UNIT) TO DETERMINE WHETHER REASONABLE AND ADEQUATE GROUNDS EXIST FOR ASSERTING IMPROPER EVALUATION.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- ~~(2)~~
(3) By means of informal procedures, the committee shall review the complaint, and evidence relating to it (including evidence on behalf of the academic unit) to determine whether reasonable and adequate grounds exist for asserting improper evaluation.
- ~~(3)~~
(4) If the committee determines that no reasonable and adequate grounds exist for asserting improper evaluation, it shall dismiss the complaint and forward all files on the matter to the executive vice president and provost.
- ~~(4)~~
(5) If the committee determines that reasonable and adequate grounds may exist for asserting improper evaluation, it shall forward the complaint AND ALL ADDITIONAL MATERIALS GATHERED DURING EXAMINATION OF THE COMPLAINT to the faculty hearing committee AND TO THE COMPLAINANT, established pursuant to rule 3335-5-489 of the Administrative Code.
- (6) IF THE COMMITTEE IS UNABLE TO REACH A DECISION IN THE ALLOTTED SIXTY DAYS, THE COMPLAINT SHALL BE FORWARDED TO THE FACULTY HEARING COMMITTEE.

(C) The faculty hearing committee.

- (1) UPON RECEIPT OF A COMPLAINT ALLEGING IMPROPER EVALUATION, THE CHAIR OF THE FACULTY HEARING COMMITTEE SHALL MEET WITH THE COMPLAINANT TO DISCUSS THE ISSUES IN THE COMPLAINT AND TO INFORM THE COMPLAINANT ABOUT THE PROCEDURES TO BE FOLLOWED IN THE HEARING.
- ~~(1)~~
(2) ~~Upon receipt of a complaint alleging improper evaluation, the~~ THE faculty hearing committee shall select a hearing panel according to the method provided in rule 3335-5-4810 of the Administrative Code, to conduct proceedings in order to determine whether there is validity in the complaint.
- ~~(2)~~
(3) Actions being challenged under this rule shall be responded to by the executive vice president and provost or designee.
- ~~(3)~~
(4) In matters involving allegations under paragraph (A)(3)(b) of this rule, the hearing panel shall conduct its proceedings in a collegial manner in accordance with the following guidelines:
- (a) through (e) unchanged.
- ~~(4)~~
(5) In matters involving complaints under paragraph (A)(3)(a) of this rule, the hearing panel shall conduct an investigatory proceeding in accord with the following guidelines:
- (a) The proceeding shall not be ~~adversary~~ ADVERSARIAL in nature. The proceeding is an investigation leading to a report on whether or not adequate consideration was accorded.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

(b) through (d) unchanged.

~~(5)~~

(6) At the conclusion of a hearing, the hearing panel shall:

(a) and (b) unchanged.

~~(6)~~

(7) If a decision is remanded under paragraph ~~(C)(5)(b)~~ (C)(6)(b) of this rule, it shall be reconsidered promptly. Within thirty days of the receipt of the hearing panel's decision, the executive vice president and provost shall respond in writing to the hearing panel and the president, stating what action has been taken and the reasons therefor.

~~(7)~~

(8) All findings and recommendations of a hearing panel shall be made in writing and a copy shall be provided to the complainant.

(D) The president.

(1) Upon receipt of a report under paragraph ~~(C)(6)~~ (C)(7) of this rule, the president shall review the matter and take whatever action the president deems appropriate.

(2) All decisions of the president under this rule shall be provided in writing to the hearing panel and the complainant. (B/T 5/2/75, B/T 6/7/84, B/T 5/1/86, B/T 11/2/90, B/T 5/3/96, B/T 4/4/97, B/T 12/4/98, B/T 6/4/99)

3335-5-484 Council on student affairs.

(A) Membership.

The council on student affairs shall consist of ~~nineteen~~ TWENTY members.

(1) Unchanged.

(2) ~~Eight~~ NINE students.

(a) through (c) unchanged.

(d) One student from a regional campus selected ~~jointly~~ by the undergraduate student government ~~and the council of graduate students~~. The term of service is one year.

(e) ONE GRADUATE OR PROFESSIONAL STUDENT APPOINTED ON AN ANNUALLY ROTATING BASIS BY THE COUNCIL OF GRADUATE STUDENTS AND THE INTER-PROFESSIONAL COUNCIL. THE TERM OF SERVICE IS ONE YEAR.

(3) Three staff members, appointed by the vice president for student and urban/community affairs, ~~non-voting~~.

(a) One NON-VOTING student affairs staff member to act as the secretary for the council. The term of service is one year.

(b) unchanged.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (c) A college office student affairs staff member. THE TERM OF SERVICE IS ONE YEAR.
- (4) Unchanged.
- (5) The director of student life ACTIVITIES OR DESIGNEE, non-voting.
- (6) Alternates.

~~Student members of the council on student affairs may have an alternate.~~ EACH STUDENT CONSTITUENCY GROUP (USG, CGS, IPC) OF THE COUNCIL ON STUDENT AFFAIRS MAY HAVE ONE ALTERNATE. The alternate shall be selected in the same manner as the original appointment. ~~If the member has voting status, the~~ THE CHAIR HAS RESPONSIBILITY FOR RECORDING CORRECT VOTING STATUS. ~~THE~~ alternate may vote in the event of ~~the member's absence~~ AN ABSENCE BY A MEMBER OF THE ALTERNATE'S STUDENT CONSTITUENCY.

(B) Duties and responsibilities.

- (1) through (4) unchanged.
- (5) Advise other university departments and administrative units regarding proposed changes in ~~the university's "Operating Manual"~~ WITHIN THOSE DEPARTMENTS AND UNITS that may affect student rights and responsibilities.
- (6) and (7) unchanged.

Balance unchanged.

~~3335-5-4815 Committee on traffic, parking, and public safety~~ COUNCIL ON THE PHYSICAL ENVIRONMENT.

(A) Membership.

~~The committee on traffic, parking, and public safety~~ THE COUNCIL ON THE PHYSICAL ENVIRONMENT shall consist of ~~nineteen~~ THIRTEEN VOTING members AND THREE EX-OFFICIO, NON-VOTING MEMBERS.

- (1) ~~Six~~ SEVEN regular faculty ~~selected by the faculty council~~ MEMBERS. The term of service is three years.
 - (a) FIVE REGULAR FACULTY MEMBERS SELECTED BY THE FACULTY COUNCIL.
 - (b) TWO REGULAR FACULTY MEMBERS APPOINTED BY THE PRESIDENT.
- (2) Four students. THE TERM OF SERVICE IS ONE YEAR.
 - (a) One graduate student ~~appointed~~ SELECTED by the council of graduate students. ~~The term of service is one year.~~
 - (b) One professional student ~~appointed~~ SELECTED by the inter-professional council. ~~The term of service is one year.~~
 - (c) Two undergraduate students ~~appointed,~~ ONE REPRESENTING ON-CAMPUS STUDENTS AND ONE REPRESENTING OFF-CAMPUS STUDENTS, SELECTED

by the undergraduate student government. ~~The term of service is one year.~~
AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (3) ~~Two staff members (eligible for only "B" parking permits)~~ appointed by the steering committee UPON THE RECOMMENDATION OF THE STAFF ADVISORY COMMITTEE. The term of service is three years.
- (4) ~~Three administrators, appointed by the president EX-OFFICIO, NON-VOTING. The term of service is three years.~~
- (5) ~~Four members, non-voting.~~
 - (a) The ~~assistant~~ vice president for business and ~~administration or designee~~ FINANCE.
 - (b) The ~~assistant~~ SENIOR vice president ~~—university architect~~ FOR BUSINESS AND FINANCE or designee.
 - (c) The ~~director of the division of traffic and parking~~ EXECUTIVE VICE PRESIDENT AND PROVOST OR DESIGNEE.
 - (d) ~~The director of the office for disability services or designee.~~

(B) Duties and responsibilities.

- (1) ~~Be responsible for hearing grievances, criticism, and other testimony pertaining to traffic, parking, and public safety. TAKE A BROAD AND ENCOMPASSING PERSPECTIVE ON THE PHYSICAL ENVIRONMENT OF THE UNIVERSITY AS IT AFFECTS THE ACADEMIC ENTERPRISE AND QUALITY OF LIFE FOR THE UNIVERSITY COMMUNITY. PROPOSE POLICIES, REVIEW AND RECOMMEND ACTION REGARDING PROPOSED MAJOR PROJECTS, AND CONSULT WITH UNIVERSITY ADMINISTRATION ABOUT MATTERS WITHIN ITS DOMAIN.~~
 - (a) TEACHING AND LEARNING ENVIRONMENT; ADEQUATE CLASSROOM AND TEACHING LABORATORY SPACE; PRIORITIES FOR NEW CONSTRUCTION TO ADVANCE THE ACADEMIC ENTERPRISE; AND PRIORITIES FOR RENOVATION OF ACADEMIC FACILITIES, ESPECIALLY CLASSROOMS AND TEACHING LABORATORIES.
 - (b) MOVEMENT AROUND CAMPUS; POLICIES AND PROJECTS TO EASE MOVEMENT AROUND CAMPUS BY ALL RELEVANT MEANS, INCLUDING BUT NOT LIMITED TO PEDESTRIAN, BICYCLE, MOTORIZED TRAFFIC, AND MASS TRANSPORTATION; POLICIES AND PROPOSALS CONCERNING PARKING, PUBLIC SAFETY INCLUDING INSTITUTIONAL SECURITY, FIRE SAFETY, RISK REDUCTION, AND PERSONAL SAFETY.
 - (c) THE CAMPUS AND THE UNIVERSITY DISTRICT ENVIRONMENT; ACTIVITIES UNDERTAKEN TO FACILITATE CAMPUS MASTER PLANNING AND TO IMPLEMENT THE PLANS ADOPTED; INITIATIVES UNDERTAKEN TO ADVANCE THE OBJECTIVES OF IMPROVING CONDITIONS IN THE UNIVERSITY DISTRICT, AND ACTIVITIES OF AFFILIATED ENTITIES TO PROMOTE THESE INITIATIVES.
- (2) ~~Recommend through appropriate administrative channels, and to the ITEMS FOR senate ACTION, ways and means for improving traffic and parking conditions, and the administration and enforcement of traffic, parking, and public safety regulations and laws~~ REVIEW MATTERS WITHIN ITS PURVIEW, HEAR PERIODIC REPORTS FROM RELEVANT UNIVERSITY ORGANIZATIONS AND ADMINISTRATIVE OFFICES, AND REPORT ANNUALLY TO THE SENATE.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- ~~(3) Review, prior to their formal adoption and codification, proposed traffic, parking, and public safety rules and written operational procedures that have an effect on the users of these services. These shall include rules, policies, and university-wide procedures dealing with traffic, parking, personal security, and safety. These shall not include policies relating to the internal operations of university public safety divisions or those issues requiring specific legal, medical, law enforcement, or other expertise.~~
- ~~(4) Hold at least one publicized annual open hearing to receive information from interested parties with concerns about traffic, parking, and public safety.~~
- ~~(5) Hold such special hearings as the committee considers necessary when concerns about public safety, traffic, and parking arise in the university community. Reports of such special hearings shall be promptly forwarded through appropriate channels and to the senate.~~

(C) Organization.

- (1) The committee shall elect a ~~chair~~ CHAIRPERSON from among its voting members. A ~~chair~~ CHAIRPERSON shall serve a one-year term, and no more than one additional one-year term if reelected.
- (2) As a standing committee of the senate, this ~~committee~~ COUNCIL is also governed by the provisions of rules 3335-5-46 and 3335-5-48 of the Administrative Code. (B/T 5/1/86, B/T 2/4/94, B/T 11/4/94, B/T 2/3/95, B/T 6/2/95, B/T 5/3/96, B/T 6/4/99)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

HONORARY DEGREE

Resolution No. 99-132

Synopsis: The awarding of an honorary degree to Jules B. LaPidus is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

Jules B. LaPidus

Doctor of Humane Letters

NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipient.

DEGREES AND CERTIFICATES - SPRING QUARTER COMMENCEMENT

Resolution No. 99-133

Synopsis: Approval of Degrees and Certificates for Spring Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on June 11, 1999, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 99-134

RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the May 7, 1999 meeting of the Board, including the following Appointments/Reappointments, Appointment/Reappointment of Chairpersons and Director, Reappointment of Principal Administrative Official, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles as detailed in the University Budget be approved and the Medical Staff Appointments (The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute) approved March 19, 1999, by The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute Board be ratified.

Appointments

Name: GREGORY A. CALDEIRA
Title: Distinguished University Professor
Department: Political Science
Effective: June 4, 1999

Name: LEE C. TASHJIAN, JR.
Title: Vice President for University Relations
Office: University Relations
Effective: July 1, 1999
Present Position: Vice President, Communications and Public Affairs, Atlantic Richfield Company, Los Angeles, CA

Reappointments

Name: JACK A. BOULANT
Title: Professor (Fred A. Hitchcock Professorship in Environmental Physiology)
Department: Physiology
Term: July 1, 1999 through June 30, 2003

PERSONNEL ACTIONS (contd)

Reappointments (contd)

Name: SAMUEL CATALAND
Title: Professor (The Ralph W. Kurtz Chair in Hormonology)
Department: Internal Medicine
Term: July 1, 1999 through June 30, 2003

Name: ROBERT J. FASS
Title: Professor (The Samuel Saslaw Professorship in Infectious Diseases)
Department: Internal Medicine
Term: July 1, 1999 through June 30, 2003

Name: JAY D. IAMS
Title: Professor (The Frederick P. Zuspan, M.D. Professorship in Obstetrics and Gynecology)
Department: Obstetrics and Gynecology
Term: July 1, 1999 through June 30, 2003

Name: PHILIP R. JOHNSON
Title: Professor (The Henry G. Cramblett Chair in Medicine)
Department: Pediatrics
Term: July 1, 1999 through June 30, 2003

Name: CARL V. LEIER
Title: Professor (The James W. Overstreet Chair in Cardiology)
Department: Internal Medicine
Term: July 1, 1999 through June 30, 2003

Name: WILLIAM L. SMEAD
Title: Associate Professor (The Luther M. Keith Professorship in Surgery)
Department: Surgery
Term: July 1, 1999 through June 30, 2003

Reappointment of Chairpersons and Director

July 1, 1999 through June 30, 2001

Civil and Environmental Engineering
and Geodetic Science

Keith W. Bedford

July 1, 1999 through June 30, 2003

Chemical Engineering
Computer and Information Science
Electrical Engineering
Nisonger Center

Liang-Shih Fan
Stuart H. Zweben
Yuan F. Zheng
Steven Reiss

Reappointment of Principal Administrative Official

FERDINAND A. GEIGER, Director of Athletics and Assistant Vice President for Student Affairs, effective July 1, 1999, through June 30, 2004, pursuant to rule 3335-3-17 of the Administrative Code.

