

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SIXTY-FOURTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, July 7, 2000

The Board of Trustees met at its regular monthly meeting on Friday, July 7, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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July 7, 2000 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on July 7, 2000, at 10:30 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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INTRODUCTION OF NEW TRUSTEE

Mr. Skestos:

Please join me in welcoming Mr. Dimon McFerson as a member of our Board of Trustees. Dimon is well known in the community as the past Chairman and CEO of Nationwide.

A native of Los Angeles, Dimon graduated from UCLA and earned a Master's degree from the University of Southern California. He also holds honorary doctoral degrees from Capital University and Ohio Dominican College, where he was board chair for seven years.

A certified public accountant and chartered life underwriter, Dimon is a leader in his industry and in this community. He serves as chairman of the United Way of America board of governors. Locally, he is the board chairman at COSI, and recently completed two years as chair of the Greater Columbus Chamber of Commerce.

Dimon is succeeding Mike Colley on the Board, and has been appointed by Governor Bob Taft to serve a nine-year term ending May 13, 2009. Dimon, I want you to know, when I came on board and they told me it was going to be until 2001, I thought, "My God, I don't know whether I'll live that long; that's a long time from now." But I have! You will even be younger than I when you reach that age, so good luck!

We are extremely pleased to have someone with Dimon's vision and expertise become a member of our Board. Please join me in welcoming him.

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PRESIDENT'S REPORT

President William E. Kirwan:

Although we are now into the summer months, I want to assure you that activity at this amazing University never takes a vacation. We are three weeks into the summer term, faculty are engaged in important research and teaching activities, and major appointments are being made.

One recent appointment that I want to mention to you is Vernon Baisden as our new Assistant Vice President for Public Safety – in other words, our chief safety officer. Vernon officially starts on August 14, but he is in town today, and I wanted to take this opportunity to introduce him to you.

Vernon comes to us from Keene State College in New Hampshire, where he has worked for the past six years as director of campus safety. He earned a reputation at Keene for transforming their department into one with a strong community orientation focused on collaborative problem solving. Vernon also

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

served as an adjunct faculty member in criminology and as a member of the Keene State College Senate.

He has 15 years of experience in higher education, including 10 years at the University of Michigan -- but we won't hold that against him. In fact, he assures me he has already become a true Buckeye. Vernon, welcome to Ohio State. Will you please stand?

I also want to acknowledge the presence today of Donna Evans, our new dean of the College of Education. You have already approved her appointment and she's now officially at work at Ohio State, and we will hear from Donna just a little later in the program. Donna, welcome to Ohio State as well.

Normally, I use these monthly remarks as a way to keep you updated on a variety of important developments at the University. This month, however, I'd like to focus the majority of my comments on one particular event.

Last month, I led a delegation to three Asian countries selected for their friendship to the University and for the tremendous potential they offer for even stronger relationships with us. We visited Taiwan, Japan, and South Korea.

Our delegation included: Vice President Jerry May; Interim Vice President Dan Sedmak; Vice President Bobby Moser; the president of our Alumni Association, Dan Heinlen; Ginny Trethewey; Joe Alutto, Dean of the Fisher College of Business; David Ashley, Dean of the College of Engineering; and Teckie Shackelford, former chair of our Foundation Board.

I believe this to have been an extremely important trip. In this day and age, a university with the aspirations of ours must have a strong international presence, especially in Asia. We approached the trip with three specific purposes in mind.

First, we wanted to visit major national universities in each country where we either have or are building collaborative relationships. Our focus at these universities was to signal our interest in recruiting outstanding students for graduate study at Ohio State, to create opportunities for our students to study abroad, and to develop stronger research collaboration possibilities for our faculty.

In Taiwan, we met with President Li and deans at National Chung Hsing University -- their major agricultural university in Taiwan -- with whom we will be sponsoring an international conference on trade this December. We also met with President Wei Jao Chen and deans and administrators at National Taiwan University, which is generally considered to be the best university in Taiwan. Interestingly, the director of international affairs at that university is an Ohio State graduate, and we have been assured that the exchange relationship with National Taiwan University is going to grow significantly.

In South Korea, we met with President Byung-Soo Kim and several deans at Yonsei University. Once again, this is the major university in Korea. Here we have an exchange relationship with the Fisher College of Business, where MBA students from Ohio State go to Yonsei for a year and students from Yonsei come to Ohio State to spend a year. It is a wonderful exchange program. We also have an exchange program with them involving undergraduate students.

In Japan, Dean Sedmak and I met with the vice president for medical affairs at the University of Tokyo and developed an exchange agreement for medical

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

students and interns between our two universities. The University of Tokyo is, of course, the premier university and medical school in Japan.

Our second goal during the trip was to connect with alumni organizations and individuals, and establish the means for building a stronger alumni network in the Pacific Rim. We now have thousands of alumni living in these countries, and many have become enormously successful in business and government. In fact, two members of the cabinet of the new Taiwan President Chen Shui-bian are Ohio State graduates.

We received an enthusiastic welcome from our alumni in all three countries. More than 100 alumni attended receptions in every city and they were very generous with their support. In Taiwan, for example, the alumni club paid for the entire delegation's hotel accommodations and ground transportation. They also presented us with an additional contribution of \$15,000 to begin a scholarship fund that will support students in Taiwan who want to study at Ohio State. The visit clearly energized Ohio State's alumni presence in Asia, and it provided a strong basis for future efforts.

Our third purpose was to meet with government officials and business leaders who can help us strengthen our relationships in that part of the world. Again, we were very successful. We met with the new president of Taiwan, Chen Shui-bian; Mr. Yoshino, CEO of Honda, and other top officials from that corporation; the minister of education in Korea and the vice minister of education in Taiwan; the president of the Korean Highway Corporation, where we have a training program sponsored by our Fisher College of Business and College of Engineering; and 20 business executives in Tokyo, all of whom do business in Ohio.

As I said, I believe this trip was extremely valuable and forms the basis upon which we can build a much stronger presence in these countries.

I came back from the trip with two lasting impressions. First, I've traveled to that part of the world half a dozen times before, but this is the first time that the reality and the immediacy of the global economy was so evident to me. Halfway around the world, I was meeting with the leader of one of the leading employers in Central Ohio – the Honda Corporation. And I met with employees at the Korea Highway Corporation who have received management training right here at Ohio State. It is apparent that the everyday lives and actions of people in Ohio, and at Ohio State in particular, are intricately entwined with the lives and actions of people in Japan, Taiwan, South Korea, and many countries around the world.

The second impression I carried back with me was a confirmation of the international stature and global reach of The Ohio State University. When you hear a large group of alumni in Taiwan, about as far from Columbus as you can get, singing all three verses of *Carmen Ohio* – how many of you knew there were three verses to *Carmen Ohio*? – with passion and gusto, it just leaves an indescribable feeling of pride in this University and its impact on people all over the world.

Before we proceed with the agenda, I also must mention one very sad and disappointing piece of news which you are all aware. As you know, David Williams, who has been a valuable member of The Ohio State University since 1986, and who has done an extraordinary job as vice president for Student Affairs for the past seven years, will be leaving Ohio State at the end of the month.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

David's outstanding service to this University has been marked by his dedication, his hard work, his keen insight, and his genuine concern and empathy for our students, staff, and faculty. He also has been one of our most effective ambassadors. Through his efforts, we have strengthened our relations with the larger community. In this regard, his leadership of the Campus Partners effort, and, in particular, the Gateway project, has been extraordinary.

I am extremely saddened to see David leave, as I know all of you are. David, there will be other opportunities in the coming weeks to celebrate your many accomplishments at Ohio State, but I didn't want this occasion to pass without acknowledging your exceptional contributions to our University in so many ways. Would you please stand so we can do so?

We will soon begin the very difficult task of finding a permanent replacement for David. We will launch a national search this month. In the meantime, we are fortunate that we have someone as talented and experienced as Bill Hall to take over as interim vice president for Student Affairs, effective August 1, 2000.

Bill has been with Ohio State 23 years, and has been assistant vice president for Student Affairs for the past six years. He has developed a national reputation for his innovative programs that focus on the quality of the student experience and he enjoys strong support from faculty, staff, and students. Bill, would you please stand and let us welcome you to your new responsibility?

Mr. Chairman, as we have a very full agenda today, I'm going to conclude my report.

At this time, with your permission, I'd like to introduce Dr. Robert Michler, chief cardiologist at Ohio State, and Mr. Reed Fraley, who will be making a very important presentation concerning our plans to develop a heart hospital. So let me turn it over to Dr. Michler and Mr. Fraley.

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PRESENTATION ON THE HEART HOSPITAL

Mr. R. Reed Fraley:

President Kirwan, Chairman Skestos, and Board members, Dr. Michler and I thank you for the opportunity to have a few minutes on the agenda this morning to discuss our continuing and dynamic developmental heart center at Ohio State University. There continues to be a lot of activity around the Medical Center and, while it's a challenging environment, it's also a very dynamic and exciting one.

Before I proceed, I'd like to digress for 30 seconds, and inform you that the *U.S. News and World Report* rankings were released this morning. I'm pleased to report that, once again, the OSU Medical Center and The James are listed on that report as among the 150 hospitals in the country, out of 5,500, that are recognized. Specifically the areas include: endocrinology; gynecology, for both the Medical Center and The James; rehabilitative medicine; respiratory disease; ear, nose, and throat in both The James and the Medical Center; geriatrics, and kidney disease; and urology and cancer at The James.

What we know, through some of these rankings and our analysis, is what we're going to be talking about today -- the Heart Center -- is on the radar screen and we expect to be in those rankings in the very near future. What we always need

PRESENTATION ON THE HEART HOSPITAL (contd)

Mr. Fraley: (contd)

to be cautious about is the importance of these types of lists. This one has been around for some 10 years now, and has become the benchmark by which institutions are rated or ranked. It has become the website for which people check to see what hospital to come to. So we are very pleased to have the only hospitals in Central Ohio that are recognized.

To move back to the topic at hand -- our OSU Heart Center. Over the past years, you've heard from us about a number of projects related to heart care. We're here this morning with another update on our efforts to build a world-class heart program, and to continue to build that program, which you allowed us to start several years ago.

OSU has a rich history in setting the pace for heart care in Central Ohio, as you'll hear later from some of the comments that Dr. Michler will be making. But most recently, you know we've continued that commitment as evidenced by much of the national talent we've been recruiting. National talent whom have been on the forefront of such things as minimally invasive surgery and the use of robotic assistance. In fact, Dr. Michler has been on the forefront of bringing those techniques to our Medical Center.

Additionally, as you have been very involved, the most visible step we've taken to enhance our cardiovascular services is the new OSU Heart and Lung Institute scheduled to open within a few weeks. This is truly a state-of-the-art facility, and puts us in the forefront of research to help us eliminate heart and lung disease -- ideally, to even put ourselves out of business in a few years.

Today we're here to ask your support in another important step in the continued commitment to world-class health care at The Ohio State University Heart Center. We're asking your permission to hire architects to assist us in designing a proposed heart hospital adjacent to the Heart and Lung Institute. This proposed new heart hospital, combined with the Heart and Lung Institute, will provide a unique combination of expertise. More importantly, it will allow us to take the leading edge discovery research of the Heart and Lung Institute and immediately transfer it to the bedside to improve the quality of life for our patients and the citizens of Ohio.

The Ohio State University Hospitals Board acted upon this recommendation on June 22, and recommended to this Board, hopefully to seek your permission today, for the approval to actually seek out those architects.

There is a very definite distinction in what we're doing here at OSU. We can't lose sight of the fact that we are the only academic medical center in Central Ohio. Thus it is a responsibility that we take seriously and one that requires us to acquire new knowledge, to teach that knowledge, and then to translate that knowledge to the patient care as quickly as we can. It is what our community, the citizens of Ohio, expect from us and what we are committed to doing.

The next step on the road of creating that world-class heart center is the proposed new heart hospital. With that as an introduction, I'd like to turn it over to Dr. Michler, who will give you a broad overview of the exciting vision for the new OSU Heart Center.

Dr. Robert E. Michler: [PowerPoint Presentation]

Thank you, Reed. What I'd like to do today is to give you a sense of what distinguishes us and why we should consider this kind of effort. Most

PRESENTATION ON THE HEART HOSPITAL (contd)

Dr. Michler: (contd)

importantly, at the core, at the very heart and soul of who we are as an institution, it is clear that we must provide world-class care. And we must do so by fulfilling three aspects of that: 1) to be comprehensive and address the needs of our patients; 2) to advance basic and clinical cardiovascular research; and 3) to promote the education of those individuals who come to us.

How are we planning on achieving this mission? Clearly to begin with we must merge and join cardiology and cardiothoracic surgery into a common entity that will be discovering new technology, new techniques, and new methods of diagnosis. With the help and aid of The Ohio State University, the College of Medicine and Public Health, and the Hospitals this new Ohio State University Heart Center, comprised of a Heart and Lung Institute and a state-of-the-art heart hospital, will become a premier center for cardiovascular medicine in the United States.

But let's stop for a moment and ask ourselves a simple question, how big a problem is heart disease? Should we focus our attention on heart disease at all? Let me address that by telling you that heart disease is the number one killer in the United States and in developing countries. In fact, heart disease kills more people in this country than the next seven leading causes of death put together.

How does that impact on the State of Ohio? In several ways. Every one in five people in the State of Ohio have cardiovascular disease. We rank 7th in the number of deaths from coronary artery disease and 15th in the risk of death from heart disease and cerebral vascular accidents.

Nationally, this is very expensive -- nearly \$290 billion of expense annually. More than 58 million people in the United States live with heart disease. Where has The Ohio State University been with respect to the management of heart disease? There is a long tradition of excellence beginning in 1952, with the first cardiac catheterization. Many of these things were actually first in the State of Ohio, if not the Midwest: open heart surgery, selective coronary catheterization, the first heart transplant in 1986, and the first total artificial heart implant in 1988.

So this long tradition has actually culminated over the last three years in a very accelerated and dynamic proposition to bring to Ohioans and to Americans a level of care unsurpassed. In 1997, we began with the first minimally invasive heart surgery program -- a gene therapy program. The following year, we introduced lung transplantation to Central Ohio; the first genetic program for heart attack patients using gene therapy to diagnose and treat patients with heart disease. The first laser heart surgery program was also performed in that year. And last year, we performed the first successful bridge to transplantation using a new mechanical heart. This was the first time in the entire world that this had been accomplished, and it was accomplished here at The Ohio State University. That year the first double lung transplant and -- something you've heard so much about -- the robotic-assisted coronary artery bypass surgery was performed in 1999. This was the first in the Western Hemisphere, and we continue to be among the top five in the world performing these kinds of procedures.

So how do we begin? What are the steps that are underway in order to make this a reality? You see what has distinguished us in the past and most certainly in the recent past. How are we planning on addressing this for the future? We must assemble national and international talent.

PRESENTATION ON THE HEART HOSPITAL (contd)

Dr. Michler: (contd)

Over the last three years, we've hired four new heart surgeons to our program. One was a NASA space shuttle engineer who went to Yale University and we recruited here. The second was one of the first individuals in the entire world to perform robotic heart surgery and trained the European heart surgeons – he is now on our faculty. One of the experts in congenital heart surgery, pediatric heart surgery, is now a member of our faculty. Each of them are developing and spearheading efforts that combine research and patient care and the ability to translate these new technologies into patient care for the benefit of each and every one of us and our families.

This is how we've been able to achieve national and international attention. It's why in the last several months The Ohio State University was featured on the front page of the *Science Times* in the *New York Times*, and how within several weeks of that appearance there was another article about Ohio State University on gene therapy. It's why Tom Brokaw and Robert Bazell of the *NBC Nightly News* came to visit The Ohio State University and do a piece on national television about our achievements. It's why *Forbes Magazine* wrote about The Ohio State University. These are distinguishing characteristics, they're accomplishments, and they're happening right here.

The generation of new approaches stems from the genetics of heart attack, with the human genome project, in which scientists in the Heart and Lung Institute participated in for the benefit of diagnosing heart disease and one day being able to provide treatment. It's also very interesting how an entire floor of the new Heart and Lung Institute is dedicated to angiogenesis. A fascinating area of blood vessel research that combines heart and cancer researchers in a very simple example by telling you that a cancer tumor grows because it has a blood supply, but that blood supply is not what you want a tumor to have. So research that can eliminate that blood supply can cure cancers. At the same time, the benefit of knowing how to grow blood vessels can help patients with heart disease and heart attacks. So having these researchers on the same floor is wonderful, because a failure in one laboratory becomes the success in the laboratory across the hall. That kind of integrated approach is what we are achieving here at this University.

Novel means of drug delivery -- such as a new technology called nanotechnology, through Mauro Ferrari, a brilliant scientist recruited from Berkeley, whose company left Silicon Valley to come to Columbus, Ohio, and The Ohio State University. He is creating chips that are smaller than your pinky fingernail that contain information. Whether they're clot-busting drugs to prevent heart attacks, or they're new chemotherapeutic agents, or they're cells such as muscle cells or new blood vessel cells that with the aid of a robot can be inserted inside of the heart, all of these things are here at The Ohio State University. Once again, these things distinguish us.

Our comprehensive Heart Center team is designed to provide seamless transition between two adjacent facilities -- an inpatient/outpatient cardiology to cardiothoracic surgery and through to the rehabilitation process. The purpose of this is to provide more efficient, streamlined, very patient/user-friendly care. And through doing this, by creating these kinds of efficiencies, you can understand how we can reduce the cost of delivering this kind of care.

We will truly be an example not only to other institutions within the State of Ohio, but, we certainly anticipate, throughout the world in the accomplishment of heart care. Can you imagine what this all means to the students of the future? To the residents' training, to fellows who want to be heart surgeons and cardiologists,

PRESENTATION ON THE HEART HOSPITAL (contd)

Dr. Michler: (contd)

the opportunity to have two world-class institutions synergistically operating, overlapping in their design, their development, and their opportunity to benefit patients. This will be a rich resource for people who are learning how to become physicians, scientists, surgeons, and cardiologists.

This kind of recognition has already made an impact throughout the State of Ohio, because other institutions in the state want to be part of who we are. MedCentral System in Mansfield opened an open-heart surgery program with our help, and they are part of our team, and Fairfield Medical Center and Mary Rutan Hospital the same. There are other institutions and other programs who are interested in being part of our team, because quality is recognized and quality is rewarded.

How are we going to achieve this kind of world-class vision? I think you have a very good example in what we've accomplished already, but the future is strong. World-renowned experts in the care and delivery of heart care are already joining our faculty, and we have plans for others. The latest technology must be performed in a facility that is streamlined and efficient. We have national and international ventures.

Last Monday, we performed a live telecast to Dresden, Germany. We were the only center in the United States that performed a live telesurgery using a robot to perform a mitral valve example operation. There was no other center in the United States that transmitted this and it was transmitted to the European Congress of Cardiovascular Scientists, Surgeons, and Cardiologists. Over 700 Europeans were in attendance, and The Ohio State University was the program selected to demonstrate this new technology.

We have partnerships with world leaders in medicine and industry. As you may know, the United States Surgical Corporation gave us \$6 million to help in the development of less invasive heart surgery.

All of this is what we can achieve and hope that you will help us achieve. We can't do this alone. We need your support and help, and we hope that you will be supportive of this venture.

I must turn for a moment and think of Henry David Thoreau, the poet and essayist whom you all remember, who wrote many years ago: "To know that one life has breathed easier because you have lived, that is to have succeeded." The Ohio State University has a tremendous opportunity to live these words of Henry David Thoreau. Thank you, and we appreciate the time that you've given us this morning.

Mr. Skestos:

All I can say is "Wow!" I want to thank you for coming here this morning, and it is people like you that are here at Ohio State and that will be coming to Ohio State that will make it one of the nationally-renowned medical centers in this country. Thank you very much. Any questions of these gentlemen?

President Kirwan:

Let me also congratulate you and thank you for the presentation. I think what the world needs more of is outstanding cardiologists who can quote Thoreau -- a powerful combination.

PRESENTATION ON THE HEART HOSPITAL (contd)

President Kirwan: (contd)

I just want to voice my very strong support for this initiative. We recognize at the University, and within the Medical Center, that we cannot be a leader in everything, every area of human endeavor and academic discipline. We have to be focused and selective, and we see this investment in cardiology as one of our targets of opportunity to build world-class eminence. I just want to say how strongly I support this initiative, and how important it is to the future of our Medical Center and our University.

Mr. Skestos:

Anything else? Any other comments or questions? Again, thank you very much.

Mr. Fraley:

Thank you for your time.

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PRESENTATION ON THE OHIO PRINCIPAL'S LEADERSHIP ACADEMY

Mr. Skestos:

I would like to now call on Provost Ray to introduce several presentations: 1) the Ohio Principal's Leadership Academy; and 2) the Vision of the College of Education.

Provost Edward J. Ray:

Thank you, Mr. Chairman and members of the Board. I'm pleased to introduce one of Ohio's newest educational enterprises and one of The Ohio State University's newest initiatives to improve our state's K-12 educational system -- the Ohio Principal's Leadership Academy, located on The Ohio State University's main campus. The Ohio Principal's Leadership Academy's first president, Joseph Murphy, has been in office for just one month. We were very fortunate to attract Joe, who is one of the country's foremost authorities in the field of educational leadership. He comes to us from Vanderbilt University, a name some of you may be familiar with.

Under Joe's guidance, the Ohio Principal's Leadership Academy is the centerpiece of a statewide effort to help Ohio's school principals become more effective school and community leaders. As Joe will explain, the Ohio Principal's Leadership Academy was founded last year through a partnership of government, education, and business leaders with a governing board that reflects diverse perspectives and input.

As chair of the board, I can attest to the deep interest of its members in addressing the quality of education by improving the quality of educational leaders. Joe is here today to describe to you the Ohio Principal's Leadership Academy, and the tremendous opportunity it offers principals around the state to produce world-class schools by acquiring world-class leadership education.

Dr. Joseph Murphy:

I would like to begin by thanking you for the opportunity to be here and to thank Provost Ray for that very thoughtful introduction. Let me begin by saying that

PRESENTATION ON THE OHIO PRINCIPAL'S LEADERSHIP ACADEMY (contd)

Dr. Murphy: (contd)

people often ask, "Why focus on leadership?" When you look at the school improvement literature and you begin to unpack why certain schools succeed and others don't, there are basically a handful of factors or variables or conditions that explain quality schools. One of the fingers on that hand is school leadership. You very rarely find a successful school that doesn't have a strong educational leader at the helm. So this is a particularly important piece of the school improvement equation that needs to be focused upon.

A few words in terms of background. The Academy enjoys robust support from a wide variety of players, from the Governor to the Legislature, to The Ohio State University, and, particularly strong support, from the Ohio Business Roundtable, which actually provided the genesis for the idea and started the ball rolling, if you will.

That group – it was an interesting dynamic – came together and worked for about a year under the leadership of the Ohio Business Roundtable and John Pepper, from Proctor & Gamble, to put the idea together and begin to weave the concept into a design structure. They did a lot of background work. They worked with the Legislature, which is where the basic funding for the Ohio Principal's Leadership Academy comes from. They worked and strong-armed our colleagues at Ohio State University here for some space. We are located in Page Hall, next to the Wexner Center, and Ohio State is clearly one of our major partners. Our other partners include The Limited and Battelle – both made early contributions to where we are.

The mission of the Academy is very straightforward. We are in the business of helping existing school leaders improve their ability to impact student learning. It is as simple as that and that's basically where we're headed. We have a governing board, I think a fairly interesting governing board, that represents the coalition design of this work. It's laced with some very influential leaders from the education sector: both of the executive directors are from the Ohio Association of Elementary and Secondary Schools principals' group; the state superintendent of public instruction; and Chancellor Chu, from the Board of Regents.

It also has a very strong corporate flavor to it as well: Rich Stoff, president of the Ohio Business Roundtable; John Pepper; Doug Olesen; and Al Dietzel. Folks like that represent a second piece of the puzzle if you will. The third leg of the stool is the political leg and we have George Tombaugh, from the Governor's office.

Again, the team that was put into place represents a nice example of the partnership of what the Academy is about. I come from the education sector and I sit as president. The chief operating officer comes from The Limited and is on loan three-quarter time. Again, we have the education/corporate sense of what we're about as we set about the business of strengthening the leadership skills of school principals throughout the state. That's all in terms of background.

One of the central points we want to make is there is no shortage of people telling school principals what they should be doing and what they should be working on or how they should go about their business. There are plenty of opportunities for principals to dabble in professional development activities, both in the state and nationally.

What the Ohio Principal's Leadership Academy stands for is education. There is a plethora of management, organizational, and political training out there for

PRESENTATION ON THE OHIO PRINCIPAL'S LEADERSHIP ACADEMY (contd)

Dr. Murphy: (contd)

school principals. Where there is a tremendous shortage in is the core business of why we have schools, which is the education function. So the Academy is clearly committed to the notion of helping principals understand their business, and their business is education.

We couple that with the best information that we can find from the political, corporate, and education world about what quality leadership looks like. Our understanding of the world is if we can put those two pictures together, a powerful understanding of what quality education is and the best available information about leadership, you are going to drive schools to higher levels of student learning. That's basically what we're all about.

We're committed, as is the College of Education, to developing very deep partnerships with all of the communities involved. This isn't a solo effort by the education world; again, our strongest base to date has been the corporate sector. It has been the driving force and continues to provide a lot of the lifeblood into what we're all about.

We are very closely connected to the real world of practice. We have a very powerful advisory board of school principals that guides and shapes us. We also build what we do on the new set of national standards about what school leadership needs to be and what it needs to look like. Again, those standards are unequivocally anchored to the body of knowledge that shows which principal behaviors, performances, and dispositions lead to higher learning for students in schools. So that's basically where we come from.

We're a fairly small organization at this time and we're involved in a series of ways to influence the quality of leadership in the state. First of all, we are developing some of our own products because what we want isn't out there. So that's one strategy that we have, the development strategy. We are scanning the world right now to figure out where we can incorporate powerful programs and ideology that's out there, that we can either lift whole cloth or slightly adapted into the academy. We've talked to the Fisher College and the Glenn Institute on campus already and made a series of other contacts. Again, most of those in a corporate sector to this point.

The other strategy is shaping. We believe we have as good of fire power around the issues of school improvement and leadership connected to that as you can get in the world. So what we hope to be able to do, is to work directly with school districts and other initiatives that are out there to help them reshape where they are headed, in order to get closer to the path of school improvement in higher student performance. We want to be able to have a shaping or influencing. So we're going to develop, we're going to contract, and we're going to try to shape what goes on throughout the state.

Let me just say a few words about some of the near term objectives and then I'll stop. Most principals work primarily by being given a key to the building, which they get when they're hired, and then they hand that in 35 years later when they retire. That's not a very powerful model for growth and development. So one of the things we started early on was a comprehensive, two-year longitudinal, extensive development program for beginning school principals. This will help them develop their own skills and build a network of relationships to other school leaders, in particular, a mentor whom they have assigned to them. That's one of our programs.

PRESENTATION ON THE OHIO PRINCIPAL'S LEADERSHIP ACADEMY (contd)

Dr. Murphy: (contd)

We are just in the process of getting our website up and running. A second example -- and we have our request in for the next biennium for a few additional programs that we would like to see started -- is a comprehensive program for more experienced school leaders. Another example would be for an initiative specifically focused on the problems that urban principals face. Urban schools offer an array of challenges that we have not been very successful in this country in cracking over the last century. We believe leadership is one of the keys to improve urban education and that makes their professional development even more important.

That is basically the picture of who we are, where we come from, and who the supporters are of the work that we're about. With that I'll stop, and if there are any questions I'd be happy to answer them.

Mr. Skestos:

Thank you, Dr. Murphy.

Dr. Murphy:

Thank you.

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VISION OF THE COLLEGE OF EDUCATION

Provost Edward J. Ray:

Mr. Chairman and members of the Board, I'm very pleased to second President Kirwan's welcome to The Ohio State University's new dean of the College of Education. Dr. Donna Browder Evans earned three degrees from Ohio State and has a proven record as a dean at Old Dominion, the University of North Florida, and Wayne State. Her background makes her uniquely qualified to take Ohio State's highly ranked College of Education to the very top of its discipline.

Dr. Evans is a national expert on urban education and her commitment to working with the city and state in addressing K-12 issues with effective partnerships, matches the goals of this University. She has a proven record as a strong and effective manager who can attract, energize, and retain highly talented faculty, staff, and students. It is my pleasure to introduce to you Dr. Donna Evans.

Dean Donna B. Evans:

This is my fourth day as the dean of the College of Education at The Ohio State University and I'm thrilled to be here. I will just say a few words about my vision, because one of the things that I've learned in my career as a dean is you do a lot of listening. Then, hopefully, you develop a shared vision with academics if you really want to get some things done, and there are some themes around which I'd like to see those things happen.

One of the things that is very important is first to reevaluate our preparation of educators, particularly teachers. Clearly we need to take a look at what we're doing if we're going to really service our constituent groups of people, and those are primarily public schools. I'm a firm believer in public schools -- I think it's

VISION OF THE COLLEGE OF EDUCATION (contd)

Dean Evans: (contd)

what has made our country great. I think that we probably didn't pay much attention to public schools until they became problems in our society. So now public education is at the top of the national agenda. And that's just fine with me, particularly urban education. One of the things we know is, as our cities go, so goes our country. Our urban schools are in difficulty and we need to work together to make those changes. So that's one major vision.

A second vision is to build technological capacity in our college both for academic support, but especially for instructional support. Actually, that is fun, and I'm not a techy-type. I just know the right answer when I see it. One of the things that we need to do is to hire people who know how to make those things come into implementation. We've already begun to take some looks at what we need to do with technology.

In these four days, I've learned that there are lots of things that are going on in that college. Unbelievable! As you know, this college continues to be in the top tier of colleges of education in the *U.S. News and World Report*. This year we were ranked eighth, overall. There are about 157 graduate education programs in the country, so number eight is not bad. Michigan was number eight last year and we were number seven, so we have to do something about that this year.

The future of teacher education in this country is very critical. In Ohio it is very critical, because one of the things that we know is that teachers make every other profession possible, every single one. The wonderful discussion I heard earlier about the heart hospital -- somebody had to teach those doctors how to read, think, and make decisions, and we do that.

I hope that you will have me back a year and four days from now, when I will have solidified some of our visions, and we will be able to talk about some of the things that have happened. I do have a big, big dream and I did warn both the president and the provost when I came that I really like to make spaces beautiful and important for people who live and work and learn there. I was thrilled to find when I came that there is a major renovation project that has been conceptualized for Arps and Ramseyer Halls. That will enable the college to unite physically, as well as philosophically, and to consolidate two schools and student services from 13 buildings all over campus into two. That would be very exciting. It would also provide a vision of what an education college should be and how important that is in the whole scheme of a university of this magnitude.

I hope to be back here within the not-too-far future to talk to you about creating a northern gateway at the corner of College Avenue and North High Street that would be an anchor for the Columbus campus and an invitation to learning. So until you invite me back, I'm really happy to be home again. It occurred to me as I was driving to school past a corn field that is truly higher than "knee high by the Fourth of July," that it's really fun to be back, and it feels right. Thank you so much.

Mr. Skestos:

Thank you, Dean Evans.

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CONSENT AGENDA

President William E. Kirwan:

We have 20 resolutions on the Consent Agenda today. I would like to ask that #11 and #13 be set aside for a separate vote, and unless there are any objections, I would like to recommend the remaining 18 resolutions to the Board:

Mr. McFerson:

As a new member, may I ask a question about this resolution? It's only to clarify the Creation of the Office of Information Technology in the "WHEREASes." In my opinion, organizing this makes a great deal of sense. Not only is it for the maintenance of systems and to get the synergies and effectiveness, but every bit as important is the strategic emphasis of looking forward, as to creating a strategic technology strategy and making sure we're always looking well into the future, Dr. Kirwan. So if the "WHEREASes" could be expanded to include that comment. It's in the detail, but I really believe it's a very important point to make as to why we are doing this

Mr. Skestos:

Yes, we can amend and provide that in there.

CREATION OF THE OFFICE OF INFORMATION TECHNOLOGY (OIT)

Amended Resolution No. 2001-1

WHEREAS the Administrative Resource Management System (ARMS) project was established in 1994 and charged with implementing new General Ledger and Human Resources systems for the University; and

WHEREAS implementation of those systems, as well as a Procurement system, will be completed by September 30, 2000; and

WHEREAS maintenance and production support of these systems is an on-going technology function; and

WHEREAS University Technology Services (UTS) provides these on-going support services for other systems; and

WHEREAS the transition project initiated in December 1999 has recommended that on-going support of these systems can best be accomplished through a single consolidated organization combining the best practices of UTS and ARMS to achieve an efficient and effective technology organization; and

WHEREAS A CONSOLIDATED ORGANIZATION WILL ENHANCE THE UNIVERSITY'S ABILITY TO PLAN STRATEGICALLY FOR FUTURE TECHNOLOGY NEEDS:

NOW THEREFORE

BE IT RESOLVED, That effective July 2000, ARMS and UTS begin a transition into a single unit organized by functional working area and named the Office of Information Technology under the leadership of a Deputy CIO and Executive Director with transition to be completed by no later than September 30, 2000; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees be hereby authorized and directed to incorporate the above changes in the Bylaws of the Board of Trustees and the Rules of the University Faculty.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE**

Resolution No. 2001-2

Synopsis: Approval of the following amendments to the Rules of the University Faculty and Bylaws of the University Senate are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty and Bylaws of the University Senate as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty and Bylaws of the University Senate were approved by the University Senate on June 3, 2000:

Amended Rule

3335-5-37 Membership.

There shall be a university senate, a unicameral body constituted as follows:

- (A) Unchanged.
- (B) Non-voting members: senate members not entitled to vote on the floor of the senate, but otherwise to participate in all senate deliberations, shall include the chair of faculty council (if not a member of the senate), the president of the alumni association, the secretary of the university senate, THE SECRETARY OF THE FACULTY, the president of the freshman senate, the president of the undergraduate student government, the president of the council of graduate students, and the president of the inter-professional council, and the chair of the university staff advisory committee. (B/T 7/7/72, B/T 6/14/74, B/T 7/9/76, B/T 7/22/77, B/T 7/20/79, B/T 2/3/84, B/T 2/7/86, B/T 2/1/91, B/T 4/7/95, B/T 5/3/96, B/T 8/1/97, B/T 12/4/98, B/T 4/6/99, B/T 7/7/2000)

Amended Senate Bylaw

3335-19-03 Agenda.

- (A) and (B) Unchanged.
- (C) The secretary of the university senate shall send AND MAKE AVAILABLE ELECTRONICALLY copies of the agenda for all senate meetings to all members and alternate members at least seven days prior to the meeting.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty and Bylaws of the University Senate be adopted as recommended by the University Senate.

REVISIONS TO THE SEXUAL HARASSMENT POLICY

Resolution No. 2001-3

WHEREAS the University desires to ensure an environment for work and study free from sexual harassment; and

WHEREAS the University wishes to revise its policy and procedure relating to the investigation and resolution of allegations of sexual harassment; and

REVISIONS TO THE SEXUAL HARASSMENT POLICY (contd)

WHEREAS the University is required by law to adopt such a policy and procedure; and

WHEREAS the appropriate University officials and committees have been consulted with respect to the revision of this policy:

NOW THEREFORE

BE IT RESOLVED, That the Sexual Harassment Policy and accompanying Procedure Manual, which are attached as Appendix I, be hereby adopted as a policy of the University, effective July 7, 2000.

(See Appendix I for Procedure Manual, page 75.)

JOSEPH SULLIVANT MEDAL AWARD

Resolution No. 2001-4

Synopsis: Approval of the awarding of the Joseph Sullivant Medal is proposed.

WHEREAS the Joseph Sullivant Medal is awarded once every five years as a memorial to the eminent services of Joseph Sullivant who, as a member of the first Board of Trustees, contributed significantly in determining the character and future of The Ohio State University; and

WHEREAS pursuant to the procedure established by this Board of Trustees, nominations for the award were referred through the Dean of the Graduate School to a Board of Award appointed by the President; and

WHEREAS after a careful review of all nominations this Board of Award recommended to the President that Charles A. Csuri (M.A., Art, '48), Professor Emeritus be the recipient of this award; and

WHEREAS the President, in support of this recommendation and on behalf of the Board of Trustees, authorizes the granting of this medal at a time convenient to the University and the recipient:

NOW THEREFORE

BE IT RESOLVED, That the Joseph Sullivant Medal Award be presented to Charles A. Csuri in accordance with the provisions for granting this award.

HONORARY DEGREES

Resolution No. 2001-5

Synopsis: The awarding of the following honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

HONORARY DEGREES (contd)

Henry B. Betts	Doctor of Public Service
F. A. Cotton	Doctor of Science
Lester R. Brown	Doctor of Science
Raphael Mechoulam	Doctor of Science

and

WHEREAS pursuant to paragraph (C) of rule 3335-3-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommends to the Board of Trustees awarding of an honorary degree as listed below:

Ratan N. Tata	Doctor of Business Administration
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NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

PERSONNEL ACTIONS

Resolution No. 2001-6

BE IT RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance, calling for "Principal Administrative Officials" to be relieved of their administrative assignments at age 65, be waived to allow for the continued administrative appointment of Samson T. Jacob as Chairperson of the Department of Molecular and Cellular Biochemistry beyond his sixty-fifth birthday; and

BE IT FURTHER RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 2, 2000 meeting of the Board, including the following Appointments, Appointment/Reappointment of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, Promotions/Tenure/Reappointments, Emeritus Titles, and salary rolls with promotion, as detailed in the University Budget be approved and the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved May 25, 2000, by The Ohio State University Hospitals Board, be ratified.

Appointments

Name: WILLIAM H. HALL
Title: Interim Vice President for Student Affairs
Office: Student Affairs
Effective: August 1, 2000
Present Position: Assistant Vice President for Student Affairs

Name: JOHN MUELLER
Title: Professor (The Wayne Woodrow Hayes Chair in National Securities Studies)
Department/Center: Political Science/Mershon Center
Term: October 1, 2000 through September 30, 2005
Present Position: Professor, Department of Political Science, University of Rochester, Rochester, NY

PERSONNEL ACTIONS (contd)

Appointment of Chairpersons of Departments and Director of Division

July 1, 2000 through June 30, 2001

Pharmacology

Andrej Rotter*

July 1, 2000 through June 30, 2004

Surgery

E. Christopher Ellison

October 1, 2000 through September 30, 2004

Accounting and Management Information Systems
Division of Comparative Studies in the Humanities
Slavic & East European Languages
and Literatures

J. Richard Dietrich
David G. Horn

Daniel E. Collins

Reappointment of Chairpersons of Departments and Director of Center

July 1, 2000 through December 31, 2000

Physiology and Cell Biology

Jack A. Rall*

July 1, 2000 through June 30, 2001

Neurology
Otolaryngology
Radiology

Jerry R. Mendell
David E. Schuller
Dimitrios G. Spigos

July 1, 2000 through June 30, 2004

Molecular and Cellular Biochemistry
Veterinary Preventive Medicine

Samson T. Jacob
Kent H. Hoblet

October 1, 2000 through September 30, 2004

Foreign Language Center

Diane W. Birckbichler

*Interim

Leaves of Absence Without Salary

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), effective Spring Quarter 2000, to accept a Fulbright Visiting Scholar Award at the United Arab Emirates University.

ANN K. BLOMBACH, Associate Professor, School of Music, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to devote significant time to re-coding her MacGAMUT oral training software.

G. KEONG LEONG, Associate Professor, Department of Management Sciences, Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to become a visiting faculty member at Thunderbird, The American Graduate School of International Management.

DANA D. STRICKLAND, Assistant Professor, Department of Finance, Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to be an Economist for the U.S. Securities and Exchange Commission.

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary (contd)

BRUCE A. WEINBERG, Assistant Professor, Department of Economics, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to accept a National Fellow at the Hoover Institution at Stanford University.

Professional Improvement Leaves

SALLY L. KITCH, Chair and Professor, Department of Women's Studies, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

ALICIA L. BERTONE, Professor, Department of Veterinary Clinical Sciences, effective Autumn Quarter 2000, Winter Quarter, Spring Quarter, and Summer Quarter 2001.

JOHN C. BURNHAM, Professor, Department of History, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

WILLIAM W. MUIR, Professor, Department of Veterinary Clinical Sciences, effective Autumn Quarter 2000, Winter Quarter, Spring Quarter, and Summer Quarter 2001.

GAY SU PINNELL, Professor, School of Teaching and Learning, effective Autumn Quarter 2000, Spring Quarter, and Summer Quarter 2001.

STEPHEN V. TRACY, Professor, Department of Greek and Latin, effective Autumn Quarter 2000 and Winter Quarter 2001.

CYNTHIA B. DILLARD, Associate Professor, School of Teaching and Learning, effective Spring Quarter, Summer Quarter, and Autumn Quarter 2001.

C. MARK GRIMSLEY, Associate Professor, Department of History, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

GLENN A. HARTZ, Associate Professor, Department of Philosophy (Mansfield Campus), effective Winter Quarter, Spring Quarter and Autumn Quarter 2001.

CHRISTOPHER F. HIGHLEY, Associate Professor, Department of English, effective Autumn Quarter 2000 and Winter Quarter 2001.

ELIZABETH V. HUME, Associate Professor, Department of Linguistics, effective Autumn Quarter 2000.

CHRISTIANE LAEUFER, Associate Professor, Department of French and Italian, effective Winter Quarter 2001.

ROBERT D. LEVINE, Associate Professor, Department of Linguistics, effective Autumn Quarter 2000.

LINDA JAMES MYERS, Associate Professor, Department of African-American and African Studies, effective Autumn Quarter 2000.

CLARE A. SIMMONS, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 2001.

DAVID L. STEBENNE, Associate Professor, Department of History, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

ABRIL J. TRIGO, Associate Professor, Department of Spanish and Portuguese, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

KAREN A. WINSTEAD, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 2001.

Professional Improvement Leave—Cancellation

JOHN J. SKOWRONSKI, Professor, Department of Psychology (Newark Campus), effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

Professional Improvement Leave—Change in Dates

ALLAN J. SILVERMAN, Associate Professor, Department of Philosophy, change leave from Winter Quarter 2000, to Spring Quarter 2000.

Promotions, Tenure, and Reappointments

COLLEGE OF THE ARTS

PROMOTION TO PROFESSOR

John R. Giffin, Dance - effective 10/1/2000
Katherine B. Jones, School of Music - effective 10/1/2000
Todd W. Slaughter, Art - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

James M. Akins, School of Music - effective 10/1/2000
Lisa C. Florman, History of Art - effective 10/1/2000
Midori Kitagawa, Art - effective 10/1/2000
Jeanine Thompson, Theatre - effective 10/1/2000

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO PROFESSOR

Ronald B. Hammond, Entomology - effective 7/1/2000
Tina M. Henkin, Microbiology - effective 10/1/2000
Allison A. Snow, Evolution, Ecology & Organismal Biology - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Joseph R. Holomuzki, Evolution, Ecology & Organismal Biology, Mansfield - effective 10/1/2000
Douglas A. Nelson, Evolution, Ecology & Organismal Biology - effective 10/1/2000

THE MAX M. FISHER COLLEGE OF BUSINESS

PROMOTION TO PROFESSOR

John R. Current, Management Sciences - effective 10/1/2000
Robert L. Heneman, Management & Human Resources - effective 10/1/2000

COLLEGE OF DENTISTRY

PROMOTION TO PROFESSOR

Alan B. Carr - effective 7/1/2000
Peter E. Larsen - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Lisa A. Knobloch - effective 7/1/2000

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF DENTISTRY (contd)
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

James W. Preisch - effective 7/1/2000

COLLEGE OF EDUCATION

PROMOTION TO PROFESSOR

Donna F. Berlin, School of Teaching & Learning - effective 10/1/2000

Philip T. K. Daniel, School of Educational Policy & Leadership - effective 10/1/2000

Donna Y. Ford, School of Physical Activity & Educational Services - effective 10/1/2000

Merry M. Merryfield, School of Teaching & Learning - effective 10/1/2000

George E. Newell, School of Teaching & Learning - effective 10/1/2000

David L. Porretta, School of Physical Activity & Educational Services - effective 10/1/2000

Karen F. Zuga, School of Teaching & Learning - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Antoinette Errante, School of Educational Policy & Leadership - effective 10/1/2000

Darcy H. Granello, School of Physical Activity & Educational Services - effective 10/1/2000

Samuel R. Hodge, School of Physical Activity & Educational Services - effective 10/1/2000

Susan I. Kent, School of Teaching & Learning, Newark - effective 10/1/2000

Helen M. Marks, School of Educational Policy & Leadership - effective 10/1/2000

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Gerald S. Frankel, Materials Science & Engineering - effective 10/1/2000

Michael J. Mills, Materials Science & Engineering - effective 10/1/2000

Kevin M. Passino, Electrical Engineering - effective 10/1/2000

Giorgio Rizzoni, Mechanical Engineering - effective 10/1/2000

Ken H. Sandhage, Materials Science & Engineering - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Joel T. Johnson, Electrical Engineering - effective 10/1/2000

Richard L. Lewis, Computer & Information Science - effective 10/1/2000

Urbashi Mitra, Electrical Engineering - effective 10/1/2000

Robert G. Parker, Mechanical Engineering - effective 10/1/2000

Gregory N. Washington, Mechanical Engineering - effective 10/1/2000

TENURE

Jose M. Castro, Industrial, Welding & Systems Engineering - effective 10/1/2000

COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Wolfgang D. Bauer, Horticultural & Crop Science - effective 7/1/2000

Mark A. Bennett, Horticultural & Crop Science - effective 7/1/2000

Michael L. Day, Animal Sciences - effective 7/1/2000

Jeffrey L. Firkins, Animal Sciences - effective 7/1/2000

Michael S. Lilburn, Animal Sciences - effective 7/1/2000

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF FOOD, AGRICULTURAL & ENVIRONMENTAL SCIENCES (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Valente B. Alvarez, Food Science & Technology - effective 7/1/2000
Sheryl A. Barringer, Food Science & Technology - effective 7/1/2000
Steven J. Moeller, Animal Sciences - effective 7/1/2000
Sandra G. Velleman, Animal Sciences - effective 7/1/2000

AGRICULTURAL TECHNICAL INSTITUTE

PROMOTION TO PROFESSOR

Steven M. Neal - effective 7/1/2000

PROMOTION TO ASSISTANT PROFESSOR

James K. Carr - effective 10/1/2000

OHIO STATE UNIVERSITY EXTENSION

PROMOTION TO PROFESSOR

Cheryle J. Syracuse - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR

Roger F. Bender - effective 7/1/2000
Rebecca J. Cropper - effective 7/1/2000
Fred J. Deel - effective 7/1/2000
Rose E. Merkowitz - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Thomas W. Blaine - effective 7/1/2000
Daney G. Jackson - effective 7/1/2000

COLLEGE OF HUMAN ECOLOGY

PROMOTION TO PROFESSOR

Robert A. Disilvestro, Human Nutrition & Food Management - effective 10/1/2000

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Thomas Cooley, English - effective 10/1/2000
Sarah I. Johnston, Greek & Latin - effective 10/1/2000
Linda M. Mizejewski, English - effective 10/1/2000
Jacqueline A. Royster, English - effective 10/1/2000
Tamar Rudavsky, Philosophy - effective 10/1/2000
Clare A. Simmons, English - effective 10/1/2000
Melanie R. Thon, English - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Angela K. Brintlinger, Slavic Languages & Literatures - effective 10/1/2000
Cynthia Burack, Women's Studies - effective 10/1/2000
Daniel E. Collins, Slavic Languages & Literatures - effective 10/1/2000
Steven Conn, History - effective 10/1/2000

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF HUMANITIES (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE (contd)

Fernando Martinez-Gil, Spanish & Portuguese - effective 10/1/2000
Birgitte Soland, History - effective 10/1/2000

COLLEGE OF LAW

TENURE

Sarah R. Cole - 08/16/2000

LIBRARIES

PROMOTION TO PROFESSOR

Raimund E. Goerler - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR

Gerald S. Greenberg - effective 7/1/2000
Nancyanne N. O'Hanlon - effective 7/1/2000

PROMOTION TO ASSISTANT PROFESSOR WITH TENURE

Lisa A. Pillow - effective 7/1/2000

COLLEGE OF MATHEMATICAL & PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Robert S. Coleman, Chemistry - effective 10/1/2000
Richard J. Furnstahl, Physics - effective 10/1/2000
Andrew P. Gould, Astronomy - effective 10/1/2000
Klaus Honscheid, Physics - effective 10/1/2000
Jeffery D. McNeal, Mathematics - effective 10/1/2000
Kristen Sellgren, Astronomy - effective 10/1/2000
Akos Seress, Mathematics - effective 10/1/2000
Janet Z. Tarino, Chemistry, Mansfield - effective 10/1/2000
Mohamed F. Yousif, Mathematics, Lima - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Hans U. Boden, Mathematics, Mansfield - effective 10/1/2000
Christian Friesen, Mathematics, Marion - effective 10/1/2000
Anne B. McCoy, Chemistry - effective 10/1/2000
Bjorn Sandstede, Mathematics - effective 10/1/2000

COLLEGE OF MEDICINE & PUBLIC HEALTH

PROMOTION TO PROFESSOR

Belinda R. Avalos-Copelan, Internal Medicine - effective 7/1/2000
Lauren B. Bakaletz, Pediatrics - effective 7/1/2000
Gail E. Herman, Pediatrics - effective 7/1/2000
Douglas A. Kniss, Obstetrics & Gynecology - effective 10/1/2000
Mark B. Landon, Obstetrics & Gynecology - effective 7/1/2000
B. Ulysses K. Li, Pediatrics - effective 7/1/2000

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE & PUBLIC HEALTH (contd)

PROMOTION TO PROFESSOR (contd)

Thomas W. Prior, Pathology - effective 7/1/2000
D. Bradley Welling, Otolaryngology - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR

Frank H. Douce, School of Allied Medical Professions - effective 7/1/2000
Mary K. Waller, School of Allied Medical Professions - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Philip T. Diaz, Internal Medicine - effective 7/1/2000
John C. Grecula, Radiology - effective 7/1/2000
Mark A. King, Radiology - effective 7/1/2000
William S. Melvin, Surgery - effective 7/1/2000
Theodore H. Niemann, Pathology - effective 7/1/2000
Douglas M. Post, Family Medicine - effective 7/1/2000
Russell P. Woda, Anesthesiology - effective 7/1/2000
Kay N. Wolf, School of Allied Medical Professions - effective 7/1/2000

COLLEGE OF MEDICINE & PUBLIC HEALTH
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Barry R. DeYoung, Pathology - effective 7/1/2000
Cynthia B. Evans, Obstetrics & Gynecology - effective 7/1/2000
Michael E. Falkenhain, Internal Medicine - effective 7/1/2000
Kathryn J. Klopfenstein, Pediatrics - effective 7/1/2000
Julie E. Mangino, Internal Medicine - effective 7/1/2000
Daniel G. Rowland, Pediatrics - effective 7/1/2000
David A. Wininger, Internal Medicine - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Mary Jo A. Bowman, Pediatrics - effective 7/1/2000 & 7/1/2001
Diane L. Gorgas, Emergency Medicine - effective 7/1/2000 & 7/1/2001

REAPPOINTMENT

Daniel L. Coury, Pediatrics - effective 7/1/2001
John T. Davis, Surgery - effective 7/1/2001
Joel L. Frazier, Orthopaedics - effective 7/1/2001
Gina M. French, Pediatrics - effective 7/1/2001
Stephanie E. Ladson-Wofford, Internal Medicine - effective 7/1/2001
William L. Marsh, Jr., Pathology - effective 7/1/2001
John M. McGregor, Surgery - effective 7/1/2001
Juliann M. Paolicchi, Pediatrics - effective 7/1/2001
Todd E. Pesavento, Internal Medicine - effective 7/1/2001
Carol J. Potter, Pediatrics - effective 7/1/2001
Robert M. Rennebohm, Pediatrics - effective 7/1/2001
Chris A. Rhoades, Internal Medicine - effective 7/1/2001
Phillip J. Shubert, Obstetrics & Gynecology - effective 7/1/2001
Ray C. Wasielewski, Orthopaedics - effective 7/1/2001
Maryjo Welker, Family Medicine - effective 7/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF NURSING
REGULAR CLINICAL

REAPPOINTMENT

Susan Bowman Burpee, Adult Health & Illness Nursing - effective 7/1/2001

COLLEGE OF OPTOMETRY

PROMOTION TO PROFESSOR

Joseph T. Barr - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Thomas W. Raasch - effective 7/1/2000

COLLEGE OF OPTOMETRY
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Gilbert E. Pierce - effective 7/1/2000 & 7/1/2001

COLLEGE OF PHARMACY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

John A. Bauer - effective 10/1/2000

Daren L. Knoell - effective 10/1/2000

COLLEGE OF SOCIAL & BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Frederick T. L. Leong, Psychology - effective 10/1/2000

Edward D. Mansfield, Political Science - effective 10/1/2000

Kevin J. O'Brien, Political Science - effective 10/1/2000

Catherine M. Stoney, Psychology - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Douglas B. Downey, Sociology - effective 10/1/2000

Mei-Po Kwan, Geography - effective 10/1/2000

Audrey L. Light, Economics - effective 10/1/2000

COLLEGE OF SOCIAL WORK

PROMOTION TO PROFESSOR

Rudolph Alexander, Jr. - effective 10/1/2000

Maria C. Julia - effective 10/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Mo-Yee Lee - effective 10/1/2000

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Kenneth W. Hinchcliff, Veterinary Clinical Sciences - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Gary L. Bowman, Veterinary Preventive Medicine - effective 7/1/2000

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

David E. Anderson, Veterinary Clinical Sciences - effective 7/1/2000

Thomas E. Wittum, Veterinary Preventive Medicine - effective 7/1/2000

COLLEGE OF VETERINARY MEDICINE
REGULAR CLINICAL

REAPPOINTMENT

Frank H. Welker, Veterinary Preventive Medicine – effective 7/1/2001

Emeritus Titles

DALE F. BERTSCH, Knowlton School of Architecture, with the title Professor Emeritus, effective July 1, 2000.

CHARLES F. KIELKOPF, Department of Philosophy, with the title Professor Emeritus, effective July 1, 2000.

JOHN A. LOTT, Department of Pathology, with the title Professor Emeritus, effective July 1, 2000.

EARL F. MURPHY, College of Law, with the title Professor Emeritus, effective June 1, 2000.

KENT P. SCHWIRIAN, Department of Sociology, with the title Professor Emeritus, effective July 1, 2000.

RICHARD R. MARTIN, Ohio State University Extension, with the title Associate Professor Emeritus, effective July 1, 2000.

THOMAS W. FOSTER, Department of Sociology (Mansfield Campus), with the title Assistant Professor Emeritus, effective July 1, 2000.

Medical Staff Appointments (The Ohio State University Medical Center)

May 2000

Michael G. Bissell, MD, PhD, MPH, Pathology, Attending, 02/17/00-06/30/01

Jacqueline Hinsley Morrison, LISW, PhD, Psychiatry, Attending/PhD, 01/25/00-06/30/01

Thomas G. Hospel, MD, Family Med/Sports Med, Attending, 05/10/00-06/30/01

Robert S. Houser, DO, Plastic Surgery, PGY-2 Limited, 04/04/00-05/31/00

Raheela A. Khawaja, MD, Int Med/Endocrinology, Attending, 04/10/00-06/30/01

Vijendra S. Mohan, MD, Orthopaedic Surgery, Community Affiliate, 05/10/00-06/30/02

Christina M. Nyirati, PhD, RN, CS, FNP, Family Medicine, Allied Health Professional, 05/10/00-06/30/02

Jenifer M. Takats, MD, Psychiatry, Attending, 01/25/00-06/30/02

Nancy J. Treece, RN, CNS, Psychiatry, Allied Health Professional, 05/10/00-06/30/02

Jason P. Wiltshire, MD, Transplant Surgery, PGY-3 Limited, 04/01/00-05/31/00

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center)

May 2000

William E. Maher, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Thomas H. Mallory, MD, Orthopaedic Surgery, Attending, 07/01/00-06/30/02
Julie E. Mangino, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Uma U. Marar, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Thomas F. Mauger, MD, Ophthalmology, Attending, 07/01/00-06/30/02
Hagop S. Mekhjian, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Jeffrey W. Milks, MD, Family Medicine, Attending, 07/01/00-06/30/02
James L. Moore, MD, Neurology, Attending, 07/01/00-06/30/02
Mark A. Muresan, MD, Pediatrics, Courtesy, 07/01/00-06/30/02
Kami Lynn Nemcik, CR, Anesthesiology, Allied Health Professional, 07/01/00-06/30/02
Cheryl L. Newton, RN, Neurology, Allied Health Professional, 07/01/00-06/30/02
Theodore H. Niemann, MD, Pathology, Attending, 07/01/00-06/30/02
Margaret A. Nuovo, MD, Pathology, Attending, 07/01/00-06/30/02
Robert V. O'Toole, MD, Pathology, Attending, 07/01/00-06/30/02
Gregory A. Otterson, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Michael E. Para, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Todd E. Pesavento, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Evelyn E. Pintz, MD, Internal Medicine, Attending, 07/01/00-06/30/02
David S. Postlewaite, MD, Surgery, Attending, 07/01/00-06/30/02
Kevin J. Pugh, MD, Orthopaedic Surgery, Attending, 07/01/00-06/30/02
Thomas E. Reilley, DO, Anesthesiology, Attending, 07/01/00-06/30/02
Rosemary E. Reiss, MD, Obstetrics & Gynecology, Attending, 07/01/00-06/30/02
David E. Roberts, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Barbara M. Rogers, MD, Anesthesiology, Attending, 07/01/00-06/30/02
JoAnn C. Rohyans, MD, Pediatrics, Courtesy, 07/01/00-06/30/02
Brad H. Rovin, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Robert L. Ruberg, MD, Surgery, Attending, 07/01/00-06/30/02
Grace Y. Ryu, MD, Pediatrics, Attending, 07/01/00-06/30/02
Jeffrey D. Sanderson, MD, Phys. Med. & Rehab., PGY-2 Limited, 07/01/00-06/30/02
Jason G. Sayat, MD, Obstetrics & Gynecology, PGY-3 Limited, 07/01/00-06/30/02
Lisa M. Sayoc, MD, Internal Medicine, PGY-4 Limited, 07/01/00-06/30/02
Steven Richard Schneir, MD, Psychiatry, Attending, 07/01/00-06/30/02
Scott O. Schumann, DDS, Dentistry, Attending, 07/01/00-06/30/02
Andreas W. Schuster, MD, Anesthesiology, Attending, 07/01/00-06/30/02
Dara P. Schuster, MD, Internal Medicine, Attending, 07/01/00-06/30/02
John H. Seguin, MD, Pediatrics, Attending, 07/01/00-06/30/02
Manisha H. Shah, MD, Internal Medicine, Attending, 07/01/00-06/30/02
David H. Sharkis, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Cynthia S. Shellhaas, MD, Obstetrics & Gynecology, Attending, 07/01/00-06/30/02
Kimberly Robinson Shepherd, MD, Obstetrics & Gynecology, PGY-2 Limited, 07/01/00-06/30/02
Paul E. Shoaps, MD, Internal Medicine/Peds, PGY-3 Limited, 07/01/00-06/30/02
Shraddha Shilpa Shrestha, MD, Obstetrics & Gynecology, PGY-2 Limited, 07/01/00-06/30/02
Robert H. Small, MD, Anesthesiology, Attending, 07/01/00-06/30/02
Pamela J. Smith, MD, Internal Medicine Courtesy, 07/01/00-06/30/02
Sofronio S. Soriano, MD, Phys. Med. & Rehab., PGY-4 Limited, 07/01/00-06/30/02
Gaylyn J. Speas, MD, Anesthesiology, Attending, 07/01/00-06/30/02
Carl E. Speicher, MD, Pathology, Attending, 07/01/00-06/30/02
Steven J. Stack, MD, Emergency Medicine, PGY-3 Limited, 07/01/00-06/30/02
Gregory L. Staker, MD, Internal Medicine, PGY-4 Limited, 07/01/00-06/30/02
Mark W. Stanley, DO, Anesthesiology, PGY-3 Limited, 07/01/00-06/30/02
Poongothal Sundaram, MD, Internal Medicine, Fellow 2nd Year, 07/01/00-06/30/02
Diane Tallo, MD, Internal Medicine, Courtesy, 07/01/00-06/30/02
Benoit D. Tano, MD, Internal Medicine, PGY-2 Limited, 07/01/00-06/30/02
Artthapol Tanphalchitr, MD, Internal Medicine, PGY-3 Limited, 07/01/00-06/30/02

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

May 2000 (contd)

Christopher J. Timan, MD, Pediatrics, Attending, 07/01/00-06/30/02
Douglas B. Tippin, MD, Radiology, PGY-3 Limited, 07/01/00-06/30/02
Herman A. Tolbert, MD, Psychiatry, Attending, 07/01/00-06/30/02
Patricia Gerbig Toohey, MD, Internal Medicine, PGY-2 Limited, 07/01/00-06/30/02
Tracey L. Trgovac, MD, Internal Medicine/Peds, PGY-4 Limited, 07/01/00-06/30/02
Chang-Yong Tsao, MD, Pediatrics, Attending, 07/01/00-06/30/02
Katja R. Turner, MD, Anesthesiology, Attending, 07/01/00-06/30/02
Louis J. Unverferth, MD, Orthopaedic Surgery, Courtesy, 07/01/00-06/30/02
Linbee Sanchez Valencia, MD, Obstetrics & Gynecology, PGY-2 Limited, 07/01/00-06/30/02
Robert B. VanCourt, DPM, Orthopaedic Surgery, PGY-3 Limited, 07/01/00-06/30/02
Kuldeep K. Vaswani, MD, Radiology, Attending, 07/01/00-06/30/02
Miguel A. Villalona-Calero, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Michael J. Walker, MD, Surgery, Attending, 07/01/00-06/30/02
Chad M. Wallis, MD, Surgery, PGY-2 Limited, 07/01/00-06/30/02
Patrick J. Ward, MD, Internal Medicine, PGY-3 Limited, 07/01/00-06/30/02
Rebecca A. Ware, MD, Psychiatry, PGY-4 Limited, 07/01/00-06/30/02
Joel M. Weaver, DDS, Anesthesiology, Attending, 07/01/00-06/30/02
Harrison G. Weed, MD, Internal Medicine, Attending, 07/01/00-06/30/02
Jennifer L. Wenzke, MD, Internal Medicine, PGY-3 Limited, 07/01/00-06/30/02
Ray S. Wheasler, MD, Pediatrics, Courtesy, 07/01/00-06/30/02
Timothy J. Williams, MD, Orthopaedic Surgery, PGY-2 Limited, 07/01/00-06/30/02
Russell P. Woda, DO, Anesthesiology, Attending, 07/01/00-06/30/02
Hong Wu, MD, Ophthalmology, PGY-4 Limited, 07/01/00-06/30/02
David O. Yablok, MD, Anesthesiology, Attending, 07/01/00-06/30/02
Shounan Yao, MD, Otolaryngology, PGY-2 Limited, 07/01/00-06/30/02
Emmanuel E. Zervos, MD, Surgery, Fellow 2nd Year, 07/01/00-06/30/02
Pan Zheng, MD, Pathology, Attending, 07/01/00-06/30/02

Request for Additional Privileges

David Bahner, MD, Emergency Medicine, Conscious sedation, 05/10/00-06/30/01
Thomas Gavin, MD, Emergency Medicine, Conscious sedation, 05/10/00-06/30/01
Thomas Mauger, MD, Ophthalmology, Ophthalmic Yag Laser, Ophthalmic Argon/Diode Laser,
Excimer Laser, Tunable Dye Laser, 07/01/00-06/30/02
Hagop S. Mekhjian, MD, Internal Medicine, Endoscopies, Needle biopsy, Lumbar puncture,
Conscious sedation, 07/01/00-06/30/02
James L. Moore, MD, Neurology, EEG, Lumbar Puncture, 07/01/00-06/30/02
Michael Para, MD, Internal Medicine, Skin punch biopsy, Skin scraping with microscopic exam,
07/01/00-06/30/02
Erick Rath, DDS, Oral Maxillofacial Surgery, Conscious sedation, 07/01/00-06/30/02
Andrew Reeves, MD, Neurology, Electromyography, Evoked potentials, Lumbar puncture,
07/01/00-06/30/02
Thomas Reilly, DO, Anesthesiology, Conscious sedation, 07/01/00-06/30/02
Andrew Schuster, MD, Anesthesiology, Conscious sedation, 07/01/00-06/30/02
Robert Small, MD, Anesthesiology, Conscious sedation, 07/01/00-06/30/02
Deborah Stahl, MD, Anesthesiology, Conscious sedation, 07/01/00-06/30/02
Kuldeep Vaswani, MD, Radiology, Abdominal/Thoracic Imaging, 07/01/00-06/30/02
David Yablok, MD, Anesthesiology, Conscious sedation, 07/01/00-06/30/02
Michael Walker, MD, Surgical Oncology Conscious sedation, 07/01/00-06/30/02
Joel Weaver, DDS, Dentistry, Conscious sedation, Anesthesia privileges, 07/01/00-06/30/02
Russell Woda, DO, Anesthesiology, Conscious sedation, 07/01/00-06/30/02
James Young, MD, Psychiatry, Electroconvulsive therapy, 03/13/00-06/30/02

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Hospitals East)

April 2000

Philip F. Binkley, MD, Internal Medicine, Active, 05/15/00-06/30/01
Michael G. Bissell, MD, PhD, Pathology, Active, 05/15/00-06/30/01
Frank Cosentino, DO, Internal Medicine, Courtesy, 05/15/00-06/30/01
E. Christopher Ellison, MD, Surgery, Active, 05/15/00-06/30/01
Ronald Hopkins, PA, Musculoskeletal Diseases, Allied Health Professional, 05/15/00-06/30/01
Steven E. Katz, MD, Ophthalmology, Courtesy, 05/15/00-06/30/01
John P. MacLaurin, DO, Internal Medicine, Courtesy, 05/15/00-06/30/02
James L. Moore, MD, Neurology, Active, 05/15/00-06/30/02
Douglas W. Scharre, MD, Neurology, Active, 05/15/00-06/30/02
Jean Starr, MD, Surgery, Courtesy, 05/15/00-06/30/02
Donald Woodard, MD, Family Practice, Active, 05/15/00-06/30/02

Medical Staff Reappointments (The Ohio State University Hospitals East)

April 2000

Thomas Mallory, MD, Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Eric G. Massa, DPM, Podiatry, Courtesy, 07/01/00-06/30/02
Thomas F. Mauger, MD, Ophthalmology, Active, 07/01/00-06/30/02
Hagop S. Mekhjian, MD, Gastroenterology, Active, 07/01/00-06/30/02
Margaret A. Nuovo, MD, Pathology, Active, 07/01/00-06/30/02
Apurva M. Parmar, MD, Family Practice, PGY-1 Limited, 07/01/00-06/30/02
Tom H. Pepper, MD, Drug/Alcohol Rehab., Active, 07/01/00-06/30/02
Kevin J. Pugh, MD, Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Paula C. Rabidoux, PhD, Phys. Med. & Rehab., Allied Health Professional, 07/01/00-06/30/02
Barbara M. Rogers, MD, Anesthesiology, Active, 07/01/00-06/30/02
Robert L. Ruberg, MD, Plastic & Reconstructive, Active, 07/01/00-06/30/02
Vijay S. Saini, MD, Family Practice, Active, 07/01/00-06/30/02
Stuart R. Schilling, DPM, Podiatry, Active, 07/01/00-06/30/02
Andreas W. Schuster, MD, Anesthesiology, Active, 07/01/00-06/30/02
Christine M. Siggers, DPM, Podiatry, Active, 07/01/00-06/30/02
Robert H. Small, MD, Anesthesiology, Active, 07/01/00-06/30/02
Frank P. Sobolewski, DPM, Podiatry, Active, 07/01/00-06/30/02
Floyd P. Sours, PhD, Psychology, Active, 07/01/00-06/30/02
Gaylyn J. Speas, MD, Anesthesiology, Active, 07/01/00-06/30/02
Carl E. Speicher, MD, Pathology, Active, 07/01/00-06/30/02
Daryl R. Sybert, DO, Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Katja R Turner, MD, Anesthesiology, Active, 07/01/00-06/30/02
Louis J. Unverferth, MD, Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Lewis M. Vichinsky, DPM, Podiatry, Active, 07/01/00-06/30/02
Russell P. Woda, DO, Anesthesiology, Active, 07/01/00-06/30/02
David O. Yablok, MD, Anesthesiology, Active, 07/01/00-06/30/02
Pan Zheng, MD, PhD, Pathology, Consulting, 07/01/00-06/30/02
Eugene D. Zoog, DPM, Podiatry, Active, 07/01/00-06/30/02

Request for Change in Status

David S. Schwartz, MD, Surgery, Courtesy, Honorary/no privileges

RESOLUTIONS IN MEMORIAM

Resolution No. 2001-7

Synopsis: Approval of six Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Milton Ain

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 1, 2000, of Milton Ain, Associate Professor Emeritus in the College of Social Work.

Professor Ain received a bachelor of art degree in 1953 in social science from the City College of New York, and a master of social work in 1967 at The Ohio State University. He served in the United States Army during the Korean War. Before joining the faculty of the College of Social Work, Professor Ain served as the clinical director of the Interfaith Counseling Center and Consultant with the Jewish Family Services. After joining the faculty of the College of Social Work, Professor Ain became Director of Baccalaureate Studies, as well as serving as a board member and President of Huckleberry House, Vice President of the Central Ohio Chapter of the National Association of Social Workers, board member of the Hillel Foundation, and creator of the specialty in Jewish Studies in the MSW Program in the College of Social Work.

Professor Ain was honored by students and faculty colleagues for his excellent contributions as a social work educator. He was recognized for challenging his students to utilize their intellect and practice skills in order to fulfill their complex professional roles in clinical practice settings. He demonstrated exceptional ability as a leader and supervisor of students and faculty members assigned to social work practice settings.

Milton Ain was committed to providing community service in diverse professional roles including board member, clinical practice director, and clinical practitioner in order to improve the lives of troubled individuals, married couples, and families. Professor Ain spoke in a clear voice among the social work faculty in promoting reliance on reason and ethical values to guide debates on curriculum issues and social work faculty governance.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Milton Ain its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Richard J. First

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 10, 2000, of Richard Joseph First, Associate Professor Emeritus in the College of Social Work.

Dr. First was a native of Michigan. Born and reared on a farm, he completed his baccalaureate degree in agriculture at Michigan State University in 1956. Following experience as an extension agent, he earned a master of social work at Michigan State. Case Western Reserve University granted his Ph.D. in 1979. His professional experience as a social worker included community organizing and policy-making activities in the cause of social and economic justice. He was a program developer and trainer for the North Carolina Fund in early voter registration projects.

These programs became the model for Vista during President Johnson's War on Poverty. He also served the Michigan League for Human Services and the Michigan United Fund. He was recognized for his developmental service by Vista at its thirtieth anniversary celebration in 1996.

He held faculty appointments teaching social policy, social planning, and community organizing at the University of Arkansas at Little Rock, Indiana University, and The Ohio State University. He

RESOLUTIONS IN MEMORIAM (contd)

Richard J. First (contd)

also held visiting appointments at the University of North Carolina/Greensboro and Jackson State University, Jackson, Mississippi, where he helped initiate a doctoral program in social work. He was recognized for his contribution to social work education in 1986 by the alumni of the Indiana School of Social Work. The Jackson State University School of Social Work offered a posthumous resolution of gratitude for his lifetime of work and service to the development of their doctoral program.

In the last twenty years, he devoted his scholarship and advocacy to solving housing problems and understanding the causes of homelessness. He received funding from the National Institute of Mental Health, Ohio Department of Mental Health, the Indiana Department of Mental Health, the Ohio Board of Regents and others to conduct studies relevant to the relationship between homelessness and mental health. The Central Ohio Chapter of the National Association of Social Workers honored him for his work on behalf of homeless people in 1989.

Dr. First was also deeply involved in international social development, social welfare, and social work education. He participated in student exchange education with the Fachhochschule, Hamburg, and Dortmund Fachhochschule in Germany. He lectured at the Goethe-University of Frankfurt Center for North American Studies in 1989. Later he lectured at Jagiellonian University in Krakow, Poland, and took students for study of the changes in social welfare in Poland in the transition to market economy.

A man with commitment to social justice, Dr. First is remembered for his tireless efforts to reduce poverty and discrimination. His scholarly articles and presentations contributed to understanding and solving social problems. He also was called to give expert testimony to legislatures in two states and consulted on policy in a number of governmental agencies.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Richard J. First its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Philip C. Keenan

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 20, 2000, of Dr. Philip C. Keenan, Professor Emeritus in the Department of Astronomy.

Dr. Keenan was born in Pennsylvania in 1908 and received his B.S. and M.S. degrees from the University of Arizona, where he published his first paper in 1929. He earned his Ph.D. degree from the University of Chicago. He worked at Yerkes Observatory and the University of Chicago until the outbreak of World War II, when he joined the Navy Bureau of Ordinance. He came to Ohio State and Perkins Observatory in 1946, and remained on the faculty until his retirement in 1976.

By far, the most influential of Dr. Keenan's work was his development, with W. W. Morgan at Yerkes, of a system of classifying stars by their spectra. Named the MK system after Morgan and Keenan, it has remained the worldwide standard ever since. Morgan and Keenan published their *Atlas of Stellar Spectra* in 1943, showing examples of each type of spectrum, and from that time forward the labels were defined by the spectra shown in that atlas. A spectrum is sensitive primarily to the temperature of the star but the total radiated power of the star also enters into the classification. A third variable, the chemical composition of a star, also causes some stars to have very different spectra, a factor also included in the MK system. Professor Keenan was responsible for classifying the cooler stars, and his physical insight guided him in classifying stars so that the effects of temperature, radiated power, and composition clearly could be discriminated. It was this understanding of how to glean the most useful information from stellar spectra that caused the MK system to remain the standard while our understanding of stars changed greatly.

RESOLUTIONS IN MEMORIAM (contd)

Philip C. Keenan (contd)

Professor Keenan's most recent publication appeared in 1999, giving him a span of publications of over 70 years. No other astronomer in the history of the United States has even come close to such a record. Long after the age where most scientists have ended their involvement in research, Dr. Keenan remained at the forefront, incorporating the latest data from spacecraft observations of stars in modifications of his spectral classification scheme.

Dr. Keenan brought incredible powers of concentration and very sharp eyesight to his classification of stellar spectra, and he could often recognize a star simply by looking at its spectrum. However, he had a very wide range of other interests. He was an avid stamp collector, a great baseball fan, and a lover of old books. He taught himself both Spanish and Portuguese so he could read documents on the history of astronomy in South America, a field in which he became quite an authority. His knowledge and love of wildflowers was extensive, and he traveled widely to view rare flowers in other countries. He spent many hours tending his flower garden at Perkins Observatory, where his ashes were scattered. With his passing, a link with early 20th century astronomy has been lost.

He leaves no family; astronomers who rely extensively on his classification scheme, fellow stamp collectors, colleagues, and scholars who shared his diverse pursuits were his family. On behalf of the University community, the Board of Trustees expresses to the friends and colleagues of Professor Philip C. Keenan its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his colleagues in the Department of Astronomy as an expression of the Board's heartfelt sympathy.

K. Narahari Rao

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 5, 2000, of K. Narahari Rao, Professor Emeritus in the Department of Physics.

Professor Rao received his Ph.D. from the University of Chicago in 1949 under the direction of Dr. Gerhard Herzberg (Nobel Laureate, 1971). He was at the National Physical Laboratory in Delhi, India, from 1950-52, and then Duke University and the University of Tennessee between 1952-54. He came to the Department of Physics at The Ohio State University in 1960 as a research associate, became Associate Professor in 1960 and was promoted to Professor in 1963. In 1992 he retired as Professor Emeritus of the Department of Physics.

His research was centered in the field of high resolution infrared spectroscopy. Throughout his career, he addressed a need for better wavelength standards in this region which culminated in the publication with Dr. Guy Guelachvili of the *Handbook of Infrared Standards* (Academic Press, 1986 and later editions). He also led in the development of improved methods of studying infrared spectra at higher and higher resolution. These methods were applied to the determination of molecular constants, studies of different isotopomers for better structure determination, studies of intra-molecule interactions, and observations of transitions normally considered to be unavailable for study. He also demonstrated for the first time that high resolution spectra of solid hydrogen yielded unusual and highly informative new kinds of data.

These research activities resulted in over 300 publications, including six books and six major review articles. He worked with 43 students who received Ph.D. degrees, 36 students who received M.S. degrees (with theses), 10 postdoctoral fellows, and 34 visiting scientists from nine countries, many of who returned on several occasions.

Professor Rao became editor of the *Journal of Molecular Spectroscopy* in 1973. He stepped down as editor in 1995 after increasing the size of the journal by a factor of six and processing nearly 10,000 articles essentially single-handed.

RESOLUTIONS IN MEMORIAM (contd)

K. Narahari Rao (contd)

During the same period he played a similar role as director and organizer of the international "Symposia on Molecular Structure and Spectroscopy." These conferences are held annually at the OSU campus and are attended by 400-500 scientists from all around the world. These activities led to a special recognition in 1992 upon his retirement, as well as the creation of annual "Rao Prizes" given to three young scientists for outstanding first presentations at the Symposium.

Professor Rao was elected to the Cosmos Club in 1967, received the Asian Indians in North America Prize for basic research in 1980, the Indian National Science Academy Endowment Lecture in Spectroscopy in 1982, the Marcus Marci Medal of the Czechoslovak Spectroscopy Society in 1985, The Ohio State University Distinguished Scholar Award in 1986, the Faculty Service Award from the National University Continuing Education Association in 1987, the Pittsburgh Spectroscopy Award in 1988, The Ohio State University International Outstanding Faculty Award in 1992, and the William Fowler Award from the Ohio Section of the American Physical Society in 1993. He was also a Fellow in the American Physical Society and a Fellow in the Optical Society of America.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Rao its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed in the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

Leo F. Schwerin

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 17, 2000, of Leo F. Schwerin, Associate Director Emeritus of The Ohio State University Research Foundation.

Leo Schwerin was a native of Iowa, born on July 26, 1915. He received his Bachelor of Science degree in Physics and Math from Iowa Wesleyan College in 1938, and his Master of Education degree in 1941 from Colorado Northern University.

He spent the first five years of his career in Rowan, Iowa, as a high school principal where he also coached baseball and basketball. Mr. Schwerin then taught basic radio for the Signal Corps at Camp Evans, New Jersey, for a short time before coming to Wright Field in Dayton, Ohio, as the assistant chief of the Antenna Lab where he worked for 14 years. He later attended the Lay School of Theology and spent seven years as the director of stewardship and congregation life for the Ohio United Lutheran Church. Leo Schwerin held the position of contracts officer at The Ohio State University Research Foundation from 1962 until he retired in 1982. He had the honor of being the first staff member from the Research Foundation to achieve emeritus status.

As a research administrator for the Research Foundation, Leo Schwerin negotiated and administered contracts for the Electrosience Lab and other Air Force and NASA research efforts. He was a dedicated staff person who continued to attend Electrosience Lab functions after his retirement from the Research Foundation.

For thirty years, he was an active member of the National Contract Managers Association and was leader of NCMA's National Small Business Group. Over the years, he served the local chapter of NCMA as Treasurer, Secretary, and Fellows Chair, as well as photographer for all chapter meetings and events and contributed much to the photographic history of the local chapter of NCMA.

An avid traveler, he enjoyed hiking and traveling in Alaska, Canada, and Newfoundland. He married his wife, Frances, in 1941 and they were married for over 50 years until her death in 1993. Leo Schwerin was a charter member of Resurrection Lutheran Church and an active member of the Advent Lutheran Church. He was former director of stewardship for the United Lutheran Churches of America and a fifty-year member of the Lutheran Lay Ministry. He was an

RESOLUTIONS IN MEMORIAM (contd)

Leo F. Schwerin (contd)

avid OSU Buckeye sports fan who for many years attended as many OSU football and basketball games as possible.

On behalf of the University community, the Board of Trustees expresses to the family of Leo F. Schwerin its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be provided to his family as an expression of the Board's heartfelt sympathy.

Robert A. Titus

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 13, 2000, of Dr. Robert Austin Titus, Associate Professor Emeritus in the School of Music.

Dr. Titus was born in Marion, Iowa, and attended Iowa State University before transferring to the University of Iowa to pursue the study of music. At the completion of his undergraduate studies, he was elected to Phi Beta Kappa. His graduate studies were supervised by his clarinet mentor, Dr. Himie Voxman, renowned scholar and author. His Ph.D. dissertation "Solo Music for the Clarinet in the 18th Century" is the most widely-read document on woodwind music of that period, and remains the most authoritative source of information on the subject.

Dr. Titus joined the faculty of the School of Music in 1947, teaching applied clarinet performance, woodwind pedagogy and literature, and woodwind ensemble until his retirement in 1981. He served as chairman of the woodwind section of the School of Music, advised graduate students, and acted as leader of the Faculty Woodwind Quintet which performed, recorded, and toured extensively. In 1951, he joined faculty colleagues in forming the Columbus Little Symphony, which later became the Columbus Symphony Orchestra. He was principal clarinetist in the orchestra until 1962. An exacting teacher of profound musical scholarship, he touched the lives of his students, imbuing in them his great love of music and an unwavering commitment to musical scholarship and excellence.

In addition to his great love of classical music and a lifelong commitment to his profession, Dr. Titus pursued many and varied interests, including archeology, bicycling, gardening, and a multitude of outdoor activities. As a B-17 navigator in the Army Air Force during World War II, he cultivated friendships which endured and were enriched throughout his life through annual reunions of the 95th Bomb Group and the Air Force Escape and Evasion Society. He was the recipient of many prestigious awards. His service to his country is permanently remembered in a memorial at the Air Force Museum at Wright Patterson Air Force Base in Dayton, Ohio.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Robert A. Titus its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-8

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for May 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2000 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-9

Synopsis: The report on the receipt of gifts and the summary for May 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Wayne Woodrow Hayes Chair in National Securities Studies, The Max Morehouse Chair in Cancer Research, The Martha Morehouse Chair in Arthritis and Immunology Research, and The Dr. Ernest W. Johnson Professorship; and

WHEREAS this report includes the establishment of nineteen (19) new named endowed funds and the amendment to one (1) endowed professorship:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2000 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July-May
1998-99 Compared to 1999-00

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through May		
	<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 34,632,738	\$25,280,383	(27)
Alumni (From Bequests)	<u>3,563,238</u>	<u>9,284,861</u>	161
Alumni Total	\$38,195,976	\$34,565,244	(10)
Non-Alumni (Current Giving)	\$10,756,998	\$19,976,684	86
Non-Alumni (From Bequests)	<u>6,074,572</u>	<u>5,850,423</u>	(4)
Non-Alumni Total	\$16,831,570	\$25,827,107	53
Individual Total	\$55,027,546	\$60,392,351	10 ^A
Corporations/Corp. Foundations	\$33,412,444	\$33,279,994	0
Private Foundations	\$7,841,805	\$13,433,747	71 ^B
Associations & Other Organizations	<u>\$3,126,797</u>	<u>\$4,909,448</u>	57 ^C
Total	\$99,408,592	\$112,015,540	13

NOTES

- A Individual giving at the \$10,000 or more level is up 5% for this year (\$42.2 million from 479 gifts this year; \$40.3 million from 439 gifts last year).
- B Foundation giving at the \$10,000 or more level is up 79% so far this year (\$12.5 million from 174 gifts this year; \$7.0 million from 133 gifts last year).
- C Other organizations and associations have given about \$1.4 million more at the \$10,000 or more level so far this year compared with last year.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-May
1998-99 Compared to 1999-00

GIFT RECEIPTS BY PURPOSE

	Dollars July through May		
	<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
Gift Receipts to Current Use & Endowment Funds:			
Buildings/Equipment	\$23,715,626	\$9,758,518	(17)
Faculty Support	\$11,770,198	\$18,629,616	58
Program Support	\$42,031,205	\$49,505,710	18
Student Financial Aid	\$12,192,208	\$14,724,040	21
Annual Funds-Colleges/Departments	\$7,371,000	\$7,661,661	4
Annual Funds-University	<u>\$2,328,355</u>	<u>\$1,735,995</u>	(25)
Total	\$99,408,592	\$112,015,540	13

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through May

<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
\$41,142,566	\$41,460,465	1

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chair</u>			
The Wayne Woodrow Hayes Chair in National Securities Studies (Support for chair - Mershon Center in the College of Social and Behavioral Sciences; provided by a gift from the family and friends of Woody Hayes) (Grandfathered)	\$1,287,515.00		\$1,287,515.00
<u>Establishment of Named Endowed Professorship</u>			
The Dr. Ernest W. Johnson Professorship (Support for professorship – College of Medicine and Public Health; provided by gifts from friends and colleagues in honor of Dr. Ernest W. Johnson) (Grandfathered)	\$500,000.00		\$500,000.00
<u>Establishment of Named Endowed Funds</u>			
Ohio Extension Agents Association and Epsilon Sigma Phi Fund (Support for continuing education scholarships and programs and to enhance working relations with county, district, and state personnel for OSU Extension; provided by gifts from remaining funds from the national “Galaxy” Conference)		\$32,665.99	\$32,665.99
The Rodney B. Baldwin Scholarship Fund (Scholarships – College of Law; provided by gifts from the estate of Rodney B. Baldwin)		\$25,000.00	\$25,000.00

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Chairs</u>			
The Max Morehouse Chair in Cancer Research (Support for chair position - College of Medicine and Public Health; provided by gifts from the estate of Martha Morehouse in memory of her father)		\$1,250,000.00	\$1,250,000.00
The Martha Morehouse Chair in Arthritis and Immunology Research (Support for chair position - College of Medicine and Public Health; provided by gifts from the estate of Martha Morehouse)		\$1,250,000.00	\$1,250,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Presidential Scholar Fund</u>			
The H. Fred Krimendahl II Presidential Scholarship Fund (Support for a presidential scholarship; provided by gifts from the H. Frederick Krimendahl II Foundation and West End Road Fund at New York Community Trust)	\$250,000.00		\$250,000.00
<u>Establishment of Named Endowed Funds</u>			
The Dr. Edward E. and Sylvia Hagenlocker Chair Fund in Physics (Support for chair position - College of Mathematical and Physical Sciences; provided by from Dr. Edward and Sylvia Hagenlocker)		\$500,000.00	\$500,000.00
The Nick and Iris Swartz Hahn Scholarship Fund (Scholarships - College of Food, Agricultural and Environmental Sciences; provided by gifts from James Nicholas Hahn and Iris Swartz Hahn)		\$100,000.00	\$100,000.00
Irene J. Igoe Scholarship Fund (Scholarships for worthy students preparing for a career in teaching; provided by gifts from Robert Igoe in honor of his mother)		\$100,000.00	\$100,000.00
The Glenn Goltz Scholarship Fund (Scholarships - College of Food, Agricultural and Environmental Sciences; provided by gifts in memory of Glenn Goltz from Robert Hadley)		\$99,050.00	\$99,050.00
The "Bud" Hirsch Golf Scholarship Fund (Scholarships – Department of Athletics; provided by gifts from J. Emerson "Bud" Hirsch)		\$50,000.00	\$50,000.00
The Reba Belston Scholarship Fund (Scholarships – OSU at Lima; provided by gifts from the estate of Reba L. Belston)		\$36,000.00	\$36,000.00
The Thomas A. Spieth Endowment Fund for Lymphoma Research (Support for lymphoma research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gift from Thomas A. Spieth)		\$35,665.99	\$35,665.99

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Solomon Family Cancer Research Endowment Fund (Support for cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by anonymous gifts)		\$35,000.00	\$35,000.00
Kathleen E. Kendrick Scholarship Fund (Scholarships - College of Biological Sciences; provided by gifts from the estate of Kathleen Kendrick and from her family, friends, and colleagues)		\$30,969.94	\$30,969.94
The Eloise L. Fisher Endowment Fund for Cancer Research (Support for cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts received in memoriam to Eloise L. and John E. Fisher)		\$30,505.00	\$30,505.00
The Yoder Faculty Excellence Endowment Fund (Support to attract and retain faculty in the Max M. Fisher College of Business; provided by gifts from Dwight J. Yoder)		\$30,000.00	\$30,000.00
The Kenneth O. Smith Mechanical Engineering Scholarship Fund (Scholarships – College of Engineering; provided by gifts from Kenneth O. Smith and other donors)		\$25,500.00	\$25,500.00
Logan County 4-H Endowment Fund (Support for Logan County 4-H program; provided by gifts from friends of Logan County 4-H)		\$25,431.87	\$25,431.87
The Beth Morlan Kinney Scholarship Fund (Scholarships – College of Human Ecology; provided by gifts from Beth Morlan Kinney)		\$25,000.00	\$25,000.00
The Donald F. and Sally Kuntz Jones University Scholars Fund (Merit scholarships for students majoring in engineering or nursing; provided by gifts from Donald F. and Sally Kuntz Jones)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Yoder Undergraduate Scholarship Endowment Fund (Scholarships – Max M. Fisher College of Business; provided by gifts from Dwight J. Yoder)		\$25,000.00	\$25,000.00
<u>Change in Description of Named Endowed Professorship</u>			
The Battelle Professorship in Inhalation Therapeutics			
Totals	\$2,037,515.00	\$3,730,788.79	\$5,768,303.79

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

The Wayne Woodrow Hayes Chair in National Securities Studies

The Wayne Woodrow Hayes Chair Fund in National Securities Studies was established July 11, 1997, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from the family and friends of Woody Hayes. The funding level of \$1,250,000 has been reached, the chair established, and the description was revised July 7, 2000.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

In keeping with the provisions of the original endowed fund, income shall be used to support the Wayne Woodrow Hayes Chair in National Securities Studies in the Mershon Center in the College of Social and Behavioral Sciences. Appointment to the Hayes Chair will be made upon recommendation of the director of the Mershon Center and with approval of the University Board of Trustees.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$1,287,515.00
(Grandfathered)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Professorship

The Dr. Ernest W. Johnson Professorship

The Dr. Ernest W. Johnson Professorship Fund was established May 1, 1992, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from friends and colleagues in honor of Dr. Ernest W. Johnson (B.A. Bio. Sci. 1948; M.D. 1952; M.S. Med. Sci. 1957). The funding level has been reached and the professorship was being established July 7, 2000.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

In keeping with the provisions of the original endowed fund, the professorship will support research in physical medicine and rehabilitation in the Department of Physical Medicine and Rehabilitation, College of Medicine and Public Health. As funds become available and the principal reaches the chair level of \$1,250,000, the professorship may be converted to a chair that will support research in physical medicine and rehabilitation in the Department of Physical Medicine and Rehabilitation, College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, chairperson of the Department of Physical Medicine and Rehabilitation, or a representative of the Ernest W. Johnson Society in order to carry out the desire of the donor.

\$500,000.00
(Grandfathered)

Establishment of Named Endowed Funds

Ohio Extension Agents Association and Epsilon Sigma Phi Fund

The Ohio Extension Agents Association and Epsilon Sigma Phi Fund was established July 7, 2000, by the Board of Trustees of The Ohio State University with gifts from the balance of remaining funds from the national "Galaxy" Conference coordinated by Ohio in 1997.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for continuing education scholarships, continuing education programs, and to enhance working relations with county, district, and state personnel for Ohio State University Extension. Decisions will be made in joint consultation with Epsilon Sigma Phi and the Ohio Extension Agents Association.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of Ohio State University Extension in order to carry out the desire of the donors.

\$32,665.99

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Rodney B. Baldwin Scholarship Fund

The Rodney B. Baldwin Scholarship Fund was established July 7, 2000, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Rodney B. Baldwin (J.D. 1922).

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for financial aid to students enrolled in the College of Law. Recipients shall be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees, with preference being given to recommendations from the appropriate administrative official of the University who is then directly responsible for the College of Law in order to carry out the desire of the donor.

\$25,000.00

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chairs

The Max Morehouse Chair in Cancer Research

The Max Morehouse Chair in Cancer Research was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Martha Morehouse of Columbus, Ohio, in memory of her father, the late Max Morehouse.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for support of a chair position in the field of cancer research within the College of Medicine and Public Health, The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and the Comprehensive Cancer Center. The position shall be held by a nationally eminent faculty member as recommended by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and by the director of the Comprehensive Cancer Center to the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the endowed chair holder shall be reviewed no less than every five years by the senior vice president and dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

The research activities of the chair holder may also be supported to include research personnel, equipment, supplies, and other necessary expenses of an active researcher involved in quality medical research in the field of cancer.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Chairs (contd)

The Max Morehouse Chair in Cancer Research (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use (with the exception of AIDS research of any kind or nature) shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,250,000.00

The Martha Morehouse Chair in Arthritis and Immunology Research

The Martha Morehouse Chair in Arthritis and Immunology Research was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Martha Morehouse of Columbus, Ohio, and made in her memory and that of her son, the late Edward "Buck" Morehouse Johnson.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide support for the advancement of medical knowledge of the areas of arthritis and immunology and shall provide salary support for a chair position in the Division of Rheumatology and Immunology in the College of Medicine and Public Health. The position shall be held by a nationally eminent faculty member as recommended by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the endowed chair holder shall be reviewed no less than every five years by the senior vice president and dean to determine compliance with the intent of the donor as well as the academic and research standards of the University

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use (with the exception of AIDS research of any kind or nature) shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,250,000.00

Establishment of Named Presidential Scholar Fund

The H. Fred Krimendahl II Presidential Scholarship Fund

The H. Fred Krimendahl II Endowment Fund was established February 7, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the H. Frederick Krimendahl II Foundation and West End Road Fund at New York Community Trust at the direction of H. Frederick Krimendahl II (B.A. Arts & Sciences 1950) of New York, New York. The funding level was reached and The H. Fred Krimendahl II Presidential Scholarship Fund was established July 7, 2000.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Presidential Scholar Fund (contd)

The H. Fred Krimendahl II Presidential Scholarship Fund (contd)

In keeping with the provisions of the original endowed fund, the annual income shall be used to provide a presidential scholarship. Each recipient will be selected through the University's presidential scholarship competition and will continue through the senior year so long as he or she maintains eligibility as a presidential scholar. When a recipient graduates, a new freshman will be named. This fund shall be administered by the University Honors Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$250,000.00

Establishment of Named Endowed Funds

The Dr. Edward E. and Sylvia Hagenlocker Chair Fund in Physics

The Dr. Edward E. and Sylvia Hagenlocker Chair Fund in Physics was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Edward E. Hagenlocker (B.S. Physics 1962; M.S. Physics 1962; Ph.D. Physics 1964) and Sylvia Hagenlocker, both of Bloomfield Hills, Michigan.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be returned to the principal until the fund reaches \$1,500,000 and The Dr. Edward E. and Sylvia Hagenlocker Chair in Physics is established. Income from this chair will provide support for a distinguished senior faculty position in the Department of Physics. The Hagenlocker Chair's teaching and research will be expected to focus on atomic, molecular, and optical physics. Appointment will be for a 5-year term and will be chosen by the chair of the Department of Physics with the approval of the Board of Trustees, President, Provost, and the dean of the College of Mathematical and Physical Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$500,000.00

The Nick and Iris Swartz Hahn Scholarship Fund

The Nick and Iris Swartz Hahn Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James Nicholas Hahn (B.S. Animal Sciences 1950), and Iris Swartz Hahn, of Bellevue, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Nick and Iris Swartz Hahn Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships to support incoming freshmen or first quarter transfer students enrolled in the College of Food, Agricultural, and Environmental Sciences on the Columbus campus, and can be renewed based on academic success. Selection shall be made with a preference for students from Hocking, Wyandot, Erie, or Huron Counties. Scholarship recipients will be awarded by the vice president for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences, or their designee, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences, in order to carry out the desire of the donors.

\$100,000.00

Irene J. Igoe Scholarship Fund

The Irene J. Igoe Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid from her son, Robert Igoe, Elyria, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

Irene J. Igoe was born November 12, 1897, in Apple Creek, Ohio, to a family of limited resources. Following her graduation as valedictorian of her high school class, she passed an exam for her teaching certificate, beginning a twenty-year career of teaching spanning four decades, and attended college in the summers and raised a family. Most of her teaching was in Wooster (Ohio) City Schools, grades 1-3. Mrs. Igoe felt that financial assistance should be available to qualified and worthy students with need who are preparing for a career in teaching. Irene J. Igoe died in 1984.

The annual income shall be used to provide one or more scholarships to financially needy full-time students at any OSU campus who are preparing for a career in teaching. Scholarships are renewable for worthy students maintaining a B average and making satisfactory progress toward their undergraduate degree. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$100,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Glenn Goltz Scholarship Fund

The Glenn Goltz Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Robert Hadley (B.A. Arts & Sciences 1960) of Dayton, Ohio. The scholarship was established in memory of Glenn Goltz of Clyde, Ohio, a food science and technology student, fellow Ohio State band member, and friend of Robert Hadley.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a full scholarship for an incoming freshman undergraduate student who is enrolled in the Department of Food Science and Technology. First preference shall be given to a student who is currently a member of, or trying out for, the Ohio State marching band. If the student maintains at least a 3.0 grade point average, the scholarship can be renewable for up to a total of four years. Should more than one student qualify for this scholarship, the award shall be given to the student with the greatest financial need. If no incoming freshman undergraduate student is identified who meets these criteria, the scholarship shall then go to a student already enrolled in the Department of Food Science and Technology who meets such criteria, or, in the absence of any such student, to the incoming freshman undergraduate student in this department who has the greatest financial need.

The use of the annual income shall be directed by the undergraduate scholarship committee of the Department of Food Science and Technology, in consultation with the department chair, and the Office of Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Vice President for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences, and the chair of the Department of Food Science and Technology or their successor or appropriate administrative officer in order to carry out the desire of the donor.

\$99,050.00

The "Bud" Hirsch Golf Scholarship Fund

The "Bud" Hirsch Golf Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from J. Emerson "Bud" Hirsch of Winter Haven, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a student athlete who is a member of the men's varsity golf team pursuing an undergraduate degree at The Ohio State University. Recipients will be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The "Bud" Hirsch Golf Scholarship Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$50,000.00

The Reba L. Belston Scholarship Fund

The Reba L. Belston Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Reba L. Belston.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for undergraduate students enrolled at The Ohio State University at Lima. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board, with preference being given to recommendations from the appropriate administrative official of the University who is then directly responsible for The Ohio State University at Lima, in order to carry out the desire of the donor.

\$36,000.00

The Thomas A. Spieth Endowment Fund for Lymphoma Research

The Thomas A. Spieth Endowment Fund for Lymphoma Research was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Thomas A. Spieth (M.B.A. 1967) of Fort Lauderdale, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support lymphoma research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$35,665.99

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Solomon Family Cancer Research Endowment Fund

The Solomon Family Cancer Research Endowment Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with anonymous gifts.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$35,000.00

Kathleen E. Kendrick Scholarship Fund

The Kathleen E. Kendrick Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of microbiology faculty member, Kathleen E. Kendrick, Ph.D., and gifts in her memory from family, friends and colleagues.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to The Ohio State University to support minority students majoring in microbiology in the College of Biological Sciences. Recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$30,969.94

The Eloise L. Fisher Endowment Fund for Cancer Research

The Eloise L. Fisher Endowment Fund for Cancer Research for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with various gifts received in memoriam to Eloise L. and John E. Fisher.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Eloise L. Fisher Endowment Fund for Cancer Research (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and the dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$30,505.00

The Yoder Faculty Excellence Endowment Fund

The Yoder Faculty Excellence Endowment Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dwight J. Yoder (B.S.Bus.Adm. 1967; M.Acc. 1969) of Rancho Palos Verdes, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of The Max M. Fisher College of Business to attract and retain faculty through the support of teaching, research and service.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate university official in order to carry out the desire of the donor.

\$30,000.00

The Kenneth O. Smith Mechanical Engineering Scholarship Fund

The Kenneth O. Smith Mechanical Engineering Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kenneth O. Smith (B.M.E. 1948) of Circleville, Ohio, and other donors.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Kenneth O. Smith Mechanical Engineering Scholarship Fund (contd)

The annual income shall be used by the Department of Mechanical Engineering for scholarships to deserving undergraduate mechanical engineering majors. Students selected as Kenneth O. Smith Scholars will be eligible for renewal pending review of their academic progress. The chairperson of the Department of Mechanical Engineering will be responsible for administering these scholarships in consultation with the University Committee on Student Financial Aid.

It is the desire of Mr. Smith that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of Mr. Smith.

\$25,500.00

Logan County 4-H Endowment Fund

The Logan County 4-H Endowment Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends of Logan County 4-H.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Logan County 4-H program. This support may include, but is not limited to, the funding of scholarships and awards for 4-H members; volunteer leaders and friends of 4-H; sponsoring seminars and programs; purchasing material or services that will supplement 4-H programming or increase the visibility and public support of 4-H in Logan County. All expenditures from this fund shall be approved by the Logan County 4-H Advisory Committee.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board with preference being given to the recommendations of the appropriate administrative official of The Ohio State University who is the director for the Ohio State University Extension, in consultation with the committee representing the local 4-H donors.

\$25,431.87

The Beth Morlan Kinney Scholarship Fund

The Beth Morlan Kinney Scholarship Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Beth Morlan Kinney (B.S. Human Nutrition 1936), of Sebring, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Beth Morlan Kinney Scholarship Fund (contd)

The annual income shall be used for a scholarship for a College of Human Ecology student. Selection will be made by the dean of the College of Human Ecology, or the dean's appointee, in consultation with the College's Executive Committee, and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Human Ecology, in order to carry out the desire of the donor.

\$25,000.00

The Donald F. and Sally Kuntz Jones University Scholars Fund

The Donald F. and Sally Kuntz Jones University Scholars Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donald F. Jones (B.M.E. 1950), and Sally Kuntz Jones (B.S. Nursing 1949), of Sanford, North Carolina.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide undergraduate merit scholarships for Donald F. and Sally Kuntz Jones University Scholars. Preference shall be given to students majoring or planning to major in engineering or nursing. The scholarship will be administered by the University Honors and Scholars Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,000.00

The Yoder Undergraduate Scholarship Endowment Fund

The Yoder Undergraduate Scholarship Endowment Fund was established July 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dwight J. Yoder (B.S.Bus.Adm. 1967; M.Acc. 1969) of Rancho Palos Verdes, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support undergraduate students in The Max M. Fisher College of Business. Preference shall be given to an accounting major with financial need. Selection shall be made by the college scholarship committee in consultation with the University Committee on Student Financial Aid and with formal approval by the dean.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Yoder Undergraduate Scholarship Endowment Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate university official in order to carry out the desire of the donor.

\$25,000.00

Change in Description of Named Endowed Professorship

The Battelle Professorship in Inhalation Therapeutics

The Battelle Fund in Inhalation Therapeutics was established September 5, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Battelle Memorial Institute of Columbus, Ohio. The professorship was established September 1, 1999. The description was revised July 7, 2000.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

In keeping with the provisions of the original endowed fund, income shall be used to support a professorship in the College of Medicine and Public Health. The professorship position shall be held by a distinguished scholar as recommended by the senior vice president for health sciences and dean of the College of Medicine and Public Health in consultation with a selection committee within the College. The activities of the endowed professorship holder shall be reviewed no less than every five years by the dean and senior vice president to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

FUNDING PLAN FOR UNIVERSITY DEVELOPMENT

Resolution No. 2001-10

Synopsis: A funding plan for support of fund raising without General Funds support is proposed.

WHEREAS continued investment is needed to protect and enhance the University's ability to acquire private gift support and to improve the alumni/development information systems and administrative infrastructure beyond the end of the Affirm Thy Friendship Campaign; and

WHEREAS General Fund resources are limited and reallocation from academic programs is not practical; and

FUNDING PLAN FOR UNIVERSITY DEVELOPMENT (contd)

WHEREAS appropriate consultation with Deans and other University officials has resulted in agreement on the methods to gradually replace current general funds resources:

NOW THEREFORE

BE IT RESOLVED, That

1. The current funding supplement consisting of the interest income from holding current use gifts 90 days will continue through June 30, 2003.
2. Effective July 1, 2000, through June 30, 2003 the current 0.5% charge against all endowments should be increased up to an additional 0.6% in order to fully support fund raising and other custodial and stewardship activities.
3. The University be authorized to replace, over time, the 1.1% charge against all endowments with an endowment established to support development activity. Establishment of such an endowment is subject to Board of Trustees approval, and shall be accomplished through one or more of the following means, after appropriate consultation with the Council of Deans and with donors, provided that support to Development is not reduced:
 - a) unrestricted estate gifts;
 - b) gifts in support of Development; and/or
 - c) a portion of general endowment investment growth greater than 10%.
4. Any General Funds freed up by this plan cannot be reallocated without Board approval.
5. The Senior Vice President for Business and Finance and Chief Financial Officer and the Vice President for Development shall report annually to the Investments Committee and Fiscal Affairs Committee of the Board of Trustees and to the University Foundation Board on the acquisition and expenditure of these funds.
6. This plan will be reviewed by the Board of Trustees prior to June 30, 2003, for possible continuance or revision of the funding model beyond the stated date.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-11

**HEART HOSPITAL
BYRD POLAR RESEARCH CENTER – ROCK REPOSITORY**

Synopsis: Authorization to employ architect/engineering firms for the Heart Hospital and Byrd Polar Research Center – Rock Repository projects and request construction bids for the Byrd Polar Research Center – Rock Repository project are requested.

WHEREAS the University desires to construct a new Heart Hospital, with the total project cost yet to be determined; and

WHEREAS the University desires to construct a single story building to house the Byrd Polar Research Center's Antarctic rock collection and extend the existing loading dock to connect the building with the existing cold storage building and Scott Hall; and

WHEREAS the total estimated project cost is \$692,526 and the total estimated construction cost is \$540,000, with funding provided by the an National Science Foundation grant (\$503,941), Board of Regents grant (\$94,292), Office of Research (\$44,646), Byrd Polar Research Center (\$44,647), and Department of Geological Sciences (\$5,000):

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Heart Hospital and Byrd Polar Research Center – Rock Repository projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Byrd Polar Research Center – Rock Repository project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix II for maps, page 87.)

PURCHASE OF REAL PROPERTY

Resolution No. 2001-12

APPROXIMATELY 16.882 ACRES LOCATED AT 2825 DUBLIN-GRANVILLE ROAD
COLUMBUS, OHIO

Synopsis: Authorization for the purchase of 16.882 acres of improved real property adjacent to The Ohio State University Airport is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to purchase approximately 16.882 acres of land owned by the Ohio National Guard and offered for sale under terms defined by House Bill 479; and

WHEREAS House Bill 479 defines the price for the property as the appraised value and The Ohio State University will offer to purchase the property at a price not to exceed the appraised value of \$1,840,000; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS the funds for the purchase will be made available initially through internal financing through the College of Engineering, which will be reimbursed through grants from the Federal Aviation Administration and matching funds from the College of Engineering;

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to purchase the 16.882 acres of property in the name of the State of Ohio for the use of The Ohio State University at a price not to exceed \$1,840,000 upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix III for background material and map, page 89.)

PURCHASE OF REAL PROPERTY

Resolution No. 2001-13

2000 KENNY ROAD
COLUMBUS, OHIO

Synopsis: Authorization for the purchase of the improved real property at 2000 Kenny Road from 2000A Ltd. And 2000B Ltd, Ohio limited liability companies, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to purchase the improved real property at 2000 Kenny Road; and

WHEREAS the property has an appraised value of from \$3,500,000 to \$3,962,000, and the Seller has agreed to sell the property to the University for \$3,850,000 and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University, and

WHEREAS the funds for the purchase will be provided from internal financing:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to purchase the improved real property at 2000 Kenny Road in the name of the State of Ohio for the use of The Ohio State University at a price not to exceed \$3,850,000 and upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix IV for background material and map, page 91.)

TRANSFER OF REAL PROPERTY

Resolution No. 2001-14

2532 APPLE CREEK ROAD
APPLE CREEK, OHIO

Synopsis: Authorization for the transfer of, and payment for, approximately 1718 acres of agricultural and wooded real property from the Ohio Department of Mental Retardation and Developmental Disabilities to The Ohio State University for the use of the Agricultural Technical Institute is recommended.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to receive the transfer of 1718 acres adjacent to the Apple Creek Development Center farm; and

WHEREAS the property has an appraised value of \$1,516,000 and the Transferring Agency, the State of Ohio Department of Mental Retardation and Developmental Disabilities, has offered the transfer of the property for a price not to exceed \$1,200,000; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS the funds for the purchase will be provided from the College of Food, Agricultural, and Environmental Sciences:

TRANSFER OF REAL PROPERTY (contd)

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to make payment for, and to accept the transfer of, 1718 acres from the State of Ohio Department of Mental Retardation and Developmental Disabilities to the State of Ohio for the benefit of The Ohio State University for a price not to exceed \$1,200,000 and upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State of Ohio Controlling Board.

(See Appendix V for background material and map, page 93.)

**CAMPUS PARTNERS
AUTHORIZATION FOR CONTINUED FUNDING**

Resolution No. 2001-15

Synopsis: Continued funding and support for Campus Partners' initiatives and a request for a five-year business plan are recommended.

WHEREAS in February and November 1995 the Board of Trustees recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance of the initiatives of Campus Partners for Community Urban Redevelopment, Inc., in this effort and identified \$25 million in Endowment funds that could be made available for real estate and related investments in support of the Campus Partners revitalization efforts; and

WHEREAS in May 1997 the Board of Trustees accepted Campus Partners' "University Neighborhoods Revitalization Plan: Concept Document" and, subject to a memorandum of understanding of May 1, 1997, authorized the release of up to \$15 million of the \$25 million previously identified for investment in the South Campus/High Street redevelopment area and authorized the release of up to \$3 million for operating support for Campus Partners through the end of 1999-2000; and

WHEREAS Campus Partners has made significant progress towards the implementation of its priority initiatives, including the University Gateway Center; the faculty and staff home ownership incentive program; improvements to public safety, refuse collection, code enforcement, and other public services; the establishment of a special improvement district; and numerous other initiatives; and

WHEREAS as a result of significant consultation with, and participation by, all parties involved, Campus Partners, through a competitive process, has selected the Druker Company as the master developer for the University Gateway Center, the initial redevelopment project for the South Campus/High Street redevelopment; and

WHEREAS Campus Partners has entered into an Economic Development Agreement with the City of Columbus securing the necessary City commitments for a series of actions to ensure the success of the University Gateway Center, including the creation of a Tax Increment Financing District and the funding of \$5 million worth of the project's public infrastructure requirements; and

WHEREAS the State of Ohio has included \$4.5 million in its most recent biennial capital budget to assist in the funding of the University Gateway Center parking garage; and

WHEREAS Campus Partners has acquired almost 90% of the property in the Gateway redevelopment area, the City of Columbus has adopted a Relocation Plan to assist residents and

**CAMPUS PARTNERS
AUTHORIZATION FOR CONTINUED FUNDING (contd)**

businesses impacted by the redevelopment, and planning for implementation of this initial project is nearing completion; and

WHEREAS there is a need to identify the next steps of the Campus Partners' revitalization initiative and provide continuing funding support for Campus Partners' operations:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees requests Campus Partners to present to this Board, by the December 1, 2000 Board meeting, a five-year business plan to detail the program for the next phase of the Campus Partners' revitalization initiative; and

BE IT FURTHER RESOLVED, That the Board of Trustees hereby authorizes, from current operating funds set aside for this purpose, the release of up to \$650,000 per year for 2000-01 through 2002-03, to Campus Partners for continued support of its operations to carry out existing initiatives, including the development of the University Gateway Center, subject to any revision by this Board based on approval of the five-year business plan; and

BE IT FURTHER RESOLVED, That this Board hereby authorizes the release of up to an additional \$3 million for the Gateway redevelopment project from the previously identified \$25 million in Endowment funds, bringing the total amount released for investment in the University Gateway Center to \$18 million; and

BE IT FURTHER RESOLVED, That this Board hereby authorizes the release of up to \$3 million of the previously identified \$25 million in Endowment funds for the purchase of additional real estate (and corporate stock associated with said real estate) outside the previously established acquisition area but important for the long-range plans for the entire Campus Partners area; and

BE IT FURTHER RESOLVED, That this Board authorizes the release of up to \$4.6 million in Endowment funds, not a part of the previously identified \$25 million, for the purchase and financing of assets and the payment of related expenses in support of the additional real estate and corporate stock investments; and

BE IT FURTHER RESOLVED, That all of these actions and authorizations shall be subject to whatever further provisions amending the memorandum of understanding of May 1, 1997, as the Oversight Committee shall determine appropriate and by modifications this Board may approve as a result of its review of the new five-year business plan.

LEARNING TECHNOLOGY FEE

Resolution No. 2001-16

COLLEGE OF NURSING AND
COLLEGE OF THE ARTS

Synopsis: Authorization of the Learning Technology Fee in the College of Nursing and the College of the Arts is proposed.

WHEREAS the cost of providing specialized computing technology in the higher education environment has increased tremendously over the years as computing applications have expanded into every field; and

WHEREAS it is essential to invest increasing amounts in computing technology for our students to be competitive in the workforce; and

LEARNING TECHNOLOGY FEE (contd)

WHEREAS State funding has not kept pace with this increased demand and provides all universities with the same subsidy rates; and

WHEREAS Ohio State ranks 9th in total fees charged to an undergraduate student among all four-year institutions in Ohio; and

WHEREAS Ohio State is subject to the same percentage tuition fee cap as all other State institutions of higher education in Ohio, and the Board of Regents has declined to approve an exception for the campus-wide technology fee; and

WHEREAS the College of Engineering, the Fisher College of Business, the Department of Computer and Information Science in the College of Mathematical and Physical Sciences, and the School of Public Policy and Management in the College of Social and Behavioral Sciences previously have recognized this need and, as approved by this Board, already have implemented their own technology fees; and

WHEREAS the College of Nursing and the College of the Arts have demonstrated the need to invest resources beyond that available through existing budgets in learning technology in order to prepare their graduates adequately for the workforce and have made sound plans for the investment of the proceeds of a fee; and

WHEREAS appropriate consultation has taken place, including among various student groups:

NOW THEREFORE

BE IT RESOLVED, That the College of Nursing and the College of the Arts are authorized to assess undergraduate majors and graduate students in these colleges a learning technology fee, effective Autumn Quarter 2000, of \$43 per quarter for full-time undergraduate majors and graduate students. The fee will be prorated at \$3 per credit hour for undergraduate majors enrolled for fewer than 12 credit hours and \$5 per credit hour for graduate students enrolled for fewer than 8 credit hours; and

BE IT FURTHER RESOLVED, That the use of the College of Nursing and College of the Arts learning technology fee will be reviewed by the Provost's Advisory Committee and the Office of Academic Affairs, subject to annual approval by the Board of Trustees, until the fee is eliminated or replaced by a University-wide computing fee; and

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and file these materials with the official records of the University.

ARMS - COMPLETION

Resolution No. 2001-17

Synopsis: Approval of the ARMS Project completion (Phase VIII) is proposed.

WHEREAS Phase VII of the Administrative Resource Management System (ARMS) Project, as authorized by the Board of Trustees at its July 2, 1999, and December 3, 1999 meetings, has been completed; and

WHEREAS completion of the Project has been recommended by the appropriate University offices and required funding will be available:

NOW THEREFORE

ARMS – COMPLETION (contd)

BE IT RESOLVED, That the University is authorized to proceed with completion of the ARMS Project (Phase VIII) as described in the accompanying materials, including authorization to spend up to \$3 million for completing the ARMS Project, and supporting the Human Resources, General Ledger, and Procurement systems through September 30, 2000; and

BE IT FURTHER RESOLVED, That the University is authorized to proceed with the transfer of personnel and assets as required to provide for the continuing operations of these systems; and

BE IT FURTHER RESOLVED, That the University will report back to the Board of Trustees before December 31, 2000, with respect to close-out costs for this project and the success of achieving the business objectives of this project.

(See Appendix VI for background material, page 95.)

**SEARCH FOR
SENIOR VICE PRESIDENT FOR HEALTH SCIENCES AND
DEAN OF THE COLLEGE OF MEDICINE AND PUBLIC HEALTH**

Resolution No. 2001-18

Synopsis: Appropriate closure to the search process for the position of Senior Vice President for Health Sciences and Dean of the College of Medicine and Public Health is proposed.

WHEREAS in September 1999 the Board of Trustees approved the reorganization of the Academic Medical Center and authorized the President to appoint a search committee to recommend one or more candidates for the position of Senior Vice President for Health Sciences and Dean of the College of Medicine and Public Health; and

WHEREAS under the leadership of Professor Pascal Goldschmidt, the search committee has worked diligently and tirelessly to seek out the most outstanding individuals for this position and has brought forward the leading candidate for the University's consideration; and

WHEREAS it is the desire of the Board of Trustees to fill this position as soon as possible:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees directs the President to bring appropriate closure to this search process, to complete discussions with the leading candidate on terms acceptable to the University, and bring the appointment to the Board for acceptance and ratification at the August 30 meeting.

Upon motion of Mr. Skestos, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks.

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President Kirwan:

I would now like to recommend the following two resolutions for vote:

EMPLOYMENT OF ARCHITECTS/ENGINEERS

Resolution No. 2001-19

MCCRACKEN POWER PLANT - COGENERATION DESIGN PROJECT

Synopsis: Authorization to employ architect/engineering firm(s) to proceed with a detailed cost study and schematic design for a 59MW cogeneration plant and a detailed analysis of the Columbus Campus electrical distribution system is requested.

WHEREAS the University desires to bring the McCracken Power Plant into compliance with provisions of the Clean Air Act by 2005 as mandated by the EPA; and

WHEREAS it is important to position the University to take advantage of the upcoming electrical deregulation and to evaluate the economic benefits and efficiencies of cogeneration of energy for the campus; and

WHEREAS a technical analysis of the fault-interrupting capability of our electrical distribution system is required due to the growth of electric loads on the campus and as input to the detailed cost study and schematic design for a 59MW cogeneration plant, and

WHEREAS this detailed cost study and schematic design will identify the total costs required to install cogeneration equipment and enable the University to make a firm decision regarding use of this technology; and

WHEREAS the detailed cost study and schematic design and the electrical distribution system analysis are expected to cost about \$1.3 million with funding provided by University bond proceeds, with debt service paid from central General Funds:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the McCracken Power Plant – Cogeneration Project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the Office of Business and Finance will report back to this Board at its February 2001 meeting for further approvals.

(See Appendix VII for background information, page 103.)

EASEMENT

Resolution No. 2001-20

**AMERICAN ELECTRIC POWER
1381 KINNENAR ROAD**

Synopsis: Authorization to grant the American Electric Power Company an easement across University property located at 1381 Kinnear Road is proposed.

WHEREAS the American Electric Power Company has requested a 25-year easement consisting of approximately 0.028 acres of land to install electric service to the new Science Village located at 1381 Kinnear Road; and

EASEMENT (contd)

WHEREAS this easement will be of benefit to the Science and Technology Campus Corporation and the University; and

WHEREAS the appropriate University offices have recommended the approval of the easement:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his statutory duties, to act for and on behalf of the University in developing the necessary legal instrument to provide for the easement.

(See Appendix VIII for map, page 111.)

Upon motion of Mr. Skestos, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolutions with seven affirmative votes cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Ms. Longaberger and Ms. Hendricks, and one abstention cast by Judge Duncan.

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PERSONNEL COMMITTEE'S REPORT

Mr. Skestos:

On June 7, 1991, the Board of Trustees approved a set of principles and guidelines to be used in our evaluation of the President. Pursuant to those guidelines, the Personnel Committee of the Board has met with President Kirwan and reviewed his assessment of accomplishments during his second year as president, as well as his goals for the University in the coming year and beyond.

The evaluation was, again, extremely positive, and, at this time, I would like to present the Personnel Committee's recommendation regarding President Kirwan's compensation for the coming year.

In making this recommendation, we want to express the Board's continued support of the work that President Kirwan has accomplished, and we are enormously pleased with the superior leadership he has shown and his dedication to not only Ohio State, but to higher education overall.

In communication with the President, it was upon his insistence that we stay within the four percent compensation guidelines set by the Board of Trustees; and so it is now our recommendation that he receive the four percent increase in his compensation package in the form of a deferred annuity, beginning July 1, 2000.

The Secretary of the Board is hereby authorized and directed to develop the appropriate documents to effectuate this change in compensation.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing recommendation by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks.

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PRESENTATION ON THE CURRENT FUNDS BUDGET – FY 2001

Mr. William J. Shkurti:

Mr. Chairman -- by way of summary since the budget was presented in the Fiscal Affairs Committee -- this is a ratification of the previous decisions the Board made in May and June regarding tuition and compensation, and those set the parameters of the budget. We will be back in August with the details.

The only other thing I would emphasize is that, in terms of overall revenue growth, this applies to the general funds continuing budget. What we're recommending is a \$38 million, 5.3 percent growth in revenues, and an equivalent increase in expenditures. About two-thirds of that, or \$25 million, represents the money to continue what we're doing, including compensation, which is the biggest component of that.

Another \$13 million is to do new things and improve things -- strategic investments -- which are consistent with the economic priorities of the institution. When we come back in August, we will have more detail on those and also on the implications of that budget for the future.

FISCAL YEAR 2001 CURRENT FUNDS BUDGET

Resolution No. 2001-21

Synopsis: Approval of the budget for Fiscal Year 2001, is proposed.

WHEREAS the levels of resources have been identified for the current biennium; and

WHEREAS current legislation requires a second separate vote for any tuition increases above 4.0% for the combined General and Instructional Fees for in-state undergraduates at the Columbus Campus; and

WHEREAS an increase of 4.0% in the combined General and Instructional Fees for in-state undergraduates at the Columbus Campus was approved at the June 2, 2000 meeting; and

WHEREAS an additional increase of 2.0%, for a total of 6.0%, must be approved on a contingent basis in a separate vote; and

WHEREAS Access Challenge requires lower-division resident undergraduate fees to decrease by 5% at the regional campuses and current legislation limits upper-division regional campus fees to a 3% increase; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2001 budget:

NOW THEREFORE

BE IT RESOLVED, That tuition and fees at the Columbus and Regional Campuses be increased for in-state undergraduates and all other levels of students as specified in the accompanying materials; and

BE IT FURTHER RESOLVED, That the University's General Funds Budget Summary for Fiscal Year 2000-01, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That the University will submit the completed Current Funds Budget for the August 30, 2000 meeting of the Board of Trustees; and

FISCAL YEAR 2001 CURRENT FUNDS BUDGET (contd)

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and to file these materials with the official records of this University.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Judge Duncan, and Ms. Longaberger and Ms. Hendricks.

(See Appendix IX for background information, page 113.)

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STUDENT GOVERNMENT REPORTS

Mr. Todd Armen:

First is the Undergraduate Student Government report submitted by B.J. Schuerger, President of USG. As the summer has come, the students have gone, but the Undergraduate Student Government is still hard at work. They are currently forming their own GEC reform plan that they will be presenting in the fall. USG will be working with President Kirwan in putting a plan together that makes it easier for students to graduate in four years. They will also be sponsoring an information book containing coupons of campus area businesses and local phone numbers for the students. This book will hopefully be an invaluable resource for the students to use.

On another note, USG is happy to see the installation of more blue lights in the immediate off-campus area. The installation of these lights shows students the commitment of the University to safety in the off-campus community.

Again, on behalf of the Undergraduate Student Government, they would like to congratulate and welcome Mr. McFerson and Mr. Filiatraut to the Board of Trustees. USG wishes Vice President Williams the best of luck as he moves on to Vanderbilt University. His commitment to Ohio State and the Columbus community will be greatly missed. They wish you the best.

Next is the report from the Council of Graduate Students. Since CGS last reported to the Board, they have continued a full plate of activities to serve the University, students, and the public. They appreciate the opportunity to share their activities and views with you, and want to highlight just three of the activities they found most important.

CGS really appreciates being able to continue working with the Provost, and Vice Provost Alayne Parson, on how Ohio State will use revenues from the Coca-Cola contract. They proposed that a share of the funds be used to address critical student concerns. These include: addressing campus area safety; establishing a community engagement center to help students and faculty work with Campus Partners in revitalizing the University neighborhoods; providing funds for diversity initiatives; increasing opportunities for professional and graduate students to present research at conferences; and increasing the training of graduate teaching associates so undergraduates have the best possible teachers. They know these initiatives are important to the University because of the University commitment to student safety, and the support of excellence in undergraduate, graduate, and professional education as important strategies to achieve the 20/10 goal.

In June, CGS participated in the time-honored tradition of the summer field trip to Washington. They took five outstanding OSU students, including former Student

STUDENT GOVERNMENT REPORTS (contd)

Mr. Armen: (contd)

Trustee Allyson Lowe, to join the National Association of Graduate and Professional Students for their Congressional Lobby Day. The group watched throngs of elementary and high school students tour the Capitol while they put themselves to the ultimate test – effectively sharing their views on federal budget and policy issues.

CGS thanks Dick Stoddard for his assistance. He helped make the trip a success and reaffirmed what they heard from many staffers on Capitol Hill – that Dick is a great asset to OSU. The group met with Representative Pryce, staff for Senators Dewine and Voinovich, and staff for eight Ohio Representatives. They spoke on the public good that comes from federal support for graduate and professional education. They also suggested that federal investment in graduate and professional education benefits the public and the nation by training new generations of highly-skilled researchers, educators, and leaders in business and public service.

Just one week later Ron Meyers had the opportunity to visit Monticello, that splendid cultural icon of democracy and monument to Jefferson's life of dedication to research, public service, and higher education. Visiting Monticello and Washington led him to reflect on the incredible gift our ancestors fought to give us by forming this democratic (with a small "d") nation. Every generation of Americans since then has sacrificed to protect it. Ron was proud to know that Ohio State has on their University seal the motto, "Education for Citizenship."

We are an invaluable institution for advancing the public good because we have, as part of our mission, the advancement of what may be the most important experiment and project in history – the United States' effort to create a vibrant democracy that ensures our freedoms. From Monticello, Ron was reminded that this project is a work in progress, never quite done, which we have been advised to protect with vigilance. It is wonderful that Ohio State, as a land-grant institution of public higher education, has a unique, distinctive mission to help build democracy.

CGS is very pleased to work with many others at Ohio State on these big picture issues of citizenship and democracy. CGS will continue to do their part in teaching students about citizenship by engaging them in student governance, registering voters, hosting political candidates, and helping students grow as citizens.

On a final note, CGS would like to say farewell to David Williams, who has provided much important leadership on so many fronts. They will miss him and wish him well at Vanderbilt, but are very pleased that Bill Hall has been appointed interim vice president. His integrity, competence, and leadership on a variety of issues is superlative, and much appreciated by the Council.

Finally, I'll give the Inter-Professional Council report. First, I would like to welcome Mr. McFerson and Mr. Filiatraut to the Board, and thank the Board for the opportunity to address you today, a privilege that the Inter-Professional Council has had since 1987.

As you can see, over the past month IPC has researched and begun to document our history, as it is only through knowing our past that we can seek excellence in the future. As we examined our roots, we also began preparing for the year ahead. In early June we held an executive retreat, which included vice president Kathryn Richdale and secretary Amanda James Wildman among others. We have begun planning both a summer social for the end of July and a

STUDENT GOVERNMENT REPORTS (contd)

Mr. Armen: (contd)

Halloween social to promote interaction between students of the various professional colleges.

We began to organize orientation activities for incoming professional students in August for the Colleges of Law and Medicine and Public Health; and in September for the Colleges of Dentistry, Optometry, and Veterinary Medicine. We also began to plan our summer conference retreat for IPC senators, executives, and representatives to be held July 30.

Additionally, as a medical student myself, and on behalf of the students in the College of Medicine and Public Health, whom I represent, I would like to say that we are extremely excited with the proposal of the OSU Heart Center, and the many opportunities that it will allow to further our education.

During the month, IPC also has had the opportunity to review the outcome of our Professional Development Program which, over the past year, awarded about \$6,000 to over 60 applicants to fund various projects and trips to national conferences. Currently, we are searching for ways to continue and perhaps expand this important program over the next year.

Finally, the Inter-Professional Council Quality of the University Experience, or "I-QUE," Report is complete and being printed at this time. Look for its distribution in the coming weeks, as this document is perhaps the cornerstone in outlining improvement to professional education and student life at the University.

In closing, we wish Vice President Williams the best of luck at Vanderbilt. I thank you, once again, for this opportunity to speak. I look forward to working with you all over the next year to make The Ohio State University not only the premier institution in Ohio, but in the nation. Thank you.

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**PRESENTATION ON THE CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION**

Mr. Skestos:

I'd like to now call on Mr. Ora Smith, who will make a presentation on the continued support for the Science and Technology Campus Corporation. Ora --

Mr. Ora Smith: [PowerPoint presentation]

Thank you, Mr. Chairman. I'm happy to be here today.

The purpose of this presentation is a triennial review of the development plan for the Science and Technology Campus Corporation. We are developers and we are required -- under the development agreement which we operate as the developer of 53 acres on the West Campus of the University -- to once every three years present a development plan to the Trustees of the University. At that presentation, the Trustees have the option of either continuing the plan or terminating the development plan, depending on their evaluation of the progress.

Specifically, we're going to be asking for the following things in a resolution which will be voted on at the August 30 meeting. First, approval of our development plan going forward, and each Trustee should have received a copy of the

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

development plan and copies of our audited financials for Fiscal Years 1998 and 1999.

The second thing that we will be asking for in the resolution is an extension of the leases under which we operate. SciTech has 40-year leases on both vacant land and existing buildings on West Campus. Every three years, if we pass muster at the triennial development review, those leases will be extended for an additional three years, so they become in effect "evergreen" leases.

The third thing we will be asking for is an extension of the \$300,000 per year operating support that we receive through the Office of Research and OSURF. This money is intended to help support the operations of SciTech until such time it becomes self-sufficient, and the financial plan, under which we have been operating since inception, predicts that will be in the year 2011. I would add that that money is also matched by the State of Ohio, who contributes \$100,000 a year through the Ohio Department of Development, and the City of Columbus, who contributes \$100,000 through development.

And, finally, the fourth thing that we will be asking for is continuation of our borrowing authority from the University. We have a \$7 million construction loan agreement with Ohio State, with \$5 million of that for spec, in the sense that it does not need to be secured by any underlying tenant/lease obligation; and \$2 million of the \$7 million is required to be secured by an underlying lease obligation. Again, we're not asking for anything new. We're just asking for a continuation of SciTech.

Our mission is to promote on-campus research alliances between businesses and the University, and to provide facilities in which to house those companies on campus. Our mission emphasizes connectivity with the University. This is not just a real estate development – it's a lot more. I would say, in fact, the real estate is secondary. The main thing is the connection with the University, the opportunity to enhance education and research at Ohio State.

Just a little history. I found the earliest planning document about the research park effort at Ohio State from 1983, and its recommendation to establish a research park. In 1996 The Ohio State University Research Park Corporation was formed and in 1998 the name was changed to the Science and Technology Campus Corporation. The development agreement between the University and SciTech was signed in March 1998 and made retroactive to 1997.

I was hired in September 1998 as the first full-time president and, it's fair to say, we actually began operations at that point. We signed our leases, both the land and existing building leases, with Ohio State in April 1999, and those were made retroactive to July 1, 1998.

We began construction of our first new building, Science Village Phase I, in October 1999. In June of this year, we launched a new thing called the Technology Commercialization Corporation, which I'll talk about in a bit more detail in a minute.

A little bit on the mechanics of SciTech. We are an independent, non-profit corporation. We're affiliated with the University, but we are independent. We have an independent board, which is chaired by President Kirwan. The board also has on it: a University Trustee, which is currently Mr. Skestos; two deans, Dean Moser from Agriculture and Dean Ashley from Engineering; and the Vice

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

President for Research, Brad Moore. The remaining members of the board are community representatives and the bylaws of the corporation provide that the board cannot be controlled by the University. So we are truly independent. We are 501(c)3.

We have exclusive development rights to 53 acres on West Campus. That includes 35 acres that are vacant and three existing buildings. Those development rights were conferred by the development agreement that I talked about. We have \$7 million of construction lending available and there is an additional \$14 million referenced in the development agreement as contingent. We have not yet asked the Trustees for any authority to draw on that.

We have received \$4 million in state capital grant money to aid our construction activities. Half of that will be put into the Science Village and half of it is going into an expansion of our small company incubator, the Business Technology Center. We have an annual operating budget of approximately \$800,000 a year. That operating budget comes from the profits on our real estate operations and the subsidies from Ohio State, the city, and the State of Ohio.

The status of our development activities is that we have currently 380,000 square feet under roof, and we have a 50,000 square foot new building under construction. We have 25 tenants and sub-tenants. We occupy 125,000 square feet of lab and office space, and about 134,000 square feet of warehouse space. We have 48,000 square feet of old building, which is the old Simmons Mattress Warehouse Factory on Kinnear Road, undergoing renovation right now, and we have about 40,000 square feet of that building additionally available for renovation.

While I'm talking about renovation and new construction, I'd also like to introduce Frank Elmer, our project architect, from Lincoln Street Studios, Ltd. Frank, will you please stand? Without Frank, none of this would get done.

We have a major new construction project underway – the Science Village Phase I. This is a 50,000 square foot building located near the corner of Kinnear and North Star Roads. The building was started in October 1999 and will be completed by November of this year. First occupancy is expected by December 2000 and we expect to have it fully occupied by April 2001.

The anchor tenant in this building will be Ohio Micro-MD Laboratory, occupying 28,000 square feet. This is a very exciting new activity, a joint venture between Ohio State and SciTech. It's not legally a joint venture, at least not yet, but this is a laboratory that is going to enable Professor Ferrari, who was referred to earlier in the heart presentation, to do that magic he does so well.

This is going to be a state-of-the-art, world-class, unique, nothing-else-like-it-anywhere facility for the fabrication of nano-scale biomedical devices. We're still working on assembling the financing package for the build-out of the interior of that laboratory, which has a lot of clean rooms and is consequently going to be quite expensive.

The second tenant will be I-Med -- the company that was also referred to earlier and connected with Dr. Ferrari -- who has relocated here from California. A very prominent reason they came here from California was the fact that we promised to build this laboratory to house them and to let them have access on a fee-for-service basis.

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

The Micro-MD Laboratory will be a multi-user facility. It will be usable by both academic and industrial researchers for a fee. We expect it will be a strong attractor for tenants on the SciTech Campus. We intend to move our own offices in there, and we're in discussion with a number of additional tenants that we believe will take up the rest of the space.

There are two other projects currently ongoing. The Business Technology Center incubator is expanding – a 35,000 square foot expansion -- which is being financed primarily outside SciTech's balance sheet. We're putting about \$250,000 of structural mods into the building. The residual \$4.5 million consists of \$2 million from the state and the remainder from private contributions from Central Ohio. We're also renovating about 12,800 square feet of the old Mattress Warehouse Factory to house incubator graduates, and we will have a couple of them going in there later this summer.

This is a graphic of Science Village. This is the new 50,000 square foot building near the corner of Kinnear and North Star Roads. These are some construction photos I took last Friday and this is a view from across the street. It's quite innovative architecturally. This design was approved by our Design Review Board, which is made up of half University Architect's representatives and half outside representatives. It's going to be quite interesting architecturally, as well as being a cost-effective building. We've already had one phone call from somebody wanting to buy a condo in it.

We have a number of performance measures that are outlined in the development agreement. The first of these was to have 22,500 square feet of this new construction project, Science Village, occupied by June 30, 2000. We've missed that. We expect to have 50,000 square feet occupied by April 1, 2001. The reason that we missed it is that SciTech's operations began considerably later than they were envisioned to begin when the development agreement was first drafted. As a result, we had delayed signings on the OSU leases, and we didn't feel comfortable going out to bid on a major construction project until we had the legal right to occupy the land.

We also are having a very complex internal build-out in connection with this Ohio Micro-MD Laboratory, which is going to extend the tenant fit and finish a little beyond what you might see in a conventional office building situation.

Another goal that we have in the development agreement is that by June 30, 2000, 30 percent of tenant employees will be students. I'm happy to report that we've exceeded that one. Our tenants currently report that 32 percent of their employees are Ohio State students. Now, the vast majority of those, of course, are part-time employees.

We have another goal that we're not required to meet until the year 2003, which is by the third year after the Science Village occupancy, our tenants would have 45 cooperative research activities. We have been tracking that anyway, and our current tenants report 49 cooperative research activities within the prior 12 months. Obviously, we are very connected with the University.

Another goal that's not due to be met for another three years is \$1 million in sponsored research funding to OSURF. Our tenants currently report \$5.1 million in sponsored research. This number is very big – it's very surprising, it's very fresh, and I think we need to dig into that a little more to make sure that that's real. But clearly, there's a lot of action with the University.

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

Here's a goal that we're not doing very well in our progress toward. By the third year after occupancy, we're supposed to show \$250,000 per year in licensing royalty. I'm unhappy to say that our tenants currently report that they're paying no licensing revenues to the University and we're working very hard with Dave Allen and his staff to try to remedy that situation.

These things are not in the development agreement, but I consider them performance measures anyway. We have 25 tenants and sub-tenants – 22 of them reported 200 employees, 64 students, and 99 alums. There are so many OSU people, percentage wise, in this complex it's almost an Ohio State ghetto. It's amazingly filled with Ohio State people.

Our tenants used 11 consultants in the past year from the University faculty – paid them \$115,000 in total. They spent a little over \$100,000 on the use of University facilities. Total payroll is over \$10 million – about \$51,000 per employee. That includes part-time employees, because we didn't ask for a breakdown. There's a very interesting thing about that number. What it shows is that these technology jobs are very high-paying jobs. The true number per employee, factoring out the part-timers, is probably in the mid-60s. This is phenomenal, and we need to encourage these kinds of businesses. Total sales of the companies -- and we have some non-profits in there, but just the companies in the complex -- is \$7 million plus, and they report their market worth as about \$33 million.

In our development plan going forward. We have a number of principles under which we're proceeding. First and foremost, is connectivity with the University. Closely behind that is economic viability. We did not want to build a white elephant that can't pay for itself. The third is that we are doing this development because we're doing it in a relatively risk-constrained method, in small amounts of financing. We're doing the development very incrementally. Which means we don't build a lot of infrastructure out in front of our building construction. And fourth, our development plan is to be consistent with the West Campus Master Plan. We work very closely with the University Architect's office to make sure that consistency happens. That last bullet is the primary job of our Design Review Board.

Growing from our principles, we have a number of strategy elements that we developed: 1) we're focusing our development in a specific area of the land that we have in order to get some economies of togetherness; 2) we're working to get private sector support; and 3) we're working to invoke some other public partners to address some infrastructure deficiencies. We have some big issues in this development land with road access, with pedestrian access, with storm water drainage – these things are probably beyond SciTech's sole economic power to fix. So our approach to that is to partner with both public and private entities to try to leverage the money we have to address some of these infrastructure issues and support issues.

The fourth bullet is to collaborate with Ohio State academic units. So we spend a lot of time working with the colleges of the University to make sure, as this thing develops, it really develops in a way that's tightly coupled with Ohio State.

This is a map of the development, so you can see where things are. You can see that the main academic east campus is over here on the right – there's the Horseshoe. We are on West Campus – the part that's zoned or specified to be the Research Park is this green area.

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

The dark buildings are Ohio State buildings. This one's a state building -- the Ohio Computing Center building -- but the remainder of these very dark areas are University buildings. The light gray ones are private sector buildings. The ones in various shades of pink and red are SciTech buildings. This is a current building, this is a current building, this is a current building shown in a somewhat modified form, and this is the old mattress factory. Just for calibration, I should say, that's east, that's north, this is Lane Avenue Road, this is Kenny Road, this is Kinnear Road, and this is North Star Road.

This is our Science Village and our first new building, which is 50,000 square feet. This is the area where we're focusing our initial development. Some of the things that we're proposing to do are to construct some new roads, which will connect both east and west in a grid fashion across the campus and to put in some pedestrian and bicycle access paths, which don't exist now. These ponds here are for the purpose of controlling storm water runoff. Upper Arlington dumps its storm water onto the property here and we have a serious issue dealing with that runoff.

These are some of the major development issues that we face going forward. The storm drainage issue is a big one and, again, there's a lot of water to be dealt with. Parking, pedestrian and bike access, streets, and water pressure are all major issues in this part of town. We will have to provide amenities, other than just cold, hard, bare buildings for tenants who move into the park, to giving them some other reason to be there.

The marketing strategy that we're using to bring tenants into this building looks like this. First, we emphasize University connectivity -- that's really the thing we're selling. We're selling tenancy in buildings, too, but the real reason somebody's going to want to be here is because of the proximity to Ohio State.

We're trying to build the Science Village brand name and the SciTech brand based on the construction of magnet tenants and facilities. The Micro-MD Lab that we're putting up is a perfect example of that.

The third is to support the development of new technology-based businesses. This is the reason that we've started our technology commercialization corporation activity, which I'll talk about in just a minute. We think that it's going to be necessary to make this a success to grow some of our own companies locally.

The fourth thing is to maintain strong relationships with our tenant base. These technology companies are not like law firms -- they don't move into a place and stay there for 50 years -- they change. Their businesses change, they grow quickly, they shrink quickly. The very nature of their business may change over a short period of time. We have to stay close to what they're doing so we can accommodate them. Once we've got them, we don't want to lose them.

One more thing I would add is that everything I've talked about to date is about a building that we're financing and renting out. We've also had two organizations come to us -- and we're discussing large single tenant buildings -- which would be probably financed off SciTech's balance sheet. So we do have some of those larger companies, single tenant relationships, potentially brewing.

We have a cash flow plan. This compares the 15-year plan that was done in 1997 -- which is the black line -- with the plan that was done in the year 2000,

**PRESENTATION ON THE CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION (contd)**

Mr. Smith: (contd)

which is the purple line. You can see, there are some differences here and there. If you add up the total amount of money under these curves, due to the integral, what you would find is that for the 1997 plan, that over this period, \$2.4 million positive cash flow came out of the project. Now that includes the effect of subsidies. If you look at the purple line, the year 2000 plan, and sum that up, it's \$4 million. So things are actually looking quite a bit better than we had originally anticipated. You can see in the early years, the positive cash flow is quite a bit higher than originally forecast. We've been quite conservative in making this new projection, but economically the project is looking good.

These are some of the reasons for the changes. The existing buildings that we're operating are more profitable than originally forecast. We've had a negative, however. We had \$2 million less in state construction subsidy money come into the project than was originally forecast. We are doing fewer, larger construction phases in order to get economy of scale, and that makes us more profitable over the long run, but it makes the line a little more jagged, a little more volatile. And we also updated for the very substantial construction cost increases that we've seen hit within the past couple of years in the regions.

We are forming a new entity -- the Technology Commercialization Corporation, designed to start technology companies. We expect many of them will be companies that come out of Ohio State that are based on faculty inventions. Our goal is to put together companies and get them to the point where they are a package deal that will attract larger venture capital investment to the region.

This is being financed in part by the Governor's Technology Action Fund program. We received an \$800,000 contract from the state. This money is being matched, very interestingly, by a group of venture capitalists and banks. It will be operational this summer. We made an offer and have an oral acceptance for a president for this activity and I expect to have him here by the end of August. When that deal is inked, we will be announcing that person and we'll be off and running.

Again to reiterate, this is the requested Trustee action: approval of our 15-year development plan going forward; the extension by 3 years of the 40-year ground and building leases; extension of the \$300,000 per year operating support that comes through the Office of Research and OSURF; and continuation of our construction borrowing authority from the University.

I thank you very much. There are large placards of these development sites at the back of the room if anyone wants to take a look at them later. Thank you.

Mr. Skestos:

Any questions from the Board? Thank you, Ora.

--0--

July 7, 2000 meeting, Board of Trustees

Thereupon the Board adjourned to meet Wednesday, August 30, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier
Secretary

George A. Skestos
Chairman

Policy and Procedure Manual

Subject: SEXUAL HARASSMENT
Applies to: University faculty, staff, students,
student employees, and volunteers

Number 1.15
Issued 10/1/80
Revised 7/7/00

Policy

The University administration, faculty, staff, student employees, and volunteers are responsible for assuring that the University maintains an environment for work and study free from sexual harassment. Sexual harassment is unlawful and impedes the realization of the University's mission of distinction in education, scholarship, and service. Sexual harassment violates the dignity of individuals and will not be tolerated. The University community seeks to eliminate sexual harassment through education and by encouraging faculty, staff, student employees, and volunteers to report concerns or complaints. Prompt corrective measures will be taken to stop sexual harassment whenever it occurs.

Policy Guidelines

I. Definition

Sexual harassment includes unwelcome sexual advances, requests for sexual favors, and other physical or verbal conduct of a sexual nature when it meets any of the following:

- A. Submission to such conduct is made either explicitly or implicitly a term or condition of an individual's employment or academic status;
- B. Submission to or rejection of such conduct by an individual is used as the basis for employment or academic decisions affecting such individual; or
- C. Such conduct has the purpose or effect of unreasonably interfering with an individual's work or academic performance or creating an intimidating, hostile, or offensive environment for working, learning, or living on campus

Sexual harassment can occur between any individuals associated with the University, e.g., an employee and a supervisor; coworkers; faculty members; a faculty, staff member, or student and a customer, vendor, or contractor; students; or a student and a faculty member.

II. Examples of Sexual Harassment

Examples of sexual harassment include, but are not limited to:

- A. Some incidents of physical assault;
- B. Direct or implied threats that submission to sexual advances will be a condition of employment, work status, promotion, grades, or letters of recommendation;
- C. Direct propositions of a sexual nature and/or subtle pressure for sexual activity that is unwanted and unreasonably interferes with a person's work or academic environment;

- D. A pattern of conduct that unreasonably interferes with the work or academic environment (not legitimately related to the subject matter of a course) including:
1. Sexual comments or inappropriate references to gender;
 2. Sexually explicit statements, questions, jokes, or anecdotes regardless of the means of communication (oral, written, electronic, etc);
 3. Unwanted touching, patting, hugging, brushing against a person's body, or staring;
 4. Inquiries and commentaries about sexual activity, experience, or orientation;
 5. The display of inappropriate sexually oriented materials in a location where others can view them.

III. Regulations

A. Consensual relationships

No person involved in a consensual relationship will have direct responsibility for evaluating the employment or academic performance or for making decisions regarding the promotion, tenure, or compensation of the other party to the relationship. Consensual romantic and sexual relationships between supervisor and employee or between faculty and student are strongly discouraged.

These relationships may be subject to concerns about the validity of consent, conflicts of interest, and unfair treatment of other students or employees. Further, such relationships can undermine the atmosphere of trust essential to the educational process and the employment relationship.

In the event of an allegation of sexual harassment, the university will strictly scrutinize a defense based upon consent when the facts establish that an institutional power differential existed within the relationship.

B. Confidentiality and nonretaliation

The University will make every reasonable effort to conduct all proceedings in a manner that will protect the confidentiality of all parties. Parties to the complaint should treat the matter under investigation with discretion and respect for the reputation of all parties involved.

Retaliation against an individual for reporting sexual harassment or for participating in an investigation is prohibited by University policy and state and federal law. Retaliation is a serious violation that can subject the offender to sanctions independent of the merits of the sexual harassment allegation.

The University has a compelling obligation to address allegations and suspected instances of sexual harassment when it obtains information that would lead a reasonable person to believe that this policy has been violated. The University is not precluded from taking any action it deems appropriate, including informing the alleged harasser of the complaint and pursuing an investigation even in cases when the complainant is reluctant to proceed. The complainant will be notified in advance when such action is necessary.

C. Corrective measures

When it has been determined that sexual harassment has occurred, steps will be taken to ensure the harassment is stopped immediately. Corrective measures consistent with the severity of the offense will be imposed consistent with applicable University procedures and may include sanctions.

Sanctions imposed on the harasser may include, but are not limited to, a reprimand, suspension, or dismissal from the University. In the event that a record of such sanctions will become a part of the harasser's personnel records, prior notice will be given to the harasser. Sanctions also may be imposed on any individual with a duty to act under this policy and associated procedures who fails to respond to a complaint of sexual harassment in a manner consistent with the provisions of this policy and the associated procedures.

The complainant will be informed of the corrective measures taken.

D. False allegations

It is a violation of this policy for anyone to knowingly or with reckless disregard for the truth make false accusations of sexual harassment. Failure to prove a claim of sexual harassment is not equivalent to a false allegation. Sanctions may be imposed on individuals who knowingly or with reckless disregard for the truth make false accusations of sexual harassment.

E. Use of sexual harassment allegations in employment actions

When making decisions affecting an individual's employment or academic status, allegations of sexual harassment may be considered only if they have been addressed through this policy or procedure, a court of law, or other administrative proceeding. Whenever such an allegation is discussed as part of a determinant in the terms and conditions of an employment or academic status, the affected party should be given notice.

IV. Responsibilities

The Office of Human Resources is responsible for the administration of this policy and the associated procedures. The president and each vice president, dean, department chair, director, administrator, faculty member, and supervisor is responsible for assuring compliance with this policy. Any such individual who obtains information that would lead a reasonable person to believe that this policy has been violated must refer the matter to the appropriate individual for investigation or, if so authorized, initiate a prompt and thorough investigation.

Policy and Procedure Manual

Subject: SEXUAL HARASSMENT

Applies to: University faculty, staff, students,
student employees, and volunteers

Number 1.15

Issued 10/1/80

Revised 7/7/00

Procedure

I. Goals and Objectives

The University is committed to eliminating and preventing sexual harassment of faculty, staff, students, student employees, and volunteers and to fostering an environment of respect for all individuals. The University promotes educational programs coordinated by the Office of Human Resources to meet the following goals:

- A. Informing all individuals about their rights through training and dissemination of the sexual harassment policy;
- B. Including the sexual harassment policy in orientation materials for new faculty, staff, students, and volunteers;
- C. Notifying persons of prohibited conduct;
- D. Informing all individuals of the appropriate procedures and reporting mechanisms for addressing concerns of sexual harassment;
- E. Informing the community about the problems caused by sexual harassment;
- F. Addressing issues of sexual harassment from a multicultural perspective.

II. Who Can Make Allegations

Sexual harassment concerns can often be resolved by the person being harassed addressing the matter directly with the alleged harasser. When such resolution is not feasible, any faculty, staff, student, or volunteer may bring an allegation against any member of the University community or a customer, vendor, or contractor of the University.

III. Confidentiality

To the extent possible, all information received in connection with the filing, investigation, and resolution of allegations will be treated as confidential except to the extent it is necessary to disclose particulars in the course of the investigation or when compelled to do so by law. All individuals involved in the process should observe the same standard of discretion and respect for the reputation of everyone involved in the process.

IV. Retaliation

Retaliation against an individual for reporting sexual harassment or for participating in an investigation is prohibited by university policy and state and federal law. The university will not tolerate retaliation in any form against any faculty, staff, student, or volunteer who files an allegation, serves as a witness, assists an alleged, or participates in an investigation of sexual harassment. Retaliation is a serious violation that can subject the offender to sanctions independent of the merits of the sexual harassment allegation. Allegations of retaliation should be directed to the Office of Human Resources, Consulting Services.

V. Counseling and Support

A person seeking counseling or support may contact any of the following units:

- A. University Faculty and Staff Assistance Program;
- B. Office of Student Gender and Sexuality Services, Rape Education and Prevention Program;
- C. Office of Residence Life;
- D. Counseling and Consultation Service;
- E. Office of Student Affairs.

The role of the above offices is not to investigate allegations but to provide counseling and support. For information on how to file an allegation, refer to Section VI of these procedures.

VI. Receipt and Referral of Allegations

- A. An alleged violation of the University's sexual harassment policy may be taken to any of the following designated individuals:
 - 1. The human resources professional within a department or unit;
 - 2. A consultant in the Office of Human Resources, Consulting Services; or
 - 3. Any supervisor, faculty member, or faculty or staff administrator.
- B. If the alleged harasser is the alleged's supervisor, the alleged should directly contact either a higher level administrator or the Office of Human Resources, Consulting Services.
- C. When the above individuals receive an allegation of sexual harassment, they will promptly refer the matter to the appropriate individual for investigation or, if so authorized, initiate a prompt and thorough investigation.
- D. The Office of Human Resources, Consulting Services is available to provide consultation to any person who has a potential sexual harassment concern. Likewise, consultants are available to assist any administrator in handling an allegation.
- E. All individuals who are designated to receive allegations are expected to participate in training provided by the Office of Human Resources related to handling sexual harassment allegations.

VII. Duties of the Unit Level or Office of Human Resources Investigator

- A. Persons designated to investigate allegations will be jointly identified by the unit and the Office of Human Resources. As part of the intake process, the person designated to investigate the allegation will discuss with the alleged the following considerations:
 - 1. The options under the policy, which include:
 - a) Filing an allegation for review at the unit level;
 - b) Filing an allegation with the Office of Human Resources, Consulting Services; or

- c) Filing a grievance under the nondiscrimination clause of the appropriate collective bargaining agreement.
 2. The behaviors and any related issues that gave rise to the allegation and whether an investigation is warranted.
- B. The person designated to investigate the allegation will inform the allegor about:
 1. The fact that the allegor is not required to file an allegation at the unit level before filing an allegation with the Office of Human Resources, Consulting Services. However, an allegation cannot be processed by both Consulting Services and the unit at the same time.
 2. The University's compelling obligation to address allegations and suspected instances of sexual harassment that would lead a reasonable person to believe that the policy has been violated. The University is not precluded from taking any action it deems appropriate, including informing the alleged harasser of the allegations and pursuing an investigation even in cases when the allegor is reluctant to proceed. The allegor will be notified in advance when such action is necessary.
 3. The manner and frequency with which the allegor will be updated about the status of the investigation.
 4. The need for confidentiality during the investigatory process and what to do if the allegor believes she or he has been retaliated against.
- C. The person designated to investigate the allegation will ensure that sexual harassment intake and allegation forms are completed and filed with the Office of Human Resources within five (5) working days of receiving the allegation.
- D. The person designated to investigate the allegation will inform the alleged harasser in a reasonable time about:
 1. The specific allegations and provide an opportunity to respond to the allegations.
 2. The manner and frequency with which the alleged harasser will be updated about the status of the investigation.
 3. The need for confidentiality during the investigatory process and the University's policy with respect to retaliation.
 4. The University's compelling obligation to address allegations and suspected instances of sexual harassment that would lead a reasonable person to believe that the policy has been violated.
 5. University's position that it is not precluded from taking any action it deems appropriate, including informing the alleged harasser of the allegations and pursuing an investigation even in cases when the allegor is reluctant to proceed. The allegor will be notified in advance when such action is necessary.
 6. The University's policy and state and federal law prohibiting retaliation against an individual for reporting sexual harassment or for participating in an investigation. The University will not tolerate retaliation of any form against any faculty, staff, student, or volunteer who files an allegation, serves as a witness, assists an allegor, or participates in an investigation of sexual harassment. Retaliation is a serious violation that can subject the offender to sanctions independent of the merits of the sexual harassment allegation. Allegations of retaliation should be directed to the Office of Human Resources, Consulting Services.

E. The alleged, as well as the alleged harasser, will be provided with a copy of the Sexual Harassment Policy and Procedures.

F. Actions during the pendency of the investigation

The investigator, in consultation with the alleged and a consultant from the Office of Human Resources, Consulting Services, as appropriate, will determine if special provisions are necessary to ensure that no harassment of the alleged occurs during the pendency of the investigation.

VIII. Unit Review Investigation

All individuals who are designated to investigate allegations will be expected to attend sexual harassment training offered by the Office of Human Resources. Individuals responsible for the investigation of allegations at the unit level will follow the procedures outlined in this section.

A. Purpose of the investigation

The purpose of the investigation is to evaluate the allegations of sexual harassment, formulate a response that addresses the facts as they are determined, and follow up to ensure that the recommended measures are completed.

B. Method of investigation

Depending on the facts of the case, an investigation may range from a one-on-one conversation with the alleged harasser with an agreement as to further interactions, to an inquiry with several witness interviews, a finding of facts, and recommendations to the unit for appropriate employment action. In every case, a record must be made of the allegations, the investigation, and the action taken.

C. Office of Human Resources notification

Any investigator who receives an allegation will notify the Office of Human Resources, Consulting Services about the allegation by filing a Sexual Harassment Intake and Allegation Complaint Form within five working days of receiving the allegation. This information is for data collection purposes and will be used to monitor allegations and to assure that issues are appropriately addressed.

D. Presence of support persons

The alleged and alleged harasser may be accompanied at the initial interview and subsequently, as appropriate, by an individual of their choice.

E. Time period for resolution of an allegation

Investigations should be concluded within 45 calendar days from the date the issue was raised with the unit. If this is not reasonably possible, the investigator should make the alleged and the alleged harasser aware of the status of the review and provide an estimated conclusion date.

F. Possible outcomes

An investigation may result in the following findings:

1. A determination that there is sufficient evidence to indicate a violation;
2. A determination that there is insufficient evidence to indicate a violation; or
3. A determination that there is sufficient evidence to indicate that an allegation is false.

Sometimes an investigation will uncover behavior that is inappropriate under University policy but does not meet the definition of sexual harassment. When an investigation reveals such behavior, the University will take appropriate steps to correct the behavior.

G. Concluding the investigation

Prior to informing the alleged and the alleged harasser of the outcome of the investigation, the investigator is required to review the facts of the case and discuss possible outcomes with the Office of Human Resources, Consulting Services.

Within 10 workdays of consulting with the Office of Human Resources, Consulting Services, the investigator will notify the appropriate unit or higher level administrator, as well as the alleged and the alleged harasser, of the outcome of the investigation.

H. Written report of outcomes

Within 10 workdays of informing the alleged and the alleged harasser of the outcome of the investigation, the investigator will prepare a written summary of the outcome of the investigation to the Office of Human Resources, Consulting Services.

If the outcome of the investigation reveals a violation of the sexual harassment policy, recommendations will be made to take prompt remedial action consistent with the severity of the offense and all applicable University rules and regulations. These recommendations will be provided to the appropriate administrator who is responsible for implementation.

In instances where the report contains no evidence of sexual harassment but there is evidence of inappropriate behavior under University policy, the investigator will follow up with the appropriate administrator.

I. Other options

A finding may be appealed to the associate vice president for Human Resources within 21 calendar days from the time the alleged is informed of the outcome of the investigation. Such appeal must be based on the record and any new information that could not have been previously provided with reasonable diligence. Allegers and alleged harassers who are not satisfied with the outcome of the investigation at the unit level will be advised of other options outside the University. The outcome of the investigation shall remain in effect during the pendency of the appeal.

IX. Procedures for Office of Human Resources, Consulting Services Investigation

When the alleged elects to have the allegation investigated by the Office of Human Resources, Consulting Services, that office will be primarily responsible for the investigation of the allegation, with the cooperation of all individuals involved. Consulting Services will keep all individuals informed about the status of the investigation.

A. Purpose of the investigation

The purpose of the investigation is to evaluate the allegations of sexual harassment, formulate a response that addresses the facts as they are determined, and to follow up to ensure that the recommended measures are completed.

B. Method of investigation

Depending on the facts of the case, an investigation may range from a one-on-one conversation with the alleged harasser with an agreement as to further interactions, to an inquiry with several witness interviews, a finding of facts, and recommendations to the unit for appropriate employment action. In every case, a record must be made of the allegations, the investigation, and the action taken.

C. Presence of support persons

The alleged and/or alleged harasser may be accompanied at the initial interview and subsequently, as appropriate, by an individual of their choice.

D. Time period for resolution of an allegation

Investigations should be concluded within 45 calendar days from the date the issue was raised with the consultant. If this is not reasonably possible, the consultant should make the alleged and the alleged harasser aware of the status of the review and provide an estimated conclusion date.

E. Possible outcomes

An investigation may result in the following findings:

1. A determination that there is sufficient evidence to indicate a violation;
2. A determination that there is insufficient evidence to indicate a violation; or
3. A determination that there is sufficient evidence to indicate that an allegation is false.

Sometimes an investigation will uncover behavior that is inappropriate under University policy but does not meet the definition of sexual harassment. When an investigation reveals such behavior, the University will take appropriate steps to correct the behavior.

F. Concluding the investigation

At the conclusion of the investigation, the consultant will promptly inform the appropriate unit or higher level administrator, as well as the alleged and the alleged harasser, of the outcome.

G. Written report of outcomes

Within 10 workdays of informing the alleged and alleged harasser of the outcome of the investigation, the consultant will prepare a written summary of the outcome of the investigation.

If the investigation results in a determination of sexual harassment, recommendations will be made to take prompt remedial action consistent with the severity of the offense and all applicable University rules and regulations. This report will be provided to the appropriate administrator who is responsible for implementation.

In instances where the report contains no evidence of sexual harassment but there is evidence of inappropriate behavior under University policy, the consultant will follow up with the appropriate administrator.

H. Other options

A finding may be appealed to the associate vice president for Human Resources within 21 calendar days from the time the alleged is informed of the outcome of the investigation. Such appeal must be based on the record and any new information that could not have been previously provided with reasonable diligence. Allegers and alleged harassers who are not satisfied with the outcome of the investigation by the Office of Human Resources will be advised of other options outside the University. The outcome of the investigation shall remain in effect during the pendency of the appeal.

X. Corrective Action Implementation

Where sexual harassment is found, steps will be taken to ensure that the harassment is stopped promptly. Appropriate corrective action may range from counseling, written reprimands, suspensions, or other action up to and including dismissal, in accordance with established University rules and procedures. The Office of Human Resources, Consulting Services will monitor corrective action to ensure compliance.

Corrective action may be taken as a result of either a unit review or Office of Human Resources, Consulting Services investigation. Units are encouraged to contact either the Office of Academic Affairs or the Office of Human Resources, Consulting Services, as appropriate, before issuing corrective action. Corrective measures will be taken according to the following procedures:

- A. In cases involving faculty members, corrective measures may be imposed in accordance with rule 3335-5-04 of the Administrative Code.
- B. In cases involving staff members, corrective action is governed by the following:
 - 1. For unclassified employees, refer to Policy 8.15, Corrective Action, of the *Human Resources Policy and Procedure Manual*.
 - 2. For classified civil service employees not included in a bargaining unit, refer to *Rules for Classified Civil Service*.
 - 3. For bargaining unit employees, refer to the appropriate collective bargaining agreement.
- C. In cases involving students acting in their employment capacity, measures may be imposed in accordance with Policy 10.10, Student Employment, of the *Human Resources Policy and Procedure Manual*; in all other cases, in accordance with the *Code of Student Conduct*.

XI. Issues of Academic Freedom

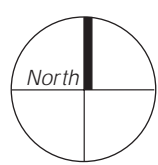
When the alleged harassment takes place in an instructional setting and the alleged harasser believes the allegation of sexual harassment infringes upon academic freedom, a designee from the Committee on Academic Freedom and Responsibility (CAFR) will be consulted. This individual will have access to all pertinent information regarding the investigation to assure due regard for academic freedom. The designee will address concerns about the investigative process with the investigator as necessary.

XII. Record Keeping

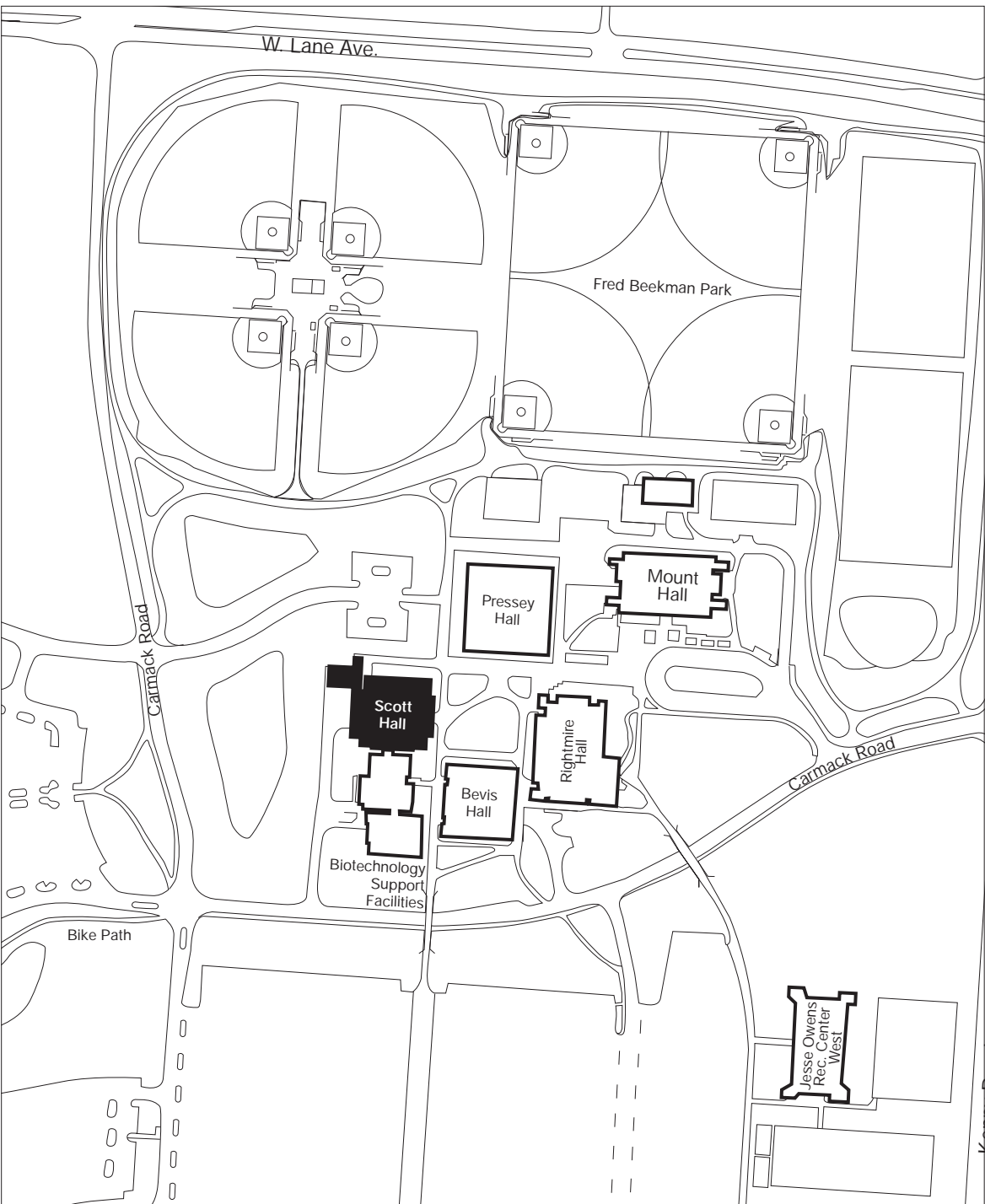
Any person conducting an investigation will maintain a written record of all witness interviews, evidence gathered, the outcome of the investigation, and any other

appropriate documents. Records of such an investigation will not be maintained in personnel files or student files unless they are part of a formal corrective action. Investigatory records will be maintained in accordance with Office of Human Resources record retention schedules which can be found at www.ohr.ohio-state.edu. When an allegation is filed outside the University, information gathered in the course of the internal investigation may be disclosed to the investigating agency.

(APPENDIX II)



Byrd Polar Research Center - Rock Repository



PURCHASE OF REAL PROPERTY

APPROXIMATELY 16.882 ACRES LOCATED AT 2825 DUBLIN-GRANVILLE ROAD
COLUMBUS, OHIO

Location and Description

The property consists of approximately 16.882 acres located north of and adjacent to the University Airport, south of Dublin-Granville Road. The Ohio National Guard owns the property, which includes woods and an unused concrete ramp once used for helicopter service.

Appraisals and Purchase Price

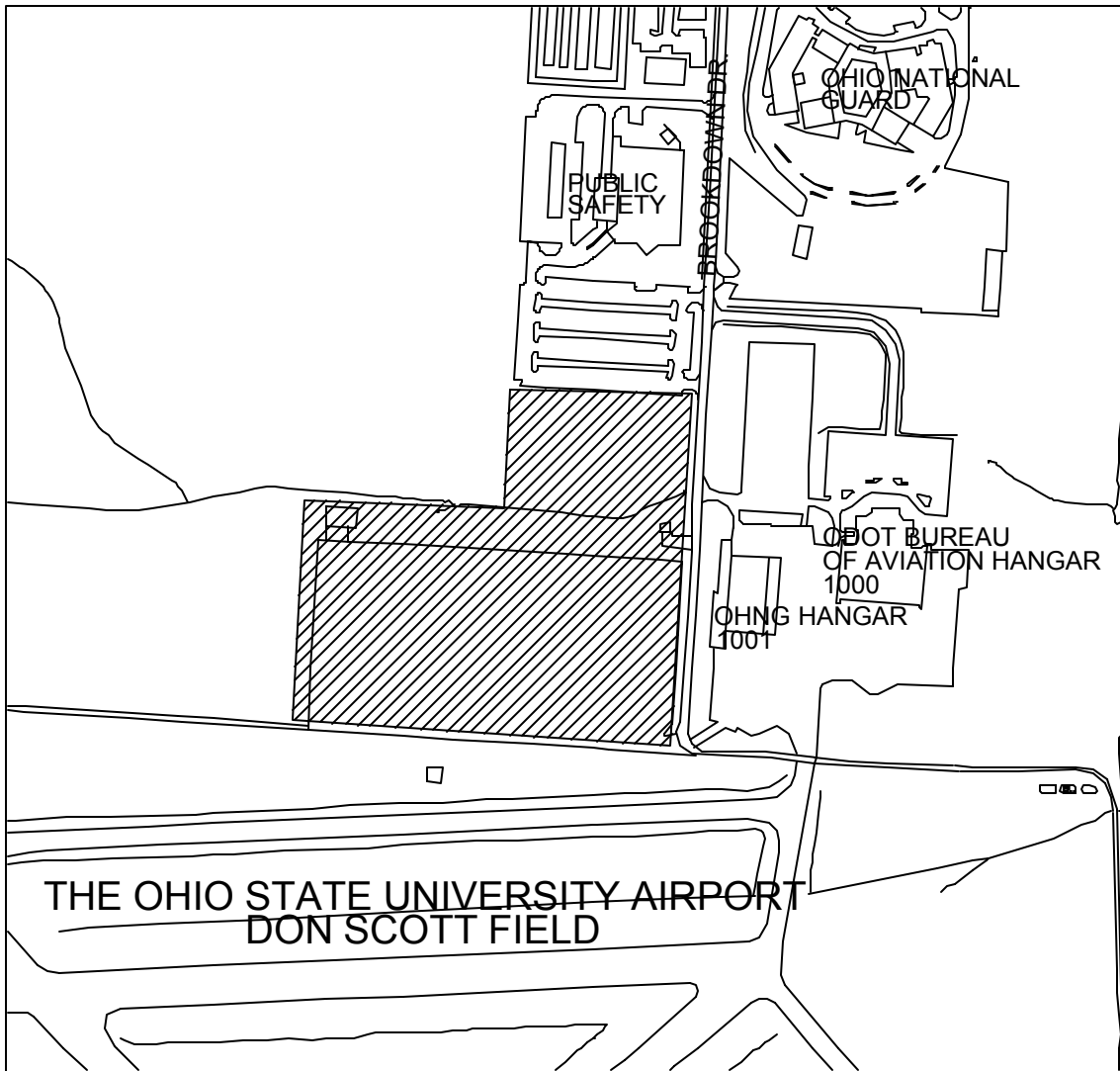
Authorization for the sale of the property is contained in House Bill 479, effective September 1, 2000. Under the process defined by the act, entities or instrumentalities of the State are given 30 days (ending September 30) in which to purchase the property at appraised value. The Ohio State University will submit an offer to purchase the property at or below the appraised value.

The University has obtained two appraisals: one by Tom Wilhelm and Associates in January 2000 valuing the property for airport use at \$1,750,000; the other by Ralph Berger and Associates in April 2000 placing the value at \$1,840,000 for airport use.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. The property will be part of the University Airport, with future use planned for hangars for based aircraft. Financing will be provided by grants from the Federal Aviation Administration, with 10% shared funds coming from the College of Engineering. The College of Engineering will be responsible for all costs for the purchase, operation, and maintenance of this property. Acquisition of this property is in accordance with the 1992 Master Plan for Don Scott Field.

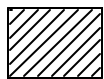
Proposed Purchase of 16.882 Acres
Don Scott Field
The Ohio State University Airport



No True Scale

Office of Business and Finance

July 7, 2000



16.882 Acres
Property to be purchased

Map Provided by University Engineer's Office

PURCHASE OF REAL PROPERTY

2000 KENNY ROAD
COLUMBUS, OHIO

Location and Description

The property to be purchased is owned by 2000A Ltd. and 2000B Ltd., Ohio limited liability companies whose managing member is Ms. Jane Setterlin. These are adjacent parcels, each containing one office building, located at the common address of 2000 Kenny Road. 2000 Kenny Road is located on the eastern side of Kenny Road, south of Woody Hayes Drive.

2000A Kenny Road, which is the western most of the two parcels and has frontage on Kenny Road, consists of approximately .8 acres with a 9,928 square foot office building. The office building consists of 8,578 square feet of finished space and 1,350 square feet of unfinished storage space. The property is 100% leased to one tenant through 2003.

2000B Kenny Road is the eastern most of the two parcels at 2000 Kenny Road. It has no frontage on Kenny Road. It consists of approximately 1.453 acres with a new, 27,678 square foot brick and stone office building of two stories. The property is 100% leased to tenants whose leases expire in 2005 and 2006.

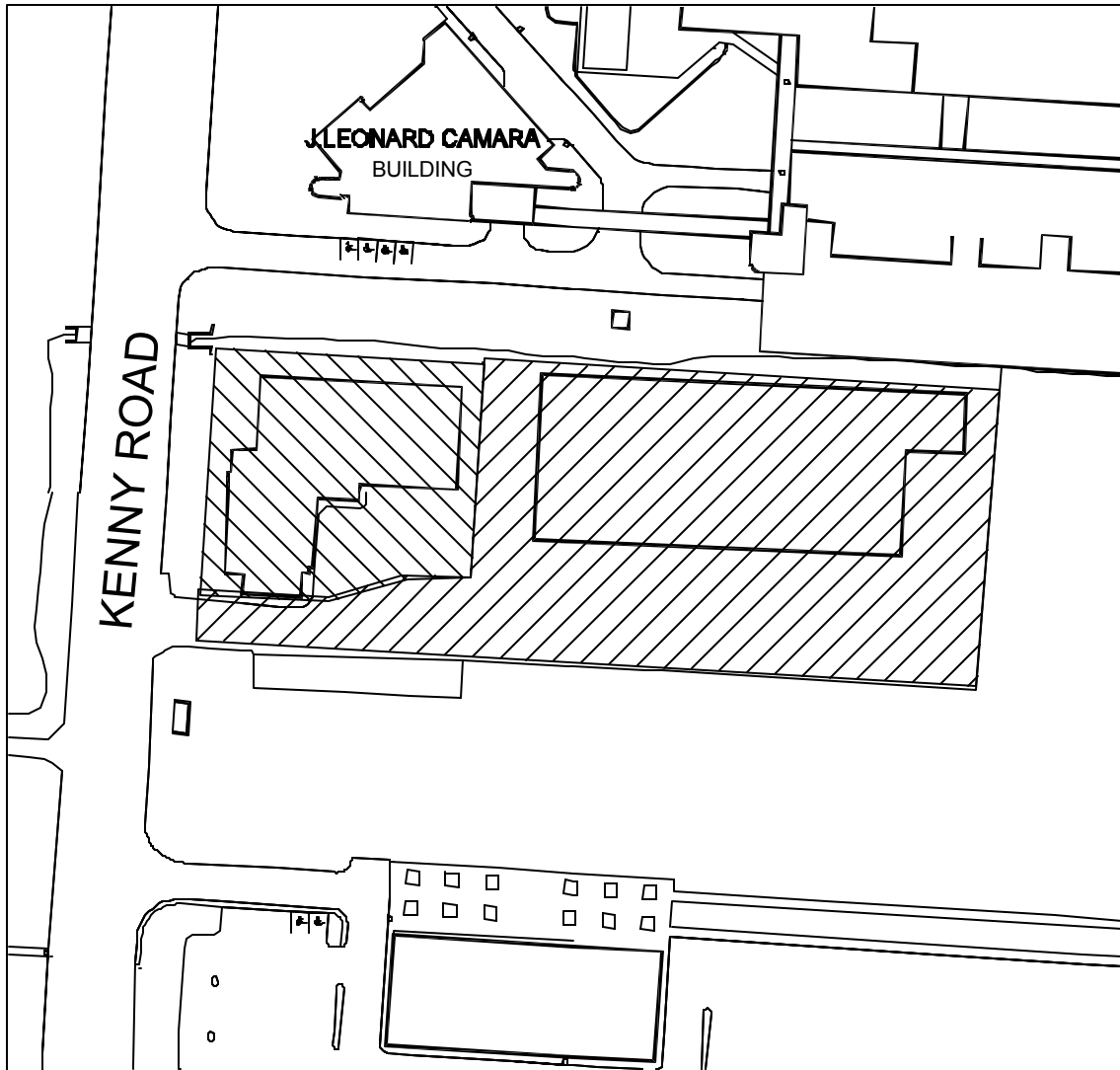
Appraisals and Purchase Price

MAI appraisals completed in June 2000 by Ohio Real Estate Consultants and James Murr and Associates valued the property at \$3,500,000 and \$3,962,000, respectively. The asking price is \$3,950,000, but the Seller has agreed to sell this property to the University for \$3,850,000.

Use of the Property

Title will be taken in the name of the State of Ohio for the use of The Ohio State University. Internal financing will be provided. Acquisition of this property fulfills the West Campus Master Plan's purpose of assembling all properties between the C&O Railroad and Kenny Road north of Kinneer Road.

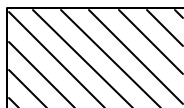
Proposed Purchase of Property
Project 2000 A and 2000 B
Kenny Road
The Ohio State University



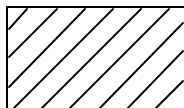
No True Scale



Office of Business and Finance
July 7, 2000



Project 2000 A Kenny Road



Project 2000 B Kenny Road

Map Provided by University Engineer's Office

TRANSFER OF REAL PROPERTY

2532 APPLE CREEK ROAD
APPLE CREEK, OHIO

Location and Description

The property consists of approximately 1718 acres owned by the State of Ohio and assigned to the Ohio Department of Mental Retardation and Developmental Disabilities (MRDD). The agricultural and wooded real property is located approximately five miles from The Ohio State University's Agricultural Technical Institute (ATI) in Wooster, Ohio. Most of it has been used by and continues to be used by ATI. Approximately 1273 acres are under long-term (40 year) lease to ATI (with 25 years remaining in the lease). This property contains cropland, grazing lands, wood lots, unused tracts, and a number of structures including a 100 cow dairy facility, a swine nursery, an aquaculture building, a land laboratory building, a machinery storage barn, and an equine arena and stall barn. These facilities were built by, and are the property of, The Ohio State University. Another 445 acres have been used by ATI under a short term, year-to-year lease. This acreage contains cropland, pasture for grazing, forage production, woodlots and animal facilities. A phase one environmental assessment conducted by the University has revealed no significant environmental problems with the property.

Appraisals and Purchase Price

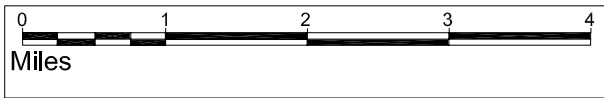
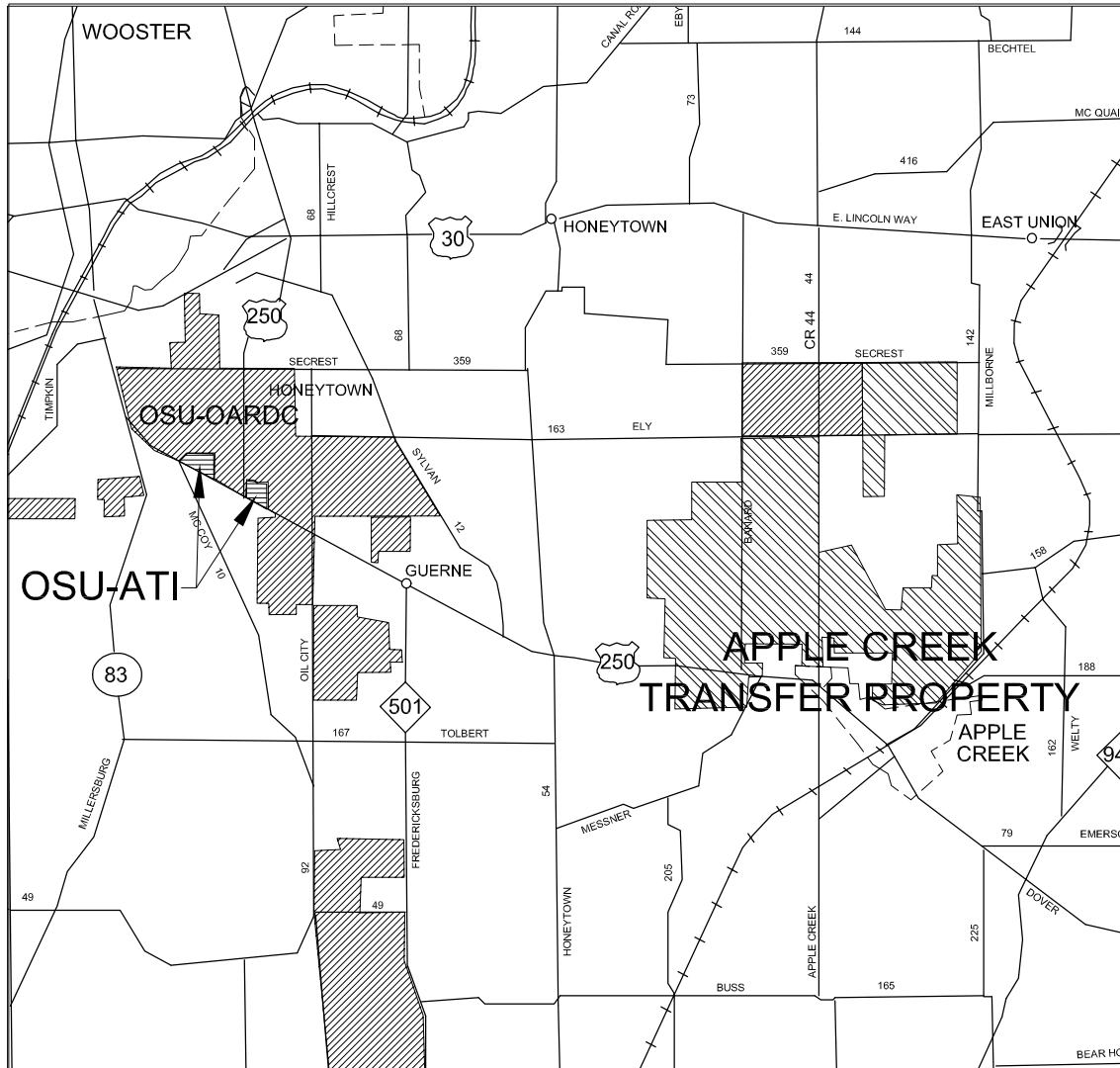
The appraised value of the property is \$1,516,000, based on an MAI appraisal completed by Kendall Appraisal Group, Ltd. of Wooster, Ohio in June of 2000. The Ohio Department of Administrative Services, MRDD and The Ohio State University have agreed to transfer the property to OSU for a price not to exceed \$1,200,000.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the benefit of The Ohio State University. The property will be administered by the Agricultural Technical Institute. The College of Food, Agricultural, and Environmental Sciences will provide internal financing with the first payment of \$500,000 being made on the effective date of transfer (anticipated as being July 31, 2000); the second payment of \$500,000 being made on the first anniversary of the effective date; and a third payment of \$200,000 being made on the second anniversary of the effective date. The primary use of the property will be to support ATI's academic programs.

Wooster ATI

Real Estate To Be Transferred To The Ohio State University



Map Provided by University Engineer's Office

Office of Business and Finance
July 7, 2000

- OSU-ATI
- Apple Creek Transfer Property
- OSU OARDC

**OSU Board of Trustees
Fiscal Affairs Committee
July 7, 2000**

**ARMS Project
Completion (Phase VIII)**

- I. Context
- II. Issues Addressed During FY 2000 (Phase VII)
- III. Objectives for FY 2001
- IV. Uses
- V. Preliminary Benefits and Costs Statement
- VI. What Happens Next?

Office of Academic Affairs
Office of Human Resources
Office of Business and Finance
07/03/00

I. CONTEXT

A. Objectives - ARMS is a major upgrade and re-engineering of core Human Resources and Financial systems. It is designed to achieve the following objectives:

1. Replace out of date systems that are increasingly expensive to maintain.
2. Address the year 2000 problem for these systems.
3. Achieve compliance with previous audit findings.
4. Position the University for improvement in quality and speed of administrative systems and management information.

B. Previous Board Action

1. Project in discussion since 1992.
2. This is the 13th report to the Board of Trustees since formal reports began in 1994.
3. Funding of \$35 million for implementation and continuing operations for FY 2000 was approved at the December 3, 1999 meeting of The Ohio State University Board of Trustees.

II. ISSUES ADDRESSED DURING FY 2000 (PHASE VII)

A. Issues addressed during first Half of FY 2000 (Phase VII-A)

1. Completed HR upgrade to version 7.0
2. Completed Procurement upgrade to version 7.0
3. Completed user functionality improvements
 - 8 critical reports
 - Time and Labor changes
4. Completed HR systems back-up
 - Y2K testing
 - Manual back-up plans
5. Successfully addressed remaining major audit remediation issues
6. Stabilized HR Payroll continuing operations
7. Completed back-ups for Financial Systems
 - Y2K testing of FAS and feeder systems
 - Manual back-up plans
8. Addressed short term OSURF systems integration issues
9. Initiated integration planning for ongoing operations

B. Issues addressed during second half of FY 2000 (Phase VII-B)

1. Prepared for successful implementation of General Ledger System in July, 2000, including adjustments to Procurement and HR systems to use the new chartfield
2. All HR, Procurement and General Ledger systems on version 7.0 of the application package software
3. Successfully navigated transition through Y2K issues for HR and Procurement Systems
4. Completed Integration Plan for ongoing operations
5. Stabilized Payroll Process
6. Developed enterprise-wide approaches for:
 - a) Report distribution
 - b) User friendly access (Self-Service/Front End)
 - c) OSURF integration issues (longer term)
 - d) Ongoing operations
7. Finalized project phase-out plan for first quarter FY 2001
8. Developed preliminary cost-benefit analysis

III. OBJECTIVES FOR FY 2001 (Phase VIII)

A. Follow through on successful implementation of GL system and phase out of the ARMS project by September 30, 2000

1. Transition of personnel
2. Assurance of continuing operations
3. Disposal of assets (e.g. servers for Office of Disability Services)
4. Financial accounting of costs and benefits

B. Enterprise-Wide Issues and Initiatives

1. Integrated technology organization and support
2. Integration with other systems (Hospitals/OSURF)
3. Upgrade schedule
4. Security and disaster recovery
5. Enterprise-wide reporting
6. Equipment replacement
7. Archiving and data warehousing
8. Payroll administration
9. Student information system
10. End User interface
11. Recruitment and retention of personnel

IV. USES

A. ARMS Uses for FY 2000 (Phase VII)

Uses	Projected	Actual
OSU Staff	\$8.6	\$7.0
External Staff	17.6	19.1
Software/Hardware Maintenance	1.8	1.5
Computers/Non-office Equipment	1.9	1.6
Supplies & Services	2.0	2.3
Other (including contingency)	3.1	.3
Total Expenses	\$35.0	\$31.8
Hospital reimbursement	(.5)	(.5)
Total Net Expenses	\$34.5	\$31.3

Notes: These figures are intended to include all direct personnel and non-personnel costs associated with the project in FY 2000. They also include continuing costs for the operation of HR, Procurement and Y2K compliance. They do not include indirect costs to units for personnel and training, which are significant.

B. ARMS Uses for FY 2001 Close-out (Phase VIII)

Category	Projected Expense (7/1/00-9/30/00)
OSU Staff	\$.7
External Staff	1.7
Software/Hardware Maintenance	-0-
Computers/Non-office Equipment	-0-
Supplies & Services	.3
Other (including contingency)	.3
Total Expenses (in millions)	\$3.0

V. PRELIMINARY BENEFITS AND COSTS STATEMENT

A. University-wide Benefits

1. Satisfied audit requirements, protecting annual flow of Federal and state funds of \$650 million per year.
2. Integrated, up-to-date systems for HR, Procurement and General Ledger.
3. Year 2000 challenges successfully addressed.
4. Platform for future efficiency improvements.
5. Helped insure retention of most qualified systems personnel.

B. Process Improvements

1. Human Resources
 - Improved position management processing. Establishing, approving and posting positions has been reduced from 3-5 weeks to 3-5 days through the use of workflow. (over 4,500 positions posted per year)
 - Improved turnaround time on Personnel Action Requests. Hiring personnel into positions and maintaining job data has been reduced from 2-4 weeks to 3-5 days through the use of workflow. (over 50,000 actions per year)

- Integrated Salary and Labor Distribution Planning (SLDP) with Payroll. All personnel action updates recorded during salary planning process, which OHR had to re-key into multiple systems, are now automatically reflected in the department salary plan resulting in a more streamlined process. OHR, which had approximately 5 Full Time Equivalents (FTEs) for 3 weeks supporting the salary process, now only has .5 FTE over a 6 week period.
- Less time spent administering personal data changes because Human Resource information is maintained in one centralized database. Updates to 13 databases were required using the Legacy systems and processes.
- Returned mail for W2 and benefit communications, such as benefit cards, has been reduced from 10 to 4 percent.
- Basic employee payroll inquiries and questions resolved on a more timely basis because end users have online inquiry and update capabilities to human resource/payroll information.

2. Procurement

- Number of calls needed to research a procurement transaction (e.g. requisition, purchase order, invoice, payment) has been reduced from as many as 4 to in many cases 0. End users can determine the status using master inquiry panels.
- Creating, printing and sending purchase orders to vendors, which typically took 3-5 days, can happen in the same day because these capabilities are now available to the delegated buyer resulting in more an efficient process.
- Improved system flexibility. The new system can accommodate different buying approaches, delegated buyer role or centralized buying, resulting in more tailored and streamlined process for the Colleges/Departments.
- Improved ability to quickly respond to ad-hoc requests immediately or within 24 hours through the use of online inquiries and query tools. Pre-ARMS, ad-hoc requests were typically responded to in 2-3 weeks because the data was very difficult to extract out of the system and it was accessible only by University Technology Service staff.
- On-line reports which allow users to access and print the information they want, when they want it, in a format they can use resulting in the reduction of duplicative shadow systems and less time spent on administration. This has improved the quality and timeliness of information available for decision making at all levels.

3. General Ledger - Anticipated process improvements.

- Users can view and understand the impacts of financial events much sooner. Recording journal entries in the financial system will be reduced from 3-4 weeks to same day because users will have on-line data entry capabilities. Also, more than five FAS journal entry forms will be eliminated by the new system.
- Users will have on-line capabilities to view their budget, actual expenditures, commitments, encumbrances and available funds in a format that is tailorable to their individual needs.

- Users will have the ability to define their own exception criteria for management reporting, so they can focus on items requiring immediate attention.
 - Users will have the ability to report financial data across multiple segments of Chartfield enabling the users to cross-cut the same financial information to meet various reporting needs.
 - Users will have the ability to drill down from summary balances to detail transactions in the end-user environment.
 - Researching transaction errors and activities will be streamlined because the functional users will have online inquiry and query capabilities. End users will not have to rely on technical personnel to extract the data or central personnel to perform the research.
4. ARMS implementation has changed work processes for both central units and the departments, which has also created additional demands on department personnel.

C. Annual Continuing Costs

Category	FY 2001 (in millions)
OSU Staff	\$4.6
External Staff	.0
Software/Hardware Maintenance	1.7
Computers/Non-office Equipment	.7
Supplies & Services	.3
Total Annual Continuing Costs	7.3
Hospital reimbursement	(.5)
Total Net Costs	\$6.8

Note: These are not additional costs. Continuing costs for HR and Procurement averaged \$7M annually from FY 1998 through FY 2000.

VI. WHAT HAPPENS NEXT?

A. Close Out of the ARMS Project

1. Stabilize and tune the new GL system: July - September
2. Transition into new integrated Office of Information Technology (OIT): July - September
3. Formal close down of ARMS Project: September 30
4. Final reconciliation of costs and benefits: December 31

B. Related issues for evaluating potential funding regarding administrative computing for FY 2001

	FY 2001 (in millions)	
	One Time	Annual Rate
1. HR Process Simplification Planning/Analysis/Upgrade	\$1.7	\$.0
2. Enterprise-wide Reporting (Brio)	.3	.2
3. Financial Integration Feasibility	.7	.0
4. Disaster Recovery/Security	.2	.0
5. Distance Learning Support Suite Planning	.1	.0
6. Archiving/Data Warehousing	.0	.0
7. Vendor Payment Status Inquiry	.1	.0
Total	3.1	.2

(NOTE: Cost estimates are preliminary and subject to change. More refined estimates and recommendations will be available as part of the August 30th budget presentation.)

Related initiatives regarding administrative computing for FY 2002 could require funding of \$4.5-6.5M. Most of this will be one-time funds for installation and upgrades, including:

- HR Upgrade to Release 8.0 (completion of upgrade)
- Financial Upgrade to Release 8.0
- Enterprise-wide reporting
- Research Foundation Grants Management implementation
- Distance Learning support suite

Board of Trustees

July 7, 2000

Addressing Future University Energy Needs

CONTEXT:

Due to impending environmental regulations on nitrogen oxide (NO_x) emissions from boilers, The Ohio State University is faced with making a decision regarding the manner in which its energy requirements will be satisfied. Planning began in 1998 for a strategic review of energy needs in light of new EPA emissions standards and the impact of de-regulation of electric rates on cost and services. Last Spring the University approved the purchase of the Buckeye Substation, the re-negotiation of a five-year contract with AEP and the hiring of a consultant to review long-term options (Appendix A). Sebesta Blomberg and Associates, a nationally recognized consulting firm, was hired to conduct a review of strategic options. The result of this review was a recommendation to install a 59MW cogeneration plant.

RECOMMENDATIONS:

The conclusion of the Sebesta Blomberg and Associates study includes:

- Given the age and condition of the existing boilers (with the exception of the coal-fired boiler and new natural gas boiler) all should be replaced rather than modified to achieve compliance.
- The Ohio State University's electric rates are very attractive, averaging 48% below the national average. These rates will likely increase in 2005 as the current contract expires and the emerging competitive electric generation market begins to mature.
- Given the uncertainties regarding rates and service in a de-regulated environment, the University needs to protect its flexibility as long as possible.
- Cogeneration capacity offers an option of increased reliability from a proven technology, increased efficiency and leverage in future rate negotiations.
- The long lead-time for environmental permitting requires the University to initiate action as soon as possible.
- A more reliable cost estimate requires detailed design work to determine a specific equipment configuration.

A summary of the Sebesta Blomberg report is included as Appendix A.

The Electric Price Survey showing the rates paid by neighboring schools is included as Appendix B.

A list of four questions and answers regarding key issues is included as Appendix C.

A chart summarizing the options is included as Appendix D.

ISSUES:

- What are the relative costs, benefits and risks of the various options?
- Why can't the private sector do this more quickly and more efficiently?
- What happens to OSU's current relationship with AEP?
- What will the Committee be asked to do in February?
- What additional information does the Committee want?

ACTION REQUESTED OF BOARD OF TRUSTEES:

Approval to proceed with the hiring of an engineering firm. The resolution before the board allows either option to be pursued.

- Boiler installation is the first step for both Case A and Case B allowing the schematic design to initially apply to both cases. Case C is no longer under consideration.
- The detailed cost study will include a fault current analysis of the campus electrical distribution system.
- Simultaneously a RFP for electric contracts is being developed. The response from this request will serve as another indicator for the future of electric rates.
- By gathering this information and using it to develop a detailed cost estimate, it is believed that a sound recommendation can be brought before the Board in February 2001.
- Whichever of the two projects is most cost-effective for the University will be the one that is recommended, and the one for which the total capital will be requested.
- This work is expected to cost about \$1.3 million

Summary of Cogeneration Feasibility Study

In August 1999, Sebesta Blomberg was hired to conduct a feasibility study to determine whether cogeneration is a viable option for the University. The evaluation included the development of a utility model to study the University's next 20 years energy needs. Three different plans to achieve a common objective of ensuring that McCracken Power Plant is in compliance with the latest emission standards with ample capacity to meet campus steam requirements were investigated. The study recommended the development of a project to install 59MW of electric generating capacity in McCracken.

The Ohio State University is at a critical juncture. The existing steam production capacity must be replaced or modified by 2005 to comply with new NO_x emission standards. Given the age and condition of the existing boilers (with the exception of the coal-fired boiler and new natural gas boiler) they should be replaced rather than modified to achieve compliance. Minimally the University must replace existing boilers #1, 3, 6, & 7. This boiler-replacement option was considered the base-case and labeled Case A, and includes the installation of three new boilers with improvements to the campus electrical distribution system. The 20-year operating and capital costs associated with Case A have a net present value that ranges between \$382 and \$485 million. (The capital cost component of this total is \$34.56 million.) The wide range in operating costs is due to the variability that exists in the projections for electric rates during the next 20 years. Under Case A, the University will purchase all campus electricity from an electric supplier and will be at the mercy of the electric market as deregulation is phased in.

The second plan explored was labeled Case B. This Case proposes to fulfill the energy and utility requirements of the campus through the development and operation of a steam and electricity cogeneration plant. Case B includes the installation of two gas turbines and one condensing turbine to give the University a total generating capacity of 59MW. The 20-year operating and capital costs associated with option 1 of Case B have a net present value that ranges between \$400 and \$411 million. (The capital cost component of this total is \$78.95 million.) Comparatively, the 20-year operating and capital costs associated with option 2 of Case B have a net present value that ranges between \$396 and \$407 million with a capital cost component of \$74.95 million. As in the situation for Case A, the range in costs exists due to the potential for fluctuations in the price of electricity. The impact of these price fluctuations has a much smaller influence on the operating costs for the Case B. The University will have capacity to generate its own electricity and be relatively independent of the changes in the electric market.

The third scenario labeled Case C examined the feasibility of giving the university the ability to internally produce all its projected future electrical requirements. Case C is accomplished by installing 99MW of new generating capacity, including three gas turbines and two condensing turbines. The estimated capital is \$105.95 million. With a total cost of \$421 million (and no notable changes in operating costs

due to the isolation from the power grid), Sebesta Blomberg concluded that the additional capital cost of this case could not justify the marginal operating benefit over Case B. Sebesta Blomberg with Physical Facilities concurrence eliminated Case C as a viable option for the University.

Sebesta Blomberg then further explored the science of cogeneration and the benefits that this technology could bring to the University, reporting the following advantages:

- Cogeneration offers increased reliability of electric service to the campus, as the on-site generation capacity can represent a second point of delivery for a portion of the campus load.
- With the advent of a deregulated utility market, generation capacity can be a leverage for negotiating more attractive rates for the residual electric load served by the utility or interruptible service for the loads served by the cogeneration system.
- The improved thermal efficiency of cogeneration compared to conventional utility operations mitigates the effects of variations in fuel and electric price markets.

Sebesta Blomberg concluded that the incremental cost of developing Case B, when evaluated under the most conservative pricing forecast scenario, appears to be a prudent investment given the uncertainties of future utility prices and the operating flexibility afforded by cogeneration.

Having made this recommendation to proceed with a decision to pursue Case B, Sebesta then offered a list of programming activities to outline the next steps in the process of planning and developing this project. The list suggests that the University commence with the following activities:

- Develop a Stakeholder Education Program to inform interested parties of the benefits of cogeneration.
- Commission a load and fault current study of the electrical distribution system.
- Initiate the environmental permit process for cogeneration
- Pursue a detailed cost estimate and initial schematic design
- Formulate an overall schedule to follow the project from programming through commissioning.

With the approval of the board of trustees, the University intends to proceed with accomplishing these activities.

Electric Price Survey
Ohio Universities
6/12/99

The following table displays the results of an informal telephone survey of universities and a few colleges around Ohio by Wally Giffen. Our thanks to participants. Because some voltages of purchase vary from 11,400 to 13,800 this class is referred to by its generic "15kV." Other transmission level voltages are listed as reported. It is assumed that demand is averaged into the listed rate.

School	Contact	Phone/email	Utility	Voltage & Comments	Cents /Kwh
Bowling Gn. U.	Carl Cogar	ccogar@bgnet.bgsu.edu	BG Muni	15kV, Includes HV Line Maint.	4.5
Cleveland State U.	Joseph Migliosi	j.migliosi@csuohio.edu	First Energy	15kV (80%) + Misc. lower V. aggregated + "No cogen pledge"	5.1
Cuyahoga Comm. Col.	Roy Whitmore	roy.whitmore@tri-c.cc.oh.us	First Energy	3 campus aggreg. of all bills, incl. HV maint.	6.5
Denison U.	Art Chonko	chonko@cc.denison.edu	AEP	15kV	4.7
Kent State U	Tom Dunn	tdunn@kent.edu	O. Edison	69kV	5.7
Med. Center Co. (Case Western & Others)	Ray Aylward	ray@mcco.org	Cleveland Public Power	138kV 5MW cap on cogen	3.9
Miami U.	Paul Wenner	wennerpa@muohio.edu	CINERGY	69kV (Rate is time of day/day of year and varies from 2.2 to 5.6 cents)	4.4 average
OH Northern	Joe Hermiller	jhermiller@onu.edu	AEP	15kV	4.5-5
OARDC Wooster	John Ott	ott.53@osu.edu	AEP	15kV	4.0
Ohio State U	Wally Giffen	giffen.1@osu.edu	AEP	138kV	3.4
Ohio Univ.	Mick Harris	harris@ohio.edu	AEP	69kV	3.5
U. of Akron	Ed Hegnauer	ech@uakron.edu	Ohio Edison	23.5kV	5.8
U. of Cinci.	Ed Curtis	curtis@ucmail.uc.edu	CINERGY	15kV	4.5-5
U. of Toledo	John Donegan	jdoniga@utnet.utoledo.edu	Toledo Edison	64kV	5.3
Wright State	John Howard	john.howard@wright.edu	DP&L	15kV	5.1
Youngstown State	Vince Sacco	vsacco@cc.yzu.edu	Ohio Edison	15kV	6-6.5

Key Questions

1. Question: What is the problem you are trying to solve and what are the options you have considered?

Answer: The University is faced with the challenge of supplying the steam requirements to the campus in light of the new EPA regulations for NOx emissions. With the existing boilers, the University cannot comply with the new regulations. Four existing boilers have to be replaced with ones that can meet NOx compliance. Since a large capital investment is necessary, the University investigated an alternative possibility of installing a cogeneration facility to supply not only the steam needs of the campus, but a large portion of the electrical needs as well. This alternate was explored due to the approaching deregulation of the electric industry. Along with both of these options, the University also explored each in the context that the facilities would be owned and/or operated by an outside party. The general strategies that were explored are described below:

- 1) Replace the four existing boilers with new boilers that can meet the new emission standards.
- 2) Replace current boilers with cogeneration plant operated by the University.
- 3) Outsource all electricity and steam generation.

2. Question: What is cogeneration?

Answer: Cogeneration is a highly efficient energy conversion process that generates two forms of usable energy (steam and electricity) for each unit of fuel energy consumed. Because less fuel is burned to produce a greater quantity of usable energy, fewer emissions are released into the atmosphere than would be the case if electricity and steam were generated separately. Installing a cogeneration plant would be another big step forward in becoming a more energy-conservative University.

3. Question: Why did Sebesta Blomberg recommend cogeneration?

Answer: The study conducted by Sebesta Blomberg concluded that cogeneration was the option that should be pursued by the University due to the following:

- 1) Cogeneration provides a second point of delivery for the campus electrical load and therefore increases the reliability of electric service to the University.
- 2) Cogeneration reduces overall operating costs.
- 3) Cogeneration provides leverage for electric rate negotiating.
- 4) Cogeneration has high cycle efficiency.

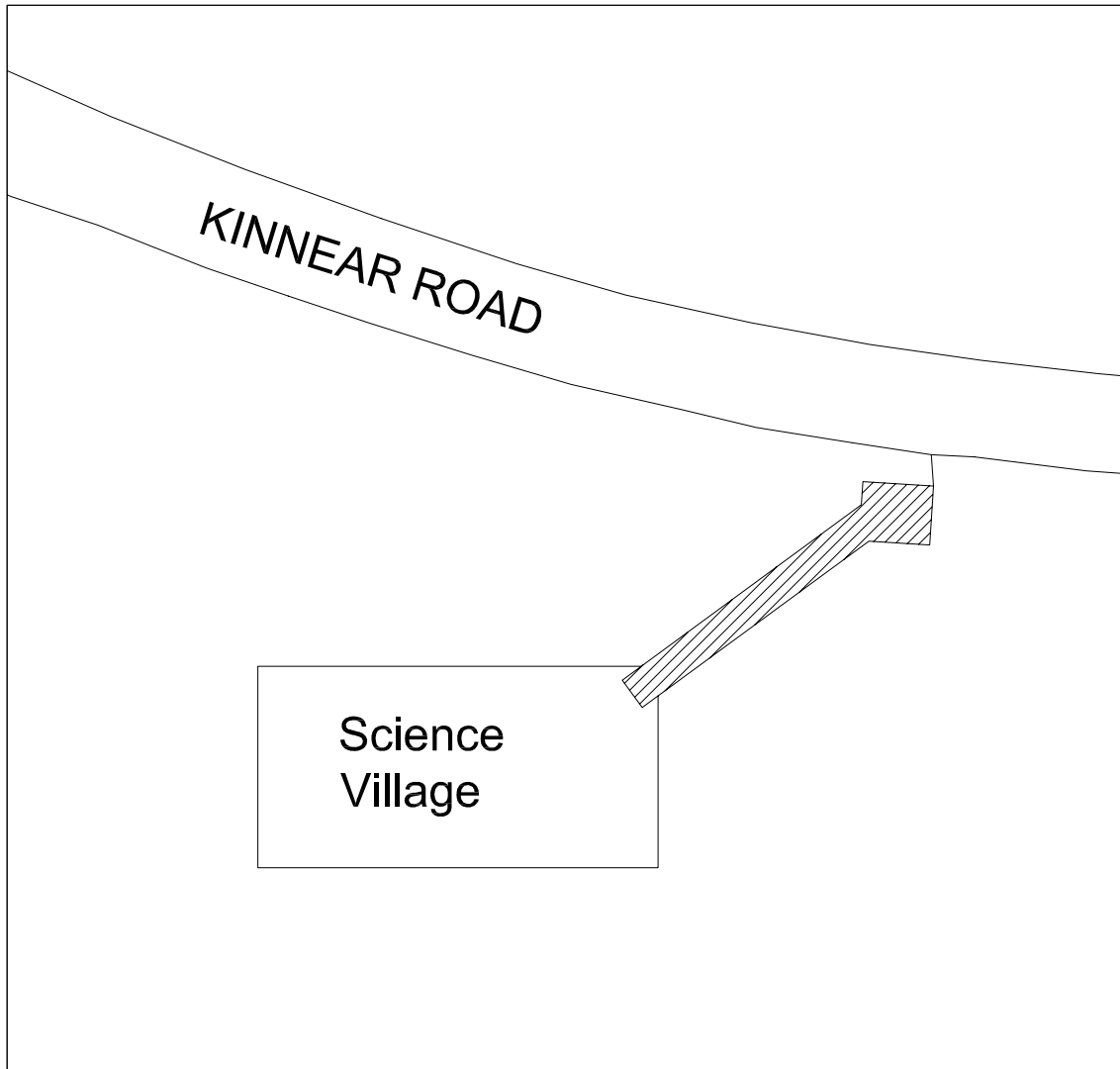
4. Question: What will \$1.3 million for an additional study tell us that we don't already know?

Answer: It is the intent of the project team to spend the \$1.3 million on a detailed study of a 59MW electrical steam cogeneration plant. The scope of work to be accomplished during this phase would include: 1.) Refining the costs associated with a cogeneration plant, 2.) A detailed fault current study to identify all necessary electrical work, 3.) A detailed schematic design to show the placement of the new equipment, relocation of existing systems, and any required building modifications and an alternative boiler replacement design, and 4.) Addressing any public relations issues that may exist due to the addition of this cogeneration system to the campus.

**Summary of Options regarding
Electric and Steam Needs**

Characteristic	Current	Option A	Option B	Options C
# of turbines	1	1	4	6
# of boilers	6	5	6	6
# of boilers out of compliance	4	0	0	0
Electrical generation capacity	3 MW	3 MW	59 MW	102 MW
% of electric need self-generated	4%	4%	93%	100%
Steam production capacity	700 MLBH	830 MLBH	845 MLBH	850 MLBH
Capital cost (costs in current dollars)	NA	\$35M	\$75-79M	\$106M
Operating Expenses @4.5¢ KWH through 2020 (costs in current dollars)	NA	\$421M	\$379M	\$373M

0.028 Acre Easement To American Electric Power for Electrical Services at 1381 Kinnear Rd.



No True Scale

Office of Business and Finance
July 7, 2000

Map Provided by University Engineer's Office

**OSU Board of Trustees
Fiscal Affairs Committee
FY 2001 Budget Summary
July 7, 2000**

- I. Context
 - A. Strategic Objectives
 - B. Environment
 - C. Previous Board Action
 - D. Income and Spending Summary

- II. Income
 - A. Enrollment Projection
 - B. Revenue Projections

- III. Expense
 - A. Funding for Continuing Services
 - B. Funding for Strategic Investment

- IV. Implications
 - A. Strategic
 - B. Financial
 - C. Programmatic
 - D. What Happens Next?

Office of Academic Affairs
Office of Business and Finance
July 3, 2000

I. Context

A. Objectives – to enhance the quality of the education and campus experience for our students. The four cornerstones of this strategy are:

1. Continue to improve the quality and national reputation of our academic programs.
2. Improve the quality of the student experience inside and outside the classroom.
3. Become a good example within higher education for the success of our commitment to diversity.
4. Expand outreach and engagement activity to better respond to the needs of the communities the University serves.

B. Environment

1. FY 2001 represents the fifth consecutive year of budget stability since achieving budget equilibrium in FY 1996.
2. During this period, significant funding increases have been provided to support academic priorities.
3. This has been achieved in an environment where undergraduate tuition has been capped, federal funding interrupted, enrollments have declined, competition for the best faculty and best students has increased and the state has had to address a court decision regarding school funding.
4. FY 2001 provides a number of new challenges, including:
 - A significant restructuring of state funding practices, including a cap on Ph.D. funding, growth in performance funding and differing expectations regarding regional campuses.
 - Continued uncertainty as a result of DeRolph vs. State of Ohio.
 - Continuing competitive pressures on salaries and student financial aid.
 - A significant list of unmet needs and continuing commitments.

C. Previous Actions of OSU Board

- | | | |
|----|---------|----------------------------------|
| 1. | March 3 | Revenue Benchmark Report |
| 2. | April 7 | Compensation Benchmark Report |
| 3. | May 5 | Compensation Guidelines approved |
| 4. | June 2 | Approval for Tuition and Fees |

D. Income and Spending Summary

1. Available General Fund Resources are projected to increase 5.3%, including:

a)	State Instructional Subsidy	+ 2.4%
b)	Performance Challenge	+55.7%
c)	Student Fee Income ¹	+ 7.4%
d)	All Other	+ 1.5%

¹ Reflects impact of potential enrollment fluctuations.

2. General Fund Expenses and Reserves are recommended to increase 5.3%, including:
 - a) Unit Budgets 4.3%
 - b) Student Financial Aid 4.6%
 - c) All Other² 1.9%

3. Planned Reserves are as follows:
 - a) Planned Fund Balance \$ 0.1M
 - b) Enrollment Reserve \$ 0.0M
 - c) Safety Margin for Revenue Projections ± 1%

II. Income

A. Enrollment Projections

The enrollment adjustments are based on the following headcounts for the Columbus Campus:

FY 98(Au)	FY 99 (Au)	FY 00 (Au)	FY 01 (Au)
48,278 (act)	48,511 (act)	48,003 (act)	47,942 (est)

B. Revenue Projections

- Instructional Subsidy is based on the approved State budget. Reflects delayed impact of previous enrollment declines.
- Student fees increase on Main Campus at 6.0% for undergraduates and 5.0% for all others unless otherwise noted, adjusted for enrollment changes.
- Student fees on Regional Campuses will decrease 5% for lower division undergraduates and increase 3% for upper division undergraduates.
- OSURF Overhead is projected to increase 3.0% to cover increased research costs.
- Other Overhead, including earnings overhead, projected to increase 0.5% partly due to a reduction in most earnings overhead rates.

**Continuing General Funds Income
(Columbus Campus Only
(all figures in millions)**

Revenue Source	FY 00 Base	FY 01 Estimate	\$ Difference	% Change
Instructional Subsidy	\$312.8	\$320.3	\$7.5	2.4%
Performance Challenge	12.2	19.0	6.8	55.7%
Student Fees	303.2	325.5	22.3	7.4%
All Other				
Research Overhead	37.5	38.6	1.1	3.0%
Other Overhead	35.0	35.2	0.2	0.5%
Temporary Investments	10.1	10.1	0.0	0.0%
Unrestricted Endowment	4.1	4.1	0.0	0.0%
All Other	.9	.9	0.0	0.0%
Subtotal	87.6	88.9	1.3	1.5%
Continuing Funds Carried Forward	NA	NA	(0.4)	NA
Total Resources Available	\$715.8	\$753.7	\$37.5	5.3%

NOTE: Figures on state instructional subsidy and Performance Challenge are still preliminary and may change slightly.

² Includes reserves.

III. Expense

A. Funding for Continuing Services includes:

1. Compensation - 4.0% increase in salary budgets, plus \$0.6M for faculty promotions and \$0.5M for contract settlements.
2. Student Financial Aid - includes 6.0% increase for undergraduate scholarships plus \$1.7M for the Buckeye Scholarship Program and 5.0% for graduate fee authorizations minus a \$2.1M adjustment due to decreased graduate enrollments.
3. Research Support - increase in Research Support is equal to inflationary cost of continued services. Increase in indirect cost recoveries should fund this increase.
4. Facilities - includes 2.7% inflation adjustment for Plant, Operation and Maintenance of existing facilities, including utilities plus an additional \$1.0M for new facilities and rentals.
5. All other expenses - such as legal and bank fees are not given inflationary adjustments.

Summary	FY 00 Base	FY 01 Recommended	\$ Difference	% Change
Compensation	\$467.2	\$487.5	\$20.3	4.3
Student Financial Aid	85.2	89.1	3.9	4.6
Research Support ³	35.3	37.4	2.1	5.9
Facilities	41.6	43.7	2.1	5.0
All Other ⁴	86.6	83.1	(3.5)	(4.0)
Sub Total				
Continuing Services	715.9	740.8	24.9	3.5
Strategic Investment ⁵	NA	13.0	13.0	NA
Total Expenses	\$715.9	\$753.8	\$37.9	5.3

³ Includes Research Fee Authorizations.

⁴ Includes Reserves

⁵ See detail of Strategic Investment on page 6. Strategic Investments were \$13.1M in FY 2000 and have been distributed.

B. Strategic Investments

Listed below are funds earmarked for strategic investment over and above current services. Recommendations for detailed allocations will be presented at the September 1 Board of Trustees Meeting.

**OSU Columbus Campus
Strategic Investment Funds
FY 2001
(General Funds - Continuing Funds Only)**

Item	Amount	Distribution Determined by	Allocation Status
OAA Multi-year			
Academic Enrichment ⁶	\$2,000,000	OAA	Yes
Recruitment	500,000	OAA	Yes
Library Acquisitions	628,000	OAA	Yes
Sub Total	3,128,000		
Student Set Aside			
Student Services	500,000	Univ. Policy	TBD
Instructional Technology	500,000	Univ. Policy	TBD
Sub Total	1,000,000		
Challenge Funds			
Research	875,000 (est)	State Law	TBD
Success	5,941,000 (est)	State Law	TBD
Sub Total	6,816,000		
Additional Facilities	975,000	Univ. Policy	Yes
Reserves			
Provost	250,000	Univ. Policy	TBD
General	100,000	Univ. Policy	NA
Sub Total	350,000		
Other			
Mandates	500,000	Univ. Policy	TBD
Service Improvements	250,000	Univ. Policy	TBD
Sub Total	750,000		
Grand Total	\$13,019,000		

⁶ Will be supplemented by planned reallocation of an equal amount from college budgets.

IV. Implications

A. Strategic

1. These recommendations advance the University's strategic goals, particularly Academic Enrichment/Selective Investment, recruitment and retention.
2. Quality of academic programs will continue to improve through academic enrichment and enhanced recruiting.
3. Quality of the student experience will improve, particularly through increased investment in retention.
4. Additional support will be provided for diversity and outreach and engagement.

B. Financial

1. The University will have sufficient resources to continue to move towards achieving its academic goals, but significant challenges remain.
2. The trend of earmarking revenues and expenditures brings in more resources but also reduces budget flexibility.
3. The change in state funding for Ph.D. programs will require a re-thinking of our management of graduate enrollments.
4. The operating margin for continuing funds is extremely tight; therefore, flexibility is limited.
5. The gap between Columbus Campus and Extended Campuses for tuition will widen as a result of state policy designating extended campuses as a high priority for removing financial barriers to access resulting in Extended Campus lower-division undergraduate fees decreasing 5% and upper-division undergraduate fees increasing only 3%, while Columbus Campus undergraduate fees will increase 6%.

C. Summary Allocation of Resources by Function (in millions of dollars of continuing funds - Columbus Campus Only)

To Continue Doing What We're Doing Now:

Compensation	\$20.3
Student Financial Aid	3.9
All Other	<u>0.7</u>
Total	\$24.9M

Additional Strategic Investment:

Academic Excellence	\$ 5.5
Student Experience	6.4
All Other	<u>1.1</u>
	\$13.0M

NOTE: More detail will be provided at the August 30, 2000 Board of Trustees Meeting.

D. What Happens Next?

1. Proposed tuition increases on Columbus Campus will take effect Fall Quarter.
2. Board will receive detailed spending recommendations and Budget Red Books at the August 30 meeting.

NOTE: Compensation increases and increases in various user charges were previously approved by the board and took effect July 1.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SIXTY-FIFTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, August 30, 2000

The Board of Trustees met at its regular monthly meeting on Wednesday, August 30, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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August 30, 2000 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on August 30, 2000, at 10:45 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

I am pleased to welcome you back to campus after what has been a very productive summer for the University.

Of course, the most visible project this summer has been the first-phase expansion and renovation of Ohio Stadium. The facility has drawn rave reviews from all who have toured it. I visited with the team last week and, believe me, they are very excited about playing there on Saturday. The field and the stadium will be ready on game day, but we will all have to keep in mind that the stadium will still be a construction site. The project won't be finally completed for another year. So our fans will have to put up with a little more inconvenience than usual this year.

In my report today, I want to tell you about some of the other points of pride that occurred at Ohio State over the past several months. First, let's start with the number one reason we're all here -- the students. For the past few years, we've made steady, measurable progress at enhancing the overall quality and diversity of our student body. I am very pleased to report that our success this year sets a new standard for the University.

Jim Mager, Robin Brown, Mabel Freeman, and Martha Garland have done it again. Our incoming freshman class will be the highest achieving class in the history of The Ohio State University, breaking the record set by last year's outstanding class. We project that the average ACT score will be 25 -- a new record. Almost one-third of the incoming class finished in the top 10 percent of their high school class, and over two-thirds of the class finished in the top 25 percent of their high school class. We'll have 110 National Merit Scholars and 245 valedictorians -- both records. And about 18.5 percent of the class will be members of a minority group -- again, the highest percentage ever.

This is an incredibly talented group of students, and we're very excited about the opportunities they will encounter at Ohio State. These are students who, a few years ago, might have chosen to attend Duke or an Ivy League school. But now, we're very encouraged that Ohio State is indeed becoming a school of choice for Ohio's finest students.

Many of our upper classmen are doing some outstanding work as well. For instance, two of our engineering undergraduates, Matt Dickerson and Ray Unosic, were awarded a 2000 Collegiate Inventors Award, sponsored by the National Inventors Hall of Fame. Twenty of our first-year and pre-matriculated medical students spent the summer doing cancer research as part of a program sponsored by the National Cancer Institute.

And, in just a couple of weeks, we'll also have several Buckeyes at another major world event -- the Olympics. Ohio State will be represented in the Olympics this year by Jamie Natalie of our men's gymnastic team; by Nick Rees, men's

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

swimming team -- he'll be swimming the 100-meter butterfly; and in synchronized swimming by Carolina Moraes and Isabella Moraes, twins who are swimmers. They are from our NCAA championship synchronized swimming team and they themselves are the NCAA pair's champions and are favored to win a gold medal at the Olympics.

You'll be interested to know that all the way over in Australia, the Olympic athletes will be performing on Ohio State turf. How, you may ask, is that possible? Well, you see, Joe Motz, who is a loyal Ohio State alum and his company, the Motz Group, installed all the playing fields in Australia for the Olympics. Joe's company has also installed the field in Ohio Stadium that the Buckeyes will be playing on Saturday, and the field for the new Bengals stadium.

As you know, one of Ohio State's core objectives is helping to build Ohio's future. We do this, in part, through our community outreach efforts. A couple of new grants we learned about this summer will enable us to expand our outreach efforts this year. Trustee Brennan will be especially pleased to know that the Fisher College of Business received a \$1.5 million grant to develop an undergraduate general business curriculum to be delivered throughout the state via distance learning. This effort will benefit businesses and citizens in Ohio's small and medium-sized communities for years to come by giving more Ohioans the general management skills they need in today's highly competitive business environment.

Also, the College of Human Ecology and the College of Education received a \$500,000 grant from the Corporation for National Service that will help us expand our engagement with the University neighborhood district. In this three-year project, we'll be developing new opportunities for students and faculty from all 19 colleges at Ohio State to participate in service learning projects that will help local schools and community organizations.

These grants, I am very happy to report, are part of a truly incredible year for research funding at Ohio State. In fact, for the fiscal year ending in June 2000, research grants to Ohio State totaled more than \$228 million -- that is a 20 percent increase in just one year. Some of the most notable numbers: a 23 percent increase from the National Institutes of Health, to more than \$70 million; a 63 percent increase in funding from the U.S. Department of Agriculture; and a 77 percent increase in funded research from the State of Ohio. For the past several years, we've hovered around \$180 million of funding for research, so jumping to nearly \$230 million in one year is truly a great achievement and, I hope, a sign of great things to come.

Certainly, this great increase is a direct reflection of the outstanding quality of our faculty, and that is the final subject I want to mention this morning -- our efforts to build a world-class faculty. In this area, we've seen some great progress this summer. For one, we hired Chris Igodan, the new director of The Ohio State University Agricultural Technical Institute and associate dean of the College of Food, Agricultural, and Environmental Sciences. Dr. Igodan is a noted international expert in his field. He was dean and professor of agriculture at the University of Fort Hare in South Africa, and is a Buckeye alumnus.

Also, Professor John Mueller has joined us as the first Wayne Woodrow Hayes Chair in National Securities Studies. We all know who Wayne Woodrow Hayes was, I think. Dr. Mueller is the first person I've ever met who is a national expert

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

on both political science and dance. During his 35 years at the University of Rochester, he has authored books on subjects as disparate as the Gulf War and Fred Astaire. And he's even written a musical on the history of World War II. A remarkably talented individual with obviously a great breadth of interests. He is a member of the American Academy of Arts and Sciences, the highest national honor a person in his field can achieve, and he is a Guggenheim Fellow.

Many of our outstanding faculty and staff also received major awards this summer. For instance, Angela Brown, from the College of Optometry, received the most prestigious award from the American Foundation for Vision Awareness – the Galileo Award. The award recognizes her outstanding research in children's vision and learning.

Morton Friedman, professor of biomedical and chemical engineering and pathology and associate director of the Biomedical Engineering Center, has received the H. R. Lissner Medal from the American Society of Mechanical Engineers for his outstanding work in biomedical engineering. On the very same day he learned of this honor, Dr. Friedman received notification of two grants from the National Institute of Health totaling \$2.4 million. I think you agree, that wasn't a bad day for Dr. Friedman.

Also, Jacqueline Jones Royster, professor of English and senior associate dean in the College of Humanities, received the Pioneer Award in higher education from the Ohio Department of Education. The award recognizes individuals who have dedicated their lives to education in significant ways that impact public education in Ohio.

There is one other faculty news item with which I will close my remarks today. It's the hiring of Dr. Fred Sanfilippo as senior vice president for Health Sciences and dean of the College of Medicine and Public Health. We are extremely fortunate to bring to the University this distinguished scientist, physician, and administrator.

Just listen to some of his accomplishments during the past 7 and a half years as head of the department of pathology at Johns Hopkins. He recruited 72 new faculty members, doubling the size of the department. The number of funded research projects increased from 30 to over 120. And research funding increased from less than \$6 million to more than \$20 million, and that's just in the department of pathology. Also, the department's net revenue grew from a deficit budget to one, which this year led all clinical departments at Johns Hopkins.

We are committed to making The Ohio State University Medical Center one of the nation's finest, and one that is known for the excellence of its research, as well as the quality of its patient care and education programs. We believe Dr. Sanfilippo is the person who can help make this goal a reality. His experience, skills, and business acumen will further energize the momentum that has been established to build a nationally ranked medical center and develop important initiatives that mesh leading-edge research with patient care and medical education.

Dr. Sanfilippo will be responsible for the patient care, research, and teaching at all of our medical facilities. To conclude my remarks, at this time it is a great pleasure to introduce to you, and invite to make a few comments, Dr. Fred Sanfilippo. Please join me in welcoming him to Ohio State.

PRESIDENT'S REPORT (contd)

Dr. Alfred Sanfilippo:

Thank you very much, Brit. First, I'd like to thank you, Brit, and the Trustees, for giving me the opportunity to lead the health sciences and College of Medicine and Public Health at The Ohio State University. I am truly honored and excited to be working with you at this time of great change for both higher education in general, but for academic medicine in particular.

To me, change is always a time of great risk, but of even greater opportunity, and the changes we're seeing right now in academic medicine are truly profound. On the fiscal side, they range from the problems of declining reimbursement for a higher expectation of service, to the opportunities provided by the substantial increase in federal funding for basic and clinical research.

On the academic side, they range from the problems of dealing with true information overload in our teaching and research missions, to the incredible opportunities of translating this information into knowledge, and into practice to improve the health of our population.

I believe OSU has two great assets that make the health sciences extremely well positioned to take full advantage of the opportunities that exist. First is the breadth and depth of the disciplines, the programs, the faculty, and the students across the University. This creates opportunity for new discoveries between the health sciences and other disciplines. It creates opportunities to find better ways to deliver and finance health care to our population, and it creates opportunities to accelerate the translation of basic discovery into practical applications.

The second great asset at OSU is in its leadership, and this starts at the top. I've been enormously impressed by President Kirwan's energy, his vision, his excitement, and his well-deserved reputation as one of the foremost leaders of higher education in the United States. I look forward to learning from him and to joining him in extending this energy and vision to the health sciences at OSU.

Thank you very much for the privilege and opportunity to be part of your team.

Mr. Skestos:

Thank you, Dr. Sanfilippo.

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THE JAMES CANCER 10TH ANNIVERSARY REPORT

Mr. Skestos:

I would like to now call on my distinguished colleague, Zuheir Sofia, chairman of The James Cancer Hospital Board, to introduce Dr. Schuller and Dr. Bloomfield, who will present the James Cancer Hospital 10th Anniversary report.

Mr. Sofia:

Thank you, Mr. Chairman. Good morning, ladies and gentlemen. As the chairman of the The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, it's indeed my honor and pleasure to introduce Dr. David Schuller and Dr. Clara Bloomfield.

THE JAMES CANCER 10TH ANNIVERSARY REPORT (contd)

Mr. Sofia: (contd)

Dr. Schuller is the director of The James Cancer Hospital and Solove Research Institute and deputy director of the Comprehensive Cancer Center. Dr. Bloomfield is the director of the Comprehensive Cancer Center and deputy director of The James.

At The James, from the few years I've been chairman, they do have a vision, they have a mission, and they have a plan, but most importantly is that they deliver. Somebody once said, you can divide people into three groups: the ones who makes things happen, the ones who watch things happen, and the ones who wonder what happened. I can guarantee you that at The James, they really make things happen.

This year marks the 10th anniversary of The James Cancer Hospital and Solove Research Institute. Within an amazingly short period of time, The James and the Comprehensive Cancer Center have made some outstanding achievements. The James is an international leader in cancer genetics and was ranked twice by the *U.S. News and World Report* as one of the nation's best cancer hospitals, and the National Cancer Institute recently renewed Ohio State's designation as a comprehensive cancer center.

It's interesting to recall that, not too long ago, the idea of a comprehensive cancer hospital in Central Ohio was controversial when first proposed. Some people argued at the time that the facility was too expensive and that the state couldn't afford it. But individuals like Arthur James, John W. Wolfe, and Dick Solove, just to mention a few, were determined to make the dream a reality.

We have a video to recognize the achievement of all of the physicians, nurses, researchers, and staff who work under the leadership of Dr. David Schuller and Dr. Clara Bloomfield.

[Video]

Mr. Sofia:

Mr. Chairman, at this time I am delighted, pleased, and honored to introduce Dr. David Schuller, who will make a few remarks.

Dr. David E. Schuller:

Mr. Sofia, thank you very much. I did not know what was coming this morning and Clara whispered over to me after the end of the video and said, "Have you seen this before?" and I said, "No, I have not." So thank you very much. For somebody who's been involved since 1988, two years before the opening of the hospital, that was a special treat to see it summarized.

These first ten years have been a tremendous time of remarkable accomplishment, both by researchers here within our more than 200 faculty that are members of our Comprehensive Cancer Center, but by so many other dedicated people. And this is occurring around the world. At times, I become concerned because I hope that people don't become muted to my unabashed enthusiasm about the future. I've been enthusiastic for the first ten years of our Cancer Hospital and Research Institute.

There is no more exciting time than what we are currently in. The recent announcement, just two months ago, of the completion of the sequencing of the

THE JAMES CANCER 10TH ANNIVERSARY REPORT (contd)

Dr. Schuller: (contd)

human genome -- incidentally, a massive project that was finished five years ahead of schedule -- underscores that accelerated rate of discovery that's occurring. This is in all fields of biomedical research, but it has direct implication in cancer.

We wanted to take advantage of that opportunity, and by that we needed to think big. We thought big in terms of where we thought we could go to build a basis for our research program in cancer that would enable us to be at the center to hopefully have a ripple effect throughout the entire Cancer Center faculty, but even throughout the entire University. Thus the investment in the cancer genetics program. There has been a major investment in recruiting and expanding the leadership that includes Clara and myself as a leadership team, but also the other two that you saw, who have acted as tremendous magnets for rapid recruitment of very talented people from around the world. That wouldn't occur without the support of this group, of all in the University, of the State of Ohio leaders, but especially of the community. I'm really heartened by the fact that this video did highlight so many of those in the community who have understood and endorsed what we are about in our research program.

Pardon the bias, but I don't think there's any clearer example of a human disease where research can immediately translate into improved diagnosis, treatment, and even prevention of a very common health problem in the State of Ohio and around the world. That is the basis of what this facility is all about. We feel that we are at the head of the parade in terms of making a contribution to the citizens of the State of Ohio and the people of the world. We feel that research is the very foundation of that. We want research to translate into improved treatment opportunities in a warm and caring environment.

On behalf of our research faculty, on behalf of Clara, on behalf of our more than 400 physicians at The James Cancer Hospital and Solove Research Institute, but especially on behalf of the thousands of patients, I thank you for acknowledging what we're about. You can rest assured that ten years aren't bringing complacency -- ten years are bringing about a sense of urgency. We're all going to be walking a little faster and we're all going to be moving a little more quickly. There are great things to occur and they're going to be occurring at The James Cancer Hospital and Solove Research Institute. Thank you very much.

[Presentation of plaque to Dr. Schuller.]

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PRESENTATION OF THE FY 2001 OPERATING BUDGET

Provost Edward J. Ray: [PowerPoint Presentation]

Bill Shkurti and I, with the help of Barb DeYoung, would like to take you quickly through the highlights of the budget process and some of the motivation that really drove us to make some of the recommendations that we have. You have in your Board book the current funds budget document, which gives you a good deal of detail. You also have under the Fiscal Affairs meeting agenda tab the budget summary which highlights some of the particular allocations that we're making as well.

PRESENTATION OF THE FY 2001 OPERATING BUDGET (contd)

Provost Ray: (contd)

To get to the heart of the matter, the purpose of this presentation is to describe in simple terms how our resources are aligned with our academic goals, and how our students have benefited. Again, to remind ourselves, our academic goals are: academic excellence, a quality student experience, a sense of community and true diversity on the campus at every level, and to define the outreach and engagement agenda for ourselves for the 21st century. Those are the things that drive all of our decisions about the budget.

We've tried to target funds in a strategic way to meet our overall academic objectives. As you can see -- in terms of the resources that are available -- we're talking about \$12.4 million in continuing general funds, \$12.8 in one-time general funds, and \$13.5 million in non-general fund sources.

With regard to academic excellence -- I know you're familiar with our Academic Enrichment and Selective Investment Programs and we'll be talking about this later in the fall. If you go back to last year when we made awards to history, chemistry, political science, and the neuroscience program, one thing worth noting is that those programs affect the lives of 24,000 students who take courses and do studies in those areas.

The budget is targeted to provide enhanced recruitment of top students and faculty. We've talked about both in this meeting today. You've heard about some of the extraordinary colleagues we've brought aboard most recently, and you heard very good news from Martha Garland, Jim Magers, and Mabel Freeman earlier in the Educational Affairs/Student Affairs Committee meeting about the preparation and characteristics of our incoming class.

We have more student involvement in leading edge research and the totals that are involved in these activities include \$10.1 million in continuing funds and \$500,000 in one-time funds. With regard to the student experience and outreach and engagement activities, among the things we could list are student safety. We're allocating \$200,000 in continuing funds to the High Street effort led by Campus Partners, to partner with commercial enterprises there, and to provide safer, cleaner, better-serviced areas for our students there.

We're trying to provide more personal attention to our students and you heard Mabel Freeman talk about her new role in dealing with the freshman experience. That requires not just the energy and enthusiasm that someone like Mabel brings to a position like that, but real resources as well, and we're providing some resources to make that possible.

With respect to learning and recreational opportunities outside the classroom -- one of the initiatives we're very excited about and have worked closely with the Office of Student Affairs to create is the late night alternative recreation programs. They give our students what we think are safe and sane alternatives to some of the activities that they get involved in off campus. We're talking about \$5.8 million in continuing funds, \$200,000 in one-time funds, and \$6.8 million in non-general funds.

With respect to our diversity efforts -- obviously, we're committed to more educational opportunities for women and minorities at this University. We have a specific program that targets graduates of Ohio's two-year schools and particularly targets underrepresented groups. We've targeted \$500,000 in continuing funding to bring some of the best and brightest students who can come to us as transfers from community colleges. To provide them with some of

PRESENTATION OF THE FY 2001 OPERATING BUDGET (contd)

Provost Ray: (contd)

the financial support that will make a difference in their ability to succeed and graduate here at Ohio State.

We're making investments in the environment with an emphasis on successful outcomes. As you know, we have a summer Bridge program, which helps students make the transition into the University. We're putting more resources into that.

As always, we need to benchmark, we need to measure, and we need to maintain accountability. We're adding to the staff -- that we've already put in place in Human Resources -- to provide measurement capabilities in reporting out to the community on how we're doing with respect to our diversity efforts. This will be a very important part of tracking our diversity initiatives over the years to come. In continuing funds \$1.2 million, \$100,000 in one-time money, and \$1.5 million in non-general funds.

Let me stop there for a moment and let Bill talk about some other strategic investments.

Mr. William J. Shkurti:

There are other elements that are being funded in the budget that don't neatly fit in one category or another, but are important to the University achieving its academic goals, and these are listed in the slide ahead of you. It includes funding for continuing services, and that's really needed to continue doing what we're doing, but do it better. Although new initiatives are important, it's also important that we continue to provide basic support for everything we do. That will take \$29 million over fiscal year 2000, mainly to pay the people who do all the things that we do, and to do them well.

In addition, there are other strategic investments. We are putting another \$2.9 million into our development program -- our very successful fundraising program -- which supports all of these initiatives, and we're doing that out of leveraging money from gifts to invest to provide more gifts. We're investing \$9 million in one-time funds to improve technology. Legal mandates are up there, and it's something we grumble about, but, in fact, real people benefit from that. For example, we will be putting in more money to assist students with disabilities, to overcome those disabilities and receive an education. We've also got a variety of targeted improvements. For example, nearly \$500,000 to improve our lab animal facilities or add more facilities to support sponsored research.

One of the things that Ed and I hear a lot from students, in particular, is "We understand tuition is going up and we don't like it. We don't mind paying it as long as we know what it's going for and that we benefit in some way." And that's the next issue that Ed will address in terms of how students will benefit then from these additional expenditures.

Provost Ray:

When we start totaling up the various activities that we're undertaking, we get a pretty impressive picture of what we're doing that we think will benefit the students. Obviously, improved academic programs through Academic Enrichment, Selective Investment, and other activities directly benefit the learning

PRESENTATION OF THE FY 2001 OPERATING BUDGET (contd)

Provost Ray: (contd)

opportunities for students. As I said, the Selective Investment Awards last year went to four programs that touched the lives of 24,000 students.

Increases in student financial aid will protect those students from the impact of tuition increases. I think it's worth noting that 31,000 of our students are affected by that – they are dependent to some degree or other on financial aid.

Expanding opportunities to participate in leading edge research is part of this budget. I think it was mentioned briefly at the Educational Affairs/Student Affairs Committee meeting this morning that Dan Farrell, associate provost for Honors and Scholars, has worked with the Office of Research to create a freshman seminar research experience for some of our best prepared students. This will help them get involved in undergraduate research experiences in their first year, and then hopefully carry that through into the future.

We have more support for technology in the classroom. You'll see the figure again in a moment, but we've dedicated, out of the exclusive beverage contract, \$1 million for classroom readiness -- to bring technology and resources to bear on making classrooms more effective learning environments. That's something that we expect to put in place right away.

Not to be too crass about this, but there are pay raises for student employees involved in this, too. Believe it or not, we have 11,000 student employees, so again it's a pretty substantial group.

There are major initiatives to improve the safety on High Street. I mentioned the \$200,000 to collaborate with the commercial enterprises to improve the safety and the quality of the environment there. We have additional recreational opportunities and other student services. There are increased opportunities to participate in Honors and Scholars Programs, the Living Learning Centers that are being set up, the Mount Leadership Program, and an extraordinary number of programs are, in fact, being put in place and expanded.

Greater emphasis on the retention and timely graduation of students. We didn't get into it in the discussions in the committee meeting, but quite frankly, the whole notion of making that freshman experience more personal and more effective should have a dramatic effect on first-year retention rates. This ultimately will translate into more satisfactory figures down the line in terms of undergraduate student graduation rates.

We have more assistance for students with disabilities. Bill Hall distributed a map -- and showed a Braille version of the map -- that would help people with disabilities find their way around campus that otherwise would be denied to them. If you take the total value of all these programs we're talking about \$17 million.

A subject that I know nobody is interested in -- because I never get asked about this and we've never been pilloried in the *Lantern* about it -- is what about the pouring rights contract and where is the money going? Nobody seems to care about this. One of the reasons why there was some excitement during winter and spring was people kept saying, "How could you have the money and you don't know how you're going to spend it?" And you try to tell people as calmly and carefully for the "nth" time, that the reason you're not giving away the money in November or December -- as soon as it walks in the door -- is because if you're going to spend the pouring rights money intelligently, you need to have a consultative process that includes student government leaders and others

PRESENTATION OF THE FY 2001 OPERATING BUDGET (contd)

Provost Ray: (contd)

around campus. It really ought to be embedded in the overall budget process, so that when we go through the budget in the spring and we talk about how we would use the pouring rights money, we understand what all the other wherewithal is that we have to work with. We make certain that we use our resources strategically, but also that we complement what we're able to do otherwise with intelligent, strategic investments of those pouring right funds. It has been a little frustrating for a number of us to have to sit back and watch the dialogue go on, but we got it right in terms of making sure that we do all of this in as integrated and coherent a fashion as possible.

What have we got? We've got \$12 million that was not previously distributed -- \$8.1 million of it is being distributed this year. Originally when we talked about the distribution of any monies that would be available three years ago, we said -- because we don't want to spend everything at once and live with regret the following year -- that we wouldn't spend all the money all at once. Instead, we would try to spread it out over time. One of the reasons why we're actually distributing two-thirds of it at this point is because a lot of this money is going into endowments, so it's going into areas where it will be paying out over time.

We said from day one that our purpose in the distribution of this money ought to be primarily for the benefit of the students. The students are the ones who are drinking most of the beverages that are, in fact, critical to the contract, so we wanted to make sure that their welfare was tied very directly to our going forward with this effort. In fact, at least two-thirds will be allocated to endowments or to student facilities to benefit future generations of students.

I'm really proud of our government leaders: B.J. Schuerger, president of the Undergraduate Student Government; Todd Armen, president of IPC; and Ron Meyers, president of CGS. I have this take on politicians that sort of spending down the wealth now and making yourself look good is too often the tact people take. But in my discussions with them, they really appreciate the fact that this is a 10-year contract. There are students who are going to be here in 2006 and 2007 -- after many of us are gone -- who are going to still be dealing with an exclusive beverage contract. There are benefits as a result of that including the resources that we're talking about here. The student government leaders understood that it was good to do things that provided benefits to future generations of students. I don't know what their career aspirations are in politics, but they look a lot more promising to me than a lot of the people who are already doing the professional stuff out there. So I wanted to say that I'm really proud of the fact that they've made those decisions.

In terms of the highlights again, \$1.8 million in endowment is going to student organizations. We've made specific endowments to the three student government organizations, we'll be working with them to decide how they want to use the payout on those endowments, but it's really for the benefit of the students that they serve. We have \$2 million for student facilities. Again, these are facilities that won't be available immediately, but will be for students in the future. I appreciate their foresight and willingness to support that.

We have \$1 million in endowment for academic programs and diversity. One of the things that the President and I want to make sure people understand -- when we get back to reminding them there's a Diversity Action Plan out there -- is that it's not a diversity plan, it's a diversity action plan. In accompanying that plan, we want to be very specific about the way in which we're going to start using resources to do what we say and prove we mean what we say about diversity.

PRESENTATION OF THE FY 2001 OPERATING BUDGET (contd)

Provost Ray: (contd)

There is always this issue of putting your money where your mouth is, "Does the resource allocation, in fact, match the rhetoric we're very committed to making sure happens?" This is one piece of it that we will be providing some money toward endowments for academic programs on diversity.

We've talked about the multi-cultural center, the ethnic studies program, Latino/Latina studies, and Asian American studies. We've talked about the possibility of an institute in the study of race and ethnicity in the Americas. There are a number of exciting things that we're talking about and that you'll be hearing about in the weeks and months ahead. Again, \$1 million for instructional technology.

Before I leave that topic entirely, what I'd refer you back to – in the budget summary that you had at the Fiscal Affairs Committee meeting -- are two tables. One table is the success challenge and the other table speaks about the student set-aside. These are monies that are designated for particular kinds of uses, but I think we've done our homework, we've worked with the student government leaders.

Frankly, people like Bill Shkurti, Alayne Parsons, the three government leaders, Bill Hall and others, deserve a lot of credit for figuring out how to make good on the promise that we made when we said we wouldn't give away the beverage money in December. We wanted to look at all of the different ways that we could bring resources to bear on the things that matter to our students and to the University community, and to make the whole package work in a sensible and effective way. I think if you look at the distribution of the beverage money, along with those other two tables, you'll see the things that we need to put resources to for the benefit of our students. Virtually all of those are touched upon by the budget allocations that we've recommended here.

In conclusion, as we said at the outset, academic priorities drive the budget process. We always have to deal with limited resources and we have to make difficult choices. A lot of people, including those I've just mentioned, have done a terrific job trying to figure out how to get the tradeoffs right. The University is making good use of the resources it has, and that our students are the primary beneficiaries – not just to the pouring rights money, but to all of the additional allocations that we've made this year.

Thank you for your time. Bill and I would be happy to respond to any questions or concerns that any of the Board members might have.

Mr. Skestos:

Thank you, Mr. Provost. We will now take a final vote on the fiscal year 2000-2001 budget.

FINAL APPROVAL OF FISCAL YEAR 2000-01 BUDGET

Resolution No. 2001-22

Synopsis: The President recommends approval of the completed current funds budget for fiscal year 2000-2001.

August 30, 2000 meeting, Board of Trustees

FINAL APPROVAL OF FISCAL YEAR 2000-01 BUDGET (contd)

WHEREAS the University's General Funds Budget Summary for fiscal year 2000-2001 was approved at the July meeting, with authorization for the President to make expenditures within the projected income levels; and

WHEREAS the President now presents the fiscal year 2000-2001 current funds budget for final approval:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves and adopts the completed fiscal year 2000-2001 current funds budget; and

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting and to file these materials with the official records of this University.

(See Appendix X for background information, page 185.)

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, and McFerson, and Judge Duncan, and Ms. Longaberger, and Ms. Hendricks.

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CONSENT AGENDA

President William E. Kirwan:

We have 14 resolutions on the Consent Agenda today, and unless there are any objections, I would like to recommend the following resolutions to the Board:

REGIONAL CAMPUS BOARD APPOINTMENT/REAPPOINTMENTS

Resolution No. 2001-23

Synopsis: Approval of appointment/reappointments to The Ohio State University-Lima Campus Board is proposed.

WHEREAS the Board of Trustees on July 8, 1994, approved the establishment of The Ohio State University-Lima Board; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointment and reappointment to the Lima Regional Campus Board for the term as specified:

August 30, 2000 meeting, Board of Trustees

REGIONAL CAMPUS BOARD APPOINTMENT/REAPPOINTMENTS (contd)

Lima Board Reappointments

George Brooks, 3-year term
Dow Wagner, 3-year term

Lima Board Student Appointment

Whitney W. Harper, 1-year term

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Lima Board, effective July 1, 2000.

RENAMING OF DRIVE

Resolution No. 2001-24

Synopsis: The renaming of "Donald A. Borrer Drive" to "Borrer Drive" is proposed.

WHEREAS the Drive, located between Olentangy River Road and Fred Taylor Drive, known as "Donald A. Borrer Drive," runs between the Jerome Schottenstein Center and Bill Davis Stadium; and

WHEREAS at the May 5, 2000 Board of Trustees meeting, The Ohio State University named Arena Drive as "Donald A. Borrer Drive" in honor of a donor to the Athletic program; and

WHEREAS due to the wishes of the donor, it is now proposed that the drive be renamed "Borrer Drive."

NOW THEREFORE

BE IT RESOLVED, That "Donald A. Borrer Drive" be renamed "Borrer Drive," effective immediately.

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT STATION
ADVISORY COUNCIL**

Resolution No. 2001-25

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory council made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Council "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state:"

NOW THEREFORE

August 30, 2000 meeting, Board of Trustees

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT STATION
ADVISORY COUNCIL (contd)**

BE IT RESOLVED, That upon the recommendation of President William Kirwan, pursuant to the request of David B. Ashley, Dean and Director, College of Engineering, Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Council:

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
K. Cheena Srinivasan	Chair & Professor of Mechanical Engineering	1.5 years (8/1/2000 - 1/31/2002 completing term for Umit S. Ozkan)
Umit S. Ozkan	Associate Dean for Research College of Engineering	3 years (8/1/2000 - 7/31/2003)

DEGREES AND CERTIFICATES - SUMMER QUARTER COMMENCEMENT

Resolution No. 2001-26

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on August 31, 2000, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2001-27

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the July 7, 2000 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, Tenure, and Emeritus Titles, as detailed in the University Budget be approved; and

August 30, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/ Reappointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved July 27, 2000, by The Ohio State University Hospitals Board, and the Medical Staff Appointments/Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) approved July 18, 2000, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified.

Appointments

Name: JAMES N. ALLEN, JR.
Title: Associate Professor (The Battelle Professorship in Inhalation Therapeutics)
Department: Internal Medicine
Term: August 1, 2000 through June 30, 2004
Present Position: Director, Medical Intensive Care Unit/Pulmonary Diagnostics Laboratory, Department of Internal Medicine

Name: MABEL G. FREEMAN
Titles: Assistant Vice President for First Year Experience and Interim Director of Undergraduate Admissions
Office: Enrollment Services/Academic Affairs
Effective: September 1, 2000
Present Position: Director, University Honors and Scholars Center

Name: JAMES J. MAGER
Title: Associate Vice President for Enrollment Services
Office: Enrollment Services/Academic Affairs
Effective: September 1, 2000
Present Position: Assistant Vice President for Enrollment Management

Name: ALFRED P. SANFILIPPO
Titles: Senior Vice President for Health Sciences/Dean/Tenured Professor
Office/College/ Department: Health Sciences/Medicine and Public Health/Pathology
Effective: September 1, 2000
Present Position: Baxley Professor and Chairman, Department of Pathology, Johns Hopkins University

Name: MICHAEL M. VERES
Title: Interim Chief Information Officer
Office: Information Technology (OIT)
Effective: August 1, 2000
Concurrent Appointment: Deputy Chief Information Officer and Executive Director

Name: MARK D. WEWERS
Title: Professor (The John A. Prior Professorship)
Department: Internal Medicine
Term: August 1, 2000 through June 30, 2004

Reappointments

Name: WILLIAM L. BERRY
Title: Professor (The Richard M. Ross Chair in Management)
Department: Management Sciences
Effective: October 1, 2000 through September 30, 2003

August 30, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Reappointments (contd)

Name: GLENN S. DAEHN
Title: Professor (The Dr. Mars G. Fontana Professorship in Metallurgical Engineering)
College: Engineering
Term: October 1, 1999 through September 30, 2001

Name: STEPHEN A. SEBO
Title: Professor (The Neal A. Smith Chair in Electrical Engineering)
Department: Electrical Engineering
Term: January 1, 2000 through December 31, 2004

Appointment of Chairpersons and Director

July 1, 2000 through June 30, 2001

Psychiatry Radu V. Saveanu*

August 1, 2000 through June 30, 2001

School of Public Policy and Management Charles F. Adams, Jr.*

September 1, 2000 through June 30, 2004

Human Nutrition and Food Management Mark L. Failla

October 1, 2000 through September 30, 2002

Women's Studies Valerie B. Lee

October 1, 2000 through September 30, 2004

History Leila J. Rupp

Reappointment of Chairpersons and Directors

July 1, 2000 through June 30, 2001

School of Educational Policy and Leadership Mary Ann Sagaria*

July 1, 2000 through June 30, 2004

Management Sciences Glenn W. Milligan
Materials Science and Engineering Robert L. Snyder
Ohio State University Extension Keith L. Smith
Plant Pathology Randall C. Rowe

*Interim

Leaves of Absence Without Salary

MARY E. BECKMAN, Professor, Department of Linguistics, effective Autumn Quarter 2000, to accept a temporary position in the newly founded Macquarie Centre for Cognitive Sciences at Macquarie University in Sydney, Australia.

August 30, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary (contd)

RAJ JAIN, Professor, Department of Computer and Information Science, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, for personal reasons.

DIANA MUTZ, Professor, School of Journalism and Communication and Department of Political Science, effective Autumn Quarter 1999, Winter Quarter and Spring Quarter 2000, to accept a fellowship at the Center for Advanced Study in the Behavioral Sciences at Stanford University.

DONALD C. WINFORD, Professor, Department of Linguistics, effective Autumn Quarter 2000, to accept a visiting appointment at the University of Michigan.

CHUNSHENG BAN, Associate Professor, Department of Mathematics (Mansfield Campus), effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to accept a position with US West, Advanced Technology branch, at the Research Park of the University of Colorado, Boulder.

ASHOK K. KRISHNAMURTHY, Associate Professor, Department of Electrical Engineering, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to establish a design center in Columbus.

WILLIAM W. TASCHEK, Associate Professor, Department of Philosophy, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to accept a visiting appointment at Reed College in Portland, Oregon.

ROSE M. HARRIS, Assistant Professor, Department of Women's Studies, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to accept an appointment as a participant in the University of Houston's Research Initiative Program for junior scholars.

Professional Improvement Leaves

OLLI H. TUOVINEN, Professor, Department of Microbiology, effective Autumn Quarter 2000.

ALAN VAN HEUVELEN, Professor, Department of Physics, effective Winter Quarter, Spring Quarter and Autumn Quarter 2001.

T. DAVIS SYDNOR, Professor, School of Natural Resources, effective Winter Quarter and Spring Quarter 2001.

JO M. JONES, Associate Professor, Ohio State University Extension and Department of Human and Community Resource Development, effective Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001.

TERRY J. WILSON, Associate Professor, Department of Geological Sciences, effective Winter Quarter, Spring Quarter, and Summer Quarter 2001.

Professional Improvement Leave – Cancellation

BARBARA M. NEWMAN, Professor, Department of Human Development and Family Science, effective Summer Quarter and Autumn Quarter 2000, and Winter Quarter and Spring Quarter 2001.

Emeritus Titles

ROBERT D. COTTRELL, Department of French and Italian, with the title Professor Emeritus, effective September 1, 2000.

August 30, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

THOMAS F. HECK, University Libraries, with the title Professor Emeritus, effective September 1, 2000.

THOMAS R. KNAPP, Department of Community, Parent-Child and Psychiatric Nursing, with the title Professor Emeritus, effective September 1, 2000.

VERA MALETIC, Department of Dance, with the title Professor Emeritus, effective October 1, 2000.

GEORGE F. MARTIN, JR., Department of Anatomy and Medical Education, with the title Professor Emeritus, effective October 1, 2000.

DONALD L. PALMQUIST, Department of Animal Sciences, with the title Professor Emeritus, effective October 1, 2000.

J. DENNIS POLLACK, Department of Molecular Virology, Immunology and Medical Genetics, with the title Professor Emeritus, effective July 1, 2000.

JANET Z. TARINO, Department of Chemistry (Mansfield Campus), with the title Professor Emeritus, effective January 1, 2001.

CHRISTINE B. VERZAR, Department of History of Art, with the title Professor Emeritus, effective October 1, 2000.

JERROLD R. VOSS, Austin E. Knowlton School of Architecture, with the title Professor Emeritus, effective September 1, 2000.

JACQUES L. ZAKIN, Department of Chemical Engineering, with the title Professor Emeritus, effective September 1, 2000.

CARL P. BOESEL, Department of Pathology, with the title Professor-Clinical Emeritus, effective September 1, 2000.

FRANKLIN R. BANKS, School of Public Health, with the title Associate Professor Emeritus, effective September 1, 2000.

NORMA J. BRUCE, University Libraries, with the title Associate Professor Emeritus, effective October 1, 2000.

GAY N. DANNELLY, University Libraries, with the title Associate Professor Emeritus, effective September 1, 2000.

SARA GARNES, Department of English, with the title Associate Professor Emeritus, effective September 1, 2000.

RALPH E. STEPHENS, Department of Pathology, with the title Associate Professor Emeritus, effective September 1, 2000.

KENNETH E. MCCLURE, Department of Animal Sciences, with the title Assistant Professor Emeritus, effective September 1, 2000.

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center)

July 2000

Amit Agrawal, M.D., Otolaryngology, Attending, 07/01/00-06/30/01
Kenneth A. Beckman, M.D., Ophthalmology, Courtesy, 07/12/00-06/30/01
Abhinav Chandra, M.D., Emergency Medicine, Attending, 07/01/00-06/30/01
Peter Muscarella II, M.D., General Surgery, Attending, 07/01/00-06/30/02
Michael E. Orzo, M.D., Anesthesiology, Attending, 07/01/00-06/30/02
David M. Powell, M.D., Otolaryngology, Attending, 07/01/00-06/30/02
Kara J. Quan, M.D., Cardiology, Fellow 1st Year, 07/01/00-06/30/02
Henry D. Rocco, M.D., Orthopaedic Surgery, Community Affiliate, 07/01/00-06/30/02
Jonathan A. Schaffir, M.D., OB/GYN, Attending, 07/01/00-06/30/02
Bivik Shah, M.D., Plastic Surgery, Attending, 07/01/00-06/30/02
Dan N. Spetie, M.D., Nephrology, Attending, 07/01/00-06/30/02
Victor D. Terebuh, M.D., Anesthesiology, Attending, 07/12/00-06/30/02
Kenneth C. Weiss, Licensed Psychologist, Psychology, Attending/PhD, 01/25/00-06/30/02
Todd E. Whitaker, M.D., Ophthalmology, Attending, 07/01/00-06/30/02
Joel C. Wood, M.D., Family Medicine, Attending, 07/01/00-06/30/02
Sandra J. Wu, M.D., Dermatology, Attending, 07/01/00-06/30/02

Medical Staff Reappointments (The Ohio State University Medical Center)

July 2000

Yuhua Ma, M.D., Psychiatry, PGY-2 Limited, 07/01/00-06/30/02
William B. Malarkey, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Charles J. Manfresca, D.O., Family Medicine, Community Affiliate, 07/01/00-06/30/02
Andrei V. Manilchuk, M.D., General Surgery, PGY-3 Limited, 07/01/00-06/30/02
Unni K. Marar, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Christopher G. Maropis, M.D., Family Medicine, Attending, 07/01/00-06/30/02
John L. Marquardt, M.D., Ophthalmology, Courtesy, 07/01/00-06/30/02
Daniel R. Martin, M.D., Emergency Medicine, Attending, 07/01/00-06/30/02
Edward W. Martin, M.D., Surgical Oncology, Attending, 07/01/00-06/30/02
Jennifer J. Mastruserio, M.D., Pediatrics, Courtesy, 07/01/00-06/30/02
Karen S. McCoy, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Cora F. McNally, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Ali M. Melhem, M.D., Psychiatry, PGY-4 Limited, 07/01/00-06/30/02
W. Scott Melvin, M.D., General Surgery, Attending, 07/01/00-06/30/02
Jerry R. Mendell, M.D., Neurology, Attending, 07/01/00-06/30/02
James A. Menke, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Lori D. Meyers, M.D., Anesthesiology, Attending, 07/01/00-06/30/02
Robert E. Michler, M.D., Cardiothoracic Surgery Attending, 07/01/00-06/30/02
Randy R. Miller, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Carl A. Minning, M.D., Ophthalmology, Courtesy, 07/01/00-06/30/02
Bruce R. Mirvis, M.D., Pediatrics, Courtesy, 07/01/00-06/30/02
James L. Moses, M.D., Ophthalmology, Attending, 07/01/00-06/30/02
Joy L. Mosser, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Link R. Murphy, M.D., Internal Medicine, Courtesy, 07/01/00-06/30/02
Andrew R. Murry, M.D., Internal Medicine, Courtesy, 07/01/00-06/30/02
Amy A. Myers, M.D., Family Medicine, Attending, 07/01/00-06/30/02
Walter J. Mysiw, M.D., Phys. Med. & Rehab. Attending, 07/01/00-06/30/02
Craig A. Nankervis, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Nestor M. Narcelles, M.D., Anesthesiology, Attending, 07/01/00-06/30/02
Malarvizhi Natesan, A.A., Anesthesiology, Non-Licensed Prof., 07/01/00-06/30/02
Richard N. Nelson, M.D., Emergency Medicine, Attending, 07/01/00-06/30/02
Anthony S. Neri, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

July 2000 (contd)

Gregory M. Ness, D.D.S., Dentistry, Attending, 07/01/00-06/30/02
Phillip T. Nowicki, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Timothy P. Obarski, D.O., Cardiology, Community Affiliate, 07/01/00-06/30/02
Nathan J. O'Dorisio, M.D., Internal Medicine/Peds, PGY-2 Limited, 07/01/00-06/30/02
Daniel G. Rowland, M.D., Pediatrics, Courtesy, 07/01/00-06/30/02
David A. Ruedrich, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02
John S. Russ, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02
Frederick B. Ruymann, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Alan R. Sacolick, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02
Akram Sadaka, M.D., Family Medicine, Attending, 07/01/00-06/30/02
Bhagwan Satiani, M.D., Surgery, Courtesy, 07/01/00-06/30/02
George A. Sawaya, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02
Karl I. Schaeffer, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02
Douglas W. Scharre, M.D., Neurology, Attending, 07/01/00-06/30/02
David G. Schwegman, M.D., Emergency Medicine, PGY-2 Limited, 07/01/00-06/30/02
Daniel D. Sedmak, M.D., Pathology, Attending, 07/01/00-06/30/02
Niranjan T. Shah, M.D., Pathology, Courtesy, 07/01/00-06/30/02
Charles L. Shapiro, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Phillip J. Shubert, M.D., OB/GYN, Attending, 07/01/00-06/30/02
Robert T. Sickles, M.D., Family Medicine, Attending, 07/01/00-06/30/02
Ronald J. Siegle, M.D., Otolaryngology, Attending, 07/01/00-06/30/02
John H. Sirak, M.D., General Surgery, PGY-5 Limited, 07/01/00-06/30/02
H. Wayne Slone, M.D., Neuroradiology, Attending, 07/01/00-06/30/02
William L. Smead, M.D., Vascular Surgery, Attending, 07/01/00-06/30/02
David A. Smeltzer, D.D.S., Dentistry, Courtesy, 07/01/00-06/30/02
James H. Smith, M.D., Internal Medicine, Courtesy, 07/01/00-06/30/02
Phillip P. Smith, M.D., OB/GYN, Community Affiliate, 07/01/00-06/30/02
Jerry D. Smucker, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Warren M. Sobol, M.D., Ophthalmology, Courtesy, 07/01/00-06/30/02
Howard H. Sokolov, M.D., Psychiatry, Attending, 07/01/00-06/30/02
Annemarie Sommer, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Jiri D. Sonek, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02
John P. Sotos, M.D., Pediatrics, Courtesy, 07/01/00-06/30/02
Juan F. Sotos, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Fred S. Stockinger, M.D., Surgery, Attending, 07/01/00-06/30/02
Uma Sundaram, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Joseph F. Swan, M.D., Anesthesiology, Courtesy, 07/01/00-06/30/02
Vasanthy V. Thavendrarajah, M.D., Internal Medicine, Courtesy, 07/01/00-06/30/02
Karl S. Theil, M.D., Pathology, Attending, 07/01/00-06/30/02
Rodney L. Tomczak, D.P.M., Orthopaedic Surgery, Attending, 07/01/00-06/30/02
Lynne Ann B. Torello, M.D., Family Medicine, Community Affiliate, 07/01/00-06/30/02
Marlin F. Troiano, D.D.S., Dentistry, Attending, 07/01/00-06/30/02
Timothy S. Troiano, D.D.S., Dentistry, Attending, 07/01/00-06/30/02
Wayne C. Trout, M.D., OB/GYN, Attending, 07/01/00-06/30/02
Leslie P. Turner, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02
Adam C. Tzagournis, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Glen M. Updike, M.D., OB/GYN, PGY-2 Limited, 07/01/00-06/30/02
Peter J. Utrata, M.D., Ophthalmology, Attending, 07/01/00-06/30/02
Luis Vaccarello, M.D., OB/GYN, Courtesy, 07/01/00-06/30/02
David M. Vaziri, M.D., Orthopaedic Surgery, Attending, 07/01/00-06/30/02
Kenneth M. Vitellas, M.D., Radiology, Attending, 07/01/00-06/30/02
Michael D. Waite, M.D., Emergency Medicine, Attending, 07/01/00-06/30/02
Dian Wang, M.D., Radiology Oncology, PGY-4 Limited, 07/01/00-06/30/02

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

July 2000 (contd)

Daijie Wang, M.D., Anesthesiology, PGY-4 Limited, 07/01/00-06/30/02
Ray C. Wasielewski, M.D., Orthopaedic Surgery, Attending, 07/01/00-06/30/02
Paul A. Weber, M.D., Ophthalmology, Attending, 07/01/00-06/30/02
Jeffrey E. Weiland, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Samuel Weinstein, M.D., Cardiothoracic Surgery, Attending, 07/01/00-06/30/02
Michael B. Weinstock, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Howard A. Werman, M.D., Emergency Medicine, Attending, 07/01/00-06/30/02
Judith A. Westman, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Ronald L. Whisler, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Steven R. Williams, M.D., OB/GYN, Attending, 07/01/00-06/30/02
Thomas E. Williams, M.D., Cardiothoracic Surgery, Attending, 07/01/00-06/30/02
Denise R. Williams, M.D., Family Medicine, Community Affiliate, 07/01/00-06/30/02
John J. Winsch, M.D., Family Medicine, Community Affiliate, 07/01/00-06/30/02
Randall K. Wolf, M.D., Cardiothoracic Surgery, Attending, 07/01/00-06/30/02
Bruce E. Woodworth, M.D., Urologic Surgery, Attending, 07/01/00-06/30/02
Frank W. Yoder, M.D., Internal Medicine, Courtesy, 07/01/00-06/30/02
Michael Han Young, M.D., IM/Pediatrics, PGY-2 Limited, 07/01/00-06/30/02
Joseph S. Yu, M.D., Radiology, Attending, 07/01/00-06/30/02
Hong Zhang, M.D., Radiology Oncology PGY-6 Limited, 07/01/00-06/30/02

Request for Additional Privileges

July 2000

Amit Agrawal, M.D., Otolaryngology, CO2 Laser, 07/01/00-06/30/01
Daniel Martin, M.D., Emergency Medicine, Hyperbaric Medicine, Conscious Sedation, 07/01/00-06/30/02
Robert Michler, M.D., Cardiothoracic Surgery, Surgical Yag Lasers, Excimer Lasers, 07/01/00-06/30/02
Carl Minning, M.D., Ophthalmology, Ophthalmic Yag Lasers, Argon Lasers, 07/01/00-06/30/02
James Moses, M.D., Ophthalmology, Ophthalmic Yag, CO2 Lasers, 07/01/00-06/30/02
Peter Muscarella, M.D., General Surgery, EGD; Flexible Sigmoidoscopy; Percutaneous Endoscopic Gastrostomy (PEG), 07/01/00-06/30/02
Nestor Narcelles, M.D., Anesthesiology, Conscious Sedation, 07/01/00-06/30/02
Richard N. Nelson, M.D., Emergency Medicine, Conscious Sedation, Hyperbaric Medicine, 07/01/00-06/30/02
Gregory Ness, M.D., Oral/Maxillofacial Surgery, Conscious Sedation, 07/01/00-06/30/02
Michael Orzo, M.D., Anesthesiology, Conscious Sedation, 07/01/00-06/30/02
John Pajka, M.D., Ophthalmology, Ophthalmic Yag Lasers, Argon Lasers, 07/01/00-06/30/02
Karl Pappa, M.D., Ophthalmology, Argon Lasers, Ophthalmic Yag Lasers, 07/01/00-06/30/02
Dominic Peterre, PA-C, Cardiothoracic, Endoscopic Vein Harvesting for Physician Assistants, 07/01/00-06/30/02
Larry Peterson, M.D., Dentistry, Conscious Sedation, 07/01/00-06/30/02
David Powell, M.D., Otolaryngology, CO2 Lasers; Surgical Yag Lasers; Argon Lasers; Pulsed Dye Lasers, 07/01/00-06/30/02
Alan Rehmar, M.D., Ophthalmology, Argon and Ophthalmic Yag Laser, 07/01/00-06/30/02
Gary Reid, M.D., Obstetrics & Gynecology, CO2 Lasers, 07/01/00-06/30/02
Paul Ruff, M.D., Cardiology, Conscious Sedation, 07/01/00-06/30/02
Jonathan Schaffir, M.D., Obstetrics & Gynecology, CO2 Lasers; Intrauterine Balloon Hyperthermia; Laparoscopy, 07/01/00-06/30/02
Ronald Siegle, M.D., Otolaryngology, MOHS Micrographic Surgery, Dermatological Surgery, Liposuction Surgery, 07/01/00-06/30/02
David Smeltzer, D.D.S., Dentistry, Conscious Sedation, 07/01/00-06/30/02

August 30, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Request for Additional Privileges (contd)

July 2000 (contd)

Victor Terebuh, M.D., Anesthesiology, Conscious Sedation, 07/01/00-06/30/02
Wayne Trout, M.D., Obstetrics & Gynecology, Intrauterine Balloon Hyperthermia; CO2 Lasers; Laparoscopy, 07/01/00-06/30/02
Leslie Turner, M.D., Obstetrics & Gynecology, Laparoscopy, 07/01/00-06/30/02
Adam Tzagournis, M.D., Gastroenterology, Conscious Sedation, 07/01/00-06/30/02
Peter Utrata, M.D., Ophthalmology, Ophthalmic Yag Lasers, 07/01/00-06/30/02
Howard Werman, M.D., Emergency Medicine, Conscious Sedation Hyperbaric Medicine, 07/01/00-06/30/02
Paul Weber, M.D., Ophthalmology, Ophthalmic Yag Lasers; Argon Lasers; Surgical Yag; Diode Lasers, 07/01/00-06/30/02
Randall Wolf, M.D., Cardiothoracic Surgery, Vascular Surgery, CO2 Lasers, 07/01/00-06/30/02

Medical Staff Appointments (The Ohio State University Hospitals East)

July 2000

Ricardo B. Barboza, M.D., Radiology, Active, 07/01/00-06/30/01
Melissa A. Baujan, M.D., Radiology, Active, 07/01/00-06/30/01
Kevin R. Berry, M.D., Internal Medicine, Active, 06/30/00-06/30/01
Eric C. Bourekas, M.D., Radiology, Active, 07/01/00-06/30/01
David M. Caldwell, M.D., Anesthesiology, Limited, 07/17/00-06/30/01
Donald W. Chakeres, M.D., Radiology, Active, 07/01/00-06/30/01
Abhinav Chandra, M.D., Emergency Medicine, Active, 06/30/00-06/30/01
Greg A. Christoforidis, M.D., Radiology, Active, 07/01/00-06/30/01
Tracey A. Culbertson, M.D., Surgery, PGY-3 Limited, 07/17/00-06/30/01
Marcella Dardani, D.O., Radiology, Active, 07/01/00-06/30/01
Amy S. Gewirtz, M.D., Pathology, Active, 07/17/00-06/30/01
Lisa U. Haller, M.D., Internal Medicine, Consulting, 07/01/00-06/30/01
James Laffey, D.O., Radiology, Active, 07/01/00-06/30/01
W. Scott Melvin, M.D., Surgery, Active, 07/19/00-06/30/02
Robert E. Michler, M.D., Surgery, Active, 07/17/00-06/30/02
Johannes O. Olsen, M.D., Radiology, Active, 07/01/00-06/30/02
Michael E. Orzo, M.D., Anesthesiology, Active, 07/01/00-06/30/02
Maria I. Periquet, M.D., Neurology, Consulting, 07/17/00-06/30/02
John L. Robinson, M.D., Cardiology, Active, 04/17/00-06/30/02
Jonathan A. Schaffir, M.D., Surgery, Active, 07/01/00-06/30/02
Matthew L. Schlossberg, M.D., Anesthesiology, PGY-3 Limited, 07/17/00-06/30/02
Bivik Shah, M.D., Surgery, Active, 07/17/00-06/30/02
Dan N. Spetie, M.D., Internal Medicine, Consulting, 07/17/00-06/30/02
Victor D. Terebuh, M.D., Anesthesiology, Active, 07/17/00-06/30/02
Kuldeep K. Vaswani, M.D., Ph.D., Radiology, Active, 07/01/00-06/30/02
Albert C. Winfield II, M.D., Family Practice, Active, 07/17/00-06/30/02
Joel C. Wood, M.D., Family Practice, Active, 07/01/00-06/30/02
Christopher L. Yerington, M.D., Anesthesiology, PGY-3 Limited, 07/17/00-06/30/02

Medical Staff Reappointments (The Ohio State University Hospitals East)

July 2000

Joel L. Frazier, M.D., Musculoskeletal Diseases, Provisional, 07/01/00-07/30/00
April L. Mancuso, Ph.D., Internal Medicine, Courtesy, 07/01/00-06/30/02
Michael J. Meleca, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Jerry R. Mendell, M.D., Internal Medicine, Active, 07/01/00-06/30/02

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

July 2000 (contd)

Lori D. Meyers, M.D., Anesthesiology, Active, 07/01/00-06/30/02
William R. Miely, M.D., Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Donald W. Miller, P.A., Musculoskeletal Diseases, Allied Health Prof., 07/01/00-06/30/02
Donnalyn Moeller, D.P.M., Musculoskeletal Diseases, Consulting, 07/01/00-06/30/02
Vijendra S. Mohan, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Nestor M. Narcelles, M.D., Anesthesiology, Active, 07/01/00-06/30/02
Malarvizhi Natesan, A.A., Anesthesiology, Allied Health Prof., 07/01/00-06/30/02
James H. Nelson III, M.D., Surgery, Active, 07/01/00-06/30/02
Kurt A. Neltner, M.D., Emergency Medicine, Active, 07/01/00-06/30/02
Remus T. Nervez, M.D., Family Practice, Active, 07/01/00-06/30/02
Doug K. Nicholson, D.O., Family Practice, Limited, 07/01/00-06/30/02
Jawahar Palaniappan, M.D., Internal Medicine, Courtesy, 07/01/00-06/30/02
Rajendra Patel, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Lee D. Pearlman, D.P.M., Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Benita M. Petri, M.D., Family Practice, Active, 07/01/00-06/30/02
Robert R. Pickering, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Andrew L. Reeves, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Stephen R. Richards, M.D., Surgery, Courtesy, 07/01/00-06/30/02
William B. Roberts, M.D., Family Practice, Active, 07/01/00-06/30/02
Fred P. Romeo, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Patrick Ross, Jr., M.D., Ph.D., Surgery, Active, 07/01/00-06/30/02
Susan Kasubick Rothrauff, P.A., Surgery, Allied Health Prof., 07/01/00-06/30/02
Richard A. Roy, M.D., Surgery, Active, 07/01/00-06/30/02
George M. Rutan, D.P.M., Musculoskeletal Diseases, Courtesy, 07/01/00-06/30/02
Akram Sadaka, M.D., Family Practice, Active, 07/01/00-06/30/02
Gautam Samadder, M.D., Internal Medicine, Consulting, 07/01/00-06/30/02
Mervin J. Samuel, M.D., Surgery, Courtesy, 07/01/00-06/30/02
Richard E. Schlanger, M.D., Surgery, Active, 07/01/00-06/30/02
Gregory T. Schulte, M.D., Anesthesiology, Active, 07/01/00-06/30/02
Norman A. Schwartz, M.D., Family Practice, Active, 07/01/00-06/30/02
John R. Schwarzell, M.D., Surgery, Active, 07/01/00-06/30/02
Daniel D. Sedmak, M.D., Pathology, Active, 07/01/00-06/30/02
Reuben A. Sheares, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Sondra A. Shellman-White, M.D., Emergency Medicine, Active, 07/01/00-06/30/02
Randall S. Slaybaugh, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Robert L. Sliwinski, D.O., Family Practice, Active, 07/01/00-06/30/02
John M. Slomsky, D.P.M., Musculoskeletal Diseases, Courtesy, 07/01/00-06/30/02
H. Wayne Slone, M.D., Radiology, Active, 07/01/00-06/30/02
William L. Smead, M.D., Surgery, Active, 07/01/00-06/30/02
James H. Smith, M.D., Internal Medicine, Active, 07/01/00-06/30/02
T. Wynn Smith, M.D., Surgery, Courtesy, 07/01/00-06/30/02
Jaime C. Smith e Incas, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Jerry D. Smucker, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Joseph F. Swan, M.D., Anesthesiology, Active, 07/01/00-06/30/02
Karl S. Theil, M.D., Pathology, Consulting, 07/01/00-06/30/02
Rodney L. Tomczak, D.P.M., Musculoskeletal Diseases, Courtesy, 07/01/00-06/30/02
Robert C. Treadwell, D.O., Internal Medicine, Active, 07/01/00-06/30/02
Victor A. Trianfo, Jr., D.O., Emergency Medicine, Active, 07/01/00-06/30/02
Marc Allen Trzeciak, D.O., Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Adam C. Tzagournis, M.D., Internal Medicine, Active, 07/01/00-06/30/02
David M. Vaziri, M.D., Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Michael D. Waite, M.D., Emergency Medicine, Active, 07/01/00-06/30/02
Eric S. Ward, M.D., Surgery, Active, 07/01/00-06/30/02

August 30, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

July 2000 (contd)

Ray C. Wasielewski, M.D., Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Paul A. Weber, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Lawrence D. Weis, M.D., Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Samuel A. Wenger, Ph.D., Internal Medicine, Courtesy, 07/01/00-06/30/02
Howard A. Werman, M.D., Emergency Medicine, Active, 07/01/00-06/30/02
Mark A. White, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Denise R. Williams, M.D., Family Practice, Active, 07/01/00-06/30/02
Michael A. Wodarczyk, M.D., Surgery, Active, 07/01/00-06/30/02

Request for Additional Privileges

July 2000

Joseph Swan, M.D., Anesthesiology, Epidural & intrathecal intraspinal, placement; conscious sedation, 07/01/00-06/30/02
Robert C. Treadwell, D.O., Internal Medicine, Insertion of temporary pacemaker, 07/01/00-06/30/02

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

June/July 2000

Initial Appointments – Faculty

Amit Agrawal, M.D., Otolaryngology, Assistant Professor, Associate Attending
Spero R. Cataland, M.D., Hematology/Oncology, Assistant Professor, Attending
Amy S. Gewirtz, M.D., Pathology, Clinical Assistant Professor, Associate Attending
Lisa U. Haller, M.D., Nephrology, Clinical Assistant Professor, Associate Attending
Peter Muscarella, II, M.D., General Surgery, Clinical Assistant Professor, Associate Attending
Anterpreet S. Neki, M.D., Hematology/Oncology, Clinical Assistant Professor, Attending
Michael E. Orzo, M.D., Anesthesiology, Clinical Assistant Professor, Associate Attending
David M. Powell, M.D., Otolaryngology, Assistant Professor, Associate Attending
Dan N. Spetie, M.D., Internal Medicine, Nephrology, Clinical Assistant Professor, Associate Attending
Bryan C. Tagge, M.D., Otolaryngology, Clinical Assistant Professor, Associate Attending
Gayle Gordillo, M.D., Plastic Surgery, Assistant Professor, Associate Attending
Kevin R. Krause, M.D., General Surgery, Clinical Assistant Professor, Associate Attending
Jonathan A. Schaffir, M.D., Obstetrics and Gynecology, Clinical Assistant Professor, Associate Attending
Bivik R. Shah, M.D., Plastic Surgery, Clinical Assistant Professor, Associate Attending
Victor D. Terebuh, M.D., Anesthesiology, Assistant Clinical Professor, Associate Attending

Initial Appointments – Community

Tajuddin Ahmed, M.D., Cardiology, Community Associate
Ramalingam Selvarajan, M.D., Family Practice, Community Associate

Initial Appointments – Advanced Practice Nurses

Marjorie A. Anderson, R.N., C.N.S.
Charles Gary Baker, C.R.N.A.
Mark G. Janorschke, C.R.N.A.

August 30, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

June/July 2000 (contd)

Initial Appointments – Advanced Practice Nurses (contd)

Karen Rice, C.R.N.A.

Provisional to Full Appointment – Faculty

Susan Mallery, D.D.S., Ph.D., Associate Attending, Dentistry
Margaret Nuovo, M.D., Associate Attending, Pathology
Katja Turner, M.D., Associate Attending, Anesthesiology

Provisional to Full Appointment – Advanced Practice Nurses

Susan Ezzone, R.N., C.N.P.
Lisa Kemp, R.N., C.N.P.
Holly Loughlin, R.N., C.N.P.
Jon Mickle, R.N., C.N.P.

Provisional to Full Appointment – Physician's Assistants

John Goodhart, P.A.
Dominic Peterre, P.A.
Susan Rothrauff, P.A.

Reappointment – Faculty (for term 7/1/00 – 6/30/02)

Anesthesiology:

Lori Meyers, M.D., Associate Attending
Nestor Narcelles, M.D., Associate Attending
Barbara Rogers, M.D., Associate Attending

Dentistry:

Gregory M. Ness, D.D.S., Associate Attending
Larry Peterson, D.D.S., Associate Attending
Marlin Troiano, D.D.S., Associate Attending

Emergency Medicine:

Richard N. Nelson, M.D., Associate Attending

Family Medicine:

Robert T. Sickles, M.D., Associate Attending
MaryJo P. Welker, M.D., Associate Attending

Internal Medicine, Cardiology:

Steven Nelson, M.D., Associate Attending
John L. Robinson, M.D., Associate Attending
James M. Ryan, M.D., Associate Attending
Stephen F. Schaal, M.D., Associate Attending

Internal Medicine, Dermatology:

Arthur L. Pellegrini, M.D., Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Reappointment - Faculty (for term 7/1/00 – 6/30/02) (contd)

Internal Medicine, Endocrinology:

William B. Malarkey, M.D., Associate Attending
Kwame Osei, M.D., Associate Attending
Dara P. Schuster, M.D., Associate Attending
Manuel Tzagournis, M.D., Associate Attending

Internal Medicine, Digestive Diseases:

Tasos Manokas, D.O., Associate Attending
Hagop Mekhjian, M.D., Associate Attending
Sheryl A. Pfeil, M.D., Associate Attending
Adam Tzagournis, M.D., Associate Attending

Internal Medicine, General Medicine:

Unni Marar, M.D., Associate Attending
Louis M. Nardella, M.D., Associate Attending
Geoffrey Vaughan, M.D., Associate Attending
Harrison Weed, M.D., Associate Attending

Internal Medicine, Hematology/Oncology:

Guido Marcucci, M.D., Attending
Gregory Otterson, M.D., Attending
Sam L. Penza, M.D., Attending
Pierluigi Porcu, M.D., Attending
Chris Rhoades, M.D., Attending
Arthur L. Sagone, M.D., Attending
Manisha H. Shah, M.D., Attending
Charles L. Shapiro, M.D., Attending
Michael J. Stanek, D.O., Attending
Pierre Triozzi, M.D., Attending
Judith Westman, M.D., Associate Attending

Internal Medicine, Infectious Diseases:

Julie Mangino, M.D., Associate Attending

Internal Medicine, Nephrology:

Norris Nahman, M.D., Associate Attending
Brad Rovin, M.D., Associate Attending

Internal Medicine, Pulmonary:

Clay Marsh, M.D., Associate Attending
Amy Pope-Harman, M.D., Associate Attending
Jeffrey Weiland, M.D., Associate Attending
Mark D. Wewers, M.D., Associate Attending

Internal Medicine, Rheumatology:

Ronald Whisler, M.D., Associate Attending

Neurology:

Herbert Newton, M.D., Attending
Andrew Slivka, M.D., Associate Attending
Zarife Sahenk, M.D., Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Reappointment - Faculty (for term 7/1/00 – 6/30/02) (contd)

OB-GYN:

Richard O'Shaughnessy, M.D., Associate Attending
Stephen Richards, M.D., Associate Attending
Philip Samuels, M.D., Associate Attending
George A. Sawaya, M.D., Associate Attending
Cynthia S. Shellhaas, M.D., Associate Attending
Luis Vaccarello, M.D., Associate Attending

Ophthalmology:

Thomas Mauger, M.D., Associate Attending
Paul A. Weber, M.D., Associate Attending

Otolaryngology:

Ronald J. Siegle, M.D., Clinical Attending

Pathology:

Daniel Sedmak, M.D., Associate Attending
Niranjan Shah, M.D., Associate Attending
Arwa Y. Shana'ah, M.D., Associate Attending
Hari Sharma, M.D., Associate Attending
Karl S. Theil, M.D., Associate Attending
Allan J. Yates, M.D., Associate Attending

Physical Medicine & Rehabilitation:

William S. Pease, M.D., Associate Attending

Radiology:

Charles Mueller, M.D., Associate Attending
Johannes O. Olsen, M.D., Associate Attending
Rodney V. Pozderac, M.D., Associate Attending
Dimitrios G. Spigos, M.D., Associate Attending

Radiation Oncology:

Subir Nag, M.D., Attending

Surgery, General Surgery:

Larry C. Martin, M.D., Associate Attending
W. Scott Melvin, M.D., Associate Attending
Bradley Needleman, M.D., Associate Attending

Surgery, Neurologic:

John McGregor, M.D., Associate Attending
Larry Mervis, M.D., Associate Attending
Michael Miner, M.D., Ph.D., Associate Attending
Garl L. Rea, M.D., Associate Attending

Surgery, Pediatric:

Steven Teich, M.D., Associate Attending

Surgery, Oncology:

Edward W. Martin, M.D., Attending
Michael J. Walker, M.D., Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Reappointment - Faculty (for term 7/1/00 – 6/30/02) (contd)

Surgery, Thoracic:

Patrick Ross, M.D., Ph.D., Attending
Thomas Williams, Jr., M.D., Associate Attending
Robert Michler, M.D., Associate Attending

Surgery, Transplant:

Ronald P. Pelletier, M.D., Associate Attending

Surgery, Urologic :

Bruce Woodworth, M.D., Associate Attending

Surgery, Vascular:

James C. Tober, M.D., Associate Attending

Reappointment – Community (for term 7/1/00 – 6/30/02)

Richard Nedelman, M.D., General Surgery
Abdur Rauf, M.D., Digestive Diseases
Surender Neravetla, M.D., Cardiothoracic Surgery
Siva Thanamayooran, M.D., General Internal Medicine
Thomas Williams, M.D., Emergency Medicine
Elizabeth Marsh, M.D., General Internal Medicine
Ajaz Umerani, M.D., General Internal Medicine
Timothy Mortin, M.D., Emergency Medicine
Kira Schofield, M.D., General Surgery
George Varghese, M.D., Nephrology
Dennis Sullivan, M.D., Neurology
David Monjot, M.D., Pulmonary
Mujeeb Ranginwala, M.D., Rheumatology
Robert Scott, M.D., General Internal Medicine
Kumar Mukerjee, M.D., Digestive Diseases
Khairat Uddin, M.D., General Internal Medicine
Ranjit Singh, M.D., General Surgery
Lofton Misick, M.D., Cardiothoracic Surgery
Ganesh Potdar, M.D., Radiation Oncology
Joseph Morman, M.D., Family Practice
Howard Wunderlich, M.D., Infectious Diseases
William Vazquez-Choisne, M.D., Radiology
Martin Morin, M.D., Radiology
Peter Muir, M.D., Family Practice
John Sutter, M.D., Family Practice
Peter Wittstein, M.D., Ophthalmology
Moin Ranginwala, M.D., Pulmonary
Daljeet Singh, M.D., Hematology/Oncology
Thomas Rak, M.D., Plastic Surgery
Jeffrey Weinstein, M.D., Infectious Diseases
Toni Sublett, M.D., General Internal Medicine
Richard Marsh, M.D., Pathology
Carolyn Misick, M.D., Pathology
Marvin Narcelles, M.D., General Internal Medicine
Charles Parsons, M.D., Neurological Surgery
Richard Potts, M.D., Family Practice

August 30, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Reappointment – Community (for term 7/1/00 – 6/30/02) (contd)

Peter Wagner, M.D., Vascular Surgery
Mark Roberto, M.D., Family Practice
Steven Patterson, M.D., Obstetrics and Gynecology
Akber Mohammed, M.D., Cardiology
Talal Zraik, M.D., Infectious Disease

Reappointment – Advanced Practice Nursing (for term 7/1/00 – 6/30/02)

Patrice Rancour, R.N., C.N.S.

Reappointment – Physician's Assistants (for term 7/1/00 – 6/30/02)

Dominic Peterre, P.A.
Susan Rothrauff, P.A.

RESOLUTIONS IN MEMORIAM

Resolution No. 2001-28

Synopsis: Approval of three Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Leon M. Dorfman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 24, 2000, of Dr. Leon M. Dorfman, Professor Emeritus in the Department of Chemistry.

Professor Dorfman was a native of Winnipeg, Canada. After he received the D.Sc. from the University of Manitoba in 1944, he entered the University of Toronto as a National Research Council Scholar, receiving the M.A. degree in 1945 and the Ph.D. degree in 1947.

His professional positions included: Research Associate, General Electric Company, 1950-57; Senior Chemist, Argonne National Laboratory, 1957-1964; Professor of Chemistry, The Ohio State University, 1964-1985 and Chairperson, 1968-1977; Professor of Chemistry, University of Toronto, 1966-67; and Visiting Research Scientist, Hebrew University of Jerusalem, 1969. Upon his retirement in 1985, he was named Professor Emeritus

After distinguished service as Senior Chemist at the Argonne National Laboratory, Professor Dorfman joined The Ohio State University's Department of Chemistry, where he established a pulse radiolysis laboratory and continued his fundamental, landmark studies. He was a highly-respected world authority on pulse radiolysis. During his tenure at Ohio State, Professor Dorfman was twice selected to be chairperson of the Department of Chemistry. His terms in office were characterized by bold, effective leadership and growth.

Leon Dorfman's professional activities included service on editorial boards of internationally recognized technical journals and as a committee member of prestigious organizations such as the National Academy Advisory Committee on Food Irradiation Research; Review Committee, Radiation Laboratory, University of Notre Dame; and the Review Committee, Brookhaven National Laboratory, Chemistry.

August 30, 2000 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

Leon M. Dorfman (contd)

Among his honors and awards were The J. W. T. Spinks Lectures, University of Saskatchewan, 1981; the Distinguished Research Award, The Ohio State University, 1983; the American Chemical Society, Columbus Section Award, 1983; and a Guggenheim Fellow at the Royal Institute of Great Britain, 1971.

On behalf of the University community, the Board of Trustees expresses to the family, friends, and colleagues of Professor Leon M. Dorfman its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Irma L. Eglitis

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 18, 2000, of Dr. Irma L. Eglitis, Professor Emeritus in the Department of Anatomy and Medical Education.

Dr. Eglitis was born in Riga, Latvia, in 1907, and received her Doctor of Medicine degree, magna cum laude, from the University of Latvia Faculty of Medicine in 1931. She was engaged in private medical practice in the specialty of dermatology, in Riga, Latvia, from 1931-1944 and in Schleswig, Germany, from 1945-1950. While in practice, she also was a junior instructor in gross anatomy at the University of Latvia from 1936-1937. In 1944-1945, she was offered a position as an instructor in gross anatomy at the Ernst Moritz Arndt University, Faculty of Medicine, Greifswald, Germany.

Dr. Eglitis assumed a research assistant position at The Ohio State University Department of Anatomy in 1951-1952. She attained the rank of professor in 1967 and remained on the faculty until her retirement in 1978.

Irma Eglitis taught human gross anatomy to medical, dental, and graduate students for more than 30 years both here and abroad. She also taught a special course on the eye for the residents in the Department of Ophthalmology at The Ohio State University. On several occasions, the students recognized Dr. Eglitis for her teaching.

Dr. Eglitis was a member of several honorary, research, and professional societies and organizations, nationally and internationally. She was very active in the American Medical Women's Association and the Columbus Medical Women's Association. She attended the First Latvia World Congress in Medicine in 1989 and, in 1993 she was recognized and received an honorary diploma from the Latvian Medical Association.

Irma Eglitis had a number of interests. She enjoyed her flower gardens, and was an avid reader and world traveler. She was particularly fond of her German Shepherd dogs.

On behalf of the University community, the Board of Trustees expresses to the family, friends, and colleagues of Professor Irma L. Eglitis its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Herbert J. Oyer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 22, 2000, of Dr. Herbert J. Oyer, Professor Emeritus in the Department of Speech and Hearing Science.

RESOLUTIONS IN MEMORIAM (contd)

Herbert J. Oyer (contd)

Dr. Oyer was a native of Groveland, Illinois. He graduated from Bluffton College and received a master's degree from Bowling Green State University, and his Ph.D. from The Ohio State University. Dr. Oyer served in the U.S. Army during World War II.

Dr. Oyer was a professor at Florida State University, Michigan State University, and in the Department of Speech and Hearing Science at The Ohio State University. He was dean of the college and dean of the Graduate School at Michigan State University, where he received a distinguished faculty award. A building on the Michigan State campus, the Herbert J. Oyer Speech, Language, and Hearing Clinic, was dedicated in his honor. Dr. Oyer was an assistant professor in the Department of Speech and Hearing Science at The Ohio State University from 1950-1955, leaving for Michigan State. He returned to Ohio State in 1982, chairing the department from 1984 until his retirement in 1986. The conference room in Pressey Hall was dedicated to honor Dr. Oyer.

The author of many papers, journal articles, and presentations, Dr. Oyer authored or co-authored 10 textbooks. He also authored *Pastor Amos: Tragedy and Triumph*, a biography about his father. Dr. Oyer was recognized in the area of audiological rehabilitation and contributed to rehabilitation efforts that improved the lives of people with hearing loss and the lives of their families. He was an internationally recognized authority in rehabilitative audiology and presented at conferences worldwide. He was also advisor and mentor to many graduate students, many of whom now serve in distinguished positions around the globe. As would be expected, Dr. Oyer was the recipient of numerous prestigious awards from his profession, including honors of the American Speech-Language-Hearing Association.

Dr. Oyer was a man of many interests, including music, golf, swimming, and travel. He was active in service to a number of professional organizations and also in service to his church. He was a kind and gentle man with a keen wit and charming sense of humor.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Herbert J. Oyer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-29

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for June and July 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of June and July 2000 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-30

Synopsis: The report on the receipt of gifts and the summary for June 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of twenty-two (22) new named endowed funds and the amendment to three (3) endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of June 2000 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July-June
1998-99 Compared to 1999-00

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through June		
	<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$ 37,010,159	\$ 28,366,106	(23)
Alumni (From Bequests)	3,796,975	9,162,620	141
Alumni Total	\$ 40,807,134	\$ 37,528,726	(8)
Non-Alumni (Current Giving)	\$ 13,093,571	\$ 21,439,562	64
Non-Alumni (From Bequests)	6,258,084	5,967,890	(5)
Non-Alumni Total	\$ 19,351,655	\$ 27,407,452	42
Individual Total	\$ 60,158,789	\$ 64,936,178	8 ^A
Corporations/Corporate Foundations	\$ 61,949,492	\$ 64,245,463	4
Private Foundations	\$ 14,597,933	\$ 23,290,835	60 ^B
Associations and Other Organizations	\$ 16,730,997	\$ 21,856,414	31 ^C
Total	\$153,437,211	\$174,328,890	14

NOTES

- A Individual giving at the \$10,000 or more level is up 3% for this year (\$43.4 million from 532 gifts this year; \$42.2 million from 439 gifts last year).
- B Foundation giving at the \$10,000 or more level is up 79% so far this year (\$22.1 million from 253 gifts this year; \$12.5 million from 174 gifts last year).
- C Other organizations and associations have given about \$4.0 million more at the \$10,000 or more level so far this year compared with last year.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-June
1998-99 Compared to 1999-00

GIFT RECEIPTS BY PURPOSE

	Dollars July through June		
	<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$ 26,189,182	\$ 22,980,320	(12)
Faculty Support	\$ 12,763,491	\$ 21,504,609	68
Program Support	\$ 89,882,438	\$104,225,327	16
Student Financial Aid	\$ 14,093,892	\$ 15,400,001	9
Annual Funds-Colleges/Departments	\$ 8,106,063	\$ 8,394,841	4
Annual Funds-University	<u>\$ 2,402,145</u>	<u>\$ 1,823,792</u>	(24)
Total	\$153,437,211	\$174,328,890	14

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through June

<u>1998-99</u>	<u>1999-00</u>	<u>% Change</u>
\$43,053,919	\$47,799,201	11

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Edgar and Elizabeth K. Dale Endowment Fund for Excellence in Education (Dean's discretionary funds for College of Education; provided by gifts from Edgar Dale, Elizabeth K. Dale, Dorothy Sherwood and Richard Dale) (Grandfathered)	\$22,436.00		\$22,436.00
Jackson County 4-H Endowment Fund (Program Support for Jackson County 4-H; provided by gifts from friends of Jackson County 4-H) (Grandfathered)	\$21,250.00		\$21,250.00

Change in Description of Named Endowed Fund

The Maria A. Melnyk Franks Memorial Scholarship Fund

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds

From: The Wilhelm and Eleanor Beckert Student Loan and Fellowship Endowment

To: The Wilhelm and Eleanor Beckert Scholarship Fund

From: The Townsend Scholarship Fund

To: The James and Patricia Townsend Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The William E. Hunt, M.D. and Charlotte M. Curtis Neuroscience Endowment Fund (Support for growth and development of neuroscience within the College of Medicine and Public Health; provided by gifts from the Charlotte Curtis Hunt Living Trust in memory of William E. Hunt, M.D. and Charlotte M. Curtis)		\$2,000,000.00	\$2,000,000.00
The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in French and Italian (Fellowships, grants, and awards – Department of French and Italian; provided by gifts from the estate of Eleanor Bulatkin)		\$204,583.69	\$204,583.69
The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in Spanish and Portuguese (Fellowships and awards – Department of Spanish and Portuguese; provided by gifts from the estate of Eleanor Bulatkin)		\$204,583.68	\$204,583.68
Janis and Michael Bloch Cancer Research Endowment Fund (Support for cancer research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from Janis and Michael Bloch)		\$100,000.00	\$100,000.00
The Julius F. Stone Chair Fund in Cancer Research (Support for cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from Dr. and Mrs. Franz T. Stone II)		\$38,026.01	\$28,026.01

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Yoder Athletic Scholarship Fund (Scholarships – Department of Athletics; provided by gifts from Dwight J. Yoder)		\$33,700.00	\$33,700.00
Robert B. and Marjorie O. Smith Cancer Genetics Research Endowment Fund (Support for cancer genetics research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from Robert B. and Marjorie O. Smith)		\$30,000.00	\$30,000.00
John G. and Zoe Johnstone Endowed Fund for Musicology (Support for awards and research expenses for graduate students – School of Music; provided by a gift from John G. and Zoe Johnstone)		\$28,773.44	\$28,773.44
The John C. Burnham Lecture Series Fund in the History of Medicine/Science (Support for lecture series – Department of History and Medical Heritage Center Prior Health Sciences Library; provided by gifts from Marjorie Burnham)		\$28,000.00	\$28,000.00
The Tuckerman Family Breast Cancer Research Endowment Fund (Support for breast cancer research at the Comprehensive Cancer Center - The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from Judy and Steve Tuckerman, Audrey and Brian Tuckerman, Connie and Craig Tuckerman, Elise and Richard Robinowitz, Jan and Stanley Eppstein, Esther Tuckerman, and Barbi Tuckerman)		\$27,069.77	\$27,069.77
The Richard B. and Nancy E. Stahl Family Fund (Support of a proposal that builds youth-adult partnerships focusing on community service; provided by a gift from Richard B. and Nancy E. Stahl)		\$27,020.95	\$27,020.95
Peter Cohen Innovation Endowment Fund (Dean's discretionary funds – The Max M. Fisher College of Business; provided by gifts from Peter A. Cohen)		\$25,000.00	\$25,000.00

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Jess and Kelley DeMaria Athletic Scholarship Fund (Scholarships – Department of Athletics; provided by gifts from Jess and Kelley DeMaria)		\$25,000.00	\$25,000.00
The Ornelio and Diamond DePietro Scholarship Fund (Scholarships – Department of French and Italian; provided by gifts from Ronald E. Burch)		\$25,000.00	\$25,000.00
The Ed Johnson Family Athletic Scholarship Fund (Scholarships – Department of Athletics; provided by gifts from Ed Johnson)		\$25,000.00	\$25,000.00
The Ed Wing-Kui Kan Memorial Scholarship Fund in Electrical Engineering (Scholarships – Department of Electrical Engineering; provided by a gift in memory of Ed Wing-Kui Kan from his widow Kris Kan)		\$25,000.00	\$25,000.00
The Robert R. Kinney Endowment Fund (Scholarships – College of Food, Agricultural, and Environmental Sciences; provided by gifts from Beth Morlan Kinney)		\$25,000.00	\$25,000.00
The Richard J. Mackessy Undergraduate Student Support Fund (Scholarships – The Max M. Fisher College of Business; provided by gifts from Thomas J. Mackessy)		\$25,000.00	\$25,000.00
The George L. Smith Scholarship Fund in Industrial and Systems Engineering (Scholarships – College of Engineering; provided by a gift from George L. Smith)		\$25,000.00	\$25,000.00
The Kenneth A. Zeisler Scholarship Fund in the College of Law (Scholarships – College of Law; provided by a gift from Kenneth A. Zeisler)		\$25,000.00	\$25,000.00
Total	\$43,686.00	\$2,946,757.54	\$2,990,443.54

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Edgar and Elizabeth K. Dale Endowment Fund for Excellence in Education

The Edgar and Elizabeth K. Dale Endowment Fund for Excellence in Education was established August 30, 2000, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Elizabeth K. Dale, Dorothy Sherwood, and Richard Dale in honor of the distinguished career of Edgar Dale and his commitment to teaching and learning at The Ohio State University. The endowment was also made possible through a gift from Edgar Dale in 1978.

Edgar Dale (1900-1985) taught in the College of Education from 1929 to 1970. He was an international authority on the use of audio-visual materials in the classroom, a pioneer researcher in the field of testing readability, and a scholar in the techniques of teaching vocabulary. He received the Alumni Award for Distinguished Teaching in 1962-63. The Edgar Dale Center in the College of Education was named in his honor.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to enhance the College of Education's work in support of public schools and urban education. Decisions about expenditures shall be made at the discretion of the dean of the College of Education.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the person then responsible for teacher preparation programs, in order to carry out the desire of the donor.

\$22,436.00
(Grandfathered)

Jackson County 4-H Endowment Fund

The Jackson County 4-H Endowment Fund was established August 30, 2000, by the Board of Trustees of The Ohio State University with gifts to the Ohio State University Development Fund from friends of Jackson County 4-H.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Jackson County 4-H program. This support may include, but is not limited to: the funding of scholarships and awards for 4-H members; volunteer leaders and friends of 4-H; sponsoring seminars and programs; and/or purchasing material and services that will supplement 4-H programming or increase the visibility and public support of 4-H in Jackson County. All expenditures from this fund shall be approved by the Jackson County 4-H Advisory Committee.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

Jackson County 4-H Endowment Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees with preference being given to the recommendations from the appropriate administrative official of the Ohio State University Extension in consultation with the committee representing the local 4-H donors in order to carry out the desire of the donors.

\$21,250.00
(Grandfathered)

Change in Description of Named Endowed Fund

The Maria A. Melnyk Franks Memorial Scholarship Fund

The Maria A. Melnyk Franks Memorial Scholarship Fund was established August 30, 1995, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Richard L. Franks (B.A. Humanities 1977) and friends, in memory of Maria A. Melnyk Franks. The description was revised August 30, 2000.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships in perpetuity for students actively involved in music and music study at Ohio State. One scholarship shall be awarded to an outstanding undergraduate or graduate music major. The second shall be awarded to an outstanding undergraduate or graduate music major who is an active member of The Ohio State University Men's Glee Club. The third shall be awarded to an outstanding undergraduate or graduate student who is an active member of The Ohio State University Marching Band. The marching band scholarship may be held by a student from any school or department on campus.

Scholarships may be renewed up to four years. All recipients must maintain a minimum grade point average as stipulated by School of Music and University policies. The selection of recipients shall be made by the director of the School of Music with the directors of the marching band and glee club, and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees with recommendations from members of the Franks family (Richard L., Charles R., and Virginia L. Franks, and Connie A. Sanders or their heirs) in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds

The Wilhelm and Eleanor Beckert Scholarship Fund

The Wilhelm and Eleanor Beckert Student Loan and Fellowship Endowment was established on November 7, 1997, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Wilhelm (B.S.Agr. 1940) and Eleanor Beckert, of Boulder City, Nevada. The name and description were revised August 30, 2000.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships and stipends. One-half of the annual income shall be used to support undergraduate student scholarships in the Department of Horticulture and Crop Science, which will be repaid in-kind by students by working in the department under the direction of an assigned faculty member. The second half of the income shall be used to provide student stipends to fund faculty-directed student work experiences within the Department of Horticulture and Crop Science. Recipients shall be selected by the chair of the Department of Horticulture and Crop Science, or their designee, in consultation with the University Committee on Student Financial Aid. Unused income will be held until the following year.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration, and the chair of the Department of Horticulture and Crop Science or their successor(s) in order to carry out the desire of the donors.

The James and Patricia Townsend Scholarship Fund

The Townsend Scholarship Fund was established December 11, 1969, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Patricia Gibson Townsend (Mrs. James E.) (B.S. Social Administration 1948) and James E. Townsend (B.E.E. 1948) of Rancho Sante Fe, California. The name and description were revised August 30, 2000.

All gifts are to be invested in the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships for undergraduate and/or graduate students who have demonstrated academic ability and need for financial assistance. The selection of the recipient is to be made upon the recommendation of the University Scholarship Committee. Preference is to be given to students enrolled in the physical sciences, engineering, and social sciences. The scholarship so granted to any student may be withdrawn, upon recommendation of the Board of Trustees, if the student engages in any activities which disrupt the orderly administration of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate administrative official in order to carry out the desire of the donors.

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The William E. Hunt, M.D. and Charlotte M. Curtis Neuroscience Endowment Fund

The William E. Hunt, M.D. and Charlotte M. Curtis Neuroscience Endowment Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made to the Foundation from the Charlotte Curtis Hunt Living Trust, and made in memory of William E. Hunt, M.D. and Charlotte M. Curtis.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The income from the endowment will be used to support growth and development of neuroscience within the College of Medicine and Public Health (COMPH) at The Ohio State University. The endowment is intended to be used at the discretion of the director of the future Neuroscience Institute to realize the mission of the Neuroscience Institute. At the Institute director's discretion, the income will be used to grow and strengthen the Neuroscience Institute through such uses as, including, but not limited to post-doctoral neurosurgery fellowships, neuroscience research, e.g., graduate and post-doctorate research, extraordinary laboratory equipment and supplies, and neuroscience lectureships.

The fund income will not pay the salaries of those holding faculty or other full-time, permanent positions at The Ohio State University or pay for the other usual costs incurred by the Neuroscience Institute. With respect to the use of the income for post-doctoral salaries or equivalents, no more than twenty-five percent (25%) of income cumulatively during any four (4) year period may be used for salaries or equivalents, e.g., twenty-five percent (25%) of the annual income for each of four (4) consecutive years could be used annually for such salaries or one hundred percent (100%) of the income during one (1) year of a four (4) consecutive period could be used for such salaries or equivalents.

The use of the income shall be determined at the discretion of the chair of the Department of Neuroscience in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health until such time as the Neuroscience Institute is established. When the Institute is established and a director is named, use of the funds shall be determined at the discretion of the Institute's director, in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. However, if the University does not fulfill all of the commitments made by the University then the principal of the endowment funds given to the University by the donor shall be promptly thereafter given by the University to the Columbus Foundation, an Ohio Corporation not-for-profit, Columbus, Ohio, or its successors for the uses and purposes as set forth in a Deed of Gift dated April 24, 2000, from donor to the Columbus Foundation wherein the donor has assigned to the Columbus Foundation its rights to have this Agreement honored by the University in perpetuity, a copy of which Deed of Gift is attached hereto and by this reference incorporated herein.

\$2,000,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in French and Italian

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in French and Italian was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Eleanor Bulatkin, Professor Emeritus (1961 to 1976) in the Department of French and Italian. She served as chair of the Department of Romance Languages (now two departments: French and Italian and Spanish and Portuguese) from 1966 to 1972. Professor Bulatkin's distinguished career began with her degrees from Johns Hopkins University, (M.A.1951, Ph.D. 1952). Prior to her tenure at Ohio State, Dr. Bulatkin taught at the University of Maryland. Her husband, Aristobulo Pardo (Ph.D. 1966) was an associate professor of Spanish at Ohio State from 1962 until his death in 1984.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund the following:

1. Bulatkin Dissertation Fellowships: Dissertation fellowship typically one quarter in length at \$3,300 (adjusted as per annual increases) and awarded for any quarter during the academic year. Awards will be made on the basis of academic excellence and reserved for students who have made substantial progress on their dissertation.
2. Bulatkin Fellowships: Typically one to three units of one-quarter full-time fellowships at \$3,300 (applicable GTA salary at level and adjusted as per annual increases) for outstanding entering M.A. or Ph.D. level students. Internal M.A. recipients who are admitted to the doctoral program are also eligible for this award. Awards are made on the basis of academic excellence.
3. Bulatkin Research Grants: These funds will serve as "topping off" awards for M.A. or Ph.D. students and will be typically given in increments of \$100 a month for a nine-month period for a total of \$900. These grants are open to new students at both the M.A. and Ph.D. levels and for OSU students entering the Ph.D. program and will be made on the basis of academic excellence.
4. Bulatkin GTA Travel Grants: These grants will support travel to conferences for graduate students presenting papers. Typically four to five grants of no more than \$400 will be awarded each year.
5. Bulatkin Conference Support: Support for annual FIGSA conference, typically up to \$600.
6. Bulatkin Award for Outstanding Graduate Student: An award to an advanced graduate student for excellence in teaching and research.

The administration of the fellowship and research grants will be handled by the department chair upon recommendations from the director of Graduate Studies and the Graduate Studies Committee within the regular graduate admissions process. The administration of the GTA Travel Grants will be handled by the department chair in consultation with the faculty. Selection of winners for the Award for Outstanding Graduate Student will be made by a committee of faculty and graduate students, selected by the chair.

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in French and Italian (contd)

All awards may not be distributed within a single academic year; the determination of which awards to offer will be made annually by the department chair in consultation with the members of the departmental council. If a balance remains after the awards are made for an academic year, the amount is added to funds available in the following year's budget.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the chair of the Department of French and Italian, in consultation with the dean of the College of Humanities in order to carry out the desire of the donor.

\$204,583.69

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in Spanish and Portuguese

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in Spanish and Portuguese was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Eleanor Bulatkin, Professor Emeritus (1961 to 1976) in the Department of French and Italian. She served as chair of the Department of Romance Languages (now two departments: French and Italian and Spanish and Portuguese) from 1966 to 1972. Professor Bulatkin's distinguished career began with her degrees from Johns Hopkins University, (M.A. 1951, Ph.D. 1952). Prior to her tenure at Ohio State, Dr. Bulatkin taught at the University of Maryland. Her husband, Aristobulo Pardo (Ph.D. 1966) was an associate professor of Spanish at Ohio State from 1962 until his death in 1984.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a graduate fellowship for entering M.A. or Ph.D. level students. Internal M.A. recipients who are admitted to the Ph.D. program are also eligible for the award. The fellowship may be distributive or cumulative and will be made on the basis of academic excellence. The remaining annual balance in the fund, if any, will provide awards to entering M.A. or Ph.D. level students in increments of \$100 per month for a nine-month period (\$900 per award). Entering M.A. or Ph.D. students from other institutions are eligible for the award. Internal M.A. recipients who are admitted to the Ph.D. program are also eligible for the award. The awards may be distributive or cumulative and will be made on the basis of academic excellence.

The administration of the fellowship and awards will be handled by the department chair upon recommendations from the director of Graduate Studies and the Graduate Studies Committee within the regular graduate admissions process.

If a balance remains after the awards are made for an academic year, the amount is added to funds available in the following year's budget.

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Eleanor Bulatkin/Aristobulo Pardo Endowment Fund in Spanish and Portuguese (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the chair of the Department of Spanish and Portuguese, in consultation with the dean of the College of Humanities in order to carry out the desire of the donor.

\$204,583.68

Janis and Michael Bloch Cancer Research Endowment Fund

The Janis and Michael Bloch Cancer Research Endowment Fund for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Janis and Michael Bloch of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and director of the Comprehensive Cancer Center, in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health order to carry out the desire of the donors. It is also the desire of the donors that should accumulation of other gifts and income be sufficient to create an endowed chair, then the University will complete such a transaction.

\$100,000.00

The Julius F. Stone Chair Fund in Cancer Research

The Julius F. Stone Chair Fund in Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. and Mrs. Franz T. Stone II of Buffalo, New York, and Gulf Stream, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Julius F. Stone Chair Fund in Cancer Research (contd)

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

If the principal of the endowment reaches \$1,500,000, the fund shall be converted to a chair. The annual income shall be used to support the salary and cancer research of an eminent faculty member in the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as recommended by the director of The James Cancer Hospital and Solove Research Institute and the director of the Comprehensive Cancer Center to the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the chair holder shall be reviewed no less than every five years by the director of The James Cancer Hospital and Solove Research Institute, the director of the Comprehensive Cancer Center, the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to determine compliance with the intent of the donor as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$38,026.01

The Yoder Athletic Scholarship Fund

The Yoder Athletic Scholarship Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. Dwight J. Yoder (B.S.Bus.Adm. 1967, Master of Accounting 1969) of Rancho Palos Verdes, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the men's ice hockey varsity team pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$33,700.00

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Robert B. and Marjorie O. Smith Cancer Genetics Research Endowment Fund

The Robert B. and Marjorie O. Smith Cancer Genetics Research Endowment Fund for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Mr. Robert B. and Mrs. Marjorie O. Smith of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and director of the Comprehensive Cancer Center, in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$30,000.00

John G. and Zoe Johnstone Endowed Fund for Musicology

The John G. and Zoe Johnstone Endowed Fund for Musicology was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from John G. (Ph.D. Music 1984) and Zoe Johnstone of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be divided as follows:

- 1) Eighty percent (80%), or a minimum of \$1,000, will provide an annual award to a graduate student in the School of Music specializing in musicology. The recipient will be selected by the faculty of the area of musicology. The award shall be for excellence of scholarship.
- 2) The remaining amount may be used to pay research expenses of graduate students in the area of musicology. The area head will select qualified recipients and determine appropriate use of funds. Any materials purchased shall become the property of the University.

In the event there are undistributed funds in any given year, the unused income shall be returned to the principal.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

John G. and Zoe Johnstone Endowed Fund for Musicology (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the School of Music in order to carry out the desire of the donors.

\$28,773.44

The John C. Burnham Lecture Series Fund in the History of Medicine/Science

The John C. Burnham Lecture Series Fund in the History of Medicine/Science was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from his wife, Marjorie Burnham.

John Burnham is a leading figure in the fields of the history of medicine and science, with ten books and dozens of articles to his name. His work ranges widely from *Psychoanalysis and American Medicine, 1894-1918* to *How Superstition Won and Science Lost: Popularizing Science and Health in the United States* to *Bad Habits: Drinking, Smoking, Taking Drugs, Gambling, Sexual Misbehavior and Swearing in American History*. He holds a courtesy appointment in the Department of Psychiatry at Ohio State and has held a visiting appointment as Professor of Psychology at the University of Sydney. He has lectured all over the country and throughout much of the world. John has a distinguished record of national service to multiple organizations. He is currently editor of the *Journal of the History of the Behavioral Sciences*. In recognition of his outstanding scholarship, John Burnham was awarded The Ohio State University Distinguished Scholar Award in 1993.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Department of History, in collaboration with the Medical Heritage Center - Prior Health Sciences Library, to sponsor the annual John C. Burnham Lecture, as agreed upon by both units. The general theme of the lecture series will be "The History of Medicine and Science" with the intention that the lecturers be associated with other institutions of learning.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board with preference being given to the recommendation of the chair of the Department of History in consultation with the curator of the Medical Heritage Center in order to carry out the desire of the donor.

\$28,000.00

The Tuckerman Family Breast Cancer Research Endowment Fund

The Tuckerman Family Breast Cancer Research Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Judy and Steve Tuckerman, Audrey and Brian Tuckerman, Connie and Craig Tuckerman, Elise and Richard Robinowitz, all of Columbus, Ohio, Jan and Stanley Eppstein of Youngstown, Ohio, and Esther Tuckerman and Barbi Tuckerman, both of Columbus, Ohio, and thoughtful friends.

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Tuckerman Family Breast Cancer Research Endowment Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support breast cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as recommended by the surgical chief of breast services at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James), and as approved by the director of The James, and the director of the Comprehensive Cancer Center (CCC).

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the surgical chief of breast services at The James, the director of The James and the director of the CCC in order to carry out the desire of the donors.

\$27,069.77

The Richard B. and Nancy E. Stahl Family Fund

The Richard B. and Nancy E. Stahl Family Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Richard B. and Nancy E. Stahl.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a proposal that builds youth-adult partnerships with a focus on community service. The support for this proposal may include, but is not limited to, funding youth and adult training, supplies, recognition items, or community service grants to other groups.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board, with preference given to the recommendations from the appropriate administrative official of The Ohio State University who is the director for the Ohio State University Extension in consultation with the committee representing the local 4-H donors in order to carry out the desire of the donors.

\$27,020.95

Peter Cohen Innovation Endowment Fund

The Peter Cohen Innovation Endowment Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Peter A. Cohen (B.S.Bus.Adm. 1968) of New York, New York.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Peter Cohen Innovation Endowment Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of The Max M. Fisher College of Business to support activities of the faculty, students and staff in accordance with the strategic plans of the college.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority an/or appropriate University official in order to carry out the desire of the donor.

\$25,000.00

The Jess and Kelley DeMaria Athletic Scholarship Fund

The Jess and Kelley DeMaria Athletic Scholarship Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jess and Kelley DeMaria, Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of student athletes who are members of the varsity football team pursuing undergraduate degrees at The Ohio State University. The first preference for the recipient of this award is a student-athlete from northwest Ohio playing in the defensive secondary. If a candidate cannot be found meeting the qualifications ascribed above, then the scholarship may be awarded to a student-athlete playing a defensive back position. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

The Ornelio and Diamond DePietro Scholarship Fund

The Ornelio and Diamond DePietro Scholarship Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ronald E. Burch (B.S.Bus.Adm. 1986, M.A. 1989) of Los Angeles, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ornelio and Diamond DePietro Scholarship Fund (contd)

The annual income shall be used for the Ornelio and Diamond DePietro Scholarship in the Department of French and Italian. This scholarship, named in honor of the donor's grandparents, Ornelio and Diamond DePietro, community leaders and OSU football boosters, will provide for an undergraduate student majoring in French or Italian and preparing for a career in education, focusing on grades K-12. This money may also apply to a study-abroad experience. The scholarship will be awarded each spring by the appropriate faculty committee in the Department of French and Italian. Recipients shall be selected in consultation with the University Committee on Student Financial Aid. Eligible students must be in good academic standing, with a preference given to those students with undue financial burdens.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the donor, if living, in consultation with the chair of the Department of French and Italian and the dean of the College of Humanities, in order to carry out the desire of the donor.

\$25,000.00

The Ed Johnson Family Athletic Scholarship Fund

The Ed Johnson Family Athletic Scholarship Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ed Johnson (B.S.Agr. 1959), Delaware, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a student athlete who is a walk-on member of a varsity athletic team pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

The Ed Wing-Kui Kan Memorial Scholarship Fund in Electrical Engineering

The Ed Wing-Kui Kan Memorial Scholarship Fund in Electrical Engineering was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift to The Ohio State University Foundation in memory of Ed Wing-Kui Kan (B.S.E.E. 1981) from his widow, Kris Kan of Seattle, Washington.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ed Wing-Kui Kan Memorial Scholarship Fund in Electrical Engineering (contd)

The annual income will provide scholarship support for electrical engineering students who are academically strong and deserving of financial assistance and who have completed their first academic year. With all other qualities being equal and unless prohibited by specific federal or state law, preference shall be given to Asian-Americans, Hispanic-Americans, and African-Americans. Each Ed Wing-Kui Kan Scholar will be eligible to compete for renewed selection. The award recipients shall be chosen by the chairperson for the Department of Electrical Engineering in consultation with the University Committee on Student Financial Aid. The Department of Electrical Engineering will report annually to Ms. Kris Kan on the fund's use.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

The Robert R. Kinney Endowment Fund

The Robert R. Kinney Endowment Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Beth Morlan Kinney (B.S. Home Economics 1936), Sebring, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

With all other qualities being equal and unless prohibited by specific federal or state law, the annual income shall be used to provide a scholarship(s), with preference to, but not limited to, African-American undergraduate students enrolled in the College of Food, Agricultural, and Environmental Sciences. Preference will be given to students with a GPA of at least 2.5. The selection will be made in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration in order to carry out the desire of the donor.

\$25,000.00

The Richard J. Mackessy Undergraduate Student Support Fund

The Richard J. Mackessy Undergraduate Student Support Fund was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Thomas J. Mackessy of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Richard J. Mackessy Undergraduate Student Support Fund (contd)

The annual income shall be used to provide a scholarship(s) to an undergraduate student(s) in The Max M. Fisher College of Business with preference given to students with demonstrated financial need. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$25,000.00

The George L. Smith Scholarship Fund in Industrial and Systems Engineering

The George L. Smith Scholarship Fund in Industrial and Systems Engineering was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift to the Foundation from George L. Smith, Professor Emeritus in the Department of Industrial, Welding, and Systems Engineering and chairperson of the Department of Industrial, Welding, and Systems Engineering 1982-1994.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will provide scholarship support for industrial and systems engineering graduate and undergraduate students who have successfully combined active participation in an appropriate professional or honorary organization with challenging academic study. With all other qualities being equal and unless prohibited by specific federal state law, preference shall be given to women and to Native Americans, Hispanic-Americans, and African-Americans.

Each George L. Smith Scholar will be eligible to compete for renewed selection. The award recipients shall be chosen by the chairperson of the Department of Industrial, Welding, and Systems Engineering in consultation with the department's scholarship committee, the University Committee on Student Financial Aid and Dr. Smith or his representative.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

The Kenneth A. Zeisler Scholarship Fund in the College of Law

The Kenneth A. Zeisler Scholarship Fund in the College of Law was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Kenneth A. Zeisler (B.S.Bus.Adm. 1961, J.D. 1961), Cleveland, Ohio.

August 30, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Kenneth A. Zeisler Scholarship Fund in the College of Law (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship for one student in the College of Law with a preference given to an entering student who demonstrates financial need and an interest in pursuing a career in real estate law. Selection shall be made by the College of Law with formal approval by the dean of the College of Law in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Law in order to carry out the desire of the donor.

\$25,000.00

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2001-31

APRIL - JUNE 2000

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, the Associate Vice President for Health Services and Executive Director of University Hospitals, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of April - June, 2000; and

WHEREAS during the period covered, the Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 53 waivers of competitive bidding requirements for annual purchases totaling approximately \$44,322,200 including \$39,278,000 for merchandise for resale by various University departments, as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Associate Vice President for Health Services and Executive Director of University Hospitals, at the requests of the Hospitals of the University and their related facilities making purchases, granted 33 waivers of competitive bidding requirements for annual purchases totaling approximately \$7,581,200 including \$2,100,000 for merchandise for resale, as shown on the enclosed exhibit:

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of April - June 2000, is hereby accepted.

(See Appendix XI for background information, page 265.)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 2001-32

WEXNER CENTER – BUILDING RENOVATION
OARDC – ANIMAL AND PLANT BIOLOGY LEVEL 3 FACILITY
FOOD, AGRICULTURE, AND ENVIRONMENTAL SCIENCES
MAIN LIBRARY RENOVATION – FEASIBILITY STUDY
CAMPBELL AND UNIVERSITY HALLS CLASSROOMS
CAMPUS GROUNDS – WOODY HAYES DRIVE REBUILD
OARDC – BOILER REPLACEMENT
MORRILL HALL RENOVATION - MARION
OARDC – THORNE AND GOURLEY HALLS RENOVATION, PHASE 3
CELESTE LABORATORY HVAC MODIFICATIONS
FAWCETT CENTER – UNIVERSITY DEVELOPMENT PHASE I
WILCE STUDENT HEALTH – 3RD FLOOR RENOVATION
STARLING-LOVING INSTALL FIRE PUMP
HUGHES HALL AUDITORIUM ACCESSIBILITY
JESSE OWENS TRACK/SOCCER STADIUM
SPIRIT OF WOMEN PARK

Synopsis: Authorization to employ architect/engineering firms for the Wexner Center – Building Renovation, OARDC – Animal and Plant Biology Level 3 Facility, Food, Agriculture, and Environmental Sciences, and Main Library Renovation – Feasibility Study project, authorization to employ architect/engineering firms and request construction bids for the Campbell and University Halls Classrooms, Campus Grounds – Woody Hayes Drive Rebuild, Oval Restoration – Phases 1 and 2 of 4, OARDC – Boiler Replacement, Morrill Hall Renovation - Marion, OARDC – Thorne and Gourley Halls Renovation, Phase 3, Celeste Laboratory HVAC Modifications, Fawcett Center – University Development Phase I, Wilce Student Health – 3rd Floor Renovation, Starling-Loving Install Fire Pump, Hughes Hall Auditorium Accessibility projects and report of award of contracts for the Jesse Owens Track/Soccer Stadium and Spirit of Women Park projects are requested.

WHEREAS the University desires to provide design and cost estimating for HVAC renovations, roof repair, and lobby renovations in the Wexner Center; and

WHEREAS the total estimated project cost will be determined during the design process, with funding for design provided by House Bill 640; and

WHEREAS the University desires to proceed with the initial planning of a large level 3 isolation facility for animals and plants on the OARDC campus; and

WHEREAS the total estimated project cost will be determined during this initial planning phase, with funding for planning provided by House Bill 640; and

August 30, 2000 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the University desires to begin the preliminary design phases of a portion of the projects identified in the Food, Agricultural, and Environmental Sciences Master Plan; and

WHEREAS the total initial design phases is estimated at \$1,500,000, with planning funds provided in House Bill 640; and

WHEREAS the University desires to proceed with the feasibility study to restore and renovate the interior space of the Main Library; and

WHEREAS the total estimated project cost will be determined during this feasibility study, with funding for the feasibility study (\$500,000) provided in House Bill 640; and

WHEREAS the University desires to renovate classrooms 200 in Campbell Hall and 014 in University Hall to improve the quality of the teaching and learning facilities; and

WHEREAS the total estimated project cost is \$1,063,000 and the total estimated construction cost is \$776,000, with funding provided by House Bill 640; and

WHEREAS the University desires to rebuild the section of Woody Hayes Drive between Herrick Drive and Kenny Road to carry bus traffic; and

WHEREAS the total estimated project cost is \$1,500,000 and the total estimated construction cost is \$1,275,000, with funding provided by House Bill 640; and

WHEREAS the University desires to replace two medium pressure boilers with five "Ohio Special" boilers and upgrade the support equipment on the OARDC campus in Wooster; and

WHEREAS the total estimated project cost is \$1,320,000 and the total estimated construction cost is \$1,100,000, with funding provided by House Bill 640; and

WHEREAS the University desires to renovate the administrative and academic space on the first floor of Morrill Hall on the Marion Campus; and

WHEREAS the total estimated project cost is \$1,534,700 and the total estimated construction cost is \$1,275,000, with funding provided by House Bill 640 (\$1,364,050) and Marion Campus (\$170,650); and

WHEREAS the University desires to install a central HVAC system and upgrade laboratories and support rooms in Gourley Hall and install growth chambers and upgrade space for the Entomology Department in Thorne Hall on the OARDC campus; and

WHEREAS the total estimated project cost is \$4,195,974 and the total estimated construction cost is \$3,177,930, with funding provided by House Bill 640; and

WHEREAS the University desires to modify the HVAC system in the Celeste Laboratory to improve reliability and reduce operating and maintenance costs; and

WHEREAS the total estimated project cost is \$800,000 and the total estimated construction cost is \$664,000, with funding provided by House Bill 640; and

WHEREAS the University desires to renovate space in Fawcett Center housing University Development; and

WHEREAS the total estimated project cost is \$702,000 and the total estimated construction cost is \$538,200, with funding provided by University Development; and

August 30, 2000 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the University desires to renovate the 3rd floor of the Wilce Student Health Center;
and

WHEREAS the total estimated project cost is \$270,000 and the total estimated construction cost
is \$195,844, with funding provided by Student Affairs; and

WHEREAS the University desires to bring into compliance the fire protection system serving
Starling-Loving; and

WHEREAS the total estimated project cost is \$250,000 and the total estimated construction cost
is \$207,500, with funding provided by House Bill 640; and

WHEREAS the University desires to improve accessibility to the Hughes Hall Auditorium and
restrooms and provide wheelchair and companion seating; and

WHEREAS the total estimated project cost is \$200,000 and the total estimated construction cost
is \$166,000, with funding provided by House Bill 640; and

WHEREAS resolutions adopted by the Board of Trustees on September 13, 1996 and November
5, 1999 authorized the President and/or Vice President for Business and Finance to request
construction bids in accordance with established University procedures, and if satisfactory bids
were received to award contracts for the following project:

(* Recommended alternates included in these amounts)

Jesse Owens Track/Soccer Stadium

This project constructs a soccer stadium and replacement facility for the Jesse Owens track
related from the Ohio Stadium. The total project cost is \$7,454,354; funding is provided by
University bonds. The completion date is January 2001. The contracts awarded are as follows:

Design:	Moody-Nolan Ltd., Columbus, Ohio
General Contract - Phase I :	The Righter Company, Columbus, Ohio
Amount:	\$2,427,400 *
Estimate:	\$2,759,777 *
Electric Contract - Phase I:	Brush Contractors Inc., Columbus, Ohio
Amount:	\$73,600
Estimate:	\$176,070
General Contract – Phase II:	Sherman R. Smoot Company, Columbus, Ohio
Amount:	\$2,937,000
Estimate:	\$3,051,130
Plumbing Contract - Phase II:	Marco Plumbing/Heating Company, Johnstown, Ohio
Amount:	\$267,000
Estimate:	\$328,540
HVAC Contract - Phase II:	Pete Miller, Inc., Marion, Ohio
Amount:	\$90,354
Estimate:	\$96,400

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Jesse Owens Track/Soccer Stadium (contd)

Electric Contract -

Phase II:	Esco Electrical Contractors, Inc., Westerville, Ohio
Amount:	\$659,000 * +
Estimate:	\$658,000 *
Total All Contracts:	\$6,454,354
Contingency Allowance:	\$340,325
Total Project Cost:	\$7,454,354

+ The Electric Contract (Phase II) is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Spirit of Women Park

This project constructs a park bounded by Dodd Hall, McCampbell Hall, University Hospitals Clinic, and the School of Allied Medical Profession to be dedicated to women and the important contributions they have made. The total project cost is \$1,248,370; funding is provided by University Hospitals. The completion date is December 2000. The contracts awarded are as follows:

Design:	Kinzelman/Kline, Columbus, Ohio
General Contract:	Hopewell Constructors, Inc., Columbus, Ohio
Amount:	\$934,459 *
Estimate:	\$950,000 *
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$147,150 *
Estimate:	\$167,000 *
Total All Contracts:	\$1,081,609
Contingency Allowance:	\$98,457
Total Project Cost:	\$1,248,370

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Wexner Center – Building Renovation, OARDC – Animal and Plant Biology Level 3 Facility, Food, Agriculture, and Environmental Sciences, Main Library Renovation – Feasibility Study, Campbell and University Halls Classrooms, Campus Grounds – Woody Hayes Drive Rebuild, OARDC – Boiler Replacement, Morrill Hall Renovation - Marion, OARDC – Thorne and Gourley Halls Renovation Phase 3, Celeste Laboratory HVAC Modifications, Fawcett Center – University Development Phase I, Wilce Student Health – 3rd Floor Renovation, Starling-Loving Install Fire Pump, and Hughes Hall Auditorium Accessibility projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for Campbell and University Halls Classrooms, Campus Grounds – Woody Hayes Drive Rebuild, OARDC – Boiler Replacement, Morrill Hall Renovation - Marion, OARDC – Thorne and Gourley Halls Renovation Phase 3, Celeste Laboratory HVAC Modifications, Fawcett Center – University Development Phase I, Wilce Student Health – 3rd Floor Renovation, Starling-Loving Install Fire Pump, and Hughes Hall Auditorium Accessibility projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

August 30, 2000 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Jesse Owens Track/Soccer Stadium and Spirit of Women Park projects are hereby accepted.

(See Appendix XII for maps, page 267.)

EASEMENT

Resolution No. 2001-33

HUNTINGTON NATIONAL BANK, TRUSTEE
WEST LANE AVENUE

Synopsis: Authorization to grant The Huntington National Bank, Trustee, an easement across University property located along West Lane Avenue near the intersection of North Star Road is proposed.

WHEREAS The Huntington National Bank, Trustee, has requested a 25-year easement consisting of approximately 0.221 acres of land to install a sanitary sewer under a service road located at the rear of properties situated near the southwest corner of the intersection of West Lane Avenue and North Star Road near the boundary line for the State Data Center; and

WHEREAS this easement will be of benefit to the University; and

WHEREAS the appropriate University offices have recommended the approval of the easement:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his statutory duties, to act for and on behalf of the University in developing the necessary legal instrument to provide for the easement.

(See Appendix XIII for map, page 283.)

SALE OF REAL PROPERTY

Resolution No. 2001-34

17.7 ACRES OF UNIMPROVED LAND ON EITERMAN ROAD
DUBLIN, OHIO

Synopsis: Authorization for the sale of 17.7 acres of unimproved land on Eiterman Road in Dublin, Ohio, is proposed.

WHEREAS The Board of Trustees owns 17.7 acres of unimproved land on Eiterman Road in Dublin, Ohio, which has been held for investment purposes; and

WHEREAS the University has been presented with an offer from Midwest Land Fund to purchase the property for \$45,000 per useable acre; and

August 30, 2000 meeting, Board of Trustees

SALE OF REAL PROPERTY (contd)

**17.7 ACRES OF UNIMPROVED LAND ON EITERMAN ROAD
DUBLIN, OHIO (contd)**

WHEREAS the property has an appraised value of \$40,000 per useable acre; and

WHEREAS proceeds from the sale of this property will remain in the University's Endowment Fund for subsequent reinvestment:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Finance be authorized, in the name of the Board of Trustees of The Ohio State University, to sell all of the Board's right, title, and interest in the 17.7 acres of unimproved land on Eiterman Road in Dublin, Ohio, to Midwest Land Fund at a price not less than \$45,000 per useable acre, upon such terms and conditions as are deemed to be in the best interest of the University.

(See Appendix XIV for background material and maps, page 285.)

CAMPUS PARTNERS -- RELEASE OF ADDITIONAL FUNDING

Resolution No. 2001-35

Synopsis: Release of additional funding for Campus Partners' initiatives from the previously identified set-aside is recommended.

WHEREAS in February and November 1995 the Board of Trustees recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance of the initiatives of Campus Partners for Community Urban Redevelopment, Inc., in this effort and identified \$25 million in Endowment funds that could be made available for real estate and related investments in support of the Campus Partners revitalization efforts; and

WHEREAS in July 2000 the Board of Trustees noted the substantial progress that Campus Partners has made in this revitalization effort and authorized continued funding and support for Campus Partners' initiatives and requested a five-year business plan to detail the program for the next phase of the Campus Partners revitalization initiative; and

WHEREAS there is a need for additional funding to complete real estate acquisitions contemplated by the actions in July:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes the release of up to \$1.8 million of the previously identified \$25 million in Endowment funds for the purchase of additional real estate outside the previously established Campus Partners acquisition area but important for the long-range plans for the entire Campus Partners area, bringing the total amount released for the University Gateway Center and other investments to \$22.8 million; and

BE IT FURTHER RESOLVED, That this authorization and release of funds shall be subject to whatever further provisions amending the memorandum of understanding of May 1, 1997, as the Oversight Committee shall determine appropriate and by modifications this Board may approve as a result of its review of the new five-year business plan to be submitted to this Board by the December 1, 2000, meeting.

**CONTINUED SUPPORT FOR THE
SCIENCE AND TECHNOLOGY CAMPUS CORPORATION**

Resolution No. 2001-36

Synopsis: Approval of the revised comprehensive development plan submitted by the Science and Technology Campus Corporation and extension of the Development Agreement, the leases of University property, and continuation of operating support to the STC Corporation, is proposed.

WHEREAS to foster and encourage research linkages with business, governmental entities, and non-profit organizations, increase the range of educational experiences available to students, increase research support from industry, provide greater economic enrichment and development within Ohio, and enhance the University's preeminence as a major research institution, the Board of Trustees in December 1997 approved the development plan submitted by the Science and Technology Campus Corporation (then the Research Park Corporation) and authorized the University to enter into a Development Agreement with the STC Corporation; and

WHEREAS the STC Corporation has done a remarkable job in this initial period in planning and implementing significant facility improvements, providing for the careful stewardship of University property, undertaking programs and initiatives to support and enhance the success of the Science and Technology Campus, and securing cooperative support from the City of Columbus and the State of Ohio in these efforts; and

WHEREAS the Development Agreement between the University and the STC Corporation executed in May 1998 provides for periodic reporting to the Board of Trustees on the Corporation's activities:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the revised comprehensive development plan submitted by the Science and Technology Campus Corporation and authorizes the University to extend, for an additional three-year period, the term of the Development Agreement and the terms of the leases of real property to the STC Corporation; and

BE IT FURTHER RESOLVED, That the University is hereby authorized to provide to the STC Corporation an amount not to exceed \$300,000 per year, for the years 2001-02 and 2002-03, for operating support, premised on the understanding that the STC Corporation will continue to receive appropriate sustained support from the City of Columbus and the State of Ohio; and

BE IT FURTHER RESOLVED, That through June 30, 2003, the University is hereby authorized to loan to the STC Corporation any funds remaining from the \$7,000,000 loan authorized in November 1997 so long as no more than \$5,000,000 of those total funds are not secured by signed tenant lease commitments, with the total amount of the loans to be repaid, with all accrued interest, within 25 years of each loan; and

BE IT FURTHER RESOLVED, That these actions and authorizations are subject to the provisions of the Development Agreement of May 1998 and any amendments that may be deemed appropriate by the University.

Upon motion of Ms. Hendricks, seconded by Mr. Patterson, the Board of Trustees adopted the foregoing resolutions with eight affirmative votes cast by Messrs. Brennan, Patterson, Sofia, Slane, McFerson, Judge Duncan, and Ms. Longaberger, and Ms. Hendricks, and one abstention cast by Mr. Skestos.

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STUDENT RECOGNITION AWARD

Mr. Filiatraut:

This month's Student Recognition Award winner is a uniquely hardworking individual. Throughout his career at Ohio State, Darrell Bryant has been a leader inside the classroom, inside the lab, and inside the student body of the College of Pharmacy.

In 1998, Darrell graduated from Ohio State with a Bachelor of Science in nutrition. He continues at OSU, and is currently working towards a Bachelor of Science degree in medical technology, a Master of Science degree in pharmacology, and a Doctor of Pharmacy degree – all which he expects to receive in the summer of 2002. As he works toward graduation in 2002, Darrell has received five different scholarships in the College of Pharmacy.

Related to his classroom work, Darrell has been published in *In Review*, *Tech Sample American Society of Clinical Pathologists*, and in the *Journal of Pharmacological Experimental Therapy*. Most notably, while working with Dr. Dennis McKay in structured activities studies related to nicotinic receptors, which I am told has a potential application in the treatment of neuroscience disorders. Darrell has given numerous presentations at various symposia over the last four years on that same topic of research, culminating in his receiving third place at the Edward F. Hayes Graduate Research Forum, held in Columbus this past April.

Inside the lab, Darrell has been involved in research at Ohio State at all levels of his schooling by participating in the STARS program -- Student Achievement in Research Scholarship -- for two years, and the Summer Research Opportunities program. He currently is a National Institutes of Health research fellow in the Division of Pharmacology at the College of Pharmacy.

As for his work in the student body at the College of Pharmacy, Darrell has served as president of his doctor of pharmacy class. He is also a member of such organizations as the Society for Neuroscience, as well as being vice president and president of Phi Beta Sigma professional fraternity during his undergraduate days.

After I was given all this information about Darrell, I was notified by Associate Dean Hale in July that Darrell has been elected as the national president-elect of the National Student Pharmaceutical Association. This will make him the first student ever from Ohio State to hold this prestigious position, which is a two-year term as national president.

Darrell, your extensive record shows that you have an interest in the community and scientific research in mind and at heart, and are an asset to The Ohio State University. Ladies and gentlemen, please join President Kirwan, Associate Dean Kenneth Hale, and the Board of Trustees in congratulating Mr. Darrell L. Bryant.

Mr. Darrell L. Bryant:

Good afternoon. I'd like to thank President Kirwan and the Board for honoring me with this award. It is a truly great thing for me to be sitting here right now, and this is all made possible because I have support from my family, faculty, and people like you.

I'd like to recognize a few people who are here to support me today: my wife, Nikita Bryant; my advisor in the graduate program in the Division of Pharmacology, Dr. Dennis McKay; Dr. Hale, assistant dean for student affairs in

STUDENT RECOGNITION AWARD (contd)

Mr. Bryant: (contd)

the College of Pharmacy; and Dr. Frank, associate dean for professional programs in the College of Pharmacy. These people have been here to support me as well as others. This is truly an honor and I'm happy to be here. Thanks again.

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STUDENT GOVERNMENT REPORTS

Mr. B.J. Schuerger:

Thank you very much, for the opportunity to address the Board of Trustees. The Council of Graduate Students has continued to work through the summer, preparing for the upcoming academic year. CGS is busy recruiting students to serve on University and Senate committees and preparing them for their service.

CGS is preparing to welcome incoming graduate students at the graduate student orientation and preparing their first big social, the CGS Welcome Picnic. They are very pleased that they have so many exciting events to share with graduate students.

The plans for the graduate, professional, and undergraduate honors housing complex are beautiful. The new funds student organizations received through the Coca-Cola contract will help them provide more resources to student scholars and students engaged in revitalizing the University neighborhoods. CSG is very pleased to have worked with Bill Hall, Alayne Parson, and Dr. Ray for the use of the funds.

Next is the report from the Inter-Professional Council. First, on behalf of the Inter-Professional Council and all of the professional students, I would like to welcome Dr. Sanfilippo to Ohio State as the new senior vice president for Health Sciences and dean of the College of Medicine and Public Health. I would also like to thank Dr. Sedmak for his service to the professional students while serving as the interim dean. The students are extremely excited to begin this new chapter of Ohio State University history, and look forward to working with Dr. Sanfilippo to make Ohio State the very best.

Over the past two months, the Inter-Professional Council has been extraordinarily busy. They held their first summer conference which saw the election of the speaker of the Senate, Michael Strife from the College of Law, and have been busy welcoming the first group of new students to the University for fall, which included over 450 new students in the Colleges of Law and Medicine and Public Health.

Also, the final draft of the I-QUE report was distributed and IPC is pleased to have begun working toward a number of the goals and strategies outlined within the document. Among these, IPC is pleased to have Vice Provost Nancy Rogers working as a liaison between the administration and the professional students, which has been immensely valuable in their efforts to address the strategies outlined in the report.

Additionally, they are able to implement a new program for professional students in the ever so highly discussed area of parking. This new program promotes ridesharing by allowing three professional students to purchase one carpool pass at the "B" parking level. While this is a limited trial program, feedback from the

STUDENT GOVERNMENT REPORTS (contd)

Mr. Schuerger: (contd)

students has been good, as they feel that they get an award for ridesharing while the program also helps to cut congestion on campus, and cuts pollution to aid the environment.

With the continued support of the administration, IPC feels optimistic that with time, every strategy outlined by the I-QUE report will be addressed, and the quality of professional education at The Ohio State University will become second to none.

Finally, the Undergraduate Student Government Report. The students at Ohio State are ready to come back and are looking forward to the beginning of class. But let us not forget that they are also looking forward to a football season that will bring us a bowl game.

It has been a busy summer for the Undergraduate Student Government as we prepare for the upcoming year. I'd like to thank Provost Ed Ray and Interim Vice President Bill Hall for continuing to involve students in the decision-making process at this University. We're happy with the amount of input we had concerning the Coke contract, and look forward to seeing some of the benefits of this contract in the future.

Currently, the Undergraduate Student Government is planning on having someone at the meetings of Cabinet, Senate, and different areas of campus to raise the awareness of the students about undergraduate student government and the issues concerning them. We are very fortunate to be a part of the Coke contract and its negotiations, as I hope we have created a legacy for the students here at this University.

We are optimistic that this will be a year of positive progress and are fortunate to be at Ohio State at this time. Thank you for giving us this opportunity to address the Board.

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Thereupon the Board adjourned to meet Friday, October 6, 2000, at The Ohio State University Fawcett Center for Tomorrow, Columbus, Ohio.

Attest:

William J. Napier
Secretary

George A. Skestos
Chairman

**OSU Board of Trustees
Fiscal Affairs Committee
FY 2001 Budget Summary
August 30, 2000**

- I. Context
 - A. Strategic Objectives
 - B. Environment
 - C. Previous Board Action
 - D. Income and Spending Summary

- II. Income and Expense Summary
 - A. Enrollment Projection
 - B. Revenue Projections
 - C. Funding for Continuing Services

- III. Strategic Investments
 - A. OAA Multi-year Commitments
 - B. Performance Challenge
 - C. Student Set Aside
 - D. Technology
 - E. Development
 - F. Service Improvements
 - G. Mandates
 - H. Exclusive Beverage Funding

- IV. Implications
 - A. Strategic
 - B. Programmatic
 - C. Financial

Office of Academic Affairs
Office of Business and Finance
August 21, 2000

I. Context

A. Strategic Objectives – to support the University’s goal to become one of the nation’s preeminent public universities. The four cornerstones of this strategy are:

1. Selective investment in those academic programs that have the potential for academic distinction.
2. Improving the quality of the student experience inside and outside the classroom.
3. Becoming an exemplar within higher education for the success of our commitment to diversity.
4. Expanding outreach and engagement activity to better respond to the needs of the communities the University serves.

B. Environment

1. FY 2001 represents the fifth consecutive year of budget stability since achieving budget equilibrium in FY 1996.
 2. During this period, significant funding increases have been provided to support academic priorities.
 3. This has been achieved in an environment where undergraduate tuition has been capped, federal funding interrupted, enrollments have declined, competition for the best faculty and best students has increased and the state has had to address a court decision regarding school funding.
 4. FY 2001 provides a number of new challenges, including:
 - A significant restructuring of state funding practices, including a cap on Ph.D. funding, growth in performance funding and differing expectations regarding regional campuses.
 - Continued uncertainty as a result of *DeRolph vs. State of Ohio*.
 - Continuing competitive pressures on salaries and student financial aid.
 - An extensive list of unmet needs and continuing commitments.
-

I. Context (cont.)

C. Previous Actions of OSU Board

1. March 3 Revenue Benchmark Report
2. April 7 Compensation Benchmark Report
3. May 5 Compensation Guidelines approved
4. June 2 Approval for Tuition and Fees
5. July 7 Approval of Income and Spending Totals

D. Income and Spending Summary (Columbus Campus)

1. Available General Fund Resources are projected to increase 5.3%, including:
 - a) State Instructional Funding + 2.4%
 - b) Performance Challenge +60.7%
 - c) Student Fee Income¹ + 7.4%
2. General Fund Expenses to increase 5.3%, including a 4.2% increase for continuing services.
3. Unrestricted Continuing Funds held in reserve include:
 - a) Planned Fund Balance \$.10M
 - b) Enrollment Reserve \$ 0
 - c) Safety Margin for Revenue Projections² ± 1%

¹ Reflects impact of potential enrollment fluctuations, two new programs (EMBA & Masters of Accounting) and higher professional fees.

² Excluding instructional subsidy

II. Income and Expense Summary

A. Enrollment Projections

The enrollment adjustments are based on the following headcounts for the Columbus Campus:

<u>FY 98(AU)</u>	<u>FY 99 (Au)</u>	<u>FY 00 (Au)</u>	<u>FY 01 (Au)</u>
48,278 (act)	48,511 (act)	48,003 (act)	47,942 (est)

B. Revenue Projections

- Instructional Funding is based on the approved State budget. It reflects the delayed impact of previous enrollment declines.
- Student fees increase at 6.0% for undergraduates, 5.0% for most graduates and professional students.
- All other income is projected to increase \$1.3 million or 1.5%. All other income includes Research Indirect Cost Recovery, Overhead and Temporary Investment Income.

Continuing General Funds Income (Columbus Campus Only) (all figures in millions)

Revenue Source	FY 00 Base	FY 01 Estimate	\$ Difference	% Change
Instructional Funding	\$312.8	\$320.3	\$7.5	2.4%
Performance Challenge ¹	12.2	19.6	7.4	60.7%
Student Fees	303.2	325.5	22.3	7.4%
All Other	87.7	89.0	1.3	1.5%
Sub total	715.9	754.4	38.5	5.4%
Continuing Funds Carried Forward	0	(.4)	(.4)	NA
Total Resources Available	\$715.9	\$754.0	\$38.1	5.3%

¹ Includes Success Challenge and Research Challenge.

C. Funding for continuing services:

1. Compensation - 4.0% increase in salary budgets, plus faculty promotions, contractual settlements and a temporary 0.5% reduction in University share of benefit charges for employees due to a temporary decrease in the University's PERS payments.
2. Student Financial Aid - includes 6.0% increase for undergraduate scholarships plus funding for the Buckeye Scholarship Program and 5.0% for graduate fee authorizations adjusted for decreases due to decreased graduate enrollments.
3. Research Support - increase in Research Support is equal to inflationary cost of continued services plus an additional \$1.4 million in Research Challenge funding. Except for the Research Challenge funding which is provided by the State to invest in increased capacity, an increase in indirect cost recoveries should fund this expenditure increase.
4. Facilities - includes 2.7% inflation adjustment for Plant, Operation and Maintenance of existing facilities, including utilities plus an additional \$1.0M for new facilities and rentals.
5. Summary

Continuing Services	FY 00 Base	FY 01 Recommended	\$ Difference	% Change
Compensation	\$467.2	\$487.2	\$20.0	4.3%
Student Financial Aid ¹	85.2	89.1	3.9	4.6%
Research Support	35.3	38.0	2.7	7.6%
Facilities	41.6	43.7	2.1	5.0%
All Other ²	86.6	87.7	1.1	1.3%
Sub Total	715.9	745.7	29.8	4.2%
Strategic Investment ³	NA	8.3	8.3	NA
Total Expenses	\$715.9	\$754.0	\$38.1	5.3%

¹ Scholarships and graduate fee authorizations will be increased at least equal to tuition. The total increase is less because of a reduction in the number of fee authorizations due to declines in graduate enrollment.

² Includes Reserves.

³ See detail of Strategic Investment on pages 6-11.

III. Strategic Investments

As part of the academic planning process, the University has established eight categories of targeted funds that are designed to support strategic goals. Investments in these categories represent a commitment to improve the University over and above current service levels. In FY 2001, a total of \$12.4 million in additional continuing General Funds, \$12.8 million in one-time General Funds and \$13.5 million in non-General Funds are recommended for this purpose. These are:

Strategic Investment	Continuing	One-Time	Non-Gen Funds
OAA Multi-year Commitments	\$3,128,000	\$835,000	
Challenge Funding			
Research Challenge	1,438,000		
Success challenge	5,790,000	202,000	
Student Set Aside	651,000		
Technology Set Aside	700,000	9,000,000	
Support of Private Fund Raising			5,391,000
Service Improvements	416,000	1,718,000	
Mandates	246,000	1,015,000	
Exclusive Beverage Funding			8,100,000
Grand Total	\$12,369,000	\$12,770,000	\$13,491,000

A. OAA Multi-year Commitments

In order to plan effectively, program managers need to be able to count on a predictable revenue stream for more than one year at a time. While a changing fiscal and legal environment makes this impossible to do in all cases, Academic Affairs has identified four critical areas that are so important that the University has committed to specified increases on a multi-year basis.

These four areas are the Academic Enrichment/Selective Investment Program, Enhanced Recruitment of top students, Library acquisitions and the Board of Trustees' Chair. These amounts are as follows:

Program	Continuing	One-time
Academic Excellence Fund	\$2,000,000	
Enhanced Recruiting	500,000	500,000
Library acquisitions	628,000	0
Board of Trustees' Chair		335,000
Grand Total	\$3,128,000	\$835,000

In addition, the central investment in Academic Enrichment/Selective Investment will be matched by a \$1,655,000 reallocation from the 18 colleges, equal to .5% of their beginning-year budgets.

More information on the funding history of these programs can be found in the Multi-year Commitments section of the Current Funds Budget book.

B. Performance Challenge

There have been a number of changes in recent years in the way the State of Ohio provides funding support for higher education. The most significant of these has been a shift away from exclusive reliance on an enrollment-driven formula to greater reliance on funding related to performance in critical areas of importance to the State of Ohio. This effort has been strongly supported by the Ohio Board of Regents, all of Ohio's colleges and universities, as well as our elected officials.

The results of these changes are dramatic. In FY 1998, the first year of Performance Challenge, OSU Main Campus received 5.3% of its additional State funds from this source and the total University received 5.8% of its additional State funds from this source. In FY 2001, OSU Main Campus will receive 49.5% of its increased funding from this source and the total University will receive 49.4% of its increased state funding from Performance Challenge funds.

FY 2001 Performance Challenge funds for Main Campus will come through two challenge programs: Research Challenge and Success Challenge.

Research Challenge funding is increasing \$1.4 million over FY 2000 and is intended to support and reward success in attracting outside funds in support of research.

Success Challenge funding is increasing \$5.9 million over FY 2000 and is designed to reward successful program completion of at-risk students and timely graduation (usually four years) for all undergraduate students. There is \$3,500,000 targeted for undergraduate scholarships, including a new scholarship program for the top students from under-represented groups transferring to OSU from Ohio's two-year colleges.

The recommendations for allocation are summarized below.

Item	Continuing	One-Time
Research Challenge Funds ¹	\$1,438,000	\$0
(Allocations to be determined)		
Success Challenge		
Recommendations:		
Undergraduate Scholarships	1,300,000	
Young Scholars ²	1,700,000	
Closed Course Funding ²	500,000	
Targeted Recruiting	500,000	
Mount Leadership	170,000	0
Honors & Scholars	150,000	0
Transfer Credit Re-engineering	200,000	172,000
Personal Contact Program	33,000	30,000
Diversity Programs ³	600,000	0
Student Financial Aid Admin	100,000	0
Diversity Scholarships	500,000	0
Faculty and TA Coordinator	37,000	0
Subtotal Success Challenge	5,790,000	202,000
Grand Total	\$7,228,000	\$202,000

FY 2001 Performance Challenge funds for the Regional Campuses will come through Access Challenge and Jobs Challenge. Access Challenge is designed to help more students in Ohio enroll in college by making in-state undergraduate tuition more affordable at access campuses. Jobs Challenge rewards successful efforts at meeting community needs for job training.

C. Student Set Aside

Since FY 1996, the University has allocated a portion of the undergraduate student fee increase beyond that needed to meet baseline service needs to program improvements that directly benefit students. This year, 0.5% of the 6% increase, or \$0.6 million has been set aside for this purpose. An additional \$0.5 will be earmarked for instructional computing.

The specific recommendations for allocation of these funds are listed below. As in previous years, these recommendations have been developed in close consultation with the Undergraduate Student government and our Student Trustees.

¹ Includes \$1,438,000 of new revenue. In addition, \$2.0 of revenue realized in previous fiscal years is yet to be allocated.

² Redistribution of existing funds.

² Redistribution of existing funds.

³ May include bridge program, multicultural center, speaker's series, etc.

Student Set Aside Funding Recommendations

Recommendations		
	Continuing	One-Time
Student Affairs Programming		
Late Night Programming	\$100,000	0
Success Center – Media Res. Coordinator	25,000	0
Outreach Education	31,000	0
Cultural Awareness	25,000	0
Financial Aid Admin Improvements ¹	200,000	0
Study Abroad	35,000	0
Subtotal	416,000	0
Student Safety/Campus Partners		
High Street Safety ²	200,000	0
University District Set Aside	35,000	0
Subtotal	235,000	
Grand Total³	\$651,000	0

D. Technology

In FY 2001, 0.5% of the 6% student fee increase, or \$0.7 million of continuing funds, and \$9 million in one-time funds are set aside to support instructional technology, the transition to the new University Technology Organization, the completion of the ARMS project and a new reporting system (BRIO). The recommendations for allocation are summarized below.

Recommendations		
	Continuing	One-Time
Set Aside	\$500,000	0
Enterprise Solutions ⁴	0	3,000,000
Transition ⁵	NA	3,000,000
ARMS Completion ⁶	0	3,000,000
BRIO Reporting	200,000	0
Grand Total⁷	\$700,000	\$9,000,000

¹ To be supplemented by \$100,000 in continuing funds from Success Challenge.

² Contingent on matching amount from area business property owners via Campus Partners.

³ Includes \$185,000 carried over from a prior year of which \$34,000 TBD.

⁴ Per recommendations in 7/7/00 recommendations to BOT

⁵ This represents the estimated gap between continuing funds needed (\$6.8M) and funds available (\$3.8M) in FY 2001.

⁶ Redistribution of funds previously allocated.

⁷ Does not include \$365,000 allocated in FY 2000 for Y2K compliance that will be reprogrammed to support learning technology in FY 2001.

E. Development

Since the beginning of the Affirm Thy Friendship Campaign in 1995, the University has adopted a policy of using a small portion of the interest earnings from private gifts to support proper stewardship of those gifts and future fund raising efforts.

The July 7, 2000 resolution of the OSU Board of Trustees approved an overall assessment of up to 1.1% of the interest earned by the endowment to make Development fully self supporting. In FY 2001, \$2.5 million of Development's general funds operation will be transferred to this source leaving an additional \$2.9 million in continuing funds to support OSU's successful development efforts. Additional transfers are planned for later in this fiscal year. This \$ 2.9 million includes a commitment to installing a modern gift-and-donor-tracking system, as well as the high priority needs summarized below.

Recommendations	
Replacement of General Funds	\$2,500,000
New Specific Allocations	
Reserve for New Computer System	\$1,000,000
Renovation Reserve	500,000
Staff Support of OSU Foundation	309,000
Glenn Institute Support	300,000
Medical Center Support	280,000
Systems Support	270,000
Donor Stewardship/Cultivation Events	125,000
Credit Card Fees	62,000
Alumni Tracking	45,000
Subtotal	\$2,891,000
Grand Total	\$5,391,000

F. Targeted Service Improvements

In addition to the strategic priorities listed above, the University administration recommends additional funds annually for improved services in targeted areas. A total of \$416,000 in continuing funds and \$1,718,000 in one-time funds is recommended for FY 2001 and is summarized below. Items funded include increased funds for central alarm and monitoring system (Business and Finance), Broad Banding and Work-Life Study (HR), and Risk Protection and additional lab animal capacity (Research).

Unit	Recommended Funding	
	Continuing	One-time
Research	0	453,000
Human Resources	0	115,000
Business and Finance	118,000	150,000
President	200,000	1,000,000
Academic Affairs	50,000	
Legal Affairs	48,000	
Grand Total	\$416,000	\$1,718,000

G. Mandates

Every year the University is faced with new legal requirements in a variety of areas. Even though funding to comply with these State and Federal mandates is not provided in many cases, it is still in the best interest of the University to comply for both financial and legal reasons.

In FY 2001, a total of \$246,000 in continuing funds and \$1,015,000 in one-time funds is recommended for this purpose. The principle mandates recommended for funding are listed below:

Compliance Category	Recommended Funding	
	Continuing	One-time
Environmental	\$85,000	\$790,000
ADA/Disability Services	73,000	23,000
Human Resources	29,000	60,000
Research	59,000	142,000
Grand Total	\$246,000	\$1,015,000

H. Exclusive Beverage Funds Distribution

There is \$8.1 million in funds available from the exclusive beverage contract with Coke for immediate distribution in support of students' services and academic programs. In the Student Affairs' portion, the endowment for undergraduate organizations yields \$50,000 a year and the endowment for graduate and professional student organizations yields \$25,000 a year. The recommendations for funding are summarized below.

Unit	Program	Amount
Student Affairs		
	Student Government*	\$1,500,000
	Student Facilities	2,000,000
	Greek Task Force Implementation*	500,000
	TBD in Consultation in Student Groups	450,000
	Student Assessment Program*	400,000
	Living Learning	200,000
	Study Abroad*	150,000
	Diversity Initiatives	100,000
	Parent Association	100,000
	Subtotal	\$5,400,000
Academic Affairs	Academic Programs in Diversity*	\$1,000,000
	Instructional Technology	1,000,000
	Seed Fund for Diversity	400,000
	CGS/IPC*	300,000
	Subtotal	\$2,700,000
	Grand Total	\$8,100,000

*All or major portion will be endowment

Implications

A. Strategic

1. These recommendations advance the University's strategic goals, particularly Academic Enrichment/Selective Investment, recruitment and retention.
2. Quality of academic programs will continue to improve through academic enrichment and enhanced recruiting.
3. Quality of the student experience will improve, particularly through increased investment in recruitment, retention and diversity.

4. Additional support will be provided for diversity and outreach and engagement.

B. FY 2001 Programmatic Improvements that directly benefit students:

- \$2.0 million in additional funds for improving academic programs on a selective basis. Last year, funds were distributed to the Departments of Chemistry, History, Political Science, and the Neuroscience Program, which touch nearly 24,000 students annually. Five additional awards will be funded this Fall.
- \$5.9 million in additional Success Challenge Funds to improve retention and reduce time to graduation.
- \$1.4 million in additional Research Challenge Funds to support expanding research opportunities for students and attract more outside funds to Ohio.
- \$500,000 in continuing funds from the Technology Set Aside and \$1,000,000 in one-time Exclusive Beverage funding for Instructional Technology in the classroom.
- \$616,000 for improved student services including additional programming and improved Student Safety.
- \$73,000 in continuing funds to improve services to students with disabilities.
- \$3.1 million in increased Student Financial Aid to fund tuition increases for our neediest students.
- \$2.7 million in pay raises for student employees.

C. Financial

1. The University will have sufficient resources to continue to move towards achieving its academic goals, but significant challenges remain.
 2. The trend of earmarking revenues and expenditures brings in more resources but also reduces budget flexibility.
-

3. The change in state funding for Ph.D. programs will require a reevaluation of our management of graduate enrollments.
4. The operating margin for continuing funds is extremely tight; therefore, flexibility is limited.
5. The gap between Columbus Campus and Extended Campuses for tuition will widen. This is a result of state policy designating extended campuses as a high priority for removing financial barriers to access resulting in Extended Campus lower-division undergraduate fees decreasing 5% and upper-division undergraduate fees increasing only 3%, while Columbus Campus undergraduate fees will increase 6%.

BOARD OF TRUSTEES RESOLUTIONS

FY 2001 COMPENSATION GUIDELINES

May 5, 2000

WHEREAS the current budget expires on June 30, 2000; and

WHEREAS faculty, staff and student employee salary increases at comparable institutions are expected to average 4.0% over the next twelve months; and

WHEREAS appropriate consultations have taken place throughout the University:

NOW THEREFORE

BE IT RESOLVED, that the Board of Trustees approves budgeted increases to the salary pool of approximately 4.0% for faculty, staff and student employees to take effect July 1, 2000 as described in the attached materials; and

BE IT FURTHER RESOLVED, that the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and to file these materials with the official records of the University.

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2000-2001 TUITION INCREASE

June 2, 2000

Synopsis: The first phase of Fiscal Year 2000-01 tuition increases is proposed.

WHEREAS it is the University's intent to increase the combined FY 2000-01 General and Instructional Fees for in-state undergraduates at the Columbus Campus by 6.0%; and

WHEREAS current State law requires a second separate vote for any tuition increases above 4.0% for the combined General and Instructional Fees for in-state undergraduates; and

WHEREAS a separate resolution will be presented at the July meeting to achieve the full 6.0% increase in General and Instructional Fees for Columbus Campus in-state undergraduates:

NOW THEREFORE

BE IT RESOLVED, that General and Instructional Fees for the Columbus Campus be increased by 4.0% for in-state undergraduates for Fiscal Year 2000-01 pending a second vote at the July meeting to increase these fees by a total of 6.0%.

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FY 2001 CURRENT FUNDS BUDGET

July 7, 2000

Synopsis: Approval of the budget for Fiscal Year 2001, is proposed.

WHEREAS the levels of resources have been identified for the current biennium; and

WHEREAS current legislation requires a second separate vote for any tuition increases above 4.0% for the combined General and Instructional Fees for in-state undergraduates at the Columbus Campus; and

WHEREAS an increase of 4.0% in the combined General and Instructional Fees for in-state undergraduates at the Columbus Campus was approved at the June 2, 2000 meeting; and

WHEREAS an additional increase of 2.0%, for a total of 6.0%, must be approved on a contingent basis in a separate vote; and

WHEREAS Access Challenge requires lower-division resident undergraduate fees to decrease by 5% at the regional campuses and current legislation limits upper-division regional campus fees to a 3% increase; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2001 budget:

NOW THEREFORE

BE IT RESOLVED, That tuition and fees at the Columbus and Regional Campuses be increased for in-state undergraduates and all other levels of students as specified in the accompanying materials; and

BE IT FURTHER RESOLVED, That the University's General Funds Budget Summary for Fiscal Year 2000-01, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That the University will submit the completed Current Funds Budget for the August 30, 2000 meeting of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and to file these materials with the official records of this University.

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FINAL APPROVAL OF FISCAL YEAR 2000-2001 BUDGET

August 30, 2000

Synopsis: The President recommends approval of the completed current funds budget for fiscal year 2000-2001.

WHEREAS the University's General Funds Budget Summary for fiscal year 2000-2001 was approved at the July meeting, with authorization for the President to make expenditures within the projected income levels; and

WHEREAS the President now presents the fiscal year 2000-2001 current funds budget for final approval:

NOW THEREFORE

BE IT RESOLVED, that the Board of Trustees hereby approves and adopts the completed fiscal year 2000-2001 current funds budget; and

BE IT FURTHER RESOLVED, that the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting and to file these materials with the official records of this University.

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I. BUDGET SUMMARY

Enhancing the quality of the educational and campus experience of our students has been the University's primary goal since achieving financial equilibrium in FY 1996.

In addition to the continued focus on academic excellence, the student experience, and revenue growth, the University is also focusing its resources on diversity and outreach and engagement. The mission and vision statements are operationalized on an annual basis by the Leadership Agenda. The 2000 Leadership Agenda, prepared by the Executive Vice President and Provost after broad consultation, is designed to represent the priority goals for the academic year.

The 2000 Leadership agenda established specific goals and priorities in the following areas:

Academic Excellence

The Ohio State University will attain preeminence and international distinction in a broad range of academic programs by recruiting, retaining, and developing top-notch faculty, high-ability students, and an outstanding staff; and providing them with the highest quality teaching, learning, and research environments.

Student Experience

The Ohio State University will provide an excellent educational experience for all students. It will attract and serve students able to

take full advantage of the opportunities we offer as a comprehensive land-grant research university. For undergraduates, we will provide opportunities for intellectual growth, moral/social development, and physical and emotional well being. For graduate and professional students, we will present excellent academic programs and professional preparation in a supportive environment.

Diversity and Community

The Ohio State University will become a community of differences whose faculty, staff, administration, and students reflect the rich diversity of our state and nation. An effective diversity program can strengthen the University, contribute to educational excellence, help students reach their full potential, address past injustices, and improve the society in which the University functions.

Outreach and Engagement

The Ohio State University will involve faculty, students, and staff more fully with the publics that we serve through a coherent, focused plan for outreach and engagement. We will proactively address society's needs at the local, state, national, and international levels through research, teaching, and service. In the process, University research, teaching, and service activities will themselves be enriched and University resources will be augmented.

Resource Management

To fully support academic priorities, The Ohio State University will increase and diversify revenues, target resources more effectively, protect assets to reduce financial uncertainty, and improve financial management.

Budget Context

In March, at the beginning of the FY 2001 budget planning process, the University presented a financial benchmark report to the OSU Board of Trustees. The financial benchmark report compared OSU's five-year financial trends with nine benchmark institutions in a number of key areas.

These nine benchmark institutions include, in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Washington, Illinois-Urbana/Champaign, Minnesota-Twin Cities, Texas-Austin, Penn State, and Arizona.

These benchmark institutions were selected from a list of 20 public higher-education institutions of the highest quality that most closely resemble Ohio State in organization and missions. In order to enhance the value of our organizational comparisons, similarities between the organizational configuration, distribution of faculty, and institutional size of the benchmark institutions were examined. The most recent outcomes of these comparisons follow:

- Current Funds Revenues per FTE student at Ohio State are significantly (19%) below the average of benchmark institutions. However, OSU's revenues growth rate of 6.8% from FY97 to FY98 outpaced that of the benchmark institutions (6.1%).

- State support per student FTE at Ohio State (\$8,422) in FY98 is also significantly less (7.2%) than benchmark institutions. OSU's growth rate of 4.5% from FY97 to FY98 outpaced that of the benchmark institutions (3.2%).
- While instructional expenditures per student FTE at Ohio State are 8% higher than the benchmark average, expenditures on academic support outside the classroom are 36% below the benchmark average.
- Resident undergraduate tuition and fees at Ohio State are 5.6% below the benchmark average in FY 2000.
- Ohio State is ranked higher than any other Ohio public university in academic reputation. Yet, Ohio State's resident undergraduate tuition and fees are 6.3% below the state average.
- This makes Ohio State an excellent value for students and taxpayers, but it also means Ohio State does not have the resources to match our competition in key support areas.

The University will continue to develop benchmarking of key academic, demographic and administrative issues to inform decisions on budget prioritization.

Academic Planning

The University mission and vision statements, adopted by the Board of Trustees on December 4, 1992, are currently being reviewed as a part of the process to produce a new academic

plan. The 1992 mission statement continues to be the cornerstone for academic planning at Ohio State.

Ohio State University Functional Mission Statement

The Ohio State University has as its mission the attainment of international distinction in education, scholarship and public service. As the state's leading comprehensive teaching and research university, Ohio State combines a responsibility for the advancement and dissemination of knowledge with a land-grant heritage of public service. It offers an extensive range of academic programs in the liberal arts, the sciences and the professions.

Ohio State provides accessible, high-quality undergraduate and graduate education for qualified students who are able to benefit from a scholarly environment in which research inspires and informs teaching. At Ohio State, we celebrate and learn from our diversity and we value individual differences. Academic freedom is defended within an environment of civility, tolerance, and mutual respect. (Adopted by: Ohio State Board of Trustees December, 1992)

The mission statement is critical to the University's planning process providing the framework within which goals are established. The goals are operationalized annually through the establishment of the Leadership Agenda.

The 2000 Leadership Agenda identifies the following objectives for each of its five specific University goals.

Academic Excellence

1. Continue and review Selective Investment/Academic Enrichment Programs
2. Implement Research Commission key recommendation strategies
3. Review and implement recommendations to improve faculty development

Student Experience

1. Create curriculum appropriate to the talents, needs, and interests of our students
2. Improve space and programming to optimize student physical and mental/emotional well-being
3. Improve GTA training and strengthen faculty relationships with students
4. Further improve services for students, focusing as needed on the concerns of special groups
5. Expand living/learning communities

Diversity and Community

1. Review, discuss, and begin implementation of the Diversity Action Plan
2. Enhance recruitment of minority and female faculty; Review FHAP for most effective resource use
3. Enhance recruitment and retention of minority students
4. Implement The Women's Place

Outreach and Engagement

1. Strongly support existing and new Outreach and Engagement activities by individuals and units; documenting and evaluating results more fully and

- developing more effective communications to internal and external audiences
2. Further develop, support, publicize, and evaluate a small set of institution-wide initiatives through which our research, teaching, and service expertise helps solve contemporary societal problems
3. Foster a continuing internal dialogue on the nature, importance, and practical issues related to Outreach and Engagement
4. Identify and secure new funding to support and enhance Outreach and Engagement

Resource Management

1. Continue preparation for Budget Restructuring
2. Align Performance Challenge funds with Ohio State's strategic objectives and the state's requirements
3. Establish guidelines for entrepreneurial initiatives
4. Implement student computing fee to meet student technology needs
5. Complete post Affirm Thy Friendship Campaign planning

II. ACADEMIC BUDGET PRIORITIES

The FY 2001 budget focuses on five key elements:

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1. To continue to improve academic quality and scholarship
 2. To continue to improve the quality of the student experience inside and outside the classroom
 3. To become a good example within higher education for the success of the University's commitment to diversity and the University's community outreach and engagement activities
 4. To continue to be competitive with our benchmark institutions in the area of faculty compensation
 5. To continue to strengthen the University's financial position including protection of assets and increasing and diversifying the revenue base

These key elements, as detailed in the following sections, are reflected in the budget and spending priorities for FY 2001.

Quality of the Academic Program and Scholarship

Improving the quality of the academic programs has been the primary objective of the budget process since a formal link with academic planning was forged in 1993.

Academic excellence is the driving force of a great university. The academic enterprise at Ohio State focuses on the creation of knowledge based on research and scholarly activity and its dissemination to students, scholars and the public.

The program areas and budget priorities that further the objectives of quality academic programs include:

- Academic Enrichment and Selective Investment
- Student Recruitment Initiatives
- Sustained Library Acquisitions
- Research Support
- Revenue Enhancement Program

Improving the Student Experience Inside and Outside the Classroom

The first step to a good student experience is a high quality academic environment. However, that alone is not enough. The May 1995 report of the Committee on the Undergraduate Experience demonstrated the need for the University to address the needs of the student both inside and outside the classroom. The key recommendations highlighted in the CUE report focus on basic student needs, including social involvement, the academic experience, service to students and valuing the individual.

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Investments made in prior years have contributed positively to the improvement of the student experience. The program areas and budget priorities that continue to advance these objectives include:

- Increased student financial aid
- Improved accessibility to information technologies
- Retaining the best students
- Improvements in the physical environment, including campus safety

Diversity

The University is committed to improving diversity among its faculty, staff and students. We recognize that a diverse

learning environment is extremely important to the academic and student experience. A number of investments in diversity programs have been made in the past and continue to be made including:

- Young Scholars Program
- Minority Scholarships
- Faculty Hiring Assistance Program
- Success Challenge for at-risk students
- Disability Services
- ADA Coordination

Outreach

The University is committed to improving relations with the greater community surrounding the campus. We recognize that good community relations are paramount to the total academic and student experience. A number of investments in outreach programs have been made in the past and continue to be made including:

- OSU Cares Program
- Campus Collaborative
- The Management Leadership Program
- Glenn Institute for Public Service and Public Policy

Compensation

Consistent with prior years, the key to quality academic programs is a strong and diverse faculty. The budget for Fiscal Year 2001 continues to maintain competitive faculty compensation levels while increasing University support to hire additional faculty and to make other improvements in the quality of academic programs, but does not allow us to make up for previous slippage compared to our benchmark institutions. It also includes merit-based increases for staff and student employees. About fifty-four percent of additional 2001 revenues were invested in maintaining a competitive faculty and staff compensation structure.

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Protection of Assets/Revenue Growth

Improving the quality of academic programs and the quality of the student experience, as well as offering competitive compensation packages, requires additional resources and better management of existing resources. Resources alone will not improve outcome, but comparisons with our benchmark institutions show that Ohio State needs to strengthen and diversify its revenue base.

The key to financial growth is a quality academic program, an energized research agenda and an improved student experience; so that the recruitment and retention objectives that support a strong enrollment base can be met. The program and budget

priorities that support the protection of assets and financial growth in the FY 2001 budget include:

- Improving the physical environment
- Supporting the new administrative computing system
- Complying with Federal and State mandates
- Supporting Development efforts to increase corporate and individual giving to the University
- Improving services to the campus
- Maintaining a Rainy-Day Fund

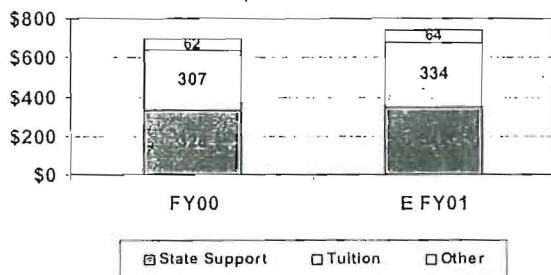
III. FY 2001 REVENUE SUMMARY

Overview

For the first time, total University current funds revenues including General Funds, Earnings and Restricted revenue will exceed \$2.0 billion. They are projected to increase by 7.7 percent from \$1.99 billion to \$2.15 billion. It took the University 120 years to exceed the \$1.0 billion mark, but only one decade to reach the \$2.0 billion mark.

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**Columbus Campus General Fund
Revenues (millions)**



General Fund revenues for the Columbus Campus consists of instructional funding, challenge funding, state line-item appropriations, tuition and other resources. General Fund revenues are projected to increase by \$43.0 million or 6.2 percent in FY 2001.

State Support

Beginning in Fiscal Year 2000, the definition of state support has changed from just the Instructional funding amount to a combination of the Instructional funding and the Challenge funding. This is considered our core funding from the State of Ohio.

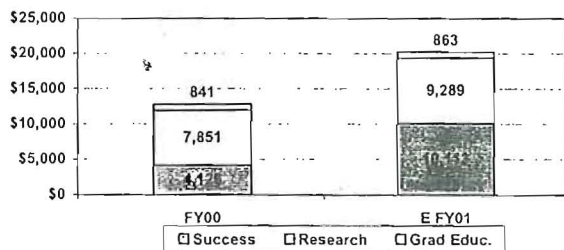
State instructional funding for the Columbus and extended campuses is \$342.0 million or 44 percent of the General Funds Budget. This is an increase of \$7.1 million or 2.1 percent from the FY 2000 subsidy level.

Our mission-based core funding from the State consists of instructional funding plus the following five line-item appropriations considered challenge funding. Funding for each

university or college is dependent upon that institution's performance in meeting statewide goals.

- ◊ **Success Challenge** - two-thirds of the appropriation is used to reward successful completion of academic programs by at-risk students (OIG-eligible undergraduates); one-third is used to reward successful completion of a baccalaureate degree in a timely manner (4 years).
- ◊ **Research Challenge** - rewards success in securing sponsored research from external sources.
- ◊ **Priorities in Graduate Education** - used to support improvements in graduate programs in computer science.
- ◊ **Access Challenge** - reduces financial barriers to entry-level higher education. (Two-year schools only)
- ◊ **Jobs Challenge** - rewards successful efforts at meeting community needs for non-credit job training. (two-year schools only)

Columbus Campus Performance Challenge Funding (000s)



Over the last two years challenge funding has grown much more rapidly than instructional funding.

Summary of OSU Challenge Funding FY 2000 - FY 2001		
Challenge Line Item	FY 2000	Estimated FY 2001
OSU Main Campus		
Success	\$4,126,000	\$10,113,000
Research	7,851,000	9,289,100
Priorities in Graduate Education	841,000	863,000
Total	\$12,818,000	\$20,265,100
Extended Campuses (includes ATI)		
Access	\$1,671,000	\$3,230,000
Jobs	344,000	\$362,000
Total	\$2,015,000	\$3,592,000

Student Fees

The entering class this fall for the Columbus campus will meet the University's enrollment target of 5,800 new first quarter freshman. Undergraduate enrollment remains relatively stable while graduate enrollments have continued to decline. Total main campus enrollments for FY 2001 (new first quarter

freshman and returning students) are projected to be 47,492 slightly lower than FY 2000.

Undergraduate Fees:

- ◊ The combined instructional and general fees will increase 6.0 percent for all undergraduate students. This is in compliance with language in the state budget bill that placed an upper limit on undergraduate fees. A full time resident undergraduate student will pay \$246 more per year.
- ◊ The combined instructional and general fees for the lower-division undergraduate fees at the extended campuses will decrease by 5% in FY 2001. Upper-division undergraduate fees will increase by 3%.
- ◊ The undergraduate non-resident surcharge will increase 5.3 percent. A full time non-resident undergraduate will pay \$645 more per year.

Graduate and Professional Fees:

- ◊ The combined instructional and general fees and the non-resident surcharges for all graduate and some professional students will increase 5 percent for most graduate students. Full time resident graduate students will pay \$291 more per year; full time non-resident graduate students will pay \$747 more per year.
- ◊ The following selective fee increases will be implemented for full-time professional students. These

increases represent the total increase over FY 2000 for instructional, general and non-resident fees.

College	Resident Instructional Fee		Non-Resident Surcharge	
	%	\$	%	\$
Law (semester)	19.0	1,538	5.0	494
Business MBA	35.2 ¹	2,259	5.0	456
Business Executive MBA ²	NA	NA	NA	NA
Business M.of Accounting ²	NA	NA	NA	NA
Medicine	8.5	1,056	5.0	1,050
Vet Med.	8.5	837	5.0	969
Dentistry	9.5	990	5.0	939

Increases above 5.0 percent in the instructional and non-resident fees will be earmarked for improved services to students in these five colleges.

General Fees:

The general fee was restructured in FY 1994-95 to correspond with the costs associated with the Office of Student Affairs and includes such items as Student

¹ The first year of a multi-year increase
² New program, see table I.3

Unions, Student Health Center, and Student Life. The purpose of this restructuring was to improve accountability. The general fee will increase from \$110 to \$117 for all students in FY 2001 to reflect increased service.

◆ **Technology Fees:**

Effective Autumn Quarter 2000, the College of the Arts and the College of Nursing will assess a learning-technology fee of \$43 per quarter for full-time undergraduate majors and graduate students. Four colleges and two programs will now have earmarked computer fees.

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College Specific Computer Fees (Qtr)		
	Undergrad	Graduate
Engineering	110	120
Business	85	120
CIS in Arts & Sci.	73	80
Public Policy in SBS	NA	120
Arts	43	43
Nursing	43	43

◆ **Other Charges:**

Other charges to Columbus Campus students (room and board, bookstores, health insurance, etc.) will increase by an average of 5.8 percent for undergraduate students. Included in this weighted average is a 5 percent increase

in room and board charges for undergraduate residence halls.

Other Income

Other General Funds income consists of Indirect Cost recoveries from external research grants (\$38.6 million); other overhead (\$35.2 million); interest income (\$10.1 million); Unrestricted Endowment and other designated income (\$4.1 million); and other miscellaneous income (\$.9 million). Other income in total is projected to increase by 1.5% from the FY 2000 level to \$88.9 million.

Non-General Funds Income

◆ **Exclusive Beverage Funds:**

There is \$8.1 million in funds available from the Exclusive Beverage Contract for student programming, instructional computing and diversity. \$4.2 million will be placed in endowments to generate funding for future years.

◆ **Endowment Funds:**

The Board of Trustees has approved moving \$2.5M of Development Fund expenditures from General Funds to the Endowment. Additional transfers may take place in the future.

IV. FY 2001 EXPENDITURE SUMMARY

Academic Priorities

The FY 2001 budget will continue to focus on the principal academic goal "to enhance the quality of the education and experience of our students" through funding allocated to the following categories at the Columbus Campus (the Endowment funding is provided from the Exclusive Beverage Contract):

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Academic Priority	Continuing	One-Time	Non-Gen Funds	Endow
Academic Excellence	\$10,078,000	\$500,000		
Student Experience	5,813,000	202,000	3,560,000	3,240,000
Compensation	20,343,000			
Diversity	1,202,000	83,000	300,000	1,000,000
Financial Protection & Growth	3,090,000	12,225,000		
Transfer to Endowment	-2,500,000		5,750,000	
Total Academic Priorities	\$38,026,000	\$13,010,000	\$9,610,000	\$4,240,000

Academic Excellence

- ◆ **Academic Enrichment and Selective Investment** - these funds will be used to support college proposals for learning opportunities in areas of proven excellence.
- ◆ **Student Recruitment** - these funds provide additional support to recruit students to Ohio State who are most likely to benefit from the environment of a comprehensive public research university.
- ◆ **Library Acquisitions** - funds are allocated to the three academic libraries to allow them to maintain their purchasing power for books, periodicals and journals.
- ◆ **Research Support** - A commitment to research is part of what makes a learning experience at Ohio State unique. Increased support for research, including space, equipment and graduate research associates, are required to keep pace with the substantial increase in research activity over the last ten years. In addition, we received an increase of \$1,438,000 in research challenge funds from the State of Ohio. These funds

shall be used to enhance the basic research capabilities of the University.

- ◊ **Revenue Enhancements**- this is a program whereby fee increases for the professional colleges greater than the 5.0% implemented by the University will be shared with those colleges. Included in FY 2001 are the start-up funding for the Executive MBA and Masters of Accounting programs in the College of Business.
- ◊ **Provost's Reserve** – these funds are assigned to the Provost to address academic priorities that occur during the year outside the budget process.

Academic Excellence	Continuing	One-Time	Non-Gen Funds	Endow
Academic Enrichment & Selective Investment	\$2,000,000			
Enhanced Student Recruitment	500,000	500,000		
Library Acquisitions	628,000			
Research Support	2,700,000			
Revenue Enhancements	4,000,000			
Provost Reserve	250,000			
Total Academic Programs	\$10,078,000	\$500,000	\$0	\$0

Student Experience

A successful experience outside the classroom is an important part of a student's education. Continuing efforts are being made to implement some of the recommendations from the

- ◊ **Other Programs** – Student Set Aside funds include University District Involvement, Late-Night Programming, Success Center, Outreach and improved services to students. Success Challenge funds include Transfer Credit Re-engineering, Honors & Scholars support, and variety of other campus activities that directly benefit students.

Student Experience	Continuing	One-Time	Non-Gen Funds	Endow
Student Financial Aid	\$3,972,000			
Instructional Technology	500,000			
Instructional Tech (One-Time) ¹			1,000,000	
Safety	200,000			
Stdt Financial Aid Admin	300,000			
All Other	841,000	202,000		
Programming, (continuing) ¹				3,240,000
Facility & Other (One-Time) ¹			2,560,000	
Total Student Experience	\$5,813,000	\$202,000	\$3,560,000	\$3,240,000

Compensation

Compensation cuts across all mission and vision areas. Quality of the faculty is the single most important factor in supporting

¹ Funding from Exclusive Beverage Contract.

report on the committee on the undergraduate experience. These include:

- ◊ **Student Financial Aid** – Increased funding for students who receive financial aid to match the increase in tuition.
- ◊ **Instructional Technology** - Access to state-of-the-art technology is critical to a successful student experience. This includes Web-based Student Services, increased support for student computer labs, Academic Computing and planning money for a new student information system. Currently, the following colleges and programs have a Learning Technology fee: Business, Engineering, Arts, Nursing, Public Policy and CIS in Arts and Sciences. In addition, \$1.0M from the Exclusive Beverage funds will be earmarked for Instructional Technology.
- ◊ **Safety** – Includes High Street safety as part of the Campus Partners initiative.
- ◊ **Student Financial Aid Administration** – In support of additional Student Financial Aid Counselors.
- ◊ **Exclusive Beverage Funds** - A portion of the \$12M from the Exclusive Beverage funding is earmarked for student programming including a \$3.24M endowment, \$2.05M for facilities and an additional \$0.5M one-time cash for various programs in support of students.

education, scholarship and public service and enhancing the educational experience of our students. In FY 2001, the average budgeted salary increase for regular faculty and non-union staff and student workers will be 4.0 percent. The guidelines for distribution are as follows:

Merit – Four percent (4.0%) of the total base-salary budget will be allocated to recognize performance. As was done last year, no "base-merit" amount was mandated centrally. Colleges and vice-presidential units were provided discretion in distributing pay raises. All pay distributions for non-union employees are now based on merit.

- ◊ **Faculty Promotions** - Faculty approved for promotion will receive an additional 6.0% increase above the average increase of 4.0% being awarded other faculty.
- ◊ **Classified** – Includes the General Funds portion of the first year of the three year CWA contract and the first year of the three year FOP contract.
- ◊ **Health and Other Benefits** - For several years, the University's increases in health benefits have been low because of aggressive management of health-care administration, the shift in emphasis to the University Prime Care health plan, and an increase in the employees' share of the health premiums. However, the University is now faced with a 9% increase in the cost of employee medical benefits. Health-care costs locally and nationally have increased sharply beginning in FY99, with no sign of

letting up. In particular, the cost of pharmaceutical drugs and outpatient diagnosis costs have increased. The University is formulating a multi-year plan to bring this under control.

PERS announced a temporary decrease in employee contributions that resulted in a negative change in departmental benefits costs for FY 2001. The amount of the reduction (\$3.75 million) is being reserved centrally until it is determined what future costs will be.

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Compensation	Continuing	One-Time	Non-Gen Funds	Endow
Faculty	\$6,487,000			
Faculty Promotions	600,000			
Admin. & Professional	3,512,000			
Classified	2,761,000			
Specials & Wages	3,294,000			
Other Personnel	301,000			
Benefits	-362,000			
PERS Reserve	3,750,000 ⁴			
Total Compensation	\$20,343,000	\$0	\$0	\$0

Diversity

Diversity cuts across all areas of activity. In addition to increases in funding support for existing programs such as the Faculty Hiring Assistance Program, Young Scholars and

⁴ General Funds only.

Minority Scholarships, the University will make the following additional investments in FY 2001:

- ◊ \$500,000 in additional scholarships for underrepresented groups, which will include a program to offer scholarships to the top graduates of Ohio's two-year colleges who choose to attend OSU.
- ◊ \$600,000 in additional continuing Success Challenge funds for programs designed to improve retention and graduation rates for at-risk students, including a summer bridge program, a speakers' series and other initiatives.
- ◊ \$1.3 million in funds from the exclusive beverage contract with Coca-Cola to establish a \$1.0 million endowment in support of academic programs in diversity (yielding \$50,000 a year) and additional \$300,000 in diversity initiative seed funds.
- ◊ An additional \$102,000 in continuing funds and \$83,000 in one-time funds for improvements in tracking diversity among faculty and staff, and additional funds for Disability Services.