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary

MICHAEL P. TALAGRAND, Professor, Department of Mathematics, Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000, to work on research devoted to the topic of Spin Glasses at the University of Paris VI and at Ecole Normale in Paris, France.

KIRK L. PHILIPICH, Assistant Professor, Department of Accounting and Management Information Systems (Mansfield Campus), effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000, to work on research projects and teach at Vanderbilt University.

SONYA D. WILLIAMS-STANTON, Assistant Professor, Department of Finance, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000, to accept a visiting assistant professorship at the University of Maryland - College Park.

Leaves of Absence Without Salary--Continuation

NANDINI RAGHAVAN, Assistant Professor, Department of Statistics, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000, to continue work with a Statistics Research Group, AT&T Labs-Research in New Jersey.

Professional Improvement Leaves

ROGER D. BLACKWELL, Professor, Department of Marketing, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

RICHARD S. HARNED, Professor, Department of Art, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

R. WILLIAM LIDDLE, Professor, Department of Political Science, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

MELANIE BALES, Associate Professor, Department of Dance, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

MARY JO BOLE, Associate Professor, Department of Art, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2000.

JOHN W. DIMMICK, Associate Professor, School of Journalism and Communication, effective Autumn Quarter 1999.

ANGELIKA R. GERBES, Associate Professor, Department of Dance, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

ANNE M. MORGANSTERN, Associate Professor, Department of History of Art, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

GARY P. KENNEDY, Associate Professor, Department of Mathematics (Mansfield Campus), effective Autumn Quarter 1999.

KRISTEN SELLGREN, Associate Professor, Department of Astronomy, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000.

Professional Improvement Leaves--Change in Dates

PAUL C. NUTT, Professor, Department of Management Sciences, change leave from Winter Quarter, Spring Quarter, and Autumn Quarter 2000, to Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

PERSONNEL ACTIONS (contd)

Emeritus Titles

STANLEY P. BALCERZAK, Department of Internal Medicine, with the title Professor Emeritus, effective July 1, 1999.

JOHN J. KENNEDY, Department of Educational Policy and Leadership, with the title Professor Emeritus, effective July 1, 1999.

ERNEST L. MAZZAFERRI, Department of Internal Medicine, with the title Professor Emeritus, effective July 1, 1999.

MARJORIE A. CAMBRE, Department of Educational Policy and Leadership, with the title Associate Professor Emeritus, effective May 1, 1999.

HENRY L. MARR, School of Music, with the title Associate Professor Emeritus, effective July 1, 1999.

FREDERICK D. MEYERS, Department of Civil and Environmental Engineering and Geodetic Science, with the title Associate Professor Emeritus, effective July 1, 1999.

Medical Staff Appointments (The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute)

February 1999/March 1999

Initial Appointments -- Faculty

Daniel M. Clinchot, M.D., Physical Medicine and Rehabilitation
Samir Kahwash, M.D., Pathology/Hematology
Susan Sawhney-Amazan, M.D., Anesthesiology
Elizabeth Wallen, M.D., Associate Attending, Anesthesiology
Alan J. Kover, M.D., Associate Attending, Anesthesiology
Saul Suster, M.D., Associate Attending, Pathology
Michael Burgin, M.D., Associate Attending, General Internal Medicine
James Schaffer, M.D., Clinical Attending, Pulmonary
Steven Clinton, M.D., Ph.D., Attending, Hematology and Oncology
William F. Miser, M.D., Associate Attending, Family Medicine

Initial Appointments -- Community

Sally Abbott, M.D., Community Associate, Family Practice
Joseph M. Morman, M.D., Community Associate, Family Practice
Richard Potts, M.D., Community Associate, Family Practice
John Sutter, M.D., Community Associate, Family Practice
John Weinstein, M.D., Community Associate, Infectious Disease/Internal Medicine
Thomas Williams, M.D., Emergency Medicine
Timothy W. Hickerson, M.D., Community Associate, Internal Medicine
Daniel S. Bendetowicz, M.D., Community Associate, Internal Medicine
Elizabeth Marsh, M.D., Internal Medicine
Marvin M. Narcelles, M.D., Community Associate, Internal Medicine
Pius Kurian, M.D., Community Associate, Internal Medicine
Ajaz Umerani, M.D., Community Associate, Internal Medicine
Kumar Mukerjee, M.D., Community Associate, Internal Medicine
Talal R. Zraik, M.D., Community Associate, Internal Medicine
Trent Carroll, M.D., Community Associate, Ophthalmology
Jeffrey L. Kearfott, M.D., Community Associate, Ophthalmology
Jerry K. Shell, M.D., Community Associate, Ophthalmology

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and the Richard J. Solove Research Institute) (contd)

February 1999/March 1999 (contd)

Initial Appointments -- Community (contd)

Azeem S. Haleem, M.D., Community Associate, Urology
Ranjit Reggie Singh, M.D., Community Associate, General Surgery
William O. Smith, M.D., Community Associate, Neurosurgery
Paul P. Chu, M.D., Community Associate, Anesthesiology

Provisional to Full Appointments -- Faculty

Michael Cram, M.D., Associate Attending, Surgery
Jeffrey Eschbach, M.D., Clinical Attending, Medicine
Ruairi Fahy, M.D., Associate Attending, Medicine
Mark Gerhardt, M.D., Associate Attending, Anesthesiology
Guido Marcucci, M.D., Attending, Medicine
Nestor Narcelles, M.D., Associate Attending, Anesthesiology
Gregory Otterson, M.D., Attending, Medicine
Rodney Pozderac, M.D., Associate Attending, Radiology
Manjunath Vadmal, M.D., Associate Attending, Pathology
Louis Violi, M.D., Associate Attending, Medicine

RESOLUTIONS IN MEMORIAM

Resolution No. 99-135

Synopsis: Approval of three resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey copies to the family of the deceased.

John G. Boutselis, M.D.

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 17, 1999, of Dr. John Boutselis, Professor Emeritus in the College of Medicine and Public Health.

Dr. Boutselis was born to George and Mary Boutselis in Lowell, Massachusetts. He attended St. Anselms College in Manchester, New Hampshire, on a basketball scholarship. He joined the Navy V-12 program and attended St. Louis University Medical School, receiving a medical degree in 1947. During the Korean Conflict, he was commissioned a captain in the Medical Corps and was stationed in West Point, New York, Ryukyus Command (Okinawa), and Ft. Belvoir, Virginia.

He completed residency training in obstetrics and gynecology at The Ohio State University Hospitals in 1957. Dr. Boutselis joined the faculty in 1958, and subsequently authored numerous journal articles and textbook chapters. He was a nationally recognized physician and a member of numerous professional societies. Dr. Boutselis was awarded many research grants, including five grants from the National Institutes of Health. He took a special interest in teaching students and residents and was the recipient of several teaching awards and honors. For many years, Dr. Boutselis was the chair of Obstetrics and Gynecology and the Residency Program Director at Mt. Carmel Health. In 1990, Dr. Boutselis was named Professor Emeritus at The Ohio State University and remained an active faculty member.

RESOLUTIONS IN MEMORIAM (contd)

John G. Boutselis, M.D. (contd)

Dr. Boutselis and his wife, Betty, established the John G. Boutselis, M.D., Chair Fund in Gynecology in 1974. Income from this fund will support a chair in gynecologic oncology in the Department of Obstetrics and Gynecology.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. John G. Boutselis its deepest sympathy at their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Frederick Stecker

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 15, 1999, of Frederick Stecker, Executive Director Emeritus of University Relations.

Mr. Stecker was an esteemed civic leader, philanthropist, and educator who devoted nearly his entire professional career to Ohio State, along with a considerable amount of his personal effort, talents, and resources. Except for his service in the U. S. Navy, Mr. Stecker served this University continuously from 1936 to 1971, and continued his support and volunteer involvement from that time until his death this year. His extensive contributions to the life of this University continue to impact Ohio State daily.

Frederick Stecker grew up near Mansfield, Ohio. He graduated from Culver Military Academy in Culver, Indiana, and received his B.A. in English from Ohio State in 1933. He returned to Ohio State to begin a long career of dedicated service to his alma mater, beginning as Assistant Dean of Men in 1936. He was the principal planner of the Ohio Union, and served as the facility's Director from 1946-1956. He was coordinator of Ohio State's University Centennial Celebration from 1969-1971, and served as Executive Director of University Relations at Ohio State.

Mr. Stecker founded and was the first director for the George Wells Knight International House at Ohio State, an organization dedicated to serving foreign students. The Terrace Lounge in the Ohio Union was renamed in his honor in 1986, in recognition of his many contributions to that facility and to the University. He was a member of the National Campaign Committee for The Ohio State University from 1985-1990, helping to guide and support the University's capital campaign, which eventually raised over \$460 million. He was a lifetime member of The Presidents Club, and he received the University's Distinguished Service Award in 1973.

Mr. Stecker served with the U.S. Navy in the Pacific during World War II, reaching the rank of Commander, then served as an officer with the Naval Reserve, retiring in 1951. He and his late wife Virginia, whom he married in 1937, worked together on behalf of many community and civic activities.

A devoted volunteer, Mr. Stecker was awarded the American Red Cross' 60-year pin in 1991. He was a member of the Columbus Rotary Club, serving terms as president and district governor. He was elected a Paul Harris Fellow and in 1995 was named Rotarian of the Year. This year he was honored with the Rotary International Service Above Self Award. His longtime involvement and dedication to the Boy Scouts of America has also been recognized with the World Scouting Foundation's Silver Beaver, Silver Antelope, and Bronze Wolf awards.

Mr. Stecker was active in his local community, chairing the Franklin County United Appeal Campaign in 1963 and serving as president of the United Community Council, a director of the Columbus Town Meeting, and as a member of multiple advisory boards and committees across the community. In 1994 he established The Stecker Scholars program at Children's Hospital which supports improvement of pediatric care around the world primarily in the area of training physicians and nurses from countries outside the United States.

RESOLUTIONS IN MEMORIAM (contd)

Frederick Stecker (contd)

Frederick Stecker demonstrated throughout his life the very best ideals of personal commitment, involvement and citizenship. He gave generously of his support and leadership on behalf of many vital initiatives at Ohio State which will continue to impact countless lives and inspire Ohio State students, faculty, staff and alumni far into the future.

On behalf of the University community, the Board of Trustees expresses to the family of Frederick Stecker its deepest condolences and undying gratitude for the lifetime of friendship and commitment that he gave on behalf of Ohio State, its programs and students. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to Mr. Stecker's family as an expression of the Board's heartfelt sympathy for the loss of this distinguished member of our University family.

Ralph J. Woodin

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 16, 1999, of Dr. Ralph J. Woodin, Professor Emeritus in the Department of Human and Community Resource Development.

Dr. Woodin was a native of Chardon, Ohio, and received a bachelor of science degree in 1931, a master of arts in 1944, and his Ph.D. in 1951 from The Ohio State University. He taught vocational agriculture at Harlem Township, Sharon Center, and Shreve High School in Ohio. Dr. Woodin was on the agricultural education faculty at The Ohio State University from 1940 until he retired in 1973. He taught classes on the philosophy, planning, and evaluation of agricultural education programs. His research on the supply and demand of high school teachers of agriculture continues today.

He helped organize the Committee for Rehabilitation of University Hall, which mobilized alumni support and led to the building of the present replica of The Ohio State University's first academic structure. Furthermore, he helped establish The Ohio State University's Agricultural Technical Institute at Wooster. Dr. Woodin also served as a visiting professor at Alabama Polytechnic Institute, Pennsylvania State University, University of Nevada, and New Mexico State University, and was interim department head of vocational-technical education at the University of Tennessee.

Dr. Woodin served as president of the Ohio Vocational Association, and was its executive secretary from 1951 to 1966. Additionally, he served as president of the Northwest Franklin Historical Society and Fifty Year Club of the Ohio State Fair. His awards included the Distinguished Service Award from the American Vocational Association, Distinguished Service Award from the American Association of Teacher Educators in Agriculture, and Distinguished Alumni Award from The Ohio State University's College of Agriculture.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Ralph J. Woodin its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 99-136

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for April 1999 are presented for Board acceptance.

REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)

June 4, 1999 meeting, Board of Trustees

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of April 1999 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 99-137

Synopsis: The report on the receipt of gifts and the summary for April 1999 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report also includes the establishment of sixteen (16) new named endowed funds and amendments to four (4) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of April 1999 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July-April
1997-98 Compared to 1998-99

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through April		
	<u>1997-98</u>	<u>1998-99</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$13,990,631	\$32,411,084	132%
Alumni (From Bequests)	<u>6,893,583</u>	<u>1,138,047</u>	-83%
Alumni Total	\$20,884,214	\$33,549,131	61%
Non-Alumni (Current Giving)	\$8,698,845	\$9,846,044	13%
Non-Alumni (From Bequests)	<u>6,107,489</u>	<u>5,928,714</u>	-3%
Non-Alumni Total	\$14,806,334	\$15,774,758	7%
Individual Total	\$35,690,548	\$49,323,889	38% ^A
Corporations/Corp. Foundations	\$26,037,491	\$30,432,732	17% ^B
Private Foundations	\$9,226,312	\$7,450,291	-19% ^C
Associations & Other Organizations	<u>\$2,659,458</u>	<u>\$3,025,616</u>	14% ^D
Total	\$73,613,809	\$90,232,528	23%

July-April
1997-98 Compared to 1998-99

GIFT RECEIPTS BY DONOR TYPE

NOTES

- A Individual giving is up 38% largely due to 403 gifts totaling \$10,000 or more (\$36.0 million). Last year during this period 374 individuals gave \$10,000 or more (\$23.0 million).
- B Giving at the \$10,000 or more level from corporations is up 18% so far this year.
- C Foundation giving at the \$10,000 or more level is down 20% for the first ten months of the fiscal year.
- D Giving at the \$10,000 or more level from associations and other organizations is up 16%.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-April
1997-98 Compared to 1998-99 (contd)

GIFT RECEIPTS BY PURPOSE

	Dollars July through April		
	<u>1997-98</u>	<u>1998-99</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$16,580,475	\$22,145,657	34
Faculty Support	\$8,568,563	\$9,510,253	11
Program Support	\$27,549,118	\$38,258,266	39
Student Financial Aid	\$12,773,821	\$11,626,913	-9
Annual Funds-Colleges/Departments	\$6,600,395	\$6,701,092	2
Annual Funds-University	<u>\$1,541,437</u>	<u>\$1,990,347</u>	29
Total	\$73,613,809	\$90,232,528	23

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through April

<u>1997-98</u>	<u>1998-99</u>	<u>% Change</u>
\$29,931,005	\$37,673,884	26

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Mathematics, Science, and Technology Education Development Fund (Support for Mathematics, Science, and Technology Education - College of Education - provided by gifts from faculty, staff and friends)		\$38,660.00	\$38,660.00
Donald G. Lux Scholarship in Technology Education (Scholarships - College of Education - provided by gifts from Robert and Cynthia Grimm, and other friends and alumni, in honor of Dr. Donald G. Lux)		\$25,000.00	\$25,000.00
Lawrence County 4-H Endowment Fund (Support for Lawrence County 4-H Program - provided by gifts from Lawrence County 4-H Advisory Committee and friends of Lawrence County 4-H)	\$15,000.00		\$15,000.00
The Executive Committee Award for Outstanding Administrative, Clerical, and Secretarial Performance (Administrative, clerical, and secretarial awards - College of Humanities - provided by gifts from various donors)	\$5,000.00		\$5,000.00

Change in Name and Description of Named Endowed Funds

From: The Theodore R. Simson Mortgage and Banking Fund
To: The Theodore R. Simson Faculty Appreciation Endowment Fund

From: The Chester C. Winter Visiting Lectureship in Urology
To: The Chester C. Winter, M.D., Urology Library Endowment

From: The Chester C. Winter Urology Research Award
To: The Chester C. Winter, M.D., Visiting Lectureship in Urology

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The John D. Hathaway M.D. and Besse D. Hathaway Memorial Fund (Support for Medical Scientist Training Program - College of Medicine and Public Health; provided by gifts from trust and estate of John D. Hathaway)		\$279,991.38	\$279,991.38
The Ohio State University Foundation Unrestricted Endowment Fund (To provide resources for fund-raising at The Ohio State University; provided by unrestricted funds)		\$157,808.00	\$157,808.00
The Cardiology Recruitment and Development Fund (Support for Faculty Recruitment - Division of Cardiology; provided by gifts from John G. McCoy)		\$150,562.51	\$150,562.51
The Richard E. Durst University Scholars in Chemical Engineering Fund (Merit Scholarships in Chemical Engineering; provided by a gift from Katherine Miles Durst)		\$49,171.88	\$49,171.88
The Katherine Miles Durst University Scholars in Early Childhood Development Fund (Merit Scholarships in Early Childhood Development; provided by a gift from Katherine Miles Durst)		\$49,171.87	\$49,171.87
Dominic J. Bagnoli, Jr., Scholarship Fund (Scholarships - Department of Athletics; provided by gifts from the Bagnoli Family)		\$26,840.00	\$26,840.00
The John R. Jacob University Scholar Fund (Merit Scholarships - University Honors Center; provided by an annuity gift from John R. Jacob)		\$26,500.00	\$26,500.00
The William and Anne Altschule Professional Student Scholarship and Endowed Fund in Pharmacy (Scholarships - College of Pharmacy; provided by gifts from Joel and Jody Altschule)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Lloyd Roberts Evans Endowed Scholarship in History (Scholarships - Department of History; provided by gifts from Jane Ann Evans Nielsen to honor her brother)		\$25,000.00	\$25,000.00
Robert M. James and Donna B. James Scholarship Fund (Scholarships - Department of Athletics; provided by gifts from Robert and Donna James)		\$25,000.00	\$25,000.00
The Smith Family Athletic Endowment Fund (Scholarships - Department of Athletics; provided by a gift from The Smith Family Foundation)		\$25,000.00	\$25,000.00
Langdon T. Williams Scholarship Fund (Scholarships - Department of Athletics; provided by gifts from Franklin International)		\$25,000.00	\$25,000.00
Total	\$20,000.00	\$928,705.64	\$948,705.64

Change in Description of Named Endowed Fund

The Helen R. and Robert Murnane, M.D. Fund for Post-Graduate
Awards in General Internal Medicine

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Mathematics, Science, and Technology Education Development Fund

The Mathematics, Science, and Technology Education Development Fund was established June 4, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from faculty, staff, and friends of The Ohio State University College of Education.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support activities in mathematics, science, and technology in the College of Education.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Dean of the College of Education in order to carry out the desire of the donors.

\$38,660.00

Donald G. Lux Scholarship in Technology Education

The Donald G. Lux Scholarship in Technology Education was established June 4, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Robert L. Grimm (B.S.Ed.'74), and Cynthia J. Grimm, and other friends and alumni, in recognition of the great personal and professional contributions of Dr. Donald G. Lux.

Dr. Donald G. Lux received his Ph.D. in 1955 from The Ohio State University College of Education. He retired from OSU in 1984, and was inducted into the College of Education Hall of Fame in 1995. He is an internationally recognized researcher, developer, and teacher in technology education. He was major advisor to forty-five Ph.D. graduates and a mentor to many others. Among his best known books are four editions of the *World of Construction* and the *World of Manufacturing*.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide financial support to students in technology education who are preparing for teacher certification. Selection of the scholarships shall be made by the Dean of the College of Education, in consultation with faculty responsible for technology education, and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

Lawrence County 4-H Endowment Fund

The Lawrence County 4-H Endowment Fund was established June 4, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from the Lawrence County 4-H Advisory Committee and friends of Lawrence County 4-H.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Lawrence County 4-H program. This support may include, but is not limited to: the funding of scholarships and awards for 4-H members; volunteer leaders and friends of 4-H; sponsoring seminars and programs; and purchasing material and services that will supplement 4-H programming or increase the visibility and public support of 4-H in Lawrence County. All expenditures from this fund shall be approved by the Lawrence County 4-H Committee.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees with preference being given to the recommendations from the director for The Ohio State University Extension, in consultation with a committee representing the local 4-H donors in order to carry out the desire of the donors.

\$15,000.00 (Grandfathered)

The Executive Committee Award for Outstanding Administrative,
Clerical, and Secretarial Performance

The Executive Committee Award for Outstanding Administrative, Clerical, and Secretarial Performance was established June 4, 1999, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from various donors with the intent that an award be established as noted below.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the College of Humanities to make an award annually to one or two members of the administrative, clerical, and secretarial staff of the College who demonstrate exceptional service to their department, to the college and The Ohio State University. The award(s) will provide for special recognition for those staff employees who have demonstrated high quality work and dedication. The award will recognize excellence and achievement in overall work performance, reward outstanding and valuable service to the department and the college, and give credit for initiative and creativity demonstrated by staff members. All requisitions for funds will be approved by the Dean of the College of Humanities, based upon recommendations of the selection committee.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees with preference being given to recommendations from the appropriate official of the University who is then responsible for the fund.

\$5,000.00 (Grandfathered)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds

The Theodore R. Simson Faculty Appreciation Endowment Fund

The Theodore R. Simson Mortgage Banking and Banking Fund was established April 5, 1991, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Theodore R. Simson (B.S.Bus.Admin.'39) of Columbus, Ohio. The name and description were revised June 4, 1999.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to present a faculty member at The Max M. Fisher College of Business with a faculty appreciation award in recognition of outstanding service to the college and its programs. Selection of the award shall be made by the dean of the Fisher College in consultation with the senior associate dean for academic programs.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

The Chester C. Winter, M.D., Urology Library Endowment

The Chester C. Winter Urology Library Endowment was established September 6, 1985, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Chester C. Winter, M.D., Professor Emeritus, of Worthington, Ohio. The name and description were revised July 7, 1995, to The Chester C. Winter Visiting Lectureship in Urology, and further revised June 4, 1999.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Department of Urology Library in the College of Medicine and Public Health at The Ohio State University.

Should the need for the fund cease, the income and principal is to be used by the Department of Urology or its equivalent to support activities related to Urology.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use, as stated above, shall be designated by the Board of Trustees in consultation with the director of the Division of Urology, the chair of the Department of Surgery, and the dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

The Chester C. Winter, M.D., Visiting Lectureship in Urology

The Chester C. Winter, M.D., Urology Research Award was established September 6, 1985, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Chester C. Winter, M.D., Emeritus Professor of Urology, of Worthington, Ohio. The name and description were revised June 4, 1999.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Chester C. Winter, M.D., Visiting Lectureship in Urology (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall support an annual lectureship by a visiting professor of urology or one noted in that field, and appropriate expenses for the lectureship will be defrayed from the fund. A committee to administer the fund and choose the lecturer will meet annually to make preparations and will be chaired by the director of the Division of Urology and will include the faculty member who is responsible for pediatric urology at Columbus Children's Hospital and Dr. Chester C. Winter while he is alive and able to attend. The chairperson of the Department of Surgery at The Ohio State University will be an ex-officio member of the committee. The lecture will be given to an audience including The Ohio State University urology staff, residents and students interested in urology, and members of the Central Ohio Urology Society, as well as others that may be included at the discretion of the committee.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Director of the Division of Urology, the Chairperson of the Department of Surgery, and the Dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The John D. Hathaway, M.D. and Besse D. Hathaway Memorial Fund

The John D. Hathaway, M.D. and Besse D. Hathaway Memorial Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made in his name and in memory of his wife, Besse D. Hathaway, from the trust and estate of John D. Hathaway, M.D. (B.S.'29), of Mechanicsburg, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Medical Scientist Training Program at the College of Medicine and Public Health that allows highly qualified students to pursue the M.D. and Ph.D. degrees simultaneously. Allocation of the funds within the program shall be made at the recommendation of the Director of the Medical Scientist Training Program in consultation with the Dean of the College of Medicine and Public Health.

This fund shall provide for the John and Besse Hathaway Memorial Fellowship(s) to be awarded to students training under this program. Such fellowships should be awarded each year to students under the guidelines followed for the general MSTP fellowships. Awards offering financial assistance for travel to professional meetings and research training opportunities may also be provided to MSTP students, given as the John and Besse Hathaway Memorial Travel Award.

The income may upon occasion support program enhancements in areas to include, but are not limited to: recruitment of highly qualified students with diverse socioeconomic and academic backgrounds, training in scientific method; medical ethics and clinical medicine; postgraduate training

June 4, 1999 meeting, Board of Trustees

that continues to integrate research teaching and clinical medicine for such dual-degree

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The John D. Hathaway, M.D. and Besse D. Hathaway Memorial Fund (contd)

residents and fellows, and career training that develops skills in leadership, teaching, and community service.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Medical Scientist Training Program and the dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$279,991.38

The Ohio State University Foundation Unrestricted Endowment Fund

The Ohio State University Foundation Unrestricted Endowment Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with unrestricted gifts to The Ohio State University Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the President of The Ohio State University for the purpose of providing resources for fundraising at the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors.

\$157,808.00

The Cardiology Recruitment and Development Fund

The Cardiology Recruitment and Development Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John G. McCoy (D. Bus.Adm., honorary), of New Albany, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support faculty recruitment and development in the Division of Cardiology in the College of Medicine and Public Health. Funds will assist in recruiting nationally eminent physicians, faculty, and researchers to the Division and in the Heart and Lung Institute; and will assist the Division in developing and maintaining its standards for academic excellence and leadership for cardiovascular research, education and training, and its commitment to quality patient care, diagnostics, technology, and treatment in an academic medical center. Distribution will be made at the recommendation of the director of the Division of Cardiology in consultation with the dean of the College of Medicine and Public Health.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Cardiology Recruitment and Development Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Division of Cardiology and the dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$150,562.51

The Richard E. Durst University Scholars in Chemical Engineering Fund

The Richard E. Durst University Scholars in Chemical Engineering Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Katherine Miles Durst (B.A.'25; B.S.Ed.'25; M.A.'27) of Orono, Maine, in memory of her husband, Dr. Richard E. Durst (Ph.D.Ch.E.'48).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used each year to provide a merit scholarship for a Richard E. Durst University Scholar in Chemical Engineering. The student will be selected from among students who qualify as University Scholars and are majoring in or planning to major in Chemical Engineering. It is the desire of the donor that this provide one larger scholarship to a deserving student rather than being divided between two students. The fund will be administered by the University Honors Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$49,171.88

The Katherine Miles Durst University Scholars in Early Childhood Development Fund

The Katherine Miles Durst University Scholars in Early Childhood Development Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Katherine Miles Durst (B.A.'25; B.S.Ed.'25; M.A.'27) of Orono, Maine.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used each year to provide a merit scholarship for a Katherine Miles Durst University Scholar in Early Childhood Development. The student will be selected from among students who qualify as University Scholars and are majoring in or planning to major in Early Childhood Development and Education or minoring in Education with plans to teach at the elementary level. It is the desire of the donor that this provide one larger scholarship to a deserving student rather than being divided between two students. The fund will be administered by the University Honors Center in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Katherine Miles Durst University Scholars in Early Childhood Development Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$49,171.87

Dominic J. Bagnoli, Jr., Scholarship Fund

The Dominic J. Bagnoli, Jr., Scholarship Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Bagnoli Family of Hartville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement grant-in-aid scholarship costs of a student athlete participating in football at The Ohio State University. Preference will first given to a football player majoring in pre-med. If no candidates exist, second preference will be given to a student athlete participating in football. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donors.

\$26,840.00

The John R. Jacob University Scholar Fund

The John R. Jacob University Scholar Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from John R. Jacob (w.Bus.'27) of Naples, Florida, through the John R. Jacob Charitable Gift Annuity, funded January 18, 1995.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more merit scholarships for John R. Jacob University Scholars. The student(s) will be selected from among University Scholars with preference for a student from Canton, Ohio, or the Canton area. The fund will be administered by the University Honors Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$26,500.000

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The William and Anne Altschule Professional Student Scholarship
and Endowed Fund in Pharmacy

The William and Anne Altschule Professional Student Scholarship and Endowed Fund in Pharmacy was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Joel M. Altschule and Jody G. Altschule of New Albany, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the William and Anne Altschule Professional Student Scholarship in the amount of at least \$1,000 and provide financial assistance to The William and Anne Altschule Scholar for participation in professional pharmacy meetings throughout the course of the academic year. The recipients of the annual scholarship shall be determined by the dean of the College of Pharmacy or his/her designee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,000.00

The Lloyd Roberts Evans Endowed Scholarship in History

The Lloyd Roberts Evans Endowed Scholarship in History was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to The Ohio State University from the sister of the honoree, Jane Ann Evans Nielsen (B.A.'36; B.S.Ed.'36), of Knoxville, Tennessee.