Diversity	Continuing	One-Time	Non-Gen Funds	Endow
Scholarships	500,000	\$0		
Programming	600,000	0		
HR-Data Collection	29,000	21,000		
HR-Diversity Train	0	39,000		
Student Affairs-Disability	73,000	5,000		
Library-ADA	0	18,000		
Diversity Endow (Endowment) ⁵				1,000,000
Seed Funds (One-Time) ⁵			300,000	
Total	\$1,202,000	\$83,000	\$300,000	\$1,000,000

Financial Protection and Growth

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The University's ability to continue to improve the quality of the academic product and the student experience depends in part on acquiring more resources to support these activities. This in turn requires a commitment by the University to protect its financial assets and to invest in revenue growth. The best investment to ensure revenue growth is to continue to improve the quality of the academic programs and the student experience, which will in turn secure the enrollment base to support revenue growth. Other initiatives include:

- ◊ **Physical Environment** - Maintaining the physical plant of the University is necessary in order for all academic and

⁵ Funding from the Exclusive Beverage Contract.

academic-support units throughout the campus to carry out successfully the missions of the University. This budget provides an inflationary 3.0 percent increase in budgeted facility costs including utilities, rent, insurance, repair and renovations, but excluding debt service. It also provides for an additional \$1.0 million for maintaining new facilities including the Food Science & Technology and Life Sciences Research and for improved maintenance of existing facilities.

- ◊ **Administrative Computing (ARMS)** - The University is completing the implementation of its core Human Resources and Financial systems. This has been a multi-year effort designed to replace out-of-date systems that are increasingly expensive to maintain, comply with previous audit findings, along with addressing the year 2000 issues with these systems. The project will be completed by September 30, 2000.
- ◊ **Mandate Compliance** - The University generally does not receive additional state or federal funds to comply with new state or federal mandates. Nevertheless, the University must comply with these requirements. These include OSHA, Research Risks Protection, Clean Water Act, Clean Air Act, EPA Remediation, EPA Volunteer Action Program and others.
- ◊ **Supplies & Equipment and other** - There will be no inflationary increase for Supplies and Equipment in FY

2001. However, there are selective increases for Contract Custodial and other professional expenses.

- ◊ **Other Service Improvements** – Selective investments were made in administrative support areas that promised increased service improvements or productivity gains in support of the academic mission. These include increased funds for Procurement Expeditors, Broad Banding and ULAR new units.
- ◊ **Technology Related** – Computing projects of \$3.0M and one-time transition funding of \$3.0M for the Office of Information Technology to assume responsibility for administrative computing in FY 2001.

Protection of Assets	Continuing	One-Time	Non-Gen Funds	Endow
Physical Environment	\$2,086,000	250,000		
ARMS Completion	0	\$3,000,000 ⁶		
Mandate Compliance	144,000	922,000		
Supplies & Other	244,000	0		
Other Service Improvements	616,000	2,053,000		
Technology Related		6,000,000		
Total Protection of Assets	\$3,090,000	\$12,225,000	\$0	\$0

⁶ Funding for only three months as the implementation of the ARMS project comes to a close and the new systems are absorbed into the new OIT office.

Transfer to Endowment

- ◊ **Endowment Funds** – The University Board of Trustees has approved a plan to make development efforts fully self supporting. This includes a transfer of \$2.5 million of Development expenditures from General Funds to Endowment. In addition, Endowment will fund an additional \$3.25 million of campaign expenses.

V. EXPENDITURE TRENDS AND MULTI-YEAR COMMITMENTS

Expenditure Trends (All figures in millions of \$)

Following are a series of tables showing a five-year trend of budget amounts for selected priorities. They include only general fund sources unless otherwise indicated.

Compensation - The five-year trend shows that the University has increased salaries and wages an average of 4.2% a year.

Compensation					
	FY 97	FY 98	FY 99	FY 00	FY 01
Salaries & Wages	\$347.80	\$358.70	\$373.10	\$391.20	\$406.30
Benefits	65.90	66.30	69.90	76.00	80.90
Total	\$413.70	\$425.00	\$443.00	\$467.20	\$487.20

Academic Enrichment and Selective Investment - The University continues to improve the academic experience of its students by investing in quality academic programs. In FY 2000, the University invested in academic programs such as Chemistry, History, Political Science, and the Neuroscience Program.

Academic Enrichment/ Selective Investment					
	FY 97	FY 98	FY 99	FY 00	FY 01
Acad Enrich/ Sel. Invest	\$3.00	\$4.25	\$5.75	\$7.50	\$9.50
Library Support	8.40	9.00	9.80	10.40	11.10
Board of Trustees Chair			0.34	0.34	0.34
Total	\$11.40	\$13.25	\$15.89	\$18.24	\$20.94

Research Support - Research continues to be a high priority of the University. Resources must continually be invested in order for the University to maintain its competitive advantage and to increase its research output. In FY 2001, total research support is increasing, due primarily to an 18% increase in Research Challenge. The Research Commission report will assist in focusing university efforts to further advance its research efforts.

Research Support					
	FY 97	FY 98	FY 99	FY 00	FY 01
OSURF Admin	\$6.10	\$6.30	\$7.20	\$7.50	\$7.20
Special Purpose Resch	4.00	4.10	4.00	4.20	4.40
Research Fee Auth	10.80	11.40	11.90	12.50	13.30
Research Challenge	4.80	5.10	6.00	7.90	9.30
Research Facilities	5.80	6.20	6.40	6.20	6.20
Total	\$31.50	\$33.10	\$35.50	\$38.30	\$40.4

Diversity - The University must continue to invest in those areas that will have the greatest potential for a positive

impact on its commitment to diversity. Additional funds to offset inflation are provided for Young Scholars, minority scholarships, the hiring of faculty from underrepresented groups such as women and minorities, retention, an Academic Program Endowment and Initiative Seed Funds. The source of funds for Young Scholars, Minority Scholars and Retention is provided from Success Challenge funds. The Exclusive Beverage Contract will fund the Endowment and Seed Funds.

Diversity					
	FY 97	FY 98	FY 99	FY 00	FY 01
Young Scholars	\$3.50	\$3.60	\$3.80	\$3.90	\$4.10
Minority Scholarships	6.30	6.60	7.00	7.40	8.30
Faculty Assistance Prog	4.70	4.90	5.10	5.30	5.50
Retention & Other					0.70
Academic Prog Endow ⁷					1.00
Initiative Seed Funds ⁷					0.30
Total	\$14.50	\$15.10	\$15.90	\$16.60	\$19.90

Student Financial Aid - In FY 2001, an estimated 30,000 students will receive some form of financial aid, making this one of the most critical student services. In addition to improvements in financial aid administration, the University will allocate additional funds so that scholarships and fee authorizations can be increased to offset increases in student fees. FY 1997 marks the beginning of the Buckeye Scholarships, a new program to attract quality non-resident students.

Student Financial Aid					
	FY 97	FY 98	FY 99	FY 00	FY 01
Administration	\$3.00	\$3.10	\$4.00	\$4.30	\$4.70
Scholarships	6.00	6.30	6.70	7.10	7.80
High Ability Scholars	5.00	5.00	5.30	5.60	5.50
Fee Authorizations	52.70	55.30	58.10	59.00	59.80
Buckeye Scholarships	1.00	2.30	2.70	4.50	6.10
Total	\$67.70	\$72.00	\$76.80	\$80.50	\$83.90

Physical Environment - The Ohio State University faces a backlog of over \$100 million in identified maintenance needs for campus facilities. While this backlog cannot be eliminated overnight, the University continues to invest significant resources to maintain the campus physical environment.

Physical Environment					
	FY 97	FY 98	FY 99	FY 00	FY 01
Renovations (State)	\$9.25	\$9.25	\$7.70	\$7.70	\$7.70
University (Cont.)	5.50	6.40	6.90	6.90	7.00
University (1-Time)	1.00	0.25	1.70	1.00	0.50
Total	\$15.75	\$15.90	\$16.30	\$15.60	\$15.20

Instructional Technology - The University has embarked on a multi-year effort to increase support of learning technology. It is critical to the University's mission that faculty, staff and students have access to the most advanced technologies.

⁷ Funded from Exclusive Beverage Contract.

Instructional Technology					
	FY 97	FY 98	FY 99	FY 00	FY 01
Acad Computing (Cont)	\$1.30	\$2.30	\$2.60	\$3.20	\$3.70
Acad Computing (1-Time)	0.20	0	0.80	0.80	1.00
Engineering Comptr Fees	1.30	1.30	1.30	1.30	1.30
Business Comptr Fees	0.80	0.80	0.80	0.80	0.80
Arts Comptr Fees	0	0	0	0	0.20
Nursing Comptr Fees	0	0	0	0	0.10
Total	\$3.60	\$4.40	\$5.50	\$6.10	\$7.10

Central Continuing General Funds

The following table lists the explicit multi-year commitments against General Funds. Academic Enrichment/Selective Investment and Enhanced Recruiting are a continuation of existing commitments. Campus Partners continuing General Fund commitments include increased operating support (\$150,000 in FY01), support of High Street safety (\$200,000 in FY01) and projected increased rental costs for the move of Human Resources and other units into Gateway in FY 2003.

Multi-Year General Funds					
Commitment	Initial FY	FY 00 Base	FY 01 New	FY 02 Planned	FY 03 Planned
Acad.Enrich./Sel.Inv.	1995	\$7.5	\$2.0	\$2.0	\$2.0
Recruit Enhance	1998	2.1	.5	.5	.5
Campus Partners	1995	NA	.4	TBD	-.5-1.0

Multi-Year Commitment

The documentation of multi-year commitments has been part of the annual budget document every year since FY 1996. The purpose of this review is to share with the campus a sense of what these commitments are and how they change from year to year. In order to plan effectively, the University needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility.

Multi-year commitments are divided into five categories to reflect the various sources of the funds to address these commitments. These categories are:

- Continuing Central General Funds
- One-time Central General Funds
- Central Non-General Funds
- Colleges and support units Funds
- Capital Funds

The desired ceiling for multi-year commitments is that commitments in the succeeding three years not exceed 1% of the current year's budget. One percent of the FY 2001 Columbus Campus General Funds Budget is \$7.5 million. New commitments to Academic Enrichment/Selective Investment, recruiting plus future obligations from FY 2001 through FY 2003 totals between \$8.5 and \$9.0 million, which is slightly above the desired ceiling.

In addition to the specific multi-year commitments listed above, the University will continue to need funding increases in a number of areas of the General Funds budget

with normal growth in the budget or targeted increases in state support. These include:

- Competitive annual compensation increases for faculty, staff and student employees
- Continuing needs for increases in supplies
- Implementation of the CUE, G-QUE and I-QUE recommendations
- Support of research
- Deferred maintenance and other capital needs
- Academic and administrative computing needs
- Student Financial Aid
- Unfunded legal mandates
- Diversity and Outreach

Commitments of Central One-Time General Funds

The commitments to Project Reinvent and Technology Transfer remain unchanged. The commitment for the Trustees' Chair is the third year of a five-year commitment. In July 2000, the new automated General Ledger (GL) System was successfully implemented including adjustments to the Procurement and Human Resources Systems to use the new GL Chartfield. The FY 01 commitment of one-time funds to the ARMS project reflects the funds needed to phase out the ARMS project by September 30, 2000. Responsibility for the operation and maintenance of the GL, Procurement and Human Resources Systems will be integrated into the University's existing business and technology support organizations.

Commitment	Initial FY	FY 00	FY 01	FY 02 Planned	FY 03 Planned
ARMS	1994	\$35.00	\$3.00	\$0	\$0
Project Reinvent	1997	.50	.50	0	0
Technology Transfer	1998	.09	.04	0	0
PharmD Support	1998	.13	.25	.14	0
Trustees' Chair	1999	.34	.34	.34	.34

Central Commitments of Non-General Funds

As the University moves to diversify its funding sources, the commitment of non-General Fund sources is becoming much more significant. The following table lists ongoing commitments of non-General Fund sources that are primarily endowment and unrestricted gift money.

Project	Total Commit	Source	Time Frame
Campus Partners	\$25.0	Endowment	97-02
	5.0	Unrest'd Gift	95-03
	.5	Affinity Card	97-02
Science & Tech Campus	.6	Unrst'd Gift	98-02
	.6	Ofc of Research	98-02

College and Other Unit Commitments⁸

As the University moves to a more decentralized structure, colleges and other administrative units will be taking on greater financial responsibility. The section below lists specific initiatives where colleges and large administrative units have been given or have given loans to accomplish certain objectives.

New commitments are 1) the Heart Hospital, a new venture by the Hospitals to consolidate all of its heart health care in a state of the art facility and establish itself in the forefront of heart health care in the nation, and 2) the first major renovation of Ohio Stadium since its construction over 75 years ago to correct major systems and safety problems and bring it up to current ADA and building codes.

Project	College/ Unit	Total Commit	Source ⁸	Time Frame
Gas Turbine Initiative	Engineer	\$0.80	Cost Recovery	98-04
Telescope project	MAPS	0.50	Cost Recovery	98-02
Heart & Lung Institute Addition - Construction	Medicine		Cost Recovery	98-13
Operating		0.63		
		0.13		
MRI	Medicine	1.50	Cost Recoveries	00-05
Prologue, Inc.	Medicine	2.50	Clinical Trials	TBD
MedOhio, Inc.	Hospitals	5.60	Patient Revenues	TBD
Executive Residence	Business	28.6	Revenues	01-33
Schottenstein Center	Athletics	55.2	Ticket Sales	99-29
Heart Hospital	Hospital	TBD	Patient Revenues	01-?
Ohio Stadium	Athletics	187.0	Tickets, Club Seats, Boxes	99-29

⁸ Increases in cost recoveries are guaranteed by the respective college and/or department

In addition to these specific multi-year commitments by the colleges, we want to highlight some of the financial issues facing The Ohio State University Medical Center and the Department of Athletics.

The Ohio State University Medical Center is the most comprehensive in the country. It currently is facing three financial challenges: 1) the competitive challenges presented by managed care, 2) the competitive challenge for research dollars and 3) the recent purchase of Park Hospital to create University Hospitals East. Recent hires in the area of medical research will provide an enormous competitive advantage in cancer and heart and lung research. However, these new hires will also require a corresponding significant investment of financial support. Initial support has been made possible by the transfer of funds from past successful operations of the James Cancer Hospital and Solove Research Institute, among other sources.

With the competitive pressures of responding to managed care likely to become more intense, it is unlikely these resources will be available at this level in the future, and the resources that are available will be needed to address the significant start-up costs involved in competing in managed care. The Ohio State University Medical Center accounts for nearly 40% of the entire OSU budget from all sources. While The Ohio State University Medical Center is currently in a positive financial position, the ability to remain strong academically and financially depends on the ability of the University and the Medical Center to balance internal demands for more resources in support of teaching and research against external market forces oriented

primarily on cost. The Hospitals lost \$40 million in FY 2000. A recovery plan has been adopted that should return the hospitals to a break-even status before the end of FY 2001.

The Ohio State University's Athletics' program is the largest in the country and one of only a handful that contributes resources back to the University. The program has aggressively embarked on an effort to correct a number of chronic problems that have needed attention for quite some time, including inadequate facilities, equity issues in coaches' pay and Title IX compliance. Facility investments alone will result in a quarter of a billion dollar commitment over the next few years. While the program is still in reasonable financial shape, future revenue growth is not guaranteed. It is essential that new commitments be carefully balanced against future resources so that the program remains financially viable.

Capital

Capital commitments were addressed as part of the preparation for the Biennial Capital Request, and thus will not be dealt with in great detail here. However, we would like to emphasize the following:

- Most of the University's capital needs can be met through the capital funding process as long as state support remains at or near current levels and private support continues to increase.
- Even if state support remains constant or grows slightly, the University will need to make a modest commitment in additional continuing funds to address deferred

maintenance problems (\$250,000-\$500,000 per year for the next 3-5 years).

- Capital needs in Athletics and the Medical Center need to be balanced against operating needs and expected income sources, as discussed previously.
- Although adequate funds are available to meet the needs described above, existing funding sources are not sufficient to embark on additional major university financed construction projects without additional funding sources.

Conclusions

Financial projections show that if present trends continue, the University will have sufficient funds to cover these commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the University needs to reduce other commitments or secure additional funds.

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SELECTED DEFINITIONS

Current Funds are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

General Funds are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

Earnings Operations are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

Auxiliaries are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Traffic & Parking, Fawcett Center, University Airport and Property Management.

Unrestricted refers to the sum of general funds plus earnings operations.

Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

Instruction and Departmental Research includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

Academic Support includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices,

Student Services includes funds expended for those activities whose primary purpose is to contribute to students' emotional and physical well-being, as well as their cultural and social development outside the context of the formal instructional program. Included in this category are Admissions and Registration,

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Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

Institutional Support contains expenditures for operations that provide support services to the total University. Included in this category are Executive Management, Business and Finance, Human Resources, University Relations and Development.

Plant, Operations and Maintenance includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

Separately Budgeted Research includes all expenditures for activities specifically organized to produce research outcomes, whether commissioned by an external agency to the University (restricted) or the

University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or contract. It does not include training grants or equipment grants.

Public Service includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

Scholarships and Fellowships include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

One-Time Funds are cash payments made to colleges and departments on a discretionary basis. The source of the funds is the cash balance carried forward from the previous year.

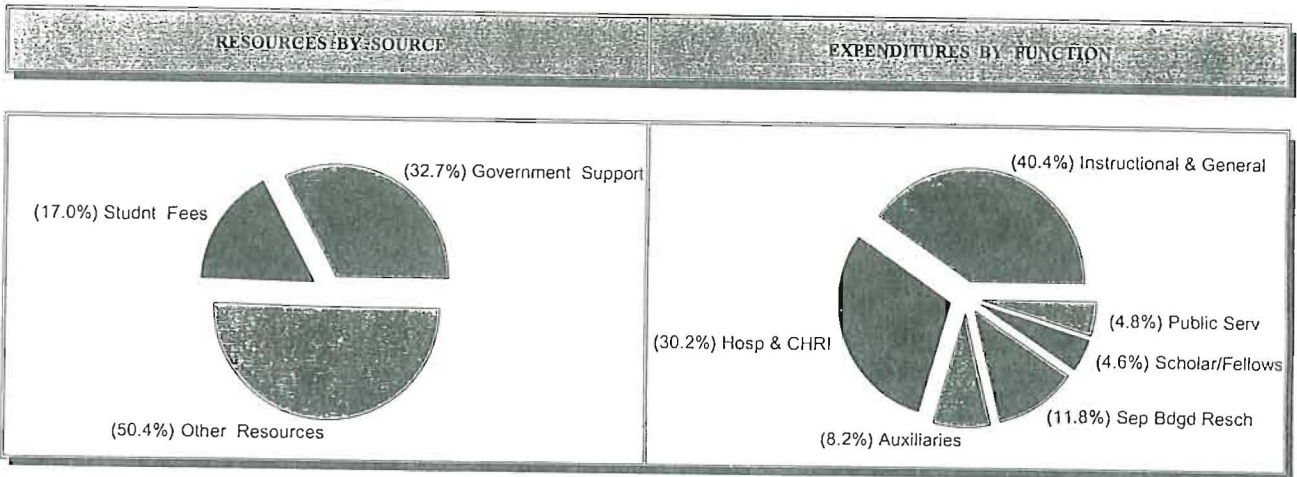
**SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY
(IN THOUSANDS)**

	1999-00 Budget	2000-01 Budget	Dollar Change	Percent Change
RESOURCES				
Government Support				
State	470,641	493,565	22,924	4.9%
Federal	159,159	184,204	25,045	15.7% (2)
Local	20,391	23,776	3,385	16.6% (2)
Subtotal Government Support	650,191	701,545	51,354	7.9%
Student Fees				
Instructional, General & Tuition	320,526	343,655	23,129	7.2%
Other	19,741	20,804	1,063	5.4%
Subtotal Student Fees	340,267	364,459	24,192	7.1%
Other Resources				
Hospital & CHRI (1)	606,563	641,548	34,985	5.8%
Auxiliaries	164,053	168,433	4,380	2.7%
Departmental Sales & Services	49,485	50,509	1,024	2.1%
Private Grants & Contracts	137,620	166,389	28,769	20.9% (2)
Other	46,456	55,669	9,213	19.8% (2)
Subtotal Other Resources	1,004,177	1,082,548	78,371	7.8%
Total Resources	1,994,635	2,148,552	153,917	7.7%
EXPENDITURES				
Instructional & General	813,887	864,114	50,227	6.2%
Separately Budgeted Research	206,893	252,969	46,076	22.3% (2)
Public Service	92,731	103,022	10,291	11.1%
Scholarships & Fellowships	80,593	98,748	18,155	22.5% (2)
Auxiliaries	170,759	175,753	4,994	2.9%
Hospitals & CHRI (1)	646,503	645,619	(884)	-0.1%
Total Expenditures	2,011,366	2,140,225	128,859	6.4%

(1) FY 2000 Hospitals figures were restated to include Hospital East as well as activity in place of budget.

(2) Increases are mostly due to inclusion of previously unbudgeted items and projecting more realistic restricted budgets.

**SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY**



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**SUMMARY OF ANNUAL STUDENT FEES
COLUMBUS CAMPUS**

	INSTRUCTIONAL & GENERAL FEES				NON-RESIDENT SURCHARGE			
	FY 2000 Fees	FY 2001 Fees	Dollar Change	Percent Change	FY 2000 Fees	FY 2001 Fees	Dollar Change	Percent Change
Undergraduate	4,110	4,356	246	6.0%	7,950	8,349	399	5.0%
Graduate	5,730	6,021	291	5.1%	9,135	9,591	456	5.0%
Graduate Professional								
MBA	6,744	9,024	2,280	33.8%	9,135	9,591	456	5.0%
EMBA	NA	26,076	NA	NA	NA	9,591	NA	NA
Master of Accounting	NA	15,036	NA	NA	NA	9,591	NA	NA
Pharmacy	6,765	7,107	342	5.1%	9,720	10,206	486	5.0%
Medicine	12,744	13,821	1,077	8.5%	21,015	22,065	1,050	5.0%
Dentistry	10,746	11,757	1,011	9.4%	18,765	19,704	939	5.0%
Optometry	9,420	9,897	477	5.1%	18,765	19,704	939	5.0%
Veterinary Medicine	10,191	11,049	858	8.4%	19,395	20,364	969	5.0%
Law	8,424	9,984	1,560	18.5%	9,864	10,358	494	5.0%

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Notes:
 Full time fees for Undergraduate Students are for 12+ credit hours.
 Full time fees for Graduate and Professional Students are for 10+ credit hours.

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY BY FUND
(IN THOUSANDS)**

230

	1999-00	2000-2001			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
Instructional Funding	333,146	342,099			342,099	2.7%
Appropriations	109,784	23,857		99,270	123,127	12.2%
Ohio Grants & Contracts	27,711	1,474		26,865	28,313	2.3%
Subtotal State Support	470,641	367,430		126,135	493,565	4.9%
Federal Grants & Contracts	159,159	27,978		156,226	184,204	15.7% (2)
Local Grants & Contracts	20,391	2,721		21,055	23,776	16.6% (2)
Subtotal Government	650,191	399,129		303,416	701,645	7.9%
Student Fees						
Instructional, General and Tuition	320,526	343,655			343,655	7.2%
Other	19,741	12,107	8,537	160	20,804	5.4%
Subtotal Student Fees	340,267	355,762	8,537	160	364,459	7.1%
Other Resources						
Hospital & CHRI Services (1)	606,563		641,548		641,548	5.8%
Auxiliary Sales & Services	164,053		168,433		168,433	2.7%
Departmental Sales & Services	49,485	152	50,357		50,509	2.1%
Private Grants & Contracts	137,620	11,940	50	164,399	166,389	20.9% (2)
Endowment Income	28,202	3,375		32,670	36,048	27.8% (2)
Investment Income	11,919	12,870		70	12,740	6.9%
Other	6,335	6,884			6,884	8.7%
Subtotal Other	1,004,177	15,021	668,389	187,139	1,682,548	7.8%
Total Resources	1,994,635	785,912	888,925	490,715	2,148,552	7.7%

EXPENDITURES

	1999-00	2000-2001			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
Instruction & General						
Instruction & Departmental Research	510,893	444,025	26,015	83,295	553,335	8.3%
Academic Support	109,358	87,503	3,412	13,035	103,950	-4.1%
Student Services	47,086	42,934	5,760	1,253	49,947	5.1%
Institutional Support	61,924	49,863	7,313	13,803	70,979	14.6%
Plant, Operations & Maintenance	85,626	79,285	7	6,612	85,904	0.3%
Subtotal Instruction & General	813,887	703,609	42,507	117,998	864,114	6.2%
Separately Budgeted Research	206,893	29,294	10,188	213,487	252,869	22.3% (2)
Public Service	92,731	3,476	12,223	87,323	103,022	11.1%
Scholarships & Fellowships	80,593	47,583		51,165	98,748	22.5% (2)
Auxiliaries	170,759	1,412	166,841	7,500	175,753	2.9%
Hospitals & CHRI (1)	646,503		632,377	13,242	645,619	-0.1%
Total Expenditures	2,011,366	785,374	854,136	490,715	2,140,225	8.4%

(1) FY 2000 Hospitals figures were restated to include Hospital East as well as activity in place of budget.
(2) Increases are mostly due to inclusion of previously unbudgeted items and putting more realistic restricted budgets.

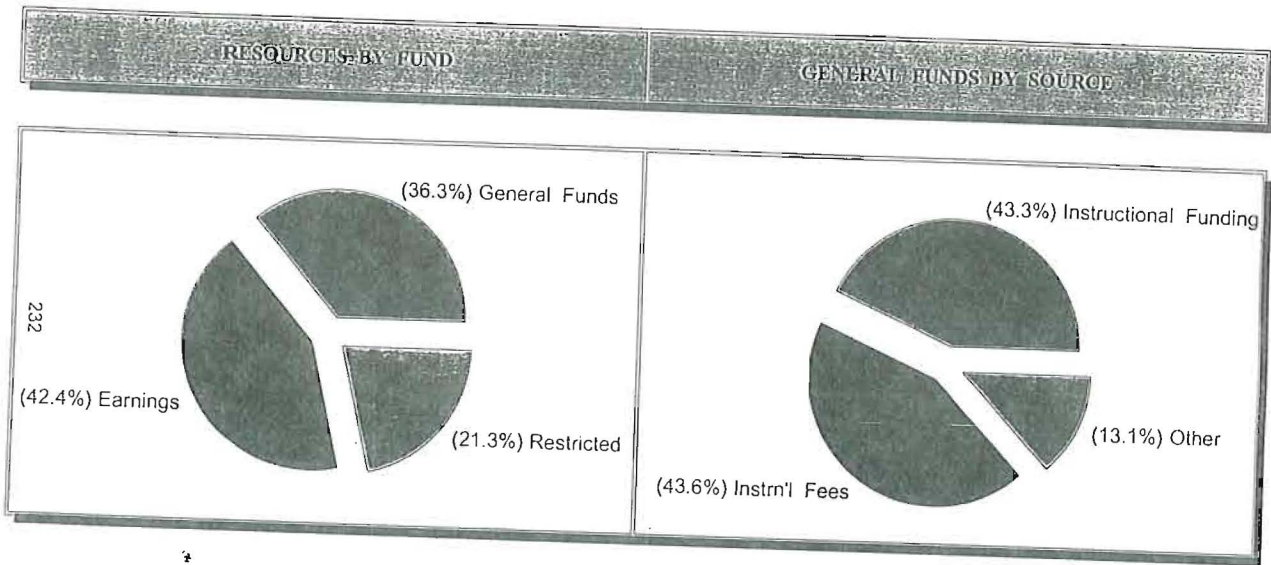
**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
COLUMBUS CAMPUS BY FUND
(IN THOUSANDS)**

231

	1999-00	2000-2001			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
RESOURCES						
Government Support						
State Support						
Instructional Funding	312,839	320,358		59,984	320,358	2.4%
Appropriations	70,798	20,265		26,000	80,249	13.3%
Ohio Grants & Contracts	27,089	1,396		85,984	27,396	1.1%
Subtotal State Support	410,726	342,019		145,000	429,005	4.2%
Federal Grants & Contracts	147,975	27,978		21,000	172,978	16.9% (2)
Local Grants & Contracts	20,336	2,721		21,000	23,721	16.6% (2)
Subtotal Government	679,037	372,718		251,984	624,702	7.9%
Student Fees						
Instructional, General and Tuition	299,886	322,200			322,200	7.4%
Other	18,140	11,580	7,800		19,380	6.8%
Subtotal Student Fees	318,026	333,780	7,800		341,580	7.4%
Other Resources						
Hospital, Hosp E & CHRI Services (1)	606,563		641,548		641,548	5.8%
Auxiliary Sales & Services	164,053		168,316		168,316	2.6%
Departmental Sales & Services	46,750		47,500		47,500	1.6%
Private Grants & Contracts	133,238	11,940		150,000	161,840	21.5% (2)
Endowment Income	27,375	3,375		32,000	36,375	29.2% (2)
Investment Income	11,025	11,300			11,300	2.5%
Other	6,004	6,533			6,533	8.8%
Subtotal Other	934,960	33,148	857,384	182,000	1,072,512	7.8%
Total Resources	1,992,023	739,646	865,184	433,984	2,039,794	7.9%
EXPENDITURES						
Instruction & General						
Instruction & Departmental Research	485,732	418,563	24,309	82,942	525,814	8.3%
Academic Support	98,919	82,798	2,262	10,000	95,060	-3.9%
Student Services	41,882	38,690	5,760	1,200	45,650	9.0%
Institutional Support	55,850	43,373	7,141	13,500	64,014	14.6%
Plant, Operations & Maintenance	77,520	74,828	1,600	1,500	76,428	-1.4%
Subtotal Instruction & General	759,903	659,252	39,472	109,242	806,844	6.2%
Separately Budgeted Research	164,484	29,294	10,188	170,000	209,482	27.4% (2)
Public Service	91,558	3,116	11,750	87,000	101,866	11.3%
Scholarships & Fellowships	76,685	46,821		47,000	93,821	22.3% (2)
Auxiliaries	170,712	1,412	166,761	7,500	175,673	2.9%
Hospital, Hosp E & CHRI (1)	646,503		632,377	13,242	645,619	-0.1%
Total Expenditures	1,909,845	739,895	854,548	433,984	2,033,427	6.5%

(1) FY 2000 Hospitals figures were restated to include Hospital East as well as activity in place of budget.
(2) Increases are mostly due to inclusion of previously unbudgeted items and putting more realistic restricted budgets.

BUDGETED RESOURCES COLUMBUS CAMPUS



DETAIL OF BUDGETED RESOURCES AND EXPENDITURES LIMA CAMPUS BY FUND (IN THOUSANDS)

233

RESOURCES

Government Support	
State Support	
Instructional Funding	
Appropriations	
Ohio Grants & Contracts	
Subtotal State Support	
Federal Grants & Contracts	
Local Grants & Contracts	
Subtotal Government	
Student Fees	
Instructional, General and Tuition	
Other	
Subtotal Student Fees	
Other Resources	
Hospital & CHRI Services	
Auxiliary Sales & Services	
Departmental Sales & Services	
Private Grants & Contracts	
Endowment Income	
Investment Income	
Other	
Subtotal Other	
Total Resources	

	1999-00			2000-2001			Percent Change
	Total Budget	General	Earnings	Restricted	Total Budget		
	4,118	4,091			4,091		-0.7%
	468	783			783		67.3%
	100		109		109		9.0%
	4,686	4,874	109		4,983		6.3%
	550		750		750		36.4%
	5,236	4,874	859		5,733		9.5%
	4,230	4,070			4,070		-3.8%
	724	264	472		736		1.7%
	4,954	4,334	472		4,805		-3.0%
	2		2		2		
			172		172		
	72			70	70		-2.8%
	87			85	85		-2.3%
	200	260		260	260		30.0%
	59	70		70	70		18.8%
	420	330	174	155	459		10.0%
Total Resources	10,610	9,538	846	1,014	11,198		5.5%

EXPENDITURES

Instruction & General	
Instruction & Departmental Research	
Academic Support	
Student Services	
Institutional Support	
Plant, Operations & Maintenance	
Subtotal Instruction & General	
Separately Budgeted Research	
Public Service	
Scholarships & Fellowships	
Auxiliaries	
Hospitals & CHRI	
Total Expenditures	

	1999-00			2000-2001			Percent Change
	Total Budget	General	Earnings	Restricted	Total Budget		
	4,944	5,320		68	5,388		9.0%
	1,095	1,004		10	1,014		-7.4%
	956	882		25	907		-5.1%
	1,006	1,007	172	25	1,204		19.7%
	873	871		7	878		0.6%
	5,174	5,084	172	135	5,391		5.2%
	34			34	34		
	662	69	472	65	606		-8.5%
	650			780	780		20.0%
	2		2		2		
Total Expenditures	10,222	9,153	646	1,014	10,813		5.0%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MANSFIELD CAMPUS BY FUND
(IN THOUSANDS)**

234

RESOURCES

Government Support
State Support
Instructional Funding
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Hospital & CHRI Services
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

Total Resources

1999-00 Total Budget	2000-2001			Total Budget	Percent Change
	General	Earnings	Restricted		
3,866	4,141			4,141	7.1%
517	802		174	976	88.8%
79	78		150	228	188.6%
4,462	5,021		324	5,345	19.8%
800			840	840	5.0%
6,262	5,021		1,154	6,185	17.5%
4,482	4,993			4,993	11.4%
83			160	160	92.8%
4,565	4,993		190	5,183	12.9%
		70		70	
140		22		22	-84.3%
80		50	89	139	73.8%
15			85	85	466.7%
260	400			400	53.8%
4					-100.0%
499	400	142	174	715	43.5%
10,326	10,414	142	1,498	12,054	16.7%

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxillaries
Hospitals & CHRI

Total Expenditures

4,458	5,035		119	5,154	15.6%
1,083	1,056			1,056	-2.5%
1,140	579			879	-49.2%
1,183	1,328		208	1,534	29.7%
752	814			814	8.2%
8,616	8,810		327	9,137	6.0%
150			30	30	-80.0%
400	291	1	1	293	-26.8%
1,110	425		1,140	1,565	41.0%
		33		33	
10,278	9,528	34	1,498	11,058	7.6%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MARION CAMPUS BY FUND
(IN THOUSANDS)**

235

RESOURCES

Government Support
State Support
Instructional Funding
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Hospital & CHRI Services
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

Total Resources

1999-00 Total Budget	2000-2001			Total Budget	Percent Change
	General	Earnings	Restricted		
3,555	3,575			3,575	0.6%
369	602		65	667	80.8%
28			40	40	42.9%
3,952	4,177		105	4,282	8.4%
450			500	800	11.1%
4,402	4,177		205	4,782	8.8%
3,533	3,692			3,692	4.5%
65	58			58	-10.8%
3,598	3,750			3,750	6.2%
2		2		2	
743		738		738	-0.7%
100			120	120	20.0%
353			150	150	-57.5%
63	340			340	439.7%
	66			66	
1,261	406	746	270	1,416	12.3%
9,261	8,333	740	875	9,948	7.4%

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxillaries
Hospitals & CHRI

Total Expenditures

4,483	4,097	730	78	4,905	9.4%
1,190	1,007		25	1,032	-13.3%
1,140	727		28	795	-33.8%
808	913		9	922	14.4%
679	635	7	5	847	-4.7%
8,298	7,379	737	148	8,261	-0.4%
10					-100.0%
10			100	100	900.0%
803	337		630	867	20.4%
2		2		2	
9,123	7,716	739	875	9,330	2.3%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
NEWARK CAMPUS BY FUND
(IN THOUSANDS)**

236

RESOURCES

Government Support
State Support
Instructional Funding
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Hospital & CHRI Services
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

Total Resources

	1999-00 Total Budget	2000-2001			Total Budget	Percent Change
		General	Earnings	Restricted		
Government Support						
State Support						
Instructional Funding	4,220	4,934		4,934	16.9%	
Appropriations	585	876		1,461	89.2%	
Ohio Grants & Contracts	25		231	20	-20.0%	
Subtotal State Support	4,830	5,810	251	6,061	25.5%	
Federal Grants & Contracts	667		667	667		
Local Grants & Contracts						
Subtotal Government	5,497	5,810	918	6,728	22.4%	
Student Fees						
Instructional, General and Tuition	5,238	5,500		5,500	5.0%	
Other	180	188		188	5.0%	
Subtotal Student Fees	5,398	5,688		5,688	5.0%	
Other Resources						
Hospital & CHRI Services			43	43	-2.3%	
Auxiliary Sales & Services	44					
Departmental Sales & Services			60	60	9.1%	
Private Grants & Contracts	65					
Endowment Income	100		100	100		
Investment Income	330	330		330		
Other	165	165		165		
Subtotal Other	694	495	43	638	0.6%	
Total Resources	11,593	11,973	43	13,034	13.0%	

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxiliaries
Hospitals & CHRI

Total Expenditures

	1999-00 Total Budget	2000-2001			Total Budget	Percent Change
		General	Earnings	Restricted		
Instruction & General						
Instruction & Departmental Research	5,945	6,202		6,200	5.0%	
Academic Support	1,135	1,192	38	1,192	5.0%	
Student Services	1,464	1,537		1,537	5.0%	
Institutional Support	1,283	1,274	60	1,334	3.9%	
Plant, Operations & Maintenance	833	875		875	5.0%	
Subtotal Instruction & General	10,650	11,050	98	11,178	4.5%	
Separately Budgeted Research	18		18	18		
Public Service	47		62	62	31.9%	
Scholarships & Fellowships	780		900	900	15.4%	
Auxiliaries	43		43	43		
Hospitals & CHRI						
Total Expenditures	11,545	11,050	43	12,201	6.7%	

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
AGRICULTURAL TECHNICAL INSTITUTE BY FUND
(IN THOUSANDS)**

237

RESOURCES

Government Support
State Support
Instructional Funding
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Hospital & CHRI Services
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

Total Resources

	1999-00 Total Budget	2000-2001			Total Budget	Percent Change
		General	Earnings	Restricted		
Government Support						
State Support						
Instructional Funding	4,548	5,000		5,000	9.9%	
Appropriations	373	529	85	614	64.6%	
Ohio Grants & Contracts	40		196	196	390.0%	
Subtotal State Support	4,961	5,529	281	6,010	17.1%	
Federal Grants & Contracts	825		469	469	-43.2%	
Local Grants & Contracts			760	760	83.3%	
Subtotal Government	5,786	5,529	760	6,270	8.3%	
Student Fees						
Instructional, General and Tuition	3,157	3,200		3,200	1.4%	
Other	569	37	265	302	-46.9%	
Subtotal Student Fees	3,726	3,237	265	3,502	-6.0%	
Other Resources						
Hospital & CHRI Services			775	827	32.1%	
Auxiliary Sales & Services	702	162		60	-20.0%	
Departmental Sales & Services	75		60	60	-30.6%	
Private Grants & Contracts	72		70	110	168.3%	
Endowment Income	41	40		60	-51.5%	
Investment Income	103	50		1,197	20.5%	
Other	593	243	775	160		
Subtotal Other	1,917	495	775	1,930	4.5%	
Total Resources	10,505	9,008	1,040	10,378	4.5%	

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxiliaries
Hospitals & CHRI

Total Expenditures

	1999-00 Total Budget	2000-2001			Total Budget	Percent Change
		General	Earnings	Restricted		
Instruction & General						
Instruction & Departmental Research	5,328	4,807	976	5,713	8.5%	
Academic Support	285	446		446	55.9%	
Student Services	504	519		619	3.0%	
Institutional Support	1,793	1,970		1,970	9.9%	
Plant, Operations & Maintenance	1,169	1,262		1,262	8.0%	
Subtotal Instruction & General	9,640	9,004	976	9,980	6.6%	
Separately Budgeted Research	533		360	360	-32.5%	
Public Service	18		20	20	11.1%	
Scholarships & Fellowships	400		550	550	37.5%	
Auxiliaries						
Hospitals & CHRI						
Total Expenditures	10,651	9,004	976	10,310	8.6%	

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND
 (IN THOUSANDS)

RESOURCES

Government Support
 State Support
 Instructional Funding
 Appropriations
 Ohio Grants & Contracts
 Subtotal State Support
 Federal Grants & Contracts
 Local Grants & Contracts
 Subtotal Government

Student Fees
 Instructional, General and Tuition
 Other
 Subtotal Student Fees

Other Resources
 Hospital & CHRI Services
 Auxillary Sales & Services
 Departmental Sales & Services
 Private Grants & Contracts
 Endowment Income
 Investment Income
 Other
 Subtotal Other

Total Resources

	1999-00	2000-2001			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
	36,674			38,731	39,731	5.6%
	350			350	350	
	37,024			39,081	39,081	5.6%
	7,892			8,000	8,000	1.4%
	65			55	55	
	44,971			47,136	47,136	4.3%
	1,150		1,150		1,150	
	4,000			4,000	4,000	
	200			200	200	
	5,350		1,150	4,200	5,350	
	50,321		1,150	51,336	52,486	4.3%

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EXPENDITURES

Instruction & General
 Instruction & Departmental Research
 Academic Support
 Student Services
 Institutional Support
 Plant, Operations & Maintenance
 Subtotal Instruction & General

Separately Budgeted Research
 Public Service
 Scholarships & Fellowships
 Auxiliaries
 Hospitals & CHRI

Total Expenditures

	1999-00	2000-2001			Total Budget	Percent Change
	Total Budget	General	Earnings	Restricted		
	3			50	50	1566.7%
	4,650		1,150	3,000	4,150	-10.8%
	3			1	1	-66.7%
	3,800			5,000	5,000	31.6%
	8,456		1,150	8,051	9,201	8.8%
	41,664			43,045	43,045	3.3%
	36			75	75	108.3%
	165			165	165	
	50,321		1,150	51,336	52,486	4.3%

SUMMARY OF STATE SUPPORT
COLUMBUS CAMPUS
 (IN THOUSANDS)

CORE FUNDING

Instructional Funding
 Mission-Based Core Funding:
 Success Challenge
 Research Challenge
 Priorities in Graduate Education
 Jobs Challenge
 Subtotal Core Funding

APPROPRIATIONS

OSU-Specific Line Items:
 Cooperative Extension
 Clinical Teaching
 Sea Grants
 Dental/Veterinary Medicine
 Supercomputer
 OARNET
 OSU Glenn Institute
 Subtotal OSU-Specific Line Items

General Line Items
 Math/Science Teaching Improvement
 Urban Universities
 College Readiness Initiatives
 Library Book Depository
 Student Support Services
 Capital Component
 Medical Items:
 Family Practice
 Primary Care
 Geriatric Medicine
 Area Health Education Center
 Subtotal General Line Items

Total Appropriations

OHIO GRANTS & CONTRACTS

TOTAL STATE SUPPORT

	1999-00	2000-2001		Total Budget	Dollar Change	Percent Change
	Total Budget	General Funds	Restricted			
	312,839	320,358		320,358	7,519	2.4%
				10,113	5,987	145.1%
	4,126	10,113		9,289	1,438	18.3%
	7,851	9,289		863	22	2.6%
	841	863		0	0	0.0%
	0	0	0			
	325,657	340,623	0	340,623	14,966	4.6%
				27,709	1,066	4.0%
	26,643		15,996	15,996	375	2.4%
	15,621		300	300	0	0.0%
	300		2,061	2,061	118	6.1%
	1,943		4,932	4,932	98	2.0%
	4,834		3,512	3,512	284	8.8%
	3,228		375	375	0	0.0%
	375				1,941	3.7%
	52,944		64,885	54,885		
				1,362	0	0.0%
	1,362		253	253	0	0.0%
	253		111	111	(4)	-3.5%
	115		424	424	0	0.0%
	424		240	240	0	0.0%
	240		839	839	0	0.0%
	839		1,011	1,011	48	5.0%
	963		456	456	11	2.5%
	445		160	160	8	5.3%
	152		243	243	0	0.0%
	243	0	5,099	5,099	63	1.3%
	5,036					
	57,980	0	59,984	59,984	2,004	3.5%
	27,089	1,395	28,000	27,390	307	1.1%
	410,726	342,019	85,964	428,003	17,277	4.2%

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**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

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LIMA CAMPUS

Instructional Funding
State Appropriations
Access Challenge
Jobs Challenge
Performance Challenge
HEI Information System
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total Lima Campus

	1999-00	2000-01		Total Budget	Dollar Change	Percent Change
	Total Budget	General Funds	Restricted			
	4,118	4,091		4,091	(22)	-0.7%
	400	711		711	311	
	68	72		72	4	
	0	0		0	0	
	0		0	0	0	
	0		0	0	0	
	0		0	0	0	
	468	783	0	783	315	67.3%
	100	0	109	109	9	9.0%
	4,696	4,674	109	4,983	297	6.3%

MANSFIELD CAMPUS

Instructional Funding
State Appropriations
Access Challenge
Jobs Challenge
Performance Challenge
HEI Information System
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total Mansfield Campus

	1999-00	2000-01		Total Budget	Dollar Change	Percent Change
	Total Budget	General Funds	Restricted			
	3,866	4,141		4,141	275	7.1%
	375	745		745	370	
	56	57		57	1	
	0	0		0	0	
	0		42	42	42	
	86		132	132	46	
	517	802	174	976	459	88.8%
	79	78	150	228	149	186.6%
	4,482	5,021	324	5,345	863	19.8%

MARION CAMPUS

Instructional Funding
State Appropriations
Access Challenge
Jobs Challenge
Performance Challenge
HEI Information System
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total Marion Campus

	1999-00	2000-01		Total Budget	Dollar Change	Percent Change
	Total Budget	General Funds	Restricted			
	3,555	3,575		3,575	20	0.6%
	269	525		525	256	
	73	77		77	4	
	0	0		0	0	
	0		0	0	0	
	27		65	65	38	
	369	602	65	667	298	80.6%
	28		48	40	12	42.9%
	3,952	4,177	105	4,282	330	8.4%

**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

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NEWARK CAMPUS

Instructional Funding
State Appropriations
Access Challenge
Jobs Challenge
Performance Challenge
HEI Information System
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total Newark Campus

	1999-00	2000-01		Total Budget	Dollar Change	Percent Change
	Total Budget	General Funds	Restricted			
	4,220	4,934		4,934	714	16.9%
	429	824		824	395	
	50	52		52	2	
	0	0		0	0	
	0		0	0	0	
	106		231	231	125	
	585	876	231	1,107	522	89.2%
	25		20	20	(5)	-20.0%
	4,830	5,610	251	6,061	1,231	25.5%

AGRICULTURAL TECH INSTITUTE

Instructional Funding
State Appropriations
Access Challenge
Success Challenge
Jobs Challenge
Performance Challenge
HEI Information System
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total ATI

	1999-00	2000-01		Total Budget	Dollar Change	Percent Change
	Total Budget	General Funds	Restricted			
	4,646	5,000		5,000	452	9.9%
	198	425		425	227	
	0	0		0	0	
	97	104		104	7	
	0	0		0	0	
	0		0	0	0	
	78		85	85	7	
	373	529	85	614	241	64.6%
	40		196	196	166	390.0%
	4,961	5,529	281	5,810	849	17.1%

OARDC

Appropriations
State Grants & Contracts

Total OARDC

	1999-00	2000-01		Total Budget	Dollar Change	Percent Change
Appropriations	36,674		38,731	38,731	2,057	5.6%
State Grants & Contracts	350		350	350	0	0.0%
Total OARDC	37,024	0	39,081	39,081	2,057	5.6%

TOTAL EXTENDED CAMPUSES

Instructional Funding
Appropriations
State Grants & Contracts

Total Extended Campuses

	1999-00	2000-01		Total Budget	Dollar Change	Percent Change
Instructional Funding	20,307	21,741	0	21,741	1,434	7.1%
Appropriations	38,986	3,592	39,286	42,378	3,892	10.0%
State Grants & Contracts	622	78	865	943	321	51.6%
Total Extended Campuses	59,615	25,411	40,151	65,602	5,647	9.4%

STUDENT FEE INCOME
COLUMBUS CAMPUS
 (IN THOUSANDS)

FY 2000 BUDGET	FY 2001 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
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INSTRUCTIONAL FEES

Resident Fees
 General Fees
 Non-Resident Surcharge

Subtotal Instructional Fees

OTHER FEES

Application Fees
 Acceptance Fees
 Computer Fees
 Study Abroad
 Continuing Education
 Flight Instruction
 Medical Instrument Fees
 COTA Fees
 Other

Subtotal Other Fees

TOTAL STUDENT FEES INCOME

210,238	227,399	17,161	8.2%
15,336	16,528	1,192	7.8%
74,312	78,273	3,961	5.3%
299,886	322,200	22,314	7.4%
1,450	1,450	0	0.0%
815	815	0	0.0%
(1) 2,300	2,540	240	10.4%
(2) 1,750	1,950	200	11.4%
525	525	0	0.0%
675	675	0	0.0%
1,250	1,250	0	0.0%
1,300	1,300	0	0.0%
1,075	1,075	0	0.0%
11,140	11,580	440	3.9%
311,026	333,780	22,754	7.3%

- (1) New Computer fees for Arts and Nursing.
 (2) Increased Study Abroad activity.

2000-2001 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Undergraduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	112	8	120	116	236
1	223	15	238	232	470
2	278	22	300	464	764
3	334	29	363	696	1,059
4	445	39	484	928	1,412
5	556	49	605	1,160	1,765
6	668	59	727	1,392	2,119
7	779	68	847	1,623	2,470
8	890	78	968	1,855	2,823
9	1,001	88	1,089	2,087	3,176
10	1,113	98	1,211	2,319	3,530
11	1,224	107	1,331	2,551	3,882
12+	1,335	117	1,452	2,783	4,235

Graduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	118	8	126	160	286
1	236	15	251	320	571
2	378	23	401	639	1,040
3	567	35	602	959	1,561
4	756	47	803	1,279	2,082
5	945	59	1,004	1,599	2,603
6	1,134	70	1,204	1,918	3,122
7	1,323	82	1,405	2,238	3,643
8	1,512	94	1,606	2,558	4,164
9	1,701	105	1,806	2,877	4,683
10+	1,890	117	2,007	3,197	5,204

**2000-2001 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

MBA

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	181	8	189	160	349
1	361	15	376	320	696
2	578	23	601	639	1,240
3	867	35	902	959	1,861
4	1,156	47	1,203	1,279	2,482
5	1,446	59	1,505	1,599	3,104
6	1,735	70	1,805	1,918	3,723
7	2,024	82	2,106	2,238	4,344
8	2,313	94	2,407	2,558	4,965
9	2,602	105	2,707	2,877	5,584
10+	2,891	117	3,008	3,197	6,205

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EMBA

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	536	8	544	160	704
1	1,072	15	1,087	320	1,407
2	1,715	23	1,738	639	2,377
3	2,573	35	2,608	959	3,567
4	3,430	47	3,477	1,279	4,756
6	4,288	59	4,347	1,599	5,946
6	5,145	70	5,215	1,918	7,133
7	6,003	82	6,085	2,238	8,323
8	6,860	94	6,954	2,558	9,512
9	7,718	105	7,823	2,877	10,700
10+	8,575	117	8,692	3,197	11,889

**2000-2001 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Master of Accounting

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	306	8	314	160	474
1	612	15	627	320	947
2	979	23	1,002	639	1,641
3	1,469	35	1,504	959	2,463
4	1,958	47	2,005	1,279	3,284
5	2,448	59	2,507	1,599	4,106
6	2,937	70	3,007	1,918	4,925
7	3,427	82	3,509	2,238	5,747
8	3,916	94	4,010	2,558	6,568
9	4,406	105	4,511	2,877	7,388
10+	4,895	117	5,012	3,197	8,209

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Pharmacy

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	141	8	149	213	362
1	282	15	297	425	722
2	450	23	473	680	1,153
3	676	35	711	1,021	1,732
4	901	47	948	1,361	2,309
5	1,126	59	1,185	1,701	2,886
6	1,351	70	1,421	2,041	3,462
7	1,576	82	1,658	2,381	4,039
8	1,802	94	1,896	2,722	4,618
9	2,027	105	2,132	3,062	5,194
10+	2,252	117	2,369	3,402	5,771

**2000-2001 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Medicine Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	281	8	289	460	749
1	561	15	576	919	1,495
2	898	23	921	1,471	2,392
3	1,347	35	1,382	2,207	3,589
4	1,796	47	1,843	2,942	4,785
5	2,245	59	2,304	3,678	5,982
6	2,694	70	2,764	4,413	7,177
7	3,143	82	3,225	5,149	8,374
8	3,592	94	3,686	5,884	9,570
9	4,041	105	4,146	6,620	10,766
10+	4,490	117	4,607	7,355	11,962

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Dentistry Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	238	8	246	411	657
1	475	15	490	821	1,311
2	760	23	783	1,314	2,097
3	1,141	35	1,176	1,970	3,146
4	1,521	47	1,568	2,627	4,195
5	1,901	59	1,960	3,284	5,244
6	2,281	70	2,351	3,941	6,292
7	2,661	82	2,743	4,598	7,341
8	3,042	94	3,136	5,254	8,390
9	3,422	105	3,527	5,911	9,438
10+	3,802	117	3,919	6,568	10,487

**2000-2001 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Optometry Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	199	8	207	411	618
1	398	15	413	821	1,234
2	636	23	659	1,314	1,973
3	955	35	990	1,970	2,960
4	1,273	47	1,320	2,627	3,947
5	1,591	59	1,650	3,284	4,934
6	1,909	70	1,979	3,941	5,920
7	2,227	82	2,309	4,598	6,907
8	2,546	94	2,640	5,254	7,894
9	2,864	105	2,969	5,911	8,880
10+	3,182	117	3,299	6,568	9,867

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Vet Medicine Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	223	8	231	425	656
1	446	15	461	849	1,310
2	713	23	736	1,358	2,094
3	1,070	35	1,105	2,036	3,141
4	1,426	47	1,473	2,715	4,188
5	1,783	59	1,842	3,394	5,236
6	2,140	70	2,210	4,073	6,283
7	2,496	82	2,578	4,752	7,330
8	2,853	94	2,947	5,430	8,377
9	3,209	105	3,314	6,109	9,423
10+	3,566	117	3,683	6,788	10,471

**2000-2001 STUDENT FEE SCHEDULE
FEES PER SEMESTER
COLUMBUS CAMPUS**

Law (Semester)

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	301	11	312	324	636
1	602	22	624	647	1,271
2	963	35	998	1,036	2,034
3	1,445	53	1,498	1,554	3,052
4	1,926	70	1,996	2,072	4,068
5	2,408	88	2,496	2,590	5,086
6	2,890	106	2,996	3,107	6,103
7	3,371	123	3,494	3,625	7,119
8	3,853	141	3,994	4,143	8,137
9	4,334	158	4,492	4,661	9,153
10+	4,816	176	4,992	5,179	10,171

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**2000-2001 STUDENT FEE SCHEDULE
FEES PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES**

Undergraduate
Lower Division

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	106	4	(16)	94	116	210
1	211	7	(31)	187	232	419
2	264	11	(41)	234	464	698
3	317	15	(53)	279	696	975
4	422	19	(68)	373	928	1,301
5	528	24	(86)	466	1,160	1,626
6	633	29	(103)	559	1,392	1,951
7	739	34	(120)	653	1,623	2,276
8	844	39	(139)	744	1,855	2,599
9	950	44	(155)	839	2,087	2,926
10	1,055	48	(172)	931	2,319	3,250
11	1,161	53	(189)	1,025	2,551	3,576
12+	1,266	58	(207)	1,117	2,783	3,900

Resident Total is 5% lower than FY 2000 and the base fee increased 35 in order to determine the Access Challenge credit.

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Undergraduate
Upper Division

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	106	4	(8)	102	116	218
1	211	7	(15)	203	232	435
2	264	11	(22)	253	464	717
3	317	15	(29)	303	696	999
4	422	19	(36)	405	928	1,333
5	528	24	(47)	505	1,160	1,665
6	633	29	(56)	606	1,392	1,998
7	739	34	(65)	708	1,623	2,331
8	844	39	(77)	806	1,855	2,661
9	950	44	(85)	909	2,087	2,996
10	1,055	48	(94)	1,009	2,319	3,328
11	1,161	53	(103)	1,111	2,551	3,662
12+	1,266	58	(113)	1,211	2,783	3,994

Resident Total is 3% higher than FY 2000 and the base fee increase is 3% in order to determine the Access Challenge credit.

2000-2001 STUDENT FEE SCHEDULE
FEE PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES

Graduate

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	118	4	0	122	160	282
1	236	7	0	243	320	563
2	378	12	0	390	639	1,029
3	567	17	0	584	959	1,543
4	756	23	0	779	1,279	2,058
5	945	29	0	974	1,599	2,573
6	1,134	35	0	1,169	1,918	3,087
7	1,323	41	0	1,364	2,238	3,602
8	1,512	46	0	1,558	2,558	4,116
9	1,701	52	0	1,753	2,877	4,630
10+	1,890	58	0	1,948	3,197	5,145

AGRICULTURAL TECHNICAL INSTITUTE

Undergraduate

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	106	4	(15)	95	116	211
1	211	7	(29)	189	232	421
2	264	11	(37)	238	464	702
3	317	15	(48)	284	696	980
4	422	19	(62)	379	928	1,307
5	528	24	(78)	474	1,160	1,634
6	633	29	(94)	568	1,392	1,960
7	739	34	(110)	663	1,623	2,286
8	844	39	(126)	757	1,855	2,612
9	950	44	(142)	852	2,087	2,939
10	1,055	48	(157)	946	2,319	3,265
11	1,161	53	(172)	1,042	2,551	3,593
12+	1,268	58	(188)	1,136	2,783	3,919

Resident Total is 5% lower than FY 2000 and the base fee increased 35 in order to determine the Access Challenge credit.

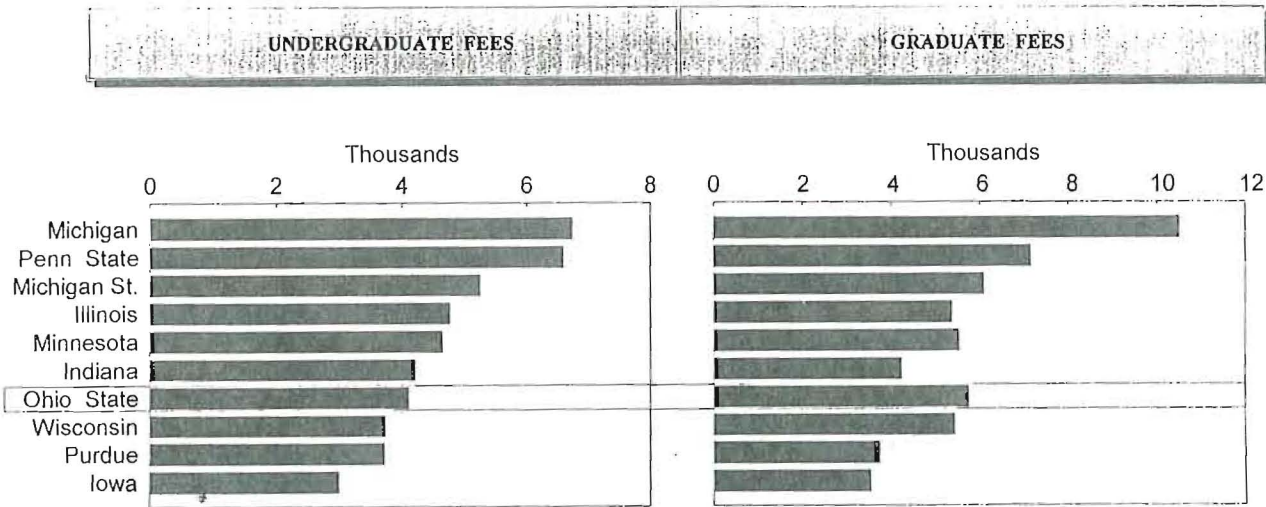
SUMMARY OF RESIDENT STUDENT FEES
BIG TEN PUBLIC INSTITUTIONS
FOR FY 1999 AND FY 2000

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	UNDERGRADUATE FEES				GRADUATE FEES			
	FY 1999 Fees	FY 2000 Fees	Percent Change	5 Year Percent Change	FY 1999 Fees	FY 2000 Fees	Percent Change	5 Year Percent Change
1 Michigan	6,549	6,735	2.8%	23.1%	10,192	10,501	3.0%	24.9%
2 Penn State	6,194	6,592	6.4%	30.9%	6,786	7,160	5.5%	27.3%
3 Michigan State	5,140	5,255	2.2%	13.6%	5,916	6,088	2.9%	16.2%
4 Illinois	4,554	4,770	4.7%	27.2%	5,106	5,362	5.0%	27.1%
5 Minnesota	4,602	4,649	1.0%	30.9%	5,611	5,517	-1.7%	31.3%
6 Indiana	4,069	4,212	3.5%	24.9%	4,013	4,213	5.0%	27.7%
7 Ohio State	3,879	4,110	6.0%	34.0%	5,445	5,730	5.2%	28.4%
8 Wisconsin	3,408	3,738	9.7%	36.6%	4,928	5,406	9.7%	40.1%
9 Purdue	3,564	3,724	4.5%	29.1%	3,564	3,724	4.5%	29.1%
10 Iowa	2,868	2,998	4.5%	22.1%	3,368	3,520	4.5%	22.0%
Average	4,483	4,678	4.4%	26.6%	5,493	5,722	4.2%	26.9%

Source: Annual Missouri Study of AAU Data Exchange Members.

SUMMARY OF RESIDENT STUDENT FEES BIG TEN PUBLIC INSTITUTIONS FISCAL YEAR 2000



Source: Annual Missouri Study of AAU Data-Exchange members.

SUMMARY OF TYPICAL STUDENT FEES COLUMBUS CAMPUS

	UNDERGRADUATE FEES				GRADUATE FEES			
	1999-00 Fees	2000-01 Fees	Dollar Change	Percent Change	1999-00 Fees	2000-01 Fees	Dollar Change	Percent Change
RESIDENT FEES								
Instructional & General	(1) 4,110	4,356	246	6.0%	5,730	6,021	291	5.1%
Room & Board	(2) 5,142	5,397	255	5.0%	5,028	5,136	108	2.1%
Text Books/Supplies	(3) 846	888	42	5.0%	846	888	42	5.0%
Subtotal	10,098	10,641	543	5.4%	11,604	12,045	441	3.8%
Health Insurance	(4) 594	708	114	19.2%	594	708	114	19.2%
Parking & Bus Pass	(5) 120	138	18	14.8%	120	138	18	14.8%
COTA Pass	27	27	0	0.0%	27	27	0	0.0%
Football Tickets	(6) 90	95	5	5.6%	90	95	5	5.6%
Basketball Tickets	(6) 64	64	0	0.0%	64	64	0	0.0%
Total	10,993	11,673	680	6.2%	12,499	13,077	578	4.6%
NON-RESIDENT FEES								
Instructional & General	(1) 4,110	4,356	246	6.0%	5,730	6,021	291	5.1%
Non-Resident Tuition	7,950	8,349	399	5.0%	9,135	9,591	456	5.0%
Room & Board	(2) 5,142	5,397	255	5.0%	5,028	5,136	108	2.1%
Text Books/Supplies	(3) 846	888	42	5.0%	846	888	42	5.0%
Subtotal	18,048	18,990	942	5.2%	20,739	21,636	897	4.3%
Health Insurance	(4) 594	708	114	19.2%	594	708	114	19.2%
Parking & Bus Pass	(5) 120	138	18	14.8%	120	138	18	14.8%
COTA Pass	27	27	0	0.0%	27	27	0	0.0%
Football Tickets	(6) 90	95	5	5.6%	90	95	5	5.6%
Basketball Tickets	(6) 64	64	0	0.0%	64	64	0	0.0%
Total	18,943	20,022	1,079	5.7%	21,634	22,668	1,034	4.8%

(1) Undergrad will increase 5.5% if Technology Fee is approved; otherwise will increase 6.0%
 (2) Undergraduate - South 2/room, 10 meals. Graduate - single, 10 meals plus phone.
 (3) Estimated costs and inflationary increase per OSU Bookstore.
 (4) Student insurance is based on the single student medical only rate.
 (5) Using main campus rate.
 (6) Five football and eight basketball games.

**DETAIL OF SELECTED RATES
COLUMBUS CAMPUS
FY 2001**

Student Fees			
Room & Board		COTA Bus Pass 9.00	
Undergraduate (South - 2/room, 10 meals)	1,799.00	Recreational Fees	
Graduate (Single - 10 meals + phone)	1,712.00	Football Tickets (Per Game)	19.00
Family Apts. (2 Bedroom Per Mo.)	535.00	Basketball Tickets (Per Game)	8.00-11.00
Board Only (10 Meals Per Qtr.)	760.00	Golf Course (Yearly Membership)	550.00
Application Fees		Golf Course (Green Fees)	18.00
International (All Students)	40.00	Larkens Locker Fee (Per Qtr.)	12.50
Domestic Undergraduate	30.00	Faculty And Staff Fees	
Domestic Graduate	30.00	Health Insurance (Per Month)	
Law	30.00	Traditional Plan	
Other Professional Schools	30.00	Individual	121.15
Acceptance Fees		Family	378.60
Undergraduate (All)	70.00	OSUHP Plan	
Graduate (All)	0.00	Individual	121.15
Professional	25.00	Family	378.60
Equipment Fees		Prime Care Plan	
Optometry Equipment	340.00	Individual	26.30
Dental School Instrumentation Kit	774.00	Family	82.19
Dental Hygiene Instrumentation Kit	297.00	Buckeye Plan	
Computer Fees (Per Qtr.)		Individual	10.63
Engineering (Undergraduate)	110.00	Family	33.21
Engineering (Graduate)	120.00	Parking (Main Campus Per Year)	
Business (Undergraduate)	85.00	4-Wheel Vehicle A Decal	396.00
Business (Graduate)	120.00	4-Wheel Vehicle B Decal	207.00
CIS (Undergraduate)	73.00	Recreation Fees	
CIS (Graduate)	80.00	Football Tickets (Per Game)	33.00
Atrs & Nursing (Undergrad & Grad)	43.00	Basketball Tickets (Per Game)	18.50
Student Health Insurance (Per Qtr.)		Golf Course (Yearly Membership)	1,440.00
Student	236.00	Golf Course (Green Fees)	30.00
Student & Spouse	603.00	Departmental Rates	
Student, Spouse & Children	815.00	Basic Telephone Service (Per Mo.)	20.65
Student & Children	608.00	University Hospitals (percent change)	5.00 %
Law Students (Per Semester)	354-1223 *	James Cancer Hospital (percent change)	5.00 %
Early Arriving Students (Per Mo.)	79-272 *		
Parking (Per Year)			
4-Wheel Vehicle (Main Camp)	137.70		
4-Wheel Vehicle (West Camp)	33.75		

* Cost varies depending upon coverage for individual, spouse & children.

**ANNUAL FEE HISTORY
COLUMBUS CAMPUS RESIDENT FEES**

Year	Under-graduate	Graduate	Law	Medicine	Dentistry	Optometry	Vet Med
1990-91	2,343	3,321	3,836	6,453	5,289	5,289	5,289
1991-92	2,568	3,639	4,204	7,074	5,799	5,799	5,799
1992-93	2,799	3,966	4,584	7,710	6,321	6,321	6,321
1993-94	2,940	4,266	4,932	8,310	6,810	6,810	6,810
1994-95	3,087	4,482	4,914	8,958	7,431	7,152	7,371
1995-96	3,273	4,707	5,864	9,408	8,013	7,512	7,740
1996-97	3,468	4,941	6,412	10,155	8,646	7,887	8,277
1997-98	3,660	5,187	7,022	10,968	9,165	8,517	8,691
1998-99	3,879	5,445	7,692	11,637	9,813	8,955	9,306
1999-2000	4,110	5,730	8,424	12,744	10,746	9,420	10,191
2000-01	\$4,356	\$6,021	\$9,984	\$13,821	\$11,757	\$9,897	\$11,049
Average Increase	6.4%	6.1%	10.0%	7.9%	8.3%	6.5%	7.6%

SUMMARY OF AUXILIARY OPERATIONS
FISCAL YEAR 2001
 IN THOUSANDS

256

	Resources			Expenditures & Transfers				Net Income	
	Auxiliary	General Funds	Restricted	Total Budget	Expende	Debt Service	Other Transfers		Total Budget
COLUMBUS CAMPUS									
Housing, Food Services and Event Centers	58,288			58,288	49,125	6,317	2,610	58,052	236
Intercollegiate Athletics	61,100		7,500	68,600	62,927	4,883	790	68,600	0
Student Unions	2,787	1,412		4,199	3,752	98	308	4,158	41
Bookstores	20,837			20,837	20,441	190	204	20,835	2
Transportation & Parking Svcs	16,578			16,578	11,740	1,869	2,968	16,577	1
University Airport	5,795			5,795	4,014	378	331	4,723	1,072
Real Estate & Prop Mgt	2,931			2,931	1,129	720	879	2,728	203
Subtotal Columbus	188,316	1,412	7,500	177,228	163,128	14,456	8,090	175,673	1,555
LIMA CAMPUS									
Parking Facility	2			2	2			2	0
MANFIELD CAMPUS									
Parking Facility	0			0	0			0	0
MARION CAMPUS									
Parking Facility	2			2	2			2	0
NEWARK CAMPUS									
Parking Facility	44			44	43			43	1
GRAND TOTAL	188,384	1,412	7,500	177,276	163,175	14,456	8,090	175,720	1,556

HOUSING, FOOD SERVICES AND EVENT CENTERS
 (IN THOUSANDS)

257

	FY 2000 BUDGET	FY 2001 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Food Service	15,689	16,317	628	4.0%
Undergraduate Housing	27,896	29,021	1,125	4.0%
Graduate Housing	1,591	1,654	63	4.0%
Married Student Housing	2,049	2,131	82	4.0%
Scholarship Housing	676	608	(68)	-10.1%
Fawcett Center	4,330	4,236	(94)	-2.2%
ATI Residence Hall	1,411	1,477	66	4.7%
Other	1,981	2,844	863	43.6%
Subtotal Resources	65,623	69,288	2,665	4.8%
EXPENDITURES & TRANSFERS				
Personnel	17,682	19,683	2,001	11.3%
Benefits	4,213	4,770	557	13.2%
Supplies & Services	19,051	20,553	1,502	7.9%
Equipment	1,403	1,300	(103)	-7.3%
University Overhead	2,870	2,819	(51)	-1.8%
Debt Service	7,238	6,317	(921)	-12.7%
Other Transfers	2,847	2,610	(237)	-8.3%
Subtotal Expenditures & Transfers	55,304	58,052	2,748	5.0%
NET INCOME	319	236	(83)	

INTERCOLLEGIATE ATHLETICS
(IN THOUSANDS)

	FY 2000 BUDGET	FY 2001 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Football	18,995	19,650	655	3.4%
Men's Basketball	7,080	7,640	560	7.9%
Other Sports	1,055	2,440	1,385	131.3%
Golf Course	2,797	3,141	344	12.3%
Schottenstein Center	19,638	18,321	(1,317)	-6.7%
Other	8,735	9,908	1,173	13.4%
Subtotal Auxiliary	58,300	61,100	2,800	4.8%
Restricted				
Fund Raising	6,600	7,500	900	13.6%
Total Resources	64,900	68,600	3,700	5.7%
EXPENDITURES & TRANSFERS				
Auxiliary				
Personnel	17,233	19,839	2,606	15.1%
Benefits	3,782	3,733	(49)	-1.3%
Supplies & Services	25,520	28,932	3,412	13.4%
Equipment	978	912	(66)	-6.7%
University Overhead	2,100	2,780	680	32.9%
Debt Service	7,897	4,104	(3,793)	-48.0%
Other Transfers	790	790	0	0.0%
Subtotal Auxiliary	58,300	61,100	2,800	4.8%
Restricted				
Athletics Grants-In-Aid	6,006	6,721	715	11.9%
Debt Service	594	779	185	31.1%
Subtotal Restricted	6,600	7,500	900	13.6%
Total Expenditures & Transfers	64,900	68,600	3,700	5.7%
NET INCOME	0	0	0	

(1) Debt Service figures reflect refinancing plan.

UNIVERSITY HOSPITALS
(IN THOUSANDS)

	FY 2000 REVISED BUDGET	FY 2001 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Inpatient Revenue (Net)	231,277	238,586	7,309	3.2%
Outpatient Revenue (Net)	91,383	88,971	(2,412)	-2.6%
Network Services	21,627	18,104	(3,523)	-16.3%
Hospital Gift Shop	1,492	1,509	8	0.5%
Other	78,630	83,261	4,631	5.9%
Subtotal Auxiliary	424,409	430,422	6,013	1.4%
Restricted				
State Appropriation	9,919	9,842	(77)	-0.8%
Other	0	0	0	ERR
Subtotal Restricted	9,919	9,842	(77)	-0.8%
Total Resources	434,328	440,264	5,936	1.4%
EXPENDITURES & TRANSFERS				
Auxiliary				
Patient Services	284,675	268,137	(16,538)	-5.8%
Student Education	13,518	12,649	(878)	-6.5%
Research	930	897	(33)	-3.5%
Administration	96,530	88,917	(7,613)	-7.9%
Plant, Operation & Maintenance	19,648	19,144	(504)	-2.6%
Other	1,233	1,200	(33)	-2.7%
Transfers To Plant (Net)	31,121	29,971	(1,150)	-3.7%
Subtotal Auxiliary	447,655	420,906	(26,749)	-6.0%
Restricted				
	9,919	9,842	(77)	-0.8%
Total Expenditures & Transfers	457,574	430,748	(26,826)	-5.9%
NET INCOME	(23,246)	9,516	32,762	-140.9%

(1) The FY 2000 budget was revised to reflect actual expenditures.

JAMES CANCER HOSPITAL RESEARCH INSTITUTE
(IN THOUSANDS)

RESOURCES
 Auxillary
 Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Other
 Subtotal Auxillary

 Restricted
 State Appropriation
 Other
 Subtotal Restricted

 Total Resources

FY 2000 REVISED BUDGET	FY 2001 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
(1)			
76,156	84,193	8,037	10.6%
45,184	51,502	6,318	14.0%
1,471	1,804	333	22.6%
122,811	137,499	14,688	12.0%
0	0	0	ERR
3,203	3,400	197	6.2%
3,203	3,400	197	6.2%
126,014	140,899	14,885	11.8%

EXPENDITURES & TRANSFERS
 Auxillary
 Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxillary

 Restricted

 Total Expenditures & Transfers

82,649	87,591	4,932	6.0%
3,353	3,664	311	9.3%
2,363	2,875	512	21.7%
27,267	27,134	(133)	-0.5%
4,935	5,001	66	1.3%
1,409	1,356	(54)	-3.8%
5,499	5,839	340	6.2%
127,475	133,449	5,974	4.7%
3,203	3,400	197	6.2%
130,678	136,849	6,171	4.7%

NET INCOME

(4,664)	4,050	8,714	-186.8%
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(1) The FY 2000 budget was revised to reflect actual expenditures.

UNIVERSITY HOSPITAL EAST
(IN THOUSANDS)

RESOURCES
 Auxillary
 Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Network Services

 Subtotal Auxillary

EXPENDITURES & TRANSFERS
 Auxillary
 Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)

 Subtotal Auxillary

FY 2000 REVISED BUDGET	FY 2001 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
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(1)			
34,163	42,876	8,713	25.5%
23,740	29,266	5,526	23.3%
1,440	1,485	45	3.1%
59,343	73,627	14,284	24.1%

50,209	54,635	4,426	8.8%
687	432	(255)	
0	0	0	
12,550	13,835	1,285	10.2%
4,183	4,719	536	12.8%
2,092	2,189	17	0.8%
1,652	2,292	640	38.7%
71,373	78,022	6,649	9.3%

NET INCOME

(12,830)	(4,395)	7,635	-63.5%
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(1) The FY 2000 budget was revised to reflect actual expenditures.

**STATE INSTRUCTIONAL FUNDING AND STUDENT FEES
TEN YEAR TREND
COLUMBUS CAMPUS
(IN THOUSANDS)**

262

FY 1991 Actual
FY 1992 Actual
FY 1993 Actual
FY 1994 Actual
FY 1995 Actual
FY 1996 Actual
FY 1997 Actual
FY 1998 Actual
FY 1999 Actual
FY 2000 Actual
FY 2001 Budget

State Instructional Funding (1)			Student Fees (2)		
Subsidy	Dollar Change	Percent Change	Fees	Dollar Change	Percent Change
267,373	267,373	3.3%	178,012	178,012	11.1%
254,928	(12,445)	-4.7%	197,634	19,622	11.0%
243,452	(11,476)	-4.5%	208,346	10,712	5.4%
251,274	7,822	3.2%	216,202	7,856	3.8%
263,900	12,626	5.0%	225,821	9,619	4.4%
273,320	9,420	3.6%	235,866	10,045	4.4%
283,012	9,692	3.5%	252,864	16,998	7.2%
297,551	14,539	5.1%	269,096	16,232	6.4%
305,161	7,610	2.6%	281,558	12,462	4.6%
312,839	7,678	2.5%	306,755	25,197	8.9%
320,358	7,519	2.4%	322,200	15,445	5.0%
Ten Year Average Increase		1.8%			6.1%

- (1) State Instructional Funding includes Academic Challenge but not other challenge funds.
(2) Student Fees include Instructional, General and Non-Resident Fees.

**HEADCOUNT ENROLLMENTS
AUTUMN QUARTER, 1990-2000**

263

Year	Columbus Campus						Veterinary Medicine
	Undergrad	Graduate	Law	Dentistry	Medicine	Optometry	
1990	41,161	10,251	632	426	883	232	509
1991	40,785	10,902	635	393	868	234	496
1992	38,958	10,604	650	373	858	239	501
1993	37,062	10,932	665	359	856	241	508
1994	36,165	10,735	654	354	850	242	518
1995	35,475	10,530	678	360	863	249	521
1996	35,485	10,193	683	371	848	249	523
1997	35,647	9,948	666	380	855	247	535
1998	36,252	9,632	634	375	842	247	529
1999	36,092	9,300	628	372	832	248	531
E. 2000	36,301	9,207	625	376	834	252	534

Year	Summary By Campus						Grand Total
	Columbus	Lima	Mansfield	Marion	Newark	ATI	
1990	54,094	1,357	1,309	1,100	1,567	738	60,165
1991	54,313	1,475	1,428	1,026	1,656	691	60,589
1992	52,183	1,410	1,465	1,066	1,732	729	58,585
1993	50,623	1,348	1,412	1,046	1,675	713	56,817
1994	49,542	1,232	1,504	1,209	1,560	740	55,787
1995	48,676	1,244	1,359	1,171	1,548	783	54,781
1996	48,352	1,281	1,343	1,312	1,611	827	54,726
1997	48,278	1,374	1,460	1,105	1,676	925	54,818
1998	48,511	1,321	1,517	1,141	1,778	965	55,233
1999	48,003	1,323	1,573	1,176	1,883	1,031	54,989
E. 2000	48,129	1,325	1,631	1,212	1,994	1,102	55,393

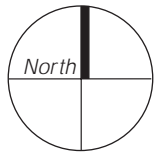
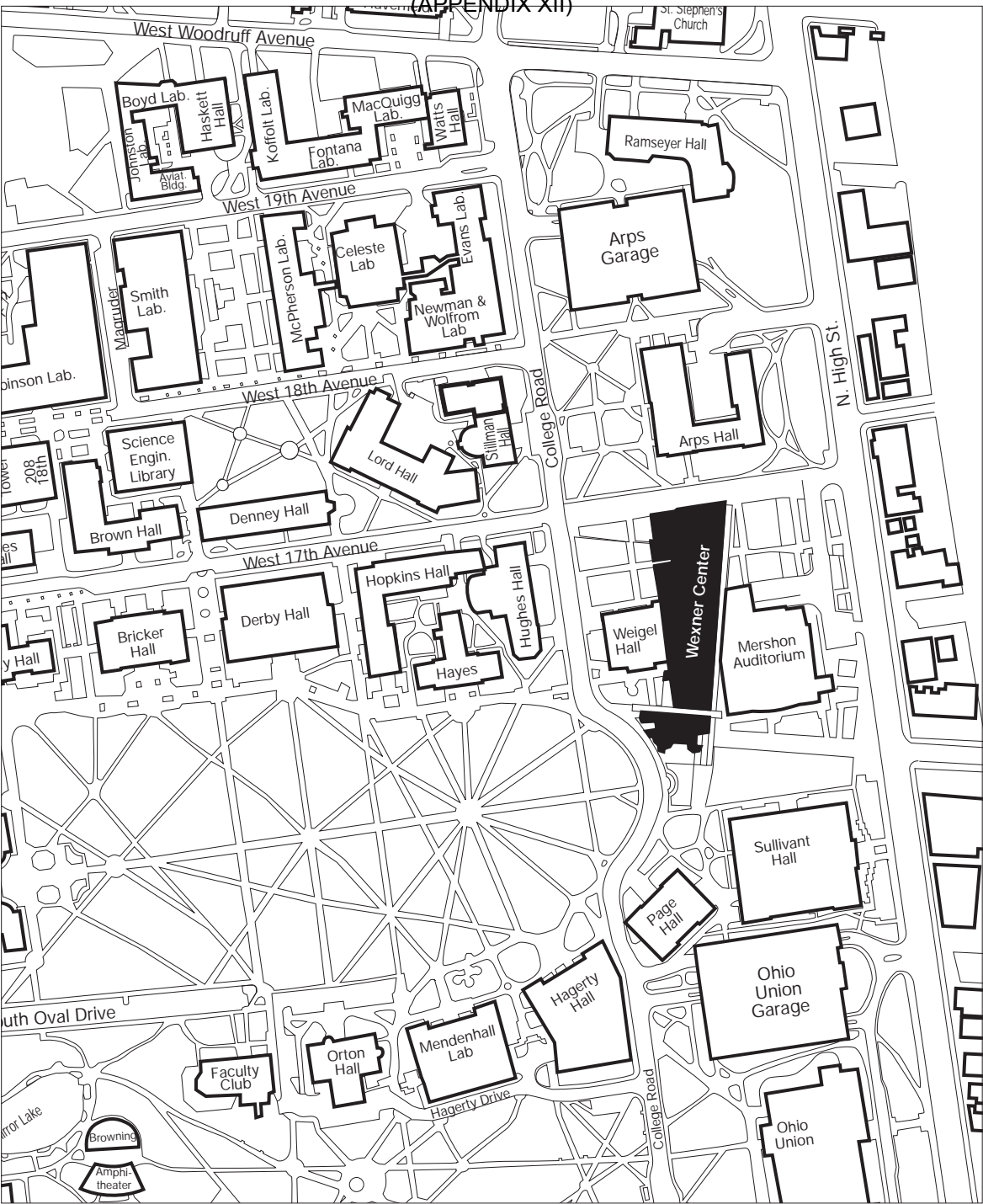
Waivers of Competitive Bidding Requirements April-June 2000

(APPENDIX XI)

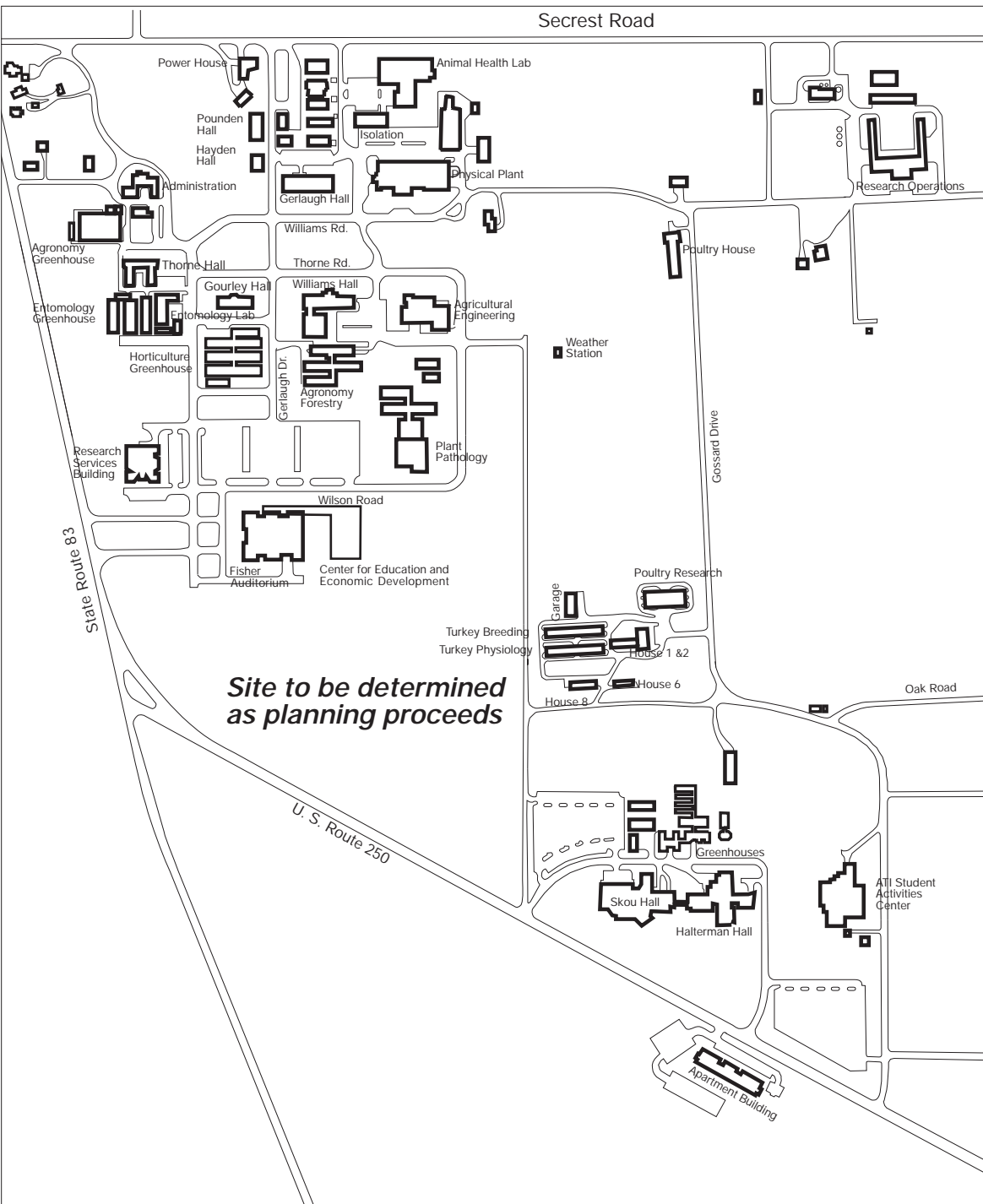
<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals Merchandise for Resale	\$2,100,000	\$2,100,000	\$0	\$0
Hospitals - Professional Health Care Services	\$2,725,550	\$0	\$0	\$2,725,550
Hospitals - Equipment and Services	\$2,755,719	\$2,075,161	\$219,680	\$460,878
Merchandise for Resale	\$39,278,000	\$39,278,000	\$0	\$0
Instructional and Research Equipment and Services	\$2,581,348	\$1,699,659	\$34,500	\$847,189
Administrative Support Equipment and Services	\$2,402,848	\$1,463,083	\$177,146	\$762,619
Conference Facilities	\$60,000	\$60,000	\$0	\$0
TOTAL	\$51,903,465	\$46,675,903	\$431,326	\$4,796,236

Wexner Center Renovation

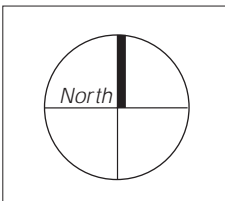
(APPENDIX XII)



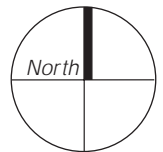
Animal and Plant Biology Level 3 Isolation Facility - OARDC



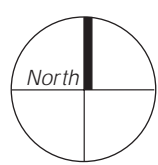
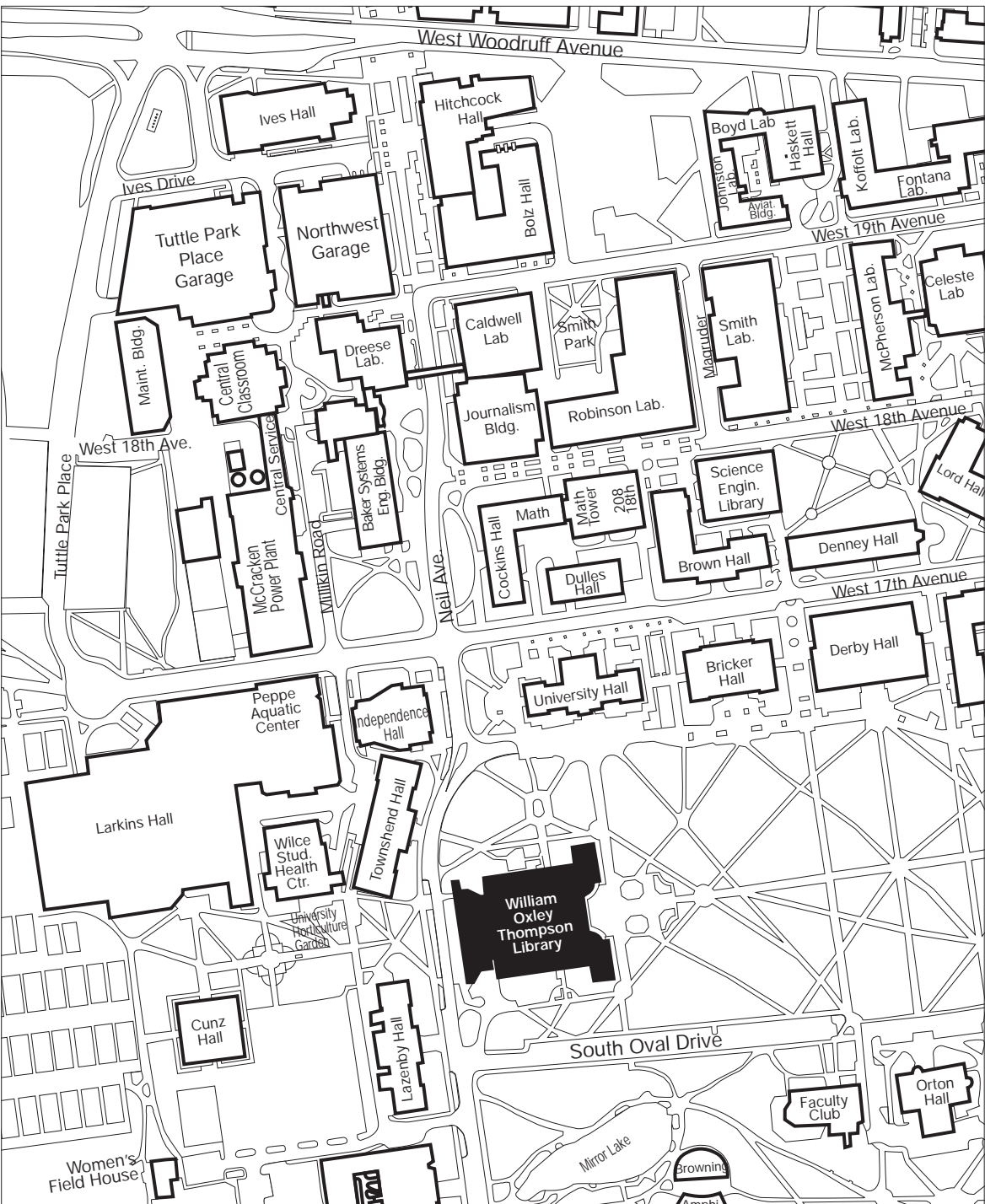
*Site to be determined
as planning proceeds*



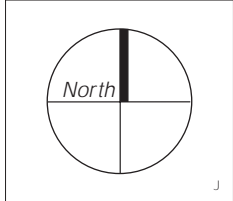
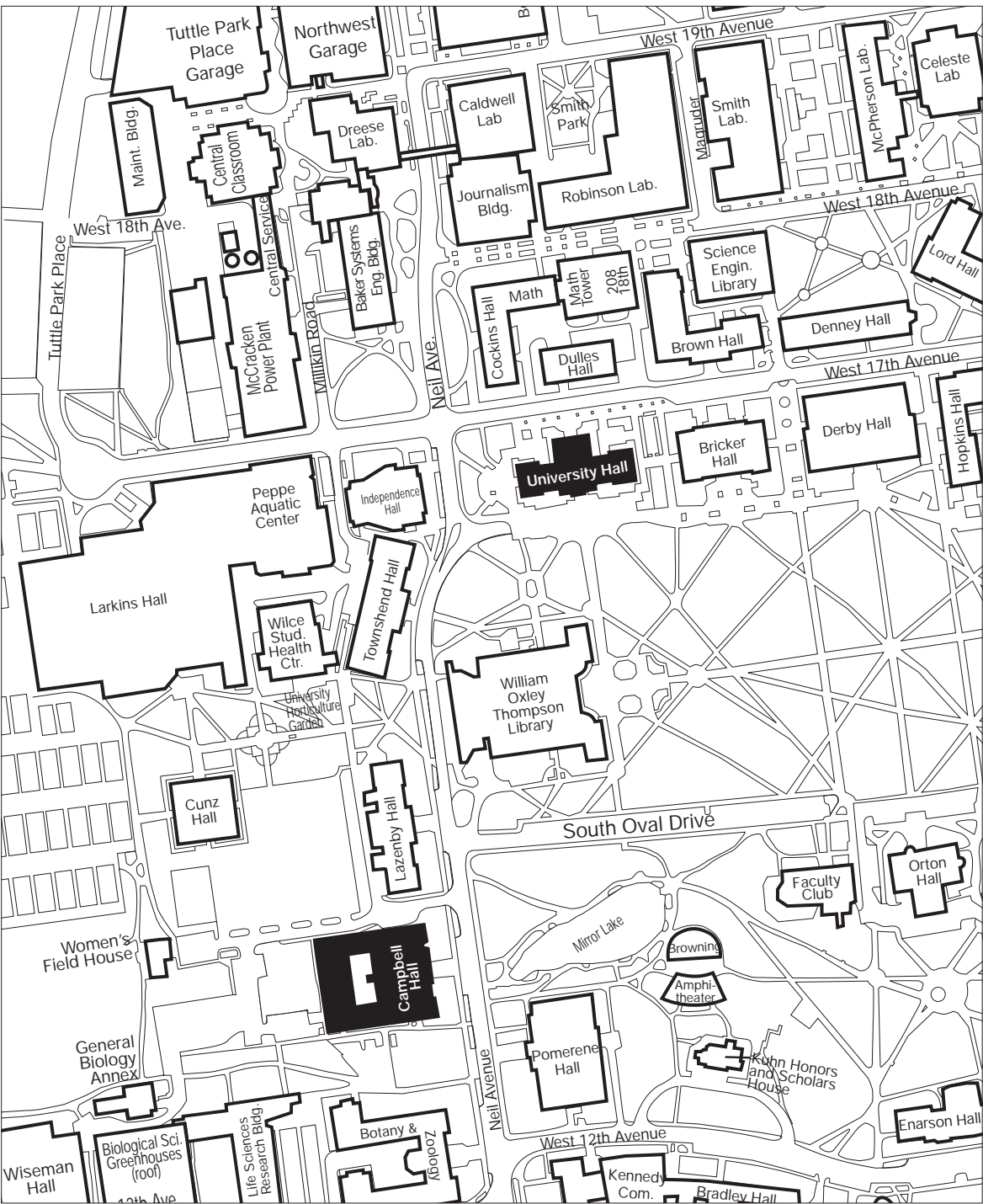
*Feasibility study for
College of Food, Agricultural, and Environmental Sciences
facilities located all over the State of Ohio.*



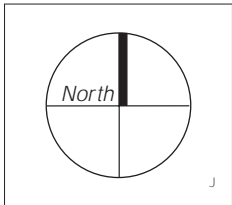
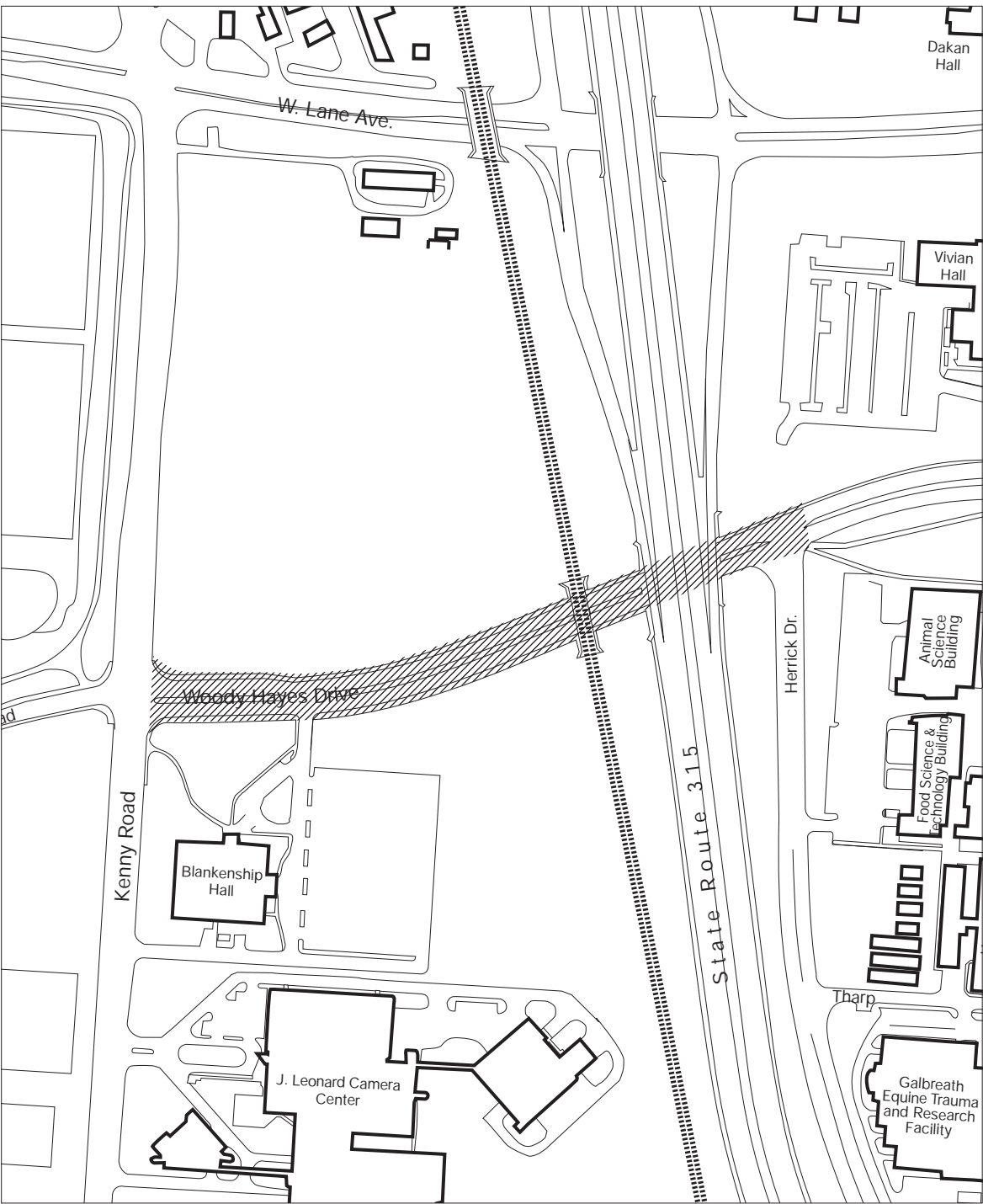
Main Library Rehabilitation



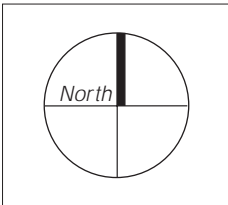
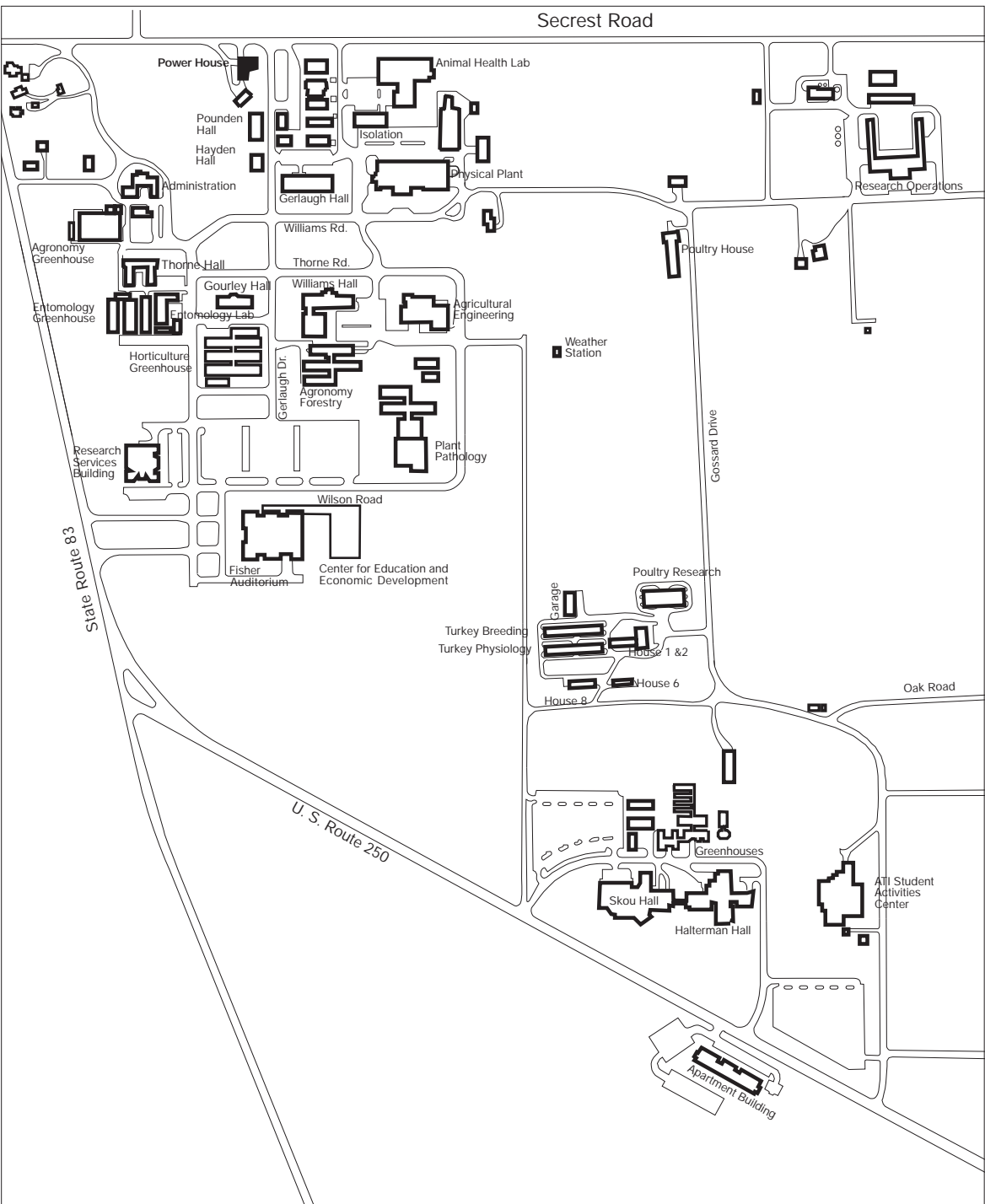
Campbell and University Hall Classrooms



Campus Grounds Woody Hayes Drive Rebuild



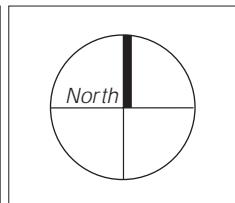
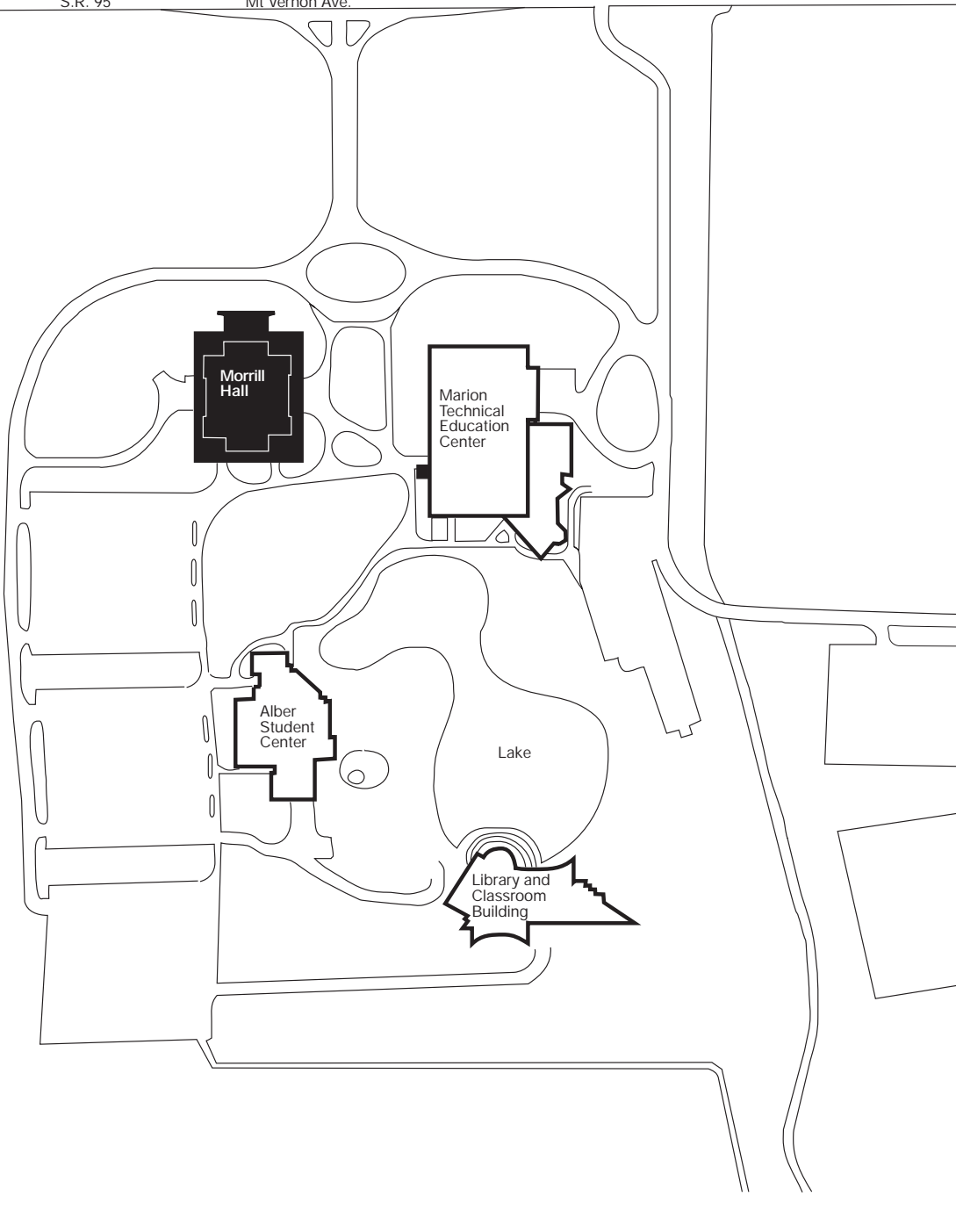
OARDC Boiler Replacements



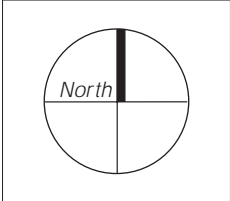
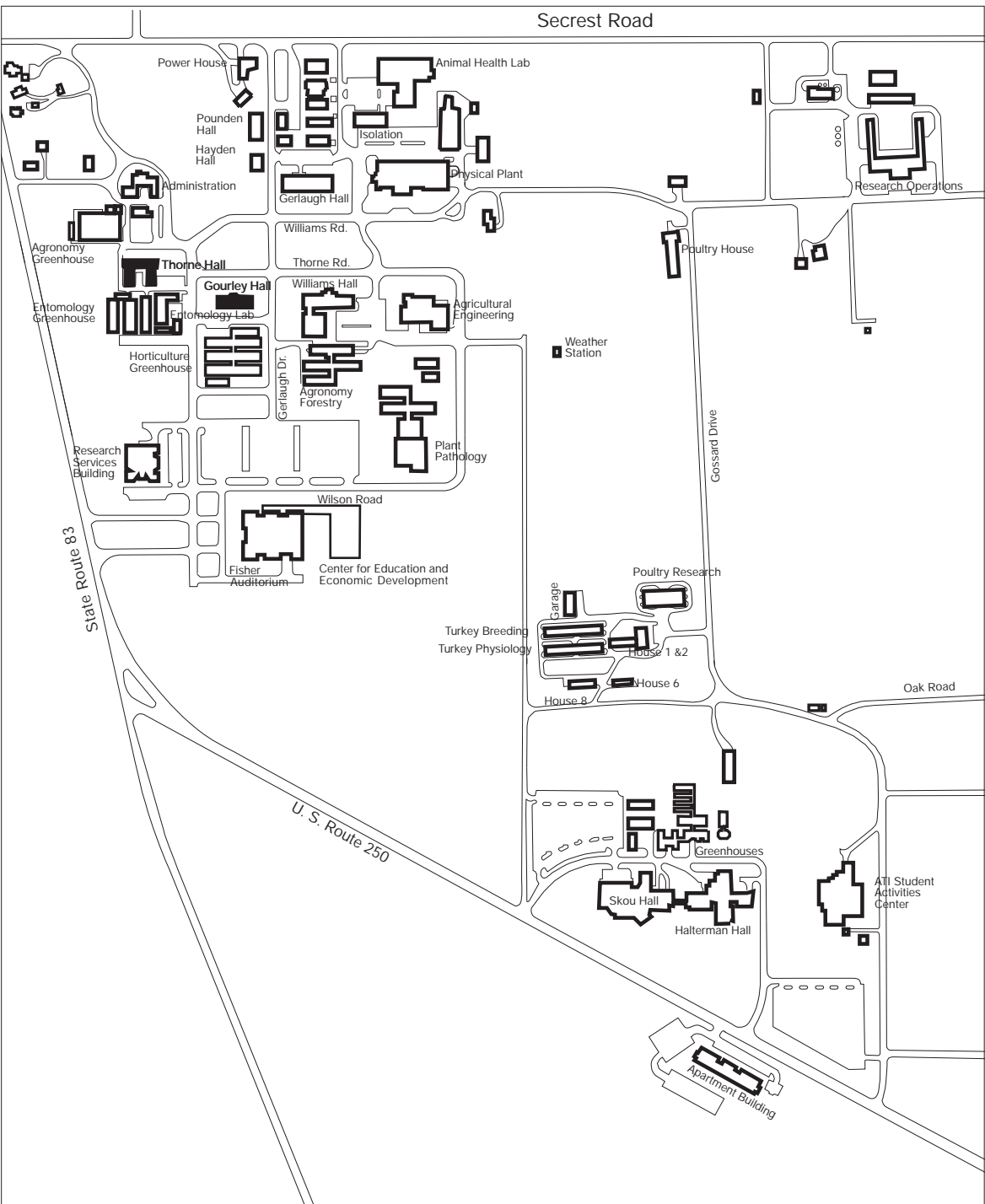
Morrill Hall Renovation - Marion

S.R. 95

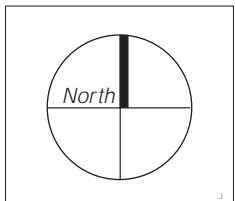
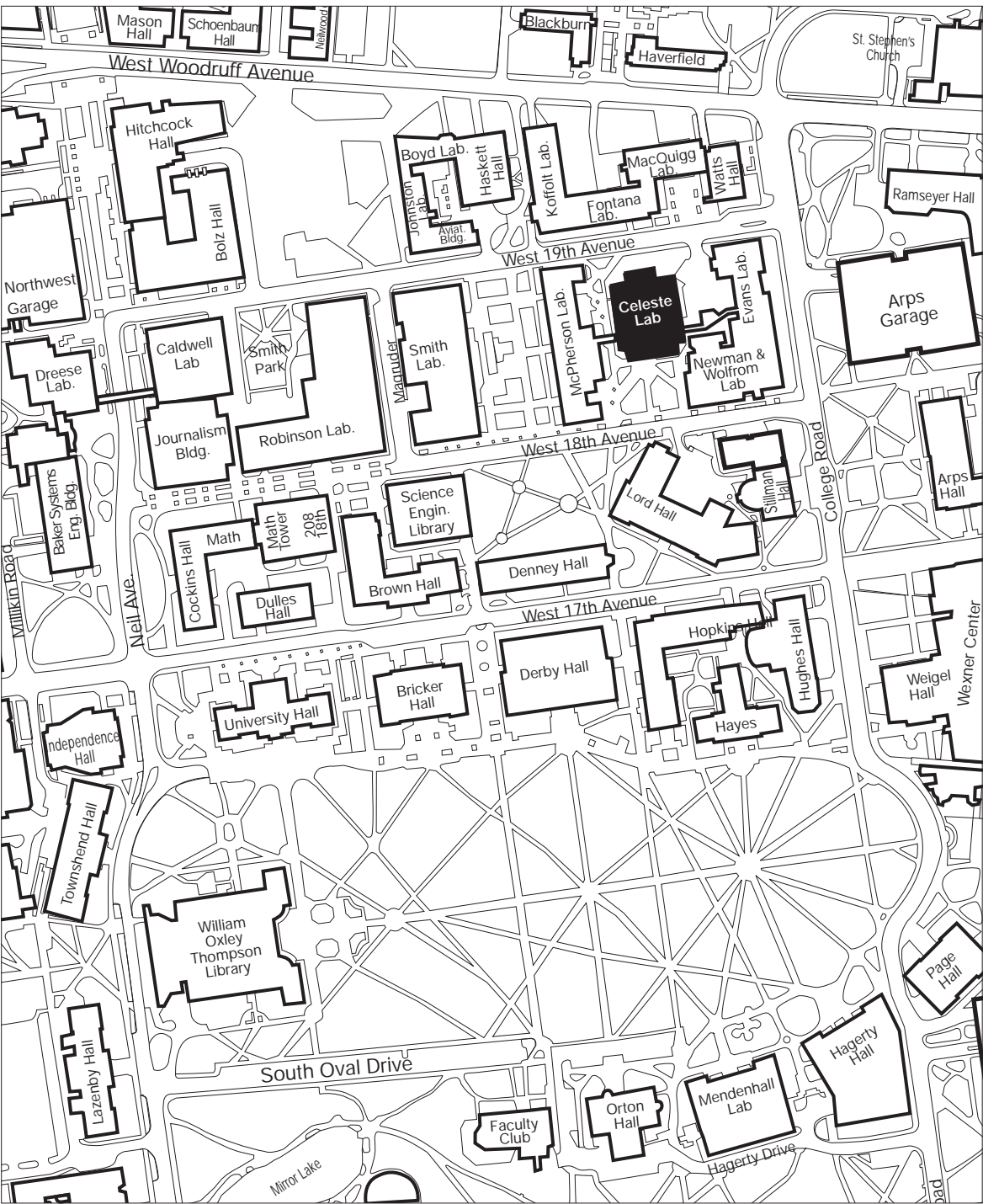
Mt Vernon Ave.



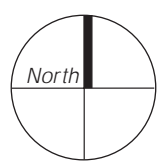
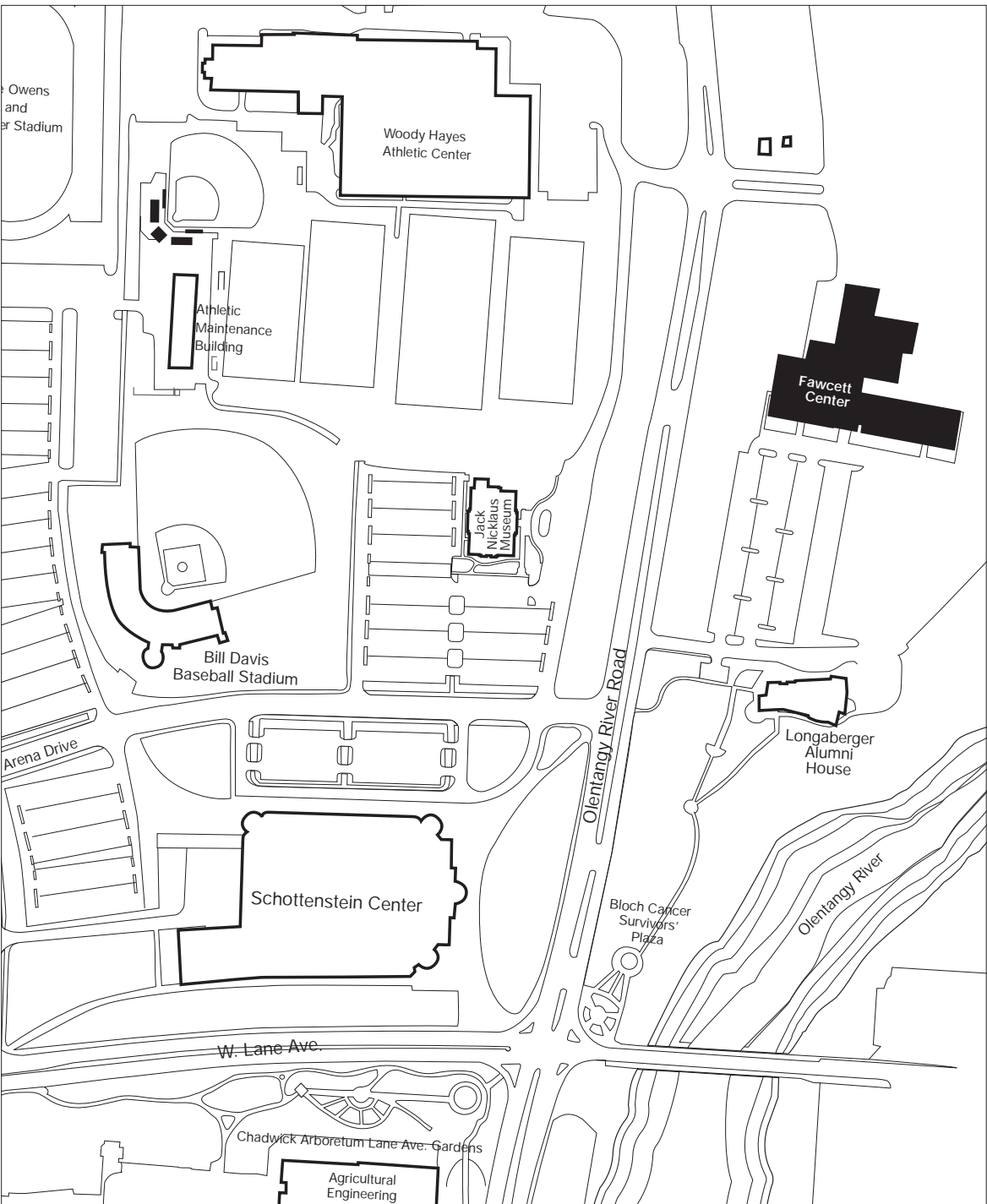
Thorne & Gourley Halls Renovation, Phase III - OARDC



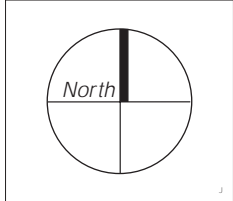
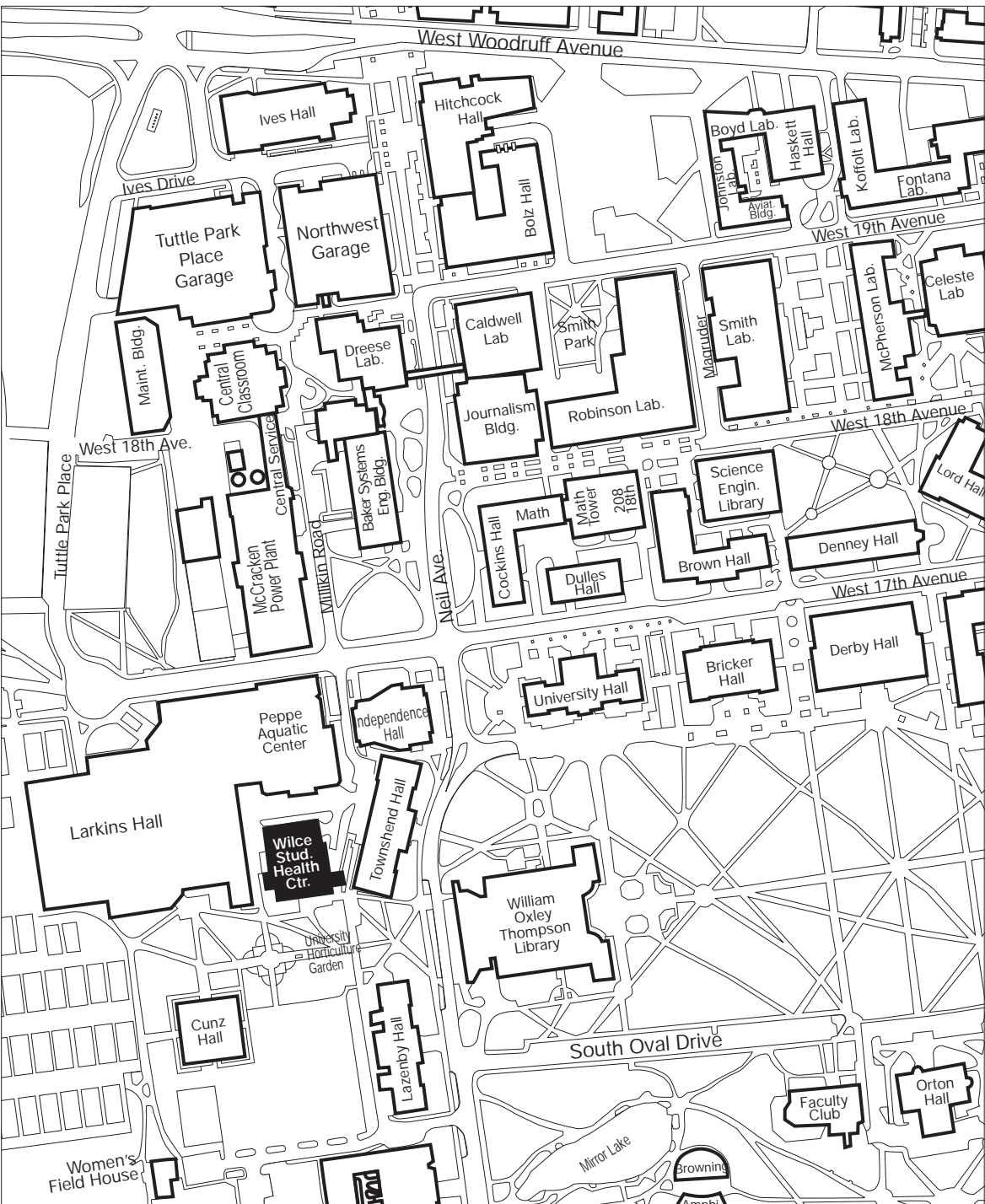
Celeste Laboratory HVAC Improvements



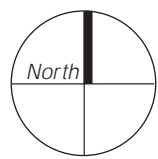
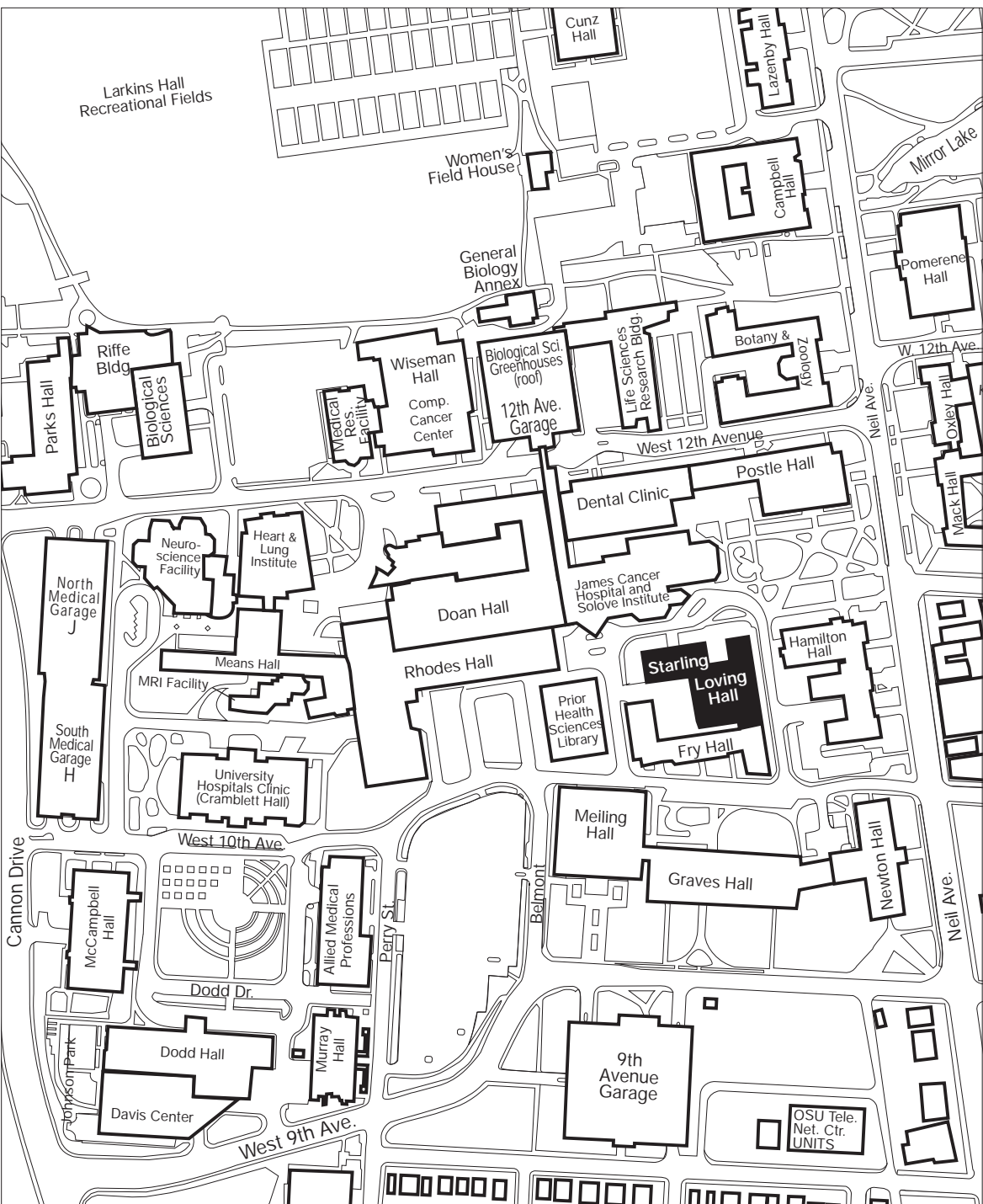
Fawcett Center - University Development Phase I



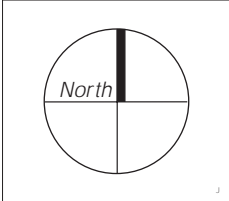
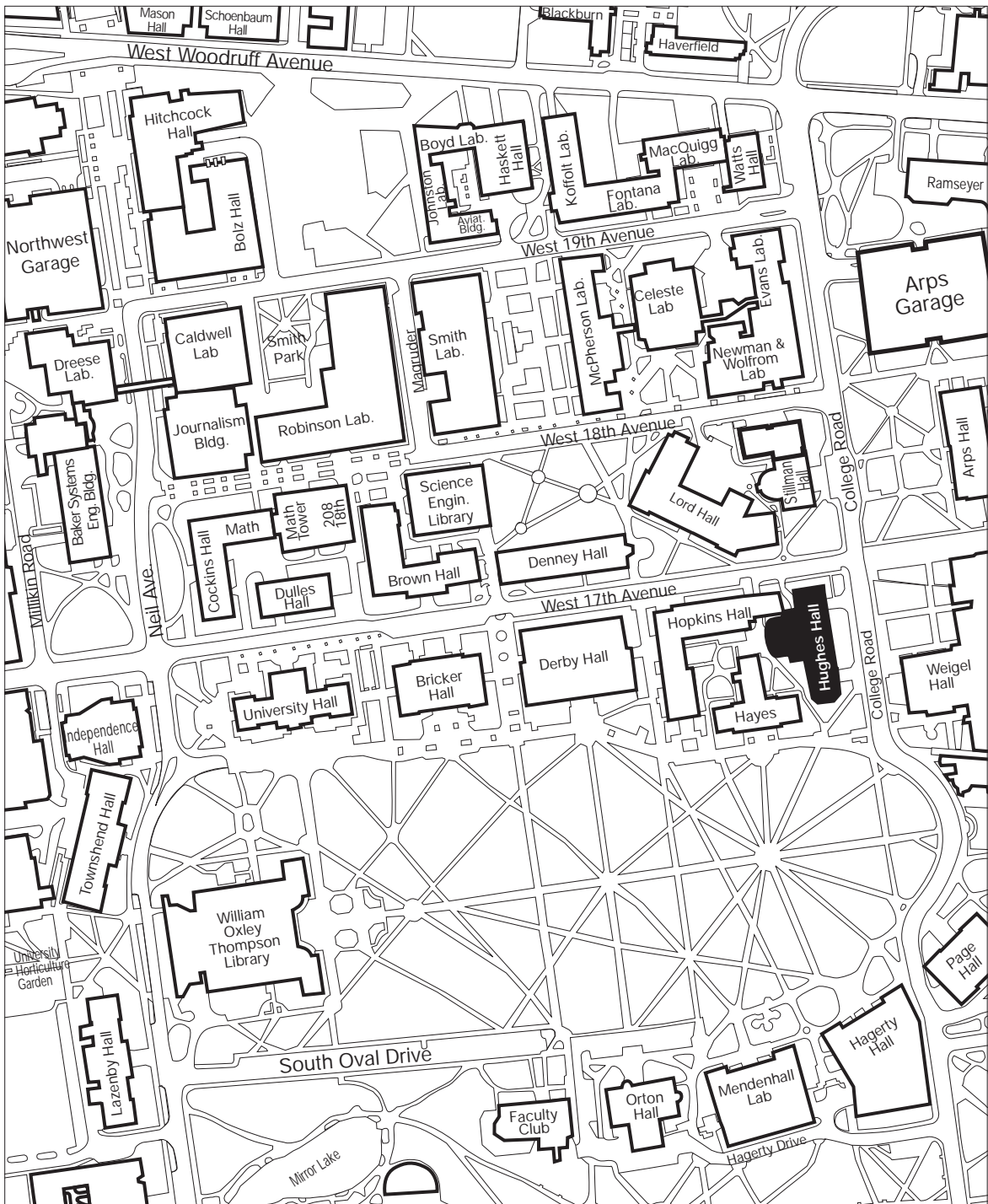
Wilce Student Health Center - 3rd Floor Renovation



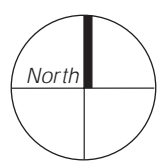
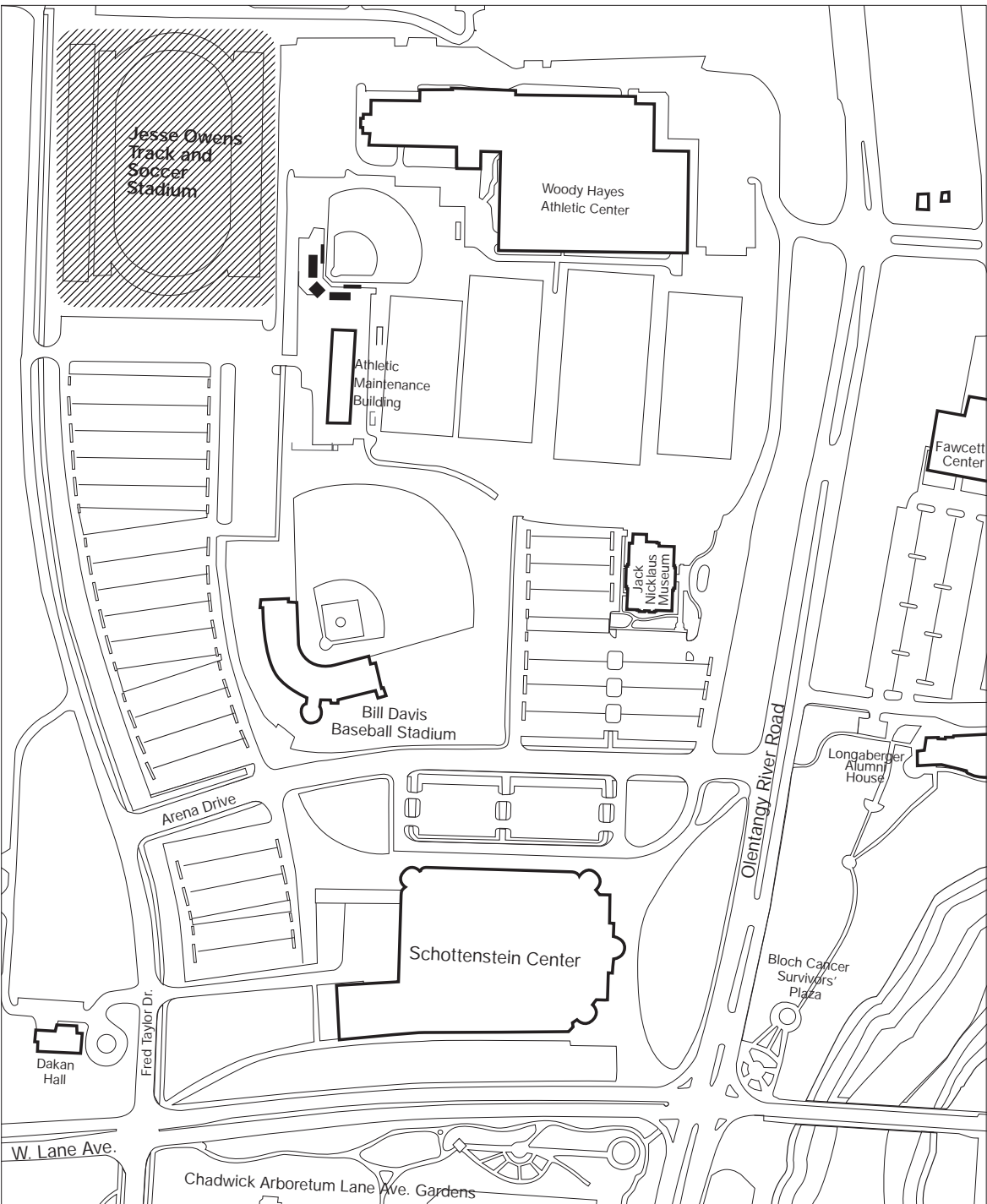
Starling-Loving Hall Install Fire Pump



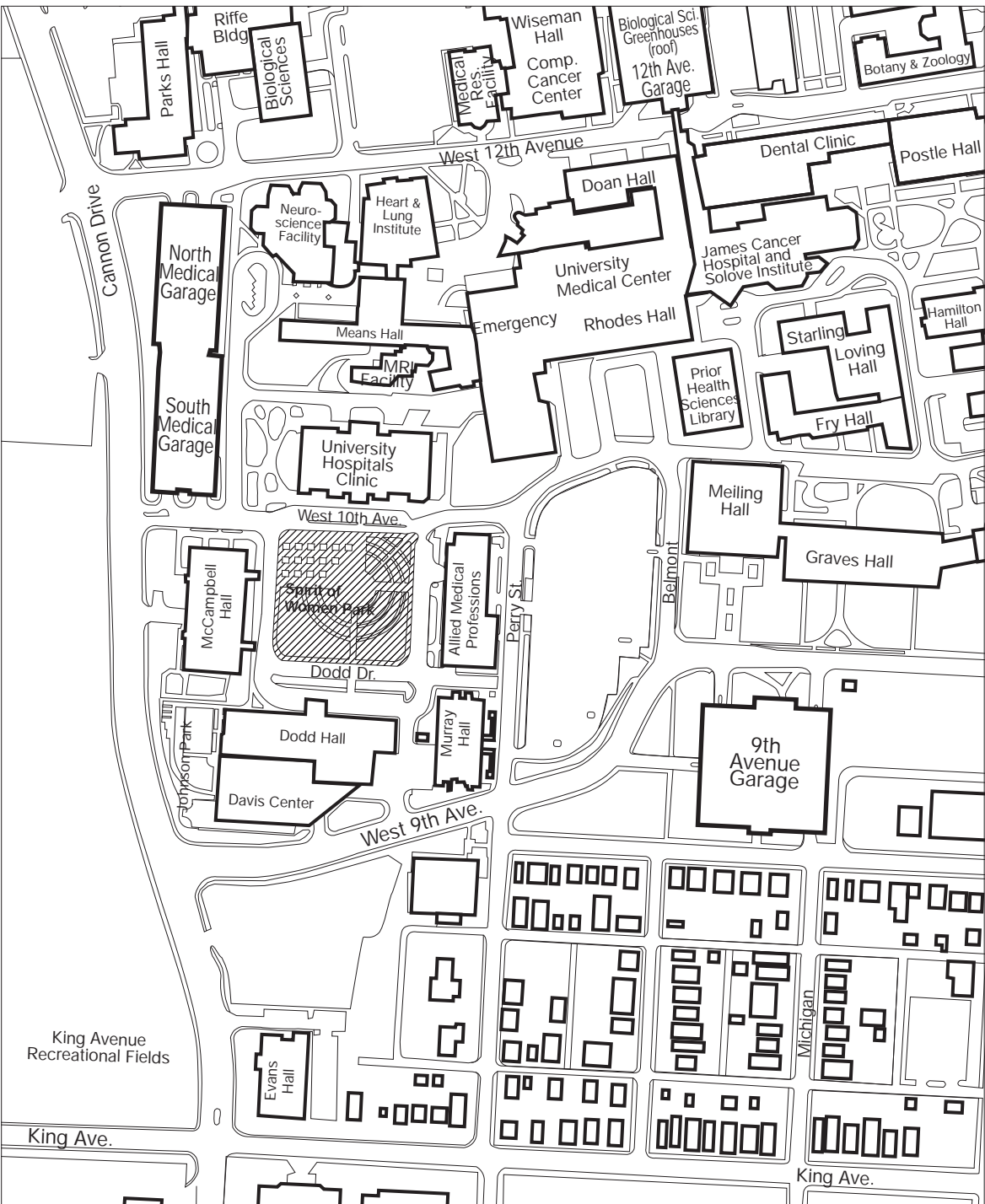
Hughes Hall Auditorium Accessibility



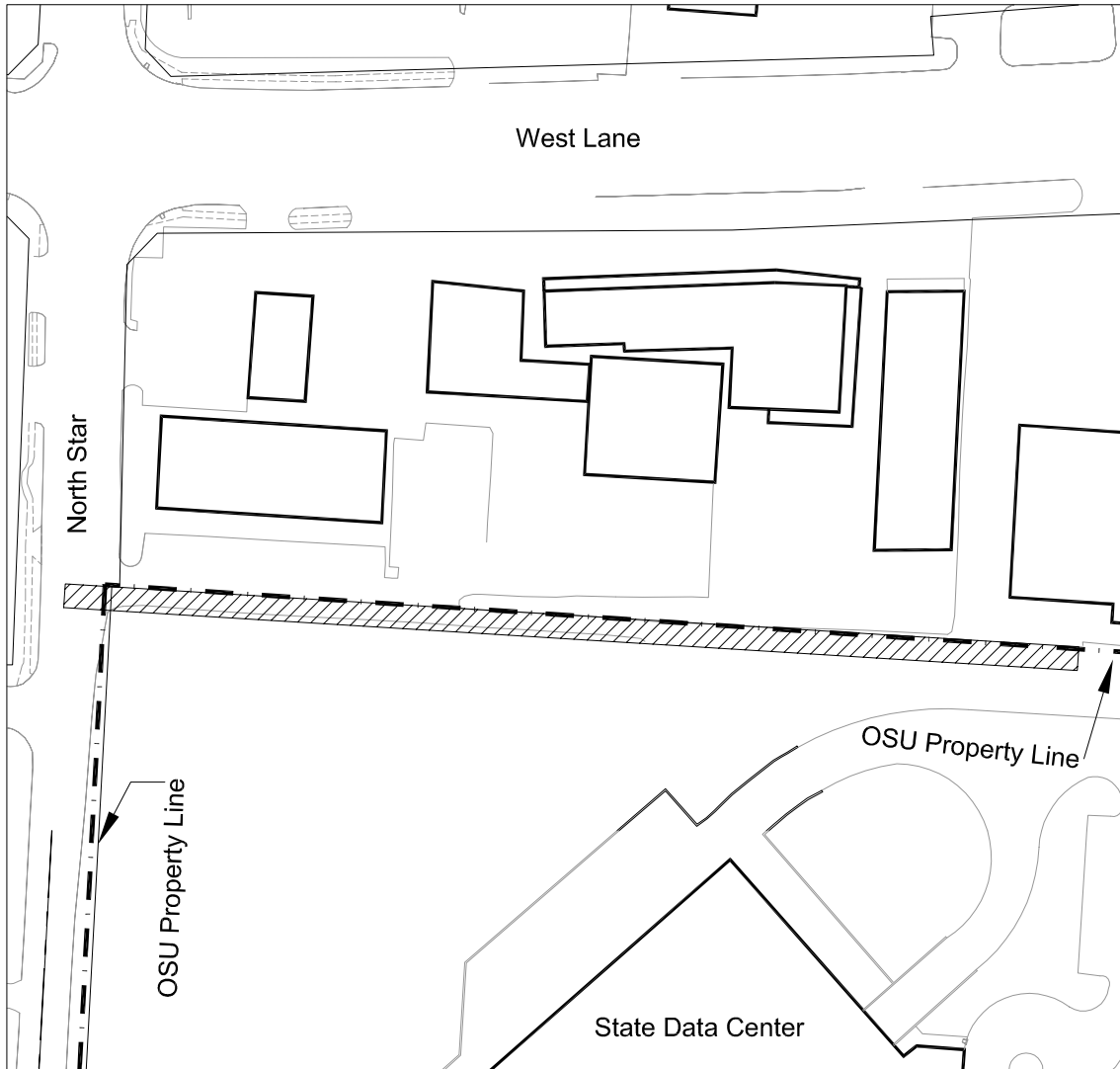
Jesse Owens Track/Soccer Fields Complex



Spirit of Women Park



Proposed Easement Near Intersection of West Lane and North Star to Huntington National Bank, Trustee



No True Scale



Office of Business and Finance
August 30, 2000

 Proposed Sanitary Sewer Easement

Map Provided by University Engineer's Office

SALE OF REAL PROPERTY

17.7 ACRES OF UNIMPROVED LAND ON EITERMAN ROAD DUBLIN, OHIO

Location and Description

The property consists of approximately 17.7 acres of unimproved land (approximately 16.07 acres useable) on Eiterman Road in Dublin, Ohio. The property is located between a Nestles research facility and a large office/research facility owned by Lion Holdings Dublin, LLC. The property was acquired as a gift to The Ohio State University Endowment Fund from the Carol Kennedy Trust in August 1978. The Endowment Fund has held the property in its investment portfolio for appreciation.

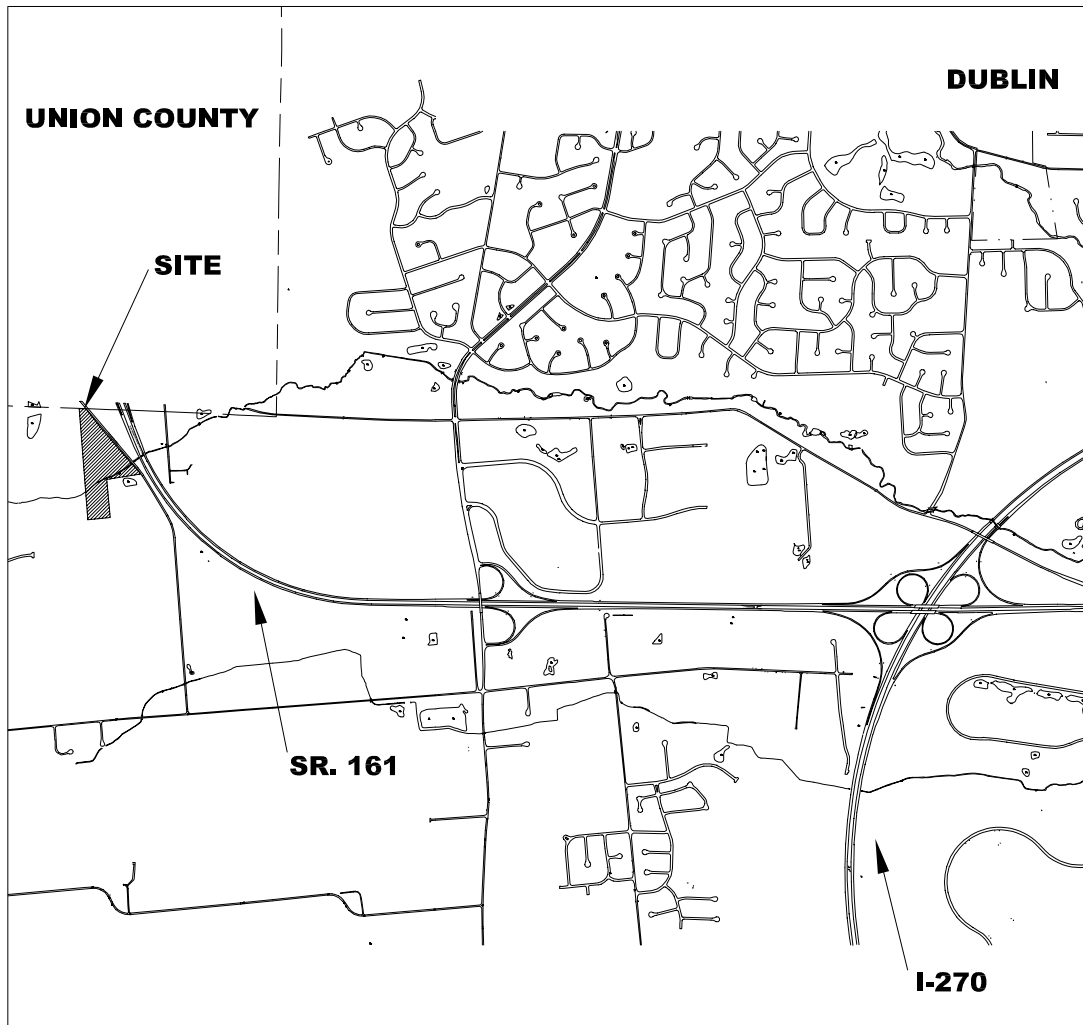
Appraisal and Sale Price

An MAI appraisal conducted by Thomas Wilhelm and Associates in 1998 valued the property at \$40,000 per useable acre, for a total of approximately \$642,800. Midwest Land Fund submitted an offer to purchase the property for \$45,000 per useable acre, a total price of approximately \$723,150.

Use of the Proceeds

Because this is an Endowment investment property, the net proceeds will remain in the Endowment investment pool and will be reinvested according to the Endowment Fund Investment Policy. This sale is part of the continuing reduction in real estate owned by the Endowment that is not needed for University purposes.

Sale of Farmland on Eiterman Road The Ohio State University



No True Scale

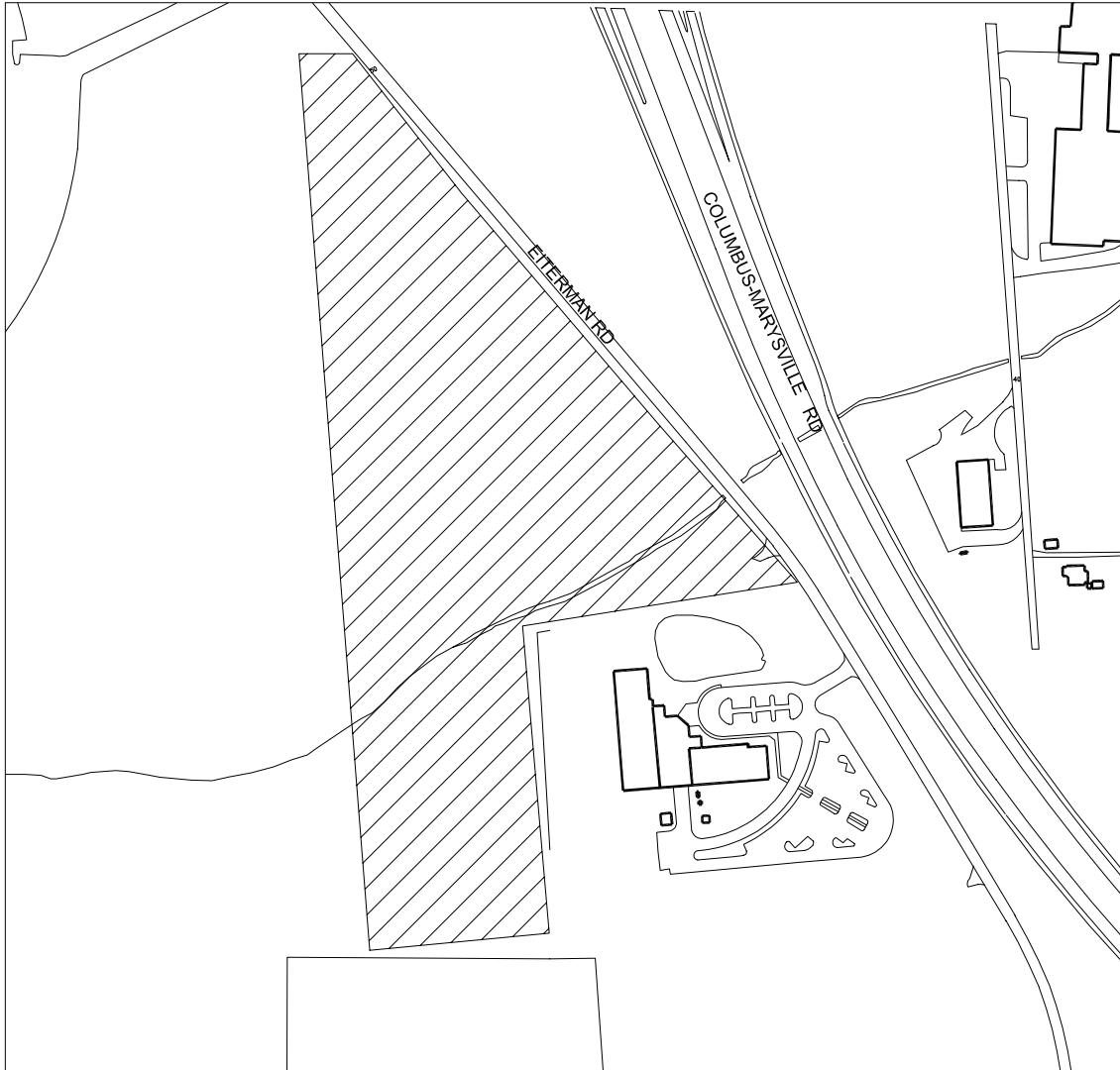


Office of Business and Finance
August 30, 2000

----- Franklin / Union Cnty Line

Map Provided by University Engineer's Office

Sale of Farmland on Eiterman Road The Ohio State University



No True Scale

Office of Business and Finance
August 30, 2000



Subject property

Map Provided by University Engineer's Office

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SIXTY-SIXTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, October 6, 2000

The Board of Trustees met at its regular monthly meeting on Friday, October 6, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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October 6, 2000 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on October 6, 2000, at 11:00 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, and Kevin R. Filiatraut.

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PRESIDENT'S REPORT

President William E. Kirwan:

We meet today at a very special moment in the University's history. A unique combination of circumstances offers us a rare opportunity. It is an opportunity to turn our dreams of academic excellence at Ohio State into realities. An opportunity to achieve our vision of joining the world's great teaching and research universities to move from one strata of excellence to the highest level.

As a veteran of higher education, I can assure you that such a transformation occurs only rarely -- perhaps one university every decade. But I genuinely believe this is our time. What are the elements that create this very special moment for Ohio State?

One is the Academic Plan -- a document long in the making, but well worth the wait. Released to the campus community this week, the plan is our strategic road map on the journey to academic excellence. It reflects untold hours of work by many people over 18 months. In particular, it reflects substantial input from faculty, students, administrators, members of this Board, and others, including members of the broader community. All of the commentary has been taken seriously and much of it is reflected in this document.

Nor even now is this document cast in stone. We will continue to incorporate useful comments and suggestions in the coming months. The plan will be presented formally to you at the December meeting of the Board. The plan also calls for us to evaluate our progress annually, making mid-course corrections as necessary. So you will hear from me on this topic with some frequency -- it's that important.

I won't go through the details of the plan this morning -- you've already seen it, and it will be the subject of my "State of the University" address to the faculty senate tomorrow. If you are a glutton for punishment, you can watch a taped version of my presentation on WOSU Channel 34, Monday, October 9, at 7:00 p.m.

I will note this morning that the plan contains six strategies, 14 initiatives, and four facilitating actions, along with ways to finance these endeavors. Above all, the plan provides a clear focus on building academic excellence. It describes with considerable detail the most promising initiatives on which to concentrate our energy and resources over the next five years. We view five years as the first phase of what is likely to be a decade-long journey.

Because this plan has been broadly shared and collaboratively developed, I believe it already enjoys considerable support and credibility. One especially important element of the plan is the issue of diversity. As you know, we have a companion plan devoted in considerable detail to that topic. The Diversity Action Plan has been well received, not only within the University, but also by community leaders across Ohio. Major recommendations from the Diversity Action Plan are incorporated in the Academic Plan.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

The Academic Plan and the Diversity Plan are necessary to reach our goals, but they are not sufficient. We also need significant resources, and there is major progress on that front also. We are all aware and very proud of the recently concluded "Affirm Thy Friendship" campaign. Our ability to raise the phenomenal total of \$1.23 billion makes many wonderful things possible – things such as 500 new endowed scholarships or fellowships, 270 new endowed research or program funds, and 79 new endowed chairs or professorships.

We have proven our ability to raise money with the best universities in the world. Our success also demonstrates the extraordinary breadth of support this University enjoys. More than 258,000 donors participated in the "Affirm Thy Friendship" campaign. In this regard, let me acknowledge the role of this Board of Trustees in making that campaign so successful. Not only did you devote great energy and numerous volunteer hours, you have been generous in your own personal financial support.

Today I want to acknowledge our most recent trustee gift – a new planned gift from Dan Slane. Through Dan's generosity, the College of Law, The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, and the Manuel Tzagournis, M.D. Medical Research Endowment trust will benefit. Dan, we thank you very much.

The combination of strategic correction and fundraising prowess is very encouraging, but there is more good news – that's the state government's growing recognition of the need for a strong system of higher education in Ohio. You've heard me and others talk about Ohio's lagging support of higher education and its need in this information age era for a top flight teaching and research university. That drumbeat has escalated over recent months and, to their credit, our government is responding.

Last week, the Ohio Board of Regents presented its budget recommendations for the upcoming biennium. They are very encouraging. In brief, the Regents are seeking to increase support for higher education by about 12 percent in each of the two years of the biennium. They propose to double the Research Challenge in the first year and increase it by 50 percent in Year Two. Funding for the Success Challenge would also rise; thanks to the good work of Brad Moore and others, the Regents have proposed what we call the "Ohio Plan." This initiative calls for an additional investment of \$150 million per year for five to seven years to build research infrastructure in higher education, especially to benefit the Ohio economy.

In addition, the Regents have indicated a willingness to support our request for a temporary exemption to the tuition cap as one means of supporting the ambitious goals of our academic plan. If the exemption to the cap is granted, I want to make two points emphatically – every cent of funds generated above the cap would be directed to the improvement of undergraduate education, and there would be no impact on students with financial need.

As you well know, the bold recommendations in the Regents' budget are far from a done deal. They are only the first step in a process that requires recommendations by the governor and action by the General Assembly. Over the next nine months, all friends of higher education need to work with the governor and members of the General Assembly to bring these proposals to fruition.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

In summary, this confluence of activities: the Academic Plan, the Affirm Thy Friendship Campaign, the Regents' budget – is unprecedented. It presents us with an opportunity and a challenge that we may not see again in our lifetimes at Ohio State. I know you agree with me that we must seize this moment, and I am confident that we will.

Mr. Chairman, this concludes my report. However, with your permission, I would like to introduce three winners of this year's Distinguished Staff Award. Selected through a competitive evaluation process, they represent 12 exceptional staff members who were honored at a luncheon in June. We have a very capable and caring staff at Ohio State. They play a key role in many aspects of University life. Our overall success depends in large part on their continuing outstanding performance.

To begin, I'd like to ask Linda Bryant, a fiscal and human resources officer in the geography department to please step forward and be recognized. Linda has been active on the University Staff Advisory Committee. She was also one of six women representing Ohio State at the Agenda for Women in Higher Education conference last spring. Linda, we congratulate you on your many contributions to the work of our staff and to our University.

Next is Judith Newhouse, an administrative assistant in the Department of Management Sciences. In 30 years at Ohio State, Judith has assisted at least six chairs of her department. She was a driving force behind the creation of the college's civil service staff advisory committee, which she chaired for seven years. Judith, we congratulate you.

And last, but not least, is Norman Stanley, assistant director of Institutional Services at the Agricultural Technical Institute. Norman has been closely associated with ATI's growth. Known as a great pinch-hitter, Norman is always ready, willing, and able to step in wherever and whenever he is needed. Norman, we congratulate and thank you.

Linda, Judith, and Norman are exceptional representatives of our outstanding staff at Ohio State. They are a key reason for our success.

Mr. Chairman, I have to end on a slightly less happy note, because a truly valued member of our staff and a close personal friend, Cecelia McFadden, chair of USAC this year, has taken a new position with the mayor. She is going to head up his technology efforts and, because of our close relationship with the city, we are very pleased that we will be able to work closely with Cecelia in the future. Cecelia, we're going to miss you very much. Would you please stand up so we can all thank you?

Thank you, Mr. Chairman.

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EDUCATIONAL AFFAIRS COMMITTEE MEETING

Ms. Longaberger:

As President Kirwan just noted, the future of Ohio State is very bright and we have the opportunity -- between the timing of the Academic Plan and the success

October 6, 2000 meeting, Board of Trustees

EDUCATIONAL AFFAIRS COMMITTEE MEETING (contd)

Ms. Longaberger: (contd)

of our Campaign, thanks to Jerry and his staff, as well as the Board of Regents' recommendations -- to do our part, too.

One of the most important strategies in this regard is our Selective Investment Initiative. Through this investment and initiative, we are making targeted and significant placement of funds in some of our best departments and programs in the belief that, with this added help, they will be able to move forward to the very top of their fields.

This is the third year, as many of you know, that we are making Selective Investment Awards, and Dr. Ray, Executive Vice President and Provost, will introduce today's program. Dr. Ray --

SELECTIVE INVESTMENT AWARDS PRESENTATION

Provost Edward J. Ray: [Video Presentation]

As you've indicated, and as the President has as well, this really is an extraordinary time in the history of the University and an exciting time to be here.

The Selective Investment Initiative began in 1997 in order to provide a limited number of departments and programs with the added resources they need to move to preeminence. The departments and programs selected for these awards are among our very best, and we believe that they have the vision and commitment to be truly great. We believe that the people of this state and our students deserve a world-class teaching and research university that can partner with business and government in the drive for economic and social progress for the people of Ohio, and a University that can prepare our students to be fully productive citizens and leaders in the 21st century.

This year's Selective Investment recipients were chosen following a competitive review conducted by a committee of eminent faculty, chaired by Professor Paul Beck, chair of the Department of Political Science. I'd like to extend our deep appreciation to Paul and his colleagues for the many hours they put into this very important undertaking.

The recipients were selected based on their importance to our academic mission, their existing high level of excellence, and their ability to positively influence other departments and disciplines. Each program will receive up to \$500,000 in continuing funding, which will be matched by additional department and college funding for a total of up to \$1 million in continuing funding. These funds, in most cases, will be used to hire outstanding faculty and staff who can compliment existing strengths.

This year's Selective Investment recipients are the Departments of Economics, English, and Mathematics, the College of Law, and the Cardiovascular Bioengineering Program. These programs join eight previous recipients that include chemistry, electrical engineering, history, material science and engineering, neuroscience, physics, political science, and psychology. Now, we would like to show you a video of these recipients.

(Video)

SELECTIVE INVESTMENT AWARDS PRESENTATION (contd)

Provost Ray: (contd)

I would now like to ask representatives from each of these programs to come forward and say a few words -- Peter March, chair of the Department of Mathematics; Masanori Hashimoto, chair of the Department of Economics; Barbara Snyder, associate dean in the College of Law; James Phelan, chair of the Department of English; and Mauro Ferrari, director of the Cardiovascular Bioengineering Program.

As they're coming forward, I'd also like to acknowledge the deans who are represented by these programs and who provide the academic leadership so important to our success. Dean Gregory Williams, of the College of Law, and Dean Michael Hogan, of the College of Humanities, were unable to join us today, but I'd like to ask the following deans to stand and remain standing so that we can acknowledge them: Dean David Ashley of the College of Engineering; Dean Robert Gold of the College of Mathematics and Physical Sciences; Dean Randall Ripley of the College of Social and Behavioral Sciences; and Dean Fred Sanfilippo of the College of Medicine and Public Health. Please join me in recognizing these leaders.

I'd like each of our guests to speak briefly on how you plan to use the award and the difference this can make for our students, the advancement of knowledge and our service to the people of Ohio.

Professor Peter D. March: [Department of Mathematics]

Thank you for giving me this opportunity to talk to you about the Department of Mathematics. Mathematics is a central discipline and our department is one of the strongest on campus. Everything we do is related to a certain vision of mathematics: pure mathematics, which thinks about itself and comes up with new ideas; applied mathematics, which reaches out to other parts of science and society; and education, which triangulates our life and our Selective Investment proposal is firmly based on that vision.

We're going to hire two senior people in pure mathematics -- number theory and global analysis, which are classical disciplines. We're looking in applied mathematics to exploit opportunities in mathematics in computing and mathematics in biology. For our last senior hire, we're going to look for a distinguished mathematician who has concentrated now on bringing together new research on how to present mathematics -- how do students learn, how can we do this better at Ohio State -- to bring mathematics education to the fore. So that's what we're going to spend the money on. I think we're going to be successful.

The Selective Investment Award is already paying some dividends. We've reached out and started a collaboration with a prominent mathematician from the University of Minnesota, Avner Friedman who is a member of the National Academy. We're working on a project directly related to Selective Investment to bring a mathematics institute funded by the National Science Foundation to Ohio State, the focus of which is mathematics, biology, and medicine. We're working with the Department of Statistics, which is a natural partner as you could imagine, but we're also making connections with the Department of Neuroscience, which was a Selective Investment winner in previous years, and also the Biomedical Engineering Center, which is a Selective Investment winner this year. So, it's all starting to come together. We hope and think that we'll be successful in the coming future.

SELECTIVE INVESTMENT AWARDS PRESENTATION (contd)

Associate Dean Barbara R. Snyder: [College of Law]

Dean Williams really wanted to be here this morning, but he is in Denver attending a conference. On behalf of him, the faculty, staff, students, and the alumni of the College of Law, I want to tell you how excited and gratified we are to be receiving the Selective Investment Award. It's your decision to invest in our future and we could not be happier about that.

In the *U.S. News and World Report* rankings, the College of Law tied for 14th among public law schools and our goal is to be among the top ten within five years. This is an ambitious goal, but we think we can do it, and with Selective Investment we are committed to going ahead with that.

We asked for Selective Investment primarily to be able to hire new faculty, and this is important to us for several reasons. First, we're going to be hiring in areas that build on our strengths and that will increase, we think, our reputation and our national visibility. Second, faculty size itself is an issue in the rankings. Our faculty size has been below the median for benchmark institutions and increasing the size of the faculty will help us in the rankings. Also, being able to hire senior faculty. As you heard Professor Michaels on the tape mention, being able to hire senior faculty gives an immediate boost to our academic reputation. So we are very excited about this opportunity.

One of the areas that we're going to be hiring in is intellectual property and cyber law. We already have two highly-regarded faculty members in this field, one of whom is in Washington, DC. He is on leave right now, serving as the first chief counselor for privacy for the federal government, advising them on electronic privacy issues. The other faculty member is the organizer of three very successful conferences on technology and intellectual property funded by Battelle. The addition of another faculty member is going to help us stay at the forefront of this critical, highly visible, and increasingly important area of law.

A second area where we have already added a new faculty member in is the area of criminal law, which is a basic discipline in law. We have several outstanding junior faculty members and you saw two of them on the tape, Professors Berman and Michaels. They are both publishing in top law journals, with Professor Berman editing a leading journal on federal sentencing law.

Another faculty member runs our Justice for Children Clinic and is working with the University's Criminal Justice Research Center. The addition of another senior faculty member in this area will be a big boost to our junior criminal law faculty. In fact, we just found out last week that an offer we made to a senior person in the criminal law area -- who is visiting this year from the University of Michigan -- was accepted. He will be joining us in the fall of 2001 and we are thrilled about that. He's an outstanding scholar, as well as a terrific teacher.

Two of our appointments will be joint appointments, because we are very committed to interdisciplinary work. One will be a joint appointment with the Mershon Center in the area of comparative dispute resolution. This person will study dispute resolution in different countries and cultures, and will build on the college's already recognized importance in the area of alternative dispute resolution.

The second joint appointment will be with the Department of Political Science, which is already a center of excellence and an extremely highly-regarded department in the country. We've already been collaborating with them in a

SELECTIVE INVESTMENT AWARDS PRESENTATION (contd)

Associate Dean Snyder: (contd)

number of areas and the joint appointment of a professor in law and political science will cement our important collaborative relationship.

Another appointment, although not formally a joint appointment, will be interdisciplinary in the area of law and social science, building on the strength of our Center for Law Policy and Social Science.

The two final appointments will be in foundational legal areas, important both for scholarly research and for the profession and the community. One appointment will be in corporate law. We already have several highly-regarded faculty in commercial law, but we don't have anybody working in the area of corporate theory. This is a very important area of the law and increasingly important with new corporate formats, technology, dot.coms, and so forth. The other appointment will be in the area of professional ethics, which is of great public interest and concern particularly in the field of law. And we want to increase our prominence in that field as well.

All of these new faculty hires will allow us to broaden our curricular offerings, increase our scholarly output, and enhance our academic reputation. We are excited about the future and hope that we can report back to you in five years that we have accomplished our goal. We look forward to keeping you apprised of our progress.

Professor James P. Phelan: [Department of English]

In the English department we feel, as in math and economics, that we are working at the heart of liberal arts education and at the graduate level, one of the most important fields at any university. I'm going to talk briefly about how we plan to use the money, how this would compliment what we already are, and then about how we feel about having been selected.

We plan to use the Selective Investment funds to hire six full professors in three main areas – British literature, American literature, and writing. These three areas are always strong in the best English departments at public universities. More specifically, within the broad field of British literature, we intend to hire a specialist in medieval literature and one in Shakespeare. Shakespeare has a specific and unique place in the cannon of English and American literature. The ability to hire somebody who would specialize in that should really advance our program.

In the broad field of American literature, we plan to hire a specialist in African-American literature. In doing this, we believe we will be able to compliment existing strengths in that area and develop one of the strongest programs in African-American literary studies in the country. We see this as also an effort that compliments the University's emphasis on diversity. The other hire we hope to do is in American literature – we are going to search for the best person we can find regardless of the subfield, on the advice of the Research Commission Report.

In writing, we plan to hire a specialist in public discourse for our rhetoric and composition program. Again, we plan to hire a creative writer, not specifically looking for somebody who's working in a particular genre, but for the strongest person we can find.

SELECTIVE INVESTMENT AWARDS PRESENTATION (contd)

Professor Phelan: (contd)

These six hires -- along with recent and expected promotions currently at the associate professor rank in the department -- will mean that, over the next four years, we should be able to double the number of faculty at full professor rank. This would thereby increase the percentage of our faculty at that rank from approximately one-fourth to one-half of the total. We believe such a significant shift should result in the department's making a significant move up in the national ranking, and, therefore, it's realistic for us to set our ambition at becoming one of the top five Ph.D. programs in English in the country.

Needless to say, the department's very excited about the opportunity provided by Selective Investment. At the same time, we feel very honored and very grateful for the confidence in us that this award represents. Personally, I find it to be both flattering and humbling to know that the department has been recommended for the award by a very distinguished faculty committee and then chosen to receive it by President Kirwan and Provost Ray. I'm here, in part, to say that we intend to repay that confidence.

Professor Masanori Hashimoto: [Department of Economics]

Good morning. First of all, I would like to thank the Office of Academic Affairs for its wisdom in setting up the Selective Investment program and thank the selection committees that have carried out the process in such a fine fashion over the last three years.

The Department of Economics has analyzed what it would take to achieve our long-term goals, which we have termed "Year 2010" goals. Quite simply, we plan to advance well into the top ten ranks among public university departments and into the top 20 ranks overall by the year 2010.

Actually, we are very close to this goal. In 1998, the *U.S. News and World Report* ranked our department 30th overall and 12th among public universities. In terms of publications in core journals, we ranked 11th among publics and 24th among all departments in the nation. Through this funding, we are confident that we can make several key faculty appointments that will boost our productivity and visibility, and that the increased quality of our research and teaching will be reflected in meeting our ranking goals as mentioned above.

In recent years, our faculty productivity has increased substantially. Since 1995 the department has hired several promising junior scholars and three stellar full professors, including one of the top three worldwide producers in econometrics research. We want to continue, indeed accelerate, this recruiting momentum and the Selective Investment Award gives us the dollars to do it.

The department plans to hire a combination of fast rising young scholars and established scholars who will help us move the department to a rank of seventh or eighth among the public universities. We want not only to reach the goal, but also to sustain it well into the future. That's why we also want to focus on hiring some young scholars.

As I mentioned, we publish at a prolific rate. With the Selective Investment funding, our department will generate even more original research and disseminate it statewide and worldwide. Students, both graduate and undergraduate, will benefit directly from having high-quality professors in their classrooms. Indirectly, faculty will benefit from undergraduates by helping us

SELECTIVE INVESTMENT AWARDS PRESENTATION (contd)

Professor Hashimoto: (contd)

attract better and better graduate students, many of whom will be teaching associates. This is critical since many students take our courses.

For example, last year 12,000 undergraduates took our courses and approximately 4,500 -- an equivalent of 75 percent of entering freshman -- took our gateway course in economics. Our program is also attracting more and more honors students. Last year, our honors enrollment was second only to English. A stronger faculty would benefit these students by working directly with them on undergraduate research projects. Those honors students who are from the State of Ohio may be compelled to look at our graduate programs and continue their stellar performance here, rather than elsewhere.

Graduate students from other units take our courses, too. In fact, during the first year, most of our core courses are 50 percent taken by students from other units. So improving the economics faculty has an influence on our attracting better graduate students, not only to the economics program, but also to other programs as well.

Our colleagues are in front of the public on economic issues through consulting, advising, and media appearances. Some have been featured recently in programs run by *Ohio News Network*, National Public Radio, and *the Columbus Dispatch*. Just to cite a few examples, one professor sorts out and interprets the economics and business components of the Buckeye Poll on a monthly basis. Our report on consumer confidence index and consumer credit debt index appears in the *Dispatch* every month and is disseminated worldwide through the AP wire service.

Another colleague advises the Federal Reserve Bank in Cleveland. Still another has a large project with the state to evaluate welfare reform. High quality faculty will enhance these and other interdisciplinary and outreach activities, but, most of all, what we will achieve will contribute to the University's reputation, helping to make Ohio State a top tier institution.

Thank you very much.

Dr. Mauro Ferrari: [Cardiovascular Bioengineering Program]

Ladies and gentlemen of the jury, it wasn't too many years ago that the only way an engineer could gain access to the goings on in a surgical room was by lying flat on his or her back as a patient. Ever since, many things have changed.

May I just bring to your attention a number of contributions that have been generated by the coming together of engineers with leading clinicians and scientists of the physical and biological type? Focusing on cardiovascular disease for a moment, among these are: the pacemaker; artificial heart valves that are implanted into people; catheters through which we can deploy balloons in angioplastic procedures that can open up arteries that are diseased; and stents that can keep these arteries open.

Think for a moment of procedures of a diagnostic type -- magnetic resonance. At OSU we have the most powerful magnetic resonance machine in the world. Think of improved ultrasound diagnostic methods -- PET scans, CAT scans, and any number of other scans.

SELECTIVE INVESTMENT AWARDS PRESENTATION (contd)

Dr. Ferrari: (contd)

At The Ohio State University, we have taken the leadership role throughout the years in marrying engineering and medicine, especially for cardiovascular disease. I've already quoted magnetic resonance as a focus of interest of ours. Allow me to add a couple of other perhaps less known improvements brought about by OSU researchers. What you see here in front of me is one of the very first heart and lung machines. I brought it here in case anybody got too excited. The heart and lung machine keeps people alive when they've been operated on and their heart is stilled for a while. This was invented by Mr. John Locke, an OSU engineer.

Other things that OSU has pioneered include left ventricular assist devices, through which we keep patients alive while they are waiting for a heart transplant. This was invented by Dr. Andrew Goldstein, a former NASA engineer, and now a professor and cardiovascular surgeon at OSU. Most recently, OSU has taken leadership in robotic surgery of the heart, led by our distinguished Professor Robert Michler and his associates.

More recently still, robotic heart surgery has married nanotechnology and is starting to develop novel methods for providing innovative solutions to a host of cardiovascular diseases by implanting micro and nano chips into patients' hearts with robots. This was first demonstrated last week at the first world conference on biomedical, micro and nanotechnology, held here in Columbus, Ohio, hosted by our University.

With this background in mind, very exciting developments have been brought forth by our professors and clinicians. We have come together as the Biomedical Engineering Center with several other distinguished units across campus including: cardiothoracic surgery, the Division of Cardiology, the Heart and Lung Institute, chemical engineering, and materials science and engineering. They assemble with the Cardiovascular Bioengineering Selective Investment proposal.

As my distinguished colleagues here at the table have done, I would like to give you the rankings for where we are, in terms of cardiovascular bioengineering. However, the news is if we had not invented the phrase "cardiovascular bioengineering," it would not exist. We certainly are the first place in the country, perhaps the world, to have a program with emphasis in cardiovascular bioengineering. So I cannot provide you with ranks, other than saying, as far as I know, we are the only ones on the planet. Nevertheless, we certainly expect that we are going to have a cascade of beneficial effects from the Selective Investment Award.

In terms of ranking for existing units, we plan to use the award to establish a Department of Biomedical Engineering across the Colleges of Medicine and Public Health and Engineering as planned a few years ago. We expect to be catapulted into a national leadership position through the Selective Investment Award.

At the same time, we also expect that all the other participating units will benefit and continue to establish themselves in the position as top units in their respective disciplines in the country. We will set up the first cardiovascular bioengineering program and give graduate degrees at the master and Ph.D. levels.

October 6, 2000 meeting, Board of Trustees

SELECTIVE INVESTMENT AWARDS PRESENTATION (contd)

Dr. Ferrari: (contd)

In terms of synergy, we are going to be hiring nine faculty, all shared among the participating units -- 50/50 or with slightly different arrangements. The subspecialty fields are going to be robotic surgery, heart devices and implants, biomaterials, nanotechnology, genetic engineering, and others according to whatever is strategically advisable as time progresses.

This will synergize dramatically with new programs here on campus. You know that the plan for a new heart hospital is very well underway, and I hope that we will see all of you on Friday at the official inauguration of our new, world class Heart and Lung Institute on central campus. As a further result of Selective Investment, we also expect that your license plates that currently read, "Ohio – the Heart of It All," will start reading, "Ohio – the Heart and Lung of It All." Thank you very much.

Provost Ray:

Madam Chair, and members of the Board, I'm sure our panelists would be willing to respond to any questions or concerns you have.

Mr. Brennan:

I heard the rankings of some of the departments -- where they are now, but I didn't hear all of them. I believe it was stated that the College of Law is now fourteenth among the publics, is that what you said?

Associate Dean Snyder:

Yes; fourteenth among publics and thirty-seventh overall.

Mr. Brennan:

And your goal is –

Associate Dean Snyder:

To be in the top ten public within five years.

Mr. Brennan:

How about in 10 years, which is what we talk about? In 2010, where do you expect to be?

Associate Dean Snyder:

I hope we'll be within the top five in 2010.

Mr. Brennan:

For the Department of Mathematics, I didn't hear where you think your ranking is now?

Professor March:

Our current rank is twenty-ninth overall in the country, out of 137 programs that are in the ranking.

October 6, 2000 meeting, Board of Trustees

SELECTIVE INVESTMENT AWARDS PRESENTATION (contd)

Mr. Brennan:

And in the publics, where are you?

Professor March:

I don't know off the top of my head, because we feel we still have to compete against Harvard and Princeton.

Mr. Brennan:

And your goal within the 10-year period?

Dr. March:

We want to be in the top 20 overall, probably closer to fifteenth.

Mr. Brennan:

Okay. Mauro, that is a wonderful thing, you are both first and last. In the Department of English, I don't think I heard where you are ranked.

Professor Phelan:

We're currently sixteenth among publics.

Mr. Brennan:

And your goal?

Professor Phelan:

We want to get into the top five.

Mr. Brennan:

Thank you.

Provost Ray:

Madam Chair, that concludes the presentation.

Ms. Longaberger:

Thanks to all of you. On behalf of everyone that worked on the project, I certainly would like to comment, as a Trustee, that you make us all very proud to be associated with Ohio State. So please go back and thank your staffs as well. Thank you.

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October 6, 2000 meeting, Board of Trustees

CONSENT AGENDA

President William E. Kirwan:

We have 11 resolutions on the Consent Agenda today. I would like to ask that #7 be set aside for a separate vote, and unless there are any objections, I would like to recommend the remaining ten resolutions to the Board:

CREATION OF THE OFFICE OF INTERNATIONAL AFFAIRS

Resolution No. 2001-37

WHEREAS the importance of international education and research has increased; and

WHEREAS the need to enhance, coordinate and increase the visibility of international programs has become more important; and

WHEREAS an office devoted to international affairs will enhance the university's ability to act strategically to accomplish these goals:

NOW THEREFORE

BE IT RESOLVED, That an Office of International Affairs be hereby created under the leadership of an Associate Provost for International Affairs, effective October 1, 2000, who will also have responsibility for the Mershon Center and the Office of International Studies, including the area studies centers, and the responsibility to encourage collaboration and cooperation among international programs throughout the University and to enhance the visibility of international programs.

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees be hereby authorized and directed to incorporate the above changes in the Bylaws of the Board of Trustees and the Rules of the University Faculty.

PERSONNEL ACTIONS

Resolution No. 2001-38

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the August 30, 2000 meeting of the Board, including the following Appointments/Reappointment, Leave of Absence Without Salary, Professional Improvement Leave, Promotion/Tenure/Reappointment, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved September 19, 2000, by The Ohio State University Hospitals Board, be ratified.

Appointments

Name: DANIEL M. FARRELL
Title: Associate Provost for Honors and Scholars
Office: Academic Affairs
Term: July 1, 2000 through June 30, 2004
Present Position: Interim Associate Provost for Honors and Scholars

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: SUSAN W. FISHER
Title: Secretary of the University Senate
Office: Academic Affairs
Term: October 1, 2000 through September 30, 2003
Present Position: Professor, Departments of Entomology and Veterinary Biosciences

Name: JERRY R. LADMAN
Title: Associate Provost for International Affairs
Office: Academic Affairs
Term: September 8, 2000 through June 30, 2003
Present Position: Professor, Department of Agricultural, Environmental, and Development Economics

Name: L. JAMES LEE
Title: Professor (The Helen C. Kurtz Chair in Chemical Engineering)
Department: Chemical Engineering
Term: October 1, 2000 through September 30, 2005

Name: THOMAS L. MINNICK
Title: Acting Dean of University College and Associate Provost for Undergraduate Studies
College/Office: University College/Academic Affairs
Term: September 11, 2000 through June 30, 2001
Present Position: Associate Dean, University College

Name: STEPHEN A. OSMANI
Title: Professor (Ohio Eminent Scholar in Molecular Genetics)
Department: Molecular Genetics
Term: January 1, 2001 through June 30, 2006
Present Position: Professor, Cellular and Molecular Physiology, Penn State College of Medicine, and Senior Staff Scientist, Geisinger Clinic, Danville, PA

Name: MAC A. STEWART
Title: Interim Vice Provost for Minority Affairs
Office: Academic Affairs
Term: September 11, 2000 through June 30, 2001
Present Position: Dean of University College and Associate Provost for Undergraduate Studies

Reappointment

Name: STEVEN J. SCHWARTZ
Title: Professor (The Carl E. Haas Chair in Food Industries)
Department: Food Science and Technology
Term: October 1, 2000 through September 30, 2005

Leave of Absence Without Salary

BERYL A. MILLER, Professor, Department of Dance, effective Autumn Quarter 2000 and Spring Quarter 2001, to accept teaching positions at George Mason University in Fairfax, Virginia, Middlebury College in Middlebury, Vermont, and Virginia Commonwealth University in Richmond, Virginia.

October 6, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Professional Improvement Leave

BRUCE S. ZWILLING, Professor, Department of Microbiology, effective Winter Quarter and Spring Quarter 2001.

Promotion, Tenure, and Reappointment

COLLEGE OF DENTISTRY -- REGULAR CLINICAL

REAPPOINTMENT

Janet L. Bolina - effective 7/01/2001

Dale S. Kanner- effective 7/01/2001

Mark A. Knutsen - effective 7/01/2001

R. Bruce Miller- effective 7/01/2001

Emeritus Titles

ELDON D. BALDWIN, Department of Agricultural, Environmental, and Development Economics, with the title Professor Emeritus, effective November 1, 2000.

LUTHER G. TWEETEN, Department of Agricultural, Environmental, and Development Economics, with the title Professor Emeritus, effective October 1, 2000.

Medical Staff Appointments (The Ohio State University Medical Center)

August 2000

Shahab Fareed Abdessalam, M.D., Surgical Oncology, PGY-6 Limited, 07/01/00-06/30/01
Matthew B. Baker, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Misty L. Bogdahn, M.D., Obstetric/Gynecology, PGY-1 Limited, 07/01/00-06/30/01
Thomas A. Boyce, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/01
Benjamin D. Bringardner, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Melinda A. Brown, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Margaret A. Chase, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
David F. Colombo, M.D., Maternal/Fetal Medicine, Attending, 07/01/00-06/30/01
Kimberly D. Cordes, M.D., Emergency Medicine, PGY-1 Limited, 07/01/00-06/30/01
Tammy L. Cote, C.N.P., Psychiatry, Licensed Healthcare Prof., 08/09/00-06/30/01
Ranie H. Cropper, C.N.M., Obstetrics/Gynecology, Licensed Healthcare Prof., 08/09/00-06/30/01
Robert R. Crowell, M.D., Orthopaedic Surgery, Attending, 07/01/00-06/30/01
Lawrence E. Daykin, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/01
Michael J. DePalma, M.D., Phys. Med. & Rehab., PGY-2 Limited, 07/01/00-06/30/01
Elizabeth A. Dubich, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
John E. Evans, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/01
Matthew C. Exline, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Brett R. Forehand, M.D., Emergency Medicine, PGY-1 Limited, 07/01/00-06/30/01
David T. Fujiwara, M.D., Emergency Medicine, PGY-1 Limited, 07/01/00-06/30/01
Arvin J. Gallanosa, M.D., Phys. Med. & Rehab., PGY-2 Limited, 07/01/00-06/30/01
Gretchen M. Galluci, C.N.P., Psychiatry, Licensed Healthcare Prof., 08/09/00-06/30/01
Brian S. Geller, M.D., Internal Medicine, PGY-1 Limited, 07/01/00-06/30/01
Michael R. Go, M.D., General Surgery, PGY-1 Limited, 07/01/00-06/30/01
Melissa M. Goist, M.D., Obstetric/Gynecology, PGY-1 Limited, 07/01/00-06/30/01
Anna C. Gray, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/01
Damian J. Green, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Steven L. Grund, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
David N. Hackney, M.D., Obstetrics/Gynecology, PGY-1 Limited, 07/01/00-06/30/01
Andrew J. Haidukewych, M.D., Plastic Surgery, PGY-6 Limited, 07/01/00-06/30/01
Adam J. Hanje, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

August 2000 (contd)

Herndon P. Harding, M.D., Psychiatry, Attending, 08/09/00-06/30/01
Sheri L. Hart, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Joshua A. Hersh, M.D., General Psychiatry, PGY-1 Limited, 07/01/00-06/30/01
Todd S. Hochman, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Kathleen Hoffman, C.R.N.A., Anesthesiology, Licensed Healthcare Prof., 10/11/99-06/30/01
Lekha S. Hota, M.D., Obstetrics/Gynecology, PGY-1 Limited, 07/01/00-06/30/01
Laura H. Hughes, M.D., Maternal/Fetal Medicine, PGY-2 Limited, 09/01/00-06/30/01
Christopher Hyer, D.P.M., Orthopaedic Surgery, PGY-1 Limited, 07/01/00-06/30/01
Bevila John-Daniel, M.B.B.S., Psychiatry, PGY-1 Limited, 07/01/00-06/30/01
Hilary L. Jones, M.D., Radiation Oncology, PGY-3 Limited, 07/01/00-06/30/01
Brian S. Kelley, M.D., Obstetrics/Gynecology, Courtesy, 08/09/00-06/30/01
Richard D. Kennedy, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Craig B. Key, M.D., Emergency Medicine, Attending, 08/09/00-06/30/01
Eric J. Knudtson, M.D., Maternal/Fetal Medicine, PGY-6 Limited, 07/01/00-06/30/01
Ergun Kocak, M.D., General Surgery, PGY-1 Limited, 07/01/00-06/30/01
Susmitha P. Kolli, M.D., Ophthalmology, Attending, 08/09/00-06/30/01
James Laffey, D.O., Radiology, Attending, 07/17/00-06/30/01
Christina L. Lane, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/01
Joseph W. Lavelle, D.O., Hematology/Oncology, PGY-4 Limited, 07/01/00-06/30/01
Margaret Ann Lind, R.N., C.N.P., Internal Medicine, Licensed Healthcare Prof., 08/09/00-06/30/01
Mary K. Lockard, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/01
Andrea J. Low, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/01
Melissa P. Lowe, M.D., Obstetrics/Gynecology PGY-1 Limited, 07/01/00-06/30/01
James F. Maher, M.D., General Medicine, Fellow 1st Year, 07/01/00-06/30/02
Michael L. Maristany, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02
Ranee L. Masciola, C.N.P., Obstetrics/Gynecology, Licensed Healthcare Prof., 08/09/00-06/30/02
Peter B. Maurus, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02
Chad D. McCormick, M.D., Otolaryngology, PGY-1 Limited, 07/01/00-06/30/02
Cathy Lynn McDaniels Wilson, Ph.D., Psychology, Attending/Ph.D., 08/09/00-06/30/02
Aaron M. McMurtray, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02
Holly J. Meany, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/02
Kathryn L. Miller, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/02
Alexander D. Minard, M.D., Phys. Med. & Rehab., PGY-2 Limited, 07/01/00-06/30/02
Leslie C. Mohlman, M.D., Internal Medicine/Peds., PGY-1 Limited, 07/01/00-06/30/02
Holt N. Murray, M.D., Emergency Medicine, PGY-1 Limited, 07/01/00-06/30/02
Jason W. Nash, D.O., Pathology, PGY-1 Limited, 07/01/00-06/30/02
Anterpreet S. Neki, M.D., Hematology/Oncology Attending, 08/09/00-06/30/02
Dawn M. Prall, M.D., Emergency Medicine, PGY-1 Limited, 07/01/00-06/30/02
Scott A. Prenger, M.D., General Medicine, Attending, 07/10/00-06/30/02
Nathan T. Rich, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02
Cherie A. Richey, M.D., Obstetrics/Gynecology, PGY-1 Limited, 07/01/00-06/30/02
Jennifer T. Rittenberry, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02
Angelita R. Sanchez, M.D., General Psychiatry, PGY-1 Limited, 07/01/00-06/30/02
Molly E. Senokozlieff, M.D., Obstetrics/Gynecology, PGY-1 Limited, 07/01/00-06/30/02
Tracy C. Shanahan, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/02
Robin M. Sharrock, M.D., Obstetrics/Gynecology, PGY-1 Limited, 07/01/00-06/30/02
Roman A. Sibel, M.D., Orthopaedic Surgery, PGY-1 Limited, 07/01/00-06/30/02
Jonathan P. Smerek, M.D., Orthopaedic Surgery, PGY-1 Limited, 07/01/00-06/30/02
Jay A. Starr, D.O., General Surgery, PGY-1 Limited, 07/01/00-06/30/02
Sharon R. Strickland, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/02
Katrina S. Tansky, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/02
Lourdes T. Terrado, M.D., Nephrology, Fellow 1st Year, 07/01/00-06/30/02

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

August 2000 (contd)

Trent E. Tipple, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/02
Scott E. Van Aman, M.D., Orthopaedic Surgery, PGY-1 Limited, 07/01/00-06/30/02
Audre L. Ward-Kimpton, M.D., Pathology, PGY-2 Limited, 07/01/00-06/30/02
Richard M. Wasserman, M.D., Obstetrics/Gynecology, PGY-1 Limited, 07/01/00-06/30/02
Andrew S. Weinfeld, M.D., General Surgery, PGY-1 Limited, 07/01/00-06/30/02
Paul E. Whalen, Jr., M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/02
JoAnna D. Williams, M.D., Pathology, PGY-1 Limited, 07/01/00-06/30/02
Stephen T. Woods, M.D., Phys. Med. & Rehab., PGY-2 Limited, 07/01/00-06/30/02
Leopold K. Yin, M.D., Otolaryngology, PGY-1 Limited, 07/01/00-06/30/02
Aaron M. Zylstra, M.D., General Pediatrics, PGY-1 Limited, 07/01/00-06/30/02

Medical Staff Reappointments (The Ohio State University Medical Center)

August 2000

John P. MacLaurin, D.O., Internal Medicine, Courtesy, 07/01/00-06/30/02
Christopher M. Maisel, M.D., Internal Medicine, PGY-2 Limited, 07/01/00-06/30/02
Douglas D. Massick, M.D., Otolaryngology, PGY-3 Limited, 07/01/00-06/30/02
John H. Masys, M.D., Internal Medicine, Community Affiliate, 07/01/00-06/30/02
Ernest L. Mazzaferri, M.D., Internal Medicine, PGY-2 Limited, 07/01/00-06/30/02
Richard E. McClead, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Mary L. McGregor-Kachmer, M.D., Ophthalmology, Courtesy, 07/01/00-06/30/02
Rohit Mehta, M.D., Internal Medicine, PGY-2 Limited, 07/01/00-06/30/02
Gregory C. Michaels, D.D.S., Dentistry, Courtesy, 07/01/00-06/30/02
Paul B. Mills, M.D., Surgery, PGY-3 Limited, 07/01/00-06/30/02
Thomas M. Murphy, D.D.S., Dentistry, Courtesy, 07/01/00-06/30/02
Subir Nag, M.D., Radiology, Attending, 07/01/00-06/30/02
Maria Nakelchik, M.D., Internal Medicine, PGY-3 Limited, 07/01/00-06/30/02
Louis M. Nardella, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Kannan Natarajan, M.D., Radiology, Attending, 07/01/00-06/30/02
Johannes O. Olsen, M.D., Radiology, Attending, 07/01/00-06/30/02
Emil M. Opremcak, M.D., Ophthalmology, Attending, 07/01/00-06/30/02
Veronica L. Palcich, CRNA, Anesthesiology, Licensed Healthcare Prof., 07/01/00-06/30/02
Jonathan P. Parsons, M.D., Internal Medicine, PGY-2 Limited, 07/01/00-06/30/02
Beth Ann Payne, CNS, Surgery, Licensed Healthcare Prof., 07/01/00-06/30/02
Benita M. Petri, M.D., Family Medicine, Attending, 07/01/00-06/30/02
Christine A. Pitts, CRNA, Anesthesiology, Licensed Healthcare Prof., 07/01/00-06/30/02
Joseph W. Pool, M.D., Internal Medicine, PGY-3 Limited, 07/01/00-06/30/02
David J. Portman, M.D., Obstetrics/Gynecology, Courtesy, 07/01/00-06/30/02
David H. Prescott, M.D., Family Medicine, Attending, 07/01/00-06/30/02
Patty A. Rabinowitz, CRNA, Anesthesiology, Licensed Healthcare Prof., 07/01/00-06/30/02
Amanda M. Rauck, M.D., Pediatrics, Attending, 07/01/00-06/30/02
Barbara Reardon, Ph.D., Psychiatry, Attending/PhD, 07/01/00-06/30/02
Chris A. Rhoades, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Chester D. Ridenour, D.O., Ophthalmology, Courtesy, 07/01/00-06/30/02
Fred P. Romeo, M.D., Psychiatry, Attending, 07/01/00-06/30/02
Michael E. Ruff, M.D., Orthopaedic Surgery, Attending, 07/01/00-06/30/02
Christine M. Saas, CRNA, Anesthesiology, Licensed Healthcare Prof., 07/01/00-06/30/02
Zarife Sahenk, M.D., Neurology, Attending, 07/01/00-06/30/02
Gautam Samadder, M.D., Neurology, Attending, 07/01/00-06/30/02
Richard E. Scheetz, D.D.S., Dentistry, Courtesy, 07/01/00-06/30/02
Grant E. Schmidt, M.D., Ph.D., Obstetrics/Gynecology, Attending, 07/01/00-06/30/02
John R. Schwarzell, M.D., Surgery, Courtesy, 07/01/00-06/30/02

October 6, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

August 2000 (contd)

Eric R. Serrano, M.D., Family Medicine, Courtesy, 07/01/00-06/30/02
Edward G. Shepherd, M.D., Pediatrics, PGY-2 Limited, 07/01/00-06/30/02
Andrew P. Slivka, M.D., Neurology, Attending, 07/01/00-06/30/02
Ann M. Smith, CNP, Phys. Med. & Rehab., Licensed Healthcare Prof., 07/01/00-06/30/02
Dimitrios G. Spigos, M.D., Radiology, Attending, 07/01/00-06/30/02
Robert M. Taylor, M.D., Neurology, Courtesy, 07/01/00-06/30/02
Deborah L. Taylor, M.D., Internal Medicine, Community Affiliate, 07/01/00-06/30/02
Douglas W. Teske, M.D., Pediatrics, Courtesy, 07/01/00-06/30/02
Geoffrey C. Vaughan, M.D., Internal Medicine, Attending, 07/01/00-06/30/02
Patrick M. Wall, M.D., Pediatrics, Courtesy, 07/01/00-06/30/02
Kevin V. Ware, M.D., Psychiatry, Attending, 07/01/00-06/30/02
Patricia S. White, CRNA, Anesthesiology, Licensed Healthcare Prof., 07/01/00-06/30/02
Robert D. Whitehead, M.D., Family Medicine, Attending, 07/01/00-06/30/02
Eve P. Whitmore, Ph.D., Psychiatry, Attending/Ph.D., 07/01/00-06/30/02
Gregory J. Wiet, M.D., Otolaryngology, Attending, 07/01/00-06/30/02

Request for Additional Privileges (The Ohio State University Medical Center)

August 2000

David Colombo, M.D., Obstetrics/Gynecology, Laparoscopy, 07/01/00-06/30/01
Brian Kelley, M.D., Obstetrics/Gynecology, CO2 Laser, 07/01/00-06/30/01
Bryan Tagge, M.D., Otolaryngology, CO2 Laser, 08/09/00-06/30/02
Omar Mobin-Uddin, M.D., Ophthalmology, Ophthalmic YAG Laser; Argon Laser; Diode Laser;
08/01/00-06/30/02
Kenneth Vitellas, M.D., Radiology, Conscious sedation, 07/01/00-06/30/02
Gregory Weit, M.D., Otolaryngology, YAG Laser; Pulse Dye Laser; CO2 Laser; 07/01/00-
06/30/02

Request for Change in Medical Staff Category (The Ohio State University Medical Center)

August 2000

Jeffrey Oehler, M.D., Ophthalmology, from Attending to Courtesy

Medical Staff Appointments (The Ohio State University Hospitals East)

August 2000

David A. Beard, M.D., Internal Medicine, Active, 08/21/00-06/30/01
Ruben A. Bogin, M.D., Surgery, PGY-1 Limited, 08/21/00-06/30/01
Philip E. Calendine, M.D., Radiology, PGY-4 Limited, 07/01/00-06/30/01
Todd E. Chertow, M.D., Surgery, PGY-1 Limited, 06/19/00-06/30/01
Maria Riza B. Conroy, M.D., Family Practice, PGY-1 Limited, 07/01/00-06/30/01
Sarah M. Cowgill, M.D., Surgery, PGY-1 Limited, 08/21/00-06/30/01
Robert R. Crowell, M.D., Musculoskeletal Diseases, Active, 06/30/00-06/30/01
Karekin R. Cunningham, M.D., Surgery, PGY-1 Limited, 08/21/00-06/30/01
Jamie A. Dale, M.D., Musculoskeletal Diseases, PGY-2 Limited, 08/21/00-06/30/01
Galina T. Dimitrova, M.D., Anesthesiology, PGY-4 Limited, 07/24/00-06/30/01
John S. Duffy, D.O., Family Practice, PGY-1 Limited, 08/21/00-06/30/01
Mary S. Faller, M.D., Anesthesiology, PGY-2 Limited, 08/21/00-06/30/01
Shella Farooki, M.D., Radiology, Active, 08/21/00-06/30/01
Arthur Flores, M.D., Family Practice, PGY-2 Limited, 08/21/00-06/30/01

October 6, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Hospitals East) (contd)

August 2000 (contd)

Michael R. Go, M.D., Surgery, PGY-1 Limited, 08/21/00-06/30/01
Eric J. Hochman, M.D., Family Practice, PGY-4 Limited, 08/21/00-06/30/01
Mark S. Hopkin, M.D., Family Practice, PGY-1 Limited, 08/21/00-06/30/01
Christopher Hyer, D.P.M., Musculoskeletal Diseases, PGY-1 Limited, 07/10/00-06/30/01
William M. Jantsch, M.D., Internal Medicine, Active, 06/19/00-06/30/01
Andrew Jimerson, II, M.D., Surgery, PGY-1 Limited, 08/21/00-06/30/01
Craig B. Key, M.D., Emergency Medicine, Active, 08/09/00-06/30/01
Hooman Khabiri, M.D., Radiology, Active, 08/21/00-06/30/01
Gordon Y. Kim, D.O., Family Practice, PGY-2 Limited, 08/21/00-06/30/01
Ryan D. Klinefelter, M.D., Musculoskeletal Diseases, PGY-4 Limited, 07/11/00-06/30/01
Ergun Kocak, M.D., Surgery, PGY-1 Limited, 08/21/00-06/30/01
Joseph A. Mack, M.D., Internal Medicine, Active, 08/21/00-06/30/02
Jeffrey D. Madden, Ph.D., Drug & Alcohol Rehab., Active, 07/24/00-06/30/02
Ryan J. Malone, M.D., Surgery, PGY-1 Limited, 08/21/00-06/30/02
Omar Mobin-Uddin, M.D., Internal Medicine, Active, 08/21/00-06/30/02
Charles F. Mueller, M.D., Radiology, Active, 08/21/00-06/30/02
Bradley Needleman, M.D., Surgery, Active, 08/21/00-06/30/02
Scott A. Prenger, M.D., Internal Medicine, Active, 07/10/00-06/30/02
Robert J. Ragosin, M.D., Radiology, Active, 08/21/00-06/30/02
Zarife Sahenk, M.D., Internal Medicine, Active, 08/21/00-06/30/02
Manish Saran, M.D., Family Practice, PGY-1 Limited, 08/21/00-06/30/02
Rajul M. Shah, M.D., Radiology, PGY-3 Limited, 08/21/00-06/30/02
Melitta D. Simmons, D.P.M., Musculoskeletal Diseases, PGY-1 Limited, 08/21/00-06/30/02
Mark W. Stanley, D.O., Anesthesiology, PGY-2 Limited, 08/21/00-06/30/02
Jay A. Starr, D.O., Surgery, PGY-1 Limited, 08/21/00-06/30/02
Suellywn Stewart, M.D., Family Practice, PGY-1 Limited, 08/21/00-06/30/02
Andrew S. Weinfeld, M.D., Surgery, PGY-1 Limited, 08/21/00-06/30/02
Joseph Zaki, M.D., Anesthesiology, PGY-2 Limited, 08/21/00-06/30/02

Medical Staff Reappointments (The Ohio State University Hospitals East)

Bruce A. Massau, D.O., Anesthesiology, Active, 07/01/00-06/30/02
Veronica Palchich, CRNA, Anesthesiology, Allied Health Professional, 07/01/00-06/30/02
Christine Pitts, CRNA, Anesthesiology, Allied Health Professional, 07/01/00-06/30/02
Michael E. Ruff, M.D., Musculoskeletal Diseases, Active, 07/01/00-06/30/02
Christine M. Saas, CRNA, Anesthesiology, Allied Health Professional, 07/01/00-06/30/02
Eric R. Serrano, M.D., Family Practice, Active, 07/01/00-06/30/02
Kevin V. Ware, M.D., Internal Medicine, Active, 07/01/00-06/30/02
Patricia S. White, CRNA, Anesthesiology, Allied Health Professional, 07/01/00-06/30/02

Request for Additional Privileges (The Ohio State University Hospitals East)

August 2000

Robert Crowell, M.D., Musculoskeletal Diseases Laminectomy; Laser, 08/21/00-06/30/01
Craig Key, M.D., Emergency Medicine, Conscious sedation, 08/09/00-06/30/01

RESOLUTIONS IN MEMORIAM

Resolution No. 2001-39

Synopsis: Approval of five Resolutions in Memoriam.

October 6, 2000 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

M. Eugene Cravens

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 2, 2000, of Dr. M. Eugene Cravens, Professor Emeritus in the Department of Agricultural, Environmental, and Development Economics.

M.E. "Gene" Cravens was born on October 5, 1913, in Utica, KY. His B.S.A. was earned in 1935 at the University of Kentucky, where he was first in his class in agricultural economics. At Cornell University, he earned his M.Sc. in 1937, and in 1941 he earned his Ph.D. in agricultural economics, marketing, and statistics. Professor Cravens served our country during WW II as Captain in the 29th Division of the U.S. Army between 1942-46, whereby he was awarded the Bronze Star for his actions in France and Germany.

Dr. Cravens' early academic service included an appointment at Texas A & M University, and then as Professor at Michigan State University from 1947 to 1953 teaching research and marketing. He joined the faculty at The Ohio State University, Department of Agricultural Economics and the Ohio Agricultural Research and Development Center in 1953. He specialized in marketing, market analysis, and facility planning for fresh fruit and vegetable marketing. Gene Cravens served with great distinction in the role until he retired in 1981, when he became Professor Emeritus.

Professor Cravens was a leader of all research work and teaching in the area of fruit and vegetable marketing. First and foremost was the priority he gave to students, graduate and undergraduate, in training and motivating them to advance their performance of food marketing systems throughout the United States and throughout the world. Gene Cravens was one of the first to develop a special fruit and marketing class for foreign students. He developed and operated the annual Ohio Roadside Marketing Conference into the leading conference for farmer roadside markets in the U.S. Furthermore, he was internationally known as a trade consultant, researcher in fruit and vegetable marketing, and for dealing with commodities such as rice and gold.

Dr. Cravens was one of the finest technical writers. He conducted research in many foreign countries including E. Pakistan, Columbia, Brazil, Trinidad, Tobago, and Dominican Republic. In E. Pakistan, he was a member of a research team on the Food Grain Storage and Handling Feasibility Study for Weitz-Hettelsater Engineers in 1964. This study resulted in a major capital project financed by the World Bank and IDA. On the domestic side, food processors and retailers including Hunt Foods, Kroger, A&P, and Big Bear were eager to hire his students and engage him in their planning strategies.

He was well known for his big smile, open and engaging personality, his boundless energy, and his willingness to put others first. Professor Cravens possessed a unique ability to build close and productive relationships with students, especially foreign students. He was objective and wanted to consider and be considered fairly in all his relations with associates and with assistants. He always stimulated his associates to consider all sides of an issue and in this way he made important contributions to the Department of Agricultural Economics whole research program. Eugene Cravens was a member of the American Agricultural Economics Association, Gamma Sigma Delta, and Alpha Zeta.

On behalf of the University community, the Board of Trustees expresses to the family and friends of Professor M. Eugene Cravens its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

October 6, 2000 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

Buford E. Gatewood

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 20, 2000, of Dr. Buford Echols Gatewood, Professor Emeritus in the Department of Aerospace Engineering, Applied Mechanics, and Aviation.

Dr. Gatewood was born in Byhalia, Mississippi, August 23, 1913. He received his B.S. degree from Louisiana Polytechnic Institute in 1935. He earned his M.S. degree at the University of Wisconsin in 1937, followed by his Ph.D. in 1939. While at the University of Wisconsin, he began work on thermal stresses under his advisor, Dr. Ivan J. S. Sokolnikoff. As a result of this pioneering work, Dr. Gatewood wrote the first textbook on the subject, entitled, "Thermal Stresses" which was published in 1957.

In 1939 Dr. Gatewood returned to Louisiana Polytech to teach applied mathematics until 1942, at which time he joined McDonnell Aircraft Corporation as a structural engineer. In 1946 he joined Beech Aircraft Corporation, where he gained further experience in the analysis and design of aircraft structures. He went to the Air Force Institute of Technology in 1947 as chairman of the Department of Mechanics, where he began his lifelong career as an educator. He joined Professor Garvin L. von Eschen's team in the Department of Aeronautical and Astronautical Engineering at The Ohio State University in 1960, where he published many papers in technical journals. After retiring in 1978 as Professor Emeritus, he published a second book, a text on the analysis and design of aircraft structures.

On behalf of the University community, the Board of Trustees expresses to the family, friends, and colleagues of Professor Gatewood its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees, and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

N. Wayne Hiatt

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 14, 2000, of Dr. N. Wayne Hiatt, Associate Professor Emeritus in the College of Dentistry.

Dr. Hiatt, a resident of Upper Arlington, Ohio, attended Ohio State as a pre-dentistry student from 1941 to 1942 before joining the United States Army, where he served as a combat infantryman in the European Theater and, later, in the Pacific Theater. He returned to Ohio State and earned his Bachelor of Arts degree in 1948, and then entered the College of Dentistry receiving his D.D.S. in 1951. He then entered practice in Grove City and continued his practice until 1998.

Dr. Hiatt joined the faculty of the College of Dentistry in 1952 as a part-time instructor in general clinical dentistry. In 1958, he joined the oral surgery section, where he lectured on local anesthesia. His teaching sparked his interest in the field and he became a part-time graduate student in the field, earning a Master of Science degree in 1961. In 1963, he became an assistant professor in oral surgery. The following year, he was appointed chair of the College's pharmacology section. He was raised to the rank of associate professor and continued in that capacity until 1986 when he retired and became Associate Professor Emeritus. He was a perennial favorite of his students and was a four-time recipient of the College's Outstanding Teaching Award.

Dr. Hiatt was active in his profession and was recognized for his leadership. He served as president of the Ohio Dental Association, the Columbus Dental Society, the Ohio Association of Dental Anesthesiology, the American Dental Society of Anesthesiology, Omicron Kappa Upsilon Dental Honorary Society, and Delta Dental Plans of Ohio. He chaired the American Dental Association's Council on Dental Therapeutics and the Special Task Force on Revisions of the Ohio State Dental Board Rules Governing the Practice of Dentistry.

RESOLUTIONS IN MEMORIAM (contd)

N. Wayne Hiatt (contd)

He will be remembered by his peers and former students for his dedication to his profession, his commitment as a teacher and mentor, his loyalty to the college and to the University, and his congeniality and thoughtfulness in his relationships with friends and colleagues.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. N. Wayne Hiatt its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Lawrence J. Mervis

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 3, 2000, of Dr. Lawrence J. Mervis, Clinical Associate Professor in the Department of Surgery.

He graduated from Lima Senior High School and received his B.S. and M.D. degrees from The Ohio State University. He completed his neurosurgical residency at the University of Southern California at Los Angeles.

Lawrence Mervis was a veteran of the U.S. Navy during the Vietnam War. He was in private practice in Springfield for 20 years, then moved to Columbus in 1991, where he was a clinical associate professor in the Division of Neurosurgery at The Ohio State University.

Dr. Mervis was a Fellow of the American College of Surgeons and a member of the Ohio State Neurosurgical Association. Not only a talented and caring surgeon, Dr. Mervis was a recognized leader in the education of medical students and residents. He received the Department of Surgery and Division of Neurosurgery teaching awards this past year.

On behalf of the University community, the Board of Trustees expresses to the family, friends, and colleagues of Dr. Lawrence Mervis its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Harry Herbert Postle II

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 5, 2000, of Dr. Harry Herbert Postle II, Assistant Professor in the College of Dentistry.

Dr. Postle, a resident of Upper Arlington, Ohio, attended Ohio State as a pre-dentistry student from 1943 to 1945 and graduated from the College of Dentistry in 1948. He was elected to Omicron Kappa Upsilon, the dental honorary society.

He entered practice with his father, Wendell D. Postle, and joined the faculty of the college as a part-time instructor and assistant professor until 1952, when he was called for active duty as a captain in the U.S. Army Dental Corps, having previously held that rank in the Ohio National Guard. He returned to practice and part-time teaching in 1954 and continued his tenure on the college faculty until 1983. He again joined his father in practice until his father's retirement. He practiced alone until 1986, when his son Harry Herbert Postle III joined him in practice. He retired in 1996.

Dr. Postle was active in his profession and was a member of the American Dental Association, the Ohio Dental Association, and the Columbus Dental Society, serving as a council member of the latter. He was a member of the Pierre Fauchard Academy and was a fellow of the American

October 6, 2000 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

Harry Herbert Postle II (contd)

College of Dentists. He was a member of the Phi Kappa Psi and Psi Omega Dental fraternities. He served as faculty advisor to the Ohio State Chapter of Psi Omega and was secretary of its house company. He was a member of the President's Club.

He will be remembered by his peers and former students for his dedication to his profession, his commitment as a teacher and mentor, his loyalty to the college and to the University, and his congeniality and thoughtfulness in his relationships with friends and colleagues.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Harry Herbert Postle II its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-40

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for August 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of August 2000 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-41

Synopsis: The report on the receipt of gifts and the summary for August 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of seven (7) new named endowed funds and the amendment to six (6) endowed funds:

NOW THEREFORE

REPORT ON UNIVERSITY DEVELOPMENT (contd)

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of August 2000 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July-August
1999 Compared to 2000

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through August		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$5,346,147	\$2,581,418	(52%)
Alumni (From Bequests)	<u>3,289,363</u>	<u>349,825</u>	(89%)
Alumni Total	\$8,635,510	\$2,931,243	(66%)
Non-Alumni (Current Giving)	\$2,795,561	\$1,008,951	(64%)
Non-Alumni (From Bequests)	<u>1,308,541</u>	<u>187,639</u>	(86%)
Non-Alumni Total	\$4,104,102	\$1,196,590	(71%)
Individual Total	\$12,739,612	\$4,127,833	(68%) ^A
Corporations/Corporate Foundations	\$4,066,480	\$4,823,508	19% ^B
Private Foundations	\$951,719	\$1,819,522	91% ^C
Associations and Other Organizations	<u>\$391,495</u>	<u>\$552,324</u>	41% ^D
Total	\$18,149,306	\$11,323,187	(38%)

NOTES

- A Individual giving is down 68% largely due to the fact that gifts of \$10,000 or more were down 75% (50 gifts for \$7.7 million last year; 22 gifts for \$1.9 million this year).
- B Corporate giving is up 19%. Last year there were 78 corporate gifts providing private support of \$10,000 or more (\$2.8 million). This year private support at the \$10,000 level is \$3.6 million (98 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first two months of the fiscal year (\$1,725,607 from 20 gifts this year; \$808,494 from 20 gifts last year).
- D Gifts from associations and other organizations are up 41% since giving at the \$10,000 or more level is \$220,647 more than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-August
1999 Compared to 2000

GIFT RECEIPTS BY PURPOSE

	Dollars July through August		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$1,303,521	\$1,345,731	3
Faculty Support	\$3,355,140	\$1,866,519	(44)
Program Support	\$8,865,044	\$5,407,442	(39)
Student Financial Aid	\$3,254,659	\$1,266,053	(61)
Annual Funds-Colleges/Departments	\$1,191,997	\$1,338,110	12
Annual Funds-University	<u>\$178,945</u>	<u>\$99,332</u>	(44)
Total	\$18,149,306	\$11,323,187	(38)

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through August		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
	\$10,459,197	\$3,361,604	(68)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Change in Description of Named Endowed Fund

The VSP Primary Care Student Instrument Kit Endowment Fund in Optometry

Change in Name and Description of Named Endowed Fund

From: The Jo Ann M. Ferguson Scholarship Fund for Synchronized Swimming
To: The Jo Ann M. Ferguson Fund for Synchronized Swimming

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Mary Jo and A. Robert Kent Ophthalmology Research Fund (Support for medical research on diseases of the eye – Department of Ophthalmology; provided by gifts from the estate of A. Robert Kent in his name and that of his wife, the late Mary Jo Kent)		\$750,016.60	\$750,016.60
The Bacome Family Endowment Fund (Scholarships – College of Food, Agricultural, and Environmental Sciences; College of Law; College of Nursing; and the Department of Athletics; provided by gifts from Edward A. and Julie Schafer Bacome)		\$100,000.00	\$100,000.00
The Samuel B. and Shelia S. Davis Athletic Scholarship Fund (Scholarships – Department of Athletics; provided by gifts from Samuel B. and Shelia S. Davis)		\$100,000.00	\$100,000.00
The Forrest Walker Huff Agricultural Vice President's Fund (Program support – College of Food, Agricultural, and Environmental Sciences; provided by gifts from Forrest Walker Huff)		\$27,750.00	\$27,750.00
The Barbara S. Stein Memorial Scholarship Fund (Scholarships – College of Veterinary Medicine; provided by gifts from colleagues, friends, family, and grateful clients in memory of Dr. Barbara S. Stein)		\$25,054.98	\$25,054.98
The Kathryn Johnston Equine Scholarship Fund (Scholarships – College of Veterinary Medicine; provided by gifts from Kathryn Johnson)		\$25,000.00	\$25,000.00
The Diana and Gary K. Sims Endowment Scholarship Fund (Scholarships – OSU at Marion; provided by gifts from Diana and Gary Sims)	\$25,000.00		\$25,000.00
Total	\$25,000.00	\$1,027,821.58	\$1,052,821.58

Change in Description of Named Endowed Funds

The H. Gordon Hullfish Memorial Scholarship
Up on the Roof Cancer Genetics Endowment Fund

October 6, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Funds

From: The Nancy J. Davis Hospice Nursing Scholarship Fund

To: The Nancy J. Davis Oncology Nursing Fund

From: The Medical Class of 1957 Scholarship Fund

To: The Medical Class of 1957 Endowment Fund

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Change in Description of Named Endowed Fund

The VSP Primary Care Student Instrument Kit Endowment Fund in Optometry

The VSP Primary Care Student Instrument Kit Endowment Fund in Optometry was established May 5, 1995, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Vision Service Plan, Northeastern Region. The description was revised October 6, 2000.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Vision Service Plan, the major provider of vision care in the U.S. established this fund to directly benefit students of optometry by providing every student at the end of their first year of classes a kit of select optometric instruments that would have been a required purchase for each first year optometry student. This instrument kit endowment was established by the company's board of trustees in response to the rising debt-load of optometry students nationally. This gift is a tangible and lasting expression of VSP's strong commitment to the success of optometrists and optometric education. VSP's commitment sets an inspirational example for the business community as an ideal for meaningful and effective partnerships between corporations and the University.

The annual income from this endowed gift shall be used to provide individual VSP Primary Care Instrument Kits to all students entering the second year of their optometric education as the students begin their clinical studies. As the endowment fund grows each year, it will likely be possible to add or upgrade an instrument or two with time.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Optometry or other program administrative officer in order to carry out the desire of the donors.

Change in Name and Description of Named Endowed Fund

The Jo Ann M. Ferguson Fund for Synchronized Swimming

The Jo Ann M. Ferguson Scholarship Fund for Synchronized Swimming was established February 1, 1991 by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Jo Ann M. Ferguson Kale (B.S.Nurs. 1976) of Fort Smith, Arkansas. The name and description were revised October 6, 2000.

October 6, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Fund (contd)

The Jo Ann M. Ferguson Fund for Synchronized Swimming (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to enhance the women's varsity synchronized swimming program at the discretion of the head coach in consultation with the administrative official in charge of synchronized swimming, and in accordance with department and NCAA rules and regulations.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with Jo Ann M. Ferguson Kale or her representative, when possible, and the appropriate administrative official of the University then responsible for the intercollegiate varsity athletics program in order to carry out the desire of the donor.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Mary Jo and A. Robert Kent Ophthalmology Research Fund

The Mary Jo and A. Robert Kent Ophthalmology Research Fund was established October 6, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from the estate of A. Robert Kent of Columbus, Ohio; made in his name and that of his wife, the late Mary Jo Kent.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will support medical research on diseases of the eye with particular emphasis on macular degeneration. Income allocation shall be determined by the chair of the Department of Ophthalmology in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Funds may be used for, but not limited to, supplies, equipment, salaries for research personnel, and activities required for quality medical research.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and the chair of the Department of Ophthalmology in order to carry out the desire of the donor.

\$750,016.60

The Bacome Family Endowment Fund

The Bacome Family Endowment Fund was established October 6, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Edward A. Bacome (B.S.Agr. 1966; J.D. 1969) and Julie Schafer Bacome (J.D. 1992), of Dublin, Ohio.

October 6, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Bacome Family Endowment Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall support scholarships in the College of Food, Agricultural, and Environmental Sciences; the College of Law; the College of Nursing; and the Department of Athletics in amounts determined each year by the donors. No area shall receive less than 10 percent of the income or more than 50 percent of the income annually. The percentage for each area shall be determined annually by the donors in January or February and, absent written direction, shall be allocated equally to each designated area. At the demise of the donors, the income will support scholarships and be divided evenly among the four areas mentioned above. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in order to carry out the desire of the donors.

\$100,000.00

The Samuel B. and Shelia S. Davis Athletic Scholarship Fund

The Samuel B. and Shelia S. Davis Athletic Scholarship Fund was established October 6, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Samuel B. (B.A. Humanities 1972) and Shelia S. Davis of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of student athletes participating in a varsity sport pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$100,000.00

The Forrest Walker Huff Agricultural Vice President's Fund

The Forrest Walker Huff Agricultural Vice President's Fund was established October 6, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Forrest Walker Huff (B.S. Agricultural Economics 1947) of Cincinnati, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

October 6, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Forrest Walker Huff Agricultural Vice President's Fund (contd)

The annual income shall be used to support programs identified by the vice president for Agricultural Administration as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences. Uses of the fund shall include, but not be limited to support of: positive, creative work environment for students, faculty and staff, "outreach" to our external audiences, encouragement and promotion of student organizations, scholarships, professional development, college promotion and advancement, and other emerging college priorities.

The use of the annual income shall be directed by the vice president for Agricultural Administration or their designee.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration, or their successor, in order to carry out the desire of the donor.

\$27,750.00

The Barbara S. Stein Memorial Scholarship Fund

The Barbara S. Stein Memorial Scholarship Fund was established October 6, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from colleagues, friends, family, and grateful clients in memory of Dr. Barbara S. Stein, (B.S. Animal Science 1963; D.V.M. 1966).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one student per year who is in their third or fourth year and has an interest and passion in pursuing a career in feline medicine. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs and with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donors.

\$25,054.98

The Kathryn Johnston Equine Scholarship Fund

The Kathryn Johnston Equine Scholarship Fund was established October 6, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Kathryn Johnston, Piqua, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

October 6, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Kathryn Johnston Equine Scholarship Fund (contd)

The annual income shall be used to support one third or fourth year student per year who is planning to pursue a practice emphasizing equine medicine. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs and with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$25,000.00

The Diana and Gary K. Sims Endowment Scholarship Fund

The Diana and Gary K. Sims Endowment Scholarship Fund was established October 6, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Diana and Gary Sims (B.S.Bus.Adm. 1979) of Marion, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for undergraduate students at The Ohio State University at Marion. Preference shall be given to traditionally aged students (ages 18 to 24 years of age). Scholarships may be given to full-time or part-time students and are renewable. Scholarship recipients shall be selected in consultation with the University Committee on Student Financial Aid. The donors do not need to be involved in the selection process, but would like to be informed of each recipient chosen.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean/director of The Ohio State University at Marion, in consultation with the Ohio State Marion Scholarship Committee, in order to carry out the desire of the donors.

\$25,000.00

Change in Description of Named Endowed Funds

The H. Gordon Hullfish Memorial Scholarship

The H. Gordon Hullfish Memorial Scholarship was established November 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Lucile B. Hullfish and Joan Hullfish Bailey (B.S.Ed. 1953). Professor Hullfish (M.A. 1922, Ph.D. 1924) taught in the College of Education from 1922 until his death in 1962. He was one of the first recipients of the Alumni Award for Distinguished Teaching and was an inaugural member of the College of Education Hall of Fame. He was a prolific author and editor, an outstanding teacher, and an educational leader. The description was revised October 6, 2000.

The annual income shall provide assistance to doctoral candidates in the College of Education whose major field of study is the philosophy of education.

October 6, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Funds (contd)

The H. Gordon Hullfish Memorial Scholarship (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donors.

Up on the Roof Cancer Genetics Endowment Fund

The Up on the Roof Cancer Genetics Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established November 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Foundation in recognition of the Up on the Roof gala and its founder, Janis Bloch. The description was revised October 6, 2000.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

Change in Name and Description of Named Endowed Funds

The Nancy J. Davis Oncology Nursing Fund

The Nancy J. Davis Hospice Nursing Scholarship Fund at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University (The James) was established April 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation (the Foundation), with gifts from colleagues, friends and family in memory of Nancy J. Davis. The name and description were revised October 6, 2000.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support educational and/or professional development activities of nurses at The James Hospital in the field of oncology nursing practice, with priority given to palliative and end-of-life care. Funds shall be awarded annually and recipients may

October 6, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Nancy J. Davis Oncology Nursing Fund (contd)

apply for a second award. Application guidelines shall be determined by and recipients shall be selected by the administrator of Nursing Services at The James and the director of The James.

It is the desire of the contributors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of Nursing at The James and the director of The James in order to carry out the desire of the donors.

The Medical Class of 1957 Endowment Fund

The Medical Class of 1957 Scholarship Fund was established September 2, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts made to the Foundation from the medical alumni of the class of 1957 of the College of Medicine and Public Health. The name and description were revised October 6, 2000.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a stipend to defray medical education expenses for one medical student enrolled in the College of Medicine and Public Health. Preference should be given to first and second year students. The recipient shall be determined each year through an anonymous and random selection process identified and administered by the associate dean for Student Affairs of the College of Medicine and Public Health; and upon consultation when applicable with the college's Committee for Scholarship Awards and with the University Committee on Student Financial Aid; and in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income should be returned to the principal at the end of each fiscal year.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

**AUTHORIZATION FOR DESIGNATED OFFICIALS TO BUY, SELL, ASSIGN
AND TRANSFER SECURITIES, TO DEPOSIT OR WITHDRAW FUNDS
FROM BANK ACCOUNTS, AND TO DESIGNATE DEPOSITORIES**

Resolution No. 2001-42

Synopsis: Reauthorization for designated officials to buy, sell, assign, and transfer securities, to deposit or withdraw funds from bank and investment accounts held in the name of The Ohio State University, to designate depositories, and to execute related agreements is proposed.

WHEREAS designated officials of the University buy, sell, assign, and transfer stocks, bonds, and other financial instruments owned by The Ohio State University; and

October 6, 2000 meeting, Board of Trustees

**AUTHORIZATION FOR DESIGNATED OFFICIALS TO BUY, SELL, ASSIGN
AND TRANSFER SECURITIES, TO DEPOSIT OR WITHDRAW FUNDS
FROM BANK ACCOUNTS, AND TO DESIGNATE DEPOSITORIES (contd)**

WHEREAS various financial institutions are designated as depositories of The Ohio State University; and

WHEREAS accounts at various financial institutions are opened and maintained in the name of The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the Treasurer and/or Senior Vice President for Business and Finance or Vice President for Business and Finance be authorized and empowered to buy, sell, assign, and transfer any and all stocks, bonds, evidence of interest and/or indebtedness, insurance investments, rights and options to acquire or to sell the same, and all other securities corporate or otherwise, standing in the name of or belonging to The Ohio State University in any capacity; and

BE IT FURTHER RESOLVED, That these officials be authorized and empowered to designate various financial institutions as depositories for The Ohio State University and to open and maintain accounts at various financial institutions in the name of The Ohio State University; to sign Agreements for bank, investment and financial services; and

BE IT FURTHER RESOLVED, That these officials be authorized, on behalf of The Ohio State University and in its name, to sign checks, drafts, notes, bills of exchange, letters of credit, acceptances or other orders for the payment of money from said accounts; to endorse in writing or by stamp checks, notes, bills, certificates of deposit or other instruments owned or held by this University for deposit in said accounts or for collection or discount by said banks; to accept drafts, acceptances, and other instruments payable to said banks; to waive, demand, protest, file notice of protest, or dishonor any check, note, bill, draft or other instrument made, drawn or endorsed by the University.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 2001-43

LIMA – REED HALL THEATRE ADDITION
VETERINARY HOSPITAL AUDITORIUM RENOVATION
McCRACKEN STEAM TURBINE VIBRATION MONITORING
SISSON HALL REPLACEMENT
RENOVATION OF MEDICAL PARKING GARAGES (H & J)
RHODES HALL – 2 WEST – CARDIAC CATHETERIZATION
ATI – EQUINE CENTER
CAMPBELL HALL – PUBLIC SPACE RENOVATION

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Lima – Reed Hall Theatre Addition, Veterinary Hospital Auditorium Renovation, and McCracken Steam Turbine Vibration Monitoring projects and report of award of contracts for the Sisson Hall Replacement, Renovation of Medical Parking Garages (H & J), Rhodes Hall – 2 West – Cardiac Catheterization, ATI – Equine Center, and Campbell Hall – Public Space Renovation projects are requested.

WHEREAS the University desires to proceed with an addition to Reed Hall on the Lima Campus to provide enhanced facilities for the Theatre Program; and

October 6, 2000 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the total estimated project cost is \$1,000,000 and the total estimated construction cost is \$750,000, with funding provided donations to the Lima Campus; and

WHEREAS the University desires to proceed with the renovation of the primary teaching auditorium used by the College of Veterinary Medicine located in room 1067 of the Veterinary Hospital; and

WHEREAS the total estimated project cost is \$683,530 and the total estimated construction cost is \$542,000, with funding provided by House Bill 640 (\$547,000) and Veterinary Medicine (\$136,530); and

WHEREAS the University desires to proceed with the installation of new vibration monitoring system for the backpressure turbine generator in the McCracken Power Plant; and

WHEREAS the total estimated project cost is \$300,000 and the total estimated construction cost is \$249,000, with funding provided by House Bill 640; and

WHEREAS resolutions adopted by the Board of Trustees on December 6, 1996, February 5, 1999, March 5, 1999, and July 2, 1999 authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

(* Recommended alternates included in these amounts)

Sisson Hall Replacement

This project provides for the replacement of the 1957 and 1959 sections of Sisson Hall for the College of Veterinary Medicine. The total project cost is \$26,090,000; funding is provided by House Bill 748 (\$1,600,000), House Bill 850 (\$17,600,000), Department of Physical Facilities (\$150,000), Transportation and Parking (\$100,000) and gifts to the College of Veterinary Medicine (\$6,640,000). The completion date is April 2003. The contracts awarded are as follows:

Design:	Braun & Steidl, Columbus, OH
General Contract -	
Parking Lot:	Performance Site Management, Columbus, OH
Amount:	\$436,706 +
Estimate:	\$425,205 +
General Contract:	P. J. Dick, Inc., Pittsburgh, PA
Amount:	\$12,256,000 * +
Estimate:	\$11,697,720 * +
Plumbing Contract:	Croson-Teepe, Columbus, OH
Amount:	\$1,683,864 * +
Estimate:	\$1,295,221 * +
HVAC Contract:	Sauer, Inc., Columbus, OH
Amount:	\$3,945,700 * +
Estimate:	\$3,745,469 * +
Electric Contract:	Buckeye Electric Company, Dayton, OH
Amount:	\$2,473,400 *
Estimate:	\$2,473,440 *
Total All Contracts:	\$20,795,670 *
Contingency Allowance:	\$1,390,723
Total Project Cost:	\$26,090,000

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Sisson Hall Replacement (contd)

+ The General Contract (Parking Lot), General Contract, Plumbing Contract, and HVAC Contract are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Renovation of Medical Parking Garages (H & J)

This phase of the project renovates the north and south medical parking garages. The total project cost is \$10,922,350; funding is provided by Transportation and Parking. The completion date is November 2001. The contracts awarded are as follows:

Design:	O & S Associates, Inc., Columbus, OH
General Contract:	Paragon Constructors, Minneapolis, MN
Amount:	\$7,070,311 *
Estimate:	\$7,346,500 *
Plumbing Contract:	Childers Corp., Dublin, OH
Amount:	\$144,902
Estimate:	\$155,000
HVAC Contract:	Columbus Heating & Ventilating, Columbus, OH
Amount:	\$21,530 +
Estimate:	\$14,000 +
Electric Contract:	Jess Howard Electric, Blacklick, OH
Amount:	\$530,777
Estimate:	\$547,000
Total All Contracts:	\$7,767,520 *
Contingency Allowance:	\$837,426
Total Project Cost:	\$10,922,350

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Rhodes Hall – 2 West – Cardiac Catheterization

This project provides the addition of two Cardiac Catheterization Labs. The total project cost is \$3,148,647; funding is provided by University Hospitals. The completion date is October 2000. The contracts awarded are as follows:

Design:	URS Greiner Architects, Columbus, OH
General Contract:	P. J. Dick, Inc., Columbus, Ohio
Amount:	\$998,479
Estimate:	\$1,347,892
Plumbing Contract:	General Temperature Control, Columbus, OH
Amount:	\$393,250
Estimate:	\$394,737
HVAC Contract:	Ro-Dan Construction Services, Inc., Columbus, OH
Amount:	\$583,900 *
Estimate:	\$641,680 *
Electric Contract:	Buckeye Electric Company, Dayton, OH
Amount:	\$379,000
Estimate:	\$725,014

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Rhodes Hall – 2 West – Cardiac Catheterization (contd)

Total All Contracts:	\$2,354,629 *
Contingency Allowance:	\$235,463
Total Project Cost:	\$3,148,647

ATI – Equine Center

This project constructs a 52-stall barn and associated space for the Agricultural Technical Institute in Wooster. The total project cost is \$1,154,371; funding is provided by House Bill 748 (\$430,321), House Bill 790 (\$125,000), House Bill 808 (\$88,587), House Bill 850 (\$147,000), ATI funding (\$303,463), and gifts to ATI Campus (\$60,000). The completion date is October 2000. The contracts awarded are as follows:

Design:	The Ohio State University
Site Preparation Contract:	Dirt Dawg Excavating, Jeromesville, OH
Amount:	\$100,064
Estimate:	\$130,500
General Contract:	Carr Ltd., N. Canton, OH
Amount:	\$747,888 * +
Estimate:	\$745,319 * +
Combined Plumbing/HVAC Contract:	Feinman Mechanical, N. Canton, OH
Amount:	\$57,989 +
Estimate:	\$50,071 +
Electric Contract:	Abbot Electric, Canton, OH
Amount:	\$86,350 *
Estimate:	\$94,730 *
Total All Contracts:	\$992,227 *
Contingency Allowance:	\$57,995
Total Project Cost:	\$1,154,371

+ The General Contract and the Combined Plumbing/HVAC Contract are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Campbell Hall – Public Space Renovation

This project renovates restrooms, upgrades elevators, and upgrades hallways and corridors in Campbell Hall. The total project cost is \$1,050,079; funding is provided by House Bill 748 (\$1,025,543) and College of Human Ecology (\$24,536). The completion date is October 2000. The contracts awarded are as follows:

Design:	Stacy and Asebrook, Columbus, OH
General Contract:	Righter Construction Services, Columbus, OH
Amount:	\$303,976 *
Estimate:	\$634,800 *
Hazardous Material Contract:	Environmental Construction, Tallmadge, OH
Amount:	\$84,100 *
Estimate:	\$93,500 *

October 6, 2000 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Campbell Hall – Public Space Renovation (contd)

Plumbing Contract:	Diewald & Pope, Columbus, OH
Amount:	\$85,300 *
Estimate:	\$93,400 *
HVAC Contract:	Gateway Mechanical, Grove City, OH
Amount:	\$93,023 * +
Estimate:	\$69,600 * +
Electric Contract:	Dynalectric Company of Ohio, Columbus, OH
Amount:	\$131,698 *
Estimate:	\$207,700 *
Total All Contracts:	\$698,097 *
Contingency Allowance:	\$239,810
Total Project Cost:	\$1,025,543

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Lima – Reed Hall Theatre Addition, Veterinary Hospital Auditorium Renovation, and McCracken Steam Turbine Vibration Monitoring projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Lima – Reed Hall Theatre Addition, Veterinary Hospital Auditorium Renovation, and McCracken Steam Turbine Vibration Monitoring projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Sisson Hall Replacement, Renovation of Medical Parking Garages (H & J), Rhodes Hall – 2 West – Cardiac Catheterization, ATI – Equine Center and Campbell Hall – Public Space Renovation projects are hereby accepted.

(See Appendix XVI for maps, page 365.)

EASEMENT

Resolution No. 2001-44

COLUMBIA GAS OF OHIO, INC.
WEST LANE AVENUE

Synopsis: Authorization to grant Columbia Gas Of Ohio, Inc., an easement across University property located along West Lane Avenue near the intersection of North Star Road, is proposed.

October 6, 2000 meeting, Board of Trustees

EASEMENT (contd)

WHEREAS Columbia Gas Of Ohio, Inc., has requested a 25-year easement consisting of a strip of land approximately 25 feet in width and 597.79 feet in length for installation of a gas line adjacent to a service road located at the rear of properties situated near the southwest corner of the intersection of West Lane Avenue and North Star Road near the boundary line for the State Data Center; and

WHEREAS this easement will be of benefit to the University; and

WHEREAS the appropriate University offices have recommended the approval of the easement:

NOW THEREFORE

BE IT RESOLVED, That the Vice President for Business and Finance be authorized to request the Deputy Director of Public Works of the Department of Administrative Services, pursuant to his statutory duties, to act for and on behalf of the University in developing the necessary legal instrument to provide for the easement.

(See Appendix XVII for map, page 373.)

TRANSFER OF REAL PROPERTY

Resolution No. 2001-45

1900, 1960 AND 1991 KENNY ROAD
COLUMBUS, OHIO

Synopsis: Authorization for the transfer of improved real property at 1900, 1960, and 1991 Kenny Road is proposed.

WHEREAS title to the properties at 1900, 1960, and 1991 Kenny Road in the University's West Campus is held in the name of the Board of Trustees of The Ohio State University as a part of the University's Endowment; and

WHEREAS these properties have an aggregate average appraised value of \$6,117,500; and

WHEREAS the appropriate University offices have determined that these properties more appropriately should be titled in the name of the State of Ohio for the benefit of the Ohio State University and that the transfer of these properties from the Endowment to the General Fund at the established transfer price of \$6,117,500 is in the best interest of the University, and

WHEREAS the net proceeds from the transfer will be reinvested in the Endowment:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to transfer all of the Board of Trustees' right, title, and interest in the improved real properties at 1900, 1960, and 1991 Kenny Road to the State of Ohio for the benefit of The Ohio State University at the transfer price of \$6,117,500, upon such terms and conditions as are deemed to be in the best interest of the University, with the net proceeds being reinvested in the University Endowment.

(See Appendix XVIII for background information and map, page 375.)

STRATEGIC INVESTMENT FUND

Resolution No. 2001-46

Synopsis: Approval to establish a Strategic Investment Fund to support strategic goals of the University is proposed.

WHEREAS generation of additional funds for University strategic goals has been identified as a high priority by the President and the Board of Trustees; and

WHEREAS resources have been identified; and

WHEREAS appropriate consultations have occurred across campus:

NOW THEREFORE

BE IT RESOLVED, That the Strategic Investment Fund be established, to be funded initially with up to \$8.5 million annually, with the intent that, as additional revenue sources are identified, funding for this account shall be increased up to \$25 million annually; and

BE IT FURTHER RESOLVED, That sources for this fund may include General Funds freed up by full self-support of Development, Research Challenge and such other sources as the Board may approve; and

BE IT FURTHER RESOLVED, That the Strategic Investment Fund may be expended at the discretion of the President in consultation with the Provost for one-time investments related to strategic goals, including but not limited to: facilities, start-up packages, equipment, and professional development; and

BE IT FURTHER RESOLVED, That Research Challenge Funds used for this purpose shall be used only to support investments related to research in consultation with the Vice President for Research and consistent with all applicable state laws and policies and; and

BE IT FURTHER RESOLVED, That the Senior Vice President for Business and Finance will certify to the President and Provost the amount of funds available from the Strategic Investment Fund as part of the annual budget process; and

BE IT FURTHER RESOLVED, That the President and Provost shall report annually to the Board of Trustees on the sources and uses of such funds and how uses further the academic goals of the University.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, McFerson, Judge Duncan, and Ms. Longaberger, and Ms. Hendricks.

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Secretary William J. Napier:

Mr. Chairman, I would like to present Resolution #7 from the Consent Agenda for the Board's approval, which involves the Appointment and Reappointment of Investment Managers:

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2001-47

Synopsis: Approval of the Appointment and Reappointment of Investment Managers, is proposed.

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS (contd)

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees, the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

<u>Equity Managers</u>	<u>Market Value/ Commitment As of 8/31/00</u>	<u>Changes</u>	<u>Revised Allocation</u>
ABN AMRO	\$81,043,330	\$ --0--	\$81,043,330
Barclays S&P 500	\$316,775,675	\$ --0--	\$316,775,675
Nicholas Applegate Mid Cap	\$281,295,798	\$ --0--	\$281,295,798
University Students	\$26,319,120	\$ --0--	\$26,319,120
Barclays Extended	\$200,123,872	\$ --0--	\$200,123,872
R. Meeder	\$64,462,446	\$ --0--	\$64,462,446
Nicholas Applegate Small Cap	\$39,606,248	\$ --0--	\$39,606,248
Barclays International	\$33,129,573	\$ --0--	\$33,129,573
INVESCO	\$24,089,986	\$ --0--	\$24,089,986
Sit/Kim	\$24,984,719	\$ --0--	\$24,984,719
CID Seed Fund	\$1,000,000	\$ --0--	\$1,000,000
Commonfund New Leaders	\$10,000,000	\$ --0--	\$10,000,000
Commonfund Capital Partners	\$7,067,000	\$ --0--	\$7,067,000
EDF Ventures	\$2,000,000	\$<1,000,000>	\$1,000,000
Mesirow Partnership Fund I	\$10,000,000	\$ --0--	\$10,000,000

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS (contd)

<u>Fixed Income Managers</u>	<u>Market Value/ Commitment As of 8/31/00</u>	<u>Changes</u>	<u>Revised Allocation</u>
Banc One	\$25,096,870	\$ --0--	\$25,096,870
Commonfund	\$34,065,671	\$ --0--	\$34,065,671
Cypress Asset Management	\$23,828,403	\$ --0--	\$23,828,403
Hughes Capital Management	\$14,816,086	\$ --0--	\$14,816,086
Huntington Trust	\$24,916,664	\$ --0--	\$24,916,664
MDL Capital Management	\$74,288,553	\$ --0--	\$74,288,553

Upon motion of Judge Duncan, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution with seven affirmative votes cast by Messrs. Brennan, Sofia, Slane, McFerson, Judge Duncan, and Ms. Longaberger and Ms. Hendricks, and two abstentions cast by Messrs. Skestos and Patterson.

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PRESENTATION OF NATIONWIDE GIFT

Mr. Skestos:

I'd like to now call upon our fellow Trustee Dimon McFerson to address the Board.

Mr. McFerson:

Thank you, Mr. Chairman. I'm accompanied by fellow Trustee Jim Patterson and Steve Rish, president of the Nationwide Foundation. First, I would like to congratulate fellow Trustee Dan Slane for his very generous gift to the University. That is marvelous and we congratulate you, Dan.

We're here today to announce the five-year grant of more than \$4.5 million from the Nationwide Foundation, on behalf of the Nationwide family of companies. This is to be allocated along the following lines:

- Over \$2.4 million for the College of Food, Agricultural, and Environmental Sciences. The bulk of which -- \$2 million -- is for the establishment as a lead gift for the 4-H Center to be built here on campus.
- \$300,000 for the CEED program -- the Center for Education and Economic Development.
- \$100,000 for the Leadership Education and Development Program.

These dollars will be up at the Wooster Campus, which now makes us over \$500,000 to the ATI and the OARDC facilities. This is something we're very proud of.

October 6, 2000 meeting, Board of Trustees

PRESENTATION OF NATIONWIDE GIFT (contd)

Mr. McFerson: (contd)

- \$1 million will be going to The Max M. Fisher College of Business, as a discretionary fund for the dean to use for purposes he considers to be appropriate for their activities.
- \$500,000 for the WOSU stations to assist in the digital format support program.
- \$675,000 -- we're especially proud to be adding this amount in the area for diversity and women on campus. This will be used for programs which will assist in a variety of diversity issues: the Frank Hale Jr. Black Cultural Center, Martin Luther King Jr. Lounge; and for the Critical Difference for Women Program, where we can help women come back to the University -- women who are single with dependent children -- and obtain a degree.

Those programs are very important to us and, as you can see, we've allocated our dollars in essentially three areas: agriculture; the College of Business, where we have a lot of graduates; and something we're very concerned about at Nationwide and we think we've worked hard on, and that is to improve diversity and women's programs.

On behalf of Nationwide, we'd like to announce our continuing support for The Ohio State University. This brings our aggregate gifts to over \$14 million. I don't know how long a period that covers, but it's several decades. Jerry May will be interested in knowing that this is after the conclusion of the "Affirm Thy Friendship" Campaign.

President Kirwan:

Thank you so much, Dimon.

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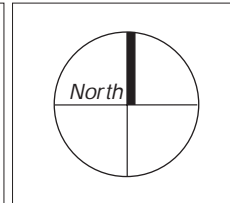
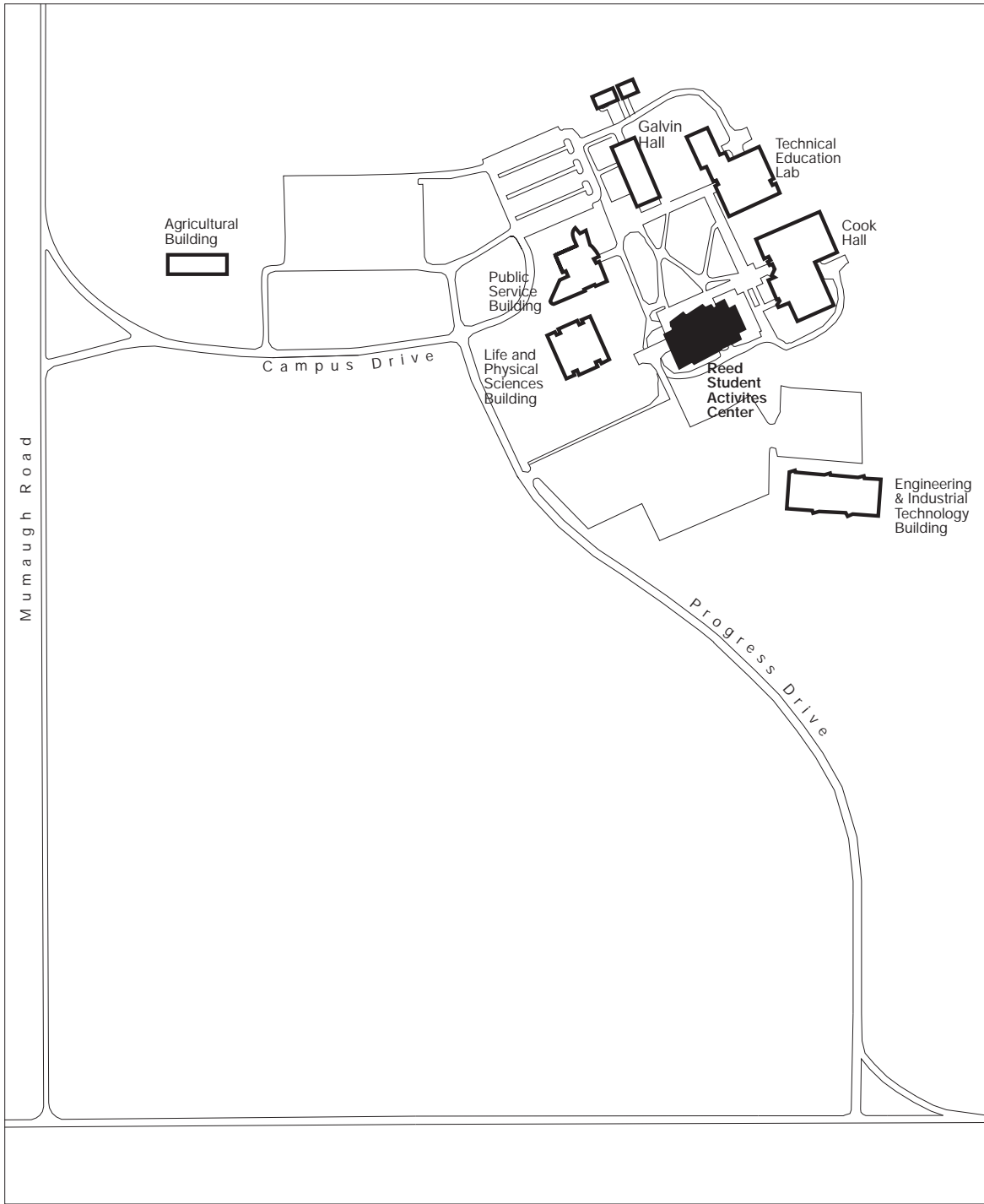
Thereupon the Board adjourned to meet Friday, November 3, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

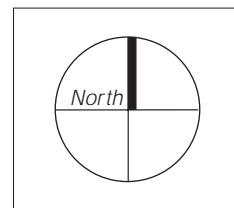
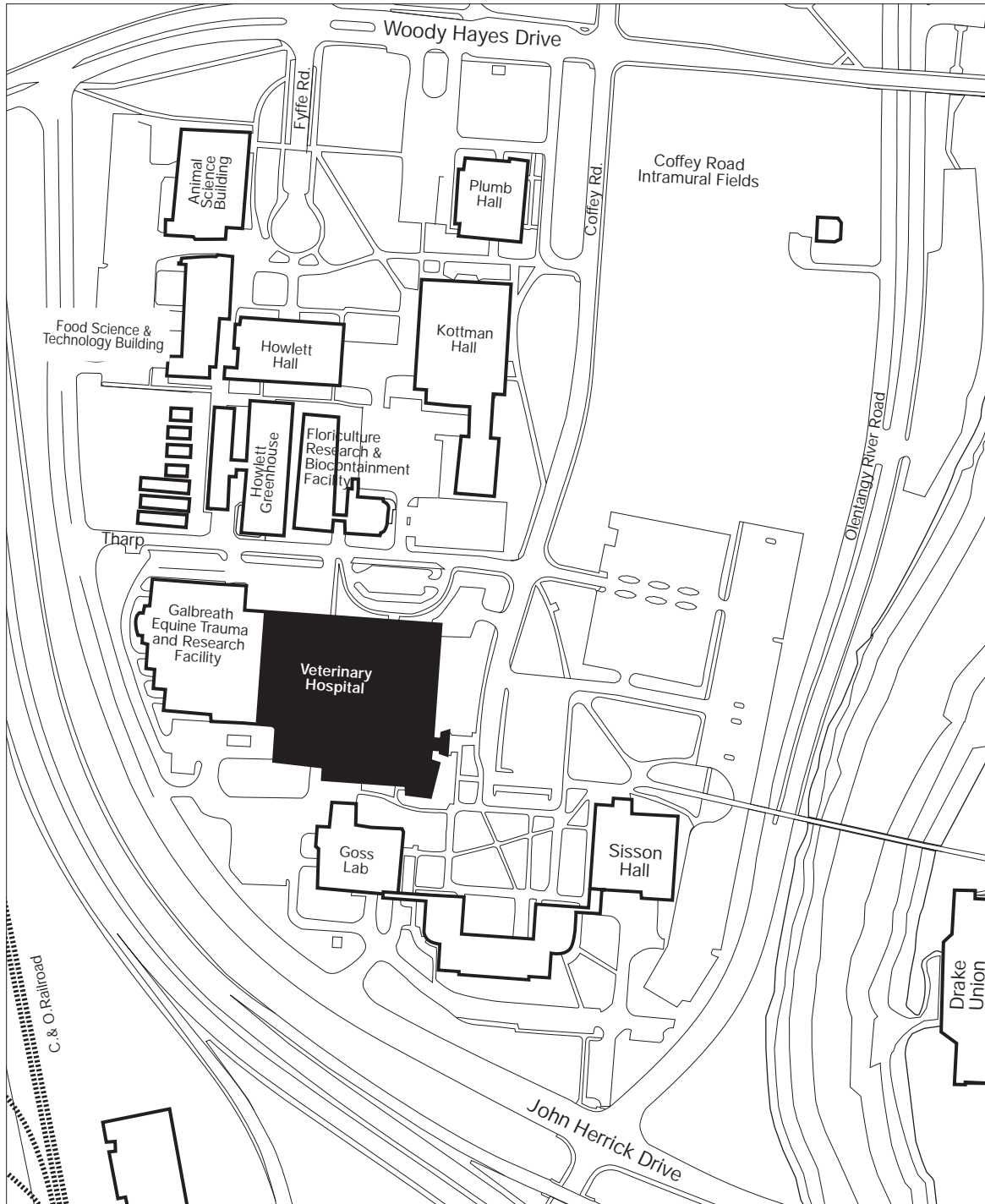
William J. Napier
Secretary

George A. Skestos
Chairman

Lima - Reed Hall Theatre Addition



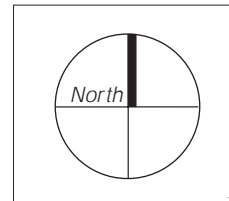
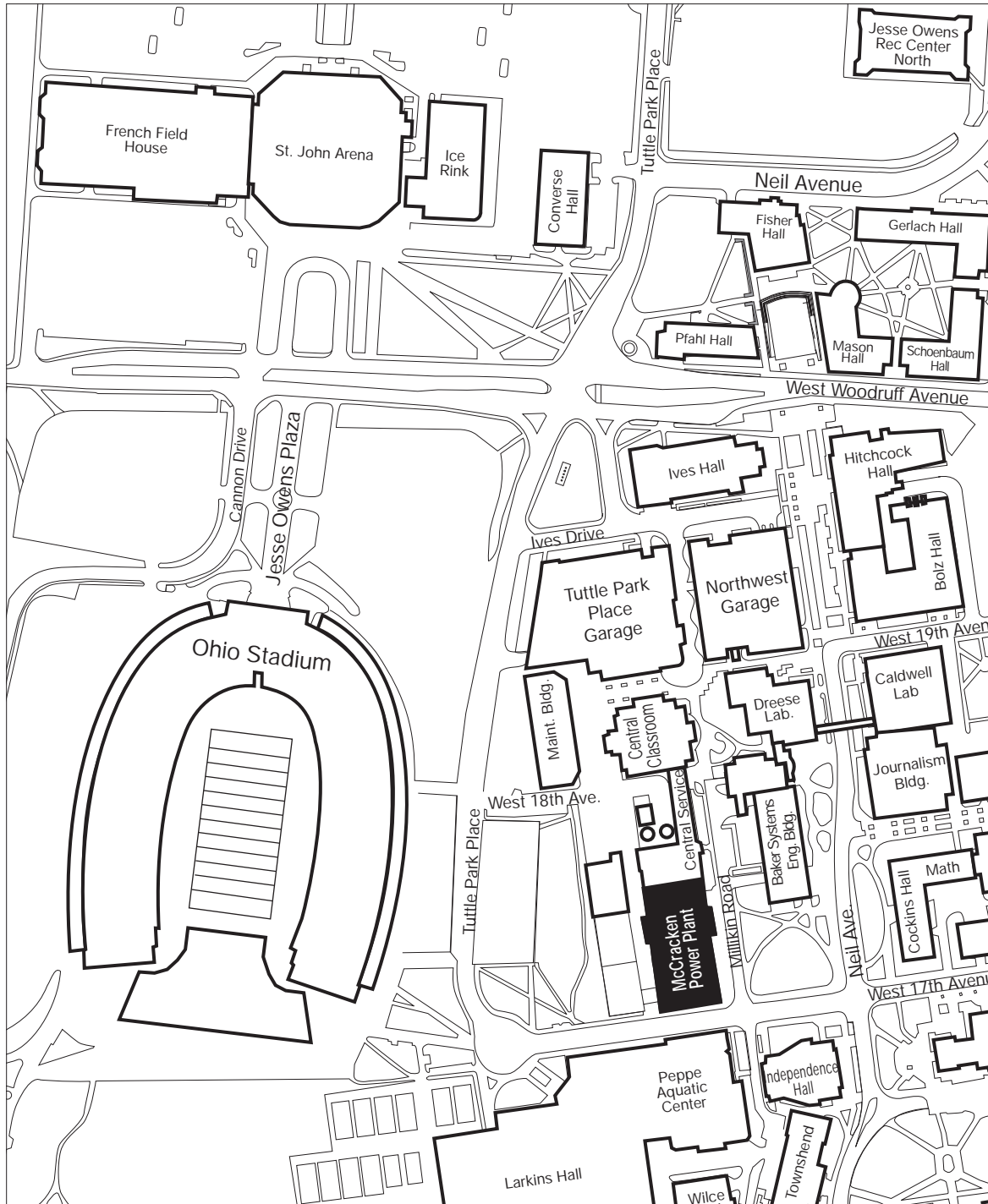
Veterinary Hospital Auditorium Renovation



Office of Business and Finance
Office of Facilities Planning and Development

September 21, 2000

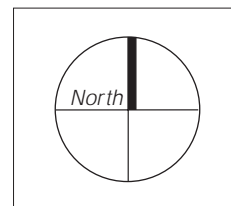
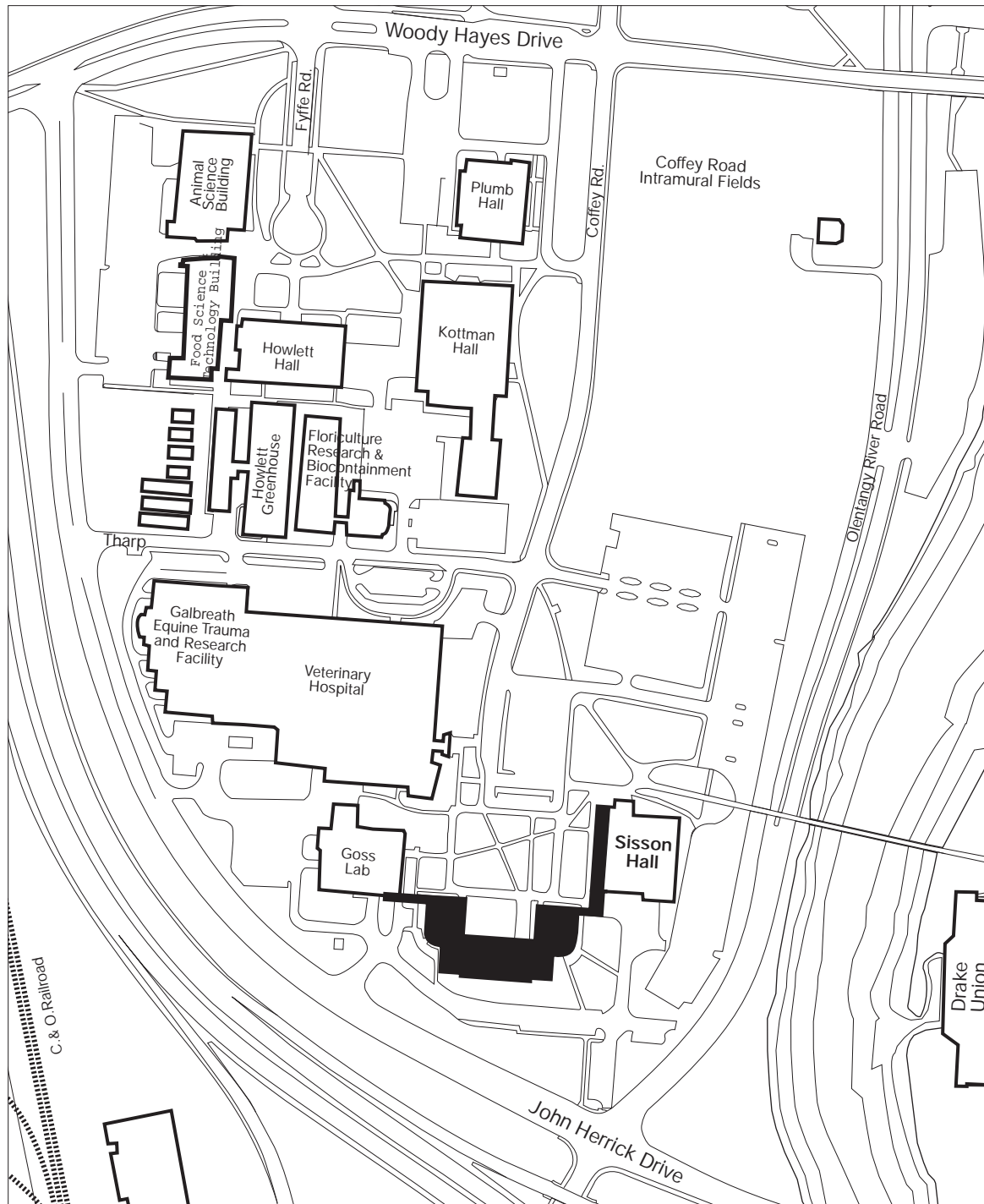
McCracken Power Plant Steam Turbine Vibration Monitoring



Office of Business and Finance
Office of Facilities Planning and Development

August 5, 2000

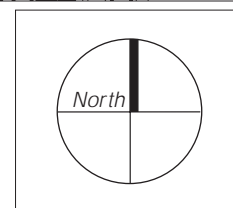
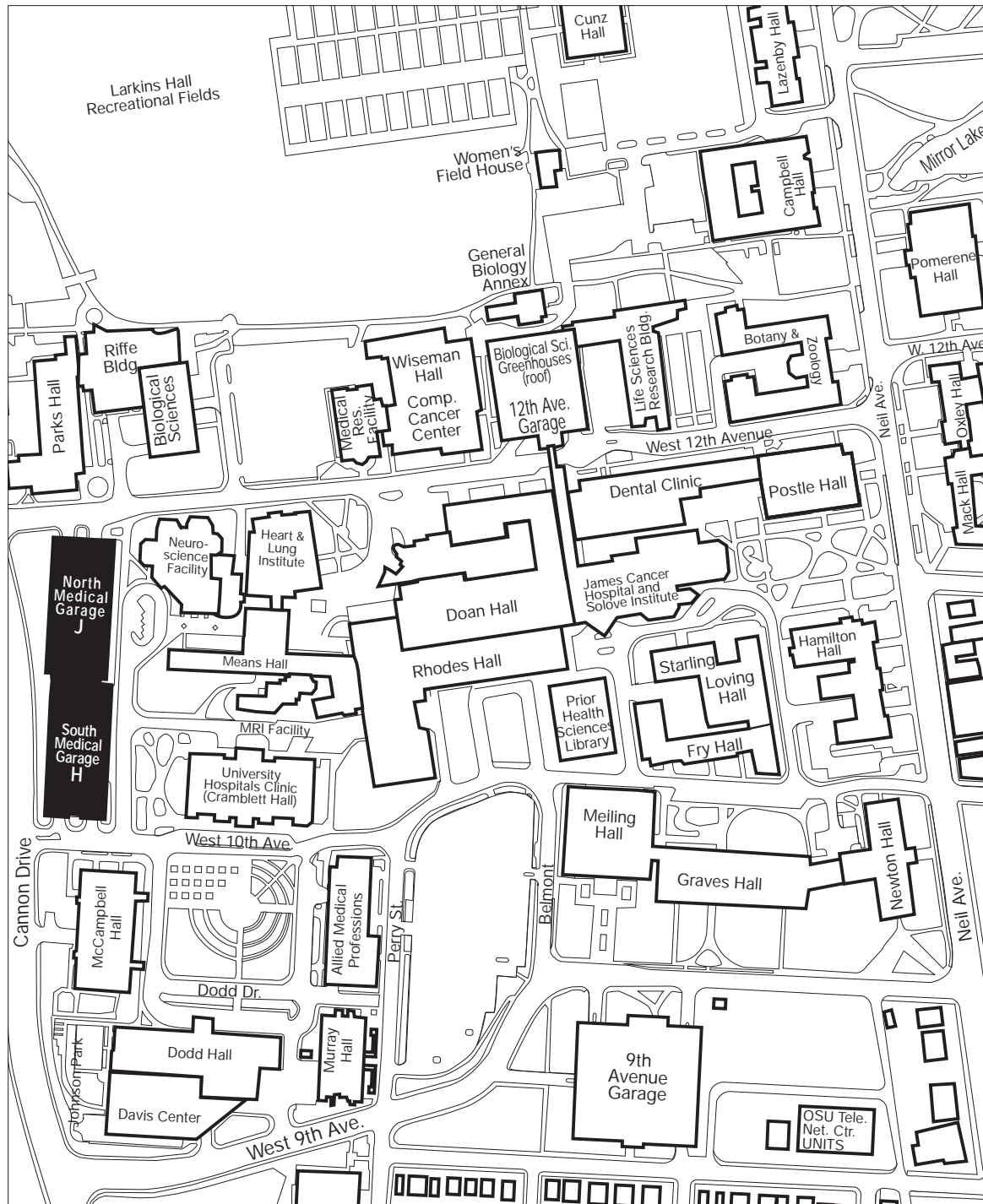
Sisson Hall Replacement



Office of Business and Finance
Office of Facilities Planning and Development

September 21, 2000

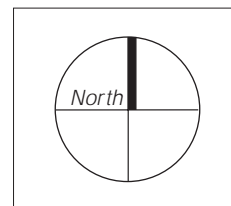
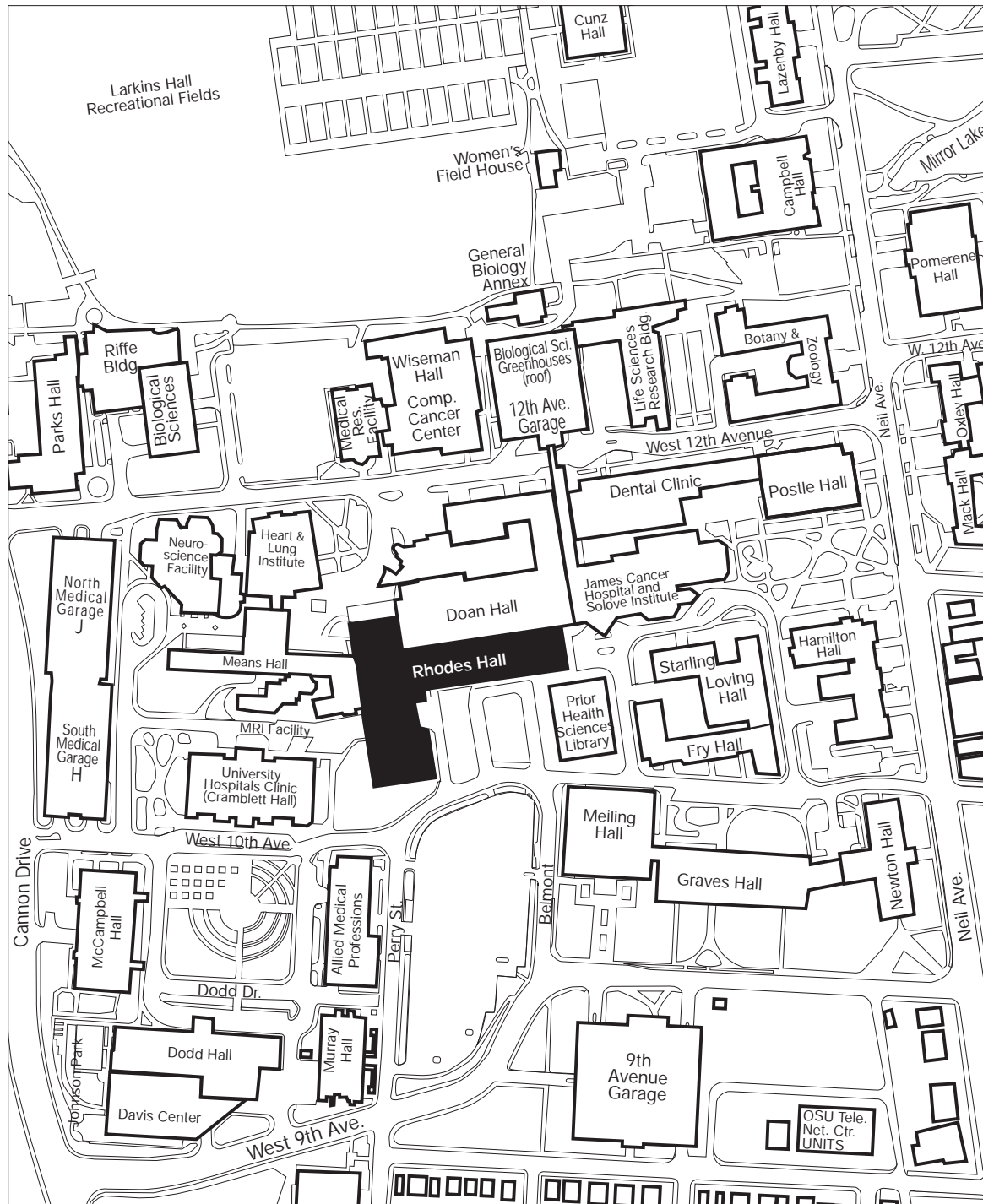
Renovation of Medical Parking Garages H & J



Office of Business and Finance
Office of Facilities Planning and Development

September 21, 2000

Rhodes Hall - 2 West - Cardiac Catheterization



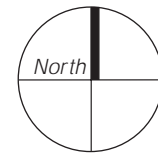
Office of Business and Finance
Office of Facilities Planning and Development

September 21, 2000

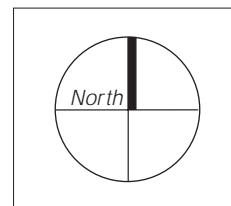
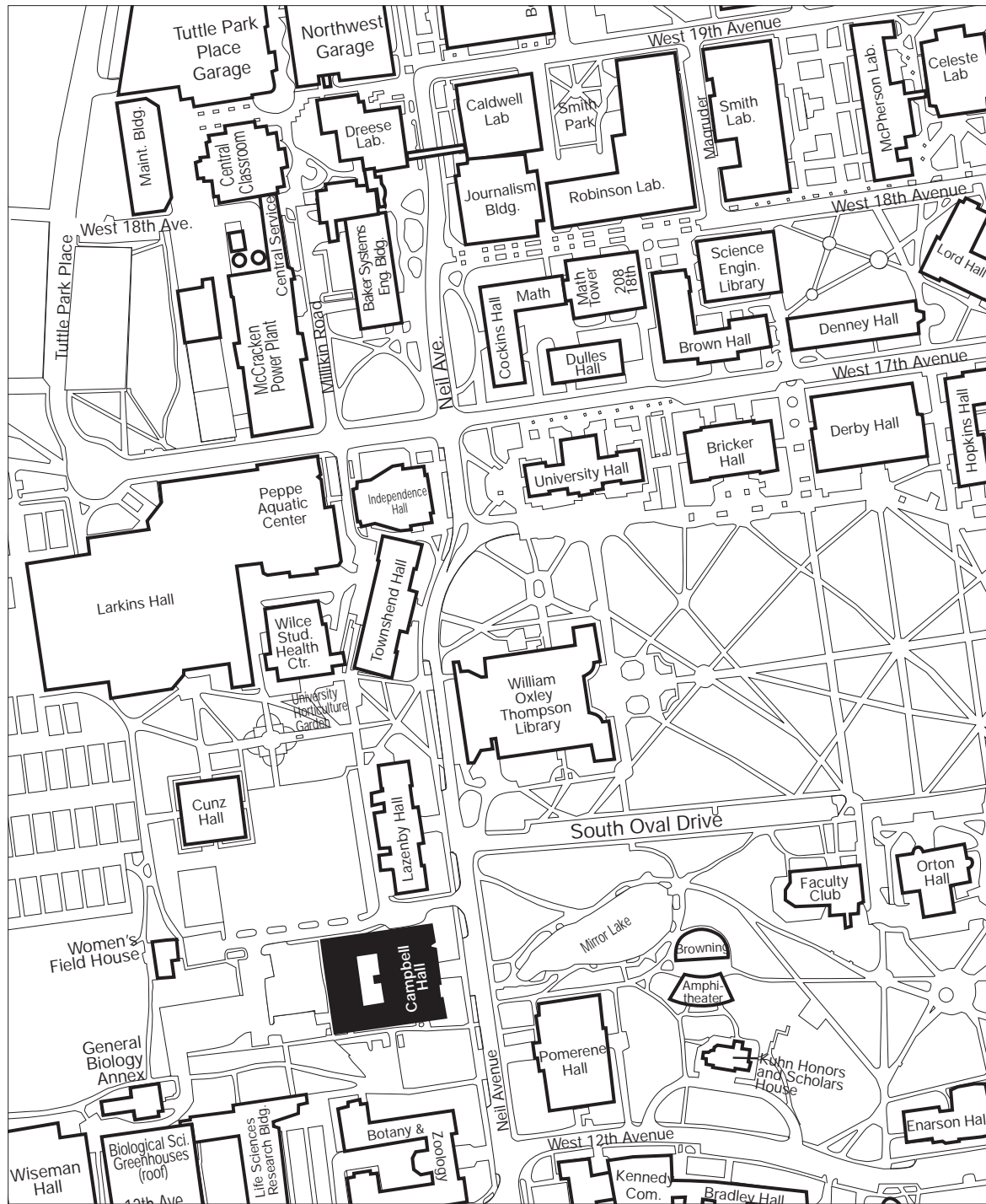
ATI - Equine Center



Note: Equine center site is located east of central Wooster campus at S.R. 250 and County Road 44



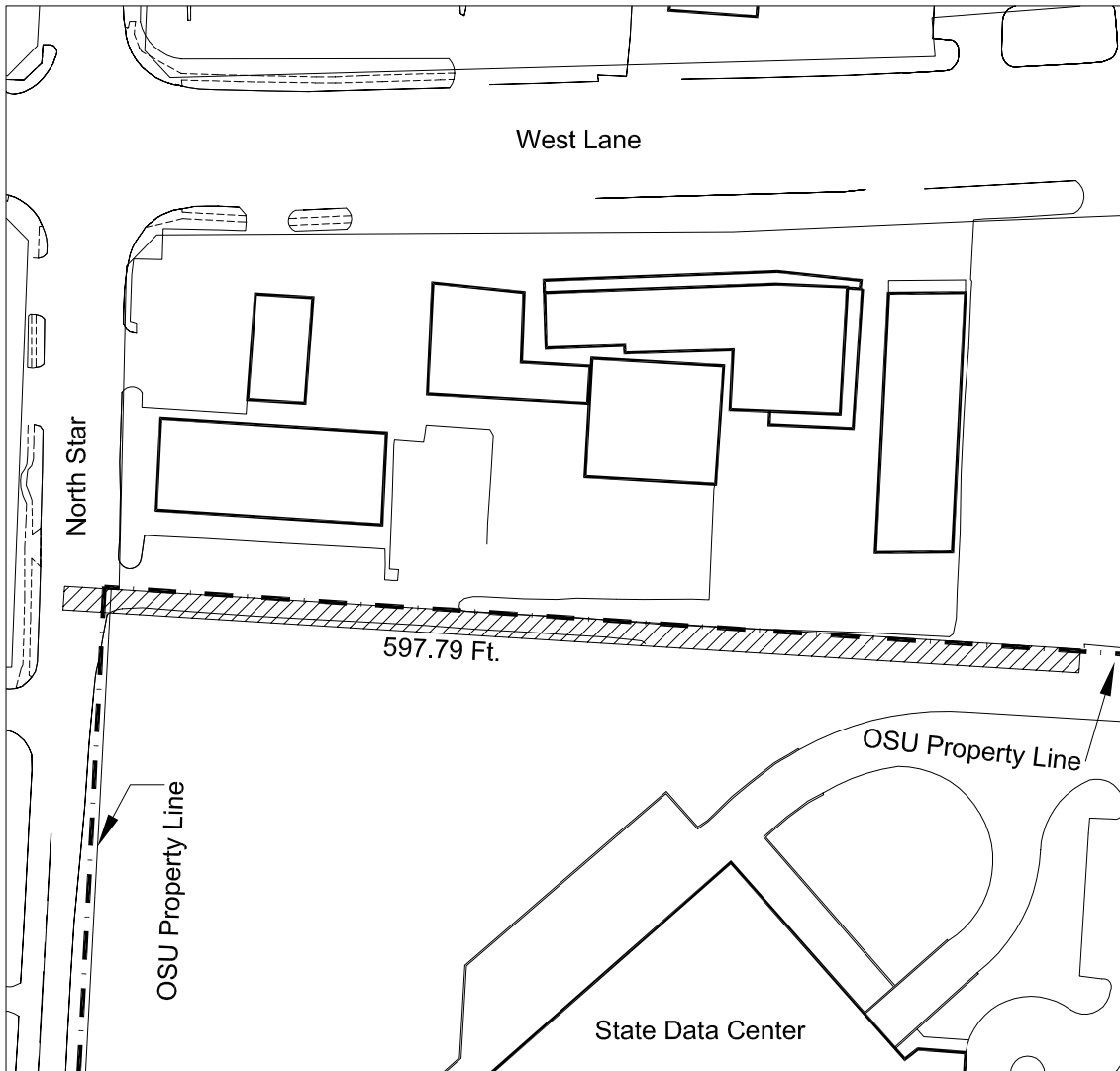
Campbell Hall - Public Space Renovation



Office of Business and Finance
Office of Facilities Planning and Development

September 21, 2000

Proposed Easement Near Intersection of West Lane and North Star to Columbia Gas of Ohio, Inc.



No True Scale



Office of Business and Finance

Oct. 6, 2000

 Proposed Gas Line Easement

Map Provided by University Engineer's Office

TRANSFER OF REAL PROPERTY

1900, 1960 AND 1991 KENNY ROAD
COLUMBUS, OHIO

Background

Location and Description

The property includes three parcels and various buildings all on Kenny Road within the West Campus:

- 1900 Kenny Road - This property, acquired in 1962, consists of a 31,561 square foot general office building in average to good condition located on 2.16 acres. The property has been leased continuously by University tenants and currently is occupied by the University's Center for Education and Training for Employment. Rent is \$381,681.36 per year.
- 1960 Kenny Road - This 43,386 square foot general office building in average to good condition is located on 6.41 acres of land acquired in 1966. It has been leased continuously by University-related tenants and currently is occupied by The Ohio State University Research Foundation. Rent is \$689,832.00 per year.
- 1991 Kenny Road - This 6.33 acre parcel of land, acquired in 1968, contains several structures of varying age and condition, including an 11,429 square foot office building. It has been used continuously by University tenants and currently is occupied by the Department of Entomology. Rent is \$53,847.48 per year.

Appraisals and Transfer Price

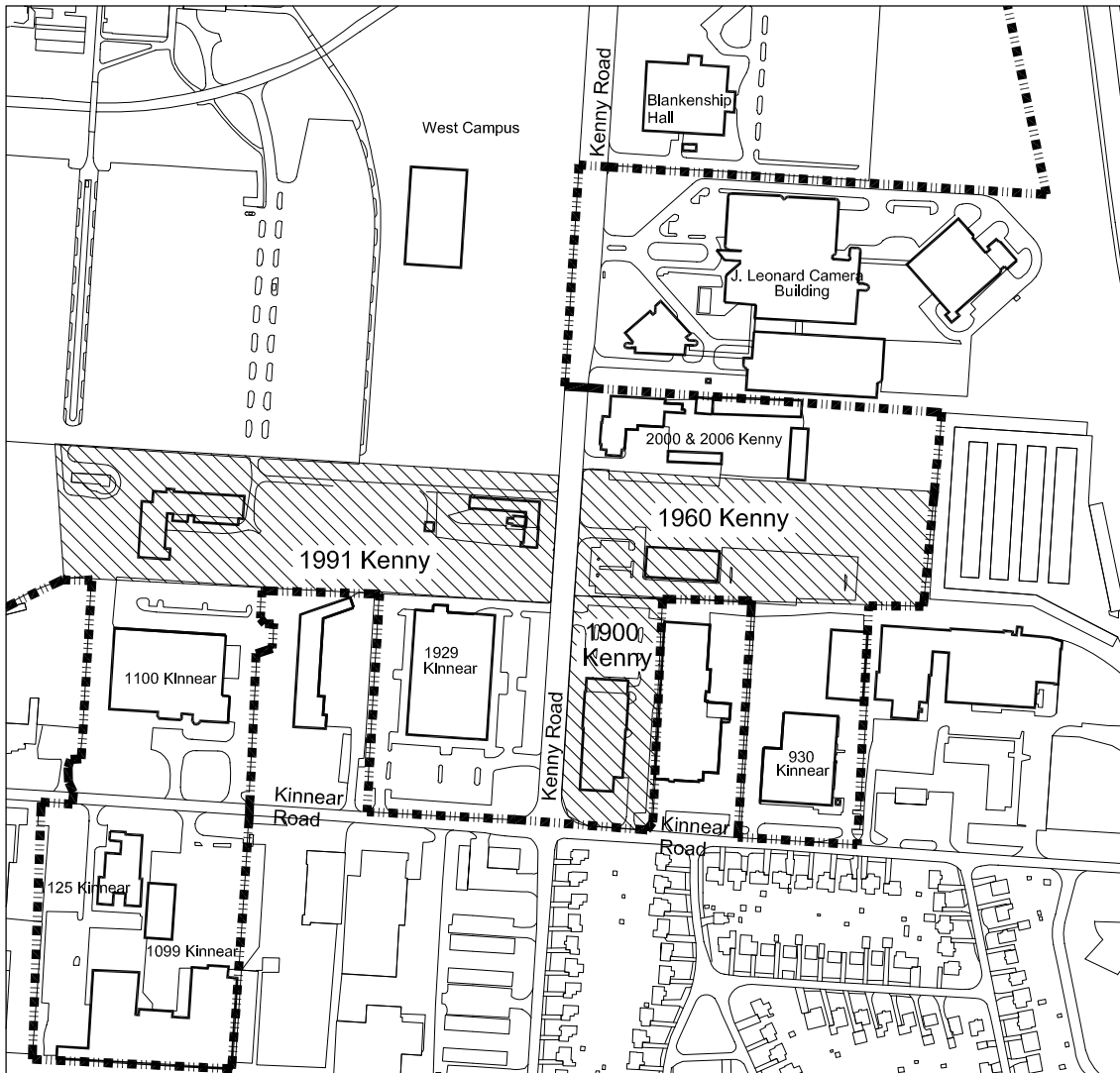
In accordance with the University's land value policy, the University obtained two MAI appraisals for each property; those appraisals were completed by Wilhelm and Associates and The Ohio Real Estate Consultants, Inc., in May and June 2000, respectively. The average appraised value has been established as the transfer price for each property, as follows:

<u>Address</u>	<u>Transfer Price</u>
1900 Kenny Road	\$1,387,500
1960 Kenny Road	\$3,722,500
1991 Kenny Road	\$1,007,500
TOTAL	\$6,117,500

Use of the Property

The University Endowment is liquidating these properties as part of its planned reduction in real estate holdings. These three properties are being transferred to the General Fund, and title will be held in the name of the State of Ohio for the benefit of The Ohio State University. The properties will continue to be used by the University and will be maintained by the Department of Physical Facilities. Financing is being provided from the Internal Bond Reserve Account.

Transfer of Property 1900, 1960, and 1991 Kenny Road The Ohio State University



No True Scale



Office of Business and Finance

October 6, 2000



Property to be Transferred

Map Provided by University Engineer's Office



Property owned by The Ohio State University or under contract for purchase by the University

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SIXTY-SEVENTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, November 3, 2000

The Board of Trustees met at its regular monthly meeting on Friday, November 3, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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November 3, 2000 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on November 3, 2000, at 10:30 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

Members of the Board, I'd like to start my report this morning by welcoming three new faces to our Board meetings. Richard Wofford is the new chair of the University Staff Advisory Committee. As I mentioned to you at a recent meeting, Cecelia McFadden, who was the chair of USAC, has taken a job with Mayor Coleman, and we are fortunate that Richard has stepped in to fill this important position.

We also have Professor Stephen Reed, who became chair of the Faculty Council last month. Unfortunately, Steve is not able to be with us this morning, but Ed Adelson, vice chair, is present. And, finally, Professor Larry Anderson became chair of the Senate Steering Committee also last month. I want to welcome all three of these individuals to our meeting.

I'd also like to welcome and introduce to the Board of Trustees the individual we have selected to serve, with the approval of the Board, as The Ohio State University's chief information officer, Mr. Ilee Rhimes.

For the past year, Mr. Rhimes has been vice chancellor for information technology and chief information officer for the City Colleges of Chicago. Previously, he has served in the private sector as a senior principal for American Management Systems and as CIO at Kent State University.

His tremendous experience and insight will be a great advantage to Ohio State, as it repositions itself for the challenges of the new information age we live in. Ilee begins his duties November 15, but flew into town today just to be here for this meeting. I'd like Ilee to stand and have all of us join in giving him a warm Buckeye welcome. Welcome, Ilee.

At last month's meeting, my report to you focused on the University's new Academic Plan. This plan is our road map for moving our University to the top tier of America's universities in terms of academic excellence. Today, and in the coming months, I plan to focus the majority of my remarks on our progress with the six overarching strategies set forth in the Academic Plan.

Just as a reminder, those strategies are: 1) build a world-class faculty; 2) develop academic programs that define Ohio State as the nation's leading public land-grant university; 3) enhance the quality of the teaching and learning environment for our students; 4) enhance and better serve the student body; 5) create a more diverse university community; and 6) help build Ohio's future.

Each month I will highlight three or four of these strategies and describe the efforts we are making to achieve our overarching goals. Today, I'll concentrate on our efforts to build distinguished academic programs, to create a diverse University community, and to help build Ohio's future.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

As for building outstanding programs, I want to mention two very important developments. First, the National Science Foundation has just awarded Ohio State University almost \$6 million to fund an Environmental Molecular Sciences Institute on campus. At the new institute, our faculty and students will be developing solutions to critical environmental problems. This is a tremendous win for Ohio State and the State of Ohio against some very stiff competition, and it's a telling statement about the excellent depth and breadth of Ohio State University research.

This will be only the fourth Environmental Molecular Science Institute that NSF has established in the entire nation. The other three are at Columbia, Northwestern, and Princeton. So, you can see that they are in selective company.

This institute will certainly help to build up the national reputation of our Chemistry Department, which is one of our Selective Investment areas and which will be the lead department in the new institute. The nature of the work in the institute will be multidisciplinary, though, and other Ohio State researchers working within the institute will come from fields such as public health, civil and environmental engineering, geological sciences, and chemical engineering.

Our researchers also will be collaborating with the three other institutes, national laboratories, and industrial leaders like ExxonMobil, Aerodyne Research, and Ciba Specialty Chemicals. This institute will open tremendous opportunities for Ohio State to have a meaningful impact on the environment of our state and around the world.

The other major news is the opening of Ohio State's new Dorothy M. Davis Heart and Lung Research Institute. This state-of-the-art facility is one of only a few of its kind in the entire nation. It's seven stories high, with 40 fully equipped laboratories, and the most sophisticated instrumentation available. It provides ideal conditions and support for the 120 scientists who will be working there to develop new treatments to battle some of the most terrible killers known to humanity – heart and lung diseases that affect more than a million Ohioans.

Here are just two examples of the very promising work already underway at the Heart and Lung Institute. First, Stanley Nahman and his colleagues recently discovered a new way of providing gene therapy to combat kidney disease. Their technique is gaining much international attention.

Also, new micro- and nanotechnology research by Nicanor Moldovan and his colleagues holds the promise of allowing patients to re-grow blood vessels in the heart after a heart attack. This is a dramatic development in the exciting new field of angiogenesis, and could revolutionize the treatment of heart attacks in patients.

I'd like to also mention one other outstanding achievement in the medical research area. I'm very happy to report that Clara Bloomfield, director of the Comprehensive Cancer Center and deputy director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, was elected to membership in the Institute of Medicine.

This is one of the highest honors awarded to medical researchers and is equivalent to admission to the National Academy of Sciences or the National

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Academy of Engineering. This honor is a direct result of Dr. Bloomfield's exceptional career as a leader in cancer research.

The second area I want to talk about today is the substantial activity on campus to foster and promote diversity. In fact, this very weekend we have a number of alumni on campus helping us celebrate 30 proud years of service for Ohio State's Office of Minority Affairs. Mac Stewart, interim vice provost for Minority Affairs, will report to you later this morning about those efforts. Also later this morning, Ted McDaniel, interim chair of African American and African Studies, will discuss the activities taking place all this year to celebrate 30 years of scholarship for his department.

Earlier this quarter, with the support of Frank Hale, we kicked off a year-long President and Provost's Diversity Lecture Series, which will feature 16 national experts discussing a variety of critical issues. We hope the series will help generate an open, honest, and productive dialogue about diversity issues at our University.

And just last week, Ed Ray and I established a permanent President's Council on Women's Issues. It will report directly to the President and the Provost as an advocate for the advancement of women at Ohio State and for the development of policies that will positively impact the environment for all women at Ohio State – faculty, students, and staff.

The final area I want to mention today is our efforts to build a better future for Ohio. As you know, a primary goal in this area is for the University to become a catalyst for the growth of the technology sector of the state's economy. I am pleased to report that this week we began construction on the expansion of our Business Technology Center, really an incubator for start-up companies growing out of our University. When completed in eight months, the size of the center will more than double.

This center is a wonderful example of how the University is building a strong partnership with government and the private sector to advance technology and benefit our economy. The state provided \$2 million for this expansion and the remaining \$3 million is coming from the private sector, including some companies that have successfully graduated from the Tech Center.

The expansion doubles the size of the Tech Center, it doubles the number of companies that can participate in it, and it creates a prime space for biotechnology start-up companies. So far, 80 percent of the companies that have sprung from the Business Technology Center have become successful. That's an almost unheard of success rate for an incubator, and is great news for the Ohio economy.

Mr. Chairman and members of the Board, this gives you a small sample of the activities already underway in support of our Academic Plan. I look forward to reporting to you in the future on progress towards our lofty, but achievable goals. Thank you.

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SULLIVANT AWARD PRESENTATION

Mr. Skestos:

Ladies and gentlemen, once every five years, the Board of Trustees awards one of the University's highest honors – The Joseph Sullivant Medal Award – as a memorial to the eminent services of Joseph Sullivant who, as a member of the first Board of Trustees, contributed significantly in determining the character and the future of The Ohio State University.

The award procedure was established by the Board in 1920 through a gift to the University by one of its first faculty members – Thomas C. Mendenhall. The medal is accompanied by a \$10,000 prize and is awarded to recognize only the most exceptional achievements by Ohio State alumni, former students, or faculty, in that order.

At this time, I would like President Kirwan to officially announce the winner of the 2000 Joseph Sullivant Medal. Mr. President --

President William E. Kirwan:

Thank you, Mr. Chairman. The Sullivant Medal is Ohio State's most prestigious award. In fact, we think of it as Ohio State's "Nobel Prize."

As Chairman Skestos mentioned, it's given once every five years to an alum or a faculty member for distinguished contributions to his or her chosen profession. The past 15 winners span the spectrum of disciplines in the sciences, arts, and humanities. All have been leading figures in their fields, and all have made a tremendous impact on our world.

In 1923, the first recipient was Benjamin Lamme, an internationally renowned inventor and engineer who held more than 160 patents. Our College of Engineering's Benjamin Lamme Medal is named in his honor.

Other early recipients included: Charles Kettering, inventor of the first electrical ignition system, the self-starter for automobile engines, and 140 other patents; and Arthur Schlesinger, the historian and author who won the Pulitzer Prize for his books *The Age of Jackson* and *A Thousand Days*.

More recently, Sullivant Medal recipients have included: Robert Zollinger, one of the giants of American surgery; John Kraus, one of the country's leading radio telescope pioneers; Nobel Laureate and physicist William Fowler; and, in 1995, internationally recognized cancer researcher Thomas Dougherty, who developed a number of cancer treatments that have helped patients around the world.

This year's recipient has a remarkable record of accomplishment and is a most welcome addition to our past Sullivant Medal winners. Charles A. Csuri is truly a pioneer and a giant in his field. He is widely known as the father of computer animation and, more than anyone else, he created this rapidly growing industry. His students have made possible recent movies such as *Titanic* and *Toy Story*. He is a visionary and a great example of the tremendous impact that Ohio State alumni and faculty make around the globe.

Here to tell us a little more about Chuck Csuri is Judith Smith Koroscik, dean of the College of the Arts. As Judith comes forward, I would just like to mention one other remarkable fact about Chuck Csuri.

Some of you may know that he came to Ohio State on a football scholarship, and became an art major while he was a student here. But he was not just another

November 3, 2000 meeting, Board of Trustees

SULLIVANT AWARD PRESENTATION (contd)

President Kirwan: (contd)

football player – he was an All-American football player, played on a national championship football team, and was recently selected for the All-Century Buckeye Football Team. A truly remarkable individual. Judith --

Dean Judith S. Koroscik:

Thank you. I'd like to thank the Board of Trustees for making this outstanding opportunity possible to recognize Chuck Csuri's many professional accomplishments and contributions to Ohio State. It's a great pleasure and privilege for all of us in the College of the Arts to have one of our own receive this very special honor.

This recognition is particularly significant in light of our current environment. With Ohio State's push to attain higher academic goals -- and with the State of Ohio's ambitious economic development plans, many of which hinge upon breakthrough research and new media technologies -- it is appropriate and exciting that this distinguished artist, professor emeritus, and founding director of the Advanced Computing Center for the Arts and Design be recognized for his long history of innovative contributions to the world of computer art, animation, and visualization.

In the many roles he has played throughout his career, Chuck Csuri has paved the way for a future that most of us never dreamed of. What was once unimaginable to everyone, except Chuck Csuri of course, has now become life-altering reality that has touched all of us.

Chuck has never been hesitant to push boundaries and to go where no artist has ever gone before. Even all the way to the National Science Foundation for funding – the first artist ever to be funded by NSF.

Chuck is passionate about his work and he has a way of attracting others to join in. His passion and persistence has drawn faculty and students from many disciplines, both inside and outside the arts, including the Departments of Art Education, Design, Music, Dance, Physics, Electrical Engineering, Computer Science, and more. With vision and determination, Chuck has advanced entirely new directions of research and artistic opportunities. He has done so by creating interdisciplinary partnerships that were simply unheard of in the 1960s when he began to explore computer visualization. Such collaboration is still uncommon at most universities today.

Chuck's students have been the greatest beneficiaries of his pioneering spirit. Our graduates have gone on to contribute to the nation's new economy. As a direct result of Chuck's influence, our alumni work in a wide array of new media fields, in both artistic and technical capacities, ranging from software development at Microsoft and IBM to digital effects for feature films, from the original *Star Wars* to the *Titanic*, and to the blockbusters of tomorrow. It's virtually impossible to see any feature film today that has not been touched by the hand of one of Chuck's former students.

Chuck has led many others worldwide towards computer visualization technologies for a whole host of artistic, scientific, and medical applications, which no one ever thought possible just a few short years ago. Chuck has literally opened up new worlds to us, our faculty and, most importantly, to our students.

November 3, 2000 meeting, Board of Trustees

SULLIVANT AWARD PRESENTATION (contd)

Dean Koroscik: (contd)

Professor Emeritus Charles A. Csuri is an artist, an educator, and a digital pioneer that any university would be most proud to call its own. We will now have a moment to see a short video of some of Chuck's work and that of his students.

[Video Presentation]

President Kirwan:

Mr. Chairman, I'd like for you to join Dean Koroscik and me at the front of the room to present the Sullivant Medal to Professor Charles Csuri. [Presentation of Sullivant Medal and \$10,000.00 award.]

Professor Csuri:

I'm a little bit overcome. I really owe this award to about 200 people -- staff, faculty, and former students that have gone on to do much of what you've seen here. It's a very nice feeling to know that they're out there doing very creative things.

There is one thing that probably is not very well known. I didn't mention this the other night; I'd forgotten about it. The National Science Foundation did an internal review a few years ago, and they determined that the computer graphics research group at The Ohio State University had the most impact on the field of computer graphics. That was a nice feeling. It's not the kind of thing that NSF publicizes, but the program director shared that information with me.

I find it very exciting the state at which we are in terms of technology and the kind of things that are coming down the road. I hope we can continue to do things that are creative, exciting, and have a sense of fun about them. Thank you very much.

Mr. Skestos:

Thank you, Professor Csuri. It is really an honor to have a person of your caliber as a member of the OSU family.

President Kirwan:

Mr. Chairman, if I might, I'd like to recognize Chuck's wife, Lee Csuri, who is also a very distinguished artist in her own right. Lee, would you please stand so we can recognize you?

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PRESENTATION ON DIVERSITY

Mr. Skestos:

I would now like to call upon Provost Ed Ray, to introduce the presentation on diversity.

PRESENTATION ON DIVERSITY (contd)

Provost Ray: (contd)

Thank you, Mr. Chairman. As President Kirwan noted in his remarks earlier, the University is deeply committed to becoming a vibrant and diverse community.

Our goals and their rationale have been discussed in the Diversity Action Plan, the Academic Plan, the Affirmative Action Committee Interim Report, and in a memo to the campus that President Kirwan and I recently distributed. That memo included a list of actions to advance our diversity agenda, including: the creation of a Diversity Council, a lecture series featuring 16 nationally recognized experts; seed funding for an Institute for the Study of Race and Ethnicity in the Americas; creation of a multicultural center in the Ohio Union, as well as a series of initiatives to improve climate on campus; and to recruit and retain top faculty and students. We have very high expectations and the University is experiencing a great deal of momentum in this area.

Two of the most important initiatives that have brought us to this point trace their roots back 30 years, and we would like to take the time this morning to acknowledge and celebrate their accomplishments. The Office of Minority Affairs was founded in 1970 to assist in the recruitment, retention, and graduation of racially and ethnically diverse students. It has played and will continue to play a central role in helping the University achieve its diversity and community goals.

The Department of African American and African Studies, formerly the Department of Black Studies, also was created in 1970. Over the years, it has contributed greatly to the richness of intellectual discourse, learning, and scholarship at this University and elsewhere.

At this time, I would like to invite Dr. Mac Stewart, interim vice provost for Minority Affairs, and Dr. Ted McDaniel, interim chair of African American and African Studies, to join us at the table. We've asked each of them to speak for a few minutes on the achievements of their respective programs in the last three decades and their views of what the future might hold. Mac –

Dr. Mac A. Stewart:

Thank you very much, Provost Ray. I'm grateful to have the opportunity to make a few comments to the Board of Trustees concerning diversity, in general, and the Office of Minority Affairs, in particular.

When the major institutions in this country first reached out to students and faculty of color, they did so in the belief that these new students would be the primary beneficiaries of the traditional education the schools offered. Only slowly did these educators begin to discover that they had as much to learn, as they had to teach. That their historical constituency also secured unexpected benefits from education in a multicultural environment. Today, hundreds of colleges and universities recognize the educational value of diversity, and view student and faculty diversity as an essential resource for optimizing teaching and learning.

A review of OSU's early history shows that OSU reached out for minority participation several years ago. The University had its first African American member of the Board of Trustees in 1884, its first male African American graduate in 1892, and its first female African American graduate in 1905.

It was in the early 1970's, as the Provost indicated, that the University made a concerted effort to increase its participation of students and faculty of color. Ohio

PRESENTATION ON DIVERSITY (contd)

Dr. Stewart: (contd)

State has been among the leaders in producing African American Ph.D.s, and has graduated persons in a variety of disciplines who are making a significant contribution in their fields in this country and abroad.

This year we extended an invitation to our minority alums to return to old Columbus town as we celebrate their success and kick-off a year-long celebration of the 30th anniversary of the founding of the Office of Minority Affairs. Many have responded, and we have planned a weekend packed with activity, including a book discussion, lectures, receptions and, yes, a victory in Ohio Stadium. They are having meetings in their respective colleges as we speak.

As we look to the future, the goal of the Office of Minority Affairs will be to work with the many academic and academic support units to make Ohio State the most attractive place for the best prepared students in Ohio. We will do this by intensifying our work with the high schools, by linking with the minority communities and the state, by making use of the minority alumni -- many of whom are on campus today -- and increasing our visibility at community colleges in Ohio. We will work with the President and the Provost in promoting the Academic and Diversity Plans as well, in our effort to strive to become a model institution for diversity.

Thank you very much. Ted --

Dr. William T. McDaniel:

Thank you, Mac. Good morning. Over the past 30 years, the Department of African American and African Studies has contributed in a most significant way to the diversity and richness of The Ohio State University.

Since 1972, when it was known as the Department of Black Studies, the Department of African American and African Studies at The Ohio State University has offered an interdisciplinary curriculum for the study of the cultures and societies of Africa and its global Diaspora. Students are provided a unique opportunity to gain a better understanding of the black experience from a range of perspectives.

The idea of creating a department like ours at Ohio State was born during the civil rights movement of the 1960's and became a reality a few short years later. It has now flourished into a department with the largest full-time faculty in African American and African Studies in the United States.

From the beginning, the department has emphasized its commitment to taking education and service directly to the community. In 1972 the Community Extension Center was also started. It is located off-campus just minutes from downtown Columbus and provides its faculty and students with hands-on opportunities for working with people primarily in the near east side and black community.

In addition to a distinguished and dedicated resident faculty, the department has brought to Columbus world-renowned scholars, authors, political, cultural, and social leaders who have shared their ideas and expertise with students, not only in our department, but the campus at large. This long list of esteemed visitors includes Gwendolyn Brooks, Congressman John Conyers, Harold Cruise, Reverend Jesse Jackson, Ali Missouri, Wole Solyinka, and Cornell West, among many others.

PRESENTATION ON DIVERSITY (contd)

Dr. McDaniel: (contd)

Diversity occurs not only with people, but also with programs. The academic areas covered in the curriculum are widely varied and thorough in their exploration of the black experience. They include: global African history, politics, and society; the geography of Africa and of areas of large black populations throughout the world; a strong representation of the arts, from historical and contemporary literature to dance, music, theatre, and the visual arts; gender and race issues; post-colonial theory; and African languages, such as Swahili, Yoruba, and Zulu. I might add that we have the largest enrollment of students in Swahili outside of East Africa.

Through its language program, the department cooperates with the Center for International Studies and the operation of study abroad programs to African countries. This is a rewarding and unique opportunity for students to experience African life and cultures.

As was mentioned, this too is our 30th anniversary. It's a yearlong celebration. It began Homecoming weekend with a big kick-off celebration. We invited back to campus seven former students who were key players in the creation of the then Black Studies department back in the late 60's and early 70's. In addition to the students, we were able to get back to campus the dean of the College of Humanities, where Black Studies was founded. I can tell you -- for those of you who were and were not present -- it was a very rich and quite lively discussion about how this unit was created.

For the remainder of the year -- and it is a yearlong celebration -- we have three other major events that I want to talk about. We have a cultural series that provides a large number of concerts and recitals; a national symposium scheduled for May 3 – 5, 2001; and, in the late winter and early spring, we will have a month-long festival of African and African American cinema.

I might also tell you that our department has the largest critical mass of black faculty on campus. Historically, when you talk about diversity on this campus in the College of Humanities, you're talking about our department. That has to be known. Historically we have provided, in terms of racial diversity on this campus, the largest number of particularly African American faculty.

I might say that we are extremely excited about the initiative that we have taken on to really make this campus even more diverse. I hope the next time that we come together I can say that we have more than one department chairperson who is of color. As far as I know, I'm the only black department chairperson at this University. I'm not bragging – I'm saying that we have a way to go.

We applaud the University's efforts to diversify this campus. It will not be an easy task and we must stay the course. As a veteran of the civil rights movement of the late 60's and early 70's, I know firsthand how challenging change can be. However, I can assure you that we are doing the right thing and our values are in the right place. We must follow through now with the necessary resources, both financial and with human capital, to make our campus truly diverse.

The Department of African American and African Studies stands ready to assist in this great endeavor. Thank you.

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PRESENTATION ON DIVERSITY (contd)

Provost Ray:

Mr. Chairman and members of the Board, I'm sure both Drs. Stewart and McDaniel would be happy to entertain any questions you have.

Mr. Skestos:

Any questions from any members of the Board? Thank you very much. We will be making progress and, hopefully, next year you'll see some more African Americans in chair positions.

Provost Ray:

Mr. Chairman, before we proceed with a panel of speakers, we have a brief video we'd like to show you at this time.

[Video Presentation]

Provost Ray:

Now, we'd like to talk about other ways that our interest in diversity enriches the University and helps it to achieve its mission.

We have more than 100 academic programs, which greatly enhances our ability to address the world's complicated problems with teaching and research that takes advantage of our program diversity. Diversity of thought, background, and skill greatly enriches the learning experience of our students. Our ability to respond to the diverse aspirations and needs of the people of Ohio, advances our land-grant mission in real and tangible ways.

We've asked several colleagues to join us today to illustrate these points. As I introduce you, please come forward and take a place at the table.

Rattan Lal, professor in the School of Natural Resources, received the Distinguished Scholar Award in 1994 and delivered a Distinguished University Lecture in 2000. Professor Lal will discuss the ways his research has helped the economy in his native country, India, and other parts of the world.

Ron Overmyer, associate professor in the College of Food, Agricultural, and Environmental Sciences and director of the OSU Extension office in Bowling Green, and Francisco Espinoza, an OSU Extension program assistant in the Farm Labor Relations area, will discuss the University's service to migrant workers in Northwest Ohio. Graylyn Swilley, director of the Community Extension Center of the Department of African American and African Studies, will discuss outreach and service to residents of the Mount Vernon Avenue neighborhood. And, finally, Martha Garland, vice provost and dean of Undergraduate Studies, will discuss ways that our commitment to diversity benefits the undergraduate learning experience.

Rattan, would you like to start?

Professor Rattan Lal:

Thank you, Dr. Ray. I appreciate the opportunity to address the Board of Trustees.

PRESENTATION ON DIVERSITY (contd)

Professor Lal: (contd)

I've been fortunate in having experienced the living and working conditions in diverse social, cultural, ethnic, and biophysical environments around the world. I have lived and worked in Asia, Australia, sub-Saharan Africa, and, of course, the United States and North America. I have traveled to 55 countries, have had a wide variety of students from 22 countries, post-doctorate researchers from 10 countries, and here at Ohio State University, I have a host of visiting scientists from another 10 countries.

Experience in these diverse environments had a tremendous positive impact on my conceptual thinking and in pursuing the academic research programs. Having worked with small landholders -- one to five acres -- on the one side, and large farmers -- 200 to 2,000 acres -- in Ohio and North America, on the other side has provided me with a completely different perspective on the concept of sustainability and sustainable development. To small landholders of Asia and Africa, sustainability means food security at whatever the cost, and to their counterparts in North America it also means profitability, environment quality, and gender equity.

There are also some important similarities. Agricultural activities of the past have guided the emissions of greenhouse gases into the atmosphere on both a small and large scale in farming operations. Yet, adoption of recommended land use and agricultural practice can lead to carbon sequestration in both cases and substantially reduce the risk of accelerated greenhouse effect.

Perhaps other differences -- especially in relation to the resource availability to small farmers -- of the resource-poor farmers, their aspirations, and their ambitions are described by five points: 1) they make desperate attempts to carve out a meager living; 2) they accept drudgery in farm operations as a given; 3) they consider helplessness to be able to do anything than nothing about the serious problem of soil degradation and land stewardship as an act of God; 4) they accept lack of availability of good quality water for domestic and agricultural users as a norm; and 5) they take emission of greenhouse gases to the atmosphere through exploitive agricultural practices as a way of life. Yet, it doesn't have to be so. The technologies exist to address each of these problems and effectively alleviate these constraints.

It is this background and personal experience that has motivated me and has been a driving force as the central theme of my research for the last 35 years. Although a lot remains to be done, I am pleased that we have made substantial progress.

My professional goal has been to do whatever little I can to help alleviate the constraints of farmers, both large and small, both in Ohio and elsewhere, to achieve the sustainable production while enhancing the environment quality.

I must say that the professional accomplishment in any endeavor depends to a large extent on the work atmosphere. I've been fortunate, indeed, in seeing the best work atmosphere in such facilities that one could hope to receive anywhere in the world.

It's a great pleasure to work with colleagues in the School of Natural Resources, at the Ohio Agricultural Research and Development Center, and the College of Food, Agricultural, and Environmental Sciences and, of course, the University. At all levels, the administration has been to me, and to others, fair, just, supportive, facilitative, and highly appreciated.

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PRESENTATION ON DIVERSITY (contd)

Professor Lal: (contd)

I have many times felt that constraint, if any, to do anything I have ever wished to do is often myself. It is indeed a great honor to be a professor at this great center of learning and world-class institution called The Ohio State University. Thank you.

Professor Ronald L. Overmyer:

Thank you, Provost Ray, for the opportunity to address the Board of Trustees on the diversity of our program within Extension. The Ohio State University Extension Agricultural Business Enhancement Center is a regional office that was started in 1992. The office actually facilitates targeted programs for agricultural business enhancement and the one we're going to talk about today is the Farm Labor Relations Program that was started in July 1995. It's really an initiative that came through several agencies, one of the major ones being the Ohio Farm Bureau. At the time it was recognized that there was a need for farm labor management education, not only for the producers, but also for the farm laborer employees that were coming up from Florida, Texas, and other locations to service the vegetable industry -- particularly in Northwestern Ohio, but in other parts of the state. They also work in the food industry.

We were able to secure funding through the legislature in the State of Ohio to fund this program. As we looked at staffing the program, we said we needed to be sensitive to the needs of farm employers and also of the farm laborer if this program was going to work. We also needed to develop a human resource management system that would benefit both.

Therefore, as we look at staffing, we have Tom Sachs, an Extension associate, who has a farm employer background, and we have Francisco Espinoza -- who is with me today -- a program assistant who comes from a labor background. As we work on programming with growers, Francisco is very important in bringing in and helping the farm employers understand the labor aspect, and vice versa, Mr. Sachs helps the laborers understand the grower aspect.

We have asked Mr. Espinoza to spend some time telling you what types of things he's been working on. Before he does that, Dr. Keith Smith also wanted me to recognize another program that Extension just initiated this June -- Latino Outreach. The Latino Outreach Program -- located on the west side of Columbus -- is being managed by Erika Shell Castro. Erika, if you would please stand. So we are expanding our diversity programs.

Francisco, why don't you give some background on what you work on?

Mr. Francisco Espinoza:

Provost Ray and everyone, thank you for this opportunity to address you. I will discuss how Extension is helping Ohio State in its diversity effort to the Farm Labor Relations Program supporting Ohio's migrant and seasonal population.

The program has three major areas: the first one is an inter-agency network which promotes the availability of quality-of-life services. Our program is a member of FALCON -- Farm Worker Agencies Liaison Communication and Outreach Network. This is a network of service agencies with programs and supportive services for farm workers and growers like Migrant Ed, Head Start, employment and training, religion, and health and medical programs.

PRESENTATION ON DIVERSITY (contd)

Mr. Espinoza: (contd)

One example of the networking is Margie Griffiths, from Family and Consumer Sciences at the Northwest District Office. She works with the Ohio Migrant Education Center and the Presbyterian Synod of the Covenant during the summer to provide two weeks of summer health fairs for the migrant families and their children.

Farm Labor Relations also networks with other agencies outside FALCON who serve minorities -- Aurora Gonzalez Center and the State Commission on Minority Health are among some of those. We also do interstate efforts to provide a continuity of contact with the laborer who goes back to Florida and Texas. Most of our efforts have been through networking with Texas.

We have an Ohio Migrant Rest Center in Liberty Center, Ohio, and that center networks with the Hope Arkansas Migrant Rest Center because they can help refer migrant workers to the Midwest, to Ohio, to the agricultural jobs and the labor force that we need. They provide them with information that we supply about Ohio jobs and the supportive services that are an underbelly of the participation of farm workers here in Ohio.

We have also participated in what we call the "Texas Meet and Greet Conferences" for the past two years. We partner with agencies in Texas to provide farm workers with information about jobs and service programs that will be available the coming season here in Ohio and Michigan. We also partner with Michigan on this. Part of that experience was a Texas health conference last year that provided farm labor relations contact with over 200 farm workers in the Rio Grande Valley. We can't get into recruitment, but we certainly have to inform them of what's up here -- 1,300-1,500 miles away -- before they make that trip. It's also a help to the Ag employers to maintain continuity with their labor. The next conference that we're invited to is projecting 600-700 participants attending, so we're looking at that, too.

We work with Michigan and Ohio monitoring advocates on these above activities, but we've also worked with them on implementing web sites geared to the Ag employers here in Ohio and Michigan, and to the farm workers, wherever they may be. If it's the Internet, we can reach them anywhere and it also helps us disperse this information.

We also try to monitor national efforts and legislation, which may eventually affect farm workers and employers. Some of the Ohio issues right now are with the H2A program in immigration. We look at those issues to see how they'll effect the work force and Ag operations.

Finally, we work directly with Ohio agricultural employers. One of our main emphases in the beginning and continuing is regulatory compliance. There are all kinds of rules and regulations that those who employ migrant and seasonal farm workers have to abide by, so we try to provide that information. Hopefully, it will influence the farmers so they can provide positive living and working conditions for the migrant and seasonal laborer.

Another thing we do is promote the interaction of agricultural employers with farm worker service agencies here in Ohio. This will help them better understand what these services are and avail themselves of these services for themselves and their laborers.

PRESENTATION ON DIVERSITY (contd)

Mr. Espinoza: (contd)

Also, Dr. Bernie Erven urged us to broaden our scope. We started with the vegetable and fruit crops, but we've also been looking at the dairy labor which might be another area. Just recently the nursery and landscaping areas also have an Hispanic work force.

So on all these fronts, we try to provide educational programs on the culture, the demographics, priorities, and concerns of these Hispanic workers. Thereby trying promote better relations and understanding between labor and management. Thank you.

Dr. Graylyn Swilley:

Good morning, and thank you for this opportunity to talk about the Community Extension Center. Our mission is to enhance the quality of life for residents and students living in the Mount Vernon district. We have been a part of the pioneering of diversity since 1972. We're presently located in the heart of the city, and we're also a part of the EZ zone, which is a federally designated zone where low-income people primarily reside.

Currently the Center is experiencing tremendous growth and expansion of our staff and student involvement. Over the past two years, we've been able to put together educational outreach and computer literacy training that has been offered to a wide range of students and area residents. Those programs include: computer literacy training for seniors -- we have seniors only, 55 and older; a summer residential technology program for high school students; training for local businesses and churches; and multimedia sessions for youth.

More importantly, we've been able to engage a large resource of students from service learning. Through the College of Education, the English department, the Office of Minority Affairs, and from various other units we've had help in tutoring and working with local schools, particularly around the proficiency scores.

Our faculty research has included focus group discussions on the quality of life issues for area residents. This also included local and state government agencies to help look at what can be done to facilitate some better services to the city.

Our research recently has focused on the Somalian population, the new arrivals here in the city. We currently have a case study that looks at the impact of technology on the Welfare-to-Work recipients. Not necessarily on WordPerfect and Excel, but we look at technology in terms of how to access to the Internet and being able to look at opportunities to reduce their spending using E-commerce.

The other outreach and collaborative program we have is the HUD work study program that we work in conjunction with the Department of City and Regional Planning and the School of Public Policy and Management. We were awarded \$150,000 in that collaboration to have graduate students work in neighborhood and local government agencies to get a better feel on community development methods.

We currently have several opportunities that we've opened up to students. We recently hosted the Make-a-Difference Day at the center where the students adopted the neighborhood. We've now been doing a lot of community service

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Dr. Swilley: (contd)

work and we're really excited about the kind of work where outreach is happening.

I think that outreach is two-fold – we do it not just from the University, but from the neighborhood to the University, so there is this interdependence going on. It's just not us reaching out, but it's us reaching in to use some of the resources that are available here at the University.

So we are really excited about the opportunities, the positions for graduate research associates, and tutoring positions that are available for students to work in our after school initiatives. We're also currently looking at internships where we have high schools students being assigned and mentored with some of our faculty and graduate students.

We would like to invite you to visit, if you haven't been to the Community Extension Center. We're about 10 minutes from the University and five minutes from downtown. We opened up to neighborhood groups for board meetings and we'd surely like to invite you to have your Board meetings at our center in the coming future. Stop by and see that diversity has been working for some time in our neighborhood. And thanks to the resources that the University provides, we look forward to playing an even greater role. Thank you.

Dr. Martha Garland:

It's my opportunity to say a word about how the diversity of Ohio State is of benefit to our undergraduate students. I'd like to say first, probably less seriously, it's wonderfully fun, exciting, and enriching. I think that's very important, but I'm going to talk about two more serious benefits. One is the enhancement of the educational experience itself and the second one is the role of diversity as valuable preparation for life in our democracy and the global community of the 21st century.

With respect to intellectual growth -- what I'm going to say is based on a lot of good social psychology research and learning psychology, as well as my own observations during a period of being engaged in higher education for what I chillingly calculated this morning is now 40 years. I've begun to wonder about my suitability as the person to try to lead to timely graduation. In any case, I feel very confident this is true.

Learning is a very complicated process. When we find ourselves in a situation where we need to do it, as human beings we tend to try first to do it more or less passively, using familiar strategies, fitting new pieces of information into already formed frames. For learning to move forward rapidly, however, we need to be in an active mode, alert to new ideas, actually breaking our old mental mold, and being prepared to create new frameworks.

We move into this active mode most effectively in an environment of strangeness. When we are confronted with challenges to our stereotypes in any area, it is as if we open our mind up to other new news. It's as if once we've said to ourselves, "All is not as I thought;" we then go on to say, "I wonder if anything is as I thought?" And that's when real thinking begins.

So surrounding our students with people from different backgrounds is extraordinarily fruitful for their intellectual growth. In assessing this for yourselves, I'd ask you to think about your own college days, what you learned

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Dr. Garland: (contd)

just from meeting people whose families operated differently than yours, or who celebrated different religious holidays, or who came from parts of the country different from your own.

I remember meeting a cute boy from Miami Beach and we did the, "What's your name?" "What's your major?" "Where are you from?" And when I said, "Illinois," he said, "The Midwest, ugh!" I said, "What? Midwest? What's wrong with the Midwest?" And he said, "It's so boring, so conservative, and so flat!" I had always thought that the Midwest was God's selected country. I have returned to that view.

But it did open my thinking up to the possibility of other ways of doing business entirely. I think all of us have experiences like that, when we run into people just that slightly different from us. Then even more remarkable, think about the first time that you traveled abroad and how incredibly alive and active your mind was in that situation. So at an intellectual level, that's one of the very important things that we provide for our students.

The other issue is about preparing our students to live in the world that they're going to live in for our democracy here at home and in a global environment. Here at home, we know that our own country is becoming much more culturally and racially diverse. We've all heard the projected demographics for 2025 and 2050. Our students will work, vote, have neighbors, and form families in that new world. Our students must be able to negotiate comfortably with people from different cultural backgrounds. Fellow workers, and even bosses, will increasingly be members of cultures or genders different from those of their employees. And people expecting to be successful in the new economy, will need to be respectful and accepting of difference, much of which they can learn from their fellow students.

I don't want to pretend that that's always easy. But I'd like to remind us of an experience which I think is a metaphor for this kind of learning, and that's the prison experience on Robben Island during the Apartheid period in South Africa. The dominant white government in South Africa, during the days of Apartheid, imprisoned numbers of dissidents from various racial groups. Feeling confident that the racial and cultural differences between African blacks, the mixed race coloreds, and folks of Asian backgrounds would play on longstanding antagonisms and help keep the prisoners unhappy and ineffectual.

Instead something miraculous happened. Under the leadership of Nelson Mandela, the different groups worked through their differences. It was sometimes very hard to get along, but they saw that they could learn much from each other. Finally, when the system collapsed and the prison was emptied, it released a multicultural, multiracial group of very thoughtful future leaders for their nation, wonderfully educated by one another.

As we think about our students in the larger world, we are aware that already we live in a global village. If our students can be allowed to continue to harbor racial and cultural stereotypes about their fellow Americans, ask yourselves how they can possibly survive when they need to function in a range of societies around the world. I don't need to belabor this point and I won't. But I would want to say to you that this is not the future we're talking about, but today, right now. I'd like to ask you to think, not only about high level governmental exchanges or President Kirwan's trip to Asia, or our symbolic sister city relationships that

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Dr. Garland: (contd)

Columbus has formed around the globe. Instead, I'd ask you, once again, to turn to your own experience, which can't be much unlike my own.

My own daughter has lived, and will live much of the rest of her life, in various places on the African continent. My colleagues' children in the history department are engineers in Saudi Arabia and school teachers in Australia. A neighbor's child practices law in the south of France and a friend's daughter is a magazine editor in Paris. Global is not something that's coming, it's here, and Ohio State students must be educated to participate in it fully.

We are delighted with our diversity – racial, cultural, gender-based, economic, academic, and personal. We believe it to be a treasure that we provide our students. We want our diverse campus always to be welcoming and supportive and, most of all, educationally rich, so that the students of The Ohio State University can know that their institution cherishes them all, now and for their very bright futures.

Thanks for letting us talk to you today.

Provost Ray:

Thank you, Martha. Mr. Chairman, I'm sure that the panelists would be happy to respond to any questions the Board may have.

Mr. Skestos:

Any questions from members of the Board. Yes, Dimon?

Mr. McFerson:

Dr. Garland, if you were giving this presentation five years from now, what would you like to tell us then that you cannot tell us today?

Dr. Garland:

This was a sermonette and I think what you'd want is a report in five years -- although I do like to take the opportunities to give sermonettes.

I would like to see -- when we do surveys of student attitudes about their comfort levels on the campus -- less disparity between the comfort levels of students of color and white students. I would like to see the disparity in graduation rates between students of color and white students shrinking. I would like to hear students saying that they were having in their classroom experiences good opportunities in which their faculty members were welcoming and supportive of them. You do hear stories like that, but I'd like to hear more stories. I'd like to have people feeling that the campus was their campus.

I'd like more of our students to look like those four girls who are in the freshman Mount Scholar program. A program that's just worked wonderfully, in all kinds of ways, but one of the ways is that it was purposefully very diverse, so that those students actively learn from one another. I'd like to see more of that.

I see a lot of things now that are wonderful, and I'd like them just multiplied and expanded. I hope that's responsive.

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Mr. Skestos:

Any other questions?

Ms. Hendricks:

Do we have diversity living/learning environments?

Dr. Garland:

People who've chosen to live together specifically on the basis of diversity?

Ms. Hendricks:

Yes.

Dr. Garland:

The Mount Scholar program does imply it, because it's about leadership and community service. One of its sub-goals is to be a very diverse community, so that one is expressly diverse.

We have, and have had at Ohio State for years, a residence hall that's called International House, in which the students are roommate pairs -- one American student and one student from abroad. That is a wonderfully rich environment.

We have an African American floor in one of the residence halls. The students don't have to be African Americans, but most of the students there are. That might seem undiverse to you, but, in fact, I think it speaks to support diversity on campus. It provides a very welcome answer to a culturally-based safe location for a group of students who feel that that's an important point.

We have an international studies living/learning center, in which the students are more than usually diverse because that subject matter interest appeals to people. It's perfectly possible to say if you are specifically seeking cultural, racial, and gender and other kinds of diversity, we can put together a living/learning floor for your living/learning center view. I'm quite willing to think about that. That seems like a reasonable question.

Mr. Skestos:

Any other questions? Thank you all very much.

Provost Ray:

Mr. Chairman, that concludes our presentation.

Mr. Skestos:

Thank you, Provost Ray.

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STUDENT RECOGNITION AWARD

Mr. Filiatraut:

The recipient of this month's Student Recognition Award is no stranger to public service, scholarship, and hard work. Her name is Edith Kang, and she has been nominated by the dean of the College of Dentistry for this award. Incidentally, Dean Fields is present today to see Edith receive this award.

In 1996 Edith graduated from Case Western Reserve University with a B.A. in anthropology, with a health science focus in chemistry. She spent two summers studying immunology and medical anthropology, one of which she spent in Korea.

Currently, Edith is a fourth-year student in the College of Dentistry. While working toward her degree, Edith has supplemented her studies by working in two different clinical externships – one summer as a dental assistant and two summers in a student summer research program.

She has worked closely with the faculty from the College of Dentistry, and she has contributed to seven different abstracts that were submitted for publication to the American Association of Dental Research and the International Association of Dental Research. Four of these abstracts were presented to conferences in Vancouver and Washington, DC, and one was given at the Post College Assembly given here at Ohio State. Additionally, she is listed as a co-author of an article entitled, "Evaluating Porcelain Color Match of Different Porcelain Shade Matching Systems," which was accepted by the *Journal of Aesthetic Dentistry* two months ago.

While doing all this academic work, Edith has found time to serve her fellow students as a two-time class treasurer, a two-year student representative to the Alumni Board of Governors for the college, and as president of the Student Government Association of the college, which calls for her to be on the curriculum committee and the executive committee of the college. Being a former participant in student government myself, I know that these are not small achievements by any means, even when compared to the type of academic success Edith has had thus far in her career at Ohio State.

On a final note, I would like to quickly mention an event that occurred earlier this week and how it relates to students like Edith who are here at Ohio State. On Monday, United States Secretary of Defense William Cohen was here at Ohio State to speak to faculty and students as the first of a series of speakers to be sponsored by the John Glenn Institute for Public Policy. At a dinner held for him that evening by Senator Glenn, Secretary Cohen spoke to the small crowd of guests about the need for young people to realize the need for continuing education and to be critical lovers rather than unloving critics of government and public service. I think he would have had it in mind to think of students like Edith, who put so much time and effort into representing and leading students while working toward a degree in a lifetime of learning.

Edith, you truly represent the students of Ohio State well and we wish you continued success as you work your way toward graduation. Please join me, on behalf of the Board of Trustees, in giving Edith a nice round of applause.

Ms. Edith Kang:

I would like to thank the Board of Trustees, this is such an honor. I was quite surprised when I learned about this. I would also like to thank Dean Fields, from the College of Dentistry, for nominating me for this award. I'm also pleased to

November 3, 2000 meeting, Board of Trustees

STUDENT RECOGNITION AWARD (contd)

Ms. Kang: (contd)

say that right after this I'll be going to attend a minority luncheon, which you just discussed earlier today. Thank you.

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CONSENT AGENDA

President William E. Kirwan:

We have 13 resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend these for the Board's approval:

**CREATION OF THE JOHN GLENN INSTITUTE FOR PUBLIC SERVICE
AND PUBLIC POLICY**

Resolution No. 2001-48

WHEREAS the vision of the proposed John Glenn Institute for Public Service and Public Policy is broad, and interdisciplinary, and represents a unique opportunity for the University to stimulate research and to conduct outreach in public service and public policy; and

WHEREAS the proposed Institute will provide students with opportunities to participate in public service initiatives conducted both on and off campus, will provide individuals already in public life with specialized training in public service, and will foster research and scholarly initiatives on public service; and

WHEREAS the proposed Institute will stimulate research in public policy by providing opportunities for faculty research, by creating public policy focus groups, and by sponsoring symposia and seminars; and

WHEREAS the internal and external letters of support note that the proposed Institute will be distinguished from other centers around the nation by the dual focus on both public service and public policy; and

WHEREAS the proposal satisfies the guidelines for establishment of academic centers and institutes (3335-3-36), including requirements for appropriate consultative processes, oversight, and evaluation; and

WHEREAS the proposed Institute was reviewed and approved by the Research and Graduate Council, the Council on Academic Affairs, and by the University Senate at its October 7, 2000 meeting:

NOW THEREFORE

BE IT RESOLVED, That the creation of the John Glenn Institute for Public Service and Public Policy is hereby approved, effective immediately.

CREATION OF A CENTER FOR BIOSTATISTICS

Resolution No. 2001-49

WHEREAS the past decade has witnessed an enormous growth of biomedical research, with biostatistical methods now being at the core of, for example, complex clinical trials and analysis of huge molecular data; and

WHEREAS the proposed Center for Biostatistics would bring together existing pieces of diverse biostatistical expertise, linking biomedical researchers and biostatisticians, and providing a single readily identifiable source of expertise for biomedical researchers from the university, as well as from throughout the State of Ohio; and

WHEREAS the proposed Center would provide both pre-and post-doctoral training in biostatistics, and would develop new educational programs in biostatistical methods; and

WHEREAS the proposed Center would provide public service and consulting to various health care agencies and private health care concerns; and

WHEREAS external review and internal letters of support indicate that the establishment of the Center appears to be a key step necessary for the achievement of national prominence; and

WHEREAS support for the Center has been received from numerous colleges and departments on campus, as well as from the Office of Research; and

WHEREAS this proposal has the unanimous support of the reviewing subcommittee and of the full Council; and

WHEREAS the proposal satisfies the guidelines for establishment of academic centers and institutes (3335-3-36) including requirements for appropriate consultation processes, oversight and evaluation; and

WHEREAS the proposed center was discussed and approved by the Research and Graduate Council, by the Council on Academic Affairs, and by the University Senate at its October 7, 2000 meeting:

NOW THEREFORE

BE IT RESOLVED, That the creation of the Center for Biostatistics is hereby approved, effective immediately.

NAMING OF THE HEART AND LUNG RESEARCH INSTITUTE

Resolution No. 2001-50

Synopsis: Naming of The Ohio State University Medical Center Heart and Lung Research Institute at 473 West 12th Avenue on the Columbus campus is proposed.

WHEREAS Dorothy M. Davis was in her lifetime one of The Ohio State University's most generous supporters and she and her husband, William H. Davis, created one of the first unrestricted medical research endowments at the University; and

WHEREAS Dorothy M. Davis contributed to found The William H. Davis Medical Research Center in her husband's memory; further, the William H. Davis Chair in the American Free Enterprise System in the Fisher College of Business and The Dorothy M. Davis Chair in Cancer

November 3, 2000 meeting, Board of Trustees

NAMING OF THE HEART AND LUNG RESEARCH INSTITUTE (contd)

Research at The James were established in 1976 and 1987 respectively. And, in memory of her stepson, William C. Davis, a gift was given to help construct the Bill Davis Baseball Stadium; and

WHEREAS Dorothy M. Davis was vitally interested in the future of the University, in the future of medical research, and in the future of higher education, and to honor and preserve her memory the William H. Davis, Dorothy M. Davis and William C. Davis Foundation has contributed \$10 million towards the operation, the programs and, most importantly, the endowment of the Heart and Lung Research Facility:

NOW THEREFORE

BE IT RESOLVED, That the aforementioned facility be named, "The Dorothy M. Davis Heart and Lung Research Institute," effective immediately.

NAMING OF THE SPIRIT OF WOMEN PARK

Resolution No. 2001-51

Synopsis: Naming of the Spirit of Women Park located in the grassy area surrounded by Dodd Hall, McCampbell Hall, Cramblett Hall and Atwell Hall on the Columbus campus is proposed.

WHEREAS Chlois G. Ingram in her lifetime had a long-standing, meaningful relationship with University Hospitals as a "gray lady" volunteer; and

WHEREAS the Ingram Family has supported The Ohio State University by generously contributing to areas such as the College of Medicine and Public Health, the Fisher College of Business, the College of Education, and the College of Food, Agricultural, and Environmental Sciences; and

WHEREAS Chlois G. Ingram set very high standards by giving generously of her time and means to Ohio State and the Columbus community. To honor and preserve her memory, the Ingram Family has contributed \$300,000 towards the construction of a park dedicated to all women who have made a difference in the lives of others:

NOW THEREFORE

BE IT RESOLVED, That the aforementioned park be named, "The Chlois G. Ingram Spirit of Women Park," effective immediately.

NAMING OF THE OHIO STATE UNIVERSITY BAND CENTER

Resolution No. 2001-52

Synopsis: Naming of The Ohio State University Band Center, located in Ohio Stadium at 411 Woody Hayes Drive on the Columbus campus, is proposed.

WHEREAS The Ohio State University has undertaken a project to relocate and enlarge the practice facility for The Ohio State University Marching Band and other student band organizations; and

November 3, 2000 meeting, Board of Trustees

NAMING OF THE OHIO STATE UNIVERSITY BAND CENTER (contd)

WHEREAS Mr. and Mrs. George Steinbrenner of Tampa, Florida, have been loyal and faithful followers and supporters of Ohio State programs, including the Marching Band; and

WHEREAS Joan Zieg Steinbrenner graduated from The Ohio State University and continues to be involved in the life of the University and the community; and

WHEREAS The Steinbrenners have contributed \$1.5 million toward the construction of the new Ohio State University Band Center:

NOW THEREFORE

BE IT RESOLVED, That the aforementioned facility be named "The Joan Zieg Steinbrenner Band Center at Ohio Stadium."

AMENDMENT TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2001-53

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on October 7, 2000:

Amended Rule

3335-9-04 Specific admission requirements.

- (A) Undergraduate student. For admission as a regular undergraduate student, an applicant must be a graduate of a high school (or equivalent preparatory school), or must have passed equivalent entrance examinations. For unconditional admission the applicant must present a minimum of fifteen high school units distributed in accordance with a preparatory curriculum approved by the university faculty and must meet the special requirements of the college or school the applicant desires to enter, subject to such deficiencies (or conditions) as that college or school may permit (see also rule 3335-9-24 of the Administrative Code). The preparatory curriculum is not required for the unconditional admission of regular undergraduate students with high school graduation FIVE OR MORE YEARS prior to April 1, 1984 THE FIRST QUARTER OF ENROLLMENT.

Balance unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty and Bylaws of the University Senate be adopted as recommended by the University Senate.

November 3, 2000 meeting, Board of Trustees

HONORARY DEGREE

Resolution No. 2001-54

Synopsis: The awarding of an honorary degree to Jo Ann Davidson is recommended for approval.

WHEREAS pursuant to paragraph (B)(4) of rule 3335-1-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommended to the Board of Trustees awarding of an honorary degree as listed below:

Jo Ann Davidson

Doctor of Public Administration

NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipient.

PERSONNEL ACTIONS

Resolution No. 2001-55

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the October 6, 2000 meeting of the Board, including the following Appointments/Reappointment, Leaves of Absence Without Salary, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center and The Ohio State University Hospitals East) approved September 28, 2000, by The Ohio State University Hospitals Board, and the Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) approved October 4, 2000, by The James Cancer Hospital Board, be ratified.

Appointments

Name: DEBORAH JONES MERRITT
Title: Director
Institute: The John Glenn Institute for Public Service and Public Policy
Term: November 4, 2000, through June 30, 2003
Concurrent
Appointment: The John Deaver Drinko – Baker & Hostetler Chair in Law and Professor, College of Law

Name: ILEE RHIMES, JR.
Title: Chief Information Officer
Office: Information Technology (OIT)
Effective: November 15, 2000
Present Position: Vice Chancellor for Information Technology and CIO of City Colleges of Chicago

Reappointment of Director

July 1, 2000 through June 30, 2001

Veterinary Hospital

Richard M. Bednarski

November 3, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Leaves of Absence Without Salary

M. ISMAIL ELNAGGAR, Professor, Department of Electrical Engineering, effective Autumn Quarter 2000, for personal reasons.

ARKADY A. TSEYTLIN, Professor, Department of Physics, effective Winter Quarter 2001, to do research at the Imperial College in London, England.

Emeritus Titles

FREDERICK P. MILLER, School of Natural Resources, with the title Professor Emeritus, effective November 1, 2000.

PETER W. PAPPAS, Department of Evolution, Ecology, and Organismal Biology, with the title Professor Emeritus, effective November 1, 2000.

KENNETH J. WALDRON, Department of Mechanical Engineering, with the title Professor Emeritus, effective November 1, 2000.

EUGENE J. WATTS, Department of History, with the title Associate Professor Emeritus, effective January 1, 2001.

Medical Staff Appointments (The Ohio State University Medical Center)

September 2000

Firas Al-Habaj, D.D.S., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2001
Dimitrios C. Angouras, M.D., Surgery, Fellowship 1st Year, 09/01/2000 - 06/30/2001
William J. Baldwin, D.D.S., Dentistry, Attending, 09/13/2000 - 06/30/2001
Jody L. Ball, D.D.S., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2001
Ruben A. Bogin, M.D., Surgery, PGY1 Limited, 07/01/2000 - 06/30/2001
Daniel L. Bower, D.M.D., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2001
Darrin L. Bright, M.D., Family Medicine, PGY4 Limited, 09/01/2000 - 06/30/2001
Dorrie C. Cappelletti, M.D., Surgery, PGY1 Limited, 07/01/2000 - 06/30/2001
Yiu-Chung Chan, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2001
Todd E. Chertow, M.D., Surgery, PGY1 Limited, 07/01/2000 - 06/30/2001
Maria Riza B. Conroy, M.D., Family Medicine, PGY1 Limited, 07/01/2000 - 06/30/2001
Elizabeth A. Cook, Ph.D., Physical Medicine and Rehabilitation, Attending/Phd, 07/01/2000 - 06/30/2001
Jeanne L. Cortez, M.D., Internal Medicine, PGY1 Limited, 07/01/2000 - 06/30/2001
Sarah M. Cowgill, M.D., Surgery, PGY1 Limited, 07/01/2000 - 06/30/2001
Karekin R. Cunningham, M.D., Surgery, PGY1 Limited, 07/01/2000 - 06/30/2001
Jamie A. Dale, M.D., Orthopaedic Surgery, PGY2 Limited, 07/01/2000 - 06/30/2001
John C. Davis, M.D., Otolaryngology, PGY1 Limited, 07/01/2000 - 06/30/2001
Victor V. Dizon, D.O., Surgery, PGY4 Limited, 06/01/2000 - 06/30/2001
John S. Duffy, D.O., Family Medicine, PGY1 Limited, 07/01/2000 - 06/30/2001
Bakri H. El-Sheikh Mohamed, M.B.B.S., Internal Medicine, PGY1 Limited, 07/01/2000 - 06/30/2001
Shella Farooki, M.D., Radiology, Attending, 09/13/2000 - 06/30/2001
Mari C. Fukami, D.M.D., Dentistry, PGY2 Limited, 07/01/2000 - 06/30/2001
Jonathon C. Henry, M.D., Orthopaedic Surgery, Community Affiliate Physician, 09/13/2000 - 06/30/2001
June A. Hinkle, C.N.P., Internal Medicine, Licensed Healthcare Professional, 09/13/2000 - 06/30/2001
Jonathan R. Honegger, M.D., Internal Medicine, PGY1 Limited, 07/01/2000 - 06/30/2001
Mark S. Hopkin, M.D., Family Medicine, PGY1 Limited, 07/01/2000 - 06/30/2001
Jorge L. Inga, M.D., Family Medicine, PGY2 Limited, 07/01/1999 - 06/30/2001

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

September 2000 (contd)

Norma J. Jackson, D.O., Anesthesiology, PGY2 Limited, 07/01/2000 - 06/30/2001
Baljeet K. Jammu, M.D., Psychiatry, PGY1 Limited, 07/01/2000 - 06/30/2001
Andrew Jimerson, M.D., Surgery, PGY1 Limited, 07/01/2000 - 06/30/2001
John M. Johnson, D.O., Obstetrics and Gynecology, PGY3 Limited, 07/01/2000 - 06/30/2001
Ekaterina N. Kazakova, D.D.S., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2001
Daniel L. Kerlan, D.D.S., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2001
Devin A. King, M.D., Ophthalmology, PGY1 Limited, 07/01/2000 - 06/30/2001
Miriam H. Klaiman, M.D., Neurology, PGY2 Limited, 07/01/2000 - 06/30/2001
Kevin R. Krause, M.D., Surgery, Attending, 07/01/2000 - 07/31/2001
Jeffery C. Laubenthal, M.D., Family Medicine, Fellowship 1st Year, 09/13/2000 - 06/30/2001
Tracy L. Lawrence, M.D., Pediatrics, PGY1 Limited, 07/01/2000 - 06/30/2001
Diane M. Lemay, M.D., Pediatrics, Attending, 09/13/2000 - 06/30/2001
Karen E. Lerhaupt, M.D., Ophthalmology, PGY1 Limited, 07/01/2000 - 07/01/2001
James P. Malone, M.D., Otolaryngology, PGY1 Limited, 07/01/2000 - 06/30/2002
Geetha A. Menezes, M.B.B.S., Pathology, PGY7 Limited, 07/01/2000 - 06/30/2001
Marc P. Michalsky, M.D., Surgery, Fellowship 1st Year, 07/01/2000 - 06/30/2002
Chadwick D. Miller, M.D., Emergency Medicine, PGY1 Limited, 07/01/2000 - 06/30/2002
Marcus R. Miller, M.D., Surgery, PGY3 Limited, 08/01/2000 - 06/30/2002
Valerie C. Nanagas, M.D., Emergency Medicine, PGY1 Limited, 07/01/2000 - 06/30/2002
Jeffrey D. Neal, D.D.S., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2002
Jose J. Norberto, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
Jan E. Perry, MD, Pediatrics, Courtesy, 09/13/2000 - 06/30/2002
Manju L. Prasad, M.D., Pathology, Attending, 08/08/2000 - 06/30/2002
Arthur Przebinda, M.D., Neurology, PGY2 Limited, 07/01/2000 - 06/30/2002
Linda M. Rainey, CRNA, Anesthesiology, Licensed Healthcare Professional, 09/13/2000 -
06/30/2002
Christiana R. Rajasingham, M.D., Pediatrics, PGY1 Limited, 07/01/2000 - 6/30/2002
Nithya Ramanathan, M.D., Psychiatry, PGY1 Limited, 07/01/2000 - 06/30/2002
Michael S. Ramsey, D.O., Psychiatry, PGY3 Limited, 07/01/2000 - 06/30/2002
Ryan S. Reeves, M.D., Physical Medicine and Rehabilitation, PGY2 Limited, 07/01/2000 -
06/30/2002
Gerald M. Rosenberg, M.D., Orthopaedic Surgery, Attending, 09/13/2000 - 06/30/2002
Anjana Samadder, M.D., Internal Medicine, Attending, 09/13/2000 - 06/30/2002
Manish Saran, M.D., Family Medicine, PGY1 Limited, 07/01/2000 - 06/30/2002
Andrew M. Shirk, D.O., Anesthesiology, PGY2 Limited, 07/01/2000 - 06/30/2002
Melitta D. Simmons, D.P.M., Orthopaedic Surgery, PGY1 Limited, 07/01/2000 - 06/30/2002
Suellywn Stewart, M.D., Family Medicine, PGY1 Limited, 07/01/2000 - 06/30/2002
Arthur Thalassinos, M.D., Psychiatry, Attending, 08/15/2000 - 06/30/2002
Tolga H. Tuncer, M.D., Radiology, Fellowship 1st Year, 07/01/2000 - 06/30/2002
Manuel A. Valerin, D.D.S., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2002
Meade C. Van Putten, D.D.S., Dentistry, Attending, 09/13/2000 - 06/30/2002
John A. Vaughn, M.D., Family Medicine, Community Affiliate Physician, 09/13/2000 - 06/30/2002
Sean M. Vellucci, M.D., Pediatrics, PGY1 Limited, 07/01/2000 - 06/30/2002
Peter Wang, M.D., Anesthesiology, PGY2 Limited, 07/01/2000 - 06/30/2002
Charles D. Washington, M.D., Emergency Medicine, PGY1 Limited, 07/01/2000 - 06/30/2002
Rudyard C. Whipps, D.D.S., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2002
John H. Winston, M.D., Surgery, Attending, 08/30/2000 - 06/30/2002
Yun Xia, M.D., Anesthesiology, Attending, 08/01/2000 - 06/30/2002
Claire A. Yoo, D.D.S., Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2002

November 3, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center)

August/September 2000

Andrew S. MacDowell, M.D., Internal Medicine, PGY2 Limited, 07/01/2000 - 06/30/2002
Jeffrey D. Madden, Ph.D., Physical Medicine and Rehabilitation, Attending/Phd, 07/01/2000 - 06/30/2002
Christopher J. Madden, M.D., Surgery, PGY5 Limited, 07/01/2000 - 06/30/2002
Douglas M. Magorien, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Raymond D. Magorien, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Tasos Manokas, D.O., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Uma U. Marar, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Guido Marcucci, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
William L. Marsh, M.D., Pathology, Attending, 07/01/2000 - 06/30/2002
Clay B. Marsh, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Daniel J. Martin, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2002
Larry C. Martin, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
Charles E. Martin, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Linda J. Masone, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Velimir Matkovic, M.D., Ph.D., Physical Medicine and Rehabilitation, Attending, 07/01/2000 - 06/30/2002
Rebecca J. McCrery, M.D., Obstetrics and Gynecology, PGY2 Limited, 07/01/2000 - 06/30/2002
Wanda L. McEntyre, Ph.D., Physical Medicine and Rehabilitation, Attending/Phd, 07/01/2000 - 06/30/2002
John M. McGregor, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
Donald L. McNeil, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Mitchell A. Medow, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Donald F. Middendorf, M.D., Internal Medicine, Courtesy, 07/01/2000 - 06/30/2002
Dean Jiro Mikami, M.D., Surgery, PGY3 Limited, 07/01/2000 - 06/30/2002
William B. Miles, M.D., Ophthalmology, PGY2 Limited, 07/01/2000 - 06/30/2002
Robert J. Miller, D.O., Anesthesiology, Attending, 07/01/2000 - 06/30/2002
Michelle Ann Miller, M.D., Physical Medicine and Rehabilitation, Attending, 07/01/2000 - 06/30/2002
Kevin S. Miller, M.D., Internal Medicine, PGY3 Limited, 07/01/2000 - 06/30/2002
Michael E. Miner, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
William F. Miser, M.D., Family Medicine, Attending, 07/01/2000 - 06/30/2002
Shana N. Miskovsky, M.D., Orthopaedic Surgery, PGY4 Limited, 07/01/2000 - 06/30/2002
J. Paul Monk, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2000 - 06/30/2002
Constance R. Moore, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Patty A. Morgan, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Jennifer A. Morrison, M.D., Ophthalmology, PGY2 Limited, 07/01/2000 - 06/30/2002
Perry D. Mostov, D.O., Family Medicine, Attending, 07/01/2000 - 06/30/2002
Ewa Mrozek, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Charles F. Mueller, M.D., Radiology, Attending, 07/01/2000 - 06/30/2002
Lawrence G. Murcko, M.D., Internal Medicine, Community Affiliate Physician, 07/01/2000 - 06/30/2002
Robert A. Murden, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Padma Chowdary Nadella, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2000 - 06/30/2002
Norris S. Nahman, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Komal Narula, M.D., Obstetrics and Gynecology, Courtesy, 07/01/2000 - 06/30/2002
Steven M. Nash, M.D., Neurology, Attending, 07/01/2000 - 06/30/2002
Bradley Needleman, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
Steven D. Nelson, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002

November 3, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

August/September 2000 (contd)

Allan J. Nichols, M.D., Internal Medicine, Courtesy, 07/01/2000 - 06/30/2002
Christopher W. Nicholson, M.D., Orthopaedic Surgery, PGY1 Limited, 07/01/2000 - 06/30/2002
Julie A. Niedermier, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2002
Mary-Lynn Niland, M.D., Pediatrics, PGY3 Limited, 07/01/2000 - 06/30/2002
Gerard J. Nuovo, M.D., Pathology, Attending, 07/01/2000 - 06/30/2002
Autumn J. O'Brien, M.D., Internal Medicine, Community Affiliate Physician, 07/01/2000 - 06/30/2002
Richard E. O'Brien, M.D., Internal Medicine, Courtesy, 07/01/2000 - 06/30/2002
Blaize A. O'Brien, M.D., Otolaryngology, PGY4 Limited, 07/01/2000 - 06/30/2002
John F. O'Leary, M.D., Orthopaedic Surgery, Attending, 07/01/2000 - 06/30/2002
Diane Denise Orlov, R.N., C.N.P., Family Medicine, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
David A. Orsinelli, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Debora A. Ortega-Carr, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Kwame Osei, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Kerry E. Owens, M.D., Surgery, PGY6 Limited, 07/01/2000 - 06/30/2002
John P. Paraskos, M.D., Obstetrics and Gynecology, Courtesy, 07/01/2000 - 06/30/2002
Stephen F. Pariser, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2002
Christopher T. Payne, M.D., Surgery, PGY3 Limited, 07/01/2000 - 06/30/2002
William S. Pease, M.D., Physical Medicine and Rehabilitation, Attending, 07/01/2000 - 06/30/2002
Arthur E. Pellegrini, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Ronald P. Pelletier, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
Eduardo J. Perez, M.D., Surgery, PGY3 Limited, 07/01/2000 - 06/30/2002
Jennifer J. Pflug, M.D., Internal Medicine, PGY4 Limited, 07/01/2000 - 06/30/2002
Srikumar B. Pillai, M.D., Surgery, PGY4 Limited, 07/01/2000 - 06/30/2002
Judy Ann Pitts, R.N., C.N.P., Family Medicine, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Kathryn S. Pool, M.D., Obstetrics and Gynecology, PGY3 Limited, 07/01/2000 - 06/30/2002
Douglas A. Pope, M.D., Internal Medicine, Courtesy, 07/01/2000 - 06/30/2002
Amy L. Pope-Harman, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Jennifer L. Powell, M.D., Pediatrics, PGY2 Limited, 07/01/2000 - 06/30/2002
Rodney V. Pozderac, M.D., Radiology, Attending, 07/01/2000 - 06/30/2002
Francine M. Pulver, M.D., Physical Medicine and Rehabilitation, PGY4 Limited, 07/01/2000 - 06/30/2002
Stephen J. Qualman, M.D., Pathology, Attending, 07/01/2000 - 06/30/2002
Robert J. Ragosin, M.D., Radiology, Attending, 07/01/2000 - 06/30/2002
Subha V. Raman, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2000 - 06/30/2002
Kottil W. Rammohan, M.D., Neurology, Attending, 07/01/2000 - 06/30/2002
Erick M. Rath, D.D.S., Dentistry, Attending, 07/01/2000 - 06/30/2002
Gary L. Rea, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
Douglas W. Reader, M.D., Radiology, PGY4 Limited, 07/01/2000 - 06/30/2002
Robert W. Reagan, M.D., Surgery, PGY2 Limited, 07/01/2000 - 06/30/2002
Nancy S. Reau, M.D., Internal Medicine, Fellowship 2nd Year, 07/01/2000 - 06/30/2002
Kristina M. Reber, M.D., Pediatrics, Attending, 07/01/2000 - 06/30/2002
Allan R. Reier, M.D., Radiology, PGY3 Limited, 07/01/2000 - 06/30/2002
Nancy Radcliffe Reynolds, R.N., CNP, Internal Medicine, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Rod P. Rezaee, M.D., Otolaryngology, PGY5 Limited, 07/01/2000 - 06/30/2002
Martin L. Ribovich, M.D., Radiology, Attending, 07/01/2000 - 06/30/2002
Karen M. Rice, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Ali A. Rikabi, M.D., Radiology, PGY3 Limited, 07/01/2000 - 06/30/2002

November 3, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

August/September 2000 (contd)

Jane Ann Edwards Roach, M.D., Pediatrics, Courtesy, 07/01/2000 - 06/30/2002
John B. Roberts, M.D., Orthopaedic Surgery, Attending, 07/01/2000 - 06/30/2002
John L. Robinson, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Paul J. Rogers, M.D., Internal Medicine, Community Affiliate Physician, 07/01/2000 - 06/30/2002
Mark P. Rollins, M.D., Family Medicine, Attending, 07/01/2000 - 06/30/2002
Lawrence B. Rothstein, M.D., Anesthesiology, Attending, 07/01/2000 - 06/30/2002
Paul D. Ruff, M.D., Internal Medicine, Courtesy, 07/01/2000 - 06/30/2002
Douglas A. Rund, M.D., Emergency Medicine, Attending, 07/01/2000 - 06/30/2002
Todd J. Sadowski, M.D., Surgery, PGY2 Limited, 07/01/2000 - 06/30/2002
Arthur L. Sagone, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Dawn M. Salvatore, M.D., Surgery, PGY6 Limited, 07/01/2000 - 06/30/2002
Mervyn J. Samuel, M.D., Obstetrics and Gynecology, Courtesy, 07/01/2000 - 06/30/2002
Richard M. Saucier, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Radu V. Saveanu, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2002
Dwight A. Scarborough, M.D., Internal Medicine, Courtesy, 07/01/2000 - 06/30/2002
Stephen F. Schaal, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Christopher M. Schlanger, M.D., Emergency Medicine, PGY2 Limited, 07/01/2000 - 06/30/2002
Christopher C. Schmidt, M.D., Orthopaedic Surgery, Community Affiliate Physician, 07/01/2000 - 06/30/2002
David E. Schuller, M.D., Otolaryngology, Attending, 07/01/2000 - 06/30/2002
Paulette M. See, M.D., Pediatrics, PGY3 Limited, 07/01/2000 - 06/30/2002
John H. Seguin, M.D., Pediatrics, Attending, 07/01/2000 - 06/30/2002
Sumit K. Seth, M.D., Radiology, PGY3 Limited, 07/01/2000 - 06/30/2002
Steven A. Severyn, M.D., Anesthesiology, Attending, 07/01/2000 - 06/30/2002
Shahin Shahinfar, M.D., Ophthalmology, Courtesy, 07/01/2000 - 06/30/2002
Arwa Y. Shana'ah, M.D., Pathology, Attending, 07/01/2000 - 06/30/2002
John J. Sharkey, M.D., Orthopaedic Surgery, Fellowship 1st Year, 07/01/2000 - 06/30/2002
Hari M. Sharma, M.D., Pathology, Attending, 07/01/2000 - 06/30/2002
Jared A. Sheets, M.D., Internal Medicine, PGY4 Limited, 07/01/2000 - 06/30/2002
Roger H. Sherman, M.D., Ophthalmology, Courtesy, 07/01/2000 - 06/30/2002
Joel A. Shockley, M.D., Radiology, PGY3 Limited, 07/01/2000 - 06/30/2002
Christian T. Shull, M.D., Internal Medicine, PGY2 Limited, 07/01/2000 - 06/30/2002
Ann F. Siefert, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Daniel S. Sinclair, M.D., Radiology, Attending, 07/01/2000 - 06/30/2002
Annette R. Smith, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2000 - 06/30/2002
Denise A. Somsak, M.D., Pediatrics, PGY3 Limited, 07/01/2000 - 06/30/2002
Michael S. Sprague, M.D., Obstetrics and Gynecology, Courtesy, 07/01/2000 - 06/30/2002
Michael J. Stanek, D.O., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Annette Lynn Staub, R.N., C.N.P., Family Medicine, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Elizabeth A. Stechschulte, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
John R. Stechschulte, M.D., Ophthalmology, Attending, 07/01/2000 - 06/30/2002
Laurence E. Stempel, M.D., Obstetrics and Gynecology, Courtesy, 07/01/2000 - 06/30/2002
Matthew C. Stevenson, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2002
Eric A. Stine, D.D.S., Dentistry, PGY2 Limited, 07/01/2000 - 06/30/2002
Linda C. Stone, M.D., Family Medicine, Attending, 07/01/2000 - 06/30/2002
Michael F. Stretanski, D.O., Physical Medicine and Rehabilitation, PGY4 Limited, 07/01/2000 - 06/30/2002
Saul M. Suster, M.D., Pathology, Attending, 07/01/2000 - 06/30/2002
Jonathan R. Tanner, M.D., Radiology, PGY3 Limited, 07/01/2000 - 06/30/2002
Renee S. Taylor, M.D., Pediatrics, Courtesy, 07/01/2000 - 06/30/2002

November 3, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

August/September 2000 (contd)

Timothy A. Teller, M.D., Pediatrics, Courtesy, 07/01/2000 - 06/30/2002
John N. Teteris, M.D., Obstetrics and Gynecology, Attending, 07/01/2000 - 06/30/2002
Fred B. Thomas, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Andrew M. Thomas, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Willard D. Thompson, M.D., Surgery, PGY3 Limited, 07/01/2000 - 06/30/2002
Sul R. Thorward, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2002
James C. Tober, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
Evan J. Tobin, M.D., Otolaryngology, Attending, 07/01/2000 - 06/30/2002
Victor A. Trianfo, D.O., Family Medicine, Attending, 07/01/2000 - 06/30/2002
Pierre L. Triozzi, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Hari K. Tumu, M.D., Surgery, PGY4 Limited, 07/01/2000 - 06/30/2002
Ellen M. Turner, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Elizabeth S. Tuttle, M.D., Family Medicine, Community Affiliate Physician, 07/01/2000 - 06/30/2002
Manuel Tzagournis, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Arif S. Usmani, M.D., Pathology, PGY7 Limited, 07/01/2000 - 06/30/2002
Patrick S. Vaccaro, M.D., Surgery, Courtesy, 07/01/2000 - 06/30/2002
Bradley G. Van Hoose, D.D.S., Dentistry, PGY2 Limited, 07/01/2000 - 06/30/2002
Rafael E. Villalobos, D.O., Surgery, Attending, 07/01/2000 - 06/30/2002
Amir Vokshoor, M.D., Surgery, PGY5 Limited, 07/01/2000 - 06/30/2002
Sangeeta L. Vuddagiri-Guttikonda, M.D., Radiology, PGY3 Limited, 07/01/2000 - 06/30/2002
Paul E. Wakely, M.D., Pathology, Attending, 07/01/2000 - 06/30/2002
Michael J. Walker, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
William A. Wallace, M.D., Surgery, PGY2 Limited, 07/01/2000 - 06/30/2002
Joseph L. Walsh, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Doris E. Walzak, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Lawrence D. Weis, M.D., Orthopaedic Surgery, Attending, 07/01/2000 - 06/30/2002
MaryJo P. Welker, M.D., Family Medicine, Attending, 07/01/2000 - 06/30/2002
D. Bradley Welling, M.D., Otolaryngology, Attending, 07/01/2000 - 06/30/2002
Mark D. Wewers, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Grayson H. Wheatley, M.D., Surgery, PGY5 Limited, 07/01/2000 - 06/30/2002
John J. Wheller, M.D., Pediatrics, Courtesy, 07/01/2000 - 06/30/2002
Elizabeth R. Whitaker, M.D., Pediatrics, Courtesy, 07/01/2000 - 06/30/2002
E. Jean Wilburn, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
William A. Wilmer, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Albert C. Winfield, M.D., Family Medicine, Attending, 07/01/2000 - 06/30/2002
David A. Winger, M.D., Internal Medicine, Attending, 07/01/2000 - 06/30/2002
Mary E. Wissel, M.D., Pathology, Courtesy, 07/01/2000 - 06/30/2002
Michael S. Witter, Psy. D., Psychiatry, Attending/Phd, 07/01/2000 - 06/30/2002
Wiley G. Woodard, M.D., Obstetrics and Gynecology, Courtesy, 07/01/2000 - 06/30/2002
Virginia C. Woodrow, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2002
Paul J. Wulf, C.R.N.A., Anesthesiology, Licensed Healthcare Professional, 07/01/2000 - 06/30/2002
Ann M. Wurst, M.D., Obstetrics and Gynecology, Courtesy, 07/01/2000 - 06/30/2002
Mary Ann Wynd, M.D., Family Medicine, Community Affiliate Physician, 07/01/2000 - 06/30/2002
Donald G. Wyse, M.D., Family Medicine, Community Affiliate Physician, 07/01/2000 - 06/30/2002
Allan J. Yates, M.D., Ph.D., Pathology, Attending, 07/01/2000 - 06/30/2002
Lisa D. Yee, M.D., Surgery, Attending, 07/01/2000 - 06/30/2002
John J. Young, M.D., Internal Medicine, Fellow 3rd Year, 07/01/2000 - 06/30/2002
James D. Youngman, M.D., Psychiatry, Attending, 07/01/2000 - 06/30/2002

November 3, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

August/September 2000 (contd)

Thomas M. Yunger, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2000 - 06/30/2002
Adrian M. Zachary, D.O., Physical Medicine and Rehabilitation, PGY3 Limited, 07/01/2000 - 06/30/2002
Joseph Zaki, M.D., Anesthesiology, PGY2 Limited, 07/01/2000 - 06/30/2002

Requests for Additional Privileges (The Ohio State University Medical Center)

September 2000

Abhinav Chandra, M.D., Emergency Med, Conscious Sedation, Hyperbaric Medicine, 09/13/2000 - 06/30/2001
Shella Farooki, M.D., Radiology, Musculoskeletal, Radiology, Conscious Sedation, 09/13/2000 - 06/30/2001
Iain Grant, M.D., Otolaryngology, Argon Laser, 09/13/2000 - 06/30/2001
Kevin Krause, M.D., General Surgery, Diagnostic Laparoscopy and Thoracoscopy (Level I, II, III), 07/01/2000 - 06/30/2001
Anjama Sammadder, M.D., Gastroenterology, Conscious Sedation, 09/13/2000 - 06/30/2002
Yun Xia, M.D., Anesthesiology, Conscious Sedation, 08/01/2000 - 06/30/2002

Requests for Change in Medical Staff Category (The Ohio State University Medical Center)

August/September 2000

John N. Tetetris, M.D., OB/GYN, From Attending To Courtesy

Medical Staff Appointments (The Ohio State University Hospitals East)

September 2000

Larry G. Armstrong, D.O., Musculoskeletal Dis., Neurosurgery, Courtesy, 09/18/2000 - 06/30/2001
Nikki B. Bester, P.A., Musculoskeletal Dis., Musculoskeletal Dis., Allied Health, 09/18/2000 - 06/30/2001
Misty L. Bogdahn, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2001
Anthimos J. Christofridis, M.D., Radiology, Radiology, Active, 09/18/2000 - 06/30/2001
Charles H. Cook, M.D., Surgery, Surgery, Active, 09/18/2000 - 06/30/2001
Jamie A. Dale, M.D., Musculoskeletal Dis., Musculoskeletal Dis., PGY2, 09/18/2000 - 06/30/2001
Lucy R. Freedy, M.D., Radiology, Radiology, Active, 09/18/2000 - 06/30/2001
Pablo A. Gamboa, M.D., Radiology, Radiology, Active, 09/18/2000 - 06/30/2001
Melissa M. Goist, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2001
Deepak Guttikonda, M.D., Surgery, Surgery, PGY3, 09/18/2000 - 06/30/2001
Sangeeta L. Guttikonda, M.D., Radiology, Radiology, PGY3, 07/01/2000 - 06/30/2001
David N. Hackney, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2001
Jonathon C. Henry, M.D., Musculoskeletal Dis., Musculoskeletal Dis., Active, 09/18/2000 - 06/30/2001
Brian C. Hiestand, M.D., Emergency Medicine, Emergency Medicine, PGY3, 09/18/2000 - 06/30/2001
Jason C. Hollingsworth, M.D., Emergency Medicine, Emergency Medicine, Active, 09/18/2000 - 06/30/2001
Brant S. Holtzmeier, D.O., Family Practice, Family Practice, Courtesy, 09/18/2000 - 06/30/2001
Lekha S. Hota, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2001
Stephen S. Jung, M.D., Radiology, Radiology, Active, 09/18/2000 - 06/30/2001
Mark Alan King, M.D., Radiology, Radiology, Active, 09/18/2000 - 06/30/2001

November 3, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Hospitals East) (contd)

September 2000 (contd)

Kevin R. Krause, M.D., Surgery, Surgery, Active, 09/18/2000 - 06/30/2001
Douglas E. Lemley, M.D., Radiology, Radiology, PGY5, 07/01/2000 - 06/30/2001
Melissa P. Lowe, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2001
Larry C. Martin, M.D., Surgery, Surgery, Active, 09/18/2000 - 06/30/2002
Kannan Natarajan, M.D., Radiology, Radiology, Active, 09/18/2000 - 06/30/2002
Keith Alan Niggemyer, M.D., Family Practice, MMT, PGY3, 09/18/2000 - 06/30/2002
Scott M. Otis, M.D., Internal Medicine, Physical Med. & Rehab., Courtesy, 09/18/2000 - 06/30/2002
Manju L. Prasad, M.D., Pathology, Pathology, Active, 09/18/2000 - 06/30/2002
Linda M. Rainey, CRNA, Anesthesiology, Anesthesiology, Allied Health, 09/18/2000 - 06/30/2002
Allan R. Reier, M.D., Radiology, Radiology, PGY3, 07/01/2000 - 06/30/2002
Cherie A. Richey, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2002
Gerald M. Rosenberg, M.D., Musculoskeletal Dis., Musculoskeletal Dis., Active, 09/18/2000 - 06/30/2002
Dawn M. Salvatore, M.D., Surgery, Surgery, PGY6, 07/01/2000 - 06/30/2002
Anjana Samadder, M.D., Internal Medicine, Internal Medicine, Active, 09/18/2000 - 06/30/2002
Molly E. Senokozlieff, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2002
Sumit K. Seth, M.D., Radiology, Radiology, PGY3, 09/18/2000 - 06/30/2000
Robin M. Sharrock, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2002
Christian T. Shull, M.D., Family Practice, MMT, PGY3, 09/18/2000 - 06/30/2002
Andrew P. Slivka, Jr, M.D., Surgery, Neurology, Courtesy, 09/18/2000 - 06/30/2002
Stewart F. Stock, M.D., Internal Medicine, Cardiology, Courtesy, 09/18/2000 - 06/30/2002
Steven E. Szames, D.P.M., Musculoskeletal Dis., Podiatry, Active, 09/18/2000 - 06/30/2002
Jonathan R. Tanner, M.D., Radiology, Radiology, PGY3, 09/18/2000 - 07/01/2002
Robert M. Taylor, M.D., Internal Medicine, Neurology, Active, 09/18/2000 - 06/30/2002
Richard M. Wasserman, M.D., Surgery, OB/GYN, PGY1, 07/01/2000 - 06/30/2002
John Winston III, M.D., Surgery, Surgery, Active, 09/18/2000 - 06/30/2002
Yun Xia, M.D., Anesthesiology, Anesthesiology, Active, 09/18/2000 - 06/30/2002

Additional Privileges Granted (The Ohio State University Hospitals East)

September 2000

Charles Cook, M.D., Surgery, Sigmoidoscopy, flexible, Upper endoscopy, Critical Care, Oncology Surgery, Laparoscopic Surgery, 09/18/00 to 06/30/01
Kevin Krause, M.D., Surgery, Laparoscopic Surgery, 09/18/00 to 06/30/01
Gerald Rosenberg, M.D., Musculoskeletal Dis., Complex hand surgery, 09/18/00 to 06/30/01
Steven Szames, D.P.M., Musculoskeletal/Podiatry, Use of CO2 surgical laser, Endoscopic Plantar Fasciotomy, 09/18/00 to 06/30/01
John Winston, M.D., Surgery, Oophorectomy, Upper endoscopy, Sigmoidoscopy, Hysterectomy, Abdominal, Anterior and posterior repair, 09/18/00 to 06/30/01

Medical Staff -- Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

August/September 2000

Initial Appointments – Faculty

Michael G. Bissell, M.D., Ph.D., M.P.H., Pathology, Professor, Associate Attending
David F. Colombo, M.D., Obstetrics and Gynecology, Assistant Professor, Associate Attending
Shella Farooki, M.D., Radiology, Associate Attending
James Laffey, D.O., Radiology, Assistant Professor, Associate Attending

November 3, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff -- Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

August/September 2000 (contd)

Initial Appointments – Faculty (contd)

Omar Mobin-Uddin, M.D., Ophthalmology, Associate Attending
Jose J. Norberto, M.D., Cardiothoracic Surgery, Clinical Assistant Professor, Associate Attending
Manju L. Prasad, M.D., Pathology, Assistant Professor, Associate Attending
Meade van Putten, D.D.S., Dentistry, Associate Professor, Associate Attending
Daniel Sinclair, M.D., Radiology, Associate Attending
John Winston III, M.D., General Surgery, Associate Attending
Yun Xia, M.D., Anesthesiology, Assistant Professor, Associate Attending

Initial Appointments – Advanced Practice Nurses

Theresa Craig, C.R.N.A.
Pauline King, C.N.S.

Provisional to Full Appointment – Faculty

Sherif Farag, M.D., Attending, Internal Medicine, Hematology/Oncology
Edward Levine, M.D., Associate Attending, Internal Medicine, Digestive Diseases
Randall Wolf, M.D., Associate Attending, Cardiothoracic Surgery

Provisional to Full Appointment – Community

Carolyn P. Misick, M.D., Community Associate, Pathology

Provisional to Full Appointment – Advanced Practice Nurses (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

August/September 2000

Maureen Buckner, R.N., C.N.P.
Kathleen Hoffman, R.N., C.R.N.A.
Jamie Kaplin, R.N., C.R.N.A.
Kristine Kihm, R.N., C.N.P.
Joanne Lester, R.N., C.N.P.
Charles Martin, R.N., C.R.N.A.
Constance Moore, R.N., C.R.N.A.

RESOLUTION IN MEMORIAM

Resolution No. 2001-56

Synopsis: Approval of one Resolution in Memoriam.

RESOLVED, That the Board adopt the following Resolution in Memoriam and that the President be requested to convey a copy to the family of the deceased.

November 3, 2000 meeting, Board of Trustees

RESOLUTION IN MEMORIAM (contd)

Willard C. Myser

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 15, 2000, of Willard C. Myser, Professor Emeritus in the Department of Evolution, Ecology, and Organismal Biology (formerly Zoology).

Dr. Myser was born in Cuyahoga Falls, Ohio, in 1923. He received his B.S. degree in biology from Kent State University, and his M.S. and Ph.D. degrees from The Ohio State University. After serving as a postdoctoral researcher at the Argonne National Laboratory, he joined the faculty of the Department of Zoology in 1946. He rose quickly through the faculty ranks and served as Assistant Chairperson from 1961 to 1968. He retired from active faculty status in 1985 and became Professor Emeritus.

Professor Myser's research focused on the effects of ultraviolet (UV) radiation and X-rays on insects such as the honeybee and the wax moth, and on insect and mammalian cell lines in culture. He was among the first to conduct experiments into radiation damage to and repair of DNA in cultures of both insect cells and mammalian cells. His work was published in such well-known journals as *Radiation Research*, *Genetics*, *Mutation Research* and the *International Journal of Radiation Biology*. His last publication, which appeared in 1980, was an introductory radiation safety manual for nuclear power plant personnel, which he co-authored with collaborators in the College of Engineering.

In addition to his scholarly efforts, Dr. Myser was a highly regarded teacher. In the early 1970's, he helped develop and then coordinated a visionary program in audio tutorial teaching of introductory zoology and biology. This program was housed in Rightmire Hall on the West Campus, and served thousands of students each year for more than a decade. He also co-authored "A Manual for Zoology," a laboratory manual that was used in courses at Ohio State and other major universities during the 1970's.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Willard C. Myser its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-57

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for September 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of September 2000 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-58

Synopsis: The report on the receipt of gifts and the summary for September 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of fourteen (14) new named endowed funds and the amendment to one (1) endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of September 2000 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July-September
1999 Compared to 2000

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through September		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$5,916,488	\$3,997,134	(32)
Alumni (From Bequests)	<u>3,363,434</u>	<u>533,134</u>	(84)
Alumni Total	\$9,279,922	\$4,530,868	(51)
Non-Alumni (Current Giving)	\$3,232,306	\$1,643,150	(49)
Non-Alumni (From Bequests)	<u>1,805,705</u>	<u>915,861</u>	(49)
Non-Alumni Total	\$5,038,011	\$2,559,011	(49)
Individual Total	\$14,317,933	\$7,089,879	(50) ^A
Corporations/Corporate Foundations	\$5,847,051	\$7,850,969	34 ^B
Private Foundations	\$1,583,671	\$2,371,163	50 ^C
Associations and Other Organizations	<u>\$597,957</u>	<u>\$1,032,193</u>	73 ^D
 Total	 \$22,346,612	 \$18,344,204	 (18)

NOTES

- A Individual giving is down 50% largely due to the fact that gifts of \$10,000 or more were down 64% (101 gifts for \$11.8 million last year; 80 gifts for \$4.3 million this year).
- B Corporate giving is up 19%. Last year there were 118 corporate gifts providing private support of \$10,000 or more (\$4.1 million). This year private support at the \$10,000 level is \$6.2 million (148 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first three months of the fiscal year (\$2.2 million from 35 gifts this year; \$1.2 million from 26 gifts last year).
- D Gifts from associations and other organizations are up 73% since giving at the \$10,000 or more level is \$506,918 more than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-September
1999 Compared to 2000

GIFT RECEIPTS BY PURPOSE

	Dollars July through September		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$1,994,675	\$2,406,028	21
Faculty Support	\$3,672,116	\$2,658,438	(28)
Program Support	\$10,870,738	\$8,483,925	(22)
Student Financial Aid	\$4,075,866	\$2,894,272	(29)
Annual Funds-Colleges/Departments	\$1,528,434	\$1,771,882	16
Annual Funds-University	<u>\$204,783</u>	<u>\$129,659</u>	(37)
Total	\$22,346,612	\$18,344,204	(18)

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through September		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
	\$10,957,754	\$5,342,397	(51)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
Academic Programs in Diversity Endowment Fund (Support for programs related to diversity; provided by funds received from the beverage pouring rights agreement between Coca-Cola Company and The Ohio State University)	\$1,000,000.00		\$1,000,000.00
The Undergraduate Student Government Endowed Fund (Support for Undergraduate Student Government; provided by funds received from the beverage pouring rights agreement between Coca-Cola Company and The Ohio State University)	\$1,000,000.00		\$1,000,000.00
The Council of Graduate Students Endowed Fund (Support for professional and academic development of graduate students; provided by funds received from the beverage pouring rights agreement between Coca-Cola Company and The Ohio State University)	\$584,000.00		\$584,000.00
The Greek Community Endowed Fund (Support for the fraternity and sorority community at OSU; provided by funds received from the beverage pouring rights agreement between Coca-Cola Company and The Ohio State University)	\$450,000.00		\$450,000.00
The Student Assessment Endowed Fund (Support for the Office of Student Affairs Assessment program; provided by funds received from the beverage pouring rights agreement between Coca-Cola Company and The Ohio State University)	\$300,000.00		\$300,000.00
The Inter-Professional Student Council Endowed Fund (Support for development of students in professional colleges; provided by funds received from the beverage pouring rights agreement between Coca-Cola Company and The Ohio State University)	\$216,000.00		\$216,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Study Abroad Endowed Fund (Support for Study Abroad programs; provided by funds received from the beverage pouring rights agreement between Coca-Cola Company and The Ohio State University)	\$130,000.00		\$130,000.00

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Dorothy M. Davis Endowment Fund (Unrestricted funds for the University; provided by a gift from The William H. Davis, Dorothy M. Davis and William C. Davis Foundation)	\$1,000,000.00	\$1,000,000.00	
The Dr. Roy C. Darlington Legends of Pharmacy Graduate Endowed Fund (Support for graduate students enrolled in the College of Pharmacy)		\$36,532.50	\$36,532.50
The Fred Miller Fund for Excellence (Undergraduate student support – Fisher College of Business; provided by gifts from Fred B. Miller)		\$31,000.00	\$31,000.00
The Joel Arnold Scholarship Fund in Pharmacy (Scholarships – College of Pharmacy; provided by gifts from family and friends of A. Joel Arnold)		\$28,100.00	\$28,100.00
The Judge Alan E. Schwarzwald Fund in Public Service (Support for educational activities that promote individuals to participate in public service; provided by gifts from A. Michael Schwarzwald)		\$25,000.00	\$25,000.00
The Schnipke Family Endowment Fund to Support Clinical Trials and Cancer Research (Clinical trials and cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a Gift from The Schnipke Engraving Company, Inc.)		\$25,000.00	\$25,000.00

November 3, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Ramona McCarthy Hawkins Scholarship Fund in Pharmacy (Grandfathered) (Scholarships – College of Pharmacy; provided by a gift from Ramona McCarthy Hawkins)		\$19,000.00	\$19,000.00
Total	\$3,680,000.00	\$1,165,631.50	\$4,844,632.50

Change in Description of Named Endowed Funds

The James and Annette Hartman and Lester E. Wiley Scholarship Fund

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

Academic Programs in Diversity Endowment Fund

The Academic Programs in Diversity Endowment Fund was established November 3, 2000, by the Board of Trustees of The Ohio State University, with funds received from the beverage pouring rights agreement between the Coca-Cola Company, the Coca-Cola Bottling Company of Ohio/Kentucky, and The Ohio State University.

All funds are to be invested by the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs related to diversity. Decisions about expenditures shall be made at the discretion of the provost.

It is the desire of the Office of Academic Affairs that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees after consultation with the University president and provost.

\$1,000,000.00

The Undergraduate Student Government Endowed Fund

The Undergraduate Student Government Endowed Fund was established November 3, 2000, by the Board of Trustees of The Ohio State University, with funds received from the beverage pouring rights agreement between the Coca-Cola Company, the Coca-Cola Bottling Company of Ohio/Kentucky, and The Ohio State University.

November 3, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Undergraduate Student Government Endowed Fund (contd)

All funds are to be invested by the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to contribute to the effectiveness of the Undergraduate Student Government in serving undergraduate students, and for programs, activities, and services benefiting undergraduate students, as determined by the Undergraduate Student Government, through the Office of Student Affairs.

It is the desire of the Office of Student Affairs that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the vice president for Student Affairs after appropriate consultation.

\$1,000,000.00

Council of Graduate Students Endowed Fund

The Council of Graduate Students Endowed Fund was established November 3, 2000, by the Board of Trustees of The Ohio State University, with funds received from the beverage pouring rights agreement between the Coca-Cola Company, the Coca-Cola Bottling Company of Ohio/Kentucky, and The Ohio State University.

All funds are to be invested by the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the professional and academic development of graduate students, as determined by the Council of Graduate Students, through the Office of Student Affairs. Specific uses may include support for graduate students to present original research at academic and professional conferences, the Council of Graduate Students annual graduate forum, and programs to support diversity in the graduate student body.

It is the desire of the Office of Student Affairs that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the vice president for Student Affairs after appropriate consultation.

\$584,000.00

The Greek Community Endowed Fund

The Greek Community Endowed Fund was established November 3, 2000, by the Board of Trustees of The Ohio State University, with funds received from the beverage pouring rights agreement between the Coca-Cola Company, the Coca-Cola Bottling Company of Ohio/Kentucky, and The Ohio State University.

November 3, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Greek Community Endowed Fund (contd)

All funds are to be invested by the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the fraternity and sorority community at The Ohio State University as determined by the Office of Student Affairs, based initially on the recommendations of the Greek Task Force. The funds shall be used to ensure that the Greek community is living its goals and values through strong individual chapters and effective inter-Greek programs that can greatly enhance the quality of life at the University.

It is the desire of the Office of Student Affairs that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the vice president for Student Affairs after appropriate consultation.

\$450,000.00

The Student Assessment Endowed Fund

The Student Assessment Endowed Fund was established November 3, 2000, by the Board of Trustees of The Ohio State University, with funds received from the beverage pouring rights agreement between the Coca-Cola Company, the Coca-Cola Bottling Company of Ohio/Kentucky, and The Ohio State University.

All funds are to be invested by the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Office of Student Affairs Assessment, as determined by the Office of Student Affairs. Student Affairs Assessment conducts student-centered research directed toward program and service improvement. It specializes in providing valid and reliable information about our students and their experiences at Ohio State and the campus environment, and developing outcome measures that assess the impact of policies, programs, services, and facilities on student learning, satisfaction, retention, and success.

It is the desire of the Office of Student Affairs that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the vice president for Student Affairs after appropriate consultation.

\$300,000.00

The Inter-Professional Student Council Endowed Fund

The Inter-Professional Student Council Endowed Fund was established November 3, 2000, by the Board of Trustees of The Ohio State University, with funds received from the beverage pouring rights agreement between the Coca-Cola Company, the Coca-Cola Bottling Company of Ohio/Kentucky, and The Ohio State University.

November 3, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Inter-Professional Student Council Endowed Fund (contd)

All funds are to be invested by the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the professional development of the students of the professional colleges that make up the Inter-Professional Council, and to support professional student programming, as determined by the Inter-Professional Council, through the Office of Student Affairs.

It is the desire of the Office of Student Affairs that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the vice president for Student Affairs after appropriate consultation.

\$216,000.00

The Study Abroad Endowed Fund

The Study Abroad Endowed Fund was established November 3, 2000, by the Board of Trustees of The Ohio State University, with funds received from the beverage pouring rights agreement between the Coca-Cola Company, the Coca-Cola Bottling Company of Ohio/Kentucky, and The Ohio State University.

All funds are to be invested by the University's Permanent Endowment Fund under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide financial support to students who will enhance their educational experience through participation in Ohio State short-term study abroad programs. All full-time Ohio State students are eligible for these grants. Selection of recipients will be made by the Office of International Affairs.

It is the desire of the Office of Student Affairs that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the vice president for Student Affairs after appropriate consultation.

\$130,000.00

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Dorothy M. Davis Endowment Fund

The Dorothy M. Davis Endowment Fund was established November 3, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The William H. Davis, Dorothy M. Davis and William C. Davis Foundation of Columbus, Ohio.

November 3, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Dorothy M. Davis Endowment Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from the Dorothy M. Davis Endowment Fund will be available for unrestricted use by The Ohio State University.

\$1,000,000.00

The Dr. Roy C. Darlington Legends of Pharmacy Graduate Endowed Fund

The Dr. Roy C. Darlington Legends of Pharmacy Graduate Endowed Fund was established November 3, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from family, friends, and former students of the late Roy C. Darlington, Ph.D.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support The Dr. Roy C. Darlington Legends of Pharmacy Graduate Endowed Fund, which shall provide support to graduate students enrolled in the College of Pharmacy. With all other qualities being equal and unless prohibited by specific federal or state law, the fellowship shall be awarded with preference to, but not limited to, African-American students. The recipients of the fellowship shall be determined by the dean of the College of Pharmacy or his/her designee.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$36,532.50

The Fred Miller Fund for Excellence

The Fred Miller Fund for Excellence was established November 3, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Fred B. Miller (B.S. Accounting 1962), Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

Initially, the annual income shall be used to recognize and honor an undergraduate student(s) with a preference for accounting majors that exhibit leadership, community service, and an entrepreneurial spirit. Selection shall be made by the dean of The Max M. Fisher College of Business in cooperation with appropriate faculty and staff, and with Fred Miller or his designee.

In the future, Fred Miller plans to make additional gifts to the fund. As the annual income increases and/or new college priorities emerge in concert with the donor's interest, the fund

November 3, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Fred Miller Fund for Excellence (contd)

income may be used for other purposes central to the college's mission, and in keeping with the donor's desire to recognize and inspire initiative and/or practical application of business principles.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of The Max M. Fisher College of Business in order to carry out the desire of the donor.

\$31,000.00

The Joel Arnold Scholarship Fund in Pharmacy

The Joel Arnold Scholarship Fund in Pharmacy was established November 3, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from the family and friends of A. Joel Arnold, a 1958 graduate of the College of Pharmacy.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide student scholarships. The recipient of the scholarship shall be determined by the chair of the division and a senior research professor in medicinal chemistry in consultation with the dean of the College of Pharmacy or his/her designee, and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$28,100.00

The Judge Alan E. Schwarzwaldner Fund in Public Service

The Judge Alan E. Schwarzwaldner Fund in Public Service within the John Glenn Institute was established November 3, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from A. Michael Schwarzwaldner (B.S. 1965; J.D. 1970) of Washington, DC. The fund was created in honor of Judge Alan E. Schwarzwaldner and his life in public service by A. Michael Schwarzwaldner.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income is to be used to support educational activities that promote and encourage individuals with leadership potential to participate in public service.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Judge Alan E. Schwarzwaldner Fund in Public Service (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with A. Michael Schwarzwaldner or after his lifetime with his heirs and the appropriate director in order to carry out the desire of the donor.

\$25,000.00

The Schnipke Family Endowment Fund to Support
Clinical Trials and Cancer Research

The Schnipke Family Endowment Fund to Support Clinical Trials and Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established November 3, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Schnipke Engraving Company, Inc., in honor of Leonard and Pauline Schnipke.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support clinical trials and cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,000.00

The Ramona McCarthy Hawkins Scholarship Fund in Pharmacy

The Ramona McCarthy Hawkins Scholarship Fund in Pharmacy was established November 3, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift to the Foundation from Ramona McCarthy Hawkins of Silver Spring, Maryland.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to establish The Ramona McCarthy Hawkins Scholarship Fund in Pharmacy, which shall provide one scholarship to a student enrolled in the College of Pharmacy who has maintained an active participation in the Student National Pharmaceutical Association.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ramona McCarthy Hawkins Scholarship Fund in Pharmacy (contd)

With all other qualities being equal and unless prohibited by specific federal or state law, the scholarship shall be awarded with preference to, but not limited to, African-American students. The recipients of the annual scholarship shall be determined by the dean of the College of Pharmacy or his/her designee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$19,000.00
(Grandfathered)

Change in Description of Named Endowed Fund

The James and Annette Hartman and Lester E. Wiley Scholarship Fund

The James and Annette Hartman and Lester E. Wiley Scholarship Fund was established April 6, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Annette Hartman, Gahanna, Ohio. The description was revised November 3, 2000.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide three (3) scholarships, each scholarship to be in an amount equal to one-third (1/3) of the annual income generated by the gift as follows:

1. One (1) scholarship shall be awarded to a student with high financial need at The Ohio State University College of Veterinary Medicine. The recipient will be selected by Professor Daniel Smeak, (if living and available), and the then-current dean of the College of Veterinary Medicine, and in consultation with the University Committee on Student Financial Aid.
2. One (1) scholarship shall be awarded to an academically outstanding student at The Ohio State University College of Veterinary Medicine. The recipient will be selected by Professor Daniel Smeak (if living and available), Dr. Scott Whiteman, DVM (if living and available), and Professor Steven Schrader (if living and available). If none of these three (3) individuals are living and available, the recipient will be selected by the then-serving dean of the College of Veterinary Medicine in consultation with the University Committee on Student Financial Aid.
3. One (1) scholarship shall be awarded to an academically outstanding surgical or other specialty student at The Ohio State University College of Veterinary Medicine. The recipient will be selected by Professor Steven Schrader (if living and available)+ and the then-current dean of the College of Veterinary Medicine in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Fund (contd)

The James and Annette Hartman and Lester E. Wiley Scholarship Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-59

OVAL RESTORATION - 2001
SOUTH CAMPUS HIGH RISE – FIRE ALARM SYSTEM REPLACEMENT
UTILITIES NORTH TUNNEL STEAMLINE UPGRADE
WATERMAN LABORATORY HEADQUARTERS
MERSHON AUDITORIUM HVAC SYSTEM IMPROVEMENT
NEWARK CAMPUS – CRIMINALISTICS AND CHEMISTRY RESEARCH LAB RENOVATION

Synopsis: Authorization to employ architect/engineering firms and request construction bids for Oval Restoration – 2001, South Campus High Rise – Fire Alarm System Replacement, Utilities North Tunnel Steamline Upgrade, Waterman Laboratory Headquarters, Mershon Auditorium HVAC System Improvement, and Newark Campus – Criminalistics and Chemistry Research Lab Renovation projects is requested.

WHEREAS the University desires to proceed with the initial phase of the Oval restoration which will include an irrigation system and a portion of the design for walk replacement, turf restoration, and tree planting on the Oval; and

WHEREAS the total estimated project cost is \$2,000,000 and the total estimated construction cost is \$1,275,000, with funding provided by House Bill 640 (\$1,500,000) and Business and Finance (\$500,000); and

WHEREAS Housing, Food Service, and Event Centers desires to replace the existing fire alarm systems in Steeb Hall, Smith Hall, Park Hall, and Stradley Hall with new Intelligent Photoelectric Smoke Detectors and audible bases fully addressable with Fire-Print based technology; and

WHEREAS the total estimated project cost is \$1,541,760 and the total estimated construction cost is \$1,280,000, with funding provided by University bond proceeds with debt service paid by Housing, Food Service, and Event Centers; and

WHEREAS the University desires to replace the undersized steamline from McCracken/Neil junction to the North #1 tunnel; and

WHEREAS the total estimated project cost is \$750,000 and the total estimated construction cost is \$622,500, with funding provided by House Bill 640; and

WHEREAS the College of Food, Agricultural, and Environmental Sciences desires to construct a pre-engineered building to serve as the headquarters and shop for the College at the Waterman Laboratory; and

November 3, 2000 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the total estimated project cost is \$622,000 and the total estimated construction cost is \$580,000, with funding provided by the Ohio Agricultural Research and Development Center; and

WHEREAS the University desires to modify the air handling systems that support the College of Arts office area to eliminate the chronic overheating in winter and under cooling in summer; and

WHEREAS the total estimated project cost is \$500,000 and the total estimated construction cost is \$415,000, with funding provided by House Bill 640; and

WHEREAS the Newark Campus and Central Ohio Technical College desires to renovate the existing Criminalistics Lab and an adjoining classroom in Founders Hall to provide a new instrument room, darkroom, and chemistry research lab; and

WHEREAS the total estimated project cost is \$234,560 and the total estimated construction cost is \$200,000, with funding provided by Central Ohio Technical College (\$169,960) and Newark Campus (\$64,600); and

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Oval Restoration – 2001, South Campus High Rise – Fire Alarm System Replacement, Utilities North Tunnel Steamline Upgrade, Waterman Laboratory Headquarters, Mershon Auditorium HVAC System Improvement, and Newark Campus – Criminalistics and Chemistry Research Lab Renovation projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Oval Restoration – 2001, South Campus High Rise – Fire Alarm System Replacement, Utilities North Tunnel Steamline Upgrade, Waterman Laboratory Headquarters, Mershon Auditorium HVAC System Improvement, and Newark Campus – Criminalistics and Chemistry Research Lab Renovation projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XIX for maps, page 429.)

**ADOPTION OF THE SOUTH CAMPUS/HEALTH SCIENCES
DISTRICT PARKING PLAN**

Resolution No. 2001-60

Synopsis: Adoption of the proposed South Campus / Health Sciences District Parking Plan is proposed.

WHEREAS the Board of Trustees adopted the University Transportation and Parking Plan in June 1998; and

WHEREAS that plan called for 1,500 new parking spaces in the South Campus and Medical Center area and an in-depth study of parking demand in this part of the campus because of the unique parking needs of the diverse customers who use this area; and

November 3, 2000 meeting, Board of Trustees

**ADOPTION OF THE SOUTH CAMPUS/HEALTH SCIENCES
DISTRICT PARKING PLAN (contd)**

WHEREAS under the leadership of the University's Transportation and Parking Services Department and CHANCE Management Advisors, that study now has been completed; and

WHEREAS the consultations undertaken during the study included interviews with Health Sciences faculty and deans, University Senate leadership; staff, student leaders, and community representatives, including the City of Columbus and Campus Partners; and the study report has been reviewed with student, faculty, and staff leaders and governance groups; and

WHEREAS the study confirms the need for 1,500 net new parking spaces in the South Campus and Health Sciences area, and because of the rapid development of this area, strongly recommends reserving available parking garage sites at the Rhodes Hall lot, Cunz Hall lot, and on Tenth Avenue at the lot south of the 11th Avenue garage as the best locations for new garages, and recommends phased construction of garages at Lane Avenue, Rhodes Hall lot, and Cunz Hall lot as quickly as possible, with later construction of the Tenth Avenue garage; and

WHEREAS these garages will be funded from resources available to Transportation and Parking Services:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby adopts the South Campus / Health Sciences District Parking Plan as submitted, with individual capital projects to be presented to this Board for authorization in the established manner.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, and McFerson, Judge Duncan, Ms. Longaberger, and Ms. Hendricks.

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AUDIT AND FINANCIAL REPORT RECAP

Mr. William J. Shkurti:

This item was discussed in the Fiscal Affairs Committee yesterday in some length, so I'll simply summarize the outcome.

First, the University has received a clean opinion from its auditors, which means that the financial statements represent fairly and accurately where the money is and that the appropriate control on public funds are in place.

Second, the University's overall financial condition remains stable, but future stability will be, at least in part, dependent on our success in reestablishing financial stability in the OSU Medical Center. As the Board knows, there is a plan currently in place.

Third, private support continues to be a key growth area for the University. Total private support grew 12 percent over the last year to \$269 million.

Fourth, over the past year, the primary goal for the University's audit and financial area was the institution of the new accounting system, which took effect on July 5 of this year. For the year ahead, the primary goal will be the implementation of the new GASB 3435 financial reporting model, which will make universities and other public entities report more like a private business. We think that will be good in providing information, but it will also be a lot of work to get there.

November 3, 2000 meeting, Board of Trustees

AUDIT AND FINANCIAL REPORT RECAP (contd)

Mr. Shkurti: (contd)

In conclusion, I'd like to thank Greta Russell and her staff in the Controller's Office, Aletha Shipley and her staff in Internal Audit, and people throughout the University, including my colleague Janet Ashe, for their support of this audit, without which it never would have gotten done on time. Thank you very much, Mr. Chairman.