Lloyd Roberts Evans (B.A.'33; M.D. Harvard '40) led a distinguished career as a physician specializing in general internal medicine. Following his internship he started his assignment to the U.S. Army Medical Corps, spending his entire time from 1942 to 1945 in the Southwest Pacific Area, and was honorably discharged with the rank of major. He began his practice in Laramie, Wyoming, where he had a leadership role in a number of health-oriented projects and served on the Wyoming State Board of Health. He was a gubernatorial appointee to the Western Interstate Commission on Higher Education. In 1963, he returned to The Ohio State University College of Medicine where he served as Assistant Dean and Associate Professor of Medicine until 1969, when as Associate Dean and Professor of Medicine he transferred to the position of Vice Provost for Curriculum in the office of Academic Affairs. While at Ohio State, he served on the Ohio State Board of Medical Examiners and the Federation of State Boards of Medical Examiners. The evaluation of qualifications to practice was of particular interest to him. He returned to practice in Laramie in 1971, retired in 1983, and settled in Arizona.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Lloyd Roberts Evans Endowed Scholarship in History (contd)

The annual income shall be used to provide scholarships for undergraduate students of junior or senior rank who are majoring in History. The scholarship is to be awarded annually on the basis of both merit and need. Candidates for the scholarship should possess a GPA of 3.4 or above and a broad range of interests. Selection of the student will be made by the designated Scholarship Committee in the Department of History, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the chair of the Department of History, in consultation with the dean of the Department of History, and with the dean of the College of Humanities in order to carry out the desire of the donor.

\$25,000.00

Robert M. James & Donna B. James Scholarship Fund

The Robert M. James & Donna B. James Scholarship Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the James family of Columbus, North Carolina.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement student-athlete grant-in-aid scholarship costs of a student athlete participating in football at The Ohio State University. Preference will be first given to a wide receiver. If no candidates exist, second preference will be given to a running back. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donors.

\$25,000.00

The Smith Family Athletic Endowment Fund

The Smith Family Athletic Endowment Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Smith Family Foundation of Eaton, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to assist with the educational expenses of a varsity student athlete. Selection shall be made by the Director of Athletics, in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Smith Family Athletic Endowment Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the Director of Athletics in order to carry out the desire of the donors.

\$25,000.00

Langdon T. Williams Scholarship Fund

The Langdon T. Williams Scholarship Fund was established June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Franklin International of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement grant-in-aid scholarship costs of a student athlete at The Ohio State University. Preference will be first given to a student athlete majoring in chemical engineering. If no candidates exist, second preference will be given to a student athlete majoring in mechanical engineering. If no candidates exist, third preference will be given to a student athlete majoring in engineering. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,000.00

Change in Description of Named Endowed Fund

The Helen R. and Robert Murnane, M.D. Fund for Post-Graduate Awards
in General Internal Medicine

The Helen R. and Robert Murnane, M.D. Fund for Post-Graduate Awards in General Internal Medicine was established March 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Robert T. Murnane, M.D. (M.D.'47) and Helen R. Murnane (attended, Commerce) of Columbus, Ohio. The description was revised June 4, 1999.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall provide an award, or more if funds permit, in support of sabbaticals at distant sites for house physicians, for evaluation of ongoing research, for attendance at conferences/conventions, or for post-graduate courses in clinical research, teaching or patient care. Support shall be limited to 3-7 days in length, and will help defray the costs of tuition, transportation, lodging, and meals. Recipient is limited to general internal medicine residents at The Ohio State

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Fund (contd)

The Helen R. and Robert Murnane, M.D. Fund for Post-Graduate Awards
in General Internal Medicine (contd)

University Hospitals, to include physicians in first, second, or third-year levels, as well as the chief resident in General Internal Medicine. Selection shall be made following application to a committee composed of the chair and vice chair of the Department of Internal Medicine along with counsel of the chief resident in General Internal Medicine (when this latter person is not a candidate). It is understood that a member of this committee may want to encourage the application of a particular physician.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chair of the Department of Internal Medicine and the medical director of University Hospitals in order to carry out the desire of the donors.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 99-138

January - March 1999

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Associate Vice President for Health Services and Executive Director of University Hospitals, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Administration has submitted a report on waivers of competitive bidding requirements granted for the period of January - March 1999; and

WHEREAS during the period covered, the Vice President for Business and Administration, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 29 waivers of competitive bidding requirements for annual purchases totaling approximately \$2,279,200 as shown on the enclosed exhibit:

WHEREAS during the period covered, the Associate Vice President for Health Services and Executive Director of University Hospitals, at the requests of the Hospitals of the University and their related facilities making purchases, granted 43 waivers of competitive bidding requirements for annual purchases totaling approximately \$25,619,000 as shown on the enclosed exhibit:

NOW THEREFORE

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of January - March 1999, is hereby accepted.

(See Appendix XXXXVII for chart, page 1017.)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 99-139

**UNIVERSITY HOSPITALS EAST MUSCULAR SKELETAL PROGRAM
GOLF COURSE -- MEN'S LOCKER ROOM RENOVATION
OHIO UNION PARKING GARAGE -- ELEVATOR RENOVATION**

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the University Hospitals East - Muscular Skeletal Program, Golf Course - Men's Locker Room Renovation, and Ohio Union Parking Garage - Elevator Renovation projects is requested.

WHEREAS the University desires to proceed to renovate the existing Surgery Department at University Hospitals East to accommodate an expansion for the Muscular Skeletal Program; and

WHEREAS the total estimated project cost is \$3,000,000 and the total estimated construction cost is \$2,500,000, with funding provided by University Hospitals; and

WHEREAS the University desires to proceed with remodeling of the men's locker room and shoe repair area at the Kepler Golf Course; and

WHEREAS the total estimated project cost is \$435,000 and the total estimated construction cost is \$323,771, with funding provided by the Department of Athletics; and

WHEREAS the University desires to proceed with the upgrade of the elevator service on the east side of the Ohio Union Parking Garage; and

WHEREAS the total estimated project cost is \$280,777 and the total estimated construction cost is \$225,000; with funding provided by Transportation and Parking:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the University Hospitals East - Muscular Skeletal Program, Golf Course - Men's Locker Room Renovation, and Ohio Union Parking Garage - Elevator Renovation projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the University Hospitals East - Muscular Skeletal Program, Golf Course - Men's Locker Room Renovation, and Ohio Union Parking Garage - Elevator Renovation projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXXXVIII for maps, page 1019.)

SALE OF REAL PROPERTY

Resolution No. 99-140

1.095 ACRES ON STATE ROUTE 33, LOGAN COUNTY, OHIO

Synopsis: Authorization for the sale to the Ohio Department of Transportation of approximately 1.095 acres of land on the south side of State Route 33 in Logan County is requested.

WHEREAS The Ohio State University owns two tracts of totaling 1.095 acres running along the south side of State Route 33, west of the intersection of State Route 287 in Zane Township, Logan County, Ohio; and

WHEREAS the Ohio Department of Transportation desires to purchase this property for the improvement of State Route 33 as a part of the Log/Uni-33-23.50/0.00 Road Improvement Project; and

WHEREAS ODOT will pay the University \$300.00 for this property; and

WHEREAS the appropriate University offices have determined that this sale is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to sell all of the University's right, title, and interest in this property to the State of Ohio, Department of Transportation for no less than \$300.00 upon such terms and conditions as are deemed to be in the best interest of the University, subject to authorization by the Ohio General Assembly, with the net proceeds being paid to The Ohio State University Transportation Research Center of Ohio.

(See Appendix XXXIX for background information and map, page 1023.)

**AUTHORIZATION FOR THE SENIOR VICE PRESIDENT FOR BUSINESS AND FINANCE
AND CHIEF FINANCIAL OFFICER AND/OR THE VICE PRESIDENT FOR BUSINESS AND
FINANCE TO SIGN UNIVERSITY CONTRACTS**

Resolution No. 99-141

Synopsis: The Fiscal Affairs Committee recommends authorization for the Senior Vice President and Chief Financial Officer and/or Vice President for Business and Finance to sign University contracts and other documents as required.

WHEREAS the Board of Trustees adopted Resolution 95-37 authorizing the Vice President for Business and Administration to sign University contracts and other documents as required; and

WHEREAS under the direction of the President, the Office of Business and Administration and the Office of Finance have been merged into the Office of Business and Finance under the Senior Vice President and Chief Financial Officer, with the Vice President for Business and Finance serving as the chief operating officer of Business and Finance:

NOW THEREFORE

**AUTHORIZATION FOR THE SENIOR VICE PRESIDENT FOR BUSINESS AND FINANCE
AND CHIEF FINANCIAL OFFICER AND/OR THE VICE PRESIDENT FOR BUSINESS AND
FINANCE TO SIGN UNIVERSITY CONTRACTS (contd)**

BE IT RESOLVED, That the Senior Vice President for Business and Finance and Chief Financial Officer and/or Vice President for Business and Finance each be authorized, on behalf of The Ohio State University and in its name, to sign and execute contracts, agreements, deeds, leases, and other documents as necessary to carry out the business of the University.

ARMS - PHASE VI-B

Resolution No. 99-142

Synopsis: Approval of Phase VI-B of the ARMS Project is proposed.

WHEREAS Phase VI-A of the Administrative Resource Management System (ARMS) Project, as authorized by the Board of Trustees at its July 10, 1998, meeting, has been successfully completed; and

WHEREAS completion of Phase VI-B has been recommended by the appropriate University offices and required funding will be available:

NOW THEREFORE

BE IT RESOLVED, That the University is authorized to proceed with completion of Phase VI-B of the ARMS Project as described in the accompanying materials, including authorization to spend up to an additional \$12.0 million for Human Resources, General Ledger and Procurement Systems in FY 2000; and

BE IT FURTHER RESOLVED, That the University will report back to this Board before July 15, 2000, for authorization to proceed with Phase VII and complete the project.

(See Appendix L for background information, page 1025.)

INTERIM BUDGET FOR 1999-2000

Resolution No. 99-143

Synopsis: Authorization to make expenditures in 1999-2000 is proposed.

WHEREAS having approved salary raises, the University has not yet finalized its operating budget for Fiscal Year 1999-2000; and

WHEREAS it is necessary to continue University expenditures, including payment of faculty and staff, prior to the time that the Current Funds Budget for 1999-2000 is developed and adopted:

NOW THEREFORE

BE IT RESOLVED, That the University be authorized to make expenditures consistent with the level of resources approved for fiscal year 1998-99, pending the adoption of the Current funds Budget for 1999-2000; and

INTERIM BUDGET FOR 1999-2000 (contd)

BE IT FURTHER RESOLVED, That the Secretary be directed to incorporate all supporting documents into the minutes of this meeting and to file these materials with the official records of this University.

Upon motion of Judge Duncan, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks.

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**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES B OF THE OHIO STATE UNIVERSITY**

Resolution No. 99-144

Synopsis: Providing for the authorization, issuance and sale of General Receipts Commercial Paper Notes, Series B (the "Series B Notes"), in a principal amount determined as provided herein, for the purpose of (i) (a) paying a portion of the costs of an addition to and renovations at Larkins Hall, (b) paying a portion of the costs of the acquisition, construction and installation of graduate and professional student housing, (c) paying a portion of the costs of the construction of a new residence hotel on the grounds of the Fisher College of Business, (d) renovation and improvement to various residence hall facilities, including (I) North Commons dining area upgrade, (II) Raney Commons remodeling, (III) renovation of the ASH Scholarship House, (IV) upgrade of the Ohio Union Conference Theater, (V) installation of card readers at residence hall entrances, (VI) renovation of Baker Hall, (VII) renovation of Mack/Canfield Hall, (VIII) South High-rise closet replacement, (IX) Jones Tower elevator modernization and HVAC unit replacement, (X) Scott House HVAC replacement, (XI) Lincoln Tower elevator modernization and (XII) Morrison Tower chiller replacement, (e) reimbursement of the costs of acquisition of a health care facility formerly known as Park Medical Center, (f) completion of Fred Taylor Drive widening and additional adjacent parking lot, (g) paying a portion of the costs of construction and installation of six building complex for the Fisher College of Business, (h) financing the acquisition of real property in connection with campus area redevelopment (i) paying a portion of the costs of construction and installation of a Success Center, (j) paying a portion of the costs of the renovation and improvement of Ohio Stadium (k) renovation of approximately 12,800 square feet of warehouse space at the Technology Innovation Center (l) paying a portion of the costs of renovation and remodeling of the Ohio Union (m) construction a 6,000 square foot addition to 2560 Kenny Road and (n) paying a portion of the costs of the renovation of Woody Hayes Drive Bridge and installation of a high pressure steam line, and (ii) paying costs and expenses associated with the issuance of the Series B Notes, authorizing a Ninth Supplemental Trust Indenture to secure the payment of Bond Service Charges on the Series B Notes.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Original Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "auxiliary facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Bond Service Charges (as defined in the Original Indenture) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Bond Service Charges; and

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WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), has provided for the issuance from time to time of General Receipts Bonds of the University, with each such issue to be authorized by a Series Resolution (as defined in the Original Indenture) adopted by the Board pursuant thereto and secured pursuant to the terms of a Supplemental Indenture (as defined in the Original Indenture) with respect to such issue (the Original Indenture and all Supplemental Indentures being referred to collectively herein as the "Indenture"); and

WHEREAS the University has heretofore issued pursuant to the Series 1985 A Bond Resolution and the Original Indenture, the \$27,680,000 The Ohio State University General Receipts Refunding Bonds, Series 1985 A, dated as of November 15, 1985 (the "Series 1985 A Bonds") all of which have redeemed and are no longer outstanding; and

WHEREAS the University has heretofore issued pursuant to the Series 1985 A Bond Resolution, the resolution, adopted by the Board on December 6, 1985 (the "Series 1985 B Bond Resolution"), the Original Indenture and the First Supplemental Trust Indenture, dated as of December 1, 1985 (the "First Supplemental Indenture"), between the University and the Trustee, as amended by the Amendment Agreement, dated as of December 1, 1986, between the University and the Trustee, as further amended by the First Supplemental Trust Indenture Amendment Agreement, dated as of March 1, 1988, between the University and the Trustee, the \$42,500,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1985 B, initially dated as of December 30, 1985 (the "Series 1985 B Bonds"); and

WHEREAS the University has issued pursuant to the Series 1985 A Bond Resolution, a resolution adopted by the Board on July 11, 1986 (the "Series 1986 A Bond Resolution"), the Indenture and the Second Supplemental Trust Indenture, dated as of July 15, 1986 (the "Second Supplemental Indenture"), between the University and the Trustee, the \$45,000,000 The Ohio State University General Receipts Bonds, Series 1986 A, dated as of July 15, 1986 (the "Series 1986 A Bonds") which have been advance refunded by the Series 1992 A2 Bonds described below; and

WHEREAS the University has heretofore issued pursuant to a resolution adopted by the Board on July 11, 1986 (the "Series 1986 B Bond Resolution"), the Series 1985 A Resolution, the Original Indenture and the Amended Third Supplemental Trust Indenture, dated as of August 1, 1986 (the "Third Supplemental Indenture"), between the University and the Trustee, the \$45,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1986 B, initially dated as of August 14, 1986 (the "Series 1986 B Bonds"); and

WHEREAS the University has issued pursuant to the Series 1985 A Bond Resolution, a resolution adopted by the Board on April 6, 1990 (the "Series 1990 Bond Resolution"), the Indenture and the Fourth Supplemental Trust Indenture, dated as of April 1, 1990 (the "Fourth Supplemental Indenture"), between the University and the Trustee, the \$54,265,000 The Ohio State University General Receipts Bonds, Series 1990, dated as of April 1, 1990 (the "Series 1990 Bonds") which have been advance refunded by the Series 1992 A2 Bonds described below; and

WHEREAS the University has issued pursuant to the Series 1985 A Bond Resolution, the resolution adopted by the Board on September 2, 1992 (the "Series 1992 A Bond Resolution"), the Indenture and the Fifth Supplemental Trust Indenture, dated as of September 1, 1992 (the "Fifth Supplemental Indenture"), between the University and the Trustee, the \$60,520,000 The Ohio State University General Receipts Bonds, Series 1992 A1, and the \$103,800,000 The Ohio State University General Receipts Refunding Bonds, Series 1992 A2 (collectively, the "Series 1992 A Bonds"); and

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WHEREAS the University has issued pursuant to the Series 1985 A Bond Resolution, the resolution adopted by the Board on September 2, 1992 (the "Series 1992 B Bond Resolution"), the Indenture and the Sixth Supplemental Trust Indenture, dated as of November 1, 1992 (the "Sixth Supplemental Indenture"), between the University and the Trustee, the \$30,200,000 The Ohio State University General Receipts Bonds, Series 1992 B (the "Series 1992 B Bonds") which have been currently refunded by the Series 1997 Bonds described below; and

WHEREAS the University has issued pursuant to the Series 1985 A Bond Resolution, the resolution adopted by the Board on November 7, 1997 (the "Series 1997 Bond Resolution"), the Indenture and the Seventh Supplemental Trust Indenture, dated as of December 1, 1997 (the "Seventh Supplemental Indenture") between the University and the Trustee, the \$79,540,000 The Ohio State University General Receipts Bonds, Series 1997 (the "Series 1997 Bonds"); and

WHEREAS the University has authorized the issuance pursuant to the Series 1985 A Bond Resolution, the resolution adopted by the Board on July 10, 1998 (the "Series A Commercial Paper Resolution"), the Indenture and the Eighth Supplemental Trust Indenture, dated as of August 1, 1998 (the "Eighth Supplemental Indenture") between the University and the Trustee, \$120,000,000 The Ohio State University General Receipts Commercial Paper Notes, Series A (the "Series A Notes") of which authorized amount \$54,000,000 is issued and outstanding as of the date hereof; and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series B (the "Series B Notes") in the principal amount not to exceed \$158,700,000 for the purpose of paying or reimbursing a portion of the costs of the Series B Project and paying costs and expenses associated with the issuance of the Series B Notes; and

WHEREAS the University desires to make provisions for the issuance of the Series B Notes and for the payment of the Bond Service Charges thereon and the securing thereof by this Resolution and a Ninth Supplemental Trust Indenture (the "Ninth Supplemental Indenture") herein authorized.

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Original Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Original Indenture but used herein and not previously defined herein are defined as follows:

"Book Entry System" means a system under which (i) a physical Series B Note certificate in fully registered form is issued for each maturity of Series B Notes only to a Depository or its nominee as registered owner, with the Series B Notes held by and immobilized in the custody of the Depository; and (ii) a book entry record, maintained by and the responsibility of the Depository and not maintained by or the responsibility of the University or the Trustee, is the record that identifies, and records the transfer of the interests of, the owners of book entry interests in such Series B Notes.

"Costs of Issuance Account" means the Costs of Issuance Account in the Note Program Fund created pursuant to Section 5 hereof.

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"Dealer" or "Dealers" means Merrill Lynch & Co., or any successor or assigns permitted under the Dealer Agreement, and any other dealer or dealers for the Series B Notes which is appointed by the University and has entered into a Dealer Agreement.

"Dealer Agreement" or "Dealer Agreements" means respectively, the Dealer Agreement, dated as of June 1, 1999, by and between the University and Merrill Lynch & Co., and any and all modifications, alterations, amendments and supplements thereto, and such agreement and any other Dealer Agreement entered into by the University and a Dealer or Dealers with respect to the Series B Notes.

"Depository" means any securities depository that is a clearing agency under federal law operating and maintaining, with its participants or otherwise, a Book Entry System to record ownership of book entry interests in obligations, and includes and means initially as to the Series B Notes, The Depository Trust Company (a limited purpose trust company), New York, New York.

"Note Proceedings" means the Series 1985 A Bond Resolution, the Original Indenture, any applicable Series Resolution, any applicable Supplemental Indenture and any other resolutions and agreements and amendments of and supplements to the foregoing, or any combination thereof, authorizing or providing for the terms and conditions applicable to, or providing for the security or sale of Notes, and the terms contained in such Notes.

"Note Program Fund" means the Note Program Fund held by the University and created in Section 5 hereof to be funded with the proceeds of the Series B Notes.

"Offering Memorandum" means, as to the Series B Notes, the Offering Memorandum relating to the original issuance of the Series B Notes, authorized pursuant to Section 3 hereof.

"Project Account" means the Project Account in the Note Program Fund created pursuant to Section 5 hereof.

"Series B Commercial Paper Resolution" or "this Resolution" as used herein. means this Resolution, as the same may be amended from time to time.

"Series B Project" means, collectively, paying or reimbursing a portion of the costs of improvements, including: (i) (a) paying a portion of the costs of an addition to and renovations at Larkins Hall, (b) paying a portion of the costs of the acquisition, construction and installation of graduate and professional student housing, (c) paying a portion of the costs of the construction of a new residence hotel on the grounds of the Fisher College of Business, (d) renovation and improvement to various residence hall facilities, including (I) North Commons dining area upgrade, (II) Raney Commons remodeling, (III) renovation of the ASH Scholarship House, (IV) upgrade of the Ohio Union Conference Theater, (V) installation of card readers at residence hall entrances, (VI) renovation of Baker Hall, (VII) renovation of Mack/Canfield Hall, (VIII) South High-rise closet replacement, (IX) Jones Tower elevator modernization and HVAC unit replacement, (X) Scott House HVAC replacement, (XI) Lincoln Tower elevator modernization and (XII) Morrison Tower chiller replacement, (e) reimbursement of the costs of acquisition of a health care facility formerly known as Park Medical Center, (f) completion of Fred Taylor Drive widening and additional adjacent parking lot, (g) paying a portion of the costs of construction and installation of six building complex for the Fisher College of Business, (h) financing the acquisition of real property in connection with campus area redevelopment (I) paying a portion of the costs of construction and installation of a Success Center, (j) paying a portion of the costs of the renovation and improvement of Ohio Stadium (k) renovation of approximately 12,800 square feet of warehouse space at the Technology Innovation Center (l) paying a portion of the costs of renovation and remodeling of the Ohio Union (m) construction a 6,000 square foot addition to 2560 Kenny Road and (n) paying a portion of the costs of the renovation of Woody Hayes Drive Bridge and installation of a high pressure steam line, and (ii) paying costs and expenses associated with the issuance of the Series B Notes, authorizing

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a Ninth Supplemental Trust Indenture to secure the payment of Bond Service Charges on the Series B Notes.

"Trustee" means The Huntington National Bank, and any successor Trustee as determined or designated under or pursuant to the Original Indenture.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Bond Service Charges in the amount and manner, at the times, and from the sources provided in the Series 1985 A Bond Resolution, this Resolution, the Original Indenture and the Ninth Supplemental Indenture. except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Bonds, to be designated and known as The Ohio State University General Receipts Commercial Paper Notes, Series B, shall be issued pursuant to and as authorized by the Act, Section 21 of Article VIII of the Ohio Constitution, the Series 1985 A Bond Resolution, this Resolution, the Original Indenture and the Ninth Supplemental Indenture for the purposes of financing a portion of the costs of the University Facilities comprising the Series B Project and paying costs and expenses incidental to the issuance of the Series B Notes. The Series B Notes shall be issued from time to time as provided in the Ninth Supplemental Indenture to finance and refinance the cost of the Series B Project. Proceeds of the Series B Notes may also be used to pay maturing Series B Notes.

(b) Form and Numbering. The Series B Notes shall be issued only as fully registered Bonds. The Series B Notes shall be numbered as determined by the Trustee.

(c) Denominations and Dates. The Notes shall be dated the date of their respective authentication and issuance; shall be issued in registered form, registered to bearer (subject to Section 2.06 of the Ninth Supplemental Indenture) unless otherwise designated by a Dealer; and shall be issued in denominations of \$100,000 and in integral multiples of \$1,000 in excess thereof.

(d) Principal Amount. The Series B Notes shall be issued in the principal amount specified in the Ninth Supplemental Indenture, which amount shall not exceed \$158,700,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Dealer to establish the date, location, procedures and conditions for the delivery of the Series B Notes to the Dealer and to take all steps as necessary to effect due execution, authentication and delivery of the Series B Notes to the Dealer or to the persons whom the Dealer directs under the terms of this Resolution. The Series B Notes shall be signed by the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest. The Series B Notes shall bear interest from their respective dates, payable at maturity, at a rate not to exceed 12% per annum (calculated on the basis of a year consisting of 365/366 days and actual number of days elapsed).

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(g) Maturities. The Series B Notes (i) shall mature not more than 270 days after their respective dates, but in no event later than April 1, 2000, and (ii) shall mature on a Business Day. The stated interest rate, maturity date and other terms of each Note, so long as not inconsistent with the terms of the Ninth Supplemental Indenture, shall be as set forth in the Instructions delivered to the Trustee pursuant to Section 2.07 of the Ninth Supplemental Indenture.

(h) Redemption. The Series B Notes shall not be subject to redemption prior to their stated maturities.

(i) Appointment of Dealer. The Board hereby appoints Merrill Lynch & Co. as the initial Dealer for the Series B Notes.

(j) Security. As provided in the Indenture as supplemented by the Seventh Supplemental Indenture, there is hereby pledged to the security of the Series B Notes, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the moneys contained in the Special Funds, but excluding the Bond Reserve Fund. Anything else to the contrary in the Indenture, the Series 1985 A Bond Resolution, the Ninth Supplemental Indenture or this Resolution notwithstanding, the Series B Notes shall not have access to, any claim upon or be secured by, the Bond Reserve Fund or the Note Program Fund. This pledge of General Receipts shall be on parity with expenses, claims and payments relating to other Parity Obligations (as defined in the Original Indenture) and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all the General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University.

For the further security of the Series B Notes, and any Additional Bonds (as defined in the Original Indenture), the University hereby covenants with the bondholders and the Trustee that so long as any Series B Notes or Additional Bonds are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Bond Service Charges when due, (ii) together with other moneys lawfully available therefor, to pay all costs and expenses required to be paid under the Note Proceedings, and (iii) together with other moneys lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(k) Payment, Places of Payment, and Paying Agents. The principal of and interest on the Series B Notes shall be paid in federal or other immediately available funds in such coin or currency of the United States of America as, at the respective times of payment, is legal tender for the payment of public and private debts. The principal of and interest on the Series B Notes shall be payable at the principal office of the Trustee on or before the close of business on any Business Day upon which such Series B Notes have become due and payable, provided that such Series B Notes are presented and surrendered on a timely basis. Upon presentation of such a Series B Note to the Trustee no later than 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment for such Series B Note shall be made by the Trustee in immediately available funds on such Business Day. If a Series B Note is presented for payment after 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment therefor shall be made by the Trustee on the next succeeding Business Day, without the accrual of additional interest thereon.

(l) Book Entry. Subject to the provisions of the immediately following paragraph, the Series B Notes shall be issued only to a Depository for holding in a Book Entry System in accordance with the provisions of Section 2.06 of the Ninth Supplemental Indenture. Those Series B Notes shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except

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for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series B Notes shall be evidenced by a single certificate in the aggregate principal amount of the Series B Notes maturing on such maturity date.

If any Depository determines not to continue to act as a Depository for the Series B Notes for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series B Notes from the Depository, and authenticate and deliver the Series B Note certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series B Notes) of the Bondholders.

Section 3. Offering Memorandum. It is determined hereby that the manner of sale and the terms of the Series B Notes, as provided in this Resolution and the Ninth Supplemental Indenture, are consistent with all legal requirements and will carry out the public purposes of the Act.

The use and distribution of the Offering Memorandum relating to the Series B Notes substantially in the form now on file with the Board by the Dealer is hereby approved and authorized. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of any statements in the Offering Memorandum contained in Appendix B thereto.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series B Notes, including any accrued interest, shall be allocated, deposited and applied as follows:

To the Bond Fund, accrued interest, if any, received on the sale of the Series B Notes; and

To the Note Program Fund, created pursuant to Section 5 of this Resolution, the entire remaining amount of the proceeds of the Series B Notes, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series B Notes.

Section 5. Note Program Fund. There is hereby created by the a fund to be maintained in the custody of the Treasurer and designated the "Note Program Fund." The Note Program Fund shall be funded from the proceeds of the sale of the Series B Notes. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series B Project and costs and expenses associated with the issuance of the Series B Notes. The Note Program Fund shall not constitute a Special Fund and shall not be pledged to the payment of Bond Service Charges.

Moneys held in the Note Program Fund, including all investment earnings thereon, pending disbursement from the Note Program Fund shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University, as the same may be amended from time to time. The University may establish such accounts in the Note Program Fund as are necessary or desirable to carry out the requirements of the Ninth Supplemental Indenture.

The Treasurer shall maintain such books and records with respect to disbursements from the Note Program Fund so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Note Program Fund may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Bond Fund to be used to pay Bond Service Charges on the Series B Notes.

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There shall be established in the Note Program Fund the following accounts:

(i) The Costs of Issuance Account, from which shall be paid the costs of issuance of the Series B Notes;

(ii) The Project Account, from which shall be disbursed such portion of the proceeds of the Series B Notes necessary to pay costs of the Series B Project.

The Treasurer shall designate in writing, either in the Ninth Supplemental Indenture or otherwise, the allocation of the proceeds of the Series B Notes to each of the foregoing accounts.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series B Project (each a "Component") to be paid from the Note Program Fund have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Note Program Fund with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each an "Additional Project"). Moneys remaining on deposit in the Note Program Fund after the completion of the Series B Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series B Notes will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series B Notes, so that the Series B Notes will not constitute "arbitrage bonds" under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series B Notes, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series B Notes setting forth the reasonable expectations of the University regarding the amount and use of those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series B Notes.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series B Notes and will not take or permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series B Notes; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series B Notes.

Section 7. Further Covenants. In addition to the covenants elsewhere contained herein, the University further covenants as provided in Section 13 of the Series 1985 A Bond Resolution, which covenants are incorporated herein as if fully set forth herein.