ACCEPTANCE OF THE REPORT OF AUDIT FOR 1999-2000

Resolution No. 2001-61

Synopsis: The report of the audit of the financial statements for The Ohio State University for 1999-2000 conducted by Deloitte & Touche is recommended for acceptance.

WHEREAS in February 1996, with the approval of the Auditor of State, The Ohio State University entered into a five-year agreement with Deloitte & Touche for an annual audit of the University for fiscal years 1995-96 through 1999-2000; and

WHEREAS the Deloitte & Touche audit of the University for 1999-2000, meeting the requirements of the Auditor of State, has been received and the accounts, records, files, and reports of the University have been found to be in satisfactory condition, but certain recommendations have been discussed with the Fiscal Affairs Committee; and

WHEREAS the auditors' recommendations are being studied by the appropriate University offices, and procedures and responses are being developed as a result of the recommendations:

NOW THEREFORE

BE IT RESOLVED, That the report of the Deloitte & Touche audit for The Ohio State University for 1999-2000, including the report on the audit of the University's financial statements and the summary of significant recommendations to management, be accepted.

Upon motion of Mr. Skestos, seconded by Mr. McFerson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, and McFerson, Judge Duncan, Ms. Longaberger, and Ms. Hendricks.

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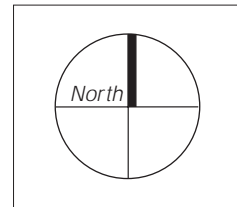
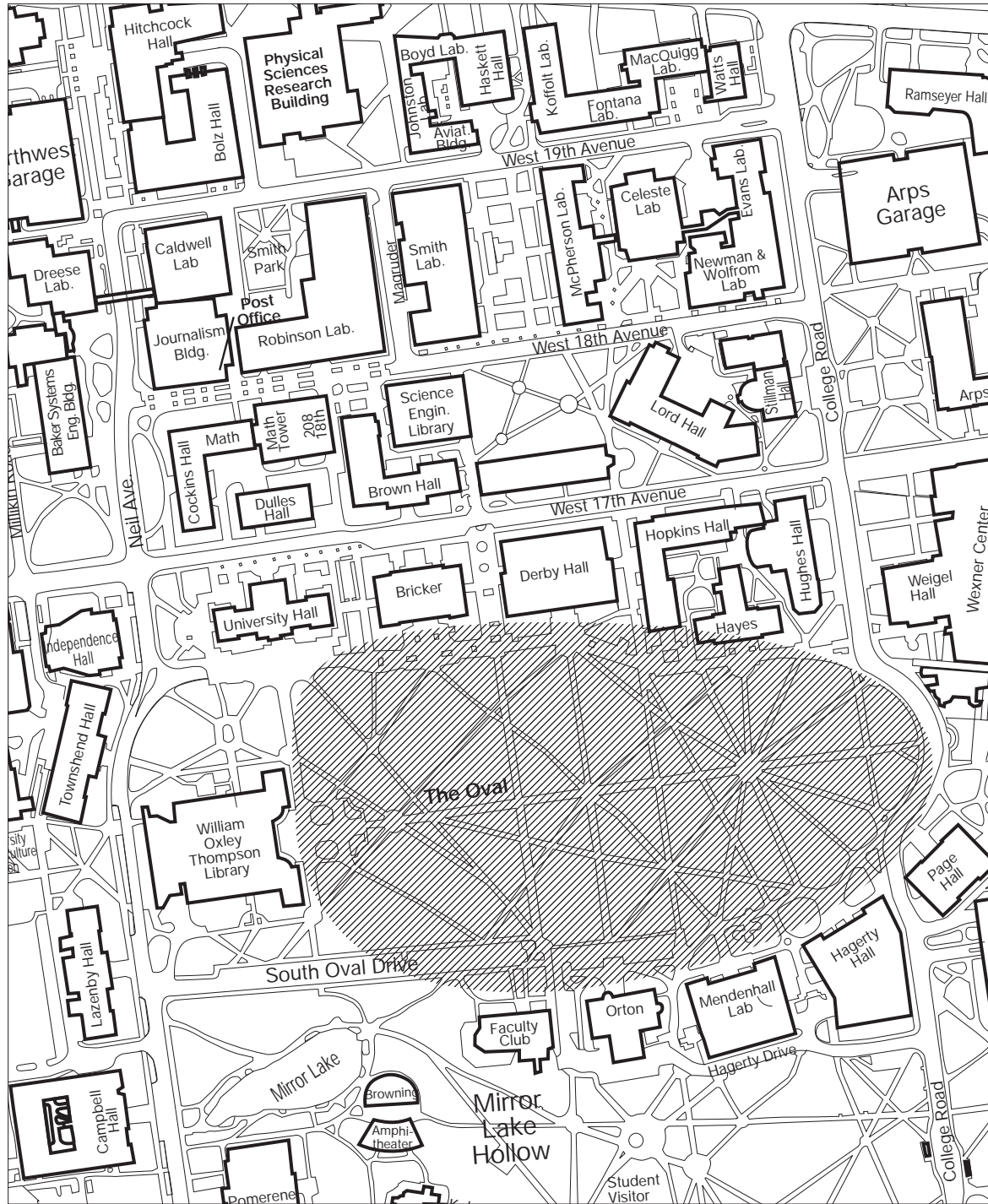
Thereupon the Board adjourned to meet Friday, December 1, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier
Secretary

George A. Skestos
Chairman

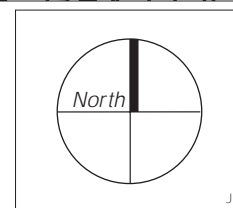
Oval Restoration - 2001



Office of Business and Finance
Office of Facilities Planning and Development

October 16, 2000

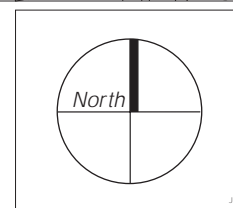
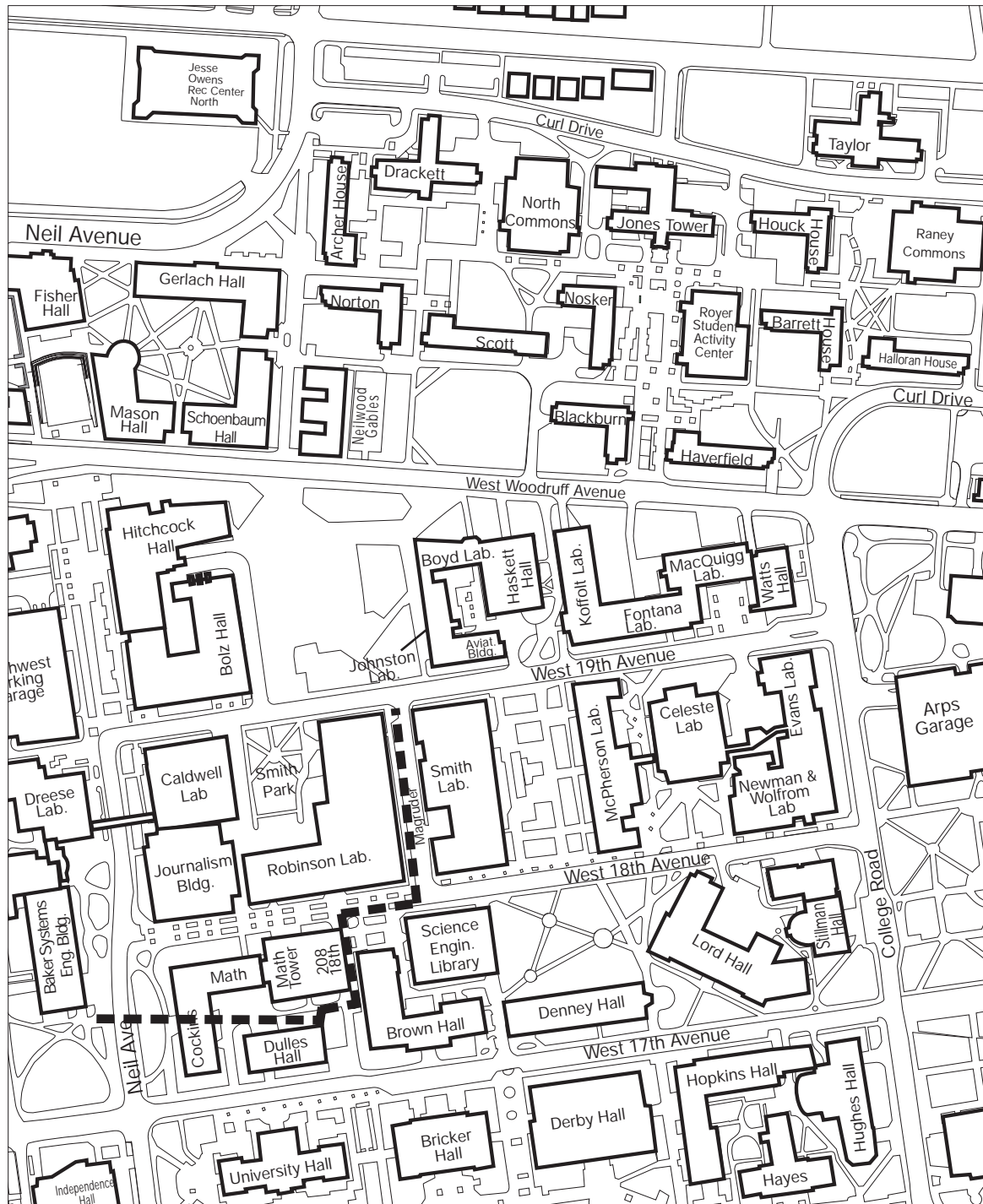
South Campus High Rise - Fire Alarm System Replacement



Office of Business and Finance
Office of Facilities Planning and Development

October 17, 2000

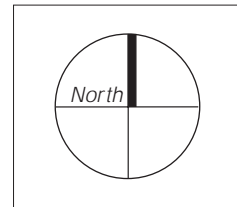
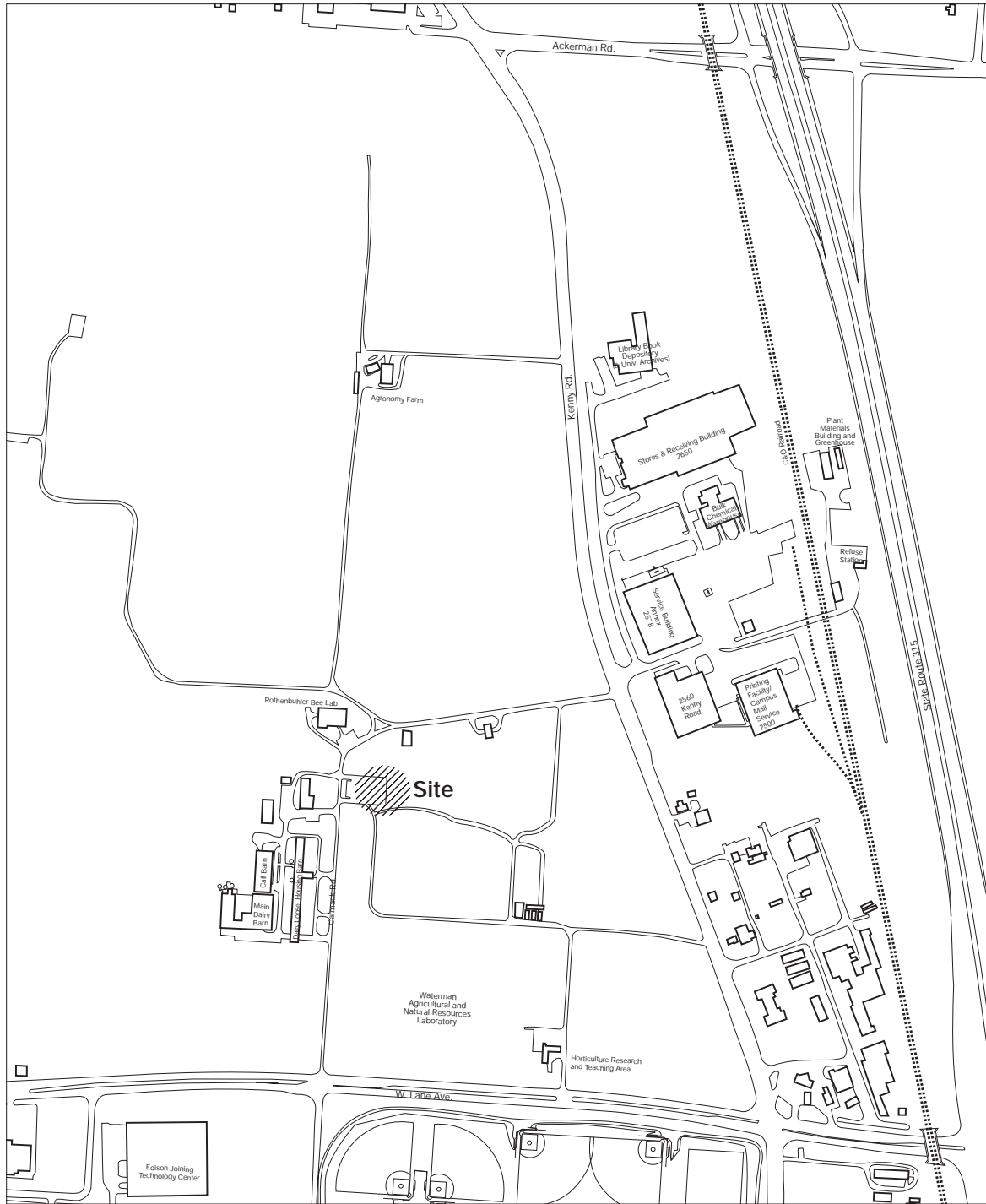
Utilities - North Tunnel Steamline Upgrade



Office of Business and Finance
Office of Facilities Planning and Development

August 7, 2000

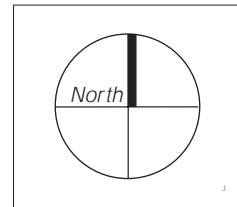
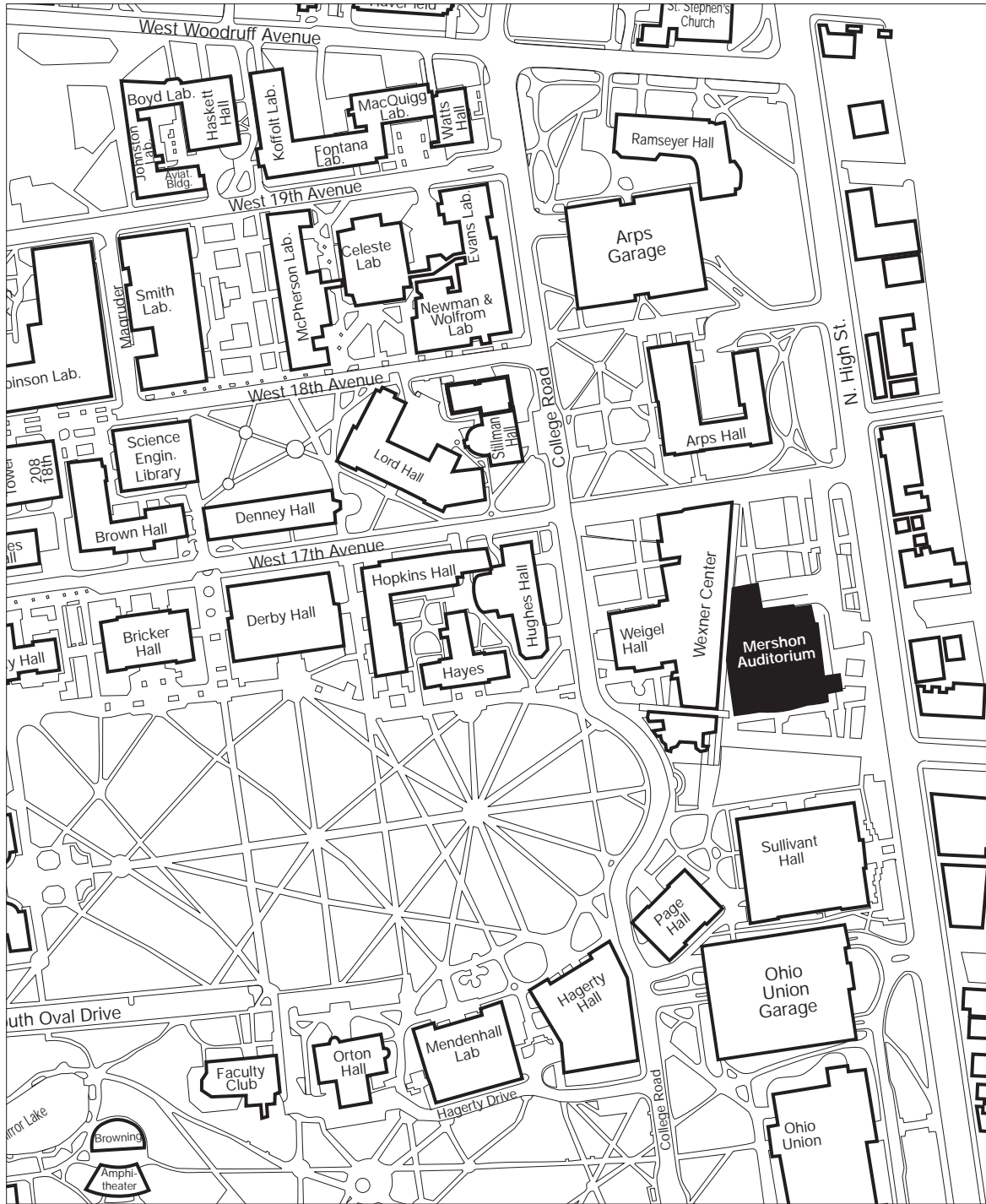
Waterman Laboratory Headquarters



Office of Business and Finance
Office of Facilities Planning and Development

August 7, 2000

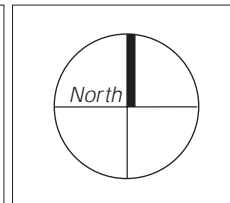
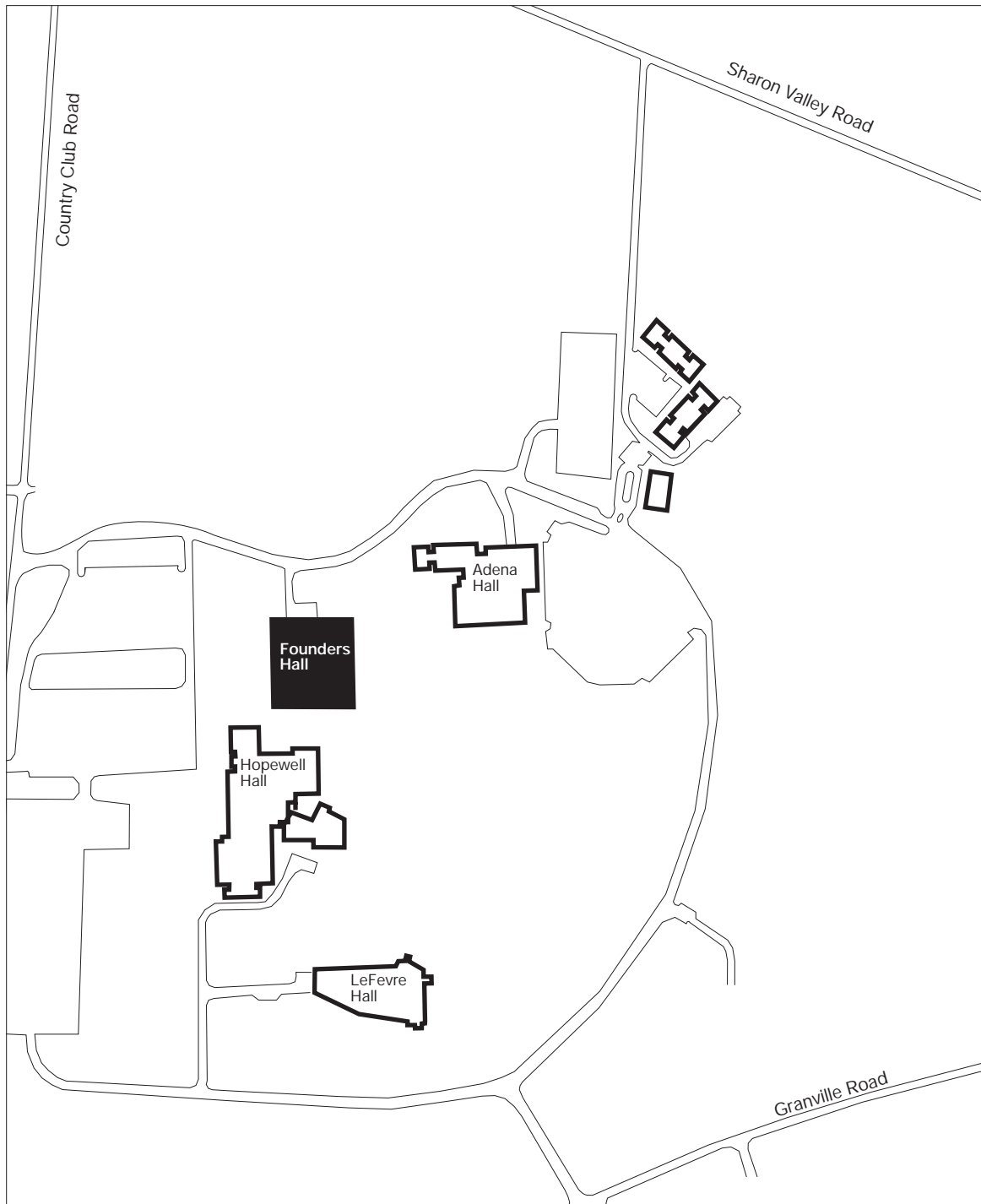
Mershon Auditorium HVAC System Improvement



Office of Business and Finance
Office of Facilities Planning and Development

August 5, 2000

Newark Campus - Criminalistics and Chemistry Research Lab Renovation



Office of Business and Finance
Office of Facilities Planning and Development

October 16, 2000

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SIXTY-EIGHTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 1, 2000

The Board of Trustees met at its regular monthly meeting on Friday, December 1, 2000, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 1, 2000 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on December 1, 2000, at 10:45 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Karen L. Hendricks, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiautraut.

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PRESIDENT'S REPORT

President William E. Kirwan: [PowerPoint Presentation]

My report this morning will be devoted primarily to the Academic Plan and its importance not only to Ohio State, but also to the State of Ohio and its citizens.

First, however, I feel it is important to report briefly on the campus area disturbances in the neighborhood east of High Street following the Michigan football game. Throughout that night, some 125 fires were set, four automobiles were badly damaged or demolished, and many car windows were broken. One student was stabbed as he tried to get people to leave a party in his apartment. Thankfully, he is recovering. Eleven students were arrested on a variety of charges and several more may be arrested before the Columbus police close this matter. What compounds the problem is that there was a similar event in the neighborhood just a few weeks prior to this one, so we can't think of these events as a one-time aberration.

As I'm sure you are aware, accounts of the events appeared on television and in newspapers across Ohio and around the country, if not the world. My office and E-mail have been flooded with letters and messages from parents, students, faculty, alumni, prospective students, and friends of the University. Almost without exception, these messages have supported the strong public stand we have taken. Many have suggested constructive steps we might try in order to moderate these kinds of behaviors.

We have to face the fact that as a university community, we are at risk of developing an image that is antithetical to our goals and aspirations for academic excellence. A visitor to our campus after that weekend wrote to me with the remark, "You never get a second chance to make a first impression."

What do we do about this? There are no easy solutions. In the short run, I felt it was critical to send a message that the University takes responsibility for dealing with student behavior of this nature. I have stated publicly that it was my intention to discipline any of these students where there is clear and compelling evidence that they were involved in acts of arson, destruction of property, or physical violence against another person. Bill Hall is interviewing all arrested students and will make recommendations to me in the near future on what disciplinary action should be taken.

For the longer term, the University Senate is considering extending the Code of Student Conduct to serious offenses that occur off-campus. Both the Undergraduate Student Government and the Council of Graduate Students have expressed support for this change in the Code. I think such action by the Senate would be a very constructive step.

In addition to a process that allows for strong punishment, I think we all agree that we need proactive measures as well. Measures that encourage and nurture more constructive behavior in our community. One of the positive things to happen in the aftermath of the disturbances -- a silver lining, if you will -- is the extent to which people have begun talking about new strategies. I want to thank

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

B.J. Schuerger, president of the Undergraduate Student Government, Ron Meyers, president of the Council of Graduate Students, Bill Hall, interim vice president for Student Affairs, and Vernon Baisden, assistant vice president for Safety and Security, for the very constructive roles they are playing in bringing people together to develop ideas that hold promise for the future.

Some of the things under consideration include a security service available for large parties in the area on the weekends and increased presence of University police in the University district, and more weekend programming in the Union. I also think that our major investment and commitment to Campus Partners and completion of the Gateway Project will be part of the solution because it will provide a wide variety of alternative entertainment activities.

It's also important to emphasize that, as with prior incidents, the recent problem was caused by a small group of individuals. The vast majority of our students are law-abiding and behave appropriately.

Let me conclude by repeating that there are no easy answers to this vexing problem. Clearly, we all need to work together as a community over a sustained period of time to find and implement effective solutions. It's essential that we do so, since nothing less than the quality of life for our student body and the reputation of our University are at stake.

Mr. Chairman, before I move on to the Academic Plan, I will pause to see if there are any questions or comments on this matter.

Mr. Skestos:

Mr. President, I believe that I can speak for the Board when I say that we're unanimous in our support for your position on these campus student disturbances. It is a situation that we all find intolerable and we will not let it continue. Any other comments or thoughts?

Dr. Kirwan:

I see a lot of nods, Mr. Chairman. Thank you very much for the comments and the support.

ACADEMIC PLAN PRESENTATION

Now on to the Academic Plan. We will proceed as follows: first, I will make a brief presentation; then two distinguished individuals, whom I'll introduce in a few moments, will comment on the plan and its importance. After that, several others will comment in a brief video and, finally, Provost Ed Ray will offer comments on how the plan is being implemented.

I think the first question we want to address is, "Why have an Academic Plan?" Why go to the trouble and the effort that we have gone to to produce this document? An effort that has involved hundreds, maybe even thousands, of people in our community and over 18 months of effort. In my mind there are three reasons: 1) we need to provide a clear strategic direction to elevate the University's quality; 2) we need to rigorously align resources with our University goals; and 3) we need to provide a context in which to measure progress of our University.

PRESIDENT'S REPORT (contd)

ACADEMIC PLAN PRESENTATION (contd)

President Kirwan: (contd)

Why does Ohio State need a truly great teaching and research university? I said a moment ago that the purpose of the plan was to elevate the quality of our University and why is it that this is a matter that should be of concern to the entire State of Ohio? Any state would want a great university within its boundaries, that's always desirable. But there's a new dynamic in our society that moves it beyond desirable to what I would call essential, and that is that our society and the world have entered the information age.

The information age is dependent upon two pillars. The first pillar is an abundance of well-educated graduates flowing from universities. The vast majority of jobs in the new economy require at least a college education. We need to become a magnet for the best-prepared students out of the high schools of Ohio and, quite frankly, across the country. As you probably know, as the State of Ohio, we are an exporter of our most talented students. We will not succeed in the information age if we don't reverse that situation. We need to be a magnet for outstanding students and that will occur when we have a university in Ohio that is seen as one of the nation's very best.

The other pillar of the information age is the innovation in intellectual property that comes out of the great research universities of America. I was so taken by a *New York Times* article that was written a little over a year ago, in which they surveyed the country to try to understand what regions of our nation were being successful in this new economy. They identified those regions and tried to come up with some of the common elements. I'll never forget the phrase in this article that said, "The one never-absent ingredient was a research university willing to change from an ivory tower to a revving economic engine." If Ohio is going to have success in this new information age, we must build in Ohio a great teaching and research university -- hopefully, more than one.

In setting the stage for the Academic Plan, we have to be honest with ourselves and analyze where we are? What is the perception of our University? How are we viewed? If you ask somebody on Madison Avenue in New York or in San Francisco what they think about Ohio State, probably the first thing that would come to their mind is a great athletic program. They'd probably also say good but not outstanding academics; big -- our size is certainly one of our distinguishing characteristics, but also a strong and spirited alumni.

What are our strengths? As we said about implementing this Academic Plan, we certainly have an improving reputation for our programs. For example, we are all pleased that in the fall issue of *U.S. News and World Report* the University jumped six places in the rankings. We see the reputation of many of our graduate programs steadily rising in the rankings and have an extraordinarily dedicated faculty and staff. I think I've mentioned it to you before, but one indication of this is that we have the most successful faculty and staff campaign in the entire nation, an indication of the way people feel about this University.

We have a steadily improving student body. Over the last three or four years we have gone from being near the bottom in the Big Ten -- in terms of the profile of our entering students -- to right at the median in the Big Ten. We have enormously enthusiastic alumni and strong support. We have the phenomenal success of our fundraising efforts under Jerry May's leadership and the spectacular \$1.23 billion capital campaign that we just completed. We have a growing recognition within our state that changes have to occur in the economy

PRESIDENT'S REPORT (contd)

ACADEMIC PLAN PRESENTATION (contd)

President Kirwan: (contd)

of Ohio. I think the bad news is Ohio is not well positioned in the new economy. The good news is our state and business leaders recognize this fact and realize that some things need to change, and greater investments need to be made in our universities.

Finally -- I was impressed by this from the day I walked on this campus and I continue to be impressed by the high aspirations this University has -- there's enormous pride in the position to which we've arrived as a University, but no one is satisfied. Everybody wants to see this place become even better.

What challenges do we face to realize our high aspirations? Well, we obviously have an inadequate resource base. I think Ohio ranks somewhere around 40th in per capita support for higher education. I think we have to acknowledge that our resources are not adequately aligned with our priorities. We've been discussing with you the budget restructuring underway, under the leadership of Ed Ray and Bill Shkurti. The main purpose of that is to better align our resources with the University's goals and aspirations. Of course, we're talking about a change and whenever you change, it's easier in a small organization. We are a very large place with a broad mission and very complex University, and this presents us with some special challenges.

What must we do? I think without any doubt the essence of this plan must be to build academic excellence. That's what this Academic Plan is all about. What do we need to do? We need a strategic plan. We call it an Academic Plan, but in the private sector we would refer to this as a strategic plan. In creating this plan, we went back to some very basic thinking. What is the purpose of our University? What is our vision? Our purpose is easy to state. It's to advance the well-being of the people of Ohio and the global community through the creation and the dissemination of knowledge. Our vision is to become one of the world's truly great universities.

I'd just like to comment briefly on what it would mean for us to realize this vision: it would mean that we were a place that had graduation and retention rates that compared favorably with the best public universities in America; that we had renowned faculty that were recognized around the world as leaders in their disciplines; that we had a campus whose diversity reflected the diversity of Ohio and the United States; that we were seen as a university that was truly engaged in addressing the major social issues of our day; and that we were a university that was universally admired for the quality of our work and enjoyed high levels of support from our alumni, the state and, indeed, the nation.

Our plan is built around six strategies and I want to say a word about these. The first strategy -- and really it's first for a good reason -- will depend upon the quality of the faculty here at the University. So Strategy One is to build a world-class faculty at Ohio State.

Under this strategy there are basically two initiatives. One of those initiatives is to begin a concerted effort to recruit over the next three to five years, 12 to 15 faculty of extraordinary stature -- people who are recognized world-wide as being absolute leaders in the field -- National Academy members, Nobel Prize winners, Pulitzer Prize winners, faculty of that ilk. We have begun that initiative already.

PRESIDENT'S REPORT (contd)

ACADEMIC PLAN PRESENTATION (contd)

President Kirwan: (contd)

The second initiative is to build support -- a support and compensation structure for our faculty that will compare favorably with our aspirational peers. An infrastructure within the University that will enable our faculty to realize their full potential.

Strategy Two is to develop academic programs that define Ohio State as the nation's leading public land grant university. I think there's a lot captured in this phrase because so much of what we're trying to do here as a university is to meet our responsibility to the state and the nation, and no expression better captures that than this notion of the land grant university. When you ask those same people on Madison Avenue or in San Francisco about Ohio State, we want them to say Ohio State is a leading public land grant university in America.

We're going to do this by continuing our very selective and effective program of Selective Investment. You've been enormously supportive in leading us into this strategy. As we go forward in the future, we're going to put much more emphasis on Selective Investment in multidisciplinary efforts. Also a part of this strategy is to address the significant deficiency we have in high quality research space. So we are looking to invest something like \$250 million over the next five years to build the quality and quantity of the research space at the University.

Strategy Three is to improve the quality of the teaching and learning environment at our university. Under this strategy, we want to better serve the educational and learning environment for our students by building state-of-the-art classrooms. This will provide our students and faculty with the latest information technology, so that we can deliver the highest quality state-of-the-art learning to our students. Also included in this strategy is a major renovation of our library to make it a 21st century learning resource for our University.

Strategy Four is to enhance and better serve the student body. We want to change our admission strategy to make it have high standards for admission throughout the year, for both entering and transfer students. We want to build better support services for our students -- better advising, better career counseling. We want to increase our capabilities through technology for distance education and distance learning. We want to think of our students as not necessarily being those located on one of our campuses, but students that we can serve through distance education in Ohio, and across the nation -- indeed, around the world.

Strategy Five is to create a more diverse University community. There are two initiatives under this strategy. The first initiative is to have a very focused recruitment effort to recruit five to 10 senior women faculty and five to 10 senior minority faculty, leaders in their fields, over the next five years at the University. If we are successful in doing that, we will significantly enhance the diversity of our faculty. The second initiative under this is to do a better job at recruiting able minority students to our University with the goal of having our graduation rate of our minority students be on a par with that of the University as a whole.

Strategy Six is to help build Ohio's future. Again, this comes back to our heritage as a land grant university. Under this strategy, there are two major initiatives. The first initiative is a significant effort in P-12 education. Ohio State is well positioned to become not just a leader for our state, but a leader in the nation in

PRESIDENT'S REPORT (contd)

ACADEMIC PLAN PRESENTATION (contd)

President Kirwan: (contd)

addressing the issues we face in P-12 education. We're going to develop a new teacher preparation educational track for future teachers, involving the College of Education and the Colleges of the Arts and Sciences. We are going to build initiatives with the Columbus City Schools to see if we can't build some model schools in the University district in partnership with the Columbus City Schools. We are developing a Principals Academy here at Ohio State where we intend to train principals for schools throughout the State of Ohio and we hope that the Glenn Institute will become a real focal point for policy development related to P-12 education, both in Ohio and in the nation.

The second element of this strategy is to be the catalyst for building Ohio's economy in the information age. Here, you've already made a significant investment in Sci-Tech as an outlet for the intellectual property and innovation coming from our faculty. We want to expand those efforts to develop stronger partnerships with the private sector to make Sci-Tech a real hub of activity and source of innovation in building Ohio's economy for the future.

One of the things that I think distinguishes our Academic Plan from those that are produced at many other universities is that we have gone to the effort to actually identify the costs for these various initiatives. This is not just a document that expresses aspirations. We have identified initiatives and we know what these initiatives will cost, and we have laid out these costs. We're talking about a five-year period, starting with this year. So you see that in the Six Strategies we've identified the continuing ongoing cost and the one-time funds necessary to carry out these initiatives.

Let me just say a word about the last one, "Help Build Ohio's Future." We have a placeholder there because we are at work right now developing the plans for our P-12 initiative, as well as with the Sci-Tech and the Tech Partners. So there will be funds necessary to add to the bottom line here. We do think most of the support for these two initiatives can come from sources outside of the University.

The point is that we're talking about an initiative that over a five-year period would cost between \$700-900 million. Taking \$100 million for the five years, plus the one-time cost, you can see this is a very substantial investment of resources.

Where will we get the money? We've gone through quite an exercise trying to make realistic estimates of where we can generate the funds necessary to support this plan. I've indicated here some of the items that we will be looking to to generate these funds. I would describe these estimates as somewhere between realistic and optimistic -- maybe about halfway in between. But when you add this all up, we get a range of continuing funds on the low side -- the more pessimistic side -- of \$54 million and \$400 million of one-time funds. On the high side, there is \$117 million of continuing funds and \$555 million of one-time funds.

This means that we're talking about -- even in a pessimistic scenario -- being able to generate an expenditure of around \$600 million. Remember, the investment we called for was between \$700-900 million. So we believe we have a realistic plan here, and that we will be able to generate a significant amount of resources to invest in this plan. Perhaps we will not get all of the resources necessary, but, hopefully, a substantial part of them.

PRESIDENT'S REPORT (contd)

ACADEMIC PLAN PRESENTATION (contd)

President Kirwan: (contd)

We intend to measure progress. This is a plan that's going to be a living document. We're going to come back to it every year and give you a report card. We've identified measures. We're going to measure the academic and program reputation by the rankings of our programs. We're going to look at our market share of research funds. We're going to look at the academic preparation of our new students – how good are we at attracting well-prepared students to the University? We're going to look at our four-year and six-year graduation rates. I hate the fact that the U.S. Department of Education measures these in terms of six-year graduation rates, but we have to do that because that's the national standard. But we are going to also put into our plan the four-year graduation rate as an important benchmark. We're going to look at our success in the recruitment and the retention of minorities, both students and faculty, and we're going to look at our success in economic development. How successful are we in making a difference in the economy of Ohio?

My concluding thought is that I really do feel that this is a special moment for Ohio State. A lot of things have come together at this particular time – a tremendous planning effort, a highly successful capital campaign, a renewed interest in the state and in the importance of higher education, and, in particular, the importance of Ohio State. I truly think that this is the moment when Ohio State can step up and reach a higher level of excellence within higher education.

That is an outline of the plan and I would thank you for your attention to it.

I would now like to turn and introduce some colleagues who will speak to our strategy with this Academic Plan. We're very fortunate to be able to begin this morning by hearing from Dr. Marye Anne Fox, chancellor of North Carolina State University and a distinguished scientist and university administrator. You'll be interested to know that she is a native of Canton, Ohio. She received a Bachelor of Science degree from Notre Dame, a Master of Science degree from Cleveland State, and a Ph.D. degree from Dartmouth.

She was a post-doctoral fellow at that distinguished university, the University of Maryland – an outstanding credential, in my view – and spent more than two decades at the University of Texas, where she held a number of prestigious positions before being named vice president for research. Her exceptional record in research has led to her election to membership in the National Academy of Sciences, the American Academy of Arts and Sciences, and the American Philosophical Society.

Two years ago, Dr. Fox assumed her current position, as I say, as the chancellor of North Carolina State University. She is well versed, not only in higher education and research universities, but in economic development as well. I think it's no accident that she was the vice president for research at the University of Texas during this period where it made this meteoric rise as a major force in that state's economy.

She's also had some influence on the State of North Carolina because the voters in North Carolina – I want everybody in Ohio to know this – just passed, by 74 percent, a \$3 billion revenue bond to rebuild the infrastructure of their higher education institutions in the State of North Carolina. We're talking about a state that already has some pretty wonderful universities.

PRESIDENT'S REPORT (contd)

ACADEMIC PLAN PRESENTATION (contd)

President Kirwan: (contd)

I think all of this indicates that Marye Anne has observed first-hand the close relationship between universities and economic development, and she's going to speak a bit about her experiences in Texas and North Carolina, and share her thoughts on the synergy between research universities and economic development and how our Academic Plan might fit into this.

Please welcome Marye Anne Fox.

ECONOMIC DEVELOPMENT IN TEXAS AND NORTH CAROLINA

Dr. Marye Anne Fox: [Overhead Presentation]

I thought I'd start out by telling you a little bit about the motivation for driving economic growth, and I had the privilege of serving Governor Bush on his Council of Advisors of Science and Technology when I was at Texas.

I wanted to point to a transparency that was shown just a few years ago in Austin. Although there is some distortion of this transparency, what is clear is that there is a different typeface in Number One than all the rest of the things that appear on here. That is that the thing that was viewed then, as today, as limiting the growth of economic development in the State of Texas, that they were behind in labor supply and skill development. This was judged as much more important than the other things that have been traditionally suggested as important for growth – business climate issues, public sector role, research and development resources, particularly from the federal sector, a change in the mix of agricultural and natural resources -- read in that statement oil, oil growth in Texas.

Even further down the list what you find are some of the questions that people have focused on – capital, venture capital in particular; the lack of an infrastructure that allows these assets to be developed; and the lack of an international perspective. So, again, what I'm trying to show on those two slides is that educational issues, having a truly effective means by which students can move from the public schools into a position of leadership for commercialization was regarded as the most important factor in revving the economic engine, as Brit put it.

The next thing that I would like to point out to you is that high tech employment has been flourishing around the country. I'll point out that Texas, at the time, had the second-largest number of high tech employees in the United States. You can look at Ohio, and see that Ohio is also one that is moving, especially compared to Michigan and Wisconsin, who are investing heavily in an academic of the sort you're talking about.

You can also see other growth in various places where there has been major investment by the state. Georgia, for example, is having spectacular growth, Florida has a lot of growth – I think they're still counting the number that they have there, but they are indeed growing very well.

Here's another question that is of significant concern and that is that it is clearly recognized that the need for growth is predicated on having people who understand applications, and that has very effectively correlated with the number of engineering bachelor's degrees.

PRESIDENT'S REPORT (contd)

ECONOMIC DEVELOPMENT IN TEXAS AND NORTH CAROLINA (contd)

Dr. Fox: (contd)

It was regarded with alarm that in Texas, in the decade from 1986 to 1996, that the number of engineering bachelor's degrees had declined. If you look in contrast to Ohio, the decline is even worse. That should be an alarm to you that you need to make an investment. You'll see, in contrast, that North Carolina has a positive sign and this was one of the factors of why I left Texas and went to North Carolina.

One of the things that Texas did very effectively was to work with the private sector in developing collaborations at the research level that led to spinouts and creations of new industries. I think many of you are familiar with one of the principal industries, which can evolve independent from that – that's Dell Computer, in which we had a University of Texas dropout go to the private sector with an idea that has been spectacularly successful. So it is true that there can be businesses that evolve without the involvement of universities, but having done so, I can tell you that Michael Dell relies very significantly on the university for people who provide leadership to his company.

One of the successes that happened in the early '90s is that SemiTech created a facility which was jointly sponsored by the university, the federal government, and a number of industries. In fact, that was formed in response to SemiTech – the Semiconductor Industry Association. Together with all of these inputs, it generated a technology road map which has transformed the hardware industry and has made the United States a competitor.

If you look back to the late '80s, it was thought that the United States was losing that business completely. It's because of this cooperation, particularly between the government, university, and industry, that that was turned around and, in fact, has driven much of the recovery in the semiconductor industry – partly because of that collaboration with industries and universities.

If you think about these as spectacular successes and as challenges, you can see that it was viewed in Texas, as it is in North Carolina, that it is essential that establishing workable contacts between university and industry is exceedingly important. Partnerships have to be an important part of technological development and you have to recognize the potential for opportunity in new areas. You have to facilitate the interaction structurally and that requires public as well as private investment, and you need to benchmark what is accomplished in the Academic Plan and in the Ohio Plan. The same list is essentially what drove North Carolina to invest in the Research Triangle Park in the early '50s, and has led to the major success that that has had.

We have outgrown the Research Triangle Park; almost all of the land is committed. What they have told us very clearly is that if that growth is to continue, what we have to have is a means by which easier communication between the university, industry, and government needs to take place.

During our centennial year at North Carolina State, we have received what we consider the second kind of land grant. NC State is a land grant institution, as is Ohio State, and so we have a traditional mission in agricultural extension around the state, which has been updated to include urban planning, engineering extension, and social services around the state. But what we have done uniquely

PRESIDENT'S REPORT (contd)

ECONOMIC DEVELOPMENT IN TEXAS AND NORTH CAROLINA (contd)

on our Centennial Campus is we've provided a means by which companies can start and can collaborate by co-locating research, by sponsoring graduate fellowships, by having undergraduate co-ops, and by having research sponsorship agreements with the faculty in a new and useful way.

These partnerships are unique, and it's important to recognize that this Centennial Campus – 1,000 acres devoted to this kind of collaboration – is not a real estate development. Companies can only come onto our campus if they have an existing partnership with the university's program, if they are actively participating in technology transfer, and if they are actively involved in economic development with the state. When they have done so, we have had really spectacular growth.

I've got a photo here of what has happened since 1990 on our Centennial Campus. The buildings at the forefront represent the university's expansion – the buildings in the back are downtown Raleigh, so you can see that it's very close to the state capital. The buildings represent both contributions from the state budget, state allocations -- the College of Textiles, for example, is one of those buildings – and many of them are private sector buildings in which companies rent anything from one small office to an entire building. We have companies that range from start-ups, having two or three employees, all the way to Lucent Technologies, which has 500 graduate scientists and engineers working on our campus.

It's had the effect of increasing the industrial support by about 20 percent over the last three years. We have grown from having 22 industrial partners when I joined the campus in August 1998 -- about the same time Brit came here -- to now having over 70 industrial partners. And we have about 1,500 students who are supported either full or part-time by collaborations with them, working toward their degrees.

I have to admit that this is not without problems and challenges. As we promote these contributions, we have industry concern about flexibility in handling intellectual property. We have been able to provide a physical location for these on-campus activities, and to integrate many of them into our academic degree programs. That, in turn, leverages the private sector investment in making public commitment and advances.

This is, as is true here, a very large university, and therefore system inertia to making these changes. It is difficult for industry to think about the time scale that traditionally universities work on. The graduation times for undergraduates have typically gone from four years to a little bit longer than five for engineering. Graduate programs typically are considered short, if students are finished in four years. But industry thinks of four years as a lifetime, particularly in cycling of products. The question of time is really one that is really important, in terms of the ability to come together in a meaningful way.

What you need to do to exploit the same kind of achievements that we've been able to accomplish on our Centennial Campus, and what was accomplished indirectly in Austin, is to make sure you have faculty who are interested and committed to commercialization and to collaboration. You have to have a means by which land is used and which bonding authority can be put together in identifying serious partners who participate in the collaboration.

PRESIDENT'S REPORT (contd)

ECONOMIC DEVELOPMENT IN TEXAS AND NORTH CAROLINA (contd)

Dr. Fox: (contd)

My judgment is that the Academic Plan that you've assembled here addresses all four of these issues, both in terms of your capital investment, as well as identification of faculty leaders. I don't know about your bonding authority, but I think without the first two, it's very difficult to identify serious partners who can drive the economic engine that you need to succeed.

I hope I've been able to tell you a little bit about the thought process that goes into collaboration between universities and industries – why it's a significant part of economic growth, and why I believe that both the Ohio Plan and the Academic Plan that you've assembled are really vital to letting Ohio State participate in the kind of growth that I've been discussing.

Thank you for letting me visit with you. My mother will appreciate it – it's her 80th birthday next week, so you gave me an excuse to come home. Thank you.

Dr. Kirwan:

Marye Anne, thank you very much for an excellent presentation.

It's now our privilege to hear from Priscilla Mead. As most of you know, Priscilla has represented Upper Arlington -- where she was once Mayor -- and other nearby areas in the State House of Representatives for eight years, and was recently elected, overwhelmingly, to the Ohio State Senate. In the House, she has served as vice chair of the Finance and Appropriations Committee.

Representative Mead is a superb legislator who happens to have a number of important connections with Ohio State. She and her husband are both graduates of Ohio State, and she represents more of our faculty and staff in her district than any other state legislator. In addition, she has a special interest in technology, and serves on the board of the Science and Technology Campus Corporation -- Sci-Tech -- which oversees our Science and Technology Center.

Priscilla, we're delighted to have you with us to share your thoughts on the importance of having a top-tier research university in Ohio.

IMPORTANCE OF HAVING A TOP-TIER RESEARCH UNIVERSITY

Representative Priscilla Mead:

Thank you so much, President Kirwan. I would like to touch briefly on four points: 1) the need for a nationally recognized research institution or university in Ohio; 2) the challenge of recruiting world-class faculty; 3) the importance of research and investment in technology to our local economy; and 4) what it means to Columbus and to Central Ohio to have an excellent research university here.

My predecessor has just described the ladder of success that we see in North Carolina. I'm reminded that life is much more like a board game than it is a ladder of success, because anytime we land on somebody else's piece, somebody gets sent home. We are in a great competition at this point to bring the kind of investment to Ohio and the kind of recognition to the talent that is here and that we are going to attract here.

PRESIDENT'S REPORT (contd)

IMPORTANCE OF HAVING A TOP-TIER RESEARCH UNIVERSITY (contd)

Representative Mead: (contd)

We are in a blood sport and it is extremely important at this time for the State of Ohio, the University, and our research institutions that are University-related to embrace what we need to do in order to change the kinds of investments that we make in people and in ideas. It is a very difficult time to be able to make those kinds of changes, but it is critical to the University to make those changes in the coming budget and in the budgets in the very near future. We, indeed, have a very difficult board on which to play. The need for a nationally recognized, truly great research in Ohio has never been more at stake. It really is the determination of what Ohio's economic future is going to be and what Ohio's economic development policies will be.

We've moved to brain from brawn in the workplace. We are beginning to change our investments, and The Ohio State University remains the major catalyst for the State of Ohio to know that we need to embrace a future where success is measured by the ideas that we nurture and implement. That is a very difficult concept to explain to people, and one that Ohio State is very important in in expressing that kind of change.

When we talk about recruiting world-class faculty, we can always talk about financial commitment and facilities that we can use to recruit. But, without this University's commitment to student excellence -- which is a difficult concept in this coming time -- and without the private and public investment that must come to the University from throughout Ohio and the region, we will not be able to land on a spot and send somebody else home. We need to have the advantage of all of those parts in working together to have the strength to be able to move toward home.

I think that we do talk about the importance of research and investment at the local economy, but I almost want to reverse that. It's the importance of a local economy in investing in what's happening at the University that is so key in recruiting world-class faculty, and also in making sure that the Ohio region and the Midwest is competitive with other areas of the country and the world. It's very much a symbiotic relationship to have the private investment walking with the University and, without that partnership, we will not be able to accomplish the goals which seem so reachable when we make investments up front in bringing good research and facilities to this state.

We are the host community in the Central Ohio area to this wonderful institution, and Ohio State certainly relies on the Greater Columbus area for quality of life and for the opportunity for development of research and technology as businesses are nurtured. This community sustains a certain spirit that makes it a very attractive place to live. But the region relies just as importantly on Ohio State to bring the kind of people to our area that enrich us all and to help us set the standards that we do enjoy in Central Ohio. With the wonderful intellect and the experience of the University community in the Central Ohio area, we have an opportunity to present ourselves in a way that will attract others to join the intellectual community that already is here.

The public investment in a land grant university is a very precious investment indeed. We have heard that North Carolina has made their commitment to embrace a new concept for the land grant university. And I think that we are already, because of the work of The Ohio State University Board, faculty, and

PRESIDENT'S REPORT (contd)

IMPORTANCE OF HAVING A TOP-TIER RESEARCH UNIVERSITY (contd)

Representative Mead: (contd)

staff – we are ready to embrace that in Ohio, as well. I think there is no better example of our willingness to work together – locally, regionally, university, community, private investor, public money – than the transformation of our West Campus, our Science and Technology Campus.

It was, a very short time ago, largely cornfields and auxiliary buildings with activities that did not necessarily have the exposure in the larger community. But today it has become a hub – a hub for ideas, a hub for people. We now have a place in which we can draw those ideas and people together and create a synergy that will help to sustain the effort of this University to recruit world-class people, to bring world-class opportunities to our state.

Thank you for your investment in time and in teaching us, as a community, what we need to know to be able to move ahead tomorrow. Thank you.

Dr. Kirwan:

Priscilla, thank you so much for all you do for our state and our University. We are indebted to you. Thank you very much.

Several others are going to share their views on the Academic Plan through a very brief video. You will see Alex Shumate, board chair of the Greater Columbus Chamber of Commerce; B.J. Schuerger, president of the Undergraduate Student Government; Susan Fisher, secretary of the University Senate; and Representative Jim Trakas, whose district includes eastern portions of Cuyahoga County. Jim is a graduate of Ohio State and was recently elected Majority Whip of the incoming House of Representatives.

[Video Presentation]

Dr. Kirwan:

I want to thank all of our presenters today -- those who were here with us, and those who made comments for the video. To conclude our presentation of the Academic Plan, I would like to ask Provost Ed Ray to say a few words about the plan implementation. Ed --

ACADEMIC PLAN IMPLEMENTATION

Provost Edward J. Ray:

Thank you, Mr. President. I want to make a couple of observations about the next steps. But first, as you've already indicated, we're initiating specific actions to implement the plan. Some examples: the Senate and the Arts and Sciences faculty have already begun discussions of possible changes in the General Education Curriculum and the issue of whether we should move from quarters to semesters; we've put out a call for proposals to seed activities that could lead, ultimately, to the development of multi-cultural centers; we've also put out a call for proposals for hiring exceptional senior faculty and those are elements of the Academic Plan.

More broadly, we're developing what one might call the "work orders" for actually implementing the Academic Plan. For each of the priority and facilitating actions

PRESIDENT'S REPORT (contd)

ACADEMIC PLAN IMPLEMENTATION (contd)

Provost Ray: (contd)

that are part of the Academic Plan, there are a number of questions that have to be asked. First, what must be done? Who is responsible? What is the process required for implementation? What are the resource requirements and the time profile for action? How will we measure our success?

We're now working in collaboration with the Senate, the Council of Deans, the Planning Cabinet, the faculty, staff, and students in general, in a sense, to put together these work orders as the next major step in detailing how we move forward with the Academic Plan. Thank you.

President Kirwan:

Thank you very much, Ed. Mr. Chairman, members of the Board, this completes the presentation on the Academic Plan. I'd like to see if you have any questions at this time.

Mr. Brennan:

I'd like to ask Dr. Fox a question, if I may. I'm speaking for all of us, we're very impressed with your personal track record in this regard. Recently, I've had occasion to understand that Texas has spent a lot of effort trying to improve K-12 education. I know North Carolina is doing its efforts as well, but, specifically, North Carolina about 20 years ago started the North Carolina School of Science and Mathematics in Durham, and that effort intrigues me in light of what you do. Has that had an impact on your program, the students coming from that program?

Dr. Fox:

Absolutely. The students graduating from that program are exceptionally strong students, and they are distributing themselves around institutions in the state. But as you can imagine, a lot of them come to NC State because of our focus on science and technology.

I have to say as well that one of the challenges we've faced in North Carolina is really a burgeoning population in K-12. We expect to have 35 percent more students seeking higher education 10 years from now than we do today. It's really very clear that that's going to happen. There are 65 percent more kids in the first grade this fall than there are in the 12th grade in North Carolina.

We're already at a stage where our research universities are over capacity, much the same problem that you have at Ohio State. We had to reject 5,000 students this last fall who would have been admitted just two years ago. We are at a stage where the incoming high school grade point average of our student body was 3.94. When I told my board of trustees that, I asked them how many of them could have gotten in. I won't ask you the same question.

It's really a stage where that was really the driving force for much of the bond referendum that Dr. Kirwan referred to. We have to expand opportunity, especially at a stage where North Carolina is one of the worst states – it's in the bottom quintile in terms of college-going rate. Now Ohio is a little bit better, but the report that came out yesterday about measuring progress says that

December 1, 2000 meeting, Board of Trustees

PRESIDENT'S REPORT (contd)

ACADEMIC PLAN PRESENTATION (contd)

Dr. Fox: (contd)

affordability is a serious problem in Ohio. And I think that's another thing that can be potentially addressed by the Ohio Plan, as you go forward.

Mr. Brennan:

Thank you, Dr. Fox.

Mr. Patterson:

Quick comment. I certainly cannot attest to the accuracy of my thought, but it's my perception that what I think we're aspiring to with our Academic Plan is exactly what we should be doing. Our challenges are great; the rewards will be just as great. But it seems to me -- and I capsule it, and this is where I may be erroneous -- we are trying to be the University of Michigan -- in many ways we are better than them; Michigan State; we're trying to be a University of California-Berkeley; and a University of California-Davis. I'm not sure about North Carolina, but we're trying to be a Duke and a North Carolina State, too. I don't know how many universities are doing this, or perhaps have ever done it, but I think you folks are to be commended for Ohio State trying to do this and aspiring to these standards of excellence.

Dr. Kirwan:

That's a very perceptive comment and one that I am so glad you made, because this Academic Plan is about so much more than just high rankings. It's how we can turn the intellectual prowess of our faculty and student body to benefit the state in real and significant ways by focusing energies and our efforts on addressing issues and problems that truly matter to the state. That is captured the way you described what we are. We're a combination of a University of Michigan and a Michigan State, or a North Carolina State and a Chapel Hill. There is a unique character about this University and something that is a source of pride to us, and that we must never forget.

Any other questions? If not, Mr. Chairman, there is a resolution before you for approval.

APPROVAL OF THE ACADEMIC PLAN

Resolution No. 2001-62

Synopsis: Approval of The Ohio State University Academic Plan to set a vision for the University for the 21st Century and to endorse strategies and initiatives for achieving that vision is recommended.

WHEREAS The Ohio State University exists to serve the people of the State of Ohio and the world through teaching, research, and service; and

WHEREAS The Ohio State University aspires to become one of the world's great public research and teaching universities in order to better serve the people of Ohio; and

December 1, 2000 meeting, Board of Trustees

APPROVAL OF THE ACADEMIC PLAN (contd)

WHEREAS the presence of a top-tier teaching and research university is essential if Ohio is to transform itself into a New Economy leader; and

WHEREAS achieving the highest levels of academic excellence will benefit all those who study and work here; and

WHEREAS the President and the Provost, following broad consultation with faculty, staff, students, friends, and supporters of the university, have created an Academic Plan with a vision and strategies to enhance academic excellence and move the University to the top tier of teaching and research universities:

NOW THEREFORE

BE IT RESOLVED, that upon the recommendation of the President, the Board of Trustees approves the vision and strategies articulated in the current Academic Plan documents; and

BE IT FURTHER RESOLVED that the President and Provost should move forward with the implementation of the vision and strategies articulated in the current Academic Plan documents; and

BE IT FURTHER RESOLVED that the President and Provost are authorized to modify the Academic Plan documents as needed in furtherance of the vision, and should report back annually on their progress.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, and McFerson, Ms. Longaberger, and Ms. Hendricks.

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CONSENT AGENDA

President William E. Kirwan:

We have 16 resolutions on the Consent Agenda today and I would like to ask that numbers 4, 10, 11, and 15 be held for separate vote. Unless there are any objections, I would like to recommend the remaining twelve resolutions on the consent agenda to the Board:

NAMING OF JACK NICKLAUS DRIVE

Resolution No. 2001-63

Synopsis: The naming of Jack Nicklaus Drive, located on the Columbus campus, is proposed.

WHEREAS the Jack Nicklaus Museum is located on the road which connects Borrer Drive to the Woody Hayes Athletic Center on The Ohio State University campus; and

WHEREAS Jack Nicklaus has brought international recognition and credit to The Ohio State University through his extraordinary career as a professional golfer and businessperson; and

WHEREAS the life of Jack Nicklaus is entwined with The Ohio State University as a student, varsity athlete, alumnus, donor, parent of students and volunteer; and

December 1, 2000 meeting, Board of Trustees

NAMING OF JACK NICKLAUS DRIVE (contd)

WHEREAS Jack and Barbara Nicklaus have been very loyal and supportive of Ohio State and the central Ohio community; and

WHEREAS Jack Nicklaus is one of the greatest golfers who have ever played the game:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the university road which runs from the Woody Hayes Athletic Center to Borror Drive be named "Jack Nicklaus Drive."

ADMINISTRATIVE NAMING OF FACILITY

Resolution No. 2001-64

Synopsis: Approval of the administrative naming of the Floriculture Research and Biocontainment Facility, located at 670 Tharp Street, as the Ornamental Plant Germplasm Center is proposed.

WHEREAS the Biocontainment Facility was originally placed on campus as part of Ameriflora '92; and

WHEREAS a cooperative agreement was signed in July 1995 between The Ohio State University and the United States Department of Agriculture to establish the Ornamental Plant Germplasm Center; and

WHEREAS this research center will be located at 670 Tharp Street on The Ohio State University campus:

NOW THEREFORE

BE IT RESOLVED, That the facility located at 670 Tharp Street be named the "Ornamental Plant Germplasm Center."

NAMING OF THE PARKER FOOD SCIENCE AND TECHNOLOGY BUILDING

Resolution No. 2001-65

Synopsis: The naming of the new Food Science and Technology building, located at 2015 Fyffe Road on the Columbus campus, is proposed.

WHEREAS The Ohio State University is completing a new Food Science and Technology building on the Columbus campus to improve our ability to teach students, conduct research and provide corporate outreach; and

WHEREAS this new building was a partnership between the State of Ohio and donors to the College of Food, Agricultural, and Environmental Sciences, with \$6.2 million raised to help complete and equip the facility; and

WHEREAS Thomas L. Parker, an Ohio State alumnus, business leader and active community citizen made a major gift to complete the funding of the new building and assure the future programs of the Department of Food Science and Technology; and

December 1, 2000 meeting, Board of Trustees

NAMING OF THE PARKER FOOD SCIENCE AND TECHNOLOGY BUILDING (contd)

WHEREAS this gift is a capstone to many years of generous support given by Mr. Parker to the department, the college and The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees, as set forth in paragraph (F) of rule 3335-1-08 of the Administrative Code, approves the building located at 2015 Fyffe Road be named the "Parker Food Science and Technology Building."

**DEGREES AND CERTIFICATES
AUTUMN QUARTER COMMENCEMENT**

Resolution No. 2001-66

Synopsis: Approval of Degrees and Certificates for Autumn Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on December 8, 2000, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2001-67

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 3, 2000 meeting of the Board, including the following Appointments/Reappointments, Appointment of Chairperson, Professional Improvement Leave, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointment (The Ohio State University Medical Center and The Ohio State University Hospitals East) approved October 26, 2000, by The Ohio State University Hospitals Board, and the Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) approved October 4, 2000, by The James Cancer Hospital Board, be ratified.

December 1, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Appointments

Name: ALLAN R. MILLETT
Title: Professor (The Major General Raymond E. Mason, Jr. Professorship of Military History)
Center: Mershon Center
Term: July 1, 1998, through June 30, 2003

Name: JOHN F. SHERIDAN
Title: Professor (The George C. Paffenbarger – Alumni Chair in Dental Research)
College: Dentistry
Term: January 1, 2001, through December 31, 2005

Name: HENK VERWEIJ
Title: Professor (The Edward Orton, Jr., Chair in Ceramic Engineering)
Department: Materials Science and Engineering
Term: October 1, 2001, through September 30, 2006
Present Position: Professor, Inorganic Materials Science, University of Twente, The Netherlands

Reappointments

Name: MARVIN T. BATTE
Title: Professor (The Fred N. VanBuren Professorship in Farm Management)
Department: Agricultural, Environmental, and Development Economics
Term: October 1, 1998, through June 30, 2001

Name: JOSEPH W. RICH
Title: Professor (The Ralph W. Kurtz Chair in Mechanical Engineering)
Department: Mechanical Engineering
Term: July 1, 2000, through June 30, 2004

Name: THOMAS L. SPORLEDER
Title: Professor (The Farm Income Enhancement Endowed Chair in Agricultural Policy, Trade and Marketing)
Department: Agricultural, Environmental, and Development Economics
Extended Term: July 1, 1994, through June 30, 2001

Appointment of Chairperson

April 1, 2001, through September 30, 2001

East Asian Languages and Literatures

Mari Noda*

*Acting

Professional Improvement Leave

THOMAS L. BEAN, Professor, Department of Food, Agricultural and Biological Engineering, effective Winter Quarter, Spring Quarter, Summer Quarter, and Autumn Quarter 2001.

Emeritus Titles

ROBERT E. ROTH, School of Natural Resources, with the title Professor Emeritus, effective February 1, 2001.

December 1, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

PHILIP E. WIGEN, Department of Physics, with the title Professor Emeritus, effective January 1, 2001.

ANDREW A. WOJCICKI, Department of Chemistry, with the title Professor Emeritus, effective January 1, 2001.

Medical Staff Appointments (The Ohio State University Hospitals)

October 2000

Marjorie J. Arca, M.D., Pediatric Surgery, Attending, 10/11/00-06/30/01
Wendy L. Brooks, M.D., General Medicine, PGY-2 Limited, 07/01/00-06/30/01
Eric E. Coris, M.D., Family Medicine, Attending, 10/11/00-06/30/01
Charles F. Eisenbeis, M.D., Hematology/Oncology, PGY-5 Limited, 07/01/00-06/30/01
Andrea C. Feilner, M.D., Anesthesiology, PGY-1 Limited, 09/13/00-06/30/01
Robert W. Galloway, M.D., Diagnostic Radiology, PGY-2 Limited, 07/01/00-06/30/01
John S. Haid, M.D., Anesthesiology, PGY-2 Limited, 07/01/00-06/30/01
Robert S. Houser, D.O., Transplant Surgery, PGY-3 Limited, 10/11/00-11/30/00
Satyanarayana V. Kadim, M.D., Cardiology, Fellow 1st Year, 10/01/00-06/30/01
Patrick M. Killian, M.D., Dermatology, PGY-2 Limited, 07/01/00-06/30/01
Christopher T. Kirkup, DDS, MS Oral/Maxillofacial Surgery, PGY-1 Limited, 07/01/00-06/30/01
Ellen K. Kumler, M.D., General Pediatrics, PGY-2 Limited, 07/01/00-06/30/01
Cynthia H. Ledford, M.D., Internal Medicine/Pediatrics, Community Affiliate, 09/14/00-06/30/01
Bingren Liu, M.D., Radiation Oncology, PGY-2 Limited, 07/01/00-06/30/01
Jaswant Madhavan, M.D., Surgery, Fellow 1st Year, 09/01/00-06/30/02
Cynthia M. Magro, M.D., Dermatopathology, Attending, 06/15/00-06/30/02
Daniel T. Malleske, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02
Ryan J. Malone, M.D., General Surgery, PGY-1 Limited, 07/01/00-06/30/02
Janet R. Mason, M.D., Family Medicine, Attending, 10/11/00-06/30/02
John W. McGrail, M.D., Orthopaedic Surgery, Attending, 10/11/00-06/30/02
Joseph A. Mendiola, M.D., Diagnostic Radiology, PGY-2 Limited, 07/01/00-06/30/02
Arthur Molina, M.D., Hematology/Oncology, Attending, 09/18/00-06/30/02
Ronald G. Morford, M.D., Cardiology, PGY-4 Limited, 07/01/00-06/30/02
Michael D. Morocco, M.D., Endocrinology, PGY-4 Limited, 07/01/00-06/30/02
Kirstin J. Nelson, M.D., Diagnostic Radiology, PGY-2 Limited, 07/01/00-06/30/02
Manisha J. Ogale, M.D., Neurology, PGY-2 Limited, 07/01/00-06/30/02
Eric M. Orndorff, M.D., Anesthesiology, PGY-2 Limited, 07/01/00-06/30/02
James R. Otworth, D.O., Anesthesiology, Attending, 09/01/00-06/30/01
Asha S. Prakash, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02
Ming Hong J. Pun, DDS, General Dentistry, PGY-1 Limited, 07/01/00-06/30/02
Allen Rafael, M.D., Cardiology, Fellow 1st Year, 07/01/00-06/30/02
Abdolreza Raissi, M.D., Orthopaedic Surgery, Attending, 10/11/00-06/30/02
Luis M. Reyes, M.D., General Surgery, PGY-5 Limited, 07/05/00-06/30/02
Richard E. Schlanger, M.D., General Surgery, Attending, 10/11/00-06/30/02
Daryl R. Sybert, D.O., Orthopaedic Surgery, Courtesy, 10/11/00-06/30/02
Rajive Tandon, M.D., Pulmonary, Fellow 1st Year, 07/01/00-06/30/02
Melvin W. Thomas, M.D., Family Medicine, Courtesy, 10/11/00-06/30/02
Vivek K. Trivedi, M.D., Anesthesiology, Fellow 1st Year, 09/05/00-06/30/02
Allan L. Truax, M.D., Diagnostic Radiology, PGY-6 Limited, 07/01/00-06/30/02
Jayraj Unni-Revi, M.D., General Medicine, PGY-1 Limited, 07/01/00-06/30/02
Joseph F. Wilcox, M.D., Orthopaedic Surgery, Community Affiliate, 10/11/00-06/30/02
Sherif F. Yacoub, M.D., Ph.D., Radiation Oncology, PGY-2 Limited, 07/01/00-06/30/02

December 1, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Reappointment (The Ohio State University Hospitals)

October 2000

Terry Olejko, DDS, Dentistry/ Oral Maxillofacial Surgery, Courtesy, 07/01/00-06/30/02

Requests for Additional Privileges (The Ohio State University Medical Center)

October 2000

Greg Christoforidis, MD, Radiology, Conscious Sedation, Vertebroplasty, 10/11/2000-06/30/2001

Melinda Coesfeld, PA-C, Surgery; Cardiothoracic; Endoscopic Vein Harvesting (authorized by virtue of license currently), 10/11/2000-06/30/2001

Pablo Gamboa, MD, Radiology, Conscious Sedation, 10/11/2000-06/30/2001

John Goodhart, PA-C, Surgery; Cardiothoracic; Endoscopic Vein Harvesting (authorized by virtue of license currently), 10/11/2000-06/30/2001

Janet Mason, MD, **(New applicant)**, Family Medicine, Joint Injection (steroid), Nerve Block, I&D, 10/11/2000-06/30/2002

Kannan Natarajan, MD, Radiology, Conscious Sedation, 10/11/2000-06/30/2002

James Otworth, DO, **(New applicant)**, Anesthesia, Conscious Sedation, 10/11/2000-09/01/2001 (1 yr. Provisional)

Anjama Sammadder, MD, IM/Digestive Diseases, Endoscopy: -EGD, -ERCP, -Endo. Sphin., - Flex. Sigmoid,-Colonoscopy, -Colonoscopic, Polypectomy, -Endo. Electro-surgical, -PEG, 09/13/2000-06/30/2002

Rodney Tomczak, DPM, Orthopaedics, CO2 Laser, 10/11/2000-06/30/2002

Kuldeep Vaswani, MD, Radiology, Conscious Sedation, 10/11/2000-06/30/2002

John Winston, MD, Surgery, General Conscious Sedation, 10/11/2000-06/30/2002

Requests for Change in Medical Staff Category (The Ohio State University Medical Center)

October 2000

Michelle Miller, M.D., Phys. Med. & Rehab., From Attending, To Courtesy

Ellen Kaitz, MD, Phys. Med. & Rehab., From Attending, To Courtesy

Medical Staff Appointments (The Ohio State University Hospitals East)

October 2000

Sachin M. Apte, M.D., Surgery, Division of Surgery, PGY4, 10/16/2000 - 06/30/2001

Mary Y. Armanios, M.D., Family Medicine, Division of MMT, PGY5, 10/16/2000 - 06/30/2001

James P. Bacon, M.D., Internal Medicine, Division of Cardiology, Active, 10/16/2000 - 06/30/2001

Michael L. Blumenfeld, M.D., Surgery, Division of Surgery, Active, 10/16/2000 - 06/30/2001

Christina L. Charles-Beery, M.D., Surgery, Division of Surgery, PGY2, 10/16/2000 - 06/30/2001

Curt J. Daniels, M.D., Internal Medicine, Division of Cardiology, Active, 10/16/2000 - 06/30/2001

Andrea C. Feilner, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2001

Angela B. Gantt, M.D., Surgery, Division of OB/GYN, PGY3, 10/16/2000 - 06/30/2001

Genevieve B. Hagerty, M.D., Surgery, Division of OB/GYN, PGY3, 10/16/2000 - 06/30/2001

John S. Haid, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2001

David P. Healy, D.O., Family Medicine, Division of MMT, PGY4, 10/16/2000 - 06/30/2001

Norma J. Jackson, D.O., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2001

Terry Kim, M.D., Anesthesiology, Division of Anesthesiology, PGY3, 10/16/2000 - 06/30/2001

Allen J. LeBlanc, Jr., M.D., Ph.D., Surgery, Division of Surgery, PGY3, 10/16/2000 - 06/30/2001

Jennifer L. Lew, M.D., Surgery, Division of Surgery, PGY4, 10/16/2000 - 06/30/2001

Cynthia M. Magro, M.D., Pathology, Division of Pathology, Active, 10/16/2000 - 06/30/2002

December 1, 2000 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Hospitals East) (contd)

October 2000 (contd)

Janet R. Mason, M.D., Family Medicine, Division of Family Medicine, Active, 10/16/2000 - 06/30/2002
Peter B. Maurus, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., PGY1, 10/16/2000 - 06/30/2002
John W. McGrail, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., Active, 10/16/2000 - 06/30/2002
Brian J. McGuinness, M.D., Surgery, Division of Surgery, PGY4, 10/16/2000 - 06/30/2002
Eric M. Orndorff, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002
James R. Otworth, D.O., Anesthesiology, Division of Anesthesiology, Active, 10/16/2000 - 09/01/2001
Rodney V. Pozderac, M.D., Radiology, Division of Radiology, Active, 10/16/2000 - 06/30/2002
Jeffrey G. Poulos, M.D., Family Medicine, Division of MMT, Active, 10/16/2000 - 06/30/2002
Joseph A. Rodrigo, D.O., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002
Andrew M. Shirk, D.O., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002
Brian D. Singstock, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002
Jonathan P. Smerek, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., PGY1, 10/16/2000 - 06/30/2002
Vivek K. Trivedi, M.D., Anesthesiology, Division of Anesthesiology, PGY5, 10/16/2000 - 06/30/2002
Allan L. Truax, M.D., Radiology, Division of Radiology, PGY5, 10/16/2000 - 06/30/2002
Scott E. Van Aman, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., PGY1, 10/16/2000 - 06/30/2002
Peter Wang, M.D., Anesthesiology, Division of Anesthesiology, PGY2, 10/16/2000 - 06/30/2002
Joseph F. Wilcox, M.D., Musculoskeletal Dis., Division of Musculoskeletal Dis., Active, 10/16/2000 - 06/30/2002

Requests for Additional Privileges (The Ohio State University Hospitals East)

October 2000

Michael Blumenfeld, M.D., Surgery/OB/GYN, Use of a laparoscope, CO2 laser, 10/16/00 to 06/30/01
Richard Cavender, M.D., Emergency Medicine, Hyperbaric medicine/wound care, 10/16/00 to 06/30/01
John McGrail, M.D., Musculoskeletal Diseases, Arthroscopy - Shoulder, knee, ankle and elbow, 10/16/00 to 06/30/02
John Winston, III, M.D., Surgery, Conscious Sedation, 10/16/00 to 06/30/02

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

August/September 2000

Initial Appointments - Faculty

Marjorie Arca, M.D., Pediatric Surgery, Associate Attending
Arthur Molina, M.D., Internal Medicine, Hematology/Oncology, Attending

RESOLUTION IN MEMORIAM

Resolution No. 2001-68

Synopsis: Approval of one Resolution in Memoriam.

RESOLVED, That the Board adopt the following Resolution in Memoriam and that the President be requested to convey a copy to the family of the deceased.

Agnes Marshall Gordon

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on August 30, 2000, of Dr. Agnes M. Gordon, Professor Emeritus in the Department of Accounting and Management Information Systems.

Dr. Gordon was born in Columbus on November 2, 1904, and remained a life-long resident. She received her B.A. and M.A. degrees in sociology at Ohio State, and her Ph.D. in accounting at Ohio State. Dr. Gordon was considered a pioneer in her field, being the first woman to receive a Ph.D. in accounting and appointed as a professor in accounting. Upon her retirement in 1972, she became Professor Emeritus.

Professor Gordon was elected to the honorary societies Phi Beta Kappa, Mortar Board, Beta Alpha Psi, and Beta Gamma Sigma. As a three-time president of Mortar Board, a scholarship was named in her honor in 1992. She received the Pauline Hershey Award for outstanding service from the Alpha Xi Delta Sorority. She also prepared a club history from 1897 to 1997 for Sorosis, a Columbus women's literary club.

Upon retirement, Dr. Gordon utilized her CPA with a specialty in tax and maintained a tax practice until she was 91 years old.

Friends and colleagues applauded her integrity and interest in promoting education at any level, especially for women.

On behalf of the University community, the Board of Trustees expresses to the family and friends of Professor Agnes Marshall Gordon its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-69

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for October 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of October 2000 be approved.

December 1, 2000 meeting, Board of Trustees

AMENDMENTS TO MINIMUM ENDOWED FUNDING LEVELS

Resolution No. 2001-70

Synopsis: New minimum funding levels for named endowed funds that support the Presidential, Distinguished, Medalist and University Scholarships, is proposed.

WHEREAS it is prudent policy to periodically adjust minimum funding levels of named endowed funds and current-use equivalent funds to meet existing needs; and

WHEREAS the minimum funding levels for the Presidential, Distinguished, Medalist and University Scholarships, awarded annually as University-wide merit scholarships, have not been adjusted since July 1, 1995; and

WHEREAS it is recognized and understood that existing agreements with donors to establish the above noted named endowed funds will be honored and the minimum funding level will remain that which was in effect at the time of the original agreement; and

WHEREAS the Office of University Development, the University Honors and Scholars Center and the Office of Student Financial Aid agree to the following new minimum levels:

	<u>Endowed Amount</u>	<u>Current Use</u>
University Scholars	\$30,000	
Medalist Scholars	\$100,000	\$5,000/yr X 4 yrs
Distinguished Scholars	\$200,000	\$10,000/yr X 4 yrs
Presidential Scholars	\$300,000	\$15,000/yr X 4 yrs

NOW THEREFORE

BE IT RESOLVED, That the foregoing minimum levels for named endowed and current use funds supporting the Presidential, Distinguished, Medalist and University Scholarship be approved effective July 1, 2000.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2001-71

July – September 2000

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of July - September 2000; and

December 1, 2000 meeting, Board of Trustees

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)

WHEREAS during the period covered, the Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 42 waivers of competitive bidding requirements for annual purchases totaling approximately \$10,432,600 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 23 waivers of competitive bidding requirements for annual purchases totaling approximately \$6,552,300 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 2000, is hereby accepted.

(See Appendix XX for background information, page 493.)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-72

POSTLE HALL – ORAL AND MAXILLOFACIAL SURGERY

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Postle Hall – Oral and Maxillofacial Surgery project, is requested.

WHEREAS the University desires to renovate an area on the 2nd floor of Postle Hall to provide for the oral and maxillofacial surgical services; and

WHEREAS the total estimated project cost is \$1,031,405 and the total estimated construction cost is \$830,6000, with funding provided by the College of Dentistry:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Postle Hall – Oral and Maxillofacial Surgery project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Postle Hall – Oral and Maxillofacial Surgery project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXI for map, page 495.)

REPORT OF AWARD OF CONTRACTS

Resolution No. 2001-73

1314 KINNEAR ROAD – BUILDING IMPROVEMENTS
1314 KINNEAR ROAD CHILLER REPLACEMENT
1315 KINNEAR ROAD ROOF REPLACEMENT
ADA COMPLIANT RESTROOMS 1997
BIOLOGICAL SCIENCES COOLING TOWER REPLACEMENT
BIOLOGICAL SCIENCES LAB RENOVATION, PHASE I
CALDWELL LAB – ASBESTOS ABATEMENT
CAMPUS GROUNDS – EXTERIOR LIGHTING PHASE VI
COOKE CASTLE RENOVATION
CURL DRIVE MILL AND OVERLAY
DODD HALL AND DAVIS CENTER – ROOF REPLACEMENTS
DRINKO HALL – AIR CONDITIONING UPGRADE
FRENCH FIELD HOUSE – GLASS REPLACEMENT
LARKINS HALL – WINDOW REPLACEMENTS
LIMA CAMPUS – REED COOLING TOWER
MANSFIELD CAMPUS – FOUNDERS AUDITORIUM RENOVATION
MANSFIELD CAMPUS – ROADWAY AND TENNIS COURT PAVING
MRI – CLINICAL FACILITY EXPANSION
NEIL AVENUE TUNNEL – ASBESTOS ABATEMENT
OARDC – POULTRY RESEARCH FACILITY – COLUMBUS
OHIO UNION PARKING GARAGE – ELEVATOR RENOVATION
REMOVAL OF BARRIERS FOR THE PHYSICALLY IMPAIRED
RHODES HALL – OPERATING ROOMS 14 AND 15 RENOVATION
THOMPSON LIBRARY – HVAC UPGRADE
UTILITIES STEAM LINE UPGRADE

Synopsis: Acceptance of the report of award of contracts and establishment of contingency funds for the projects indicated by the Fiscal Affairs Committee is requested.

WHEREAS resolutions adopted by the Board of April 2, 1993, May 7, 1993, December 2, 1994, December 6, 1996, February 5, 1999, May 7, 1999, June 4, 1999, September 1, 1999, October 1, 1999, December 3, 1999 and March 3, 2000 authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

(* Recommended alternates included in these amounts)

1314 Kinnear Road – Building Improvements

This project renovates office, office support, laboratory, laboratory support, and publications space to accommodate the increased program needs of the Center for Lake Erie Area Research program. The total project cost is \$658,486; funding is provided by House Bill 790 (\$78,900), House Bill 850 (\$540,016), House Bill 904 (\$34,570) and Office of Research (\$5,000). The completion date is February 2001. The contracts awarded are as follows:

Design:	J. L. Bender, Columbus, Ohio
General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$312,200 *
Estimate:	\$366,018 *
Mechanical Contract:	Gateway Mechanical, Grove City, Ohio
Amount:	\$162,000 * +
Estimate:	\$122,194 * +

REPORT OF AWARD OF CONTRACTS (contd)

1314 Kinnear Road – Building Improvements (contd)

Electric Contract:	Royal Electric Construction, Columbus, Ohio
Amount:	\$67,600 * +
Estimate:	\$63,326 * +
Asbestos Contract:	Columbus Abatement, Columbus, Ohio
Amount:	\$12,000 *
Estimate:	\$13,950 *
Total All Contracts:	\$553,800 *
Contingency Allowance:	\$53,682
Total Project Cost:	\$658,486

+ The Mechanical and Electric Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

1314 Kinnear Road – Chiller Replacement

This project replaces the chiller and air conditioning condenser system serving 1314 Kinnear Road building. The total project cost is \$660,000; funding is provided by House Bill 790. The completion date is December 2000. The contracts awarded are as follows:

Design:	Motz Consultants, Cincinnati, Ohio
HVAC Contract:	R. F. Mlasofsky, Delaware, Ohio
Amount:	\$377,490 *
Estimate:	\$468,200 *
General Contract:	Inca Enterprises, Columbus, Ohio
Amount:	\$78,700 *
Estimate:	\$82,000 *
Electric Contract:	Hatzel & Buehler, Circleville, Ohio
Amount:	\$100,730 * +
Estimate:	\$46,500 * +
Total All Contracts:	\$556,920 *
Contingency Allowance:	\$32,251
Total Project Cost:	\$660,000

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

1315 Kinnear Road Roof Replacement

This project replaces the existing roof system and expansion joints and improves drainage of the surface. The total project cost is \$788,902; funding is provided by House Bill 850 (\$680,000) and Department of Physical Facilities (\$108,902). The completion date is November 2000. The contract awarded is as follows:

Design:	The Ohio State University
General Contract:	DeMarco, Inc., Columbus, Ohio
Amount:	\$742,621
Estimate:	\$800,447
Total All Contracts:	\$742,621
Contingency Allowance:	\$37,131
Total Project Cost:	\$788,902

REPORT OF AWARD OF CONTRACTS (contd)

ADA Compliant Restrooms 1997

This project provides ADA compliant restrooms in Cunz, Dulles, and Kottman Halls and Lincoln Tower. The total project cost is \$338,194; funding is provided by House Bill 748. The completion date was September 2000. The contracts awarded are as follows:

Design:	Schorr & Associates, Columbus, Ohio
General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$158,000
Estimate:	\$210,000
Plumbing Contract:	L.T.M. Plumbing & Heating, Columbus, Ohio
Amount:	\$87,142
Estimate:	\$115,000
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$17,970
Estimate:	\$37,000
Total All Contracts:	\$263,112
Contingency Allowance:	\$26,311
Total Project Cost:	\$338,194

Biological Sciences – Cooling Tower Replacement

This project replaces the worn out, undersized cooling tower with a new 4-cell tower, sized to handle all of the building's chillers. The total project cost is \$499,866; funding is provided by House Bill 850. The completion date is January 2001. The contracts awarded are as follows:

Design:	The Ohio State University
HVAC Contract:	R. F. Mlasofsky, Delaware, Ohio
Amount:	\$380,800 *
Estimate:	\$425,000 *
Electric Contract:	Knight Electric, Inc., Columbus, Ohio
Amount:	\$37,600 *
Estimate:	\$50,000 *
Total All Contracts:	\$418,400
Contingency Allowance:	\$41,840
Total Project Cost:	\$499,866

Biological Sciences Lab. Renovation, Phase I

This project rehabilitates 14 cold rooms and replaces 6 autoclaves. The total project cost is \$580,000; funding is provided by House Bill 748. The completion date was September 2000. The contracts awarded are as follows:

Design:	Phillip Absi, Columbus, Ohio
Asbestos Abatement:	Columbus Abatement, Columbus, Ohio
Amount:	\$17,000
Estimate:	\$25,000
General Contract:	Corna/Kokosing, Columbus, Ohio
Amount:	\$26,059
Estimate:	\$29,390
HVAC Contract:	Synergy Mechanical, Hilliard, Ohio
Amount:	\$419,000 +
Estimate:	\$410,290
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$28,500
Estimate:	\$30,320

REPORT OF AWARD OF CONTRACTS (contd)

Biological Sciences Lab. Renovation, Phase I (contd)

Total All Contracts:	\$490,559
Contingency Allowance:	\$36,483
Total Project Cost:	\$580,000

+ The HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Caldwell Lab – Asbestos Abatement

This project abates the sprayed-on asbestos-containing material on a portion of the exposed ceiling area of the basement and the area above ceilings in designated areas on the second and third floors and the installation of new ceilings and lights. The total project cost is \$670,000; funding is provided by House Bill 790 (\$272,750) and Ohio Board of Regents Asbestos Abatement (\$397,250). The completion date is December 2000. The contracts awarded are as follows:

Design:	The Ohio State University
Asbestos Contract:	Keen and Cross, Inc., Cincinnati, Ohio
Amount:	\$185,000
Estimate:	\$417,000
General Contract:	Bomar Construction Co., Inc., Grove City, Ohio
Amount:	\$150,900 *
Estimate:	\$265,000 *
Electric Contract:	Fetter Electrical Contractors, Granville, Ohio
Amount:	\$58,230 *
Estimate:	\$104,000
Total All Contracts:	\$394,130 *
Contingency Allowance:	\$242,358
Total Project Cost:	\$670,000

Campus Grounds Exterior Lighting Phase VI

This project continues the replacement of existing exterior lights with energy efficient lights that improve light levels and safety without increasing electrical consumption. This phase of the project completes lighting upgrades in the area bounded by 10th Avenue, College Road, 12th Avenue, and Neil Avenue. The total project cost is \$672,874; funding is provided by House Bill 850 (\$500,000), Department of Physical Facilities (\$110,000), and Housing, Food Service, and Event Centers (\$62,874). The completion date is March 2001. The contract awarded is as follows:

Design:	The Ohio State University
Electric Contract:	Jess Howard Electric Company, Blacklick, Ohio
Amount:	\$599,650 * +
Estimate:	\$586,000 * +
Total All Contracts:	\$599,650 *
Contingency Allowance:	\$34,479
Total Project Cost:	\$672,874

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

REPORT OF AWARD OF CONTRACTS (contd)

Cooke Castle Renovation

This project provides exterior masonry restoration and porch reconstruction at Cooke Castle on Gibraltar Island. The total project cost is \$509,376; funding is provided by House Bill 850 (\$500,000) and Department of Physical Facilities (\$9,376). The completion date is November 2000. The contract awarded is as follows:

Design:	Renouveau Design Inc., Columbus, Ohio
General Contract:	Feick Contractors, Inc., Sandusky, Ohio
Amount:	\$409,376
Estimate:	\$455,244
Total All Contracts:	\$409,376
Contingency Allowance:	\$48,549
Total Project Cost:	\$509,376

Curl Drive Mill and Overlay

This project mills and overlays Curl Drive with new asphalt from Woodruff Avenue to Neil Avenue. The total project cost is \$221,170; funding is provided by House Bill 850. The completion date was September 2000. The contract awarded is as follows:

Design:	The Ohio State University
General Contract:	Decker Construction Company, Columbus, Ohio
Amount:	\$185,018
Estimate:	\$211,788
Total All Contracts:	\$185,018
Contingency Allowance:	\$18,502
Total Project Cost:	\$221,170

Dodd Hall and Davis Center – Roof Replacements

This project replaces the roofing on both buildings. The total project cost is \$990,100; funding is provided by University Hospitals. The completion date is November 2000. The contract awarded is as follows:

Design:	Robert H. Fuller & Associates, Columbus, Ohio
General Contract:	The Childers Corporation, Columbus, Ohio
Amount:	\$865,709
Estimate:	\$865,710
Total All Contracts:	\$865,709
Contingency Allowance:	\$43,541
Total Project Cost:	\$990,100

Drinko Hall – Air Conditioning Upgrade

This project replaces air units #1, 2 and 3, the 225-tone centrifugal chiller that contains obsolete refrigerant R11 and the cooling tower. The total project cost is \$610,200; funding is provided by House Bill 748 (\$98,815) and House Bill 850 (\$511,385). The completion date is August 2001. The contracts awarded are as follows:

Design:	The Ohio State University
HVAC Contract:	Ro-Dan Construction, Columbus, Ohio
Amount:	\$423,600
Estimate:	\$450,000
Electric Contract:	Knight Electric, Inc., Columbus, Ohio
Amount:	\$96,980
Estimate:	\$125,000

REPORT OF AWARD OF CONTRACTS (contd)

Drinko Hall – Air Conditioning Upgrade (contd)

Total All Contracts:	\$526,080 *
Contingency Allowance:	\$52,061
Total Project Cost:	\$610,200

French Field House – Glass Replacement

This project removes the existing corrugated glass panels at the roofline on the north and south elevation of the building and replaces them with new aluminum frames and a combination of sheet glass and insulated translucent panels. The total project cost is \$372,039; funding is provided by House Bill 850. The completion date is December 2000. The contract awarded is as follows:

Design:	Schorr and Associates, Columbus, Ohio
General Contract:	JMD Architectural Products, Inc., Hilliard, Ohio
Amount:	\$304,070
Estimate:	\$330,000
Total All Contracts:	\$304,070
Contingency Allowance:	\$30,408
Total Project Cost:	\$372,039

Larkins Hall – Window Replacements

This project replaces the original steel sash windows in the older Physical Education part of the building, which are energy inefficient and a high maintenance problem. The total project cost is \$221,927; funding is provided by House Bill 904 (\$221,545) and Department of Physical Facilities (\$382). The completion date was October 2000. The contract awarded is as follows:

Design:	Berardi & Partners Architects, Columbus, Ohio
General Contract:	Koch Corp., Louisville, Kentucky
Amount:	\$191,950 *
Estimate:	\$206,416 *
Total All Contracts:	\$191,950
Contingency Allowance:	\$9,598
Total Project Cost:	\$221,927

Lima Campus – Reed Cooling Tower

This project replaces the existing, deteriorated cooling tower in the Reed Hall Student Activities Center. The total project cost is \$43,479; funding is provided by House Bill 850 (\$38,431) and Senate Bill 351 (\$5,048). The completion date is December 2000. The contract awarded is as follows:

Design:	Roger D. Fields, Columbus, Ohio
HVAC Contract:	Richard Schumm Plumbing and Heating, Van Wert, Ohio
Amount:	\$31,551 *
Estimate:	\$40,530 *
Total All Contracts:	\$43,479
Contingency Allowance:	\$3,155
Total Project Cost:	\$43,479

REPORT OF AWARD OF CONTRACTS (contd)

Mansfield Campus – Founders Auditorium Renovation

This project renovates the auditorium in Ovalwood Hall. The total project cost is \$1,278,447; funding is provided by House Bill 748 (\$14,000), House Bill 850 (\$850,000), Mansfield Campus funds (\$164,447), and gifts to the Mansfield Campus (\$250,000). The completion date is September 2001. The contracts awarded are as follows:

Design:	Myers Associates Architects, Medina, Ohio
General Contract:	Studer-Obringer Construction Company, New Washington, Ohio
Amount:	\$562,400 *
Estimate:	\$622,132 *
Plumbing Contract:	M. W. Mielke, Inc., Medina, Ohio
Amount:	\$100,170 * +
Estimate:	\$96,132 *
HVAC Contract:	Rhodes Heating & Air Conditioning, Marion, Ohio
Amount:	\$340,000 +
Estimate:	\$233,526 +
Electric Contract:	Spring Electrical Construction Company, Inc., Mansfield, Ohio
Amount:	\$110,602 *
Estimate:	\$111,174 *
Total All Contracts:	\$1,113,172 *
Contingency Allowance:	\$74,202
Total Project Cost:	\$1,278,447

+ The Plumbing and HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Mansfield Campus – Roadway and Tennis Court Paving

This project repaves the roadway from the Fallerius Building, Health Sciences Building and from Lot 9 to the entrance at Lexington Springmill Road. The total project cost is \$122,000; funding is provided by House Bill 850. The completion date was October 2000. The contract awarded is as follows:

Design:	Richland Engineering, Ashland, Ohio
General Contract:	Mansfield Asphalt Paving Company, Mansfield, Ohio
Amount:	\$100,947 *
Estimate:	\$138,815 *
Total All Contracts:	\$100,947
Contingency Allowance:	\$9,868
Total Project Cost:	\$122,000

MRI – Clinical Facility Expansion

This project constructs a one-story addition of approximately 1,500 gross square feet to the existing MRI center to house a new magnet room, control room, changing rooms, and patient holding area. The total project cost is \$956,625; funding is provided by University Hospitals. The completion date is January 2001. The contract awarded is as follows:

Design:	DSI Architects, Columbus, Ohio
General Contract:	The Childers Corporation, Columbus, Ohio
Amount:	\$769,998
Estimate:	\$770,000

REPORT OF AWARD OF CONTRACTS (contd)

MRI – Clinical Facility Expansion (contd)

Total All Contracts:	\$769,998
Contingency Allowance:	\$77,002
Total Project Cost:	\$956,625

Neil Avenue Tunnel – Asbestos Abatement

This project abates the asbestos pipe insulation on the steam line and water piping in the utility tunnel under Neil Avenue. The total project cost is \$119,868; funding is provided by the Ohio Board of Regents Asbestos funding (\$64,583) and Department of Physical Facilities (\$55,285). The completion date was March 2000. The contract awarded is as follows:

Design:	The Ohio State University
Asbestos Abatement:	Columbus Abatement, Columbus, Ohio
Amount:	\$54,500
Estimate:	\$85,000
Total All Contracts:	\$54,500
Contingency Allowance:	\$60,735
Total Project Cost:	\$119,868

OARDC – Poultry Research Facility - Columbus

This project renovates an existing 5,600 square feet, single-story structure used for poultry research purposes. The total project cost is \$338,470; funding is provided by House Bill 808 (\$166,334) and House Bill 904 (\$172,136). The completion date was August 2000. The contracts awarded are as follows:

Design:	The Ohio State University
General Contract:	C & N Construction, Columbus, Ohio
Amount:	\$130,400 *
Estimate:	\$160,663 *
Combined Plumbing/ HVAC Contract:	General Temperature Control, Canal Winchester, Ohio
Amount:	\$110,000
Estimate:	\$133,200
Electric Contract:	Brush Contractors, Inc., Columbus, Ohio
Amount:	\$40,025 *
Estimate:	\$49,867 *
Total All Contracts:	\$280,425
Contingency Allowance:	\$33,845
Total Project Cost:	\$338,470

Ohio Union Parking Garage – Elevator Renovation

This project upgrades the east elevator. The total project cost is \$280,778; funding is provided by Transportation and Parking. The completion date was October 2000. The contract awarded is as follows:

Design:	Stacy & Asebrook Inc., Columbus, Ohio
General Contract:	William M. Patton Co., Columbus, Ohio
Amount:	\$218,850
Estimate:	\$225,000
Total All Contracts:	\$218,850
Contingency Allowance:	\$33,505
Total Project Cost:	\$280,778

REPORT OF AWARD OF CONTRACTS (contd)

Removal of Barriers for the Physically Impaired

This project involves the upgrading or replacing of pay telephones at several Columbus Campus locations to TDD/TTY compatible condition. The total project cost is \$119,482; funding is provided by House Bill 904. The completion date is December 2000. The contract awarded is as follows:

Design:	Milhoan Architects, Columbus, Ohio
Electric Contract:	Royal Electric, Columbus, Ohio
Amount:	\$96,800 +
Estimate:	\$88,650 +
Total All Contracts:	\$96,800
Contingency Allowance:	\$9,680
Total Project Cost:	\$119,482

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Rhodes Hall – Operating Rooms 14 and 15 Renovation

This project renovates two existing surgeries in Rhodes Hall to provide for minimal invasive surgical equipment. The total project cost is \$599,059; funding is provided by University Hospitals. The completion date is March 2001. The contract awarded is as follows:

Design:	Andrews Architects, Columbus, Ohio
General Contract:	The Childers Corporation, Columbus, Ohio
Amount:	\$500,477 +
Estimate:	\$460,000 +
Total All Contracts:	\$500,477
Contingency Allowance:	\$44,325
Total Project Cost:	\$599,059

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Thompson Library – HVAC Upgrade

This project extends the chilled water lines from McCracken Power Plant to the Thompson Library. The total project cost is \$602,872; funding is provided by House Bill 850. The completion date is November 2000. The contracts awarded are as follows:

Design:	The Ohio State University
HVAC Contract:	J. A. Guy, Inc., Dublin, Ohio
Amount:	\$487,000
Estimate:	\$535,000
Electric Contract:	Fetter Electrical Contractors, Inc., Newark, Ohio
Amount:	\$28,760
Estimate:	\$75,000
Total All Contracts:	\$515,760
Contingency Allowance:	\$51,576
Total Project Cost:	\$602,872

December 1, 2000 meeting, Board of Trustees

REPORT OF AWARD OF CONTRACTS (contd)

Utilities Steam Line Upgrade

This project replaces approximately 1,700 feet of 4" high pressure steam line and companion condensate line in the South Neil tunnel with 8" lines. The total project cost is \$936,676; funding is provided by House Bill 748 (\$228,270), House Bill 850 (\$700,000) and Department of Physical Facilities (\$8,406). The completion date is December 2000. The contract awarded is as follows:

Design:	The Ohio State University
HVAC Contract:	Kirk Williams Company, Grove City, Ohio
Amount:	\$798,000
Estimate:	\$850,000
Total All Contracts:	\$798,000
Contingency Allowance:	\$88,206
Total Project Cost:	\$936,676

NOW THEREFORE

BE IT RESOLVED, That pursuant to the actions previously authorized by the Board of Trustees, the report of award of contracts and establishment of contingency funds for the projects listed is hereby approved.

(See Appendix XXII for maps, page 497.)

NON-MANDATORY TRANSFERS FROM CURRENT FUNDS

Resolution No. 2001-74

Fiscal Year 1999-2000

Synopsis: Transfers from Current Funds to Endowment Principal Funds, Unexpended Plant Funds, Renewal and Replacement Funds and retirement of Indebtedness are submitted for approval.

WHEREAS non-mandatory transfers between current funds and non-current funds for the fiscal year 1999-2000 have been made; and

WHEREAS this account procedure is in compliance with requirements of the Auditor of State that all transfers among fund groups be reported:

NOW THEREFORE

BE IT RESOLVED, That the transfers as detailed in the accompanying report be approved.

(See Appendix XXIII for background information, page 523.)

Upon motion of Mr. Sofia, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, and McFerson, Ms. Longaberger, and Ms. Hendricks.

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December 1, 2000 meeting, Board of Trustees

President Kirwan:

In addition, I would like to recommend:

**NAMING OF THE CENTER FOR EDUCATION
AND ECONOMIC DEVELOPMENT**

Resolution No. 2001-75

Synopsis: The naming of the Center for Education and Economic Development, located on the Wooster Campus, is proposed.

WHEREAS Arden Shisler is president and CEO of K & B Transport in Dalton, Ohio, and serves as chair of Nationwide Mutual Insurance; and

WHEREAS he has been a member of the board of directors of Nationwide Mutual Insurance since 1984 upon nomination by the Ohio Farm Bureau, where he served as board vice president and president of its agriculture marketing subsidiary; and

WHEREAS Mr. Shisler serves on the Key Advisory Council of The Ohio State University Agricultural Technical Institute in Wooster, serves on campaign committee for the Center for Education and Economic Development, and is a donor to the campaign; and

WHEREAS the Nationwide Foundation has contributed a gift to name the Center for Education and Economic Development for Arden Shisler and his involvement with this project and ATI:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Center for Education and Economic Development, located on the Wooster Campus, be named "The Arden Shisler Center for Education and Economic Development."

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-76

Synopsis: The report on the receipt of gifts and the summary for October 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of eleven (11) new named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 2000 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July-October
1999 Compared to 2000

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through October		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$7,105,543	\$6,057,114	(15)
Alumni (From Bequests)	<u>3,463,500</u>	<u>910,525</u>	(74)
Alumni Total	\$10,569,043	\$6,967,639	(34)
Non-Alumni (Current Giving)	\$4,096,360	\$3,074,837	(25)
Non-Alumni (From Bequests)	<u>1,990,720</u>	<u>2,221,455</u>	12
Non-Alumni Total	\$6,087,080	\$5,296,292	(13)
Individual Total	\$16,656,123	\$12,263,931	(26) ^A
Corporations/Corporate Foundations	\$8,748,061	\$9,919,288	13 ^B
Private Foundations	\$1,975,761	\$3,148,711	59 ^C
Associations and Other Organizations	<u>\$1,748,450</u>	<u>\$1,310,038</u>	(25) ^D
Total	\$29,128,395	\$26,641,968	(9)

NOTES

- A Individual giving is down 26% largely due to the fact that gifts of \$10,000 or more are down (125 gifts for \$12.7 million last year; 123 gifts for \$7.2 million this year).
- B Corporate giving is up 13%. Last year there were 154 corporate gifts providing private support of \$10,000 or more (\$6.5 million). This year private support at the \$10,000 level is \$7.8 million (185 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first four months of the fiscal year (\$2.9 million from 49 gifts this year; \$1.6 million from 44 gifts last year).
- D Gifts from associations and other organizations are down 25% since giving at the \$10,000 or more level is \$396,042 less than last year for the same period.

December 1, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-October
1999 Compared to 2000

GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$3,665,851	\$2,931,710	(20)
Faculty Support	\$5,266,333	\$3,013,697	(43)
Program Support	\$12,999,415	\$14,346,149	10
Student Financial Aid	\$4,723,033	\$3,512,211	(26)
Annual Funds-Colleges/Departments	\$2,209,490	\$2,662,440	21
Annual Funds-University	<u>\$264,273</u>	<u>\$175,761</u>	(33)
Total	\$ 29,128,395	\$26,641,968	(9)

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through October

<u>1999</u>	<u>2000</u>	<u>% Change</u>
\$13,569,271	\$6,693,489	(51)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Fund</u>			
The Genevieve S. Doan Endowment Fund (Support for programs to enhance and improve leadership skills – College of Food, Agricultural, and Environmental Sciences; provided by gifts from Mrs. Genevieve Shaw Doan and the Doan Family)		\$33,568.25	\$33,568.25

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Will C. Hauk College of Food, Agricultural, and Environmental Sciences Endowment Fund (Support for educational programming in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Fred H. and Mary Jo Bryan in memory of Mary Jo's father, Will C. Hauk)		\$773,627.05	\$773,627.05
The Will C. Hauk Memorial Scholarship Fund (Scholarships - College of Food, Agricultural, and Environmental Sciences; provided by gifts from Fred H. and Mary Jo Bryan in memory of Mary Jo's father, Will C. Hauk)		\$773,627.05	\$773,627.05
The Dimon R. McFerson/Nationwide Foundation Innovation Fund (Support for innovative programs, projects and activities – Fisher College of Business; provided by a gift from The Nationwide Foundation)		\$200,000.00	\$200,000.00
The Raul A. Hernandez, Jr., M.D., Athletic Scholarship Fund (Scholarships – Department of Athletics; provided by gifts from Dr. Raul and Constance Hernandez and their children)		\$100,000.00	\$100,000.00
The Ralph M. and Annabel Miller Endowed Scholarship Fund in Optometry (Scholarships – College of Optometry; provided by a gift from Dr. and Mrs. Ralph Miller)		\$46,813.75	\$46,813.76

December 1, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Ann Neu Tyler Endowment Fund for Breast Cancer Research (Support for breast cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from Ann Neu Tyler)		\$40,000.00	\$40,000.00
The Walter L. Eppley Agricultural Excellence Fund (Program support for the College of Food, Agricultural, and Environmental Sciences; provided by gifts from the estate of Walter L. Eppley)		\$36,500.00	\$36,500.00
Tiney and Helena McComb Family 4-H Endowment Fund (Support for Ohio 4-H Youth Development Program; provided by a gift from Tiney and Helena McComb)		\$27,809.00	\$27,809.00
Secret Arboretum Volunteers LTD Endowment Fund (Support for the Secret Arboretum at the Ohio Agricultural Research and Development Center; provided by a gift from the Secret Arboretum Volunteers and friends of the Secret Arboretum)		\$25,325.00	\$25,325.00
The Larry G. Marston Scholarship Fund (Scholarships – Fisher College of Business; provided by gifts from Geraldine D. Marston in memory of her husband, Larry G. Marston)		\$25,000.00	\$25,000.00
Total		\$2,082,270.10	\$2,082,270.10

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Fund

The Genevieve S. Doan Endowment Fund

The Genevieve S. Doan Endowment Fund was established December 1, 2000, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Mrs. Genevieve Shaw Doan, a 1928 graduate of The Ohio State University's College of Human Ecology, and the Doan family.

Genevieve Doan has had a distinguished career as a home economist, a homemaker, and an assistant to one of the nation's outstanding agricultural leaders - her husband, Maurice Doan, a former USDA official and leader in the navy bean industry.

December 1, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Fund (contd)

The Genevieve S. Doan Endowment Fund (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income will be used for programs to enhance and improve the leadership skills and abilities of men and women involved in farming, agribusiness, and other related food professions. The intent of this leadership program is to combine training, travel and instruction in these areas: (1) leadership skills; (2) agricultural and economic trends; (3) international trade and relations; (4) interpersonal relations and communication skills; (5) local, state, and national political processes; (6) development and welfare of local communities and neighborhoods; and (7) cultural awareness. Any unused income at the end of a fiscal year shall be reinvested into the principal account.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Food, Agricultural, and Environmental Sciences in order to carry out the desire of the donor, with preference to the economic and leadership programs of the college.

\$33,568.25

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Will C. Hauk College of Food, Agricultural, and
Environmental Sciences Endowment Fund

The Will C. Hauk College of Food, Agricultural, and Environmental Sciences Endowment Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from Fred H. and Mary Jo Bryan, of South Charleston, Ohio, in memory of Mary Jo's father, Will C. Hauk (1901).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support educational programming in the College of Food, Agricultural, and Environmental Sciences as designated by the vice president for Agricultural Administration. Uses shall include, but not be exclusively for: student scholarships, laboratory equipment, visiting lecturers, recognition programs, college meetings and promotions, college publications, and student recruitment.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration, or their successor in order to carry out the desire of the donors.

\$773,627.05

December 1, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Will C. Hauk Memorial Scholarship Fund

The Will C. Hauk Memorial Scholarship Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from Fred H. and Mary Jo Bryan of South Charleston, Ohio, in memory of Mary Jo's father, Will C. Hauk (1901).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for students enrolled in the College of Food, Agricultural, and Environmental Sciences at The Ohio State University. Annual selection shall be made by the college scholarship selection committee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration, or their successor, in order to carry out the desire of the donors.

\$773,627.05

The Dimon R. McFerson/Nationwide Foundation Innovation Fund

The Dimon R. McFerson/Nationwide Foundation Innovation Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Nationwide Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used, under the direction of the dean of the Fisher College of Business, to promote innovative programs, projects and activities in executive education and corporate interaction at The Max M. Fisher College of Business.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the Fisher College of Business, in consultation with Nationwide in order to carry out the desire of the donor.

\$200,000.00

The Raul A. Hernandez, Jr., M.D., Athletic Scholarship Fund

The Raul A. Hernandez, Jr., M.D., Athletic Scholarship Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Raul and Constance Hernandez and their children, Nashport, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

December 1, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Raul A. Hernandez, Jr., M.D., Athletic Scholarship Fund (contd)

The annual income shall be used to supplement the grant-in-aid scholarship costs of a student who is a varsity football or baseball athlete pursuing an undergraduate degree at The Ohio State University. The first preference for the recipient of this award is a student-athlete from Mahoning, Stark, or Muskingum Counties. If a candidate cannot be found who meets the qualifications ascribed above, then the scholarship may be awarded to a student athlete who is pursuing studies in premed, nursing or one of the allied health professions. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$100,000.00

The Ralph M. and Annabel Miller Endowed Scholarship Fund in Optometry

The Ralph M. and Annabel Miller Endowed Scholarship Fund in Optometry was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Dr. and Mrs. Ralph Miller of Manitou Springs, Colorado. Dr. Miller graduated from the OSU College of Optometry in 1951 and practiced in the Sugarcreek, Ohio, area for 36 years from 1951 to 1987. Dr. and Mrs. Miller desire to help young optometry students stay in school and help to lower the level of student indebtedness in optometry through this generous endowment.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this endowed gift shall provide a scholarship to one or more third- or fourth-year optometry students each year based on need and/or merit. Recipients will be selected by the dean and the College's Admissions and Scholarship Advisory Committee, which is composed of faculty members, our academic counselors, and financial aid officer, in consultation with the University Committee on Student Financial Aid. The Millers will receive annual updates on their fund and will be sent biographical information on each Miller Scholar chosen.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Optometry and Dr. Miller or their children in order to carry out the desire of the donors.

\$46,813.76

The Ann Neu Tyler Endowment Fund for Breast Cancer Research

The Ann Neu Tyler Endowment Fund for Breast Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ann Neu Tyler of Hilliard, Ohio.

December 1, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ann Neu Tyler Endowment Fund for Breast Cancer Research (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research in the field of breast cancer at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$40,000.00

The Walter L. Eppley Agricultural Excellence Fund

The Walter L. Eppley Agricultural Excellence Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Walter L. Eppley (B.S.Agr.E. 1948) of Cincinnati, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs identified by the vice president for Agricultural Administration as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences. Uses of the fund shall include, but not be limited to: support of positive, creative work environment for students, faculty and staff, "outreach" to our external audiences, encouragement and promotion of student organizations, scholarships, professional development, college promotion and advancement, and other emerging college priorities. The use of the annual income shall be directed by the vice president for Agricultural Administration or their designee.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration, or their successor, in order to carry out the desire of the donor.

\$36,500.00

Tiney and Helena McComb Family 4-H Endowment Fund

The Tiney and Helena McComb Family 4-H Endowment Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Tiney and Helena McComb.

December 1, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Tiney and Helena McComb Family 4-H Endowment Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to improve the quantity and quality of the Ohio 4-H Youth Development Program in a similar manner as the Ohio 4-H Foundation Fund. This support may include but is not limited to funding 4-H program expansion, volunteer development, ongoing 4-H programs, and promoting 4-H positive youth development.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board with preference being given to the recommendations from the appropriate administrative official of The Ohio State University who is the director for the Ohio State University Extension in consultation with the committee representing the local 4-H donors.

\$27,809.00

Secret Arboretum Volunteers LTD Endowment Fund

The Secret Arboretum Volunteers LTD Endowment was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Secret Arboretum Volunteers and friends of the Secret Arboretum at the Ohio Agricultural Research and Development Center in Wooster, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the Secret Arboretum, and the designation of the annual income shall be directed by the director of the Ohio Agricultural Research and Development Center in consultation with the curator of Secret Arboretum and the Secret Arboretum Volunteers.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,325.00

The Larry G. Marston Scholarship Fund

The Larry G. Marston Scholarship Fund was established December 1, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Geraldine D. Marston of Newark, Ohio, in memory of her husband, Larry G. Marston (B.S.Bus.Adm. 1960).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

December 1, 2000 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Larry G. Marston Scholarship Fund (contd)

The annual income shall support a scholarship in the Fisher College of Business with preference for a student majoring in finance. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the Fisher College of Business in order to carry out the desire of the donor.

\$25,000.00

Upon motion of Mr. Brennan, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolutions with five affirmative votes cast by Messrs. Brennan, Sofia, and Slane, Ms. Longaberger, and Ms. Hendricks, and three abstentions cast by Messrs. Skestos, Patterson, and McFerson.

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President Kirwan:

We are also recommending:

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES C OF THE OHIO STATE UNIVERSITY**

Resolution No. 2001-77

Synopsis: Providing for the authorization, issuance and sale of General Receipts Commercial Paper Notes, Series C (the "Series C Notes"), in a principal amount determined as provided herein, for the purpose of (i) (a) paying a portion of the costs of an addition to and renovations at Larkins Hall, (b) paying a portion of the costs of the acquisition, construction and installation of graduate and professional student housing, (c) paying a portion of the costs of the construction of a new residence hotel on the grounds of the Fisher College of Business, (d) renovation and improvement to various residence hall facilities, including (I) North Commons dining area upgrade, (II) Raney Commons remodeling, (III) renovation of the ASH Scholarship House, (IV) upgrade of the Ohio Union Conference Theater, (V) installation of card readers at residence hall entrances, (VI) renovation of Baker Hall, (VII) renovation of Mack/Canfield Hall, (VIII) South High-rise closet replacement, (IX) Jones Tower elevator modernization and HVAC unit replacement, (X) Scott House HVAC replacement, (XI) Lincoln Tower elevator modernization, (XII) Morrison Tower chiller replacement, and (XIII) Food Science and Technology Building (e) completion of Fred Taylor Drive widening and additional adjacent parking lot, (f) paying a portion of the costs of construction and installation of a Success Center, (g) paying a portion of the costs of the renovation and improvement of Ohio Stadium (h) renovation of approximately 12,800 square feet of warehouse space at the Technology Innovation Center (i) paying a portion of the costs of renovation and remodeling of the Ohio Union (j) construction a 6,000 square foot addition to 2560 Kenny Road and (k) paying a portion of the costs of the renovation of Woody Hayes Drive Bridge and installation of a high pressure steam line, (ii) currently refunding certain outstanding Obligations and (iii) paying costs and expenses associated with the issuance of the Series C Notes, authorizing a Third Supplement to Amended and Restated Trust Indenture to secure the payment of Debt Service Charges on the Series C Notes.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "auxiliary facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Indenture) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS, the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS, the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS, the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS, the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on December 3, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$83,585,000 is issued and outstanding as of the date hereof; and

WHEREAS, the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on December 3, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$108,000,000 is issued and outstanding as of the date hereof; and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series C (the "Series C Notes") in the principal amount not to exceed \$116,000,000 for the purpose of

December 1, 2000 meeting, Board of Trustees

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

paying or reimbursing a portion of the costs of the Series C Project, currently refunding certain outstanding Obligations and paying costs and expenses associated with the issuance of the Series C Notes; and

WHEREAS the University desires to make provisions for the issuance of the Series C Notes and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a Third Supplement to Amended and Restated Trust Indenture (the "Third Supplement") herein authorized.

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Definitions and Interpretations. All words and terms defined in the Original Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Original Indenture but used herein and not previously defined herein are defined as follows:

"Book Entry System" means a system under which (i) a physical Series C Note certificate in fully registered form is issued for each maturity of Series C Notes only to a Depository or its nominee as registered owner, with the Series C Notes held by and immobilized in the custody of the Depository; and (ii) a book entry record maintained by and the responsibility of the Depository and not maintained by or the responsibility of the University or the Trustee, is the record that identifies, and records the transfer of the interests of, the owners of book entry interests in such Series C Notes.

"Costs of Issuance Account" means the Costs of Issuance Account in the Note Program Fund created pursuant to Section 5 hereof.

"Dealer" or "Dealers" means Merrill Lynch & Co., or any successor or assigns permitted under the Dealer Agreement, and any other dealer or dealers for the Series C Notes which is appointed by the University and has entered into a Dealer Agreement.

"Dealer Agreement" or "Dealer Agreements" means respectively, the Dealer Agreement, dated as of December 1, 2000, by and between the University and Merrill Lynch & Co., and any and all modifications, alterations, amendments and supplements thereto, and such agreement and any other Dealer Agreement entered into by the University and a Dealer or Dealers with respect to the Series C Notes.

"Depository" means any securities depository that is a clearing agency under federal law operating and maintaining, with its participants or otherwise, a Book Entry System to record ownership of book entry interests in obligations, and includes and means initially as to the Series C Notes, The Depository Trust Company (a limited purpose trust company), New York, New York.

"Note Proceedings" means the 1999 General Bond Resolution, the Amended and Restated Indenture, any applicable Series Resolution, any applicable Supplemental Indenture and any other resolutions and agreements and amendments of and supplements to the foregoing, or any combination thereof, authorizing or providing for the terms and conditions applicable to, or providing for the security or sale of Notes, and the terms contained in such Notes.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
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"Note Program Fund" means the Note Program Fund held by the University and created in Section 5 hereof to be funded with the proceeds of the Series C Notes.

"Offering Memorandum" means, as to the Series C Notes, the Offering Memorandum relating to the original issuance of the Series C Notes, authorized pursuant to Section 3 hereof.

"Project Account" means the Project Account in the Note Program Fund created pursuant to Section 5 hereof.

"Series C Commercial Paper Resolution" or "this Resolution" as used herein means this Resolution, as the same may be amended from time to time.

"Series C Project" means, collectively, paying or reimbursing a portion of the costs of improvements, including: (i) (a) paying a portion of the costs of an addition to and renovations at Larkins Hall, (b) paying a portion of the costs of the acquisition, construction and installation of graduate and professional student housing, (c) paying a portion of the costs of the construction of a new residence hotel on the grounds of the Fisher College of Business, (d) renovation and improvement to various residence hall facilities, including (I) North Commons dining area upgrade, (II) Raney Commons remodeling, (III) renovation of the ASH Scholarship House, (IV) upgrade of the Ohio Union Conference Theater, (V) installation of card readers at residence hall entrances, (VI) renovation of Baker Hall, (VII) renovation of Mack/Canfield Hall, (VIII) South High-rise closet replacement, (IX) Jones Tower elevator modernization and HVAC unit replacement, (X) Scott House HVAC replacement, (XI) Lincoln Tower elevator modernization and (XII) Morrison Tower chiller replacement, (e) completion of Fred Taylor Drive widening and additional adjacent parking lot, (f) paying a portion of the costs of construction and installation of a Success Center, (g) paying a portion of the costs of the renovation and improvement of Ohio Stadium (h) renovation of approximately 12,800 square feet of warehouse space at the Technology Innovation Center (i) paying a portion of the costs of renovation and remodeling of the Ohio Union (j) construction a 6,000 square foot addition to 2560 Kenny Road and (k) paying a portion of the costs of the renovation of Woody Hayes Drive Bridge and installation of a high pressure steam line reimbursement, (ii) currently refunding certain outstanding Obligations and (iii) paying costs and expenses associated with the issuance of the Series C Notes, authorizing the Third Supplement to secure the payment of Debt Service Charges on the Series C Notes.

"Trustee" means The Huntington National Bank, and any successor Trustee as determined or designated under or pursuant to the Original Indenture.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Third Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Authorization; Terms; Pledge; and Covenant.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

Authorization. The Bonds, to be designated and known as The Ohio State University General Receipts Commercial Paper Notes, Series C, shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Third Supplement for the purposes of financing a portion of the costs of the University Facilities comprising the Series C Project, currently refunding certain outstanding Obligations and paying costs and expenses incidental to the issuance of the Series C Notes. The Series C Notes shall be issued from time to time as provided in the Third Supplement to finance and refinance the cost of the Series C Project. Proceeds of the Series C Notes may also be used to pay maturing Series C Notes.

Form and Numbering. The Series C Notes shall be issued only as fully registered Bonds. The Series C Notes shall be numbered as determined by the Trustee.

Denominations and Dates. The Notes shall be dated the date of their respective authentication and issuance; shall be issued in registered form, registered to bearer (subject to Section 2.06 of the Third Supplement) unless otherwise designated by a Dealer; and shall be issued in denominations of \$100,000 and in integral multiples of \$1,000 in excess thereof.

Principal Amount. The Series C Notes shall be issued in the principal amount specified in the Third Supplement, which amount shall not exceed \$116,000,000.

Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Dealer to establish the date, location, procedures and conditions for the delivery of the Series C Notes to the Dealer and to take all steps as necessary to effect due execution, authentication and delivery of the Series C Notes to the Dealer or to the persons whom the Dealer directs under the terms of this Resolution. The Series C Notes shall be signed by the the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

Interest. The Series C Notes shall bear interest from their respective dates, payable at maturity, at a rate not to exceed 12% per annum (calculated on the basis of a year consisting of 365/366 days and actual number of days elapsed).

Maturities. The Series C Notes (i) shall mature not more than 270 days after their respective dates, but in no event later than June 15, 2002, and (ii) shall mature on a Business Day. The stated interest rate, maturity date and other terms of each Note, so long as not inconsistent with the terms of the Third Supplement, shall be as set forth in the Instructions delivered to the Trustee pursuant to Section 2.07 of the Third Supplement.

Redemption. The Series C Notes shall not be subject to redemption prior to their stated maturities.

Appointment of Dealer. The Board hereby appoints Merrill Lynch & Co. as the initial Dealer for the Series C Notes.

Security. As provided in the Indenture, there is hereby pledged to the security of the Series C Notes, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.10 of the Amended and Restated Trust Indenture) and (ii) the moneys contained in the Special Funds. Anything else to the contrary in the Indenture, the 1999 General Bond Resolution, the Third Supplement or this Resolution notwithstanding, the Series C Notes shall not have access to, any claim upon or be secured by, the Bond Reserve Fund or the Note Program Fund. This pledge of General Receipts shall be on parity with expenses, claims and payments relating to other Parity Obligations (as defined in the Original Indenture) and in priority to all other expenses, claims and

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

payments of the University. In accordance with the Act, all the General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University.

For the further security of the Series C Notes, and any Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series C Notes or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other moneys lawfully available therefor, to pay all costs and expenses required to be paid under the Note Proceedings, and (iii) together with other moneys lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

Payment, Places of Payment, and Paying Agents . The principal of and interest on the Series C Notes shall be paid in federal or other immediately available funds in such coin or currency of the United States of America as, at the respective times of payment, is legal tender for the payment of public and private debts. The principal of and interest on the Series C Notes shall be payable at the principal office of the Trustee on or before the close of business on any Business Day upon which such Series C Notes have become due and payable, provided that such Series C Notes are presented and surrendered on a timely basis. Upon presentation of such a Series C Note to the Trustee no later than 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment for such Series C Note shall be made by the Trustee in immediately available funds on such Business Day. If a Series C Note is presented for payment after 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment therefor shall be made by the Trustee on the next succeeding Business Day, without the accrual of additional interest thereon.

Book Entry. Subject to the provisions of the immediately following paragraph, the Series C Notes shall be issued only to a Depository for holding in a Book Entry System in accordance with the provisions of Section 2.06 of the Third Supplement. Those Series C Notes shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series C Notes shall be evidenced by a single certificate in the aggregate principal amount of the Series C Notes maturing on such maturity date.

If any Depository determines not to continue to act as a Depository for the Series C Notes for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series C Notes from the Depository, and authenticate and deliver the Series C Note certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series C Notes) of the Bondholders.

Offering Memorandum. It is determined hereby that the manner of sale and the terms of the Series C Notes, as provided in this Resolution and the Third Supplement, are consistent with all legal requirements and will carry out the public purposes of the Act.

The use and distribution of the Offering Memorandum relating to the Series C Notes substantially in the form now on file with the Board by the Dealer is hereby approved and authorized. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of any statements in the Offering Memorandum contained in Appendix B thereto.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

Allocation of Proceeds. The proceeds from the sale of the Series C Notes, including any accrued interest, shall be allocated, deposited and applied as follows:

- (i) To the Bond Fund, accrued interest, if any, received on the sale of the Series C Notes; and
- (ii) To the Note Program Fund, created pursuant to Section 5 of this Resolution, the entire remaining amount of the proceeds of the Series C Notes, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series C Notes.

Note Program Fund. There is hereby created by the a fund to be maintained in the custody of the Treasurer and designated the "Note Program Fund." The Note Program Fund shall be funded from the proceeds of the sale of the Series C Notes. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series C Project and costs and expenses associated with the issuance of the Series C Notes. The Note Program Fund shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Note Program Fund, including all investment earnings thereon, pending disbursement from the Note Program Fund shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University, as the same may be amended from time to time. The University may establish such accounts in the Note Program Fund as are necessary or desirable to carry out the requirements of the Third Supplement.

The Treasurer shall maintain such books and records with respect to disbursements from the Note Program Fund so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Note Program Fund may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Bond Fund to be used to pay Debt Service Charges on the Series C Notes.

There shall be established in the Note Program Fund the following accounts:

- (i) The Costs of Issuance Account, from which shall be paid the costs of issuance of the Series C Notes;
- (ii) The Redemption Account, from which shall be disbursed such portion of the proceeds as shall be necessary to retire such portion of the General Receipts Commercial Paper Notes, Series B, authorized by the Board on June 3, 1998; and
- (iii) The Project Account, from which shall be disbursed such portion of the proceeds of the Series C Notes necessary to pay costs of the Series C Project.

The Treasurer shall designate in writing, either in the Third Supplement or otherwise, the allocation of the proceeds of the Series C Notes to each of the foregoing accounts.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series C Project (each a "Component") to be paid from the Note Program Fund have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Note Program Fund with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Moneys

**AUTHORIZATION, ISSUANCE AND SALE OF
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SERIES C OF THE OHIO STATE UNIVERSITY (contd)**

remaining on deposit in the Note Program Fund after the completion of the Series C Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series C Notes will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series C Notes, so that the Series C Notes will not constitute "arbitrage bonds" under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series C Notes, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series C Notes setting forth the reasonable expectations of the University regarding the amount and use of those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series C Notes.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series C Notes and will not take or permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series C Notes; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series C Notes.

Third Supplement and Other Documents. In order to better secure the payment of the Debt Service Charges as the same shall become due and payable, the Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute, acknowledge and deliver to the Trustee and the Dealer, in the name and on behalf of the University and pursuant to the Indenture, the Third Supplement and the Dealer Agreement, respectively, each in substantially the form submitted to this Board, or to such officers on behalf of this Board and the University, and such documents are hereby approved, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. The Third Supplement shall also include the form of the Series C Notes (the "Form of Note"), attached thereto as Exhibit A. The execution of such documents by any of the officers shall conclusively evidence that the officers and the Board approve such changes and that such changes are not substantially adverse to the University.

Each of such officers is further authorized to execute and deliver on behalf of the University such other certificates, documents and instruments as are necessary in connection with the acts authorized by this Resolution.

Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

December 1, 2000 meeting, Board of Trustees

**AUTHORIZATION, ISSUANCE AND SALE OF
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Upon motion of Mr. McFerson, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution with six affirmative votes cast by Messrs. Brennan, Sofia, Slane, and McFerson, Ms. Longaberger, and Ms. Hendricks, and two abstentions cast by Messrs. Skestos and Patterson.

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President Kirwan:

Finally, I would like to recommend:

**TENANT IMPROVEMENTS FOR THE MICROMD LABORATORY
SCIENCE VILLAGE**

Resolution No. 2001-78

Synopsis: Authorization for tenant improvements for the MicroMD Laboratory in the new Science Village facility is requested.

WHEREAS the University's MicroMD Laboratory supports needed research and development in bio-microelectromechanical systems; and

WHEREAS to provide needed space for the MicroMD Laboratory, the University will lease from the Science and Technology Campus Corporation (SciTech) approximately 20,000 square feet of shell space in the new Science Village building at 1381 Kinnear Road and provide for tenant improvements for the Lab; and

WHEREAS the total estimated project cost for the tenant improvements is \$9,000,000 and the total estimated construction cost is approximately \$7,300,000, with funding provided by the College of Engineering (\$1,500,000), College of Medicine and Public Health (\$1,500,000), Office of Research (\$1,500,000), and the President's Strategic Investment Fund (\$4,500,000), except to the extent that the costs are covered by grants, contracts, and private gifts; and

WHEREAS the tenant improvements will be undertaken by SciTech, with the work to be performed to appropriate University specifications and requirements:

NOW THEREFORE

BE IT RESOLVED, That, subject to the execution of a memorandum of understanding among the offices involved, the President and/or Vice President for Business and Finance be authorized to enter into an agreement with the Science and Technology Campus Corporation for the necessary tenant improvements to support the MicroMD Laboratory at the Science Village Facility at 1381 Kinnear Road at a total project cost not to exceed \$9,000,000.

Upon motion of Mr. Slane, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution with six affirmative votes cast by Messrs. Patterson, Sofia, Slane, and McFerson, Ms. Longaberger, and Ms. Hendricks, and two abstentions cast by Messrs. Skestos and Brennan.

(See Appendix XXIV for map, page 529.)

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CGS PRESIDENT'S REPORT

Mr. Ronald Meyers: [PowerPoint Presentation]

Good morning. I really appreciate the opportunity to address the Board and everyone else who is here. On behalf of the 10,000 graduate students at Ohio State, I'll give you a very brief update on graduate student outreach and engagement efforts at the University. If I may take a note to very quickly say that, in consultation with my fellow student government presidents, we have been talking quite a bit about the student activity east of High. We were quite upset over the behavior of those students who are engaged in that and we are absolutely committed to working with the administration on finding responses and continuing to work with them on that. So, President Kirwan, I appreciate your comments on that.

In fact, that ties very nicely into the presentation today. We know, historically, that the issue of how to get the land grant mission to be updated and what Ohio State would do with this is something that the University has been engaged with for some time. We also know that from scholarship, from folks like Dr. Boyer whom I believe a fair number of Board members are somewhat familiar with. We've talked about broadening the definition of scholarship. These are things that graduate students look at as the theory and the research that's done and then try to tie that back to what we do.

Of course, as we know from Dr. Moser, outreach and engagement is a huge initiative at OSU and he often talks about a definition of it. So I'll provide this one to you: Outreach and engagement could be called "that aspect of teaching that enables learning beyond the campus walls, research that makes what we discover useful beyond the academic community, and service that directly benefits the public." Many of the things that we do as a university fall into this. In particular, the technology efforts to develop applied technology – many other examples. One of the biggest things that a university can do in order to engage students in this is to do service learning. So, this is one definition of service learning that's provided and in the literature. Of course, one must also, if you're going to increase service learning, look at the best strategies. And the Campus Compact, which Ohio State has been involved with, has identified several of these strategies. So we provide these for you today.

As we know, the revitalization of the University district is extremely important. In light of the events this weekend, these words are just as fresh and important to us today. I remember that speech, Dr. Kirwan – it moved me then, and I was glad I could find it to share it now.

Our vision on outreach and engagement – given this context that we have of research and the importance of this to the University and to the nation – CGS has looked at what's important and we share these with you: broadening graduate application; increasing academic excellence; involving graduate students in outreach and engagement; increasing undergraduate service learning; and revitalizing the neighborhoods east of High. These are all part of the University-wide outreach and engagement effort, and are necessary to achieve the Academic Plan.

Our personal goals for our own organization to challenge ourselves? We want to increase our own student leadership on this. In fact, that is part of what I'm trying to do today, in some small way, is to take time to share what is important. We need to promote outreach and engagement across the University, advocate for sustained and increased University support for this and for our piloted programs of the BuckEyes Watch. We had six additional blocks of students approach us in the last week to form a new BuckEyes Watch. We want to expand this program

CGS PRESIDENT'S REPORT (contd)

Mr. Meyers: (contd)

and, of course, be assured continued support for a grant program that universities have set up.

Outreach sometimes is thought to be contrary to academic excellence. Many of us know that this is not true. As an example, I share with you Elizabeth Lentz, a Ph.D., now at the University of Chicago, a prestigious institution, who won a distinguished dissertation award, and she was a CGS secretary. We know that they go directly hand-in-hand. So for graduate students, what we do in outreach and engagement is often research, service, and administrative support – to do these types of things.

What we want to do with graduate research at the moment, in addition to these things, is to target how to increase student safety and to stop these riots. So social capital research is one area – we can see how engaged students are in the neighborhood, how much they believe in the importance of being a responsible member of the neighborhood, and how willing they are to engage in that. That all goes under social capital research. Then you can see the other initiatives that are up there.

I wanted to share real quickly the kinds of research that we have actually done. This profile has been used extensively in the revitalization effort and the key finding I put up there because it's startling to see sometimes. In community development – this was a several-hundred page document that was developed that's been used extensively with extension agents across the state to help them with their research. Through the grants program that students are doing, Weinland Park Revitalization Project plan was developed. You see the existing site location at Eleventh and Fourth Avenues, it's an abandoned city lot. These are the proposals that the students developed with Dale Burch. They've been presented to Campus Partners. It's fine research.

Then we looked at employment in the neighborhood and we see that we had recommendations for that, and for teaching in the neighborhoods. The P-12 initiative is very important, and it's going on in education to improve it in the neighborhoods in many ways. This partnership – it's almost a disservice to cover it in 15 seconds -- is a very large effort. They've developed great recommendations in order to improve education in the University district.

Hundreds of students are involved in these programs, and some of my snapshots show the student involvement. Students are also involved in leadership on these programs; not only to do research, but to help administer the organization of undergraduates in service learning. We can see this is a distinguished award that the University won.

We also do service directly in the community. This is the type of administrative service and leadership that's helping to transform the look of the neighborhood, also through a variety of programs, some of which you heard before last month in the outreach and engagement report.

Finally, I'll conclude with some of our recommendations. We really need a serious commitment to broaden graduate education if we're to achieve the goals that Boyer identified and we're calling for. We need to establish significant administrative and program support for service learning and outreach efforts in the neighborhood, and establish a community research evaluation education service fund for graduate projects. So graduate students who want to do their

CGS PRESIDENT'S REPORT (contd)

Mr. Meyers: (contd)

dissertation or their master's work on conditions in the neighborhood, can find the cash in order to do that, and to help the P-12 initiative and the University achieve its goals.

With that, I'll take any questions that you have.

Mr. Filiatraut:

First of all I'd like to say it's always great to hear about students going out into the community and doing great things. But I think, today, having this presentation is very good, simply because you show that there is a connection between revitalizing the neighborhood east of High and the Academic Plan. I think if you could just briefly say something about that. I think that in and of itself shows the breadth of the Plan and its importance in OSU's growth.

Mr. Meyers:

As we know, attracting the best students here is very important to us. And we all know, anecdotally, on occasion, some of those best students don't come here because of the condition east of High. In fact, on rare occasions, faculty decline to attend.

We also know that we can change these neighborhoods through service learning, which is the type of learning in the classroom that helps students not only learn from the book, but learn from practice and to put the two together. So that students, through a great educational model with service learning, can have the chance to improve the neighborhoods and then attract more good students.

Personally, I had the opportunity to do this type of work and have a publication in an international journal that's come out of the work that I can add to my vitae. These are the types of things that will really help me in my academic career and is one of reasons why I'm so excited about it.

Mr. Skestos:

Any more questions of Ron? Thank you very much, Ron.

Mr. Meyers:

Thank you.

--0--

Thereupon the Board adjourned to meet Friday, February 2, 2001, at The Ohio State University Younkin Success Center, Columbus, Ohio.

Attest:

William J. Napier
Secretary

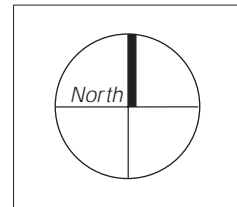
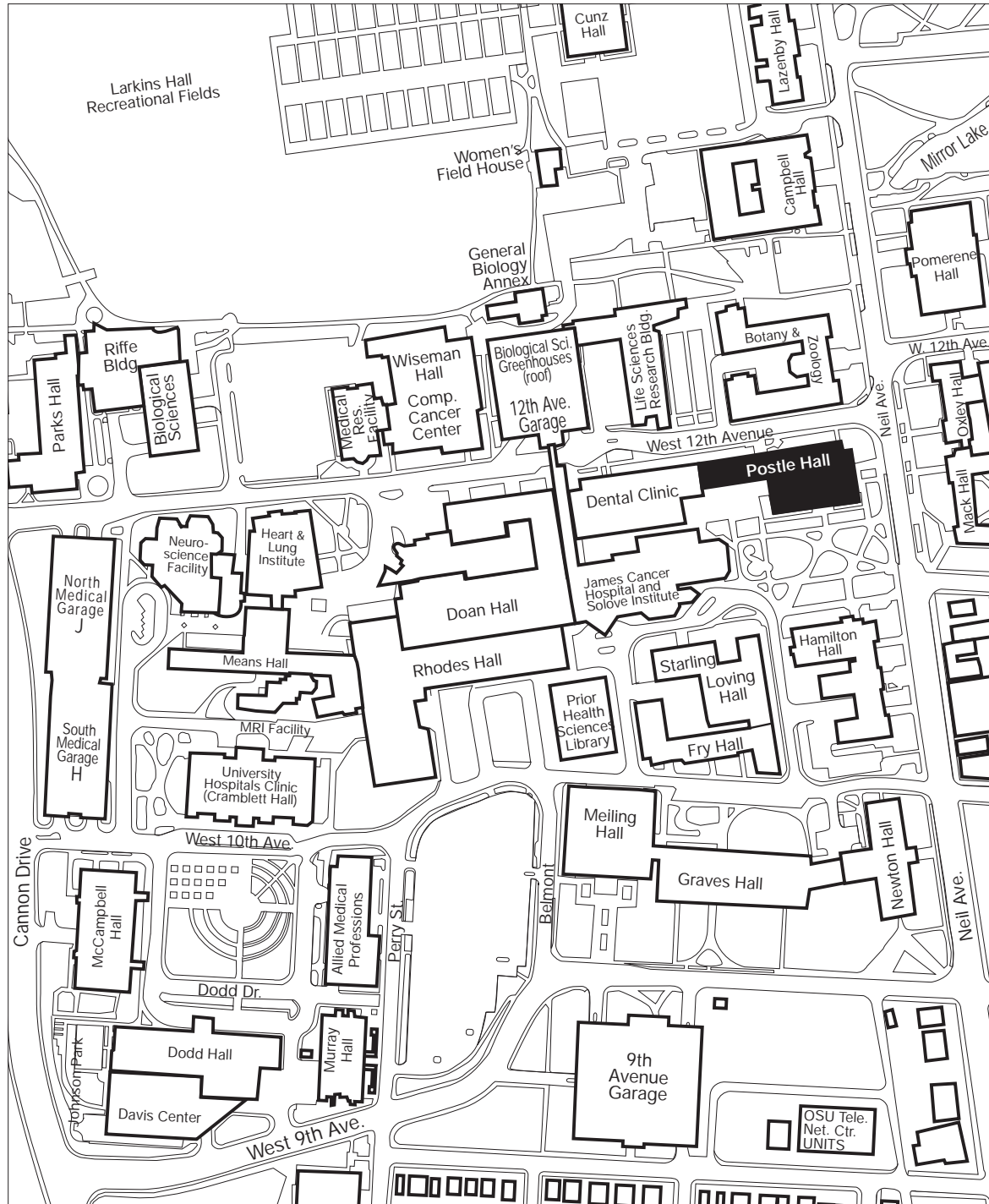
George A. Skestos
Chairman

Waivers of Competitive Bidding Requirements July-September 2000

(APPENDIX XX)

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals - Professional Health Care Services	\$2,497,342	\$75,000	\$0	\$2,422,342
Hospitals - Equipment and Services	\$4,055,024	\$2,754,284	\$300,000	\$1,000,740
Instructional and Research Equipment and Services	\$883,231	\$352,593	\$0	\$530,638
Administrative Support Equipment and Services	\$9,549,367	\$1,190,611	\$1,983,913	\$6,374,843
TOTAL	\$16,984,964	\$4,372,488	\$2,283,913	\$10,328,563

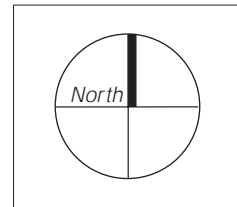
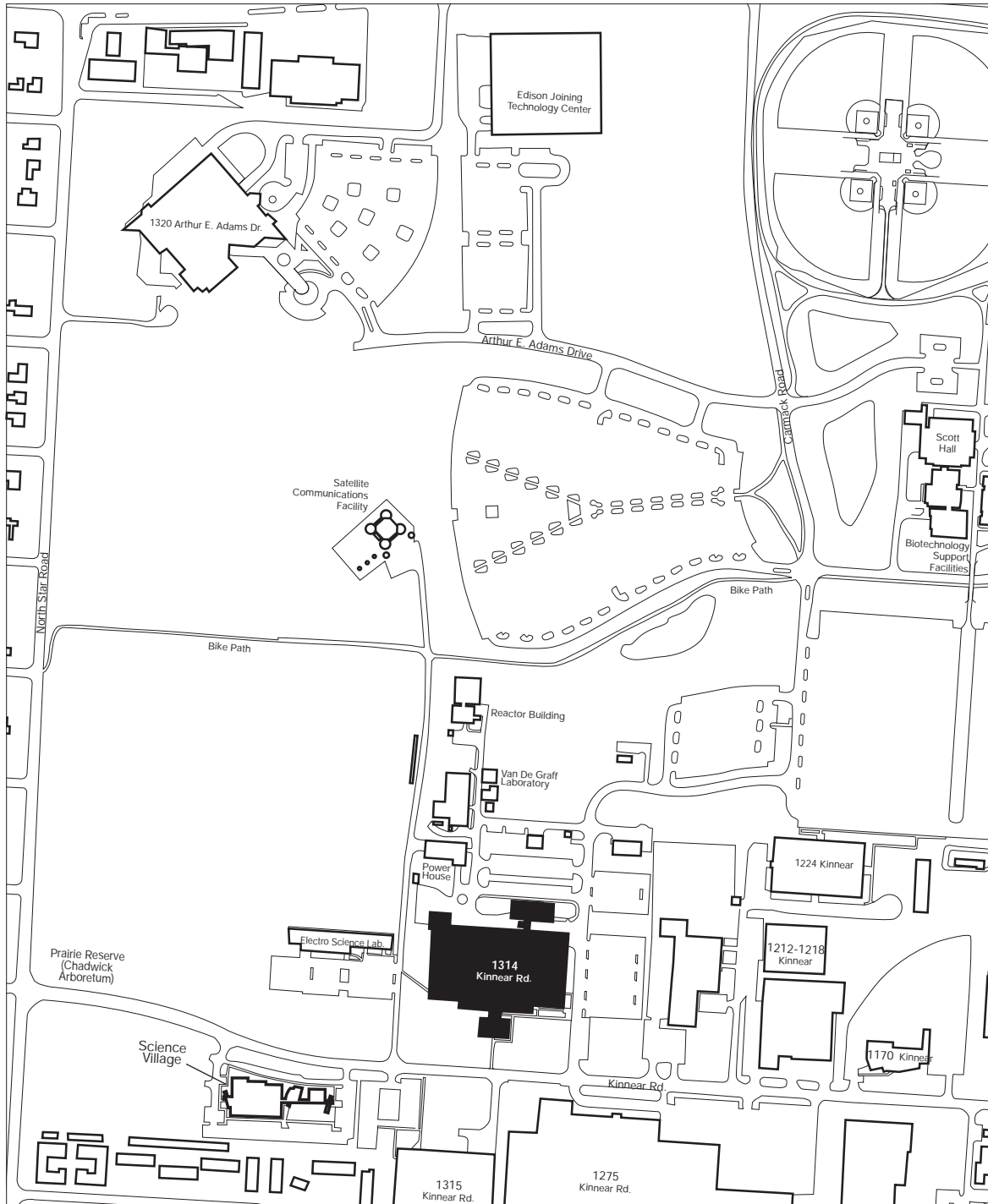
Postle Hall - Oral and Maxillofacial Surgery



Office of Business and Finance
Office of Facilities Planning and Development

November 10, 2000

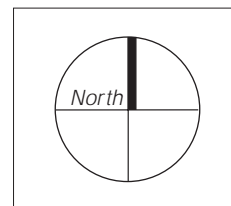
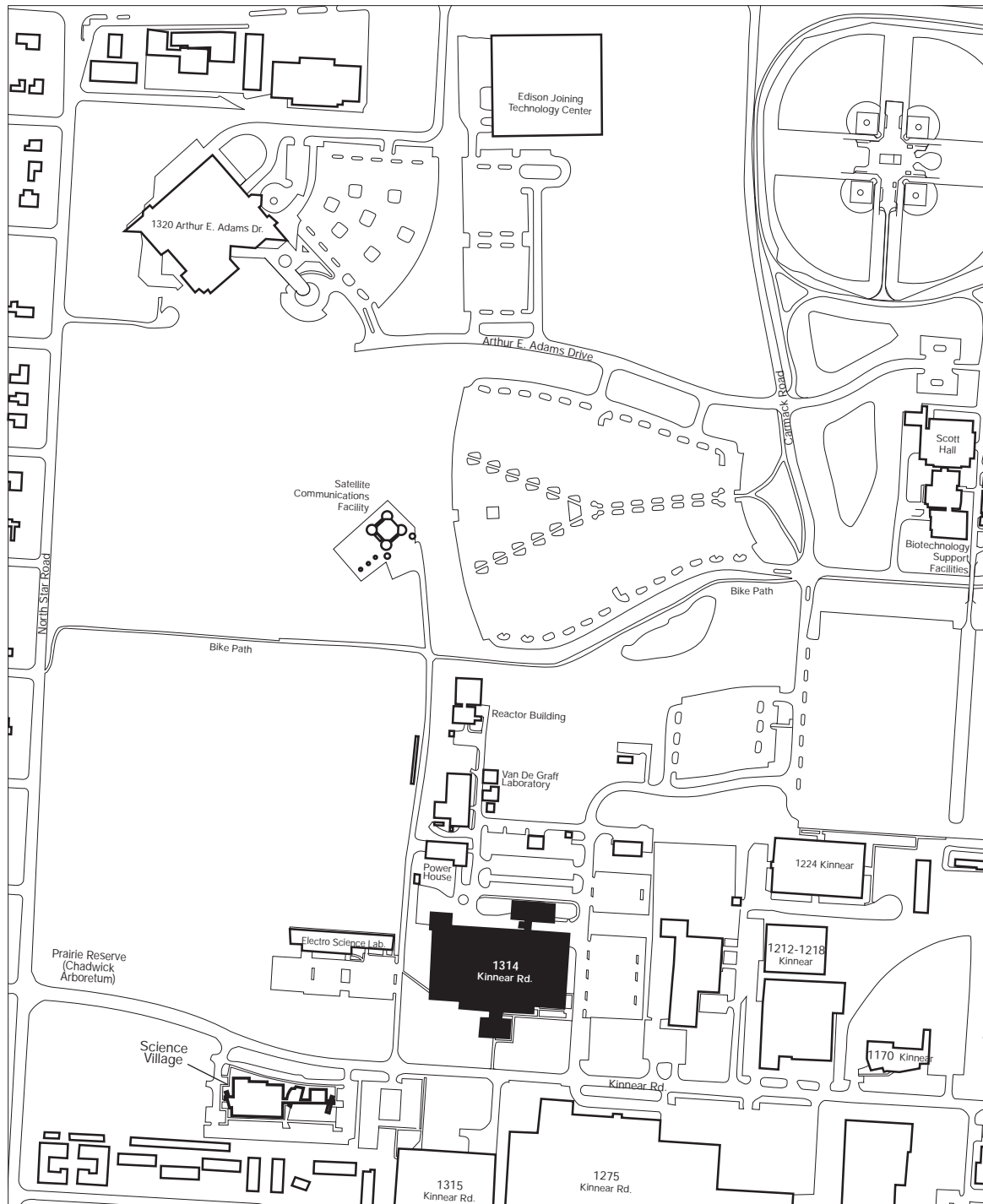
1314 Kinnear Road Building Improvements



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Office of Facilities Planning and Development

November 10, 2000

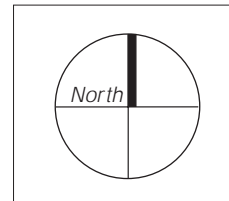
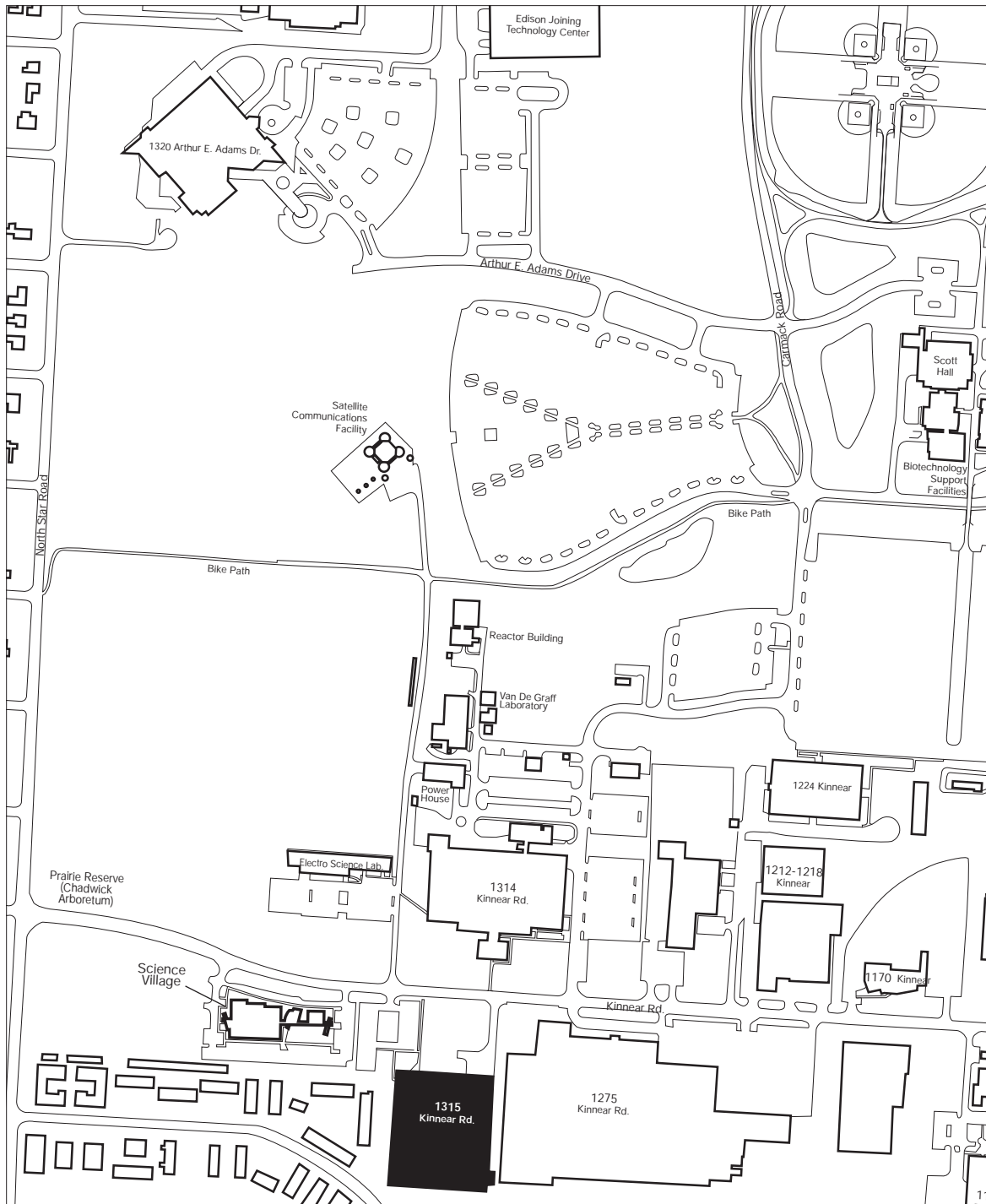
1314 Kinnear Road Chiller Replacement



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Office of Facilities Planning and Development

November 10, 2000

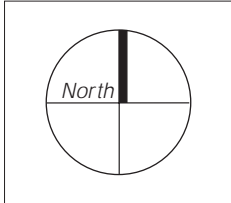
1315 Kinnear Road Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 10, 2000

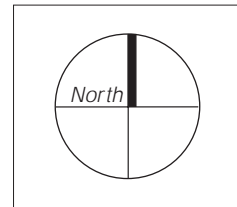
ADA Compliant Restrooms 1997



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Office of Facilities Planning and Development

November 10, 2000

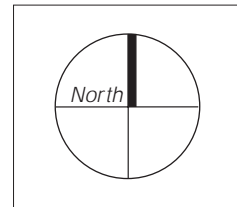
Biological Sciences Cooling Tower Replacement



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November 10, 2000

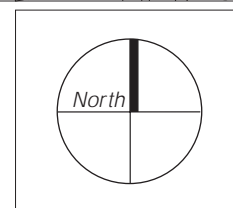
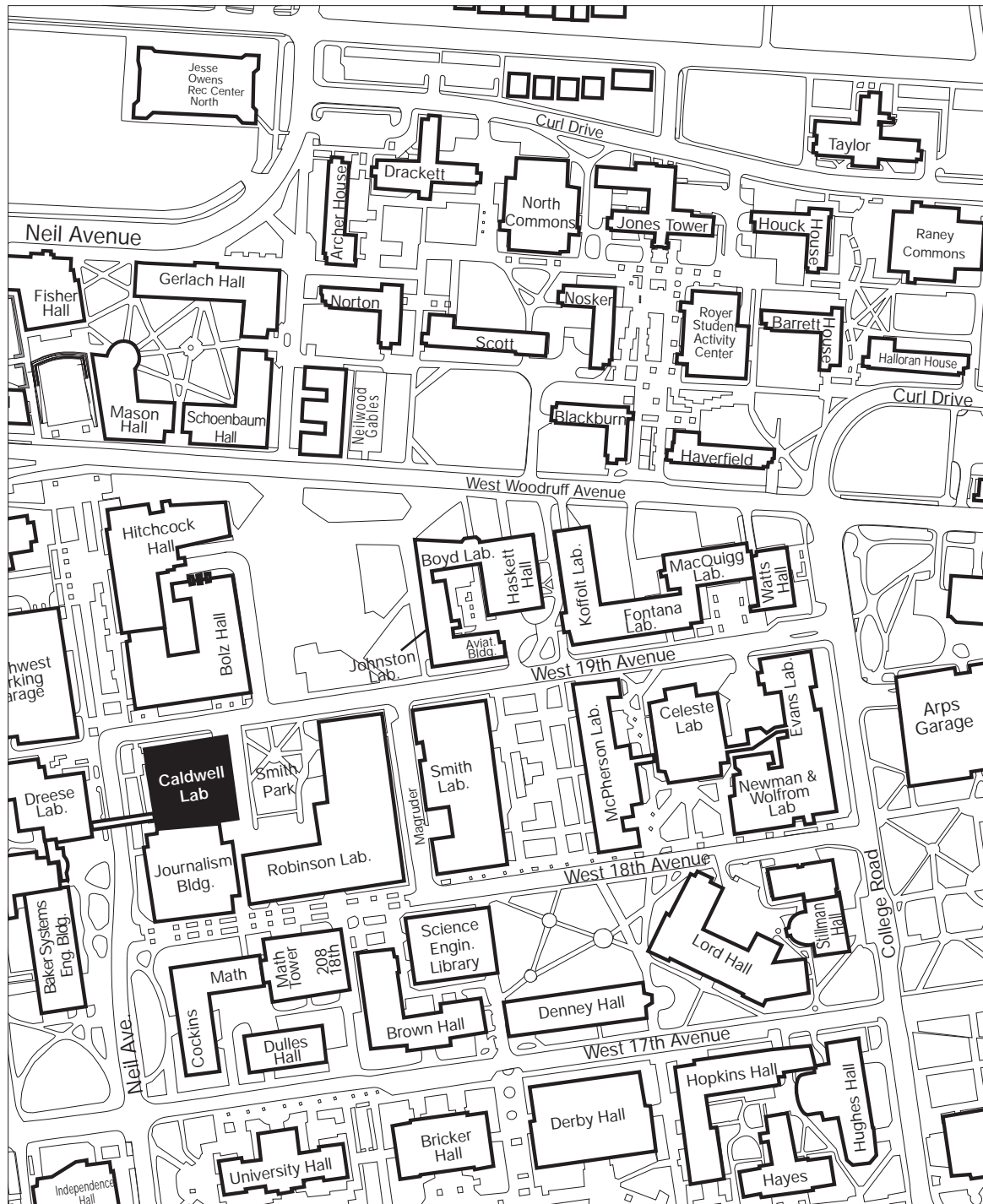
Biological Sciences Lab Renovation, Phase I



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November 10, 2000

Caldwell Lab - Asbestos Abatement



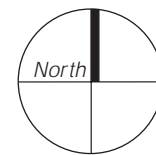
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Office of Facilities Planning and Development

November 10, 2000

Campus Lighting Phase VI



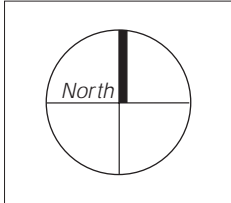
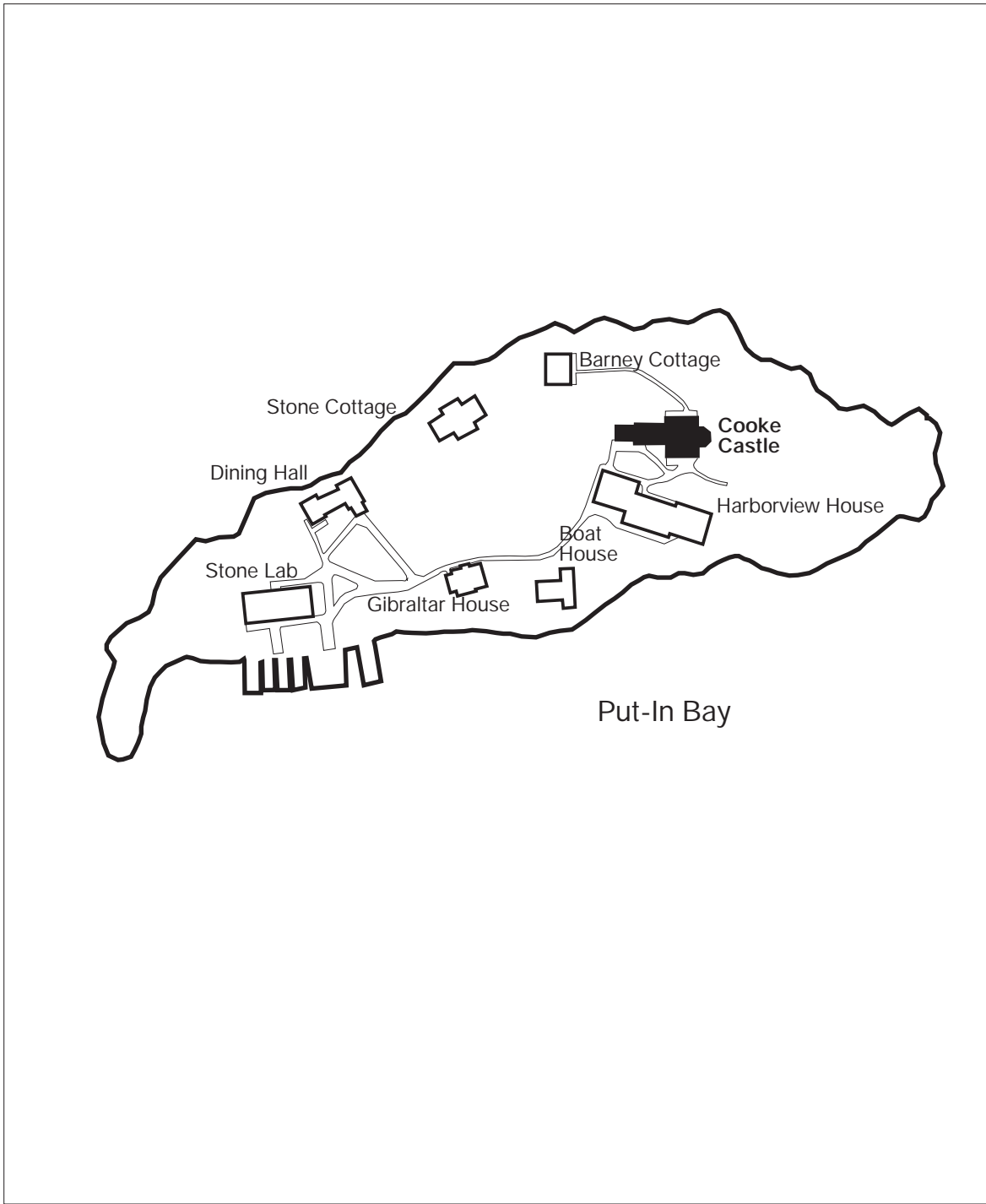
Project engaged in many sites all over the Columbus Campus



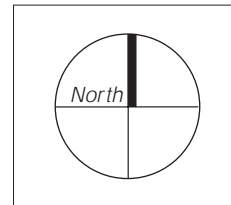
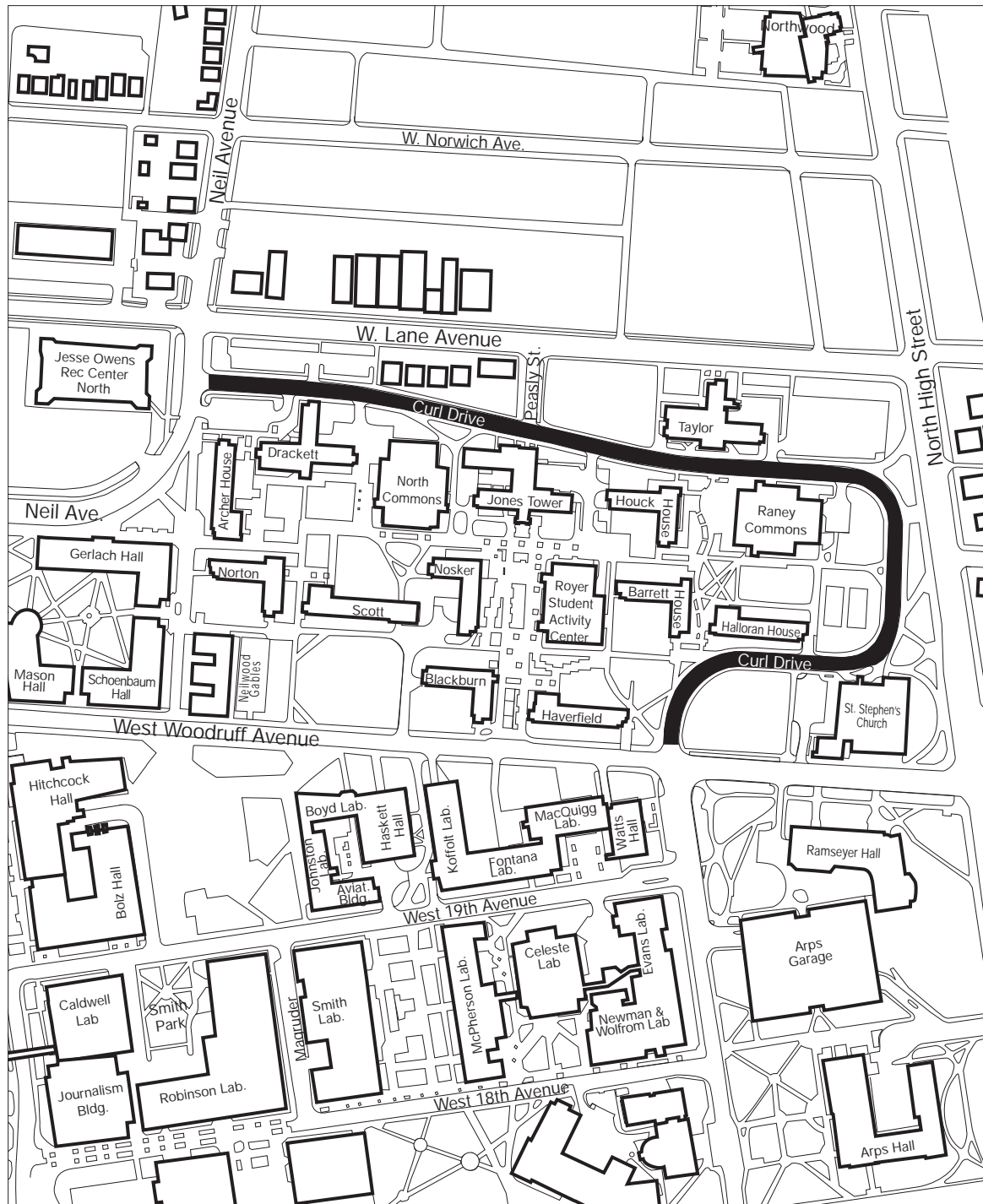
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Office of Facilities Planning and Development

November 16, 2000

Cooke Castle Renovation



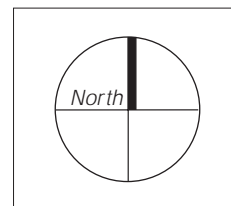
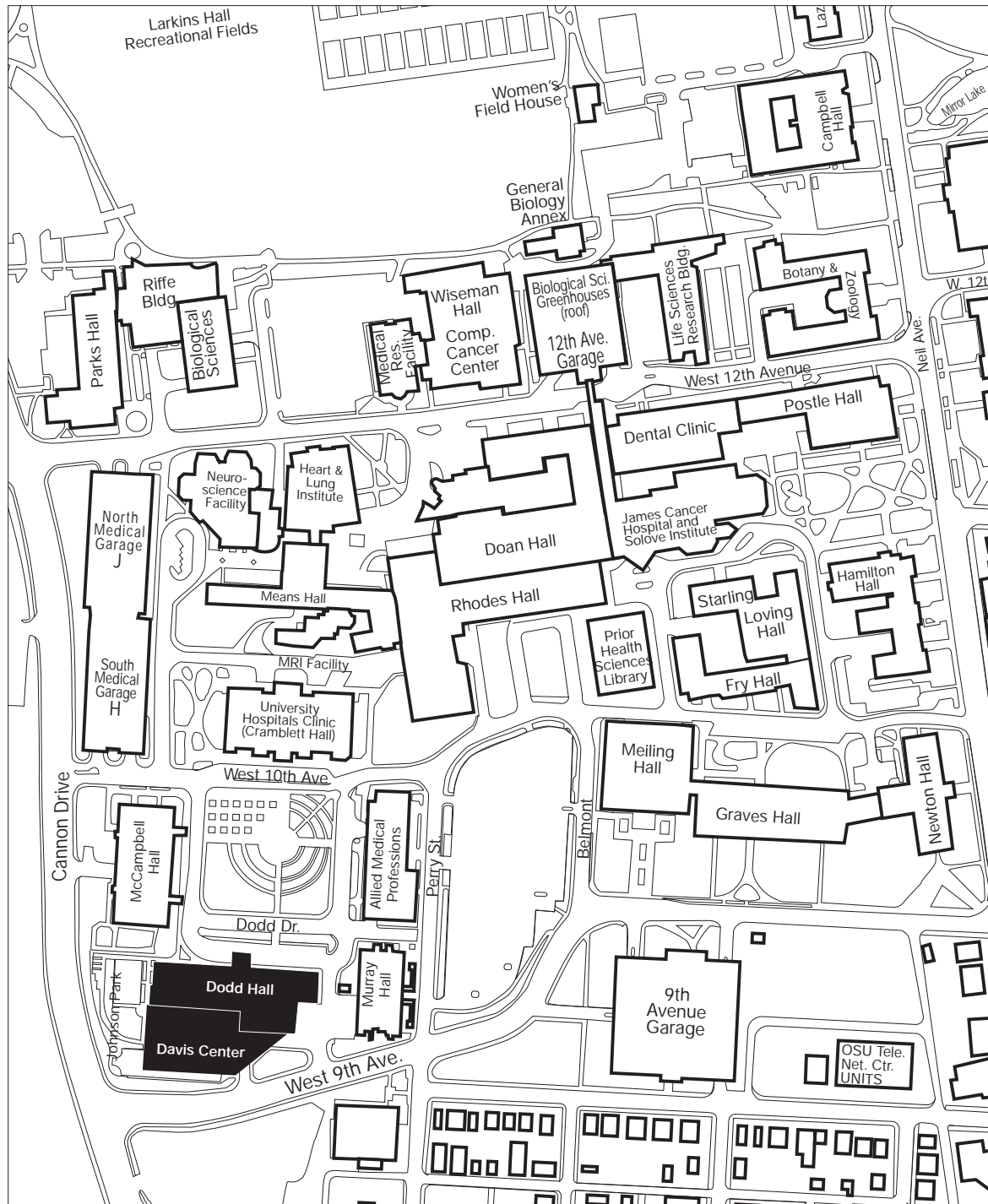
Curl Drive Mill and Overlay



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Office of Facilities Planning and Development

November 10, 2000

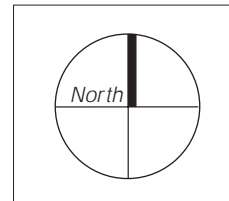
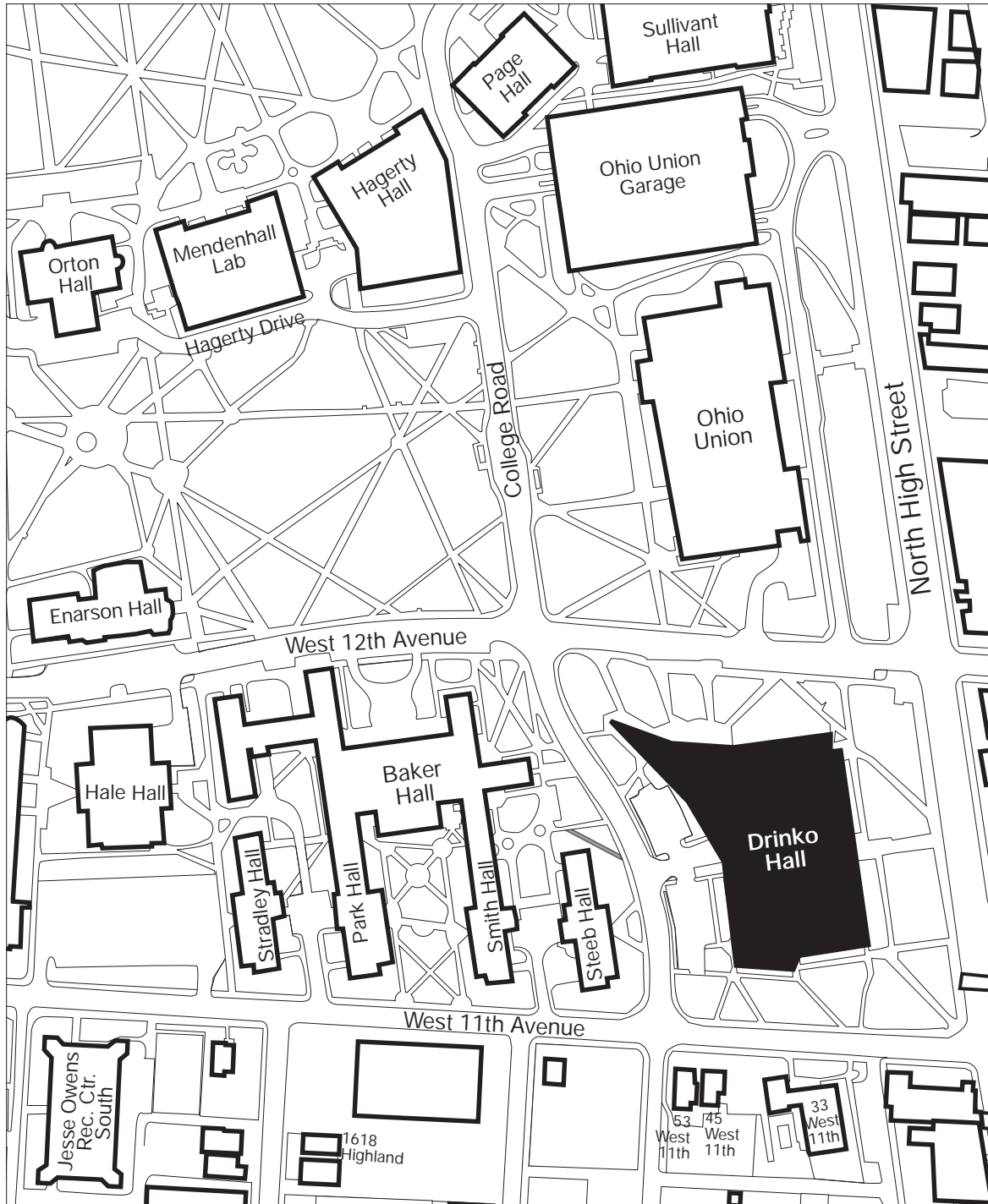
Dodd Hall And Davis Center - Roof Replacements



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November 11, 2000

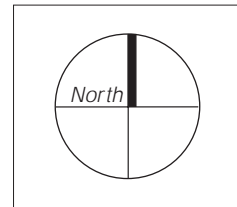
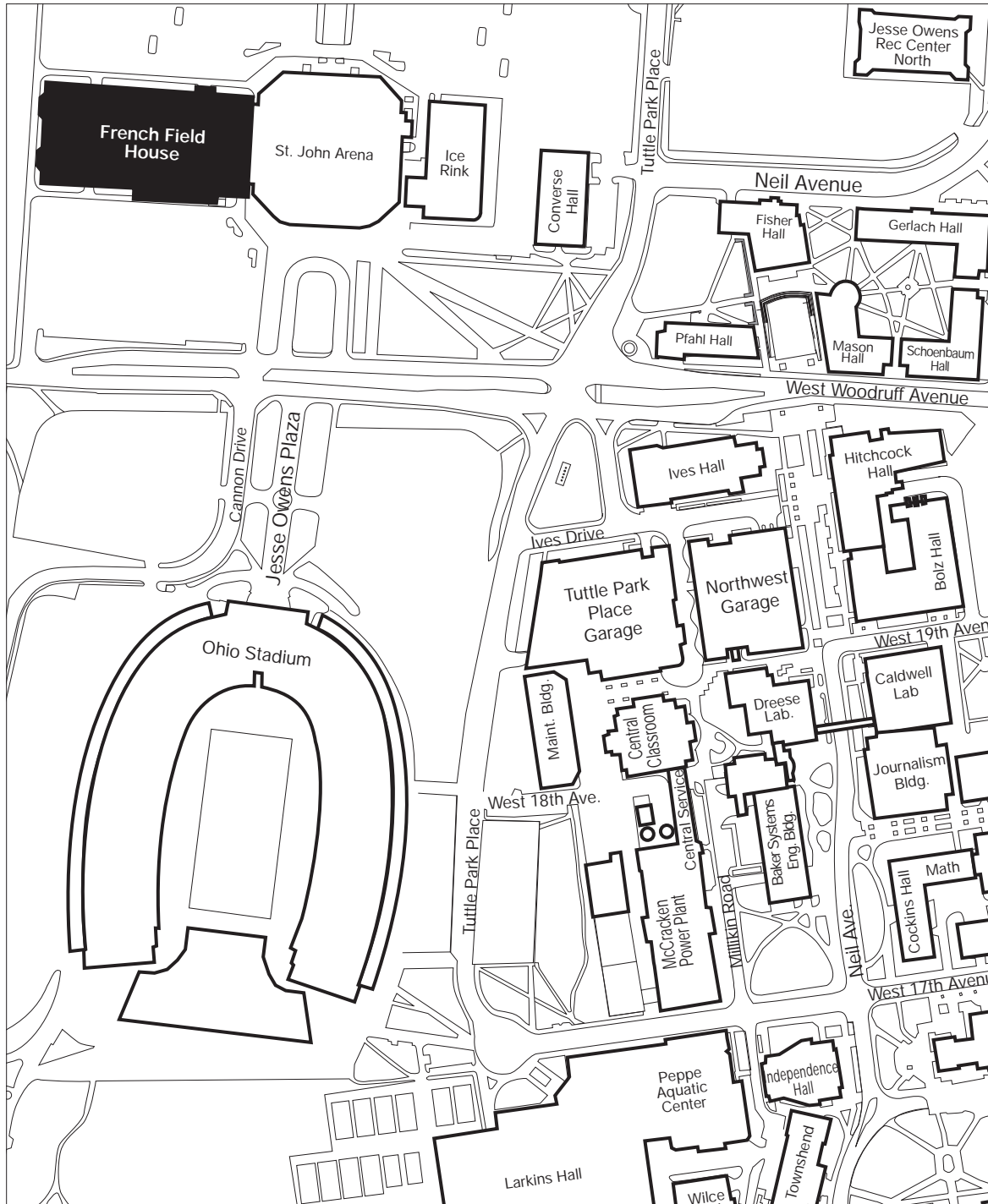
Drinko Hall - Air Conditioning Upgrade



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November 10, 2000

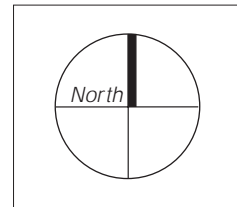
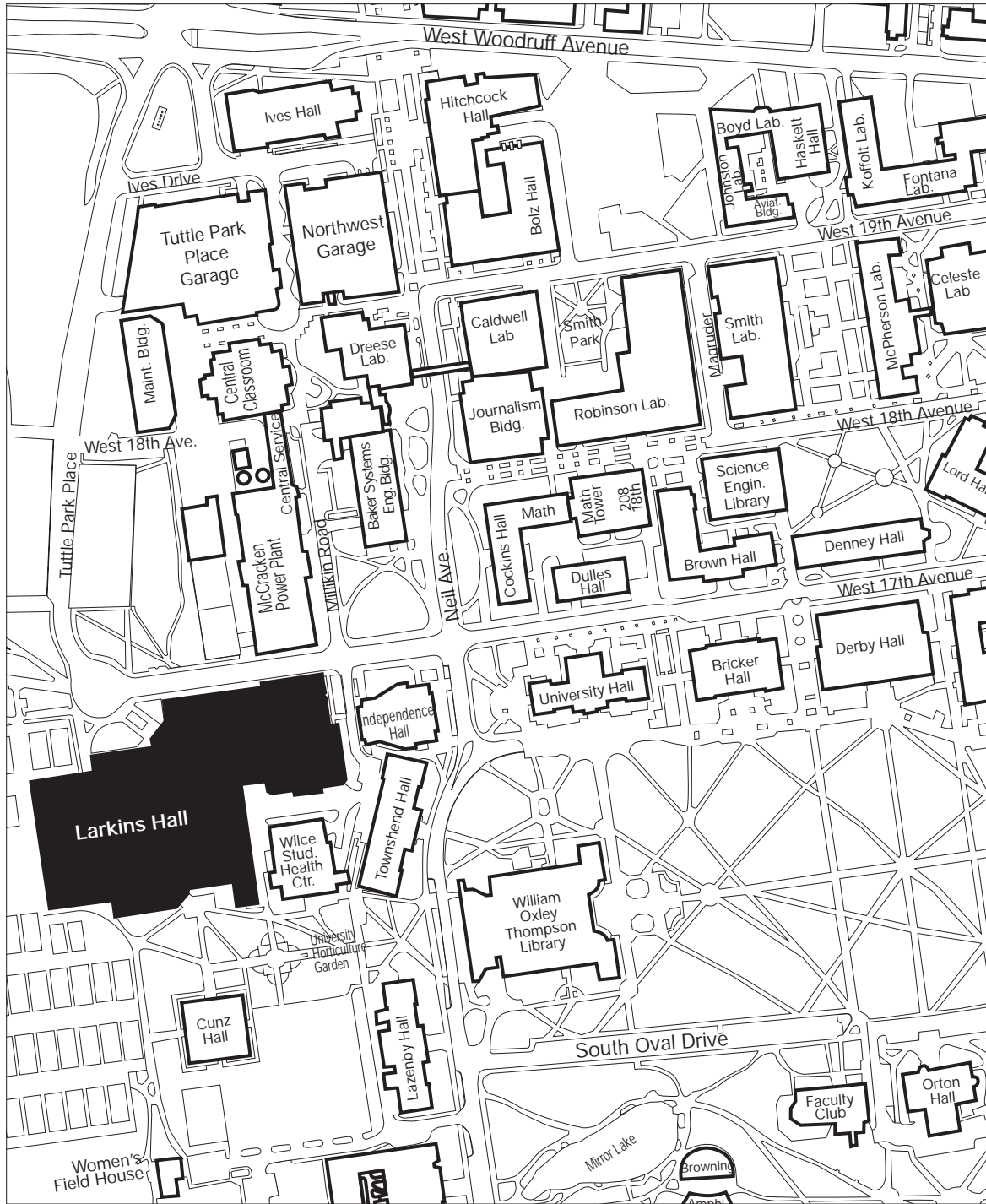
French Field House - Glass Replacement



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November 10, 2000

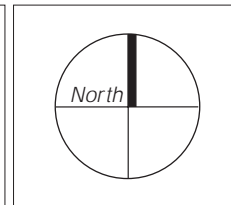
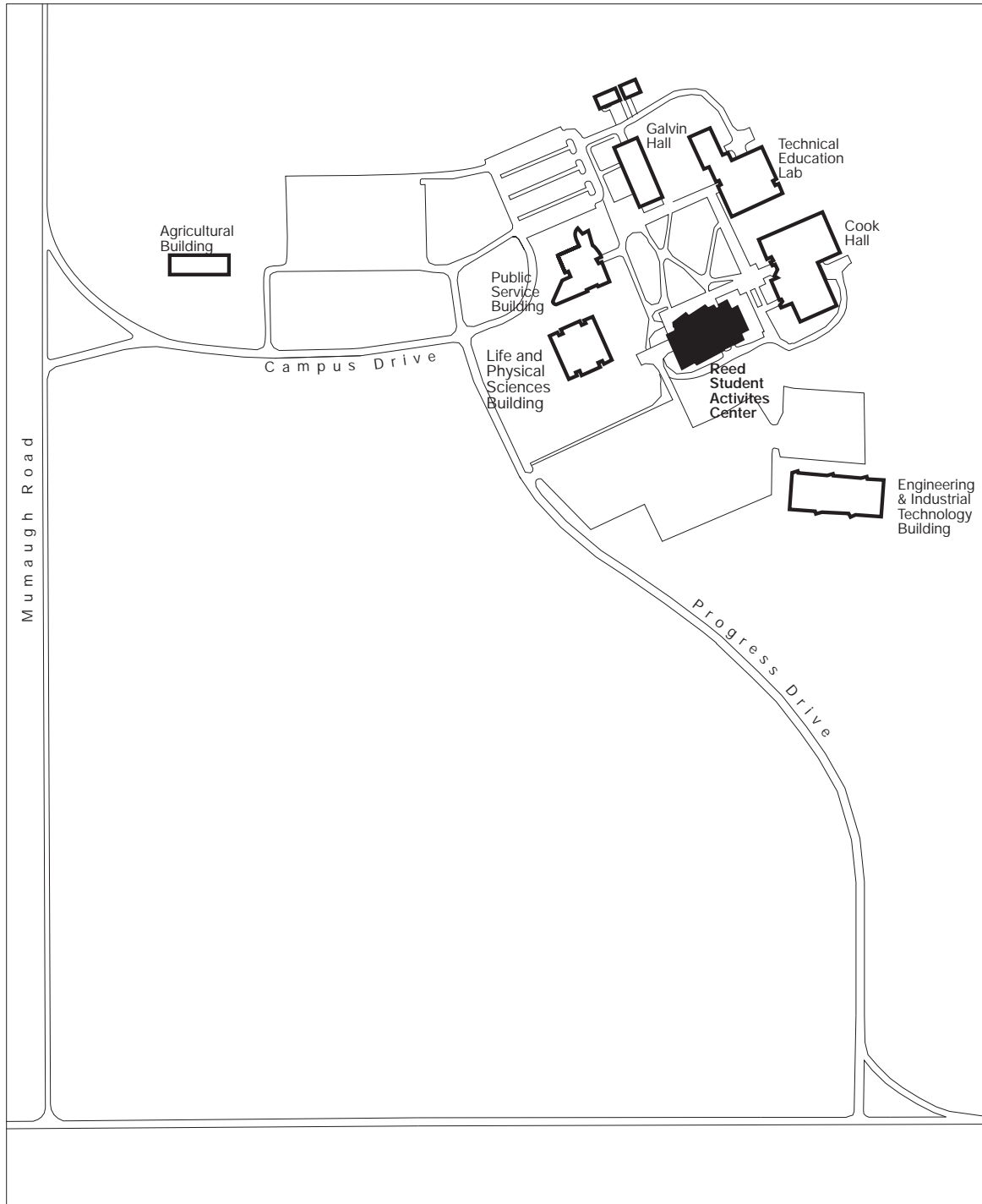
Larkins Hall - Window Replacements



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Office of Facilities Planning and Development

November 10, 2000

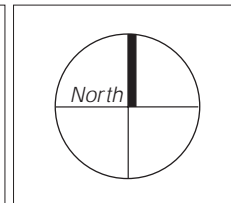
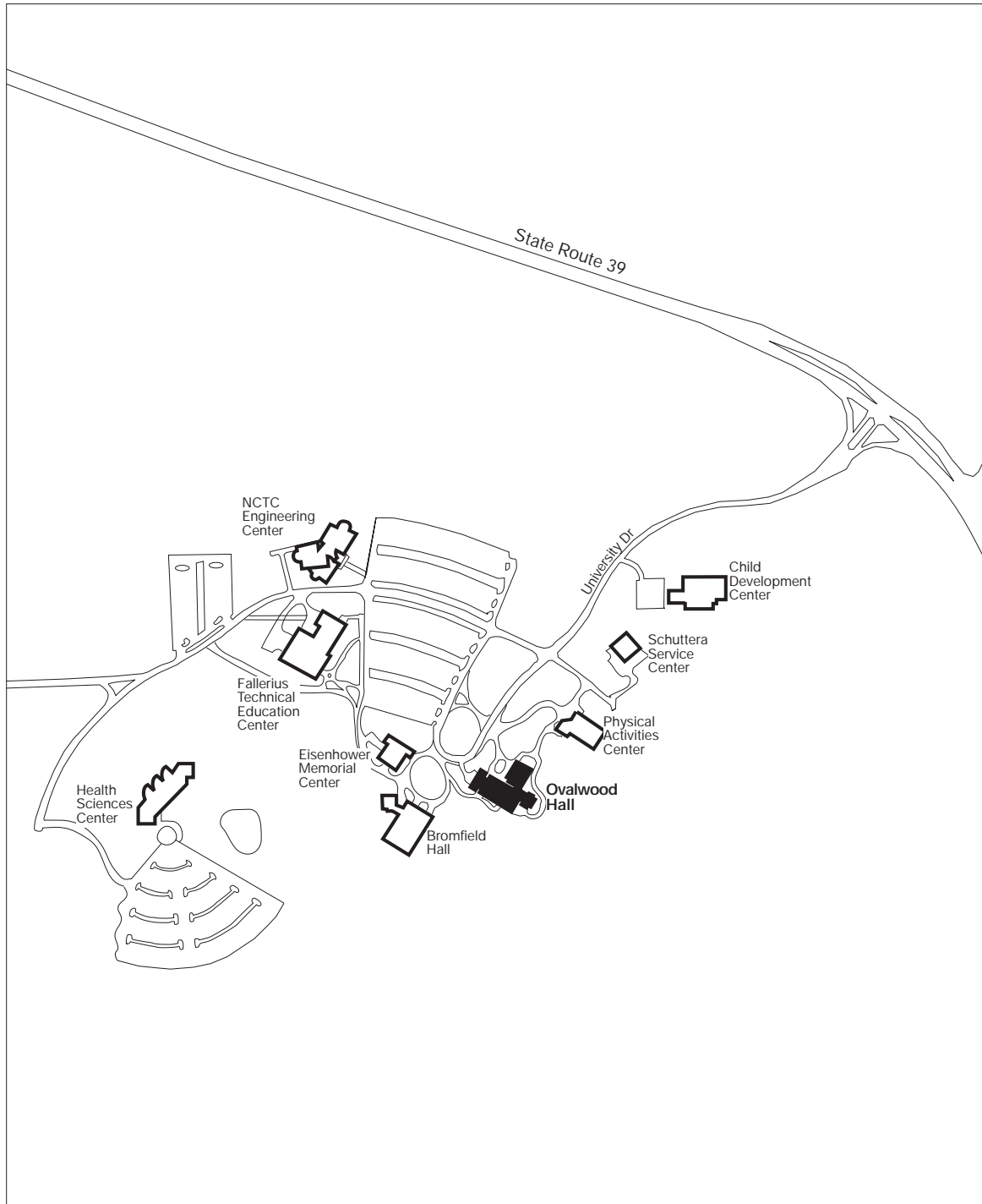
Lima Campus - Reed Cooling Tower



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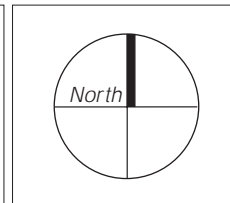
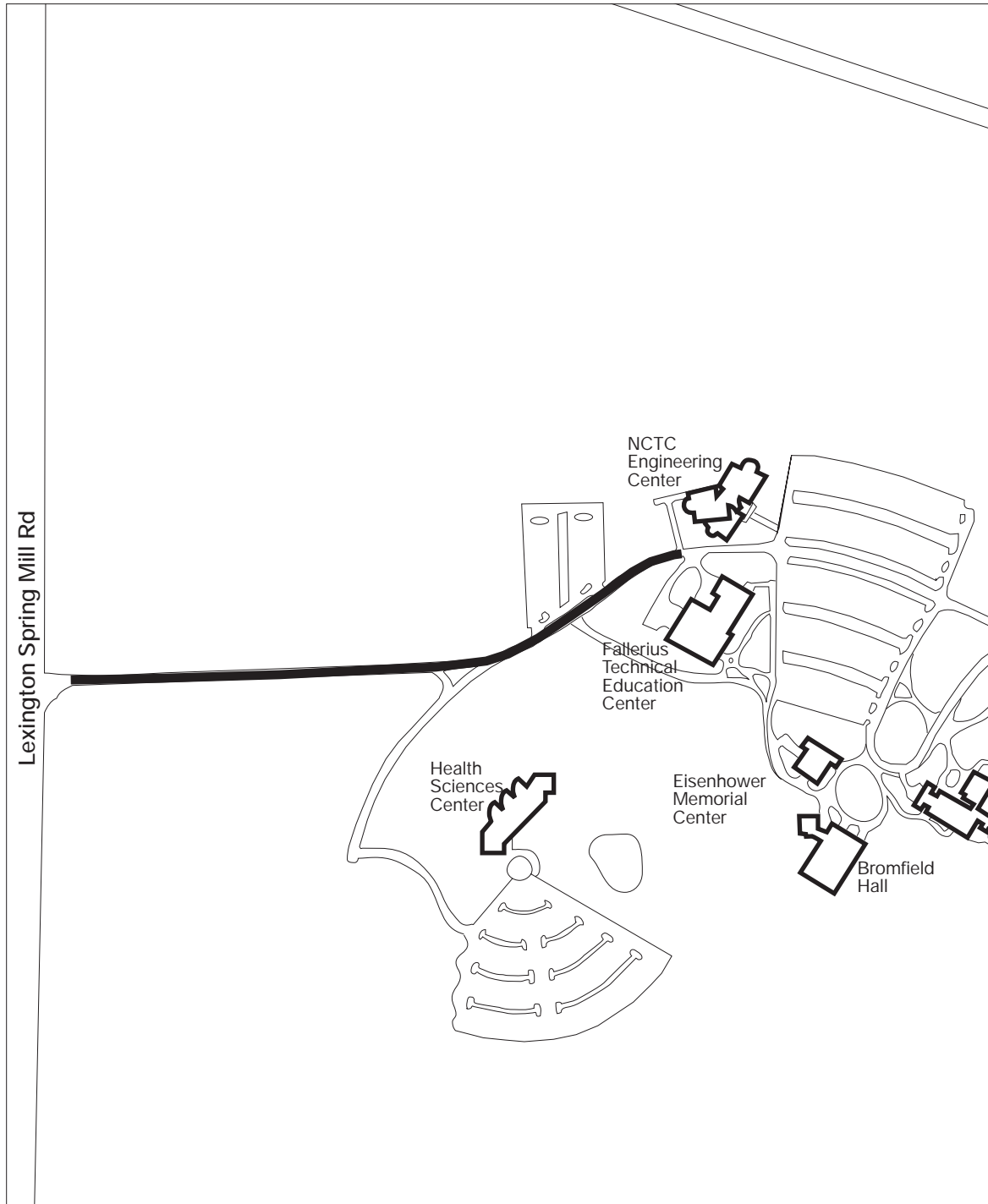
Mansfield Campus - Founders Auditorium Renovation



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November 16, 2000

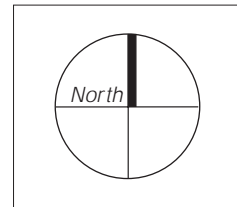
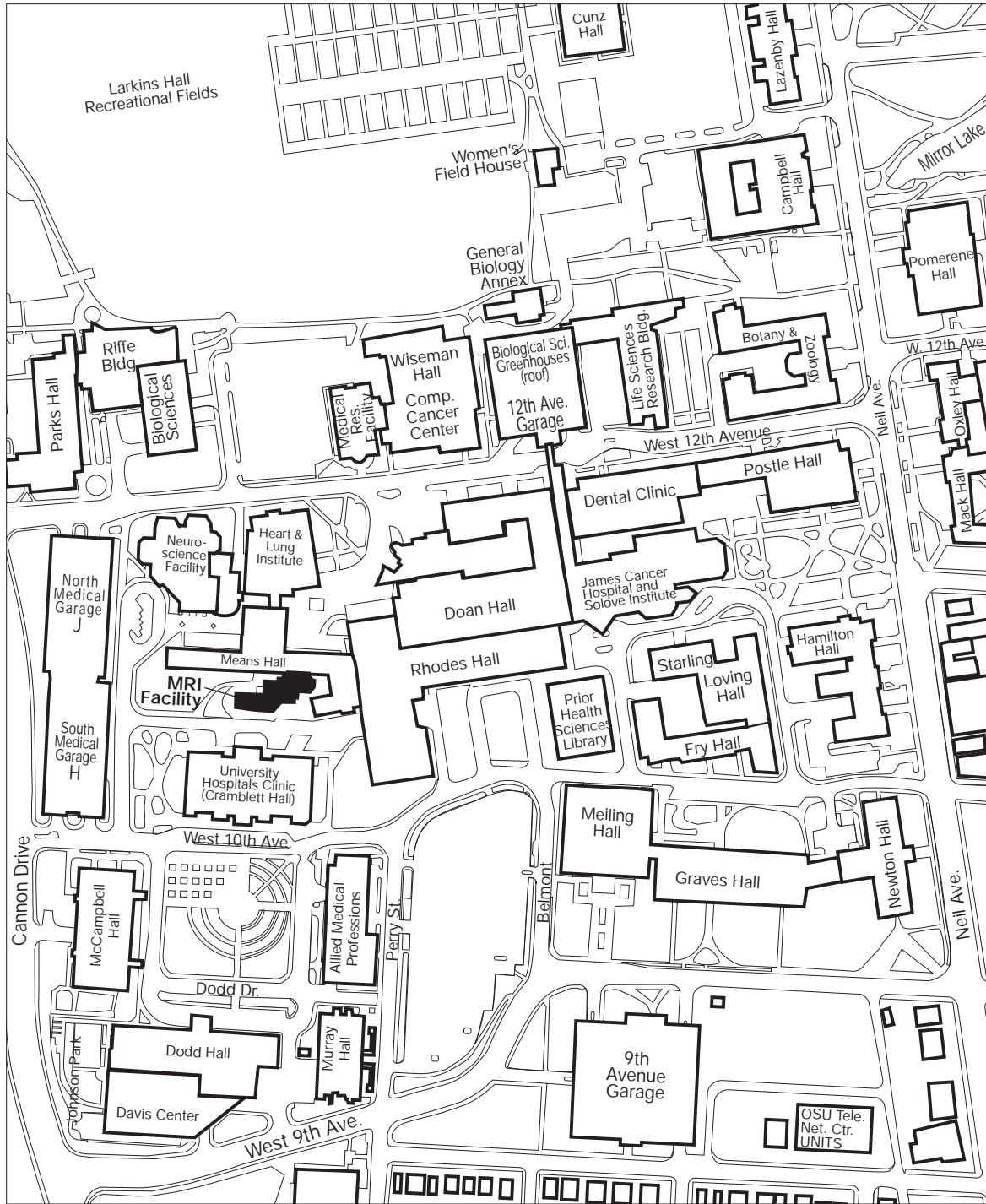
Mansfield - Roadway and Tennis Court Paving



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November 10, 2000

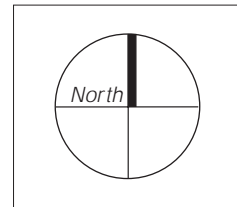
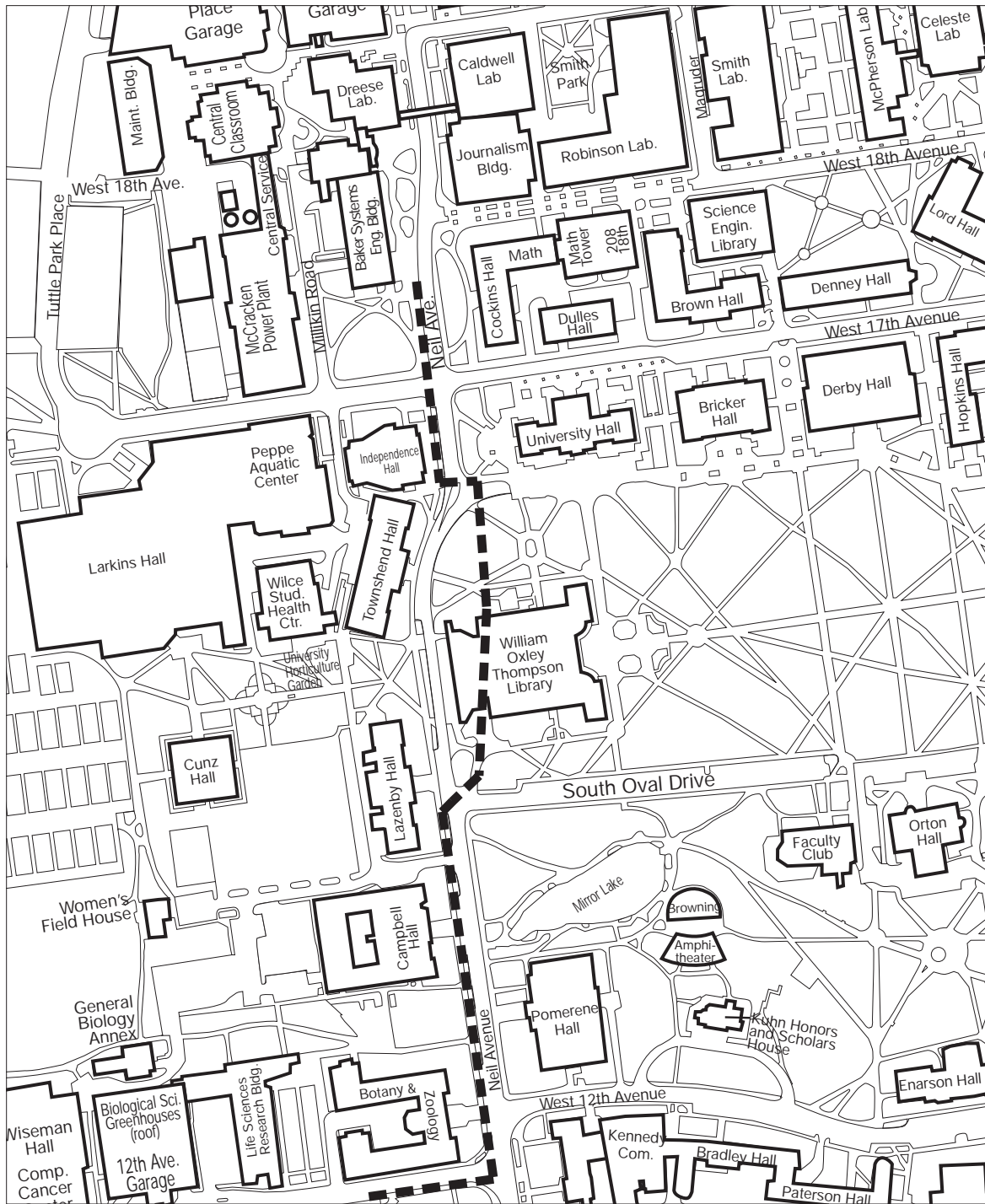
MRI - Clinical Facility Expansion



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November 11, 2000

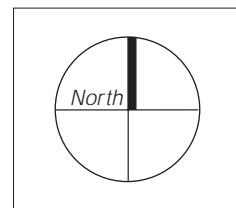
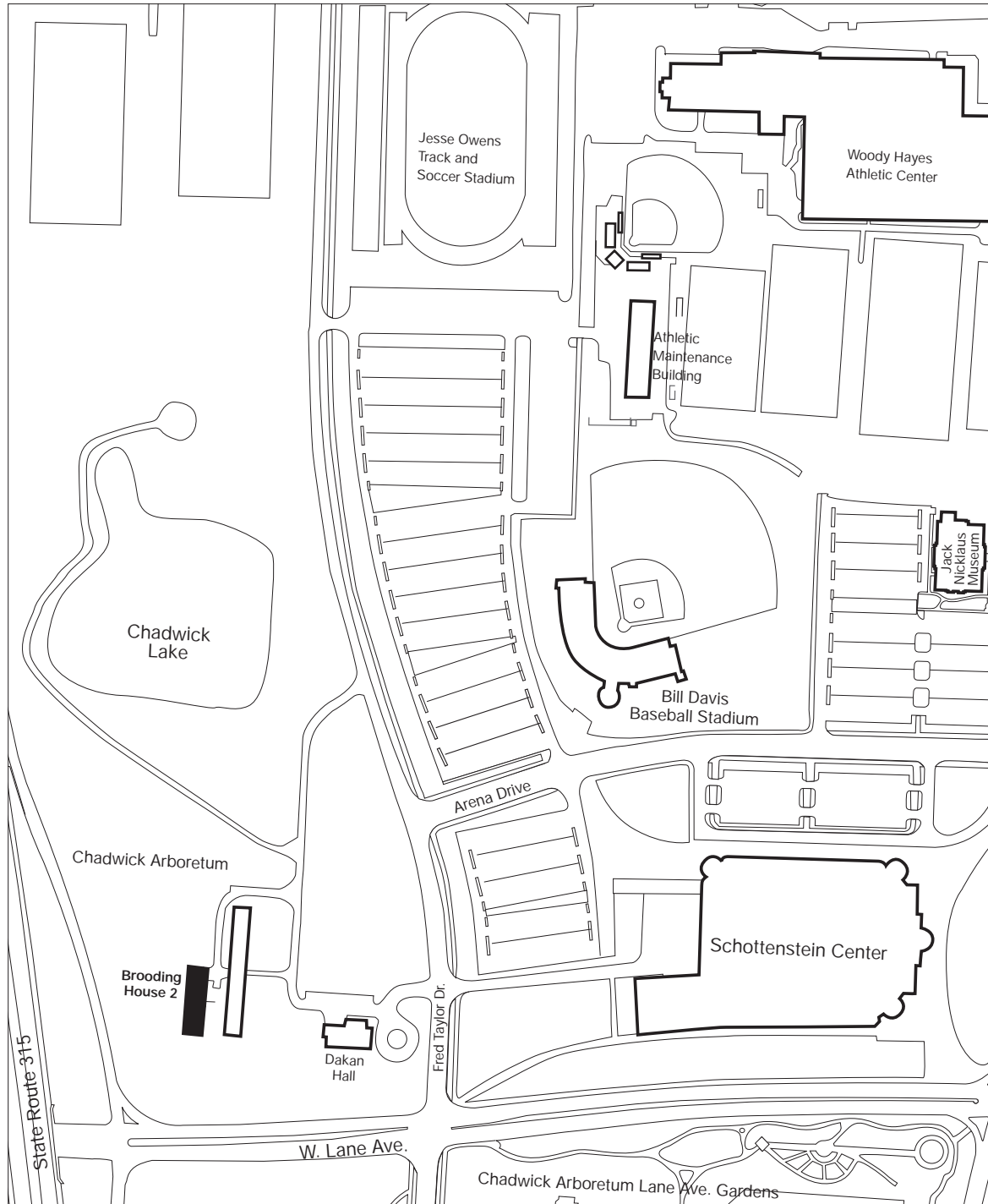
Neil Avenue Tunnel - Asbestos Abatement



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November 10, 2000

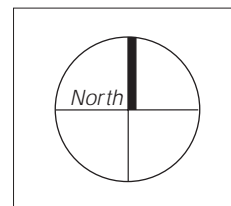
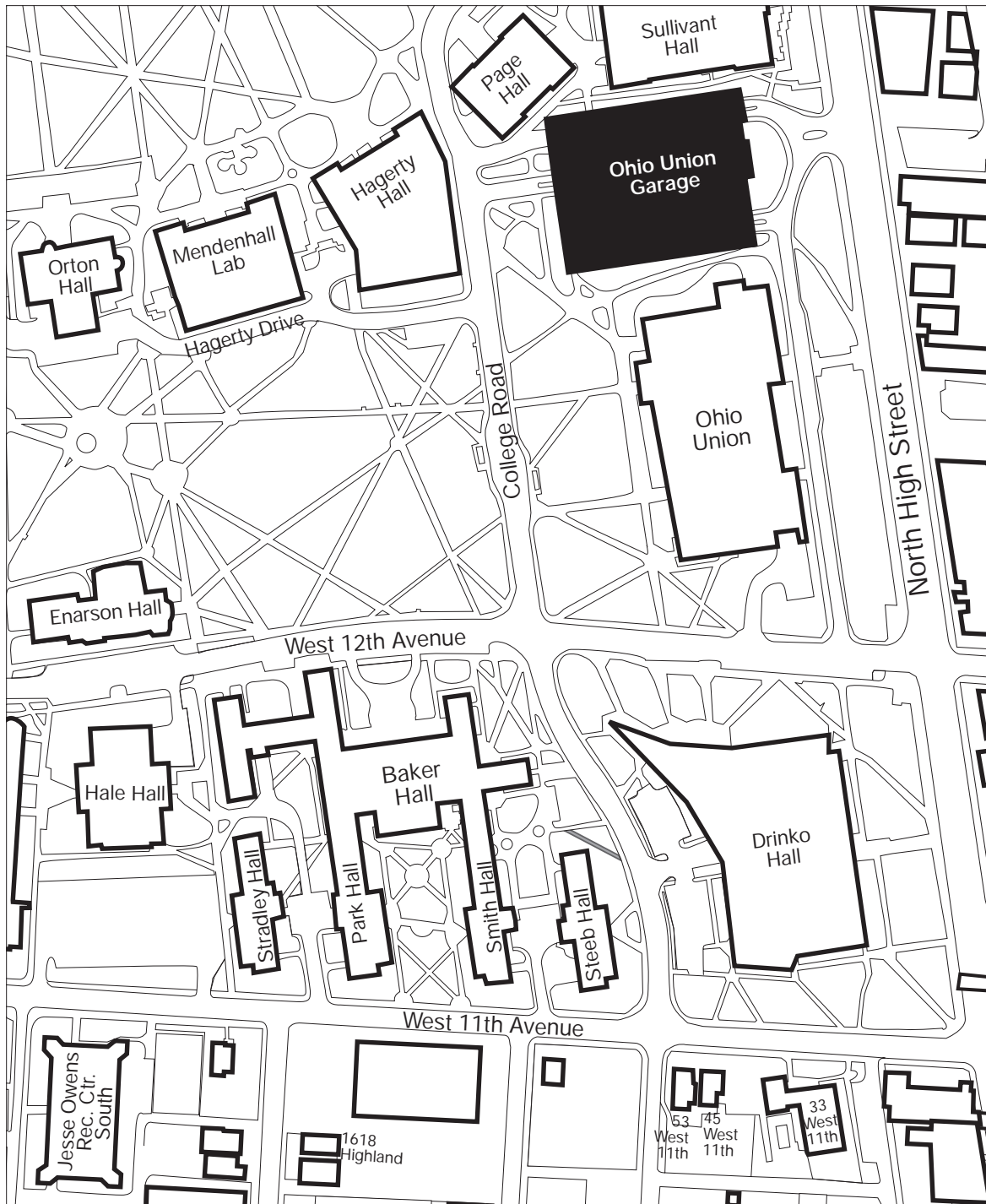
OARDC - Poultry Research Facility - Columbus



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November 10, 2000

Ohio Union Parking Garage - Elevator Renovation



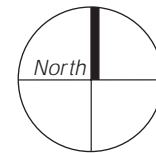
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Office of Facilities Planning and Development

November 10, 2000

Removal of Barriers for the Physically Impaired



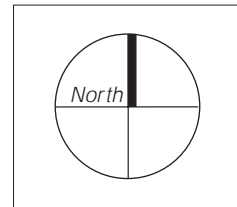
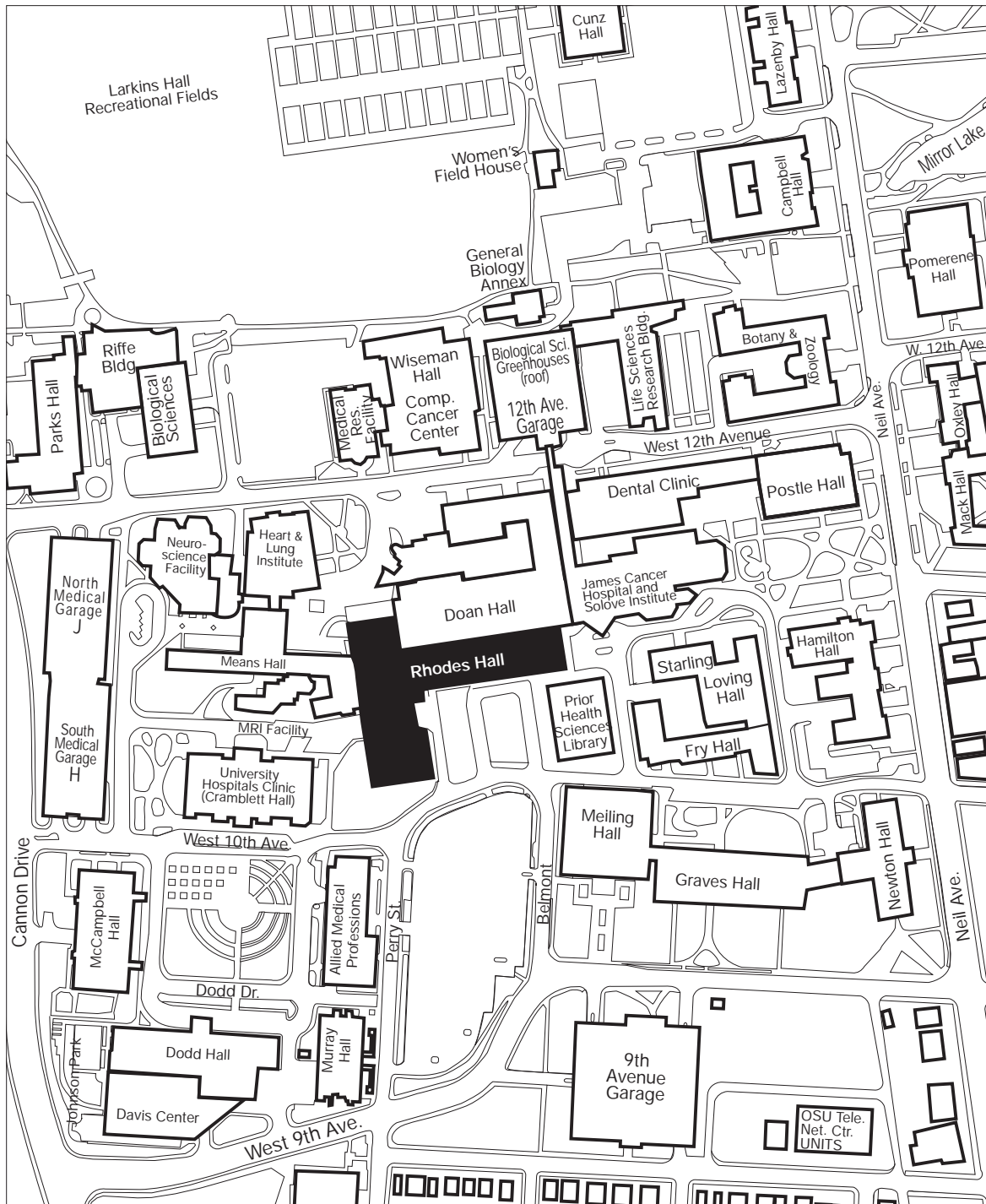
Project engaged in many sites all over the Columbus Campus



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November 16, 2000

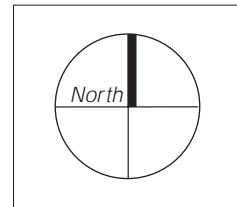
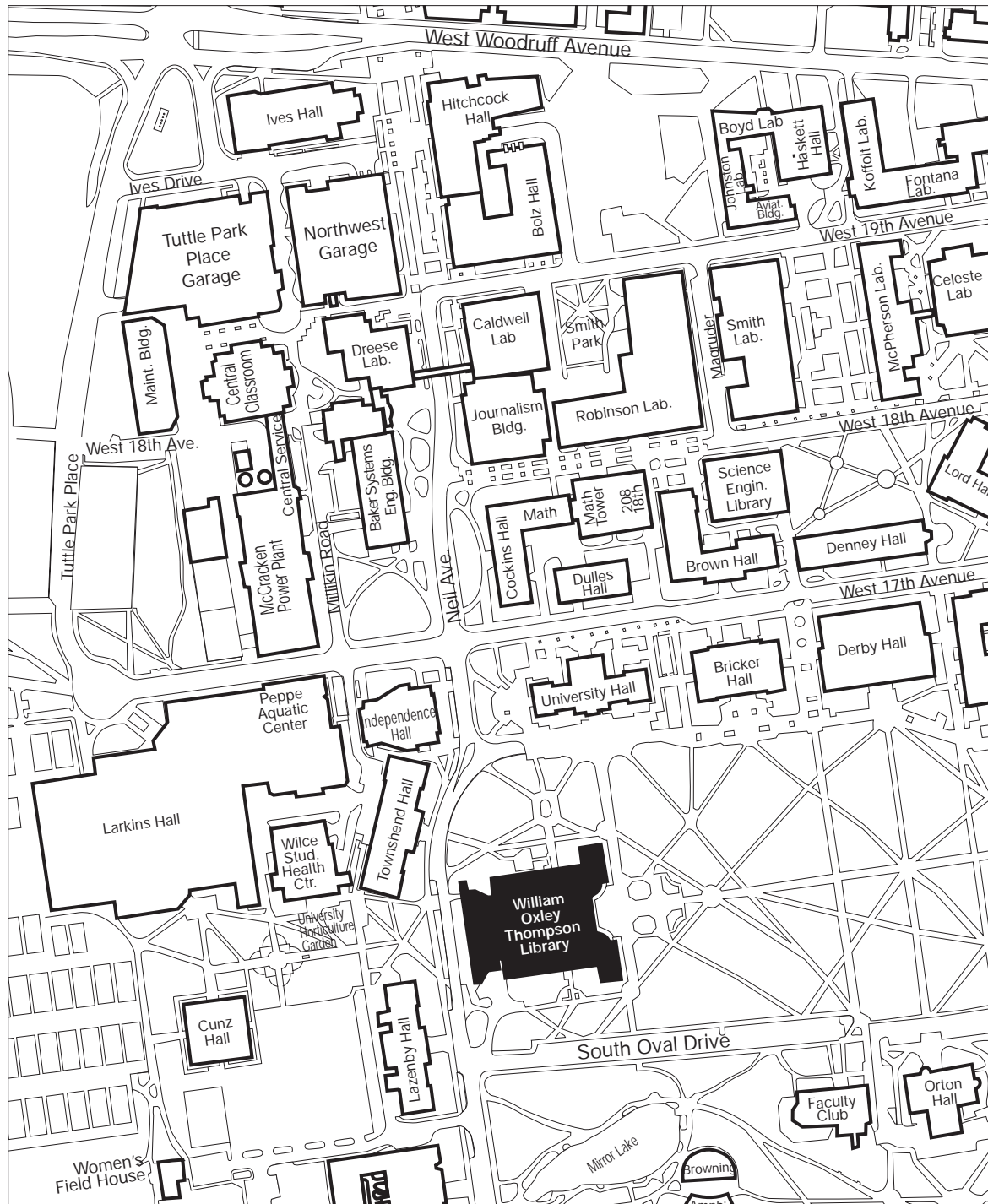
Rhodes Hall - Operating Rooms 15 and 15 Renovation



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November 11, 2000

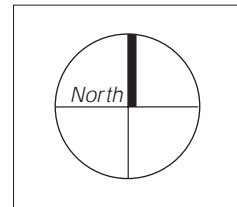
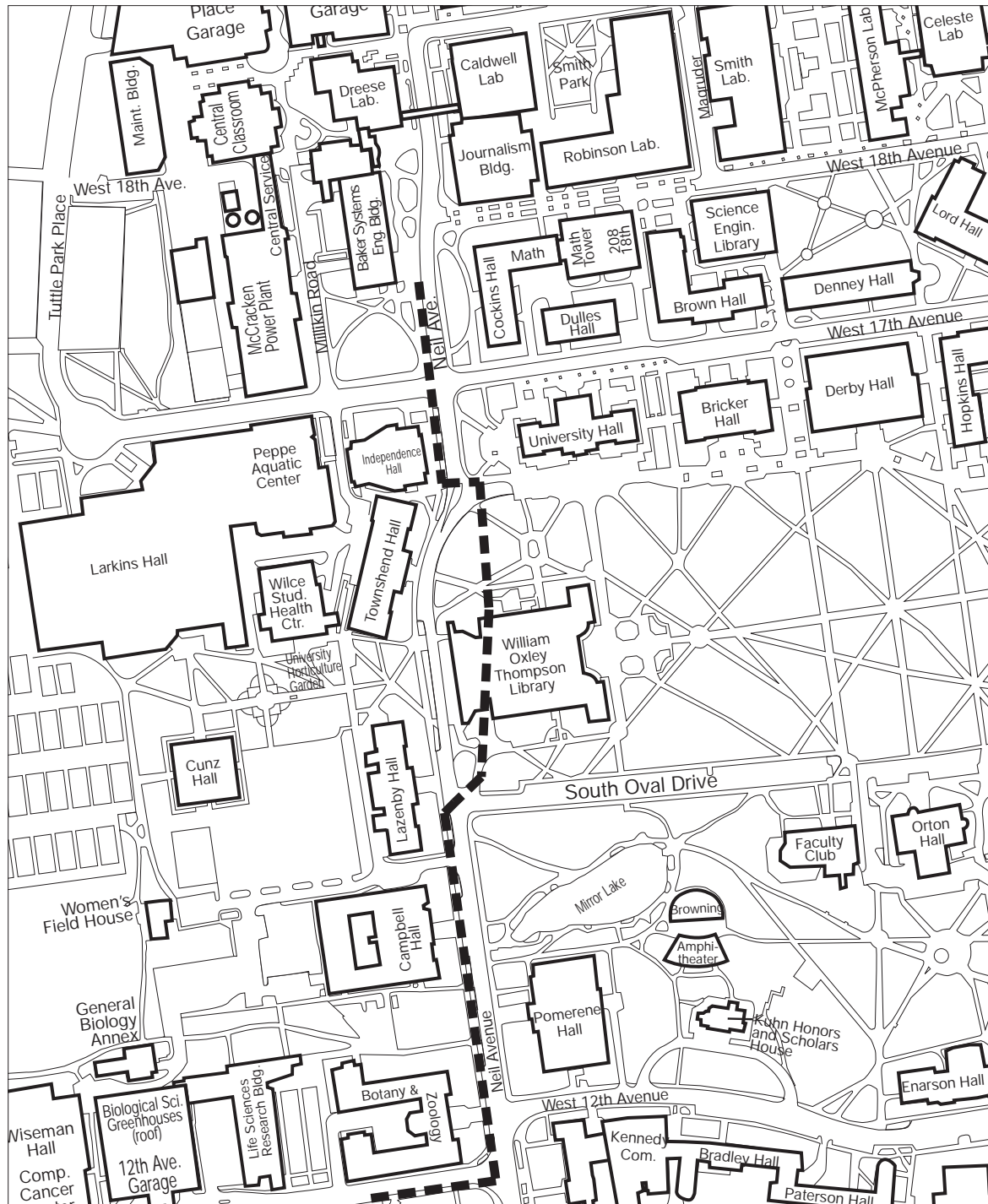
Thompson Library HVAC Upgrade



Office of Business and Finance
Office of Facilities Planning and Development

November 16, 2000

Utilities Steam Line Upgrade



Office of Business and Finance
Office of Facilities Planning and Development

November 10, 2000

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 2000

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
ENDOWMENT FUNDS			
Endowment Clearing Account	070002	032120	(250,000.00)
Endowment Clearing Account	070002	010889	10,000.00
Endowment Clearing Account	070002	031600	964,586.38
Endowment Clearing Account	070002	031678	20,100.10
Endowment Clearing Account	070002	031969	29,924.94
Endowment Clearing Account	070002	032636	1,000.00
Endowment Clearing Account	070002	033752	25.00
Endowment Clearing Account	070002	035260	2,300.00
Endowment Clearing Account	070002	036095	22,432.80
Endowment Clearing Account	070002	037035	4,455.00
Endowment Clearing Account	070002	039298	4,587.33
Endowment Clearing Account	070002	039529	3,225.90
Endowment Clearing Account	070002	050635	2,996.00
Agriculture Discretionary	070035	033008	10,000.00
Andersons Chair/Agriculture	070168	010099	(323,286.00)
Campbell Hall Building Fund	071060	032910	30,000.00
Davis, Jacob E., Professorship	071582	035399	83,811.72
Enarson Professorship	071968	015032	150,000.00
Hayes, Wayne Woodrow	073025	031441	25,000.00
Journalism & Communications	073501	031121	50,000.00
Medical Alumni Society	074803	032795	(25,000.00)
Meeker Scholarship	074831	038890	22,913.93
Fund-Nelson Colloquium	075130	033607	15,000.00
Ohio 4-H Foundation	075354	032887	1,572.64
Ohio 4-H Foundation	075354	033590	141,510.96
OSU Name & Seal Scholarship	075427	015102	286,000.00
Pathology Faculty Support Principal	075658	050512	500,000.00
Pierce, AK & Judith, Fund	075801	035838	12,500.00
Plough Pharmacy Scholarship	075818	010000	(25,000.00)
Political Science Development Fund	075840	032330	10,000.00
Rudolph E&A Fund	076225	011465	48,402.00
Stone Lab School F&T	077012	033701	1,923.00
Stout, W.B. and T.T. Scholarship Fund	077020	038712	710.00
Student Organization Support	077053	015102	251,000.00
WOSU Stations Fund	077719	033227	29,978.60
Undistributed Gains	077799	020000	(32,039,525.90)
Various Endowment Funds	07XXXX	02XXXX	7,055,965.23
Net Transfers from Endowment Funds			(22,870,890.37)

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 2000

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
PLANT FUNDS			
Unexpended Funds			
Land Purchase Account	082027	016014	319,370.00
Law Building Addition Plans	082073	038023	504,620.42
Alumni Addition	082097	038617	1,958,168.76
Alumni Addition	082097	039990	95,764.45
Bill Davis Baseball Stadium	082107	016019	250,000.00
College of Business	082110	039305	60,000.00
VA Clinic Purchase	082122	010000	148,374.48
APO Local Administration	082125	010000	45,066.23
Wetland Research Building	082126	039710	440.60
Park / Harding Line of Credit	082129	019300	2,395,896.00
Don Scott	082133	010000	108,306.00

Net Transfers to Unexpended Plant Funds **5,886,006.94**

Renewal and Replacement Funds

Denny Hall Renovation	084013	010000	29,300.00
Asbestos Removal Fry Hall	084020	010000	6,278.66
Campbell Hall Building Fund	084034	021060	(4,954.45)
Wexner Center Renovation	084043	010000	11,461.01
Wexner Center Renovation	084043	010999	(2,031.00)
1315 Kinnear Road Renovation	084044	010000	34,000.00
Apple Creek Farm - ATI	084048	010000	4,568.28
Main Library Renovation	084049	011085	33,500.00
Dental Faculty Practice	084050	050249	25,000.00
Converse Hall Rooms 139 and 141	084052	010000	53,400.00
Food Science and Technology Renovation	084054	010000	34,500.00
UNITS Sidewalk	084056	014007	41,600.00
Ag Administration Remodeling	084057	057801	45,500.00
Page Hall - Center for Cognitive Science	084066	010000	66,700.92
1315 Kinnear Road Roof	084067	010000	108,902.00
Main Library Renovation	084068	010000	18,324.25
Postle Hall Renovation	084069	050249	58,400.00
Lord Hall Renovation	084070	010000	25,000.00
Postle Hall Renovation	084071	050249	46,800.00
Postle Hall Renovation	084075	050249	62,000.00
Goss Lab Renovation	084077	010000	28,000.00
930 Kinnear Road Renovation	084078	012126	46,000.00
930 Kinnear Road Renovation	084078	012952	12,120.00
930 Kinnear Road Renovation	084078	027323	165,000.00
930 Kinnear Road Renovation	084078	032380	10,000.00

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 2000

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
Derby Hall Plaza	084079	010000	15,407.76
Starling Loving Renovation	084081	010000	10,850.00
Starling Loving Renovation	084081	011035	26,225.00
Goss Lab Renovation	084082	010000	72,800.00
Research Center Renovation	084083	050050	1,920.00
Research Center Renovation	084083	050442	37,040.00
Campus Grounds Exterior Lighting	084085	010000	110,000.00
Hitchcock Renovation	084086	010000	25,000.00
Utility Connection - Oval	084087	017200	63,000.00
ATI Improvements	084090	010000	7,406.00
Marion campus - Abler Student Center	084093	010956	50,000.00
Tennis Center Feasibility	084094	010000	12,000.00
Drinko Classroom Renovation	084095	010000	135,000.00
Page Hall Renovation	084098	010000	29,800.00
TNC Restroom Renovation	084099	014007	26,500.00
Fry Hall Restroom Renovation	084101	010000	21,406.32
Larkins Renovation	084103	010000	49,319.41
Faculty Club Renovation	084105	015037	200,000.00
Enarson Hall Renovation	084106	010000	25,000.00
Clark Grave Vault	084107	010000	4,448.89
Postle Hall Renovation	084108	050249	85,000.00
Postle Hall Renovation	084109	050249	34,500.00
Ag Administration Remodeling	084111	010000	26,000.00
ADA Elevator Upgrade	084112	010000	17,078.97
Biocontainment Account	084113	057189	47,800.00
Baker Systems - Networking	084114	010000	35,550.00
Newton Hall Renovation	084118	010000	72,500.00
Ag Administration Remodeling	084120	010000	95,100.00
Doan Hall Renovation	084121	050442	25,900.00
Meiling Hall Renovation	084122	010000	51,960.00
Arps Garage	085042	010000	(190.10)
Dormitory Contingency Fund	085049	017200	670,258.50
Professional Student Housing	085057	057801	29,143.92
Stillman Hall Addition	085060	010000	40,520.65
Stillman Hall Addition	085060	035916	70,000.00
Stillman Hall Addition	085060	035918	100,000.00
Carmack Overlay	085076	010000	34,688.45
Sisson Replacement	085089	011046	(16,508.14)
Sisson Replacement	085089	015108	150,000.00
Sisson Replacement	085089	021729	5,000.00
Sisson Replacement	085089	025066	35,000.00
Sisson Replacement	085089	027601	15,000.00
Sisson Replacement	085089	032404	100,000.00
Sisson Replacement	085089	035812	85,000.00
Sisson Replacement	085089	038617	50,000.00
Sisson Replacement	085089	039766	265,000.00
Postle Hall Renovation	085096	050249	104,150.90

THE OHIO STATE UNIVERSITY
REPORT TO THE BOARD OF TRUSTEES
NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
FISCAL YEAR 2000

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
Dreese Lab Upgrade	085106	057374	157,341.00
Kottman Hall Renovation	085113	010000	(8,868.07)
Campbell Hall Renovation	085115	011024	4,977.14
Education Administration	085116	031705	12,000.00
ATI Farm Management	085121	010889	115,000.00
Center for Education and Economics - ATI	085122	010889	90,000.00
Center for Education and Economics - ATI	085122	021493	73,540.00
Center for Education and Economics - ATI	085122	039049	34,698.25
UNITS	085126	010000	68,322.01
Sisson Replacement	085127	010000	150,000.00
Sisson Replacement	085127	035812	135,000.00
Caldwell Renovation	085131	010000	17,000.00
Caldwell Renovation - 4th floor	085135	036284	30,650.15
Smith Lab Renovation	085136	010000	(986.14)
Postle Hall Renovation	085137	050249	(8,738.31)
ADA Elevator Upgrade	085139	010000	7,294.24
Food Science and Technology Renovation	085154	010000	927,037.00
Food Science and Technology Renovation	085154	010000	(75,000.00)
Food Science and Technology Renovation	085154	022254	30,000.00
Ramseyer Renovation	085155	010000	6,014.00
Postle Hall Renovation	085156	050249	(1,775.75)
Goss Lab Renovation	085168	010000	(8,656.79)
Bevis Renovation	085169	010000	9,191.22
Derby Renovation	085171	010000	(1,649.20)
Postle Hall Renovation	085248	050249	(4,251.50)
Cockins Hall Renovation	085262	010000	6,086.21
Postle Hall Renovation	085270	050249	2,937.67
Postle Hall Renovation	085275	050249	(6,418.20)
Watts Remodeling	085302	010000	10,000.00
Watts Remodeling	085302	032693	5,000.00
Watts Remodeling	085302	035659	5,000.00
Fawcett Center Capital Improvements	085327	016004	260,000.00
Fawcett Center Capital Improvements	085327	017200	(110,000.00)
Pomerene Improvements	085329	010000	31,210.63
Cockins Hall Renovation	085338	010000	(704.85)
Vet Hospital Improvements	085390	010000	(9,257.11)
Jesse Owens Rec Center	085660	010000	7,961.74
Larkins/Cunz Landscaping	085736	010002	26,631.65
Child Care Center Addition	085869	011086	49,905.80
Schottenstein Center	085884	037676	(1,436,636.00)
Ohio Stadium Renovation	085933	010000	(1,000,000.00)
Recreation / Intramural Fields	085935	010000	312,161.70
Grave Hall Projects	085946	024409	45,858.63
Grave Hall Projects	085946	024592	9,501.61
Grave Hall Projects	085946	025726	32,000.00
Grave Hall Projects	085946	027034	25,760.32
Grave Hall Projects	085946	035144	24,463.17

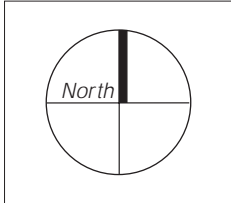
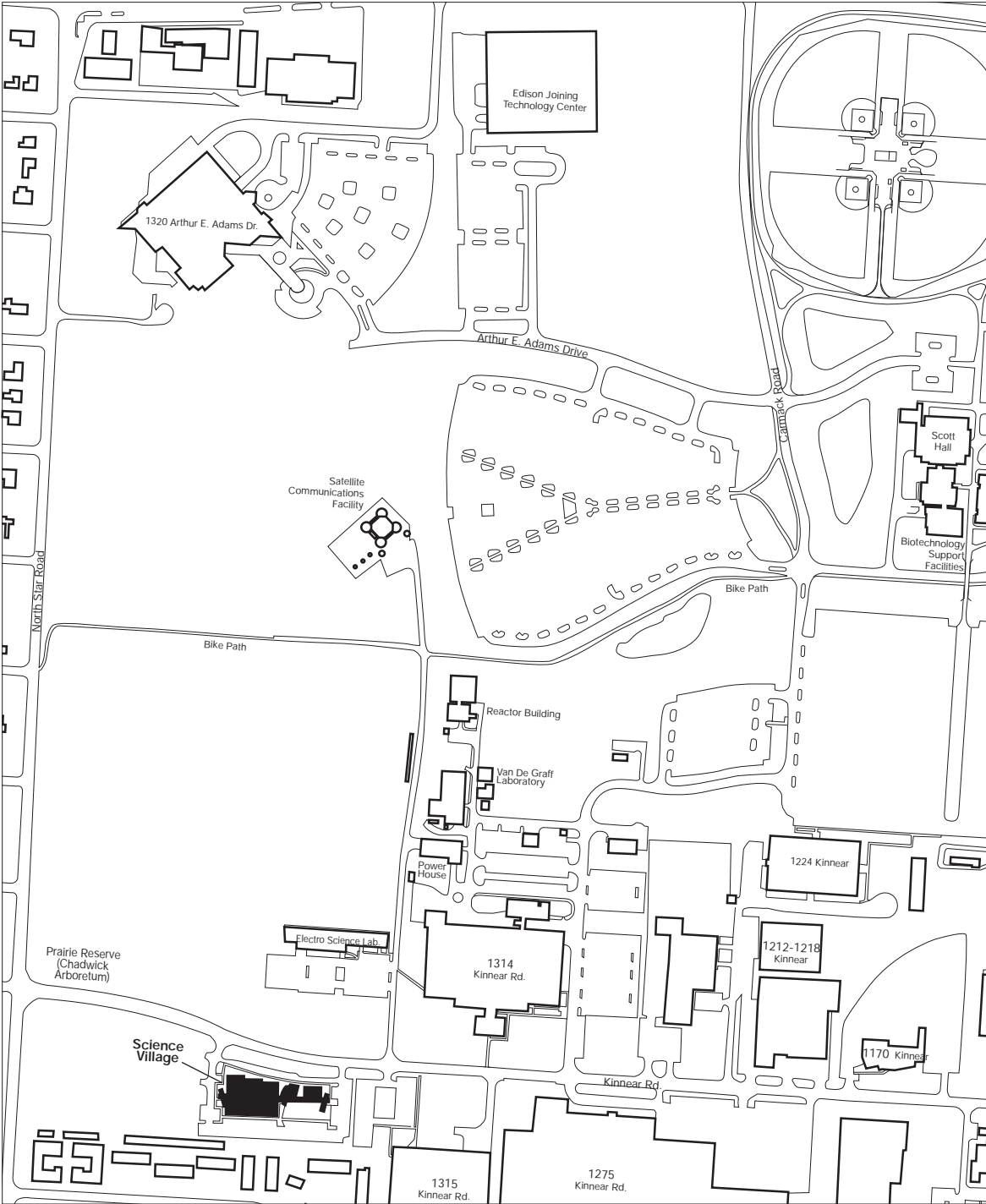
THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 2000

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
Neil Replacement	085953	014007	1,047.36
Lima Agriculture Building	085999	010928	387,917.00
ATI Village Apartments	086030	016030	995,026.12
Nursing Reserve	086817	010000	48,855.59
OHR Equipment Reserve	086826	010000	122,698.00
OHR Equipment Reserve	086826	015065	2,000.00
OHR Equipment Reserve	086826	015202	1,000.00
OHR Equipment Reserve	086826	015203	500.00
OHR Equipment Reserve	086826	015204	500.00
OHR Equipment Reserve	086826	015213	1,120.00
OHR Equipment Reserve	086826	015214	420.00
OHR Equipment Reserve	086826	015215	9,940.00
OHR Equipment Reserve	086826	015216	2,520.00
ULR Cage Replacement Reserve	086827	010000	3,994.35
Parking Facility Reserve	086828	010000	(17,865.00)
Parking Facility Reserve	086828	014005	(320,000.00)
Parking Facility Reserve	086828	016035	(1,300,000.00)
Dental Practice Plan Reserve	086832	010000	317,221.02
Dental Practice Plan Reserve	086832	050249	(552,380.41)
Schottenstein Construction	086843	021322	(105,000.00)
Schottenstein Construction	086843	039705	400,000.00
Photocopy Reserve	086848	010000	2,910.00
O/P Fixed Asset Reserve	086852	011045	(18,769.55)
Golf Course Improvements	086853	010000	(200,000.00)
Golf Course Improvements	086853	016019	300,000.00
GTRI Indirect Cost Recovery	086855	010000	372,776.00
President's Office Equipment Reserve	086857	010000	20,000.00
Board of Trustee's Office Equipment Reserve	086865	010000	75,000.00
Capital Improvements Reserve	086899	010000	1,266,621.50
Capital Improvements Reserve	086899	014010	(187,500.00)
UTS Equipment Reserve	086906	010000	(251,647.87)
Police Equipment Reserve	086910	010000	(36,238.00)
Traffic and Parking Equipment Reserve	086911	010000	(32,000.00)
Stores Equipment Reserve	086913	010000	(50,000.00)
Print Services Equipment Reserve	086916	014002	10,276.23
Transportation Equipment Reserve	086917	010000	1,000,000.00
Bookstore Equipment Reserve	086918	016201	(47,546.04)
Bookstore Equipment Reserve	086918	016203	(16,477.90)
Bookstore Equipment Reserve	086918	016205	(14,610.46)
Bookstore Equipment Reserve	086918	016207	(8,862.36)
Bookstore Equipment Reserve	086918	016208	(11,171.76)
Bookstore Equipment Reserve	086918	016209	(4,098.12)
Bookstore Equipment Reserve	086918	016213	(51,369.20)
Telecommunications Equipment Reserve	086919	014007	300,000.00
Airport Equipment Reserve	086921	016014	232,579.32
Bookstore Improvement Reserve	086937	016201	(235,009.07)
Bookstore Improvement Reserve	086937	016203	(81,446.43)

THE OHIO STATE UNIVERSITY
 REPORT TO THE BOARD OF TRUSTEES
 NON-MANDATORY TRANSFERS BETWEEN CURRENT FUNDS AND NON-CURRENT FUNDS
 FISCAL YEAR 2000

Non-Current Account Description	Account Number	Transfer From Account Number	Amount
Bookstore Improvement Reserve	086937	016205	(72,216.14)
Bookstore Improvement Reserve	086937	016207	(43,804.59)
Bookstore Improvement Reserve	086937	016208	(55,219.44)
Bookstore Improvement Reserve	086937	016209	(20,256.01)
Bookstore Improvement Reserve	086937	016213	(253,906.08)
Telecommunications Equipment Reserve	086938	011064	425,000.00
Print Services Improvement Reserve	086957	014002	100,000.00
Inst Management Equipment Reserve	086961	015115	39,118.00
Capital Improvements - Turf	086962	010000	(160,000.00)
Ohio Union Improvements Reserve	086963	010000	50,000.00
Ohio Union Improvements Reserve	086963	016020	168,975.96
VA Clinic Improvements Reserve	086966	016103	(18,678.00)
Reprographics Equipment Reserve	086969	014002	(27,388.59)
University Health Services Equipment Reserve	086973	011473	200,000.00
Athletic Contingency Fund	086988	016019	140,000.00
University Hospitals - Various Projects	08XXXX	019300	<u>8,436,406.00</u>
Net Transfers to Renewal and Replacement Funds			<u>15,401,509.85</u>
Retirement of Indebtedness Funds			
Internal Bond Reserve	087006	016914	206,582.04
Internal Bond Reserve	087006	037676	117,126.72
1985 B Debt Service Pool	087060	010000	6,791.02
1986 Debt Service Pool	087063	010000	66,694.60
1997 Bonds Debt Service	087083	010000	241,765.43
1997 Debt Service Pool	087079	010000	<u>114,953.01</u>
Net Transfers to Retirement of Indebtedness Funds			<u>753,912.82</u>
Net Transfers to Plant Funds			<u>22,041,429.61</u>
NET NON-MANDATORY TRANSFERS FROM NON-CURRENT FUNDS TO CURRENT FUNDS			<u><u>(829,460.76)</u></u>

1381 Kinnear Road - Tenant Improvements



Office of Business and Finance
Office of Facilities Planning and Development

November 20, 2000

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SIXTY-NINTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, February 2, 2001

The Board of Trustees met at its regular monthly meeting on Friday, February 2, 2001, at The Ohio State University Younkin Success Center, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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February 2, 2001 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on February 2, 2001, at 11:05 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

I am very pleased to welcome the Trustees and all of you to the Younkin Student Success Center. It's one of Ohio State's newest facilities aimed at helping our students achieve academic success. In just a few short months, the Center is already making a real difference in the lives of many students. The tutoring, counseling, and career planning services that students receive here will continue to expand as we move forward with our Academic Plan.

Before I get into my report today, I'd like to take just a few moments to make an important and very special presentation. Each year, the National Association of State Universities and Land Grant Colleges – NASULGC – presents an award called the Commission on Human Rights and Social Change Distinguished Service Award. It honors – and I want everybody to understand this – one individual in the entire nation, a leader in higher education who has made a major contribution to improving access, increasing diversity, and enhancing the undergraduate experience for all students.

I am very pleased and proud to say that NASULGC's special one-person-in-the-entire-United States award this year goes to our very own Vice Provost and Professor Emeritus Frank W. Hale, Jr.

Mr. Chairman, I'm going to invite Frank to come up in just a moment and receive this plaque, but I want to take just a second to mention that I've known about Frank for many, many years. When I became the provost at the University of Maryland in 1981, I asked, "Where in the country is the best program for recruiting minority graduate students?" I asked all over the country and there was one response -- it was The Ohio State University, under the leadership of Dr. Hale. So I got on an airplane in 1983, came out here, spent two days with Frank learning what he was doing and how it was that he was so successful. So without any shame, I went back to the University of Maryland and copied it, and we, too, enjoyed success.

To this day, because of Frank's work, we still rank as one of the top two or three universities in the world producing African American Ph.D.s. The point I want to make is that what he has done at Ohio State has been emulated by universities around the country, and it has led to a dramatic increase in the participation of African Americans in graduate education. We appreciate what he's done here at Ohio State.

Frank, would you please come forward so I can present this plaque to you and let you know how enormously proud we are of you. It's such an honor to be working with you.

Dr. Frank W. Hale, Jr.:

Thank you.

PRESIDENT'S REPORT (contd)

President Kirwan:

Frank is supposed to be retired, but we won't let him go. He's working as a special counselor to me and Ed Ray and he's done another wonderful thing for our University. He has created the President and Provost's Diversity Lecture Series. We have several of these each month, which are held at the Fawcett Center, where we invite in nationally recognized figures. These lectures have been so exciting and have brought together a cross-section of the campus through discussion and dialogue from these lectures. Dr. Hale has some of the lecture series announcements with him, so I'm sure he will give you all a copy at the end of the meeting.

I'd like to focus the lion's share of my remarks this morning on Governor Taft's recent state biennial budget proposal and on the state budget process from this point, which will play such a large role in our ability to implement many of the actions in our Academic Plan. Like most state budget proposals, this year's is a mixture of good news and bad news.

First, I want to applaud Governor Taft for recognizing the need to build Ohio's high technology economy. He has, in a very tight budget year, requested \$40 million in this biennium to begin funding The Ohio Plan, which supports higher education's efforts to build excellence in three areas: biotechnology, nanotechnology, and information technology – all areas where this University excels.

Governor Taft's plan also includes \$12 million to fund a program called "Project One," which will support the construction of biomedical research facilities at Ohio's three major research universities: Case Western Reserve, the University of Cincinnati and, of course, The Ohio State University.

Both of these initiatives will provide the basic knowledge, infrastructure, and workforce that help make Ohio a leader in these emerging fields. The Governor has also proposed significant increases in the performance challenges such as the Research Challenge, which we strongly support.

I also want to wholeheartedly commend the Governor for supporting our request, and that of the Ohio Board of Regents, to provide Ohio State with temporary relief from the state's tuition cap. If it is approved by the General Assembly, this measure will enable us to significantly enhance the quality of our undergraduate program.

Our proposal is based on two principals: 1) we will adjust our financial aid budget, so that no student will be denied the opportunity to attend Ohio State for financial reasons; and 2) all funds raised through the tuition cap exemption will be invested in initiatives to improve the quality of undergraduate education. Initiatives like reducing class size, increasing diversity, increasing access to oversubscribed courses, improving academic advising, and increasing educational technology in the classroom.

Again, I applaud these efforts by Governor Taft in support of higher education in the midst of a tight budget environment, where many other state agencies will actually receive less money next year than they had this year.

At the same time, we are disappointed that there were not enough funds to support other important aspects of our budget. Our chief area of concern is in the state's share of instructional support, which comprises 75-80 percent of all state appropriation to the University.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

The Board of Regents had recommended 5 percent annual growth in this item to cover increased enrollments system-wide and to help every institution defray rising costs of utilities and computers, to maintain competitive salaries, and to meet other resource needs necessary to operate a modern university. However, the state budget proposal recommends an increase of only 2 percent in this critical item each year.

This is a severe setback to our plans for next year. We will be working with the General Assembly to achieve a better outcome for our instructional support, but we certainly recognize that this is a difficult time for state legislators dealing with a declining economy, K-12 mandated funding, Medicaid, and other challenges.

The bottom line is that this is likely to be our most difficult budget since the early 1990s. The fiscal stress we will face is real. Nevertheless, I want to assure the Board of Trustees, and the entire University community, that no matter how the budget process is resolved, we are committed to moving forward with the Academic Plan.

To do so, we will need everyone on campus to think outside the box in terms of meeting our financial needs and finding creative ways to move forward in a time of fiscal difficulty. Indeed, I would say it's even more important to have an Academic Plan to guide our actions in times of fiscal stress, than it is in times of fiscal prosperity. In the coming weeks and months, we will be working across the campus to develop a variety of options and scenarios to address these funding issues.

Though the state budget is currently at the center of our attention, there have been many other important developments on campus over the past months, and I'd like to mention a few of them. One event was especially historic. We welcomed Ohio State's first virtual degree program on campus. We initiated a new, non-traditional Doctor of Pharmacy program this quarter, and it's the first totally on-line, degree-granting program in Ohio State history and a harbinger of things to come.

This program enables working pharmacists from across the state to improve their professional expertise and better serve the population of the state. Ed Ray and I welcomed the first class to campus last month, and it was the first and last time the group will be gathered together -- except virtually, where they will be working exclusively on-line. That class is a very talented and very diverse group from all parts of Ohio, and I'm certain this program will be a model for a new way of learning and better serving the people of Ohio.

I also want to mention just a few other achievements. The *Academy of Management Journal* has ranked the Fisher College of Business 18th in the nation among public and private universities in terms of faculty research productivity. That's a testament to our outstanding faculty.

And a health care research firm -- the HCI-Sachs Institute -- has included The Ohio State University Hospitals in its list of the nation's top 100 hospitals. It's certainly a high accomplishment and Ohio State was the only Columbus hospital included in this list of top 100.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Also, *Discover Magazine's* list of the 50 most important scientific discoveries of the year 2000 included the work of an Ohio State faculty member. Lonnie Thompson, a professor of Geological Sciences and a member of the Byrd Polar Research Center, along with his colleagues, were recognized for their work drilling into glaciers to track climate records that stretch back more than 100,000 years and help us understand the effects of global warming.

Another national award went to associate professor of Psychology Brad Schmidt. The American Psychological Association presented him with the Distinguished Scientific Award for an Early Career Contribution to Psychology. This is the highest award the APA gives to junior faculty and Brad is the 5th Ohio State faculty member to win this award. This indicates that we have one of the best departments of psychology in the country.

I'm very happy to announce that Carole Anderson, dean of the College of Nursing, is one of eight women selected as a recipient of the 2001 Women of Achievement Award sponsored by the YWCA. It's a great honor for someone who has positively influenced so many people in our community.

For those of you who read *Sports Illustrated*, the current issue features our very own Ken Johnson. The article talks about what a wonderfully talented basketball player he is, but, more importantly, what a wonderfully talented artist he is. He is both a painter and a musician. He has never had a music lesson in his life, but you ought to hear this man play the piano. More importantly, he has written over 100 songs, so he's a composer as well. In any case, this is a very positive story about a wonderful young man, a member of our community, that all of us admire so much.

Finally, Mr. Chairman and members of the Board, we had hoped to have today our new football coach, Jim Tressel, here to introduce to you, but this is the last Friday of recruiting. He asks for your forgiveness, but he thought you might understand if he was off campus today trying to wrap up a stellar recruiting class for this year. He promises that at the next possible opportunity, he would like to come together and have a chance to meet the Board.

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YOUNKIN SUCCESS CENTER PRESENTATION

President William E. Kirwan:

Mr. Chairman, now let me turn to a presentation we're going to have today about the Younkin Success Center. I would like to introduce Dr. Louise Douce, who is going to lead us from this point on in talking about the wonderful resources here at the Younkin Success Center.

Dr. Louise A. Douce: [PowerPoint Presentation]

Let me join the throng in welcoming you to this dream that's come to fruition -- the Younkin Success Center, which is partnering in student and faculty success. This dream started about five or six years ago with discussions between Andy Geiger and Nancy Zimpher, who was then the dean of the College of Education, about the need for a facility to enhance the student support services for athletes. And quickly in their discussions, they decided they wanted to build a facility for all students at OSU that would also enhance the services for student athletes.

YOUNKIN SUCCESS CENTER PRESENTATION (contd)

Dr. Douce: (contd)

They then joined conversations with David Williams, who was then vice president for Student Affairs, and we started our council meetings in about 1995. The goals we had -- I'm just going to highlight some of those -- were clearly to improve students' success, and attract and retain high quality students. We view the Younkin Success Center at the intersection of the Diversity Plan and the Academic Plan, that we're really fulfilling both of those plans in the facility we have here. We wanted to talk about and promote learning and teaching, as seen as part of the same continuum. We wanted to be a model of integrated connective services, and contribute to the culture of excellence.

We also started with some principles -- the second one, Just-In-Time services. We're in a culture right now that is bombarded with information. We get information that comes at us all the time, all day long. When students are being oriented to this University, either as new freshman or as transfer students or new grad or professional students, they get a lot of information that's presented to them and they take in what's relevant at the time. We need to have a facility that provides this " Just-In-Time" service -- relevant to when a student realizes they need a service.

We're focusing on acceleration, not remediation. We're really contributing to the success of all students and faculty at The Ohio State University. We wanted it to be a personalized approach with seamless delivery of service and we wanted to use leading-edge technology.

The goal of this building is to eventually be open 24-hours a day. Right now, we're open from 7:00 a.m. to 9:30 p.m., Monday through Thursday, and some weekend hours. During finals week we'll be open 24-hours from the middle of the last week of the quarter until the middle of finals week. We hope this is a place the students come to study, as well as to get tutoring and other services.

The importance of integration, connectivity, and collaboration -- those are the three words that we really value in our principles. We try to do it through architecture, and I hope that you take the time today to really visit the whole building. We wanted a building that was exciting. We have a lot of glass and light -- we wanted people to be drawn in here, we wanted to have a sense that something was happening here, and we wanted open space. We did that with glass; we did that with a lot of different things.

We're doing a lot of collaborative programming. In your packet you have not only our brochure, but a list of new collaborative relationships that we've established just in the last six months. We wanted to be a place that felt as a home place for all students. We also wanted to be a high-tech building; to be at the cutting edge of technology, of excitement, of success; and to be a warm, inviting, and comfortable safe place to be -- those are the concepts of the Younkin Success Center.

We have five offices here built on the success themes: Success.Career Clarity, served by Career Connection, located on the second floor, and Christine Rideout is the director; Success.Wellness, a counseling/consultation service located on the fourth floor, is my office; Success.Balance, the Student Athlete Support Service, directed by Kate Riffée, located on the third floor; Success.Effective Teaching, served by Faculty TA Development, is represented by Li Tang and Stephanie Rohdieck; and Success.Better Grades, the Academic Learning Lab, is directed by Bruce Tuckman.

YOUNKIN SUCCESS CENTER PRESENTATION (contd)

Dr. Douce: (contd)

I'm briefly going to summarize what each office does, because we have three students who want to talk to you about the service that they've gotten here.

Career Connection is an office that's designed to fill in the gaps of the decentralized career services that we have here at OSU. Right now, they're offering specific attention to graduate students, especially grad students who don't want to go into academic careers. They emphasize the career planning elements, integrating technology into how we do career services at OSU, and keeping current with that and helping other career service units to stay up with technology. The Outcomes Project – Career Connection surveys all OSU grads six months after graduation to determine their employment.

Counseling/Consultation Service provides the full range of mental health services to students at OSU. We deal with relationship issues, depression, anxiety, other illnesses; addressing problems with food, alcohol, other substances – all things that can get in a student's way of being able to focus on school. We also manage crisis situations for the University, and consult with faculty and staff.

Student Athlete Support Services has had some tremendous successes in their move to this new facility. Two of the things they wanted to emphasize were their CHAMPS program, which has a life skills approach emphasizing individual responsibility for successful productive lives for athletes. They offer assistance in academic, athletic, and personal development, and they have counseling, tutoring, cooperative learning groups, and study tables. Again, go to the third floor, look at that facility – it's a wonderful place that athletes are coming to study even when they don't have to be there. That's the kind of facility we want to have.

Faculty and TA Development is really focusing on fostering learning and improving teaching. I think that learning and teaching are part of the same continuum and that is one of the concepts that really guides the building.

I want to highlight the Commit to Success Program. We've just hired someone for this program that focuses on improving the multicultural sensitivity in a classroom and looking at the total classroom experience of students.

Finally, the Academic Learning Lab provides learning assistance. It's a brand new facility, brand new lab. A lot of their work is done through web-based courses and walk-in services. It helps students to connect their learning to other services and apply instructional technology.

One of the major ways they operate is through a course, "Education, Policy, and Leadership 259," which is a 5-credit course. We have three students who have taken advantage of that course and who would like to spend a few minutes talking to you. Thank you.

Ms. Cynthia Strelec:

Hello, my name is Cindy Strelec and I'm originally from Cleveland, Ohio. I'm a 1995 graduate from The Ohio State University with a bachelor's degree in agriculture. Currently, I'm working towards my goal of becoming a veterinarian. I was accepted into the College of Veterinary Medicine here at OSU, but I experienced academic difficulties. It was not until the last six months that I learned that I was up against a learning disability and a visual impairment that was contributing to my academic difficulties.

YOUNKIN SUCCESS CENTER PRESENTATION (contd)

Ms. Strelec: (contd)

Last quarter I took the Education 259 course to develop and strengthen my own unique learning abilities in order to fully prepare me to return to veterinary school and complete my goal of being a veterinarian. By taking this course, not only does it provide a foundation for the student, but it also enables the student to improve themselves academically. It helps them improve their academic learning and assists them as an individual striving to achieve their goals.

From my own experience in this course, I believe it provides the student with the necessary tools and resources to achieve academic success at The Ohio State University, and to achieve their career goals.

Mr. Ronald Calloway:

Hello, my name is Ronnie Calloway. I'm a senior majoring in sociology and hope to get my degree in guidance and counseling. I've been attending this school since 1997. I'm not a traditional student; I'm a little older than most. I started college when I was 32, when I already had a family – a wife and kids.

I'd been out of school for 15 years, so my advisor advised me to take this class. So when I took it, I figured it couldn't do anything but help me. To me it was a real eye opener. Being out of school for 15 years and then going back to school, there were a lot of things that I didn't know, and a lot of things I didn't know when I was in school. This course helped me to study and prepare myself better for the classes ahead.

Ms. Jasmine Evans:

My name is Jasmine Evans. I'm an undecided sophomore from Canton, Ohio. I ended up in EDUPL 259 because throughout my high school years I was consistently an "A" student and I thought I was very well prepared to achieve academically here at OSU. But once I arrived, it was a totally different situation. I was involved in the Young Scholars Program and I just really felt ready.

Upon my arrival, I learned that so many things were different in college from high school – the professors are different, the learning and studying are different, everything is just so different. I suffered tremendously -- it was so hard for me. I didn't understand how to take notes right and I didn't know how to organize my time. It just became very discouraging.

So I talked to a friend of mine who had taken the class over the summer and he actually advised me to enroll in this class because it would be a great help. Once I got in, I learned a lot of things. I learned a lot of things about myself – I learned how to identify my weaknesses and turn them into strengths. It was amazing. It was just like learning from the very beginning, starting over from scratch. It was very helpful.

My grade point average improved one full point from my cumulative average. I still keep my notebook with me to reference in case I need anything. It was very helpful and I would definitely recommend this class to anybody to take.

Dr. Douce:

Thank you all very much.

YOUNKIN SUCCESS CENTER PRESENTATION (contd)

Mr. Skestos:

Thank you very much. It's our hope that your experience will serve as a guide to many others and I want to thank you very much for coming forward.

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STUDENT RECOGNITION AWARD

Mr. Filiatraut:

Ladies and gentlemen, this month's Student Recognition Award recipient is Patty Cunningham. Patty is one of the most committed people I've met since I enrolled at Ohio State. In fact, the word 'committed' not only describes the large amount of time she puts into her activities, but the way she feels about those activities as well.

It is this dual commitment of time and passion toward the goal of inspiring people to step outside themselves in their experiences that makes her a truly unique individual and one quite worthy of this distinction.

Patty has a triple major in sociology, women's studies, and geology, while minoring in black studies and Jewish studies. She plans to graduate in the spring of 2002 and, while that speaks volumes of what she does in the classroom, it hardly begins to describe all of the learning she has done while here at Ohio State.

Patty has chosen to enhance her classroom learning with involvement – here are a few examples. Patty is currently a member of: the Multicultural Planning Committee; diversity chair for Taylor Tower; Registrar's Advisory Council Board; President Kirwan's Diversity Council; the Women's Studies Undergraduate Forum; Intersarsity Christian Fellowship; the Women's Leadership Forum; the International Women's Day Committee; the Women's Month Committee; the United Black World Month Committee; the Heritage Festival Committee; GLBTA Week Committee; and works at Hillel.

But that is not all. She is in a leadership position as: co-president of Experience Diversity; co-chair for the USG Community Enhancement Initiative Fund; and co-chair of Hotep-Shalom. Currently, Patty is working on a program in connection with Student Gender Sexuality Services and REPP entitled, "Are You Being Boxed In?," which is designed to specifically help young white men critically assess issues of diversity.

Also, she is planning to lead a diversity retreat later this month in connection with the training she received from the National Coalition Building Institute. She was one of three students who received training in prejudice reduction and will be leading an overnight retreat on February 9 and 10.

Finally, Patty is a member of Peer Power. This, she told me, is her passion. A group started in connection with the Women's Studies department in which she gives presentations to high school students on the topic of learning about gender, race, and socio-economics -- from a different perspective from which they are accustomed.

On a personal note, I met Patty just as I was first applying to become a Student Trustee. To put it bluntly, she knocked my socks off and I never forgot her. When I asked her if she would accept this award, she said to me that she doesn't

February 2, 2001 meeting, Board of Trustees

STUDENT RECOGNITION AWARD (contd)

Mr. Filiatraut: (contd)

do these things to receive awards, to which I replied, "That is why we want to give it to you."

She is accompanied today by her friends Dave, Leba, Sidney, and Mike. Ladies and gentlemen of Ohio State University, on behalf of President Kirwan and the Board of Trustees, I present to you this month's Student Recognition Award recipient, Ms. Patty Cunningham.

Ms. Patricia Cunningham:

Thank you so much for this honor. My reward would be my investment in other people. I believe that if I invest in other people, they will continue to invest in other people, and that will go on further than my life. Thank you.

Mr. Skestos:

Patty, all I can say is, Wow!

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CONSENT AGENDA

President William E. Kirwan

We have 18 resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend these for the Board's approval:

ESTABLISHMENT OF THE HEART AND LUNG RESEARCH INSTITUTE

Resolution No. 2001-79

Synopsis: The establishment of a Heart and Lung Research Institute is proposed.

WHEREAS the Institute has the potential to enhance the research capabilities and reputation of the College of Medicine and Public Health through the Institute's three primary goals: promote and coordinate interdisciplinary and molecular research in the field of heart and lung disease; organize and provide training for individuals in the field of molecular research for heart and lung disease; and to catalyze discovery; and

WHEREAS resources issues for the Institute, including funding and space, as well as its organizational structure have been addressed; and

WHEREAS internal and external letters of support indicate that the establishment of an Institute is an important step in national prominence in the field; and

WHEREAS the proposal satisfies the guidelines for the establishment of academic Centers and Institutes (3335-3-36) including requirements for appropriate consultation processes, oversight and evaluation; and

WHEREAS the proposal was reviewed and approved by the Research Committee and then the Research and Graduate Council on October 10, 2000; and

February 2, 2001 meeting, Board of Trustees

ESTABLISHMENT OF THE HEART AND LUNG RESEARCH INSTITUTE (contd)

WHEREAS the proposal was discussed and approved by the reviewing subcommittee and then the full Council on Academic Affairs on December 6, 2000, and approved by the University Senate at its January 6, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish the Heart and Lung Research Institute be hereby approved, effective immediately.

**RENAMING OF THE DEPARTMENT OF AEROSPACE ENGINEERING,
APPLIED MECHANICS, AND AVIATION**

Resolution No. 2001-80

Synopsis: Renaming of the Department of Aerospace Engineering, Applied Mechanics, and Aviation to the Department of Aerospace Engineering and Aviation is proposed.

WHEREAS on October 16, 1999, the University Senate approved a proposal from the College of Engineering to move the Applied Mechanics section of the Department of Aerospace Engineering, Applied Mechanics, and Aviation to the Department of Mechanical Engineering, and that proposal was approved by the Board of Trustees on November 5, 1999; and

WHEREAS a proposal to now change, formally, the name of the Department to reflect that earlier action, was approved by the remaining faculty in the Department of Aerospace Engineering, Applied Mechanics and Aviation, by the College Committee on Academic Affairs, and by a vote of the faculty attending the College's Winter Quarter 2000 faculty meeting; and

WHEREAS the proposal satisfies the guidelines for academic unit name changes, is not related to any academic program name changes, and has the unanimous support of the Council on Academic Affairs and was approved by the University Senate at its January 6, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Department of Aerospace Engineering, Applied Mechanics, and Aviation be renamed the Department of Department of Aerospace Engineering and Aviation, effective immediately.

RENAMING OF THE DEPARTMENT OF MARKETING

Resolution No. 2001-81

Synopsis: Renaming of the Department of Marketing to the Department of Marketing and Logistics is proposed.

WHEREAS logistics is a widely recognized academic sub-discipline within business, not a sub-discipline of marketing; and

WHEREAS logistics' status as an independent discipline is not reflected in current department names, as is the case at many other universities; and

February 2, 2001 meeting, Board of Trustees

RENAMING OF THE DEPARTMENT OF MARKETING (contd)

WHEREAS the proposed name change has been approved by the Department of Marketing and college faculty; and

WHEREAS support for the name change has been received from various colleges; and

WHEREAS this proposal has the support of both the reviewing subcommittee and the Council on Academic Affairs, satisfies the guidelines for academic unit name change, and was approved by the University Senate at its December 2, 2000 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Department of Marketing be renamed the Department of Marketing and Logistics, effective immediately.

NAMING OF FOOD INDUSTRIES CENTER

Resolution No. 2001-82

Synopsis: The naming of the Food Industries Center, located in Howlett Hall of the College of Food, Agricultural, and Environmental Sciences in honor of Wilbur A. Gould is proposed.

WHEREAS the College of Food, Agricultural, and Environmental Sciences established a Food Industries Center and has a long and successful relationship with numerous Ohio and international food companies; and

WHEREAS Dr. Wilbur A. Gould has been a distinguished faculty member at The Ohio State University in the area of food processing and technology for nearly forty years and served as the director of the Food Industries Center, and as executive director of the Mid-America Food Processors Association, and

WHEREAS Dr. Gould has an extensive record of scholarly research and service to businesses and industry; and

WHEREAS he has served as an advisor to hundreds of undergraduate and graduate students in his years on the faculty; and

WHEREAS Dr. Gould has received awards of distinction from academic institutions, public service groups, private industry, student groups and honoraries, government associations and community organizations; and

WHEREAS Dr. Gould holds both a M.S. and a Ph.D. degrees from The Ohio State University; and

WHEREAS since his retirement from the faculty in 1985, Dr. Gould has remained directly involved in the programs of the Food Industries Center, most notably as honorary chair of the Parker Food Science and Technology Building Campaign and the establishment of the Wilbur A. Gould Food Industries Center Adult Education Fund:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned Food Industries Center shall be named "The Wilbur A. Gould Food Industries Center."

February 2, 2001 meeting, Board of Trustees

CORRECTION TO NAMING OF FACILITY

Resolution No. 2001-83

Synopsis: Approval of the administrative renaming of the Floriculture Research and Biocontainment Facility, located at 670 Tharp Street, as the Ornamental Plant Germplasm Center is proposed.

WHEREAS the Biocontainment Facility was originally placed on campus as part of Ameriflora '92; and

WHEREAS a cooperative agreement was signed in July 1999 between The Ohio State University and the United States Department of Agriculture to establish the Ornamental Plant Germplasm Center; and

WHEREAS this research center will be located at 670 Tharp Street:

NOW THEREFORE

BE IT RESOLVED, That the facility located at 670 Tharp Street be named the "Ornamental Plant Germplasm Center."

AMENDMENTS TO THE BYLAWS OF THE BOARD OF TRUSTEES

Resolution No. 2001-84

Synopsis: Approval of the administrative amendments to the Bylaws of the Board of Trustees and Rules of the University Faculty to reflect a title change and the delineation of responsibilities is recommended.

WHEREAS it is recommended that the position of vice president for agricultural administration be amended with expanding responsibilities to include oversight for the University's outreach programs and endeavors; and

WHEREAS the new title will be vice president for agricultural administration, university outreach, and executive dean for food, agricultural, and environmental sciences; and

WHEREAS this change in title and responsibilities is reflected in Personnel Actions which is the subject of a separate resolution:

Amended Bylaw

3335-1-03 Administration of the university.

- (K) Vice president for agricultural administration, AND UNIVERSITY OUTREACH, and executive dean for food, agricultural, and environmental sciences. The major area of responsibility and authority of the vice president WITH RESPECT TO ~~for~~ agricultural administration and AS executive dean for food, agricultural, and environmental sciences shall be that of serving as dean of the college of food, agricultural, and environmental sciences, including the school of natural resources and the agricultural technical institute, and THE administrative responsibility for the Ohio agricultural research and development center, and the Ohio state university extension, STONE LABORATORIES, AND THE OHIO SEA GRANT COLLEGE PROGRAM. Without limiting the generality of the foregoing, the vice president ~~for agricultural administration and executive dean for food, agricultural, and environmental sciences~~ shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: the administrative details and authorization for all expenditures of agricultural administration which shall be in

AMENDMENTS TO THE BYLAWS OF THE BOARD OF TRUSTEES (contd)

Amended Bylaw (contd)

accordance with policies and procedures of the Ohio state university. AS VICE PRESIDENT FOR UNIVERSITY OUTREACH, THE VICE PRESIDENT PROVIDES LEADERSHIP, VISION AND COORDINATION FOR INSTITUTIONAL OUTREACH AND ENGAGEMENT PROGRAMS AND RELATIONSHIPS BETWEEN AND AMONG OHIO STATE FACULTY, STAFF AND STUDENTS AND EXTERNAL PARTNERS, COMMUNITIES AND INSTITUTIONS ~~and oversight of outreach and engagement programs~~ in furtherance of the university's land-grant mission. The vice president for agricultural administration, AND UNIVERSITY OUTREACH, and executive dean for food, agricultural, and environmental sciences shall report to the president of the university for all administrative and fiscal affairs and to the executive vice president and provost on all academic matters.

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the President, the proposed administrative amendments be hereby adopted, effective immediately; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees is hereby authorized and directed to incorporate the recommended changes in the applicable sections in the Bylaws of the Board of Trustees and the Rules of the University Faculty.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2001-85

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on January 6, 2001:

Amended Rule

3335-5-473 Rules committee.

- (A) Membership. (Unchanged.)
- (B) Duties and responsibilities.
 - (1) Be responsible, in cooperation with the secretary of the university senate, for the monitoring of the "Rules of the University Faculty" and of all statutes and bylaws pertaining to the senate.
 - (2) Ensure that all published rules and bylaws within the senate's purview are up to date, and recommend their republication at such intervals as may be desirable.
 - (3) Make recommendations for the revision of the procedural rules of the senate.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rule (contd)

- (4) ~~Following BEFORE senate approval ACTION, the committee shall receive all proposed new rules and changes in existing rules. It shall review them and recommend to the senate such editorial or other changes it deems necessary to avoid conflict with other rules, to enhance clarity and precision, and to avoid ambiguity. Its recommendations shall be presented for final action at the next regular meeting of the senate; debate will be limited to the editorial changes recommended by the committee. If the committee is unable to report at this time, it shall inform the program committee of the reasons so that they may be included in the program committee's report on items not yet appearing on the senate's agenda.~~
- (5) Initiate rules or changes in existing rules and recommend them to the program committee for scheduling for senate action.

(C) Organization. (Unchanged.)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

HONORARY DEGREES

Resolution No. 2001-86

Synopsis: The awarding of three honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of honorary degrees as listed below:

Theodore M. Hesburgh	Doctor of Humane Letters
H. Gobind Khorana	Doctor of Science

and

WHEREAS pursuant to paragraph (B)(4) of rule 3335-1-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommended to the Board of Trustees awarding of an honorary degree as listed below:

William H. Cosby, Jr.	Doctor of Education
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NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

PERSONNEL ACTIONS

Resolution No. 2001-87

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the December 1, 2000 meeting of the Board, including the following Appointments, Change in Title, Appointment/Reappointments of Chairpersons, Leave of Absence Without Salary, Professional Improvement Leave, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Ohio State University Medical Center) approved December 14, 2000, by The Ohio State University Hospitals Board, and the Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) approved November 21, 2000, by The James Cancer Hospital Board, be ratified.

Appointments

Name: M. RONALD GLASER
Title: Interim Associate Vice President for Health Sciences Research and Vice Dean for Research
Office/College: Health Sciences/Medicine and Public Health
Term: December 1, 2000 through November 30, 2001
Present Position: Associate Vice President for Health Sciences Research and Professor, Departments of Molecular Virology, Immunology and Medical Genetics, and Internal Medicine

Name: BARRY K. GOODWIN
Title: Professor (The Andersons Endowed Chair in Agricultural Marketing, Trade and Policy)
Department: Agricultural, Environmental, and Development Economics
Effective: October 1, 2001
Present Position: Professor, Department of Agricultural and Resource Economics, North Carolina State University, Raleigh, North Carolina

Name: DANIEL D. SEDMAK
Title: Interim Associate Vice President for Health Sciences Education and Vice Dean for Education
Office/College: Health Sciences/Medicine and Public Health
Term: January 22, 2001 through January 21, 2002
Present Position: Chair and Professor, Department of Pathology

Name: JAMES P. TRESSEL
Title: Head Football Coach
Department: Athletics
Term: January 17, 2001 through January 16, 2006
Present Position: Head Football Coach, Youngstown State University

Change in Title

Name: BOBBY D. MOSER
Title: Vice President for Agricultural Administration, University Outreach, and Executive Dean for Food, Agricultural, and Environmental Sciences
Office/College: Agricultural Administration/Food, Agricultural, and Environmental Sciences
Date: February 2, 2001
Present Position: Vice President for Agricultural Administration and Executive Dean, College of Food, Agricultural and Environmental Sciences

February 2, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Appointment of Chairperson of Department

April 1, 2001 through September 30, 2005

Physiology and Cell Biology

Muthu Periasamy

Reappointments of Chairpersons of Department

January 1, 2001 through March 31, 2001

Physiology and Cell Biology

Jack A. Rall*

January 1, 2001 through December 31, 2001

Ophthalmology

Paul A. Weber

*Interim

Leave of Absence Without Salary

MAARTEN A. RUTGERS, Assistant Professor, Department of Physics, effective January 1, 2001 through December 31, 2001, for personal reasons.

Professional Improvement Leave

RICHARD J. JAGACINSKI, Professor, Department of Psychology, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

Emeritus Titles

JAMES M. FALKO, Department of Internal Medicine, with the title Professor Emeritus, effective February 1, 2001.

MORTON H. FRIEDMAN, Department of Chemical Engineering, with the title Professor Emeritus, effective February 1, 2001.

FRANKLIN R. HALL, Department of Entomology, with the title Professor Emeritus, effective February 1, 2001.

JAY W. JOHNSON, School of Natural Resources, with the title Professor Emeritus, effective February 1, 2001.

MANOHAR L. MADAN, Department of Mathematics, with the title Professor Emeritus, effective July 1, 2001.

ARTHUR L. SAGONE, Department of Internal Medicine, with the title Professor Emeritus, effective February 1, 2001.

ROLAND P. PAGNIANO, College of Dentistry, with the title Associate Professor Emeritus, effective February 1, 2001.

H. LANGLEY PAGE, JR., College of Dentistry, with the title Associate Professor – Clinical Emeritus, effective March 1, 2001.

ROBERT E. COX, Ohio State University Extension, with the title Assistant Professor Emeritus, effective February 1, 2001.

February 2, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

JAMES A. ARNETT, Department of Physical Medicine and Rehabilitation, with the title Assistant Professor – Clinical Emeritus, effective February 1, 2001.

Medical Staff Appointments (The Ohio State University Medical Center)

December 2000

Stephen Badolato, MD, Family Medicine, PGY4 Limited, 07/01/2000 - 06/30/2001
Thomas Brady, DO, Anesthesiology, PGY2 Limited, 12/13/2000 - 06/30/2001
Kathleen Campbell, MD, Pediatrics, Courtesy, 12/13/2000 - 06/30/2001
Feng Chen, MD, Internal Medicine, Community Affiliate Physician, 12/13/2000 - 06/30/2001
James Cox, MD, Surgery, Attending, 10/03/2000 - 06/30/2001
Jill Foster, MD, Ophthalmology, Attending, 12/13/2000 - 06/30/2001
Larry Gronland, CRNA, Anesthesiology, Licensed Healthcare Professional, 12/13/2000 - 06/30/2001
Allan Hynes, DDS, Dentistry, PGY1 Limited, 07/01/2000 - 06/30/2001
David Jones, DPM, MD, Internal Medicine, PGY1 Limited, 07/01/2000 - 06/30/2001
Loree Kalliainen, MD, Surgery, Attending, 10/13/2000 - 06/30/2001
Cynthia Klein, CNP, Internal Medicine, Licensed Healthcare Professional, 12/13/2000 - 06/30/2001
D. Neal Mastruserio, MD, Internal Medicine, Attending, 12/13/2000 - 06/30/2002
Ellen McManus, MD, Emergency Medicine, Fellowship 1st Year, 10/01/2000 - 06/30/2002
Zhanna Mikulik, MD, Internal Medicine, PGY1 Limited, 07/01/2000 - 06/30/2002
Agnes Miller, CRNA, Anesthesiology, Licensed Healthcare Professional, 10/25/2000 - 06/30/2002
Jeffrey Miller, MD, Radiology, PGY2 Limited, 07/01/2000 - 06/30/2002
Donna Nassar, CNP, Family Medicine, Licensed Healthcare Professional, 12/13/2000 - 06/30/2002
Gretchen Norman, CRNA, Anesthesiology, Licensed Healthcare Professional, 10/27/2000 - 06/30/2002
Mariarita Santi, MD, Pathology, Attending, 12/13/2000 - 06/30/2002
Cora Schlesinger, MD, Pathology, Attending, 10/09/2000 - 06/30/2002
E. William Schneeberger, MD, Surgery, PGY6 Limited, 12/13/2000 - 06/30/2002
John Sharkey, MD, Orthopaedic Surgery, Community Affiliate Physician, 12/01/2000 - 06/30/2002
James Silone, DO, Ophthalmology, Courtesy, 12/13/2000 - 06/30/2002
Thomas Sonnanstine, MD, Surgery, PGY3 Limited, 10/31/2000 - 11/30/2002
Cecila Terrado, MD, Internal Medicine, Fellowship 1st Year, 12/13/2000 - 06/30/2002
Eric Ward, MD, Surgery, Attending, 12/13/2000 - 06/30/2002
Ross Wheeler, MD, Pathology, PGY6 Limited, 07/01/2000 - 06/30/2002
Matthew Wicklund, MD, Neurology, Attending, 12/13/2000 - 06/30/2002

Request for Additional/Special Privileges (The Ohio State University Medical Center)

December 2000

Agnes Marie Miller, CRNA, Anesthesia, Obstetrical Subarachnoid Block and Obstetrical Epidural Block, 10/25/00 – 06/30/2002

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

October/November 2000

Initial Appointments – Faculty

Guillermo E. Chacon, D.D.S., Dentistry*, Assistant Professor, Associate Attending

February 2, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

October/November 2000 (contd)

Initial Appointments – Faculty (contd)

Cynthia M. Magro, M.D., Pathology, Professor, Associate Attending

*Pending appointment to University Hospital medical staff

Initial Appointments – Community

Lisa M. DeLong, M.D., Obstetrics and Gynecology, Community Associate

Robert E. Rupert, M.D., Internal Medicine, Hematology/Oncology, Community Oncologist Attending

Initial Appointment – Advanced Practice Nurses

Ellen Turner, C.R.N.A.

Initial Appointment – Anesthesiology Assistant

Malarvizhi Natesan, A.A.

Provisional to Full Appointment – Faculty

Iain Grant, M.D., Clinical Attending, Otolaryngology

Ewa Mrozek, M.D., Associate Attending, Hematology/Oncology

Steven Steinberg, M.D., Associate Attending, Surgery

Provisional to Full Appointment – Advanced Practice Nurses

Bruce Alden, C.R.N.A.

Ann Siefert, C.R.N.A.

Kami Nemcik, C.R.N.A.

Jack Fry, C.R.N.A.

Christine Saas, C.R.N.A.

Veronica Haverick, C.R.N.A.

Linda Masone, C.R.N.A.

Christine Pitts, C.R.N.A.

Veronica Palcich, C.R.N.A.

Molly Moran, R.N., C.N.S.

Tracy Hollar-Reugg, R.N., C.N.P.

Laparoscopic Privileges

Marjorie Arca, M.D., Pediatric Surgery, Associate Attending

President William E. Kirwan:

There are nine Resolutions in Memoriam and included in these nine are three that I would like to bring to the attention of the Board. The first is the tragic death of Roslyn Wolf, wife of our dear friend and former chairman of this Board, Ambassador Milton Wolf.

February 2, 2001 meeting, Board of Trustees

President Kirwan: (contd)

As I'll of us know, Roslyn was a very special human being who touched our lives in many, many ways. Some of us went to her funeral service in Cleveland earlier this week and had a sense of what she meant to that community. At the Synagogue where the funeral service took place, the Rabbi said that it was the largest gathering ever to mourn a death in that building.

We in Ohio, and indeed across the country, have lost a marvelous human being. We extend our deepest condolences to Ambassador Wolf.

Also we have two former Trustees who have made enormous contributions to this University in many ways: John Gushman and Tom Patton. Mr. Chairman, if I might, I would like to ask for a moment of silence in respect of these remarkable people. Thank you very much.

RESOLUTIONS IN MEMORIAM

Resolution No. 2001-88

Synopsis: Approval of nine Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Wallace Barr, Jr.

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 12, 2000, of Dr. Wallace Barr, Jr., Professor Emeritus in the Department of Agricultural, Environmental, and Development Economics.

Professor Barr was born in 1920 in Fairfield County. He was a graduate of Amanda High School and earned the B.Sc. in Agriculture in 1943 and the Ph.D. degree in Agricultural Economics in 1961 from The Ohio State University. After 12 distinguished years as a public high school teacher in Logan County, he joined the faculty of the Department of Agricultural Economics and Rural Sociology at The Ohio State University in 1955, where he rapidly rose to the rank of professor and served for 26 years.

Dr. Barr's career at The Ohio State University emphasized the public policy role of his academic discipline. He brought the University to the people by engaging them as partners in its teaching and research programs, rather than simply delivering a product to them. His nationally recognized outreach education program impacted local, national and global decision-makers, and improved lives in the agricultural community he served. His local and national leadership in economic education programs to address policy issues in a reasoned "alternatives and consequences" format enabled stakeholders to learn from each other before polarization prevented communication and education.

While focused on his students on campus and beyond, Dr. Barr established a standard of professional performance that continues to inspire his many colleagues. His professional accomplishments were recognized with the highest honors of his profession. He was honored as a Fellow of the American Agricultural Economics Association in 1984, and was elected to its Board of Directors. Among his many recognitions were Ohio's Agricultural Hall of Fame, The Ohio State University Distinguished Alumni Award, and the USDA's Superior Service Award.

Dr. Barr's demonstrated pursuit of excellence propelled his students to prominent positions throughout academia, government and industry. Always the consummate teacher, his students and colleagues recall him as engaging, stimulating, enjoyable, and instructive – a rare combination of attributes. His objectivity and unparalleled credibility as a scientist, educator and friend continue to stand the test of time.

RESOLUTIONS IN MEMORIAM (contd)

Wallace Barr, Jr. (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Wallace Barr its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

H. William Clatworthy Jr.

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 11, 2000, of Dr. H. William Clatworthy Jr., Professor Emeritus in the Department of Surgery.

Born in Denver, Colorado, in 1917, Dr. Clatworthy received his undergraduate degree at Stanford University and his medical degree at Harvard University. During World War II, he served in the U.S. Army Medical Corps in France, completing his military service as captain and commanding officer of the 27th Medical Detachment. Dr. Clatworthy received his residency training at Children's Hospital in Boston, and was a surgical fellow at the University of Minnesota, where he earned a Master of Science degree in surgery.

Dr. Clatworthy came to Ohio State in 1950, and remained on the faculty until his retirement in 1985. In 1954 he was appointed chief of the Division of Pediatric Surgery, a post he held for 18 years. William Clatworthy brought a new specialty and high standards of patient care to the region. He was the first trained pediatric surgeon in central Ohio, at a time when surgeons did not specialize in the special needs of infants and children.

He was not only an innovative clinician, but also an influential educator. Dr. Clatworthy trained more than 50 pediatric surgeons who have become leaders in the field in the United States and abroad. He is recognized all over the world as an educator. He lectured not only in the United States, but also in Asia, Africa, the Middle East, Canada, Australia, and South America.

A productive surgical investigator, Dr. Clatworthy developed techniques to treat children with cancer and short bowel syndrome, and published more than 140 scholarly papers during his career. He served on the advisory board of the *American Journal of Surgery*.

Professor Clatworthy actively gave his time to professional service. During the 1970s, he was president of the American Pediatric Surgical Association and of the Columbus Surgical Society, and he served on the residency review committee for surgery of the American College of Surgeons. He was a member of many professional societies, including the American Surgical Association, the Society of University Surgeons, the International Society of Surgery, and the American Academy of Pediatrics.

Dr. Clatworthy was one of the few American pediatric surgeons to receive the two highest prizes in pediatric surgery. He was awarded the William E. Ladd Medal by the American Academy of Pediatrics in 1979, and received the Denis Browne Gold Medal of the British Association of Paediatric Surgeons in 1984.

In addition to being a leader in pediatric surgery, Dr. Clatworthy was an active sportsman. He was a former member of the Stanford University golf team, and an avid hunter and fly fisherman.

On behalf of the University community, the Board of Trustees expresses to the family and friends of Professor H. William Clatworthy Jr. its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

February 2, 2001 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

John L. Gushman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 25, 2000, of John L. Gushman, of Naples, Florida.

John Gushman was born in Ohio and graduated from The Ohio State University with both his BA and JD degrees. He served in WWII as a major in the U.S. Air Force. He began his professional career by joining the law firm of Williams, Eversman & Morgan in Toledo, Ohio.

In 1961, Mr. Gushman joined Owens Illinois as counsel and vice president. He later went on to become president and chairman of Anchor Hocking Corporation in Lancaster, Ohio. He was the first "outsider" to play a key role in the management of the company and is credited with changing Anchor Hocking from "a company run from over the top of a drugstore to a corporation."

Mr. Gushman's service included appointments to the boards of Libby Owens Ford, Western Electric, F.W. Woolworth, and the Federal Reserve Bank of Cleveland. He was appointed by Governor James A. Rhodes to serve as a member of The Ohio State University Board of Trustees in 1970. A dedicated and diligent trustee, he served as chairman of the Board from 1977-78. Upon stepping down from the Board his fellow trustees commented on his many fine contributions "by reason of his fine mind, his integrity and his great experience in business...he had great strength and the courage of his convictions."

On behalf of the University community, the Board of Trustees expresses to the family of John L. Gushman its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Norman C. Neeley

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 7, 2000, of Dr. Norman Charles Neeley, Assistant Clinical Professor in the College of Dentistry.

Dr. Neeley, a native of Steubenville, Ohio, graduated from Ohio Wesleyan University with a Bachelor of Arts degree in 1965. He then entered The Ohio State University College of Dentistry, earning a Doctor of Dental Surgery degree in 1969. Following service as a captain in the U.S. Air Force Dental Corps in Korea and Vietnam, he entered private practice in Boardman, Ohio, for three years before joining the State of Florida Health Department as a dental officer. In 1975, he returned to the College of Dentistry and entered the postdoctoral program in periodontics and was certified in 1977. He then joined the College faculty as an assistant professor and continued to teach in a number of clinical roles until shortly before his death. Dr. Neeley also maintained dental practices in Lancaster and Dublin, Ohio.

Dr. Neeley was active in his profession and was a member of the American Dental Association, the Ohio Dental Association, and the Hocking County Dental Society, serving as president of the latter. He was an active member of the Linworth Community Church and participated in several dental missionary trips to Romania. Dr. Neeley was a popular and dedicated teacher, respected by students and faculty colleagues. He was chosen by his students as the outstanding clinical instructor for 1999-2000.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Norman C. Neeley its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

February 2, 2001 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

Thomas F. Patton

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 2, 2001, of Thomas F. Patton, of Shaker Heights, Ohio.

Mr. Patton attended The Ohio State University and graduated in 1926 with an L.L.B. degree from the College of Law. In his professional career he served as President of the Republic Steel Corporation of Cleveland.

In 1957 he was appointed by Governor C. William O'Neill to The Ohio State University Board of Trustees. He was a dedicated member of the Board until 1964, serving as chairman of the Board from 1963-64.

Upon his retirement from the Board, his fellow trustees recounted his unselfish dedication to repaying what Mr. Patton described as "a graduate's obligation to his University." He served as a member of the Board of Director of The Ohio State University Alumni Association, as a member of the Alumni Advisory Board, and in addition enjoyed the distinct honor of being elected National President of The Ohio State University Association from 1945-47. During his year as chairman of the Board of Trustees of Ohio State, he devoted many hours in leading the successful campaign for a bond issue.

In 1964, his fellow trustee, Alan Loop, said of Thomas Patton, "His eminence as a leader of men and industry has already become legendary. But above all, he possesses one of the finest of virtues – he is instinctively and innately an extremely courteous man. It is said that courtesy, in the final analysis, is merely 'awareness of others.' We all felt better in his presence and we will all do better for The Ohio State University in the days ahead because of having been exposed to the delightful personality of this kind and generous gentleman."

On behalf of the University community, the Board of Trustees expresses to the family of Thomas F. Patton its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Anne E. Penrod

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 21, 2000, of Anne E. Penrod, Assistant Professor Emeritus in Ohio State University Extension.

Professor Penrod was born May 31, 1908, in Mount Pleasant, Ohio. She received her Bachelor of Science degree in 1929 in home economics from Bowling Green State University. Throughout her career in Extension, she continued her education at OSU. She began her career in Extension on September 1, 1959 as the home demonstration agent in Logan County. She held this position until her retirement on March 31, 1973. Prior to joining Extension, she held several home economics instructor positions in the public school system.

Anne's contributions in providing excellent Extension educational programs during her career earned her the respect and admiration of her co-workers and associates throughout the state and nation. She conducted numerous educational classes on canning, foods and nutrition, and home furnishings including chair caning, clothing construction, and money management. Her technical knowledge in home economics subject matter was recognized and earned her awards and recognition locally, as well as statewide.

RESOLUTIONS IN MEMORIAM (contd)

Anne E. Penrod (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Anne E. Penrod its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Walter W. Seifert

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 2, 2000, of Walter William Seifert, Professor Emeritus in the School of Journalism and Communication.

Professor Seifert was born October 7, 1913, in Cleveland, Ohio. He was graduated with a B.S. in Education in 1934 from Kent State University and with an M.S. in Journalism in 1935 from the University of Michigan. He did additional studies at Case Western Reserve University. He worked as a journalist for the Akron Beacon Journal and the Central Press Association and in public relations for the nation of Bermuda; N.W. Ayer & Son in New York; the U.S. Navy in Washington, D.C.; and Byer & Bowman Advertising in Columbus. He taught high school in Cleveland and was a faculty member at Ohio University and Phoenix College before joining the faculty in 1959 in the School of Journalism at The Ohio State University, where he was named Professor Emeritus in 1984.

Professor Seifert is remembered by friends for his contributions to the field of public relations, his popularity as a teacher, his association with former students, his letters to editors and his advancement of conservative political causes.

He helped found in 1971 the national Public Relations Student Society of America, a part of the Public Relations Society of America, a professional organization. The OSU Walt Seifert Chapter was the first chapter. He also helped establish the educators' section of the PRSA. He was PRSA president in 1963 and was named Outstanding Public Relations Educator by the PRSA in 1972.

"His classes were very popular. When we were scheduling his classes we would always put him in the big rooms," Professor Sharon Brock, assistant director in the OSU School of Journalism at the time, told the Columbus Dispatch. "I remember him as a faculty member who really loved to work with students. He kept track of them. He actually had a newsletter that told everyone where their classmates were."

"Above all, Seifert was a man who was totally devoted to the development and professional success of his students, those he affectionately referred to as his 'jewels,'" a former student of Professor Seifert and OSU Vice President for University Relations Lee Tashjian wrote in a Dispatch letter to the editor. "This was the essence of Seifert – a total commitment to doing all within his capacity to prepare his jewels for a lifetime of success. His students meant everything to him. We owe him much in return."

He was recognized in 1990 in the Guinness Book of World Records for having published 1,600 letters to the editor, a number he claimed reached 4,600 by the time of his death. The Columbus Dispatch editorialized: "Seifert wrote about myriad topics, often blasting politicians, business leaders and the news media, of which he was once a member. His letters bore a conservative bent and a bellicose bluster, and they almost always raised the hackles of his adversaries."

Professor Seifert also gave speeches on a citizen's role in a democracy. One of his favorites was "Democracy is Not a Spectator Sport." Over the years, he won five George Washington Honor Medals from the conservative Freedom Foundation at Valley Forge.

February 2, 2001 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

Walter W. Seifert (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Walter William Seifert its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Edward W. Stroube

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on November 25, 2000, of Dr. Edward W. Stroube, retired Assistant Chairman and Professor Emeritus, Department of Agronomy (now Horticulture and Crop Science).

Dr. Stroube was born in Christian County, Kentucky, in 1927. He received both his B.S. and M.S. degrees from the University of Kentucky and his Ph.D. degree from The Ohio State University in 1961. In 1962, Dr. Stroube was appointed assistant professor at The Ohio State University and remained on the faculty there until his retirement in 1985.

Dr. Stroube was a retired Colonel, United States Air Force Reserve, and a member of the Reserve Officer's Association; a member of the American Society of Agronomy; Weed Science Society of America; North Central Weed Control Conference; Alpha Zeta; Epsilon Sigma Phi; The Ohio State University and University of Kentucky Alumni Associations; and member of the Lane Avenue Baptist Church.

His awards included the Danforth Scholarship (Undergraduate), Sears Roebuck Scholarship (Undergraduate), Gamma Sigma Delta "Extension Award of Merit," and the Midwest Agricultural Chemical Association Educator Award.

On behalf of the University community, the Board of Trustees expresses to the family, friends and colleagues of Professor Edward C. Stroube its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Roslyn Zehman Wolf

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 27, 2001, of Roslyn Zehman Wolf, beloved wife of Milton A. Wolf, former Trustee and chairman of the Board of Trustees.

Roslyn Zehman Wolf, of Shaker Heights, Ohio, attended The Ohio State University and had a life-long interest in education. She was a civic activist in her community and served on the boards of leading educational and cultural institutions, including Cleveland State University, the Great Lakes Science Center, and the Cleveland Orchestra. She was a tireless supporter of charitable institutions and, along with her husband, Milton, was a strong supporter of The Ohio State University's "Affirm Thy Friendship Campaign," often hosting University events in their home.

Her husband Milton's career took them to Austria, where he served as the United States Ambassador to Austria, and Roslyn Wolf was his gracious partner in service. She served as the leader of the 105 spouses of ambassadors assigned to Vienna, hosting a dinner for President Jimmy Carter and Russian leader Leonid Brezhnev. The visit resulted in the signing of the second Strategic Arms Limitation Treaty.

RESOLUTIONS IN MEMORIAM (contd)

Roslyn Zehman Wolf (contd)

After returning to Cleveland, Roslyn lectured about her experiences for Cuyahoga Community College's senior adult education program and to many community groups. She talked about how she and her husband worked with Jewish immigrants from the Soviet Union and Romania as the immigrants passed through Austria.

An avid civic activist, Roslyn served on the Governor's Council for Disabled Persons and on the local chapter of the National Head Injury Foundation's Advisory Board. She was named a life trustee of the Jewish Community Federation and was a trustee of the Mt. Sinai Foundation. She worked in the welfare campaign of the Jewish Community Federation's women's division. She was a member of the Jewish Family Service Association, the National Council of Jewish Women, Bellefaire Jewish Children's Bureau, and the advisory committee for the Frances Payne Bolton School of Nursing at Case Western Reserve University.

After the death of her mother, Irene Ratner Zehman, Mrs. Wolf and her sister, Judy Sherman, established an award to recognize women who have shown exemplary leadership in acts of kindness. "She was a woman with unflinching zeal and vigor," said businessman Sam Miller, a longtime friend. "She always had gentle empathy for all unfortunates, wherever she traveled throughout the world," Miller said.

"She was the finest person I've ever known. She was a remarkably humble person who had legions of friends all over the world who remember her because of the many kind and good deeds she did," her husband said.

On behalf of the University community, the Board of Trustees expresses to the family of Roslyn Zehman Wolf its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-89

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for November and December 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of November and December 2000 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-90

Synopsis: The report on the receipt of gifts and the summary for December 2000 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of sixteen (16) new named endowed funds and the amendment of four (4) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of December 2000 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through December
1999 Compared to 2000

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through December		
	<u>1999</u>	<u>2000</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$16,318,376	\$18,783,980	15
Alumni (From Bequests)	<u>4,187,843</u>	<u>1,358,380</u>	(68)
Alumni Total	\$20,506,219	\$20,142,360	(2)
Non-Alumni (Current Giving)	\$10,522,618	\$14,358,534	36
Non-Alumni (From Bequests)	<u>2,720,543</u>	<u>2,975,681</u>	9
Non-Alumni Total	\$13,243,161	\$17,334,215	31
Individual Total	\$33,749,380	\$37,476,575	11
Corporations/Corp/Foundations	\$17,434,464	\$20,569,002	18
Private Foundations	\$ 6,114,924	\$ 9,130,451	49
Associations and Other Organizations	<u>\$2,876,524</u>	<u>\$2,368,639</u>	(18)
Total	\$60,175,292	\$69,554,667	16

NOTES

- A Individual giving is up 11% largely due to the fact that gifts of \$10,000 or more are up (295 gifts for \$23.5 million last year; 317 gifts for \$27.4 million this year).
- B Corporate giving is up 18%. Last year there were 295 corporate gifts providing private support of \$10,000 or more (\$13.7 million). This year private support at the \$10,000 level is \$16.7 million (336 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first six months of the fiscal year (\$8.5 million from 117 gifts this year; \$5.4 million from 104 gifts last year).
- D Gifts from associations and other organizations are down 18% since giving at the \$10,000 or more level is \$543,394 less than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-December
1999 Compared to 2000

GIFT RECEIPTS BY PURPOSE

	Dollars July through December		
	<u>1999</u>	<u>2000</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$10,242,290	\$7,157,618	(30)
Faculty Support	\$8,502,816	\$5,957,363	(30)
Program Support	\$26,622,417	\$42,749,282	61
Student Financial Aid	\$9,354,491	\$7,463,896	(20)
Annual Funds-Colleges/Departments	\$4,495,145	\$5,391,987	20
Annual Funds-University	<u>\$958,133</u>	<u>\$824,521</u>	(14)
Total	\$60,175,292	\$69,544,667	16

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through December

<u>1999</u>	<u>2000</u>	<u>% Change</u>
\$23,439,647	\$17,909,838	(24)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Retrieving the American Past Fund (Support teaching and scholarship in the Department of History; provided by gifts from the earnings of the Department of History's electronic database text)		\$140,000.00	\$140,000.00
The Wade Orthodontic Education Center Fund (Construction and renovation expenses, the purchase of carpet, equipment, furniture, and other necessary items to maintain, support, publicize, and/or expand the Center; provided by gifts from alumni, faculty, and friends in memory of Drs. Robert E. Wade and Dale B. Wade)		\$41,609.93	\$41,609.93
Non-Traditional Student Fund in the College of Human Ecology (Support returning students seeking to complete an undergraduate degree in the College of Human Ecology; provided by gifts from Dorothy Seath Teater and the estate of Florence Neuman)		\$30,280.00	\$30,280.00
The Christos I. Yessios Endowment Fund (Support the learning and research of computer aided architectural design through scholarships and/or assistantships; provided by gifts from graduates of the Computer Aided Architecture Design (CAAD) Program and Christos and Alexandra Yessios) (Grandfathered)		\$22,185.00	\$22,185.00
<u>Change in Name of Named Endowed Fund</u>			
From: The Morgan Horvitz Fund in Veterinary Medicine			
To: The Marcy and Richard Horvitz Veterinary Scholarship Fund			

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Ralph E. "Dutch" Schramm Scholarship Fund in Animal Sciences (Support three scholarships for junior and senior students enrolled in animal sciences; provided by gifts from Ralph E. "Dutch" Schramm)		\$100,328.28	\$100,328.28

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Dorothy Bliss Student Enrichment Fund (Support events, receptions, and other activities related to student affairs at the College of Veterinary Medicine; provided by gifts from the estate of Dorothy Bliss)		\$100,000.00	\$100,000.00
The Howard R. Steele Memorial Fund in Chemical Engineering (Attract deserving students who have successfully combined extracurricular community service activities with challenging academic study as evidenced by their pursuit of a chemical engineering degree; provided by gifts from Eleanor Steele in memory of her late husband Howard R. Steele)		\$100,000.00	\$100,000.00
The Dorothy Bliss Endowed Scholarship Fund (Provide supplement tuition and room and board for one outstanding senior student enrolled at The Ohio State University pursuing a DVM degree in the College of Veterinary Medicine with an emphasis in small animal medicine; provided by gifts from the estate of Dorothy Bliss)		\$ 90,000.00	\$ 90,000.00
The Community Cultural Arts Endowment Fund (Support diverse, high quality cultural enrichment programs for all residents of the Ohio State-Marion service area; provided by gifts from anonymous donors of Marion, Ohio)		\$ 75,695.16	\$ 75,695.16
The Marvene E. Riggs Endowment Fund to Support Cancer Research (Support cancer research at the Comprehensive Cancer Center; provided by gifts from the estate of Marvene E. Riggs)		\$73,324.57	\$73,324.57
The Elwood and Nellie Ellis II MBA Fellowship Fund (Provide a fellowship to an MBA student; provided by gifts from Elwood A. "Buzz" Ellis III)		\$32,408.81	\$32,408.81
Zachary Halley Memorial Cancer Research Endowment Fund (Support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from Mr. Ray M. and Mrs. Lynne Perez)		\$27,801.57	\$27,801.57

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Daniel G. Amstutz International Trade Scholarship Fund (Support students enrolled in the Department of Agricultural, Environmental, and Development Economics, who are engaged in the study and/or research of international trade; provided by gifts from Daniel G. Amstutz)		\$25,000.00	\$25,000.00
The Mary Jo and A. Robert Kent Endowment Fund for Cancer Research (Support human cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from the estate of A. Robert Kent honoring the late Mary Jo Kent)		\$25,000.00	\$25,000.00
The Jared Robert Nodelman Humanities Scholar Endowed Scholarship Fund (Provide need-based scholarship support to a student or students participating in the College of Humanities Scholars Program; provided by gifts from the Jared Robert Nodelman Foundation)		\$25,000.00	\$25,000.00
The Tuckerman Family Endowment Fund for Children's Programs (Provide ongoing support for the Children's Programs at the Wexner Center for the Arts; provided by gifts from Judy and Steve Tuckerman)		\$25,000.00	\$25,000.00
<u>Change in Description of Named Endowed Funds</u>			
The Paul H. Rofkar Scholarship Endowment Fund			
The Arthur E. Shepard Scholarship Fund			
The Tuckerman Family Breast Cancer Research Endowment Fund			
Total	-0-	\$933,633.32	\$933,633.32

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Retrieving the American Past Fund

The Retrieving the American Past Fund was established February 2, 2001, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from the earnings of the Department of History's electronic database test, Retrieving the American Past.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support teaching and scholarship in the Department of History, as agreed by the chairperson of the Department of History and the dean of the College of Humanities.

It is desired that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of History.

\$140,000.00

The Wade Orthodontic Education Center Fund

The Wade Orthodontic Education Center Fund was established February 2, 2001, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from alumni, faculty, and friends in memory of Drs. Robert E. Wade (D.D.S. 1929) and Dale B. Wade (D.D.S. 1965; M.S. – Major: Dentistry 1969).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to maintain a state-of-the-art orthodontic education center. Funds can be used for construction and renovation expenses, and the purchase of carpet, equipment, furniture and other necessary items to maintain, support, publicize, and/or expand the Center.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$41,609.93

Non-Traditional Student Fund in the College of Human Ecology

The Non-Traditional Student Fund in the College of Human Ecology was established February 2, 2001, by the Board of Trustees of The Ohio State University with lead gifts to The Ohio State University Development Fund from Dorothy Seath Teater (M.S. Family Resource Management, 1954), and the estate of Florence Neuman (B.S. Home Economics 1926; M.S. Agr. – Major: Home Economics, 1938; Ph.D. Psychology, 1943).

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

Non-Traditional Student Fund in the College of Human Ecology (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support returning students seeking to complete an undergraduate degree in the College of Human Ecology.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees with preference being given to the recommendations from the appropriate administrative official of the University who is then directly responsible for the College of Human Ecology.

\$30,280.00

The Christos I. Yessios Endowment Fund

The Christos I. Yessios Endowment Fund was established February 2, 2001, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund. The fund was initiated in 1988 by graduates of the Computer Aided Architecture Design (CAAD) Program in honor of Professor Yessios' pioneering work in CAAD, with gifts from them and Christos and Alexandra Yessios.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the learning and research of computer aided architectural design through scholarships and/or assistantships awarded to graduate students selected by the Architecture Section Head. In honor of Professor Yessios' pioneering work in CAAD, the intent of the fund will be to explore and encourage the use of digital media as design creativity enhancements.

It is the desire of the donor that this fund should benefit the Knowlton School of Architecture and the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of Christos and Alexandra Yessios.

\$22,185.00
(Grandfathered)

Change in Name of Named Endowed Fund

The Marcy and Richard Horvitz Veterinary Scholarship Fund

The Morgan Horvitz Fund in Veterinary Medicine was established February 7, 1992, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Marcy and Richard Horvitz of Cleveland, Ohio. The name of the fund was revised February 2, 2001.

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name of Named Endowed Fund (contd)

The Marcy and Richard Horvitz Veterinary Scholarship Fund (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship funds to a student who, having completed at least one academic quarter or semester in the College of Veterinary Medicine and having indicated a likelihood of practicing canine veterinary medicine, demonstrates (1) true compassion toward and love of animals, and (2) a high level of scholarship and academic proficiency, as determined by the College of Veterinary Medicine Scholarship Committee, or a successor faculty committee with similar function.

In the event that the Scholarship Committee determines that two or more candidates for the scholarship are equally deserving, the Scholarship Committee may consider the financial need of the candidates. Selection of the scholarship recipients shall be made by the College of Veterinary Medicine Scholarship Committee, or its successor, in consultation with the University Committee on Student Financial Aid. The scholarship award is renewable provided the scholarship recipient continues to meet the criteria set forth above.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Ralph E. "Dutch" Schramm Scholarship Fund in Animal Sciences

The Ralph E. "Dutch" Schramm Scholarship Fund in Animal Sciences was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ralph E. "Dutch" Schramm (B.S. Agr. – Major: Animal Husbandry, 1939).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support three scholarships for junior and senior students enrolled in animal sciences. The students shall exhibit leadership skills, participation in organizations and community service, and have a 2.5 or higher GPA. The first scholarship shall support an Alpha Tau Zeta student(s) majoring in animal sciences with a preference for beef cattle. The second scholarship shall support (1) an animal sciences student(s) with a focus in beef cattle or sheep enrolled in a study abroad program and/or (2) an animal sciences student(s) enrolled in an international internship in a beef related industry, with a preference for those working in Australia. The third scholarship shall support an animal sciences student(s) who has competed in the Little International Livestock Show (or its successor) in the beef division.

Should two students equally qualify for the Ralph E. "Dutch" Schramm Scholarships, preference will be given to the student with the greatest financial need. Any unused income shall be carried forward to afford the possibility of awarding additional scholarships in subsequent years.

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ralph E. "Dutch" Schramm Scholarship Fund in Animal Sciences (contd)

The use of the annual income shall be directed by the chairperson of the Department of Animal Sciences or their designee(s) in consultation with the Office of Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences; the chairperson of the Department of Animal Sciences; and the Janet (Schramm) and David Miley family or their designee in order to carry out the desire of the donor.

\$100,328.28

The Dorothy Bliss Student Enrichment Fund

The Dorothy Bliss Student Enrichment Fund was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from the estate of Dorothy Bliss, Upper Arlington, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used in the furtherance of the College of Veterinary Medicine's educational purposes, as directed by the associate dean of student affairs in consultation with the dean of the College of Veterinary Medicine to support events, receptions, and other activities related to student affairs at the College of Veterinary Medicine.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate university official in order to carry out the desire of the donor.

\$100,000.00

The Howard R. Steele Memorial Fund in Chemical Engineering

The Howard R. Steele Memorial Fund in Chemical Engineering was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift to the Foundation from Eleanor Steele in memory of her late husband Howard R. Steele (B.S. Chemical Engineering, 1949).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to attract deserving students who have successfully combined extracurricular community service activities with challenging academic study as evidenced by their pursuit of a chemical engineering degree. Preference will be given to students whose academic experience will benefit through this financial assistance. Recipients of the merit-based Howard R. Steele Scholarships will be named annually, but may be eligible to compete for

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Howard R. Steele Memorial Fund in Chemical Engineering (contd)

renewed awards. Selection will be made by the chairperson of the Department of Chemical Engineering in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$100,000.00

The Dorothy Bliss Endowed Scholarship Fund

The Dorothy Bliss Endowed Scholarship Fund was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from the estate of Dorothy Bliss, Upper Arlington, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement tuition and room and board for one outstanding senior student enrolled at The Ohio State University pursuing a D.V.M. degree in the College of Veterinary Medicine with an emphasis in small animal medicine. If in any year the income exceeds the amount needed to fund one year's tuition and room and board, then a second senior student may be awarded monies. Recipients will be selected by the dean of the college in consultation with the associate dean of student affairs and with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate university official in order to carry out the desire of the donor.

\$90,000.00

The Community Cultural Arts Endowment Fund

The Community Cultural Arts Endowment Fund was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from anonymous donors of Marion, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support diverse, high quality cultural enrichment programs provided by The Ohio State University at Marion in consultation with The Ohio State Marion Cultural Arts Committee.

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Community Cultural Arts Endowment Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of The Ohio State University at Marion, in consultation with the Ohio State Marion Cultural Arts Committee, in order to carry out the desire of the donors.

\$75,695.16

The Marvene E. Riggs Endowment Fund to Support Cancer Research

The Marvene E. Riggs Endowment Fund to Support Cancer Research for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from the estate of Marvene E. Riggs.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the executor of the estate that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

\$73,324.57

The Elwood and Nellie Ellis II MBA Fellowship Fund

The Elwood and Nellie Ellis II MBA Fellowship Fund was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Elwood A. "Buzz" Ellis III (M.B.A. 1972) of Westerville, Ohio, in honor of his parents.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a fellowship to an M.B.A. student. Selection shall be made by the Fisher College of Business M.B.A. awards committee with formal approval by the dean.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Elwood and Nellie Ellis II MBA Fellowship Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$32,408.81

Zachary Halley Memorial Cancer Research Endowment Fund

The Zachary Halley Memorial Cancer Research Endowment Fund for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Mr. Ray M. and Mrs. Lynne Perez (M.A. Education 1999, Ph.D. Education 1999) of Powell, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Preference will be given to research being conducted in nervous system cancer tumors in children. Any unused income shall be returned to the principal of this fund.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$27,801.57

The Daniel G. Amstutz International Trade Scholarship Fund

The Daniel G. Amstutz International Trade Scholarship Fund was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Daniel G. Amstutz (B.S. Bus. Adm. 1954) of Arlington, Virginia.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support students enrolled in the Department of Agricultural, Environmental, and Development Economics who are engaged in the study and/or research of international trade.

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Daniel G. Amstutz International Trade Scholarship Fund (contd)

The use of the annual income shall be directed by the chairperson of the Department of Agricultural, Environmental, and Development Economics or their designee. The recipients shall be either undergraduate or graduate students. Undergraduate recipients shall be enrolled in the agribusiness and applied economics major and have expressed an interest in international trade by enrolling in courses or conducting undergraduate research in that field, or by undertaking an internship with an international trade business. Graduate student recipients shall be enrolled in the AED economics major and have exhibited an interest in international trade through their choice of elective courses and/or research topics. Recipients shall be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences, in consultation with the chairperson of the Department of Agricultural, Environmental, and Development Economics or their successor in order to carry out the desire of the donor.

\$25,000.00

The Mary Jo and A. Robert Kent Endowment Fund for Cancer Research

The Mary Jo and A. Robert Kent Endowment Fund for Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift honoring the late Mary Jo Kent from the estate of her husband, A. Robert Kent of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support human cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$25,000.00

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Jared Robert Nodelman Humanities Scholar Endowed Scholarship Fund

The Jared Robert Nodelman Humanities Scholar Endowed Scholarship Fund was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from the Jared Robert Nodelman Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide need-based scholarship support to a student or students participating in the College of Humanities Scholars Program. The College of Humanities Scholars Program provides enriched study of the liberal arts for selected undergraduate students majoring in literature, languages, philosophy, history, culture, and interdisciplinary programs. Students participating in the Humanities Scholars Programs come from the top 20% of their high school class and have an ACT score of 25 or higher (SAT above 1140). Recipients shall be selected in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Humanities in order to carry out the desire of the donor.

\$25,000.00

The Tuckerman Family Endowment Fund for Children's Programs

The Tuckerman Family Endowment Fund for Children's Programs was established February 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Judy (B.S. Ed., 1964) and Steve (B.S. Optometry, 1966) Tuckerman, of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide ongoing support for the Children's Programs at the Wexner Center for the Arts.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the Wexner Center for the Arts in order to carry out the desire of the donors.

\$25,000.00

Change in Description of Named Endowed Funds

The Paul H. Rofkar Scholarship Endowment Fund

The Paul H. Rofkar Scholarship Endowment Fund was established February 5, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Paul H. Rofkar (B.S. Agr. 1938; B.S. Agr. Eng. 1939) to The Ohio State

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Funds (contd)

The Paul H. Rofkar Scholarship Endowment Fund (contd)

University College of Food, Agricultural, and Environmental Sciences. The description of the fund was revised February 2, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for merit scholarships, and will be restricted to qualified students who have maintained at least a 3.5 GPA, demonstrated leadership with goals related to the College of Food, Agricultural, and Environmental Sciences, and who are enrolled in the Department of Food, Agricultural, and Biological Engineering. Scholarship recipients will be selected in consultation with the University Committee on Student Financial Aid. Five percent (5%) of annual income shall be reinvested to the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and executive dean of the College of Food, Agricultural, and Environmental Sciences; and the chairperson of Department of Food, Agricultural, and Biological Engineering in order to carry out the desire of the donor.

The Arthur E. Shepard Scholarship Fund

The Arthur E. Shepard Scholarship Fund was established April 4, 1997, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Arthur E. Shepard of Columbus, Ohio. The description of the fund was revised February 2, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will be used to provide undergraduate scholarships to Cambridge High School (Cambridge, Ohio) graduates with preference for those who attended Garfield Elementary School (Cambridge, Ohio) for at least four years. If Garfield Elementary School should cease to exist, this scholarship would be available to qualified graduates of Cambridge High School. If Cambridge High School should cease to exist, the designation of this scholarship would be determined by the Board of Trustees.

Candidates for selection will be considered upon the recommendation of the superintendent of Cambridge, Ohio, schools and the principals of Cambridge High School and Garfield Elementary School, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

February 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Funds (contd)

The Tuckerman Family Breast Cancer Research Endowment Fund

The Tuckerman Family Breast Cancer Research Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from Judy (B.S. Ed. 1964) and Steve Tuckerman (B.S. Optometry, 1966), Audrey and Brian Tuckerman, Connie and Craig Tuckerman, and Elise and Richard Robinowitz, all of Columbus, Ohio; Jean and Stanley Eppstein of Youngstown, Ohio; Esther Tuckerman, and Barbi Tuckerman, both of Columbus, Ohio; and thoughtful friends. The description of the fund was revised February 2, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support breast cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as recommended by the surgical chief of breast services at The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute (The James), and as approved by the director of The James and the director of the Comprehensive Cancer Center (CCC).

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the surgical chief of breast services at The James, the director of The James and the director of the CCC in order to carry out the desire of the donors.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2001-91

OCTOBER – DECEMBER 2000

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of October - December 2000; and

February 2, 2001 meeting, Board of Trustees

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)

OCTOBER – DECEMBER 2000 (contd)

WHEREAS during the period covered, the Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 39 waivers of competitive bidding requirements for annual purchases totaling approximately \$9,353,000 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 21 waivers of competitive bidding requirements for annual purchases totaling approximately \$7,056,200 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of October - December 2000, is hereby accepted.

(See Appendix XXVI for background information, page 613.)

BUDGET VERSUS ACTUAL REPORT
FISCAL YEAR 1999-2000

Resolution No. 2001-92

Synopsis: The Budget versus Actual Report for FY 2000 is submitted for approval.

WHEREAS the FY 2000 audit of the University's income and expenditures is now complete; and

WHEREAS the Current Funds Budget for FY 2000 has been compared to the audited income and expenditures for FY 2000 and any significant variances explained:

NOW THEREFORE

BE IT RESOLVED, That the Budget Versus Actual Report for FY 2000 be approved: and

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting and file these materials with the official records of this University.

(See Appendix XXVII for background information, page 615.)

AUTHORIZATION FOR 2001 SMALL CAPITAL IMPROVEMENT PROJECTS

Resolution No. 2001-93

Synopsis: Acceptance of the listing of anticipated 2001 small capital improvement projects, each estimated at \$1,000,000 or less, and authorization for the University to proceed with these projects, with subsequent reporting to the Board at its Fiscal Affairs Committee are proposed.

WHEREAS consistent with the fiduciary duty of the Board of Trustees regarding project oversight and approval, the delegation of authority to proceed with projects specified in the attached list is designed to expedite the University's ability to move forward with capital projects on a timely basis and ensure the most effective use of University resources; and

February 2, 2001 meeting, Board of Trustees

AUTHORIZATION FOR 2001 SMALL CAPITAL IMPROVEMENT PROJECTS (contd)

WHEREAS the Board has received the attached listing of capital improvement projects estimated at a total project cost of \$1,000,000 or less each that are anticipated and/or needed to be undertaken during 2001, subject to scheduling and funding availability; and

WHEREAS the President has recommended that the University be permitted to undertake, in accordance with established procedures, those listed capital improvement projects without additional Board authorization of each such project:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized, without any additional authorization or approval from this Board being necessary, to select qualified architectural and/or engineering firms as necessary, with the fees for these services to be negotiated between the firms selected and the University, and to request construction bids in accordance with established University procedures, and if satisfactory bids are received, to award contracts for any capital improvement project on the attached 2001 listing of projects estimated at \$1,000,000 or less; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance shall submit reports as appropriate to the Fiscal Affairs Committee for any such project undertaken pursuant to this authorization in which the total actual project cost exceeds \$1,000,000 and shall present to the Board an annual report on capital improvement projects completed, under construction, or in planning or design during 2001 and a written report to the Fiscal Affairs Committee of this Board on all contracts awarded pursuant to this authorization.

(See Appendix XXVIII for background information, page 621.)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT
OF CONSTRUCTION MANAGER, REQUEST FOR
CONSTRUCTION BIDS, AND REPORT OF AWARD OF CONTRACTS**

Resolution No. 2001-94

HEART HOSPITAL
GRADUATE AND PROFESSIONAL STUDENT HOUSING
KNOWLTON SCHOOL OF ARCHITECTURE
DOAN AND RHODES HALLS – MATERIAL HANDLING SYSTEM PHASE II
STUDENT FAMILY COMMUNITY CENTER AT BUCKEYE VILLAGE
SISSON HALL COMPLETE SHELLED SPACE
WEXNER CENTER RENOVATIONS
OARDC – CENTRAL CHILLED WATER PLANT
DODD HALL AND DAVIS CENTER – ROOF REPLACEMENT

Synopsis: Authorization to employ a construction manager for the Heart Hospital project, authorization to employ architect/engineering firm for the Wexner Center Renovations and Student Family Community Center at Buckeye Village projects, authorization to request construction bids for the Graduate and Professional Student Housing and Knowlton School of Architecture projects, authorization to employ architect/engineering firms and request construction bids for the Doan and Rhodes Halls – Material Handling System Phase II and Sisson Hall Complete Shelled Space projects, and acceptance of the report of award of contracts for the OARDC – Central Chilled Water Plant and Dodd Hall and Davis Center – Roof Replacement projects are requested.

February 2, 2001 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT
OF CONSTRUCTION MANAGER, REQUEST FOR
CONSTRUCTION BIDS, AND REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the University desires to employ a construction manager to assist with the design of a new heart hospital to house an integrated cardiothoracic surgery and cardiology program; and

WHEREAS the total estimated project cost is \$82,200,000 and the total estimated construction cost is \$51,000,000, with funding provided by House Bill 640 (\$2,610,000) and gifts to the Medical Center (\$79,590,000); and

WHEREAS the University desires to construct housing for graduate and professional students to include housing for undergraduates and undergraduate scholar students as well as a limited amount of retail space; and

WHEREAS this project received approval by this Board on April 4, 1999, and the scope of the project now has increased beyond that originally approved by this Board, with a revised total project cost of \$27,222,480 and the total estimated construction cost of \$24,000,000, with funding provided by University bond proceeds with debt service paid by Housing, Food Services and Event Centers; and

WHEREAS the University desires to construct a new facility to house the programs of Architecture, City and Regional Planning, and Landscape Architecture; and

WHEREAS this project received approval by this Board on November 1, 1996, and the scope of this project now has increased beyond that originally approved by this Board, and with a revised total project cost of \$26,000,000 and the total estimated construction cost of \$20,388,409, with funding provided by House Bill 748 (\$233,280), House Bill 790 (\$1,000,000), House Bill 850 (\$9,000,000), and gifts to the University (\$15,766,720); and

WHEREAS University Hospitals desires to proceed with phase II of the Doan and Rhodes Halls Material Handling System project for the purchase and installation of the Automated Guided Vehicle System; and

WHEREAS the total estimated project cost for this phase of the project is \$7,500,000 and the total estimated construction cost is \$6,500,000, with funding provided by University Hospitals; and

WHEREAS the University desires to construct a new community facility to provide an integrated approach to addressing the needs of the University Child Care and ACCESS Program for single parents and provide a new community facility at Buckeye Village; and

WHEREAS the planning funds for this project in the amount of approximately \$250,000 will be made available from future University bond proceeds with debt service paid through Housing, Food Services, and Event Centers' Buckeye Village revenues; and

WHEREAS the University desires to complete the shell space in the Sisson Hall basement to provide for needs of the College of Veterinary Medicine; and

WHEREAS the total estimated project cost is \$2,292,279 and the total estimated construction cost is \$1,814,400, with funding provided by Veterinary Medicine; and

WHEREAS the University desires to provide HVAC renovations and roof repair at the Wexner Center for the Visual Arts; and

WHEREAS the planning funds for this project in the amount of \$1,000,000 have been made available from House Bill 640; and

WHEREAS the planning phase will determine the total project cost and total construction cost for these renovations; and

February 2, 2001 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT
OF CONSTRUCTION MANAGER, REQUEST FOR
CONSTRUCTION BIDS, AND REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS resolutions adopted by the Board of Trustees on November 1, 1996 and October 1, 1999 authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

(* Recommended alternates included in these amounts)

OARDC – Central Chilled Water Plant

This project provides a building for the chillers, new central chillers, chilled water distribution system, and connections to the existing building cooling systems. The total project cost is \$6,993,754; funding is provided by House Bill 748 (\$5,098,754), House Bill 790 (\$770,000), House Bill 850 (\$1,000,000), and House Bill 904 (\$125,000). The estimated completion date is June 2002. The contracts awarded are as follows:

Design:	Bacik, Karpinski & Associates, Cleveland, OH
General Contract:	Ben Imhoff Construction, Wooster, OH
Amount:	\$683,633 +
Estimate:	\$449,000 +
Combined Plumbing/HVAC	
Contract:	North Central Mechanical, Inc., Bradford, PA
Amount:	\$5,056,000 * +
Estimate:	\$4,821,000 * +
Electric Contract :	Hilscher-Clark Electric Co., Canton, OH
Amount:	\$290,700 *
Estimate:	\$507,500 *
Total All Contracts:	\$6,030,333 *
Contingency Allowance:	\$422,592
Total Project Cost:	\$6,993,754

+ The General Contract and Combined Plumbing/HVAC Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Dodd Hall and Davis Center – Roof Replacements

This project replaces the roofing on both buildings. The total project cost is \$1,020,293; funding is provided by University Hospitals. The estimated completion date is February 15, 2001. The contract awarded is as follows:

Design:	Robert H. Fuller & Associates, Columbus, Ohio
General Contract:	The Childers Corporation, Columbus, Ohio
Amount:	\$865,709
Estimate:	\$865,710
Total All Contracts:	\$865,709
Contingency Allowance:	\$73,734
Total Project Cost:	\$1,020,293

NOW THEREFORE

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT
OF CONSTRUCTION MANAGER, REQUEST FOR
CONSTRUCTION BIDS, AND REPORT OF AWARD OF CONTRACTS (contd)**

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Doan and Rhodes Halls – Material Handling System Phase II, Student Family Community Center at Buckeye Village, Sisson Hall Complete Shelled Space, and Wexner Center – Building Renovations projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for the Heart Hospital project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Doan and Rhodes Halls – Material Handling System Phase II project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That, subject in each case to the execution of a memorandum of understanding among the offices involved, the President and/or Vice President for Business and Finance be authorized to request construction bids for the Graduate and Professional Student Housing, Knowlton School of Architecture, and Sisson Hall Complete Shelled Space projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the OARDC – Central Chilled Water Plant and Dodd Hall and Davis Center – Roof Replacement projects is hereby accepted.

(See Appendix XXIX for maps, page 623.)

AUTHORIZATION TO ENTER INTO JOINT USE AGREEMENTS

Resolution No. 2001-95

OSU GATEWAY PARKING GARAGE
NICKLAUS CENTER PHASE II
NATURAL HABITAT RESEARCH SITE IMPROVEMENTS PHASE IV
CLEVELAND BOTANICAL GARDENS

Synopsis: Authorization to enter into joint use agreements for the OSU Gateway Parking Garage, Nicklaus Center Phase II, Natural Habitat Research Improvements Phase IV, and Cleveland Botanical Gardens pass-through projects is proposed.

WHEREAS the University has received an appropriation of \$4,500,000 to support the construction of a parking garage for the OSU Gateway area, an appropriation of \$500,000 for the purchase of equipment for the Nicklaus Center Phase II, an appropriation of \$500,000 for the construction of additional facilities for the Natural Habitat Research Site Improvements (Wilds), and an appropriation of \$500,000 for the Cleveland Botanical Gardens; and

February 2, 2001 meeting, Board of Trustees

AUTHORIZATION TO ENTER INTO JOINT USE AGREEMENTS (contd)

WHEREAS, in accordance with rules of the Ohio Board of Regents, the University and any entity receiving pass-through appropriations must enter into a joint use agreement to provide for the University's benefit in administering the appropriation; and

WHEREAS in support of these projects the University will receive benefits regarding the use of these facilities:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to enter into Joint Use Agreements as necessary for the OSU Gateway Parking Garage, Jack Nicklaus Center Phase II, Natural Habitat Research Site Improvements, Phase IV, and Cleveland Botanical Gardens.

(See Appendix XXX for maps, page 633.)

AGREEMENT WITH MEDOHIO HEALTH, INC.

Resolution No. 2001-96

Synopsis: Approval of partial forgiveness and restructuring of loans to MedOhio Health, Inc., is recommended.

WHEREAS, pursuant to authorization by the Board of Trustees of The Ohio State University, the University and MedOhio Health, Inc., entered into an agreement for the development of a health insuring corporation including securing a Certificate of Authority; and

WHEREAS, in accordance with that agreement, the University loaned \$3,300,000 to MedOhio Health for the development of a health insuring corporation; and

WHEREAS, MedOhio Health, through its subsidiary MedOhio Health Plan, applied for and received a Certificate of Authority; and

WHEREAS, MedOhio Health has recommended, with the concurrence of the University Hospitals Board, that the Certificate of Authority be released because the operation of a health insuring corporation will no longer serve the teaching, service and patient care missions of the University or its Medical Center; and

WHEREAS, the University Hospitals Board endorses MedOhio Health's request that \$1.5 million of the loan (and accrued but unpaid interest) be forgiven by the University and that the remaining \$1.8 million be paid back to the University; and

WHEREAS, pursuant to authorization by this Board, the University and MedOhio Health entered into an agreement for the development and operation of a home health care program for patients served by the Medical Center; and

WHEREAS, in accordance with that agreement, the University loaned \$3,200,000 to MedOhio Health for the purpose of developing and operating the home health care program; and

WHEREAS, MedOhio Health, through an affiliated entity University Home Care Services, has been operating such a home care program since 1996; and

AGREEMENT WITH MEDOHIO HEALTH, INC. (contd)

WHEREAS, this home health program has provided necessary services for patients, has facilitated appropriate discharges from hospitals, has served as a teaching site for medical allied health profession students, and has supported the continuation of research protocols; and

WHEREAS, the University Hospitals Board has approved the request of MedOhio Health to restructure the existing loans related to the home health program:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees acknowledges that MedOhio Health, Inc., has fulfilled the terms of its agreement for the securing of a Certificate of Authority to operate a health insuring corporation; and

BE IT FURTHER RESOLVED, That the Board of Trustees authorizes the University and its Medical Center to forgive \$1,500,000 of the loans made to MedOhio Health for the purpose of developing a health insuring corporation and the accrued and unpaid interest on the entire loan and the repayment of the remaining \$1.8 million, upon such terms and conditions as determined to be in the best interest of the University; and

BE IT FURTHER RESOLVED, that the Board of Trustees authorizes the University and its Medical Center to renegotiate the terms of the loan made to MedOhio Health for the purpose of developing and operating the home health agency, upon such terms and conditions as determined to be in the best interest of the University.

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, McFerson, and Judge Duncan, and Ms. Longaberger.

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STRATEGIC INDICATORS REPORT

Mr. William J. Shkurti:

Good morning, Mr. Chairman and members of the Board. As we move forward with the Academic Plan, it's important we are able to measure our progress, and so this an important benchmark. One measure of progress, obviously, is the wonderful students that addressed the Board this morning. Other measures are some statistical measures we call strategic indicators, and Alice will elaborate on these.

I just want to make two points. One is that the indicators you're seeing this morning really are just a sample of what are a wider set of strategic indicators that cover a variety of areas, including, very importantly, activities in individual colleges and departments, which are really the core of what we do.

The second point I'd like to make is that you'll see that we're measuring ourselves against other universities as one way of looking at this, and also against ourselves. As Alice will explain, the universities we're measuring against are the top public institutions in the country. We think it's very important we take a very frank and fresh look at how we're performing in relationship to those institutions. So you will see, in some cases, we're doing very well. In some cases we need to do better.

The point I would stress, and it's a report I'll be giving in a month, is when you compare us to these universities in terms of financial support, we really are not supported as strongly as they are, yet we are doing very well. So I think it's

STRATEGIC INDICATORS REPORT (contd)

Mr. Shkurti: (contd)

appropriate as the state budget process starts to gear up to think about all the wonderful things we do for the State of Ohio on a relatively narrow resource base. And with just a little bit more money, I think, the University will be able to do a tremendous amount more for the people of Ohio, and that's really what we're dedicated to do.

At this point, I would like to introduce Alice Stewart, director of the Office of Strategic Analysis, and faculty member in the Fisher College of Business, where she teaches business strategy, appropriately enough. Dean Alutto and I share her salary and I think we're very fortunate because we get two FTE's out of one salary for all the work that Alice does. We're very fortunate to have her with us, and I will now turn the presentation over to Alice.

Dr. Alice C. Stewart: [PowerPoint Presentation]

Good morning, and thank you for having me come and speak about this. Just to review a little, I spoke to you a couple of years ago on how we selected the benchmark universities that we use for our strategic indicators project. Then I came back again to talk about our first iteration of strategic indicators. Since that time, we've developed this document to a much larger set of measures and evaluation points. It looks something more like this, if you print it all out. Luckily, today you are not going to have to sit through all of that.

What we have also done is that, since the time that I talked to you last about strategic indicators, the Academic Plan has been more clearly articulated and presented around the University and in Ohio. And so the strategic indicators have been reorganized, revised, and added to in some places in order to reflect the goals of the Strategic Plan, and then to coordinate these two efforts. These two efforts are not independent, but are linked efforts in trying to not only move the University forward, but to try to measure our progress as well.

As I'm sure you know, the goals of the University plan are: to build a world-class faculty, define us as a leading land grant, enhance teaching and learning, enhance and better serve the students, create a diverse University community, and help build Ohio's future.

In looking across-the-board at many of the indicators that we have, I would say that right now, the conclusions that we have, from the data that we've collected, would look something like this. We have some mixed results regarding building a world-class faculty, we're holding steady as a leading land grant, we have a little bit of mixed progress in teaching and learning, and we can demonstrate some definite improvement in the context of serving the student body. We also have mixed results in our last two goals.

So what I'm going to do in just the next few minutes is pull out just a few indicators, a few measures, for you to look at today. There are more, and they are going to be released and available on the web server connected to The Ohio State University web page immediately after the meeting.

The first is our goal of building a world-class faculty. One of the measures that we look at is the improvement in our market share for citations. Now, academic citations are a direct measure of the impact of your academic work. The good news is that over time our academic citation share has been increasing. The bad news, and why it's a little bit of a mixed result, is that we still aren't where our

STRATEGIC INDICATORS REPORT (contd)

Dr. Stewart: (contd)

benchmark universities are, but we are certainly closing the gap. The gap is definitely narrowing and as we move forward with the Academic Plan, that gap should contract even more.

Another bright spot is our research funding. One measure that we use is looking at our share of federal research dollars. That's a very competitive pot of money and being able to obtain your share and make your share grow is a good reflection of your ability as a university. In our case, along with some other universities – Illinois, Michigan, UCLA – we're around the same gain that they made in the last year of about .022%. These are large numbers, so the percentages are rather small, but that represents about \$35 million that we've improved in the last year.

Now, we can't say that everything is great because there is still a gap of about \$100 million between ourselves and our average benchmark university. I know that number seems pretty large, but when you think about it, that's 20 faculty with \$5 million grants. So it's not an impossible goal and it is something that can be closed with the implementation of the Academic Plan.

Another thing that we look at are our honors and awards of the faculty. Dr. Kirwan mentioned one that isn't on this list because it was in 2001, so I guess we can count it next year. But these are honors and awards that are national honors – things like Guggenheims, Fulbright Scholarships, Nobel Prizes, and National Endowment for the Humanity Fellows, and so forth.

From 1998 we went from 9 to 18 in 1999 and then went down a little bit this year to 13. These numbers are going to go up and down depending on the year. But, what we do feel is that the trend generally is positive and we expect, again, with the implementation of the Academic Plan, that those numbers should improve.

Another one of our goals is to be a leading land grant university and we have a couple of measures to show you today. One is to look at how our professional graduate programs are ranked, and seven of OSU's professional programs are ranked in the top 25 by *U.S. News and World Report*. These are programs like business, education, and engineering – here are some of our better professional schools. As you can see, we are very competitive with our benchmark universities, and these benchmark universities are a tough standard. So we're doing very well.

Among the academic doctoral programs ranked by *U.S. News and World Report*, however, we're not doing quite as well. We only have four that are ranked in the top 25, as of the most recent reports from *U.S. News*. The high numbers by Wisconsin and ULCA are 12, and 11 by Texas and Michigan, so we do have a ways to go in our academic programs.

A third goal is enhancing teaching and learning. One thing that we have implemented over the last several years is the activity of surveying our undergraduates, faculty, and graduate students. We have started a cycle of surveying where, in 1988, we did our first undergraduate survey. We'll be doing that again this year, so we'll be able to update some of this information. But generally, we do this so we can get some idea of how we are relative to some benchmark information. Now, these are not benchmarked against our benchmark universities, but other research one public universities that have participated in these surveys.

STRATEGIC INDICATORS REPORT (contd)

Dr. Stewart: (contd)

As you can see, our numbers are pretty good – we're very similar. Our faculty satisfaction, our graduate student satisfaction is right on the money. Our undergraduate satisfaction is a little bit lower; hopefully, we'll see that number go up just a little bit in the next round that we'll be doing this spring.

In terms of enhancing and improving and better serving the student body, we have a couple of measures. One thing that we look at is the percent of freshman in the top 25 percent of their high school class. This is a good proxy for student quality and is something that is looked at quite closely by the *U.S. News* in their rankings of universities. In 1999, 61 percent of OSU's incoming freshman ranked in the top 25 percent. As you can see, the trend is definitely up, and we expect that to continue also into the future.

Along with the improved ... (missing audio)...sometimes it is hard to make those things move. But we have seen steady progress over time and again our projection for 2000 is for this number to go up as well.

Another way that we enhance and serve the student body is by making this a safe place for students to be. Usually at some point during the year, the Ohio Poll asks the students their perception of safety on campus. For the last three years, our numbers have, again, increased in a year-to-year basis. In the most recent year, 1998-1999, 82 percent of the students reported feeling safe on campus. Now, interestingly this past year the Ohio Poll asked them, "Well, how safe do you feel off campus?," and those numbers were only about 61 percent, but I think that means that our students are rational people. As the Gateway Project continues, I think that those numbers will probably go up as well.

Creating a diverse University community is certainly an objective of the Academic Plan and the Diversity Plan. One of the things that we look at is the percentage of underrepresented minority faculty and, in this case, you can see that our numbers are in the middle of the pack. Our numbers are about 5 percent, as compared to a high of almost 8 percent at Michigan and UCLA. So, we're not really where we'd like to be, but we are in the middle of the pack, and I think we are trying to make some progress on that number as well.

One area of concern that is consistent with the goals of the Younkin Success Center is improvement in the six-year graduation rate of African American students at OSU. As you can see from the numbers here, we've consistently stayed at about the same place. We really haven't made any breakthrough movements in this particular area and we are trailing our benchmark universities to some extent. However, this is a problem that is not just at OSU, but is a problem across higher education in dealing with graduation rates.

Finally, the goal of helping build Ohio's future. One of the interesting measures that we look at is invention disclosures, and invention disclosures are the first step in the patenting process. So as your invention disclosures increase, you expect your patents and licensing to increase as well. The good news is that, again, you can see a definite upward trend over the last several years.

In 1999, 100 invention disclosures were reported. Now, that's the good news. The bad news is that as we've been increasing, our benchmark universities have been increasing as well, and the gap is still there. The gap is closing a little bit, but it's definitely still there.

STRATEGIC INDICATORS REPORT (contd)

Dr. Stewart: (contd)

Again, many of the initiatives of the Academic Plan deal directly with these types of things, and the implementation of the Academic Plan should cause us to have even more movement in closing the gap to a smaller level.

You can see here we have a big gap with our benchmark universities and it also underscores, I think, the importance of investing in this type of technology activity. Income from licensing – our benchmark universities – the average is just under \$8 million in licensing income a year, while our numbers are just under \$2 million a year. So that's a big gap and a potential source of revenue for the University.

As I said, this is just a sampling of the types of assessment and performance measurement that we're doing for the University. Our complete report exists on the web at this address: http://www.rpia.ohio-state.edu/Strategic_analysis/strategic_indicators/2001.pdf

I do want to say that, even though part of my job is to come up with institutional measures and to look at the performance of the University, there are a lot of people in the University that are doing performance assessment, performance measurement on a function-by-function basis. So I think this is something that Ohio State can be very proud that we're engaged in and shows our dedication to long-term improvement toward our goal of reaching the top ten. Thank you.

Mr. Skestos:

Thank you, Dr. Stewart. Anything further, Bill?

Mr. Shkurti:

No.

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STUDENT GOVERNMENT REPORT

Mr. Robert B.J. Schuerger: [PowerPoint Presentation]

Thank you very much for the opportunity to address the Board today. I just want to raise some big issues that affected the students this year.

One of the big issues that has affected the students is GEC -- General Education Curriculum -- requirements reform at the University. Earlier in the summer, we talked a lot about GEC reform during the USG campaign and what we can do to improve the four-year graduation rate at the University. One of the things that we discussed was that fact that there are a lot of GECs.

It seems that the majority of students that come to Ohio State do switch majors and when they switch, they have to retake classes, and therefore, they're here at Ohio State longer. So we've been working with the administration, particularly President Kirwan and the faculty in forming our own GEC reform plan.

We took our GEC reform plan to them this summer -- it was not actually put into implementation -- to show the University that the students do care about this issue and that GEC reform is at the forefront of our agenda. I'm happy to say

STUDENT GOVERNMENT REPORT (contd)

Mr. Schuerger: (contd)

today, that in the Academic Plan it appears that there is a commitment to graduate more students in four years with a better education at The Ohio State University. So we were very successful in advocating on that issue and making that a reality.

The next issue is the *Code of Student Conduct*. Yes, I do live on 13th Avenue; no I did not incite any riots that took place during Michigan week or before that. But the *Code of Student Conduct* has become a big issue with the students, particularly with the University trying to increase its jurisdiction to off-campus. This will be voted on at this University Senate meeting. We have worked with the administration, the Council of Student Affairs, and the other student governments to work some safety valves into the Code to ensure that students' rights are protected under the *Code of Student Conduct*, including: an advisory committee that we're going to put together to review the process; and increasing the number of undergraduates from six to 12 on the University judicial panel.

Student safety -- a perennial issue at Ohio State. The Undergraduate Student Government has been very active in expanding the Buckeyes Watch with the other student governments, Ron Meyers and the Evans Scholars. With Campus Partners and the other student governments we are fighting to keep the blue lights in the off-campus area and on campus. Also, coming up with our own off-duty officer proposal to hopefully curb the riot-type behavior that's taking place in the off-campus community. Advocating to increase the Student Escort service. We recently purchased two new vans so that service could continue -- a very important service for students. It helps transport students from one place to another safely at The Ohio State University.

The Landlord Library is a cornerstone project that the Undergraduate Student Government does every year. This is in its fourth year of publication, and I'm happy and proud to say that it's out earlier than ever before. It's out now so that students can use it when they're going to rent houses or apartments in the off-campus community. Again, part of the Undergraduate Student Government's job is to hold landlords accountable for their actions and make sure that students are better educated about the choices they make when they are going to live in the off-campus community.

Then recently USG sponsored, with the Residence Halls Advisory Council, the Academic Plan Town Hall Meeting. This meeting was to bring better awareness to the students and allow them to ask direct questions to the administration. President Kirwan, Provost Ed Ray, and Dean Martha Garland attended this function, and it was a very successful event. It was so successful that students came up and asked me, "Can we have more town hall meetings?" Now town hall meetings on what? We're not sure yet, but we do plan on having a town hall meeting again in another month. Whether it's on diversity or bringing the Academic Plan back, we're going to continue with those type venues.

Three initiatives that we're working on here in the future. The first initiative is a very exciting one with our Legislative Affairs team -- Zack Mayo, Keith Brock, and Zane Webb -- working on a sales tax exemption for college textbooks in the State of Ohio. Nine other states in the country, including New York and South Carolina, have similar-type sales tax exemptions. I don't know many student governments in the country that can say they have helped propose a bill to legislature to cut taxes for students. It sounds like we're running for state legislature or something, but, no, we're just here in student government

STUDENT GOVERNMENT REPORT (contd)

Mr. Schuerger: (contd)

advocating for students, advocating to cut taxes for students. I hope that we're successful as we continue to lobby the state legislature.

The second initiative -- working with Trustee McFerson -- is the lighting issue in the off-campus community; bringing better lighting to the University district to help improve safety off-campus. Right now, we're currently working with the electrical division of the city to make this a reality and put a plan into place, and then the next step is to work on the funding to bring the dollars home to invest in the University district. Mayor Coleman has made a commitment to the neighborhoods of this city, and, I believe, that the University district is a very important neighborhood in the City of Columbus. I think that we can make this a reality also, if not by the end of this year, the end of next year. I think you're going to see some movement in better lighting in the off-campus community.

The third initiative relates to the Greek community. Recently, the Greek community has been under fire and I think it's important that the University make a commitment to the Greek community. We have the Greek Task Force that's going to be coming out, but I also think it's important that we make the Greek houses more livable. I think by investing in technology, the connectivity issue, and bringing high-speed internet access to the Greek houses, will bring in more students to live in the houses and, therefore, improve the Greek community.

Those are three of the big issues that we're looking at right now and focusing on here this quarter and next year. I do want to say one thing -- fighting for students is something that the Undergraduate Student Government is known for. I believe that the job of the Undergraduate Student Government is: 1) to advocate student issues at the table of the administration; and 2) to hold the administration accountable to ensure that they're doing the best thing for students.

I do want to say that Bill Hall has been the biggest student advocate that I have worked with at this University and he has made all of our goals a reality as the interim vice president for Student Affairs. I just want to give Bill Hall a hand. Thank you, Bill. His love for students is as passionate as my fight is for them. Thank you very much.

Mr. Skestos:

Thank you, B.J.

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Thereupon the Board adjourned to meet Friday, March 2, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier
Secretary

George A. Skestos
Chairman

Waivers of Competitive Bidding Requirements October-December 2000

(APPENDIX XXVI)

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals - Professional Health Care Services	\$5,376,740	\$2,169,440	\$0	\$3,207,300
Hospitals - Equipment and Services	\$1,679,432	\$598,693	\$172,043	\$908,696
Instructional and Research Equipment and Services	\$3,073,107	\$2,170,989	\$0	\$902,118
Administrative Support Equipment and Services	\$6,142,430	\$4,255,925	\$1,382,979	\$503,526
Conference Facilities	\$137,500	\$137,500	\$0	\$0
TOTAL	\$16,409,209	\$9,332,547	\$1,555,022	\$5,521,640

*The Ohio State University
Board of Trustees - Fiscal Affairs Committee
February 2, 2001*

TOPIC:

Budget v. Actual

CONTEXT:

This is an annual report on the differences between budgeted and actual expenditures for FY 2000.

This report was presented for the first time three years ago.

SUMMARY:

- The records of the University budget system and the accounting system are in alignment. There was however, a larger than usual number of accounting adjustments due to the conversion to a new accounting system.
- General Fund resources were 4.6% over budget, primarily due to unanticipated non-recurring events such as higher interest rates and year-end accounting adjustments. General Fund expenditures were slightly over budget (0.6%), also due to a combination of year-end accounting adjustments and one-time expenditures.
- Resources for earnings units were 6.9% below budget and expenditures were 1.85% above budget, due to a number of events that are primarily of a one-time nature. These include lower than anticipated revenues at the University Hospitals System and an accounting adjustment regarding pass-through income at the Schottenstein Center.
- Restricted resources and expenditures exceed estimates by 9.3% due to increases in grants and contracts.
- Next year will be the first year under the new integrated PeopleSoft accounting and budget system. Some additional accounting adjustments are to be expected as the transition is completed.

ACTION REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval of attached resolution.

University Resource Planning and Institutional Analysis

Subject: FY 2000 Budget Versus Actual

Date: January 24, 2001

From: Barb DeYoung
John Lowe

To: William Shkurti
Lee Walker

Attached is a one-page spreadsheet analysis of Columbus Campus Budget Versus Actual for FY 2000 separated between General Funds, Earnings and Restricted resources and expenditures. The budget figures were taken from the FY 2000 revised budget as approved by the Board. The actual figures were taken from the audited financial statements for FY 2000. We have also attached a one-page spreadsheet for the total University. We did not provide notes on this because Columbus campus contributes the bulk of the variances and those are explained on the previous spreadsheet. The beauty of this spreadsheet is that it ties into the audited financials perfectly.

Although the spreadsheet does not show it, the total all-funds variances for resources and expenditures are 4.6% and 2.8%, respectively. It is interesting to note that the General Fund which we spend the greatest amount of time budgeting has the lowest variances while the Restricted Fund which is not a budget at all, but an estimate, has the greatest variances. The Earnings Funds which are a hybrid of budgets and estimates falls in between. Many of the individual variances are very small and further analysis of them is not needed at this time. However, there are some notable variances, which are explained below.

Variances in General Funds

Student Fees - \$9.4 million (3.0%) positive variance: Due to a planned enrollment reserve in the budget and a slightly higher than planned enrollment.

Grants, Contracts & Appropriations - \$10.0 million (23.5%) positive variance: Indirect cost recoveries were \$5 million higher than anticipated (net was only \$2.2 higher than anticipated) and there was a year-end audit adjustment of \$4 million for WOSU in-kind donations.

Endowment Income - \$1.4 million (41%) positive variance: Due to a greater than expected increase in unrestricted endowment income.

Temporary Investment Income - \$11.1 million (100%) positive variance: Due to higher interest rates, several unbudgeted items including year-end accruals (\$1.3

million which will get reversed the next year), one-time realized gains (\$2.6 million) and a timing delay in the transfer to the Hospitals of \$2.1 million causing General Funds investment income to be overstated. Also, a one-time year-end audit adjustment of \$1.0 million for the Foundation.

Auxiliaries: This item in the General Funds Budget is the General Funds support of the Student Unions, which is part of the General Fee. This is a non-mandatory transfer in the financials and is categorized in Instructional & General expense in the Actual column.

Variances in Earnings

Hospitals - \$55 million (9%) negative variance in revenue and a \$14 million (2.4%) positive variance in expenditures: The Hospitals also experienced some negative variances due in part to the purchase of University Hospitals East and Harding Hospital.

Auxiliaries – \$16 million (10%) negative variance in revenue and a \$20.4 million (12.5%) negative variance in expenditures: The year-end audit included a \$14 million reduction in both revenues and expenses relating to the Schottenstein Center events with non-OSU promoters. These revenues and expenses are considered a pass through to the outside promoters as opposed to university activity. Also on the expenditure side there was a one-time delay in the mandatory transfers for the 1999 bonds in FY 2000.

Temporary Investment Income: This is a classification issue. Actual includes investment income for earnings accounts and these are included in the Hospital and Auxiliary lines in the Budget. Also, actual includes several items not budgeted – investment income on budget reserves, Name & Seal Royalties and non-university revenue in service earnings accounts which need to be included in future budgets.

Other Sources of Income: This is a classification issue. Actual includes various activities that are included in the budget on the Other Sales & Services line.

Instructional & General Expense - a \$17.6 million (57%) positive variance: Actual includes transfers not included in the budget. Also, actual includes designated activities such as Patent and Name & Seal Royalties which were not included in the budget. We plan to include these items in the FY 2002 Budget. We also plan to align our budget more closely with actual activity from the previous year.

Other - a \$3.4 million (20%) positive variance: This category includes Separately Budgeted Research and Public Service. The FY 2000 Budget was adjusted to align more closely with Actual. However, there is some OSURF activity on residual contracts that we do not include in the Budget but need to determine if it should be.

Variances in Restricted

We do not budget for restricted activity. By definition, restricted revenue equals restricted expense; therefore, both the estimate and the actual are a reflection of the amount of resources expended in fiscal year 2000. These figures do not include restricted resources received and not expended. For example, the *estimate* of \$57.9 million for state appropriations was based on the actual receipt of revenue from the Board of Regents. The *actual* figure of \$53.3 million is the amount expended in fiscal year 2000. Because of this, there is a negative variance of \$4.5 million.

Auxiliaries: There is a \$2.9 million (49%) positive variance in this category. The Budget includes only Athletics grants-in-aid while Actual includes bowl expenses that vary widely depending on the bowl attended.

We have completed our fourth Budget Versus Actual report. In the past three years, we have worked with the Office of Accounting to facilitate changes that brought the actual financials in closer alignment to our Budget. We still need to make some additional changes to our FY 2002 Budget as noted above.

THE OHIO STATE UNIVERSITY
COMPARISON OF BUDGET TO ACTUAL
COLUMBUS CAMPUS FOR FY 2000
(In Thousands)

	General Funds		Earnings		Restricted		
	Revised Budget	Actual	% Variance	Revised Budget	Actual	Estimate (13)	% Variance
Resources							
State Subsidy & State Appropriations	325,657	325,957	0.09%				
Student Fees	309,129	318,595	3.06% (1)	7,000	7,375	57,890	53,339 -7.86%
Grants, Contracts & Appropriations	42,483	52,476	23.52% (2)			286,155	319,899 11.79%
Hospitals				612,371	557,029		
Auxiliaries				164,005	147,958		
Other Sales & Services				46,750	50,432		
Endowment Income	3,375	4,759	41.01% (3)			24,000	28,917 20.49%
Temporary Investment Income	11,025	22,137	100.79% (4)		3,447		
Other Sources of Income	6,004	5,654	-5.83% (9)		6,554		
Total Resources	697,673	729,578	4.57%	830,126	772,795	368,045	402,155 9.27%
Expenditures							
Instructional & General	630,042	635,120	0.81%	30,760	48,418	100,400	102,575 2.17%
Scholarships & Fellowships	40,685	40,580	-0.26%			36,000	36,732 2.03%
Hospitals				601,154	615,531	12,645	11,475 -9.25%
Auxiliaries	1,349	163,563	-100.00% (5)	163,563	142,894	6,000	8,923 48.72% (14)
Other	26,042	26,438	1.52%	17,000	20,426	213,000	242,450 13.83%
Total Expenditures	698,118	702,138	0.58%	812,277	827,269	368,045	402,155 9.27%

Notes:

General Funds:

- (1) Actual includes \$1.4M of COTA fees not included in budget. FY 2001 Budget includes COTA fees.
- (2) There was a \$4M audit adjustment for WOSU for in-kind donations that was not in the Budget. Also, indirect cost recoveries were \$5M higher than anticipated (net was only \$2.2 million higher than anticipated).
- (3) The variance is due to a greater than expected increase in the unrestricted endowment income.
- (4) Variance is due to higher interest rates plus unbudgeted items - YE accruals of \$1.3M (reversed following year), one-time realized gains of \$2.6M and a timing delay in the transfer of Hospital interest of \$2.1M. Also, an annual year-end audit adjustment for the Foundation of \$1.0M.
- (5) Classification issue: Actual General Funds support to Auxiliaries is included in Instructional & General Expenditures.

Earnings:

- (6) FY 2000 Hospital experienced a greater increase in expenditures than revenue due partly to the purchase of Hospitals East and Harding Hospital.
- (7) The majority of the variance is due to a \$14M year-end audit adjustment that reduced both revenues and expenses relating to the Schottenstein Center events with non-OSU promoters. Also, there is interest income in the Budget that is not in Actual.
- (8) Classification issue: Actual includes investment income for earnings accounts; they are included in Hospital and Auxiliary budget lines.
- (9) Classification issue: Included in Sales & Services line in the Budget.
- (10) Actual includes transfers and we do not include transfers in the Budget. Also, our Earnings' Budget is an estimate; we are working every year to align it more closely with actual.
- (11) The majority of the variance is due to a year-end audit adjustment of a \$14 million reduction in both revenues and expenses relating to the Schottenstein Center events with non-OSU promoters.
- (12) There is some OSURF activity in Actual that is not included in the Budget.

Restricted:

- (13) We do not budget for restricted activity. It is an estimate based on prior year trends.
- (14) The Budget only includes Athletics grants-in-aid while Actual includes bowl expenses which vary widely depending on the bowl attended.

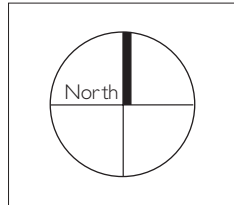
ANTICIPATED CAPITAL IMPROVEMENT PROJECTS
 TOTAL PROJECT COST OF \$1 MILLION OR LESS
 Submitted to the Board of Trustees February 2, 2001

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
ATI - Halterman Hall Fan Coil Replacement (Phase I)	\$116,659	House Bill 640	The first phase of a three-phase replacement program to improve consistency of heating and cooling in Halterman Hall
ATI - Learning Assistance Center	\$130,000	House Bill 850	Remove the partition walls on the first floor of Halterman Hall for more efficient use as office and classroom space.
ATI - Skou Hall Classroom Renovation	\$300,000	House Bill 640	Convert space previously occupied by the continuing education program to classrooms for use by various programs
ATI - Swine Gestation	\$120,471	House Bill 850	Construct a post frame building with a concrete floor for housing bred sows during their gestation periods at the Apple Creek Farm
Bevis Hall Roof Replacement	\$350,000	House Bill 640	Replace existing roof, insulation, concrete curbs, and caps and install OSHA fall protection
Campus Grounds - Neil Avenue Street Rebuild	\$500,000	House Bill 640	Upgrade base and repair road damage
Campus Grounds Street Rebuild	\$500,000	House Bill 640	Upgrade base and repair road damage near west campus parking lots
Campus Lighting Phase VII	\$500,000	House Bill 640	Continue to upgrade lighting to current standards in the north academic core to respond to continued safety concerns.
Central Chilled Water Loop 17th Avenue to Derby Hall	\$800,000	University Bonds	Connect existing chilled water lines in Neil Avenue and extend service along 17th Avenue to Derby Hall
Electro Science Laboratory Renovation	\$948,942	House Bill 640	Renovate space for wireless research, remove hazardous materials, bring into life safety compliance, provide street front upgrades, and renovate exterior drainage
Flight Laboratory Roof Replacement	\$250,000	House Bill 640	Remove existing rolled roof and install new totally adhered single ply roof membrane and insulation
Fry Hall Roof Replacement	\$140,000	House Bill 640	Remove existing coal-tar roof and lightweight fill and install modified bitumen roof membrane and OSHA fall protection
Graves Hall HVAC System Upgrade	\$550,000	House Bill 640	Replace 500 ton centrifugal chiller
Graves Hall Roof Replacement	\$300,000	House Bill 640	Remove existing built up roof and install modified bitumen roof membrane
Hayes Hall Roof Replacement	\$420,000	House Bill 640	Remove existing asphalt shingles and install new roofing felt, ice and snow guard, and slate tiles to match the building original
Kinnear Road Center Building "A" Roof Replacement	\$200,000	House Bill 640	Replace roof on the lower north side and the upper roof on the south side

ANTICIPATED CAPITAL IMPROVEMENT PROJECTS
TOTAL PROJECT COST OF \$1 MILLION OR LESS
Submitted to the Board of Trustees February 2, 2001

PROJECT NAME	AMOUNT	FUNDING SOURCE	DESCRIPTION
Lima - Galvin Hall Step Replacement	\$49,000	House Bill 640	Replace deteriorated landing, supports, and stair supports to the northeast exit stairway
Lima - Replace Delta Facility Energy Control System	\$77,503	House Bill 640	Replace the 12 year old energy control system with a modern system which will allow for greater flexibility in controlling and adjusting the system
Mansfield - Eisenhower Hall Cafeteria Seating	\$28,867	House Bill 640	Provide replacement of tables and chairs
Mansfield - Parking Lot Resurfacing and Striping	\$160,000	House Bill 640	Resurface and stripe parking lots #4 and #5 and stripe two small parking lots behind Fallertus Technical Building. Provide minor curb and sidewalk replacement
Marion - Parking Lot and Roadway Resurfacing	\$250,000	House Bill 640 and Marion Technical College	Roadway repair and repaving including curbs. Reseal and repave 3 parking lots
Newark - Lighting Retrofit	\$223,917	House Bill 640	Upgrade the existing bulbs and ballasts to a more efficient design and replace older, less efficient fixtures with new ones
OARDC - Wooster Phone System Replacement	\$986,350	House Bill 640	Replace the existing phone switch with a modern, effective switch; replace phone instruments as needed; and install new wiring within the building and cabling between buildings as needed
Research Center HVAC System Improvements	\$950,000	House Bill 640	Replace the steam distribution system and expand the chilled water plant
Rightmire Hall Roof Replacement	\$450,000	House Bill 640	Replace roof membrane, insulation, and install OSHA fall protection
Starling-Loving Hall Renovation	\$757,500	House Bill 640 and College of Medicine and Public Health	Renovate selected spaces in the basement M-Wing

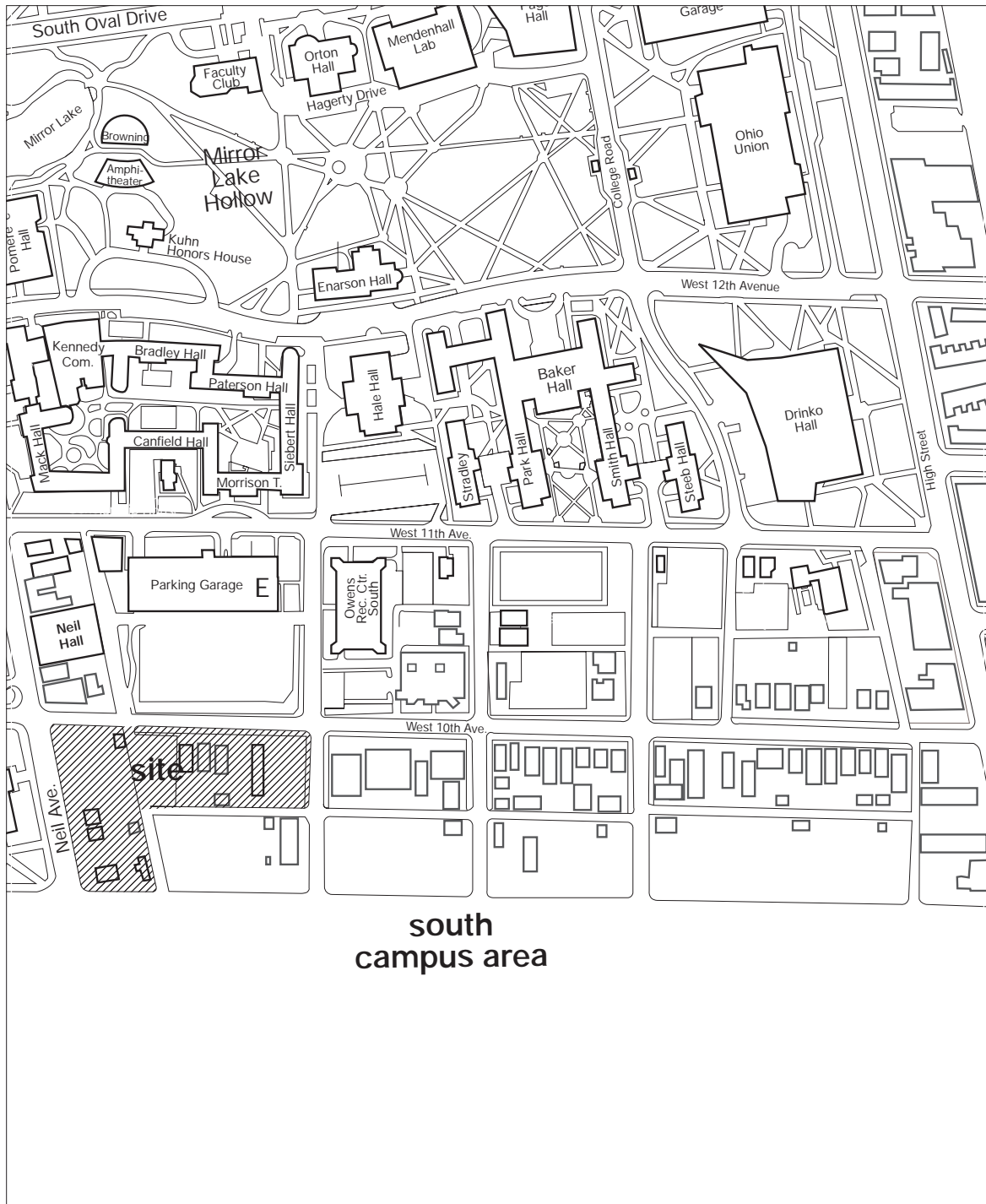
Heart Hospital



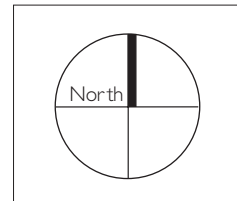
Office of Business and Finance
Office of Facilities Planning and Development

January 19, 2001

Graduate and Professional Student Housing



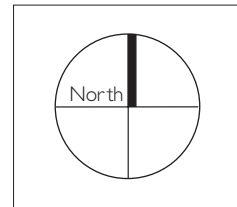
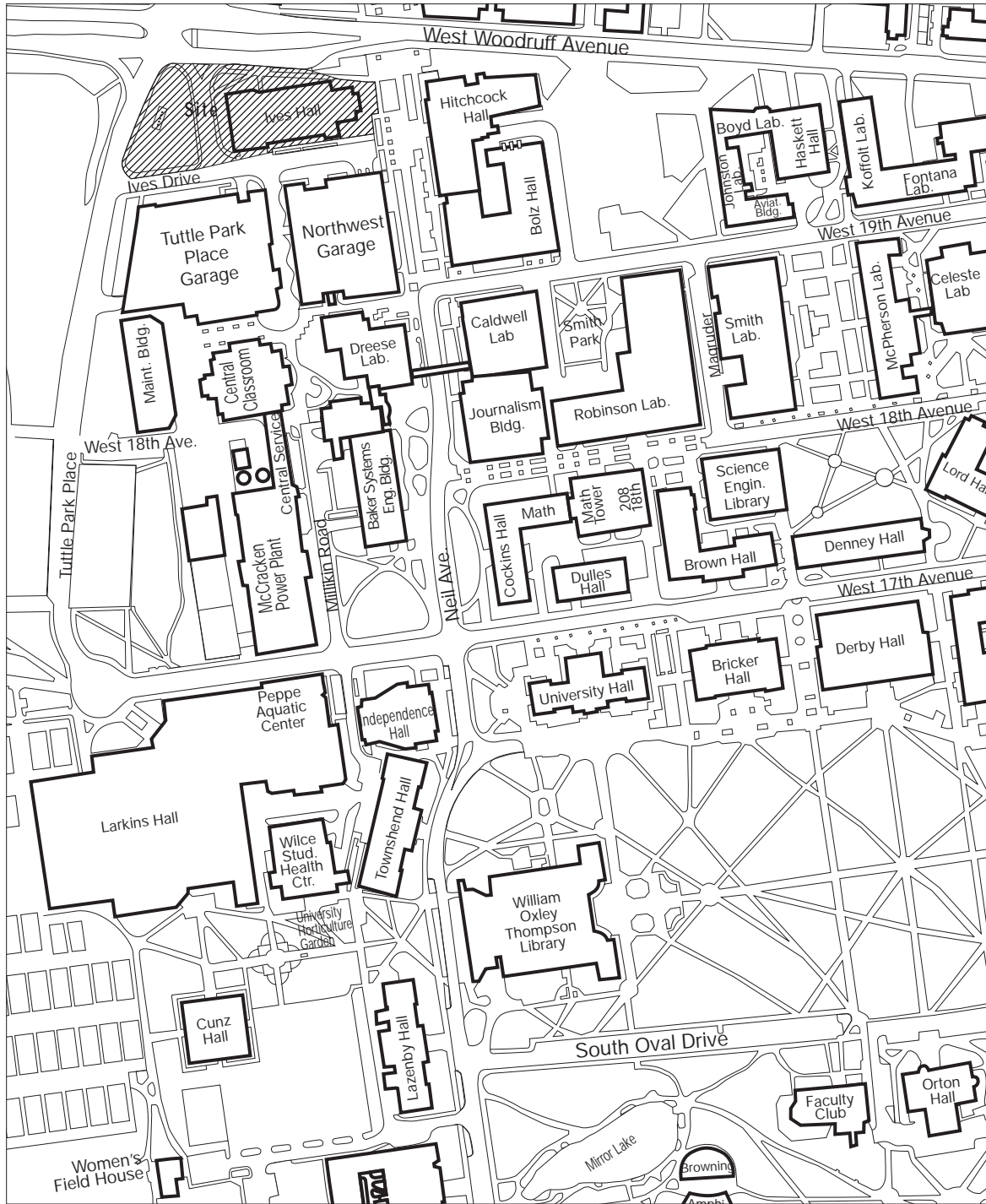
south
campus area



Office of Business and Finance
Office of Facilities Planning and Development

January 9, 2001

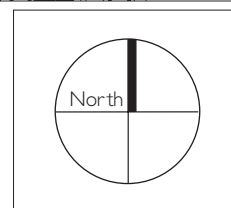
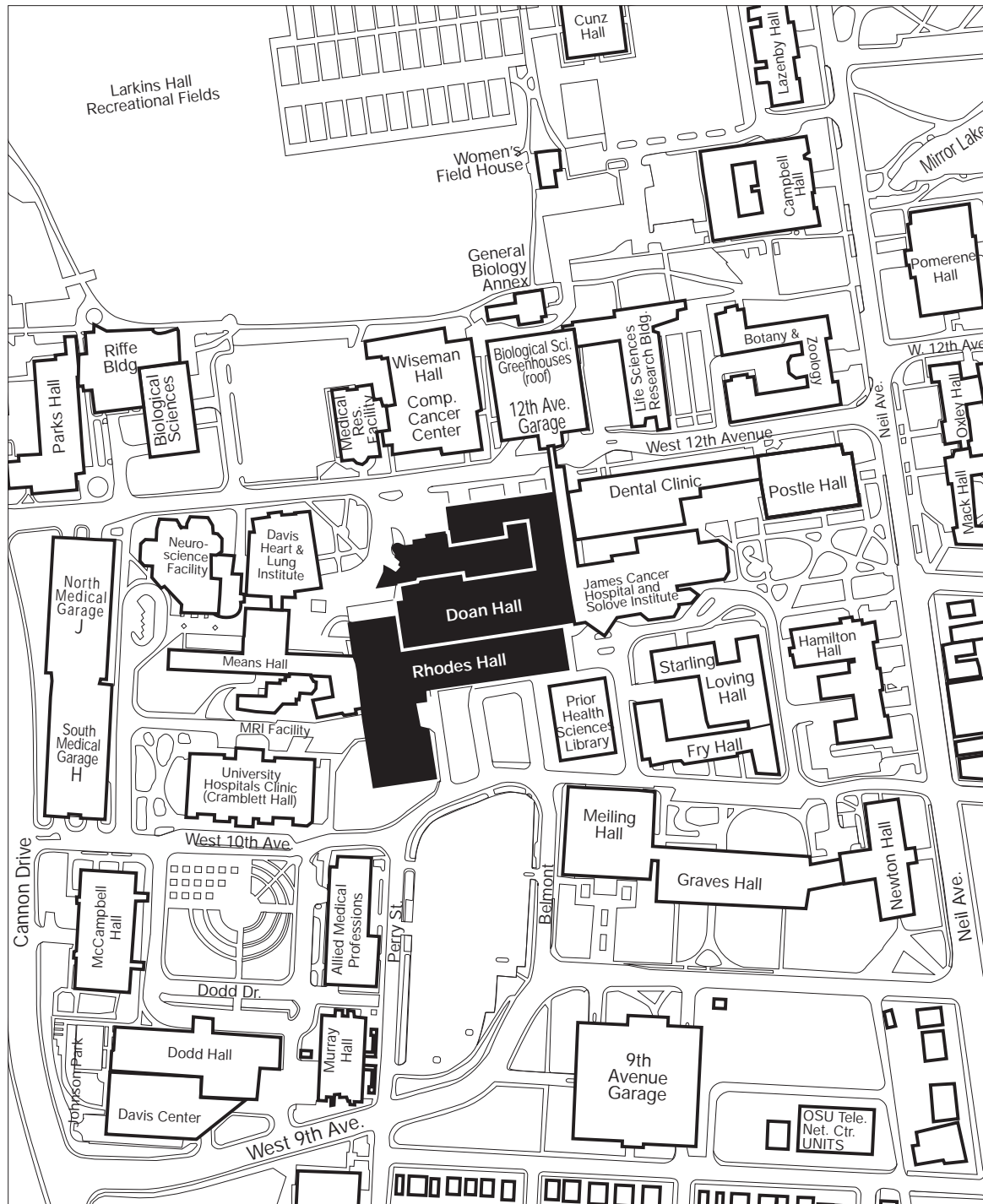
Knowlton School of Architecture



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

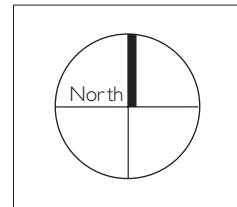
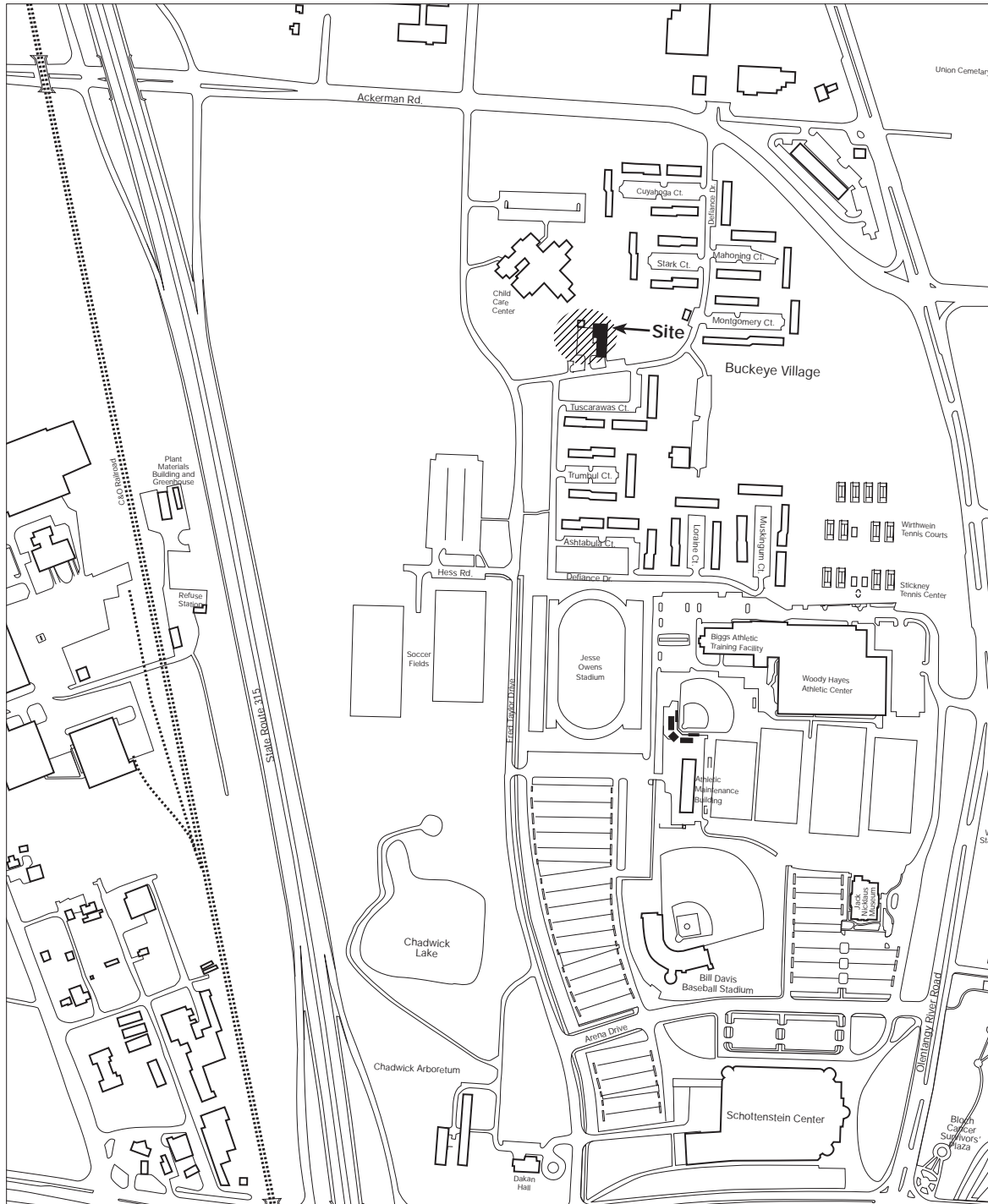
Doan / Rhodes Halls - Material Handling System Phase II



Office of Business and Finance
Office of Facilities Planning and Development

January 19, 2001

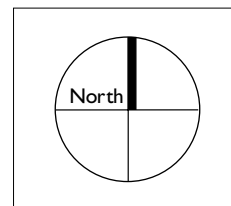
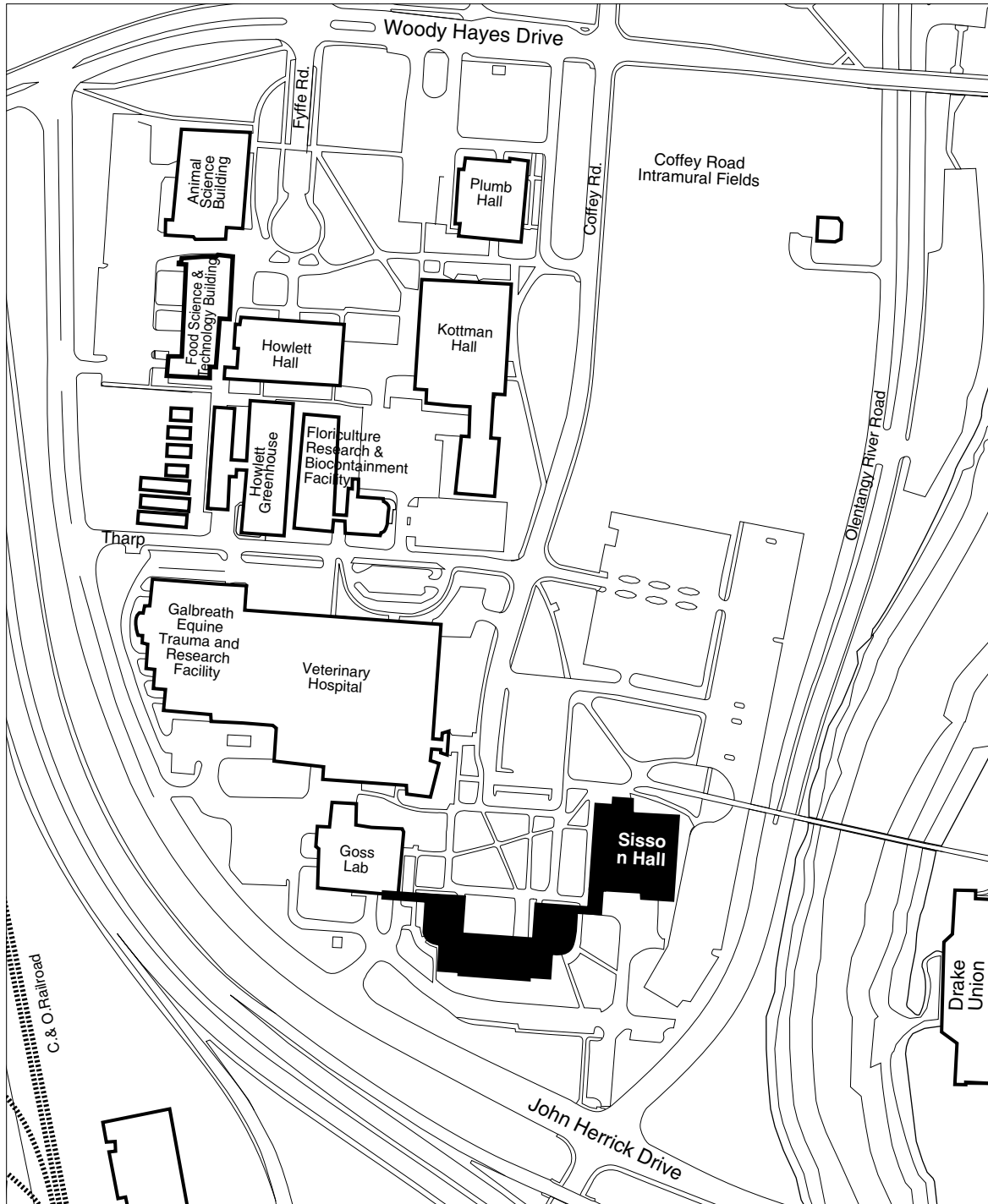
Student Family Community Center at Buckeye Village



Office of Business and Finance
Office of Facilities Planning and Development

January 10, 2001

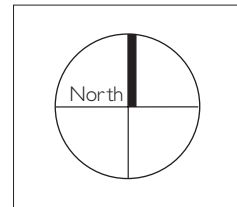
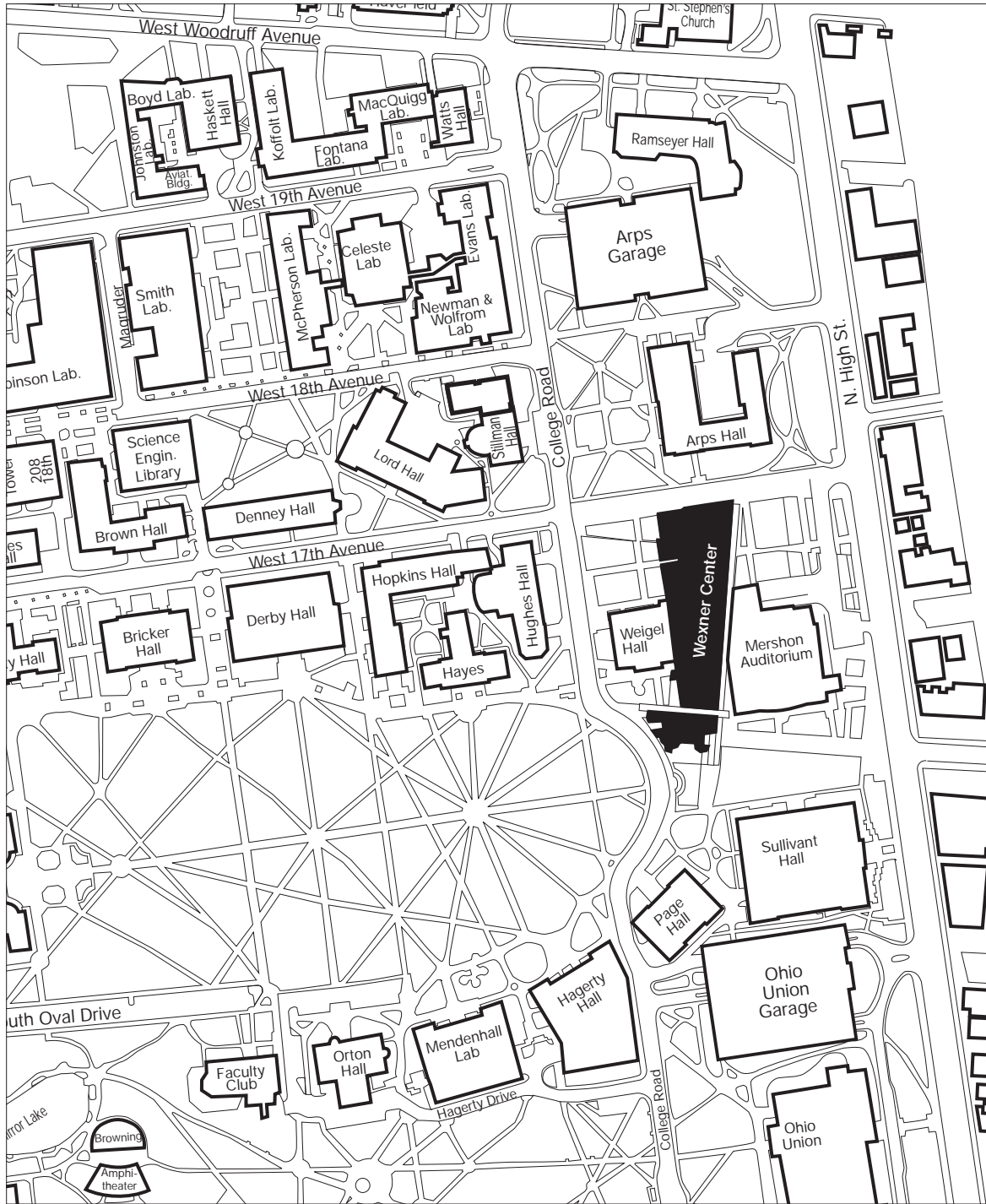
Sisson Hall Complete Shelled Space



Office of Business and Finance
Office of Facilities Planning and Development

January 17, 2001

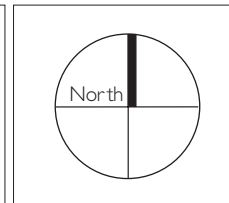
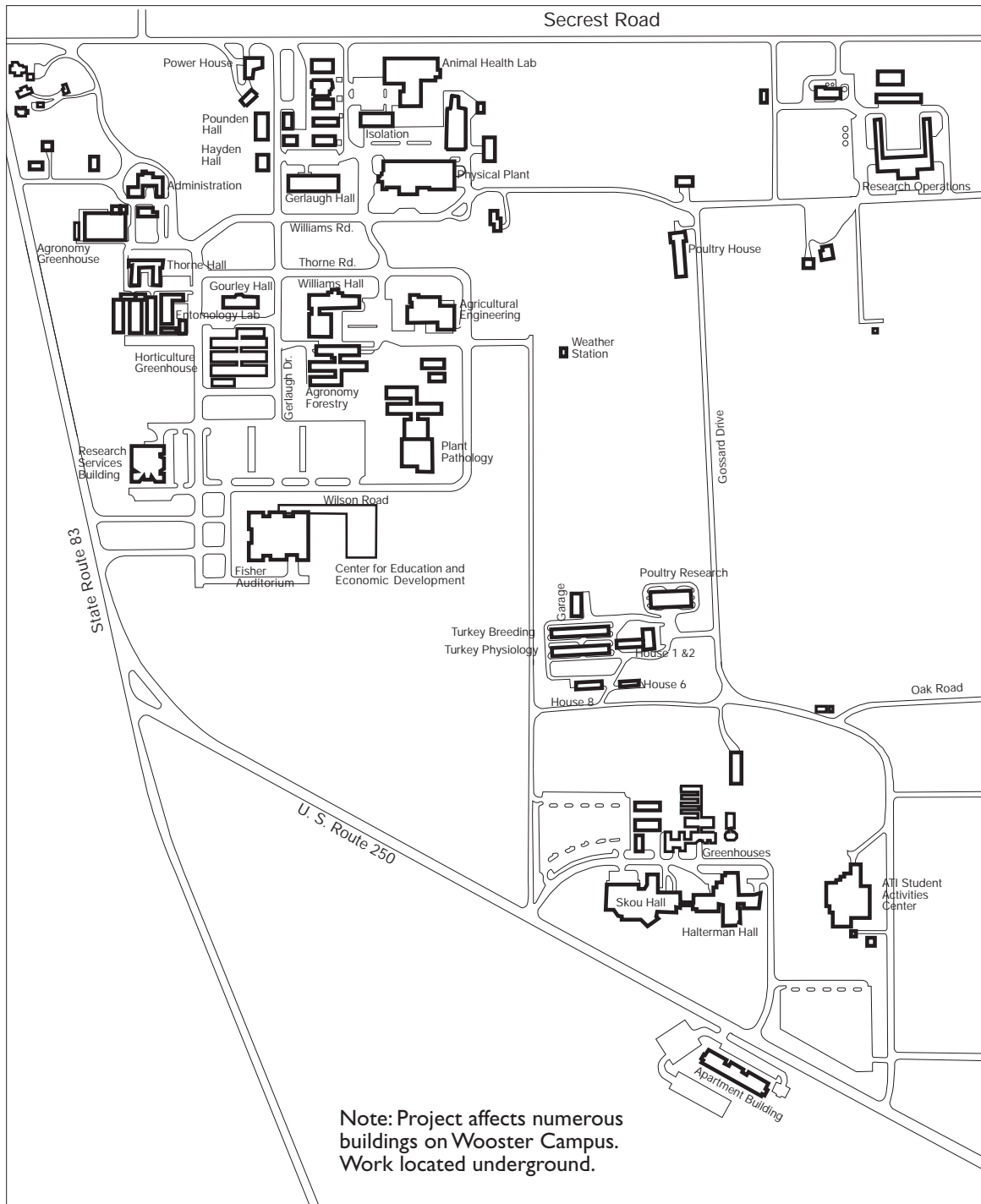
Wexner Center - Building Renovations



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Office of Facilities Planning and Development

December 9, 2000

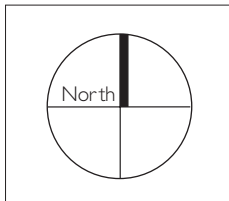
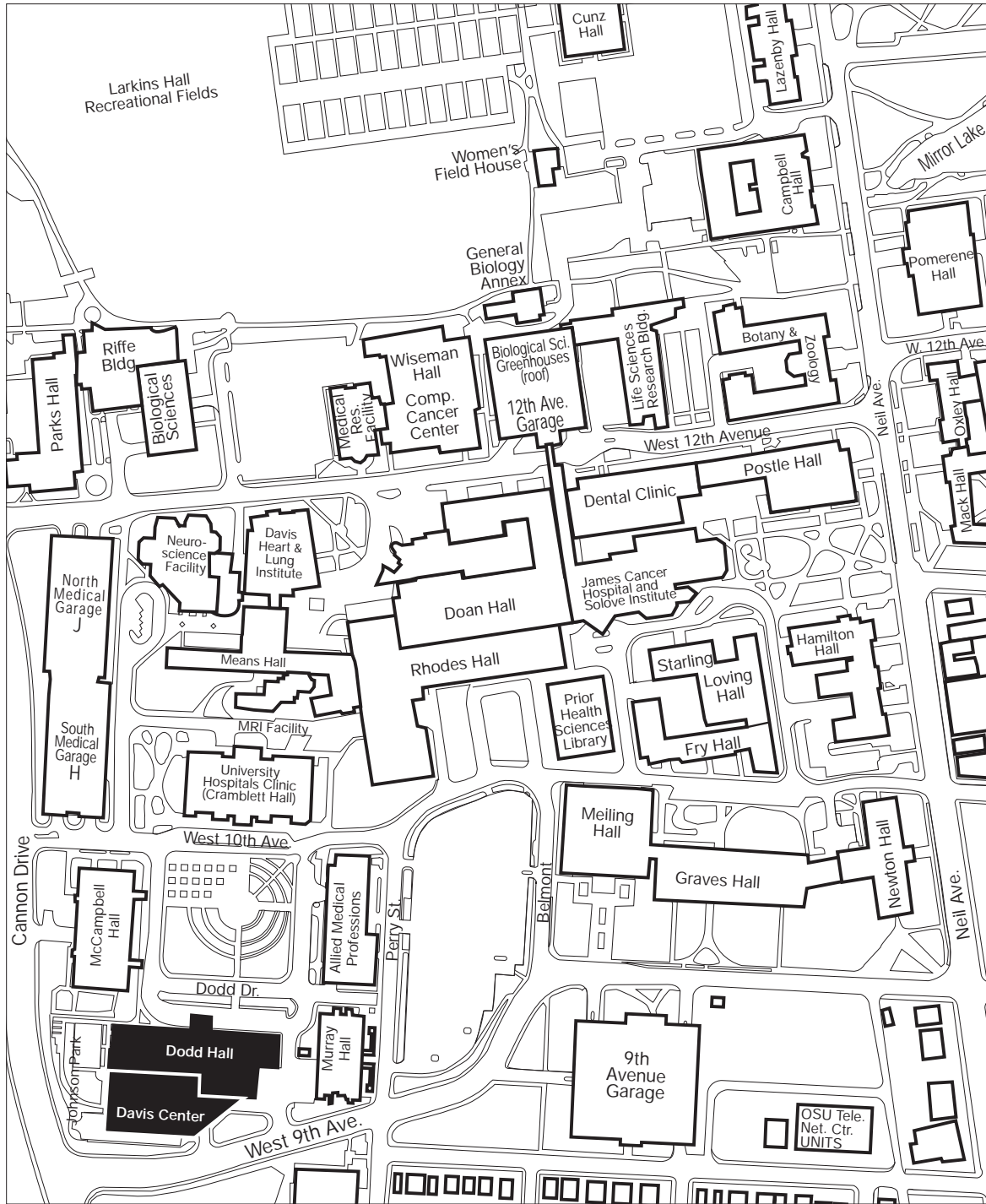
OARDC Central Chilled Water Plant



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December 9, 2000

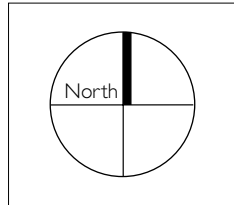
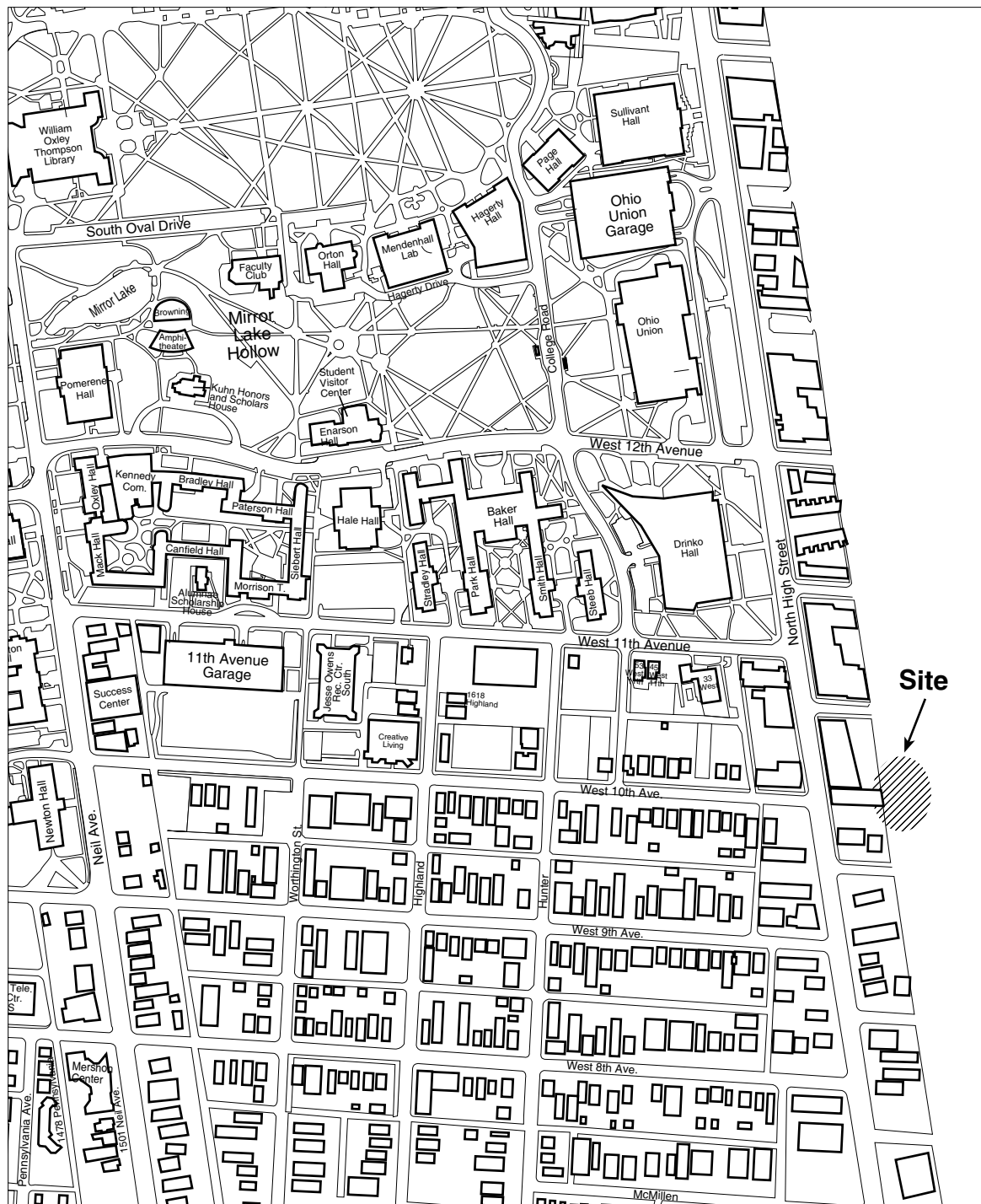
Dodd Hall and Davis Center - Roof Replacements



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January 22, 2001

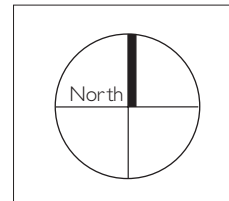
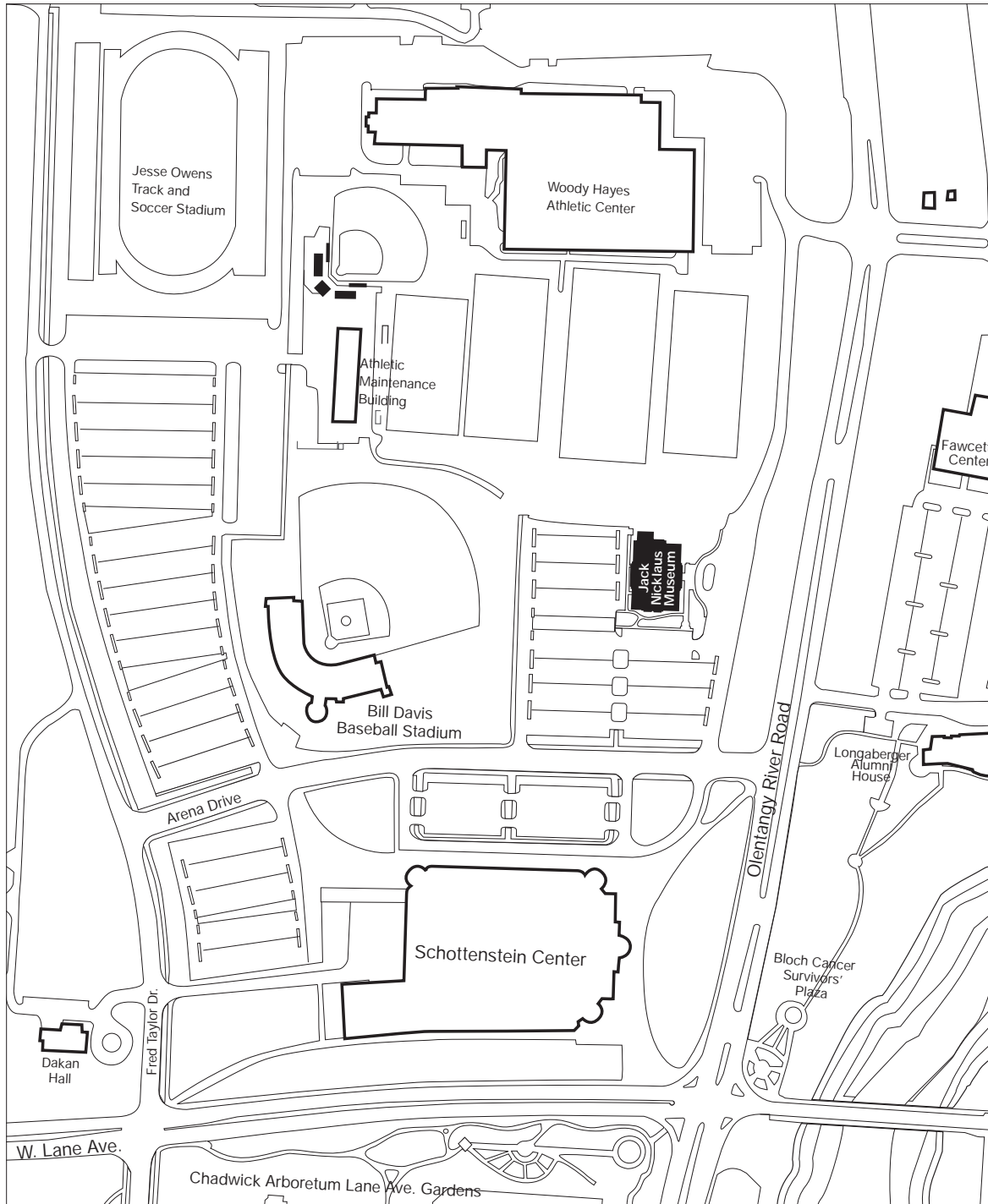
OSU Gateway Parking Garage (pass thru)



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January 17, 2001

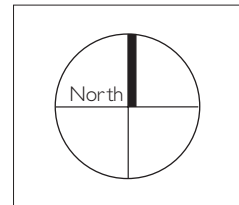
Jack Nicklaus Center Phase II



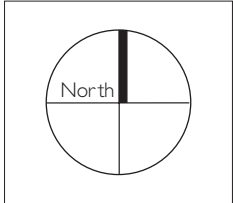
Office of Business and Finance
Office of Facilities Planning and Development

January 12, 2001

Natural Habitat Research Site Improvements - Phase IV



Cleveland Botanical Gardens (pass thru)



THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTIETH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, March 2, 2001

The Board of Trustees met at its regular monthly meeting on Friday, March 2, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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March 2, 2001 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on March 2, 2001, at 10:55 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiautraut.

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PRESIDENT'S REPORT

President William E. Kirwan:

For just a moment, let's pretend it's 2011 -- not 2001. High Street is thriving, energized by the exciting and dynamic development called Gateway. Pursuant to the Academic Plan, our faculty is populated with Nobel Prize winners, National Book Award and Pulitzer Prize winners, and National Academy members. Fully half of our new freshman finished in the top 10 percent of their high school class. Students are benefiting from smaller classes and leading-edge technology. And thanks in part to our efforts, Central Ohio is known nationally for its leadership in the new economy.

On Valentine's Day, we offered this vision to 900 members of the Central Ohio business community at a breakfast sponsored by *Business First*. Not a prediction, mind you, but a vision of what we can become if we successfully implement our Academic Plan.

I want the Trustees to know that we are intensely focused on implementing the plan. It is driving budget allocations and institutional decisions, and we are increasingly able to demonstrate progress.

As you know, one key objective of the plan is to recruit and develop exceptional faculty members. I'm delighted to introduce two faculty members this morning who have just received extraordinary honors indicating their stature as leaders of the top rank in their fields.

L. S. Fan, Distinguished University Professor and chair of the Chemical Engineering department, has just been elected to the National Academy of Engineering. He is one of only eight Ohio State faculty to ever receive this distinction, which is among the highest professional honors accorded an engineer. Professor Fan's pioneering leadership in research in fluidization and particle technology has resulted in important scientific discoveries, new technology applications, and commercial processes.

Professor Fan is with us today and I want to make one point that he reminded me of this morning. I was talking to a group and mentioned how a real measure of distinction at a university is to have faculty elected to a national academy -- in this case, the National Academy of Engineering -- the National Academy of Sciences or the Institute of Medicine. I said, on a scale of one to ten, recruiting a national academy member is an eight -- it's great, it's wonderful. But when you have a member of your own faculty -- already here, who grew up here -- get elected, that's a ten. That's what Dr. Fan represents. We're so honored to have you on our faculty.

Also with us today is Song Chun Zhu. He recently received an ONR Young Investigator Award -- one of only four such winners nationwide in the math and computer science area this year. But this is not the only high honor Dr. Zhu, an assistant professor of Computer Sciences, has recently received. He was also awarded a prestigious NSF Career Award, given to the nation's most promising

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

young scientists. He works in the field of computer vision and is one of only a small handful of people to win both the ONR Young Investigator Award and the NSF Career Award.

But that's not all. On top of that, he received a very prestigious Sloan Fellowship, given each year to a small number of leading young scientists. Receipt of these three awards within a period of a few months is an extraordinary academic hat trick. To my knowledge, it is unprecedented in our nation this year. So, Dr. Zhu, we also want to say how honored we are to have you on our faculty, and what great distinction that you and Dr. Fan bring to the University.

Let me briefly mention several other faculty members of whom we are especially proud this morning. Bjorn Sandstede, associate professor of Mathematics has been awarded the first J.D. Crawford Prize by the Society for Industrial and Applied Mathematics Dynamical Systems Activity Group. The prize is awarded to only one individual in the nation for recent outstanding work on a topic in dynamical systems. It is a very high honor.

Geography chair Lawrence Brown and Geological Sciences professor and former chair William Ausich were elected fellows of the American Association for the Advancement of Science.

Also, the Greater Columbus Chamber of Commerce presented Professor Mauro Ferrari with its Ambassador of the Year Award. Highlighting his academic entrepreneurship and cutting-edge research, Professor Ferrari was described as a new type of ambassador that represents a bold new direction for our city and region at the dawn of the 21st century. In keeping with this direction, the Chamber announced a new partnership with the city and Ohio State to prepare a regional economic development strategy for the 21st century. This resulted from the recent Chamber-led visit to Austin, Texas, and reflects our commitment in the Academic Plan to help build Ohio's economy.

Last month I mentioned Lonnie Thompson who, with his colleagues, has been recognized by *Discover* magazine for their climate research work. In fact, his research was one of the 50 most important research discoveries during the year 2000. More recently, Dr. Thompson has become a global media celebrity, interviewed by almost everyone and the subject of a major above-the-fold story in the *New York Times*.

But there's also a second item on *Discover* magazine's list of the 50 most important scientific discoveries of the year 2000. That was an announcement by Mansfield campus assistant professor of Anthropology Scott McGraw that a rare monkey last seen in 1978 in the forests of Africa's Ivory Coast and Ghana is now believed to be extinct. *National Geographic* is producing a documentary on Dr. McGraw's research. I think you'll agree that to have two of our faculty mentioned in *Discover* magazine's list of the 50 best discoveries for the year 2000 is quite a distinction, one matched by very few, if any other, universities.

I think you will agree that these examples show that we are building a truly world-class faculty. But there's been progress on other parts of the Academic Plan as well. For example, Provost Ed Ray launched our new budgeting system, which aligns budget allocations in our 18 degree-granting colleges with the goals of the Academic Plan. This is essential to ensure that colleges are positioned and have the incentives to make the greatest possible contribution to our objectives.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

On another front, the College of The Arts, the College of Humanities, and the Fisher College of Business combined with principals from Lifetime Television Network's award-winning cable series -- *Any Day Now* -- for a campus community summit on diversity. Mayor Coleman and other local leaders joined members of the campus community and representatives of this award-winning television program to help us better understand our differences and explore cultural misinformation and misunderstandings. It was a powerful and important event for the University. It will, I hope, serve as a catalyst for making our community one that does not just accept differences but celebrates them as a source of institutional strength.

Another goal of our Academic Plan is our support of PreK-12 education. It's worth noting that Reading Recovery, the internationally recognized tutoring program for children struggling to read and write, will soon serve its one-millionth first-grader. Faculty from our College of Education brought Reading Recovery from New Zealand, where it was founded in the middle 1980s, and introduced it to the Columbus Public Schools. It has since spread to almost 3,000 school districts nationwide. Studies show that 81 percent of students completing the Reading Recovery program read and write at an average level for their classes. Congratulations to Gay Pinnell, Diane DeFord, and Carol Lyons of the School of Teaching and Learning for developing such a successful program.

In short, it was another very productive month for our University, and I haven't even mentioned our announcement of a site for our new heart hospital, or that donor support continues at an increasingly successful pace.

Of course, there were disappointments. Several students associated with the Undergraduate Student Government accepted sanctions proposed to them following an investigation into allegations of misconduct. We will be as helpful as we can to the new student leadership and to new USG President Ryan Robinson. I want to commend Ryan for the very effective way he has stepped into the leadership role and restored a sense of calm and order to USG.

I'm pleased to report that the University Senate approved the new *Code of Student Conduct*, which is before you today. The new code extends the University's jurisdiction to off-campus student behavior.

I'm also delighted to report that alcohol-free late night events are proving a great success on our campus, thanks to the efforts of Bill Hall and his colleagues. From September through February they have attracted over 13,000 students.

I began this report by looking ahead -- let me conclude by looking back to September 23, when Penn State cornerback, Adam Taliaferro, was severely injured in Ohio Stadium. It looked as though this courageous young man might never walk again. But thanks to superb attention from many people, including Ohio State neurosurgeon Gary Rea, Adam Taliaferro is now walking for short periods without assistance. I am proud of how our entire community responded to this unfortunate incident, and we're all so happy for Adam and his family. It's an ending that was hard to imagine five months ago.

Mr. Chairman and members of the Board, this completes my report.

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PRESENTATION ON THE JOHN GLENN INSTITUTE

President William H. Kirwan:

At this time, I would like to introduce Deborah Jones Merritt, our extremely able and talented director of the John Glenn Institute, for a presentation on the Institute.

Professor Deborah Jones Merritt:

Thank you very much, President Kirwan. As you can see, I've brought a few friends with me to talk about the Institute today.

It is a great honor to direct the John Glenn Institute for Public Service and Public Policy. From its very earliest days, this Institute benefited from three key strengths. First, it has enjoyed the support and the warm encouragement of this University's leaders, including President Kirwan, Provost Ray, and members of the Board of Trustees.

Second, it has drawn upon the heroic accomplishments of Senator John Glenn, and on his vision to inspire a greater commitment to public service among all of our citizens.

But the Institute has always nourished a third, equally sweeping, strength -- its ability to interact with students, faculty, policymakers, and members of the community -- all of the groups that we care about at a great university. To draw those groups together in a way that has real impact on our government and public policies.

Today we want to tell you a little bit about the impact the Institute is having in these areas. You will hear shortly from two of the students involved in our programs, so I will focus on faculty, community, and policymakers.

During my eight months at the Institute, I have been approached by literally dozens of faculty members who have wanted help forming interdisciplinary groups for teaching or research focused on policy issues. One group wanted to train graduate students in both the science and policy of preserving our wetlands. Another wanted to form a center on worker safety. Yet another group focused on cultural arts policy. The Institute has been working with these and other groups to identify interested professors, to apply for funding, and to create the interdisciplinary connections that are essential for excellent public policy.

The Institute has also been able to offer these faculty the chance to share their research with policymakers. Ohio State houses hundreds of top-notch faculty who want their academic research to have a real impact on the problems around us. Next Tuesday, OSU Professor Peter Swire will kick off the Institute's new series of policy briefings for Ohio legislators, their staff members, and other government officials. Peter, who served as our nation's first chief counselor for privacy and who has an international reputation in the area of electronic privacy, will share his insights with Ohio government leaders.

I can tell you that Peter is as excited about this opportunity as I know his audience will be. He insisted that I put both his e-mail address and his cell phone number on the materials that will go to every audience member, so that they can contact him at any time with follow-up questions.

The Institute's own connections with policymakers have been growing monthly. In November we directed part of a five-day training session for newly elected Ohio legislators. During this academic year, we will train more than 1,700 public

PRESENTATION ON THE JOHN GLENN INSTITUTE (contd)

Professor Jones Merritt: (contd)

sector professionals in more than 50 management seminars. We will also offer custom designed programs for emerging leaders in specialized fields.

We included comments from one of those leaders in the packet we distributed to you ahead of time. She wrote in part, "I have participated in other leadership programs...but this one was different. The program draws a great deal of its strength and uniqueness from its association with The Ohio State University... This was not just a series of seminars, but a well-thought-out curriculum for learning." That's the type of training that the Glenn Institute aims to provide our civic leaders.

Civic training and public policy research lead to the same place – better policies to govern our communities, and we can already see the Institute's footprint in the community. The Institute's Center for Learning Excellence, directed by Dean David Andrews, is evaluating educational programs for at-risk youth throughout Ohio, and is advising the state on the best practices for those programs.

Community Research Partners – a three-way partnership among the Institute, the City of Columbus, and the United Way of Franklin County – is doing similar work to evaluate human service agencies. The Institute's National Commission on Service-Learning, chaired by Senator Glenn, and co-sponsored by the Kellogg Foundation, is promoting educational practices that will help young people nationwide become more engaged in their communities.

These initiatives are having a real impact on policy and people and, to continue the theme I started with, they're doing so by bringing together students, faculty, and policymakers. Each of these initiatives builds upon research by our faculty at Ohio State, and provides students with new opportunities for learning.

These are just a few of the Institute's current programs, and we're building more every day. There are many talented people who play a role in these programs. I want to introduce three of them to you and ask them to stand.

Mary Jane Veno, was Senator Glenn's chief-of-staff and, in that capacity, helped conceptualize and create the Glenn Institute. Today, she serves as senior advisor to the Institute, turning that vision into a reality. Jeff Thomas is the Glenn archivist, and Julie Petersen is the assistant Glenn archivist. Together, they maintain the documents and artifacts stored in the Glenn Archives. Those archives already serve as a rich resource for museums, media, and the Institute itself. When cataloguing is more complete, these items will be accessible to people literally around the world. By the way, Jeff and Julie have brought a few select items with them today, and have them displayed in the back of the room for your enjoyment after the meeting.

Finally, I'm going to turn the program over to someone you already know, and who needs little introduction, Annie Glenn. Annie is a very special person to the Glenn Institute. She is a full partner with Senator Glenn in everything the Institute strives for and is an adjunct assistant professor of Speech and Hearing Science at Ohio State. She has taught classes in that department and offered a keynote address at the department's annual symposium.

I'm delighted to turn the program over to Annie, who will offer a few words and then introduce our students.

PRESENTATION ON THE JOHN GLENN INSTITUTE (contd)

Mrs. Annie Glenn:

I just want to begin by saying that I have really enjoyed my connections with Ohio State, especially the interaction we've had with students in many settings. I'll always remember the class I taught in speech pathology, which meant so much to me.

I am particularly pleased to introduce two students who have participated in the Glenn Institute programs. The first of these students is Greg Beswick, a senior majoring in political science, from Pickerington, Ohio. Greg participated in the Institute's Washington Academic Internship Program. John and I have visited that internship program in Washington several times, and it's really impressive. I wish each of you could have the opportunity to visit that program. Greg --

Mr. Greg Beswick:

Hello. As Mrs. Glenn stated, I participated in the Washington internship program. If I was asked to sum up the program in one word, I would say "amazing." This pertains to the housing, the offices, the classrooms, computing spaces, and the internship placements. This criteria, especially the internship placements, is quickly making the John Glenn Institute the envy of other university internship programs in little under a year.

I have had discussions with students at Cal-Berkeley, Stanford, UCLA, and Dartmouth, that we lived with, and they have conveyed this message to me. It is because anyone can participate in the student program and work in other places besides Capitol Hill -- which most internship placement programs only allow you.

The Washington internship program has done a lot for me personally. It has provided me with a better focus and determination on my schoolwork, what my goals are in life, and what I would like to accomplish. A good example is that while I was in Washington, and since returning, my GPA has been higher than ever before.

This program has also opened up many other doors for me as well. This past fall, I took the quarter off to run a political campaign in Rolla, Missouri, a very small rural town. I learned about the organization that trains and places individuals on campaigns while in Washington. My experience gained through the internship program helped me get selected to run the campaign.

The Washington internship has had a profound effect on my life, and I would recommend this program to any student that attends the University. Thank you.

Mrs. Glenn:

I now have the pleasure of introducing a second student, Lynn Slawsky from Upper Arlington, Ohio. Lynn is a freshman and plans to major in international studies and political science. Last year, while still in high school, she participated in the Institute's high school internship program. John and I met her at one of the classes last year and at that time she said she wanted to attend OSU, and here she is. This year, she is living and participating in the Living-Learning Program.

PRESENTATION ON THE JOHN GLENN INSTITUTE (contd)

Ms. Lynn Slawsky:

I started out in the John Glenn Institute high school program due to my curiosity in politics and interest in public service. I had two internships – one with a state representative and the other with the League of Women Voters of Ohio. Both were meaningful experiences and exposed me to two very different forms of public service. Through these experiences, I was able to focus my interest as I realized that serving the public through the legislature is probably not for me. But I also developed a passion for the honest and conscientious work of non-profit organizations like the League.

In addition to the internships, we all attended a government class taught by Dr. Herb Asher. This class complemented my internship experience through discussing various principles of government that were then applied, and I was able to see those in action. I also learned how to conduct and report a research study which, as you all can imagine, will help me immensely throughout my college career.

Since the high school internship program made me even more excited about public service, I was eager to become part of The John Glenn Institute Living-Learning Program this year. This program has promoted my interest even further, as I am living in an environment conducive to helping me understand more about politics and other issues related to public service. All of us in the program have some sort of interest in public service, and we discuss the subject informally, as well as with speakers who come to talk with us.

We also go to movies together and we watched the campaign debates together back in the fall. We actually had the opportunity to watch the last debate with Senator and Mrs. Glenn, which was a special treat because they had such interesting insights.

All in all, this program has been a wonderful way to meet friends and to grow in my commitment to public service. My experiences with the John Glenn Institute have been so positive that I'm looking forward to continuing through the Washington internship program in two years like Greg did.

I want to reminisce for a moment, because I've remembered something that Senator Glenn said when I met him and Mrs. Glenn for the first time. He said that government is the personnel department of the Constitution. He said that government has the role of ensuring that the ideals of this amazing document are carried out. I want to assure all of you and you, Senator Glenn, that if this is the case, the John Glenn Institute is inspiring and preparing many students, including myself, to ensure a great personnel department for the future.

President Kirwan:

I want to offer my great appreciation to these two exceptional students. You make us all feel enormously proud. I want you to know, Annie, that you can teach a class anytime you want to at this University. We are so proud to have you as an adjunct faculty member.

Mrs. Glenn:

I'm honored. Thank you, Brit.

PRESENTATION ON THE JOHN GLENN INSTITUTE (contd)

President Kirwan:

I have the privilege of welcoming to the Board the person who is the ultimate example of the phrase, "he needs no introduction." People of my vintage can remember sitting in front of their television sets and watching in awe as this man orbited the Earth four or five decades ago; then watching with equal excitement as he did it, again, a few years ago.

Senator, I just want you to know how much we at this University know the rare privilege we have been granted by having you and Annie as part of our community. The opportunity to create the Glenn Institute at this University and see what it's already doing and know that it is coming into full flower under your leadership as the chairman of the board, is just an extraordinary thing for us. We would love to hear from you about the Institute and your hopes for the future.

Senator John Glenn:

Thank you, Brit, and thank you all for providing us this opportunity. I would like to thank both students for being here this morning and adding to the presentation. That's the real crux of the whole thing -- what effects the Institute has on the students.

Let me regress for a moment. There aren't many people in the room who probably remember the Great Depression as Annie and I do. We lived through that as 10 and 12 year old kids. They were tough times and you didn't throw anything away. Unfortunately, we became pack rats for a good part of our lives. We never threw papers away -- we kept my old Marine Corps records, records from my early space days, and all my Senate records.

People at the Kennedy School at Harvard approached me -- no formal presentation -- about sending all of my records and memorabilia there sometime, and there was mention made when I was at Stanford once about that school receiving my things. When it came time for me to leave the Senate, the National Archives in Washington, suggested that my things should go to a center in the Midwest. They suggested Ohio State, even though Annie and I didn't attend here -- we attended Muskingum College in New Concord, Ohio, our hometown.

So I ended up talking to Gordon Gee -- President Gee at that time -- and he thought this was a great idea to send my things here. Out of that then came the proposal for the Institute that would follow-up on some of these things that we were interested in.

I was busy with all the Senate activity at that time, as well as preparation for the space flight, so Mary Jane Veno really created the Institute. I don't think without her efforts that this whole thing would have taken place. She talked to Bill Napier and they worked out the agreement and all the details that came out of it, because I couldn't do all that. So without her efforts, this Institute would not have occurred.

I see the Institute as having two parts: 1) public service; and 2) public policy -- obviously, from the name of the Institute. Public service is really a motivating factor for me. If there's one thing I don't like, and I see as a black cloud for the future, is the cynicism and apathy that so many of our young people and older people, too, have with regard to anything to do with politics and government. To me, that is the wrong way to go.

PRESENTATION ON THE JOHN GLENN INSTITUTE (contd)

Senator Glenn: (contd)

Lynn mentioned that I have talked repeatedly about the Constitution. If we could all play Star Trek -- and "Beam me up, Scotty!" -- and get beamed up and come down on the front steps of the Archives Building in Washington, we would go and walk across the Rotunda and look at the Constitution -- that's the real one. It's not something dreamed up by Hollywood, it's the real one. All those old guys many years ago signed it. Other copies were made later and distributed, but that's the original Constitution of the United States. It is the greatest single document in governance ever put forward in human history, ever. It has more ideas that guarantee your freedom and what you can do as a person in this country, more than any guarantee in the world to any people in the world. We treat it so lightly sometimes.

We should be trying to take those words off the paper and make them come true for every single person in this country and, hopefully, other nations would follow our lead. I've said repeatedly that to me politics is akin to the ministry and its importance to the people you serve. Politics and government service to me is truly the personnel department for that Constitution. What could be any more important than that in this country?

We're not guaranteed a long, 1,000-year history in this country -- it's only been in existence a little over 200 years -- and nothing says it will continue on and that we won't go downhill. If we continue this cynicism and apathy in regard to implementing the Constitution through politics and through willingness to serve in government positions, then we will go downhill over the long haul. So that's a motivating factor to me. It is truly the personnel department for that Constitution.

I hope we can impart some of that feeling that I was encouraged to feel in high school by a particularly good teacher I had. He made civics come alive and it was something I always aspired to all my life. I had no idea I'd ever be able to run for public office, but I did. I think most of our young people get their attitudes formed in high school -- probably before they even get to the university or college-level. So one of our activities at the Institute is to have an outreach program to encourage that kind of thing in our high schools across Ohio and, hopefully, beyond.

Second part -- public policy. It used to irritate me when I was in the Senate -- and I used to talk about this some when I came back here. For some blooming reason, which I never figured out, if we wanted to get some expert opinion or a study made, you always had to go to the Hoover Institution at Stanford or the Kennedy School at Harvard. Here we sat in the Midwest, from Western Pennsylvania to Iowa, with the greatest collection of colleges and universities anywhere in the whole world. Yet, we have to go someplace else for expertise. I always thought that we ought to be forming some center of excellence of some kind, and we could conduct our own studies. Let's have the Midwest represented in this, this panoply of things that are there to be studied, and where we're going in the future.

So that was an interest, too. That we would have a place where we could study public policy with all the diversity that's at Ohio State. I think Herb Asher used to say that his analysis of this was that this is the most diverse university in the United States. We have more different things going on here than on any other campus in the country. So we're particularly well-qualified with the diversity and the expertise that Brit talked about earlier -- Nobel Prize winners and students and faculty being elected to national organizations, and so on. Why can't we

PRESENTATION ON THE JOHN GLENN INSTITUTE (contd)

Senator Glenn: (contd)

have a center of excellence here that will rival, or someday surpass these others of note? I don't have any quarrel with these others. I think they do great work, but I think we can be adding to that, also, right here.

So that's what we're all about. We have several things going on. Brit joined me as a member of a group looking into math and science education for the 21st century. That is very critical right now, we're lagging behind other nations around the world. Secretary of Education Riley asked us to be on this commission, which I chaired, and we met numerous times for a little over a year, and made our report last October. We have proposed academic centers -- not brick and mortar, but academic centers -- that would head up the organization of doing something about this for a six or seven state area. We're hoping that one of those centers can be here at Ohio State.

If we can, we also want to identify some of the big trends of the future that are going to really affect how we all live and how the world moves into the future. There are some terrific things that we can identify and maybe do some studies on as part of this center of excellence that we hope the Institute develops into.

The world is aging -- Annie and are particularly interested in that area -- it's a fact. We have 34 million Americans over the age of 65 right now, and that's due to increase to 100 million in the next 50 years, if the demographic predictions are correct. And the world is following along. That's going to change things. What happens to Social Security and work patterns? What happens to medicine and retailing? What happens to the entrepreneurs that want to try and address that aging population?

Another completely different area that I have had a long interest in -- and worked on when I was in the Senate -- is the use of different new energy sources. We went from muscle power to horsepower, etc., on up, and at each step progress has gone forward in making things and improving the standard of living for people all over the world. Health has come right along with that.

New energy sources -- we're already running into problems with that. Look at California and the problems they're having, and I'm sure some things will be spreading East. Can we make better use of energy? Do we have better energy storage? If I could have one wish before nightfall, it would be how do we better store electrical energy? On a 24-hour average, our electric power plants only run at about 50 percent capacity. Can't we run them higher and store that energy some way? There are projects and proposals to do some of that.

Those are some of the examples of the things that we would like discuss and have some expertise in trying to address for the future. Things that are going to form not only our state and nation, but our world in the future. So if we can identify some of those areas, then perhaps we can really make our mark. One day I hope we'll be right along side the Hoover Institution, the Kennedy School, and the rest of them, as centers of excellence contributing to the benefit of this country and the benefit of the world. That I see as the overall objective. I hope we can instill the spirit of public service and erase some of this apathy and cynicism, and provide a center where we can also address some of these things for the future.

PRESENTATION ON THE JOHN GLENN INSTITUTE (contd)

Senator Glenn: (contd)

So we're glad to be here. We have our foot in the door and are starting with these things. Debbie has done a terrific job on this and is working very hard every day on it, and Mary Jane is representing me when I am not here. I would add, that we are spending more time in Columbus now and getting our schedule a little better under control. I hope to be spending much more time here in the future. We bought a new place at Miranova, so that indicates our intent.

Thank you very much for this opportunity to talk briefly about our hopes and dreams. We want to work with you in making these things come to fruition. Thank you.

Mr. Skestos:

Do any of the members of the Board have any questions of Senator Glenn?

Professor Merritt:

Chairman Skestos, we're going to conclude with just a very brief news clip. The Institute is getting increasing publicity and we want to show you the most recent of those that aired on a Toledo station last week. It features both our international hero, John Glenn, and the Institute.

[Video Presentation]

Professor Merritt:

Thank you very much.

Mr. Skestos:

Yes, Senator?

Senator Glenn:

I don't know whether you know it or not, but we are now located on the fourth floor of Stillman Hall. We have some new quarters there that will be temporary for about two or three years while they remodel Page Hall, which will be the permanent residence. If you haven't seen our new quarters, stop by Stillman Hall, we would love to show you around. Thank you very much.

Mr. Skestos:

Thank you again.

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EXECUTIVE SESSION

Mr. Skestos:

At this time, I will entertain a motion to go into Executive Session to consider a Personnel Action that is confidential under the *Medical Staff Bylaws* of the University Hospitals.

Upon motion of Mr. Brennan, seconded by Judge Duncan, the Board of Trustees recessed into Executive Session by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Judge Duncan, and Ms. Hendricks.

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Mr. Skestos:

The meeting of the Board of Trustees will now reconvene. The Board recommends that the Personnel Actions resolution before the Board today be amended to include the confidential action discussed in Executive Session.

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Mr. Skestos:

Before we go to the consent agenda, Judge Duncan would like to speak on the amendments to the *Code of Student Conduct*.

Judge Duncan:

Thank you. Mr. Chairman, Mr. President, and members of the Board, this morning the Student Affairs Committee reviewed the proposed amendments to the *Code of Student Conduct*. On behalf of the Committee, I'd like to thank all those who worked long and hard in order to produce the proposed amendments that we've reviewed today. We're really grateful for this. This represents an extraordinary effort.

Attention was called to the fact that there was a need for clarification of the hearing procedures relevant to rule 3335-23-21. After discussion this morning, I think that we have produced a solution to accommodate hearing procedures, which would be in accordance with due process. What I'd like to do is reduce the discussion to writing and present it for the record to the Board.

In sum, the Student Affairs Committee unanimously recommended that this Board approve the proposed amendments. Thank you.

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CONSENT AGENDA

President William E. Kirwan:

We have 12 resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend these for the Board's approval:

CANCER HOSPITAL BOARD APPOINTMENT

Resolution No. 2001-97

Synopsis: Approval of appointment to The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board is proposed.

WHEREAS the Board of Trustees on September 1, 1993, approved the establishment of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board; and

WHEREAS the membership of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board was approved on November 5, 1993, and subsequently amended; and

WHEREAS the following named individual has been selected for immediate appointment to the The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board:

C. Bradley Moore, Ph.D., Vice President for Research

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be confirmed and approved, effective immediately, as a senior University administrator member of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board for a term ending April 30, 2002.

RENAMING OF FACILITY

Resolution No. 2001-98

Synopsis: Approval of the administrative renaming of the building located at 1849 Cannon Drive is proposed.

WHEREAS the use of the Drake Union has changed over the past few years from a student facility to more of a theater, performance and event center for the entire campus community; and

WHEREAS the Office of Housing, Food Service and Event Centers took on the responsibility for operating Drake Union; and

WHEREAS the Office of Housing, Food Service and Event Centers has requested the name of Drake Union be changed; and

WHEREAS the request has been approved by the President's Planning Cabinet:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the facility located at 1849 Cannon Drive shall be renamed "The Drake Performance and Event Center," effective immediately.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2001-99

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate at its February 10, 2001 meeting as follows:

Rescinded Rule

~~3335-9-084 Withdrawal for medical reasons.~~

- ~~(A) Students with certain physical, psychological, or emotional health conditions may be subject to the sanctions provided in rules 3335-29-02 to 3335-29-08 of the Administrative Code. Appropriate sanctions may be imposed when there is clear and convincing evidence that:~~
- ~~(1) The student's current state of physical health poses a substantial danger to the health or well-being of other members of the university community; or~~
 - ~~(2) The student is suffering from a mental disorder and as a result of that disorder engages or threatens to engage in behavior which:~~
 - ~~(a) Poses a substantial danger or risk of causing harm to the student or to others;~~
 - ~~(b) Poses a substantial danger or risk to university property, or to the property of another member of the university community; or~~
 - ~~(c) Results in conduct which substantially impedes or disrupts the authorized activities of other members of the university community as defined in rule 3335-11-02 of the Administrative Code.~~
- ~~(B) When the health of a student is alleged to be as defined in paragraph (A) above, the vice president for student and urban/community affairs, or designee, shall consult with the student about the student's health status. If the vice president for student and urban/community affairs decides it is necessary, the student may be required to submit to examination(s) deemed sufficient by the university health service to evaluate such condition. The office of the vice president for student and urban/community affairs will then review the case, including any medical evidence or opinion available, and determine appropriate university action. If the student fails to submit to an examination, or fails to furnish relevant health condition information, or if the review by the vice president for student and urban/community affairs discloses the existence of an immediate danger to the university community as set out in paragraph (A) above, the student may be subject to any sanction provided in rules 3335-29-02 to 3335-29-08 of the Administrative Code; immediate involuntary withdrawal from the university with an order to leave the campus; or conditions appropriate to protect the university community may be placed upon continued enrollment. In arriving at appropriate action, the vice president for student and urban/community affairs will take into account reasonable action on the part of the university to accommodate the student's condition. Involuntary withdrawal will be used as a last resort.~~

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

- ~~(C) If a student has been withdrawn, or otherwise experienced modification of enrollment status by reason of health conditions enumerated in paragraph (A) above, the student may petition for revision of that status through the office of the vice president for student and urban/community affairs.~~
- ~~(1) Such request must be accompanied by supporting documentation that the conditions for revision of enrollment status have been fulfilled, and/or that the health condition has changed sufficiently to make such revision appropriate. Upon receipt of such request, the vice president will evaluate the evidence, or convene the case consultation committee (composed of representatives of counseling and consultation service, university health service, the student's academic unit, and other academic/administrative units as appropriate) for that purpose, and decide to:~~
- ~~(a) Revise the status, without condition;~~
 - ~~(b) Revise the status, with specified conditions of continuation, such as medical treatment or disciplinary action; or~~
 - ~~(c) Not revise the status.~~
- ~~(2) The student must in addition meet all normal and appropriate standards for admission or enrollment set by the academic unit in which the student seeks to enroll. (B/T 6/11/86, B/T 7/12/91, B/T 4/3/98)~~

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT

Resolution No. 2001-100

Synopsis: Approval of the following amendments to the Code of Student Conduct are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Code of Student Conduct as approved by the University Senate; and

WHEREAS the Council on Student Affairs has reviewed in depth and approved said revision to the Code of Student Conduct on November 9, 2000; and

WHEREAS the proposed changes in the Code of Student Conduct were approved by the University Senate at its February 10, 2001 meeting as follows:

Amended Rules

3335-23-01 Introduction AND PURPOSE.

The code ~~exists~~ OF STUDENT CONDUCT IS ESTABLISHED to foster and ~~enhance~~ PROTECT the ~~academic CORE mission~~ MISSIONS of the university, TO FOSTER THE SCHOLARLY AND CIVIC DEVELOPMENT OF THE UNIVERSITY'S STUDENTS IN A SAFE AND SECURE LEARNING

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

~~ENVIRONMENT, AND TO PROTECT THE PEOPLE, PROPERTIES AND PROCESSES THAT SUPPORT THE UNIVERSITY AND ITS MISSIONS. THE CORE MISSIONS OF THE UNIVERSITY ARE RESEARCH, TEACHING AND LEARNING, AND SERVICE. PRESERVATION OF ACADEMIC FREEDOM AND FREE AND OPEN EXCHANGE OF IDEAS AND OPINIONS FOR ALL MEMBERS OF THE UNIVERSITY ARE CENTRAL TO THESE MISSIONS. The code protects the students, staff and faculty of the university and their rights. In addition, the code protects the property of the university, and all property of faculty, staff and students, on university premises. The term "university premises" means all lands, buildings, and facilities owned, leased or operated by the university. The term "student" is defined for purposes of this code as an individual who has paid an acceptance fee, registered for classes, or otherwise entered into any other contractual relationship with the university to take instruction, and lasts until that individual graduates, formally withdraws, or is expelled. The code applies to the conduct of all students and registered student organizations (hereinafter "student"); while on university premises; while on professional practice assignment; on assignment which is associated with academic course requirements, such as internships or student teaching; or, while involved with a university related activity, or a registered student organization activity.~~

~~All persons are encouraged to report code violations to a university official as soon as possible. A charge of a code violation must be filed with the university within six months of the identification of the person allegedly committing the violation. The university shall notify the student of the alleged violation within one week of the filing of charges.~~

~~As members of the Ohio state university community, students also are subject to city, county, state, and federal law. Therefore, legal action in addition to university disciplinary action may occur.~~

3335-23-02 JURISDICTION.

~~THE CODE APPLIES TO THE ON-CAMPUS CONDUCT OF ALL STUDENTS AND REGISTERED STUDENT ORGANIZATIONS. THE CODE ALSO APPLIES TO THE OFF-CAMPUS CONDUCT OF STUDENTS AND REGISTERED STUDENT ORGANIZATIONS IN DIRECT CONNECTION WITH:~~

- ~~(A) A PROFESSIONAL PRACTICE ASSIGNMENT;~~
- ~~(B) ACADEMIC COURSE REQUIREMENTS, SUCH AS INTERNSHIPS, FIELD TRIPS, OR STUDENT TEACHING;~~
- ~~(C) ANY ACTIVITY SUPPORTING PURSUIT OF A DEGREE, SUCH AS RESEARCH AT ANOTHER INSTITUTION;~~
- ~~(D) ANY ACTIVITY SPONSORED, CONDUCTED, OR AUTHORIZED BY THE UNIVERSITY OR BY REGISTERED STUDENT ORGANIZATIONS;~~
- ~~(E) ANY ACTIVITY THAT CAUSES SUBSTANTIAL DESTRUCTION OF PROPERTY BELONGING TO THE UNIVERSITY OR MEMBERS OF THE UNIVERSITY COMMUNITY OR CAUSES SERIOUS HARM TO THE HEALTH OR SAFETY OF MEMBERS OF THE UNIVERSITY COMMUNITY; OR~~
- ~~(F) ANY ACTIVITY IN WHICH A POLICE REPORT HAS BEEN FILED, A SUMMONS OR INDICTMENT HAS BEEN ISSUED, OR AN ARREST HAS OCCURRED FOR A CRIME OF VIOLENCE.~~

~~THE CODE GOVERNS ALL CAMPUSES OF THE UNIVERSITY, HOWEVER, STUDENTS ATTENDING AT REGIONAL CAMPUSES AND THE AGRICULTURAL TECHNICAL INSTITUTE ARE ADVISED TO CONSULT THEIR LOCAL CAMPUS PUBLICATIONS FOR ADDITIONAL INFORMATION OR RULES~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

PERTAINING TO THOSE CAMPUSES, WHICH MAY CREATE HEARING BOARDS OR PROCESSES FOR THE CAMPUS, CONSISTENT WITH THESE RULES.

STUDENTS CONTINUE TO BE SUBJECT TO CITY, STATE, AND FEDERAL LAWS WHILE AT THE UNIVERSITY, AND VIOLATIONS OF THOSE LAWS MAY ALSO CONSTITUTE VIOLATIONS OF THE CODE. IN SUCH INSTANCES, THE UNIVERSITY MAY PROCEED WITH UNIVERSITY DISCIPLINARY ACTION UNDER THE CODE INDEPENDENTLY OF ANY CRIMINAL PROCEEDING INVOLVING THE SAME CONDUCT AND MAY IMPOSE SANCTIONS FOR VIOLATION OF THE CODE EVEN IF SUCH CRIMINAL PROCEEDING IS NOT YET RESOLVED OR IS RESOLVED IN THE STUDENT'S FAVOR.

3335-23-03 DEFINITIONS.

AS USED IN THE CODE, THE TERM "UNIVERSITY PREMISES" MEANS ALL LANDS, BUILDINGS, AND FACILITIES OWNED, LEASED, OR OPERATED BY THE UNIVERSITY. THE TERM "STUDENT" MEANS AN INDIVIDUAL WHO HAS PAID AN ACCEPTANCE FEE, REGISTERED FOR CLASSES, OR OTHERWISE ENTERED INTO ANY OTHER CONTRACTUAL RELATIONSHIP WITH THE UNIVERSITY TO TAKE INSTRUCTION. STUDENT STATUS LASTS UNTIL AN INDIVIDUAL GRADUATES, IS DISMISSED, OR IS NOT IN ATTENDANCE FOR TWO COMPLETE, CONSECUTIVE QUARTERS. THE TERM "STUDENT" ALSO INCLUDES REGISTERED STUDENT ORGANIZATIONS. THE TERM "MEMBERS OF THE UNIVERSITY COMMUNITY" INCLUDES, BUT IS NOT LIMITED TO, STUDENTS, FACULTY, STAFF, AND VISITORS TO THE CAMPUS. THE TERM "COMPLAINT" MEANS A WRITTEN STATEMENT, ON APPROPRIATE UNIVERSITY-PRESCRIBED FORMS, ALLEGING A VIOLATION OF THE CODE OF STUDENT CONDUCT OR OTHER PUBLISHED RULE APPLICABLE TO STUDENTS AT THE UNIVERSITY. INFORMATION SUBMITTED BY OTHER MEANS WILL BE REVIEWED AND MAY, AT THE UNIVERSITY'S DISCRETION, BE ACTED UPON BUT WILL NOT BE TREATED AS A FORMAL COMPLAINT. THE TERM "CRIME OF VIOLENCE" MEANS THE FOLLOWING OFFENSES AS STATED IN DIVISION (A)(9) OF SECTION 2921.01 OF THE REVISED CODE IN EFFECT ON THE DATE THIS RULE IS ADOPTED: AGGRAVATED MURDER; MURDER; VOLUNTARY MANSLAUGHTER; INVOLUNTARY MANSLAUGHTER; FELONIOUS ASSAULT; AGGRAVATED ASSAULT; ASSAULT; AGGRAVATED MENACING; MENACING BY STALKING; KIDNAPPING; ABDUCTION; EXTORTION; RAPE; SEXUAL BATTERY; GROSS SEXUAL IMPOSITION; AGGRAVATED ARSON; ARSON; AGGRAVATED ROBBERY; ROBBERY; AGGRAVATED BURGLARY; INCITING TO VIOLENCE; AGGRAVATED RIOT; INDUCING PANIC; DOMESTIC VIOLENCE; INTIMIDATION; INTIMIDATION OF AN ATTORNEY, VICTIM, OR WITNESS IN A CRIMINAL CASE; ESCAPE; IMPROPERLY DISCHARGING A FIREARM AT OR INTO A HABITATION OR SCHOOL; BURGLARY; FELONIOUS SEXUAL PENETRATION; OR CONSPIRACY OR ATTEMPT TO COMMIT OR COMPLICITY IN COMMITTING ANY OF THE FOREGOING OFFENSES. CRIME OF VIOLENCE ALSO MEANS OFFENSES UNDER THE LAWS OF ANOTHER JURISDICTION THAT ARE SUBSTANTIALLY EQUIVALENT TO THE OFFENSES LISTED IN THIS DIVISION.

3335-23-04 PROHIBITED CONDUCT.

ANY STUDENT FOUND TO HAVE ENGAGED IN THE FOLLOWING CONDUCT WHILE WITHIN THE UNIVERSITY'S JURISDICTION, AS SET FORTH IN RULE 3335-23-02 OF THE ADMINISTRATIVE CODE, WILL BE SUBJECT TO DISCIPLINARY ACTION BY THE UNIVERSITY.

(A) ACADEMIC MISCONDUCT.

ANY ACTIVITY THAT TENDS TO COMPROMISE THE ACADEMIC INTEGRITY OF THE UNIVERSITY, OR SUBVERT THE EDUCATIONAL PROCESS. EXAMPLES OF ACADEMIC MISCONDUCT INCLUDE, BUT ARE NOT LIMITED TO:

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

- (1) VIOLATION OF COURSE RULES AS CONTAINED IN THE COURSE SYLLABUS OR OTHER INFORMATION PROVIDED TO THE STUDENT; VIOLATION OF PROGRAM REGULATIONS AS ESTABLISHED BY DEPARTMENTAL COMMITTEES AND MADE AVAILABLE TO STUDENTS;
 - (2) KNOWINGLY PROVIDING OR RECEIVING INFORMATION DURING EXAMINATIONS SUCH AS COURSE EXAMINATIONS AND CANDIDACY EXAMINATIONS; OR THE POSSESSION AND/OR USE OF UNAUTHORIZED MATERIALS DURING THOSE EXAMINATIONS;
 - (3) KNOWINGLY PROVIDING OR USING ASSISTANCE IN THE LABORATORY, ON FIELD WORK, OR ON A COURSE ASSIGNMENT UNLESS SUCH ASSISTANCE HAS SPECIFICALLY BEEN AUTHORIZED;
 - (4) SUBMITTING PLAGIARIZED WORK FOR AN ACADEMIC REQUIREMENT. PLAGIARISM IS THE REPRESENTATION OF ANOTHER'S WORK OR IDEAS AS ONE'S OWN; IT INCLUDES THE UNACKNOWLEDGED WORD-FOR-WORD USE AND/OR PARAPHRASING OF ANOTHER PERSON'S WORK, AND/OR THE INAPPROPRIATE UNACKNOWLEDGED USE OF ANOTHER PERSON'S IDEAS;
 - (5) SUBMITTING SUBSTANTIALLY THE SAME WORK TO SATISFY REQUIREMENTS FOR ONE COURSE THAT HAS BEEN SUBMITTED IN SATISFACTION OF REQUIREMENTS FOR ANOTHER COURSE, WITHOUT PERMISSION OF THE INSTRUCTOR OF THE COURSE FOR WHICH THE WORK IS BEING SUBMITTED;
 - (6) FALSIFICATION, FABRICATION, OR DISHONESTY IN REPORTING LABORATORY AND/OR RESEARCH RESULTS;
 - (7) SERVING AS, OR ENLISTING THE ASSISTANCE OF A SUBSTITUTE FOR A STUDENT IN THE TAKING OF EXAMINATIONS;
 - (8) ALTERATION OF GRADES OR MARKS BY THE STUDENT IN AN EFFORT TO CHANGE THE EARNED GRADE OR CREDIT;
 - (9) ALTERATION OF ACADEMICALLY-RELATED UNIVERSITY FORMS OR RECORDS, OR UNAUTHORIZED USE OF THOSE FORMS; AND
 - (10) ENGAGING IN ACTIVITIES THAT UNFAIRLY PLACE OTHER STUDENTS AT A DISADVANTAGE, SUCH AS TAKING, HIDING OR ALTERING RESOURCE MATERIAL, OR MANIPULATING A GRADING SYSTEM.
- (B) ENDANGERING HEALTH OR SAFETY.
- TAKING OR THREATENING ACTION THAT THREATENS OR ENDANGERS THE SAFETY, PHYSICAL OR MENTAL HEALTH, OR LIFE OF ANY PERSON, WHETHER INTENTIONALLY OR AS A RESULT OF RECKLESSNESS OR GROSS NEGLIGENCE.
- (C) SEXUAL MISCONDUCT.
- PHYSICAL CONTACT OR OTHER NON-PHYSICAL CONDUCT OF A SEXUAL NATURE IN THE ABSENCE OF CLEAR, KNOWING AND VOLUNTARY CONSENT. FOR THE PURPOSES OF THIS RULE, CONSENT SHALL BE DEFINED AS THE ACT OF KNOWINGLY AND VOLUNTARILY AGREEING VERBALLY OR NON-VERBALLY TO ENGAGE IN SEXUAL ACTIVITY. AN INDIVIDUAL CANNOT CONSENT WHO IS OBVIOUSLY INCAPACITATED BY

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

ANY DRUG OR INTOXICANT; OR WHO HAS BEEN PURPOSELY COMPELLED BY FORCE, THREAT OF FORCE, OR DECEPTION; OR WHO IS UNAWARE THAT THE ACT IS BEING COMMITTED; OR WHOSE ABILITY TO CONSENT OR RESIST IS OBVIOUSLY IMPAIRED BECAUSE OF A MENTAL OR PHYSICAL CONDITION; OR WHO IS COERCED BY SUPERVISORY OR DISCIPLINARY AUTHORITY.

(D) DESTRUCTION OF PROPERTY.

ACTUAL OR THREATENED DAMAGE TO OR DESTRUCTION OF UNIVERSITY PROPERTY OR PROPERTY OF OTHERS, WHETHER DONE INTENTIONALLY OR WITH RECKLESS DISREGARD.

(E) DANGEROUS WEAPONS OR DEVICES.

USE, STORAGE, OR POSSESSION OF DANGEROUS WEAPONS OR DEVICES INCLUDING, BUT NOT LIMITED TO, FIREARMS AND FIREWORKS UNLESS AUTHORIZED BY AN APPROPRIATE UNIVERSITY OFFICIAL OR PERMITTED BY A UNIVERSITY POLICY.

(F) DISHONEST CONDUCT.

DISHONEST CONDUCT, INCLUDING, BUT NOT LIMITED TO, KNOWINGLY REPORTING A FALSE EMERGENCY; KNOWINGLY MAKING FALSE ACCUSATION OF MISCONDUCT; MISUSE OR FALSIFICATION OF UNIVERSITY DOCUMENTS BY ACTIONS SUCH AS FORGERY, ALTERATION, OR IMPROPER TRANSFER; SUBMISSION TO A UNIVERSITY OFFICIAL OF INFORMATION KNOWN BY THE SUBMITTOR TO BE FALSE.

(G) THEFT/UNAUTHORIZED USE OF PROPERTY.

THEFT OR ATTEMPTED THEFT, OR THE UNAUTHORIZED USE OR POSSESSION OF UNIVERSITY PROPERTY OR SERVICES, OR THE PROPERTY OF OTHERS.

(H) FAILURE TO COMPLY WITH UNIVERSITY AUTHORITY.

FAILURE TO COMPLY WITH LEGITIMATE DIRECTIVES OF AUTHORIZED UNIVERSITY OFFICIALS, IDENTIFIED AS SUCH, IN THE PERFORMANCE OF THEIR DUTIES, INCLUDING FAILURE TO IDENTIFY ONESELF WHEN SO REQUESTED; OR VIOLATION OF THE TERMS OF A DISCIPLINARY SANCTION.

(I) DRUGS.

USE, PRODUCTION, DISTRIBUTION, SALE, OR POSSESSION OF DRUGS IN A MANNER PROHIBITED UNDER LAW.

(J) ALCOHOL.

USE, PRODUCTION, DISTRIBUTION, SALE, OR POSSESSION OF ALCOHOL IN A MANNER PROHIBITED UNDER LAW OR APPLICABLE UNIVERSITY POLICY.

(K) UNAUTHORIZED PRESENCE.

UNAUTHORIZED ENTRANCE TO OR PRESENCE IN OR ON UNIVERSITY PREMISES.

(L) DISORDERLY OR DISRUPTIVE CONDUCT.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

DISORDERLY OR DISRUPTIVE CONDUCT THAT UNREASONABLY INTERFERES WITH UNIVERSITY ACTIVITIES OR WITH THE LEGITIMATE ACTIVITIES OF ANY MEMBER OF THE UNIVERSITY COMMUNITY.

(M) HAZING.

DOING, REQUIRING OR ENCOURAGING ANY ACT, WHETHER OR NOT THE ACT IS VOLUNTARILY AGREED UPON, IN CONJUNCTION WITH INITIATION OR CONTINUED MEMBERSHIP OR PARTICIPATION IN ANY GROUP, THAT CAUSES OR CREATES A SUBSTANTIAL RISK OF CAUSING MENTAL OR PHYSICAL HARM OR HUMILIATION. SUCH ACTS MAY INCLUDE, BUT ARE NOT LIMITED TO, USE OF ALCOHOL, CREATION OF EXCESSIVE FATIGUE, AND PADDLING, PUNCHING OR KICKING IN ANY FORM.

(N) JUDICIAL SYSTEM ABUSE.

ABUSE OF ANY UNIVERSITY JUDICIAL SYSTEM, INCLUDING BUT NOT LIMITED TO:

(1) FAILURE TO OBEY THE SUMMONS OF A JUDICIAL BODY OR UNIVERSITY OFFICIAL;

(2) FALSIFICATION, DISTORTION, OR MISREPRESENTATION OF INFORMATION BEFORE A JUDICIAL BODY;

(3) DISRUPTION OR INTERFERENCE WITH THE ORDERLY CONDUCT OF A JUDICIAL PROCEEDING;

(4) INSTITUTION OF A JUDICIAL PROCEEDING KNOWINGLY WITHOUT CAUSE;

(5) ATTEMPTING TO DISCOURAGE AN INDIVIDUAL'S PROPER PARTICIPATION IN, OR USE OF, A UNIVERSITY JUDICIAL SYSTEM;

(6) ATTEMPTING TO INFLUENCE THE IMPARTIALITY OF A MEMBER OF A JUDICIAL BODY PRIOR TO, AND/OR DURING THE COURSE OF A JUDICIAL PROCEEDING;

(7) HARASSMENT (VERBAL OR PHYSICAL) AND/OR INTIMIDATION OF A MEMBER OF A JUDICIAL BODY PRIOR TO, DURING, AND/OR AFTER A JUDICIAL PROCEEDING;

(8) FAILURE TO COMPLY WITH ONE OR MORE SANCTIONS IMPOSED UNDER THE CODE OF STUDENT CONDUCT; AND

(9) INFLUENCING OR ATTEMPTING TO INFLUENCE ANOTHER PERSON TO COMMIT AN ABUSE OF A UNIVERSITY JUDICIAL SYSTEM.

(O) VIOLATION OF UNIVERSITY RULES.

VIOLATION OF OTHER PUBLISHED UNIVERSITY REGULATIONS, POLICIES, OR RULES, OR VIOLATIONS OF FEDERAL, STATE, OR LOCAL LAW. THESE UNIVERSITY REGULATIONS, POLICIES, OR RULES INCLUDE, BUT ARE NOT LIMITED TO, THOSE WHICH PROHIBIT THE MISUSE OF COMPUTING RESOURCES, SEXUAL HARASSMENT, RULES FOR STUDENT GROUPS OR ORGANIZATIONS, AND RESIDENCE HALL RULES AND REGULATIONS.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

JUDICIAL PROCEDURES

3335-23-05 INITIATION AND INVESTIGATION OF CODE VIOLATIONS.

(A) INITIATION.

PERSON(S) WITNESSING OR EXPERIENCING WHAT THEY BELIEVE TO BE A POSSIBLE CODE VIOLATION SHOULD PROVIDE AN AUTHORIZED UNIVERSITY OFFICIAL WITH THE INFORMATION. INFORMATION AND/OR COMPLAINTS ABOUT POSSIBLE CODE VIOLATIONS OCCURRING IN RESIDENCE HALLS SHOULD BE PROVIDED TO THE RESIDENCE HALL DIRECTOR. INFORMATION AND/OR COMPLAINTS ABOUT POSSIBLE NON-RESIDENCE HALL RELATED CODE VIOLATIONS SHOULD BE PROVIDED TO THE DIRECTOR OF STUDENT JUDICIAL AFFAIRS. INFORMATION AND/OR COMPLAINTS REGARDING ACADEMIC MISCONDUCT SHOULD BE REFERRED TO THE COORDINATOR OF THE COMMITTEE ON ACADEMIC MISCONDUCT. IN CASES WHERE THE ALLEGED ACTIVITY MAY INVOLVE A VIOLATION OF CRIMINAL LAW IN ADDITION TO A VIOLATION OF THE CODE, INFORMATION AND/OR COMPLAINTS SHOULD BE PROVIDED TO THE OHIO STATE UNIVERSITY POLICE OR OTHER APPROPRIATE LAW ENFORCEMENT AGENCY. THE UNIVERSITY WILL REVIEW ALL INFORMATION AND/OR COMPLAINTS RECEIVED AND MAY CONDUCT A PRELIMINARY INVESTIGATION OF THE ALLEGED VIOLATION.

(B) INVESTIGATION.

THE OHIO STATE UNIVERSITY POLICE OR OTHER APPROPRIATE LAW ENFORCEMENT AGENCY SHALL HAVE PRIMARY RESPONSIBILITY FOR THE INVESTIGATION OF ACTS THAT INVOLVE SUSPECTED VIOLATION OF FEDERAL, STATE, LOCAL LAWS OR APPLICABLE UNIVERSITY POLICIES. RESIDENCE HALL DIRECTORS, ASSISTANT HALL DIRECTORS, THE DIRECTOR OF STUDENT JUDICIAL AFFAIRS AND OTHER DESIGNATED UNIVERSITY PERSONNEL ARE AUTHORIZED TO INVESTIGATE ALLEGED VIOLATIONS OTHER THAN THOSE INVOLVING ACADEMIC MISCONDUCT. THE COORDINATOR OF THE COMMITTEE ON ACADEMIC MISCONDUCT IS AUTHORIZED TO INVESTIGATE ALLEGATIONS INVOLVING ACADEMIC MISCONDUCT. DURING THE INVESTIGATION, THE STUDENT ALLEGEDLY INVOLVED IN MISCONDUCT MAY BE SENT A LETTER DESCRIBING THE ALLEGED VIOLATION, REQUESTING THE STUDENT TO MAKE AN APPOINTMENT TO DISCUSS THE MATTER, AND SPECIFYING A DATE BY WHICH THE APPOINTMENT MUST BE MADE. ANY PERSON BELIEVED TO HAVE INFORMATION RELEVANT TO AN INVESTIGATION MAY ALSO BE CONTACTED AND REQUESTED TO MAKE AN APPOINTMENT TO DISCUSS THE MATTER. FAILURE TO COMPLY WITH SUCH A REQUEST TO MAKE AND KEEP SUCH AN APPOINTMENT MAY RESULT IN A DISCIPLINARY HOLD BEING PLACED ON A STUDENT'S REGISTRATION AND RECORDS AND/OR THE INITIATION OF CHARGES FOR JUDICIAL SYSTEM ABUSE. UPON COMPLETION OF AN INVESTIGATION, THE INVESTIGATOR WILL DECIDE UPON AN APPROPRIATE COURSE OF ACTION, WHICH MAY INCLUDE, BUT IS NOT LIMITED TO, TAKING NO FURTHER ACTION, DEFERRING FURTHER ACTION WITH OR WITHOUT CONDITIONS, OR INITIATING CHARGES WITH THE APPROPRIATE UNIVERSITY JUDICIAL BODY.

3335-23-06 FILING OF COMPLAINT AND INITIATION OF CHARGES.

A WRITTEN COMPLAINT ALLEGING A VIOLATION OF THE CODE OF STUDENT CONDUCT MUST BE FILED WITH THE UNIVERSITY WITHIN SIX MONTHS OF THE IDENTIFICATION BY A UNIVERSITY OFFICIAL OF THE STUDENT ALLEGEDLY COMMITTING THE VIOLATION.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

ABSENT EXTRAORDINARY CIRCUMSTANCES, THE UNIVERSITY MUST INITIATE CHARGES, IF ANY, WITHIN ONE YEAR OF THE FILING OF THE COMPLAINT.

3335-23-07 NOTICE OF CHARGES.

STUDENTS SHALL BE NOTIFIED OF UNIVERSITY CHARGES IN WRITING, UNLESS A MORE EFFECTIVE FORM OF NOTIFICATION IS DEEMED APPROPRIATE. CHARGES MAY BE PRESENTED IN PERSON, BY PLACEMENT IN A STUDENT'S RESIDENCE HALL MAILBOX, OR BY MAIL TO THE ACCUSED STUDENT'S LOCAL OR PERMANENT ADDRESS ON FILE IN THE OFFICE OF THE UNIVERSITY REGISTRAR. ALL STUDENTS ARE REQUIRED TO MAINTAIN AN ACCURATE AND CURRENT LOCAL AND PERMANENT ADDRESS WITH THE UNIVERSITY REGISTRAR.

FOLLOWING NOTIFICATION OF CHARGES, STUDENTS ARE STRONGLY ENCOURAGED TO AND SHALL BE AFFORDED THE OPPORTUNITY TO MEET WITH A UNIVERSITY OFFICIAL FOR THE PURPOSE OF EXPLAINING THE UNIVERSITY JUDICIAL PROCESS AND DISCUSSION OF THE CHARGES. FAILURE OF THE ACCUSED STUDENT TO RESPOND TO THE INITIATION OF CHARGES OR SCHEDULE A PRELIMINARY MEETING SHALL IN NO WAY PREVENT THE UNIVERSITY FROM SCHEDULING AND CONDUCTING A HEARING IN THE ABSENCE OF THE ACCUSED STUDENT.

3335-23-08 ADMINISTRATIVE DECISION.

IN ALL CASES, A STUDENT CHARGED WITH ONE OR MORE VIOLATIONS OF THE STUDENT CODE HAS THE RIGHT TO A HEARING. HOWEVER, IN A CASE WHERE A CHARGED STUDENT ADMITS SUCH VIOLATIONS IN WRITING, THE STUDENT MAY REQUEST IN WRITING TO HAVE A DECISION AS TO APPROPRIATE ACTION MADE ADMINISTRATIVELY BY A HEARING OFFICER RATHER THAN HAVE THE CHARGES REFERRED TO A HEARING OFFICER, PANEL OR COMMISSION FOR A HEARING. IN SUCH SITUATIONS, THE STUDENT WAIVES THE RIGHT TO A HEARING AND THE RELATED PROCEDURAL GUARANTEES PROVIDED BY A HEARING OFFICER, PANEL OR COMMISSION HEARING. FOLLOWING AN ADMINISTRATIVE DECISION, THE STUDENT RETAINS THE RIGHT TO REQUEST AN APPEAL OF THE ORIGINAL DECISION, BUT MAY DO SO ONLY UPON THE GROUND THAT THE SANCTION IS GROSSLY DISPROPORTIONATE TO THE OFFENSE COMMITTED.

3335-23-09 NOTICE OF HEARING.

IF A HEARING IS TO BE HELD, WRITTEN NOTIFICATION WILL BE PROVIDED. THE NOTICE MAY BE HAND DELIVERED, PLACED INTO A STUDENT'S RESIDENCE HALL MAILBOX, OR MAILED TO THE LAST KNOWN ADDRESS OF THE STUDENT, EITHER BY CERTIFIED MAIL OR FIRST CLASS MAIL, NO FEWER THAN TEN CALENDAR DAYS PRIOR TO THE HEARING. UNLESS ALREADY PROVIDED TO THE STUDENT, THE NOTIFICATION WILL INCLUDE THE CHARGES, DATE, TIME, AND LOCATION OF THE HEARING, THE DESIGNATED HEARING OFFICER OR PANEL, A STATEMENT OF THE STUDENT'S RIGHTS, AND INFORMATION ON THE HEARING PROCEDURES. THE ACCUSED STUDENT MAY REQUEST A POSTPONEMENT FOR REASONABLE CAUSE OR A HEARING SEPARATE FROM OTHER ACCUSED PERSONS. A REQUEST FOR A POSTPONEMENT FOR REASONABLE CAUSE MUST BE MADE IN WRITING, INCLUDE SUPPORTING RATIONALE AND BE RECEIVED BY THE PERSON SENDING THE HEARING NOTIFICATION AT LEAST TWO BUSINESS DAYS BEFORE THE SCHEDULED HEARING.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

3335-23-10 HEARING PROCEDURES.

ALTHOUGH THE PROCEDURAL REQUIREMENTS ARE NOT AS FORMAL AS THOSE EXISTING IN CRIMINAL OR CIVIL COURTS OF LAW, TO ENSURE FAIRNESS, THE FOLLOWING PROCEDURES WILL APPLY AND, UNLESS ALREADY PROVIDED TO THE STUDENT, BE INCLUDED WITHIN THE HEARING NOTICE:

- (A) ATTENDANCE AT HEARINGS IS LIMITED TO THOSE DIRECTLY INVOLVED OR THOSE REQUESTED BY THE HEARING OFFICER OR PANEL TO ATTEND. THE HEARING OFFICER OR PANEL WILL TAKE REASONABLE MEASURES TO ASSURE AN ORDERLY HEARING, INCLUDING REMOVAL OF PERSONS WHO IMPEDE OR DISRUPT PROCEEDINGS.
- (B) THE ACCUSED STUDENT MAY HAVE AN ADVISOR THROUGHOUT THE HEARING. THE ADVISOR MAY ONLY COUNSEL THE STUDENT AND MAY NOT ACTIVELY PARTICIPATE IN THE HEARING, UNLESS CLARIFICATION IS NEEDED AS DETERMINED BY THE HEARING OFFICER OR PANEL.
- (C) THE ACCUSED MAY SUBMIT A WRITTEN STATEMENT, MAY INVITE RELEVANT WITNESSES TO ATTEND, MAY ASK QUESTIONS OF WITNESSES CALLED BY OTHERS, AND WILL BE NOTIFIED OF POTENTIAL WITNESSES TO BE CALLED. THE UNIVERSITY MAY PRESENT WITNESSES AS WELL AS QUESTION THOSE PRESENTED BY THE ACCUSED.
- (D) WRITTEN STATEMENTS MAY BE USED IF, FOR GOOD REASON, A WITNESS CANNOT ATTEND THE HEARING. WRITTEN STATEMENTS MUST BE NOTARIZED, ABSENT OTHER CLEAR EVIDENCE OF AUTHENTICITY.
- (E) IN CASES REQUIRING SPECIAL EXPERTISE, THE PANEL COORDINATOR MAY APPOINT INDIVIDUALS WITH APPROPRIATE EXPERTISE TO SERVE AS CONSULTANTS TO THE PANEL. THE CONSULTANTS MAY BE PRESENT AND PROVIDE INFORMATION AS CALLED UPON DURING THE HEARING BUT WILL NOT VOTE.
- (F) STUDENTS ARE ENTITLED TO A PRESUMPTION OF INNOCENCE. THEREFORE, A STUDENT WILL NOT BE FOUND IN VIOLATION UNLESS:
 - (1) IN CASES OF ACADEMIC MISCONDUCT (PARAGRAPH (A) OF RULE 3335-23-04 OF THE ADMINISTRATIVE CODE) A PREPONDERANCE OF THE EVIDENCE SUPPORTS THE CHARGE(S).
 - (2) IN ALL OTHER CASES OF PROHIBITED BEHAVIOR (PARAGRAPHS (B) THROUGH (G) OF RULE 3335-23-04 OF THE ADMINISTRATIVE CODE) CLEAR AND CONVINCING EVIDENCE SUPPORTS THE CHARGE(S).

3335-23-11 ATTENDANCE.

BECAUSE THE MOST ACCURATE AND FAIR REVIEW OF THE FACTS CAN BEST BE ACCOMPLISHED WHEN ALL PARTIES ARE PRESENT, THE ACCUSED IS EXPECTED TO ATTEND AND PARTICIPATE. IF AN INDIVIDUAL DOES NOT CHOOSE TO ATTEND A HEARING, THE CHARGES WILL BE REVIEWED AS SCHEDULED ON THE BASIS OF THE INFORMATION AVAILABLE, AND A DECISION WILL BE MADE. ALTHOUGH NO INFERENCE MAY BE DRAWN AGAINST A STUDENT FOR FAILING TO ATTEND A HEARING OR REMAINING SILENT, THE HEARING WILL PROCEED AND THE CONCLUSION WILL BE BASED ON THE EVIDENCE PRESENTED. NO DECISION SHALL BE BASED SOLELY ON

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

THE FAILURE OF THE ACCUSED STUDENT TO ATTEND THE HEARING OR ANSWER THE CHARGES.

3335-23-12 RECORD OF PROCEEDINGS.

A SINGLE RECORD CONSISTING OF WRITTEN NOTES, TAPE RECORDING, OR OTHER METHOD SELECTED BY THE HEARING PANEL OR OFFICER, WILL BE MADE OF ALL HEARINGS. SUCH RECORD WILL REMAIN THE PROPERTY OF THE UNIVERSITY BUT WILL BE MADE AVAILABLE TO THE ACCUSED FOR REVIEW DURING THE APPEAL PERIOD. A WRITTEN NOTICE OF THE DECISION AND, IF FOUND IN VIOLATION, INFORMATION REGARDING APPEAL PROCEDURES WILL BE PROVIDED TO THE ACCUSED STUDENT.

3335-23-13 HEARING BODIES.

IN ADDITION TO THE COMMITTEE ON ACADEMIC MISCONDUCT, RESIDENCE HALL LIVING UNITS COMMISSIONS, AND THE UNIVERSITY JUDICIAL PANEL, THE DIRECTOR OF STUDENT JUDICIAL AFFAIRS, HEARING OFFICERS WITHIN THE OFFICE OF STUDENT JUDICIAL AFFAIRS, THE COORDINATOR OF THE COMMITTEE ON ACADEMIC MISCONDUCT, AND RESIDENCE HALL PROFESSIONAL STAFF ARE TO BE CONSIDERED AS OFFICIAL UNIVERSITY HEARING OFFICERS, AND MAY HEAR CASES OF ALLEGED VIOLATIONS OF THE CODE AFFORDING ACCUSED STUDENTS THE SAME PROCEDURAL GUARANTEES AS PROVIDED IN HEARINGS BY A PANEL, COMMITTEE, OR COMMISSION.

3335-23-14 COMMITTEE ON ACADEMIC MISCONDUCT.

(A) THE COORDINATOR FOR THE COMMITTEE SHALL INVESTIGATE OR ESTABLISH PROCEDURES FOR INVESTIGATION OF ALL REPORTED CASES OF STUDENT ACADEMIC MISCONDUCT. HOWEVER, THE COMMITTEE DOES NOT HEAR CASES INVOLVING ACADEMIC MISCONDUCT IN PROFESSIONAL COLLEGES HAVING A PUBLISHED HONOR CODE. THESE COLLEGES SHALL FOLLOW THEIR OWN CODES AND PROCEDURES WHICH CAN BE OBTAINED IN THEIR RESPECTIVE CENTRAL OFFICES. THE COORDINATOR OR COMMITTEE MAY REFER COMPLAINTS TO THE OFFICE OF STUDENT JUDICIAL AFFAIRS IF IT IS DETERMINED THAT THE ACADEMIC MISCONDUCT ALLEGATION IS INCIDENTAL TO SOME OTHER MISCONDUCT.

(B) ALL COMPLAINTS OF ACADEMIC MISCONDUCT SHALL BE REPORTED TO THE COORDINATOR OF THE COMMITTEE. STUDENTS HAVE AN OBLIGATION TO REPORT SUSPECTED MISCONDUCT. THE COMMITTEE CONSISTS OF:

(1) EIGHTEEN REGULAR FACULTY MEMBERS SELECTED BY THE FACULTY COUNCIL FOR THREE-YEAR TERMS; BEGINNING WITH THE AUTUMN QUARTER. THE SELECTION IS TO BE HELD ANNUALLY SO THAT SIX MEMBERS WILL RETIRE EACH YEAR. NO UNIVERSITY FACULTY MEMBER SHALL SERVE MORE THAN TWO CONSECUTIVE TERMS;

(2) SEVEN GRADUATE STUDENT MEMBERS APPOINTED BY THE END OF THE SUMMER QUARTER BY THE PRESIDENT OF THE COUNCIL OF GRADUATE STUDENTS, WITH THE APPROVAL OF THE COUNCIL OF GRADUATE STUDENTS AND COMMITTEE COORDINATOR, FOR A ONE-YEAR TERM BEGINNING WITH THE AUTUMN QUARTER;

(3) SEVEN UNDERGRADUATE STUDENT MEMBERS APPOINTED BY THE END OF THE SUMMER QUARTER BY THE VICE PRESIDENT OF THE UNDERGRADUATE

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

STUDENT GOVERNMENT, WITH THE APPROVAL OF THE UNDERGRADUATE STUDENT GOVERNMENT, THE UNDERGRADUATE STUDENT SENATE, AND COMMITTEE COORDINATOR, FOR A ONE-YEAR TERM BEGINNING WITH THE AUTUMN QUARTER;

- (4) A COORDINATOR, APPOINTED BY THE EXECUTIVE VICE PRESIDENT AND PROVOST, EX-OFFICIO WITHOUT VOTE; AND
- (5) A QUORUM FOR A HEARING SHALL BE NO LESS THAN FOUR VOTING MEMBERS OF THE PANEL WHICH SHALL INCLUDE NO LESS THAN ONE STUDENT MEMBER AND TWO FACULTY MEMBERS.

3335-23-15 RESIDENCE HALL LIVING UNIT COMMISSIONS

THE COMMISSIONS MAY ONLY HEAR CASES, REFERRED BY A RESIDENCE HALL HEARING OFFICER, THAT INVOLVE CODE VIOLATIONS THAT OCCUR WITHIN THE RESIDENCE HALLS, WHETHER COMMITTED BY RESIDENTS OR NONRESIDENTS. THE COMMISSIONS ARE COMPOSED OF STUDENTS FROM EACH LIVING UNIT, AND MAY INITIATE ANY SANCTION WITH THE EXCEPTION OF SUSPENSION OR DISMISSAL. IF IT APPEARS DURING THE HEARING, TO THE COMMISSION OR TO THE COMMISSION ADVISOR, THAT THE VIOLATION MAY BE SERIOUS ENOUGH TO WARRANT SUSPENSION OR DISMISSAL, THE COMMISSION WILL ADJOURN AND REFER THE CASE BACK TO THE HEARING OFFICER FOR REFERRAL TO THE OFFICE OF STUDENT JUDICIAL AFFAIRS.

3335-23-16 UNIVERSITY JUDICIAL PANEL

THE UNIVERSITY JUDICIAL PANEL IS RESPONSIBLE FOR ADJUDICATING ALLEGATIONS OF NON-ACADEMIC MISCONDUCT REFERRED BY THE DIRECTOR OF STUDENT JUDICIAL AFFAIRS, EXCEPT FOR CASES INVOLVING VIOLATIONS OF PROFESSIONAL COLLEGE CODES. THE PANEL CONSISTS OF:

- (A) FIFTEEN FACULTY AND/OR ADMINISTRATIVE MEMBERS RECOMMENDED BY THE DIRECTOR OF STUDENT JUDICIAL AFFAIRS TO THE VICE PRESIDENT FOR STUDENT AFFAIRS FOR THREE-YEAR TERMS WHICH BEGIN WITH THE AUTUMN QUARTER;
- (B) TWELVE UNDERGRADUATE STUDENT MEMBERS, APPOINTED BY THE VICE PRESIDENT OF THE UNDERGRADUATE STUDENT GOVERNMENT;
- (C) SIX GRADUATE STUDENT MEMBERS, APPOINTED BY THE PRESIDENT OF THE COUNCIL OF GRADUATE STUDENTS;
- (D) TWO PROFESSIONAL STUDENT MEMBERS, APPOINTED BY THE PRESIDENT OF THE INTER-PROFESSIONAL COUNCIL; AND
- (E) THE DIRECTOR OF STUDENT JUDICIAL AFFAIRS OR DESIGNEE SHALL SERVE AS PANEL COORDINATOR EX-OFFICIO WITHOUT VOTE;
- (F) A QUORUM FOR A HEARING SHALL BE NO FEWER THAN FOUR VOTING MEMBERS OF THE PANEL WHICH SHALL INCLUDE NO FEWER THAN TWO STUDENT MEMBERS.

ALL STUDENT APPOINTMENTS SHALL BE FOR ONE-YEAR TERMS BEGINNING WITH THE AUTUMN QUARTER. TO BE ELIGIBLE FOR APPOINTMENT, A STUDENT MUST POSSESS A MINIMUM 2.5 CUMULATIVE GRADE POINT AVERAGE AND BE UNDER NO CURRENT DISCIPLINARY SANCTION FROM THE UNIVERSITY.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

UNIVERSITY SANCTIONS

3335-23-17 GENERAL GUIDELINES FOR SANCTIONS.

SANCTIONS SHOULD BE COMMENSURATE WITH THE VIOLATION(S) FOUND TO HAVE OCCURRED. IN DETERMINING THE SANCTION(S) TO BE IMPOSED, THE HEARING OFFICER OR PANEL SHOULD TAKE INTO ACCOUNT ANY MITIGATING CIRCUMSTANCES AND ANY AGGRAVATING FACTORS INCLUDING, BUT NOT LIMITED TO, ANY PROVOCATION BY THE SUBJECT OF THE CONDUCT THAT CONSTITUTED THE VIOLATION, ANY PAST MISCONDUCT BY THE STUDENT, ANY FAILURE OF THE STUDENT TO COMPLY FULLY WITH PREVIOUS SANCTIONS, THE ACTUAL AND POTENTIAL HARM CAUSED BY THE VIOLATION, THE DEGREE OF INTENT AND MOTIVATION OF THE STUDENT IN COMMITTING THE VIOLATION, AND THE SEVERITY AND Pervasiveness OF THE CONDUCT THAT CONSTITUTED THE VIOLATION. IMPAIRMENT RESULTING FROM VOLUNTARY USE OF ALCOHOL OR DRUGS (I.E., OTHER THAN MEDICALLY NECESSARY) WILL BE CONSIDERED AN AGGRAVATING, AND NOT A MITIGATING, FACTOR. ONE OR MORE OF THE FOLLOWING COURSES OF ACTION MAY BE TAKEN WHEN A STUDENT HAS BEEN FOUND TO HAVE VIOLATED THE CODE OF STUDENT CONDUCT.

(A) INFORMAL ADMONITION.

AN ORAL OR WRITTEN ADMONITION ISSUED BY A HEARING OFFICER OR RESIDENCE HALL ADVISOR RESULTING FROM THE STUDENT'S MISCONDUCT. NO FORMAL CHARGES, HEARING OR OTHER COMPLIANCE WITH THE CODE IS REQUIRED BEFORE THE ISSUANCE OF AN INFORMAL ADMONITION. HOWEVER, FOLLOWING ISSUANCE OF AN INFORMAL ADMONITION, THE STUDENT SHALL BE ENTITLED TO A HEARING UPON WRITTEN REQUEST, UNDER THE PROCEDURES PROVIDED IN THE CODE OF STUDENT CONDUCT. A WRITTEN REQUEST FOR SUCH A HEARING MUST BE FILED WITH THE UNIVERSITY OFFICIAL WHO ADMINISTERED THE INFORMAL ADMONITION, WITHIN FIVE WORKING DAYS OF THE STUDENT'S RECEIPT OF THE INFORMAL ADMONITION. AN INFORMAL ADMONITION SHALL NOT BE CONSIDERED A DISCIPLINARY SANCTION, BUT MAY BE CONSIDERED IN ANY SUBSEQUENT HEARINGS.

(B) DISCIPLINARY SANCTIONS.

(1) FORMAL REPRIMAND.

A WRITTEN LETTER OF REPRIMAND RESULTING FROM A STUDENT'S MISCONDUCT.

(2) CONDUCT PROBATION.

THIS PROBATIONARY CONDITION IS FOR A SPECIFIED PERIOD OF TIME BUT WITHOUT LOSS OF PRIVILEGES. FURTHER VIOLATION OF UNIVERSITY POLICIES DURING THE PROBATIONARY PERIOD WILL BE VIEWED NOT ONLY AS THE ACT ITSELF, BUT ALSO AS A VIOLATION OF THE PROBATION, WHICH COULD RESULT IN DISCIPLINARY PROBATION, SUSPENSION OR DISMISSAL.

(3) DISCIPLINARY PROBATION.

THIS PROBATIONARY CONDITION IS IN EFFECT FOR A SPECIFIED PERIOD OF TIME AND MAY INVOLVE THE LOSS OF SPECIFIED PRIVILEGES. FURTHER VIOLATION OF UNIVERSITY POLICIES DURING THE PROBATIONARY PERIOD

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

WILL BE VIEWED NOT ONLY AS A VIOLATION BASED UPON THE ACT ITSELF BUT ALSO AS A VIOLATION OF THE PROBATION, WHICH SHALL RESULT IN FURTHER ACTION UP TO AND INCLUDING SUSPENSION OR DISMISSAL.

(4) SUSPENSION.

SUSPENSION IS A SANCTION THAT TERMINATES THE STUDENT'S ENROLLMENT AT THE UNIVERSITY FOR A SPECIFIED PERIOD OF TIME. SATISFACTORY COMPLETION OF SPECIFIED STIPULATIONS MAY BE REQUIRED FOR READMISSION AT THE END OF THE SUSPENSION PERIOD.

(5) DISMISSAL.

DISMISSAL IS A SANCTION WHICH PERMANENTLY SEPARATES A STUDENT FROM THE UNIVERSITY WITHOUT OPPORTUNITY TO RE-ENROLL IN THE FUTURE.

(C) CONDITIONS OF SUSPENSION AND DISMISSAL.

A STUDENT WHO HAS BEEN DISMISSED OR SUSPENDED FROM THE UNIVERSITY SHALL BE DENIED ALL PRIVILEGES AFFORDED A STUDENT AND SHALL BE REQUIRED TO VACATE CAMPUS AT A TIME DETERMINED BY THE HEARING OFFICER OR PANEL. IN ADDITION, AFTER VACATING CAMPUS PROPERTY, A SUSPENDED OR DISMISSED STUDENT MAY NOT ENTER UPON CAMPUS AND/OR OTHER UNIVERSITY PROPERTY AT ANY TIME, FOR ANY PURPOSE, IN THE ABSENCE OF EXPRESS WRITTEN PERMISSION FROM THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE. TO SEEK SUCH PERMISSION, A SUSPENDED OR DISMISSED STUDENT MUST FILE A WRITTEN PETITION TO THE VICE PRESIDENT FOR STUDENT AFFAIRS FOR ENTRANCE TO THE CAMPUS FOR A LIMITED, SPECIFIED PURPOSE OR TO HAVE THE TERMS OF THIS CONDITION MODIFIED OR REDUCED.

(D) FAILING OR LOWERED GRADES.

IN CASES OF ACADEMIC MISCONDUCT, A HEARING OFFICER OR PANEL MAY AUTHORIZE THE INSTRUCTOR TO AWARD A FAILING OR LOWERED GRADE IN THE COURSE, A LOSS OF CREDIT ON THE ASSIGNMENT OR EXAMINATION, AND IMPOSE ANY OF THE ABOVE-LISTED SANCTIONS INCLUDING SUSPENSION OR DISMISSAL FROM THE UNIVERSITY.

(E) OTHER SANCTIONS.

OTHER APPROPRIATE SANCTIONS MAY BE IMPOSED BY A HEARING OFFICER OR PANEL SINGULARLY OR IN COMBINATION WITH ANY OF THE ABOVE-LISTED SANCTIONS. EXAMPLES INCLUDE, BUT ARE NOT LIMITED TO, MAKING RESTITUTION FOR PROPERTY DAMAGE OR MISAPPROPRIATION OF UNIVERSITY PROPERTY OR SERVICES, OR THE PROPERTY OF ANY PERSON, RESIDENCE HALL CONTRACT TERMINATION OR REASSIGNMENT TO ANOTHER ROOM, RESTRICTION OF ACCESS TO SPECIFIED CAMPUS FACILITIES AND/OR PROPERTY, RESEARCH ASSIGNMENTS, COMMUNITY SERVICE PROJECTS, SPECIAL WORKSHOP PARTICIPATION, AND/OR REFERRAL TO MEDICAL RESOURCES OR COUNSELING PERSONNEL.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

APPEAL PROCESS

3335-23-18 APPELLATE PROCESS.

(A) RIGHT TO APPEAL.

A STUDENT FOUND TO HAVE VIOLATED THIS CODE HAS THE RIGHT TO APPEAL THE ORIGINAL DECISION. AN APPEAL OF A DECISION MUST BE SUBMITTED IN WRITING AND POSTMARKED OR HAND DELIVERED TO THE APPROPRIATE APPEAL OFFICER, AS PROVIDED BELOW, WITHIN TEN CALENDAR DAYS AFTER THE DATE ON WHICH WRITTEN NOTICE OF THE DECISION IS SENT TO THE STUDENT. EACH STUDENT SHALL BE LIMITED TO ONE APPEAL. THE DECISION OF THE APPEAL OFFICER IS FINAL.

(B) GROUNDS FOR APPEAL.

AN APPEAL MAY BE BASED ONLY UPON ONE OR MORE OF THE FOLLOWING GROUNDS:

(1) PROCEDURAL ERROR;

(2) MISAPPLICATION OR MISINTERPRETATION OF THE RULE ALLEGED TO HAVE BEEN VIOLATED;

(3) FINDINGS OF FACTS NOT SUPPORTED BY:

(a) A PREPONDERANCE OF EVIDENCE IN CASES OF ACADEMIC MISCONDUCT (PARAGRAPH (A) OF RULE 3335-23-04 OF THE ADMINISTRATIVE CODE).

(b) CLEAR AND CONVINCING EVIDENCE IN ALL OTHER INSTANCES OF PROHIBITED BEHAVIOR (PARAGRAPHS (B) THROUGH (O) OF RULE 3335-23-04 OF THE ADMINISTRATIVE CODE).

(4) DISCOVERY OF SUBSTANTIAL NEW FACTS THAT WERE UNAVAILABLE AT THE TIME OF THE HEARING; AND

(5) THAT THE DISCIPLINARY SANCTION IMPOSED IS GROSSLY DISPROPORTIONATE TO THE VIOLATION COMMITTED.

(C) APPROPRIATE APPEAL OFFICERS.

(1) APPEALS FROM RESIDENCE HALL HEARINGS:

(a) ALL APPEALS FROM RESIDENCE HALL HEARINGS OTHER THAN CONTRACT TERMINATIONS, SHALL BE SUBMITTED TO THE DIRECTOR OF RESIDENCE LIFE OR DESIGNEE.

(b) ALL APPEALS WHERE THE SANCTION IMPOSED BY THE RESIDENCE HALL HEARING IS CONTRACT TERMINATION SHALL BE SUBMITTED TO THE DIRECTOR OF STUDENT JUDICIAL AFFAIRS OR DESIGNEE.

(2) APPEALS FROM A JUDICIAL AFFAIRS HEARING OFFICER'S DECISION OR FROM THE UNIVERSITY JUDICIAL PANEL'S DECISION WILL BE SUBMITTED

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

FOR DECISION TO THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE.

- (3) APPEALS FROM DECISIONS OF THE COORDINATOR OF ACADEMIC MISCONDUCT OR THE COMMITTEE ON ACADEMIC MISCONDUCT WILL BE SUBMITTED FOR DECISION TO THE EXECUTIVE VICE PRESIDENT AND PROVOST OR DESIGNEE.

(D) APPEAL PROCEEDINGS.

- (1) THE APPEAL OFFICER SHALL DISMISS THE APPEAL IF THE APPEAL IS NOT BASED UPON ONE OR MORE OF THE GROUNDS SET FORTH IN PARAGRAPH (B) OF THIS RULE.

- (2) THE APPEAL OFFICER MAY DECIDE THE APPEAL BASED UPON A REVIEW OF THE RECORD.

- (3) THE APPEAL OFFICER MAY REQUEST ADDITIONAL WRITTEN INFORMATION OR AN ORAL PRESENTATION FROM ANY RELEVANT PERSON(S) AND THEN DECIDE THE APPEAL BASED UPON THE ENHANCED RECORD.

(E) POSSIBLE DISPOSITIONS BY THE APPEAL OFFICER.

THE APPEAL OFFICER MAY, AFTER A REVIEW OF THE RECORD, UPHOLD THE ORIGINAL SANCTION, DISMISS THE ORIGINAL SANCTION, OR IMPOSE A LESSER SANCTION. AN APPEAL OFFICER MAY ALSO REMAND THE CASE TO THE ORIGINAL HEARING BODY OR REFER THE CASE TO A NEW HEARING OFFICER OR PANEL TO BE REHEARD. IF POSSIBLE, A NEW HEARING OFFICER OR PANEL SHOULD BE DIFFERENT FROM THE ONE THAT ORIGINALLY DECIDED THE CASE. IF A CASE IS REHEARD BY A HEARING OFFICER OR PANEL, THE SANCTION IMPOSED CAN BE GREATER THAN THAT IMPOSED AT THE ORIGINAL HEARING.

3335-23-19 MINOR DEVIATIONS FROM PROCEDURE.

A STUDENT AND HEARING OFFICER MAY AGREE IN ADVANCE TO MINOR DEVIATIONS FROM PROCEDURE. SUCH DEVIATIONS ARE NOT THEN SUBJECT TO APPEAL. OTHER MINOR DEVIATIONS ARE ACCEPTABLE AS LONG AS SUCH DEVIATIONS ARE NOT FOUND UPON APPEAL TO BE UNREASONABLY HARMFUL TO THE STUDENT.

3335-23-20 INTERIM SUSPENSION.

WHEN THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE HAS REASONABLE CAUSE TO BELIEVE THAT THE STUDENT'S PRESENCE ON UNIVERSITY PREMISES OR AT A UNIVERSITY-RELATED OR REGISTERED STUDENT ORGANIZATION ACTIVITY POSES A SIGNIFICANT RISK OF SUBSTANTIAL HARM TO THE HEALTH OR SAFETY OF OTHERS OR TO PROPERTY, THE STUDENT MAY BE IMMEDIATELY SUSPENDED FROM ALL OR ANY PORTION OF UNIVERSITY PREMISES, UNIVERSITY-RELATED ACTIVITIES OR REGISTERED STUDENT ORGANIZATION ACTIVITIES. THIS TEMPORARY SUSPENSION WILL BE CONFIRMED BY A WRITTEN STATEMENT AND SHALL REMAIN IN EFFECT UNTIL THE CONCLUSION OF A FULL HEARING OR ADMINISTRATIVE DECISION, WITHOUT UNDUE DELAY, IN ACCORDANCE WITH THE RULES OF THE OHIO STATE UNIVERSITY. THE STUDENT MAY, WITHIN THREE WORKING DAYS OF THE IMPOSITION OF THE SUSPENSION, PETITION THE VICE PRESIDENT FOR STUDENT AFFAIRS FOR REINSTATEMENT. THE PETITION MUST BE IN WRITING, AND MUST INCLUDE SUPPORTING DOCUMENTATION OR EVIDENCE THAT THE STUDENT DOES NOT POSE,

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

OR NO LONGER POSES, A SIGNIFICANT RISK OF SUBSTANTIAL HARM TO THE HEALTH OR SAFETY OF OTHERS OR TO PROPERTY. A HEARING ON SUCH PETITION WILL BE CONDUCTED WITHOUT UNDUE DELAY BY THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE.

3335-23-21 ADMINISTRATIVE DISENROLLMENT AND OTHER RESTRICTIONS.

(A) A STUDENT MAY BE DISENROLLED FROM THE UNIVERSITY; PROHIBITED FROM ALL OR ANY PORTION OF UNIVERSITY PREMISES, UNIVERSITY-RELATED ACTIVITIES OR REGISTERED STUDENT ORGANIZATION ACTIVITIES; AND/OR PERMITTED TO REMAIN ONLY UNDER SPECIFIED CONDITIONS WHEN THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE FINDS THAT THERE IS CLEAR AND CONVINCING EVIDENCE THAT:

(1) THE STUDENT'S CONTINUED PRESENCE POSES A SIGNIFICANT RISK OF SUBSTANTIAL HARM TO THE HEALTH OR SAFETY OF THEMSELVES, OTHERS, OR TO PROPERTY; OR

(2) THE STUDENT, AS A DIRECT RESULT OF AN APPARENT HEALTH CONDITION, IS ENGAGED IN SUBSTANTIAL, CONTINUING DISRUPTION OF TEACHING, LEARNING, RESEARCH, ADMINISTRATION OR OTHER UNIVERSITY-RELATED ACTIVITIES.

BEFORE MAKING SUCH A DETERMINATION, THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE SHALL NOTIFY THE STUDENT IN WRITING OF THE REASONS THAT DISENROLLMENT OR OTHER ACTION IS BEING CONSIDERED, PROVIDE THE STUDENT WITH AN OPPORTUNITY TO RESPOND, AND CONSULT WITH APPROPRIATE UNIVERSITY PERSONNEL. THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE MAY ALSO CONSULT WITH ANY OTHER PERSONS WHOM THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE DEEMS APPROPRIATE UNDER THE CIRCUMSTANCES.

(B) IN THOSE CASES UNDER PARAGRAPH (A)(1) OF THIS RULE IN WHICH IT APPEARS THAT THE RISK POSED BY THE STUDENT IS A RESULT OF A HEALTH CONDITION OR A DISABILITY AS DEFINED BY THE AMERICANS WITH DISABILITIES ACT, AND IN ALL CASES UNDER PARAGRAPH (A)(2) OF THIS RULE, THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE SHALL ALSO DETERMINE WHETHER THE RISK OR DISRUPTION CAN BE ELIMINATED OR SUFFICIENTLY REDUCED THROUGH REASONABLE ACCOMMODATION AND, IF SO, SHALL TAKE APPROPRIATE STEPS TO ENSURE THAT ACCOMMODATION IS MADE. THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE MAY REQUEST THE STUDENT TO UNDERGO AN APPROPRIATE EXAMINATION, AS SPECIFIED BY THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE, TO DETERMINE WHETHER ANY SUCH CONDITION EXISTS AND WHETHER ANY SUCH ACCOMMODATION IS POSSIBLE. IF THE STUDENT FAILS TO UNDERGO SUCH AN EXAMINATION, AND IF THE OTHER AVAILABLE EVIDENCE SUPPORTS A FINDING UNDER EITHER PARAGRAPH (A)(1) OR (A)(2) OF THIS RULE, THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE SHALL, TO THE EXTENT REASONABLY POSSIBLE, TAKE THE LEAST RESTRICTIVE MEASURE OR COMBINATION OF MEASURES NECESSARY TO RESOLVE THE RISK OR DISRUPTION.

(C) A STUDENT WHO HAS BEEN DISENROLLED; PROHIBITED FROM UNIVERSITY PREMISES, UNIVERSITY-RELATED ACTIVITIES OR REGISTERED STUDENT ORGANIZATION ACTIVITIES; OR PERMITTED TO REMAIN ONLY UNDER SPECIFIED CONDITIONS MAY PETITION THE VICE PRESIDENT FOR STUDENT AFFAIRS FOR

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Amended Rules (contd)

REVISION OF THAT STATUS. THE PETITION MUST INCLUDE SUPPORTING DOCUMENTATION OR EVIDENCE THAT:

- (1) THE CONDITIONS FOUND TO HAVE EXISTED UNDER PARAGRAPH (A)(1) OR (A)(2) OF THIS RULE NO LONGER EXIST AND WILL NOT RECUR, AND
- (2) THE STUDENT MEETS ALL NORMAL AND APPROPRIATE STANDARDS FOR ADMISSION AND ENROLLMENT IN ANY ACADEMIC UNIT IN WHICH THE STUDENT SEEKS TO RE-ENROLL.

UPON RECEIPT OF SUCH A PETITION, THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE SHALL EVALUATE THE EVIDENCE AND MAY CONSULT WITH THE STUDENT, ANY APPROPRIATE UNIVERSITY PERSONNEL, AND ANY OTHER PERSONS WHOM THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE DEEMS APPROPRIATE. THE VICE PRESIDENT FOR STUDENT AFFAIRS OR DESIGNEE MAY DENY THE PETITION, GRANT THE PETITION IN WHOLE OR IN PART UNDER SPECIFIED CONDITIONS, OR GRANT THE PETITION IN WHOLE OR IN PART WITHOUT CONDITION.

3335-23-22 AUTHORITY SECTION.

THE BYLAWS OF THE UNIVERSITY BOARD OF TRUSTEES AND RULES OF THE UNIVERSITY FACULTY PROVIDE THAT THE UNIVERSITY PRESIDENT SHALL HAVE THE FINAL RESPONSIBILITY AND AUTHORITY FOR THE DISCIPLINE OF ALL STUDENTS OF THE UNIVERSITY (SEE PARAGRAPH (A) OF RULE 3335-11-01 OF THE ADMINISTRATIVE CODE). THIS RESPONSIBILITY AND AUTHORITY HAS BEEN DELEGATED BY THE PRESIDENT TO THE VICE PRESIDENT FOR STUDENT AFFAIRS, WHOSE OFFICE IS ALSO CHARGED WITH RESPONSIBILITY FOR PROMULGATION OF RULES GOVERNING STUDENT CONDUCT (SEE PARAGRAPH (H) OF RULE 3335-1-03 OF THE ADMINISTRATIVE CODE).

THE DEANS OF COLLEGES AND OF THE GRADUATE SCHOOL, THE DIRECTORS OF SCHOOLS, AND THE CHAIRPERSONS OF DEPARTMENTS, RESPECTIVELY, ARE RESPONSIBLE TO THE PRESIDENT THROUGH REGULAR DISCIPLINARY CHANNELS FOR THE DISCIPLINE OF ALL STUDENTS IN THE ACTIVITIES OF THEIR RESPECTIVE COLLEGES, SCHOOLS, AND DEPARTMENTS (SEE PARAGRAPH (B) OF RULE 3335-11-01 OF THE ADMINISTRATIVE CODE). LIKEWISE, THE DEANS AND DIRECTORS OF THE REGIONAL CAMPUSES ARE RESPONSIBLE TO THE PRESIDENT THROUGH THE EXECUTIVE VICE PRESIDENT AND PROVOST FOR THE DISCIPLINE OF ALL STUDENTS IN THE ACTIVITIES OF THEIR RESPECTIVE CAMPUSES.

THE OHIO STATE UNIVERSITY CODE OF STUDENT CONDUCT IS AN OFFICIAL PUBLICATION OF THE UNIVERSITY BOARD OF TRUSTEES. ALL PETITIONS FOR REVISION AND AMENDMENT OF THIS CODE OF STUDENT CONDUCT SHOULD BE SUBMITTED THROUGH THE OFFICE OF THE VICE PRESIDENT FOR STUDENT AFFAIRS. PROPOSED REVISIONS TO THE CODE SHALL BE REVIEWED, IN DRAFT FORM, BY THE OFFICE OF THE PRESIDENT, THE OFFICE OF ACADEMIC AFFAIRS, AND THE STEERING COMMITTEE OF THE UNIVERSITY SENATE BEFORE BEING PRESENTED FOR APPROVAL TO THE UNIVERSITY SENATE BY THE COUNCIL ON STUDENT AFFAIRS. NO REVISION SHALL BECOME EFFECTIVE UNLESS APPROVED BY THE UNIVERSITY BOARD OF TRUSTEES AND UNTIL PRINTED NOTICE OF SUCH REVISIONS IS MADE AVAILABLE TO STUDENTS.

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded

Chapter 3335-25

RULES, POLICIES AND REGULATIONS

A student found guilty of the following conduct will be subject to university disciplinary action.

~~3335-25-01 Prohibited conduct.~~

~~(A) Academic misconduct.~~

~~Academic misconduct, as defined in rule 3335-31-02 of the Administrative Code.~~

~~(B) Infliction of bodily or emotional harm.~~

~~Infliction or threat of infliction of bodily or emotional harm, whether done intentionally or with reckless disregard, including threat or action in retaliation for making allegations of misconduct.~~

~~(C) Destruction of property.~~

~~Actual or threatened damage to or destruction of university property or property of others while on university premises, whether done intentionally or with reckless disregard.~~

~~(D) Dangerous weapons or devices.~~

~~Use, storage, or possession of dangerous weapons or devices including, but not limited to, firearms and fireworks unless authorized by an appropriate university official or permitted by a university policy.~~

~~(E) Dishonest conduct.~~

~~Dishonest conduct, including, but not limited to, knowingly reporting a false emergency; knowingly making false accusation of misconduct; misuse or falsification of university documents by actions such as forgery, alteration, or improper transfer; submission to a university official of information known by the submitter to be false.~~

~~(F) Theft/unauthorized use of property.~~

~~Theft or attempted theft, or the unauthorized use or possession of university property or services, or the property of others while on university premises.~~

~~(G) Failure to comply with university authority.~~

~~Failure to comply with directives of authorized university officials, identified as such, in the performance of their duties, including failure to identify oneself when so requested; or, violation of the terms of a disciplinary sanction.~~

~~(H) Drugs or alcohol.~~

~~Use, production, distribution, sale, or possession of drugs or alcohol in a manner prohibited under Ohio law.~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~(I) Unauthorized presence.~~

~~Unauthorized entrance to or presence in or on university premises.~~

~~(J) Disorderly conduct.~~

~~Disorderly conduct that interferes with university authorized activities, including teaching, research, administration, or other activities conducted, sponsored, or permitted by the university.~~

~~(K) Violation of university rules.~~

~~Violation of other published university regulations, policies, or rules, or any other violation of state or federal law committed on university premises.~~

~~3335-25-02 Disruption.~~

~~(A) Rule 3335-11-02 of the Administrative Code provides for disciplinary action to be taken when a person commits conduct that is intended to disrupt or prevent university authorized activities. This includes such conduct as obstruction of lawful movement on campus, occupation of buildings, employment or threat of force, interferences with teaching, research, or administration, damage to equipment or property, or successful solicitation to such actions. The rule does not prohibit peaceful dissent or demonstration. Rule 3335-11-021 of the Administrative Code provides for the appointment of hearing officers for these cases. Moreover, under rule 3335-11-022 of the Administrative Code, whenever a criminal court exercises jurisdiction over acts which allegedly constitute disruption by university rules, the university will not exercise jurisdiction, except in extraordinary cases of clear and present danger. (Rules 3335-11-02, 3335-11-021, and 3335-11-022 of the Administrative Code are available from the office of student life or college offices.)~~

~~(B) The Ohio Campus Disruption Act.~~

~~The Campus Disruption Act procedures are contained in sections 3345.22 to 3345.26 of the Revised Code. This act provides for a special hearing officer to be appointed by the board of regents to hear cases where a student, faculty or staff member, or employee has been arrested for certain offenses under the criminal code. The offenses include, but are not limited to: assault, menacing, arson, vandalism, and incitement to riot. Under the act, a hearing is to be held within five days of arrest of the person for the purpose of determining whether the accused person should be immediately suspended from the university. If a preponderance of the evidence elicited at the hearing indicates that the accused committed an offense under the Disruption Act, the person will be suspended from the university unless the order of the university would not be compromised by the person's presence. Conviction of the charges on which the accused was arrested will cause dismissal. An acquittal will cause reinstatement and expungement of the record. If charged but not arrested, a hearing as authorized under the act will not be held, but a conviction for any of the enumerated offenses will result in automatic dismissal from the university for one year (Division (A) of section 3345.23 of the Revised Code).~~

~~3335-25-03 Policies.~~

~~Established policies and rights exist to ensure an environment conducive to equal treatment and quality living for all members of the university community. Students violating university policy may be subject to disciplinary action.~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

(A) ~~Nondiscrimination.~~

~~The policy of the Ohio state university is that discrimination against any individual for reasons of race, color, creed, religion, sexual orientation, national origin, sex, age, handicap, or Vietnam-era veteran status is specifically prohibited. Title IX of the education amendments of 1972 prohibits sex discrimination and Section 504 of the Rehabilitation Act of 1973 prohibits discrimination on the basis of handicap in education programs and activities. Accordingly, equal access to employment opportunities, admissions, educational programs, and all other university activities is extended to all persons, and the university promotes equal opportunity through a positive and continuing affirmative action program. (Further details on this policy may be obtained in the office of affirmative action.)~~

(B) ~~Sexual harassment.~~

~~Sexual harassment is prohibited. Sexual harassment is any unwelcome sexual advance, request for sexual favor, reference to gender or sexual orientation, or other physical or verbal conduct of a sexual nature when:~~

- ~~(1) Submission to or rejection of such conduct is used either explicitly or implicitly as a basis for any decision affecting terms or conditions of an individual's employment, participation in any program or activity, or status in an academic course; or~~
- ~~(2) Such conduct has the effect of unreasonably interfering with an individual's work performance or educational experience, or creates an intimidating, hostile or offensive environment for working, learning, or living on campus, and has no legitimate relationship to the subject matter of a course.~~

~~(Further details and procedures may be obtained in the office of student life and/or the office of human resources.)~~

(C) ~~Hazing.~~

~~Hazing is both a civil and criminal offense in Ohio, and is therefore prohibited at the Ohio state university, regardless of whether the event occurs on or off campus. Consistent with state law, the university defines hazing as doing any act, or requiring or encouraging any act, of initiation into any student or other organization that causes, or creates a substantial risk of causing, mental or physical harm to any person (see sections 2903.31 and 2307.44 of the Ohio revised code.)~~

~~Hazing also occurs if the continued association with or membership in any group, registered with or affiliated with the university, is directly or indirectly conditional upon performing any activity which would cause or create a substantial risk of causing mental or physical harm.~~

~~The negligence or consent of the victim or any assumption of the risk by the victim is not a defense. (See section 2307.44 of the Ohio revised code.)~~

(D) ~~Confidentiality of records.~~

~~Education records are defined by the Family Educational Rights and Privacy Act of 1974, as amended, to be those records, files, documents, and other materials which contain information directly related to a student and are maintained by any unit of the university. The following are not considered to be educational records: records made by university personnel which are in the sole possession of the maker and are not accessible or revealed to any other person, records maintained by the office of public safety, and medical and counseling records used solely for~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~treatment. Under the act, students are given the following rights regarding their educational records:~~

- ~~(1) Students have the right to inspect and review all of their education records except financial records of parents, and confidential letters and statements of recommendation for which students have waived their right of access.~~
- ~~(2) Students have the right to challenge the content of their education records if they consider the information contained therein to be inaccurate, misleading, or inappropriate, and to have these records amended if a hearing officer or an appeals board, appointed by the university registrar, renders a decision favorable to the student.~~
- ~~(3) Students may waive their right of access to confidential letters and statements of recommendation.~~
- ~~(4) Students must provide written consent for the release of educational records except for certain types of requests specified by the act.~~

~~(Details are available in the offices of student life and the university registrar.)~~

~~(E) Entry, search and seizure.~~

- ~~(1) Every effort is made to ensure privacy in university housing and offices. Nevertheless, a designated university official has the right to enter any part of the university premises to perform reasonable custodial, maintenance and repair services, to inspect for damages or cleanliness, or in case of emergency. In university housing, reasonable effort will be made to give twenty four hour notice before the service or inspection is performed.~~
- ~~(2) A designated university official may enter and search university premises if there is reason to believe that the premises are being used for an illegal purpose or a purpose which violates health or safety regulations or interferes with normal university operation; evidence found in such a search may be confiscated and used in disciplinary proceedings. In university housing, the designated university official shall be a residence hall director. When a residence hall director enters and searches university housing as provided for under this paragraph, reasonable efforts must be made to have present a resident of the room or apartment being searched. If a resident of the room or apartment is not present after such reasonable efforts have been made, an officer of the hall or complex student council shall witness the search.~~
- ~~(3) Law officials may enter, search, and seize evidence in accordance with law.~~

~~(F) Means of expression.~~

- ~~(1) The university may establish, promulgate, and enforce regulations, including regulation of sound equipment, rallies, parades, demonstrations, or other assemblies, to assure safety of persons and property and to prevent disruption of the educational process. The university must make available to students any such regulations.~~
- ~~(2) No written materials may be attached by any method to university premises or property unless such posting is approved in accordance with regulations to be obtained from the student organizations and activities office.~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~(G) Patents and copyrights.~~

~~The university's policy on patents and copyrights in some circumstances may require that legal rights in inventions, discoveries, copyrightable works (including computer software), etc., developed by students be transferred to the university. That policy provides appeal procedures for resolving questions relating to such rights. Students enrolled in the university are subject to the policy. Copies of the full policy are available from the office of the patent and copyright administrator, 204 research center.~~

~~(H) Drug and alcohol policy.~~

~~The illegal use, possession, sale, manufacture, or distribution of drugs is prohibited by the Ohio state university. In addition, the misuse of substances which present physical or psychological hazards to individuals is prohibited.~~

~~Those choosing to provide, sell, or otherwise permit consumption of alcoholic beverages at their event shall comply with applicable state and local laws and university policies regulating alcoholic beverages.~~

Chapter 3335-27

DISCIPLINE PROCEEDINGS

~~3335-27-01 Initiation and investigation of code violations.~~

~~(A) Initiation.~~

~~Person(s) witnessing or experiencing what they believe to be a possible code violation may provide an authorized university official with the information. Information about possible code violations occurring in residence halls should be provided to the residence hall director. Information about possible non-residence hall related code violations should be provided to the coordinator of judicial affairs. Information regarding academic misconduct should be referred to the coordinator of the committee on academic misconduct. The university will review all information received and may conduct a preliminary investigation of the alleged violation.~~

~~(B) Investigation.~~

~~Residence hall directors, assistant hall directors, the coordinator of judicial affairs, and others appointed by the dean of student life are authorized to investigate alleged violations other than those involving academic misconduct. During preliminary investigation, the student allegedly involved in misconduct will be sent a letter describing the violation, whom to contact for a preliminary interview, and that date by which the contact should occur. Any person believed to have information relevant to the investigation may be contacted and requested to make an appointment to discuss the matter. Upon completion of the preliminary investigation the investigator will decide whether to dismiss, change or affirm the charges. Residence hall officials may refer cases involving possible suspension or dismissal to the dean of student life or the dean's designee.~~

~~3335-27-02 Administrative decision.~~

~~In all cases, a student has the right to a panel hearing. However, in a case where a student is charged with violations other than academic misconduct, the student may opt in writing to have a decision as to appropriate action made administratively by an appropriate investigator/ hearing officer rather than have~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~the charges referred to a panel or commission for the decision. The student thus waives the right to a hearing and the related procedural guarantees provided in a panel or commission hearing. The student will sign the waiver at the time of the preliminary interview. Administrative decision does not preclude further investigation into other charges related to the incident. The student retains the right to request an appeal of the original action.~~

~~3335-27-03 Notice of proceedings.~~

~~If a hearing is to be held, written notification will be delivered to the last known address of the student, either by certified mail, first class mail or by hand delivery, no fewer than seven calendar days prior to the hearing. In residence hall cases notification of hearings will be placed in student mailboxes or hand delivered no fewer than seven calendar days prior to the hearing. The notification will include the charge, and the date, time, and location of the designated hearing, the hearing officer or panel, a statement of the accused's rights, and information on the hearing procedures. The accused student may waive the notice period, request a postponement for reasonable cause, or request a hearing separate from other accused persons. Request for a postponement or separate hearing must be received by the person sending the notification at least one day before the scheduled hearing.~~

~~3335-27-04 Hearing procedures.~~

~~Students have a right to fair hearings. Although the procedural requirements are not as formal as those existing in the civil courts of law, to ensure fairness, the following procedures apply and will be included within hearing notices:~~

- ~~(A) Hearings are restricted to those directly involved or those requested by the accused or hearing officer or panel to attend. The hearing officer or panel may take reasonable measures to assure an orderly hearing, including removal of persons who impede or disrupt proceedings.~~
- ~~(B) The accused student may have a person present to advise him or her. The adviser may only counsel the student and may not actively participate in the hearing, unless clarification is needed as determined by the hearing officer or panel.~~
- ~~(C) The accused may submit a written statement, may invite witnesses to attend on the accused's behalf, may ask questions of witnesses called by others, and will be notified of witnesses to be called. The university may present witnesses as well as question those presented by the accused.~~
- ~~(D) Written statements signed by a witness(es), and verified as being by that witness(es) by the designated hearing officer or coordinator of the panel, may be used if, for good reason, the witness(es) cannot attend the hearing.~~
- ~~(E) University hearings, unlike proceedings of courts of law, do not require conclusive proof; instead, the greater weight of the evidence is sufficient for the hearing officer or panel to decide if a violation of the code has occurred and to impose a sanction.~~

~~3335-27-05 Attendance.~~

~~Because the most accurate and fair review of the facts can best be accomplished when all parties are present, the accused is expected to attend. If an individual does not choose to attend a hearing, the charges will be reviewed as scheduled on the basis of the information available and a decision will be made. No decision shall be based solely on the failure of the accused student to attend the hearing or answer the charges.~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~3335-27-06 Record and nature of proceedings.~~

~~A record consisting of written notes, tape recording, or other method selected by the hearing panel or officer, will be made of all hearings. This record will be available to the accused during the appeal period and can be copied at his or her expense. A written notice of the decision and information regarding appeal procedures will be sent to the accused student. In general, materials relating to a disciplinary action are confidential and may be made available by the designated hearing officer or coordinator of the panel only to those who have a valid need to know, e.g., disciplinary officers, the senior vice president for academic affairs and provost, and other university officials who have a demonstrated need to know. The policy governing confidentiality and release of records is printed in the quarterly master schedule of classes and available from the office of student life.~~

~~3335-27-07 Appeal.~~

~~(A) Right to appeal.~~

~~A student found to have violated this code has the right to appeal the original decision. An appeal of a decision must be submitted in writing and postmarked or hand delivered to the appropriate appeal officer, as provided below, within fourteen calendar days from the date of the sanction decision letter. Upon receipt of the appeal, the appeal officer will provide to the student written guidelines for the appeal procedure.~~

~~(B) Grounds for appeal.~~

~~An appeal may be based only upon one or more of the following grounds:~~

- ~~(1) Procedural error.~~
- ~~(2) Misapplication or misinterpretation of the rule alleged to have been violated.~~
- ~~(3) Findings of facts not supported by a greater weight of evidence.~~
- ~~(4) Discovery of substantial new facts that were unavailable at the time of the hearing.~~

~~(C) Appropriate appeal officers.~~

~~(1) Cases arising in the residence halls:~~

- ~~(a) Appeals from unit commissions in the residence halls will be submitted for decision to the area coordinator of the residence hall area in which the violation occurred.~~
- ~~(b) Appeals from the appropriate hearing bodies in graduate housing will be submitted for decision to the area coordinator to whom the hall director reports.~~
- ~~(c) Appeals from hall director's administrative decisions will be submitted for decision to the area coordinator to whom the hall director reports.~~
- ~~(d) Appeals from area coordinators will be submitted for decision to the associate director of residence and dining halls for residence life or that person's designee.~~

- ~~(2) Appeals involving administrative decisions or decisions of university judicial panels will be submitted for decision to the dean of student life.~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

- (3) ~~Appeals from decisions of the university court will be submitted for decision to the dean of student life.~~
- (4) ~~Appeals from decisions of the committee on academic misconduct will be submitted for decision to the senior vice president for academic affairs and provost.~~

~~(D) Possible dispositions by the appeal officer.~~

- (1) ~~The appeal officer shall dismiss the appeal if the appeal is not based upon one or more of the grounds set forth in section (B) above.~~
- (2) ~~The appeal officer may choose to decide the appeal based upon a review of the record.~~
- (3) ~~The appeal officer may request additional written information or an oral presentation and then decide the appeal based upon the enhanced record.~~
- (4) ~~The appeal officer may refer the case to a new hearing officer or panel to be reheard. If possible, a new hearing officer or panel shall be different than the one that originally decided the case.~~
- (5) ~~A decision by the appeal officer that there are substantial new facts that were unavailable at the time of the original hearing shall result in a new hearing as provided in subsection (4) above.~~

~~(E) Sanctions.~~

~~The appeal officer may, after review of the record, uphold the original sanction, dismiss the original sanction, or impose a lesser sanction. An appeal officer or panel hearing a remanded case cannot impose a more severe sanction than that originally imposed.~~

~~(F) Representation.~~

~~On appeal, where the sanction imposed by the hearing officer or panel was suspension or dismissal, a student may be actively represented by an adviser of the student's choice.~~

~~3335-27-08 Minor deviations from procedure.~~

~~A student and hearing panel or officer may agree in advance to minor deviations from procedure; such deviations are not then subject to appeal. Other minor deviations are acceptable as long as such deviations are not found upon appeal, as provided for in paragraph (A) of rule 3335-27-07 of the Administrative Code, to be unreasonably harmful to the student.~~

~~Chapter 3335-29~~

~~UNIVERSITY SANCTIONS~~

~~3335-29-01 General.~~

~~Sanctions to be imposed upon students by the university are varied and may be imposed for violation of policies, rules, and regulations. When the sanctions are imposed, consideration will be given to individual mitigating circumstances as well as aggravating factors, such as, past misconduct by the student or failure of the student to fully comply with previous sanction conditions. Sanctions take effect immediately upon date of first notice, whether oral or written, unless otherwise specified within such notice.~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~3335-29-02 Warning admonition.~~

~~A warning letter of admonition to a student represents a formal statement for a specific conduct violation. Upon issuance of a formal letter of admonition, a discipline file is created in the office of the dean of student life. The file will be consulted in determining sanctions for any further proven code violations at a future date.~~

~~3335-29-03 Disciplinary probation.~~

~~Probation is a sanction that is given for a specified period of time. A discipline record is created in the office of the dean of student life. Misconduct during the probationary period may cause more serious disciplinary action to be taken. Written notice of probationary status is forwarded to the student's college. Conditions restricting the student's privileges or eligibility for activities may be imposed. Such privileges and eligibility are automatically restored upon completion of the probation period if the student has complied satisfactorily with all conditions and refrained from further code violations.~~

~~3335-29-04 Suspension and dismissal.~~

~~(A) Suspension.~~

~~Suspension is a sanction by which the student is involuntarily separated from the university for a period not to exceed three full academic quarters following the effective date specified in the sanction letter. Eligibility for reinstatement is restored upon expiration of suspension.~~

~~(B) Suspension in abeyance.~~

~~In cases of suspension, the hearing officer or panel may decide there are circumstances which mitigate against the separation of the student from the institution. Such circumstances may include, but are not limited to: student intent at the time of the incident, student attitude and/or behavior since the incident in question. If these, or other appropriate conditions exist, and the hearing officer or panel has reason to believe that the presence of the student in the university community does not put any other community member at risk, the hearing officer or panel may assign the student the sanction of suspension, held in abeyance. This sanction is for a specific period of time, not to exceed three academic quarters, following the effective date specified in the sanction letter. Suspension in abeyance does not preclude the imposition of other appropriate sanctions.~~

~~While the suspension in abeyance is in effect, the individual under suspension in abeyance is allowed to retain student status at the university. However, should the student be found in violation of any section of the code of student conduct by any authorized hearing body or individual during the period of abeyance, the abeyance will be automatically lifted and the suspension shall take effect immediately for the remainder of the original sanction. During the remaining period, all conditions for suspension are in effect (see paragraph (C) of rule 3335-29-04 of the Administrative Code). Once the abeyance has been lifted and suspension is in effect, the hearing officer or panel may impose a sanction appropriate to the additional violation(s) of the code.~~

~~(C) Dismissal.~~

~~Dismissal is a sanction by which the student is involuntarily separated from the university for four full quarters or more following the effective date specified in the sanction letter. The dismissed student must apply for reinstatement. Reinstatement of the student at the university after dismissal is not guaranteed.~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~(D) Conditions of suspension and dismissal:~~

~~(1) A student who has been dismissed or suspended from the university:~~

~~(a) Shall be denied all privileges accorded a student; and~~

~~(b) Shall be required to leave campus at a time determined by the hearing officer or panel.~~

~~However, the dismissed or suspended student:~~

~~(i) May petition to the senior vice president for academic affairs and provost for entrance to the campus for a specified purpose; and~~

~~(ii) Has the right of appeal to remove or to reduce the terms of this condition. The appeal procedures will be specified in every sanction letter.~~

~~(2) Upon reinstatement, a student may be required to meet certain other conditions imposed by the hearing body such as ineligibility to participate in specified student activities; or ineligibility to participate in intercollegiate events; or periodic contact with a designated university staff member or counseling agency, to the extent that such conditions pertain to the original offense.~~

~~Depending upon the merits of the individual case, and recommendation from the coordinator of the committee on academic misconduct, the coordinator of judicial affairs, or the dean of the student's college, these conditions may be waived by the senior vice president for academic affairs and provost, or designee, at the time of the student's reinstatement.~~

~~3335-29-05 Interim suspension.~~

~~When the senior vice president for academic affairs and provost is given reasonable cause to believe that a student has violated university rules and there is reasonable cause to believe that the student's presence on campus constitutes a clear danger to the university community or creates a substantial risk of injury to any person on university premises, the student may be immediately suspended from university premises. This temporary suspension is imposed pending the initiation of a full hearing without undue delay on the merits of the case in accordance with the rules of the Ohio state university. The student may request, in writing, an appeal of the interim suspension to the senior vice president for academic affairs and provost, within three working days of imposition of the suspension. An appeal hearing will be conducted without undue delay by the senior vice president for academic affairs and provost, or the vice president's designee.~~

~~3335-29-06 Restitution.~~

~~Restitution is a sanction that requires the student to make reimbursement for damages to, destruction or misappropriation of university property or services, or the property of any person. This sanction may be ordered in lieu of or in connection with another sanction.~~

~~3335-29-07 Other sanctions.~~

~~(A) Other sanctions that the hearing officer or panel considers appropriate to the student and the conduct violation in question may be imposed. For example, research assignments, community service projects, special workshop participation and/or referral to medical resources or counseling personnel may be assigned as recommended sanctions or as conditions to another sanction. In cases of academic misconduct, a lowered or failing grade may be recommended for the academic~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~coursework. In residence halls, other sanctions may include contract termination or reassignment to another room. Non-residence hall~~

~~hearing officers or panels may recommend sanctions of this type to the office of residence life.~~

~~(B) Failure to meet requirements of a sanction in the time allotted may result in the charge of failure to comply with university authority (see paragraph (G) of rule 3335-25-01 of the Administrative Code.)~~

~~(C) Repetition of misconduct by a student who has previously been found in violation of the code may result in imposition of harsher sanctions.~~

~~3335-29-08 Concurrent jurisdiction.~~

~~A student whose conduct has been found to be in violation of established university rules and regulations also may be subject to other appropriate actions by individual university offices. An incident may result in criminal or civil charges as well as a university disciplinary action. Unless otherwise provided by law, disciplinary and court proceedings may occur concurrently.~~

~~3335-29-09 Registered student organization sanctions.~~

~~Registered student organizations may lose privileges or registration for violation of the code or the regulations contained within the student organization handbook.~~

Chapter 3335-31

HEARING BODIES

~~3335-31-01 Jurisdiction.~~

~~Except for conduct that occurs on regional campuses and the agricultural technical institute, the following hearing bodies decide cases occurring on the Columbus campus involving student conduct in violation of the rules, policies and regulations of the university. These hearing bodies may establish and promulgate their own operating procedures in accordance with university regulations and procedures. Such procedures shall be made available to students. On the regional campuses, and the agricultural technical institute, similar hearing processes and procedures shall be created, as necessary, and promulgated on each campus as appropriate and as approved by the vice president for academic affairs and provost. Students on regional campuses and the agricultural technical institute are, therefore, advised to consult regional campus publications for details on these local procedures.~~

~~3335-31-02 Committee on academic misconduct.~~

~~(A) The committee shall investigate or establish procedures for investigation of all reported cases of student academic misconduct and decide upon suitable disciplinary action. However, the committee does not hear cases involving academic misconduct in professional colleges having a published honor code. These colleges shall follow their own codes and procedures which can be obtained in their respective central offices. The committee may, in its discretion, refer cases to the university judicial panel if it determines that the academic misconduct allegation is incidental to some other misconduct.~~

~~(B) "Academic misconduct" is defined as any activity which tends to compromise the academic integrity of the institution, or subvert the educational process. Examples of academic misconduct include, but are not limited to:~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

- (1) ~~Violation of course rules as contained in the course syllabus or other information provided the student; violation of program regulations as established by departmental committees;~~
 - (2) ~~Providing or receiving information during quizzes and examinations such as course examinations and general examinations; or providing or using unauthorized assistance in the laboratory, at the computer terminal, or on field work;~~
 - (3) ~~Submitting plagiarized work for an academic requirement. Plagiarism is the representation of another's work or ideas as one's own; it includes the unacknowledged word for word use and/or paraphrasing of another person's work, and/or the inappropriate, unacknowledged use of another person's ideas;~~
 - (4) ~~Falsification, fabrication, or dishonesty in reporting research results;~~
 - (5) ~~Serving as, or enlisting the assistance of, a "ringer" or substitute for a student in the taking of examinations;~~
 - (6) ~~Alteration of grades or marks by the student in an effort to change the earned grade or credit; and~~
 - (7) ~~Alteration of university forms used to drop or add courses to a program, or unauthorized use of those forms.~~
- (C) ~~All cases of suspected misconduct shall be reported to the committee. The committee also shall investigate cases of lax or irregular examination methods and report findings to the vice president for academic affairs and provost. Students have the obligation to report suspected misconduct or irregular or lax examination methods. The committee may impose any code sanction. The committee consists of:~~
- (1) ~~Fifteen regular faculty members elected by the faculty council for three-year terms; beginning with summer quarter, the election to be held annually so that five members will retire each year. No university faculty member shall serve more than two consecutive terms;~~
 - (2) ~~Five graduate student members appointed in the summer quarter by the president of the council of graduate students, with the approval of the council of graduate students, for a one-year term beginning with the autumn quarter;~~
 - (3) ~~Five undergraduate student members appointed in the spring quarter by the president of the undergraduate student government, with the approval of the undergraduate student assembly, for a one-year term beginning with the summer quarter;~~
 - (4) ~~The dean of student life, or designee, without vote; and~~
 - (5) ~~A coordinator, appointed by the vice president for academic affairs and provost, without vote and charged with assisting the committee in administrative matters. The coordinator does not participate in the decisions of the committee.~~
- (D) ~~The committee on academic misconduct establishes panels from its membership with student/faculty ratios at least as great as that of the full committee to conduct hearings and make decisions with the same authority as the full committee on academic misconduct.~~
- (E) ~~The committee on academic misconduct establishes rules and procedures to conduct hearings, including the definition of a quorum.~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~(Details of rule 3335-5-487 of the Administrative Code, which defines the committee on academic misconduct, and committee procedures are available from the office of the vice president for academic affairs and provost.)~~

~~3335-31-03 University judicial panel.~~

~~The university judicial panel is responsible for cases of non-academic misconduct referred by the coordinator of judicial affairs, except for cases involving disruption or professional college codes. The panel is responsible for non-academic aspects of cases referred by the committee on academic misconduct. The panel is authorized to impose any code-authorized sanction. The panel consists of:~~

- ~~(A) Chairperson(s) (faculty or administrative staff member(s)) appointed by the dean of student life;~~
- ~~(B) Twelve regular faculty members recommended to the dean of student life by the vice president for student and urban/community affairs for three-year terms which begin with the summer quarter; and~~
- ~~(C) Six undergraduate students, appointed by the president of the undergraduate student government; four graduate students, appointed by the president of the council of graduate students; and two professional students, appointed by the president of the inter-professional council. All student appointments shall be for one-year terms beginning with the autumn quarter.~~
- ~~(D) Hearings will be conducted by hearing bodies made up of university judicial panel members with the same authority as the full panel.~~
- ~~(E) The coordinator(s) of judicial affairs, as designated by the dean of student life, shall serve ex-officio as panel coordinator(s) without a vote.~~
- ~~(F) In cases involving sexual harassment, discriminatory behaviors, or other problematic behaviors requiring special sensitivity or expertise, the dean of student life may appoint individuals with appropriate expertise to serve as consultants to the panel. The consultants may be present and provide information as called upon during the hearing, but will not vote.~~
- ~~(G) The university judicial panel establishes rules and procedures to conduct hearings, including the definition of a quorum.~~

~~3335-31-04 Residence hall living unit commissions.~~

~~The commission may only hear cases, referred by a residence hall hearing officer, that involve code violations which occur within the residence halls, whether committed by residents or non-residents. The commissions are composed of students from each living unit, and may recommend any sanction to the hearing officer, with the exception of suspension or dismissal. During the hearing, should new facts emerge that indicate that the violation may be serious enough to warrant suspension or dismissal, the commission will adjourn and refer the case back to the hearing officer for referral to the dean of student life, or the dean's designee.~~

~~3335-31-05 Administrative hearings.~~

~~The coordinator of judicial affairs and hearing officers designated by the dean of student life may investigate and conduct administrative hearings of alleged non-academic code violations. If the student so requests and waives the notice period, the coordinator of judicial affairs or hearing~~

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~officer may hear cases administratively after investigation, or refer them to the university judicial panel.~~

~~After investigation, residence hall hearing officers also may conduct administrative hearings. The residence hall hearing officer may hear cases administratively rather than refer them to the commission, if the student so requests. The student must request, in writing, an administrative or commission decision. The residence hall hearing officer must refer cases to the coordinator of judicial affairs if suspension or dismissal is believed a possibility.~~

~~3335-31-06 University court.~~

~~(A) The university court will hear campus election disputes, undergraduate student government impeachment proceedings, and contested student traffic violations. The court shall interpret the undergraduate student government constitution as applied to students and student organizations. The court shall be empowered to issue restraining orders when the conduct of the student organization is in violation of the undergraduate student government constitution and to terminate student organization registration until compliance.~~

~~(B) The court consists of a magistrate, chief justice and five prosecutor/defenders in accordance with committee rules.~~

~~3335-31-07 Hearing panels on campus disruption.~~

~~In accordance with the university disruption rule and the Ohio Campus Disruption Act, cases involving disruption of university activities will be heard by a special hearing body or officer. (For details on hearing officers, panels, and procedures, see rules 3335-11-02, 3335-11-021, and 3335-11-022 of the Administrative Code, and sections 3345.22 to 3345.26 of the Ohio Revised Code. These rules are available in the office of student life.)~~

SELECTED RULES AND REGULATIONS

The following rules and regulations may be obtained from the office of student life or the ombudsman:

- Conditions for disenrollment from a course
faculty rule 3335-7-33
- Improper admission or registration
faculty rule 3335-9-20
- Alterations of marks
faculty rule 3335-7-23
- Ohio Campus Disruption Act
Ohio Revised Code 3345.21 - 3345.26
- Procedures for hearing officers and panels
faculty rule 3335-11-02, 3335-11-021 and 3335-11-022
- Guest speakers
faculty rule 3335-5-06
- Drives and canvassing
faculty rule 3335-13-01
- Control of dogs and other animals
faculty rule 3335-13-05
- Smoking tobacco
faculty rule 3335-13-02

AMENDMENTS TO THE CODE OF STUDENT CONDUCT (contd)

Rules To Be Rescinded (contd)

~~Duplication of keys
faculty rule 3335-13-04
Medical examination and enrollment denial for medical reasons
faculty rule 3335-9-08
Withdrawal for medical reasons
Faculty rule 3335-9-084
Residence and Dining Halls Guidebook~~

AUTHORITY SECTION

~~The bylaws of the university board of trustees and rules of the university faculty provide that the university president shall have the final responsibility and authority for the discipline of all students of the university.1/ This responsibility and authority has been delegated by the president to the vice president for student and urban/community affairs, whose office is also charged with responsibility for promulgation of rules governing student conduct.2/~~

~~The deans of colleges and of the graduate school, the directors of schools, and the chairpersons of departments, respectively, are responsible to the president through regular disciplinary channels for the discipline of all students in the activities of their respective colleges, schools, and departments.3/ Likewise, the deans and directors of the regional campuses are responsible to the president through the senior vice president for academic affairs and provost for the discipline of all students in the activities of their respective campuses.~~

~~The Ohio state university code of student conduct is an official publication of the university board of trustees. All petitions for revision and amendment of this student code should be submitted through the office of the vice president for student and urban/community affairs. Proposed revisions to the code shall be reviewed, in draft form, by the office of the president, the office of academic affairs, and the steering committee of the university senate before being presented for approval to the university senate by the council on student affairs. No revision shall become effective unless approved by the university board of trustees and until printed notice of such revision is made available to students.~~

~~The code applies to all students. Students attending at regional campuses and the agricultural technical institute are advised to consult their local campus publications for additional information pertaining to those campuses.~~

~~1/ Rule 3335-11-01 (A)~~

~~2/ Rule 3335-3-174~~

~~3/ Rule 3335-11-01 (B)~~

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Code of Student Conduct be adopted as recommended by the University Senate.

(See Appendix XXXIII for a summary of the Discussion on Due Process of rule 3335-23-21, page 755.)

DISTINGUISHED SERVICE AWARDS

Resolution No. 2001-101

Synopsis: Approval of eight individuals to receive Distinguished Service Awards is proposed.

DISTINGUISHED SERVICE AWARDS (contd)

WHEREAS the President's Planning Cabinet, upon the recommendation of the Committee on Distinguished Service Awards, nominated and recommends eight individuals for approval by the Board of Trustees to receive the Distinguished Service Award at a time convenient to the University and the recipients:

William E. Arthur
David W. Cole
Ray J. Groves
E. Leonard Jossem
Clark Kellogg
Floradelle A. Pfahl
Ronald L. Racster
Thekla R. Shackelford

WHEREAS these awards are given in recognition of outstanding and distinguished service by these individuals to The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That, in accordance with action taken by the Board of Trustees in 1952, the Distinguished Service Awards be approved for awarding as designated above.

DEGREES AND CERTIFICATES - WINTER QUARTER COMMENCEMENT

Resolution No. 2001-102

Synopsis: Approval of Degrees and Certificates for Winter Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on March 16, 2001, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

AMENDED PERSONNEL ACTIONS

Resolution No. 2001-103

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the February 2, 2001 meeting of the Board, including the following Appointments, Reappointment of Director, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved; and

AMENDED PERSONNEL ACTIONS (contd)

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) approved January 30, 2001, by The James Cancer Hospital Board, be ratified, and that a suspension of Clinical privileges considered during today's Executive Session of the Board be approved.

Appointments

Name: ROBERT R. BAHNSON
Title: Professor (The Dave Longaberger Endowed Chair in Urology)
Department/Division: Surgery/Urology
Term: March 1, 2001 through June 30, 2005
Present Position: Professor (The Louis Levy Professorship in Cancer)

Name: JEFFREY M. FOWLER
Title: Associate Professor (The John G. Boutselis Chair in Gynecology)
Department/Division: Obstetrics & Gynecology/Gynecologic Oncology
Term: March 2, 2001 through June 30, 2005

Name: DOUGLAS M. LAMBERT
Title: Professor (The Raymond E. Mason Chair in Transportation and Logistics)
Department: Marketing
Effective: March 2, 2001 through June 30, 2005
Present Position: Professor (The Raymond E. Mason Professorship in Transportation and Logistics)

Name: MAC A. STEWART
Title: Vice Provost for Minority Affairs
Office: Academic Affairs
Term: March 2, 2001 through June 30, 2004
Present Position: Interim Vice Provost for Minority Affairs

Name: DAVID TAY
Title: Director
Center: Ornamental Plant Germplasm Center
Effective: May 1, 2001
Present Position: Director of the Australian Seed and Propagation Technology Centre, University of Queensland, Queensland, Australia

Reappointment of Director

July 1, 2001 through June 30, 2002

School of Educational Policy and Leadership

Mary Ann Sagaria*

*Interim

Professional Improvement Leaves

RONALD M. SOLOMON, Professor, Department of Mathematics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to continue a book on the classification of finite simple groups.

DEBRA K. MOSER, Associate Professor, Department of Adult Health and Illness Nursing, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to receive intensive training in clinical research.

AMENDED PERSONNEL ACTIONS (contd)

Emeritus Titles

FRANCIS R. ALLAIRE, Department of Animal Sciences, with the title Professor Emeritus, effective April 1, 2001.

N. L. MCCASLIN, Department of Human and Community Resource Development, with the title Professor Emeritus, effective July 1, 2001.

HOWARD W. LOWERY, Department of Otolaryngology, with the title Clinical Professor Emeritus, effective March 1, 2001.

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

December 2000/January 2001

Initial Appointments – Faculty

Frederick Hensley, Jr., M.D., Anesthesiology, Clinical Professor, Associate Attending
Loree K. Kalliainen, M.D., Plastic Surgery, Assistant Professor, Associate Attending
James Otworth, D.O., Anesthesiology, Clinical Assistant Professor, Associate Attending
Mariarita Santi, M.D., Pathology, Assistant Professor, Associate Attending
Cora K. Schlesinger, M.D., Pathology, Assistant Professor, Associate Attending

Initial Appointment – Community

M. Husain Jawadi, M.D., Internal Medicine, Endocrinology, Community Associate, Springfield

Initial Appointment – Nurses in Advanced Practice

Larell Fineren, C.R.N.A.
Ginger Guinther, C.R.N.A.
Larry Gronland, C.R.N.A.
Agnes Marie Miller, C.R.N.A.
Gretchen A. Norman, C.R.N.A.
Linda Rainey, C.R.N.A.

Provisional to Full Appointment – Faculty

Amit Agrawal, M.D., Associate Attending, Otolaryngology
Spero Cataland, M.D., Attending, Internal Medicine, Hematology/Oncology
Amy Gewirtz, M.D., Associate Attending, Pathology
Gayle Gordillo, M.D., Associate Attending, Plastic Surgery
Lisa Haller, M.D., Associate Attending, Internal Medicine, Nephrology
Kevin Krause, M.D., Associate Attending, General Surgery
Peter Muscarella, M.D., Associate Attending, General Surgery
Anterpreet Neki, M.D., Attending, Internal Medicine, Hematology/Oncology
Michael Orzo, M.D., Associate Attending, Anesthesiology
David Powell, M.D., Associate Attending, Otolaryngology
Jonathan Schaffir, M.D., Associate Attending, Obstetrics and Gynecology
Bivik Shah, M.D., Associate Attending, Plastic Surgery
Dan Spetie, M.D., Associate Attending, Internal Medicine, Nephrology
Bryan Tagge, M.D., Associate Attending, Otolaryngology
Victor Terebuh, M.D., Associate Attending, Anesthesiology

March 2, 2001 meeting, Board of Trustees

AMENDED PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

December 2000/January 2001 (contd)

Provisional to Full Appointments – Community

Tajuddin Ahmed, M.D., Community Associate, Internal Medicine, Cardiology
Ramalingam Selvarajan, M.D., Community Associate, Family Practice

Provisional to Full Appointments – Advanced Practice Nurses

Marjorie Anderson, R.N., C.N.S.
Charles Gary Baker, C.R.N.A.
Karen Rice, CRNA

Laparoscopic Privileges

John H. Winston, M.D., General Surgery, Associate Attending

RESOLUTIONS IN MEMORIAM

Resolution No. 2001-104

Synopsis: Approval of Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Harold F. Groves

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 4, 2001, of Dr. Harold F. Groves, Professor Emeritus in the Department of Veterinary Biosciences.

Dr. Groves was born in Bethesda, Ohio, and graduated from Belmont High School. He received his Bachelor of Science degree in agriculture in 1947, the Doctor of Veterinary Medicine degree in 1951, and the Master of Science degree in veterinary parasitology in 1953; all from The Ohio State University. Dr. Groves was licensed to practice veterinary medicine in 1951 by the Ohio Board of Veterinary Examiners.

Professor Groves served his country during World War II as a member of the United States Army. His military service was recognized by awarding of the Bronze Star Metal and Combat Infantryman's Badge. Harold Groves' participation in civic organization and service clubs included the Hilliard United Methodist Church, Charter Commission for the City of Hilliard (1963-65), American Legion Post No. 312, the Hilliard Kiwanis, and as Health Commissioner of Grandview (1963) where he was involved with the development of valuable education programs on food safety and public health.

Professor Groves was a member of the OSU faculty for 24 years beginning as an instructor (1951) followed by successive promotions to assistant professor (1954), associate professor (1960), and professor of veterinary parasitology from 1965 until his retirement in 1975. Upon his retirement, he became professor emeritus. His students remember Professor Groves as a respected and dedicated teacher of veterinary parasitology, and as a man of integrity and remarkable kindness.

RESOLUTIONS IN MEMORIAM (contd)

Harold F. Groves (contd)

During his academic career Professor Groves received a number of honors including election into Phi Zeta (honorary veterinary medical society), where he served as local president; and was a long-standing member of the Ohio Veterinary Medical Association, American Veterinary Medical Association, American Association of Veterinary Clinicians, United States Animal Health Association, American Association of Veterinary Parasitologists, and the Fifth Ohio District Veterinary Medical Association.

Dr. Groves' faculty colleagues remember his regular and important scientific contributions to the emerging professional specialty of veterinary parasitology. His clinically relevant research focused on the diagnosis and prevention of trichomoniasis in cattle and the implications of infection with Trichomonas foetus in reproduction, causal factors of skin diseases transmitted from animals to humans, Demodex as a cause of skin disease in dogs, prenatal tissue migration during the life cycle of Toxocara canis larvae in young dogs, incidence of disease-producing microfilariae in the blood of pet animals in Ohio, among a number of other important areas of investigation while he was a member of the OSU faculty.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Harold F. Groves its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Harold R. Willson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 23, 2001, of Dr. Harold R. Willson, Associate Professor in the Department of Entomology.

Dr. Willson was born in California in 1941. He received his B.A. degree from Humboldt State University, his M.Sc. degree from the University of California at Davis, and his Ph.D. degree from the University of California at Riverside. He was employed by the New York State Agricultural Experiment Station in Geneva as a research associate and then as a senior extension specialist at Cornell University, where he coordinated the state's pest survey program and developed an outstanding program on pest management information. He came to Ohio State in 1983, where he served as an extension specialist in entomology with responsibilities for field crops and stored grains. He remained a member of our faculty at the time of his death.

Dr. Willson will be remembered within the University and around the state for the leadership he provided to our Program in Integrated Pest Management. He developed a small grants program and distributed funding for researchers involved in this critical area. He was instrumental in introducing the use of computers to our Entomology Extension office, and helped to lead the development of electronic distribution of information on insects to the public. This provided a good supplement to the paper-based information system previously used by the Extension office.

His research consistently probed questions of value to the Ohio farmers. At the time of his death, he was engaged in an important series of experiments evaluating genetically modified corn for its efficacy in reducing pesticide usage. His teaching likewise was focused on issues having a direct application to daily life. He co-taught a course that examined pesticides, their regulation and impact on society.

One sensed, however, that it was his international involvement that really captured his greatest passion. His interests in international activities can be traced to his early days as a Peace Corp volunteer in the Punjab, India. He also served as a trainer of Peace Corp volunteers and, before completing his graduate studies, worked for a year with the Ford Foundation in New Delhi. His interest in international service persisted throughout his career. While at Ohio State, he advised students from Mali, Senegal, Uganda, and China, and he was a frequent advisor on agricultural

RESOLUTIONS IN MEMORIAM (contd)

Harold R. Willson (contd)

projects in South Africa, Swaziland, Colombia, Eritrea, Uganda, and Ukraine. His most extensive recent involvement was in Uganda where he was coordinating a collaborative research and extension program relevant to tropical production agriculture. Over the past few years he visited Uganda eight times and had scheduled another visit at the time of his death.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Harold R. Willson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-105

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for January 2001 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of January 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-106

Synopsis: The report on the receipt of gifts and the summary for January 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Raymond E. Mason Chair in Transportation and Logistics, The John G. Boutselis, M.D. Chair in Gynecology, and The Edgar C. Hendrickson Designated Chair in Biomedical Engineering; and

WHEREAS this report includes the establishment of twenty-three (23) new named endowed funds and the amendment of four (4) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of January 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through January
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through January		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$18,104,498	\$20,656,548	14%
Alumni (From Bequests)	4,210,600	1,615,749	-62%
Alumni Total	\$22,315,098	\$22,272,297	-0%
Non-Alumni (Current Giving)	\$13,382,774	\$15,152,250	13%
Non-Alumni (From Bequests)	3,339,682	9,233,277	176%
Non-Alumni Total	\$16,722,456	\$24,385,527	46%
Individual Total	\$39,037,554	\$46,657,824	20%
Corporations/Corporate Foundations	\$17,434,464	\$23,797,477	11%
Private Foundations	\$7,534,468	\$10,472,416	39%
Associations and Other Organizations	\$3,026,339	\$2,609,576	-14%
	<u>\$71,050,103</u>	<u>\$83,537,293</u>	18%

NOTES

- A Individual giving is up 20% largely due to the fact that gifts of \$10,000 or more are up (338 gifts for \$26.9 million last year; 365 gifts for \$34.7 million this year).
- B Corporate giving is up 11%. Last year there were 359 corporate gifts providing private support of \$10,000 or more (\$16.8 million). This year private support at the \$10,000 level is \$19.3 million (388 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first seven months of the fiscal year (\$9.8 million from 127 gifts this year; \$6.8 million from 115 gifts last year).
- D Gifts from associations and other organizations are down 14% since giving at the \$10,000 or more level is \$448,083 less than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-January
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY PURPOSE

Dollars
July through January

	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$13,851,147	\$9,443,660	-32%
Faculty Support	\$9,733,372	\$6,425,802	-34%
Program Support	\$30,665,650	\$52,775,849	72%
Student Financial Aid	\$10,772,474	\$8,021,481	-26%
Annual Funds-Colleges/Departments	\$4,916,975	\$5,990,816	22%
Annual Funds-University	\$1,110,485	\$879,685	-21%
Total	\$71,050,103	\$83,537,293	18%

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through December

<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
\$26,957,363	\$21,432,320	-21%

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Chairs</u>			
The Raymond E. Mason Chair in Transportation and Logistics (Support for a faculty chair position in the field of transportation and logistics in the Fisher College of Business) (Grandfathered)	\$1,257,250.29		\$1,257,250.29

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Chairs (contd)</u>			
The John G. Boutselis, M.D. Chair in Gynecology (Support for a faculty chair position in gynecologica oncology in the Department of Obstetrics and Gynecology) (Grandfathered)	\$1,241,899.59		\$1,241,899.59
<u>Establishment of Named Endowed Funds</u>			
The Marylou and Ernestine Kuhn Memorial Scholarship Fund (Provide scholarships for women graduate students in the College of The Arts, Department of Art Education; provided by gifts from Dr. Marylou Kuhn)	\$47,050.00		\$47,050.00
The College of Education Alumni Society Scholarship Fund (Provide financial support to students in the College of Education who are nearing the end of their degree programs and who have financial need; provided by gifts from alumni and friends of the College of Education)	\$25,425.00		\$25,425.00
<u>Change in Name of Named Endowed Fund</u>			
From: The James J. Buffer, Jr., Award in Industrial Technology Education To: The James J. and Loretta C. Buffer Award Fund in Industrial Technology Education			

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Designated Chair</u>			
The Edgar C. Hendrickson Designated Chair in Biomedical Engineering (Support the research of an outstanding member of the biomedical engineering faculty; provided the entire annual earnings generated by the Edgar C. Hendrickson Fund)	\$1,904,434.72		\$1,904,434.72

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Barbara J. Bonner Lung Cancer Research Endowment Fund (Support lung cancer research conducted by the scientist appointed to the Barbara J. Bonner Chair in Lung Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University; provided by gifts from Bill Bonner)	\$300,000.00		\$300,000.00
The Alex and Betty Schoenbaum Undergraduate Support Fund (Support activities within and the operations of the Schoenbaum Undergraduate Program Building; provided by gifts from Betty Schoenbaum in memory of Alex Schoenbaum)	\$200,000.00		\$200,000.00
The Frank R. Sheeran Memorial Fellowship Fund in Advanced Practice Nursing (For fellowships for students enrolled in the College of Nursing pursuing graduate study leading to eligibility for certification as nurse practitioners; provided by gifts from the estate of Frank R. Sheeran)		\$112,717.92	\$112,717.92
The William G. Myers, M.D., Ph.D. Endowment Fund (Support and maintain the William G. Myers, M.D., Ph.D. Medical Library and Conference Center and the Myers Archives at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University; provided by gifts from the estate of Florence Lenanhan Myers, M.D.)		\$100,000.00	\$100,000.00
The George L. and Eleanor M. Kilgore Research Fund in Ophthalmology (Support research in ophthalmology on the diseases of the eye to include both basic and clinical research; provided by gifts from the estate of Eleanor Kilgore of Shelby, Ohio, and made in memory of her husband, the late George L. Kilgore)	\$67,639.16		\$67,639.16

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Dorothy Bittner Louks Endowment Fund for Research in Ophthalmology (Support the advancement of medical knowledge in the field of ophthalmology, both basic and clinical research, to help eradicate diseases of the retina with a special emphasis on macular degeneration; provided by gifts from Dorothy Bittner Louks)	\$52,933.38		\$52,933.38
The Jeffrey R. Rodek Fund for Excellence (Promote the academic program through support of college projects and initiatives, faculty, and students; provided by gifts from Jeffrey R. Rodek)	\$52,259.20		\$52,259.20
The Longaberger Diversity Scholarship Fund (Support a scholarship to one or more students enrolled in the ACCESS Program or a comparable University program; provided by gifts from The Longaberger Foundation)	\$50,000.00		\$50,000.00
The Ohio State University College of Nursing Alumni Scholarship Fund (For nursing scholarships for nursing students in either undergraduate or graduate programs in the College of Nursing who demonstrate academic excellence and/or who have financial need; provided by gifts from the College of Nursing Alumni Society and alumni and friends of the College of Nursing)		\$32,633.78	\$32,633.78
The Charles W. Massey and Ola Belle Massey Printmaking Endowment Fund (Advance and support the professional needs of students [undergraduate and graduate], faculty, and the academic program in printmaking; provided by gifts from Charles W. Massey, Jr., Professor of Art, (with the support of numerous students), in memory of his parents)	\$29,878.37		\$29,878.37
The Sydney N. Fisher Memorial Fund in Ottoman and Turkish Studies (Enhance the excellence of Ottoman and Turkish studies at the University by aiding serious scholars at the University, with preference to historians; provided by gifts from a family in memory of Professor Emeritus Sydney N. Fisher from his widow Elizabeth S. Fisher and his children, Alan W. Fisher, Robert Lynn Fisher and Margaret Fisher McCarthy)	\$27,864.20		\$27,864.20

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Agnes Marshall Gordon Scholarship Fund (Provide one or more scholarships in varying amounts to deserving women students, based on criteria established by the Columbus Mortar Board Alumni Club; provided by gifts from Agnes Marshall Gordon and family, friends and colleagues of Dr. Gordon)	\$27,131.26		\$27,131.26
The Richard B. Sneed Memorial Scholarship Fund (Support deserving undergraduate mechanical engineering majors who have completed their sophomore year at the University; provided by gifts from The Richard B. Sneed Foundation)		\$25,800.00	\$25,800.00
The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund (Provide support for students in the College of Education who are preparing to be teachers; provided by gifts from Donald M. Kurdziel and his wife Lessa Hall Kurdziel)		\$25,500.00	\$25,500.00
The Bernadine Healy M.D. Teaching and Research Fund in Medicine and Public Health (Support research, education, faculty development, and training projects or ongoing programs in the College of Medicine and Public Health; provided by gifts from family, friends, colleagues, and patients of, and made in honor of Bernadine Healy, M.D.)		\$25,405.00	\$25,405.00
George W. Acock 1963 Fund (Enrichment of professional educational opportunities in the architecture section of the Knowlton School of Architecture as determined by the School director; provided by gifts from George W. Acock)		\$25,260.00	\$25,260.00
The Leroy B. Bloomberg, M.D. Educational Enrichment Award Fund in Ophthalmology (Provide one or more awards to physicians undertaking their residency or students studying at the graduate level in the field of phthalmology at the College of Medicine and Public Health; provided by gifts from the estate of Dr. Leroy B. Bloomberg from his wife, Caryn Koppelman Bloomberg)	\$25,000.32		\$25,000.32

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Michael and Michele Moran Family Scholarship Fund (Provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University; provided by gifts from Michael F. Moran and family)	\$25,000.00		\$25,000.00
The Robert and Mary Reusche Humanities Scholar Endowed Scholarship Fund (Provide need-based scholarship support to a student or students participating in the College of Humanities Scholars Program; provided by gifts from Robert and Mary Reusche)	\$25,000.00		\$25,000.00
The Evert C. Strickland Fund for Research in Professional Development (Support research by faculty and Ph.D. students of The Ohio State University College of Education; provided by gifts from the estate of Esther L. Hedges Strickland)	\$25,000.00		\$25,000.00
The Stephen J. Weinberg Undergraduate Scholarship Fund (Support the research of an outstanding member of the Biomedical Engineering faculty; provided by gifts from Stephen J. Weinberg)	\$25,000.00		\$25,000.00
<u>Change in Name of Named Endowed Funds</u>			
From: The John D. (Jack) and Mary Lambert Ph.D. Award			
To: The John D. (Jack) and Mary Lambert Ph.D. Scholarship Fund			
From: Velma C. Veith Musical Instrument Fund			
To: Velma C. and Paul E. Veith Musical Instrument Fund			
<u>Change in Description of Named Endowed Fund</u>			
The Kettering Biomedical Engineering Scholarship Fund			
Total	\$5,408,765.49	\$347,316.70	\$5,756,082.19

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chairs

The Raymond E. Mason Chair In Transportation and Logistics

The Raymond E. Mason, Sr. (Designated) Professorship in Transportation and Logistics fund was established May 3, 1985, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Raymond E. Mason, Jr. (B.S.Bus.Adm. 1941) and friends, in honor of Raymond E. Mason, Sr. The funding level was reached and the designated professorship was changed to The Raymond E. Mason Professorship in Transportation and Logistics on July 7, 1995. The required chair funding level now has been reached and the chair was established March 2, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used by the Fisher College of Business to support The Raymond E. Mason Chair in Transportation and Logistics. The chair will be used to attract and/or retain an internationally recognized scholar in the field of transportation and logistics. Appointment to the chair will be made by the dean of The Max M. Fisher College of Business with the approval of the provost and The Ohio State University Board of Trustees.

Requirements for the chair include: a doctoral degree in transportation/logistics/marketing or related field; a record of significant achievements in transportation and logistics, including direct work experience in the transportation and logistics industry; demonstrated research capabilities; an ability to work effectively with the professional community, industry, and government; provides focus for The Max M. Fisher College of Business in areas of transportation and logistics; publishes research results; serves as leader and advocate for transportation and logistics with the college, University, and community in general; contributes to degree programs via curriculum design and/or instruction; participates in service activities at a national and/or international level; serves as liaison with University and business community, particularly transportation and logistics-related associations, groups and governmental agencies.

When there is not a person holding the chair, the annual income will be returned to the principal to enhance the annual income available to the next person named to the chair.

Should The Ohio State University and The Max M. Fisher College of Business agree that transportation and logistics will not be an academic offering of the institution, then the University, in consultation with the donor or his designee, will re-designate the income of this chair to another purpose at The Ohio State University which supports programs that are of interest to the donor. If no such agreement can be reached between the University and the donor or his designee, the principal of the chair will revert to the Raymond E. Mason Foundation.

\$1,257,250.29 (Grandfathered)

The John G. Boutselis, M.D. Chair in Gynecology

The John G. Boutselis Fund in Obstetrics and Gynecology was established November 1, 1974, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Dr. and Mrs. John G. Boutselis (M.D.; M.M.S. 1956) of Columbus, Ohio. The name of the fund was changed to The John G. Boutselis, M.D. Chair Fund in Gynecology and the description was revised May 1, 1992. The required funding level (grandfathered) has now been reached and the chair was established March 2, 2001.

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Chairs (contd)

The John G. Boutselis, M.D. Chair in Gynecology (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to support a faculty chair position in gynecological oncology in the Department of Obstetrics and Gynecology as recommended by the chairperson of the Department of Obstetrics and Gynecology and the dean of the College of Medicine and Public Health to the provost and approved by the Board of Trustees.

It is the desire of the donors that this chair should benefit University in perpetuity. If the need for this chair should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Medicine and Public Health, the chairperson of the Department of Obstetrics and Gynecology, or program administrative officer in order to carry out the desire of the donors.

\$1,241,899.59 (Grandfathered)

Establishment of Named Endowed Funds

The Marylou and Ernestine Kuhn Memorial Scholarship Fund

The Marylou and Ernestine Kuhn Memorial Scholarship Fund was established March 2, 2001, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Dr. Marylou Kuhn (B.S.Edu. 1945, Ph.D. 1958).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for women graduate students in the College of The Arts, Department of Art Education. The scholarship recipients shall be chosen by the dean of the College of The Arts, in consultation with the chairperson of the Department of Art Education and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of The Arts, in order to carry out the desire of the donor. Funding of this scholarship may begin immediately.

\$47,050.00

The College of Education Alumni Society Scholarship Fund

The College of Education Alumni Society Scholarship Fund was established March 2, 2001, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from alumni and friends of the College of Education.

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The College of Education Alumni Society Scholarship Fund (contd)

Establishment of this fund, through the efforts of the EdLums Board and others, demonstrates the deep commitment of the College of Education alumni to current and future education students.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide financial support to students in the College of Education who are nearing the end of their degree programs and who have financial need. Selection of the recipients shall be made by the dean of the College of Education or designee, in consultation with education faculty and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean in order to carry out the desire of the donors.

\$25,425.00

Change in Name of Named Endowed Fund

The James J. and Loretta C. Buffer Award Fund in Industrial Technology Education

The James J. Buffer, Jr., Award in Industrial Technology Education fund was established July 12, 1991, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from James J. Buffer, Jr., professor, College of Education, 1967–1982; and associate dean, College of Education, 1982–1989. The name of the fund was revised on March 2, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support dissertation research of doctoral students enrolled in the field of industrial technology in the College of Education. Eligible students shall have successfully achieved candidacy status for the doctoral degree in industrial technology education, shall be currently enrolled in the Graduate School for dissertation credit and shall have successfully completed a prospectus for his/her dissertation topic as evidenced by official committee approval. Eligible students' dissertation research should be related to the advancement of industrial technology education including, but not limited to, curriculum, instruction, teacher, special needs and/or evaluation and program development.

Nomination for the scholarship should be endorsed by a graduate faculty member of the industrial technology education program area. The scholarship should not be recommended each year if quality applicants are not nominated. It is the intention that only one award be made per year. However, two awards may be recommended if one was not given the previous year, and more than the traditional one award per year may be made should funds be sufficient through growth of the endowment.

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name of Named Endowed Fund (contd)

The James J. and Loretta C. Buffer Award Fund in Industrial Technology Education (contd)

Selection of the scholarship recipient(s) shall be made by a committee of graduate faculty in industrial technology education who shall be selected by the dean of the College of Education in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donor.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Designated Chair

The Edgar C. Hendrickson Designated Chair in Biomedical Engineering

The Edgar C. Hendrickson Designated Chair in Biomedical Engineering was established March 2, 2001, by the Board of Trustees of The Ohio State University with the entire annual earnings generated by the Edgar C. Hendrickson Fund. Mr. Edgar C. Hendrickson was a graduate of the College of Engineering (B.S.Ch.E. 1923) who had a highly accomplished career in the paper industry. He contributed most of his accumulated assets to The Ohio State University Foundation for the advancement of the College of Engineering. His generosity is recognized and deeply appreciated.

The annual distribution from the Hendrickson Fund shall be used by the dean of the College of Engineering to support the research of an outstanding member of the biomedical engineering faculty. The dean of the College of Engineering will recommend the scholar. The recommendation will be endorsed by the executive vice president and provost and have the approval of the Board of Trustees.

The holder of The Edgar C. Hendrickson Designated Chair in Biomedical Engineering will be appointed for a term of five years. At the completion of each term, the dean of the College of Engineering may choose to reappoint the chairholder, to select another distinguished scholar, or to otherwise use the funds to enhance the College of Engineering. During the five-year term the holder of The Edgar C. Hendrickson Designated Chair in Biomedical Engineering may be appointed to another named position at the University. On this occasion, the dean of the College of Engineering may choose to select another distinguished scholar, or to otherwise use the funds to enhance the College of Engineering.

\$1,904,434.72

Establishment of Named Endowed Funds

The Barbara J. Bonner Lung Cancer Research Endowment Fund

The Barbara J. Bonner Lung Cancer Research Endowment Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Bill Bonner of Worthington, Ohio.

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Barbara J. Bonner Lung Cancer Research Endowment Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support lung cancer research conducted by the scientist appointed to the Barbara J. Bonner Chair in Lung Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$300,000.00

The Alex and Betty Schoenbaum Undergraduate Support Fund

The Alex and Betty Schoenbaum Undergraduate Support Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Max M. Fisher College of Business from contributions from Betty Schoenbaum in memory of Alex Schoenbaum (B.S.Bus.Adm. 1939).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean to support activities within and the operations of the Schoenbaum Undergraduate Program Building.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$200,000.00

The Frank R. Sheeran Memorial Fellowship Fund in Advanced Practice Nursing

The Frank R. Sheeran Memorial Fellowship Fund in Advanced Practice Nursing was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Frank R. Sheeran, (B.A. 1954).

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Frank R. Sheeran Memorial Fellowship Fund in Advanced Practice Nursing (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for fellowships for students enrolled in the College of Nursing pursuing graduate study leading to eligibility for certification as nurse practitioners. Selection of the recipients will be made by the dean of the College of Nursing upon the recommendation of the assistant dean and academic counselor.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$112,717.92

The William G. Myers, M.D., Ph.D. Endowment Fund

The William G. Myers, M.D., Ph.D. Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Florence Lenahan Myers, M.D. (M.D., 1940).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support and maintain the William G. Myers, M.D., Ph.D. Medical Library and Conference Center (located at The James) and the Myers Archives (located at the Prior Health Sciences Library) at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Any unused income shall be returned to the principal of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$100,000.00

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The George L. and Eleanor M. Kilgore Research Fund in Ophthalmology

The George L. and Eleanor M. Kilgore Research Fund in Ophthalmology was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Eleanor Kilgore of Shelby, Ohio, and made in memory of her husband, the late George L. Kilgore.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research in ophthalmology on the diseases of the eye to include both basic and clinical research. Income distribution shall be made as recommended by the chairperson of the Department of Ophthalmology in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The income may support, but is not limited to, scientific supplies, equipment, salaries for research personnel and activities required for quality medical research.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and the chairperson of the Department of Ophthalmology in order to carry out the desire of the donor.

\$67,639.16

The Dorothy Bittner Louks Endowment Fund for Research in Ophthalmology

The Dorothy Bittner Louks Endowment Fund for Research in Ophthalmology was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dorothy Bittner Louks of Medway, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Department of Ophthalmology to support the advancement of medical knowledge in the field of Ophthalmology, both basic and clinical research, to help eradicate diseases of the retina with a special emphasis on macular degeneration. The income allocation shall be made at the recommendation of the chairperson on the Department of Ophthalmology and the director of the Vitreo-Retinal Service in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, and may support, but is not limited to, scientific supplies, equipment, salaries for research personnel and activities for quality medical research.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the chairperson of the Department of Ophthalmology and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$52,933.38

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Jeffrey R. Rodek Fund for Excellence

The Jeffrey R. Rodek Fund for Excellence was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jeffrey R. Rodek (B.S.M.E., 1975; M.B.A., 1976).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of The Max M. Fisher College of Business to promote the academic program through support of college projects and initiatives, faculty, and students.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$52,259.20

The Longaberger Diversity Scholarship Fund

The Longaberger Diversity Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Longaberger Foundation.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this endowed gift shall support a scholarship to one or more students enrolled in the ACCESS Program or a comparable University program. The award will be based on need or merit. This fund reflects the Foundation's desire to assist students who will add to the intellectual and cultural diversity of the University. Preference will be given to students who are pursuing careers in business. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice provost for the Office of Minority Affairs and The Longaberger Foundation in order to carry out the desire of the donor.

\$50,000.00

The Ohio State University College of Nursing Alumni Scholarship Fund

The Ohio State University College of Nursing Alumni Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the College of Nursing Alumni Society and alumni and friends of the College of Nursing.

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ohio State University College of Nursing Alumni Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for nursing scholarships for nursing students in either undergraduate or graduate programs in the College of Nursing who demonstrate academic excellence and/or who have financial need. Selection of the recipients will be made by the dean of the College of Nursing upon recommendation of the assistant dean and academic counselor in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$32,633.78

The Charles W. Massey and Ola Belle Massey Printmaking Endowment Fund

The Charles W. Massey and Ola Belle Massey Printmaking Endowment Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charles W. Massey, Jr., professor of art (with the support of numerous students), in memory of his parents who selflessly provided unreserved support for education and challenging, independent and creative thinking.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used, as jointly determined by the students (through a student organization as long as one continues to exist) and the faculty in printmaking in the Department of Art, to advance and support the professional needs of students (undergraduate and graduate), faculty, and the academic program in printmaking. Uses include but are not limited to: attendance at conferences and fees and memberships, exhibition support, materials, and equipment needs for the creation of work, visiting artists, etc. These uses should only be made in addition to and not a substitute for the normal funding made available through university, college, department, special, and legislative processes. The fund will be administered in cooperation with the chair of the Department of Art.

In the event that there are undistributed funds in any given year, the unused income will be returned to the principal unless compelling reasons for a one-year carry over are provided in a timely manner by the students and faculty in printmaking in cooperation with the chair of the Department of Art.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income over an extended period, then another use shall be designated by the Foundation Board as recommended by the chair of the Department of Art to carry out the desire of the donor. If this should occur, it is the desire of the donor that the new use be focused on the needs of honors and other undergraduate

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Charles W. Massey and Ola Belle Massey Printmaking Endowment Fund (contd)

students in studio arts (those normally enrolled as majors in the Department of Art) who are pursuing approved undergraduate research projects.

\$29,878.37

The Sydney N. Fisher Memorial Fund in Ottoman and Turkish Studies

The Sydney N. Fisher Memorial Fund in Ottoman and Turkish Studies was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a family gift in memory of Professor Emeritus Sydney N. Fisher from his widow Elizabeth S. Fisher and his children, Alan W. Fisher, Robert Lynn Fisher (B.S.Bus.Adm., 1966), and Margaret Fisher McCarthy (B.A., 1971).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

For 35 years, Sydney N. Fisher served this University with distinction as a professor of history. He was instrumental in promoting the international dimensions of Ohio State's programs and was deeply involved in what was to become one of the top Middle East studies programs in the country. The annual income from his memorial accordingly will be used to enhance the excellence of Ottoman and Turkish studies at the University by aiding serious scholars at the University, with preference to historians. The chairperson of the Department of History will be responsible for allocating the endowment's annual income and will do so in consultation with the department's senior faculty in Ottoman and Turkish studies and with the University Committee on Student Financial Aid when distributing student scholarships.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer, in order to carry out the desire of the donor.

\$27,864.20

The Agnes Marshall Gordon Scholarship Fund

The Agnes Marshall Gordon Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Agnes Marshall Gordon of Columbus, Ohio, and from gifts received from family, friends and colleagues of Dr. Gordon. Agnes Marshall Gordon (B.A. with honors, 1926; M.A., 1927; Ph.D., 1964) was the first woman to earn a Ph.D. in accounting and to be appointed a professor of accounting at The Ohio State University.

A woman of keen intellect and vision, Dr. Gordon was recognized for her innovative leadership to the accounting profession in higher education and for her dedicated commitment to the National Honor Society, Mortar Board.

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Agnes Marshall Gordon Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships in varying amounts to deserving women students, based on criteria established by the Columbus Mortar Board Alumni Club. The Columbus Mortar Board Alumni Club Scholarship Committee will review the record of qualified candidates and recommend recipients. The University Committee on Student Financial Aid will make the award decision.

It is the desire of the donor(s) that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate University Honors Program or Student Affairs administrative officer and in consultation with the Columbus Mortar Board Alumni Club.

\$27,131.26

The Richard B. Sneed Memorial Scholarship Fund

The Richard B. Sneed Memorial Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from The Richard B. Sneed Foundation of Circleville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the Department of Mechanical Engineering to support deserving undergraduate mechanical engineering majors who have completed their sophomore year at the University. Students selected as Richard B. Sneed Scholars will be chosen on the basis of academic merit. Richard B. Sneed Scholars will be eligible for renewal pending review of their academic progress. The chairperson of the Department of Mechanical Engineering will be responsible for administering these scholarships in cooperation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering and the chairperson of the Department of Mechanical Engineering in order to carry out the desire of the donor.

\$25,800.00

The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund

The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donald M. Kurdziel (B.S.Bus.Adm., cum laude, 1976; M.B.A., 1977) and his wife Lessa Hall Kurdziel (B.S., Social Work, 1978). The gift was made in honor of the 50th wedding anniversary of Mr. Kurdziel's parents, Henry P. and Kathryn A. Kurdziel, December 27, 2000.

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund (contd)

Henry P. and Kathryn A. Kurdziel, both graduates of Case Western Reserve University, have evidenced a life-long commitment to education -- Mrs. Kurdziel as an elementary school teacher and Dr. Kurdziel as a secondary school science teacher, an elementary and secondary school principal, and later as a superintendent of schools.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide support for students in the College of Education who are preparing to be teachers. The recipients shall be individuals who are changing careers and who are preparing to become elementary teachers or secondary mathematics or science teachers. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Dean of the College of Education in order to carry out the desire of the donors.

\$25,500.00

The Bernadine Healy M.D. Teaching and Research Fund
in Medicine and Public Health

The Bernadine Healy M.D. Teaching and Research Fund in Medicine and Public Health was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from family, friends, colleagues, and patients of, and made in honor of Bernadine Healy, M.D. (former dean of the College of Medicine and Public Health).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research, education, faculty development, and training projects or ongoing programs in the College of Medicine and Public Health that foster innovation and excellence or those otherwise determined as priority areas. Selection shall be made by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. For research, the income may support, but is not limited to, scientific supplies, equipment, salaries for research personnel, and activities required for quality medical research.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,405.00

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

George W. Acock 1963 Fund

The George W. Acock 1963 Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from George W. Acock (B.Arch., 1963).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for the enrichment of professional educational opportunities in the architecture section of the Knowlton School of Architecture as determined by the school director. Without limitation on the scope, range or diversity of the specific educational purposes, the fund may be used for lectures, visiting teaching positions, teaching and research support, graduate fellowships, student travel fellowships, and similar educational purposes.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the Knowlton School of Architecture in order to carry out the desire of the donor.

\$25,260.00

The Leroy B. Bloomberg, M.D. Educational Enrichment Award Fund in Ophthalmology

The Leroy B. Bloomberg, M.D. Educational Enrichment Award Fund in Ophthalmology was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Dr. Leroy B. Bloomberg from his wife, Caryn Koppelman Bloomberg (B.S., Social Work, 1968) and made in his memory, that of Leroy B. Bloomberg, M.D. (B.A., 1961; M.D., 1964) of Newark, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide one or more awards to physicians undertaking their residency or students studying at the graduate level in the field of ophthalmology at the College of Medicine and Public Health. The award(s) will provide a stipend to attend conferences relating to ophthalmology, with preference and priority in any given year toward attendance at the annual conference of the American College of Eye Surgeons (ACES). If the ACES no longer exists, this award should provide assistance for attendance at a conference that encourages cutting-edge practice and thinking in the field of ophthalmology. The award may cover travel, registration, and other related expenses. The selection of the recipient(s) shall be made at the recommendation of the clinical director of research and the chairperson of the Department of Ophthalmology in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and with the chairperson of the Department of Ophthalmology in order to carry out the desire of the donor.

\$25,000.32

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Michael and Michele Moran Family Scholarship Fund

The Michael and Michele Moran Family Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael F. Moran (B.A., 1969) and family of Columbus, Ohio. This scholarship was established by The Department of Athletics.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the varsity track team with preference given to those participating in the sprint events. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

The Robert and Mary Reusche Humanities Scholar Endowed Scholarship Fund

The Robert and Mary Reusche Humanities Scholar Endowed Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert (B.S.Bus.Adm., 1949) and Mary (B.S.Bus.Adm., 1950) Reusche.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide need-based scholarship support to a student or students participating in the College of Humanities Scholars Program. The College of Humanities Scholars Program provides enriched study of the liberal arts for selected undergraduate students majoring in literature, languages, philosophy, history, culture, and interdisciplinary programs. Students participating in the Humanities Scholars Program come from the top 20 percent of their high school class and have an ACT score of 25 or higher (SAT above 1140). The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Humanities in order to carry out the desire of the donors.

\$25,000.00

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Evert C. Strickland Fund for Research in Professional Development

The Evert C. Strickland Fund for Research in Professional Development was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Esther L. Hedges Strickland (M.A., Education, 1957).

The endowment recognizes the enormous contributions of Evert C. Strickland (M.A., Education 1935; Ph.D., Education, 1955) in the area of educational administration, and his years of service in personnel and staff development to Wright Patterson Air Force Base, Lockbourne Air Force Base, and the Ohio Rehabilitation Services Commission. Dr. Strickland was also, during the course of his career, a mathematics teacher, a school superintendent, and a lieutenant commander in the Navy during World War II, where he served in the Pacific.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual interest shall be used to support research by faculty and Ph.D. students of The Ohio State University College of Education. The subject of the research shall be professional development for school administrators, teachers and other educational specialists.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donor.

\$25,000.00

The Stephen J. Weinberg Undergraduate Scholarship Fund

The Stephen J. Weinberg Undergraduate Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Stephen J. Weinberg (B.S.Bus.Adm., 1965) of Cleveland, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship(s) to an undergraduate student(s) in The Max M. Fisher College of Business. Awards will be given only to students with a demonstrated financial need that have also been able to maintain a grade point average of 3.0.

Selection shall be made by the dean of the Fisher College of Business in consultation with the college selection committee and the University Office of Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the Fisher College of Business in order to carry out the desire of the donor.

\$25,000.00

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Name of Named Endowed Funds

The John D. (Jack) and Mary Lambert Ph.D. Scholarship Fund

The John D. (Jack) and Mary Lambert Ph.D. Award was established September 1, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Douglas M. Lambert (Ph.D., 1975). The name of the fund was revised March 2, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide an award(s) to a Ph.D. student(s) in the area of logistics. Selection shall be made by the faculty of logistics with formal approval from the dean of the college in consultation with the University Committee on Student Financial Aid. In any given year when a Ph.D. Award is not made, an MBA student with a concentration in logistics may receive the award.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the University/college should cease offering graduate courses in logistics, or whatever terminology describes the evolved form of this discipline as determined by the Council of Logistics Management or its successor, then the fund should be transferred to The Ohio State University College of Medicine and Public Health for cancer research.

Velma C. and Paul E. Veith Musical Instrument Fund

The Velma C. Veith Musical Instrument Fund was established September 1, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Paul E. Veith of Pemberton, Ohio. In memory of Paul Veith, the title was changed on March 2, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund shall be used to support the purchase and maintenance of musical instruments in The Ohio State University School of Music. Purchase decisions shall be made by the director of the School of Music in consultation with the music faculty. In the event there are undistributed funds in any given year, the unused income shall be returned to the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in as recommended by the dean of the College of The Arts in order to carry out the desire of the donor.

Change in Description of Named Endowed Fund

The Kettering Biomedical Engineering Scholarship Fund

The Kettering Biomedical Engineering Scholarship Fund was established April 7, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Kettering Fund of Dayton, Ohio. The description was revised March 2, 2001.

March 2, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Fund (contd)

The Kettering Biomedical Engineering Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships for undergraduate students who are studying biomedical engineering. While the baccalaureate biomedical engineering degree program is developed and until this degree is approved by the University's Board of Trustees, the Kettering Biomedical Engineering Scholarships will be awarded to students who successfully complete upper-level biomedical engineering courses. When the baccalaureate degree program is approved, the scholarships will support biomedical engineering majors in each of their junior and senior years. Half of all the scholarships will be reserved for students native to Ohio. Selection will be made by the dean of the College of Engineering in consultation with the University Committee on Student Financial Aid. The dean will provide timely reports to The Kettering Fund on the scholarship program.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Engineering in order to carry out the desire of the donor.

AMENDMENT OF THE ALTERNATIVE RETIREMENT PLAN

Resolution No. 2001-107

Synopsis: Authorization for The Ohio State University to amend the Alternative Retirement Plan to conform to amendments to Ohio Revised Code Sections 3305.01, et seq., is proposed.

WHEREAS Ohio law provides that public colleges and universities maintain an Alternative Retirement Plan (the "Plan") for eligible faculty and unclassified staff members; and

WHEREAS the Board of Trustees of The Ohio State University established the Plan on February 5, 1999; and

WHEREAS the Ohio General Assembly has amended Ohio Revised Code Sections 3305.01, et seq., affecting the Plan; and

WHEREAS the University desires to amend and restate the Plan to conform to the amendments made to the Ohio Revised Code, to qualify the Plan under current federal laws and regulations, including the Uruguay Round Agreements Act of the General Agreement on Tariffs and Trade ("GATT"), the Uniformed Services Employment and Reemployment Rights Act of 1994 ("USERRA"), the Small Business Job Protection Act of 1996 ("SBJPA"), the Taxpayer Relief Act of 1997 ("TRA '97"), the Internal Revenue Service Restructuring and Reform Act of 1998 ("RRA '98"), and other applicable laws, regulations, and administrative authority, and to submit the Plan to the Internal Revenue Service ("IRS") for approval:

NOW THEREFORE

March 2, 2001 meeting, Board of Trustees

AMENDMENT OF THE ALTERNATIVE RETIREMENT PLAN (contd)

BE IT RESOLVED, That, effective as indicated in the Plan, The Ohio State University Alternative Retirement Plan is amended and restated in substantially the form attached hereto as Appendix XXXI and incorporated herein by reference; and

BE IT FURTHER RESOLVED, That the Office of Human Resources and the Office of Legal Affairs be authorized to execute the amended and restated Plan and any other instruments, documents, or conveyances necessary to effectuate the amended and restated Plan and to submit the amended and restated Plan to the IRS for qualification; and

BE IT FURTHER RESOLVED, That the Office of Human Resources and the Office of Legal Affairs, in carrying out this resolution, are hereby authorized to make any conforming changes to the amended and restated Plan as may be required to ensure compliance with the applicable and effective provisions of the Internal Revenue Code of 1986, the Tax Reform Act of 1986, GATT, USERRA, SBJPA, TRA '97, RRA '98, and any related rules and regulations, and to take such further action as may be necessary or advisable to implement this resolution.

(See Appendix XXXI for background material, page 725.)

UNIVERSITY MEDICAL CENTER MID-YEAR FEE INCREASE

Resolution No. 2001-108

WHEREAS the cost of providing health care continues to increase at a rate greater than inflation; and

WHEREAS the University Medical Center has been very aggressive in holding down costs and rates in prior years; and

WHEREAS the Medical Center, in consultation with other University offices, is implementing a comprehensive financial plan that includes a series of planned, multi-year rate increases for patient services, consistent with market pricing levels; and

WHEREAS rate increases have been approved by the University Hospitals Board, the University Hospitals East Board, and the James Cancer Hospital Board:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves an average five percent increase in Medical Center rates, effective February 1, 2001, consistent with the Medical Center financial plan previously developed; and

BE IT FURTHER RESOLVED, That the Secretary be instructed to incorporate all supporting documents into the minutes of this meeting, and file these materials with the official records of the University.

(See Appendix XXXII for background information, page 753.)

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, McFerson, and Judge Duncan, and Ms. Hendricks.

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STUDENT GOVERNMENT REPORT

Mr. Todd A. Armen: [PowerPoint Presentation]

On behalf of the Inter-Professional Council, I'd like to thank you for allowing me to appear before the Board this morning and make this address.

When was the last time you thought of Ohio State and thought beyond the undergraduate and graduate students? How much do you really know about Ohio State's smallest student population? Do professional students care about Ohio State and should we care about them? These are all very important questions.

I might point out that diversity at Ohio State, although often talked about, is the mixture of our student population, be that undergraduate, graduate, and the smallest student group -- professional students. These are three student bodies living, learning, and serving side-by-side on this campus. But what is the life of the lesser known of these really like? How are professional students truly a part of the University community?

In the College of Dentistry, students go through two years of basic science work and two years of clinical work. Here are some examples of what the lives of dentistry students are like working in the clinics at the dental school. At the College of Law, students go through three years in six semesters, and they're also very involved in a wide range of activities and preparation for various careers. These pictures shown are from their alternative career fair, students studying together, studying alone in the library, and the ever-important student housing legal clinic that offers free services to students when they have problems with their landlords.

In the College of Medicine and Public Health, they have two years of basic sciences and one year of three different pathways -- lecture discussion pathway, which is traditional; independent study, where students learn on their own; and problem-based learning, which is based on case studies; and two years of clinical rotations working in hospitals around Columbus, the Cleveland Clinic, and clinics and offices all around Central Ohio. The College of Medicine and Public Health begins classes in August on a semester calendar and ends in June on a quarter calendar. Clinical rotations begin in June following the second year, so that the only break is the first summer -- half a quarter.

This is an example of the Residency Match Day from last year -- two fourth-year students. On this day, students open an envelope that tells them where they'll spend the next four years. It's a binding decision, but they do get some limited choice in the matter. Students working in the hospital -- this is a second-year student practicing physical exam techniques, and a first-year student studying in the library in the independent study pathway.

The College of Optometry -- the first three years are course-based, including basic sciences and clinical skills courses. Students then complete clinical training, working both at the clinics and eyeglass dispensary at Ohio State, and at an off-campus clinic office. These are some of the activities that typical optometry students go through -- working in the eyeglass dispensary, actually putting the lenses and frames together; and community vision screenings.

In the College of Veterinary Medicine, there are two years of basic sciences followed by a year of rotations and a year of work in the clinics. They are prepared for a wide range of activities, including many different animals in many different areas of practice.

STUDENT GOVERNMENT REPORT (contd)

Mr. Armen: (contd)

Overall, professional students have an average credit load of 24 hours. This is greatly above the traditional graduate or undergraduate student. These students, who were all in the top of their graduating class from their undergraduate institutions, were heavily involved in their campus life there and in community service.

Professional colleges have the highest tuition of any students on campus, and the highest increases every year. Most students at this school live on loans that total over \$25,000 per year. When it came up in the Academic Plan that there was the possibility of raising undergraduate tuition by nine percent, we laughed. As you can see, our tuition was raised last year alone by 9.5 percent for Dentistry, 19 percent for Law, and almost 9 percent for Medicine and Vet Med.

Overall, IPC is the representative body of this diverse group of students. We're the professional student government, yet we do much more than that. We also perform social services for these students, allowing them to internetwork and try and feel somewhat a part of the greater university, which currently is way underdeveloped on this campus.

The I-QUE Report, which was distributed in August – while some improvements have been made to address those concerns, it still has not been completely addressed. We might point out that great professional programs only enhance undergraduate education, and the lacking in the Academic Plan of focus on professional schools and professional students is somewhat unnerving. We must embrace and acknowledge the contribution of our professional students, which have been too long overlooked, if we are to accomplish the goals of the Academic Plan and make these programs and this University truly great.

I'd like to thank these following people for helping put this presentation together through the pictures and the other slides. Thank you for the opportunity to address you today.

Mr. Brennan:

Thank you. Any questions of Todd? Thank you very much.

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BUILDING RESEARCH ACROSS DISCIPLINES

Mr. Brennan:

I would now like to call on Vice President Brad Moore for a presentation.

Vice President Brad Moore: [PowerPoint Presentation]

Mr. President and members of the Board, thank you very much for this opportunity to meet with you today and discuss the University's research program. Our Academic Plan points out that the sine qua non of a great university is academic excellence, as measured by the quality of the research, scholarship, and graduates it produces, along with their collective impact on the larger society.

I think it's always important to realize that much of our ultimate impact is the accomplishments of our alumni. The strategy to develop academic programs

BUILDING RESEARCH ACROSS DISCIPLINES (contd)

Vice President Moore: (contd)

that define Ohio State as the nation's leading public land grant university is, in substantial measure, in the development of multidisciplinary research programs. Many of today's major opportunities for research and societal progress require a multidisciplinary approach.

Ohio State is particularly strong in this tradition for interdisciplinary and multidisciplinary work. We have over sixty centers on campus already. The multidisciplinary research initiatives, new buildings for research, and the recruitment of star faculty are three of the key initiatives in our Academic Plan. Biomedical research and a new center for the study of race and ethnicity have been chosen as initial thrusts for our Academic Plan. It's also important to understand that strong multidisciplinary platforms provide a means to attract and retain our outstanding faculty and students.

Lonnie Thompson, one of the world's leading polar researchers at the Byrd Polar Center, pioneered the technology of ice coring and the use of ice cores for studying the history of our atmosphere and global climate. These ice cores all have little bubbles trapped in them, which record the history of our planet. Recently noted, the sad fact that in a matter of years, anyone wanting to study the glaciers of Africa or Peru will probably have to travel to Columbus, Ohio, to do so in Lonnie's freezer. You just can't imagine how hard it is to get an ice core from the top of a mountain using Aeroflot, no less, to Columbus, Ohio.

So perhaps it was no great surprise that Lonnie was the author of the quote of the day last week in the *New York Times* in discussing the melting of the Peruvian Glacier. He noted at an AAAS meeting, that the whole country -- Peru that is -- for its hydro-power is "cashing in on a bank account that was built up over thousands of years, but isn't being replenished."

Building on our multidisciplinary strength is a key part of our strategy. We asked the faculty in mid-November if they had any proposals for new multidisciplinary initiatives that we might start. On January 3 there were 110 proposals, most of which involve 10 or 20 faculty. We selected eight areas during January and asked leaders in those areas to combine the best of the proposals that were relevant, and plan and develop proposals for funding for research in the areas of: environment; nanotechnology and materials; human learning; food safety and nutrition; information technology, with a particular emphasis on the arts; and, as I already mentioned, biomedical research and the study of race and ethnicity. The real point of our presentation today is to introduce two people to you who will give you a vision for our future in research at The Ohio State University.

Maria Palazzi received her M.A. degree from The Ohio State University in 1987, spent four years as senior animator and director at Cranston/Csuri Productions, moved then to do computer animation at the Ringling School of Art and Design, and is now again with us. Maria –

Professor Maria Palazzi: [PowerPoint Presentation]

Thank you for inviting me to speak with you today about how the College of The Arts facilitates multidisciplinary initiatives for our students and faculty. Multidisciplinary collaboration is one of the foundations for the college's success. Our structure for multidisciplinary efforts is based on research that continues to prove the merits of collaboration among professionals as a method for creative problem-solving and expression. As professionals concerned with the academic

BUILDING RESEARCH ACROSS DISCIPLINES (contd)

Professor Palazzi: (contd)

and social growth of our students, it's imperative that we focus on the nature of collaborative relationships in order to find more effective ways of teaching, learning, and working together.

The college impacts educational practices by using multidisciplinary collaboration to affect faculty, students, and our relationship in the world. We feel that our students' education is vastly enriched with multidisciplinary experiences and it is those experiences that I would like to talk about today.

The College of The Arts supports and nurtures the Advanced Computing Center for the Arts and Design, which is a multidisciplinary center for collaborative research in technology and the arts. The center originated from pioneering Ohio State work and now regularly turns out award-winning alumni skilled in computer animation and virtual design.

Our newest project is a state-of-the-art motion capture facility for researchers and their students. These capabilities have important research implications across the University in areas such as athletics, medicine, computer science, ergonomics, and the arts. This technology will allow us to capture, analyze, and archive motion, like a golf swing or a tap dance or rehabilitating patient's movements. We expect this facility to be a multidisciplinary hub of important collaborative research and experimentation.

Mime master Marcel Marceau will be in residence at Ohio State in April. His visit is a multidisciplinary event between ACCAD, Theatre, the University Libraries, and Industrial Light and Magic, a George Lucas company. Through our proud alumni connections, Jeff Light, of ILM, teams with ACCAD and Theatre In Motion capturing two new performances by Mr. Marceau and the inauguration of our motion capture facility. The University Libraries are an active partner in archiving Mr. Marceau's work here at Ohio State, including the motion capture data as a resource for other researchers and students.

Within the College, the Department of Design is an active University and industry collaborator, initiating multidisciplinary endeavors, such as this funded project with the corporate research lab of the Ford Motor Corporation. The topic was "Innovation in Seating for the Ford Focus." Six teams, including design and mechanical engineering students applied new materials, manufacturing processes, ergonomics, and semantics to this design research. These students, all undergraduates, presented full-size representations in computer-generated models of their designs at the Ford research lab in Dearborn, Michigan. Successful projects like this one prove the merits of collaboration among disciplines in academia and industry.

As you know, theatre is an interactive-situated art form involving performers interacting with an audience in an environment designed with respect to lighting, movement, the set, costume, and sound. Our Introduction to Theatre or Theatre 100 course is one of the largest GEC courses in the University, serving over 1,000 undergraduate students per quarter. It has traditionally had to rely on the lecture format, slides, and video in presenting material. However, this mode of teaching falls short of the multidimensionality of the art itself.

Virtual theatre is a funded project in the development of a web-delivered interface for Theatre 100 students. This project features a multidisciplinary collaboration between ACCAD, Theatre, and Computer Science faculty and students to develop a virtual theatre interface specifically designed to affect the way Theatre

BUILDING RESEARCH ACROSS DISCIPLINES (contd)

Professor Palazzi: (contd)

100 is taught and experienced. The project calls for the creation of a virtual theatre, a 3-D space constructed as a simulation of the Roy Bowen Theatre, shown at the top. The interface, shown at the bottom, is constructed around this virtual stage, which allows students not only to enter and explore this space but to interact with its content by controlling lighting, movement, and design elements, things they couldn't do in the real environment.

In effect, the interface will enable a range of different functions under the interactive control of the user. This interface will be onlined next fall and our undergraduates will be using this new technology tool to enhance their educational experience. It would not have been possible without the collaborative expertise of these three areas.

The last project I'll share with you today is both multidisciplinary and multi-institutional. This virtual reconstruction of a second century Roman bath in Greece, is part of an ongoing archaeological project of Dr. Tim Gregory in Ohio State's history department. Across the Internet, ACCAD design graduate student Julie Apley reconstructs this structure based on the archaeological findings of Dr. Gregory. His colleagues, located at the University of Minnesota and UC-Santa Barbara, view the images in virtual representations across the web and share their discussion online with Julie as she transforms their discoveries and knowledge into photo-realistic reconstruction. This visualization will include the ability to virtually tour the entire structure, as it would have existed in the second century.

Informed visualization that the arts bring to historical findings help researchers to understand and communicate their discoveries. The project applies great efforts to ensure the involvement of all applicable parties in decision-making. The professionals rely on collaboration with each other to conduct and enhance each level of the process.

It has been stated that the ability to collaborate on every level is becoming one of the core requisites of post-modern society. It is our goal to prepare our students for the challenges that they will face in an increasingly complex society. There is a need for Ohio State to turn its attention, as well as its resources, to multidisciplinary initiatives. We in the arts believe that our outcomes prove that creative approaches and problem-solving skills, along with self-confidence and respect for others, can be learned most memorably through academically challenging, multidisciplinary experiences.

Vice President Moore:

Our next speaker is Professor Firdaus Dhabhar. He earned his Ph.D. in 1996 at the Rockefeller University. Based on his thesis, he won the Council of Graduate Schools' 1997 Distinguished Dissertation Award in Biological and Life Sciences. He followed this up with the 2000 New Investigator Award from the Psychoneuroimmunology Research Society for outstanding contributions. He spent 1999-2000 as a member of the Institute of Medicine and National Academy of Sciences Committee examining the health effects of exposures experienced during the Gulf War. Firdaus --

BUILDING RESEARCH ACROSS DISCIPLINES (contd)

Professor Firdaus Dhabhar: [PowerPoint Presentation]

Thank you all for giving me this opportunity to share some of our work and ideas with you. And thank you even more for the time, effort, and energy that you spend guiding the development and progress of our University.

I'm going to speak with you today about modern mind/body medicine as an example of multidisciplinary research that's going on here at Ohio State at the College of Dentistry and the College of Medicine and Public Health.

The overall aim of our research is to understand the biological mechanisms by which the body maintains health. That is to understand the salubrious or health-promoting aspects of psychophysiology. This is slightly different from studying mechanisms of disease, dying, and death that are also important and that are the focus of most biomedical studies today.

To give you an example of how we go about conducting these studies, these are large-scale multidisciplinary studies, and in each study we measure various parameters or dimensions in a healthy individual or a patient, depending on the disease model under study. These parameters include psychological, endocrine, immune, genetic, clinical, nutritional, sleep status, and hormonal rhythm parameters.

Our first goal is to identify constellations and combinations of these parameters that would be predictors of either good health or of slow versus fast healing. Our ultimate goal is to use this information to manipulate these parameters, to place a patient into a fast healing psychophysiological state before or during treatment to ensure maximum efficiency of recovery.

Just to give you examples of some of the studies that we are involved with, the first study looks at these parameters and how they affect immune responsivity of patients during an acute laboratory stress condition. These studies are being carried out with my colleague Marty Altemus, a psychiatrist at Cornell University. Another study looks at the long-term psychological and immunological consequences of childhood sexual abuse; once again, with Marty Altemus, being conducted at Cornell. Another study looks at these dimensions in the context of breast cancer survival, this is being conducted by David Spiegel and colleagues at Stanford. An orthopedic knee surgery study with Jeannette Ickovics and colleagues at Yale, and an oral wound healing study being conducted right here at the College of Dentistry with my colleague Phil Marucha, and others who work here at Ohio State.

Once again, what we're trying to do is understand how these parameters would help us predict slow versus fast healing, with the intention of using these parameters to promote healing at a maximum efficiency. We also finally have a study on aging looking at these parameters in a similar context.

Just to give you an example of how one of these studies is actually conducted, this is one that my colleague Marty Altemus and I started about a month ago at Cornell. This study looks at how these different dimensions in healthy subjects altered the ability of a subject to mount immune responses under conditions of laboratory stress. We measured various parameters through questionnaires and interview information, through blood samples, or through various electro-physiological leads, and essentially understand or ask the question how these parameters influence the ability of this subject to mount immune responses.

BUILDING RESEARCH ACROSS DISCIPLINES (contd)

Professor Dhabhar: (contd)

The subject shown here happens to be a pro football player -- he played for the Baltimore Ravens. Unfortunately for him, he didn't play for them this year, because he's retired now and is in medical school at Cornell, where he volunteered for our study.

To give you another example of studies -- this picture is a time course of wound healing in the skin taken from the knee surgery study at Yale. Although this looks big, it's a very small 3mm punch biopsy wound. This is a similar time course of wound healing except that it's in the mouth and is in conjunction with my colleague Phil Marucha, conducted here at the College of Dentistry at Ohio State.

Once again, for both these wound healing studies what we are trying to ascertain is the issue of what constellations or combinations of these different parameters help us predict slow versus fast healing, so that we may harness this information to accelerate healing for our patients.

The principle pre-clinical research findings, on which these studies are based, are the following: during acute stress or short-term stressful conditions, the body's soldiers -- that is the immune cells -- traveled to potential battle stations in the body, such as the skin and lymph nodes, where they may be critically needed if the stressor -- example, a dangerous situation such as space flight -- causes trouble, such as wounding or infection. This stress-induced redistribution of immune cells results in a significant enhancement of immune function in compartments to which these leukocytes traffic during conditions of stress.

In the video shown earlier, it mentioned that Senator Glenn's immune system didn't fare too well during space flight, although his muscles seemed to do pretty well. Our work would suggest that perhaps they came to this conclusion because they measured his immune function in his bloodstream. Had they perhaps looked in the skin and lymph nodes, that would be where his immune function may have been performing better. His immune system was doing exactly what it was supposed to, according to our studies, during the stressful conditions of space flight, where the immune cells had left the bloodstream and there you would register a lower immune response. But they had traveled to other organs in Senator Glenn's body, where they may, in fact, have been called upon to do certain things should he have been exposed to infections or wounding or anything during the stressful condition of space flight.

We've also observed that -- in contrast to acute stress, which is immuno-enhancing -- chronic or long-term stress is immunosuppressive. The clinical significance of these findings? Stress is an intrinsic part of life and is thought to be the etiology of many diseases. Our studies provide an understanding of how the body's endogenous chemicals work to enhance or suppress immune function. We will use this knowledge to manipulate immune function depending on the disease being treated. Since such treatments would harness the body's natural immune control mechanisms, they may be more efficient and have fewer side effects.

Just to give you examples, there are two types of desirable outcomes when you're trying to regulate the immune function. You would want to enhance immune system function if you, as a physician, were trying to battle infection, cancer, or if you were trying to accelerate wound healing. However, you would also want to suppress the immune function if you were trying to combat an

March 2, 2001 meeting, Board of Trustees

BUILDING RESEARCH ACROSS DISCIPLINES (contd)

Professor Dhabhar: (contd)

autoimmune disease such as arthritis, an inflammatory disease such as gingivitis, or an allergic reaction such as poison ivy.

Just to give you an idea of the people behind this work -- Alison Light seen here is actually a fellow alumna of Senator Glenn's Muskingum College, and these are students, graduate and undergraduate students who work in my laboratory and actually contribute to these studies. It's a team effort -- it's a large team. Parts of the team are outside of Ohio State, parts of the team are within Ohio State. I can't show you everybody's pictures, but here are their names and institutional affiliations, and gratitude to the institutions that have supported this work in terms of financial contributions.

If any of you are interested in learning more, want to visit the lab, want to talk with us more about what we do and how we do it, you're most welcome to. Thank you very much.

Vice President Moore:

Thank you. I hope you will agree that we are doing an outstanding job in hiring and developing promising junior faculty. I want to assure you that we are becoming very much preemptive and proactive in retaining and supporting outstanding mid-career faculty, as well as recruiting new senior faculty.

To succeed we need to be sure that The Ohio State University is the best place to do research in the fields that we choose to be best. Where do we stand? We have a long way to go in terms of the recognition of our faculty. I think our faculty is significantly under-recognized and certainly is outstanding, proving that we can "grow our own," as evidenced by Professor Fan.

We're making rapid progress in increasing grant support for our research. You can see from the figures that this is on a rapid increase. Last year we scored very well with some big long-term program grants. The rate of expenditures, facilities, and administrative cost recovery gives you a sense of the money going out the door, which is supporting the research enterprise and you see that's growing recently at a rate on the order of eight percent.

In business and, certainly, in academia, collaboration across disciplines and colleges, and between institutions is the key to our future success. I was really impressed by this quote in the *Wall Street Journal* on February 22, "People at OSU are really good, and they'll help you. People in Ohio are just plain helpful." I'm extraordinarily optimistic about our future.

Mr. Brennan:

Thank you very much, Dr. Moore, and Professors Palazzi and Dhabhar. Are there any questions from the Trustees?

I would like to make one observation -- you are confirming why you came here to Ohio State. I quote you often -- that the multidisciplinary approach to our research is our biggest asset and you're exploiting it. I'm pleased to hear what you're doing -- fascinated, as a matter of fact. Thank you.

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March 2, 2001 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, April 6, 2001, at The Ohio State University Marion Campus, Marion, Ohio.

Attest:

William J. Napier
Secretary

George A. Skestos
Chairman

(APPENDIX XXXI)

Ohio Public Higher Education Institutions'
Alternative Retirement Plan

Article I

OPTIONS

§ 1.1 Exclusive Benefit

This Plan has been executed for the exclusive benefit of the Participants hereunder and their Beneficiaries. This Plan shall be interpreted in a manner consistent with this intent and with the intention of the Employer that this Plan satisfy the pertinent provisions of Internal Revenue Code Section 401(a), and Ohio Revised Code Sections 3305.01, et seq. Under no circumstances shall funds ever revert to or be used or enjoyed by the Employer, except as provided in Section 9.4.

§ 1.2 No Rights of Employment Granted

The establishment of this Plan shall not be considered as giving any employee the right to be retained in the service of the Employer.

§1.3 Effective Date

Option 1

_____The original "Effective Date" shall be _____.

Option 2

 X This amendment and restatement shall be effective April 1,2001 unless otherwise indicated.

§1.4 Employer

The "Employer" shall mean The Ohio State University. To adopt this Plan, Employer must be: (i) a state university as defined in Section 3345.011 of the Revised Code, (ii) the Medical College of Ohio at Toledo, (iii) the Northeastern University's College of Medicine, (iv) or a university branch, technical college, state community college, community college or a municipal university established or operating under Chapter 3345, 3349, 3354, 3355, 3357, or 3358 of the Revised Code.

§1.5 Full-time Employee

"Full-time Employee" shall mean employees with appointments of seventy-five percent (75%) or greater.

§1.6 Plan Name

The "Plan Name" is The Ohio State University Alternative Retirement Plan._____

§1.7 Plan Year

A "Plan Year" is the 12-consecutive month period beginning January 1 and ending December 31.

§1.8 Provider

Option 1

_____ "Provider" shall mean _____.

Option 2

"Provider" shall mean, with respect to an individual Participant, the company selected by the Participant to provide the Participant's Annuity Contract pursuant to Section 5.1. Participants may choose among those companies designated by the Ohio Department of Insurance under Section 3305.03 of the Revised Code that have entered into a provider agreement with the Employer. A Provider's responsibilities under the Plan, as to any Participant, shall be limited to the Accounts of those Participants investing in Annuity Contracts offered by the respective Provider.

§1.9 Year of Service for Vesting

Option 1

_____ An employee shall be credited with a "Year of Service for Vesting" for each Plan Year during which the employee remains continuously employed by the Employer and which begins after the employee has attained the age of 18.

Option 2

An employee shall be credited with a "Year of Service for Vesting" on the first anniversary of the 12 consecutive month period beginning on the date the employee first performs an Hour of Service after the employee has attained the age of 18 (employment commencement date), and each anniversary thereof.

Option 3

_____ Not applicable. Participants vest immediately.

Option 4 (may be combined with option 2)

Administrative Employees with 9 month contracts and Academic Employees shall be credited with a "Year of Service for Vesting" upon the earlier of: (a) the first anniversary of the 12 consecutive month period beginning on the date the employee first performs an Hour of Service after the employee has attained the age of 18 (employment commencement date) and each anniversary thereof; or (b) the completion of each 9 month academic year or 9 month contract.

§1.10 Employer Contributions

Option 1

_____ Employer discretionary contributions shall be made at a rate equal to a uniform percentage of the Compensation of each Participant who is eligible for Employer Contributions. The Board of Trustees of the Employer shall have discretion to vary the contribution rate from Plan Year to Plan Year.

Option 2

_____ Employer discretionary contributions shall be made at a rate equal to a percentage of the Compensation of each Participant who is eligible for Employer Contributions. A different contribution rate may be set for Academic Employees and Administrative Employees. The Board of Trustees of the Employer shall have discretion to vary the contribution rate from Plan Year to Plan Year.

Option 3

Employer discretionary contributions shall be made at a rate of ____% of the Compensation of each Participant who is eligible for Employer Contributions and who is an Academic Employee, and at a rate of ____% of the Compensation of each Participant who is eligible for Employer Contributions and who is an Administrative Employee. The Board of Trustees of the Employer shall have discretion to vary the contribution rate from Plan Year to Plan Year.

§1.11 Loans to Participants

The Plan shall not permit loans.

_____ Plan loan provisions are set forth in Section 5.5. The minimum loan amount shall be set forth in the Annuity Contract.

§1.12 Spousal Consent

Option 1

In the event of the death of a married Participant, the surviving spouse must be the sole Beneficiary unless the surviving spouse has consented in writing to a different election, has acknowledged the effect of such election, and the consent and acknowledgment are witnessed by a duly authorized Provider representative or a notary public. Spousal consent shall not be necessary if it is established to the satisfaction of the Provider that there is no spouse, the spouse cannot reasonably be located, or for such other reasons as the Treasury regulations may prescribe. If the spouse of a Participant is located or if a Participant remarries, it shall be the duty of the Participant to bring that fact to the attention of the Provider. If the Participant so notifies the Provider, the Provider shall then, if applicable, proceed to make available to such spouse the spousal consent procedures described in this Section.

Option 2

_____ A Participant may designate a Beneficiary other than the Participant's spouse without obtaining spousal consent.

Notwithstanding the above, effective April 1, 2001, Option 2 shall not be an available option and Option 1 shall apply as if it were originally elected by the Employer.

§1.13 Employer Account Vesting on Termination

Option 1

_____ A Participant's Employer Account shall be 100% vested at all times.

If a Participant's employment is terminated prior to attaining Normal Retirement Age except for death or Disability, the vested portion of his Employer Account shall be determined in accordance with the following:

Option 2

_____ (5 year cliff)--

<u>Total Service for Vesting</u>	<u>Vested percentage of Employer Account</u>
less than 5 years	0%
5 years or more	100%

Option 3

____ (3 to 7 year graded)

<u>Total Service for Vesting</u>	<u>Vested percentage of Employer Account</u>
less than 3 years	0%
3 years	20%
4 years	40%
5 years	60%
6 years	80%
7 years or more	100%

Option 4

(other)

<u>Total Service for Vesting</u>	<u>Vested percentage of Employer Account</u>
less than ____ year(s)	0%
1 year	100%
____ years	____%
____ years	____%
____ years	____%
____ years	____%
____ years or more	100%

Notwithstanding the above, the Plan's vesting schedule shall meet the vesting requirements resulting from the application of IRC Sections 401(a)(4) and 401(a)(7) as in effect on September 1, 1974.

§ 1.14 Forfeiture for Certain Acts

Subject to the provisions of Section 7.10 and notwithstanding the provisions of Section 6.3, a Participant who has less than one (1) year of Total Service for Vesting shall forfeit any amount accrued in his or her Employer Account if he or she should commit any criminal act or willful or malicious act which damages the Employer or other employees. Such determination shall be made by the Employer in its sole discretion.

§ 1.15 Method of Distribution of Accounts

The Participant shall elect to receive distribution of his or her vested Account in any of the following forms (check all that apply):

an annuity as permitted by the Annuity Contract:

_____ with a default option of a Joint and Survivor Annuity or Pre-Retirement Survivor Annuity as provided in Section 7.3, or

without a default option of a Joint and Survivor Annuity or Pre-Retirement Survivor Annuity.

a lump-sum distribution,

an installment distribution to the extent permitted under the Annuity Contract (subject to the limitations of Section 7.2).

Article II

DEFINITIONS

§ 2.1 Academic Employee

"Academic Employee" shall mean any Full-time Employee who is a member of the faculty of the Employer and is not receiving any benefit, allowance or other payment from the Public Employees Retirement System created under Chapter 145 of the Revised Code, the State Teachers Retirement System created under Chapter 3307 of the Revised Code, or the School Employees Retirement System created under Chapter 3309 of the Revised Code. In all cases of doubt, the Employer's Board of Trustees shall make a final determination as to whether an employee is an Academic Employee.

§ 2.2 Account

"Account" shall mean the amount credited to the Employer Account, the Participant Account and, if applicable, the Rollover Account of a Participant or Beneficiary.

§ 2.3 Administrative Employee

"Administrative Employee" shall mean any Full-time Employee who is a member of the administrative staff of the Employer serving in a position in the unclassified civil service pursuant to Section 124.11 of the Revised Code or, if Section 124.11 of the Revised Code does not apply to the Employer, serving in a position comparable to a position in the unclassified civil service, and is not receiving any benefit, allowance or other payment from the Public Employees Retirement System created under Chapter 145 of the Revised Code, the State Teachers Retirement System created under Chapter 3307 of the Revised Code, or the School Employees Retirement System created under Chapter 3309 of the Revised Code. In all cases of doubt, the Employer's Board of Trustees shall make a final determination as to whether an employee is an Administrative Employee.

§2.4 Annuity Contract

"Annuity Contract" shall mean any annuity contract or custodial account that satisfies the provisions of IRC Section 401(f), and that is offered by the Provider.

§ 2.5 Beneficiary

A "Beneficiary" is any person, estate or trust who by operation of law, or under the terms of the Plan, or otherwise, is entitled to receive the Account of a Participant under the Plan. A "designated Beneficiary" is any individual designated or determined in accordance with Section 5.4, excluding any person who becomes a beneficiary by virtue of the laws of inheritance or intestate succession.

§ 2.6 Compensation

"Compensation" shall mean:

(a) If the Participant would be subject to Chapter 145 of the Revised Code had the Participant not made an election pursuant to Section 3305.05 of the Revised Code, all salary, wages, and other earnings paid to the Participant. The salary, wages, and other earnings shall be determined prior to determination of the amount required to be contributed by the Participant under Section 4.1 and without regard to whether any of the salary, wages, or other earnings are treated as deferred income for federal income tax purposes.

- (1) Compensation includes the following:
 - (i) Payments made by the Employer in lieu of salary, wages, or other earnings for sick leave, personal leave, or vacation used by the Participant;
 - (ii) Payments made by the Employer for the conversion of sick leave, personal leave, and vacation leave accrued, but not used if the payment is made during the year in which the leave is accrued, except that payments made pursuant to Section 124.383 or 124.386 of the Revised Code are not Compensation;
 - (iii) Allowances paid by the Employer for full maintenance, consisting of housing, laundry, and meals, as certified to the public employees retirement board by the Employer or the head of the department that employs the Participant;
 - (iv) Fees and commissions paid under Section 507.09 of the Revised Code.
 - (v) Payments that are made under a disability leave program sponsored by the Employer and for which the Employer is required by Section 145.296 of the Revised Code to make periodic Employer and employee contributions;
- (2) Compensation does not include any of the following:
 - (i) Fees and commissions, other than those paid under Section 507.09 of the Revised Code, paid as sole compensation for personal services and fees and commissions for special services over and above services for which the Participant receives a salary;
 - (ii) Amounts paid by the Employer to provide life insurance, sickness, accident, endowment, health, medical, hospital, dental, or surgical coverage, or other insurance for the Participant or the Participant's family, or amounts paid by the Employer to the Participant in lieu of providing the insurance;
 - (iii) Incidental benefits, including lodging, food, laundry, parking, or services furnished by the Employer, or use of the Employer's property or equipment, or amounts paid by the Employer to the Participant in lieu of providing the incidental benefits;
 - (iv) Reimbursement for job-related expenses authorized by the Employer, including moving and travel expenses and expenses related to professional development;
 - (v) Payments for accrued, but unused sick leave, personal leave, or vacation that are made at any time other than in the year in which the sick leave, personal leave, or vacation was accrued;
 - (vi) Payments made to or on behalf of the Participant that are in excess of the annual compensation that may be taken into account by the Plan under IRC Section 401(a)(17);
 - (vii) Payments made to the Participant while on leave for military duty under Division (B) or (D) of Section 5923.05 of the Revised Code or Section 4 of Substitute Senate Bill No. 3 of the 119th General Assembly; and

- (viii) Anything of value received by the Participant that is based on or attributable to retirement or an agreement to retire.

(b) If the Participant would be subject to Chapter 3307 of the Revised Code had the Participant not made an election pursuant to Section 3305.05 of the Revised Code, all salary, wages, and other earnings paid to the Participant by reason of the Participant's employment, including compensation paid pursuant to a supplemental contract. The salary, wages, and other earnings shall be determined prior to determination of the amount required to be contributed by the Participant under Section 4.1 and without regard to whether any of the salary, wages, or other earnings are treated as deferred income for federal income tax purposes.

(1) Compensation does not include any of the following:

- (i) Payments for accrued but unused sick leave or personal leave, including payments made under a plan established pursuant to Section 124.39 of the Revised Code or any other similar plan established by the Employer;
- (ii) Payments made for accrued but unused vacation leave, including payments made pursuant to Section 124.13 of the Revised Code or a similar plan established by the Employer;
- (iii) Payments made for vacation pay covering concurrent periods for which other salary, compensation, or benefits under Chapter 3307 of the Revised Code are paid;
- (iv) Amounts paid by the Employer to provide life insurance, sickness, accident, endowment, health, medical, hospital, dental, or surgical coverage, or other insurance for the Participant, or the Participant's family, or amounts paid by the Employer to the Participant in lieu of providing the insurance;
- (v) Incidental benefits, including lodging, food, laundry, parking, or services furnished by the Employer, use of the Employer's property or equipment, and reimbursement for job-related expenses authorized by the Employer, including moving and travel expenses and expenses related to professional development;
- (vi) Payments made by the Employer in exchange for a Participant's waiver of a right to receive any payment, amount, or benefit described in Division (U)(2) of Section 3307.01 of the Revised Code;
- (vii) Payments by the Employer for services not actually rendered;
- (viii) Any amount paid by the Employer as a retroactive increase in salary, wages, or other earnings that meets the requirements of Section 3307.01(u)(2)(h)(i), (ii), (iii), or (iv), of the Revised Code;
- (ix) Payments made to or on behalf of the Participant that are in excess of the annual compensation that may be taken into account by the Plan under IRC Section 401(a)(17).
- (x) Payments made to the Participant while on leave for military duty under Division (B) or (D) of Section 5923.05 of the Revised Code or Section 4 of Substitute Senate Bill No. 3 of the 119th General Assembly; and
- (xi) Anything of value received by the Participant that is based on or attributable to retirement or an agreement to retire.

(c) If the Participant would be subject to Chapter 3309 of the Revised Code had the Participant not made an election pursuant to Section 3305.05 of the Revised Code, all salary, wages, and other earnings paid to a Participant by reason of employment. The salary, wages,

and other earnings shall be determined prior to determination of the amount required to be contributed by the Participant under Section 4.1 and without regard to whether any of the salary, wages, or other earnings are treated as deferred income for federal income tax purposes.

- (1) Compensation does not include any of the following:
 - (i) Payments for accrued but unused sick leave or personal leave, including payments made under a Plan established pursuant to Section 124.39 of the Revised Code or any other similar plan established by the Employer;
 - (ii) Payments made for accrued but unused vacation leave, including payments made pursuant to Section 124.13 of the Revised Code or a similar Plan established by the Employer;
 - (iii) Payments made for vacation pay covering concurrent periods for which other salary or compensation is also paid;
 - (iv) Amounts paid by the Employer to provide life insurance, sickness, accident, endowment, health, medical, hospital, dental, or surgical coverage, or other insurance for the Participant or the Participant's family, or amounts paid by the Employer to the Participant in lieu of providing the insurance;
 - (v) Incidental benefits, including lodging, food, laundry, parking, or services furnished by the Employer, use of the Employer's property or equipment, and reimbursement for job-related expenses authorized by the Employer, including moving and travel expenses and expenses related to professional development;
 - (vi) Payments made to or on behalf of the Participant that are in excess of the annual compensation that may be taken into account by the Plan under IRC Section 401(a)(17).
 - (vii) Payments made to the Participant while on leave for military duty under Division (B) or (D) of Section 5923.05 of the Revised Code or Section 4 of Substitute Senate Bill No. 3 of the 119th General Assembly;
 - (viii) Anything of value received by the Participant that is based on or attributable to retirement or an agreement to retire.

Notwithstanding the foregoing, Compensation shall not be reduced by the amount of exclusions that are not currently includable in the Participant's gross income by reason of the application of IRC Sections 125, 402(e)(3), 403(b), and 457, or by reason of the application of IRC Section 414(h)(2).

An employee who has satisfied the eligibility requirements for Employer Contributions during a Plan Year shall be entitled to such contributions only with respect to Compensation earned on or after the date he becomes a Participant.

For each Plan Year, the annual Compensation of each Participant taken into account for determining all benefits provided under the Plan for that Plan Year shall not exceed \$150,000, as adjusted for increases in the cost-of-living in accordance with IRC Section 401(a)(17)(B). The cost-of-living adjustment in effect for a calendar year applies to any determination period beginning in such calendar year.

If a determination period consists of fewer than 12 months, the annual compensation limit is an amount equal to the otherwise applicable annual compensation limit multiplied by a fraction, the numerator of which is the number of months in the short determination period, and the denominator of which is 12.

§2.7 Disabled or Disability

"Disabled or Disability" shall mean the inability to engage in any substantial gainful activity by reason of any medically determinable physical or mental impairment that can be expected to result in death or to be of long continued and indefinite duration. A Participant shall be considered Disabled only if the permanence and degree of such impairment is supported by medical evidence. Such determinations shall be made by each Provider.

§ 2.8 Eligible Employee

"Eligible Employee" shall mean (a) any Academic or Administrative Employee whose employment as an Academic or Administrative Employee commences on or after the Effective Date, (b) any Administrative Employee who has less than five years total service credit in the Public Employees Retirement System or School Employees Retirement System on March 31, 1998, and (c) any Academic Employee who has less than five years total service credit in the State Teachers Retirement System on the 30th day of June preceding the Effective Date; provided however, an Academic or Administrative Employee previously employed by a Public Institution of Higher Education (including the Employer), as defined in Section 3305.01(A) of the Revised Code, will not be an Eligible Employee unless: 1) such employee has had a One Year Break in Service with respect to such previous employer; 2) such employee participated in an alternative retirement plan pursuant to Chapter 3305 of the Revised Code while employed by such previous employer; or 3) such employee was employed by such previous employer for less than ninety (90) days.

Effective April 1, 2001, "Eligible Employee" shall mean (a) any Academic or Administrative Employee whose employment as an Academic or Administrative Employee commences or recommences (after such Employee has had a One Year Break in Service with respect to such Employee's most recent previous employment with the Employer) on or after the initial date on which this Plan is adopted, (b) any Administrative Employee who has less than five years total service credit in the Public Employees Retirement System or School Employees Retirement System on March 31, 1998, and (c) any Academic Employee who has less than five years of total service credit in the State Teachers Retirement System on the 30th day of June preceding the initial date on which the Plan is adopted. Notwithstanding the foregoing, "Eligible Employee" automatically shall include (1) any employee who participated in an alternative retirement plan under Chapter 3305 of the Revised Code in the employee's last employment position with the Employer (and who has not incurred a One Year Break in Service) and who transfers, or is transferred, to an employment position with the Employer for which an alternative retirement plan under Chapter 3305 of the Revised Code is not available from that Employer or (2) any employee whose employment with the Employer terminates while the employee is participating in an alternative retirement plan under Chapter 3305 of the Revised Code and the employee recommences employment with the Employer before the employee has had a One Year Break in Service regardless of the employee's employment position with the Employer upon the employee's return or (3) any Academic or Administrative Employee whose previous employment with the Employer terminated before the employee had completed one hundred twenty (120) days of service with the Employer and such Employee had not, or had not been deemed to have, elected to participate in the Public Employees Retirement System, School Employees Retirement System or State Teachers Retirement System (collectively, "State Retirement System") as applicable, within such Employee's previous employment with the Employer.

§ 2.9 Employer Account

The "Employer Account" is the separate account maintained for each Participant to which all Employer contributions (including Forfeitures, if applicable) shall be allocated.

§ 2.10 Forfeiture

"Forfeiture" refers to the amount of the non-vested portion of a Participant's Employer Account following a Participant's termination of employment with the Employer.

§ 2.11 Hour of Service

"Hour of Service" means each hour for which an employee is paid or entitled to payment for the performance of duties for the Employer.

For purposes of determining an employee's initial or continued eligibility to participate in the Plan or the nonforfeitable interest in the Participant's account balance derived from Employer contributions, an employee will receive credit for the aggregate of all time period(s) commencing with the employee's first day of employment or reemployment and ending on the date a One Year Break in Service begins. The first day of employment or reemployment is the first day the employee performs an Hour of Service.

§ 2.12 IRC

"IRC" refers to the Internal Revenue Code of 1986, as amended.

§ 2.13 Joint and Survivor Annuity

A "Joint and Survivor Annuity" is an immediate annuity for the life of the Participant with a survivor annuity for the life of the Participant's Beneficiary which is not less than 50% and not more than 100% of the amount of the annuity which is payable during the joint lives of the Participant and the Participant's Beneficiary and which is the actuarial equivalent of the Participant's vested Account. The percentage of the survivor annuity under the Plan shall be elected by the Participant subject to the annuity options available under the Annuity Contract.

§ 2.14 Leave of Absence

A "Leave of Absence" shall refer to that period during which the Participant is absent without Compensation and for which the Employer, in its sole discretion has determined the Participant to be on a "Leave of Absence" instead of having terminated his or her employment. However, such discretion of the Employer shall be exercised in a nondiscriminatory manner. In all events, a Leave of Absence by reason of service in the armed forces of the United States shall end no later than the time at which a Participant's reemployment rights as a member of the armed forces cease to be protected by law, except that if the Participant resumes employment with the Employer prior thereto, the Leave of Absence shall end on such date of resumption of employment. The date that the Leave of Absence ends shall be deemed the Termination Date if the Participant does not resume employment with the Employer. In determining a Year of Service for Vesting, all such Leaves of Absence shall be considered to be periods of continuous employment with the Employer.

§ 2.15 Limitation Year

The "Limitation Year" for purposes of IRC Section 415 shall mean the Plan Year.

§ 2.16 Nonelective Contributions

"Nonelective Contributions" shall be those contributions made by the Participant pursuant to Section 4.1.

§ 2.17 Normal Retirement Age

The "Normal Retirement Age" shall be the time at which the Participant attains 65 years of age.

§ 2.18 One Year Break in Service

A "One Year Break in Service" or "Break in Service" is a Period of Severance of at least 365 consecutive days.

§ 2.19 Participant

A "Participant" shall refer to every employee or former employee who has met the applicable participation requirements of Article III.

§ 2.20 Participant Account

The "Participant Account" is the account to which all Nonelective and Voluntary Contributions, by the Participant shall be allocated, if applicable. Separate accounts within the Participant Account will be maintained for the Nonelective Contributions and the Voluntary Contributions of each Participant.

§ 2.21 Period of Severance

A "Period of Severance" is a continuous period of time during which the employee is not employed by the Employer. Such period begins on the date the employee retires, resigns or is discharged. In the case of an individual who is absent from work for maternity or paternity reasons, the 12-consecutive month period ending on the first anniversary of the first date of such absence shall not constitute a Break in Service. For purposes of this paragraph, an absence from work for maternity or paternity reasons means an absence: (1) by reason of the pregnancy of the individual, (2) by reason of the birth of a child of the individual, (3) by reason of the placement of a child with the individual in connection with the adoption of such child by such individual, or (4) for purposes of caring for such child for a period beginning immediately following such birth or placement.

§ 2.22 Plan

"Plan" refers to this Plan; and for purposes of the IRC this Plan shall be considered and administered as a "profit-sharing plan".

§ 2.23 Pre-Retirement Survivor Annuity

A "Pre-Retirement Survivor Annuity" is a survivor annuity for the life of the surviving Beneficiary of the Participant which is the actuarial equivalent of the Participant's vested Account.

§ 2.24 Retirement

"Retirement" refers to the termination of employment of a Participant who has attained at least the Normal Retirement Age. The Participant may work beyond Normal Retirement Age, in which case Employer contributions, Nonelective Contributions, and Voluntary Contributions shall continue to be allocated to the Participant's Account.

§ 2.25 Revised Code

"Revised Code" shall mean the Ohio Revised Code, as amended.

§ 2.26 Rollover Contribution

"Rollover Contribution" means:

- (a) amounts transferred to this Plan directly from another qualified plan;
- (b) lump sum distributions received by a Participant from another qualified plan which are eligible for tax-free rollover treatment and which are transferred by the Participant to this Plan within sixty (60) days following his or her receipt thereof;
- (c) amounts transferred to this Plan from a conduit individual retirement account, provided that such account has no assets other than assets which were previously distributed to the Participant by another qualified plan; and further provided that such amounts met the

applicable requirements of IRC Section 408(d)(3) for rollover treatment on transfer to the conduit individual retirement account; and

(d) amounts distributed to a Participant from a conduit individual retirement account meeting the requirements of Subsection (c) above which are transferred by the Participant to this Plan within sixty (60) days of his or her receipt from such account.

§ 2.27 Termination Date

The "Termination Date" shall be the date on which the earliest of the following events occurs: (a) a Participant's Retirement, (b) a Participant's termination of employment as a result of Disability, (c) a Participant's death, or (d) a Participant's termination of employment for any other reason.

§ 2.28 Total Service for Vesting

"Total Service for Vesting" shall mean the sum of each separate Year of Service for Vesting credited to the Participant. In the case of a Participant who has a One Year Break in Service, all Years of Service for Vesting after such Break in Service will be disregarded for the purpose of vesting the Employer Account that accrued before such breaks, and all pre-break service will be disregarded for the purposes of vesting the Employer Account that accrues after such breaks.

§ 2.29 Voluntary Contribution

"Voluntary Contribution" shall mean those contributions made by a Participant pursuant to Section 4.3.

Article III

ELIGIBILITY TO PARTICIPATE

§ 3.1 Initial Entry

All Eligible Employees as of the date the Board of Trustees of the Employer establishes the Plan (the "Establishment Date") shall have a period of 120 days from such date in which to elect to participate in the Plan. Academic or Administrative Employees making such election on forms prescribed by the Employer shall participate in the Plan as of the Establishment Date. An Eligible Employee whose employment commences after the Establishment Date (or an existing employee who becomes an Eligible Employee after the Establishment Date) shall have a period of 90 days (120 days, effective April 1, 2001) from the date upon which the employee first is credited with an Hour of Service in which to elect participation in the Plan. Such election shall be effective on the Eligible Employee's employment commencement date and shall be irrevocable at the end of the 90-day period for Eligible Employees commencing employment prior to April 1, 2001 and shall be irrevocable when made for Eligible Employees commencing employment on or after April 1, 2001. Participants shall remain in the Plan as long as they are Eligible Employees. Effective April 1, 2001, Participants shall remain in the Plan as long as they are employees. Eligible Employees failing to elect participation in the Plan may not subsequently elect participation unless they have had a One Year Break in Service and are reemployed as Eligible Employees. For existing employees who became Eligible Employees due to a change in position, references in this section to employment commencement date and to the date upon which the employee is first credited with an Hour of Service shall mean the date upon which the employee became an Eligible Employee.

§3.2 Reclassification of Eligible Employee

If a Participant is reclassified into a position in which the Participant is no longer an Academic Employee or an Administrative Employee, such Participant's participation in the Plan shall terminate. Such termination shall be effective upon the date of reclassification. Effective

April 1, 2001, a Participant will continue to participate in the Plan as long as the Participant remains an employee of the Employer.

§3.3 Resumption of Participation

In the event a Participant is re-employed prior to incurring a One-Year Break in Service or an employee whose participation was previously terminated pursuant to Section 3.2 is reclassified as an Academic or Administrative Employee prior to incurring a One-Year Break in Service, such employee will participate in the Plan immediately upon becoming an Academic or Administrative Employee of the Employer.

§ 3.4 Eligibility Determinations and Employer Powers

The Employer shall have full power (a) to interpret and construe this Plan in a manner consistent with its terms and provisions and with IRC Section 401 and the other applicable qualified plan provisions of the IRC, and to establish rules and procedures conforming to those provisions; (b) to determine all questions of eligibility and of the status and rights of Participants; (c) to determine the amounts to be contributed to each Participant's Account; and (d) to employ such agents, attorneys, actuaries, accountants, auditors, investment counsel, and clerical assistants as it may deem necessary. In all such cases the Employer's determination shall be final and conclusive upon all persons. It is recognized that unusual circumstances may occur and questions may arise that are not specifically covered by any provision of this Plan, and the Employer shall have the right to resolve all such questions. Notwithstanding the above, the Employer's power and responsibility under this Plan shall not extend to, nor have any control over, those responsibilities and duties of the Provider.

Article IV

CONTRIBUTIONS

§ 4.1 Nonelective Contributions

An Eligible Employee who becomes a Participant under this Plan in accordance with the provisions of Article III shall be deemed to have authorized the Employer to deduct from such Participant's Compensation, prior to its payment, a certain percentage of such Participant's Compensation, as a Nonelective Contribution to the Plan. Such contributions shall be credited to the Participant Account.

The Nonelective Contribution percentage shall equal the percentage of the Participant's Compensation which, but for the election to participate in this Plan, would have otherwise been contributed to the State Retirement System that applies to the Participant's position; provided that the Nonelective Contribution percentage shall not be less than three percent.

The amount of the Nonelective Contribution shall be picked up by the Participant's Employer as provided for in IRC Section 414(h)(2). The Employer may choose to apply for approval from the National Office of the Internal Revenue Service concerning the applicability of IRC Section 414(h)(2). The Participant shall not have the option to receive this picked up contribution directly and such contributions shall be paid by the Employer directly to the respective Provider selected by the Participant.

§4.2 Employer Contributions

Employer contributions shall be made as set forth in Section 1.10. Such contributions shall be credited to the Employer Account.

Notwithstanding Sections 4.1 and 4.2, in no event shall the amount contributed under Sections 4.1 and 4.2 be less than the amount necessary to qualify the Plan as a state retirement system pursuant to IRC Section 3121(b)(7) and the regulations adopted thereunder.

Each Participant will share in Employer contributions for the period beginning on the date the Participant commences participation under the Plan and ending on the date on which such Participant severs employment with the Employer or ceases to be a Participant pursuant to Section 3.2.

§4.3 Voluntary Contributions

Participants shall be permitted to make voluntary non-deductible employee contributions to the Plan. Such contributions shall be credited to the Participant Account. Effective April 1, 2001, voluntary non-deductible employee contributions shall no longer be permitted. Voluntary non-deductible employee contributions made prior to April 1, 2001 shall be held and administered in accordance with the terms of the Plan.

§4.4 Corrective Distributions

If the limits under IRC Section 415 are exceeded for any taxable year, and such excess is a result of a reasonable error in estimating a Participant's annual Compensation or from such other facts and circumstances that are permitted under any regulation or other ruling of the U.S. Department of the Treasury, then the Account of the Participant will be adjusted by the amount of the Employer Contributions for the next Limitation Year in accordance with Section 5.3(a)(iv).

§ 4.5 Rollover Contributions

(a) Any Participant may make a Rollover Contribution to this Plan; provided, however, that the plan from which the funds are to be transferred must permit the transfer to be made, and provided, further, the Provider is reasonably satisfied that such transfer will not jeopardize the tax exempt status of this Plan or create adverse tax consequences for the Employer. Rollover Contributions shall be made by delivery of such amount to the respective Provider. All Rollover Contributions must be in cash or property satisfactory to the Provider, whose decision in this regard shall be final.

(b) If the Provider accepts such transfer of funds, it shall allocate them to the appropriate Participant Account of the transferring Participant, or to a separate or segregated Account established for such purpose ("Rollover Account"). If the funds are allocated to a Rollover Account, they shall be invested separately, and any appreciation, depreciation, gain, or loss with respect to the Rollover Account, and any related expenses, shall be allocated to such Rollover Account. For all other purposes such funds shall be treated as if they had been allocated to the Participant's Account.

(c) Rollover Contributions shall not be considered to be Participant contributions for the purpose of calculating the limitations under Section 5.3.

(d) Any amount that is credited to a Participant's Account pursuant to a Rollover Contribution or transfer under Section 4.6 of this Plan shall be one hundred percent (100%) vested and nonforfeitable at all times. In all other respects, the portion of a Participant's Account attributable to such a Rollover Contribution or transfer shall be subject to the terms of this Plan.

§4.6 Transfers from a Plan of the Employer

Any Participant who has participated in a plan under IRC Section 401(a) or 403(a) attributable to such employee's current employment with the Employer may elect to transfer all or a portion of the amount accumulated under such other plan to this Plan provided such transfer may be effected in a manner consistent with the terms of such other plan(s) as well as the terms of this Plan. Such transfer shall only be permitted if such transfer qualifies as a tax-free transfer under generally accepted interpretations of the IRC. The portion of a Participant's Account attributable to such a transfer shall be subject to the terms of this Plan as if the contributions from which the transferred amount are derived were made under this Plan.

Article V

ADMINISTRATION OF ACCOUNTS

§ 5.1 Investments

The amounts allocated to the Employer and Participant Accounts shall be invested in Annuity Contracts for Participants provided by the respective Provider. The terms and conditions of such Annuity Contracts shall be considered part of, and shall be construed as having been - incorporated into the Plan. Participants will invest their Account's based upon the investment options available under the Annuity Contracts and may make their investment selections pursuant to the terms and conditions contained in the respective Annuity Contracts. If any provision of an Annuity Contract conflicts with the Plan, the terms of the Plan shall control.

§ 5.2 Intra-Plan Transfers

Subject to the Provider's rules for transfers and the Revised Code, a Participant may specify that a part or all of such Participant's Account may be transferred among different investment options offered under such Annuity Contract or may be transferred to the Annuity Contract of another authorized Provider. Effective April 1, 2001, if a Participant makes an election to change to a new Provider, all of the Participant's Account must be transferred to the New Provider as soon as administratively feasible, but in no event more than 60 days after the transferring Provider receives notice of the transfer. Transfers between Providers are subject to each Provider's rules for such transfers and shall be permitted only once per year, and must be elected by the Participant during the Employee's first payroll period of the Plan Year.

§5.3 Limitations on Allocations to Each Participant

(a)(i) If the Participant does not participate in, and has never participated in, another qualified plan maintained by the Employer or a welfare benefit fund, as defined in IRC Section 419(e) maintained by the Employer, or an individual medical account, as defined in IRC Section 415(1)(2), maintained by the Employer, which provides an annual addition as defined in Paragraph (d)(i), the amount of annual additions which may be credited to the Participant's account for any Limitation Year will not exceed the lesser of the maximum permissible amount or any other limitations contained in this Plan. If the Employer contribution that would otherwise be contributed or allocated to the Account of the Participant would cause the annual additions for the Limitation Year to exceed the maximum permissible amount, the amount contributed or allocated will be reduced so that the annual additions for the Limitation Year will equal the maximum permissible amount, and such reduction shall be contributed, if possible, in a future Limitation Year.

(ii) Prior to determining the Participant's actual Compensation for the Limitation Year, the Employer may determine the maximum permissible amount for a Participant on the basis of a reasonable estimation of the Participant's Compensation for the Limitation Year, uniformly determined for all Participants similarly situated.

(iii) As soon as administratively feasible after the end of the Limitation Year, the maximum permissible amount for the Limitation Year will be determined on the basis of the Participant's actual Compensation for the Limitation Year.

(iv) If, pursuant to Paragraph (a)(iii) or as a result of an allocation of Forfeitures, there is an excess amount, the excess will be disposed of as follows:

(1) Any Voluntary Contributions (plus attributable earnings), to the extent they would reduce the excess amount, will be returned to the Participant.

(2) If after the application of Subparagraph (1) an excess amount still exists, and the Participant is covered by the Plan at the end of the Limitation Year, the excess amount will be held unallocated in a suspense account. The suspense account will be

used to reduce Employer contributions (including any allocation of Forfeitures) for such Participant in the next Limitation Year, and each succeeding Limitation Year if necessary.

(3) If after the application of Subparagraph (1) an excess amount still exists, and the Participant is not covered by the Plan at the end of a Limitation Year, the excess amount will be held unallocated in a suspense account. The suspense account will be applied to reduce Employer contributions for all remaining Participants in the next Limitation Year, and each succeeding Limitation Year if necessary.

(4) If a suspense account is in existence at any time during a Limitation Year pursuant to this Section, it will be administered in accordance with the Provider's investment policies. If a suspense account is in existence at any time during a particular Limitation Year, all amounts in the suspense account must be allocated and reallocated to Accounts of Participants before any Employer or Participant contributions may be made to the Plan for that Limitation Year. Excess amounts may not be distributed to Participants or former Participants.

(b)(i) This Subsection (b) applies if, in addition to this Plan, the Participant is covered under another qualified defined contribution plan maintained by the Employer, a welfare benefit fund, as defined in IRC Section 419(e) maintained by the Employer, or an individual medical account, as defined in IRC Section 415(l)(2), maintained by the Employer, which provides an annual addition as defined in Paragraph (d)(i), during any Limitation Year. The annual additions which may be credited to the Account of a Participant under this Plan for any such Limitation Year will not exceed the maximum permissible amount reduced by the annual additions credited to the Account of a Participant under the other plans and welfare benefit funds for the same Limitation Year. If the annual additions with respect to the Participant under the other defined contribution plans and welfare benefit funds maintained by the Employer are less than the maximum permissible amount and the Employer contribution that would otherwise cause the annual additions for the Limitation Year to exceed this limitation, the amount contributed or allocated will be reduced so that the annual additions under all such plans and funds for the Limitation Year will equal the maximum permissible amount. If the annual additions with respect to the Participant under such other defined contribution plans and welfare benefit funds in the aggregate are equal to or greater than the maximum permissible amount, no amount will be contributed or allocated to the Account of a Participant under this Plan for the Limitation Year.

(ii) Prior to determining the Participant's actual Compensation for the Limitation Year, the Employer may determine the maximum permissible amount for a Participant in the manner described in Paragraph (a)(ii).

(iii) As soon as is administratively feasible after the end of the Limitation Year, the maximum permissible amount for the Limitation Year will be determined on the basis of the Participant's actual Compensation for the Limitation Year.

(iv) If, pursuant to Paragraph (b)(iii) or as a result of the allocation of Forfeitures, a Participant's annual additions under this Plan and such other plans would result in an excess amount for a Limitation Year, the excess amount will be deemed to consist of the annual additions last allocated, except that annual additions attributable to a welfare fund or individual medical account will be deemed to have been allocated first regardless of the actual allocation date.

(v) If an excess amount was allocated to a Participant on an allocation date of this Plan which coincides with an allocation date of another plan, the excess amount attributed to this Plan will be the product of:

(1) the total excess amount allocated as of such date, times

(2) the ratio of (i) the annual additions allocated to the Participant for the Limitation Year as of such date under this Plan to (ii) the total annual additions allocated to the Participant for the Limitation Year as of such date under this and all the other qualified defined contribution plans.

(vi) Any excess amount attributed to this Plan will be disposed in the manner described in Paragraph (a)(iv).

(c) If the Employer maintains, or at any time maintained, a qualified defined benefit plan covering any Participant in this Plan, the sum of the Participant's defined benefit fraction and defined contribution fraction will not exceed 1.0 in any Limitation Year. The annual additions which may be credited to the Participant's account under this Plan for any Limitation Year are limited as follows: If the Participant's defined benefit fraction and defined contribution fraction would otherwise exceed 1.0, the Participant's annual additions under this Plan will be reduced to the extent necessary to prevent such combined fraction from exceeding 1.0 before any accruals under any defined benefit plan of the employer are reduced. Notwithstanding the above, this subsection shall not apply to Plan Years beginning on or after January 1, 2000.

(d) For purposes of this Section 5.3, the following words and terms shall have the meanings indicated:

(i) "Annual additions." Annual additions means the sum of the following credited to the Account of a Participant for the Limitation Year:

- (1) Employer Contributions,
- (2) Participant contributions (Nonelective and Voluntary Contributions),
- (3) Forfeitures, and

(4) amounts allocated, after March 31, 1984, to an individual medical account, as defined in IRC Section 415(l)(2), which is part of a pension or annuity plan maintained by the Employer are treated as annual additions to a defined contribution plan. Also amounts derived from contributions paid or accrued after December 31, 1985, in taxable years ending after such date, which are attributable to post-retirement medical benefits, allocated to the separate account of a key employee, as defined in IRC Section 419A(d)(3), under a welfare benefit fund, as defined in IRC Section 419(e), maintained by the Employer are treated as annual additions to a defined contribution plan.

For this purpose, any excess amount applied under (a)(iv) or (b)(vi) in the Limitation Year to reduce Employer Contributions will be considered annual additions for such Limitation Year.

(ii) "Compensation:" Compensation means wages as defined in IRC Section 3401(a) and all other payments of Compensation to an employee by the Employer (in the course of the Employer's trade or business) for which the Employer is required to furnish the employee a written statement under IRC Sections 6041(d) and 6051(a)(3). Compensation must be determined without regard to any rules under IRC Section 3401(a) that limit the remuneration included in wages based on the nature or location of the employment or the services performed (such as the exception for agricultural labor in IRC Section 3401(a)(2)).

For Limitation Years beginning after December 31, 1997, for purposes of applying the limitations of this section, Compensation paid or made available during such Limitation Year shall include any elective deferral (as defined in IRC Section 402(g)(3)), and any amount which is contributed or deferred by the Employer at the election of the employee and which is not includable in the gross income of the employee by reason of IRC Section 125 or 457.

For limitation years beginning after December 31, 1991, for purposes of applying the limitations of this Section 5.3, Compensation for a Limitation Year is the Compensation actually paid or made available during such Limitation Year.

Notwithstanding the preceding sentence, Compensation for a Participant in a defined contribution plan who is permanently and totally disabled (as defined in IRC Section 22(e)(3)) is the Compensation such Participant would have received for the Limitation Year before becoming permanently and totally disabled; for Limitation Years beginning before January 1, 1997, but not for Limitation Years beginning after December 31, 1996, such imputed Compensation for the disabled Participant may be taken into account only if the Participant is not a Highly Compensated Employee (as defined in IRC Section 414(q)) and contributions made on behalf of such Participant are nonforfeitable when made.

(iii) "Defined benefit fraction." Defined benefit fraction means a fraction, the numerator of which is the sum of the Participant's projected annual benefits under all the defined benefit plans (whether or not terminated) maintained by the Employer, and the denominator of which is the lesser of 125% of the dollar limitation determined for the Limitation Year under IRC Sections 415(b) and (d) or 140% of the highest average Compensation, including any adjustments under IRC Section 415(b).

Notwithstanding the above, if the Participant was a Participant as of the first day of the first Limitation Year beginning after December 31, 1986, in one or more defined benefit plans maintained by the Employer which were in existence on May 6, 1986, the denominator of this fraction will not be less than 125% of the sum of the annual benefits under such plans which the Participant had accrued as of the close of the last Limitation Year beginning before January 1, 1987, disregarding any changes in the terms and conditions of the plan after May 5, 1986. The preceding sentence applies only if the defined benefit plans individually and in the aggregate satisfied the requirements of IRC Section 415 for all Limitation Years beginning before January 1, 1987.

Notwithstanding the above, in the case of an individual who participates, before January 1, 1983, in any such defined benefit plan which is in existence on or before July 1, 1982 and which has met the requirements of IRC Section 415 for all prior years, the dollar limit for purposes of the defined benefit fraction set forth in this Paragraph 5.3(d)(iii) shall be the greater of (i) \$90,000 or (ii) the applicable dollar limit determined as of the close of the last Plan Year beginning before January 1, 1983, expressed as an annual benefit and determined by reference to the law as it existed immediately prior to the adoption of the Tax Equity and Fiscal Responsibility Act of 1982. However, if the annual benefit computed in accordance with the preceding sentence exceeds \$90,000, no further benefits may be accrued to an individual's benefit under such a defined benefit plan until his annual benefit as determined in the preceding sentence does not exceed the \$90,000 limitation for purposes of the defined benefit fraction of this Paragraph 5.3(d)(iii), as adjusted for cost of living increases asset forth therein.

(iv) "Defined contribution dollar limitation." The defined contribution dollar limitation is \$30,000, as adjusted under IRC Section 415(d).

(v) "Defined contribution fraction." Defined contribution fraction means a fraction, the numerator of which is the sum of the annual additions to the Account of a Participant under all the defined contribution plans (whether or not terminated) maintained by the Employer for the current and all prior Limitation Years (including the annual additions attributable to the Participant's nondeductible employee contributions to all defined benefit plans, whether or not terminated, maintained by the Employer, and the annual additions attributable to all welfare benefit funds, as defined in IRC Section 419(e), and individual medical accounts, as defined in IRC Section 415(l)(2), maintained by the Employer), and the denominator of which is the sum of the maximum aggregate amounts for the current and all prior Limitation Years of service with the Employer (regardless of whether a defined contribution plan was maintained by the Employer). The maximum aggregate amount in any Limitation Year is the lesser of 125% of the dollar limitation determined under IRC Sections 415(b) and (d) in effect under IRC Section 415(c)(1)(A) or 35% of the Participant's Compensation for such year.

If the employee was a Participant as of the end of the first day of the Limitation Year beginning after December 31, 1986, in one or more defined contribution plans maintained by the Employer which were in existence on May 6, 1986, the numerator of this fraction will be adjusted if the sum of this fraction and the defined benefit fraction would otherwise exceed 1.0 under the terms of this Plan. Under the adjustment, an amount equal to the product of (i) the excess of the sum of the fractions over 1.0 times (ii) the denominator of this fraction, will be permanently subtracted from the numerator of this fraction. The adjustment is calculated using the fractions as they would be computed as of the end of the last Limitation Year beginning before January 1, 1987, and disregarding any changes in the terms and conditions of the Plan made after May 5, 1986, but using the IRC Section 415 limitation applicable to the first Limitation Year beginning on or after January 1, 1987.

(vi) "Maximum Permissible Amount." Maximum permissible amount means the lesser of (a) 25% of the Participant's Compensation paid during the Limitation Year, or (b) \$30,000 (or if greater, the dollar limitation in effect under IRC Section 415(c)(1)(A) for the calendar year in which the Limitation Year began.)

§ 5.4 Designation of Beneficiary

Each Participant may, pursuant to the forms provided by the Provider, designate from time to time in writing one or more Beneficiaries, who will receive the Participant's vested Account balance in the event of the Participant's death. Designation of one or more Beneficiaries shall become effective upon receipt of the fully completed forms by the Provider and shall supersede all prior designations made by the Participant. If the Participant dies without having made a Beneficiary designation, the Provider shall distribute such benefits in the order provided in the Annuity Contract.

Spousal rights to benefits are set forth in Section 1.12.

§5.5 Loans to Participants

If the Plan permits loans under Section 1.11, the following shall apply:

(a) The Provider may adopt a loan policy, provided that it shall not conflict with the Plan and that it shall comply with the requirements of IRC Section 72(p).

(b) In the event of default, foreclosure on the note and attachment of security will not occur until a distributable event occurs in the Plan.

(c) If the spousal consent option in Section 1.12 applies, a Participant must obtain the consent of his or her spouse, if any, to use the Account as security for the loan. Spousal consent shall be obtained no earlier than the beginning of the 90-day period that ends on the date on which the loan is to be so secured. The consent must be in writing, must acknowledge the effect of the loan, and must be witnessed by the Provider or notary public. Such consent shall thereafter be binding with respect to the consenting spouse or any subsequent spouse with respect to that loan. A new consent shall be required if the Account is used for renegotiation, extension, renewal, or other revision of the loan.

Loan repayments may be suspended under this Plan as permitted under IRC Section 414(u)(4).

Article VI

VESTING

§ 6.1 Participant Account and Rollover Account 100 Percent Vested

Participant Accounts and Rollover Accounts shall be 100% vested at all times.

§ 6.2 Employer Account Vesting on Death, Retirement, or Disability

If a Participant's employment is terminated for death, for Disability, or upon a Participant's attaining Normal Retirement Age, 100% of the Participant's Employer Account shall vest in the Participant (or in his or her Beneficiary, as the case may be) and shall be distributed in accordance with the provisions of Article VII.

§ 6.3 Employer Account Vesting on Termination

Except as provided in Section 6.2, a Participant's Employer Account shall be vested in accordance with Section 1.13. Upon a One Year Break in Service, forfeited Employer Accounts shall be used to reduce future Employer Contributions.

Article VII

DISTRIBUTION OF BENEFITS

§ 7.1 Method of Distribution of Accounts

(a) The Participant may elect to receive distribution of his or her vested Account in one of the forms selected by the Employer in Section 1.15. If the Participant fails to make an election, and the Employer has not elected the Joint and Survivor Annuity Option in Section 1.15, the Participant's vested account shall be distributed by the Provider in the form of a lump sum. Notwithstanding the preceding, if a Participant terminates service, the entire amount of such vested Account shall be either distributed to the Participant by the Provider or rolled over by the Participant within the time specified in Section 7.2. The Provider shall be responsible for distributing a Participant's Account and for making such distributions pursuant to the provisions of the Plan.

(b) If the spousal consent option in Section 1.12 applies, the Participant and the Participant's spouse (or where either the Participant or the spouse has died, the survivor) must consent to any distribution of such vested Account. The consent of the Participant and the Participant's spouse shall be obtained by the Provider in writing within the 90-day period ending on the annuity starting date. The annuity starting date is the first day of the first period for which an amount is paid as an annuity or any other form.

Notwithstanding the foregoing, only the Participant need consent to the commencement of a distribution in the form of a Joint and Survivor Annuity. Neither the consent of the Participant nor the Participant's spouse shall be required to the extent that a distribution is required to satisfy IRC Section 401(a)(9) or IRC Section 415. In addition, upon termination of this Plan if the Plan does not offer an annuity option (purchased from a commercial provider) and if neither the Employer nor any affiliated employer maintains another defined contribution plan (other than an employee stock ownership plan as defined in IRC Section 4975(e)(7)), the Participant's vested Account will, without the Participant's consent, be distributed to the Participant.

(c) If distributions are made in installments the amount of the installment to be distributed each year must be at least an amount equal to the quotient obtained by dividing the Participant's entire interest by the life expectancy of the Participant or the joint and last survivor expectancy of the Participant and his designated Beneficiary. Life expectancy and joint and last survivor expectancy are computed by the use of the return multiples contained in Treasury Regulations Section 1.72-9, Table V and VI or, in the case of payments under a contract issued by an insurance company, by use of the life expectancy tables of the insurance company. For purposes of this computation, a Participant's life expectancy may be recalculated no more frequently than annually, but the life expectancy of a nonspouse Beneficiary may not be recalculated.

§ 7.2 Time of Distribution

(a) Subject to Section 7.3, Joint and Survivor Annuity or Pre-Retirement Survivor Annuity, the requirements of this Section 7.2 shall apply to any distribution of a Participant's

vested Account and will take precedence over any inconsistent provisions of this Plan. All distributions required under this Section 7.2 shall be determined and made in accordance with the Proposed Regulations under IRC Section 401(a)(9), including the minimum distribution incidental benefit requirement of proposed Treasury Regulation Section 1.401(a)(9)-2. Unless required by the IRC, no distribution shall commence before the one-year anniversary of a Participant's Termination Date. Effective April 1, 2001 distributions may commence as soon as administratively feasible following a Participant's Termination Date or Disability.

(b) The Participant's vested Account must be distributed or begin to be distributed no later than the Participant's required beginning date.

(c) If the Participant's vested Account is to be distributed in other than a single sum, the following minimum distribution rules shall apply on or after the required beginning date:

(i) Individual Account.

(1) If a Participant's benefit is to be distributed over (A) a period not extending beyond the life expectancy of the Participant or the joint life and last survivor expectancy of the Participant and the Participant's designated Beneficiary or (B) a period not extending beyond the life expectancy of the designated Beneficiary, the amount required to be distributed for each calendar year, beginning with distributions for the first distribution calendar year, must at least equal the quotient obtained by dividing the Participant's benefit by the applicable life expectancy.

(2) The amount to be distributed each year, beginning with distributions for the first distribution calendar year shall not be less than the quotient obtained by dividing the Participant's benefit by the lesser of (A) the applicable life expectancy or (B) if the Participant's spouse is not the designated Beneficiary, the applicable divisor determined from the table set forth in Q&A-4 of Section 1.401(a)(9)-2 of the Proposed Regulations. Distributions after the death of the Participant shall be distributed using the applicable life expectancy in Subparagraph (d)(i)(1) above as the relevant divisor without regard to Proposed Regulations Section 1.401(a)(9)-2.

(3) The minimum distribution required for the Participant's first distribution calendar year must be made on or before the Participant's required beginning date. The minimum distribution for other calendar years, including the minimum distribution for the distribution calendar year in which the employee's required beginning date occurs, must be made on or before December 31 of that distribution calendar year.

(ii) If the Participant's benefit is distributed in the form of an annuity purchased from an insurance company, distributions thereunder shall be made in accordance with the requirements of IRC Section 401(a)(9) and the Proposed Regulations thereunder.

(d) If the Participant dies after distributions to him have begun but before his entire vested Account has been distributed to him, the remaining portion of his vested Account shall be distributed by the Provider from the Plan at least as rapidly as under the method of distribution previously established for him, if such method was irrevocable at the time of his death.

(e) If the Participant dies before distribution of his interest commences, then distributions of the Participant's remaining vested Account must be completed by the end of the fifth calendar year following the year of his death. However, installment distributions to a designated Beneficiary which begin not later than the end of the calendar year following the death of the Participant shall be treated as complying with this 5-year distribution requirement (even though the installment payments are not completed within 5 years of the Participant's death) if the distributions are made at a rate which is not longer than that calculated (in the manner described in Subparagraph (c)(i)(3) of this Section 7.2) to provide payment of all the Participant's vested Account during the anticipated life expectancy of the designated Beneficiary. Provided that if the

designated Beneficiary is the surviving spouse of the deceased Participant, the distributions can begin as long after the Participant's death as the date on which the deceased Participant would have attained the age of 70-1/2. If the surviving spouse dies after the Participant, but before payments to such spouse begin, the provisions of this Subsection (e) shall be applied as if the surviving spouse were the Participant.

If the Participant has not made an election pursuant to this Subsection (e) by the time of his or her death, the Participant's designated Beneficiary must elect the method of distribution no later than the earlier of (1) December 31 of the calendar year in which distributions would be required to begin under this Subsection, or (2) December 31 of the calendar year which contains the fifth anniversary of the date of death of the Participant. If the Participant has no designated Beneficiary, or if the designated Beneficiary does not elect a method of distribution, distribution of the Participant's entire interest must be completed by December 31 of the calendar year containing the fifth anniversary of the Participant's death.

(f) For purposes of this Section 7.2, any amount paid to a minor child of a Participant will be treated as if it had been paid to the surviving spouse of the Participant if such remaining amount becomes payable to the surviving spouse when the child reaches the age of majority.

(g) For the purposes of this Section 7.2, distribution of a Participant's benefit is considered to begin on the Participant's required beginning date (or, if Subsection 7.2(f) above is applicable, the date distribution is required to begin to the surviving spouse pursuant to Subsection 7.2(f)). If distribution in the form of an annuity irrevocably commences to the Participant before the required beginning date, the date distribution is considered to begin is the date distribution actually commences.

(h) For purposes of this Section 7.2, the following words and terms shall have the meanings indicated:

(i) "Applicable life expectancy." The life expectancy (or joint and last survivor expectancy) calculated using the attained age of the Participant (or designated Beneficiary) as of the Participant's (or designated Beneficiary's) birthday in the applicable calendar year reduced by one for each calendar year which has elapsed since the date life expectancy was first calculated. If life expectancy is being recalculated, the applicable life expectancy shall be the life expectancy as so recalculated. The applicable calendar year shall be the first distribution calendar year, and if life expectancy is being recalculated such succeeding calendar year.

(ii) "Designated Beneficiary." The individual who is designated as the Beneficiary under the Plan in accordance with IRC Section 401(a)(9) and the proposed regulations thereunder.

(iii) "Distribution calendar year." A calendar year for which a minimum distribution is required. For distributions beginning before the Participant's death, the first distribution calendar year is the calendar year immediately preceding the calendar year which contains the Participant's required beginning date. For distributions beginning after the Participant's death, the first distribution calendar year is the calendar year in which distributions are required to begin pursuant to Subsection 7.2(c) above.

(iv) "Life expectancy." Life expectancy and joint and last survivor expectancy are computed by use of the expected return multiples in Tables V and VI of Treasury Regulations Section 1.72-9, or, in the case of payments under a contract issued by an insurance company, by use of the life expectancy tables of the insurance company.

Unless otherwise elected by the Participant (or Participant's spouse, in the case of distributions described in Subsection 7.2(e)) by the time distributions are required to begin, life expectancies shall be recalculated annually. Such election shall be irrevocable as to the Participant (or spouse) and shall apply to all subsequent years. The life expectancy of a nonspouse Beneficiary may not be recalculated.

(v) "Participant's benefit."

(1) The vested Account as of the last valuation date in the calendar year immediately preceding the distribution calendar year (valuation calendar year) increased by the amount of any contributions or forfeitures allocated to the vested Account as of dates in the valuation calendar year after the valuation date and decreased by distributions made in the valuation calendar year after the valuation date.

(2) For purposes of Subparagraph (1) above, if any portion of the minimum distribution for the first distribution calendar year is made in the second distribution calendar year on or before the required beginning date, the amount of the minimum distribution made in the second distribution calendar year shall be treated as if it had been made in the immediately preceding distribution calendar year.

(vi) "Required beginning date." The required beginning date of a Participant is the first day of April of the calendar year following the calendar year in which the later of retirement or attainment of age 70-1/2 occurs.

§ 7.3 Joint and Survivor Annuity or Pre-Retirement Survivor Annuity

(a) The provisions of this Section 7.3 shall apply if the Employer has elected the Joint and Survivor Annuity option in Section 1.15.

(b) Unless an optional form of benefit is selected, a married Participant's vested Account will be paid in the form of a Joint and Survivor Annuity with the Participant's Spouse and an unmarried Participant's vested Account will be paid in the form of a life annuity. The Participant may elect to have such annuity distributed upon attainment of the earliest retirement age under the Plan. An unmarried Participant may select a Joint Survivor Annuity with a designated Beneficiary.

(c) Unless an optional form of benefit has been selected, if a Participant dies before the annuity starting date, then the Participant's vested Account shall be applied toward the purchase of an annuity for the life of the surviving Beneficiary. The surviving Beneficiary may elect to have such annuity distributed within a reasonable period after the Participant's death.

(d) For purposes of this Section 7.3, the following words and terms shall have the meanings indicated:

(i) "Spouse (surviving spouse)." The spouse or surviving spouse of the Participant, provided that a former spouse will be treated as the spouse or surviving spouse and a current spouse will not be treated as the spouse or surviving spouse to the extent provided under a qualified domestic relations order as described in IRC Section 414(p).

(ii) "Annuity starting date." The first day of the first period for which an amount is paid as an annuity or any other form.

(iii) "Vested Account." The aggregate value of the Participant's vested Account whether before or upon death, including the proceeds of insurance contracts, if any, on the Participant's life.

(e) Notice Requirements.

(i) In the case of a Joint and Survivor Annuity, the Provider shall no less than 30 days and no more than 90 days prior to the annuity starting date provide each Participant a written explanation of: (1) the terms and conditions of a Joint and Survivor Annuity; (2) the Participant's right to make and the effect of an election to waive the Joint and Survivor Annuity form of benefit; (3) the rights of a Participant's spouse; and (4) the right

to make, and the effect of, a revocation of a previous election to waive the Joint and Survivor Annuity.

(ii) In the case of a Pre-Retirement Survivor Annuity as described in Subsection 7.3(c), the Provider shall provide each Participant within the applicable period for such Participant a written explanation of the Pre-Retirement Survivor Annuity in such terms and in such manner as would be comparable to the explanation provided for meeting the requirements of Paragraph (e)(i) applicable to a Joint and Survivor Annuity.

The applicable period for a Participant is a reasonable period ending after the individual becomes a Participant.

§ 7.4 Distribution After Death of Participant

In the event of the death of a Participant after distribution of the Participant's vested Account has begun, but prior to completion of such payments, the full amount of such unpaid vested Account shall continue to be paid in the form of the previously established installments except that the Beneficiary may request that the remaining Account be paid in a lump sum.

In the event of the death of the Participant prior to the start of any payment of his Account, distributions shall be made in the form and at the time or times selected by the Beneficiary pursuant to Sections 7.1 and 7.2.

§ 7.5 Distribution After Death of Beneficiary

In the event of the death of a Beneficiary (or a contingent Beneficiary, if applicable) prior to the completion of payment of benefits due the Beneficiary from the Plan, the full amount of such unpaid vested Account shall at once vest in and become the property of the estate of said Beneficiary.

§ 7.6 Rollover from Plan

The Participant may direct the Provider to transfer part or all of the Participant's vested Account to a retirement plan, as described in IRC Section 401(a) or Section 403(a) as to which the individual is a Participant at the time of such distribution.

§ 7.7 Inability to Locate Participant or Beneficiary

If the Provider cannot locate the Participant or Beneficiary to whom the vested Account is to be distributed, and reasonable efforts have been made to find such person, including the sending of notification by certified or registered mail to his or her last known address, the Participant's vested Account may be forfeited, subject to state law, and used to reduce Employer Contributions; provided that, if the Participant is subsequently located, such Forfeiture shall be restored and the restoration shall be made first out of Forfeitures, if any, and then by additional Employer contributions.

§ 7.8 Qualified Domestic Relations Orders

Notwithstanding any other provisions of Article VII, any Account of a Participant may be apportioned between the Participant and the alternate payee (as defined in Revised Code Section 3105.80) either through separate Accounts or by providing the alternate payee a percentage of the Account of the Participant. The Provider may direct distributions to an alternate payee pursuant to a qualified domestic relations order in accordance with IRC Section 414(p)(1) as modified by IRC Section 414(p)(11) (and, effective January 1, 2002, Revised Code Sections 3105.65 and 3105.171) prior to the date on which the Participant attains the earliest retirement age, provided that the Provider has properly notified the affected Participant and each alternate payee of the order and has determined that the order is a qualified domestic relations order as defined in IRC Section 414(p)(1), as modified by IRC Section 414(p)(11). The alternate payee shall be paid his or her separate Account or his or her percentage of the Account of the Participant, computed as of the Limitation Year, or if the Plan is valued on a daily basis, as

provided in the order, in a lump-sum payment notwithstanding the value of such lump-sum payment unless the domestic relations order specifies a different manner of payment permitted by the Plan; and the alternate payee shall not be required to consent to such lump-sum payment. The Provider shall adopt reasonable procedures to determine the qualified status of domestic relations orders and to administer the distributions thereunder and, for distributions on and after January 1, 2002, shall comply with the provisions of Revised Code Section 3305.21.

§ 7.9 Direct Rollover

Notwithstanding any other provision of the Plan, the Provider shall advise any distributee entitled to receive an eligible rollover distribution, at the same time as the notice required to be given pursuant to Article VII (or such other time as is permitted by law) of his or her right to elect a direct rollover to an eligible retirement plan, pursuant to the provisions of this Section. To elect a direct rollover the distributee must request in writing to the Provider that all or a specified portion of the eligible rollover distribution be transferred directly to one or more eligible retirement plans. If more than one direct rollover distribution will be made, the notice specified in the first sentence of this Section must state that the distributee's initial election to make or not to make a direct rollover will remain in effect unless he gives the Provider written instructions, on the forms provided by Provider, to change the election, in which case the new election will remain in effect until changed.

The distributee shall not be entitled to elect a direct rollover pursuant to this Section unless he or she has obtained a waiver of any applicable Joint and Survivor Annuity, as required pursuant to Section 7.3.

For purposes of this Section, the following definitions shall apply:

(a) A "direct rollover" is a payment by the Plan to the eligible retirement plan specified by the distributee.

(b) A "distributee" includes an employee or former employee. In addition, the employee's or former employee's surviving spouse and the employee's (or former employee's) spouse or former spouse who is the alternate payee under a qualified domestic relations order, as defined in IRC Section 414(p), are distributees with regard to the interest of the spouse or former spouse.

(c) An "eligible retirement plan" is a retirement plan which meets the requirements of IRC Section 401(a), an annuity described in IRC Section 403(a), an individual retirement account described in IRC Section 408(a), or an individual retirement annuity (other than an endowment contract) described in IRC Section 408(b), the terms of which permit the acceptance of a direct rollover of the distributee's eligible rollover distribution. However, in the case of an eligible rollover distribution to the surviving spouse, an eligible retirement plan is an individual retirement account or an individual retirement annuity. The Provider may establish reasonable procedures for ascertaining that the eligible retirement plan meets the preceding requirements.

(d) An "eligible rollover distribution" is any distribution from this Plan on or after January 1, 1993 of all or any portion of the balance to the credit of the distributee, except for distributions (or portions thereof) which are--

(i) Part of a series of substantially equal periodic payments (not less frequently than annually) made over the life of the employee (or the joint lives of the employee and the employee's designated beneficiary), the life expectancy of the employee (or the joint life and last survivor expectancy of the employee and the employee's designated beneficiary), or a specified period of ten years or more;

(ii) Required under IRC Section 401(a)(9) (relating to the minimum distribution requirements);

(iii) The portion of any distribution that is not includable in gross income (determined without regard to the exclusion for net unrealized appreciation in employer securities described in IRC Section 402(e)(4)); or

(iv) For distributions commencing after December 31, 1999, hardship distributions described in IRC Section 401(k)(2)(B)(i)(IV) and U.S. Treas. Reg. Section 1.401(k)-1(d)(2)(ii).

§ 7.10 Withholding Orders

(a) Withholding Orders Upon Theft in Office or Sex Offenses

In accordance with Section 3305.09 of the Revised Code, any payment that is to be made to the Participant or his or her Beneficiary(ies) under this Plan shall be subject to any withholding order issued pursuant to Division (C)(2)(b) of Section 2921.41 of the Revised Code. Payments made on or after April 1, 2001 shall also be subject to Section 2907.15 of the Revised Code.

Upon notice pursuant to division (D) of Section 2921.41 that a Participant is charged with a violation of Section 2921.41 no payment shall be made to the Participant or his or her Beneficiary(ies) prior to whichever of the following is applicable:

(1) If the Participant is convicted of or pleads guilty to the charge and no motion for a withholding order for purposes of restitution has been filed, thirty (30) days after the date on which final disposition of the charge is made;

(2) If the Participant is convicted of or pleads guilty to the charge and a motion for a withholding order is made, the date on which the court decides the motion;

(3) If the charge is dismissed or the Participant is found not guilty of the charge or not guilty of the charge by reason of insanity, the date on which final disposition of the charge is made.

(b) Withholding Orders for Support

Any payment that is to be made to the Participant or his or her Beneficiary(ies) under this Plan shall, to the extent required by law, be subject to any withholding order for spousal or child support issued pursuant to Section 3113.21 of the Revised Code. Payments made on and after April 1, 2001 shall, to the extent required by law, also be subject to Sections 3111.23 and 3115.32 of the Revised Code.

(c) Provider Responsibility

The Provider shall be solely responsible for compliance with any withholding orders issued under (a) or (b) above.

Article VIII

AMENDMENT AND TERMINATION

§ 8.1 Rights to Suspend or Terminate Plan

It is the present intention of the Employer to maintain this Plan throughout its existence. Nevertheless, the Employer reserves the right, at any time, to the extent permitted by the Revised Code, to discontinue or terminate the Plan, to terminate the Employer's liability to make further contributions to this Plan, and/or to suspend contributions for a fixed or indeterminate period of time. In any event, the liability of the Employer to make contributions to this Plan shall automatically terminate upon its legal dissolution or termination, upon its adjudication as a bankrupt, upon the making of a general assignment for the benefit of creditors, or upon its merger or consolidation with any other entity. If there is more than one Provider selected in Section 1.7,

the Employer's liability to make contributions as to any Provider shall terminate upon the Provider ceasing to be a designated provider under Section 3305.03 of the Revised Code.

§ 8.2 Successor Organizations

In the event of the termination of the liability of the Employer to make further contributions to this Plan, the Employer's liability may be assumed by any other organization which employs a substantial number of the Participants of this Plan. Such assumption of liability shall be expressed in an agreement between such other organization and the Employer under which such other organization assumes the liabilities of the Plan with respect to the Participants employed by it.

§ 8.3 Amendment

To provide for contingencies which may require the clarification, modification, or amendment of this Plan, the Employer reserves the right to amend this Plan at any time.

§ 8.4 100% Vesting on Termination of Plan

Upon termination or partial termination of the Plan by formal action of the Employer or for any other reason, or if Employer contributions to the Plan are permanently discontinued for any reason, there shall be vested 100% in each Participant directly affected by such action the amount allocated to the Accounts of each such Participant, and payment to such Participant shall be made in cash or in kind.

§ 8.5 Plan Merger or Consolidation

In the case of any merger or consolidation with, or transfer of any assets or liabilities to, any other plan, each Participant in this Plan must be entitled to receive (if the surviving plan is then terminated) a benefit immediately after the merger, consolidation, or transfer which is equal to or greater than the benefit he would have been entitled to receive immediately before the merger, consolidation, or transfer (if this Plan had terminated).

Article IX

MISCELLANEOUS

§ 9.1 Laws of Ohio to Apply

This Plan shall be construed according to the laws of Ohio, to the extent Federal laws do not control.

§ 9.2 Credit for Qualified Military Service

Notwithstanding any provision of this plan to the contrary, contributions, benefits and service credit with respect to qualified military service will be provided in accordance with IRC Section 414(u).

§ 9.3 Participant Cannot Transfer or Assign Benefits

Except as provided in Section 7.10, none of the benefits, payments, proceeds, claims, or rights of any Participant hereunder shall be subject to any claim of any creditor of the Participant, nor shall any Participant have any right to transfer, assign, encumber, or otherwise alienate, any of the benefits or proceeds which he may expect to receive, contingently or otherwise under this Plan.

Notwithstanding any restrictions on the time of distribution which would otherwise apply under this Plan, distributions with respect to a Qualified Domestic Relations Order may be made at any time required by the order.

§ 9.4 Reversion of Contributions Under Certain Circumstances

In the event that the Commissioner of Internal Revenue determines that the Plan is not initially qualified under the IRC, any contribution made incident to that initial qualification must be returned to the Employer within one year after the date the initial qualification is denied, but only if the application for the qualification is made by the time prescribed by law for filing the Employer's return for the taxable year in which the Plan is adopted, or such later date as the Secretary of the Treasury may prescribe.

If a contribution is made by an Employer by a mistake of fact, the contribution may be returned to the Employer within one year after the payment of the contribution.

Notwithstanding the above, earnings attributable to amounts described in paragraphs two and three of this Section 9.4 shall not be returned to the Employer; losses attributable to such amounts shall reduce the amount returned.

§ 9.5 Filing Tax Returns and Reports

The Provider shall prepare, or cause to have prepared, all tax returns, reports, and related documents, except as otherwise specifically provided in this Plan.

§9.6 No Discrimination

Neither the Employer nor the Provider shall take any action that would result in benefiting one Participant or group of Participants at the expense of another, or discriminating between Participants similarly situated, or applying different rules to substantially similar sets of facts.

§9.7 Number and Gender

When appropriate the singular as used in this Plan shall include the plural and vice versa; and the masculine shall include the feminine.

§9.8 Records and Information

The Provider shall keep a complete record of all its proceedings and all data necessary for the determination of Account balances.

§9.9 Information to Participants

The Provider shall maintain separate Accounts for the Participants. It shall give each Participant, at least once every year, information as to the balance of his Employer Account and Participant Account, if applicable.

§9.10 Powers

The Employer shall have the power to determine all questions that may arise hereunder as to the eligibility of employees to participate in the Plan and as to the vesting of Participants.

UNIVERSITY MEDICAL CENTER PRICE INCREASE
TO BE EFFECTIVE FEBRUARY 1, 2001

The Medical Center has proposed a 5% price increase to be effective February 1, 2001. This 5% price increase is a weighted average price increase with prices being increased from 0% to 10%, which permits the hospitals to gain the maximum benefit of a price increase by product line and service. The OSU Medical Center also must cover the added costs associated with the academic mission. In addition, the Medical Center has been conservative in implementing price increases for several years, which has resulted in the Medical Center falling behind other hospitals' prices Nationally. Furthermore, a study performed by the University HealthSystem Consortium recommends that The OSU Medical Center institute significant price increases to maximize payment from HMO's and Third Party Payors. These reasons summarize the rationale for the price increase, which was also recommended by the Hospitals Board, The James Cancer Hospital and Solove Research Institute, the University Hospitals East Board, and the OSU and Harding Behavioral Healthcare and Medicine board.

(APPENDIX XXXIII)

Concern was raised in the Student Affairs Committee about the section of the proposed Code of Student Conduct dealing with administrative withdrawal of students. The concern was that the appeal process could involve the same decision-maker who was involved in the original decision, raising a due process concern. Student Affairs has agreed, as an interim measure until such time as the Council of Student Affairs conducts its review next academic year of the implementation of the new Code, to utilize a procedure in any such appeal that will use a different administrator to conduct the appeal, and that will have student involvement in the appeal process. This is an administrative commitment that can and will be done within the Code as approved.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-FIRST MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, April 6, 2001

The Board of Trustees met at its regular monthly meeting on Friday, April 6, 2001, at The Ohio State University Marion Campus, Marion, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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April 6, 2001 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on April 6, 2001, at 10:05 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut.

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Mr. Skestos:

I would like to welcome everyone to the April meeting of the Board of Trustees. We are delighted to be here at the OSU-Marion Campus. On behalf of my fellow members of the Board and President Kirwan, I want to thank Dean Dottavio and his staff for their help in bringing this to pass.

As part of today's agenda, we will be hearing more about the Marion Campus in the presentation on the Regional Campus Experience, but first, I would like to call on President Kirwan for his report.

PRESIDENT'S REPORT

President William E. Kirwan:

Thank you, Mr. Chairman and members of the Board. It is a great pleasure for all of us to be here today on this wonderful campus. This campus, like the other regional campuses, provides just a wonderful learning atmosphere for so many students, an opportunity for them to get an education in a small campus setting and yet be part of the resources and the diversity of a large, major university.

As you mentioned, Mr. Chairman, in a few moments we will be hearing from Dean Dottavio who will talk about some of the wonderful programs going on here at the Marion Campus.

The first issue that I have to address to the Board today is one featured prominently in the news. It's an issue that concerns me greatly, not just for Ohio State but, more generally, for the well-being of the State of Ohio. The issue is the state's struggle to resolve the DeRolph case and the potential implications for other state agencies, including higher education. Currently, we're in a state of uncertainty with new developments occurring almost daily, but I'd like to provide you with my perspectives on where things stand today and where we stand is not a good place.

In preparation for the consideration of our next biennial operating budget, other University officials and I have spent many hours doing all we can to convince the Governor and the members of the General Assembly that we must adequately fund higher education in Ohio. As you know, we currently rank 40th in the nation in state support to our public universities.

Interestingly, as a state, we rank much higher, twenty-first to be precise, in K-12 spending per pupil. Going into the current budget cycle, the Board of Regents had recommended to the Governor a 17 percent increase in funding for higher education. However, because of his commitment to resolve the DeRolph case, the shortfall in Medicaid funding, and the decline in the state's economy, the Governor put forth a bare bones budget for higher education, which included just a 1.2 percent increase in the state share of instruction at Ohio State for fiscal year 2002.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

This was a great disappointment to us, but now even this very modest increase is in grave jeopardy. The price tag for addressing DeRolph is likely to increase by at least \$600 million over the Governor's original proposal and state revenue estimates have dropped by about \$700 million. The net effect is that, unless the state decides to increase revenue by some means, the rest of the state budget will have to absorb a reduction of \$1.3 billion or more.

This is a staggering number. Indeed, such a reduction would require, on average, an 11 percent reduction from all state agencies other than K-12. Cuts of this magnitude would have a devastating impact on higher education and the other agencies. Compounding our troubles, the Governor just announced a one percent cut in all state agencies' budgets, except K-12, for the current fiscal year.

Since we are three-fourths of the way through the fiscal year, this is the equivalent of a four percent cut in our funds for the rest of the year. That amounts to a \$3.2 million reduction in state-supported instruction alone. Just to put that in perspective, \$3.2 million could mean: 735 full-tuition scholarships for needy students, or 24,000 seats in closed courses, or 90,000 additional academic advisors, or 4,000 new computers for our public labs.

As a result of these cuts, we're now redoubling our efforts and meeting daily with members of the General Assembly to reverse as best we can this difficult situation. With several other presidents, I have also met with the editorial boards of all the state's major newspapers to give them our perspectives on the situation. Their response has been gratifying. Let me share a few of the comments from the editorials on this subject.

The March 29 issue of the *Plain Dealer* wrote, "Taft and the legislature must mightily resist an urge to balance the existing budget on the backs of Ohio's public colleges and universities." The April 1 Akron *Beacon Journal* wrote, "The Statehouse can't keep shorting higher education without damage to the seamless education its leaders say they want." The March 31 Cincinnati *Enquirer*, "Few things provide as high a return for the state's tax dollars as higher education." In the April 1 issue of the Columbus *Dispatch*, "Ohio ranks 40th in the nation in higher education funding, which is woefully inadequate."

Later today you will hear about a benchmarking study regarding faculty compensation. As you know, competitive compensation for our faculty and staff is a top priority in the Academic Plan. I'm sorry to say this report paints a discouraging picture of where we stand at Ohio State in relation to our benchmarked peers and given the current budget situation, we run the serious risk of falling even further behind these universities.

It's no exaggeration to say that this budget dilemma, if it plays out as now projected, will touch everyone in Ohio. Over the coming weeks, we will continue to work very diligently to make sure that our legislators see clearly the choice before them. Either we can adequately fund higher education and create a total education system that will be our number one tool for building a brighter future in Ohio, or we can keep under funding higher education at the risk of losing many outstanding high school graduates and world-class faculty to universities in other states. If that happens, Ohio's prospects for success in the information age will be dim indeed.

One important question is, "What impact all this will have on our Academic Plan?" My view is that this drop in our fiscal fortunes makes the plan more, not

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

less, important. It's my intention to move forward with our plan, utilizing whatever level of funding we're provided. We may have to move more slowly than we had hoped; we may have to focus most of our funds on a few priority initiatives, but we must not let what is surely a temporal funding problem divert us from our aspirations.

I want to assure the Board that however our budget issues may be resolved, we will continue to implement the Academic Plan as best we can, and we will do what we can to continue Ohio State's progress into the top rank of America's universities.

As news on state funding continues to unfold, we will, of course, take steps to keep the Board of Trustees and the entire University community updated and informed. One of the cruel ironies for us is that our fiscal troubles arise just as we are making phenomenal progress toward our goals for academic excellence.

Let me give you a few examples. A vital component of our Academic Plan, and one that requires adequate funding, is the hiring and promotion of world-class faculty and staff. I'm pleased to announce that we've made more good progress lately on this front. First, after losing an outstanding leader in David Ashley as dean of the College of Engineering, we were very fortunate to already have on board the ideal candidate to fill Dean Ashley's shoes. With the approval of the Board today, our new dean of the College of Engineering will be James Williams.

Dr. Williams is a member of the National Academy of Engineering, and he's currently Ohio State's Honda Chair for Transportation in the Department of Materials Science and Engineering. He has an extraordinary record of accomplishments as a scholar, and his management experience includes success as dean of engineering at Carnegie-Mellon University and as a general manager of the General Electric aircraft engines. He is a tremendous resource in the College of Engineering and his availability for this position is an excellent example of the benefits of building strong depth throughout the faculty.

We've added to that depth of talent with other exceptional individuals whose appointments will be coming to the Board for approval. The first is Dr. Joel Saltz, a distinguished scientist from Johns Hopkins, and one of the world's foremost experts in high performance computing. He'll chair the soon to be formed Department of Medical Informatics, a discipline that integrates information research and management into the practice of medicine.

Another truly outstanding new department chair will be Kenneth Goings, who will chair the Department of African-American and African Studies. He is currently the chair of the Department of History and Dunavant University Professor at the University of Memphis. With a master's and doctorate degree from Princeton, he is the recipient of a Rockefeller Foundation grant, and two of his books have won awards for being the year's outstanding books on the subject of human rights.

Yet another exceptional new recruit is Professor Clark Larsen who will become the chair of the Department of Anthropology. Dr. Larsen is now at the University of North Carolina where he holds the Amos Hawley Distinguished Professorship.

Finally, I want to mention a new hire made possible by the Selective Investment in the English department -- Professor Alastair Minnis. Professor Minnis is one of the world's leading authorities on medieval literature and culture. He is currently the director for the Centre for Medieval Studies at the University of York in Great

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Britain; has served as head of the Department of English and Related Literature at York; and last spring he was a visiting professor at the University of California–Berkeley. With 13 highly influential books, he has a great international reputation as a scholar's scholar, and he is a tremendous addition to an already strong English department.

The quality of our faculty, of course, directly correlates with the quality of our programs and we recently received more good news about how those programs are perceived in relation to other universities. *U.S. News and World Report* released their rankings of graduate and professional programs this week, and many of Ohio State's programs were cited among the best in the nation, among both public and private universities.

I think it's very important to note that, among Ohio State's programs that the magazine ranks as being among the nation's best, four are also recipients of Selective Investment awards: Political Science was ranked fifteenth, among both public and private universities; History was ranked twenty-fifth; Psychology was ranked twenty-fifth; and Law was ranked fortieth. In fact, this was the first time ever that our History department broke into the *U.S. News* rankings. What a great testament for the Selective Investment.

Some of the highest rankings were in the College of Education, which was ranked eleventh in the nation; and our specialty programs ranked: third in elementary education; second in secondary education; and first in vocational education.

A few of the other rankings -- in addition to our Political Science program moving from eighteenth to fifteenth in the nation, it ranks sixth among all public universities; and the program in American Politics ranks seventh among all universities. The Fisher College of Business ranked twenty-third overall among 341 institutions with MBA programs. The College tied for sixth among public universities and is the only Ohio business school ranked in the top twenty-five.

The Department of Sociology ranked twenty-first; the College of Engineering ranked twenty-fourth; and the College of Medicine and Public Health ranked fortieth out of 125. These are encouraging rankings, especially given the fact, as I mentioned, that Ohio ranks fortieth in the nation, and last among Big Ten states, in state support for higher education.

However, as our Academic Plan sets forth, we are by no means satisfied with these rankings. Over the next several years, I hope we will continue to work diligently to raise these rankings towards our 20/10 goal and to move our undergraduate rankings into the top tier.

Finally, it's always a pleasure to share with you a few of the many accomplishments of our extraordinary students. First, the National Alpha Lambda Delta honor society awarded 19 graduate fellowships to outstanding students across the nation and two of these recipients were Ohio State honor students.

Rosa Ailabouni, from Columbus, will be graduating this spring with majors in international studies, French, and political science. She's spending most of Spring Quarter in France, finishing the research for her senior honors thesis. The other winner, Justin Miller, from Celina, is majoring in economics and plans

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

to begin law school in the fall. He also currently serves as president of the Mortar Board National Senior Honor Society.

Also, I'd like to commend the Ohio State student team who won first place in the Quiz Bowl at the 2001 Academic Competition of the American Society of Animal Science and the American Dairy Science Association. The students on the team were Troy Lyons, Ross Larue, Kim Lambert, and Sandra Gruber.

Finally, and certainly not least, I'd like to formally congratulate Beth Burns and the Ohio State women's basketball team for their amazing, fantastic NIT Championship victory. Talk about overcoming adversity. What an incredible job these women did. It had to be one of the most exciting championships I've ever seen, and the Buckeyes were incredible, rallying from a 12-point deficit before 18,000 hostile fans. So my congratulations go to Beth, to Jamie Lewis -- tournament MVP -- and to the entire squad for an extraordinary team effort.

That concludes my report, Mr. Chairman.

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THE REGIONAL CAMPUS EXPERIENCE: A FOCUS ON MARION

Dean F. Dominic Dottavio:

First let me say how grateful and thankful we are to each of you, the Trustees and President Kirwan, for providing us the opportunity to discuss the Marion Campus. By way of introduction, what I'm going to do is talk about a few things that I think are really special about this campus, and then I will ask two of our best and brightest students, Curtis Tuggle and Jillian Bores, and one of our outstanding community partners, Theresa Lane from Verizon, to talk to you about some of their experiences with our campus.

There are four points about our campus that I would like you to leave here with today: 1) we are intently focused on our students; 2) we have tremendous community partners; 3) some great things are happening in the area of diversity; and 4) the faculty, staff, and community take a great deal of pride in this campus and being a part of The Ohio State University.

The first point is our focus on students. We pay very serious attention to what students tell us is most important to them, and consistently at the very top of that list is the quality of teaching on the campus. As an aside, typically the second most important thing to them is free parking, within three minutes of any of their classrooms.

A numerical indicator of the quality of teaching on the Marion Campus is that in the past five years, our faculty have received seven Distinguished Teaching Awards. That is truly a remarkable number when you consider the number of faculty on this campus compared to the larger University. In fact, if you play the laws of average on something like this for the number of faculty we have compared to the rest of the University, we figure we have a teaching award now that is not going to be due for the next 231 years.

The second point that I think helps define the Marion Campus is the strength of the partnerships with our community. All of the regional campuses were established because of the will of the people in their communities. Over the

THE REGIONAL CAMPUS EXPERIENCE: A FOCUS ON MARION (contd)

Dean Dottavio: (contd)

years, I believe the bonds have grown deeper and broader in ways that we really could not have understood when the campuses were established back in the 1950s and 1960s.

Theresa will be discussing partnership ideas with you, so I would just like to cite some examples: literacy and diversity partnerships with Verizon, Whirlpool, Wyandot, and Clear Channel Communications; and Adelphia Cable has been very supportive of our cultural arts programs. The city and county schools, and the local Rotary Club have developed a College Access Program and partnership with us. This program is serving as a model by the Ohio Board of Regents for other communities around the state. Companies such as Kalmbach Feeds, Inc. and SubmitOrder.com have been actively involved with us in work force training and development.

My third point relates to the impact of our diversity initiatives and how they are having a major impact on our community. While the region only has a three percent minority population -- in some of the counties we serve there is less than one percent minority population -- we've had several significant programs that have made a difference in the past few years.

One program I'd like to particularly call your attention to is Growing Our Own. This program is to address a serious minority teacher shortage in the Marion City Schools system. There are a number of components to it: mentoring, curriculum development, and climate. One thing that I'd really like you to note is that a major component is to provide full-ride scholarships to education majors who attend OSU-Marion. The thing that comes out the backside is that when these students graduate, they're guaranteed employment in the Marion City Schools.

This past year, Verizon helped make this possible with a \$10,000 gift to our minority scholarship program. I might add, too, that next month the Growing Our Own program is going to be one of the five recipients of a Distinguished Diversity Enhancement Award, one of four we've received in the past six years.

The final point about our campus is the enormous spirit and enthusiasm for Ohio State that exists here and, I believe, on all of the regional campuses. I know some of you have served as representatives to the regional campus boards of trustees and have discovered that enthusiasm for yourselves. For the Marion Campus, I think a wonderful testimonial to the enthusiasm of our faculty and staff is that this past year, our campus had the highest participation rate in the annual Campus Campaign, with 78 percent of our employees contributing to the campaign. That was the number one giving unit in the University.

Then a very tangible example of our community support is the \$10 million endowment that was left by George Alber to the campus. Because of the Alber endowment, Marion County high school students who graduate in the top half of their class can come to Marion Campus and pay the lowest tuition among all 37 state-supported university campuses in Ohio. I think that is a tremendous legacy of support from a community.

As I conclude with spirit and enthusiasm, I think it's appropriate, then, to turn to two students who I think have demonstrated an enormous amount of spirit, enthusiasm, and pride in the campus.

First I'd like to introduce to you Curtis Tuggle. Curtis has been on the Marion Campus for his entire Ohio State career and will be graduating in psychology this

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THE REGIONAL CAMPUS EXPERIENCE: A FOCUS ON MARION (contd)

Dean Dottavio: (contd)

summer with a 3.97 GPA. Curtis was a student trustee on our board of trustees last year, and he has been accepted to the Ohio State law school for this fall. Curtis --

Mr. Curtis Tuggle:

Thank you, Dean Dottavio, and just let me say "welcome." It's so refreshing to see all of you coming here to our campus and meeting today.

At a time when we seem to be focused on the stock market, economy, and how companies are doing and what type of productivity they're experiencing, it reminded me of a story of a young boy. Monday was the boy's first day at school and he came home, very excited and eager about being there, and each day that followed, he seemed to enjoy it even more. Then Friday came, and the boy returned home despondent. "Mom," he said, "I've been laid off for two days."

On a more serious note, I think what that boy illustrates is a real passion, enthusiasm, and excitement for learning and education, and it's the same passion and excitement that I've had here being on the Marion Campus and working toward my degree. I think that there's one overall concept that really explains that energy and that excitement very well. I think it's a bond and an attachment that students have, not only to The Ohio State University, but to the Marion Campus and the people that are here that work with them all the time.

There are three basic areas that I can reflect back on that bond -- people, resources, and opportunities. When I talk about people, the dean mentioned that seven faculty members here on this campus have received the Distinguished Teaching Award. Now what does that mean from a student's perspective? What does it mean to take a class from someone that's been recognized as an outstanding educator?

I remember one of the very first classes I had on this campus was an honors psychology course in a room on the second floor upstairs. There were 15 of us -- I can still remember some of the names -- and we sat around a table and talked about psychology. Dr. Daniel J. Christie guided us in exploring concepts that we could easily see related to our lives. His spirit, energy, and expertise convinced me to change my major. I was a political science major and after that one quarter, that one class, I was confident that I had chosen the right place to start my education. I changed my major to a psychology degree. That's what a Distinguished Teaching Award winner can really do. It can make education alive and really worthwhile. You can see the great benefit of it.

Another professor that received a Distinguished Teaching Award on this campus was Dr. Terry Pettijohn -- I can name all seven faculty members. Not only can a student here at this campus take one course from a faculty member who's won a Distinguished Teaching Award, but they can take multiple courses that that faculty member offers. They can also take multiple courses from other faculty members who have won the Distinguished Teaching Award. So that's just a remarkable opportunity that I think we really appreciate here on this campus.

When I talk about the people and other resources that are available on this campus, I think of technology. Some of the faculty members are on the leading edge of using technology to enhance education. Dr. Teresa Mensing has been certified as a Web CT trainer and over half of the full-time faculty are using Web CT in some form of their instruction. So that means that here on this campus

THE REGIONAL CAMPUS EXPERIENCE: A FOCUS ON MARION (contd)

Mr. Tuggle: (contd)

students are learning how to use technology and they're receiving instruction through technology. I really believe that they're way out ahead. Even our part-time faculty use that type of technology and enhanced instruction, too. I think that speaks volumes.

On another personal scale, we have an Academic Enrichment Center that offers free tutoring and assistance to students having difficulty in particular areas. We have a full-time math person who helps out the tutor as well. What does that mean? It means that some of our non-traditional students who haven't had algebra for maybe 15-20 years have an opportunity to learn about those concepts that otherwise would not be possible without that type of resource. I think that the campus does that extremely well, and I think that's why you see students who really enjoy being here.

Also in terms of opportunities – career services allowed me the opportunity to partner up with the Marion Police Department and the Edward J. Russo Justice Center to develop a program that tries to deter the number of juvenile cases in the court system. In other words, to try to divert and intervene before students end up being in trouble. That is so valuable because of what I learned in psychology. I could do research and see how that can actually make a difference in our community. It was a very successful program. We worked with those people from the police department and over the year, I think we really made a difference in Marion. I think that's a remarkable opportunity for students here on this campus.

There are also several student groups that are very involved: the Psychology Club and the Griffin Society, which is the honors group here on campus. This is how much students really appreciate education. We've said that seven of our full-time faculty have received the Distinguished Teaching Award. The Griffin Society took on their initiative to recognize the outstanding services that part-time faculty members provide. So they sponsored a part-time faculty Distinguished Teaching Award. That was presented for the first time last year to Sylvia Avila, who teaches Spanish and does a fantastic job with what can be a difficult topic. I think it echoes how much students really appreciate the quality of their education here.

As the dean mentioned, I was able to serve on the OSU-Marion board of trustees and it was a great experience. I only wish that more students could participate and have that opportunity. I hope that my humble contributions at that time will make it possible for students in the future to have the same and even heightened experiences that I've had on this campus.

As I mentioned about that young boy that had that energy and excitement for education and talked about layoffs, there's a secret that I would like to share with him. That in education there are no layoffs. Learning is truly a lifelong process. As the dean mentioned, I'll continue the next step in my life at The Ohio State University College of Law. But it is a lifelong process and I think that's another message that really travels and resonates well when you see what happens here on this campus.

In closing, I would like to mention a quote by John Ruskin that talks about the object of education. The quote goes, "The entire object of education is to make people not merely do the right things, but enjoy them; not merely industrious, but to love industry; not merely learning, but to love knowledge; not merely pure, but to love purity; not merely just, but to hunger and thirst after justice."

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THE REGIONAL CAMPUS EXPERIENCE: A FOCUS ON MARION (contd)

Mr. Tuggle: (contd)

I thank you for the opportunity to share with you the wonderful experience I've had on this campus and look forward to talking with you this afternoon.

Dean Dottavio:

Thank you very much, Curtis. I think you can see he's going to be a very good attorney. I have to tell you this anecdote about Curtis. He was on the dean's list every quarter he was at the Marion Campus with one exception, so I started poking around, wondering what went wrong with Curtis! As it turns out, it was during a summer quarter when he was a part-time student and so it didn't count. In fact, he had a 4.0 GPA during that summer quarter.

The next student that we have is Jill Bores. Jill is here because she was on the Marion Campus for a number of years and has recently changed campuses and is now in Columbus. In fact, Jill started with us as a high school student in a post-secondary enrollment option program.

She is an honor student in dietetics in the School of Allied Medicine and has been a very active student on our campus, having served in our public relations office. Jill has served as our campus courier between here and Columbus, and is very active in our testing and orientation program. Jill --

Ms. Jillian Bores:

Choosing to further my education at Ohio State has been a great opportunity. Moreover, I feel starting my college career at the Marion Campus has been both a fulfilling and rewarding experience. For me, there were a variety of reasons why I chose to attend one of the regional campuses and the main reason being the economical factor. Tuition at the regional campuses is less than the main campus, and there are many scholarships available to students who attend the regional campuses.

The combination of scholarship assistance and reasonable tuition rates make the regional campuses affordable places to continue education. For example, the Marion Campus offers numerous scholarships. Additionally, students have the option of living at home while attending school, which saves on room and board, and this is what I chose to do for the first two years of my education.

Another reason why I chose the regional campus is because of the many general education curriculum classes they offer. I was able to get almost all of my required GEC's done at the Marion Campus. Furthermore, the regional campuses offer smaller classes in comparison to the main campus. Because of the small class size and personal attention I received in my freshman-level chemistry courses, I felt I had a strong background in chemistry, which has helped me move on to the upper-level chemistry, such as biochemistry and organic chemistry.

Because the main campus can be somewhat intimidating due to its size, starting at the Marion Campus gave me confidence to move on to the main campus, and my experience at the Marion Campus has helped me become more independent. I think the regional campuses of Ohio State are a great transition for those students who are not ready to go out on their own and move away for college, but they are convenient for people who want to return to school later in life.

THE REGIONAL CAMPUS EXPERIENCE: A FOCUS ON MARION (contd)

Ms. Bores: (contd)

Finally, the regional campuses, in general, enrich the community by providing many services to a variety of people. For example, the Marion Campus hosts an art show in the summer, and offers programs like Kid's College, which is a program that offers different classes on a variety of subjects for grade schoolers. These, and many other programs, activities, and events, help interact with not only the students at Ohio State, but the community as well.

Dean Dottavio:

Thank you, Jill. Holding down the anchor of our team is Theresa Lane. Until very recently, Theresa was the Verizon public affairs manager for the State of Ohio. This week, Theresa took a new job in Irving, Texas, and we are very grateful to Theresa for negotiating the airways to come back to join us for a discussion with you.

Many of the people in Verizon have just been wonderful community partners with us. We got to know Theresa a couple of years ago through the partnerships that we were developing, literacy and diversity, and she has been a real anchor in many of those. Theresa --

Ms. Theresa Lane:

Thank you, Dominic. I'm really happy to be here. As Dominic mentioned, I work for Verizon and, until recently, I was the public affairs manager and now I work in Dallas as a consultant. I flew in from Dallas last night and, for many of you who don't know me, I'm not a flyer. In order for me to get here, it speaks to the relationship and the partnership that we have with OSU-Marion.

In fact, the partnership is so strong that sometimes members of the community, whenever we're having a Verizon event, just automatically expect to see Dominic, and vice versa. Our partnership with OSU-Marion has been a good fit. Like Verizon, OSU-Marion has a strong commitment to literacy and diversity and that has resulted in some very important and strategic partnerships in the Marion community.

Partnerships like literacy, where we partnered with OSU-Marion, to form a literacy partnership to promote literacy activities with very young children. The Grow With Books program provides books to each infant born at Marion General Hospital so that it will enhance their learning process. The Marion Reads program, which is a program started by Verizon, places tutors in the Marion area schools. We work with four area elementary schools for one-hour each week tutoring one-on-one. Dominic and several members of the OSU-Marion Campus have become a part of that process.

I don't have a piece of paper long enough to write down all the things that we've done with OSU, and what Dominic and his team have done in Marion, but one of the things -- which proved to be very beneficial to the entire community -- was having a diversity week. That included an entire week of activities to enhance diversity.

Diversity week included having a VIP dinner with several NAACP chapter presidents and the state president from Columbus, Jim Daniel, and Dominic attended on behalf of OSU-Marion. The NAACP state conference, held recently on the OSU-Marion Campus, was also sponsored by Verizon.

THE REGIONAL CAMPUS EXPERIENCE: A FOCUS ON MARION (contd)

Ms. Lane: (contd)

All of these things have resulted in meaningful dialogue, which has led to a stronger partnership with minority groups like the NAACP, particularly the NAACP in Sandusky. As a result of the dialogue and the partnerships, the chapter president, Francis Amoson, and Dominic and his staff, will be working with Sandusky Public Schools and their superintendent to recruit minority students from the Sandusky area to attend the OSU-Marion Campus. That announcement has already been made to the Sandusky NAACP executive board and to the general membership of the NAACP. Word gets around Sandusky pretty quick. Everyone is excited and they're looking forward to that process coming to fruition.

As I mentioned, Verizon has a long and wonderful relationship with OSU-Marion. We have provided minority scholarships, we have partnered on literacy, we have partnered on diversity, we sponsored the Five Nights on Campus series, we've been a part of the OSU-Marion diversity council, we've had Dr. Frank Hale give presentations, I've done presentations, and we've worked with the Young Women's Business Academy.

What I want to tell you, and what I'd like to leave with you, is that these types of things don't just happen. When you have strong business community partnerships -- especially in the areas of diversity and literacy -- with a major educational institution, these things only happen when the leadership in place has the passion, the drive, the commitment, and the courage to move forward and make those things happen. That is what I've seen at the OSU-Marion Campus under the leadership of Dominic Dottavio, and at Verizon under the leadership of Jack Kennedy, our state president.

I only lived in Ohio seven years, before that I came from Michigan and I was a Maize-and-Blue fan. But I want to tell you that I rarely think Maize-and-Blue anymore. The impact of what I've seen in working with Dominic and how he relates to kids has been so positive and enthusiastic.

I would ask you to wait and see what happens with the kids from Sandusky -- the program that's starting to be developed with the NAACP and Verizon's support -- because those kids are excited about coming to this campus. They want to go to Ohio State and a lot of that speaks to Dominic's leadership and his willingness to partner with the NAACP leadership. Thank you.

Dean Dottavio:

Thank you very much, Theresa. I might note in conclusion that it looks like the sun is coming out as Marion people talk. Thank you very much.

Mr. Skestos:

Dean Dottavio, Jillian, Curtis, and Theresa, I want to thank you for helping this Board better understand what goes on at our regional campuses, and how outstanding they are. Thank you very much.

Dean Dottavio:

Thank you.

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**SUMMARY OF THE GUIDELINES FOR THE FACULTY RULE
GOVERNING ENTREPRENEURIAL ACTIVITIES BY FACULTY AND STAFF**

Dr. David Allen:

Good morning. Thank you very much for the opportunity to talk to you about this and also your support.

Last June the Governor signed a revised code amendment, unanimously passed by the General Assembly, that grants to boards of trustees at Ohio's universities, the rights to devise rules, and to enhance the process for technology transfer from university research results to startup companies. These rules were reviewed at a statewide level, the Inter-University Council got together with attorneys from the universities and devised, in essence, a general set of rules, and the Ohio Ethics Commission was also involved in this.

From that, what has happened is an 18-month process to where we are now of consultation, review, and drafts upon drafts. We've met with the senior administration, senate committees, the University community, and the enterprise development community. This has been in front of a lot of people. A lot of people have helped us across the state to come to this point, not only at the state-level, but at the University-level. We feel we have a set of rules that are responsive, that ensure academic integrity, and also will promote technology transfer to startup companies, which clearly will benefit the State of Ohio.

There undoubtedly will be new situations that we'll see and we have a mechanism through the Technology Transfer Oversight Committee, which will be used. In 1996 you created this oversight committee which is composed of the provost, the general counsel, and the vice presidents for Finance and Research. That body will make a lot of these decisions, and anything that happens in this arena goes through that group.

Ohio State is the first of Ohio's universities to be in front of its trustees to talk about this and was clearly the leader behind all of this over the last 18 months. This is going to create a significant degree of clarity and certainty for all the parties, and that's the best thing out of this. People will know where they stand as they go through the process. They will know what the rules are from the prospective of investors, from the prospective of faculty, from the prospective of administrators, and the public. We truly set public accountability as an important dimension in this, as well as academic integrity and entrepreneurial empowerment. That's a tough balance. It's one that public institutions have stepped up to and I think that we certainly have.

The expectations are somewhere between five and ten of these transactions on a yearly basis and that's what we'll be seeing over the next year. I think once we have a little bit more of a record and it gets out there -- certainly one of the elements that we see, as there are funds available, people are stepping up. But the clarity helps, too.

So with that, I'd like to turn it over to John who will talk a little bit about the academic integrity and other aspects of it.

Mr. John Biancamano:

Mr. Chairman, I'd like to take a few minutes to give you a very quick overview of the content of the rule. The rule applies to faculty and staff who create University-owned technology in their research and who desire to own an interest in a company commercializing that research.

**SUMMARY OF THE GUIDELINES FOR THE FACULTY RULE
GOVERNING ENTREPRENEURIAL ACTIVITIES BY FACULTY AND STAFF (contd)**

Mr. Biancamano: (contd)

I would like to emphasize, as David did, this applies to a very limited class of transactions. It is not the intent of this rule to create a startup company in every faculty member's office, but rather to focus our attention on a relatively small number of transactions where we have a very valuable technology that can be good for the University and for the economy of the State of Ohio. Three, five, ten might be what we would expect from the application of this rule per year, in coming years.

Point number two: the rule creates a procedure for review and approval of these transactions. As David mentioned, the primary review body is the Technology Transfer Oversight Committee; however, the rule also requires chairs and deans to play a prominent role in these deals. Because we understand that the primary responsibility for supervising a transaction and for making sure that everything goes well at the ground level has to happen with the front line administrators, the chairs and deans are going to play a prominent role. The Office of Technology Licensing and the University Conflicts of Interest Administrator in Legal Affairs will also play a prominent role in helping these transactions happen.

Perhaps most importantly are a number of safeguards that appear in the last provision of the rule to protect research integrity and to manage the conflicts of interest that invariably are going to arise in these situations. For example, the rules address the problem of students who will want to work for these companies. This could be a very exciting educational and professional opportunity for students who may find it attractive to remain students here, but at the same time get some real world experience working with the company. The rules include safeguards and provisions to make sure that working for the company does not result in any negative effect on their academic career.

The rules state that University facilities can be used only to benefit a startup company only if there is a contract in place and if the University is reimbursed for the use. The rules contain a number of provisions that limit faculty roles in actually managing the company. I think this recognizes the fact that we want our researchers doing what they do best, which is producing technologies that can be applied to benefit the University and the economy of Central Ohio. The rules make it clear that a professor's and a researcher's first responsibility is to his or her University teaching or research duties. Faculty are responsible for 100 percent of their responsibilities while they are involved with these companies.

Now obviously you need flexibility in this regard and the rules make it clear that -- and this will be inevitable -- there will be times a faculty entrepreneur needs to devote a significant amount of attention to a company. If that's the case, it's possible to reduce an appointment or perhaps even take a leave of absence to make sure that we have the best of both worlds.

Something that is very important is that the rules make it clear that the University patent policy continues to apply to faculty and staff researchers who engage in these transactions. So that if one of our faculty or staff should make an invention while he or she is working for the company, the University will own that invention and, of course, the University will logically consider licensing it or giving an option to the company. So there is a nexus, but we also protect the University's intellectual property issues.

We feel that these rules in their current form are going to make it easier to identify these transactions and to complete them. We feel that they strike the

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Mr. Biancamano: (contd)

right balance between encouraging the development of a more entrepreneurial spirit on campus and, at the same time, continuing to achieve our University academic and research mission. For that reason, we recommend their approval.

Mr. Skestos:

Any questions of either of these two gentlemen?

Mr. Patterson:

What are the potential revenue benefits to the University on this? We're allowing some of them to be entrepreneurial, therefore there ought to be a return to the University. Have you projected those?

Dr. Allen:

There are a couple of different ways that the University benefits in revenue. In most of these cases where the technology that a company is going to license is still at a very raw, incomplete state, there will often be what we call "induced research" back to the laboratory. So there's that element of it. Another element is that, according to the University's patent policy, the distribution of royalties or any other kind of payments – in many of these cases, the University will, through the Foundation, have an equity interest in ownership share, so upon liquidation that will be redistributed back to the parties.

Basically the royalty distribution is, after a threshold amount, one-third to the inventors as an incentive for that, five-twelfths to the department and college, and then one-quarter to the central research administration, the Office of Research. So there is a significant amount of royalty that returns back.

When we've done these kinds of transactions, we've been limited in our ability to get faculty to participate, so, quite frankly, a lot of the transactions left the community. We've lost all our connections with students, with sponsored research, with consulting, and with all those other dimensions that now we're trying to manage in this process. We've lost a lot and we're trying to gain that back. We think it's going to have a significant impact in the years down the road.

If you're talking about 10 of these, not all of these are going to be successful and I think we all have to realize that. This isn't a test where we're going to score 100 percent. Markets change and technology is at a very early stage, but I think we'll do very well by this.

The final thing is that this isn't the panacea -- this isn't everything. Most of what we're going to do is still going to be the traditional kind of licensing. If you look at how universities are successful -- and many are and we have been in the past -- it's usually because one technology or one family of technologies is the grand slam winner. In our case, it's been the feline leukemia virus vaccine. This vaccine has brought in over \$18 million to the University over its years, but it will be going off patent soon.

What we want to make sure is that we have the ability to catch 'em, to work 'em, and let that good technology go through the development, into commercialization, and have its best chance. Then have a lot of benefits to the community, both the University and to Ohio.

**SUMMARY OF THE GUIDELINES FOR THE FACULTY RULE
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Mr. Brennan:

In furtherance to that question, I assume, John, you have checked with other universities that have these programs? When I talk to trustees of other universities or administrators, this is an incredibly common problem. I haven't talked to anybody who feels that they have solved it. How do they strike this balance that you're describing here in these rules? This is all a balance issue among several duties that are required of a person. I have not talked to anybody who has said, "Oh yeah, we've got that right." Have you?

Mr. Biancamano:

Probably not. A lot of people do this and a lot of people are doing it well. However one of the points that I have discovered in talking to some other institutions, is that very rarely do they have a comprehensive rule that puts everybody on notice of the expectations and the procedure. So I believe that this kind of comprehensive, centralized rule is a big step in that direction. In addition to making it easy -- in that we understand how the transaction is supposed to be structured and we can move through it efficiently -- there's a lot of language when you look through the bill that focuses on the conflict of interest question and a faculty member's responsibility to the University. I think if you have that message on the table in the beginning, it helps focus everybody's attention to where they ought to be.

Mr. Brennan:

Was there a university that you patterned this after, one that you thought probably was the best that you saw out there in regard to these documents?

Mr. Biancamano:

While we did get input from a number of institutions, I wouldn't say there was any pattern. I think this document very much is the creative work of the Office of Technology Licensing, some very dedicated folks in the Office of Legal Affairs, and faculty in the University.

Mr. Brennan:

Sounds like maybe you should copyright this so others, when they copy it, can pay us a royalty.

Dr. Allen:

We truly are cutting some new ground here.

Mr. Brennan:

I'm quite serious about what I just said by the way. I think this is one of those vacuums into which if somebody rushes successfully they can create quite a stir. This is a very common problem.

As I understand it, the other piece in your investigations is that several universities have quite a bit of money coming in from these inventions. It appears to be sort of an ad hoc basis, which you earlier said. Could you give us some idea of what those potentials might be from other universities that you know, the amounts of money that are being created by this?

**SUMMARY OF THE GUIDELINES FOR THE FACULTY RULE
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Mr. Biancamano:

David may have some thoughts on this, but you have to remember I'm talking mostly to lawyers.

The experience in many institutions is that you can do very well financially if you hit a big one, like the virus vaccine that David mentioned. If we were to invent another Xerox, obviously this is good times for everybody. What I'm finding is that in the early years at most institutions the direct monetary benefit is not the primary carrot for doing this. A lot of the institutions we've talked to have pointed out that this device is very important in attracting and retaining competent, eminent faculty. People can do this anywhere and if we want them to come here, we have to give them the opportunity.

Looking at this from a land-grant institution perspective, this also fulfills that mission. To an extent, we take our knowledge and research and get it into the community, where it can benefit Ohioans, and therefore we are fulfilling our mission and keeping it in Ohio.

Ms. Longaberger:

Are there incentives for companies to come here?

Mr. Brennan:

May I answer that? That's in the agenda of the budget this year. I'm serious, Tami. I think that is state policy, primary taxation to benefit startup companies and I believe that Governor Taft is addressing that. It will be very helpful to supplement what this is.

Ms. Longaberger:

Did you find the same?

Mr. Biancamano:

We also will offer incentives by working with the company and making available our research facilities for a fee. Nevertheless, if we have the facilities that they need, there is an incentive to stay here.

Dr. Allen:

One of the greatest incentives that we have today is the enterprise infrastructure that we're building with the Science and Technology Campus Corporation, the Technology Commercialization Corporation, the business incubator called the Business Technology Center. We didn't have that three years ago when we started to do this. There were people in this community, quite frankly, who weren't stepping up. So we said, okay what we're going to need to do is take an aggressive stance and help catalyze that enterprise development community.

We now have resources coming into these organizations, and we're working with development and others. The Columbus Technology Leadership Council will soon have a seed fund. We've seen quite significant changes in the venture capital community in Ohio in the last few years. Sure, we're going to have some issues down the road in the dampening effect to the economy, etc., but we didn't have people to turn to before. Now we have valued partners that want to step up

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Dr. Allen: (contd)

at the early stage of the unrefined technology that we have and try to optimize it and direct it to marketplace drivers.

Quite frankly, that's the real incentive that's out there. Because it's money and people to do this that our faculty see and say, "I can do this here."

Mr. McFerson:

I read the rules and understand the appropriateness on the going forward basis, but speak for a few moments about all the research that is taking place today. Is there any grandfathering, or how you go back and catch up, if you will, or do you even try?

Dr. Allen:

There's not an end state in any of this, it's all part of a long process. What we've done is we've stepped back, as we realized that this was going forward, and said, "You know what we should do before the Trustees act, is make sure that we have a sense of the deal flow that's coming through the pipeline."

What we didn't do without your resolution here, is enact any new licenses. What we've done is we've kept a short tether of an option agreement. The companies know that they have the rights to the technology through the option agreement. They can meet certain kinds of diligence, if you will, and they could demonstrate the technology ability. We have about a half a dozen or so.

Very soon you're going to be hearing about the companies that are going to be going past that option period into the licensing stage. We've been working for the last year and a half and telling people to have confidence. I think people understand we're making progress here, this will happen. We're kind of backing up and managing through that process mostly with options and other kinds of short tethers, if you will.

Mr. McFerson:

In other words, you've been holding people back in recognition that this is coming?

Dr. Allen:

I don't think that we've necessarily been holding people back. I think the option approach is a really good approach, a tool for us to move through that development stage. One of the things we do is we license technology, we don't sell it. If we use the license as a mechanism – because a lot of these companies will eventually not make it – we can get that technology back. And if it's early technology, we usually get another shot at it.

Mr. McFerson:

To put it another way, with the adoption of these rules today, there will be some early signings taking place.

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Dr. Allen:

Over the next couple of months, you'll be hearing about them. Yes.

Judge Duncan:

How are you going to solve any disputes that may arise? For instance, if the dean or the Oversight Committee turns down a deal, is it over with or what happens?

Mr. Biancamano:

I think we're going to have to rely on a consensus building process within the University. There are various aspects to the deal that need to be looked at. For example, there's a business evaluation. There are some transactions that simply don't make sense with a startup. The best way to get the technology into the community is to license it to an established firm. That's going to be a business judgment that is going to be looked at very closely by Technology Licensing and David's folks.

At the other end of the scale, there may be some transactions where the conflict of interest issues are so pervasive that everybody looks at it and says, "This is difficult." Absent those extremes, I think we're going to have to rely on the goodwill, good intentions, and hard work of everybody involved in this scenario to make sure that the transactions work. We've certainly seen that happen in the last year. We've worked with every constituency. I think we've been able to get everybody pretty much on the same page. Our goal is to make the deals that will work happen as soon as possible.

Mr. Skestos:

Have you thought about having outside people on this determination committee so that there isn't that feeling amongst some that you're favoring engineering over biotechnology or vice versa? When it's all done within the community there is always the thought that the individual that has been turned down because of favoring someone else. Even though they have a better idea, I'm not going to think it's a better idea, I'll think mine is better. If you had an outside committee that somehow was involved in making or passing on some of these, there would be a greater sense of fairness.

Dr. Allen:

I don't mean to be glib, but there is an outside group -- the marketplace. The marketplace is going to respond by saying, "We want venture capital or business insight. We want to do this or we don't."

The decision of taking the position for the University in intellectual property or pursuing a patent is one that, as soon as we can, we try to go to that marketplace and say, "What do we have here?" That's where the TCC and other groups that we can go to can give us those judgments and help us pull through some of those very early stage technologies. We need to do a little bit better, too, in terms of resources, and say, "These are going to take awhile to mature, let's get some bets on the table."

The decision to do this is for us to listen to the marketplace and work with the departments and colleges on sharing arrangements for intellectual property. "Mr.

**SUMMARY OF THE GUIDELINES FOR THE FACULTY RULE
GOVERNING ENTREPRENEURIAL ACTIVITIES BY FACULTY AND STAFF (contd)**

Dr. Allen: (contd)

Dean or Ms. Dean, are you willing to put up a portion here?" So that there's a sense that they're doing their diligence in determining it, that it is an investment they want to make, because they know they'll get a return on it.

There inevitably will be cases where individuals are going to be grieved by the fact that we may turn them down. We also have in our patent policy an ability or an opportunity to, if you will, reassign it to that individual. So, if they think we haven't done the right thing, then they have an opportunity and can do whatever they want with it.

Mr. Skestos:

Any other questions? Kevin --

Mr. Filiautraut:

Could you briefly speak to how a master's student or a Ph.D. student who will be working on a thesis or dissertation with one of these professors who is part of this company – how they would be protected from a potential conflict with their work and such?

Mr. Biancamano:

Yes. First of all, we have seen a number of instances where students come forward and say, "This is going to be great, I want to work for the company." The rules state that if you're going to work for the company, all the parties involved should sign a disclosure agreement that puts on paper the student's rights and responsibilities in this regard. This document is going to state, for example, that your work at the company will bear no relationship to your academic progress. You may or may not work out as a company employee, but evaluations of your company work are not going to flow over into evaluations of your academic work.

One of the reasons students don't want to work in this area is that the work the company is doing bears a relationship to his or her thesis or dissertation. The University has a rule that says that you cannot include in your thesis material that is restricted from publication. Obviously, your company is going to want to keep their research proprietary. We will also include in the document a statement to ensure everybody understands your company work cannot bear any relationship to your University thesis research, so that your ability to get your degree is protected.

Finally, and perhaps most importantly, the rule says that if a student is academically involved with a professor -- namely taking a course from a professor, if the professor is his or her academic advisor, or on a thesis committee -- you're going to have to choose. You should not work for the company and have the professor be your boss on one side and your academic advisor on the other. So we feel as a package, these rules give the appropriate amount of protection to student progress.

Ms. Hendricks:

Having worked in R & D for a large part of my life, my experience is that for every 150 patents, there's one that is commercializable. Can any professor get a

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**SUMMARY OF THE GUIDELINES FOR THE FACULTY RULE
GOVERNING ENTREPRENEURIAL ACTIVITIES BY FACULTY AND STAFF (contd)**

Ms. Hendricks: (contd)

patent? Who pays for the legal work and all the requirements to really have a real live patent? Is that funded all by the University?

Mr. Biancamano:

There is a system for that.

Dr. Allen:

Any employee of the University that is involved in a research activity or discovery or inventive activity, and it is a part of their research program, will have an obligation to disclose that to the University and it then becomes the University's property. It comes over to the licensing office through a disclosure mechanism.

Basically the first thing we do is we talk to the faculty member or the inventor, "What are you interested in?" "Whom have you been working with?" We're going to go all through the background and get into the patent literature. We make some preliminary decisions, realizing that the major person involved in this is the inventor.

If they just say, "I'm not really interested in commercialization," we don't have anybody to work with. They're the people who have to have the energy to carry it forward. When they do have that energy to carry it forward, we work with external counsel -- a patent counsel that is designated by the Attorney General's office -- that goes and seeks the patent protection or copyright or whatever.

At that time, we are also then talking to individuals in the community about that technology and trying to assess what its market position and drivers are. When there is platform technology, the core technologies that you can build a company, this set of rules perhaps would apply. Or there may be something that a company would say, "I think we can commercialize that independent of a startup." Then you have to work back and forth with the faculty member. So decisions on that are made by professionals in the licensing office, mostly by the cues, if you will, that they're receiving.

We have one amazing asset at Ohio State that virtually no other universities have to such a high degree, and that is our alum and we use them a lot. I can pick up the phone and call somebody who is an alum and say, "I want to talk to you." The alum will talk to you or give you somebody to help you. That is our network, beyond what's local. We can get an intelligence network about what's happening out there on some very narrow and specific things unlike other communities or other schools.

Mr. Brennan:

John, with the Senate bill, did it not require us to submit this rule back to a committee of the Senate? Was that what was contemplated?

Mr. Biancamano:

I don't believe so, Mr. Brennan. After the bill was passed, it was required that we work with the Ethics Commission to prepare a set of model rules. In fact, the model rules that were prepared are almost identical to these.

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**SUMMARY OF THE GUIDELINES FOR THE FACULTY RULE
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Mr. Brennan:

You're satisfied that you satisfied their requirements?

Mr. Biancamano:

Yes, sir.

Mr. Brennan:

Thank you.

Mr. Slane:

Dave, one of the things that drove these rules was our ability to try to recruit faculty. How are these rules competitive with other universities around the country?

Dr. Allen:

I think they are very competitive and respond to the needs of the marketplace. Other universities in the country aren't as explicit about their rules. They're a little bit more catch as, catch can at other places. I think that there are some differences between public and private institutions. The privates seem to have a little bit more flexibility and they don't have quite the accountability and responsibility, etc., and community. There may be some shading of differences there. But the fundamental fact is that the federal government sets standards for the funding of research that says that there has to be disclosures, conflict of interest plans, etc., so that there is a level playing field. The differences are more of a difference of degree than kind, and there may be a little bit greater degree of difference between public and private.

Relative to where we are and the kind of "state of the practice," we are clearer, we have a greater certainty of how we want to do this, and we expect then to be more responsive because of that. These are the rules, this is what the trustees have approved, everybody is engaged in this process, and let's make this work.

Mr. Skestos:

Any other questions? Thank you very much.

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CONSENT AGENDA

President William E. Kirwan

We have 11 resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend these for the Board's approval:

CANCER HOSPITAL BOARD REAPPOINTMENT

Resolution No. 2001-109

Synopsis: Reappointment of a member to The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board is proposed.

WHEREAS the Board of Trustees on September 1, 1993, approved the establishment of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board; and

WHEREAS it has been stipulated in Board of Trustees Bylaw 3335-1-03 (W) and The James Cancer Hospital and Solove Research Institute Board Bylaw 3335-109-01 that the Cancer Hospital and Research Institute Board shall be comprised of six citizens from the general public who shall be appointed by the University Board of Trustees in consultation with the President; and

WHEREAS the following named person has been nominated and selected for reappointment to the Cancer Hospital and Research Institute Board for the term as specified:

<u>Name</u>	<u>Term of Appointment</u>
Richard J. Solove	May 14, 2001 to May 13, 2005

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be reappointed, effective immediately, as a member of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board for the specific terms as set forth above.

CREATION OF A CENTER FOR URBAN AND REGIONAL ANALYSIS

Resolution No. 2001-110

Synopsis: The creation of a Center for Urban and Regional Analysis is proposed.

WHEREAS The Ohio State University has a large set of faculty and graduate students distributed throughout several colleges, whose research and teaching interests are in the area of urban analysis and for whom such a Center would play an important integrative role; and

WHEREAS the Center can build on a strong base established by the Committee on Urban Affairs, and can become an important activity within the University's Applied Social and Public Policy Initiative; and

WHEREAS aspects of the Center's organizational structure and resources, including funding and space, have been addressed; and

WHEREAS internal and external letters of support indicate that the establishment of a Center is an important step in national prominence in the field; and

WHEREAS the proposal satisfies the guidelines for the establishment of academic Centers and Institutes (3335-3-36) including requirements for appropriate consultation processes, oversight and evaluation; and

WHEREAS the proposed Center was reviewed, discussed, and approved by the Research Committee, by the Research and Graduate Council, by the reviewing subcommittee, by the Council on Academic Affairs, and by the University Senate at its March 10, 2001 meeting:

April 6, 2001 meeting, Board of Trustees

CREATION OF A CENTER FOR URBAN AND REGIONAL ANALYSIS (contd)

NOW THEREFORE

BE IT RESOLVED, That the creation of the Center for Urban and Regional Analysis is hereby approved, effective immediately.

DISSOLUTION OF DEPARTMENTS

Resolution No. 2001-111

Synopsis: The dissolution of the departments within the College of Nursing is proposed.

WHEREAS neither the Department of Adult Health and Illness Nursing, and the Department of Community, Parent-Child and Psychiatric Nursing meets the definition of a department as stated in rule 3335-3-34 of the Administrative Code; and

WHEREAS no distinct academic programs are offered solely in either department; there is one graduate program recognized by the Graduate School; for purposes of tenure and promotion, one set of criteria is used and all eligible faculty in the College constitute the first level of review; and all faculty governance is conducted by elected college committees and official College business occurs at College faculty meetings; and

WHEREAS the College faculty voted (18 in favor, 2 non-voting) on October 27, 2000 to eliminate the two departments and to function as a unit of the whole; and

WHEREAS the alternate structure will be to appoint two associate deans, one for undergraduate studies and one for graduate studies and research; and a new Pattern of Administration will be developed; and

WHEREAS the proposed dissolution was discussed and approved unanimously by the reviewing subcommittee, the Council on Academic Affairs, and approved by the University Senate at its March 10, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees approves the dissolution of the Department of Adult Health and Illness Nursing, and the Department of Community, Parent-Child and Psychiatric Nursing, effective immediately.

NAMING OF BUILDING

Resolution No. 2001-112

Synopsis: The naming of the new University residence, located at 80 North Drexel Avenue, Bexley, Ohio, is proposed.

WHEREAS Ann and Ron Pizzuti have graciously given their residence to The Ohio State University Foundation to be used as the official residence of the president of The Ohio State University; and

WHEREAS The Ohio State University Foundation Board of Directors wishes to honor the Pizzuti family for making this generous gift; and

April 6, 2001 meeting, Board of Trustees

NAMING OF BUILDING (contd)

WHEREAS Ann and Ron Pizzuti are well known in Columbus as active community leaders and generous philanthropists:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned residence be named "Pizzuti House."

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2001-113

Synopsis: Amendments to the Rules of the University Faculty regarding the establishment of a faculty rule governing entrepreneurial activities by faculty and staff are proposed.

WHEREAS Senate Bill No. 286, passed by the Ohio General Assembly on May 24, 2000, authorized the board of trustees of a state university to establish rules that describe the circumstances under which a university employee may hold a financial interest in a company commercializing technology owned by the university; and

WHEREAS in compliance with Senate Bill No. 286, the Office of Research has prepared rule 3335-13-07, in consultation with the University Senate Research Committee and other faculty groups; and

WHEREAS the University Senate Steering and Rules Committees have agreed to co-sponsor rule 3335-13-07; and

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate at its March 10, 2001 meeting as follows:

New Rule

3335-13-07 RULES GOVERNING FACULTY AND STAFF PARTICIPATION IN COMPANIES COMMERCIALIZING UNIVERSITY RESEARCH.

(A) POLICY STATEMENT.

PURSUANT TO SECTION 3345.14 OF THE REVISED CODE, THE UNIVERSITY BOARD OF TRUSTEES HAS DETERMINED THAT THE INTERESTS OF THE UNIVERSITY WILL BE SERVED IF FACULTY AND SOME CATEGORIES OF STAFF ARE AFFORDED THE OPPORTUNITY TO HOLD PERSONAL FINANCIAL INTERESTS IN COMPANIES COMMERCIALIZING THEIR UNIVERSITY RESEARCH. FACULTY AND STAFF PARTICIPATION IN TECHNOLOGY LICENSING TRANSACTIONS WILL FACILITATE THE UNIVERSITY'S GOAL OF MAKING ITS RESEARCH AVAILABLE FOR USE IN THE PRIVATE MARKETPLACE BY GIVING RESEARCHERS AN INCENTIVE TO DEVELOP INVENTIONS WITH COMMERCIAL APPLICATIONS. THE OPPORTUNITY TO PARTICIPATE IN THESE TRANSACTIONS IS ALSO ESSENTIAL TO THE UNIVERSITY'S EFFORTS TO ATTRACT AND RETAIN HIGHLY-QUALIFIED RESEARCHERS.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

THE PROCEDURES AND GUIDELINES SET FORTH IN THESE RULES ARE INTENDED TO ENABLE THE UNIVERSITY TO REALIZE THE BENEFITS OF THESE ENTREPRENEURIAL ACTIVITIES WHILE PROTECTING THE INTEGRITY OF OUR RESEARCH AND EDUCATIONAL MISSION AND TO COMPLY WITH UNIVERSITY POLICIES AND APPLICABLE FEDERAL AND STATE LAWS.

(B) DEFINITIONS.

- (1) A TECHNOLOGY COMMERCIALIZATION COMPANY IS A PRIVATE COMMERCIAL ENTITY THAT IS OWNED IN WHOLE OR IN PART BY A UNIVERSITY EMPLOYEE AND THAT HAS AS ITS PURPOSE THE DEVELOPMENT AND COMMERCIALIZATION OF UNIVERSITY-OWNED TECHNOLOGY CREATED BY THAT EMPLOYEE.
- (2) THE UNIVERSITY'S OWNERSHIP OF INTELLECTUAL PROPERTY RIGHTS IN TECHNOLOGY CREATED BY ITS FACULTY AND STAFF IS DETERMINED IN ACCORDANCE WITH SECTION 3345.14 OF THE REVISED CODE AND THE UNIVERSITY POLICY ON PATENTS AND COPYRIGHTS. AS MORE FULLY EXPLAINED IN THE POLICY ON PATENTS AND COPYRIGHTS, UNIVERSITY-OWNED TECHNOLOGY GENERALLY DOES NOT INCLUDE TEXTBOOKS AND OTHER SCHOLARLY AND ARTISTIC WORKS.
- (3) THE TECHNOLOGY TRANSFER OVERSIGHT COMMITTEE IS THE UNIVERSITY BODY RESPONSIBLE FOR THE APPROVAL AND OVERSIGHT OF TECHNOLOGY COMMERCIALIZATION COMPANIES PURSUANT TO A DELEGATION OF AUTHORITY FROM THE UNIVERSITY BOARD OF TRUSTEES.
- (4) THE CONFLICTS OF INTEREST ADMINISTRATOR IS THE UNIVERSITY OFFICIAL WHO IS RESPONSIBLE FOR ASSISTING FACULTY AND OTHER UNIVERSITY EMPLOYEES IN IDENTIFYING, MANAGING AND ELIMINATING CONFLICTS OF INTEREST, AND IN PARTICULAR FOR FACILITATING THE DEVELOPMENT OF CONFLICT OF INTEREST MANAGEMENT PLANS FOR FACULTY AND STAFF PARTICIPATING IN TECHNOLOGY COMMERCIALIZATION COMPANIES.

(C) APPLICABILITY.

- (1) THESE RULES SHALL APPLY TO ALL FACULTY WHO CREATE INTELLECTUAL PROPERTY OWNED BY THE UNIVERSITY AND WHO DESIRE TO HOLD AN OWNERSHIP INTEREST IN A TECHNOLOGY COMMERCIALIZATION COMPANY.
- (2) THESE RULES SHALL APPLY TO STAFF MEMBERS HOLDING UNCLASSIFIED APPOINTMENTS, GRADUATE ASSOCIATES, AND STUDENT EMPLOYEES WHO:
 - (a) ARE SPECIFICALLY ASSIGNED TO ENGAGE IN RESEARCH AND DEVELOPMENT ACTIVITIES;
 - (b) CREATE INTELLECTUAL PROPERTY OWNED BY THE UNIVERSITY; AND

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

- (c) DESIRE TO HOLD AN OWNERSHIP INTEREST IN A TECHNOLOGY COMMERCIALIZATION COMPANY.
- (D) RESPONSIBILITIES OF DEPARTMENT CHAIRS AND STAFF SUPERVISORS.

 - (1) DEPARTMENT CHAIRS ARE RESPONSIBLE FOR ENSURING THAT FACULTY WHO PARTICIPATE IN TECHNOLOGY COMMERCIALIZATION COMPANIES COMPLY WITH APPLICABLE UNIVERSITY POLICIES GOVERNING THE TERMS AND CONDITIONS OF EMPLOYMENT, AND ACADEMIC AND RESEARCH ACTIVITIES. CHAIRS ARE ALSO RESPONSIBLE FOR ENSURING COMPLIANCE WITH THE PAID EXTERNAL CONSULTING AND FACULTY CONFLICT OF INTEREST POLICIES AND FOR REVIEWING AND MAKING A RECOMMENDATION AS TO THE PROPRIETY OF PRIVATE BUSINESS ACTIVITIES REPORTED BY THEIR FACULTY IN DISCLOSURE FORMS REQUIRED BY THOSE POLICIES.
 - (2) STAFF SUPERVISORS ARE RESPONSIBLE FOR ENSURING THAT EMPLOYEES WHO PARTICIPATE IN TECHNOLOGY COMMERCIALIZATION COMPANIES COMPLY WITH APPLICABLE UNIVERSITY POLICIES GOVERNING THE TERMS AND CONDITIONS OF EMPLOYMENT, AND ACADEMIC AND RESEARCH ACTIVITIES. THEY ARE ALSO RESPONSIBLE FOR ENSURING COMPLIANCE WITH THE UNIVERSITY POLICIES ON CONFLICTS OF INTEREST AND WORK OUTSIDE THE UNIVERSITY APPLICABLE TO STAFF EMPLOYEES AND FOR REVIEWING AND MAKING A RECOMMENDATION AS TO THE PROPRIETY OF PRIVATE BUSINESS ACTIVITIES REPORTED BY STAFF IN DISCLOSURE FORMS REQUIRED BY THOSE POLICIES.
- (E) APPROVAL PROCESS.

 - (1) FACULTY AND STAFF MEMBERS WHO WISH TO PARTICIPATE IN A TECHNOLOGY COMMERCIALIZATION COMPANY MUST FIRST OBTAIN APPROVAL FROM THEIR DEPARTMENT CHAIRS AND DEANS OR OTHER APPROPRIATE SUPERVISORS. THE OFFICE FOR TECHNOLOGY LICENSING WILL BE RESPONSIBLE FOR ESTABLISHING THE BUSINESS TERMS OF THE TRANSACTION BETWEEN THE COMPANY AND THE UNIVERSITY, AND THE CONFLICTS OF INTEREST ADMINISTRATOR WILL FACILITATE THE DEVELOPMENT OF A CONFLICT OF INTEREST MANAGEMENT PLAN.
 - (2) THE TECHNOLOGY TRANSFER OVERSIGHT COMMITTEE WILL REVIEW THE SUFFICIENCY OF BUSINESS TERMS AND CONFLICT OF INTEREST MANAGEMENT PLANS RELATING TO TECHNOLOGY COMMERCIALIZATION COMPANIES. WRITTEN APPROVAL FROM THE TECHNOLOGY TRANSFER OVERSIGHT COMMITTEE MUST BE OBTAINED BEFORE ANY BUSINESS AGREEMENTS RELATING TO A TECHNOLOGY COMMERCIALIZATION COMPANY ARE FINALIZED.
 - (3) FACULTY OR STAFF MEMBERS WHO WISH TO PARTICIPATE IN A TECHNOLOGY COMMERCIALIZATION COMPANY MAY DISCUSS INITIAL COMPANY FORMATION WITH THE OFFICE FOR TECHNOLOGY LICENSING; HOWEVER, THEY SHOULD NOT, AS A GENERAL RULE, PARTICIPATE IN THE ONGOING NEGOTIATION OF OPTION AND LICENSING TERMS BETWEEN THE COMPANY AND UNIVERSITY. AS

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

SOON AS POSSIBLE, THIRD PARTIES, SUCH AS COMPANY MANAGEMENT AND/OR LEGAL COUNSEL SHOULD PERFORM THIS FUNCTION.

- (4) AS A PREREQUISITE TO THE GRANTING OF AN EXCLUSIVE LICENSE TO UNIVERSITY TECHNOLOGY, A TECHNOLOGY COMMERCIALIZATION COMPANY MUST PROVIDE THE OFFICE FOR TECHNOLOGY LICENSING WITH A VIABLE BUSINESS PLAN INCLUDING, AT A MINIMUM, THE FOLLOWING:
- (a) A CAPITALIZATION PLAN DEMONSTRATING ACCESS TO FUNDS NECESSARY FOR COMPANY GROWTH;
 - (b) A PROPOSED MANAGEMENT TEAM; AND
 - (c) MILESTONES FOR PRODUCT DEVELOPMENT AND COMMERCIAL SALE.
- (5) IN RECOGNITION OF THE UNIVERSITY'S OWNERSHIP OF THE TECHNOLOGY, A TECHNOLOGY COMMERCIALIZATION COMPANY SHALL GRANT THE UNIVERSITY AN EQUITY INTEREST IN THE COMPANY AS NEGOTIATED BY THE OFFICE FOR TECHNOLOGY LICENSING.
- (6) THE FACULTY MEMBER'S DEPARTMENT CHAIR OR THE STAFF MEMBER'S SUPERVISOR MUST BE ACTIVE PARTICIPANTS IN DISCUSSIONS WITH THE TECHNOLOGY TRANSFER OVERSIGHT COMMITTEE AND IN THE DEVELOPMENT OF THE CONFLICT OF INTEREST MANAGEMENT PLAN RELATING TO A TECHNOLOGY COMMERCIALIZATION COMPANY.
- (7) A CHAIR OR STAFF SUPERVISOR WHO HAS A FINANCIAL INTEREST OR IS A CO-PARTICIPANT WITH A FACULTY OR STAFF MEMBER IN A TECHNOLOGY COMMERCIALIZATION COMPANY IS NOT IN A POSITION TO PROVIDE EFFECTIVE OVERSIGHT OF THAT ACTIVITY. IN THESE SITUATIONS, ANOTHER DISINTERESTED ADMINISTRATOR MUST BE APPOINTED BY THE TECHNOLOGY TRANSFER OVERSIGHT COMMITTEE TO PERFORM THE RESPONSIBILITIES OF THE CHAIR OR STAFF SUPERVISOR.
- (8) IF THE TECHNOLOGY TRANSFER OVERSIGHT COMMITTEE DETERMINES THAT, FOR ANY REASON, IT IS NOT POSSIBLE FOR THE CHAIR, THE STAFF SUPERVISOR OR ANOTHER DISINTERESTED ADMINISTRATOR TO PROVIDE EFFECTIVE OVERSIGHT OF A TRANSACTION INVOLVING A TECHNOLOGY COMMERCIALIZATION COMPANY, THE TRANSACTION SHOULD NOT BE APPROVED.
- (F) RESPONSIBILITY FOR UNIVERSITY DUTIES.
- (1) FACULTY ARE ENCOURAGED TO DEVELOP DISCOVERIES AND INVENTIONS WITH COMMERCIAL POTENTIAL; HOWEVER, THEY SHOULD DO SO WITH DUE REGARD TO THE BROADER TEACHING AND RESEARCH MISSION OF THE UNIVERSITY. FACULTY SHOULD NOT ALLOW THEIR INTEREST IN A FINANCIAL OPPORTUNITY ARISING OUT OF THEIR RESEARCH EFFORTS TO INFLUENCE THEIR TEACHING, OR TO INTERFERE WITH THEIR RELATIONSHIPS WITH OTHER FACULTY. IN PARTICULAR, RESEARCH ASSIGNMENTS FOR STUDENTS SHOULD BE BASED ON THE STUDENTS' INTERESTS AND ACADEMIC DEVELOPMENT.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

FACULTY SHOULD RESPECT AND PROMOTE THE COOPERATIVE NATURE OF THE ACADEMIC ENVIRONMENT BY SHARING INFORMATION AND PARTICIPATING IN JOINT RESEARCH EFFORTS WITH THEIR COLLEAGUES.

- (2) WHILE FACULTY ARE PERMITTED BY THE POLICY ON PAID EXTERNAL CONSULTING AND THESE RULES TO ENGAGE IN SPECIFIED PRIVATE BUSINESS ACTIVITIES RELATING TO THEIR UNIVERSITY POSITIONS, THEY CONTINUE TO BE RESPONSIBLE FOR THE PERFORMANCE OF ALL OF THEIR UNIVERSITY TEACHING, RESEARCH AND SERVICE OBLIGATIONS. AUTHORIZED PRIVATE BUSINESS ACTIVITIES MUST BE UNDERTAKEN IN ACCORDANCE WITH THE POLICY ON PAID EXTERNAL CONSULTING AND PURSUANT TO FORMAL CONSULTING AND CONFLICT OF INTEREST MANAGEMENT PLANS SIGNED BY THE FACULTY, THE TECHNOLOGY COMMERCIALIZATION COMPANY AND THE UNIVERSITY AND APPROVED BY THE DEPARTMENT CHAIR, THE CONFLICTS OF INTEREST ADMINISTRATOR, THE OFFICE FOR TECHNOLOGY LICENSING, AND THE OFFICE OF LEGAL AFFAIRS.
- (3) STAFF MEMBERS MAY ENGAGE IN ACTIVITIES RELATING TO A TECHNOLOGY COMMERCIALIZATION COMPANY DURING REGULARLY ASSIGNED WORKING HOURS ONLY IF THEY TAKE APPROVED LEAVE. WHEN PERFORMED OUTSIDE REGULARLY ASSIGNED WORKING HOURS, THESE ACTIVITIES MUST BE UNDERTAKEN IN ACCORDANCE WITH THE UNIVERSITY POLICIES ON CONFLICTS OF INTEREST AND WORK OUTSIDE THE UNIVERSITY APPLICABLE TO STAFF EMPLOYEES AND PURSUANT TO A FORMAL CONFLICT OF INTEREST MANAGEMENT PLAN SIGNED BY THE STAFF MEMBER, THE TECHNOLOGY COMMERCIALIZATION COMPANY AND THE UNIVERSITY AND APPROVED BY THE DEPARTMENT CHAIR AND/OR SUPERVISOR, THE CONFLICTS OF INTEREST ADMINISTRATOR, THE OFFICE OF TECHNOLOGY LICENSING, AND THE OFFICE OF LEGAL AFFAIRS.
- (4) STAFF MEMBERS MAY PURSUE RESEARCH PROJECTS AS AUTHORIZED BY THEIR SUPERVISORS. SUPERVISORS SHOULD AUTHORIZE ONLY THOSE STAFF RESEARCH PROJECTS THAT WILL ADVANCE THE MISSIONS OF THE UNIVERSITY AND THE EMPLOYING UNIT, WITHOUT REGARD TO THE FINANCIAL INTERESTS OF INDIVIDUAL EMPLOYEES.
- (G) CONFLICT OF INTEREST MANAGEMENT STANDARDS.
- (1) UNIVERSITY FACILITIES, EQUIPMENT AND OTHER RESOURCES MAY BE USED FOR RESEARCH BENEFITING A TECHNOLOGY COMMERCIALIZATION COMPANY ONLY PURSUANT TO A SPONSORED RESEARCH AGREEMENT, FACILITIES USE AGREEMENT OR OTHER APPROPRIATE CONTRACTUAL ARRANGEMENT.
- (2) AS A GENERAL RULE, FACULTY OR STAFF SHOULD NOT HOLD MANAGEMENT POSITIONS IN TECHNOLOGY COMMERCIALIZATION COMPANIES. WHILE THEY MAY INITIALLY FIND IT NECESSARY TO PLAY A MANAGEMENT ROLE IN A NEWLY-FORMED COMPANY, IT IS EXPECTED THAT THEIR MANAGEMENT RESPONSIBILITIES WILL DECREASE AS THE COMPANY DEVELOPS. PROFESSIONAL MANAGEMENT SHOULD BE BROUGHT IN AT THE EARLIEST OPPORTUNITY. IN ORDER TO ENSURE THE APPLICATION OF THIS PRINCIPLE, AGREEMENTS BETWEEN THE

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

UNIVERSITY AND A TECHNOLOGY COMMERCIALIZATION COMPANY SHOULD CONTAIN ENFORCEABLE MILESTONES FOR THE REDUCTION OF THESE MANAGEMENT RESPONSIBILITIES. FAILURE TO COMPLY WITH THESE AGREED-UPON MILESTONES WILL RESULT IN THE COMPANY'S INABILITY TO ENGAGE IN SPONSORED RESEARCH. UTILIZE STUDENT EMPLOYEES AND THE OTHER COMMERCIALIZATION AGREEMENTS AND/OR ACTIVITIES PERMITTED UNDER THESE GUIDELINES.

- (3) FACULTY SHOULD NOT ALLOW THEIR MANAGEMENT ACTIVITIES WITH TECHNOLOGY COMMERCIALIZATION COMPANIES TO CONSUME A DISPROPORTIONATE AMOUNT OF THEIR PROFESSIONAL ATTENTION. FACULTY ENGAGED IN APPROVED PRIVATE BUSINESS ACTIVITIES WHO ARE UNABLE TO PERFORM ALL OF THEIR UNIVERSITY RESPONSIBILITIES MUST REDUCE THOSE ACTIVITIES OR REQUEST A REDUCTION OF APPOINTMENT OR OTHER APPROVED LEAVE. PROFESSIONAL IMPROVEMENT LEAVE AUTHORIZED UNDER SECTION 3345.28 OF THE REVISED CODE MAY NOT BE USED FOR PRIVATE BUSINESS PURPOSES.
- (4) STAFF MEMBERS WHO ARE UNABLE TO PERFORM ALL OF THEIR UNIVERSITY DUTIES BECAUSE OF ACTIVITIES IN CONNECTION WITH TECHNOLOGY COMMERCIALIZATION COMPANIES MUST REDUCE THOSE ACTIVITIES OR REQUEST A REDUCTION OF APPOINTMENT OR OTHER APPROVED LEAVE.
- (5) GRADUATE AND UNDERGRADUATE STUDENTS MAY USE UNIVERSITY FACILITIES, EQUIPMENT AND OTHER RESOURCES TO PERFORM RESEARCH BENEFITING A TECHNOLOGY COMMERCIALIZATION COMPANY ONLY PURSUANT TO A SPONSORED RESEARCH AGREEMENT, AS STIPULATED IN THE GRADUATE SCHOOL HANDBOOK. SUCH RESEARCH MAY NOT BE USED TO SATISFY THE CRITERIA FOR A THESIS OR DISSERTATION IF THE MATERIAL IS RESTRICTED FROM PUBLICATION. STUDENTS SHOULD BE INFORMED IN WRITING OF THIS RESTRICTION PRIOR TO THE START OF THEIR RESEARCH.
- (6) STUDENTS MAY BE EMPLOYED BY A TECHNOLOGY COMMERCIALIZATION COMPANY, SUBJECT TO THE LIMITATION SET FORTH IN PARAGRAPH (G)(7) OF THIS RULE. PRIOR TO SUCH EMPLOYMENT, THE STUDENT, THE FACULTY OR STAFF MEMBER, THE CHAIR OF THE STUDENT'S DEPARTMENT, THE CHAIR OF THE GRADUATE STUDIES COMMITTEE AND A COMPANY REPRESENTATIVE MUST SIGN AN AGREEMENT DISCLOSING THE STUDENT'S RIGHTS AND OBLIGATIONS.
- (7) A STUDENT MAY NOT BE EMPLOYED BY A TECHNOLOGY COMMERCIALIZATION COMPANY IN WHICH A FACULTY MEMBER HAS AN OWNERSHIP INTEREST IF:
 - (a) THE STUDENT IS ENROLLED IN A COURSE TAUGHT BY THE FACULTY MEMBER;
 - (b) THE FACULTY MEMBER IS A MEMBER OF THE STUDENT'S THESIS OR DISSERTATION COMMITTEE; OR

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

- (c) THE FACULTY MEMBER IS THE STUDENT'S ADVISOR OR THE DIRECTOR OF HIS OR HER THESIS OR DISSERTATION RESEARCH.
- SUCH STUDENTS MAY PERFORM RESEARCH BENEFITING A TECHNOLOGY COMMERCIALIZATION COMPANY ONLY PURSUANT TO A SPONSORED RESEARCH AGREEMENT OR OTHER FORMAL INTERNSHIP AGREEMENT THROUGH THE UNIVERSITY.
- (8) TECHNOLOGY COMMERCIALIZATION COMPANIES MAY NOT ENTER INTO ANY AGREEMENTS WITH THE UNIVERSITY FOR THE PURCHASE, SALE OR RENTAL OF EQUIPMENT, SUPPLIES OR SERVICES OTHER THAN THOSE EXPLICITLY AUTHORIZED BY THE TECHNOLOGY TRANSFER OVERSIGHT COMMITTEE.
- (9) AS A GENERAL RULE, FACULTY AND STAFF MEMBERS WHO ARE NOT DIRECTLY INVOLVED WITH RESEARCH AND DEVELOPMENT OF TECHNOLOGY LICENSED TO A TECHNOLOGY COMMERCIALIZATION COMPANY MAY NOT HOLD EQUITY INTERESTS IN THAT COMPANY. EQUITY OWNERSHIP IN THESE SITUATIONS IS PERMISSIBLE ONLY TO THE EXTENT ALLOWED BY SECTION 2921.42 OF THE REVISED CODE.
- (10) UNIVERSITY REGULATORY REVIEW BOARDS INCLUDING, FOR EXAMPLE, THE INSTITUTIONAL REVIEW BOARD AND THE INSTITUTIONAL LABORATORY ANIMAL CARE AND USE COMMITTEE, MAY BE UTILIZED FOR RESEARCH BENEFITING A TECHNOLOGY COMMERCIALIZATION COMPANY ONLY PURSUANT TO A SPONSORED RESEARCH AGREEMENT.
- (11) AS A GENERAL RULE, AN INDIVIDUAL FACULTY OR STAFF MEMBER SHOULD NOT HOLD MORE THAN TWENTY-FIVE PERCENT OF THE OUTSTANDING EQUITY IN A TECHNOLOGY COMMERCIALIZATION COMPANY. WHILE SIGNIFICANT FACULTY OR STAFF EQUITY OWNERSHIP MAY BE INHERENT IN A NEWLY-FORMED COMPANY, IT IS EXPECTED THAT THEIR OWNERSHIP INTERESTS, AS A PERCENTAGE OF THE TOTAL OUTSTANDING SHARES OR MEMBERSHIP INTERESTS OF THE COMPANY, WILL DECREASE AS THE COMPANY DEVELOPS AND ATTRACTS ADDITIONAL EQUITY. IN ORDER TO ENSURE THE OBSERVANCE OF THIS PRINCIPLE, AGREEMENTS BETWEEN THE UNIVERSITY AND TECHNOLOGY COMMERCIALIZATION COMPANIES SHOULD CONTAIN ENFORCEABLE MILESTONES FOR THE DILUTION OF THESE EQUITY INTERESTS. FAILURE TO COMPLY WITH THESE AGREED-UPON MILESTONES WILL RESULT IN THE COMPANY'S INABILITY TO ENGAGE IN SPONSORED RESEARCH, UTILIZE STUDENT EMPLOYEES AND THE OTHER COMMERCIALIZATION AGREEMENTS AND/OR ACTIVITIES ALLOWED FOR UNDER THIS RULE.
- (12) FACULTY OR STAFF MEMBERS MAY NOT ASSUME THE ROLE OF PRINCIPAL INVESTIGATOR IN SPONSORED RESEARCH PROJECTS FUNDED BY TECHNOLOGY COMMERCIALIZATION COMPANIES IN WHICH THEY HAVE AN INTEREST IF THE PROJECTS INVOLVE THE USE OF HUMAN SUBJECTS, OR IF THEY ARE VETERINARY CLINICAL TRIALS INVOLVING THE USE OF ANIMALS. IN OTHER CASES, FACULTY OR STAFF MAY ASSUME THE ROLE OF PRINCIPAL INVESTIGATOR IF A FORMAL RESEARCH INTEGRITY PLAN APPROVED BY THE TECHNOLOGY

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

New Rule (contd)

TRANSFER OVERSIGHT COMMITTEE, THE CONFLICTS OF INTEREST ADMINISTRATOR AND THE OFFICE OF LEGAL AFFAIRS IS IN PLACE.

- (13) AGREEMENTS FOR SPONSORED RESEARCH PROJECTS FUNDED BY TECHNOLOGY COMMERCIALIZATION COMPANIES MUST INCLUDE, AT A MINIMUM, A REQUIREMENT FOR FULL UNIVERSITY PUBLICATION RIGHTS AND FULLY NEGOTIATED COST RECOVERIES. THE OFFICE OF RESEARCH MUST APPROVE EXCEPTIONS TO THESE CONDITIONS.
- (14) FACULTY AND STAFF PARTICIPATING IN TECHNOLOGY COMMERCIALIZATION COMPANIES APPROVED PURSUANT TO THESE RULES CONTINUE TO BE BOUND BY THE UNIVERSITY POLICY ON PATENTS AND COPYRIGHTS. NEW INVENTIONS AND/OR DISCOVERIES MADE AS A RESULT OF A FACULTY OR STAFF MEMBER'S RESEARCH EFFORTS FOR THE COMPANY, INCLUDING THOSE MADE UNDER FORMAL CONSULTING AGREEMENTS, WILL BE OWNED BY THE UNIVERSITY, AND THE COMPANY WILL BE OFFERED AN EXCLUSIVE OPTION TO THE TECHNOLOGY. NEW INVENTIONS AND/OR DISCOVERIES DEVELOPED BY THE FACULTY OR STAFF MEMBER FOR THE COMPANY MUST BE DISCLOSED TO THE OFFICE OF TECHNOLOGY LICENSING AS REQUIRED BY THE POLICY ON PATENTS AND COPYRIGHTS.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate, effective immediately.

HONORARY DEGREE

Resolution No. 2001-114

Synopsis: The awarding of an honorary degree to Raymond E. Mason, Jr., is recommended for approval.

WHEREAS pursuant to paragraph (B)(4) of rule 3335-1-03 of the Administrative Code, the President, after consultation with the Steering Committee of the University Senate, recommended to the Board of Trustees awarding of an honorary degree as listed below:

Raymond E. Mason, Jr.

Doctor of Business Administration

NOW THEREFORE

BE IT RESOLVED, That the above honorary degree be awarded in accordance with the recommendation at a time convenient to the University and the recipient.

PERSONNEL ACTIONS

Resolution No. 2001-115

BE IT RESOLVED, That Resolution 77-92 and the provisions of the February 6, 1959 Report of the Committee on Retirement and Insurance, calling for "Principal Administrative Officials" to be relieved of their administrative assignments at age 65, be waived to allow for the extension of administrative appointment of Tony Tripodi as Dean of the College of Social Work beyond his sixty-fifth birthday; and

BE IT FURTHER RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the March 2, 2001 meeting of the Board, including the following Appointments, Reappointment, Appointment of Chairpersons, Reappointment of Principal Administrative Officials, Extension of Principal Administrative Official, Leave of Absence Without Salary, Leave of Absence Without Salary – Continuation, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Ohio State University Medical Center and The Ohio State University Hospitals East) approved February 22, 2001, by The Ohio State University Hospitals Board, the Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) approved March 20, 2001, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, be ratified.

Appointments

Name: JULIA F. ANDREWS
Title: Professor (Bliss M. and Mildred A. Wiant Designated Professorship in Chinese Literature and Culture)
Department: History of Art
Term: July 1, 2001 through June 30, 2005

Name: JOEL H. SALTZ
Title: Chief Information Officer and Associate Vice President
Office: Health Sciences
Effective: May 28, 2001
Present Position: Professor and Director of Informatics, Department of Pathology, Johns Hopkins University School of Medicine, and Professor, Department of Computer Science, University of Maryland, College Park

Name: ROBERT H. WAGONER
Title: Professor (The George R. Smith Chair in Engineering)
Department: Materials Science and Engineering
Term: July 1, 2001 through June 30, 2006

Name: JAMES C. WILLIAMS
Title: Dean
College: Engineering
Term: July 1, 2001 through June 30, 2004
Present Position: Professor (The Honda Chair for Transportation), Department of Materials Science and Engineering

Reappointment

Name: BRIAN D. JOSEPH
Title: Professor (The Kenneth E. Naylor Professorship)
Department: Slavic & East European Languages & Literatures
Term: October 1, 2001 through September 30, 2006

April 6, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Appointment of Chairpersons of Departments

May 28, 2001 through June 30, 2005

Anatomy and Medical Education

Joel H. Saltz

July 1, 2001 through June 30, 2005

Anthropology

Clark S. Larsen

October 1, 2001 through September 30, 2005

African-American and African Studies

Kenneth W. Goings

Reappointment of Principal Administrative Officials

JOSEPH A. ALUTTO, Dean, The Max M. Fisher College of Business, effective July 1, 2001, through June 30, 2006, pursuant to rule 3335-1-03 (R) of the Administrative Code.

BOBBY D. MOSER, Executive Dean, College of Food, Agricultural, and Environmental Sciences, effective July 1, 2001, through June 30, 2006, pursuant to rule 3335-1-03 (R) of the Administrative Code.

JOHN O. RIEDL, Coordinating Dean for the Regional Campuses, effective July 1, 2000, through June 30, 2004, pursuant to rule 3335-1-03 (R) of the Administrative Code.

JOHN P. SCHOESSLER, Dean, College of Optometry, effective July 1, 2001, through June 30, 2006, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Extension of Principal Administrative Official

TONY TRIPODI, Dean, College of Social Work, effective July 1, 2002, through June 30, 2004, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Leave of Absence Without Salary

JUNKO SHIGEMITSU, Professor, Department of Physics, effective Spring Quarter 2001, to work at the Center for Computational Physics at the University of Tsukuba in Japan.

Leave of Absence Without Salary – Continuation

AUDREY A. JAFFE, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 2001, for personal reasons.

Professional Improvement Leaves

KEVIN R. COX, Professor, Department of Geography, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2001.

IRENE I. DELIC, Professor, Department of Slavic and East European Languages and Literatures, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

DAVID A. GOLDBERGER, Professor (The Isadore and Ida Topper Professorship in Law), College of Law, effective Spring Semester 2001.

April 6, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

RONALD L. JACOBS, Professor, School of Physical Activity and Educational Services, effective Winter Quarter and Spring Quarter 2002.

BRIAN D. JOSEPH, Professor (The Kenneth E. Naylor Professorship), Departments of Linguistics and Slavic & East European Languages & Literatures, effective Winter Quarter and Spring Quarter 2002.

JAMES C. KAUFMAN, Professor, Department of Industrial, Interior, and Visual Communication Design, effective Winter Quarter 2002.

JAMES MORGANSTERN, Professor, Department of History of Art, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

STEPHEN L. PENTAK, Professor, Department of Art, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

BORIS G. PITTEL, Professor, Department of Mathematics, effective Winter Quarter, Spring Quarter, and Autumn Quarter 2002.

KARLIS RACEVSKIS, Professor, Department of French and Italian, effective Winter Quarter and Spring Quarter 2002.

DUANE W. ROLLER, Professor, Department of Greek and Latin, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

WILLIAM W. BATSTONE, Associate Professor, Department of Greek and Latin, effective Autumn Quarter 2001.

CARMEL E. BUCKLEY, Associate Professor, Department of Art, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

STEVEN CONN, Associate Professor, Department of History, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

STRATOS E. CONSTANTINIDIS, Associate Professor, Department of Theatre, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

KAREN S. ELIOT, Associate Professor, Department of Dance, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

LISA C. FLORMAN, Associate Professor, Department of History of Art, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

HEIKE S. GOELLER, Associate Professor, Department of Industrial, Interior, and Visual Communication Design, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

BRUCE A. HEIDEN, Associate Professor, Department of Greek and Latin, effective Spring Quarter 2002.

DAVID L. HOFFMANN, Associate Professor, Department of History, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

DONALD C. HUBIN, Associate Professor, Department of Philosophy, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

April 6, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

KEITH JOHNSON, Associate Professor, Department of Linguistics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

PETER O. KING, Associate Professor, Department of Philosophy, effective Autumn Quarter 2001 and Winter Quarter 2002.

MIDORI KITAGAWA, Associate Professor, Department of Art, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

WAYNE J. REDENBARGER, Associate Professor, Department of Spanish and Portuguese, effective Autumn Quarter 2001.

LUKE A. WILSON, Associate Professor, Department of English, effective Winter Quarter and Spring Quarter 2002.

MICHAEL J. ZWETTLER, Associate Professor, Department of Near Eastern Languages and Cultures, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

Emeritus Titles

RAYMOND H. DOMINICK III, Department of History (Mansfield Campus), with the title Professor Emeritus, effective April 1, 2001.

THOMAS A. MCCAIN, School of Journalism and Communication, with the title Professor Emeritus, effective April 1, 2001.

WILLIAM J. STUDER, University Libraries, with the title Professor Emeritus, effective April 1, 2001.

RAFAEL L. CORTADA, School of Educational Policy and Leadership (Newark Campus), with the title Associate Professor Emeritus, effective April 1, 2001.

SHEILA E. HODGSON, Department of Radiology, with the title Associate Professor Emeritus, effective April 1, 2001.

CARL R. INGLING, JR., Department of Evolution, Ecology, and Organismal Biology, with the title Associate Professor Emeritus, effective April 1, 2001.

LEONARD K. EBEL, College of Dentistry (Periodontology), with the title Clinical Associate Professor Emeritus, effective July 1, 2001.

JAMES E. BRADLEY, Department of Geological Sciences (Newark Campus), with the title Assistant Professor Emeritus, effective July 1, 2001.

BONNY W. CHIRAYATH, Ohio State University Extension, with the title Assistant Professor Emeritus, effective April 1, 2001.

Medical Staff Appointments (The Ohio State University Medical Center)

February 2001

Guillermo E. Chacon, D.D.S., Dentistry, Attending, 10/03/2000 - 06/30/2001

Vani Duvuuri, M.B.B.S., Internal Medicine, Fellowship 1st Year, 02/14/2001 - 06/30/2001

Hosam Farouk El Sayed, M.D., Surgery, PGY1 Limited, 01/05/2001 - 06/30/2001

Charles P. Pollak, M.D., Neurology, Attending, 01/11/2001 - 06/30/2002

April 6, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

February 2001 (contd)

Gregory T. Schulte, M.D., Anesthesiology, Attending, 02/14/2001 - 06/30/2002
Paul Sresthadatta, D.O., Surgery, PGY4 Limited, 02/14/2001 - 03/01/2001
Valerie A. Zernich, D.O., Obstetrics and Gynecology, PGY4 Limited, 01/18/2001 - 04/30/2001
Lara M. Zibners, M.D., Emergency Medicine, PGY4 Limited, 2/14/2001 - 03/31/2001

Request for Additional/Special Privileges (The Ohio State University Medical Center)

February 2001

Majorie Arca, M.D., Pediatric Surgery, Advanced Laparoscopic & Thoracoscopic, 02/14/2001 - 06/30/2001
David Castellano, MD, Ophthalmology, Excimer Laser, 02/14/2001 - 06/30/2001
Gregory Schulte, M.D., Anesthesiology, Pain Management, 02/14/2001 - 06/30/2001
Edward Martin, Jr., M.D., Surgical Oncology, Conscious Sedation, 02/14/2001 - 06/30/2001

Medical Staff Appointments (The Ohio State University Hospitals East)

January 2001

Scott A. Clark, M.D., Family Practice, MMT, Active, 01/29/2001 - 06/30/2001
Michael R. Gigax, M.D., Surgery, Surgery, PGY2, 01/29/2001 - 06/30/2001
Michael C. Gong, M.D., Ph.D., Surgery, Surgery, Active, 01/29/2001 - 06/30/2001
Ginger T. Guinther, C.R.N.A., Anesthesiology, Anesthesiology, Allied Health, 01/29/2001 - 06/30/2001
George L. Harris, Jr., M.D., Family Practice, Family Practice, PGY1, 01/29/2001 - 06/30/2001
Loree K. Kalliainen, M.D., Surgery, Plastic & Reconst., Active, 01/29/2001 - 06/30/2001
Peter Macdonald, M.D., Family Practice, Family Practice, Active, 01/29/2001 - 06/30/2002
Thomas E. McEldowney, D.O., Family Practice, MMT, Active, 01/29/2001 - 06/30/2002
Timothy J. Walter, M.D., Internal Medicine, Neurology, Consulting, 01/29/2001 - 06/30/2002
Robert A. Waterman, P.A., Musculoskeletal Dis., Musculoskeletal Dis., Allied Health, 01/29/2001 - 06/30/2002
Chris M. Wood, D.O., Family Practice, MMT, Active, 01/29/2001 - 06/30/2002

Request for Additional/Special Privileges (The Ohio State University Hospitals East)

January 2001

William Bennett, M.D., Radiology, Moderate Sedation
James Bova, D.O., Radiology, Moderate Sedation
Michael G. Johanson, Anesthesia, Moderate Sedation
Mona Halim-Armanios, M.D., Anesthesia, Moderate Sedation
Michael B. Howie, M.D., Anesthesia, Moderate Sedation
Loree Kalliainen, M.D., Surgery, Complex hand surgery - Microsurgery
Hooman Khabiri, M.D., Anesthesia, Moderate Sedation
Luis A. Lopez, M.D., Anesthesia, Moderate Sedation
Nestor Narcelles, M.D., Anesthesia, Moderate Sedation
James Otworth, M.D., Anesthesia, Moderate Sedation
Thomas Reilley, D.O., Anesthesia, Moderate Sedation
Gautam Samadder, Internal Medicine, Pulmonary
Andreas Schuster, M.D., Anesthesia, Moderate Sedation
Robert Small, M.D., Anesthesia, Moderate Sedation
Gaylynn Speas, M.D., Anesthesia, Moderate Sedation
Kuldeep Vaswani, M.D., Radiology, Moderate Sedation

April 6, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Request for Additional/Special Privileges (The Ohio State University Hospitals East) (contd)

January 2001 (contd)

Kenneth Vitellas, M.D., Radiology, Moderate Sedation
Howard Werman, M.D., Emergency Medicine, Moderate Sedation
Yun Xia, M.D., Anesthesia, Moderate Sedation

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

February/March 2001

Initial Appointments – Faculty

Miriam Emile, M.D., Internal Medicine, Hematology/Oncology, Associate Attending
Michael C. Gong, M.D., Surgery, Urology, Associate Attending
Michael R. Grever, M.D., Internal Medicine, Hematology/Oncology, Attending
Erick Rath, D.D.S., Ph.D., Dentistry, Oral and Maxillofacial Surgery, Associate Attending

Initial Appointments – Community

Howard Fischbach, III, M.D., Anesthesiology
Robert Gewirtz, M.D., Neurosurgery*
Ali Sajjadian, M.D., Otolaryngology
Ferdinand Santos, M.D., Anesthesiology

* GammaKnife privileges pending pursuant to compliance with training provisions of GammaKnife policies and procedures.

Provisional to Full Appointment – Faculty

Marjorie Arca, M.D., Associate Attending, Pediatric Surgery
Michael Bissell, M.D., Associate Attending, Pathology
David Colombo, M.D., Associate Attending, Obstetrics and Gynecology
Shella Farooki, M.D., Associate Attending, Radiology
James Laffey, M.D., Associate Attending, Radiology
Omar Mobin-Uddin, M.D., Associate Attending, Ophthalmology
Arthur Molina, M.D., Attending, Internal Medicine, Hematology/Oncology
Jose Norberto, M.D., Associate Attending, Surgery
Manju Prasad, M.D., Associate Attending, Pathology
Meade van Putten, D.D.S. Associate Attending, Dentistry
Daniel Sinclair, M.D., Associate Attending, Radiology
John Winston, III, M.D., Associate Attending, General Surgery
Yun Xia, M.D., Associate Attending, Anesthesiology

Provisional to Full Appointments – Advanced Practice Nurses

Theresa Craig, C.R.N.A.
Pauline King, R.N., C.N.S.

Change in Medical Staff Category

Avrom Epstein, Neurology, from Associate Attending to Clinical Attending

April 6, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

February/March 2001 (contd)

Honorary Medical Staff Appointment

Donald Coffey, Ph.D., Johns Hopkins

RESOLUTIONS IN MEMORIAM

Resolution No. 2001-116

Synopsis: Approval of Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Daniel L. Leussing

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on December 6, 2000, of Daniel L. Leussing, Professor Emeritus in the Department of Chemistry.

Daniel Leussing was a native Ohioan, born and raised in Cincinnati. He was a graduate of the Universities of Cincinnati, Illinois, and Minnesota, earning B.S., M.S., and Ph.D. degrees from them respectively. He taught at the Massachusetts Institute of Technology and the University of Wisconsin before moving to Washington, D.C., with an appointment at the National Bureau of Standards. In 1962, Professor Leussing joined the faculty of the Department of Chemistry at The Ohio State University and retired with the rank of full professor in 1994 at the age of 70, but continued his research activities.

Following graduate studies in analytical chemistry with I. M. Kolthoff at the University of Minnesota, Dr. Leussing began his independent research efforts with a series of studies detailing the speciation of inorganic complexes in solution. His interests in the detailed characterization of thermodynamic and kinetic properties of complexes inevitably led him into more detailed investigations of their mechanisms of formation and chemistry. For these studies, he made good use of his analytical training with a series of novel applications of analytical techniques to execute experiments that revealed new aspects of metal ion reactivity in quantitative detail.

In 1963, Daniel Leussing entered the fledgling area of bioinorganic chemistry. Up until this time, studies in that field had focused primarily on the use of spectroscopic methods to evaluate the coordination state of metal ions with biologically relevant ligand sets. By contrast, he set about the arguably more important task of detailing their chemical reactivities. Early on he developed an interest in a family of reactions pertaining to pyruvate metabolism, which underlies the biological formation of chemical energy.

Professor Leussing gained international recognition as a pioneer of the early development of the field of bioinorganic chemistry. He also garnered wide recognition and respect for the thorough and careful manner with which his work was performed, which combined creative and insightful experiments to yield important results that provided benchmarks in the field for many years.

He also was a principal player in studies of vitamin chemistry and made major contributions to our understanding of the chemistry of vitamin B6, and of B6-mediated reactions. Pyruvate-enolization chemistry and vitamin B6 mediated transamination reactions were two themes that Professor Leussing continued throughout his research career. These provided a springboard for evaluation of novel hypotheses of reaction mechanism (in the 1960s - 1980s), technique

RESOLUTIONS IN MEMORIAM (contd)

Daniel L. Leussing (contd)

development (in the 1970s and 1980s), and kinetic theory and modeling (in the 1980s and 1990s). Primary among these activities were: his detailed mechanistic investigations of metal catalyzed enolization reactions, application of Marcus theory to understanding atom transfer reactions, and early contributions to practical computer modeling of complex reaction pathways.

The large majority of Professor Leussing's papers were published in the *Journal of the American Chemical Society*, the preeminent journal for publication of scholarly work in the field of chemical science. Unusual for an inorganic kineticist, his work was based on sophisticated physical models that required the bridging of very distinctive areas of chemical research. In this vein, his last published manuscript concerned the novel application of Marcus theory to catalysis by labile metal ions.

Daniel Leussing was a regular attendee at the most important international conferences in the field. He was an invited speaker at the first International Conference on Bioinorganic Chemistry in 1983, and gave plenary lectures at mechanisms and coordination chemistry conferences during his career. He made many friends at these meetings, a reflection of his very open and good-humored personality that invited and welcomed discussion on a myriad of topics, both scientific and otherwise. Interest in enology reflected a love of fine wines and good food.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Daniel L. Leussing its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Mildred B. Munday

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on February 4, 2001, of Mildred Brand Munday, Associate Professor Emeritus in the Department of English.

Professor Munday was born in Virginia and was a graduate of Randolph-Macon Women's College (B.A. degree), Smith College (M.A. degree), and the University of Wisconsin (Ph.D. degree). During World War II, she served in the Army, attaining the rank of captain.

She joined the faculty of the English department in 1967, and from then until her retirement taught courses in the English Renaissance (Shakespeare, especially), The English Bible, and introductory and advanced composition. But she was most famous for English 592: Women and Literature, one of the first women's studies courses at The Ohio State University, which Mildred Munday invented and then taught creatively for fifteen years.

At a time when it was by no means fashionable to do so, Professor Munday worked tirelessly for the advancement of women at OSU, both in their lives as students, faculty, and staff, and as they were studied and represented in the curriculum. She convened the first meeting of the Ad Hoc Committee on Women's Studies in 1972, which issued a set of recommendations that eventuated in what is now the Department of Women's Studies. She remained an active faculty adviser to the new program and worked on women's issues on campus even after her retirement in 1985.

A dedicated scholar and teacher, a profound lover of language and history, Dr. Munday was genteel in manner but fierce in her commitment to social justice. She did not compromise in that commitment, and was an inspiration and a role model throughout her career. She was one of the pioneers for women at OSU, and she will be greatly missed.

On behalf of the University community, the Board of Trustees expresses to the friends and former students of Professor Mildred Munday its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees.

April 6, 2001 meeting, Board of Trustees

RESOLUTIONS IN MEMORIAM (contd)

Wayne B. Parrish

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 22, 2001, of Dr. Wayne B. Parrish, Associate Professor Emeritus in the Department of Molecular Genetics.

Dr. Parrish was born in Fairfield County, Ohio, in 1920. He served in the Pacific Theater with the U.S. Army First Cavalry Division during World War II, and received an honorable discharge in 1945. He then earned a B.S. degree in 1948, an M.S. degree in 1955, and a Ph.D. degree in 1958, all from The Ohio State University.

Wayne Parrish worked as a research biologist in cancer studies at Miami Valley Hospital, Dayton, Ohio, from 1959 until 1961. In 1962, he was appointed assistant professor at The Ohio State University in the Department of Zoology and Entomology, now the Department of Evolution, Ecology, and Organismal Biology, and served as director of the Electron Microscopy Laboratory from 1962 to 1965.

Professor Parrish had a strong interest in cancer and participated in a number of research projects in this area. Following his retirement, he became very interested in using selenium-containing compounds as anti-cancer agents and pursued this research in the Department of Molecular Genetics where he personally synthesized and received a patent for one compound that was found to be useful in animal studies. Because of his expertise with electron microscopy and his association with entomologists in the Department of Zoology and Entomology, Dr. Parrish participated in a number of research projects concerned with the ultrastructure of invertebrates and the use of viruses for the biological control of insect pests. His final contribution to research was the establishment of a fund at The Columbus Foundation to support the study of a genetic disease known as alpha-1-antitrypsin deficiency.

Professor Parrish was particularly known for his love of teaching and his service to students. His primary interest was the teaching of cell biology, which he taught for 26 years, initially in the Department of Zoology and Entomology, and later in the Department of Molecular Genetics. He also taught introductory zoology, introductory biology, and histology. He received high approval ratings from his students and twice was ranked by them among the top ten teachers in the Arts and Sciences. He served as coordinating advisor for more than 800 students and was unusually conscientious about preparing letters of recommendation for them.

Dr. Parrish's interests outside of the University were as varied as his academic interests. As a youth, he prospected for precious metals in the western U.S. and he continued to have an interest in the discovery and mining of these metals, especially in North America and Australia, until his death. He was brought up in a farming family and continued to maintain his own farm. In the later years of his life he became actively interested in the archaeology of Ohio Indian cultures.

Wayne Parrish was a man with a very creative mind, a contagious excitement about everything that he did, a great love of working with students, and an abundant concern for their welfare.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Wayne B. Parrish its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

April 6, 2001 meeting, Board of Trustees

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-117

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for February 2001 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of February 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-118

Synopsis: The report on the receipt of gifts and the summary for February 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The George R. Smith Chair in Engineering, The Dr. Benjamin R. and Helen Slack Wiltberger Memorial Chair in Orthopaedic Surgery, The C. John Easton Professorship in Engineering, and The D. Warren Brown Designated Professorship in Leukemia Research; and

WHEREAS this report includes the establishment of nineteen (19) new named endowed funds and the amendment of one (1) named endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of February 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through February
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars <u>July through February</u>		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Individuals:			
Alumni (Current Giving)	\$20,135,245	\$24,042,701	19
Alumni (From Bequests)	<u>4,603,843</u>	<u>5,451,535</u>	18
Alumni Total	\$24,739,088	\$29,494,236	19
Non-Alumni (Current Giving)	\$14,189,790	\$18,496,684	30
Non-Alumni (From Bequests)	<u>4,158,834</u>	<u>9,563,277</u>	130
Non-Alumni Total	\$18,348,543	\$28,059,961	53
Individual Total	\$43,087,631	\$57,554,197	34 ^A
Corporations/Corporate Foundations	\$24,607,996	\$26,871,270	9 ^B
Private Foundations	\$9,427,260	\$11,443,225	21 ^C
Associations and Other Organizations	<u>3,432,835</u>	<u>\$2,874,406</u>	<u>(16)^D</u>
Total	\$80,555,722	\$98,743,098	23

NOTES

- A Individual giving is up 34% largely due to the fact that gifts of \$10,000 or more are up (374 gifts for \$29.0 million last year; 446 gifts for \$43.9 million this year).
- B Corporate giving is up 9%. Last year there were 452 corporate gifts providing private support of \$10,000 or more (\$19.1 million). This year private support at the \$10,000 level is \$21.6 million (465 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first eight months of the fiscal year (\$10.7 million from 141 gifts this year; \$8.6 million from 137 gifts last year).
- D Gifts from associations and other organizations are down 16% since giving at the \$10,000 or more level is \$627,774 less than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-February
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY PURPOSE

	Dollars <u>July through February</u>		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$15,663,751	\$11,358,598	(27)
Faculty Support	\$10,348,788	\$9,662,629	(7)
Program Support	\$35,514,802	\$60,745,923	71
Student Financial Aid	\$12,043,436	\$9,269,786	(23)
Annual Funds-Colleges/Departments	\$5,768,436	\$6,612,284	15
Annual Funds-University Total	<u>\$1,216,509</u>	<u>\$1,093,878</u>	(10)
	\$80,555,722	\$98,743,098	23

GIFT ADDITIONS TO ENDOWMENT

Dollars <u>July through February</u>		
<u>1999-00</u>	<u>2000-01</u>	<u>% Change</u>
\$30,213,039	\$27,175,737	(10)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Ohio State University at Lima Scholars Fund (Provide scholarships of up to \$1,800 each annually, to assist undergraduate students who are attending The Ohio State University at Lima; provided by funds raised through gifts made to the Ohio State Lima Capital Campaign)		\$200,000.00	\$200,000.00
The Center for Law, Policy, and Social Science Fund (Support the operating needs of the Center as determined by the dean of the College of Law; provided by a gift from alumni and friends of the College of Law)		\$75,000.00	\$75,000.00
The Vernon G. and Jane S. Ward Aeronautical Research Fund (Support research in aeronautical and/or astronautical engineering within the Department of Aerospace Engineering and Aviation; provided by gifts from the remainder interest in a pooled income gift donated November 30, 1983, by the late Vernon G. Ward – grandfathered)	\$8,733.81		\$8,733.81
The Vernon G. and Jane S. Ward Horticulture Fund (Support horticulture research, extension and teaching programs in the Department of Horticulture and Crop Science; provided by gifts from the remainder interest in a pooled income gift donated November 30, 1983, by the late Vernon G. Ward – grandfathered)	\$6,247.00		\$6,247.00

Change in Name of Named Endowed Fund

From: Harry and Esther Sonkin Family Scholarship for International Understanding and Peace

To: Harry and Esther Sonkin, Carol Bergman, Jeri Sonkin Wasserman and Glenn R. Wasserman Families Scholarship for International Understanding and Peace

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Chairs</u>			
The George R. Smith Chair in Engineering (Provide support for a distinguished senior faculty position in the College of Engineering; provided by a gift from the estate of George R. Smith)	\$1,500,125.00		\$1,500,125.00
The Dr. Benjamin R. and Helen Slack Wiltberger Memorial Chair in Orthopaedic Surgery (Provide for a chair position to exclusively support the advancement of medical knowledge in the field of orthopaedic surgery; provided by gifts from the estate of Helen Slack Wiltberger, made in memory of her late husband, Benjamin R. Wiltberger, M.D. - grandfathered)	\$1,300,000.00		\$1,300,000.00
<u>Establishment of Named Endowed Professorship</u>			
The C. John Easton Professorship in Engineering (Fund the C. John Easton Professorship in Engineering; provided by sales proceeds from property given to The Ohio State University Foundation by Sensotec Inc. of Columbus, Ohio, and with additional gift funds from the College of Engineering)	\$588,552.93		\$558,552.92
<u>Establishment of Named Designated Professorship</u>			
The D. Warren Brown Designated Professorship in Leukemia Research (Provide salary and research support of a professorship position in the field of leukemia research within the College of Medicine and Public Health, and the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University; provided by a grant of \$700,000 distributed over a five-year period from the Warren Brown Family Foundation)	\$100,000.00		\$100,000.00
<u>Establishment of Named Endowed Funds</u>			
The Michael Oliver Garraway Memorial Scholarship Endowment Fund (Support one-half (1/2) cost of education scholarships for students enrolled full time in the College of Food, Agricultural, and Environmental Sciences, or enrolled full time in University College with the intent to enter that college; provided by gifts from Annie Marie Garraway and the estate of Michael Oliver Garraway)	\$137,500.00		\$137,500.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Jeanne Lambert Research and Education Fund in Nanotechnology (Support the advancement of medical knowledge in the field of nanotechnology for both basic and clinical research; provided by gifts from Bill G. Lambert and Shelia Lambert)		\$125,000.00	\$125,000.00
The Alfred J. Weisbrod Family Scholarship Fund (Provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the men's baseball team; provided by gifts from Alfred J. Weisbrod and family)	\$103,755.27	\$103,755.27	
The Dr. Harrison D. and Jeanette L. Fortney Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a student athlete who is a member of the varsity football team pursuing an undergraduate degree at The Ohio State University; provided by gifts from Dr. Harrison D. and Jeanette L. Fortney)		\$100,000.00	\$100,000.00
The Bernice Grimm Student Research Endowment Fund (Award stipends for veterinary students who are interested in veterinary research careers that benefit the health and welfare of animals; provided by gifts from the estate of Bernice Grimm)		\$80,000.00	\$80,000.00
The O'Connell Family Scholarship/Awards Endowment Fund (Fund one or more undergraduate scholarships for academically and/or financially deserving undergraduate computer and information science majors; provided by a gift from Christina M. O'Connell and Conleth S. O'Connell, Jr.)		\$50,000.00	\$50,000.00
The George R. Smith Fund (Commemorate George R. Smith and his desire to advance the quality of teaching and research within the University's College of Engineering; provided by gifts from the estate of George R. Smith)	\$40,575.00	\$40,575.00	
The Paul A. Weber Fellowship Fund in Ophthalmology (Provide research fellowships to graduate students in ophthalmology; provided by gifts from family members, friends, and colleagues and made in honor of the distinguished work and career of Paul A. Weber, M.D.)		\$34,195.00	\$34,195.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The James R. Thomas Innovation Fund (Promote the activities of faculty and students in teaching, research, and service of Fisher College; provided by gifts from James R. Thomas)	\$31,250.38	\$31,250.38	
The Griffith Family Scholarship Endowment Fund (Support students who are enrolled in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from William David, Phyllis Ann, Thomas Edward, and William Douglas Griffith)	\$28,006.28	\$28,006.28	
The Pendergast Global Leadership Endowed Fund (Provide grants to students, both international and American, who work in leadership roles to support and promote international education and understanding; provided by gifts from Margaret "Peg" Pendergast, family and friends)	\$27,033.00	\$27,033.00	
The Clarence A. MacDonald Athletic Scholarship Fund (Provide scholarship cost of a student athlete who is a member of the football team pursuing an undergraduate degree at The Ohio State University; provided by gifts from daughter Jean M. Maynard)		\$25,000.00	\$25,000.00
The O'Connell Family Staff Development Endowment Fund (Benefit computer and information science staff development; provided by a gifts from Christina M. O'Connell and Conleth S. O'Connell, Jr.)		\$25,000.00	\$25,000.00
The Gale E. Roshon Family Scholarship Fund (Supplement the grant-in-aid scholarship costs of student-athletes who are pursuing undergraduate degrees at The Ohio State University; provided by gifts from Gale E. Roshon)	\$25,000.00	\$25,000.00	
The Pamela and Dr. G. Scott Drew Endowed Scholarship Fund (Provide scholarships for undergraduate or graduate students at The Ohio State University at Marion; provided by a gift from Pamela and Dr. G. Scott Drew – grandfathered)		\$15,000.00	\$15,000.00
Total	\$14,980.81	\$4,610,992.86	\$4,625,973.67

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Ohio State University at Lima Scholars Fund

The Ohio State University at Lima Scholars Fund was established April 6, 2001, by the Board of Trustees of The Ohio State University with funds raised through gifts made to the Ohio State Lima Capital Campaign.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from principal shall be used for scholarships of up to \$1,800 each annually to assist undergraduate students who are attending The Ohio State University at Lima. Selection of the recipient(s) will be made by the Ohio State Lima Office of Financial Aid in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees with preference being given to recommendations from the appropriate administrative official of the University who is then directly responsible for The Ohio State University at Lima.

\$200,000.00

The Center for Law, Policy, and Social Science Fund

The Center for Law, Policy, and Social Science Fund was established April 6, 2001, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University from alumni and friends of the College of Law.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the operating needs of the Center as determined by the dean of the College of Law.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$75,000.00

The Vernon G. and Jane S. Ward Aeronautical Research Fund

The Vernon G. and Jane S. Ward Aeronautical Research Fund was established April 6, 2001, by the Board of Trustees of The Ohio State University with funds received from the remainder interest in a pooled income gift donated November 30, 1983, by the late Vernon G. Ward, retired Department of Aeronautical and Astronautical Engineering staff member and former resident of Worthington, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Vernon G. and Jane S. Ward Aeronautical Research Fund (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

Eighty percent of the annual income shall be used to support research in aeronautical and/or astronautical engineering within the Department of Aerospace Engineering and Aviation. The remaining 20 percent will be reinvested into the principal. Vernon E. Ward made this gift in appreciation for his memorable associations with faculty and staff colleagues during his service with the formerly titled Department of Aeronautical and Astronautical Engineering.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate department chairperson or college dean in order to carry out the desire of the donor.

\$8,733.81 (grandfathered)

The Vernon G. and Jane S. Ward Horticulture Fund

The Vernon G. and Jane S. Ward Horticulture Fund was established April 6, 2001, by the Board of Trustees of The Ohio State University with funds received from the remainder interest in a pooled income gift donated November 30, 1983, by the late Vernon G. Ward, retired Department of Aeronautical and Astronautical Engineering staff member and former resident of Worthington, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support horticulture research, extension, and teaching programs in the Department of Horticulture and Crop Science. The fund shall be administered by the chairperson of the Department of Horticulture and Crop Science.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and the chairperson of the Department of Horticulture and Crop Science or their successor(s) in order to carry out the desire of the donor.

\$6,247.00 (grandfathered)

Change in Name of Named Endowed Fund

Harry and Esther Sonkin, Carol Bergman, Jeri Sonkin Wasserman and Glenn R. Wasserman
Families Scholarship for International Understanding and Peace

The Harry and Carl Sonkin Memorial Award for Peace and International Understanding was established March 2, 1984, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from friends and families of Harry and Carl Sonkin.

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name of Named Endowed Fund (contd)

Harry and Esther Sonkin, Carol Bergman, Jeri Sonkin Wasserman and Glenn R. Wasserman
Families Scholarship for International Understanding and Peace (contd)

The name was changed to the Harry and Carl Sonkin Memorial Award for International Understanding and Peace on September 7, 1984. The fund's name was changed to The Harry and Esther Sonkin Family Scholarship for International Understanding and Peace and the description revised on February 5, 1988. The name of the fund was further revised on April 6, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships for a deserving graduate student(s) for research or study abroad that contributes to the pursuit of international understanding and peace. The graduate student(s) must be enrolled at The Ohio State University in an academic degree program with a focus on international problems. He or she may be in any department or interdisciplinary field. The graduate student(s) must have demonstrated excellence in scholarship and an aptitude for the program of study or research to be pursued under the Sonkin Scholarship. The graduate student(s) must intend to engage in research either at The Ohio State University or outside the United States that demonstrably is concerned with enhancing the understanding of people in different cultures, improving the quality of social justice among nations, or exploring methods for international conflict avoidance or conflict resolution.

Selection of the recipient(s) is to be made by the director of the Office of International Studies in cooperation with the dean of the College of Humanities, the director of the Mershon Center or their representatives, and the University Committee on Student Financial Aid. The criteria for the selection of recipients are subject to review by members of the Sonkin family and the concerned Ohio State University administrators.