Section 8. Ninth Supplemental Indenture and Other Documents. In order to better secure the payment of the Bond Service Charges as the same shall become due and payable, the Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute, acknowledge and deliver to the Trustee and the Dealer, in the name and on behalf of the University and pursuant to the Indenture, the Ninth Supplemental Indenture and the Dealer Agreement, respectively, each in substantially the form submitted to this Board, or to such officers on behalf of this Board and the University, and such documents are hereby approved, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. The Ninth Supplemental Indenture shall also include the form of the Series

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B Notes (the "Form of Note"), attached thereto as Exhibit A. The execution of such documents by any of the officers shall conclusively evidence that the officers and the Board approve such changes and that such changes are not substantially adverse to the University.

Each of such officers is further authorized to execute and deliver on behalf of the University such other certificates, documents and instruments as are necessary in connection with the acts authorized by this Resolution.

Section 9. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 10. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

Upon motion of Mr. Brennan, seconded by Judge Duncan, the Board of Trustees adopted the foregoing resolution with six affirmative votes cast by Messrs. Colley, Brennan, and Slane, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks, and three abstentions cast by Messrs. Skestos, Patterson, and Sofia.

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Provost Edward J. Ray:

Since we discussed this matter in Fiscal Affairs this morning, let me just make several points.

First, with respect to the tuition increase. As you know, we're under a legislative mandate of a 6 percent cap for tuition increases and if we go above 4 percent, this is to be done in two stages. What we're proposing to do at this meeting is to ask your approval for a 4 percent increase, with the intention of coming back and asking for the additional increase of 2 percent at the next Board meeting.

As the material in your Board book indicates, the tuition at The Ohio State University for the past fiscal year remains on the order of 7-8 percent below the benchmark institutions to which we compare ourselves, and, in fact, remains in the lower half of the tuition figures for the other universities in the State of Ohio.

One of the figures that we shared with the Committee earlier this morning, indicates that if one uses reputational rankings -- for example, *U.S. News and World Report* -- The Ohio State University ranks 35th in the nation, with a tuition of just over \$3,800. The next competitor -- if one likes to think in such terms -- Miami University, ranks 69th in the country, with a tuition rate that is, in fact, \$2,000 higher than The Ohio State University.

One of the issues that we're always asked about -- with inflation running at 2-2.5 percent -- is why do we continue to look for tuition increases of the sort that we have here at the University? I think we've had a number of discussions, including our benchmarking discussion earlier in the spring, in which we pointed out that, while we try to focus our resources as effectively as possible and match the best universities in terms of expenditures per student for instructional purposes, there are no magic recipes. It means that we have to conserve on our ability to provide

Provost Ray: (contd)

all of the support services and complementary activities that provide the best possible experience for our students inside and outside the classroom.

So, we have to continue to propose the kinds of tuition increases that we have while we work in other areas -- through the Affirm Thy Friendship Campaign to raise outside monies, through new initiatives, through partnerships with business and government -- to generate the resources that we need. This is not just to be competitive -- that's not really what it's about -- but really to provide the best and most productive learning opportunities for our students and the best trained and best prepared workers and leaders for the people of Ohio in the future. So, it's for those reasons that we make the request that we do.

1999-2000 TUITION INCREASE

Resolution No. 99-145

Synopsis: The first phase of the FY 1999-2000 tuition increase is proposed.

WHEREAS it is the University's intent to ultimately increase the combined FY 1999-2000 General and Instructional Fees for in-state undergraduates by 6.0 percent; and

WHEREAS current State law requires a second separate vote for any tuition increases above 4 percent for the combined General and Instructional Fees for in-state undergraduates; and

WHEREAS a separate resolution will be presented at the July meeting to achieve the full 6.0 percent increase in General and Instructional Fees for in-state undergraduates:

NOW THEREFORE

BE IT RESOLVED, That General and Instructional Fees be increased by 4 percent for in-state undergraduates for FY 1999-2000, pending a second vote at the July meeting to increase these fees by a total of 6.0 percent.

Upon motion of Ms. Hendricks, seconded by Judge Duncan the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Colley, Skestos, Brennan, Patterson, Sofia, and Slane, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks.

(See Appendix LI for background information, page 1031.)

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STUDENT RECOGNITION AWARD

Ms. Lowe:

Although we're drawing close to the end of the school year, there is no end to the accomplishments of our students, and I am pleased to introduce to you today Bryan Gortner. According to his nominator, Bryan is one of the standout students in the College of Food, Agricultural, and Environmental Sciences. Because he is described as a competitive person who always gives his best effort in both classroom and co-curricular activities, it's not surprising to learn that Bryan has been a dairy production intern, a public relations specialist at Borden, and a research assistant at OARDC in his hometown of Wooster. Just this year, he earned the top undergraduate award in his department by winning the Salisbury Dairy Science Scholarship.

STUDENT RECOGNITION AWARD (contd)

Ms. Lowe: (contd)

Bryan is a very active member of 4-H, serving on dairy judging teams at the national level and also as a FFA state officer. He has recently been elected to chair the most important committee for the Buckeye Dairy Club, their cattle sale, which is a significant fundraiser for their organization. Last year he participated in an international study program in the Czech Republic -- which those of us on the Agricultural Affairs Committee got to hear about today -- and earned the outstanding student award for his academic performance in the program. I'm told that he earned the nickname "Big Country" on this trip for both his athletic leadership of group excursions and his competitive nature.

Please join President Kirwan, Dean Moser, and me in congratulating Bryan Gortner.

Mr. Bryan Gortner:

Thanks, Allyson, for that wonderful introduction. I'd like to start off by saying I think you made a bad decision on the tuition increase. I don't think that's a very good idea, but other than that, on a more serious note, I'd like to thank you all for inviting me here today. This is really an honor to be here and receive this award from you.

More than just my accomplishments, I'd like to thank some of the people who have helped me along the way to get here today. These are people like Dr. Caldeira that have been in my life that have helped me succeed at this University. Two people in particular: first would be Dr. Bernard Erven. When I first came to this University, they said: "This is one of the biggest universities in the country, you're just going to be a number and nobody's going to care about you." Well, Dr. Erven has definitely proved that theory wrong. Just a few weeks ago, I sat down and talked to him for a couple hours about my career after I graduate. I was impressed about how enthusiastic and how much he really cared about me and my future.

Another person I'd like to thank would be my advisor, Dr. Pete Spike. He has been with me since I scheduled my first class. He's talked to me about my problems all through my three years here, and he is helping me prepare for my career after I graduate.

Dr. Spike was also with me on the foreign study to the Czech Republic last summer. I can tell you that that was probably the most wonderful experience I've had since I've been at this University. I had the chance to go over to the Czech Republic in eastern Europe, meet some new people, and learn about their culture and history. On weekends, I got to travel to Italy, Switzerland, France, the Netherlands, and a few other countries over there in Europe. It was really neat to be there and see how people really aren't that much different than us, and that we can all get along and work things out together. That was just a really valuable experience for me.

Also, since I've been here, they have prepared me for a career after I graduate, which is a good thing. I am interested in dairy nutrition. Coming from a dairy farm in Wayne County, I've always been really close to dairy cows, and that's what my interest is. So hopefully, when I graduate in March, I can land a job and have a positive impact on what your ice cream tastes like next summer.

Thank you again, and I encourage you to continue the successful programs you have in the College of Food, Agricultural, and Environmental Sciences.

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STUDENT GOVERNMENT REPORTS

Ms. Kathleen Carberry:

Good morning. The IPC welcomes the newest Board members, Karen Hendricks and Jaclyn Nowakowski. Quoting yesterday's Lantern: "May the best of your past be the worst of your future." We look forward to working with you in the years to come.

The official last day to complete the I-CUE survey was Friday, May 22, 1999. Approximately 25 percent of all professional students completed the survey and a presentation of the results of this survey will take place next autumn. Based on earlier results of the I-CUE survey, the Interprofessional Council will work on three preliminary projects over the summer. The first project will attempt to improve parking for professional students. IPC is working on a proposal to isolate lots available only for "C" parking permits, or we would like to see "B" permits accessible to professional students.

The second project is improving financial aid. An amazing number of professional students will have greater than \$100,000 in debt after graduating. IPC would like to improve the financial aid offices at each of the professional schools, and we will begin by approaching the financial aid officers to elicit suggestions. IPC will then sponsor a conference between the financial aid officers and professional students to outline methods of better debt management.

Lastly, this summer IPC will help the Med Path program at the College of Medicine and Public Health with its funding plight. Our organization supports the program's goal of increasing diversity at the professional colleges. This program allows students from diverse socioeconomic backgrounds a transition year in which they receive fee waivers to learn the basic sciences and, pending successful completion of this year, these students are guaranteed acceptance to the OSU College of Medicine and Public Health.

Unfortunately, the Med Path program is cutting back because of lack of funding. IPC would like to develop similar programs at each of the professional colleges, but first our organization will work with the Med Path program on obtaining funds so it can continue to enhance diversity at The Ohio State University. Thank you to everyone who helped the Interprofessional Council represent the professional students at The Ohio State University.

The Undergraduate Student Government would also like to welcome Karen Hendricks and Jaclyn Nowakowski to their first meeting as trustees. We look forward to working with them.

USG has been spending substantial time working on improving student services. Currently, we are conducting a student survey on academic advising with the intention of using the results to improve training of and output from academic advisors. This is a joint project with University College.

Regarding financial aid, USG has been working with various administrators on maintaining financial aid as a priority for improvement. Hopefully, multiple new services and increased staff will be offered in the fall. Concerning parking, USG has assessed, with Transportation and Parking, the new night parking program. T&P and USG have agreed, based on student input, that the program was successful and should be continued into the future with minor revisions on the times students must move their cars. Additionally, we are working with the student safety and escort service on expanding their reach and amount of vehicles.

STUDENT GOVERNMENT REPORTS (contd)

Ms. Carberry: (contd)

Finally, CGS would also like to welcome Karen Hendricks and Jaclyn Nowakowski to the Board and look forward to working with you.

The Council of Graduate Students co-sponsored a one-day conference on May 7, 1999, for graduate students, graduate studies chairs, faculty mentors, and TA coordinators, entitled: "Preparing Graduate Students for a Professional Career." The conference was well attended by graduate students, faculty members, and administrators, and was valuable for those who participated. The conference was organized by the Office of Faculty and TA Development, and also co-sponsored by the Graduate School.

CGS' Diversity Committee held a successful event, entitled: "Valuing Differences and Leveraging Similarities." Speaker John Peoples addressed a group of about 20 students from CGS, the Black Graduate and Professional Student Caucus, and the Black MBA Association. CGS held its annual spring picnic on Friday, May 21, 1999, outside Kottman Hall on the Agriculture campus, attracting over 300 graduate students from many different departments and programs.

This week, CGS presented its annual service awards at the Graduate School Awards reception to 13 faculty members, staff, and students who have provided outstanding service to graduate students. Among the winners is Dr. Ed Ray, Executive Vice President and Provost, who has been enormously supportive of graduate education and CGS.

At the end of June, CGS will be hosting a quarterly board meeting of the National Association of Graduate and Professional Students. This board will be coming to Columbus in November for our annual national conference.

Also, on May 21, 1999, CGS elected its vice president and secretary for the academic year 1999-2000: Candy Cherrington, a graduate student in the College of Nursing, will be the vice president; and Sabiha Daudi, a graduate student in the School of Natural Resources, will be the secretary. Elections for the president and for the treasurer have been postponed until summer due to candidate withdrawals. However, the Council voted that I stay on as interim president for one more month. Therefore, I will see you one more time, in July, and I can assure you that there will be a candidate in July for that office.

On a personal note, I have enjoyed tremendously my two years of service as CGS president and have enjoyed working with everyone on the Board. If all goes as planned, next spring I will graduate with my Ph.D. in art education. Being a student at Ohio State has been a wonderful experience, and I hope to give back to the University for many years to come. Thank you very much.

Mr. Colley:

The chair of the Student Affairs Committee is recognized for a presentation.

Ms. Longaberger:

Even though we appreciate you staying on one more month to help us through this transition, I wanted to take this opportunity to express our appreciation for your service over the last two years. As the chair of Student Affairs, I can say it's really been a privilege and honor to work with you and you've certainly served the University well. What I have here is an Expression of Appreciation:

**EXPRESSION OF APPRECIATION
KATHLEEN R. CARBERRY**

WHEREAS Kathleen Carberry, a graduate student majoring in arts policy and administration, has diligently served with skill and grace the past two years as President of the Council of Graduate Students; and

WHEREAS giving generously of her time, Kathleen assumed the presidency of the Council when its president suddenly resigned by stepping forward and accepting the challenge; and

WHEREAS Kathleen is a passionate advocate for the graduate students' experience both inside and outside the classroom and will continue to be an asset to Ohio State well after her term as president ends; and

WHEREAS she has been an outstanding representative of the graduate students and has served as the Midwest Regional Coordinator of the National Association for Graduate and Professional Students by advising many college campuses on their programs and helping to start student government organizations for graduate and professional students; and

WHEREAS under her leadership, the Council of Graduate Students this year won the national award for best graduate student organization in the nation; and

WHEREAS in her capacity as President of the Council of Graduate Students, Kathleen has carried out her duties with a high degree of integrity and professionalism and has earned the respect and regard of the faculty, staff, and students with whom she has interacted:

NOW THEREFORE

BE IT RESOLVED, That this Board expresses its appreciation to Kathleen R. Carberry for her outstanding contributions of leadership and service to The Ohio State University, and wishes to convey to her its best wishes for success in the future.

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Mr. Colley:

Just one final presentation to the two new members of the Board. Karen and Jaclyn, I would like to present you with your letters of appointment from Governor Bob Taft. If you both will come up to the front, we will have a photograph taken to memorialize this.

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Thereupon the Board adjourned to meet Friday, July 2, 1999, at Gibraltar Island, Lake Erie, Ohio.