Should the need for this fund cease to exist or so diminish as to provide unused income, then the income may be used for any purposes whatsoever as determined by the Board of Trustees with preference being given to recommendations from the appropriate administrative official of the University who is then directly responsible for academic programs in international studies.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chairs

The George R. Smith Chair in Engineering

The George R. Smith Chair in Engineering was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of George R. Smith (B.C.E. 1935).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from this fund will provide support for a distinguished senior faculty position in the College of Engineering. Appointment for each five-year chair term will be recommended by the dean of Engineering and sent to the provost and the president and approved by the Board of

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Chairs (contd)

The George R. Smith Chair in Engineering (contd)

Trustees. Reappointment is possible after assessment of the chairholder's academic and research performance.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$1,500,125.00

The Dr. Benjamin R. and Helen Slack Wiltberger
Memorial Chair in Orthopaedic Surgery

The Dr. Benjamin R. and Helen Slack Wiltberger Memorial Chair in Orthopaedic Surgery was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Helen Slack Wiltberger (B.S.Ed. 1936) of Columbus, OH; and made in her name and that of her late husband, Benjamin R. Wiltberger, M.D. (B.A. 1936; M.D. – with honors, 1940), professor emeritus and orthopaedic surgeon.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall provide for a chair position to exclusively support the advancement of medical knowledge in the field of orthopaedic surgery, and, in particular, orthopaedic surgery of the spine, the same to include both basic and clinical research as well as treatment in the College of Medicine and Public Health. The position shall be held by a nationally eminent orthopaedic surgeon/faculty member as recommended by the chairperson of the Department of Orthopaedics in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, and with those individuals identified in the trust document (attached to original documents) as directed by the aforementioned estate. The activities of the endowed chairholder shall be reviewed no less than every five years by the senior vice president and dean to determine compliance with the intent of the donor as well as the academic and research standards of the University.

The research activities of the chairholder may also be supported, to include research personnel, equipment, supplies, and other necessary expenses of an active researcher involved in quality medical research. After the aforementioned allocation of funds, any unused portion may be utilized at the discretion of the chairperson of the Department of Orthopaedics for advancements in the field of orthopaedics in consultation as stated above.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of Orthopaedics as well as the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$1,300,000.00 (grandfathered)

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Professorship

The C. John Easton Professorship in Engineering

The C. John Easton Professorship in Engineering was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with sales proceeds from property given to The Ohio State University Foundation by Sensotec Inc. of Columbus, Ohio, and with additional gift funds from the College of Engineering.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

It is the intent of the College of Engineering through this permanent fund to recognize C. John Easton for his professional accomplishments and to honor engineering faculty who couple innovative engineering with business acumen as Mr. Easton has. The annual income from this endowment will fund The C. John Easton Professorship in Engineering, an honor awarded each five years to recognize and support entrepreneurial leadership within the College of Engineering's faculty. Professorship selection will be based upon innovative research, pedagogy and/or curricular development. Appointment for each five-year term will be recommended by the dean of Engineering and sent to the provost and the president and approved by the Board of Trustees. Holders of the professorship may be considered for renewal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$588,552.93

Establishment of Named Designated Professorship

The D. Warren Brown Designated Professorship in Leukemia Research

The D. Warren Brown Designated Professorship in Leukemia Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established April 6, 2001, in accordance with guidelines established by the Board of Directors of The Ohio State University Foundation. This professorship is in memory of Warren Brown of Marion, Ohio, and is being established with a grant of \$700,000 distributed over a five-year period from the Warren Brown Family Foundation of Marion, Ohio, with the first annual gift having been received.

The annual gift shall provide salary and research support of a professorship position in the field of leukemia research within the College of Medicine and Public Health, and the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University. The position shall be held by a nationally eminent faculty member as recommended by the director of the James Cancer Hospital and Solove Research Institute, and the director of the Comprehensive Cancer Center to the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The activities of the designated professor shall be reviewed no less than every five years by the directors and the dean to determine compliance with the intended purpose of the position as well as the academic and research standards of the University.

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Designated Professorship (contd)

The D. Warren Brown Designated Professorship in Leukemia Research (contd)

Salary and research support of the designated professor shall include, but not be limited to, direct salary, research personnel, equipment, supplies and other necessary expenses of an active scientist involved in quality medical research in the field of leukemia.

The designated professorship may be renewed at the prevailing amount and term at the time of renewal.

\$100,000.00

Establishment of Named Endowed Funds

The Michael Oliver Garraway Memorial Scholarship Endowment Fund

The Michael Oliver Garraway Memorial Scholarship Endowment Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from his wife, Annie Marie Garraway, and the estate of Michael Oliver Garraway, professor emeritus in the Department of Plant Pathology.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one-half (1/2) of the cost of education scholarships for students enrolled full time in the College of Food, Agricultural, and Environmental Sciences, or enrolled full time in University College with the intent to enter that college, with a preference for students native of Dominica or the West Indies. The scholarship may be renewable for a total of 12 University quarter periods. While both undergraduate and graduate students may be considered for awards, the income shall not be used to provide graduate student stipends. Two students can be supported simultaneously.

Preference is for first-generation college students. If no Dominica student is identified, the second preference is for students from islands comprising the Organization of Eastern Caribbean States including Anguilla, Antigua and Barbuda, British Virgin Islands, Grenada, Montserrat, St. Kitts and Nevis, St. Lucia, St. Vincent, and the Grenadines. If no students from those islands apply or qualify, third preference is for students from Bahamas, Barbados, Jamaica, Trinidad, and Tobago.

If no suitable applicant from Dominica or another specified island is identified in an award year, then the award may be made to the College's Caribbean Study Abroad Program, on the approval of the donor's representative.

Student recipients should maintain an approximate "B" grade point average. Expectations for the student recipient's integrity, dependability, and consideration for others, cooperation with fellow students, with teachers, and the community will be stated in their letter of notification from the College.

The use of the annual income shall be directed by the Garraway Scholarship Committee directed by the College of Food, Agricultural, and Environmental Sciences or their designee in consultation with the University Committee on Student Financial Aid with representation from the faculty and the Garraway family if desired.

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Michael Oliver Garraway Memorial Scholarship Endowment Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration or their successor, in order to carry out the desire of the donor.

\$137,500.00

The Jeanne Lambert Research and Education Fund in Nanotechnology

The Jeanne Lambert Research and Education Fund in Nanotechnology was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of The Ohio State University College of Medicine and Public Health, from Bill G. Lambert (B.S.Bus.Adm. 1968) and Shelia Lambert, New York, New York.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the advancement of medical knowledge in the field of nanotechnology for both basic and clinical research towards combating some of the most widespread and deadly diseases including diabetes, cancer, and heart disease. Specifically, these funds will support medical research activities in nanotechnology and may include research personnel, equipment, supplies and/or other necessary expenses of active researchers in the academic medical center. Distribution will be made at the recommendation of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, in consultation with the associate dean for research in the College of Medicine and Public Health and director of the Department of Biomedical Engineering. Any unused income shall be added to principal.

It is the desire of the donor(s) that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the donor or his heirs, the senior vice president for Health Sciences and the dean of the College of Medicine and Public Health in order to carry out the desire of the donor(s).

\$125,000.00

The Alfred J. Weisbrod Family Scholarship Fund

The Alfred J. Weisbrod Family Scholarship Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Alfred J. Weisbrod (B.S.Ed. 1965) and family of Dayton, Ohio. This scholarship was established by the Department of Athletics.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the men's baseball team. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Alfred J. Weisbrod Family Scholarship Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$103,755.27

The Dr. Harrison D. and Jeanette L. Fortney Athletic Scholarship Fund

The Dr. Harrison D. and Jeanette L. Fortney Athletic Scholarship Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Harrison D. (D.D.S. 1965) and Jeanette L. Fortney of San Diego, California.

The scholarship is being given in honor of Dr. Fortney's parents Harrison and Vaughn Fortney and former coaches Woody Hayes and Lyal Clark.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a student athlete who is a member of the varsity football team pursuing an undergraduate degree at The Ohio State University. The first preference for the recipient of this award is a football player majoring in pre-dentistry. The second preference is a football player playing in the defensive secondary. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$100,000.00

The Bernice Grimm Student Research Endowment Fund

The Bernice Grimm Student Research Endowment Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Bernice Grimm, Akron, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to award stipends for veterinary students who are interested in veterinary research careers that benefit the health and welfare of animals. Students must be enrolled in a summer research project at the College of Veterinary Medicine. Student applicants will be selected and assigned to a research advisor according to established rules of the college research office. Awards will be made in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Bernice Grimm Student Research Endowment Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$80,000.00

The O'Connell Family Scholarship/Awards Endowment Fund

The O'Connell Family Scholarship/Awards Endowment Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Christina M. O'Connell and Conleth S. O'Connell, Jr. (M.S. Computer and Information Science, 1986, Ph.D. Computer and Information Science, 1990) of Austin, Texas.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

A portion of the annual income shall be used to fund one or more undergraduate scholarships for academically and/or financially deserving undergraduate computer and information science majors. Selection will be made by the Department of Computer and Information Science chairperson in cooperation with the University Committee on Student Financial Aid.

A second portion of the earnings will support annually three separate awards for outstanding teachings, research and service by Computer and Information Science faculty, students and staff. The department chairperson will oversee the award selection process. Any remaining income may be reinvested into the principal or held for use in subsequent years. The chairperson may consult with the donors to discuss these options.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate department chairperson in order to carry out the desire of the donors.

\$50,000.00

The George R. Smith Fund

The George R. Smith Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of George R. Smith (B.C.E. 1935).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to commemorate George R. Smith and his desire to advance the quality of teaching and research within the University's College of Engineering. The dean of the College of Engineering will be responsible for allocating these discretionary earnings in ways to enhance the College.

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The George R. Smith Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of Engineering in order to carry out the desire of the donor.

\$40,575.00

The Paul A. Weber Fellowship Fund in Ophthalmology

The Paul A. Weber Fellowship Fund in Ophthalmology was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with gifts from family members, friends and colleagues and made in honor of the distinguished work and career of Paul A. Weber, M.D. (professor and past chairperson, Department of Ophthalmology) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide research fellowships to graduate students in Ophthalmology. The Paul A. Weber Fellowship Award in Ophthalmology may provide a stipend for one or more medical students or residents to undertake both basic and clinical research on diseases and treatment of the eye. The income may also support scientific supplies, equipment, salaries for research personnel and other related activities required for their medical research. Selection and administration of the fellowship shall be made at the recommendation of the chairperson of the Department of Ophthalmology in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of Ophthalmology and with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$34,195.00

The James R. Thomas Innovation Fund

The James R. Thomas Innovation Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James R. Thomas (B.S.Bus.Adm. cum laude 1950).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of Fisher College to promote the activities of faculty and students in teaching, research and service.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The James R. Thomas Innovation Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$31,250.38

The Griffith Family Scholarship Endowment Fund

The Griffith Family Scholarship Endowment Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from William David (B.S.Agr. 1961), Phyllis Ann (B.S. – Medical Technology, 1962), Thomas Edward (B.S.Agr. 1991), and William Douglas Griffith (B.S. – Agronomy, 1988), of Ada, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support students who are enrolled in the College of Food, Agricultural, and Environmental Sciences, including incoming freshman. First preference shall go to a student from Hardin County; second preference shall go to any student in the College who resides in any county adjacent to Hardin County. Selection will be based on scholastic achievement, leadership and community service. Recipients shall be selected by the Hardin County Alumni Association in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration, or their successor(s), or an appropriate administrative officer, or their successor, in order to carry out the desire of the donors.

\$28,006.28

The Pendergast Global Leadership Endowed Fund

The Pendergast Global Leadership Endowed Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Margaret "Peg" Pendergast, family, and friends. Peg Pendergast and her late husband Web Pendergast served as community volunteers for decades in the Office of International Studies to promote international education and understanding.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide grants to students, both international and American, who work in leadership roles to support and promote international education and understanding. Awards will be made in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Pendergast Global Leadership Endowed Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the Office of International Studies in order to carry out the desire of the donor.

\$27,033.00

The Clarence A. MacDonald Athletic Scholarship Fund

The Clarence A. MacDonald Athletic Scholarship Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from daughter Jean M. Maynard (B.S. – Human Ecology 1946) of Short Hills, New Jersey.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide the scholarship cost of a student athlete who is a member of the football team pursuing an undergraduate degree at The Ohio State University. The recipient shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

The O'Connell Family Staff Development Endowment Fund

The O'Connell Family Staff Development Endowment Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Christina M. O'Connell and Conleth S. O'Connell, Jr. (M.S. Computer and Information Science, 1986, Ph.D. Computer and Information Science, 1990) of Austin, Texas.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by Computer and Information Science's chairperson to benefit staff development. Any remaining funds could be used in a discretionary manner by the chairperson to advance the Department as a whole. The chairperson may consult with the donors to discuss options such as reinvesting into the principal, using the emerging priorities and holding for use in subsequent years.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate department chairperson in order to carry out the desire of the donors.

\$25,000.00

April 6, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Gale E. Roshon Family Scholarship Fund

The Gale E. Roshon Family Scholarship Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Gale E. Roshon (B.I.E. 1949).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of student-athletes who are pursuing undergraduate degrees at The Ohio State University. The first preference will be given to undergraduate student-athletes coming from rural communities and who are pursuing a degree in engineering. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

The Pamela and Dr. G. Scott Drew Endowed Scholarship Fund

The Pamela and Dr. G. Scott Drew Endowed Scholarship Fund was established April 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Pamela and Dr. G. Scott Drew of Marion, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for undergraduate or graduate students at The Ohio State University at Marion. Full scholarships may be given to full-time or part-time students and are renewable. Whenever possible, the donors or their designees would like to meet the annual recipients. Awards will be made in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean/director of The Ohio State University at Marion, in consultation with The Ohio State University Marion Scholarship Committee, in order to carry out the desire of the donors.

\$15,000.00 (grandfathered)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF
CONSTRUCTION MANAGER, REQUEST FOR CONSTRUCTION BIDS,
AND REPORT OF AWARD OF CONTRACTS**

Resolution No. 2001-119

WEXNER CENTER – BUILDING RENOVATIONS
EVANS LAB LECTURE ROOM RENOVATION
RHODES HALL – COMPLETE SHELLED SPACE
MARION CAMPUS – RENOVATIONS
FISHER COLLEGE OF BUSINESS – EXECUTIVE RESIDENCE
LIMA CAMPUS – GALVIN HALL THIRD FLOOR RENOVATION
MRI – CLINICAL FACILITY EXPANSION
UTILITIES STEAM LINE UPGRADE

Synopsis: Authorization to employ a construction manager for the Wexner Center – Building Renovation project, authorization to employ architect/engineering firms and request construction bids for the Marion Campus – Renovations and Evans Lab Lecture Hall Renovation projects, request construction bids for the Rhodes Hall – Complete Shelled Space project, and acceptance of the report of award of contracts for the Fisher College of Business – Executive Residence, Lima Campus – Galvin Hall Third Floor Renovation, MRI – Clinical Facility Expansion, and Utilities Steam Line Upgrade projects are requested.

WHEREAS the University desires to employ a construction manager to assist with the design and construction of the renovations and retrofit of the Wexner Center for the Arts to correct building envelope and system problems, improve public circulation, and enhance security; and

WHEREAS the total estimated project cost is \$10,000,000 and the total estimated construction cost is \$7,000,000, with funding provided by House Bill 640 (\$1,000,000) and University Funds (\$9,000,000); and

WHEREAS the University desires to renovate the lecture hall room 1008 in Evans Lab; and

WHEREAS the total estimated project cost is \$679,530 and the total estimated construction cost is \$449,000, with funding provided by House Bill 640 (\$449,000) and House Bill 790 (\$230,530); and

WHEREAS University Hospitals desires to finish approximately 4,000 square feet of floor area to create several small conference/classrooms adjacent to the Rhodes Hall Staff Dining Room and Rhodes Hall Auditorium; and

WHEREAS the total estimated project cost is \$508,000 and the total estimated construction cost is \$402,008, with funding provided by University Hospitals; and

WHEREAS the University desires to proceed with various renovations at the Marion Campus; and

WHEREAS the total estimated project cost is \$120,626 and the total estimated construction cost is \$76,276, with funding provided by House Bill 640; and

WHEREAS resolutions adopted by the Board of Trustees on February 5, 1999, July 2, 1999, and December 3, 1999 authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following project:

(* Recommended alternates included in these amounts)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF
CONSTRUCTION MANAGER, REQUEST FOR CONSTRUCTION BIDS,
AND REPORT OF AWARD OF CONTRACTS (contd)**

Fisher College of Business – Executive Residence

This project constructs a quality, first-class executive residence to provide guest rooms, physical fitness facilities, banquet facilities, and informal gathering area for executives attending Executive Education Programs at the Fisher College of Business. The total project cost is \$24,208,234; funding is provided by University bond proceeds with debt service paid by Housing, Food Services and Events Centers. The estimated completion date is February 2002. The contracts awarded are as follows:

Design:	Karlsberger Corp., Columbus, Ohio
Sitework Contract:	George J. Igel Company, Columbus, Ohio
Amount:	\$437,000
Estimate:	\$465,693
Landscape Contract:	Rine Service Group, Inc., Columbus, Ohio
Amount:	\$47,613
Estimate:	\$51,973
Combined Site Concrete/Cast in Place Concrete Contract:	Baker Concrete Construction, Inc., Monroe, Ohio
Amount:	\$4,160,000 +
Estimate:	\$3,124,019
Masonry Contract:	Riverside Masonry dba C & R Masonry, Detroit, Michigan
Amount:	\$1,454,000 *
Estimate:	\$1,564,520 *
Structural Steel Contract:	cHc Fabricating Corp., Cincinnati, Ohio
Amount:	\$479,600 +
Estimate:	\$273,925
Miscellaneous Metals Contract:	Wanner Metal Worx, Inc., Delaware, Ohio
Amount:	\$387,950
Estimate:	\$389,103
Finish Carpentry/Millwork/Misc. Accessories Contract:	Gleeson Construction, Inc., Chagrin Falls, Ohio
Amount:	\$1,490,500 * +
Estimate:	\$1,355,949 *
Fireproofing Contract:	Omni Fireproofing Co., Inc., Fairfield, Ohio
Amount:	\$58,568 +
Estimate:	\$35,910
Roofing Contract:	Harold J. Becker Company, Inc., Dayton, Ohio
Amount:	\$306,180 +
Estimate:	\$274,116
Doors/Frames/Hardware Contracts:	BSH – Columbus, Reynoldsburg, Ohio
Amount:	\$227,500 *
Estimate:	\$336,182 *
Windows/Curtainwall/ Glazing Contract:	JMD Architectural Products, Hilliard, Ohio
Amount:	\$774,000 +
Estimate:	\$661,063
Drywall/Rough Carpentry Contract:	Acoustic Ceiling and Partition Company of Ohio, Inc., Dublin, OH
Amount:	\$1,639,700 *
Estimate:	\$1,744,413 *
Stone Flooring Contract:	Dupont Flooring Systems, Columbus, Ohio
Amount:	\$99,000 +
Estimate:	\$98,866

April 6, 2001 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF
CONSTRUCTION MANAGER, REQUEST FOR CONSTRUCTION BIDS,
AND REPORT OF AWARD OF CONTRACTS (contd)**

Fisher College of Business – Executive Residence (contd)

Ceramic Resilient Contract:	Dupont Flooring Systems, Columbus, Ohio
Amount:	\$329,895
Estimate:	\$451,674
Painting Contract:	Kastra Painting, Inc., Lewis Center, Ohio
Amount:	\$227,000
Estimate:	\$260,558
Kitchen Equipment/ Refrigerators Contract:	Louis R. Polster Company, Columbus, Ohio
Amount:	\$591,190
Estimate:	\$685,323
Elevator Contract:	Thyssen Dover Elevator, Westerville, Ohio
Amount:	\$562,489 +
Estimate:	\$498,500
HVAC Contract:	Limbach Company, Columbus, Ohio
Amount:	\$2,109,003 * +
Estimate:	\$1,798,810 *
Plumbing Contract:	Bruner Corporation, Hilliard, Ohio
Amount:	\$1,131,800 *
Estimate:	\$1,155,389 *
Fire Protection Contract:	Dalmation Fire, Inc., Hilliard, Ohio
Amount:	\$288,000 +
Estimate:	\$271,289
Electric Contract:	Accurate Electric Construction, Columbus, Ohio
Amount:	\$2,056,400 *
Estimate:	\$2,436,432 *
Laborer Contract:	Apex/M & P Construction, Blacklick, Ohio
Amount:	\$665,500 +
Estimate:	\$663,188
Total All Contracts:	\$19,522,888
Contingency Allowance:	\$1,298,592
Total Project Cost:	\$24,208,234

+ The Combined Site Concrete/Cast in Place Concrete, Structural Steel, Finish Carpentry/Millwork/Miscellaneous Accessories, Fireproofing, Roofing, Windows/Curtainwall/ Glazing, Stone Flooring, Elevator, HVAC, Fire Protection, and Laborer contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Lima Campus – Galvin Hall Third Floor Renovation

This project renovates the third floor laboratory and classroom space into classroom space. The total project cost is \$1,118,330; funding is provided by House Bill 850. The estimated completion date is November 2001. The contracts awarded are as follows:

Design:	Seyfang Blanchard Duket Porter, Toledo, Ohio
General Contract:	Brumbaugh & Lacy, Inc., Celina, Ohio
Amount:	\$219,100
Estimate:	\$430,155
Plumbing Contract:	John P. Timmerman, Lima, Ohio
Amount:	\$17,436
Estimate:	\$20,704

April 6, 2001 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF
CONSTRUCTION MANAGER, REQUEST FOR CONSTRUCTION BIDS,
AND REPORT OF AWARD OF CONTRACTS (contd)**

Lima Campus – Galvin Hall Third Floor Renovation (contd)

HVAC Contract:	Gast Plumbing & Heating, Inc., Lima, Ohio
Amount:	\$41,264
Estimate:	\$70,313
Electric Contract:	Sollman Electric Company, Sidney, Ohio
Amount:	\$169,360 *
Estimate:	\$205,510 *
Hazardous Material Contract:	Seneca Systems, Inc., Tiffin, Ohio
Amount:	\$23,203 *
Estimate:	\$39,000 *
Total All Contracts:	\$470,363
Contingency Allowance:	\$343,352
Total Project Cost:	\$1,118,330

MRI – Clinical Facility Expansion

This project constructs a one-story addition of approximately 1,500 gross square feet to the existing MRI facility to house a new magnet room, control room, changing rooms, and patient holding area. The total project cost is \$1,200,000; funding is provided by University Hospitals. The estimated completion date is December 2001. The contracts awarded are as follows:

Design:	DSI Architects, Columbus, Ohio
General Contract:	Childers Corp., Dublin, Ohio
Amount:	\$769,998
Estimate:	\$770,000
Total All Contracts:	\$769,998
Contingency Allowance:	\$108,110
Total Project Cost:	\$1,200,000

Utilities Steam Line Upgrade

This project replaces approximately 1,200 feet of 4" high pressure steam line and companion condensate line in the South Neil tunnel with 8" lines. The total project cost is \$1,203,868; funding is provided by House Bill 748 (\$228,270), House Bill 850 (\$700,000) and Department of Physical Facilities (\$275,598). The estimated completion date is February 2001. The contracts awarded are as follows:

Design:	The Ohio State University
HVAC Contract:	Kirk Williams, Columbus, Ohio
Amount:	\$798,000
Estimate:	\$850,000
Total All Contracts:	\$798,000
Contingency Allowance:	\$355,398
Total Project Cost:	\$1,200,000

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Evans Laboratory Lecture Room Renovation and Marion Campus - Renovations projects and that the fees for these services be negotiated between the firm selected and the University; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF
CONSTRUCTION MANAGER, REQUEST FOR CONSTRUCTION BIDS,
AND REPORT OF AWARD OF CONTRACTS (contd)**

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for the Wexner Center – Building Renovations project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Evans Laboratory Lecture Room Renovation, Marion Campus – Renovations, and Rhodes Hall – Complete Shelled Space projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Fisher College of Business – Executive Residence, Lima Campus – Galvin Hall Third Floor Renovation, MRI – Clinical Facility Expansion, and Utilities Steam Line Upgrade projects is hereby accepted.

(Appendix XXXV for maps, page 853.)

Upon motion of Mr. Skestos, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Sofia, Slane, McFerson, and Judge Duncan, Ms. Longaberger, and Ms. Hendricks.

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STUDENT RECOGNITION AWARD

Mr. Filiatraut:

Ladies and gentlemen, I'd like to introduce to you a person who does not need much of an introduction around here -- Jonathan Beard. He has been nominated by Dean Dottavio to receive the Student Recognition Award today.

Jonathan received his Associate of Arts degree from OSU-Marion in March 2000; his Bachelor of Science in Education degree from OSU-Marion in June 2000; and will be completing his Master of Education degree in June 2002, again, from OSU-Marion.

Jonathan is quite involved around here at OSU-Marion. In fact, I doubt that the Office of Student Financial Aid would run so well without him. Jonathan created several relational data bases that manage and track the entire financial aid system, which includes scholarship awarding, processing, federal work study form processing, student tracking, and another system that tracks all the information that OSU-Marion sends to main campus. He did this so each member of the staff could just point and click their way to an easier workday. After, of course, he trained them on how it works.

Additionally, Jonathan created a data base for the Office of Student Financial Aid that creates a report of all the information of how scholarship funds were used in a given year for use by the financial aid office to report to the Columbus Foundation, an outside organization that manages one of the largest scholarship accounts at OSU-Marion. For this and other work for the financial aid office, Jonathan received the Marion Campus Appreciation Award in October of last year.

STUDENT RECOGNITION AWARD (contd)

Mr. Filiatraut: (contd)

As an undergraduate, Jonathan was student coordinator of Student Activities and Recreation, and administrative assistant in charge of building operations for the George H. Alber Student Center at OSU–Marion and the Marion Technical College. Jonathan planned and organized all student activities and programs for the campus, and was also in charge of building operations. He supervised a staff of 8 to 10 students who also assisted with the planning of these activities.

Additionally, Jonathan is the student representative to the Marion Campus Outreach and Engagement Committee. The committee is focused on providing activities and programming that directly relate to the OSU–Marion service area, and his current project is implementing the OSU–Marion’s Outreach and Engagement portion of the Academic Plan.

Specifically, the committee is fostering collaborations between Arts and Sciences faculty and Education faculty, P-12 education, in general, and, to some extent, economic development and community renewal. On his experience on this committee, Jon told me that, and I quote, “I feel being a part of this committee has given me a broader focus on the world outside of our walls here at OSU–Marion and how I can help to make the world a better place.”

To top it all off, Jonathan is involved with the community outside the OSU–Marion campus. He serves as the event headquarters manager for the Ohio Music Educators Association District II Solo and Ensemble Adjudicated Event. This is a volunteer position for an event where approximately 500 high school students compete in vocal and instrumental contests. This is a one-day event held at Marion Harding High School -- which is Jonathan’s alma mater -- where, under the direction of a contest chair, Jonathan is in charge of reporting the scores for the contest, answering questions from directors and judges, and maintaining general order. He had done this for the past five years.

Ladies and gentlemen of The Ohio State University, on behalf of President Kirwan and the Board of Trustees, I present to you this month’s Student Recognition Award recipient, Mr. Jonathan Beard.

Mr. Jonathan Beard:

I’d like to thank the Board for this wonderful recognition. I have to say when I received Kevin’s e-mail, I was very surprised – it is an honor.

I also have to recognize Dr. Dottavio. Through his constant leadership and concern for the students here at this campus, I feel that he constantly tries to make the students feel that this is a better and a good place to be. Also, he constantly makes a difference in the lives of all the students. Thank you very much.

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REPORT ON COMPENSATION BENCHMARKS

Provost Edward J. Ray:

The purpose of the presentation this morning is to begin our discussion about compensation and proposed compensation changes for FY 2002. As part of that process, we expect to come back to the Board with specific discussions and recommendations for compensation at the May Board meeting.

REPORT ON COMPENSATION BENCHMARKS (contd)

Provost Ray: (contd)

The purpose of today's discussion is to give you a preliminary sense of what the compensations are at Ohio State. We like to benchmark both against peer institutions and against our own performance, so today's discussion is to give you a sense of what the context is within which we'll be discussing compensation issues in May and beyond.

I'd like to introduce, Vice Provost Nancy Rudd, Associate Vice President Larry Lewellen, and the new Director of Compensation, J Henderson, and turn the presentation over to them.

Mr. Larry Lewellen:

Thank you, Ed. The handouts are in your Board books, if you would like to follow along. I'm just going to give a quick overview and then turn it over to Nancy to talk about faculty compensation competitiveness and then J to talk about staff compensation competitiveness.

On the second page it frames our presentation -- we're changing this to a question and answer format -- so the questions we're answering are the traditional ones: How is the market moving? How do we compare to the market? How are we investing our salary dollars? We even have a bit of a new item on investing our salary dollars, looking at how we invest for diversity.

We've added a new item on the fourth bullet: How does our financial support for graduate associates compare? We're now going to be comparing and reporting annually on faculty, staff, and graduate associates as we move forward.

Finally, there was a question from the financial benchmarks report last month that we have a follow-up chart on, which is "What is our history of the cost of benefits as a percent of payroll?" That is an ad hoc question that we're bringing back.

As far as how is the market moving, there's two pieces to that. What's our five-year increase budget history and what's the outlook for the upcoming fiscal year?

There is a chart following that has a number of multicolored bars intended to show how Ohio State's past five-year salary budget history is compared with our benchmarks. The point we want to make with this is if you look at the group to the left of Ohio State University -- which has in sum totaled larger salary adjustments in the past five years -- you will see from UCLA to Michigan that they've had at least one or two years -- highlighted in blue -- that are five percent or larger. There have been efforts by their legislature to invest in their faculty or staff and boost their competitiveness.

As you can see in our history, we did have an effort of our own to do that five years ago, but have not been able to compete with that since. This follows -- and what Nancy will show the result of that -- President Kirwan's comments about the fact that we have been slipping.

The page following that is an overall summary across faculty and staff markets nationally all the way through Ohio, as to what we think employers are projecting. This is both from consulting reports and from our ad hoc queries to other institutions and employers. It's even a little bit out-of-date. This was prepared a month ago and, as the economy has worsened, some of the projections have worsened a bit, especially in the State of Ohio. This gives you a flavor of what

REPORT ON COMPENSATION BENCHMARKS (contd)

Mr. Lewellen: (contd)

employers are talking about in light of our budget decision we need to make and why.

With that, I'm going to turn it over to Nancy to talk about how we compare to the market for faculty compensation.

Dr. Nancy Rudd:

We have three graphs that present data with regard to the competitiveness of our faculty salaries. This has been observed several times how the picture painted by these graphs is not a happy one.

On the first graph, we have data from two years ago, last year and this year, with respect to our ranking among the benchmark institutions. You can see that our ranking is deteriorating. In 1998-1999, our rank-adjusted salaries were 1.9 percent the benchmark average. Last year, they were 2.5 percent below that average and this year they are 2.9 percent below the average. If you had more years on this graph, you would see that this trend has been continuing ever since we had our larger raise in 1995-1996.

If you actually look at the average salaries of these groups, the news is perhaps not quite as bad as it could be. For a long time, UCLA and Michigan have had salaries that are substantially above the rest of this group. In fact, UCLA's aren't nearly as attractive as they appear because their purchasing power is not too great. Michigan's are as attractive as they look. At the bottom of this group, Washington and Arizona seem to have a lock on last place.

The differences among the other institutions are not great and, in fact, this year the difference between Illinois' average rank-adjusted salary and Ohio State's is less than \$3,000. The difference between our rank-adjusted salary and Texas and Penn State is only a few hundred. If we had one or two raise years in which our raise was a bit above the average, it wouldn't take a lot to shift our ranking. It's just that we have not been able to do that. As Larry pointed out, we haven't had a raise above five percent since 1995, where other institutions have.

The next table just aggregates this data by rank. The rankings all shift a little bit, but I don't think those are terribly interesting compared to the percentage differences. Our full professors are slightly better off, relative to the benchmark average than our faculty as a whole. Remembering that the rank-adjusted average for Ohio State is 2.9 percent below the benchmark average, assistant professors are somewhat worse off. This is discouraging because it is largely assistant professors that we're recruiting and we are, therefore, much more in direct competition with the market for that group of faculty than for the other two. This was true last year as well, and we have not been able to improve the situation.

The last graph shows how each of our colleges' average salaries across all faculty compare to the benchmark average. Only two colleges – Social and Behavioral Sciences, and Pharmacy -- have salaries that are above that average. We don't have any data for Optometry, Business is at the average, and all the other colleges are below.

Again, if you want to try to find good news in what is not very happy data, most of the colleges that have departments in them that have been selected for Selective Investments are at least not farther below the benchmark average than the

REPORT ON COMPENSATION BENCHMARKS (contd)

Dr. Rudd: (contd)

University as a whole. The notable exception to that is Law, which was selected for Selective Investments this past year and is 10 percent below the benchmark average. That is perhaps the most discouraging data in this table.

That concludes my comments on the competitive position of our faculty salaries.
J --

Mr. John S. Henderson:

When we look at staff salaries, we actually look at them through federal occupational categories. These are set up by the federal government to try to understand where we are paying different groups of personnel. When we look at the managers and administrators, we see that we're slightly above market, but that is misleading considering that we're so much larger than the general market as a whole. So you would expect those positions to actually pay a great deal more than the typical market would for those positions.

The only other area where we appear to be ahead is for clerical and secretarial, which at first confused us. If you turn to the next graph, you'll see what drives the salaries in this area is actually years of service. You see that it takes about 11 years of service to get to market. You'll also notice, it takes about 16-20 years of service to get to where the state average is for these positions. So what's forcing us to look like we're ahead of market in this occupational category, is that we have a great deal of people, about 40-50 percent of all our personnel in this particular category, who have 11 years of service or more, which drives it up.

The next thing we look at is how we're investing our salary dollars. One of the things that we've been trying to do during the past few years is really invest our money responsibly in terms of trying to promote performance and wanting a high performing group. When you look at the next graph, what you'll see is how our salary dollars have actually been distributed during this previous salary increase cycle. You'll notice that not everyone received directly a four percent increase. You'll notice that we've really spread them out, saying, "We want to encourage our performers and we want to pay them more." Therefore, we've actually diverted funding that way.

Also our budget for this last year was about four percent and we allowed different departments to add a half percent of their own money to that in order to increase it. You'll see that we actually increased by 4.3 percent, 4.2 percent, and 4.2 percent respectively, among the different categories of faculty, staff, and classified civil service. This shows that our departments are trying to do what they can to try to catch up to the market because we're really behind.

The next graph we looked at is to try and understand if any of our salary impacts, instead of doing the across-the-board adjustments, has disadvantaged any particular group. When we look at ethnicity, what you'll notice is the charts are very similar in terms of the colors. The colors represent how much of an increase certain parts of the population got -- red is 6 percent; blue is 4.5 to 6 percent; and so on and so forth. They're very consistent in color. The larger thing that we notice is that if you look at minority increases from last year, it's about 4.44 percent, while the non-minority was 4.23 percent. We can say it's not a huge difference there, but we actually are being consistent in how we're doing our increases.

REPORT ON COMPENSATION BENCHMARKS (contd)

Mr. Henderson: (contd)

When we look at gender, we're actually noticing the same sort of pattern, too -- 4.35 percent was the average increase for females, and 4.24 percent was the average increase for males. Again, it's not a huge difference, and we would expect it to be very similar to make sure we're not disadvantaging any group.

For the graduate associates and financial support for them, we look at our net institutional investment. When you consider stipends, fee authorization, medical fees, and everything else, that is how much we're actually investing into our graduate associates. Here we're ranked number six, which sounds like we're really competitive among this group.

If you look at our average financial support -- which is taking out the factors that don't really impact their financial ability to actually live -- the simplest way to put it -- we're excluding tuition and any other types of fees that we're waiving for them. We're really looking at their stipends and even the kind of money we actually give to them, and we're actually thirteenth. That's really the number that most of the graduate associates are going to feel and see. The fee waiving is actually invisible to them, they're really going to be looking at this in terms of what comes to them personally. That's where we're ranked thirteenth. You'll also notice that when you look at medical benefits, we're one of only three universities in our benchmarks that do not actually offer any kind of subsidy for medical benefits. So that is partially what's impacting these numbers.

Mr. Lewellen:

I mentioned that there was a question from the financial benchmarks report last time that the Fiscal Affairs Committee had, and that's what's been happening to our benefits as a percent of payroll. There's a chart in your book that shows benefits expenditures as a percentage for the past 10 years. We speculated last time that that may have gone up somewhat or may have gone down somewhat. We found out that both have happened slightly over the 10 years, but in essence, it's sort of a wash. It's been very consistent over the past 10 years. We'll be glad to take any discussion on that when we're done, but we think that sort of resolves that question.

In summary, Nancy had talked about the faculty salary increases and how we've been slipping in the marketplace and J talked about the staff. The only group that's really advantaged at market or above market is the office clerical group with 15 years of service or more, and that is only because of their long service. Otherwise, we generally are 10 percent behind market or more for staff groups.

Then we've seen for graduate associates that we invest well in graduate associates, but as far as their level of support...[missing dialogue]

Ms. Hendricks:

If you look at this salary of 11 to 15 years of service, is this relative to an average benchmark in that category?

Mr. Lewellen:

That's a very good question. There is no market data available for other employers showing what an 11-year office secretary gets paid, or a five-year data entry clerk gets paid. This is merely saying, we know the market average out there, we're just looking at how much service does it take before an individual

April 6, 2001 meeting, Board of Trustees

REPORT ON COMPENSATION BENCHMARKS (contd)

Mr. Lewellen: (contd)

actually gets to the market average. We can't compare our person's service by somebody else's service.

Provost Ray:

What we don't have an answer to is what the longevity profile looks like for employers locally and elsewhere.

Ms. Hendricks:

This experience is to me very typical, that your younger people are working up towards an average. Is distribution based on what I've seen in this profile? That's why I was asking.

Provost Ray:

That's what we don't know.

Mr. Lewellen:

We do know, in general, from salary surveys, but we don't know from other specific employers. We do know, in general, that for mid-level staff positions, normally employers try to get people to market in five to seven years. To take 11 to 15 years is unusually long.

Mr. Brennan:

Nancy, in relationship to your report on the comparative faculty salaries -- maybe this is considered not necessary, but I'm curious -- do you do anything to track why people leave us? Do they go to a place that pays more money? Or do we know that?

Dr. Rudd:

We have not done that to present, but we do have a proposal which is presently working its way through University committees to begin doing exit interviews. Hopefully that will begin next year. It is motivated as much to determine why we lose women and minority faculty for other reasons, but we will be trying to track why both faculty and staff leave the University.

Mr. Brennan:

Excellent. You look at Arizona and, obviously, sunshine is a form of compensation.

Dr. Rudd:

Well, it used to be true. Wisconsin -- because they had a number of big raise years, now they're more towards the high end of the benchmark group -- for years was near the bottom and yet people stayed. So there was something else going on there.

Mr. Brennan:

Thank you.

April 6, 2001 meeting, Board of Trustees

REPORT ON COMPENSATION BENCHMARKS (contd)

Mr. Skestos:

Any other questions? Jaclyn --

Ms. Nowakowski:

For financial support for graduate students, can that be done on a University-wide level? Or is it, at this point, done more department by department, in terms of larger stipends and medical benefits?

Provost Ray:

We do a couple of things. Obviously at the University-level we can set some guidelines for all of the colleges and departments, and we do – minimum stipends. One of the things that we're talking about now is what is the minimum stipend and do we need to make changes in that? That's under discussion.

Each department and college has to think about its own benchmark institutions and competition and, the kinds of stipends that they have to offer and the range is really quite dramatic. It ranges from below \$900 a month to well over \$1,800 a month, depending upon what area you're in. So there has to be both local and central responsibility for setting those figures.

Mr. Skestos:

Any other questions? Yes, Kevin --

Mr. Filiatraut:

When a college comes to you with a proposed tuition increase, is there an inquiry made into the level of compensation for the faculty comparatively amongst different colleges? For instance, the law school had a 19 percent raise last year, but their faculty are still 10 percent below market average. Can that number be raised? Can their faculty pay be made more competitive?

Provost Ray:

Actually we do something more comprehensive than that. What we ask a college to do when they ask for differential tuition increases is to, in fact, file a plan with us on what differential increases they want. What we've agreed to in recent years is, anything that they propose to charge above the standard charge at the University, five percent at the graduate professional level, we give back to the college for the purposes of implementing the college-specific plan. The things they talk about within that plan are, in fact, doing salary adjustments, but also the kinds of services, equipment, and other sorts of benefits that ought to accrue to students who are being asked to pay that extra money.

One of the things I've learned from experience that you do when somebody says they want to charge more is you tell them to go talk to their students. Tell the students what it is you're going to do and why, and make sure when you come back to me you can tell me you've discussed this with your students, that they understand what they're being asked to do, and that they're getting value for what they're being asked to pay. So whether it's Law, Business, or anybody else, they've provided us with a plan for how they intend to use those dollars.

Law is an interesting example, because we always have discussions in the University about how do salaries compare across the University. I can tell you

April 6, 2001 meeting, Board of Trustees

REPORT ON COMPENSATION BENCHMARKS (contd)

Provost Ray: (contd)

that people in Humanities aren't very sympathetic about people in Law being 10 percent below the market. People in Law are at about 150 percent of the University average, and people in Humanities are substantially below the University average.

What you find consistent with the notion that we're struggling to crack the market in terms of compensation, if you think about it for a moment – if you're lagging the market, you're likely to lag the market most where the market is moving most quickly and aggressively. So not surprisingly, it's often in those areas like Law where the markets are moving fast. That, in fact, we're failing to track even more dramatically than we are in others, even though relative to their colleagues they may be getting compensated pretty well.

Mr. Skestos:

Any other questions? If not, thank you very much.

(Appendix XXXVI for background information, page 861.)

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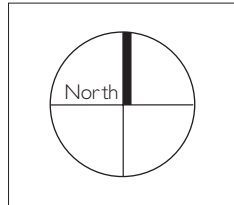
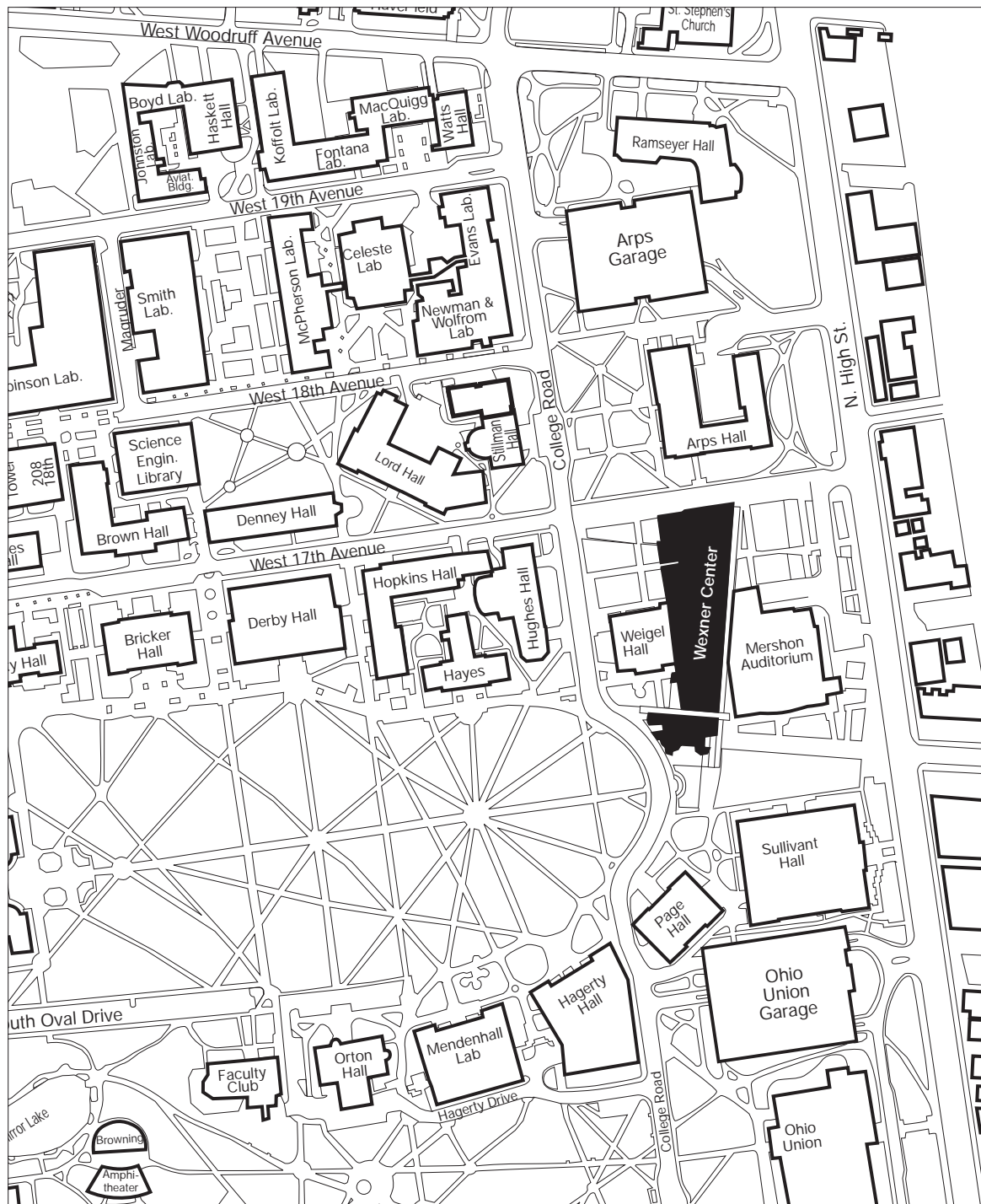
Thereupon the Board adjourned to meet Friday, May 4, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier
Secretary

George A. Skestos
Chairman

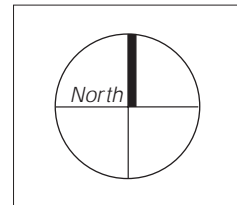
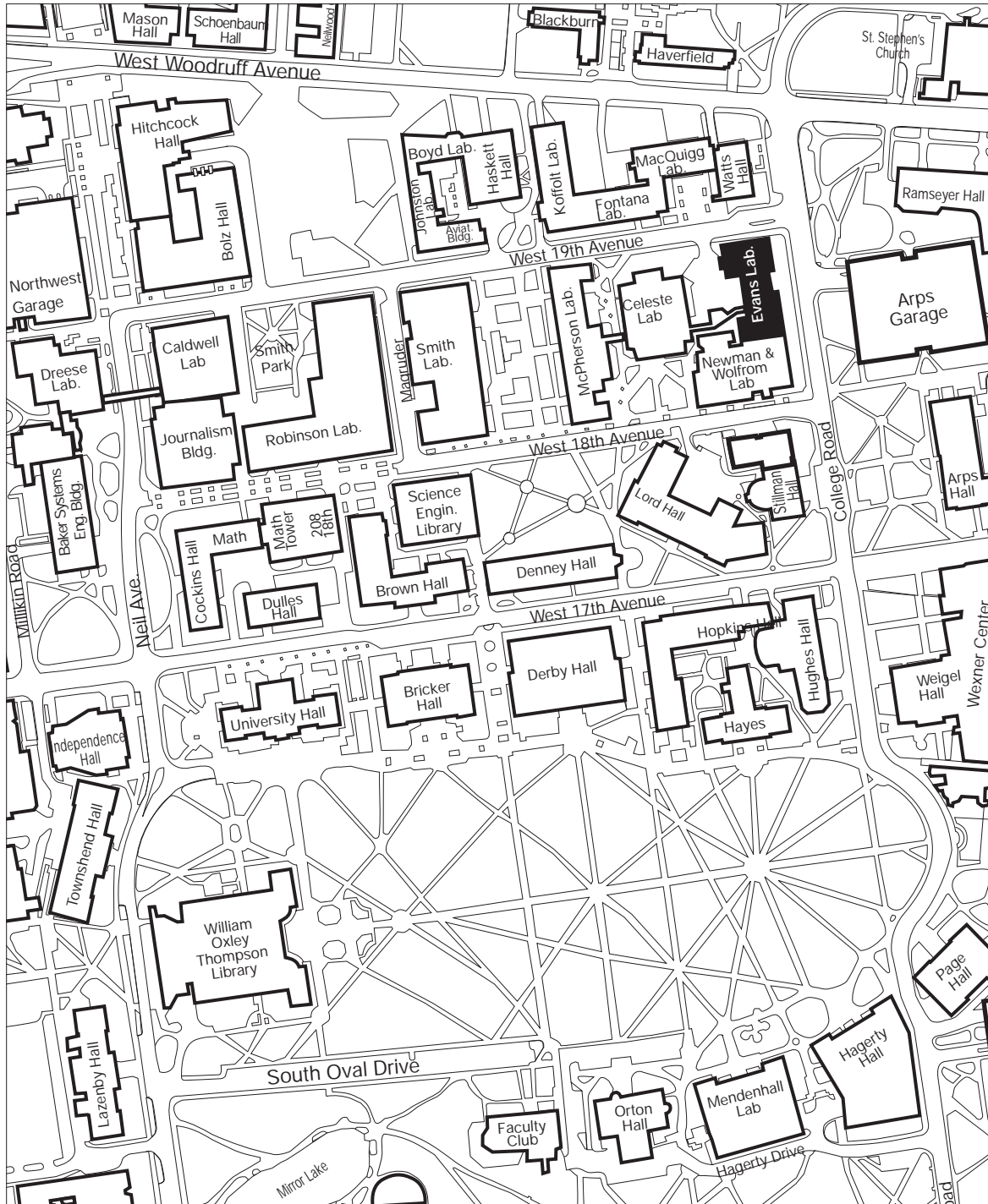
Wexner Center - Building Renovations



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

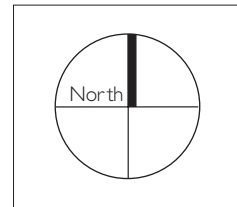
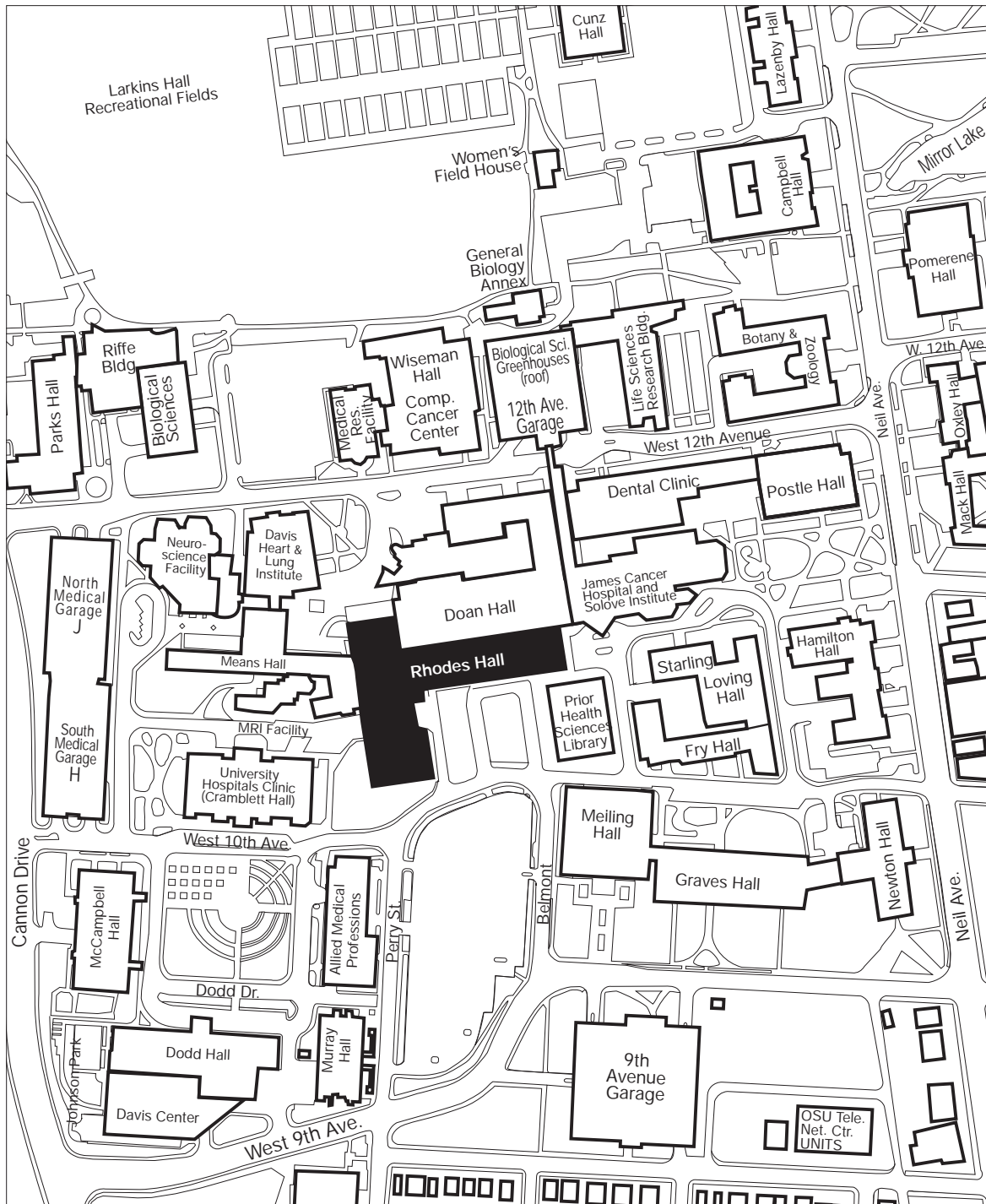
Evans Laboratory Lecture Room Renovation



Office of Business and Finance
Office of Facilities Planning and Development

August 1, 2000

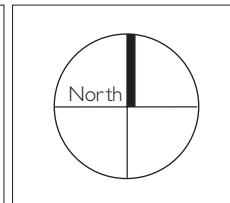
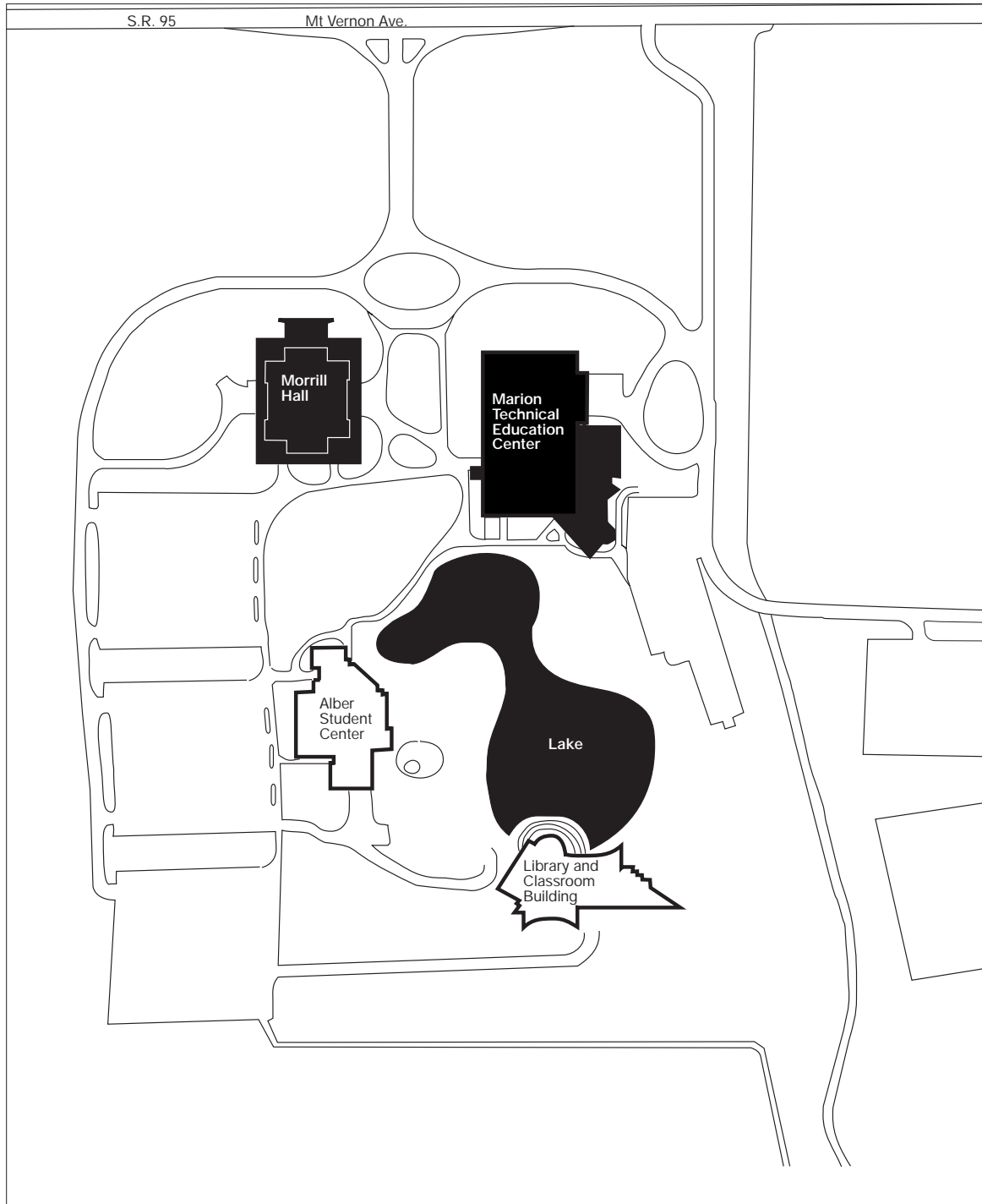
Rhodes Hall - Complete Shelled Space



Office of Business and Finance
Office of Facilities Planning and Development

March 20, 2001

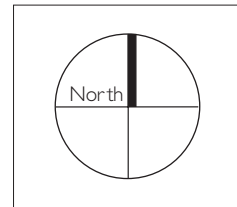
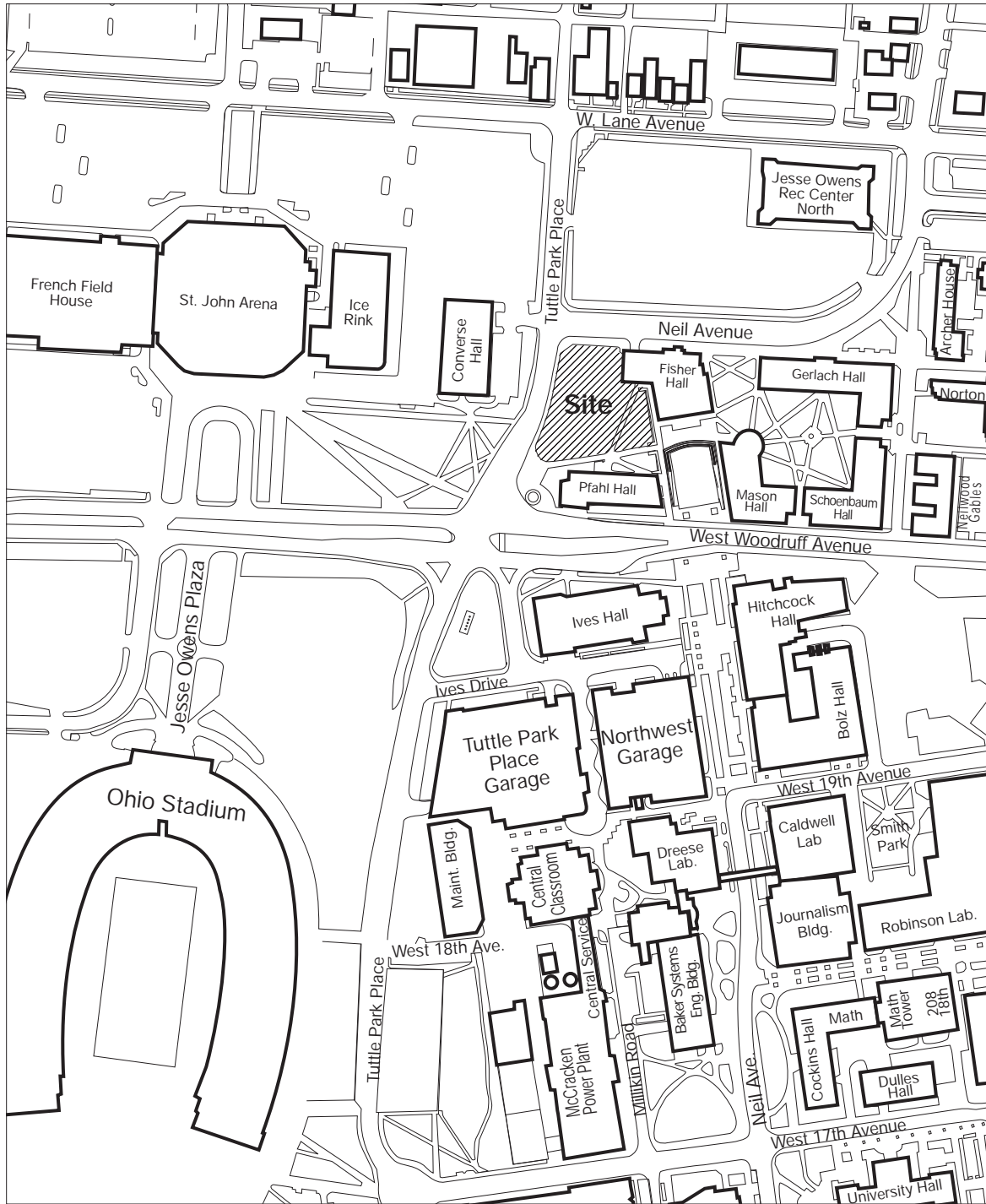
Marion Campus - Renovations



Office of Business and Finance
Office of the University Architect and Physical Planning

March 20, 2001

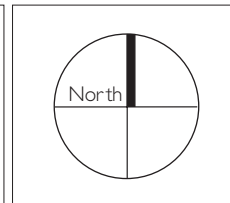
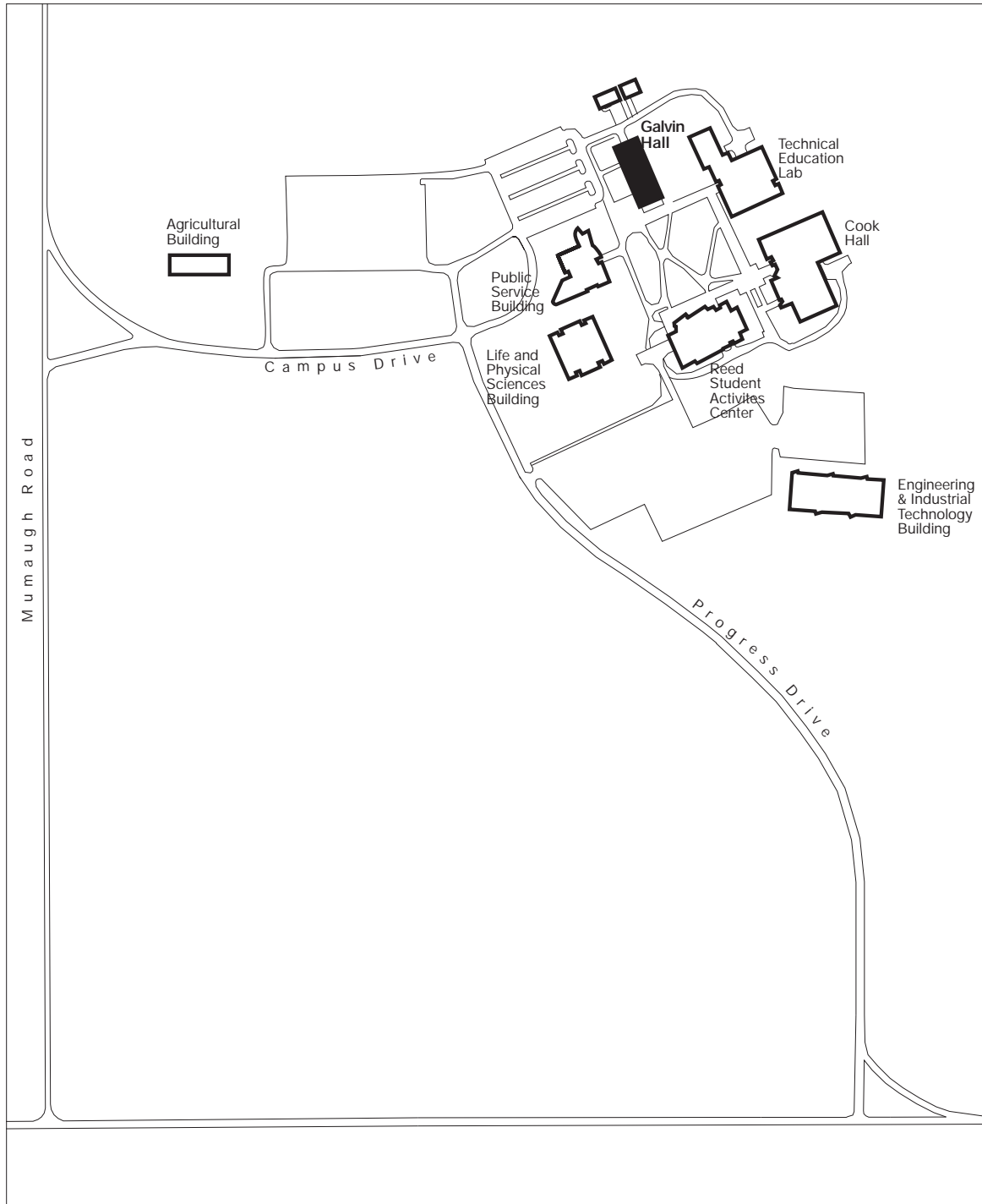
Fisher College of Business - Executive Residence



Office of Business and Finance
Office of Facilities Planning and Development

January 11, 2001

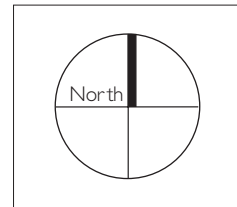
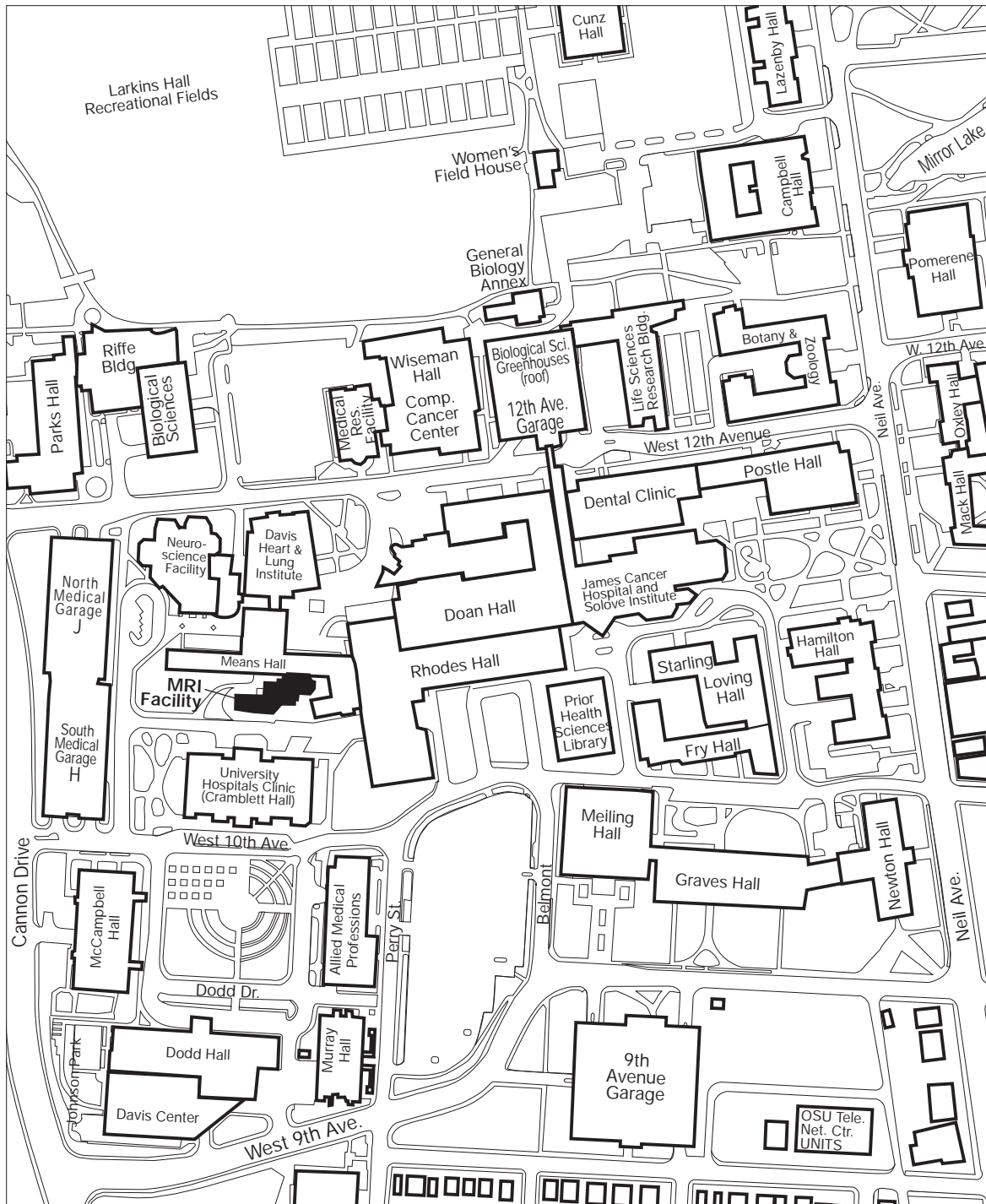
Lima - Galvin Hall Third Floor Renovation



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

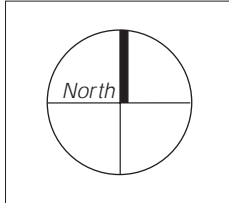
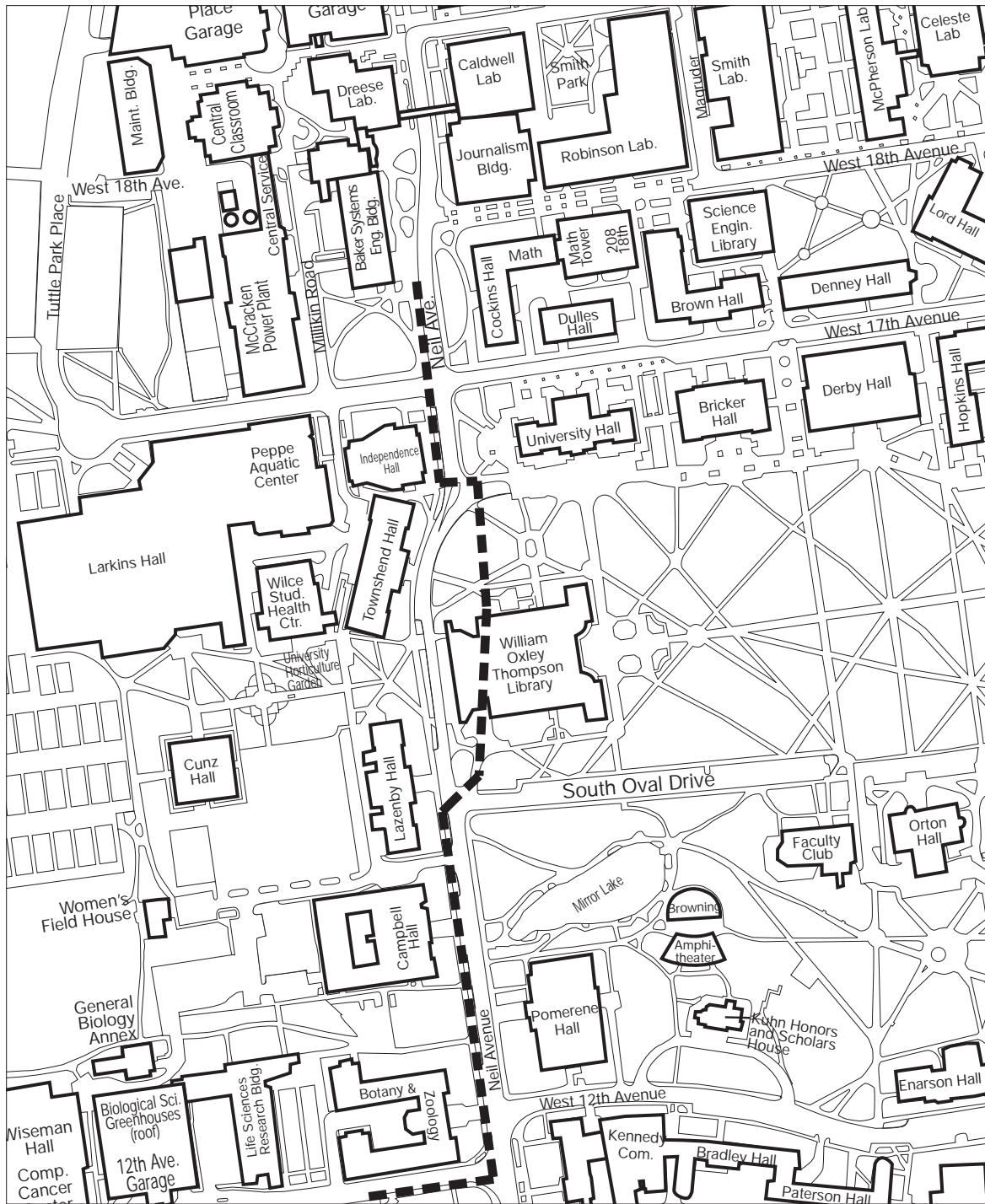
MRI - Clinical Facility Expansion



Office of Business and Finance
Office of Facilities Planning and Development

March 20, 2001

Utilities Steam Line Upgrade



Office of Business and Finance
Office of Facilities Planning and Development

November 10, 2000



Compensation Benchmarks

Report to the Board of Trustees

April 6, 2001

Office of Human Resources

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(APPENDIX XXXVI)



Critical Questions:

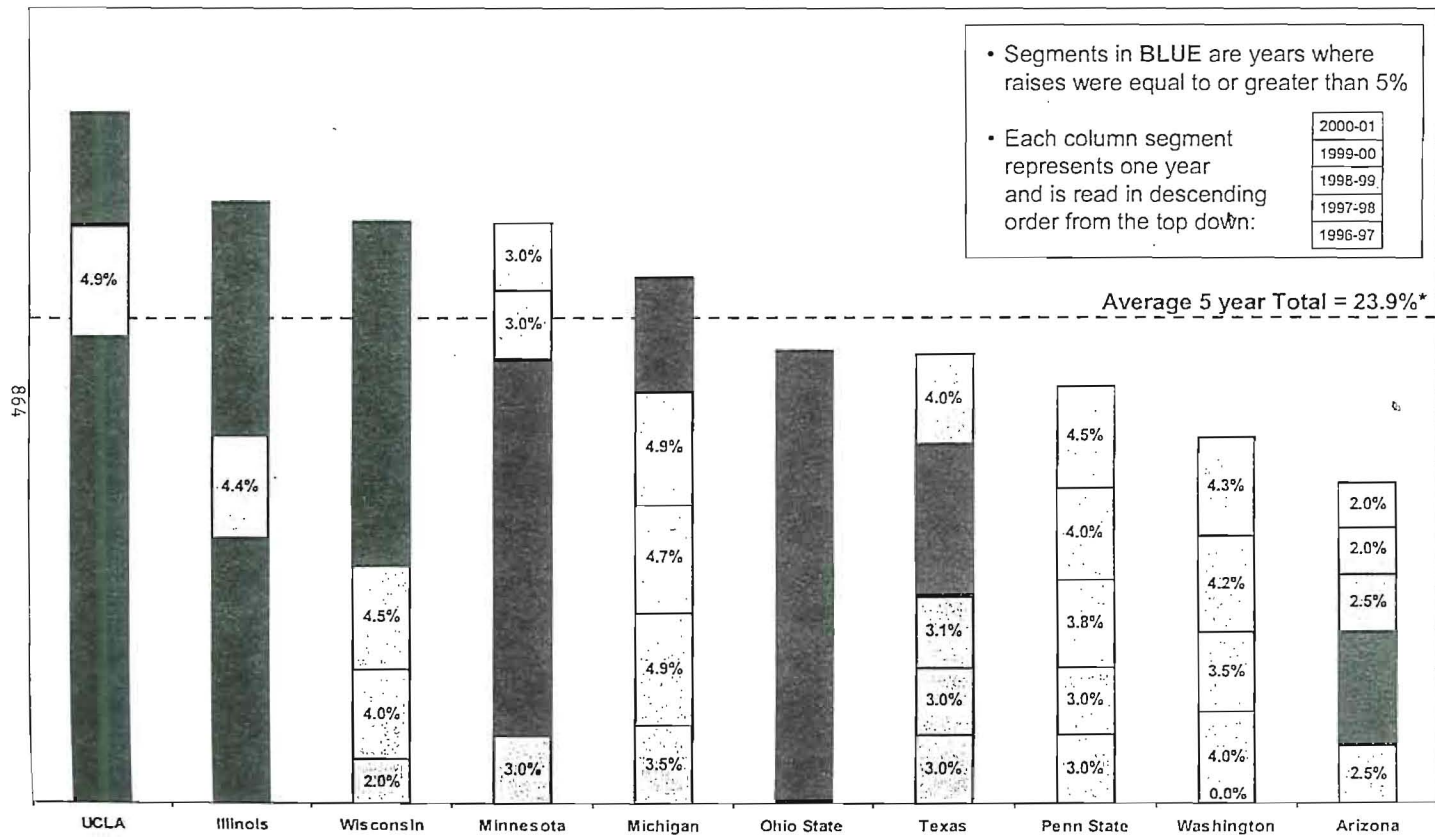
- How is the market moving?
- How do we compare to the market?
- How are we investing our salary dollars?
- How does our financial support for Graduate Associates compare?
- What is our history of the cost of benefits as a percent of payroll?



How is the market moving?

- Five year increase budget history
- Outlook for fiscal year 2001

The Ohio State University Public Benchmark Institutions Faculty Salary Increase Budget 1996-97 through 2000-01



- Segments in BLUE are years where raises were equal to or greater than 5%
- Each column segment represents one year and is read in descending order from the top down:

2000-01
1999-00
1998-99
1997-98
1996-97

* Average includes benchmark institutions and Ohio State

Competitive Salary Markets Outlook
Fiscal Year 2000-01

National - All employer groups 3.9% to 4.5%

Ohio - All employer groups 4% to 4.3%

National - Higher Education &
Non-Profits 2.8% to 3.9%

State Government of Ohio 3.5%

Columbus, Ohio 4%

IUC - Ohio - Higher Education 2% to 4.5%

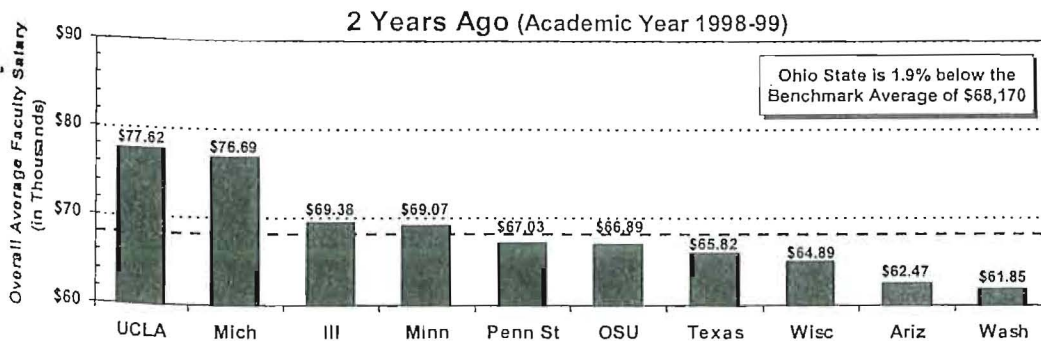
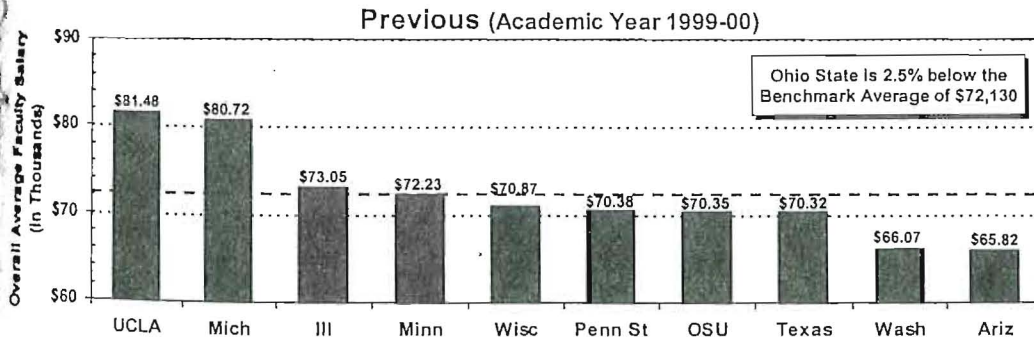
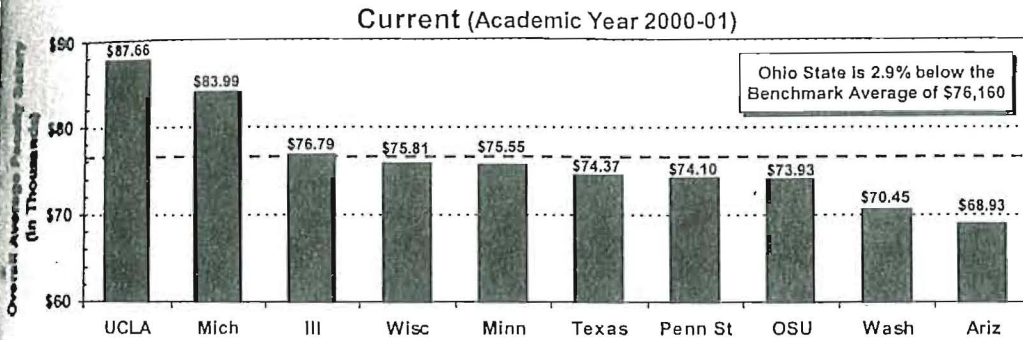
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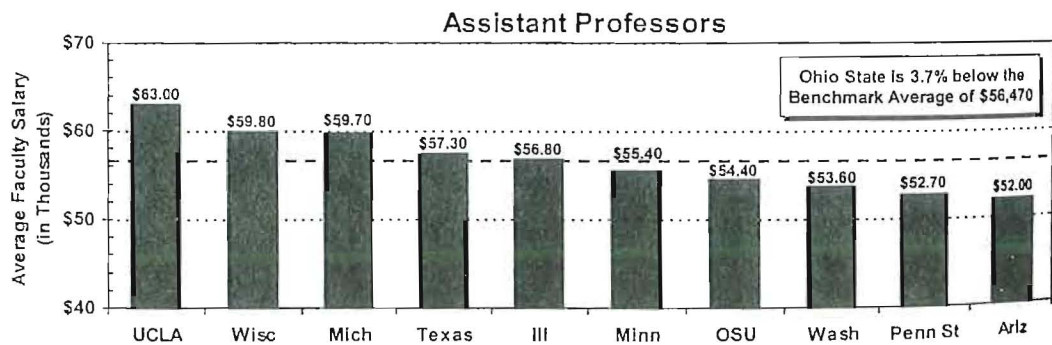
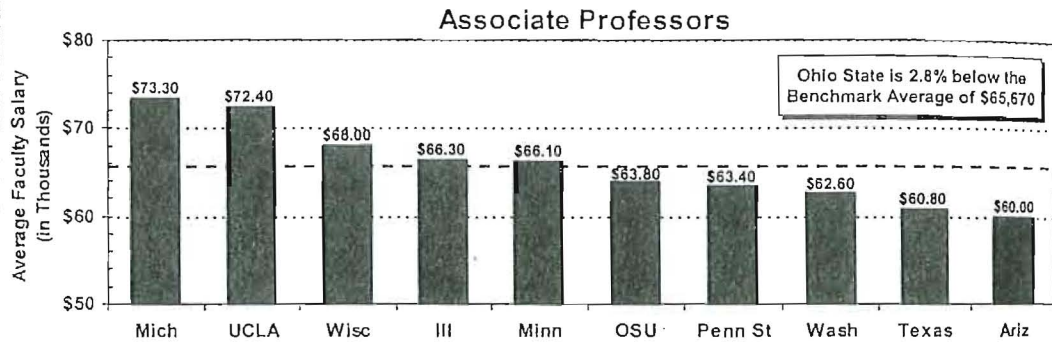
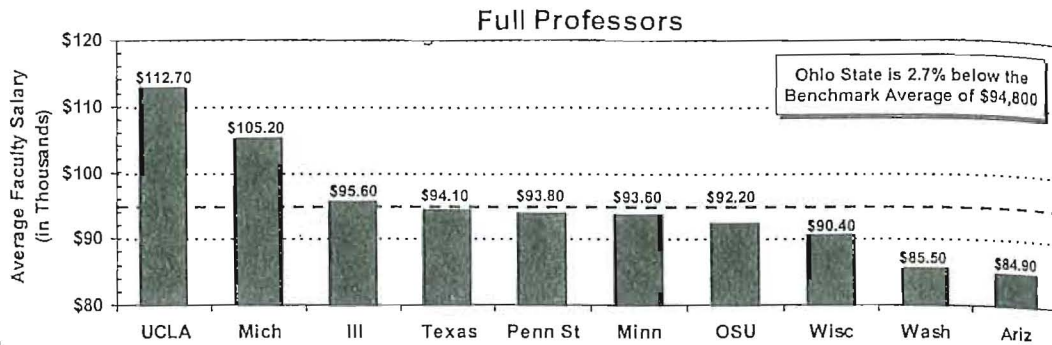
How do we compare to the market?

- Faculty Compensation:
 - ↓ Comparative salary analysis, 1998 - present
 - ↓ Salary analysis by rank
 - ↓ Salary analysis by college
- Staff Compensation:
 - ↓ Staff Compensation by occupational category
 - ↓ Clerical and Secretarial staff by years of service

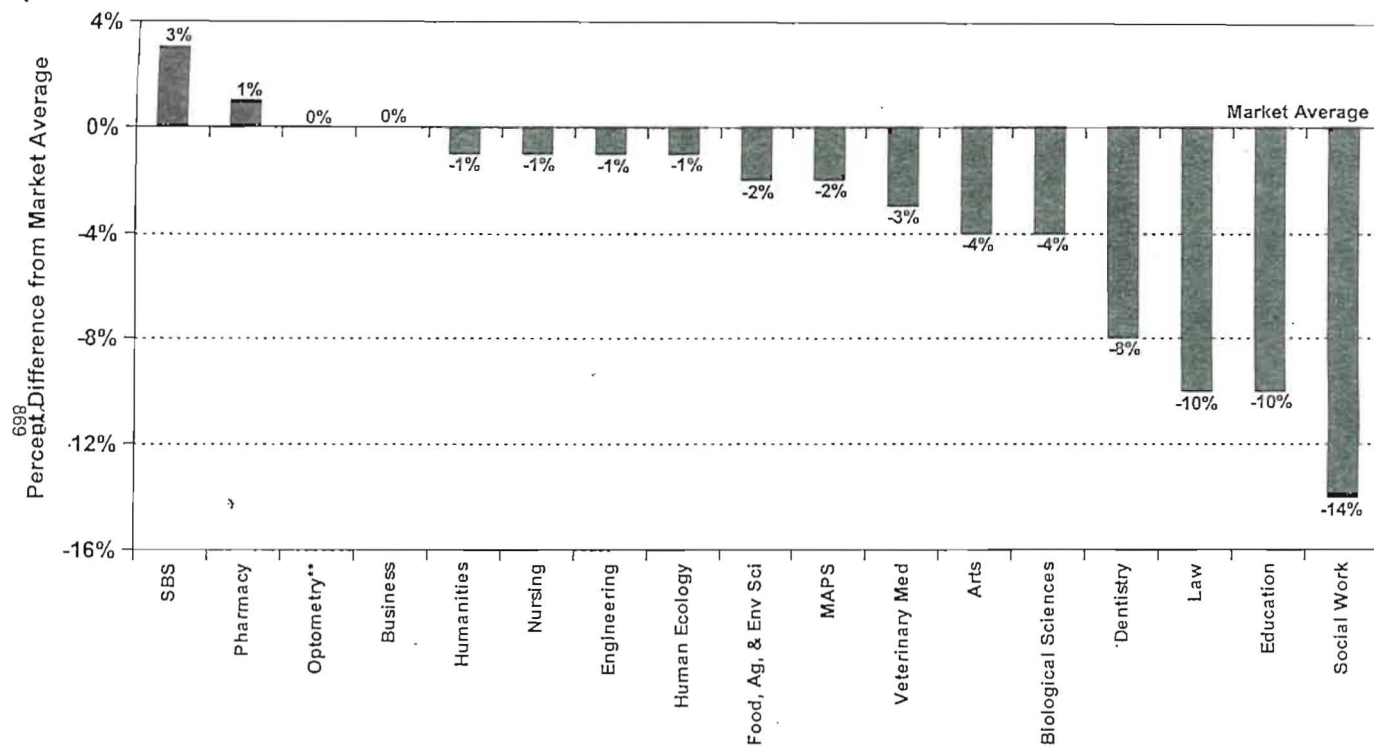
The Ohio State University Comparative Faculty Salary Analysis Benchmark Universities Overall Average Salaries (in Thousands)



The Ohio State University
 Comparative Faculty Salary Analysis
 Benchmark Universities
 Academic Year 2000-01 Average Salaries by Rank (in Thousands)



**The Ohio State University
Faculty Salary by College
2000-01 Comparison with Public Benchmark Universities***



Notes: Percentage > 0 indicates average salary above market average.

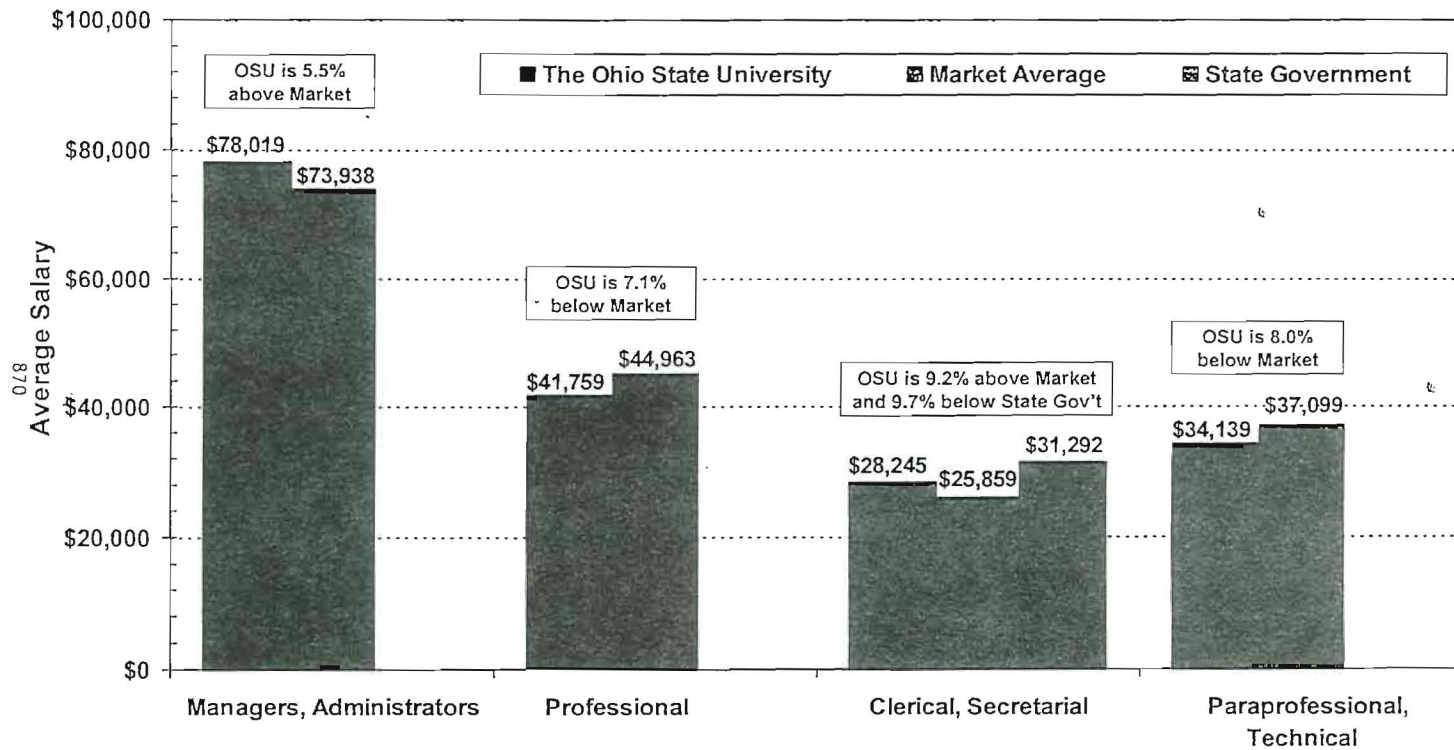
** Optometry's percentage is 0 because there are no other institutions in the benchmark group for this college.

2000-01 salaries unavailable so 1999-00 salaries were aged using each institution's 2000-01 average faculty salary increase.

* Public Benchmark Universities (Excluding College of Medicine):

Ohio State	Illinois	Minnesota	Texas-Austin	Washington
Arizona	Michigan #	Penn State #	UCLA #	Wisconsin

**The Ohio State University
Fiscal Year 2000-01 Comparative Staff Salary Analysis
Positions Matched to Market
Adjusted for Benefits by Occupation Category**



Notes:

Ohio State: Average of positions matched to market; Excludes bargaining unit members, Hospitals, term and temporary employees.

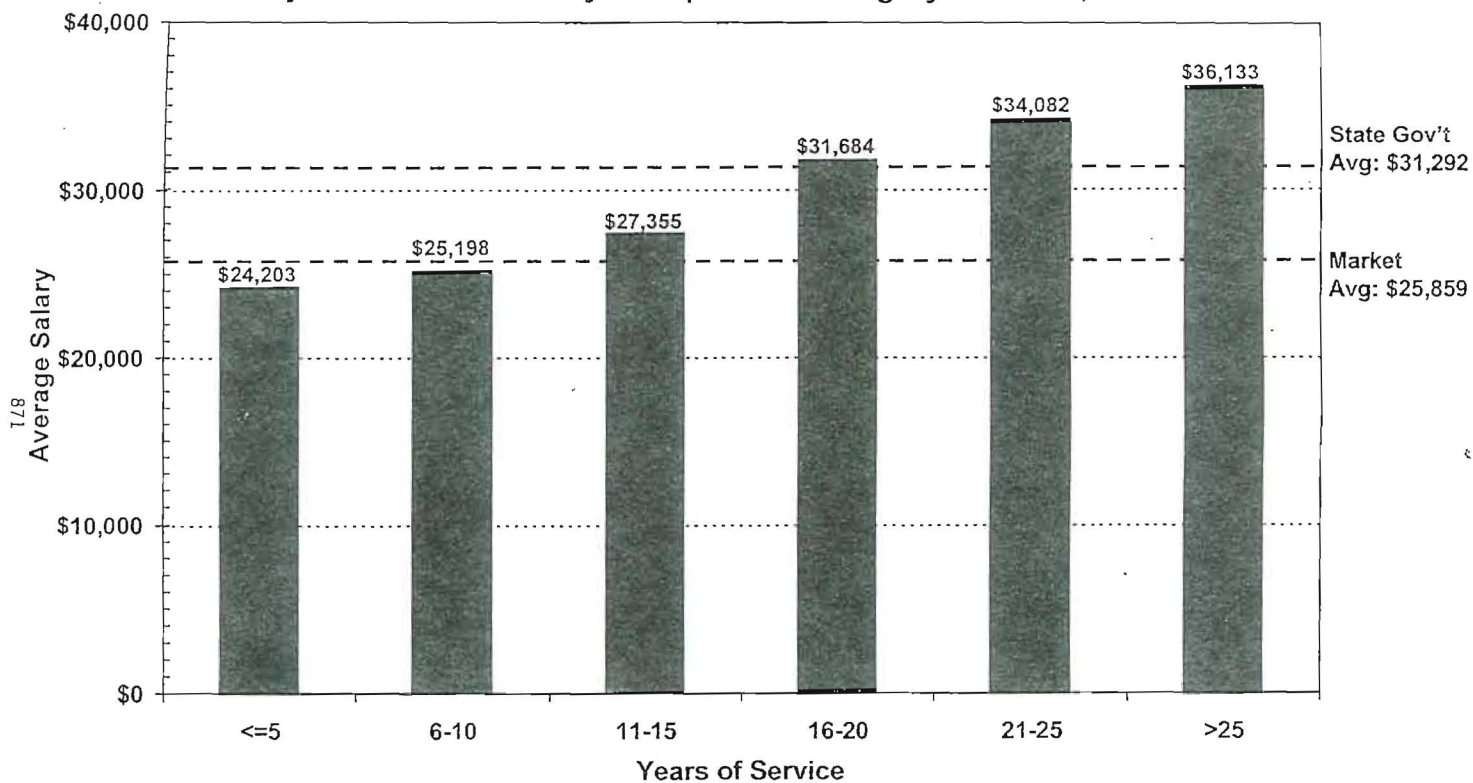
Market Average: Composite of salaries of local/regional employers for positions matched to market.

State Government: Average of positions matched to market.

Benefits Adjustment: Average salaries adjusted for difference in benefit packages by applying factor of .97 to Market salaries.

Managers, Administrators represents 556 employees (7%); Professional: 4,007 (49%); Clerical, Secretarial: 2,500 (31%); and Paraprofessional, Technical: 1,084 (13%).

The Ohio State University
Fiscal Year 2000-01 Staff Comparative Salary Analysis
Positions Matched to Market
Adjusted for Benefits by Occupational Category: Clerical, Secretarial



Notes:

Ohio State: Average of positions matched to market; Excludes bargaining unit members, Hospitals, term and temporary employees.

Market Average: Composite of salaries of local/regional employers for positions matched to market.

State Government: Average of positions matched to market.

Benefits Adjustment: Average salaries adjusted for difference in benefit packages by applying factor of .97 to Market salaries.

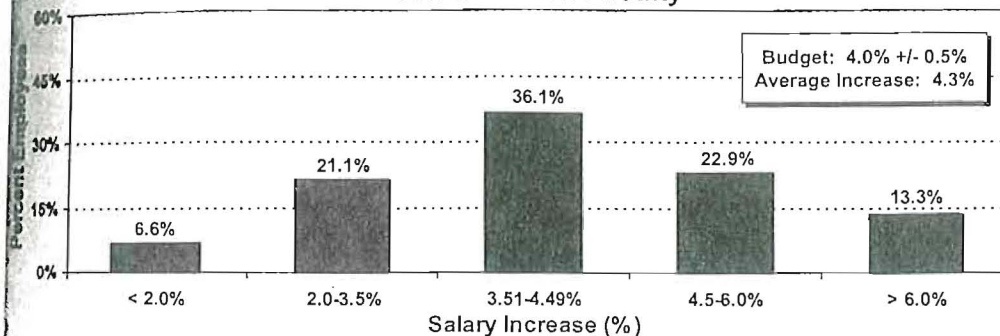


How are we investing our salary dollars?

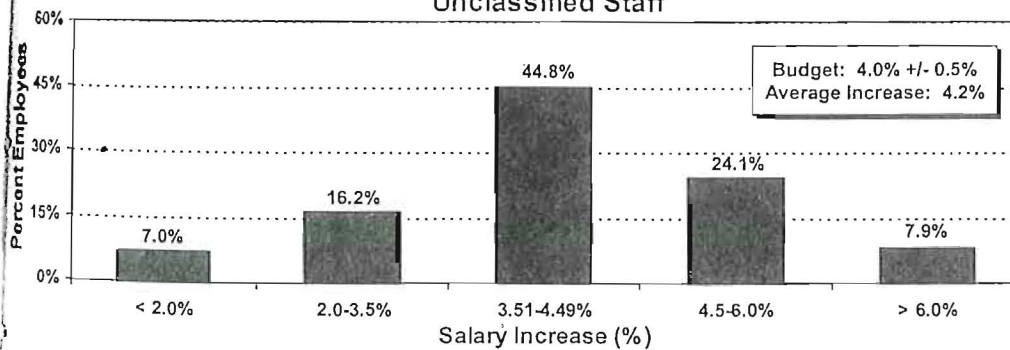
- Fiscal year 2001 raise distribution by classification
- Average faculty salary increases by minority status and gender

The Ohio State University
 Salary Increase Process
 Fiscal Year 2000-01 Raise Distribution

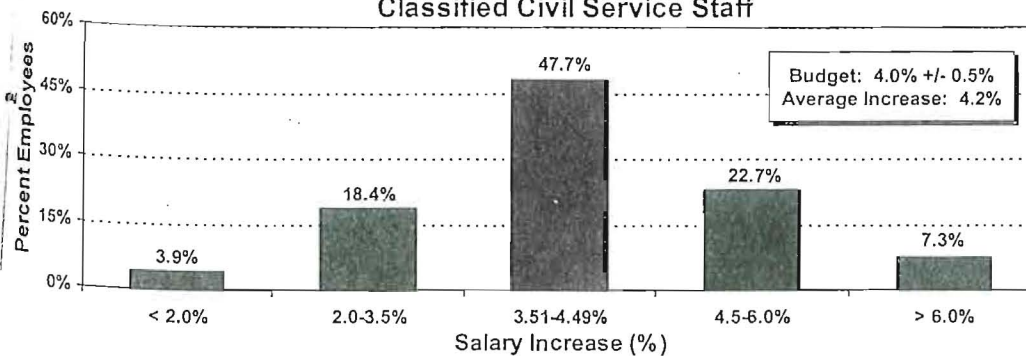
Tenure-Track Faculty



Unclassified Staff

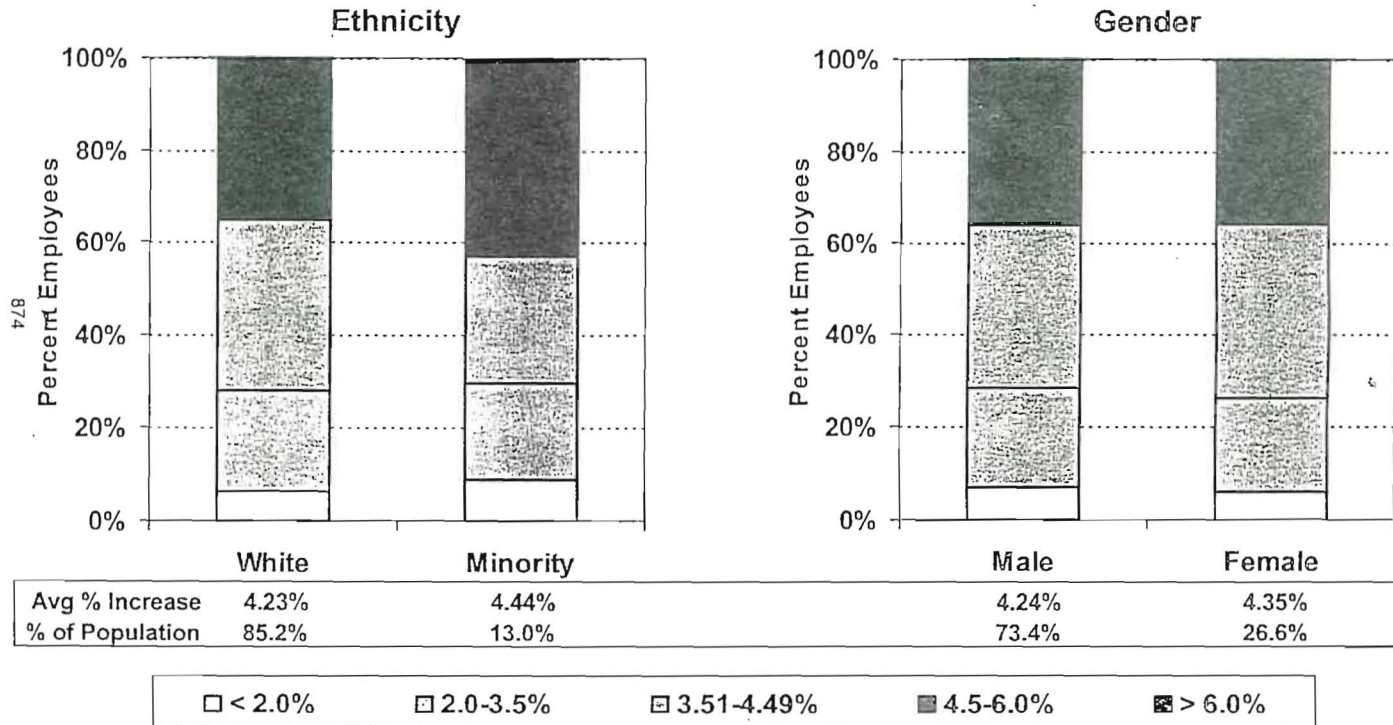


Classified Civil Service Staff



The Ohio State University
 Fiscal Year 2000-01 Salary Increase Distribution
 By Ethnicity and Gender

Tenure-Track Faculty



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How does the overall financial package for GA's compare?

- Graduate Associate financial support summary

**Summary of Graduate Associate Financial Support
Benchmark and Public CIC Institutions
Stipends, Fees, Fee Authorization and Medical Benefits for Autumn 1999**

All Graduate Associates Combined

Resident Students

Institution	Average Stipend	Total Fee Authorization	Medical Benefits	Average Fees	Net Institutional Investment	(Rank)	Average Net Financial Support	(Rank)
C	12,176	10,501	2,403	218	24,862	(1)	14,361	(1)
N*	14,843	106	1,588	3,414	13,123	(11)	13,017	(2)
D	11,170	4,958	2,502	658	17,972	(2)	13,014	(3)
I	11,970	5,160	1,765	1,012	17,883	(3)	12,723	(4)
G	10,853	5,040	2,144	882	17,155	(4)	12,115	(5)
E*	12,076	2,984	812	790	15,082	(7)	12,098	(5)
L	13,891	3,077	0	1,904	15,064	(8)	11,987	(7)
B	11,416	5,230	541	160	17,027	(5)	11,797	(8)
H	10,826	1,162	2,653	1,720	12,921	(12)	11,759	(9)
M	13,034	0	783	2,304	11,513	(13)	11,513	(10)
K*	11,287	3,327	726	1,493	13,847	(9)	10,520	(11)
J	11,805	2,990	0	1,336	13,459	(10)	10,469	(12)
Ohio State	10,482	5,157	0	207	15,432	(6)	10,275	(13)
F*	9,318	2,464	474	882	11,374	(14)	8,910	(14)

Nonresident Students

Institution	Average Stipend	Total Fee Authorization	Medical Benefits	Average Fees	Net Institutional Investment	(Rank)	Average Net Financial Support	(Rank)
C	12,176	21,107	2,403	218	35,468	(1)	14,361	(1)
N*	14,843	7,460	1,588	3,414	20,477	(8)	13,017	(2)
D	11,170	16,662	2,502	658	29,676	(2)	13,014	(3)
I	11,970	13,133	1,765	1,012	25,856	(3)	12,723	(4)
E*	12,076	11,608	812	790	23,706	(4)	12,098	(5)
G	10,853	9,900	2,144	912	21,985	(7)	12,085	(6)
L	13,891	11,322	0	1,904	23,309	(6)	11,987	(7)
B	11,416	5,230	541	160	17,027	(11)	11,797	(8)
H	10,826	5,050	2,653	1,720	16,809	(13)	11,759	(9)
M	13,034	7,152	783	2,304	18,665	(9)	11,513	(10)
K*	11,287	6,138	726	1,493	16,658	(14)	10,520	(11)
J	11,805	7,758	0	1,336	18,227	(10)	10,469	(12)
Ohio State	10,482	13,380	0	207	23,655	(5)	10,275	(13)
F*	9,318	7,994	474	882	16,904	(12)	8,910	(14)

Notes: * Non-Benchmark Institutions.

Data represents information for 9/10 month appointments.

Net Institutional Investment = Stipend + Fee Authorization + Medical Benefits - Fees.

Average Net Financial Support = Stipend + Medical Benefits - Fees.

Stipend, fee and fee authorization data for Penn State is as of AU 1998, as data for AU 1999 was unavailable.

Medical: Where multiple plans available, most common plan is reflected. If range of premiums, midpoint reflected.

Premiums represent composite of 80% single / 20% family coverage. UCLA's premium from AU 1998.

Fees include yearly parking fees most appropriate for GA. Where range provided, lowest cost used. Iowa not available.

UCLA's fees and fee authorization are based on 12 credit hours vs. 9 for other institutions.

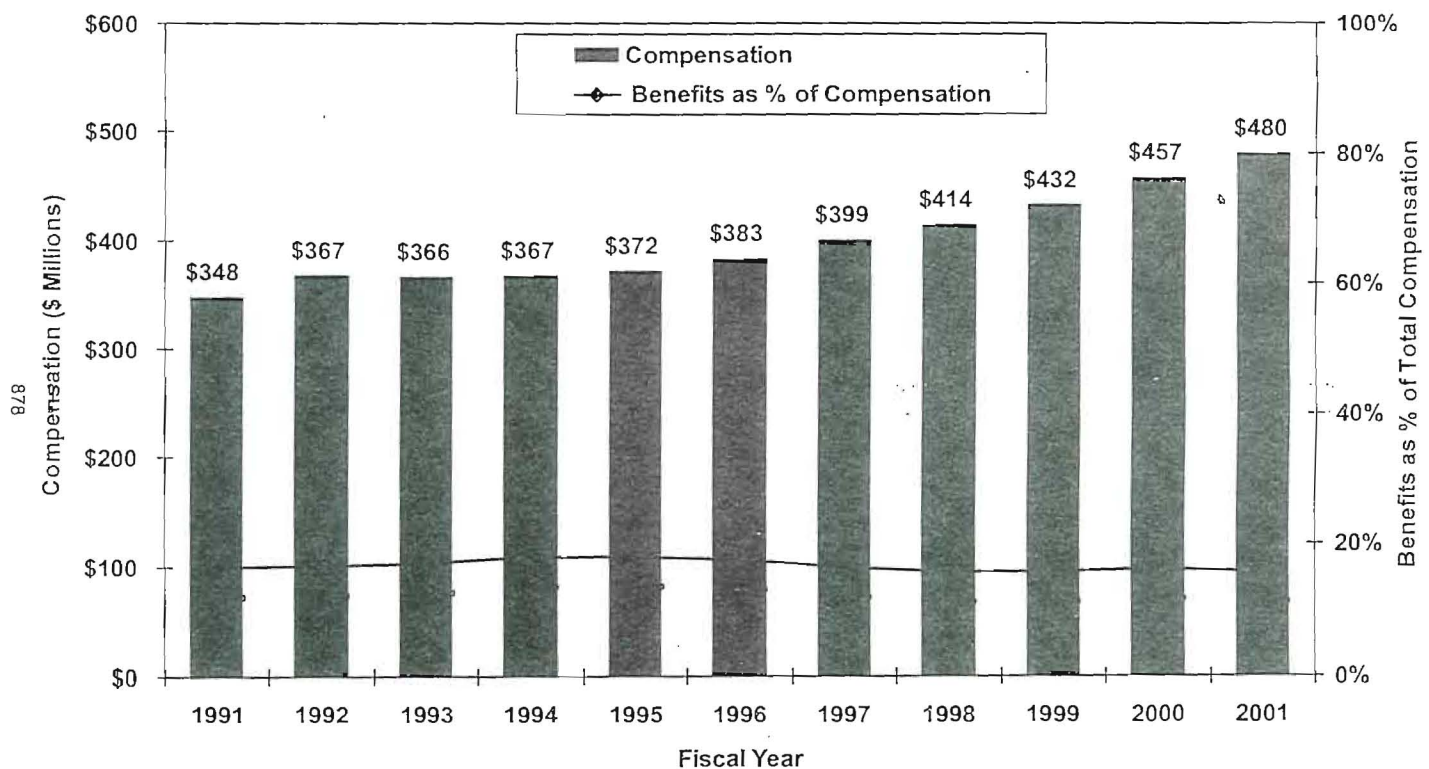
Sources: AAUDE Survey of Graduate Stipends, 1999-00 and 1998-99; medical premiums and parking fees - phone/web.



What is our history of the cost of benefits as a percent of payroll?

- Ten year history of Benefits as a % of payroll

The Ohio State University
Benefits Expenditures as a Percentage of Total Compensation Expenditures
Fiscal Year 1990-91 through 2000-01



Source: General Funds Budget Model

Notes: Population includes anyone paid through General Funds at the Columbus campus

Benefits Included are those used to calculate the Composite Rates: Retirement Plans, Medical Plans, Vision, Dental, Group Life, LT Disability, Unemployment Comp, Workers Comp, Dependent and Employee Fee Authorizations



Summary

- Our salary increases have been trailing the market
- Market will increase by approximately 4.0%
- Faculty salary ranking among benchmark institutions has slipped to 8 out of 10
- 13 of 17 colleges are below market for faculty salaries
- We continue to differentiate salaries based on performance



Summary (continued)

- Net institutional investment for Graduate Associates is competitive; however, net financial support is not
- We are among only 3 of 14 comparison institutions that do not provide any medical benefit subsidy to Graduate Associates
- Benefits cost as a percent of salary has remained steady during the past 10 years

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THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-SECOND MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, May 4, 2001

The Board of Trustees met at its regular monthly meeting on Friday, May 4, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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May 4, 2001 meeting, Board of Trustees

The Chairman, Mr. Skestos, called the meeting of the Board of Trustees to order on May 4, 2001, at 10:45 a.m. He requested the Secretary to call the roll.

Present: George A. Skestos, Chairman, David L. Brennan, James F. Patterson, Zuheir Sofia, Tamala Longaberger, Daniel M. Slane, Robert M. Duncan, Dimon R. McFerson, Jaclyn M. Nowakowski, and Kevin R. Filiatraut.

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**ELECTION OF OFFICERS
BOARD OF TRUSTEES**

Resolution No. 2001-120

WHEREAS pursuant to paragraph (B) of rule 3335-1-02 of the Administrative Code, the officers of the Board are to be elected at the May meeting to take office immediately following adjournment of the meeting (May 4, 2001) and shall hold their office through the May 2002 meeting:

NOW THEREFORE

BE IT RESOLVED, That the following slate of officers for 2001-2002 be elected:

David L. Brennan, Chairman

James F. Patterson, Vice Chairman

William J. Napier, Secretary

James L. Nichols, Treasurer

Upon motion of Mr. Skestos, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Skestos, Patterson, Sofia, McFerson, and Ms. Longaberger.

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COMMITTEE APPOINTMENTS FOR 2001-2002

Resolution No. 2001-121

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2001-2002 be approved as follows:

Student & Educational Affairs Committee:

Robert M. Duncan, Chair
Tamala Longaberger, Vice Chair
Daniel M. Slane
Kevin R. Filiatraut
New Student Trustee

Student Advising Ad Hoc Committee:

Tamala Longaberger, Chair
Robert M. Duncan, Vice Chair
New Student Trustee

Employee Education Ad Hoc Committee:

James F. Patterson, Chair
Dimon McFerson, Vice Chair
New Trustee

Fiscal Affairs Committee:

James F. Patterson, Chair
Karen L. Hendricks, Vice Chair
Dimon R. McFerson
Zuheir Sofia
New Trustee

Planning Ad Hoc Committee:

Karen Hendricks, Chair
Dimon McFerson, Vice Chair

Athletics Ad Hoc Committee:

Daniel M. Slane, Chair
Karen Hendricks, Vice Chair
James F. Patterson

COMMITTEE APPOINTMENTS FOR 2001-2002 (contd)

Investments Committee:

Zuheir Sofia, Chair
Karen Hendricks, Vice Chair
Robert M. Duncan
New Trustee
John Gerlach, Jr., (Foundation Board)
Ex Officio
William Ingram III (Foundation Board)
Ex Officio

Agricultural Affairs Committee:

James F. Patterson, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Tamala Longaberger
Dimon R. McFerson
New Student Trustee

Outreach & Engagement Committee:

Tamala Longaberger, Chair
Daniel M. Slane, Vice Chair
Karen L. Hendricks
Kevin R. Filiatraut
Dan Heinlen, Ex Officio
Distance Learning Ad Hoc Committee:
Daniel M. Slane, Chair
Tamala Longaberger, Vice Chair
Zuheir Sofia
Kevin R. Filiatraut
Ted Celeste, Ex Officio

Government Relations Committee:

David L. Brennan, Chair
James F. Patterson, Vice Chair
Daniel M. Slane
Robert M. Duncan
New Trustee
Michael F. Colley, Ex Officio
William Blair, Ex Officio
Stan Aronoff, Ex Officio

Affiliated Entities Committee:

Dimon R. McFerson, Chair
Robert M. Duncan, Vice Chair
Karen Hendricks
Kevin R. Filiatraut

Personnel Committee:

David L. Brennan, Chair
James F. Patterson, Vice Chair
Zuheir Sofia

Regional Campus Boards:

Lima – New Trustee
Mansfield - James F. Patterson
Marion - Dimon R. McFerson
Newark - Daniel M. Slane

**The Arthur G. James Cancer Hospital
and Research Institute Board:**

Zuheir Sofia, Chair
Daniel M. Slane

University Hospitals Board:

Zuheir Sofia
Daniel M. Slane

Research Foundation Board of Directors:

Karen L. Hendricks

**Science and Technology Campus
Board of Directors:**

Robert M. Duncan

**University Managed Health Care System,
Inc., Board of Directors:**

Robert M. Duncan

University Foundation Ex Officio

Class of Directors:

James F. Patterson (1 year)
Tamala Longaberger (2 years)
Zuheir Sofia (3 years)

Wexner Center Foundation Board:

Leslie H. Wexner (2002)
New Trustee

Upon motion of Mr. Skestos, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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EXPRESSION OF APPRECIATION TO JACLYN M. NOWAKOWSKI

Judge Duncan:

This morning the Board is bidding a fond farewell to our student trustee, Jaclyn Nowakowski, whose term on this Board is sadly coming to an end.

Jaclyn has been a remarkable trustee these past two years, and we have truly valued her tremendous insight on governance issues and on the student experience at the University. Jaclyn is a good listener and has always been willing to speak out on matters which concern her.

Her commitment to student involvement in community service has been a big part of her experience at the University, particularly the "Make a Difference Day" service project, which she co-chaired for two years. I think her activism in the University was highlighted by her incredible commitment to community service, both on our campus and a number of other campuses throughout the Big Ten and at the bowl game. It was always Jaclyn who was there to do whatever it took to make those projects successful.

She has been an excellent student. Her commitment to academics has led to her acceptance into the Chimes, Mirrors, and Sphinx honoraries.

And Jaclyn's commitment to this Board has been exceptional. She has spent countless hours attending Board business and when the Board activity demanded that she be there, she was there. We are grateful to her for this dedication to service to the University.

She will be graduating next month with her undergraduate degree in Psychology, with honors, and going on to become a SPARTAN! She is going to attend graduate school at Michigan State University and we're delighted that she is in that program.

Jaclyn, we know that wherever your goals will take you, you'll always be a Buckeye and always remain "firm in your friendship" for Ohio State. We will miss your intellectual input, we will miss your grace, style, and class, but more than anything else, we're going to miss your total friendship.

Please join me in congratulating Jaclyn as we present her with this plaque from the Board in honor of her outstanding service to this University.

Ms. Nowakowski:

The past two years have meant a tremendous amount to me. It's going to be hard to leave Ohio State for all the experiences I've had, especially the relationship that I've had with all of you sitting around this table.

This has been a once-in-a-lifetime opportunity. It's been a blessing and an honor for me to be able to have participated to such a great extent with the University, to have an impact on its future, to be able to see that we're moving in such a positive direction, and to have been able to influence that just a little bit.

I have not gotten here by myself. I owe a lot of thanks to all my friends, faculty, and staff who have been my support over the past four years. Without them – me calling or e-mailing them late at night with questions or problems, or just to celebrate success – I couldn't have done it. They're very important to me. Most important, I have to thank my parents because without them – literally and figuratively – I wouldn't be here. I owe a great deal to them and they should know that.

May 4, 2001 meeting, Board of Trustees

EXPRESSION OF APPRECIATION TO JACLYN M. NOWAKOWSKI (contd)

Ms. Nowakowski: (contd)

Most importantly, I thank all of you. I've spent special time with each one of you and going to Michigan State -- even though it's in Michigan -- you should know that I'll miss each and every one of you.

Thank you.

Mr. Skestos:

Thank you, Jaclyn, and we will truly miss you.

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PRESIDENT'S REPORT

President William E. Kirwan:

Mr. Chairman and members of the Board, I want to talk with you today, at the midpoint of our Spring Quarter, on three very different topics. First, I am extremely disappointed to report that for the past two weekends we have experienced more destructive behavior east of High Street -- behavior that far exceeds even a charitable definition of student revelry. Second, we continue to fight for the public resources we will need to adequately discharge our responsibilities and to achieve our goals as a University. Finally, and in sharp contrast to the first two items, we continue to generate a significant amount of very good news -- accomplishments about which we can all be extremely proud. I'll return to the good news in just a few moments.

As everyone here knows, we have a serious problem with highly disruptive and destructive parties in areas east of High Street involving as many as 2,000 students and non-students. Such events are occurring too frequently, the level of objectionable activity is too high, and the potential for even more serious damage to life and property is too serious to countenance or ignore. In short, this behavior is inappropriate and often illegal, and we are determined to bring this situation under control.

To this end, we are sharply escalating both enforcement and prevention activities. On the enforcement side, we are working closely with Mayor Coleman and public safety officials to accelerate legal steps and improve methods for identifying the more serious offenders. Posting video footage of riot scenes on the University Police website has definitely helped. A number of students have come forward to identify some of those involved. I want to assure the Board that any students identified as participating in disruptive acts will be brought before our judicial board.

With another weekend fast approaching, we have put students on notice that those who host large public parties will be charged with violation of Ohio State's *Code of Student Conduct* if their parties get out of hand, and they will be immediately suspended from school pending resolution of the charges. We will identify as many participants as we possibly can and discipline them, subject to the *Code of Student Conduct*. We will pursue these and every other means at our disposal to bring the situation under control.

We have contacted landlords in areas east of High Street, asking them to crack down on unruly tenants. The city is enforcing block party regulations, fire and

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

building codes, and alcohol control laws. We have met with beer distributors and liquor control officials about steps they can take to help improve the situation.

I want to thank the student leaders who are taking the initiative to urge their fellow students to cease this lawless and destructive behavior. We must never forget that the students involved in the disturbances represent a tiny fraction of our student body.

I also wanted to say a special word of thanks to Bill Hall who, with his colleagues in Student Affairs, has shown tremendous leadership in trying to address this problem. Bill has contacted area high schools and colleges, discouraging their students from visiting the campus on these occasions. Mayor Coleman, City Council President Habash, and I have written the parents of undergraduate students asking them to talk with their sons and daughters and urge them not to participate in these unruly and potentially very dangerous events. Ed Ray and I also sent an e-mail to all faculty, asking them to take a few minutes in their classes and talk to students about the threat these parties pose.

Earlier, we designed Smart Party initiatives that involve educational efforts as well as teams of Columbus and Ohio State police officers walking or biking through the neighborhoods and helping responsible hosts keep their parties under control. Sober Hosts kits were made available to those who agree to act responsibly. We have also provided alternative alcohol-free activities.

We hope that these steps will restore the necessary calm and that going forward, our students will be able to have a good time without jeopardizing the safety or property of others. However, I assure you that we will not rest until this situation is brought under control.

Let me turn to the state budget. Last month, I spoke at some length about the state budget for the next biennium and our efforts to enhance it. It is increasingly clear that with state revenues falling, Medicaid costs rising, and a Supreme Court order mandating increased funding for elementary and secondary education, the state will not make the kind of investment in higher education that the future of Ohio requires.

Just this week, the House of Representatives passed its version of the budget, which has now gone to the Senate for consideration. It was only through the hard work of many people here at Ohio State and other education leaders across the state, that the higher education budget includes even minimal increases in the State Share of Instruction and Access Challenge, with current year funding levels maintained for the Success Challenge and Research Challenge.

The budget is a great disappointment. My immediate concern is that we will not have the funds to provide competitive salaries for our talented faculty and staff, and to make the kind of investments needed to move us toward our goals for academic excellence.

My long-term concern is not just for Ohio State. If the State of Ohio does not make higher education a priority, and soon, and make the kind of investments in it that most competing states have in recent years, our economy will continue on its downward spiral to the bottom quartile of the nation's fifty states.

I find it incomprehensible that the state, which ranks 41st in state support for higher education but 21st in state support for K-12 education, is preparing to

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

invest billions more in K-12, while essentially ignoring higher education. Don't get me wrong – I am all for better funding of the K-12 sector. I just don't understand why, in the era of a knowledge economy, the state's investment in quality education should stop at the 12th grade.

The House budget does raise the cap on tuition for Ohio State from six to nine percent for fiscal year 2002, removing it entirely for all institutions in 2003. We hope to improve our position somewhat in the Senate version of the budget and, possibly, through the pursuit of a separate but related initiative.

With the situation still in flux, it's too early to identify the specific implications that this budget will have for our ongoing needs and our proposed new initiatives. An exception is our request for Board approval today on the transfer of Rainy Day Funds to cover temporarily \$5 million in state cuts for the current academic year. Through such measures as this, we are trying to do everything possible to protect our academic core.

Following my presentation, Provost Ed Ray and Chief Financial Officer Bill Shkurti will comment on the topic of compensation. I hope to have more to say on this subject at our meeting in early June.

As I said at the outset, the disappointment of recent off-campus activities and the reality of our budget situation sits in sharp contrast to the large number of extraordinary accomplishments by members of the University community. Let me start with several sensational faculty honors.

Two members of our history department have received Guggenheim Fellowships, sharing the receipt of this prestigious award with 180 other fellows nationwide. Chosen from over 2,700 applicants, Guggenheim Fellows are named on the basis of distinguished past achievement and exceptional promise.

Ohio State's pair of winners this year are: James Bartholomew, a specialist in modern Japanese history and chiefly interested in the history of science; and Geoffrey Parker, the Andreas Dorpalen Professor of History and an associate at the Mershon Center, specializes in early modern European military history. This is the second time in the past three years that the history department has had two Guggenheim prize winners – an unprecedented accomplishment.

I'm also pleased to report that Diane Birckbichler, chair of French and Italian and director of the Foreign Language Center, won the 2001 Ernest L. Boyer International Award for Excellence in Teaching, Learning, and Technology. This is a national award and she was the winner. She is a national leader in the areas of individualized foreign language study, teacher training, and assessment.

In addition, Jacques Herzog, the Herbert Baumer Distinguished Visiting Professor at the Knowlton School of Architecture, has been awarded the Pritzker Prize, architecture's equivalent of the Nobel Prize.

Coincidentally, Ohio State has three new fellows of the American Institute of Architects. They are: Rob Livesey, director of the Knowlton School; Frank Elmer, an alumnus and lecturer; and Jill Morelli, University architect.

Last month, I reported on the most recent graduate school rankings by *U.S. News and World Report*. I noted that, among others receiving high rankings, the

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Fisher College of Business ranked 23rd overall among almost 350 institutions with MBA programs.

But that was then; this is now. On Monday, the *Wall Street Journal* published its first-ever corporate recruiter ranking of MBA programs from around the world. These rankings are even better.

In that *Wall Street Journal* tally, the Fisher College was ranked 14th overall. Fourteenth in the nation among public and private universities -- ahead of the Wharton School and Stanford University. Not only that, it ranks 6th among all public universities and ranked 3rd in terms of long-term return on the recruiter investment.

While I'm on the subject of the Fisher College of Business, I want to again thank Roger and Tina Blackwell for their support of the Fisher College. Support that, subject to your approval later this morning, will designate the "Roger D. Blackwell Inn at Fisher College."

We're also very proud of our College of Education. On a national test for future teachers, which is comparable to the bar exam for aspiring lawyers, Ohio State's passing scores were the third highest in the nation -- a really remarkable accomplishment. Ohio State has been a national leader in education for decades, and our students' success on their licensing exam shows we continue to graduate outstanding teachers.

Let me close with several successes in the field of athletics. We're very proud of our men's tennis team, which upset Illinois to win the Big Ten Championship this year. Incidentally, Coach Ty Tucker was a player on the last Ohio State team to win a conference championship in 1991. On another sports note, today's consent agenda includes approval to name the "Jesse Owens Memorial Stadium" in honor of one of the greatest athletes ever to wear the scarlet and gray.

This morning I want to pay special tribute to our men's gymnastic team, which won both the Big Ten and the national title -- the NCAA championship -- this past month. Senior Jamie Natalie won the all-around title for the second consecutive season; sophomore Raj Bhavsar finished second in the all-around and will join Jamie in representing the United States at international competitions in the coming months; and senior Daren Lynch was the national vault champion. We're very proud of the entire team.

We have with us today assistant coach Peter Landry, since head coach Miles Avery is on a recruiting trip to ensure that we continue to hold these two titles.

This is really an extraordinary accomplishment. It is a shame that we don't have a video to show, but the national championship came down to the last event of the evening. There were fractions of points separating the two teams. One of our team members would do something and score, and then Oklahoma would do something and score -- it was nip and tuck. At the final moment, the Buckeyes came through to win!

Coach Landry, we're so proud of you and the coaching staff, and these fabulous young men, so we want to pay tribute to you this morning. Coach, would you like to say a word?

May 4, 2001 meeting, Board of Trustees

PRESIDENT'S REPORT (contd)

Coach Peter C. Landry:

Thank you for this recognition. Several athletes are in class right now and were not able to attend this morning, but I hope we can make it back again next year after the next NCAA Championship.

President Kirwan:

Coach, you mentioned team members being in class, would you please tell the grade point average of this team?

Coach Landry:

The GPA was approximately a 3.0, so these guys are doing it both in the classroom and on the floor.

Team member:

We also have two Big Ten Medal of Honor finalists.

President Kirwan:

Fabulous.

Coach Landry:

Also, Jamie Natalie just got accepted into med school here at OSU.

President Kirwan:

That's wonderful. Thank you very much.

Mr. Chairman and members of the Board, in spite of some problems, we still have a great deal to be thankful for. Through it all, and by the dint of extraordinary effort on the part of our very talented faculty, staff, and students, we continue to advance this marvelous University.

This concludes my report.

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REPORT ON COMPENSATION GUIDELINES

Provost Edward J. Ray:

Before we turn to the particulars of our recommendation at this time and the implications, let me just make one comment. I know most of the Board members are familiar with the fact that we normally make a specific recommendation for your approval with respect to compensation at the May Board meeting. This is not a normal time. The President mentioned some of the reasons with respect to the state budget.

I'd like first to ask Bill Shkurti to talk about the unusual nature of the year and the circumstances that we find ourselves in. Bill --

REPORT ON COMPENSATION GUIDELINES (contd)

Mr. William J. Shkurti:

Thank you, Ed. This is an unusual year. Our budget numbers are always subject to change, but this particular year they seem to be changing daily. This will be the first time we haven't recommended salary guidelines at the May Board meeting since 1994. There are a couple reasons for this. One is that we had a budget reduction by Executive Order very late in the year, the current fiscal year of 2001, as the President mentioned. This is the latest cut in at least the 30 years I'm familiar with state budgets. I think it reflects that the Governor was very concerned about the state-of-the-state budget, the state economy.

In addition, it's clear that the state funding increases for Fiscal Year 2002 are likely to be minimal -- the numbers are still subject to change. I notice the state budget director was expressing in the newspaper two days ago some concern about continued weakness in the state economy. So, as difficult as it may seem, the news could get worse at some point even after the budget is passed. Hopefully, it won't happen, but that's certainly a possibility.

Another element we have is that normally when we do the compensation guidelines, because they are a commitment, we like to have it based on some formal action by the legislature. Although the House has now moved the budget out of committee, normally, by this time, the whole House has voted on a budget and it's well along in the Senate. That hasn't happened yet.

This year we're also dealing with major spikes in the cost of health insurance for University faculty and staff. Also, our natural gas bills are up 89 percent. Nobody really knows where energy costs are going.

Let me share with you an example of the impact this has on the budget. One of the questions I get, and I'm sure you as Trustees get this as well is, "If the University is going to raise tuition nine percent, what does it matter what the State does? You're still getting all this money, why can't you do salary increases?"

I think what's important to remember is that of the nine percent increase we've requested from the state, the three percent that's above what the normal cap is committed to improving undergraduate education, not continuing current operations. That money is not available for day-to-day running of the institution. That still leaves a six percent increase.

One percent of that we need to offset the cost of tuition to student financial aid, so that tuition increases are not a barrier to our students. So that leaves about a five percent increase in tuition, which is still significant. For each percent of increase in undergraduate tuition, the University's general fund nets about \$1.3 million. So a five percent increase would generate \$6.5 million, which even for an institution of this size is a lot of money.

To give you a comparison of what \$6.5 million will buy, the increase in the University share for University faculty and staff health insurance for those employees that are on the general fund -- not those that are funded by Athletics or the Hospitals -- is \$6.6 million. In other words, the entire income that we generate from a five percent increase in undergraduate tuition is needed to pay for a 30 percent increase in health insurance costs of our faculty, staff, and students. In addition, faculty and staff will be paying a higher portion of their own premiums. So these are some of the issues we're struggling with as we try to put the budget together.

REPORT ON COMPENSATION GUIDELINES (contd)

Mr. Shkurti: (contd)

I think it's fair to say this is the most difficult budget picture since the early 1990s. Although we made it through that period, it was very difficult and it looks like we have a difficult biennium ahead of us.

At this point I will turn the microphone back over to Provost Ray, who will talk about the consequences of this uncertain budget picture in terms of our compensation strategy.

Provost Ray:

Thanks, Bill. As Bill indicated, we're not making compensation guideline recommendations to the Board today. We do expect to return at the time of the June Board meeting to make a specific request and ask for formal approval.

Among the consequences is a financial picture that Bill has painted for you. We've given considerable thought to what we believe we need to try to do at a minimum moving forward.

We hope that when we come back to you in June that, at the very least, we'll be able to propose an average increase of something on the order of \$375 for every faculty and staff member who is performing satisfactorily. Again, at a minimum that would offset the employee share of health and parking increases for most of our employees. We also hope to come back and make recommendations about improved financial support for our graduate students. We probably won't be able to do much more in the next fiscal year given the current environment that we're in, but we'll be back to the Board with specific recommendations in June.

More broadly, what are the implications of the situation that we're describing here? If we do come back with the kind of minimum dollar increase on average for people performing satisfactorily of the sort I just mentioned, that will certainly help the lowest paid employees the most; but, Ohio State University will fall further behind the market for both faculty and staff. A concern that the President mentioned in his remarks and it increases the retention challenge that we have for our very best people among our faculty and staff.

As you know, we're talking about a biennium budget. We expect that the difficulties that we face for Fiscal Year 2003 are not likely to be any better than the situation that we find ourselves looking at for the coming year, at least in terms of state support. Among other things, we intend to immediately begin to develop plans to consider ways to reallocate existing resources to position ourselves more favorably to work on the issue of compensation increases for Fiscal Year 2003.

Let me stop there and see if there are any questions.

Mr. Skestos:

Are there any questions of either Ed or Bill? If not, thank you very much.

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May 4, 2001 meeting, Board of Trustees

Mr. Skestos:

I would like to now express our appreciation to two of our student government leaders – Ryan Robinson and Ron Meyers. The Board of Trustees would like to express their appreciation to both of you for your tremendously committed service to Ohio State.

Ron has diligently served these past two years as president of the Council of Graduate Students and Ryan has come on board rather recently as president of the Undergraduate Student Government. It is with great pride that this Board congratulates you both on your accomplishments during your terms of office and your effective leadership.

Ron, we understand that you'll be continuing graduate work and hope to complete your Ph.D. degree in natural resources early this next year. You have given a tremendous amount of your time and service to the University, its students, and the community. I'm sure that we'll hear great things about you in the future.

Ryan is graduating later this year and is certainly a young man to whom this University owes a great debt. Ryan stepped into the leadership of USG following some difficult and trying times. He worked very hard with his constituents to bring respect and confidence back to USG.

We wish you both continued success and want to thank you for all of your hard work on behalf of the students here at Ohio State. Good luck to both of you.

EXPRESSIONS OF APPRECIATION

Ronald B. Meyers

WHEREAS Ronald B. Meyers, a graduate student in Natural Resources, has vigorously served the last two years as President of the Council of Graduate Students; and

WHEREAS giving generously of his time, Ron has represented the diverse interests of the graduate students in a truly exemplary manner; and

WHEREAS Ron has worked tirelessly in order to bring matters and issues of special interest to graduate students to the attention of this Board; and

WHEREAS he has been an active and outstanding representative of the graduate students on many University committees, including the BuckEyes Watch Coordinating Committee, Senate Steering, Council on Research and Graduate Studies, Campus Partners, and the Council of Student Affairs; and

WHEREAS in his capacity as President of the Council of Graduate Students, Ron has carried out his duties with a high degree of integrity and professionalism, and has earned the respect and regard of the faculty, staff, and students with whom he has interacted:

NOW THEREFORE

BE IT RESOLVED, That this Board expresses its appreciation to Ronald B. Meyers for his outstanding contributions of leadership and service to The Ohio State University, and wishes to convey to him its best wishes for success in the future.

May 4, 2001 meeting, Board of Trustees

EXPRESSIONS OF APPRECIATION (contd)

Ryan M. Robinson

WHEREAS Ryan Robinson, a graduating senior majoring in History and Political Science, has enthusiastically served as the President of the Undergraduate Student Government; and

WHEREAS Ryan stepped into the office of USG president late in the year and has worked tirelessly and successfully to restore confidence in USG and its officers; and

WHEREAS he has given generously of his time and has represented the diverse interests of the undergraduate students in a truly exemplary manner; and

WHEREAS Ryan has been a strong advocate for students and has worked effectively to quickly gain an understanding of matters and concerns of special interest to undergraduate students and has brought them to the attention of this Board; and

WHEREAS in his capacity as President of the Undergraduate Student Government, Ryan has carried out his duties with a high degree of integrity and professionalism, and has earned the respect and regard of the faculty, staff, and students with whom he has interacted:

NOW THEREFORE

BE IT RESOLVED, That this Board expresses its appreciation to Ryan M. Robinson for his outstanding contributions of leadership and service to The Ohio State University, and wishes to convey to him its best wishes for success in the future.

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CONSENT AGENDA

President William E. Kirwan

We have 13 resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend these for the Board's approval:

**ESTABLISHMENT OF THE OFFICE OF UNDERGRADUATE STUDIES AND
THE OFFICE OF UNDERGRADUATE STUDENT ACADEMIC SERVICES**

Resolution No. 2001-122

Synopsis: The establishment of the Office of Undergraduate Studies and the merger of University College and the Colleges of Arts and Sciences Administration into a new unit called the Office of Undergraduate Student Academic Services (USAS), are proposed.

WHEREAS The Ohio State University has changed to competitive admissions and focused considerable resources on improving student services and establishing new programs for undergraduate students; and

WHEREAS in providing a seamless and effective organization for serving the needs of undergraduate students, the Office of Undergraduate Studies is hereby established under the direct supervision of the Vice Provost and Dean for Undergraduate Studies; and

WHEREAS this new office will oversee the operations of the University Honors and Scholars Center, the Office of Enrollment Services, and the Office of Undergraduate Student Academic Services, hereby established below; and

May 4, 2001 meeting, Board of Trustees

**ESTABLISHMENT OF THE OFFICE OF UNDERGRADUATE STUDIES AND
THE OFFICE OF UNDERGRADUATE STUDENT ACADEMIC SERVICES (contd)**

WHEREAS University College was created by action of the Board of Trustees on May 12, 1966, effective July 1, 1966, to maintain open admissions with the mandate of the Ohio Board of Regents that it limit enrollment on the main campus to 40,000 students and with a concern to establish a two-year College that would serve as the lower-division educational program meeting the requirements of open admissions; and

WHEREAS University College administration and staff have served The Ohio State University and its students very well with complete integrity and utmost regard for the advising and the individual needs of its student; and

WHEREAS now that the University has changed to competitive admissions and colleges are progressing with directly enrolling their students, the Office of Undergraduate Student Academic Services (USAS) has been formed through a series of moves toward direct enrollment of freshmen in their degree-granting colleges, with the reorganization and merger of University College and the Colleges of the Arts and Sciences Administration:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the establishment of the Office of Undergraduate Studies, and that University College and the Colleges of Arts and Sciences Administration be merged into a new unit called the Office of Undergraduate Student Academic Services reporting to the Office of Undergraduate Studies, effective immediately; and

BE IT FURTHER RESOLVED, That the Secretary of the Board of Trustees be hereby authorized and directed to incorporate the above changes in the Bylaws of the Board of Trustees and the Rules of the University Faculty.

NAMING OF BUILDING

Resolution No. 2001-123

Synopsis: The naming of the Inn at The Max M. Fisher College of Business, located at 2110 Tuttle Park Place, is proposed.

WHEREAS the Fisher College of Business at The Ohio State University is completing the construction of an executive residence facility as the final building of the new business campus; and

WHEREAS Roger D. Blackwell has had a long and distinguished career as a member of the marketing faculty of The Fisher College of Business, having taught undergraduate, graduate and executive education students at Ohio State for over thirty-five years; and

WHEREAS Professor Blackwell serves with distinction on numerous public and private corporate boards and is a highly-sought consultant and lecturer to national and international business groups; and

WHEREAS Dr. Blackwell has received the University's Distinguished Teaching Award and has written more than 20 books on marketing strategy and global marketing; and

WHEREAS Roger and Tina Blackwell have made a generous gift to support the Fisher College of Business; and

WHEREAS this naming has been strongly advocated for and endorsed by the dean of the Fisher College of Business and has been approved and recommended by the President's Planning Cabinet:

May 4, 2001 meeting, Board of Trustees

NAMING OF BUILDING (contd)

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the building located at 2110 Tuttle Park Place shall be named "Roger D. Blackwell Inn at Fisher College," effective immediately.

NAMING OF FACILITY

Resolution No. 2001-124

Synopsis: The naming of the track and soccer stadium, located at 2450 Fred Taylor Drive, is proposed.

WHEREAS The Ohio State University is completing the construction of a new track and soccer stadium; and

WHEREAS Jesse Owens was reared in Cleveland, Ohio, and attended The Ohio State University, and during his collegiate track career, he brought national acclaim to the University through his records and remarkable accomplishments; and

WHEREAS Jesse Owens became a national hero by virtue of his record-breaking performance, winning four gold medals at the Olympic Games in Berlin, Germany, in 1936; and

WHEREAS Jesse Owens became an international hero and spokesperson for youth groups, community programs, and global issues; and

WHEREAS Jesse Owens is an Olympic champion; received the Medal of Freedom, the highest civilian honor which the United States can bestow; was presented with the Living Legend Award and, posthumously, with the Congressional Gold Medal; and

WHEREAS Andy Geiger, the director of Athletics, strongly endorses this naming and it has been approved and recommended by the President's Planning Cabinet:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the facility located at 2450 Fred Taylor Drive shall be named "Jesse Owens Memorial Stadium," effective immediately.

NAMING OF INTERNAL SPACES

Resolution No. 2001-125

Synopsis: The naming of internal spaces within University facilities is proposed.

WHEREAS gifts and gift commitments have been received by donors to support the construction, equipping or furnishing of the classrooms, offices, laboratories and other noted spaces; and

WHEREAS upon the recommendation of the President and the President's Planning Cabinet, it has been proposed that the donors to these internal spaces be recognized for their generosity:

NOW THEREFORE

NAMING OF INTERNAL SPACES (contd)

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the aforementioned internal spaces in campus facilities shall be named as follows:

Project: Shisler Center for Education and Economic Development - ATI at Wooster

- Rubbermaid Home Products Courtyard (Courtyard)
- Seaman Corporation Group Room (Room 148)
- Wayne County National Bank Foyer (Foyer)
- Will•Burt, Dennis B. Donahue Group Room (Room 150)
- Continental Office Environments Group Room (Room 120)
- Wayne County National Bank, Trustee of Laura B. Frick Charitable Trust Seminar Room (Room 110)

Project: Mathematics Tower, 231 W. 18th Ave.

- N. Wayne Rhodus Alcove (East Alcove, Room 724)

Project: Fawcett Center, 2400 Olentangy River Road

- Novice G. Fawcett Library (Room 103)

Project: Veterinary Teaching Hospital, 601 Vernon Tharp Street

- Jane's Lobby (Patient Waiting Area, Room 1000). In honor of a well-loved dog and the loving care she received here in the hands of friends with a gift from her family, Don and Teckie Shackelford of Gahanna, Ohio.

HONORARY DEGREES

Resolution No. 2001-126

Synopsis: The awarding of four honorary degrees is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees the awarding of honorary degrees as listed below:

Paul E. Bierley	Doctor of Music
Thomas R. Cech	Doctor of Science
John L. Holland	Doctor of Social Science
Ray D. Owen	Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

PERSONNEL ACTIONS

Resolution No. 2001-127

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the April 6, 2001 meeting of the Board, including the following Appointments/ Reappointments, Change in Title, Appointment of Chairperson, Reappointment of Principal Administrative Official, Appointment of Principal Administrative Official Extension, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved April 12, 2001, by The Ohio State University Hospitals Board, be ratified.

Appointments

Name: JOHN C. BYRD
Title: Director/Associate Professor (The D. Warren Brown Designated Professorship in Leukemia Research)
Division: Hematology and Oncology
Term: May 1, 2001 through June 30, 2006
Present Position: Assistant Chief, Hematology-Oncology Service, Walter Reed Army Medical Center, Washington, DC, and Assistant Professor of Medicine, Uniformed Services University of Health Sciences, Bethesda, MD, and Visiting Assistant Professor of Oncology, Johns Hopkins University, Baltimore, MD

Name: JOHN A. COOLEY
Title: Assistant Vice Provost and Assistant Dean
Office: Undergraduate Studies/Academic Affairs
Term: February 1, 2001 through June 30, 2005
Present Position: Assistant Dean, Office of the Undergraduate Dean, Colleges of the Arts and Sciences

Name: PETER E. GEIER
Title: Senior Associate Vice President for Health Sciences Business and Administration
Office: Health Sciences
Effective: April 16, 2001
Previous Position: President and Chief Operating Officer, Huntington Bancshares, Inc.

Name: RICHARD W. HALL
Title: Interim Dean
College: Biological Sciences
Term: September 1, 2001 through June 30, 2002
Present Position: Associate Dean, College of Biological Sciences

Name: RAJENDRA SINGH
Title: Professor (The Donald D. Glower Chair in Engineering)
Department: Mechanical Engineering
Term: July 1, 2001 through June 30, 2006

Concurrent
Appointment

Name: MICHAEL J. HOGAN
Title: Executive Dean of the Colleges of the Arts and Sciences
Term: July 1, 2001 through June 30, 2004
Present Position: Dean, College of Humanities

May 4, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Reappointments

Name: E. CHRISTOPHER ELLISON
Title: Professor (The Robert M. Zollinger Chair of Surgery)
Department: Surgery
Term: July 1, 2001 through June 30, 2005

Name: PAUL A. WEBER
Title: Professor (The Irene D. Hirsch Chair in Ophthalmology)
Department: Ophthalmology
Term: July 1, 2001 through June 30, 2005

Change in Title

Name: MABEL G. FREEMAN
Title: Assistant Vice President for Undergraduate Admissions and First Year Experience
Office: Enrollment Services/Academic Affairs
Effective: April 1, 2001
Present Position: Assistant Vice President for First Year Experience and Interim Director of Undergraduate Admissions, Office of Enrollment Services/Academic Affairs

Appointment of Chair of Department

April 1, 2001 through March 31, 2002

Orthopaedics

Ray C. Wasielewski*

*Interim

Reappointment of Principal Administrative Official

SUSAN L. HUNTINGTON, Dean, Graduate School, effective July 1, 2001, through June 30, 2006, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Appointment of Principal Administrative Official Extension

ROBERT GOLD, Dean, College of Mathematical and Physical Sciences, effective July 1, 2002, through June 30, 2003, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Leaves of Absence Without Salary

FERNANDO UNZUETA, Associate Professor, Department of Spanish and Portuguese, effective October 1, 2001, through December 31, 2001, to accept a visiting professorship at Cornell University, Department of Romance Studies.

AMELIA S. CARR, Assistant Professor, Department of Management Sciences, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a visiting professorship at Georgia State University, School of Business.

May 4, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Leave of Absence Without Salary—Continuation

JOHN W. ROBERTS, Department of English, effective March 1, 2001, through February 28, 2002, to continue his appointment as Deputy Chair of the National Endowment for the Humanities, Washington, DC.

Professional Improvement Leaves

DENNIS K. PEARL, Professor, Department of Statistics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

TIEN-HSIEN CHANG, Associate Professor, Department of Molecular Genetics, effective Winter Quarter, Spring Quarter and Autumn Quarter 2002.

DOUGLAS B. DOWNEY, Associate Professor, Department of Sociology, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

Professional Improvement Leaves—Change in Dates

DAVID A. GOLDBERGER, Professor (The Isadore and Ida Topper Professorship in Law), College of Law, change leave from Spring Semester 2001 to Spring Semester 2002.

STEVEN CONN, Associate Professor, Department of History, change leave from Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003.

JO M. JONES, Associate Professor, Ohio State University Extension and Department of Human and Community Resource Development, change leave from Autumn Quarter 2000, Winter Quarter and Spring Quarter 2001, to October 1, 2000 through April 24, 2001.

Emeritus Titles

LAWRENCE J. BERLINER, Department of Chemistry, with the title Professor Emeritus, effective May 1, 2001.

CAROL A. LYONS, School of Teaching and Learning, with the title Professor Emeritus, effective September 1, 2001.

ERNEST W. JOHNSON, Department of Physical Medicine and Rehabilitation, with the title Professor Emeritus, effective July 1, 2001.

ARTHUR W. LEISSA, Department of Mechanical Engineering, with the title Professor Emeritus, effective July 1, 2001.

DARYL L. SIEDENTOP, School of Physical Activity and Educational Services, with the title Professor Emeritus, effective July 1, 2001.

MARSHALL W. SWAIN, Department of Philosophy, with the title Professor Emeritus, effective July 1, 2001.

RODICA C. BOTOMAN, Department of Slavic and East European Languages and Literatures, with the title Associate Professor Emeritus, effective July 1, 2001.

GEORGE KALBOUSS, Department of Slavic and East European Languages and Literatures, with the title Associate Professor Emeritus, effective July 1, 2001.

May 4, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

RICHARD L. DOBEC, Agricultural Technical Institute (Agricultural Technologies), with the title Assistant Professor Emeritus, effective July 1, 2001.

DOUGLAS H. GRAHAM, Department of Agricultural, Environmental, and Development Economics, with the title Assistant Professor Emeritus, effective July 1, 2001.

Medical Staff Appointments (The Ohio State University Medical Center)

March 2001

Julie A. Boss, D.O., Ophthalmology, PGY3 Limited, 04/12/2001 - 06/30/2001
Angela C. Bucci, D.O., Gen Medicine, Community Affiliate Physician, 04/12/2001- 06/30/2001
Stephen J. Burgun, M.D., Endocrinology, Attending, 04/12/2001 - 06/30/2001
Miriam Emile, M.D., Hematology/Oncology, Attending, 04/12/2001 - 06/30/2001
Magali J. Fernandez, M.D., Neurology, Attending, 04/12/2001 - 06/30/2001
Alfred L. Gest, M.D., Neonatology, Attending, 04/12/2001 - 06/30/2001
Michael R. Grever, M.D., Hematology/Oncology, Attending, 04/12/2001 - 06/30/2001
Eric J. Hochman, M.D., Int Med/Pediatrics, PGY4 Limited, 02/01/2001 - 06/30/2001
Stella B. Kontras, M.D., Child Psychiatry, Attending, 01/19/2001 - 06/30/2001
Darryl Glen Koop, M.D., Preventive Medicine, PGY1 Limited, 04/12/2001 - 06/30/2001
Alicia A. Moise, M.D., Neonatology, Attending, 04/12/2001 - 06/30/2002
Matthew E. Newlin, M.D., Transplant, PGY3 Limited, 04/12/2001 - 06/30/2002
Peter Novak, M.D., Neurology, Attending, 04/12/2001 - 06/30/2002
Kenneth W. Saul, D.O., Family Medicine, Community Affiliate Physician, 01/10/2000 - 06/30/2002
Wendy K. Stevens, M.D., Pediatrics/Emer Med, PGY4 Limited, 04/12/2001 - 06/30/2001
Stephen E. Welty, M.D., Neonatology, Attending, 04/12/2001 - 06/30/2002

Request for Additional/Special Privileges (The Ohio State University Medical Center)

March 2001

Greg Christoforidis, M.D., Radiology, Transvascular Use of FDA Approved Liquid Embolic Agents in CNS, 03/22/2001 - 06/30/2002
Matthew Dangel, M.D., Ophthalmology, Ophthalmic Yag Laser/Argon Laser/Excimer, 03/22/2001 - 06/30/2002
Michael Gong, M.D., Urological Surgery, Holmium Laser/Argon Laser/CO2 Laser/Surgical Yag, 03/22/2001 - 06/30/2001
Bivik Shah, M.D., Plastic Surgery, Conscious Sedation, 03/22/2001 - 06/30/2002

Request for Change in Medical Staff Category (The Ohio State University Medical Center)

Kathryn Clausen, M.D., Pathology, from Attending to Courtesy

Medical Staff Appointments (The Ohio State University Hospitals East)

March 2001

Peter Novak, M.D., Internal Medicine, Neurology, Active, 03/29/2001 - 06/30/2002
Charles P. Pollak, M.D., Internal Medicine, Neurology, Active, 03/29/2001 - 06/30/2002

May 4, 2001 meeting, Board of Trustees

PERSONNEL ACTIONS (contd)

Request for Additional Privileges (The Ohio State University Hospitals East)

March 2001

Marwan Bazerbashi, M.D., Surgery, Moderate Sedation, 04/30/2001 – 6/30/2002
Hamdy Elsayad-Awad, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Michael Gong, M.D., Surgery, Holmium Laser/C02/Argon/Surgical Yag, 04/30/2001 – 6/30/2002
Glenn Gravlee, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Bhagwandas Gupta, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Rebecca Gutmann, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Elizabeth Jongleaux, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
William Kelly, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
James Klein, M.D., Internal Medicine, Moderate Sedation, 04/30/2001 – 6/30/2002
Alan Kover, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Lori Meyers, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Steve Severyn, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Mohammed R. Shareef, M.D., Internal Medicine, Change in privilege from Generic Internal
Medicine to Internal Medicine, Division of Pulmonary, 04/30/2001 – 6/30/2002
Joseph Swan, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Victor Terebuh, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
Katja Turner, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002
David Yablok, M.D., Anesthesiology, Moderate Sedation, 04/30/2001 – 6/30/2002

Request for Change in Medical Staff Category (The Ohio State University Hospitals East)

Joel G. Lucas, M.D., Pathology, From Consulting to Active
Mohammed R. Shareef, M.D., Internal Medicine, From Courtesy to Active
Nayyar F. Shareef, M.D., Family Medicine, From Active to Courtesy
John C. Stephens, D.P.M., Orthopedics, From Consulting to Honorary

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-128

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for March 2001 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of March 2001 be approved.

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-129

Synopsis: The report on the receipt of gifts and the summary for March 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Warner Woodring Chair in History; and

WHEREAS this report includes the establishment of twenty (20) new named endowed funds and the amendment of four (4) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of March 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through March
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through March		
	<u>1999-00</u>	<u>2000-01</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$22,650,505	\$24,412,090	8
Alumni (From Bequests)	<u>8,622,758</u>	<u>5,702,103</u>	(34)
Alumni Total	\$31,273,263	\$30,114,193	(4)
Non-Alumni (Current Giving)	\$17,790,614	\$20,450,743	15
Non-Alumni (From Bequests)	<u>3,575,590</u>	<u>9,661,057</u>	170
Non-Alumni Total	\$21,285,204	\$30,111,800	41
Individual Total	\$52,558,467	\$60,225,993	15 ^A
Corporations/Corp/Foundations	\$27,841,244	\$30,317,908	9 ^B
Private Foundations	\$9,970,201	\$11,651,200	17 ^C
Associations and Other Organizations	<u>\$3,662,026</u>	<u>\$3,200,819</u>	(13) ^D
Total	\$94,031,938	\$105,395,920	12

NOTES

- A Individual giving is up 15% largely due to the fact that gifts of \$10,000 or more are up (406 gifts for \$37.0 million last year; 472 gifts for \$44.9 million this year).
- B Corporate giving is up 9%. Last year there were 527 corporate gifts providing private support of \$10,000 or more (\$21.5 million). This year private support at the \$10,000 level is \$24.2 million (525 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first three quarters of the fiscal year (\$10.9 million from 147 gifts this year; \$9.1 million from 150 gifts last year).
- D Gifts from associations and other organizations are down 13% since giving at the \$10,000 or more level is \$530,604 less than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-March
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through March		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$17,930,529	\$19,842,982	11
Faculty Support	\$13,320,260	\$9,369,255	(30)
Program Support	\$41,973,054	\$57,567,704	37
Student Financial Aid	\$13,129,027	\$10,089,774	(23)
Annual Funds-Colleges/Departments	\$6,342,214	\$7,264,753	15
Annual Funds-University	<u>\$1,336,854</u>	<u>\$1,261,452</u>	(6)
Total	\$94,031,938	\$105,395,920	14

GIFT ADDITIONS TO ENDOWMENT

July through March	Dollars		
	<u>1999-00</u>	<u>2000-01</u>	<u>% Change</u>
	\$35,364,275	\$28,050,663	(21)

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
Baseball Athletic Scholarship Fund (Provide scholarship costs of student athletes who are members of the varsity baseball team pursuing undergraduate degrees; provided by gifts from John J. Schiff, Sr.)		\$300,000.00	\$300,000.00
Baseball Support Fund (Support the varsity baseball team; provided by gifts from John J. Schiff, Sr.)		\$250,000.00	\$250,000.00
The Younkin Success Center Tutoring Services Endowed Fund (Offer free tutoring services for students, with preference given to students who have served in any branch of the United States Armed Forces; provided by gifts from the estate of Olga Sobbs)		\$118,454.80	\$118,454.80
The Lena Charles Bailey Breast Cancer Research Endowment Fund (Support breast cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by a gift from George Bailey)		\$115,000.00	\$115,000.00
The William Chaney Vance Athletic Scholarship Fund (Provide scholarship cost of a student who is pursuing an undergraduate degree; provided by gifts from the W. Chaney Vance Annuity Trust, William Gerald (Jerry) Vance, Lawrence (Larry) Gibson Vance, Barbara Jane Vance, and Linda Susan Vance)		\$25,545.92	\$25,545.92
The J. David Johnson Academic Excellence Award Fund in Molecular and Cellular Biochemistry (Support development of graduate and medical students in the fields of medical biochemistry and/or molecular and cellular biology; provided by gifts from Cynde L. Johnson, the Department of Molecular and Cellular Biochemistry and other family members, friends and colleagues)		\$25,075.00	\$25,075.00

Change in Name of Named Endowed Fund

From: The Archie Griffin Athletic Scholarship Fund

To: The Dr. Craig W. Anderson Family
Football Scholarship Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Chair</u>			
The Warner Woodring Chair in History (Support a chair in history in the College of Humanities; provided by gifts from an anonymous donor)	\$1,500,000.00		\$1,500,000.00
<u>Establishment of Named Endowed Funds</u>			
The Robert H. Rainier Endowed Chair Fund in Industrial Veterinary Medicine and Research (Support for a chair in the College of Veterinary Medicine; provided by gifts from Robert H. and Nellie S. Rainier)	\$585,046.37		\$585,046.37
The Dale A. Seiberling Excellence in Dairy and Food Engineering Program Fund (Support programs in dairy and food engineering; provided by gifts from Dale A. and Jean F. Seiberling)	\$150,000.00		\$150,000.00
COBA/Select Sires Dairy Cattle Research Fund (Provide monies for dairy cattle research or to support graduate students doing dairy cattle research within the department of Veterinary Preventative Medicine; provided by gifts from COBA/Select Sires)	\$50,000.00		\$50,000.00
The Williard A. Staker Memorial Scholarship Fund (Provide a scholarship in the College of Pharmacy; provided by gifts from Staker's Drugs, Inc.)	\$30,300.00		\$30,300.00
The Rita Downey Isaly Innovative Cancer Research Endowment Fund (Support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute; provided by gifts from Rita Wolfe)	\$30,005.00		\$30,005.00
The Twyford & Donahey Scholarship Fund (Fund a scholarship in the College of Law; provided by gifts from Thomas L. Twyford and Richard S. Donahey, Jr.)	\$30,000.00		\$30,000.00
The Jack and Frances "Babs" Fruth Scholarship Fund (Provide a scholarship in the College of Pharmacy; provided by gifts from Jack E. and Frances "Babs" Rhodes Fruth)	\$29,718.75		\$29,718.75
The Shumaker Loop & Kendrick Scholarship Fund (Fund a scholarship for a law student; provided by gifts from Shumaker Loop & Kendrick, LLP and partners)	\$29,500.00		\$29,500.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Marlo Sandow Wurster Memorial Scholarship Fund (Scholarships for senior nursing students and for graduate students in the College of Nursing; provided by gifts from Marlo Sandow Wurster's husband, children, family, and friends)		\$29,197.50	\$29,197.50
The Honorable John D. Judge Scholarship Fund (Fund a scholarship for a student in the College of Law; provided by gifts from Carol Judge)		\$26,500.00	\$26,500.00
The Ague/Haushalter Scholarship Fund (Provide a scholarship to a student in the College of Pharmacy; provided by gifts from Charles W. "Bill" and Elma Huashalter Ague)		\$25,700.63	\$25,700.63
The Arthur H. Dierker Scholarship Fund (Support a scholarship in the College of Engineering, Department of Material Sciences and Engineering; provided by gifts from Charles G. Mayer)		\$25,400.00	\$25,400.00
The Donny R. and G. Jeanne Baker Memorial Scholarship Fund (Provide scholarships to students who are graduates of Columbus City Schools; provided by gifts from Trent R. Baker and Todd L. Baker)		\$25,315.00	\$25,315.00
The Jeffrey A. Uhlenhake Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team; provided by gifts from Jeffrey A. Uhlenhake)		\$25,216.92	\$25,216.92
<u>Change in Description of Named Designated Chair</u>			
The Edgar C. Hendrickson Designated Chair in Biomedical Engineering			
<u>Change in Description of Named Endowed Funds</u>			
The George A. Jenkins Scholarship Fund			
The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund			
TOTAL		\$3,425,975.89	\$3,425,975.89

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

Baseball Athletic Scholarship Fund

The Baseball Athletic Scholarship Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University with gifts from John J. Schiff, Sr. (B.S.Bus.Adm., 1938), Cincinnati, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are members of the varsity baseball team pursuing undergraduate degrees at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donor.

\$300,000.00

Baseball Support Fund

The Baseball Support Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University with gifts from John J. Schiff, Sr. (B.S.Bus.Adm., 1938), Cincinnati, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the varsity baseball team at The Ohio State University. Expenditures are the responsibility of the head baseball coach in consultation with the director of Athletics.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donor.

\$250,000.00

The Younkin Success Center Tutoring Services Endowed Fund

The Younkin Success Center Tutoring Services Endowed Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University with a gift from the estate of Olga Sobbs (B.F.A., cum laude, 1946).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Younkin Success Center Tutoring Services Endowed Fund (contd)

The annual income shall be used to offer free tutoring services for students at The Ohio State University with preference given to students who have served in any branch of the United States Armed Forces. The fund will be administered in the Younkin Success Center as part of the services offered to assist students to succeed while attending The Ohio State University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the vice president for Student Affairs in order to carry out the desire of the donor.

\$118,454.80

The Lena Charles Bailey Breast Cancer Research Endowment Fund

The Lena Charles Bailey Breast Cancer Research Endowment Fund for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established May 4, 2001, by the Board of Trustees of The Ohio State University with a gift from George Bailey of Columbus, Ohio.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used exclusively to support breast cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

If funding reaches the level of \$250,000, the income shall be used for a one-year or two-year post-doctoral fellowship in the College of Medicine and Public Health to support research training and medical research in the field of breast cancer. Selection shall be made upon recommendations from the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$115,000.00

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The William Chaney Vance Athletic Scholarship Fund

The William Chaney Vance Athletic Scholarship Fund was established May 4, 2001, by the Board of Trustees of The Ohio State University with gifts from the W. Chaney Vance Annuity Trust and children William Gerald (Jerry) Vance (B.S. Agriculture, 1966), Lawrence (Larry) Gibson Vance (B.S. Agr., 1966), Barbara Jane Vance (M.S., 1988), and Linda Susan Vance (B.S. Agr., 1971).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship cost of a student athlete who is pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,545.92

The J. David Johnson Academic Excellence Award Fund
in Molecular and Cellular Biochemistry

The J. David Johnson Academic Excellence Award Fund in Molecular and Cellular Biochemistry was established May 4, 2001, by the Board of Trustees of The Ohio State University with gifts in memory of Dr. J. David Johnson (Professor, Department of Molecular and Cellular Biochemistry) from his wife, Cynde L. Johnson (M.H.A. 1988) of Sunbury, Ohio; from the Department of Molecular and Cellular Biochemistry; and from other family members, friends and colleagues of Dr. Johnson.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support development of graduate and medical students in recognition of academic excellence in the fields of medical biochemistry and/or molecular and cellular biology. Award selections shall be made by the chairperson of the Department of Molecular and Cellular Biochemistry in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the chairperson of the Department of Molecular and Cellular Biochemistry and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,075.00

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name of Named Endowed Fund

The Dr. Craig W. Anderson Family Football Scholarship Fund

The Archie Griffin Athletic Scholarship Fund was established July 9, 1993, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Dr. Craig W. Anderson (M.D., 1976) of Columbus, Ohio, in honor of Dr. and Mrs. Homer Anderson (M.D., 1943) and Archie Griffin (B.S.Bus.Adm., 1976). The name was changed on May 4, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to help fund the scholarship costs for student athletes who hold a running back position on the men's varsity football team and who are pursuing undergraduate degrees at The Ohio State University. Selection of the recipients shall be made by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chair

The Warner Woodring Chair in History

The Warner Woodring Chair in History in the College of Humanities was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from an anonymous donor.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to recruit and/or retain a distinguished scholar in one of the following areas: American history from the period of its colonial beginnings through the Civil War; British history; or the history of the Atlantic community, most notably comparative American and British history, with an emphasis on constitutional and legal history.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Humanities in order to carry out the desire of the donor.

Donor requests to remain anonymous and has seen and verbally approved this endowment description.

\$1,500,000.00

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds

The Robert H. Rainier Endowed Chair Fund in Industrial Veterinary Medicine and Research

The Robert H. Rainier Endowed Chair Fund in Industrial Veterinary Medicine and Research was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Robert H. Rainier (B.S. Agr., 1959, D.V.M., 1963) and Nellie S. Rainier.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will be used to provide a chair to be held by a nationally eminent faculty member at the College of Veterinary Medicine who has distinguished himself/herself in the performance of research, who maintains an active productive research program, and who is an effective educator-teacher. Selection shall be made as recommended by the dean of the College of Veterinary Medicine with the associate dean for research, as well as a representative from each department, as appointed by the department chair. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean to determine compliance with the intent of the donors as well as the academic and research standards of the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$585,046.37

The Dale A. Seiberling Excellence in Dairy and Food Engineering Program Fund

The Dale A. Seiberling Excellence in Dairy and Food Engineering Program Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dale A. Seiberling (B.S. Dairy Tech., 1950, M.S. Dairy Tech., 1951) and Jean F. Seiberling (B.S.Ed., 1949) of Fremont, Indiana.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs in dairy and food engineering at The Ohio State University. Uses may include: Graduate stipends named the "Jean F. Seiberling Scholarship;" support for distinguished visitors to the dairy and food engineering program; annual research in dairy and food engineering that advances the mission of the food engineering program; and support for special programs in dairy and food engineering as identified by students, faculty, staff, and dairy and food industry representatives.

The annual "Jean F. Seiberling Scholarship" is to be directed by the chairperson of the Department of Food Science and Technology, or their designee, in consultation with the University Committee on Student Financial Aid. The distinguished visitor, research, and special programs are to be directed by the "Dale A. Seiberling Dairy and Food Engineering Advisory Committee" in consultation with the chairperson of the Department of Food Science and Technology or their designee. Twenty to thirty percent of the annual income is to be directed toward dairy engineering issues.

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Dale A. Seiberling Excellence in Dairy and Food Engineering Program Fund (contd)

The fund use will be re-evaluated by the chairperson of the Department of Food Science and Technology and the "Dale A. Seiberling Dairy and Food Engineering Program Advisory Committee" to direct the transfer of the corpus to create the "Dale A. Seiberling Dairy and Food Science Engineering Chair." The establishment of the "Dale A. Seiberling Dairy and Food Engineering Chair" is contingent upon obtaining the requisite principal for an endowed chair per OSU guidelines and upon approval of the Board of Trustees. Endowed chairs will serve five-year terms at the pleasure of the vice president for agricultural administration. This endowed chair must honor and advance dairy engineering as prescribed above. The endowed chair holder will meet not less than annually with the Seiberling Dairy and Food Engineering Advisory Committee to set overall goals and direction for this program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for agricultural administration, in consultation with the chairperson of the Department of Food Science and Technology, or their successor, in order to carry out the desire of the donors.

\$150,000.00

COBA/Select Sires Dairy Cattle Research Fund

The COBA/Select Sires Dairy Cattle Research Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from COBA/Select Sires, Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

This gift of support was provided at a time when the farmer-member-owners of COBA/Select Sires cooperative enjoyed an excellent, mutually beneficial relationship with Dr. Glen Hoffsis, dean of the College of Veterinary Medicine and Dr. Kent Hoblet, chairperson of the Department of Veterinary Preventive Medicine. The annual income shall be used to provide monies for dairy cattle research or to support graduate students doing dairy cattle research within the Department of Veterinary Preventive Medicine. Recipients will be selected by the dean of the College of Veterinary Medicine and the chairperson of the Department of Veterinary Preventive Medicine.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$50,000.00

The Williard A. Staker Memorial Scholarship Fund

The Williard A. Staker Memorial Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Staker's Drugs, Inc. of Portsmouth, Ohio.

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Williard A. Staker Memorial Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship to a student(s) enrolled in the College of Pharmacy. Preference will be given to the student(s) who have/has resided in Scioto County located in Southern Ohio and who also have an interest in community/retail pharmacy. The scholarship recipient(s) shall be determined at the discretion of the dean's designee, in consultation with the University Committee on Student Financial Aid, and with the approval of Staker's Drugs, Inc.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$30,300.00

The Rita Downey Isaly Innovative Cancer Research Endowment Fund

The Rita Downey Isaly Innovative Cancer Research Endowment Fund for the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ms. Rita Wolfe of Columbus, Ohio, in honor of her grandmother.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer genetics research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute and the director of the Comprehensive Cancer Center, in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, with preference given to innovative research protocols in the human cancer genetics program. Any unused income shall be returned to the principle of this fund.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$30,005.00

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Twyford & Donahey Scholarship Fund

The Twyford & Donahey Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Thomas L. Twyford (B.S.Bus.Adm., 1957; J.D., 1964) of Westerville, Ohio, and Richard S. Donahey, Jr. (B.C.E., 1965; J.D., 1967) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship with preference for a second- or third-year law student who is married, a resident of Ohio, and is not otherwise qualified for merit-based scholarship assistance. Selection shall be made by the College of Law in consultation with the University Committee on Student Financial Aid with formal approval by the dean of the College of Law.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$30,000.00

The Jack and Frances "Babs" Fruth Scholarship Fund

The Jack and Frances "Babs" Fruth Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Jack E. Fruth (B.S. Phar., 1951) and Frances "Babs" Rhodes Fruth (B.S.H.E., 1950) of Point Pleasant, West Virginia.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship to the student(s) interested in community pharmacy and in good standing within the College of Pharmacy. Preference will be given to the student(s) who have/has resided in either West Virginia or the following counties in Ohio – Washington, Athens, Meigs, Gallia, Jackson, Pike, or Lawrence. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$29,718.75

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Shumaker Loop & Kendrick Scholarship Fund

The Shumaker Loop & Kendrick Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Shumaker Loop & Kendrick, LLP and partners, Toledo, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship for a law student, preferably from Northwest Ohio. Recipients will be selected by the College of Law in consultation with the University Committee on Student Financial Aid with formal approval by the dean of the College.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$29,500.00

The Marlo Sandow Wurster Memorial Scholarship Fund

The Marlo Sandow Wurster Memorial Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from her husband, children, family, and friends.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships for senior nursing students and for graduate students in the College of Nursing who have demonstrated compassionate patient care, diligence, and academic improvement during their classroom and clinical training. Undergraduate recipients would be eligible to carry their scholarship award into graduate school at the College of Nursing. Scholarship recipients would be selected by the dean of the College upon recommendations of the appropriate faculty and administrative staff in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$29,197.50

The Honorable John D. Judge Scholarship Fund

The Honorable John D. Judge Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Carol Judge, Norton, Ohio.

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Honorable John D. Judge Scholarship Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund a scholarship for a third-year law student, preferably male, who is ranked in the top half of their class, and demonstrates an interest in public service and contributes significant hours to public interest activities while at the College of Law. All applicants should write a brief essay of no more than 200 words that describes their qualifications for the award. Essays should include their future goals to continue in some capacity in public service. Recipients will be selected by the College of Law in consultation with the University Committee on Student Financial Aid with formal approval by the dean of the College of Law.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Law in order to carry out the desire of the donor.

\$26,500.00

The Ague/Haushalter Scholarship Fund

The Ague/Haushalter Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charles W. "Bill" Ague, (B.S.Pharm., 1950) and Elma Haushalter Ague (B.A., 1949) of Girard, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship to a student in the College of Pharmacy. Preference will be given to the student(s) from underrepresented groups within the College in odd-numbered years. This scholarship will be presented to the student(s) exhibiting financial need and demonstrating good character. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

In even-numbered years, the award is to go to the College of Education where the annual income shall be used to provide financial support to students in the College of Education who are preparing to be mathematics or science teachers. Selection shall be based on financial need. First preference shall be given to students who have graduated from public schools in urban areas. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid. This portion of the Ague Scholarship has been funded in memory of William Hunter Ague (B.S.Ed., 1981; M.A., Mathematics, 1989) who taught mathematics in the public schools and had a deep commitment to young people in difficult urban settings before his untimely death in 1997.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,700.63

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Arthur H. Dierker Scholarship Fund

The Arthur H. Dierker Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Charles G. Mayer (B.S.Ed., 1940; M.A. Education, 1947) from Tipp City, Ohio, and Louise A. Dierker (M.D., 1967). Mr. Mayer is a nephew of Mr. Dierker and Dr. Dierker is the daughter of Mr. Dierker.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship in the College of Engineering, Department of Material Sciences and Engineering. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid and given in the name of Arthur H. Dierker, a 1926 graduate of The Ohio State University with a bachelor's degree in metallurgical engineering. Mr. Dierker founded A. H. Dierker and Associates, an engineering consulting firm in Columbus, Ohio. He was an active member of the Triangle Engineering Fraternity all of his working life. Mr. Dierker also served his country in France during World War I.

Mr. Dierker also served as a staff research associate for the Engineering Experiment Station at The Ohio State University, was inducted into the "Legion of Honor" by the American Institute of Mining, Metallurgical and Petroleum Engineers and was awarded their "Laureate Citation" for 50 years of outstanding service to the profession in 1978.

Mr. Dierker's many volunteer activities upon his retirement include service with the International Executive Services Corps of Volunteer Executives. While assisting this organization, Mr. Dierker was requested to provide metallurgical consulting services for a major steel foundry with plants in Taiwan, Formosa, and Tokyo, Japan. He also provided metallurgical consulting services to Armco Steel in Middletown, Ohio, which enabled the installation of new oxygen smelting technology.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Engineering and the chairperson of the Department of Material Sciences and Engineering in order to carry out the desire of the donor.

\$25,400.00

The Donny R. and G. Jeanne Baker Memorial Scholarship Fund

The Donny R. and G. Jeanne Baker Memorial Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Trent R. Baker (B.A., 1983) and Todd L. Baker (B.S.Bus.Adm., 1991), in honor of their parents, Donny R. Baker (B.S.Ed., 1963) and G. Jeanne Baker.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships to students who are graduates of Columbus City Schools, have displayed leadership and integrity, and are active in community service. Preference is given to minority students from targeted low-income neighborhoods. The

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Donny R. and G. Jeanne Baker Memorial Scholarship Fund (contd)

scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the department chair, the dean, or appropriate University official in order to carry out the desire of the donors.

\$25,315.00

The Jeffrey A. Uhlenhake Athletic Scholarship Fund

The Jeffrey A. Uhlenhake Athletic Scholarship Fund was established May 4, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. Jeffrey A. Uhlenhake (B.S. Hosp. Mgt., 1990) of Newark, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team pursuing undergraduate degrees at The Ohio State University. Preference for the recipient of this award is a student-athlete playing on the offensive line. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,216.92

Change in Description of Named Designated Chair

The Edgar C. Hendrickson Designated Chair in Biomedical Engineering

The Edgar C. Hendrickson Designated Chair in Biomedical Engineering was established March 2, 2001, by the Board of Trustees of The Ohio State University with a portion of the annual earnings generated by the Edgar C. Hendrickson Fund. Mr. Edgar C. Hendrickson was a graduate of the College of Engineering (B.Chem.E., 1923) who had a highly-accomplished career in the paper industry. He contributed most of his accumulated assets to The Ohio State University Foundation for the advancement of the College of Engineering. His generosity is recognized and deeply appreciated. The description was revised on May 4, 2001.

The allocated portion from the Hendrickson Fund's earnings shall be used by the dean of Engineering to support the research of an outstanding member of the Biomedical Engineering faculty. The dean of the College of Engineering will recommend the scholar. The recommendation will be endorsed by the executive vice president and provost and have the approval of the Board of Trustees.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Designated Chair (contd)

The Edgar C. Hendrickson Designated Chair in Biomedical Engineering (contd)

The holder of the Edgar C. Hendrickson Designated Chair in Biomedical Engineering will be appointed for a term of five years. At the completion of each term, the dean of the College of Engineering may choose to reappoint the chair holder, to select another distinguished scholar, or to otherwise use the funds to enhance the College of Engineering. During the five-year term, the holder of the Edgar C. Hendrickson Designated Chair in Biomedical Engineering may be appointed to another named position at the University. On this occasion, the dean of the College of Engineering may choose to select another distinguished scholar, or to otherwise use the funds to enhance the College of Engineering.

Change in Description of Named Endowed Funds

The George A. Jenkins Scholarship Fund

The George A. Jenkins Scholarship Fund was established February 6, 1998, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from George L. Jenkins, Esq., of Columbus, Ohio. The description was revised on May 4, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student grant-in-aid scholarship costs of a student-athlete who is a member of the men's football team pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund

The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund was established March 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donald M. Kurdziel (B.S.Bus.Adm., cum laude, 1976; M.B.A., 1977) and his wife Leesa Hall Kurdziel (B.S. Social Work, 1978). The gift was made in honor of the 50th wedding anniversary of Mr. Kurdziel's parents, Henry P. and Kathryn A. Kurdziel, December 27, 2000. The description was revised on May 4, 2001.

Henry P. and Kathryn A. Kurdziel, both graduates of Case Western Reserve University, have evidenced a life-long commitment to education, Mrs. Kurdziel as an elementary school teacher and Dr. Kurdziel as a secondary school science teacher, an elementary and secondary school principal, and later as a superintendent of schools.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide support for students in the College of Education who are preparing to be teachers. The recipients shall be individuals who are changing careers and who are preparing to become elementary teachers or secondary mathematics or science

May 4, 2001 meeting, Board of Trustees

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Funds (contd)

The Henry P. and Kathryn A. Kurdziel Education Scholarship Fund (contd)

teachers. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the desire of the donors.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2001-130

JANUARY – MARCH 2001

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of January - March 2001; and

WHEREAS during the period covered, the Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 27 waivers of competitive bidding requirements for annual purchases totaling approximately \$2,688,500 as shown on the enclosed exhibit:

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 25 waivers of competitive bidding requirements for annual purchases totaling approximately \$4,363,300 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of January - March 2000, is hereby accepted.

(See Appendix XXXVII for background information, page 939.)

**AUTHORIZATION FOR ADDITIONAL INVESTMENT IN
CAMPUS PARTNERS INITIATIVES**

Resolution No. 2001-131

Synopsis: Authorization for additional investment in Campus Partners initiatives, subject to separate Board authorization for release of such funds and development of investment/underwriting criteria for evaluating such investment requests, is proposed.

WHEREAS in February and November 1995 the Board of Trustees recognized the critical need for the revitalization of the High Street corridor and residential areas adjacent to the Columbus Campus and the importance in this effort of the initiatives of Campus Partners for Community Urban Redevelopment, Inc.; and

WHEREAS in May 1997 the Board of Trustees accepted Campus Partners' "University Neighborhoods Revitalization Plan: Concept Document"; and

WHEREAS over the last five years, the Board of Trustees has authorized the release of funds from the University Endowment and the issuance of bonds backed by the Endowment for capital investment in Campus Partners' revitalization initiatives and the release of other University funds to support Campus Partners' continued operations in carrying out these important activities, including authorization in July and August 2000 for continued funding; and

WHEREAS Campus Partners continues to make significant progress toward the implementation of its priority initiatives, including the University Gateway Center; High Street revitalization; the faculty and staff homeownership incentive program; improvements to public safety, refuse collection, code enforcement, and other public services; and the establishment of a special improvement district; and

WHEREAS during its first five years Campus Partners has demonstrated the capability to undertake critical, complex urban revitalization plans and programs that will significantly improve the safety and quality of the living and learning environment adjacent to the University's Columbus Campus, and the Campus Partners Board is preparing its future plans to further guide its investments and efforts over the next five years; and

WHEREAS the initiatives of Campus Partners represent a major focus of the University's commitment to outreach and engagement as contemplated in the University's academic plan, and will provide extraordinary qualitative enhancements to the living and learning environment in and around neighborhoods adjacent to the University; and

WHEREAS it is expected that the aggregate financial portfolio yield of the Campus Partners investments will provide an appropriate financial return to the University Endowment or to debt backed by the Endowment, or other sources, as appropriate, and, in order to achieve the desired financial returns on these investments, Campus Partners is considering a variety of forms of project participation, including joint ventures, subordinated debt, and equity participation, subject to compliance with approved underwriting criteria; and

WHEREAS the Board of Trustees desires to affirm the University's support for additional Campus Partners initiatives over the next five years:

NOW THEREFORE

BE IT RESOLVED, That, in addition to the Endowment and other University funds previously authorized by the Board of Trustees for investment in Campus Partners' first five years of revitalization activity, the Board of Trustees may, from time to time, authorize the release of additional investment resources toward strategic Campus Partners development initiatives, subject to the following conditions:

**AUTHORIZATION FOR ADDITIONAL INVESTMENT IN
CAMPUS PARTNERS INITIATIVES (contd)**

1. Campus Partners, in consultation with the Campus Partners Oversight Committee, shall submit each request for the release of investment funds to the Board of Trustees for approval, in the same manner as has been done with previous requests; and
2. Campus Partners shall develop, jointly with the Oversight Committee, a comprehensive set of investment/underwriting criteria, including a formal review process, for the evaluation of the requested new investments to ensure that the investment risks and rewards are fully analyzed and understood, and that the aggregate portfolio yield of the University's investments in Campus Partners' initiatives provides an appropriate return to the Endowment or other funding sources.

BE IT FURTHER RESOLVED, That all such additional authorizations shall be subject to the provisions of the memorandum of understanding of May 1, 1997, and such additional terms and conditions as may be determined appropriate by the Oversight Committee or by this Board in authorizing the release of such funds.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-132

HEART HOSPITAL – PARKING GARAGE
UNIVERSITY HOSPITAL EAST – PHASE II
1224 KINNEAR ROAD BALE PROGRAM
PARKER FOOD SCIENCE – PILOT PLANT FACILITY
FAWCETT CENTER EAST PARKING LOT

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the Fawcett Center East Parking Lot projects and authorization to request construction bids for the Heart Hospital – Parking Garage, University Hospital East – Phase II, 1224 Kinnear Road – BALE Program, and Parker Food Science – Pilot Plant Facility is requested.

WHEREAS the University desires to construct an approximate 1,000 space parking garage with approximately 16,000 square feet of retail shell space to be constructed on the site of the existing parking lot on the east side of Perry Street and north of 9th Avenue to serve the Heart Hospital; and

WHEREAS the total estimated project cost is \$20,000,000 and the total estimated construction cost is \$16,000,000, with funding provided by bond proceeds with debt service paid by Transportation and Parking Services; and

WHEREAS University Hospitals East desires to expand two ambulatory operating suites, relocate the Physical Therapy department, relocate Endoscopy services, and construct a centralized waiting area and public elevator tower in the north building; and

WHEREAS the total estimated project cost is \$5,500,000 and the total estimated construction cost is \$4,600,000, with funding provided by University Hospitals East; and

WHEREAS the Ohio Supercomputer Center desires to remodel space in 1224 Kinnear Road to create a conference facility to disseminate information to current statewide users of the Blueprint for Advanced Learning Environment (BALE) Program; and

May 4, 2001 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the total estimated project cost is \$1,347,031 and the total estimated construction cost is \$795,334, with funding provided by the Board of Regents (\$1,250,000) and the Ohio Supercomputer Center (\$97,031); and

WHEREAS the University desires to renovate a portion of the shell space in the basement in the new Parker Food Science and Technology building to house a pulsed electrical field research and development pilot plant facility; and

WHEREAS the total estimated project cost is \$500,000 and the total estimated construction cost is \$432,000, with funding provided by the Board of Regents Action Fund (\$132,000), OARDC (\$80,000), Department of Defense (\$36,000), College of Food, Agricultural, and Environmental Sciences (\$200,000), and gifts (\$52,000); and

WHEREAS the University desires to construct an additional parking lot east of Fawcett Center; and

WHEREAS the total estimated project cost is \$650,000 and the total estimated construction cost is \$500,000, with funding provided by Transportation and Parking Services (\$400,000), Alumni Association (\$83,333.33), University Development (\$83,333.33), and Housing, Food Services, and Events Centers (\$83,333.33):

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Fawcett Center East Parking Lot project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the University Hospitals East – Phase II, 1224 Kinnear Road – BALE Program, and Fawcett Center East Parking Lot projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That, subject in each case to the execution of a memorandum of understanding among the offices involved, the President and/or Vice President for Business and Finance be authorized to request construction bids for the Heart Hospital Parking Garage and the Parker Food Science – Pilot Plant Facility projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXXVIII for maps, page 941.)

May 4, 2001 meeting, Board of Trustees

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANGER, AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-133

MCCRACKEN POWER PLANT UPGRADE PROJECT

Synopsis: Authorization to employ architect/engineering firm(s), employ a construction manager, and request construction bids for the McCracken Power Plant Upgrade Project, including final design and installation of new boilers within the McCracken Power Plant, upgrades of the electrical distribution system, and the construction of an addition to McCracken Power Plant.

WHEREAS the age and deteriorating condition of the four existing boilers within McCracken Power Plant warrant replacement to ensure reliable steam service for the campus; and

WHEREAS the technical analysis of the fault-interrupting capability of the electrical distribution system demonstrates that upgrades are necessary; and

WHEREAS the results of obtaining bids for electricity have demonstrated it is more economical for the University to purchase electricity from the most competitive supplier than to generate it on site; and

WHEREAS the result of the life cycle cost analysis demonstrates that the additional investment required by the alternative options does not result in significant savings over the life of the equipment; and

WHEREAS the total estimated project cost is \$73,000,000 and the total estimated construction cost is \$51,000,000, with funding provided by University bond proceeds, with debt service paid from central University Funds:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for this project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for this project and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for this project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XXXIX for background information, page 947.)

2000-2001 THIRD QUARTER BUDGET REPORT

Resolution No. 2001-134

Synopsis: The revised Third Quarter Budget Report for fiscal year 2000-2001 is being submitted for adoption.

May 4, 2001 meeting, Board of Trustees

2000-2001 THIRD QUARTER BUDGET REPORT (contd)

WHEREAS the State of Ohio has cut funding to Higher Education in FY 2001 resulting in a \$3.2 million (1.0%) reduction in the Columbus Campus State Share of Instruction and a \$4.5 million overall reduction in all line items for all Campuses; and

WHEREAS the University's enrollments for all quarters are now known allowing for a more accurate picture of the University's student fee revenue; and

WHEREAS fuel costs (gas, coal & fuel oil) through the first three quarters were 89% higher than anticipated:

NOW THEREFORE

BE IT RESOLVED, That the appropriate resources and expenditure budgets be adjusted to reflect these changes as of the third quarter as described in the attached materials; and

BE IT FURTHER RESOLVED, That \$5.0 million (50%) of the University's Rainy Day Fund be used in FY 2001 to offset the \$3.2 million reduction in the Columbus Campus State Share of Instruction revenues along with a portion (\$1.8 million) of the utility cost increase; and

BE IT FURTHER RESOLVED, That the Rainy Day Fund be replenished from cash balances during FY 2002.

(See Appendix XL for background information, page 955.)

Upon motion of Mr. Skestos, seconded by Mr. Brennan, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Skestos, Brennan, Patterson, Slane, McFerson, and Judge Duncan, Ms. Longaberger, and Ms. Hendricks.

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PRESENTATION ON STUDENT ADVISING

Provost Edward J. Ray:

The University recently announced the consolidation and expansion of advising services to create the Office of Undergraduate Student Academic Services, which will more effectively use our resources supporting undergraduate students. This step directly relates to two strategies outlined in the University's Academic Plan: 1) enhancing the quality of the teaching and learning environment; and 2) enhancing and better serving the student body.

We've known that effective academic and career advising is crucial to the successful matriculation and graduation of our students, and we expect up to 15,000 students will experience the benefits of this new structure each year. In addition to this organizational change, the University will also pursue more direct enrollment of entering students into their desired areas of study as early as possible. We believe that establishing an early link to faculty and advisors specializing in a given discipline is crucial to making students feel at home at Ohio State.

This new structure and philosophy will provide a better way to work with students according to their interests. For example, counselors specializing in English will have strong connections with faculty in the Department of English in the College of Humanities, as well as direct access to counselors within student support services to provide a seamless advising experience for our students.

PRESENTATION ON STUDENT ADVISING (contd)

Provost Edward J. Ray: (contd)

Today we have a panel that can speak to the success of such a model and the positive impact it has made on our undergraduate students. Please welcome Dean of Undergraduate Studies Martha Garland, who will introduce our other guests.

Dr. Martha M. Garland:

Thank you very much, Ed. I have several very interesting and exciting things that we want to report this morning. I'd like to begin by introducing to you some people who are key in the changes and ask you to join me in welcoming them to their new responsibilities after I've named them all.

First is Dr. Mabel Freeman, a stranger to no one here, who has been serving this year as the leader of our new First Year Experience Program and as interim director of Admissions. She's done a wonderful job with both. We are asking today that she be made the permanent director of Admissions, as well as to continue with the First Year Experience.

To her right is Dr. Jack Cooley, who is not a stranger to the University administration but may be to the members of the Board. Dr. Cooley is a graduate of our own Department of History and has been assistant dean in the Colleges of the Arts and Sciences, focusing on honors. He will be taking on a new role as my assistant vice provost for Undergraduate Studies and I'm very grateful to him.

I would also like to ask Joan Lorenz to stand. Joan is my administrative associate and because of increasing responsibilities in connection with all of this, she has assumed increasing responsibilities. She's absolutely wonderful, a fact which was testified to by her being awarded one of the 10 Distinguished Staff Awards from the University this last week. Please join me in thanking these people for all the work they are going to be doing.

Several years ago we did a study to see if we could tell what made the difference for students who graduated from the University in four years as opposed to those who took six years to graduate. It was really quite a detailed study and actually was a doctoral dissertation of a very strong young woman in the Ph.D. program in the College of Education.

A number of factors emerged that distinguished four-year graduates from six-year graduates. To our surprise, almost all of those factors turned out to be things that were absolutely within the control of the students themselves. There was very little that they identified that was different for the earlier graduates and the later ones that were things that the University was responsible for. Students identified things like: I changed my major; I wanted to protect my grade point average because I intended to go to professional school, so I took lighter loads; I dropped a course that I wasn't doing well in and took a lighter load; I needed to work to pay for school, so I took lighter loads; and so on. These are rational adult decisions that can delay graduation and they are decisions that students made on their own.

Both four-year and six-year students did complain about some things at the University, but they didn't complain differentially. So we concluded that those were not the things that were slowing them down. The one difference that really was statistically obvious was the students who graduated in six years said that

PRESENTATION ON STUDENT ADVISING (contd)

Dr. Garland: (contd)

they had had bad advising. The students who graduated in four years frequently attributed it to good advising.

We actually did some studies to look at students' transcripts to see if we could keep track of bad advising and good advising. We couldn't see that, but it certainly was the students' perception that advising was very important. If we didn't also believe it, we would at least have this evidence to tell us that academic advising is an absolute key to the success of our students, and the people who do that advising are very valuable members of our community.

By the time we start orientation this summer, we will have made a pretty dramatic change in the administrative organizational arrangement in the University. For more than 30 years, University College has existed as the main portal of entry for freshman to the University. Other institutions like us also have a portal of entry for freshman like that, but at the other places it's almost entirely limited to students who really don't know what they want to major in -- undecided or exploring students. At comparable universities the ratio between people who go into a unit like University College is 20 percent, with 80 percent of the students going directly into their own degree-granting colleges. With us for many years it has been the opposite, even 90-10. The default position was to enter University College.

We did a study in 1998 which indicated to us that direct admission to the college that you were going to study in identifies you with your field quickly, gets you closer to your faculty, and gets you advising which is more closely connected to your field. For many of our better and better students that we're attracting, University College was becoming an unnecessary buffer between them and getting on with the real business of their academic work. So we have been pushing for more and more direct enrollment, and the colleges are doing that more and more.

This winter term we simply took the resources that had previously been in University College and reorganized them and redistributed them, so that students and advisors -- and the salary for the advisors -- have moved out to the degree-granting colleges. The largest chunk of that move placed students in Arts and Sciences, because Arts and Sciences is where more than half of our students do ultimately graduate. But beyond that, we took a unit -- which in University College was always very strong and helpful for students who were trying to make up their minds about what they wanted to do; or undecided or re-deciding students who found that they couldn't do or weren't going to do what they had thought they wanted to in the first place -- and merged it with career advising. Choosing a major and choosing a career are often very close to one another, and those resources are being folded together to make a very strong unit.

This whole enterprise is going to be called the Undergraduate Student Academic Services office or USAS. This office will deal with and coordinate the other issues that are very important for freshmen. They will be coordinated and pulled together under the very able leadership of Dr. Freeman in the First Year Experience Program. So advising for the Arts and Sciences' students will be in USAS and undecided students seeking careers.

We will also connect more effectively with all the other support units on campus. For example, in University College there has been a very strong unit for a long time called MAP -- Minority Academic Program. The MAP staff, who are very good, have moved into the Office of Minority Affairs. We think they will

PRESENTATION ON STUDENT ADVISING (contd)

Dr. Garland: (contd)

strengthen Dr. Stewart's ability to support those students effectively, and they will continue to be very closely connected to the students' degree-granting colleges.

One of the things you hear -- I've never actually found a student for whom this is true -- students say is, "I've had nine advisors since I've been here." I really think the most anybody's ever had is eight advisors! From now on, in general, students will have two advisors with two different sets of responsibilities. This exact model is not true everywhere because the different colleges have arrangements that are appropriate to their disciplines.

The student will have a professional advisor whose job will be to do academic advising full-time. This person will be a generalist in their college who will help the student with their general education requirements, the regulations of the University, learning about what's the deadline for dropping a course, and so on. Perhaps almost as important as those details about academics, rules, information about referrals to other units connecting them to somebody in Financial Aid, referring them to the counseling center, and so on. The other advisor will be a member of the faculty in the field that the student will be going into.

We have with us today two students, each of whom will tell you a little bit about how he or she relates to the particular advisor who's represented here today, illustrating the two types of advising.

First I'm going to turn to Alan Knott, a sophomore in animal science interested in veterinary medicine, so he's in the pre-vet program. Alan is a very active student leader in the College of Food, Agricultural, and Environmental Sciences and is a very strong, dedicated student. He is going to tell us about how he interacts with his college or general advisor, Dr. Raymond Miller.

Alan, if you could tell us a little bit about your experiences.

Mr. Alan T. Knott:

Thank you for having me here and giving me the opportunity to talk to the Board of Trustees. As Dr. Garland mentioned, I'm very close to Dr. Miller. He is one of the primary people responsible for me being at Ohio State.

In my high school sophomore year, I received general literature from Ohio State and I responded that I was interested in attending. Dr. Miller and his staff from then on talked to me and sent me more information on what I needed to know about Ohio State, and if Ohio State was the right school for me.

My first experiences with Ohio State started when I came my junior year for Experience College for a Day. It was the general tour OSU gives all prospective students. In that tour I met with Dr. Miller, Dr. Jill Pfister, and also Thad Welch, the representative of Admissions through the agricultural college. Through them I was able to make my whole commitment to Ohio State concrete.

There was a time when I considered going to the OSU-Lima branch because it was 20 minutes from my house. But when I let Thad Welch know that, five minutes later Dr. Miller called me on the phone and talked to me for about 45 minutes about all the benefits and downfalls of both campuses.

PRESENTATION ON STUDENT ADVISING (contd)

Mr. Knott: (contd)

I really have gotten to know Dr. Miller over the past couple of years. He was the primary recruiter for me coming to OSU. Dr. Miller was also kind enough to give me the opportunity to be the student representative on the college's Academic Affairs Committee. And through him I've been able to get involved in and be associated with different organizations.

Also because OSU has one of the leading veterinary schools in the nation, through Dr. Miller, I was able to get involved with my advisor in the animal science department, Dr. David Zartman. I had accidentally met Dr. Zartman my junior year of high school because I was very active in 4-H and he came to one of my beef cattle shows. I didn't know at the time that he would be my academic advisor.

I've had a really good experience with my advisors. I feel that I am a lot better off and farther ahead in my planning for my education. I am trying to attain what the College of Veterinary Medicine considers unattainable, and that is that I am planning to apply to the College of Veterinary Medicine this fall, a year early. I have all my prerequisites out of the way, except one, which I'll finish in the fall, while keeping a reasonable GPA. I don't think that would have been possible if I wouldn't have been advised by Drs. Miller and Zartman, and the representatives of the College of Veterinary Medicine.

Dr. Garland:

Thank you very much. Ray, could you tell us how it feels from your end? How you see your job?

Dr. Raymond A. Miller:

Thank you very much for letting us be with you today. In the early 1950s, John T. Mount, who was a junior dean in the college at that time, formulated what we have today as a faculty advising system in the college. So we've been at it for a long time.

As Alan indicated, we try to build relationships with these students when they are sophomores, juniors, and seniors in high school. Then when the student goes through the Experience College for a Day Program and they come to the orientation program, we directly admit them to the College of Food, Agricultural, and Environmental Sciences at that time and get them assigned to a faculty advisor. So Dave Zartman was identified early on during the summer of Alan's orientation program to be his advisor.

What we in the college office try to do is to backstop that faculty advisor in the department. We help to provide them with the training, the knowledge, the experience, and so forth, in terms of all the procedures, the academic programs, and the GEC updates so the faculty member doesn't necessarily need to go out and dig all that information out themselves. It is a time-consuming process to advise students and there are a lot of changes in procedures and forms that occur. Dr. Jill Pfister, assistant dean for academic programs, and myself take it as our responsibility in the college office to primarily work with these advisors to keep them up-to-date on all the changes that are necessary to be good academic advisors to these students so that they can proceed through to graduation at a rapid rate. When we go to the orientation program, we do all of that necessary acclimation.

PRESENTATION ON STUDENT ADVISING (contd)

Dr. Miller: (contd)

We have one full-time academic professional advisor, Paul Heimberger, in our college. Paul is a graduate of the natural resources program and also received his master's degree there. His responsibility is to service the students if they have incidental questions, to service the faculty advisors if they have questions, and to work with students if the faculty advisor is not available at a particular time critical to registration and so on.

We have two assistant deans, Jill Pfister and myself, who work with students in terms of financial aid, academic programs, curricular areas, and so forth. We work with them in terms of honors programs and approval of honors curricula, along with the honors committee. And we have one placement director, Dr. Pat Whittington, in the college who works with all of our students relative to full-time employment and internship opportunities.

In the college our responsibilities are more of an administrative backstop to the actual faculty advising program that takes place within the department. As I indicated, this has been happening since the early 1950s.

We do provide training for all of our advisors through workshops. We just finished a round this quarter, going out to each of the academic departments during their faculty meetings. Jill Pfister and Paul Heimberger coordinated that effort to spend about an hour-and-a-half to two hours with the faculty, talking about advising changes that have occurred this year. We will have a new faculty advisors' workshop taking place two times Spring Quarter, so that faculty have a good feel and understanding of the importance of good advising.

From the time a new faculty recruit appears at Ohio State as an eligible candidate, emphasis is placed on advising as one of their major responsibilities at the undergraduate level. Everybody likes to work with graduate students, but we want to make sure that they feel as strongly about working with the undergraduate students.

I think the strength of our system in the College of Food, Agricultural, and Environmental Sciences speaks very well for itself. We've had direct enrollment into the college for the last 25 years. A short period of time after University College was started – for about three or four years students stayed in University College – Dean Mount worked to get students into our college through direct enrollment. We've had the opportunity to get 95 percent of our freshman students who enter Ohio State with an interest in agriculture directly enrolled and assigned a faculty advisor in their major area.

What that has led to is a 90 percent retention rate for our freshman students from the time they enroll until they finish the first year and they return to us as sophomore students. We have over a 70 percent graduation rate at the end of six years, which is about 10 points higher than the University average. We have an effective placement rate of better than 90 percent of our students going on to graduate school or full-time positions within three to six months following graduation. Again, that's part of the idea of working with the faculty and knowing what the student wants to do.

We encourage students to be actively involved in student organizations and leadership positions, not only in the college but across the campus. Many of them have been strong leaders in FFA, 4-H, and other youth programs in their schools and communities. We want to continue to emphasize that, and our faculty advisors have an excellent reputation for doing that sort of thing.

PRESENTATION ON STUDENT ADVISING (contd)

Dr. Miller: (contd)

We find that we have very few major shifts outside of the College of Food, Agricultural, and Environmental Sciences. We find that if a student makes a change in their major, they're going to move from crop sciences to horticulture or landscape; or they're going to move from agricultural education to agricultural business. We see very few students moving to other colleges around the campus, but we consistently have had more transfers into the college from other colleges on campus.

So our overriding goals have been to graduate students in a timely fashion with strong skills and abilities to contribute to their industry and society in general. We want to build strong friendships among all of our students – mainly between our students and faculty -- which last into the future. We want to build strong alumni ties and strong financial ties to the University in the future, and I think we've been very successful in those areas as well.

Dr. Garland:

Thank you very much. Next, I'd like to introduce Kristin Huchton, a senior chemistry major and member of our honors program. She is a very strong student who will talk with us about how she works very closely with her faculty advisor, Professor Terry Gustafson.

Ms. Kristin M. Huchton:

Thank you very much. My first interaction with Dr. Gustafson was not as my advisor, but as a professor for my honors general chemistry class, Winter Quarter of my freshman year. I went to his office numerous times and he was the first person to actually suggest to me that I become a chemistry major. Somehow I never quite got out of the department.

He has really helped shape the direction my life has taken and helped me find my first job in chemistry that following summer. When I came back the next fall as a chemistry major, he was officially my faculty advisor. I have spent numerous hours sitting in his office, having him help me figure out which classes will be useful for me to take, where I can get the most benefit out of the classes, and how they mix with other classes I'm taking.

He has also helped me figure out what to do after I get my bachelor's degree. I graduate this spring with my Bachelor of Science degree in chemistry and I had always assumed that I would go to graduate school in physical chemistry. That was the direction I'd been pointed in for several years. I have done undergraduate research, participated in a Denman Forum, and in September, I started to wonder if that was really what I wanted to do. I wasn't sure, but I knew that was the direction I was headed.

After several very long conversations, I decided to get my master's degree in education. I'll be certified to teach chemistry and physics, hopefully, in about a year. What made the biggest difference was Dr. Gustafson asking me, "What do you want to do? What excites you? What is your interest?" Not what are you expected to do, not where have you been headed for the last several years, not you've done so well in all this, why don't you go on? It was, "What do you want?" That has probably made the biggest difference in my decision is having that support – "Okay, you're an adult, what interests you and what do you care about?" Really having him take the time to get to know me.

PRESENTATION ON STUDENT ADVISING (contd)

Ms. Huchton: (contd)

I certainly consider Dr. Gustafson not only my advisor, but a friend. Having him take the time to be there and listen to me. Whether I send him an e-mail saying, "Hey, I've got a question, can I come down?" or just walking down the hallway and knocking on his door and him stopping whatever he's doing to talk to me. This has made the biggest difference in helping me get through my undergraduate career in chemistry, succeeding, deciding what I want to do after I have that degree, and where I want to go.

It was wonderful to have that interaction with someone who really knew what they were talking about, and knew what all the options were for me with a bachelor's degree in chemistry and a master's degree in education. He had that knowledge to be able to help point out and say, "Here are what options you have if you do this, here's what's going to be before you if you choose this path, what is it that you're interested in, and what is it that you want to do?" Just having that support and that unconditional knowledge that whatever I choose, he is going to be supportive of that, has made a huge difference in my life.

Dr. Garland:

Thank you. Dr. Gustafson, do you want to tell us how you see your job?

Dr. Terry L. Gustafson:

How do you follow that? I'm not sure if there is an official job description for a faculty member other than to pursue excellence in research, teaching, and service. Then the rule in advising, where does that actually fall? To be honest, as I was thinking about advising, particularly for this privilege of being able to speak before you today, is that it really transcends all of this. In other words, it is helping students identify areas where they can participate in research and helping students in mentoring them not only in skills related to chemistry, but often life skills.

The service aspect is that, yes there are some details that they need to have worked out with respect to which courses they take and other things. I think for those of us on the faculty, it's really important to recognize a few things. In general, I couldn't register for any courses if my life depended on it. I depend very much on those professional counselors available in the Arts and Sciences' college office who can answer the questions about which courses do they need to take for general electives. How do you actually go about doing some of this? What's the paperwork? Frankly, I really don't want to deal with that.

It is like an allocation of scarce resources. My time is pretty valuable in terms of trying to use it for research, teaching, and service. I would much rather spend the time with the students, especially talented students like Kristen, where I can ask them, "What do you want to do with your life? Where is your passion? What is it that is the driving and motivating force for your life? Where do you want to go and how can I as a faculty member help you go in that direction?"

In terms of the paperwork and the forms, I send my students to other people. I do that not because I don't want to spend time with them, but because I want to spend the quality time that I can offer them. Helping them with their questions like, "How do I get an internship? How do I get involved in research? Who do I have to see? Who do I have to talk to, to become the professional that I want to become?"

PRESENTATION ON STUDENT ADVISING (contd)

Dr. Gustafson: (contd)

The motivation behind what we as faculty wish to pursue when it comes to advising is really providing the general direction to allow the students to find their passion. Fortunately in some cases I can proselytize a little bit and convert microbiology majors to chemistry majors, but I've also helped students go the other direction, as sad as that may be. If they find out that chemistry is really not where they want to go, to help them get connected with the right people, to find the directions that will allow them to do the things that they really want to do with their lives.

So when students come to see me the first questions I ask after I get to know them are, "What would you like to be doing in ten years? If you've seen the ideal job, what kind of job would you like to have?" It's always fun and like a puzzle to try to figure out what course work a student needs. What kind of experiences can I help direct these students to so that they can fulfill those dreams that they have? Students often change majors and that is also part of the fun.

One of the other advantages that I have in teaching first-year chemistry courses is seeing the direction students pursuing science degrees ultimately go. Just last quarter I helped a student change from a chemistry major to an English and political science major. As faculty, sometimes just knowing other people we can help students connect with other areas. So I was able to harass Dan Farrell directly and get this student connected with the Glenn Institute, even though they started out in chemistry. It is things like that where I think specifically the faculty really have the opportunity to do that.

There are certainly the professional counselors that can help in that and can assist in certain cases. I'll be honest, I have all the phone numbers of the people I need to contact and I can quickly call them to find out the answers I need for some things. But I think the privilege that we have is really helping them find the career paths that will allow them to really fulfill the dreams they have.

Dr. Garland:

We believe that we're beginning to get it right about advising. That this double system -- and it's more double in some places and less in other places as you can tell from this presentation this morning -- provides students with we hope two, not eight or nine, advisors who have different skills, different sets of information, and different focuses that they can bring to bear to help the students.

Often the importance of the relationship depends on the personal match. Sometimes your professional advisor is who becomes terribly important to you just because of the fondness you've developed for one another, and often your faculty advisor is critically important to you.

I'm very grateful for these folks to be willing to come this morning and tell us about how it feels to them. We'd welcome any questions from any of you.

Mr. Skestos:

Martha, I'd like to address a point. I just find it remarkable that since 1950 our agricultural college has been doing this, and with these current successes that have happened, and how slow we are with the rest of the colleges to get on board and follow that kind of a system.

PRESENTATION ON STUDENT ADVISING (contd)

Mr. Skestos: (contd)

I know when I entered college some 57 years ago, I was this little boy from a dinky town who had come to a big university. I was assigned a faculty advisor and I stayed with that faculty advisor my four years of undergraduate work. My advisor became not only my friend, but my counselor. He understood my problems and I felt I could go to him anytime. It was his guidance that propelled me through my undergraduate work, graduate school, etc.

My question to you is, are we doing this with all students not just honor students? Because, a lot of kids who come to this University are confused, they don't know what to do, and many times they don't have a friend. I know in my case, my professor, Calo Jones, became my friend and I looked to him for advice. My parents had never gone to college, but he had and he was there. He really gave me a lot of solid advice. I hope that it is more than just talented or honor students that we're searching out and putting with our faculty advisors.

Dr. Garland:

It absolutely is, Mr. Skestos. All of the students are being assigned to a faculty advisor and professional advisor. The distribution of responsibility does vary from college to college – different colleges do it differently.

I think the point that Professor Gustafson made is correct and that is professional counselors need to know a lot of detail about the core curriculum. I've always thought that those professional counselor jobs, particularly in the sort of general area fields like in the liberal arts, are a combination of psychological counselor and tax accountant. They also are a very important focus point to get you to the other kinds of help that the University provides. Help if you're in trouble and help if you're aspiring to something higher than you had thought about before. So it's a referral point and I think the professional advisors are wonderful.

The faculty advisors are extremely valuable and are enthusiastically helpful to students. It does differ from college to college and department to department, because units make arrangements that seem most appropriate to them. But we are moving now to a system of advising which we think will be very rich and supportive for all of our students.

Mr. Skestos:

I think that's really wonderful. Yes, David?

Mr. Brennan:

Martha, I'm not sure exactly what you explained earlier about University College. Are you saying that there will be this advising system for freshman starting next year or not?

Dr. Garland:

Students will not enter University College this summer. If they know what they want to major in, they will enter their degree-granting college. It depends on what the degree-granting college is, but in most places, they will have immediately a professional advisor in that college, who has the overview of that college, and a faculty advisor.

May 4, 2001 meeting, Board of Trustees

PRESENTATION ON STUDENT ADVISING (contd)

Mr. Brennan:

Back to my question about those who don't know what they want to do --

Dr. Garland:

For the ones who don't know what they want to do, there will be a unit under this new organization that is reporting to me called USAS. USAS will be made up of the resources that were previously in University College called GBC -- General Baccalaureate Curriculum -- for exploring and also alternatives -- which was when students found they weren't going to do what they thought originally -- and the Career Office resources in Arts and Sciences. Together that is a considerable set of resources -- good people. That program we're calling Exploration and that's where they'll go. We want them to explore more quickly than they have been exploring in the past.

Everybody understands that students change their minds and you do worry about pushing students too quickly to their major. So we need -- and that is one of the reasons why this new office is in Academic Affairs, it is in the center of the University -- to be objective in being able to help people find the place that they need to get to.

Mr. Brennan:

My quandary is that the comments that we've heard in the past have been that entering freshman are assigned nobody. That's going to change in every college?

Dr. Garland:

Absolutely.

Mr. Brennan:

Good.

Dr. Garland:

They probably will be assigned two people of these two different types.

Mr. Skestos:

Dimon?

Mr. McFerson:

Martha, the two examples you have here are outstanding and the connection between student advisors is wonderful. I'm curious as to how many students each of you might advise and whether that's a typical average for advisors?

Dr. Miller:

I work with all the students. We have 1,300 students in the college and as they come to me with questions about financial aid or placement or other things I work with them. Dr. Pfister does a similar kind of thing. So we don't really have any

PRESENTATION ON STUDENT ADVISING (contd)

Dr. Miller: (contd)

specific students assigned to us. We're full-time advisors in the college, as is Paul Heimberger.

Within the departments there may be as few as one or two or as many as 30 students assigned to a faculty member, in terms of ratio. So it will be split depending upon the size of the department. For example, the Department of Animal Sciences has around 400 students and 25 faculty that will do the advising. For new, incoming freshman in Animal Sciences we have five specific faculty members that are identified as freshman advisors. We have about 75 to 80 new animal science students every year, so each of those freshman advisors will have about 15 to 16 students.

Dr. Gustafson:

I have somewhere between 30 and 50 students that I'm personally responsible for from entering students all the way up to graduating seniors. So different levels of advising are required at different times. In terms of time commitment, I think on the faculty member's part I know that I probably spend somewhere between 10 and 20 hours a week actually with students, just advising as an aside.

Mr. Skestos:

Any other questions? Thank you very much.

Dr. Garland:

Thank you.

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FAREWELL TO GEORGE A. SKESTOS

President William E. Kirwan:

Last night was a wonderful opportunity for many of us to pay tribute to you for all that you've meant to the members of this Board and to our University. We feel we cannot let this moment pass without, once again, expressing our great affection, great admiration, and great appreciation for all that you have meant to us. I'd like to ask Vice Chairman Brennan to make a few comments.

Mr. Brennan:

Thank you, President Kirwan. As you pointed out, I had the opportunity last evening to make a number of comments which, blessedly, George, I won't repeat now. But I think it's important for all of us to take a moment to recognize that although your intellectual enrichment occurred up north at a place called Michigan, your heart and soul are a Buckeye.

Mr. Skestos:

That's true.

May 4, 2001 meeting, Board of Trustees

FAREWELL TO GEORGE A. SKESTOS (contd)

Mr. Brennan:

Thank you, George.

Dr. Kirwan:

George, I just want to say on behalf of the University how much you have meant to this community. You have been a source of inspiration. Your engagement, your passion for the University, and your leadership have been extraordinary and will leave a lasting impression on all of us. We know your love for this University and your engagement and involvement will continue, but on this occasion of your last Board meeting we wanted to present you with something that symbolizes the leadership you have provided for the rest of us. It's your own personal, permanent gavel!

Mr. Skestos:

Thank you very much. I'll use that in my office every day.

I wish to thank all of my friends at OSU for their kindness and friendship that they've shown to me over these past nine years. In my life, there has been no greater honor than to be a Trustee here at OSU. I ask that God bless my fellow Trustees with the courage and the determination to move OSU to a new era of academic excellence. I feel that our new chairman, Dave, will lead this University on to new heights. Thank you all and God bless you.

Mr. Brennan:

George, the only thing I have to do now is thank you for your service, you're a dear friend, and God bless you.

Mr. Skestos:

Thank you.

Mr. Brennan:

Thanks, George.

If there is no other business to come before the Board, the meeting is adjourned until June 1, our next Board meeting. God bless.

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Thereupon the Board adjourned to meet Friday, June 1, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier
Secretary

George S. Skestos
Chairman

May 4, 2001 meeting, Board of Trustees

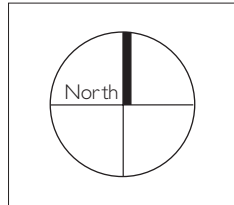
May 4, 2001 meeting, Board of Trustees

**Waivers of Competitive Bidding Requirements
January-March 2001**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals - Professional Health Care Services	\$450,000	\$0	\$0	\$450,000
Hospitals Merchandise for Resale	\$100,000	\$100,000	\$0	\$0
Hospitals - Equipment and Services	\$3,813,295	\$3,133,295	\$480,000	\$200,000
Instructional and Research Equipment and Services	\$1,734,629	\$572,340	\$201,900	\$960,389
Administrative Support Equipment and Services	\$761,831	\$404,341	\$80,000	\$277,490
Conference Facilities	\$192,000	\$132,000	\$0	\$60,000
TOTAL	\$7,051,755	\$4,341,976	\$761,900	\$1,947,879

(APPENDIX XXXVII)

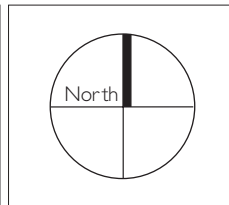
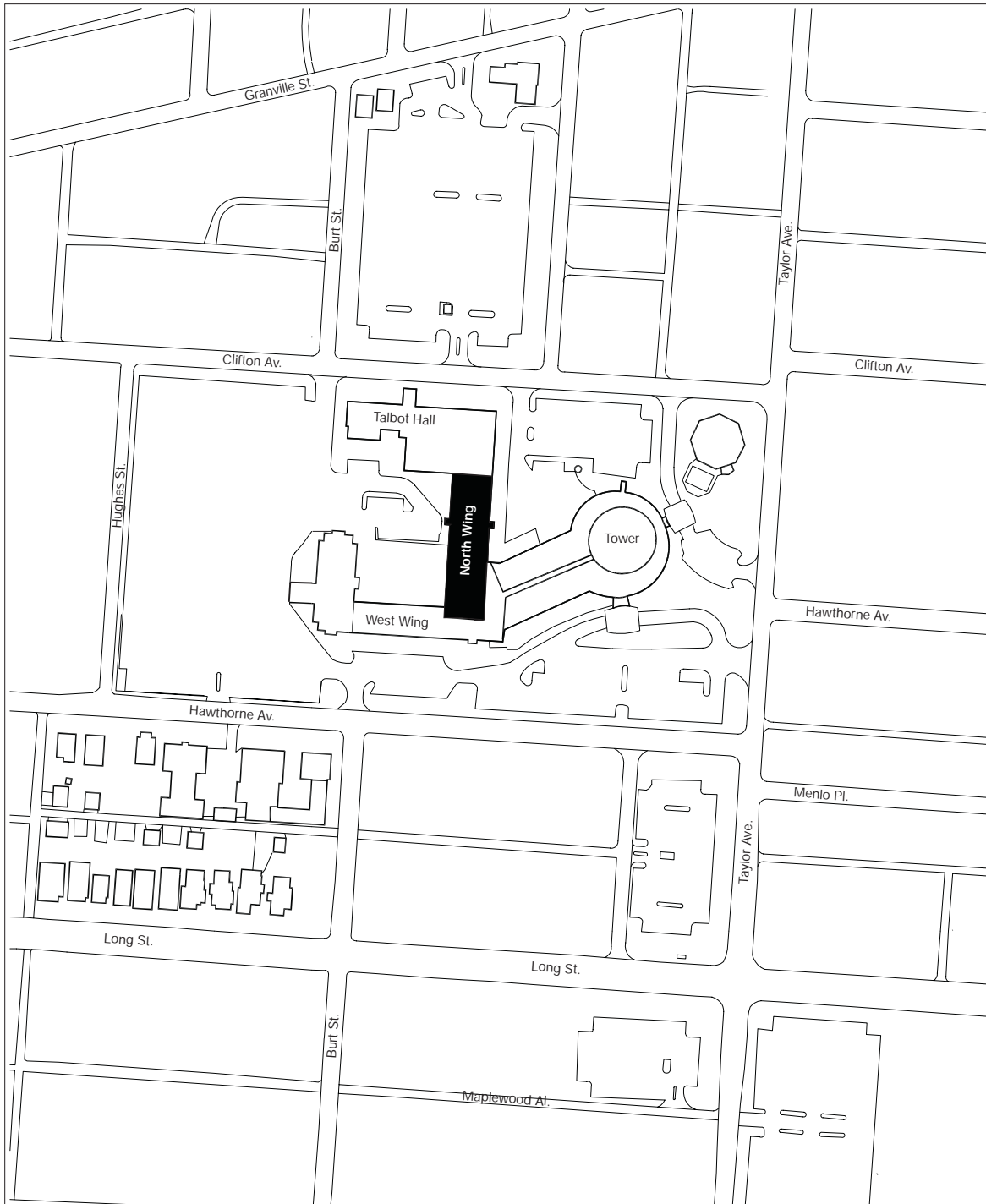
Heart Hospital - Parking Garage



Office of Business and Finance
Office of Facilities Planning and Development

January 12, 2001

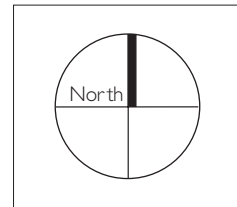
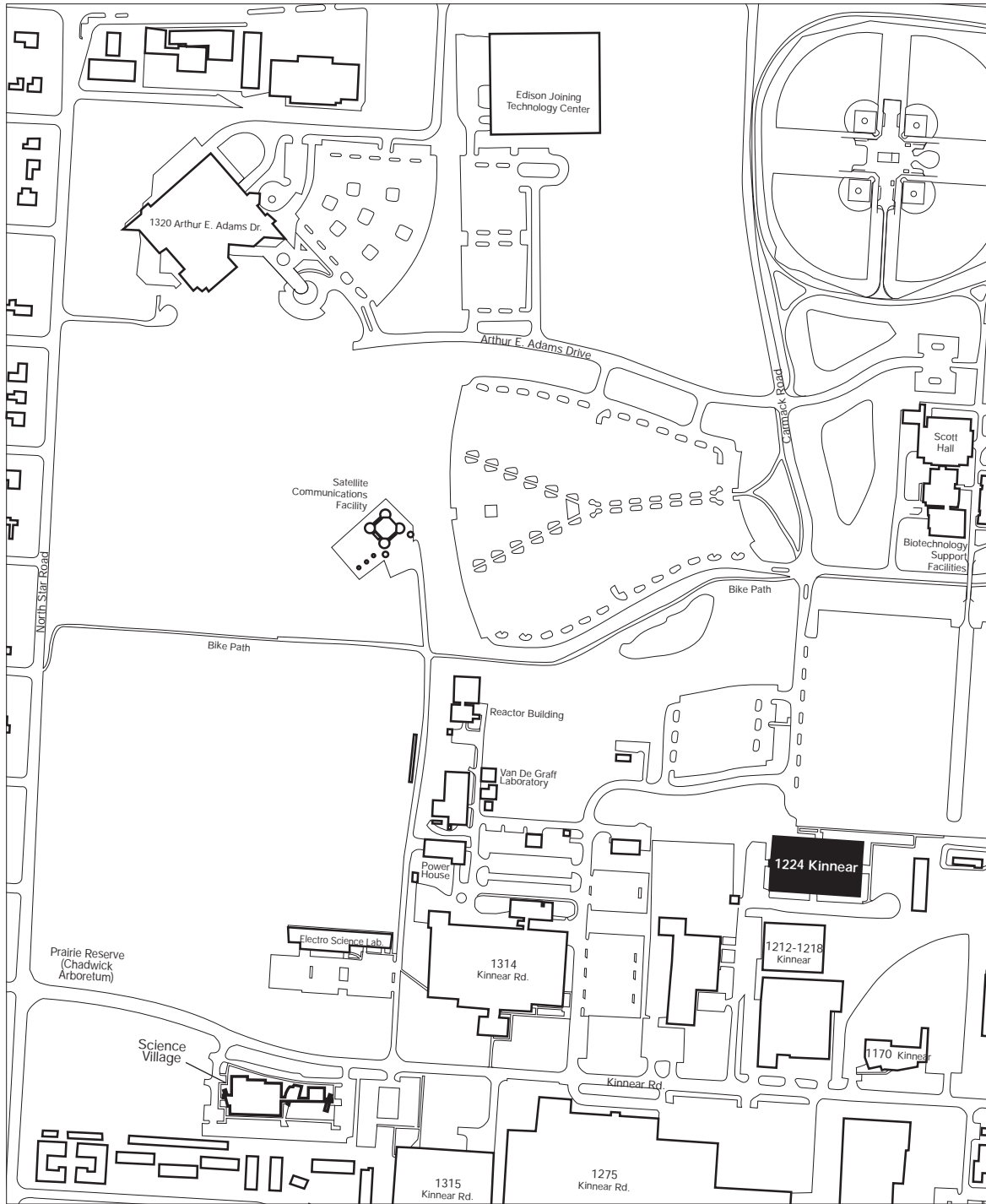
University Hospitals East -Phase II



Office of Business and Finance
Office of Facilities Planning and Development

April 20, 2001

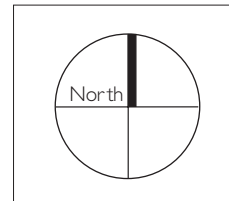
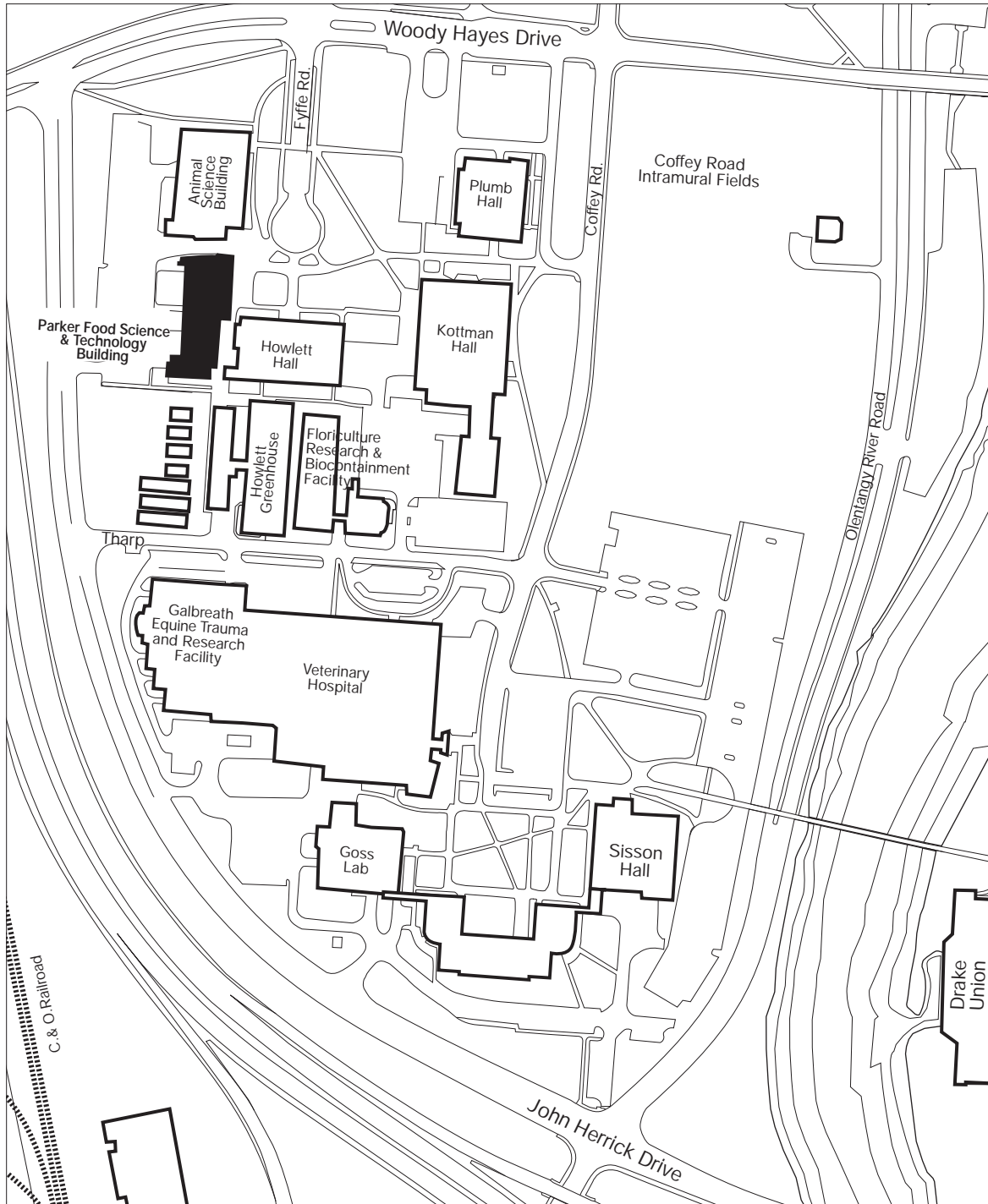
1224 Kinnear Road - BALE Program



Office of Business and Finance
Office of Facilities Planning and Development

April 17, 2001

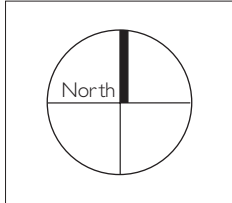
Parker Food Science - Pilot Plant Facility



Office of Business and Finance
Office of Facilities Planning and Development

April 17, 2001

Fawcett Center East Parking Lot



Office of Business and Finance
Office of Facilities Planning and Development

April 17, 2001

**The Ohio State University Board of Trustees
Fiscal Affairs Committee
May 4, 2001**

Topic:

Addressing Future University Energy Needs

Context:

This is a follow up to a July 2000 presentation to the Board of Trustees regarding a strategic review of campus energy needs in light of changing environmental regulations and energy deregulation.

The recommended course of action is to replace the four aging boilers to upgrade McCracken Power Plant's capacity to produce 625,000 pounds/hour of steam heat while providing for a renovation of the primary campus electrical power system.

Replacing old boilers with modern boilers also will allow the University to add electrical generating capacity in future years if Ohio's electrical supply dictates that on-campus generation would be more cost efficient.

Support material are provided in Attachments A and B (with Figures 1 & 2).

Recommendations:

- Upgrade McCracken Power Plant capacity to produce 625,000 pounds/hour of steam heat, and install the first new replacement boiler before the end of 2003. The total estimated project cost is \$ 73 million.
- Use Utilities business plan to evaluate proposals for managing the University's utility operations.
- Execute a contract for purchase of electricity through 2014.
- Obtain the necessary siting, construction, and operating permits for the new equipment.
- Provide the necessary addition to McCracken Power Plant.

Issue:

How to ensure that we provide cost effective, reliable and uninterrupted steam and electrical power utility systems needed to achieve the goals of the Academic Plan.

Requested of the Fiscal Affairs Committee:

Approval of the University's plan for meeting the University's future energy needs.

**Board of Trustees Meeting
July 7, 2000**

TOPIC:

Addressing Future University Energy Needs

CONTEXT:

Due to impending environmental regulations on nitrogen oxide (NO_x) emissions from boilers, The Ohio State University is faced with making a decision regarding the manner in which its energy requirements will be satisfied. Planning began in 1998 for a strategic review of energy needs in light of new EPA emissions standards and the impact of de-regulation of electric rates on cost and services. Last Spring the University approved the purchase of the Buckeye Substation, the re-negotiation of a five-year contract with AEP and the hiring of a consultant to review long-term options (Appendix A). Sebesta Blomberg and Associates, a nationally recognized consulting firm, was hired to conduct a review of strategic options. The result of this review was a recommendation to install a 59MW cogeneration plant.

RECOMMENDATIONS:

The conclusion of the Sebesta Blomberg and Associates study includes:

- Given the age and condition of the existing boilers (with the exception of the coal-fired boiler and new natural gas boiler) all should be replaced rather than modified to achieve compliance.
- The Ohio State University's electric rates are very attractive, averaging 48% below the national average. These rates will likely increase in 2005 as the current contract expires and the emerging competitive electric generation market begins to mature.
- Given the uncertainties regarding rates and service in a de-regulated environment, the University needs to protect its flexibility as long as possible.
- Cogeneration capacity offers an option of increased reliability from a proven technology, increased efficiency and leverage in future rate negotiations.
- The long lead-time for environmental permitting requires the University to initiate action as soon as possible.
- A more reliable cost estimate requires detailed design work to determine a specific equipment configuration.

A summary of the Sebesta Blomberg report is included as Appendix A.

The Electric Price Survey showing the rates paid by neighboring schools is included as Appendix B.

A list of four questions and answers regarding key issues is included as Appendix C.

ISSUES:

- What are the relative costs, benefits and risks of the various options?
- Why can't the private sector do this more quickly and more efficiently?
- What happens to OSU's current relationship with AEP?
- What will the Committee be asked to do in February?
- What additional information does the Committee want?

ACTION REQUESTED OF BOARD OF TRUSTEES:

Approval to proceed with the hiring of an engineering firm to conduct a detailed cost study that will include a fault current analysis of the electrical distribution system and the development of a schematic design for a 59MW cogeneration plant and an alternate boiler replacement design. This work is expected to cost about \$1.3 million. The detailed cost study along with the results from an internally-generated request for bids on future electric contracts will inform a decision in February 2001 on the most economical way to proceed in order to be in compliance with the EPA regulations in 2005.



Memo

To: Bill Shkurti, Janet Ashe
 From: Jim Stevens
 Date: April 17, 2001
 Re: Evaluating the Design Options for the McCracken Power Plant Project

In July 2000, Physical Facilities made a presentation to the Board of Trustees that included plans to install cogeneration equipment in the McCracken Power Plant with justification rooted in projections for the future price of electricity for the central Ohio region. The initial presentation demonstrated that the additional capital required to install cogeneration equipment could be justified based on projected long-term energy cost savings. The presentation and further discussions generated several additional questions.

- How accurate are the electric rate projections?
- What about natural gas prices?
- Can the University enter into a contract agreement for electricity at an attractive rate for the next 5-10years?
- Can a design that allows the University to generate half of its electricity and purchase half be explored?

During these past several months, much work was done in order to obtain answers to these questions:

1. Monsanto, an Associate Engineer, has completed schematic designs and life cycle cost evaluations for the following project options:
 - Course of Action One – Boiler Replacement
 - Course of Action Two – Cogeneration
 - Course of Action Three - Generate Half Electricity/Purchase Half Electricity per the Board's request.

Cost estimates for each course of action are shown in Figure 1.

2. Monsanto also completed a fault current study. This study identified the extent of the electrical upgrades required.
3. Energy consultants prepared forecasts for gas and electricity prices through 2020 for central Ohio. In addition to these price projections, the University issued an RFP for a five-year electric contract set to begin after the existing contract with AEP expires in July 2004. Responses to that RFP indicate that it will be most economical for the University to purchase electricity from the most competitive supplier, and the University will be entering negotiations with the most competitive bidders for a contract for electricity through the year 2014 or longer, with the expectation of securing a reasonable long-term electric rate.

4. In addition to the price forecasts, other components of the life cycle analysis were gathered in preparation for the May BOT presentation. Physical Facilities believes based upon the project electrical rates that the most cost effective course of action is to construct sufficient boilers to provide 625,000 pounds of steam and to continue to look to commercial suppliers for the campus electrical power. The \$38 million additional investment required for course of action three is not warranted when considering the 35 year life cycle with an estimated return of only \$67 million (see Figure 2). Course of action two makes even less sense economically.
5. Replacing boilers with modern boilers does not forestall the University from adding electrical generating capacity in future years if Ohio's electrical supply dictates on campus generation.
6. Concurrently, the University's Utilities Division is developing a comprehensive business plan with the assistance of Sebesta Blomberg. Sebesta Blomberg completed similar plans for the Universities of Maryland, Missouri and Minnesota. The plan will document the cost of "doing business" within Physical Facilities and establishing a clear standard for judging operations and management proposals from third parties. AEP expressed interest to the University in taking over the operations and maintenance work that is managed by Utilities. Others are surely interested. Effective evaluation demands a clearly defined cost basis for judging the efficiency of internal operations against other business proposals. The Business plan will allow the University to spell out the necessary criteria for a RFP. The business plan is scheduled to be complete by July 2001.

McCRACKEN POWER PLANT EMISSIONS COMPLIANCE PROJECT

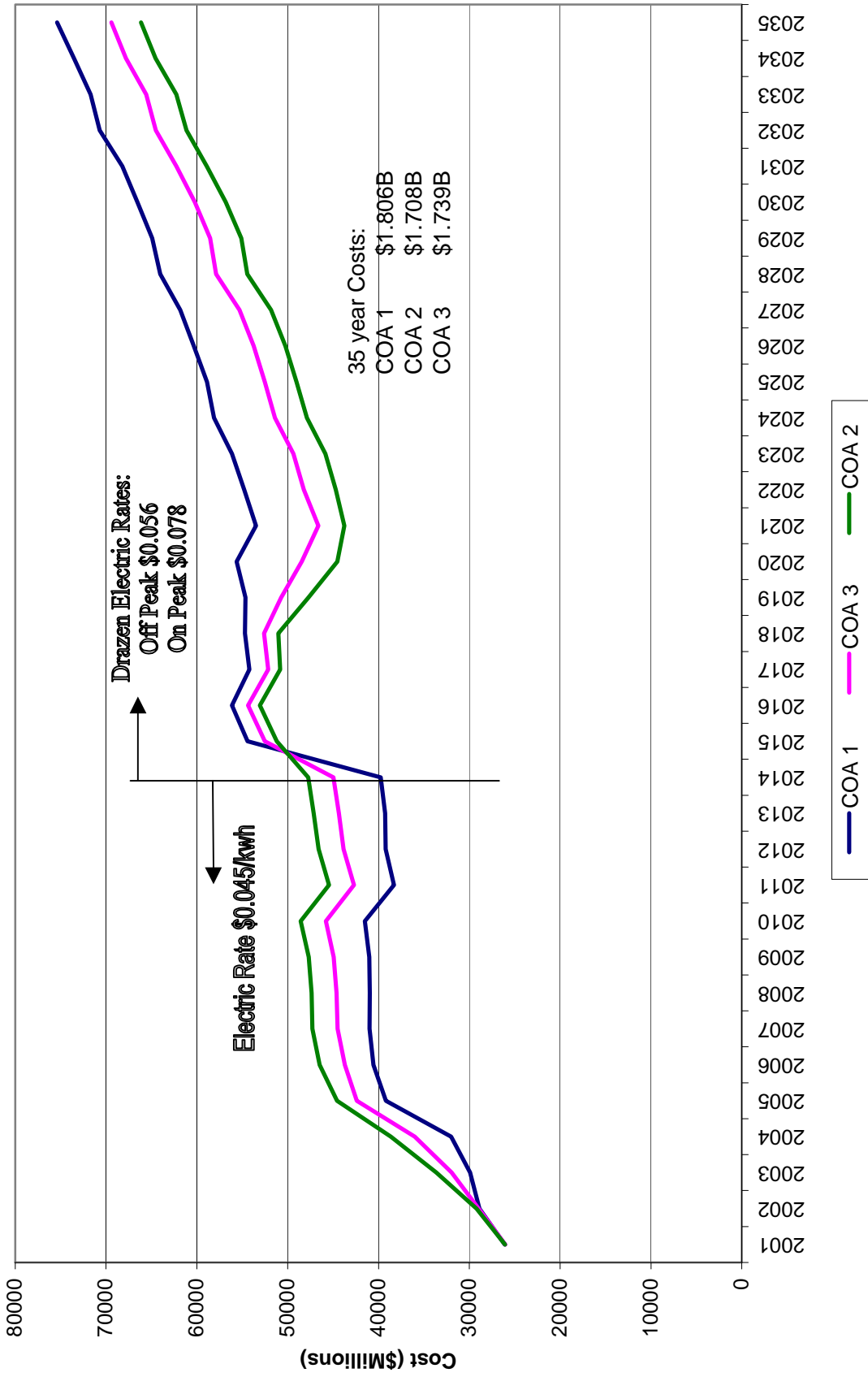
5062-PF990626

CAPITAL COST ESTIMATE

CAPITAL COST, k (1000) Dollars	Course of Action 1	Course of Action 2	Course of Action 3
<u>Construction Cost</u>			
New Boilers	\$33,589	\$26,375	\$25,789
Heat Recovery Boiler	\$0	\$5,104	\$3,608
Water Treatment System	\$0	\$5,721	\$0
Major Electrical	\$15,124	\$12,465	\$14,082
Emergency Generators	\$1,601	\$2,574	\$2,588
Fuel Oil Storage	\$0	\$2,089	\$2,125
Cogeneration	\$0	\$46,493	\$31,429
SCR System	\$0	\$883	\$886
Master Planning (Civic Structure)	\$252	\$511	\$438
Subtotal Construction Cost	\$50,566	\$102,215	\$80,945
<u>Soft Costs</u>			
Construction Contingency (10%)	\$5,031	\$10,222	\$8,764
Engineering & Support	\$8,972	\$10,598	\$10,000
Construction Management	\$3,300	\$4,400	\$4,000
Specialist / Consultants	\$1,000	\$1,000	\$1,000
FF&E	n/a	n/a	n/a
OSU FPD Fees (Negotiated with FPD)	\$105	\$105	\$105
Permitting Costs (ENSR fees)	\$200	\$200	\$200
Inflation	\$3,910	\$6,420	\$6,056
Subtotal Soft Costs	\$22,518	\$32,945	\$30,125
TOTAL Capital Cost	\$73,084	\$135,160	\$111,070

Figure 2

35yr Cost Analysis Applying Results of Electric RFQ through 2014



The Ohio State University
Board of Trustees
Fiscal Affairs Committee
May 4, 2001

TOPIC:

Third Quarter Budget Report

CONTEXT:

This is the third in a series of scheduled reports for FY 2001.

HIGHLIGHTS:

- STATE BUDGET (Attachment A)
 - ✓ Higher education received a budget cut by Executive order late in FY 2001 resulting in a \$3.2 million (1.0%) reduction in Columbus Campus State Share of Instruction and a \$4.5 million reduction in all appropriations for all Campuses.
 - ✓ The legislative outcome is more uncertain than in previous years.
- ENROLLMENTS (Attachment B) - we now have enrollment totals for all four quarters.
 - ✓ Total headcount is within margin of error (-0.8%)
 - ✓ Undergraduate enrollment down 1.3%
 - ✓ Graduate enrollment up 0.6% and Professional enrollment down .06%
 - ✓ Nonresident enrollment is up 3.9%

Minimal financial impact for FY 2001; however, the decline in returning undergraduate students (those who drop out temporarily and then return), could effect resources for FY 2002.

- **MEDICAL CENTER (Attachment C)**
 - ✓ Year-to-date is minus \$9.0 million compared to:
 - \$32.7 million at this time last year, and
 - +\$4.4 million budget target
 - ✓ The OSU Health System continues to show significant improvements over last year. However, it is expected that FY 2001 budget targets may not be met fully.
- **OTHER AREAS OF INTEREST**
 - ✓ Schottenstein Center
 - ✓ Athletics
 - ✓ Sponsored Research
 - ✓ Health Care and Utility costs
- **BOTTOM LINE**
 - ✓ With the adjustments proposed today, the FY 2001 budget will be in balance.
 - ✓ FY 2002 promises to be a vary challenging year financially.

RECOMMENDATIONS

- Revise the Current Funds Budget as of the Third Quarter to reflect the State Budget Cut and other income and expense adjustments. (Attachment D)
- Use \$5.0 million (50%) of the University's Rainy Day Fund to offset the \$3.2 million reduction in the Columbus Campus Share of Instruction along with a portion (\$1.8 million) of the utility cost increase.
- Replenish the Rainy Day fund in FY 2002.
- Continue to monitor key areas and take corrective action where appropriate.

REQUESTED OF FISCAL AFFAIRS COMMITTEE

Approval of the third quarter budget resolution.

Attachment A

Fiscal Year 2001 State Budget Cuts at 1%

Columbus Campus	Original Appropriation	Cut \$	Total After Cut
Appropriations Held Harmless:			
Success Challenge	\$ 10,701,838	<i>Exempt</i>	\$ 10,701,838
Research Challenge	9,137,198	<i>Exempt</i>	9,137,198
Ohio Resource Center-Math, Science	1,000,000	<i>Exempt</i>	1,000,000
Total Held Harmless	20,839,036	<i>Exempt</i>	20,839,036
Instructional Subsidy	320,930,144	3,209,301	317,720,843

Other Line-Item Appropriations:

Capital Component *	838,902	22,231	816,671
Priorities in Graduate Education	863,359	8,634	854,725
Sea Grants	299,940	2,999	296,941
Early Math Placement Test	200,000	2,000	198,000
Math/Science-OSI-Discovery	330,615	3,306	327,309
College Readiness Initiatives	98,750	988	97,763
MUCIA Program	250,000	2,500	247,500
OSU Glenn Institute	375,000	3,750	371,250
Ohio Learning Network	5,000,000	50,000	4,950,000
Ohio Supercomputer	4,932,218	49,322	4,882,896
OARNET	3,512,182	35,122	3,477,060
OSU Clinical Teaching	15,996,281	159,963	15,836,318
OSU Clinic Support	2,061,138	20,611	2,040,527
Other Medical	884,640	8,846	875,794
Center for Labor Research	95,000	950	94,050
Cooperative Extension	27,708,025	277,080	27,430,945
Library Depositories	450,816	4,508	446,308
Urban University Program	246,471	2,465	244,006
Total Columbus Campus	405,912,517	3,864,577	402,047,940

Regional Campuses, ATI & OARDC

Lima Subsidy	3,967,794	39,678	3,928,116
Lima Challenges	763,754	<i>Exempt</i>	763,754
Mansfield Subsidy	4,222,400	42,224	4,180,176
Mansfield Challenges	816,691	<i>Exempt</i>	816,691
Mansfield Capital Component *	132,373	3,508	128,865
Marion Subsidy	3,476,553	34,766	3,441,787
Marion Challenges	611,356	<i>Exempt</i>	611,356
Marion Capital Component *	65,112	1,725	63,387
Newark Subsidy	4,972,122	49,721	4,922,401
Newark Challenges	894,654	<i>Exempt</i>	894,654
Newark Capital Component *	230,895	6,119	224,776
ATI Subsidy	4,865,965	48,660	4,817,305
ATI Challenges	501,272	<i>Exempt</i>	501,272
ATI Early English	11,800	118	11,682
ATI Capital Component *	35,735	947	34,788
OARDC	38,730,884	387,309	38,343,575
Total Regionals, ATI & OARDC	64,299,360	614,774	63,684,586
Total University	470,211,877	4,479,351	465,732,526

*Capital Component cut at 2.65%

Attachment B

Total Cumulative Headcount Enrollments Summer 2000 thru Spring 2001

Category	Projected ¹	Actual ²	Difference	%
Undergraduate	115,790	114,287	-1,503	-1.3%
Graduate*	33,947	34,167	220	0.6%
Professional	8,826	8,772	- 54	-0.6%
Total	158,563	157,226	-1,337	-0.8%

NOTE: Includes total cumulative enrollments for Summer 2000 thru Spring 2001 Quarters

SOURCE: Resource Planning and Institutional Analysis

- Includes MBA, EMBA & Master of Accounting

¹ Projected in May 2000 for FY 2001 budget

² Reflects 14th day enrollment counts

OSU Health System
 FY 2001 3rd Quarter Financial Summary
 YTD Ending March 31, 2001
 April 20, 2001

Performance Summary:

- ❑ As of March 31, the admissions to the OSU Health System are exceeding budget by 3.7% and exceeding last year admissions by 2,500.
- ❑ University Hospitals and the James Cancer Hospital continue with gains from operations and investments while University Hospitals East has shown significant improvement over the last year and OSU & Harding Behavioral Health has shown significant improvement since January 2001.
- ❑ Revenue per adjusted admission is .3% below budget and expenses per adjusted admission are .4% above budget.
- ❑ The Health Care System has accomplished positive Revenues over Expenses for 3 months of the fiscal year and \$23 million better than last year.
- ❑ Patient Receivables have decreased by 10% after implementing price increases of over 7.1%. Cash receipts have exceeded budget expectations by 7.8%.

Financial Summary:

(All figures in thousands)

	Actual March 31, 2001 YTD	Budget March 31, 2001 YTD	Actual March 31, 2000 YTD
Net Patient Revenue	\$417,682	\$423,874	\$387,314
Other Revenue	<u>30,190</u>	<u>29,118</u>	<u>29,157</u>
Total Income:	447,872	452,992	416,471
Total Expenses:	<u>456,845</u>	<u>448,571</u>	449,154
Revenue over Expenses	(8,973)	4,421	(32,683)

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-THIRD MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, June 1, 2001

The Board of Trustees met at its regular monthly meeting on Friday, June 1, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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June 1, 2001 meeting, Board of Trustees

The Chairman, Mr. Brennan, called the meeting of the Board of Trustees to order on June 1, 2001, at 10:45 a.m. He requested the Secretary to call the roll.

Present: David L. Brennan, Chairman, James F. Patterson, Zuheir Sofia, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Kevin R. Filiatraut, and Joseph A. Shultz. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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INTRODUCTION OF NEW TRUSTEES

Mr. Brennan:

Please join me in welcoming our two newest members of the Board of Trustees. Jo Ann Davidson has just joined the Board this year after spending three or four years in state government! She most recently served as Speaker of the House of Representatives. She is a wonderful addition to our Board. We're delighted to have you with us, Jo Ann.

Our new student Trustee is Joe Shultz, who is being appointed for two years. Joe is a sophomore in agribusiness and applied economics. We're delighted to have you with us, Joe. I think you'll enjoy your experience and we expect to enjoy you.

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PRESIDENT'S REPORT

President William E. Kirwan:

Mr. Chairman and members of the Board of Trustees, let me add my words of welcome to our two newest Trustees.

My report today focuses on the deeply disappointing result of the biennial budget process and its effects on our Academic Plan. Rarely, if ever, have I seen such a rapid and dramatic reversal of an institution's fiscal fortune as that which occurred over the past several months.

Last October, with the Governor's blessing, the Board of Regents submitted a budget proposal calling for a 16 percent increase for higher education. It included the Ohio Plan, a breakthrough strategy to make Ohio a player in the information age economy. Then, in an unrelenting series of setbacks over the intervening months, we have watched the 16 percent increase evaporate -- The Ohio Plan...gone; increments to the Research Challenge and Success Challenge...gone; additional support for the state's share of instruction...gone. We now face a budget for the upcoming biennium with essentially no increase, meaning that, when inflation is taken into account, our purchasing power is actually reduced.

At each step along the way, there has been an explanation: the DeRolph mandate, the declining economy, a shortfall in the Medicaid budget. The net effect, however, is that in terms of state priorities, higher education has become the source for our other fiscal needs and shortfalls in the state budget.

The most recent cut illustrates this point. When the latest Medicaid shortfall of \$144 million was identified last weekend, almost half of the resulting cut -- \$66 million to be precise -- was assigned to higher education. Forty-five percent of these latest cuts to the Governor's budget request were assigned to higher education, which represents just 13 percent of the total state budget.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

No one denies that the Governor and the legislature face a formidable challenge. What is difficult to understand is how, in the information age, our state can continue to place such a low priority on higher education funding. How do our state leaders expect Ohio to reverse its flagging economic fortunes?

As a state, we rank 38th in the percentage of citizens with a bachelor's degree. We rank 41st in per capita expenditure on higher education. Average income in Ohio is significantly below the national average and falling – which is not surprising, given the statistics. One must ask: When will our state step forward and make the kinds of investments that at least give Ohio a chance to compete successfully in the globally competitive information age economy? Sadly, for Ohio -- not just Ohio's colleges and universities -- the answer is "not now."

At the same time, some of our own expenses are rising rapidly. For example, our cost for natural gas rose 89 percent this year, while health care costs were up 37 percent. Last fall, after 18 months of discussion and hard work, we presented the Academic Plan to the Board and other members of the campus community. This bold plan is designed to elevate Ohio State into the top rank of our nation's universities and help Ohio improve its economic fortunes.

What does the lack of growth in our funding mean for the near-term implementation of our Academic Plan? The answer is that we cannot proceed at anything close to the pace that we believe would best serve the University and the people of Ohio. To pick just one example, our efforts to recruit senior eminent faculty who have earned the highest honors in their disciplines will have to be suspended as a result of the new budget.

Disappointed though we are with the budget, let me assure you that we are certainly not defeated. We will move forward with the Academic Plan on as many fronts as possible, focusing our efforts during this period on those actions where funding can be found and where the impact will be the greatest.

Our top priority, competitive compensation for our faculty and staff is where, regrettably, we will make the least progress in the short run. In fact, unfortunately, we expect our competitive position to decline further during this next year.

As the compensation report you heard in April reminded us, we continue to fall behind our benchmark institutions in faculty and staff compensation. Our faculty salary ranking among benchmark institutions has slipped from above the median some years ago, to 8th out of 10, and the salaries at these institutions will rise by an average of another 3 or 4 percent this year. Staff salaries at Ohio State are below market by 7-10 percent. I know you agree that compensation levels for our faculty and staff are unacceptable. With the next fiscal year but a month away, there is little we can do in the short run to address this intolerable circumstance. But we will do what we can...plus considerably more in the following years. Here's how.

For the fiscal year beginning July 1, every employee whose performance meets expectations will receive at least \$395, which will offset increases in health care insurance premiums and parking fees. We will also take several steps on behalf of Graduate Associates, increasing stipends by \$10 per month and the minimum stipend to \$900 monthly.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

In addition, we will implement support for graduate associate health benefits, with the first of three annual steps – providing \$15 per month in University support for health insurance coverage next year, rising to \$50 per month within three years.

We are painfully aware of the inadequacy of these compensation gestures, but it's the best we can do at the moment. To address this problem in future years, I have asked the provost and the senior vice president for Business and Finance, with the support of the Office of Human Resources and through consultation with the University Senate, deans, vice presidents, and others, to prepare a faculty and staff compensation strategy that will raise our faculty and staff compensation to the mean of our benchmark institutions within three or four years.

An advisory group comprised of faculty, staff, and students will assist them in their work, and their findings and preliminary recommendations will be presented at the October Board of Trustees meeting. Our goal is to finalize the plan before the end of Winter Quarter and to implement it no later than July 1, 2002.

This initiative will require us to provide an annual self-funded compensation increase above the market average and to do so for each of the next several years. In announcing our intentions, let me emphasize that achieving greater parity of compensation in the face of inadequate state funding will mean that we cannot accomplish some other objectives that are important.

It also will mean that we will have to make significant reductions in existing programs and services to create the necessary resources. I do not underestimate the difficulty of this task. However, if we really believe that academic excellence starts with a top quality faculty and staff – and we do – then this is the right step to take and we must take it. In addition to compensation, there are other areas in the Academic Plan where we expect to make some progress.

For example, the lifting of the state tuition cap will allow us to accelerate our efforts to enhance the quality of the teaching and learning environment and better support the student body. Plans originated in the Colleges of Humanities, Social and Behavioral Science, and Law to create an Institute for the Study of Race and Ethnicity in the Americas have been underway for some time. Funds have been set aside to launch this initiative. The state share of the tobacco settlement funds include substantial resources to support biomedical research. We will use these funds to launch a major biomedical research initiative.

In the coming months, we will, of course, continue to hammer home the message that Ohio needs to better support its colleges and universities. We have a growing body of support – both within the legislature and among the state's business leaders – who agree with us. We will redouble our efforts to make our case to elected officials, potential business allies, opinion leaders, and all others who will listen. We will seek the best possible outcome in next year's capital appropriation. We also will work to support efforts in the General Assembly to find alternative means of funding The Ohio Plan.

In summary, we will do the best we can with what we have and, while we will not be able to do as much as we want or as much as the State of Ohio needs, we will continue to move this University forward towards the goals of the Academic Plan.

Indeed, despite the discouraging budget news, we continue to make progress in our drive for academic excellence. For example, in your folders, the latest *Time* magazine article includes a great story about the robotic surgery being performed

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

by Drs. Michler and Wolf. And Tuesday night's *NBC Nightly News* featured allergy research by Ohio State pharmacy Professor Sheryl Szeinbach.

The U.S. Department of Defense just awarded \$108 million to the Ohio Supercomputer Center and two other institutions to perform the high performance computing modernization program. It's one of the largest grants to further academic research and training in Defense Department history.

I'll also mention that two juniors – Gabriel T. Chong of Worthington and Karoline Gilbert of Uniontown – have received prestigious Goldwater Scholarships. And six Ohio State researchers earned a very prestigious award – the Faculty Early Career Development Award from the National Science Foundation. They are: Helen Chamberlin, assistant professor of Molecular Genetics; Mark Foster, assistant professor of Biochemistry; Rabi Michalani, assistant professor of Civil and Environmental Engineering; Linda Weavers, assistant professor of Civil and Environmental Engineering and Geodetic Science; Patrick Woodward, assistant professor of Chemistry; and Song-Chun Zhu, assistant professor of Computer and Information Science. These examples of exceptional people at Ohio State serve to remind us that, despite our budgetary setbacks, our high aspirations are still within our grasp.

This is not the only good news at the University. I want to take this opportunity to congratulate Bill Hall, who you will name today as our vice president for Student Affairs. After we reviewed the credentials of some very impressive people, Bill clearly earned not only this position, but also the respect and support of the entire community. Bill, we are fortunate to have you in this position. Would you please stand?

I want to express special thanks to Bobby Moser, who chaired the search committee and all members of that committee, including Judge Duncan and former student Trustee Jaclyn Nowakowski. The committee did an absolutely outstanding job in identifying exceptional candidates.

Mr. Chairman and members of the Board of Trustees, this concludes my report. Unless there are any questions, we will proceed with the designation of two faculty members as Distinguished University Professors.

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PRESENTATION OF DISTINGUISHED UNIVERSITY PROFESSORS

President William E. Kirwan:

As you know, the designation of "Distinguished University Professor" is the highest honor we confer upon our faculty. Along with Ohio Eminent Scholars, the Distinguished University Professors comprise the President's and Provost's Advisory Committee. Each receives \$10,000 a year for three years to support his or her research.

This year's designees – Charles Capen, chair of the Department of Veterinary Biosciences; and Matt Platz, the Melvin S. Newman Professor of Chemistry – are especially worthy of this honor. Let me call upon Provost Ed Ray to begin the presentation.

PRESENTATION OF DISTINGUISHED UNIVERSITY PROFESSORS (contd)

Provost Edward J. Ray:

Thank you, Mr. President. The title "Distinguished University Professor" is Ohio State's highest honor awarded to faculty members. Since its inception in 1986, only 21 faculty have received this prestigious distinction.

Recipients are chosen by a panel of esteemed faculty colleagues. I would like to recognize and thank the selection committee: Dr. Dan Sedmak, chair of the Department of Pathology and interim associate vice president for education in the College of Medicine and Public Health, who served as the selection committee chair; Professor Marilyn Brewer, the Ohio Eminent Scholar in the Department of Psychology; Professor Arthur Epstein, Distinguished University Professor in the Department of Physics; Professor Leila Rupp, chair of the Department of History, who recently received a Distinguished Scholar Award; and Professor Yuan Zheng, chair of the Department of Electrical Engineering.

The selection committee chose two distinguished colleagues for this honor: Professor Charles Capen, chair of the Department of Veterinary Biosciences; and Professor Matthew S. Platz, the Melvin S. Newman Professor in the Department of Chemistry. Before we present the awards, we would like to show you a short video.

[Video presentation]

Provost Ray:

I am pleased to recommend Professor Charles Capen, chair of the Department of Veterinary Biosciences, for the designation of Distinguished University Professor. Dr. Capen's work reflects the very highest standards of excellence for research, teaching, and service.

Considered by colleagues to be one of the premier academicians in the United States today, Dr. Capen's work has earned him an international reputation as a distinguished scholar in the areas of investigative endocrinology and experimental pathology. Researchers in these fields regard him as the world's authority in veterinary endocrine pathology. He is renowned for his work in the use of animal models to study human diseases. His scholarly articles have been published widely in the top peer review journals, including *Science*, in book chapters, in abstracts, and in research presentations.

Dr. Capen is a full professor not only in the Department of Veterinary Biosciences where he serves as chair, but also in the Department of Internal Medicine, Division of Endocrinology and Metabolism. He is a highly effective teacher of professional graduate and postdoctoral students, and has served as faculty advisor for more than 30 graduate students during his tenure at Ohio State.

Dr. Capen is one of only two Ohio State faculty who has been selected for membership in the very prestigious Institute of Medicine of the National Academy of Sciences, and one of only a select few veterinarians ever chosen for membership in the IOM.

In addition, the American College of Veterinary Pathologists bestowed on him the title of Distinguished Member, an honor given to only 20 other veterinary pathologists during the past fifty years, and Ohio State has honored him with a Distinguished Scholar Award. Please join me in congratulating Charles Capen as one of The Ohio State University's Distinguished University Professors.

PRESENTATION OF DISTINGUISHED UNIVERSITY PROFESSORS (contd)

[Award presentation and photograph]

Provost Ray: (contd)

I am pleased to recommend Matthew S. Platz, the Melvin S. Newman Professor of Chemistry, for the designation of Distinguished University Professor. Professor Platz's accomplishments in scholarship, teaching, and service are indeed distinguished and distinctive. Widely regarded as a pioneer in the application of electron spin resonance (ESR spectroscopy) and laser flash photolysis to biradicals, Professor Platz is considered one of the world's experts in the use of these fundamental probes. Colleagues agree that he has also become a world leader in the study of the chemistry of carbines and nitrines.

He has received funding from the National Science Foundation for twenty-four consecutive years and with more than \$600,000 for the three-year period ending January 31, 2003. Professor Platz was elected a Fellow of the American Association for the Advancement of Science, and received the prestigious Cope Scholar Award of the American Chemical Society, one of the most prominent national awards given in organic chemistry; held by only one other Ohio State faculty member.

His record includes close to 190 research articles -- about forty percent appeared in the *Journal of the American Chemical Society* -- 15 chapters and monographs, 10 patents, and more than 170 invited lectures, including as a plenary lecturer at the 1995 National Organic Symposium, an extremely prestigious biennial meeting. Remarkably, he published nearly sixty papers during a five-year period in which he served as acting chair for one year, and then another four years as department chair.

At Ohio State, Professor Platz has been honored with both the Distinguished Scholar Award and the Colleges of the Arts and Sciences' Outstanding Teaching Award. He has graduated close to 30 Ph.D. students, 30 M.S. students, and trained more than 20 postdoctoral research associates. His service activities have included the editorial advisory boards of the *Journal of Organic Chemistry* and the *Journal of Physical Organic Chemistry*, both prestigious journals in the field. At the University-level during his tenure as department chair, he spearheaded a successful proposal that resulted in a Selective Investment Award for the Department of Chemistry.

Please join me in congratulating Professor Platz on being designated one of The Ohio State University's Distinguished University Professors.

[Award presentation and photograph]

Provost Ray:

I'd like to call on Glen Hoffsis, Dean of the College of Veterinary Medicine, to say a few words on behalf of Professor Capen.

Dean Glen F. Hoffsis:

Thank you, Provost Ray. As you've heard, Dr. Capen has impeccable academic credentials. Among those is the statement that if any pharmaceutical company anywhere in the world needs a veterinary pathologist with a specialty in endocrine, Dr. Capen will be on the short list. These international pharmaceutical companies have a veterinary side, as well as a human side in

PRESENTATION OF DISTINGUISHED UNIVERSITY PROFESSORS (contd)

Dean Hoffsis: (contd)

most instances, and it's interesting that Dr. Capen consults more on the human side than he does on the veterinary side.

Dr. Capen is a veterinary pathologist, and the specialty of veterinary pathology essentially started in this department at Ohio State many years ago. It's considered today to be among the top two or three programs in veterinary pathology in the world, and as the fountainhead of the finest veterinary pathologists anywhere in the world. In fact, this department is considered to be the greatest collection of pathological minds anywhere in the world!

Dr. Capen has provided great leadership for the department where he's been the chair for 20 years and is only stepping down now because of the age rule. In this department is where the feline leukemia vaccine was developed and spawned the campus-wide retrovirus center. He, more than anyone else in our college, is responsible for setting the highest standards of academic achievement and performance.

I'm going to conclude with a couple of personal qualities. Dr. Capen has impeccable integrity. He's the most collegial person you'd ever want to work with. A true gentleman, soft spoken, leads by example, and always has the overall good of the institution in mind in everything he does – a real joy to work with. In addition to that, he's an avid sports enthusiast, likes sports cars, and is a world-class, world traveler and wildlife photographer. Thank you.

Dr. Ray:

Thank you, Glen. I'd now like to invite Professor Capen to address the Board.

Professor Charles C. Capen:

Provost Ray, President Kirwan, distinguished Trustees, and guests, I am honored to have been selected as one of the two Distinguished University Professors for the year 2001. It is a distinct honor to join, along with Professor Platz, the list of 21 distinguished scientists who previously have been awarded this honorific, academic title at The Ohio State University.

My research on the pathophysiology of endocrine and metabolic diseases and on carcinogenesis has been a team effort. I am fortunate to have had excellent mentors, and I've worked with outstanding research collaborators, graduate students, postdoctoral scientists, talented research technicians, and administrative staff. All of their efforts are gratefully acknowledged, much appreciated, and have contributed in a major way to the research that's been accomplished in my laboratory.

I first came to Ohio State in 1960 as a graduate student, being attracted to the University by the national reputation of veterinary medical faculty pursuing research using animal models to better understand mechanisms of disease. The academic environment at Ohio State in the health sciences was much different than I was used to at Washington State University, where I completed my veterinary medical education.

The WSU campus at that time was small and located in the far eastern part of the State of Washington, in the middle of some very highly productive wheat fields. The health sciences were represented only by the College of Veterinary Medicine and a small school of pharmacy.

PRESENTATION OF DISTINGUISHED UNIVERSITY PROFESSORS (contd)

Professor Capen: (contd)

At Ohio State the close proximity of all seven health sciences colleges on one campus, and the collegial attitude of faculty and staff in pursuing their teaching and research, have been extremely important to me during my academic career. This unique health sciences community with its numerous opportunities to establish teaching and research collaborations was the single most important factor in my decision to pursue an academic career at The Ohio State University, and to abandon a planned return to the mountain ranges and Pacific Ocean of the Northwest.

My research efforts were directed towards understanding the cause of economically important metabolic diseases in high producing dairy cows and developing effective methods of prevention. Subsequent research has been focused on the interrelationship of the calcitrophic hormones, including parathyroid hormone, calcitonin, and vitamin D, and the regulation of calcium metabolism and bone cell activity under normal and disease conditions. Naturally occurring diseases, as well as genetically engineered animal models, along with in vitro methods, have been used to further our understanding of basic disease mechanisms for the benefit of both animals and humans.

Recent research has been directed at identifying hormonal factors produced by cancer cells that result in life-threatening elevations in the blood calcium concentration that complicate the medical management of certain types of cancer. These studies, along with those reported in the literature, have identified the principal factor produced by cancer cells to be a new hormone, designated parathyroid hormone-related protein, and have shown that the gene for this hormone also is expressed in many normal tissues. Current research and collaboration with several faculty and graduate students in the College of Veterinary Medicine is directed at clarifying the physiologic role of this new hormone in normal tissues.

A second focus of my current research, in collaboration with faculty in the College of Medicine and Public Health, is utilizing genetically engineered mice to investigate the role of a specific oncogene in the development of the most important type of thyroid cancer in human patients.

In conclusion, my sincere thanks to members of the Board of Trustees, President Kirwan, and Provost Ray for this distinct honor. Thank you.

Provost Ray:

I'm pleased to invite Professor Bruce Bursten, chair of the Department of Chemistry and also a Distinguished University Professor himself, to say a few words about Professor Platz.

Professor Bruce E. Bursten:

Thank you, Provost Ray, President Kirwan, and Board members. As chair of the Department of Chemistry, it is a thrill for me to see my friend and colleague, Professor Matthew Platz, named as Distinguished University Professor.

This quarter, Matt is completing his twenty-third year as a faculty member at Ohio State. He has spent his entire academic career here, starting as a fresh assistant professor. Matt is truly a shining light at Ohio State, one who has committed himself to the pursuit of excellence at all levels of his activity.

PRESENTATION OF DISTINGUISHED UNIVERSITY PROFESSORS (contd)

Professor Bursten: (contd)

As a scholar, Matt has garnered recognition as one of the most preeminent physical organic chemists in the world. His research is at the most basic, fundamental, intellectually challenging level possible, but it also has applications. Matt has developed the tools to investigate in great detail the basic behavior of electrons in small organic molecules. The methodologies he has developed are now finding applications in medical research, particularly in the screening of blood for HIV.

In recognition of his manifold contributions to research, he has been named a recipient of the Arthur Cope Scholar Award of the American Chemical Society, a national award that he will receive later this year in Chicago.

In addition to his wonderful contributions in research, Matt excels as a teacher at all levels, and has been a recipient of the student-selected Colleges of the Arts and Sciences' Outstanding Teaching Award, an award selected entirely by undergraduate students. Even more remarkable in his teaching activities, while he was department chair, he taught at Centennial High School on a voluntary basis, trying to improve the level of chemistry instruction at one of our Columbus public high schools.

Finally, Matt's selfless commitment to excellence at our University is exemplified by his many years of service as department chair. Under his stewardship, the department experienced unprecedented growth that culminated in our receiving a Selective Investment Award for our department.

In all that he does, Matt is a paragon of excellence. I am privileged to be here today for this ceremony. Thank you.

Professor Ray:

Thank you, Bruce. Now let me call on Professor Platz to address the Board.

Professor Matthew S. Platz:

Thank you very much, Bruce, Provost Ray, President Kirwan, and ladies and gentlemen of the Board. Good morning and thank you for bestowing the honor of a Distinguished University Professorship upon me.

Like my department chair, my dean, Bob Gold, and Provost Ray, I am an individual who has chosen to spend his entire adult life and professional career at The Ohio State University, and, of course, I've never regretted that. I have brought passion into my research, my teaching, and my service to make this University the best university it could possibly be, and to be a university that all of us could be proud of.

It's been a source of great pleasure to me over the last few years to see the University improve and particularly to see the aspirations of the University rise. There's no greater satisfaction or gratification that I can have than to know that my efforts on behalf of the University have been deemed both useful and valuable. Thank you very much.

I would like to tell you a little about my research. I'm an organic chemist. My specialty is the study of the interaction of organic molecules with radiation, particularly ultraviolet and visible radiation.

PRESENTATION OF DISTINGUISHED UNIVERSITY PROFESSORS (contd)

Professor Platz: (contd)

Organic molecules when they absorb radiation often explode making pieces or shrapnel, small molecules which exist for only a few billionths of a second. For years, I've been fascinated by these small reactive fleeting molecules and I wanted to develop methods to observe them directly, to measure their lifetimes, and to study their reactivity.

Why have I chosen to do this? I'll make a confession -- I love the molecules, I love the questions, and I want to know the answers – curiosity. In this era of tight and short-sighted budgets, and what-have-you-done-for-me-lately scholarships, we have to defend curiosity. We have to say, "Why was this worth doing?"

I can give you the general answers that scientists always give. We create knowledge that is used by others to solve practical problems. The knowledge we've created is used by companies to make suntan lotions, or initiate polymerization reactions, or to synthesize new pharmaceuticals. The students that we educate go on to become leaders in colleges and universities, and they go on to work for companies, often in Ohio, to create the wealth that improves the University. You've gotten used to hearing those answers from scientists. They're true, but we use them all the time. So let me try to give you a more specific, concrete example.

I'll tell you about an undergraduate student of mine who did curiosity-driven research in the 1980s. He received his bachelor's degree at Ohio State, went to Cal-Tech to get his Ph.D., and then decided to spend his career in the blood products industry. Then, as now, blood products have been challenged, threatened by the HIV virus, the hepatitis virus, and the parasitic organisms that cause malaria and Chagas' disease around the world. Ray remembered his photochemistry from Ohio State University and recruited me to help him develop technology that would sterilize and clean the blood to make plasma proteins, platelets, and red cells safe in transfusion medicine.

I'm happy to tell you that we've had great success with vitamins and light. We hope that within two or three years this technology will be used widely around the world, particularly in poor developing countries where the blood supply is in terrible shape. Stimulated by Ray's discoveries and our collaboration, I've contacted Mike Caliguiri of the OSU Cancer Center to see how we could apply this technology to the field of cancer.

Eighty percent of the people in this room right now are infected with a virus called Epstein-Barr virus. Don't worry it's not going to make you sick, your immune system keeps it under control unless or until you need an organ transplant. At that point, you'll be given drugs to suppress your immune system. Unfortunately the virus wakes up and it's out of control, cannot be controlled by the immune system and cancers break out. Many candidates for organ transplantation come down with cancer, die, and, of course, never receive the life-extending organ that they need.

Hopefully, as I speak, my students and Mike's students today are working together at The James and in the chemistry department to try to develop this vitamin photochemistry therapy to try to kill cells infected with this virus, and, therefore, stimulate the immune system and vaccinate organ transplant candidates against cancer. Hopefully this can show you some of the benefits of curiosity-driven research, which I've been very proud and passionate about pursuing.

Once again, thank you for the title of Distinguished University Professor.

PRESENTATION OF DISTINGUISHED UNIVERSITY PROFESSORS (contd)

Mr. Brennan:

On behalf of the entire Board of Trustees, I congratulate and thank you both. I think one of the highlights of my years on the Board is when our Distinguished Professors come forth to be recognized. You represent, by example, the excellence that we seek for the entire campus. We're honored that you're with us, we're humbled by what you do, and we thank you.

Any comments or other questions from the Trustees? Again, our heartiest congratulations. Thank you very much.

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CONSENT AGENDA

President William E. Kirwan:

We have 18 resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend these for the Board's approval:

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2001-2002

Resolution No. 2001-135

BE IT RESOLVED, That the appointments to committees and representatives to various Boards for 2001-2002 be amended as follows:

Student & Educational Affairs Committee:

Robert M. Duncan, Chair
Tami Longaberger, Vice Chair
Daniel M. Slane
Kevin R. Filiatraut
Joseph A. Shultz

Student Advising Ad Hoc Committee:

Tami Longaberger, Chair
Robert M. Duncan, Vice Chair
Joseph A. Shultz

Employee Education Ad Hoc Committee:

James F. Patterson, Chair
Dimon McFerson, Vice Chair
Jo Ann Davidson

Fiscal Affairs Committee:

James F. Patterson, Chair
Karen L. Hendricks, Vice Chair
Dimon R. McFerson
Zuheir Sofia
Jo Ann Davidson

Planning Ad Hoc Committee:

Karen Hendricks, Chair
Dimon McFerson, Vice Chair

Athletics Ad Hoc Committee:

Daniel M. Slane, Chair
Karen Hendricks, Vice Chair
James F. Patterson

Investments Committee:

Zuheir Sofia, Chair
Karen Hendricks, Vice Chair
Robert M. Duncan
Jo Ann Davidson
John Gerlach, Jr., (Foundation Board)
Ex Officio
William Ingram III (Foundation Board)
Ex Officio

Agricultural Affairs Committee:

James F. Patterson, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Tami Longaberger
Dimon R. McFerson
Joseph A. Shultz

Outreach & Engagement Committee:

Tami Longaberger, Chair
Daniel M. Slane, Vice Chair
Karen L. Hendricks
Kevin R. Filiatraut
Dan Heinlen, Ex Officio

Distance Learning Ad Hoc Committee:

Daniel M. Slane, Chair
Tami Longaberger, Vice Chair
Zuheir Sofia
Kevin R. Filiatraut
Ted Celeste, Ex-Officio

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2001-2002 (contd)

Government Relations Committee:

David L. Brennan, Chair
James F. Patterson, Vice Chair
Daniel M. Slane
Robert M. Duncan
Jo Ann Davidson
Michael F. Colley, Ex Officio
William Blair, Ex Officio
Stan Aronoff, Ex Officio

Affiliated Entities Committee:

Dimon R. McFerson, Chair
Robert M. Duncan, Vice Chair
Karen Hendricks
Kevin R. Filiatraut

Personnel Committee:

David L. Brennan, Chair
James F. Patterson, Vice Chair
Zuheir Sofia

Regional Campus Boards:

Lima - Jo Ann Davidson
Mansfield - James F. Patterson
Marion - Dimon R. McFerson
Newark - Daniel M. Slane

**The Arthur G. James Cancer Hospital
and Research Institute Board:**

Zuheir Sofia, Chair
Daniel M. Slane

University Hospitals Board:

Zuheir Sofia
Daniel M. Slane

CAMPUS PARTNERS BOARD:

DIMON R. MCFERSON

Research Foundation Board of Directors:

Karen L. Hendricks

Science and Technology Campus

Board of Directors:

Robert M. Duncan

**University Managed Health Care System,
Inc., Board of Directors:**

Robert M. Duncan

University Foundation Ex Officio

Class of Directors:

James F. Patterson (1 year)
Tamala Longaberger (2 years)
Zuheir Sofia (3 years)

Wexner Center Foundation Board:

Leslie H. Wexner (2002)
Jo Ann Davidson

**AMENDMENTS TO THE BYLAWS OF THE ARTHUR G. JAMES CANCER HOSPITAL
AND RICHARD J. SOLOVE RESEARCH INSTITUTE BOARD**

Resolution No. 2001-136

Synopsis: The amendments to *The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board Bylaws* are recommended for approval.

WHEREAS pursuant to bylaw 3335-109-20 of *The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board Bylaws*, the bylaws may be amended by The Ohio State University Board of Trustees; and

WHEREAS these amendments to *The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board Bylaws* have been endorsed and ratified by The Arthur G. James Cancer Hospital and and Richard J. Solove Research Institute Board, as follows:

Amended Bylaw

3335-109-01 General.

- (A) The body with ultimate responsibility to the Ohio state university board of trustees (hereinafter referred to as "university board") for establishing policy, maintaining quality patient care, and providing for organization management and planning within the Arthur G.

**AMENDMENTS TO THE BYLAWS OF THE ARTHUR G. JAMES CANCER HOSPITAL
AND RICHARD J. SOLOVE RESEARCH INSTITUTE BOARD (contd)**

James cancer hospital and Richard J. Solove research institute (hereinafter referred to as "CHRI") shall be the Arthur G. James cancer hospital and Richard J. Solove research institute board (hereinafter referred to as "board").

(1) The board shall be composed of:

(a) through (h) unchanged.

(i) THE PRESIDENT OF THE ARTHUR G. JAMES CANCER HOSPITAL AND RICHARD J. SOLOVE RESEARCH FOUNDATION.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to *The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board Bylaws* be adopted as recommended by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute Board, effective immediately.

REGIONAL CAMPUS BOARD APPOINTMENTS/REAPPOINTMENT

Resolution No. 2001-137

Synopsis: Approval of appointments/reappointment to The Ohio State University-Mansfield Campus Board and The Ohio State University-Marion Campus Board are proposed.

WHEREAS the Board of Trustees on June 3, 1994 and July 8, 1994 respectively, approved the establishment of The Ohio State University-Mansfield Board and The Ohio State University-Marion Board; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointments/reappointment to the following Regional Campus Boards for the terms as specified:

Mansfield Board Appointments

David L. Carto, 1-year term
Shelley G. Fisher, 3-year term
Timothy J. Lehman, 3-year term
Kevin P. Nestor, 3-year term
Natalie A. Heydinger, 1-year term (student appointment)

Marion Board Appointments/Reappointment

Douglas W. Brown, 3-year term
Janet P. Pry, 3-year term
Carrol Heimlich, Jr., 1-year term (student appointment)
Deborah Martin, 3-year term (reappointment)

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Mansfield and Marion Boards, effective July 1, 2001.

**OSU STUDENT HEALTH INSURANCE PROGRAM
PLAN FOR 2001-2002**

Resolution No. 2001-138

Synopsis: The OSU Student Health Insurance Program Plan for 2001-2002, is proposed.

WHEREAS on June 2, 2000, this Board awarded the student medical insurance program contract to Koster Insurance Agency, following a competitive bid process, and renewed with Vision Service Plan and Delta Dental of Ohio for respective coverage effective Autumn Term 2000, subject to renewal thereafter; and

WHEREAS the University has the opportunity to seek renewal of the program with the current carriers or competitively bid the insurance program; and

WHEREAS the current carriers Koster Insurance Agency and Delta Dental of Ohio, have offered renewal rates that are very competitive in the insurance market and appropriate to our history of claims experience; and

WHEREAS after consideration of the expressed needs and concerns of the students who purchase the insurance, the OSU Student Health Insurance Committee has recommended – and the Council on Student Affairs has ratified that recommendation – keeping Koster Insurance Agency as the underwriter and administrator of the commercially insured PPO portion of the plan for one year and Delta Dental of Ohio the insurer of the dental portion of the plan for one year (Vision Service Plan will be in year two of a two-year agreement) at the specific rates to provide existing benefits plus benefit/service changes to include:

1. Improving the pharmacy benefit by allowing dependents (spouse & children) to have prescription drugs filled at the Student Health Center under the current insured benefit plan. Individuals will only pay the appropriate coinsurance amount at the time the prescription is filled and the remainder will be billed to Koster.
2. Adding periodontal services to the dental benefit.
3. Implementing the more stringent enrollment eligibility requirements approved as a plan recommendation last year (6 credit hours for undergraduate students and 5 credit hours for graduate/professional student). Exemption to these minimum credit hour requirements will be granted to the following student groups: 1) CO-OP and professional practice students, 2) students engaged in internships and field work; 3) travel abroad students; and 4) graduate students engaged in continuing research for thesis or dissertation.
4. Modifying the plan year effective dates so that the Plan Year begins seven (7) days prior to the start of Autumn 2001 (semester and quarter) and ends seven (7) days prior to the start of the next school year.

WHEREAS this plan is fully funded by student premiums and will be available on an optional basis to eligible OSU students for the following proposed **quarterly** premium rates:

Comprehensive Plan Rates (includes vision and improved dental benefits)

Student Only	\$ 251.00 per quarter	(\$ 83.67/month)	rate ↑ = 6.36%
+ Spouse	\$ 642.00 per quarter	(\$ 214.00/month)	rate ↑ = 6.47%
+ Children	\$ 647.00 per quarter	(\$ 215.67/month)	rate ↑ = 6.41%
+ Family	\$ 867.00 per quarter	(\$ 289.00/month)	rate ↑ = 6.38%

**OSU STUDENT HEALTH INSURANCE PROGRAM
PLAN FOR 2001-2002 (contd)**

Medical ONLY Plan Rates (no vision or dental benefits)

Student Only	\$ 233.00 per quarter	(\$ 77.67/month)	rate ↑ = 5.43%
+ Spouse	\$ 596.00 per quarter	(\$198.67/month)	rate ↑ = 5.49%
+ Children	\$ 601.00 per quarter	(\$200.34/month)	rate ↑ = 5.62%
+ Family	\$ 805.00 per quarter	(\$268.34/month)	rate ↑ = 5.37%

Comparable insurance rates will be charged to students on the semester system.

NOW THEREFORE

BE IT RESOLVED, That Koster Insurance Agency and Delta Dental of Ohio be awarded the OSU Student Health Insurance Program contracts effective Autumn Term 2001, for a period of one (1) contract year. The contracts will provide the benefits/services now available under the terms of the 2000-2001 contract together with the aforementioned benefit/service changes.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE**

Resolution No. 2001-139

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* and *Bylaws of the University Senate* were approved by the University Senate on May 5, 2001:

New Rule

3335-3-37 ALTERATION OR ABOLITION OF UNITS.

(A) DEFINITIONS.

- (1) THE TERM UNIT REFERS TO DEPARTMENTS, SCHOOLS AND COLLEGES.
- (2) FOR PURPOSES OF THIS RULE, THE TERM ALTERATION SHALL REFER TO THE CONSOLIDATION OR RECONFIGURATION OF UNITS. CONSOLIDATION SHALL REFER TO THE COMBINING OF TWO OR MORE UNITS, WITH LITTLE OR NO ADDITIONAL CHANGE. RECONFIGURATION SHALL REFER TO THE BREAKING APART OF EXISTING UNITS AND THEIR ACADEMIC PROGRAMS AND RECOMBINING THE FACULTY AND PROGRAMS INTO NEW UNITS.
- (3) FOR THE PURPOSES OF THIS RULE, THE TERM ABOLITION SHALL REFER TO THE COMPLETE ELIMINATION OF A UNIT AND THE ACADEMIC PROGRAMS IT PROVIDED.
- (4) ALTERATION OR ABOLITION DESCRIBED HEREIN MAY BE INITIATED WITHOUT A DECLARATION OF FINANCIAL EXIGENCY.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

(B) PROCEDURE FOR ALTERATION OR ABOLITION OF DEPARTMENTS AND SCHOOLS.

(1) A PROPOSAL TO ALTER OR ABOLISH A UNIT MAY BE INITIATED BY ANY OF THE FOLLOWING:

(a) THE DEAN OF THE COLLEGE ADMINISTRATIVELY RESPONSIBLE FOR THE UNIT(S) FOR WHICH ALTERATION OR ABOLITION IS PROPOSED.

(b) THE EXECUTIVE VICE PRESIDENT AND PROVOST.

(c) THE COUNCIL ON ACADEMIC AFFAIRS, OR

(d) FACULTY FROM THE AFFECTED UNIT(S).

(2) A PROPOSAL FOR ALTERATION OR ABOLITION OF A UNIT MUST INCLUDE AN ANALYSIS WITH THE FOLLOWING ELEMENTS. IT SHALL BE THE RESPONSIBILITY OF THE PARTY MAKING THE PROPOSAL TO PROVIDE THIS ANALYSIS.

(a) A RATIONALE FOR ALTERATION OR ABOLITION OF THE UNIT WHICH INCLUDES A HISTORY OF THE FORMATION, ACTIVITIES AND EVALUATION OF THE PERFORMANCE OF THE UNIT.

(b) AN ENUMERATION OF ALL FACULTY AFFECTED BY THE ALTERATION OR ABOLITION OF THE UNIT.

(c) A PERSON-BY-PERSON ANALYSIS OF THE PROPOSED REASSIGNMENT OR OTHER ACCOMMODATION OF THE FACULTY IDENTIFIED IN PARAGRAPH (B)(2)(b) OF THIS RULE, INCLUDING A STATEMENT OF THE IMPACT ON PROMOTION AND TENURE. NO TENURED FACULTY MEMBER SHALL BE INVOLUNTARILY TERMINATED AS A RESULT OF THIS PROCESS. HOWEVER, FACULTY MAY BE TRANSFERRED TO ANOTHER UNIT IN ACCORDANCE WITH PARAGRAPH (C)(2) OF RULE 3335-47-06 OF THE ADMINISTRATIVE CODE AND WITH REGARD TO THE TEACHING, RESEARCH, AND SERVICE EXPERTISE OF THE INDIVIDUAL.

(d) AN ANALYSIS OF THE ACADEMIC COURSES NOW TAUGHT BY THE UNIT AND PROVISIONS FOR THEIR REASSIGNMENT TO OTHER UNITS, IF RELEVANT.

(e) AN ANALYSIS OF THE STUDENTS AFFECTED BY THE PROPOSAL, INCLUDING MAJORS, NON-MAJORS, PROFESSIONAL AND GRADUATE STUDENTS.

(f) SPECIFIC PROPOSALS REGARDING SUPPORT FOR CURRENTLY ENROLLED STUDENTS UNTIL DEGREE COMPLETION.

(g) AN ANALYSIS OF THE BUDGETARY CONSEQUENCES TO ALL RELEVANT UNITS AS A CONSEQUENCE OF THE PROPOSAL.

(h) AN ANALYSIS OF THE SERVICES LOST TO THE REST OF THE UNIVERSITY AS A CONSEQUENCE OF THE PROPOSAL.

(i) AN ANALYSIS OF IMPACT ON CONSTITUENCIES EXTERNAL TO THE UNIVERSITY, INCLUDING ALUMNI.

(j) AN ANALYSIS OF THE IMPACT ON GOVERNANCE AT ALL RELEVANT LEVELS AS A CONSEQUENCE OF THE PROPOSAL.

(k) AN ANALYSIS OF THE IMPACT UPON DIVERSITY.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

- (1) AN ANALYSIS OF THE IMPACT ON THE ACADEMIC FREEDOM AND RESPONSIBILITY OF ALL AFFECTED FACULTY.
- (3) THE PROPOSAL MUST BE DISCUSSED WITH AFFECTED FACULTY, STUDENTS, AND STAFF, WHO MAY PROVIDE WRITTEN AND VERBAL FEEDBACK. THE PROPOSAL MAY BE MODIFIED BY THE PROPOSAL'S INITIATOR IN RESPONSE TO FEEDBACK. FOLLOWING A THOROUGH CONSULTATIVE PROCESS WITH AFFECTED FACULTY, STUDENTS, STAFF, AND OTHERS AS APPROPRIATE, THE COLLEGE FACULTY SHALL VOTE ON THE PROPOSAL. THE PROPOSAL, ALONG WITH THE NUMERICAL VOTE OF THE COLLEGE FACULTY, SHALL THEN BE FORWARDED TO THE COUNCIL ON ACADEMIC AFFAIRS.
- (4) THE PROPOSAL WILL BE JUDGED BY THE ASSESSMENT PARAMETERS DEVELOPED BY THE COUNCIL ON ACADEMIC AFFAIRS AND PUBLISHED IN ITS GUIDELINES. THE COUNCIL ON ACADEMIC AFFAIRS WILL REVIEW THE PROPOSAL AND WILL ALSO EVALUATE THE CONSULTATION PROCESS. IT WILL THEN RETURN THE PROPOSAL TO THE INITIATOR FOR ADDITIONAL WORK IF THE PROPOSAL OR THE CONSULTATION HAS BEEN JUDGED INADEQUATE, OR APPROVE THE PROPOSAL AND SEND IT TO THE UNIVERSITY SENATE FOR CONSIDERATION, OR DISAPPROVE THE PROPOSAL, WHICH ENDS THE PROCESS.
- (5) IF THE COUNCIL ON ACADEMIC AFFAIRS APPROVES THE PROPOSAL, A MEMORANDUM OF UNDERSTANDING WILL BE DEVELOPED AND SIGNED BY ALL RELEVANT PARTIES.
- (6) THE UNIVERSITY SENATE SHALL VOTE ON THE PROPOSAL. IF IT APPROVES THE PROPOSAL, THE RECOMMENDATION SHALL BE FORWARDED TO THE PRESIDENT. A NEGATIVE VOTE ENDS THE PROCESS.
- (7) THE PRESIDENT SHALL REVIEW THE PROPOSAL. IF IN FAVOR, THE PRESIDENT WILL FORWARD IT TO THE BOARD OF TRUSTEES. IF THE BOARD OF TRUSTEES APPROVES THE PROPOSAL, THEN THE EXECUTIVE VICE PRESIDENT AND PROVOST WILL APPOINT AN OVERSIGHT COMMITTEE TO MONITOR THE IMPLEMENTATION OF THE PROCESS. THE CHAIR OF FACULTY COUNCIL OR DESIGNEE; THE SECRETARY OF THE FACULTY; ONE MEMBER OF THE COMMITTEE ON ACADEMIC FREEDOM AND RESPONSIBILITY; AND THREE MEMBERS OF FACULTY COUNCIL SHALL BE APPOINTED TO THE OVERSIGHT COMMITTEE, THE PURPOSE OF WHICH IS TO SAFEGUARD THE INTERESTS OF AFFECTED FACULTY, STUDENTS, AND STAFF. THROUGH THE CHAIR OF FACULTY COUNCIL, THE OVERSIGHT COMMITTEE WILL PERIODICALLY REPORT TO THE UNIVERSITY SENATE, REVIEW AND ASSESS OUTCOMES, SUGGEST CHANGES WHERE TARGETS ARE NOT BEING MET, AND ASSURE THAT THE MEMORANDUM OF UNDERSTANDING IS UPHELD. THE OVERSIGHT COMMITTEE WILL PRESENT A FINAL REPORT TO THE SENATE.

(C) PROCEDURE FOR ALTERATION OR ABOLITION OF COLLEGES.

- (1) THE COUNCIL ON ACADEMIC AFFAIRS, **THE EXECUTIVE VICE PRESIDENT AND PROVOST**, THE DEAN, OR FACULTY FROM THE AFFECTED UNIT MAY INITIATE A PROPOSAL TO ALTER OR ABOLISH A COLLEGE.
- (2) ~~UPON ITS OWN INITIATIVE, OR IN RESPONSE TO A RECOMMENDATION FOR ABOLISHMENT OR ALTERATION BY THE EXECUTIVE VICE PRESIDENT AND PROVOST,~~ A PROPOSAL FOR ALTERATION AND ABOLITION OF A COLLEGE MUST INCLUDE AN ANALYSIS WITH ALL OF THE ELEMENTS OUTLINED IN PARAGRAPH

June 1, 2001 meeting, Board of Trustees

(B)(2) OF THIS RULE. IT SHALL BE THE RESPONSIBILITY OF THE PARTY MAKING
THE PROPOSAL TO PROVIDE THIS ANALYSIS.

**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

- (3) THE COUNCIL ON ACADEMIC AFFAIRS SHALL APPOINT AN AD HOC COMMITTEE TO EVALUATE THE PROPOSAL. THE AD HOC COMMITTEE SHALL HAVE A MAJORITY OF REGULAR FACULTY. THE CHARGE TO THE AD HOC COMMITTEE AND THE COMPOSITION OF THAT COMMITTEE MUST BE AGREED UPON BY THE COUNCIL ON ACADEMIC AFFAIRS, THE EXECUTIVE COMMITTEE OF FACULTY COUNCIL, AND THE EXECUTIVE VICE PRESIDENT AND PROVOST.
- (4) THE AD HOC COMMITTEE SHALL EVALUATE THE PROPOSAL, WHICH WILL INCLUDE EXTENSIVE CONSULTATION WITH AFFECTED FACULTY, STUDENTS, AND STAFF, AND RELEVANT PARTIES EXTERNAL TO THE UNIVERSITY.
- ~~(5)~~(5) :
- (3) ~~(6)~~THE RECOMMENDATION OF THE AD HOC COMMITTEE WILL BE FORWARDED TO THE COUNCIL ON ACADEMIC AFFAIRS AND THE EXECUTIVE VICE PRESIDENT AND PROVOST.
- ~~(7)~~(6) PRIOR TO ACCEPTING OR REJECTING THE AD HOC COMMITTEE'S RECOMMENDATION, THE COUNCIL ON ACADEMIC AFFAIRS WILL CONSULT WITH FACULTY COUNCIL AND THE EXECUTIVE VICE PRESIDENT AND PROVOST. THE FACULTY COUNCIL RESPONSE, INCLUDING ITS VOTE, AND A LETTER OF RECOMMENDATION FROM THE EXECUTIVE VICE PRESIDENT AND PROVOST SHALL BE CONSIDERED BY THE COUNCIL ON ACADEMIC AFFAIRS. THE COUNCIL ON ACADEMIC AFFAIRS WILL THEN EITHER TERMINATE THE PROCESS OR FORWARD ITS POSITIVE RECOMMENDATION TO THE UNIVERSITY SENATE.
- (7) IF THE COUNCIL ON ACADEMIC AFFAIRS APPROVES THE PROPOSAL, A MEMORANDUM OF UNDERSTANDING WILL BE DEVELOPED AND SIGNED BY ALL RELEVANT PARTIES.
- (8) THE UNIVERSITY SENATE SHALL VOTE ON THE PROPOSAL. IF IT APPROVES THE PROPOSAL, THE RECOMMENDATION SHALL BE FORWARDED TO THE PRESIDENT. A NEGATIVE VOTE ENDS THE PROCESS.
- (9) THE PRESIDENT SHALL REVIEW THE PROPOSAL. IF IN FAVOR, THE PRESIDENT SHALL FORWARD IT TO THE BOARD OF TRUSTEES. IF THE BOARD OF TRUSTEES APPROVES THE PROPOSAL, THEN THE EXECUTIVE VICE PRESIDENT AND PROVOST WILL APPOINT AN OVERSIGHT COMMITTEE TO MONITOR THE IMPLEMENTATION OF THE PROCESS. THE CHAIR OF FACULTY COUNCIL OR DESIGNEE; THE SECRETARY OF THE FACULTY; ONE MEMBER OF THE COMMITTEE ON ACADEMIC FREEDOM AND RESPONSIBILITY; AND THREE MEMBERS OF FACULTY COUNCIL SHALL BE APPOINTED TO THE OVERSIGHT COMMITTEE, THE PURPOSE OF WHICH IS TO SAFEGUARD THE **ACADEMIC FREEDOM— INTERESTS** OF AFFECTED FACULTY, STUDENTS, AND STAFF ~~AFFECTED BY THE ABOLISHMENT OR ALTERATION AND TO ENSURE ACCOMMODATION OF.~~ THROUGH THE CHAIR OF FACULTY COUNCIL, THE OVERSIGHT COMMITTEE WILL PERIODICALLY REPORT TO THE UNIVERSITY SENATE, REVIEW AND ASSESS OUTCOMES, SUGGEST CHANGES WHERE TARGETS ARE NOT BEING MET, AND ASSURE THAT THE MEMORANDUM OF UNDERSTANDING IS UPHOLD. THE OVERSIGHT COMMITTEE WILL PRESENT A FINAL REPORT TO THE SENATE.

Amended Rule

3335-5-05 Procedures concerning faculty complaints about promotion, tenure and renewal decisions.

(A) Definitions and construction.

- (1) ~~When presenting a complaint to the committee on academic freedom and responsibility, a faculty member (hereinafter "complainant") must first present evidence~~
**AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY
AND BYLAWS OF THE UNIVERSITY SENATE (contd)**

~~of having made a reasonable effort to resolve the grievance by informal methods (or formal methods if they exist) in both the department and the college. Complaints concerning promotion, tenure, or renewal decisions may be made TO THE COMMITTEE ON ACADEMIC FREEDOM AND RESPONSIBILITY by tenured or probationary faculty.~~

(2) through (6) unchanged.

Balance unchanged.

Amended Senate Bylaws

3335-19-02 Meetings.

- (A) Regular meetings of the senate shall be held ~~on Saturday mornings~~ during the autumn, winter, and spring quarters. In the spring quarter each year the program committee shall recommend and the senate shall adopt and publish the schedule of regular meetings for the following academic year. This schedule shall include at least seven meetings spread over the academic year. Scheduled meetings may be cancelled by the program committee when deemed appropriate.

Balance unchanged.

3335-19-07 Proposals for senate action.

(A) through (E) unchanged.

- (F) ~~Following~~ PRIOR TO A senate approval, VOTE a proposal that establishes or alters rules or bylaws within the senate's purview shall be reviewed by the rules committee, ~~and returned to the senate for final approval~~ WHICH MAY RECOMMEND CHANGES in accordance with paragraph (B)(4) of rule 3335-5-473 of the Administrative Code.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* and *Bylaws of the University Senate* be adopted as recommended by the University Senate.

AMENDMENTS TO THE CLASSIFIED CIVIL SERVICE RULES

Resolution No. 2001-140

Synopsis: Amendments to the *Classified Civil Service Rules*, Chapters 3335-49 to 3335-89, of the Administrative Code, are proposed.

WHEREAS the University is empowered to promulgate rules to govern the operations of the employees in the Classified Civil Service; and

WHEREAS the University has not revised the *Classified Civil Service Rules* since 1997 and a number of changes in state laws have occurred since that time; and

June 1, 2001 meeting, Board of Trustees

WHEREAS amendments to the *Classified Civil Service Rules* are proposed to be in compliance with current state and federal laws and to enable University initiatives and improvements in efficiency to be implemented; and

AMENDMENTS TO THE CLASSIFIED CIVIL SERVICE RULES (contd)

WHEREAS the University has complied with section 111.15 of the Revised Code in promulgating the *Classified Civil Service Rules* and it additionally has provided reasonable notice to all affected University employees and interested groups and a period of time during which such employees or interested groups could submit comments about the proposed amendments to the *Classified Civil Service Rules*:

NOW THEREFORE

BE IT RESOLVED, That the amendments to Chapters 3335-49 to 3335-89 of the Administrative Code are hereby approved at the University for implementation, effective June 22, 2001.

(See Appendix XLI for the amended Classified Civil Service Rules, page 1017).

**AMENDMENTS TO THE RULES OF THE
INTRAMURAL DENTAL PRACTICE PLAN**

Resolution No. 2001-141

Synopsis: Amendments to the *Rules of the Intramural Dental Practice Plan* is proposed.

WHEREAS the Board of Trustees on July 1, 1982, approved the establishment of the College of Dentistry Intramural Practice Plan; and

WHEREAS the dean of the College of Dentistry, in consultation with the dentistry faculty and appropriate University officials, recommends the amendments to the current College of Dentistry Intramural Dental Practice Plan, as outlined below; and

WHEREAS pursuant to rule 3335-1-09 of the Administrative Code, the Board of Trustees approves the proposed changes as follows:

Amended Rules

3335-107-01 Introduction.

The Ohio state university college of dentistry, recognizing that the intramural practice plan of dentistry by all full-time members of the faculty of the college of dentistry who are licensed to practice dentistry in the state of Ohio will serve to enhance the teaching, research and patient care missions of the college, hereby grants to each member the privilege of conducting such intramural practice subject to the terms and conditions incorporated into this practice plan. All persons beginning service as full-time faculty members at the Ohio state university on July 1, 1982, and thereafter, shall be subject to this plan as a condition of their employment at the Ohio state university, and all persons who began service as a full-time faculty member prior to July 1, 1982, shall have the opportunity to join this practice plan and, UPON JOINING THE PLAN, COMPLIANCE WITH THE TERMS OF THIS PLAN shall be a condition of their employment at the Ohio state university.

3335-107-02 Definitions.

For purposes of THESE rules ~~3335-107-01 to 3335-107-05~~ of the Administrative Code:

(A) "Full-time faculty member" shall mean a member of the faculty of the ~~Ohio state university college of dentistry~~ who is appointed to the college on an appointment requiring one hundred

per cent service to the university OR A PERSON WHO HOLDS AN APPOINTMENT TO THE TITLE OF "EMERITUS FACULTY" AS THAT TERM IS DEFINED BY PARAGRAPH (D) OF RULE 3335-5-19 OF THE ADMINISTRATIVE CODE WHO, AT THE TIME OF SUCH APPOINTMENT, WAS REQUIRED TO PROVIDE ONE HUNDRED PER CENT SERVICE

**AMENDMENTS TO THE RULES OF THE
INTRAMURAL DENTAL PRACTICE PLAN (contd)**

TO THE UNIVERSITY, IN ORDER TO QUALIFY AS A "FULL-TIME FACULTY MEMBER," AN INDIVIDUAL SHALL BE CURRENTLY LICENSED TO PRACTICE DENTISTRY IN THE STATE OF OHIO.

- (B) "Salary" shall mean the individual faculty member's base salary ~~specified in the annual notice of appointment issued by the Ohio state~~ PAID BY THE university.
- (C) "Practice income" shall mean total receipts (AFTER DEDUCTIONS FOR EXPENSES AND COSTS MADE IN ACCORDANCE WITH THESE RULES) from patient care fees generated by a full-time faculty member covered under this plan from the performance of patient care services.
- (D) "College" shall mean the Ohio state university college of dentistry.
- (E) "Dean" shall mean the dean of the Ohio state university college of dentistry.
- (F) "University" shall mean the Ohio state university.
- (G) "DENTAL FACULTY PRACTICE" SHALL MEAN THE PROVISION OF DENTAL SERVICES TO PRIVATE PRACTICE PATIENTS BY FACULTY MEMBERS EMPLOYED BY THE DENTAL PRACTICE GROUP AT POSTLE HALL, THE OHIO STATE UNIVERSITY HOSPITALS AND CLINICS, THE ARTHUR G. JAMES CANCER HOSPITAL AND RICHARD J. SOLOVE RESEARCH INSTITUTE, CHILDREN'S HOSPITAL OR OTHER SITES AUTHORIZED BY THE DENTAL PRACTICE GROUP.

3335-107-03 Operational procedures.

- (A) Practice time.

All ~~EACH~~ full-time faculty members MEMBER of the college who are licensed to practice dentistry in the state of Ohio will be permitted to practice up to an average of one day per week within the Ohio state university DENTAL FACULTY PRACTICE in accordance with the policies and procedures regarding outside professional services as adopted by the university. No ~~other~~ practice by a full-time faculty member outside the university DENTAL FACULTY PRACTICE will be permitted. ~~Practice time and location will be agreed upon by the dean of the college, the individual faculty member and the chairperson of the section in which the faculty member serves.~~ THE DEAN OF THE COLLEGE AND THE DENTAL PRACTICE GROUP WILL AGREE UPON PRACTICE TIME AND LOCATION.

- (B) The college of dentistry will supply clinical facilities, AT THE LOCATION known as the "intramural DENTAL FACULTY practice clinic," where the individual FULL-TIME faculty member is to conduct his THE MEMBER'S intramural patient care practice. Such clinical facilities shall include designated practice space and fixed equipment, including a dental chair, and fixed handpieces associated therewith, laboratory equipment, and operating equipment. The college shall see that the personnel or mechanisms necessary to support the practitioner and to coordinate appointments and billings in accordance with ~~paragraph (C) of rule 3335-107-03 of the Administrative Code~~ THIS RULE are provided TO the FULL-TIME faculty member.

The ~~individual faculty member~~ DENTAL PRACTICE GROUP shall be responsible for furnishing and paying for ~~his or her own~~ specialized materials, instruments, and laboratory fees as determined by the dean.

(C) Appointments, billings and collections.

The college shall be responsible to see that a ~~professional fee~~ BUSINESS office will be maintained as a part of this practice plan. This office shall be responsible to see that billing

**AMENDMENTS TO THE RULES OF THE
INTRAMURAL DENTAL PRACTICE PLAN (contd)**

statements are issued, fees collected, and that appropriate records of all billings and payments are maintained for all full-time faculty ~~participation~~ PARTICIPATING in this plan. ~~The records will be kept current and be open for inspection~~ INDIVIDUALS DESIGNATED by the dean of the college, section chairpersons, or any faculty member participating in this practice plan. ~~Appointment books recording~~ WILL KEEP RECORDS OF APPOINTMENTS FOR the daily private practice of the members will be kept in the intramural practice clinic by ~~individuals designated by the dean~~ FULL-TIME FACULTY MEMBERS IN THE DENTAL FACULTY PRACTICE.

These ~~books~~ RECORDS are subject to review by the dean or ~~his~~ THE DEAN'S representatives.

3335-107-04 ~~Allocation of fee income and costs~~ COSTS associated with production of fees.

(A) ~~Distribution of costs.~~

The college shall maintain accurate records of the total costs (direct or indirect) incurred in university facilities to support patient care ~~practice~~ SERVICES. It is recognized that ~~these costs are appropriately shared by the Ohio state university, the college of dentistry, and the individual faculty member~~ THE DOCTOR, AND THE DENTAL PRACTICE GROUP APPROPRIATELY SHARE THESE COSTS.

(B) ~~Distribution of practice income.~~

~~In addition to his or her "salary," each faculty member participating in this practice plan shall be permitted to generate practice income from his patient care activities. Practice income shall be distributed as follows:~~

- ~~(1) Fifty percent of a full-time faculty member's practice income shall be payable to the individual faculty member, subject to no further university limitations.~~
- ~~(2) A percentage of a full-time faculty member's practice income, as agreed upon by the authorized fiscal representative of the Ohio state university and the dean, shall be paid to the Ohio state university to compensate the university for the costs (direct and indirect) associated with the dental facilities provided at the university. This percentage amount shall be no less than ten percent of practice income.~~
- ~~(3) The remaining percentage of a full-time faculty member's practice income, after deducting the percentage paid pursuant to paragraphs (B) (1) and (B) (2) of rule 3335-107-04 of the Administrative Code, shall be paid to the college of dentistry to be used as determined by the dean.~~

3335-107-05 Academic program considerations.

(A) Patient care.

Every patient treated within the ~~intramural~~ DENTAL FACULTY practice clinic shall be assigned (or will select on the advice of a referring dentist or physician) a FULL-TIME faculty member as ~~his or her~~ THE PATIENT'S "personal dentist." The personal dentist will be responsible for the professional care of the patient. The personal dentist shall obtain the necessary consent from each of ~~his or her~~ THE PERSONAL DENTIST'S patients, such that every patient treated within the ~~intramural~~ DENTAL FACULTY practice clinic AND SUCH PATIENT'S RECORDS shall be available for student observation and training.

**AMENDMENTS TO THE RULES OF THE
INTRAMURAL DENTAL PRACTICE PLAN (contd)**

(B) Records.

All records pertaining to patient care, billing, appointments and collections within the ~~intramural~~ DENTAL FACULTY practice ~~clinic~~ shall be made available for student observation and training, AS LONG AS CONFIDENTIALITY IS MAINTAINED.

3335-107-06 DENTAL PRACTICE GROUP.

A DENTAL PRACTICE GROUP HAS BEEN ESTABLISHED WITH THE APPROVAL OF THE DEAN. THE DENTAL PRACTICE GROUP MAY INCLUDE ONE HUNDRED PER CENT OF THE FULL-TIME FACULTY MEMBERS. AS A CONDITION OF FACULTY EMPLOYMENT, ALL FULL-TIME FACULTY MEMBERS WHO DESIRE TO CONDUCT AN INTRAMURAL PRACTICE WILL BE REQUIRED TO BECOME AND REMAIN EMPLOYEES OF THE DENTAL PRACTICE GROUP. FULL-TIME FACULTY MEMBERS, WHO WERE EMPLOYEES OF THE DENTAL PRACTICE GROUP EXISTING ON JANUARY 5, 1998, WILL REMAIN SO OR BECOME AND REMAIN AN EMPLOYEE OF ANY PRACTICE GROUP DEEMED TO BE A SUCCESSOR OF THE EXISTING DENTAL PRACTICE GROUP. THE DEAN SHALL MAKE THE DETERMINATION OF A SUCCESSOR PRACTICE GROUP.

THE DENTAL PRACTICE GROUP SHALL CONTRACT WITH THE COLLEGE TO RENDER DENTAL SERVICES IN THE DENTAL FACULTY PRACTICE PURSUANT TO THIS PLAN AND TO ADMINISTER THE PATIENT CARE PRACTICE OF THE FULL-TIME FACULTY MEMBERS CONDUCTED PURSUANT TO THE TERMS OF THIS PLAN. THE REVENUES COLLECTED FOR THE DELIVERY OF DENTAL SERVICES BY THE DENTAL PRACTICE GROUP PURSUANT TO THIS PLAN SHALL BE DISTRIBUTED AS FOLLOWS:

(A) AN AMOUNT DETERMINED UNDER THE FACULTY MEMBER'S CONTRACT WITH THE DENTAL PRACTICE GROUP SHALL BE PAYABLE TO SUCH INDIVIDUAL FACULTY MEMBER AS COMPENSATION, SUBJECT TO NO FURTHER UNIVERSITY LIMITATIONS.

(B) A PERCENTAGE OF SUCH REVENUES, AS AGREED UPON BY THE AUTHORIZED FISCAL REPRESENTATIVE OF THE UNIVERSITY AND THE DEAN, SHALL BE SET ASIDE TO COMPENSATE THE UNIVERSITY FOR THE COSTS (DIRECT AND INDIRECT) ASSOCIATED WITH THE DENTAL FACILITIES PROVIDED AT THE UNIVERSITY. THIS PERCENTAGE AMOUNT SHALL BE NO LESS THAN TEN PER CENT OF SUCH REVENUES.

(C) THE REMAINING REVENUES, AFTER DEDUCTING THE AMOUNTS PAID PURSUANT TO PARAGRAPHS (A) AND (B) OF THIS RULE, SHALL BE RETAINED BY THE DENTAL PRACTICE GROUP TO BE USED AS DETERMINED BY THE DENTAL PRACTICE GROUP.

FULL-TIME FACULTY MEMBERS WHO HAVE CONTRACTED WITH THE DENTAL PRACTICE GROUP TO RENDER SUCH SERVICES SHALL RENDER ALL DENTISTRY SERVICES AT THE DENTAL FACULTY PRACTICE PURSUANT TO THIS PLAN. THE DEAN SHALL BE ENTITLED TO REVIEW THE DENTAL PRACTICE GROUP'S DECISION-MAKING PROCESS AND GOVERNANCE DOCUMENTS IN ORDER TO ENSURE ACADEMIC QUALITY AND PRODUCTIVITY WITHIN THE COLLEGE.

3335-107-07 AMENDMENT.

THE BOARD OF TRUSTEES OF THE UNIVERSITY CAN AMEND THIS PLAN.

NOW THEREFORE

**AMENDMENTS TO THE RULES OF THE
INTRAMURAL DENTAL PRACTICE PLAN (contd)**

BE IT RESOLVED, That the foregoing amendments to the *Rules of the Intramural Dental Practice Plan* be adopted as recommended effective immediately.

DEGREES AND CERTIFICATES - SPRING QUARTER COMMENCEMENT

Resolution No. 2001-142

Synopsis: Approval of Degrees and Certificates for Spring Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on June 8, 2001, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2001-143

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the May 4, 2001 meeting of the Board, including the following Appointments/ Reappointment of Principal Administrative Official, Extension of Term -- Principal Administrative Official, Leaves of Absence Without Salary, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) approved May 15, 2001, by The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, be ratified.

Appointments

Name: JAMES J. BRUDNEY
Title: Professor (Newton D. Baker – Baker & Hostetler Chair in Law)
College: Law
Effective: August 1, 2001

Name: CHARLES C. CAPEN
Title: Distinguished University Professor
Department: Veterinary Biosciences
Effective: June 1, 2001

Name: MAURO FERRARI
Title: Professor (The Edgar C. Hendrickson Designated Chair in Biomedical Engineering)
College: Engineering
Term: July 1, 2001 through June 30, 2006

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: WILLIAM H. HALL
Title: Vice President for Student Affairs
Office: Student Affairs
Effective: June 1, 2001
Present Position: Interim Vice President for Student Affairs

Name: SHELDON W. HALPERN
Title: Professor (The C. William O'Neill Professorship in Law and Judicial Administration)
College: Law
Effective: August 1, 2001

Name: ELIZABETH R. LENZ
Title: Dean and Professor
College: Nursing
Term: September 1, 2001 through June 30, 2006
Present Position: Professor and Associate Dean for Research and Doctoral Studies, School of Nursing, Columbia University

Name: MATTHEW S. PLATZ
Title: Distinguished University Professor
Department: Chemistry
Effective: June 1, 2001

Appointment of Director of School

July 1, 2001 through June 30, 2005

School of Public Policy and Management

Bert A. Rockman

Reappointment of Principal Administrative Official

JOSEPH A. ALUTTO, Executive Dean, Professional Colleges, effective July 1, 2001, through June 30, 2004, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Extension of Term -- Principal Administrative Official

CAROLE A. ANDERSON, Dean, College of Nursing, effective July 1, 2001, through August 31, 2001, pursuant to rule 3335-1-03 (R) of the Administrative Code.

Leaves of Absence Without Salary

STEPHEN W. MELVILLE, Professor, Department of History of Art, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a Fellowship at the Clark Institute and the Leverhulme Fellowship in Essex.

ARKADY A. TSEYTLIN, Professor, Department of Physics, effective Winter Quarter 2002, to do research at the Imperial College and Cambridge University, London, United Kingdom.

JENNIFER C. TERRY, Associate Professor, Division of Comparative Studies, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a visiting position in the Department of Women's Studies at the University of California at Berkeley.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves

HOJJAT ADELI, Professor, Department of Civil and Environmental Engineering and Geodetic Science, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

KEITH W. BEDFORD, Professor, Department of Civil and Environmental Engineering and Geodetic Science, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

NECIP BERME, Professor, Department of Mechanical Engineering, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

RUTH M. CHARNEY, Professor, Department of Mathematics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

NORIKO KATSUBE, Professor, Department of Mechanical Engineering, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

CHIA-HSIANG MENQ, Professor, Department of Mechanical Engineering, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

MICHAEL J. MILLS, Professor, Department of Materials Science and Engineering, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

STEPHEN A. SEBO, Professor (The Neal A. Smith Chair in Electrical Engineering), effective Autumn Quarter 2001.

MUKESH SINGHAL, Professor, Department of Computer and Information Science, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

GARY STEIGMAN, Professor, Department of Physics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

BRUCE W. WEIDE, Professor, Department of Computer and Information Science, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

PETER M. ANDERSON, Associate Professor, Department of Materials Science and Engineering, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

JACQUELINE J. GARGUS, Associate Professor, Austin E. Knowlton School of Architecture, effective Autumn Quarter 2001.

HAZEL A. MORROW-JONES, Associate Professor, Austin E. Knowlton School of Architecture, Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

DAVID H. WEINBERG, Associate Professor, Department of Astronomy, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

Emeritus Titles

MORRIS BEJA, Department of English, with the title Professor Emeritus, effective July 1, 2001.

WILLIAM O. CLEVERLEY, School of Public Health, with the title Professor Emeritus, effective July 1, 2001.

RICHARD K. LINDQUIST, Department of Entomology (OARDC), with the title Professor Emeritus, effective June 1, 2001.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

FRANK E. POIRIER, Department of Anthropology, with the title Professor Emeritus, effective July 1, 2001.

JOHN N. RAYNER, Department of Geography, with the title Professor Emeritus, effective July 1, 2001.

NANCY M. RUDD, Department of Consumer and Textile Sciences, with the title Professor Emeritus, effective August 1, 2001.

HOWARD D. SIRAK, Department of Surgery, with the title Professor Emeritus, effective June 1, 2001.

BEVERLY G. TOOMEY, College of Social Work, with the title Professor Emeritus, effective July 1, 2001.

JOHN R. CHAMPLIN, Department of Political Science, with the title Associate Professor Emeritus, effective July 1, 2001.

ROBERT M. JIOBU, Department of Sociology, with the title Associate Professor Emeritus, effective July 1, 2001.

RICHARD B. PRIOR, Department of Internal Medicine, with the title Associate Professor Emeritus, effective July 1, 2001.

AMY RIEMENSCHNEIDER, College of Social Work, with the title Associate Professor Emeritus, effective July 1, 2001.

MARILYN J. HICKS, College of Dentistry, with the title Assistant Professor Emeritus, effective July 1, 2001.

RAYMOND H. DOMINICK III, Department of History (Mansfield Campus), with the title Professor Emeritus, change effective date from April 1, 2001, to July 1, 2001.

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

April/May 2001

Initial Appointments – Faculty

John C. Byrd, M.D., Internal Medicine, Hematology and Oncology, Associate Professor, Attending
Magali J. Fernandez, M.D., Neurology, Assistant Professor, Associate Attending
Jill A. Foster, M.D., Ophthalmology, Clinical Assistant Professor, Clinical Attending
Haifeng M. Wu, M.D., Pathology, Assistant Professor, Associate Attending

Provisional to Full Appointment – Faculty

Guillermo Chacon, D.D.S., Associate Attending, Dentistry
Cynthia Magro, M.D., Associate Attending, Pathology

Provisional to Full Appointment – Community

Lisa DeLong, M.D., Obstetrics and Gynecology
Robert Rupert, M.D., Internal Medicine, Hematology and Oncology

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

April/May 2001 (contd)

Provisional to Full Appointments – Advanced Practice Nurses

Ellen Turner, C.R.N.A.

Provisional to Full Appointment – Anesthesiology Assistant

Malarvizhi Natesan, A.A.

Reappointment – Faculty (term of appointment 7/1/01-6/30/03)

Anesthesiology:

Glenn Gravlee, M.D., Associate Attending
Rebecca Gutmann, M.D., Associate Attending
Bhagwandas Gupta, M.D., Associate Attending
Michael Howie, M.D., Associate Attending
Elizabeth Jongleux, M.D., Associate Attending
Garrett T. Kelly, M.D., Associate Attending
Alan Kover, M.D., Associate Attending
Luis Lopez, M.D., Associate Attending

Dentistry:

Carl M. Allen, D.D.S., Associate Attending
Peter E. Larsen, D.D.S., Associate Attending
Daniel Jolly, D.D.S., Associate Attending

Internal Medicine:

Cardiology

Mary Alton, M.D., Associate Attending
James Bacon, M.D., Associate Attending
Harisios Boudoulos, M.D., Associate Attending
Curt J. Daniels, M.D., Associate Attending
Mary Fontana-Wise, M.D., Associate Attending
Albert Kolibash, M.D., Associate Attending
Carl V. Leier, M.D., Associate Attending

Hematology-Oncology

Clara D. Bloomfield, M.D., Attending

Pulmonary

James Allen, M.D., Associate Attending
Elizabeth Brown, M.D., Associate Attending
Elliott Crouser, M.D., Associate Attending
Ruairi Fahy, M.D., Associate Attending
Stephen Hoffman, M.D., Associate Attending

Neurology:

D. Joanne Lynn, M.D., Associate Attending
Jean Hubble, M.D., Associate Attending

Otolaryngology:

Amit Agrawal, M.D., Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Reappointment – Faculty (term of appointment 7/1/01-6/30/03) (contd)

Pathology:

Michael G. Bissell, M.D., Ph.D., M.P.H., Associate Attending
Carl P. Boesel, M.D., Associate Attending
Leona Ayers, M.D., Associate Attending
Peter Baker, M.D., Associate Attending
Gary Barnett, M.D., Associate Attending
Rolf Barth, M.D., Associate Attending
William Becker, M.D., Associate Attending
Wendy Frankel, M.D., Associate Attending
Amy S. Gewirtz, M.D., Associate Attending
Charles L. Hitchcock, M.D., Ph.D., Associate Attending
Samir Kahwash, M.D., Clinical Attending
Donald L. Kelley, M.D., Associate Attending
Sedigheh Keyhani-Rofagha, M.D., Associate Attending
Joel G. Lucas, M.D., Associate Attending

Physical Medicine and Rehabilitation:

Sam Colachis, M.D., Associate Attending
Ernest Johnson, M.D., Associate Attending

Radiology:

Carol J. Ashman, M.D., Associate Attending
James Laffey, D.O., Associate Attending

Surgery:

Cardiothoracic

Andrew H. Goldstein, M.D., Associate Attending

General Surgery

Charles Cook, M.D., Associate Attending

Surgical Oncology

William E. Burak, M.D., Attending
William E. Carson, III, M.D., Attending

Transplant

Elizabeth A. Davies, M.D., Associate Attending
Ronald M. Ferguson, M.D., Associate Attending

Reappointment – Nurses in Advanced Practice (term of appointment 7/1/01-6/30/03)

Bruce V. Alden, CRNA
Theresa L. Craig, CRNA
Veronica D. Haverick, CRNA
Jamie B. Kaplin, CRNA

RESOLUTIONS IN MEMORIAM

Resolution No. 2001-144

Synopsis: Approval of Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Richard W. Huffman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 2, 2001, of Dr. Richard W. Huffman, Professor Emeritus in the College of Dentistry.

A Columbus, Ohio, native and a 1942 graduate of West High School, Dr. Huffman's freshman year at The Ohio State University was interrupted by military service. He served as a dental technician in the U.S. Navy. He returned to Ohio State in 1945, completed his pre-dentistry requirements, and entered the College of Dentistry the following year. He graduated cum laude from the OSU College of Dentistry in 1950 and was elected to Omicron Kappa Upsilon Dental Honorary Society. Dr. Huffman then spent three years as a dental officer in the United States Navy.

He returned to Columbus in 1954 and entered private practice. In 1963, he joined the faculty as a full-time instructor in operative dentistry. Richard Huffman was promoted to the rank of professor in 1977 and appointed professor emeritus upon his retirement in 1986.

Dr. Huffman became interested in the dynamics of the occlusion of teeth, a little understood concept. He undertook a study of the theories of occlusion and their applications to restorative dentistry and the temporomandibular joint. In time, he gained considerable expertise in the field and with it national and international recognition. During his career, he presented over 300 courses, lectures, and clinics throughout the Western Hemisphere and in Asia. He was the co-author of the popular textbook "Principles of Occlusion" and the developer of the "Huffman Numbered Leaf Gauges," an important diagnostic tool.

Dr. Huffman was a life member of both the American Academy of Crown and Bridge Prosthodontics and the American Academy of Operative Dentistry, a charter member of the International Academy of Gnathology, and a member of the American Equilibration Society.

He is best remembered by his students and colleagues as an effective clinical teacher. The College's class of 1973 dedicated their yearbook to him as "Scholar, Educator, and Friend."

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Huffman its deepest understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Robert H. Magnuson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 17, 2001, of Dr. Robert H. Magnuson, Clinical Professor Emeritus in the Department of Ophthalmology.

Dr. Magnuson was a truly versatile person. He had been a physician, a teacher, a researcher, an administrator, a traveler, an athlete, a soldier, and a politician. Dr. Magnuson was born in Columbus, Ohio, in 1917, and received his medical degree from The Ohio State University College of Medicine in 1941. He served his internship at Allegheny General Hospital, Pittsburgh, Pennsylvania. As a captain in the Army Medical Corps in the South Pacific during World War II, he was commanding officer of the 424th Medical Collecting Company. Upon his return in 1946, he completed his residency in ophthalmology at St. Luke's Hospital, Cleveland, and The Ohio State University. In addition to his private practice, he served as ophthalmologist to the Ohio

RESOLUTIONS IN MEMORIAM (contd)

Robert H. Magnuson (contd)

State Penitentiary, The Ohio State University Athletic Teams, and the Columbus State School for many years.

He was a very strong believer in good patient care and was passionate about his profession. He ministered to many well-known patients during his 50-year career, including governors, Supreme Court justices, infamous inmates, and championship football teams. He worked with Dr. Sam Sheppard, who was serving time for a murder conviction in the death of his wife. Sheppard's case was the basis for the TV series and movie "The Fugitive." He also befriended Thomas "Yonnie" Licovoli, a northern Ohio mobster who was serving a 35-year term for murder.

Dr. Magnuson served on The Ohio State University Medical School faculty for 40 years. He started the Thursday Grand Rounds teaching program that is still our primary formal clinical educational session today. Through his dedicated teaching experiences and his own astute observations, Dr. Magnuson achieved many "firsts." The discovery and availability of corticosteroids occurred during his residency at Ohio State. Corticosteroids represented one of the greatest advances of medical ophthalmology of all time, and Dr. Magnuson was one of the pioneers. Prior to the corticosteroids, beta radiation was a cornerstone of therapy for the neovascularization characteristic of very severe types of eye disorder. He went to Chicago to learn more about beta radiation, only to be disillusioned when the honored instructor stipulated his great experience to consist of more than 20 cases. At the time, young Dr. Magnuson had already treated over 1,000 eyes with beta radiation. Sulfonamides were the earliest specific anti-infectives. Many of the early pharmaceutical combinations of sulfonamides and corticosteroids received their first clinical trials in Dr. Magnuson's private office and at the hands of the residents he taught. He was the departmental leader in this field also.

He has been one of the most loyal supporters of the University, the college, and the department for many years. Dr. Magnuson generously supported the educational programs of ophthalmology and has given dedicated service to the department. Through his generosity, the Department of Ophthalmology now has a beautiful state-of-the-art teaching facility, The Magnuson Conference Room, where resident lectures and Grand Rounds are held regularly.

Dr. Magnuson was a member of numerous professional organizations and was the longtime secretary of the Ohio Ophthalmological Society. He was an avid sportsman, fisherman, and athlete. After retiring in 1996, he devoted his time to fly fishing and golf.

On behalf of the University community, the Board of Trustees expresses to the family, friends, and colleagues of Dr. Magnuson its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2001-145

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for April 2001 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

REPORT OF RESEARCH CONTRACTS AND GRANTS (contd)

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of April 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2001-146

Synopsis: The report on the receipt of gifts and the summary for April 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of fifteen (15) new named endowed funds and the amendment of one (1) named endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of April 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through April
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through April		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$23,565,016	\$25,855,411	10
Alumni (From Bequests)	<u>9,104,848</u>	<u>5,902,557</u>	(35)
Alumni Total	\$32,669,864	\$31,757,968	(3)
Non-Alumni (Current Giving)	\$18,715,138	\$22,113,190	18
Non-Alumni (From Bequests)	<u>4,075,866</u>	<u>9,956,467</u>	144
Non-Alumni Total	\$22,791,004	\$32,069,657	41
Individual Total	\$55,460,868	\$63,827,625	15 ^A
Corporations/Corp/Foundations	\$31,084,127	\$34,454,728	11 ^B
Private Foundations	\$12,508,602	\$12,125,835	(3) ^C
Associations and Other Organizations	<u>\$4,674,950</u>	<u>\$3,827,514</u>	(18) ^D
Total	\$103,728,547	\$114,235,702	10

NOTES

- A Individual giving is up 15 percent largely due to the fact that gifts of \$10,000 or more are up (438 gifts for \$38.6 million last year; 509 gifts for \$47.4 million this year).
- B Corporate giving is up 11 percent. Last year there were 576 corporate gifts providing private support of \$10,000 or more (\$24.2 million). This year private support at the \$10,000 level is \$27.8 million (587 gifts).
- C Foundation giving at the \$10,000 or more level is down slightly (\$11.3 million from 160 gifts this year; \$11.8 million from 163 gifts last year).
- D Gifts from associations and other organizations are down 18 percent since giving at the \$10,000 or more level is \$943,998 less than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-April
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through April		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$19,028,874	\$21,478,894	13
Faculty Support	\$14,336,410	\$9,973,448	(30)
Program Support	\$46,896,398	\$62,428,430	33
Student Financial Aid	\$15,078,089	\$10,878,324	(28)
Annual Funds-Colleges/Departments	\$6,944,102	\$7,802,666	12
Annual Funds-University	<u>\$1,444,674</u>	<u>\$1,673,940</u>	16
Total	\$103,728,547	\$114,235,702	14

GIFT ADDITIONS TO ENDOWMENT

	Dollars July through April		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
	\$38,153,877	\$29,626,807	(22)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Howell Family Scholarship Fund (Provide scholarship costs of student athletes who are pursuing undergraduate degrees and participating on the men's varsity swimming team)		\$446,489.00	\$446,489.00
The University Library History of Astronomy Fund (Purchase early astronomical books and other materials pertaining to the history of astronomy)		\$140,777.85	\$140,777.85
The Frank W. Bope Legends of Pharmacy Scholarship Fund (Provide scholarship(s) to qualified professional students enrolled at the College of Pharmacy)		\$26,050.00	\$26,050.00

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The William G. Myers, M.D., Ph.D., and Florence Lenahan Myers, M.D., Radiation Oncology Research Endowment Fund (Support radiation oncology research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)		\$443,500.00	\$443,500.00
The Thekla R. and Donald B. Shackelford Professorship Fund in Canine Medicine (Provide a professorship in the College of Veterinary Medicine)		\$250,000.00	\$250,000.00
The Ross-Hocking Extension 4-H Camp Association, Inc. Endowment Fund (Enhance and foster further development of the resources and camping programs of the Ross-Hocking Extension 4-H Camp Association, Inc.)		\$50,000.00	\$50,000.00
The Eleanor Quinlan Memorial Fund (Development and recognition of outstanding graduate teaching associates within the Department of Computer and Information Science)		\$35,031.00	\$35,031.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Calvin and Lydia Roebuck Athletic Scholarship Fund (Supplement the student-athlete grant-in-aid scholarship costs of student athletes who are members of the football team and who are pursuing undergraduate degrees)		\$33,820.00	\$33,820.00
Ohio State ATI Founders and Friends Award Fund (Awards to eligible OSU Agricultural Technical Institute students)		\$32,691.90	\$32,691.90
Moore Family Scholarship Fund (Provide financial need-based scholarship(s) for undergraduate students who are in the top 10 percent of their graduating class)		\$30,000.00	\$30,000.00
The Marie Cummins Curtin Scholarship Fund in Pharmacy (Provide scholarship(s) to deserving students in the College of Pharmacy)		\$29,545.00	\$29,545.00
The Dr. Robert D. Berkebile Memorial Award Fund in Radiology (Support student awards in the Department of Radiology)		\$25,000.00	\$25,000.00
The Leslie Cribley Memorial University Scholar Fund (Provide merit scholarship(s) for Leslie Cribley University Scholars)		\$25,000.00	\$25,000.00
The OSU Alumni Club of Phoenix Scholarship Fund (Provide merit scholarships to graduates of high schools in the Phoenix Metro Area)		\$25,000.00	\$25,000.00
The OSU Army ROTC Alumni Scholarship Fund (Support scholarships for undergraduate students enrolled in the Army ROTC program) (Grandfathered)		\$21,121.00	\$21,121.00
<u>Change in Description of Named Endowed Fund</u>			
John G. and Zoe Johnstone Endowed Fund for Musicology			
Total		\$1,614,025.75	\$1,614,025.75

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Howell Family Scholarship Fund

The Howell Family Scholarship Fund was established June 1, 2001, by the Board of Trustees of The Ohio State University with gifts from the estate of the late William B. Howell (B.S., Soc. Adm., 1940) and Marcia Howell and family of Troy, Ohio. This scholarship was established by the Department of Athletics.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the men's varsity swimming team. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the director of Athletics in order to carry out the desire of the donor.

\$446,489.00

The University Library History of Astronomy Fund

The University Library History of Astronomy Fund was established June 1, 2001, by the Board of Trustees of The Ohio State University with a gift from the estate of Dr. Philip C. Keenan, Professor Emeritus, Department of Astronomy.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used within the University Library system to purchase early astronomical books and other materials pertaining to the history of astronomy. The unused income will be reinvested in the principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of the Libraries, or head of the Rare Books and Manuscripts Library in order to carry out the desire of the donor.

\$140,777.85

The Frank W. Bope Legends of Pharmacy Scholarship Fund

The Frank W. Bope Legends of Pharmacy Scholarship Fund was established June 1, 2001, by the Board of Trustees of The Ohio State University with gifts to the University from Ohio State pharmacy alumni, friends, colleagues, industrial partners, corporate foundations, private foundations, and family members.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Frank W. Bope Legends of Pharmacy Scholarship Fund (contd)

The annual income shall be used to provide one or more scholarships to qualified professional students enrolled at the College of Pharmacy exhibiting academic achievement and good character. The College of Pharmacy's Awards and Student Financial Aid Committee in consultation with the University Committee on Student Financial Aid will determine eligible recipients.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in the College of Pharmacy in order to carry out the desire of the donors.

\$26,050.00

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The William G. Myers, M.D., Ph.D., and Florence Lenahan Myers, M.D.,
Radiation Oncology Research Endowment Fund

The William G. Myers, M.D., Ph.D., and Florence Lenahan Myers, M.D., Radiation Oncology Research Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Florence Lenahan Myers, M.D.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support radiation oncology research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$443,500.00

The Thekla R. and Donald B. Shackelford
Professorship Fund in Canine Medicine

The Thekla R. and Donald B. Shackelford Professorship Fund in Canine Medicine was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Thekla R. and Donald B. Shackelford
Professorship Fund in Canine Medicine (contd)

of The Ohio State University Foundation, with a gift from Thekla R. (M.A., Education, 1969) and Donald B. Shackelford.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will provide a professorship to be held by an eminent faculty member at the College of Veterinary Medicine who has distinguished himself/herself in the clinical sciences specifically related to canines, maintains an active and productive research program, and who is an effective educator-teacher. Selection shall be made as recommended by the dean of the College of Veterinary Medicine with the associate dean of research as well as the chair of Department of Veterinary Clinical Sciences.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$250,000.00

The Ross-Hocking Extension 4-H Camp Association, Inc. Endowment Fund

The Ross-Hocking Extension 4-H Camp Association, Inc. Endowment Fund was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Ross-Hocking Extension 4-H Camp Association, Inc. and friends.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to enhance and foster further development of the resources and camping programs of the Ross-Hocking Extension 4-H Camp Association, Inc. All expenditures from this fund shall be approved by the Ross-Hocking Extension 4-H Camp Association board of trustees.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the fund shall be for use and benefit of the 4-H program of The Ohio State University following consultation with the Ross-Hocking Extension 4-H Camp Association, Inc. board of trustees in order to carry out the desire of the donor.

\$50,000.00

The Eleanor Quinlan Memorial Fund

The Eleanor Quinlan Memorial Fund was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends, former students, and colleagues of the late Eleanor Quinlan (M.S., 1985) who, for over a decade, nurtured the development of graduate associates in the Department of Computer and Information Science.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Eleanor Quinlan Memorial Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the chairperson of the Department of Computer and Information Science for development and recognition of outstanding graduate teaching associates within the department.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate department chairperson in order to carry out the desire of the donors.

\$35,031.00

The Calvin and Lydia Roebuck Athletic Scholarship Fund

The Calvin and Lydia Roebuck Athletic Scholarship Fund was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends, family, and colleagues of the Roebuck family.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of student athletes who are members of the football team and who are pursuing undergraduate degrees at The Ohio State University. Preference will be given to student-athletes majoring in business and carrying a 3.0 or above grade point average. If no candidates meet the selection criteria, the recipients shall be selected by the Department of Athletics. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$33,820.00

Ohio State ATI Founders and Friends Award Fund

The Ohio State ATI Founders and Friends Award Fund was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with miscellaneous gifts.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

This grant fund was established to honor the Founders and Friends of Ohio State ATI. The annual income shall be used for awards to eligible OSU Agricultural Technical Institute students who must demonstrate financial need and must complete the required need analysis form. In addition, candidates must demonstrate potential for academic success as measured by grade point average, high school, rank, etc., prior to enrollment or have earned at least a 2.0 cumulative

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Ohio State ATI Founders and Friends Award Fund (contd)

grade point average as an enrolled student. Unused funds will be returned to the principal. Awards must be made in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$32,691.90

Moore Family Scholarship Fund

The Moore Family Scholarship Fund was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts designated for support of the Office of Student Financial Aid from James Moore (B.A., 1967; J.D., 1970) and Diane Moore (B.A., cum laude, 1979; J.D., 1982), from Highland Park, Illinois.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to the Office of Student Financial Aid and used to provide one or more financial need based scholarships for undergraduate students who are in the top 10 percent of their graduating class. Recipients will be selected in consultation with the University Committee on Student Financial Aid. Unused income shall be added to principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$30,000.00

The Marie Cummins Curtin Scholarship Fund in Pharmacy

The Marie Cummins Curtin Scholarship Fund in Pharmacy was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Curtin family of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships in varying amounts to deserving students in the College of Pharmacy who have difficulty meeting tuition and fee expenses and exhibit potential for success at The Ohio State University and in the profession of pharmacy. Preference will be given to a female student in the College of Pharmacy. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Marie Cummins Curtin Scholarship Fund in Pharmacy (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in the College of Pharmacy in order to carry out the desire of the donors.

\$29,545.00

The Dr. Robert D. Berkebile Memorial Award Fund in Radiology

The Dr. Robert D. Berkebile Memorial Award Fund in Radiology was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts in memory of the late Robert D. Berkebile (M.D., 1939), by his wife, Marian Miller Berkebile, of Fort Myers, Florida.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall support student awards in the Department of Radiology. "The Robert D. Berkebile Memorial Award in Radiology" shall be given each year at the spring honors convocation of the College of Medicine and Public Health. Awardees shall be students of the College of Medicine and Public Health who complete a rotation in radiology and who most exemplify determination, expertise and promise in the field of radiology. Awardees shall be selected by the chairperson of the Department of Radiology in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health and the chairperson of the Department of Radiology in order to carry out the desire of the donor.

\$25,000.00

The Leslie Cribley Memorial University Scholar Fund

The Leslie Cribley Memorial University Scholar Fund was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from E. Marie Cribley of Seattle, Washington, in memory of her husband, Leslie Cribley (M.A., Education, 1938).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more merit scholarships for "Leslie Cribley University Scholars." The student(s) will be selected from among students who qualify as University Scholars, with first preference going to a student in music. The income will continue through the senior year so long as he or she maintains eligibility. The fund will be administered by the University Honors Center in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Leslie Cribley Memorial University Scholar Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

The OSU Alumni Club of Phoenix Scholarship Fund

The OSU Alumni Club of Phoenix Scholarship Fund was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the OSU Alumni Club of Phoenix.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide merit scholarships to graduates of high schools in the Phoenix Metro Area, recruited, interviewed, ranked, and recommended by the Alumni Club of Phoenix, Arizona, to the office of Student Financial Aid. Any unused income shall be reinvested to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for University Development, and the director of Student Financial Aid in order to carry out the desire of the donors.

\$25,000.00

The OSU Army ROTC Alumni Scholarship Fund

The OSU Army ROTC Alumni Scholarship Fund was established June 1, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Army ROTC Alumni Society members and friends.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarships for undergraduate students enrolled in the Army ROTC program. One or more cadets will receive the scholarship upon recommendation of the Army ROTC staff and ROTC Alumni Society. Any unused income shall be reinvested to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the Army ROTC staff, vice president for University Development, and director of Student +Financial Aid in order to carry out the desire of the donors.

\$21,121.00 (Grandfathered)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Fund

John G. and Zoe Johnstone Endowed Fund for Musicology

The John G. and Zoe Johnstone Endowed Fund for Musicology was established August 30, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from John G. (Ph.D., Music, 1984) and Zoe Johnstone of Columbus, Ohio. The description was revised on June 1, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be divided as follows:

- 1) Seventy-five percent, or a minimum of \$2,000, will provide an annual award to a graduate student in the School of Music specializing in musicology. The recipient will be selected by the faculty of the area of musicology in consultation with the University Committee on Student Financial Aid. The award shall be for excellence of scholarship.
- 2) The remaining amount may be used to pay research expenses of graduate students in the area of musicology. The area head will select qualified recipients and determine appropriate use of funds. Any materials purchased shall become the property of the University.

In the event there are undistributed funds in any given year, the unused income shall be returned to the principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the School of Music in order to carry out the desire of the donors.

LONG-TERM DISABILITY INCOME PROGRAM

Resolution No. 2001-147

Synopsis: The approval of a change in benefit and vendor for the Long-Term Disability income benefit program including a benefit improvement at no increased cost is proposed.

WHEREAS the University currently provides an income replacement benefit for faculty and staff affected by disabilities other than those covered by statutory Workers' Compensation benefits; and

WHEREAS specifications and proposal forms were issued to five (5) nationally recognized companies providing disability programs; four (4) of which were responsive to our specifications; and

WHEREAS the Office of Human Resources, with assistance from PriceWaterhouseCoopers LLP, a national consulting company with specialists in disability programs, has reviewed and evaluated the resulting proposals and, based on pricing, benefits offered, administrative costs, support for disabled faculty and staff, and other associated services and reporting, recommends that the best proposal is offered by the Hartford Life Insurance Company. The proposal includes:

LONG-TERM DISABILITY INCOME PROGRAM (contd)

Disability income replacement, percent of base salary: 60% (currently 50%)

University cost per faculty/staff member per month: \$7.26 (currently \$7.76)

Optional employee-purchased supplemental benefit premiums are also reduced by an average of 19%.

Price guarantee period: 3 years (currently annual)

NOW THEREFORE

BE IT RESOLVED, That the contract for the program of providing disability benefits to eligible faculty and staff be awarded to the Hartford Life Insurance Company for a three (3) year period beginning July 1, 2001, with the pricing, fees, and performance of the provider to be reviewed before July 1, 2004, and annually thereafter. This contract will remain in force after the initial term until the administration deems it appropriate to recommend review of the designated provider and/or seek a new pricing quotation; and

BE IT FURTHER RESOLVED, That the President and/or Associate Vice President for Human Resources are hereby authorized and directed to make the aforementioned changes in the long-term disability income plan.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2001-148

**OARDC – HAYDEN HALL RENOVATION
VIVIAN HALL – ROOMS 1 – 218
CENTRAL CLASSROOM BUILDING – ROOF REPLACEMENT
DENNEY HALL – CLASSROOM RENOVATION PHASE I**

Synopsis: Authorization to employ architect/engineering firms and request construction bids for the OARDC – Hayden Hall Renovation, Vivian Hall – Rooms 1–218, and Central Classroom Building – Roof Replacement projects and authorization to request construction bids for the Denney Hall – Classroom Renovation Phase I project is requested.

WHEREAS the University desires to upgrade existing laboratories, refurbish office spaces, install a new passenger elevator, install new central HVAC system, and reconstruct restroom facilities in Hayden Hall on the OARDC campus; and

WHEREAS the total estimated project cost is \$850,000 and the total estimated construction cost is \$690,000, with funding provided by House Bill 790 (\$100,000) and OARDC funds (\$750,000); and

WHEREAS the University plans to demolish Ives Hall in order to use that site for the construction of the new Knowlton School of Architecture and desires to renovate space in Vivian Hall to temporarily house a portion of the Knowlton School of Architecture functions being displaced from Ives Hall; and

WHEREAS the total estimated project cost is \$305,000 and the total estimated construction cost is \$261,000, with funding provided by the Knowlton School of Architecture (\$152,500) and central University funds (\$152,500); and

WHEREAS the University desires to replace the deteriorated, leaking roof on the Central Classroom Building; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the total estimated project cost is \$109,407 and the total estimated construction cost is \$81,103, with funding provided by Office of Physical Facilities (\$38,953.50) and Office of Facilities Planning and Development (\$70,453.50); and

WHEREAS the University desires to renovate the pool classrooms on the second floor of Denney Hall, surrounding common areas, and rest rooms; and

WHEREAS the size of this project has been increased beyond the original \$970,000 approved by this Board at its meeting on February 5, 1999; and

WHEREAS the revised estimated project cost is \$1,068,435 and the total estimated construction cost is \$508,117.11, with funding provided by House Bill 748 (\$32,000), House Bill 790 (\$29,256.61), House Bill 850 (\$945,191.89), House Bill 904 (\$26,568), Classroom Pool (\$418.50), and Honor Program funding (\$35,000):

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the OARDC – Hayden Hall Renovation, Vivian Hall – Rooms 1-218, and Central Classroom Building – Roof Replacement projects and that the fees for these services be negotiated between the firm selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the OARDC – Hayden Hall Renovation, Vivian Hall – Rooms 1-218, Central Classroom Building – Roof Replacement, and Denney Hall - Classroom Renovation Phase I projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix XLII for maps, page 1061.)

**CONTINUATION OF LEARNING TECHNOLOGY FEE
IN THE MAX M. FISHER COLLEGE OF BUSINESS**

Resolution No. 2001-149

Synopsis: Authorization to continue with a learning technology fee in the Fisher College of Business is proposed.

WHEREAS the Fisher College of Business was authorized to implement a learning technology fee for its majors in Spring 1994; and

WHEREAS a review of the past year by the Provost's Oversight Committee on Learning Technology Fees has shown the income from that fee to have been invested as planned and the planned past-year improvements in instructional computing services to have been accomplished; and

WHEREAS a survey of business students has shown substantial satisfaction with the improvements already made; and

WHEREAS the Fisher College of Business has monitored the use of computing laboratories and taken steps to increase accessibility as needed by students; and

**CONTINUATION OF LEARNING TECHNOLOGY FEE
IN THE MAX M. FISHER COLLEGE OF BUSINESS (contd)**

WHEREAS there is a need to continue the implementation of the Fisher College of Business learning technology plan:

NOW THEREFORE

BE IT RESOLVED, That the Fisher College of Business is authorized to continue assessing undergraduate majors and graduate students in the college a learning technology fee. Effective Autumn Quarter 2001 full-time undergraduate majors (12 credit hours or more) will be assessed \$85 and full-time graduate students (8 credit hours or more) will be assessed \$120 per quarter. The fee will be prorated at \$7 per credit hour for undergraduate majors enrolled for less than 12 credit hours and at \$15 per credit hour for graduate students enrolled for less than 8 credit hours. This represents no change in learning technology fees from FY00. For subsequent years the learning technology fee will be limited to 10 percent of the resident undergraduate tuition and the prorated fees for students who are not full-time will be adjusted correspondingly; and

BE IT FURTHER RESOLVED, That the use of the Fisher College of Business learning technology fee will be reviewed by the Provost's Oversight Committee on Learning Technology Fees, and subject to annual approval by the Board of Trustees, until the fee is eliminated or replaced by a University-wide learning technology fee.

**CONTINUATION OF LEARNING TECHNOLOGY FEE
IN THE COLLEGE OF ENGINEERING**

Resolution No. 2001-150

Synopsis: Authorization to continue with a learning technology fee in the College of Engineering is proposed.

WHEREAS the College of Engineering was authorized to implement a learning technology fee for its majors in Spring 1993; and

WHEREAS a review of the past year by the Provost's Oversight Committee on Learning Technology Fees has shown the income from that fee to have been invested as planned and the planned past-year improvements in instructional computing services to have been accomplished; and

WHEREAS although engineering student response to surveys continues to be low, survey results obtained have shown satisfaction; and

WHEREAS the College of Engineering has monitored the use of computing laboratories and taken steps to increase accessibility as needed by students; and

WHEREAS there is a need to continue the implementation of the College of Engineering learning technology plan; and

WHEREAS students who are majors in computer and information science in the College of Mathematical and Physical Sciences make substantial use of Engineering computing laboratories, approximately two-thirds as much as their counterparts in Engineering, and are currently assessed the computing fee:

NOW THEREFORE

**CONTINUATION OF LEARNING TECHNOLOGY FEE
IN THE COLLEGE OF ENGINEERING (contd)**

BE IT RESOLVED, That the College of Engineering is authorized to continue assessing undergraduate majors and graduate students in the college a learning technology fee and to expand services to include additional staff in labs. Effective Autumn Quarter 2001 full-time undergraduate majors (12 credit hours or more) will be assessed \$110 and full-time graduate students (8 credit hours or more) will be assessed \$120 per quarter. The fee will be prorated at \$9 per credit hour for undergraduate majors enrolled for less than 12 credit hours and at \$15 per credit hour for graduate students enrolled for less than 8 credit hours. This represents no change in computing fees from FY00. For subsequent years the computing fee will be limited to 15 percent of the resident undergraduate tuition and the prorated fees for students who are not full-time will be adjusted correspondingly; and

BE IT FURTHER RESOLVED, That majors in computer and information science who are enrolled in the College of Mathematical and Physical Sciences will continue to be assessed a learning technology fee by the College of Engineering at the rate of two-thirds of the amount assessed engineering majors; and

BE IT FURTHER RESOLVED, That the use of the Engineering learning technology fee will be reviewed by the Provost's Oversight Committee on Learning Technology Fees, and subject to annual approval by the Board of Trustees, until the fee is eliminated or replaced by a University-wide learning technology fee.

**CONTINUATION OF LEARNING TECHNOLOGY FEE
IN THE SCHOOL OF PUBLIC POLICY AND MANAGEMENT**

Resolution No. 2001-151

Synopsis: Authorization to continue with a learning technology fee in the School of Public Policy and Management is proposed.

WHEREAS the School of Public Policy and Management was authorized to implement a learning technology fee for its majors in Spring 1997; and

WHEREAS a review of the past year by the Provost's Oversight Committee on Learning Technology Fees has shown the income from that fee to have been invested as planned and the planned past-year improvements in instructional computing services to have been accomplished; and

WHEREAS a survey of public policy and management students has shown substantial satisfaction with the improvements already made; and

WHEREAS the School of Public Policy and Management has monitored the use of computing laboratories and taken steps to increase accessibility as needed by students; and

WHEREAS there is a need to continue the implementation of the School of Public Policy and Management learning technology plan:

NOW THEREFORE

BE IT RESOLVED, That the School of Public Policy and Management is authorized to continue assessing graduate students in the school a learning technology fee. Effective Autumn Quarter 2001 full-time graduate students (8 credit hours or more) will be assessed \$120 per quarter. The fee will be prorated at \$15 per credit hour for graduate students enrolled for less than 8 credit hours. This represents no change in computing fees from FY00. For subsequent years the learning technology fee will be limited to 10 percent of the resident undergraduate tuition and the prorated fees for students who are not full-time will be adjusted correspondingly; and

**CONTINUATION OF LEARNING TECHNOLOGY FEE
IN THE SCHOOL OF PUBLIC POLICY AND MANAGEMENT (contd)**

BE IT FURTHER RESOLVED, That the use of the School of Public Policy and Management computer laboratory fee will be reviewed by the Provost's Oversight Committee on Learning Technology Fees, and subject to annual approval by the Board of Trustees, until the fee is eliminated or replaced by a University-wide learning technology fee.

**CONTINUATION OF LEARNING TECHNOLOGY FEE
IN THE COLLEGE OF NURSING AND COLLEGE OF THE ARTS**

Resolution No. 2001-152

Synopsis: Authorization to continue with a learning technology fee in the College of Nursing and the College of the Arts is proposed.

WHEREAS the College of Nursing and the College of the Arts were authorized to implement a learning technology fee for its majors in Summer 2000; and

WHEREAS a review of the past year by the Provost's Oversight Committee on Learning Technology Fees has shown the income from that fee to have been invested as planned and the planned past-year improvements in learning technology services to have been accomplished; and

WHEREAS a survey of nursing and arts students has shown substantial satisfaction with the improvements already made; and

WHEREAS the College of Nursing and the College of the Arts have monitored the use of computing laboratories and taken steps to increase accessibility as needed by students; and

WHEREAS there is a need to continue the implementation of the College of Nursing and the College of the Arts learning technology plans:

NOW THEREFORE

BE IT RESOLVED, That the College of Nursing and the College of the Arts are authorized to continue assessing undergraduate majors and graduate students in these colleges a learning technology fee. Effective Autumn Quarter 2001 full-time undergraduate majors and graduate students will be assessed \$43 per quarter. The fee will be prorated at \$3 per credit hour for undergraduate majors enrolled for fewer than 12 credit hours and \$5 per credit hour for graduate students enrolled for fewer than 8 credit hours. This represents no change in computing fees from FY00; and

BE IT FURTHER RESOLVED, That the use of the College of Nursing and the College of the Arts learning technology fees will be reviewed by the Provost's Oversight Committee on Learning Technology Fees, and subject to annual approval by the Board of Trustees, until the fees are eliminated or replaced by a University-wide learning technology fee.

Upon motion of Judge Duncan, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Sofia, Slane, McFerson, and Judge Duncan, Ms. Longaberger, Ms. Hendricks, and Ms. Davidson.

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STUDENT RECOGNITION AWARD

Mr. Filiatraut:

Periodically during the year, I've brought before this Board students nominated by the dean of their college to receive the Student Recognition Award. Each time I have done so, the Board has been introduced to an incredible person and today is no different.

Kefla H. George is a candidate for a Doctor of Optometry degree from the College of Optometry and is an outstanding student in the field of optometry. In fact, she will graduate next week with at least a 3.69 GPA. She has been an optometric assistant to Columbus optometrists, as well as for an optometrist in New Orleans. Kefla has also worked in the optometry clinic at Randolph Air Force Base in San Antonio, Texas, and spent a summer researching the effects of strabismic amblyopia on ocular dominance cone structure.

She has published posters at the annual meetings of the American Academy of Optometry and the Society of Neuroscience. As part of her studies, Kefla completed her Master's thesis on "The Point of Subjective Equality Between Verneva Acuity Contrast Discrimination as a Possible Predictive Measure of Amblyopia." Kefla is a member of the National Optometric Student Association, as well as the American Optometric Student Association, and has worked on diversity initiatives. She is also a member of the Optometric Honor Society and is treasurer of the International Optometric Honor Society.

If I were to sum up what I believe to be the most impressive thing that I know about Kefla, it would be the commitment she has to using her talents as a skilled professional to serve others. She was a volunteer at both the Junior Olympics and the Special Olympics World Games, where she did free vision screening for the athletes. She spent three months in the external clinic rotation at, among other places, the Columbus VA and Faith Mission homeless shelter. Her long-term goal is to open a community health care facility where the homeless and those who cannot afford health care can go and receive care for not only their eyes, but also to receive medical, dental, and pharmaceutical help as well.

Kefla, on behalf of President Kirwan, Chairman Brennan, and the Board of Trustees, I congratulate you on your accomplishments and wish you the best of luck in achieving your goals as I present you with the Student Recognition Award. Congratulations.

Ms. Kefla A. George:

I'd like to thank everyone for this recognition. It's a privilege and an honor to be considered for such an award, and definitely even more wonderful to actually receive such recognition.

The experiences that I've received at The Ohio State University College of Optometry have been wonderful. My leadership roles and my service that I've participated in is definitely an excellent foundation and a springboard for which I anticipate bigger, better, and more successful things in the future. I anticipate a very successful and fulfilling career in optometry.

Thank you so much for this recognition.

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REPORT ON FY 2001-02 COMPENSATION GUIDELINES

Provost Edward J. Ray:

I won't repeat the comments that the President made in his opening remarks, except in summary fashion. As the President indicated, we have made a commitment to a one-time health insurance premium credit for all staff earning below \$30,000 annually, which is part of the resolution that we bring forward. We're also bringing forward a proposal to provide approximately \$395 annually to all regular faculty and staff with a 75-100 percent appointment and an appropriate adjusted rate for those who hold below a 75 percent appointment. We expect that that will cover -- as indicated in Attachment C of the materials that you have -- the cost of the health benefit cost increases that faculty and staff will face, as well as parking costs.

As a separate matter, I asked a group to look into what health benefits are provided at other universities? I'd like to especially thank Larry Lewellen, from the Office of Human Resources; Susan Huntington, dean of the Graduate School; Ron Meyers, president of the Council of Graduate Students; Mary Daniels, from the Office of Student Affairs, and others who worked on assessing the health benefit cost needs of our students and comparative analysis. As a consequence of that study, we're also proposing a number of changes that affect graduate students and graduate associates.

One change is to increase graduate associate stipends \$10 a month, and to raise the minimum graduate associate stipend to \$900 per month. This implementation would be over a three-year period of cost sharing of health benefit costs to graduate associates, beginning with \$15 a month this year. The expectation is that over a three-year period, we'll be providing \$50 a month in support from the University for those health benefit costs. We think that will cover approximately 50 percent of the health benefit costs of our graduate students.

The President also indicated in his opening remarks that we're not very pleased with where we are with respect to the compensation recommendations. He will be back with specific recommendations in October for how we could proceed to map a better course for compensation over the next several years to get us back to the midpoint of compensation rates with our benchmark peer institutions.

If I could call your attention to any of the materials that you have in the packet that we've provided for you, I would point to Attachment B. This talks about selected cost increases as a percentage of payroll at the University to help explain why we're in the particular situation that we are. We talk about the fact that the monies above the traditional tuition cap of six percent are being dedicated to improve the quality of the student experience inside and outside the classroom and substantial increases in student financial aid.

Again, as the President has indicated, the University's share of health insurance costs for faculty and staff has risen approximately 37 percent this year and costs for natural gas are up also. Those costs, in combination, claim approximately 4.8 percent of the general fund's monies. It's important to understand that compensation is not a residual around here, as the President indicated. It is our first priority, but we do have to pay the bills.

Whether we are pleased with it or not -- with the rapid deterioration in the budget situation in the state over the last few months -- this is the position we find ourselves in. As the President indicated, we are committed to come back with a concrete proposal for moving us back to competitive compensation rates over the next three or four years.

REPORT ON FY 2001-02 COMPENSATION GUIDELINES (contd)

Provost Ray: (contd)

Let me stop there and see if Bill Shkurti would like to add anything, and then we'll entertain any questions you might have.

Mr. William J. Shkurti:

I think the Provost covered the compensation topic adequately.

Dr. Ray:

Are there any questions on the compensation resolution?

Mr. Brennan:

Any questions on the compensation resolution?

FISCAL YEAR 2001-02 COMPENSATION GUIDELINES

Resolution No. 2001-153

Synopsis: Compensation guidelines for FY 2001-02 are proposed.

WHEREAS the current budget expires on June 30, 2001; and

WHEREAS the Ohio House and Senate each has passed its version of the state budget for the 2001-2003 biennium; and

WHEREAS certain cost increases, such as for benefits and parking, affecting faculty and staff are now known; and

WHEREAS the University desires to implement at least a minimal pay increase for FY 2002 to help lessen the impact of these increases; and

WHEREAS the University has granted a one-time health insurance premium credit for those employees whose annual salary is \$30,000 or less; and

WHEREAS salary increases for bargaining unit employees already are in place in accordance with their contracts; and

WHEREAS appropriate consultations have taken place throughout the University and a compensation adjustment to help lessen the impact of benefits and parking increases has the support of the Coordinating Council and the Planning Cabinet:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees approves an annual increase in compensation of approximately \$395 for all regular faculty and non-bargaining unit staff employed at 75% to 100% time and meeting performance expectations, and an annual increase of approximately \$270 for all regular faculty and non-bargaining unit staff employed at 50% to 74% time and meeting performance expectations, effective July 1, 2001, as described in the attached materials; and

BE IT FURTHER RESOLVED, That the University will provide \$15 per month, for each month that a student is paid a stipend, toward student health insurance for graduate associates; and

BE IT FURTHER RESOLVED, That graduate associate stipends will increase by \$10 per month and that the minimum graduate associate stipend will increase to \$900 per month; and

FISCAL YEAR 2001-02 COMPENSATION GUIDELINES (contd)

BE IT FURTHER RESOLVED, That the President will report to the Board of Trustees at the October meeting on a plan for restoring faculty and staff salaries to market levels.

Upon motion of Mr. Patterson, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Sofia, Slane, McFerson, and Judge Duncan, Ms. Longaberger, Ms. Hendricks, and Ms. Davidson.

(See Appendix XLIII for background information, page 1065.)

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REPORT ON FY 2001-02 TUITION AND FEES

Provost Edward J. Ray:

I'd like to turn to Mr. Shkurti to discuss the FY 2001-2002 tuition increases.

Mr. William J. Shkurti:

You have before you a resolution to approve tuition and fees for 2002. These were discussed in detail in the Fiscal Affairs Committee meeting, so I'll just summarize a couple of main points.

Point number one is that, although we're calling for an increase in tuition, we're not doing it just to raise fees, but as part of an effort strategically to lift the University to the next level so we can provide a first-rate education for our students. I think the outstanding faculty members and the student we honored today give a human example of what it is we're striving to achieve. We hope in doing this that we can provide our students the advantages of a large institution, in terms of choice and the ability to be involved in a number of interdisciplinary efforts, but also with the personal attention that is traditionally associated with a smaller institution. Although this is not solely a financial and resource issue, resources come into play as well.

That brings me to my second point, with which I know the Board is familiar. When you compare Ohio State University to institutions with a similar mission, we don't have the resources they do. Some of that we can make up by doing things differently and more efficiently, but at some point you have to have the horses to carry the carriage in the race that you're in.

As we look at our tuition, particularly for resident undergraduates, we're 8th out of the 13 institutions in the state. And we're in a similar position if you compare us to the Big Ten institutions out of the state. So even though we're recommending what is historically a large percentage increase in undergraduate tuition today, it is really what we need to simply stay competitive and to improve the institution. Even after these increases, we'll still remain an excellent bargain and a high quality institution for the price.

The third point I would make is that this effort regarding our plans on tuition and improving the institution is part of a multi-year plan that was developed as part of the Academic Plan in the fall. As the President mentioned, the deteriorating state budget picture since then has affected our ability to do all the things we want, but there are still things that are important enough that we need to move ahead with. Fortunately in this particular case, the proposal that we made regarding undergraduate tuition that the Governor submitted in his budget will allow us to take steps forward in improving our undergraduate education.

REPORT ON FY 2001-02 TUITION AND FEES (contd)

Mr. Shkurti: (contd)

At the time the proposal was developed, the assumption was tuition caps would remain and we had requested an exemption, which the Governor agreed to. Now it appears -- since the General Assembly has passed the budget bill and sent it on to the Governor -- that there will not be any tuition caps in the coming biennium. However, our proposal remains as it was when originally developed in the fall, which is requesting an increase of 9.3 percent in undergraduate tuition. The amount above the traditional cap will go to directly benefit improvements in the undergraduate education and there will be a corresponding increase in student financial aid. In fact, we'll broaden the number of students eligible for student financial aid, so that for students who are otherwise qualified academically to come to Ohio State, finances will not be a barrier.

The final point I'd like to make -- and the President alluded to this in his remarks, as well -- is that although the state budget now has been passed by the Legislature -- and presumably will be shortly signed by the Governor -- we're in a somewhat fluid situation, budget-wise and economically, both for the University and for the state. So the recommendations that Ed and I have presented today, we think, are prudent and can be financed within the resources we expect to be available. But in this very uncertain budget environment, there is no guarantee that we won't be back here in three months or six months or nine months having to make whatever changes are appropriate. If we have to do that, we will keep in mind our first goal, which is to protect the academic core of the institution and to continue to make progress in those things that are most important.

Mr. Chairman, that concludes my presentation. Ed, would you like to add anything?

Provost Ray:

Mr. Chairman, let me make two quick points. First, we'll be back at the next Board meeting to talk about the Regional Campuses' tuition recommendations for the coming academic year. As you know, the budget implications of changes in Access Challenge are still being sorted out among our colleagues at the Regional Campuses, but we expect to be back in a few weeks with specific recommendations. The recommendations today are for the Columbus Campus.

The second point that I would make is, if one presumes that the other Ohio universities increase their tuition the historical 6 percent rate, as if caps were there, this proposed increase will move us from 8th place to 7th place. That concludes my remarks.

Mr. Brennan:

Any questions from the Board? Mr. Patterson --

Mr. Patterson:

Thank you, Mr. Chairman. I would like to point out that the question was properly raised in the Fiscal Affairs Committee meeting in that the Governor has not actually signed the budget bill and it is not final. So the resolution before us has written in one of the "WHEREAS" clauses that it is contingent upon that being done.

Mr. Brennan:

Thank you, Mr. Patterson. Any further discussion or questions?

FISCAL YEAR 2001-02 TUITION INCREASE

Resolution No. 2001-154

Synopsis: Tuition and other fee increases for Fiscal Year 2001-02 are proposed.

WHEREAS the current budget expires on June 30, 2001; and

WHEREAS the Ohio General Assembly has passed the state budget for the 2001-2003 biennium and forwarded it to the Governor, and that budget includes elimination of the state mandated tuition fee caps; and

WHEREAS it is the University's intent to increase the combined FY 2001-02 general and instructional fees for in-state undergraduates at the Columbus Campus by 9.3% in order to improve its undergraduate education and experience; and

WHEREAS consultations with the professional colleges have taken place to determine the level of their Instructional fee increases above a 5.0% base; and

WHEREAS consultations continue to take place for the Regional Campus instructional, general and nonresident fees in view of changes in the state budget regarding Access Challenge funding at the Regional Campuses:

NOW THEREFORE

BE IT RESOLVED, That instructional and general fees for the Columbus Campus be increased by 9.3% for Fiscal Year 2001-02, contingent upon removal of or exemption from the fee cap as contained in the enacted state budget and that the professional instructional, general, nonresident and other fees be increased in accordance with the attached materials.

Upon motion of Mr. Patterson, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Sofia, Slane, McFerson, and Judge Duncan, Ms. Longaberger, Ms. Hendricks, and Ms. Davidson.

(See Appendix XLIV for background information, page 1071.)

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Thereupon the Board adjourned to meet Friday, June 29, 2001, at The Ohio State University Parker Food Science and Technology Building, Columbus, Ohio.

Attest:

William J. Napier
Secretary

David L. Brennan
Chairman

**The Ohio State University
Office Of Human Resources**

**SUMMARY OF PROPOSED REVISIONS OF
THE CLASSIFIED CIVIL SERVICE RULES**

The Ohio State University Office of Human Resources has prepared proposed amendments, deletions and additions to the rules that govern classified civil service ("CCS") employment at the University. The CCS rules were last revised in 1997. Since that time, various statutory changes have occurred that necessitate the further revision of these rules. The Ohio State University may expand upon, but not decrease, a benefit provided by statute to CCS employees.

REVISIONS

The language and content throughout the rules have been revised in the following manner:

- The language is clarified and made grammatically correct;
- The rules are updated to be consistent with University policies and practices; and
- The rules are updated to be consistent with federal and state statutory changes.

The following summary provides a brief explanation of other changes made to the rules, chapter by chapter:

Chapter 49: Procedure for Adoption, Amendment or Rescission of Rules

- In accordance with a statutory amendment, the procedures governing the adoption of the CCS rules is changed to comply with section 111.15 of the Revised Code.

Chapter 51: Reclassification; Certification

- The language is clarified to reflect use of "certified status" as a term of art.

Chapter 55: Classification Program

- The University's classification plan is updated.
- All classifications (including broadbanded classifications) must have a function statement setting forth the mandatory duties of the position that must be satisfied at least 50 per cent of the time.

Chapter 57: Applications

- Employees terminated for cause from University service are ineligible permanently for reemployment with the University.

Chapter 59: Examinations

- The requirement to publicly post examination notices with the Clerk of Court of Common Pleas in the district or county in which the employment is located is deleted.
- Preemployment medical and psychological examination reports shall be maintained as confidential records to the extent allowable pursuant to Section 149.43 and Chapter 1347 of the Revised Code.

Chapter 63: Eligible Lists

- The language is clarified to reflect use of "certified eligible applicant" as a term of art.

Chapter 65: Appointments

- The language is clarified to reflect use of "certified eligible applicant" as a term of art.
- The ability of seasonal employees to apply for other positions within the University as an internal or external candidate is clarified.
- The language is clarified to reflect that an employee who was appointed provisionally and who has achieved certified status is not later subject to displacement by a person from an eligibility list.

Chapter 67: Probationary Period

- In accordance with a statutory change, employees may be terminated or returned to their former classification, whichever is applicable, at any time during the probationary period. Such action is not subject to appeal to the State Personnel Board of Review.
- An employee terminated from employment during a probationary period, except when terminated for cause, is not eligible to reapply for the same or higher classification for a period of one year from the termination date unless restored to the eligibility list at the discretion of the Office of Human Resources.
- An employee terminated for cause from University service is permanently ineligible for reemployment with the University.

Chapter 71: Promotions

- The University may reassign an employee's work location within the same jurisdiction of the University. The University will provide reasonable notice of the reassignment prior to the effective date of the change, except when an emergency renders advance notice impractical.
- An employee must have achieved certified status in the current classification prior to being eligible to apply for promotion or transfer.

Chapter 73: Leave Policies

- Terms regarding leaves due to disability are incorporated into the rule regarding unpaid leaves of absence.
- Terms regarding reinstatement to employment are incorporated from the leaves due to disability rule into the rules governing voluntary and involuntary disability separation.
- In accordance with a statutory amendment, employees who are voluntarily separated from employment due to disability may appeal such separation to the State Personnel Board of Review within ten (10) days of receiving the determination of disability separation.
- The ability to place an employee on administrative leave is added to the CCS rules.
- Military leave is revised in accordance with the Uniformed Services Employment and Reemployment Rights Act.

Chapter 75: Performance Management; Removals, Suspensions, Demotions

- In accordance with a statutory amendment, the University may impose a fine of not more than five (5) days pay as part of a corrective action plan.

- In accordance with a statutory amendment, provisions are added regarding the employment of an individual who has been convicted of a felony.

Chapter 79: Sick Leave

- The categories of employees who receive sick leave is revised to be in accordance with University policy.
- The definition of “immediate family” is revised to parallel University policy.
- Employee medical and psychological examination reports shall be maintained as confidential records to the extent allowable pursuant to section 149.43 and Chapter 1347 of the Revised Code.

Chapter 81: Reduction in Force

- In accordance with a statutory amendment, the order of layoff in terms of the nature of the appointment is revised.
- The order of layoff in terms of employees who have/have not achieved certified status is clarified.

Chapter 83: Payroll and Compensation

- Holiday compensation is clarified for full-time and part-time employees.

Chapter 89: Definitions of Terms

- The following definitions are added: appointing authority, certified status, certified eligible applicant, day, for cause, original appointment, reassignment, termination, transfer.

TO BE RESCINDED

3335-49-01 ~~Procedure for adoption, amendment or rescission of rules affecting persons in the classified civil service at the Ohio state university.~~

(A) ~~The adoption, amendment or rescission of any rule affecting employees in the classified civil service at the Ohio state university shall comply with the provisions of section 119.03 of the Revised Code.~~

(B) ~~Public notice as to the adoption, amendment or rescission of rules shall be given in accordance with the following procedure:~~

(1) ~~Any public notice shall include:~~

(a) ~~A statement of intention to consider the adoption, amendment or rescission of such rule;~~

(b) ~~A general statement of the subject matter to which the proposed rule relates;~~

(c) ~~A statement of the reason or purpose for adopting, amending or rescinding such rule;~~

(d) ~~The date, time and place of the public hearing, as required by Chapter 119. of the Revised Code, to consider the adoption, amendment or rescission of the rule, which shall not be earlier than thirty days after the filing of the proposed rule.~~

(2) ~~Such public notice shall be printed at least thirty days prior to such hearing in a major newspaper in the following cities:~~

(a) ~~Newark;~~

(b) ~~Marion;~~

(c) ~~Mansfield;~~

(d) ~~Columbus;~~

(e) ~~Lima; and~~

(f) ~~Wooster.~~

(3) ~~Such public notice, together with a copy of the full text of the proposed rule, amendment, or rule to be rescinded shall be filed as follows:~~

(a) ~~One copy with the secretary of state;~~

(b) ~~Two copies with the legislative service commission;~~

(c) ~~Two copies with the state personnel board of review;~~

(d) ~~One copy with the department of administrative services at least thirty days, but no more than fifty days, prior to such hearing.~~

(4) ~~Such public notice, together with a copy of the full text of the proposed rule, amendment or rule to be rescinded shall be posted in the office of human resources at least thirty days prior to such hearing.~~

(C) ~~Distribution of proposed rule changes: the office of human resources shall make a reasonable effort to post copies of the public notice in areas easily accessible to employees who may be~~

~~adversely affected by the adoption, amendment or rescission of any rule at least thirty days prior to the public hearing.~~

- (D) ~~Public hearing: The hearing shall be open to the public at which time any interested persons, or their representative, affected by the proposed action will be given an opportunity to present testimony, orally or in writing, regarding whether the proposed rule changes, if adopted or amended, will be unreasonable or unlawful. A stenographic record of the proceedings shall be made. Following the public hearing, the record shall be left open, for a reasonable period of time, for the submission of additional written comments or testimony. The appointing authority shall consider all testimony or other evidence presented at the hearing and submitted as part of the record.~~
- (E) ~~Distribution of adopted rules.~~
- (1) ~~At least ten days prior to the effective date, all rule(s) in their final form shall be filed as follows:~~
- (a) ~~Two copies with the secretary of state;~~
 - (b) ~~Two copies with the legislative service commission;~~
 - (c) ~~Two copies with the state personnel board of review;~~
 - (d) ~~One copy with the department of administrative services.~~
- (2) ~~The office of human resources shall make available the full text of the rule or rules as adopted or amended to any person upon request.~~
- (3) ~~The office of human resources shall make a reasonable effort to post notices of any final rule for the employees who may be adversely affected by the adoption, amendment or rescission of such rule within thirty days after its adoption.~~

NEW RULE

3335-49-01 PROCEDURE FOR ADOPTION, AMENDMENT OR RESCISSION OF RULES AFFECTING PERSONS IN THE CLASSIFIED CIVIL SERVICE AT THE OHIO STATE UNIVERSITY.

- (A) THE ADOPTION, AMENDMENT OR RESCISSION OF ANY RULE AFFECTING EMPLOYEES IN THE CLASSIFIED CIVIL SERVICE AT THE OHIO STATE UNIVERSITY SHALL COMPLY WITH THE PROVISIONS OF SECTION 111.15 OF THE REVISED CODE.
- (B) THE PROPOSED NEW, AMENDED OR RESCINDED RULES SHALL BE PRESENTED TO THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES FOR APPROVAL.
- (C) AFTER THE OHIO STATE UNIVERSITY BOARD OF TRUSTEES HAS APPROVED THE PROPOSED RULES, AND AT LEAST TEN DAYS PRIOR TO THE EFFECTIVE DATE, ALL RULE(S) IN THEIR FINAL FORM SHALL BE FILED AS FOLLOWS:
- (1) EFFECTIVE OCTOBER 1, 2001 AND EXPIRING MARCH 31, 2002, ONE ELECTRONIC AND ONE PAPER COPY OF THE FINAL RULES SHALL BE FILED WITH BOTH THE SECRETARY OF STATE AND THE LEGISLATIVE SERVICE COMMISSION;
 - (2) EFFECTIVE APRIL 1, 2002, THE FINAL RULES SHALL BE FILED ELECTRONICALLY WITH BOTH THE SECRETARY OF STATE AND THE LEGISLATIVE SERVICE COMMISSION.
- (D) THE OFFICE OF HUMAN RESOURCES SHALL MAKE A REASONABLE EFFORT TO NOTIFY EMPLOYEES WHO MAY BE AFFECTED BY THE ADOPTION, AMENDMENT OR RESCISSION OF ANY RULE. THE OFFICE OF HUMAN RESOURCES SHALL MAKE AVAILABLE THE FULL

TEXT OF THE RULE OR RULES AS ADOPTED OR AMENDED TO ANY PERSON UPON REQUEST.

AMENDED RULES

3335-51-03 Certified status.

- (A) An employee ~~regularly~~ appointed from an ~~eligibility~~ ELIGIBLE list ~~is~~ ACHIEVES certified STATUS in that classification ~~and is required to serve~~ AFTER SERVING the probationary period for that classification stated in rule 3335-67-02 of the Administrative Code.
- (B) An employee provisionally appointed ~~becomes~~ ACHIEVES certified STATUS in that classification ~~according to the time stated in~~ ACCORDANCE WITH THE PROVISIONS OF rule 3335-65-11 of the Administrative Code.
- (C) Certified status is not available to employees who serve at the discretion of the appointing authority.

3335-51-04 Retention of certified status.

- (A) ~~A certified employee who receives a classification change due to a change in the classification plan retains certified status provided the employee was certified under the former plan at the time of the classification change.~~
- ~~(B)~~(A) A certified AN employee WITH CERTIFIED STATUS who receives a classification change shall retain certified status if:
 - ~~(1) the~~ THE change is DUE TO A CHANGE IN THE CLASSIFICATION PLAN₁
 - ~~(2) THE CHANGE IS to a~~ THE SAME OR LOWER classification within the ~~employee's same~~ classification series, ~~or~~
 - ~~(3) if the~~ THE reclassification is to a classification ~~outside of the employee's classification series but is to a classification~~, if any, determined by rule 3335-51-05 of the Administrative Code to be a classification with duties the same as or similar to those of the classification held by the employee immediately prior to the classification change₂, OR
 - ~~(4) THE EMPLOYEE IS RECLASSIFIED AS A RESULT OF A JOB AUDIT OR REVIEW, IN WHICH CASE THE EMPLOYEE SHALL HAVE CERTIFIED STATUS IN THE NEW CLASSIFICATION WITHOUT SERVING A NEW PROBATIONARY PERIOD.~~
- (C) ~~If a position held by a classified employee is reclassified as a result of a job audit or review to a classification series outside of the one in which the employee is certified, the employee shall be treated as having received a classification change for purpose of the application of this rule, shall not serve a probationary period and shall have certified status in the new classification.~~
- ~~(D)~~(B) At the discretion of the university, a certified AN employee WITH CERTIFIED STATUS may:
 - (1) Be re-employed or reinstated within one year of separation from service;
 - (2) Displace into a former classification held within the past five years pursuant to Chapter 3335-81 of the Administrative Code; or
 - (3) Accept an unclassified appointment₁ at which time certified status expires.

3335-51-05 Determination of classifications with same or similar duties.

The office of human resources has determined that there are no classifications ~~which~~ THAT have the same or similar duties for purposes of transferring certification or for exercising displacement procedures.

3335-55-03 Classification plan.

- (A) The university shall establish, modify, or repeal, by rule, a job classification plan for all positions, offices, and ~~employments~~ EMPLOYMENT in the classified civil service.
- (B) The university shall assign a classification title to each classification within the classification plan.
- (C) The university shall assign each classification to a pay range established under Chapter 3335-83 of the Administrative Code.
- (D) The university shall assign a numbering system for the classification process.
- (E) The following are the classifications to which the university shall assign all positions within the classified service which have not yet been reclassified under the broadbanded classification plan in rule 3335-55-08 of the Administrative Code:

Position classifications at
the Ohio state university

Pay range	Class	Title description
	10000	Account clerk series
24	10001	Account clerk 1
26	10002	Account clerk 2
27	10003	Account clerk 3
29	10004	Account clerk supervisor
	11000	Accounting series
27	11001	Accountant 1
29	11002	Accountant 2
31	11003	Accountant 3
33	11004	Accounting manager 1
	11100	Administrative series
28	11101	Administrative assistant 1
30	11102	Administrative assistant 2
	11110	Agricultural technician series
03	11111	Agricultural aide 1
04	11112	Agricultural aide 2
25	11113	Agricultural technician 1
26	11114	Agricultural technician 2
	12000	Air quality technician series
05	12001	Assistant air quality technician
07	12002	Air quality technician 1
08	12003	Air quality technician 2
	12100	Aircraft maintenance and operations series
06	12101	Aircraft attendant
09	12102	Aircraft mechanic 1
10	12103	Aircraft mechanic 2
	12110	Architectural series
29	12112	Architect associate
	12150	Animal aide/caretaker series
23	12151	Laboratory animal aide
23	12152	Animal caretaker
25	12153	Animal caretaker supervisor
26	12154	Animal caretaker coordinator

	12160	Animal health technician series
27	12161	Animal health technician
28	12162	Senior animal health technician
	12200	Audio/visual technician series
24	12201	Audio/visual technician
26	12202	Audio/visual repair worker
27	12204	Audio/visual services supervisor 1
28	12205	Audio/visual services supervisor 2
	12210	Automotive trades series
05	12211	Assistant automotive mechanic
07	12212	Automotive mechanic 1
08	12213	Automotive mechanic 2
29	12214	Automotive mechanic 3
	13000	Boiler operations series
04	13001	Boiler maintenance worker
06	13003	Boiler operator 2
06	13004	Boiler repair worker
07 <u>08</u>	13005	Boiler engineer
_____	13100	Broadcasting technicians series
06	13101	Broadcasting technician 1
07	13102	Broadcasting technician 2
29	13103	Broadcasting technician 3
	13110	Budget/management series
30	13111	Budget/management analyst 1
	13220	Building maintenance series
04	13221	Maintenance repair worker 1
05	13222	Maintenance repair worker 2
27	13223	Building maintenance supervisor
06	13224	Maintenance inspector
06	13225	Traffic & parking maintenance worker
07	13226	Maintenance repair worker 3
08	13227	Building systems inspector
<u>06</u>	<u>13223</u>	MAINTENANCE INSPECTOR
<u>06</u>	<u>13224</u>	TRAFFIC & PARKING MAINTENANCE WORKER
<u>07</u>	<u>13225</u>	MAINTENANCE REPAIR WORKER 3
<u>08</u>	<u>13226</u>	BUILDING SYSTEMS INSPECTOR
<u>27</u>	<u>13227</u>	BUILDING MAINTENANCE SUPERVISOR
29	13228	Building maintenance superintendent 1
31	13229	Building maintenance superintendent 2
	13250	Building operations technician series
00 <u>08</u>	13251	Building operations technician 1
00 <u>11</u>	13252	Building operations technician 2
	13300	Business management series
28	13301	Business services officer 1
29	13302	Business services officer 2
	13310	Carpentry series
05	13311	Assistant carpenter
07	13312	Carpenter 1
08	13313	Carpenter 2
29	13314	Carpentry supervisor

	13320	Cash collection and distribution series
23	13321	Cashier 1
25	13322	Cashier 2
27	13323	Cashier supervisor
	13330	Chemist series
28	13331	Chemist 1
29	13332	Chemist 2
31	13334	Chemical laboratory supervisor 1
	13400	Childcare series
22	13401	Early childhood teaching asst ASSISTANT 1
22	13402	Early intervention aide
23	13403	Early childhood teaching asst ASSISTANT 2
	13500	Clerk residence and dining series
02	13501	Clerk 1-r&d R&D
03	13502	Clerk 2-r&d R&D
	114000	Clothing series
02	14001	Fabric worker 1
03	14002	Fabric worker 2
	14010	Collections series
27	14011	Financial collection specialist
	14100	Communication technician series
25	14101	Communication technician 1
28	14102	Communication technician 2
29	14103	Communication technician 3
	14110	Computer operator series
27	14111	Computer operator 1
27	14112	Computer operations & production technician 1
28	14113	Computer operator 2
28	14114	Computer operations & production technician 2
29	14115	Computer operator 3
29	14116	Computer operations & production technician 3
29	14117	Computer operation supervisor 1
30	14118	Computer operator 4
30	14119	Computer operations & production supervisor
30	14120	Computer operation supervisor 2
	14150	Construction technician series
00 08	14151	Construction technician 1
00 11	14152	Construction technician 2
00 12	14153	Construction technician 3
	14200	Cultural support series
24	14201	Library assistant
25	14202	Library media technical assistant 1
26	14203	Library media technical assistant 2
27	14204	Library associate 1
28	14205	Library associate 2
28	14206	Medical records librarian
	14210	Data entry series
23	14211	Data entry operator 1
24	14212	Data entry operator 2
25	14213	Data entry operator 3

27	14214	Data entry supervisor 1
	14220	Data librarian series
24	14221	Data librarian 1
	14300	Data processing series
23	14301	Data processor 1
24	14302	Data processor 2
25	14303	Data processor 3
26	14304	Data processing supervisor 1
27	14305	Data processing supervisor 2
29	14306	Data systems coordinator 1
30	14307	Data systems coordinator 2
32	14308	Data systems coordinator 3
	14310	Data technician series
24	14311	Data technician 1
25	14312	Data technician 2
27	14313	Data technician supervisor
27	14314	Data control technician
	14320	Delivery worker series
04	14321	Delivery worker 1
05	14322	Delivery worker 2
	14330	Dental technology series
24	14331	Dental assistant 1
26	14332	Dental assistant 2
28	14333	Dental assistant 3
	14340	Dairy worker series
04	14341	Dairy worker 1
06	14342	Dairy worker 2
	14400	Design and drafting series
25	14401	Drafting technician 1
27	14402	Drafting technician 2
29	14404	Design technician 1
31	14405	Design technician 2
	14410	Dietary series
<u>02</u>	<u>14411</u>	<u>NUTRITION AIDE</u>
23	14411	Nutritionist specialist
24	14412	Nutritionist aide
<u>23</u>	<u>14413</u>	<u>NUTRITIONIST SPECIALIST</u>
	14420	Duplicating and printing series
25	14421	Printing machine operator 1
25	14422	Bindery operator 1
26	14423	Printing technician 1
26	14424	Printing machine operator 2
28	14425	Printing technician 2
28	14426	Printing machine operator 3
29	14427	Printing supervisor 1
30	14428	Printing supervisor 2
	14430	Electrician series
05	14431	Assistant electrician
07	14432	Electrician 1
08	14433	Electrician 2

	14440	Electronic technology series
27	14441	Electronic technician 1
28	14442	Electronic technician 2
29	14443	Electronic technician 3
	15000	Employment services series
27	15001	Employment services interviewer
27	15002	Employee benefits coordinator 1
30	15003	Payroll officer
	15200	Equipment maintenance series
28	15201	Equipment maintenance supervisor
30	15202	Equipment maintenance superintendent 1
31	15203	Equipment maintenance superintendent 2
	15220	Farm maintenance series
04	15221	Farm laborer 1
06	15222	Farm laborer 2
28	15223	Farm manager 1
29	15224	Farm manager 2
	15230	Facilities support services series
28	15231	Facilities support services specialist
	15310	Fiscal management series
29	15311	Budget officer 1
30	15312	Fiscal officer 1
32	15314	Fiscal officer 2
	15320	Food management series
01	15321	Food service worker
01	15322	Food service attendant
04	<u>15323</u>	CATERING OPERATIONS ASSISTANT
04	15323 <u>15324</u>	Food service supervisor 1
26	15324 <u>15325</u>	Food service supervisor 2
27	15325 <u>15326</u>	Food service manager 1
28	15326 <u>15327</u>	Food service manager 2
30	15327 <u>15328</u>	Food service manager 3
	15330	Food preparation series
02	15331	Food preparation worker
03	15332	Cook 1
04	15333	Baker 1
05	15334	Cook 2
06	15335	Baker 2
	15400	General clerical series
22	15401	Clerk 1
23	15402	Clerk 2
25	15403	Clerical specialist
26	15404	Clerical supervisor
28	15405	Office manager 1
29	15406	Office manager 2
	15410	Grants coordinator series
27	15412	Student financial aid specialist
27	15413	Student services counselor
	15420	Graphic arts series
27	15421	Graphic artist

28	15422	Layout design artist
	15430	Grounds maintenance series
24	15431	Golf course worker 1
04	15432	Groundskeeper 1
05	15433	Groundskeeper 2
26	15434	Golf course worker 2
06	15435	Groundskeeper 3
08	15436	Groundskeeper supervisor
29	15437	Golf course supervisor
	15440	Housekeeping services series
01	15441	Elevator operator
02	15442	Custodial worker
04	15443	Custodial work supervisor
25	15444	Housekeeping manager 1
27	15445	Housekeeping manager 2
28	15446	Assistant superintendent of buildings
29	15447	Housekeeping manager 3
30	15448	Superintendent of buildings
30	15449	Manager-environmental services
	15500	Institutional security series
23	15501	Security officer 1 COMMUNITY SERVICE OFFICER
26	15502	Security officer 2
27 26	15503	Security officer 3
28	15504 <u>15505</u>	Security supervisor
	15520	Inventory control series
26	15521	Inventory control specialist 1
28	15522	Inventory control specialist 2
	15530	Laboratory animal technology series
24	15531	Laboratory animal technician 1
27	15532	Laboratory animal technician 2
28	15533	Laboratory animal technologist
	15540	Laboratory machinist series
28	15541	Laboratory machinist 1
29	15542	Laboratory machinist 2
	15550	Laboratory technology series
23	15551	Laboratory assistant
24	15552	Laboratory technician 1
25	15553	Laboratory technician 2
27	15554	Laboratory technologist
	16000	Laborer series
02	16001	Laborer
	16110	Locksmith series
05	16111	Assistant locksmith
07	16112	Locksmith 1
08	16113	Locksmith 2
	16200	Maintenance machinist series
05	16201	Assistant maintenance machinist
07	16202	Maintenance machinist 1
08	16203	Maintenance machinist 2

	16210	Machinist series
05	16211	Assistant machinist
07	16212	Machinist 1
08	16213	Machinist 2
29	16214	Machinist supervisor
	16220	Management analysis series
27	16221	Records management officer 1
28	16222	Management analyst 1
29	16223	Records management officer 2
30	16224	Management analyst 2
	16300	Mason series
05	16301	Assistant mason
07	16302	Mason
	16310	Materials controller series
26	16311	Materials controller 1
27	16312	Materials controller 2
29	16313	Materials controller 3
	16320	Medical technology series
26	16321	Eeg technician
26	16322	Eeg <u>ECG</u> technician
	16330	Meat preparation series
04	16331	Meatcutter 1
	16340	Microbiologist series
28	16341	Microbiologist 1
29	16342	Microbiologist 2
31	16344	Microbiology supervisor 1
	16350	Medical technology computer series
26	16351	Eeg <u>ECG</u> system operator
	16360	Mechanical technician series
00 <u>07</u>	16361	Mechanical technician 1
00 <u>08</u>	16362	Mechanical technician 2
00 <u>11</u>	16363	Mechanical technician 3
	16400	Miscellaneous clerical series
03	16401	Mail clerk/messenger
04	16402	Mail clerk
26 <u>28</u>	16403	Mail center supervisor
	16410	Miscellaneous maintenance series
05	16411	Exterminator
	16420	Miscellaneous trades series
07	16422	Interior surfaces installer
07	16423	Insulation worker
	16430	Morgue series
25	16431	Morgue attendant
27	16432	Morgue supervisor
	16440	Mover series
04	16441	Mover 1
05	16442	Mover 2
08	16443	Moving supervisor

	16450	Model series
22	16451	Model
	16460	Nurse series
28	16461	Licensed practical nurse- Ces <u>CCS</u>
29	16462	Licensed practical nurse-Pharm, Ces <u>CCS</u>
	16500	Office machine operator series
22	16501	Office machine operator 1
23	16502	Accounting machine operator 1
24	16503	Office machine operator 2
25	16504	Accounting machine operator 2
25	16505	Office machine operator 3
	16510	Painter series
07	16511	Painter 1
08	16512	Painter 2
	16520	Parking facility series
03	16521	Parking facility attendant
27	16522	Parking facility superintendent
	16530	Personnel series
26	16531	Test monitor
26	16532	Personnel aide
28	16533	Personnel technician 1
28	16535	Personnel officer 1
30	16537	Personnel technician 2
30	16538	Personnel officer 2
	16550	Pharmaceutical series
25	16551	Pharmacy attendant
	16560	Photographic series
27	16561	Photographer 1
28	16562	Photographer 2
	16600	Photographic laboratory series
23	16601	Photo laboratory assistant
25	16602	Photo laboratory technician 1
26	16603	Photo laboratory technician 2
28	16604	Photo laboratory supervisor
	16610	Plant operations series
05	16611	Assistant stationary engineer
08	16612	Stationary engineer 1
29	16613	Plant maintenance engineer 1
09	16614	Stationary engineer 2
30	16615	Plant maintenance engineer 2
	16620	Plasterer series
04	16621	Assistant plasterer
07	16622	Plasterer
	16630	Plumber series
05	16631	Assistant plumber
07	16632	Plumber 1
08	16633	Plumber 2
	16640	Police series
50	16641	University law enforcement officer

32	16644	University law enforcement officer supervisor
	16660	Project engineer series
31	16661	Project engineer 1
32	16662	Project engineer 2
33	16663	Project engineer 3
	17000	Project inspector series
26	17001	Project inspector 1
28	17002	Project inspector 2
29	17003	Project inspector 3
	17100	Public information and inquiry series
27	17101	Public inquiries assistant
27	17102	Information writer 1
28	17103	Publication specialist 1
29	17104	Information writer 2
30	17105	Public information specialist
31	17107	Publications editor
	17200	Purchasing series
25	17201	Purchasing assistant 1
26	17202	Purchasing assistant 2
28	17204	Purchasing agent 1
30	17205	Purchasing agent 2
31	17206	Purchasing administrator 1
32	17207	Purchasing administrator 2
	17210	Radio dispatcher series
25	17211	Radio dispatcher 1
26 <u>28</u>	17212	Public safety dispatcher 1
27	17213	Radio dispatcher 2
28 <u>29</u>	17214	Public safety dispatcher 2
	17220	Research vessel operator series
08	17221	Research vessel operator
	17310	Sales service series
22	17311	Sales clerk 1
24	17312	Sales clerk 2
25	17313	Assistant sales manager 1
26	17314	Assistant sales manager 2
26	17315	Sales manager 1
27	17316	Sales manager 2
28	17317	Sales manager 3
	17320	Sheet metal series
05	17321	Assistant sheet metal worker
07	17322	Sheet metal worker 1
08	17323	Sheet metal worker 2
29	17324	Sheet metal supervisor
	17330	Sign shop series
04	17331	Sign worker 1
05	17332	Sign worker 2
07	17333	Sign supervisor 1
08	17334	Sign supervisor 2
30	17335	Sign shop superintendent
	17340	Sponsored programs series

27	17341	Sponsored programs assistant 1
28	17342	Sponsored programs assistant 2
	17420	Steam fitter series
05	17421	Assistant steam fitter
07	17422	Steam fitter
	17430	Stores series
03	17431	Stores clerk
24	17432	Mechanical stores clerk
04	17433	Chemical stores clerk
05	17434	Storekeeper 1
06	17435	Chemical storekeeper 1
27	17436	Storekeeper 2
28	17437	Storekeeper 3
	17440	Surveyor series
28	17441	Surveyor 1
30	17442	Surveyor 2
	17450	Systems technician series
00 07	17451	Systems technician 1
00 08	17452	Systems technician 2
00 12	17453	Systems technician 3
	17500	Telephone operator series
23	17501	Telephone operator 1
24	17502	Telephone operator 2
24	17504	Hospital telecommunications assistant
28	17504	Telephone coordinator
	17510	Therapy and institutional services series
24	17511	Hospital aide
24	17512	Activities aide
<u>04</u>	<u>17513</u>	<u>PATIENT TRANSPORTER</u>
04	17514	Patient services associate
25	17515	Psychiatric aide
25	17516	Therapy aide
05	17517	Perioperative technician
	17520	Treatment plant operations series
06	17521	Treatment plant aide
07	17522	Treatment plant operator
	17530	Traffic control series
26	17532	Traffic control officer
28	17533	Traffic control officer supervisor
	17540	Typing and secretarial series
23	17541	Typist 1
24	17542	Typist 2
25	17545	Technical typist
26	17546	Secretary 1
27	17547	Secretary 2
28	17548	Administrative secretary 1
30	17549	Administrative secretary 2
	17550	Upholsterer series
05	17552	Upholsterer 1
06	17553	Upholsterer 2

	17560	Vehicle and equipment operator series
04	17561	Vehicle operator 1
05	17562	Equipment operator 1
06	17563	Vehicle operator 2
06	17564	Equipment operator 2
07	17565	Equipment operator 3
	17570	Welder series
05	17571	Assistant welder
07	17572	Welder 1
08	17573	Welder 2
	17580	Word processing series
25	17582	Word processing operator
26	17583	Word processing specialist
27	17584	Word processing supervisor
27	17585	Medical transcriptionist
	17590	X-ray technology series
23	17591	X-ray developer
25	17592	Radiology service associate
26	17593	X-ray technician 1
27	17594	X-ray technician 2
	18000	Zone maintenance technician series
00 <u>03</u>	18001	Zone maintenance technician 1
00 <u>07</u>	18002	Zone maintenance technician 2
00 <u>11</u>	18003	Zone maintenance technician 3
	20000	Automation technician series
07	20001	Automation technician 1
09	20002	Automation technician 2
10	20003	Automation technician 3
	21000	Dietetic clerk series
03	21001	Dietetic clerk
	22000	Phlebotomist series
24	22001	Assistant phlebotomist
25	22002	Phlebotomist 1
26	22003	Phlebotomist 2
27	22004	Phlebotomist supervisor
	22100	Anesthesia series
26	22101	Anesthesia technician 1
27	22102	Anesthesia technician 2
	22200	Sterile supply series
04	22201	Sterile supply technician 1
06	22202	Sterile supply technician 2
	23000	Unit clerical series
25	23001	Unit clerical associate
25	23003	Unit clerical coordinator
26	23004	Unit clerical supervisor
	23100	Hospital admitting series
25 <u>26</u>	23101	Admitting representative

27	23102	Patient admitting representative
_____	23200	Medical clerical support series
27	23201	Medical secretary 1
28	23202	Medical secretary 2
_____	26520	Fire safety series
31	26523	Fire safety inspector supervisor

3335-55-04 Specifications.

- (A) The university shall prepare specifications for each classification in the classified service. Specifications shall include a listing of any parenthetical sub-titles, if any are established for the classifications. A copy of each specification shall be filed with the secretary of state. The qualifications listed in the specifications shall be followed in determining admittance to competitive or non-competitive examinations. Where parenthetical sub-titles have been established, such sub-titles shall be included in the announcement of examinations.
- (B) Each classification title in ~~rule~~ RULES 3335-55-03 AND 3335-55-08 of the Administrative Code shall have a corresponding classification specification ~~which~~ THAT sets forth the function statement and minimum qualifications. The function statement shall set forth the mandatory duties that must be satisfied at least ~~twenty~~ FIFTY per cent of the time, unless otherwise stated in the function statement.
- ~~(C)~~ Each classification title in ~~rule 3335-55-08 of the Administrative Code shall have a corresponding classification specification which sets forth the function statement and minimum qualifications. The function statement shall set forth the mandatory duties that must be satisfied at least fifty per cent of the time, unless otherwise stated in the function statement.~~
- ~~(D)~~(C) For the purpose of classifying positions and making job audit or review decisions only, wherever the word "supervises" appears in a classification specification, unless otherwise defined in the specification, "supervises" means that an employee assigns and reviews work, completes employee performance management procedures, rewards exemplary employee performance, recommends disciplinary action, adjusts grievances, and requires the use of independent judgment in exercising authority.

3335-57-01 Character and fitness of applicant.

- (A) All statements submitted by applicants relating to character and fitness are subject to investigation by the university. Satisfactory information produced to the university, either before or after examination, that the applicant has committed acts which demonstrate character traits which would be detrimental to the successful performance of the employment sought, including but not limited to: the applicant's dismissal for cause from any previous employment, a conviction of a felony, an established pattern of poor work habits and performance with previous employers; is sufficient to exclude the applicant from examination or to remove the applicant from any ~~eligibility~~ ELIGIBLE list. The university will notify the applicant and provide the applicant with an opportunity to respond. This rule does not exclude the university from taking appropriate disciplinary action after appointment.
- (B) ANY EMPLOYEE TERMINATED FOR CAUSE FROM UNIVERSITY EMPLOYMENT IS INELIGIBLE PERMANENTLY FOR RE-EMPLOYMENT WITH THE UNIVERSITY.

3335-57-04 Incomplete application.

Prior to taking the examination, applicants shall submit applications showing that the applicant meets the minimum qualifications stated on ~~an~~ THE examination announcement. An incomplete application may be returned to the applicant for correction. An applicant may correct an incomplete application as long as any necessary corrections are made within ten calendar days of receiving notification of an incomplete application. Failure to provide a completed application within the above time frame results in the applicant not being eligible for THAT examination.

3335-59-01 Examination sites and notices.

- (A) The office of human resources administers examinations for original appointment in the classified service at such places as the university deems advisable.
- ~~(B)~~ ~~When the office of human resources limits examinations for original appointment to a district, county, or city; notice of the examination is mailed for public posting at least two weeks before the last day for filing applications to the clerk of the court of common pleas in the district or county in which the employment is located.~~
- ~~(C)~~(B) Notice of all examinations for original appointments are posted FOR AT LEAST TWO WEEKS in the office of human resources and are available to such other persons or organizations interested in the available positions or able to furnish qualified applicants for examination. In addition, notices may be sent to newspapers of general circulation in the area serviced by the ~~eligibility~~ ELIGIBLE list.
- ~~(D)~~(C) Notice of promotional opportunities and examinations are ~~sent~~ MADE AVAILABLE to the colleges/departments, ~~and made available to employees,~~ AND THE GENERAL PUBLIC.

3335-59-03 Examination grades.

The office of human resources determines a passing grade for each examination ~~which is a matter of record.~~ An applicant passes an examination when the applicant receives a passing grade on all parts of the examination.

3335-59-04 ~~Medical~~ PRE-EMPLOYMENT MEDICAL and psychological examinations.

- (A) Some civil service positions require a medical AND/or psychological examination in relation to essential job functions. For such positions, the university may require a medical AND/or psychological examination and will publish that requirement in the examination announcement or vacancy posting.
- (B) A candidate may be required to pass a medical AND/or psychological examination as a condition for employment. The examination shall occur after the conditional offer of employment but before the commencement of duties, and such offer of employment shall be contingent upon passing the examination.
- (C) When required by the university, an applicant shall furnish medical information from a licensed practitioner who has ~~direct~~ SUFFICIENT medical knowledge as to the applicant's condition as it relates to the essential job requirements of the position. The university reserves the right to designate the examining licensed practitioner. The university shall pay for required examinations.
- (D) ~~Reports prepared from a required examination are treated as a confidential record. Disclosure of any reports prepared by the examining licensed practitioner may be released, in accordance to Chapter 1347 of the Revised Code, to:~~
 - (1) ~~The subject of the examination;~~
 - (2) ~~A licensed practitioner designated by the subject of the examination;~~
 - (3) ~~An individual acting on behalf of the subject of the examination with a valid written release;~~
 - (4) ~~Supervisors and managers, provided that they are only informed regarding necessary restrictions on the work or duties of the employee and necessary accommodations;~~
 - (5) ~~First aid and safety personnel if the disability might require emergency medical treatment.~~

MEDICAL AND PSYCHOLOGICAL REPORTS SHALL BE MAINTAINED AS CONFIDENTIAL RECORDS TO THE EXTENT ALLOWABLE PURSUANT TO SECTION 149.43 OF THE REVISED CODE AND CHAPTER 1347. OF THE REVISED CODE.

3335-59-07 Inspection of examination results.

- (A) Within ten days of receiving the examination grade, applicants may review their examination results and may submit any written objection or protest concerning the grade to the office of human resources.
- (B) If the objection or protest relates to the conduct of examiners, the securing of unlawful assistance by a competitor, or such other circumstances in connection with an examination as would call for an investigation on the part of the university, then the information submitted will be kept in confidence to the extent allowable by law.
- (C) Examination grades will not be changed unless due to university clerical error or ~~as a result of IF,~~ IN the university's JUDGMENT, SUCH ACTION IS MERITED FOLLOWING AN investigation of an applicant's complaint.
- (D) Applicants who review their examination results may not repeat an examination for the same classification within a six-month period following the inspection unless an alternate form of examination is given.
- (E) Applicants may not inspect standardized examinations where such inspection would tend to reduce the validity of test results.

3335-63-02 Placement on additional lists.

- (A) Applicants qualifying by examination for a given classification may, at the discretion of the office of human resources, also be placed on additional ~~eligibility~~ ELIGIBLE lists based on the qualifications and standards of the additional classifications.
- (B) Appointment from one ~~eligibility~~ ELIGIBLE list removes the ~~eligible's~~ CERTIFIED ELIGIBLE APPLICANT'S name from all other ~~eligibility~~ ELIGIBLE lists.

3335-63-03 Re-examinations; revocation of lists.

- (A) If, in the judgment of the office of human resources, there are errors or fraud in connection with any examination, the office of human resources may re-examine the applicants and amend the ~~eligibility~~ ELIGIBLE list in accordance with results of such re-examination. If an ~~eligibility~~ ELIGIBLE list is revoked after being posted, all ~~eligibles~~ CERTIFIED ELIGIBLE APPLICANTS on that list are notified.
- (B) An appointment made from an ~~eligibility~~ ELIGIBLE list shall be valid even if fraud or error is discovered after the appointment is made, provided the person appointed was not involved in such fraud or error.

3335-65-01 Requests to fill vacancies; time limit for selection.

- (A) Whenever civil service vacancies are filled other than by promotion, transfer, DEMOTION, reinstatement, displacement or reduction IN FORCE, the college/department shall request names of CERTIFIED eligible applicants from the office of human resources.
- (B) The office of human resources shall cancel the position vacancy notice if a college/department fails to select ~~an~~ A CERTIFIED eligible APPLICANT within six months of the posting of the vacancy.

3335-65-02 Number of eligibles CERTIFIED ELIGIBLE APPLICANTS referred.

- (A) Upon a request for applicants to fill a vacancy, the office of human resources shall refer from the appropriate ~~eligibility~~ ELIGIBLE list ten applicants ranking highest on such list. If more than one vacancy exists, the number of applicants referred are:
- (1) Fifteen names for two to four vacancies;
 - (2) Twenty names for five to eight vacancies;
 - (3) Twenty-five names for nine to twelve vacancies; AND
 - (4) Thirty names for thirteen to sixteen vacancies.
- (B) In hiring from the list of ~~eligibles~~ CERTIFIED ELIGIBLE APPLICANTS, the "rule of ten" shall be followed: one appointment shall occur from the list of ten CERTIFIED eligible applicants.
- (C) If one or more of the applicants named in the original ~~eligibility~~ ELIGIBLE list withdraws from consideration or fails to reply to notice of referral, the office of human resources may include additional names from the ~~eligibility~~ ELIGIBLE list.
- (D) Where fewer than ~~six~~ TEN names exist on an ~~eligibility~~ ELIGIBLE list for any vacancy, the college/department may appoint from that list or defer until a complete ~~eligibility~~ ELIGIBLE list exists. If the vacancy is not filled in this manner, the office of human resources may refer any qualified persons to the college/department for provisional appointment until a full ~~eligibility~~ ELIGIBLE list exists.

3335-65-03 Limitation on referrals.

- (A) The office of human resources may refer ~~the same~~ A CERTIFIED ELIGIBLE applicant up to four times, ~~unless a college/department specifically requests a waiver of this rule FOR A CLASSIFICATION.~~ After four referrals without appointment OR IF THE CERTIFIED ELIGIBLE APPLICANT DECLINES APPOINTMENT, the CERTIFIED ELIGIBLE applicant ~~is~~ SHALL BE removed from the ~~eligibility~~ ELIGIBLE list, UNLESS THE OFFICE OF HUMAN RESOURCES, IN ITS DISCRETION, WAIVES ITS LIMITATION ON REFERRALS FOR THAT CIRCUMSTANCE.
- (B) ~~An applicant may decline a seasonal, intermittent or temporary appointment without affecting the right of that applicant to remain on an eligibility list for appointment to a different appointment category.~~ DECLINING A PART-TIME, SEASONAL, INTERMITTENT OR TEMPORARY APPOINTMENT DOES NOT AFFECT THE RIGHT OF THAT CERTIFIED ELIGIBLE APPLICANT TO REMAIN ON THE ELIGIBLE LIST FOR APPOINTMENT TO A FULL-TIME APPOINTMENT.

3335-65-04 Reply by CERTIFIED eligible candidate APPLICANT.

- (A) The office of human resources shall notify ~~an~~ A CERTIFIED ELIGIBLE applicant when a referral of that CERTIFIED ELIGIBLE applicant is made to a college/department.
- (B) All CERTIFIED ELIGIBLE applicants notified of a referral shall communicate with the office of human resources within three business days from notification.
- (C) The office of human resources shall remove ~~an~~ A CERTIFIED ELIGIBLE applicant from an ~~eligibility~~ ELIGIBLE list for failure to respond in a timely manner to the notice of referral. Applicants CERTIFIED ELIGIBLE APPLICANTS so removed from the ~~eligibility~~ ELIGIBLE list shall not be restored except upon written request presenting satisfactory reasons for failure to respond to the notice of referral.

3335-65-06 Removal from an ~~eligibility~~ ELIGIBLE list.

- (A) When an A CERTIFIED eligible APPLICANT indicates a lack of interest in the position or declines an offer of appointment, the office of human resources shall remove the ~~eligible's~~ CERTIFIED ELIGIBLE APPLICANT'S name from the ~~eligibility~~ ELIGIBLE list, unless:
- (A) ~~The applicant's reported decision is reviewed or investigated by the office of human resources and the eligible's name may be retained or restored to the list following such review or investigation;~~
- (B) ~~Illness~~ ILLNESS, military service, or conflict with schooling is the basis of the decision, THEN the applicant's name shall be restored to the ELIGIBLE list when the applicant indicates availability for consideration;
- (C)(B) Any AN applicant whose name was removed from an ~~eligibility~~ ELIGIBLE list may be restored to the ~~eligibility~~ ELIGIBLE list at the discretion of the office of human resources.

3335-65-08 Temporary employment.

- (A) Temporary employment ~~to~~ IN a classified title is an ~~original~~ appointment ~~which~~ THAT SERVES AT THE DISCRETION OF THE APPOINTING AUTHORITY AND:
- (1) Is for a limited duration;
 - (2) Is for a specific project;
 - (3) Augments regular staff due to increased work loads or staff shortages; or
 - (4) Replaces a regular employee during an absence due to illness, leave of absence, or vacation; ~~and~~
 - (5) ~~Serves at the discretion of the appointing authority.~~
- (B) When temporary services are required, the college/department shall contact the office of human resources, stating the anticipated duration of such temporary employment and other conditions of employment, and may select FROM AN APPROPRIATE ELIGIBLE LIST an applicant ~~from an appropriate eligibility list,~~ who, after notice of the conditions of employment, is willing to accept.
- (C) Neither accepting nor declining temporary employment shall affect the ability of an A CERTIFIED eligible APPLICANT to be considered for a regular appointment, nor shall acceptance confer the ability to be promoted, transferred, or reinstated. The period of temporary service shall not be credited as part of the probationary period when a subsequent appointment to a regular position is made in the same classification at the university.
- (D) Temporary appointments shall have a maximum duration of six months except when A LONGER DURATION IS made necessary by reason of sickness or disability of a regular employee. Temporary employees replacing sick or disabled regular employees may continue in that position for the length of sickness or disability.
- (E) Successive temporary appointments to the same position shall not occur.

3335-65-09 Seasonal employment.

- (A) Seasonal employment is a regular appointment where the service is for a specified period of time during a particular time of the year, and recurs in each successive calendar year. Persons appointed to seasonal positions, WHO ARE temporarily separated from the service during the inactive season, shall return to the same position each ensuing year unless the employee is disqualified for any reason or not assigned to work for a period of one year, due to lack of work or refusal of work by the employee.

- (B) Reduction in force, Chapter 3335-81 of the Administrative Code, does not apply when a seasonal employee completes the active work season and begins the inactive season.
- (C) ~~Neither accepting nor declining~~ DECLINING seasonal employment ~~affects~~ DOES NOT AFFECT the ability of ~~an~~ A CERTIFIED eligible APPLICANT to be considered for other regular appointments, ~~nor shall acceptance confer the ability to be promoted, transferred, or reinstated.~~
- (D) ONCE A CERTIFIED ELIGIBLE APPLICANT ACCEPTS A SEASONAL APPOINTMENT:
 - (1) WHILE THE SEASONAL EMPLOYEE IS IN AN ACTIVE PAY STATUS, THE SEASONAL EMPLOYEE MAY APPLY AS AN INTERNAL CANDIDATE FOR POSITIONS WITHIN THE UNIVERSITY, PROVIDED THE EMPLOYEE HAS COMPLETED THE PROBATIONARY PERIOD WITHIN THAT CLASSIFICATION.
 - (2) WHILE THE SEASONAL EMPLOYEE IS INACTIVE, THE SEASONAL EMPLOYEE MAY APPLY AS AN EXTERNAL CANDIDATE FOR POSITIONS WITHIN THE UNIVERSITY.

3335-65-10 Intermittent employment.

- (A) ~~An intermittent employee serves at the discretion of the appointing authority and~~ INTERMITTENT EMPLOYMENT is an appointment where the employee works irregular hours or days on an as-needed basis. A CLASSIFIED INTERMITTENT EMPLOYEE SERVES AT THE DISCRETION OF THE APPOINTING AUTHORITY.
- (B) Neither accepting nor declining intermittent employment affects the ability of ~~an~~ A CERTIFIED eligible APPLICANT to be considered for a regular appointment, nor shall acceptance confer the ability to be promoted, transferred, or reinstated.

3335-65-11 Provisional appointments.

- (A) Upon receipt of a request to fill a vacant position for which no appropriate or complete ~~eligibility~~ ELIGIBLE list is available, the office of human resources may refer applicants who are qualified to fill the position and appoint the selected applicant on a provisional basis.
- (B) ~~A provisional employee who passes the examination for that position shall be considered appointed from an eligibility list. If a provisional appointment does not pass the examination, or does not take an examination for that position when offered, then that employee shall be replaced by an employee from the eligibility list within thirty days. At the discretion of the university, provisional appointees who are displaced by an employee appointed from an eligibility list may re-enter the university classified service as a new employee. A provisional appointment achieves certified status by passing an examination for the classification in which they serve under division (B) of section 124.25 of the Revised Code or by serving for two years in the classification series under section 124.271 of the Revised Code.~~ MAY ACHIEVE CERTIFIED STATUS BY EITHER OF THE FOLLOWING OPTIONS, WHICHEVER HAPPENS FIRST:
 - (1) SUCCESSFULLY SERVING THE PROBATIONARY PERIOD STATED IN RULE 3335-67-02 OF THE ADMINISTRATIVE CODE; OR
 - (2) PASSING THE EXAMINATION FOR THAT CLASSIFICATION.
 - (a) IF A PROVISIONAL EMPLOYEE DOES NOT PASS THE EXAMINATION, OR DOES NOT TAKE THE EXAMINATION FOR THE CLASSIFICATION WHEN IT IS OFFERED, THEN THAT EMPLOYEE SHALL BE REPLACED BY AN EMPLOYEE FROM THE ELIGIBLE LIST.
 - (b) AT THE DISCRETION OF THE UNIVERSITY, A PROVISIONAL EMPLOYEE WHO IS DISPLACED BY AN EMPLOYEE APPOINTED FROM AN ELIGIBLE LIST MAY RE-ENTER THE UNIVERSITY CLASSIFIED SERVICE AS A NEW EMPLOYEE.

(C) AFTER ACHIEVING CERTIFIED STATUS PURSUANT TO THIS RULE, THE EMPLOYEE IS NOT SUBJECT TO DISPLACEMENT BY A PERSON ON THE ELIGIBLE LIST.

3335-67-01 Nature of the probationary period.

(A) Employees serve a probationary period following:

- (1) An original CLASSIFIED appointment (either by appointment from an ~~eligibility~~ ELIGIBLE list, or provisionally);
- (2) A promotion; or
- (3) A lateral change from one classification to another OUTSIDE OF THE CLASSIFICATION SERIES.

(B) Employees whose titles are changed through the reclassification process do not serve a new probationary period.

(C) If an employee's job performance does not meet the expectations of the position following an original appointment, lateral ~~transfer~~ CHANGE OUTSIDE OF THE EMPLOYEE'S CLASSIFICATION SERIES, or promotion, then ~~the following may occur:~~

~~(1) Following an original appointment, an employee removed for cause during the first half of the probationary period shall receive a written statement giving the statutory grounds for dismissal under section 124.34 of the Revised Code, and the employee may appeal the removal to the state personnel board of review not more than ten calendar days after receiving the notice of removal.~~

~~(2)~~(1) Following an original appointment, an employee may be ~~removed~~ TERMINATED AT ANY TIME during the ~~second half of the~~ probationary period; OR

~~(3)~~(2) Following a PROMOTION OR A lateral change ~~from one classification to another or promotion~~ OUTSIDE OF THE EMPLOYEE'S CLASSIFICATION SERIES, an employee may be returned to the former classification at any time during the ~~second half of the~~ probationary period.

(D) When an employee is ~~removed~~ TERMINATED or ~~demoted~~ RETURNED TO THE FORMER CLASSIFICATION during the probation period, the university shall send a written notice ~~signed by the appointing authority~~ to the employee. THIS TERMINATION OR RETURN TO THE FORMER CLASSIFICATION IS NOT SUBJECT TO APPEAL.

(E) Any employee ~~removed~~ TERMINATED from employment during a probationary period, except when ~~removed~~ TERMINATED for cause, may be restored to the ~~eligibility~~ ELIGIBLE list at the discretion of the office of human resources.

(F) AN EMPLOYEE TERMINATED FROM EMPLOYMENT DURING A PROBATIONARY PERIOD, EXCEPT WHEN TERMINATED FOR CAUSE, IS NOT ELIGIBLE TO REAPPLY FOR THE SAME OR HIGHER CLASSIFICATION FOR A PERIOD OF ONE YEAR FROM THE TERMINATION DATE UNLESS RESTORED TO THE ELIGIBLE LIST AT THE DISCRETION OF THE OFFICE OF HUMAN RESOURCES.

(G) ANY EMPLOYEE TERMINATED FOR CAUSE FROM UNIVERSITY EMPLOYMENT IS PERMANENTLY INELIGIBLE FOR RE-EMPLOYMENT WITH THE UNIVERSITY.

3335-71-01 Transfer or reassignment REASSIGNMENT of POSITION AND/OR work location.

- (A) The university may temporarily or permanently ~~transfer~~ REASSIGN an employee from ~~one position to another~~ having the same or similar classification within the same jurisdiction of the university ~~in accordance with section 124.33 of the Revised Code.~~
- (B) The university ~~shall provide written notice of a transfer or reassignment to the employee concerned at least fourteen calendar days prior to the effective date of the change, except where an emergency renders it impractical~~ MAY TEMPORARILY OR PERMANENTLY REASSIGN AN EMPLOYEE'S WORK LOCATION WITHIN THE SAME JURISDICTION OF THE UNIVERSITY.
- (C) ~~Prior to an employee being eligible for transfer, an employee shall successfully complete the required probationary period for that classification.~~ THE UNIVERSITY WILL PROVIDE REASONABLE NOTICE OF THE REASSIGNMENT PRIOR TO THE EFFECTIVE DATE OF THE CHANGE, EXCEPT WHERE AN EMERGENCY RENDERS ADVANCE NOTICE IMPRACTICAL.
- (D) The university may temporarily or permanently reassign an employee's work location within the same jurisdiction of the university.

3335-71-02 Filling vacancy by promotion.

- (A) Whenever a vacancy occurs in a position having a classification above the lowest grade in a series, the appointing authority determines whether the position shall be filled using a promotional examination, an open-competitive examination, or performance related criteria without testing.
- (B) If the vacancy is filled by promotional examination, the office of human resources determines which classes of ~~certified~~ employees WHO HAVE ACHIEVED CERTIFIED STATUS are eligible to compete. Promotional examinations shall consist of a competitive examination and shall include ratings for seniority. When a promotional examination is held, the ~~eligibility~~ ELIGIBLE list resulting from such examination shall be used to fill the vacancy.
- (C) If the office of human resources determines that a promotional examination is impractical, an open-competitive examination may be used. If an open-competitive examination is held, the procedures defined in Chapters 3335-59 and 3335-63 of the Administrative Code apply.
- (D) Whenever any names are on a promotional ~~eligibility~~ ELIGIBLE list for a given classification, that list shall be used in preference to an open-competitive list covering the same classification. Promotional and open-competitive lists may be combined by placing the names from a promotional list ahead of those from an open-competitive list.
- (E) Once the office of human resources creates a promotional ~~eligibility~~ ELIGIBLE list and submits the names of the three employees having the highest ranking to the college/department; the college/department shall make the promotional appointment within six months, or shall cancel the position vacancy notice.
- (F) If the office of human resources determines that a promotional examination or an open-competitive examination are not necessary or practicable, an eligible employee may be promoted using performance related criteria without testing. In such cases, the employment records should contain appropriate documents showing the employee is entitled to promotion by reasons of effective performance, conduct, and capacity in office, and possesses the requisite qualifications. The office of human resources shall, in each case, determine which persons are eligible for promotion by reason of direct previous work experience ~~which~~ THAT would be preparation for the higher position.

3335-71-03 Preliminary requirements for promotion OR TRANSFER.

- (A) Employees eligible for promotion OR TRANSFER shall have all qualifications prescribed in the specifications for the position sought, unless persons fully meeting the qualifications are not available or an employee possesses other special qualifications ~~which~~ THAT would make that employee a strong candidate for promotional consideration.

(B) PRIOR TO AN EMPLOYEE BEING ELIGIBLE TO APPLY FOR A PROMOTION OR TRANSFER, AN EMPLOYEE SHALL ACHIEVE CERTIFIED STATUS IN THE EMPLOYEE'S CURRENT CLASSIFICATION.

~~(B)~~ (C) A promotion is available only to employees who have completed their probationary period and for whom at least the two most recent performance reviews have met the expectations required of their current position.

3335-71-04 Seniority credit for promotion.

(A) The final score of an employee in a promotional examination shall include adding percentage points to the passing score obtained on the examination for years of public service with THE STATE OF Ohio, or political subdivisions thereof, as follows:

- (1) One percentage point for the first four full years of service; AND
- (2) Six-tenths of one percentage point for each full year of the next ten years of service.

(B) An employee must achieve a passing grade on the examination prior to the addition of percentage points for service. Points for service shall not exceed ten per cent of the highest score attainable for any examination.

(C) The office of human resources determines the type of service considered for seniority purposes for each promotional examination and shall announce that determination in the examination bulletin.

3335-73-01 Unpaid leaves of absence.

(A) The university may grant a leave of absence without pay to a classified civil service employee. Such leave may be for personal or medical reasons for up to a maximum duration of six months, and may not be renewed or extended.

~~(B) If an illness or injury continues beyond the maximum duration of leave, the university may place the employee on disability separation in accordance with this chapter. A LEAVE DUE TO MEDICAL REASONS MUST COMPLY WITH THE FOLLOWING PROVISIONS:~~

(1) MEDICAL REASONS MAY INCLUDE, BUT NOT BE LIMITED TO, THE EMPLOYEE'S OWN INJURY, ILLNESS, OTHER MEDICAL CONDITION, OR WHEN AN EMPLOYEE BECOMES INCAPACITATED TO THE EXTENT THAT THE EMPLOYEE IS UNABLE TO PERFORM THE ESSENTIAL DUTIES OF THE POSITION.

(2) HE EMPLOYEE MUST STATE A DATE BY WHICH THE EMPLOYEE WILL RETURN TO WORK. IF NO DATE OF RETURN CAN BE STATED BY THE EMPLOYEE OR IF THE NEED FOR LEAVE CONTINUES BEYOND SIX MONTHS, THE UNIVERSITY MAY PLACE THE EMPLOYEE ON DISABILITY SEPARATION PURSUANT TO THIS CHAPTER.

(3) APPROPRIATE MEDICAL DOCUMENTATION MUST BE SUBMITTED FROM A LICENSED PRACTITIONER WHEN APPLYING FOR THE LEAVE OF ABSENCE.

(C) Upon completion of such leave of absence, the employee shall return to the same or similar position of employment. IF THE LEAVE WAS FOR MEDICAL REASONS, APPROPRIATE MEDICAL DOCUMENTATION FROM A LICENSED PRACTITIONER MAY BE REQUIRED TO VERIFY THAT THE EMPLOYEE HAS BEEN RELEASED TO RETURN TO WORK. The employee may return to active pay status prior to the originally scheduled expiration of the leave, if the earlier return is agreed to by both employee and university.

- (D) Failure to return to service within three working days of the completion or valid cancellation of a leave of absence without explanation to the appointing authority is cause for ~~removal from service~~ TERMINATION OF EMPLOYMENT. An employee who fails to return to service from a leave of absence and is subsequently ~~removed~~ TERMINATED or voluntarily resigns from the service is deemed to have a termination date corresponding to the starting date of the leave of absence.
- (E) If it is determined that the leave of absence is not being used for the purpose for which it was granted, the university may cancel the leave and direct the employee to return to work by giving written notice BY REGULAR MAIL to the employee. THE EMPLOYEE MAY BE SUBJECT TO DISCIPLINARY ACTION UP TO AND INCLUDING TERMINATION FOR ANY MISUSE OF THE LEAVE OF ABSENCE.

TO BE RESCINDED

~~3335-73-02 Military leave.~~

- (A) An employee
 - (1) ~~Who enlists or is commissioned in the United States armed forces, may request military leave of absence; or~~
 - (2) ~~Who is a member of the Ohio national guard, Ohio military reserve, the Ohio naval militia, or is in the reserves for any branch of the United States armed forces, may request a military short term leave of absence.~~
- (B) ~~Following a military leave of absence, the employee shall return, within thirty days of the request of re-employment, to the same or similar position regardless of the employee's civil service status or the existence of an eligibility list.~~
- (C) ~~Following a military leave of absence, the university shall reinstate the employee and the employee shall receive all salary benefits or other advancements accruing to the position during the military leave of absence.~~
- (D) ~~This rule does not apply to any employee who, by re-enlisting or extending active duty beyond the commission, displays an intent to remain on extended active duty in the armed services of the United States.~~
- (E) ~~Employees reinstated after military leave shall not be terminated without cause from their position within one year of reinstatement.~~

NEW RULE

3335-73-02 MILITARY LEAVE.

- (A) AN EMPLOYEE WHO ENLISTS OR IS COMMISSIONED IN THE UNITED STATES ARMED FORCES OR WHO IS A MEMBER OF THE OHIO NATIONAL GUARD, OHIO MILITARY RESERVE, THE OHIO NAVAL MILITIA, OR IS IN THE RESERVES FOR ANY BRANCH OF THE UNITED STATES ARMED FORCES, MAY REQUEST A MILITARY LEAVE OF ABSENCE. SUCH MILITARY LEAVE OF ABSENCE MAY BE REQUESTED FOR THE PERFORMANCE OF DUTY ON A VOLUNTARY OR INVOLUNTARY BASIS AND INCLUDES ACTIVE DUTY, ACTIVE DUTY FOR TRAINING, INITIAL ACTIVE DUTY FOR TRAINING, INACTIVE DUTY TRAINING, FULL-TIME NATIONAL GUARD DUTY OR AN EXAMINATION TO DETERMINE THE FITNESS TO PERFORM ANY SUCH DUTY. AN EMPLOYEE MAY BE ABSENT FROM UNIVERSITY EMPLOYMENT PURSUANT TO THIS RULE FOR A CUMULATIVE AMOUNT OF TIME NOT TO EXCEED FIVE YEARS UNLESS SPECIAL ORDERS ARE ISSUED BY THE PRESIDENT OF THE UNITED STATES OR THE UNITED STATES DEPARTMENT OF DEFENSE.

- (B) AN EMPLOYEE WHO REQUESTS A MILITARY LEAVE OF ABSENCE MUST PROVIDE REASONABLE ADVANCE WRITTEN NOTICE OF SUCH ACTIVE MILITARY SERVICE TO THE UNIVERSITY. SUCH ADVANCE NOTICE IS NOT REQUIRED WHERE IT IS PRECLUDED BY MILITARY NECESSITY OR THE GIVING OF SUCH NOTICE IS OTHERWISE IMPOSSIBLE OR UNREASONABLE.
- (C) THE UNIVERSITY IS NOT REQUIRED TO REEMPLOY A PERSON AFTER MILITARY LEAVE IF:
- (1) THE UNIVERSITY'S CIRCUMSTANCES HAVE SO CHANGED AS TO MAKE SUCH REEMPLOYMENT IMPOSSIBLE OR UNREASONABLE;
 - (2) SUCH REEMPLOYMENT WOULD IMPOSE AN UNDUE HARDSHIP UPON THE UNIVERSITY; OR
 - (3) THE EMPLOYMENT FROM WHICH THE PERSON LEAVES TO SERVE IN THE UNIFORMED SERVICES IS FOR A BRIEF, NONRECURRENT PERIOD.
- (D) UNLESS OTHERWISE INELIGIBLE, UPON COMPLETION OF MILITARY SERVICE, THE EMPLOYEE WILL APPLY FOR REEMPLOYMENT IN A TIMELY MANNER:
- (1) FOR SERVICE OF LESS THAN THIRTY-ONE DAYS, NOTICE MUST BE GIVEN NO LATER THAN THE BEGINNING OF THE FIRST FULL REGULARLY SCHEDULED WORK PERIOD THAT STARTS AT LEAST EIGHT HOURS AFTER RETURN FROM MILITARY SERVICE.
 - (2) FOR SERVICE OF MORE THAN THIRTY DAYS, BUT LESS THAN ONE HUNDRED EIGHTY-ONE DAYS, NOTICE MUST BE GIVEN NO LATER THAN FOURTEEN DAYS AFTER RETURN FROM MILITARY SERVICE.
 - (3) FOR SERVICE OF MORE THAN ONE HUNDRED EIGHTY DAYS, NOTICE MUST BE GIVEN NO LATER THAN NINETY DAYS AFTER RETURN FROM MILITARY SERVICE.
- (E) FOLLOWING A MILITARY LEAVE OF ABSENCE, AN EMPLOYEE ELIGIBLE FOR REEMPLOYMENT SHALL RETURN, WITHIN THIRTY DAYS OF THE REQUEST OF REEMPLOYMENT, TO THE SAME OR SIMILAR POSITION REGARDLESS OF THE EMPLOYEE'S CIVIL SERVICE STATUS OR THE EXISTENCE OF AN ELIGIBLE LIST.
- (F) AN EMPLOYEE SHALL BE REEMPLOYED WITH ALL RIGHTS AND BENEFITS GENERALLY AVAILABLE TO EMPLOYEES IN A COMPARABLE LEAVE OF ABSENCE WITHOUT PAY, INCLUDING THE FOLLOWING:
- (1) ALL SICK LEAVE, VACATION LEAVE, AND PERSONAL LEAVE THAT HAD BEEN ACCUMULATED AT THE TIME OF ENTERING SERVICE;
 - (2) ALL SENIORITY THAT WOULD HAVE ACCRUED HAD THE EMPLOYEE BEEN ON THE JOB;
 - (3) AUTOMATIC SALARY ADJUSTMENTS ASSOCIATED WITH THE POSITION AND DUE THE EMPLOYEE HAD THE EMPLOYEE BEEN ON THE JOB;
 - (4) ANY CHANGE IN CLASSIFICATION OR PAY RANGE THAT WOULD BE DUE THE EMPLOYEE HAD THE EMPLOYEE BEEN ON THE JOB; AND
 - (5) REINSTITUTED HEALTH INSURANCE AND RELATED INSURANCE BENEFITS WITH NO WAITING PERIODS OR PRE-EXISTING CONDITIONS EXCLUSIONS.

- (G) THIS RULE DOES NOT APPLY TO ANY EMPLOYEE WHO, BY RE-ENLISTING OR EXTENDING ACTIVE DUTY BEYOND THE COMMISSION, DISPLAYS AN INTENT TO REMAIN ON EXTENDED ACTIVE DUTY IN THE ARMED SERVICES OF THE UNITED STATES.
- (H) A REEMPLOYED INDIVIDUAL SHALL NOT BE TERMINATED, EXCEPT FOR CAUSE:
- (1) WITHIN ONE YEAR AFTER THE DATE OF REEMPLOYMENT, IF THE EMPLOYEE HAD BEEN EMPLOYED BY THE UNIVERSITY FOR MORE THAN ONE HUNDRED EIGHTY DAYS PRIOR TO THE DATE OF REEMPLOYMENT; OR
- (2) WITHIN ONE HUNDRED EIGHTY DAYS AFTER THE DATE OF SUCH REEMPLOYMENT, IF THE EMPLOYEE HAD BEEN EMPLOYED BY THE UNIVERSITY FOR MORE THAN THIRTY DAYS BUT LESS THAN ONE HUNDRED EIGHTY-ONE DAYS PRIOR TO THE DATE OF REEMPLOYMENT.
- (I) DISCRIMINATION OR RETALIATION WITH REGARD TO ANY ASPECT OF EMPLOYMENT AGAINST AN INDIVIDUAL FOR VOLUNTARY OR INVOLUNTARY MEMBERSHIP IN UNIFORMED SERVICE IS PROHIBITED.

TO BE RESCINDED

3335-73-04 Leave due to disability; reinstatement.

- (A) ~~When an employee becomes incapacitated to the extent that the employee is unable to perform the essential duties of the position and the employee has exhausted all accrued sick leave, the university shall place the employee on a "medical leave."~~
- (B) ~~The university shall grant medical leave only upon the presentation of evidence as to the probable date on which the employee will return to work. Employees are eligible for up to six months of medical leave during a rolling twelve month period. When an employee's incapacitation exceeds the six month medical leave and exhausts any accrued sick leave, the employee shall receive a "disability separation" pursuant to the rules of this chapter. This provision does not preclude the granting of a disability separation if a date for return to work cannot be stated by the employee.~~
- (C) ~~An employee on disability separation shall be reinstated to the same or similar position within thirty days after a written application for reinstatement. Such application for reinstatement shall be filed within three years from the date of separation. A complete reinstatement application must include a certificate from a licensed practitioner designated by the university who has examined and verified that the employee has recovered from the disability. The university shall pay for the examination. If the employee was granted disability retirement, a licensed practitioner designated by the public employee retirement board shall conduct the examination.~~
- (D) ~~If the university determines that the employee's activities during a disability separation are inconsistent with that disability, the employee shall be ineligible for reinstatement.~~

AMENDED RULES

3335-73-05 Involuntary disability separation.

- (A) If the university believes that an employee can no longer perform the essential job duties of the position, then the university may request that the employee submit to a medical AND/or psychological examination performed by a licensed practitioner designated by the university, and paid for by the university, prior to an involuntary disability separation unless:

- (1) The employee is hospitalized at the time such action is to be taken, or
- (2) The employee has exhausted accumulated sick leave and medical leave of absence.

In lieu of requiring an examination, the university may utilize evidence submitted by the employee's licensed practitioner.

- (B) When the university ~~receives the results of the medical or psychological examination and initially determines that the employee is incapable of performing~~ **UNABLE TO PERFORM** the essential job duties of the position **AND AFTER RECEIVING THE INFORMATION AS NOTED IN PARAGRAPH (A) OF THIS RULE**, the university shall hold a pre-separation hearing. The university shall provide notice of the pre-separation hearing at least seventy-two hours prior to the scheduled hearing.
- (C) At the pre-separation hearing, the university shall consider the ~~submitted results of the medical or psychological examination~~ **INFORMATION AS NOTED IN PARAGRAPH (A) OF THIS RULE**, the essential job duties of the employee's position, and any additional evidence relating to the employee's ability to perform the essential job duties. After considering the evidence, the university shall either issue an involuntary disability separation order or cease the separation proceedings. **THE APPOINTING AUTHORITY SHALL NOTIFY THE EMPLOYEE IN WRITING OF THE UNIVERSITY'S DECISION.**
- (D) An employee ~~se~~ separated **PURSUANT TO THIS RULE** may appeal in writing to the state personnel board of review within ten days after receiving the determination of involuntary disability separation.
- (E) **AN EMPLOYEE ON DISABILITY SEPARATION SHALL BE REINSTATED TO THE SAME OR SIMILAR POSITION WITHIN THIRTY DAYS AFTER A WRITTEN APPLICATION FOR REINSTATEMENT. SUCH APPLICATION FOR REINSTATEMENT SHALL BE FILED WITHIN THREE YEARS FROM THE DATE OF SEPARATION AND SHALL NOT BE FILED AFTER THE DATE OF SERVICE ELIGIBILITY RETIREMENT. A COMPLETE REINSTATEMENT APPLICATION MUST INCLUDE A CERTIFICATE FROM A LICENSED PRACTITIONER DESIGNATED BY THE UNIVERSITY WHO HAS EXAMINED AND VERIFIED THAT THE EMPLOYEE IS ABLE TO PERFORM THE ESSENTIAL FUNCTIONS OF THE JOB. THE UNIVERSITY SHALL PAY FOR THE EXAMINATION. IF THE EMPLOYEE WAS GRANTED DISABILITY RETIREMENT, A LICENSED PRACTITIONER DESIGNATED BY THE PUBLIC EMPLOYEE RETIREMENT BOARD SHALL CONDUCT THE EXAMINATION.**

3335-73-06 Voluntary disability separation.

An employee who is unable to perform the essential job duties of the position due to a disabling illness, injury, or condition may request a voluntary disability separation. A voluntary disability separation occurs when an employee does not dispute the inability to perform the essential job duties of the position.

- (A) The university may grant an employee's request for voluntary disability separation **BASED UPON PREVIOUSLY SUBMITTED MEDICAL DOCUMENTATION** or may require the employee to submit to ~~a~~ **AN ADDITIONAL** medical **AND/or** psychological examination. If the ~~examination~~ **MEDICAL DOCUMENTATION** supports the employee's request, the university shall grant the employee's request for voluntary disability separation. ~~In lieu of requiring an examination, the university may utilize evidence submitted by the employee's licensed practitioner.~~ If the medical examination does not support the employee's request, the university shall not approve the employee's request for voluntary disability separation.
- (B) An employee who is granted a voluntary disability separation waives the ability to have a pre-separation hearing ~~and to appeal the decision to approve the employee's voluntary disability separation request.~~

- (C) AN EMPLOYEE SEPARATED PURSUANT TO THIS RULE MAY APPEAL IN WRITING TO THE STATE PERSONNEL BOARD OF REVIEW WITHIN TEN DAYS AFTER RECEIVING THE DETERMINATION OF DISABILITY SEPARATION.
- (D) AN EMPLOYEE ON DISABILITY SEPARATION SHALL BE REINSTATED TO THE SAME OR SIMILAR POSITION WITHIN THIRTY DAYS AFTER A WRITTEN APPLICATION FOR REINSTATEMENT. SUCH APPLICATION FOR REINSTATEMENT SHALL BE FILED WITHIN THREE YEARS FROM THE DATE OF SEPARATION. A COMPLETE REINSTATEMENT APPLICATION MUST INCLUDE A CERTIFICATE FROM A LICENSED PRACTITIONER DESIGNATED BY THE UNIVERSITY WHO HAS EXAMINED AND VERIFIED THAT THE EMPLOYEE IS ABLE TO PERFORM THE ESSENTIAL FUNCTIONS OF THE JOB. THE UNIVERSITY SHALL PAY FOR THE EXAMINATION. IF THE EMPLOYEE WAS GRANTED DISABILITY RETIREMENT, A LICENSED PRACTITIONER DESIGNATED BY THE PUBLIC EMPLOYEE RETIREMENT BOARD SHALL CONDUCT THE EXAMINATION.

NEW RULE

3335-73-07 ADMINISTRATIVE LEAVE.

THE UNIVERSITY MAY, IN ITS DISCRETION, PLACE AN EMPLOYEE ON ADMINISTRATIVE LEAVE WITH PAY. SUCH LEAVE IS TO BE USED IN CIRCUMSTANCES WHERE THE HEALTH OR SAFETY OF ANY EMPLOYEE OR OF ANY PERSON OR PROPERTY ENTRUSTED TO THE EMPLOYEE'S CARE COULD BE ADVERSELY AFFECTED. COMPENSATION FOR ADMINISTRATIVE LEAVE SHALL BE EQUAL TO THE EMPLOYEE'S BASE RATE OF PAY. THE LENGTH OF SUCH LEAVE IS SOLELY AT THE DISCRETION OF THE UNIVERSITY.

AMENDED RULES

3335-75-01 Performance management and review.

Performance management is an ongoing process where the supervisor and employee discuss the performance expectations of the position and how the employee is fulfilling those expectations. Classified civil service employees ~~shall~~ SHOULD have their performance reviewed once during the probationary period and annually thereafter. This performance review is a tool of performance management for the purposes of evaluating appropriate job duties, training, and corrective measures.

3335-75-02 ~~General procedure for removals~~ TERMINATIONS, suspensions, or demotions.

- (A) ~~The removal~~ TERMINATION, suspension, or demotion of a classified civil service employee, except as otherwise provided in these rules, shall be made for, but not limited to the following reasons: incompetency, inefficiency, dishonesty, drunkenness, immoral conduct, insubordination, discourteous treatment of the public, neglect of duty, a violation of university rules or policy, failure to return from a leave of absence, other failure of good behavior, misfeasance in office, malfeasance in office, nonfeasance in office, other unsatisfactory job performance, CONVICTION OF A FELONY, or by voluntary written agreement by an employee.
- (B) The university may impose working suspensions with pay as part of a corrective action plan. For the purpose of progressive action, working suspensions are equivalent to suspensions which require the interruption of an employee's service and compensation for a fixed period of time.
- (C) THE UNIVERSITY MAY IMPOSE A FINE OF NOT MORE THAN FIVE DAYS PAY AS PART OF A CORRECTIVE ACTION PLAN.
- ~~(C)~~(D) The employee shall be notified in writing by the university appointing authority of the reasons for the action and the effective date of the action. This notification shall advise the employee of the right to appeal, IF APPLICABLE. Any such appeal shall be made in accordance with the rules of the state personnel board of review.

(E) THE FOLLOWING CONDITIONS APPLY TO ANY EMPLOYEE WHO IS CONVICTED OF A FELONY:

- (1) CONVICTION OF A FELONY IS A SEPARATE BASIS FOR REDUCING IN PAY OR POSITION, SUSPENDING, OR TERMINATING AN EMPLOYEE, EVEN IF THE EMPLOYEE HAS ALREADY BEEN REDUCED IN PAY OR POSITION, SUSPENDED, OR TERMINATED FOR THE SAME CONDUCT THAT IS THE BASIS OF THE FELONY. AN EMPLOYEE MAY NOT APPEAL TO THE STATE PERSONNEL BOARD OF REVIEW ANY DISCIPLINARY ACTION TAKEN BY AN APPOINTING AUTHORITY AS A RESULT OF THE EMPLOYEE'S CONVICTION OF A FELONY.
- (2) A PERSON CONVICTED OF A FELONY IMMEDIATELY FORFEITS THE PERSON'S STATUS AS A CLASSIFIED EMPLOYEE AT THE UNIVERSITY ON AND AFTER THE DATE OF CONVICTION FOR THE FELONY. THE UNIVERSITY, UPON THE PERSON'S REQUEST, MAY INVESTIGATE THE CIRCUMSTANCES OF THE FELONY AND MAY, AT ITS DISCRETION, ALLOW THE PERSON TO APPLY OR RE-APPLY FOR UNIVERSITY EMPLOYMENT.
- (3) ANY PERSON TERMINATED FOR A CONVICTION OF A FELONY IS ENTITLED TO A CASH PAYMENT FOR ANY ACCRUED BUT UNUSED VACATION LEAVE.

3335-79-01 Crediting of sick leave.

Sick leave credit accrues at the rate of 4.6 hours for each eighty hours of service in an active pay status, including paid vacation, overtime, and sick leave, but not during a leave of absence, or layoff. Part-time, seasonal, AND temporary, ~~and intermittent workers~~-EMPLOYEES accrue sick leave at the same rate.

3335-79-04 Charging of sick leave.

Sick leave shall be charged in minimum units of one-tenth hour. An employee shall be charged for sick leave only for days upon which the employee ~~would~~ otherwise WOULD have been scheduled to work. Sick leave payment shall not exceed the normal scheduled work day or work week earnings.

3335-79-05 Uses of sick leave.

- (A) Sick leave shall be granted to an employee upon approval of the appropriate administrative official and for the following reasons:
- (1) Illness or injury of the employee or a member of the employee's immediate family;
 - (2) Death of a member of the immediate family (sick leave usage limited to five working days);
 - (3) Medical, dental, or optical examination or treatment of employee or a member of the employee's immediate family;
 - (4) If a member of the immediate family is afflicted with a contagious disease or requires the care and attendance of the employee; or when through exposure to a contagious disease, the presence of the employee at the job would jeopardize the health of others; OR
 - (5) Disability due to pregnancy and/or childbirth and other conditions related thereto.
- (B) Definition of "immediate family" for the purpose of this chapter: ~~grandparents, brother, sister, brother-in-law, sister-in-law, daughter-in-law, son-in-law, father, father-in-law, mother, mother-in-law, spouse, domestic partner, child, grandchild, a legal guardian or other person who stands in place of a parent (in loco parentis)~~ SPOUSE, DOMESTIC PARTNER, MOTHER, FATHER,

SISTER, BROTHER, DAUGHTER, SON, GRANDPARENT, GRANDCHILD, MOTHER-IN-LAW, FATHER-IN-LAW, SISTER-IN-LAW, BROTHER-IN-LAW, DAUGHTER-IN-LAW, SON-IN-LAW, GRANDPARENT-IN-LAW, GRANDCHILD-IN-LAW, OR CORRESPONDING RELATIVES OF THE EMPLOYEE'S PARTNER, OTHER PERSONS FOR WHOM THE EMPLOYEE IS LEGALLY RESPONSIBLE, AND ANYONE WHO STOOD IN LOCO PARENTIS TO THE EMPLOYEE AS A CHILD.

- (C) An employee who becomes eligible for workers' compensation payment for loss of time may choose to use sick leave before such payments are made. An employee who wishes not to use sick leave to cover such loss of time must request a AN UNPAID leave of absence in accordance with rule 3335-73-01 of the Administrative Code.

3335-79-07 Notification by employee.

- (A) When an employee is unable to report to work, the employee shall notify the immediate supervisor or other designated person within the time frame established by the college/department, unless emergency conditions make such notification impossible. The requirement for subsequent notification will be governed by the nature of the circumstances and the requirements established by the college/department.

3335-79-08 Medical EMPLOYEE MEDICAL or psychological examination.

- (A) The university may require an employee to take a medical AND/or psychological examination, conducted by a licensed practitioner selected by the university, to determine the physical AND/or mental capability to perform the essential duties of the employee's position. The university may supply the examining practitioner with facts relating to the ~~perceived disabling illness, injury, or condition,~~ EMPLOYEE'S DIFFICULTY OR INABILITY TO PERFORM THE ESSENTIAL FUNCTIONS OF THE JOB and may supply additional information including BUT NOT LIMITED TO physical and mental requirements of the employee's position, duty statements, job classification specifications, and position descriptions. The university shall pay for the examination.
- (B) An employee's refusal to submit to the AN examination, the unexcused failure to appear for an examination, or the refusal to release the results of an examination amounts to insubordination, ~~punishable by the imposition of~~ AND MAY SUBJECT THE EMPLOYEE TO discipline up to and including ~~removal~~ TERMINATION.
- (C) If found unable to perform the essential duties of the position, the employee may be placed on sick leave, or medical leave OR DISABILITY SEPARATION. ~~The utilization of sick leave or medical leave is at the employee's option.~~
- (D) ~~Reports prepared from a required examination are treated as a confidential record. Disclosure of any reports prepared by the examining licensed practitioner may be released, in accordance with Chapter 1347 of the Revised Code, to:~~
- ~~(1) The subject of the examination;~~
 - ~~(2) The licensed practitioner designated by the subject of the examination;~~
 - ~~(3) An individual acting on behalf of the subject of the examination with a valid written release;~~
 - ~~(4) Supervisors and managers, provided that they are only informed regarding necessary restrictions on the work or duties of the employee and necessary accommodations;~~
 - ~~(5) First aid and safety personnel if the disability might require emergency medical treatment.~~

MEDICAL AND PSYCHOLOGICAL REPORTS SHALL BE MAINTAINED AS CONFIDENTIAL RECORDS TO THE EXTENT ALLOWABLE PURSUANT TO SECTION 149.43 OF THE REVISED CODE AND CHAPTER 1347. OF THE REVISED CODE.

3335-81-02 Lack of Funds REASONS OF ECONOMY or lack of work.

- (A) The university shall determine:
- (1) Whether a current or projected deficiency in necessary funds ~~exist~~ EXISTS to maintain current or to sustain projected levels of staffing and operations; or
 - (2) Whether a lack of work exists or is projected ~~which~~ THAT results in excessive current or projected staffing levels.

In the event that the university determines such a lack of funds or lack of work exists, the office of human resources shall be notified of such shortages, of the college/department in which such shortage exists or is projected, and of the amount of current or projected funds or work lacking.

- (B) Upon the approval of the office of human resources, employees may be laid off for ~~lack of funds~~ REASONS OF ECONOMY or lack of work. Before such layoffs, a statement of rationale and supporting documentation shall be prepared and on file in the office of human resources.

3335-81-03 Abolishment of positions.

- (A) The university shall prepare and retain a statement of rationale with supporting documentation ~~which~~ THAT explains the lack of continued need for a position.
- (B) Employees may be laid off as a result of the abolishment of a position or positions, provided that the office of human resources shall, in such layoff, follow the procedures applicable to the layoff of employees as set forth in this chapter.

3335-81-04 Order of layoff.

- (A) The order of any layoff shall be determined first by primary appointment category, then by certification status and finally by retention point totals.
- (B) All employees within a lower appointment category shall be laid off before any employee in the next or succeeding higher appointment category. For purpose of the application of this rule, the primary appointment categories, in the order of their priority from lowest to highest, shall be:
- ~~(1)~~ Temporary employees;
 - ~~(2)~~ Intermittent employees;
 - ~~(3)~~ Part-time regular employees;
 - ~~(4)~~(1) Seasonal employees;
 - (2) PART-TIME REGULAR EMPLOYEES;
 - ~~(5)~~(3) Full-time regular employees.
- (C) Within each of the foregoing primary appointment categories, the order of layoff shall be accomplished in the following order:
- (1) Employees serving provisionally who have not ~~completed their probationary period after appointment~~ ACHIEVED CERTIFIED STATUS;

- ~~(2)~~ Employees serving provisionally who have satisfactorily completed their probationary period after appointment;
 - ~~(3)~~(2) Employees appointed from certified eligibility ELIGIBLE lists or who are certified and who have not completed their probationary period after appointment ACHIEVED CERTIFIED STATUS;
 - ~~(4)~~(3) Employees appointed from certified eligibility lists or who are certified and who have successfully completed their probationary period after appointment WHO HAVE ACHIEVED CERTIFIED STATUS.
- (D) Within each primary appointment category and within each category with respect to the status of certification, employees shall be laid off in the inverse order of their retention points as determined by the application of rule 3335-81-06 of the Administrative Code.

3335-81-05 Displacement procedures.

- (A) A AN EMPLOYEE WHO WAS laid-off or WHOSE POSITION WAS abolished ~~employee~~ shall fill a vacancy or displace the employee with the fewest retention points in the order of the following classifications, provided in all instances that the employee meets the qualifications of that position:
- (1) Within the employee's classification;
 - (2) Within the next lower classification and each successively lower classification in the employee's classification series;
 - (3) Within a classification ~~which~~ THAT has the same or similar duties as the classification from which the employee was laid off;
 - (4) Within the classification the employee held immediately prior to holding the classification from which the employee was laid off, provided:
 - (a) That the previous classification is of a lower or equivalent classification;
 - (b) The employee ~~was~~ HAD ACHIEVED certified STATUS in the former classification;
 - (c) The employee held the classification not more than five years prior to the date on which the employee was laid off; AND
 - (d) That in the event the employee's former position no longer exists in the classification held by the employee immediately prior to the present classification, or in the event that the laid off employee is prevented from displacing into the previously held classification because of a failure to meet the minimum qualifications of the previously held classification, then such employee may displace the employee with the fewest retention points in the next previously held classification, and in successive previously held classifications, provided that the requirements set forth in paragraphs (A)(4)(a) to (A)(4)(d) of this rule are met.
 - (5) If, after PARAGRAPHS (A)(1) ~~through~~ TO (A)(4) OF THIS RULE have occurred and no vacancies are available or the employee is unable to displace due to having the fewest retention points in the classification or lack of qualifications, then the employee will be laid off.
- (B) If, after exercising displacement, an employee is subject to further layoff action, displacement shall be in accordance with the original classification from which the employee was laid off.

- (C) Employees who elect to use displacement shall displace only those employees with fewer retention points and who are in the same or lower priority of layoff as set forth by rule 3335-81-04 of the Administrative Code.
- (D) Employees shall notify the office of human resources of their intention to exercise displacement within five days after receipt of notice of layoff.
- (E) Any order of displacement as set forth by this rule notwithstanding, no employee shall displace another employee whose position or classification requires special minimum qualifications, as established by a position description, PARENTHETICAL SUB-TITLE, classification specifications, or by bona fide occupation qualifications, unless the employee desiring to displace another employee possesses the requisite minimum qualifications for the position.
- (F) If, as a result of layoff or displacement, an employee is serving in a new classification, such employee shall be paid according to the pay range assigned to the new classification ~~which~~ THAT most nearly corresponds to the compensation received by that employee as of the date of layoff or displacement.

3335-81-06 Retention points.

- (A) The university shall compute retention points for each employee in a classification ~~which~~ THAT is the subject of a layoff or displacement.
- (B) Each employee shall be assigned retention points for length of continuous service by awarding one retention point for each five hundred twenty hours (excluding overtime hours) of continuous service.
- (C) In the event two or more employees have identical retention points as computed by this rule, the employee having the shortest period of continuous service shall be laid off or displaced first. If two or more employees have identical retention points and identical dates of continuous service from which no break in service has occurred, the date on which application for employment was submitted will determine the priority of layoff, priority being awarded to the earliest date. In the event a tie is still unresolved, the office of human resources shall determine the order of layoff by using a reasonable basis for such determination.
- (D) "Continuous service" is that service unbroken by a resignation or termination from the university or other state of Ohio civil service employment. Continuous service for the purposes of retention points includes:
 - (1) When an employee is reinstated after a resignation or termination to the same position within twelve months ~~of resignation~~, full credit for continuous service will be given for the periods of actual employment;
 - (2) A transfer from one state department or agency to another or to or from the university does not constitute a break in continuous service for purposes of computing continuous service retention points;
 - (3) An authorized leave of absence does not constitute a break in service, and continuous service retention points shall continue to accumulate during the term of a leave of absence provided the employee returns to state or university service following the leave;
 - (4) When a laid off employee is reinstated or re-employed within one year from the date of layoff, the employee shall accrue continuous service retention points during the time spent on layoff and continuous service shall remain unbroken; AND
 - (5) ~~An authorized~~ A disability separation does not constitute a break in service. However, continuous service retention points shall not accumulate during the period of separation.

- (E) Service as a student-employee shall not be credited as service for purposes of determining continuous service retention points.

3335-81-09 Recall lists; reinstatement.

- (A) The office of human resources shall prepare a recall list for each classification in which employees are laid off. The names of all laid-off or displaced certified STATUS employees shall appear on such lists in descending order of retention points, and the names of all provisional laid off or displaced employees shall appear on a second list in descending order of retention points. Except as provided in paragraph (C) of this rule, laid off employees shall be placed on recall lists for each classification within the employee's classification series ~~which~~ THAT is equal to or lower than the classification in which the employee was employed at the time of layoff.
- (B) An employee's name shall remain on the appropriate recall list or lists for a period of one year beginning from the date the employee was first laid off or displaced from the original classification. During this period, colleges/departments may not hire, promote or transfer into any classification for which a recall list exists until all persons on the recall list applicable to that classification are reinstated or decline the offered position.
- (C) An employee who does not exercise the option to displace, as provided by rule 3335-81-05 of the Administrative Code, shall be listed on the recall list for the classification from which the employee was laid off or displaced but shall not be listed for reinstatement in other classifications in the employee's classification series ~~which~~ THAT are equal to or lower than the classification from which the employee was laid off or displaced.
- (D) Each employee recalled from layoff shall be notified by certified mail of the offer of reinstatement. The notification of reinstatement shall include a statement that refusal of reinstatement shall result in removal of the employee's name from the recall list. Each recalled employee shall have ten calendar days from the date of the notification in which to respond to the notice or return to work. In the absence of extenuating circumstances (e.g., illness, injury, absence from city or state or other good cause as determined by the office of human resources) ~~which prevent~~ THAT PREVENTED the ~~staff member~~ EMPLOYEE from responding or returning to work within the foregoing time limit, the university may grant a reasonable extension, not to exceed sixty days. In the absence of extenuating circumstances, an individual not accepting or declining reinstatement within ten days shall be deemed to have declined reinstatement for purposes of removal from the recall list.
- (E) Notification of recall may be delivered to an employee by other than certified mail if circumstances created by temporary layoffs and/or emergency situations dictate otherwise. Regardless of the method of delivery of the recall notification, the period in which to return to work shall begin with the date of receipt of such notification.
- (F) An employee who declines reinstatement to a classification lower in the classification series than the classification from which the employee was laid off or displaced shall be removed from the recall list applicable to the offered classification and any recall lists for classifications lower in the classification series. The employee shall thereafter ~~only~~ be eligible ONLY for reinstatement to a classification higher than the declined reinstatement classification, up to and including the classification from which the employee was laid off or displaced.
- (G) Employees who have completed their ~~original or promotional~~ probationary periods at the time of layoff are not required to serve probationary periods when they are reinstated. Employees who are serving ~~original or promotional~~ probationary periods at the time of layoff must serve a new probationary period upon reinstatement.
- (H) The names of employees not removed from recall lists pursuant to paragraphs (A) to (E) of this rule shall be removed from the recall lists one calendar year after the initial date of layoff.

3335-83-07 Holiday compensation.

- (A) Full-time CLASSIFIED civil service employees shall be paid for holidays observed by the university and shall not be required to work on those holidays, unless failure to work on such holidays would impair department service.
- (B) If an employee's work schedule is other than Monday through Friday, the employee shall receive holiday pay for holidays observed on the EMPLOYEE'S day off regardless of the day of the week on which the holidays are observed. ~~Full-time employees~~ A FULL-TIME EMPLOYEE shall receive eight hours of pay for each holiday regardless of the employee's work shift and work schedule. ~~Part-time, temporary, seasonal and flexible hours employees~~ shall be paid holiday pay for that portion of any holiday for which they would normally have been scheduled to work.

3335-83-08 Holiday premium pay.

A non-exempt CLASSIFIED CIVIL SERVICE employee who is in active pay status for more than forty hours per week and who is required to work on a day observed as a holiday by the university shall be entitled to pay for such time worked:

- (A) At the employee's base pay in addition to one and one-half times the base rate of pay; or
- (B) At the employee's base pay in addition to compensatory time off at time and one-half.

TO BE RESCINDED

3335-89-01 Definition of terms.

~~For the purposes of Chapters 3335-49 to 3335-89 of the administrative code, the following terms are defined as follows:~~

- (A) ~~"Abolishment" - the elimination of a position due to lack of work or a reorganization for efficiency or economy.~~
- (B) ~~"Appointment" - the administrative process of placing a university employee on the payroll.~~
- (C) ~~"Base rate of pay" - the actual salary or wage an employee receives for services rendered within the pay range of the classification.~~
- (D) ~~"Broadbanding" - the university's new classification and compensation system characterized by broader classifications, pay ranges, and simplified compensation administration.~~
- (E) ~~"Certification" - a classified civil service status obtained for a specific classification by appointment from an eligibility list or, in the case of a provisional employee, by passing an examination for the classification in which they serve or by serving for two years in the classification series.~~
- (F) ~~"Classification" - a group of positions sufficiently related with respect to duties, responsibilities, authority and qualifications so that the same descriptive classification title and same pay range may be used for each.~~
- (G) ~~"Classification plan" - a system of classifications or series of jobs, with a specification and pay range assignment for each classification.~~
- (H) ~~"Demotion" - placement of an employee in a classification that has a lower pay range than that previously held.~~
- (I) ~~"Discharge" - termination of employment by involuntary disability separation.~~

- (J) ~~"Displace" or "displacement" – the exercise of the procedures outlined in Chapter 3335-81 of the Administrative Code which results in the substitution of one employee by another employee with higher retention points.~~
- (K) ~~"Eligible" – an applicant for appointment to a classification who has passed an examination and met other requirements for the position.~~
- (L) ~~"Eligibility list" – the names of eligibles, arranged in descending order by examination grade.~~
- (M) ~~"Emergency appointment" – an appointment to a position to meet an emergency situation, not subject to civil service law, and limited to a maximum of thirty days.~~
- (N) ~~"Full-time employment" – employment where the work schedule is normally forty hours per week.~~
- (O) ~~"Intermittent employment" – an appointment which serves at the discretion of the appointing authority and where the employee works irregular hours or days on an as-needed basis.~~
- (P) ~~"Jurisdiction" – the limited location in which procedures for layoff, displacement, recall, reinstatement and re-employment may be exercised; the main campus, each regional campus, and the Ohio agricultural research and development center are each separate and distinct jurisdictions.~~
- (Q) ~~"Leave of absence" – temporary separation from active pay status with the employee generally retaining employment status and seniority.~~
- (R) ~~"Licensed practitioner" – a physician, psychiatrist, or psychologist who is licensed to perform medical or psychological examinations.~~
- (S) ~~"Open-competitive examination" – an examination open to anyone, whether already employed in the university civil service or not, who meets qualifications established for a given classification or position.~~
- (T) ~~"Parenthetical sub-title" – a group of positions logically falling within a general classification but distinguished from other positions within that classification by the performance of specific functions or duties requiring specialized skill, knowledge or training.~~
- (U) ~~"Part-time employment" – employment where the work schedule is normally less than forty hours per week.~~
- (V) ~~"Pay range" – a division of a salary schedule to which classifications are assigned.~~
- (W) ~~"Position" – a specific job requiring the performance of certain duties and responsibilities by an employee.~~
- (X) ~~"Probationary period" – a period of time at the beginning of an original appointment, a promotion, or a lateral change from one classification to another which constitutes a trial or testing period for the employee, during which the employee may be terminated or returned to the former classification.~~
- (Y) ~~"Promotion" – placement of an employee in a vacant position in a classification that has a higher pay range than that previously held.~~
- (Z) ~~"Promotional examination" – an examination open only to persons already employed in the university service for the purpose of determining eligibility for promotion.~~
- (aa) ~~"Provisional appointment" – an appointment made in the absence of an appropriate or complete eligibility list.~~

- (bb) ~~"Reclassification" -- the act of changing the classification of an existing occupied position.~~
- (cc) ~~"Reduction" -- an action which diminishes an employee's compensation or removes responsibilities and duties thereby placing an employee in a lower position.~~
- (dd) ~~"Reduction in force" -- a decrease in the number of positions at the university's initiative due to a lack of funds, lack of work, reasons of economy, or reorganization for efficiency.~~
- (ee) ~~"Regular employment" -- employment which customarily requires the services of an employee on a regularly scheduled and continuing basis.~~
- (ff) ~~"Reinstatement" -- the act of returning a former employee to the same or similar position within the university classified civil service, following a period of not more than one year of separation.~~
- (gg) ~~"Removal" -- termination of employment for reasons of discipline.~~
- (hh) ~~"Seasonal employment" -- regular employment where the service reoccurs for a specified period of time during a particular time of the year.~~
- (ii) ~~"Specification" -- a composite of the duties and requirements of a classification.~~
- (jj) ~~"Suspension" -- the interruption of an individual's employment and compensation for a fixed period of time for reasons of discipline.~~
- (kk) ~~"Temporary employment" -- an appointment to a classified title which:

 - (1) Is for a limited duration;
 - (2) Is for a specific project;
 - (3) Augments regular staff due to increased work loads or staff shortages; or
 - (4) Replaces a regular employee during an absence due to illness, leave of absence or vacation; and
 - (5) Serves at the discretion of the appointing authority.~~
- (ll) ~~"Working suspension" -- a written suspension where the individual's employment and compensation are not interrupted but for the purposes of progressive corrective action is equal in weight to a regular suspension.~~

NEW RULE

3335-89-01 DEFINITION OF TERMS.

FOR THE PURPOSES OF CHAPTERS 3335-49 TO 3335-89 OF THE ADMINISTRATIVE CODE, THE FOLLOWING TERMS ARE DEFINED AS FOLLOWS:

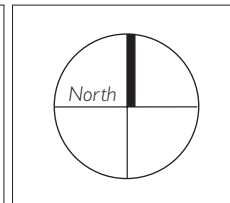
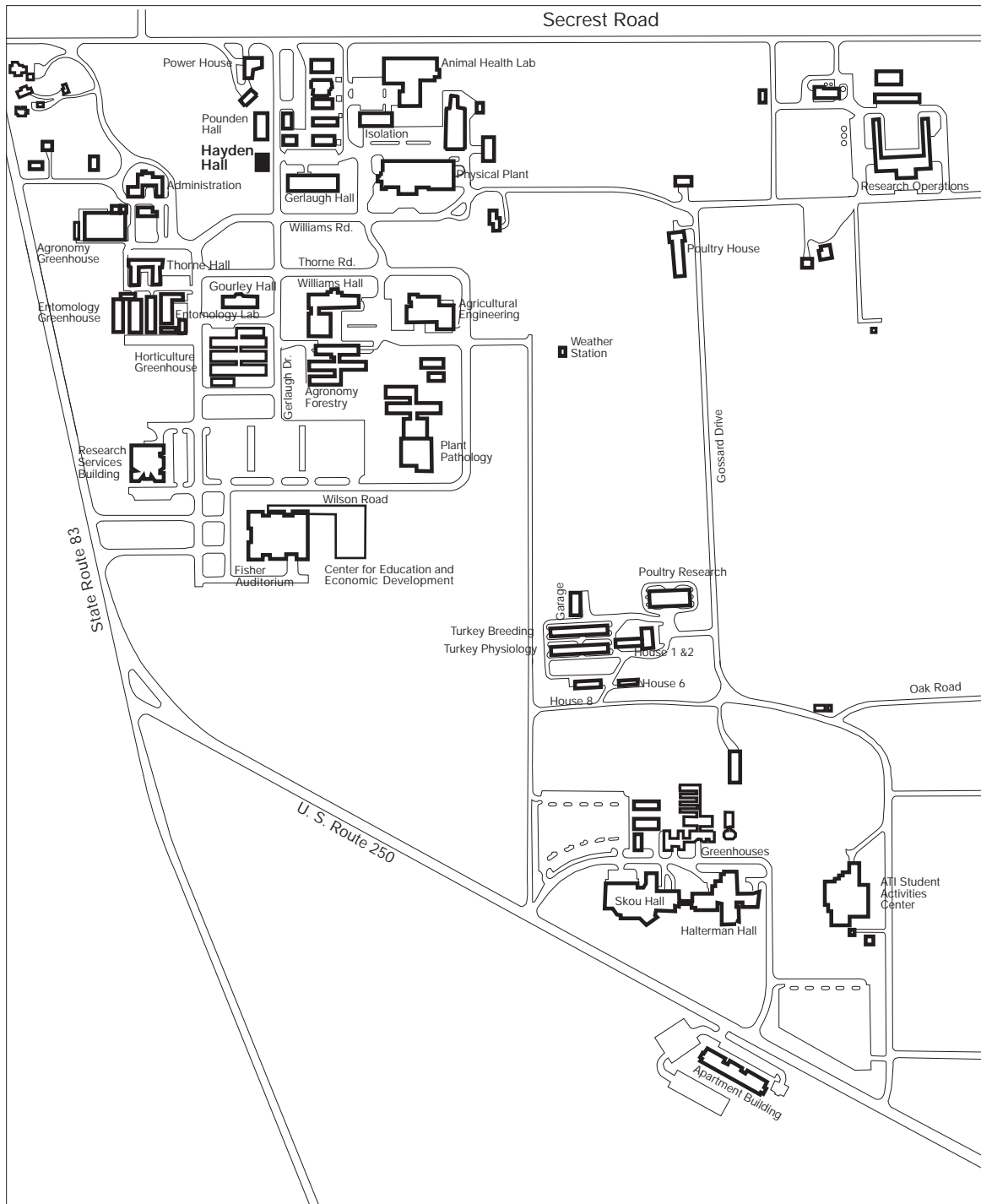
- (A) "ABOLISHMENT" -- THE ELIMINATION OF A POSITION DUE TO LACK OF WORK, REASONS OF ECONOMY, OR A REORGANIZATION FOR EFFICIENCY.
- (B) "APPOINTING AUTHORITY" -- THE BOARD OF TRUSTEES FOR THE UNIVERSITY HAS DELEGATED ITS AUTHORITY REGARDING CIVIL SERVICE EMPLOYMENT MATTERS TO THE ASSOCIATE VICE PRESIDENT FOR HUMAN RESOURCES.
- (C) "APPOINTMENT" -- THE ADMINISTRATIVE PROCESS OF PLACING A UNIVERSITY EMPLOYEE ON THE PAYROLL.
- (D) "BASE RATE OF PAY" -- THE ACTUAL SALARY OR WAGE AN EMPLOYEE RECEIVES FOR SERVICES RENDERED WITHIN THE PAY RANGE OF THE CLASSIFICATION.
- (E) "BROADBANDING" -- ONE OF THE UNIVERSITY'S CLASSIFICATION AND COMPENSATION SYSTEMS CHARACTERIZED BY BROADER CLASSIFICATIONS, PAY RANGES, SIMPLIFIED CLASSIFICATION ADMINISTRATION, AND MARKET BASED COMPENSATION ADMINISTRATION.

- (F) "CERTIFIED STATUS" -- A CLASSIFIED CIVIL SERVICE STATUS OBTAINED FOR A SPECIFIC CLASSIFICATION BY APPOINTMENT FROM AN ELIGIBLE LIST AND SATISFACTION OF THE APPLICABLE PROBATIONARY PERIOD OR, IN THE CASE OF A PROVISIONAL EMPLOYEE, THE PASSING OF THE EXAMINATION FOR THAT CLASSIFICATION OR SATISFACTORY COMPLETION OF THE PROBATIONARY PERIOD FOR THAT CLASSIFICATION.
- (G) "CLASSIFICATION" -- COMMON NAME FOR A GROUP OF POSITIONS SUFFICIENTLY RELATED WITH RESPECT TO DUTIES, RESPONSIBILITIES, AUTHORITY AND QUALIFICATIONS SO THAT THE SAME DESCRIPTIVE CLASSIFICATION TITLE AND SAME PAY RANGE MAY BE USED FOR EACH.
- (H) "CLASSIFICATION PLAN" -- A SYSTEM OF CLASSIFICATIONS OR SERIES OF JOBS, WITH A SPECIFICATION AND PAY RANGE ASSIGNMENT FOR EACH CLASSIFICATION.
- (I) "DAY" -- UNLESS OTHERWISE SPECIFIED, "DAY" MEANS ONE CALENDAR DAY.
- (J) "DEMOTION" -- PLACEMENT OF AN EMPLOYEE IN A CLASSIFICATION THAT HAS A LOWER PAY RANGE THAN THAT PREVIOUSLY HELD.
- (K) "DISPLACE" OR "DISPLACEMENT" -- THE EXERCISE OF THE PROCEDURES OUTLINED IN CHAPTER 3335-81 OF THE ADMINISTRATIVE CODE THAT RESULTS IN THE SUBSTITUTION OF ONE EMPLOYEE BY ANOTHER EMPLOYEE WITH HIGHER RETENTION POINTS.
- (L) "CERTIFIED ELIGIBLE APPLICANT" -- AN APPLICANT FOR APPOINTMENT TO A CLASSIFICATION WHO HAS MET THE DOCUMENTED REQUIREMENTS FOR THE POSITION AND WHO HAS PASSED THE APPROPRIATE CIVIL SERVICE EXAMINATION.
- (M) "ELIGIBLE LIST" -- THE NAMES OF CERTIFIED ELIGIBLE APPLICANTS, ARRANGED IN DESCENDING ORDER BY EXAMINATION GRADE AND, IN THE CASE OF THE SAME EXAMINATION GRADE, BY DATE OF APPLICATION.
- (N) "EMERGENCY APPOINTMENT" -- AN APPOINTMENT TO A POSITION TO MEET AN EMERGENCY SITUATION, NOT SUBJECT TO CIVIL SERVICE LAW, AND LIMITED TO A MAXIMUM OF THIRTY DAYS.
- (O) "FOR CAUSE" -- A TYPE OF TERMINATION FROM EMPLOYMENT FOR ONE OR MORE OF THE FOLLOWING REASONS: INCOMPETENCY, INEFFICIENCY, DISHONESTY, DRUNKENNESS, IMMORAL CONDUCT, INSUBORDINATION, DISCOURTEOUS TREATMENT OF THE PUBLIC, NEGLIGENCE OF DUTY, VIOLATION OF THIS CHAPTER OR THE RULES OF THE DIRECTOR OF ADMINISTRATIVE SERVICES OR THE COMMISSION, ANY OTHER FAILURE OF GOOD BEHAVIOR, ANY OTHER ACTS OF MISFEASANCE, MALFEASANCE, OR NONFEASANCE IN OFFICE, OR CONVICTION OF A FELONY.
- (P) "FULL-TIME EMPLOYMENT" -- EMPLOYMENT WHERE THE WORK SCHEDULE IS NORMALLY FORTY HOURS PER WEEK.
- (Q) "INTERMITTENT EMPLOYMENT" -- AN APPOINTMENT WHICH SERVES AT THE DISCRETION OF THE APPOINTING AUTHORITY AND WHERE THE EMPLOYEE WORKS IRREGULAR HOURS OR DAYS ON AN AS-NEEDED BASIS.
- (R) "JURISDICTION" -- THE LIMITED LOCATION IN WHICH PROCEDURES FOR LAYOFF, DISPLACEMENT, RECALL, REINSTATEMENT AND RE-EMPLOYMENT MAY BE EXERCISED; THE MAIN CAMPUS, EACH REGIONAL CAMPUS, AND THE OHIO AGRICULTURAL RESEARCH AND DEVELOPMENT CENTER ARE EACH SEPARATE AND DISTINCT JURISDICTIONS.

- (S) "LEAVE OF ABSENCE" -- TEMPORARY SEPARATION FROM ACTIVE PAY STATUS WITH THE EMPLOYEE GENERALLY RETAINING EMPLOYMENT STATUS AND SENIORITY.
- (T) "LICENSED PRACTITIONER" -- A PHYSICIAN, PSYCHIATRIST, OR PSYCHOLOGIST WHO IS LICENSED TO PERFORM MEDICAL OR PSYCHOLOGICAL EXAMINATIONS.
- (U) "OPEN-COMPETITIVE EXAMINATION" -- AN EXAMINATION OPEN TO ANYONE, WHETHER ALREADY EMPLOYED IN THE UNIVERSITY CLASSIFIED CIVIL SERVICE OR NOT, WHO MEETS QUALIFICATIONS ESTABLISHED FOR A GIVEN CLASSIFICATION OR POSITION.
- (V) "ORIGINAL APPOINTMENT" -- AN APPOINTMENT MADE FROM AN ELIGIBLE LIST.
- (W) "PARENTHETICAL SUB-TITLE" -- A GROUP OF POSITIONS LOGICALLY FALLING WITHIN A SINGLE CLASSIFICATION, BUT DISTINGUISHED FROM OTHER POSITIONS WITHIN THAT CLASSIFICATION BY THE PERFORMANCE OF SPECIFIC FUNCTIONS OR DUTIES REQUIRING SPECIALIZED SKILL, KNOWLEDGE OR TRAINING.
- (X) "PART-TIME EMPLOYMENT" -- EMPLOYMENT WHERE THE WORK SCHEDULE IS NORMALLY LESS THAN FORTY HOURS PER WEEK.
- (Y) "PAY RANGE" -- A DIVISION OF A PAY PLAN TO WHICH CLASSIFICATIONS ARE ASSIGNED.
- (Z) "POSITION" -- A SPECIFIC JOB REQUIRING THE PERFORMANCE OF CERTAIN DUTIES AND RESPONSIBILITIES BY AN EMPLOYEE.
- (AA) "PROBATIONARY PERIOD" -- A PERIOD OF TIME AT THE BEGINNING OF AN ORIGINAL APPOINTMENT, A PROMOTION, OR A LATERAL CHANGE FROM ONE CLASSIFICATION TO ANOTHER THAT CONSTITUTES A TRIAL OR TESTING PERIOD FOR THE EMPLOYEE, DURING WHICH THE EMPLOYEE MAY BE TERMINATED OR RETURNED TO THE FORMER CLASSIFICATION.
- (BB) "PROMOTION" -- PLACEMENT OF AN EMPLOYEE IN A VACANT POSITION IN A CLASSIFICATION THAT HAS A HIGHER PAY RANGE THAN THAT PREVIOUSLY HELD.
- (CC) "PROMOTIONAL EXAMINATION" -- AN EXAMINATION OPEN ONLY TO PERSONS ALREADY EMPLOYED IN THE UNIVERSITY SERVICE FOR THE PURPOSE OF DETERMINING ELIGIBILITY FOR PROMOTION.
- (DD) "PROVISIONAL APPOINTMENT" -- AN APPOINTMENT MADE IN THE ABSENCE OF AN APPROPRIATE OR COMPLETE ELIGIBLE LIST.
- (EE) "REASSIGNMENT" -- AN INVOLUNTARY TEMPORARY OR PERMANENT MOVE OF EMPLOYMENT WITHIN THE SAME OR SIMILAR CLASSIFICATION AND/OR WORK LOCATION WITHIN THE SAME JURISDICTION OF THE UNIVERSITY.
- (FF) "RECLASSIFICATION" -- THE ACT OF CHANGING THE CLASSIFICATION OF AN EXISTING OCCUPIED POSITION.
- (GG) "REDUCTION IN FORCE" -- A DECREASE IN THE NUMBER OF POSITIONS AT THE UNIVERSITY'S INITIATIVE DUE TO A LACK OF FUNDS, LACK OF WORK, REASONS OF ECONOMY, OR REORGANIZATION FOR EFFICIENCY.
- (HH) "REGULAR EMPLOYMENT" -- EMPLOYMENT WHICH CUSTOMARILY REQUIRES THE SERVICES OF AN EMPLOYEE ON A REGULARLY SCHEDULED AND CONTINUING BASIS.

- (II) "REINSTATEMENT" -- THE ACT OF RETURNING A FORMER EMPLOYEE TO THE SAME OR SIMILAR POSITION WITHIN THE UNIVERSITY CLASSIFIED CIVIL SERVICE, FOLLOWING A PERIOD OF NOT MORE THAN ONE YEAR OF SEPARATION.
- (JJ) "SEASONAL EMPLOYMENT" -- REGULAR EMPLOYMENT WHERE THE SERVICE REOCCURS FOR A SPECIFIED PERIOD OF TIME DURING A PARTICULAR TIME OF THE YEAR.
- (KK) "SPECIFICATION" -- A COMPOSITE OF THE DUTIES AND REQUIREMENTS OF A CLASSIFICATION.
- (LL) "SUSPENSION" -- THE INTERRUPTION OF AN INDIVIDUAL'S EMPLOYMENT AND COMPENSATION FOR A FIXED PERIOD OF TIME FOR REASONS OF DISCIPLINE.
- (MM) "TEMPORARY EMPLOYMENT" -- AN APPOINTMENT THAT SERVES AT THE DISCRETION OF THE APPOINTING AUTHORITY AND:
(1) IS FOR A LIMITED DURATION;
(2) IS FOR A SPECIFIC PROJECT;
(3) AUGMENTS REGULAR STAFF DUE TO INCREASED WORK LOADS OR STAFF SHORTAGES; OR
(4) REPLACES A REGULAR EMPLOYEE DURING AN ABSENCE DUE TO ILLNESS, LEAVE OF ABSENCE OR VACATION.
- (NN) "TERMINATION" -- THE INVOLUNTARY ENDING OF AN EMPLOYEE'S EMPLOYMENT WITH THE UNIVERSITY.
- (OO) "TRANSFER" -- A VOLUNTARY MOVE OF EMPLOYMENT AS A RESULT OF AN APPLICATION FOR A DIFFERENT POSITION.
- (PP) "WORKING SUSPENSION" -- A SUSPENSION WHERE THE INDIVIDUAL'S EMPLOYMENT AND COMPENSATION ARE NOT INTERRUPTED, BUT FOR THE PURPOSES OF PROGRESSIVE CORRECTIVE ACTION, IS EQUAL IN WEIGHT TO A REGULAR SUSPENSION.

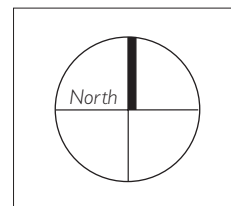
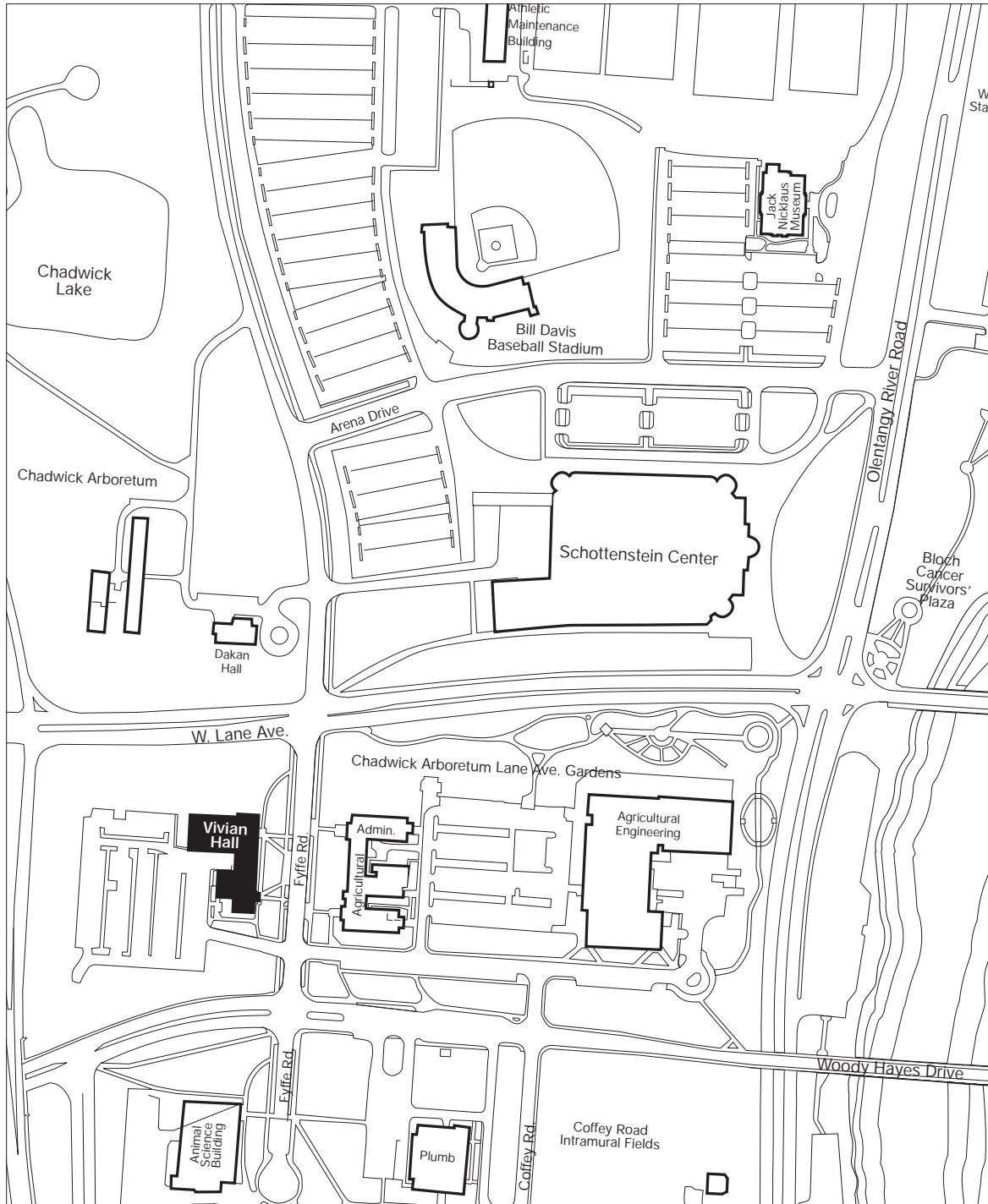
OARDC - Hayden Hall Renovation



Office of Business and Finance
Office of Facilities Planning and Development

May 16, 2001

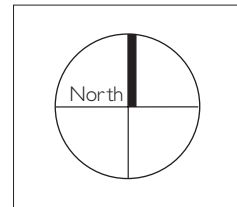
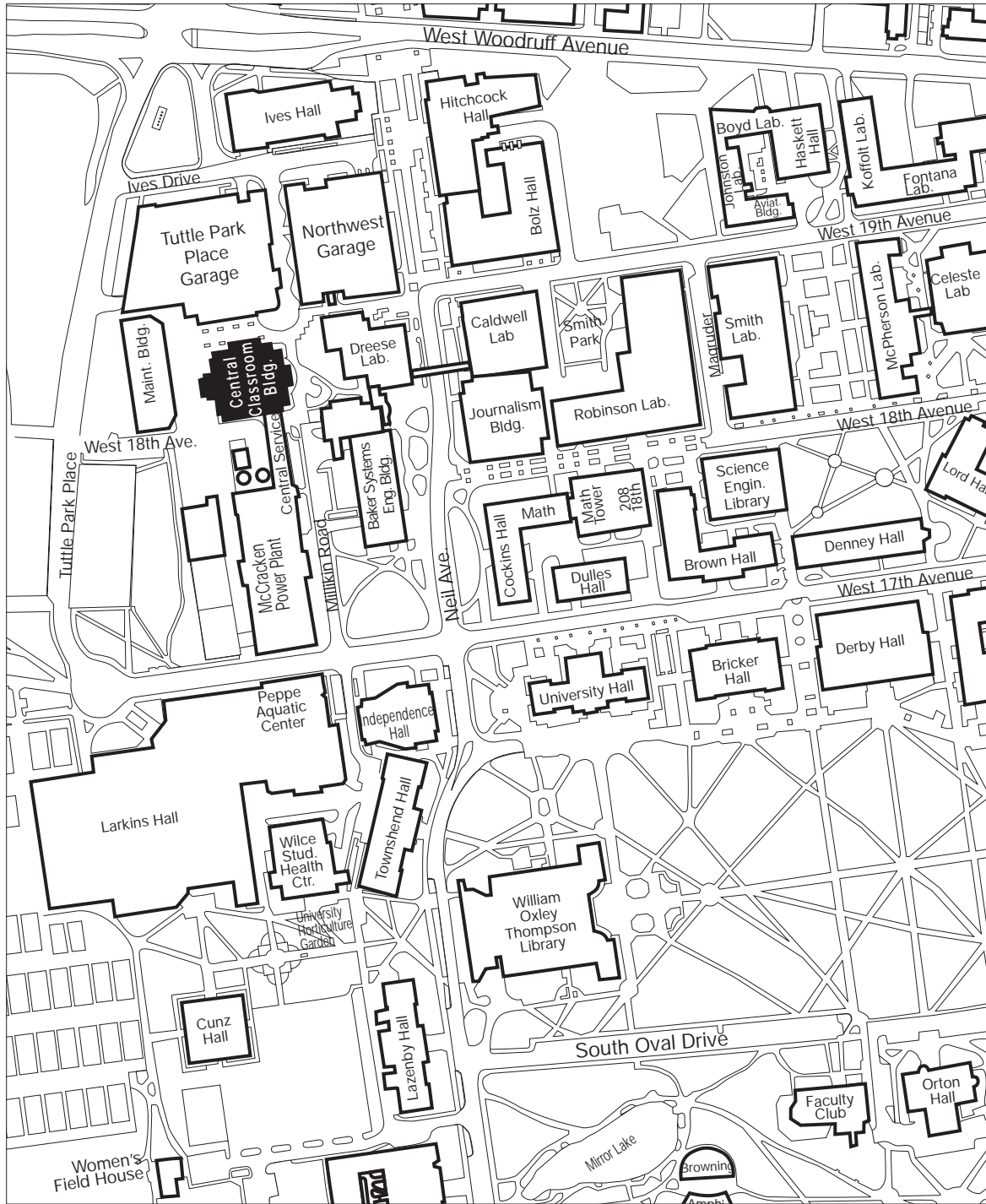
Vivian Hall - Rooms 1-218



Office of Business and Finance
Office of Facilities Planning and Development

May 16, 2001

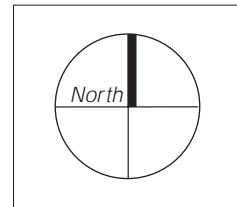
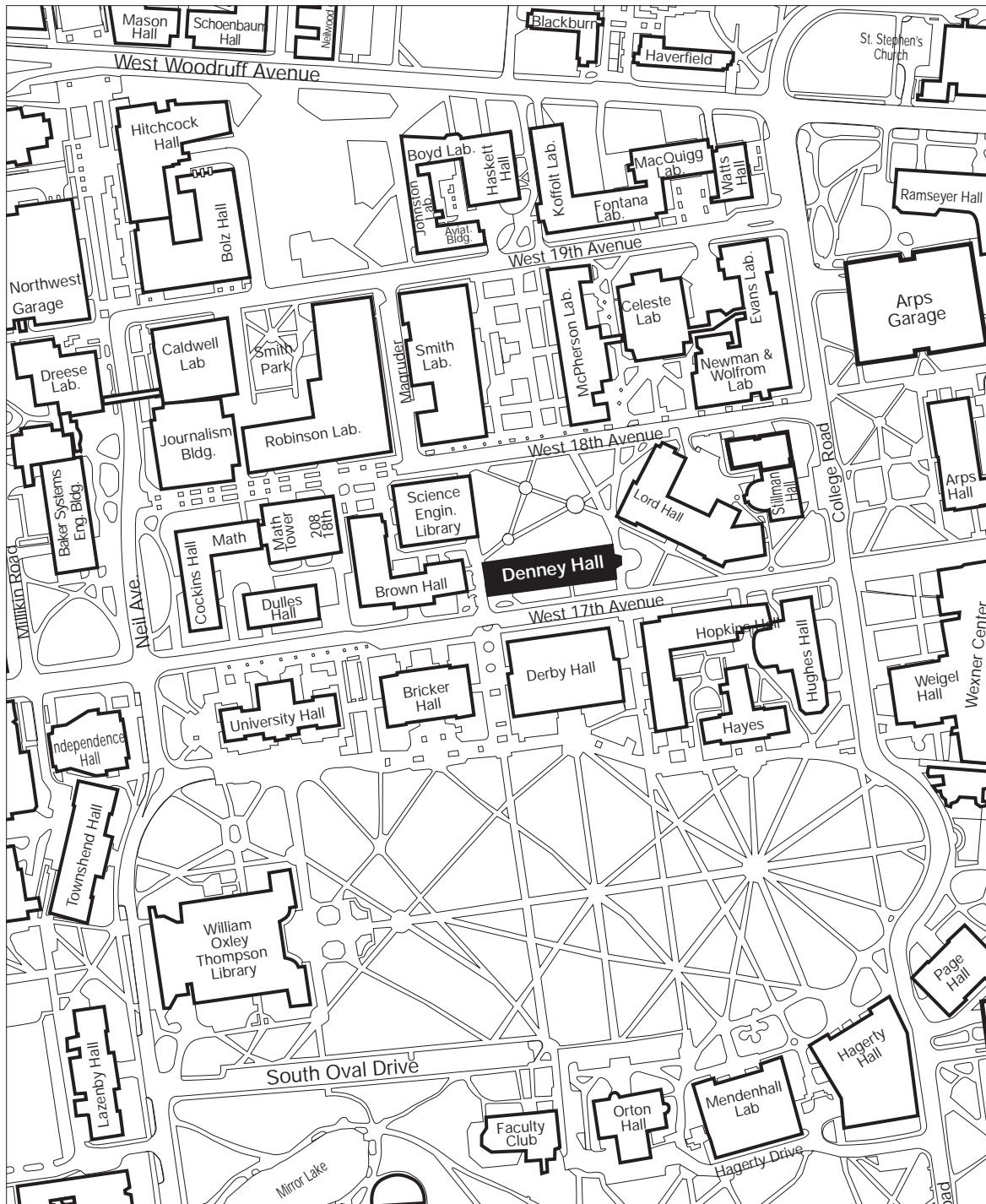
Central Classroom - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

May 16, 2001

Denney Hall - Classroom Renovation Phase I



Office of Business and Finance
Office of Facilities Planning and Development

May 18, 2001

**The Ohio State University Board of Trustees
June 1, 2001**

TOPIC:

Compensation Guidelines

CONTEXT:

The April 6 compensation report to the Board of Trustees showed that Ohio State is, on average, below the market for most faculty and staff (Attachment A).

Fiscal Year 2001-02 will be a particularly difficult budget year due to very limited growth in state support and unusually large increases in health benefit and fuel costs (Attachment B).

A one-time health insurance premium credit will be given to staff earning below \$30,000 annually, to help offset increased co-pays and deductibles for that group. Premium credit would be \$50 for single coverage and \$156 for family coverage.

RECOMMENDATION:

- Due to limited availability of funds, target a flat dollar increase of approximately \$395 annually to all regular faculty and staff with a 75% to 100% appointment whose performance is satisfactory or better. This will offset increases in insurance premiums and parking for most faculty and staff (Attachment C), but will not offset increased co-pays and deductibles.
- Increase Graduate Associate stipends by \$10 per month and increase the minimum Graduate Associate stipend to \$900 per month.
- Implement the first year of a three-year plan by providing \$15 per month in University support for health insurance coverage for graduate associates. The three-year plan is to reach a total benefit subsidy of \$50 per month.
- Total estimated cost of these recommendations will be approximately \$3.9 million in Columbus Campus General Funds (Attachment D).
- Allow the University Medical Center flexibility to meet market demands unique to its market with self-generated funds.
- The President will present to the Board in October a plan to restore salaries to competitive market levels beginning in FY 2003.

ACTION REQUESTED:

Approval of attached resolution.

Office of Academic Affairs
Office of Business and Finance
Office of Human Resources

08/20/07

**Summary of Compensation Benchmarking Report
April 6, 2001
Board of Trustees Presentation**

- Our salary increases have been trailing the market.
- Market will increase by approximately 4.0%.
- Faculty salary ranking among benchmark institutions has slipped to 8th out of 10.
- 13 of 17 colleges are below market for faculty salaries (excludes the College of Medicine and Public Health).
- Staff salaries are also below the market.
- We continue to differentiate salaries based on performance.
- Net institutional investment for Graduate Associates is competitive; however, net financial support is not.
- We are among only 3 of 14 comparison institutions that do not provide any medical benefit subsidy to Graduate Associates.
- Benefits costs as a percent of salary has remained steady during the past 10 years.

Selected Cost Increases as a Percentage of Payroll

FY 2002 General Funds Only

	<u>Dollars</u>	<u>Percent*</u>
3.3% tuition cap relief	\$4.6M	1.0%
Student Financial Aid	\$8.8M	1.9%
University share of health insurance for faculty and staff	\$6.6M	1.4%
Fuel (natural gas, oil)	<u>\$2.5M</u>	<u>.5%</u>
	\$22.5M	4.8%

* *One percent of General Funds payroll equals \$4.6M.*

In other words, the University's General Funds budget in FY 2002 will absorb the equivalent of a 4.8% pay increase to pay for essentials such as Student Financial Aid, health insurance, fuel costs and improvements to the undergraduate student experience.

“Core” Fee Increases for FY 2002**Faculty/Staff Contributions**

Core Fee Increases	<i>Annual Increase</i>	
	Classified	Unclassified/Faculty
Prime Care Family Coverage	\$312.60	\$312.60
Dental Family Coverage	22.56	22.56
Vision Family Coverage	23.88	23.88
Parking Fees	18.00	36.00
Total annual increase:	\$377.04	\$395.04

Notes:

- * *Healthcare fee increases are approved and final*
- * *Parking fees will be approved by the Board of Trustees this meeting*

Data as of April 23, 2001

**Estimated Cost of Compensation Recommendations (Including Benefits)
Columbus Campus *
(FY 2002)**

Item	General funds	Other Funds	Total
	(in millions)	(in millions)	(in millions)
\$395 Annual Increase	\$ 3.06	\$ 3.35	\$ 6.41
GA Stipend Increases	.31	.15	.46
GA Health Insurance	.54	.28	.82
Total	\$ 3.91	\$ 3.78	\$ 7.69

* Excludes approximately 3,300 University Medical Center employees and 1,800 employees covered separately under collective bargaining contracts.

Total Employees Affected:

Faculty	3,972
Staff	8,953
Graduate Associates	5,042

TUITION AND FEE RECOMMENDATIONS

I. CONTEXT

A. Review of benchmarking comparison:

1. Revenues per student FTE at Ohio State (\$6,473 per FTE student) are significantly less (20%) than the benchmark institution average¹.
2. State support per student FTE at Ohio State is also significantly less (\$748 or 7.8%) than benchmark institutions¹.
3. Resident undergraduate fees at Ohio State are significantly below the average (\$171 per student or 3.8%) for benchmark institutions².
4. Resident undergraduate fees at Ohio State rank 8th among 13 Ohio public assisted universities and are \$252 (5.4%) below the State average³.

B. Conclusion

This makes Ohio State, which continues to compete effectively with its aspirational peers with respect to academic quality, increasingly at risk of falling behind in providing students with a truly outstanding education.

II. RECOMMENDATIONS

A. In order to maintain a competitive position financially, Ohio State needs to increase student fees, effective Autumn Quarter 2001.

1. Resident undergraduate instructional and general fees will increase \$405 per year (9.3%), or \$135 per quarter for a full time student. This is \$144 above the fee cap and has the support of other State universities and the Ohio Board of Regents. The additional funding will be allocated as described under "Implications" below. Fees for non-resident undergraduate students will increase \$822 per year (6.5%).
2. Resident graduate instructional and general fees will increase \$285 per year (4.7%), or \$95 per quarter. Fees for non-resident graduate students will increase \$765 per year (4.9%).
3. Increases above 5.0% are recommended for professional and graduate student instructional fees on a selected basis in the colleges of Business, Pharmacy, Law, Medicine, Dentistry, and Veterinary Medicine.

B. University student financial aid will be increased proportionally to minimize hardship on our neediest students.

C. Weighted increase of all fees and charges will be 6.9% for resident undergraduates who live on the Columbus Campus.

D. Due to changes in the funding guidelines for Access Challenge, a recommendation for the Regional Campus Fees will not be presented until the June 29 meeting.

E. Instructional fees for all Graduate and Professional programs will increase by a base of 5%. Differential Fee Increases⁴ above the 5% base are recommended for the eight graduate and professional programs listed below. These monies will

¹ Based on FY 99 IPEDS Financial Survey.

² Based on Fall 2000 AAUD Tuition and Fee Survey.

³ Based on Fall 2000 Board of Regents Tuition and Fee Survey

⁴ Annually (3 quarters or 2 semesters), unless indicated otherwise.

be used to improve services to students in those colleges inside and outside the classroom. The nonresident surcharges for all Graduate and Professional programs will also increase by 5% with the exception of Medicine. Two of the programs are new in FY 2002- Labor & Human Resources and Physical Therapy.

College	Resident Instructional Fee		Non-Resident Surcharge ¹	
	%	\$	%	\$
Law (semester)	8.75	842	5.0	518
Business (MBA) ²	26.60	2,310	5.0	480
Labor & Human Res ³	14.50	822	5.0	480
Physical Therapy ⁴	9.50	540	5.0	480
Pharmacy	9.00	609	5.0	510
Medicine	10.00	1,347	0.0	0
Dentistry	9.50	1,083	5.0	984
Vet Medicine	9.00	963	5.0	1,017

F. General Fees:

The General Fee funds the student services functions of the Office of Student Affairs. While some General Fee expenditures have increased, these increases are offset by the transfer of International Education out of Student Affairs. International Education will be funded through the Instructional Fee as part of Academic Affairs. Therefore, no change is recommended in the General Fee for FY 2002. Recommended allocation of the General Fee for the Columbus Campus is as follows:

Category	FY 2002 Allocation Per Student Per Quarter ⁵
Counseling and Consultation Services	\$12.41
Disability Services	9.93
Recreation and Intramurals ⁶	19.66
Student Affairs Administration	8.33
Student Health Service	25.44
Student Life Offices	15.54
Student Union General Fund Support ²	13.82
Other ⁷	11.87
Total	\$117.00

Note: This is a 0% increase over FY 2001.

Note: General Fee per student per quarter is calculated by taking the annual budget divided by the predicted FTE total for the year. In FY 2002 the annual FTE is predicted to be 140,623.

Note: The transfer of International Education from Student Affairs to Academic Affairs resulted in the transfer of approximately \$7 per student per quarter from the General Fee to the Instructional Fee.

Note: THIS TABLE REPRESENTS A RECLASSIFICATION OF COSTS BETWEEN THE INSTRUCTIONAL AND GENERAL

¹ Non-resident students pay both resident and non-resident fees.

² Third year of a multi-year plan.

³ First year program of a multi-year plan. Increases are over the regular graduate fees.

⁴ First year program. Increases are over the regular graduate fees.

⁵ FY 2002 Enhanced Budget uses a space cost per square foot figure that represents the average cost of the space to the General Fund. (Law/semester fee is \$176.00).

⁶ Includes only non-revenue portion supported by General Funds.

⁷ Includes Student Personnel Program, Student Housing, University ID Center, Student Affairs Projects, Student Commuter Services, Student Advocacy Program and Parent Association.

FEES AS PROVIDED FOR BY STATE LAW AND DOES NOT
EFFECT THE TOTAL INCREASE IN FEES.

G. Other Charges and Fees

1. Summary of changes in student fees and charges:

The total cost for a resident undergraduate to attend Ohio State's Columbus Campus and live in University housing in FY 2002 will increase \$810 per year or 6.9%.

Fees and Charges	Percent Increase	Dollar Increase
Tuition ¹	9.3%	\$405
Room & Board ²	5.6%	300
Textbooks & Supplies	5.0%	44
Health Insurance ³	6.4%	45
Parking & Bus Pass ⁴	7.8%	11
COTA Bus Pass	0.0%	0
Football Tickets	5.3%	5
Basketball Tickets ⁵	0.0%	0
Total	6.9%	\$810

2. Other Increases are as follows:

Application Fees	No change
Acceptance Fees	No change
Basic Telephone Service	No change
Computer Fees	No change
Faculty & Staff Parking	9% increase
Faculty & Staff Health Insurance ⁶	32% increase
James Cancer Hospital	9% increase
University Hospitals	9% increase
University Hospitals East	9% increase

3. The College of Nursing is implementing a new Nursing Clinical fee of \$450 per year for undergraduates and up to \$750 per year for graduate students.

¹ Undergraduate tuition will increase 9.3% or \$144 above the 6% fee cap.

² South dorm 2/room, 10 meal plan.

³ Based on the single student medical only rate.

⁴ Rates are for the Columbus Campus.

⁵ Some tickets increased \$1.

⁶ Family Plan - Prime Care.

III. IMPLICATIONS

- A. Why are student fees at Ohio State increasing more than inflation?
1. The University is committed to improving itself and its value to the people of Ohio.
 2. The University is behind its competitors in resources available to meet student needs.
 3. The University is embarking on a multi-year plan to improve the quality of the undergraduate learning environment and enhance student services. This will be funded, in part, through tuition increases beyond the previous annual 6% tuition caps.
 4. Although students will share in providing additional resources through higher tuition, the University will also seek other funding sources including private fund raising, sponsored research and internal reallocations through improved operations.
- B. The additional funding above the 6% fee cap will improve the experience of our students and will be allocated for (see President Kirwan's message in section V. Additional Information):
1. Student Financial Aid to protect our neediest students.
 2. Access to high-demand courses.
 3. Improved instructional technology and updating both hardware and software.
 4. Expanded and improved academic & career counseling.
 5. Expanded and improved training for Graduate Teaching Assistants.
 6. Expanded and improved Living/Learning Centers and other special programs.
 7. A Multi-Cultural Center to enhance racial and ethnic diversity.
- Note: This list is subject to modification as the University receives additional student input.*
- C. Even with a 9.3% tuition increase, resident undergraduate tuition at the Ohio State university would still be lower than 6 of the 13 state universities.

**Impact of 9.3% Tuition Increase for Full-time Resident Undergraduates
At OSU Only**

FY 2001 Annual Fees ¹		Projected FY 2002 Annual Fees ²	
Miami	\$6,403	Miami	\$6,787
Cincinnati	5,337	Cincinnati	5,710
Kent State	5,286	Kent State	5,603
Bowling Green	5,184	Bowling	5,495
Ohio University	5,085	Ohio University	5,390
Toledo	4,680	Toledo	4,961
Akron	4,496	Ohio State-Main Campus³	4,788
Ohio State-Main Campus³	4,383	Akron	4,766
Wright State	4,335	Wright State	4,595
Youngstown State	4,142	Youngstown State	4,391
Cleveland State	4,095	Cleveland State	4,341
Central State	3,573	Central State	3,787
Shawnee State	3,162	Shawnee State	3,352

IV. CONCLUSIONS

- A. Ohio State is an excellent value for Ohio taxpayers, but is not as well funded as its competitors.
- B. Ohio State students expect and deserve the same or better level of service as students elsewhere.
- C. Ohio State will strive to continue to make improvements in the quality of services to students in FY 2002 despite low levels of State support.
- D. Ohio State continues to compete effectively with its aspirational peers with respect to academic quality. However, relatively low levels of state financial support means we are increasingly at risk of falling behind in providing students with a truly outstanding education.

V. ADDITIONAL INFORMATION

- A. President's March 28, 2001 Message Students:

PRESIDENT, STUDENT LEADERS ADDRESS TUITION ISSUE

-- President Kirwan kicked off spring quarter with a letter on Monday to undergraduates explaining the purpose and plans for the university's proposal to increase tuition by 3 percent above the 6 percent cap set by the state legislature. The president's letter was followed today by a letter to students from new Undergraduate Student Government President Ryan Robinson, which also addresses the salient points of the plan and seeks student comment in advance of a vote today by student government representatives on their support for the plan.

In his communication, President Kirwan shared with students details regarding the proposal, which will raise tuition an additional \$48 per quarter above the cap next year and bring \$8 million to university coffers during the

¹ Source: Board of Regents

² Assumes 9.3% increase for Ohio State and 6.0% for everyone else. Actual increases may vary depending on individual institutional decisions.

³ Includes annual COTA fee of \$27.

next two years. The president also outlined how the revenues would be spent. The allocations are:

- Increase student financial aid. (\$4 million)
- Increase access to high-demand courses. (\$1.8 million)
- Improve instructional technology, first by keeping computer labs open 24 hours a day, seven days a week, and updating both hardware and software. (\$700,000)
- Increase and improve academic and career advising. (\$450,000)
- Expand and improve training for Teaching Assistants. (\$100,000)
- Improve and expand Living/Learning Centers and other special programs. (\$700,000)
- Establish a Multi-Cultural Center to enhance racial and ethnic diversity. (\$250,000)

The president's letter linked to a Questions and Answers web site which addresses many of the questions and comments regarding the plan, including many that have been raised by students in meetings across campus. Among the issues are how the proposal might impact the university's diverse student population and how students who receive financial aid will be supported.

A form also is available on the web site which allows students and others in the university community to submit their questions about the plan or comment on its proposals. Faculty and staff can read the Q&A, as well as links to the letters from Kirwan and Robinson, on the web.

NOTE: THE DETAILS OF THIS MARCH 28 PROPOSAL ARE BEING MODIFIED BASED ON STUDENT INPUT.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-FOURTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, June 29, 2001

The Board of Trustees met at its regular monthly meeting on Friday, June 29, 2001, at The Ohio State University Parker Food Science and Technology Building, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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June 29, 2001 meeting, Board of Trustees

The Chairman, Mr. Brennan, called the meeting of the Board of Trustees to order on June 29, 2001, at 10:45 a.m. He requested the Secretary to call the roll.

Present: David L. Brennan, Chairman, James F. Patterson, Robert M. Duncan, Karen L. Hendricks, Jo Ann Davidson, and Kevin R. Filiatraut. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

Mr. Chairman and members of the Board of Trustees, I would like to open my comments by updating you on our actions to address the decline in compensation at Ohio State relative to our benchmark peers.

As I mentioned at our meeting earlier this month, this decline threatens not only our aspirations but also the present quality of our University. Therefore, we must take extraordinary steps to address this situation because, as the Academic Plan makes clear, competitive compensation for faculty and staff is our top priority.

This past week, I named a Competitive Compensation Oversight Group to advise Ed Ray and Bill Shkurti as they develop a plan that will enable us to raise faculty and staff compensation to the mean of our benchmark peers within three or four years. This advisory group includes several deans and department chairs, as well as representative faculty, staff, and student leaders.

As I mentioned in our previous meeting, the basic strategy of the plan will be presented to the Board of Trustees at your October meeting. The detailed plan will be completed by January 31, 2002, with implementation no later than one year from now.

Our intent is to make a multi-year commitment of significant salary increases. Given the current state funding circumstances, this plan will require us to provide most of the initial funding from internal resources through reallocation of funds. This will be a formidable challenge and will require every area of the University to make cuts in their budgets in order to free up the funding necessary to reach our compensation goals.

Difficult though this will be, I am convinced we have the ingenuity and the will to develop and implement a compensation plan that will enable us to meet our compensation goals. Thus, although this year's salary increases are woefully inadequate, we are committed to creating a much better situation for our faculty and staff in the very near future.

Over the past few months, these funding issues have commanded a large measure of our attention -- and the majority of my comments to the Board. Now, I must ask you to bear with me while I speak with you about one more funding issue. Fortunately, though, this is a much more positive story than those we've become accustomed to.

I'd like to give you an update on our development efforts for this year. As you know, last July we completed the record-breaking \$1.23 billion "Affirm Thy Friendship" campaign. Immediately following such a successful campaign, one might expect a dip in contributions. But under the leadership of Jerry May and with some incredible work from our entire development team, our faculty, deans, and others, we have actually increased our year-to-year gift receipts by 10 percent through May.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

"Affirm Thy Friendship" established the momentum. And the Academic Plan has given structure and vision to excite our donors about gifts to Ohio State to support our drive for academic excellence.

Through May 31, our development efforts have raised more than \$122 million in this fiscal year -- funds that will directly help us achieve the goals of our Academic Plan. And that \$122 million does not include gifts in June, which will be the biggest single month of giving in the University's history.

The gifts this month will include a landmark \$10 million gift from Elizabeth Ross. That gift will be presented to the Board later today with a request to name The Richard M. Ross Heart Hospital.

The Ross Heart Hospital will work in tandem with Ohio State's new Dorothy M. Davis Heart and Lung Institute, which was supported by a \$10 million gift from the William H. Davis, Dorothy M. Davis, and William C. Davis Foundation. Together, these two facilities will become a comprehensive heart center that will be unique to Central Ohio and unparalleled in the nation.

This center will represent a clear demonstration of Ohio State's commitment to excellence and to improving the quality of life of the people of Ohio. We are extremely grateful to the Ross family for embracing our vision to make Ohio State a national leader in heart research and patient care -- and we are extremely grateful -- and for helping to make that vision a reality.

Later in the meeting, we will accept yet another major gift. In fact, it is the largest single gift in the history of the University. This is an incredibly generous and truly historic gift to our College of Law, and I look forward to talking more about this gift near the end of our meeting today.

Many other major gifts over the past several months are helping us to make progress on a variety of goals in the Academic Plan. For instance, an \$8.2 million gift from the Mitte Foundation in Austin, Texas, is supporting 75 scholarships at the Fisher College of Business -- enabling us to attract the most promising of students.

A \$7.2 million gift from Roger and Tina Blackwell is helping us build the final piece of our incredible Fisher College campus -- The Roger D. Blackwell Inn at Fisher College. The Inn will be an incredible facility for our institution and will enable us to expand our education programs for executives.

A \$4.5 million gift from Nationwide is funding initiatives that promote diversity, outreach, and academic excellence. A \$3 million gift from Thomas L. Parker helped us create the facility we are in today, which will be instrumental in our efforts to make our food science program number one in the nation by 2003. And a \$2 million gift from the Motorists Insurance Group Foundation is helping us build on our tradition of excellence in our athletic programs.

I want to congratulate Jerry May and his entire development team, and all others involved for their hard work and their great success in these efforts.

The University has experienced several other successes as we close another academic year, and I'd like to mention a few of them to you.

First, the College of Social and Behavioral Sciences has hired three major scholars in anthropology, geography, and public policy and management.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Edward Malecki, an international expert on regional development, will become director of our new Center for Urban and Regional Analysis. He comes to us from the University of Florida, and his Ph.D. degree is from Ohio State.

Clark Larsen, a world-renowned authority on bioarcheology, will become chairperson of the Department of Anthropology. He is moving here from the University of North Carolina. And Bert Rockman, from the University of Pittsburgh, will be the new director of the School of Public Policy and Management. He is internationally known for his scholarship on comparative bureaucracy -- something we need here at Ohio State -- and the making of public policy. Dr. Rockman and Dr. Larsen both hold Ph.D. degrees from the University of Michigan, but we won't hold that against them.

We also learned this month that a multidisciplinary team of researchers from the College of Medicine and Public Health was awarded a \$4.6 million NIH grant to study the causes and progression of lupus.

Next week The Ohio State University Jazz Ensemble, which consists of 20 undergraduates and led by director Ted McDaniel, will fly to Europe to perform at the most prestigious jazz venue in the world -- the Montreux Jazz Festival. They are the only college jazz band invited to perform there. What a great achievement for this group of very talented musicians.

We also reached new heights in athletics this year, as Ohio State finished sixth nationally in the Sears Directors' Cup. That's our best finish in the eight-year history of the cup. The competition measures each university's overall athletic program by comparing the national rankings of up to 10 men's and 10 women's sports. Last year, we were fourteenth in this competition.

Finally, I want to mention the departure and arrival of three of our deans. I am disappointed to tell you that Dean Judith Koroscik, from the College of the Arts, will soon be leaving us for a deanship at the University of Cincinnati. Dean Koroscik has been a tremendous leader in the College of the Arts, and we wish her much success in Cincinnati. Within the next few weeks, we will name an interim dean and begin a full search for a permanent dean of national reputation.

You already know that Greg Williams will be leaving us to become president of the City College of New York. I'll have more to say about Greg's leadership at the law school later in our meeting.

On a more positive note, I will be very pleased to submit to the Board later today our recommendation of Nancy Rogers as the new dean of the College of Law. Professor Rogers is currently Vice Provost for Academic Administration and has received overwhelming support from the College of Law's faculty, staff, students, and alumni for her appointment. She is a highly regarded legal scholar and an outstanding educator, and she has the vision, the expertise, and the management skills to lead the college to an even higher level of excellence.

The fact that we have someone of Professor Rogers' exceptional talent on board to take over for Dean Williams speaks very well for the rich depth of talent among our faculty.

With the appointment of Professor Rogers as the first female dean of the college and with the news that will come at the end of today's meeting, this will certainly be an historic day in the history of Ohio State University College of Law, indeed, The Ohio State University.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Mr. Chairman and members of the Board of Trustees, this concludes my report.

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STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING

President William E. Kirwan:

Today I'm pleased to introduce Bobby Moser, who will be joined by several colleagues, to talk about an effort he has been leading on the Strategic Plan for Technology-Enhanced Learning. Bobby --

Dr. Bobby D. Moser:

Dr. Kirwan and members of the Board, thank you very much for this opportunity to give a progress report on an effort that was put together by the President and Provost to look at distance education at Ohio State University.

Before I do that, I would like to welcome you to the new Parker Food Science and Technology Building. One of the other hats that I wear for this University has to do with this particular program, which we're very proud of. The Parker family was very pleased that they were able to help us acquire this nice facility, which will launch us, Mr. Brennan, right to the top in terms of food science and technology.

Just a little trivia, the Parker family developed the ice cream drumstick. So every time you eat an ice cream drumstick, think of the Parker Food Science and Technology Building at Ohio State University. Dr. Ken Lee, chair of this department, just announced that as you leave the meeting today, be sure and get a free ice cream cone from the College of Food, Agricultural, and Environmental Sciences. All of you were very instrumental in making this particular building happen, so we opened up the store so you can have some ice cream.

Mr. Brennan:

Bobby, we thank you for hosting us this morning. Specifically, I was hoping you'd make that announcement up front.

Dr. Moser:

Let's go on with the task at hand. As I said, the President and Provost appointed a task force to take a look at two basic questions as it relates to distance education: 1) How is Ohio State doing in comparison to our peers and other national leaders in this area? and 2) How should Ohio State move forward as an institution in this area?

We've been very fortunate to work with some very key people in helping us get to this point in our progress. Today we're going to give a report on Phase 1, which is rapidly coming to a close, and then look at Phase 2, which will move us into the fall.

A couple of keys points to keep in mind as we put this together. One is that what we have to develop here and to show you is a little bit different than what most other people have done in the past. We're making our distance education program an integral part of the University -- it's not a separate entity -- and we think that's going to add to our strength. We are really trying our best to focus on

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Dr. Moser: (contd)

identifying our strengths to the needs that are out there. Of course, Mr. Brennan has always challenged us to think big and so we're trying our best to think very big with this effort.

So Phase 1 is really going to deal with the review of the learning technology market. A vision statement has been prepared and developed for this particular effort, and we now have a concept that we would like to present to you today.

Brad Englert, from Accenture, Alice Stewart, from the Strategic Planning task force, and Ilee Rhimes, Chief Information Officer, will make the presentation. So, I'm going to turn this over to Brad.

Mr. Brad Englert: [PowerPoint presentation]

In the handout on the second page, you have an overview of the scope of Phase 1. Phase 1 really was to determine, "Where are we today?" and "Where do we want to go in the use of learning technologies to enhance education?" -- not just at a distance, so it's a little broader. It's, "How can we use it to enhance current delivery?" Then, "How can we extend that out to a broader community?" We worked to define a vision for the future -- and Alice will present that -- and a model of how do we go forth and make the vision a reality.

Phase 2 will really take the vision and model and say, "How do we really understand what it will take to implement?" We have a lot of heavy spadework to go, but I think we could not get there without this vision in place.

If you look at the market, the use of technology in learning is really exploding, but it's still new and somewhat experimental. In terms of content, we found over the past two or three years a maturing market in terms of certain key areas. The MBA program, educational technology, engineering, and information technology all lend themselves to primarily post-bachelor degrees and selective programs, such as completing degrees.

Target audiences -- one thing that surprises a lot of people is the use of learning technology at a distance is not limited to those off-campus. Half of the people using learning technologies are actually on campus, when we look at some of the major institutions. So there's a counter intuitive that we can also enhance learning on campus, as well as externally.

We also have a lot of activity in teaming up with corporations and other institutions of higher education in terms of consortia, and the delivery mechanisms are evolving as well. That's really helping facilitate the explosion. Remember the primary means for distance education using learning technology used to be a lot of two-way interactive video. Now with the web exploding, the tools are becoming much more powerful and allow us to do things we could never do before.

I will now turn it over to Alice who will present where we are at OSU.

Dr. Alice C. Stewart: [PowerPoint presentation]

In reviewing our activities at OSU, one thing that we were very pleased to find is that there is a lot going on at OSU. People would be amazed if they knew exactly the extent, scope, and depth of the activities that are already ongoing.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Dr. Stewart: (contd)

What we have found is that there is a wide variety of technologies in use by the colleges. There are web-based programs, and there are programs on campus focusing on existing and enhancing the classroom experience. There are also classes that blend this technology with some on campus and off-campus type activities. There are video distribution classes based on sending out videos of lectures and there are collections that exist that can be accessed by students and used in the class.

The colleges are also reaching many different audiences. We do have resident and non-resident audiences. Alumni have been influenced by some of these types of technology-enhanced experiences. We have some high school advanced placement activities that are sponsored through the College of Mathematical and Physical Sciences. We have international project teams that run through the Colleges of Human Ecology and Social Work. Then we also have corporate consumers integrations on campus and off-campus -- and you'll see a demonstration of this in a few minutes -- via the executive MBA program.

So what we found was that there are many OSU colleges that are involved in delivering these programs. I think what's interesting is that the way that we are doing activity here at OSU is very consistent with what the market analysis shows other universities doing. So we are really not behind the curve. We are actually on the curve and moving the curve forward in this kind of activity.

If you look at page five, you'll see our assessment of where we are in the use of some technology relative to what we're able to see in the colleges. We believe and estimated about 80 percent of the colleges are using types of things like: E-mail, putting their syllabus on websites, using chat rooms and threaded discussion, and using the web CT technology to put some of their basic course materials online. We did see a lot of that activity. It's not necessarily across-the-board, but in every college there are people doing these kinds of activities.

In about 30 percent of our colleges we see some substantial initiatives that are putting resource materials online. A really good example of that is in the College of Food, Agricultural, and Environmental Sciences' Horticulture and Crop Sciences. That's an excellent example of how you can blend research activity with teaching activity through putting these things in reference material form. We also have digital libraries in the College of the Arts and the *Encyclopedia of Statistics* that's being developed in the College of Mathematical and Physical Sciences.

Then in about 10 percent of our colleges, we actually see movement forward in terms of putting classes online and making them freestanding and independent -- blending them into programs. So there's an evolution of using it as a communication tool, using it as course work support, and then using it as part of a degree program. This is an evolution. We have different phases along the way and different examples of that.

In looking at the many things that we do at Ohio State, we were asked to come up with a vision statement regarding these kinds of efforts. What we see and what we would like to be is the "Knowledge Provider to the World." We have identified this "Knowledge Management & Dissemination Vision" to:

"Maximize the intellectual capital of The Ohio State University using learning technologies to enhance, support and expand our research, teaching and service missions, in pursuit of global

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Dr. Stewart: (contd)

recognition as the high-quality source for information and content regardless of distribution format or channel.”

What that's trying to communicate is that this is an integrated effort. This is not something that's special, this is not something that is different – this is how we see a 21st century university operating. And we want to be on the forefront of that effort.

In order to do that, we wanted to try to organize our thinking around these types of activities. We needed to create a conceptual model that would help us move forward and try to focus our efforts. We wanted that model to place emphasis on the core asset of the University, which, we believe, is our faculty's knowledge. Not necessarily just on the emergence of a new delivery system -- not just technology, but the content.

We wanted to integrate this with our major focus of the University, which is research, but also blend research with our teaching and service. We want to try to use technology to bring research and teaching closer together, and to provide the faculty with the tools that they need to facilitate this process.

We also want to think of this entire endeavor as something that is very purposeful and not just a scattergun or shotgun approach. We want to do things because they would be good things to do and because they will work, not just to try things and have too many experiments going at the same time. Also, as we've been asked to do, we want to think big.

So as you move to page eight, what we've created is a synthesis of what we see occurring at OSU and in the marketplace to try to create a framework around the activities that we're already engaged in and to provide a framework for our future direction. What you can see here is that we have identified technology-enhanced learning as both an internal and external activity and opportunity.

Internally, we see it as a way that we potentially could provide efficiencies in the classroom. We could perhaps use this to help reduce our closed courses, to perhaps lower our instructional costs in some areas, and improve access through the improved delivery mode. In this area, we might focus on high demand courses and how we could make those accessible to students.

The other internal focus – and, I believe, this is probably the more widespread one -- is through enhancing the quality of the courses as they are now. What we found as a theme in many of our interviews is that there is a visual component to learning that becomes much more active using this technology. There are things that if you see them, you understand more quickly. I think that incorporating that visual aspect into our coursework can really make the student experience much richer and more meaningful.

In terms of the external market we would use this technology to update professional knowledge, to identify and develop unique knowledge niches, and to provide a source of general knowledge to the community. We can use this technology to share and disseminate our knowledge more broadly throughout the State of Ohio, the nation, and the world.

Now I'd like to turn this back over to Brad, to talk a little bit more about other considerations.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Englert:

The next question is, "What next?" This would be in Phase 2 of the project. The team supporting the committee is trying to flush out a number of opportunities and what the revenue potentials and costs for some of those opportunities would be. It would be very much a business plan.

In terms of revenue sources we have looked at what other institutions are doing. There's a variety of revenue sources -- not just the traditional ones -- such as licensing content, selling subscriptions or access to information, venture capitals, grants, etc. In fact, we've talked with Brad Moore about opportunities for grant funding in some of these initiatives.

On the cost side of the equation -- it's not just one-time costs, but ongoing costs and we factor that in with the opportunities that we're pursuing. We don't want to create necessarily everything at once, but to evaluate the costs and the benefits, and then to decide how to move forward. In terms of the experiences we've learned from others, people tend to underestimate the personnel costs of these. So we want to do a good job and graduate that into Phase 2.

What does it take to really support these programs? In terms of the benefits, I think they're clear. We're really trying to interweave the use of learning technology into what the University already does, emphasizing its strengths -- focusing on research -- and how to use that intellectual capital in delivering learning.

Also, a key piece of the strategy is aligning the faculty goals with the new opportunities. We've done research in a number of incentive programs that we think can be part of this moving forward. The bottom line is setting the standard for the land grant institutions of the 21st century.

For those who are saying, "Okay, that's fine. What exactly are you going to do in Phase 2?" We presented a little more detail anticipating the question, Mr. Chairman. In Phase 2 there are really a number of activities. One is faculty consultation. We have a lot of work to do with the faculty, to work through the model, and what it means and the implications there. There's a lot of work in policy and governance. There are intellectual property issues we need to work through. There are already committees established to look at these, but they go parallel with the blocking and tackling of picking products, creating the cost benefits for those initiatives, and then laying out what the plan would be based on the opportunities selected. So there is more work at hand.

Now I'd like Ilee Rhimes, Chief Information Officer, to take over.

Mr. Ilee Rhimes, Jr.:

As we move through Phase 2, we will need to address many critical questions or critical success factors. Some of the key factors are as follows: we'll need to look at short- and long-term target opportunities and, in particular, see how well they're aligned with the goals of the Academic Plan. Furthermore, we will need to see how we can effectively leverage what we have and build on our many pockets of excellence.

The next thing we'll need to do is to look at how much we need to invest in start-up and ongoing operations. For example: What enhancements do we need to make to the technology infrastructure? What is our revenue and expense forecast? When can we expect a full return on our investment? What kind of

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Rhimes: (contd)

incentives will be needed? How effectively will this model operate within our current organizational framework?

Now the overarching question will be, "How will we make this initiative increase our ability to deliver technology-enriched education programs in classroom locations and over distributed education networks?" That's really the overarching question.

In closing, we do have many outstanding examples of technology-enhanced learning throughout the University and we have a couple of examples to share with you today. I'll pass it back to Bobby Moser and he'll introduce the speakers.

Dr. Moser:

I see is correct; we have many outstanding examples of technology-enhanced learning, but have chosen two to present to you today. The College of Nursing and the Pharmacy degree program were presented at the Student and Educational Affairs Committee meeting earlier today, so this is a distance education day for all of us.

First up is John Tully, from the Department of History. John --

Mr. John D. Tully: [PowerPoint and web presentation]

Thank you very much. I'm glad to have the opportunity this morning to talk with you about technology in teaching and the efforts that we're making in the history department to really blend those. The results are our ongoing efforts to bring our research into the classroom and to stimulate, in any way that we can, the dynamic dimensions of learning.

Before I get started, I would like to give a little warning to Dr. Kirwan. Dr. Kirwan, you are a good friend to the Department of History and as such you've heard portions of this presentation before. So I've taken the liberty of mixing things around and adding in some new things and installing a pop quiz for you at the end. You're all welcome to either take the quiz yourself or to grade Dr. Kirwan.

When I was single I used to hate the dating question, "What do you do?" Because when I mentioned history it was almost invariably followed by the, "I hated history in high school" response. This was bad for my ego, but it was good for my wallet because I saved a lot of money by not going on second dates.

Unfortunately that laugh of recognition is maybe because some of you had the same type of history class experiences that too many of us have had. They probably looked something like this -- a series of names and dates. They would start out somewhat clear and they would eventually get more confusing. You'd try to memorize them, you'd get them mixed up, and you'd do your best to spit them back out on a test. You would do some better, some worse, but as soon as the class was over you tried to forget them. Unfortunately many of the women, at least that I've met, also seem to make a mental note never to date a historian. So that wasn't good.

What if we historians were able to teach history with the same kinds of things that made us love history? Indulge me for a moment please in one of my favorite songs.

[*Buckeye Battle Cry*]

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Tully: (contd)

This music and these images evoke strong memories and very strong feelings among Buckeyes. I could show you hundreds more that would do the same thing. Long nights at the library, late night pizza on High Street, the chimes of Orton Hall, and graduation day -- different feelings, different emotions, and different memories. But in some sense, they all have formed a part of who we are as individuals and how we have developed as an OSU community.

A community that, as the seasons pass and the years roll on, continues to grow and evolve. As Buckeyes we understand these images, we know how the University has changed over time, and we know how the University has changed us. What we want to do in the Department of History is to allow our students to have that same deep sense of understanding of other human societies here and abroad.

Think again about that jumble of names and dates that I put up earlier and think of how an inspired teacher with the appropriate use of technology can make them come alive. This is the stuff of history -- not memorizing names and dates, but examining memories, searching for meanings, understanding how societies change over time, exploring why things happen here, and how things happened elsewhere.

The challenge before us then as a department was not to learn how to conduct outstanding research, publish award-winning books, and be effective teachers. These are goals that are before us every day and we try to accomplish them in innumerable ways. We can point to our Selective Investment Award, our Departmental Teaching Excellence Award, our professional recognitions, and our students as evidence of our success. No, our challenge was and is to continue to find innovative and effective ways to create a high-quality learning and teaching environment. We've had great success in this over the past few years and we're exploring new avenues all the time. It's technology that allows us to do this in ways you couldn't even imagine several years ago.

As a department we created the country's first electronic customized history reader, *Retrieving the American Past*. Dean Hogan, when he served as the department's chair, called the Americanists together in an effort to find a more effective tool for our undergraduate survey classroom. All of our faculty -- and I need to repeat this, all of our faculty -- donated their copyrights and royalties back to the department as a way to enhance our teaching budget.

Instructors both at Ohio State and across the country can order any combination from our electronic database of modules based with our publisher in Boston. They can add in their own material. The books are custom-made with a table of contents and any additional material they may need can be included and sent to their bookstore in about two weeks.

Our publisher decided to bring *RTAP* to the national market four years ago. It's now the second best selling-reader in the country, with sales last year of over \$600,000. It's used at over 90 colleges and universities, and the department has created an endowment with the revenue to help our teaching and scholarship budget.

So we've used funds from *RTAP*, from the Departmental Teaching Excellence Award, and from some very generous donors to create the Harvey Goldberg Program for Excellence in Teaching. And I'm proud to serve as the program's director.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Tully: (contd)

The instructional center that we have not only encompasses the two reader projects, but is fully equipped to answer all the needs of history faculty and TA's as they want to bring technology into the classroom. Whether that's printing a color overhead, mining the web for resources, creating a class website, using digital video, creating CD-ROMs, or whatever they might want to do.

The best part of being director of the Goldberg Program is not access to all that neat technology -- although that is a lot of fun -- but hearing faculty and TA's talk with one another about teaching and finding effective ways to communicate our love of history to our students. We are also founding members of the OSU Web Media Collective, an interdisciplinary group on campus with initial and continuing funding from TELR to find effective ways to bring the Internet to the classroom.

Why do we do all this? Because used effectively, technology in the classroom is not just bells and whistles to capture the imagination of an MTV generation. It gives us access to pedagogical tools and primary sources that we just didn't have access to even a few short years ago.

For example, this clip I'm about to play is an 1896 Republican campaign song railing against William Jennings Bryan. Until three years ago, you had to travel to Washington, DC, to hear this song, let alone to bring it into a classroom.

[Audio recording]

Now this is a funny song to be sure, and I'm sure it's absolutely no reflection of any elected official who might be with us this morning. But what better way to begin to engage your students in a discussion of the tumultuous discussion of the 1896 election, then to allow them to experience history as much as possible in ways they were never able to do so before.

Here's another example of how technology can be used to enhance the undergraduate classroom and to be used for distance education. When I lead discussions on Lyndon Johnson, I try to get my students to understand something of his personality and relationship with Congress. Now I can talk to students about it and I can give them readings, but what better way than to give them an opportunity to become a historian themselves.

We're about to hear a portion of a 1965 conversation that was just released several months ago from the LBJ Library. It's a conversation between Johnson and Adam Clayton Powell, who was chair of the House Committee on Education and Labor -- a crucial committee for Johnson's Great Society legislation. Powell was concerned about an appropriation in a separate bill before a different committee.

[Audio recording]

Identifying these types of resources and helping history instructors bring them alive in the classroom is an integral mission of the Goldberg Program, and one reason that I love my job so much.

Our latest publishing initiative is Exploring the European Past, or ETEP as we call it. It's designed to captivate the imaginations and enhance the classroom experience of undergraduate students in Western Civilization European history classes. ETEP is different from every other source book on the market, because it uses primary textual sources, secondary sources, audio clips, architectural artifacts, works of art, and interactive maps to encourage students into a deeper

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Tully: (contd)

understanding of history. It challenges students to learn history to be sure, but it also encourages students to think critically about textual and non-textual sources. We hope to establish habit of the mind, which our students will carry well beyond their time at OSU.

President Kirwan, it's time for your quiz. Take a quick look at the combination of different lines up there. I'll actually give you four choices: "A" – a graphic presentation of your recent battles with the legislature; "B" – Coach Tressel's new defensive game plan -- which means I am now in trouble with Athletics and I don't know where I'll be sitting for the football games in the fall; "C" – it's an easy-to-understand map of the Barbarian invasions; or "D" – none of the above. I wanted to make this as easy as possible for you.

President Kirwan:

Can I phone a friend?

Mr. Tully:

You certainly may.

President Kirwan:

I think I'll go with "D"; that is my final answer!

Mr. Tully:

Very good. The reason this is not "C" is because it isn't an easy to understand map of the Barbarian invasions, because no static map of the Barbarian invasions could be. You're trying to convey information that happened over hundreds of years, you're trying to convey motion and settlement. Whether this was in an overhead, in somebody's textbook, or you tried to draw it on the chalk board, you couldn't communicate the same type of information that you need to get to your students for them to begin to understand it.

So let's look at what we've done in ETEP. Now this map was created out of Dulles Hall in partnership with our publishing partners. An interactive and dynamic map shows you how things happened over time, what the speed of this motion was, and begins to show you when settlement occurred and why. If you had an ancient historian in front of you, instead of an American historian, you might have someone who would really be able to explain this better. I can't, but in the hands of a talented person like Dr. Gregory or some of our talented graduate students, they can make this map come alive and really get beyond the confusing aspects of history to get into the more interesting, intriguing, and analytical aspects of it, which made us all love it so much.

So we've begun to take the fruits of these ventures beyond the campus walls. This year we launched the 2001 edition of *RTAP* and a new edition designed specifically for the advanced placement history market. Last year's edition was used in twenty-three states around the country. It's these students who are willing to challenge themselves in AP classes whom we want to attract to the department and to the University, and this we think is a good way to do that.

Our interest in the AP classroom grows out of our taking the outreach mission of the University to heart. With funding from BETHA, we created the History Teaching Institute at Ohio State University. The teaching institute teamed

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Mr. Tully: (contd)

teaching technology fellows and graduate students at Ohio State with advanced placement history teachers throughout the State of Ohio. In doing so, we created what we think was the first year-long effort in the nation to help AP teachers find effective ways to bring technology into the classroom.

We're currently working with the Columbus Public Schools on a \$1 million teaching American history grant program, which we hope will extend the History Teaching Institute into all the high schools and all the history classes in Columbus. We're also creating what we call "Internet Documentaries." These educational websites are designed to allow our faculty to share their scholarships with the world, engage public debate on historical issues, and invite the world to visit Ohio State, if only virtually.

This is the home of our latest effort on the clash of cultures in the 19-teens and the 1920s. We currently get about 40,000 visitors every month to our public history sites.

Why do we do all this? Why are we so concerned about teaching? Why are we so concerned about finding effective ways to bring our scholarship into the classroom? It's because we want our students' minds to go from this...to this. Thank you.

Dr. Moser:

John, thank you very much. Our next presentation will be Anil Makhija, who is with the E-MBA Program in the Fisher College of Business.

Professor Anil K. Makhija: [PowerPoint and web presentation]

Thank you for the opportunity to present our new Executive MBA Program to you. I'll make a few comments about the program itself and subsequently will go to the distance learning aspects of the program. In the red folder that you have in front of you, I've actually included a copy of this and slipped in a brochure as well, because you might, I hope, find some people that will be interested in this.

This is the entire curriculum for the program. As you will see here, it has some six different modules. The whole program takes about a year and a half to deliver and at any given time a typical module has three months in it. During those three months, three courses are going on simultaneously.

The interesting thing you might note here is that classes meet essentially once a month. For example, classes for the first module meet on January 11-13, which is a Thursday, Friday, and Saturday. During those days, they meet for the full day, from 8 am to 5 pm. In this fashion, three courses are going on simultaneously.

We have the normal MBA program courses in the sense that the first set of courses is the framing courses in business: strategy, organizational behavior, and economics. Then we have discipline courses: statistics, accounting, and management information systems. Then there are the functional courses. For example, as a professor of finance I teach the finance course -- which I will use as an example to show how we conduct each of the courses.

After covering all the major areas of management education, the program takes on an integrative approach, which is throughout the program. For example, even

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Professor Makhija: (contd)

when we teach organizational behavior, strategy, and economics, at one point all three professors come together and discuss the same case.

After covering the major areas there are more integrative activities. There is a global integration course, which as part of the course requires project work and takes the students to Mainland China.

We're also unique in the country for actually developing customized courses for each class as we go over time and these are the integration courses. These courses are unique in the sense that they don't belong to any one department within the College of Business, but are being taught by different professors. For example, one course that we might be delivering is the creation and management of a diversified corporation. In that we have a finance professor and a strategy professor come together to address emergent issues in today's economy.

Besides the integrative courses, we have some electives as well. And as a final integrative activity, we have a business plan competition for which the seed money award last round was \$100,000.

As you notice this format, you will see several features why this format might be attractive to executives and to us as well. From the point of view of executives who have busy corporate lives, they need to come on campus typically only once a month. From our point of view, it also allows us now to reach students who are not just within driving distance -- which would be the typical case for our evening program -- but allows us to teach students in different parts of the country and beyond. In the first run we were purposely focusing on the Ohio and neighboring region. Nevertheless, people found us on the web and -- I will later show you the profile of this class -- we now have students flying in from Omaha, Nebraska, Buffalo, New York, Phoenix, Arizona, etc.

Let me show you the challenges such a curriculum presents. While it's very attractive from the point of executives who would like to get additional business education, it presents certain challenges. The attractive feature being that it can be managed within a format where these people can come and schedule themselves to be here on a limited basis. The challenges are that it has limited in-class time. If you count the hours for each course, it comes to 24 hours of in-class contact time. The typical Ohio State quarter course would be close to 40 hours. So immediately, you have an educational challenge as to how we are delivering equivalent graduate credits. That's one challenge.

Another challenge is the students are in class from 8 am to 5 pm, three days in a row. This breaks the mold of the typical course in which you do a little bit of learning and then the students go away, they have time to read, digest, and come back, and then you take the next step. So there is also a learning challenge.

Finally, the students are gone from our sight for intervals of one month at a time. During this period we could have alienated students or students who are not pursuing their educational goals actively. To address these problems, we took the following steps.

We developed a task force, which studied this format and its issues. We actually ran a pilot and have now implemented a learning model that addresses those problems. The core idea in this learning module, however, is that the interim time is not a separate time, it's really an integral part of the program. So what we

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Professor Makhija: (contd)

do now is we have gone over all of our courses and looked at the components of what we teach in those courses. We then divided them between what we consider to be the commodity-type of learning and the other type of learning, which we call, "value-added or enhanced learning."

Let me give you a couple of examples. In the strategy course, we provide the students now with some of the cases that will be discussed in class. So a case might be 40-pages long and the student will now, during the web time, go through that case. They will clearly have lots of questions and issues, but they will submit perhaps a three-page report with the help of assigned readings. So they will be given assigned readings and they will get feedback on this three-page report. They will do multiple cases so that when they come to class they will revisit the same material, but now with the benefit of the expertise of the instructor and also the substantial experience of the participants in this program.

Another example is in my finance course I give them a guide and assigned readings from textbooks, and I get them to actually try some of the problems on their own. I give them feedback, but when they come to class we do the more challenging problems in class.

This turns the students from passive learners, at whom information would be constantly thrown, into active learners. They come to us already with issues to discuss, rather than to take down information. We hope that the outcomes that we are seeking will not only be covered through the additional time and work during the web period, but because of the limited in-class contact hours we'll get the coverage of the material. Hopefully, we'll also get active learners when they are with us and they will learn from individuals who don't spend their time in class acquiring information, but rather working with us -- manipulating and discussing it.

In a moment I will show you some of these features to support the web time as a very important component of the program. We consider the web time as scheduled in as much detail as any professor's schedule is in class -- weekly assignments, feedback, interaction, etc.

Before I get to that, I want to give you a sense of whom the audience is. This is, of course, an executive audience and this is our first executive MBA class. We've already done the pilot, but this class has already been with us for two quarters. Typically these students have a lot of experience and they meet very well the profile of other students that we have admitted into the school. They come with lots of responsibilities and experiences, and it is very important therefore to get them to share this with their other colleagues in the class because they have a lot to contribute. Overwhelmingly these students are all supported by their corporations, perhaps, because of the steep tuition that goes with this executive MBA program.

Turning to the website, I will run through a typical course and the other features to give you a sense of how we are trying to support this material. Here are some of the companies that are in the program and actually all of these are hyperlinked so you can actually go into their companies and see where they come from as well.

When you come to our website, it knows you by name and the courses that are going on at that time are on the web. Just to give you an example, we will move to my course and then we'll come back and see other interactive features of the website that supports proactive education during that time.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Professor Makhija: (contd)

So let's turn to my syllabus. In a typical course this syllabus is what we would have available to a student, but this is only one component in our program. As the students open to my course, I provide an overview of what the course is about and what they will learn. Perhaps we can hear a few words –

[Audio example from website]

I go through all the objectives of the course, etc., so even before the students get started they have a sense of it. Scrolling down you will see the normal details of the syllabus are available. This is typically what a student would get in a normal course. The syllabus is available with all the details. The student knows how they will be graded -- because we have exams and in a moment I'll tell you how we conduct our exams; they do assigned problems; and we have weekly chat room discussions, so that during the web time we are actually talking to them about what is happening in the market.

Perhaps we can turn to one of the interims to see how they prepare before they come to class. This is before they have actually come to the first on-campus class and they are provided readings. They participate in a chat discussion with me. All the chat topics have been put in there, but as the market moves sometimes we drop the topic that we have listed and actually follow the stock market.

What is very interesting is, as you read some of these chats posted, people start bringing examples from their jobs. When they found that they were working on a project, financing could not be separated. There is learning that is going on here, which I believe is not possible without this format.

Here is an assignment where they are required to plot the stock prices of their companies and to point out what information was released to try and understand why the stock moved the way it did. This would be provided to me already during the off-campus period, so that even before they come to class, I have given them feedback on their study of market deficiencies.

This is an online manual that they can use to learn the basics of valuation prior to coming to campus. Time value of money – what I do is run through an example, discuss the idea, and then I have them do a problem. This is what I meant by commodity learning. This is the stuff that they can do on their own.

When they try problems, they get to actually see the solutions as well, so that they can also get feedback on what they are doing. They can also see what the slides will look like when they're in class, so their preparation is complete before coming. Similarly, we run through the other interims.

Coming back to the full website, there are many features that support them interacting with each other. Throughout the off-campus period they have week-by-week requirements on what they're supposed to do. They know a lot about each other. If you click on the student directory, there is all kinds of information -- how to get in touch with each other, E-mail addresses – it is very easy to communicate within the box.

You can also see there's a lot of help available. These are the courses that they have been having. In terms of help, look at course navigation, in case they forget they have all sorts of sample navigation tools there as well.

STRATEGIC PLAN FOR TECHNOLOGY-ENHANCED LEARNING (contd)

Professor Makhija: (contd)

I think in the interest of time, I will stop at this point. I do want to thank Kevin May, who's helped me through this. Kevin works for KMI that has supported our web effort here and some information on them is also available in the folder.

Dr. Moser:

Mr. Chairman, that's just a slice of the pie of the potential that we could have for Ohio State University's distance education. Thank you for this opportunity today.

Mr. Brennan:

Bobby, thank you and your panel for coming forth. I think we're all excited about what Ohio State is doing in and out of the classrooms. Thank you very much.

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CONSENT AGENDA

President William E. Kirwan

We have 16 resolutions on the Consent Agenda today and I would like to ask that #12 and #13 be set aside for separate votes. Unless there are any objections, I would like to recommend the remaining 14 resolutions to the Board:

**REGIONAL CAMPUS BOARDS
APPOINTMENTS/REAPPOINTMENT**

Resolution No. 2002-1

Synopsis: Approval of appointments/reappointment to The Ohio State University Regional Campus Boards is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named persons have been nominated and selected for appointments/reappointment to the following Regional Campus Boards for the terms as specified:

Lima Board Appointments

Shama Amin, 3-year term
Ronald E. Hadley, 3-year term
Timothy W. Hornsey, 2-year term
Grace Schulte, 3-year term

Newark Board Appointments/Reappointment

Robert H. McGaughy, 3-year term
Elizabeth Welsh, 3-year term
Christopher G. Hempfling, 1-year term (student appointment)
Thomas A. Brannon, 3-year term (reappointment)

**REGIONAL CAMPUS BOARDS
APPOINTMENTS/REAPPOINTMENT (contd)**

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominees be approved as members of The Ohio State University-Lima Board and The Ohio State University-Newark Board, effective July 1, 2001.

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT
STATION ADVISORY COUNCIL**

Resolution No. 2002-2

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory council made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Council "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state:"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President William Kirwan, pursuant to the request of David B. Ashley, Dean and Director, College of Engineering, Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Council:

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
Randolph L. Moses	Professor of Electrical Engineering	3 years (6/1/2001 - 5/31/2004)
Glenn S. Daehn	Professor of Materials Science and Engineering	3 years (6/1/2001 - 5/31/2004)

ESTABLISHMENT OF THE DEPARTMENT OF COMPARATIVE STUDIES

Resolution No. 2002-3

Synopsis: The establishment of the Department of Comparative Studies is proposed.

WHEREAS the Division of Comparative Studies in the Humanities was formed as a tenure-initiating unit in 1990, from the former Center for Comparative Studies in the Humanities, and since that time has expanded in number of faculty and has developed undergraduate major and minor programs, and a graduate MA program; and

WHEREAS this expansion in scope has brought it to the point where both the Division and the College of Humanities believe that Department status is justified, and have developed a proposal

ESTABLISHMENT OF THE DEPARTMENT OF COMPARATIVE STUDIES (contd)

that adheres to all "Guidelines for the Consideration of the Establishment of an Academic Department," including number of faculty and budget; and

WHEREAS the proposal was discussed with, and received the support from, both undergraduate and graduate students; was supported by a unanimous vote of the faculty in the Division; was endorsed by the faculty of the College of Humanities; received the unanimous support of the reviewing subcommittee and then the full Council on Academic Affairs on May 2, 2001; and approved by the University Senate at its June 2, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish the Department of Comparative Studies be hereby approved, effective immediately.

ESTABLISHMENT OF AN INSTITUTE FOR CHINESE STUDIES

Resolution No. 2002-4

Synopsis: The establishment of an Institute for Chinese Studies is proposed.

WHEREAS this Institute is planned to serve as the intellectual and communication center for research, instruction, outreach and development activities for University faculty and students who have, or wish to develop, expertise on China; and

WHEREAS there currently exist, within the University, faculty in Chinese studies in six colleges and fourteen academic disciplines, numbers comparable to those at peer institutions with established centers or institutes; and

WHEREAS the benefits of this Institute include, greater visibility for the current scholars in Chinese studies on campus, better cross-college collaboration and coordination of academic activities in the field, and better opportunity to attract private and public funding; and

WHEREAS the proposal adheres to all "Guidelines for the Establishment and Review of Academic Centers," including membership, administration, and budget, and has been endorsed strongly in internal and external letters of support; and

WHEREAS the proposal was discussed and approved by the Research Committee, the Research and Graduate Council, by the reviewing subcommittee, and then the full Council on Academic Affairs on May 16, 2001, and approved by the University Senate at its June 2, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the proposal to establish an Institute for Chinese Studies be hereby approved, effective immediately.

TRANSFER OF THE HOSPITALITY MANAGEMENT PROGRAM

Resolution No. 2002-5

Synopsis: Transfer of the Hospitality Management Program from the Department of Human Nutrition and Food Management to the Department of Consumer and Textile Sciences in the College of Human Ecology is proposed.

TRANSFER OF THE HOSPITALITY MANAGEMENT PROGRAM (contd)

WHEREAS in its early stage of development, the Hospitality Management Program focused on food preparation and institutional food service, but now focuses on managing resources in the hospitality industry; and

WHEREAS the proposed relocation will put into one department all college programs focused on meeting the needs of the ultimate consumer through market transactions relating to products, service products, and customer service; and

WHEREAS three faculty members will transfer from the Department of Human Nutrition and Food Management to the Department of Consumer and Textile Sciences, and graduate and undergraduate courses will be relocated; and

WHEREAS the proposal was endorsed by the three faculty in the Hospitality Management Program, the faculty in the Department of Human Nutrition and Food Management, the faculty in the Department of Consumer and Textile Sciences, and the faculty of the College of Human Ecology; and

WHEREAS the proposal had the unanimous support of the reviewing subcommittee and then the full Council on Academic Affairs on May 16, 2001, and was approved by the University Senate at its June 2, 2001 meeting:

NOW THEREFORE

BE IT RESOLVED, That the transfer of the Hospitality Management Program from the Department of Human Nutrition and Food Management to the Department of Consumer and Textile Sciences in the College of Human Ecology, be approved effective immediately.

NAMING OF BUILDING

Resolution No. 2002-6

Synopsis: The naming of the new Richard M. Ross Heart Hospital, located on West 10th Avenue adjoining Rhodes Hall and connected to The Dorothy M. Davis Heart and Lung Research Institute, is proposed.

WHEREAS The Ohio State University is planning construction of a new heart hospital on The Ohio State University Medical Center campus to provide more efficient and comprehensive heart care to patients, to enhance cardiovascular research collaboration between heart hospital clinicians and investigators in The Dorothy M. Davis Heart and Lung Research Institute, and to improve the educational opportunities available to medical students, residents, fellows, and physicians throughout Ohio and the United States; and

WHEREAS Elizabeth McKeever Ross, an Ohio State University alumna, philanthropist, wife of the late Richard M. Ross, mother of four children, and member of The Ohio State University Heart Center Campaign Committee, has made a generous gift to support The Ohio State University Heart Center Campaign; and

WHEREAS Richard M. Ross was an Ohio State University alumnus, president of Ross Laboratories (now a division of Abbott Laboratories), father of four children, and husband to Elizabeth McKeever Ross for 53 years; and

WHEREAS the Ross family has a long and generous history of involvement and philanthropy to The Ohio State University and the Columbus community:

NAMING OF BUILDING (contd)

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code the Board of Trustees hereby approves naming the planned heart hospital building, located on West 10th Avenue on The Ohio State University Medical Center, "The Richard M. Ross Heart Hospital."

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2002-7

Synopsis: Approval of the following amendments to the *Rules of the University Faculty* are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the *Rules of the University Faculty* as approved by the University Senate; and

WHEREAS the proposed changes in the *Rules of the University Faculty* were approved by the University Senate on June 2, 2001:

Amended Rules

3335-5-484 Council on student affairs.

(A) Membership.

The council on student affairs shall consist of twenty members.

(1) and (2) unchanged.

(3) Three staff members, appointed by the vice president for student ~~and urban/community~~ affairs.

(a) through (c) unchanged.

(4) The vice president for student ~~and urban/community~~ affairs or designee, non-voting.

(5) and (6) unchanged.

(B) Duties and responsibilities.

(1) Initiate recommendations and review proposals with regard to policies which may affect the quality of student life. ~~Recommend to the senate any proposed changes to the "Code of Student Conduct."~~

(2) unchanged.

(3) Advise the vice president for student ~~and urban/community~~ affairs regarding the programs, services, and activities of the division.

(4) Establish study groups, committees, and other mechanisms as needed to explore issues affecting the quality of student life and make recommendations regarding these issues to the vice president for student ~~and urban/community~~ affairs, the senate, and other appropriate groups.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

(5) through (7) unchanged.

(8) CONDUCT PERIODIC REVIEWS OF THE APPLICATION OF THE "CODE OF STUDENT CONDUCT." RECOMMEND TO THE SENATE ANY PROPOSED CHANGES TO THE "CODE OF STUDENT CONDUCT."

Balance unchanged.

3335-7-28 Repetition of courses.

(A) Unchanged.

(B) Undergraduate or professional students, as defined in rule 3335-9-01 of the Administrative Code, who have received a mark of A, "A-," "B+," "B," "B-," "C+," "C," "C-," "D+," "D," "EM," "K," or "PA" in a course at this university may repeat the course for credit only upon the recommendation of the authorized representative of the dean or director of their enrollment unit. ~~No course for which one of these marks has been received may be repeated more than one time, other than for audit.~~

(C) through (E) unchanged.

3335-11-07 Purpose.

~~The Ohio unions are the university centers of the Ohio state university's Columbus campus. They exist~~ UNION EXISTS for the primary purpose of serving students in their cultural, social, recreational, and leadership activities. ~~The Ohio and Drake unions are~~ UNION IS also established to serve the faculty, staff, alumni, and guests of the university. (B/T 9/7/59, B/T 7/11/75, B/T 4/2/96, B/T 6/29/2001)

3335-11-08 Director.

The director shall be the chief administrator of the ~~Ohio and Drake unions~~ UNION. The director shall have the general administrative responsibility for the programs and financial affairs of ~~these unions~~ THE UNION. The director shall be responsible to the assistant vice president for student affairs (housing, food service, and event centers) AND REPORT ANNUALLY TO THE COUNCIL ON STUDENT AFFAIRS. (B/T 9/7/59, B/T 12/3/71, B/T 7/11/75, B/T 7/12/91, B/T 4/2/96, B/T 6/29/2001)

3335-11-09 The Ohio ~~unions~~ UNION council.

(A) The Ohio ~~unions~~ UNION council shall consist of ~~thirty four~~ FIFTEEN members chosen as follows:

(1) Students.

(a) ~~One~~ TWO graduate ~~student~~ STUDENTS selected by the council of graduate students. ~~Term of service shall be one year.~~ ONE STUDENT WILL SERVE A ONE-YEAR TERM, AND ONE STUDENT WILL SERVE A TWO-YEAR TERM.

(b) ~~One~~ TWO professional ~~student~~ STUDENTS selected by the inter-professional council. ~~Term of service shall be one year.~~ ONE STUDENT WILL SERVE A ONE-YEAR TERM, AND ONE STUDENT WILL SERVE A TWO-YEAR TERM.

(c) ~~One~~ TWO undergraduate ~~student~~ STUDENTS selected by the undergraduate student government. ~~Term of service shall be one year.~~ ONE STUDENT WILL SERVE A ONE-YEAR TERM, AND ONE STUDENT WILL SERVE A TWO-YEAR TERM.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

~~(d) The president of the student events committee shall have a permanent seat on the council.~~

~~(e)~~

~~(d) One TWO student member MEMBERS FROM REGISTERED STUDENT ORGANIZATIONS SHALL BE selected by the Ohio unions program office DIRECTOR OF STUDENT ACTIVITIES. ONE STUDENT WILL SERVE A ONE-YEAR TERM, AND ONE STUDENT WILL SERVE A TWO-YEAR TERM.~~

~~(f) Thirteen student members shall be selected from the student body under the procedures established and documented by the council.~~

(2) Regular faculty.

~~Four~~ TWO regular members selected by the faculty council. Term of service shall be two years.

(3) Staff members.

(a) The director shall ~~have a permanent seat on~~ BE AN EX-OFFICIO, NON-VOTING MEMBER OF the council.

(b) ~~Four unions'~~ ONE UNION staff members MEMBER shall be appointed by the director. Term of service shall be two years.

(c) ~~Three~~ ONE staff members MEMBER from across the university shall be appointed by the vice president for student ~~and urban/community~~ affairs under procedures established and documented by the council. TERM OF SERVICE SHALL BE TWO YEARS.

(4) Alumni members.

~~Two~~ ONE alumni members MEMBER shall be appointed by the Ohio state alumni association in consultation with the council. Term of service shall be ~~three years~~ ONE YEAR.

(5) Community ~~members~~-MEMBER.

~~Two~~ ONE community ~~representatives~~ REPRESENTATIVE shall be selected under procedures established and documented by the council. TERM OF SERVICE SHALL BE ONE YEAR.

(B) Duties and responsibilities.

~~Subject to the general authority of the president and the board of trustees, the Ohio unions council shall:~~

(1) Establish general policy on such matters as, but not limited to, financial planning, facility use, student development, public and campus relations.

~~(2)~~ These policies shall guide the administration of the program of the unions UNION by the director of the unions UNION, but the council shall not serve in an administrative capacity.

~~(2)~~ REPORT REGULARLY TO THE COUNCIL ON STUDENT AFFAIRS.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

(C) Organization.

~~The president of the student events committee shall serve as chair, and the director shall serve as the executive secretary for the council. The structure and operation of the Ohio unions council will be established and documented by the council.~~ THE CHAIR SHALL BE ELECTED FROM AMONG THE VOTING MEMBERS OF THE COMMITTEE. (B/T 9/7/59, B/T 2/9/67, B/T 11/9/67, B/T 12/3/71, B/T 7/11/75, B/T 7/20/79, B/T 2/7/86, B/T 11/2/90, B/T 7/12/91, B/T 4/2/96, B/T 4/3/98, B/T 6/29/2001)

3335-13-07 Rules governing faculty and staff participation in companies commercializing university research.

(A) through (F) unchanged.

(G) Conflict of interest management standards.

(1) through (4) unchanged.

(5) Graduate and undergraduate students may use university facilities, equipment and other resources to perform research benefiting a technology commercialization company only pursuant to a sponsored research agreement. As stipulated in the graduate school handbook, such research may not be used to satisfy the criteria for a thesis or dissertation if the material is restricted from publication. Students ~~should~~ MUST be informed in writing of this restriction prior to the start of their research.

(6) through (14) unchanged.

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the *Rules of the University Faculty* be adopted as recommended by the University Senate.

PERSONNEL ACTIONS

Resolution No. 2002-8

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 1, 2001 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons and Directors, Leaves of Absence Without Salary, Professional Improvement Leaves, Promotions/Tenure/Reappointments, Emeritus Titles, and salary rolls with promotion, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved May 24, 2001, by The Ohio State University Hospitals Board, be ratified.

Appointments

Name: L. CAMILLE HEBERT
Title: Professor (The Carter C. Kissell Professorship in Law)
College: Law
Effective: August 8, 2001
Present Positions: Director, Center for Law, Policy, and Social Science, and Professor, College of Law

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: CAROLINE C. WHITACRE
Title: Interim Associate Vice President for Health Sciences Research and Vice Dean for Research
Office/College: Health Sciences/Medicine and Public Health
Term: May 1, 2001 through April 30, 2002
Present Position: Chairperson, Department of Molecular Virology, Immunology and Medical Genetics

Name: BARBARA R. SNYDER
Title: Vice Provost for Academic Policy and Human Resources
Office: Academic Affairs
Effective: August 1, 2001
Present Positions: Associate Dean and Professor, College of Law

Reappointments

Name: CLARA D. BLOOMFIELD
Title: Professor (The William Greenville Pace III Endowed Chair in Cancer Research)
College: Medicine and Public Health
Term: July 1, 2001 through June 30, 2005

Name: MICHAEL A. CALIGIURI
Title: Professor (The John L. Marakas Nationwide Insurance Enterprise Foundation Chair in Cancer Research)
College: Medicine and Public Health
Term: July 1, 2001 through June 30, 2005

Name: ALBERT DE LA CHAPELLE
Title: Professor (The Leonard J. Immke, Jr. and Charlotte L. Immke Chair in Cancer Research)
College: Medicine and Public Health
Term: July 1, 2001 through June 30, 2005

Appointment of Chairpersons and Director

July 1, 2001 through June 30, 2002

Industrial, Interior and Visual
Communication Design
Wayne E. Carlson*

July 1, 2001 through June 30, 2003

Consumer and Textile Sciences
Center for the Study of Teaching and Writing
Loren V. Geistfeld*
Beverly J. Moss

July 1, 2001 through June 30, 2005

Advanced Computing Center for the
Arts and Design
Maria Palazzi

*Interim

PERSONNEL ACTIONS (contd)

Reappointment of Chairpersons and Directors

January 1, 2001 through June 30, 2005

Theatre Lesley K. Ferris

July 1, 2001 through December 31, 2001

Veterinary Biosciences Charles C. Capen

July 1, 2001 through June 30, 2002

Neuroscience Michael S. Beattie*
School of Public Health Ronald St. Pierre*
Radiology Dimitrios Spigos
Veterinary Hospital Richard M. Bednarski

July 1, 2001 through June 30, 2004

Neurology Jerry R. Mendell
Otolaryngology David E. Schuller

July 1, 2001 through June 30, 2005

School of Allied Medical Professions Stephen L. Wilson
Austin E. Knowlton School of Architecture Robert S. Livesey
Microbiology John N. Reeve
Obstetrics and Gynecology Larry J. Copeland
Pathology Daniel D. Sedmak

October 1, 2001 through September 30, 2005

Astronomy Patrick S. Osmer

January 1, 2002 through June 30, 2002

Ophthalmology Paul A. Weber

*Interim

Leaves of Absence Without Salary

DAVID SCHMEIDLER, Professor, Department of Economics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to work on his research.

MASSIMO MORELLI, Assistant Professor, Department of Economics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a research fellowship at the Institute for Advanced Study, Princeton, New Jersey.

Professional Improvement Leaves

STEPHEN G. CECCHETTI, Professor, Department of Economics, effective Autumn Quarter 2001 and Winter Quarter 2002.

ANITA W. HOY, Professor, School of Educational Policy and Leadership, effective Autumn Quarter 2001 and Spring Quarter 2002.

PERSONNEL ACTIONS (contd)

Professional Improvement Leaves (contd)

HARRIS P. KAGAN, Professor, Department of Physics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

JOSEPH P. MCKERNS, Associate Professor, School of Journalism and Communication, effective Winter Quarter and Spring Quarter 2002.

JOSEPH J. PILOTTA, Associate Professor, School of Journalism and Communication, Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

Emeritus Titles

ALBERT N. MANCINI, Department of French and Italian, with the title Professor Emeritus, effective July 1, 2001.

ROY A. TASSAVA, Department of Molecular Genetics, with the title Professor Emeritus, effective July 1, 2001.

Promotions, Tenure, and Reappointments

COLLEGE OF THE ARTS

PROMOTION TO PROFESSOR

David W. Covey, Dance - effective 10/1/2001

J. Ronald Green, History of Art - effective 10/1/2001

Mark W. Shanda, Theatre - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Arved M. Ashby, School of Music - effective 10/1/2001

Daniel K. Avorgbedor, School of Music - effective 10/1/2001

Wayne C. Chung, Industrial, Interior, and Visual Communication Design - effective 10/1/2001

Christine B. Morris, Art Education, Newark - effective 10/1/2001

John C. Thrasher, Art, Mansfield - effective 10/1/2001

COLLEGE OF BIOLOGICAL SCIENCES

PROMOTION TO PROFESSOR

Michael C. Ostrowski, Molecular Genetics - effective 10/1/2001

Brian H. Smith, Entomology - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Stephen T. Abedon, Microbiology, Mansfield - effective 10/1/2001

Michael K. Chan, Biochemistry - effective 10/1/2001

Erich Grotewold, Plant Biology - effective 10/1/2001

Andrea D. Wolfe, Evolution, Ecology and Organismal Biology - effective 10/1/2001

MAX M. FISHER COLLEGE OF BUSINESS

PROMOTION TO PROFESSOR

Anil Arya, Accounting and Management Information Systems - effective 10/1/2001

George A. Karolyi, Finance - effective 10/1/2001

COLLEGE OF DENTISTRY

PROMOTION TO PROFESSOR

Joseph B. Travers - effective 7/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF DENTISTRY (contd)
REGULAR CLINICAL

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Janet L. Bolina - effective 7/1/2001
Steven I. Ganzberg - effective 7/1/2001
Mark A. Knutsen - effective 7/1/2001
Beverly A. McClure - effective 10/1/2001
R. Bruce Miller - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Henry Fischbach - effective 7/1/2001 and 7/1/2002
Christine A. Halket - effective 7/1/2001 and 7/1/2002
Deborah A. Mendel - effective 7/1/2001 and 7/1/2002

REAPPOINTMENT

William G. Golden - effective 7/1/2002
Abdel R. Mohammad - effective 7/1/2002

COLLEGE OF EDUCATION

PROMOTION TO PROFESSOR

Barbara A. Lehman, School of Teaching and Learning, Mansfield - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Mary K. Bendixon-Noe, School of Teaching and Learning, Newark - effective 10/1/2001
Caroline T. Clark, School of Teaching and Learning - effective 10/1/2001
Brian W. Edmiston, School of Teaching and Learning - effective 10/1/2001
Mary Jo Fresch, School of Teaching and Learning, Marion - effective 10/1/2001
Cynthia L. Uline, School of Educational Policy and Leadership - effective 10/1/2001

COLLEGE OF ENGINEERING

PROMOTION TO PROFESSOR

Robert Lee, Electrical Engineering - effective 10/1/2001
Rongxing Li, Civil and Environmental Engineering and Geodetic Science - effective 10/1/2001
Carolyn J. Merry, Civil and Environmental Engineering and Geodetic Science - effective 10/1/2001
Hitay Ozbay, Electrical Engineering - effective 10/1/2001
Dhableswar K. Panda, Computer and Information Science - effective 10/1/2001
Steven A. Ringel, Electrical Engineering - effective 10/1/2001
Ahmet Selamet, Mechanical Engineering - effective 10/1/2001
Mo-How Herman Shen, Aerospace Engineering and Aviation - effective 10/1/2001
C. K. Shum, Civil and Environmental Engineering and Geodetic Science - effective 10/1/2001
John W. Simpson, Knowlton School of Architecture - effective 10/1/2001
Deliang Wang, Computer and Information Science - effective 10/1/2001
Longya Xu, Electrical Engineering - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Roger A. Crawfis, Computer and Information Science - effective 10/1/2001
Dave F. Farson, Industrial, Welding and Systems Engineering - effective 10/1/2001
Alan J. Saalfeld, Civil and Environmental Engineering and Geodetic Science - effective 10/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF FOOD, AGRICULTURAL AND ENVIRONMENTAL SCIENCES

PROMOTION TO PROFESSOR

Larry C. Brown, Food, Agricultural and Biological Engineering - effective 7/1/2001

Joseph S. Hogan, Animal Sciences - effective 7/1/2001

James C. Papritan, Food, Agricultural and Biological Engineering - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Michael J. Boehm, Plant Pathology - effective 7/1/2001

John Cardina, Horticulture and Crop Science - effective 7/1/2001

Ann D. Christy, Food, Agricultural and Biological Engineering - effective 7/1/2001

Scott D. Scheer, Human and Community Resource Development - effective 7/1/2001

Brent L. Sohngen, Agricultural, Environmental and Development Economics - effective 7/1/2001

AGRICULTURAL TECHNICAL INSTITUTE

PROMOTION TO PROFESSOR

Shahrokh Rahnema – effective 7/1/2001

Allen P. Zimmerman – effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Daniel C. Voltz - effective 10/1/2001

David A. Willoughby - effective 7/1/2001

Karen G. Wimbush - effective 7/1/2001

OHIO STATE UNIVERSITY EXTENSION

PROMOTION TO ASSOCIATE PROFESSOR

Barbara A. Brahm - effective 7/1/2001

Ruth A. Foote - effective 7/1/2001

Cynthia R. Shuster - effective 7/1/2001

Judith A. Villard - effective 7/1/2001

Gary W. Wilson- effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Garee W. Earnest - effective 7/1/2001

Joseph H. Konen - effective 7/1/2001

Linda M. Kutilek - effective 7/1/2001

PROMOTION TO ASSISTANT PROFESSOR WITH TENURE

Mary F. Longo – effective 7/1/2001

COLLEGE OF HUMAN ECOLOGY

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Jonathan J. Fox, Consumer and Textile Sciences- effective 10/1/2001

Catherine P. Montalto, Consumer and Textile Sciences- effective 10/1/2001

COLLEGE OF HUMANITIES

PROMOTION TO PROFESSOR

Lee B. Brown, Philosophy - effective 10/1/2001

Kirk Freudenberg, Greek and Latin - effective 10/1/2001

Sebastian D. Knowles, English - effective 10/1/2001

Deborah A. Moddelmog, English - effective 10/1/2001

Michael D. Swartz, Near Eastern Languages and Cultures - effective 10/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF HUMANITIES (contd)

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

James F. Buckley, English, Mansfield - effective 10/1/2001
Edward J. D'Arms, Philosophy - effective 10/1/2001
Jared B. Gardner, English - effective 10/1/2001
Erik T. Gunderson, Greek and Latin - effective 10/1/2001
Javier Gutierrez-Rexach, Spanish and Portuguese - effective 10/1/2001
Gregor W. Hens, Germanic Languages and Literatures - effective 10/1/2001
Edwin H. Knapp, English - effective 10/1/2001

COLLEGE OF LAW

PROMOTION TO PROFESSOR WITH TENURE

Mary Ellen O'Connell - effective 08/16/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Douglas A. Berman - effective 08/16/2001
Thomas P. Gallanis - effective 08/16/2001

LIBRARIES

PROMOTION TO PROFESSOR

David A. Lincove - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR

Marguerite Weibel - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Beau Case - effective 7/1/2001
Marian Shemberg - effective 7/1/2001

PROMOTION TO ASSISTANT PROFESSOR WITH TENURE

Cynthia Preston - effective 7/1/2001

COLLEGE OF MATHEMATICAL AND PHYSICAL SCIENCES

PROMOTION TO PROFESSOR

Kock K. Gan, Physics - effective 10/1/2001
Steven N. MacEachern, Statistics - effective 10/1/2001
Jonathan P. Pelz, Physics - effective 10/1/2001
Terry P. Walker, Physics - effective 10/1/2001
Fangyeng Zheng, Mathematics - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR

Lee J. McEwan, Mathematics, Mansfield - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Christopher M. Hadad, Chemistry - effective 10/1/2001
Ivo Herzog, Mathematics, Lima - effective 10/1/2001
Richard E. Hughes, Physics - effective 10/1/2001
Michael A. Lisa, Physics - effective 10/1/2001
Wenzhi Luo, Mathematics - effective 10/1/2001
Dehua Pei, Chemistry - effective 10/1/2001
William O. Putikka, Physics, Mansfield - effective 10/1/2001
Brian L. Winer, Physics - effective 10/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE AND PUBLIC HEALTH

PROMOTION TO PROFESSOR

Mark A. Angelos, Emergency Medicine - effective 7/1/2001
Robert G. Castile, Pediatrics - effective 7/1/2001
Patrick J. Fahey, Family Medicine - effective 7/1/2001
Mary A. Fristad, Psychiatry - effective 7/1/2001
John D. Mahan, Pediatrics - effective 7/1/2001
N. Stanley Nahman, Jr., Internal Medicine - effective 7/1/2001
Gerard J. Nuovo, Pathology - effective 7/1/2001
Brad H. Rovin, Internal Medicine - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Jennifer A. Bogner, Pharmacology - effective 7/1/2001
William E. Burak, Jr., Surgery - effective 7/1/2001
Petra Schmalbrock, Radiology - effective 10/1/2001
W. James Waldman, Pathology - effective 7/1/2001
William A. Wilmer, Internal Medicine - effective 7/1/2001

TENURE

Jean P. Hubble, Neurology - effective 7/1/2001

COLLEGE OF MEDICINE AND PUBLIC HEALTH
REGULAR CLINICAL

PROMOTION TO PROFESSOR-CLINICAL

Herman A. Tolbert, Psychiatry - effective 7/1/2001
Randy R. Miller, Pediatrics - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Charles L. Hitchcock, Pathology - effective 7/1/2001
Sam L. Penza, Internal Medicine - effective 7/1/2001
Amanda M. Termuhlen, Pediatrics - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL AND REAPPOINTMENT

Daniel M. Cohen, Pediatrics - effective 7/1/2001 and 7/1/2002
Miriam L. Freimer, Neurology - effective 7/1/2001 and 7/1/2002
Ann Pakalnis, Pediatrics - effective 7/1/2001 and 7/1/2002

REAPPOINTMENT

Anil K. Agarwal, Internal Medicine - effective 7/1/2002
Diane P. Begany, Pediatrics - effective 7/1/2002
Susan C. Benes, Ophthalmology - effective 7/1/2002
Stephanie C. Cook, Emergency Medicine - effective 7/1/2002
Lynne A. Eaton, Obstetrics and Gynecology - effective 7/1/2002
Cynthia B. Evans, Obstetrics and Gynecology - effective 7/1/2002
Carrie A. Ganong, Pediatrics - effective 7/1/2002
Benita M. Jackson-Smoot, School of Public Health - effective 7/1/2002
Deborah J. Lynn, Neurology - effective 7/1/2002
William E. Maher, Internal Medicine - effective 7/1/2002
Mary A. McIlroy, Pediatrics - effective 7/1/2002
William R. McLaughlin, Ophthalmology - effective 7/1/2002
Robert A. Murden, Internal Medicine - effective 7/1/2002
Randal S. Olshefski, Pediatrics - effective 7/1/2002
David A. Orsinelli, Internal Medicine - effective 7/1/2002

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF MEDICINE AND PUBLIC HEALTH
REGULAR CLINICAL (contd)

REAPPOINTMENT (contd)

Arthur E. Pellegrini, Internal Medicine - effective 7/1/2002
Sheryl A. Pfeil, Internal Medicine - effective 7/1/2002
Daniel G. Rowland, Pediatrics - effective 7/1/2002
Howard A. Werman, Emergency Medicine - effective 7/1/2002
Judith A. Westman, Internal Medicine - effective 7/1/2002

COLLEGE OF NURSING
REGULAR CLINICAL

PROMOTION TO PROFESSOR

Nancy K. Lowe - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Terry A. Lennie - effective 10/1/2001
Nancy R. Reynolds - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Barbara J. Warren - effective 10/1/2001

COLLEGE OF OPTOMETRY
REGULAR CLINICAL

REAPPOINTMENT

Robert D. Newcomb – effective 7/1/2002

COLLEGE OF PHARMACY

PROMOTION TO PROFESSOR

M. Guillaume Wientjes - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

James W. McAuley - effective 7/1/2001

PROMOTION TO ASSOCIATE PROFESSOR-CLINICAL

Marialice S. Bennett - effective 7/1/2001
Carolyn C. Brackett - effective 7/1/2001

COLLEGE OF SOCIAL AND BEHAVIORAL SCIENCES

PROMOTION TO PROFESSOR

Patricia B. Reagan, Economics - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Paul E. Bellair, Sociology - effective 10/1/2001
Lisa A. Keister, Sociology - effective 10/1/2001
Delwin T. Lindsey, Psychology, Mansfield - effective 10/1/2001
Townsend Price-Spratlen, Sociology - effective 10/1/2001
Vincent J. Roscigno, Sociology - effective 10/1/2001
Bruce A. Weinberg, Psychology - effective 10/1/2001

TENURE

Norman B. Schmidt, Psychology - effective 10/1/2001

PERSONNEL ACTIONS (contd)

Promotions, Tenure, and Reappointments (contd)

COLLEGE OF SOCIAL WORK

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Rebecca Y. Kim - effective 10/1/2001

COLLEGE OF VETERINARY MEDICINE

PROMOTION TO PROFESSOR

Patrick L. Green, Veterinary Biosciences - effective 10/1/2001

Michael J. Oglesbee, Veterinary Biosciences - effective 10/1/2001

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Kathleen A. Boris-Lawrie, Veterinary Biosciences - effective 10/1/2001

Kathryn M. Meurs, Veterinary Clinical Medicine - effective 7/1/2001

Charles L. Brooks, Veterinary Biosciences - effective 7/1/2001

James W. DeWille, Veterinary Biosciences - effective 7/1/2001

COLLEGE OF VETERINARY MEDICINE

REGULAR CLINICAL

REAPPOINTMENT

Lani A. Steinhart, Veterinary Clinical Sciences - effective 7/1/2002

Medical Staff Appointments (The Ohio State University Medical Center)

April 2001

Philip L. Cardwell, PA-C, Surgery/Cardiothoracic, Licensed Healthcare Professional, 05/24/2001-06/30/2003

Jack R. Fry, CRNA, Anesthesiology, Licensed Healthcare Professional, 05/24/2001-06/30/2003

Steven M. Hirsch, MD, Otolaryngology, Community Affiliate, 05/24/2001-06/30/2003

John E. Mahoney, MD, Surgery /Transplant, Fellow 1st Year, 03/07/2001-06/30/2002

Theodore H. Nicol, CRNA, Anesthesiology, Licensed Healthcare Professional, 05/24/2001-06/30/2002

Deborah A. Schutte, MD, Pediatric Cardiology, Courtesy, 05/24/2001-06/30/2002

Suzette Viola, CNP, Cardiology, Licensed Healthcare Professional, 05/24/2001-06/30/2002

Alvin G. Wee, DDS, Oral/Maxillofacial, Attending, 05/24/2001-06/30/2002

Haifeng M. Wu, MD, Pathology, Attending, 05/24/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Medical Center)

Thomas E. Reilley, DO, Anesthesiology, Attending, 04/12/2001-06/30/2002

Reappointment Period: 07/01/2001-06/30/2003

Shahab Fareed Abdessalam, MD, Surgery, PGY-7 Limited

Sunil S. Advani, MD, Internal Medicine, PGY-3 Limited

Amit Agrawal, MD, Otolaryngology, Attending

Mohammad Ahmad, MD, Internal Medicine, Courtesy

Bruce V. Alden, CRNA, Anesthesiology, Licensed Healthcare Professional

James N. Allen, MD, Internal Medicine, Attending

Mark G. Angelos, MD, Emergency Medicine, Attending

Scott W. Arlin, MD, Internal Medicine, PGY-3 Limited

Abdulkarim Hanna Aslo, MD, Psychiatry, PGY-3 Limited

Brian D. Badgwell, MD, Surgery, PGY-3 Limited

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Charles Gary Baker, CRNA, Anesthesiology, Licensed Healthcare Professional
William J. Baldwin, Jr, DDS, Dentistry, Attending
Gary E. Barnett, MD, Pathology, Attending
Rolf F. Barth, MD, Pathology, Attending
Nicole A. Basile, MD, Internal Medicine, PGY-3 Limited
Dennis J. Bauman, MD, Internal Medicine, Attending
Paul R. Beery, II, MD, Surgery, PGY-3 Limited
Clara D. Bloomfield, MD, Internal Medicine, Attending
Thomas M. Brady, DO Anesthesiology, PGY-3 Limited
Wendy L. Brooks, MD, Internal Medicine; PGY-3 Limited
David H. Brown, MD, Surgery, PGY-5 Limited
Elizabeth A. Brown, MD, Internal Medicine, Attending
Ginny L. Bumgardner, MD, PhD, Surgery, Attending
Kelly Allen Burdge, MD, Internal Medicine; PGY-3 Limited
Stacie D. Burton, MD, Family Medicine, PGY-2 Limited
Guillermo E. Chacon, DDS, Dentistry, Attending
Kathy Yeachyng Chang, MD, Surgery, PGY-3 Limited
Todd E. Chertow, MD, Surgery, PGY-2 Limited
Angela S. Chesser, CNS, Psychiatry, Licensed Healthcare Professional
Susie Y. Choe, MD, Surgery, PGY-4 Limited
Deborah L. Cole-Sedivy, DO, Family Medicine, Courtesy
David F. Colombo, MD, Obstetrics/Gynecology, Attending
Maria Riza B. Conroy, MD, Family Medicine, PGY-3 Limited
Larry J. Copeland, MD, Obstetrics/Gynecology, Attending
Sarah M. Cowgill, MD, Surgery, PGY-2 Limited
Tracey A. Culbertson, MD, Surgery, PGY-4 Limited
Jamie Alexandra Dale, MD, Orthopedic Surgery, PGY-3 Limited
Mark M. D'Onofrio, MD, Orthopedic Surgery, PGY-3 Limited
Elizabeth A. Davies, MD, Surgery, Attending
John C. Davis, MD, Otolaryngology, PGY-3 Limited
Galina T. Dimitrova, MD, Anesthesiology, PGY-4 Limited
Elizabeth A. Dubich, MD, Internal Medicine; PGY-2 Limited
John S. Duffy, DO, Family Medicine, PGY-2 Limited
Hosam Farouk El Sayed, MD, Surgery, PGY-2 Limited
E. Christopher Ellison, MD, Surgery, Attending
Hamdy M. Elsayed-Awad, MD, Anesthesiology, Attending
Charis E. Eng, MD, PhD, Internal Medicine, Attending
Mary S. Faller, MD, Anesthesiology, PGY-4 Limited
Andrea C. Feilner, MD, Anesthesiology, PGY-3 Limited
Ronald M. Ferguson, MD, PhD, Surgery, Attending
Larell L. Fineren, CRNA, Anesthesiology, Licensed Healthcare Professional
Michele D. Fleak, MD, Internal Medicine, PGY-3 Limited
James B. Ford, DDS, Dentistry, Attending
Wendy L. Frankel, MD, Pathology, Attending
Lisa S. Gaines, DO, Anesthesiology, PGY-3 Limited
Arvin J. Gallanosa, MD, Physical Medicine and Rehabilitation, PGY-3 Limited
Timothy G. Gillum, MD, Otolaryngology, PGY-4 Limited
Michael R. Go, MD, Surgery, PGY-2 Limited
Michael C. Gong, MD, PhD, Surgery, Attending
Dena J. Gost, MD, Psychiatry, PGY-4 Limited
Arun Gowdamarajan, MD, Surgery, PGY-5 Limited
Iain L. Grant, MD, Otolaryngology, Attending
Glenn P. Gravlee, MD Anesthesiology, Attending
Dawn E. Griesen, MD, Otolaryngology, PGY-4 Limited

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Larry D. Gronland, CRNA, Anesthesiology, Licensed Healthcare Professional
Steven L. Grund, MD, Internal Medicine, PGY-2 Limited
Ginger T. Guinther, CRNA, Anesthesiology, Licensed Healthcare Professional
Robert M. Guthrie, MD, Emergency Medicine, Attending
Rebecca M. Gutmann, MD, Anesthesiology, Attending
Deepak Guttikonda, MD, Surgery, PGY-5 Limited
Genevieve B. Hagerty, MD, Obstetrics/Gynecology, PGY-4 Limited
John S. Haid, MD, Anesthesiology, PGY-3 Limited
Connie A. Haines-McCoy, DO, Family Medicine, PGY-3 Limited
Sarah B. Hamilton-Boyles, MD, Obstetrics/Gynecology, PGY-3 Limited
George Harris, Jr, MD, Family Medicine, PGY-2 Limited
Andrew J. Hayduke, MD, Surgery, Fellow 1st Year
Kurt W. Heintzelman, MD, Psychiatry, PGY-3 Limited
Joshua A. Hersh, MD, Psychiatry, PGY-2 Limited
Todd C. Hobgood, MD, Otolaryngology, PGY-4 Limited
Stephen P. Hoffman, MD, Internal Medicine, Attending
Jorge L. Inga, MD, Family Medicine, PGY-3 Limited
Norma J. Jackson, DO, Anesthesiology, PGY-3 Limited
Balijeet K. Jammu, MD, Psychiatry, PGY-2 Limited
Steven J. Janselewitz, MD, Physical Medicine and Rehabilitation, PGY-4 Limited
Bevila John-Daniel, MBBS, Psychiatry, PGY-2 Limited
Elizabeth F. Jongleux, MD, Anesthesiology, Attending
Jamie B. Kaplin, CRNA, Anesthesiology, Licensed Healthcare Professional
Daniel L. Kapp, MD, Surgery, PGY-4 Limited
Boris I. Karanfilov, MD, Otolaryngology, PGY-4 Limited
Manmohan K. Katapadi, MD, Internal Medicine, Fellow 3rd Year
John J. Keller, MD, Internal Medicine; PGY-4 Limited
Michael C. Kelley, CRNA, Anesthesiology, Licensed Healthcare Professional
William B. Kelly, MD, Anesthesiology, Attending
Sedigheh, Keyhani-Rofagha, MD, Pathology, Attending
Sorabh Khandelwal, MD, Emergency Medicine, Attending
Kelly J. Kiehm, MD, Surgery, PGY-3 Limited
Jennifer Y. Kisner, MD, Surgery, PGY-3 Limited
Ryan K. Klinefelter, MD, Orthopedic Surgery, PGY-5 Limited
Eric J. Knudtson, MD, Obstetrics/Gynecology, Fellow 2nd Year
Albert J. Kolibash, Jr, MD, Internal Medicine, Attending
David E. Krummen, MD, Internal Medicine, PGY-3 Limited
Gilbert L. Kukielka, MD, Internal Medicine, Attending
Allen J. LeBlanc, Jr, MD, PhD, Obstetrics/Gynecology; PGY-4 Limited
Steven E. Leonard, MD, Family Medicine, Courtesy
Luis A. Lopez, MD, Anesthesiology, Courtesy

Requests for Additional/Special Privileges (The Ohio State University Medical Center)

April 2001

Amit Agrawal, MD, Otolaryngology, CO2 laser; conscious sedation, 07/01/2001-06/30/2003
Bruce Alden, CRNA, Anesthesiology, OB anesthesiology, 07/01/2001-06/30/2003
James Allen, MD, IM/Cardiology, conscious sedation, 07/01/2001-06/30/2003
Mark Angelos, MD, Emergency Medicine, hyperbaric medicine, 07/01/2001-06/30/2003
Ian Baird, MD, Otolaryngology, CO2 laser, argon laser, 07/01/2001-06/30/2003
Dennis Bauman, MD, IM/Cardiology, conscious sedation, 07/01/2001-06/30/2003
Elizabeth Brown, MD, IM/Pulmonary, conscious sedation, 07/01/2001-06/30/2003
Larry Copeland, MD, Obstetrics/Gynecology, CO2 laser, argon laser, 07/01/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Requests for Additional/Special Privileges (The Ohio State University Medical Center) (contd)

April 2001 (contd)

Hamdy Elsayed-Awad, MD, Anesthesiology, conscious sedation, 05/24/200-06/30/2003
E. Christopher Ellison, MD, General Surgery, conscious sedation, 07/01/2001-06/30/2003
Pablo Gamboa, MD, Radiology, Percutaneous image-guided gastrostomy and/or gastrojejunostomy tubes, 05/24/2001-06/30/2003
Michael Gong, MD, Surgery/Urology, CO2 laser, surgical YAG, holmium laser, argon laser, 07/01/2001-06/30/2003
Rebecca Gutmann, MD, Anesthesiology, pain management, 07/01/2001-06/30/2003
Stephen Hoffman, MD, IM/Pulmonary, conscious sedation, 07/01/2001-06/30/2003
Elizabeth Jongleux, MD, Anesthesiology, conscious sedation, 07/01/2001-06/30/2003
Jamie Kaplan, CRNA, Anesthesiology, OB anesthesia, 07/01/2001-06/30/2003
Sorabh Khandelwa, MD, Emergency Medicine, conscious sedation, 07/01/2001-06/30/2003
W. Scott Melvin, MD Surgery, Stretta procedure, 05/24/2001-06/30/2002
Joseph Swan, MD, Anesthesia, conscious sedation, 05/24/2001-06/30/2002
Arthur Thallasinos, MD, Psychiatry, electroconvulsive therapy, 05/24/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Medical Center)

May 2001

Piyush Gupta, MBBS, General Medicine, PGY-1 Limited, 05/24/2001-06/30/2003
James T. Naprawa, MD, Peds/Emergency Med, PGY-4 Limited, 05/24/2001-06/30/2002
Milroy J. Samuel, MD, Obstetrics/Gynecology, Courtesy, 05/24/2001-06/30/2002
Betsy L. Schmerler, MD, Peds/Emergency Med, PGY-4 Limited, 05/24/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Medical Center)

Reappointment Period: 07/01/2001-06/30/2003

Christine B. Adamcak, DO, Emergency Medicine, Attending
Anil K. Agarwal, MD, Internal Medicine, Attending
Mohammad Ahmad, MD, Internal Medicine, Courtesy
Carl M. Allen, DDS, Dentistry, Attending
John G. Allen, MD, Ophthalmology, Attending
Sarah L. Artman, MD, Obstetrics/Gynecology, Courtesy
Cregg D. Ashcraft, MD, Internal Medicine, Attending
James P. Bacon, MD, Internal Medicine, Attending
Ian M. Baird, MD, Internal Medicine, Community Affiliate
Joseph H. Banks, Jr., MD, Pediatrics, Courtesy
Melissa Jean Bartels, MD, Pediatrics, PGY-3 Limited
Deborah Bartholomew, MD, Obstetrics/Gynecology, Attending
Robert E. Bartley, MD, Orthopedic Surgery, Community Affiliate
William H. Bay, MD, Internal Medicine, Attending
Sergio D. Bergese, MD, Anesthesiology, PGY-4 Limited
Gregory C. Berlet, MD, Orthopedic Surgery, Attending
David Q. Beversdorf, MD, Neurology, Attending
Michael G. Bissell, MD, PhD, MPH, Pathology, Attending
Denise L. Blocker, MD, Internal Medicine, Courtesy
Carl P. Boesel, MD, Pathology, Attending
Robert A. Bornstein, PhD, Psychiatry, Attending/PhD
Harisios Boudoulas, MD, Internal Medicine, Attending
Eric C. Bourekas, MD, Radiology, Attending
Daniel L. Bower, DMD, Dentistry, PGY-2 Limited
Brian L. Bowyer, MD, Physical Medicine and Rehabilitation, Attending
Chad M. Braun, MD, Family Medicine, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Herbert A. Bronstein, MD, Internal Medicine, Courtesy
William E. Burak, MD, Surgery, Attending
Philip E. Calendine, MD, Radiology, PGY-4 Limited
Donna A. Caniano, MD, Surgery, Attending
Marcel J. Casavant, MD, Emergency Medicine, Attending
Donald W. Chakeres, MD, Radiology, Attending
Douglas Andrew Cipkala, MD, Pediatrics, PGY-2 Limited
Sam C. Colachis, MD, Physical Medicine and Rehabilitation, Attending
Deborah L. Cole-Sedivy, DO, Family Medicine, Courtesy
Carl R. Coleman, MD, Orthopedic Surgery, Attending
Eric E. Coris, MD, Family Medicine, Attending
John D. Corrigan, PhD, Physical Medicine and Rehabilitation, Attending/PhD
Curt J. Daniels, MD, Internal Medicine; Attending
Maureen A. Delphia, MD, Neurology, Courtesy
Christopher L. Demas, MD, Family Medicine, Community Affiliate
Philip T. Diaz, MD, Internal Medicine, Attending
Evan W. Dixon, MD, Internal Medicine, Attending
Samuel W. Dudley, II, MD, Pediatrics, PGY-3 Limited
Vani Duvuuri, MBBS, MD, Internal Medicine, Fellow 2nd Year
Gregory M. Eaton, MD, Internal Medicine, Attending
Lynne A. Eaton, MD, Obstetrics/Gynecology, Attending
Adam El-Dieb, MD, Radiology, PGY-3 Limited
Elmahdi A. Elkhammas, MD, Surgery, Attending
Miriam Emile, MD, Internal Medicine, Attending
Janet Meredith Engle, MD, Pediatrics, PGY-3 Limited
Leroy W. Essig, II, MD, Internal Medicine, PGY-2 Limited
Cynthia B. Evans, MD, Obstetrics/Gynecology, Attending
Robert A. Fada, MD, Orthopedic Surgery, Community Affiliate
Lisha Fan, MD, Radiology, PGY-3 Limited
Shella Farooki, MD, Radiology, Attending
Joseph F. Fiala, MD, Pediatrics, Courtesy
Chimene W. Fikkert, DO, Pediatrics, PGY-4 Limited
Mary E. Fontana-Wise, MD, Internal Medicine, Attending
Jeffrey M. Fowler, MD, Obstetrics/Gynecology, Attending
Lucy R. Freedy, MD, Radiology, Attending
Miriam L. Freimer, MD, Neurology, Attending
Chad I. Friedman, MD, Obstetrics/Gynecology, Attending
Mary A. Fristad, PhD, Psychiatry, Attending/PhD
John J. Fromkes, MD, Internal Medicine, Attending
Thomas J. Gavin, MD, Emergency Medicine, Attending
Brian H. Gelb, MD, Emergency Medicine, PGY-3 Limited
Jennifer Macke Gigax, MD, Pediatrics, PGY-3 Limited
Deborah A. Gordish, MD, Internal Medicine, Attending
Diane L. Gorgas, MD, Emergency Medicine, Attending
John C. Grecula, MD, Radiology, Attending
Jonathan I. Groner, MD, Surgery, Attending
Clemens M. Grosskinsky, MD, PhD, Obstetrics/Gynecology, Attending
Kevin J. Hackett, MD, Obstetrics/Gynecology, Courtesy
Herndon P. Harding, MD, Psychiatry, Attending
Shaun D. Harper, MD, Internal Medicine, Fellow 3rd Year
John M. Hatheway, MD, Orthopedic Surgery, Community Affiliate
Jonathan B. Heistein, MD, Surgery, PGY-5 Limited
Charles J. Hickey, MD, Ophthalmology, Attending
Robert M. Hinkle, DDS, Dentistry, Courtesy

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Walter B. Hull, MD, Obstetrics/Gynecology, Attending
William D. Inglis, MD, Internal Medicine, Courtesy
Venkata R. Jayanthi, MD, Surgery, Courtesy
Lynne M. Johnson, MD, Pediatrics, PGY-2 Limited
Grant L. Jones, MD, Orthopedic Surgery, Attending
Colin G. Kaide, MD, Emergency Medicine, Attending
Christopher C. Kaeding, MD, Orthopedic Surgery, Attending
Young W. Kang, MD, Family Medicine, Community Affiliate
Seth M. Kantor, MD, Internal Medicine, Attending
Hary T. Katz, MD, Pediatrics, PGY-3 Limited
Sherman A. Katz, MD, Surgery, Attending
David R. Kelly, MD, Otolaryngology, Attending
Garrett T. Kelly, MD, Anesthesiology, Attending
John T. Kissel, MD, Neurology, Attending
Richard T. Kloos, MD, Internal Medicine, Attending
Raymond J. Kobus, MD, Orthopedic Surgery, Courtesy
Stephen C. Koesters, MD, Internal Medicine, PGY-3 Limited
Douglas W. Kramer, PsyD, Psychiatry, Attending/PhD
Christina Lester Lane, MD, Pediatrics, PGY-2 Limited
Steven E. Leonard MD, Family Medicine, Courtesy
Bingren Liu, MD, Radiology, PGY-3 Limited
Mary K. Lockard, MD, Pediatrics, PGY-2 Limited
Adolph V. Lombardi, Jr, MD, Orthopedic Surgery, Courtesy
Ghada N. Lteif, MD, Psychiatry, Attending

Requests for Additional/Special Privileges (The Ohio State University Medical Center)

May 2001

John Allen, MD, Ophthalmology, ophthalmic YAG, argon laser, excimer laser, 07/01/2001-06/30/2003
Deborah Bartholomew, MD, Obstetrics/Gynecology, conscious sedation, CO2 laser, laparoscopy, 07/01/2001-06/30/2003
Chad Braun, MD, Family Practice, uncomplicated obstetrics, 07/01/2001-06/30/2003
Marcel Casavant, MD, Emergency Medicine, conscious sedation, 07/01/2001-06/30/2003
Donald Chakeres, MD, Radiology, conscious sedation, 07/01/2001-06/30/2003
Eric Coris, MD, Family Medicine, MedOhio privileges, 07/01/2001-06/30/2003
Curt Daniel, MD, Internal Medicine/Cardiology, conscious sedation, 07/01/2001-06/30/2003
Maureen Delphia, MD, Neurology, sleep medicine, 07/01/2001-06/30/2003
Philip Diaz, MD, Internal Medicine/Pulmonary, conscious sedation, 07/01/2001/06/30/2003
Gregory Eaton, MD, Internal Medicine/Cardiology, conscious sedation, 07/01/2001-06/30/2003
Lynn Eaton, MD, Obstetrics/Gynecology, CO2 laser, 07/01/2001-06/30/2003
Mary Fontana-Wise, MD, Internal Medicine/Cardiology, conscious sedation, 07/01/2001-06/30/2003
John Fromkes, MD, Internal Medicine/Gastroenterology, conscious sedation, 07/01/2001-06/30/2003
Thomas Gavin, MD, Emergency Medicine, conscious sedation, hyperbaric medicine, 07/01/2001-06/30/2003
Diane Gorgas, MD, Emergency Medicine, conscious sedation, hyperbaric medicine, 07/01/2001-06/30/2003
Charles Hickey, MD, Ophthalmology, ophthalmic YAG, argon laser, tunable dye, 07/01/2001-06/30/2003
Colin Kaide, MD, Emergency Medicine, hyperbaric medicine, 07/01/2001-06/30/2003
Raymond Kobus, MD, Orthopedic Surgery, hand surgery, microsurgery, 07/01/2001/06/30/2003

PERSONNEL ACTIONS (contd)

Requests for Additional/Special Privileges (The Ohio State University Medical Center) (contd)

May 2001 (contd)

Milroy Samuel, MD, Obstetrics/Gynecology, conscious sedation, CO2 lasers, intrauterine balloon hypothermic ablation, 05/24/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Hospitals East)

April 2001

Thomas E. Reilley, DO, Anesthesiology, Active Staff, 04/12/2001-06/30/2002

Reappointment Period: 07/01/2001-06/30/2003

Bruce V. Alden, CRNA, Anesthesiology, Allied Health Professional
Mark G. Angelos, MD, Emergency Medicine, Active Staff
Charles G. Baker, CRNA, Anesthesiology, Allied Health Professional
Gary E. Barnett, MD, Pathology, Consulting Staff
Rolf F. Barth, MD, Pathology, Active Staff
Thomas M. Brady, DO, Anesthesiology, PGY-3 Limited
Robert D. Buchan, DPM, Musculoskeletal Diseases, Honorary without privileges
Todd E. Chertow, MD, Surgery, PGY-2 Limited
Susie Y. Choe, MD, Surgery, PGY-5 Limited
Maria Riza B. Conroy, MD, Family Practice, PGY-1 Limited
Sarah M. Cowgill, MD, Surgery, PGY-2 Limited
Tracey A. Culbertson, MD, Surgery, PGY-5 Limited
Mark M. D'Onofrio, MD, Musculoskeletal Diseases, PGY-2 Limited
Jamie Alexandra Dale, MD, Musculoskeletal Diseases, PGY-2 Limited
Elizabeth A. Davies, MD, Surgery, Consulting Staff
Galina T. Dimitrova, MD, Anesthesiology, PGY-4 Limited
John S. Duffy, DO, Family Practice, PGY-1 Limited
E. Christopher Ellison, MD, Surgery, Active Staff
Andrea C. Feilner, MD, Anesthesiology, PGY-3 Limited
Larell L. Fineren, CRNA, Anesthesiology, Allied Health Professional
Wendy L. Frankel, MD, Pathology, Active Staff
Jack R. Fry, CRNA, Anesthesiology, Allied Health Professional
Amy S. Gewirtz, MD, Pathology, Active Staff
Glenn P. Gravlee, MD, Anesthesiology, Active Staff
Michael R. Go, MD, Surgery, PGY-2 Limited
Jack L. Goldberg, MD, Surgery, Honorary without privileges
Ernesto Goldman, MD, Anesthesiology, Active Staff
Maynard Goldmeier, MD, Internal Medicine, Honorary without privileges
Michael C. Gong, MD, PhD, Surgery, Active Staff
Arun Gowdamarajan, MD, Surgery, PGY-4 Limited
Larry D. Gronland, CRNA, Anesthesiology, Allied Health Professional
Ginger T. Guinther, CRNA, Anesthesiology, Allied Health Professional
Bhagwandas Gupta, MD, Anesthesiology, Active Staff
Rebecca M. Gutmann, MD, Anesthesiology, Active Staff
Deepak Guttikonda, MD, Surgery PGY-5 Limited
Genevieve B. Hagerty, MD, Surgery, PGY-4 Limited
John S. Haid, MD, Anesthesiology, PGY-3 Limited
Connie A. Haines-McCoy, DO, Family Practice, PGY-3 Limited
Sarah B. Hamilton-Boyles, MD, Surgery, PGY-2 Limited
George L. Harris, Jr., MD, Family Practice, PGY-1 Limited
Victor H. Hinrichs, MD, Pathology, Honorary without privileges
Stephen L. Houff, MD, Internal Medicine, Active Staff
Norma J. Jackson, DO, Anesthesiology, PGY-2 Limited

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Elizabeth F. Jongleux, MD, Anesthesiology, Active Staff
Jorge Luis Inga, MD, Family Practice, PGY-3 Limited
Jamie B. Kaplin, CRNA, Anesthesiology, Allied Health Professional
Mike Kelley, CRNA, Anesthesiology, Allied Health Professional
William B. Kelly, MD, Anesthesiology, Active Staff
Kent G. Hamdorf, PhD, Internal Medicine, Active Staff
Sorabh Khandelwal, MD, Emergency Medicine, Active Staff
Ryan D. Klinefelter, MD, Musculoskeletal Diseases, PGY-4 Limited
Albert J. Kolibash, Jr., MD, Internal Medicine, Active Staff
Allen J. LeBlanc, Jr., MD, PhD, Surgery, PGY-4 Limited
Luis A. Lopez, MD, Anesthesiology, Active Staff

Requests for Additional/Special Privileges (The Ohio State University Hospitals East)

April 2001

Mark Angelos, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Mark Arnold, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Richard M. Bardales, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2003
Richard Cavender, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Abhinav Chandra, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Charles Cook, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
E. Christopher Ellison, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Emile, El-Shammaa, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
William Emlich, DO, Internal Medicine, moderate sedation, 07/01/2001-06/30/2003
Jason Hollingsworth, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Lisa Keder, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Peter Larsen, DDS, Surgery, moderate sedation, 07/01/2001-06/30/2003
Peter Muscarella, MD, Surgery, moderate sedation, 07/01/2001-06/30/2002
Mary Osterlund, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2002
Anantha Padmanabhan, MD, Surgery, moderate sedation, 07/01/2001-06/30/2002
Anjana Samadder, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002
Guatam Samadder, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002
Bivik Shah, MD, Surgery, moderate sedation, 07/01/2001-06/30/2002
Victor Trianfo, DO, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Hospitals East)

May 2001

Magali J. Fernandez, MD, Neurology, Active, 05/24/2001-06/30/2003
Herndon P. Harding, MD, Psychiatry, Active, 05/24/2001-06/30/2003

Medical Staff Reappointments (The Ohio State University Hospitals East)

Reappointment Period: 07/01/2001-06/30/2003

Kenneth L. Abram, DPM, Musculoskeletal Diseases, Active Staff
William R. Adrion, MD, Family Practice, Active Staff
Anil K. Agarwal, MD, Internal Medicine, Consulting Staff
Stephen Altic, DO, Family Practice, Courtesy Staff
Carol J. Ashman, MD, Radiology, Active Staff

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Robert R. Bahnson, MD, Surgery, Active Staff
Steven P. Balaloski, MD, Surgery, Courtesy Staff
Alton J. Ball, MD, Family Practice, Active Staff
Richard M. Bardales, MD, Internal Medicine, Courtesy Staff
George W. Barnett, Jr., MD, Family Practice, Active Staff
Robert E. Bartley, MD, Musculoskeletal Diseases, Active Staff
Robert A. Berkman, MD, Surgery, Active Staff
Jay E. Beilharz, DO, Family Practice, Courtesy Staff
Jerry M. Benis, DDS, Surgery, Courtesy Staff
Barry S. Blank, DDS, Surgery, Courtesy Staff
Alan J. Block, DPM, Musculoskeletal Diseases, Active Staff
Jeffrey R. Blood, MD, Internal Medicine, Courtesy Staff
James G. Bova, DO, Radiology, Active Staff
Richard H. Bracken, DO, Surgery, Courtesy Staff
David A. Brown, MD, Surgery, Courtesy Staff
Brentley A. Buchele, MD, Surgery, Courtesy Staff
Raliegh S. Callion, MD, Family Practice, Active Staff
George F. Calloway, Jr., MD, Internal Medicine, Consulting Staff
Donald W. Chakeres, MD, Radiology, Active Staff
Pamela Chapman, PhD, Internal Medicine, Courtesy Staff
Greg A. Christoforidis, MD, Radiology, Active Staff
Michael P. Collins, MD, Internal Medicine, Active Staff
Charles H. Cook, MD, Surgery, Active Staff
Marc Cooperman, MD, Surgery, Active Staff
Fernando Garcio Cosio, MD, Internal Medicine, Consulting Staff
Maureen A. Delphia, MD, Internal Medicine, Consulting Staff
Michael R. Dick, MD, Emergency Medicine, Active Staff
Mortimer Dolman, MD, Internal Medicine, Active Staff
Michael J. Eisenman, DPM, Musculoskeletal Diseases, Active Staff
William F. Emlich, Jr., DO, Internal Medicine, Courtesy Staff
Patrick J. Fahey, MD, Family Practice, Active Staff
Jerauld D. Ferritto, DPM, Musculoskeletal Diseases, Courtesy Staff
Wesley V. Forgue, MD, Internal Medicine, Active Staff
Donald A. Fouts, MD, Family Practice, Active Staff
Michael Fracassa, DPM, Musculoskeletal Diseases, Courtesy Staff
David J. Frid, MD, Internal Medicine, Active
Charles E. Gerlach, PhD, Internal Medicine, Active Staff
Ahmed M. Ghany, MD, Internal Medicine, Active Staff
Gene S. Graham, DPM, Musculoskeletal Diseases, Active Staff
Marvin G. Green, MD, Internal Medicine, Active Staff
D. Charles Greiner, DPM, Musculoskeletal Diseases, Active
Melkon O. Hajinazarian, MD, Internal Medicine, Active Staff
Mona Y. Halim-Armanios, MD, Anesthesiology, Active Staff
Ronald L. Harter, MD, Anesthesiology, Active Staff
John M. Hatheway, MD, Musculoskeletal Diseases, Active Staff
James W. Hoekstra, MD, Emergency Medicine, Active Staff
Michael B. Howie, MD, Anesthesiology, Active Staff
Jay Jacoby, MD, Anesthesiology, Active Staff
Leonard R. Janis, DPM, Musculoskeletal Diseases, Active Staff
Michael G. Johanson, DO, Anesthesiology, Active Staff
Dorothy L. Jones, DPM, Musculoskeletal Diseases, Courtesy Staff
Edward B. Jones, DDS, Surgery, Courtesy Staff
Ronald J. Kaplansky, DPM, Musculoskeletal Diseases, Active Staff
Bonnie L. Katz, PhD, Internal Medicine, Active Staff

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Sherman A. Katz, MD, Surgery, Active Staff
Paul N. Kaufman, MD, Surgery, Active
Joseph E. Kearns, DO, Family Practice, Active Staff
Garrett T. Kelly, MD, Anesthesiology, Active Staff
Paul C. Kirk, MD, Family Practice, Active Staff
John T. Kissel, MD, Internal Medicine, Active Staff
James A. Klein, MD, Internal Medicine, Active Staff
Alan J. Kover, MD, Anesthesiology, Active Staff
Rodney K. Kusumi, MD, Internal Medicine, Courtesy Staff
Susan E. Lake, DO, Family Practice, Courtesy Staff
Peter E. Larsen, DDS, Surgery, Courtesy Staff
Thomas H. Lee, MD, Musculoskeletal Diseases, Active Staff
Alan R. Levy, DMD, Surgery, Courtesy Staff
Brock A. Liden, DPM, Musculoskeletal Diseases, Active Staff
James W. Lipscomb, MD, Family Practice, Active Staff
William L. Licklider, MD, Surgery, Courtesy Staff
James R. Otworth, DO, Anesthesiology, Provisional Staff

Request for Additional/Special Privileges (The Ohio State University Hospitals East)

May 2001

James Bacon, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2003
Leo Boggs, MD, Emergency Medicine, hyperbaric medicine, 07/01/2001-06/30/2003
Harisios Boudoulas, MD, Internal Medicine/Cardiology, moderate sedation, 07/01/2001-06/30/2003
Eric Bourekas, MD, Radiology, moderate sedation, 07/01/2001-06/30/2003
James Bova, DO, Radiology, percutaneous biopsy, paracentesis/thoracentesis, percutaneous drainage of abscess, 07/01/2001-06/30/2003
Marcel Casavant, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Greg Christofridis, MD, Radiology, moderate sedation, 07/01/2001-06/30/2003
Pablo Gamboa, MD, Radiology, moderate sedation, 07/01/2001-06/30/2003
Gayle Gordillo, MD, Surgery, moderate sedation, 07/01/2001-06/30/2003
Marvin Green, MD, Internal Medicine, substance dependency, proctoscopy, 07/01/2001-06/30/2003
Michael Howie, MD, Anesthesiology, moderate sedation, 07/01/2001-06/30/2003
Jay Jacoby, MD, Anesthesiology, moderate sedation, 07/01/2001-06/30/2003
Matthew Kellar, MD, Emergency Medicine, moderate sedation, 07/01/2001-06/30/2003
Garrett Kelly, MD, Anesthesiology, moderate sedation, 07/01/2001-06/30/2003
James Macklin, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002
Robert Pickering, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002
Anjana Samadder, MD, Internal Medicine, moderate sedation, 07/01/2001-06/30/2002

RESOLUTIONS IN MEMORIAM

Resolution No. 2002-9

Synopsis: Approval of Resolutions in Memoriam.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

RESOLUTIONS IN MEMORIAM (contd)

N. Wilson Britt

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 31, 2001, of Dr. N. Wilson Britt, Professor Emeritus in the Department of Entomology.

Dr. Britt was born in Lucas, Kentucky, in 1913 and received his B.S. degree in 1939 from Western Kentucky State College. Between 1933-39, he taught in rural elementary schools in Barren County, Kentucky, and then in Cave City High School between 1940-42, where he served as principal during the 1941-1942 academic year. He was a veteran of WW II, having served as a meteorologist with the Army Air Force.

When he returned from the service, he enrolled as a graduate student at The Ohio State University and was awarded an M.S. degree in 1947 and a Ph.D. degree in 1950. He was immediately appointed to the position of assistant professor at Ohio State in 1950 and served on the faculty until the time of his retirement in 1983 when he became Professor Emeritus.

Dr. Britt dedicated most of his professional life to the study of aquatic insects. Summers found him busy teaching aquatic entomology at Stone Laboratory, the University's aquatic field station on Lake Erie. During the regular academic year, he taught popular courses in limnology and aquatic entomology on the main campus.

He was perhaps best known professionally for his classic studies on the population dynamics of mayflies in Lake Erie. During a 30-year interval of study, he contributed greatly to our understanding of the effects of pollution on the decline of the mayfly populations in this important body of water.

Dr. Britt was recognized by his faculty colleagues and students for his compassion, and was a popular member of student theses committees. His faculty office door was always open so that he could be available to students at any time.

On behalf of the University community, the Board of Trustees expresses to the family of Professor N. Wilson Britt its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Loyd E. Harris

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 11, 2000, of Loyd E. Harris, Professor Emeritus in the College of Pharmacy.

Dr. Harris was born in 1900 near Ryan in Indian Territory prior to Oklahoma statehood. He received his Ph.G. (Pharmacy Graduate) diploma in 1920, his Ph.C. (Pharmaceutical Chemist) degree and B.S. degree in pharmacy in 1922, and an M.S. degree in chemistry in 1924, all from the University of Oklahoma. Deciding teaching would be his life work he earned a Ph.D. degree in pharmaceutical chemistry from the University of Wisconsin in 1926 after only 18 months of study, returning to the University of Oklahoma as a full professor at age 26. In 1942, he was called into service in the U.S. Army's Chemical Corps as Chief of Technical Instruction and Director of Training at the Chemical Warfare Service School. Late in the war he was active in chemical intelligence operations in the European Theater. In 1951, he was recalled for a two-year tour of duty during the Korean War and later served as research liaison between the Chemical Corps and industrial laboratories in the United States. He retired from the Army Reserves in 1958 at the rank of colonel.

In 1946, Dr. Harris joined the faculty of The Ohio State University College of Pharmacy as professor of pharmacy, teaching courses in inorganic and organic pharmaceutical chemistry. In 1949, working with Lloyd M. Parks and Paul J. Jannke, he coauthored *Inorganic Chemistry in Pharmacy*, the standard textbook in the field. His students remember him as knowledgeable,

RESOLUTIONS IN MEMORIAM (contd)

Loyd E. Harris (contd)

caring, and thoroughly professional. In 1955-1956, he served as acting dean of the College of Pharmacy, organizing the Ohio Pharmaceutical Seminar, a four-day continuing education program for Ohio pharmacists, and developing "Pharmacy Day," an opportunity for high school students to learn about the profession. In 1958, the Ohio State Pharmaceutical Association presented him with its Beal Award for outstanding professional service. Upon his retirement in 1963, he was named Professor Emeritus. At that time, Dr. Harris returned to the University of Oklahoma College of Pharmacy where he served as dean until his retirement in 1970. In 1995, The Ohio State University College of Pharmacy named the first of its "Legends in Pharmacy" endowed scholarships in his honor.

Dr. Harris' research focused on the chemistry of plant constituents, drug stability, and synthesis. From 1930-50, he served on the revision committee of the *United States Pharmacopoeia*. From 1934-42, he was national secretary of Rho Chi Pharmacy Honor Society. A life member of the American Pharmaceutical Association, Dr. Harris was also a member of the American Chemical Society, Sigma Xi, Phi Beta Kappa, Gamma Alpha, Phi Delta Chi, Phi Sigma, Phi Eta Sigma, and Lambda Chi Alpha.

On behalf of the University community, the Board of Trustees expresses to the family, friends, colleagues, and former students of Professor Loyd E. Harris its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Ian M. Whillans

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 9, 2001, of Dr. Ian M. Whillans, Professor in the Department of Geological Sciences and member of the Byrd Polar Research Center.

Dr. Whillans was born in 1944 in Toronto, Canada, and received his B.S. degree (mathematics and physics) with honors from the University of Bristol, United Kingdom, in 1966, and his Ph.D. degree (geology and mineralogy) from The Ohio State University in 1975. He came to the Byrd Polar Research Center in 1966 and joined the faculty of the Department of Geological Sciences in 1977. Over the course of his career, his work led him to such remote places as Devon Island ice cap, Northwest Territories, Canada; the Greenland Ice Sheet; and the ice streams in West Antarctica. In 1966-67 he also wintered over at Palmer Station in the Antarctic Peninsula. The results of Dr. Whillans' field studies have been published in major journals, starting with a 1973 paper in *Science* on the "State of Equilibrium of the West Antarctic Ice Sheet." He published more than 60 papers on topics ranging from the interaction between ice sheet surface topography and inversion winds, past and current changes in the Antarctic and Greenland ice sheets, to the flow dynamics of the West Antarctic ice streams.

Dr. Whillans, perhaps more than any other glaciologist today, has followed in the footsteps of earlier pioneers in establishing a rigorous, quantitative, and mathematical underpinning for modern observations of glaciers and ice sheets. Building on earlier and simplified views about the growth and decay of ice sheets and processes controlling their flow, he explored the real and complex behavior of ice masses and their response to climate forcing. He was one of the first scientists to study the physical nature of long, continuous internal layers observed by radio-echo sounding of polar ice sheets. That work established that these layers are isochrons, some of great age, thereby catapulting the significance of internal layers from interesting curiosities to fundamental indicators of ice deformation and flow. The impact of this work extends from better understanding the nature of forces acting on the glacier bed, to interpreting and extrapolating the powerful record of paleoclimate preserved in deep ice cores.

RESOLUTIONS IN MEMORIAM (contd)

Ian M. Whillans (contd)

Until the time of his death, Dr. Whillans remained active in studies of the West Antarctic ice streams, considered to be of global significance because of their potential to quickly discharge large amounts of ice from the ice sheet interior to the world's oceans. His research on this topic challenged conventional theories about ice stream motion, forcing the scientific community to reassess the fundamental controls that initiate and restrain fast moving ice streams. Most importantly, Dr. Whillans' work has shown that streaming flow is constrained by forces along the lateral margins of ice streams and that these forces arise from within the ice itself. This suggests that there may be important controls on the streaming behavior of ice driven independently from changes in climate.

Dr. Whillans was one of a handful of glaciologists who combined theoretical analyses with careful observations and experiments. His pioneering work on strain grids set the standard for measuring surface deformation on glaciers and many glaciologists have adopted his methods to study flow near ice divides, flow leading to deep boreholes, and processes acting at the lateral margins of fast moving ice streams. The techniques developed by Dr. Whillans for inferring mechanical controls on glacier motion from measurements of surface strain have revolutionized the way glaciologists study glaciers.

His fieldwork always involved the latest techniques available, but without falling into the trap of techniques overshadowing the science. Early glacier surveys were conducted with theodolites and TRANSIT satellite receivers, but when the Global Positioning System (GPS) became available, he was among the first to explore its application in Antarctica, developing his own numerical code for data interpretation. He continued to push the limits of GPS surveying, being the first to apply this technique to measure directly the vertical motion of the ice sheet surface to infer changes in ice thickness. His use of repeat photogrammetry resulted in the first descriptive map of ice stream B in West Antarctica and, later, the first description of the large-scale pattern of motion in this region. Subsequent work involved satellite images and provided insight to recent changes in the ice streams and the Ross Ice Shelf. Throughout all his studies, Dr. Whillans never lost track of the "big picture" of why doing science and why studying glaciers are important.

The contributions of Dr. Whillans are recognized by the international science community. He served as a member on the Committee of Snow and Ice of the American Geophysical Union, was a member of an ad hoc committee on Information Storage and Retrieval under the Committee on Glaciology of the National Academy of Sciences, and also served on the Committee on Glaciology of the Polar Research Board of the National Academy of Sciences. In addition, he served as council member of the International Glaciological Society and served as assistant editor and chief scientific editor for the *Annals of Glaciology*.

From the inception of the West Antarctic Ice Sheet Program (WISP) in 1975, he remained actively involved in the planning and execution of the US scientific investigations in West Antarctica. He had long-term working relationships with the Laboratoire de Glaciologie et Geophysique de l'Environnement in Grenoble, France, and the Norsk Polarinstittutt in Bergen, Norway, as well as with many individual scientists from around the world. He received the Antarctic Service Medal in 1967, and the BPRC Golthwait Polar Medal in October 2000. Mount Whillans, 870 m., standing 4 miles southwest of Mt. Stroschein in the Anderson Hills in northern Patuxent Range, Pensacola Mountains, Antarctica, was named for Dr. Ian Whillans.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Ian M. Whillans its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2002-10

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for May 2001 is presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of May 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-11

Synopsis: The report on the receipt of gifts and the summary for May 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of fourteen (14) new named endowed funds and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of May 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through May
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY DONOR TYPE

		Dollars July through May	
	<u>1999-2000</u>	<u>2000-2001</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$25,280,383	\$25,280,383	12
Alumni (From Bequests)	<u>9,284,861</u>	<u>6,659,028</u>	(28)
Alumni Total	\$34,565,244	\$34,946,946	1
Non-Alumni (Current Giving)	\$19,976,684	\$22,870,120	14
Non-Alumni (From Bequests)	<u>5,850,423</u>	<u>10,002,002</u>	71
Non-Alumni Total	\$25,827,107	\$32,872,122	27
Individual Total	\$60,392,351	\$67,819,068	12 ^A
Corporations/Corp/Foundations	\$33,279,994	\$36,315,055	9 ^B
Private Foundations	\$13,433,747	\$14,545,406	(18) ^C
Associations and Other Organizations	<u>\$4,909,448</u>	<u>\$4,032,186</u>	(18) ^D
Total	\$112,015,540	\$122,711,715	10

NOTES

- A Individual giving at the \$10,000 or more level is up 19% for this year (\$50.0 million from 536 gifts this year; \$42.1 million from 496 gifts last year).
- B Corporate giving at the \$10,000 or more level is up 13% so far this year (\$29.1 million from 614 gifts this year; \$25.7 million from 635 gifts last year).
- C Foundation giving at the \$10,000 or more level is up 9% so far this year (\$13.6 million from 174 gifts this year; \$12.5 million from 174 gifts last year).
- D Other organizations and associations have given about \$900,000 less at the \$10,000 or more level so far this year compared with last year.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July through May
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through May		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$19,758,518	\$17,399,361	(12)
Faculty Support	\$18,629,616	\$7,493,540	(60)
Program Support	\$49,505,710	\$75,856,235	53
Student Financial Aid	\$14,724,040	\$11,939,240	(19)
Annual Funds-Colleges/Departments	\$7,661,661	\$7,661,661	8
Annual Funds-University	<u>\$1,735,995</u>	<u>\$1,733,802</u>	----
Total	\$112,015,540	\$122,711,715	10

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through May

<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
\$41,460,465	\$29,437,105	(29)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Helen Strow International Programs Fund in the College of Human Ecology (Support tuition scholarships in the College of Human Ecology; provided by gifts from Dr. Genevieve B. Schroeder and the estate of Dr. Florence J. Neuman)		\$52,455.09	\$52,455.09
The Ohio State University Military Dependents Merit Scholarship Fund in Social and Behavioral Sciences (Provide scholarships in the College of Social and Behavioral Sciences; provided by gifts from friends, relatives, and corporate friends of military men and women of the United States of America)		\$29,842.00	\$29,842.00
The Craig Cooley Graduate Student and Alumni Award Fund in Statistics (Provide awards for graduate statistics students and alumni who were statistics students; provided by gifts from the Department of Statistics)		\$25,235.00	\$25,235.00

Change in Name and Description of Named Endowed Funds

From: The Medical Alumni Society Future Fund
To: The Medical Alumni Society Caduceus Fund

From: The Wildah Turnbull Walkup Memorial Fund
To: The Wildah Turnbull and Joseph K. Walkup Memorial Fund

Change in Description of Named Endowed Fund

The Bob Rodabaugh Agriculture Scholarship Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The Keenan-Slettebak Reading Room Fund in Astronomy (Provide for the operating expenses of the reading room area designated by the Department of Astronomy; provided by gifts from the estate of Philip C. Keenan)		\$56,000.00	\$56,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Bill Myles Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a student athlete; provided by gifts from Joseph T. Lukens)		\$50,000.00	\$50,000.00
The Conrads College Gifts Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a student athlete; provided by gifts from Jack and Violet Cohen and the Cohen family)		\$28,798.60	\$28,798.60
The Ohio Fruit Growers Society Fred O. Hartman Endowment Fund (Support scholarship(s) in the College of Food, Agricultural, and Environmental Sciences and/or the Agricultural Technical Institute; provided by gifts from The Ohio Fruit Growers Society, alumni, and friends honoring Professor Emeritus Fred O. Hartman)		\$27,264.56	\$27,264.56
The Norman M. Stanley Scholarship Fund (Award a scholarship to an Agricultural Technical Institute student; provided by gifts from friends of Norman M. Stanley)		\$26,920.00	\$26,920.00
Gordon C. and Susan K. Warnes Memorial Scholarship Fund (Provide scholarships to students enrolled at the Agricultural Technical Institute; provided by gifts from Gordon C. Warnes)		\$25,327.52	\$25,327.52
The Mary Plummer Memorial Scholarship Fund (Provide scholarship(s) to students in the School of Public Health; provided by gifts from the family, friends, and colleagues of Mary Pettigrew Plummer)		\$25,250.00	\$25,250.00
The Henry C. Gelbke Endowed Scholarship Fund (Support an Agricultural Technical Institute student studying dairy cattle production or diary science; provided by a gift from Herman R. Gelbke)		\$25,000.00	\$25,000.00
Guernsey County Alumni Scholars Fund (Provide merit scholarships to worthy graduates of schools in or residents of Guernsey County, Ohio; provided by gifts from the members of the OSU Alumni club of Guernsey County and friends of OSU)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The David D. Moon University Scholars Fund (Award undergraduate merit scholarships; provided by David D. Moon) schools in the Phoenix Metro Area)		\$25,000.00	\$25,000.00
The Roberta Elliott Wantman Endowment Fund in the Department of Greek and Latin in the College of Humanities (Provide aid for study to a student of classics; provided by a gift from Esther B. Elliott in honor of her daughter)		\$25,000.00	\$25,000.00
Total		\$447,092.77	\$447,092.77

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Funds

The Helen Strow International Programs Fund
in the College of Human Ecology

The Helen Strow International Programs Fund in the College of Human Ecology was established June 29, 2001, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University from Dr. Genevieve B. Schroeder (B.S.H.E. 1955, M.S. 1973, Ph.D. 1978) and the estate of Dr. Florence J. Neuman (B.S.H.E. 1926, M.S. 1938, Ph.D. 1943).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support:

- Three-year tuition scholarships at the College of Human Ecology for undergraduate students from countries without an accredited university baccalaureate program; scholarships will be awarded in consultation with the University Committee on Student Financial Aid.
- Short-term international study tours or courses at universities abroad that will give College of Human Ecology students the chance to expand their knowledge of and experiences with families and communities worldwide.
- Biannual support for an international student at the college to attend a national professional meeting, and for a U.S. student to attend an international professional meeting.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever as determined by the Board of Trustees, with

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Helen Strow International Programs Fund
in the College of Human Ecology (contd)

preference being given to the recommendations from the appropriate administrative official of the University who is then directly responsible for the College of Human Ecology in order to carry out the desire of the donor.

\$52,455.09

The Ohio State University Military Dependents Merit Scholarship Fund
in Social and Behavioral Sciences

The Ohio State University Military Dependents Merit Scholarship Fund in Social and Behavioral Sciences was established June 29, 2001, by the Board of Trustees of The Ohio State University with gifts from friends, relatives, and corporate friends of military men and women of the United States Armed Forces.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the dean of the College of Social and Behavioral Sciences to be presented as an annual scholarship to an incoming undergraduate student(s), qualifying as the dependent of a career service member with at least fifteen (15) years of active duty military service. In selecting the recipient(s) of this scholarship, strong consideration will be given to: (1) secondary school officials' comments on performance in leadership positions and potential for leadership; (2) applicant's performance in honors or advanced placement courses; and (3) secondary school class rank. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson or program administrative officer in order to carry out the desire of the donors.

\$29,842.00

The Craig Cooley Graduate Student and Alumni Award Fund in Statistics

The Craig Cooley Graduate Student and Alumni Award Fund in Statistics was established June 29, 2001, by the Board of Trustees of The Ohio State University with gifts from the Department of Statistics.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a continuing source of funds for two awards. The first will be an annual award to recognize outstanding graduate students, and the second a periodic award to recognize alumni who have exhibited excellence in their careers. Any unused income will be returned to the principal of this fund. These awards will be granted in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Craig Cooley Graduate Student and Alumni Award Fund in Statistics (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,235.00

Change in Name and Description of Named Endowed Funds

The Medical Alumni Society Caduceus Fund

The Medical Alumni Society Future Fund was established on June 6, 1997, by the Board of Trustees of The Ohio State University with gifts from The Ohio State University Medical Alumni Society and its members. The name and description were revised on June 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support Medical Alumni Society programming and operations in accordance with the mission of the Society and the College of Medicine and Public Health. Expenditures shall be approved by the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in consultation with, and under the advisement from, the Board of Governors of the Medical Alumni Society. Without depleting below the minimum endowment level, currently \$25,000, principal as well as income may be used for this purpose with the approval of the Board of Governors and the senior vice president and dean.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Board of Governors of the Medical Alumni Society and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

The Wildah Turnbull and Joseph K. Walkup Memorial Fund

The Wildah Turnbull Walkup Memorial Fund was established February 1, 1974, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Joseph K. Walkup (B.A. 1932, B.M.E. 1941, Professional I.E. 1941) and his sons David W., Robert E., and John D. Walkup in memory of his wife and their mother, Wildah Turnbull Walkup (B.A. with honors 1931, M.A 1932). Upon the death of Joseph K. Walkup and at the request of his executor and son, Robert E. Walkup, and the College of Engineering, the name and description were revised to include both Mr. and Mrs. Walkup on June 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used to provide one or more undergraduate scholarships for female students enrolled in the College of Engineering. Recipient(s) will be chosen during the first full year of enrollment in the engineering curriculum. Basis for selection is solely on academic

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Wildah Turnbull and Joseph K. Walkup Memorial Fund (contd)

achievement. Selection of the recipient(s) is to be made by the University Committee on Student Financial Aid upon the recommendation of the College of Engineering.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer, in order to carry out the desire of the donors.

The Bob Rodabaugh Agriculture Scholarship Fund

The Bob Rodabaugh Agriculture Scholarship Fund was established on April 7, 2000, by the Board of Trustees of The Ohio State University with initial gifts to The Ohio State University's Endowment Fund in memory of C. Robert Rodabaugh (B.S.Agr. 1973), with gifts from his family, friends, and colleagues. The description was revised on June 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from the endowment shall be used to provide a scholarship(s) to undergraduate students from Madison County or one of the contiguous counties (Franklin, Pickaway, Fayette, Greene, Clark, Champaign, Hardin, Washington, or Union Counties) who are enrolled in the College of Food, Agricultural, and Environmental Sciences at The Ohio State University. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Keenan-Slettebak Reading Room Fund in Astronomy

The Keenan-Slettebak Reading Room Fund in Astronomy was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the estate of Philip C. Keenan.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide for the operating expenses of the reading room area designated by the Department of Astronomy. All expenditures are to be approved by the chair of the department. Any unused income will be returned to the principal of this fund.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Keenan-Slettebak Reading Room Fund in Astronomy (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$56,000.00

The Bill Myles Athletic Scholarship Fund

The Bill Myles Athletic Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Joseph T. Lukens, Cincinnati, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a student athlete who is a member of the varsity football team pursuing an undergraduate degree at The Ohio State University. The first preference for the recipient of this award will be a student athlete playing the left tackle position and second preference will go to a student athlete playing the right tackle position. Recipients shall be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of the Department of Athletics in order to carry out the desire of the donor.

\$50,000.00

The Conrads College Gifts Athletic Scholarship Fund

The Conrads College Gifts Athletic Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jack and Violet Cohen and the Cohen family of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of a student-athlete who is a member of the football team pursuing an undergraduate degree at The Ohio State University. Recipient will be selected by the director of the Department of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Director of Athletics in order to carry out the desire of the donors.

\$28,798.60

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Ohio Fruit Growers Society Fred O. Hartman Endowment Fund

The Ohio Fruit Growers Society Fred O. Hartman Endowment Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Ohio Fruit Growers Society, alumni, and friends, honoring Professor Emeritus Fred O. Hartman (M.S. 1941, Ph.D. 1951), who joined the University in 1951.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship(s) for undergraduate or graduate student(s) enrolled in the College of Food, Agricultural, and Environmental Sciences and/or the Agricultural Technical Institute. First preference will be given to students in good academic standing with a fruit crops interest (crop science major) in the Department of Horticulture and Crop Sciences and/or students demonstrating association or involvement with the Ohio fruit industry.

The student recipients(s) shall be selected by the Scholarship Committee of the Department of Horticulture and Crop Sciences with advice from the department chair, the Office of Student Financial Aid, and Scholarship Committee of the Ohio Fruit Growers Society being welcomed. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, in consultation with the chair of the Department of Horticulture and Crop Sciences or their successor, or appropriate administrative officer, or their successor, in order to carry out the desire of the donors.

\$27,264.56

The Norman M. Stanley Scholarship Fund

The Norman M. Stanley Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from friends of Norman M. Stanley (B.S.Agr. 1967, M.S. 1971, Ph.D. 1973).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a scholarship(s) for a student(s) who has been enrolled at Ohio State ATI for three quarters or more in the agricultural technologies or arts and science and business technologies areas of study and has a minimum GPA of 2.75. Student(s) should demonstrate evidence of financial need and/or outstanding leadership ability. Unused funds will be returned to the principal. The student(s) shall be selected by a committee composed of the Financial Aid Coordinator, a faculty representative, and Matt Peart or a staff representative. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Norman M. Stanley Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$26,920.00

Gordon C. and Susan K. Warnes Memorial Scholarship Fund

The Gordon C. and Susan K. Warnes Memorial Scholarship was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Gordon C. Warnes (B.A. 1957, M.A. 1970).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships to students enrolled at The Ohio State University Agricultural Technical Institute. Recipients will be selected by the coordinator of financial aid and other appropriate administrators at the Agricultural Technical Institute, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,327.52

The Mary Plummer Memorial Scholarship Fund

The Mary Plummer Memorial Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the family, friends, and colleagues of, and in memory of, Mary Pettigrew Plummer (M.S. 1989) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships to students enrolled in the School of Public Health in the College of Medicine and Public Health who are interested in epidemiology. Recipients may be pursuing thesis, dissertation, or practicum work in epidemiology, which may or may not be their area of specialty. It is the desire of the donors that, to the extent consistent with applicable law, consideration be given to female students. The selection of the recipient(s) shall be made based on academic merit and financial need, and at the recommendation of the director of the School of Public Health in consultation with the University Committee on Student Financial Aid and, as appropriate, with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Mary Plummer Memorial Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of the School of Public Health and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,250.00

The Henry C. Gelbke Endowed Scholarship Fund

The Henry C. Gelbke Endowed Scholarship Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Herman R. Gelbke wishing to honor his brother, Henry C. Gelbke.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support an Agricultural Technical Institute student studying dairy cattle production or dairy science wishing to complete an undergraduate degree at The Ohio State University main campus. This scholarship will be restricted to a qualified student who has maintained at least a 3.0 GPA. Preference shall be given but not limited to a student from the northeastern part of Ohio who intends to go back to the farm and who demonstrates financial need. The student shall be enrolled in the Department of Animal Sciences and engaged in the study and/or research of dairy science.

The Scholarship Selection Committee of the Department of Animal Sciences shall select the student recipient in consultation with the department chair, the Office of Student Financial Aid and the University Committee on Student Financial Aid. A letter of notification naming the scholarship recipient shall be sent annually to the donor and the Trumbull County Extension office.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach and with the chair of the Department of Animal Sciences or their successor in order to carry out the desire of the donor.

\$25,000.00

Guernsey County Alumni Scholars Fund

The Guernsey County Alumni Scholars Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the members of the OSU Alumni Club of Guernsey County and friends of OSU.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide merit scholarships to worthy graduates of schools in or residents of Guernsey County, Ohio, recruited, interviewed, ranked, and recommended by the Guernsey County Alumni Club to the Office of Student Financial Aid, who will make the final decision in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Guernsey County Alumni Scholars Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate program administrative officer in order to carry out the desire of the donors.

\$25,000.00

The David D. Moon University Scholars Fund

The David D. Moon University Scholars Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from David D. Moon (B.S.Bus.Adm. 1963), Greenfield, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide undergraduate merit scholarships for David D. Moon University Scholars. The scholarship will be administered by the Fisher College of Business in cooperation with the University Honors Center and in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$25,000.00

The Roberta Elliott Wantman Endowment Fund
in the Department of Greek and Latin in the College of Humanities

The Roberta Elliott Wantman Endowment Fund in the Department of Greek and Latin in the College of Humanities was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Esther B. Elliott in honor of her daughter, Roberta Elliott Wantman (B.A. cum laude and with Distinction in Latin and Ancient History, 1971, M.A. 1973).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for a student of classics, preferably female, to experience the excitement of the Roman world by visiting ancient sites and participating in a formal program of study of some aspect of ancient Roman civilization including but not limited to: advanced Latin language studies, participation in an archaeological dig, studying of Roman art or architecture on site, or investigating the Roman impact on regions of Europe or the Middle East. The Department of Greek and Latin Awards Committee will determine the method and the person selected each year in consultation with Roberta Elliott Wantman and the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Roberta Elliott Wantman Endowment Fund
in the Department of Greek and Latin in the College of Humanities (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the donor and the dean of the College of Humanities and the chairperson of the Department of Greek and Latin.

\$25,000.00

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER, AND
REQUEST FOR CONSTRUCTION BIDS**

Resolution No. 2002-12

BIOMEDICAL RESEARCH FACILITY
CLINICAL SPACE RE-ORGANIZATION
NEIL AVENUE GARAGE
AUTOMATED TRANSPORT SYSTEM FOR UNIVERSITY MEDICAL CENTER –
PHASES I AND II

Synopsis: Authorization to employ architect/engineering firms for the Biomedical Research Facility, Clinical Space Re-Organization, Neil Avenue Garage, Automated Transport System for University Medical Center – Phase I, and Automated Transport System for University Medical Center – Phase II projects, employ construction managers for the Biomedical Research Facility and Neil Avenue Garage projects, and request construction bids for the Neil Avenue Garage, Automated Transport System for University Medical Center – Phase I and Automated Transport System for University Medical Center – Phase II projects is requested.

WHEREAS the University desires to construct a new Biomedical Research Facility for the College of Medicine and Public Health with an estimated project size of approximately 200,000 assignable square feet, with funding provided by University bond proceeds and other sources, with debt service payment by indirect cost recovery generated by new research grants and other sources; and

WHEREAS University Hospitals desires to request the services of a health care architect/planner to provide planning and design for improvements in clinical services located in Rhodes and Doan Halls (inpatient facilities); and

WHEREAS the total estimated cost of planning and schematic design for these improvements in clinical services is \$1,000,000, with funding provided by University Hospitals; and

WHEREAS this engagement for improvements in clinical services space will result in the development of a number of construction projects over the next three to seven years with a total estimated construction budget of \$20 - \$25 million; and

WHEREAS as individual projects are prioritized, the firm selected also may provide complete design documentation and subsequent construction administration for the individual projects, with funding for additional design work provided by University Hospitals, and each such individual project will be brought to the Board of Trustees for approval to request construction bids; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER, AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

WHEREAS the University desires to construct a parking garage with a minimum of 650 spaces adjacent to the current Larkins Hall soccer field and south of the proposed Larkins Hall Natatorium; and

WHEREAS the total estimated project cost is \$11,809,364 and the total estimated construction cost is \$9,509,537, with funding provided by University bond proceeds with debt service payment by Transportation and Parking; and

WHEREAS University Hospitals desires to proceed with the installation of an Automated Transport System (previously designated as the Doan/Rhodes halls – Materials handling System) for the University Medical Center with the first phase of the project accomplishing renovation to the various Medical Center facilities to accommodate the new system and the second phase of the project accomplishing the installation of the equipment for the system; and

WHEREAS the Board of Trustees authorized the requesting of construction bids for Phase I of the project on December 3, 1999 and the requesting of construction bids for Phase II of the project on February 2, 2001; and

WHEREAS the total estimated project cost for Phase I is \$1,850,000 and the total estimated construction cost for Phase I is \$1,500,000, with funding provided by University Hospitals; and

WHEREAS the total estimated project cost for Phase II is \$9,665,000 and the total estimated construction cost for Phase II is \$8,300,000, with funding provided by University Hospitals:

NOW THEREFORE

BE IT RESOLVED, That, subject to approval of a financial plan by the appropriate University officials, the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Biomedical Research Facility project and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Clinical Space Re-Organization, Neil Avenue Garage, Automated Transport System for University Medical Center – Phase I, and Automated Transport System for University Medical Center – Phase II projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for the Biomedical Research Facility and Neil Avenue Garage projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That, subject to the execution of a memorandum of understanding among all the offices involved, the President and/or Vice President for Business and Finance be authorized to request construction bids for the Neil Avenue Garage project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Automated Transport System for University Medical Center – Phase I and Automated Transport System for University Medical Center – Phase

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER, AND
REQUEST FOR CONSTRUCTION BIDS (contd)**

It projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time.

(See Appendix I for maps, page 77.)

FY 2003 AND FY 2004 CAPITAL REQUEST

Resolution No. 2002-13

Synopsis: Approval of the FY 2003 and FY 2004 Capital Request is proposed.

WHEREAS the University administration has made its recommendations regarding the capital request for State funds for the FY 2003 and FY 2004 biennium; and

WHEREAS such recommendations have been reviewed through the University's governance structure:

NOW THEREFORE

BE IT RESOLVED, That the attached recommendations for State capital funds be approved for submission to the Ohio Board of Regents; and

BE IT FURTHER RESOLVED, That any request for authorization to proceed with any project contained in these recommendations or for University funds for any such project must be submitted individually by the administration for approval by the Board of Trustees.

(See Appendix II for background information, page 81.)

APPROVAL OF FISCAL YEAR 2002 CURRENT FUNDS BUDGET

Resolution No. 2002-14

Synopsis: Approval of the budget for Fiscal Year 2002 is proposed.

WHEREAS the State has passed its budget for Fiscal Years 2002 and 2003, which includes funding levels for State institutions of higher education; and

WHEREAS fee increases for the Columbus Campus and compensation levels were approved at the June 1 meeting of the Board of Trustees; and

WHEREAS other levels of resources and expenditures have been identified for the 2001-02 fiscal year; and

WHEREAS \$5.0 million of the University's Rainy Day Fund were used to offset subsidy cuts in FY 2001; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2002 budget:

APPROVAL OF FISCAL YEAR 2002 CURRENT FUNDS BUDGET (contd)

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby reaffirms the tuition and fees approved at its June 1, 2001, meeting, pursuant to the newly enacted State budget; and

BE IT FURTHER RESOLVED, That in-state undergraduate tuition and fees, net of the Access Challenge credit, be increased at the Regional Campuses 7.6% for lower division students and 6.5% for upper division students, 5.8% at the Agricultural Technical Institute, and as specified in the accompanying materials for all other levels of students, all to be pursuant to the newly enacted State budget; and

BE IT FURTHER RESOLVED, That the University's General Funds Budget Summary for Fiscal Year 2001-02, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That the University will submit the detailed Current Funds Budget for the August 31, 2001 meeting of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the President is authorized to implement a one-time reallocation equal to 1.0% of each Columbus Campus General Fund units' present budget allocation to replenish the University's Rainy Day Fund.

(See Appendix III for background information, page 97.)

Upon motion of Ms. Davidson, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Brennan, Patterson, and Judge Duncan, Ms. Hendricks, and Ms. Davidson.

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APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS

Resolution No. 2002-15

Synopsis: Approval of the Appointment and Reappointment of Investment Managers is proposed.

WHEREAS it is the policy of The Ohio State University to utilize the service of external Investment Managers to assist in the management of the University's Endowment Fund; and

WHEREAS the Investments Committee of the Board of Trustees periodically reviews the results obtained by the external Investment Managers and the amount of funds assigned to each of them; and

WHEREAS it is prudent investment policy to adjust the mix and amounts of money assigned to external Investment Managers as economic conditions and performance change; and

WHEREAS the number of external Investment Managers and the amount of funds assigned to them shall be determined by the Board of Trustees:

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of the Investments Committee of the Board of Trustees the following named external Investment Managers and the Office of the Treasurer shall be approved to manage Endowment Funds as follows:

APPOINTMENT AND REAPPOINTMENT OF INVESTMENT MANAGERS (contd)

<u>Equity Managers</u>	<u>Market Value/ Commitment As of 5/31/01</u>	<u>Changes</u>	<u>Revised Allocation</u>
ABN AMRO	\$ 64,369,061	\$ --0--	\$ 64,369,061
Barclays S&P 500	\$262,024,751	\$ --0--	\$262,024,751
Nicholas Applegate Mid Cap	\$146,032,366	\$ --0--	\$146,032,366
University Students	\$ 20,166,548	\$ --0--	\$ 20,166,548
Barclays Extended	\$155,904,626	\$ --0--	\$155,904,626
R. Meeder	\$ 76,283,119	\$ --0--	\$ 76,283,119
Nicholas Applegate Small Cap	\$ 27,986,393	\$ --0--	\$ 27,986,393
Barclays International	\$ 27,771,325	\$ --0--	\$ 27,771,325
INVESCO	\$ 21,670,726	\$ --0--	\$ 21,670,726
Sit/Kim	\$ 17,271,643	\$ --0--	\$ 17,271,643
<u>Alternative Managers</u>			
Battelle Technology Fund	\$ --0--	\$ 2,625,000	\$ 2,625,000
CID Seed Fund	\$ 1,000,000	\$ --0--	\$ 1,000,000
Commonfund New Leaders	\$ 10,000,000	\$ --0--	\$ 10,000,000
Commonfund Capital Partners	\$ 7,067,000	\$ --0--	\$ 7,067,000
EDF Ventures	\$ 1,000,000	\$ --0--	\$ 1,000,000
Mesirow Partnership Fund I	\$ 10,000,000	\$ --0--	\$ 10,000,000
<u>Fixed Income Managers</u>			
Banc One	\$ 25,701,462	\$ --0--	\$ 25,701,462
Commonfund	\$ 34,593,371	\$ --0--	\$ 34,593,371
Cypress Asset Management	\$ 24,209,545	\$ --0--	\$ 24,209,545
Hughes Capital Management	\$ 15,295,290	\$ --0--	\$ 15,295,290
Huntington Trust	\$ 25,697,311	\$ --0--	\$ 25,697,311
MDL Capital Management	\$ 77,173,574	\$ --0--	\$ 77,173,574

Upon motion of Ms. Hendricks, seconded by Judge Duncan, the Board of Trustees adopted the foregoing resolution with four affirmative votes cast by Messr. Brennan, Judge Duncan, Ms. Hendricks, and Ms. Davidson, and one abstention cast by Mr. Patterson.

**AMENDMENT AND ESTABLISHMENT
OF THE SUPPLEMENTAL RETIREMENT PLANS**

Resolution No. 2002-16

Synopsis: Authorization for The Ohio State University to establish The Ohio State University Aetna Deferred Compensation Plan, The Ohio State University Supplemental Qualified Retirement Plan, and The Ohio State University Supplemental 415(m) Retirement Plan, and to amend The Ohio State University VALIC Deferred Compensation Plan and the Ohio Public Employees Deferred Compensation Plan (collectively, "the Plans") is proposed.

WHEREAS the Internal Revenue Code limits the amount of compensation that an employee may defer into a deferred compensation plan; and allowing employees a choice between a tax deferred annuity and a deferred compensation plan may, in some cases, allow the employee to defer more compensation; and

WHEREAS the Internal Revenue Code limits the amount of compensation that may be considered when determining the retirement plan benefits for each employee; and the Internal Revenue Code limitation prevents some employees from receiving retirement plan contributions on their full salaries; and

WHEREAS the University desires to amend its existing deferred compensation Plans, and to establish additional Plans to ensure normal retirement benefits to employees affected by the above limitations:

NOW THEREFORE

BE IT RESOLVED, That effective July 1, 2001, The Ohio State University Aetna Deferred Compensation Plan, The Ohio State University Supplemental Qualified Retirement Plan, and The Ohio State University Supplemental 415(m) Retirement Plan are established in substantially the form on file with the Office of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the amended The Ohio State University VALIC Deferred Compensation Plan and the Ohio Public Employees Deferred Compensation Plan are adopted in substantially the form on file with the Office of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the Vice President for Business and Finance, in consultation with the Offices of Human Resources and Legal Affairs, is hereby authorized to execute the above-mentioned Plans and any other instruments, documents, or conveyances necessary to effectuate the Plans, to submit the Plans to the Internal Revenue Service; and

BE IT FURTHER RESOLVED, That the Vice President for Business and Finance, in consultation with the Offices of Human Resources and Legal Affairs, in carrying out this resolution, is hereby authorized and empowered to make any necessary changes to said Plans as may be required to ensure compliance with the applicable and effective provisions of the Internal Revenue Code of 1986, and any related rules and regulations, and to take such further action as may be necessary or advisable to implement this resolution.

Upon motion of Ms. Hendricks, seconded by Ms. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, and Judge Duncan, Ms. Hendricks, and Ms. Davidson.

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PRESENTATION OF GIFT TO LAW SCHOOL

Mr. Brennan:

We're delighted to have Michael and Lou Ann Moritz with us this morning. I'd like to invite them, along with Jerry May and Dean Williams, to come forward for a presentation.

Mr. Jerry A. May:

By now most of you know that Mike Moritz is giving an extraordinary gift of \$30 million to The Ohio State University Foundation for the permanent benefit of the College of Law. I recommend the Trustees approve the new endowments that this gift will establish and which will forever impact the quality of the College of Law.

Mike now leads those individuals before him whose magnitude of generosity played a role in transforming part of this University. Names like: Mershon, Gerlach, Fisher, Wexner, Solove, Schottenstein, Knowlton, Davis, and Ross. These individuals and tens of thousands of donors have created a new tradition at The Ohio State University, a philanthropic tradition. And like other Ohio State traditions – the chimes at Orton Hall, the ringing of the victory bell, the singing of *Carmen Ohio* – these individuals have a philanthropic tradition of giving that is now part of the stability and the ritual of our University. That ritual continually weaves the fabric of our community together.

I want to thank Mike and Lou Ann Moritz for this wonderful commitment that will improve the excellence of The Ohio State University significantly, and it will improve the excellence of the College of Law by a huge margin. I also want to take this opportunity, Mr. Chairman, to thank Greg Williams for his vision and leadership, which captured Mike's attention and imagination. It was one of the best alignments I have ever seen. With that, I will turn the microphone over to the dean of the College of Law, Greg Williams.

Dean Gregory H. Williams:

Thank you very much, Jerry. It's a real pleasure to be here and Vice President May is absolutely accurate, this is an historic day in the life of the College of Law. The incredible generosity of Mike and Lou Ann Moritz will forever mark a turning point in the College of Law.

I certainly have been pleased to be dean of this College of Law during the last eight years, and have been very pleased with what we've been able to do. We've been able to bring in truly distinguished faculty and I'm pleased to have had a part in hiring approximately 40 percent of the current faculty. We've also brought in some of our best and brightest students, and I'm sure you know that since you have one of them sitting on the Board with you.

We've also had great University support. We have received three Academic Enrichment grants and a Selective Investment Award, which has helped us plan for the future.

But I believe that our greatest support actually has come from our alumni. We started our campaign a year after the University started its campaign, with a goal of \$12 million. We raised \$26 million, and with this gift we will have raised \$56 million during our campaign. The college truly is poised for greatness.

Almost all of America's great law schools have a single defining supporter, and our defining supporter is one of our favorite sons, Michael E. Moritz. Mike was an outstanding student when he was at Ohio State, an outstanding lawyer, and an

PRESENTATION OF GIFT TO LAW SCHOOL (contd)

Dean Williams: (contd)

outstanding citizen. At the College of Law, he was number one in his first year class, he was number one in his second year class, and he was number one in his third year class; truly, an outstanding record.

He was also an outstanding lawyer when he practiced with Baker and Hostetler, one of the finest business lawyers in the country. He's been a civic leader and, of course, right now serves on the University Foundation Board.

Over the last year, Mike and I have had an opportunity to meet on a fairly regular basis to talk about the future of the College of Law. We both share the same ideas, aspirations, and values. We both want the College of Law to be among the top ten law schools in the country.

At the very beginning of our conversations, Mike made it clear to me that he wanted to do whatever he could to help us reach that goal and he has stepped forward. This gift, as Jerry indicated earlier, will allow us to do some very special things. The establishment of four chairs will allow us to go out and hire America's best law professors, bring them to Ohio State, and have our students have the benefit of some of the truly outstanding law teachers in this country.

The Moritz Scholars, which we will establish as part of this gift, will allow us to recruit some of the best and brightest students, and to compete with schools like Columbia and NYU. For example, Columbia University has what they call "Harlan Fisk Stone Scholars." This is named after Dean Stone, who was dean at Columbia University before he became chief justice of the Supreme Court of the United States. NYU has the "Root Tilden Scholars." The Moritz Scholars are going to be in that vein and will allow us to recruit those kinds of students.

The Dean's Fund for Excellence is going to allow us to quickly take advantage of new opportunities that emerge in areas of intellectual property or cyber law. Whatever comes along, we will have the opportunity to take advantage of.

This is truly an historic day in the life of the college. I'm proud to be a part of it, because I believe that from this day forward the college will be a different place. We owe an incredible debt of gratitude to our friend, supporter, and benefactor, Michael E. Moritz. Thank you very much.

Michael, would you like to say a few words?

Mr. Michael E. Moritz:

First, thank you, Mr. Brennan, for letting us be here today and, particularly, for letting me be here as part of our group. You all know how much I've enjoyed all the nice things that have been said about me for the last three days, particularly the recent comments by Greg Williams.

I'm grateful to the University and to the law school for the solid foundation it gave me forty years ago. I feel very fortunate to be able now to give back some of the things I've been able to gain from that solid foundation. Thank you very much.

President William E. Kirwan:

Mr. Chairman and members of the Board, as you have heard from Dean Williams, Michael Moritz, an alumnus of the University, is a revered member of the bar and a legal genius as a business counselor to the leading executives in

PRESENTATION OF GIFT TO LAW SCHOOL (contd)

President Kirwan: (contd)

Central Ohio. Mr. Moritz' landmark gift is a seminal event for our College of Law, indeed, for the entire University.

This extremely generous gift is an affirmation of the vision and dedication of Greg Williams, and the exceptional faculty and staff at the College of Law. It's an affirmation of Ohio State's Academic Plan and our staunch commitment to academic excellence.

The college has established an ambitious goal and a realistic plan to become one of the top ten public law schools and one of the top twenty law schools by 2005. Now this historic gift from Mr. Moritz will give us the resources to meet, possibly even exceed, these ambitious goals.

This gift -- combined with exceptional leadership we've enjoyed under Dean Williams and which we look forward to under the new dean, Nancy Rogers -- will secure the College of Law as a stellar example of academic excellence for the entire University and for the State of Ohio. In short, it will be an incredible legacy to both Mike Moritz and Greg Williams, and to their leadership, energy, and foresight.

At this time, we would like to ask the Board for approval of the acceptance of this gift, that includes the establishment of four endowed chairs and three endowed funds.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-17

Synopsis: The establishment of four endowed chairs and three endowed funds are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS the following gifts are being received through The Ohio State University Foundation; and

WHEREAS this supplemental report includes the establishment of The Gregory H. Williams Chair in Civil Rights and Civil Liberties, The Michael E. Moritz Chair in Alternative Dispute Resolution, The Frank R. Strong Chair in Law, The Robert J. Lynn Chair in Law, The Gregory H. Williams Dean's Fund for Excellence, The Michael E. Moritz Merit Scholarships in Law Fund, and The Michael E. Moritz Leadership Awards in Law Fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the supplemental report from The Ohio State University Foundation be approved.

Upon motion of Ms. Hendricks, seconded by Ms. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Chairs

The Gregory H. Williams Chair in Civil Rights and Civil Liberties

The Gregory H. Williams Chair in Civil Rights and Civil Liberties was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of a faculty member in the College of Law whose teaching, research, and public service are recognized nationally in the legal fields of civil rights and civil liberties. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean of the College of Law to assure compliance with the intent of the donor as well as the academic standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$2,500,000.00

The Michael E. Moritz Chair in Alternative Dispute Resolution

The Michael E. Moritz Chair in Alternative Dispute Resolution was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of a faculty member in the College of Law whose teaching, research, and public service are recognized nationally in the legal field of alternative dispute resolution. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean of the College of Law to assure compliance with the intent of the donor as well as the academic standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$2,500,000.00

The Frank R. Strong Chair in Law

The Frank R. Strong Chair in Law was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Chairs (contd)

The Frank R. Strong Chair in Law (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of a faculty member of the College of Law whose teaching, research, and public service are recognized nationally. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean of the College of Law to assure compliance with the intent of the donor as well as the academic standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$2,500,000.00

The Robert J. Lynn Chair in Law

The Robert J. Lynn Chair in Law was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the work of a faculty member in the College of Law whose teaching, research, and public service are recognized nationally. The activities of the endowed chair holder shall be reviewed no less than every five years by the dean of the College of Law to assure compliance with the intent of the donor as well as the academic standards of the University.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$2,500,000.00

Establishment of Named Endowed Funds

The Gregory H. Williams Dean's Fund for Excellence

The Gregory H. Williams Dean's Fund for Excellence was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Gregory H. Williams Dean's Fund for Excellence (contd)

The annual income shall be used by the dean of the College of Law to support innovative and excellent ideas and programs brought forward for consideration by the faculty and students of the college, which are not funded initially by the regular budget of the college. Proposals for consideration must have a goal of improving the academic mission of the College of Law.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$10,000,000.00

The Michael E. Moritz Merit Scholarships in Law Fund

The Michael E. Moritz Merit Scholarships in Law Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to attract and retain the "best and brightest" students to the College of Law. It is the desire of the donor that there be at least ten Moritz Scholars in each of the three law classes. It is the desire of the donor that the College of Law use these merit scholarships, in part, to attract and retain students of diverse genders, races, religions, or countries of origin.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$9,880,000.00

The Michael E. Moritz Leadership Awards in Law Fund

The Michael E. Moritz Leadership Awards in Law Fund was established June 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Michael E. Moritz, B.S.Bus.Adm. 1958; J.D., *summa cum laude*, 1961; Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one law student in each law class with a monetary award for demonstrating leadership to the students in the College of Law by involvement in University and college activities, student programs, and events. It is the intent of the donor that the students will be selected on the basis of leadership outside the classroom.

SUPPLEMENTAL REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Michael E. Moritz Leadership Awards in Law Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Law in order to carry out the desire of the donor.

\$120,000.00

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President Kirwan:

Mr. Chairman, we would also like to recommend a supplemental personnel resolution to appoint Nancy H. Rogers to The Michael E. Moritz Chair in Alternative Dispute Resolution, which you just approved, and as the new dean of the College of Law.

Nancy is an exceptional talent and an outstanding educator and administrator, having served for the past two years as a vice provost in the Office of Academic Affairs. She is a nationally respected and gifted scholar, who was instrumental in shaping the College of Law's renowned alternative dispute resolution program. And she has the unique distinction of being the first woman asked to serve as dean of our College of Law.

Nancy, we certainly thank you for your willingness to serve in this capacity. Mr. Chairman, I wholeheartedly recommend these appointments.

SUPPLEMENTAL PERSONNEL ACTIONS

Resolution No. 2002-18

BE IT RESOLVED, That the following supplemental personnel actions be recorded in the Personnel Budget Records of the University and are hereby approved.

Appointments

Name: NANCY H. ROGERS
Title: Professor (The Michael E. Moritz Chair in Alternative Dispute Resolution)
College: Law
Effective: August 1, 2001
Present Position: Professor (The Joseph S. Platt – Porter, Wright, Morris & Arthur Professorship in Law) and Vice Provost, Office of Academic Affairs

Name: NANCY H. ROGERS
Title: Dean
College: Law
Term: August 1, 2001 through June 30, 2006

Upon motion of Mr. Patterson, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, and Judge Duncan, Ms. Hendricks, and Ms. Davidson.

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PRESENTATION OF GIFT TO LAW SCHOOL (contd)

President Kirwan:

Finally, Mr. Chairman and members of the Board, this gift from Michael Moritz is extraordinary not only because of its size, but because of its impact. It will benefit this institution, its faculty, staff, and students for generations to come. As a tribute to his outstanding career as an attorney, his long-time support of Ohio State, and his ongoing advocacy for the College of Law, I am delighted to recommend that the Board of Trustees approve the naming of the College of Law, "The Michael E. Moritz College of Law," effective immediately.

Mr. Brennan:

Before we vote, you thanked us for letting you come before us this morning. Let me thank you, because you did this when I was chairman of this Board! I will tell you one thing, I will never forget that. Thank you.

Mr. Moritz:

Thank you for everything we've done in the recent weeks.

Mr. Brennan:

You honor us as well as you honor yourself. Thank you, Mike. Judge Duncan --

Judge Duncan:

Thank you, Mr. Chairman. This is truly a great day and I'm honored to have the opportunity to give a few brief comments. This is difficult, because when you're talking about friends, you get friendship and official business mixed up -- all of it good, but I'm sure I'm somewhat emotional.

On behalf of the Board, I would like to express our heartfelt thanks to Greg Williams, and the faculty and staff of the College of Law for their hard work and pursuit of excellence, which we haven't forgotten resulted in your being awarded the Selective Investment Award, and that's outstanding. You can understand why Mike Moritz has great confidence in the future of the law school.

Mike represented Shirley and me when we bought our first house. By the way, I'm delighted to learn today that you probably had some attorney-client relationships which proved to be more profitable than that one.

I think that getting to the high echelons of law schools in this world is within our reach, and I think we'll get there. I look forward to the day when a student enters the Moritz College of Law and asks, "Who's Mike Moritz?" And, it's one of those questions where we will be able to say "Oh, I am so glad you asked." Won't it be great, Nancy, to say "Well, Mike Moritz was one of the best law students we've ever had here."

As a member of the organized bar, he has always observed the very highest of ethical standards and gained the complete respect of his colleagues. He has practiced law at the higher levels, providing scholarships, creativity, and innovation to very complex financial matters involving the interests of large institutions.

If you establish an aspiration level like that and if you get anywhere near it, you bring honor to yourself, your family, this University, the College of Law, and the people that you serve in order to make this a more well-adjusted society. But it's more than that.

PRESENTATION OF GIFT TO LAW SCHOOL (contd)

Judge Duncan: (contd)

We know this is an incredible gift, but Mike Moritz has always been a supporter of the college since he left here. It's nothing new for Mike to support this college. The other thing we cannot forget here is Mike Moritz is one great human being, regardless of anything else.

To conclude, what it means is opportunity. It's an opportunity for our faculty, which is already tall academically, to grow even taller. It's an opportunity for many students to come here who may well have decided when they had choices to go elsewhere. That will manifest itself in a value added to a degree from the Moritz College of Law, which will enhance the capabilities of lawyers who practice and serve people, and certainly help us preserve a more well-ordered society. I mean all of that.

It also has such a great impact upon the rest of the constituency here at this University, particularly on the undergraduate community. I can see that tour guide for prospective students saying "This is the Moritz College of Law, one of the best in the world." I don't think that is a pipe dream, we can do that, and that will be a great asset to this University.

So, Mike, on behalf of our Board, I just want to sincerely thank you and your family for this very generous gift.

NAMING OF THE COLLEGE OF LAW

Resolution No. 2002-19

Synopsis: Approval of the naming of The Ohio State University College of Law as "The Michael E. Moritz College of Law" is proposed.

WHEREAS Michael E. Moritz graduated summa cum laude from The Ohio State University College of Law in 1961; and

WHEREAS he became one of the leading partners in Baker & Hostetler, a nationally prominent and major law firm; and

WHEREAS Mr. Moritz has been a very loyal supporter of the College of Law since his graduation; and

WHEREAS the College of Law named the Law Library in honor of Mr. Moritz; and

WHEREAS through his generosity Mr. Moritz is establishing four endowed chairs, a merit scholarship program, three student award funds, and a dean's fund for innovation and excellence with a gift of \$30,000,000, the largest gift ever received for an academic unit:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the College of Law will hereafter be named "The Michael E. Moritz College of Law," effective immediately.

Upon motion of Judge Duncan, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolution by unanimous voice vote.

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June 29, 2001 meeting, Board of Trustees

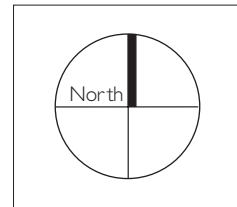
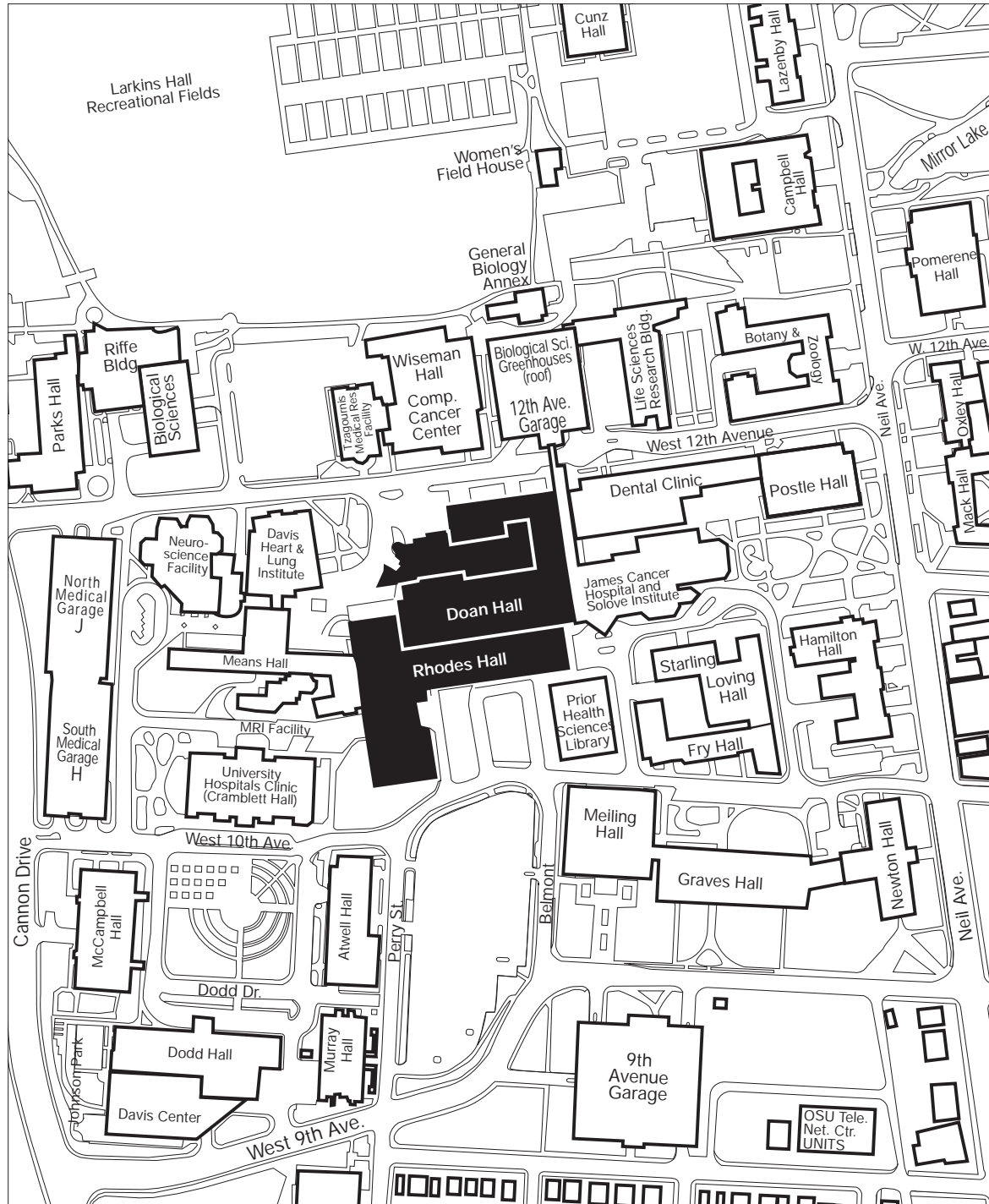
Thereupon the Board adjourned to meet Wednesday, August 29, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier
Secretary

David L. Brennan
Chairman

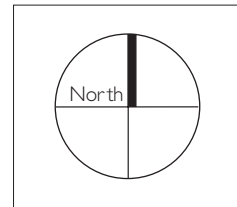
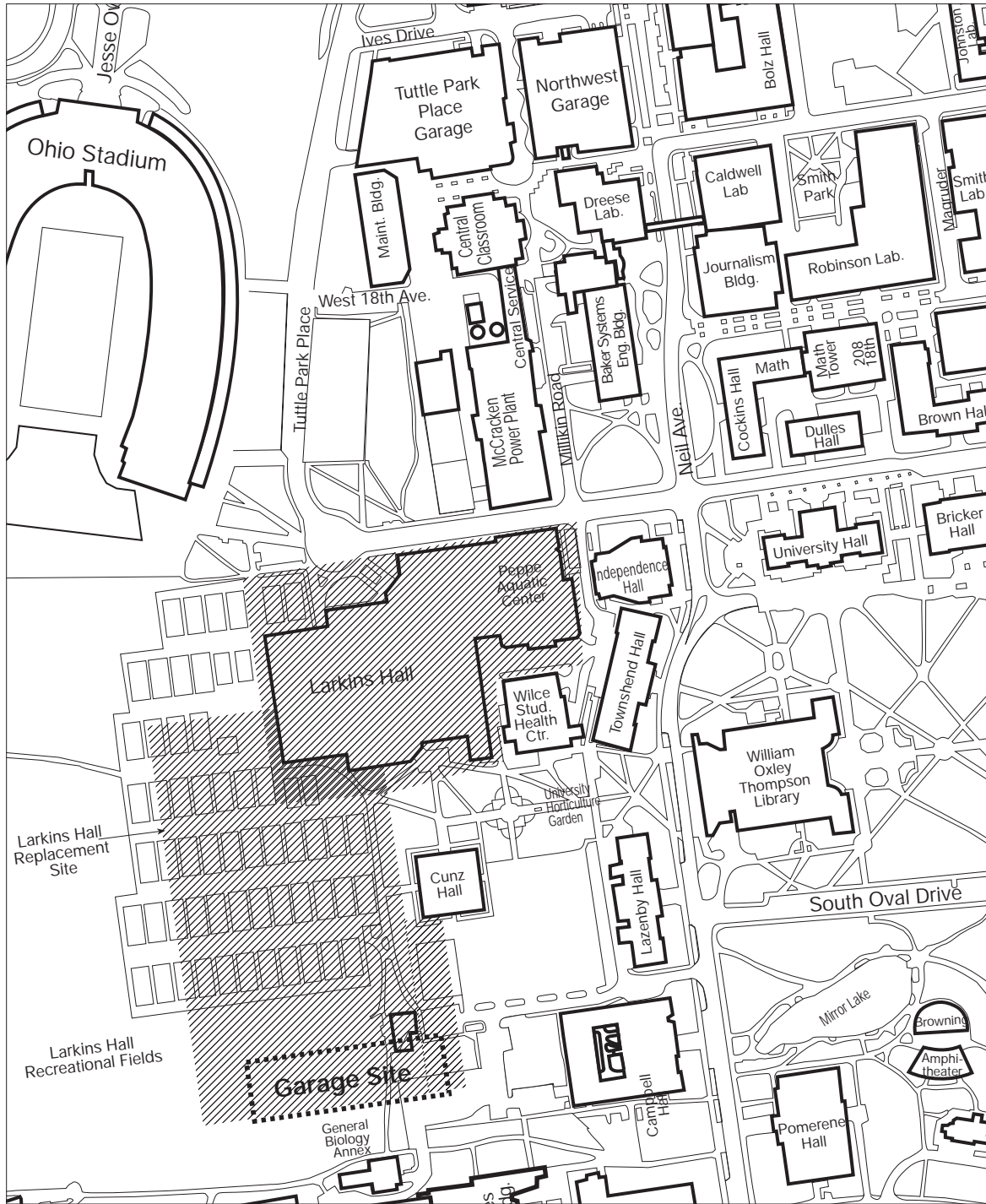
Clinical Space Reorganization



Office of Business and Finance
Office of Facilities Planning and Development

June 9, 2001

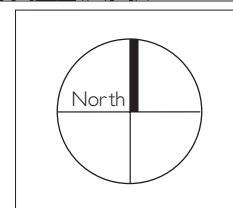
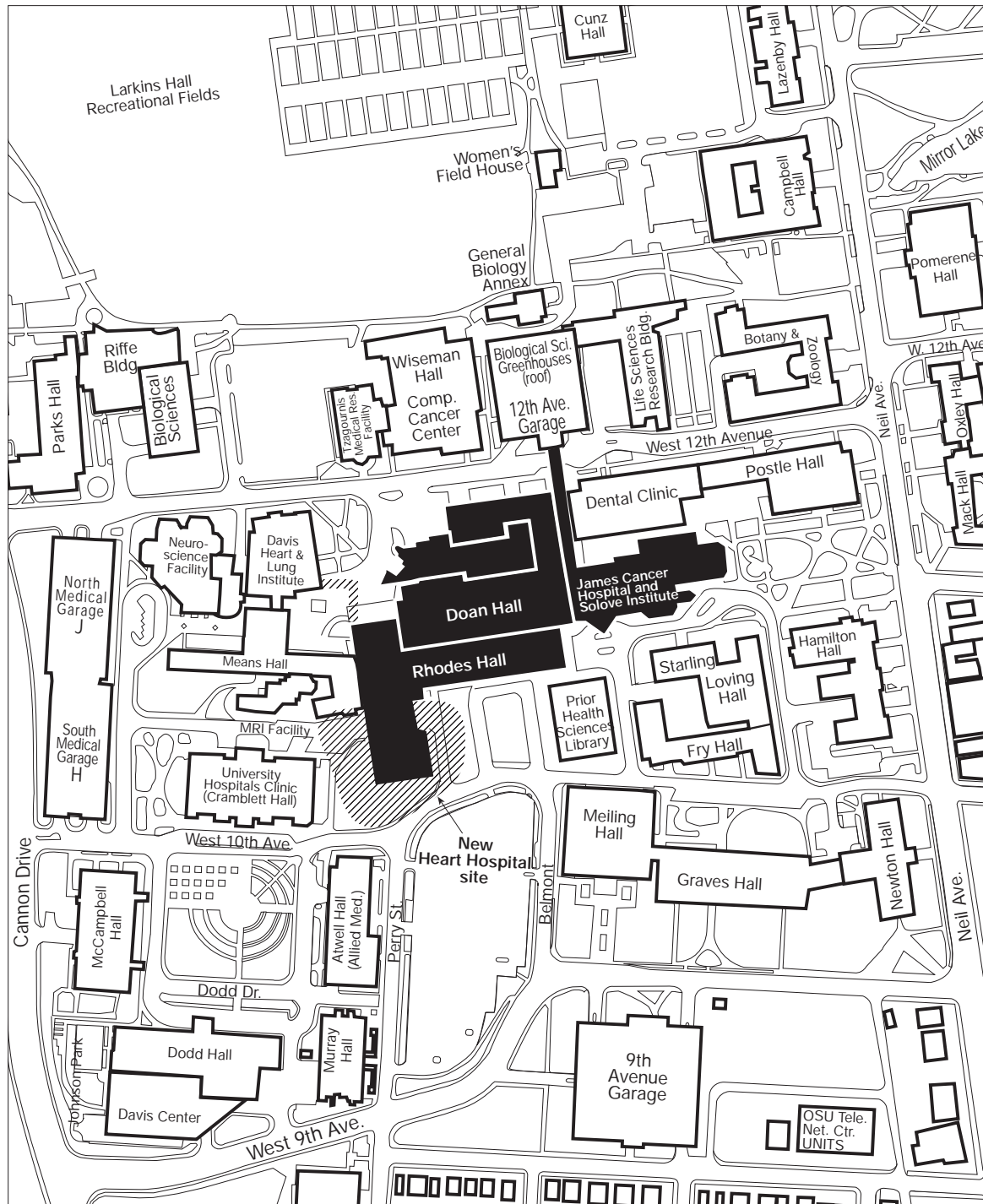
Neil Avenue Garage



Office of Business and Finance
Office of Facilities Planning and Development

June 14, 2001

Automated Transport System for University Medical Center Phases I and II



Office of Business and Finance
Office of Facilities Planning and Development

June 14, 2001

**Fiscal Affairs Committee
June 29, 2001**

TOPIC:

FY 2003-FY 2004 Capital Request

CONTEXT:

Ohio State's request to the Ohio Board of Regents for Capital Funds for FY 2003 and FY 2004 is due to the Board of Regents in September. These recommendations reflect a comprehensive planning process that includes both state and other capital funds.

RECOMMENDATIONS:

A total of \$75-80 million in new state capital funds are requested. Top capital priorities include:

Project	(in millions)	
	State Funds Requested FY 2003 - FY 2004	Estimated Total Project Cost
Thompson Library	\$ 4.2	(preliminary) \$70.0
Mechanical Engineering	20.0	72.5
Psychology	15.0	35.0
PAES (Rec Center)	28.0	136.0
Heart Hospital	NA	82.5
Infrastructure	1.5	TBD
Biomedical Research Facility	NA	TBD
Lab Animal Facilities	up to 6.7	(preliminary) 17.0
Optometry	3.6	(preliminary) 8.1
School of Music	1.0	TBD
	\$80.0M	\$421.1

NOTE: Financing plans for the Biomedical Research Facility and the Lab Animal Facility are still in process.

IMPLICATIONS:

- These recommendations are consistent with the goals of the Academic Plan, including a focus on excellence in academic programs, support of the student experience and expansion of research capacity.
- Major follow up commitment of FY 2005 - FY 2006 capital funds is required.
- Emphasis on replacement and renovation will help address deferred maintenance problems.
- Individual projects will still require separate Board approval to hire architects and to proceed to construction.

REQUESTED OF FISCAL AFFAIRS:

Approval of the attached recommendations and resolution.

Subject: FY 2003 - FY 2004 Capital Request

Date: June 26, 2001

From: Ed Ray
Bill Shkurti

To: William E. Kirwan

This memorandum represents our final recommendations regarding capital projects for the FY 2003 - FY 2004 biennium. Our recommendations are similar to our preliminary recommendations to you, except that we have incorporated additional input from the Office of Research and Senate Fiscal Committee into the document. We have divided this memorandum into five parts. Part I describes the process and criteria used to make our decisions, Part II presents our recommendations, Part III discusses the implications of those recommendations, and Part IV lists capital projects that are not state funded. Additional materials are provided in an appendix, the final part of the document.

I. Process and Project Criteria

An intensive information gathering and review process began nearly a year ago with a Request for Submission sent to all Deans and Vice Presidents on July 13, 2000. Thirty-six major project requests totaling more than \$1.5 billion were received by the August 31, 2000 deadline. With the advice of the Space Facilities Committee and the appropriate Vice Presidents, 12 projects were selected for additional review for possible inclusion in the FY 2003-2004 state capital biennial request. Hour-long information hearings with units requesting project funding were held during January and February 2001.

The State of Ohio has not yet decided how much will be allocated to each institution for the 2003-2004 biennium. We have estimated that OSU's share of the next capital bill could be between \$75 and \$80 million for building projects on the Columbus Campus. We recognize that the lack of a final resolution of *DeRolph v. State of Ohio* represents an added element of uncertainty. Consequently, the dollar amounts recommended for individual projects may be subject to change, even though the priority rankings will remain the same. Basic renovation projects of \$1.5 million or less are not included as part of this particular document because they are funded by a separate \$15.5 million line item.

In order to rank projects for inclusion in our final request, each project was evaluated based on academic priority, physical need, financial feasibility and physical feasibility. The financial and physical feasibility components were included because, based on the other requirements alone, our priority projects would greatly exceed our expected appropriations. In addition, the impact of facilities on operating costs was incorporated into our discussions and the capital guidelines clearly stated that General Funds would not, as a rule, pay operating costs for additional space (Attachment A). These recommendations are based on assumptions about program needs. If those needs change, the University reserves the right, after consultation with the parties involved, to reallocate or reassign that space.

In making these recommendations to you, we, along with representatives from the Offices of Academic Affairs, Business and Finance, Research, Health Services, Student Affairs, Development and representatives of the Senate Fiscal Committee and the Senate Committee on the Physical Environment evaluated the information submitted by the units with respect to established criteria. However, the following criteria are not intended to provide a mathematical solution to a very complex set of issues:

Academic Priority

- Relation to University mission and the Academic Plan
- Program excellence
- Student demand
- Research productivity
- Opportunities for interdisciplinary collaboration

Physical Need

- Condition of existing space
- Unmet health and safety requirements

Financial Feasibility

- Likelihood of State support
- Cost sharing by requesting unit
- Net impact on operating costs

Physical Feasibility and Other Considerations

- Previous commitments
- Physical feasibility, including timing or staging issues
- Impact on parking
- Impact on other needs

A new element in this year's planning process is the Academic Plan. Alignment of the objectives of the project with the goals of the Academic Plan was an overriding factor in the Space Facilities Committee's recommendations. As always, the number of worthy projects exceeds the funds available. The Academic Plan provides an additional context by which to establish academic priorities. The six major strategies identified in the Academic Plan are:

1. Build a world-class faculty
2. Develop academic programs that define Ohio State as the nation's leading public land-grant university
3. Improve the quality of the teaching and learning environment
4. Enhance and better serve the student body
5. Create a more diverse University community
6. Help build Ohio's future

Several of these strategies call for a supportive physical environment. The growing number of existing buildings requiring renovation or replacement is a threat to achieving our goals. So, in response to the Academic Plan, we are reserving scarce state dollars to support renovation or replacement of academic buildings, particularly where a deferred maintenance problem is involved. In addition, \$750,000 has been set aside in each of the next two years to pay the General Fund share of parking replacement under the parking replacement policy.

II. Recommendations

Our recommendations are grouped into three categories:

- Group One: University commitments
- Group Two: Projects with high priority for the FY 2003-2004 biennium
- Group Three: Projects that address an academic or academic support need but are not recommended for funding for this coming biennium.

A. Group One: University Commitments

Projects in Group One are those that the University committed to in the FY 2001 - FY 2002 capital process. These projects have already received Board approval and in some cases have signed Memoranda of Understanding, including agreement on both the scope and funding of the project. These projects are also consistent with the goals of the Academic Plan.

1. Thompson Library Rehabilitation

The Thompson Library Rehabilitation is one of only two capital construction projects specifically mentioned in the Academic Plan. The Thompson Library is in many ways the intellectual heart of the campus. Although it is in comparatively better shape than some other

buildings, it is one of the few buildings bordering the Oval that has yet to be rehabilitated or funded for rehabilitation. Rehabilitation of the Thompson Library was identified as a high priority three years ago in the Library Task Force Report and was approved as a high priority for capital funding as part of the FY 2001 - FY 2002 capital process. \$500,000 in state funding was provided for a feasibility study that is scheduled for completion in FY 2002. For FY 2003 - FY 2004 we recommend \$4.2 million in state funds be used for detailed planning and design.

Our intent would be for rehabilitation to commence in FY 2005 and to be completed by no later than FY 2008. The project would not add any square footage and is not expected to create any additional parking demands.

Even with no additional space, rehabilitation of the Thompson Library is expected to cost at least \$70 million and to be the largest state funded request for a single project ever undertaken at OSU. \$50-\$70 million in state funds are expected to be matched by at least \$20 million in private fund raising.

2. Mechanical Engineering

The Department of Mechanical Engineering is one of Ohio State's highly ranked academic departments. This project is critical to the Academic Plan goal of expanding OSU's visibility in sponsored research. Robinson Lab is a grossly outdated facility that ranks as one of the University's worst deferred maintenance problems. In addition, the project includes nearly 18,000 ASF in classroom pool space and hence addresses the Academic Plan goal of improving the teaching and learning environment.

\$3.5 million in state funds have already been committed to planning in the last two state capital bills. In the last capital process we recommended a \$62 million project of which \$47 million would come from state funds and \$15 million from private fund raising.

Although the scope of the project has not grown since then, construction costs for research labs have. Consequently, we recommend a \$72.5 million project of which \$52.5 million would come from state dollars and \$20 million from private fund raising. This project is projected to result in a net increase in space to the Department of approximately 20,000 ASF. The College will cover the Plant, Operating and Maintenance (POM) costs on all GSF above what the Department of Mechanical Engineering is currently assigned.

3. Psychology

Psychology is a selective investment department and one of our top ranked units. The Department has more undergraduate majors than any department and the largest number of honors students of any department at OSU.

This project is critical to the Academic Plan goals regarding sponsored research. The Department has outgrown its current space. \$3 million in planning money was committed in FY 2001 - FY 2002 biennium. We recommend allocation of \$15 million for construction in FY 2003 - 2004 and an additional \$17 million in FY 2005 - FY 2006.

When completed, this facility is projected to provide a net increase of approximately 28,200 ASF to Psychology. The College will cover the additional POM costs on all GSF above what Psychology is currently assigned, most of which is related to sponsored research. The issue of lab animal facilities still needs to be addressed. This project will remove parking and increase demand for parking in a heavily used area.

4. Student Rec Center

This \$136 million project was approved in 1998 by the OSU Board of Trustees. It addresses the Academic Plan goals regarding the teaching and learning environment. The state portion of \$36 million pays for the instruction portion only and provides replacement research,

teaching and office space for the School of Physical Activity and Educational Services. The remainder will be funded by student fees and funds from Athletics.

\$5 million in state funds were appropriated in FY 2001 - FY 2002 for planning and design, which are scheduled to be completed in September 2001. We recommend the remaining funds be allocated to complete the first phase of construction, which is scheduled to be completed by June 2004.

The existing space in Larkins ranks eighth on Physical Facilities' list of the 31 worst deferred maintenance problems.

This project will increase traffic in the area where the new facility will be constructed, but a traffic management plan is in place. A parking garage is part of this project, funded by Transportation & Parking.

5. Heart Hospital

In the FY 2001-FY 2002 biennium, \$2.5 million was provided for planning money for a new heart hospital. This is primarily a clinical facility and is critical to the financial viability of the OSU Medical Center. Therefore, no additional state capital funds are required for this \$82.8 million project. A financial plan is in process to make this facility self supporting through private fund raising and patient revenues. Under the terms of the Board resolution, a detailed financial plan needs to be approved before bids for construction can be sought.

6. Infrastructure

In May of 2000, the Coordinating Council approved a policy to assure adequate parking for access to new facilities. This is to be funded by an assessment against the funding sources for all new capital projects. The state capital share of this amount is \$1.5 million in the FY 2003-FY 2004 biennium. When combined with an equal amount from other funding sources, this will help insure adequate parking access to these new facilities by providing at least 1,500 spaces on Main Campus to replace those lost due to previous construction.

B. Group Two: New Commitments

The six commitments mentioned above will require \$68.7 million of the likely \$75-\$78 million state capital appropriation available in FY 2003-FY 2004. Although the Rec Center and Heart Hospital will be completed without any additional state funds in future biennia, the four remaining commitments, Library Rehabilitation, Mechanical Engineering, Psychology, and Infrastructure, will require an additional \$67 million in FY 2005 - FY 2006. Thus, barring a dramatic change in state allocations, resources for additional projects beyond those already committed to will be extremely limited.

This means that the remaining funds will have to be carefully targeted to the highest priorities in the Academic Plan and must be leveraged to the maximum extent with other revenue resources.

As we review the requirements of the Academic Plan and the opportunities to leverage resources, one area stands out ahead of all the others - sponsored research. In sponsored research we have a clear need that is driven by the Academic Plan, as well as the ability to leverage additional resources. Thus, our recommendations for the limited amount of uncommitted state funds (\$5-\$10 million) for FY 2003 - FY 2004 are focused exclusively on the following projects involving sponsored research, except to address critical deferred maintenance needs in the School of Music.

The following proposals for new research facilities total well over \$100 million. In order to be able to proceed with these projects, we need to be able to use limited state capital dollars to

leverage other funding sources available to support sponsored research. These other resources include state and federal funds earmarked for research, such as tobacco funds and indirect cost recoveries, additional discretionary resources available to the Office of the President and Office of Research, as well as resources committed by the benefiting colleges and departments.

Rather than attempt to allocate these limited state capital funds to specific projects at this time, we asked the Office of Research to prepare a set of prioritized recommendations on how these funds should be allocated among the five projects listed below for FY 2003-2004 and what additional sources can and should be leveraged to assure their successful completion in a timely manner.

We have received input from the Vice President for Research identifying the Optometry Facility, the Biomedical Research Facility and Lab Animal Research as the three highest priorities. The Senate Fiscal Committee expressed concern that we not spread limited resources too thinly among various projects.

Because of the need to move quickly to provide space for the Biomedical Research Institute facility, we recommend the use of sources other than state capital funds at this point. This would enable whatever limited state capital funds that remain to be targeted to the lab animal facility.

Taking all of this into account, we recommend that the Optometry facility be given priority for \$3.6 million in state funds for the coming biennium, contingent upon the College raising at least an additional \$4.5 million from external sources and the college assuming financial responsibility for all Plant, Operating and Maintenance costs for the net additional space.

While we feel that both the Multi-disciplinary Research Facility and the Behavioral Medicine Research Facility are worthy projects, we recommend that further action be postponed until the next biennium. At that time, the research facilities plan will be completed and we may have a better sense of available resources.

In the interim, we recommend that both the Biomedical Research Facility and the Lab Animal Facility be designed in such a way as to maximize the potential to meet the needs of interdisciplinary research, including the Behavioral Medicine Institute. We also recommend that the University maintain flexibility to reallocate funds between projects as conditions warrant.

In addition, we have recommended \$1 million in state funds be set aside to deal with particularly acute needs for the School of Music.

Each of the five research related projects and the needs of the School of Music are discussed in greater detail below.

7. Biomedical Research Facility

Biomedicine has been selected as the key area in the Academic Plan to expand the University's impact in research. The College of Medicine and Public Health is severely limited by a patchwork of inadequate research facilities. The College of Medicine and Public Health has requested funding for a Biomedical Research Facility of approximately 200,000 ASF that would house the seven major areas of biomedical research.

The likelihood of generating a large amount of sponsored research activity per ASF and the likelihood of full indirect cost recovery make this project a good candidate for construction funded by University bonds to be repaid with additional cost recoveries generated by sponsored research conducted in the facility. Therefore, we want to build a facility large enough to meet future needs. However, these bonds represent a 20 year commitment and there is no guarantee that federal reimbursement will be as generous in the future as it is today; therefore, the building financing plan should include a mix of other sources. In addition, since financing is heavily

dependent on additional research activity, the College should present a detailed business plan, including a staffing schedule, before the project is given final approval.

Appropriate siting for this facility will be extremely important due to existing congestion in the Medical Complex and the complex, multi-disciplinary relationships involved.

As of this writing these issues are still in the process of being resolved. Since this is the most ambitious research facility ever proposed at OSU, we feel sufficient time should be allowed for due diligence without unnecessarily delaying this needed project.

Consequently, we recommend this project continue to be first on the list of new commitments and that a resolution to hire architects to begin design should be brought forward, contingent upon University approval of a project budget and a financing plan for both capital and operating costs.

8. Lab Animal Facilities

Lab Animal Facilities are critical to meeting the research-related goals in the Academic Plan. In FY 2000, new external awards to projects using animals in research increased 28% over the previous year. This trend is expected to accelerate, precipitating a potential space crisis by late FY 2002.

Although steps are already underway to add temporary space, a more permanent solution needs to be found. The Office of Research has proposed adding 25,000 ASF of lab animal space by expanding existing space in Pressey and Wiseman Halls. The total cost of these two projects is estimated to be \$17 million. Funding for these projects should be possible with a combination of NIH funding, private grants and state funding.

This project has also been defined as a high priority by the Office of Research. In our May 10 memo, we asked the Office of Research to put together a detailed funding plan for these facilities. The Office of Research suggested that NIH facilities support grants might be available to fund a portion of the cost of these facilities, but suggested that any further analysis be part of the planning process for the Biomedical Research Facility. Depending on the amount of state funds appropriated, up to \$6.7 million in state funds may be available for these facilities in FY 2003-FY 2004.

9. College of Optometry

The Vice President for Research has identified this project as his first priority for state funds. Although the College of Optometry is small, it does produce a high amount of sponsored research per faculty member. In fact, information was presented to show that the College has moved from sixth to first place in NIH funding to an optometric institution and produces fifteen times more research funding now than in FY 1995. The College has requested \$8.1 million to completely fund an addition to Fry Hall in order to create additional space for sponsored research.

We want to be supportive of these efforts; however, the dollar value of the research likely to be generated per ASF is below what is needed for this project to be self-supporting. The College of Optometry understands this limitation. Their proposal for the \$8.1 million project requests \$3.6 million in state capital funding with \$4.5 million coming from a combination of the College itself and a private foundation, and is contingent upon a successful Research Facilities Construction proposal to the National Institutes of Health. The College will assume responsibility for the additional POM costs associated with the net increase of approximately 14,800 ASF. Should external support not be forthcoming, the University reserves the right to reallocate these funds.

10. Multi-disciplinary Research Facility

Multi-disciplinary research is a key component of the Academic Plan and a building devoted to multi-disciplinary research is one of only two facilities mentioned in the Plan. The Office of Research has proposed the use of a renovated Smith Lab for such a facility. Although this site has some important advantages, renovation cannot commence until the new Physics Building is completed and Physics Research is relocated out of the existing building, which is not likely to occur before FY 2005. This in turn means that a renovated facility would not be available until 2007 at the earliest.

11. Institute for Behavioral Medicine Research

This is a wonderful example of multi-disciplinary research that crosses departmental and college boundaries. Currently there is \$42 million of grant supported research activity associated with the Institute. The College of Dentistry and the Office of Health Sciences have proposed a \$21 million facility of 40,000 ASF.

The Institute currently has space, but it is distributed throughout the Medical Center and does not provide much of an opportunity for growth.

Sufficient state capital funds are not available to undertake an expansion of lab animal facilities, a Biomedical Research Facility, a Multi-disciplinary Research Facility, expanded facilities for the College of Optometry and the Institute for Behavioral Medicine Research. The Office of Research has expressed an interest in mounting major development campaigns to support both the Multi-disciplinary Center and the Institute for Behavioral Medicine Research. Although we agree that there may be potential in both of these areas, the University has just recently committed to major development campaigns for the Library and the Heart Hospital. A major effort will most likely be needed to support the Biomedical Research Facility and the Biomedical Research initiative.

While there is opportunity in these areas, it is important that our development efforts be carefully planned and coordinated before additional commitments are made. Therefore, we recommend that the next 12-24 months be used to develop financing plans for both of these facilities that are not dependent on state capital funds for the next two biennia. We also recommend that a sufficient amount of central funds (between \$50,000 and \$100,000) be made available from non-capital sources to support financial planning for these two initiatives.

12. School of Music

The current facilities for the School of Music in Hughes Hall are the only non-sponsored research project in this category. Hughes Hall is a growing deferred maintenance problem and the space is not designed in a way that is supportive of a music program of this size and stature.

There are two challenges associated with this project. First, it is not clear whether it would be better to build an entirely new facility or simply renovate or replace Hughes Hall. Swing space and the future of Lord Hall are important issues that bear on this problem. Second, although the College has offered to fund raise for this project, it is not yet clear what a realistic goal should be.

Consequently, we recommend \$1 million in planning funds to determine whether a new building should be built for Music or if Hughes Hall should undergo a complete renovation. In addition, we will use this time to work with the College and the Office of University Development to establish a reasonable fund raising goal.

**Summary of FY 2003 - FY 2004
Capital Recommendations for State Appropriations
(in millions)**

Project	Previous Funding	State Capital Funds Recommended for FY 2003-2004	Total Project Cost
A. Existing Commitments			
1. Main Library	\$0.5	\$4.2	\$70.0 (preliminary)
2. Mechanical Engineering	3.5	20.0	72.5
3. Psychology	3.0	15.0	35.0
4. Student Rec Center	8.0	28.0	136.0
5. Heart Hospital	2.0	0.0	82.5
6. Infrastructure	NA	1.5	TBD
		\$68.7	\$396.0
B. New Commitments			
7. Biomedical Research Facility	NA	0.0	TBD
8. Lab Animal	NA	up to 6.7	17.0 (preliminary)
9. Optometry	NA	3.6	8.1 (preliminary)
10. School of Music	NA	1.0	TBD
Grand Total		\$80.0M	\$421.1M

C. Group Three: Other Projects

In addition to the projects described above, we considered seven additional projects worthy of funding support. Unfortunately, for the reasons we previously described, state capital funds are not likely to be sufficient to undertake these projects at this time. Therefore, we recommend that either other funding sources be found or the projects be deferred to the FY 2007-2008 biennium at the earliest.

These projects are listed in priority order, but priorities may shift based on availability of funds and other factors.

13. FAES Facilities

In our June 24, 1999 memo to you, we commented on the need to replace or renovate the aging Animal Science Facilities at Don Scott Field. We also discussed the College's interest in constructing a multi-species facility and the possibility that the price tag for all of these facilities could approach \$100 million.

At that time we recommended that \$1.5 million be allocated for a study of all the facilities needs of Food, Agricultural and Environmental Sciences and the exploration of alternative sources of funding. That study was approved. The study is now under way, but is not scheduled to be completed until in March 2002, which is after our recommendations are due.

As we indicated earlier in this memo, the need to address deferred maintenance needs for core academic buildings on the Main Campus and the need to expand our capacity to support sponsored research must have priority for limited state dollars. Although the FAES proposal will contribute to both of these goals, full funding of this proposal would make it impossible to accomplish those goals for any other units.

Therefore, we recommend devoting the coming biennium to completing the facilities study and focusing on alternative funding sources, including private fund raising, to meet FAES needs. We then can proceed with planning and design in the FY 2005 - FY 2006 biennium.

14. Ohio Union/Enrollment Services

The Ohio Union is a core student services building and a significant deferred maintenance problem. Enrollment Services also provides core student services, but is housed in space originally designed as a Residence Hall (Lincoln Tower) and not configured in a way that permits efficient or user friendly services. In addition, Enrollment Services operations take up space needed to deal with our shortage of residence hall space.

The challenge we face is that state capital funds are not sufficient to meet our deferred maintenance needs for academic buildings, our needs for more research space and our needs for student services space. Consequently, since the Ohio Union does have earnings capacity and the University is already paying rent to Student Affairs for the Lincoln Tower space, we asked Enrollment Services and Student Affairs to develop a combined proposal that would maximize the use of existing resources.

That proposal calls for a \$61 - \$68 million project that combines both sets of services in one location. However, the project is still short of funds. We have asked Bill Hall and Martha Garland to identify additional sources of income, including a portion of the Student Set Aside funds to close this gap without using state capital dollars. We have also asked them to work with other offices providing student services, such as Fees and Deposits and Traffic and Parking, to make sure those needs are addressed appropriately. This effort is now in process and we are cautiously hopeful that sufficient funds can be found.

15. Oval Restoration

Improvement of the appearance of campus facilities and grounds is one of the fourteen core strategies of the Academic Plan. Next to the Thompson Library, the Oval is one of the University's most recognizable spaces. \$500,000 has already been committed to planning the Oval Restoration. We recommend \$1 million of University one-time funds (not state capital funds) be allocated for this purpose in FY 2002. This, when combined with master planning funds from other projects on the Oval and funds from Physical Facilities, will allow construction to begin in phases in FY 2002.

16. WOSU

In November, WOSU submitted a request for \$16 million in University funds for capital and equipment to meet the federally mandated conversion deadline to digital programming by May 1, 2003. The request also included additional personnel.

Our staff has reviewed this request and determined that a significant portion involves a request to expand live programming at WOSU. While we are not opposed to this idea, this is really a program expansion request that needs to compete for funds through the regular budget process. Given the current financial requirements facing the University, it is not likely this can be seriously considered until FY 2004.

Meanwhile, we have asked our staff to work with WOSU and University Relations to pare down the request to reflect the minimum amount of space, equipment and personnel to keep WOSU on the air and to maximize alternative funding sources. This process is still underway.

17. College of Medicine and Public Health Phase II

In addition to a request of \$139 million for a Biomedical Research Facility, the College of Medicine and Public Health has requested additional funds for new and renovated space for the instructional needs of the College in Meiling and Graves Halls.

As we mentioned earlier, we feel the Biomedical Research Facility should be the highest priority. We recommend that the remainder of this project be reviewed as a candidate for a phased in construction plan as part of the process for FY 2007 - FY 2008.

18. Other Projects

In addition to the projects listed above, we received requests for state capital funds for 24 additional projects of \$1.5 million or more. These projects totaled \$627 million. They are not recommended for funding at this time due to cost, staging problems, or priority.

III. Implications

In this section we address the implications of these projects in seven specific areas: the Academic Plan, impact on future capital requests, impact on deferred maintenance, impact on students, faculty and staff, impact on future operating costs, and impact on transportation and parking.

Impact on Academic Plan

As we discussed earlier, the Academic Plan is the principal driver behind these requests. The largest single project, the Thompson Library Rehabilitation, was one of only two capital projects specifically mentioned in the Academic Plan. Seven of the remaining 11 projects support the goal of expanding research (Psychology, Mechanical Engineering, Lab Animal, Biomedical Research Tower, Multi-disciplinary Facility, Optometry and Institute for Behavioral Medicine Research).

In general, Mechanical Engineering, Music and Psychology will have enhanced instructional space. Great facilities are needed to attract and retain top-notch faculty, students and staff.

One of the two major remaining commitments is the completion of the instructional portion of the Rec Center, which addresses a services deficiency in our ability to attract top students. The bulk of this project is funded with increased student fees. The other major commitment is the Heart Hospital, which is essential to the future viability of the Medical Center, which in turn is critical to the University's expansion of research.

Impact on Future Capital Requests

The size of the Library Renovation will have a significant impact on future state capital requests for the next three biennia. When the funds to complete construction of the Student Rec Center, Mechanical Engineering and Psychology buildings are added, it means that state capital funds for other projects will be limited until near the end of this decade.

This is illustrated in the following table:

Project	State Funds Recommended (in millions)		
	FY 2003-04	FY 2005-06	FY 2007-08
Student Rec Center	\$28.3	\$0.0	\$0.0
Library	\$4.2	\$20.0	\$30.0
Mechanical Engineering	20.0	29.0	0.0
Psychology	15.0	17.0	0.0
Total	\$67.5	\$67.0	\$30.0

This leaves approximately \$10 million available for construction and/or planning of new projects in FY 2005-06. However, at that time, the University will need to move forward in some way with new research facilities and new or renovated facilities for the School of Music and the College of Food, Agricultural and Environmental Sciences. Planning will also need to commence for renovation of four of our largest deferred maintenance problems: Brown Hall, Cunz Hall, Pomerene Hall and Smith Laboratory -- all of which will be vacated by the summer of 2005. Planning also needs to be done for Phase II of the proposal by the College of Medicine and Public Health.

Impact on Deferred Maintenance

Funding of these recommendations will address two of the University's larger most immediate deferred maintenance needs and forestall a third. The Mechanical Engineering and Rec Center Projects will provide for the renovation or replacement of 464,000 GSF of space. The Library renovation will add another 300,000 GSF. If Hughes Hall and Smith Lab are subsequently funded, this will add another 282,000 GSF bringing the total of renovated space to over one million GSF.

Although this will not by itself address our deferred maintenance problems, it will make a significant difference.

Impact on Students, Faculty and Staff

A large majority of students and faculty and many staff members regularly use the buildings scheduled for rehabilitation or replacement in the next two years. These recommendations avoid rehabilitation of occupied buildings except for the Thompson Library. Large numbers of faculty, staff and students will benefit from the improved teaching and research opportunities made possible by these projects and the enhanced recreational opportunities at the new Recreation Center.

Impact on Operating Costs

Nine of the major projects recommended will provide net increases of space. Seven of them -- Mechanical Engineering, Psychology, Lab Animal, Biomedical Research, Multi-disciplinary Research, Optometry, Institute for Behavioral Medicine Research -- are related to expanded activity in sponsored research. All five sponsoring units have agreed to accept financial responsibility for increased operating costs with facilities portion of indirect cost recoveries to be distributed to those units under budget restructuring.

Two other large projects -- the Heart Hospital and the Rec Center -- will pay POM costs through increased user fees. The Library Renovation is not planned to produce a net increase in GSF.

Impact on Transportation and Parking

Meeting additional space needs without exacerbating current parking and accessibility is an enormous challenge. Four of these projects -- Heart Hospital, Biomedical Research Building, Student Rec Center and Psychology Building -- will create additional demand in congested areas where parking is already in short supply.

Two new garages (one in the Medical Center and one near the Student Rec Center) should help accommodate additional demand. But, care needs to be exercised in the site selection for the Biomedical Research tower and other additional research facilities in order to minimize the impact on ease of access to these facilities and in order to ease additional congestion on 12th Avenue, which connects to the southbound on-ramp to S.R. 315.

When combined with funds from non-state projects, the parking surcharge is expected to generate sufficient funds to build a 1,500 car garage that will replace parking lost over the last

several years, and when combined with two new garages, will substantially increase available spaces.

Physical Feasibility

Staging issues continue to be a challenge. This will be especially true for buildings that are in use while renovations are being done, i.e., the Library and possibly Robinson Lab, the Ohio Union and Hughes Hall. Phasing and carrying out construction in occupied buildings can increase costs, extend the time frames for delivery, and increase risks for the University. Thus, extra care needs to be devoted to planning these renovations. With the large number of projects already underway, moving from one part of campus to another by car, bus, bicycle or on foot, will be a challenge, as will driving to campus during the Lane Avenue widening and the various bridge replacements.

IV. Non-State Funded Projects

In addition to the projects specifically addressed in the second section, there are a number of other projects moving to design or construction that have their own sources of funding outside the state capital appropriations.

A. Previously Approved

Sponsoring Unit	Project	Estimated Cost (in millions)	Funding Source	Status
Student Affairs HFSEC	Grad and Prof Student Housing Buckeye Village	\$27.2 5.3	Student Affairs Student Affairs	In Design MoU in process
Business & Finance Transportation & Parking	Lane Avenue Garage Neil Avenue Garage Hospital Garage	TBD* 12.4 20.0	T&P T&P T&P	MOU in process
Physical Facilities	Buckeye Substation Woody Hayes Bridge Garage & Shop Relocation Power Plant Renovation	6.8 8.4 2.7 73.0	Univ. Bonds Univ. Bonds Univ. Bonds Univ. Bonds	In design In design MoU in process In Design
Academic Affairs Wexner Center for the Arts	Wexner Building Renovation	10.0	Central Funds	In Design
Medical Center	OSU Med Center E. Renovations Doan/Rhodes Material Handling	16.0 11.5	Medical Center Medical Center	Construction In CDs
Health Sciences	Comp. Cancer Center	4.9	Fund raising	Programming
Other Research FAES	Bio Mems Facility 4-H Building	9.0 TBD	Various** Fund raising	Construction MoU in process

* A conceptual study will define scope and budget.

** President's Strategic Reserve and college resources

B. New Projects

The projects listed below will require Board approval before proceeding:

Sponsoring Unit	Project	Estimated Cost (in millions)	Funding Source
Medical Center	Doan/Rhodes CSS Renovation OSU Med Center Master Plan*	6.0 1.0	Medical Center Medical Center
Athletics	Olympic Sports	TBD	Private Fund Raising

* This study may result in possibly \$20 to \$25M in ripple effect projects caused by the Heart Hospital.

NOTE: This list is still subject to change. All projects listed here will still require Board approval individually. Central reallocation refers to reallocation of central debt service funds

We hope this information is helpful to you in evaluating our capital recommendations. With your approval we will bring forward a final set of recommendations for submission to the Board of Trustees.

Attachment

c: Janet Ashe
Glen Funk
Jerry May
Brad Moore
Jill Morelli
Alayne Parson
Lee Walker
Council of Deans
Senate Committee on the Physical Environment
Senate Fiscal Committee
Space and Facilities Committee

FY 2003-2004 Capital Budget Funding Principles

The capital request guidelines sent to Deans and Vice Presidents on August 24, 1998 included the following guidelines on funding principles for capital projects:

With stable enrollment, limited funding and a substantial amount of deferred maintenance, the priority for use of central funds (including state funds) will be to enhance or improve existing space and reduce operating costs. Therefore as a general rule:

1. Increased instructional or administrative space will not be assigned to a unit unless:
 - Paid for by the benefiting unit, or
 - Reallocated from elsewhere.
2. Central funds will not be committed to additional operating costs.
3. Capital and operating costs for additional research space should come from unit/user resources, not central funds.
4. Any additional space for non-general fund units should come from that unit and meet the service needs of the University.
5. Fund raising is an important consideration but fund raising itself does not guarantee matching funds independent of the criteria listed above. Fund raising is particularly encouraged for upgrades and renovations that do not require additional central support.

Attachment B

Listed below are the buildings that Physical Facilities identified in a 1997 study to be physically or functionally obsolete and in need of major renovations or demolition. The table also shows the impact of the FY 1999-2000 capital recommendations on the timing of demolition or renovation; however, please note that timing recommendations for demolition or renovation reflect other factors than building condition, including: academic priorities, financial feasibility and staging issues. Those buildings are:

Building	GSF (thousands)	Replacement Cost (in millions)	Disposition D=demolition R=renovation	Expected Implementation Date	Funding Status
Welding Engineering	82,086	\$11.3	D	1998	yes
McPherson Lab	118,871	21.5	R	1999	yes
Brown Hall	74,902	10.7	D/R	TBD	
Research Center	139,296	18.1	R	TBD	
Plumb Hall	44,726	6.7	D	TBD	
Ramseyer Hall	86,433	12.5	R	TBD	
Botany & Zoology Bldg	158,762	27.3	D&R	2001	yes
Larkins Hall	368,997	50.4	D	2001	yes
Smith Laboratory	220,489	37.2	R	2002	
McCracken Power Plant	109,094	35.9	R	TBD	requested
Hughes Hall	61,564	9.2	R	TBD	requested
Jesse Owens Rec Centers	70,110	4.1	R	1997	yes
Starling Loving Hall	145,327	21.2	D/R	TBD	
Animal Science Building	61,739	9.7	R	TBD	
Caldwell Laboratory	101,976	14.7	R	TBD	
Campbell Hall	109,973	16.6	R	1999	yes
Lord Hall	65,445	9.0	D	TBD	
Cockins Hall	60,454	8.7	R	TBD	
Vivian Hall	52,976	7.5	D/R	1998	
Pomerene Hall	69,269	10.0	R	TBD	
Watts Hall	40,622	5.9	R	TBD	
Evans Laboratory	121,994	22.9	R	TBD	
Service Building Annex	58,478	3.9	R	2001	yes
Robinson Laboratory	97,271	30.0	D	2001	requested
Ives Hall	52,414	7.2	R	2000	yes
Converse Hall	58,912	8.5	R	TBD	
Maintenance Building	50,876	5.1	D	TBD	
Hagerty Hall	137,019	19.8	R	2001	yes
Hopkins Hall	106,856	15.4	R	TBD	
Howlett Greenhouses	45,999	5.0	R	TBD	
Sisson Hall	133,079	23.0	D	2000	yes

(APPENDIX III)
The Ohio State University
Fiscal Affairs Committee
June 29, 2001

TOPIC:

FY 2002 Budget

CONTEXT:

The Board of Trustees approved tuition and compensation guidelines on June 1, 2001.

This document recommends overall revenue and spending levels for Main Campus and Regional Campus tuition.

Spending detail will be presented at the August 29th meeting.

RECOMMENDATIONS:

- General Fund income is projected to increase 4.7%. Tuition and fees are the largest components.
- General fund expenses are projected to rise 4.7%. Increases in compensation (including benefits) and student financial aid are the largest components.
- When increases in Student Financial Aid are deducted from increased revenues, the net projected increase in available revenues is 3.2%.
- Strategic investments are recommended at \$5.6 million, most of which will be improvements in undergraduate education funded by undergraduate tuition increases above 6%.

IMPLICATIONS:

- Most difficult budget year since FY 1995.
- The University will be able to make progress on its strategic goals, but at a much reduced pace.
- Slippage will be most apparent in compensation and needs to be addressed.
- University Rainy Day Fund needs to be replenished.
- The budget is balanced, but substantial risk remains through FY 2003.
- OSU is at increasing risk of falling behind its competitors from other states.

OSU BOARD OF TRUSTEES
Fiscal Affairs Committee
FY 2002 Budget Summary
June 29, 2001

- I. Context
 - A. Strategic Objectives
 - B. Environment
 - C. Previous Board Action
 - D. Income and Spending Summary

- II. Income
 - A. Enrollment Projection
 - B. Revenue Projections

- III. Expense
 - A. Funding for Continuing Services
 - B. Funding for Strategic Investment

- IV. Implications
 - A. Strategic
 - B. Financial
 - C. Programmatic
 - D. What Happens Next?

Office of Academic Affairs
Office of Business and Finance
June 25, 2001

I. Context

A. Strategic Objectives - to support the goals of the Academic Plan, which are

1. Build a world class faculty
2. Develop academic programs that define Ohio State as the nation's leading public land grant university
3. Enhance the quality of the teaching and learning environment
4. Enhance and better serve the student body
5. Create a diverse university community
6. Help build Ohio's future

B. Environment

1. FY 2002 represents the most difficult budget in seven years.
2. Smallest increase in state support in nine years.
3. Smallest pay raise in nine years.
4. Largest increase in health care costs in over a decade.
5. Largest increase in energy costs in two decades.
6. Continuing uncertainty in state budget picture
7. Increasing competitive pressures on salaries and student financial aid

C. Previous Actions of OSU Board

1. February 2 Strategic Indicators Report
2. March 7 Financial Benchmark Report
3. April 6 Compensation Benchmark Report
4. June 1 Compensation Guidelines approved
5. June 1 Approval for Tuition and Fees

D. Income and Spending Summary

1. Available General Fund Resources are projected to increase 4.7%, including:

a)	State Share of Instruction	+ 1.6%
b)	Performance Challenge	- 5.4%
c)	Student Fee Income ¹	+ 8.7%

2. General Fund Expenses and Reserves are recommended to increase 4.7%, including:

a)	Salaries & Wages	1.2%
b)	Benefits	9.2%
c)	Student Financial Aid	9.7%

3. When increases in Student Financial Aid are deducted from increased revenues, the net projected increase in available revenues is 3.2%.

4. Planned Reserves are as follows:

a)	Planned Fund Balance	\$ 0.1M
b)	Enrollment Reserve	\$ 0.0M
c)	Safety Margin for Revenue Projections	± 1%
d)	Rainy Day Fund	\$10.0M (one time)

¹ Reflects impact of potential enrollment fluctuations.

II. Income

A. Enrollment Projections

The enrollment adjustments are based on the following headcounts for the Columbus Campus:

<u>FY 99 (Au)</u>	<u>FY 00 (Au)</u>	<u>FY 01 (Au)</u>	<u>FY 02 (Au)</u>
48,511 (act)	48,003 (act)	47,952 (act)	47,827 (est)

B. Revenue Projections

- Instructional Subsidy is based on the approved State budget. Reflects NFQF of 5,800.
- Student fees increase on Main Campus at 9.3% for undergraduates and 5.0% for all others unless otherwise noted, adjusted for enrollment changes.
- Resident undergraduate fees, net of the Access Challenge credit, at the Regional Campuses and ATI will increase:
 - 7.6% for lower division Regional Campus students
 - 6.5% for upper division Regional Campus students
 - 5.8% for ATI students
- Research Overhead is projected to increase 3.5% to cover increased research costs.
- Other Overhead, including earnings overhead, projected to increase 4.5%.

**Continuing General Funds Income
(Columbus Campus Only
(all figures in millions)**

Revenue Source	FY 01 Base	FY 02 Estimate	\$ Difference	% Change
Instructional Subsidy	\$317.7	\$322.9	\$5.2	1.6%
Performance Challenge ²	20.2	19.1	(1.1)	(5.4)%
Student Fees	326.5	354.8	28.3	8.7%
All Other				
Research Overhead	41.7	43.1	1.4	3.6%
Other Overhead ³	35.2	36.8	1.6	4.5%
Investment Income	10.1	10.1	0.0	0.0%
Unrestricted Endowment	5.0	5.0	0.0	0.0%
Miscellaneous ⁴	1.0	1.0	0.0	0.0%
Subtotal	93.0	96.0	3.0	3.2%
Continuing Funds Carried Forward	NA	NA	0.1	NA
Total Resources Available	\$757.4	\$792.8	\$35.5	4.7%

NOTE: Figures on state instructional subsidy and Performance Challenge are still preliminary and may change slightly.

III. Expense

A. Funding for Continuing Services includes:

1. Compensation – Includes:

- \$395 annual increase per full time faculty & staff.
- \$0.6M for faculty promotions.
- \$0.8M for contract settlements.
- 32% increase in health benefits.

2. Student Financial Aid – Includes:

- 6.0% base increase for undergraduate scholarships.⁵
- \$0.9M for the Buckeye Scholarship Program.
- 5.0% for graduate fee authorizations.
- \$3.2M enrollment adjustment for Fee Authorizations.

² Includes Research and Success Challenge.

³ Includes Hospitals and Auxiliaries.

⁴ Includes recycling revenue, capital design fees and other miscellaneous fees.

⁵ Additional increases included in the 3.3% set aside.

2. Research Support - increase in Research Support is equal to inflationary cost of continued services. Increase in indirect cost recoveries should fund this increase.
3. Facilities - includes 2.9% inflation adjustment for Plant, Operation and Maintenance of existing facilities, including utilities plus an additional \$2.5M for projected fuel increases.
4. All other expenses - such as legal and bank fees are not given inflationary adjustments.

Summary	FY 01 Base	FY 02 Recommended	\$ Difference	% Change
Continuing Services				
Salaries & Wages	\$422.5	\$427.4	\$4.9	1.2%
Benefits	77.9	85.1	7.2	9.2%
Sub Total	\$500.4	\$512.5	\$12.1	2.4%
Student Aid ⁶	90.8	99.6	8.8	9.7%
Research Support ⁷	37.8	38.6	0.8	2.1%
Facilities	43.8	47.8	4.0	9.1%
All Other	84.7	88.6	3.9 ⁸	4.6%
Sub Total	\$257.1	\$274.6	\$17.5	6.8%
Strategic Investment ⁹	NA	5.8	5.8	NA
Total Expenses	\$757.5	\$792.9	\$35.4	4.7%

B. Strategic Investments

Listed below are funds earmarked for strategic investment over and above current services. Recommendations for detailed allocations will be presented at the August 29 Board of Trustees Meeting.

⁶ Includes undergraduate scholarships, graduate fee authorizations, fellowships and employee & dependent fee authorizations

⁷ Includes Research Fee Authorizations.

⁸ Includes revenue enhancement and mandates.

⁹ See detail of Strategic Investment on page 7. Strategic Investments were \$13.0M in FY 2001 and have been distributed.

**OSU Columbus Campus
Strategic Investment Funds
FY 2001 & 2002
(General Funds - Continuing Funds Only)**

Item	FY 2001	FY 2002	Notes
OAA Multi-year			
Academic Enrichment/ Selective Investment ¹⁰	2,000,000	800,000	Selective Investment Only
Recruitment	500,000	400,000	
Library Acquisitions	628,000	0	FY 2002 one time
Sub Total	3,125,000	1,200,000	
Student Set Aside	1,000,000	4,600,000¹¹	3.3% over cap
Challenge Funds			
Research (est)	875,000	(675,000)	Cut by State
Success (est)	5,941,000	(375,000)	Cut by State
Sub Total	6,816,000	(1,050,000)	
Additional Facilities	975,000	0	
Reserves			
Provost	250,000	200,000	
President	0	200,000	
General	100,000	100,000	
Sub Total	350,000	500,000	
Other			
Mandates	500,000	500,000	
Service Improvements	250,000	0	
Sub Total	750,000	500,000	
Grand Total	\$13,019,000	\$5,750,000	

¹⁰ Supplemented by planned reallocation from college budgets.

¹¹ Includes \$2.0M in additional Student Financial Aid

IV. Implications

A. Strategic

1. The University will still be able to make progress on its strategic goals, but at a much reduced pace.
2. Positive changes will be most evident in the undergraduate experience due to influx of additional tuition and fees.
3. Slippage will be most apparent in compensation and has to be addressed in FY 2003.

B. Financial

1. The budget is balanced based on current state budget, but risk is on downside and reserves are minimal.
2. Rainy Day Fund needs to be replenished.
3. Financial projections for second year of biennium are not much better -- therefore, planning for FY 2003 needs to begin now.
4. Funding future commitments needs to be addressed.
5. The University continues to be at risk compared to competition outside the State of Ohio.

C. Summary Allocation of Resources by Function (in millions of dollars of continuing funds - Columbus Campus Only)

To Continue Doing What We're Doing Now:

Salaries & Wages	\$ 4.9
Benefits	7.2
Student Financial Aid	8.8
All Other ¹²	<u>8.6</u>
Total	\$29.5M

¹² All other includes research support, utilities & other facilities, revenue enhancement and mandates.

Additional Strategic Investment:

Academic Excellence ¹³	\$ 1.2
Student Experience ¹⁴	4.2
All Other ¹⁵	<u>0.4</u>
Total	\$ 5.8M

NOTE: More detail will be provided at the August 29, 2001 Board of Trustees Meeting.

D. What Happens Next?

1. Board will receive detailed spending recommendations and Budget Red Books at the August 29 meeting.
2. Proposed tuition increases on Columbus Campus and Regional Campuses will take effect Fall Quarter.
3. Compensation strategy for FY 2003 and beyond will be presented at the October 4th meeting.

NOTE: Compensation increases and increases in various user charges were previously approved by the Board and took effect July 1.

¹³ Includes selective investment and recruitment.

¹⁴ Includes Student Set Aside less the State cut in Success Challenge.

¹⁵ Includes reserves and mandates less the State cut in Research Challenge.

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-FIFTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, August 29, 2001

The Board of Trustees met at its regular monthly meeting on Wednesday, August 29, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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August 29, 2001 meeting, Board of Trustees

The Chairman, Mr. Brennan, called the meeting of the Board of Trustees to order on August 29, 2001, at 10:00 a.m. He requested the Secretary to call the roll.

Present: David L. Brennan, Chairman, James F. Patterson, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Kevin R. Filiautra, and Joseph A. Shultz.

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PRESIDENT'S REPORT

President William E. Kirwan:

I would first like to extend my very best wishes to Janet Ashe, who today is attending her final Board meeting as vice president for Business and Finance. Janet will be leaving us in a couple of weeks to take the position of deputy director of administration and treasurer with the Cleveland Museum of Art.

Janet, on behalf of The Ohio State University, we thank you for your nearly 10 years of exceptional service to this University. In the coming weeks, there will be other opportunities to acknowledge your significant contributions to Ohio State.

This morning, let me just say what a great asset you've been to this community. Among many other accomplishments, your efforts to build continuous quality improvement into the culture of the Office of Business and Finance, and your outspoken advocacy for efforts on behalf of the University's diversity agenda, have had a very significant impact on the University. We will miss you very much, but you have our very best wishes as you pursue this exciting new opportunity.

Today's Board meeting will also be the last for Steve Reed, chair of the Faculty Council, and Larry Anderson, chair of the Senate Steering Committee. Larry is on vacation, but Steve is here. I would just like to take this opportunity to thank Steve and Larry personally, on behalf of the entire University community, for their exceptional service and leadership in two very demanding positions. These are volunteer roles they play, and the time and energy they devote to this effort while maintaining their full-time work as faculty members is of enormous benefit to the University. Steve, we thank you for all that you've done.

As we thank these three colleagues for their service, I also want to welcome a new colleague, Willa Young. Willa is the incoming chair of USAC, the University Staff Advisory Committee, a very valuable organization to the University and to its administration. Willa, we look forward to working with you in the coming year and thank you for your service in this way.

Today as we approach the beginning of the new academic year and the one-year anniversary of our Academic Plan, I would like to give you a brief review of some of the progress we've made towards reaching the goals expressed in that plan.

First, in terms of building a world-class faculty, I am very pleased to report that two Ohio State faculty members recently were recognized for their world-class research. Marie-Alda Gilles-Gonzalez, a faculty member in biochemistry, plant biology, and plant biotechnology, was named to the Council of the Forum Engelberg Foundation, which is based in Switzerland. To give you a sense of the honor this represents, I note that every other member of this council is a Nobel Prize winner. This is a tremendous confirmation of the global impact that Professor Gilles-Gonzalez is making in her field. This appointment presents a new opportunity for her to continue to grow professionally and to enhance the scholarship of her colleagues and students at Ohio State.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Earlier this month, *Time* magazine and CNN named Professor of Geological Sciences Lonnie Thompson as one of America's best scientists. Professor Thompson's research has shown that within 15 years, massive mountainous ice caps and glaciers around the world will melt because of global warming.

CNN aired a one-hour documentary on the scientists called, "CNN Presents: America's Best." The show focused primarily on five researchers, and Professor Thompson was one of those five. *Time* focused on 18 of those selected and Lonnie Thompson is the centerfold in this issue of *Time* magazine. So I urge all of you to get a copy of it and read with great pride what he has done. It was a much-deserved recognition for Professor Thompson and a testament of Ohio State's academic excellence to a national audience.

Also this summer, we have continued to enhance the quality and depth of our faculty by hiring and promoting outstanding new faculty leaders. The latest is Jan Kronmiller, whose appointment as dean of the College of Dentistry is being submitted to the Board today.

He currently serves as professor and chair of the Department of Orthodontics at Oregon Health Sciences University, and he has received numerous awards and honors, including the National Institute of Health's National Research Service Award. I know you share my pride in the fact that Dr. Kronmiller is an alum of Ohio State. He is especially excited about the opportunity to return to his alma mater.

Also today, the Board will be asked to approve the appointment of Karen Bell as interim dean of the College of the Arts. Since 1995, she has chaired Ohio State's world-renowned, number one ranked Department of Dance, and she has an outstanding reputation as a leader in the arts.

And I'm very happy to report that one of our most distinguished graduates has come back to Ohio State and is now chair of our Department of Civil and Environmental Engineering and Geodetic Science. Oliver McGee most recently served as deputy assistant secretary for Transportation Technology Policy at the U.S. Department of Transportation and as senior policy analyst in the White House Office of Science and Technology Policy. Professor McGee previously held faculty positions at MIT, the Georgia Institute of Technology, the University of Arizona, and Ohio State. He also has worked as an engineer for NASA and Boeing.

His numerous awards include: a 1991 National Science Foundation Presidential Young Investigator Award; a 1995 Georgia Professor of the Year from the Council of Advancement and Support of Education in the Carnegie Foundation; the 1996 Black Engineer of the Year Award from *U.S. Black Engineer* magazine; and Ohio State College of Engineering's 2000 Distinguished Alumnus Award.

Oliver is a 1981 graduate of The Ohio State University in civil engineering. But listen to this, during 1980 he served as drum major for The Ohio State University Marching Band. Oliver is with us today and I would like to congratulate him. What a great pleasure it is to welcome you back home and we look forward to your many years of contribution to this University.

Other significant appointments will help us enhance the student experience at Ohio State: Carole Anderson, vice provost for Academic Administration, will be replacing Nancy Rogers, who has moved on to become dean of the Moritz College of Law; Barbara Snyder, vice provost for Academic Policy and Human

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Resources, is replacing Nancy Rudd, who has retired; and Kay Halasek, associate provost for Honors and Scholars, will be replacing Dan Farrell, who is returning to the Department of Philosophy. All three are proven leaders with strong records of professional accomplishment at the University and we are asking the Board for approval of these appointments today.

One of the most exciting pieces of news we received this summer was the restoration of the Ohio Eminent Scholar program. The state will be funding seven new Eminent Scholar positions and Ohio State will receive four of these seven positions. Yet another Eminent Scholar position has been recommended for Ohio State if state funding becomes available.

All four new Eminent Scholar positions will be in Selective Investment units at the University: electrical engineering, English, psychology, and biomedical engineering. This is a testament both to the quality of these programs and to the wisdom we have shown in selecting them for special investment.

Earning the funding for four new Eminent Scholars is an exceptional accomplishment – it makes a strong statement about this University's position within the State of Ohio and the crucial role people are counting on us to play in building a successful future for our state. This is, of course, a central focus of our Academic Plan.

Another important focus of the Academic Plan is building an exceptional learning environment for our undergraduates. Relief from the tuition cap has provided some needed funding for this priority. When students return this fall, they will find greater course accessibility, a revised and more structured advising system, and a new initiative for first-year students, which we call First-Year Focus. Mabel Freeman is here this morning to speak briefly at the end of my remarks about this exciting new program.

Yet another key goal of the Academic Plan is creating a diverse University Community. A generous gift of \$130,000 from alumnus Corbett A. Price, the chairman and CEO of Kurron Shares of America, is helping us take an important step toward that goal. Mr. Price's gift will be used in part to support the Institute for the Study of Race and Ethnicity in the Americas, which will soon be established at Ohio State. The interdisciplinary research center will help establish Ohio State as an international research leader in this vital field.

Another exceptional gift from a former Buckeye basketball star, Lawrence Funderburke, is expanding scholarship opportunities for disadvantaged youth. Mr. Funderburke, who has a degree in financial management from the Fisher College of Business, established a \$100,000 scholarship endowment that will help students coming from disadvantaged economic backgrounds from Columbus, to attend the Fisher College and other academic programs at Ohio State. It is this type of action that has made Lawrence Funderburke such a great Buckeye and a tremendous role model for the young people in our community.

Actions like those I have described demonstrate just a few of the ways we are making progress on our Academic Plan, in spite of the budget constraints. We are also now in the process of revising and updating the plan to reflect activity over the past year and to take into account changes required by altered circumstances, such as modified budget assumptions. I look forward to reviewing those revisions with the Board of Trustees in the fall and providing you with a more formal statement of the progress we have made.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

The Academic Plan will continue to be an evolving document, but the core goals will remain constant and unwavering. We are intent on becoming one of the nation's truly great universities – the top-tier teaching and research university that Ohio so desperately needs.

Finally to fully realize our academic aspirations, we will need a greater level of state support. There is much we can and will do with the Academic Plan through reallocation and an aggressive effort to generate new sources of revenue. But given the vital nature of higher education generally and, research universities specifically, in a knowledge-based economy, neither the state nor Ohio State can realize their full potential without a much greater investment in higher education at all levels. As you know, Ohio currently ranks 42nd among the states in terms of state investment in higher education. For the well being of our state, not just Ohio State, this must change.

Thus, as we begin our new year, we must also renew our efforts with the state's business and elected leadership to achieve the kind of investment in higher education that will make a bright future for all Ohioans possible.

Mr. Chairman and members of the Board of Trustees, this concludes my report.

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FIRST-YEAR EXPERIENCE PRESENTATION

President William E. Kirwan:

I would like now to invite Mabel Freeman to say a few words about this fall's entering class and our new First-Year Focus program.

Dr. Mabel Freeman: [PowerPoint presentation]

Thank you very much, Chairman Brennan, members of the Board of Trustees, and President Kirwan. We're delighted to be here today and introduce to you the First-Year Experience program that will be coming, but first we want to introduce to you what the Freshman Class of 2001 should look like.

We're still working with a projection because the students haven't arrived yet, but as we look at who we think is coming this will be the most talented and most diverse class ever to come to The Ohio State University. We don't have data for you, because until we have the actual numbers we would rather not put things out.

At this point in time, I can tell you that over a third of the entering class will have graduated in the top 10 percent of their high school class. Over two-thirds of them will have graduated in the top 25 percent of their high school class. Last year's entering class had an average ACT score of 24.9 and it looks like this year's fall class will have an average ACT of 25.2. This will be a class that will welcome about 120 National Merit, National Hispanic, and National Achievement scholars, which is about a five to six percent increase over last year.

This is a class that will welcome a 10 percent increase in University scholars, those are our top academic students. We're going to go from about 700 in last year's freshman class to about 760 in this fall's class – a remarkable increase. We're also going to see increases in the numbers of African-American, Asian-American, Hispanic, and Native American students. So this will be our most

FIRST-YEAR EXPERIENCE PRESENTATION (contd)

Dr. Freeman: (contd)

diverse class. We are quite excited to welcome this group of students in another few weeks. I tell you that because it's also important for us to recognize that the fact that they are talented and the fact that they will be a diverse class by itself is not going to be enough to guarantee their success.

I'd like to tell you a story. About two years ago, we had on campus Ron Susskind, the Pulitzer Prize-winning author of the book, "Hope and the Unseen." The book is about a young man's transition from high school into college.

Mr. Susskind met with a couple hundred of our freshman in October and asked them a very simple question, "How many of you, when you were in high school, had your mothers make your bed?" Nobody raised their hand, they all kind of looked at each other. He said, "How many of your mothers made your bed for you last month when you moved into the dorm?" Almost every hand went up. He said, "So why do you think that is? I'll give you my answer. I think there are two reasons mothers make the beds of their freshmen students: 1) it gives them one more thing to do to delay having to leave and say goodbye; and 2) it's their way of 'tucking you in tight' to the University."

I usually lose a few moms at this point when I tell that story, but in a couple of weeks we are going to have thousands of mothers coming here and tucking their sons and daughters in tight to The Ohio State University. They are coming with their concerns, their anxieties, their worries, and their hopes, and so are their children. So the First-Year Experience is a critical experience for college students. We know that it really is the most important year in their college experience and so we have put together a team of folks across this University to direct their attention toward that.

In this year of fiscal limitations, I need to give credit – my colleagues refuse to let me play off of "it takes a village to raise a child, but it takes an entire university to bring in freshmen," -- because it really has been a University-wide effort. With us today are eight Ohio State University staff members. I would like to take a quick minute to introduce them. I want everyone to understand that all of these people had full-time jobs before we started launching the First-Year Experience initiative. Now they have 'fuller' time jobs, and a great dedication. I think it's important for us to recognize that.

We have with us: Eric Reasoner, administrative associate, who has helped us link Undergraduate Admissions with the First-Year Experience, because this has now become one unit; Phyllis Miller, director of University Orientation, runs the largest and finest orientation program of any university in this country; Karin Henn, marketing and communications specialist for Undergraduate Admissions and First-Year Experience, has put together a number of the programs that we're going to be talking about this morning; Maryline Kulewicz, associate director for Community Relations, because the community these students are coming into goes beyond the campus itself; and Jenny Klein, assistant director in Residence Life in the Office of Student Affairs, has been working with first-year students through the residence hall programming for the past six years and has added tremendously as we look at what we can be doing beyond the residence halls.

Also with us today is Janeen Sands, academic advisor in the College of Engineering, who works with pre-engineering first-year students. She represents the dozens and dozens of academic advisors who have been part of this focus on first-year students. Susan Schnell is the senior assistant director in Undergraduate Admissions and First-Year Experience, and in her previous life

FIRST-YEAR EXPERIENCE PRESENTATION (contd)

Dr. Freeman: (contd)

she worked with the colleges on the recruitment end of getting the students here. This year Susan has been adding to that and working with the colleges as to how we can serve the students now that they are here. And finally we have Allen Kraus, senior assistant director for Marketing and Communications. I think many of you were given *Book One*, our book that goes out to all prospective students talking about Ohio State and that is under Allen's authorship. He is also responsible for the communications to the students as they are transitioning in. It is important to know that there are faculty, administrators, and staff across the campus who have been involved in this project.

We have five goals that we believe reflect what we're trying to do in the First-Year Experience. The first is to effectively integrate first-year freshmen and transfer students into the University community. We're talking about integrating about 5,900 freshman, but about 2,000 transfer students will also be new to our campus this fall.

There are three parts to this integration: the communications with the students, their orientation, and quick involvement in our campus. We know those things will make the transition more effective. So we have everything from newsletters to a website that will be launched September 15, to an e-mail address called ASKFYE@osu.edu that will have a 24-hour response. In other words, we'll have students manning the e-mail to help first-year students with any questions they might have, to direct them to the correct source, and to give them the answers when we know the answers ourselves. This will make it easy for first-year students to feel that they have one more location for information.

Our telecounselors -- who normally call prospective students trying to get them here -- have spent the summer calling students who have already committed to coming, saying "Welcome." Again, to personalize the University.

During orientation, Phyllis welcomed over 5,800 freshman and 6,900 of their family members. Over 1,600 of the transfer students have already come through orientation with 887 of their family members, and we still have a couple more programs to go in this month. During that orientation program we had 295 faculty members working with us, as well as over 500 staff members. You cannot do a program of this size without campus-wide support. We have one of the most successful programs in the country and we're very proud of it. We made some changes this year to make sure the messages at orientation are consistent with the messages the students are going to get here once fall quarter begins.

Our third part of the plan is the involvement. We launched the Buckeye Book Community, based on a project we started at Honors with a couple hundred students. We were hoping maybe a thousand of these freshmen would buy one of these two books and read it this summer after orientation and before they came back in the fall. Our campus bookstores sold 3,500 copies of these books to freshman and their families during orientation. It was a remarkable number. Now, we need to talk with all of these students about the books they've read. We're ready to do that, but the reality is that we think this is a group of students coming, saying I want to be involved.

The two books they read were: *Night* by Elie Weisel, Nobel Peace Prize winner, speaks of his experiences in the Holocaust; and *Brothers and Sisters* by Bebe Moore Campbell, a contemporary author, who speaks of our society trying to get along in this country. It is a piece of fiction set in Los Angeles after the 1989 riots in Los Angeles. Both of these authors will be visiting OSU this fall. Bebe Moore

FIRST-YEAR EXPERIENCE PRESENTATION (contd)

Dr. Freeman: (contd)

Campbell will be here October 10 to meet with students and talk about her writings and her ideas; and Elie Weisel will be here October 23. Our students will have the opportunity to interact with a man who not only has shared these experiences, but also has won the Nobel Peace Prize, and we're quite excited about that.

Our second goal is to facilitate collaborative efforts between the Offices of Academic Affairs and Student Affairs. We're all working on behalf of the same students and, again, the book community is an excellent example. The second day of classes forty of our faculty will be leading sessions about these books in the residence halls, a more comfortable setting for most of the students. There will be a couple of sessions taking place outside the residence halls, but the reality is we want students to know that they can talk about academic topics and issues of diversity and change and sensitivity to differences, which both of these books deal with, in settings away from the classroom. They are important topics that we want the students to be thinking about at Ohio State.

We're also introducing the First-Year Success series to compliment the survey course. As you all know, every new student must take a survey course their first quarter and it is usually taught by their academic advisor. Given the fact that we no longer have University College -- which had been the home of that survey course -- we have a lot of new people teaching the course. It was an opportunity to try some new things, and so we are introducing a series of programs to compliment the course.

There will be over 70 different programs that have been created this summer that will take place during the first seven weeks, and you can see the topics for each week -- some of those have more interesting titles than others. We're expecting big turnouts.

The reality is that we are bringing such people in as Chief Justice Thomas Moyer, of the Ohio Supreme Court, and Michael Curtin, president of *The Columbus Dispatch*, both of them will be part of leadership week. We will also be having interactive programs with the students, some with peer facilitators on alcohol and drug use. The Office of Student Financial Aid will be putting on ten different programs, dealing with everything from credit card debt, to how to manage your money, to how to make some money if we have students into investment. So we are excited about this. At the end of each program, every student will be writing a one-minute reflection paper that they will then turn in on did they get it, did they catch the idea so that we can evaluate this.

We also will be having eight scholars programs this fall at Ohio State. You've heard about the scholars programs in the past. Four of these are new this year and we're giving you the numbers up there of students. In the case where you see only the number of freshmen, that's a new program being introduced this year. In the case where you see sophomores and freshmen, that's a second year program. You'll notice that we have more freshmen coming than we had in the previous class, which is a sign the programs are growing.

About 11 percent of the freshman class will be participating in one of the eight scholars programs. This is a wonderful combination of residential life and programs in the residence halls connected to their academic interests and focus groups, and we're quite excited. In 2002, we'll be adding a ninth program -- the international affairs scholars program.

FIRST-YEAR EXPERIENCE PRESENTATION (contd)

Dr. Freeman: (contd)

The honors program continues to go great guns and linking curricular and co-curricular experiences. We still have five honors residence halls. Within the residence halls there are living/learning programs linking academics and residence life, such as German House and the Ford Business and Engineering program, and close to thirty different ways of living/learning within the residence halls.

Our next goal is simply to create an awareness and appreciation among our first-year students of the traditions at Ohio State. This is such an important part of linking a student immediately to a campus to appreciate the traditions. We're going to do it particularly at Convocation 2001, where the students meet with President Kirwan and a number of others. In addition to being able to feature the President, the marching band will be there and every student will be receiving a personal invitation to the Convocation. Every student will be receiving an alumni sponsored bag -- and we appreciate the OSU Alumni Association getting on the bandwagon with this -- to keep their goodies in so to speak, and the outside of the bag will say, "Successful Alumni Were Successful First-Year Students."

At the same time, each student will receive one of these scarlet and gray tassels -- no college has this combination of colors at graduation. Barnes and Noble helped us with 6,000 of these tassels. We want each student to take this tassel, hang it up in their dorm room, in their apartment, or in their bedroom, as a constant reminder that down the road they're going to wear a tassel of a different color -- the tassel of their college graduation color. The goal is that the Convocation is one end of the bookends, with graduation being the other end. So the tassel is meant to be a symbolic reminder to them of what it's all about.

We're going to teach them not only how to sing the alma mater, but also where it came from and what the words mean. The 6,000 students -- thanks to University Relations' Marketing and Communications -- are going to be the first big group to receive the new logo in the form of a pin, and each student will be able to put on that pin during Convocation and understand what it means to be a Buckeye. Then, of course, we will do the traditional walk to the Oval going through the new stadium. These are the traditions that we want to begin to convey to our students.

Another goal we have is developing internal and external partnerships in the community. We can't do this by ourselves, we need the support of the community and need the students to understand the benefits of linking to the Columbus community. At Convocation, Janet Jackson, the city attorney, will be speaking and representing the City of Columbus, and welcoming the students on behalf of the city.

Maryline Kulewicz has been working on developing an arts sampling packet. We are going to be able to offer our students, for \$20, an arts packet that will allow them to buy one ticket to the symphony, one ticket to Ballet Met, one ticket to the Columbus Jazz Arts Group, and one ticket to the local theatre. This will be a way for the students to begin to see what's available to them in the Columbus community.

Finally, our fifth goal -- probably the one that undergirds what we're all about and is part of the Academic Plan -- is that we very much want to increase the retention of first-year students at Ohio State and influence higher graduation rates. I think it might interest you to know that nationally, about 75 percent of all first-year college students return for a second year.

FIRST-YEAR EXPERIENCE PRESENTATION (contd)

Dr. Freeman: (contd)

This past year 84 percent of our students returned for a second year and while that's certainly better than the national average, that's not where we want to stay. We know it will be a higher percentage figure this fall and hope it will be around 85-86 percent with the eventual goal being a 90 percent return rate. If we can get students back for the second year, we can get them graduated. It might interest you to know that all the research suggests that students make up their minds whether they're going to stay or leave in the first three weeks of their college career. They might not leave in those first three weeks, but they've made up their minds that they might be doing it at the end of that first year. So this is one reason why we are particularly frontloading the experiences.

I want to mention a couple of projects that are also going on to increase the retention. One is the Stradley Hall math project and Jenny Klein has been very involved in this. This is a simple project that we hope has a big payoff. When the students came this summer and scheduled their math classes, we looked at those who signed up for two of our introductory courses – Math 104 and Math 148 – and we looked at their recitation sections. Then Housing made their residence hall assignments and 180 students will be assigned to floors in Stradley Hall based on who else is in their math recitation section. They don't even realize this, but when they show up that first week and go to their math recitation class, they're going to think, "Well, you look familiar to me; oh, you live on the same floor I do!"

We're doing this for a reason, we think it will help form study groups. The residence hall is committed to working with the math department and have been holding meetings on having special tutoring sessions, review sessions at the time of exams, having receptions for math faculty and TAs, and having the TAs come over and do study hours in the residence hall. Also, creating programs like Study Bucks where students will be recognized for how much time they spend studying math and going to tutoring sessions.

Finally, we've not just been working with the students, we've also been working with our faculty. Alan Kalish, from Faculty TA and Development, has already done a workshop with our freshman survey instructors on how to engage these freshmen the very first quarter in their survey course. We can't make an official announcement yet, but it appears he has secured a very significant grant to run a series of seminars this fall. We've asked the ten departments on campus that teach the greatest number of first-year students to each identify a senior faculty member and they have. These ten senior faculty members will engage in five seminars this fall on the teaching of freshmen -- what can we be doing to shift some of the paradigms and how we do business with the teaching and the learning experiences of our freshmen. We are quite excited about that.

The bottom line is that the first-year experience is clearly a partnership among many colleges, departments, units, and individuals on our campus in order for us to be successful and make sure that our students are successful. That is the goal – future graduates.

Finally, in case you haven't caught the message, they're coming and we're really looking forward to their arrival. Thank you. If there are any questions, we'd be happy to entertain any of them.

Mr. Brennan:

Any questions from any Board members? I've observed in the business world, Dr. Freeman, that a successful business is the result of thousands of many small

FIRST-YEAR EXPERIENCE PRESENTATION (contd)

Mr. Brennan: (contd)

things. The common wisdom is that it's always one or two big home run items that make a business succeed, and nothing is further from the truth. I don't think I've ever heard a better recitation of how to go after a thousand small things, than what you just told to us.

Dr. Freeman:

Thank you very much.

Mr. Brennan:

Thank you and thank all your staff. We're very proud of what you're doing and we support what you're doing.

Dr. Freeman:

Thank you for this opportunity. May I mention one other thing? The notebook you have in front of you is the notebook we gave to parents at orientation with the idea that they would take it home. The students have the same notebook on campus. So the idea is when their sons or daughters call during the first few weeks and say, "I've hit a problem and I don't know what to do," the parents would be able to refer their kids, and say, "Well, here's a place in the book that mentions who you should talk to." The parents are working with us as partners in the development of the autonomy of their young people.

This is the first time we've had these notebooks and it has a pretty nifty cover, which Allen and Karin created. The bottom line is communicating with the parents, because often times this is as important as communicating with the students. If you don't need the notebook and you'd like to give it to a young high school senior who lives near you, we'd be thrilled about that, too.

Ms. Hendricks:

How long is the freshman orientation prior to starting classes?

Dr. Freeman:

Freshman orientation lasts for about seven weeks. It is a day and a half program, but it takes about seven weeks to work them all through.

Ms. Hendricks:

Then when a freshman comes to campus, do they come before everybody else?

Dr. Freeman:

I'm convinced that because we start so late in September, all students are ready to get back here. Move-in day is Sunday, September 16, and that is when freshmen move into the residence halls. A number of them come earlier, but that is the big day for Bill Hall's wonderful move-in process and most of the upper-class students are around by Sunday or Monday. Welcome Week events are particularly pitched to the new students, but it's certainly inclusive of all of them and then classes start on Wednesday of that week.

FIRST-YEAR EXPERIENCE PRESENTATION (contd)

Mr. Brennan:

Any other questions?

Mr. McFerson:

I know you don't have first-year numbers tied down, but approximately what percentage of those students will come from Ohio?

Dr. Freeman:

Last year approximately 85 percent of the students were from Ohio, with 15 percent out-of-state. This year it looks like there might be more students out-of-state -- around 16 or 17 percent, so the students from Ohio figure might be around 83 or 84 percent. I wouldn't want to be held to that because there's still a couple of weeks and still some shifting, but it will be in that range. We've been in that neighborhood for the last few years. We believe that simply as the reputation of the University is getting stronger and stronger, we are generating more interest beyond the State of Ohio.

Mr. Brennan:

Thank you again, Dr. Freeman.

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OUTREACH AND ENGAGEMENT PRESENTATION

Mr. Brennan:

I would like to call on Dr. Bob Moser for the Outreach and Engagement presentation.

Dr. Bob Moser: [PowerPoint presentation]

Mr. Chairman, thank you very much. First of all, let me make some introductions. We have with us today, Janet Sanfilippo, a member of our outreach and engagement team, and we're very pleased to have her with us. She is bringing a lot of enthusiasm, leadership, and direction to where we are going with outreach and engagement.

Then we have Barbara Millisor, administrative associate within outreach and engagement. If you call Smith Lab, it's probably Barbara on our end of the phone and she has done a great job in helping us get organized. The best thing she's doing this morning is helping me learn technology and getting me into the 21st century.

Finally, we have Daryl Siedentop, director of the P-12 project, which is part of the outreach and engagement effort. Daryl will be helping me with the presentation today.

I really appreciate this chance to bring you up-to-date on the outreach and engagement effort and where we're going. I want to start off this morning with the first slide to remind you again how we are defining outreach and engagement, because it's a little bit unique than how other universities have approached this particular area. The bottom line is that we are trying to make this an integral part of the existing functions of the University, we're not trying to build a whole new structure for outreach and engagement.

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Moser: (contd)

As it says, "A meaningful and mutually beneficial collaboration with partners in education, business, and the public, and social service." This represents that aspect of teaching that enables learning beyond the campus walls. It's that aspect of research that makes what we discover useful beyond the academic community, and that aspect of service that directly benefits the public. These are the three major functions of the University – teaching, research, and service -- and outreach and engagement is an integral part of each of those three.

Within the past couple of years there was a major effort underway called the Kellogg Commission of Land Grant Universities, and President Kirwan is a vital part of that particular commission. This commission is looking at the 21st century land grant university. I have with me this morning a publication which has the quote, "What is an engaged university?" It is practices and the process of developing partnerships that bring the University's research, teaching, and service capacity to bear on social needs. In fact, Dr. Kirwan was the leader in the last report that was put together. In my opinion, this is going to set the stage and define the direction for the land grant universities for the 21st century and we are certainly a part of that.

An engaged university, as we have defined it here, is beyond just a transfer of knowledge. We think it's much more than that. As we've said before, we see this in building true partnerships because we think that's very important as we look to the future. What I'm talking about is that true partnerships are not one-way partnerships, but two-way partnerships. As we look at how to approach this, there are three ways to develop these partnerships, and two of them are wrong.

The first way is that I can be the first one and I can go out and say, "I'm Bob Moser from Ohio State University and I'm here to help you." You've heard that one before. I think that's wrong. The second way is that the stakeholders can approach us at Ohio State University and say, "We are stakeholders, we're taxpayers, and here's what we think you should do." Personally, I think that's wrong. The best way to do it is to build these mutually beneficial relationships, where we gain trust and respect one another. We sit down together and we talk about a problem and how to solve that problem together, and we go about solving that problem. I may think of some things that you haven't thought about, and you may think of some things that I haven't thought about, and probably will. Together we go about this as a team. To me, that is a true partnership. That is where we want to take our outreach and engagement program for Ohio State University.

Building upon our land grant mission is certainly a part of what we're all about. Outreach and engagement is not new to Ohio State University. We've been doing outreach and engagement for quite some time. We may not have called it outreach and engagement, but we've been actively involved. Of course, in the College of Food, Agricultural, and Environmental Sciences it's been part of our history. It began with us and it's gone much more beyond that. The Health Sciences and all of the professional colleges are very active in outreach and engagement. In fact, when we surveyed the colleges to get a benchmark as to where we are and what we're doing, we were very impressed with what others are doing, and you're going to hear some of that in just a minute.

We think we can even increase the impact of what we do even more and that's what we want to try to do. We think we can do more than what we're doing at the present time. I'm going to take a quote from Brad Moore where he said, "Ohio State University should become the knowledge provider to the world." That's a

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Moser: (contd)

lofty goal and purpose, but we can become that. We all want Ohio State University to be the choice of preference for people in this state, this country, or even around the world. When they have a question, they want to know information, or they want to build a relationship with a university, they think of us first. That is a big and lofty goal, but Mr. Brennan has taught us over time to think big. So we're trying to do that.

Encouraging outreach and engagement: What are we doing at the present time? We're developing leadership and structure. I want to compliment this Board and also the University administration for establishing an Office of Outreach and Engagement at the vice presidential-level. That shows commitment, dedication, and foresight for this activity. I personally am very privileged to be a part of that particular program.

At the present time we're trying to develop a mission and vision statement to see how outreach and engagement can contribute toward the University's mission and vision statement. What is our contribution and what are the indicators of success, so we can measure our progress and what we're doing.

As we also think about the future, we think about where we're going and what we're doing. We're establishing committees, we have a dean's oversight and advocacy committee. We have a leadership action committee and we also will be looking at establishing an outreach and engagement council for this fall. Every academic unit and vice presidential unit at the present time has a person in that unit that has been designated the responsibility of outreach and engagement, that is the first accomplishment that we have to have. Getting those people together, focusing in the right direction, and working together is what we'd like to have.

As the second bullet says, making activities visible. Janet will be working with University Relations on establishing a marketing plan – both external and internal marketing -- on what we're doing. As you know, we still have some internal marketing to do and we're going to continue to work on that. Janet is also working on a web page and a database, and benchmarking to see the progress that we're making. We will have a database available for people to tap into. That gets into some of the discussion we've been doing with our Distance Education Committee on developing some things that hopefully will be open to people to access -- not only developing credit courses, but also knowledge-based information.

To support such activities we have a grants program that's been very active. It's a small seed grant program. We see it as allowing faculty to develop projects for the first step and using that to leverage those dollars into other grants from the outside. The exciting thing about this is that we have far more proposals than what we have the resources to allocate and this is a good problem to have. Some of these are really making an impact and I wish I had time to tell you about all of them. Daryl will tell you about some of those as we get into his part of the presentation.

The Roads Scholar tour – we tell people, "All of your life you wanted to be a Rhodes Scholar now you can be one." We just don't tell them how to spell it. This has been a very popular program. The goal is to take new faculty out across the state so they can learn about Ohio, and also learn how Ohio State has already engaged in outreach and engagement activities where they're making a difference. I have faculty all the time come up to me and say, "Hi! I was on the

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Moser: (contd)

Roads Scholar tour two years ago, it was a great experience and here are some things that have happened as a result of that." So we feel very good about that.

We've had two outreach and engagement conferences. One was in 1997 and drew about 200 people. The second one was this last spring, it drew over 300 people, and our keynote speaker was from Michigan State University. He's a great guy, but he told me after the conference, "This is remarkable what you've done here, drawing this many people with this much interest. I don't think we could do this at Michigan State." So that says something about where we're going with this, and some of the impact we're having.

We have roundtable meetings on a regular basis to bring faculty and staff together to talk about outreach and engagement and where we might go with that particular part of it.

Let me end with this last slide -- developing focus area strategies. We know we can't do everything and we can't be all things to all people. So we're trying to focus our efforts as a part of the Academic Plan on three major areas: P-12 education; economic development; and health and safety.

We're asking ourselves the questions: "What can Ohio State do to enhance P-12 education? What can we do to be a contributor to economic development in this state and this country? And could we be a contributor to the health and safety issues that we're talking about?" We know that those are broad areas and that we can't cover everything, but we can carve out our niche and we can find out our strengths and focus those on the needs. We think we can make a difference in what we're talking about here.

Now we don't have time to talk about all of these, but let me take just a few minutes and turn this over to Daryl. We're just going to give you a slice of the pie -- we can't give you the whole pie today -- and that's the P-12 education activity we currently have underway. Daryl --

Dr. Daryl L. Siedentop: [PowerPoint presentation]

Thank you, Bob. Chairman Brennan and Trustees, it is a pleasure to be here. P-12 arose as a University-wide project in discussions about what it means to be a land grant university in the new century. Clearly, the language of the Academic Plan indicates our commitment to help to improve public education with a special focus on the education of underserved children and youths. The College of Education is the lead college, this is a University-wide project, and it is funded out of the Provost's office.

Obviously, you heard President Kirwan and others talk this morning about a knowledge-based economy. It is a different economy that needs a different kind of preparation even at the very entry level. It is also necessary, in all of our views, to consider the health and vitality of a participatory democracy in terms of the quality of education people bring to that participation.

Our project goals are: to develop and implement a coherent strategy for P-12 for the University; to provide an organizing structure, which is the small office and working group that I've developed; to provide a single initial point of contact for those interested in P-12 activities; and to initiate and support projects that are aligned with school improvement and increased student performance. To engage the entire University in the improvement of the initial preparation and continuing development of teachers and administrators, we've just created the

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Siedentop: (contd)

University Council on Teacher Education that has equal participation from faculty in the Arts and Sciences and faculty in Education led by Dean Donna Evans and Associate Provost Randy Smith. And, finally, to assist the Ohio legislature, the Governor's Office, the Board of Regents, and the Ohio Department of Education in developing policy and programs to improve the performance of Ohio's schools.

You might have read in the paper yesterday that a new poll indicates that it is still at the top of the list of concerns of citizens in this state. In a weak moment, we decided that this modest effort we would make to help solve what appeared to be intractable problems of public education is something we needed to do.

The P-12 office is a clearinghouse. We have developed a web site that helps us to allow people, both within and outside the University, to understand what is going on. There is a searchable database. You can come to the database and punch in "high school science" and up will pop all of the projects at Ohio State that are focused on various science areas at the high school level. You can then punch on those further and they will link to full information about the project – who to contact, what its purposes are, things like that. So, we think finally we have a handle on all the things going on in the University and have made that available to both the people within the University and outside in a way that's very accessible and easy.

A special case is our neighborhood schools. We're working with Campus Partners and other agencies in the University to help fulfill the overall goals of the Campus Partners project. All of us realize and have for a long time that to revitalize the neighborhoods, we have to build on the base of jobs, homes, and education. Many of us feel that education is the most fundamental of those improvements. We're not going to get people to buy homes and raise kids in our neighborhoods unless they have good schools to send them to.

We have developed an agenda with the thirteen Columbus Public schools that serve the boys and girls who live within the Campus Partners' boundaries, which we call "the Learning Bridge." The Learning Bridge has been operating now for about six months and is a good example of illustrating some of the principles, which Bob referred to just a moment ago.

We've also spent most of our time building trust. We brought in substitutes for the thirteen lead professional teachers and had a day in this very building where we sat for eight hours and listened to them talk about the problems of the classroom teacher in urban elementary, middle, and high schools. We spent half a day with the principals of those schools, again, talking with them about how we might assist you? What are your problems? And gradually, over time, I think we've built a substantial amount of trust with them and we're beginning now to provide that assistance.

Our goal here is to assist, but to do so in a way that informs the improvement of urban education statewide and nationally. There is no magic bullet to improving urban schools. There are myriad ways of helping to improve them, but our strategy has been to aggregate resources and target them so that we can expect to show improvement in the bottom line indicators that are important both at the district and state level in Ohio.

We have a lot of things going with these schools, but we are targeting several of the schools in particular. The Weinland Park Elementary School and Indianola Middle School, both of which are in the Campus Partners area, and both of which

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Siedentop: (contd)

are on the Columbus Public Schools' probation list -- what Columbus Public Schools call, "AAA schools" -- an interesting way to describe the lowest performing schools.

At their request we will provide the teachers in these schools this fall with professional development and cultural awareness for immigrant populations. These schools have a tremendous influx of Somalian students and some of the local problems of the Somalian culture integrating has to do with the civil war in Somalia that's being replayed in some respects in the schools of Columbus, Ohio, and problems between Somalian immigrants and African-American students. So we're building that. We're building a series of hands-on math and science education experiences for these teachers in the elementary school, because that's what they indicated to us was their greatest immediate need.

We will place five school psychology interns in three of our neighborhood elementary schools, supervised by a Ph.D. in school psychology. We have found through listening and through our research in these schools that the services provided are too thin. I think it's no secret that the key to improving quality education is to have a competent teacher and have quality time with students who are ready to learn. Part of the problem in an urban elementary school, particularly, is quality time with students ready to learn. Student nurses are not widely available in the schools. School psychologists are typically there one day a week and can hardly keep up with the testing from last year. School counselors are not widely available, and so we're going to try to help provide and evaluate some of these services with the goal of having the teachers get more quality time with their students and having those students more ready to learn.

Our view of education goes beyond the 8:00 am to 3:00 pm time period. We have a very active group working with after school and summer programs. We've made connections with Mayor Coleman's Cap City Kids, with the YWCA, with Boys and Girls Clubs, with Big Brothers/Big Sisters, and a number of other agency providers to try to focus and integrate the work in after school and summer programs. Most of which, as I'm sure you understand, are poorly funded and most of those agencies compete for a small pool of local funds.

We think we can do more together than we can do separately. And part of our goal is to help them to come together to produce a more strategic plan that involves them all and through which we can help with assessment, technical training, and development. A large part of what we want to do depends upon providing volunteers to schools. There is a dire need for more adults in schools as mentors, tutors, and assistants to teachers. The problem logistically is how to identify them, get them there, and prepare them to do well when they do get there.

With the assistance of Vice President Bill Hall and the Service Learning Roundtable, my project has gone a long way to create a web-based matching system for The Ohio State University for volunteers in service learning. I'm hopeful that by the end of Autumn Quarter or the beginning of Winter Quarter, we will be able to place on a website, for instance, that Medairie Elementary School needs mathematics tutors from 1:00 to 1:45 pm on Monday, Wednesday, and Friday, and would prefer that these tutors have these experiences and be able to make this kind of a time commitment.

Students will be able to log on to this website and match up their volunteering interests with the volunteer opportunities we have available. We're going to provide a series of training programs so that our volunteers do not go out to

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Siedentop: (contd)

schools, agencies, or senior citizen centers unprepared for what's going to be there. We'll also have a training program for the agencies and schools so they know how better to utilize volunteer services when they do come there. So that's all part of what we're trying to do with the local neighborhood schools.

We think we have an enormous opportunity with the Weinland Park area and Weinland Park Elementary School to create something really special in what might be the most troubled elementary school in the City of Columbus. As most of you know, Campus Partners is trying to gain some control of the subsidized housing in that area, and upgrade the services through the leadership of Dean David Andrews in the College of Human Ecology, in conjunction with our project. We would hope, within a year or so, to have an early education center in the Weinland Park area that serves up to 150 youngsters, from toddlers through pre-school.

I'm working with Ron St. Pierre, who heads up the health and safety part of our outreach project, to create a student clinic in that area. This is where students from the various health and medical colleges, particularly, can help with screening and education. We want families, caregivers, and children in these areas to have a place to go that is linked both to an elementary school and to an early education center.

None of these things that we have started to do have been done without making sure that they are sustainable, that they're going to be assessed, and that we find ways to replicate them if indeed they are successful.

Emerging P-12 initiatives more at the state-level are an early literacy educational leadership. As you know, we have the Ohio Principals Leadership Academy at Ohio State. We also have the Ohio First-Year Principals Intern program in conjunction with that. We desperately need a stronger presence in educational policy. The number of legislative initiatives and programs and projects out of OBR and ODE in the Governor's Office happened without sufficient policy analysis prior to creating the legislation and the programs are quite astounding. It seems absolutely logical that The Ohio State University should be able to assist at the state-level in helping to do policy analysis and form policy and programs.

As I said, we're also working on teacher development, and math and science education. The early education and literacy one is especially important to me. I received a lot of flack around here for insisting that this be called P-12. The nation's first goal is to have every child ready to learn when they enter kindergarten. It is the goal in my mind that the nation is furthest from achieving, and to achieve it one has to get into the early education arena. That's a largely unregulated arena and it is very messy out there. But the notion that we have to get into early education and literacy seems to me to be fundamental.

I'm very hopeful that we are in the final stages of bringing to Ohio State for the next year or two, part of a project that started in the Governor's Office with the Ohio Families and Children First. It has now migrated over into the Ohio Department of Education, into their Early Education Academy. This will help to integrate the early childhood care education and literacy programs for the State of Ohio, and link them in a more integrated way for the human and economic development of the state and it will help policy development at the state-level in the area of early education and literacy.

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Siedentop: (contd)

So those are some of the things we've been about. We are not very old, and the funding from the Provost and the President has been quite generous for the next year and their commitment for the several years after that. We have every expectation that we will be able to be successful in raising external funds to support our work.

Dr. Moser:

Daryl, thank you very much. I really appreciate the leadership that Daryl's providing in this area. We were looking for someone that not only had the content and knowledge, but also had the reputation and leadership skills to move a project such as this along. Daryl is doing that in a very fine way.

Let me just summarize by saying that we're very excited about the opportunities for outreach and engagement for this University. We appreciate very much the leadership that the Board and the administration have provided in setting this as a high priority and as a part of the Academic Plan. I believe we can meet Brad Moore's challenge of becoming the knowledge provider to the world. Another way that I like to state it is that outreach and engagement at Ohio State University brings knowledge to life. We not only develop and discover knowledge, we also bring it to life and we get it into the hands of the user of that knowledge. That is our ultimate goal. Our bottom line is, we want to enhance and be a part of enhancing the quality of life for the people of this state, this nation, and this world. Thank you, Mr. Chairman.

Mr. Brennan:

Thank you, Dr. Moser. Are there any questions of the Trustees of either of the panel participants. Dan --

Mr. Slane:

As you know, we pumped \$25 million into Campus Partners, and in my opinion we cannot succeed unless we can solve the school problem there. It's been very discouraging, because the Columbus Public Schools cannot solve it. So what you are doing is so critical and we're so hopeful that we will get this done. I think it can become a model for the rest of the nation.

Dr. Siedentop:

We have high expectations, but also understand the difficulties involved. It's so important for us to gain the trust of the Columbus Public Schools in a way that we can be a full-partner with them. That is going to take time. President Kirwan and I went to the Board of Education this spring and presented our Learning Bridge project to them. I know that Stephanie Hightower wanted it done, but was anxious about the outcome of it. And glory be, it turned into a love fest and all the members of the board were tremendously supportive of it. So I think we've made the important first step to gain the trust of the leadership, and we have made the equally important step to gain the trust of the people on the front lines -- the principals and teachers in those schools.

Mr. Brennan:

My question may address that last point. Realizing the political problems at least at public schools with the charter schools, have you looked at how charter schools are dealing with these same populations?

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Siedentop:

We certainly have assets in the University that have done research and focused on the charter school movement. Our initial foray into the Columbus Public Schools and our neighborhoods has been, "We're here to assist you in achieving your goals." We have frankly avoided at all costs any kind of sense that we're there with the answers. We think we do have some answers, but we have to wait until we've got a full partnership developed so that the answers can come collaboratively from us and them working together.

We're very pleased, as I know Dr. Kirwan was, that Jean Harris, the new superintendent of Columbus Public Schools, requested a meeting with him. One of the things she suggested was that Ohio State and the Columbus Public Schools should have a high-level strategic plan to work together and get out of this mode of ad hoc improvements in education.

Mr. Brennan:

My question was more addressed to the fact that a lot of experimentation is going on in charter schools. You have several in Columbus dealing with these populations in different ways. I think it would be useful for your committee to become aware of what they're doing. It was more for your information.

Dr. Siedentop:

Yes, I'm aware of several of them. Jim Gordon, director of the Millennium School, is a former doctoral student of mine. I've been particularly aware about the developments in that, which is one of the more successful schools, to say the least. I think you know him, also, Chairman Brennan.

Mr. Brennan:

I do indeed. I was just curious if you've visited the school and seen what they're doing.

Dr. Siedentop:

Yes, I have.

Mr. Brennan:

I think your staff should, too. The big problem is that no one likes to be told what to do and reform within public education for too many years has been resistant to that, and the price we're paying is too high.

I recognize the political reality of forming a partnership, but the fact remains alternatives are available for those problems that I hope you'll be made sufficiently aware of to consider as well.

Dr. Siedentop:

Yes.

Mr. Brennan:

The only solution in Campus Partners is not the Columbus Public Schools. There are other solutions.

OUTREACH AND ENGAGEMENT PRESENTATION (contd)

Dr. Siedentop:

Thank you.

Mr. Brennan:

Thank you. Any other questions? Karen --

Ms. Hendricks:

Are you familiar with Project GRAD?

Dr. Siedentop:

Yes. I've had several meetings with the leadership of Project GRAD and we have a meeting planned with Dr. Kirwan, Provost Ray, and the leadership of Project GRAD, including Ms. Shackelford, sometime in early autumn. Two of the GRAD schools in the Linden McKinley feeder system are part of our neighborhood initiative.

Ms. Hendricks:

That was my next question.

Dr. Siedentop:

We've made that connection and are trying to work through this internal reform effort in Columbus. They plan to have a second feeder system start this year. The tealeaves seem to me to be easy to read that Project GRAD is the model that Columbus wants to replicate and spread within their district. So, yes, we have been in contact with them.

Mr. Brennan:

Any other questions? Thank you very much.

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CONSENT AGENDA

President William E. Kirwan

We have 7 resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend them to the Board:

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2001-2002

Resolution No. 2002-20

BE IT RESOLVED, That the appointments to Committees and representatives to various Boards for 2001-2002 be amended as follows:

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2001-2002 (contd)

Student & Educational Affairs Committee:

Robert M. Duncan, Chair
Tami Longaberger, Vice Chair
Daniel M. Slane
Kevin R. Filiatraut
Joseph A. Shultz
Student Advising Ad Hoc
Committee:
Tami Longaberger, Chair
Robert M. Duncan, Vice Chair
Joseph A. Shultz
Employee Education Ad Hoc
Committee:
James F. Patterson, Chair
Dimon R. McFerson, Vice Chair
Jo Ann Davidson

Fiscal Affairs Committee:

James F. Patterson, Chair
Karen L. Hendricks, Vice Chair
Dimon R. McFerson
Zuheir Sofia
Jo Ann Davidson
Planning Ad Hoc Committee:
Karen Hendricks, Chair
Dimon R. McFerson, Vice Chair
Athletics Ad Hoc Committee:
Daniel M. Slane, Chair
Karen L. Hendricks, Vice Chair
James F. Patterson

Investments Committee:

Zuheir Sofia, Chair
Karen L. Hendricks, Vice Chair
Robert M. Duncan
Jo Ann Davidson
John Gerlach, Jr. (Foundation Board)
Ex Officio
William Ingram III (Foundation Board)
Ex Officio

Agricultural Affairs Committee:

James F. Patterson, Chair
Fred L. Dailey, Vice Chair, Ex Officio
Tami Longaberger
Dimon R. McFerson
Joseph A. Shultz

University Hospitals Board:

Zuheir Sofia
Daniel M. Slane

Campus Partners Board:

~~Dimon R. McFerson~~ JO ANN DAVIDSON

Research Foundation Board of Directors:

Karen L. Hendricks

Outreach & Engagement Committee:

Tami Longaberger, Chair
Daniel M. Slane, Vice Chair
Karen L. Hendricks
Kevin R. Filiatraut
Dan Heinlen, Ex Officio
Distance Learning Ad Hoc Committee:
Daniel M. Slane, Chair
Tami Longaberger, Vice Chair
Zuheir Sofia
Kevin R. Filiatraut
Ted Celeste, Ex Officio

Government Relations Committee:

David L. Brennan, Chair
James F. Patterson, Vice Chair
Daniel M. Slane
Robert M. Duncan
Jo Ann Davidson
Michael F. Colley, Ex Officio
William Blair, Ex Officio
Stan Aronoff, Ex Officio

Affiliated Entities Committee:

Dimon R. McFerson, Chair
Robert M. Duncan, Vice Chair
Karen L. Hendricks
Kevin R. Filiatraut

Personnel Committee:

David L. Brennan, Chair
James F. Patterson, Vice Chair
Zuheir Sofia

Regional Campus Boards:

Lima – Jo Ann Davidson
Mansfield - James F. Patterson
Marion - Dimon R. McFerson
Newark - Daniel M. Slane

**The Arthur G. James Cancer Hospital
and Solove Research Institute Board:**

Zuheir Sofia, Chair
Daniel M. Slane

**Science and Technology Campus
Board of Directors:**

Robert M. Duncan

**University Managed Health Care
System, Inc. Board of Directors:**

Robert M. Duncan

AMENDMENTS TO COMMITTEE APPOINTMENTS FOR 2001-2002 (contd)

University Foundation Ex Officio

Class of Directors:

James F. Patterson (1 year)
Tami Longaberger (2 years)
Zuheir Sofia (3 years)

Wexner Center Foundation Board:

Leslie H. Wexner (2002)
Jo Ann Davidson

OHIO STATE UNIVERSITY AFFILIATES, INC.:

DAVID L. BRENNAN (1 YEAR)
JAMES F. PATTERSON (2 YEARS)
ZUHEIR SOFIA (3 YEARS)

DEGREES AND CERTIFICATES – SUMMER QUARTER COMMENCEMENT

Resolution No. 2002-21

Synopsis: Approval of Degrees and Certificates for Summer Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on August 30, 2001, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2002-22

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the June 29, 2001 meeting of the Board, including the following Appointments/Reappointments, Appointments/Reappointments of Chairpersons and Director, Extension of Term – Principal Administrative Officials, Reappointment of Principal Administrative Official, Leaves of Absence Without Salary, Professional Improvement Leaves, Emeritus Titles, and Corrections to Promotions/Tenure/ Reappointments, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments approved June 28, 2001, by The Ohio State University Hospitals Board, and the Medical Staff Appointments approved July 17, 2001, by The James Cancer Hospital Board, be ratified.

PERSONNEL ACTIONS (contd)

Appointments

Name: CAROLE A. ANDERSON
Title: Vice Provost for Academic Administration
Office: Academic Affairs
Term: September 1, 2001 through June 30, 2003
Present Position: Dean, College of Nursing

Name: KAREN A. BELL
Title: Interim Dean
College: Arts
Term: August 1, 2001 through June 30, 2002
Present Position: Chairperson, Department of Dance

Name: JOSHUA DRESSLER
Title: Professor (The Edwin M. Cooperman Designated Professorship in Law)
College: Michael E. Moritz College of Law
Effective: August 29, 2001
Present Position: Distinguished Professor and Scholar, McGeorge School of Law,
University of the Pacific

Name: EVONNE K. HALASEK
Title: Associate Provost for Honors and Scholars
Office: Academic Affairs
Term: September 1, 2001 through June 30, 2005
Present Position: Associate Professor, Department of English

Name: JAN E. KRONMILLER
Title: Dean
College: Dentistry
Term: September 19, 2001 through June 30, 2006
Present Position: Chair and Professor, Department of Orthodontics, School of Dentistry,
Oregon Health Sciences University

Name: JOSEPH MURPHY
Title: Professor (The William Ray and Marie Adamson Flesher Professorship
In Educational Administration)
School: Educational Policy and Leadership
Term: July 1, 2001 through June 30, 2006
Concurrent Position: President, Ohio Principal's Leadership Academy

Name: RUSSELL M. PITZER
Title: Interim Director
Center: Ohio Supercomputer Center
Term: July 1, 2001 through June 30, 2002
Present Position: Professor, Department of Chemistry

Name: ANTHONY B. SANDERS
Title: Professor (The John W. Galbreath Chair in Real Estate)
College: Fisher College of Business
Term: October 1, 2001 through September 30, 2006
Present Position: Professor, Department of Finance

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: DANIEL D. SEDMAK
Title: Associate Vice President for Health Sciences Education and Vice Dean for Education
Office/College: Health Sciences/Medicine and Public Health
Effective: August 1, 2001
Present Position: Interim Associate Vice President for Health Sciences Education and Vice Dean for Education

Name: WILLIAM M. SHERMAN
Title: Interim Director
Center/College: Education and Training for Employment/College of Education
Term: July 1, 2001, through June 30, 2002
Present Position: Director, School of Physical Activity and Educational Services

Reappointments

Name: MARVIN T. BATTE
Title: Professor (The Fred N. VanBuren Professorship in Farm Management)
Department: Agricultural, Environmental, and Development Economics
Term: July 1, 2001 through June 30, 2006

Name: ROBERT E. MICHLER
Title: Professor (The Karl P. Klassen Chair of Thoracic Surgery)
Division/Department: Cardio-Thoracic Surgery/Surgery
Term: July 1, 2001 through June 30, 2005

Name: THOMAS L. SPORLEDER
Title: Professor (The Farm Income Enhancement Endowed Chair in Agricultural Policy, Trade and Marketing)
Department: Agricultural, Environmental, and Development Economics
Term: July 1, 2001, through June 30, 2006

Appointment of Chairpersons

July 1, 2001 through December 31, 2001

Human and Community Resource
Development

Donald W. Thomas**

July 1, 2001 through June 30, 2005

Civil and Environmental Engineering
and Geodetic Science

Oliver G. McGee III

August 1, 2001 through June 30, 2002

Dance

Michael K. Bruce*

January 1, 2002 through June 30, 2005

Human and Community Resource
Development

Robert J. Birkenholz

*Acting

**Interim

PERSONNEL ACTIONS (contd)

Reappointment of Chairpersons and Director

July 1, 2001 through June 30, 2002

Pharmacology
Psychiatry

Andrej Rotter**
Radu V. Saveanu**

October 1, 2001 through September 30, 2005

Melton Center for Jewish Studies

Tamar Rudavsky

*Acting

**Interim

Extension of Term – Principal Administrative Officials

HENRY W. FIELDS, Dean, College of Dentistry, effective July 1, 2001 through September 18, 2001.

SHERRI M. GELDIN, Director, Wexner Center for the Arts, effective July 1, 2001 through December 31, 2001.

VIOLET I. MEEK, Dean/Director, Lima Campus, effective July 1, 2002 through June 30, 2003.

JOHN O. RIEDL, Dean/Director, Mansfield Campus, effective July 1, 2002 through June 30, 2003.

Reappointment of Principal Administrative Official

DAVID E. SCHULLER, Director, The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, effective July 1, 1999 through June 30, 2003.

Leaves of Absence Without Salary

TATSURO ICHIISHI, Professor, Department of Economics, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a visiting position at the Graduate School of Economics, Hitotsubashi University, Tokyo, Japan.

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to serve as a Senior Economist in the Economic Department, Ministry of Planning, in Kuwait.

LEIGH GILMORE, Associate Professor, Department of English, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept an appointment as Resident Scholar at the Center for Cultural Studies at the University of California, Santa Cruz.

SUSAN I. KENT, Associate Professor, School of Teaching and Learning (Newark Campus), effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, for personal reasons.

CAROLINE BICKS, Assistant Professor, Department of English, effective Winter Quarter and Spring Quarter 2002, for personal reasons.

ROSE M. HARRIS, Assistant Professor, Department of Women's Studies, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a post-doctoral appointment at the University of Illinois -- Urbana Campus, in African American Studies.

PERSONNEL ACTIONS (contd)

Leave of Absence Without Salary -- Continuation

RAJ JAIN, Professor, Department of Computer and Information Science, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to continue working with the startup company Nayna Networks, Inc., San Jose, California.

Professional Improvement Leaves

BUNNY C. CLARK, Distinguished University Professor, Department of Physics, effective Winter Quarter, Spring Quarter and Autumn Quarter 2002.

MELVIN L. MOESCHBERGER, Professor, School of Public Health, effective Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003.

DAVID L. HAURY, Associate Professor, School of Teaching and Learning, effective Autumn Quarter 2001.

JUDITH A. SCHWARTZBAUM, Associate Professor, School of Public Health, effective Spring Quarter and Summer Quarter 2002.

Professional Improvement Leave -- Change in Dates

GARY STEIGMAN, Professor, Department of Physics, change leave from Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003.

Professional Improvement Leave -- Continuation

JO M. JONES, Associate Professor, Ohio State University Extension and Department of Human and Community Resource Development, effective June 13, 2001, through July 31, 2001.

Emeritus Titles

JOHN D. BOSSLER, Department of Civil and Environmental Engineering and Geodetic Science, with the title Professor Emeritus, effective September 1, 2001.

JAMES S. GALLAGHER, School of Music, with the title Professor Emeritus, effective September 1, 2001.

CHARLES JOHNSTON, Department of Animal Sciences, with the title Professor Emeritus, effective October 1, 2001.

GERALD M. REAGAN, School of Educational Policy and Leadership, with the title Professor Emeritus, effective September 1, 2001.

FRANK L. RICHARDSON, Department of History of Art, with the title Professor Emeritus, effective September 1, 2001.

ANN K. BLOMBACH, School of Music, with the title Associate Professor Emeritus, effective September 1, 2001.

THOMAS W. TOWNSEND, School of Natural Resources, with the title Associate Professor Emeritus, effective October 1, 2001.