Attest:

William J. Napier
Secretary

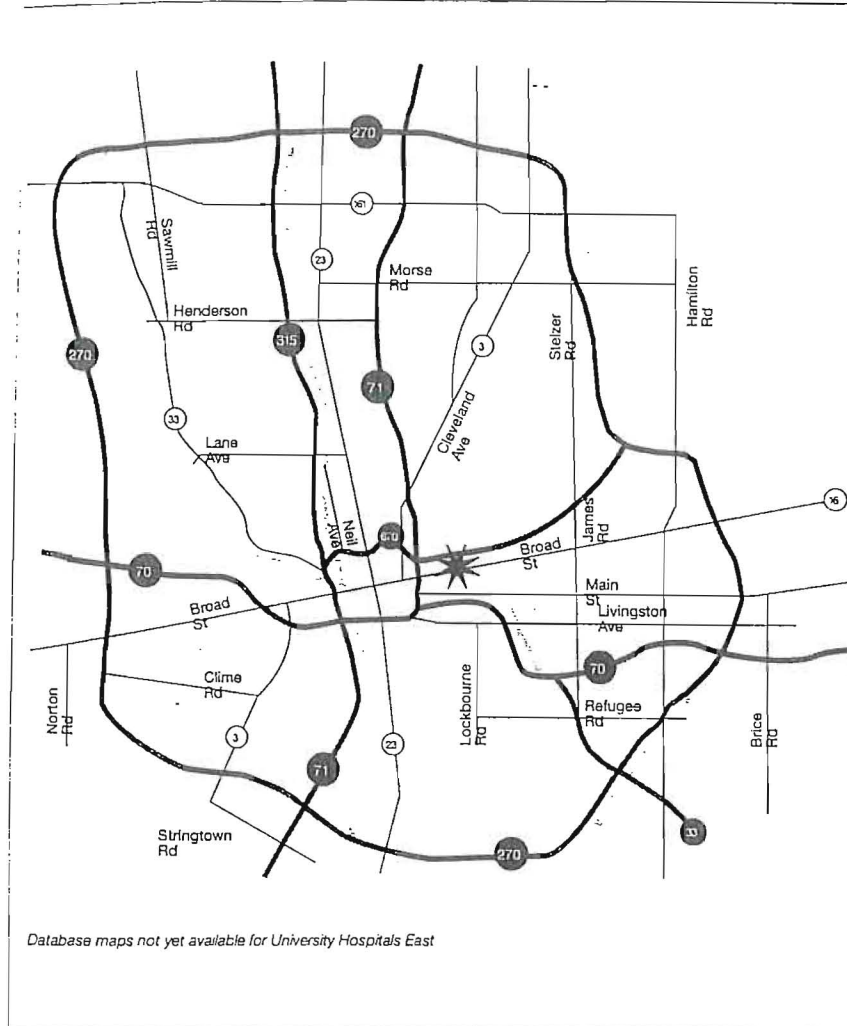
Michael F. Colley
Chairman

Waivers of Competitive Bidding Requirements January-March 1999

(APPENDIX XXXVII)

Category	Total	Sole Source	Emergency	Sufficient Economic Reason
Merchandise For Resale	\$415,000 3	\$390,000 2	\$0 0	\$25,000 1
Hospitals - Professional Health Care Services	\$21,079,791 5	\$75,000 1	\$0 0	\$21,004,791 4
Hospitals - Equipment and Services	\$4,149,231 36	\$3,195,242 28	\$675,796 5	\$278,193 3
Instructional and Research Equipment and Services	\$460,218 8	\$420,218 7	\$0 0	\$40,000 1
Administrative Support Equipment and Services	\$1,613,935 17	\$399,725 4	\$732,725 6	\$481,485 7
Conference Facilities	\$180,000 3	\$125,000 2	\$0 0	\$55,000 1
TOTAL	\$27,898,175 72	\$4,605,185 44	\$1,408,521 11	\$21,884,469 17

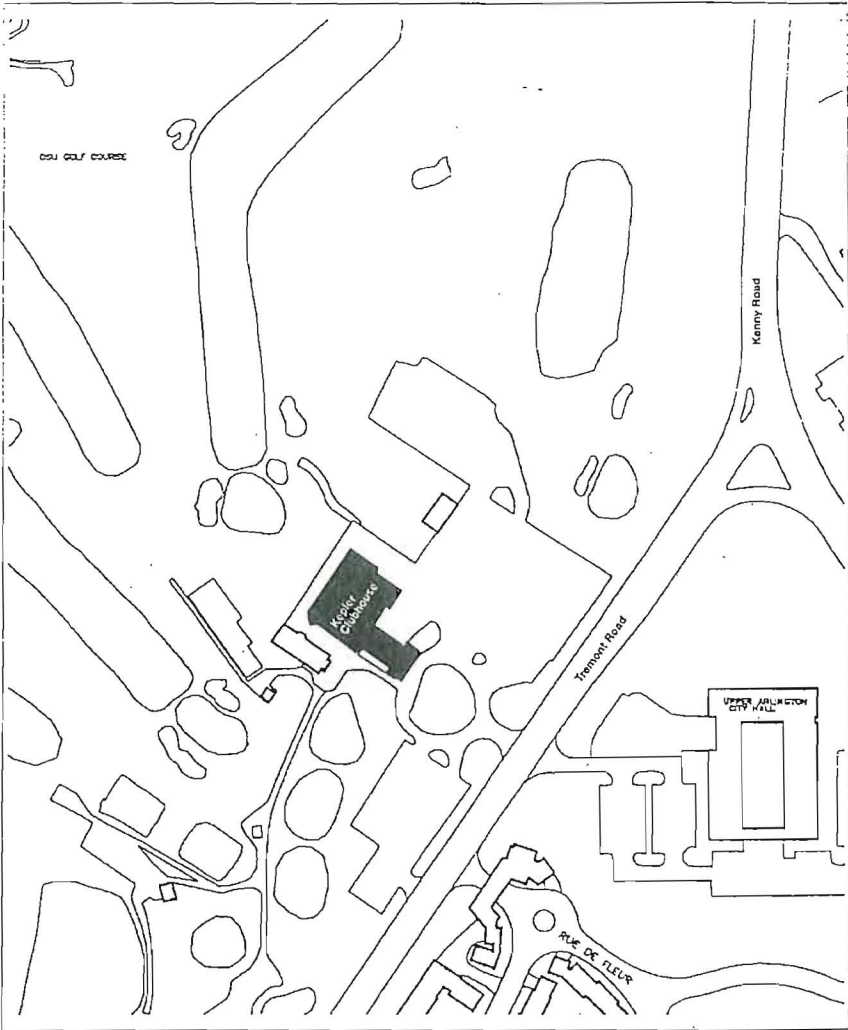
University Hospitals East Muscular Skeletal Program



Office of Business and Finance
Office of the University Architect and Physical Planning
May 15, 1999



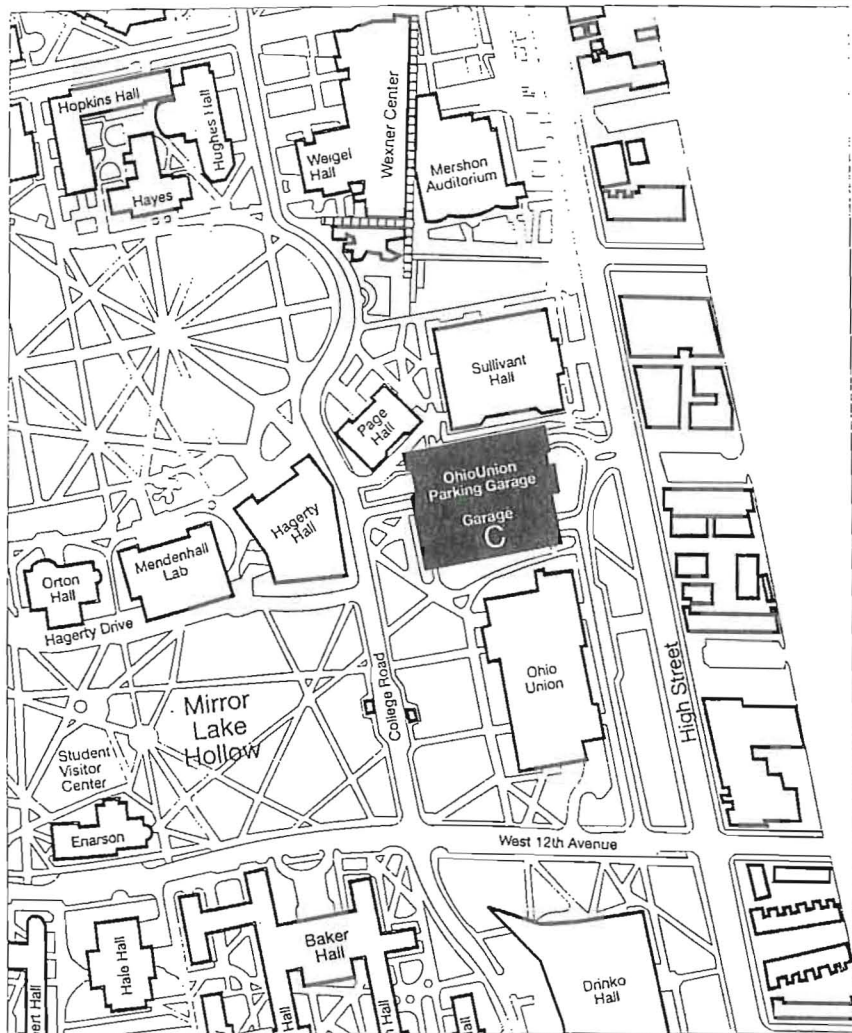
Golf Course - Men's Locker Room Renovation



Office of Business and Finance
Office of the University Architect and Physical Planning
May 17, 1999



Ohio Union Parking Garage - Elevator Renovation



Office of Business and Finance
Office of the University Architect and Physical Planning
May 15, 1999



SALE OF REAL PROPERTY

1.095 ACRES ON STATE ROUTE 33, LOGAN COUNTY, OHIO

Background

Description and Location

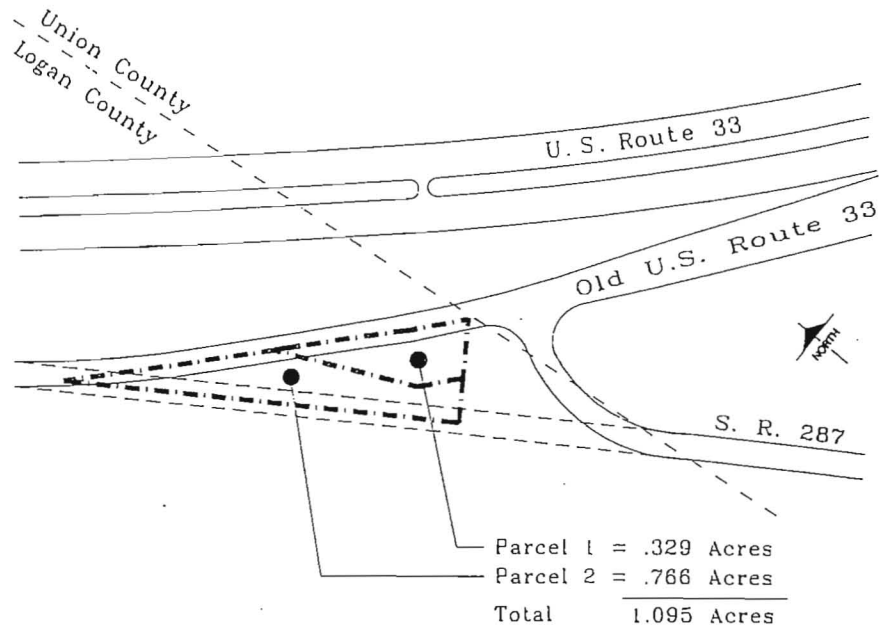
As part of its land holdings in Logan and Union Counties, The Ohio State University owned 4.25 acres of land running along the north and south sides of State Route 33, west of the intersection of State Route 287. The entire 4.25 acres had been assigned to the Transportation Research Center of Ohio. In 1988 the University sold the majority of this land to Honda of America, Mfg., Inc. for the building of its manufacturing plant (Resolution 88-71). The University retained title to two parcels totaling 1.095 acres as it was clear that the Ohio Department of Transportation eventually would need this land for highway expansion. The first parcel is comprised of 0.329 acres, and the second is comprised of 0.766 acres. Title to both parcels is in the name of the State of Ohio for the use of The Ohio State University.

Proposed Sale

The Ohio Department of Transportation desires to purchase the 1.095 acres to provide land for the improvement of State Route 33 in Logan and Union Counties and has offered to pay \$300.00 for this property. (The road improvements now have been completed through a right of entry for that purpose previously granted to ODOT.) The proceeds of the sale would be used to support the Transportation Research Center of Ohio. The purchase price was determined through an appraisal done by the Ohio Department of Transportation. Real Estate and Property Management concurs in the purchase price.

Because title to the property is held in the name of the State of Ohio, the sale is subject to the approval of the Ohio General Assembly through an act authorizing the Ohio Department of Administrative Services to sell the property to the Ohio Department of Transportation.

Proposed Sale of Two Parcels of Land in Logan County
to the Ohio Department of Transportation
for Improvements to U.S. R. 33 and S.R. 287



No True Scale

Office of Business and Finance
May 28, 1999

Map Provided by University Resource Planning and Institutional Analysis

(APPENDIX L)

OSU Board of Trustees
Fiscal Affairs Committee
June 4, 1999
ARMS Project Phase VI-B

- I. Context
- II. Issues Addressed During Phase VI-A
- III. Financial Implications
- IV. What Next?

Office of Academic Affairs
Office of Human Resources
Office of Business and Finance
6/2/99

I. Context

A. Objectives - ARMS is a major upgrade and re-engineering of core Human Resources and Financial systems. It is designed to achieve the following objectives:

1. Replace out of date systems that are increasingly expensive to maintain.
2. Address the year 2000 problem for these systems.
3. Compliance with previous audit findings.
4. Position the University for improvement in quality and speed of administrative systems and management information.

B. Previous Board Action

1. Project in discussion since 1992. . . .
2. Funding first authorized in November 1994 --\$52.5 million authorized through 6/30/98.
3. Partial funding of \$9.6 million for Phase VI of the ARMS project was approved at the July 10, 1998 meeting of the OSU Board of Trustees meeting.
4. The University was to return for additional funding for the remainder of FY 1999 after outstanding issues were resolved regarding the HR upgrade path, Y2K compliance, the FY 1998 audit findings and implementation of General Ledger and Procurement.

II. Issues Addressed During Second Half of FY 1999

- A. HR upgrade path resolved (version 7:0 to be installed by 10/30/99).
- B. Y2K testing and back-up plans adopted.
- C. FY 1998 audit remediation plan adopted.
- D. Procurement implemented as scheduled beginning January 11, 1999.
- E. GL implementation delayed one year (to July 1, 2000) in order to focus on successful implementation of HR upgrade.
- F. HR Payroll continued operations stabilized.
- G. Work plan to address OSURF Reconciliation issues adopted.
- H. Technical Support Team responsibilities and resources reconciled.