DALE J. BLACKLEDGE, Ohio State University Extension, with the title Assistant Professor Emeritus, effective September 1, 2001.

PERSONNEL ACTIONS (contd)

Emeritus Titles (contd)

REGINA ANN BROWN, University Libraries, with the title Assistant Professor Emeritus, effective September 1, 2001.

PATRICIA J. LONG, Ohio State University Extension, with the title Assistant Professor Emeritus, effective September 1, 2001.

JAMES N. BAIRD, Jr., Department of Obstetrics and Gynecology, with the title Clinical Associate Professor Emeritus, effective September 1, 2001.

Corrections and Additions to Promotions, Tenure, and Reappointment

COLLEGE OF PHARMACY

PROMOTION TO PROFESSOR

M. Guillaume Wientjes – change effective date from 7/1/01 to 10/1/2001

COLLEGE OF SOCIAL AND BEHAVIORAL SCIENCES

PROMOTION TO ASSOCIATE PROFESSOR WITH TENURE

Bruce A. Weinberg, Economics - effective 10/1/2001, change from Psychology to Economics

COLLEGE OF VETERINARY MEDICINE

REGULAR CLINICAL

REAPPOINTMENT

William G. Queen, Veterinary Clinical Sciences - effective retroactive to 7/1/2001

Medical Staff Appointments (The Ohio State University Medical Center)

June 2001

Nancy Alkire, M.D., Anesthesiology, PGY-2 Limited, 05/24/2001-06/30/2003
Stacy P. Ardoin, M.D., Internal Medicine/Pediatrics, Attending, 07/01/2001-06/30/2003
Mindy A. Banks, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Susan M. Bejciy-Spring, C.N.S., General Surgery, Licensed Healthcare Professional, 06/13/2001-06/30/2003
Hillary B. Boswell, M.D., Obstetrics & Gynecology, PGY-1 Limited, 07/01/2001-06/30/2003
Vincent E. Brinkman, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
John C. Byrd, M.D., Hematology/Oncology, Attending, 07/01/2001-06/30/2003
Laurie M. Chevalier, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Richard C. Chou, M.D., Ph.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Nina K. Edwards, M.D., Surgery/Transplant, PGY-3 Limited (Rotating), 04/01/2001-06/30/2001
Michael E. Ezzie, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Richard B. Fries, M.D., Obstetrics & Gynecology, PGY-1 Limited, 07/01/2001-06/30/2003
Shefali A. Gandhi, M.D., Obstetrics & Gynecology, PGY-1 Limited, 07/01/2001-06/30/2003
Michael B. Green, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Benjamin J. Hackett, M.D., Orthopedic Surgery, PGY-1 Limited, 07/01/2001-06/30/2003
Bradley R. Harrold, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Melissa J. Harrold, M.D., Internal Medicine/Pediatrics, PGY-1 Limited, 07/01/2001-06/30/2003
William J. Janssen, M.D., General Medicine, Attending, 07/01/2001-06/30/2003
Stephen E. Kirkby, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Andrew J. Kostraba, M.D., Internal Medicine/Pediatrics, PGY-1 Limited, 07/01/2001-06/30/2003
Mollie K. Kreider, M.D., Obstetrics & Gynecology, PGY-1 Limited, 07/01/2001-06/30/2003
Justin K. Krueger, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Marcia Ann Kuhn, M.D., General Surgery, PGY-1 Limited-07/01/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

June 2001 (contd)

David J. Marquardt, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2002
Randall Scott Orr, M.D., General Psychiatry, PGY-1 Limited, 07/01/2001-06/30/2002
Jessica R. Philpott, M.D., Ph.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2002
Mona R. Prasad, D.O., M.P.H., Obstetrics & Gynecology, PGY-1 Limited, 07/01/2001-06/30/2002
Primrose A. Sedmak, M.D., General Medicine, Community Affiliate Physician, 07/01/2001-06/30/2002
Scott G. Shipley, M.D., Otolaryngology, PGY-1 Limited, 07/01/2001-06/30/2002
Carrie R. Soder, M.D., Obstetrics & Gynecology, PGY-1 Limited, 07/01/2001-06/30/2002
Stephen R. Stanley, D.O., Obstetrics & Gynecology, PGY-2 Limited (Rotating), 03/31/2001-06/30/2002
Christa R. Tokarsky, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2002
Timothy J. Vollbrecht, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2002
Jon P. Walker, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2002
Alvin G. Wee, D.D.S., Oral/Maxillofacial Surgery, Attending, 05/24/2001-06/30/2002
Jeremy D. Young, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2002
Jill E. Young, M.D., General Medicine, PGY-1 Limited, 07/01/2001-06/30/2002
Emily J. Yu, M.D., Physical Medicine & Rehabilitation, Courtesy, 07/01/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Medical Center)

Reappointment Period: 07/01/2001 – 06/30/2003

Leslie J. Acakpo-Satchivi, M.D., Surgery, PGY3 Limited
John L. Adams, M.D., Pediatrics, Courtesy
Charlotte M. Agnone, M.D., Ophthalmology, Courtesy
Muhammad I. Akhtar, M.B.B.S., Neurology, PGY4 Limited
Naeem A. Ali, M.D., Internal Medicine, Attending
Hugh D. Allen, M.D., Pediatrics, Courtesy
Mary E. Alton, M.D., Internal Medicine, Attending
Rannie B. Amiri, M.D., Internal Medicine, Community Affiliate Physician
Douglas R. Anderson, D.D.S., Dentistry, Attending
Aimee R. Andrews, M.D., Pediatrics, Fellowship 2nd Year
Dimitrios C. Angouras, M.D., Surgery, Fellowship 2nd Year
Diana J. Angus, C.R.N.P., Internal Medicine, Licensed Healthcare Professional
Marjorie J. Arca, M.D., Surgery, Attending
James A. Arnett, Ph.D., Physical Medicine and Rehabilitation, Attending/PhD
L. Eugene Arnold, M.D., Psychiatry, Attending
Mark W. Arnold, M.D., Surgery, Attending
Carol J. Ashman, M.D., Radiology, Attending
Glen F. Aukerman, M.D., Family Medicine, Attending
John C. Auseon, D.O., Internal Medicine, Courtesy
Belinda R. Avalos-Copelan, M.D., Internal Medicine, Attending
Leona B. Ayers, M.D., Pathology, Attending
Carl R. Backes, D.O., Pediatrics, Courtesy
Stephen K. Badolato, M.D., Family Medicine, PGY4 Limited
Abner H. Bagenstose, III, M.D., Internal Medicine, Courtesy
David P. Bahner, M.D., Emergency Medicine, Attending
Robert R. Bahnson, M.D., Surgery, Attending
Robert A. Baiocchi, Ph.D., M.D., Internal Medicine, PGY3 Limited
Michael A. Baird, M.D., Internal Medicine, Fellowship 2nd Year
Jennifer L. Baisden-O'Brien, C.N.P., Family Medicine, Licensed Healthcare Prof
Matthew B. Baker, M.D., Internal Medicine, PGY2 Limited
Norman D. Baker, M.D., Ophthalmology, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001 – 06/30/2003 (contd)

Adel A. Bakhsh, M.D., Surgery, PGY4 Limited
Steven P. Balaloski, M.D., Obstetrics and Gynecology, Courtesy
Alton J. Ball, M.D., Family Medicine, Courtesy
Richard A. Baltisberger, M.D., Pediatrics, Courtesy
Gregory W. Balturshot, M.D., Surgery, PGY4 Limited
Raymond E. Barker, M.D., Internal Medicine, Courtesy
Gregory A. Barrett, M.D., Pediatrics, Courtesy
William J. Barson, M.D., Pediatrics, Courtesy
Dennis W. Bartholomew, M.D., Pediatrics, Courtesy
Rosalind J. Batley, M.D., Physical Medicine and Rehabilitation, Courtesy
Constance J. Bauer, M.D., Radiology, Attending
Stephen R. Bauer, D.O., Pediatrics, Courtesy
Peter K. Bauer, M.D., Pathology, PGY3 Limited
Melissa A. Baujan, M.D., Radiology, Attending
Philip O. Bauman, M.D., Pediatrics, PGY3 Limited
Mark A. Bechtel, M.D., Internal Medicine, Courtesy
William J. Becker, D.O., Pathology, Attending
Kenneth A. Beckman, M.D., Ophthalmology, Courtesy
Bryan E. Beggin, D.O., Family Medicine, Attending
Sarah Arnold Behrens, M.D., Pediatrics, PGY3 Limited
David C. Bell, M.D., Obstetrics and Gynecology, Courtesy
Susan D. Bell, C.N.P., Surgery, Licensed Healthcare Professional
Costantino Benedetti, M.D., Anesthesiology, Attending
Susan C. Benes, M.D., Ophthalmology, Attending
Robert P. Bennett, M.D., Ophthalmology, Attending
William F. Bennett, M.D., Radiology, Attending
Martin F. Bertram, M.D., Physical Medicine and Rehabilitation, Courtesy
Gail E. Besner, M.D., Surgery, Attending
Christy Anna Beyer, M.D., Obstetrics and Gynecology, PGY3 Limited
Philip F. Binkley, M.D., Internal Medicine, Attending
Peter B. Baker, M.D., Pathology, Attending
Alan J. Block, D.P.M., Orthopaedic Surgery, Community Affiliate Physician
Michael L. Blumenfeld, M.D., Obstetrics and Gynecology, Attending
Misty L. Bogdahn-Wayman, M.D., Obstetrics and Gynecology, PGY2 Limited
Leo R. Boggs, M.D., Emergency Medicine, Attending
Ruben A. Bogin, M.D., Surgery, PGY2 Limited
Jennifer A. Bogner, Ph.D., Physical Medicine and Rehabilitation, Attending/Phd
Julie A. Boss, D.O., Ophthalmology, PGY3 Limited
Olga Boudoulas, M.D., Internal Medicine, Courtesy
Eric C. Bourekas, M.D., Radiology, Attending
James G. Bova, D.O., Radiology, Attending
Thomas Andrew Boyce, M.D., Pediatrics, PGY2 Limited
Kenneth A. Boyle, Jr., M.D., Ophthalmology, Attending
Michael T. Brady, M.D., Pediatrics, Courtesy
John A. Brem, D.P.M., Orthopaedic Surgery, PGY2 Limited
Priscilla A Bresler, M.D., Internal Medicine, Attending
Darrin L. Bright, M.D., Family Medicine, PGY4 Limited
Benjamin D. Bringardner, M.D., Internal Medicine, PGY2 Limited
David A. Brown, M.D., Surgery, Attending
Terry L. Brown, C.R.N.A., Anesthesiology, Licensed Healthcare Professional
Melinda A. Brown, M.D., Physical Medicine and Rehabilitation, PGY2 Limited
Jennifer S. Browning, C.N.P., Internal Medicine, Licensed Healthcare Professional
Robert A. Bruce, Jr., M.D., Ophthalmology, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001 – 06/30/2003 (contd)

Judy Sue Brummer, M.D., Emergency Medicine, PGY3 Limited
Christopher R. Bruno, M.D., Ophthalmology, PGY4 Limited
Donald K. Bryan, M.D., Obstetrics and Gynecology, Courtesy
Brentley A. Buchele, M.D., Surgery, Attending
Michael A. Burgin, M.D., Internal Medicine, Attending
Stephen J. Burgun, M.D., Internal Medicine, Attending
John A. Burns, M.D., Ophthalmology, Attending
Meleana Jane Burt, C.N.P., Surgery, Licensed Healthcare Professional
Charles A. Bush, M.D., Internal Medicine, Attending
James H. Caldwell, M.D., Internal Medicine, Attending
Patricia B. Caldwell, M.D., Internal Medicine, Attending
Michael A. Caligiuri, M.D., Internal Medicine, Attending
Diego R. Camacho, M.D., Surgery, PGY3 Limited
Denise M. Cambier, M.D., Neurology, Attending
Renee M. Caputo, M.D., Obstetrics and Gynecology, Courtesy
Richard D. Carr, M.D., Internal Medicine, Honorary with privileges
William E. Carson, III, M.D., Surgery, Attending
Steven C. Cassidy, M.D., Pediatrics, Courtesy
David Castellano, M.D., Ophthalmology, Attending
Samuel Cataland, M.D., Internal Medicine, Attending
Robert B. Chambers, D.O., Ophthalmology, Attending
Jeff D. Chancellor, M.D., Obstetrics and Gynecology, PGY4 Limited
Christina L. Charles-Beery, M.D., Obstetrics and Gynecology, PGY3 Limited
Margaret A. Chase, M.D., Internal Medicine, PGY2 Limited
Feng Chen, M.D., Internal Medicine, Community Affiliate Physician
Bart A. Chess, M.D., Surgery, PGY6 Limited
George M. Chioran, M.D., Ophthalmology, Attending
Vijay Kumar Chitkara, M.D., Pediatrics, Courtesy
Louis J. Chorich, III, M.D., Ophthalmology, Attending
Anthimos John Christoforidis, M.D., Radiology, Attending
Greg A. Christoforidis, M.D., Radiology, Attending
Adina M. Cioc, M.D., Pathology, PGY3 Limited
Albert C. Clairmont, M.D., Physical Medicine and Rehabilitation, Attending
Arthur L. Clark, M.D., Pediatrics, Courtesy
Jeffrey A. Clark, M.D., Anesthesiology, PGY3 Limited
Scott A. Clark, M.D., Internal Medicine, Fellowship 2nd Year
Kathryn P. Clausen, M.D., Pathology, Honorary without privileges
Thomas J. Clinch, M.D., Ophthalmology, PGY3 Limited
Daniel M. Clinchot, M.D., Physical Medicine and Rehabilitation, Attending
Steven K. Clinton, M.D., Ph.D., Internal Medicine, Attending
Thomas H. Coleman, M.D., Internal Medicine, Courtesy
Michael P. Collins, M.D., Neurology, Attending
David L. Condon, M.D., Internal Medicine, Community Affiliate Physician
Kelly L. Conklin, D.O., Internal Medicine, Community Affiliate Physician
Charles H. Cook, M.D., Surgery, Attending
Elizabeth A. Cook, Ph.D., Physical Medicine and Rehabilitation, Attending/Phd
Paul A. Cook, M.D., Orthopaedic Surgery, Attending
Stephanie C. Cook, D.O., Emergency Medicine, Attending
Glen E. Cooke, M.D., Internal Medicine, Attending
Christopher M. Copeland, M.D., Obstetrics and Gynecology, Courtesy
Edward A. Copelan, M.D., Internal Medicine, Attending
William E. Copeland, Jr., M.D., Obstetrics and Gynecology, Courtesy
Leandro Cordero, M.D., Pediatrics, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001 – 06/30/2003 (contd)

Kimberly D. Cordes, M.D., Emergency Medicine, PGY2 Limited
Jeanne L. Cortez, M.D., Internal Medicine, PGY2 Limited
Fernando Garcia Cosio, M.D., Internal Medicine, Attending
Daniel L. Coury, M.D., Pediatrics, Courtesy
Elson L. Craig, M.D., Ophthalmology, Attending
Theresa Lynn Craig, C.R.N.A., Anesthesiology, Licensed Healthcare Professional
Robert S. Crane, M.D., Family Medicine, Attending
Holly R. Cronau, M.D., Family Medicine, Attending
Ranie H. Cropper, C.N.M., Obstetrics and Gynecology, Licensed Healthcare Prof
David R. Crotzer, M.D., Obstetrics and Gynecology, PGY4 Limited
Elliott D. Crouser, M.D., Internal Medicine, Attending
Sangeeta J. Crouser, M.D., Obstetrics and Gynecology, Courtesy
Robert R. Crowell, M.D., Orthopaedic Surgery, Attending
Clifford L. Cua, M.D., Pediatrics, Fellowship 1st Year
Karekin R. Cunningham, M.D., Surgery, PGY2 Limited
Michael F. Cunningham, M.D., Surgery, Attending
Camilla Curren, M.D., Pediatrics, Community Affiliate Physician
Louise W. Curtis, C.N.P., Family Medicine, Licensed Healthcare Professional
David Earl Dalton, M.D., Emergency Medicine, PGY3 Limited
Matthew E. Dangel, M.D., Ophthalmology, Attending
Charles M. Daniel, M.D., Internal Medicine, Attending
Marcella Dardani, D.O., Radiology, Attending
Elliot Davidoff, M.D., Ophthalmology, Courtesy
Frederick H. Davidorf, M.D., Ophthalmology, Attending
John Terrance Davis, M.D., Surgery, Attending
Brian Lynn Davison, M.D., Orthopaedic Surgery, Community Affiliate Physician
Lawrence E. Daykin, M.D., Pediatrics, PGY2 Limited
Jess J. DeMaria, M.D., Internal Medicine, Courtesy
Constantina S. Demou, D.P.M., Orthopaedic Surgery, PGY2 Limited
Michael J. DePalma, M.D., Physical Medicine and Rehabilitation, PGY3 Limited
Lawrence A. De Renne, M.D., Pathology, Attending
Robert J. Derick, M.D., Ophthalmology, Attending
Hiwot B. Desta, M.D., Internal Medicine, Fellowship 1st Year
Elizabeth A. Diakoff, M.D., Internal Medicine, PGY2 Limited
Donna T. Diaz, M.D., Obstetrics and Gynecology, Courtesy
Salvatore Di Carlo, M.D., Pediatrics, Courtesy
Michael R. Dick, M.D., Emergency Medicine, Attending
Fredrick M. Dillard, D.M.D., Dentistry, PGY3 Limited
Douglas J. DiOrio, M.D., Family Medicine, Attending
Edward E. Dodson, M.D., Otolaryngology, Attending
Kent W. Doherty, D.O., Pediatrics, Courtesy
Roy L. Donnerberg, M.D., Internal Medicine, Honorary without privileges
Dennis M. Doody, M.D., Pediatrics, Courtesy
Brian K. Dorner, M.D., Surgery, PGY5 Limited
Michelle L. Duffey, M.D., Pediatrics, PGY3 Limited
Pamela A. Dull, M.D., Family Medicine, Attending
Lisa R. Dunn- Albusese, M.D., Obstetrics and Gynecology, Fellow 3rd Year
Antoinette P. Eaton, M.D., Pediatrics, Honorary with privileges
Peter H. Edwards, Jr., M.D., Orthopaedic Surgery, Attending
Emile N. El-Shammaa, M.D., Emergency Medicine, Attending
Moustafa H. El-Ghareeb, D.D.S., Dentistry, PGY3 Limited
Elmahdi A. Elkhammas, M.D., Surgery, Attending
Alice T. Epiropoulos, M.D., Ophthalmology, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001 – 06/30/2003 (contd)

Avrom D. Epstein, M.D., Neurology, Courtesy
Allison Joy Esenwine, M.D., Emergency Medicine, PGY3 Limited
Garth F. Essig, M.D., Obstetrics and Gynecology, Attending
Herbert R. Estis, Jr., M.D., Family Medicine, Courtesy
Elizabeth D. Euscher, M.D., Pathology, PGY4 Limited
John E. Evans, M.D., Pediatrics, PGY2 Limited
Karen C. Evans, M.D., Physical Medicine and Rehabilitation, PGY4 Limited
Thomas W. Evans, M.D., Dentistry, Attending
Matthew C. Exline, M.D., Internal Medicine, PGY2 Limited
Renata B. Fabia, M.D., Ph.D., Surgery, PGY4 Limited
Patrick J. Fahey, M.D., Family Medicine, Attending
Ruairi J. Fahy, M.D., Internal Medicine, Attending
Michael E. Falkenhain, M.D., Internal Medicine, Attending
Sherif S. Farag, M.D., Ph.D., Internal Medicine, Attending
William B. Farrar, M.D., Surgery, Attending
Robert J. Fass, M.D., Internal Medicine, Attending
Melissa K. Fenner, M.D., Emergency Medicine, PGY3 Limited
Eustace S. Fernandes, M.D., Internal Medicine, PGY3 Limited
Henry W. Fields, D.D.S., Dentistry, Attending
Brett R. Forehand, M.D., Emergency Medicine, PGY2 Limited
Lisa G. Forehand, M.D., Internal Medicine, PGY3 Limited
Lowell Arick Forrest, M.D., Otolaryngology, Attending
Jill A. Foster, M.D., Ophthalmology, Attending
Charity C. Fox, M.D., Internal Medicine, Attending
Nancy L. Franke, C.N.S., Internal Medicine, Licensed Healthcare Professional
Richard A. Freeland, M.D., Psychiatry, Attending
Donald L. Freidenberg, D.O., Neurology, Courtesy
Janet A. Freno, M.D., Pediatrics, Courtesy
David J. Frid, M.D., Internal Medicine, Attending
Barry M. Friedman, M.D., Internal Medicine, Attending
Norman Mark Friedman, M.D., Neurology, PGY4 Limited
Roger A. Friedman, M.D., Internal Medicine, Courtesy
Lisa P. Fugate, M.D., Physical Medicine and Rehabilitation, Attending
David T. Fujiwara, M.D., Emergency Medicine, PGY2 Limited
John V. Gaeuman, M.D., Internal Medicine, Attending
Reinhard A. Gahbauer, M.D., Radiology, Attending
Gloria M. Galloway, M.D., Pediatrics, Attending
Robert W. Galloway, M.D., Radiology, PGY2 Limited
Pablo Alejandro Gamboa, M.D., Radiology, Attending
Angela B. Gantt, M.D., Obstetrics and Gynecology, PGY4 Limited
Steven I. Ganzberg, D.M.D., Anesthesiology, Attending
Walton R. Garner, M.D., Family Medicine, Community Affiliate Physician
Mark A. Gerhardt, M.D., Ph.D., Anesthesiology, Attending
Alfred L. Gest, M.D., Pediatrics, Attending
Mimi Ananya Ghosh, M.D., Family Medicine, Community Affiliate Physician
Michael R. Gigax, M.D., Surgery, PGY3 Limited
Christopher M. Godell, M.D., Internal Medicine, PGY3 Limited
Scott G. Goin, M.D., Orthopaedic Surgery, PGY3 Limited
Melissa M. Goist, M.D., Obstetrics and Gynecology, PGY2 Limited
Jill S. Goldberg-Arnold, Ph.D., Psychiatry, Attending/PhD
Andrew H. Goldstein, M.D., Surgery, Attending
John F Goodhart, PA-C, Surgery, Licensed Healthcare Professional
Gayle M. Gordillo, M.D., Surgery, Attending
Rodney C. Graber, M.D., Internal Medicine, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001 – 06/30/2003 (contd)

Andrew V. Grainger, M.D., Internal Medicine, Fellowship 2nd Year
Anna Christine Gray, M.D., Pediatrics, PGY2 Limited
Linda S. Gray, M.D., Internal Medicine, Attending
Carol J. Greco, M.D., Obstetrics and Gynecology, Courtesy
Damian J. Green, M.D., Internal Medicine, PGY2 Limited
Michael R. Grever, M.D., Internal Medicine, Attending
Kenneth James Griffiths, M.D., Internal Medicine, Attending
David Keith Groen, M.D., Family Medicine, Community Affiliate Physician
Arpita Gupta, M.D., Pediatrics, PGY3 Limited
Piyush Gupta, M.B.B.S., Internal Medicine, PGY1 Limited
David N. Hackney, M.D., Obstetrics and Gynecology, PGY2 Limited
Kevin V. Hackshaw, M.D., Internal Medicine, Attending
Dawn S. Hackshaw, M.D., Pediatrics, Courtesy
Mona Y. Halim-Armanios, M.D., Anesthesiology, Attending
James F Hamilton, M.D., Internal Medicine, Courtesy
Ayser C. Hamoudi, M.D., Family Medicine, Attending
Adam J. Hanje, M.D., Internal Medicine, PGY2 Limited
Lisa G. Hanna, M.D., Internal Medicine, PGY4 Limited
Thomas N. Hansen, M.D., Pediatrics, Attending
Susan M. Harding, C.N.M., Obstetrics and Gynecology, Licensed Healthcare Prof
Ronald L. Harter, M.D., Anesthesiology, Attending
David William Hauswirth, M.D., Internal Medicine, PGY3 Limited
Veronica D. Haverick, C.R.N.A., Anesthesiology, Licensed Healthcare Professional
Dean W. Hearne, M.D., Internal Medicine, Attending
Lee A. Hebert, M.D., Internal Medicine, Attending
John S. Heintz, M.D., Internal Medicine, Courtesy
Brandy L. Helminiak, M.D., Emergency Medicine, PGY3 Limited
Bruce Liam Hennessy, M.D., Internal Medicine, PGY3 Limited
Jonathon C. Henry, M.D., Orthopaedic Surgery, Community Affiliate Physician
Mitchell L. Henry, M.D., Surgery, Attending
Gail E. Herman, M.D., Pediatrics, Courtesy
Adam B. Hessel, M.D., Internal Medicine, Attending
Geri D. Hewitt, M.D., Obstetrics and Gynecology, Attending
Brian C. Hiestand, M.D., Emergency Medicine, PGY3 Limited
Jacqueline Hinsley Morrison, LISW, Ph.D., Psychiatry, Attending/Phd
Charles L. Hitchcock, M.D., Ph.D., Pathology, Attending
Carolyn S. Hixson, M.D., Obstetrics and Gynecology, Courtesy
Todd S. Hochman, M.D., Internal Medicine, PGY2 Limited
Philip J. Hodge, M.D., Surgery, PGY5 Limited
James W. Hoekstra, M.D., Emergency Medicine, Attending
Tracy A. Hollar-Ruegg, C.N.P., Internal Medicine, Licensed Healthcare Professional
Jonathan R. Honegger, M.D., Internal Medicine, PGY2 Limited
Robert J. Hoover, M.D., Internal Medicine, Attending
Mark S. Hopkin, M.D., Family Medicine, PGY2 Limited
Lekha S. Hota, M.D., Obstetrics and Gynecology, PGY2 Limited
William A Houser, M.D., Internal Medicine, Attending
Michael B. Howie, M.D., Anesthesiology, Attending
Hu Yiqun Hu, M.D., Ph.D., Neurology, PGY4 Limited
Michael K. Huang, M.D., Internal Medicine, Community Affiliate Physician
Jean P. Hubble, M.D., Neurology, Attending
Marilyn J. Huheey, M.D., Ophthalmology, Attending
Christopher Hyer, D.P.M., Orthopaedic Surgery, PGY2 Limited
Jay D. Iams, M.D., Obstetrics and Gynecology, Attending
Rebecca D. Jackson, M.D., Internal Medicine, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001 – 06/30/2003 (contd)

Jay Jacoby, M.D., Anesthesiology, Attending
Igor E. Janke, M.D., Psychiatry, Attending
Richard F. Jennings, Jr., D.P.M., Orthopaedic Surgery, PGY2 Limited
Jeffrey L. Jensen, M.D., Pediatrics, PGY3 Limited
Gregory M. Jewell, M.D., Family Medicine, Courtesy
Andrew Jimerson, II, M.D., Surgery, PGY2 Limited
Tracy L. Jimerson, M.D., Pediatrics, PGY2 Limited
Mihai Jipa, M.D., Internal Medicine, Attending
Michael G. Johanson, D.O., Anesthesiology, Attending
Ernest W. Johnson, M.D., Physical Medicine and Rehabilitation, Attending
David A. Jones, M.D., Surgery, PGY4 Limited
Edna M. Jones, M.D., Family Medicine, Attending
Eric Crayton Jones, M.D., Internal Medicine, PGY3 Limited
Hilary L. Jones, M.D., Radiology, PGY3 Limited
Sharmila M. Jones, M.D., Pediatrics, PGY3 Limited
Stephen S. Jung, M.D., Radiology, Attending
Eiad B. Kahwash, M.D., Pathology, PGY2 Limited
Samir Kahwash, M.D., Pathology, Attending
Ellen S. Kaitz, M.D., Physical Medicine and Rehabilitation, Courtesy
Gerard S. Kakos, M.D., Surgery, Attending
Loree K. Kalliainen, M.D., Surgery, Attending
John R. Kalmar, D.M.D., Ph.D., Dentistry, Attending
Steven L. Kanter, M.D., Internal Medicine, Fellowship 2nd Year
Joyce I. Karl, C.N.P., Internal Medicine, Licensed Healthcare Professional
Michael M. Kassur, M.D., Psychiatry, Attending
Steven E. Katz, M.D., Ophthalmology, Attending
William R. Kaye, D.D.S., Dentistry, Courtesy
Joseph E. Kearns, D.O., Family Medicine, Community Affiliate Physician
Lisa M. Keder, M.D., Obstetrics and Gynecology, Attending
Brian S. Kelley, M.D., Obstetrics and Gynecology, Courtesy
Curtin G. Kelley, M.D., Ophthalmology, Attending
Donald L. Kelley, M.D., Pathology, Attending
Kevin J. Kelley, M.D., Internal Medicine, Fellowship 2nd Year
Garrett T. Kelly, M.D., Anesthesiology, Attending
Elizabeth A. Kennard, M.D., Obstetrics and Gynecology, Attending
Melanie S. Kennedy, M.D., Pathology, Attending
Richard D. Kennedy, M.D., Internal Medicine, PGY2 Limited
Daniel L. Kerlan, D.D.S., Dentistry, PGY2 Limited
Joyce M. Kerr, C.N.P., Family Medicine, Licensed Healthcare Professional
Craig B. Key, M.D., Emergency Medicine, Attending
Hooman Khabiri, M.D., Radiology, Attending
Muhammad N. Khan, M.D., Internal Medicine, Attending
Raheela A. Khawaja, M.D., Internal Medicine, Attending
Samer J. Khouri, M.D., Internal Medicine, Fellow 3rd Year
Janice K. Kiecolt-Glaser, Ph.D., Psychiatry, Attending/PhD
Denis R. King, M.D., Pediatrics, Attending
Devin A. King, M.D., Ophthalmology, PGY2 Limited
Mark Alan King, M.D., Radiology, Attending
Paul C. Kirk, M.D., Family Medicine, Community Affiliate Physician
Steven M. Kirkham, M.D., Ophthalmology, Attending
Robert B. Kirkpatrick, III, M.D., Internal Medicine, Attending
Christopher T. Kirkup, D.D.S., M.S., Dentistry, PGY2 Limited
Jennifer L. Klaus, M.D., Internal Medicine, PGY3 Limited
Rosemary J. Klecker, M.D., Radiology, PGY4 Limited

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001 – 06/30/2003 (contd)

Cynthia L. Klein, M.S.N., C.N.P., Internal Medicine, Licensed Healthcare Professional
Dino D. Klisovic, M.D., Ophthalmology, PGY3 Limited
Matthias T. Klomfass, M.D., Family Medicine, PGY2 Limited
Kathryn J. Klopfenstein, M.D., Pediatrics, Attending
Lynne M. Knowles, M.D., Obstetrics and Gynecology, PGY3 Limited
Douglas J. Knutson, M.D., Family Medicine, Attending
Ergun Kocak, M.D., Surgery, PGY2 Limited
Heather C. Koelling, M.D., Ophthalmology, Attending
Stephen A. Koff, M.D., Surgery, Attending
Hisako M. Koizumi, M.D., Psychiatry, Attending
Susan L. Koletar, M.D., Internal Medicine, Attending
Susmitha P. Kolli, M.D., Ophthalmology, Attending
Stella B. Kontras, M.D., Psychiatry, Attending
Sandra K. Kostyk, M.D., Neurology, Attending
Alan J. Kover, M.D., Anesthesiology, Attending
Carl A. Krantz, M.D., Obstetrics and Gynecology, Courtesy
Eric H. Kraut, M.D., Internal Medicine, Attending
Cynthia G. Kreger, M.D., Internal Medicine, Attending
Gilbert L. Kukielka, M.D., Internal Medicine, Attending
Ellen K. Kumler, M.D., Pediatrics, PGY2 Limited
Stephanie E. Ladson-Wofford, M.D., Internal Medicine, Attending
James Laffey, D.O., Radiology, Attending
David R. Lambert, M.D., Internal Medicine, Attending
Mark B. Landon, M.D., Obstetrics and Gynecology, Attending
John A. Larry, M.D., Internal Medicine, Attending
Peter E. Larsen, D.D.S., Dentistry, Attending
Matthew Kenneth Lashutka, M.D., Emergency Medicine, PGY3 Limited
Larry C. Lasky, M.D., Pathology, Attending
Jeffery Christopher Laubenthal, M.D., Family Medicine, Fellowship 1st Year
Joseph W. Lavelle, D.O., Internal Medicine, PGY5 Limited
Mark S. Law, M.D., Ophthalmology, Courtesy
Leah C. Laxson, M.D., Ophthalmology, Attending
Cynthia H. Ledford, M.D., Internal Medicine, Community Affiliate Physician
Jeffery S. Lee, D.D.S., Dentistry, PGY3 Limited
Carl V. Leier, M.D., Internal Medicine, Attending
Diane M. Lemay, M.D., Pediatrics, Attending
Richard G. Lembach, M.D., Ophthalmology, Attending
Robert E. Lembach, M.D., Ophthalmology, Courtesy
Mark Steven Lepi, M.D., Internal Medicine, PGY3 Limited
Karen E. Lerhaupt, M.D., Ophthalmology, PGY2 Limited
David S. Lever, M.D., Internal Medicine, Attending
Douglas M. Levin, M.D., Internal Medicine, Courtesy
Edward J. Levine, M.D., Internal Medicine, Attending
George S. Lewandowski, M.D., Obstetrics and Gynecology, Courtesy
Steven H. Lichtblau, M.D., Internal Medicine, Courtesy
Margaret Ann Lind, C.N.P., Internal Medicine, Licensed Healthcare Professional
Jaina Rachelle Lindauer, M.D., Obstetrics and Gynecology, PGY3 Limited
Steven E. Lindner, M.D., Pediatrics, Courtesy
Leopold Liss, M.D., Neurology, Attending
Warren D. Lo, M.D., Pediatrics, Courtesy
Michael C. Loar, M.D., Pediatrics, Courtesy
John A. Lombardo, M.D., Family Medicine, Attending
Holly B. Loughlin, C.N.P., Internal Medicine, Licensed Healthcare Professional
Charles J. Love, M.D., Internal Medicine, Attending

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001 – 06/30/2003 (contd)

Lawrence M. Lubbers, M.D., Orthopaedic Surgery, Attending
Martin Lubow, M.D., Ophthalmology, Attending
Joel G. Lucas, M.D., Pathology, Attending
William J. Lutmer, M.D., Internal Medicine, Courtesy
Micki N. Ly, M.D., Internal Medicine, PGY3 Limited
D. Joanne Lynn, M.D., Neurology, Attending

Reappointment Period: 07/01/2001-06/30/2002

James R. Otworth, D.O., Anesthesiology, Attending

Requests for Additional/Special Privileges (The Ohio State University Medical Center)

Reappointment Period: 07/01/2001-06/30/2003

Charlotte Agone, M.D., Ophthalmology, Ophthalmic Yag, Argon
Mary Alton, MD, IM/Cardiology, Conscious Sedation
Douglas Anderson, D.D.S., Dentistry, Conscious Sedation
Mark Arnold, M.D., Surgery, CO2 Laser
David Bahner, M.D., Emergency Medicine, Hyperbaric Medicine, Conscious Sedation
Robert Bahnson, M.D., Surgery, CO2 Laser, Surgical Yag
Norman Baker, MD, Ophthalmology, Ophthalmic Yag
Rosaline Batley, M.D., Physical Medicine & Rehabilitation, Electrodiagnostic Medicine
Melissa Baujan, M.D., Radiology, Conscious Sedation
David Bell, M.D., Obstetrics & Gynecology, CO2 Laser
Susan Benes, MD, Ophthalmology, CO2 Laser, Ophthalmic Yag, Argon
William Bennett, M.D., Radiology, Conscious Sedation
Martin Bertram, MD, PM&R, Electrodiagnostic Medicine, Pain Management Procedures
Michael Blumenfeld, M.D., OB/GYN, CO2 Laser
Richard Boggs, M.D., Emergency Medicine, Conscious Sedation, Hyperbaric Medicine
Eric Bourekas, M.D., Radiology, Admitting (limited to peri-procedural observation), Percutaneous
Vertebroplasty., Angioplasty, Angiography, Stenting , Aneurysm & AVM Endo.
James Bova, D.O., Radiology, Conscious Sedation
Kenneth Boyle, MD, Ophthalmology, Ophthalmic Laser Excimer, Argon
Donald Bryan, MD, OB/GYN, CO2 Laser
Brentley Buchele, M.D., Surgery, Conscious Sedation
Stephen Burgun, M.D., Internal Medicine/Endocrinology
Charles Bush, MD, IM/Cardiology, Conscious Sedation
Denise Cambier, M.D., Neurology, EEG, Lumbar Puncture
David Castellano, M.D., Ophthalmology, Ophthalmic Yag, Excimer
Robert Chambers, D.O., Ophthalmology, Ophthalmic Yag, Argon, Tunable Dye
George Chioran, M.D., Ophthalmology, Ophthalmic Yag, Argon, Tunable Dye
Greg Christoforidis, M.D., Radiology, Admitting (limited to peri-procedural observation),
Conscious Sedation
Daniel Clinchot, M.D., PM&R, Electrodiagnostic Med.
Michael Collins, M.D., Neurology, Skeletal Muscle Biopsy, EMG, Lumbar Puncture
William Copeland, Jr., MD, OB/GYN, CO2 Laser, Laparoscopy
Elson Craig, M.D., Ophthalmology, Argon
Elliott Crouser, M.D., Internal Medicine, Conscious Sedation
Marcella Dardani, D.O., Radiology, Arthrography, Joint Aspiration
Elliot Davidoff, MD, Ophthalmology, Ophthalmic Yag, Argon, Frequency Doubled Yag
Frederick Davidorf, M.D., Ophthalmology, Ophthalmic Yag, Argon, Tunable Dye
Donna Diaz, MD, OB/GYN, CO2 Laser
Michael Dick, M.D., Emergency Medicine, Hyperbaric Medicine

PERSONNEL ACTIONS (contd)

Requests for Additional/Special Privileges (The Ohio State University Medical Center) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Emile El-Shammaa, M.D., Emergency Medicine, Conscious Sedation
Alice Epitropoulas, M.D., Ophthalmology, Ophthalmic Yag, Argon
David Frid, M.D., Internal Medicine, Conscious Sedation
Pablo Gamboa, M.D., Radiology, Admitting (limited to peri-procedural observation), Conscious Sedation
Steven Ganzberg, D.M.D., Dentistry, Conscious Sedation, Anesthesia Core, Pain/Anesthesia Core
John Goodhart, P.A.-C, Surgery, Endoscopic, Harvest Radial Arteries, presence of C/T attend.
Gayle Gordillo, M.D., Surgery, Conscious Sedation
Diane Gorgas, M.D., Emergency Medicine, Conscious Sedation
Dean Hearne, M.D., Dermatology, Liposuction
James Hoekstra, M.D., Emergency Medicine, Hyperbaric Medicine, Conscious Sedation
Robert Hoover, MD, IM/Cardiology, Conscious Sedation
Ernest Johnson, M.D., Physical Medicine & Rehabilitation, Electrodiagnostic Medicine
Stephen Jung, M.D., Radiology, Admitting (limited to peri-procedural observation), Conscious Sedation
Colin Kaide, M.D., Emergency Medicine, Conscious Sedation
Ellen Kaitz, M.D., PM&R, Lumbar Puncture for Intrathecal Injection
Frederick Kapetansky, MD, Ophthalmology, Ophthalmic Yag, Argon
Sherman Katz, M.D., Surgery, Conscious Sedation
William Kaye, D.D.S., Dentistry, Conscious Sedation
Lisa Keder, MD, OB/GYN, Conscious Sedation, CO2 Laser
Brian Kelley, M.D., OB/GYN, CO2 Laser
Craig Key, M.D., Emergency Medicine, Conscious Sedation
Hooman Khabiri, M.D., Radiology, Admitting (limited to peri-procedural observation)
Sorabh Khandelwal, M.D., Emergency Medicine, Conscious Sedation
Heather Koelling, MD, Ophthalmology, Ophthalmic Yag, Argon, Tunable Dye
Carla Krantz, MD, OB/GYN, CO2 Laser, Laparoscopy
Cynthia Kreger, MD, IM/General, Flexible Sigmoidoscopy
John Larry, M.D., Internal Medicine, Conscious Sedation
Peter Larson, D.D.S., Dentistry, CO2 Laser, Conscious Sedation
Leah Laxson, M.D., Ophthalmology, Ophthalmic Yag, Argon
Richard Lembach, MD, Ophthalmology, Ophthalmic Yag, Argon, Excimer
Robert Lembach, M.D., Ophthalmology, Ophthalmic Yag, Surgical Yag, Argon
Edward Levine, MD, IM/Digestive Diseases, Conscious Sedation
George Lewandowski, MD, OB/GYN, Diagnostic Laparoscopy
Charles Love, MD, IM/Cardiology, Conscious Sedation

Request for Change in Medical Staff Category (The Ohio State University Medical Center)

June 2001

Naeem Ali, M.D., Internal Medicine, from Attending (Chief Resident) to Fellow PGY-6
Amiee Andrews, M.D., Pediatrics, from Attending to Fellow 2nd Year
Martin Bertram, M.D., Physical Medicine & Rehabilitation, from Attending to Courtesy

Medical Staff Reappointments (The Ohio State University Hospitals East)

Reappointment Period: 07/01/2001-06/30/2003

Aaron M. Amos, M.D., Surgery, Active
Larry G. Armstrong, D.O., Surgery, Courtesy
Mark W. Arnold, M.D., Surgery, Courtesy
Glen F. Aukerman, M.D., Family Practice, Active

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Leona B. Ayers, M.D., Pathology, Consulting
James P. Bacon, M.D., Internal Medicine, Active
David P. Bahner, M.D., Emergency Medicine, Active
Peter B. Baker, M.D., Pathology, Active
Melissa A. Baujan, M.D., Radiology, Active
William H. Bay, M.D., Internal Medicine, Consulting
Marwan Bazerbashi, M.D., Surgery, Active
William J. Becker, D.O., Pathology, Consulting
Bryan E. Beggan, D.O., Family Practice, Active
William F. Bennett, M.D., Radiology, Active
Gregory C. Berlet, M.D., Orthopedics, Active
Kevin R. Berry, M.D., Internal Medicine, Active
Nikki Bester, P.A., Surgery, LHCP
David Q. Beversdorf, M.D., Internal Medicine, Active
Michael G. Bissell, M.D., Ph.D., M.P.H, Pathology, Active
Wilbur C. Blount, M.D., Internal Medicine, Consulting
Carl P. Boesel, M.D., Pathology, Active
Leo R. Boggs, M.D., Emergency Medicine, Active
Misty L. Bogdahn-Wayman, M.D., Surgery, PGY2
Harisios Boudoulas, M.D., Internal Medicine, Active
Eric C. Bourekas, M.D., Radiology, Active
Chad M. Braun, M.D., Family Practice, Active
John A. Brem, D.P.M., Orthopedics, PGY2
Anthony Brym, M.D., Emergency Medicine, Active
Charles A Bush, M.D., Internal Medicine, Active
Gretchen Calhoun C.N.P., Medical Management Team, Licensed Healthcare Professional
George F. Calloway, Jr., M.D., Internal Medicine, Consulting
Philip E. Calendine, M.D., Radiology, PGY4
Denise M. Cambier, M.D., Internal Medicine, Consulting
Richard K. Cavender, M.D., Emergency Medicine, Active
Christina L. Charles-Beery, M.D., Surgery, PGY3
Anthimos J. Christoforidis, M.D., Radiology, Active
Scott A. Clark, M.D., Family Practice, Active
Paul A. Cook, M.D., Orthopedics, Active
Frank Cosentino, D.O., Internal Medicine, Courtesy
Julie Costello M.D., Internal Medicine, Courtesy
Robert R. Crowell, M.D., Musculoskeletal Diseases, Active
Karekin R. Cunningham, M.D., Surgery, PGY2
Eric Cwynar, D.P.M., Musculoskeletal Diseases, Courtesy
Curt J. Daniels, M.D., Internal Medicine, Active
Marcella Dardani, D.O., Radiology, Active
Constantina S. Demou, D.P.M., Orthopedics, PGY1
Lawrence A. De Renne, M.D., Pathology, Active
Michael R. Dick, M.D., Emergency Medicine, Active
Peter H. Edwards Jr., M.D., Orthopedics, Consulting
Adam El-Dieb, M.D., Radiology, PGY3
Emile N. El-Shammaa, M.D., Emergency Medicine, Active
Robert A. Fada, M.D., Orthopedics, Active
Shella Farooki, M.D., Radiology, Active
Lucy R. Freedy, M.D., Radiology, Active
Miriam L. Freimer, M.D., Internal Medicine, Courtesy
Gloria M. Galloway, M.D., Internal Medicine, Active
Pablo Alejandro Gamboa, M.D., Radiology, Active
Angela B. Gantt, M.D., Surgery, PGY4

PERSONNEL ACTIONS (contd)

Medical Staff Reappointments (The Ohio State University Hospitals East) (contd)

Reappointment Period: 07/01/2001-06/30/2003 (contd)

Thomas Gavin M.D., Emergency Medicine, Active
Mark A. Gerhardt, M.D., Ph.D., Anesthesiology, Active
Seth E. Gerkin, M.D., Internal Medicine, Active
Michael R. Gigax, M.D., Surgery, PGY2
Gayle M. Gordillo, M.D., Surgery, Active
Diane L. Gorgas, M.D., Emergency Medicine, Active
Phillip S. Greene, M.D., Internal Medicine, Courtesy
David N. Hackney, M.D., Surgery, PGY1
Connie A. Haines-McCoy, D.O., Family Practice, PGY3
David K. Halley, M.D., Musculoskeletal Diseases, Active
Ann M. Haynes-Eiginger, M.D., Emergency Medicine, Active
Lee A. Hebert, M.D., Internal Medicine, Consulting
Jonathon C Henry, M.D., Orthopedics, Active
Charles L. Hitchcock, M.D., Ph.D, Pathology, Active
James W. Hoekstra, M.D., Emergency Medicine, Active
Brant S. Holtzmeier, D.O., Family Practice, Courtesy
Mark S. Hopkin, M.D., Family Practice, PGY1
Ronald Hopkins, P.A., Surgery, Licensed Healthcare Professional
Lekha S. Hota, M.D., Surgery, PGY1
Deborah Hoy, R.N., C.N.S., Psychiatry, Licensed Healthcare Professional
Christopher Hyer, D.P.M., Orthopedics, PGY2
William M. Jantsch, M.D., Internal Medicine, Active
Richard Jennings, D.P.M., Orthopedics, PGY2
Grant L. Jones, M.D., Orthopedics, Active
Stephen S Jung, M.D., Radiology, Active
Christopher C. Kaeding, M.D., Orthopedics, Active
Colin G. Kaide, M.D., Emergency Medicine, Active
Loree K. Kalliainen, M.D., Surgery, Active
Steven E. Katz, M.D., Internal Medicine, Courtesy
Lisa M. Keder, M.D., Surgery, Courtesy
Thomas C. Keeling, M.D., Internal Medicine, Active
Matthew V. Kellar, M.D., Emergency Medicine, Active
Donald L. Kelley, M.D., Pathology, Consulting
Melanie S. Kennedy, M.D., Pathology, Active
Craig B. Key, M.D., Emergency Medicine, Active
Sedigheh Keyhani-Rofagha, M.D., Pathology, Active
Hooman Khabiri, M.D., Radiology, Active
Mark Alan King, M.D., Radiology, Active
Paul F. Klawitter, M.D., Emergency Medicine, Active
Rosemary J. Klecker, M.D., Radiology, PGY4
Douglas J. Knutson, M.D., Family Practice, Active
Raymond J. Kobus, M.D., Orthopedics, Active
Ergun Kocak, M.D., Surgery, PGY2
Stephanie E. Ladson-Wofford, M.D., Internal Medicine, Consulting
James Laffey, D.O., Radiology, Active
John A. Larry, M.D., Internal Medicine, Active
Larry C. Lasky, M.D., Pathology, Active
Adolph V. Lombardi, Jr., M.D., Orthopedics, Courtesy
Melissa P. Lowe, M.D., Surgery, PGY2
Lawrence M. Lubbers, M.D., Musculoskeletal Diseases, Active
Joel G. Lucas, M.D., Pathology, Consulting
D. Joanne Lynn, M.D., Internal Medicine, Consulting

PERSONNEL ACTIONS (contd)

Request for Additional/Special Privileges (The Ohio State University Hospitals East)

Anil Agarwal, M.D., Internal Medicine, Dual lumen IJ catheter, 07/01/2001-06/30/2003
Carol Ashman, M.D., Radiology, Spinal tap for contrast material (myelography), 07/01/01 – 06/30/03
Leona Ayers, M.D., Pathology, Surgical Pathology (consultation only), Infant and child, Adult, Tzanck prep only, 07/01/01-06/30/03
David Bahner, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Barry Blank, M.D., Surgery, Prosthetic dental implant, Diagnostic procedures, 07/01/01 – 06/30/03
Leo Boggs, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Anthony Brym, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Charles Bush, M.D., Internal Medicine, Moderate Sedation, 07/01/01 – 06/30/03
George Calloway, M.D., Ophthalmology, Vitreal and Retinal Procedures, 07/01/01 – 06/30/03
Denise Cambier, M.D., Neurology, EMG performance/interpretation, intraoperative neurophysiologic mam 07/01/01-06/30/03
Donald Chakeres, M.D., Radiology, Moderate Sedation, 07/01/01 – 06/30/03
Curt Daniels, M.D., Internal Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Michael Dick, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
William Emlich, D.O., Gastroenterology, EGD, ERCP, Colonoscopy, Colonoscopic Polypectomy, Endoscopy, Electrosurgery, Sclerotherapy, Percutaneous Endoscopic Gastrostomy, Endoscopic Esophageal Dilatation, 07/01/01 – 06/30/03
Shella Farooki, M.D., Radiology, Joint aspirations, Diagnostic imaging, 07/01/01 – 06/30/03
Thomas Gavin, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Mark Gerhardt, M.D., Anesthesiology, Moderate Sedation, 07/01/01 – 06/30/03
Diane Gorgas, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Ronald Harter, M.D., Anesthesiology, Moderate Sedation, 07/01/01 – 06/30/03
Fred Hensley M.D., Anesthesiology, Moderate Sedation, 07/01/01 – 06/30/03
James Hoekstra, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Stephen Jung, M.D., Radiology, Moderate Sedation, 07/01/01 – 06/30/03
Stephen Jung, M.D., Radiology, G & L tube placement, line placement, thrombolysis, FB retrieval, 07/01/01 – 06/30/03
Colin Kaide, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Paul Kaufman, M.D., Surgery, Laser- Indigo, Holmium, 07/01/01 – 06/30/03
Craig Key, M.D., Emergency Medicine, Moderate Sedation, 07/01/01 – 06/30/03
John Larry, M.D., Internal Medicine, Moderate Sedation, 07/01/01 – 06/30/03
Rajendra Patel, M.D., Cardiology, Moderate Sedation, 07/01/00 – 06/30/02
Michael Waite, M.D., Emergency Medicine, Moderate Sedation, 07/01/00 – 06/30/02

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

June/July 2001

Initial Appointments – Faculty

Melissa A. Baujan, M.D., Radiology, Associate Attending
David E. Cohn, M.D., Obstetrics and Gynecology, Gynecologic Oncology, Assistant Professor, Attending
Charles F. Eisenbeis, M.D., Ph.D., Internal Medicine, Hematology/Oncology, Assistant Professor, Attending
Jon C. Gould, M.D., Surgery, General Surgery, Clinical Assistant Professor, Associate Attending
Venkata (Rama) R. Jayanthi, M.D., Surgery, Urology, Clinical Assistant Professor, Clinical Attending
Thomas S. Lin, M.D., Ph.D., Internal Medicine, Hematology/Oncology, Assistant Professor, Attending
Peter Novak, M.D., Neurology, Clinical Assistant Professor, Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

June/July 2001 (contd)

Initial Appointments – Faculty (contd)

Stephen P. Povoski, M.D., Surgery, Surgical Oncology, Associate Professor, Attending
Eric S. Ward, M.D., Surgery, Urology, Clinical Assistant Professor, Associate Attending
Alvin G. Wee, D.D.S, Dentistry, Restorative Dentistry, Assistant Professor, Associate Attending

Provisional to Full Appointment – Faculty

Loree Kalliainen, M.D., Plastic Surgery, Associate Attending
Mariarita Santi, M.D., Pathology, Associate Attending
Cora Schlesinger is no longer with the Dept. of Pathology

Provisional to Full Appointment – Community

M. Husain Jawadi, M.D., Community Associate

Reappointment – Faculty (term of appointment 7/1/01-6/30/03)

Anesthesiology:

Costantino Benedetti, M.D., Attending
Hamdy M. Elsayed-Awad, M.D., Associate Attending
Mark A. Gerhardt, M.D., Ph.D., Associate Attending
Ernesto Goldman, M.D., Associate Attending
Mona Halim-Armanios, M.D., Associate Attending
Ronald Harter, M.D., Associate Attending
Frederick A. Hensley, Jr., M.D., Associate Attending
Jay Jacoby, M.D., Associate Attending
Michael G. Johanson, D.O., Associate Attending
William B. Kelly, M.D., Associate Attending

Dentistry:

Guillermo E. Chacon, D.D.S., Associate Attending
John R. Kalmar, D.M.D., Ph.D., Associate Attending

Emergency Medicine:

Robert M. Guthrie, M.D., Associate Attending

Family Medicine:

Glen F. Aukerman, M.D., Associate Attending
Patrick J. Fahey, M.D., Associate Attending

Internal Medicine:

Cardiology

Charles A. Bush, M.D., Associate Attending
Gregory M. Eaton, M.D., Associate Attending
John A. Larry, M.D., Associate Attending
Charles J. Love, M.D., Associate Attending

Dermatology

Dean W. Hearne, M.D., Associate Attending
Adam B. Hessel, M.D., Associate Attending
David R. Lambert, M.D., Associate Attending

PERSONNEL ACTIONS (contd)

Reappointment – Faculty (term of appointment 7/1/01-6/30/03) (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Internal Medicine: (contd)

Endocrinology

Samuel Cataland, M.D., Associate Attending
Rebecca D. Jackson, M.D., Associate Attending
Richard T. Kloos, M.D., Associate Attending

Gastroenterology

James H. Caldwell, M.D., Associate Attending
John Fromkes, M.D., Associate Attending
Robert B. Kirkpatrick, III, M.D., Associate Attending
Edward J. Levine, M.D., Associate Attending

General Medicine

Michael A. Burgin, M.D., Associate Attending
Barry M. Friedman, M.D., Associate Attending
John V. Gaeuman, M.D., Associate Attending
Deborah A. Gordish, M.D., Associate Attending
James F. Lamb, M.D., Associate Attending

Hematology-Oncology

Belinda R. Avalos, M.D., Attending
Michael A. Caligiuri, M.D., Attending
Spero Cataland, M.D., Attending
Steven K. Clinton, M.D., Ph.D., Attending
Edward A. Copelan, M.D., Attending
Charis E. Eng, M.D., Ph.D., Attending
Sherif S. Farag, M.D., Ph.D., Attending
Eric H. Kraut, M.D., Attending

Infectious Disease

Robert J. Fass, M.D., Associate Attending
Susan L. Koletar, M.D., Associate Attending

Nephrology

Anil K. Agarwal, M.D., Associate Attending
William H. Bay, M.D., Associate Attending
Michael E. Falkenhain, M.D., Associate Attending
Fernando Garcia-Cosio, M.D., Associate Attending
Lee A. Hebert, M.D., Associate Attending
Stephanie E. Ladson-Wofford, M.D., Associate Attending

Pulmonary

Philip T. Diaz, M.D., Associate Attending

Rheumatology

Linda S. Gray, M.D., Associate Attending
Kevin V. Hackshaw, M.D., Associate Attending

Neurology:

Avrom D. Epstein, M.D., Associate Attending
Miriam L. Freimer, M.D., Associate Attending
John T. Kissel, M.D., Associate Attending

OB-GYN:

Deborah Bartholomew, M.D., Associate Attending
David C. Bell, M.D., Clinical Attending
Michael L. Blumenfeld, M.D., Associate Attending
Donald K. Bryan, M.D., Clinical Attending
Renee M. Caputo, M.D., Associate Attending
David F. Colombo, M.D., Associate Attending

PERSONNEL ACTIONS (contd)

Reappointment – Faculty (term of appointment 7/1/01-6/30/03) (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

OB-GYN: (contd)

Christopher Copeland, M.D., Clinical Attending
Larry Copeland, M.D., Attending
William Copeland, M.D., Clinical Attending
Stephanie W. Costa, M.D., Clinical Attending
Lynne A. Eaton, M.D., Attending
Garth Essig, M.D., Associate Attending
Cynthia B. Evans, M.D., Associate Attending
Jeffrey Fowler, M.D., Attending
Chad I. Friedman, M.D., Associate Attending
Walter B. Hull, M.D., Associate Attending
Jay D. Iams, M.D., Associate Attending
Lisa Keder, M.D., Clinical Attending
Elizabeth A. Kennard, M.D., Associate Attending
Mark B. Landon, M.D., Associate Attending
George S. Lewandowski, M.D., Clinical Attending

Ophthalmology:

Susan C. Benes, M.D., Associate Attending
Robert A. Bruce, JR., M.D., Associate Attending
John A. Burns, M.D., Associate Attending
Kenneth V. Cahill, M.D., Associate Attending
Robert B. Chambers, D.O., Associate Attending
Matthew E. Dangel, M.D., Associate Attending
Frederick H. Davidorf, M.D., Associate Attending
Steven E. Katz, M.D., Associate Attending
Richard G. Lembach, M.D., Associate Attending
Alan Letson, M.D., Associate Attending
Martin Lubow, M.D., Associate Attending

Otolaryngology:

Edward E. Dodson, M.D., Associate Attending
L. Arick Forrest, M.D., Associate Attending
Iain L. Grant, M.D., Associate Attending
David R. Kelly, M.D., Associate Attending

Pathology:

Lawrence A. DeRenne, M.D., Associate Attending
Melanie S. Kennedy, M.D., Associate Attending
Larry C. Lasky, M.D., Associate Attending

Physical Medicine and Rehabilitation:

Brian L. Bowyer, M.D., Associate Attending
Albert C. Clairmont, M.D., Associate Attending
Daniel M. Clinchot, M.D., Associate Attending

Radiation Oncology:

Constance Bauer, M.D., Attending
Reinhard Gahbauer, M.D., Attending
John C. Grecula, M.D., Attending

Radiology:

William F. Bennett, M.D., Associate Attending
James G. Bova, D.O., Associate Attending

PERSONNEL ACTIONS (contd)

Reappointment – Faculty (term of appointment 7/1/01-6/30/03) (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Radiology: (contd)

Donald W. Chakeres, M.D., Associate Attending
Anthimos Christoforidis, M.D., Associate Attending
Shella Farooki, M.D., Associate Attending
Lucy R. Freedy, M.D., Associate Attending

Surgery:

Cardiothoracic

David A. Brown, M.D., Associate Attending
John T. Davis, M.D., Associate Attending
Gerard S. Kakos, M.D., Associate Attending

General Surgery

Mark W. Arnold, M.D., Associate Attending
E. Christopher Ellison, M.D., Associate Attending

Pediatric

Marjorie J. Arca, M.D., Associate Attending
Gail E. Besner, M.D., Associate Attending
Donna A. Caniano, M.D., Associate Attending
Jonathan I. Groner, M.D., Associate Attending
Denis R. King, M.D., Clinical Attending

Plastic

Brentley A. Buchele, M.D., Associate Attending
Gayle M. Gordillo, M.D., Associate Attending
Loree Kalliainen, M.D., Associate Attending

Surgical Oncology

William B. Farrar, M.D., Attending

Transplant

Ginny L. Bumgardner, M.D., Ph.D., Associate Attending
Elmahdi Elkhammas, M.D., Associate Attending
Mitchell L. Henry, M.D., Associate Attending

Urologic

Robert R. Bahnson, M.D., Attending
Michael F. Cunningham, M.D., Associate Attending

Reappointment – Community (term of appointment 7/1/01-6/30/03)

Anesthesiology:

Kamel S. Abraham, M.D., Community Associate
Paul P. Chu, M.D., Community Associate

Emergency Medicine:

Kenneth L. Jacobs, D.O., Community Associate
Scott K. Kellogg, D.O., Community Associate
Rohn Kennington, M.D., Community Associate

Family Medicine:

Sally Abbott, M.D., Community Associate
Jeffery B. Blackburn, M.D., Community Associate
Daniel H. Brumfield, M.D., Community Associate
Cleoanne Cass, D.O., Community Associate
William A. Garringer, M.D., Community Associate
Robert E. Kneisley, M.D., Community Associate

PERSONNEL ACTIONS (contd)

Reappointment – Community (term of appointment 7/1/01-6/30/03) (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Internal Medicine:

Cardiology

Mohammed Khan, M.D., Community Associate

Endocrinology

M. Husain Jawadi, M.D., Community Associate

Gastroenterology

Alan L. Gabbard, M.D., Community Associate

Hematology/Oncology

Ahmed M. Ghany, M.D., Community Oncologist

Ravi Khanna, M.D., Community Oncologist

Internal Medicine

Thomas W. Ericksen, M.D., Community Associate

Timothy W. Hickerson, M.D., Community Associate

G. Anthony Holt, M.D., Community Associate

Nephrology

Pius Kurian, M.D., Community Associate

Pulmonary

Shahid Bashir, M.D., Community Associate

Neurology:

Amrit L. Chadha, M.D., Community Associate

OB-GYN:

David R. Billing, M.D., Community Associate

Lisa M. DeLong, M.D., Community Associate

Ophthalmology:

Gary J. Lau, M.D., Community Associate

Jeffrey Kearfott, M.D., Community Associate

Otolaryngology:

Michael B. DuVall, M.D., Community Associate

John B. Gillen, III, M.D., Community Associate

Pathology:

Elillian J. Daugherty, M.D., Community Associate

Physical Medicine and Rehabilitation:

Albert Belfie, D.O., Community Associate

Radiology:

Rick A. Kukulka, M.D., Community Associate

Robert Lemming, M.D., Community Associate

Surgery:

General Surgery

Krish Balakrishnan, M.D., Community Associate

Pamela S. Bucklew, M.D., Community Associate

Joseph Demeter, M.D., Community Associate

Richard W. Furay, M.D., Community Associate

PERSONNEL ACTIONS (contd)

Reappointment – Community (term of appointment 7/1/01-6/30/03) (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

Surgery: (contd)

Neurologic Surgery

A. Neil Cole, M.D., Community Associate

Orthopaedic

Noshir E. Deboo, M.D., Community Associate

John W. Dobson, M.D., Community Associate

Reappointment – Nurses in Advanced Practice (term of appointment 7/1/01-6/30/03)

Marjorie Anderson, C.N.S.

Michelle Couture Angelis, P.A.

Charles Gary Baker, C.R.N.A.

Dan Benner, P.A.

Kristine Kihm Browning, C.N.P.

Maureen Buckner, C.N.P.

Susan Ezzone, C.N.P.

Jack Fry, C.R.N.A.

John Goodhart, P.A.

Tracy Hollar-Ruegg, C.N.P.

Lisa Kemp, C.N.P.

Pauline King, C.N.S.

Joanne Lester, C.N.P.

Holly Loughlin, C.N.P.

RESOLUTIONS IN MEMORIAM

Resolution No. 2002-23

Clarence A. Forbes

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 8, 2001, of Dr. Clarence A. Forbes, Professor Emeritus in the Department of Greek and Latin.

Dr. Forbes was born into a farming family in Colebrook, New Hampshire, in 1901. He received his A.B. degree from Bates College, where he was elected to Phi Beta Kappa, and his A.M. and Ph.D. degrees from the University of Illinois at Urbana-Champaign. While completing his dissertation for the 1928 award of the doctoral degree, he served as Instructor in Classics at the University of Cincinnati, 1925-1927, moving in the latter year to the University of Nebraska in Lincoln, where he served through the professorial ranks until 1948. He came to Ohio State in 1948, and remained on the faculty until his retirement in 1971. He was a frequent summer visitor at the Universities of Illinois, Oklahoma, and Michigan.

At the suggestion of his professor, mentor, and friend William Abbott Oldfather, one of the most distinguished American Classicists of his generation, Dr. Forbes undertook the study of Greek physical education, which became the subject of his dissertation and his first and second books (Greek Physical Education in 1928 and Neoi. A Contribution to the Study of Greek Associations in 1933). These books and an early series of papers have been especially influential in providing technique and context for the work of modern sports historians, whose associations have welcomed and honored him as a pioneer in their work. He regularly lectured for Professor Emeritus Bruce Bennett in his history courses in the School of Physical Activity and Educational Services. He was consistently recognized for his perceptive scholarship on the value of Greek association of boys and then men with commitment to physical activity to the moral and political well-being of the members and of the state.

RESOLUTIONS IN MEMORIAM (contd)

Clarence A. Forbes (contd)

Dr. Forbes was wide-ranging in his scholarly interests and in his teaching repertoire as well. He often turned to Latin literature, with Vergil as his favorite poet, and dealt with many cultural aspects of the ancient and post-ancient world, including history, politics, theatre, philosophy, and even teachers' pay. His notorious tendency to punning is only partially suggested by his 1951 article in *The Classical Journal*, "Beer: a Sober Account." Many a student and colleague winced at his genial sharpness at word play. His final book, appearing in the series *Ancient Christian Writers*, was a detailed introduction, translation, and commentary on the fourth-century aristocrat Firmicus Maternus' de errore profanarum religionum and offers valuable insights into our understanding of the pagan-Christian confrontation at one of its most notorious moments, as a recently converted Christian calls for total elimination of paganism by the successors of Constantine. This book reaffirmed Dr. Forbes' longtime interest in Christian Latin and the language and history of his church.

A versatile teacher and well-respected scholar, Dr. Forbes was also active in classical associations, serving as president of the Classical Association of the Middle West and South in 1950, and becoming recipient of its highest award, an OVATIO, for his distinction in 1971. In 1963 he was awarded the "Good Teacher Award" in the College of Arts and Sciences. An indefatigable traveler to places classical, he formed close personal and professional friendships wherever he went.

His beloved wife of 63 years, Florence LeMaire, predeceased him. They were the parents of five children, all but one of whom survive him. In his 100th year he became the 'ancestor' of his hundredth descendant. His devotion to his family, church, friends, students and colleagues, and his profession is legendary.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Clarence A. Forbes its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Roger E. Gerkin

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on May 23, 2001, of Roger E. Gerkin, Professor Emeritus in the Department of Chemistry.

Roger Gerkin was born in 1931 in Indiana, and received his primary and secondary education in Indiana public schools. Since he was too young for military service in WWII, he proceeded directly to the University of Chicago after high school. He was awarded the A.B. degree with general and special honors in liberal arts and chemistry at the age of 20. He was also elected to Phi Beta Kappa and was a Physical Sciences Divisional Honors Scholar during his undergraduate years. He was awarded the M.S. degree in physical chemistry at Chicago in 1954.

Professor Gerkin was awarded the Ph.D. degree in physical chemistry with research under the guidance of K.S. Pitzer at the University of California at Berkeley in 1960 and was elected to Sigma Xi scientific research honorary society. He was twice awarded National Science Foundation Predoctoral Fellowships in competition with others of the most highly-qualified young scientific scholars in the nation. Having performed brilliantly at two of the finest American universities, both with excellent reputations of long standing for the training of America's finest academicians, and having a father who taught science at secondary and college levels in Indiana, it naturally occurred to him that he ought to seriously consider a university teaching and research career for himself.

RESOLUTIONS IN MEMORIAM (contd)

Roger E. Gerkin (contd)

Thus, in 1958, after completing his experimental work at Berkeley and with the promise of simultaneously gaining significant teaching experience and making a substantial beginning in an exciting new area of research, he accepted a temporary position at the University of Chicago. Professor C.A. Hutchison, Jr., a Ph.D. graduate of Ohio State in physical chemistry, welcomed him into his group and introduced him to the mysteries, challenges, and satisfactions of the new and exciting field of low temperature electron paramagnetic resonance (EPR) spectroscopy.

Dr. Gerkin joined the OSU faculty in Columbus in the autumn of 1962. The first of many challenges awaiting him was that of designing and then constructing the sophisticated experimental equipment required for his proposed research. This was a slow and frustrating experience. Little of the necessary apparatus was purchasable "off the shelf," and not a few members of the departmental community were insensitive to a type and degree of difficulty they had not experienced establishing their own research programs. The second challenge was to interest promising graduate students in working with him on difficult fundamental problems of little or no interest to industry, and the third was to set about obtaining funds to help support the cost of his research work. In addition, he characteristically threw himself wholeheartedly and joyously into classroom and laboratory teaching from his first day at OSU. Professor Gerkin met and conquered each of these challenges with patience, intelligence, and good humor. He established a first-rate laboratory, attracted a respectable number of the best graduate students, was awarded National Science Foundation research funds, and became one of the most widely respected and popular teachers of physical and general chemistry. His election to honorary membership as a professor in Phi Eta Sigma, the honor society for college freshmen, attests to his impressive talent in making general chemistry interesting to beginning students at OSU.

His early interest in the structures and properties of crystalline solids led in a few years to the addition of extensive x-ray crystallographic studies on a great variety of carefully prepared pure inorganic and organic crystals. His extensive experimental investigations in physical chemistry included thermodynamic studies of heat capacities of crystalline solids (low T calorimetry), electron paramagnetic resonance (EPR) spectroscopic studies at low and high magnetic field strengths in pure and dilutely substituted single crystals, studies of triplet state EPR spectra and of isotope effects in triplet states, crystalline disorder, phase transitions in molecular and hydrated ionic crystals, and hydrogen-bonding in crystalline organic acids and hydrated inorganic salts. He was author or coauthor with his students of over 160 peer reviewed scientific articles in leading journals. Upon his retirement in 1995 he was named Professor Emeritus.

Throughout his career Dr. Gerkin sustained great genuine curiosity and interest in all natural phenomena and relationships beyond those subsumed by his own research. In this quality and his very considerable intelligence lay the principal source of his ability to lead so many of his students, graduate and undergraduate, and some colleagues to share and appreciate his enthusiasm for learning for its own sake and for the striking beauty of natural systems. He loved the beauty and pristine order of the natural world at all levels from subatomic to macroscopic, from the wonderfully simple order in the structure of crystals to the fundamentally amazing and ordinary flora and fauna around him. As well, he deeply understood the power of understanding in the world of basic science and the necessity of communicating its merits in carefully fashioned clear language. He loved learning and language and mathematics and music above all and, as one might expect of one who held the principles of chemical thermodynamics in reverence, he loved the music of J.S. Bach most of all.

As one of his colleagues recently remarked, "Roger could have been anything he wanted to be." It is OSU's good fortune that he wanted to be an outstanding member of the chemistry faculty and the University community. He will continue to be sorely missed by all who were privileged to work with him and learn from him.

RESOLUTIONS IN MEMORIAM (contd)

Roger E. Gerkin (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Professor Roger E. Gerkin its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Marian E. Hermance

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 27, 2001, of Marian E. Hermance, Associate Professor Emeritus in the Department of Human Nutrition and Food Management.

Professor Hermance was born in Penfield, New York, in 1916 and received her associate degree from the Rochester Institute of Technology. While she worked as an extension home economist in Lansing, she earned the B.S. degree from Michigan State University. Her M.S. degree was from Cornell University. She worked for Bausch and Lomb Co. until the start of World War II, when she enlisted in the U.S. Army Air Corps.

In 1963, Marian Hermance moved to Columbus and worked for the Ohio Cooperative Extension Service as State Extension Specialist in Foodservice Management and as an assistant professor in the Department of Human Nutrition and Food Management. Upon retiring with the title of associate professor emeritus in 1978, she relocated to Rutland, Vermont.

The primary focus of Professor Hermance's professional life was foodservice management with emphasis on food safety in different locales. She conducted workshops and trained foodservice supervisors, managers, and employees who worked in school lunch cafeterias, nursing homes, retirement centers, and in 4-H and other camps. Her extension publications included bulletins, fact sheets, newsletters, news releases, and radio scripts on quantity food production, foodservice management, meal management, and food safety and sanitation. She had enormous enthusiasm for excellence in all phases of her expertise. She constantly kept current with research and progress in the field of foodservice management. Her talks and presentations for professionals and extension clientele were stimulating, clear, and comprehensive.

Professor Hermance was a member of Tyson Church, Tyson Ladies Aid, Black River Senior Center, and Windsor County Council on Aging. She was an active volunteer and leader for a local chorus group that sang in nursing homes and hospitals. She had a wide range of other interests. She was an active member of a Sweet Adeline group for many years. Her beautiful singing voice was an asset to her church choir. Another interest was in traveling. She collected many interesting anecdotes of friends she met in her travels. She loved and enjoyed people. Her boundless energy and contagious enthusiasm were an inspiration for colleagues, students, clientele, and many friends.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Marian E. Hermance its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

Harry J. Keys

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on April 2, 2001, of Harry J. Keys, M.D., Clinical Assistant Professor Emeritus in the Department of Obstetrics and Gynecology.

RESOLUTIONS IN MEMORIAM (contd)

Harry J. Keys (contd)

Dr. Keys was born in Columbus, Ohio, in 1914, and received his M.D. degree from Ohio State in 1941. After military service with the U.S. Army, stationed in England and France, he trained in obstetrics and gynecology at St. John's Hospital, Brooklyn, New York, from 1946 until 1949, at which time Dr. Keys returned to Columbus and entered private practice. He joined the faculty at Ohio State in 1950 as an instructor, being promoted to clinical assistant professor in 1954. He achieved emeritus status in 1985.

Dr. Keys was a member of Phi Delta Theta; Fellow, American College of Obstetricians and Gynecologists; Alpha Omega Alpha; the American College of Surgeons; and Academy of Medicine of Franklin County. Dr. Keys was an active participant in Ob/Gyn clinics at Ohio State, teaching medical students and residents for 35 years. He also attended and contributed to teaching conferences for ten years, from 1975-1985.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Harry J. Keys its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Dale W. Kretchman

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 27, 2001, of Dr. Dale W. Kretchman, Professor Emeritus in the Department of Horticulture and Crop Science.

Dr. Kretchman was born in Berrien Springs, Michigan, in 1931. In 1954-1956 he served as a first lieutenant in the United States Army. He received his B.S. (1953), M.S. (1954), and Ph.D. (1958) degrees from Michigan State University. From 1958 to 1963 he was an assistant horticulturist at the Citrus Experiment Station, Lake Alfred, Florida. In 1963 he was appointed associate professor in the Department of Horticulture at the Ohio Agricultural Research and Development Center and The Ohio State University. He attained full professor status in 1967 and remained on the faculty of the horticulture department at OARDC/OSU until his retirement in 1992.

Professor Kretchman's area of expertise was the culture and physiology of cucumber and tomato for processing. He also worked with fertilization of vegetable crops and direct seeding of small vegetables in the U.S. and Brazil. He authored many articles relative to effects of daminozide and ethephon on vegetable transplant quality, plant growth and development, and yield. He studied the effects of water stress on pickling cucumber and the response of several vegetables to defoliation due to hail.

He was a member of several scientific and professional societies: American Society for Horticultural Science, Council for Agricultural Science and Technology, Michigan State Horticultural Society, Florida State Horticultural Society, Sigma Xi, Phi Kappa Phi, and the Growth Regulator Society of America. He was a member of the local and national chapters of the Izaak Walton League, and was named chairman of the National Executive Board for the 2000-2001 year.

Dale Kretchman was an avid fisherman, hunter, and all-around outdoorsman. He enjoyed elk hunting in Wyoming, as well as deer hunting in Ohio. He enjoyed fishing in the ocean off the Florida coast, as well as in Lake Erie or Lake Michigan. He taught everyone he met how to conserve our natural resources and for over 30 years volunteered to teach hunter safety to youth so that thousands could enjoy the outdoors as he did.

RESOLUTIONS IN MEMORIAM (contd)

Dale W. Kretchman (contd)

On behalf of the University community, the Board of Trustees expresses to the family of Professor Dale W. Kretchman its deepest sympathy and sense of understanding of their loss. It was directed that the resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Yurii Kyogoku

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 7, 2001, of Yurii Kyogoku, Instructor Emeritus in The Ohio State University Libraries.

Ms. Kyogoku was born on April 13, 1916, near Hiroshima, Japan, and came to the United States with her family in 1919. After receiving a B.A. degree in English from the University of California - Berkeley in 1936, Ms. Kyogoku returned to Japan. From 1941 to 1944 she was assistant librarian at the Japan Institute for Research in Labour in Tokyo. After the war, Ms. Kyogoku taught as an assistant professor in the English department of Kyoto Women's College, with responsibility for courses in American literature, from 1950 to 1952 and 1954 to 1957. In 1953 she attended a Buddhist seminary and was ordained as a Buddhist priest.

In 1958 Ms. Kyogoku returned to the United States, where she became a citizen in 1963. After working for several years at Buddhist churches in Fresno, California, and in Minneapolis, Minnesota, she pursued her interest in librarianship and received an M.A. degree in library science from the University of Minnesota in 1963. In that year she commenced her career at The Ohio State University Libraries as a general cataloger for books in English, French, and Japanese. A supervisor's evaluation in 1965 described Ms. Kyogoku as "a dedicated, thorough, and careful worker in the catalog department. Her work is of very high quality." In 1971 The Ohio State University awarded her tenure as an instructor.

Ms. Kyogoku's entire career was in the catalog department of The Ohio State University Libraries. In 1972 she became head of Serial Cataloging. Throughout her service for the Libraries, Ms. Kyogoku was an important figure in the development of on-line cataloging, first through OCLC and then at OSU when the Libraries pioneered in its own on-line catalog. When she retired in 1982, the director of Libraries commented, "As a cataloger during this entire period you have made a remarkable, permanent contribution to the Libraries' major bibliographic access tool, its catalog; and you are to be heartily commended for that effort because there is no doubt that library patrons will benefit from your work for generations to come."

At the time of her retirement, Ms. Kyogoku donated to the OSU Libraries 523 volumes of Japanese books, which had been collected by her father, Itsuzo Kyogoku, a Buddhist clergyman. Some bear a stamp "Topaz Public Library," and most deal with religious or philosophical topics. During World War II, Mr. Kyogoku was interned at the Topaz, Utah Relocation Camp for Japanese-Americans, and he made these books available to other camp inmates. This donation to OSU represents an extraordinary contribution because most Japanese-Americans abandoned their books when they were forced to move to the relocation camps.

Librarianship was one part of her very active life. In addition to participating in meetings of the American Library Association, the Academic Libraries Association of Ohio, and the Ohio Valley Group of Technical Services Librarians, Ms. Kyogoku lectured frequently on Buddhism and various aspects of Japanese culture and volunteered for the Leukemia Society, Multiple Sclerosis, and the March of Dimes. She enjoyed basketball and tennis, as well as cultural pursuits. An avid reader, she loved mystery stories.

On behalf of the University community, the Board of Trustees expresses to the family, friends, and colleagues of Ms. Yurii Kyogoku its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to her family as an expression of the Board's heartfelt sympathy.

RESOLUTIONS IN MEMORIAM (contd)

Kenneth E. McClure

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on July 1, 2001, of Kenneth E. McClure, Assistant Professor Emeritus in the Department of Animal Sciences.

Ken McClure was born on June 23, 1929, in Greenville, Ohio. After high school he served four years in the U.S. Navy, returning to college in 1950. He received his B.S. and M.S. degrees in animal science from Ohio State University in 1954 and 1959, respectively. In 1961 he was hired as a technical assistant in the animal science department at the Ohio Agricultural Experiment Station in Wooster. He was promoted to the rank of instructor in 1965 and to assistant professor in 1969, where he remained until his retirement in August 2000.

His most notable scientific accomplishment was the discovery that carcasses of lambs finished on alfalfa pasture have as much muscle mass as carcasses from lambs finished on concentrate diets, even though the forage grazed lambs have lighter daily gains and lighter carcasses. The two seminal papers in the *Journal of Animal Science* describing this work should become historical landmarks on the nutritional basis for growing lean high-protein lambs. In his early career, Professor McClure was a staff member in the Department of Animal Science and often carried out the basic research procedures needed for sound scientific projects. As such, he was the technical collaborator for the studies on corn plant digestibility, the precision of the artificial rumen technique, use of round bales for sheep, and studies with grazing lambs.

After being promoted to assistant professor he became an important participant in the regional research project on efficiency of sheep production (NC-111). His collaborative research for the Eastern Region on digestion of fiber in feed lot diets and rotational grazing for fattening lambs was of great interest to sheep producers. Other major research efforts in which he was involved were high fat diets for lambs, selenium in wool, blastocyst development and fertility, sheep parasites, and recycling edible wastes.

Ken McClure was author or coauthor of 45 scientific journal publications, as well as numerous abstracts and symposium presentations. He also served as an international consultant to Brazil in 1984, teaching a short course on "Sheep production, pasture management and nutrition of ruminants."

On behalf of the University community, the Board of Trustees expresses to the family of Professor Kenneth E. McClure its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Orville E. Russell

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 7, 2000, of Dr. Orville Eugene Russell, Professor Emeritus in the College of Dentistry.

Dr. Russell, a native of Tiltonsville, Ohio, graduated from Ohio University with a Bachelor of Arts degree in 1939. He spent the following year as a special student taking science courses, then taught mathematics and general science at New Straitsville High School for two years, after which he entered service in the U.S. Army for the duration of World War II.

Dr. Russell entered The Ohio State University College of Dentistry, earning a Doctor of Dental Surgery degree in 1947, as well as the Callahan Award as the top graduate in his class and membership in Omicron Kappa Upsilon Dental Honor Society. During his junior and senior years, he was selected as a student assistant in the anatomy department. Upon graduation, he was appointed dental intern at the University Hospital and the College of Dentistry and a graduate

RESOLUTIONS IN MEMORIAM (contd)

Orville E. Russell (contd)

student in the Department of Anatomy. The following year, he joined the faculty as an instructor in oral surgery and anatomy, and served in this capacity until 1952, when he was recalled to the military during the Korean War. Dr. Russell served as a captain in the U.S. Air Force Dental Corps for the next two years.

He returned to the College of Dentistry and the Department of Anatomy in 1954 and advanced through the ranks to professor of oral surgery in 1971 and professor of anatomy in 1972. Dr. Russell retired in 1985, having served on the faculty of the University for 36 years. As an oral surgeon, he served on the staffs of the University Hospital and St. Anthony's Hospital and was a consultant to the Veterans' Administration Hospital in Chillicothe. He was a member of the American Dental Association, the American Dental Society of Anesthesiology, and the American Society of Oral Surgeons.

He served the college on its first admissions committee and continued his service for several years. For more than a decade, he was the secretary of Theta Chapter, Omicron Kappa Upsilon Dental Honor Society, having previously served as its president. On his retirement in 1985, the honor society created the "Orville E. Russell Award for Excellence," to be given annually to an outstanding second-year student. Dr. Russell instilled in his students and faculty colleagues a sense of pride in pursuing scholarship and high moral values. He was a demanding but fair teacher.

Dr. Russell also served his community of London, Ohio, well. He was a member of the Madison County Airport Authority, the Madison County Genealogical Society, and the Madison County Bowling Association, for which he was secretary for many years.

On behalf of the University community, the Board of Trustees expresses to the family of Dr. Orville Eugene Russell its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Edward J. Taaffe

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on June 26, 2001, of Dr. Edward J. "Ned" Taaffe, Professor Emeritus in the Department of Geography.

After earning bachelor's degrees in both journalism and meteorology, Dr. Taaffe served as a first lieutenant in the United States Army Air Force during World War II in Western Europe. After marrying Marialyce Dunne in 1948, Dr. Taaffe received his M.S. degree in 1949, and his Ph.D. degree in 1952, both in geography. He taught at Loyola University in Chicago from 1951-1958; then at Northwestern University. In 1962 he, Marialyce, and their eight children moved to Columbus, where Ned was appointed to be chair of the Department of Geography at The Ohio State University.

Through writing and teaching Dr. Taaffe established himself as an expert in the field of spatial analysis. He was enormously effective in promulgating the "Quantitative Revolution"; is credited with guiding and shaping the Ohio State Department of Geography into one of the premier departments in North America; and accordingly, strongly influenced the direction of geography as a discipline. Dr. Taaffe was elected president of the American Association of Geographers (1971-1972), received an AAG Honors Award in 1982, and in 1983 a Master Teacher Award of the National Council for Geographic Education (the highest recognitions of those professional societies.) Ned also was the first recipient, in 1990, of the Ullman Award in Transportation Geography, the major recognition in that specialty.

RESOLUTIONS IN MEMORIAM (contd)

Edward J. Taaffe (contd)

Dr. Taaffe authored numerous articles and books, served as a member of the *World Book Encyclopedia* editorial board, and as a consulting editor with McGraw-Hill Publishing Company. His 1974 Presidential Address, "Spatial View in Context," remains today a highly influential and cited piece, 27 years later. His 1973 *Geography of Transportation* is the major textbook on the subject, now in its 2nd Edition. Ned frequently commented on how much he enjoyed working with Marialyce on these projects, often in scenic provincial and state parks located throughout Ohio, Michigan, Minnesota, Ontario, and other locales.

Although Dr. Taaffe retired from the geography department in 1992, he continued to contribute by writing, collaborating with colleagues, mentoring students, and presenting at national conferences. The Edward J. Taaffe Colloquium Series at Ohio State was established in 1996 in recognition of his contributions to the field of geography and higher education. The commemorative plaque reads "In recognition of outstanding service as Chair, 1962-1974; Teacher and Mentor; Major Contributor to Spatial Analysis and Geography." Ned was an avid participant in these, other departmental events, and simply meeting with colleagues -- as a loquacious academic ever interested in professional issues of the day, and as an unbowed "new deal" democrat always eager to talk politics.

A primary tenet of Ned's, stated and re-stated through the years, was that undergraduate and graduate education are intimately linked. As a result, all faculty were expected to teach an introductory geography course, however high profile they were as a research and professional geographer. The practice continues to this day.

Ned's deep attachment to his family and friends, his sense of humor, enthusiasm for learning and good conversation, his integrity and, above all, his kindness are the invaluable gifts he left all of us.

On behalf of the University community, the Board of Trustees expresses to the family, friends, and colleagues of Professor Edward J. "Ned" Taaffe its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2002-24

Synopsis: The reports on research and other sponsored program contracts and grants and the summaries for June and July 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the months of June and July 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-25

Synopsis: The report on the receipt of gifts and the summary for June 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of The Jack L. Beal Chair in Medicinal Chemistry and Pharmacognosy and The Edwin M. Cooperman Designated Professorship in Law; and

WHEREAS this report includes the establishment of twenty-three (23) new named endowed funds and the amendment of eight (8) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of June 2001 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July through June
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars July through June		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$28,366,106	\$62,061,417	119
Alumni (From Bequests)	<u>9,162,620</u>	<u>7,514,087</u>	(18)
Alumni Total	\$37,528,726	\$69,575,504	85
Non-Alumni (Current Giving)	\$21,439,562	\$24,212,654	13
Non-Alumni (From Bequests)	<u>5,967,890</u>	<u>10,094,396</u>	69
Non-Alumni Total	\$27,407,452	\$34,307,050	25
Individual Total	\$64,936,178	\$103,882,554	60 ^A
Corporations/Corp/Foundation	\$64,245,463	\$65,393,047	2
Private Foundations	\$23,174,809	\$22,810,760	(2)
Associations and Other Organizations	<u>\$21,972,440</u>	<u>\$18,464,494</u>	(16) ^B
Total	\$174,328,890	\$210,550,855	21

NOTES

- A Individual giving at the \$10,000 or more level is up for this year (\$68.4 million from 548 gifts this year; \$43.4 million from 532 gifts last year). Included in this year's individual giving total is one gift from an alumnus of \$30.2 million.
- B Other organizations and associations have given about \$3.4 million less at the \$10,000 or more level so far this year compared with last year.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-June
1999-2000 Compared to 2000-2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through June		
	<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$22,980,320	\$29,828,669	30
Faculty Support	\$21,504,609	\$24,228,488	13
Program Support	\$104,225,327	\$122,165,717	17
Student Financial Aid	\$15,400,001	\$23,533,599	53
Annual Funds-Colleges/Departments	\$8,394,841	\$9,006,443	7
Annual Funds-University	<u>\$1,823,792</u>	<u>\$1,787,939</u>	(2)
Total	\$174,328,890	\$210,550,855	21

GIFT ADDITIONS TO ENDOWMENT

Dollars <u>July through June</u>		
<u>1999-2000</u>	<u>2000-2001</u>	<u>% Change</u>
\$47,799,201	\$61,303,351	28

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Chair</u>			
The Jack L. Beal Chair in Medicinal Chemistry and Pharmacognosy (Support the start-up, salary, research, and teaching program of a distinguished professor of pharmacognosy and natural products chemistry in the Division of Medicinal Chemistry and Pharmacognosy)		\$1,573,408.00	\$1,573,408.00
<u>Establishment of Named Endowed Funds</u>			
The Honda/TRC Classroom of the Future Fund (Support innovative efforts to improve distance and on-line learning facilities within the College of Engineering)		\$1,013,747.00	\$1,013,747.00
The Reading Recovery® Endowed Fund (Support the salaries and research activities of tenure-track faculty in Reading Recovery® in the College of Education – in addition, income may be used to support the continuation of Reading Recovery® and related literacy projects at OSU)		\$760,000.00	\$760,000.00
The Thomas A. and Diann G. Mann Distinguished Symposium Series Fund (Fund annual year-long symposiums in the College of Humanities in the area of Jewish studies)		\$100,000.00	\$100,000.00
Robert E. Lauer Scholarship Fund (Provide scholarship(s) to second-year students in the College of Dentistry who have demonstrated high accomplishment and ability in anatomy)		\$25,040.00	\$25,040.00
The General Internal Medicine Research Fund (Provide one or more awards to active faculty of the Division of General Internal Medicine in the Department of Internal Medicine in the College of Medicine and Public Health)		\$25,000.00	\$25,000.00
The Dr. Robert Rizzitano Scholarship Fund (Support one student per year who is planning to pursue a practice emphasizing small animal medicine)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name of Named Endowed Fund

From: The Partners and Employees of Price Waterhouse
Endowment Fund
To: The Price Waterhouse Coopers Endowment Fund

Change in Description of Named Endowed Funds

The Elizabeth D. Gee Research on Women Endowed Fund
The Grayce M. Sills Professorship Fund

Change in Name and Description of Named Endowed Funds

From: The Iola Keller Brown Scholarship Fund
To: The Richard T. and Iola Keller Brown Scholarship Fund

From: The Agricultural Leaders Fund
To: The Sterling W. and Fayette F. Simonds Study Abroad Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Designated Professorship</u>			
The Edwin M. Cooperman Designated Professorship in Law (Provide salary and research support of a professorship position in the College of Law)		\$126,870.00	\$126,870.00
<u>Establishment of Named Endowed Funds</u>			
The Honda/TRC Funds Endowment for Interdisciplinary Research Infrastructure Support (Support centralized interdisciplinary transportation-related research laboratory facilities within the College of Engineering)		\$2,077,035.00	\$2,077,035.00
The Doris Duke Endowment Fund for the Performing Arts at the Wexner Center for the Arts (Support artistic programming needs including artists fees, residency costs, commissions, and presenting costs in the performing arts)		\$400,000.00	\$400,000.00
The James L. Deagle Innovation Fund (Promote initiatives by the faculty and staff designated to increase the quality of teaching, research, and involvement with the business community)		\$201,272.90	\$201,272.90

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Joseph G. and Mary B. Kaenzig Innovation Endowment Fund (Support activities of the faculty, students and staff in accordance with the strategic plans of the college)		\$158,851.85	\$158,851.85
The Gerard Paul Planchard Medalist Scholarship Fund (Provide an undergraduate merit scholarship – University Merit Honors Center)		\$101,024.93	\$101,024.93
The Deagle Family Scholarship Fund (Provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the Varsity Football team)		\$100,000.00	\$100,000.00
The Consolidated Electric Cooperative Endowed Scholarship Fund (Provide scholarships for undergraduate students seeking their first degree at any campus of The Ohio State University)		\$50,000.00	\$50,000.00
The Modern Greek Studies Fund (Support the teaching and research mission of the Modern Greek Program as determined by the chairperson of the Department of Greek and Latin)		\$50,000.00	\$50,000.00
The Robert D. and Margaret M. Walter Endowment Fund For Cancer Research (Support research in population sciences and cancer control at the Comprehensive Cancer Center)		\$50,000.00	\$50,000.00
The Robert L. McCombs Cancer Research Endowment Fund (Support cancer research at the Comprehensive Cancer Center)		\$48,410.26	\$48,410.26
The John Circle Memorial Fund (Provide a scholarship for a deserving student(s) majoring in civil and environmental engineering or geodetic science with a 3.0 minimum G.P.A.)		\$45,607.00	\$45,607.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Carole A. Anderson Graduate Fellowship Endowment Fund (Provide fellowships to students pursuing full-time graduate study in the College of Nursing)		\$35,863.12	\$35,863.12
The Daryl and Roberta Siedentop Professorship Fund in Children's Sport and Physical Education (Support the activities of a distinguished professor in the field of children's sport and physical education)		\$26,000.00	\$26,000.00
Sneaker the Cat Endowed Scholarship Fund (Provide one scholarship each year for a third- or fourth-year student enrolled in the College of Veterinary Medicine interested in pursuing a career in small animal medicine)		\$25,700.00	\$25,700.00
The Jonathan William Ellinger Memorial Scholarship Fund in Materials Science and Engineering (Support for materials science and engineering students who are academically strong and deserving of financial assistance)		\$25,364.00	\$25,364.00
The Richard Warman Bailey Graduate Fellowship Fund in Engineering (Support a fellowship in the College of Engineering for a graduate student(s))		\$25,000.00	\$25,000.00
The J. William McKinley Athletic Scholarship Fund (Supplement the student-athlete grant-in-aid scholarship costs of student athletes who are members of the varsity football team who are pursuing undergraduate degrees)		\$25,000.00	\$25,000.00
<u>Change in Description of Named Endowed Funds</u>			
The Joel Arnold Scholarship Fund in Pharmacy The Ohio State University Foundation Unrestricted Endowment Fund			
<u>Change in Name and Description of Named Endowed Fund</u>			
From: The Elizabeth D. Gee Endowment Fund for Research on Women			
To: The Coca-Cola Critical Difference for Women Research on Women Endowed Fund			
Total		\$7,094,194.06	\$7,094,194.06

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

The Jack L. Beal Chair in Medicinal Chemistry and Pharmacognosy

The Jack L. Beal Chair in Medicinal Chemistry and Pharmacognosy was established August 29, 2001, by the Board of Trustees of The Ohio State University with gifts from friends and students of Jack L. Beal.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The Jack L. Beal Chair was established to honor Professor Emeritus and Associate Dean Jack L. Beal. Professor Beal spent his 34-year academic career at The Ohio State University College of Pharmacy. Dr. Beal was friend, mentor, and teacher to thousands of professional and graduate students and a distinguished international scientist in the fields of pharmacognosy and natural products chemistry.

The annual income from the endowment will be used to support the start-up, salary, research, and teaching program of a distinguished professor of pharmacognosy and natural products chemistry in the Division of Medicinal Chemistry and Pharmacognosy. This professor will be appointed by recommendation of the director of the Division of Medicinal Chemistry and Pharmacognosy and by approval of the dean of the College of Pharmacy. Funds may be used to develop the overall program in pharmacognosy and natural products chemistry during periods in which the chair is open, with concurrence of the division director and the dean.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$1,573,408.00

Establishment of Named Endowed Funds

The Honda/TRC Classroom of the Future Fund

The Honda/TRC Classroom of the Future Fund was established August 29, 2001, by the Board of Trustees of The Ohio State University with a gift from the Transportation Research Center, Inc. ("TRC") of East Liberty, Ohio, in recognition of Honda Motor Co., Ltd.'s and Honda of America Mfg., Inc.'s (collectively "Honda") established interest in advancing the delivery of engineering education.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of engineering to support innovative efforts to improve distance and on-line learning facilities within the College of Engineering. The income may be used for facility construction costs including the servicing of bonds used to meet those costs. Honda will be recognized appropriately for its role in each facility.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Honda/TRC Classroom of the Future Fund (contd)

The Honda-OSU Planning Team ("Team") or its successor will offer the dean recommendations for the use of the income. The dean will report annually to the Team, TRC, and Honda on results of the previous year and the goals, objectives, and plans for the upcoming year; these reports will include the use of all fund earnings over the same two-year period.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$1,013,747.00

The Reading Recovery® Endowed Fund

The Reading Recovery® Endowed Fund was established August 29, 2001, by the Board of Trustees of The Ohio State University with gifts from Reading Recovery® and Early Literacy, Inc.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the salaries and research activities of tenure-track faculty in Reading Recovery® in the College of Education. In addition, income may be used to support the continuation of Reading Recovery® and related literacy projects at The Ohio State University.

The income from this endowment will be returned to the principal annually until the original gift, additions to the fund, and reinvested income total \$2.5 million. At that point the income will be used for the purposes outlined above.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Education in order to carry out the desire of the donors.

\$760,000.00

The Thomas A. and Diann G. Mann Distinguished Symposium Series Fund

The Thomas A. and Diann G. Mann Distinguished Symposium Series Fund was established August 29, 2001, by the Board of Trustees of The Ohio State University with gifts from Thomas A. and Diann G. Mann.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Thomas A. and Diann G. Mann Distinguished Symposium Series Fund (contd)

The annual income shall be used to fund annual year-long symposiums in the College of Humanities, in which three eminent scholars, along with Ohio State University faculty, present lectures on a chosen topic in the area of Jewish studies.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of as recommended by the dean of the College of Humanities in order to carry out the desire of the donors.

\$100,000.00

Robert E. Lauer Scholarship Fund

The Robert E. Lauer Scholarship Fund was established August 29, 2001, by the Board of Trustees of The Ohio State University with gifts from family, alumni, and friends in memory of Dr. Robert E. Lauer (D.D.S. 1961).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship(s) to second-year students in the College of Dentistry who have demonstrated high accomplishment and ability in anatomy. The selection of the recipient will be determined by consultation between the faculty of anatomy and the dean of the College of Dentistry in concert with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Dentistry.

\$25,040.00

The General Internal Medicine Research Fund

The General Internal Medicine Research Fund was established August 29, 2001, by the Board of Trustees of The Ohio State University with gifts from the faculty of the Department of Internal Medicine of The Ohio State University College of Medicine and Public Health.

All gifts are to be invested in the University's permanent endowment fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more awards to active faculty of the Division of General Internal Medicine in the Department of Internal Medicine in the College of Medicine and Public Health. The award may cover supplies, funds for student researchers, or equipment needed to perform pilot research studies. The selection of the recipient(s) shall be made at the recommendation of the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, in consultation with the chairperson of the Department of Internal

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The General Internal Medicine Research Fund (contd)

Medicine and the director of general internal medicine or in consultation with an ad hoc selection committee whose members are determined by the aforementioned chairperson and director.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$25,000.00

The Dr. Robert Rizzitano Scholarship Fund

The Dr. Robert Rizzitano Scholarship Fund was established August 29, 2001, by the Board of Trustees of The Ohio State University with gifts from a friend of Dr. Robert Rizzitano (D.V.M. 1990).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one student per year who is planning to pursue a practice emphasizing small animal medicine. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$25,000.00

Change in Name of Named Endowed Fund

The Price Waterhouse Coopers Endowment Fund

The Partners and Employees of Price Waterhouse Endowment Fund was established December 15, 1976, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University from alumni and friends on the staff of Price Waterhouse and the Price Waterhouse Foundation. The description was revised on March 2, 1984, and the name was revised on August 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Change in Name of Named Endowed Fund (contd)

The Price Waterhouse Coopers Endowment Fund (contd)

The annual income shall be used for financial assistance to undergraduate and graduate accounting students, for continuing education of its faculty members, for research programs undertaken by faculty members, for the recruitment of new faculty members, and for purposes deemed appropriate by the academic faculty of accounting. Requests for expenditures of funds will be initiated by the chairperson of the department and approved by the dean of the Fisher College of Business and the partner-in-charge at Price Waterhouse Coopers, Columbus, Ohio.

If the need for this fund should cease to exist or so diminish as to provide unused income, then the income may be used for any purpose whatsoever as designated by the partner-in-charge of Price Waterhouse Coopers, Columbus, Ohio, or if no such person exists or the fund ceases to be active, as determined by the Board of Trustees, with preference being given to recommendations from the appropriate administrative official of the University who is then directly responsible for accounting education.

Change in Description of Named Endowed Funds

The Elizabeth D. Gee Research on Women Endowed Fund

The Elizabeth D. Gee Research on Women Endowed Fund, a Department of Women's Studies project, was established on November 6, 1992, by the Board of Trustees of The Ohio State University with gifts from friends and admirers of Elizabeth Gee. The description was revised August 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be distributed to the Department of Women's Studies in the College of Humanities to provide research grants to faculty and graduate students conducting research on women, gender, and gender equity. This research fund shall be administered by the Department of Women's Studies. In any given year that there are no eligible recipients the endowment income shall be added to the existing principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. Should the need for this fund cease to exist or so diminish as to provide unused income, and then the income may be used for any purpose whatsoever as determined by the Board of Trustees, with preference being given to recommendations from the chairperson of the Department of Women's Studies and the dean of the College of Humanities.

The Grayce M. Sills Professorship Fund

The Grayce M. Sills Professorship Fund was established September 1, 1993, by the Board of Trustees of The Ohio State University with gifts from alumni, friends, and faculty of the College of Nursing. The description was revised on August 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Change in Description of Named Endowed Funds (contd)

The Grayce M. Sills Professorship Fund (contd)

The annual income shall be used to provide a professorship in psychiatric-mental health nursing, or to support a visiting professor in psychiatric-mental health nursing in the College of Nursing. Appointment to the Grayce M. Sills Professorship in Psychiatric-Mental Health Nursing will be recommended by the dean of the College of Nursing to the provost and approved by the Board of Trustees.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Nursing in order to carry out the desire of the donors.

Change in Name and Description of Named Endowed Funds

The Richard T. and lola Keller Brown Scholarship Fund

The lola Keller Brown Scholarship Fund was established September 7, 1990, by the Board of Trustees of The Ohio State University with gifts from Richard T. Brown (B.S.Agr. 1942, B.Agr.E. 1946) and family in honor of Richard's wife lola Keller Brown (B.S.H.E. 1941). The name and description were revised August 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for upperclassmen majoring in human ecology and agricultural engineering who demonstrate academic promise, leadership among peers and service to the University. The scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

The Sterling W. and Fayette F. Simonds Study Abroad Fund

The Agricultural Leaders Fund was established September 5, 1986, by the Board of Trustees of The Ohio State University with gifts from Fayette F. Simonds, Lois Simonds Hungate (B.S.H.E. 1951, M.S. 1958, Ph.D. 1967) Florence Simonds Benson (B.S.H.E. 1955), and other family and friends of Sterling W. Simonds (Certificate of Horticulture 1918). This fund is in memory of Sterling W. and Fayette F. Simonds. The name and description were revised on August 29, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Sterling W. and Fayette F. Simonds Study Abroad Fund (contd)

The annual income shall be used to support undergraduate students studying abroad for academic credit and shall be awarded for participation in formal courses (excluding independent studies). Uses shall include, but not be exclusively for: transportation, books, fees, and tuition. The use of the annual income shall be directed by the vice president for Agricultural Administration and University Outreach, or their designee, in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach, and other appropriate administrative officers in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Designated Professorship

The Edwin M. Cooperman Designated Professorship in Law

The Edwin M. Cooperman Designated Professorship in Law at The Michael E. Moritz College of Law was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation. It is created with a gift from Edwin M. Cooperman (J.D. 1967) of Boca Raton, Florida, which will be invested and spent at a rate of \$37,500 per year for a five-year period.

The annual rate shall provide salary and research support of a professorship position at the Moritz College of Law. Appointment to the professorship shall be recommended by the dean of the Moritz College of Law and the provost and approved by the Board of Trustees.

The designated professorship may be renewed at the prevailing amount and term at the time of renewal.

\$126,870.00

Establishment of Named Endowed Funds

The Honda/TRC Funds Endowment for Interdisciplinary
Research Infrastructure Support

The Honda/TRC Funds Endowment for Interdisciplinary Research Infrastructure Support was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the Transportation Research Center, Inc. ("TRC") of East Liberty, Ohio, in recognition of Honda Motor Co., Ltd.'s and Honda of America Mfg., Inc.'s (collectively "Honda") established interest in enriching laboratories for world-class, interdisciplinary transportation-related research.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Honda/TRC Funds Endowment for Interdisciplinary
Research Infrastructure Support (contd)

The annual income shall be used by the dean of Engineering to support centralized interdisciplinary transportation-related research laboratory facilities within the College of Engineering. The Honda-OSU Planning Team ("Team") or its successor will offer the dean recommendations for the use of the income. The dean will report annually to the Team, TRC, and Honda on results of the previous year and the goals, objectives, and plans for the upcoming year; these reports will include the use of all fund earnings over the same two-year period.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$2,077,035.00

The Doris Duke Endowment Fund for the Performing Arts
at the Wexner Center for the Arts

The Doris Duke Endowment Fund for the Performing Arts at the Wexner Center for the Arts was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from the Doris Duke Charitable Foundation and other donors yet to be identified.

All gifts are to be invested by the OSU Foundation, under the rules and regulations adopted by the OSU Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

Income from the Doris Duke Endowment for the Performing Arts at the Wexner Center for the Arts shall be used to support artistic programming needs including artists fees, residency costs, commissions, and presenting costs in the performing arts. No income from the endowment may come to the Wexner Center for the Arts until the endowment has matured (June 30, 2003) per the terms of the Doris Duke agreement.

It is the desire of the donor that this fund should benefit the Wexner Center for the Arts and the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the OSU Foundation Board in consultation with the director of the Wexner Center and a representative from the Doris Duke Charitable Foundation in order to carry out the desire of the donor.

\$400,000.00

The James L. Deagle Innovation Fund

The James L. Deagle Innovation Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from James L. Deagle (B.S.Bus.Adm. 1961) of Naples, Florida.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The James L. Deagle Innovation Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of the Fisher College of Business to promote initiatives by the faculty and staff designated to increase the quality of teaching, research, and involvement with the business community.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the Fisher College of Business in order to carry out the desire of the donor.

\$201,272.90

The Joseph G. and Mary B. Kaenzig Innovation Endowment Fund

The Joseph G. and Mary B. Kaenzig Innovation Endowment Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from J. Gary Kaenzig, Jr. (B.S.Bus.Adm. 1967) and Helen A. Kaenzig of Hilton Head Island, South Carolina.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of the Max M. Fisher College of Business to support activities of the faculty, students, and staff in accordance with the strategic plans of the college.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$158,851.85

The Gerard Paul Planchard Medalist Scholarship Fund

The Gerard Paul Planchard Medalist Scholarship Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Gerard Paul Planchard (B.S. 1956) of Canton, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide an undergraduate merit scholarship for The Gerard Paul Planchard Medalist Scholarship. The scholarship will be administered by the University Honors and Scholars Center in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Gerard Paul Planchard Medalist Scholarship Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$101,024.93

The Deagle Family Scholarship Fund

The Deagle Family Scholarship Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Jim (B.S.Bus.Adm. 1961) and Bonnie Deagle of Delaware, Ohio, and Naples, Florida.

This scholarship was established by the Department of Athletics. All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the varsity football team. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$100,000.00

The Consolidated Electric Cooperative Endowed Scholarship Fund

The Consolidated Electric Cooperative Endowed Scholarship Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift of \$50,000 from the Consolidated Electric Cooperative.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for undergraduate students seeking their first degree at any campus of The Ohio State University. The recipients must be a Consolidated Electric Cooperative member or dependent of a member. It is preferred that the income be distributed to three students each year who demonstrate financial need, are in the 50 to 80 percentile rank of their high school class, or have attained a minimum of a 2.5 GPA in college. Scholarship amounts for students who are matriculating less than full time will be prorated accordingly. The scholarships will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Consolidated Electric Cooperative Endowed Scholarship Fund (contd)

It is the desire of the donor that this fund should benefit The Ohio State University at Marion in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean/director of The Ohio State University at Marion, in consultation with the Ohio State Marion Scholarship Committee and the University Committee on Student Financial Aid, in order to carry out the desire of the donor.

\$50,000.00

The Modern Greek Studies Fund

The Modern Greek Studies Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, from the earnings of the Modern Greek Studies development account in the Department of Greek and Latin.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the teaching and research mission of the Modern Greek Program as determined by the chairperson of the Department of Greek and Latin.

It is desired that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Humanities in consultation with the chairperson of the Department of Greek and Latin.

\$50,000.00

The Robert D. and Margaret M. Walter Endowment Fund For Cancer Research

The Robert D. and Margaret M. Walter Endowment Fund For Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation with a gift from Robert D. and Margaret M. Walter of Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support research in population sciences and cancer control at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Robert D. and Margaret M. Walter Endowment Fund For Cancer Research (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$50,000.00

The Robert L. McCombs Cancer Research Endowment Fund

The Robert L. McCombs Cancer Research Endowment Fund at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Robert L. McCombs.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donor.

\$48,410.26

The John Circle Memorial Fund

The John Circle Memorial Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Charlotte Circle of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide a scholarship for a deserving student (or students) majoring in civil and environmental engineering or geodetic science with a 3.0 minimum G.P.A. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The John Circle Memorial Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the civil and environmental engineering and geodetic science department chairperson in order to carry out the desire of the donor.

\$45,607.00

The Carole A. Anderson Graduate Fellowship Endowment Fund

The Carole A. Anderson Graduate Fellowship Endowment Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from her family, friends, and faculty and alumni of the College of Nursing.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be added to the Anderson Graduate Fellowship Endowment's principal until the principal accumulates to \$100,000. Thereafter, the annual income shall be used to provide fellowships to students pursuing full-time graduate study at The Ohio State University College of Nursing immediately following their graduation from The Ohio State University College of Nursing undergraduate program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Nursing in order to carry out the desire of the donors.

\$35,863.12

The Daryl and Roberta Siedentop Professorship Fund
in Children's Sport and Physical Education

The Daryl and Roberta Siedentop Professorship Fund in Children's Sport and Physical Education was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Daryl L. Siedentop and Roberta H. Siedentop (B.S.Ed. 1969, M.A. 1972 – major: Physical Education). The professorship will be fully funded through a bequest from the Siedentops.

Daryl Siedentop was a member of the faculty of The Ohio State University, 1970-2001. He was a professor, Sport and Exercise Sciences, senior associate dean, interim dean, and director of The Ohio State University's P-12 Project. He received the International Olympic Committee President's Prize, the National Association of Sport and Physical Education Curriculum and Instruction Academy Honor Award, and Distinguished Alumni Awards for Hope College and Indiana University. He was an Alliance Scholar for the American Alliance for Health, Physical Education, Recreation and Dance.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

**The Daryl and Roberta Siedentop Professorship Fund
in Children's Sport and Physical Education (contd)**

Roberta Siedentop received the Distinguished Alumni Award from The Ohio State University School of Health, Physical Education and Recreation; The Ohio State University College of Education Career Teacher Award; and was a Jennings Scholar in the Bexley, Ohio Schools. She taught in Bexley for 30 years and was a member of The Ohio State University College of Education Alumni Board.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

When fully funded, the annual income shall be used to support the activities of a distinguished professor in the field of children's sport and physical education, with particular preference to a faculty member who does applied research designed to improve sport and physical education experiences for children. Until fully funded, the annual income will be returned to principal.

Appointment to the professorship shall be made by the dean of the College of Education in consultation with faculty in the field of physical education.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education in order to carry out the wishes of the donors.

\$26,000.00

Sneaker the Cat Endowed Scholarship Fund

The Sneaker the Cat Endowed Scholarship Fund in Veterinary Medicine was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from David and Linda Knisley of Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one scholarship each year for a third- or fourth-year student enrolled in The Ohio State University College of Veterinary Medicine who is interested in pursuing a career in small animal medicine. The scholarship shall be awarded to a student who demonstrates financial need and has a record of high academic achievement. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean of Student Affairs and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donors.

\$25,700.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Jonathan William Ellinger Memorial Scholarship Fund
in Materials Science and Engineering

The Jonathan William Ellinger Memorial Scholarship Fund in Materials Science and Engineering was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from William H. and Judy C. Ellinger (the parents of Jonathan), siblings, family and friends in memory of Jonathan William Ellinger (B.S.Mat.Sc.Eng. 1999).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will provide scholarship support for materials science and engineering students who are academically strong and deserving of financial assistance. Each Jonathan William Ellinger Scholar will be eligible to compete for renewed selection. The chairperson shall choose the award recipients for the Department of Materials Science and Engineering in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,364.00

The Richard Warman Bailey Graduate Fellowship Fund in Engineering

The Richard Warman Bailey Graduate Fellowship Fund in Engineering was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Joan Bailey in memory of her husband, Richard Warman Bailey, Research Scientist Emeritus, (B.S. 1959 – major: Physics, Ph.D. 1973 – major: Nuclear Engineering).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall support a fellowship in the College of Engineering for a graduate student(s). The award can be annual and renewable. Preference shall be for a student(s) who received an undergraduate degree from The Ohio State University and is an U.S. citizen. Selection shall be determined by the dean of the College of Engineering, in cooperation with the dean of the Graduate School. The fellowship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another related use shall be designated by the Foundation Board.

\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The J. William McKinley Athletic Scholarship Fund

The J. William McKinley Athletic Scholarship Fund was established August 29, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from J. William McKinley (B.S.Bus.Adm. 1965) of Lucasville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of student athletes who are members of the varsity football team who are pursuing undergraduate degrees at The Ohio State University. The director of Athletics shall select recipients in consultation with the head football coach, the Student Athlete Support Services Offices, and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

Change in Description of Named Endowed Funds

The Joel Arnold Scholarship Fund in Pharmacy

The Joel Arnold Scholarship Fund in Pharmacy was established November 3, 2000, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts to the Foundation from the family and friends of A. Joel Arnold (B.S. Phar. *cum laude* 1958). The description was revised on August 29, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide student scholarships. The recipient of the scholarship shall be determined by the dean of the College of Pharmacy or his/her designee in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donors.

The Ohio State University Foundation Unrestricted Endowment Fund

The Ohio State University Foundation Unrestricted Endowment Fund was established on June 4, 1999, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with unrestricted gifts to The Ohio State University Foundation. The description was revised on August 29, 2001.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Change in Description of Named Endowed Funds (contd)

The Ohio State University Foundation Unrestricted Endowment Fund (contd)

All gifts, including all unrestricted estates left to the Foundation, are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used at the discretion of the president of The Ohio State University for the purpose of providing resources for fundraising at the University.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board of Directors.

Change in Name and Description of Named Endowed Fund

The Coca-Cola Critical Difference for Women Research on Women Endowed Fund

The Elizabeth D. Gee Endowment Fund for Research on Women was established October 7, 1994, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from The Coca-Cola Foundation. The fund name and description were revised on August 29, 2001.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide grants to Ohio State University faculty members and Ph.D. candidates to pursue research on women, gender, and gender equity and will be open to applicants University-wide. The fund and the grant award process shall be administered by the Department of Women's Studies in accordance with the management agreement for the Coca-Cola Critical Difference for Women Research on Women Endowed Fund. Award grants from this fund will be based on recommendations of a University-wide Research on Women Committee chaired by a women's studies faculty member. In any given year that endowment income is not fully expended, the unused portion shall be added to the existing principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, and then the income may be used for any purpose whatsoever as determined by the Foundation Board with preference being given to recommendations from the chairperson of the Department of Women's Studies and the dean of the College of Humanities.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2002-26

APRIL – JUNE 2001

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS (contd)

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of April - June 2001; and

WHEREAS during the period covered, the Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 52 waivers of competitive bidding requirements for annual purchases totaling approximately \$12,278,600 including \$8,125,000 for merchandise for resale by various University departments, as shown on the enclosed exhibit:

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 41 waivers of competitive bidding requirements for annual purchases totaling approximately \$12,155,900 including \$1,950,000 for merchandise for resale, as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of April - June 2001, is hereby accepted.

(See Appendix IV for background information, page 201.)

Upon motion of Mr. Slane, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Slane, McFerson, and Judge Duncan, Ms. Longaberger, Ms. Hendricks, and Ms. Davidson.

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FISCAL AFFAIRS COMMITTEE MEETING

Mr. Brennan:

The Fiscal Affairs Committee will act as a committee of the whole and I would like to ask Jim Patterson to please chair this part of the meeting.

Mr. Patterson:

The meeting of the Fiscal Affairs Committee will come to order. I would like to call on Bill Shkurti for an introduction.

Mr. William J. Shkurti:

Thank you, Mr. Chairman. I will lead off with a very pleasant announcement. For the last several months, we've been searching for a new director for internal audit for the University, which is an extremely critical position. My colleague, Janet Ashe, graciously agreed to chair that committee. Janet, since this is your last Board meeting, I'll express my appreciation for that and many other things that you've done to help the University.

The committee looked nationwide; we had over fifty people from all over the country apply for the position. Eleven of them were of enough interest to invite

FISCAL AFFAIRS COMMITTEE MEETING (contd)

Mr. Shkurti: (contd)

into the campus for interviews. After the committee's work was done, Janet informed me they had found someone who was highly professional, someone who had the judgment to sort out what is important in terms of internal audit, which is extremely important, and someone of unquestioned integrity, and that someone turned out to be someone right under our nose. I'm happy to announce that Kevin Patton has accepted my offer to be director of internal audit for the University.

Kevin is here today and I would like him to stand and be recognized. I want to express my appreciation to you, Kevin, that you've accepted the position.

Mr. Patterson:

We have a number of items to bring before the Committee of the whole, and I'll ask Janet Ashe if she'll begin.

Ms. Janet G. Ashe:

Thank you, Mr. Chairman. First, I want to thank everybody for the kind words and all the support I've had over the years. I think it's very interesting that Oliver McGee is here today, since he was on my search committee. He left OSU a short time after I came and I am happy that he is coming back, because he is a wonderful person.

There are many people I've come to thank at Ohio State. I want to thank all my colleagues and all the Board members. I mostly want to thank the unsung heroes that you don't see day-to-day, but they're out there. A few of them are in this room, representing the jobs they're doing, but there's over 1,600 employees -- who many of the people from orientation know, and the Mabel Freemans -- that make this place run day-to-day.

I will not be leaving this place behind, since I have three children that I am entrusting to Ohio State. Lindsay is a junior this fall, Sean, my stepson, is a freshman this fall -- and we'll be tucking him in -- and Katie, a junior in high school, is in the academia program here taking art courses and will be taking photography this fall. So my heart and soul will remain here for many reasons.

I also want to promise that I will take the good word of Ohio State to Cleveland with me. Ohio State is the jewel of Ohio. It is a wonderful place with wonderful opportunities and I think many times overlooked and taken for granted by Ohioans. I can say that because I am not from Ohio. I was born and raised in the State of Michigan. Ohio is a wonderful place and Ohio State is absolutely a wonderful University. I am just honored to have had almost ten years to serve this place, so I want to thank everybody for that.

Now, I have my last five resolutions to present to you:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 2002-27

LIMA STUDENT HOUSING
PHYSICAL FACILITIES GARAGE AND SHOP RELOCATION
DODD HALL CHILLED WATER PLANT STRUCTURE
LIBRARY BOOK DEPOSITORY, PHASE II
DENNEY HALL – CLASSROOM RENOVATION PHASE I
1224 KINNEAR ROAD – BALE PROGRAM

Synopsis: Authorization to employ architect/engineering firms for the Lima Student Housing, Physical Facilities Garage and Shop Relocation, and Dodd Hall Chilled Water Plant Structure projects, employ a construction manager for the Lima Student Housing project, request construction bids for the Physical Facilities Garage and Shop Relocation and Dodd Hall Chilled Water Plant Structure projects, and acceptance of the report of award of contracts for the Library Book Depository Phase II, Denney Hall Classroom Renovation Phase I, and 1224 Kinnear Road – BALE Program projects is requested.

WHEREAS the Lima Campus desires to design a facility to provide on-campus student housing which includes 4-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking, and laundry; and

WHEREAS the total estimated project cost is \$9,300,000 in 2003 dollars and the total estimated construction cost is \$7,280,316 in 2003 dollars, with funding provided by University bond proceeds with debt service paid by Housing, Food Services, and Event Centers; and

WHEREAS the University desires to construct a shop building, storage building, salt dome, recycling building, equipment yard, and gravel parking lot to replace two storage buildings at Laneview School site for use by the Department of Physical Facilities; and

WHEREAS the total estimated project cost is \$3,200,000 and the total estimated construction cost is \$2,637,000, with funding provided by University bond proceeds with debt service paid by central University funds; and

WHEREAS University Hospitals desires to replace the chiller plant for Dodd Hall including associated construction, renovation, and screening walls to accommodate the chiller plant; and

WHEREAS the total estimated project cost is \$864,500 and the total estimated construction cost is \$700,000, with funding provided by University Hospitals; and

WHEREAS resolutions adopted by the Board of Trustees on February 5, 1999 and May 4, 2001 authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

(* Recommended alternates included in these amounts)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Library Book Depository, Phase II

This project constructs the second of five high bay storage modules designed to provide an economical and environmentally beneficial storage of low activity library books and materials. The total project cost is \$2,376,455; funding is provided by Board of Regents (\$2,000,000) and University Libraries (\$376,455). The estimated completion date is January 2002. The contracts awarded are as follows:

Design:	OA Spencer, Columbus, Ohio
General Contract:	Gutknecht Construction, Columbus, Ohio
Amount:	\$1,520,195 *
Estimate:	\$1,535,177 +
Plumbing Contract:	Farber Corporation, Columbus, Ohio
Amount:	\$38,400
Estimate:	\$60,000
HVAC Contract:	Farber Corporation, Columbus, Ohio
Amount:	\$332,800
Estimate:	\$480,000
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$106,165
Estimate:	\$120,000
Total All Contracts:	\$1,997,560
Contingency Allowance:	\$186,772
Total Project Cost:	\$2,376,455

Denney Hall – Classroom Renovation Phase I

This project renovates pool classrooms, surrounding common areas, and rest rooms on the second floor of Denney Hall. The total project cost is \$1,068,017; funding is provided by House Bill 748 (\$32,000), House Bill 790 (\$29,257), House Bill 850 (\$945,192), House Bill 904 (\$26,568), and University Honors Program (\$35,000). The estimated completion date is November 2001. The contracts awarded are as follows:

Design:	Levin Porter Associates, Inc., Dayton, Ohio
General Contract:	C & N Construction Services, Inc., Columbus, Ohio
Amount:	\$319,400 *
Estimate:	\$421,430 *
Mechanical Contract:	Martina Metal, Columbus, Ohio
Amount:	\$124,500
Estimate:	\$129,014
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$163,987
Estimate:	\$182,302
Abatement Contract:	One Valley Asbestos Removal, Whipple, Ohio
Amount:	\$47,830 *
Estimate:	\$73,500
Total All Contracts:	\$657,717
Contingency Allowance:	\$65,154
Total Project Cost:	\$1,068,017

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

1224 Kinnear Road – BALE Program

This project remodels space to create a conference facility to disseminate information to current statewide users of the Blueprint for Advanced Learning Environment (BALE) Program. The total project cost is \$1,347,031; funding is provided by Board of Regents (\$1,250,000) and Ohio Supercomputer Center (\$97,031). The estimated completion date is March, 2002. The contracts awarded are as follows:

General Contract:	Francis Wayne Ltd., Springfield, Ohio
Amount:	\$473,555 *
Estimate:	\$560,084 *
Plumbing Contract:	Holdridge Mechanical, Columbus, Ohio
Amount:	\$23,625 +
Estimate:	\$22,500 +
HVAC Contract:	Martina Metal, Columbus, Ohio
Amount:	\$96,420 *
Estimate:	\$96,500 *
Electric Contract:	Buckeye Electric, Dayton, Ohio
Amount:	\$119,250 *
Estimate:	\$121,550 *
Total All Contracts:	\$712,850
Contingency Allowance:	\$119,250
Total Project Cost:	\$1,347,031

+ The Plumbing contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Lima Student Housing project and that the fees for these services be negotiated between the firms selected and the University, and that a business plan be approved by the University and a memorandum of understanding among the offices involved be signed before a request for authorization for construction bidding is presented to this Board; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Physical Facilities Garage and Shop Relocation and Dodd Hall Chilled Water Plant Structure projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for the Lima Student Housing project and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Vice President for Business and Finance be authorized to request construction bids for the Physical Facilities Garage and Shop Relocation and Dodd Hall Chilled Water Plant Structure projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
EMPLOYMENT OF CONSTRUCTION MANAGER,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Library Book Depository Phase II, Denney Hall Classroom Renovation Phase I, and 1224 Kinnear Road – BALE Program projects is hereby accepted.

(See Appendix V for maps, page 203.)

PURCHASE OF REAL PROPERTY

Resolution No. 2002-28

1578 NEIL AVE
COLUMBUS, OHIO

Synopsis: Authorization to purchase the improved real property located at 1578 Neil Avenue owned by the Francis W. Kessler and Joyce D. Kessler Trust, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to purchase the improved real property at 1578 Neil Avenue; and

WHEREAS the property has an appraised value of between \$145,000 and \$164,000, and the owner, the Francis W. Kessler and Joyce D. Kessler Trust, has agreed to sell the property to the University for \$164,000; and

WHEREAS the acquisition of this property is critical for the development of the graduate and professional student housing project, and the appropriate University offices have determined that the purchase of this property is in the best interest of the University; and

WHEREAS the funds for purchase of this property will be provided from the land purchase account and Housing, food Services, and Event Centers:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, the property located at 1578 Neil Avenue at a price of \$164,000, plus related acquisition expenses, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix VI for background material and map, page 209.)

**PURCHASE OF REAL PROPERTY AND
SALE OF REAL PROPERTY**

Resolution No. 2002-29

1584 NEIL AVENUE AND
1544-1546 NEIL AVENUE

Synopsis: Authorization to purchase property located at 1584 Neal Avenue owned by Bavelis Properties and sell to Bavelis Properties property owned by the Board of Trustees located at 1544-1546 Neil Avenue is proposed.

**PURCHASE OF REAL PROPERTY AND
SALE OF REAL PROPERTY (contd)**

WHEREAS the Board of Trustees of The Ohio State University is presented with an opportunity to purchase improved real property located at 1584 Neil Avenue, owned by Bavelis Properties, in exchange for the sale of property at 1544-1546 Neil Avenue and \$25,000 cash; and

WHEREAS the property located at 1584 Neil Avenue has an appraised value of \$320,000 and the property located at 1544-1546 Neil Avenue has an appraised value of \$310,000; and

WHEREAS the acquisition of the property at 1584 Neil Avenue is critical for the development of the graduate and professional student housing project, and the appropriate University offices have determined that the exchange of these properties is in the best interest of the University; and

WHEREAS funding for this transaction will be provided by Housing, Food Services, and Event Centers:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Vice President for Business and Finance be authorized to purchase, in the name of the State of Ohio for the use of The Ohio State University, the property located at 1584 Neil Avenue in exchange for the sale to Bavelis Properties of property owned by the Board of Trustees at 1544-1546 Neil Avenue, plus \$25,000 and all closing costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

(See Appendix VII for background material and map, page 211.)

PURCHASE OF REAL PROPERTY

Resolution No. 2002-30

7.136 ACRES ON COUNTY HOME ROAD
PARIS TOWNSHIP, UNION COUNTY, OHIO

Synopsis: Authorization for the purchase of 7.136 acres of unimproved real property in Paris Township, Union County, from the Union County Commissioners, is proposed.

WHEREAS the Board of Trustees of The Ohio State University is presented with the opportunity to acquire 7.136 acres of unimproved real property in Union County for the future site of the College of Veterinary Medicine's Large Animal Clinic; and

WHEREAS the property has an appraised value of \$50,000 and the Seller, the Union County Commissioners, has agreed to sell the property to the University for \$40,000; and

WHEREAS the appropriate University offices have determined that the purchase of this property is in the best interest of the University, and funds for the purchase will be provided by the College of Veterinary Medicine:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to purchase, in the name of the State of Ohio for the use of The Ohio state University, approximately 7.136 acres of unimproved real property on County Home Road in Union County for a purchase price of \$40,000, plus related acquisition costs, upon such terms and conditions as are deemed to be in the best interest of the University, subject to the University receiving the necessary approvals from the Ohio Board of Regents and the State Controlling Board.

PURCHASE OF REAL PROPERTY (contd)

(See Appendix VIII for background material and map, page 213.)

Ms. Ashe:

The last resolution I have is the temporary and permanent license to Franklin County for the Lane Avenue bridge. We're seeking authorization to grant temporary construction license and permanent licenses to Franklin County to support the construction of the new bridge across the Olentangy River at Lane Avenue. This is very important to Ohio State, because it is one of the main gateway areas into the University.

The right of way that we are granting is worth \$200,000 approximately. For this, the University will be receiving improvements in the bridge and the surrounding areas of equal to or exceeding that value. This is very important. It will make it very pedestrian-friendly and much more attractive for our students, guests, and visitors.

The University and Campus Partners are working closely with the county engineer, Dean Ringold, and the Columbus service director Linda Page, and their staffs on this bridge and on the street widening projects to seize the opportunity to improve the streetscape on the northern side of campus. Again, this is very important.

If there are any questions that I or other people in the audience can answer, we would be happy to. If not, I'd like to recommend that we move for adoption of these five proposals.

Mr. McFerson:

Mr. Chairman, I have a question. It appears from the map that the Cancer Survivor Park is going to have to be pushed back some. Maybe you could talk further about how we might continue to have that placed to honor those people?

Ms. Ashe:

It will still be there, but the statues will need to be moved back slightly. There will be some movement, but the statues will be put in storage during the work on the corner of Lane Avenue. The Cancer Survivor Park will be maintained.

Mr. McFerson:

During the construction phase will we have proper respect shown for the park that is not being moved?

Ms. Ashe:

Absolutely. The parking for the park is adjacent to Longaberger Alumni House, and that is the way many people come to that park. Once this improvement is made, the access to the park will be much better, and there will be a footbridge where people can still get to the park from the main campus. This actually will enhance people getting to the park, which is not as easy to do because of that busy traffic corner. So this, in the long-term, will be a good improvement for the park.

August 29, 2001 meeting, Board of Trustees

Mr. McFerson:

Thank you.

Mr. Patterson:

Any other questions? I'll now ask for a motion on those five items.

GRANT OF PERMANENT AND TEMPORARY LICENSES TO FRANKLIN COUNTY

Resolution No. 2002-31

**LANE AVENUE BRIDGE REPLACEMENT PROJECT
APPROXIMATELY FOUR ACRES ON LANE AVENUE, COLUMBUS, OHIO**

Synopsis: Authorization to grant temporary construction licenses for approximately 30 months for approximately two acres and a license for the right to use in perpetuity approximately two additional acres, to Franklin County for the purpose of replacing the bridge over the Olentangy River at Lane Avenue and making improvements to adjacent storm sewers, roads and sidewalks at the intersection of Lane Avenue and Olentangy River Road, is proposed.

WHEREAS The Ohio State University owns land adjacent to the bridge over the Olentangy River Road at Lane Avenue; and

WHEREAS Franklin County desires to have a license to use in perpetuity approximately two acres of this property for the purpose of replacing the bridge and making related improvements and licenses to provide temporary access for approximately 30 months to an additional two acres for construction-related activities; and

WHEREAS the University has the authority to grant such licenses pursuant to Ohio Revised Code Section 3345.18; and

WHEREAS the appraised value of the property desired by Franklin County is \$198,500 and, in lieu of cash payment, the University will receive, for an equal or greater value, improvements to the bridge and surrounding areas; and

WHEREAS the appropriate University offices have determined that the granting of these temporary and permanent licenses to the County is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or the Vice President for Business and Finance be authorized to grant licenses as described above pursuant to Revised Code Section 3345.18 giving temporary and permanent real property rights to Franklin County for the proposes of replacing the Lane Avenue Bridge and making related improvements to the surrounding areas.

(See Appendix IX for background material and map, page 215.)

Upon motion of Mr. McFerson, seconded by Ms. Davidson, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Slane, McFerson, and Judge Duncan, Ms. Longaberger, Ms. Hendricks, and Ms. Davidson.

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FINAL FISCAL YEAR 2001-2002 BUDGET PRESENTATION

Mr. Patterson:

I'd ask Provost Ray and Mr. Shkurti to make the presentation on the final approval of our Fiscal Year 2001-2002 Budget.

FINAL FISCAL YEAR 2001-2002 BUDGET PRESENTATION (contd)

Mr. William J. Shkurti: [PowerPoint presentation]

In front of all the Board members are the red budget books that contain all the details. Ed and I will be summarizing only briefly what is in there. These books symbolize the hard work that goes into the budget, so I would like to acknowledge some people that did a lot of work to put this book together. If they would stand while I mention their names, I would appreciate it.

First is Lee Walker, University budget director, who administratively is responsible for the book and the budget section. I can honestly say whatever tenuous grip I kept on sanity during the roller coaster ride of the budget this year, I owe to Lee, who is a rock of consistency. We prevailed and Lee deserves a lot of the credit for that.

I would also like to acknowledge Alayne Parson, who technically reports to Ed, but really works with all of us on the budget. She has one of the most thankless jobs in the University, because she interfaces with the academic units who are asking her why they don't have more money and interfaces with my office who tells her why we don't have any money. Alayne carries out her job with grace and skill, and I appreciate that, and I know Ed does as well.

In addition, there are two senior members of Lee's staff that are with us today. John Lowe, who functions as the University's institutional memory, and, in addition, is a multi-talented person. John is really the inspiration behind the cover design for the book, which is very attractive.

The other senior member of Lee's staff is Barb DeYoung, who has the wonderful job of being our interface with the Board of Regents. Between the University and the Regents, it's amazing Barb has kept her sanity during this period but she's done extremely well. Barb also contributed to the cover design of the book and also the slide show we have today, so she is also multi-talented. All these people work very hard every year, but this was a particularly challenging year, and I want to express my appreciation to them for what they have done.

Now we'll talk about the objectives of the presentation, which really is to describe how our resources are aligned with our academic goals, and particularly how our students will benefit. Let me start by talking about the context and then I'll turn the presentation over to Ed.

I think we've talked in previous meetings that this has been the most difficult budget since the early 1990s, so I won't belabor that. I think there are a couple of things though that deserves emphasis. One is you'll see that our revenues are projected to go up 4.7 percent this year. I've already been asked by some of my colleagues downtown, "What are you people complaining about? You've got a 4.7 percent revenue increase, inflation's about half that, why can't you do what you need to do?"

I think it's important to understand that we are required in the way we're required to account for our revenues to treat Student Financial Aid as an expense, rather than a deduction from revenue. If you take that into account, then the real increase in our revenues that we can use for programming is more like 3.2 percent and we're competing in an increasingly competitive market, where just to continue doing what you're doing costs 4 or 5 percent. Hence the crunch we have in the University's budget that we'll talk about in a minute.

The other thing I'd like to say is that the budget is balanced, as it is required to be by state law, but we are still subject to the health of the state's economy and the state budget. So as long as the state budget stays balanced, we should stay

FINAL FISCAL YEAR 2001-2002 BUDGET PRESENTATION (contd)

Mr. Shkurti: (contd)

balanced. But if the situation deteriorates, we unfortunately may have to come back and revisit this.

The second point is -- and when my staff first told me this, I didn't believe it, so I had Lee make Barb go back and dig through the archives back to 1870 to confirm this -- that this is the first time ever -- that means ever since the University was founded in 1870 -- that student tuition income exceeds the state's unrestricted support to the University's main campus. Just as recently as 1987, the amount of state support was double what tuition was and now they come to not only equal, but, in fact, tuition is a little bit more.

That means our students are bearing a higher portion of the burden, as is the institution in providing more financial aid. Although we understand the state certainly was under financial stress this year, I think what concerns all of us is that this is a reflection of a long-term trend where the State of Ohio is not able to do what other states are doing in terms of supporting higher education. If this trend is not arrested, the State of Ohio will continue on a long-term decline relative to other states, which will mean more tax increases and higher tuition in the long run. We hope that that can be turned around.

The final point I'd like to make is that although the budget is tight, we need to make continued progress on those things that are most important. So there are some things we won't be doing this next year: 1) the library acquisitions index will be funded on one-time money only, so that means we won't be able to keep up on a continuing basis there; 2) none of our units will receive any increases whatsoever for supplies and equipment; 3) the pay increases, as Ed will mention, are below market at this point; and 4) academic enrichment has been suspended. So there are some things we cannot do that we'd like to do. On the other hand, there are things we think we have to do and that as a result, we will simply have to focus very tightly on those things that are most important.

At this point, I will turn over the presentation to my distinguished colleague, Ed Ray, who will talk about those things we're focusing on which will particularly benefit our students.

Provost Edward J. Ray:

Thank you, Bill. I'd like to add my appreciation to the individuals that Bill has acknowledged here as well. This has been an extraordinary effort. I think if you have a chance to look at the budget book, you'll find that it gives a very cogent explanation of where budget resources are going and does a very good job of putting it within the context of the Academic Plan. But perhaps even more important are the literally hundreds of hours that key staff have spent working with academic and support unit leaders, figuring out how to prioritize and when, in fact, the budget situation continued to deteriorate over time all this was done so that we're able to come back to you with a budget that's not only balanced, but focused. That was part of what Bill talked about at the very end. If there's ever a time to focus, it's certainly in times when resources are most scarce. So what I want to do is just mention the areas that we'll be focusing our spending in the budget for Fiscal 2002, and then really focus on one area and give you a better sense of what we'll be doing in that area.

The areas that we're focusing on are to improve the undergraduate student experience. You'll remember we went to the legislature and talked about the need to have tuition relief from the cap. From the onset, this University was the only university that spoke very distinctly and clearly about what we would do with

FINAL FISCAL YEAR 2001-2002 BUDGET PRESENTATION (contd)

Provost Ray: (contd)

additional tuition dollars. We had a fairly engaged discussion throughout the University that included faculty, staff, and students, and sought input from all of those groups regarding the use of extra tuition dollars. You'll see that the budget that we're submitting to you reflects the results of that discussion.

We also, for this next year, are not, in fact, doing what we need to do with respect to competitive faculty and staff compensation. But as the President indicated, we'll be back to you in October and later in the year with detailed plans about how we intend to deal with the compensation issue, not just in Fiscal 2003, but in the next several years. We want to get us to where we think we need to be, and that's in a competitive position near the midpoint of the peer institutions that we measure ourselves against. That really will be, in a way, job one during this next academic year.

Another area which we'll be saying more to you about over the course of the year is in the area of biomedical research. We believe that we have the components we need to be a major player in the national and international stages with respect to biomedical research. You've already heard some mention and discussion of our efforts to move in this direction, and we'll be providing even greater focus on that during the course of this year as we plan to go forward.

Finally, we are in fact moving forward with the implementation of an Institute for the Study of Race and Ethnicity in the Americas. In fact, at this moment, there is a search going on to hire a senior scholar to serve as the director for that institute.

What I want to briefly focus on today is -- and you have the material in the budget book and in your notes for the Board session -- the undergraduate experience. As a result of the tuition cap relief, we anticipate having additional revenues on the order of \$4.6 million that will be used to enhance and better serve the students here at the University and provide them with an improved undergraduate experience.

What are the ways in which we intend to do that? The President made a commitment very early on when we talked about higher tuition charges this year that we would do all that we could to assure that qualified students who would perhaps be denied access to an educational opportunity here at Ohio State as a consequence of rising tuition would, in fact, have the additional aid made available through targeted funding so they have that opportunity to get an education here. As a consequence of that, we've committed close to \$2 million in continuing funds for undergraduate student financial aid that would not have been part of the package if we hadn't made that commitment to provide access on a need basis to qualified students.

In addition to providing the need-based aid to students, so that they could come to and take advantage of the educational opportunities here at Ohio State, we also made it a commitment. This came in large part from our discussions with students and they felt it was most important that we do all we could to improve access to high demand courses and programs. As a consequence of listening to people about where we need to provide more instruction and instructional support, we've proposed allocating on the order of \$870,000 in monies to increase offerings in terms of class sections and seats available to students. Obviously, we've had to consult with high demand areas and, in the preceding months, talk to them about whether or not they would be able to identify additional staff and overload appointments for people that would make it possible

FINAL FISCAL YEAR 2001-2002 BUDGET PRESENTATION (contd)

Provost Ray: (contd)

for them to offer the sections if we had the monies available. We needed estimates of the kind of access that would be provided as a result of this effort.

We think at this point we're talking about adding somewhere on the order of 100-110 additional sections of instruction -- providing seats for close to 6,000 students -- and we expect this process to begin Autumn Quarter. We expect for students to be able to see some results even as the Autumn Quarter begins following from this commitment.

The next area that we're devoting funds to is the technology area, where we're providing funding for improved software and hardware in public computing labs and computing classrooms. We're providing \$250,000 out of the Fiscal 2002 budget to provide upgrades in software and hardware. That money, in conjunction with previously awarded Board of Regents' money, we think over the next three years will allow us to upgrade and make cutting-edge 770,000 seats in our computing labs.

The next area that we'll be providing support for is to expand and improve academic and career advising. You heard Mabel Freeman talk a little bit about the First-Year Experience and she touched on some of the advising activities that we're involved in there.

We're providing \$130,000 in funding out of this budget for improved academic and career advising. Most of the money that we've identified will be going to departments and colleges for them to provide supplemental staff for the increased numbers of direct enrolled students that we expect to have this year. So that process of moving students more and more into direct enrollment, having them connect with academic programs, and having local staff expertise to work with faculty to provide students the most effective advising services is continuing. That allocation is intended to see that that process goes forward.

We're also expanding the amount of resources that we're making available through our Office of Faculty and TA Development for training and support services for both faculty and graduate students. We're proposing \$50,000 toward that effort.

We're also expanding the Living/Learning Centers and University Scholars programs that we offer. Again, you heard Mabel talk about some of the specific programs that we have. For example, she mentioned the Stradley Hall program for first-year students taking math courses to give them an opportunity to form study groups and work together. We're providing \$250,000 in additional funding for those Living/Learning Centers and University Scholars programs. As a consequence of that, we expect that we will have 39 separate Living/Learning Centers here at the University. For those of you who've been on the Board for some time, you'll remember three or four years ago we talked about -- and President Kirwan deserves a lot of credit for urging us to move in the direction of -- more effectively personalizing the learning experience by creating Living/Learning Centers. We are now pushing toward forty such centers in the University, and we expect to continue that effort and expand it over time.

We also expect to launch our new Multi-Cultural Center. We've renovated space on the fourth floor of the Ohio Union, and I expect we'll be able to announce very shortly the appointment of an interim director for the Multi-Cultural Center. We've proposed \$150,000 in funding to get the activities of that center into operation.

FINAL FISCAL YEAR 2001-2002 BUDGET PRESENTATION (contd)

Provost Ray: (contd)

It's a program that we've talked about for several years, and it was strongly endorsed in the Diversity Action Plan that we distributed last summer.

We also have targeted monies for the renovation of the Ohio Union for Student Services, including access to the Registrar, Financial Aid, and Fees and Deposits services. If you recall -- the layout of the areas in Enarson Hall and the Kuhn Honors and Scholars House -- we're creating a complex in that South Oval campus area that we think will provide easy access to services of all kinds for our students. That will be a tremendous step forward relative to the way we've been structured physically in the past. We're proposing \$300,000 to that project.

The remainder of the monies that we've identified with the \$4.6 million at this point represents contingency funds. Obviously, how much money we actually realize is a formula. As Mabel cautioned us, it isn't until they show up and pay their bills that we know the numbers. Once we know exactly what our funding is as a result of the tuition increase above the six percent, we will then make certain that all of the monies go to the purposes that we've already identified.

The next slide summarizes what I've said in terms of the allocations of monies to the various programs that we're devoting resources to to improve the undergraduate student experience. If one were to ask, "Well that sounds very good, but approaching a nine percent tuition increase is still something that gets one's attention and perhaps not what we would most prefer to do."

If you look at the next slide, what you'll observe is among the public universities in the Big Ten, this would position us, we believe, in sixth place. So we are still sort of in the middle of the Big Ten among public institutions. We think that keeps us in a very competitive position to attract the best and the brightest, not only from Ohio, but from around the nation. Again, Mabel indicated in her description of the incoming class, we are more and more effectively competing for not just the best and brightest in Ohio, but for students around the nation as well.

In conclusion, we think that as tough as the budget situation is, and as difficult as it's been to bring this to closure and before you, we think that we've done a fairly effective job. We have tried to make sure that the commitments that we have to our undergraduate students for additional support services, will build upon the advantages that we believe are associated with getting an education at a major research university and yet still feel some of the personalized connections that one often identifies with a small liberal arts college. We feel those benefits are still attainable as a result of the ways in which we've targeted these funds.

Let me just say in conclusion that as tough as the process of formulating and proposing the Fiscal Year 2002 budget has been, the really hard work is ahead. We're looking at some real challenges with respect to FY 2003 and beyond. We'll come back to you in October to discuss compensation -- making sure we pay our faculty and staff appropriately and competitively. That's critical to our objectives in the Academic Plan, to make Ohio State one of the truly great universities in the world. And so, as soon as we leave today, we go back to the drawing board and start working on the construction of the FY 2003 budget.

Let me stop there. I know Bill and I would both be happy to respond to any questions.

FINAL FISCAL YEAR 2001-2002 BUDGET PRESENTATION (contd)

Mr. Patterson:

As you know, reaching the point of adopting the final budget at Ohio State is a long and arduous process. It seems like it first came to us back in March or April, when you were first laying the groundwork. Are there any questions?

Mr. Brennan:

I think it's useful to understand where we are competitively. Ed, to take your page 14 graph -- which identifies the Big Ten tuition dollars -- and in a columnar fashion, that's the first column. The second column, you now know the expected level of state support for the same fiscal year, and lay that out and compare the two. I think our legislature is often misinformed about how those two numbers stack up, and I think it's a very useful piece of information if you could have that prepared.

Provost Ray:

Sure.

Mr. Patterson:

Can I ask for a motion for approval?

President Kirwan:

Ed, do you or Bill know where our relative position within Ohio is on tuition after our nine percent increase? Has that changed in any way?

Mr. Shkurti:

Mr. President, I think it's changed slightly. We moved up on one of the schools that was ahead of us -- it was either Wright State or one of those. But it has not changed dramatically and looks very similar to the chart that you saw on the Big Ten. So we're still in the middle.

Mr. Brennan:

My understanding is the others have all raised their tuitions nine and nine and a half percent, something in that range; so that we just stayed the same.

Provost Ray:

That's correct.

Mr. Patterson:

Is there a motion that the budget be approved?

FINAL APPROVAL OF FISCAL YEAR 2001-2002 BUDGET

Resolution No. 2002-32

Synopsis: The President recommends approval of the completed Current Funds Budget for fiscal year 2001-2002.

FINAL APPROVAL OF FISCAL YEAR 2001-2002 BUDGET (contd)

WHEREAS Board of Trustees approved the University's General Funds Budget Summary for fiscal year 2001-2002 at their June 29, 2001 meeting, with authorization for the President to make expenditures within the projected income levels; and

WHEREAS the President now presents the fiscal year 2001-2002 Current Funds Budget for final approval:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves and adopts the completed fiscal year 2001-2002 Current Funds Budget.

(See Appendix X for background material, page 217.)

Upon motion of Ms. Hendricks, seconded by Ms. Davidson, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Slane, McFerson, and Judge Duncan, Ms. Longaberger, Ms. Hendricks, and Ms. Davidson.

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Thereupon the Board adjourned to meet Friday, October 5, 2001, at The Ohio State University Prior Health Sciences Library, Columbus, Ohio.

Attest:

William J. Napier
Secretary

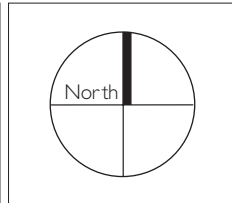
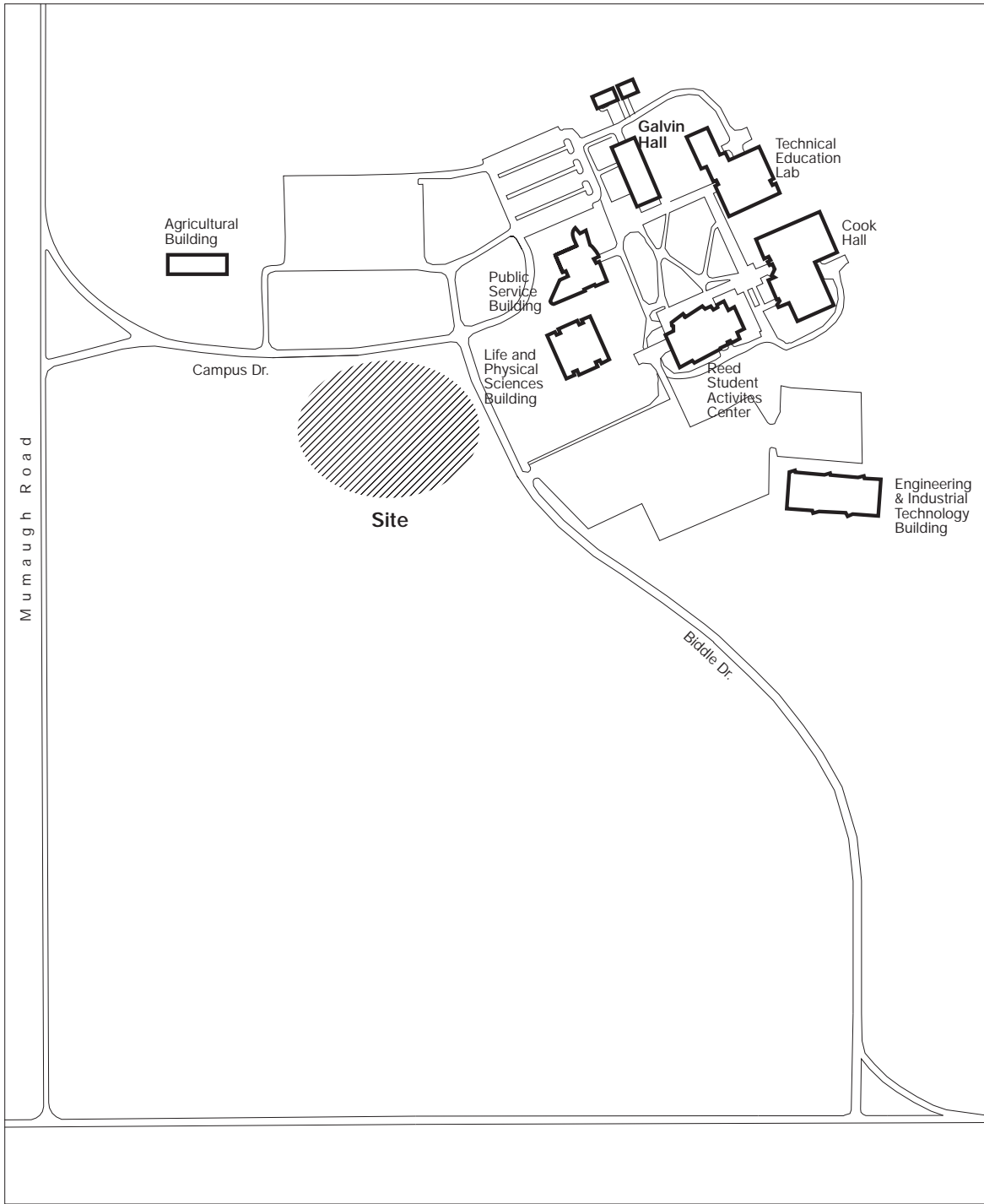
David L. Brennan
Chairman

**Waivers of Competitive Bidding Requirements
April-June 2001**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals Merchandise for Resale	\$1,950,000	\$1,950,000	\$0	\$0
Hospitals - Professional Health Care Services	\$4,911,004	\$0	\$750,000	\$4,161,004
Hospitals - Equipment and Services	\$5,294,880	\$4,431,116	\$200,000	\$663,764
Merchandise For Resale	\$8,124,982	\$7,935,982	\$0	\$189,000
Instructional and Research Equipment and Services	\$999,955	\$611,542	\$28,822	\$359,591
Administrative Support Equipment and Services	\$3,153,651	\$1,819,472	\$502,646	\$831,533
TOTAL	\$24,434,472	\$16,748,112	\$1,481,468	\$6,204,892

(APPENDIX IV)

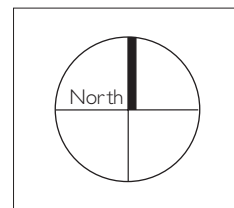
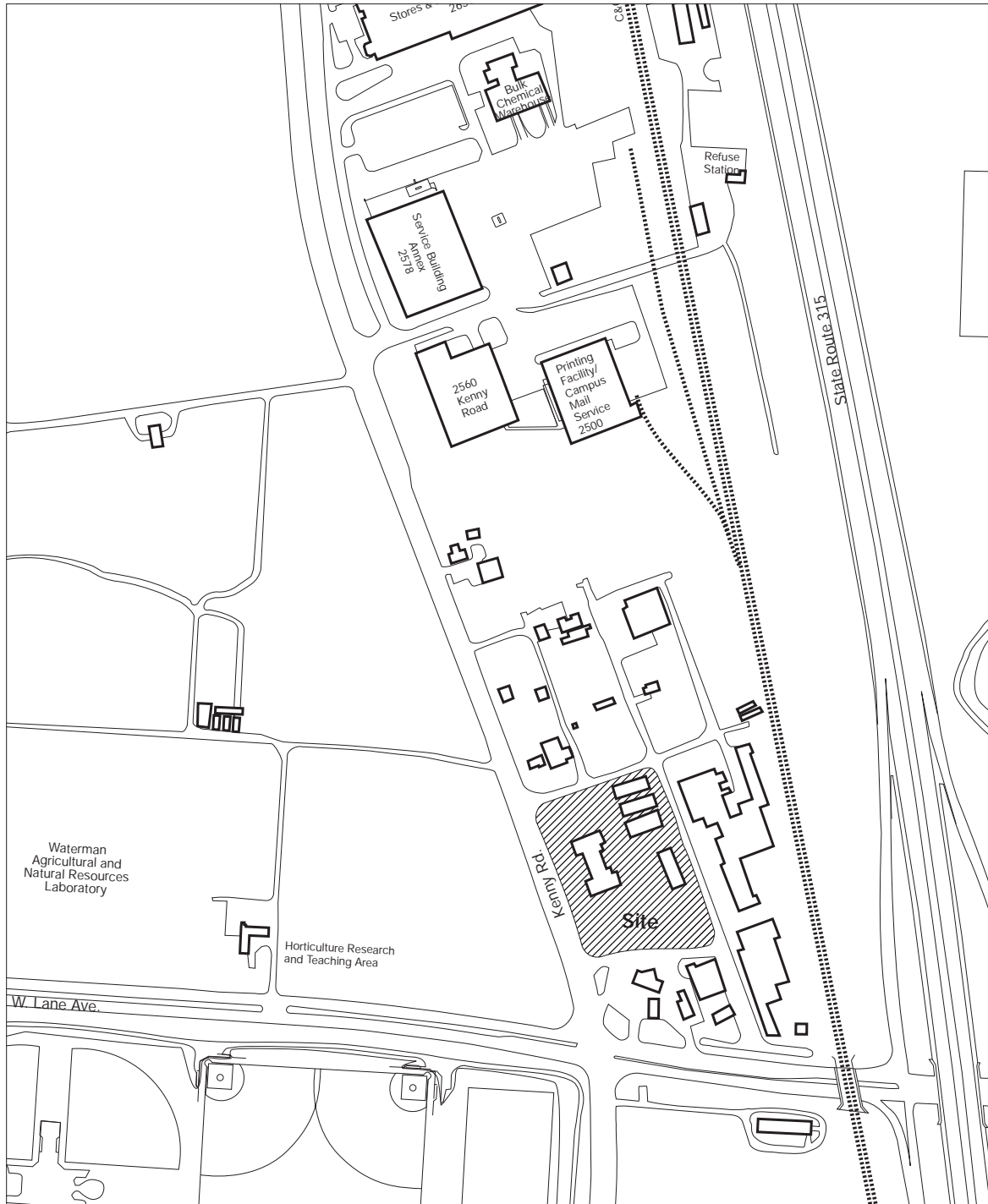
Lima - Student Housing



Office of Business and Finance
Office of Facilities Planning and Development

August 7, 2001

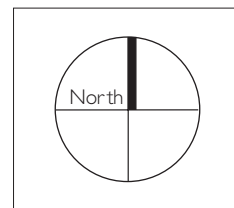
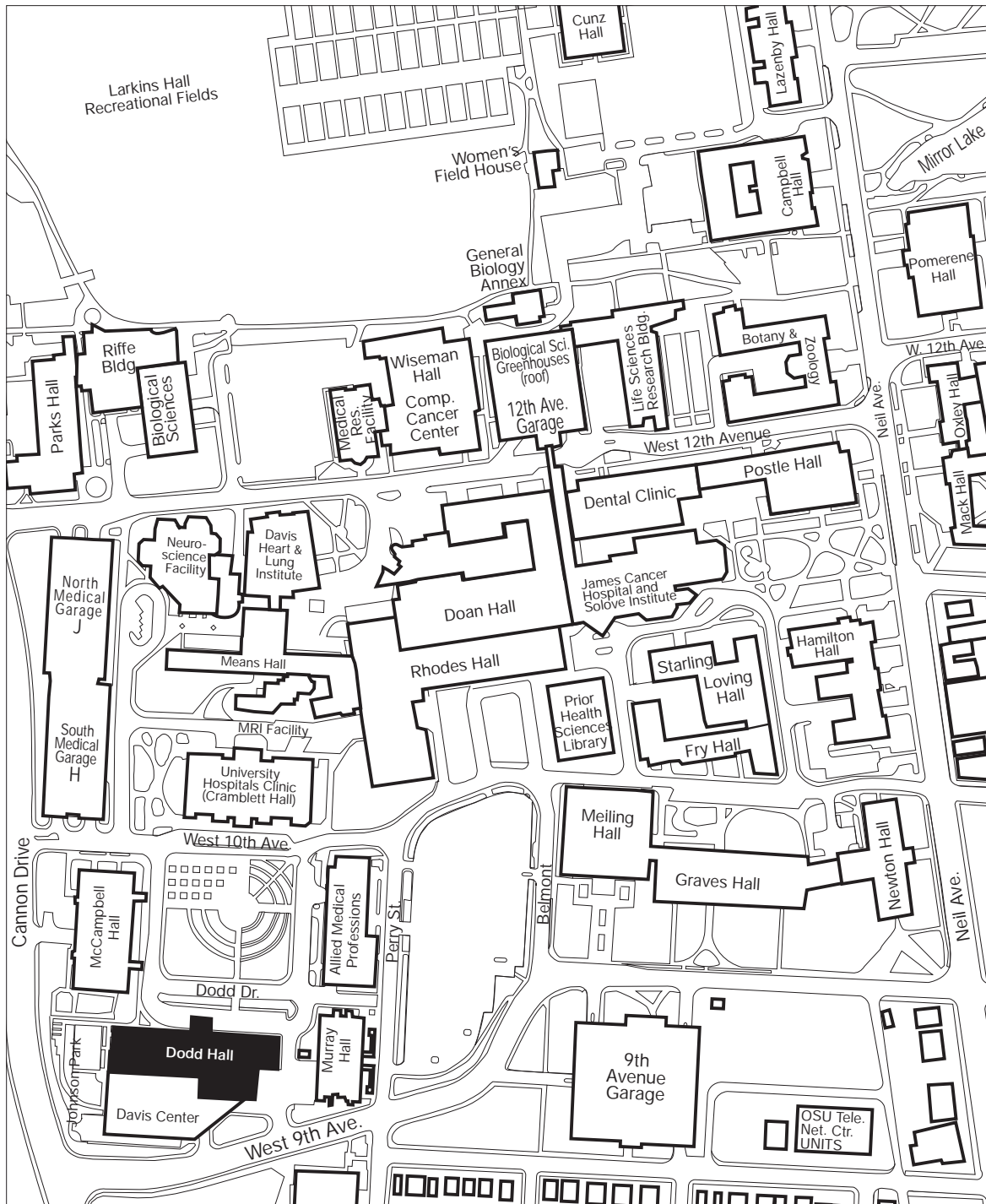
Physical Facilities Garage and Shop Relocation



Office of Business and Finance
Office of Facilities Planning and Development

August 10, 2001

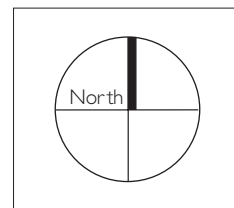
Dodd Hall Chilled Water Plant Structure



Office of Business and Finance
Office of Facilities Planning and Development

August 10, 2001

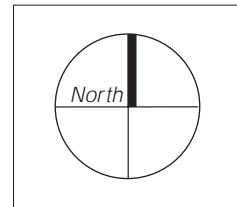
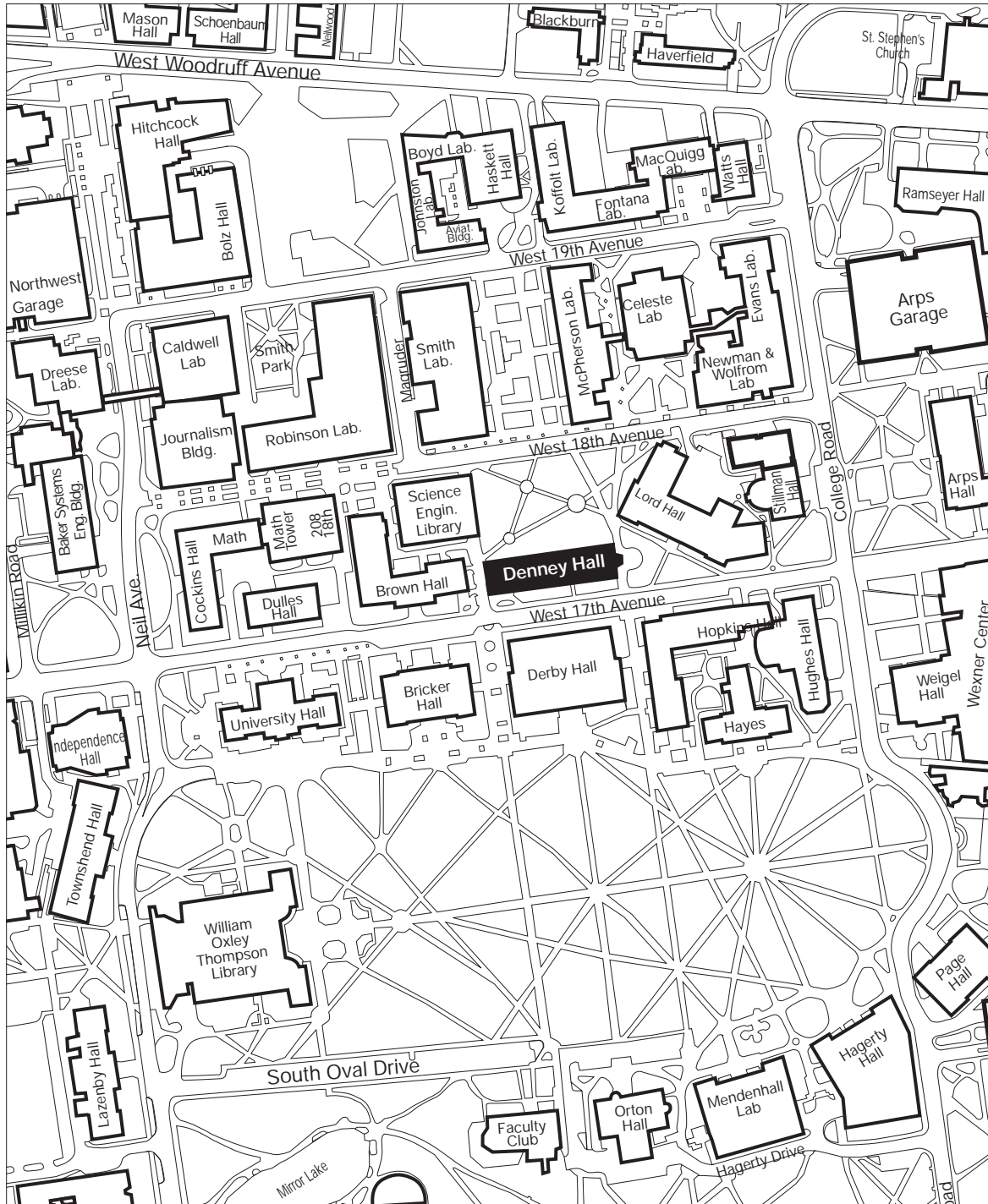
Library Book Depository Phase II



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

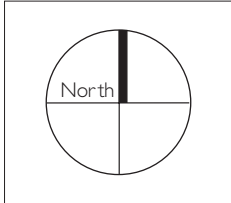
Denney Hall - Classroom Renovation Phase I



Office of Business and Finance
Office of Facilities Planning and Development

May 18, 2001

1224 Kinnear Road - BALE Program



Office of Business and Finance
Office of Facilities Planning and Development

April 17, 2001

PURCHASE OF REAL PROPERTY

1578 NEIL AVE
COLUMBUS, OHIO

Location and Description

The property to be purchased consists of approximately 0.138 acre located at 1578 Neil Avenue within the University's South Campus acquisition area. The property has a 2,856 square foot building, constructed in 1896, currently used as a rooming house.

Appraisals and Purchase Price

The University has obtained two MAI appraisals of the property: the first by Kohr Royer Griffith in August 2000 valued the property at \$145,000; the second by Affiliated Appraisers of America in July 2001 appraised the property at \$164,000. The owner, the Francis W. Kessler and Joyce D. Kessler Trust, has agreed to sell the property to the University for \$164,000. Total acquisition costs are expected to be \$175,000, including closing costs, appraisals, and Real Estate and Property Management fees. Funding will be provided from the land purchase account for the purchase price and closing costs; Housing, Food Services and Event Centers will fund any other costs.

Use of the Property

Title to the property will be taken in the name of the State of Ohio for the use of The Ohio State University. The acquisition of this property is a critical for the development of the graduate and professional student housing project.

Proposed Purchase of Real Property 1578 Neil Avenue



No True Scale

Office of Business and Finance

August 29, 2001



Map Provided by University Engineer's Office

**PURCHASE OF REAL PROPERTY AND
AND SALE OF REAL PROPERTY**

1584 NEIL AVENUE AND
1544-1546 NEIL AVENUE

The property located at 1584 Neil Avenue, owned by Bavelis Properties, is needed in order to commence construction of the graduate and professional student housing project. The owner has proposed to trade that property to the University for another owned by the University at 1544-46 Neil Avenue.

1584 Neil Avenue

This property, owned by Bavelis Properties, consists of a 2,856 square foot residence, constructed in 1896 and in good condition, situated on approximately 0.138 acres, and currently used as a rooming house. The property is within the University's South Campus acquisition area.

1544-1546 Neil Avenue

The property located at 1544-1546 Neil Avenue is owned by the Board of Trustees. The property includes a 4,983 square foot residence in fair condition, currently being used for student housing, and situated on approximately 0.138 acre.

Appraisals and Consideration

MAI appraisals conducted in January 2001 by Affiliated Appraisers of America valued the property at 1584 Neil Avenue at \$320,000 and the University property at 1544 Neil Avenue at \$310,000. Bavelis Properties has offered to sell the 1584 Neil Avenue property in exchange for the University property at 1544-1546 Neil Avenue, plus \$25,000 for needed repairs and all closing costs (expected to be about \$3,000.00). Funding will be provided from Housing, Food Services, and Event Centers.

Use of the Property

Title to the property at 1584 Neil Avenue will be taken in the name of the State of Ohio for the use of The Ohio State University. The acquisition of this property is critical for the development of the graduate and professional student housing project.

Purchase of Real Property 1584 Neil Ave.


Sale of Real Property 1544-1546 Neil Avenue




No True Scale



Office of Business and Finance
August 29, 2001

 1584 Neil Ave.

 1544-1546 Neil Ave.

Map Provided by University Engineer's Office

PURCHASE OF REAL PROPERTY

7.136 ACRES ON COUNTY HOME ROAD
PARIS TOWNSHIP, UNION COUNTY, OHIO

Location and Description

The unimproved 7.136 acres to be purchased are owned by Union County. The property is close to the intersection of State Route #4 and County Home Road just north of the City of Marysville. The site is part of a 107-acre tract that the Union County Commissioners are developing into an educational, recreational, and public service complex.

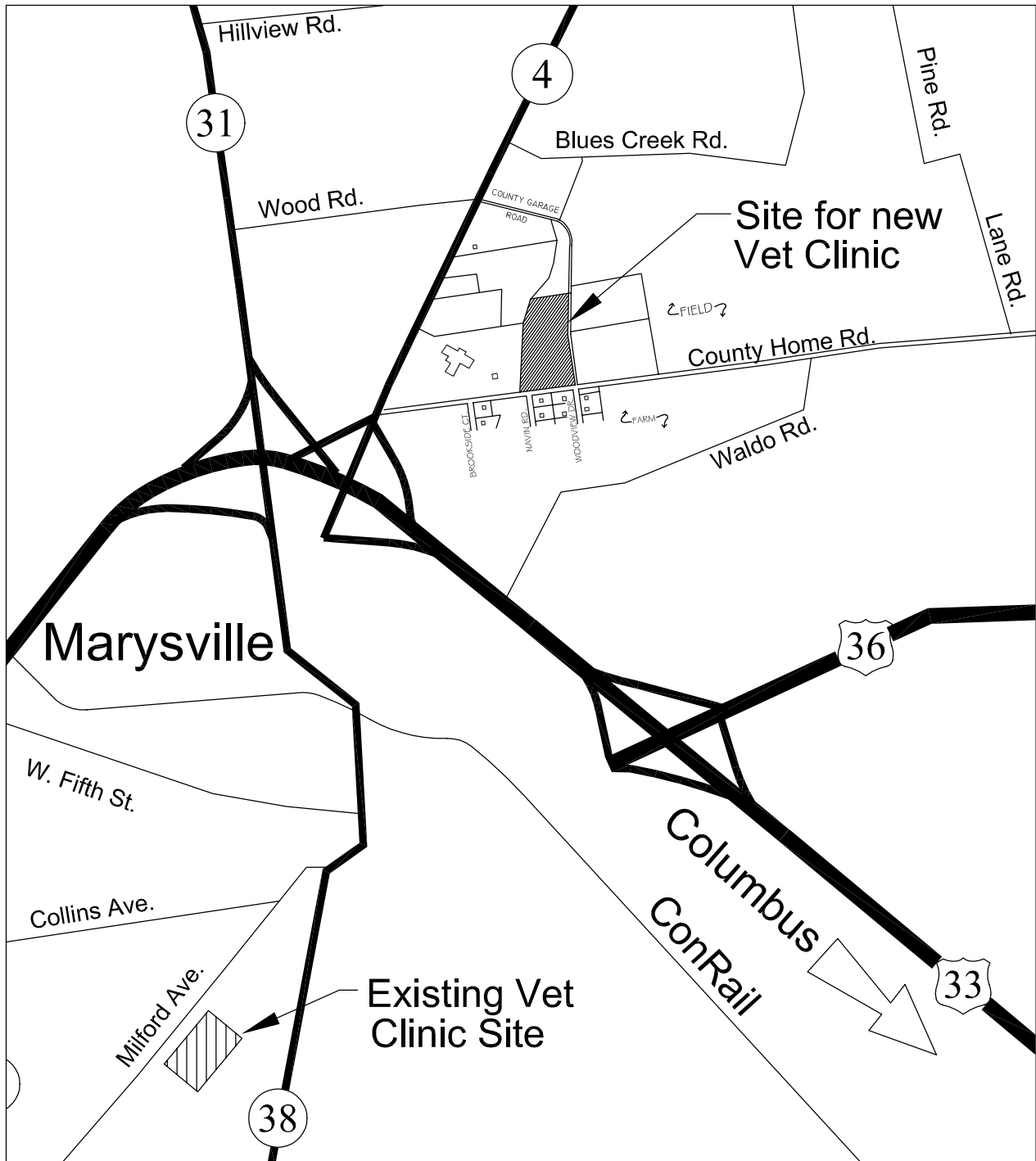
Appraisals and Purchase Price

An MAI appraisal completed in March 2000 by Ohio Real Estate Consultants valued the property at \$50,000. The property is being sold to the University for \$40,000. Total acquisition costs are expected to be approximately \$50,000, including closing costs, appraisals, and Real Estate and Property Management transaction fee. Funding for all costs (including any unanticipated expenses) will be provided by the College of Veterinary Medicine.

Use of the Property

Title will be taken in the name of the State of Ohio for the use of The Ohio State University. The site will be used for construction of a new large animal clinic by the College of Veterinary Medicine. (The building project will be presented separately for the Board's consideration at a later date.) This facility will replace the existing clinic on Milford Road in Marysville. A Memorandum of Understanding among all the University offices involved will be executed prior to construction.

Acquisition of Real Property for Veterinary Clinic Site In Union County



No True Scale



Office of Business and Finance
Board of Trustees Meeting
August 29, 2001

Map Provided by University Engineer's Office

GRANT OF PERMANENT AND TEMPORARY LICENSES TO FRANKLIN COUNTY

**LANE AVENUE BRIDGE REPLACEMENT PROJECT
APPROXIMATELY FOUR ACRES ON LANE AVENUE, COLUMBUS, OHIO**

Location and Description

Franklin County will replace the Lane Avenue bridge over the Olentangy River. In addition, the County will make improvements to the intersection of Olentangy River Road and Lane Avenue as part of a road-widening project to be undertaken by the City of Columbus between Olentangy River Road and High Street. To facilitate the bridge project, the University has been asked to grant licenses pursuant to Ohio Revised Code Section 3345.18 to permit the County to use in perpetuity certain University property for the bridge improvements and to use temporarily certain other University property during construction.

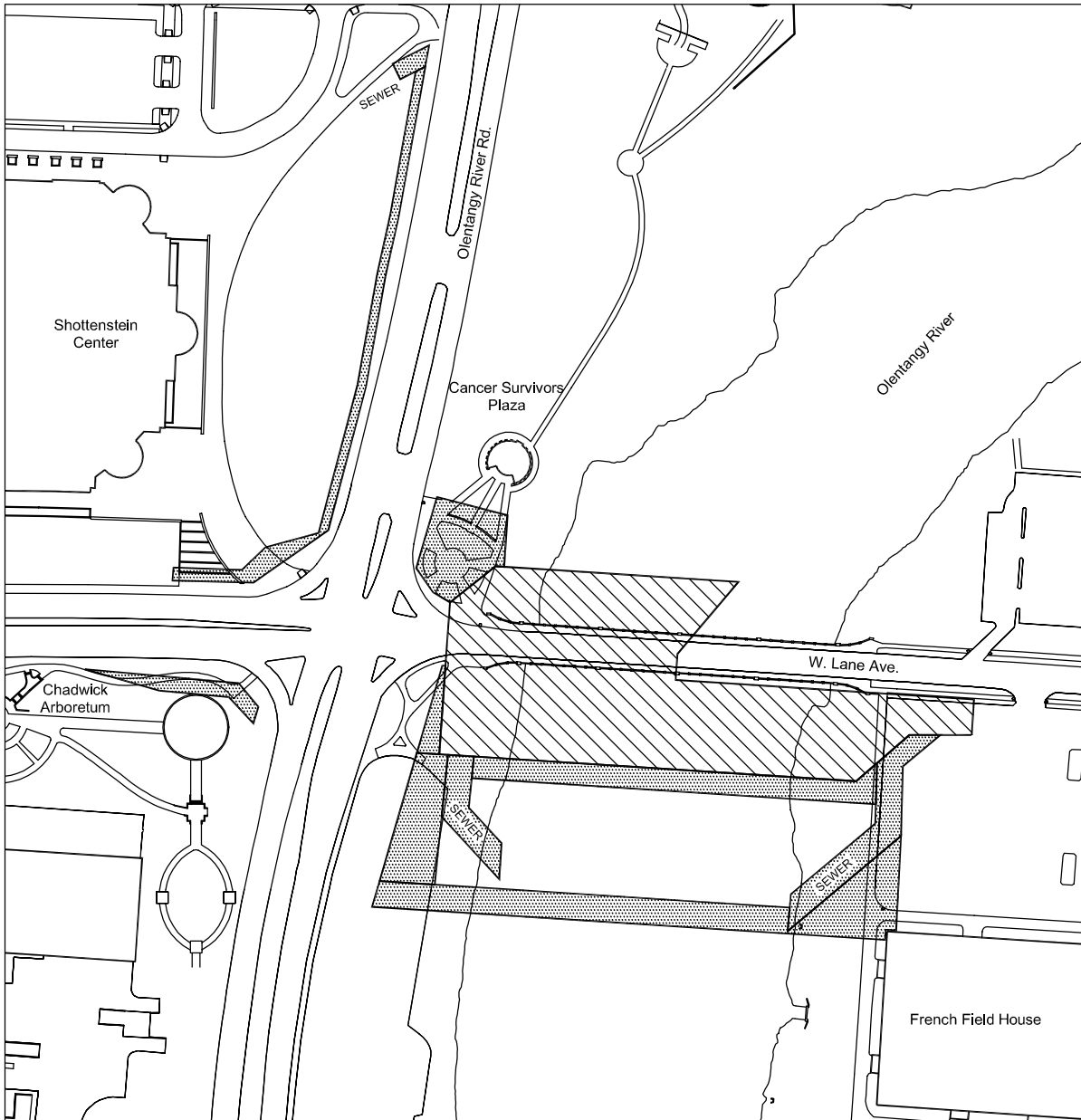
Appraisals and Consideration

An MAI limited scope appraisal, completed in May 2001 by Nash Wilson Associates and funded jointly by Franklin County, the City of Columbus, and the University, valued the land for which the permanent licenses would be granted to be \$114,500, and the value of the temporary licenses to be \$84,000. In lieu of cash payment for these licenses, the University will receive a series of improvements to the bridge and surrounding areas as part of the bridge and roadway projects, including steps on the west end of the bridge, telecommunications conduit, and improvements to the Cancer Survivor Park. The current estimated value of these improvements is in excess of \$200,000. Due diligence expenses of about \$7,500, including the appraisal cost and Real Estate and Property Management fee, will be borne by the University.

Use of the Property

Franklin County desires a permanent license to the two acres immediately under and around the bridge for the purpose of bridge maintenance. The balance of the licenses will be for temporary construction access to an additional two acres for approximately 30 months for sewer work, road grading, construction lay-down space, erection of the temporary construction bridge, and aesthetic enhancements.

Grant of Permanent and Temporary Licenses for the Widening of Lane Avenue and Replacement of the Lane Avenue Bridge



No True Scale



Map Provided by University Engineer's Office

Office of Business and Finance

Board of Trustees Meeting

August 29, 2001



Permanent License



Temporary License

**OSU Board of Trustees
Fiscal Affairs Committee
FY 2002 Budget Summary
August 29, 2001**

- I. Context
 - A. Strategic Objectives
 - B. Environment
 - C. Previous Board Action

- II. Income and Expense Summary
 - A. Enrollment Projection
 - B. Revenue Projections
 - C. Funding for Continuing Services

- III. Strategic Investments
 - A. OAA Multi-year Commitments
 - B. Performance Challenge
 - C. Undergraduate Experience
 - D. Technology
 - E. Reserves
 - F. Mandates
 - G. Other Initiatives
 - H. President's Strategic Reserve
 - I. Development
 - J. Other Funding Sources

- IV. Implications
 - A. Strategic
 - B. Financial

Office of Academic Affairs
Office of Business and Finance
August 21, 2001

I. Context

A. Strategic Objectives - to support the goals of the Academic Plan, which are:

1. Build a world-class faculty
2. Develop academic programs that define Ohio State as the nation's leading public land grant university
3. Enhance the quality of the teaching and learning environment
4. Enhance and better serve the student body
5. Create a diverse university community
6. Help build Ohio's future

B. Environment

1. FY 2002 represents the most difficult budget in seven years.
2. Smallest increase in state support in nine years.
3. Smallest pay raise in nine years.
4. Largest increase in health care costs in over a decade.
5. Largest increase in energy costs in two decades.
6. Continuing uncertainty in state budget picture
7. Increasing competitive pressures on salaries and student financial aid

C. Previous Actions of OSU Board

- | | | |
|----|------------|--|
| 1. | February 2 | Strategic Indicators Report |
| 2. | March 7 | Financial Benchmark Report |
| 3. | April 6 | Compensation Benchmark Report |
| 4. | June 1 | Compensation Guidelines approved |
| 5. | June 1 | Approval for Tuition and Fees |
| 6. | June 29 | Approval of Income and Spending Totals |

II. Income and Expense Summary

A. Enrollment Projections

The enrollment adjustments are based on the following headcounts for the Columbus Campus:

<u>FY 99 (Au)</u>	<u>FY 00 (Au)</u>	<u>FY 01 (Au)</u>	<u>FY 02 (Au)</u>
48,511 (act)	48,003 (act)	47,952 (act)	47,827 (est)

B. Revenue Projections

- Instructional Subsidy is based on the approved State budget. Reflects NFQF of 5,800.
- Student fees increase on the Columbus Campus at 9.3% for undergraduates and 5.0% for all others, such as graduate and professional students, unless otherwise noted, adjusted for enrollment changes.
- Resident undergraduate fees, net of the Access Challenge credit, at the Regional Campuses and ATI will increase:
 - ✓ 7.6% for lower division Regional Campus students
 - ✓ 6.5% for upper division Regional Campus students
 - ✓ 5.8% for ATI students
- Research Overhead is projected to increase 3.5% to cover increased research costs.
- Other Overhead, including earnings overhead, projected to increase 4.5%.
- When increases in Student Financial Aid are deducted from increased revenues, the net projected increase in revenues is 3.2%.

Continuing General Funds Income (Columbus Campus Only)
(All figures in millions)

Revenue Source	FY 01 Base	FY 02 Estimate	\$ Difference	% Change
Instructional Subsidy	\$317.7	\$322.9	\$5.2	1.6%
Performance Challenge ¹	20.2	19.1	(1.1)	(5.4)%
Student Fees	326.5	354.8	28.3	8.7%
All Other				
Research Overhead	41.7	43.1	1.4	3.6%
Other Overhead ²	35.2	36.8	1.6	4.5%
Investment Income	10.1	10.1	0.0	0.0%
Unrestricted Endowment	5.0	5.0	0.0	0.0%
Miscellaneous ³	1.0	1.0	0.0	0.0%
Subtotal	93.0	96.0	3.0	3.2%
Continuing Funds Carried Forward	NA	NA	0.1	NA
Total Resources Available	\$757.4	\$792.8	\$35.5	4.7%

C. Funding for continuing services:

1. Compensation – Includes:

- \$395 annual increase per full time faculty & staff.
- \$0.6M for faculty promotions.
- \$0.8M for contract agreements.
- 32% increase in health benefits.

2. Student Financial Aid – Includes:

- 6.0% base increase for undergraduate scholarships.⁴
- \$0.9M for the Buckeye Scholarship Program.
- 5.0% for graduate fee authorizations.
- \$3.2M enrollment adjustment for Fee Authorizations.

¹ Includes Research and Success Challenge.

² Includes Hospitals and Auxiliaries.

³ Includes recycling revenue, capital design fees and other miscellaneous fees.

⁴ Additional increases included in the 3.3% set aside.

3. Research Support - increase in Research Support is equal to inflationary cost of continued services. Increase in indirect cost recoveries should fund this increase.
4. Facilities - includes 2.9% inflation adjustment for Plant, Operation and Maintenance of existing facilities, including utilities plus an additional \$2.5M for projected fuel increases.
5. All other expenses - such as legal and bank fees are not given inflationary adjustments.

Continuing General Funds Expense (Columbus Campus Only)
(All figures in millions)

Continuing Services	FY 01 Base	FY 02 Recommended	\$ Difference	% Change
Salaries & Wages	\$422.5	\$427.4	\$4.9	1.2%
Benefits	77.9	85.1	7.2	9.2%
Student Aid ⁵	90.8	99.6	8.8	9.7%
Research Support ⁶	37.8	39.3	1.5	4.0%
Facilities	43.8	47.8	4.0	9.1%
All Other	84.7	87.9	3.2 ⁷	3.8%
Sub Total	\$757.5	\$787.1	\$29.6	3.9%
Strategic Investments ⁸	NA	5.8	5.8	NA
Total Expenses	\$757.5	\$792.9	\$35.4	4.7%

⁵ Includes undergraduate scholarships, graduate fee authorizations, fellowships and employee & dependent fee authorizations

⁶ Includes Research Fee Authorizations and Research Challenge.

⁷ Includes revenue enhancement and mandates.

⁸ See detail of Strategic Investment on page 6. Strategic Investments were \$13.0M in FY 2001 and have been distributed.

III. Strategic Investments

General funds earmarked for strategic investment over and above current services include the following.

Strategic Investment	Continuing	One-Time
OAA Multi-year Commitments	\$1,200,000	\$844,000
Performance Challenge	-1,050,000	0
Undergraduate Experience	4,600,000	0
Technology	0	10,400,000
Reserves	500,000	1,000,000
Mandates	311,000	2,111,000
Other	190,000	790,000
Grand Total	\$5,751,000	\$15,145,000

In addition to General Funds, resource allocations are also recommended from the following supplemental resources:

	Continuing	One-Time
President's Strategic Reserve	0	\$2,698,000
Development	\$1,401,000	0
Other	0	2,500,000
Grand Total	\$1,401,000	\$5,198,000

A. OAA Multi-year Commitments

In order to plan effectively, program managers need to be able to count on a predictable revenue stream for more than one year at a time. While a changing fiscal and legal environment makes this impossible to do in all cases, Academic Affairs has identified three critical areas that are so important that the University has committed to specified increases on a multi-year basis.

These areas are the Academic Enrichment/Selective Investment Program and Enhanced Recruitment of top students and the Trustees Chair. These amounts, along with one-time funds are as follows:

Program	Continuing	One-time
Academic Enrichment/Selective Investment	\$800,000	
Enhanced Recruiting	400,000	500,000
Trustees' Chair		344,000
Total	\$1,200,000	\$844,000

In addition, the central investment in Academic Enrichment/Selective Investment will be matched by a reallocation from the Colleges.

More information on the funding history of these programs can be found in the Multi-year Commitments section of the Current Funds Budget book.

B. Performance Challenge

There have been a number of changes in recent years in the way the State of Ohio provides funding support for higher education. The most significant of these has been a shift away from exclusive reliance on an enrollment-driven formula to greater reliance on funding related to performance in critical areas of importance to the State of Ohio. This effort has been strongly supported by the Ohio Board of Regents, all of Ohio's colleges and universities, as well as our elected officials.

However, this biennium, after three years of increased funding, the Challenge funds were reduced in the state's operating budget. In FY 2002, OSU Columbus Campus will experience a 7.3 percent reduction in Research Challenge Funds and a 3.7 percent reduction in Success Challenge Funds.

C. Undergraduate Experience

Since fiscal year 1996, the University has allocated a portion of the undergraduate student fee increase beyond that needed to meet baseline service needs to program improvements that directly benefit students. This year, 3.3% of the 9.3% increase, or \$4.6 million has been earmarked for this purpose.

The specific recommendations for allocation of these funds are listed below. As in previous years, these recommendations have been developed in consultation with student government leaders.

Undergraduate Experience Funding Recommendations

Program Area	Continuing
Student Financial Aid	\$2,000,000
Course and Program Access	870,000
Instructional Improvement	50,000
Technology	250,000
Academic and Career Advising	130,000
Living/Learning	250,000
Diversity in a Global Environment	150,000
Planning for Renovation of Ohio Union	300,000
Additional Undergraduate Programming	600,000
Grand Total	\$4,600,000

D. Technology

In addition to \$250,000 in continuing funds allocated as part of the undergraduate tuition increase, the University recommends \$10.4 million in one-time funds to address University-wide technology support needs. The single largest component of these recommendations is \$5.4 million for enterprise-wide systems, of which \$4.9 million is targeted for the upgrade of the HR system that was first installed in 1997.

Recommendation	One-Time
CIO Enterprise-wide	\$5,400,000
OIT Transition Support	3,000,000
ARMS Internal Loan Repayment	2,000,000
Grand Total	\$10,400,000

E. Reserves

Reserves are necessary to address issues that come up in the course of the year for which specific funds have not been set aside. These include:

Recommendations	Continuing	One-time
Provost's Reserve	\$200,000	0
President's Reserve	200,000	0
General Reserve	100,000	1,000,000
Grand Total	\$500,000	\$1,000,000

F. Mandates

Every year the University is faced with new legal requirements in a variety of areas. Even though funding to comply with these State and Federal mandates is not provided in many cases, it is still in the best interest of the University to comply.

In fiscal year 2002, a total of \$311,000 in continuing funds and \$2,111,000 in one-time funds is recommended for this purpose. The principle mandates recommended for funding are listed below:

Recommendations	Continuing	One-time
Environmental	0	\$845,000
Research Risks/Lab Animals	\$161,000	1,117,000
Disability Services	150,000	16,000
All Other	0	133,000
Grand Total	\$311,000	\$2,111,000

G. Other Initiatives

Continuing and one-time General Funds are recommended for the following additional items. This includes a onetime health insurance premium credit for employees with annual salaries of \$30,000 or less and \$500,000 as part of a multi-year effort to restore the Oval.

Recommendations	Continuing	One-time
Health Insurance Premium Credit	0	\$250,000
Oval Restoration	0	500,000
The Women’s Place	\$100,000	40,000
Summer Commencement	40,000	0
Security Back-up	50,000	0
Grand Total	\$190,000	\$790,000

H. President’s Strategic Reserve

The President’s Strategic Reserve was established in FY 2001 as a means of providing seed money to support the initiatives of the Academic Plan. These one-time funds are allocated at the President’s discretion and may be allocation over a multi-year period. The President has approved the following allocation for FY 2002:

Medical Informatics	\$1,250,000
Library Acquisitions	713,000
Graduate Student Diversity Recruiting	360,000
P-12 Initiative	200,000
Outreach and Engagement	150,000
World Class Faculty	25,000
Total	\$2,698,000

I. Development

As part of the FY 2001 budget, the Board approved the funding of Development from interest earned on gift receipts proceeds. This has been accomplished through a combination of a 1.1% charge on endowment earnings, interest from a 90-day hold on current use gifts and unrestricted gift money. These sources will provide \$1.4 million in additional funds for Development in FY 2002, compared to FY 2001 actual spending levels. It is recommended that these funds be allocated as follows:

FY 02 Budget Priorities	Amount
Salary and Benefit Adjustments	\$432,000
Fawcett Center Rent and Operations	286,000
West Coast Development Officer	60,000
Director of Stewardship	85,000
Annual Giving (one time)	150,000
Development Communications	40,000
On-going Computer Support	75,000
Additional College Development Officers	171,000
Computer Hardware (one time)	27,000
Donor Stewardship (one time)	75,000
Total New 02 Funding Initiatives	\$1,401,000

J. Other Funding Sources

In addition to the funding sources described above, it is recommended that \$2.0 million in unspent Success Challenge funds be set aside as a reserve to supplement continuing allocations for Student Financial Aid and \$500,000 unrestricted gift money be set aside to support the University's Strategic Communication Initiative.

IV. Implications

A. Strategic

1. The University will still be able to make progress on its strategic goals but at a much slower pace.
2. Positive changes will be most evident in the undergraduate experience due to the influx of additional tuition and fees.
3. OSU's undergraduate tuition will still compare favorably with similar institutions.
4. Slippage will be most apparent in compensation and has to be addressed in FY 2003.

B. Financial

1. The budget is balanced based on the current state budget appropriations, however the risk is on the downside and reserves are minimal.
2. Financial projections for next year are not much brighter – therefore planning for FY 2003 has already begun and a compensation strategy for FY 2003 and beyond will be presented to the Board at the October 4 meeting.
3. The University continues to be at risk of falling further behind its peer institutions in terms of overall resources.

BOARD OF TRUSTEES RESOLUTIONS

Fiscal Year 2001-02 Compensation Guidelines

June 1, 2001

Synopsis: Compensation guidelines for FY 2002 are proposed.

WHEREAS the current budget expires on June 30, 2001; and

WHEREAS the Ohio House and Senate each has passed its version of the State budget for the 2001-2003 biennium; and

WHEREAS certain cost increases, such as for benefits and parking, affecting faculty and staff are now known; and

WHEREAS the University desires to implement at least a minimal pay increase for FY 2002 to help lessen the impact of these increases; and

WHEREAS the University has granted a one-time health insurance premium credit for those employees whose annual salary is \$30,000 or less; and

WHEREAS salary increases for bargaining unit employees already are in place in accordance with their contracts; and

WHEREAS appropriate consultations have taken place throughout the University and a compensation adjustment to help lessen the impact of benefits and parking increases has the support of the Coordinating Council and the Planning Cabinet:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees approves an annual increase in compensation of approximately \$395 for all regular faculty and non bargaining unit staff employed at 75% to 100% time and meeting performance expectations, and an annual increase of approximately \$270 for all regular non bargaining unit faculty and staff employed at 50% to 74% time and meeting performance expectations, effective July 1, 2001, as described in the attached materials; and

BE IT FURTHER RESOLVED, That the University will provide \$15 per month, for each month that a student is paid a stipend, toward student health insurance for Graduate Associates; and

BE IT FURTHER RESOLVED, That Graduate Associate stipends will increase by \$10 per month and that the minimum Graduate Associate stipend will increase to \$900 per month; and

BE IT FURTHER RESOLVED, That the President will report to the Board of Trustees at the October meeting on a plan for restoring faculty and staff salaries to market levels.

June 1, 2001

2001-02 Tuition Increase

Synopsis: Tuition and other fee increases for Fiscal Year 2001-02 are proposed.

WHEREAS the current budget expires on June 30, 2001; and

WHEREAS the Ohio General Assembly has passed the State budget for the 2001-2003 biennium and forwarded it to the Governor, and that budget includes elimination of the State mandated tuition fee caps; and

WHEREAS it is the University's intent to increase the combined FY 2001-02 General and Instructional Fees for in-state undergraduates at the Columbus Campus by 9.3% in order to improve its undergraduate education and experience; and

WHEREAS consultations with the Professional Colleges have taken place to determine the level of their Instructional fee increases above a 5.0% base; and

WHEREAS consultations continue to take place for the Regional Campus Instructional, General and Nonresident fees in view of changes in the State budget regarding Access Challenge funding at the Regional Campuses:

NOW THEREFORE

BE IT RESOLVED, That Instructional and General Fees for the Columbus Campus be increased by 9.3% for Fiscal Year 2001-02, contingent upon removal of or exemption from the fee cap as contained in the enacted State budget and that the Professional Instructional, General, Nonresident and other fees be increased in accordance with the attached materials.

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Fiscal Year 2002 Current Funds Budget

June 29, 2001

Synopsis: Approval of the budget for Fiscal Year 2002 is proposed.

WHEREAS the State has passed its budget for Fiscal Years 2002 and 2003, which includes funding levels for State institutions of higher education; and

WHEREAS fee increases for the Columbus Campus and compensation levels were approved at the June 1 meeting of the Board of Trustees; and

WHEREAS other levels of resources and expenditures have been identified for the 2001-02 fiscal year; and

WHEREAS \$5.0 million of the University's Rainy Day Fund were used to offset subsidy cuts in FY 2001; and

WHEREAS appropriate planning and consultation within the University has been accomplished, and the President now recommends approval of the Fiscal Year 2002 budget:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby reaffirms the tuition and fees approved at its June 1, 2001, meeting, pursuant to the newly enacted State budget; and

BE IT FURTHER RESOLVED, That in-state undergraduate tuition and fees, net of the Access Challenge credit, be increased at the Regional Campuses 7.6% for lower division students and 6.5% for upper division students, 5.8% at the Agricultural Technical Institute, and as specified in the accompanying materials for all other levels of students, all to be pursuant to the newly enacted State budget; and

BE IT FURTHER RESOLVED, That the University's General Funds Budget Summary for Fiscal Year 2001-02, as described in the accompanying text and tables, be approved, with authorization for the President to make expenditures within the projected income levels; and

BE IT FURTHER RESOLVED, That the University will submit the detailed Current Funds Budget for the August 31, 2001 meeting of the Board of Trustees; and

BE IT FURTHER RESOLVED, That the President is authorized to implement a one-time reallocation equal to 1.0% of each Columbus Campus General Fund units' present budget allocation to replenish the University's Rainy Day Fund.

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Synopsis: The President recommends approval of the completed current funds budget for fiscal year 2001-2002.

WHEREAS the University's General Funds Budget Summary for fiscal year 2001-2002 was approved at the June 29 meeting, with authorization for the President to make expenditures within the projected income levels; and

WHEREAS the President now presents the fiscal year 2001-2002 Current Funds Budget for final approval:

NOW THEREFORE

BE IT RESOLVED, that the Board of Trustees hereby approves and adopts the completed fiscal year 2001-2002 Current Funds Budget.

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I. BUDGET PLANNING SUMMARY

Academic Plan

The Ohio State University aspires to be among the world's truly great universities - advancing the well being of the people of Ohio and the global community through the creation and dissemination of knowledge. Ohio needs a great teaching and research university for a rich flow of ideas, innovation, and graduates from a wide variety of disciplines. Ohio also needs a great university to be what *The New York Times* has called a "revving economic engine" that spurs strategic growth in the new Information Age economy.

Since 1992, Ohio State has focused on four core elements identified in the University's original mission and vision statement:

1. becoming a national leader in the quality of our academic programs;
2. being universally recognized for the quality of the learning experience we offer our students;
3. creating an environment that truly values and is enriched by diversity;

4. expanding the land-grant mission to address our society's most compelling needs.

In 2000, the University began a review of the 1992 mission and vision statement. A group of Ohio State administrators, deans, and faculty developed the Academic Plan. The draft Academic Plan was reviewed and refined by faculty, staff, and students as well as representatives from the extended Ohio State community.

The six strategies and fourteen initiatives identified in the Academic Plan reflect the values and aspirations of a broad cross section of the University community. These strategies and initiatives are intended to serve as a roadmap for the University over the next five years. However, it is recognized that the implementation of the Plan will be a continuing process, and the pace at which the University progresses will depend upon a number of circumstances, including the availability of financial resources. They guide the resource allocation decisions in the University's FY 2002 Current Funds Budget. These strategies and initiatives are:

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Strategy: Build a World-Class Faculty

1. Over the next three to five years, recruit at least 12 faculty members who have attained or have the potential to attain the highest honors in their disciplines, concentrating these appointments in areas of strategic focus.
2. Implement a faculty recruitment, retention, and development plan - including a competitive, merit-based compensation structure - that is in line with our peer institutions.

Strategy: Develop Academic Programs that Define Ohio State as the Nation's Leading Public Land-Grant University.

3. Continue the Strategic Investment approach by competitively funding initiatives that build programmatic strength and open new fields. Build on existing capabilities and capture opportunities specific to Ohio State and to Ohio. Maintain ongoing multidisciplinary initiatives where appropriate and develop new initiatives that draw on university-wide strengths to attack major problems of the next quarter century. Create multidisciplinary centers that can attract additional faculty in key areas, helping reduce student-faculty ratios in high-demand fields.
4. Significantly increase space dedicated to funded research beyond what is currently planned. Include a multidisciplinary building devoted to high-quality research space as well as to office and meeting space.

Strategy: Enhance the Quality of the Teaching and Learning Environment.

5. Transform the Library into a 21st-century Information Age center within the next five to ten years.
6. Upgrade the quality of our classroom pool space and enhance the appearance of the campus facilities and grounds.
7. Provide faculty, staff, and students with the latest technology tools for leadership in teaching, research, and career development within the next five years.

Strategy: Enhance and Better Serve the Student Body.

8. Within the next three years, make admissions to Ohio State selective throughout the year for new freshmen and for all transfer students.
9. Create a rich educational environment for undergraduates. Increase course accessibility, reduce class sizes, and establish at least ten scholars programs within five years - expanding opportunities for students to live with those who share common interests and enhancing students' academic success and sense of community. Provide academic programming, advising, and career counseling within these communities.
10. Provide ample need-based and merit-based aid for undergraduates and a competitive financial aid and fellowship support package for graduate and professional students to improve the competitiveness of Ohio State's graduate and professional programs for the best and brightest students.

Strategy: Create a Diverse University Community.

11. Hire at least five to ten women and five to ten minority faculty at a senior level each year for five years through the Faculty Hiring Assistance Program (FHAP) and other initiatives.
12. Recruit, support, and retain to graduation larger numbers of academically able minority students.

Strategy: Help Build Ohio's Future.

13. Significantly strengthen the scope and effectiveness of our commitment to P-12 public education, with a special focus on the education of underserved children and youth. In so doing, work with the State of Ohio and selected local school districts. This initiative will be a university-wide partnership, with the College of Education in the lead college role.
14. Become the catalyst for the development of Ohio's technology-based economy. Increase collaborations with the private sector to enhance research, successfully transfer University technology, and provide experiential learning and career opportunities for students.

To fully support these academic priorities the Academic Plan recognizes the University must continue to emphasize initiatives that further diversify university revenues and strategically target available resources.

Budget Context

In March, at the beginning of the FY 2002 budget planning process, the University presented a financial benchmark report to the OSU Board of Trustees. The financial benchmark report compared OSU's financial trends with nine benchmark institutions in a number of key areas.

These nine benchmark institutions include, in order of their relative rank: Michigan, UCLA, Wisconsin-Madison, Washington, Illinois-Urbana/Champaign, Minnesota-Twin Cities, Texas-Austin, Penn State, and Arizona.

These benchmark institutions represent the top tier of public higher-education institutions of the highest quality that most closely resemble Ohio State in organization and missions. The benchmark institutions represent Ohio State's peers and aspirational peers. In order to provide perspective on how comparable we are to our benchmark peers; similarities between the organizational configuration, distribution of faculty, and institutional size of the benchmark institutions were examined. The most recent outcomes of these comparisons follow:

- Current Funds Revenues per FTE student at Ohio State are significantly (20%) below the average of benchmark institutions. However, this represents a 3-percentage point improvement over FY 1996.
- State support per student FTE at Ohio State (\$8,767) in FY99 is also significantly less (7.9%) than benchmark institutions. OSU's growth rate of 4.1% from FY98 to FY99 fell below the average growth rate of the benchmark institutions (4.8%).
- While instructional expenditures per student FTE at Ohio State are 6% higher than the benchmark average, expenditures on academic support outside the classroom are 39% below the benchmark average.
- Resident undergraduate tuition and fees at Ohio State are 3.8% below the benchmark average in FY 2001.
- Ohio State is ranked higher than any other Ohio public university in academic reputation. Yet, Ohio State's resident undergraduate tuition and fees are 5.4% below the state average.
- This makes Ohio State an excellent value for students and taxpayers, but it also means Ohio State does not have the resources to match our competition in key academic and support areas.

The University will continue to develop benchmarking of key academic, demographic and administrative issues to inform decisions on budget prioritization.

II. ACADEMIC BUDGET PRIORITIES

Strategies and Initiatives

The FY 2002 budget initially focused on the strategies and initiatives identified in the Academic Plan.

Two key elements relating to resources necessary to achieve the strategic goals set forth in the Academic Plan were an increase in state support and an increase in undergraduate tuition.

Unfortunately, the combination of a slowing state economy, increased medical costs and the court order to address inadequacies in the state support of primary and secondary education resulted in the smallest increase in the University's state support in nine years. Progress on the Academic Plan was further constrained by a 32% increase in the University share of employee health benefit costs and the largest increase in energy costs in two decades.

However, with the support of state officials, a plan to increase undergraduate tuition by 9.3% in FY 02 was approved. Guided by the Academic Plan strategy to enhance and better serve the

student body and with student input, 3.3% of the tuition increase will be earmarked for:

- student financial aid to assure access for the neediest students,
- access to high-demand courses and programs,
- improved public computer labs,
- expanded and improved academic and career counseling,
- expanded and improved training for Graduate Teaching Associates,
- expanded and improved Living Learning Centers and University Scholars programs,
- a Multi-Cultural Center, and
- planning for a renovation of the Ohio Union for student services.

The FY 2002 budget also continues to support initiatives designed to diversify revenue sources through increased private fund raising efforts and sponsored research.

In addition to the lower than expected level of state support, double digit growth in the costs of the University employee's health care program and fuel costs required the University to narrow the FY 2002 budget focus to those areas most critical to the Academic Plan. The four FY 2002 funding priorities were determined to be:

1. an improved undergraduate student experience,
2. competitive faculty and staff compensation,
3. biomedical research,
4. the proposed Institute for the Study of Race and Ethnicity.

The most significant investments are made in the undergraduate student experience initiative. The earmarked portion of the additional 2002 tuition funding is used for student financial aid, undergraduate student instruction and other student services.

The budget funds a minimal pay increase for faculty and staff, roughly equal to the increase in employee costs for health insurance and other fees, and contractual pay increases for bargaining unit staff.

The limited investment in employee compensation clearly puts Ohio State increasingly at risk of falling further behind a number of its aspirational peers' compensation levels. The President will report to the Board of Trustees at the October

2001 meeting on a plan to more adequately address faculty and staff compensation levels in FY 2003.

Other 2002 funding priorities include a continued commitment to strategic investments, and an increased investment in research support, revenue enhancement programs, and student recruitment.

Thus, although the University will make progress on its strategic goals, implementation of the Academic Plan will be accomplished at a much slower pace than originally anticipated.

Budget Restructuring

The University is moving away from a budget structure that is heavily driven by past history to one that more directly aligns financial incentives for the colleges with the academic goals of the University.

Over the last five years the University has undertaken a detailed review of College financial statements. Individual college base budgets, informed by the College financial statements and the University Academic Plan, were established. Base budget adjustments, including reallocations of resources among colleges and new central investments, will begin in FY 02 and be completed by FY 07.

Beginning in FY 03, new revenues will be shared with the Colleges based on the following principles of the new budget system.

- The allocation of resources should be mission driven
- In a large complex organization, decentralized decision-making works best.
- Any budget system, but particularly one that is decentralized, depends on the creation and maintenance of a timely and user-friendly information system.
- A significant portion of revenues should be explicitly linked to the generating units, specifically the colleges.
- A portion of all revenues should be dedicated to the support of university-wide goals.
- Costs should also be explicitly linked to the generating college or vice presidential area.

- Although the system should be mission driven, predictability and stability are also important characteristics.
- Appropriate oversight and accountability should be provided by the University's governance and administrative structure.
- A carefully thought out transition is essential to the ultimate success of any changes in the budget system.

While budget restructuring is not a panacea for the University's low level of financial support, it will provide greater incentives for units to generate resources consistent with the goals of the Academic Plan.

III. FY 2002 REVENUE SUMMARY

Overview

Total University current funds revenues including General Funds, Earnings and Restricted revenue are projected to increase by 6.9 percent from \$2.16 billion to \$2.31 billion between FY 2001 and FY 2002.

General Fund revenues for the Columbus Campus consist primarily of State Support (the state share of instruction, challenge funding and state line-item appropriations), student tuition and fees. General Fund revenues are projected to increase by \$35.5 million or 4.7 percent in FY 2002.

For the first time in the history of Ohio State, projected revenue from student tuition will exceed the projected instructional support we receive from the State of Ohio at the Columbus Campus. This phenomenon is not the result of a single lean budget year or biennium, but has been an ongoing trend over the past fifteen years, a time when both the US and Ohio have undergone sustained economic growth. Ohio State's share of instruction has increased an average of only

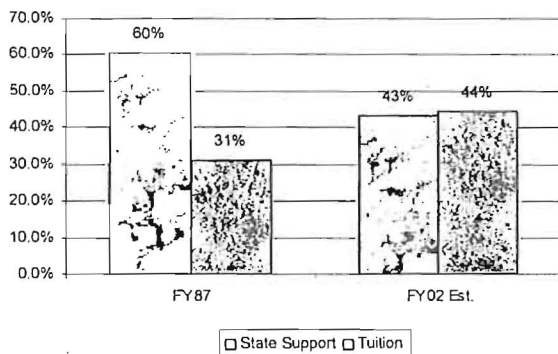
2.4% per year over the last fifteen years. This was partly due to the State budget cuts in the late 1980s and early 1990s that were never replenished by the State even after the economy and the State's budget recovered and partly due to declining enrollments over the past decade.

Ohio has gone from a position fifteen years ago when Ohio State's share of instruction was nearly double its student fee revenue, to a position of state-assisted higher education ten years ago when subsidy was 1.4 times student fee income, to a position today where the State provides a minority share of the instructional funding for Ohio State. And, the forecast for the foreseeable future is that the State's Share of Instruction will continue to fall further behind student fee income. This will put a greater financial burden on students and put Ohio State at greater risk of falling further behind its benchmark institutions due to lack of resources.

Comparison of State Support to Student Fee Income for the Columbus Campus from FY 1987 to FY 2002 (in thousands)

Fiscal Year	State Support	Fee Income	Ratio
FY 1987	\$228,600	\$117,870	1.9 : 1
FY 1992	269,578	190,491	1.4 : 1
FY 1997	283,447	249,369	1.1 : 1
FY 2002	342,089	351,462	1.0 : 1

State Support and Student Fee Income as a Percentage of Columbus Campus Total General Funds FY 87 to FY 02 Est.



State Support

Beginning in fiscal year 2000, the definition of state support changed from only the State Share of Instruction to a combination of the State Share of Instruction and the Challenge funding. This is considered our core funding from the State of Ohio.

In late Spring 2001, the Governor of the state of Ohio announced the need to reduce the fiscal year 2001 operating appropriations for higher education by one percent. The total cut to OSU was \$4.5 million. The vast majority of the cut came from the State Share of Instruction, which amounted to \$3.4 million for the total University and \$3.2 million for Columbus campus alone. The remaining \$1.1 million came from our line-item appropriations, with the exception of the Challenge funding, which was held harmless from the cut. While this cut represented a one-percent cut to our annual appropriations, it amounted to an effective four-percent cut in our appropriations for the remainder of the Fiscal Year.

For FY 2002, the State Share of Instruction for the Columbus and extended campuses is \$345.3 million or 46 percent of the General Funds Budget. This is an increase of \$6.2 million or 1.8 percent from the FY 2001 state share of instruction (after the cut), but only 0.8% from original appropriation.

Our mission-based core funding from the State consists of the State Share of Instruction plus the following five line-item

appropriations considered challenge funding. Challenge funding for each university or college is dependent upon that institution's performance in meeting statewide goals. After three years of increased funding, the Challenge line items were reduced this biennium in the State's Operating Budget.

- ◇ **Success Challenge** – two-thirds of the appropriation is used to reward successful completion of academic programs by at-risk students (OIG-eligible undergraduates); one-third is used to reward successful completion of a baccalaureate degree in a timely manner (4 years). Programs funded with Success Challenge will be limited in FY 2002 as a result of a 3.5% statewide decrease in these funds.
- ◇ **Research Challenge** - rewards success in securing sponsored research from external sources. This line item was decreased 7.3% for FY 2002, reducing the discretionary funding available to the Office of Research.
- ◇ **Priorities in Graduate Education** – used to support improvements in graduate programs in computer science.
- ◇ **Access Challenge** - reduces financial barriers to entry-level higher education (two-year schools only). The reduction in access challenge will make it more difficult for the regional campuses to keep their tuition low.
- ◇ **Jobs Challenge** - rewards successful efforts at meeting community needs for non-credit job training. (two-year schools only)

Line Item	FY 2001	FY 2002 E	% Change
OSU Main Campus			
Success	\$10.7	\$10.3	-3.7%
Research	9.3	8.6	-7.3%
Graduate Education	0.9	0.8	-1.9%
Subtotal-Main	\$20.9	\$19.8	-4.9%
Extended Campuses (includes ATI)			
Access	\$ 3.2	\$ 3.1	-4.6%
Jobs	0.4	0.3	-8.0%
Subtotal-Extended	3.6	3.5	-3.6%
Total University	\$ 24.4	\$ 23.2	-4.5%

(Totals may not add due to rounding)

Student Fees

The entering class this fall for the Columbus campus is projected to meet the University's enrollment target of 5,800 new first quarter freshman. Undergraduate enrollment remains relatively stable while graduate enrollments have continued to decline. Total main campus enrollments for FY 2002 (new first quarter freshman and returning students) are projected to be 47,827, slightly lower than FY 2001.

Undergraduate Fees

- ◇ The combined instructional and general fees will increase 9.3 percent for all Columbus Campus undergraduate students. After over a decade of state-mandated limitations on undergraduate fee increases, the current State Operating Budget removes a six-percent tuition ceiling at Ohio's public colleges and universities.
- ◇ As a result of a reduction of Access Challenge funding and a lifting of fee caps at the regional campuses and ATI, the combined undergraduate instructional and general fees will increase in FY 2002 by:
 - 7.6% for the lower-division regional campuses students
 - 6.5% for the upper-division regional campuses students
 - 5.8% for ATI students
- ◇ The undergraduate non-resident surcharge will increase 5.0 percent. A full time non-resident undergraduate will pay \$822 more per year.

Graduate and Professional Fees

- ◇ The combined instructional and general fees for graduate students will increase 4.7% (\$285) and full-time nonresident graduate students will pay \$765 more per year. Non-resident surcharges for all graduate and professional students will increase 5%, except Medicine, which will not increase in order to stay competitive with their peers.

- ◇ The following selective fees will be implemented for full-time professional students. These increases represent the total increase over FY 2001 for instructional and general resident fees.

College	Resident Instructional & General Fees	
	Resident Total (Per Quarter)	% Increase over FY01
Law (semester)	\$5,413	8.4%
Business MBA ¹	\$3,778	25.6%
Business EMBA	\$9,134	5.1%
Business - Master of Accounting	\$5,270	5.1%
Business MLHR	\$2,281	13.7%
Dentistry	\$4,280	9.2%
Medicine	\$5,056	9.7%
Medicine – Master of Physical Therapy ²	\$2,187	NA
Optometry	\$3,458	4.8%
Pharmacy	\$2,572	8.6%
Veterinary Medicine	\$4,004	8.7%

Increases above 5.0 percent in the instructional fees will be earmarked for improved services to students in these colleges.

¹ The second year of a multi-year increase
² New program – See Table I.3

General Fees

The general fee was restructured in FY 1994-95 to correspond with the costs associated with the Office of Student Affairs and includes such items as Student Unions, Student Health Center, and Student Life. The purpose of this restructuring was to improve accountability. Starting in FY 2002, the Office of International Education was transferred from Student Affairs to Academic Affairs and will no longer be included in the General Fee. Although the other components that make up the General Fee did increase, they were offset by this reclassification of International Education and therefore the general fee will not increase for FY 2002 and will remain at \$117 per quarter for all students (\$176 per semester for Law).

Technology Fees:

Specific computer fees are earmarked for the Colleges of Engineering, Business, Arts and Nursing and the Departments of CIS in Math & Physical Sciences and Public Policy in Social & Behavioral Sciences. All of these fees remained at the FY 01 levels.

Residence Hall and Other Charges:

Other charges to Columbus Campus students (room and board, bookstores, health insurance, etc.) will increase by an average of 5.5 percent for undergraduate students. Included in this weighted average is a 5.6 percent increase in room and board charges for undergraduate residence halls. (see table IV. 12)

Other Income

Additional sources of general funds income include indirect cost recoveries from external research grants (\$43.1 million); other overhead (\$36.8 million); interest income (\$10.1 million); Unrestricted Endowment and other designated income (\$5.0 million); and other miscellaneous income (\$0.9 million). Other income in total is projected to increase by 1.5% from the FY 2001 level to \$95.9 million.

IV. FY 2002 EXPENDITURE SUMMARY

Overview

Although the Columbus Campus general funds are budgeted to increase \$35.4 million (4.6%) in FY 2002 over FY 2001, most of the additional funding is earmarked for non-discretionary items leaving little for compensation increases and other academic priorities. The Columbus Campus new general funds will be allocated as follows:

- **Student Financial Aid** is a combination of undergraduate scholarships and graduate fee authorizations. These increases are necessary to keep pace with rising tuition.
- **Undergraduate Student Experience** is funded from the additional 3.3% increase in tuition in accordance with commitments made to students.
- **College and Differential Fees** are those tuition dollars in excess of 5% that are returned to the various graduate and professional programs that generate them.
- **Research Support** includes Research Challenge, Research Fee Authorizations, Research administration and discretionary research funds and are funded from increases in indirect cost recoveries.
- **Provost's Strategic Investment Fund** consists of long- term commitments to strengthen the academic mission, recruitment enhancement and a 3.5% reduction in Success Challenge.
- **Faculty & Staff Salaries** are increasing minimally as a result of the above commitments. An across-the-board increase of \$395 will cover increases in health premiums and parking fees for most faculty and staff.
- **Benefits** are increasing primarily as a result of a 32% increase in the University's share of the health premiums.

- **Utilities and Rent** are increasing primarily due to an almost doubling of the University's natural gas costs.
- **Unfunded Mandates and Other** are necessary to cover increased costs over which the University has little control.

Student Financial Aid	\$8.8
Undergraduate Student Experience	4.6
Colleges with Differential Fees	3.2
Research	0.9
Provost's Strategic Investment Fund	0.8
Recruitment Enhancement	0.4
Success Challenge	-0.4
Faculty & Staff Salary increases	4.9
Benefits	7.2
Utilities and Rent	4.0
Unfunded Mandates	0.5
All Other	0.5
Total New General Funds	\$35.4

Academic Priorities

The FY 2002 budget will focus on the strategies and initiatives identified in the Academic Plan as well as financial protection

and growth of the University. Below is a breakout of the new General Funds allocated to each of these strategies at the Columbus Campus:

Academic Priority	Continuing	One-Time
Build a World Class Faculty	\$4,785,000	\$2,544,000
Develop Academic Programs	1,349,000	1,250,000
Enhance Teaching & Learning	14,738,000	1,463,000
Enhance & Better Serve Students	13,601,000	3,300,000
Create a Diverse University	250,000	880,000
Help Build Ohio's Future	125,000	350,000
Financial Protection & Growth	561,000	11,011,000
Total Academic Priorities	\$35,409,000	\$20,798,000

Build a World Class Faculty

Faculty Salaries – Quality of the faculty is the single most important factor in supporting education, scholarship and public service and enhancing the educational experience of our students. Our goal was to be able to provide a competitive pay raise in FY 2002. However, due to the shortage of resources this was not possible. Each full time faculty member meeting performance standards will receive an annual increase of approximately \$395, which should cover their increases in health insurance premiums and parking in most cases. The President has committed the University to a strategy to increase faculty salaries up to the median of our benchmark institutions within the next three to five years beginning in FY 2003.

Faculty Promotions - Faculty approved for promotion will receive an additional 6.0% increase.

Health and Other Benefits - The University is faced with a 32% increase in the cost of employee medical benefits. Health-care costs locally and nationally have increased sharply beginning in FY99, a trend that shows no sign of diminishing. In particular, the cost of pharmaceutical drugs and outpatient diagnosis costs have increased. The University is formulating a multi-year plan to address this issue.

World Class Faculty – To attract world-class faculty to Ohio State to enhance the academic programs. Funded from the President's and Provost's Strategic Investment funds, from the Office of Research, and will be matched by the Colleges.

Trustee Chair – The fourth of a five-year commitment to fund a special Chair at the direction of the Trustees.

World Class Faculty	Continuing	One-Time
Faculty Salaries	\$1,525,000	
Faculty Promotions	600,000	
Benefits	2,360,000	
World Class Faculty	300,000	2,200,000
Trustee Chair		344,000
Total	\$4,785,000	\$2,544,000

Develop Academic Programs

Provost's Strategic Investment Fund - these funds, in addition to the \$800,000 of selective investment reallocation funds, will be used to support college proposals in areas of proven excellence. The University has allocated \$675,000 of the \$800,000 in the Provost's Strategic Investment Fund to the World-Class Faculty, Race & Ethnicity and P-12 initiatives described in the ensuing sections.

Provost's Reserve – these funds are assigned to the Provost to address academic priorities that occur during the year outside the budget process.

Medical Informatics – The second of a four-year commitment totaling \$4.0 million funded from the President's Strategic Investment Fund.

Institute for the Study of Race & Ethnicity in the Americas – a new interdisciplinary center created to promote research, teaching, learning, and dissemination of knowledge regarding the history, current conditions, and future prospects for members of racial and ethnic minority groups in the Americas. Funded from the Provost's Strategic Investment funds with matching funds from the Office of Research and participating colleges.

Research Support - A commitment to research is part of what makes a learning experience at Ohio State unique. Increased support for research, including space, equipment and graduate research associates, are required to keep pace with the

substantial increase in research activity over the last ten years. University support of Research is increasing by \$1,449,000 in FY 2002 but for the first time the State has cut Research Challenge by 7.3% (\$675,000) reducing the total increase in Research to just \$774,000.

FY 2002 Columbus Campus New General Funds

Academic Programs	Continuing	One-Time
Provost's Strategic Investment Fund	\$125,000	
Provost's Reserve	200,000	
Medical Informatics		\$1,250,000
Institute for the Study of Race & Ethnicity in the Americas	250,000	
Research Support	774,000	
Total	\$1,349,000	\$1,250,000

Enhance Quality of Teaching & Learning

Library Acquisitions - funds are allocated to the three academic libraries to allow them to maintain their purchasing power for books, periodicals and journals. Although usually continuing funds, the budget situation necessitated one-time funding for FY 2002. This is funded from the President's Strategic Investment Fund.

Revenue Enhancements - this is a program whereby fee increases for the professional colleges greater than the 5.0% implemented by the University will be shared with those colleges.

holiday of their health insurance. In addition to the increase in health benefits, PERS contributions will go back to their 14% level after the six-month temporary decrease in employer contributions that resulted in a negative change in departmental benefits costs for FY 2001. The amount of the reduction (\$3.75 million) was reserved centrally and will be used to offset the increase in PERS contributions.

FY 2002 Columbus Campus New General Funds

Enhance Quality of Teaching & Learning	Continuing	One-Time
Library Acquisitions		\$713,000
Revenue Enhancements	\$3,200,000	
Physical Environment	4,000,000	500,000
Graduate Assoc. Compensation	325,000	
Staff Salaries	2,379,000	
Staff Benefits	4,834,000	250,000
Total	\$14,738,000	\$1,463,000

Enhance & Better Serve Students

Student Financial Aid - Increased funding for students who receive financial aid to match the increase in tuition. Includes both undergraduate scholarships and graduate fee authorizations. Undergraduate financial aid will receive an additional \$2.0 million in continuing funding from the additional tuition above 6.0% and an additional \$2.0 million from Success Challenge to help address some previously unfunded student aid needs.

Physical Environment - Maintaining the physical plant of the University is necessary in order for all academic and academic-support units throughout the campus to carry out successfully the mission of the University. This budget provides an inflationary 3.0 percent increase in budgeted facility costs including utilities, rent, insurance, repair and renovations, but excluding debt service. It also provides for an additional \$2.5 million for increased natural gas costs. There is a reduction in central space costs as a result of transferring space costs for the Office of Development from General Funds to Endowment funding. It also includes the Oval Restoration; this is an effort to beautify one of the most recognizable spaces on campus and will include various renovation projects around the Oval.

Graduate Associate Compensation - Graduate stipends will increase \$10 per month with the minimum monthly stipend increasing to \$900 and an additional \$15 per month will be provided as a reduction in graduate associate health premiums.

Staff Salaries - Like the faculty, non-bargaining unit staff increases will be limited to \$395 for full-time staff meeting performance expectations. Expenses include the General Funds portion of the second year of the three-year CWA contract and the second year of the three-year FOP contract.

Staff Benefits - As with regard to faculty, staff health benefit costs are increasing 32%. Employees who earn less than \$30,000 per year will also receive a one-month premium

Academic Excellence for Undergraduates - Includes increased Course and Program Access funds to high demand courses, Instructional Improvement through Faculty and TA development, updating computer labs, and Academic and Career Advising in support of the direct enrollment initiative.

Learning Environment Outside the Classroom - Includes enhanced co-curricular programming for students in University Scholars Programs, renovation of the Ohio Union to create an integrated student services center and enhanced summer commencement.

Undergraduate Program Reserve - Funding provided from the additional tuition above 6% to be earmarked for undergraduate student programming.

Undergraduate Recruitment - These funds provide additional support to recruit students to Ohio State who are most likely to benefit from the environment of a comprehensive public research university.

Strategic Communication Initiative - Funded from one-time unrestricted gift funds, the Initiative is designed to enhance the Ohio State's competitiveness in recruiting the best and brightest students.

FY 2002 Columbus Campus New General Funds

Enhance or Better Serve Students	Continuing	One-Time
Undergraduate Financial Aid	\$6,255,000	
Additional Undergrad Financial Aid	2,000,000	\$2,000,000
Graduate Fee Authorizations	2,556,000	
Academic Excellence for Undergraduates	1,300,000	
Learning Environment Outside Classroom	590,000	300,000
Undergraduate Program Reserve	500,000	
Undergraduate Recruitment	400,000	500,000
Strategic Communication Initiative		500,000
Total	\$13,601,000	\$3,300,000

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Create a Diverse University

Minority Scholarships – second year of multi-year commitment to increase scholarships to underrepresented groups.

Multicultural Center – will be established to enhance the racial and ethnic diversity on campus.

The Women's Place – to provide permanent funding for this initiative, which is committed to enhancing the quality of life

for the community of women within and beyond The Ohio State University.

Graduate Recruitment - these funds provide additional support to recruit a diverse group of students to Ohio State who are most likely to benefit from the environment of a comprehensive public research university. This is funded from the President's Strategic Investment Fund.

FY 2002 Columbus Campus New General Funds

Create a Diverse University	Continuing	One-Time
Minority Scholarships		\$320,000
Multicultural Center	\$150,000	100,000
The Women's Place	100,000	100,000
Graduate Recruitment		360,000
Total	\$250,000	\$880,000

Help Build Ohio's Future

Outreach and Engagement – The second of a four-year commitment totaling \$487,000 funded from the President's Strategic Investment Fund.

P-12 Initiative – The second year of an \$800,000 commitment funded from the President's and Provost's Strategic Investment Funds, with additional support from the College of Education.

FY 2002 Columbus Campus New General Funds

Help Build Ohio's Future	Continuing	One-Time
Outreach and Engagement		\$150,000
P-12 Initiative	\$125,000	200,000
Total	\$125,000	\$350,000

Financial Protection and Growth

The University's ability to implement the six strategies and fourteen initiatives identified in the Academic Plan depends in part on acquiring more resources to support these activities. This in turn requires a commitment by the University to protect its financial assets and to invest in revenue growth. The best investment to ensure revenue growth is to continue to improve the quality of the academic programs and the student experience, which will in turn secure the enrollment base to support revenue growth. Other initiatives include:

Enterprise Wide Systems – A one-time allocation to fund the PeopleSoft HR system upgrade along with other PeopleSoft and BRIO reporting and software upgrades.

OIT Support – One-time transition funding of \$3.0M for the Office of Information Technology to assume additional responsibility regarding developing technologies in administrative and academic computing in FY 2002. This funding will be phased out over the next two years.

Mandate Compliance - The University generally does not receive additional state or federal funds to comply with new

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state or federal mandates. Nevertheless, the University must comply with these requirements. These include Office of Research Risk Protection (ORRP) Review Board, Administration and Support; Disability Services interpreter, media and adaptive technology; and Security Systems Backup.

Supplies & Equipment and other – There will be no inflationary increase for Supplies and Equipment in FY 2002. However, there are selective increases for Contract Custodial and other professional expenses.

President's Reserve – These funds are assigned to the President to address academic and other priorities that occur during the year outside the budget process.

FY 2002 Columbus Campus New General Funds

Protection of Assets	Continuing	One-Time
Enterprise Wide System		\$5,900,000
OIT Support		3,000,000
Mandate Compliance	\$361,000	2,111,000
Supplies & Equipment		
President's Reserve	200,000	
Total Protection of Assets	\$561,000	\$11,011,000

V. EXPENDITURE TRENDS AND MULTI-YEAR COMMITMENTS

Expenditure Trends

(All figures in millions of \$)

Following are a series of tables showing a five-year trend of budget amounts for selected priorities. They include only general fund sources unless otherwise indicated.

Compensation - The five-year trend shows that the University has increased salaries and wages an average of 3% a year. If benefits are included, total compensation has increased an average of 3.2% a year.

Compensation					
	FY 98	FY 99	FY 00	FY 01	FY 02
Salaries & Wages	\$358.70	\$373.10	\$391.20	\$406.30	\$419.60
Benefits	66.30	69.90	76.00	80.90	85.10
Total	\$425.00	\$443.00	\$467.20	\$487.20	\$504.70

Provost's Strategic Investments - The University continues to improve the academic experience of its students by investing in quality academic programs. In FY 2002, over \$10.3 million will have been invested in thirteen academic programs across the University and nearly 90

academic enrichment initiatives. New investments in FY 2001 include Cardiovascular Bioengineering, Economics, English, Law and Mathematics. These will be funded through the Selective Investment Program. These investments are matched by the participating colleges.

Provost's Strategic Investments					
	FY 98	FY 99	FY 00	FY 01	FY 02
Provost's Strategic Inv.	\$4.25	\$5.75	\$7.50	\$9.50	\$10.30
Library Support	9.00	9.80	10.40	11.10	11.70 ³
Board of Trustees Chair		0.34	0.34	0.34	0.34
Total	\$13.25	\$15.89	\$18.24	\$20.94	\$22.34

Research Support - Research continues to be a high priority of the University. Resources must continually be invested in order for the University to maintain its competitive advantage and to increase its research output. In FY 2002, total research support continues to increase in spite of a 7.3% cut in Research Challenge funding. The Academic Plan and the Research Commission report will

³ The increase in Library Support in FY 2002 is in one-time funds.

assist in focusing university efforts to further advance its research efforts.

Research Support					
	FY 98	FY 99	FY 00	FY 01	FY 02
OSURF Admin	\$6.30	\$7.20	\$7.50	\$7.20	\$7.25
Special Purpose Resch	4.10	4.00	4.20	4.40	4.40
Research Fee Auth	11.40	11.90	12.50	13.30	14.00
Research Challenge	5.10	6.00	7.90	9.30	8.60
Research Facilities	6.20	6.40	6.20	6.20	7.00
Total	\$33.10	\$35.50	\$38.30	\$40.40	\$41.25

Diversity - The University must continue to invest in those areas that will have the greatest potential for a positive impact on its commitment to diversity. Additional funds to offset inflation are provided for Young Scholars, minority scholarships and the hiring of faculty from underrepresented groups such as women and minorities. Funding is provided for a variety of new diversity initiatives listed below.

Diversity					
	FY 98	FY 99	FY 00	FY 01	FY 02
Young Scholars ⁴	\$1.70	\$1.80	\$1.80	\$1.90	\$2.00
Minority Scholarships	6.60	7.00	7.40	8.30	9.20
Faculty Assistance	4.90	5.10	5.30	5.50	5.50
Retention & Other				0.70	0.70
Academic Prog Endow ⁵				1.00	
Initiative Seed Funds ⁵				0.30	
Multicultural Center					0.25
Women's Place					0.20
Total	\$13.20	\$13.90	\$14.50	\$17.70	\$17.85

Student Financial Aid - In FY 2002, an estimated 30,000 students will receive some form of financial aid, making this one of the most critical student services. The University will allocate additional funds (\$2.0M in continuing and \$2.0M one-time) so that scholarships and fee authorizations can be increased to offset increases in student fees.

⁴ Administrative support is no longer budgeted separately for Young Scholars; amounts reported reflect scholarships only.

⁵ Funded from Exclusive Beverage Contract.

Student Financial Aid					
	FY 98	FY 99	FY 00	FY 01	FY 02
Administration	\$3.10	\$4.00	\$4.30	\$4.60	\$4.70
Scholarships	6.30	6.70	7.10	7.80	7.65
High Ability Scholars	5.00	5.30	5.60	5.50	6.75
Fee Authoriz.	55.30	58.10	59.00	59.80	67.80
Buckeye Scholarships	2.30	2.70	4.50	6.10	6.95
Total	\$72.00	\$76.80	\$80.50	\$83.80	\$93.85

Physical Environment - The Ohio State University faces a backlog of over \$100 million in identified maintenance needs for campus facilities. While this backlog cannot be eliminated overnight, the University continues to invest significant resources to maintain the campus physical environment.

Physical Environment					
	FY 98	FY 99	FY 00	FY 01	FY 02
Renovations (State)	\$9.25	\$7.70	\$7.70	\$7.70	\$7.70
University (Cont.)	6.40	6.90	6.90	7.00	7.20
University (1-time)	0.25	1.70	1.00	0.50	1.30
Total	\$15.90	\$16.30	\$15.60	\$15.20	\$16.20

Instructional Technology - The University has embarked on a multi-year effort to increase support of learning technology. It is critical to the University's mission that faculty, staff and students have access to the most advanced technologies.

Instructional Technology					
	FY 98	FY 99	FY 00	FY 01	FY 02
Acad Computing (Cont)	\$2.30	\$2.60	\$3.20	\$3.70	\$4.00
Acad Computing (1-Time)	0	0.80	0.80	1.00	0
Engineering Computer Fees	1.30	1.30	1.30	1.30	1.30
Business Computer Fees	0.80	0.80	0.80	0.80	0.80
Arts Computer Fees	0	0	0	0.20	0.20
Nursing Computer Fees	0	0	0	0.10	0.10
Total	\$4.40	\$5.50	\$6.10	\$7.10	\$6.40

Multi-Year Commitments

(All figures in millions of \$)

The documentation of multi-year commitments has been part of the annual budget document every year since FY 1996. The purpose of this review is to share with the campus a sense of what these commitments are and how they change from year to year. In order to plan effectively, the University needs to be able to make commitments across fiscal years, but do so in a way that does not jeopardize future financial flexibility.

Multi-year commitments are divided into five categories to reflect the various sources of the funds to address these commitments. These categories are:

- Continuing Central General Funds
- One-time Central General Funds
- Central Non-General Funds
- Colleges and support units Funds
- Capital Funds

Central Continuing General Funds

The following table lists the explicit multi-year commitments against continuing General Funds. The Provost's Strategic Investment Fund and Enhanced Recruiting are a continuation of existing commitments. Campus Partners continuing General Fund commitments include projected increased rental costs for the move of Human Resources and other units into Gateway in FY 2004. Graduate Associate health insurance is a three-year

commitment to fund a portion of student health insurance for graduate assistants.

Multi-Year Continuing General Funds					
Commitment	Initial FY	FY 01 Total	FY 02 New	FY 03 Est. Inc.	FY 04 Est. Inc.
Provost Strategic Invest	1995	\$9.5	\$0.8	\$2.0	\$2.0
Recruit Enhance	1998	2.6	0.4	0.5	0
Campus Partners	1995	0.2	0	0	0.5-1.0
Grad Assoc. Health Ins	2002	0	0.5	0.5	0.5

The desired ceiling for multi-year commitments is that commitments in the succeeding three years not exceed 1% of the current year's budget. This guideline was established in the mid 1990's in order to preserve future financial flexibility. One percent of the FY 2002 Columbus Campus General Funds Budget is \$7.8 million. The total of new FY02 multi-year commitments plus future obligations in FY 2003 and FY 2004 ranges between \$7.7 and \$8.2 million. Therefore, caution needs to be exercised in making additional future multi-year commitments until the University's financial picture improves.

In addition to the specific multi-year commitments listed above, the University will continue to need to fund increases in a number of areas of the General Funds budget. These include:

- Competitive annual compensation increases for faculty, staff and student employees
- Continuing needs for increases in supplies
- Implementation of the CUE, G-QUE and I-QUE recommendations
- Support of research
- Deferred maintenance and other capital needs
- Academic and administrative computing needs
- Student Financial Aid
- Unfunded legal mandates
- Diversity and Outreach

Also not included in the multi-year commitments described above is the between \$8 and \$10 million in funds that will be shifted among colleges over the next five years, depending on available resources. These shifts, the first step of budget restructuring, rebase the college budgets to optimally position the colleges to contribute to the implementation of the Academic Plan.

Commitments of Central One-Time General Funds

The commitment for the Trustees' Chair is the fourth year of a five-year commitment. In July 2000, the new automated General Ledger (GL) System was successfully implemented including adjustments to the Procurement and Human Resources Systems. An internal loan needed to complete this initiative will be paid off by FY 2003. Responsibility for the operation and maintenance of the GL, Procurement and Human Resources Systems will be integrated into the University's existing OIT organization eliminating over the next three years OIT Support.

Central One-Time General Funds					
Commitment	Initial FY	FY 01	FY 02	FY 03 Est. Inc.	FY 04 Est. Inc.
PharmD Support	1998	\$.38	\$.14	\$.14	\$ 0
Trustees' Chair	1999	.34	.34	.34	0
Internal Loan Repayment	2001	7.50	7.00	7.00	0
OIT Support	2002	3.00	3.00	2.00	1.00

In October 2000, the University Board of Trustees approved the creation of the President's Strategic Investment Fund. The purpose of this fund is to allow the President to direct one-time resources into areas of strategic investment supportive of the Academic Plan.

Resources for the Strategic Investment Fund come from both general funds freed up by moving Development off the General Fund and from Research Challenge. These are one-time funds only. The Board resolution prohibits use of these resources for continuing commitments. Use of these funds is at the President's discretion and must be reported annually to the Board of Trustees. Commitments to date total \$11.24 million are distributed as identified in the following table.

President's Strategic Investment Fund						
	FY01	FY02	FY03	FY04	FY05	Total
Micro MD	\$4.50					\$4.50
Medical Informatics	1.50	1.25	1.25			4.00
P-12 Initiative		.20	.20	.20	.20	.80
World Class Faculty		.03	.35			.38
Library Acq.		.71				.71
Grad. Recruit		.36				.36
Outreach & Engagement	.04	.15	.15	.15		.49
Total	\$6.04	\$2.70	\$1.95	\$.35	\$.20	\$11.24

College and Other Unit Commitments

As the University moves to a more decentralized structure, colleges and other administrative units will be taking on greater financial responsibility. The section below lists specific initiatives where colleges and large administrative units have been given or have given loans to accomplish certain objectives.

New commitments are 1) the MicroMD Lab, which supports research and development in bio-microelectromechanical systems (bioMEMS). This is a collaborative effort between the Office of Research and the Colleges of Medicine and Public Health and Engineering, with matching funds from the President's Strategic Investment Fund, and 2) the Biomedical Research Facility which will be financed by cost recoveries and other funds generated by the College of Medicine and Public Health. It should be noted the College of Engineering has fully repaid (ahead of schedule) a previously identified commitment - The Gas Turbine Laboratory. Remaining commitments include:

Central Commitments of Non-General Funds

As the University moves to diversify its funding sources, the commitment of non-General Fund sources is becoming much more significant. The following table lists ongoing commitments of non-General Fund sources that are primarily endowment and unrestricted gift money.

Central Commitments Non-General Funds			
Project	Total	Source	Time Frame
Campus Partners	\$25.0	Endowment	97-02
	5.8	Unrest Gift	95-05
	.5	Affinity Card	97-02
Science & Tech Campus	.6	Unrest Gift	98-02
	.6	Ofc of Research	98-02

College and Other Unit Commitments				
Project	College/Unit	Total	Source ⁶	Time Frame
Telescope project	MAPS	\$0.50	Cost Recoveries	98-02
Heart & Lung Inst. Addit. Construction Operating	Medicine	0.63 0.13	Cost Recoveries	98-13
MRJ	Medicine	1.50	Cost Recoveries	00-05
Prologue, Inc.	Health System	2.50	Clinical Trials	98-02
Med Ohio Health, Inc.	Health System	5.60	Patient Revenues	95-06
Executive Residence	Business	31.0	Rental Revenues	02-33
Schottenstein Center	Athletics	55.2	Ticket Sales	99-29
Heart Hospital	Health System	82.6	Patient Revenues	01-21
Ohio Stadium	Athletics	195.0	Tickets, Club Seats, Boxes	99-29
Micro MD Bio Mems	Central Research Medicine Engineer	4.5 1.5 1.5 1.5	Strat. Invst Various Various Various	01-21

⁶ Increases in cost recoveries are guaranteed by the respective college and/or department

(continued) Project	College/Unit	Total	Source	Time Frame
Bio-Med Research Fac.	Medicine	TBD	Cost Recoveries	05-25

In addition to these specific multi-year commitments by the colleges, we want to highlight some of the financial issues facing The Ohio State University Medical Center and the Department of Athletics.

The Ohio State University Medical Center is the most comprehensive in the country. It currently is facing three financial challenges: 1) the competitive challenges presented by managed care, 2) the competitive challenge for research dollars and 3) the recent purchase of Park Hospital to create University Hospitals East. Recent hires in the area of medical research will provide an enormous competitive advantage in cancer, heart and lung, and biomedical research. However, these new hires will also require a corresponding significant investment of financial support. Initial support has been made possible by the transfer of funds from past successful operations of the James Cancer Hospital and Solove Research Institute, and now the President's Strategic Reserve among other sources.

The pressures of responding to managed care competition are likely to continue. The Ohio State University Medical Center accounts for nearly 40% of the entire OSU budget from all sources. While The Ohio State University Medical Center is currently in a positive financial position, the ability to remain strong academically and financially depends on the ability of the University and the Medical

Center to balance internal demands for more resources in support of teaching and research against external market forces centered primarily on cost.

The Ohio State University's Athletics' program is the largest in the country and one of only a handful that contributes resources back to the University. The program has aggressively embarked on an effort to correct a number of chronic problems that have needed attention for quite some time, including inadequate facilities, equity issues in coaches' pay and Title IX compliance. Facility investments alone will result in a quarter of a billion dollar commitment over the next few years. While the program is still financially sound, future revenue growth is not guaranteed. It is essential that new commitments be carefully balanced against future resources so that the program remains financially viable.

Capital

Capital commitments were addressed as part of the preparation for the Biennial Capital Request, and thus will not be dealt with in great detail here. However, we would like to emphasize the following:

- Most of the University's capital needs for replacement and renewal of existing academic building space must be met through the State capital funding process.
- Even if state support remains constant or grows slightly, the University will need to make a modest commitment in additional continuing funds to address deferred

maintenance problems (\$250,000-\$500,000 per year for the next 3-5 years).

- Capital needs in Athletics and the Medical Center need to be balanced against operating needs and expected income sources, as discussed previously.
- Although adequate funds are available to meet the needs described above, existing funding sources are not sufficient to embark on additional major university financed construction projects without additional funding sources.
- Although issuing tax-exempt bonds has been a popular funding source over the years, the University has only a finite capacity to issue bonds before negatively impacting its strong credit rating. Maintaining a high rating will keep us from paying higher interest rates. Thus, we need to carefully manage the amount of bonds issued in the future.

Conclusions

Financial projections show that if present trends continue, the University will have sufficient funds to cover its commitments, but will not have discretionary funds available to embark on other significant new initiatives. Thus, if any new initiatives are desired or existing initiatives expanded, the University needs to reduce other commitments or secure additional funds.

SELECTED DEFINITIONS

Current Funds are those funds that are earned and expended in the current fiscal year. They include the General Fund, Earnings Operations and Restricted Funds. Excluded entirely from this report are Non-Current Funds such as Plant Funds, Loan Funds and Endowment Principal.

General Funds are unrestricted resources available for allocation in support of core instruction; instructional support and related general administrative and physical plant expenditures.

Earnings Operations are also unrestricted with resources generated from the sales and services of the earnings units. While not a requirement, these resources are generally designated to the unit generating the revenue. Included are the Hospitals & CHRI, Auxiliaries and departmental earnings units.

Auxiliaries are specifically identified by the State as the following earnings operations: Residence & Dining Halls, Intercollegiate Athletics, Student Unions, Bookstores, Traffic & Parking, Fawcett Center, University Airport and Property Management.

Unrestricted refers to the sum of general funds plus earnings operations.

Restricted Funds are funds whose use has been designated by an external agency or individual and limited to support a specific purpose and/or unit. Included is Sponsored Programs.

Instruction and Departmental Research includes all direct and applicable allocated expenditures for all activities that are part of the University's instructional program. It includes expenditures for departmental research and public service that are not separately budgeted.

Academic Support includes all funds expended for activities carried out primarily to provide support services that are an integral part of the operations of one of the three primary missions - instruction, research and public service. Included in this category are Academic Affairs Administration, Libraries, Museums & Galleries and the Deans' offices,

Student Services includes funds expended for those activities whose primary purpose is to contribute to students' emotional and physical well-being, as well as their cultural and social development outside the context of the formal instructional program. Included in this category are Admissions and Registration, Counseling, Student Health Service, Recreation & Intramural Sports, Student Financial Aid and the Student unions.

Institutional Support contains expenditures for operations that provide support services to the total University. Included in this category are Executive Management, Business and Finance, Human Resources, University Relations and Development.

Plant, Operations and Maintenance includes all expenditures of current funds for the operation and maintenance of the physical plant, net of amounts charged to auxiliary operations and hospitals. Included in this category are utilities, repair and renovations, custodial services, grounds maintenance, space rental and property insurance.

Separately Budgeted Research includes all expenditures for activities specifically organized to produce research outcomes, whether commissioned by an external agency to the University (restricted) or the University (unrestricted) and includes matching funds applicable to the conditions set forth by the grant or

contract. It does not include training grants or equipment grants.

Public Service includes all funds expended for activities that are established primarily to provide non-credit designated course offerings and services beneficial to individuals and groups external to the University. Included in this category are Continuing Education and Cooperative Extension Services.

Scholarships and Fellowships include expenditures in the form of outright grants and trainee stipends to individuals enrolled in formal coursework, either for credit or non-credit.

One-Time Funds are cash payments made to colleges and departments on a discretionary basis. The source of the funds is the cash balance carried forward from the previous year.

**SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY
(IN THOUSANDS)**

RESOURCES

Government Support	
State	
Federal	
Local	
Subtotal Government Support	
Student Fees	
Instructional, General & Tuition	
Other	
Subtotal Student Fees	
Other Resources	
Health System (1)	
Auxiliaries	
Departmental Sales & Services	
Private Grants & Contracts	
Other	
Subtotal Other Resources	
Total Resources	

	2000-01 Revised Budget	2001-02 Budget	Dollar Change	Percent Change
State	489,226	503,089	13,843	2.8%
Federal	184,204	206,921	22,717	12.3%
Local	23,776	23,817	41	0.2%
Subtotal Government Support	697,206	733,807	36,601	5.2%
Instructional, General & Tuition	344,326	375,086	30,760	8.9%
Other	20,804	21,878	872	4.2%
Subtotal Student Fees	365,130	396,962	31,832	8.7%
Health System (1)	658,688	726,040	66,352	10.1%
Auxiliaries	168,433	170,079	1,646	1.0%
Departmental Sales & Services	50,509	60,854	10,345	20.5%
Private Grants & Contracts	166,389	172,063	5,674	3.4%
Other	55,669	57,018	1,349	2.4%
Subtotal Other Resources	1,099,688	1,185,054	85,366	7.8%
Total Resources	1,82,024	2,315,823	493,899	27.1%

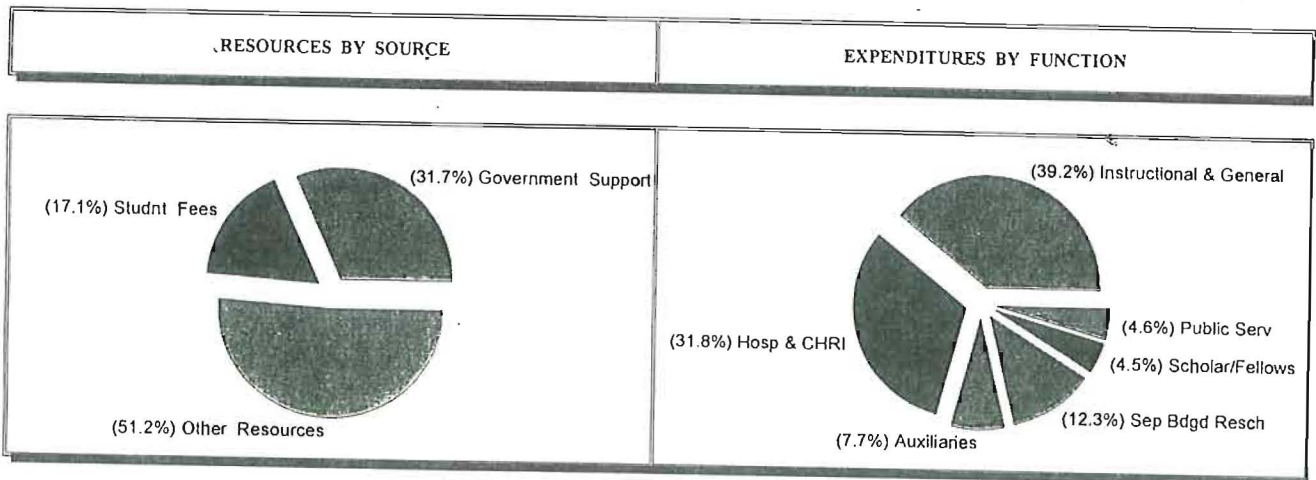
EXPENDITURES

Instructional & General	
Separately Budgeted Research	
Public Service	
Scholarships & Fellowships	
Auxiliaries	
Health System (1)	
Total Expenditures	

Instructional & General	865,204	904,407	39,203	4.5%
Separately Budgeted Research	252,969	282,828	29,859	11.8%
Public Service	103,022	106,837	2,815	2.7%
Scholarships & Fellowships	98,748	104,240	5,492	5.6%
Auxiliaries	175,753	177,343	1,590	0.9%
Health System (1)	651,581	732,869	81,288	12.5%
Total Expenditures	2,117,277	2,387,624	270,347	12.8%

(1) Health System includes University Hospitals, Hospital East, CHRI, Harding and Network.

**SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
TOTAL UNIVERSITY - FY 2002**



SUMMARY OF ANNUAL STUDENT FEES COLUMBUS CAMPUS

	INSTRUCTIONAL & GENERAL FEES				NON-RESIDENT SURCHARGE			
	FY 2001 Fees	FY 2002 Fees	Dollar Change	Percent Change	FY 2001 Fees	FY 2002 Fees	Dollar Change	Percent Change
Undergraduate	4,356	4,761	405	9.3%	8,349	8,766	417	5.0%
Graduate	6,021	8,306	2,285	4.7%	9,591	10,071	480	5.0%
Graduate Programs:								
MBA	9,024	11,334	2,310	25.6%	9,591	10,071	480	5.0%
EMBA	26,076	27,402	1,326	5.1%	9,591	10,071	480	5.0%
Master of Accounting	15,036	16,810	1,774	5.1%	9,591	10,071	480	5.0%
MLHR	6,021	8,843	2,822	13.7%	9,591	10,071	480	5.0%
Master of Physical Therapy	NA	6,661	NA	NA	NA	10,071	NA	NA
Professional:								
Pharmacy	7,107	7,716	609	8.6%	10,206	10,716	510	5.0%
Medicine	13,821	15,166	1,345	9.7%	22,065	22,066	0	0.0%
Dentistry	11,757	12,840	1,083	9.2%	19,704	20,688	984	5.0%
Optometry	9,897	10,374	477	4.8%	19,704	20,688	984	5.0%
Veterinary Medicine	11,049	12,012	963	8.7%	20,364	21,381	1,017	5.0%
Law	9,984	10,828	844	8.4%	10,358	10,876	518	5.0%

Notes:
 Full-time fees for Undergraduate Students are for 12+ credit hours.
 Full-time fees for Graduate and Professional Students are for 10+ credit hours.

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES TOTAL UNIVERSITY BY FUND (IN THOUSANDS)

	2000-01		2001-2002			Total Budget	Percent Change
	Revised Budget		General	Restricted	Restricted		
RESOURCES							
Government Support							
State Support							
State Share of Instruction	339,246	346,321			346,321	1.8%	
Appropriations	121,541	22,266		99,431	121,887	0.0%	
Ohio Grants & Contracts	28,339	1,636		34,426	38,061	27.2%	
Subtotal State Support	489,226	369,213		133,858	603,069	2.8%	
Federal Grants & Contracts	184,204	32,172		174,749	206,921	12.3%	
Local Grants & Contracts	23,776	2,724		21,093	23,817	0.2%	
Subtotal Government	697,206	404,109		329,699	733,807	8.2%	
Student Fees							
Instructional, General and Tuition	344,326	376,086			376,086	8.8%	
Other	20,804	12,741	8,775	160	21,676	4.2%	
Subtotal Student Fees	365,130	388,827	8,775	160	397,762	8.7%	
Other Resources							
Health System (1)	658,688		725,040		725,040	10.1%	
Auxiliary Sales & Services	168,433		170,079		170,079	1.0%	
Departmental Sales & Services	60,509	77	60,777		60,854	20.6%	
Private Grants & Contracts	166,389	12,220	176	169,669	172,063	3.4%	
Endowment Income	36,046	3,375		33,630	37,005	2.7%	
Investment Income	12,740	12,844			12,844	0.8%	
Other	6,884	7,031	139	18,298	27,154	4.1%	
Subtotal Other	1,099,688	35,847	986,209	18,298	1,105,054	7.8%	
Total Resources	2,162,024	827,483	984,984	623,160	2,816,224	7.1%	
EXPENDITURES							
Instruction & General							
Instruction & Departmental Research	654,424	466,440	31,026	88,896	616,362	4.0%	
Academic Support	103,950	93,509	6,652	13,257	112,318	8.1%	
Student Services	49,947	46,191	4,762	1,277	52,237	4.6%	
Institutional Support	70,979	56,428	6,104	10,312	72,844	2.6%	
Plant, Operations & Maintenance	85,904	84,111	7	6,534	90,652	6.6%	
Subtotal Instruction & General	985,204	736,680	47,461	120,276	904,407	4.5%	
Separately Budgeted Research	262,869	29,342	9,710	243,776	282,828	11.8%	
Public Service	103,022	4,867	12,659	88,421	105,317	2.7%	
Scholarships & Fellowships	98,748	64,697		49,843	104,240	6.6%	
Auxiliaries	176,763	1,439	168,104	7,800	177,343	0.9%	
Health System (1)	661,681		719,629	13,240	732,869	12.6%	
Total Expenditures	2,147,277	828,916	957,453	623,160	2,307,524	7.6%	

(1) Health System budget includes Hospital, Hospital East, CHRI, Harding and Networks.

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
COLUMBUS CAMPUS BY FUND
(IN THOUSANDS)**

2000-01 Revised Budget	2001-2002			Total Budget	Percent Change
	General	Harmonized	Restricted		

RESOURCES

Government Support					
State Support					
State Share of Instruction	317,721	322,934		322,934	1.6%
Appropriations	79,150	18,943	60,050	78,993	-0.2%
Ohio Grants & Contracts	27,395	1,636	33,500	35,136	28.3%
Subtotal State Support	424,266	343,513	93,550	437,063	3.0%
Federal Grants & Contracts	172,978	31,917	163,000	184,817	12.7%
Local Grants & Contracts	23,721	2,724	21,000	23,724	0.0%
Subtotal Government	620,965	378,154	277,550	683,704	6.6%
Student Fees					
Instructional, General and Tuition	322,871	351,462		351,462	8.9%
Other	19,380	12,090	7,800	19,890	2.6%
Subtotal Student Fees	342,251	363,552	7,800	371,352	8.6%
Other Resources					
Health System (1)	658,688		725,040	725,040	10.1%
Auxiliary Sales & Services	168,318		169,975	169,975	1.0%
Departmental Sales & Services	47,500		67,700	67,700	21.8%
Private Grants & Contracts	161,940	12,220		167,220	3.3%
Endowment Income	35,375	3,375	33,000	36,375	2.8%
Investment Income	11,300	11,300		11,300	
Other	6,533	6,661		6,661	0.4%
Subtotal Other	1,089,652	33,456	852,715	1,174,111	7.8%
Total Resources	2,062,249	775,162	960,618	2,201,227	7.2%

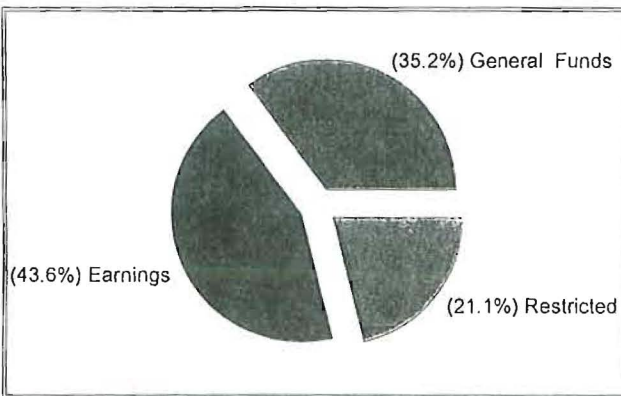
EXPENDITURES

Instruction & General					
Instruction & Departmental Research	527,120	427,565	28,915	88,331	3.4%
Academic Support	95,060	87,639	4,402	10,200	7.6%
Student Services	45,650	41,802	4,762	1,200	4.8%
Institutional Support	64,014	60,145	6,988	10,000	3.3%
Plant, Operations & Maintenance	78,428	78,962		1,600	5.3%
Subtotal Instruction & General	608,272	686,214	44,067	111,231	4.1%
Separately Budgeted Research					
Public Service	209,482	29,342	9,710	200,279	14.2%
Scholarships & Fellowships	101,866	4,361	11,760	88,000	2.2%
Auxillaries	93,821	63,777		46,000	6.3%
Health System (1)	175,673	1,439	168,021	7,800	0.9%
Health System (1)	661,581		719,629	13,240	12.6%
Total Expenditures	2,040,695	775,153	953,177	2,193,860	7.0%

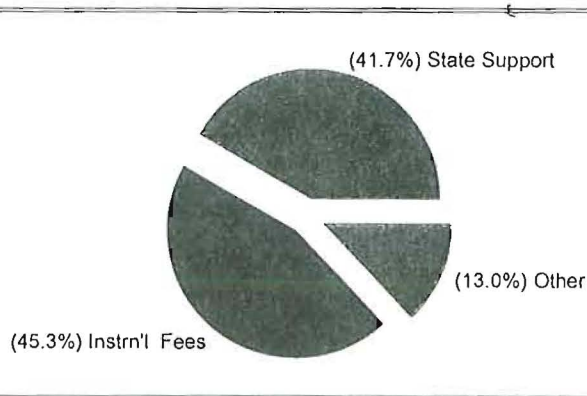
(1) Health System budget includes Hospital, Hospital East, CHRI, Harding and Networks.

**BUDGETED RESOURCES
COLUMBUS CAMPUS - FY 2002**

RESOURCES BY FUND



GENERAL FUNDS BY SOURCE



**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
LIMA CAMPUS BY FUND
(IN THOUSANDS)**

268

RESOURCES

Government Support
State Support
State Share of Instruction
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Health System
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

	2000-01 Revised Budget	2001-2002			Total Budget	Percent Change
		General	Earmark	Restricted		
Government Support						
State Support						
State Share of Instruction	4,051	4,146			4,146	2.3%
Appropriations	783	706	178		884	12.9%
Ohio Grants & Contracts	109		109		109	
Subtotal State Support	4,943	4,852	287		5,139	4.0%
Federal Grants & Contracts	760		760		760	
Local Grants & Contracts				1,037		
Subtotal Government	5,693	4,852	1,037		6,889	3.4%
Student Fees						
Instructional, General and Tuition	4,070	4,484			4,484	10.2%
Other	736	287	710		997	35.5%
Subtotal Student Fees	4,806	4,771	710		5,481	14.0%
Other Resources						
Health System			2		2	
Auxiliary Sales & Services	2		77		77	-55.2%
Departmental Sales & Services	172			70	70	
Private Grants & Contracts	70			85	85	
Endowment Income	85			260	260	
Investment Income	260	260			260	197.1%
Other	70	70	138	188	702	8.6%
Subtotal Other	658	330	217	188	702	
Total Resources	11,158	9,953	927	1,192	12,072	8.2%

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxiliaries
Health System

Total Expenditures

	2000-01 Revised Budget	2001-2002			Total Budget	Percent Change
		General	Earmark	Restricted		
Instruction & General						
Instruction & Departmental Research	5,348	5,728		66	5,796	8.4%
Academic Support	1,014	1,269		10	1,289	25.1%
Student Services	907	959		25	984	8.6%
Institutional Support	1,204	931	116	25	1,072	-11.0%
Plant, Operations & Maintenance	878	984		7	971	10.6%
Subtotal Instruction & General	9,351	9,841	116	138	10,092	7.9%
Separately Budgeted Research	34			34	34	
Public Service	606	31	809	65	906	49.3%
Scholarships & Fellowships	780			958	958	22.8%
Auxiliaries	2		2		2	
Health System						
Total Expenditures	10,773	9,972	927	1,192	11,991	11.3%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MANSFIELD CAMPUS BY FUND
(IN THOUSANDS)**

269

RESOURCES

Government Support
State Support
State Share of Instruction
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Health System
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

	2000-01 Revised Budget	2001-2002			Total Budget	Percent Change
		General	Earmark	Restricted		
Government Support						
State Support						
State Share of Instruction	4,099	4,405			4,405	7.6%
Appropriations	976	769	140		885	-7.9%
Ohio Grants & Contracts	228		238		238	4.4%
Subtotal State Support	5,303	5,184	378		5,542	4.1%
Federal Grants & Contracts	840		886		886	5.4%
Local Grants & Contracts			38		38	
Subtotal Government	6,143	5,184	416		6,462	6.1%
Student Fees						
Instructional, General and Tuition	4,993	4,946			4,946	-0.9%
Other	160	76	160		236	48.9%
Subtotal Student Fees	5,153	5,021	160		5,181	0.6%
Other Resources						
Health System						
Auxiliary Sales & Services	70		45		45	-35.7%
Departmental Sales & Services	22		26		28	18.2%
Private Grants & Contracts	139		175	98	273	96.4%
Endowment Income	85		71		71	-16.5%
Investment Income	400	420			420	5.0%
Other						
Subtotal Other	716	420	244	183	633	-10.6%
Total Resources	12,012	10,605	246	1,830	12,481	3.9%

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxiliaries
Health System

Total Expenditures

	2000-01 Revised Budget	2001-2002			Total Budget	Percent Change
		General	Earmark	Restricted		
Instruction & General						
Instruction & Departmental Research	6,112	5,929		145	6,074	18.8%
Academic Support	1,066	1,205		6	1,210	14.6%
Student Services	679	699		16	714	23.3%
Institutional Support	1,534	960	129		1,089	-29.0%
Plant, Operations & Maintenance	814	874		20	894	9.8%
Subtotal Instruction & General	9,035	9,867	174		9,981	9.7%
Separately Budgeted Research	30			116	116	283.3%
Public Service	293	466		1	463	59.0%
Scholarships & Fellowships	1,565	426	1,200		1,628	3.8%
Auxiliaries	33		28		28	-21.2%
Health System						
Total Expenditures	11,010	10,667	261	1,850	12,213	10.9%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
MARION CAMPUS BY FUND
(IN THOUSANDS)**

270

RESOURCES

Government Support
State Support
State Share of Instruction
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Health System
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

Total Resources

	2000-01	2001-2002			Total Budget	Percent Change
	Revised Budget	General	Fundraising	Restricted		
Government Support						
State Support						
State Share of Instruction	3,640	3,655			3,655	0.4%
Appropriations	667	663		65	628	-5.8%
Ohio Grants & Contracts	40			58	58	45.0%
Subtotal State Support	4,247	4,118		123	4,241	-0.1%
Federal Grants & Contracts	600			514	614	2.8%
Local Grants & Contracts						
Subtotal Government	4,747	4,118		637	4,755	0.2%
Student Fees						
Instructional, General and Tuition	3,692	4,376			4,376	18.6%
Other	68	62			62	-6.9%
Subtotal Student Fees	3,760	4,437			4,437	18.3%
Other Resources						
Health System						
Auxiliary Sales & Services	2		4		4	100.0%
Departmental Sales & Services	738		449		449	-39.2%
Private Grants & Contracts	120			360	360	200.0%
Endowment Income	160			149	149	-0.7%
Investment Income	340	384			384	12.9%
Other	66	66			66	
Subtotal Other	1,418	460	453	609	1,412	-0.3%
Total Resources	9,915	9,005	483	1,146	10,604	7.0%

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxiliaries
Health System

Total Expenditures

	2000-01	2001-2002			Total Budget	Percent Change
	Revised Budget	General	Fundraising	Restricted		
Instruction & General						
Instruction & Departmental Research	4,870	4,402	471	60	4,923	1.1%
Academic Support	1,032	1,381		24	1,405	36.1%
Student Services	766	777		28	806	6.6%
Institutional Support	922	1,036		9	1,045	13.3%
Plant, Operations & Maintenance	647	669	7	6	681	6.3%
Subtotal Instruction & General	8,228	8,265	478	116	8,859	7.7%
Separately Budgeted Research				60	60	
Public Service	100			180	180	80.0%
Scholarships & Fellowships	967	395		800	1,195	23.6%
Auxiliaries	2		4		4	100.0%
Health System						
Total Expenditures	9,295	8,660	482	1,146	10,288	10.7%

**DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
NEWARK CAMPUS BY FUND
(IN THOUSANDS)**

271

RESOURCES

Government Support
State Support
State Share of Instruction
Appropriations
Ohio Grants & Contracts
Subtotal State Support
Federal Grants & Contracts
Local Grants & Contracts
Subtotal Government

Student Fees
Instructional, General and Tuition
Other
Subtotal Student Fees

Other Resources
Health System
Auxiliary Sales & Services
Departmental Sales & Services
Private Grants & Contracts
Endowment Income
Investment Income
Other
Subtotal Other

Total Resources

	2000-01	2001-2002			Total Budget	Percent Change
	Revised Budget	General	Fundraising	Restricted		
Government Support						
State Support						
State Share of Instruction	4,884	5,464			5,464	11.9%
Appropriations	1,107	833		231	1,064	-3.9%
Ohio Grants & Contracts	20			20	20	
Subtotal State Support	6,011	6,297		251	6,548	9.1%
Federal Grants & Contracts	667			800	1,467	18.9%
Local Grants & Contracts						
Subtotal Government	6,678	6,297		1,051	7,348	10.0%
Student Fees						
Instructional, General and Tuition	5,600	6,684			6,684	19.7%
Other	168	190			190	13.1%
Subtotal Student Fees	5,668	6,774			6,774	18.6%
Other Resources						
Health System						
Auxiliary Sales & Services	43		63		63	23.3%
Departmental Sales & Services						
Private Grants & Contracts	60			60	60	-18.7%
Endowment Income	100			45	45	-56.0%
Investment Income	330	406			406	22.7%
Other	165	266			266	64.6%
Subtotal Other	898	680	63	60	800	16.6%
Total Resources	13,044	13,781	63	1,146	14,950	17.6%

EXPENDITURES

Instruction & General
Instruction & Departmental Research
Academic Support
Student Services
Institutional Support
Plant, Operations & Maintenance
Subtotal Instruction & General

Separately Budgeted Research
Public Service
Scholarships & Fellowships
Auxiliaries
Health System

Total Expenditures

	2000-01	2001-2002			Total Budget	Percent Change
	Revised Budget	General	Fundraising	Restricted		
Instruction & General						
Instruction & Departmental Research	5,190	7,938		62	7,990	29.1%
Academic Support	1,192	1,673			1,673	32.0%
Student Services	1,637	1,320		7	1,327	-13.7%
Institutional Support	1,334	1,614		68	1,682	18.6%
Plant, Operations & Maintenance	876	1,321			1,321	61.0%
Subtotal Instruction & General	11,128	13,666		127	13,793	23.3%
Separately Budgeted Research						
Public Service	18			24	24	33.3%
Scholarships & Fellowships	62			995	1,057	100.0%
Auxiliaries	900				900	10.6%
Health System	43		61		61	18.6%
Total Expenditures	12,181	13,666	61	1,146	14,653	22.3%

DETAIL OF BUDGETED RESOURCES AND EXPENDITURES
OHIO AGRICULTURAL AND RESEARCH DEVELOPMENT CENTER BY FUND
 (IN THOUSANDS)

273

RESOURCES

Government Support
 State Support
 State Share of Instruction
 Appropriations
 Ohio Grants & Contracts
 Subtotal State Support
 Federal Grants & Contracts
 Local Grants & Contracts
 Subtotal Government

 Student Fees
 Instructional, General and Tuition
 Other
 Subtotal Student Fees

 Other Resources
 Health System
 Auxiliary Sales & Services
 Departmental Sales & Services
 Private Grants & Contracts
 Endowment Income
 Investment Income
 Other
 Subtotal Other

 Total Resources

	2000-01 Revised Budget	2001-2002			Total Budget	Percent Change
		General	Earnings	Restricted		
Government Support						
State Support						
State Share of Instruction						
Appropriations	38,344			38,731	38,731	1.0%
Ohio Grants & Contracts	350			350	350	
Subtotal State Support	38,694			39,081	39,081	1.0%
Federal Grants & Contracts	8,000			8,000	8,000	
Local Grants & Contracts	65			65	65	
Subtotal Government	48,749			47,136	47,136	0.8%
Student Fees						
Instructional, General and Tuition						
Other						
Subtotal Student Fees						
Other Resources						
Health System						
Auxiliary Sales & Services						
Departmental Sales & Services	1,150		1,150	1,150	1,150	
Private Grants & Contracts	4,000			4,000	4,000	
Endowment Income	200			200	200	
Investment Income						
Other						
Subtotal Other	5,350		1,150	4,200	5,350	
Total Resources	62,099		1,150	61,336	62,486	0.7%

EXPENDITURES

Instruction & General
 Instruction & Departmental Research
 Academic Support
 Student Services
 Institutional Support
 Plant, Operations & Maintenance
 Subtotal Instruction & General

 Separately Budgeted Research
 Public Service
 Scholarships & Fellowships
 Auxiliaries
 Health System

 Total Expenditures

	2000-01 Revised Budget	2001-2002			Total Budget	Percent Change
		General	Earnings	Restricted		
Instruction & General						
Instruction & Departmental Research	50			50	50	
Academic Support	4,150		1,150	3,000	4,150	
Student Services						
Institutional Support	1			1	1	
Plant, Operations & Maintenance	5,000			5,000	5,000	
Subtotal Instruction & General	9,201		1,150	8,051	9,201	
Separately Budgeted Research	43,045			43,045	43,045	
Public Service	76			76	76	
Scholarships & Fellowships	165			165	165	
Auxiliaries						
Health System						
Total Expenditures	62,486		1,150	61,336	62,486	

SUMMARY OF STATE SUPPORT
COLUMBUS CAMPUS
 (IN THOUSANDS)

274

CORE FUNDING

Instructional Funding
 Mission-Based Core Funding:
 Success Challenge
 Research Challenge
 Priorities In Graduate Education
 Subtotal Core Funding

	2000-01 Revised Budget	2001-2002			Total Budget	Dollar Change	Percent Change
		General Funds	Earnings	Restricted			
Instructional Funding	317,721			322,934	5,213	1.6%	
Mission-Based Core Funding:							
Success Challenge	10,702			10,329	(373)	-3.6%	
Research Challenge	9,137			8,814	(523)	-5.7%	
Priorities In Graduate Education	855		846	846	(9)	-1.1%	
Subtotal Core Funding	338,415		846	342,723	4,308	1.3%	

APPROPRIATIONS

OSU-Specific Line Items:
 Cooperative Extension
 Clinical Teaching
 Sea Grants
 Dental/Veterinary Medicine
 Supercomputer
 OARNET
 OSU Glenn Institute
 BioMEMS Program
 Subtotal OSU-Specific Line Items

 General Line Items
 Math/Science Teaching Improvement
 Urban Universities
 College Readiness Initiatives
 Library Book Depository
 Student Support Services
 Capital Component
 Medical Items:
 Family Practice
 Primary Care
 Geriatric Medicine
 Area Health Education Center
 Subtotal General Line Items

 Total Appropriations

	2000-01 Revised Budget	2001-2002			Total Budget	Dollar Change	Percent Change
		General Funds	Earnings	Restricted			
OSU-Specific Line Items:							
Cooperative Extension	27,431		27,709	27,709	278	1.0%	
Clinical Teaching	15,838		15,990	15,990	152	1.0%	
Sea Grants	297		300	300	3	1.0%	
Dental/Veterinary Medicine	2,041		2,061	2,061	20	1.0%	
Supercomputer	4,883		4,834	4,834	(49)	-1.0%	
OARNET	3,477		3,511	3,511	34	1.0%	
OSU Glenn Institute	371		368	368	(3)	-0.8%	
BioMEMS Program	0		245	245	245		
Subtotal OSU-Specific Line Items	84,338		88,018	88,018	3,680	4.3%	
General Line Items							
Math/Science Teaching Improvement	525		619	619	94	17.9%	
Urban Universities	244		242	242	(2)	-0.8%	
College Readiness Initiatives	98		105	105	7	7.1%	
Library Book Depository	448		451	451	3	0.7%	
Student Support Services	240		240	240	0	0.0%	
Capital Component	817		1,180	1,180	363	44.3%	
Medical Items:							
Family Practice	956		966	966	10	1.0%	
Primary Care	398		203	203	(195)	-49.0%	
Geriatric Medicine	154		78	78	(76)	-49.4%	
Area Health Education Center	242		122	122	(120)	-49.6%	
Subtotal General Line Items	4,120		4,166	4,166	46	1.1%	
Total Appropriations	88,458		89,204	89,204	746	0.8%	

OHIO GRANTS & CONTRACTS

TOTAL STATE SUPPORT

OHIO GRANTS & CONTRACTS	27,380	1,638	33,500	33,136	7,740	28.3%
TOTAL STATE SUPPORT	424,267	343,613	93,680	437,083	12,786	3.0%

* Adjusted for State budget cuts processed after the Third Quarter Budget Report.

**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

LIMA CAMPUS

State Share of Instruction
State Appropriations
Access Challenge
Jobs Challenge
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total Lima Campus

	2000-01		2001-02		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted	Total Budget		
State Share of Instruction	4,051	4,148		4,148	95	2.3%
State Appropriations						
Access Challenge	711	651		651	(60)	-8.4%
Jobs Challenge	72	55		55	(17)	-23.6%
Capital Component	0		178	178	178	
Subtotal Appropriations	783	706	178	884	101	12.9%
State Grants & Contracts	109	0	109	109	0	0.0%
Total Lima Campus	4,943	4,852	287	5,139	196	4.0%

MANSFIELD CAMPUS

State Share of Instruction
State Appropriations
Access Challenge
Jobs Challenge
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total Mansfield Campus

	2000-01		2001-02		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted	Total Budget		
State Share of Instruction	4,099	4,408		4,408	308	7.8%
State Appropriations						
Access Challenge	745	712		712	(33)	-4.4%
Jobs Challenge	57	47		47	(10)	-17.5%
Capital Component	132		140	140	8	6.1%
Subtotal Appropriations	934	759	140	899	(35)	-3.7%
State Grants & Contracts	225		238	238	10	4.4%
Total Mansfield Campus	6,281	5,164	378	5,542	(739)	-11.8%

MARION CAMPUS

State Share of Instruction
State Appropriations
Access Challenge
Jobs Challenge
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total Marion Campus

	2000-01		2001-02		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted	Total Budget		
State Share of Instruction	3,540	3,555		3,555	15	0.4%
State Appropriations						
Access Challenge	525	507		507	(18)	-3.4%
Jobs Challenge	77	58		58	(19)	-24.7%
Capital Component	65		65	65	0	0.0%
Subtotal Appropriations	667	565	65	628	(39)	-5.8%
State Grants & Contracts	40		68	68	28	70.0%
Total Marion Campus	4,247	4,118	123	4,241	(6)	-0.1%

**SUMMARY OF STATE SUPPORT
EXTENDED CAMPUSES
(IN THOUSANDS)**

NEWARK CAMPUS

State Share of Instruction
State Appropriations
Access Challenge
Jobs Challenge
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total Newark Campus

	2000-01		2001-02		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted	Total Budget		
State Share of Instruction	4,884	5,484		5,484	600	11.9%
State Appropriations						
Access Challenge	824	788		788	(36)	-4.4%
Jobs Challenge	52	45		45	(7)	-13.5%
Capital Component	231		231	231	0	0.0%
Subtotal Appropriations	1,107	833	231	1,064	(43)	-3.9%
State Grants & Contracts	20		20	20	0	0.0%
Total Newark Campus	6,011	5,297	251	6,548	537	8.9%

AGRICULTURAL TECH INSTITUTE

State Share of Instruction
State Appropriations
Access Challenge
Jobs Challenge
Capital Component
Subtotal Appropriations

State Grants & Contracts

Total ATI

	2000-01		2001-02		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted	Total Budget		
State Share of Instruction	4,951	4,817		4,817	(134)	-2.7%
State Appropriations						
Access Challenge	425	371		371	(54)	-12.7%
Jobs Challenge	104	81		81	(23)	-22.1%
Capital Component	85		36	36	(49)	-57.6%
Subtotal Appropriations	614	452	36	488	(126)	-20.5%
State Grants & Contracts	198		180	180	(18)	-9.1%
Total ATI	6,761	5,269	186	5,458	(1,303)	-19.3%

OARDC

Appropriations
State Grants & Contracts

Total OARDC

	2000-01		2001-02		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted	Total Budget		
Appropriations	38,344		38,731	38,731	387	1.0%
State Grants & Contracts	350		350	350	0	0.0%
Total OARDC	38,694	0	39,081	39,081	387	1.0%

TOTAL EXTENDED CAMPUSES

State Share of Instruction
Appropriations
State Grants & Contracts

Total Extended Campuses

	2000-01		2001-02		Dollar Change	Percent Change
	Total Budget	General Funds	Restricted	Total Budget		
State Share of Instruction	21,525	22,387	0	22,387	862	4.0%
Appropriations	42,449	3,313	39,381	42,894	245	0.6%
State Grants & Contracts	943	0	925	925	(18)	-1.9%
Total Extended Campuses	64,917	25,700	40,306	66,006	1,089	1.7%

STUDENT FEE INCOME
COLUMBUS CAMPUS
 (IN THOUSANDS)

FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
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INSTRUCTIONAL FEES

Resident Fees
 General Fees
 Non-Resident Surcharge

Subtotal Instructional Fees

OTHER FEES

Application Fees
 Acceptance Fees
 Computer Fees
 Study Abroad
 Continuing Education
 Flight Instruction
 Medical Instrument Fees
 COTA Fees
 Other

Subtotal Other Fees

TOTAL STUDENT FEES INCOME

227,399	247,013	19,614	8.6%
16,528	16,453	(75)	-0.5%
78,273	87,996	9,723	12.4%
322,200	351,462	29,262	9.1%
1,450	1,450	0	0.0%
815	815	0	0.0%
2,540	2,390	(150)	-5.9%
1,950	2,735	785	40.3%
525	250	(275)	-52.4%
675	675	0	0.0%
1,250	1,300	50	4.0%
1,300	1,400	100	7.7%
1,075	1,075	0	0.0%
11,580	12,090	510	4.4%
333,780	363,552	29,772	8.9%

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2001-2002 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS

Undergraduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	123	8	131	122	253
1	245	15	260	244	504
2	306	22	328	487	815
3	368	29	397	731	1,128
4	490	39	529	974	1,503
5	613	49	662	1,218	1,880
6	735	59	794	1,461	2,255
7	858	68	926	1,705	2,631
8	980	78	1,058	1,948	3,006
9	1,103	88	1,191	2,192	3,383
10	1,225	98	1,323	2,435	3,758
11	1,348	107	1,455	2,679	4,134
12+	1,470	117	1,587	2,922	4,509

Graduate

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	124	8	132	168	300
1	248	15	263	336	599
2	397	23	420	671	1,091
3	596	35	631	1,007	1,638
4	794	47	841	1,343	2,184
5	993	59	1,052	1,679	2,731
6	1,191	70	1,261	2,014	3,275
7	1,390	82	1,472	2,350	3,822
8	1,588	94	1,682	2,686	4,368
9	1,787	105	1,892	3,021	4,913
10+	1,985	117	2,102	3,357	5,459

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**2001-2002 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

MBA

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	229	8	237	168	405
1	458	15	473	336	809
2	732	23	755	671	1,426
3	1,098	35	1,133	1,007	2,140
4	1,464	47	1,511	1,343	2,854
5	1,831	59	1,890	1,679	3,569
6	2,197	70	2,267	2,014	4,281
7	2,563	82	2,645	2,350	4,995
8	2,929	94	3,023	2,686	5,709
9	3,295	105	3,400	3,021	6,421
10	3,661	117	3,778	3,357	7,135

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EMBA

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	564	8	572	168	740
1	1,127	15	1,142	336	1,478
2	1,803	23	1,826	671	2,497
3	2,705	35	2,740	1,007	3,747
4	3,607	47	3,654	1,343	4,997
5	4,509	59	4,568	1,679	6,247
6	5,410	70	5,480	2,014	7,494
7	6,312	82	6,394	2,350	8,744
8	7,214	94	7,308	2,686	9,994
9	8,115	105	8,220	3,021	11,241
10	9,017	117	9,134	3,357	12,491

**2001-2002 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Master of Accounting

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	322	8	330	168	498
1	644	15	659	336	995
2	1,031	23	1,054	671	1,725
3	1,546	35	1,581	1,007	2,588
4	2,061	47	2,108	1,343	3,451
5	2,577	59	2,636	1,679	4,315
6	3,092	70	3,162	2,014	5,176
7	3,607	82	3,689	2,350	6,039
8	4,122	94	4,216	2,686	6,902
9	4,638	105	4,743	3,021	7,764
10	5,153	117	5,270	3,357	8,627

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MLHR

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	136	8	144	168	312
1	271	15	286	336	622
2	433	23	456	671	1,127
3	649	35	684	1,007	1,691
4	866	47	913	1,343	2,256
5	1,082	59	1,141	1,679	2,820
6	1,298	70	1,368	2,014	3,382
7	1,515	82	1,597	2,350	3,947
8	1,731	94	1,825	2,686	4,511
9	1,948	105	2,053	3,021	5,074
10	2,164	117	2,281	3,357	5,638

**2001-2002 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Master of Physical Therapy

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	136	8	144	168	312
1	271	15	286	336	622
2	433	23	456	671	1,127
3	649	35	684	1,007	1,691
4	866	47	913	1,343	2,256
5	1,082	59	1,141	1,679	2,820
6	1,298	70	1,368	2,014	3,382
7	1,515	82	1,597	2,350	3,947
8	1,731	94	1,825	2,686	4,511
9	1,948	105	2,053	3,021	5,074
10+	2,070	117	2,187	3,357	5,544

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Pharmacy

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	154	8	162	224	386
1	307	15	322	447	769
2	491	23	514	714	1,228
3	737	35	772	1,072	1,844
4	982	47	1,029	1,429	2,458
5	1,228	59	1,287	1,786	3,073
6	1,473	70	1,543	2,143	3,686
7	1,719	82	1,801	2,500	4,301
8	1,964	94	2,058	2,858	4,916
9	2,210	105	2,315	3,215	5,530
10+	2,456	117	2,572	3,572	6,144

**2001-2002 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Medicine Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	309	8	317	460	777
1	617	15	632	919	1,551
2	988	23	1,011	1,471	2,482
3	1,482	35	1,517	2,207	3,724
4	1,976	47	2,023	2,942	4,965
5	2,470	59	2,529	3,678	6,207
6	2,963	70	3,033	4,413	7,446
7	3,457	82	3,539	5,149	8,688
8	3,951	94	4,045	5,884	9,929
9	4,445	105	4,550	6,620	11,170
10+	4,939	117	5,056	7,355	12,411

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Dentistry Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	260	8	268	431	699
1	520	15	535	862	1,397
2	833	23	856	1,379	2,235
3	1,249	35	1,284	2,069	3,353
4	1,665	47	1,712	2,758	4,470
5	2,082	59	2,141	3,448	5,589
6	2,498	70	2,568	4,138	6,706
7	2,914	82	2,996	4,827	7,823
8	3,330	94	3,424	5,517	8,941
9	3,747	105	3,852	6,206	10,058
10+	4,163	117	4,280	6,896	11,176

**2001-2002 STUDENT FEE SCHEDULE
FEES PER QUARTER
COLUMBUS CAMPUS**

Optometry Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	209	8	217	431	648
1	418	15	433	862	1,295
2	668	23	691	1,379	2,070
3	1,002	35	1,037	2,069	3,106
4	1,336	47	1,383	2,758	4,141
5	1,671	59	1,730	3,448	5,178
6	2,005	70	2,075	4,138	6,213
7	2,339	82	2,421	4,827	7,248
8	2,673	94	2,767	5,517	8,284
9	3,007	105	3,112	6,206	9,318
10	3,341	117	3,458	6,896	10,354

Vet Medicine Fees

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	243	8	251	446	697
1	486	15	501	891	1,392
2	777	23	800	1,425	2,225
3	1,166	35	1,201	2,138	3,339
4	1,555	47	1,602	2,851	4,453
5	1,944	59	2,003	3,564	5,567
6	2,332	70	2,402	4,276	6,678
7	2,721	82	2,803	4,989	7,792
8	3,110	94	3,204	5,702	8,906
9	3,498	105	3,603	6,414	10,017
10	3,887	117	4,004	7,127	11,131

**2001-2002 STUDENT FEE SCHEDULE
FEES PER SEMESTER
COLUMBUS CAMPUS**

Law (Semester)

Credit Hours	Instructional Fees	General Fees	Resident Total	Non-Resident Tuition	Non-Resident Total
0	328	11	339	340	679
1	655	22	677	680	1,357
2	1,047	35	1,082	1,088	2,170
3	1,571	53	1,624	1,631	3,255
4	2,095	70	2,165	2,175	4,340
5	2,619	88	2,707	2,719	5,426
6	3,142	106	3,248	3,263	6,511
7	3,666	123	3,789	3,807	7,596
8	4,190	141	4,331	4,350	8,681
9	4,713	158	4,871	4,894	9,765
10	5,237	176	5,413	5,438	10,851

**2001-2002 STUDENT FEE SCHEDULE
FEES PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES**

Undergraduate
Lower Division

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	112	4	(17)	99	122	221
1	223	8	(33)	198	244	442
2	278	11	(41)	248	487	735
3	334	15	(49)	300	731	1,031
4	445	20	(65)	400	974	1,374
5	557	25	(81)	501	1,218	1,719
6	668	31	(98)	601	1,461	2,062
7	779	36	(114)	701	1,705	2,406
8	891	41	(130)	802	1,948	2,750
9	1,002	46	(146)	902	2,192	3,094
10	1,113	51	(163)	1,001	2,435	3,438
11	1,225	56	(179)	1,102	2,679	3,781
12+	1,338	61	(195)	1,202	2,922	4,124

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Undergraduate
Upper Division

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	112	4	(9)	107	122	229
1	223	8	(18)	213	244	457
2	278	11	(22)	267	487	754
3	334	15	(27)	322	731	1,053
4	445	20	(36)	429	974	1,403
5	557	25	(45)	537	1,218	1,755
6	668	31	(54)	645	1,461	2,106
7	779	36	(62)	753	1,705	2,458
8	891	41	(71)	861	1,948	2,809
9	1,002	46	(80)	968	2,192	3,160
10	1,113	51	(89)	1,075	2,435	3,510
11	1,225	56	(98)	1,183	2,679	3,862
12+	1,338	61	(107)	1,290	2,922	4,212

**2001-2002 STUDENT FEE SCHEDULE
FEES PER QUARTER
LIMA, MANSFIELD, MARION AND NEWARK CAMPUSES**

Graduate

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	124	4	0	128	168	296
1	248	8	0	256	336	592
2	397	12	0	409	671	1,080
3	596	18	0	614	1,007	1,621
4	794	24	0	818	1,343	2,161
5	993	31	0	1,024	1,679	2,703
6	1,191	37	0	1,228	2,014	3,242
7	1,390	43	0	1,433	2,350	3,783
8	1,588	49	0	1,637	2,686	4,323
9	1,787	55	0	1,842	3,021	4,863
10+	1,985	61	0	2,046	3,357	5,403

AGRICULTURAL TECHNICAL INSTITUTE

Undergraduate

Credit Hours	Instructional Fees	General Fees	BOR - AC Credit	Resident Total	Non-Resident Tuition	Non-Resident Total
0	112	4	(17)	99	122	221
1	223	8	(33)	198	244	442
2	278	11	(41)	248	487	735
3	334	15	(49)	300	731	1,031
4	445	20	(65)	400	974	1,374
5	557	25	(81)	501	1,218	1,719
6	668	31	(98)	601	1,461	2,062
7	779	36	(114)	701	1,705	2,406
8	891	41	(130)	802	1,948	2,750
9	1,002	46	(146)	902	2,192	3,094
10	1,113	51	(163)	1,001	2,435	3,438
11	1,225	56	(179)	1,102	2,679	3,781
12+	1,338	61	(195)	1,202	2,922	4,124

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ANNUAL FEE HISTORY COLUMBUS CAMPUS RESIDENT FEES

Year	Undergraduate	Graduate	Law	Medicine	Dentistry	Optometry	Vet. Med.
1991-92	2,568	3,639	4,204	7,074	5,799	5,799	5,799
1992-93	2,799	3,966	4,584	7,710	6,321	6,321	6,321
1993-94	2,940	4,266	4,932	8,310	6,810	6,810	6,810
1994-95	3,087	4,482	4,914	8,958	7,431	7,152	7,371
1995-96	3,273	4,707	5,864	9,408	8,013	7,512	7,740
1996-97	3,468	4,941	6,412	10,155	8,646	7,887	8,277
1997-98	3,660	5,187	7,022	10,968	9,165	8,517	8,691
1998-99	3,879	5,445	7,692	11,637	9,813	8,955	9,306
1999-00	4,110	5,730	8,424	12,744	10,746	9,420	10,191
2000-01	4,356	6,021	9,984	13,821	11,757	9,897	11,049
2000-02	4,761	6,306	10,826	16,168	12,880	10,374	12,032
Average Increase	6.4%	6.7%	9.9%	7.8%	8.3%	6.0%	7.6%

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SUMMARY OF TYPICAL STUDENT FEES COLUMBUS CAMPUS

	UNDERGRADUATE FEES				GRADUATE FEES			
	2000-01 Fees	2001-02 Fees	Dollar Change	Percent Change	2000-01 Fees	2001-02 Fees	Dollar Change	Percent Change
RESIDENT FEES								
Instructional & General Room & Board	(1) 4,356	4,761	405	9.3%	6,021	6,306	285	4.7%
Text Books/Supplies	(3) 888	932	44	5.0%	888	932	44	5.0%
Subtotal	10,641	11,390	748	7.0%	12,044	12,620	576	4.8%
Health Insurance	(4) 708	763	45	6.4%	708	763	45	6.4%
Parking & Bus Pass	(5) 138	149	11	7.8%	138	149	11	7.8%
COTA Pass	27	27	0	0.0%	27	27	0	0.0%
Football Tickets	(6) 95	100	5	5.3%	95	100	5	5.3%
Basketball Tickets	(6) 64	64	0	0.0%	64	64	0	0.0%
Total	11,673	12,483	810	6.9%	13,077	13,719	642	4.9%
NON-RESIDENT FEES								
Instructional & General Non-Resident Tuition Room & Board	(1) 4,356	4,761	405	9.3%	6,021	6,306	285	4.7%
Text Books/Supplies	(3) 888	932	44	5.0%	888	932	44	5.0%
Subtotal	18,990	20,186	1,196	6.1%	21,331	22,697	1,366	6.5%
Health Insurance	(4) 708	763	45	6.4%	708	763	45	6.4%
Parking & Bus Pass	(5) 138	149	11	7.8%	138	149	11	7.8%
COTA Pass	27	27	0	0.0%	27	27	0	0.0%
Football Tickets	(6) 95	100	5	5.3%	95	100	5	5.3%
Basketball Tickets	(6) 64	64	0	0.0%	64	64	0	0.0%
Total	20,022	21,249	1,227	6.1%	22,866	23,790	924	4.0%
(1) - Undergrad will increase 9.3% due to a linked tuition cap exemption for OSU. (2) - Undergraduate - 8pmh 2/room; 10 meals. Graduate - single; 10 meals plus phone. (3) - Estimated costs and inflationary increase per OSU Bookstore. (4) - Student Insurance is based on the single student medical only rate. (5) - Using main campus rate. (6) - Five football and eight basketball games.								

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**DETAIL OF SELECTED RATES
OTHER STUDENT FEES
FY 2002**

Description	Timing	Rate	Percent Change
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Housing, Food Service and Sewal Centers			
Undergraduate (South Dorms - 2/room, 10 meals/week)	Quarter	1,899.00	5.6%
Graduate (Single - 10 meals/week + Phone)	Quarter	1,796.00	4.9%
Married Student Housing (2 bedroom apartment)	Month	566.00	5.8%
Board Only (10 meals/week)	Quarter	800.00	5.3%

Parking & Transportation			
Parking, 4-Wheel Vehicle (Main Campus)	Annual	148.50	7.8%
Parking, 4-Wheel Vehicle (West Campus)	Annual	37.80	12.0%
COTA Bus Pass	Quarter	9.00	0.0%

Recreational Fees			
Football Tickets	Per Game	20.00	5.3%
Basketball Tickets	Per Game	8.00 - 12.00	0.0% - 9.1%
Golf Course Membership	Annual	550.00	0.0%
Golf Course Greens Fees	Per Round	18.00	0.0%
Larkins Locker Fee	Quarter	12.50	0.0%

Student Health Insurance			
Student	Quarter	251.00	6.4%
Student & Spouse	Quarter	642.00	6.5%
Student & Children	Quarter	647.00	6.4%
Student, Spouse & Children	Quarter	867.00	6.4%
Law Students	Semester	377.00 - 1,301.00	6.4%
Early Arriving Students	Month	84.00 - 289.00	6.3%

Clinical Fees			
Dental Hygiene Fee	Quarter	107.00	7.0%
Nursing Clinical Fee (Undergraduate)	Quarter	150.00	New
Nursing Clinical Fee (Graduate)	Annual	600.00 - 750.00	New

**DETAIL OF SELECTED RATES
OTHER STUDENT FEES
FY 2002**

Description	Timing	Rate	Percent Change
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Computer Fees			
College of Arts (Undergraduate & Graduate)	Quarter	43.00	0.0%
College of Business (Undergraduate)	Quarter	85.00	0.0%
College of Business (Graduate)	Quarter	120.00	0.0%
College of Engineering (Undergraduate)	Quarter	110.00	0.0%
College of Engineering (Graduate)	Quarter	120.00	0.0%
College of Nursing (Undergraduate & Graduate)	Quarter	43.00	0.0%
Department of CIS in Math & Phys Sci (Undergraduate)	Quarter	73.00	0.0%
Department of CIS in Math & Phys Sci (Graduate)	Quarter	80.00	0.0%
School of Public Policy & Management (Graduate)	Quarter	120.00	0.0%

Equipment Fees			
Optometry Equipment	One Time	340.00	0.0%
Dental School Instrumentation Kit	One Time	828.00	7.0%
Dental Hygiene Instrumentation Kit	One Time	318.00	7.1%

Application Fees			
International (All Students)	One Time	40.00	0.0%
Domestic (All Students)	One Time	30.00	0.0%

Acceptance Fees			
Undergraduate	One Time	70.00	0.0%
Graduate		N/A	N/A
Professional	One Time	25.00	0.0%

**DETAIL OF SELECTED RATES
FACULTY, STAFF & DEPARTMENTAL FEES
FY 2002**

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Description	Unit	Rate	Percent Change
Health Insurance			
Prime Care Plan			
Individual	Month	34.64	31.7%
Family	Month	108.24	31.7%
OSUHP Plan			
Individual	Month	159.55	31.7%
Family	Month	498.61	31.7%
Traditional Plan			
Individual	Month	159.55	31.7%
Family	Month	498.61	31.7%
Buckeye Plan			
Individual	Month	14.00	31.7%
Family	Month	43.74	31.7%
Parking & Transportation			
Parking, 4-Wheel Vehicle A Decal (Main Campus)	Annual	432.00	9.1%
Parking, 4-Wheel Vehicle B Decal (Main Campus)	Annual	225.00	8.7%
Recreational Fees			
Football Tickets	Per Game	35.00	6.1%
Basketball Tickets	Per Game	19.50 - 20.50	9.4% - 10.8%
Golf Course Membership	Annual	1,600.00	11.1%
Golf Course Greens Fees	Per Round	30.00	0.0%
Departmental Rates			
Basic Telephone Service	Month	20.65	0.0%
University Hospitals	Various	Various	9.0%
James Cancer Hospital	Various	Various	9.0%
University Hospital East	Various	Various	9.0%

**SUMMARY OF RESIDENT STUDENT FEES
BIG TEN PUBLIC INSTITUTIONS
FOR FY 2001 AND FY 2002**

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	UNDERGRADUATE FEES				GRADUATE FEES			
	FY 2001 Fees	FY 2002 Fees	1 Year % Change	5-Year Avg % Change	FY 2001 Fees	FY 2002 Fees	1 Year % Change	5-Year Avg % Change
Penn State	7,018	7,574	7.9%	6.1%	7,790	8,224	5.6%	5.6%
Michigan	6,926	7,375	6.5%	4.0%	10,821	11,523	6.5%	3.9%
Michigan State	5,432	5,912	8.8%	3.9%	6,290	6,510	3.5%	3.1%
Minnesota	4,877	5,538	13.5%	5.0%	5,794	6,547	13.0%	5.0%
Illinois-Urbana*	4,994	5,504	10.2%	4.8%	5,614	6,164	9.8%	5.2%
Ohio State	4,358	4,761	9.3%	6.7%	8,021	8,308	4.7%	5.1%
Indiana	4,405	4,734	7.5%	4.6%	4,449	4,818	8.3%	5.3%
Purdue	3,872	4,164	7.5%	5.4%	3,872	4,164	7.5%	5.4%
Wisconsin	3,791	4,086	7.8%	5.8%	5,887	6,358	8.0%	7.7%
Iowa	3,204	3,521	9.9%	5.9%	3,750	4,107	9.5%	5.7%
Average	4,888	5,317	8.9%	5.0%	6,029	6,472	7.6%	5.0%

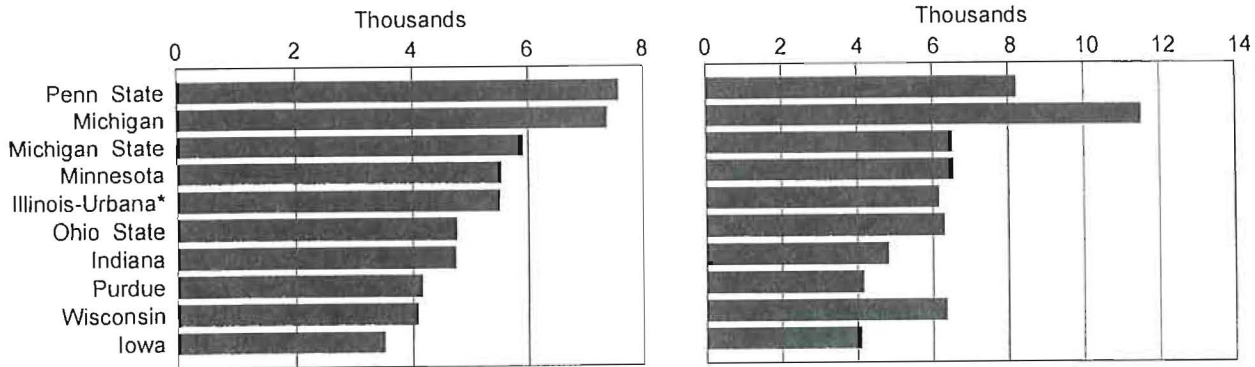
Source: Annual Missouri Study of AAU Data Exchange Members.

* Note: FY02 Rates for University of Illinois reflect the averages of differential rates for entering and returning students.

SUMMARY OF RESIDENT STUDENT FEES
BIG TEN PUBLIC INSTITUTIONS
 FISCAL YEAR 2002

UNDERGRADUATE FEES	GRADUATE FEES
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293



Source: Annual Missouri Study of AAU Data Exchange members.

SUMMARY OF AUXILIARY OPERATIONS
 FISCAL YEAR 2002
 IN THOUSANDS

	Resources			Expenditures & Transfers				Net Income	
	Auxiliary	General Funds	Restricted	Total Budget	Expend	Debt Service	Other Transfers		Total Budget
COLUMBUS CAMPUS									
Housing, Food Services and Event Centers (1)	68,863	1,439		70,302	58,186	7,899	4,075	70,180	142
Intercollegiate Athletics	47,188		7,800	54,988	52,594	683	1,550	54,827	161
Schottenstein Center	15,375			15,375	15,367			15,367	8
Bookstores	14,832			14,832	14,348	222	5	14,675	267
Transportation & Parking Svs	16,801			16,801	10,368	2,411	3,254	16,053	548
University Airport	6,107			6,107	5,137	207	40	6,384	723
Real Estate & Prop Mgt	1,009			1,009	894			894	115
Subtotal Columbus	169,075	1,439	7,800	179,214	158,914	11,422	8,924	177,260	1,954
LIMA CAMPUS									
Parking Facility	2			2	2			2	0
MANSFIELD CAMPUS									
Parking Facility	26			26	0			0	26
MARION CAMPUS									
Parking Facility	4			4	2			2	2
NEWARK CAMPUS									
Parking Facility	51			51	51			51	0
GRAND TOTAL	170,058	1,439	7,800	179,297	159,969	11,422	8,924	177,315	1,982

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(1) Includes Student Housing & Food Service, The Fawcett Center, Student Unions and ATI Dorm.

HOUSING, FOOD SERVICES AND EVENT CENTERS

(IN THOUSANDS)

295

RESOURCES

Food Service
Housing
Fawcett Center
ATI Residence Hall
Ohio Union
Other
General Funds Support of Ohio Union
Subtotal Resources

FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
16,317	17,769	1,452	8.9%
33,414	38,138	4,722	14.1%
4,236	4,384	148	3.5%
1,477	1,311	(166)	-11.2%
4,199	2,921	(1,278)	-30.4%
2,844	5,140	2,296	80.7%
1,412	1,439	27	1.9%
63,899	71,100	7,201	11.3%

EXPENDITURES & TRANSFERS

Personnel
Benefits
Supplies & Services
Equipment
University Overhead
Debt Service
Other Transfers
Subtotal Expenditures & Transfers

21,350	22,835	1,585	7.4%
5,130	5,688	558	10.9%
22,592	24,688	2,094	9.3%
1,319	1,356	37	2.8%
2,967	3,621	554	18.7%
6,415	7,899	1,484	23.1%
2,918	4,075	1,157	39.7%
62,691	70,160	7,469	11.9%

NET INCOME

1,208	840	(268)	
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INTERCOLLEGIATE ATHLETICS

(IN THOUSANDS)

296

RESOURCES

Auxiliary
Football
Men's Basketball
Other Sports
Golf Course
Other
Subtotal Auxiliary

Restricted
Fund Raising

Total Resources

FY 2001 (1) BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
19,650	21,010	1,360	6.9%
7,640	10,698	3,058	40.0%
1,208	1,223	15	1.2%
3,141	2,947	(194)	-6.2%
12,109	11,310	(799)	-6.6%
43,748	47,188	3,440	7.9%
7,404	7,800	396	5.3%
51,152	54,988	3,836	7.5%

EXPENDITURES & TRANSFERS

Auxiliary
Personnel
Benefits
Supplies & Services
Equipment
Schottenstein Rent
University Overhead
Debt Service
Other Transfers
Subtotal Auxiliary

Restricted
Athletics Grants-In-Aid
Debt Service
Subtotal Restricted

Total Expenditures & Transfers

17,522	17,835	313	1.8%
3,288	3,908	620	18.9%
17,182	18,825	1,443	8.4%
607	484	(123)	-20.3%
1,200	1,511	311	25.9%
2,240	2,822	382	17.1%
	492	492	
1,439	1,550	111	7.7%
43,748	47,027	3,549	8.2%
6,721	7,809	888	13.2%
683	181	(492)	-72.0%
7,404	7,800	396	5.3%
50,862	54,827	3,945	7.8%
270	181	(109)	

NET INCOME

(1) FY 2001 budget was restated to reflect the separation of the Schottenstein Center.

THE OHIO STATE UNIVERSITY HEALTH SYSTEM *
(IN THOUSANDS)

297

RESOURCES

Auxiliary
 Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Other
 Subtotal Auxiliary

Restricted
 State Appropriation
 Other
 Subtotal Restricted

Total Resources

EXPENDITURES & TRANSFERS

Auxiliary
 Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxiliary

Restricted

Total Expenditures & Transfers

NET INCOME

	FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Inpatient Revenue (Net)	377,410	422,076	44,666	11.8%
Outpatient Revenue (Net)	192,693	205,479	12,786	6.6%
Other	88,585	97,485	8,900	10.0%
Subtotal Auxiliary	658,688	725,040	66,352	10.1%
Restricted				
State Appropriation	9,842	9,840	(2)	-0.0%
Other	3,400	3,400	0	
Subtotal Restricted	13,242	13,240	(2)	-0.0%
Total Resources	671,930	738,280	66,350	9.9%
EXPENDITURES & TRANSFERS				
Auxiliary				
Patient Services	423,201	469,735	46,534	11.0%
Student Education	17,564	15,354	(2,210)	-12.6%
Research	3,772	2,607	(1,165)	-30.9%
Administration	134,034	168,408	34,374	25.6%
Plant, Operation & Maintenance	28,864	23,986	(4,878)	-16.9%
Other	4,664	0	(4,664)	-100.0%
Transfers To Plant (Net)	39,482	39,539	57	0.1%
Subtotal Auxiliary	651,581	719,629	68,048	10.4%
Restricted	13,242	13,240	(2)	-0.0%
Total Expenditures & Transfers	664,823	732,869	68,046	10.2%
NET INCOME	7,107	5,411	(1,696)	-23.9%

Includes University Hospitals, James Cancer Hospital & Solove Research Institute, University Hospital East, Harding Hospital, and the Hospital Network.

UNIVERSITY HOSPITALS
(IN THOUSANDS)

298

RESOURCES

Auxiliary
 Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Other
 Subtotal Auxiliary

Restricted
 State Appropriation
 Other
 Subtotal Restricted

Total Resources

EXPENDITURES & TRANSFERS

Auxiliary
 Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxiliary

Restricted

Total Expenditures & Transfers

NET INCOME

	FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Inpatient Revenue (Net)	238,586	273,386	34,800	14.6%
Outpatient Revenue (Net)	88,971	88,715	(256)	-0.3%
Other	83,288	87,242	3,954	4.7%
Subtotal Auxiliary	410,845	449,343	38,498	9.4%
Restricted				
State Appropriation	9,842	9,840	(2)	-0.0%
Other	0	0	0	
Subtotal Restricted	9,842	9,840	(2)	-0.0%
Total Resources	420,687	459,183	38,496	9.2%
EXPENDITURES & TRANSFERS				
Auxiliary				
Patient Services	245,824	266,786	20,962	8.5%
Student Education	12,640	13,103	463	3.7%
Research	897	815	(82)	-9.1%
Administration	86,293	105,878	19,585	22.7%
Plant, Operation & Maintenance	19,144	16,969	(2,175)	-11.4%
Other	1,200	0	(1,200)	-100.0%
Transfers To Plant (Net)	29,648	29,415	(233)	-0.8%
Subtotal Auxiliary	395,646	432,966	37,320	9.4%
Restricted	9,842	9,840	(2)	-0.0%
Total Expenditures & Transfers	405,488	442,806	37,318	9.2%
NET INCOME	15,199	16,377	1,178	7.8%

JAMES CANCER HOSPITAL & SOLOVE RESEARCH INSTITUTE
(IN THOUSANDS)

299

RESOURCES

Auxiliary
 Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Other
 Subtotal Auxiliary

Restricted
 State Appropriation
 Other
 Subtotal Restricted

Total Resources

EXPENDITURES & TRANSFERS

Auxiliary
 Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxiliary

Restricted

Total Expenditures & Transfers

NET INCOME

	FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Inpatient Revenue (Net)	84,193	89,742	5,549	6.6%
Outpatient Revenue (Net)	51,502	62,630	11,128	21.6%
Other	1,804	4,390	2,586	143.3%
Subtotal Auxiliary	137,499	156,762	19,263	14.0%
Restricted				
State Appropriation	0	0	0	
Other	3,400	3,400	0	
Subtotal Restricted	3,400	3,400	0	0.0%
Total Resources	140,899	160,162	19,263	13.7%
EXPENDITURES & TRANSFERS				
Auxiliary				
Patient Services	87,581	104,232	16,651	19.0%
Student Education	3,664	1,606	(2,058)	-56.2%
Research	2,875	1,792	(1,083)	-37.7%
Administration	27,134	33,533	6,399	23.6%
Plant, Operation & Maintenance	5,001	3,276	(1,725)	-34.5%
Other	1,355	0	(1,355)	-100.0%
Transfers To Plant (Net)	5,839	5,570	(269)	-4.6%
Subtotal Auxiliary	133,449	150,009	16,560	12.4%
Restricted	3,400	3,400	0	0.0%
Total Expenditures & Transfers	136,849	153,409	16,560	12.1%
NET INCOME	4,050	6,753	2,703	66.7%

UNIVERSITY HOSPITAL EAST
(IN THOUSANDS)

300

RESOURCES

Auxiliary
 Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Other
 Subtotal Auxiliary

Restricted
 State Appropriation
 Other
 Subtotal Restricted

Total Resources

EXPENDITURES & TRANSFERS

Auxiliary
 Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxiliary

Restricted

Total Expenditures & Transfers

NET INCOME

	FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
RESOURCES				
Auxiliary				
Inpatient Revenue (Net)	42,876	47,688	4,812	11.2%
Outpatient Revenue (Net)	29,266	32,340	3,074	10.5%
Other	1,485	1,537	52	3.5%
Subtotal Auxiliary	73,627	81,565	7,938	10.8%
Restricted				
State Appropriation	0	0	0	
Other	0	0	0	
Subtotal Restricted	0	0	0	
Total Resources	73,627	81,565	7,938	10.8%
EXPENDITURES & TRANSFERS				
Auxiliary				
Patient Services	54,635	57,735	3,100	5.7%
Student Education	432	335	(97)	-22.5%
Research	0	0	0	
Administration	13,835	21,433	7,598	54.9%
Plant, Operation & Maintenance	4,719	3,741	(978)	-20.7%
Other	2,109	0	(2,109)	-100.0%
Transfers To Plant (Net)	2,292	3,196	904	39.4%
Subtotal Auxiliary	78,022	86,440	8,418	10.8%
Restricted	0	0	0	
Total Expenditures & Transfers	78,022	86,440	8,418	10.8%
NET INCOME	(4,395)	(4,875)	(480)	10.9%

HARDING HOSPITAL

(IN THOUSANDS)

301

RESOURCES

Auxiliary
 Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Other
 Subtotal Auxiliary

Restricted
 State Appropriation
 Other
 Subtotal Restricted

Total Resources

	FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
Auxiliary				
Inpatient Revenue (Net)	11,755	11,260	(495)	-4.2%
Outpatient Revenue (Net)	4,850	2,927	(1,923)	-39.6%
Other	535	234	(301)	-56.3%
Subtotal Auxiliary	17,140	14,421	(2,719)	-15.9%
Restricted				
State Appropriation	0	0	0	
Other	0	0	0	
Subtotal Restricted	0	0	0	
Total Resources	17,140	14,421	(2,719)	-15.9%

EXPENDITURES & TRANSFERS

Auxiliary
 Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxiliary

Restricted

Total Expenditures & Transfers

	FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
Auxiliary				
Patient Services	12,848	13,073	225	1.8%
Student Education	828	310	(518)	-62.6%
Research	0	0	0	
Administration	4,148	4,027	(121)	-2.9%
Plant, Operation & Maintenance	0	0	0	
Other	0	0	0	
Transfers To Plant (Net)	1,380	973	(407)	-29.5%
Subtotal Auxiliary	19,204	18,383	(821)	-4.3%
Restricted	0	0	0	
Total Expenditures & Transfers	19,204	18,383	(821)	-4.3%
NET INCOME	(2,064)	(3,962)	(1,898)	-92.0%

HOSPITAL NETWORK

(IN THOUSANDS)

302

RESOURCES

Auxiliary
 Inpatient Revenue (Net)
 Outpatient Revenue (Net)
 Other
 Subtotal Auxiliary

Restricted
 State Appropriation
 Other
 Subtotal Restricted

Total Resources

	FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
Auxiliary				
Inpatient Revenue (Net)	0	0	0	
Outpatient Revenue (Net)	18,104	18,867	763	4.2%
Other	1,473	4,082	2,609	177.1%
Subtotal Auxiliary	19,577	22,949	3,372	17.2%
Restricted				
State Appropriation	0	0	0	
Other	0	0	0	
Subtotal Restricted	0	0	0	
Total Resources	19,577	22,949	3,372	17.2%

EXPENDITURES & TRANSFERS

Auxiliary
 Patient Services
 Student Education
 Research
 Administration
 Plant, Operation & Maintenance
 Other
 Transfers To Plant (Net)
 Subtotal Auxiliary

Restricted

Total Expenditures & Transfers

	FY 2001 BUDGET	FY 2002 BUDGET	DOLLAR CHANGE	PERCENT CHANGE
Auxiliary				
Patient Services	22,313	27,909	5,596	25.1%
Student Education	0	0	0	
Research	0	0	0	
Administration	2,624	3,537	913	34.8%
Plant, Operation & Maintenance	0	0	0	
Other	0	0	0	
Transfers To Plant (Net)	323	385	62	19.2%
Subtotal Auxiliary	25,260	31,831	6,571	26.0%
Restricted	0	0	0	
Total Expenditures & Transfers	25,260	31,831	6,571	26.0%
NET INCOME	(5,683)	(8,882)	(3,199)	-56.3%

STATE SHARE OF INSTRUCTION AND STUDENT FEES

TEN YEAR TREND

COLUMBUS CAMPUS

(IN THOUSANDS)

303

FY 1991 Actual
 FY 1992 Actual
 FY 1993 Actual
 FY 1994 Actual
 FY 1995 Actual
 FY 1996 Actual
 FY 1997 Actual
 FY 1998 Actual
 FY 1999 Actual
 FY 2000 Actual
 FY 2001 Actual
 FY 2002 Budget
 Ten Year Average Increase

State Share of Instruction (1)			Student Fees (2)		
Subsidy	Dollar Change	Percent Change	Fees	Dollar Change	Percent Change
267,373	267,373	3.3%	178,012	178,012	11.1%
254,928	(12,445)	-4.7%	197,634	19,622	11.0%
243,452	(11,476)	-4.5%	208,346	10,712	5.4%
251,274	7,822	3.2%	216,202	7,856	3.8%
263,900	12,626	5.0%	225,821	9,619	4.4%
273,320	9,420	3.6%	235,866	10,045	4.4%
283,012	9,692	3.5%	252,864	16,998	7.2%
297,551	14,539	5.1%	269,096	16,232	6.4%
305,161	7,610	2.6%	281,558	12,462	4.6%
312,839	7,678	2.5%	306,755	25,197	8.9%
317,721	4,882	1.6%	322,093	15,338	5.0%
322,946	5,225	1.6%	351,487	29,394	9.1%
		1.8%			7.0%

(1) The State Share of Instruction includes Academic Challenge (BHO to 5786) but not other Challenge funds.
 (2) Student Fees include Instructional, General and Non-Resident Fees.

HEADCOUNT ENROLLMENTS

AUTUMN QUARTER, 1991-2001

304

Year	Columbus Campus							
	General	Graduate	MS	PhD	Medicine	Optometry	Pharmacy	Veterinary Medicine
1991	40,785	10,902	635	393	868	234	21	496
1992	38,958	10,604	650	373	858	239	18	501
1993	37,062	10,932	665	359	856	241	19	508
1994	36,165	10,735	654	354	850	242	24	518
1995	35,475	10,530	678	360	863	249	36	521
1996	35,485	10,193	683	371	848	249	44	523
1997	35,647	9,948	666	380	855	247	41	535
1998	36,252	9,632	634	375	842	247	94	529
1999	36,092	9,300	628	372	832	248	147	531
2000	35,749	9,382	643	379	832	245	195	527
2001	35,711	9,411	650	379	832	245	284	536

Year	Summary By Campus							Grand Total
	Columbus	Gen	Medical	Marion	Newark	ATI		
1991	54,334	1,475	1,428	1,026	1,656	691	60,610	
1992	52,201	1,410	1,465	1,066	1,732	729	58,603	
1993	50,642	1,348	1,412	1,046	1,675	713	56,836	
1994	49,542	1,232	1,504	1,209	1,560	740	55,787	
1995	48,712	1,244	1,359	1,171	1,548	783	54,817	
1996	48,396	1,281	1,343	1,312	1,611	827	54,770	
1997	48,319	1,374	1,460	1,105	1,676	925	54,859	
1998	48,605	1,321	1,517	1,141	1,778	965	55,327	
1999	48,150	1,323	1,573	1,176	1,883	1,031	55,136	
2000	47,952	1,238	1,583	1,276	2,025	969	55,043	
2001	47,901	1,250	1,560	1,289	2,125	1,000	55,115	

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-SIXTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, October 5, 2001

The Board of Trustees met at its regular monthly meeting on Friday, October 5, 2001, at The Ohio State University Prior Health Sciences Library, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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October 5, 2001 meeting, Board of Trustees

The Chairman, Mr. Brennan, called the meeting of the Board of Trustees to order on October 5, 2001, at 11:00 a.m. He requested the Secretary to call the roll.

Present: David L. Brennan, Chairman, James F. Patterson, Zuheir Sofia, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Kevin R. Filiatraut, and Joseph A. Shultz.

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PRESIDENT'S REPORT

President William E. Kirwan:

Before I give my report, I would like to recognize two very important faculty leaders who have assumed new positions and are with us this morning: 1) Professor Marilyn Blackwell, from the Department of Germanic Languages and Literatures, who is the new chair of the Faculty Council; and 2) Professor Jane Case-Smith, from the School of Allied Medicine, who is the new chair of the Senate Steering Committee. Thank you both very much for your leadership in these capacities. They will be with us throughout this year at the Board meetings.

Let me now turn to my report, Mr. Chairman. Thirteen days after we last met, our nation suffered one of the most tragic days in its history. As we would expect, the members of our University community responded to this tragedy in an exemplary fashion. The football game scheduled for September 15 was immediately postponed in a move later adopted by most other intercollegiate and professional teams. In its place, we joined with the *Dispatch* companies and the American Red Cross to sponsor a "Show You Care" telethon at Ohio Stadium that has raised well over \$800,000 for the Red Cross Disaster Relief Fund. The atmosphere at that event, with over 10,000 people present and waving a sea of American flags, is hard to describe. It was among the most inspiring experiences of my life.

Also inspiring was the very moving candlelight vigil at which thousands of students, staff, and faculty gathered on the Oval on September 19 to commemorate the tragedies. Through teach-ins and other programs, faculty and staff engaged our students in discussions about the tragic events and their implications. Expanding counseling services were quickly made available to students and others.

I should add that we have also taken steps to assure that our many international students continue to feel safe, comfortable, and welcome here. I'm pleased to report that at this point just 23 out of 4,000 international students elected to return home. There have been very few reports of verbal harassment or threats, and no reported physical harm. In addition, we have communicated with the 218 U.S. students who are studying in 25 countries around the globe, passing along safety precautions, and have notified embassies in the affected nations.

Finally, we continue to facilitate opportunities for all students to learn more about other cultures and to be tolerant of and respectful toward people who are different from themselves. Throughout this period, the show of unity, respect, community spirit, and feelings of mutual appreciation have been extraordinary. I am proud of how our community has reacted.

The events of September 11 will affect our nation and state in many ways, including our economies. This will in turn have a significant impact on the University and our progress with the Academic Plan. You will recall that last spring, because of fiscal restraints at that time, we identified four primary initiatives on which to focus in implementing the Academic Plan. Given the importance of rewarding and supporting our outstanding faculty and staff, and

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

given our current position relative to our aspirational peers, we identified compensation as Job One for the next several years. Recognizing that state funds will be scarce, at least in the short term, we said that if necessary we were prepared to generate compensation funds internally. We still are.

We also identified three initiatives vital to the goals of the Academic Plan with established sources of funding. These are a series of enhancements to our undergraduate program through use of the over-the-cap tuition funds, a major biomedical research initiative drawing in part upon the tobacco settlement funds, and creation of an Institute for the Study of Race and Ethnicity in the Americas, using funds earmarked last year. While these plans remain intact and will move forward, events of recent months have made our task much more difficult and our future much less certain.

As we know, the economy was weakening even before September 11. State receipts in Ohio were below estimates by over \$250 million in the first three months of this fiscal year. The most recent Supreme Court decision requires additional state spending on K-12 education of as much as \$1.24 billion per year retroactive to July 1, 2001. This morning's *Dispatch* reports that the governor will enact a six percent rescission on all state agencies for the current fiscal year. For us, that would amount to approximately \$18 million.

Despite these discouraging economic developments, we believe it is essential that we both address the anticipated budget rescission and forge ahead with the implementation of the Academic Plan. I say this not only for the University's sake, but for our state as well.

As you know, our pursuit of academic excellence was designed in part because the state must have a distinguished flagship university if Ohio is to prosper in a knowledge economy. To respond to the anticipated rescission, and to move forward with the Academic Plan, and most especially to reward and support our talented faculty and staff, we must undergo a major redirection of existing resources. This is a daunting task and will require every unit at the University, starting with the President's Office, to prepare plans for a significant reprogramming of its budget.

One major priority in the Academic Plan, but not the only one to be addressed through this reprogramming effort, will be a multi-year competitive compensation plan for faculty and staff. As you know, our faculty salaries have fallen to near the bottom in comparison to our benchmark peers. Similarly the average salaries for many categories of staff have fallen below the median of their benchmark comparison groups. I know the Board shares our view that we must address our compensation issues and address them in a timely manner.

Our goal is to achieve, within the next three or four years, the medium level in faculty compensation among our benchmark institutions and the median level in compensation by job category among the designated staff comparison groups. We estimate that at present our salaries are on the average three to four percent below that goal. Thus, over the next several years, we must not only increase salaries at a rate that keeps pace with our benchmarks, but also add each year roughly an additional one percent.

In carrying out this plan, we will be guided by the following six principles: 1) the Academic Plan will be the driving force behind everything we do; 2) individual compensation will be based on merit; 3) compensation markets are differential -- therefore, compensation targets may vary among the colleges and units; 4) the

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

responsibility for generating resources must be shared between the central administration and the colleges and support units; 5) compensation decisions must be carefully coordinated with the budget restructuring initiative already underway; and 6) we must give priority to protecting the academic core and academic excellence in these uncertain and volatile financial times.

I will have more to say about the details of this redirection of funds strategy in my "State of the University Address" to the Senate next Thursday. However, I do want to make two points very clear today. First, our compensation strategy will be more than just the development of a competitive structure in a statistical sense. We have a large body of people ranging across all disciplines and support units who make important contributions to the University. We must recognize their good work in our compensation plan. In short, all faculty and staff making contributions to the advancement of the University's goals will receive compensation increases that move them to more competitive levels.

Second, we will pursue this strategy in an open and consultative fashion. We will ensure that funds are redirected within each college and support unit in a way that most effectively meets that unit's compensation and other needs as it advances the Academic Plan.

As far as the timing of this redirection is concerned, the colleges and academic support units will provide us with detailed information and specific plans in January. Between now and then, we will extensively discuss and consult on such topics as compensation targets, and the consultation will be with faculty, department chairs, deans, senate leaders, and vice presidents. Colleges and support unit plans will be discussed thoroughly and in detail throughout Winter Quarter. As usual, we will ask this Board to adopt salary levels in May for Fiscal Year 2003.

We will also continue our drive for increased external funding. Support for higher education continues to build across the state and one day our efforts will pay off. We intend to do all we can within our power to see this day come sooner rather than later.

The coming year will test us. We must do the very best we can with what we have in order to give our faculty and staff the support they deserve and to move the University towards its ambitious goals. We must demonstrate leadership and be willing to make tough choices. I want to assure the Board and this community we will do precisely that.

Our resolve in these difficult times is inspired by our progress to date. In recent years, this University community has demonstrated the capacity to make hard choices that promote excellence in a focused and collaborative way. We will not be deterred by the difficult times that surely lie ahead. Recently, we pulled together information on our Academic Plan accomplishments over the past year. I think you will find this list impressive. It will be part of an updated plan to be issued later this fall.

This new academic year is also starting off well. We once again welcomed the best-prepared freshman class in the history of the University. Average ACT scores reached an all time high of 25.2, compared with 22.8 in 1995, and a national average today of 21. A third of this class was in the top 10 percent of its high school class and 250 were valedictorians.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

We are also making progress in diversity. Our new freshman class is the most diverse class in our history with about one in five being a member of a minority group.

On Wednesday, Ed Ray and Bill Hall joined me as we dedicated a Multicultural Center that will spur dialogue and learning about the myriad cultures that make up this campus. And during halftime tomorrow night, we will celebrate in a very special program our fantastic Ohio State faculty -- recognizing 26 faculty members who received our most prestigious awards last year.

Past progress aside, the environment going forward will be challenging. But our vision and priorities are the right ones and we will prevail. Mr. Chairman and members of the Board, this completes my report.

Before yielding the floor however, I would like to make a brief presentation. We are honored to have with us this morning, two of Ohio State's strongest supporters, Richard and Martha Denman.

As many of you know, Rick and Marte have contributed to our University in many ways. Rick serves on the Foundation Board of Directors, and five years ago received The Ohio State University Distinguished Service Award. Rick and Marte continue to be generous supporters of the College of Medicine and Public Health, and the Fisher College of Business.

But today we recognize them for their support of undergraduate research. Through their generosity we have created the Denman Research Forum, which has created the opportunity for thousands of bright undergraduates to work with some of our best faculty to advance knowledge. I urge all who haven't yet attended a Denman Forum to do so. It's one of the most inspirational and existing things we do at our University.

Mr. Chairman, we have a citation to present to the Denmans. I'd like to read it and then ask you to join me for a photograph with them. It is an expression of appreciation by the University and it reads:

EXPRESSION OF APPRECIATION

WHEREAS Richard and Martha Denman have diligently served The Ohio State University with passion and enthusiasm for over four decades; and

WHEREAS they have been outstanding ambassadors of the University, representing it with great distinction and bringing it great honor; and

WHEREAS Rick and Marte Denman have brought into high relief the value of research experiences for undergraduate students and have institutionalized the highly-acclaimed Undergraduate Research Forum, now named in tribute to their vision and investment; and

WHEREAS the Denmans have demonstrated that students from the freshman through the senior level can conduct independent scholarly inquiry of the highest levels, making them both an inspiration to the hundreds of students and faculty mentors who have participated in the Forum; and

WHEREAS they have offered students an opportunity to develop critical thinking skills that have proven beneficial in graduate school applications and success in the work place, and their leadership has encouraged increasing faculty support of undergraduate students in research:

EXPRESSION OF APPRECIATION (contd)

NOW THEREFORE

BE IT RESOLVED, That The Ohio State University Board of Trustees accords its highest praise and deepest appreciation to Richard J. and Martha D. Denman for their unending support, friendship, and dedication to this University.

President Kirwan:

Congratulations.

Mr. Richard J. Denman:

Thank you. We had an opportunity to talk a little bit about the program this morning in the Student and Educational Affairs Committee meeting.

It's been a great pleasure and, actually, we're just along for the ride. Quite frankly, the work and creativity has been done by the Scholars Center, most recently headed up by Dan Farrell, and Brad Moore and Keith Alley, in the Office of Research, have been the driving force behind this. So we'll help out any way we can and whatever contribution we can make, we'll certainly do. We're committed to the program and its success.

Mr. Brennan:

I'd like to add my thanks for what you do. You represent the real lifeblood of this University – the hundreds of thousands of people out there who love us, who support us, and make the experience of these youngsters here worthwhile for them and our nation. I add my personal thanks to you as well.

Before going on with the agenda, I would like to mention something. It is such a momentous thing that President Kirwan has shared with you this morning. I'm talking about the commitment this University has to make so that we can take care of our responsibilities to our faculty and staff, and maintain the high dedication to the excellence we're seeking for this University.

Every one of us on this Board fully and wholeheartedly supports the actions that have to be taken, along with doing everything we can to provide whatever strength we can for the resolve it's going to take to accomplish this. These are very trying times. All of you appreciate that, but please know that the Board is unanimous in its support of the steps that have to be taken. I just think you should all know that.

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CONSENT AGENDA

President William E. Kirwan

We have nine resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend the following resolutions to the Board:

AMENDMENTS TO THE MEDICAL STAFF BYLAWS OF THE OHIO STATE UNIVERSITY HOSPITALS AND THE MEDICAL STAFF BYLAWS AND RULES AND REGULATIONS OF THE OHIO STATE UNIVERSITY HOSPITALS EAST

Resolution No. 2002-33

Synopsis: The amendments to the Bylaws of the Medical Staff of The Ohio State University Hospitals and the Bylaws of the Medical Staff and Rules and Regulations of The Ohio State University Hospitals East are recommended for approval.

WHEREAS The Ohio State University Hospitals Board pursuant to bylaw 3335-101-04 of the Hospitals Board Bylaws is authorized to recommend to the Board of Trustees the adoption of amendments to the Medical Staff Bylaws of The Ohio State University Hospitals and the Medical Staff Bylaws and Rules and Regulations of The Ohio State University Hospitals East; and

WHEREAS the proposed amendments to the Medical Staff Bylaws of The Ohio State University Hospitals were approved by the University Hospitals Board on August 23, 2001, and the Medical Staff Bylaws and Rules and Regulations of The Ohio State University Hospitals East were approved by the University Hospitals Board on August 23, 2001, as attached:

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Bylaws of the Medical Staff of The Ohio State University Hospitals, and the Bylaws of the Medical Staff and Rules and Regulations of The Ohio State University Hospitals East be adopted as recommended and approved August 23, 2001, by the University Hospitals Board.

(See Appendix XII for amendments to the *Bylaws of the Medical Staff* of The Ohio State University Hospitals, and the *Bylaws of the Medical Staff and Rules and Regulations* of The Ohio State University Hospitals East, page 375.)

NAMING OF BUILDING

Resolution No. 2002-34

Synopsis: Naming of the Life Sciences Research Building, which will be located at 318 West 12th Avenue, "The Stanley J. Aronoff Laboratory," is proposed.

WHEREAS Stanley J. Aronoff has had a distinguished career in Ohio politics, having served in the Ohio General Assembly for thirty-six years, including three terms in the House of Representatives and eight terms in the Ohio Senate; and

WHEREAS Senator Stanley J. Aronoff rose progressively through the leadership ranks in the Ohio Senate, serving as chairman of the Senate Finance Committee, Assistant President Pro Tem, President Pro Tem and, from 1989-1996, as President of the Ohio Senate; and

WHEREAS throughout his career in the General Assembly, Senator Aronoff earned a reputation as a tireless and committed champion of higher education and the arts; and

WHEREAS Senator Aronoff was throughout his career a special advocate for the programs of The Ohio State University and had a direct hand in securing appropriations for many of the facilities on the campus today, including, but not limited to, the Heart and Lung Institute, the James Cancer Hospital and Solove Research Institute, Rhodes Hall, the Schottenstein Center, and the Fisher College of Business complex; and

WHEREAS one of the capital appropriations secured during Senator Aronoff's tenure as President of the Senate was both planning and construction funding for a new life sciences research building; and

NAMING OF BUILDING (contd)

WHEREAS a grateful University, its Board of Trustees, administration, faculty, staff, and students wish to convey to Senator Aronoff its appreciation for a career in public service that focused on meeting the needs of Ohio higher education, including The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That in accordance with paragraph (F) of rule 3335-1-08 of the Administrative Code, the Board of Trustees hereby approves the naming of the College of Biological Sciences' Life Sciences Research Building, which will be located at 318 West 12th Avenue, "The Stanley J. Aronoff Laboratory" and congratulates Senator Aronoff for his many accomplishments during a distinguished career in service to the citizens of Ohio.

HONORARY DEGREES

Resolution No. 2002-35

Synopsis: The awarding of honorary degrees to Andre Wambersie and Wilford R. Gardner is recommended for approval.

WHEREAS the Committee on Honorary Degrees and the University Senate, pursuant to rule 3335-5-488 of the Administrative Code, have approved for recommendation to the Board of Trustees awarding of an honorary degree as listed below:

Wilford R. Gardner	Doctor of Science
Andre Wambersie	Doctor of Science

NOW THEREFORE

BE IT RESOLVED, That the above honorary degrees be awarded in accordance with the recommendation at a time convenient to the University and the recipients.

PERSONNEL ACTIONS

Resolution No. 2002-36

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the August 29, 2001 meeting of the Board, including the following Appointments, Concurrent Appointment, Leaves of Absence Without Salary, Professional Improvement Leave--Cancellation, and Emeritus Title, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments/Reappointments approved August 23, 2001, by The Ohio State University Hospitals Board, and the Medical Staff Appointments approved September 5, 2001, by The James Cancer Hospital Board, be ratified.

Appointments

Name:	CHARIS ENG
Title:	Associate Professor (The William C. and Joan E. Davis Cancer Research Professorship)
Department:	Internal Medicine
Term:	October 1, 2001 through June 30, 2005

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: CHRISTINE B. MORRIS
Title: Interim Director
Center: Multicultural Center
Term: September 15, 2001 through June 30, 2003
Present Position: Associate Professor, Department of Art Education – Newark,
Chair of the Newark Campus Cultural Diversity Committee, and
Director of the Newark Campus Gallery

Name: SUSAN METROS
Titles: Deputy Chief Information Officer (CIO) and Executive Director for
Educational Technology and Distributed Education, and Professor
Office/Department: Information Technology/Industrial, Interior, and
Visual Communication Design
Effective: November 1, 2001
Present Position: Professor and Assistant Vice President for Educational Technology,
The University of Tennessee System, Knoxville, TN

Concurrent
Appointment:

Name: GLEN F. HOFFSIS
Title: Executive Dean of Health Sciences
Term: September 1, 2001 through June 30, 2004
Present Position: Dean, College of Veterinary Medicine

Leaves of Absence Without Salary

BERYL A. MILLER, Professor, Department of Dance, effective Autumn Quarter 2001, to tour with the Bebe Miller Company at Cuyahoga Community College, Cleveland, Ohio, Wesleyan University, Middletown, Connecticut, and the Doris Duke Theater, New York City, New York.

TODD J. DEVRIESE, Assistant Professor, Department of Art (Marion Campus), effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to accept a visiting position at the Zayed University, Dubai, in the United Arab Emirates.

WENDY SHAW, Assistant Professor, Department of Near Eastern Languages and Cultures, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, for personal reasons.

Professional Improvement Leave--Cancellation

HOJJAT ADELI, Professor, Department of Civil and Environmental Engineering and Geodetic Science, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002.

Emeritus Title

LAWRENCE E. EUBANKS, Ohio State University Extension, with the title Assistant Professor Emeritus, effective October 1, 2001.

Medical Staff Appointments (The Ohio State University Medical Center)

July 2001

Nitin Y. Bhatt, M.D., Internal Medicine, Attending, 07/11/2001-06/30/2003
Sophia Boudoulas, D.O., Internal Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
David E. Cohn, M.D., Obstetrics & Gynecology, Attending, 07/01/2001-06/30/2003
Lori M. DiRusso, M.D., Pediatrics, PGY-1 Limited, 07/01/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

July 2001 (contd)

Brent K. Eberhard, M.D., Pediatrics, PGY-1 Limited, 07/01/2001-06/30/2003
Charles F. Eisenbeis, II, M.D., Ph.D., Internal Medicine, Attending, 07/11/2001-06/30/2003
John S. Foor, M.D., Surgery, PGY-3 Limited, 07/01/2001-06/30/2003
Lamberto T. Galang, M.D., Internal Medicine, PGY-1 Limited, 07/01/2001-06/30/2003
Jon C. Gould, M.D., Surgery, Attending, 07/01/2001-06/30/2003
Brian C. Hiestand, M.D., Emergency Medicine, Attending, 07/11/2001-06/30/2003
Robert P. Hoffman, M.D., Pediatrics, Attending, 07/11/2001-06/30/2003
Maureen F. Jordan, M.D., Orthopaedic Surgery, PGY-1 Limited, 07/01/2001-06/30/2003
Vivek Kadyan, M.D., Physical Medicine & Rehabilitation, Attending, 07/11/2001-06/30/2003
Shalva V. Kakabadze, M.D., Family Medicine, Attending, 07/11/2001-06/30/2003
Joseph R. Leith, M.D., Orthopaedic Surgery, PGY-1 Limited, 07/01/2001-06/30/2003
Thomas Samuel Lin, M.D., Ph.D., Internal Medicine, Attending, 07/11/2001-06/30/2003
Erin Elizabeth McFarland, M.D., Pediatrics, PGY-1 Limited, 07/01/2001-06/30/2002
Paige L. O'Mahoney, M.D., Pediatrics, PGY-1 Limited, 07/01/2001-06/30/2002
Ranganath Pathak, M.D., Surgery, PGY-6 Limited, 07/01/2001-06/30/2002
John A. Paulus, Ph.D., Psychiatry, Attending/Ph.D., 07/11/2001-06/30/2002
Stephen P. Povoski, M.D., Surgery, Attending, 07/11/2001-06/30/2002
Jean L. Robertson, M.D., Pediatrics, Attending, 07/11/2001-06/30/2002
Neeraj H. Tayal, M.D., Internal Medicine, Attending, 07/01/2001-06/30/2002
Robert B. VanCourt, D.P.M., Orthopaedic Surgery, Community Affiliate, 07/01/2001-06/30/2002
John A. Walter, D.O., Physical Medicine & Rehabilitation, PGY-2 Limited, 07/01/2001-06/30/2002
Todd M. Yamokoski, C.N.S., Internal Medicine, Licensed Healthcare Professional, 07/11/2001-06/30/2002
Thomas M. Yunger, Jr., M.D., Internal Medicine, Attending, 07/11/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Medical Center)

Reappointment Period: 07/01/2001-06/30/2003

Jay E. Beilharz, D.O., Family Medicine, Community Affiliate Physician
Don L. Bremer, M.D., Ophthalmology, Attending
Maureen M. Buckner, C.N.P., Internal Medicine, Licensed Healthcare Professional
Joseph D. Bullock, M.D., Pediatrics, Courtesy
Spero R. Cataland, M.D., Internal Medicine, Attending
Yiu-Chung Chan, M.D., Psychiatry, Attending
Melinda M. Johnson Coesfeld, PA-C, Surgery, Licensed Healthcare Professional
Jack Dingle, M.D., Ophthalmology, Attending
Mari Fukami, D.M.D., Dentistry, PGY-3 Limited
Thomas G. Hospel, M.D., Family Medicine, Attending
John R. Kean, M.D., Orthopaedic Surgery, Courtesy
Patrick Killian, M.D., Internal Medicine, PGY-3 Limited
Thomas H. Lee, M.D., Orthopaedic Surgery, Attending
Alan D. Letson, M.D., Ophthalmology, Attending
Anne Dunnam Littleton, M.D., Pediatrics, Courtesy
Carolyn D. Lugannani, M.D., Family Medicine, PGY-3 Limited

Medical Staff Requests for Additional/Special Privileges (The Ohio State University Medical Center)

David Cohn, M.D., Obstetrics & Gynecology, CO2 lasers, 07/01/2001-06/30/2003
Steven Steinberg, M.D., Surgery, Conscious Sedation, 07/11/2001-06/30/2002

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio University Medical Center)

August 2001

John C. Abdou, M.D., Radiology, PGY2 Limited, 07/07/2001-06/30/2003
Timothy Anderson, M.D., Internal Medicine, Fellow 1st Year, 07/01/2001-06/30/2003
Joan Fay Atkin, M.D., Pediatrics, Courtesy, 07/01/2001-06/30/2003
Robert L. Bang, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2003
Dominique R. Barkley, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Geoffrey N. Box, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2003
Christopher G. Brown, M.D., M.P.H., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Conley B. Call, M.D., Ophthalmology, PGY2 Limited, 07/01/2001-06/30/2003
Daniel G. Cameron, M.D., Internal Medicine, Fellowship 1st Year, 07/01-2001-06/30/2003
Cathy Lynn Cantor, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Craig Carpenter, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Michael A. Chandler, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Saima Chohan, M.D., General Internal Medicine, Attending, 07/01/01-06/30/2003
Daniel M. Cohen, M.D., Pediatrics, Courtesy, 08/08/2001-06/30/2003
Dustin E. Coyle, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Ryan E. Dalton, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003
Marcel J. Daneault, Jr., D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2003
Praveena V. Dhawale, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Carlos B. Domingo, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2003
Manoshi B. Dutta, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Charles A. Elmaraghy, M.D., Otolaryngology, PGY2 Limited, 07/01/2001-06/30/2003
Michael T. Engle, M.D., Physical Medicine and Rehabilitation, PGY2 Limited, 07/01/2001-06/30/2003
Timothy F. Feltes, M.D., Pediatrics, Courtesy, 08/08/2001-06/30/2003
Warren J. Frazier, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
George M. Galvan, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2003
Kathryn Lynn Ginocchi, M.D., Pediatrics, Attending, 08/08/2001-06/30/2003
Robert T. Gorsline, M.D., Orthopaedic Surgery, PGY1 Limited, 07/01/2001-06/30/2003
Katherine M. Harbay, D.M.D., Dentistry, PGY1 Limited, 07/01/2001-06/30/2003
Carolyn M. Harding, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Kristin J. Hem, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Scott A. Holliday, M.D., Internal Medicine, Attending, 08/08/2001-06/30/2003
Robert S. Houser, D.O., Surgery, PGY5 Limited, 07/01/2001-06/30/2003
Leslie J. Howell, D.O., Psychiatry, PGY1 Limited, 07/01/2001-06/30/2003
Babak Khabiri, D.O., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003
Tamila L. Kindwall-Keller, D.O., Internal Medicine, Fellow 1st Year, 07/01/2001-06/30/2003
Michael R. Krause, D.O., Obstetrics and Gynecology, PGY4 Limited, 07/01/2001-06/30/2003
Nathan T. Lepp, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Richard J. Limperos, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Donald S. Long, M.D., Physical Medicine and Rehabilitation, PGY2 Limited, 07/01/2001-06/30/2003
Maria R. Lucarelli, M.D., Internal Medicine, Fellow 1st Year, 07/01/2001-06/30/2003
Carolyn D. Lugannani, M.D., Family Medicine, Attending, 10/01/2001-06/30/2003
Mary J. McCafferty, M.D., Psychiatry, Attending, 08/08/2001-06/30/2002
Lynette R. Mehl, D.P.M., Orthopaedic Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Robert M. Moskowitz, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Sarah E. Nargi, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Neelam N. Noticewala, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002
Ann O'Connor, M.D., Surgery, PGY8 Limited, 07/01/2001-06/30/2002
John R. Ogden, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Mona E. Orady, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/01/2001-06/30/2002
Robert E. Paasche, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Irene Paparizos, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002
Anna Park, M.D., Ophthalmology, PGY2 Limited, 07/17/2001-06/30/2002

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio University Medical Center) (contd)

August 2001 (contd)

Ross S. Paskoff, M.D., Orthopaedic Surgery, Community Affiliate Physician, 08/08/2001-06/30/2002
Mary A. Paulson, Ph.D., Psychiatry, Attending/Phd, 08/08/2001-06/30/2002
Joseph W Pool, M.D., Internal Medicine, Attending, 07/01/2001-06/30/2002
Daniela M. Proca, M.D., Pathology, Attending, 08/08/2001-06/30/2002
Nilsa Del Carmen Ramirez, M.D., Pathology, Attending, 08/08/2001-06/30/2002
Sharon L. Roble, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Jennifer D. Roney, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/01/2001-06/30/2002
Michelle A. Russell, M.D., Obstetrics and Gynecology, Community Affiliate Physician, 08/08/2001-06/30/2002
Laura E. Ryan Patrick, M.D., Internal Medicine, Fellow 1st Year, 07/01/2001-06/30/2002
Anjali A. Satoskar, M.D., Pathology, PGY7 Limited, 07/01/2001-06/30/2002
Martin P. Schutte, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Rosemarie L. Shim, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Aditya V. Singh, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2002
Amul H. Singh, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2002
Michael R. Stenger, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002
Veena Subramanian, M.D., Neurology, Attending, 08/08/2001-06/30/2002
Larry R. Tate, M.D., Pathology, Attending, 08/08/2001-06/30/2002
Marc A. Trzeciak, D.O., Orthopaedic Surgery, Community Affiliate Physician, 07/17/2001-06/30/2002
Fred P. Tzystuck, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Victor R. Vermeulen, M.D., Otolaryngology, Courtesy, 08/08/2001-06/30/2002
Tate L. Viehweg, D.M.D., Dentistry, PGY1 Limited, 07/01/2001-06/30/2002
Jason S. Weber, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002
Jason P. Yanich, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2002
Andrew R. Yates, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Medical Center)

August 2001

Vivek V. Abhyankar, M.D., Internal Medicine, Fellow 1st Year, 08/08/2001-06/30/2003
Joseph C. Bennett, M.D., Internal Medicine, Courtesy, 08/08/2001-06/30/2003
Michael J. Besson, M.D., Ophthalmology, Courtesy, 08/08/2001-06/30/2003
Michael A. Burgin, M.D., Internal Medicine, Attending, 08/08/2001-06/30/2003
Lisa Ann Burns-Serrano, M.D., Family Medicine, Courtesy, 08/08/2001-06/30/2003
Kenneth V. Cahill, M.D., Ophthalmology, Attending, 08/08/2001-06/30/2003
Charles P. Carroll, M.D., Ophthalmology, Courtesy, 08/08/2001-06/30/2003
David P. Chan, M.D., Pediatrics, Courtesy, 08/08/2001-06/30/2003
Stephanie W. Costa, M.D., Obstetrics and Gynecology, Courtesy, 07/11/2001-06/30/2003
Debbra L. Debaets, M.D., Internal Medicine, Community Affiliate Physician, 08/08/2001-06/30/2003
Jennifer D. Gibson, M.D., Internal Medicine, Attending, 08/08/2001-06/30/2003
Joseph L. Herson, III, D.O., Family Medicine, Attending, 08/08/2001-06/30/2003
Frederick Kapetansky, M.D., Ophthalmology, Attending, 08/08/2001-06/30/2003
Patrick M. Killian, M.D., Internal Medicine, PGY3 Limited, 07/01/2001-06/30/2003
James F. Lamb, M.D., Internal Medicine, Attending, 07/01/2001-06/30/2003

Requests for Additional/Special Privileges (The Ohio State University Medical Center)

August 2001

Richard T. Kloos, M.D., Radiology, Nuclear Medicine, 08/08/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Hospitals East)

July 2001

Carl C. Berasi, D.O., Orthopaedic Surgery, Courtesy, 07/16/2001-06/30/2003
Hillary B. Boswell, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/16/2001-06/30/2003
Stacie D. Burton, M.D., Family Practice, PGY2 Limited, 07/16/2001-06/30/2003
Michael B. Cannone, D.O., Orthopaedic Surgery, Courtesy, 07/16/2001-06/30/2003
David E. Dalton, M.D., Emergency Medicine, Active, 07/16/2001-06/30/2003
Carlos B. Domingo, M.D., Surgery, PGY1 Limited, 07/16/2001-06/30/2003
Terry A. Dragash, D.O., Family Medicine, Courtesy, 07/16/2001-06/30/2003
Timothy P. Duffey, D.O., Orthopaedic Surgery, Courtesy, 07/16/2001-06/30/2003
George M. Galvan, M.D., Surgery, PGY1 Limited, 07/16/2001-06/30/2003
Julia A. Garrett, C.N.P., Medical Management Team, Licensed Healthcare Professional,
07/16/2001-06/30/2003
Jon C. Gould, M.D., Surgery, Active, 07/16/2001-06/30/2003
Donna J. Hedges, C.N.S., Internal Medicine, Licensed Healthcare Professional, 07/16/2001-
06/30/2003
Charles D. Kerr, D.O., Orthopaedic Surgery, Courtesy, 07/16/2001-06/30/2003
Ann M. Kuhn, M.D., Surgery, PGY3 Limited, 07/16/2001-06/30/2003
Ronald Lakatos, M.D., Orthopaedic Surgery, Active, 07/16/2001-06/30/2003
J. Paul Martz, D.O., Orthopaedic Surgery, Courtesy, 07/16/2001-06/30/2002
Gregory Z. Mavian, D.O. Surgery, Courtesy, 07/16/2001-06/30/2002
Gregory D. Pearson, M.D., Surgery, PGY4 Limited, 07/16/2001-06/30/2002
Mona R. Prasad, D.O., Surgery, PGY1 Limited, 07/16/2001-06/30/2002
Carrie R. Soder, M.D., Surgery, PGY1 Limited, 07/16/2001-06/30/2002
Larry T. Todd, D.O., Orthopaedic Surgery, Courtesy, 07/16/2001-06/30/2002
David Vinson Jr., M.D., Medical Management Team, Active, 07/16/2001-06/30/2002
Jaimie Yun, D.P.M., Orthopaedic Surgery, Active, 07/16/2001-06/30/2002

Medical Staff Reappointments (The Ohio State University Hospitals East)

Reappointment Period: 07/01/2001-06/30/2003

Philip E. Binkley, M.D., Internal Medicine, Active
Michael L. Blumenfeld, M.D., Surgery, Active
Terry L. Brown, CRNA, Anesthesiology, Licensed Healthcare Professional
Theresa L. Craig CRNA, Anesthesiology, Licensed Healthcare Professional
Veronica D. Haverick, CRNA, Anesthesiology, Licensed Healthcare Professional

Medical Staff Requests for Change in Medical Staff Status (The Ohio State University Hospitals East)

July 2001

Brian C. Hiestand, M.D., Emergency Medicine, Limited to Active, 07/16/2001-06/30/2003
Milroy J. Samuel, M.D., Surgery, Limited to Courtesy, 07/16/2001-06/30/2002
Christopher M. Schlanger, M.D., Emergency Medicine, Limited to Active, 07/16/2001-06/30/2002

Medical Staff Requests for Additional Privileges (The Ohio State University Hospitals East)

July 2001

Philip F. Binkley, M.D., Internal Medicine, Pacemaker placement, Right heart catheterization,
07/01/2001-06/30/2003
David E. Dalton, M.D., Emergency Medicine, Moderate Sedation, 07/16/2001-06/30/2003
Brian C. Hiestand, M.D., Emergency Medicine, Moderate Sedation, 07/16/2001-06/30/2003
David S. Lever, M.D., Internal Medicine, Moderate Sedation, 07/16/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Requests for Additional Privileges (The Ohio State University Hospitals East)
(contd)

July 2001 (contd)

J. Paul Martz, D.O., Orthopaedic Surgery, Laminectomy-lower lumbar, 07/16/2001-06/30/2002
Gregory Z. Mavian, D.O., Surgery, Microsurgery, Use of CO2 Laser, Spinal instrumentation,
07/16/2001-06/30/2002
Charles W. Noble, M.D., Internal Medicine, Moderate Sedation, 07/16/2001-06/30/2002
Milroy J. Samuel, M.D., Surgery, Moderate Sedation, CO2 Laser, Use of laparoscope,
Transcervical balloon tuboplasty, Cystoscopy, Endometrial balloon ablation, 07/16/2001-
06/30/2002
Christopher M. Schlanger, M.D., Emergency Medicine, Moderate Sedation, 07/16/2001-
06/30/2002
Larry T. Todd, Jr., D.O., Orthopaedic Surgery, Spinal reconstruction, Laminectomy,
Hemipelvectomy, 07/16/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Hospitals East)

August 2001

Geoffrey N. Box, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2003
Ryan E. Dalton, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003
Mark L. DeBard, M.D., Emergency Medicine, Active, 08/20/2001- 06/30/2003
Robert Field, M.D., Radiology, Courtesy, 08/20/2001-06/30/2003
Robert T. Gorsline, M.D., Orthopaedic Surgery, PGY 1 Limited, 07/01/2001-06/30/2003
Lynette R. Mehl, D.P.M., Orthopaedic Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Sarah E. Nargi, M.D., Surgery, PYG1 Limited, 07/01/2001-06/30/2002
John R. Ogden, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Mona E. Orady, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/01/2001-06/30/2002
Ross S. Paskoff, M.D., Orthopaedic Surgery, Active, 08/20/2001-06/30/2002
Joel R. Politi, M.D., Orthopaedic Surgery, Courtesy, 08/20/2001-06/30/2002
Daniela M. Proca, M.D., Pathology, Active, 08/20/2001-06/30/2002
Janice Ramsdell, RN, Surgery, LHCP, 08/20/2001-06/30/02
Jennifer D. Roney, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/01/2001 –06/30/2002
William E. Saar, D.O., Orthopaedic Surgery, Courtesy, 07/23/2001-06/30/2002
Veena Subramanian, M.D., Neurology, Active, 08/20/2001-06/30/2002
Larry R. Tate, M.D. Pathology, Active, 08/20/2001-06/30/2002
Bryan W. Tillman, M.D., Ph.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Gregory W. Walker, D.O., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Emily Yu, M.D., Physical Medicine Rehabilitation, Courtesy, 08/20/2001-06/30/2002

Medical Staff Requests for Additional Privileges (The Ohio State University Hospitals East)

August 2001

Mark L. DeBard, M.D., Emergency Medicine, Moderate Sedation, 08/20/2001 -06/30/2003

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove
Research Institute)

August 2001

Initial Appointments – Faculty

Eric C. Bourekas, M.D., Radiology, Neuroradiology, Assistant Professor, Associate Attending
Stephen J. Burgun, M.D., Internal Medicine, Endocrinology, Clinical Assistant Professor,
Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

August 2001 (contd)

Initial Appointments – Faculty (contd)

Vivek Kadyan, M.D., Physical Medicine and Rehabilitation, Clinical Assistant Professor, Associate Attending

Daniela M. Proca, M.D., Pathology, Surgical Pathology, Clinical Assistant Professor, Associate Attending

Larry R. Tate, M.D., Surgery, Pathology, Clinical Associate Professor, Associate Attending

Provisional to Full Appointment – Allied Health Professionals

Larell Fineren, C.R.N.A.

Larry Gronland, C.R.N.A.

Ginger Guinther, C.R.N.A.

Agnes Marie Miller, C.R.N.A.

Gretchen Norman, C.R.N.A.

Linda Rainey, C.R.N.A.

Reappointment – Faculty (term of appointment 7/1/01-6/30/03)

Phillip Binkley, M.D., Associate Attending, Internal Medicine/Cardiology

Additional Privileges

David E. Cohn, M.D., Obstetrics and Gynecology, Attending, CO2 laser

Stephen P. Povoski, M.D., Surgery, Surgical Oncology, Attending, Laparoscopy

RESOLUTION IN MEMORIAM

Resolution No. 2002-37

Synopsis: Approval of a Resolution in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolution in Memoriam and that the President be requested to convey a copy to the family of the deceased.

John H. Muschick

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on January 26, 2000, of John H. Muschick, Associate Professor Emeritus in the School of Music.

John Muschick was born in State Center, Iowa, on March 22, 1923. In 1941, he began formal training in music at Parsons College, Fairfield, Iowa. His studies were interrupted by three years of service in the United States Army, for which he was awarded several medals, including the Purple Heart. After World War II, he attended Drake University, where he received the degrees B.Mus. (1948) and M.Mus. (1949). He pursued advanced training at the Conservatoire National de Musique, Paris, where in 1950 he earned a certificate in voice and vocal repertoire.

RESOLUTION IN MEMORIAM (contd)

John H. Muschick (contd)

His teaching career began in 1948 at Carthage College, Carthage, Illinois. In 1950, he came to The Ohio State University as instructor of voice and in 1967 attained the rank of associate professor, a position he held until his retirement in 1979. During his 30-year tenure on the faculty of the School of Music, he was active as bass-baritone recitalist, studio teacher, and director of the Women's Glee Club – an ensemble that attained musical excellence under his dynamic leadership. Professor Muschick also had the honor of teaching at the Interlochen National Music Camp during the summers of 1951-1955.

Professor Muschick's special interest in church and choral music was manifested by various contributions to the Columbus community. From 1951-1955, he served as baritone soloist at First Community Church. Thereafter, he served as minister of music at Overbrook Presbyterian Church until his move to Pensacola in 1987. In addition, he served for seven years as director of choral activities for Nationwide Insurance Company and for 20 years as a consultant to Beckenhorst Music Publishers.

Professor Muschick was active in professional organizations, such as the National Association of Teachers of Singing and the Music Teachers National Association, and in honorary societies such as Phi Mu Alpha Sinfonia. He was especially active as a member of Pi Kappa Lambda music fraternity and was instrumental in inaugurating an OSU chapter of that honorary society.

He is remembered by his OSU colleagues and students for his love of music, his artistry, his devotion to teaching, and for his marvelous collegiality.

On behalf of the University community, the Board of Trustees expresses to the family of Professor John H. Muschick its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

EXEMPTION – NATIONAL INDUSTRIAL SECURITY PROGRAM

Resolution No. 2002-38

Synopsis: Approval of annual exemptions for National Industrial Security Program Clearance is proposed.

WHEREAS that those persons occupying the following positions among the officers and members of the Board of Trustees of The Ohio State University, shall be known as the Managerial Group as described in the National Industrial Security Program Operating Manual:

President
Executive Vice President and Provost
Vice President for Research
Senior Vice President for Business and Finance
Secretary, Board of Trustees
National Security Officer

and

WHEREAS that the Chief Executive and members of the Managerial Group have been processed, or will be processed for a personnel clearance for access to classified information, to the level of the facility clearance granted to this institution, as provided for in the aforementioned National Industrial Security Program Operating Manual; and

EXEMPTION – NATIONAL INDUSTRIAL SECURITY PROGRAM (contd)

WHEREAS that the said Managerial Group is hereby delegated all of the Board's duties and responsibilities pertaining to the protection of classified information under classified contracts of the Department of Defense or User Agencies of its National Industrial Security Program awarded to The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the following named officers and members of the Board of Trustees shall not require, shall not have, and can be effectively excluded from access to all classified information in the possession of The Ohio State University and do not occupy positions that would enable them to affect adversely the policies and practices of The Ohio State University in the performance of classified contracts for the Department of Defense or User Agencies and its National Industrial Security Program, awarded to The Ohio State University, need not be processed for a personnel clearance.

David L. Brennan, Chair	Dimon R. McFerson
Jo Ann Davidson	James F. Patterson, Vice Chair
Robert M. Duncan	Daniel M. Slane
Karen L. Hendricks	Zuheir A. Sofia
Tami Longaberger	

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2002-39

Synopsis: The report on research and other sponsored program contracts and grants and the summaries for August 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of August 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-40

Synopsis: The report on the receipt of gifts and the summary for August 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

REPORT ON UNIVERSITY DEVELOPMENT (contd)

WHEREAS this report includes the establishment of The Frederick P. Zuspan, M.D. Chair in Obstetrics and Gynecology; and

WHEREAS this report includes the establishment of nine (9) new named endowed funds and the amendment of one (1) named endowed fund:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of August 2001 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July through August
2000 Compared to 2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars		
	July through August		
	<u>2000</u>	<u>2001</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$2,581,418	\$3,031,234	17
Alumni (From Bequests)	<u>349,825</u>	<u>1,223,946</u>	250
Alumni Total	\$2,931,243	\$4,255,180	45
Non-Alumni (Current Giving)	\$1,008,951	\$1,790,511	77
Non-Alumni (From Bequests)	<u>187,639</u>	<u>877,043</u>	367
Non-Alumni Total	\$1,196,590	\$2,667,554	123
Individual Total	\$4,127,833	\$6,922,734	68 ^A
Corporations/Corp/Foundation	\$4,823,508	\$7,018,235	46 ^B
Private Foundations	\$1,819,522	\$2,280,388	25 ^C
Associations and Other Organizations	<u>\$552,324</u>	<u>\$600,728</u>	9
Total	\$11,323,187	\$16,822,085	49

NOTES

- A Individual giving is up 68% largely due to the fact that gifts of \$10,000 or more are up 68% (22 gifts for \$1.9 million last year; 39 gifts for \$3.2 million this year).
- B Corporate giving is up 46%. Last year there were 98 corporate gifts providing private support of \$10,000 or more (\$3.6 million). This year private support at the \$10,000 level is \$5.8 million (137 gifts).
- C Foundation giving at the \$10,000 or more level is up for the first two months of the fiscal year (\$2.2 million from 29 gifts this year; \$1.7 million from 20 gifts last year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-August
2000 Compared to 2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through August		
	<u>2000</u>	<u>2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$1,345,731	\$3,282,934	144
Faculty Support	\$1,866,519	\$347,938	(81)
Program Support	\$5,407,442	\$10,202,510	89
Student Financial Aid	\$1,266,053	\$2,234,512	76
Annual Funds-Colleges/Departments	\$1,338,110	\$655,268	(51)
Annual Funds-University	<u>\$99,332</u>	<u>\$98,923</u>	---
Total	\$11,323,187	\$16,822,085	49

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through August

<u>2000</u>	<u>2001</u>	<u>% Change</u>
\$3,361,604	\$4,013,497	19%

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Chair</u>			
The Frederick P. Zuspan, M.D. Chair in Obstetrics and Gynecology (Support a faculty chair position in the Department of Obstetrics and Gynecology; provided by gifts from Professor Emeritus Frederick P. Zuspan, M.D. and his colleagues and friends and the Department of Obstetrics and Gynecology) (grandfathered)	\$1,302,252.56		\$1,302,252.56
<u>Establishment of Named Endowed Funds</u>			
The Friends of The Ohio State University Endowed Merit Scholarship Fund (Provide scholarships for Presidential, Distinguished, Medalist, and University Scholars through the Merit Scholar Initiative; provided by a gift from Friends of The Ohio State University)		\$100,000.00	\$100,000.00
Ohio Nursery Landscape Horticulture Program Endowment Fund (Further the purpose and function of the Ohio State Nursery Short Course and the Landscape Horticulture Program; provided funds accumulated through the educational programming of the annual OSU Nursery Short Course, conducted in cooperation with the Ohio Nursery and Landscape Association)		\$100,000.00	\$100,000.00
The Alan G. and Marie Loofbourrow Memorial Fund in the College of Engineering (Provide scholarships in the College of Engineering until funding is sufficient to support a named professorship in the College of Engineering; provided by a gift from the Alan G. Loofbourrow Trust in memory of Marie Loofbourrow and Alan G. Loofbourrow)		\$99,387.12	\$99,387.12
<u>Change in Name of Named Endowed Fund</u>			
From: The Geography Faculty and Alumni Fund			
To: John N. Rayner Alumni, Faculty, and Friends of Geography Fund			

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds</u>			
The George E. Large Memorial Fund (Provide for capital improvements in the civil engineering department and provide scholarship for deserving student(s) within civil and environmental engineering; provided by a gift from Maynard B. Walker)		\$149,062.66	\$149,062.66
The Thomas and Ann Nordholt Hunnicutt Scholarship Fund (Provide scholarships to students majoring in construction engineering in the College of Engineering; provided by a gift from Ann Hunnicutt)		\$61,438.60	\$61,438.60
The Robert Stewart LEAD Endowment Fund (Support programs to enhance and improve the leadership skills and abilities of young professionals in the industry of agriculture throughout the State of Ohio; provided by gifts from Nationwide Foundation in honor of Robert Stewart)		\$40,000.00	\$40,000.00
The Chrisha L. Siebert Fund in Theatre Technology and Design (Chrisha's Fund) (Support extraordinary production expenses in the Department of Theatre; provided by a gift from her parents, Larry and Helen Siebert in Chrisha's memory)		\$30,000.00	\$30,000.00
The Kraig A. Tallman Memorial Scholarship Fund in Cheerleading (Supplement the student-athlete grant-in-aid scholarship costs of a student athlete who is a member of the varsity cheerleading squad; provided by gifts from his friends and family in memory of the late Kraig A. Tallman)		\$29,045.00	\$29,045.00
The Mark J. Cohen and Rose Marie McDaniel Endowment Fund (Support a distinguished speaker program at the Ohio State University Cartoon Research Library; provided by a gift from Mark J. Cohen and Rose Marie McDaniel)		\$25,865.63	\$25,865.63
Total	\$1,302,252.56	\$634,799.01	\$1,937,051.57

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Establishment of Named Endowed Chair

The Frederick P. Zuspan, M.D. Chair in Obstetrics and Gynecology

The Frederick P. Zuspan, M.D. Chair Fund in Obstetrics and Gynecology was established June 5, 1992, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from Professor Emeritus Frederick P. Zuspan, M.D. (B.A. Biological Sciences 1947, M.D. 1951), his colleagues and friends and the Department of Obstetrics and Gynecology. The funding level for professorship status was reached and the name was revised on July 7, 1995. The funding level for chair status (grandfathered) was reached and the chair was established on October 5, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a faculty chair position in the Department of Obstetrics and Gynecology as recommended by the chairperson of the Department of Obstetrics and Gynecology in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health to the provost and approved by the Board of Trustees.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health, chairperson of the Department of Obstetrics and Gynecology, or program administrative officer in order to carry out the desire of the donors.

\$1,302,252.56 (grandfathered)

Establishment of Named Endowed Funds

Friends of The Ohio State University Endowed Merit Scholarship Fund

The Friends of The Ohio State University Endowed Merit Scholarship Fund was established October 5, 2001, by the Board of Trustees of The Ohio State University with a gift from friends of The Ohio State University.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships for Presidential, Distinguished, Medalist, and University Scholars through the Merit Scholar Initiative. This fund will be administered by the University Honors and Scholars Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the University Development Office that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the Honors and Scholars Program, the appropriate dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$100,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

Ohio Nursery Landscape Horticulture Program Endowment Fund

The Ohio Nursery Landscape Horticulture Program Endowment Fund was established October 5, 2001, by the Board of Trustees of The Ohio State University with the funds accumulated through the educational programming of the annual OSU Nursery Short Course, conducted in cooperation with the Ohio Nursery and Landscape Association.

All funds forwarded are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to further the purpose and function of the Ohio State Nursery Short Course and the Landscape Horticulture Program (including but not limited to faculty and programs in the Departments of Horticulture and Crop Science, Plant Pathology, Entomology, and Food, Agricultural, and Biological Engineering, and School of Natural Resources) and may include but not limited to:

- Support for research and education aspects of the Landscape Horticulture Program;
- Sponsorship of field trips for landscape horticulture students;
- Travel to horticultural conferences for students and faculty;
- Funding for assistantships and fellowships;
- Stipends for student workers;
- Support for research projects that impact the Ohio nursery and landscape industry.

The chairperson for the Department of Horticulture and Crop Science and designated landscape horticulture faculty in the Department of Horticulture and Crop Science shall have the responsibility for and must approve of expenditures from the fund. Student awards will be made in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and the chair of the Department of Horticulture and Crop Science, or their successor(s), in order to carry out the desire of the donors.

\$100,000.00

The Alan G. and Marie Loofbourrow Memorial Fund in the College of Engineering

The Alan G. and Marie Loofbourrow Memorial Fund in the College of Engineering was established October 5, 2001, by the Board of Trustees of The Ohio State University with a gift from the Alan G. Loofbourrow Trust in memory of Marie Loofbourrow and Alan G. Loofbourrow (B.M.E. 1934, Honorary D.S. 1972).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income from this permanent endowment will be reinvested into the principal until the fund's book value reaches \$100,000. At that time distribution toward scholarships and reinvestment will be at the discretion of the dean of Engineering. The scholarships will be named

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Alan G. and Marie Loofbourrow Memorial Fund in the College of Engineering (contd)

for Alan G. and Marie Loofbourrow and be awarded by the dean in consultation with the University Committee on Student Financial Aid to academically superior engineering students. In anticipation of potential significant additions from the Alan G. Loofbourrow Trust, this description includes the fund's potential creation of a named endowed professorship. At the time that the fund's book value reaches the University's Board of Trustees' threshold for an endowed professorship, the fund's income shall be used only to support a named professorship in the College of Engineering. The distinguished holder of the position will be known as the "Alan G. and Marie Loofbourrow Engineering Professor." Appointment to the professorship will be made by the provost at the recommendation of the dean of Engineering and approved by the Board of Trustees.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$99,387.12

Change in Name of Named Endowed Fund

John N. Rayner Alumni, Faculty, and Friends of Geography Fund

The Geography Faculty and Alumni Fund was established March 2, 1990, by the Board of Trustees of The Ohio State University with gifts to The Ohio State University Development Fund from geography faculty and alumni. The fund name was changed on October 5, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for scholarships, prizes, and other expenditures recommended by the majority of the faculty of the Department of Geography. Expenditures from the fund shall be authorized by the chairperson of the Department of Geography. Scholarship recipients shall be chosen in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The George E. Large Memorial Fund

The George E. Large Memorial Fund was established October 5, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Maynard B. Walker (B.C.E. 1954) from Ashtabula, Ohio.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The George E. Large Memorial Fund (contd)

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for capital improvements, such as lab space, equipment, etc., in the civil engineering department and provide scholarship for a deserving student (or students) with financial need majoring in civil and environmental engineering with a 3.0 minimum G.P.A. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the civil engineering department chair in order to carry out the desire of the donor.

\$149,062.66

The Thomas and Ann Nordholt Hunnicutt Scholarship Fund

The Thomas and Ann Nordholt Hunnicutt Scholarship Fund was established October 5, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Ann Hunnicutt (B.S.Ed. 1965).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income from the endowment shall be used to provide scholarships to students majoring in construction engineering in the College of Engineering at The Ohio State University. Preference shall be given to students from the State of Ohio who maintain a grade point average of at least 3.0 on a 4.0 scale. Selection will be made by the college's scholarship committee, in coordination with the University's Office of Financial Aid and formally approved by the dean of the College.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$61,438.60

The Robert Stewart LEAD Endowment Fund

The Robert Stewart LEAD Endowment Fund was established October 5, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Nationwide Foundation in honor of Robert Stewart.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Robert Stewart LEAD Endowment Fund (contd)

The annual income shall be used to support programs to enhance and improve the leadership skills and abilities of young professionals in the industry of agriculture throughout the State of Ohio. The intent of a leadership program is to combine training, travel, and instruction in these areas: (1) leadership skills; (2) agricultural and economic trends; (3) international trade and relations; (4) interpersonal relations and communications skills; (5) local, state, and national political processes; (6) development and welfare of local communities and neighborhoods; and (7) cultural awareness.

The use of the annual income shall be directed by the vice president for Agricultural Administration, in consultation with the director of the Ohio Leadership and Development Program.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and with the director of the Ohio LEAD program or their successor(s) in order to carry out the desire of the donors.

\$40,000.00

The Chrisha L. Siebert Fund in Theatre Technology and Design
(Chrisha's Fund)

The Chrisha L. Siebert Fund in Theatre Technology and Design (Chrisha's Fund) was established October 5, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift in memory of Chrisha Louise Siebert (M.F.A. 1993) by her parents, Larry and Helen Siebert, of Jefferson City, Missouri.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support extraordinary production expenses in varying amounts during the production season in the Department of Theatre. These expenses shall be above and beyond the department's standard allocation to productions and specifically will be used to support unique requirements of production design.

Expenditures shall be based on criteria established by the chairperson of the Department of Theatre in consultation with a committee authorized to advise the chair on this matter. The department may elect to serve as a committee of the whole to render the advice. Each year, any unused income shall be reinvested in the endowment principal.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$30,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Kraig A. Tallman Memorial Scholarship Fund in Cheerleading

The Kraig A. Tallman Memorial Scholarship Fund in Cheerleading was established October 5, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts in memory of the late Kraig A. Tallman, (B.Art Ed. 1979) from his friends and family.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the student-athlete grant-in-aid scholarship costs of a student athlete who is a member of the varsity cheerleading squad pursuing an undergraduate degree at The Ohio State University. The recipient will be selected by the following criteria: financial need, academic achievement, and a one-page essay by the candidates reflecting the candidate's Ohio State Cheerleading experience and answer why they should be selected as the recipient. A three-member panel from the Ohio State Cheerleading Alumni Society will make the final decision in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$29,045.00

The Mark J. Cohen and Rose Marie McDaniel Endowment Fund

The Mark J. Cohen and Rose Marie McDaniel Endowment Fund was established October 5, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Mark J. Cohen and Rose Marie McDaniel of Santa Rosa, California.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a distinguished speaker program at The Ohio State University Cartoon Research Library. Income from the endowment may also be used for research fellowships as chosen by a committee chaired by the curator of the Cartoon Research Library.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of Libraries and curator of the Cartoon Research Library in order to carry out the desire of the donors.

\$25,865.63

ACCEPTANCE OF THE GIFT OF REAL PROPERTY

Resolution No. 2002-41

**0.96 ACRES OF UNIMPROVED LAND
RED ROCK BOULEVARD, GROVE CITY, OHIO**

Synopsis: Acceptance of the gift of 0.96 acres of unimproved real property in Grove City, Ohio, is proposed.

WHEREAS M/I Schottenstein, Inc., is the owner of approximately 0.96 acres of unimproved real property located on Red Rock Boulevard in Grove City, Ohio, and desires to make a gift of this property to The Ohio State University; and

WHEREAS this property has an appraised value of \$50,000 and is contiguous to property owned by the University, and the appropriate University offices have determined that acceptance of this gift is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That this property be accepted as a gift to The Ohio State University from M/I Schottenstein, Inc., and that the President and/or Senior Vice President for Business and Finance be authorized to acquire title to this property in the name of the Board of Trustees of The Ohio State University, upon such terms and conditions deemed to be in the best interest of the University.

(See Appendix XIII for background information and map, page 465.)

Upon motion of Ms. Davidson, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Sofia, Slane, McFerson, and Judge Duncan, and Mses. Longaberger, Hendricks, and Davidson.

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STUDENT RECOGNITION AWARD

Mr. Shultz:

From time to time the Board of Trustees has the opportunity to put a face on the academic excellence of this University through the Trustee Student Recognition award. Nominated by Dean St. Pierre, from the School of Public Health, we are proud to recognize David Atrubin, a student in the Master of Public Health program specializing in epidemiology. David exemplifies what it means to be a student at The Ohio State University by maintaining a GPA of 3.98, placing him first in his program.

However, David is not only an outstanding student, he is also serving the population of Ohio through his research in the field of agricultural injury and health hazards. This research, with both Dr. Mac Crawford and Dr. Jay Wilkins, has been an attempt to measure the incidents and risk factors for injury among farm kids in central Ohio. This research could help parents make better decisions about what farm tasks they allow their children to perform, and ultimately reduce the burden of fatal and non-fatal injuries in Ohio and in our nation.

David also finds time to serve as a student member on the Board of Preventive Medicine/School of Public Health Alumni Society. He is an avid athlete, participating in ice hockey, tennis, and golf. However, what I found most impressive about David, were the comments he received from his colleagues.

STUDENT RECOGNITION AWARD (contd)

Mr. Shultz: (contd)

Dr. Crawford describes David as curious and diligent, as well as an excellent teacher always unselfish and providing help to other students.

After graduation, David would like to secure a full-time position in a local public health agency putting to work the knowledge and skills he has acquired as an epidemiologist. In the long term, David is interested in pursuing a Ph.D. degree in epidemiology.

David, on behalf of President Kirwan and the Board of Trustees, I wish to congratulate you on your accomplishments, thank you for furthering the reputation of our University, and, finally, wish you the best in all of your goals, as I present to you the Student Recognition Award.

Mr. David L. Atrubin:

I'd like to thank the Board of Trustees for this award. I was actually in Minnesota this past week when I learned of receiving it. I was pretty excited, but my parents had a whole different level of excitement when they learned of the award. I drove back and got in late last night.

I'd definitely like to thank the Board, but also my advisor, Dr. Jay Wilkins, who was not able to make it this morning, and Dr. Mac Crawford. I've had the opportunity to work with them both in the classroom on a research project, for which I served as a graduate research associate, and on my master's thesis, with them both serving as my advisors. It's really been a joy to work with them both. Their sense of humor has always kept the working environment pleasurable. Lastly, I'd like to thank my parents who encouraged me to go back to school after a few years off.

Thank you.

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GRADUATION RATES FOR STUDENT ATHLETES

President William E. Kirwan:

We would like to make a presentation today on a matter that I know has been a great concern to the Board, and that has to do with the academic performance of our student athletes. The Board, myself, and the University community, all feel that it is not acceptable to have outstanding athletic performance by student athletes alone. We must also be a national leader in their academic performance.

Quite frankly, we were not happy with where we were. A couple of years ago several of us came together to talk about all of the good things going on at the University in this regard, but maybe we weren't as coordinated as we should be and maybe we could learn from some best practices elsewhere. I am very pleased with the results that have come forth from the good efforts of Andy Geiger, the Office of Academic Affairs, David Frantz, and others. So we would like to share with the Board some of the steps that have been taken.

We have with us this morning: Martha Garland, vice provost for Undergraduate Education; Kate Riffie, who heads the academic support unit; Andy Geiger, athletic director; and David Frantz, a distinguished academic professor of

GRADUATION RATES FOR STUDENT ATHLETES (contd)

President Kirwan: (contd)

English, who has been working on this matter in coordination between the Athletics Department and the Office of Academic Affairs. Martha --

Dr. Martha M. Garland:

As the President mentioned, we have worked together to develop a new organizational approach to working carefully with the academic success of our undergraduate students. From my perspective, the key feature is that we, with respect to academic performance, have developed a reporting line directly to the Provost so it would be overseen in a direct way.

In putting that into place and implementing it, we asked David Frantz, professor of English, if he would take the responsibility for being the academic liaison to the Department of Athletics. David has been a very active member of the Athletic Council -- the faculty body that works with athletic programs -- over the years, and has worked very vigorously and has a wonderful record with our honors students. He is also one of our most beloved and effective professors.

David and Andy are going to tell you about the arrangements that we have been putting into place, and some of the changes that we think are going to be very beneficial to our student athletes. David --

Professor David O. Frantz:

Thanks, Martha. I don't want to spend a lot of time on statistics, because I think there are other things that are more significant in terms of the changes. The statistics are a road map of where we've been and where we are going.

There are two sheets being handed out to you. The first sheet shows a five-year trend for Ohio State University's graduation rates for all students; graduation rates for aided student athletes; and then breaking it down by men, minority men, football, women, and minority women. The second sheet shows graduation rates for athletes and other students who entered college in 1994 or 1995 in the Big Ten. The other institutions on that sheet are the additional institutions that are our benchmark institutions, and then the bottom two institutions are listed because those are two of the three schools that we visited when we wanted to take a look at practices at other institutions.

I must stress to you what we have here is a snapshot of one class. When you go to get this data from the NCAA or the *Chronicle of Higher Education*, in addition to that one-year snapshot, they will also give you three and four-year averages. So you will always see different figures and there is a good reason for the averaging because some years can be anomalies.

What I do want to tell you about the 1994 cohort is that it is not an anomaly. As we look to the future, we know that we are on this track for next year and the year beyond that, as well. So we are very encouraged by that and very encouraged not only by the overall student athlete rate, but also by the football graduation rate.

What I do want to do is outline very quickly for you a few of the steps that we have taken. I want to begin by emphasizing that what was put in place was a partnership and it wouldn't have worked if the athletic director had not been so committed to our success in this area. One of the interesting, and for me most rewarding, parts of what we are doing is working closely with Andy Geiger. Dr.

GRADUATION RATES FOR STUDENT ATHLETES (contd)

Professor Frantz: (contd)

Kate Riffie and her staff are the ones who actually do all the work and I want to recognize all that she and her staff have done.

Let me give you just a few points of what changes we have made. Several years ago we changed the way in which we measured quarterly accomplishments. When we look at grades, we look not just at grades, but also at hours earned and degree hours earned, because the key is making progress towards graduation.

Another program that has been going on for a number of years now is an outreach program where we reach out to student athletes who did not complete their degrees and try to get them back to finish their work. It is important for you to understand that sometimes we don't get them back in time to fall into that six-year window, which is what you have measured here. But we think it's very important to get them back whenever we can get them.

Within Student Athlete Support Services itself, Kate has done a major reorganization of her staff. There is now an academic resource coordinator. The function of that person is to connect the Student Athlete Support Services group to all of the other entities on campus that can be helpful to us -- the Math Stat lab, the Writing Center, Disability Services, etc. That person is also helping to provide oversight for our tutors and mentors, and devising the new system of training tutors. The SASSO counselors are involved in weekly team meetings and providing weekly status reports on each and every student athlete.

We have had significant changes in the study tables and at the Younkin Success Center itself. This fall we face the best problem we could possibly face -- the Center is overrun with students. That is the kind of problem that you want to have. We are having to hire more proctors and tutors to handle what is going on at the Center.

Coaches are now regularly in the Younkin Success Center seeing what their student athletes are doing. In particular, football has reorganized the way it is doing their study tables. They now have some groups who are in the Center both morning and night.

However, I think the most important step we have taken is one that for the long haul will make the biggest difference. Starting last fall, Andy, Kate, and I did a pre-admissions review of every student athlete to whom we were going to make an offer to come to Ohio State. It was a pre-admissions screening, so when all was said and done, we had only three student athletes who ended up having to go before the Committee on Admissions. Every other student athlete was admitted without even having to be looked at in that process.

Finally, I'd like to say that I'm an English professor, obviously, not a math person. Numbers are important because that is how we're measured, but I don't think we should get wrapped up in statistics. We know the numbers for the years ahead will be even better. But what has really changed is the climate and commitment.

Our job is to take these young people, who do identify themselves primarily as athletes -- aided student athletes, that is how they first think of themselves -- and get them engaged intellectually and help them find what will work for them academically. This is the great challenge. If we do that, we will succeed and succeed at even better rates than we are now. For me, that is the really engaging and wonderful part of this challenging process. Andy --

GRADUATION RATES FOR STUDENT ATHLETES (contd)

Mr. Andy Geiger:

Thank you, David. I'm excited to greet you this morning and talk about this vital interest that we all have in graduation of our student athletes or as I prefer to call it, "education of our student athletes in a total way."

The Student Athlete Support Services Organization, lead by Dr. Kate Riffie, has nine full-time staff, six graduate students who work as mentors for groups of student athletes, plus four additional part-time mentors, a tutorial staff of over 60, and an annual operating budget of \$1.2 million, supported entirely by the athletics program. As Dr. Frantz has pointed out to you, they work on academic progress, which is the most important component of working towards a degree. We have focused too often and too much on grade point average, and not enough on the quality and quantity of the work accomplished towards an identified and defined degree at the University.

They also help students with career development and work on life skills development. We also have an outreach program, which we're very proud of. This is where student athletes that have completed their eligibility at the University -- in terms of athletics, but have not completed a degree -- can come back and work with us to complete that degree.

The Younkin Success Center has meant a great deal. We're proud that the Athletics Department had a great deal to do with making that Center possible for all students on the campus. We're also proud of the fact that it's not attached to or near the Woody Hayes Athletic Center. This is, after all, not the University of the Woody Hayes Athletic Center, but is The Ohio State University. The academic effort for all of our students should be centered on the main campus and as close to the library as we can reasonably get. And the Younkin Success Center is, indeed, its own success.

I want to emphasize that statistics are okay and can give us a sense of how we're doing. We will never bat 100 percent because it's a human endeavor and Ohio State, while a place that we love, cherish, and believe in, is not necessarily for everybody. Some students will not complete their work here, but we will strive for 100 percent -- we will do it one student at a time. Each student is important and his or her progress towards a degree is what Kate and her staff concentrates on.

Climate is extremely important. It is a priority for everybody who works in our Athletics Department to help the young people achieve their dream of athletic success and a degree from this University.

In our hiring processes for coaches, we concentrate very much on the track record that those coaches have had at places they have been prior to coming to Ohio State and the feedback that we get from those that have worked with those individuals. The evaluation process for coaches is as much based upon the achievement of their student athletes off the field as on the field. Their recruiting values are very important to us. As David has outlined, we screen the recruits before they ever go into the admissions process. The evaluation also questions whether the coaches are supportive of the academic mission and understand the marriage that must exist between the athletic and academic enterprises of this institution.

Finally, I want to emphasize again something that David talked about. Collaboration is an incredibly important part of the success in any part of the academic mission of the University. We have marvelous collaboration with the Office of Academic Affairs. The appointment of Dr. Frantz has been a godsend

GRADUATION RATES FOR STUDENT ATHLETES (contd)

Mr. Geiger: (contd)

for us and the communication now that exists among the various constituencies that worry about these things is outstanding. We are able to tap into and use University services to a higher level than we ever have before, and that's really been valuable for our student athletes.

As an identifiable group at the University, the student athletes allow us to be a laboratory model for other efforts that can go on at the University to help us retain students, and graduate our students whether they're student athletes or not. We're proud to be part of that effort. Our Athletic Council has fifteen individuals, eight of whom are members of the faculty, and their interest, zeal, and service in this mission has been very important.

Finally, one of the very important changes that have taken place recently is that the Athletics Department now reports to the Office of the President. The leadership provided by our president, and the enthusiasm and encouragement that he demonstrates for our students has been an inspiration for all of us. So we really appreciate that.

Thank you. We are now available for questions, Mr. President.

President Kirwan:

Mr. Chairman, I would like to say one quick thing. Our distinguished English professor has made it clear that he is not a numbers guy, but I'm a mathematician – a numbers guy. I look at these numbers and remember that a year ago we got a fair amount of publicity in the local media about the graduation rate of our football team. I think it is worth noting on this occasion, the change in the football graduation rate from 2000 to 2001. I hope I'm not overstating, but I think we have a pretty good sense that that number that we see in 2001 is going to be at least that good in 2002.

Dr. Kate Riffie:

That is correct.

Mr. Geiger:

Our intelligence is indicating that.

Professor Frantz:

Let me just say one thing about Kate's program and what we are able to do now. Obviously, this is not a new issue and it's one we've been addressing for some time. But the time lag, in terms of catching up, is enormous. We are now able to be proactive. So that the 1996 cohort is actually a group where we can still change some of those numbers. We have some student athletes here and it is making a plan and making sure that they finish within that six-year window.

We are done with the catch up. Now it is being proactive and working ahead. I think there's no question -- again coming back to climate – the change is night and day with the support that we are getting in terms of that program and in terms of academic commitment.

Mr. Brennan:

Questions? Dimon --

GRADUATION RATES FOR STUDENT ATHLETES (contd)

Mr. McFerson:

Professor Frantz, I'm a little confused. Listed on the sheet it says, "All aided student athletes." Would you describe what a student athlete is? Some try out for teams and don't make it, some try out for teams and make it, but don't letter, and others letter. What is the definition?

Professor Frantz:

For example, the number of aided student athletes is 100. We have 400 plus students who are aided student athletes right now and we have 800 plus student athletes. So in addition to the aided student athletes, we have another 400 plus that are actively on teams, but they're not on aid. Every student athlete gets our support, but the number that gets counted here are the ones who come in on an athletic grant-in-aid.

Mr. McFerson:

What would the numbers be for the success rates for the non-aided student athletes?

Dr. Riffie:

That is a very good question. Now that we're able to play catch up and get to the point where we are fully aware of our numbers to be proactive, that is one particular statistic that we haven't paid a whole lot of attention to. We are starting to now see how that compares. But those 100 are just the incoming freshman in the 1994 class that were put on any type of athletic grant-in-aid. That's a direction that we're going next – "How does that compare to the other student athletes who are not aided?"

Mr. Brennan:

Does anybody keep the statistics that Dimon asked about? Is that provided by any of the schools?

Professor Frantz:

I think the reason the statistics aren't kept systematically is that the NCAA doesn't measure those. It's not what gets reported.

Mr. Brennan:

Do I understand, Dr. Riffie, that you intend to create such a report in the future?

Dr. Riffie:

Typically what happens with our walk-on student athletes is they tend to be a little bit more transient in and out of teams. So it's more difficult to track an individual who comes out for track because they ran track in seventh grade, they make our team for a quarter, but they never compete so they leave.

Mr. Brennan:

The definition of student athlete is what is going to cause you confusion.

GRADUATION RATES FOR STUDENT ATHLETES (contd)

Dr. Riffie:

Correct. The student athlete is defined as anyone who has appeared on an institution squad list, so a squad list could be a number of people that changes. We get some questions as we do our quarterly grade report, "How does the total number of student athletes from fall quarter differ from the number winter quarter?" The reason is that the number is changing every single day. So it's much more difficult to track. But that is something that we're looking at, now that we have a handle on it.

Mr. Brennan:

I'm a bit old-fashioned, does anybody keep four-year statistics anymore?

Dr. Riffie:

No.

Mr. Brennan:

Any other questions?

Ms. Longaberger:

A comment. I was at commencement last summer on the Oval and was quite impressed that Eddie George, who is a very high profile athlete, would come back to college and actually attend graduation. I wondered what kind of impact it has on your ability to bring students back when they see an Eddie George or Clark Kellogg come back and get a degree. Does it have any impact?

Mr. Geiger:

I think it has quite an impact. One of my favorite stories is the story about Clark Kellogg who came back. Our arrangement for students that come back is that we'll pay your tuition, even if you're a reasonably wealthy person, if you work for 10 hours a week in the Athletics Department. Clark, who is a very visible analyst and public figure in television, handed out socks and other lingerie items in the St. John Arena equipment room 10 hours a week. All of our student athletes that worked and practice in that area had contact with him. We thought that that was the right kind of approach, and we're very pleased with that program – it works well.

President Kirwan:

David, it's been pointed out that members of the audience don't have the data that you handed out, so I hope you will make sure that they receive a copy.

Professor Frantz:

I will do so.

(See Appendix XIV for background information, page 467.)

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October 5, 2001 meeting, Board of Trustees

Thereupon the Board adjourned to meet Friday, November 2, 2001 at The Ohio State University Longaberger Alumni House, Columbus, Ohio.