III. Financial Implications

- A. Partial funding already approved -- \$9.6 million.
- B. Carry over from FY 1998 -- \$5.4 million.
- C. Additional funds needed to complete FY 1999 -- \$12.0 million.
- D. Total projected expenses for FY 1999 -- \$26.6 million.
- E. Sources and Uses 11/1/94 - 6/30/99

Source	11/1/94 - 6/30/98 (in millions)	7/1/98 - 6/30/99 (in millions)
Colleges	4.5	-0-
Other Units	12.0	-0-
Central	36.0	21.6
Total	52.5	21.6
Uses		
OSU Staff	11.5	5.6
External Staff	21.8	16.0
Software/Software Maintenance	6.0	2.3
Computers/Non-office Equipment	9.8	2.4
Supplies & Services	2.8	1.8
Other	-0-	1.0
Total Expenses	51.9	29.1
Hospital reimbursement	(4.8)	(2.5)
Total Net Expenses	47.1	26.6

Notes: Market adjustments for technical personnel required nearly \$1.1 million above original budget.

These figures are intended include all direct personnel and non-personnel costs associated with the project. They also include continuing costs for the operation of HR Payroll and Y2K compliance. They do not include indirect costs to units for personnel and training.

Collection of remaining \$1.5M from colleges and other units to be completed in FY 2000.

IV. What Happens Next?

Budget for FY 2000 and timeline for project completion will be presented at the July 2 Board of Trustees meeting.

(APPENDIX LI)

OSU Board of Trustees

June 4, 1999

Preliminary Tuition and Fee Recommendations

- I. Context
- II. Recommendations
- III. Implications
- IV. Conclusion
- V. Additional Information

Office of Academic Affairs
Office of Business and Finance
June 2, 1999

I. CONTEXT

A. Review of benchmarking comparison:

1. Revenues per student FTE at OSU (\$5,705 per FTE student) are significantly less (19%) than benchmark institutions¹.
2. State support per student FTE at OSU is also significantly less (\$723 less or 8%) than benchmark institutions¹.
3. Resident undergraduate fees at OSU are significantly below the average (\$290 per student or 7%) for benchmark institutions².
4. Resident undergraduate fees at OSU rank 8th among 13 Ohio public assisted universities and are \$283 (7%) below the State average.

B. Conclusion

This makes OSU an excellent value for Ohio taxpayers, but it also means OSU does not have the resources to fully match or beat our competition in critical areas such as services to our students.

¹ Based on FY 98 benchmark data.

² Based on Fall 1998 benchmark data.

II. RECOMMENDATIONS

- A. In order to maintain a competitive position financially, OSU needs to increase student fees, effective Autumn Quarter 1999.
 - 1. Resident undergraduate instructional and general fees will increase \$231 per year, or \$77 per quarter for a full time student. Non resident fees will increase \$612 per year.
 - 2. Resident graduate student fees will increase \$285 per year, or \$95 per quarter. Non resident fees will increase \$720 per year.
 - 3. Differentially higher increases are recommended for professional and graduate students on a selected basis in Law, Medicine, Dentistry, Vet Med, and Business MBA.
- B. University student financial aid will be increased proportionally to minimize hardship on our neediest students.
- C. 1% will be set aside for improved services to students and instructional technology.
- D. Weighted increase of all charges and fees will be 5.1% for resident undergraduates who live on Main Campus.

E. Increases for students on extended campuses will be significantly less due to additional State funding through Access Challenge.

F. Recommended Differential Fee Increase¹

We are recommending differential fee increases for graduate and professional students in the five colleges listed below. These monies will be used to improve services to students in those colleges inside and outside the classroom.

College	Resident Instructional Fee		Non-Resident Surcharge ²	
	%	\$	%	\$
Law (semester)	9.5	702	5.0	470
Business (MBA) ³	14.6	816	5.0	435
Medicine	9.5	1,077	5.0	1,002
Dentistry	9.5	903	5.0	894
Vet Medicine	9.5	855	5.0	927

G. Recommended Fee Increase for Extended Campus⁴

Due to changes in the funding guidelines for the Access Challenge, a recommendation for the Regional Campus Fees will not be presented until the July 2 Board meeting; however, we expect to recommend little or no fee increase at that time.

¹ Annually (3 quarters or 2 semesters), unless indicated otherwise.

² Non-resident students pay both resident and non-resident fees.

³ First year of a multi-year plan.

⁴ Excludes ATI.

H. General Fee

Recommended allocation of the General Fee for the Columbus Campus for FY 2000 is as follows:

Category	FY 2000 Allocation Per Student Per Quarter ¹
Counseling and Consultation Services	\$11.48
Disability Services	7.42
International Education	6.01
Recreation and Intramurals ²	18.52
Student Affairs Administration	7.56
Student Health Service	22.96
Student Life Offices	13.25
Student Union General Fund Support ^{2,3}	13.34
Other ⁴	<u>9.46</u>
	\$110.00

Note: This is a 10% increase over FY 1999.

Note: General Fee per student per quarter is calculated by taking the annual budget divided by the predicted FTE total for the year. In FY 1999 the annual FTE is predicted to be 140,482.

Note: THIS TABLE REPRESENTS A RECLASSIFICATION OF COSTS BETWEEN THE INSTRUCTIONAL AND GENERAL FEES AS PROVIDED FOR BY STATE LAW AND DOES NOT AFFECT THE TOTAL INCREASE IN FEES.

¹ FY 1999 Enhanced Budget uses a space cost per square foot figure that represents the actual cost of the space to the General Fund. (Law/semester fee is \$150.00).

² Includes only nonrevenue portion supported by General Funds.

³ Includes 56,307 assignable square feet of space not previously included in the General Fee. This is space for which the General Fund is paying rent to the Unions for student activities and shared space not assigned to other Student Services units.

⁴ Includes Student Personnel Program, Student Housing, University ID Center, Student Affairs Projects, Student Commuter Services, Student Advocacy Program and Parent Association.

I. Other Charges and Fees

1. Summary of changes in student charges and fees:

The total cost for a resident undergraduate to attend OSU Main Campus and live in University housing will increase \$527 per year or 5.1%.

	Percent Increase	Dollar Increase
Tuition	6.0	231
Room & Board ¹	4.3	213
Textbooks & Supplies	2.0	14
Health Insurance	5.9	33
Parking & Bus Pass ²	21.2	21
COTA Bus Pass	0.0	0
Football Tickets	20.0	15
Basketball Tickets	0.0	0
	5.1%	\$527

2. Other Increases are as follows:

Application Fees	No change
Acceptance Fees	8% increase
Basic Telephone Service ³	5% decrease
Computer Fees	No change
Faculty & Staff Parking ⁴	20% increase
Faculty & Staff Health Insurance ⁵	16% increase
James Cancer Hospital	3% increase
University Hospitals	3% increase

¹ South dorm 2/room, 10 meal plan.

² Rates are for Main Campus. West campus parking will decrease (by \$12 or 48%).

³ Several features removed from basic service rate reducing 23% to \$22.65/month. However, comparable service was reduced only 5%.

⁴ West campus parking rate will decrease by 54%.

⁵ Family Plan - Prime Care.

III. IMPLICATIONS

A. Why are student fees at OSU increasing more than inflation?

1. The University is committed to improving itself and its value to the people of Ohio.
2. The University is behind competitors in resources available to meet student needs.
3. Although students will share in providing additional resources through higher tuition, the University will also seek other funding sources including private fund raising, sponsored research and internal reallocations through improved operations.
4. Financial Aid will be protected for our neediest students.

B. Additional funding will improve the experience of our students:

1. Reduce student/faculty ratio
2. Maintain purchasing power of library acquisition
3. Increase scholarships and financial aid
4. Increase wages for student workers
5. Improved services to students
6. Improved access to instructional technology

C. OSU is still a tremendous value to Ohio's taxpayers

Academic Reputation*	University	Annual Tuition**
35	Ohio State	\$3,879
69	Miami	5,802
83	Ohio University	4,530
122	Cincinnati	4,746
157	Kent State University	4,714

*Academic Reputation Ranking is based on *U.S. News and World Report*, American's Best Colleges Exclusive Ranking, 1999.

**Annual Tuition is based on Fall 1998 Tuition and Fees for resident undergraduate students. Source: Ohio Board of Regents, "Fall survey of Student Charges," 1998.

IV. CONCLUSION

- A. OSU is an excellent value for Ohio taxpayers, but is not as well funded as its competitors.
- B. OSU students expect and deserve the same or better level of service as students elsewhere.
- C. The combination of increased student fees and state support will allow OSU to continue to make significant improvements in the quality of services to students in FY 2000.
- D. The increased cost of all tuition and fees to a resident undergraduate on Main Campus will be \$527 for the academic year, or less than \$2.50 a day for a ten week quarter.
- E. OSU's commitment to making itself better serve the people of Ohio is a long-term commitment.

SUMMARY OF ANNUAL STUDENT FEES COLUMBUS CAMPUS

1041

	INSTRUCTIONAL & GENERAL FEES				NON-RESIDENT SURCHARGE			
	FY 1999 Fees	FY 2000 Fees	Dollar Change	Percent Change	FY 1999 Fees	FY 2000 Fees	Dollar Change	Percent Change
Undergraduate	3,879	4,110	231	6.0%	7,569	7,950	381	5.0%
Graduate	5,445	5,730	285	5.2%	8,700	9,135	435	5.0%
Graduate Professional								
MBA	5,898	6,744	846	14.3%	8,700	9,135	435	5.0%
Pharmacy	6,429	6,765	336	5.2%	9,258	9,720	462	5.0%
Medicine	11,637	12,744	1,107	9.5%	20,013	21,015	1,002	5.0%
Dentistry	9,813	10,746	933	9.5%	17,871	18,765	894	5.0%
Optometry	8,955	9,420	465	5.2%	17,871	18,765	894	5.0%
Veterinary Medicine	9,306	10,191	885	9.5%	18,468	19,395	927	5.0%
Law	7,692	8,424	732	9.5%	9,394	9,864	470	5.0%

Notes:

Full time fees for Undergraduate Students are for 12+ credit hours.

Full time fees for Graduate and Professional Students are for 10+ credit hours.

SUMMARY OF TYPICAL STUDENT FEES COLUMBUS CAMPUS

		UNDERGRADUATE FEES				GRADUATE FEES			
		1998-99 Fees	1999-00 Fees	Dollar Change	Percent Change	1998-99 Fees	1999-00 Fees	Dollar Change	Percent Change
RESIDENT FEES									
	Instructional & General	3,879	4,110	231	6.0%	5,445	5,730	285	5.2%
(1)	Room & Board	4,929	5,142	213	4.3%	4,854	5,028	174	3.6%
(2)	Text Books/Supplies	695	709	14	2.0%	695	709	14	2.0%
	Subtotal	9,503	9,961	458	4.8%	10,994	11,467	473	4.3%
(3)	Health Insurance	561	594	33	5.9%	561	594	33	5.9%
(4)	Parking & Bus Pass	99	120	21	21.2%	99	120	21	21.2%
	COTA Pass	27	27	0	0.0%	27	27	0	0.0%
(5)	Football Tickets	75	90	15	20.0%	75	90	15	20.0%
(5)	Basketball Tickets	64	64	0	0.0%	64	64	0	0.0%
	Total	10,329	10,856	527	5.1%	11,820	12,362	542	4.6%
NON-RESIDENT FEES									
	Instructional & General	3,879	4,110	231	6.0%	5,445	5,730	285	5.2%
(1)	Non-Resident Tuition	7,569	7,950	381	5.0%	8,700	9,135	435	5.0%
(1)	Room & Board	4,929	5,142	213	4.3%	4,854	5,028	174	3.6%
(2)	Text Books/Supplies	695	709	14	2.0%	695	709	14	2.0%
	Subtotal	17,072	17,911	839	4.9%	19,694	20,602	908	4.6%
(3)	Health Insurance	561	594	33	5.9%	561	594	33	5.9%
(4)	Parking & Bus Pass	99	120	21	21.2%	99	120	21	21.2%
	COTA Pass	27	27	0	0.0%	27	27	0	0.0%
(5)	Football Tickets	75	90	15	20.0%	75	90	15	20.0%
(5)	Basketball Tickets	64	64	0	0.0%	64	64	0	0.0%
	Total	17,898	18,806	908	5.1%	20,520	21,497	977	4.8%

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- (1) Undergraduate - South 2/room, 10 meals. Graduate - single, 10 meals plus phone.
 (2) Estimated costs and inflationary increase per OSU Bookstore.
 (3) Student insurance is based on the single student rate.
 (4) Using main campus rate.
 (5) Five football and eight basketball games.

**DETAIL OF SELECTED RATES
COLUMBUS CAMPUS
FY 2000**

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<u>Student Fees</u>		<u>Recreational Fees</u>	
<u>Room & Board</u>		<u>Health Insurance (Per Month)</u>	
Undergraduate (South - 2/room, 10 meals)	1,714.00	Traditional Plan	
Graduate (Single - 10 meals + phone)	1,676.00	Individual	84.31
Family Apts. (2 Bedroom Per Mo.)	505.00	Family	263.46
Board Only (10 Meals Per Qtr.)	725.00	OSUHP Plan	
<u>Application Fees</u>		Individual	84.31
International (All Students)	40.00	Family	263.46
Domestic Undergraduate	30.00	Prime Care Plan	
Domestic Graduate	30.00	Individual	24.48
Law	30.00	Family	76.51
Other Professional Schools	30.00	Buckeye Plan	
<u>Acceptance Fees</u>		Individual	10.02
Undergraduate (All)	70.00	Family	31.30
Graduate (All)	0.00	Parking (Main Campus Per Year)	
Professional	25.00	4-Wheel Vehicle A Decal	345.00
<u>Equipment Fees</u>		4-Wheel Vehicle B Decal	180.00
Optometry Equipment	340.00	<u>Recreation Fees</u>	
Dental School Instrumentation Kit	723.00	Football Tickets (Per Game)	31.00
Dental Hygiene Instrumentation Kit	278.00	Basketball Tickets (Per Game)	16.00
<u>Computer Fees (Per Qtr.)</u>		Golf Course (Yearly Membership)	1,280.00
Engineering (Undergraduate)	110.00	Golf Course (Green Fees)	28.00
Engineering (Graduate)	120.00	<u>Departmental Rates</u>	
Business (Undergraduate)	85.00	Basic Telephone Service (Per Mo.)	22.65
Business (Graduate)	120.00	University Hospitals (percent change)	3.00 %
Math & Phy. Sci.-CIS (Undergraduate)	73.00	James Cancer Hospital (percent change)	3.00 %
Math & Phy. Sci.-CIS (Graduate)	80.00		
<u>Student Health Insurance (Per Qtr.)</u>			
Student	198.00		
Student & Spouse	503.00		
Student, Spouse & Children	681.00		
Student & Children	507.00		
Law Students (Per Semester)	297-1021 *		
Early Arriving Students (Per Mo.)	66-227 *		
<u>Parking (Per Year)</u>			
4-Wheel Vehicle (Main Camp)	120.00		
4-Wheel Vehicle (West Camp)	30.00		
COTA Bus Pass	9.00		

* Cost varies depending upon coverage for individual, spouse & children.