Attest:

William J. Napier
Secretary

David L. Brennan
Chairman

Impact Statement

August 23, 2001

The Medical Staff Bylaws of The Ohio State University Hospitals are amended to accomplish the following objectives:

- I. Unify terminology and update the bylaws in a comprehensive manner to meet recent accreditation standards.
- II. Modify corrective action provisions to create informal and formal peer review provisions.
 - A. Enhance early resolution of problems
 - B. Streamline process by eliminating the grievance committee
 - C. Delineate clear time frames
- III. Amend hearing and appeal procedures
 - A. Establish clear time frames
 - B. Limit appeals to the hospitals board by eliminating appeals to the President and to the Board of Trustees
 - C. Restrict appeals to the record established by the Medical Staff Administrative Committee
- IV. Control the granting of temporary privileges
- V. Provide for privileging of licensed healthcare professionals
 - A. Establish disciplinary and appeal rights for licensed healthcare professionals
- VI. Update medical staff committees to reflect current activity

Amended Medical Staff Bylaws of The Ohio State University Hospitals

3335-43-01 Medical staff name.

The board of trustees of the Ohio state university, by official action on September 13, 1963, established "the Ohio state university hospitals." ~~The board of trustees of the Ohio state university, on November 30, 1979, amended rule 3335-1-03 of the Administrative Code to create a university hospitals board and on April 4, 1980, appointed twelve citizen members and three trustee members to serve on the hospitals board. According to~~ In accordance with Chapters 3335-93-01 to 3335-93-03 of the Administrative Code, the Ohio state university hospitals board designated that has delegated to the medical staff of the Ohio state university hospitals be delegated the responsibility to prepare and recommend adoption of these bylaws. ~~The dean of the college of medicine and public health serves as the chief medical officer of the hospitals and in this capacity is accountable directly to the vice president for health sciences and to the board.~~ "The medical staff of the Ohio state university hospitals" shall be the name of the hospitals' medical staff organization.

3335-43-02 Purpose.

The purpose of the medical staff of the Ohio state university hospitals shall be:

- (A) To strive to maintain ~~exemplary~~ quality standards of patient care for all patients admitted to the Ohio state university hospitals, consistent with an active teaching environment, realizing that the care and treatment of the individual patient is the medical responsibility of the member of the honorary, attending, courtesy, and community affiliate medical staff to whose care the patient is admitted or transferred.
- (B) To support educational and research programs; elevate and advance the educational standards of our ~~profession~~ professions, including, but not limited to, ~~our~~ pre- and post-M.D. students, nurse students, graduate nurse students, students of the allied medical professions, and students of other health professional colleges; and provide research programs to enhance and advance the educational and patient-care programs.
- (C) To provide a means whereby medical problems may be reviewed; policies and procedures discussed; and to provide a means for establishing and maintaining standards of professional, medical and educational performance, organization, and discipline within the medical staff and harmonious cooperation and understanding among the units comprising the Ohio state university hospitals.
- (D) Unchanged.

3335-43-03 Patients.

- (A) The continuous care and treatment of individual patients is the medical responsibility of the member of the honorary, attending, courtesy and community affiliate medical staff to whose care the patient is admitted or transferred within the Ohio state university hospitals and to licensed health care professionals being granted clinical privileges under these bylaws.
- (B) There shall be only one category or classification of patients in the Ohio state university hospitals, and those patients are the private patients of the medical staff under whose care they are admitted. Patients admitted to the Ohio state university hospitals who, at the time of admission, have not requested or selected a member of the medical staff to attend them shall be assigned ~~for their care and treatment~~, by the chief of the appropriate clinical division or department or their designees, to a member of the medical staff for their care and treatment.
- (C) All patients admitted to the Ohio state university hospitals should cooperate and be an integral part of the teaching program of the college of medicine and public health. Should a patient, or on the behalf of the patient, the patient's next of kin, or guardian, refuse to participate or cooperate in the teaching program of the Ohio state university hospitals or the college of medicine and public health, the medical staff member responsible for the care and treatment of the patient will encourage participation in the Ohio state university's teaching programs. Students, including pre- and post-M.D., but not limited thereto, ~~are~~ shall be under the direction and control of the members of the medical staff to whom the patient is assigned

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upon admission to the ~~hospital~~ Ohio state university hospitals or transfer within the Ohio state university hospitals' services.

3335-43-04 Membership.

(A) Qualifications.

- (1) Membership on the medical staff of the Ohio state university hospitals is a privilege extended to doctors of medicine, osteopathic medicine, dentistry, and to practitioners of psychology and podiatry who consistently meet the qualifications, standards, and requirements set forth in the bylaws, rules and regulations of the medical staff, the university hospitals board and the board of trustees of the Ohio state university. Membership on the medical staff is available on an equal opportunity basis without regard to race, color, creed, religion, sexual orientation, national origin, sex, age, handicap, or Vietnam-era veteran status. Doctors of medicine, osteopathic medicine, dentistry, and practitioners of psychology and podiatry in faculty and administrative positions who desire medical staff membership shall be subject to the same procedures as all other applicants for the medical staff.
- (2) All members of the medical staff of the Ohio state university hospitals shall, except as specifically provided in these bylaws, be members of the faculty of the Ohio state university college of medicine and public health, or in the case of dentists, of the Ohio state university college of dentistry, and shall, except for members of the limited staff, be duly licensed to practice in the state of Ohio. Members of the limited staff shall possess a valid training certificate, and shall comply with provisions of state law and the regulations of the state medical board. Only those physicians, dentists, and practitioners of psychology and podiatry who can document their education, training, experience, competence, adherence to the ethics of their profession, dedication to educational and research-goals, and ability to work with others with sufficient adequacy to assure the university hospitals board and the board of trustees of the Ohio state university that any patient treated by them at university hospitals will be given the high quality of medical care provided at university hospitals, shall be qualified for membership on the medical staff of the Ohio state university hospitals.

All members of the medical staff will comply with medical staff and the Ohio state university policies regarding employee and medical staff health and safety; uncompensated care; and will comply with appropriate administrative directives and policies to avoid disrupting those operations of the Ohio state university hospitals which adversely impact overall patient care or which adversely impact the ability of the Ohio state university hospitals employees or staff to effectively and efficiently fulfill their responsibilities. All members of the medical staff will comply with policies adopted by the medical staff administrative committee, including but not limited to policies on disruptive behavior, conflict of interest, and access and communication guidelines.

- (3) Unchanged.
- (4) Exclusion of any medical staff member or licensed health care professional from participation in any federal or state government program or suspension from participation, in whole or part, in any federal or state government reimbursement program, shall result in immediate termination of membership on the medical staff of the Ohio state university hospitals and the immediate termination of clinical privileges at the Ohio state university hospitals as of the effective date of the exclusion or suspension. If the medical staff member's or licensed health care professional's participation in these programs is fully reinstated, the affected medical staff member or licensed health care professional shall be eligible to apply for membership and clinical privileges at that time. It shall be the duty of all medical staff members and licensed health care professionals to promptly inform the medical director of any action taken, or the initiation of any process which could lead to such action taken by any of these programs.

~~(4)~~

(5) An applicant for membership shall at the time of appointment or reappointment, be and remain board certified in a medical specialty approved by the American medical association and American board of medical specialties, or other applicable certifying boards for doctors of osteopathy, podiatry, psychology, and dentistry. An applicant who is an active candidate for board certification at the time of initial appointment or reappointment shall have three years from the date eligibility was first attained to become board certified. Board certification is a continuing requirement. Whenever recertification is required by a sub-specialty board approved herein, applicants for appointment or reappointment shall meet the terms of recertification established by their respective sub-specialty board or boards. Failure to meet or maintain board certification ~~will~~ shall result in immediate termination ~~from~~ of membership on the medical staff of the Ohio state university hospitals. This requirement may be waived by action of the medical staff administrative committee, upon the recommendation of the credentials committee or the medical director of ~~OSU~~ the Ohio state university hospitals, or as specifically provided in these bylaws.

(6) Any medical staff member whose membership has been terminated pursuant to paragraphs (A)(4) or (A)(5) of this rule shall be entitled to request a hearing and appeal in accordance with rule 3335-43-06 of the Administrative Code. Any licensed health care professional whose clinical privileges have been terminated pursuant to paragraph (A)(4) of this rule may request an appeal in accordance with paragraph (F)(6)(j) of rule 3335-43-07 of the Administrative Code.

(B) Application for membership.

Initial application for medical staff membership for all categories of the medical staff except community affiliate staff shall be made by the applicant to the chief of the clinical department on forms prescribed by the medical staff administrative committee stating the qualifications and references of the applicant and giving an account of the applicant's current licensure, relevant professional training and experience, current competence and ability to perform the clinical privileges requested. All applicants for initial appointment to the community affiliate staff shall be made to the medical director. All applications for appointment must specify the clinical privileges requested. Applications may be made only if the applicant meets the qualifications are fulfilled as outlined in paragraph (A) of this rule. ~~‡~~ The application shall include written statements of the applicant to abide by the bylaws, rules and regulations of the medical staff, the university hospitals board, and the board of trustees of the Ohio state university. The application shall also contain a statement acknowledging that membership on the medical staff requires participation in the peer review process of evaluating credentials, medical staff membership and clinical privileges, and that a condition for membership requires mutual covenants between all members of the medical staff to release one another from civil liability in this review process as long as the peer review is not conducted in bad faith, with malice, or without reasonable effort to ascertain the accuracy of information being disclosed or relied upon. In addition for community affiliate staff in order to optimize the clinical organization resource utilization and planning of the Ohio state university hospitals, the medical director may require that the community affiliate staff member identify categories of diagnosis, extent of anticipated patient activity, and service areas to be utilized and may prepare a statement of participation for the applicant which shall be made a part of the application for appointment. A separate record shall be maintained for each applicant requesting appointment to the medical staff.

(C) Terms of appointment.

Initial appointment to the medical staff, ~~will~~ shall be for a period extending to the end of the fiscal year (first of July through thirtieth of June). Reappointment to the medical staff shall be done on a regular basis for a period not to exceed twenty-four months. Initial ~~appointments~~ appointment, ~~except for appointment to the honorary and or limited medical staff categories,~~ will shall be provisional for six months regardless of the date of the appointment. During the period of the provisional appointment all applicants ~~are~~ shall be subject to continuous review and reconsideration pursuant to these ~~medical staff~~ bylaws. Following the six-month period if no adverse action has been taken the appointment shall no longer be provisional.

(D) Unchanged.

(E) Procedure for appointment.

(1) The written and signed application for membership on the medical staff shall be presented to the applicable chief of the clinical department, or in the case of community affiliate staff, to the medical director. The applicant shall include in the application a signed statement indicating the following:

~~(a) that if~~ If the applicant should be accepted to membership on the medical staff, ~~he or she~~ the applicant agrees to be governed by the bylaws, rules and regulations of the medical staff, the university hospitals board and the board of trustees of the Ohio state university.

~~(b) Signing the application also constitutes a consent~~ The applicant consents to be interviewed in regard to the application.

~~(c) The applicant~~ authorizes the Ohio state university hospitals to consult with members of the medical staffs of other hospitals with which the applicant has been or has attempted to be associated, and with others who may have information bearing on the applicant's competence, character and ethical qualifications.

~~(d) The applicant also~~ consents to the Ohio state university hospitals' inspection of all records and documents that may be material to the evaluation of the applicant's professional qualifications and competence to carry out the clinical, ~~medical~~ and educational privileges for which the applicant is seeking as well as the applicant's professional ethical qualifications for medical staff membership.

~~(e) and further~~ The applicant releases from any liability (i) all representatives of university hospitals for acts performed concerning this process in connection with evaluating the applicant's credentials or releasing information to other institutions for the purpose of evaluating the applicant's credentials in compliance with these bylaws performed in good faith and without malice, and (ii) all third parties who provide information, including otherwise privileged and confidential information, to members of the medical staff, the Ohio state university hospitals staff, Ohio state university hospitals board members and members of the Ohio state university board of trustees concerning the applicant's credentials performed in good faith and without malice.

~~(f) The applicant has an affirmative duty to disclose any prior or~~ termination, voluntary or involuntary, current loss, restriction, denial, or the voluntary or involuntary relinquishment of any of the following: professional licensure, board certification, DEA registration, membership in any professional organization or medical staff membership or privileges at any other hospital or health care facility.

~~(g) The applicant further agrees to disclose to the medical director of the Ohio state university hospitals the initiation of any process which could lead to such loss or restriction~~ of the applicant's professional licensure, board certification, DEA registration, membership in any professional organization or medical staff membership or privileges at any other hospital or health care facility.

~~(h) Acceptance~~ The applicant agrees that acceptance of membership on the medical staff of the Ohio state university hospitals authorizes the Ohio state university hospitals to conduct any appropriate health assessment including but not limited to drug or alcohol screens on a practitioner at any time during the normal pursuit of medical staff duties, based upon reasonable cause as determined by the chief of the practitioner's clinical department or the medical director of the Ohio state university hospitals or their authorized ~~designee~~ designees.

~~(2) The purpose of this~~ the health assessment shall be to ensure that the member of the medical staff is able to fully perform and discharge the clinical, educational, administrative and research responsibilities which the member is permitted to exercise by reason of

medical staff membership. At the time of the initial request for a health assessment, and at any time a medical staff member refuses to participate as needed in a health assessment, including but not limited to a drug or alcohol screening, the chief of the clinical department or the medical director may impose a summary suspension per paragraph (D) of rule 3335-43-05 of the Administrative Code. Upon request of the medical staff administrative committee or university hospitals board, the applicant will document ~~his or her~~ the applicant's physical and mental status with sufficient adequacy to demonstrate that any patient treated by the applicant will receive care of a generally professionally recognized level of quality and efficiency. The conditions of this paragraph shall be deemed continuing and may be applicable to issues of continued good standing as a member of the medical staff.

~~(2)~~

(3) An application for membership on the medical staff shall be considered complete when all the information requested on the application form is provided, ~~and~~ the application is signed by the applicant and the information is verified. A completed application must contain:

(a) Peer recommendation from an individual(s) knowledgeable about the applicant's clinical and professional skills.

(b) Evidence of required immunizations.

(c) Evidence of ~~malpractice insurance~~ current professional liability coverage.

(d) Satisfaction of ECFMG requirements, if applicable.

(e) Verification by primary source documentation of:

(i) Information from all prior and current insurance carriers concerning claims, suits, and settlements, if any;

~~(i)~~

(ii) Current and previous State state licensure-;

~~(ii)~~

(iii) Faculty appointment-;

~~(iii)~~

(iv) DEA registration-;

~~(iv)~~

(v) Graduation from an accredited medical school.

~~(v)~~

(vi) Successful completion or record of post M.D. graduate medical education-; and

~~(vi)~~

(vii) Board certification or active candidacy for board certification.

(f) Information from the national practitioner data bank and AMA master file.

(g) Verification that the applicant has not been excluded from any federally funded health care program.

(4) ~~Each~~ The chief of a the applicable clinical department ~~will~~ shall be responsible for investigating and verifying the character, qualifications, and professional standing of the applicant by making inquiry of the primary source of such information and shall within sixty days of receipt of the signed application, submit a report of those findings

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along with a recommendation on membership and clinical privileges to the medical director of the Ohio state university hospitals.

- (5) The medical director shall receive all initial signed and verified applications from the chief of the clinical department and shall make an initial determination as to whether the application is complete.

The medical director ~~will~~ shall forward all complete applications to the credentials committee. The applicant shall have the burden of producing information for an adequate evaluation of applicant's qualifications for membership and for the clinical privileges requested. ~~Failure of~~ If the applicant fails to complete the prescribed forms or fails to provide the information requested within the sixty-day period for approval shall be grounds for denial of the application sixty days of receipt of the signed application, processing of the application shall cease and the application shall be deemed to have been voluntarily withdrawn which action is not subject to hearing or appeal pursuant to rule 3335-43-06 of the Administrative Code.

~~If a completed appointment application does not receive the report and recommendation by the chief of the applicable clinical department does not submit a report and recommendation on a timely basis, # the completed application shall be forwarded to the medical director for presentation to the credentials committee on the same basis as other applicants. This action shall continue the applicant's status and privileges, if any, and creates no vested rights for the duration of the appointment period, only until such time as the processing of the application is concluded.~~

- (6) Completed applications ~~will~~ shall be acted upon as follows:

- (a) ~~Thirty days for review by~~ By the credentials committee within thirty days after receipt of a completed application from the medical director.
- (b) ~~Thirty days for review by~~ By the medical staff administrative committee within sixty days after receipt of a completed application and the report and recommendation of the credentials committee.
- (c) ~~Sixty days for review by~~ By the university hospitals board within sixty days after receipt of a completed application and the report and recommendation of the medical staff administrative committee.

All applications shall be acted upon by the Ohio state university hospitals board within one hundred ~~twenty~~ fifty days of receipt of a ~~complete~~ completed application. These time periods are deemed guidelines only and do not create any right to have an application processed within these precise periods. These periods may be stayed or altered pending receipt and verification of further information requested from the applicant, or if the application is deemed incomplete at any time. If the procedural rights specified in rule 3335-43-06 of the Administrative Code are activated, the time requirements provided therein govern the continued processing of the application.

~~(3)~~

- (7) The credentials committee shall review the application, evaluate and verify the supporting documentation, references, licensure, the chief of the clinical ~~departments~~ department's report and recommendation, and other relevant information. The credentials committee shall ~~access primary sources including but not limited to the AMA master file, national practitioner data bank, and the respective specialty and sub-specialty certification boards. The credentials committee shall review all aspects of the application for membership qualifications and for clinical privileges. The award or denial of clinical privileges shall be based on the ability of the applicant to properly perform the privileges and functions requested~~ examine the character, professional competence, qualifications and ethical standing of the applicant and shall determine, through information contained in personal references and from other sources available to the credentials committee, including an appraisal from the chief of the clinical department in which clinical privileges are sought, whether the applicant has

established and meets all of the necessary qualifications for the category of medical staff membership and clinical privileges requested.

The credentials committee shall, within ~~sixty~~ thirty days from receipt of a complete application, make a recommendation to the medical director that the application be accepted, rejected, or modified. The medical director ~~will~~ shall forward the recommendation of the credentials committee to the medical staff administrative committee ~~for final action within the medical staff.~~ The credentials committee or the medical director may recommend to the medical staff administrative committee that certain applications for appointment be reviewed in executive session. ~~The final action recommendation~~ of the medical staff administrative committee regarding an appointment decision will shall be made within sixty days of receipt of the credentials committee recommendation and ~~will~~ shall be communicated by the medical director, along with the recommendation of the medical director to the professional affairs, research and education committee of the university hospitals board, and thereafter to the university hospitals board. ~~The~~ When the Ohio state university hospitals board ~~shall make their recommendation to the board of trustees of the Ohio state university within sixty days of the receipt of the recommendation of the medical staff administrative committee and will be communicated through the president of the Ohio state university~~ has acted, the chairperson of the board shall instruct the medical director to transmit the final decision to the chief of the clinical department and applicant and, if appropriate, to the director of the applicable clinical division. The chairperson of the board shall also notify the dean of the college of medicine and public health and the executive director of the Ohio state university hospitals of the decision of the board.

(4)

(8) ~~Upon receipt of a recommendation for non-appointment by the credentials committee, or at~~ At any time the medical staff administrative committee first recommends non-appointment of an initial applicant for medical staff membership ~~or recommends denial of any clinical privileges requested by the applicant,~~ the medical staff administrative committee shall require the medical director to notify the applicant by certified return receipt mail that the applicant may request an evidentiary hearing as provided in paragraph (D) of rule 3335-43-06 of the Administrative Code. The applicant shall be notified of the requirement to request a hearing as provided by paragraph (B) of rule 3335-43-06 of the Administrative Code. ~~If a hearing is properly requested, the applicant will shall~~ be subject to the rights and responsibilities of rule 3335-43-06 of the Administrative Code. If an applicant fails to properly request a hearing, the medical staff administrative committee shall accept, reject, or modify the application for appointment to membership and clinical privileges.

~~Following receipt of the written report and recommendation together with the transcript of the hearing and all other documentation provided by the parties to the hearing committee, the medical staff administrative committee shall accept, reject, or modify the application for membership and clinical privileges. The medical staff administrative committee may request additional information, may remand the matter back to the hearing committee, or may take any action it deems necessary to render its decision. The applicant shall exercise only those privileges applied for and granted, if any, by the medical staff administrative committee and the university hospitals board during the pendency of the application process.~~

The ~~decision~~ final recommendation of the medical staff administrative committee shall be directly communicated to the university hospitals board by the medical director, who shall make a separate recommendation to the university hospitals board.

The ~~When the Ohio state~~ university hospitals board ~~shall make their recommendation to the board of trustees of the Ohio state university by communicating through the president of the Ohio state university.~~

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~~When the board of trustees of the Ohio state university has acted, the secretary chairperson of the board shall inform~~ instruct the medical director to transmit the final decision to the chief of the clinical department ~~to transmit the decision to the~~ and applicant and, if appropriate, to the ~~chief director~~ of the applicable clinical division. The ~~secretary chairperson~~ of the board shall also notify the ~~senior vice president for health sciences and dean of the college of medicine and public health, the medical director~~ and the executive director of the Ohio state university hospitals of the decision of the board.

(F) Procedure for reappointment.

(1) At least one hundred eighty days prior to the end of the fiscal year, or the end of the biennial period, the ~~medical director~~ chief of the clinical department shall provide each medical staff member with an application for reappointment to the medical staff on forms prescribed by the medical staff administrative committee. The reappointment application shall include all information necessary to update and evaluate the qualifications of the medical staff member. The chief of the clinical department shall review the information available on each medical staff member, and the chief of the clinical department shall make recommendations regarding reappointment to the medical staff and ~~for granting of~~ clinical privileges for the ensuing biennial period. ~~Those recommendations~~ The chief of the clinical department's recommendation shall be transmitted in writing along with the signed and completed reappointment forms to the medical director at least ninety days prior to the end of the fiscal year or biennial period. The terms of paragraphs (A), (B), (C), (D), and (E)(1) and (E)(2) of this rule shall apply to all applicants for reappointment. An application for reappointment must be submitted by a medical staff member following a leave of absence from the medical staff ~~and/or~~ from the faculty. Only completed applications for reappointment ~~will~~ shall be considered by the credentials committee. An application for reappointment is complete when all the information requested on the reappointment application form is provided, ~~and~~ the reappointment form is signed by the applicant, and the information is verified. A completed reappointment application form must contain:

- (a) Peer recommendation from an individual(s) knowledgeable about the applicant's clinical and professional skills when sufficient information concerning the applicant is not available within the clinical department.
- (b) Evidence of required immunizations.
- (c) Evidence of ~~malpractice~~ current professional liability insurance.
- (d) Satisfaction of ECFMG requirements, if applicable.
- (e) Verification of primary source documentation of:
 - (i) Information from all prior and current insurance carriers concerning claims, suits, and settlements, if any;
 - ~~(i)~~
 - (ii) State licensure;
 - ~~(ii)~~
 - (iii) Faculty appointment;
 - ~~(iii)~~
 - (iv) DEA registration;
 - ~~(iv)~~
 - (v) Successful completion or record of additional post M.D. graduate medical education;

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- (vi) Continuing medical education and applicable continuing professional education activities. Documentation of category I CME and that at least in part of which education relates to the individual medical staff member's specialty or sub-specialty area and are consistent with the licensing requirements of the applicable Ohio state licensing board shall be required; and
- (v)
(vii) Board certification, re-certification, or continued active candidacy for certification.
- (f) Information from the national practitioner data bank and AMA master file.
- (g) Verification that the applicant has not been excluded from any federally funded health care program.
- (h) Specific requests for any changes in clinical privileges sought on reappointment with supporting documentation.
- (i) Specific requests for any changes in medical staff category.
- (j) A summary of the member's clinical activity during the previous appointment period.
- (k) Patterns of care as demonstrated through quality assurance records.
- (2) The basis on which the chiefs of the departments are to act concerning the reappointment of a medical staff member and the clinical privileges to be granted upon such—The member for reappointment shall be a required to submit any reasonable evidence of current ability to perform the clinical privileges requested. The chief of the clinical department shall review and evaluate the reappointment application and the supporting documentation. The chief of the clinical department shall evaluate all matters relevant to recommendation, including the member's professional competence; clinical judgment; source verification of the applicant's quality assurance record clinical or technical skills; ethical conduct; participation in medical staff affairs; compliance with the bylaws, rules and regulations of the medical staff, the university hospitals board, and the board of trustees of the Ohio state university; cooperation with the Ohio state university hospitals' personnel and the use of the Ohio state university hospitals' facilities for patients; relations with other physicians, other health professionals or other staff, and maintenance of a professional attitude toward patients; and the responsibility to the Ohio state university hospitals and the public.
- (3) The medical director shall forward the reappointment forms and the recommendations of the chief of the clinical department to the credentials committee. The credentials committee shall review the request for reappointment in the same manner, and with the same authority as an original application for medical staff membership. The credentials committee shall review all aspects of the reapplication reappointment application including source verification of the applicant's member's quality assurance record for continuing membership qualifications and for clinical privileges. The credentials committee shall review each applicant's quality assurance record member's performance-based profile to see ensure that the same level of quality of care is delivered by all medical staff members with similar delineated clinical privileges across all clinical departments and across all categories of medical staff membership.

The credentials committee ~~will~~ shall forward its recommendations to the medical director at least thirty days prior to the end of the period of appointment. The medical director ~~will~~ shall transmit the completed reappointment request application and the recommendation of the credentials committee to the medical staff administrative committee ~~for final action within the medical staff.~~

Failure of the member, without good cause, to submit a reappointment forms application shall be deemed a voluntary resignation from the medical staff and shall result in automatic termination of membership and all clinical privileges, which action shall not be subject to a hearing or appeal pursuant to rule 3335-43-06 of the Administrative Code. A

medical staff member whose membership is automatically terminated may apply to the credentials committee for a hearing, for the sole purpose of determining whether the failure to seek reappointment was excusable or whether the request for ~~reapplication~~ reappointment should be processed. The recommendation of the credentials committee regarding such automatic termination shall be presented to the medical staff administrative committee by the medical director. ~~A request for reappointment subsequently received from a member who has been automatically terminated shall be processed as provided in this section.~~ The decision of the medical staff administrative committee shall be final and such action shall not be subject to a hearing or appeal pursuant to rule 3335-43-06 of the Administrative Code. A request for reappointment subsequently received from a member who has been automatically terminated shall be processed as a new appointment.

Failure of the chief of the clinical department to act timely on an application for reappointment shall be ~~handled~~ the same as provided in paragraph (E)~~(2)~~(5) of this rule.

- (4) The medical staff administrative committee shall review each request for reappointment in the same manner and with the same authority as an original application for medical staff membership. The medical staff administrative committee shall accept, reject, or modify the request for reappointment in the same manner and with the same authority as an original application for medical staff membership. The recommendation of the medical staff administrative committee regarding reappointment of a member shall be communicated by the medical director, along with the recommendation of the medical director, to the professional affairs, research, and education committee of the university hospitals board, and thereafter to the university hospitals board. When the Ohio state university hospitals board has acted, the chairperson of the board shall instruct the medical director to transmit the final decision to the chief of the clinical department and applicant and, if appropriate, to the director of the applicable clinical division. The chairperson of the board shall also notify the dean of the college of medicine and public health and the executive director of the Ohio state university hospitals of the decision of the board.
- (5) When the decision of the medical staff administrative committee results in a decision of non-reappointment or adverse change in clinical privileges, ~~they the medical staff administrative committee~~ the medical staff administrative committee shall instruct the ~~senior vice president for health sciences and dean of the college of medicine and public health~~ medical director to give written notice to the affected member of the decision, the stated reason for the decision, and the member's right to a hearing pursuant to paragraphs (A) and (B) of rule 3335-43-06 of the Administrative Code. This notification and an opportunity to exhaust the ~~administrative~~ administrative appeal process shall occur prior to ~~the imposition of the proposed penalties~~ an adverse decision unless the ~~emergency~~ emergency provisions outlined in paragraph (D) of rule 3335-43-05 of the Administrative Code apply. The notice by the ~~senior vice president and dean~~ medical director shall be sent certified return receipt mail to the affected member's last known address as determined by the Ohio state university records.
- ~~(5)~~
- (6) If the affected member of the medical staff does not make a written request for a hearing to the medical director within thirty-one days after receipt of the adverse decision, it shall be deemed a waiver of the right to any ~~review by the medical staff administrative committee~~ hearing or appeal as provided in rule 3335-43-06 of the Administrative Code to which the staff member might otherwise have been entitled on the matter.
- ~~(6)~~
- (7) If a timely, written request for hearing is made, the procedures set forth in rule 3335-43-06 of the Administrative Code shall apply.
- ~~(7)~~
- (7) ~~The final action of the medical staff administrative committee regarding a reappointment decision will be communicated by the medical director, along with the recommendation of the medical director, to the professional affairs, research, and education committee of the university hospitals board, and thereafter to the university hospitals board. The university hospitals board shall make their recommendation to the board of trustees of the Ohio~~

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~~state university by communicating through the president of the Ohio state university. (B/T 9/1/99, B/T 10/1/99, B/T 10/5/2001)~~

3335-43-05 ~~Corrective~~ Peer review and corrective action.

(A) Procedures Informal review.

All medical staff members agree to cooperate in review activities that are solely intended to improve the quality of medical care provided to patients at the Ohio state university hospitals and by the individual medical staff member. Such activities may include the handling and informal review of complaints, including patient complaints, disagreements, questions of clinical competence, or conduct and variation in clinical practice identified by the clinical departments or divisions and medical staff committees. The resulting information from such activities shall be furnished to the applicable chief of the clinical department. Upon review by the clinical department chief, and with concurrence of the medical director, the review activity may result in consultation with the medical staff member, recommendations for educational actions or additional training, sharing of comparative data, monitoring, informal letters of reprimand or warning or other methods of guidance to the medical staff member to assist them in improving the quality of patient care outside of the mechanism for formal review in paragraph (B) of this rule. Such actions are not regarded as adverse, do not require reporting to any governmental or other agency, and do not invoke a right to any hearing. In each case under review, an initial written determination shall be made by the clinical department chief and the medical director whether:

- (1) The complaint warrants no further action;
- (2) Informal review under this paragraph is warranted; or
- (3) Peer review under paragraph (B) of this rule is warranted. In cases where the clinical department chief and medical director cannot agree, the matter shall be submitted and determined as set forth in paragraph (B) of this rule. The chief of the clinical department and the medical director shall determine whether it is appropriate to include documentation of such actions in the medical staff member's file. If documentation is included in the member's file, the affected member shall have an opportunity to review it and may make a written response which shall also be placed in the member's file.

(B) Peer review.

- (1) Peer review may be requested in more serious situations or where informal review has not resolved an issue or ~~Whenever~~ whenever the activities or professional conduct of a member of the medical staff of the Ohio state university hospitals:
- (a) ~~violates~~ Violates the standards or aims of the medical staff or standards of professional conduct;
 - (b) ~~is~~ Is considered to be disruptive to the operation of the Ohio state university hospitals;
 - (c) ~~violates~~ Violates the bylaws, rules and regulations of the medical staff, the Ohio state university hospitals board, or the board of trustees of the Ohio state university;
 - (d) ~~or~~ Violates the state or federal law; or
 - (e) Is detrimental to patient safety or to the delivery of patient care within the Ohio state university hospitals.

~~corrective action against such a medical staff member may be initiated by a chief of a clinical division or Peer review may be initiated by a chief of a clinical department, the medical director, an officer or any member of the medical staff, the executive director of the Ohio state university hospitals, the dean of the college of medicine and public health, any member of the board of the Ohio state university hospitals, or the vice president for~~

~~health sciences, the president of the Ohio state university, and any member of the board of trustees of the Ohio state university services.~~ All requests for ~~corrective action~~ peer review shall be in writing, shall be submitted to the ~~dean of the college of medicine and public health~~ medical director, and shall be supported by reference to the specific activities or conduct which constitute grounds for the requested action.

- (2) ~~The dean of the college of medicine and public health~~ medical director shall promptly notify the affected member of the medical staff, in a confidential manner, that a request for ~~corrective action~~ peer review has been made, and inform the member of the specific activities or conduct which constitutes grounds for the requested action. ~~The dean~~ medical director shall ~~investigate the matter in whatever manner the dean deems appropriate~~ verify the facts related to the request for peer review, and within thirty days, make a written determination. ~~If the medical director decides that no further action is warranted,~~ the medical director shall notify the person(s) who filed the request for peer review and the member accused, in writing, that no further action would be taken.
- (3) Whenever the ~~dean~~ medical director determines that ~~further action~~ peer review is warranted and that ~~corrective action could result, which action could involve a reduction, suspension or revocation of clinical privileges could result,~~ the ~~dean~~ medical director shall refer the ~~requests~~ request for peer review to the ~~chief of the clinical department in which the staff member has clinical privileges, who shall impanel the investigation committee.~~ ~~If the chief of the clinical department is the staff member charged, or has initiated the corrective action,~~ ~~the dean shall designate a physician member of the dean's administrative staff to fulfill the functions herein specified for said chief~~ peer review committee. The affected member of the medical staff shall be notified of the referral to the ~~investigation~~ peer review committee, and be informed that these medical staff bylaws ~~will~~ shall govern all further proceedings. ~~The dean~~ senior vice president for health sciences or designee shall ~~designate a physician member of the dean's administrative staff to exercise any or all duties or responsibilities assigned to the dean~~ medical director under these rules for implementing corrective action and appellate procedure only if:
- (a) ~~The dean~~ medical director is the medical staff member charged;
 - (b) ~~The dean~~ medical director is responsible for having the charges brought against another medical staff member; or
 - (c) There is an obvious conflict of interest.

~~If the dean decides that no further action is warranted, the dean will notify the person(s) who filed the request for corrective action and the member accused, in writing, that no further action will be taken.~~

- ~~(3)~~
(4) ~~The investigation~~ peer review committee ~~will~~ shall investigate the ~~every~~ request for ~~corrective action~~ and shall report in writing within ~~two weeks~~ thirty days its findings and recommendations for action to the chief of the clinical department ~~or the dean's designee.~~ ~~The chief of the clinical department or the dean's designee will forward a separate recommendation and the findings and recommendations of the investigation committee to the dean of the college of medicine and public health.~~ In making its recommendation the peer review committee may consider as appropriate, relevant literature and clinical practice guidelines, all the opinions and views expressed throughout the review process, and any information or explanations provided by the member under review. Prior to making ~~such a~~ its report ~~to the dean,~~ the medical staff member against whom the ~~corrective~~ action has been requested shall ~~have~~ be afforded an opportunity ~~to~~ for an interview with the chief of the clinical department ~~or the dean's designee~~ peer review committee. At such interview, the medical staff member shall be informed of the specific activities alleged to constitute grounds for ~~corrective action~~ peer review, and of the specific evidence ~~which the investigation committee and the chief of the clinical department or the dean's designee have discovered which is material to the charge.~~ ~~The medical staff member shall be invited~~ afforded the opportunity to discuss, explain or refute the charge ~~or the evidence thus far adduced~~ allegations against the medical staff

member. The medical staff member may furnish written or oral information to the chief peer review committee at this time. However, such interview shall not constitute a hearing, but shall be investigative in nature. The medical staff member shall not be represented by an attorney at this interview.

~~(4)~~

~~(5) Following Upon receipt by the dean of the college of medicine and public health of the written report from the investigation peer review committee, and the chief of the clinical department, the dean shall, within seven days, decide whether the grounds for the requested corrective action are such as could involve a reduction, suspension or revocation of clinical privileges. If the dean decides that the grounds are not substantiated, the dean will notify the investigation committee; chief of the clinical department; person(s) who filed the charge; and the medical staff member affected, in writing, that no further action will be taken. If the dean decides that the grounds for corrective action are substantiated, the dean shall notify the chief of staff to impanel a grievance committee of the medical staff as outlined in paragraph (C) of this rule. The affected member of the medical staff shall be promptly notified by the dean and permitted to make an appearance before the grievance committee of the medical staff. The rules of conduct of the meeting shall be as outlined in paragraph (C) of this rule. The grievance committee will forward its findings and recommendations for action to the dean of the college of medicine and public health, medical director, chief of staff and the accused member of the medical staff make his or her own written determination and forward that determination along with the findings and recommendations of the peer review committee to the medical director, or if required by paragraph (B)(3) of this rule, to the senior vice president for health sciences or designee.~~

~~(5)~~

~~(6) Following receipt by the dean of the college of medicine and public health of the report recommendation from the grievance committee, the dean clinical department chief and the report from the peer review committee, the medical director, or the senior vice president for health sciences or designee, shall have ten days to approve or to modify the determination of the clinical department chief. Following receipt of the report of clinical department chief, the medical director or senior vice president for health sciences or designee shall decide whether the grounds for the requested corrective action are such as could involve should result in a reduction, suspension or revocation of clinical privileges. If the ~~dean~~ medical director, or senior vice president for health sciences or designee, decides the grounds are not substantiated, the ~~dean~~ medical director will notify the ~~grievance~~ peer review committee; clinical department chief; the person(s) who filed the charge complaint and the affected medical staff member, ~~affected~~ in writing, that no further action will be taken.~~

In the event the ~~dean~~ medical director or senior vice president for health sciences or designee finds the grounds for the requested corrective action are substantiated, the dean may accept, reject or modify the corrective action recommended by the grievance committee and medical director shall promptly notify the affected medical staff member of that decision and of the affected medical staff member's appeal rights right to request a hearing before the medical staff administrative committee pursuant to rule 3335-43-06 of the Administrative Code. The written notice shall also include a statement that the medical staff member's failure to request a hearing in the timeframe prescribed in rule 3335-43-06 of the Administrative Code shall constitute a waiver of rights to a hearing and to an appeal on the matter; a statement that the affected medical staff member shall have the procedural rights found in rule 3335-43-06 of the Administrative Code; and a copy of the rule 3335-43-06 of the Administrative Code. This notification and an opportunity to exhaust the administrative hearing and appeal process shall occur prior to the imposition of the proposed ~~penalties~~ corrective action unless the emergency provisions outlined in paragraph (D) of this rule apply. This written notice by the ~~dean~~ medical director shall be sent certified return receipt mail to the affected medical staff member's last known address as determined by university records.

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~~(6)~~
(7) If the affected member of the medical staff does not make a written request for a hearing to the medical director within thirty-one days after receipt of the adverse decision, it shall be deemed a waiver of the right to any review by the medical staff administrative committee to which the staff member might otherwise have been entitled on the matter.

~~(7)~~
(8) If a timely, written request for hearing is made, the procedures set forth in rule 3335-43-06 of the Administrative Code shall apply.

~~(B)~~

(C) Composition of ~~investigation~~ peer review committee.

~~(1) At the beginning of the fiscal year, the chief of the clinical department shall select four members of the department medical staff to serve on the standing investigation committee of the department. Three members shall be designated permanent members and one shall serve as an alternate.~~
(1) When the determination that peer review is warranted is made, the clinical department chief shall select three members of the department medical staff to serve on the standing investigation a peer review committee of the department. Three members shall be designated permanent members and one shall serve as an alternate.

~~(2) The investigation committee, when called into session by the chief of the clinical department, shall consist of three select members of the medical staff to serve on the peer review committee who shall have similar levels of training and qualifications as the member who is subject to peer review. The alternate shall attend all meetings. When necessary, additional alternates may be selected.~~
(2) Whenever the questions raised concern the clinical competence of the member under review, the chief of the clinical department chief, shall consist of three select members of the medical staff to serve on the peer review committee who shall have similar levels of training and qualifications as the member who is subject to peer review. The alternate shall attend all meetings. When necessary, additional alternates may be selected.

(3) An outside review consultant may serve as a member of the peer review whenever:

(a) A determination is made by the chief of the clinical department and the medical director that the clinical expertise needed to conduct the review is not available on the medical staff;

(b) The objectivity of the review may be compromised due to economic considerations;
or

(c) Whenever the medical director determines that an outside review is otherwise advisable.

If an outside reviewer is recommended, the chief of the clinical department shall make a written recommendation to the medical director for selection of an outside reviewer. The medical director shall make the final selection of an outside reviewer.

~~(C)~~ Composition of ~~grievance~~ committee.

~~(1) At the first meeting of the medical staff, during the fall quarter of each academic year, the chief of the medical staff shall submit, for approval by the staff, the names of thirty members of the staff (who shall not be members of the medical staff administrative committee) whom the chief of staff has chosen as being appropriate to sit on the grievance committee when they are selected, by lot, to do so.~~

~~(2) The grievance committee, when called into session by the chief of staff, shall consist of five members of the staff whose names have been chosen by lot from the thirty chosen.~~

~~No person shall be eligible for selection to serve on two successive grievance committees. The chief of the medical staff shall have authority to rule upon alleged conflicts of interest or disqualifications involving grievance committee members.~~

~~(3) Each grievance committee shall choose from among its number a chairperson who shall preside over it and, with the advice and consent of the committee, speak for it, during the hearing, rule on the matters relevant to its proceedings, and arrange for the conduct of~~

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~~the committee's administration. All grievance committee members shall be required to hear all evidence presented. Any recommendation that involves a reduction, suspension, or revocation of clinical privileges may be by majority decision of the committee. If circumstances make attendance by a member of the grievance committee at a hearing impossible, or require an excessive postponement, the chief of staff shall order the remaining four to act upon a clear majority of the remaining four, or shall immediately select a new grievance committee. If more than one member cannot hear all the evidence, a new committee shall be composed.~~

- ~~(4) The affected medical staff member shall have the right to personally appear before the grievance committee, to cross-examine witnesses, and to submit to the grievance committee written evidence and memoranda relevant to the issues. The staff member shall be fully apprised of all allegations and all evidence known to the grievance committee, and shall be entitled to discuss, explain and refute all evidence adduced against the member. The affected medical staff member shall not be represented by an attorney before the grievance committee.~~

(D) Summary suspension.

- (1) Notwithstanding the provisions of this rule, a member of the medical staff shall have all or any portion of his or her clinical privileges suspended by the ~~dean of the college of medicine and public health~~, the medical director, or the chief of the member's clinical department whenever such action must be taken immediately in the best interest of patient care. Such summary suspension shall become effective immediately upon imposition and the medical staff member ~~will~~ shall be subsequently notified in writing of the suspension by the ~~dean of the college of medicine and public health~~ medical director. Such notice shall be issued by certified return mail to the affected medical staff member's last known address as determined by university records.
- (2) A medical staff member whose privileges have been summarily suspended shall be entitled to a hearing and appeal of the suspension pursuant to rule 3335-43-06 of the Administrative Code. If the affected member of the medical staff does not make a written request for a hearing to the medical director within thirty-one days after receipt of the adverse decision, it shall be deemed a waiver of the right to any review by the medical staff administrative committee to which the staff member might otherwise have been entitled on the matter. If a timely, written request for a hearing is made, the procedures of rule 3335-43-06 of the Administrative Code shall apply.
- (3) Immediately upon the imposition of a summary suspension, ~~the dean of the college of medicine and public health~~, the medical director, or the appropriate chief of a clinical department shall have the authority to provide for alternative medical coverage for the patients of the suspended medical staff member who remain in the ~~hospital~~ Ohio state university hospitals at the time of suspension. The wishes of the patient shall be considered in the selection of such alternative medical coverage. While a summary suspension is in effect, the member of the medical staff is ineligible for reappointment to the medical staff. Medical staff and hospital administrative duties and prerogatives are suspended during the summary suspension.

(E) Automatic suspension.

- (1) Notwithstanding the provisions of this rule, a temporary suspension in the form of withdrawal of a medical staff member's admitting privileges, effective until medical records are completed, may be imposed automatically by the medical director after a warning, in writing, of delinquency for failure to complete medical records as defined by the rules and regulations of the medical staff. The medical director shall notify the executive director of the Ohio state university hospitals of the action taken.
- (2) Action by the Ohio state boards of licensure revoking or suspending a medical staff member's license or placing the member upon probation ~~will~~ shall automatically impose the same restrictions to that member's Ohio state university hospitals' privileges.

(F) Reporting responsibility.

~~When the dean, the medical staff administrative committee, or the hospitals board determines or learns that a decision on corrective action has become final within the terms of this rule or rule 3335-43-06 of the Administrative Code, they shall instruct is taken which constitutes a "formal disciplinary action" as may be defined in Ohio state law, or as may be required to be reported pursuant to federal law, including the health care quality improvement act, the medical director to shall make a report of said action as may be required for in order to maintain compliance with applicable state and or federal law or regulations, including the provisions of the national practitioner data bank. The medical director shall amend such reports as may be required to reflect subsequent actions taken under the hearing and appeal rights afforded in these bylaws.~~

When applicable, any recommendations or actions that are the result of a review or hearing and appeal shall be monitored by the medical director on an ongoing basis through the Ohio state university hospitals' quality management activities.

3335-43-06 Hearing and ~~appellate review procedure~~ appeal process.

(A) Right to hearing ~~before the medical staff administrative committee~~ and to ~~appellate review~~ an appeal.

(1) ~~When a member of the medical staff who has exhausted all remedies under paragraphs (E) and (F) of rule 3335-43-04 of the Administrative Code on appointment or reappointments; or under rule 3335-43-05 of the Administrative Code for corrective action; or who has been summarily or automatically suspended under paragraph (D) or (E) of rule 3335-43-05 of the Administrative Code; or who receives notice of a proposed action by the dean of the college of medicine and public health or the medical director that will adversely affect membership on the medical staff or the exercise of clinical privileges, or whose membership has been immediately terminated under paragraphs (A)(4) or (A)(5) of rule 3335-43-04 of the Administrative Code, the staff member shall be entitled to a an adjudicatory hearing before the medical staff administrative committee. That committee shall submit a written report, including its recommendation to the chairperson of the professional affairs, education, and research committee of the university hospitals board within fourteen days of the final vote by the medical staff administrative committee. An adverse action which must be reported to the state medical board or the federal government, including the national practitioner data bank, shall entitle an affected medical staff member to the procedures of this section.~~

(2) ~~All hearings and appellate reviews~~ appeals shall be in accordance with the procedural safeguards set forth in this rule to assure that the affected medical staff member is accorded all rights to which the member is entitled.

(B) Request for hearing.

(1) ~~The request for a hearing before the medical staff administrative committee shall be submitted in writing by the affected medical staff member to the medical director within thirty-one days of notification by the dean or medical director of the intended action. The medical director shall forward the request to the medical staff administrative committee along with instructions to convene a hearing.~~

(2) ~~The failure of a medical staff member to request a hearing before the medical staff administrative committee, to which the member is entitled by these bylaws within the time and in the manner herein provided, shall be deemed a waiver of the right to any review by the medical staff administrative committee. The dean of the college of medicine and public health~~ medical director shall then implement the decision and that action shall become and remain effective against the medical staff member in the same manner as a final decision of ~~the board of trustees of the Ohio state university hospitals board~~ as provided for in paragraph (F) of this rule. ~~The dean~~ medical director shall promptly inform the affected medical staff member that the proposed decision, which had entitled the medical staff member to a hearing, has now become final.

(C) Notice of hearing.

- (1) ~~Within fourteen days after~~ After receipt of a timely request for hearing by the medical director from a medical staff member entitled to ~~the same~~ such hearing, the medical staff administrative committee shall be notified of the request for hearing by the medical director and shall at the next scheduled meeting take the following action: schedule and arrange for such a hearing and shall, through the dean, notify the medical staff member in writing of the time, place, and date so scheduled. ~~The medical staff member shall be given at least ten days prior notice of the scheduled hearing, provided that this notice may be waived in writing by the medical staff member. Notice shall be by certified return receipt mail to the staff member at the staff member's last known address as reflected by university records.~~
 - (a) Instruct the medical director and chief of staff to jointly appoint within seven days a hearing committee, consisting of five members of the medical staff who are not members of the medical staff administrative committee, are not direct competitors, do not have a conflict of interest, and who have not previously participated in the peer review of the matter under consideration.
 - (b) The ~~Instruct the~~ hearing committee to schedule and arrange for a hearing which hearing, or initial hearing, should more than one hearing be required, shall not be conducted not less than ~~fourteen~~ thirty days nor more than ~~thirty~~ sixty days from the date of the receipt of the request for hearing by the medical director; provided, however, that a hearing for a medical staff member who is under suspension, which is then in effect, shall be held as soon as arrangements may be reasonably made.
- (2) The medical staff member shall be given at least ten days prior notice of the scheduled hearing, provided that this notice may be waived in writing by the medical staff member. Notice shall be by certified return receipt mail to the staff member at the staff member's last known address as reflected by university records. ~~The notice of hearing shall state in concise language the acts or omissions with which the medical staff member is charged; a list of representative charts or documents being used; names of potential witnesses to be called; and any other reason or evidence that may be considered by the medical staff administrative committee during the hearing.~~

(D) Conduct of hearing.

- (1) ~~Prior to the final consideration of the matter by the medical staff administrative committee an evidentiary hearing shall be conducted by a hearing committee composed of five members of the medical staff appointed jointly by the medical director and chief of staff. No staff member who is a direct competitor, has a conflict of interest, or who has previously participated in the consideration of the adverse recommendation including members of the medical staff administrative committee is eligible to serve on the hearing committee.~~

The hearing committee shall select a chairperson from the committee membership to preside over the hearing. The hearing committee shall have benefit of Ohio state university legal counsel. The hearing committee may grant continuances, recesses, and the chairperson may excuse a member of the hearing committee from attendance temporarily for good cause, provided that there shall be at no time less than four members of the hearing committee present unless the affected staff member waives this requirement.

All members of the hearing committee must be present to deliberate and vote. No member may vote by proxy. The person who has taken action from which the affected staff member has requested the hearing shall not participate in the deliberation or voting of the hearing committee. The hearing shall be a ~~DE-NOVO~~ de novo hearing, although evidence of the prior recommendations and decisions ~~of the dean and the various committees~~ may be presented.

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- (2) An accurate record of the hearing ~~must~~ shall be kept. The mechanism for taking the record shall be by the use of a professional stenographer. This record ~~will~~ shall be available to the affected member of the medical staff upon request at the member's expense.
- (3) through (5) Unchanged.
- (6) The hearing committee shall request the person who has taken the action from which the affected staff member has ~~appealed or~~ requested the hearing to present evidence to the hearing committee in support of the adverse recommendation. The hearing committee may proceed to hear evidence and testimony from either party in whatever order the hearing committee deems appropriate. The hearing committee may call its own witnesses, may recall any parties witnesses, and may question witnesses as it deems appropriate. All parties shall be responsible to secure the attendance of their own witnesses. All witnesses and evidence received by the hearing committee shall be open to challenge and cross-examination by the parties. Witnesses shall not be placed under oath. At the close of the evidence the hearing committee may request each party to make summary statements, either oral or written. The hearing committee may request legal representation from the Ohio state university ~~and may permit the dean, and the dean's designees to participate without vote at their proceedings.~~
- (7) Unchanged.
- (8) ~~Within fourteen days after the hearing is closed, the hearing committee shall deliberate and vote.~~ Within ~~fourteen~~ sixty days after the ~~vote is taken~~ its appointment, the hearing committee shall forward its written report and recommendation together with the transcript of the hearing and all other documentation provided by the parties to the medical staff administrative committee. The affected medical staff member shall be notified of the recommendation of the hearing committee including a statement of the basis for the recommendation. The medical staff administrative committee shall accept, reject, or modify the recommendation of the hearing committee. The medical staff administrative committee may conduct further hearings as it deems necessary or may remand the matter back to the hearing committee for further action as directed. The medical staff administrative committee may impose a greater or ~~lesser~~ lesser sanction than that recommended by the hearing committee.
- (9) ~~The decision of the medical staff administrative committee shall be forwarded to the professional affairs, education, and research committee~~ submit a written report, including its recommendation to the chairperson of the university hospitals board within fourteen days of the final vote by the medical staff administrative committee. An adverse action which must be reported to the state medical board or the federal government, including the national practitioner data bank, shall entitle an affected medical staff member to the procedures of this rule. The affected member of the medical staff shall be notified of the decision of the medical staff administrative committee by the medical director. ~~In successive order, the decision and record of the medical staff administrative committee shall be reviewed by the professional affairs, education and research committee and the university hospitals board, each of which shall have the authority to accept, reject, or modify the previous decision forwarded to them. When the hospitals board has made its decision, notice of that decision shall be sent certified return receipt mail to the affected medical staff member at the member's last known address as determined by university records.~~
- (10) The decision and record of the medical staff administrative committee shall be transmitted to the professional affairs, education, and research committee of the university hospitals board, which shall, subject to the affected member's right to appeal and implementation of paragraph (E) of this rule, consider the matter at its next scheduled meeting, or at a special meeting to be held no less than thirty days following receipt of the transmittal. The professional affairs, education and research committee may accept, reject, or modify the decision of the medical staff administrative committee.

(11) The recommendation of the professional affairs, education and research committee shall be promptly considered by the university hospitals board, or the executive committee of the hospitals board, at its next scheduled meeting. The university hospitals board, or the executive committee of the university hospitals board, may accept, reject, or modify the recommendation of the professional affairs, education and research committee.

(12) A copy of the university hospitals board decision shall be sent certified return receipt mail to the affected medical staff member at the member's last known address as determined by university records.

(E) Appeal to the president of the university process.

(1) Within ~~fourteen~~ thirty days after receipt of a notice by an affected medical staff member of the ~~action decision~~ of the ~~university hospitals board~~ medical staff administrative committee, the member may, by written notice to the ~~president~~ chairperson of the Ohio state university hospitals board, request an ~~appellate review by the president of the university appeal~~. Such appellate review The appeal shall only be held on the record on which the adverse decision is based, as appended by the medical staff member's statement provided for below before the medical staff administrative committee.

(2) If ~~such review~~ an appeal is not requested within ~~said fourteen-day period~~ fourteen days, the affected medical staff member shall be deemed to have:

(a) waived the member's right to ~~the same~~ appeal, and

(b) ~~to have~~ accepted ~~such the~~ adverse decision, and the same shall become effective immediately as provided for in paragraph (B) of this rule.

(3) The ~~review~~ appeal shall be conducted by the ~~president of the university or the president's designee~~ professional affairs, education and research committee of the university hospitals board.

(4) The affected medical staff member shall have access to the reports and records, including transcripts, if any, of the hearing committee and of the medical staff administrative committee and all other material, favorable or unfavorable, that has been considered by ~~that the medical staff administrative committee; the professional affairs, education and research committee; and the university hospitals board.~~ The staff member shall then submit a written ~~factual~~ statement indicating those factual and procedural matters with which the member disagrees, and specifying the reasons for such disagreement, ~~shall be specified~~. This written statement may cover any matters raised at any step in the procedure to which the appeal is related, and legal counsel may assist in its preparation. Such written statement shall be submitted to the ~~president of the university at least~~ professional affairs, education and research committee no later than seven days prior to the scheduled date for review following the date of the affected member's notice of appeal.

(5) New or additional matters not raised during the ~~grievance committee~~ hearing or in the medical staff administrative committee hearings shall only be ~~introduced at the review by the president of the university at the president's~~ considered on appeal at the sole discretion of the professional affairs, education and research committee.

(6) The ~~president of the university may affirm, modify or reject any prior decision, or refer the matter back to the hospitals board for further review and recommendation within fourteen days.~~ Within fourteen days following submission of the written statement by the affected medical staff member, the professional affairs, education and research committee shall recommend to the Ohio state university hospitals board that the adverse decision be affirmed, modified or rejected, or to refer the matter back to the medical staff administrative committee for further review and recommendation. Such referral to the medical staff administrative committee may include a request for further investigation. The president's decision shall be mailed certified return receipt mail to the affected

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~~medical staff member at the member's last known address as determined by university records.~~

- (7) Any final decision by the university hospitals board shall be communicated by the medical director and by certified return receipt mail to the affected medical staff member at that member's last known address as determined by university records. The medical director shall also notify in writing the senior vice president for health sciences, the dean of the college of medicine and public health, the executive director of the Ohio state university hospitals and the vice president for health services, chief of staff, the clinical department chief, and the person(s) who initiated the request for peer review. The medical director shall take immediate steps to implement the final decision.

~~(F) Appeal to the board of trustees of the Ohio state university.~~

- (1) ~~If the affected medical staff member wishes to appeal the final decision of the president of the university, the member may within fourteen days after notification of the president's decision request the record of the proceedings of the medical staff administrative committee's hearing be forwarded to the board of trustees of the Ohio state university. Failure to appeal within fourteen days shall make the president's decision final. The board, in its discretion, may accept, reject, or modify the decision of the president. It may request the record of proceedings of the medical staff administrative committee as well as any information considered by the president of the university in order to take specific action relating to the matter under review.~~
- (2) ~~The secretary of the board of trustees of the Ohio state university shall notify the medical staff administrative committee, the university hospitals board and the president of the university of the action taken by the board of trustees of the Ohio state university. Subsequent to this notification, the secretary of the board of trustees of the Ohio state university shall notify the affected medical staff member of the final action taken on the appeal.~~

3335-43-07 Divisions Categories of the medical staff.

The medical staff of the Ohio state university hospitals shall be divided into honorary, attending, courtesy, community affiliate and limited ~~designations~~ categories.

(A) Honorary staff.

- (1) The honorary staff ~~will~~ shall be composed of those individuals who hold emeritus faculty status and who are recognized for outstanding reputation, notable scientific and professional contributions, and high professional stature. Nominations may be made to the medical director who shall present the candidate to the medical staff administrative committee for approval.

Members of the honorary staff shall have access to the ~~medical center~~ Ohio state university hospitals and shall be given notice of all medical staff activities and meetings, ~~however, they~~ However, members of the honorary staff shall not be required to attend staff meetings and their or pay medical staff dues shall be waived. They Members of the honorary staff shall enjoy all privileges and responsibilities of an attending staff appointments member except members of the honorary staff shall not have the right to vote and or hold elected office in the medical staff organization.

- (2) ~~The honorary Honorary staff shall be composed of active and non-active members. Those members who do not desire to exercise clinical privileges may make written notice to the notify the credentials committee in writing. The credentials committee, which shall have the discretion to waive portions of the customary application process reappointment procedures.~~

~~For those members~~ Members of the honorary staff who desire clinical privileges, ~~full credentialing procedures must be followed~~ shall be subject to the appointment and reappointment procedures specified in these bylaws.

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(B) Attending staff.

(1) Qualifications:

The attending staff shall consist of those faculty members of the colleges of medicine and public health and dentistry to whom clinical teaching responsibilities are assigned in the Ohio state university hospitals and who satisfy the requirements and qualifications for membership set forth in rule 3335-43-04 of the Administrative Code. The assignment of teaching responsibility is the prerogative of the chief of the clinical department or the chief's designee.

(2) Prerogatives:

An attending staff member may:

- (a) Admit patients consistent with the balanced teaching and patient care responsibilities of the ~~institution~~ Ohio state university hospitals. When, in the judgment of the chief of the clinical department, a balanced teaching program is jeopardized, following consultation with the ~~senior vice president and dean of the college of medicine and public health~~ and the Ohio state university hospitals' executive director, and with the concurrence of a majority of the medical staff administrative committee, the chief of the clinical department may restrict ~~admissions~~ an attending staff member's ability to admit patients. Imposition of such restrictions shall not entitle the attending staff member to ~~the procedures of paragraph (F) of rule 3335-43-04 of the Administrative Code and a hearing or appeal pursuant to rule 3335-43-05 3335-43-06~~ of the Administrative Code.
- (b) Be free to exercise such clinical privileges as are granted ~~in this rule~~ pursuant to these bylaws.
- (c) Vote on all matters presented at general and special meetings of the medical staff and of the department and committees of which he or she is a member unless otherwise provided by resolution of the medical staff, clinical department, or committee and approved by the medical staff administrative committee.
- (d) Hold office in the medical staff organization and in the clinical department and committees of which he or she is a member, unless otherwise provided by resolution of the medical staff, clinical department, or committee and approved by the medical staff administrative committee.

(3) Responsibilities:

~~AN~~ Each member of the attending staff member shall:

- (a) Unchanged
- (b) Retain responsibility within the member's area of professional competence for the continuous care and supervision of each patient in the Ohio state university hospitals for whom the member is providing care, or arrange a suitable alternative for such care and supervision.
- (c) Actively participate in such quality evaluation and monitoring activities as required by the medical staff, and discharge such medical staff functions as may be required from time to time.
- (d) Satisfy the requirements set forth in rule 3335-43-11 of the Administrative Code for attendance at staff and departmental meetings and meetings of those committees of which he or she is a member and for payment of membership dues.

(C) Courtesy staff.

- (1) No change
- (2) Prerogatives:

The courtesy staff may:

- (a) Admit patients ~~which that~~ complement the clinical teaching program. At times when ~~hospital inpatient beds at the Ohio state university hospitals~~ or other resources are in short supply, patient admissions of courtesy staff shall be subordinate to those of attending staff.
- (b) Exercise such clinical privileges as are granted ~~in paragraph (E) of this rule pursuant to these bylaws.~~
- (c) Attend meetings as a member of the medical staff and the clinical department of which he or she is a member and any medical staff or the Ohio state university hospitals education programs. The courtesy staff member may vote for and be eligible to hold ~~the a~~ position on the medical staff administrative committee reserved for the representative of the courtesy or community affiliate staff as set forth in paragraph (D) of rule 3335-43-09 and paragraph (D) of rule 3335-43-10 of the Administrative Code. Members of the courtesy staff may serve on non-elected medical staff committees as provided by these bylaws.
- (3) Responsibilities: Each member of the courtesy staff shall be required to discharge the basic responsibilities specified in paragraph (B)(3) of this rule ~~and, further, shall retain responsibility within the member's area of professional competence for the care and supervision of each patient in the hospital for whom the member is providing services, or arrange a suitable alternative for such care and supervision.~~

(D) Limited ~~medical~~ staff.

- (1) Qualifications:

The limited staff shall consist of doctors of medicine, osteopathic medicine, ~~dental surgery dentists~~ and practitioners of podiatry or psychology who are appointed in good standing in post doctoral educational programs established and supervised by the chief of the clinical department or division chiefs director of the clinical division. The limited staff shall maintain compliance with the requirements of state law, including regulations adopted by the Ohio state medical board, or ~~their~~ the limited staff member's respective licensing board.

All members of the limited staff, ~~with the exception of dentistry~~ must except dentists, shall make written application for an Ohio license ~~within no later than one year after becoming eligible for an Ohio license~~ and must thereafter obtain and maintain licensure in Ohio during the term of ~~their~~ the limited staff member's post doctoral education.

- (2) Responsibilities:

~~The~~ Each member of the limited staff shall:

- (a) Participate fully in the teaching programs of the clinical department in which ~~they are~~ he or she is appointed.
- (b) Not admit patients, but shall participate, under supervision, in the care of all patients ~~to whom they are assigned to the limited staff member.~~ The limited staff member shall follow all rules and regulations of the service to which they are the limited staff member is assigned, as well as the general rules of the hospital Ohio state university hospitals pertaining to limited staff, including, but not limited to this the following requirement: consultation shall be obtained the limited staff member

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~~shall consult~~ with the honorary, attending, courtesy, or community affiliated ~~affiliate~~ member of the medical staff responsible for the care of ~~that the~~ patient before ~~undertaking the limited staff member undertakes~~ a procedure or treatment that carries a significant risk to the patient unless ~~this the~~ consultation would cause a delay that would jeopardize the life or health of the patient.

- (c) ~~Serve as full members~~ a member of various hospital committees to which ~~they are~~ the limited staff member is assigned. ~~They are~~ The limited staff member shall not be eligible to vote or hold elected office in the medical staff organization.
- (d) ~~Members of the limited staff will be~~ Be expected to make regular satisfactory professional progress including anticipated certification by the respective specialty or sub-specialty program of post-doctoral training in which ~~they are the limited staff member is~~ enrolled. Evaluation of professional growth and appropriate humanistic qualities ~~will shall~~ be made on a regular schedule by the clinical departmental ~~chair chief or divisional division~~ director, program director or evaluation committee. Failure to meet reasonable expectations may result in sanctions including probation, lack of reappointment, suspension or termination.
- (e) Appeal by a member of the limited staff of probation, lack of ~~reappointments~~ reappointment, suspension or termination for failure to meet expectations for professional growth or failure to display appropriate humanistic qualities will be conducted and limited in accordance with written guidelines established by the respective department or division and approved by the medical director and institutional post-M.D. graduate medical education committee.
- (f) Alleged misconduct by a member of the limited staff, for reasons other than failure to meet expectations of professional growth as outlined in ~~paragraph (D)(2)(d) and (D)(2)(e) of this rule~~ above, shall be handled in accordance with rules 3335-43-05 and 3335-43-06 of the Administrative Code.

(E) Community affiliate ~~physician staff~~.

- (1) Qualifications: Community affiliate ~~physicians staff~~ shall consist of those physicians, as may be identified by the medical director and approved by the medical staff administrative committee and by the university hospitals board. ~~They~~ A community affiliate staff member shall meet and maintain the same standards for quality patient care applicable to all members of the medical staff. Community affiliate ~~physicians staff members~~ shall be subject to ~~the these~~ bylaws and the rules and regulations of the medical staff except as provided in this ~~section~~ paragraph. ~~They~~ The community affiliate staff member shall not be required to obtain appointment to the faculty of the Ohio state university, nor shall ~~they the community affiliate staff member~~ be subject to the requirements for board certification within ~~their the community affiliate staff member's~~ respective area of practice. Teaching and research accomplishment shall not be required in determining the qualifications of applicants to this category of the medical staff.

~~In determining the qualifications of applicants to this division of the medical staff, criteria of teaching and research accomplishment shall not be a requirement.~~ To optimize the clinical organization, resource utilization, and planning of the hospitals, the medical director may require that the community affiliate physician identify categories of diagnosis, extent of anticipated patient activity, and service areas to be utilized and may prepare a statement of participation for the applicant which will be made a part of the application for appointment.

~~All applications for appointment and reappointment to this division of the medical staff shall be made to the medical director.~~ The medical director shall consult with the chief of the clinical department concerning the community affiliate applicant's qualifications. The medical director will ~~then~~ promptly refer each applicant together with a written recommendation of the medical director and the chief of the clinical department if any,

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directly to the ~~credential~~ credentials committee within ten days of receipt of the completed application for review as provided in these bylaws.

(2) Prerogatives:

A community affiliate ~~physician~~ staff member may:

- (a) Admit patients consistent ~~within~~ with the limitations of bed and service allocations established by the medical director and approved by the medical staff administrative committee, and ~~approved by~~ the university hospitals board. When, in the judgement of the medical director, a balanced teaching program is jeopardized, following consultation with the chief of the clinical department, and with the concurrence of a majority of the medical staff administrative committee, the medical director may restrict admissions of members of the community affiliate staff. Patients admitted under ~~their~~ the care of the community affiliate staff will not be required to participate in the educational mission of the Ohio state university hospitals. Ordinarily, no coverage by the limited medical staff will be afforded, with the exception of emergency medical services.
- (b) Exercise ~~full~~ the clinical privileges granted, have access to all medical records, and be entitled to utilize the facilities of the Ohio state university hospitals incidental to the clinical privileges granted ~~under paragraph (E) of this rule~~ pursuant to these bylaws.
- (c) Attend teaching and educational conferences approved by the Ohio state university, attend medical staff social functions, and participate as providers in the Ohio state university or the Ohio state university hospitals affiliated health plans.

(3) Responsibilities:

Each member of the community affiliate staff shall:

- (a) ~~Community affiliated physicians shall participate~~ Participate in the management of and represent the interests of the clinical ~~services department~~ department for which ~~they are~~ he or she is granted clinical privileges. ~~They~~ The community affiliate staff member shall comply with all provisions of ~~the~~ these bylaws and rules and regulations of the medical staff, unless expressly exempted under this rule. ~~They~~ The community affiliate staff member shall comply with all the Ohio state university hospitals' policies and accreditation standards, and shall be subject to the same quality evaluation, monitoring, and resource management requirements as other members of the medical staff.
- (b) Be responsible within the member's area of professional competence for the continuous care and supervision of each patient in the Ohio state university hospitals for whom the member is providing care, or arrange a suitable alternative for such care and supervision.
- (c) ~~They are not~~ Not be eligible to vote on medical staff policies, rules and regulations, or bylaws. ~~They~~ The community affiliate staff member may vote for and be eligible to hold the position on the medical staff administrative committee reserved for the representative of the courtesy or community affiliate staff as set forth in paragraph (D) of rule 3335-43-09 and paragraph (D) of rule 3335-43-10 of the Administrative Code. Members of the community affiliate staff may serve on non-elected medical staff committees as provided by these bylaws.
- (d) Unchanged.
- (e) ~~Be subject to the corrective action and due process hearing procedures, except that for purposes of the hearing and appellate review proceedings, the decision of the hospitals board under paragraph (D)(8) of rule 3335-43-06 of the Administrative Code shall be final.~~

(F) Clinical privileges.

(1) Delineation of clinical ~~practices~~ privileges:

- (a) Every person practicing at the Ohio state university hospitals by virtue of medical staff membership, faculty appointment, contract or under authority granted in these ~~medical staff~~ bylaws shall, in connection with such practice, be entitled to exercise only those clinical privileges specifically applied for and granted to the staff member or other licensed health care professional by the medical staff administrative committee and the Ohio state university hospitals board after recommendation from the medical staff administrative committee. ~~Requests for the exercise and delineation of clinical privileges must be made as part of each application for appointment or reappointment to the medical staff on the forms provided by the medical staff administrative committee. Requests must be submitted to the chief of the clinical department in which the privileges will be exercised. Clinical privileges requested other than during appointment or reappointment to the medical staff shall be submitted to the chief of the clinical department, who shall review the request and transfer the request and a separate recommendation to the medical director.~~
- (b) Each clinical department ~~will~~ shall develop specific clinical criteria and standards for the evaluation of clinical privileges with emphasis on invasive or therapeutic procedures or treatment which present significant risk to the patient or for which specific professional training or experience is required.
- (b) Requests for the exercise and delineation of clinical privileges must be made as part of each application for appointment or reappointment to the medical staff on the forms prescribed by the medical staff administrative committee. Every person in an administrative position who desires clinical privileges shall be subject to the same procedure as all other applicants. Requests for clinical privileges must be submitted to the chief of the clinical department in which the clinical privileges will be exercised. Clinical privileges requested other than during appointment or reappointment to the medical staff shall be submitted to the chief of the clinical department and such request must include documentation of relevant training or experience supportive of the request.
- (c) The chief of the clinical department ~~will~~ shall review each applicant's request for clinical privileges and shall make a recommendation regarding clinical privileges to the medical director. Requests for clinical privileges ~~will~~ shall be evaluated based upon the applicant's education, training, experience, demonstrated competence, references, and other relevant information, including the direct observation and review of records of the applicant's performance by the clinical department in which the clinical privileges are exercised. Whenever possible the review should be of primary source information. The applicant ~~has~~ shall have the burden of establishing the applicant's qualifications ~~for the~~ and competency in clinical privileges requested and shall have the burden of production of adequate information for the proper evaluation of qualifications.
- (e)
- (d) ~~The medical director shall transfer the staff member's~~ applicant's request for clinical privileges and the recommendation of the chief of the clinical department shall be forwarded to the credentials committee for its review and recommendation shall be processed in the same manner as applications for appointment and reappointment pursuant to rule 3335-43-04 of the Administrative Code. ~~The credentials committee shall approve, reject, or modify the request and forward its recommendation to the medical director for transmittal to the medical staff administrative committee. The medical staff administrative committee shall review the request and the recommendation of the credentials committee and may approve, reject, or modify the request. The final action of the medical staff administrative committee will be communicated by the medical director, along with the recommendation of the medical director to the professional affairs, research, and education committee of the university hospitals board and thereafter to the university hospitals board. An~~

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~~adverse recommendation by the medical staff administrative committee will entitle the affected medical staff member to the hearing procedures of rule 3335-43-06 of the Administrative Code.~~

(2) Special Temporary privileges:

(a) ~~Under special circumstances~~ Temporary privileges at university hospitals may be granted extended to a doctor of medicine, osteopathic medicine, dental surgery, ~~and to a practitioner of psychology~~ psychologist, or podiatry or to a licensed health care professional upon completion of an application prescribed by the medical staff administrative committee, upon recommendation of ~~by the chief of the clinical department, or the chief's designee with the prior concurrence of~~ and approval by the medical director. ~~The extent of privileges will be governed by medical director, acting as a member and on behalf of the university hospitals board, has been delegated responsibility by the university hospitals board to grant approval of temporary privileges. The temporary privileges granted shall be consistent with the applicant's training and experience and will be in keeping with clinical department guidelines. Prior to granting temporary privileges, primary source verification of licensure and current competence shall be required.~~ The duration of temporary privileges will be determined by the medical director shall be for a period of no more than ninety days. ~~The granting and delineation of temporary privileges shall be consistent with the application procedures for clinical privileges set forth in these medical staff bylaws.~~

(b) ~~Special privileges~~ Graduate medical education training rotations may be extended upon the recommendation of the chief of the clinical department, with prior concurrence of the medical director, for members of the limited staff who are not employed by the Ohio state university;

(c) Temporary privileges may be granted upon the recommendation of the chief of the clinical department, with prior concurrence of the medical director, for members of the limited staff who are not employed by the Ohio state university, to permit them the members of the limited staff to satisfy approved graduate medical education clinical rotations of one hundred twenty days or less at the Ohio state university hospitals. In such cases, the mandatory requirement for a faculty appointment may be waived. All other requirements for special privileges medical staff membership must be satisfied.

~~(3)~~ (d) Special Temporary privileges ~~will~~ may be extended to visiting medical faculty or for special activity as provided by the Ohio state medical or dental board.

~~(4)~~

(3) Podiatric privileges:

(a) All patients admitted to the Ohio state university hospitals for podiatric care shall receive the same medical appraisal as all other hospitalized patients. A member of the medical staff who is a doctor of medicine or osteopathic medicine:

(i) shall admit the patient and shall be responsible for the history and physical and medical care that may be required at the time of admission or that may arise during hospitalization; ~~and;~~

(ii) shall determine the need of any proposed procedure based on the total health status of the patient. The podiatrist will be responsible for the podiatric care of the patient; and

(iii) confirm the findings, conclusions and assessment of risk prior to high risk diagnostic or therapeutic interventions defined by the medical staff.

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The podiatrist ~~will~~ shall be responsible for the podiatric care of the patient including the podiatric history and physical examination and all appropriate elements of the patient's record. ~~Any proposed procedures by the podiatrist must be approved by, and be under the direct supervision of, an active member of the medical staff who is a doctor of medicine or osteopathic medicine.~~

- (b) The podiatrist ~~will~~ shall be responsible to the ~~chair~~ chief of the department of orthopedic surgery.

~~(5)~~

(4) Psychology privileges.

- (a) Psychologists shall be granted clinical privileges based upon their training, experience and demonstrated competence and judgment consistent with their license to practice. ~~They will~~ Psychologists shall not prescribe drugs, or perform surgical procedures, or in any other way practice outside the area of their approved clinical privileges or expertise, unless otherwise authorized by law.
- (b) Psychologists may not admit patients to the Ohio state university hospitals.—~~In inpatient settings they, but~~ may diagnose and treat a patient's psychological illness as part of the patient's comprehensive care while hospitalized. All patients admitted for psychological care shall receive the same medical appraisal as all other hospitalized patients. A member of the medical staff who is a doctor of medicine or osteopathic medicine shall admit the patient and shall be responsible for the history and physical and any medical care that may be required during the hospitalization, and shall determine the appropriateness of any psychological therapy based on the total health status of the patient. Psychologists may provide consultation within their area of expertise on the care of patients within the Ohio state university hospitals.

~~In outpatient settings, they will~~ psychologists shall diagnose and treat their ~~patients~~ patients' psychological illness. ~~They will~~ Psychologists shall ensure that their patients receive referral for appropriate medical care.

- (c) Psychologists ~~will~~ shall be responsible to the chief of the clinical department in which they are appointed.

(5) Dental privileges.

Practitioners of dentistry, who have not been granted clinical privileges as oral and maxillofacial surgeons, may admit patients to the Ohio state university hospitals if such patients are being admitted solely to receive care which a dentist may provide without medical assistance, pursuant to the scope of the professional license of the dentist. Practitioners of dentistry must, in all other circumstances co-admit patients with a member of the medical staff who is a doctor of medicine or osteopathic medicine. A member of the medical staff who is a doctor of medicine or osteopathy shall be responsible for any medical problems that the patient has while an inpatient of the Ohio state university hospitals.

(6) Other licensed health care professionals.

- (a) Associates to the medical staff privileges:—Other Clinical privileges may be exercised by licensed health care professionals who are duly licensed in the state of Ohio, and who are either:

- (i) members Members of the faculty of the Ohio state university, or college of medicine and public health may be granted clinical privileges to provide specified patient care services under the supervision or direction of a physician member of the medical staff.—Such professionals must apply for privileges in the same manner as provided in rule 3335-43-04 of the Administrative Code and this rule for medical staff membership and clinical privileges. Each person granted

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~~privileges must be assigned to a clinical department appropriate to the associate's professional training and will be responsible to the chief of the clinical department for all activities. The medical staff administrative committee may establish particular qualifications required of members of a specific health care profession, provided that such qualifications are not founded on arbitrary or discriminatory basis and are in conformance with applicable law. No other section of the medical staff bylaws, including the appeals mechanism, applies to these individuals.~~

~~(ii) Employees of the Ohio state university whose employment involves the exercise of clinical privileges, or~~

~~(iii) Employees of members of the medical staff.~~

~~(7) A physician may employ or utilize the services of a professional assistant in the administration of patient care if this assistant has a defined job description, has received credentials review and approval by the appropriate hospital reviewing body, and is operating within existing hospital standards, policies, and procedures. The physician will be responsible for all patient care activities performed on the physician's behalf.~~

~~(b) A licensed health care professional as used herein, shall not be eligible for medical staff membership but shall be eligible to exercise those clinical privileges granted pursuant to these bylaws and in accordance with applicable Ohio state law. Licensed health care professionals shall apply and re-apply for clinical privileges on forms prescribed by the medical staff administrative committee and shall be processed in the same manner as provided in rule 3335-43-04 of the Administrative Code subject to the provisions of paragraph (F)(6) of this rule.~~

~~(c) Licensed health care professionals are not members of the medical staff, shall have no authority to admit or co-admit patients to the Ohio state university hospitals, and shall not be eligible to hold office, to vote on medical staff affairs, or to serve on standing committees of the medical staff unless specifically authorized by the medical staff administrative committee.~~

~~(d) Each licensed health care professional shall be individually assigned to a clinical department, or, if appropriate, to a member of the medical staff.~~

~~(e) Licensed health care professionals must comply with all limitations and restrictions imposed by their respective licenses, certifications, or legal credentials as required by Ohio law, and may only exercise those clinical privileges granted in accordance with provisions relating to their respective professions.~~

~~(f) Only applicants who can document the following shall be qualified for clinical privileges as a licensed health care professional:~~

~~(i) Current license, certification, or other legal credential required by Ohio law.~~

~~(ii) Certificate of authority, standard care agreement, or utilization plan.~~

~~(iii) Education, training, professional background and experience, and professional competence.~~

~~(iv) Patient care quality indicators definition for initial appointment. This data will be in a format determined by the licensed health care professional subcommittee and the quality management department.~~

~~(v) Adherence to the ethics of the profession for which an individual holds a license, certification, or other legal credential required by Ohio law.~~

~~(vi) Evidence of required immunization.~~

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- (vii) Evidence of good personal and professional reputation as established by peer recommendations.
- (viii) Satisfactory physical and mental health to perform requested clinical privileges.
- (xi) Ability to work with members of the medical staff and the Ohio state university hospitals employees.
- (g) The applicant shall have the burden to produce documentation with sufficient adequacy to assure the medical staff and the Ohio state university hospitals that any patient cared for by the licensed health care professional seeking clinical privileges shall be given quality care, and that the efficient operation of the Ohio state university hospitals will not be disrupted by the applicant's care of patients in the Ohio state university hospitals.
- (h) By applying for clinical privileges as a licensed health care professional, the applicant agrees to the following terms and conditions:
 - (i) The applicant has read the bylaws and rules and regulations of the medical staff of the Ohio state university hospitals and agrees to abide by all applicable terms of such bylaws and any applicable rules and regulations, including any subsequent amendments thereto, and any applicable Ohio state university hospitals policies that the Ohio state university hospitals may from time to time put into effect.
 - (ii) The applicant releases from liability all individuals and organizations who provide information to the Ohio state university hospitals regarding the applicant and all members of the medical staff, the Ohio state university hospitals staff, the Ohio state university hospitals board and the Ohio state university board of trustees for all acts in connection with investigating and evaluating the applicant.
 - (iii) The applicant shall not deceive a patient as to the identity of any practitioner providing treatment or service in the Ohio state university hospitals.
 - (iv) The applicant shall not make any statement or take any action that might cause a patient to believe that the licensed health care professional is a member of the medical staff.
 - (v) The applicant shall not perform any patient care in the Ohio state university hospitals that is not permitted under the applicant's license, certification, or other legal credential required under Ohio law.
 - (vi) The applicant shall obtain and continue to maintain professional liability insurance in such amounts required by the medical staff.
- (i) Licensed health care professionals shall be subject to corrective action as outlined in this paragraph for violation of these bylaws, their certificate of authority, standard of care agreement, utilization plan, or the provisions of their licensure, including professional ethics. Corrective action may be requested by any member of the medical staff, a chief of the clinical department, or by the medical director. All requests shall be in writing and shall be submitted to the medical director. The medical director shall appoint a three-person committee to review and make recommendations concerning appropriate corrective action. The committee shall consist of at least one licensed health care professional and one medical staff member. The committee shall make a written recommendation to the medical director, who may accept, reject, or modify the recommendation.
- (j) Appeal process.
 - (i) A licensed health care professional may submit a notice of appeal to the chairperson of the Ohio state university hospitals board within fourteen days of

receipt of written notice of any adverse corrective action pursuant to paragraph (F)(6)(i) of this rule or immediate termination under paragraph (A)(4) of rule 3335-43-04 of the Administrative Code.

- (ii) If an appeal is not so requested within the fourteen-day period, the licensed health care professional shall be deemed to have waived the right to appeal and to have conclusively accepted the decision of the medical director.
 - (iii) The appellate review shall be conducted on the record by the professional affairs, education and research committee of the Ohio state university hospitals board.
 - (iv) The affected medical staff member shall have access to the reports and records, including transcripts, if any, of the hearing committee and of the medical staff administrative committee and all other material, favorable or unfavorable, that has been considered by the medical director. The licensed health care professional shall submit a written statement indicating those factual and procedural matters with which the member disagrees, specifying the reasons for such disagreement. This written statement may cover any matters raised at any step in the procedure to which the appeal is related, and legal counsel may assist in its preparation. Such written statement shall be submitted to the professional affairs, education and research committee no later than seven days following the date of the licensed health care professional's notice of appeal.
 - (v) New or additional matters shall only be considered on appeal at the sole discretion of the professional affairs, education and research committee.
 - (vi) Within thirty days following submission of the written statement by the licensed health care professional, the professional affairs, education and research committee shall recommend to the Ohio state university hospitals board executive committee that the adverse decision be affirmed, modified or rejected, or to refer the matter back to the medical director for further review and recommendation. Such referral to the medical director may include a request for further investigation.
 - (vii) Any final decision by the university hospitals board executive committee shall be communicated by the medical director and by certified return receipt mail to the last known address of the licensed health care professional as determined by university records. The medical director shall also notify in writing the senior vice president for health sciences, the dean of the college of medicine and public health, the executive director of the Ohio state university hospitals and the vice president for health services and the chief of the applicable clinical department or departments. The medical director shall take immediate steps to implement the final decision.
- (7) A medical staff member may employ or utilize the services of a non-licensed health care professional, professional assistant in the administration of patient care if this assistant has a defined job description, has received credentials review and approval by the appropriate hospital reviewing body, and is operating within existing standards, policies, and procedures of the Ohio state university hospitals. The medical staff member shall be responsible for all patient care activities performed on the medical staff member's behalf.
- (8) In case of an emergency, any member of the medical staff to the degree permitted by the member's license and regardless of department or medical staff status shall be permitted to do everything possible to save the life of a patient using every facility of the Ohio state university hospitals necessary, including the calling for any consultation necessary or desirable. After the emergency situation resolves, the patient shall be assigned to an appropriate member of the medical staff. For the purposes of this paragraph, an "emergency" is defined as a condition which would result in serious permanent harm to a patient or in which the life of a patient is in immediate danger and any delay in administering treatment would add to that danger.

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3335-43-08 Organization of ~~the medical staff of the Ohio state university hospitals into clinical departments and divisions.~~

(A) Each member of the attending, courtesy, limited, and honorary staff shall be assigned to a clinical department ~~or~~ and division, if applicable, upon the recommendation of the applicable chief of the clinical department ~~concerned and approval of the medical director.~~

(B) Names of clinical departments and divisions.

(1) Anesthesiology

(2) Emergency medicine

(3) Family medicine

(4) Internal medicine. The following divisions are designated:

Allergy
Cardiology
Community internal medicine
Dermatology
Endocrinology and metabolism
~~Gastroenterology-Digestive diseases~~
General medicine
Hematology and oncology
Infectious diseases
Nephrology
Pulmonary
Rheumatology - immunology

(5) Neurology

(6) Obstetrics and gynecology. The following divisions are designated:

General obstetrics and gynecology
Maternal - fetal medicine
Gynecologic oncology
Endocrinology - infertility
Urogynecology

(7) Ophthalmology

(8) Orthopedic surgery

~~(8)~~

(9) Otorhinolaryngology

~~(9)~~

(10) Pathology. The following divisions are designated:

Anatomic pathology
Clinical pathology

~~(10)~~

(11) Pediatrics. The following divisions are designated:

Ambulatory pediatrics
Adolescent medicine
Allergy
Biochemical disorders
Cardiology

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Clinical genetics
Dermatology
Endocrinology/metabolism
Gastroenterology
General pediatrics
Handicapped child
Hematology/oncology
Infectious diseases
Neonatology
Nephrology
Neurology
Nutrition
Pediatric education/research and evaluation
Pharmacology/toxicology
Psychology
Pulmonary

~~(11)~~

(12) Physical medicine and rehabilitation. The following division is designated:

Rehabilitation psychology

~~(12)~~

(13) Preventive medicine

~~(13)~~

(14) Psychiatry. The following divisions are designated:

General psychiatry
Child psychiatry
Sleep disorders medicine
Geriatric psychology

~~(14)~~

(15) Radiology. The following divisions are designated:

Diagnostic radiology
Nuclear medicine
Radiation oncology

~~(15)~~

(16) Surgery. The following divisions are designated:

General surgery
Neurologic surgery
~~Orthopedic surgery~~
Pediatric surgery
Plastic surgery
Surgical oncology
Thoracic and cardiovascular surgery
Transplant
Urologic surgery
Vascular

~~(16)~~

(17) Dentistry. The following divisions are designated:

General dentistry
Oral surgery

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(C) The ~~chiefs~~ directors of the divisions in the Ohio state university hospitals ~~will~~ shall be appointed by the chiefs of the clinical departments in the Ohio state university hospitals in which the divisions are included. Clinical divisions may be added or deleted upon the recommendation of the clinical department chief with the concurrence of a majority of the medical staff administrative committee.

(D) Qualifications and responsibilities of the chief of the clinical department.

The academic department ~~chair~~ chairperson shall ordinarily serve also as the chief of the clinical department. Each clinical department chief shall be qualified by education and experience appropriate to the discharge of the responsibilities of the position. Each clinical department chief must be board certified by an appropriate specialty board or must establish comparable competence. Such ~~qualification~~ qualifications shall be judged ~~appropriate~~ by the respective dean of the college of medicine and public health or dentistry. Qualifications for chief of the clinical department generally shall include: recognized clinical competence, sound judgment and well-developed administrative skills.

(1) Procedure for appointment and reappointment of the chief of the clinical department.

Appointment or reappointment of chief of the clinical department ~~will~~ shall be made by the dean of the respective college of medicine and public health or dentistry in consultation with elected representatives of the medical staff and the medical director.

(2) Term of appointment of the chief of the clinical department.

The term of appointment of the chief of the clinical department ~~will~~ shall be concurrent with the chief's academic appointment ~~and~~ but shall be no longer than four years. Prior to the end of said four-year term, a review ~~will~~ shall be conducted ~~and will by the dean of the college of medicine and public health and such review shall~~ serve as the basis for the recommendation for reappointment. ~~Procedure will be as outlined in pursuant to~~ paragraph (D)(1) of this rule.

(3) Duties of the chief of the clinical department.

Each clinical department chief shall:

(a) Unchanged.

(b) Maintain continuing review of the professional performance of all medical staff members and other licensed health care professionals with clinical privileges within the clinical department. Determine the qualifications and competence of all personnel who are not independent practitioners who provide patient care within the clinical department. Provide for the orientation and continuing education of all persons in the clinical department.

(c) Unchanged.

(d) Make recommendations to the credentials committee regarding the criteria for membership on the medical staff and for the delineation of clinical privileges in the clinical department and continuously review, at least biennially, the clinical privilege delineation form for adjustments to services.

(e) ~~As required by the medical staff bylaws the chief of each clinical department shall review~~ Review the clinical performance including the physical and mental health of all medical staff members of the clinical department ~~including the provisions of paragraph (F)(2) of rule 3335-43-04 of the Administrative Code. On the basis of this review, the chief will in accordance with these bylaws and~~ make recommendations for appointment and reappointment to the medical staff and for clinical privileges for each medical staff member ~~with an appointment in~~ of the clinical department ~~to the credentials committee.~~

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- (f) Be responsible for the enforcement within the clinical department of ~~the~~ these bylaws, rules and regulations of the Ohio state university hospitals.
- (g) ~~Assure~~ Be responsible for the maintenance of quality control programs and assure that the quality and the appropriateness of patient care within the clinical department is continually monitored and evaluated according to ~~departmental and hospital quality assurance criteria~~ the clinical department's and the Ohio state university hospitals' quality criteria.
- (h) Unchanged.
- (i) ~~Each clinical department will hold~~ Hold monthly clinical department meetings. The agenda for the meetings ~~will shall~~ include, but not be limited to a discussion of the clinical activities of the department. Minutes of departmental meetings, including a record of attendance, ~~will shall~~ be kept in the ~~departmental~~ clinical department and the medical director's office. Greater than fifty per cent unexcused absence from ~~departmental~~ department meetings ~~could~~ may serve as the basis for a recommendation of non-reappointment to the medical staff.
- (j) Develop and implement policies that guide and support the provision of ~~departmental~~ department services and make recommendations on the proper number of qualified and competent persons needed to provide care within the service needs of the clinical department.
- (k) Provide for the integration of the clinical department and its services into the primary functions of the Ohio state university hospitals and coordinate interdepartmental and intradepartmental services.

3335-43-09 Elected officers of the medical staff of the Ohio state university hospitals.

(A) Chief of staff.

The chief of staff shall:

- (1) and (2) Unchanged.
- (3) Provide for communication between the medical staff and the Ohio state university hospitals board in matters of quality of care, education, and research.
- (4) Serve as liaison between the Ohio state university hospitals administration, medical administration, and the medical staff in all matters of mutual concern within the Ohio state university hospitals. In consultation with the medical director, seek to ensure that the medical staff is represented and participates as appropriate in any ~~hospital~~ Ohio state university hospitals deliberation which affects the discharge of medical staff responsibilities.
- (5) Call, preside, and be responsible for the agenda of all general medical staff meetings.
- (6) Make medical staff committee appointments jointly with the medical director and chief of staff ~~elect~~ for in consultation with the executive director of the Ohio state university hospitals and with the approval by the deans of the respective dean of the college of medicine and public health or dentistry and university hospitals board, with the exception of the members of the medical staff administrative committee and nominating committee.
- (7) and (8) Unchanged.
- (9) Be representative to the council of the academy of medicine of the city of Columbus and Franklin county, or select a representative to serve on the council.

Amended Medical Staff Bylaws of The Ohio State University Hospitals (contd)

(B) Chief of staff-elect.

The chief of staff-elect shall:

- (1) Serve on those committees of the Ohio state university hospitals board as appointed by the chairperson of the university hospitals board.
- (2) through (4) unchanged.

(C) Representatives of the medical staff elected at-large.

- (1) There shall be three medical staff representatives elected at-large. Each ~~will~~ representative shall be a member of the medical staff administrative committee and ~~will~~ shall serve on those committees of the Ohio state university hospitals board as appointed by the chairperson of the university hospitals board.
- (2) Each ~~will~~ representative shall have responsibility for a group of medical staff committees, as defined in the rules and regulations.

(D) Representative of the courtesy ~~or~~ and community affiliate staff.

- (1) There shall be a member of the courtesy ~~or~~ and community affiliate staff, elected solely by courtesy ~~or~~ and community affiliate staff members, who shall serve on the medical staff administrative committee as provided by paragraph (D) of rule 3335-43-10 of the Administrative Code.
- (2) ~~This~~ The courtesy or community affiliate staff member representative ~~will~~ shall be selected from nominations made from the floor by members of the courtesy ~~or~~ and community affiliate staff at a meeting scheduled for that purpose. Nominees ~~will~~ shall be submitted in writing to all members of the courtesy and community affiliate staff for voting no later than ~~the first of~~ March first of the election year.
- (3) The ~~person~~ representative so elected will serve a two-year term beginning ~~on the first of~~ July first, and ~~may succeed themselves for three successive terms (six years)~~ the representative may serve for a total of three successive terms if so elected. They Upon completion of three successive terms, a representative may not continue longer serve again without a period of two years out of office.
- (4) Any vacancy ~~will~~ shall be filled from among the membership of the courtesy staff by the chief of staff. The ~~person~~ member so appointed ~~will~~ shall serve until the next scheduled election, unless removed by a majority vote upon written ballot of the courtesy and community affiliate staff. Grounds for removal shall be the same as referenced in paragraph (F)(6) of this rule.
- (5) No other provisions of this rule ~~3335-43-09 of the Administrative Code~~ shall apply to the courtesy and the community affiliate staff representative, ~~and members.~~ Members of the courtesy staff shall not be eligible to vote for or hold other elected office in the medical staff organization.

(E) Qualifications of officers.

- (1) Officers must be members of the attending staff at the time of their nomination and election and must remain members in good standing during ~~their~~ the term of their office. Failure to maintain such status shall immediately create a vacancy in the office involved.
- (2) The medical director, associate medical directors, assistant medical directors and chiefs of the clinical departments ~~are~~ shall not be eligible to serve as chief of staff or chief of staff-elect unless they are replaced in their Ohio state university hospitals administrative role during the period of their term of office.

(F) Election of officers.

- (1) All officers (other than at-large officers) ~~will~~ shall be elected by a majority of those voting by written ballot of the attending staff at a meeting scheduled for that purpose.
- (2) The nominating committee ~~will~~ shall be composed of five members. The chief of staff and the chief of staff-elect ~~will~~ shall serve on the committee and the chief of staff-elect ~~will~~ shall be its chairperson. ~~The three~~ Three other members of the committee ~~will~~ shall be nominated from the floor and elected, by a plurality upon written ballot, at a meeting scheduled for that purpose.
- (3) The committee's nominees ~~will~~ shall be submitted in writing to all voting members of the attending staff no later than ~~the first of~~ March first of the election year.
- (4) Nominations for officers ~~will~~ shall be accepted from the floor.
- (5) Candidates for the office of chief of staff-elect ~~will~~ shall be listed and each attending staff member ~~may vote for one~~ shall be entitled to cast one vote. Candidates for the at-large positions ~~will~~ shall be voted upon as a group. Each voting member of the attending staff ~~may~~ shall be entitled to vote for three at-large candidates. The three candidates with the highest number of votes ~~will~~ shall be elected. A majority of the votes ~~is~~ shall not be necessary.
- (6) Unchanged.

(G) Term of office.

- (1) The chief of staff and chief of staff-elect ~~will~~ shall each serve two years in office beginning ~~on the first of~~ July first. ~~The chief of staff shall be elected in even numbered years.~~ The chief of staff-elect ~~will~~ shall be elected in the odd numbered years. ~~The A former chief of staff may not be elected chief of staff-elect within one year of the end of the chief of staff's term in office~~ succeed the immediately preceding chief of staff-elect.
- (2) The at-large representatives ~~will~~ shall each serve two years, beginning ~~on the first of~~ July first. ~~They~~ The at-large representatives may succeed themselves for three successive terms (six years total), if so elected. ~~They may not continue longer~~ Upon completion of the three successive terms, the representative may not serve again without a period of two years out of office as an at-large representative. ~~They~~ The representative may be elected chief of staff-elect at any time.

(H) Vacancies in office.

- (1) ~~Vacancies~~ A vacancy in the office of chief of staff ~~during the term will be automatically succeeded and performed~~ shall be filled by the chief of staff-elect. ~~When~~ If the unexpired term is one year or less, the new chief of staff ~~will continue in office until the completion of his or her expected term in that office~~ shall serve out the remaining term in office and shall then serve as chief of staff for the term for which elected. ~~When~~ If the unexpired term is more than one year, the new chief of staff ~~will serve until the end of the term of the chief of staff he or she replaced~~ shall serve out the remaining term only.
- (2) Vacancies in the office of chief of staff-elect ~~will~~ shall be filled by a special election held within sixty days of ~~establishing the vacancy~~ by the nominating and election process set forth in paragraph (F) of this rule. ~~The nominating committee will make nominations and a special meeting of the attending staff will be called to add nominations and elect the replacement.~~ The new chief of staff-elect ~~will~~ shall become chief of staff at the end of the term of the incumbent.
- (3) Vacancies in the at-large representatives and courtesy or community affiliate staff positions ~~will~~ shall be filled by appointment by the chief of staff.

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3335-43-10 Administration of the medical staff of the Ohio state university hospitals.

(A) Medical director.

~~(A)~~ The medical director shall be a physician and shall be a member of the attending medical staff at the Ohio state university hospitals.

The appointment, scope of authority, and responsibilities of the medical director shall be as outlined in the Ohio state university hospitals board bylaws.

(B) Medical staff committees.

~~(B)~~ (1) Appointments:

Appointments to all medical staff committees except the medical staff administrative committee and the nominating committee ~~will~~ shall be made jointly by the chief of staff, chief of staff-elect, and the medical director with consultation with the executive director of the Ohio state university hospitals and approved by the ~~senior vice president for health sciences and dean~~ respective deans of the college of medicine and public health or dentistry and the university hospitals board. Unless otherwise provided by ~~the~~ these bylaws, all appointments to medical staff committees ~~are~~ shall be for one year and may be renewed. The chief of staff, medical director, and the executive director of the Ohio state university hospitals may serve on any medical staff committee as an ex officio member without vote.

~~(C)~~ (2) Meetings:

Each medical staff committee ~~will~~ shall meet at the call of its chairperson and at least quarterly. Committees ~~must~~ shall maintain records of proceedings and minutes of meetings and shall forward all recommendations and actions taken to the medical director who shall promptly communicate them to the medical staff administrative committee. The chairperson shall control the committee agenda, attendance of staff and guests, and conduct of the proceedings. A simple majority of appointed voting members shall constitute a quorum.

~~(D)~~

(C) Medical staff administrative committee:

(1) Composition.

(a) This committee shall consist of the chief of staff, chief of staff-elect, chiefs of the clinical departments, three medical ~~attending~~ staff representatives elected at large, one medical courtesy or community affiliate staff representative, the medical director, and the executive director of the Ohio state university hospitals. The medical director ~~will~~ shall be the chairperson and the chief of staff ~~will~~ shall be vice-chairperson.

(b) Any member of the committee who anticipates absence from a meeting of the committee may appoint as a temporary substitute another member of the same category of the medical staff to represent him or her at the meeting. The temporary substitute ~~will~~ shall have all the rights of the absent member. The executive director of the Ohio state university hospitals may invite any member of the executive director's staff to represent him or her at a meeting or to attend any meeting.

(2) Duties.

(a) Unchanged.

(b) To receive and act upon commission and committee reports.

(c) To delegate appropriate staff business to committees while retaining the right of executive responsibility and authority over all medical staff committees.

Amended Medical Staff Bylaws of The Ohio State University Hospitals (contd)

~~(e)~~

(d) To implement policies of the medical staff.

~~(d)~~

(e) To provide a liaison between the medical staff, medical director, executive director, and the university hospitals board.

~~(e)~~

(f) To recommend action to the medical director and executive director of the Ohio state university hospitals on matters of medico-administrative nature.

~~(f)~~

(g) To fulfill the medical staff's accountability to the university hospitals board and the board of trustees of the Ohio state university for medical care rendered to patients in the Ohio state university hospitals, and for the professional conduct and activities of the medical staff, including recommendations concerning:

(i) medical staff structure,

(ii) the mechanism to review credentials and to delineate clinical privileges,

(iii) the mechanism by which medical staff membership may be terminated,

(iv) participation in the Ohio state university hospitals' performance improvement activities, and

(v) corrective action and hearing procedures ~~on~~ applicable to medical staff members and other licensed health care professionals granted clinical privileges.

~~(g)~~

(h) To ensure the medical staff is kept abreast of the accreditation process and informed of the accreditation status of the Ohio state university hospitals.

~~(h)~~

(i) To review and act on medical staff appointments, reappointments, and requests for delineation of clinical privileges.

~~(i)~~

(j) To report to the medical staff all actions affecting the medical staff.

~~(j)~~

(k) To inform the medical staff of all changes in committees, and the elimination of such committees as circumstances shall require.

~~(k)~~

(l) To appoint committees to meet the needs of the medical staff and comply with the requirements of accrediting agencies.

~~(l)~~

(m) To establish and maintain rules and regulations governing the medical staff.

~~(m)~~

(n) To perform other functions as are appropriate.

(3) Meetings.

The committee shall meet monthly and shall keep detailed minutes which shall be distributed to each committee member and to the university hospitals board through the professional affairs, education, and research committee.

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~~(E)~~

(D) Credentials committee:

(1) Composition:

The committee shall ~~be composed~~ consist of nine members of the medical staff. Appointments to the committee shall be in accordance with paragraph ~~(A)(1)~~ (B) of this rule. The chairperson of the committee shall be appointed jointly by the medical director and the chief of staff.

(2) Duties:

~~(a) Following the recommendation of the chief of the clinical department, the committee will~~ To receive, through the office of the medical director, all applications for medical staff appointment and reappointment, following recommendation of the chief of the clinical department and receive all requests for delineation, renewal, or amendment of clinical privileges;

~~(b) The chief of the clinical department will assure that the requests and applications include letters of reference from medical school, internship and residency, other post-graduate experiences, and that reference audits have been completed. The chief of the clinical department will assure that the applicant meets the criteria for membership and for clinical privileges set forth in the medical staff bylaws. This review shall be submitted by the chief of the clinical department to the committee within sixty days of receipt of the signed and completed application or request.~~

~~(c)~~

(b) ~~The committee will~~ To review all applications for medical staff appointment and reappointment, as well as all requests for delineation, renewal, or amendment of clinical privileges in the manner provided in these medical staff bylaws, including applicable time limits;

~~(d)~~

(c) ~~Renewal~~ To review biennially all applications ~~will be reviewed biennially unless a change in appointment or privileges is otherwise received from the chief of the~~ for reappointment or renewal of clinical department privileges;

~~(e)~~

(d) ~~Provisional appointments to the~~ To review all requests for changes in medical staff ~~will be reviewed by the credentials committee after six months and with the recommendation of the chief of the~~ membership or clinical department privileges;

~~(f)~~

(e) ~~It will be the responsibility of~~ To assure, through the chairperson of the committee, ~~to assure that all records of peer review activity taken by the committee, including committee minutes, and are handled and kept with utmost confidentiality maintained in the strictest of confidence in accordance with the laws of the state of Ohio.~~ The committee may conduct investigations and interview applicants as needed to discharge its duties. The committee may refer issues and receive issues as appropriate from other medical staff committees;

~~(g)~~

(f) ~~The committee will~~ To make its ~~recommendation~~ recommendations to the medical staff administrative committee through the ~~office of the~~ medical director regarding appointment applications and initial requests for clinical privileges. Applications and requests referred to the medical staff administrative committee will have the following information: Such recommendations shall include the name, status, department (division), medical school and year of graduation, residency and fellowships, medical-related employment since graduation, board certification and recertification, licensure status as well as all other relevant information concerning the applicant's current

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competence, experience, and qualifications and ability to perform the clinical privileges requested;

~~(h)~~

~~(g) The committee or the medical director may request~~ To recommend to the medical staff administrative committee to consider that certain applications for appointment be reviewed in executive session;

~~(i)~~

~~(h) The committee~~ To make recommendations, after review and investigation of matters related to its responsibilities, may make recommendations to the medical director, chief of staff, or the chief of a clinical department, requesting regarding the restriction or limitation of any member a member's of the medical staff's clinical privileges, for noncompliance with the credentialing process. The committee will review all grants of special or temporary privileges and will review clinical privileges for associates to the medical staff as well as physicians assistants to the medical director, chief of staff, or the chief of a clinical department;

(i) To review all grants of temporary privileges; and

(j) To review requests made for clinical privileges by other licensed health care professionals as set forth in paragraph (F)(6) of rule 3335-43-07 of the Administrative Code.

(3) Licensed health care professionals subcommittee:

(a) Composition:

This subcommittee shall consist of licensed health care professionals who have been appointed in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code and shall also include the director of nursing who shall serve as chair of the subcommittee. The subcommittee shall include a certified nurse midwife, a certified registered nurse anesthetist, a certified nurse practitioner, a clinical nurse specialist, and other appropriate licensed health care professionals.

(b) Duties:

(i) To review, within thirty days of receipt, all completed applications as may be referred by the credentials committee.

(ii) To review and investigate the character, qualifications and professional competence of the applicant.

(iii) To review the applicant's patient care quality indicator definitions on initial granting of clinical privileges and the performance based profile at the time of renewal.

(iv) To verify the accuracy of the information contained in the application.

(v) To request a personal interview with the applicant if deemed appropriate.

(vi) To forward, following review of the application, a written recommendation for clinical privileges to the credentials committee for review at its next regularly scheduled meeting.

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~~(F)~~

(E) Committee for physician health.

(1) Composition:

The committee shall consist of seven medical staff members appointed pursuant to ~~these bylaws in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code.~~

(2) Duties:

(a) To consider issues of physician health or impairment when requested to do so by ~~the physician an affected member~~ or another member or committee of the medical staff, ~~hospital~~ the Ohio state university hospitals staff, or any other individual.

(b) To educate the medical staff and the Ohio state university hospitals staff about illness and impairment recognition issues specific to physicians.

~~(b)~~

(c) To provide appropriate counsel, and referral and monitoring to enable physician the medical staff member to obtain appropriate diagnosis and treatment, and to provide appropriate standards of care.

~~(c)~~

(d) To consult regularly with the chief of staff and medical director of the hospital Ohio state university hospitals.

~~(d)~~

(e) To advise credentials and/or other appropriate medical staff committees on any issues affecting the quality and safety of patient care.

~~(e)~~

(f) It will be the responsibility of To assure, through the chairperson of the committee, to assure that all proceedings and records are handled and kept with utmost confidentiality maintained in the strictest confidence in accordance with the laws of the state of Ohio.

~~(G)~~

(F) Bylaws committee.

(1) Composition:

The committee shall consist of those members appointed ~~as provided in the medical staff bylaws in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code.~~ The chairperson ~~will~~ shall always be the chief of staff-elect.

(2) Duties:

(a) To review and recommend amendments, as appropriate, to ~~the~~ these medical staff bylaws at least every two years.

(b) To receive from members of the medical staff or the medical staff administrative committee any suggestions that may necessitate amendment of ~~the~~ these bylaws.

~~(H)~~

(G) Infection control committee.

(1) Composition:

The medical staff members of the committee shall consist of those ~~medical staff members appointed pursuant to the medical staff bylaws and in accordance with~~

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paragraph (A)(6) of rule 3335-43-09 of the Administrative Code. The committee shall also include ~~representation~~ representatives of nursing, environmental services, and hospital administration as may be invited from time to time by the chief of staff. The chairperson ~~will~~ shall be a physician member of the medical staff with experience ~~and/or~~ training in infectious diseases.

(2) Duties:

- (a) ~~To define, survey, correlate, review, evaluate, revise~~ oversee surveillance and institute any recommendations necessary for the ~~identification~~ investigation, prevention, containment ~~and investigation of environmental and infectious diseases of nosocomial and clinical infectious diseases of both patients and staff~~ at all facilities owned, operated, or controlled by the Ohio state university hospitals and subject to JCAHO standards.
- (b) ~~The~~ To take necessary action through the chairperson of the committee, ~~and the Ohio state university hospitals' epidemiologist~~, in consultation with the medical director of the Ohio state university hospitals, ~~will take necessary actions~~ to prevent and control emerging spread or outbreaks of infections; isolate communicable and infectious patients as indicated; and obtain all necessary cultures in emergent situations when the responsible medical staff member is unavailable.

(H)

(H) Ethics committee.

(1) Composition:

The committee shall consist of members of the medical staff, nursing, hospital administration, and other persons who by reason of training, vocation, or interest may make a contribution. ~~Appointments will~~ Members shall be made appointed as provided in these bylaws. The chairperson shall be a ~~physician~~ medical staff member who is a clinically active ~~member of the medical staff~~ physician.

(2) Duties:

- (a) Unchanged.
- (b) To provide ethical guidelines and information in response to requests from members of the medical staff, patients, patient's family or other representative, and staff members of the Ohio state university hospitals.
- (c) To provide a support mechanism for primary decision makers at the Ohio state university hospitals.
- (d) To provide educational resources on ethics to all health care providers at the Ohio state university hospitals.
- (e) Unchanged.

(I)

(I) Medical records committee.

(1) Composition:

The members of this committee shall ~~be~~ consist of those members appointed in accordance with ~~these bylaws~~ paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall consist of a majority of members of the medical staff ~~together with representation~~ and shall include representatives from nursing, medical records administration, and hospitals administration.

(2) Duties:

- (a) To review, analyze, and evaluate the medical records system to assure the form and content thereof satisfy prevailing accreditation standards, legal concerns, ~~hospital~~ Ohio state university hospitals policy, and reimbursement requirements.
- (b) Unchanged.
- (c) ~~Review~~ To review the quality of medical records for clinical pertinence and timely completion.
- (d) ~~Assure~~ To assure that each medical record or a representative sample of records reflect the diagnosis, results of diagnostic tests, therapy given, the condition and in-hospital progress of the patient, condition of the patient at discharge, the identity of health care providers and that the foregoing information is completed in the medical records on a timely basis.
- (e) ~~Review~~ To review all medical record forms and recommend their use, improvement or alteration.
- (f) ~~Assure~~ To assure maintenance of complete, accurate medical records in compliance with requirements of the quality assurance and utilization management committee, state and federal governmental agencies, accreditation bodies, and purchasers of care.
- (g) ~~Review~~ To review procedures for the safe guarding and retention of medical records against loss, ~~spoilation~~ spoliation, tampering, or use by unauthorized persons and make recommendations thereon.

~~(K)~~

(J) Leadership council for clinical value enhancement.

The leadership council shall consist of members appointed ~~pursuant to the medical staff bylaws~~ in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall include the senior vice president for health sciences and dean of the college of medicine and public health and the chairperson of the professional affairs education and research committee of the university hospitals board as ex-officio members without a vote. The medical director shall be the chairperson of the leadership council.

(1) Clinical quality management policy group.

(a) Composition:

The members of this group shall be appointed pursuant to these bylaws, ~~including physicians~~ and shall include medical staff members from various clinical ~~areas~~ departments and support services, and shall include the director of the clinical quality management policy group, and ~~representation by representatives of~~ representation by representatives of nursing and hospitals administration. The chairperson of the policy group ~~will~~ shall be a physician member of the medical staff.

(b) Duties:

- (i) ~~Coordinate~~ To coordinate the quality management related activities of the clinical departments, medical records, utilization review, infection control, pharmacy and therapeutics and drug utilization committee, transfusion and immunization, and other medical staff and the Ohio state university hospitals committees.
- (ii) ~~Implement~~ To implement clinical improvement programs to achieve the goals of the Ohio state university hospitals quality management plan, as well as assure optimal compliance with accreditation standards and governmental regulations concerning performance improvement.

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- (iii) ~~Review~~ To review, analyze, and evaluate on a continuing basis the performance of the medical staff and other health care providers; and advise the clinical department clinical quality sub-committees in defining, monitoring, and evaluating quality indicators of patient care and services.
- (iv) ~~Serve~~ To serve as liaison between the Ohio state university and the Ohio peer review organizations through the chairperson of the policy group and the director of clinical quality.
- (v) ~~Make~~ To make recommendations to the medical staff administrative committee on the establishment of and the adherence to standards of care designed to improve the quality of patient care delivered in the Ohio state university hospitals.
- (vi) ~~Hear~~ To hear and determine issues concerning the quality of patient care rendered by members of the medical staff and the Ohio state university hospitals staff and make appropriate recommendations and evaluate action plans when appropriate to the medical director, the chief of a clinical department, or the Ohio state university hospitals administration.
- (vii) ~~Appoint~~ To appoint ad-hoc interdisciplinary teams to address ~~hospital-wide~~ the Ohio state university hospitals-wide quality management plan.
- (viii) ~~Annually~~ To annually review and revise as necessary the Ohio state university hospitals-wide clinical quality management plan.
- (ix) ~~Report~~ To report and coordinate with the leadership council for clinical value enhancement all quality improvement initiatives.

(2) Clinical resource management policy group.

(a) Composition:

The members shall be appointed pursuant to these bylaws including physicians in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code and shall include medical staff members from various ~~areas~~ clinical departments and support services. ~~The~~ the director of clinical quality and resource management policy group, and ~~representation by~~ representatives of nursing and hospitals administration. The chairperson of the policy group ~~will~~ shall be a physician member of the medical staff.

(b) Duties:

- (i) ~~Promote~~ To promote the most efficient use of ~~hospital~~ the Ohio state university hospitals facilities and services by participating in the review process and continued stay reviews on all hospitalized patients, and promote the most efficient use of clinical resources and ~~hospital~~ the Ohio state university hospitals facilities and services by participating and facilitating the processes of admission review, continue stay reviews, and ~~retrospective~~ retrospective reviews as required.
- (ii) ~~Formulate~~ To formulate and maintain a written resource management review plan for the Ohio state university hospitals consistent with applicable governmental regulations and accreditation requirements.
- (iii) ~~Conduct~~ To conduct resource management studies by clinical ~~service~~ department or division, or by disease entity as requested.
- (iv) ~~Report~~ To report and recommend to the leadership council for clinical value enhancement changes in clinical practice patterns in compliance with applicable

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governmental regulations and accreditation requirements, and when the opportunity exists to improve the resource management of the Ohio state university hospital facilities or services.

(3) Outcomes measurement policy group.

(a) Composition:

The members shall be appointed ~~pursuant to these bylaws including physicians in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall include members of the medical staff from various clinical areas and support services, representation by and representatives of nursing, pharmacy, information systems, hospitals administration, and any other appropriate areas.~~ The chairperson of the policy group ~~will~~ shall be a physician member of the medical staff.

(b) Duties:

- (i) ~~Oversee~~ To oversee the prioritization, planning and analysis of outcomes measurement projects to be conducted as part of quality improvement initiatives at the Ohio state university hospitals. Prioritization and planning should be based on the prioritization criteria and the annual priorities approved by the leadership council for clinical value enhancement.
- (ii) ~~Oversee~~ To oversee the development of outcomes measurement, risk assessment, and risk stratification tools for use in quality improvement initiatives at the Ohio state university hospitals.
- (iii) ~~Report~~ To report and recommend to the leadership council for clinical value enhancement specific process and outcomes measures for each clinical practice guideline.
- (iv) ~~Present~~ To present and communicate outcomes measurement data to the leadership council for clinical value enhancement.
- (v) ~~Oversee~~ To oversee ongoing education of medical staff (including specifically housestaff) and other appropriate ~~hospital~~ Ohio state university hospitals staff regarding the fundamental concepts and value of outcomes measurement and its relation to quality improvement.
- (vi) ~~Initiate~~ To initiate and support research projects when appropriate in support of the objectives of the leadership council for clinical value enhancement.
- (vii) ~~Regularly~~ To regularly report a summary of all actions to the leadership council for clinical value enhancement.

(4) Practice guidelines policy group.

(a) Composition:

The members shall be appointed ~~pursuant to these bylaws including physicians in accordance with paragraph (A)(6) of rule 3335-43-09 of the Administrative Code, and shall include medical staff members from various clinical areas departments and support services, the director of the clinical quality and management policy group, and representation by representatives of nursing and hospitals administration.~~ The chairperson of the policy group ~~will~~ shall be a physician member of the medical staff.

(b) Duties:

- (i) ~~Oversee~~ To oversee the planning, development, approval, implementation and periodic review of clinical practice guidelines for use within the Ohio state university hospitals and its affiliated institutions. Planning should be based on

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the prioritization criteria approved by the leadership council for clinical value enhancement and review should focus on incorporating recent medical practice, literature or developments. Annual review should be done in cooperation with members of the medical staff with specialized knowledge in the field of medicine related to the guideline.

- (ii) ~~Report~~ To report regularly to the leadership council for clinical value enhancement for approval of all new and periodically reviewed clinical practice guidelines for use within the Ohio state university hospitals and its affiliated institutions.
- (iii) ~~Oversee~~ To oversee the development, approval and periodic review of the clinical elements of computerized ordersets and clinical rules to be used within the information system of the Ohio state university hospitals and its affiliated institutions. Computerized ordersets and clinical rules related to specific practice guidelines should be forwarded to the leadership council for clinical value enhancement for approval. All other computerized value enhancement for approval. All other computerized ordersets and clinical rules should be forwarded to the leadership council for clinical value enhancement for information.
- (iv) ~~Oversee~~ To oversee the development, approval, implementation and periodic review of clinical care pathways for use within the Ohio state university hospitals and its affiliated institutions.
- (v) ~~Oversee~~ To oversee ongoing education of the medical staff (including specifically housestaff) and other appropriate ~~hospital~~ Ohio state university hospitals staff on the fundamental concepts and value of clinical practice guidelines.
- (vi) ~~Regularly~~ To regularly report a summary of all actions to the leadership council for clinical value enhancement. (B/T 4/7/2000, B/T 10/5/2001)

3335-43-11 Meetings and dues.

(A) Meetings.

The medical staff of the Ohio state university hospitals shall conduct scheduled meetings twice yearly. Notice of the meeting ~~will~~ shall be sent to all attending staff at least two weeks prior to the meeting. Attendance at ~~at least a minimum of~~ one of the two regularly scheduled meetings shall be a requirement for continued medical staff membership and clinical privileges. Exceptions requested in writing in advance may be granted by the chief of staff, chief of staff-elect, or medical director. Unexcused absences ~~will~~ shall be reported to the medical staff administrative committee. ~~A special meeting~~ Special meetings of the medical staff may be called at the option of the medical staff administrative committee.

(B) Dues.

The medical staff, by two-thirds vote of those in attendance at a regularly scheduled meeting, may establish dues. Payment of dues is a requirement for continued staff membership.

3335-43-12 Amendments.

The bylaws committee ~~will~~ shall review the bylaws and rules and regulations as need arises but not less than every two years. This committee ~~will~~ shall recommend changes to the medical staff administrative committee.

These ~~medical staff~~ bylaws may be amended after notice is given at ~~one~~ a meeting of the medical staff administrative committee. Such notice shall be laid on the table until the next meeting and shall require two-thirds vote of the members of the medical staff administrative committee present for approval and submission to the medical staff for ratification. Ratification of an amendment shall be by a plurality of those medical staff members voting through written ballot. When an

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amendment is approved by the medical staff administrative committee and ratified by the medical staff it shall be forwarded in successive order to ~~the following: the Ohio state university hospitals board; the president of the Ohio state university; and the board of trustees of the Ohio state university.~~ Each of the foregoing reviewers which shall accept or reject the requested amendment. The amendment shall become effective when accepted by the board of trustees of the Ohio state university in the form ratified by the medical staff. Significant changes to these bylaws shall be reported in writing to the medical staff and other individuals who have clinical privileges. Neither the medical staff, nor the Ohio state university hospitals board may unilaterally amend these medical staff bylaws.

3335-43-13 Adoption.

(A) Unchanged.

(B) Upon adoption of these bylaws by the Ohio state university hospitals board of trustees of these bylaws, the these bylaws shall replace any previous bylaws, rules and regulations of the medical staff of the Ohio state university hospitals.

3335-43-14 Rules of construction.

(A) "Shall" as used herein is to be construed as mandatory.

(B) These bylaws should be construed to be gender neutral.

Impact Statement

August 23, 2001

The Medical Staff Bylaws and the rules and regulations of The Ohio State University Hospitals East are amended to accomplish the following objectives:

- I. Meet accreditation standards
- II. Modify corrective action provisions to create informal and formal peer review
 - A. Enhance early resolution of problems
 - B. Delineate clear time frames
- III. Amend hearing and appeal process
 - A. Limit appeals to the hospital board by eliminating appeals to the president and board of trustees
- IV. Provide for privileging of licensed healthcare professionals
 - A. Establish disciplinary and appeal rights for licensed healthcare professionals
- V. Clarify voting and meeting criteria
- VI. Delete provisional category of medical staff membership
- VII. Clarify appointment process for clinical department chiefs

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3335-45-04 Appointment and reappointment.

(A) Initial application.

(1) through (3) Unchanged.

(4) A completed application shall include, and the applicant shall have the burden to provide, at a minimum, the following information:

(a) and (b) Unchanged.

(c) The applicant shall at the time of appointment be board certified in a medical specialty approved by the American medical association and American board of medical specialties, or other similarly applicable certifying board for doctors of osteopathy, or practitioners of podiatry, psychology, or dentistry. An applicant who is an active board candidate at the time of initial appointment, shall have three years from the date eligibility was first attained to become board certified. Board certification is a continuing requirement. Whenever recertification is required by an approved subspecialty board, applicants shall meet the terms of recertification. Failure to meet or maintain board certification or recertification shall result in immediate termination from the medical staff. This requirement may be waived by the board at the recommendation of the medical executive committee, upon recommendation of the credentials committee or the medical director;

(d) Unchanged.

(e) ~~Letters of reference from at least two reputable practitioners who are~~ Peer recommendation from individual(s) knowledgeable about the applicant's ~~current professional competence, ethical character~~ clinical and professional ~~judgment~~ skills;

(f) A ~~letter of reference from~~ recommendation on membership and clinical privileges by the chief of the clinical ~~service of a hospital where the practitioner has, or has had~~ current clinical privileges ~~department(s)~~;

(g) and (h) Unchanged.

(i) Agreement to disclose any instance in which the practitioner has at any time experienced the restriction, suspension, revocation, fine or censure, denial, limitation, voluntary or involuntary relinquishment of any of the following: professional licensure, board certification or recertification, DEA registration, suspension or termination pursuant to paragraph (F) of rule 3335-45-07 of the Administrative Code, membership in any professional organization, medical staff membership or privileges, membership or privileges at any other health care facility, and membership or provider status in any state or federal health plan;

(j) through (v) Unchanged.

(B) Action on initial application.

(1) Upon receipt of a timely, signed application for medical staff membership and clinical privileges, the medical director or designee shall review and verify the application for completeness. The medical director or designee will also access the appropriate primary sources, including contact the clearinghouse to determine whether or not any malpractice claims or adverse actions have ever been reported as to the applicant. Any information obtained ~~from the clearinghouse~~ shall be documented in the record of the applicant. An incomplete application shall be returned to the applicant for completion and resubmission. Upon determining that an application is complete, the medical director or designee shall transmit the completed application to the chief of each clinical department in which the applicant seeks clinical privileges and to the credentials committee.

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- (2) Upon receipt of a completed application, the chief of each clinical department or designee ~~shall~~ should review such application with the members of the clinical department at the next regularly scheduled meeting of the clinical department or any special meeting of the clinical department called for the purpose of reviewing the application. ~~At such meeting~~ The chief of the clinical department members shall make a recommendation to the credentials committee as to membership and the granting of the clinical privileges requested, and the scope of the privileges to be granted.

(a) Unchanged.

(b) Time periods for processing an application are:

(i) and (ii) Unchanged.

(iii) ~~Executive Medical~~ executive committee review and recommendation within thirty days or one hundred twenty days from submission of a completed application, whichever is less.

(iv) Unchanged.

(3) Unchanged.

(4) The credentials committee shall make a written report of its review to the medical executive committee. Such report shall include a recommendation that the applicant be:

Balance unchanged.

(C) ~~Executive Medical~~ executive committee action on initial appointment.

(1) The medical executive committee will consider the report of the credentials committee and determine the recommendations to be made to the board at the board's next regularly scheduled meeting. The medical executive committee's recommendation shall be transmitted to the board through the medical director, and it shall be the responsibility of the medical director to send any required notices to the applicant.

(2) If the recommendation of the medical executive committee is that the applicant should be appointed to the medical staff, the medical executive committee shall also specifically recommend the clinical privileges to be granted, including any limitations to be imposed upon such clinical privileges. The medical executive committee will also recommend the clinical department(s) and the staff category to which the applicant will be assigned.

(3) If the recommendation of the medical executive committee is to defer action on the application for further consideration, the medical executive committee must specify the specific procedures that will be pursued to make a subsequent recommendation on the applicant's acceptance, rejection, or limitation of privileges.

(4) If the medical executive committee's recommendation is that the applicant should be rejected for medical staff membership, or that the clinical privileges granted to the applicant should be less than requested by the applicant, the medical director shall promptly notify the applicant by certified mail, return receipt requested, of the medical executive committee's recommendation and of his or her appeal rights under rule 3335-45-08 of the Administrative Code. No such adverse recommendation shall be transmitted to the board until the applicant has exercised or has been deemed to waive his or her rights of appeal pursuant to rule 3335-45-08 of the Administrative Code.

(D) Action of the board on initial application.

(1) If the application in question is not subject to an appeal pursuant to rule 3335-45-08 of the Administrative Code, at the next regularly scheduled meeting of the board after the medical executive committee has forwarded its recommendation, the board shall act upon the application. The board may either accept the recommendation of the medical

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executive committee, or reject or modify the recommendation of the medical executive committee. If the decision of the board is contrary to the recommendation of the medical executive committee, the board shall submit the matter to the joint conference committee for its review and recommendation and shall consider such recommendation before making its final decision.

- (2) If the recommendation of the medical executive committee has been appealed pursuant to rule 3335-45-08 of the Administrative Code, but the applicant has not requested appellate review by the board of the hearing committee's decision (see paragraphs (I) and (J) of rule 3335-45-08 of the Administrative Code), at the next regularly scheduled meeting of the board after the hearing committee or hearing officer has forwarded its decision, the board will consider the hearing committee's or hearing officer's decision and the recommendation of the medical executive committee, and act upon the application. If the decision of the board is contrary to the original recommendation of the medical executive committee and is adverse to the practitioner, the practitioner shall be entitled to the due process rights of rule 3335-45-08 of the Administrative Code.
- (3) If the recommendation of the medical executive committee has been appealed pursuant to rule 3335-45-08 of the Administrative Code, and the applicant has requested appellate review of the hearing committee's or hearing officer's decision by the board, the decision of the board on appellate review pursuant to rule 3335-45-08 of the Administrative Code shall be the board's action on the initial application.

(4) and (5) Unchanged.

(E) Term of appointment.

- (1) The board will make all appointments to the medical staff. Appointments shall be for two years, provided that all initial appointments shall be provisional in nature with the exception of the peer review medical staff, as per paragraph (B)(4)(d) of rule 3335-45-07 of the Administrative Code, initial appointments, except for the honorary and limited categories, will be provisional for six months regardless of the date of the appointment. Thereafter, the member will be subject to reappointment as set forth in paragraph (F) of this rule.
- (2) Notwithstanding the provisions of this paragraph, the clinical privileges and medical staff membership of any practitioner are subject to continuous review and reconsideration pursuant to these medical staff bylaws and the practitioner's privileges may be suspended or revoked at any time pursuant to rule 3335-45-07 of the Administrative Code, and the applicable provisions of the governing documents of the board.

(F) Reappraisal and reappointment.

- (1) Each member of the medical staff will be reappointed, at a minimum, on a biennial basis (every two years). The credentials committee shall begin to conduct its review one hundred eighty days prior to the end of the biennial period, and consider all pertinent information available on each member whose biennial term expires at the end of the medical staff year for the purpose of making a recommendation on the member's reappointment to the medical staff and for granting of clinical privileges during the term of such reappointment. ~~Provisional members shall be reviewed by the credentials committee at the expiration of their initial appointment for advancement to the active medical staff, the courtesy medical staff, the consulting staff, the limited medical staff, or peer review medical staff. If the reappointment is recommended for the provisional member, the decision regarding the appropriate medical staff category will be made on the basis of utilization of the hospital, meeting and committee attendance, recommendation of the chief of the clinical department and personal request.~~
- (2) Unchanged.

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- (3) The credentials committee will initiate its review by requesting, in writing, a completed and signed reappointment application in a form as prescribed by the medical director containing at least in the following information from the member:
- (a) through (d) Unchanged.
 - (e) A written statement from the practitioner verifying that the practitioner has met all continuing medical education requirements necessary for the maintenance of the practitioner's license and of these bylaws.
 - (f) and (g) Unchanged.
- (4) Unchanged.
- (5) The credentials committee will obtain, at a minimum, the following information from the chief of each clinical department in which the member has such privileges:
- (a) through (f) Unchanged.
 - (g) The member's demonstrated ability to work with other members of the medical staff and with hospital personnel to achieve the deliverance of medical care according to professional standards; ~~and~~
 - (h) Any other relevant factors, including, but not limited to, disruptive and/or abusive behavior which adversely affects the practitioner's or the hospital's ability to provide care according to professional standards; and
 - (i) Continuing medical education and applicable continuing professional education requirements, documentation of category I CME that at least in part relates to the individual medical staff member's specialty or subspecialty area and are consistent with the licensing requirements of the applicable state licensing board.
- (6) Unchanged.
- (7) The credentials committee shall review peer recommendations (~~two letters by fellow practitioners with current knowledge of the practitioner's competency~~) and the information provided by the member and other persons and make any investigation it determines necessary and submit a written recommendation to the medical executive committee on whether to reappoint the member and the extent of privileges, if any, to be granted. Such written recommendation shall be submitted to the medical executive committee for action at its next meeting and to the board at its next meeting after the medical executive committee meeting.
- (8) Upon receipt of the written recommendation by the medical executive committee, the procedures provided in paragraphs (C) and (D) of this rule relating to initial appointments shall be followed.
- (9) The board may reappoint a member of the medical staff as recommended by the medical executive committee for two years.

(G) Unchanged.

3335-45-05 Categories of the medical staff.

(A) The medical staff.

The medical staff is divided into honorary, consulting, active, courtesy, ~~provisional~~, limited, and peer review categories.

(B) The honorary medical staff.

- (1) The honorary medical staff will be composed of those individuals who hold emeritus faculty status and who are recognized for outstanding reputation, notable scientific and professional contributions, and high professional stature. Nomination may be made to the medical director who shall present the candidate to the medical executive committee for approval.

Members of the honorary medical staff shall have access to the medical center and be given notice of all medical staff activities and meetings, however, they shall not be required to attend staff meetings and their medical staff dues shall be waived. They shall enjoy all privileges and responsibilities of active medical staff appointments except the right to vote or hold elected office in the medical staff organization.

- (2) and (3) unchanged.

(C) Unchanged.

(D) The active medical staff.

- (1) Unchanged.
- (2) Members of the active medical staff:
 - (a) Unchanged.
 - (b) Are assessed staff dues as established by the medical executive committee and approved by the board.
 - (c) through (e) Unchanged.
 - (f) Are ~~required~~ strongly encouraged to attend at least fifty per cent of the general medical staff meetings and fifty per cent of the meetings of committees and clinical departments of which they are members.
 - (g) Unchanged.

(E) Unchanged.

~~(F) Provisional appointments.~~

- ~~(1) Except as otherwise determined by the board or otherwise specifically provided for in these rules, all initial appointments to any category of the medical staff shall be provisional. Each practitioner shall be assigned to a clinical department where that practitioner's performance shall be observed by the chief of the clinical department or designee, or by a committee of the clinical department members appointed by the chief of the clinical department, to determine the practitioner's eligibility for regular staff membership in the staff category to which the practitioner was provisionally appointed and for exercising the clinical privileges provisionally granted. An initial appointment and any renewals thereof shall remain provisional until the appointed practitioner has furnished to the credentials committee and to the medical director:~~

- ~~(a) A statement signed by the chair of the clinical department to which the practitioner is assigned and of each clinical department in which the practitioner exercises privileges that the practitioner meets all of the qualifications, has discharged all of the responsibilities and has not exceeded or abused the prerogatives of the staff category to which the practitioner was provisionally appointed; and~~
- ~~(b) A statement signed by the chair of the clinical department that the practitioner has demonstrated the ability to exercise the clinical privileges provisionally granted.~~

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~~The credentials committee shall make a recommendation to the executive committee regarding a provisional practitioner's promotion in staff status and the executive committee shall act on such recommendation. To the extent that such recommendation of the executive committee is adverse, the practitioner's medical staff membership and clinical privileges shall be suspended and the practitioner shall be entitled to due process rights as set forth in rule 3335-45-08 of the Administrative Code.~~

- ~~(2) The executive committee may recommend to the board that a change in staff category of a current staff member or the granting of additional privileges to a current staff member be made provisional in accordance with the procedures provided in this paragraph for initial appointments. Such provisional grants shall not be considered an adverse professional review recommendation or action giving rise to due process rights under rule 3335-45-08 of the Administrative Code.~~
- ~~(3) Provisional status shall be for a period of one year, which may be renewed for an additional six month period at the discretion of the chair of the clinical department and executive committee.~~
- ~~(4) Provisional appointees shall have the following prerogatives:
 - ~~(a) Have admitting and clinical privileges as designated by the board in the initial appointment; provided however, these practitioners of dentistry who have not been granted privileges as oral and maxillofacial surgeons, and practitioners of podiatry, may only co-admit patients with a physician member of the medical staff, and psychologists may not admit patients;~~
 - ~~(b) Are not assessed staff dues;~~
 - ~~(c) Are not eligible for elected medical staff positions;~~
 - ~~(d) Are required to serve on medical staff committees to which they are appointed;~~
 - ~~(e) Have no vote on medical staff affairs (except in the practitioner's capacity as a medical staff committee member); and~~
 - ~~(f) Are required to attend at least fifty per cent of the general medical staff meetings and fifty per cent of the meetings of committees and clinical departments to which they are assigned.~~~~

~~(G)~~

(F) The limited medical staff.

- (1) Members of the limited medical staff are those practitioners who are participating in either a clinical rotation at the hospital as part of their residency training or a fellowship program:
 - (a) Unchanged.
 - (b) Approved by the medical executive committee and the board.
- (2) and (3) Unchanged.
- (4) Nothing in this rule shall limit the ability of the medical director or designee to grant temporary privileges pursuant to paragraph ~~(D)~~ (F) of rule 3335-45-06 of the Administrative Code.
- (5) Unchanged.

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~~(H)~~

(G) Staff promotions.

~~(1) Provisional appointment promotions will be done in accordance with the provisions of paragraph (F) of this rule.~~

~~(2)~~

(1) Any requests for advancement in medical staff category shall be made in writing to the medical director or designee. All requests for advancement shall be processed in the same manner as an application for initial appointment as set forth in paragraphs (B) to (D) of rule 3335-45-04 of the Administrative Code.

~~(3)~~

(2) A request for change of status to the honorary or consulting category shall be made in writing to the medical director or designee and processed in the same manner as a request for advancement.

~~(H)~~

(H) Peer review medical staff.

(1) Members of the peer review medical staff are appointed by the medical director and chief of staff with approval by the medical executive committee and board.

(2) through (4) Unchanged.

3335-45-06 Clinical privileges.

(A) Delineation of clinical privileges.

(1) Every practitioner practicing at the hospital by virtue of medical staff membership or under authority granted in these rules shall be entitled to exercise only those clinical privileges specifically applied for and granted to the practitioner by the board, except as provided for in this rule. Request for the exercise and delineation of clinical privileges must be made as part of each application for appointment or reappointment to the medical staff on the approved forms provided by the medical executive committee. Requests must be submitted in accordance with rule 3335-45-04 of the Administrative Code and will be reviewed in accordance with the provisions of rule 3335-45-04 of the Administrative Code.

(2) through (4) Unchanged.

(B) Unchanged.

(C) Podiatric privileges.

All patients admitted for podiatric care shall receive the same medical appraisal as all other hospitalized patients. A member of the medical staff who is a doctor of medicine or osteopathic medicine shall co-admit the patient and be responsible for the history and physical and medical care that may be required at the time of admission or that may arise during hospitalization, and shall determine the need of any proposed procedure on the total health status of the patient. The podiatrist will be responsible for the podiatric care of the patient including the podiatric history and physical examination and all appropriate elements of the patient's record. ~~Any proposed procedures by the podiatrist must be approved by, and under the direct supervision of, an active member of the medical staff who is a doctor of medicine or osteopathic medicine.~~

(D) through (G) Unchanged.

3335-45-07 ~~Corrective Peer review and corrective action, summary suspension and automatic suspension.~~

(A) Informal review.

All medical staff members agree to cooperate in review activities that are solely intended to improve the quality of medical care provided to patients at the hospital and by the individual medical staff member. Such activities may include the handling and informal review of complaints, including patient complaints, disagreements, questions of clinical competence, or conduct and variation in clinical practice identified by the clinical departments or divisions and medical staff committees. The resulting information from such activities shall be furnished to the applicable chief of the clinical department. Upon review by the clinical department chief, and with concurrence of the medical director, the review activity may result in consultation with the medical staff member, recommendations for educational actions or additional training, sharing of comparative data, monitoring, informal letters of reprimand or warning or other methods of guidance to the medical staff member to assist them in improving the quality of patient care outside of the mechanism for peer review in paragraph (B) of this rule. Such actions are not regarded as adverse, do not require reporting to any governmental or other agency, and do not invoke a right to any hearing. In each case under review, an initial written determination shall be made by the clinical department chief and the medical director whether the complaint warrants no further action; informal review under paragraph (A) of this rule; or peer review under paragraph (B) of this rule. In cases where the clinical department chief and medical director cannot agree, the matter shall be submitted and determined as set forth in paragraph (B) of this rule. The chief of the clinical department and the medical director shall determine whether it is appropriate to include documentation of such actions in the medical staff member's file. If documentation is included in the member's file, the affected member shall have an opportunity to review it and may make a written response which shall also be placed in the member's file.

~~(A)~~

(B) ~~Initiation of corrective action~~ Peer review.

(1) ~~Whenever~~ Peer review may be requested in more serious situations or where informal review has not resolved an issue, or whenever the activity or professional conduct of any practitioner with clinical privileges, whether occurring within the hospital or at another location:

(a) and (b) Unchanged.

(c) Violates these medical staff bylaws or rules and regulations of the medical staff, the ~~board hospital~~, the Ohio state university hospitals board or the Ohio state university board of trustees;

(d) and (e) Unchanged. violates state or federal law; or

(f) Adversely affects or impacts the community's confidence in the hospital's ability to provide quality patient care; or

(g) Creates a hostile work environment for hospital members or other medical staff members.

(2) ~~Corrective action~~ Peer review may be requested in accordance with paragraph (A)(2) (B) of this rule by any elected officer of the medical staff, the chief of any medical staff clinical department, the chair of any standing committee of the medical staff, the chief executive officer, or the medical director, ~~or the dean.~~

~~(2)~~

(3) A request for ~~corrective action~~ peer review shall be made in writing and shall state the specific activities or conduct forming the basis for the request. A request for ~~corrective action~~ peer review shall be delivered to the medical director who shall forward the request to the medical executive committee.

~~(3)~~

(4) Within seven days following receipt of a request for ~~corrective action~~ peer review, the medical executive committee shall establish an ad hoc committee to investigate the request. Members of the ad hoc committee shall have similar levels of training and qualifications as the member who is subject to peer review. The ad hoc committee shall be comprised of:

(a) One member of the medical staff appointed by the ~~dean~~ chief of staff;

(b) and (c) unchanged.

(d) An outside review consultant may serve as a member of the peer review whenever:

(i) A determination is made by the chief of staff or the clinical department and the medical director that the clinical expertise needed to conduct the review is not available on the medical staff;

(ii) The objectivity of the review may be compromised due to economic considerations; or

(iii) Whenever the medical director determines that an outside review is otherwise advisable.

If an outside reviewer is recommended, the chief of the clinical department shall make a written recommendation to the medical director for selection of an outside reviewer. The medical director shall make the final selection of an outside reviewer.

(e) Notwithstanding the foregoing, if the request for ~~corrective action~~ peer review involves the chief of the clinical department, the ~~chief of staff~~ medical director shall appoint another member to serve on the ad hoc committee. In addition, if the request for ~~corrective action~~ peer review involves the chief of staff, the medical executive committee, without the participation of the chief of staff, shall make the ad hoc committee appointments which would otherwise be made by the chief of staff. If the request for ~~corrective action~~ peer review involves the medical director ~~or the dean~~, the chief of staff shall make the ad hoc committee appointments which would otherwise be made as provided above.

~~(B)~~

(C) Investigation.

(1) Upon its appointment, the ad hoc committee will immediately proceed to investigate, in any manner it deems appropriate, the allegations contained in the request for ~~corrective action~~ peer review. In conducting its investigation, the ad hoc committee may, but is not required to: interview the affected practitioner; interview any other individuals who may have information pertinent to the investigation; review any relevant medical records; review any relevant quality improvement data or studies; obtain the review of the relevant medical records by an individual within the same or similar specialty as the affected practitioner; and undertake any other actions which are intended to provide information relevant to the investigation. The ad hoc committee shall attempt to determine the facts surrounding the request for ~~corrective action~~ peer review, and whether ~~corrective action~~ peer review is appropriate under the circumstances. If formal ~~corrective action~~ review is not necessary or appropriate, the ad hoc committee shall work with the affected practitioner and the person requesting the ~~corrective action~~ peer review to informally resolve the problems or concerns resulting in the request for ~~corrective action~~ peer review. The ad hoc committee's investigation and any interviews conducted shall not constitute a hearing and none of the procedural rules provided in these rules with respect to a hearing shall apply.

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- (2) Within fourteen days after its appointment, or within ten days after its appointment in the case of a summary suspension under paragraph ~~(D)(3)(b)~~ (E)(3)(b) of this rule, the ad hoc committee will prepare a written report of its investigation, factual findings and recommendations to the medical executive committee as to what action, if any, should be taken regarding the request for ~~corrective action~~ peer review. The ad hoc committee may recommend one or more of the following actions:
- (a) The request for ~~corrective action~~ peer review be dismissed because no formal action is necessary or appropriate;
 - (b) The request for ~~corrective action~~ peer review be rejected as without basis;
 - (c) The request for ~~corrective action~~ peer review be modified;
 - (d) The request for ~~corrective action~~ peer review be accepted and any of the following professional review actions be taken:
 - (i) through (vii) Unchanged.

In addition, in the case of a summary suspension under paragraph ~~(D)(3)(b)~~ (E)(3)(b) of this rule, the ad hoc committee shall make a finding as to whether or not the practitioner's exercise of clinical privileges may result in an imminent danger to the health of any individual. If the ad hoc committee finds that the practitioner's exercise of privileges may result in such danger, the summary suspension shall continue in effect until the conclusion of the ~~corrective action~~ peer review process and any hearing and appeal. Otherwise, the summary suspension shall terminate by its own terms.

- (3) The report and recommendation of the ad hoc committee shall be delivered by the medical director to the medical executive committee, and a copy shall be sent to the affected practitioner by certified mail return receipt requested. The affected practitioner shall be advised that, within ten days from its receipt, he or she may respond in writing to the ad hoc committee's report and recommendation, by delivering the written response to the medical director or the medical director's designee.

~~(C)~~

(D) Action on ad hoc committee recommendations.

- (1) At the next regularly scheduled meeting of the medical executive committee after the time for submitting written comments has expired, or at a special meeting called for this purpose, the medical executive committee shall review the report and recommendation of the ad hoc committee, the written comments, if any, submitted by the affected practitioner, and any other supporting information submitted by ad hoc committee or the affected practitioner. The medical executive committee shall take such action or make such recommendation to the board on the request for ~~corrective action~~ peer review, as it determines to be appropriate including any and all such actions and recommendations as are described in paragraph ~~(B)(2)~~ (C)(2) of this rule.
- (2) The medical director shall notify the practitioner of the recommendation and, in the case of a recommendation which may adversely affect the practitioner's status as a member of the medical staff or exercise of clinical privileges, the right to a hearing under rule 3335-45-08 of the Administrative Code. Such notification shall be sent by certified mail, return receipt requested. The medical executive committee's recommendation shall not be transmitted to the board until the practitioner has exercised or waived the applicable hearing and appeal rights under rule 3335-45-08 of the Administrative Code.

~~(D)~~

(E) Summary suspension.

- (1) Upon the recommendation or concurrence of either the chief of a clinical department, the chief of staff, the medical executive committee or the board, the medical director may suspend all or a portion of the clinical privileges of a practitioner, effective immediately,

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without prior notice or opportunity for a hearing. Such a summary suspension shall be imposed when immediate action is necessary or appropriate in the best interest of the patients in the hospital or in the best interest and safety of medical staff members or hospital employees.

- (2) The medical director shall immediately give notice of such summary suspension to the affected practitioner by hand delivery and by certified mail, return receipt requested. In addition, the medical director shall notify the chief executive officer and the medical executive committee of the summary suspension.
- (3) The medical executive committee shall review the summary suspension within seventy-two hours of its imposition and either:
 - (a) Unchanged.
 - (b) Maintain or modify the summary suspension for a total period of up to ten days while the investigation process set forth in paragraph ~~(B)~~ (C) of this rule proceeds; or
 - (c) Maintain or modify the summary suspension for an indefinite period of time, until the conclusion of the ~~corrective action~~ peer review process and any hearing and appeal, based upon the medical executive committee's conclusion that the practitioner's exercise of clinical privileges may result in an immediate danger to the health of any individual. The medical executive committee shall treat the imposition of a summary suspension, whether or not it continues in effect, as a request for corrective action pursuant to this rule.
- (4) Unchanged.

~~(E)~~

(F) Automatic suspension or termination.

- (1) Any practitioner whose license, certificate or other legal credential authorizing him or her to practice his or her profession in this state is revoked or suspended by any agency of the state of Ohio shall immediately and automatically be terminated from staff status and from exercising his or her clinical privileges at the hospital. If such license, certificate or other legal credential is only partially limited or restricted, clinical privileges within the scope of such limitation or restriction shall automatically be suspended; provided that such limitation or restriction may give rise to an investigation for corrective action pursuant to paragraphs ~~(A)~~ (B) and ~~(B)~~ (C) of this rule.
- (2) A practitioner whose DEA number or other right to prescribe or administer controlled substances is revoked or suspended shall immediately and automatically be terminated from practicing at the hospital, from his or her staff status, and from exercise of clinical privileges. If such number or other right to prescribe or administer controlled substances is partially limited or restricted, clinical privileges within the scope of such limitation or restriction shall be automatically suspended; provided that such limitation or restriction may give rise to an investigation for corrective action pursuant to paragraphs ~~(A)~~(B) and ~~(B)~~(C) of this rule.
- (3) Any medical staff member or licensed health care professional whose participation in any federally funded reimbursement program is terminated by those programs, or who is otherwise excluded or suspended from participation in whole or part in these programs for reasons of competency due to clinical competency or professional ethics or character, shall automatically relinquish all clinical privileges as of the effective date of the termination, exclusion or suspension. If the medical staff member's or licensed health care professional's participation in these programs is not fully reinstated by the expiration of the medical staff member's or licensed health care professional's then current reappointment term, the affected medical staff member or licensed health care professional will be deemed to have relinquished all clinical privileges at that time. It shall

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be the duty of all medical staff members and licensed health care professionals to promptly inform the medical director of any action taken, or the initiation of any process which could lead to such action taken by any of these programs.

~~(3)~~

(4) Unchanged.

~~(4)~~

(5) An automatic suspension of all of a practitioner's admitting and clinical privileges (except with regard to the practitioner's current inpatients) may be imposed by the medical director for failure to complete medical records in a timely fashion as defined by the medical staff rules and regulations. Such suspension shall remain in effect until such time as all delinquent medical records have been completed and filed with the medical records department of the hospital as determined by the medical director. Repeated failure to complete medical records within the time limits specified in the medical staff rules and regulations may result in the filing of a request for ~~corrective action~~ peer review against the practitioner.

~~(5)~~

(6) Unchanged.

~~(6)~~

(7) Unchanged.

~~(7)~~

(8) Unchanged.

~~(8)~~

(9) Unchanged.

~~(9)~~

(10) Unchanged.

~~(10)~~

(11) Unchanged.

~~(11)~~

(12) Unchanged.

~~(12)~~

(13) Any practitioner's clinical privileges automatically suspended under paragraph ~~(E)(1)~~ (F)(1) or ~~(E)(2)~~ (F)(2) of this rule shall not, by the passage of time or the curing of the event which gave rise to automatic suspension, be automatically reinstated. Instead, in order to regain clinical privileges, such practitioners shall be required to file an application for medical staff membership and clinical privileges which application shall be processed as provided in rule 3335-45-04 of the Administrative Code.

3335-45-08 Hearing and appellate review.

(A) Definitions.

The following terms shall have these meanings when used in this article, unless otherwise specified:

(1) Unchanged.

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- (2) "Adverse professional review recommendation or action" refers to a recommendation or action by the medical executive committee or an action by the board, which may adversely affect the practitioner's appointment to or status as a member of the medical staff or the practitioner's exercise of clinical privileges.
- (3) "Parties" shall mean the affected practitioner and either the member of the medical executive committee designated to represent the medical executive committee's position in the case of a hearing on an adverse professional review recommendation or decision by the medical executive committee, or the member of the board designated to represent the board position in the case of a hearing on an adverse professional review decision of the board.

(B) Adverse professional review recommendation or action.

A practitioner shall be entitled to a hearing pursuant to the provisions of these rules only after an adverse professional review recommendation or adverse professional review action involving:

(1) through (12) Unchanged.

~~(13) Termination of staff status or privileges for failure to advance from provisional status pursuant to paragraph (F) of rule 3335-45-05 of the Administrative Code.~~

~~(14)~~

(13) Such other actions which constitute a reportable adverse professional review decision under the Healthcare Quality Improvement Act of 1986 as amended, or state law.

(14) Notwithstanding the foregoing, none of the following actions or recommendations shall be "adverse professional review actions or recommendations" entitling a practitioner to the due process rights of this rule:

(a) Requirements of consultation, supervision or monitoring which are imposed on ~~practitioners holding provisional status or clinical privileges for a provisional period or which are imposed on~~ a practitioner but do not restrict the clinical privileges of the practitioner or the delivery of professional services to patients.

(b) Unchanged.

(c) Actions by the medical executive committee adopting a sanction as described in paragraphs ~~(B)(2)(d)(i)~~ (C)(2)(d)(i) or ~~(B)(2)(d)(ii)~~ (C)(2)(d)(ii) of rule 3335-45-07 of the Administrative Code.

(d) through (g) Unchanged.

(C) Right to hearing and appellate review.

(1) A practitioner shall be entitled to a hearing only upon request and only after:

(a) An adverse professional review recommendation by the medical executive committee;

(b) An adverse professional review action by the board contrary to a favorable recommendation by the medical executive committee; or

(c) An adverse professional review action by the board in the absence of a recommendation by the medical executive committee.

(2) The hearing procedure following an adverse professional review recommendation by the medical executive committee shall consist of a hearing before a hearing committee or hearing officer appointed pursuant to paragraph (I) of this rule and an appellate review of an adverse professional review recommendation or action by the hearing committee as such appellate review is provided for in these rules. The hearing procedure following an adverse professional review action of the board pursuant to paragraph (C)(1)(b) or (C)(1)(c) of this rule shall consist of a hearing before a hearing committee or hearing officer appointed pursuant to paragraph (I) of this rule and an appellate review of an adverse professional review recommendation by the hearing committee as such appellate review is provided for in these rules.

(D) Voluntary limitations.

At any time after an adverse professional review recommendation or adverse professional review action (including a summary professional review action) and prior to the final action of the board, the affected practitioner may request to agree to the proposed action or recommendation or to resign from staff status and surrender his or her clinical privileges or to agree to a modification of the proposed action or recommendation. The medical executive committee or board, whichever body initiated the adverse professional review recommendation or action, shall accept or reject the practitioner's proposal or suggest an alternative proposal. The chief executive officer or designee shall report to the state medical board or other authorities, as required by state and/or federal law, a practitioner who resigns from the medical staff and surrenders his or her clinical privileges pursuant to this paragraph.

(E) and (F) Unchanged.

(G) Waiver by failure to request a hearing.

A practitioner who fails to request a hearing within the time and in the manner specified in paragraph (F) of this rule waives any right to such hearing and to any appellate review to which he or she might otherwise have been entitled. Such waiver in connection with:

(1) Unchanged.

(2) An adverse professional review recommendation by the medical executive committee shall constitute acceptance of the recommendation which shall thereupon become and remain effective pending the final action of the board. A practitioner who has waived the right to a hearing regarding an adverse professional review recommendation of the medical executive committee is not entitled to a hearing on an adverse professional review action of the board based upon such adverse professional review recommendation of the medical executive committee.

(H) Notice of hearing.

(1) Upon receipt of a request for hearing, occasioned by an adverse professional review recommendation of the medical executive committee, the medical director will give notice of the request for hearing to the chief of staff and to the chief executive officer. Persons to serve on the hearing committee or as the hearing officer shall be immediately appointed as provided in paragraph (I) of this rule. If a hearing is the result of an adverse professional review action of the board pursuant to paragraphs (C)(1)(b) and (C)(1)(c) of this rule, upon receipt of a timely request for a hearing, the medical director shall deliver such request to the board and the board shall schedule and arrange for a hearing.

The medical director will arrange a hearing to be held not less than thirty days, nor more than forty-five days after receipt of the request for a hearing. However, in the case of a practitioner who is subject to a summary suspension then in effect, the hearing shall be held as soon as appropriate arrangements may reasonably be made, but not later than

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thirty days from the date of receipt of the request for hearing, unless the practitioner waives, in writing, the right to have the hearing conducted within that time period.

- (2) The medical director shall send a notice of the date, time, and place of the hearing by certified mail, return receipt requested to the affected practitioner and to the member(s) of the hearing committee or hearing officer as soon as arrangements for the hearing have been made. Such notice shall also include a list of witnesses, if any, expected to testify on behalf of the medical executive committee or the board, depending on whose action prompted the request for a hearing. Such notice shall be sent to the practitioner at least thirty days in advance of the hearing, unless a summary suspension is currently in effect, in which case the notice shall be sent as soon as reasonably possible.

(I) Hearing committee.

- (1) Unchanged.

- (2) For hearings occasioned by an adverse professional review recommendation of the medical executive committee, the hearing committee will consist of one of the following alternatives as determined jointly by the chief of staff and the medical director:

- (a) Unchanged.

- (b) A hearing officer or arbitrator who is appointed jointly by the chief of staff and medical director, who is acceptable to the practitioner and to the medical executive committee, and who is not in direct economic competition with the affected practitioner involved.

No one who actively participated in the consideration of the adverse recommendation or action shall be appointed to the hearing committee.

- (3) Unchanged.

(J) Conduct of hearing.

- (1) through (7) Unchanged.

- (8) The medical executive committee or the board, as applicable, may appoint one of its members to represent it, and defend its recommendation or action at the hearing. If the practitioner will be accompanied or represented by legal counsel at the hearing, the medical executive committee or the board may also be accompanied or represented by legal counsel at the hearing.

- (9) and (10) Unchanged.

- (11) Within fourteen days after the hearing is closed, the hearing committee or hearing officer shall deliberate and make its decision. The hearing committee or hearing officer shall forward its written report and recommendation together with the transcript of the hearing and all other documentation provided by the parties to the body whose adverse professional review recommendation or action occasioned the hearing. The report shall concisely state the reasons for the findings and recommendations made in the report. The report shall also specifically affirm, reverse or modify the adverse professional review recommendation or action which was reviewed. The medical executive committee or board, as appropriate, shall accept, reject, or modify the recommendation of the hearing committee or hearing officer or may conduct further hearings as it deems necessary or may remand the matter back to the hearing committee or hearing officer for further action as directed. The medical executive committee or the board may impose a greater or lesser sanction than that recommended by the hearing committee or hearing officer.

An action of the medical executive committee shall be forwarded to the board. The affected member of the medical staff shall be notified of the action of the medical

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executive committee by the medical director. The action and record of the medical executive committee including the hearing committee or hearing officer report shall be reviewed by the board, which shall have the authority to accept, reject, or modify the previous action forwarded to them. When the board has made its decision, notice of that action shall be sent certified return receipt mail to the affected medical staff member at the member's last known address as determined by hospital records.

If any action of the board is adverse to the practitioner, the notice of action will inform the practitioner of the right to an appellate review as described in these rules.

(K) Appellate review.

(1) and (2) Unchanged.

(3) The affected medical staff member shall have access to the reports and records, including transcripts, if any, of the hearing committee or hearing officer and of the medical executive committee and all other material, favorable or unfavorable, that has been considered by that committee and the board. The staff member shall then submit a written factual statement indicating those factual and procedural matters with which the member disagrees, and the reasons for such disagreement, shall be specified. This written statement may cover any matters raised at any step in the procedure to which the appeal is related, and legal counsel may assist in its preparation. Such written statement shall be submitted to the Ohio state university hospitals board chair at least seven days prior to the scheduled date for review.

(4) Unchanged.

(5) The Ohio state university hospitals board may affirm, modify or reject any prior action, or refer the matter back to the board for further review and recommendation within fourteen days. Such referral may include a request for further investigation. The Ohio state university hospitals board's action shall be the final action and that decision shall be sent certified return receipt mail to the affected medical staff member's last known address as determined by hospital records.

~~(L) Appeal to the board of trustees of the Ohio state university.~~

~~(1) If the affected medical staff member wishes to appeal the final action of the Ohio state university hospitals board, the member may within fourteen days after notification of the Ohio state university hospitals board's action request the entire record of proceedings of the Ohio state university hospitals board be forwarded to the board of trustees of the Ohio state university for review. If such review is not requested within such fourteen day period, the affected practitioner shall be deemed to have waived the right to the appeal and to have accepted the action of the Ohio state university hospitals board, and the same shall become effective immediately. The Ohio state university board, in its discretion, may upon review of the record accept, reject, or modify the action of the Ohio state university hospitals board. It may request the record of proceedings of the executive committee as well as any information considered by the board and the Ohio state university hospitals board in order to take specific action relating to the matter under review.~~

~~(2) The secretary of the board of trustees of the Ohio state university shall notify the executive committee and the Ohio state university hospitals board of the action taken by the board of trustees of the Ohio state university. subsequent to this notification, the secretary of the board of trustees of the Ohio state university shall send notice to the affected medical staff member of the final action taken on the appeal.~~

3335-45-10 Officers.

(A) and (B) unchanged.

(C) Nomination.

- (1) At least thirty days prior to an annual meeting of the medical staff at which officers will be elected, the medical executive committee will appoint five members of the active medical staff to serve as a nominating committee. The nominating committee shall select one or more nominees for each office, including a representative of the courtesy medical staff and a slate listing the names of all nominees shall be posted in a conspicuous place at the medical staff entrance to the hospital and transmitted in writing directly to each member of the medical staff entitled to vote at least fourteen days prior to the annual meeting.

- (2) Unchanged.

(D) through (F) Unchanged.

(G) Duties of officers.

- (1) Chief of staff.

The chief of staff shall:

- (a) and (b) Unchanged.

- (c) Serve as the vice-chair of the medical executive committee.

- (d) and (e) Unchanged.

- (f) Jointly with the medical director appoint members to and chairs of all committees of the medical staff except as otherwise provided by these rules with approval of the medical executive committee and the board.

- (g) Represent the views, policies, needs and grievances of the medical staff to the medical director and executive director of the hospital, and, when appropriate, through the medical director or medical executive committee to the board.

- (h) Fill any vacancy which occurs in the position of the courtesy medical staff representative to the medical executive committee.

- (i) through (l) Unchanged.

- (2) Chief of staff-elect.

The chief of staff-elect shall:

- (a) Unchanged.

- (b) Serve as a member of the medical executive committee.

- (c) Perform such other duties as may be assigned by the chief of staff or the medical executive committee.

- (d) and (e) Unchanged.

- (3) Representative of the courtesy staff.

The representative of the courtesy staff shall serve on the medical executive committee as provided for in paragraph (E) of rule 3335-45-11 of the Administrative Code.

(H) Suspension/removal of officers.

Any officer may be suspended or removed from office for valid cause, including, but not limited to, serious neglect or misfeasance in office, by either a two-thirds vote of the medical executive committee members in attendance at a meeting in which a quorum is present, or by a two-thirds vote of the voting members of the medical staff in attendance at a meeting in which a quorum is present. Upon the written request of the affected officer to the medical executive committee within fourteen days of any suspension or removal by the medical executive committee, the suspension or removal will be submitted for review by the voting members of the medical staff at a special meeting called for such purpose. Ratification of the medical executive committee action requires an affirmative vote of two-thirds of the voting members of the medical staff in attendance at a meeting in which a quorum is present. The suspension or removal of an officer is an action that is not subject to any other appeal.

3335-45-11 Committees.

(A) General designation and substitution.

- (1) There shall be ~~an~~ a medical executive committee, a bylaws committee, and such other standing and special committees of the medical staff responsible to the medical executive committee as may from time to time be necessary and desirable to perform required medical staff functions. The medical executive committee may establish a medical staff committee to perform one or more of the required medical staff functions.
- (2) Committees of the medical staff shall be designated as standing or special. Standing committees shall consist of the medical executive committee and the medical staff bylaws committee. Special committees shall be those committees that the medical executive committee shall from time to time determine to be necessary and create.

Whenever these rules require that a function be performed by or that a report or recommendation to:

- (a) Unchanged.
- (b) The medical executive committee, but a standing or special committee shall have been formed to perform the function, the committee so formed shall act in accordance with the authority delegated to it.
- (3) Unchanged.
- (4) All committee members, unless specifically provided otherwise, are appointed jointly by the chief of staff and the medical director, with the consent of a majority vote of the medical executive committee.

(B) Unchanged.

(C) Committee chair.

Unless otherwise specified herein, the chair of each committee shall be determined as provided for in paragraph (G)(1)(f) of rule 3335-45-10 of the Administrative Code, with the exception of the medical executive committee which shall be chaired by the medical director.

(D) Authority and manner of acting.

- (1) All committees of the medical staff, except the medical executive committee, are subject to the authority of, and shall report to the medical executive committee.

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(2) Unchanged.

(3) Unless otherwise provided in these rules or directed, in writing, by the medical executive committee, any committee may recommend any action to the medical executive committee by the vote of a majority of its members present at a meeting in which a quorum is present.

(4) Committees are authorized to perform such functions as are specified in these rules or as may be directed by the medical executive committee.

(5) Unchanged.

(E) ~~Executive~~ Medical executive committee.

(1) Composition. The medical executive committee shall consist of the chief of staff; chief of staff-elect the chiefs of the departments of anesthesia, drug and alcohol abuse rehabilitation, surgery, internal medicine, neurology, family medicine, emergency medicine, musculoskeletal diseases orthopedics, pathology, radiology; one elected officer representative of the courtesy medical staff; ~~one individual representing all three clinical departments of anesthesiology, pathology and radiology; medical director of Talbot hall; associate medical director;~~ medical director; medical director of the Ohio state university hospitals; chief executive officer; the senior vice president for health sciences and dean of the college of medicine and public health or designee; and dean, college of nursing.

(2) and (4) Unchanged.

(5) Duties and responsibilities. The duties of the medical executive committee are:

(a) ~~Represent~~ To represent and to act for the medical staff in intervals between medical staff meetings, subject to such limitations as may be imposed by these rules, bylaws of the Ohio state university hospitals board, the bylaws or rules of the trustees of the Ohio state university.

(b) through (l) Unchanged.

(m) To take all reasonable steps to ensure ethical professional conduct and competent clinical performance on the part of all members of the medical staff and any other practitioners or ~~allied~~ health care professionals providing patient care in the hospital; and

(n) To undertake all duties assigned to the medical executive committee in these rules or directed by board through medical director;

(o) and (p) Unchanged.

(6) Meetings. The medical executive committee will meet at least monthly at such time and place as the medical executive committee shall determine. The agenda for medical executive committee meetings will be made under procedures that the medical executive committee will establish for its internal governance. There shall be a fifty per cent attendance requirement for each member of the medical executive committee.

(F) Bylaws committee.

The bylaws committee shall:

(1) Unchanged.

(2) Submit recommendations to the medical executive committee and to the medical staff regarding these documents.

(3) Unchanged.

(G) Credentials committee.

(1) Composition. The committee shall be composed of nine members of the medical staff. Appointments to the committee shall be in accordance with the medical staff bylaws of the Ohio state university hospitals.

(2) Duties.

(a) Following the recommendation of the chief of the clinical department, the committee will receive, through the office of the medical director, all applications for medical staff appointment and reappointment, and receive all requests for delineation, renewal, or amendment of clinical privileges.

(b) The chief of the clinical department will assure that the requests and applications include letters of reference from medical school, internship and residency, other post-graduate experiences, and that reference audits have been completed. The chief of the clinical department will assure that the applicant meets the criteria for membership and for clinical privileges set forth in the medical staff bylaws. This review shall be submitted by the chief of the clinical department to the committee within sixty days of receipt of the signed and completed application or request.

(c) The committee will review all applications for medical staff appointments and reappointment, as well as all requests for delineation, renewal, or amendment of clinical privileges in the manner provided in these medical staff bylaws, including applicable time limits.

(d) Renewal applications will be reviewed biennially unless a change in appointment or privileges is otherwise received from the chief of the clinical department.

(e) It will be the responsibility of the chairperson of the committee to assure that all records of peer review activity taken by the committee, including committee minutes, are handled and kept with utmost confidentiality. The committee may conduct investigations and interview applicants as needed to discharge its duties. The committee may refer issues and receive issues as appropriate from other medical staff committees.

(f) The committee will make its recommendation to the medical executive committee through the medical staff through the office of the medical director. Applications and requests referred to the medical executive committee will have the following information: name, status, department (divisions), medical school and year of graduation, residency and fellowships, medical-related employment since graduation, board certification and recertification, licensure status as well as all other relevant information concerning the applicant's competence and qualifications.

(g) The committee or the medical director may request the medical executive committee to consider certain applications in executive session.

(h) The committee, after review and investigation of matters related to its responsibilities, may make recommendations to the medical director, chief of staff, or the chief of a clinical department, requesting the restriction or limitation of any medical staff member's clinical privileges, for noncompliance with the credentialing process. The committee will review all grants of special or temporary privileges and will review clinical privileges for associates to the medical staff as well as physician assistants.

(3) Licensed health care professionals subcommittee.

(a) Composition. The members of the licensed health care professionals subcommittee, who are currently licensed health care professionals and are granted hospital privileges shall be appointed to the subcommittee of the credentials committee, and shall include the director of nursing as a co-chair, certified nurse midwife, certified

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registered nurse anesthetist, certified nurse practitioner, clinical nurse specialist, and other appropriate licensed health care professional representation.

(b) Duties. The subcommittee shall review all completed applications as may be referred by the credentials committee and shall proceed to:

(i) Review and investigate the character, qualifications and professional competence of the applicant;

(ii) Review the applicant's patient care quality indicator definitions on initial appointment and the performance-based profile on reappointment;

(iii) Verify the accuracy of the information contained in the application; and

(iv) Request a personal interview with the applicant, if deemed appropriate.

Following review of the application, the subcommittee will forward a written recommendation to the credentials committee within thirty days. The credentials committee, at its regularly scheduled meeting, will review and act on the recommendations from the subcommittee.

(H) Infection control committee.

(1) Composition. The committee members shall be appointed pursuant to the medical staff bylaws and shall also include representation of nursing, environmental services and hospital administration. The chairperson will be a physician with experience and/or training in infectious diseases.

(2) Duties.

(a) Oversee surveillance and institute any recommendations necessary for the investigation, prevention, containment of nosocomial and clinical infectious diseases of both patients and staff at the hospital, and subject to JCAHO standards.

(b) The chairperson of the committee, and the hospital epidemiologist, in consultation with the medical director of the Ohio state university hospitals east, will take necessary actions to prevent and control emerging spread or outbreaks of infections; isolate communicable and infectious patients as indicated; and obtain all necessary cultures in emergent situations when the responsible medical staff member is unavailable.

(I) Ethics committee.

(1) Composition. The committee shall consist of members of the medical staff, nursing, hospital administration, and other persons who by reason of training, vocation, or interest may make a contribution. Appointments will be made as provided in the medical staff bylaws of the Ohio state university hospitals.

(2) Duties.

(a) Make recommendations for the development of guidelines or policies regarding ethical issues.

(b) Provide ethical guidelines and information in response to requests from members of the medical staff, patients, patient's family or other representative, and staff members of the hospital.

(c) Provide a support mechanism for primary decision-makers at the hospital.

(d) Provide educational resources on ethics to all health care providers at the hospital.

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- (e) Provide and enhance interaction between hospital administration and staff, departmental ethics committees, pastoral care services and members or the medical staff.

(J) Committee for physician health.

- (1) Composition. The committee shall consist of seven medical staff members appointed pursuant to the medical staff bylaws of the Ohio state university hospitals.

(2) Duties.

- (a) Consider issues of physician health or impairment when requested to do so by the physician or another member or committee of the medical staff, hospital staff, or any other individual.
- (b) Provide appropriate counsel and referral to enable physician to provide appropriate standards of care.
- (c) Consult regularly with the chief of staff and medical director of the hospital.
- (d) Advise credentials committee and/or other appropriate medical staff committees on any issues affecting the quality of patient care.
- (e) Educate medical staff and other organization staff about illness and impairment recognition issues specific to physicians, self-referral by a physician and referral by other organization staff.
- (f) Referral of the affected physician to the appropriate professional internal or external resources for diagnosis and treatment of a condition or concern.
- (g) Assure maintenance of confidentiality of the physician seeking referral or referred for assistance, except as limited by law, ethical obligation, or when the safety of a patient is threatened.
- (h) Evaluate the credibility of a complaint, allegation or concern.
- (i) Monitor the affected physician and the safety of patients until the rehabilitation or any disciplinary process is complete.
- (j) Report to medical staff leadership any instances in which a physician is providing unsafe treatment.

~~(G)~~

(K) Termination of committees.

- (1) Unchanged.
- (2) A special committee of the medical staff may be abolished by the imposition of a specific limitation upon its duration, or, at any time, by a resolution adopted by a majority vote of the medical executive committee.

~~(H)~~

(L) Certain review functions.

The medical staff, through the appropriate clinical departments, medical executive committee or other standing or special committees, shall perform or participate in the following review functions:

- (1) through (10) Unchanged.

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- (11) Provide continuing professional education responsive to evaluation findings and new clinical developments; ~~and~~
- (12) Direct staff organizational activities including medical staff bylaws review, staff officer and committee selections, liaison with the board and hospital administration, and review and maintenance of hospital accreditation; and
- (13) Review, analyze and evaluate, on a continuing basis, the performance of the medical staff and other health care providers; and advise the clinical department clinical quality subcommittees in defining, monitoring and evaluating quality indicators of patient care and services.

3335-45-12 Clinical departments.

(A) Organization of the medical staff of the hospital into clinical departments and divisions.

- (1) Each member of the active, courtesy, honorary, consulting, ~~provisional~~ medical staff and physicians in training shall be assigned to a clinical department or division upon the recommendation of the chief of the clinical department concerned subject to the approval of the medical director, medical executive committee and the board.

~~(2) There shall be three academic departments at the hospital: departments of musculoskeletal diseases, family medicine, and emergency medicine.~~

~~(3)~~

(2) Clinical departments of the medical staff include, but are not limited to, the following:

(a) Unchanged.

(b) Department of family ~~practice~~ medicine.

(c) through (g) Unchanged.

(h) Department of ~~musculoskeletal diseases~~; orthopedic with sections of:

(i) podiatry

(ii) joint replacement

(iii) sports medicine

(i) Department of drug and alcohol rehabilitation (~~Talbot hall~~).

(j) Department of neurology.

(B) Clinical departmental chiefs.

- (1) Qualifications of clinical departmental chiefs. Clinical departmental chiefs must be active members of the medical staff. In the hospital-based clinical departments (anesthesiology, radiology, pathology and emergency departments), the clinical departmental chiefs must be a member of the ~~provisional or~~ active medical staff at the time of appointment. All clinical departmental chiefs must remain members in good standing during the term of office. Failure to maintain such status will be grounds for immediate suspension from the office of the clinical departmental chief. Each clinical department chief shall be qualified by education and experience appropriate to the discharge of the responsibilities of the position. Qualifications for the chief of the clinical department generally shall include recognized clinical competence, sound judgment, and well-developed administrative skills.

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- (2) Appointment of clinical departmental chiefs. The academic department chair will ordinarily serve also as the chief of the clinical department. ~~Whenever there is no academic department at the hospital,~~ The chief of the clinical department will be appointed by the medical director, in consultation with the senior vice president for health sciences and dean of the college of medicine and public health or dean of dentistry when appropriate, and shall recommend the appointment of a clinical departmental chief or acting clinical departmental chief to the board after approval by the medical executive committee. All appointments of clinical departmental chiefs shall be subject to approval by the board.
 - (3) Term. The clinical departmental chiefs shall serve designated terms of up to four years usually beginning the first day of July following appointment. All clinical departmental chiefs are subject to periodic performance reviews by the medical executive committee and board, and they may be removed for cause. Prior to the end of the designated term of appointment, a formal review will be conducted and will serve as the basis of the recommendation for reappointment. The reappointment procedure will be as outlined in paragraph (B)(2) of this rule.
 - (4) Responsibilities. Clinical departmental chiefs shall:
 - (a) Be accountable to the medical executive committee for all clinically related activity and medical staff administrative activities within the clinical department;
 - (b) and (c) Unchanged.
 - (d) Recommend to the credentials committee and the medical executive committee the criteria for the granting of clinical privileges in the clinical department;
 - (e) through (i) Unchanged.
 - (j) Call meetings at least quarterly of the clinical department, presiding over such meetings and keeping, or causing to be kept, accurate and complete minutes of such meetings; minutes of the meeting, including a record of attendance will be kept in the clinical departmental and the medical director's office. ~~Greater than fifty per cent of unexcused absence could serve as the basis for a recommendation of non-appointment to the medical staff;~~
 - (k) Unchanged.
 - (l) Such other responsibilities as may be assigned by the medical director, medical executive committee or the board;
 - (m) through (s) Unchanged.
- (C) Functions of clinical departments.
- (1) Each clinical department will function as a component of the medical staff under the authority of the medical executive committee.
 - (2) Unchanged.
 - (3) Each clinical department shall submit reports to the medical executive committee detailing the clinical department's activities, including minutes of each clinical departmental meeting.
- (D) Unchanged.

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(E) Clinical sections and subsections.

Clinical sections or subsections may be added or deleted upon the recommendation of the clinical department chief with the concurrence of a majority of the medical executive committee. The chief of a clinical department may appoint a section chief subject to the approval of the medical director and the medical executive committee. (B/T 5/7/99, B/T 9/1/99, B/T 10/1/99, B/T 10/5/2001)

3335-45-13 Meetings.

(A) through (C) Unchanged.

(D) Special meetings.

(1) Active medical staff meetings.

(a) Special meetings of the active medical staff may be called at any time by the chief of staff at his or her discretion, or at the request of the medical director, the medical executive committee, or the board, or any twenty members of the active medical staff.

(b) and (c) Unchanged.

(2) Clinical department or committee meetings.

(a) Special meetings of a clinical department or committee may be called at any time by the chief of such clinical department or chair of such committee at his or her discretion or at the request of the medical director, chief of staff, medical executive committee, board or at the request of no less than fifty per cent of the practitioners who are members of such clinical department or committee.

(b) Unchanged.

(3) Unchanged.

(E) Attendance.

(4) Active medical staff members ~~must~~ are strongly encouraged to attend at least fifty per cent of the general medical staff meetings each calendar year, and fifty per cent of all meetings of committees and clinical departments of which they are a member.

~~(2) The failure of any practitioner to meet the annual attendance requirements set forth herein may be grounds for initiating corrective action.~~

(F) Unchanged.

3335-45-14 Allied Licensed health care professionals.

(A) General.

(1) ~~Allied A licensed health professionals are those professionals who are enumerated in the appendix to this rule~~ care professional is a professional who possess ~~possesses~~ a license, certificate or other legal credential required by Ohio law to provide ~~direct~~ patient care in a hospital setting, ~~but who is neither~~ not a licensed practitioner ~~nor an employee of the hospital, and who meets the terms of definition contained in these bylaws, and may apply to be an allied~~ a licensed health care professional authorized to practice his or her profession in the hospital.

(2) ~~Allied Licensed~~ Licensed health care professionals have no authority to admit or co-admit patients to the hospital, and are not eligible for medical staff membership, to hold office, to vote on medical staff affairs, or to serve on standing committees of the medical staff unless

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specifically authorized by the medical executive committee. A decision by the medical executive committee to deny initial appointment or reappointment to a licensed health care professional, or to deny the exercise of a clinical privilege, shall entitle the licensed health care professional to an automatic review by the medical director as provided in this rule.

- (3) The ~~allied~~ health care professionals staff is created for the purpose of providing a mechanism for the medical staff and the hospital to document and verify the credentials of person who, under their license, certificate or other legal credential, are permitted by Ohio law to provide patient care in the hospital as an adjunct to treatment by practitioners who are members of the medical staff.
- (4) All ~~allied~~ licensed health care professionals will be individually assigned to medical staff departments, or, if appropriate, to members of the medical staff.
- (5) All services rendered by ~~allied~~ licensed health care professionals must be under the supervision and direction of, and subject to any policies, procedures, privileges and restrictions adopted by, the applicable medical staff department or medical staff member.
- (6) All ~~allied~~ licensed health care professionals must comply with all limitations and restrictions imposed by their respective licenses, certifications, or legal credentials required by Ohio law, ~~and may only perform services in accordance with provisions relating to their respective professions contained in the appendix to this rule attached hereto.~~

(B) Descriptions and limitations of ~~allied~~ licensed health care professionals.

- (1) ~~Allied~~ Licensed health care professionals shall be permitted to practice their professions in the hospital only in accordance with the descriptions for the respective professions contained in the appendix to this rule.
- (2) The medical executive committee, with the approval of the board may, at anytime, make modifications, additions or deletions to the descriptions contained in the appendix without amendment to this rule.
- (3) ~~Allied~~ Licensed health care professionals' clinical privileges shall be delineated with sufficient specificity to ensure that the professional is practicing within his/her licensure parameters.

(C) Qualifications for appointment.

- (1) Appointment as an ~~allied~~ a licensed health care professional is a privilege that will only be granted to professionally competent individuals who meet the qualifications, standards and requirements of their respective licensure, certification, or other legal authorization and who satisfy the definition of licensed health care professional as contained in these bylaws.
- (2) Only individuals who can document the following shall be qualified for appointment as an ~~allied~~ a licensed health care professional:
 - (a) through (f) Unchanged.

This documentation must be presented with sufficient adequacy to assure the medical staff and the hospital that any patient cared for by the person seeking appointment as an ~~allied~~ licensed health care professional will be given quality care, and that the efficient operation of the hospital will not be disrupted by such person's care of patients in the hospital.

(D) Conditions of acceptance.

An individual accepting appointment as ~~an allied~~ a licensed health care professional agrees to the following terms and conditions:

- (1) The ~~allied licensed~~ health care professional has read the medical staff bylaws of the hospital and agrees to abide by all applicable terms of such medical staff bylaws and any applicable rules and regulations, including any subsequent amendments thereto, and any applicable hospital policies the hospital may from time to time put into effect;
- (2) The ~~allied licensed~~ health care professional ~~grant grants~~ full immunity to the hospital from liability under the provisions of rule 3335-45-04 of the Administrative Code;
- (3) The ~~allied licensed~~ health care professional shall not deceive a patient as to the identity of any practitioner providing treatment or service in the hospital;
- (4) The ~~allied licensed~~ health care professional shall not make any statement or take any action that might cause a patient to believe that the ~~allied licensed~~ health care professional is a practitioner;
- (5) The ~~allied licensed~~ health care health professional shall not perform any patient care in the hospital that is not permitted under the ~~allied licensed~~ health care health professional's license, certification, or other legal credential required under Ohio law; and
- (6) The ~~allied licensed~~ health care professional shall continue to maintain in force malpractice insurance in an amount that will not be less than that specified by the board.

(E) Application for appointment.

Persons seeking appointment as ~~an allied~~ a licensed health care professional shall make application in the same manner as provided in paragraph (A) of rule 3335-45-04 of the Administrative Code.

(F) Action on appointment.

- (1) Upon receipt of an application for appointment as ~~an allied~~ a licensed health care professional, the medical director shall review the application for completeness. An incomplete application will be returned to the applicant for completion and resubmission.
- (2) Upon receipt of an application that has been verified for completeness, the chief of the clinical department supervising the ~~allied licensed~~ health care professional will review the application and make recommendation for the scope of privileges to be granted. The application will be forwarded to ~~allied licensed~~ health care professional credentials subcommittee appointed by the medical executive committee.
- (3) Upon receipt of a completed application, the ~~allied licensed~~ health care professional credentials subcommittee shall proceed to:
 - (a) through (c) unchanged.
- (4) Following receipt of the completed application, the ~~allied licensed~~ health care professional credentials subcommittee will forward a written recommendation for privileges to the credentials committee.
- (5) At its regularly scheduled meeting, the credentials committee will review and act on the recommendations for ~~allied licensed~~ health care professional privileges submitted by the ~~allied licensed~~ health care professional credentials committee. The recommendation of the credentials committee is forwarded to the medical executive committee.

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- (6) At the next regularly scheduled meeting, the medical executive committee will review and act on the recommendation for ~~allied~~ licensed health care professional privileges and forward a written recommendation to the board for further action.
- (7) At the next regularly scheduled meeting the board, after the written recommendation has been forwarded to the board, will make a final decision on the application for appointment as ~~an allied a~~ licensed health care professional. The medical director shall notify the applicant of the decision of the board of trustees. There shall be no right of appeal of an adverse decision.

(G) Temporary appointment.

- (1) The medical director, with the concurrence of the chief of staff, or his or her delegate and the chief of the clinical department concerned, may temporarily appoint a person as ~~an allied a~~ licensed health care professional under the following circumstances:
 - (a) When the medical director believes circumstances warrant granting temporary appointment during the processing of a person's application for appointment as ~~an allied a~~ licensed health care professional; or
 - (b) To a person who has not applied for appointment as ~~an allied a~~ licensed health care professional, but has been requested to assist a member of the medical staff in the care of a specific patient.
- (2) Special requirements of supervision and reporting will be imposed by the medical director, the chief of staff, or any chief of any clinical department concerned with a person granted temporary appointment as ~~an allied a~~ licensed health care professional.

(H) ~~Term of appointment~~ Reappraisal and reappointment.

- (1) ~~The appointment of a person as an allied health professional is terminable at any time, with or without cause, by the medical director, the chief of staff, the executive committee, or the board. The hospital specifically does not create any property interest or expectation of continuing appointment in any person appointed as an allied health professional. Persons seeking reappointment as a licensed health care professional shall make applications and be subject to the same procedures as provided in rule 3335-45-14 of the Administrative Code.~~
- (2) ~~The provisions of rule 3335-45-08 of the Administrative Code specifically do not apply to allied health professionals, and there is no right to appeal of the denial of an appointment or the termination of an appointment as an allied health professional. Due process.~~
 - (a) Licensed health care professionals are subject to corrective action for violation of these bylaws, their certificate of authority, standard care agreement, utilization plan, or the provisions of their licensure, including professional ethics. Corrective action may be requested by any member of the medical staff, a chief of the clinical department, or by the medical director. All requests shall be in writing and be submitted to the medical director. The medical director shall appoint a three-person committee to review and make recommendations concerning appropriate corrective action. The committee shall consist of at least one licensed health care professional and one medical staff member. The committee shall make a written recommendation to the medical director, who may accept, reject, or modify the recommendation. The decision of the medical director shall be final.
 - (b) A decision by the medical executive committee to deny initial appointment or reappointment to a licensed health care professional, or to deny the exercise of a clinical privilege, shall entitle the licensed health care professional to an automatic review by the medical director as provided in this rule.

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(H) Reappraisal:

- ~~(1) On a biennial basis, the medical director shall notify allied health professionals providing patient care in the hospital that the information submitted in the initial application must be updated to include all current information on each allied health professional.~~
- ~~(2) Within sixty days of such notice, each allied health professional shall provide the requested information to the medical director. Failure to provide the requested information may result in termination of appointment.~~
- ~~(3) The medical director shall review the updated information of each allied health professional, and the medical director may take any action he or she deems appropriate, including referring the information to the chief of staff, the chief of the clinical department, or to the individual physician, if any, to whom the allied health professional is assigned. If the action results in a change in status of the allied health professional, the action must be approved by the board.~~
- ~~(4) The allied health professional shall receive written notification of his or her reappointment or non-reappointment, and a copy of such notification shall be maintained in the hospital's records.~~

3335-45-16 Immunity from liability.

(A) Practitioners' Practitioner's release from liability.

- (1) Any practitioner who makes application for appointment or reappointment of the hospital's medical staff, and each practitioner who is a member of the hospital's medical staff hereby agrees as follows:
 - (a) To the fullest extent permitted by law, each practitioner releases and gives full immunity from civil liability to all members of the hospital's medical staff, all representatives, agents and employees of the hospital, ~~the board~~, the Ohio state university hospitals board and the board of trustees of the Ohio state university, all members of any professional review body, and all third parties for all acts, communications, reports, recommendations or disclosures, made in good faith and without malice, performed or made in connection with the hospital or any other health care institution's activities related to:
 - (i) through (xi) Unchanged.
 - (b) and (c) Unchanged.
 - (2) through (5) Unchanged.

(B) Allied Licensed health care professionals' release from liability.

Any person seeking appointment as ~~an allied licensed~~ allied licensed health care professional, and each allied licensed health care professional, hereby agrees to release and give full immunity from civil liability and to execute any requested releases, in the same manner and to the same extent as is provided in paragraph (a) of this rule.

3335-45-19 Forms.

(A) Unchanged.

- (B) The medical executive committee may from time to time make modifications, additions or deletions to the forms used in conjunction with these rules.

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86-01 Admissions and discharges.

(A) through (F) Unchanged.

(G) Patient discharge.

Patients shall be discharged only upon written or verbal order of the attending practitioner, or another member of the medical staff that is authorized by the attending practitioner, provided the attending practitioner has had the opportunity to evaluate the patient and has made the determination for the appropriate patient discharge, unless the patient requests discharge against medical advice. A member of the limited medical staff can discharge a patient only with the express authorization and knowledge of the attending practitioner, and shall document in the discharge note that the discharge was discussed with the attending practitioner. A patient who requests discharge against medical advice shall be requested to sign a form provided by the hospital indicating that the patient's discharge is at the patient's request and against medical advice. At the time of discharge the attending practitioner shall see that the record is as complete as possible. The attending practitioner is responsible for verifying the principal diagnosis, secondary diagnoses, principal procedure, and other significant invasive procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending practitioner must record a "provisional" principal diagnosis by the time of discharge.

~~(H) Time of discharge.~~

~~Patients shall be discharged by 11:00 a.m., unless special arrangements are made in advance with the hospital's admissions office.~~

~~(H)~~

(H) Pre-admission testing.

All practitioners are encouraged to utilize the medical center's pre-admission testing procedures.

86-02 Outpatient/same day surgery.

(A) through (G) Unchanged.

(H) Procedures permitted to be performed on outpatient/same-day surgery basis.

(1) Unless otherwise approved by the ~~medical director~~ attending practitioner or anesthesiologist, only patients whose physician status falls within the American society of anesthesiology ("ASA") classifications of P1, P2 and P3 may undergo outpatient/same-day surgery if they are to receive general anesthesia, regional anesthesia or monitored anesthesia care. Prior consultation and approval of the medical director or anesthesiologist must be obtained before scheduling patients who fall outside of the above ASA classifications. In all cases the final decision to proceed will rest with the medical director or anesthesiologist.

- P1 - a normal healthy patient
- P2 - a patient with mild systemic disease
- P3 - a patient with severe systemic disease
- P4 - a patient with severe systemic disease that is a constant threat to his life
- P5 - a moribund patient who is not expected to survive without the operation
- P6 - a declared brain-dead patient whose organs are being removed for donor purposes

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- (2) Unchanged.
- (I) History and physical.
- (1) ~~Within~~ The initial history and physical must be performed within thirty days prior to surgery.
- (2) ~~Must~~ The history and physical must be updated, if in the intervening period the patient was hospitalized or had surgery within seven days prior to surgery.
- (3) through (6) Unchanged.
- (7) Patients with a medical history of heart disease require:
- (a) Unchanged.
- (b) ~~H & H and lytes~~ Hemoglobin, hematocrit and electrolytes (within twenty-one days).
- (c) ~~CXR~~ Chest x-ray within six months.
- (d) ~~K+~~ Potassium within three days if on digoxin.
- (8) Patients with a history of kidney disease:
- (a) ~~H & H, lytes~~ Hemoglobin, hematocrit and electrolytes and blood sugar.
- (b) Unchanged.
- (9) Dialysis patients or end-stage kidney disease:
- ~~H & H, lytes~~ Hemoglobin, hematocrit and electrolytes and blood sugar within three days of surgery or after dialysis.
- (10) Unchanged.
- (11) Patients with history of lung disease:
- (a) ~~CXR~~ Chest x-ray within six months.
- (b) Unchanged.
- (12) Smokers greater than twenty packs per year:
- ~~CXR~~ Chest x-ray
- (13) Unchanged.
- (14) All labs good for twenty-one days; ~~EKG~~ EKGs for three months; ~~CXR~~ chest x-rays for six months.
- (J) Unchanged.
- 86-03 Medical records.
- (A) Time of completion.

The attending practitioner shall complete and sign the patient's medical record within twenty-one days of discharge. A procedure note shall be entered in the record by the responsible attending medical practitioner or designee immediately upon completion of an invasive procedure. Procedure notes must be written for any surgical or medical procedures,

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irrespective of their repetitive nature, which involve material risk to the patient. The note shall include the preoperative diagnosis, procedure, surgeon(s), resident(s), anesthesiologist(s), surgical service, type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on OR/anesthesia record, and preliminary surgical findings. An operative/procedure report must be dictated immediately following the procedure. ~~Failure to comply with the above time limits will result in the automatic suspension of privileges under~~ Any operative/procedure report not dictated by 10:00 a.m. the day following the procedure shall be deemed delinquent and the attending practitioner responsible will lose operating/procedure room and admitting privileges the following day pursuant to paragraph (E) (F) of rule 3335-45-07 of the Administrative Code.

(B) Contents.

It is the responsibility of the attending practitioner to assure that a complete medical record is prepared for each patient. The medical record shall include identification data; complaint; personal history; family history; history of present illness; physical examination; clinical observations including progress notes; nurses notes and consultation reports; diagnostic and therapeutic reports and orders; medical or surgical treatment; pathological finding; provisional diagnosis; evidence of informed consent; final autopsy report when available. No medical record is to be filed until it is complete except on order of the medical executive committee.

(C) Authentication.

All entries in the medical record must be signed by the person making the entry ~~or stamped with an authorized signature facsimile. The sections of the medical record that are the responsibility of the attending practitioner shall be signed or stamped by the practitioner.~~ When house officers or other specified professional personnel are involved in patient care, sufficient evidence must be documented in the medical record to substantiate the active participation in, and supervision of, the patient's care by the responsible attending practitioner.

(D) Electronic signature.

The electronic signature of medical record documents requires a signing password. At the time the password is issued, the practitioner is required to sign a statement that he/she will be the only person using the password. This statement will be maintained in the department responsible for electronic signature system.

~~(D)~~

(E) Unchanged.

~~(E)~~

(F) History and physical.

(1) Unchanged.

(2) A staff admission progress note must be compiled by the responsible attending medical practitioner or designee no later than ~~seventy-two~~ twenty-four hours after admission of the patient. The staff admission progress note must be signed by the responsible attending medical practitioner. Every admitting practitioner is obligated to write a staff note and sign it within twenty-four hours of patient admission.

(3) Unchanged.

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~~(F)~~

(G) Pre-admission history and physical.

A history and physical is performed on all patients, both inpatient and outpatient, regardless of whether the medical treatment or procedure is high or low risk. The history and physical shall be signed by, or counter-signed by, the attending practitioner within the time frame required by these rules. A pre-admission history ~~an~~ and physical examination will be accepted only if initially performed within thirty days prior to admission, or if a history and physical on record with the hospital is updated within thirty days prior to admission or twenty-four hours after admission.

~~(G)~~

(H) Unchanged.

~~(H)~~

(I) Unchanged.

~~(I)~~

(J) Unchanged.

~~(J)~~

(K) Suspension for failure to complete medical records.

A request for corrective action will automatically be filed against a practitioner who has been suspended pursuant to paragraph ~~(A)(1)~~ (B)(1) of rule 3335-45-07 of the Administrative Code due to incomplete medical records for an accumulative total of ninety days or more within a calendar year.

~~(K)~~

(L) Unchanged.

~~(L)~~

(M) Unchanged.

86-04 Patient care orders.

(A) Orders in writing.

All orders for treatment shall be in writing, dated and signed ~~or stamped with an authorized signature facsimile~~ personally or by electronic signature by the attending practitioner provided such a copy has been filed ~~in~~ with the medical record- information management department of the hospital.

(B) Verbal orders: person authorized to receive.

All verbal orders from practitioners for patient treatment shall be in writing. An order shall be considered to be in writing if dictated to an authorized person and signed by the responsible to the practitioner. Orders dictated over the telephone shall be signed by the responsible practitioner. Orders dictated over the telephone shall also be signed by the person to whom the order was dictated with the name of the practitioner per his/her own name. Verbal orders which have been transmitted to an authorized person shall be authenticated, and dated and signed by the responsible practitioner within twenty-four hours. All verbal or telephone orders of a licensed practitioner must be signed within thirty days after the patient's discharge by the completion of the medical record, not to exceed twenty-one days.

Persons authorized to receive verbal orders are:

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(1) through (11) Unchanged.

The above persons are authorized to accept verbal orders within their departments.

(C) Orders by limited medical staff.

Limited medical staff may write orders on patients within the scope of their qualifications and responsibility. Limited medical staff members are eligible to write any patient care order and to make any entry in the medical record, unless it is expressly reserved by these rules to another category of medical staff membership, or is restricted by law or rule of the state medical board.

(D) through (F) Unchanged.

86-06 Consultations.

(A) Unchanged.

(B) Responsibility to monitor consultations.

It is the duty of the medical staff through its clinical departmental chiefs and the medical executive committee to assure that members of the staff comply in the matter of requesting consultations as needed.

(C) Unchanged.

86-07 Patient care.

(A) Unchanged.

(B) Tissue and specimen removal.

(1) through (3) Unchanged.

(4) The pathologist will refer the pathologist's report to the appropriate ~~audit~~ committee in cases wherein it appears, in the pathologist's judgment, that normal organs were unnecessarily removed.

(C) Unchanged.

(D) Patient visits by practitioners.

The admitting practitioner or the practitioner's physician designee must visit the patient within twenty-four (24) hours of the patient's admission to the hospital. Thereafter, the admitting practitioner or the practitioner's physician designee must visit the patient at least daily, and more frequently if warranted by the patient's condition. Policies of the sicu and micu relating to patient visits by practitioners shall supersede the requirements of this rule.

(E) Autopsies.

It shall be the duty of all practitioners to secure autopsies whenever appropriate. All autopsies shall be performed by ~~the hospital pathologist or by a physician~~ an attending pathologist with hospital privileges or other attending practitioner who is qualified to perform autopsies. All deaths shall be reported to the attending or covering physician by the nursing supervisor of the unit where the patient expired, as soon as is reasonably possible. The nursing supervisor shall discuss the permission for the autopsy with the attending or covering practitioner at the time of notification of death, and shall make an entry, timed and dated, in the progress notes concerning that discussion and the approval or disapproval for autopsy. Criteria for autopsy requests include the following:

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(1) through (9) Unchanged.

(10) Deaths in which there is a need to enhance the education and knowledge of the medical staff and house staff. The attending practitioner will be notified of the autopsies performed by the pathology department.

(F) Committees and policy groups.

In addition to the medical staff committees, the medical staff shall participate in the following hospital monitoring functions:

- (1) Infection control.
- (2) Clinical quality management.
- (3) Safety and disaster planning, and
- (4) Other leadership council advisory policy groups.

(G) Medical information management committee.

(1) The medical information management committee shall have representation from medical staff, nursing, medical information management and hospital administration. The committee shall meet at least quarterly and carry out the following duties:

- (a) Review the quality of medical records for clinical pertinence and timely completion.
 - (b) Assure that each medical record or representative sample of records reflect the diagnosis, results of diagnostic tests, therapy rendered, condition, in-hospital progress of the patient, and condition of the patient at discharge.
 - (c) Review summary information regarding the timely completion of all medical records.
 - (d) Review all medical record forms, reports, and system design, and make appropriate recommendations for their use, improvement or alteration.
 - (e) Submit recommendations to assure the maintenance of complete, accurate medical information for compliance with applicable policies and regulations of the clinical quality management committee, computerized clinical information committee, governmental agencies, accrediting bodies, and purchasers of care.
 - (f) Review existing policies, rules and regulations for the completion of medical records and make appropriate recommendations for their improvement.
 - (g) Review and recommend policies and procedures for all activities pertaining to patient information including data access and security in support of patient care, research and teaching.
 - (h) Maintain written records of conclusions, recommendations, actions taken and results of the actions taken, and report regularly to the clinical quality management committee.
- (2) Each member of the medical staff shall conform to the policies established by the medical information management committee, including the following:
- (a) Chart contents. The attending medical staff member shall be responsible for the preparation of a complete medical record of each patient. This record shall include the following:
 - (i) identification and related data

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- (ii) statement of present complaint
- (iii) history of present illness
- (iv) previous personal history
- (v) family history
- (vi) physical examination
- (vii) special reports, such as those from the clinical laboratory including examination of tissues and autopsy findings and, when applicable, the x-ray department, as well as from consultants, as verified by the attending medical staff member's signature
- (viii) provisional diagnosis
- (ix) medical and surgical treatments
- (x) progress notes
- (xi) memorandum copy of the death certificate, when applicable
- (xii) condition of patient at discharge, including instructions given at that time
- (xiii) summary and final diagnosis(es) as verified by the attending medical staff member's signature
- (xiv) documentation of informed consent

(3) History and physical examination.

- (a) A complete history and physical examination shall be documented on all inpatient records. The history and physical examination shall include:
 - (i) date of admission
 - (ii) chief complaint
 - (iii) history of present illness
 - (iv) past medical history
 - (v) relevant social and family history
 - (vi) medications
 - (vii) review of systems
 - (viii) physical examination
 - (ix) test results
 - (x) impression
 - (xi) plan of care

(b) A history and physical examination appropriate to the procedure, and the patient, shall be documented in the medical record of all ambulatory surgery patients, and patients undergoing outpatient procedures.

(c) A history and physical examination appropriate to the patient and patient's chief complaint shall be documented in the medical record of all ambulatory patients.

(4) Deadlines and sanctions.

(a) A history and physical examination may be completed prior to admission, surgery or the patient's initial visit, and accepted as part of the medical record if completed within thirty days preceding the admission, surgery or visit. If the history and physical examination is completed greater than seven days, but within thirty days of admission, a notation shall be made in the history and physical examination to indicate review and any updates.

(b) The complete history and physical examination shall be dictated or written no later than twenty-four hours after admission of the patient. If the history and physical examination is dictated, a summary of pertinent findings must be recorded in the patient's chart within twenty-four hours after admission.

The history and physical examination must be performed by a member of the medical staff or his/her designee, and be signed and dated. In the event the history and physical examination is performed by a physician extender, it shall be countersigned by the responsible medical staff member.

(c) When the history and physical examination, including the results of indicated laboratory and x-ray studies, are not recorded in the chart before the time stated for a procedure, the procedure cannot proceed until the results are entered into the chart. In cases where such a delay would likely cause harm to the patient, this condition shall be entered into the chart by the attending medical staff member or designee and the procedure may begin. When there is a disagreement concerning the urgency of the procedure, it will be adjudicated by the medical director or the medical director's designee.

(d) A procedure note shall be entered in the record by the responsible attending medical staff member or designee immediately upon completion of an invasive procedure. An operative/procedure report must be dictated immediately following the procedure. Procedure notes must be written for any surgical or medical procedure, irrespective of its repetitive nature, which involves material risk to the patient. For any formal operative procedure, a note shall include the preoperative diagnosis, procedure, surgeon(s), anesthesiologist(s), type of anesthesia (general or local), complications, invasive lines either added or deleted, estimated blood loss, any pertinent information not included on operative/anesthesia record, and preliminary surgical findings. Where a formal operative/procedure report must be dictated immediately following the procedure, the transcribed operative/procedure report must be signed by the attending medical staff member. Any operative/procedure report not dictated by 10:00 a.m. the day following the procedure shall be deemed delinquent and the medical staff member responsible will lose operating/procedure and medical staff privileges the following day. Affected medical staff members will receive telephone calls from the medical information management administrator indicating the delinquent operative/procedure report(s).

(e) For all procedures which require submission of a specimen, the pathologist shall make such examination as necessary to arrive at a satisfactory diagnosis(es). The pathologist's report shall then be included in the patient's record.

(f) Progress notes must provide a pertinent chronological report of the patient's course in the hospital, reflect any change in condition and results of treatment. In the event that the patient's condition has not changed and no diagnostic studies have been

Amendments to the Medical Staff Rules and Regulations of the
Ohio State University Hospitals East (contd)

done, a progress note must be completed by the attending medical staff member at least every three days. Each progress note in the medical record must be signed or countersigned by a member of the attending, courtesy or limited staff.

(5) Discharges.

- (a) Patients shall be discharged only on written order of the responsible medical staff member. At the time of ordering the patient's discharge or at the time of the medical staff member's next visit to the hospital, the attending medical staff member shall see that the record is complete. The attending medical staff member is responsible for verifying the principal diagnosis, secondary diagnosis(es), principal procedure, and other significant procedures in the medical record by the time of discharge. If a principal diagnosis cannot be determined in the absence of outstanding test results, the attending medical staff member must record a "provisional" principal diagnosis by the time of discharge.
- (b) The discharge summary for each patient must be dictated by the responsible medical staff member, or the medical staff member's designee, within three days of discharge for any patient stay of more than forty-eight hours. A handwritten or dictated discharge summary must be completed within seven days of discharge for any patient stay of forty-eight hours or less. A dictated summary is required on all patients who expire, regardless of length of stay. The discharge summary must be signed by the responsible attending practitioner.
- (c) All medical records must be completed by the attending medical staff member or, when applicable, by the attending medical staff member's designee within twenty-one days of discharge of the patient. The attending medical staff member will be notified of the intent to suspend for all incomplete records that are available. The attending staff member will be suspended from medical staff and operative privileges until all records are completed. A list of delinquent, incomplete records by attending medical staff members will be prepared and distributed by the medical information management administrator once each week. If an attempt is made by the attending medical staff member, or the attending medical staff member's designee, to complete the record and the record is not available, the record is not counted against the attending medical staff member.
- (d) Records which are incomplete, greater than twenty-one days from discharge, are defined as delinquent.

(6) Confidentiality.

Access to medical records is limited to use in the treatment of patients, research and teaching. All medical staff members are required to maintain the confidentiality of medical records. Improper use or disclosure of patient information is subject to disciplinary action.

(7) Ownership of medical records.

Medical records of hospital-sponsored care are the property of the hospital and shall not be removed from the hospital's jurisdiction and safekeeping except in accordance with a court order, subpoena or statute.

Amendments to the Medical Staff Rules and Regulations of the
Ohio State University Hospitals East (contd)

(8) Informed consent documentation.

(a) Where informed consent is required for a special procedure (such as surgical operation), documentation that such consent has been obtained must be made in the hospital record prior to the initiation of the procedure. Such documentation shall be in compliance with the hospital's policy and procedure manual.

(b) In the case of limb amputation, a limb disposition form, in duplicate, must be signed prior to the operation.

(9) Sterilization consent.

Prior to the performance of an operative procedure for the expressed purpose of sterilization of a (male or female) patient, the attending medical staff member will be responsible for the completion of the legal forms provided by the hospital and signed by the patient. Patients who are enrolled in the Medicaid program must have their forms signed at least thirty days prior to the procedure. Informed consent must also be obtained from one of the parents or the guardian of an unmarried minor.

(10) Criteria changes.

The medical information management committee shall define the criteria for record completion with approval of the medical staff.

(11) Electronic signature.

The electronic signature of the medical record document requires a signing password. At the time the password is issued, the practitioner is required to sign a statement that he/she will be the only person using the password. This statement will be maintained in the department responsible for the electronic signature system.

(H) Operating room committee.

(1) The operating room committee shall have representation from clinical departments using the operating room, including the medical director of the operating room, representatives from all surgical specialties, the chief of the department of surgery, the chief of the department of anesthesiology, the director of nursing (or designee), chief of laboratory services, director of the operating room, and a representative from hospital administration (or designee). The committee is appointed by the medical director in consultation with the executive director of the Ohio state university hospitals east. The committee shall meet at least quarterly and carry out the following duties:

(a) Insure that surgical privileges have been delineated for each member of the medical staff who uses the operating rooms, as well as assist with the development of the delineation of privileges for each member of the medical staff that uses the operating room;

(b) Develop written policies and procedures concerning the scope and provision of care in the surgical suite in cooperation with the departments and services concerned;

(c) Consider problems in operating room functions brought to its attention by any of its members;

(d) Monitor medical staff compliance with operating room policies established for patient safety, infection control, quality assurance, and smooth functioning of the operating rooms;

(e) Develop and make recommendations to the medical executive committee regarding conduct of medical staff in the operating rooms; and

Amendments to the Medical Staff Rules and Regulations of the
Ohio State University Hospitals East (contd)

- (f) Maintain written records of actions taken and results of those actions, and make these available to each committee member, the vice president of health services, the medical director, the executive director, and the associate executive director.
- (2) The operating room committee shall be a hospital committee and be appointed in accordance with policies and procedures of the hospital.
- (3) Each member of the medical staff shall conform to the policies established by the operating room committee, including the following:
- A member of the attending surgical staff shall be present in person during surgical procedures and a member of the attending anesthesiology staff shall be present in person during anesthetization, and shall be familiar with the progress of the procedure, being available at all times during the procedure.
- (l) Pharmacy, therapeutics and drug utilization committee.

The pharmacy, therapeutics and drug utilization committee shall be appointed in conformity with the medical staff bylaws of the Ohio state university hospitals and have representation from the medical staff, nursing, pharmacy department and college, and hospital administration. The majority of members shall be members of the medical staff. The committee shall meet at least quarterly and carry out the following duties:

- (1) Review the appropriateness, safety and effectiveness of the prophylactic, empiric and the therapeutic use of drugs, including antibiotics, through the analysis of individual or aggregate patterns of drug practice.
- (2) Provide the medical and hospital staff with information and advice concerning the proper use of drugs and related products. Monitor and evaluate those drugs which are most prescribed known to present problems or risks to patients and which constitute a critical part of a patient's specific diagnosis, condition or procedure.
- (3) Consider the welfare of patients, as well as education, research and economic factors when analyzing the utilization of drugs and related products.
- (4) Advise on the use and control of experimental drugs.
- (5) Develop or approve policies and procedures relating to the selection, distribution, use, handling and administration of drugs and diagnostic testing materials.
- (6) Review all significant untoward drug reactions.
- (7) Maintain the formulary of accepted drugs with review of proposed additions and deletions, and review of use of non-formulary drugs within the institution.
- (8) Maintain written reports of conclusions, recommendations, actions taken, and the results of actions taken, and report these at least quarterly to the medical executive committee.
- (9) Create subcommittees as follows: pharmacy, therapeutic and drug utilization executive subcommittee, therapeutic drug utilization monitoring subcommittee, policy and surveillance subcommittee, formulary subcommittee, antibiotic usage subcommittee, and therapeutic drug monitoring subcommittee.

The therapeutic drug utilization monitoring subcommittee shall:

- (a) Establish methods by which serum blood levels may be used to improve the therapeutic activity of drugs;
- (b) Establish programs to educate health care providers to the appropriate methods of monitoring the therapeutic effect in drugs via serum drug assays;

Amendments to the Medical Staff Rules and Regulations of the
Ohio State University Hospitals East (contd)

- (c) Provide guidance to the therapeutic drug monitoring service at the Ohio state university hospitals east; and
- (d) Recommend the development of policies and procedures to the pharmacy, therapeutic and drug utilization executive subcommittee.

(APPENDIX XIII)

ACCEPTANCE OF THE GIFT OF REAL PROPERTY

0.96 ACRES OF UNIMPROVED LAND
RED ROCK BOULEVARD, GROVE CITY, OHIO

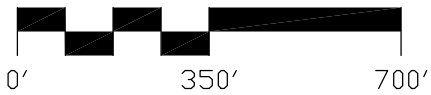
Location and Description

The property consists of approximately 0.96 acres of unimproved land, with a donor-estimated value of approximately \$50,000. The property is owned by M/I Schottenstein, Inc. It is part of a 55-acre tract that the University sold to M/I Schottenstein in 1991. With the completion of their development, M/I Schottenstein wishes to donate the remaining parcel back to the University. The property is contiguous to about 38 acres of University land (titled in the name of the Board of Trustees) that is used for AM antennas for the WOSU Stations. A phase-one environmental audit, performed by the University's Office of Environmental Health and Safety, disclosed no environmental concerns.

Use of the Property

Title to the property will be taken in the name of the Board of Trustees of The Ohio State University. The land will be used as a part of the WOSU Stations AM antenna site and provide a needed buffer between the antenna installation and nearby homes. The addition of this parcel will enhance the value of the present University property.

Proposed Gift of .96 Acres of Real Property Red Rock Boulevard Grove City, OH



Scale: 1"=350'



Map Provided by University Engineer's Office

Office of Business and Finance
Board of Trustees Meeting
October 5, 2001

--- OSU Property

Gift Parcel

**NEW FRESHMAN PROFILE COMPARISONS
ENROLLMENTS 1995-2000 AND PROJECTED 2001**
The Ohio State University - Columbus

	Autumn 1995 Enrolled	Autumn 1996 Enrolled	Autumn 1997 Enrolled	Autumn 1998 Enrolled	Autumn 1999 Enrolled	Autumn 2000 Enrolled	Autumn 2001 Projected
Number	5794	5976	5861	6092	5986	5774	5900-5950
Average ACT	22.8	23.5	23.9	24.2	24.7	24.9	25.2-25.25
Cum % in top 10%	21	24	26	26	29	32	32-33
Cum % in top 25%	46	50	57	56	62	68	66-67
Honors Students *	856	1098	1179	1171	1304	1283	1270-1290*
University Scholars	406	516	642	606	696	708	755-765
H.S. Valedictorians	150	171	181	186	231	239	248-253
National Merit Scholars	96	96	103	74	104	104	103-104
National Achievement Scholars	1	4	9	6	11	3	6-7
National Hispanic Scholars	N/app	N/app	N/app	N/app	N/app	3	6-7
African Americans	491 (8.5%)	514 (8.6%)	575 (9.8%)	554 (9.1%)	564 (9.4%)	553 (9.6%)	580-590 (9.7-10.0%)
Hispanics	108 (1.9%)	129 (2.2%)	114 (1.9%)	136 (2.2%)	141 (2.4%)	157 (2.7%)	160-165 (2.7-2.8%)
Native Americans	22 (0.4%)	25 (0.4%)	23 (0.4%)	17 (0.3%)	28 (0.5%)	36 (0.6%)	37-40 (0.6-0.7%)
Asian Americans	302 (5.2%)	284 (4.8%)	321 (5.5%)	338 (5.5%)	331 (5.5%)	335 (5.8%)	340-345 (5.7-5.8%)
Non-Residents	616 (10.6%)	753 (12.6%)	723 (12.3%)	874 (14.3%)	945 (15.8%)	900 (15.6%)	950-970 (16.0-16.4%)
International Students	125 (2.2%)	98 (1.6%)	130 (2.2%)	96 (1.6%)	138 (2.3%)	128 (2.2%)	150-160 (2.5-2.7%)
Placement in Remedial Math	1317 (23%)	1068 (18%)	719 (12%)	690 (11%)	538 (9%)	**	410-420 (7%)
Placement in Remedial English	698 (12%)	451 (8%)	461 (8%)	317 (5%)	331 (5%)	316 (5%)	235-245 (4%)

* Due to the 8 new Scholars programs, some honors students are now enrolled in scholars programs instead

**different method used to assess math placement; no comparable information available.

Graduation Rate Data	1997		1998		1999		2000		2001	
	1990 Cohort #	Rate	1991 Cohort #	Rate	1992 Cohort #	Rate	1993 Cohort #	Rate	1994 Cohort #	Rate
All Students	6127	0.55	5502	0.57	5389	0.56	5283	0.56	5920	0.55
Men	3134	0.53	2797	0.54	2764	0.54	2573	0.53	2973	0.53
Women	2993	0.58	2705	0.60	2625	0.59	2710	0.59	2947	0.58
All Aided Student-Athletes	83	0.49	86	0.53	92	0.52	84	0.50	100	0.62
Men	57	0.40	59	0.47	58	0.45	44	0.34	64	0.53
Minority Men	17	0.18	20	0.30	18	0.22	16	0.13	20	0.45
Football	17	0.29	20	0.35	21	0.33	21	0.14	18	0.50
Women	26	0.69	27	0.67	34	0.65	40	0.68	36	0.78
Minority Women	9	0.44	3	1.00	6	0.67	2	0.50	9	0.78
Exhausted Eligibility (played 4 years)	0.89		0.89		0.85		0.77		0.86	

GRADUATION RATES FOR ATHLETES AND OTHER STUDENTS WHO ENTERED
COLLEGE IN 1994-95

The Big Ten

University	All Students	Athletes	Football
Northwestern U.	92%	90%	83%
U. of Michigan	82%	71%	55%
Penn State U.	80%	75%	47%
U. of Wisconsin	76%	74%	60%
U. of Illinois	76%	72%	71%
Michigan State U.	66%	62%	33%
Indiana U.	65%	63%	86%
Purdue U.	64%	67%	53%
U. of Iowa	63%	74%	78%
Ohio State U.	55%	62%	50%
U. of Minnesota	50%	56%	47%

Other Institutions:

UCLA	80%	58%	47%
U. of Texas	69%	63%	47%
U. of Washington	68%	64%	53%
U. of Arizona	54%	53%	58%
Florida State U.	61%	55%	46%
U. of Nebraska	51%	49%	50%

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-SEVENTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, November 2, 2001

The Board of Trustees met at its regular monthly meeting on Friday, November 2, 2001, at The Ohio State University Longaberger Alumni House, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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November 2, 2001 meeting, Board of Trustees

The Chairman, Mr. Brennan, called the meeting of the Board of Trustees to order on November 2, 2001, at 11:00 a.m. He requested the Secretary to call the roll.

Present: David L. Brennan, Chairman, James F. Patterson, Zuheir Sofia, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Kevin R. Filiatraut, and Joseph A. Shultz. Fred L. Dailey, Director of the Ohio Department of Agriculture, was also in attendance.

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PRESIDENT'S REPORT

President William E. Kirwan:

Last month in my reports to the Board and to the University Senate, I addressed the challenges we face from inadequate state support coming into this year, now compounded by a six percent mid-year budget cut. I also said that, in spite of these difficult budgetary times, we will move forward with our Academic Plan, even if our efforts to support some aspects of the plan must be delayed.

At this time, we are aggressively moving forward on four key priorities in the Academic Plan: 1) a series of enhancements to our undergraduate program through use of over-the-cap tuition funds; 2) a major biomedical research initiative, drawing in part upon the Tobacco Settlement funds; 3) creation of an Institute for the Study of Race and Ethnicity in the Americas, using funds earmarked last year; and, finally -- and most pressing -- 4) a substantial increase in faculty and staff compensation to bring us in line with our benchmark institutions.

Funding for the first three of these items has already been identified. To secure the funding for compensation increases, and to address the six percent budget cut, we have developed a reprogramming plan to generate some \$40 million. I outlined the elements of this plan in my October 11 address to the University Senate. Later in the meeting, Provost Ed Ray and Senior Vice President for Business and Finance and Chief Financial Officer Bill Shkurti will give specific details of our most immediate challenge -- namely, our response to the six percent reduction in state funds.

Clearly, our overarching reprogramming plan will not be painless. You can't take away millions more in resources without cutting or eliminating important programs and activities. Nevertheless, we are firmly committed to building, maintaining, and rewarding our talented faculty and staff. The ultimate success of our Academic Plan begins with that effort.

In this very challenging economic environment, our Academic Plan has become an even more valuable blueprint to help Ohio State stay the course and become the top tier University that our state needs in today's knowledge economy. The plan will continue to be our guide for planning and decision-making to help us maximize the value of the limited resources available.

Similarly, the Academic Plan provides us with guidelines by which we can monitor our progress and success. We've enjoyed a number of those successes over the past several weeks, and I'd like to take just a few moments and tell you about a few of them.

First and foremost, I am very pleased to report that Dr. Janice Kiecolt-Glaser, an Ohio State professor of psychology and psychiatry, has been elected to the National Institute of Medicine, which is the medical arm of the National Academy of Sciences.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Membership in the Institute of Medicine is perhaps the highest honor American medical researchers can achieve -- and Dr. Janice Kiecolt-Glaser joins our growing cadre of members of the various national academies. As you may remember, Drs. Clara Bloomfield and L.S. Fan were elected last year to the Institute of Medicine and the National Academy of Engineering, respectively. Dr. Kiecolt-Glaser is an international expert on stress, a talent that many of us may want to call upon in the coming months.

A number of other faculty members also received exceptional honors recently. For instance, Moritz College of Law Dean Nancy Hardin Rogers will be the next recipient of the American Bar Association's D'Alemberte/Raven Award for contributions in dispute resolution. The previous winners of this award were Janet Reno, Dorothy Nelson, Chief Judge of the U.S. Court of Appeals for the Ninth Circuit, and Harvard Law professors Frank Sander and Robert Fisher.

Also, cancer researcher Gustave Leone was named a 2001 Pew Scholar in Biomedical Sciences -- a designation given only to 20 scientists across the country. Professor of English and poet David Citino received the Ohioana Career Award for his wonderful poetry. Poetry, I must add, that has certainly delighted and enriched the lives of everybody in this room. Professor of Astronomy Kris Sellgren has been asked by NASA to join its prestigious Space Science Advisory Committee, which will be advising NASA on projects such as the next generation space telescope and the Mars exploration program.

Our faculty have also received a number of substantial research awards recently, including: a \$2.8 million grant from the National Science Foundation for projects to help expand the benefits of information technology. The largest of these projects are headed by Professor of Computer and Information Science Saday Sadayappan and Ohio Eminent Scholar and Professor of Mathematics Gregory Baker.

A four-year, \$1.8 million alliance between the College of Veterinary Medicine and the Iams Company will support advances in small animal medicine. Dean Glen Hoffsis played a key role in forming that alliance. We also received a \$1.3 million project from the National Institutes of Health to study alternative tobacco use in Appalachia, which parallels a \$1.5 million grant to study smoking cessation in Appalachia. Both studies are headed by Professor of Nursing Mary Ellen Wewers.

Associate Professor of Human Development and Family Science Julie Serovich is leading another \$1.3 million grant from the National Institute of Mental Health to study mental health issues of women with HIV and AIDS. And our College of Education is partnering with the Columbus Public Schools on a \$250,000 grant from the U.S. Department of Education to improve physical education in schools. Professor Mary O'Sullivan is Ohio State's lead investigator in this project.

We're also making substantial progress in improving our academic programs and improving the quality of Ohio State's teaching and learning environment. This afternoon, for instance, Chairman Brennan and I will be participating in the groundbreaking ceremony for our Stanley J. Aronoff Laboratory of Biological Sciences. This five-story, \$26 million building will be equipped with 32 state-of-the-art research laboratories and other research support spaces.

Our new First-Year Experience program has been extremely successful so far. Just in the past few weeks, Nobel Peace Prize winner Elie Weisel and author Bebe Moore Campbell spoke with hundreds of first-year students right here in

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Columbus at Ohio State. These are students who had read their books as part of our Buckeye Book Community. These students purchased more than 4,000 copies of the authors' books and the discussions they shared are helping them make the human and intellectual connections that will lead them to become active and engaged members of our larger University community.

One other program I want to mention is a new scholar exchange partnership between the University of Sao Paolo, Brazil, and Ohio State's Office of International Education and the University Honors and Scholars Center. As part of that program, four of our outstanding undergraduates will travel to Brazil tomorrow to make presentations at the University of Sao Paolo's undergraduate research symposium. This is an incredible opportunity for these very talented students.

Finally, I would like to mention just a few new initiatives that support our goal of helping to build Ohio's future. First, our College of Engineering has begun a program to introduce engineering as a career option to underrepresented student groups and improve retention rates once they reach college.

For this program, our faculty are teaching college-level introductory classes to about sixty students at Walnut Hills High School in the Cincinnati Public School District. Those of you from that area and many across the state know what an outstanding school Walnut Hills is. We're very excited about this outreach effort. If these students attend Ohio State -- and how could they not -- they'll have a nice head start, saving time and tuition costs and gaining great confidence in their ability to do high-level work.

I'm also happy to report that Ohio State is a founding investor in a new, \$20 million venture capital early seed fund, organized by the Columbus Technology Leadership Council. The alliance of investors includes Battelle, Nationwide, Huntington Bank, the State of Ohio, and other leading businesses, and the fund -- called the Battelle Technology Fund -- will be a great resource for providing seed funds to high tech businesses and entrepreneurial activities in Central Ohio, many of which will be coming out of Ohio State University. I will be serving on the fund's advisory board.

Another effort to improve the Ohio economy involves Fred Sanfilippo, senior vice president for Health Sciences and dean of the College of Medicine and Public Health. Governor Taft has appointed Dr. Sanfilippo to the Ohio Biomedical Research and Technology Transfer Commission. As a member of that board, Dr. Sanfilippo will help assess Ohio's strategic investments in biomedical research and technology.

Finally, I'm very proud to say that University Police Lieutenant Al Washer has been selected to serve at the 2002 Winter Olympics in Salt Lake City. We have an Olympic-caliber security officer here at Ohio State.

Lieutenant Washer is one of only 500 officers nationwide and one of only seven in Ohio to be asked to join the Utah Olympic Public Safety Command. As you can imagine, these safety officers will have a high pressure and vitally important role in ensuring the safety of participants and spectators at the upcoming Olympics. We are extremely proud that Lieutenant Washer will be part of that select team and, on behalf of the University, I want to wish him great success in this assignment.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Mr. Chairman and members of the Board, that completes my report.

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PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES

Mr. William H. Hall:

For several years now the Board has heard reports on the various ways the University is working to enhance the student experience, particularly for undergraduates. From the First-Year Experience program to undergraduate research opportunities there are numerous initiatives underway to involve and engage students in a total learning experience, in and outside of the classroom.

Today we are pleased to talk with you about ways units throughout the University are working with students to develop skills, confidence, and experience that will provide a foundation for their future roles in their professions and communities. Our panelists will discuss leadership development and service-learning, two related concepts that link real world hands-on experience with structured learning methods.

I'd like to introduce, Dr. Donald Stenta, associate director of the Ohio Union and Student Activities, who coordinates our leadership development/service-learning programs in Student Affairs. Don has invited Jonathan Felix, a sophomore from Poland, Ohio, to discuss his experiences with the Leadership Development Program. Jonathan is majoring in both marketing and theatre, and, I think, when you hear him talk, you'll agree that he's a natural for both of those majors.

Representing the faculty is Dr. Golden Jackson, from the College of Human Ecology, who offers courses that integrate service-learning. Dr. Jackson is co-chair of the University Service-Learning Scholars Roundtable and her classes vividly illustrate how service-learning can be an integral part of academic course work. She has invited Rachel Solove to present a student viewpoint on service learning. Rachel, who will receive her bachelor's degree in consumer affairs in March, is from Gahanna, and is considering a career in real estate development.

Don --

Dr. Donald A. Stenta:

Thank you very much, Vice President Hall, and good morning to you all. As Bill mentioned, my name is Don Stenta and I currently serve as the associate director of the Ohio Union and Student Activities. We're very pleased to be here today to discuss an important topic at Ohio State.

In the Student Affairs' restructuring process this summer, Vice President Hall made a conscious decision to integrate two very important elements of the student experience. After several conversations this summer that discussed the restructuring process, leadership development and service-learning initiatives in Student Affairs were integrated into one unit at the Ohio Union.

The concept of leadership at Ohio State is presented to students from the perspective that all students on our campus have the capacity to be a leader. Leadership is rooted in forming relationships with other people, with attention being paid to the role that the students have within their community.

PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)

Dr. Stenta: (contd)

This vision of situating leadership in the community is consistent with many of our University initiatives and really goes to the fabric of what our institution stands for.

In our work to educate students about leadership, we will do so with a focus on how to integrate and blend leadership and service-learning together. I should add that this is not a consistent pattern at other universities. What we are trying to do is cutting edge and new in terms of being a collaborative partner. We are taking Academic Affairs' units and Student Affairs' units, and providing students with experiences both in and out of the classroom to enhance their learning.

It is clear from our research on leadership development, that programs related to leadership are happening in nearly every corner of the University. As a result, what we're doing is compiling a comprehensive list of the leadership development programs at the University. As you can imagine, this list is quite long and includes programs such as: the Lena C. Bailey Lecture Series, in the College of Human Ecology, and the Mount Leadership Society.

The Mount Leadership Society is a program I'm sure you're familiar with. It links together leadership and service-learning. It has been very successful in taking curricular and co-curricular experiences of students and forming relationships between Academic Affairs' and Student Affairs' units.

Leadership development programs are currently happening in nearly every division in the Office of Student Affairs. As such, we are establishing a Leadership and Service-Learning Council that will be made up of students and professionals in Student Affairs. This will bring them together to discuss issues related to all of our initiatives in leadership and provide a comprehensive array of the offerings that the divisions will be able to provide.

For several years we've been offering leadership classes in conjunction with the School of Educational Policy and Leadership. Each year over 1,000 students enroll in between 40 and 50 sections of the class, which covers both personal and group dynamics. Students report to us in evaluations that these classes provide them with real-world examples of how leaders confront challenges in their settings.

This year, in conjunction with the First-Year Experience Success Series, that President Kirwan outlined, our office offered five workshops that provided students with an understanding of leadership at Ohio State. These workshops were originally designed to accommodate 200 students during the week, so we were planning on about 40 students coming to each session. When the students registered on-line, we had over 300 students apply to some sessions and over the week we had 737 students attend these sessions. These sessions showed the students the leadership opportunities at Ohio State and the programs that they could be involved with.

During the session we introduced a new program called Quest, which will be our emerging leaders program at Ohio State. This program will offer new students the opportunity to sharpen their leadership skills and become exposed to topics related to leadership, like diversity and community service. I'm pleased to report that 188 students have expressed an interest in participating in this emerging leaders program, that will begin Winter Quarter.

Perhaps the most comprehensive program we offer is the Leadershape Institute. Leadershape is a nationally recognized, intensive, six-day institute that spans six

PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)

Dr. Stenta: (contd)

days in June. Ohio State's institute this summer will be the fifth year of the program. I noticed Ms. Longaberger smile when I mentioned Leadershape. The first year that we offered Leadershape, Ms. Longaberger was one of our guest leaders. That was five years ago, and I'm very pleased to state that students still talk about the role that you had in shaping their understanding of leadership and really speaking to them about the impact that you've made as a University and community leader.

Thirty campuses nationwide offer this program, including five other schools in the Big Ten -- including the one up north -- and over 10,000 students have participated nationwide. On our campus, I'm pleased to tell you that nearly 250 students are now graduates of the Leadershape Institute.

I have asked Jonathan Felix, a Leadershape participant from last summer, to speak with you about his experience with the program. Jonathan will serve as this year's student co-coordinator of the program.

Mr. Jonathan Felix:

Hi. My name is Jonathan Felix and, as Don mentioned, I will be this year's on-site coordinator for the Leadershape Institute. I attended the program last June, and it was a life-altering experience, to say the least.

The intensive and thorough week opened my eyes to what the definition of a true leader really is. Personally that was the concept -- that a true leader is not only one who leads, but one who gives back to the community around them.

During the program, students are asked to create a "vision plan." This can best be described as a snapshot that captures the essence of the ideal future that the student wants to create. It can relate to a number of things, such as organization, community, cause, issue, or something else that the student feels passionate about. My vision plan -- and something I am very passionate about -- is that all children of inner city Columbus, will receive the support and encouragement they need to achieve their future career goals.

One of the unique portions of Leadershape that sets it apart from different programs is that it doesn't end with the student acquiring this inspiring vision. It goes way beyond that. The student is then given the tools and the ideas to make this vision become a reality.

For my vision, this entailed helping to create and implement a program here on campus called AIMS -- Arts Impact Middle School. This program, run through the College of the Arts, takes students from the Arts Scholar Program and places them in the Indianola Middle School classroom. Here children are taught about the arts and they get to spend influential time with a mentoring college student. It is a small success in the grand picture, but with dedication and persistence, I hope to further it by one day creating my very own program. But without Leadershape, I would never have realized this passion or ways to carry it out.

In addition to my vision plan, the program also gave me assistance in my everyday life. One of the finest examples of this is my position as a residence hall advisor. Every day students rely on me to be a positive role model and leader in my floor's community -- all things that are connected to what I learned during the program.

PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)

Mr. Felix: (contd)

At the end of the week, each student is asked to create a commercial that adequately describes their experience at Leadershape. I would like to leave you with a commercial that I wrote, that borrows from the famous VISA® ad campaign:

- Two Ohio State football tickets – \$40
- Two hot dogs at the 'Shoe – \$7
- One Brutus the Buckeye hat – \$15
- A life-changing experience filled with friends, inspiration, and encouragement – Priceless!

Dr. Golden Jackson:

Maybe we'll hear that at the 'Shoe someday – that was very good.

I'm Golden Jackson and I teach in the College of Human Ecology. I really appreciate the opportunity to be here today to tell you about one approach being used in OSU classrooms to develop leadership among students. That approach is known as service-learning.

Service-learning is a form of experiential education and there are three features that distinguish it from other types of courses. First of all, students participate in an organized service activity out in the community. Second, the service is connected to specific learning outcomes and also meets identified community needs. The third distinctive feature of service-learning is reflection. Reflection simply is structured activities that allow the student to connect what he or she is doing in the community with what they're learning in the classroom. So they may either write or discuss or engage in some other form of reflection that enables them to do this.

The adoption of service-learning is spreading among institutions of higher education around the country. Several examples of service-learning programs at other institutions include: the Ginsberg Center for Community Service and Learning at the University of Michigan; The Carlson Leadership and Public Service Center at the University of Washington; and the Morgridge Center for Public Service at the University of Wisconsin.

Service-learning has gained support at these and other institutions because it facilitates a shift in emphasis from teaching to learning. It focuses on problems rather than disciplines, and it emphasizes collaborative rather than individual action in learning. A growing body of research on service-learning shows the method to be effective in: promoting student learning; increasing problem-solving abilities; developing social responsibility and citizenship; and fostering student leadership.

On the Ohio State campus three years ago, Vice Provost for Undergraduate Education Martha Garland recognized the merits of service-learning as a means of enhancing the quality of undergraduate education. When Vice Provost Garland speaks about our challenge to improve undergraduate education, she often refers to the Ohio State motto "Education for Citizenship." She saw service-learning as a vehicle that could be used to inject those words into our work.

She convened a group of faculty to explore the potential of service-learning at Ohio State. The Service-Learning Scholars Roundtable began in 1998 with eight

PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)

Dr. Jackson: (contd)

members and today has forty-two members from twenty-four units across the University.

The work of the Roundtable has led to a three-year grant from the Corporation for National Service to fund what we call the Service-Learning Initiative. The grant will support the development of thirty new service-learning courses over a three-year period that will be added to the twenty-seven courses that exist now.

Another important part of the grant is the development of a University-wide database that will list community service projects and service-learning courses. This will be a major step forward in our ability to provide leadership development opportunities through coordination of community service projects for our students, faculty, and our community partners. The Service-Learning Initiative is collaborating with the P-12 Initiative Learning Bridge and the Office of Student Affairs on this project.

We really believe that community service experience is a powerful teaching tool. I'm afraid to say that I've witnessed students in my class who were only marginally interested in the course material, actually come alive and become totally engaged when they were connecting their community experience with concepts that we were discussing in the classroom.

Every Spring Quarter, I teach Family Resource Management 611 which deals with consumer housing problems. In that course, I partner with the Godman Guild or the Weinland Park Community Collaborative -- both organizations in the University neighborhood -- to design a service project that contributes both to the need of the community, and also guides my students to learn about housing problems and solutions in a low income neighborhood and to see housing policy in action. I've invited Rachel Solove, one of my students from last spring, to tell you about her experience in the class.

Ms. Rachel Solove:

Hi. As Dr. Jackson said, my name is Rachel Solove and I'm a consumer affairs major in the College of Human Ecology. When registering for Dr. Jackson's course, I was not really sure what to expect. From the first day of class, I knew that this would not be a typical course. The class, which met twice a week in the Human Ecology House on North Fourth Street, was a welcome change from the norm.

In the class we learned about the importance of housing in the daily lives of families, about the effects of different housing policies, and a community development approach to solving housing problems. The textbook for the course was a book written about a Boston neighborhood called Dudley Street. It was about how its residents pooled together their resources and turned their community around from a near ghetto environment to a rehabilitated community with pride and promise for the future. Changes were made from within their own community using resources and residents to plan the development of the neighborhood without displacing the current residents.

While reading and discussing the amazing dedication and years of planning it took to turn Dudley Street around, we related the situation to an area closer to home. We were able to compare the story of Dudley Street with the Weinland Park neighborhood. The Weinland Park area is located south of the University district and bordered by East Fifth Avenue on the south, Chittenden Avenue on the north, High Street on the west, and the railroad tracks to the east.

PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)

Ms. Solove: (contd)

We learned more about this area by interviewing long-time residents, visiting the Godman Guild, which is a community agency that hosts many programs for the area, and getting out into the neighborhood and taking a walking tour led by a resident of Weinland Park. We also met teenagers that are active at the Godman Guild. Some students even helped work on a mural being painted on the corner of Eleventh and Grant Avenues, hoping to provide a visible entrance into the neighborhood.

Before interviewing residents of the area, we were briefed on the current efforts taking place in Weinland Park and found that no history had ever been written about the area. Residents have expressed the need for a written history to increase visibility, to create a neighborhood identity, and to gain clues about the timing and causes of neighborhood change and decline.

Collecting oral histories from long-time residents seemed to be a great way to meet these requests. Oral histories are created for many reasons and we hope to use the information gathered to provide the community with a sense of pride and hope for the future.

After one-on-one interviews with the residents in the area, some of us were invited into residents' homes, while others met somewhere else to conduct the interviews. Many of the students in the class, including myself, found that the neighborhood -- which many students will try to avoid at all costs -- faces many of the same problems that Dudley Street faced. Residents complained about the high turnover rate, absentee landlords, and residents that don't take care of their properties, as well as crime and violence.

This is an area that I had only seen by driving to and from downtown going down Third or Fourth Streets, and I can admit, I didn't really have a very high opinion of the area either. But after being invited to the home of Drexel Thomas, a retired University worker who has actually lived in the neighborhood and in the same house since 1958, my perception of Weinland Park completely changed. After talking to her -- and also talking to other class members to see what they had found out in their interviews -- I was pleasantly surprised to find out that many residents of Weinland Park do care about the area they live in and they feel it's a great neighborhood. Many have resided in the area for years and years, and have no intentions of leaving.

By talking to residents and finding out about the many projects that have been taking place, as well as from what I saw on the tour, I realize that this neighborhood could be turned around just like Dudley Street. Many residents of Weinland Park are very optimistic about the future of the community and feel that they are taking steps in the right direction.

After gathering all of the information from our interviews, the class decided that it would be a great way to end the quarter if we could invite the community, along with the residents that we interviewed, to a celebration at the Human Ecology House. With the help of Dr. Jackson and others, a slide show was put together with pictures and quotes from the interviews and students in the class. We showed the slide show at the celebration. The day was a big success and an excellent way to end a productive quarter. It also drew attention to the neighborhood and to show the residents that they can make a difference -- they just need to pull together.

Overall, I think that the 20 students in the class found the entire experience to be rewarding and a refreshing change from the typical classroom setting. Class

PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)

Ms. Solove: (contd)

discussion and reflections made us think about the course content and what we had learned. By relating this information to an area that we could actually see and that we became familiar with, made the course much more effective.

I feel that service-learning courses such as Dr. Jackson's class, give students an experience they cannot gain from reading textbooks and listening to lectures. It allows the conventional classroom walls to be broken and enables students to get out into the community, learning lessons that will last them a lifetime. I know that this course will stand out in my mind for years to come.

Dr. Jackson:

Thank you, Rachel. We appreciate this opportunity to present some of the exciting initiatives that contribute to student leadership development at Ohio State. Don and I were especially pleased to be asked to present as a team, because we represent two of many faculty and staff who are committed to collaboration in this important work. Thank you.

Mr. Hall:

Mr. Chairman, that completes our presentation. I would like to emphasize that the heart of these initiatives are collaboration and teamwork between Academic Affairs and Student Affairs. There are some exciting initiatives that are occurring. If you have any questions for the panelists, I'm sure they would be happy to address them.

Mr. Brennan:

Any questions? Dan --

Mr. Slane:

Did you find any reasons for the decline and solutions for the Weiland Park area?

Ms. Solove:

We did find a lot of reasons why people felt that the neighborhood had changed and when the decline took place. A lot of it had to do with the infiltration of Section VIII housing in the mid-'70s. Also, the crime rate has always been a problem there. There are pockets of the area that are nice and where the residents take care of their properties.

I'm actually working on compiling the information that we found, along with other information, to give to the community so that they can use it as a resource. They need something that ties the community together, so they can pull together. There are a lot of people that are interested, but nobody seems to keep the flame going. It just seems to die out after a couple of years or they just haven't really been able to get over the initial hump to pull things together.

I think a related problem is the fact that the home ownership rate is very low, so there is a very high turnover. It is difficult to sustain an effort because people come and go so often.

PRESENTATION ON STUDENT LEADERSHIP & SERVICE-LEARNING INITIATIVES (contd)

Mr. Brennan:

Any other questions? Thank you very much.

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STUDENT RECOGNITION AWARD

Mr. Shultz:

Ladies and gentlemen, today the Board of Trustees has the opportunity to put a face on academic excellence through our Student Recognition program. Nominated by Dr. Robert Gold, dean of the College of Mathematical and Physical Sciences, we are proud to recognize Amy Stutz, an undergraduate student majoring in physics.

Amy exemplifies what it means to be a student here at The Ohio State University through her rigorous research in the Department of Astronomy. Amy has had a unique path to finding her passion of astronomy here at The Ohio State University. She became interested in astronomy after an introduction class on research offered to non-science majors. Amy says that another factor in her success was finding a wonderful faculty advisor. Dr. Andrew Gould, professor of Astronomy, not only helped Amy schedule the right classes, but also introduced her to the possibilities of undergraduate research.

As a sophomore, Amy started to assist Dr. Gould with his research. To date, she has coauthored two published papers with Dr. Gould based on research that she has conducted concerning distance, determination, and the amount of dust in the galaxy. She continues to work with other professors in the Astronomy Department in data reduction and analysis. Currently, Amy is applying to graduate programs around the country in the hopes of continuing her education in astronomy.

Amy, on behalf of President Kirwan and the Board of Trustees, I wish to congratulate you on your accomplishments and wish you the best of luck in achieving your goals, as I present to you the Student Recognition Award.

Ms. Amy Stutz:

Thank you very much for the recognition. I'd also like to thank the Departments of Physics and Astronomy – especially, Astronomy – for providing me with so many opportunities.

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CONSENT AGENDA

President William E. Kirwan:

We have thirteen resolutions on the Consent Agenda today and I would like to ask that numbers 7, 8, 9, and 13 be considered in separate motions. Unless there are any objections, I would like to recommend the following resolutions to the Board:

REGIONAL CAMPUS BOARD APPOINTMENT

Resolution No. 2002-42

Synopsis: Approval of appointment to The Ohio State University-Lima Board is proposed.

WHEREAS the Board of Trustees in 1994 approved the establishment of The Ohio State University Regional Campus Boards; and

WHEREAS it has been previously stipulated that "the board shall be composed of eleven members appointed by The Ohio State University Board of Trustees in consultation with the president of the university" (one member of the board shall be a member of the university board of trustees; nine members shall be private citizens; and one member shall be a student); and

WHEREAS the following named person has been nominated and selected for appointment to the Lima Regional Campus Board for the term as specified:

Lima Board Appointment

Tanja H. Nihiser, term November 1, 2001 – June 30, 2002
(student appointment)

NOW THEREFORE

BE IT RESOLVED, That the foregoing nominee be approved as a member of The Ohio State University-Lima Board, effective November 1, 2001.

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT
STATION ADVISORY COUNCIL**

Resolution No. 2002-43

WHEREAS the Engineering Experiment Station is under the control of the Board of Trustees of The Ohio State University; and

WHEREAS according to statute 3335.27 of the Ohio Revised Code, there shall be an advisory council made up of the director of the Engineering Experiment Station and six members appointed by the Board, chosen from the faculty of the College of Engineering; and

WHEREAS this Engineering Experiment Station Advisory Council "shall select suitable subjects for investigation, apportion the available funds, and with the consent of the Board may provide for the dissemination of the results to the people of the state:"

NOW THEREFORE

BE IT RESOLVED, That upon the recommendation of President William Kirwan, pursuant to the request of James C. Williams, Dean and Director, College of Engineering, Engineering Experiment Station, The Ohio State University Board of Trustees, acting in accordance with the requirements of the Ohio Revised Code Section 3335.27, hereby appoint the following individuals to serve on the Engineering Experiment Station Advisory Council:

**APPOINTMENTS TO THE ENGINEERING EXPERIMENT
STATION ADVISORY COUNCIL (contd)**

<u>Name</u>	<u>Title</u>	<u>Proposed Term</u>
K. Cheena Srinivasan	Chair and Professor of Mechanical Engineering	11/1/2001 - 1/31/2004
Linda K. Weavers	Assistant Professor of Civil and Environmental Engineering and Geodetic Science	11/1/2001 - 1/31/2004

PERSONNEL ACTIONS

Resolution No. 2002-44

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the October 5, 2001 meeting of the Board, including the following Appointment, Reappointment of Chairperson, Leaves of Absence Without Salary, Leave of Absence Without Salary--Cancellation, Professional Improvement Leave, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved September 28, 2001, by The Ohio State University Hospitals Board, be ratified.

Appointment

Name: JAY D. IAMS
Title: Professor (The Frederick P. Zuspan, M.D. Chair in Obstetrics and Gynecology)
Department: Obstetrics and Gynecology
Term: November 1, 2001 through June 30, 2003

Reappointment of Chairperson

July 1, 2001 through June 30, 2005

Veterinary Clinical Sciences

Robert G. Sherding

Leaves of Absence Without Salary

PETER P. SWIRE, Professor, College of Law, effective August 16, 2001, through August 16, 2002, to serve as a Visiting Professor of Law at George Washington University.

WILLIAM H. VON HIPPEL, Associate Professor, Department of Psychology, effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to do collaborative research with Dr. Joe Forgas at the University of New South Wales.

Leave of Absence Without Salary--Cancellation

HASSAN Y. ALY, Associate Professor, Department of Economics (Marion Campus), effective Autumn Quarter 2001, Winter Quarter and Spring Quarter 2002, to serve as a Senior Economist in the Economic Department, Ministry of Planning, in Kuwait.

PERSONNEL ACTIONS (contd)

Professional Improvement Leave

ARNON E. REICHERS, Associate Professor, Department of Management and Human Resources, effective Spring Quarter 2002.

Emeritus Titles

NICHOLAS GERBER, Department of Pharmacology, with the title Professor Emeritus, effective January 1, 2002.

CHARLES J. SLANICKA, Office of Academic Affairs Administration, with the title Professor Emeritus, effective November 1, 2001.

ELEANOR A. AMES, Ohio State University Extension, with the title Instructor Emeritus, effective November 1, 2001.

Medical Staff Appointments (The Ohio State University Medical Center)

September 2001

Doreen M. Agnese, M.D., Surgery, Fellowship 1st Year, 07/01/2001-06/30/2003
Anthony D. Agra, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003
Naushaba Ahmad, M.D., Pathology, Fellowship 1st Year, 07/01/2001-06/30/2003
Emin B. Akin, M.D., Surgery, Fellowship 1st Year, 07/01/2001-06/30/2003
Aamer Ar-Rajab, M.D., Ph.D., Surgery, Attending, 07/17/2001-06/30/2003
Cindy M. Baker, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003
Nirmala P. Bangalore, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Thomas J. Biron, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2003
Kevin W. Bowers, D.O., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003
Darrin L. Bright, M.D., Family Medicine, Attending, 09/18/2001-06/30/2003
Christopher P. Caputo, D.O., Internal Medicine, Attending, 09/18/2001-06/30/2003
Ellen H. Chen, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003
Natalie M. Coyne, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2003
April C. Deng, M.D., Pathology, PGY6 Limited, 07/01/2001-06/30/2003
Jennifer A. Dickerson, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Rajesh R. Donthi, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Osama M. El-Sayed, M.D., Internal Medicine, Fellowship 1st Year, 08/28/2001-06/30/2003
Michael F. Evers, D.O., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003
Bruce M. Fletter, M.D., Surgery, PGY6 Limited, 07/01/2001-06/30/2003
Eric G. Fox, D.D.S., Dentistry, PGY2 Limited, 07/01/2001-06/30/2003
Bela M. Gandhi, M.D., Psychiatry, PGY1 Limited, 07/01/2001-06/30/2003
David R. Giammar, M.D., Surgery, Fellowship 1st Year, 07/01/2001-06/30/2003
Gregory E. Guy, M.D., Radiology, Attending, 09/14/2001-06/30/2003
Thomas H. Hammond, M.D., Otolaryngology, PGY2 Limited, 07/01/2001-06/30/2003
Shannon L. Huggins, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Nandan V. Kamath, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003
Jennifer D. Killion, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2003
Kelly E. Lindsay, M.D., Physical Medicine and Rehabilitation, PGY2 Limited, 09/06/2001-06/30/2003
Scott A. Luthman, M.D., Pediatrics, PGY2 Limited, 07/01/2001-06/30/2003
James R. Maggart, M.D., Surgery, PGY3 Limited (Rotating), 10/01/2001-10/31/2001
James A. McHale, M.D., Ophthalmology, PGY2 Limited, 07/01/2001-06/30/2002
Sarah E. McMillan, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Jason V. Melillo, M.D., Obstetrics and Gynecology, Courtesy, 07/17/2001-06/30/2002
Yousef M. Mohammad, M.D., Neurology, Attending, 09/14/2001-06/30/2002
Daniel P. Montero, M.D., Family Medicine-Sports Medicine, PGY4 Limited, 09/28/2001-06/30/2002
Juan E. Morales, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

September 2001 (contd)

Olusegun O. Osinbowale, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
James C. Pavelka, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/01/2001-06/30/2002
Nino D. Pollaccia, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2002
Steven V. Priano, M.D., Orthopaedic Surgery, Community Affiliate Physician, 08/21/2001-06/30/2002
Olga V. Pylaeva, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2002
William G. Raisig, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Veda Ramakrishnan, M.D., Psychiatry, PGY1 Limited, 07/01/2001-06/30/2002
Lynn W. Rawcliffe, D.P.M., Podiatry, PGY1 Limited, 07/01/2001-06/30/2002
Jennifer M. Richardson, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002
Aida Safar, M.D., Pathology, Fellowship 1st Year, 07/01/2001-06/30/2002
Dawn M. Salvatore, M.D., Surgery, Attending, 10/01/2001-06/30/2002
Matthew J. Sanders, D.O., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Amy Marie Schultz, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002
Nilesh Shah, M.D., Family Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002
Igor Shendrik, M.D., Pathology, PGY6 Limited, 07/01/2001-06/30/2002
Barry A. Siegfried, M.D., Pathology, Fellowship 1st Year, 09/28/2001-06/30/2002
Kathleen C. Stiles, M.D., Pediatrics, PGY1 Limited, 07/01/2001-06/30/2002
David B. Thurgood, D.D.S., Dentistry, PGY1 Limited, 07/01/2001-06/30/2002
Bryan W. Tillman, M.D., Ph.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Larry T. Todd, Jr., D.O., Orthopaedic Surgery, Community Affiliate Physician, 08/24/2001-06/30/2002
Christopher W. Valentine, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002
Gregory W. Walker, D.O., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Michael T. Walsh, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002
Yan Wang, M.D., Pathology, PGY2 Limited, 07/01/2001-06/30/2002
Thomas S. Weber, M.D., Family Medicine, Fellowship 1st Year, 09/28/2001-06/30/2002
Martha M. Yearsley, M.D., Pathology, PGY1 Limited, 07/01/2001-06/30/2002
Gregory C. Yerington, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2002
Chang- Jiang Zheng, M.D., Surgery, PGY1 Limited, 07/01/2001-06/30/2002

Medical Staff Requests for Special/Additional Privileges (The Ohio State University Medical Center)

September 2001

Benita Jackson, M.D., Public Health & Emergency Medicine, Attending, Internist-Health Connections, 09/28/2001-06/30/2001
Jason V. Melillo, M.D., Obstetrics and Gynecology, Courtesy, CO2 Laser, Intrauterine Balloon Hyperthermia Ablation, Laparoscopy, 07/17/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Hospitals East)

September 2001

Nirmala P. Bangalore, M.D., Family Medicine, PGY1 Limited, 09/28/2001-06/30/2003
Kevin W. Bowers, D.O., Anesthesiology, PGY2 Limited, 09/28/2001-06/30/2003
Jeffrey A. Clark, M.D., Anesthesiology, PGY3 Limited, 09/28/2001-06/30/2003
Natalie M. Coyne, M.D., Family Medicine, PGY1 Limited, 09/28/2001-06/30/2003
Kiran K.Devulapally, M.D., Internal Medicine, MMT, Active, 09/28/2001-06/30/2003
Mohammed F. Edwards, M.D., Anesthesiology, Active, 07/01/2001-06/30/2003
Michael F. Evers, D.O., Anesthesiology, PGY2 Limited, 09/28/2001-06/30/2003
Richard B. Fries, M.D., Obstetrics and Gynecology, PGY1 Limited, 09/28/2001-06/30/2003
Lisa S. Gaines, D.O., Anesthesiology, PGY4 Limited, 09/28/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Hospitals East) (contd)

September 2001 (contd)

Shefali A. Gandhi, M.D., Obstetrics and Gynecology, PGY1 Limited, 09/28/2001-06/30/2003
Benjamin J. Hackett, M.D., Orthopaedic Surgery, PGY1 Limited, 09/28/2001-06/30/2003
Carlton W. Herald, D.O., Internal Medicine, Active, 09/28/2001-06/30/2003
Maureen F. Jordan, M.D., Orthopaedic Surgery, PGY1 Limited, 09/28/2001-06/30/2003
Daniel L. Kapp, M.D., Surgery, PGY4 Limited, 09/28/2001-06/30/2003
Babak Khabiri, D.O., Anesthesiology, PGY2 Limited, 09/28/2001-06/30/2003
Mollie K. Kreider, M.D., Obstetrics and Gynecology, PGY1 Limited, 09/28/2001-06/30/2003
Joseph R. Leith, M.D., Orthopaedic Surgery, PGY1 Limited, 09/28/2001-06/30/2003
Lisa K. Lowery, M.D., Surgery, Active, 09/28/2001-06/30/2003
Sarah E. McMillan, M.D., Surgery PGY1 Limited, 09/28/2001-06/30/2002
Andrei V. Manilchuk, M.D., Surgery, PGY4 Limited, 09/28/2001-06/30/2002
Dean J. Mikami, M.D., Surgery, PGY4 Limited, 09/28/2001-06/30/2002
Yousef M. Mohammad, M.D., Internal Medicine, Active, 09/28/2001-06/30/2002
Olusegun O. Osinbowale, M.D., Surgery, PGY1 Limited, 09/28/2001-06/30/2002
James C. Pavelka, M.D., Obstetrics and Gynecology, PGY1 Limited, 09/28/2001-06/30/2002
Steven V. Priano, M.D., Orthopaedic Surgery, Active, 09/28/2001-06/30/2002
William G. Raisig, M.D., Family Medicine, PGY1 Limited, 09/28/2001-06/30/2002
Nilsa Del Carmen Ramirez, M.D., Pathology, Active, 09/28/2001-06/30/2002
Lynn W. Rawcliffe, D.P.M., Orthopaedic Surgery, PGY1 Limited, 09/28/2001-06/30/2002
Dawn M. Salvatore, M.D., Surgery, Active, 09/28/2001-06/30/2002
Mohammed R. Shareef, M.D., Internal Medicine, Active, 08/28/2001-06/30/2002
Robert B. VanCourt, D.P.M., Orthopaedic Surgery, Active, 08/21/2001-06/30/2002
Michael T. Walsh, M.D., M.D., Surgery, PGY1 Limited, 09/28/2001-06/30/2002
Gregory C. Yerington, M.D., Anesthesiology, PGY2 Limited, 09/28/2001-06/30/2002
Chang-Jiang Zheng, M.D., Surgery, PGY1 Limited, 09/28/2001-06/30/2002

Medical Staff Requests for Additional Privileges (The Ohio State University Hospitals East)

September 2001

Mohammed R. Shareef, M.D., Internal Medicine, Active, Moderate Sedation, 08/28/2001-06/30/2002
Robert B. VanCourt, D.P.M., Orthopaedic Surgery, Active, C02 Lasers, 08/21/2001-06/30/2002

RESOLUTION IN MEMORIAM

Resolution No. 2002-45

Synopsis: Approval of a Resolution in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolution in Memoriam and that the President be requested to convey a copy to the family of the deceased.

Charles Saltzer

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 9, 2001, of Charles Saltzer, Professor Emeritus in the Department of Mathematics and former professor in the Department of Computer and Information Science.

RESOLUTION IN MEMORIAM (contd)

Charles Saltzer (contd)

Professor Saltzer was born in Cleveland in 1918 and received his Bachelor of Arts degree from Western Reserve University in 1942. He held master's degrees from the University of Nebraska and Brown University, and a Ph.D. degree in mathematics from Brown University. Before joining The Ohio State University Mathematics Department in 1962, he taught at Case Institute of Technology and at the University of Cincinnati.

Charles Saltzer was a specialist in analysis and applied mathematics. He actively promoted cooperation between the Departments of Mathematics and Computer and Information Science. In 1968, he was appointed a professor of Computer and Information Science in addition to his professorship in the Department of Mathematics.

In addition to his contributions to research in applied mathematics, Professor Saltzer was an extremely kind and versatile teacher on both the undergraduate and the graduate level. He regularly taught courses both in mathematics and in computer and information science. Although he demanded strong performance, he was devoted to his students and worked to ensure that they learned both theory and applications.

After his retirement in 1988, Dr. Saltzer continued to teach one course each year in the Mathematics Department. During the summer of 2001 he was teaching a basic course in ordinary differential equations, and he wrote the final examination for that course just a few days before his death. Professor Saltzer remained an active mathematician and an inspired teacher to the very end.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Charles Saltzer its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to the family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2002-46

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for September 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of September 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-47

Synopsis: The report on the receipt of gifts and the summary for September 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of seven (7) new named endowed funds and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of September 2001 be approved.

TOTAL UNIVERSITY PRIVATE SUPPORT

July through September
2000 Compared to 2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars		<u>%Change</u>
	<u>2000</u>	July through September <u>2001</u>	
Individuals:			
Alumni (Current Giving)	\$3,997,134	\$4,086,507	2
Alumni (From Bequests)	<u>533,734</u>	<u>1,565,329</u>	193
Alumni Total	\$4,530,868	\$5,651,836	25
Non-Alumni (Current Giving)	\$1,643,150	\$2,916,130	77
Non-Alumni (From Bequests)	<u>915,861</u>	<u>918,795</u>	0
Non-Alumni Total	\$2,559,011	\$3,834,925	50
Individual Total	\$7,089,879	\$9,486,761	34 ^A
Corporations/Corp/Foundation	\$7,850,969	\$8,124,136	3
Private Foundations	\$2,371,163	\$3,102,024	31 ^B
Associations and Other Organizations	<u>\$1,032,193</u>	<u>\$842,950</u>	(18) ^C
Total	\$18,344,204	\$21,555,871	18

NOTES

- A Individual giving is up 34% largely due to the fact that gifts of \$10,000 or more were up 34% (80 gifts for \$4.4 million last year; 88 gifts for \$5.9 million this year).
- B Foundation giving at the \$10,000 or more level is up for the first three months of the fiscal year (\$2.2 million from 35 gifts last year; \$2.9 million from 38 gifts this year).
- C Gifts from associations and other organizations are down 18% since giving at the \$10,000 or more level is \$365,671 less than last year for the same period.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-September
2000 Compared to 2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through September		
	<u>2000</u>	<u>2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$2,406,028	\$3,672,627	53
Faculty Support	\$2,658,438	\$519,130	(80)
Program Support	\$8,483,925	\$12,918,087	52
Student Financial Aid	\$2,894,272	\$3,306,078	14
Annual Funds-Colleges/Departments	\$1,771,882	\$1,018,652	(43)
Annual Funds-University	<u>\$129,659</u>	<u>\$121,297</u>	(6)
Total	\$18,344,204	\$21,555,871	18

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through September

	<u>2000</u>	<u>2001</u>	<u>% Change</u>
	\$5,342,397	\$5,665,807	6%

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

Change in Description of Named Endowed Funds

The Modern Greek Studies Fund

The Honda/TRC Funds Endowment for Interdisciplinary Research Infrastructure Support

Change in Name and Description of Named Endowed Fund

From: The M.S. Sofia Professorship in Arabic Studies Fund

To: The M. S. Sofia Chair in Arabic Studies Fund

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Bud and Marilyn Jenne Chair Fund (Further the activities of the College of Veterinary Medicine; provided by a gift from Marilyn Jenne)		\$320,136.32	\$320,136.32
The Lynne Shepard Jones Endowment Fund for Cancer Research (Support cancer research with preference given to head and neck cancer research or area of cancer research in greatest need; provided by a gift from Arthur E. and Dorothy D. Shepard)		\$300,000.00	\$300,000.00
The Adivar Fellowship Fund for Study of Ottoman and Turkish History (Fund a graduate fellowship in Ottoman and Turkish studies; provided by gifts from an anonymous donor)		\$250,000.00	\$250,000.00
The Theodore A. and Ruth S. Koch Memorial Fund (Commemorate Theodore A. and Ruth S. Koch and their desire to advance the quality of teaching and research within the College of Engineering; provided by gifts from the estate of Ruth S. Koch)		\$200,000.00	\$200,000.00
The Donn, Marilyn and David Alspach Family Scholarship Fund (Provide scholarship costs of student athletes who are pursuing undergraduate degrees at OSU and participating on the varsity football team; provided by gifts from Donn, Marilyn and David Alspach)		\$25,000.00	\$25,000.00

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Funds</u>	<u>Current Funds</u>	<u>Total Funds</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Dr. Daniel N. and Ruth A. Ebert Scholarship Fund (Support one third-year student per year based on merit who is interested in practicing small animal medicine; provided by gifts from Daniel N. and Ruth A. Ebert)		\$25,000.00	\$25,000.00
The Johnson Family Athletic Scholarship Fund (Supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team pursuing an undergraduate degree at OSU; provided by gifts from John V. Johnson)		\$25,000.00	\$25,000.00
Total		\$1,145,136.32	\$1,145,136.32

THE OHIO STATE UNIVERSITY ENDOWMENT FUND

Change in Description of Named Endowed Funds

The Modern Greek Studies Fund

The Modern Greek Studies Fund was established August 29, 2001, by the Board of Trustees of The Ohio State University from the earnings of the Modern Greek Studies development account in the Department of Greek and Latin. The description was revised on November 2, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support the teaching and research mission of the Modern Greek Program as determined by the chair of the Department of Greek and Latin.

It is desired that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Humanities in consultation with the chair of the Department of Greek and Latin.

The Honda/TRC Funds Endowment for Interdisciplinary
Research Infrastructure Support

The Honda/TRC Funds Endowment for Interdisciplinary Research Infrastructure Support was established August 29, 2001, by the Board of Trustees of The Ohio State University with a gift from the Transportation Research Center, Inc. ("TRC") of East Liberty, Ohio, in recognition of Honda Motor Co., Ltd.'s and Honda of America Mfg., Inc.'s (collectively "Honda") established interest in enriching laboratories for world-class, interdisciplinary transportation-related research. The description was revised on November 2, 2001.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Description of Named Endowed Funds (contd)

The Honda/TRC Funds Endowment for Interdisciplinary
Research Infrastructure Support (contd)

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of Engineering to support centralized interdisciplinary transportation-related research laboratory facilities within the College of Engineering. The Honda-OSU Planning Team ("Team") or its successor will offer the dean recommendations for the use of the income. The dean will report annually to the Team, TRC, and Honda on results of the previous year and the goals, objectives, and plans for the upcoming year; these reports will include the use of all fund earnings over the same two-year period.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

Change in Name and Description of Named Endowed Fund

The M. S. Sofia Chair in Arabic Studies Fund

The M. S. Sofia Scholarship and Lecture Memorial Fund in Arabic was established June 1, 1990, by the Board of Trustees of The Ohio State University with gifts from Zuheir Sofia. The name was changed to The M.S. Sofia Professorship in Arabic Studies Fund and the description was revised on May 5, 1995. With a major gift commitment of Mr. Zuheir Sofia to bring the fund to the level necessary for funding a chair, the name and description were further revised on November 2, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

In addition to gifts, the annual income will be applied to the principal until the fund reaches \$1,500,000. Thereafter, the annual income will be used to support a distinguished scholar whose professional activities and academic credentials will contribute to:

1. The development of a greater understanding and awareness of Arabic language, culture, heritage, and literature;
2. The dissemination of such knowledge in both the academy and the community; and,
3. The training of pre-collegiate teachers and university professors to integrate Arabic language, literature, and cultural components into their respective curricula and programs.

The donor and the head of the Department of Near Eastern Languages and Cultures in consultation with the dean of the College of Humanities shall periodically review the achievement of the above-described purposes and discuss modifications, if necessary.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Fund (contd)

The M. S. Sofia Chair in Arabic Studies Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Bud and Marilyn Jenne Chair Fund

The Bud and Marilyn Jenne Chair Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Marilyn Jenne, Amherst, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income will be used at the discretion of the dean of the College of Veterinary Medicine to further the activities of the college. Any income not used in any given year shall be returned to principal.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$320,136.32

The Lynne Shepard Jones Endowment Fund for Cancer Research

The Lynne Shepard Jones Endowment Fund for Cancer Research at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Arthur E. and Dorothy D. Shepard of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support cancer research with preference given to head and neck cancer research or the area of cancer research in greatest need of support at the Comprehensive Cancer Center – The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute at The Ohio State University as approved by the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president for Health Sciences and dean of the College of Medicine and Public Health.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Lynne Shepard Jones Endowment Fund for Cancer Research (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute, the director of the Comprehensive Cancer Center, and the senior vice president of Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$300,000.00

The Adivar Fellowship Fund for Study of Ottoman and Turkish History

The Adivar Fellowship Fund for Study of Ottoman and Turkish History was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from an anonymous donor.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The purpose of this endowment is to strengthen and perpetuate the study of Ottoman and Turkish history, a field taught and studied at this University since the 1930s, and to enhance the national and international competitiveness and distinction of the University in this field. The donor intends more specifically to endow a graduate fellowship in Ottoman and Turkish studies. The annual income shall be used first and foremost to provide a fellowship to recruit or retain a graduate student in Ottoman and Turkish history. Secondly, in cases of exceptional merit, the fellowship may be used to fund overseas dissertation research for a student excluded from, or disadvantaged in, competition for non-departmental research fellowships by reason of nationality or other factors extraneous to the student's merit and that of his or her dissertation research. Graduate students of any nationality are eligible to receive this fellowship. The awarding of this fellowship will be determined by the chair of the Department of History in consultation with faculty in the field and the University Committee on Student Financial Aid.

This fellowship will be awarded for one year at a time to a graduate student who has been accepted into the graduate program of the Department of History and approved for funding (stipend and fee authorization) in that program. The fellowship will provide the recipient's stipend during the year or years of their tenure of the fellowship, enabling them to devote full time to their studies.

The purpose of this endowment being to provide support in perpetuity for the study of Ottoman and Turkish history, the donor intends that if the fund grows or if he can increase its principal sufficiently over time, the form in which the fund supports the field may be revised, up to and including the creation of an endowed professorship in this field, and that during his lifetime any such revision will be made at his initiative or with his consent. Alternatively, if the fund declines to the point that it cannot support a full graduate fellowship, it is the intention of the donor that the income from this fund should be used to provide research support funding for graduate students in this field, to purchase books in the field for the library, or lastly to support the field in other ways, and that the need for the fund shall not be deemed to have ceased to exist until it becomes impossible to use the income of the fund for any of these purposes.

If in any year there is no qualified candidate for the fellowship or the income is not fully used for any reason, any such unused income will be reinvested to principal.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Adivar Fellowship Fund for Study of Ottoman and Turkish History (contd)

The fellowship will be known for the time being as "The Adivar Fellowship." The donor reserves the right to rename the fellowship permanently at a later date.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Humanities in order to carry out the desire of the donor.

\$250,000.00

The Theodore A. and Ruth S. Koch Memorial Fund

The Theodore A. and Ruth S. Koch Memorial Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from the estate of Ruth S. Koch in memory of her late spouse Theodore A. Koch (B.E.E., 1930).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to commemorate Theodore A. and Ruth S. Koch and their desire to advance the quality of teaching and research within the University's College of Engineering. The dean of the College of Engineering will be responsible for allocating these discretionary earnings in ways to enhance the College.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of Engineering in order to carry out the desire of the donor.

\$200,000.00

The Donn, Marilyn and David Alspach Family Scholarship Fund

The Donn, Marilyn and David Alspach Family Scholarship Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Donn (B.S.Ed. 1953), Marilyn (B.S.Ed. 1953), and David (B.S.Bus.Adm., 1981) Alspach of Granville and Dublin, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarship costs of student athletes who are pursuing undergraduate degrees at The Ohio State University and participating on the varsity football team. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Donn, Marilyn and David Alspach Family Scholarship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donors.

\$25,000.00

The Dr. Daniel N. and Ruth A. Ebert Scholarship Fund

The Dr. Daniel N. and Ruth A. Ebert Scholarship Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Daniel N. (D.V.M., 1961) and Ruth A. Ebert of Poland, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one (1) third-year student per year based on merit who is interested in practicing small animal medicine. First preference will be given to students in these counties in the following order: Mahoning, Trumbull, Huron, or Erie. If a student from one of these counties is not eligible, then the most qualified student from any county in Ohio may be selected. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs and the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donors.

\$25,000.00

The Johnson Family Athletic Scholarship Fund

The Johnson Family Athletic Scholarship Fund was established November 2, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Mr. John V. Johnson II, Westerville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to supplement the grant-in-aid scholarship costs of a scholar-athlete who is a member of the varsity football team pursuing an undergraduate degree at The Ohio State University. Recipients shall be selected by the director of Athletics in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board as recommended by the director of Athletics in order to carry out the desire of the donor.

\$25,000.00

WAIVERS OF COMPETITIVE BIDDING REQUIREMENTS

Resolution No. 2002-48

JULY – SEPTEMBER 2001

Synopsis: Acceptance of the quarterly report on waivers of competitive bidding requirements is proposed.

WHEREAS the Purchasing Policy of The Ohio State University adopted by the Board of Trustees on September 7, 1984, and revised on February 7, 1992, July 8, 1994, and November 7, 1997, provides that the President and/or the Senior Vice President for Business and Finance, or for the Hospitals of the University and their related facilities, Vice President for Health Services, may grant a waiver from competitive bidding in the event of an emergency, when a sufficient economic reason exists or when the goods or services can be purchased from only a single source, with a report on such waivers to be made quarterly to this Board; and

WHEREAS the Senior Vice President for Business and Finance has submitted a report on waivers of competitive bidding requirements granted for the period of July - September 2001; and

WHEREAS during the period covered, the Senior Vice President for Business and Finance, at the requests of the departments making the purchases and upon the recommendation of the Purchasing Department, granted 48 waivers of competitive bidding requirements for annual purchases totaling approximately \$8,429,300 as shown on the enclosed exhibit; and

WHEREAS during the period covered, the Vice President for Health Services, at the requests of the Hospitals of the University and their related facilities making purchases, granted 36 waivers of competitive bidding requirements for annual purchases totaling approximately \$9,884,100 as shown on the enclosed exhibit:

NOW THEREFORE

BE IT RESOLVED, That the report on waivers of competitive bidding requirements for the period of July - September 2001, is hereby accepted.

(See Appendix XV for background material, page 533.)

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 2002-49

PHYSICAL SCIENCES RESEARCH BUILDING,
MARION CAMPUS – STUDENT HOUSING,
NEWARK CAMPUS – STUDENT HOUSING,
NEWARK CAMPUS – REESE CENTER,
PSYCHOLOGY BUILDING,
OHIO UNION RENOVATION AND ADDITION,
AUTOMATED TRANSPORT SYSTEM – UNIVERSITY MEDICAL CENTER – PHASE I,
UNION COUNTY LARGE ANIMAL CLINIC FACILITY,
LIFE SCIENCES RESEARCH BUILDING, AND
POSTLE HALL RESEARCH LAB RENOVATIONS

Synopsis: Authorization to employ architect/engineering firms for the Marion Campus – Student Housing, Newark Campus – Student Housing, Psychology Building, Ohio Union Renovation and Addition, and Union County Large Animal Clinic Facility projects, employ construction managers

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

for the Physical Sciences Research Building, Marion Campus – Student Housing, Newark Campus – Student Housing, Psychology Building, and Ohio Union Renovation and Addition projects, request construction bids for the Newark Campus – Reese Center, Automated Transport System for University Medical Center – Phase I, and Union County Large Animal Clinic Facility projects, and acceptance of the report of award of contracts for the Life Sciences Research Building and Postle Hall Research Lab Renovations projects are requested.

WHEREAS the University desires to employ limited construction manager services for the Physical Sciences Research Building to assist with scheduling of the project phases; and

WHEREAS the estimated costs of the limited construction manager services are \$1,000,000, with funding provided by Central University funds; and

WHEREAS the Marion Campus desires to design a facility to provide on-campus student housing which includes four-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking, and laundry; and

WHEREAS the total estimated project cost is \$7,045,753 in 2004 dollars and the total estimated construction cost is \$5,250,190 in 2004 dollars, with funding provided by University bond proceeds with debt service paid by Student Affairs; and

WHEREAS the Newark Campus desires to design a facility to provide on-campus student housing which includes four-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking, and laundry; and

WHEREAS the total estimated project cost is \$9,915,473 in 2004 dollars and the total estimated construction cost is \$7,388,578 in 2004 dollars, with funding provided by University bond proceeds with debt service paid by Student Affairs; and

WHEREAS the Newark Campus desires to construct an information technology center to support the academic mission, provide student gathering and activity spaces, and serve as a conference center for the community; and

WHEREAS the total estimated project cost is \$13,334,580 and the total estimated construction cost is \$11,234,441, with funding provided by gifts to the University (\$7,623,000), future capital appropriations (\$3,445,050) and Central Ohio Technical College (\$2,266,530); and

WHEREAS the University desires to construct a new Psychology Building on the site east of Cunz Hall and west of Lazenby Hall; and

WHEREAS the total estimated project cost is \$35,000,000 and the total estimated construction cost is \$25,004,033, with funding provided by House Bill 640 (\$3,000,000) and anticipated future capital appropriations (\$32,000,000); and

WHEREAS the University desires to renovate and add an addition to the Ohio Union; and

WHEREAS the total estimated project cost is \$50,250,000 and the total estimated construction cost is \$35,309,782, with funding provided by University Central Funds (\$10,000,000), University bond proceeds with debt service paid by Student Affairs (\$17,200,000), Student Affairs (\$21,300,000), Ohio Union (\$1,000,000) and House Bill 850 (\$750,000); and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS University Hospitals desires to proceed with the installation of an Automated Transport System for the University Medical Center with the first phase of the project accomplishing renovation to the various Medical Center facilities to accommodate the new system; and

WHEREAS the Board of Trustees authorized the requesting of construction bids for the anticipated \$950,000 Phase I of the project on December 3, 1999 and subsequently approved the revised project cost of \$1,850,000 on June 29, 2001; and

WHEREAS the scope of the project has been increased to include two related but previously separate projects, provide for asbestos removal, increase the system's service capacity, and upgrade the quality of materials to reduce long-term maintenance needs; and

WHEREAS, as a result of these programmatic changes, the total estimated project cost for Phase I has increased to \$4,187,175 and the total estimated construction cost for Phase I has increased to \$3,227,786, with funding provided by University Hospitals; and

WHEREAS the University desires to construct a Large Animal Clinic on land being purchased from Union County for use by the College of Veterinary Medicine; and

WHEREAS the total estimated project cost is \$1,400,000 and the total estimated construction cost is \$1,000,000, with funding provided by the College of Veterinary Medicine; and

WHEREAS resolutions adopted by the Board of Trustees on November 1, 1996 and September 1, 1999 authorized the President and/or Vice President for Business and Finance to request construction bids in accordance with established University procedures, and if satisfactory bids were received to award contracts for the following projects:

Life Sciences Research Building

This project constructs a new facility to house laboratory and office space for the departments of Zoology, Entomology and Plant Biology. The total project cost is \$26,776,650; funding is provided by University bond proceeds (\$2,300,000), House Bill 748 (\$21,840,556), House Bill 904 (\$800,000), Department of Physical Facilities (\$361,967), and central University funds (\$1,474,127). The estimated completion date is May 2003. The contracts awarded are as follows:

Design:	Burgess and Niple Ltd., Columbus, Ohio
Steam Line Contract:	J. A. Guy, Dublin, Ohio
Amount:	\$266,000 * +
Estimate:	\$238,685 *
General Contract:	P. J. Dick, Pittsburgh, Pennsylvania
Amount:	\$11,670,000 *
Estimate:	\$13,070,000 *
Plumbing Contract:	Kirk Williams, Grove City, Ohio
Amount:	\$2,095,000 *
Estimate:	\$2,155,147 *
HVAC Contract:	Limbach Company, Columbus, Ohio
Amount:	\$3,959,493 * +
Estimate:	\$3,837,201 *

+ The Site Steam and HVAC contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

* Recommended alternates included in these amounts.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Life Sciences Research Building (contd)

Electric Contract:	Buckeye Electric, Dayton, Ohio
Amount:	\$2,347,200 *
Estimate:	\$2,717,031 *
Total All Contracts:	\$20,337,693 *
Contingency Allowance:	\$2,539,261
Total Project Cost:	\$26,776,650

* Recommended alternates included in these amounts.

Postle Hall Research Lab Renovations

This project converts the 4th floor clinic facilities into four generic research labs, providing mechanical, electrical, communications, and plumbing upgrades; replace ceiling, lighting, and flooring; and repainting. The total project cost is \$1,053,566; funding is provided by House Bill 850 (\$1,000,000) and College of Dentistry (\$53,566). The estimated completion date is February 2002. The contracts awarded are as follows:

Design:	Karlsberger Companies, Columbus, Ohio
General Contract:	Corna/Kokosing, Columbus, Ohio
Amount:	\$430,700 *
Estimate:	\$585,075 *
Plumbing Contract:	Radico, Inc., Columbus, Ohio
Amount:	\$153,000 * +
Estimate:	\$70,730 *
HVAC Contract:	Gateway Mechanical, Grove City, Ohio
Amount:	\$189,350 * +
Estimate:	\$164,605 *
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$91,007 * +
Estimate:	\$66,156 *
Asbestos Abatement Contract:	AHC, Columbus, Ohio
Amount:	\$7,796 *
Estimate:	\$7,885 *
Total All Contracts:	\$871,853 *
Contingency Allowance:	\$87,185
Total Project Cost:	\$1,053,566

+ The Plumbing, HVAC and electric contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

* Recommended alternates included in these amounts.

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Marion Campus – Student Housing, Newark Campus – Student Housing, Psychology Building, Ohio Union Renovation and Addition, and Union County Large Animal Clinic Facility projects and that the fees for these services be negotiated between the firms selected and the University; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS, EMPLOYMENT OF CONSTRUCTION
MANAGER, REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified construction manager firms as necessary for the Physical Sciences Research Building, Marion Campus – Student Housing, Newark Campus – Student Housing, Psychology Building, and Ohio Union Renovation and Addition projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That, subject to the execution of a memorandum of understanding among all the offices involved, the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for the Union County Large Animal Clinic Facility project in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for the Newark Campus – Reese Center and Automated Transport System for University Medical Center – Phase I projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Life Sciences Research Building and Postle Hall Research Lab Renovation projects is hereby accepted.

(See Appendix XVII for background information and maps, page 535.)

SALE OF REAL PROPERTY

Resolution No. 2002-50

3080 EAST MAIN STREET
COLUMBUS, OHIO 43209

Synopsis: Authorization to sell the improved real property located at 3080 East Main Street, Columbus, Ohio, is proposed.

WHEREAS the Board of Trustees of The Ohio State University owns improved real property located at 3080 East Main Street in Columbus, Ohio; and

WHEREAS University Medical Center has determined that this property no longer is needed for, and cannot be operated economically in support of, the Medical Center; and

WHEREAS Bexley Medical Building, LLC, has offered to purchase the property for \$370,000.00; and

WHEREAS the net proceeds from the sale of the property shall be used to support the University Medical Center; and

WHEREAS the appropriate University offices have determined that the sale of this property is in the best interest of the University:

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized, in the name of the Board of Trustees of The Ohio State University, to sell all of the

SALE OF REAL PROPERTY (contd)

University's right, title, and interest in the improved real property located at 3080 East Main Street, for \$370,000.00, upon such terms as are deemed to be in the best interest of the University.

(See Appendix XVII for background information and map, page 555.)

Upon motion of Ms. Longaberger, seconded by Ms. Hendricks, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Sofia, Slane, and Judge Duncan, and Meses. Longaberger and Hendricks.

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Dr. William J. Napier:

I would like to recommend the following resolutions to the Board:

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY**

Resolution No. 2002-51

Synopsis: Providing for the authorization, issuance and sale of General Receipts (the "Series 2002 A Bonds"), in a principal amount determined as provided herein, for the purposes of (A) the acquisition, construction and installation of the Series 2001/2002 Project, as defined herein; (B) advance refunding the outstanding principal amount of certain Series 1992A1 Bonds of the University (the "Advance Refunded Bonds"); (C) currently refunding a portion of the outstanding Series C Commercial Paper Notes of the University; and (D) paying costs and expenses associated with the issuance of the 2002 A Bonds.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on October 1, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$80,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$100,500,000 is issued and outstanding as of the date hereof; and

WHEREAS on December 1, 2000, the Board adopted a resolution (the "Series C Commercial Paper Resolution") which authorized the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series C (the "Series C Notes ") in a principal amount not to exceed \$125,000,000 of which authorized amount \$81,000,000 is issued and outstanding as of the date of execution and delivery hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 2, 2001 (the "Series 2001 Resolution"), the Indenture and the 2001 Supplement to Amended and Restated Trust Indenture (the "2001 Supplement"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds") in the principal amount not to exceed \$185,000,000 for the purpose of paying or reimbursing a portion of the costs of the Series 2001/2002 Project, advance refunding certain of the Series 1992A1 Bonds (the "Advance Refunded Bonds"), currently refunding a portion of the outstanding Series C Commercial Paper Notes of the University and paying costs and expenses associated with the issuance of the Series 2002 A Bonds; and

WHEREAS the University desires to make provisions for the issuance of the Series 2002 A Bonds and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a 2002 A Supplement to the Amended and Restated Trust Indenture (the "2002 A Supplement" and together with the Amended and Restated Trust Indenture, the "Indenture") herein authorized:

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

Section 1. Definitions and Interpretations. All words and terms defined in the Amended and Restated Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Amended and Restated Indenture but used herein and not previously defined herein are defined as follows:

"Certificate of Award" means the Certificate of Award authorized pursuant to Section 3 of this Resolution.

"Code" means the Internal Revenue Code of 1986, as amended, the Treasury Regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a Section of the Code means that Section, including any applicable successor section or provision and such applicable Treasury Regulations, rulings, announcements, notices, procedures and determinations pertinent to that Section.

"Contract of Purchase" means the Contract of Purchase between the Original Purchasers and the University, authorized pursuant to Section 3 hereof.

"Mandatory Sinking Fund Requirements" means amounts required by this Resolution to be deposited into the Debt Service Fund in any year for the purpose of retiring principal maturities of the Series 2002 A Bonds which by the terms of such Bonds are due and payable, if not called for prior redemption, in any subsequent fiscal year of the University.

"Official Statement" means, as to the Series 2002 A Bonds, the Official Statement including, without limitation, the Preliminary Official Statement, relating to the original issuance of the Series 2002 A Bonds, authorized pursuant to Section 3 hereof.

"Original Purchasers" means, as to the Series 2002 A Bonds, Lehman Brothers and Seasingood & Mayer LLC.

"Paying Agent" means the Trustee.

"Series 2002 A Bond Resolution" or "this Resolution" as used herein, means this Resolution and the Certificate of Award, as the same may be amended from time to time.

"Series 2001/2002 Project" means, collectively: (i) renovating and improving the (a) south high rise residence hall, including closet replacements, roof replacements, fire alarms system upgrades, elevator upgrades, and additional improvements, and (b) Houck House, including dining refurbishment; (ii) constructing and installing the Success Center; (iii) renovating Ohio Stadium; (iv) constructing Ohio Stadium scoreboard; (v) constructing a parking lot and road improvements on Fred Taylor Drive; (vi) renovating the north/south medical garages; (vii) installing the Ohio Micro Medical Device Laboratory; (viii) purchasing and renovating of an electric sub-station on Cannon Drive; (ix) constructing the Jesse Owens Memorial Stadium; (x) replacing the Sisson Hall Veterinary Building; (xi) installing of a central chilled water loop; and (xii) acquiring land and two buildings on Kenny Road; each of the foregoing items (i) through (xii) being a "Component" within the meaning of Section 5 hereof.

"Series 2001/2002 Project Account" means the Series 2001/2002 Project Account held by the University and created in Section 5 hereof to be funded in part with the proceeds of the Series 2002 A Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in this Resolution, the Amended and Restated Trust Indenture and the 2002 A Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Series 2002 A Bonds shall be designated and known as "The Ohio State University General Receipts Bonds, Series 2002 A" and shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, this Resolution, the Amended and Restated Trust Indenture and the 2002 A Supplement. The Series 2002 A Bonds shall be issued for the purposes of financing a portion of the costs of the University Facilities comprising the Series 2001/2002 Project, advance refunding of the Advance Refunding Bonds and paying costs and expenses incidental to the issuance of the Series 2002 A Bonds.

(b) Form and Numbering. The Series 2002 A Bonds shall be issued only as fully registered bonds. The Series 2002 A Bonds shall be numbered as determined by the Trustee which will distinguish each Series 2002 A Bond from each other Series 2002 A Bond.

(c) Denominations and Dates. The Series 2002 A Bonds shall be issuable in denominations of \$5,000 and integral multiples thereof and shall be dated as of December 1, 2001.

(d) Principal Amount. The Series 2002 A Bonds shall be issued in the principal amount specified in the Certificate of Award, which amount shall not exceed \$185,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Original Purchasers to establish the date, location, procedures and conditions for the delivery of the Series 2002 A Bonds to the Original Purchasers and to take all steps as necessary to effect due execution, authentication, sale and delivery of the Series 2002 A Bonds to the Original Purchasers under the terms of this Resolution. The Series 2002 A Bonds shall be signed by the Treasurer in his official capacity (provided such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest and Maturities.

(i) The Series 2002 A Bonds shall bear interest on the unpaid principal amount thereof from the most recent date to which interest has been paid or duly provided for or, if no interest has been paid or provided for, from their date, with interests payable semi-annually on each June 1 and December 1 (the "Interest Payment Dates"), commencing June 1, 2002, at the percentage rate or rates per annum set forth in the Certificate of Award. Interest shall be calculated on the basis of a 360-day year consisting of twelve 30-day months. Such rate or rates shall in no event produce an average interest rate for the Series 2002 A Bonds in excess of seven percent (7.00%) per annum.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

(ii) The Series 2002 A Bonds shall mature not later than December 1, 2031 and in such annual amount or amounts as are provided for in the Certificate of Award.

(iii) Any mandatory redemption of Series 2002 A Bonds of certain maturities prior to maturity pursuant to any Mandatory Sinking Fund Requirements shall be as provided in the Certificate of Award. Notice of call for mandatory sinking fund redemption of the Series 2002 A Bonds shall be given in the manner provided in the indenture.

(iv) Certain maturities of the Series 2002 A Bonds, if so provided for in the Certificate of Award, shall be subject to optional redemption at the option of the University prior to stated maturity, in whole or in part, but if in part, only in installments of \$5,000 principal amount of such Series 2002 A Bond or integral multiples thereof, in accordance with the terms, conditions, redemption prices and on the dates set forth in the Certificate of Award. Notice of call for and other terms and provisions governing redemption of the Series 2002 A Bonds shall be given in the manner provided in the Indenture. At no time shall the redemption price on any Series 2002 A Bonds, exclusive of accrued interest, exceed one hundred and two percent (102%) of the principal amount of such Bond to be redeemed.

(g) Security. As provided in the Amended and Restated Trust Indenture as supplemented by the 2002 A Supplement, there is hereby pledged to the security of the Series 2002 A Bonds and for the payment of the Debt Service Charges on the Series 2002 A Bonds, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the monies contained in the Special Funds, but excluding the Bond Reserve Fund. Anything else to the contrary in the Indenture or this Resolution notwithstanding, the Series 2002 A Bonds shall not have access to any claim upon or be secured by the Bond Reserve Fund or the Series 2001/2002 Project Account.

The pledge of General Receipts in the immediately preceding paragraph shall be on a parity with expenses, claims and payments relating to other Parity Obligations and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University. Furthermore, the Pledge contained herein and the Amended and Restated Indenture is not in derogation of the pledge contained in the Original Indenture, the Prior Indenture, any Series Resolution adopted pursuant to the Original Resolution or any Bond proceedings with respect to any Obligations currently outstanding, it being the intention of the University that all such Obligations outstanding upon the execution and delivery of the Amended and Restated Trust Indenture shall be Parity Obligations within the meaning of the Amended and Restated Trust Indenture, equally and ratably secured by the pledge of General Receipts of the University herein and therein contained.

For the further security of the Series 2002 A Bonds, and any other Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series 2002 A Bonds or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other monies lawfully available therefor, to pay all costs and expenses required to be paid under the Bond proceedings, and (iii) together with other monies lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(h) Payment, Places of Payment and Paying Agents. The principal of and any redemption premium on any Series 2002 A Bond when due shall be payable to the registered holder upon presentation and surrender thereof at the principal corporate trust office of the Trustee. Interest on Series 2002 A Bonds shall be payable by check or draft mailed by the Trustee as provided in the Indenture; provided, however, that interest on Series 2002 A Bonds

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

aggregating \$1,000,000 or more in principal amount registered in the name of a single holder shall be paid by wire transfer in immediately available funds to such account, if any, as such holder directs in writing to the Trustee at least ten (10) days prior to any Interest Payment Date, such payment by wire transfer pursuant to that direction continuing in effect as to subsequent Interest Payment Dates until such time as the holder notifies the Trustee to the contrary or until such time as such holder ceases to be a holder of the requisite principal amount of Series 2002 A Bonds.

(i) Book Entry. Subject to the provisions of the immediately following paragraph, the Series 2002 A Bonds shall be issued only to a Depository for holding in a Book Entry System. Those Series 2002 A Bonds shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series 2002 A Bonds shall be evidenced by a single certificate in the aggregate principal amount of the Series 2002 A Bonds maturing on each such maturity date.

If any Depository determines not to continue to act as a Depository for the Series 2002 A Bonds for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series 2002 A Bonds from the Depository, and authenticate and deliver the Series 2002 A Bond certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series 2002 A Bonds) of the University. Series 2002 A Bond certificates authenticated and delivered pursuant to this paragraph shall be in the denomination of \$5,000 or any integral multiple thereof.

Section 3. 2002 A Supplement; Contract of Purchase; Certificate of Award; Official Statement; Continuing Disclosure Agreement. To secure the pledge of General Receipts for the payment of Debt Service Charges on all Obligations, the execution, delivery and performance of the 2002 A Supplement in substantially the form on file with the Secretary of the Board is hereby approved and authorized. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name and on behalf of the University such Amended and Restated Trust Indenture, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. Execution by the officer or officers of the University shall be conclusive evidence that any such changes are not substantially adverse to the University. The Treasurer of the University is directed and authorized to solicit, with such assistance from the Original Purchasers, the Trustee or others as he shall, in his sole reasonable discretion, deem necessary, the consent of the holders of a majority in principal amount of Obligations outstanding to the execution and delivery of the 2002 A Supplement.

The Series 2002 A Bonds are sold and awarded to the Original Purchasers in accordance with the Contract of Purchase at the purchase price provided in the Certificate of Award. The form of Contract of Purchase now on file with the Secretary of the Board is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are permitted by the Act and approved on behalf of the University by the Chairman or the Treasurer. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall be conclusively evidenced by the execution of the Contract of Purchase on behalf of the University by the Chairman or the Treasurer. It is determined hereby that the purchase price and the manner of

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

sale and the terms of the Series 2002 A Bonds, as provided in this Resolution and the Contract of Purchase are consistent with all legal requirements and will carry out the public purposes of the Act.

The sale and award of the Series 2002 A Bonds shall be further evidenced by the Certificate of Award. The Certificate of Award is hereby authorized and shall be executed by the Chairman or the Treasurer. The Certificate of Award shall state or confirm the aggregate principal amount of the Series 2002 A Bonds, the purchase price of such Bonds, the interest rate or rates with respect to each maturity of such Bonds, the annual principal amount or amounts of such Bonds becoming due, the maturity dates, any Mandatory Sinking Fund Requirements and the optional redemption provisions, all as determined in accordance with the provisions of this Resolution and the Contract of Purchase, and all of which shall be conclusively evidenced by the execution of the Certificate of Award. The Certificate of Award shall also contain such other dates or provisions as the Chairman or the Treasurer determines are appropriate or necessary, and as are consistent with this Resolution, the Indenture, the 2002 A Supplement and the Contract of Purchase.

The use and distribution of the Preliminary Official Statement and the Official Statement relating to the Series 2002 A Bonds by the Original Purchasers is hereby approved, authorized and ratified. The Preliminary Official Statement is deemed final by the University for purposes of Securities Exchange Commission Rule 15c2-12 except for such omissions therefrom as may be permitted by such Rule. The Official Statement, substantially in the form of the Preliminary Official Statement now on file with the Secretary of the Board, shall be executed by the Chairman and the Treasurer or either of them, each in his official capacity on behalf of the University with any modifications, changes and supplements necessary or desirable for the purposes thereof which the Chairman or the Treasurer shall approve. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of the statements in the Preliminary Official Statement or the final Official Statement under the caption "UNDERWRITING."

The execution, delivery and performance of the Continuing Disclosure Agreement dated as of January 1, 2001 (the "Continuing Disclosure Agreement") between the University and the Trustee in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Continuing Disclosure Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series 2002 A Bonds, including any accrued interest, shall be allocated, deposited and applied as follows:

(i) To the Debt Service Fund, accrued interest received on the sale of the Series 2002 A Bonds;

(ii) To the Series 2001/2002 Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2002 A Bonds as specified in the Certificate of Award, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series 2002 A Bonds;

(iii) To the Redemption Account in the Note Program Fund, an amount sufficient to currently refund that portion of the Series C Commercial Paper Notes to be redeemed with the proceeds of the Series 2001 Bonds;

(iv) To the 2001 Escrow Account, the amount of the proceeds of the Series 2002 A Bonds as specified in the Certificate of Award for the purpose of advance refunding Advance Refunded Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

Section 5. Series 2001/2002 Project Account. There is hereby created by the University an account within the Facilities Fund to be maintained in the custody of the Treasurer and designated the "Series 2001/2002 Project Account." The Series 2001/2002 Project Account shall be funded in part from the proceeds of the sale of the Series 2002 A Bonds. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series 2001/2002 Project, and costs and expenses associated with the issuance of the Series 2002 A Bonds. The Series 2001/2002 Project Account shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Series 2001/2002 Project Account, including all investment earnings thereon, pending disbursement from the Series 2001/2002 Project Account shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University attached hereto as Exhibit A, as the same may be amended from time to time. If the proceeds of the Series 2002 A Bonds remain unspent upon the issuance of any Obligations to fund costs of the Series 2001/2002 Project, the University shall establish separate accounts and subaccounts, for accounting purposes, for the deposit of the proceeds of such Obligations in accordance with the provisions of this Section 5.

The Treasurer shall maintain such books and records with respect to disbursements from the Series 2001/2002 Project Account so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Series 2001/2002 Project Account may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Debt Service Fund to be used to pay Debt Service Charges on the Series 2002 A Bonds.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series 2001/2002 Project (each a "Component") to be paid from the Series 2001/2002 Project Account have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Series 2001/2002 Project Account with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Monies remaining on deposit in the Series 2001/2002 Project Account after the completion of the Series 2001/2002 Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series 2002 A Bonds will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series 2002 A Bonds, so that the Series 2002 A Bonds will not constitute arbitrage bonds under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series 2002 A Bonds, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series 2002 A Bonds setting forth the reasonable expectations of the University regarding the amount and use of all those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series 2002 A Bonds.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series 2002 A Bonds, and will not take, nor permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series 2002 A Bonds; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series 2002 A Bonds.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2002 A OF THE OHIO STATE UNIVERSITY (contd)**

Section 7. 2001 Escrow Account; Call for Redemption of Prior Obligations. There is hereby created in the Debt Service Fund, an account to be held in the custody of the Trustee to be denominated the 2001 Escrow Account. All moneys and investments held in the 2001 Escrow Account shall be held in trust for and shall be used solely for the payment of the principal of, premium, if any, and interest on the [Bonds to be advance refunded] as provided in the Fifth Supplement.

The University hereby determines that each of the [identify obligations to be advance refunded] shall be called for optional redemption at the earliest date on which each of them may be redeemed pursuant to the Prior Indenture and that the execution and delivery by the University of the 2002 A Supplement shall constitute the irrevocable direction to the Trustee to send such notices as are required by the Indenture to effect such call for redemption. The execution of the 2002 A Supplement and the acceptance of the estate conveyed thereby by the Trustee shall constitute the receipt by the Trustee of such direction and the agreement by the Trustee to give the aforementioned notices.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2001 OF THE OHIO STATE UNIVERSITY**

Resolution No. 2002-52

Synopsis: Providing for the authorization, issuance and sale of Variable Rate Demand General Receipts Bonds (the "Series 2001 Bonds"), in a principal amount determined as provided herein, for the purposes of (A) the acquisition, construction and installation of the Series 2001/02 Project, as defined herein; (B) currently refunding a portion of the outstanding Series C Commercial Paper Notes of the University; and (C) paying costs and expenses associated with the issuance of the Series 2001 Bonds.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Original Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Amended and Restated Trust Indenture described below) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$80,900,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$100,500,000 is issued and outstanding as of the date hereof; and

WHEREAS on December 1, 2000, the Board adopted a resolution (the "Series C Commercial Paper Resolution") which authorized the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series C (the "Series C Notes ") in a principal amount not to exceed \$125,000,000 of which authorized amount \$81,000,000 is issued and outstanding as of the date of execution and delivery hereof; and

WHEREAS the University has adopted a Resolution of even date herewith (the "Series 2002 A Bond Resolution") authorizing the issuance of \$185,000,000 The Ohio State University General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"), the execution, delivery and performance of a Supplemental Indenture dated as of December 1, 2001 (the "2002 A Supplement") between the University and the Trustee; and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001 (the "Series 2001 Bonds") for the purpose of paying or reimbursing a portion of the costs of the Series 2001/02 Project, currently refunding a portion of the outstanding Series C Commercial Paper Notes of the University and paying costs and expenses associated with the issuance of the Series 2001 Bonds; and

WHEREAS the University desires to make provisions for the issuance of the Series 2001 Bonds and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a 2001 Supplement to the Amended and Restated Trust Indenture (the "2002 A Supplement" together with the Amended and Restated Trust Indenture, as supplemented and all other indentures supplemental thereto, the "Indenture") herein authorized:

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Amended and Restated Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms used herein with initial capitalization where not required by the rules of grammar and not defined herein or in the Amended and Restated Indenture shall have the meanings given them in the 2002 A Supplement. Terms not appearing in the Amended and Restated Indenture but used herein and not previously defined herein are defined as follows:

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

"Certificate of Award" means the Certificate of Award authorized pursuant to Section 3 of this Resolution.

"Code" means the Internal Revenue Code of 1986, as amended, the Treasury Regulations (whether proposed, temporary or final) under that Code or the statutory predecessor of that Code, and any amendments of, or successor provisions to, the foregoing and any official rulings, announcements, notices, procedures and judicial determinations regarding any of the foregoing, all as and to the extent applicable. Unless otherwise indicated, reference to a Section of the Code means that Section, including any applicable successor section or provision and such applicable Treasury Regulations, rulings, announcements, notices, procedures and determinations pertinent to that Section.

"Contract of Purchase" means the Contract of Purchase between the Original Purchasers and the University, authorized pursuant to Section 3 hereof.

"Official Statement" means, as to the Series 2001 Bonds, the Official Statement including, without limitation, the Preliminary Official Statement, relating to the original issuance of the Series 2001 Bonds, authorized pursuant to Section 3 hereof.

"Original Purchasers" means Fifth Third Securities, Inc., Apex Pryor Securities, Banc One Capital Markets, Inc., Dain Rauscher Inc., Loop Capital Markets and Morgan Stanley Dean Witter.

"Paying Agent" means the Paying Agent as defined in the 2002 A Supplement.

"Remarketing Agent" means Fifth Third Securities, Inc. or any other investment banking firm which may at any time be substituted in place of either of them as provided in Section 5.20 of the Indenture.

"Series 2001 Bond Resolution" or "this Resolution" as used herein, means this Resolution and the Certificate of Award, as the same may be amended from time to time.

"Series 2001/02 Project" means, collectively: (i) renovating and improving the (a) south high rise residence hall, including closet replacements, roof replacements, fire alarms system upgrades, elevator upgrades, and additional improvements, and (b) Houck House, including dining refurbishment; (ii) constructing and installing the Success Center; (iii) renovating Ohio Stadium; (iv) constructing Ohio Stadium scoreboard; (v) constructing a parking lot and road improvements on Fred Taylor Drive; (vi) renovating the north/south medical garages; (vii) installing the Ohio Micro Medical Device Laboratory; (viii) purchasing and renovating of an electric sub-station on Cannon Drive; (ix) constructing the Jesse Owens Memorial Stadium; (x) replacing the Sisson Hall Veterinary Building; (xi) installing of a central chilled water loop; and (xii) acquiring land and two buildings on Kenny Road; each of the foregoing items (i) through (xii) being a "Component" within the meaning of Section 5 hereof.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in this Resolution, the Amended and Restated Trust Indenture and the 2001 Supplement, except as otherwise herein permitted.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Series 2001 Bonds shall be known as "The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2001" and shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, this Resolution, the Amended and Restated Trust Indenture and the 2001 Supplement. The Series 2001 Bonds shall be issued for the purposes of financing a portion of the costs of the University Facilities comprising the Series 2001/02 Project, currently refunding the Prior Obligations and paying costs and expenses incidental to the issuance of the Series 2001 Bonds.

(b) Form and Numbering. The Series 2001 Bonds shall be issued only as fully registered bonds. The Series 2001 Bonds shall be numbered as determined by the Trustee which will distinguish each Series 2001 Bond from each other Series 2001 Bond.

(c) Denominations and Dates. The Series 2001 Bonds shall be issuable in denominations of \$100,000 and integral multiples thereof and shall be dated as of their date of first delivery and authentication.

(d) Principal Amount. The Series 2001 Bonds shall be issued in the principal amount specified in the Certificate of Award, which amount shall not exceed \$85,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Original Purchasers to establish the date, location, procedures and conditions for the delivery of the Series 2001 Bonds to the Original Purchasers and to take all steps as necessary to effect due execution, authentication, sale and delivery of the Series 2001 Bonds to the Original Purchasers under the terms of this Resolution. The Series 2001 Bonds shall be signed by the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

(f) Interest and Maturities.

(i) The Series 2001 Bonds shall bear interest at a variable rate in any number of modes determined pursuant to the 2001 Supplement. The University may also convert the interest rate on all or a portion of the Series 2001 Bonds to Fixed Rates. The interest rate on the Series 2001 Bonds shall at no time exceed the Maximum Interest Rate. Interest shall be payable on each Interest Payment Date.

(ii) The Series 2001 Bonds shall mature not later than December 1, 2031 and in such annual amount or amounts as are provided for in the Certificate of Award.

(g) Security. As provided in the Amended and Restated Trust Indenture as supplemented by the 2001 Supplement, there is hereby pledged to the security of the Series 2001 Bonds and for the payment of the Debt Service Charges on the Series 2001 Bonds, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.11 of the Original Indenture) and (ii) the monies contained in the Special Funds. Anything else to the contrary in the Indenture or this Resolution notwithstanding, the Series 2001 Bonds shall not have access to, any claim upon or be secured by the Series 2001/02 Project Account.

The pledge of General Receipts in the immediately preceding paragraph shall be on a parity with expenses, claims and payments relating to other Parity Obligations and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all General

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University. Furthermore, the Pledge contained herein and the Amended and Restated Indenture is not in derogation of the pledge contained in the Original Indenture, the Prior Indenture, any Series Resolution adopted pursuant to the Original Resolution or any Bond proceedings with respect to any Obligations currently outstanding, it being the intention of the University that all such Obligations outstanding upon the execution and delivery of the Amended and Restated Trust Indenture shall be Parity Obligations within the meaning of the Amended and Restated Trust Indenture, equally and ratably secured by the pledge of General Receipts of the University herein and therein contained.

For the further security of the Series 2001 Bonds, and any other Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series 2001 Bonds or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other monies lawfully available therefor, to pay all costs and expenses required to be paid under the Bond proceedings, and (iii) together with other monies lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(h) Payment, Places of Payment and Paying Agents. The principal of and any redemption premium on any Series 2001 Bond when due shall be payable to the registered holder upon presentation and surrender thereof at the principal corporate trust office of the Trustee or any duly authorized Paying Agent. Interest on Series 2001 Bonds shall be payable by check or draft mailed by the Trustee as provided in the Indenture; provided, however, that interest on Series 2001 Bonds aggregating \$1,000,000 or more in principal amount registered in the name of a single holder shall be paid by wire transfer in immediately available funds to such account, if any, as such holder directs in writing to the Trustee at least ten (10) days prior to any Interest Payment Date, such payment by wire transfer pursuant to that direction continuing in effect as to subsequent Interest Payment Dates until such time as the holder notifies the Trustee to the contrary or until such time as such holder ceases to be a holder of the requisite principal amount of Series 2001 Bonds.

(i) Book Entry. Subject to the provisions of the immediately following paragraph, the Series 2001 Bonds shall be issued only to a Depository for holding in a Book Entry System. Those Series 2001 Bonds shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable, except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series 2001 Bonds shall be evidenced by a single certificate in the aggregate principal amount of the Series 2001 Bonds maturing on each such maturity date.

If any Depository determines not to continue to act as a Depository for the Series 2001 Bonds for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series 2001 Bonds from the Depository, and authenticate and deliver the Series 2001 Bond certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series 2001 Bonds) of the University. Series 2001 Bond certificates authenticated and delivered pursuant to this paragraph shall be in the denomination of \$5,000 or any integral multiple thereof.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

(j) Tender and Purchase. The Series 2001 Bonds will be subject to tender by the Holders thereof and also subject to purchase, including mandatory purchase, by the University in accordance with the terms of the 2002 A Supplement.

(k) Appointment of Remarketing Agent. The Board hereby appoints Fifth Third Securities, Inc. as Remarketing Agent.

Section 3. 2002 A Supplement; Contract of Purchase; Certificate of Award; Official Statement; Continuing Disclosure Agreement and Remarketing Agreements. To secure the pledge of General Receipts for the payment of Debt Service Charges on all Obligations, the execution, delivery and performance of the 2001 Supplement in substantially the form on file with the Secretary of the Board is hereby approved and authorized. The Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute and deliver to the Trustee, in the name and on behalf of the University such Amended and Restated Trust Indenture, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. Execution by the officer or officers of the University shall be conclusive evidence that any such changes are not substantially adverse to the University. The Treasurer of the University is directed and authorized to solicit, with such assistance from the Original Purchasers, the Trustee or others as he shall, in his sole reasonable discretion, deem necessary, the consent of the holders of a majority in principal amount of Obligations outstanding to the execution and delivery of the 2001 Supplement.

The Series 2001 Bonds are sold and awarded to the Original Purchasers in accordance with the Contract of Purchase at the purchase price provided in the Certificate of Award. The form of Contract of Purchase now on file with the Secretary of the Board is hereby approved, together with any changes therein and completions thereof which are not inconsistent with this Resolution and not substantially adverse to the University, and as are permitted by the Act and approved on behalf of the University by the Chairman or the Treasurer. The approval on behalf of the University of those changes and completions by the Chairman or the Treasurer, and the status of those changes as not substantially adverse to the University, shall be conclusively evidenced by the execution of the Contract of Purchase on behalf of the University by the Chairman or the Treasurer. It is determined hereby that the purchase price and the manner of sale and the terms of the Series 2001 Bonds, as provided in this Resolution and the Contract of Purchase are consistent with all legal requirements and will carry out the public purposes of the Act.

The sale and award of the Series 2001 Bonds shall be further evidenced by the Certificate of Award. The Certificate of Award is hereby authorized and shall be executed by the Chairman or the Treasurer. The Certificate of Award shall state or confirm the aggregate principal amount of the Series 2001 Bonds, the purchase price of such Bonds, the interest rate or rates with respect to each maturity of such Bonds, the annual principal amount or amounts of such Bonds becoming due, the maturity dates and the optional redemption provisions, all as determined in accordance with the provisions of this Resolution and the Contract of Purchase, and all of which shall be conclusively evidenced by the execution of the Certificate of Award. The Certificate of Award shall also contain such other dates or provisions as the Chairman or the Treasurer determines are appropriate or necessary, and as are consistent with this Resolution, the Indenture, the 2001 Supplement and the Contract of Purchase.

The use and distribution of the Preliminary Official Statement and the Official Statement relating to the Series 2001 Bonds by the Original Purchasers is hereby approved, authorized and ratified. The Preliminary Official Statement is deemed final by the University for purposes of Securities Exchange Commission Rule 15c2-12 except for such omissions therefrom as may be permitted by such Rule. The Official Statement, substantially in the form of the Preliminary Official Statement now on file with the Secretary of the Board, shall be executed by the Chairman and the Treasurer or either of them, each in his official capacity on behalf of the University with any modifications, changes and supplements necessary or desirable for the purposes thereof which

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

the Chairman or the Treasurer shall approve. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of the statements in the Preliminary Official Statement or the final Official Statement under the caption "UNDERWRITING."

The execution, delivery and performance of the Continuing Disclosure Agreement dated as of December 1, 2001 (the "Continuing Disclosure Agreement") between the University and the Trustee in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Continuing Disclosure Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

The execution, delivery and performance of the Remarketing Agreement dated as of December 1, 2001 (a "Remarketing Agreement") between the University and the Remarketing Agent in the form now on file with the Secretary of the Board is hereby authorized and approved, together with any changes therein which are not substantially adverse to the University. The Chairman and the Treasurer, or either of them is each authorized to execute the Remarketing Agreement and such execution by either or both of them shall be conclusive evidence that such changes are not substantially adverse to the University.

The Chairman and the Treasurer, or either of them is each authorized to execute a remarketing agreement between the University and SBK-Brooks Investment Corp., as remarketing agent to provide for the remarketing of the Series 1999 B Bonds.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series 2001 Bonds, including any accrued interest, shall be allocated, deposited and applied as follows:

(i) To the Debt Service Fund, accrued interest received on the sale of the Series 2001 Bonds;

(ii) To the Redemption Account in the Note Program Fund, an amount sufficient to currently refund that portion of the Series C Commercial Paper Notes to be redeemed with the proceeds of the Series 2001 Bonds; and

(iii) To the Series 2001/02 Project Account, created pursuant to Section 5 of this Resolution, the amount of the proceeds of the Series 2001 Bonds as specified in the Certificate of Award, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series 2001 Bonds.

Section 5. Series 2001/02 Project Account. The University has created, pursuant to the Series 2002 A Resolution, an account in the Facilities Fund to be maintained in the custody of the Treasurer and designated the "Series 2001/02 Project Account." The Series 2001/02 Project Account shall be funded in part from the proceeds of the sale of the Series 2001 Bonds. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series 2001/02 Project, and costs and expenses associated with the issuance of the Series 2001 Bonds. The Series 2001/02 Project Account shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Series 2001/02 Project Account, including all investment earnings thereon, pending disbursement from the Series 2001/02 Project Account shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University attached hereto as Exhibit A, as the same may be amended from time to time. If the proceeds of the Series 2001 Bonds remain unspent upon the issuance of any Obligations to fund costs of the Series 2001/02 Project, the University shall establish separate accounts and sub-

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS BONDS
SERIES 2001 OF THE OHIO STATE UNIVERSITY (contd)**

accounts, for accounting purposes, for the deposit of the proceeds of such Obligations in accordance with the provisions of this Section.

The Treasurer shall maintain such books and records with respect to disbursements from the Series 2001/02 Project Account so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on the funds on deposit in the Series 2001/02 Project Account may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Debt Service Fund to be used to pay Debt Service Charges on the Series 2001 Bonds.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series 2001/02 Project (each a "Component") to be paid from the Series 2001/02 Project Account have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Series 2001/02 Project Account with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Monies remaining on deposit in the Series 2001/02 Project Account after the completion of the Series 2001/02 Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series 2001 Bonds will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series 2001 Bonds, so that the Series 2001 Bonds will not constitute arbitrage bonds under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series 2001 Bonds, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series 2001 Bonds setting forth the reasonable expectations of the University regarding the amount and use of all those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series 2001 Bonds.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series 2001 Bonds, and will not take, nor permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series 2001 Bonds; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series 2001 Bonds.

Section 7. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 8. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

**AUTHORIZATION, ISSUANCE AND SALE OF
GENERAL RECEIPTS COMMERCIAL PAPER NOTES
SERIES D OF THE OHIO STATE UNIVERSITY**

Resolution No. 2002-53

Synopsis: Providing for the authorization, issuance and sale of General Receipts Commercial Paper Notes, Series D (the "Series D Notes"), in a principal amount determined as provided herein, for the purpose of (i) financing the Series D Project, as defined herein, (ii) currently refunding certain outstanding Obligations and (iii) paying costs and expenses associated with the issuance of the Series D Notes, authorizing a Series D Supplement to Amended and Restated Trust Indenture to secure the payment of Debt Service Charges on the Series D Notes.

WHEREAS pursuant to Sections 3345.11 and 3345.12 of the Ohio Revised Code (the "Act"), as enacted under authority of the Constitution of Ohio, particularly Section 2i of Article VIII thereof, The Ohio State University (the "University"), a state university of the State of Ohio (the "State"), created and existing under Chapter 3335 of the Ohio Revised Code, is authorized and empowered, among other things, (a) to issue, as provided herein, Obligations (as defined in the Indenture (as hereinafter defined)) of the University to pay the costs of certain capital facilities defined as "auxiliary facilities" in the Act (and herein called "University Facilities") and to refund, fund or retire bonds and other obligations previously issued for such purpose; (b) to pledge to the payment of the Obligations all or a specified part of the gross amount of the General Receipts of the University (as defined in the Indenture) in priority to all other expenses, claims or payments; (c) to covenant, as herein provided, that the University will make, fix, adjust and collect the fees, rates, rentals, charges and other items comprising General Receipts to produce General Receipts sufficient at all times to meet Debt Service Charges (as defined in the Indenture) on the Obligations, to establish and to maintain the required reserves and meet other requirements herein provided; and (d) to provide for a trust indenture and make further provisions for securing the payment of the Debt Service Charges; and

WHEREAS the University, by resolution adopted by its Board of Trustees (the "Board") on November 1, 1985 (the "Series 1985 A Bond Resolution") and by a Trust Indenture dated as of November 15, 1985 (the "Original Indenture") between the University and The Huntington National Bank, as Trustee (the "Trustee"), provided for the issuance from time to time of General Receipts Bonds of the University; and

WHEREAS the University, pursuant to the terms of a resolution adopted by the Board on November 5, 1999 (the "1999 General Bond Resolution") amended and restated the Original Indenture, as supplemented in accordance with the terms thereof by entering into the Amended and Restated Trust Indenture dated as of December 1, 1999 (the "Amended and Restated Trust Indenture") with the Trustee; and

WHEREAS the Amended and Restated Indenture provides that Obligations, as defined therein, may be issued pursuant to the terms thereof, with each such issue to be authorized by a Series Resolution, as defined therein, adopted by the Board and secured pursuant to the terms of a Supplemental Indenture, as defined in the Amended and Restated Indenture with respect to such issue (the Amended and Restated Indenture and all Supplemental Indentures thereto being collectively referred to herein as the "Indenture"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 A General Receipts Bond Resolution"), the Indenture and the First Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "First Supplement") between the University and the Trustee, \$83,585,000 The Ohio State University General Receipts Bonds, Series 1999 A (the "Series 1999 A Bonds") of which authorized amount \$80,900,000 is issued and outstanding as of the date hereof; and

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WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 5, 1999 (the "Series 1999 B General Receipts Bond Resolution"), the Indenture and the Second Supplement to Amended and Restated Trust Indenture, dated as of December 1, 1999 (the "Second Supplement") between the University and the Trustee, \$108,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 1999 B (the "Series 1999 B Bonds") of which authorized amount \$100,500,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on December 1, 2000 (the "Series C Commercial Paper Resolution"), the Indenture and the Third Supplement to Amended and Restated Trust Indenture, dated as of December 1, 2000 (the "Third Supplement") between the University and the Trustee, \$116,000,000 The Ohio State University Variable Rate Demand Commercial Paper Notes, Series C (the "Series C Notes") of which authorized amount \$81,000,000 is issued and outstanding as of the date hereof; and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 2, 2001 (the "Series 2001 B General Receipts Bond Resolution"), the Indenture and the 2002 A Supplement to Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "2002 A Supplement") between the University and the Trustee, \$85,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2002 A (the "Series 2001 Bonds"); and

WHEREAS the University has authorized the issuance pursuant to the 1999 General Bond Resolution, the resolution adopted by the Board on November 2, 2001 (the "Series 2002 A General Receipts Bond Resolution"), the Indenture and the 2001 Supplement to Amended and Restated Trust Indenture, dated as of December 1, 2001 (the "2001 Supplement") between the University and the Trustee, \$185,000,000 The Ohio State University Variable Rate Demand General Receipts Bonds, Series 2002 A (the "Series 2002 A Bonds"); and

WHEREAS the Board has determined that it is in the best interests of the University to authorize the issuance of The Ohio State University General Receipts Commercial Paper Notes, Series D (the "Series D Notes") in the principal amount not to exceed \$175,000,000 for the purpose of paying or reimbursing a portion of the costs of the Series D Project, currently refunding certain outstanding Obligations and paying costs and expenses associated with the issuance of the Series D Notes; and

WHEREAS the University desires to make provisions for the issuance of the Series D Notes and for the payment of the Debt Service Charges thereon and the securing thereof by this Resolution and a Series D Supplement to Amended and Restated Trust Indenture (the "Series D Supplement") herein authorized:

NOW THEREFORE

BE IT RESOLVED BY THE BOARD OF TRUSTEES OF THE OHIO STATE UNIVERSITY as follows:

Section 1. Definitions and Interpretations. All words and terms defined in the Original Indenture and all interpretations therein provided shall have in this Resolution the same meanings, respectively, and be subject to the same interpretations as therein provided or used, unless the context or use clearly indicates another or different meaning or intent. Terms not appearing in the Original Indenture but used herein and not previously defined herein are defined as follows:

"Book Entry System" means a system under which (i) a physical Series D Note certificate in fully registered form is issued for each maturity of Series D Notes only to a Depository or its

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nominee as registered owner, with the Series D Notes held by and immobilized in the custody of the Depository; and (ii) a book entry record, maintained by and the responsibility of the Depository and not maintained by or the responsibility of the University or the Trustee, is the record that identifies, and records the transfer of the interests of, the owners of book entry interests in such Series D Notes.

"Costs of Issuance Account" means the Costs of Issuance Account in the Note Program Fund created pursuant to Section 5 hereof.

"Dealer" or "Dealers" means J.P. Morgan Securities Inc. or any successor or assigns permitted under the Dealer Agreement, and any other dealer or dealers for the Series D Notes which is appointed by the University and has entered into a Dealer Agreement.

"Dealer Agreement" or "Dealer Agreements" means respectively, the Dealer Agreement, by and between the University and J.P. Morgan Securities Inc., and any and all modifications, alterations, amendments and supplements thereto, and such agreement and any other Dealer Agreement entered into by the University and a Dealer or Dealers with respect to the Series D Notes.

"Depository" means any securities depository that is a clearing agency under federal law operating and maintaining, with its participants or otherwise, a Book Entry System to record ownership of book entry interests in obligations, and includes and means initially as to the Series D Notes, The Depository Trust Company (a limited purpose trust company), New York, New York.

"Note Proceedings" means the 1999 General Bond Resolution, the Amended and Restated Indenture, any applicable Series Resolution, any applicable Supplemental Indenture and any other resolutions and agreements and amendments of and supplements to the foregoing, or any combination thereof, authorizing or providing for the terms and conditions applicable to, or providing for the security or sale of Notes, and the terms contained in such Notes.

"Note Program Fund" means the Note Program Fund held by the University and created in Section 5 hereof to be funded with the proceeds of the Series D Notes.

"Offering Memorandum" means, as to the Series D Notes, the Offering Memorandum relating to the original issuance of the Series D Notes, authorized pursuant to Section 3 hereof.

"Project Account" means the Project Account in the Note Program Fund created pursuant to Section 5 hereof.

"Series D Commercial Paper Resolution" or "this Resolution" as used herein means this Resolution, as the same may be amended from time to time.

"Series D Project" means, collectively: (i) reconstructing the Woody Hayes Drive Bridge and steam line extension; (ii) constructing of a new residence hotel facility for the Fisher College of Business; (iii) improving the leasehold to the J. Leonard Camera Center; (iv) acquiring real property; (v) constructing a 504-bed student housing complex between and Worthington and Neil Avenues; (vi) renovating The Ohio State University Hospitals East; (vii) constructing a new Student Services Building at Marion Campus; (viii) residence hall improvements including: south high rise closet replacements, roof replacements, fire alarms system upgrades, elevator upgrades, improvements and upgrades, Houck House renovation, and dining refurbishment; (ix) constructing the Ross Heart Hospital; (x) constructing and renovating the Buckeye Village Student Family Housing Complex; (xi) adding to and renovating Larkins Hall; (xii) renovating the Ohio Union; (xiii) construction and renovation at the Science and Technology Campus; and (xiv)

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constructing the Physical Facilities Garage and Shop Building; each of the foregoing (i) through (xiv), inclusive, constituting a "Component" within the meaning of Section 5 hereof.

"Trustee" means The Huntington National Bank, and any successor Trustee as determined or designated under or pursuant to the Original Indenture.

Any reference herein to the University, the Board, or to any members or officers thereof or to other public boards, commissions, departments, institutions, agencies, bodies, entities or officers, shall include those which succeed to their functions, duties or responsibilities pursuant to or by operation of law or who are lawfully performing their functions. Any reference to a section or provision of the Ohio Revised Code or to the laws of Ohio shall include such section or provision and such laws as from time to time amended, modified, revised, supplemented, or superseded, provided that no such amendment, modification, revision, supplementation, or supersession shall alter the obligation to pay the Debt Service Charges in the amount and manner, at the times, and from the sources provided in the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Series D Supplement, except as otherwise herein permitted.

Unless the context shall otherwise indicate, words importing the singular number shall include the plural number, and vice versa, and the terms "hereof," "herein," "hereby," "hereto," "hereunder," and similar terms, mean this Resolution.

Section 2. Authorization; Terms; Pledge; and Covenant.

(a) Authorization. The Bonds, to be designated and known as The Ohio State University General Receipts Commercial Paper Notes, Series D, shall be issued pursuant to and as authorized by the Act, Section 2i of Article VIII of the Ohio Constitution, the 1999 General Bond Resolution, this Resolution, the Amended and Restated Trust Indenture and the Series D Supplement for the purposes of financing a portion of the costs of the University Facilities comprising the Series D Project, currently refunding certain outstanding Obligations and paying costs and expenses incidental to the issuance of the Series D Notes. The Series D Notes shall be issued from time to time as provided in the Series D Supplement to finance and refinance the cost of the Series D Project. Proceeds of the Series D Notes may also be used to pay maturing Series D Notes.

(b) Form and Numbering. The Series D Notes shall be issued only as fully registered Bonds. The Series D Notes shall be numbered as determined by the Trustee.

(c) Denominations and Dates. The Notes shall be dated the date of their respective authentication and issuance; shall be issued in registered form, registered to bearer (subject to Section 2.06 of the Series D Supplement) unless otherwise designated by a Dealer; and shall be issued in denominations of \$100,000 and in integral multiples of \$1,000 in excess thereof.

(d) Principal Amount. The Series D Notes shall be issued in the principal amount specified in the Series D Supplement, which amount shall not exceed \$175,000,000.

(e) Delivery and Execution. The Treasurer is hereby authorized to make the necessary arrangements with the Dealer to establish the date, location, procedures and conditions for the delivery of the Series D Notes to the Dealer and to take all steps as necessary to effect due execution, authentication and delivery of the Series D Notes to the Dealer or to the persons whom the Dealer directs under the terms of this Resolution. The Series D Notes shall be signed by the Treasurer in his official capacity (provided that such signature may be a facsimile) and may bear the corporate seal of the University or a facsimile thereof.

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(f) Interest. The Series D Notes shall bear interest from their respective dates, payable at maturity, at a rate not to exceed 12% per annum (calculated on the basis of a year consisting of 365/366 days and actual number of days elapsed).

(g) Maturities. The Series D Notes (i) shall mature not more than 270 days after their respective dates, but in no event later than June 15, 2003, and (ii) shall mature on a Business Day. The stated interest rate, maturity date and other terms of each Note, so long as not inconsistent with the terms of the Series D Supplement, shall be as set forth in the Instructions delivered to the Trustee pursuant to Section 2.07 of the Series D Supplement.

(h) Redemption. The Series D Notes shall not be subject to redemption prior to their stated maturities.

(i) Appointment of Dealer. The Board hereby appoints J.P. Morgan Securities Inc. as the initial Dealer for the Series D Notes.

(j) Security. As provided in the Indenture, there is hereby pledged to the security of the Series D Notes, (i) the gross amount of General Receipts of the University (subject to the provisions for the partial release of a pledge of General Receipts contained in Section 4.10 of the Amended and Restated Trust Indenture) and (ii) the moneys contained in the Special Funds. Anything else to the contrary in the Indenture, the 1999 General Bond Resolution, the Series D Supplement or this Resolution notwithstanding, the Series D Notes shall not have access to, any claim upon or be secured by, the Bond Reserve Fund or the Note Program Fund. This pledge of General Receipts shall be on parity with expenses, claims and payments relating to other Parity Obligations (as defined in the Original Indenture) and in priority to all other expenses, claims and payments of the University. In accordance with the Act, all the General Receipts or portions thereof are immediately subject to the lien of the pledge upon receipt thereof by the University.

For the further security of the Series D Notes, and any Obligations, the University hereby covenants with the bondholders and the Trustee that so long as any Series D Notes or Obligations are outstanding, the University shall fix, make, adjust and collect fees, rates, rentals, charges, and other items of General Receipts, as will produce at all times General Receipts sufficient (i) to pay Debt Service Charges when due, (ii) together with other moneys lawfully available therefor, to pay all costs and expenses required to be paid under the Note Proceedings, and (iii) together with other moneys lawfully available therefor, to pay all other costs and expenses necessary for the proper maintenance and successful and continuous operation of the University.

(k) Payment, Places of Payment, and Paying Agents. The principal of and interest on the Series D Notes shall be paid in federal or other immediately available funds in such coin or currency of the United States of America as, at the respective times of payment, is legal tender for the payment of public and private debts. The principal of and interest on the Series D Notes shall be payable at the principal office of the Trustee on or before the close of business on any Business Day upon which such Series D Notes have become due and payable, provided that such Series D Notes are presented and surrendered on a timely basis. Upon presentation of such a Series D Note to the Trustee no later than 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment for such Series D Note shall be made by the Trustee in immediately available funds on such Business Day. If a Series D Note is presented for payment after 3:00 p.m. (Columbus, Ohio time) on a Business Day, payment therefor shall be made by the Trustee on the next succeeding Business Day, without the accrual of additional interest thereon.

(l) Book Entry. Subject to the provisions of the immediately following paragraph, the Series D Notes shall be issued only to a Depository for holding in a Book Entry System in accordance with the provisions of Section 2.06 of the Series D Supplement. Those Series D Notes shall be registered in the name of the Depository or its nominee, as registered owner, and immobilized in the custody of the Depository; and shall not be transferable or exchangeable,

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except for transfer to another Depository or to another nominee of a Depository, without further action by the University. Each maturity of the Series D Notes shall be evidenced by a single certificate in the aggregate principal amount of the Series D Notes maturing on such maturity date.

If any Depository determines not to continue to act as a Depository for the Series D Notes for holding in a Book Entry System, the University may attempt to have established a securities depository/Book Entry System relationship with another qualified Depository. If the University does not or is unable to do so, the University, after making provision for notification of the owners of book entry interests by appropriate notice to the then Depository and any other arrangements it deems necessary, shall permit the withdrawal of the Series D Notes from the Depository, and authenticate and deliver the Series D Note certificates, in fully registered form to the assigns of the Depository or its nominee (if such Bond was held by a nominee), all at the cost and expense (including costs of printing or otherwise preparing and delivering replacement Series D Notes) of the Bondholders.

Section 3. Offering Memorandum. It is determined hereby that the manner of sale and the terms of the Series D Notes, as provided in this Resolution and the Series D Supplement, are consistent with all legal requirements and will carry out the public purposes of the Act.

The use and distribution of the Offering Memorandum relating to the Series D Notes substantially in the form now on file with the Board by the Dealer is hereby approved and authorized. The Board has not confirmed, and assumes no responsibility for, the accuracy, sufficiency or fairness of any statements in the Offering Memorandum contained in Appendix B thereto.

Section 4. Allocation of Proceeds. The proceeds from the sale of the Series D Notes, including any accrued interest, shall be allocated, deposited and applied as follows:

(i) To the Bond Fund, accrued interest, if any, received on the sale of the Series D Notes; and

(ii) To the Note Program Fund, created pursuant to Section 5 of this Resolution, the entire remaining amount of the proceeds of the Series C Notes, to be applied to the purposes of that Fund, including transfers from that Fund authorized by the Act and to pay costs and expenses associated with the issuance of the Series D Notes.

Section 5. Note Program Fund. There is hereby created by a fund to be maintained in the custody of the Treasurer and designated the "Note Program Fund." The Note Program Fund shall be funded from the proceeds of the sale of the Series D Notes. Such proceeds shall be used for the payment or reimbursement of a portion of the costs and expenses relating to the Series D Project and costs and expenses associated with the issuance of the Series D Notes. The Note Program Fund shall not constitute a Special Fund and shall not be pledged to the payment of Debt Service Charges.

Moneys held in the Note Program Fund, including all investment earnings thereon, pending disbursement from the Note Program Fund shall be invested in Authorized Investments specified in Section VIII of the Non-Endowment Investments Policy of the University, as the same may be amended from time to time. The University may establish such accounts in the Note Program Fund as are necessary or desirable to carry out the requirements of the Series D Supplement.

The Treasurer shall maintain such books and records with respect to disbursements from the Note Program Fund so as to enable the Treasurer to determine the name of any payee of any such disbursement, the date on which such disbursement occurred, the amount of such disbursement and the purpose for which such disbursement was made. Investment earnings on

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the funds on deposit in the Note Program Fund may, at the discretion of the University, be paid to the Trustee for deposit in the Bond Service Account in the Bond Fund to be used to pay Debt Service Charges on the Series D Notes.

There shall be established in the Note Program Fund the following accounts:

(i) The Costs of Issuance Account, from which shall be paid the costs of issuance of the Series D Notes;

(ii) The Redemption Account, from which shall be disbursed such portion of the proceeds as shall be necessary to retire such portion of the General Receipts Commercial Paper Notes, Series C and

(iii) The Project Account, from which shall be disbursed such portion of the proceeds of the Series D Notes necessary to pay costs of the Series D Project, currently refunding certain outstanding Obligations and paying costs and expenses associated with the issuance of the Series D Notes. The Treasurer shall designate in writing, either in the Series D Supplement or otherwise, the allocation of the proceeds of the Series D Notes to each of the foregoing accounts.

Upon the determination by the Treasurer that the costs incurred in connection with any item listed in Section 1 hereof in the definition of Series D Project (each a "Component") to be paid from the Note Program Fund have been paid in full or provision for such payment has been made, the University may use any remaining moneys on deposit in the Note Program Fund with respect to such Component to fund the costs of other Components or to fund the costs of additional projects constituting University Facilities (each, an "Additional Project"). Moneys remaining on deposit in the Note Program Fund after the completion of the Series D Project and any Additional Projects shall be used by the University in any manner which, in the opinion of Bond Counsel, shall be permissible under the Act and the Code.

Section 6. Application of Bond Proceeds. The University covenants that the use of the proceeds of the Series D Notes will be restricted in such manner and to such extent, if any, as may be necessary, after taking into account reasonable expectations at the time of delivery of and payment for the Series D Notes, so that the Series D Notes will not constitute "arbitrage bonds" under Sections 103(c) and 148 of the Code. The Treasurer of the University, or any other officer having responsibility with respect to the issuance of the Series D Notes, alone or in conjunction with any other officer or employee of or consultant to the University, will give an appropriate certificate of the University for inclusion in the transcript of proceedings for the Series D Notes setting forth the reasonable expectations of the University regarding the amount and use of those proceeds and the facts and estimates on which they are based, all as of the date of original delivery of and payment for the Series D Notes.

The University further covenants that it will take all actions required to maintain the exclusion from gross income for purposes of federal income taxation of interest on the Series D Notes and will not take or permit to be taken, any actions which would adversely affect such exclusion under the provisions of the Code that apply to the Series D Notes; and the Chairman or the Treasurer and other appropriate officers are hereby authorized to take such actions and give such certifications as may be appropriate to assure such exclusion from gross income of interest on the Series D Notes.

Section 7. Series D Supplement and Other Documents. In order to better secure the payment of the Debt Service Charges as the same shall become due and payable, the Chairman, the President of the University, the Secretary of the Board and the Treasurer, or any one or more of them, are authorized and directed to execute, acknowledge and deliver to the Trustee and the Dealer, in the name and on behalf of the University and pursuant to the Indenture, the Series D Supplement and the Dealer Agreement, respectively, each in substantially the form submitted to this Board, or to such officers on behalf of this Board and the University, and such documents are

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hereby approved, with such changes therein as are not substantially adverse to the University and as may be permitted by the Act and approved by the officers executing the same on behalf of the University. The Series D Supplement shall also include the form of the Series D Notes (the "Form of Note"), attached thereto as Exhibit A. The execution of such documents by any of the officers shall conclusively evidence that the officers and the Board approve such changes and that such changes are not substantially adverse to the University.

Each of such officers is further authorized to execute and deliver on behalf of the University such other certificates, documents and instruments as are necessary in connection with the acts authorized by this Resolution.

Section 8. Open Meeting Determination. It is found and determined that all formal actions of the Board concerning and relating to the adoption of this Resolution were adopted in an open meeting of the Board, and that all deliberations of the Board and of any of its committees that resulted in such formal action were in meetings open to the public, in compliance with all legal requirements including Section 121.22 of the Ohio Revised Code.

Section 9. Effective Date. This Resolution shall take effect and be in force immediately upon its adoption.

Upon motion of Mr. Slane, seconded by Judge Duncan, the Board of Trustees adopted the foregoing resolutions with six affirmative votes cast by Messrs. Brennan, Sofia, Slane, and Judge Duncan, and Mses. Longaberger and Hendricks, and an abstension cast by Mr. Patterson.

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PRESENTATION ON BUDGET RESCISSION

President Kirwan:

I'd like to ask Ed Ray and Bill Shkurti to discuss our final resolution on the Fiscal Year 2002 Budget Rescission.

Provost Edward J. Ray:

Thank you, Mr. President. The resolution that the Board has before it is intended to address the impact of the budget reduction announced by the state and its impact on The Ohio State University. Let me first ask Bill Shkurti to talk about the numbers and we'll then talk about some of the implications. Bill --

Mr. William J. Shkurti:

Thank you, Ed. The cuts announced by the Governor are a six percent rescission on our total annual appropriations for the current fiscal year. That amounts to \$28.2 million across all line items on all campuses. The single largest amount is \$19.4 million, which must be absorbed in the Columbus campus from its reduction in the state's share of instruction.

Other reductions affect the regional campuses, ATI, and OARDC to the tune of \$3.9 million; and other line items on the Columbus campus, such as the Success Challenge, the Research Challenge, and various health education support line items to the tune of \$4.9 million.

Our proposed solutions are really in two parts. It's very difficult for units to take reductions in the middle of the year, but that's what we're going to have to do. First of all, those line items or those entities that benefit from specific line items

PRESENTATION ON BUDGET RESCISSION (contd)

Mr. Shkurti: (contd)

will have to absorb those reductions; unfortunately, there's no other way to do that. And that will yield about \$8.8 million.

The reductions in the state's share of instruction will be harder to attribute to any one unit, but will affect all of the General Funds units on the Columbus campus. What we're proposing is to find one-time money this year, because we have to find this on a relatively short notice, and Ed will explain how we then plan to deal with that in the future.

In terms of finding the \$19.4 million for the current fiscal year, we're proposing to draw down half of the University's Rainy Day Fund of \$10 million, which will yield us \$5 million. We're proposing to find \$6 million in various central budgets where there are differences between what's been authorized and what may be spent. Central budgets include things like utilities, benefits, and so forth. It doesn't mean we're cutting benefits, but we're going to be looking at ways to come up with one-time money.

We're asking the support units – and the support units are really the vice presidential areas that include Business and Finance and Academic Affairs – to identify two percent of this year's General Fund budget for reallocation for this purpose, which is \$3.8 million. And we're asking the colleges to identify 1.25 percent of their annual General Funds budget, which will provide \$4.6 million. If you add all that up, that comes to \$19.4 million, which will meet the cut in the state's share of instruction, and if you add that to the \$8.8 million that comes out of line items, that will allow us to address the cuts imposed by the governor.

My colleague, Provost Ray, will now talk about the implications and some other issues involving this.

Provost Ray:

Thanks, Bill. In your Board books is the material that summarizes the implications and additional considerations that we all have to attend to, but I think some of the points bear repeating.

First, I think it's important that we make clear that throughout this process student financial aid distributions will be protected. We're very committed to making certain that students who have the capacity to succeed at Ohio State are not, in fact, restricted from doing so because of the financial difficulties that we find ourselves facing at this time.

As Bill pointed out, we want to make clear that these are one-time funds that we're talking about, and the call that we're making on different units are for these one-time funds. We need to have permanent reductions in place for Fiscal Year 2003. We've started a process -- the plan that the President described in his address to the Senate and that we distributed in writing to all the colleges and support units -- which addresses the 2003 problem, with respect to the rescission in state funds, but also addresses our compensation goals for the next year.

We might well expect that the areas the departments and support units get their cash from to meet the 2002 challenge, will not be the same as the areas that they would take funds from on a permanent basis once they've had time to plan properly. The reality is the meter's ticking – we're one-third through the current fiscal year and this is a reduction that has to be accommodated during this fiscal year.

PRESENTATION ON BUDGET RESCISSION (contd)

Provost Ray: (contd)

Again, one of the ways we've tried to soften the immediate impact of the reduction is to borrow from the Rainy Day Fund. We understand that sometimes rainy days come in multiples, and so one of the things we'll have to address over the next months is the time profile for replenishing the Rainy Day Fund.

We've said nothing about non-General Funds units at this time. As we get into dealing with the continuing reductions and budgets, we may have to call on those units to help us meet the overall financial demands on the institution. We'll be back at the December Board meeting to provide you with even more precise details on the ways in which we intend to meet the FY 2002 targets.

While one prefers not to think about such things, it's important that we remind ourselves that there is at least the possibility of additional reductions in state support as the current economic situation plays itself out. If that becomes a reality, we'll be back with additional corrective action for the Board to consider.

To try to get a metric on one measure of the implications of a \$28 million cut – if one looks at average compensation plus benefits for faculty and staff on a continuing basis – it could translate, in terms of positions at the University, to something on the order of 500 - 600 positions. One of the reasons why we're dealing first with cash reductions this year -- and we're working with the colleges and support units to have specific long-term plans in place for next year -- is that one of the things that we're committed to doing is obviously meeting the budget reduction demands that surely will require the elimination of positions. We intend to do everything we can by eliminating vacancies by the normal attrition that creates opening in positions, by moving people from positions that are less critical into critical areas where we do have positions. We will do what we can to keep any layoffs that could result from this process to a minimum.

Finally, it's worth pointing out that the goal that we have of identifying on the order of \$35-45 million to meet all of our objectives for the budget consistent with the goals of the Academic Plan for Fiscal Year 2003. Those numbers that the President talked about this morning and in his Senate speech are not the same numbers that we're talking about here. They're consistent, and they're consistent in the sense that today we're talking about how do we deal with the in-process reduction in \$28 million across the entire University in cash, and we're mentioning that we'll be back with plans for going forward. But again, those plans for going forward involve not only meeting the demands of this rescission, but also the compensation goals that we have been very clear about, to the President and others, in all of our statements to the University and the broader community. That is what comprises that larger figure.

That concludes our comments at this point. We'd be happy to respond to any questions or concerns.

Mr. Shkurti:

Let me say one other thing. We also discussed this quite at length in the Fiscal Affairs Committee, and there was an outcome of that discussion that I just wanted to relay to everybody. I think the Committee advised us, and we're strongly supportive of that in doing these cuts, that although it's not pleasant, it's necessary, but we don't want to do it in a mindless way. We simply aren't going to ask the people that are left to work harder doing the same things. Everybody should be working hard already and they are.

PRESENTATION ON BUDGET RESCISSION (contd)

Mr. Shkurti: (contd)

What we will need to look at is some things that -- although they've historically been important to do and we've done them a certain way -- can we do them a different way, can we do them more efficiently, and are there things we can stop doing that aren't as important to protect the things that are? So I think that will be one of the hallmarks of the way we go about this. As Ed mentioned, the next important element is the response from the vice presidents and the deans to the President's Senate speech. We will be reviewing their long term plans for meeting the goals of the Academic Plan within this constrained fiscal environment.

It was a very important discussion in Fiscal Affairs and I thought it was important to share that with the Board.

Mr. Brennan:

Thank you. Any questions?

Mr. Patterson:

This was discussed in detail in the Fiscal Affairs Committee.

Mr. Brennan:

Before voting, I would like to commend both of you and your staffs for responding so quickly to an unfortunate set of circumstances. For whatever comfort it may give you, it's my understanding similar meetings are taking place all over the state with our sister universities having similar difficulties, which we hope are of short term and short duration. Again, I thank you, for the speedy and excellent work that you've done to balance our books at this time.

FY 2002 BUDGET RESCISSION

Resolution No. 2002-54

Synopsis: FY 2002 budget reduction plan is being submitted for adoption.

WHEREAS the State of Ohio has cut funding to Higher Education in FY 2002 resulting in a \$19.4 million (6.0%) reduction in the Columbus Campus State Share of Instruction and a \$28.2 million overall reduction in all line items for all Campuses; and

WHEREAS the University is currently preparing a budget reallocation plan to identify sufficient revenues to provide competitive merit based compensation increases to faculty and staff over the next several years; and

WHEREAS the distribution of the State budget cuts needs to be coordinated with the University's ongoing efforts to achieve the goals of the Academic Plan including the current compensation initiative; and

WHEREAS following appropriate University-wide consultation, a two-step plan has been developed to absorb the budget reduction:

NOW THEREFORE

BE IT RESOLVED, That the \$19.4 million reduction in State Share of Instruction for FY 2002 be addressed by the reallocation of one-time funds as described in the attached materials; and

FY 2002 BUDGET RESCISSION (contd)

BE IT FURTHER RESOLVED, That specific State funding line items including Regional Campuses, Research Challenge and Success Challenge and other line items will be reduced in FY 2002 by 6%; and

BE IT FURTHER RESOLVED, That the Board will adjust the FY 2002 resources and expenditure budgets to reflect specific changes at the December meeting when the first quarter budget report is completed.

(See Appendix XVIII for background information, page 557.)

Upon motion of Mr. Patterson, seconded by Mr. Sofia, the Board of Trustees adopted the foregoing resolution by unanimous roll call vote, cast by Messrs. Brennan, Patterson, Sofia, Slane, and Judge Duncan, and Meses. Longaberger and Hendricks.

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UNDERGRADUATE STUDENT GOVERNMENT REPORT

Mr. Eddie Pauline:

Good morning. I appreciate the opportunity to come and speak before you about the Undergraduate Student Government. My name is Eddie Pauline and I'm president of USG.

Ms. Melissa Koch:

My name is Melissa Koch, vice president of USG, and a finance major from Shaker Heights, Ohio.

Mr. Pauline:

One thing that Melissa and I have been working on since we were inaugurated last May is revitalizing USG. Over the years, it's become an organization that has actually been disconnected from the students and not really representative, so we decided to change that for the better.

First, we began by doing some internal bonding and rearrangement. This summer at the USG retreat all the members got together and became a little bit closer. Once that was established, we started a massive outreach to the rest of the campus informing them about what USG does, what power we do have, how we can represent them. In my opinion, it has been very successful. Activities like constructing the first USG Homecoming float in 20 years is something to be proud of in these times.

The other great initiative that we've begun is trying to work with Student Affairs, and adjusting the students to be more of a community. We find that the biggest problem with students at Ohio State is that they don't feel like they're part of a strong community and we want to change that. So in order to build community, USG is looking at two different things: how students live and where they live.

Three areas that we identified were: the residence halls, the Greek community, and the 6off-campus area. With the residence halls, we identified some issues with them. We're encouraging the administration to expand residence halls as well as specifically remove the residence halls with four people living in one room on North Campus. Bill Hall and Steve Kremer are working desperately to do that, and we encourage everyone to try to help in any way they can in order to create more space on campus.

UNDERGRADUATE STUDENT GOVERNMENT REPORT (contd)

Mr. Pauline: (contd)

We found that collaboration and teamwork with the administration has been our biggest asset this year. It's been much more productive for USG to work with everyone rather than against everyone and put up walls. So we've been able to accomplish a lot more.

The other area is with the Greek community. Melissa's been very active in working with the Greek community because she is Greek, but we are running into some roadblocks. We're very much in favor of the Greek community and advocating for them, but we do need to wait for the Greek community to help themselves if they want to truly succeed on this campus. We're with them, but they do need to help themselves in these times.

With the off-campus area – again, in collaboration with Student Affairs and, specifically, their off-campus strategies committee – we've been able to identify issues there. The Student and Educational Affairs Committee heard a report this morning from a couple of people that have been working very hard to make improvements.

One of our initiatives this year is developing a University District Plan, which we are excited about. In my opinion, this plan will be the Academic Plan of the off-campus area. It's going to identify all of the issues in the off-campus area, including organizations like Campus Partners, the community, and the people who live there. It will lay all the issues out and come up with strategies on how to most effectively deal with them in the long-term. So we're excited about that.

Some of the other initiatives include how we relate to each other, as far as an organization. We're trying to stress the way that we're being represented to the students. We've developed a committee called the Underrepresented Constituency Committee, which has strong relations with minorities on campus. There are 16 student liaisons to interact with international students, which we're very proud of. We think that it really encapsulates what the Diversity Action Plan is trying to do in representing everyone equally on campus.

The other big initiative that we started is an attempt to truly be representative of the student body. This has been a tough challenge because not all of the students agree with the opinions that we have. So we're identifying issues that we think are important in students' lives and broadcasting those more than we have before. In our opinion, we feel that if we can pick issues that affect people that may be biased in some ways, it's better than remaining unbiased and not representing anyone -- if that makes sense and we hope it does. We think we can be more effective in order for students to feel like they can connect to us in some ways. So if not everyone's happy at one time, then maybe the next time we make an announcement supporting something the other half will be happy. That's our plan.

Again, our University relations have been stronger than ever. We've been able to help reestablish the Ohio Union as a college union – again, we're working with Student Affairs to secure money for short-term and long-term renovations to that facility. We've been able to team up with Recreational Sports in order to build a Frisbee golf course, which will be built in this area by the Fawcett Center. Even in these times of severe budget constraints, we're still able to establish things that will be fun for everybody.

We've also been able to work with the Registrar's office and the CIO in order to extend the Student Services' web page to 24-hours a day. This way students can access the site at all times, instead of it shutting off at 10:00 p.m. We're also

UNDERGRADUATE STUDENT GOVERNMENT REPORT (contd)

Mr. Pauline: (contd)

working with Dr. Blackwell to reform the GECs, which is another big initiative that we have going.

Again, we are definitely on the upswing as a representative body for the students. We've established relationships with many of the administration in this room and many more. So we look forward to seeing what else we can accomplish this year before our term is up. Thanks.

Mr. Brennan:

Any questions? I am struck, Eddie, by the similarity between your challenge to please at least half the students and how similar that is to President Kirwan's challenge in this thing.

President Kirwan:

We work well together.

Mr. Pauline:

I think we do. On the back page of the handout, we have a picture of President Kirwan and I at the Underground Radio interview. Once in awhile we debate or talk about issues. I'm very proud of the relationship we've established and I commend the administration for taking our opinions seriously and involving us at the table for all decisions.

Mr. Brennan:

We appreciate your dedication and what you're doing. Thank you, Eddie and Melissa.

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Thereupon the Board adjourned to meet Thursday, December 6, 2001 at The Ohio State University Fisher College of Business, Columbus, Ohio.

Attest:

William J. Napier
Secretary

David L. Brennan
Chairman

**Waivers of Competitive Bidding Requirements
July-September 2001**

<u>Category</u>	<u>Total</u>	<u>Sole Source</u>	<u>Emergency</u>	<u>Sufficient Economic Reason</u>
Hospitals Merchandise for Resale	\$70,000	\$70,000	\$0	\$0
Hospitals - Professional Health Care Services	\$5,249,844	\$140,000	\$250,000	\$4,859,844
Hospitals - Equipment and Services	\$4,564,289	\$3,057,977	\$1,281,312	\$225,000
Instructional and Research Equipment and Services	\$6,149,131	\$1,096,839	\$107,944	\$4,944,348
Administrative Support Equipment and Services	\$2,193,318	\$1,469,638	\$389,296	\$334,384
Conference Facilities	\$86,879	\$0	\$0	\$86,879
TOTAL	\$18,313,461	\$5,834,454	\$2,028,552	\$10,450,455

(APPENDIX XV)

Physical Sciences Research Building**315-1999-195****PROJECT CAPTAIN:**

Barbara A. Koelbl, Facilities Planning & Development - Architecture, 292-1521

REQUESTING AGENCY:

College of Math and Physical Sciences

PROJECT LOCATION:

0070-PHYSICAL SCIENCES RESEARCH FACILITY

AGE:

2001

GR. SQ. FT.:**PROJECT DESCRIPTION:**

Provide new or renovated facilities for the Department of Physics

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	11/1/1996		11/1/1996
Bidding Approved B/T	11/1/1998	2/5/1999	2/5/1999
Arch/Engr Contract			5/15/1998
Schematic Design Approval	1/1/1998	6/1/2000	9/27/2000
Design Development Approval	6/1/1998	3/15/2001	3/16/2001
Construction Document Approval	1/1/1999	12/7/2001	
Bid Opening	3/1/1999	1/24/2002	
Award of Contracts	5/15/1999	4/25/2002	
Construction Start	6/1/1999	5/6/2002	
Report of Award to B/T	6/1/1999	6/7/2002	
Completion	6/1/2001	5/6/2004	

FUNDING INFORMATION:

Centrally Funded Capital Projects	\$ -	\$ 1,940,615	\$ -
Future Capital Appropriations	26,000,000	0	
HB640 Line Item Appropriation	0	26,000,000	
HB748 Columbus Line Items	4,000,000	4,000,000	
HB850 Line Item Appropriation	20,000,000	20,000,000	
HB870 Columbus Line Item	0	0	
Repair & Renovation Fiscal Yr 01-02	0	23,900	
Total	\$ 50,000,000	\$ 51,964,515	\$ -

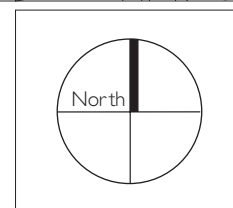
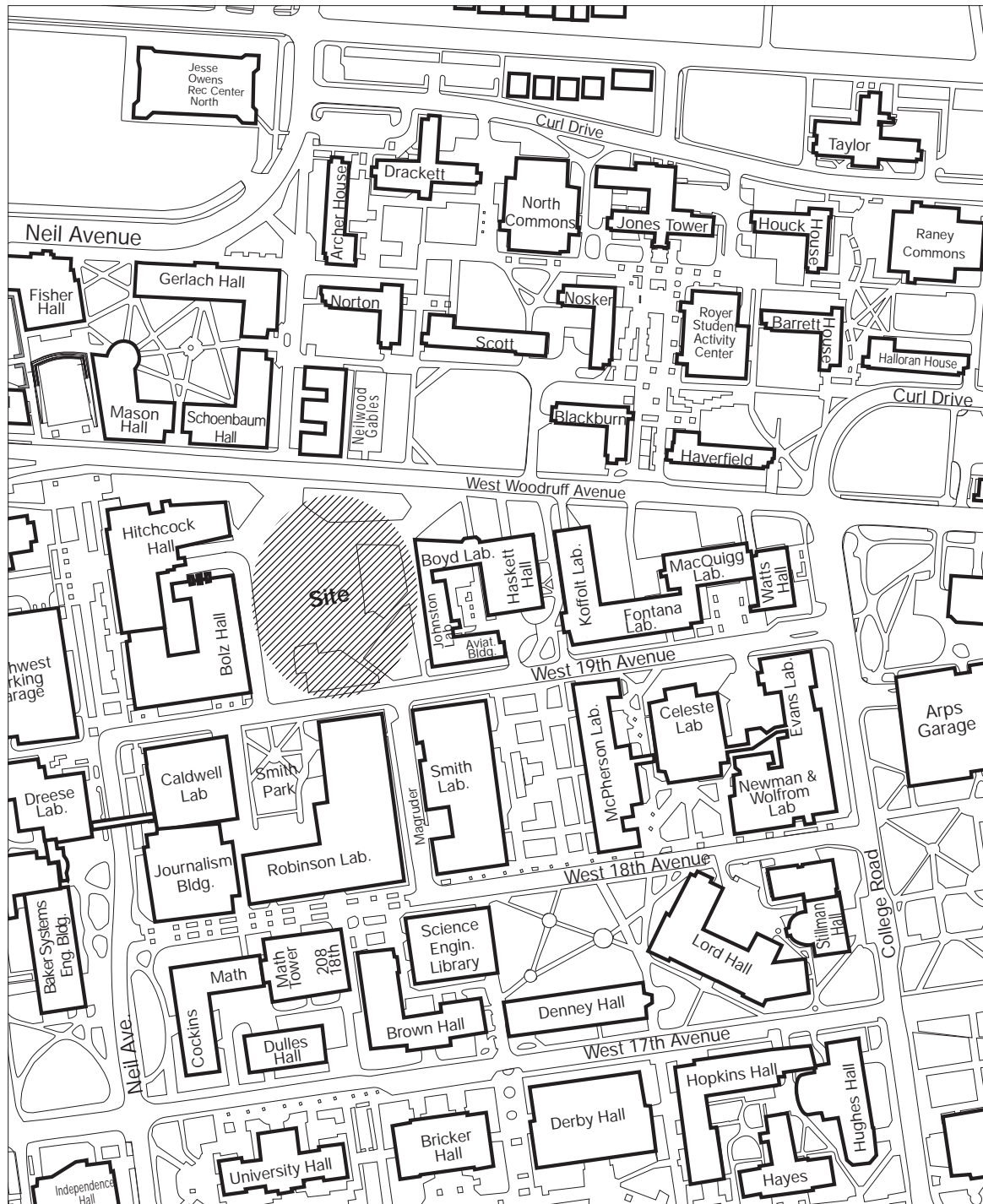
USES:

% of Art	\$ 460,000	\$ 460,000	
Asbestos	\$ 150,000	\$ 29,500	
Constr. Mgr.	\$ -	\$ 1,000,000	
Construction	40,890,000	40,852,500	
Contingency	2,178,000	2,284,744	
Demolition	0	158,000	
Design	3,967,850	4,702,765	
Equipment	1,842,400	1,960,000	
State Architect	511,750	157,080	
Univ. Administration	0	359,926	
Total	\$ 50,000,000	\$ 51,964,515	\$ -

COMMENTS:

Academic siting study completed. Site selected is Welding Engineering site. The Welding Engineering Building has been demolished.

Physical Sciences Research Building



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

Marion Campus - Student Housing

315-2001-926

PROJECT CAPTAIN:

Craig C. Henry, Facilities Planning & Development - Architecture, 292-2156

REQUESTING AGENCY:

Student Affairs

PROJECT LOCATION:

V0010-Site - See Comments

AGE:

GR. SQ. FT.:

PROJECT DESCRIPTION:

Design and construct a facility to provide on-campus student housing which is proposed to include 4-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking, and laundry on the Marion Campus.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	11/2/2001		
Bidding Approved B/T	9/6/2002		
Arch/Engr Contract	2/28/2002		
Schematic Design Approval	7/31/2002		
Design Development Approval	11/29/2002		
Construction Document Approval	3/31/2003		
Bid Opening	5/15/2003		
Award of Contracts	6/23/2003		
Construction Start	6/30/2003		
Report of Award to B/T	7/1/2003		
Completion	7/30/2004		

FUNDING INFORMATION:

Future Univ. Bond Issues	\$	7,045,753	\$	-	\$	-
Total	\$	7,045,753	\$	-	\$	-

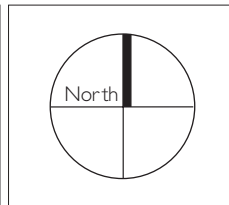
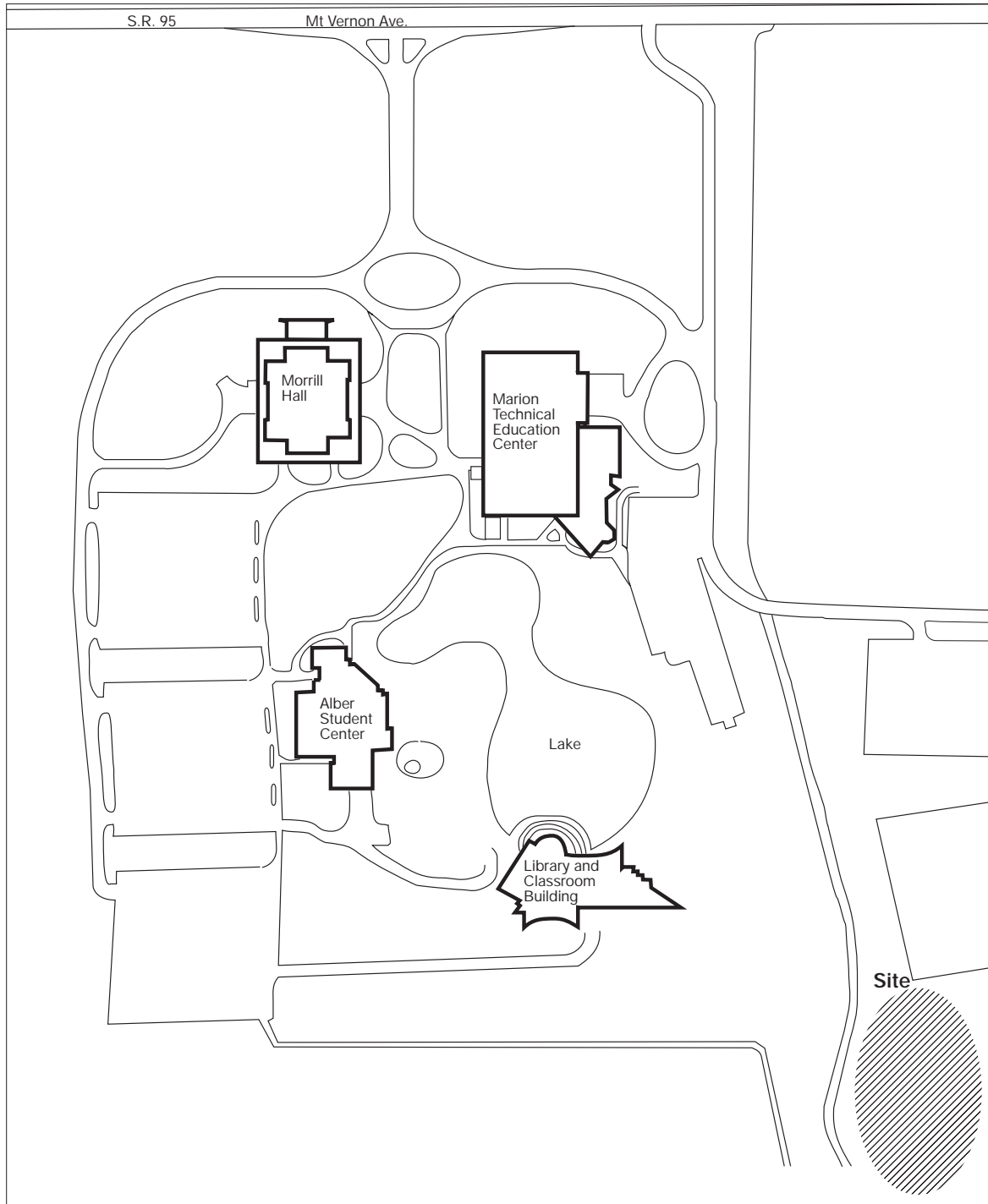
USES:

Bond Financing	\$	315,011	\$	-		
Constr. Mgr.		262,509				
Construction		5,250,190				
Contingency		262,509				
Design		367,513				
Equipment		525,019				
Univ. Administration		63,002				
Total	\$	7,045,753	\$	-	\$	-

COMMENTS:

Authorization to employ a construction manager requested at the November 2, 2001 Board of Trustees meeting. Site to be east of the drainage creek and south of the existing parking lots.

Marion Student Housing



Office of Business and Finance
Office of the University Architect and Physical Planning

October 12, 2001

Newark Campus - Student Housing

315-2001-925

PROJECT CAPTAIN:

Craig C. Henry, Facilities Planning & Development - Architecture, 292-2156

REQUESTING AGENCY:

Student Affairs

PROJECT LOCATION:

V0010-Site - See Comments

AGE:

GR. SQ. FT.:

PROJECT DESCRIPTION:

Design and construct a facility to provide on-campus housing which is proposed to include 4-person suites including bathrooms and kitchens, common living areas, main lobby, recreation room, computer center, classrooms, support offices and apartments, parking and laundry.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	11/2/2001		
Constr Mgr Approved B/T	11/2/2001		
Bidding Approved B/T	9/6/2002		
Arch/Engr Contract	2/28/2002		
Schematic Design Approval	7/31/2002		
Design Development Approval	11/29/2002		
Construction Document Approval	3/31/2003		
Bid Opening	5/15/2003		
Award of Contracts	6/23/2003		
Construction Start	6/30/2003		
Report of Award to B/T	7/11/2003		
Completion	7/30/2003		

FUNDING INFORMATION:

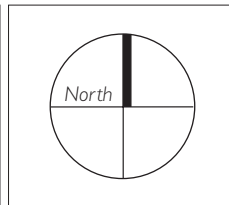
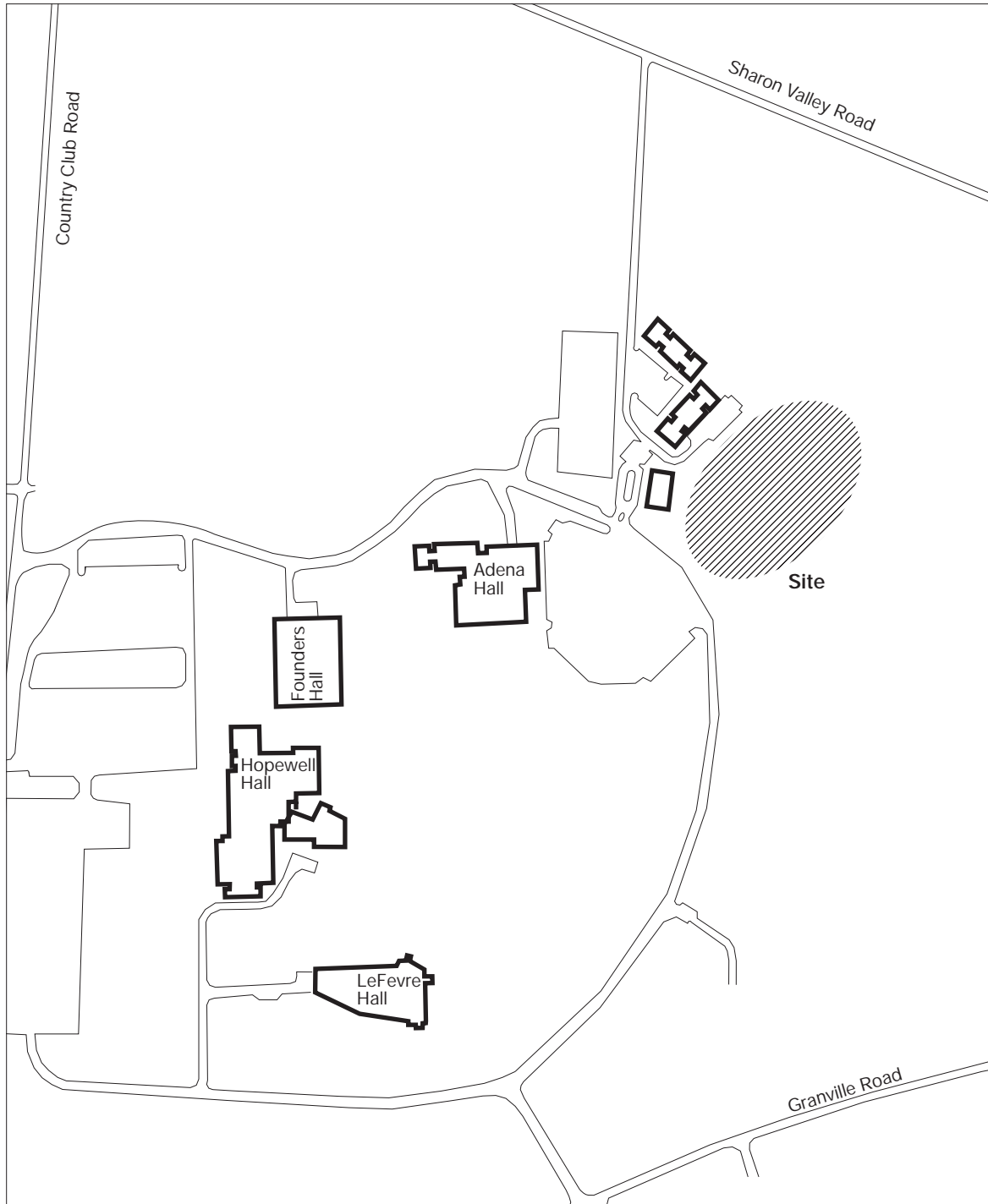
Future Univ. Bond Issues	\$	9,915,473	\$	-	\$	-
Total	\$	9,915,473	\$	-	\$	-

USES:

Bond Financing	\$	443,315	\$	-		
Constr Mgr		369,429				
Construction		7,388,578				
Contingency		369,429				
Design		517,201				
Equipment		738,858				
University Administration		88,663				
Total	\$	9,915,473	\$	-	\$	-

COMMENTS:

Newark Student Housing



Office of Business and Finance
Office of Facilities Planning and Development

October 12, 2001

PROJECT CAPTAIN:

Charles E. Hamilton, Facilities Planning & Development - Architecture, 292-0997

REQUESTING AGENCY:

Newark Campus and Central Ohio Technical College

PROJECT LOCATION:

V0010-Site - See Comments.

AGE:

GR. SQ. FT:

0

PROJECT DESCRIPTION:

Construct an instructional technology center to support the academic mission, provide student gathering/activity spaces, and serve as a conference center for the community.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	10/1/1999		10/1/1999
Bidding Approved B/T	12/3/2000	11/2/2001	
Arch/Engr Contract	1/1/2000		6/20/2000
Schematic Design Approval	6/1/2000	1/31/2001	1/31/2001
Design Development Approval	9/1/2000	5/31/2001	
Construction Document Approval	3/1/2001	11/15/2001	
Bid Opening	4/1/2001	12/15/2001	
Award of Contracts	6/15/2001	3/15/2002	
Construction Start	7/1/2001	3/15/2002	
Report of Award to B/T	6/1/2001	4/1/2002	
Completion	12/31/2002	7/15/2003	

FUNDING INFORMATION:

Central Ohio Technical College	\$ 2,266,530	\$	\$
Development-Newark	7,623,000		
Future Capital Appropriations	3,445,050		
Total	\$ 13,334,580	\$	\$

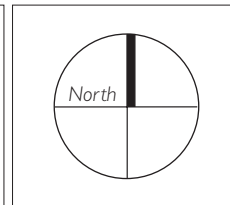
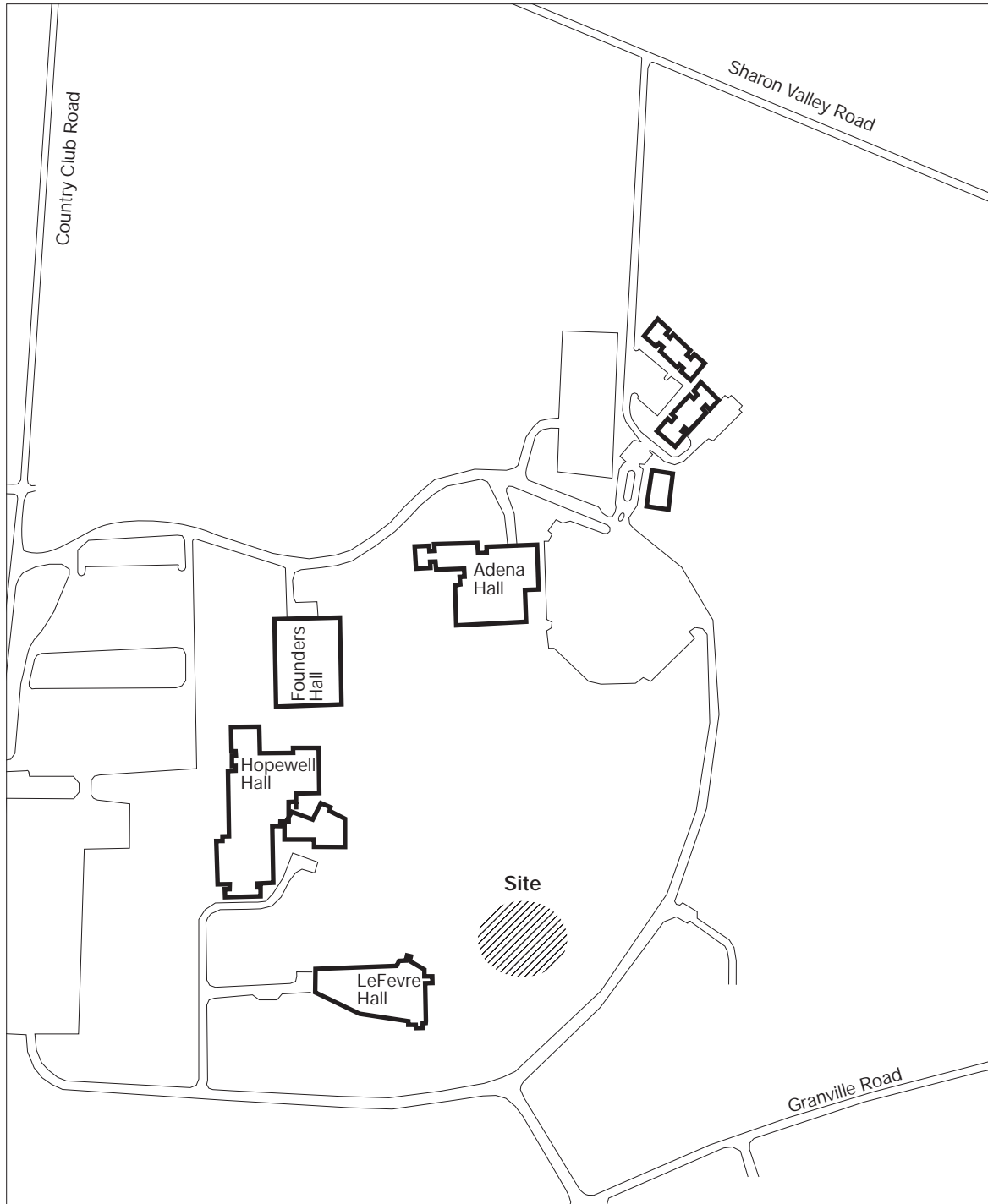
USES:

Construction	\$ 11,234,441	\$	\$
Contingency	561,722		
Design	986,741		
Equipment	500,000		
Univ. Administr	51,676		
Total	\$ 13,334,580	\$	\$

COMMENTS:

Site anticipated to be east of LeFevre Hall.

Newark Campus - Reese Center



Office of Business and Finance
Office of Facilities Planning and Development

October 10, 2001

PROJECT CAPTAIN:

Charles E. Hamilton, Facilities Planning & Development - Architecture, 292-0997

REQUESTING AGENCY:

Social and Behavioral Sciences

PROJECT LOCATION:

V0010-Site - See Comments.

AGE:

GR. SQ. FT:

PROJECT DESCRIPTION:

Construct a new facility for the Department of Psychology.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	10/1/2001	11/1/2001	
Bidding Approved B/T	9/1/2002		
Arch/Engr Contract	4/15/2002	5/15/2002	
Schematic Design Approval	2/1/2003	3/1/2003	
Design Development Approval	5/15/2003	6/15/2003	
Construction Document Approval	12/15/2003	1/15/2004	
Bid Opening	1/15/2004	2/15/2004	
Award of Contracts	3/15/2004	4/15/2004	
Construction Start	3/15/2004	4/15/2004	
Report of Award to B/T	4/1/2004	5/1/2004	
Completion	1/15/2006	2/15/2006	

FUNDING INFORMATION:

Future Capital Appropriations	\$ 32,000,000	\$	\$
HB640 Line Item Appropriation	3,000,000		
Total	\$ 35,000,000	\$	\$

USES:

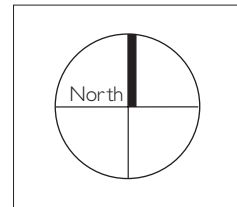
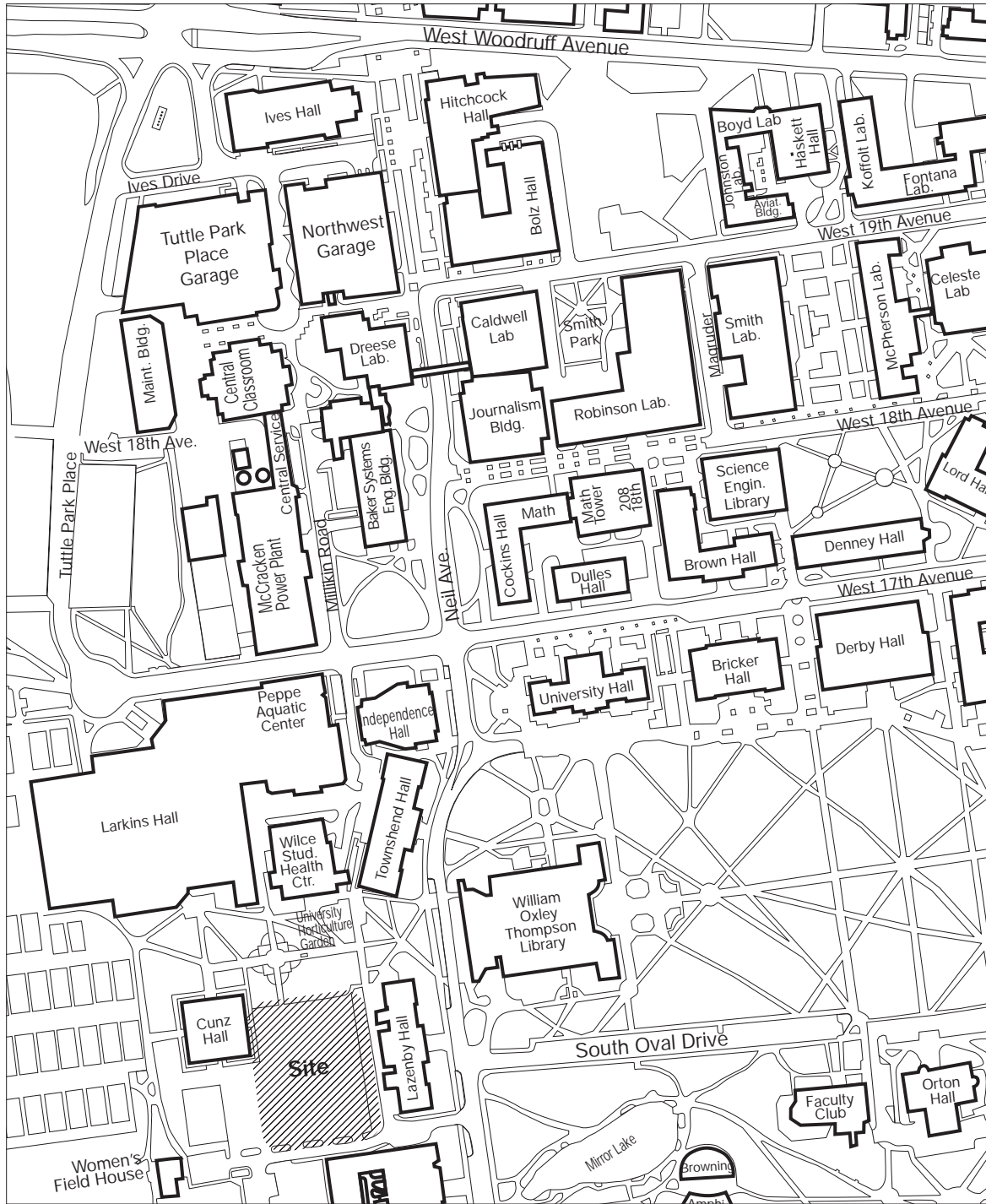
% of Art	\$ 346,593	\$	\$
Constr. Manager	1,750,282		
Construction	25,004,033		
Contingency	2,798,270		
Design	2,250,363		
Equipment	2,500,403		
State Architect	50,000		
Univ. Administration	300,056		
Total	\$ 35,000,000	\$	\$

COMMENTS:

Site to be east of Cunz and west of Lazenby.

Local administration of this project granted by the Ohio Board of Regents and the Department of Administrative Services on August 29, 2000.

Psychology Building



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

Ohio Union Renovation and Addition

315-2001-931

PROJECT CAPTAIN:

Marjory Trishman Spangler, Facilities Planning & Development - Architecture, 292-5704

REQUESTING AGENCY:

Student Affairs

PROJECT LOCATION:

0058-OHIO UNION

AGE:

1951

GR. SQ. FT.:

222,740

PROJECT DESCRIPTION:

Construction and addition of approximately 35,000 square feet including asbestos abatement, upgrade or replacement of plumbing, electrical and HVAC systems, fire alarm system and sprinkler upgrades, and ADA compliance.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	11/2/2001		
Constr Mgr Approved B/T	11/2/2001		
Bidding Approved B/T	7/1/2003		
Schematic Design Approval	7/1/2002		
Design Development Approval	12/1/2002		
Construction Document Approval	7/2/2003		
Bid Opening	8/15/2003		
Award of Contracts	10/15/2003		
Construction Start	10/30/2003		
Report of Award to B/T	11/1/2003		
Completion	1/30/2005		

FUNDING INFORMATION:

Central Funding	\$ 10,000,000	\$ -	\$ -
Future Univ. Bond Issues	17,200,000		
HB850 Columbus Basic Renovation	750,000		
Auxiliaries-Student Affairs	13,800,000		
Auxiliaries-Unions	1,000,000		
General Funds-Student Affairs	7,500,000		
Total	\$ 50,250,000	\$ -	\$ -

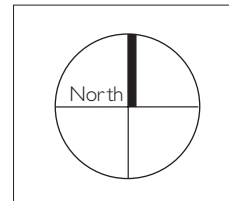
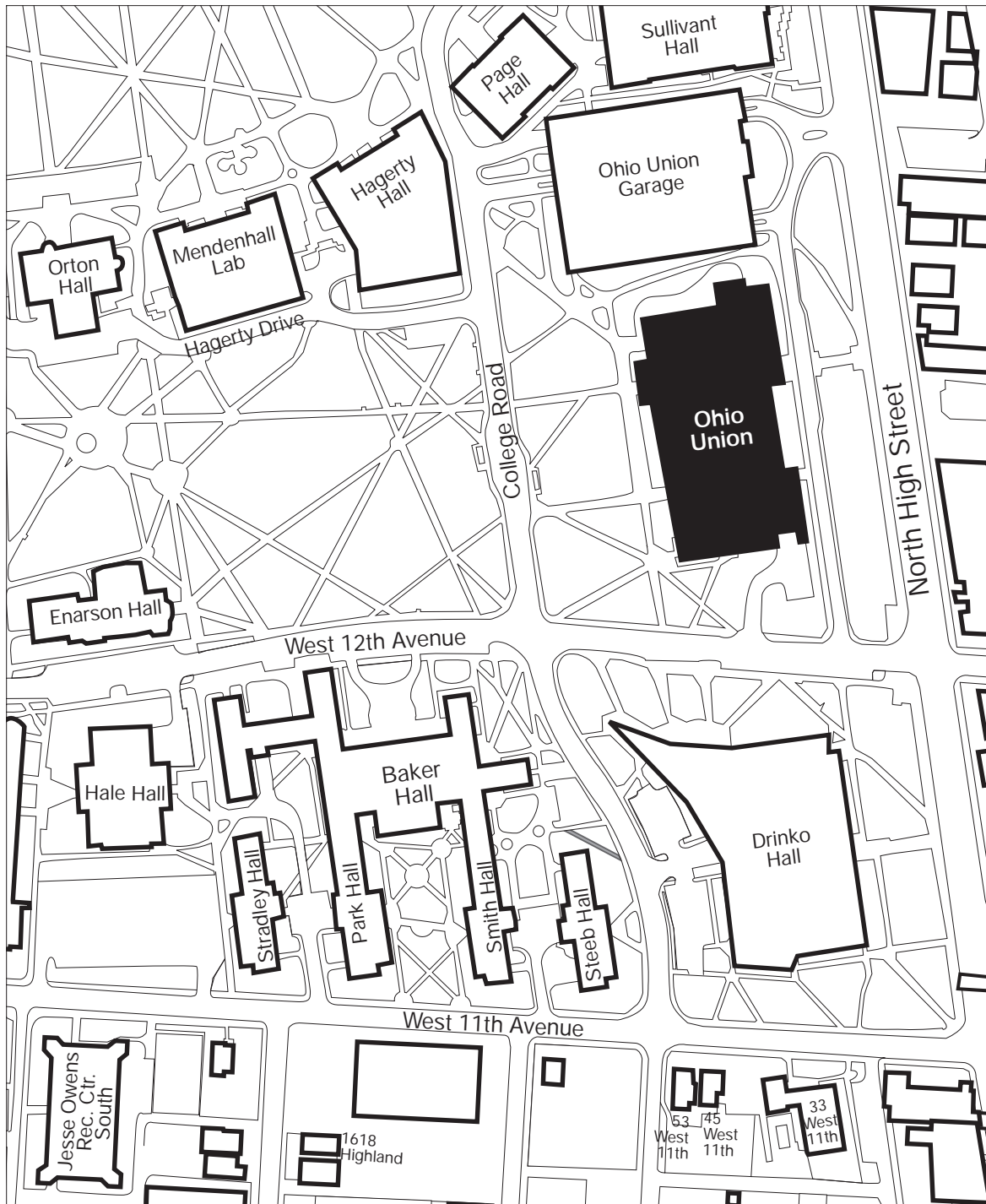
USES:

Bond Financing	\$ 1,474,200	\$ -	
Constr. Manager	2,471,685		
Construction	35,309,782		
Contingency	3,791,138		
Design	3,177,880		
Equipment	3,530,978		
APO Administration	494,337		
Total	\$ 50,250,000	\$ -	\$ -

COMMENTS:

Original project estimated at \$62,000,000 as noted in the 1994 Annual Report was for the complete renovation and addition to the existing facility. A referendum to fund this was rejected by the students. The project is now a renovation and addition to the existing facility at an anticipated project cost of \$50,250,000.

Ohio Union Renovation and Addition



Office of Business and Finance
Office of Facilities Planning and Development

October 12, 2001

Automated Transport System for Univ Med Ctr-Ph I

315-2000-900

PROJECT CAPTAIN:

Charles E. Hamilton Facilities Planning & Development - Architecture, 292-0997

REQUESTING AGENCY:

University Hospitals

PROJECT LOCATION:

V0001-Various Locations-Columbus

AGE:

GR. SQ. FT.:

PROJECT DESCRIPTION:

Renovations associated with the replacement of an automated material handling system.

MILESTONES:	Projected	Revised	Actual
Arch/Eng Approved B/T	12/3/1999		12/3/1999
Bidding Approved B/T	12/3/1999		12/3/1999
Arch/Engr Contract	3/14/2001		3/19/2001
Construction Document Approval	7/1/2000	10/10/2001	
Bid Opening	8/1/2000	12/11/2001	
Construction Start	9/1/2000	1/22/2002	
Report of Award to B/T	12/1/2000	2/1/2002	
Completion	9/1/2002	1/1/2003	

FUNDING INFORMATION:

Auxiliaries-University Hospitals	\$ 950,000	\$ 4,187,175	\$ -
Total	\$ 950,000	\$ 4,187,175	\$ -

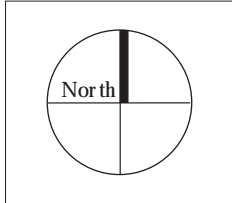
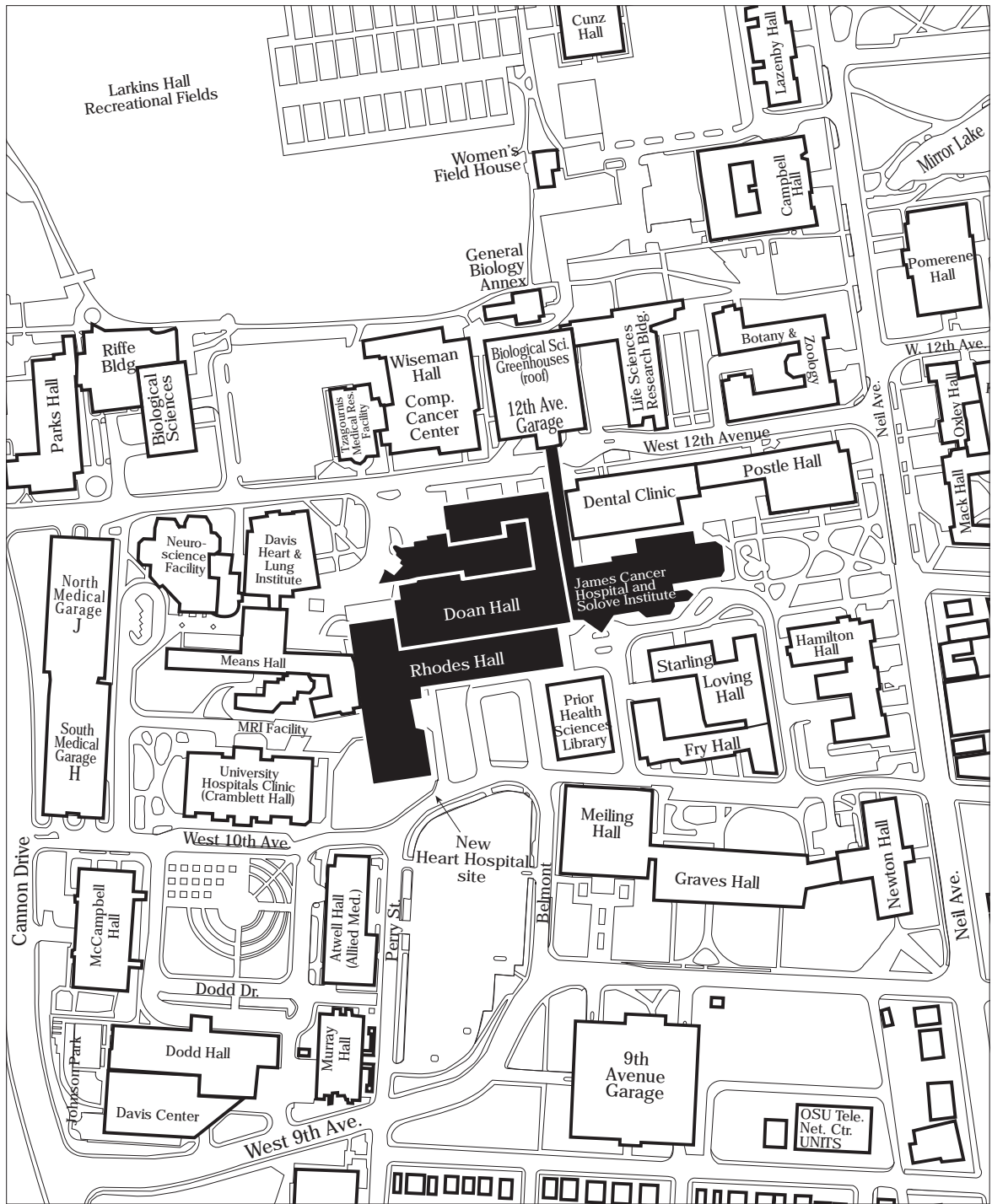
USES:

Asbestos	\$ 50,000	\$ 50,000	
Construction	750,000	3,227,786	
Contingency	53,750	327,779	
Design	85,000	542,277	
Univ. Administration	11,250	39,333	
Total	\$ 950,000	\$ 4,187,175	\$ -

COMMENTS:

Increased project budget and scope presented to the Board of Trustees for approval on 6/29/01. Extension of Automated Guided Vehicle System into additional spaces in James and Doan Halls, requiring renovation of additional space in elevator lobbies and extension of new service required for Doan 1st, 2nd, and 3rd floors. Additional services of the Associate Architect to include full-time construction administration. Increased construction administrative services of AGVS equipment consultant. Life safety modifications requested by State Fire Marshall's review. Inclusion of AGVS contract of the demolition of the Dish Processing area in Rhodes Hall basement and the relocation and renovation of the 1st floor of Rhodes Hall for the new Dish Processing area. Results of testing indicated additional asbestos abatement requirements and the replacement of flooring in Doan/Rhodes and The James Cancer hospitals.

Automated Transport System for University Medical Center Phase I



Office of Business and Finance
Office of Facilities Planning and Development

October 10, 2001

Union County Large Animal Clinic Facility

315-2001-906

PROJECT CAPTAIN:

John C. Frazier, Facilities Planning & Development - Architecture, 292-0958

REQUESTING AGENCY:

College of Veterinary Medicine

PROJECT LOCATION:

V0010-Site - See Comments

AGE:

GR. SQ. FT.:

PROJECT DESCRIPTION:

Create a new Large Animal Clinic that will replace the existing clinic on Milford Road in Marysville. The new clinic will provide large animal surgical and support space; administrative office, doctor's office, and office support space; graduate student living and sleeping space, all of which will be assigned to the College of Veterinary Medicine for its Marysville Large Animal Clinic program. Adequate non-assignable space shall be provided for a public restroom and custodial functions as well as a mechanical room to house the furnace, hot water tank, radio control, phone control, and electrical panel functions. Additional parking spaces will also be provided. Sufficient outdoor space will be provided as a part of the project as there are special needs associated with loading and unloading large animals on the site.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	11/2/2001		
Bidding Approved B/T	11/2/2001		
Bid Opening	6/1/2002		
Construction Start	7/1/2002		
Report of Award to B/T	8/1/2002		
Completion	4/1/2003		

FUNDING INFORMATION:

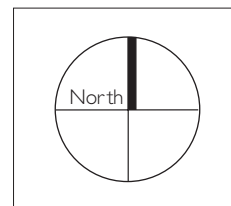
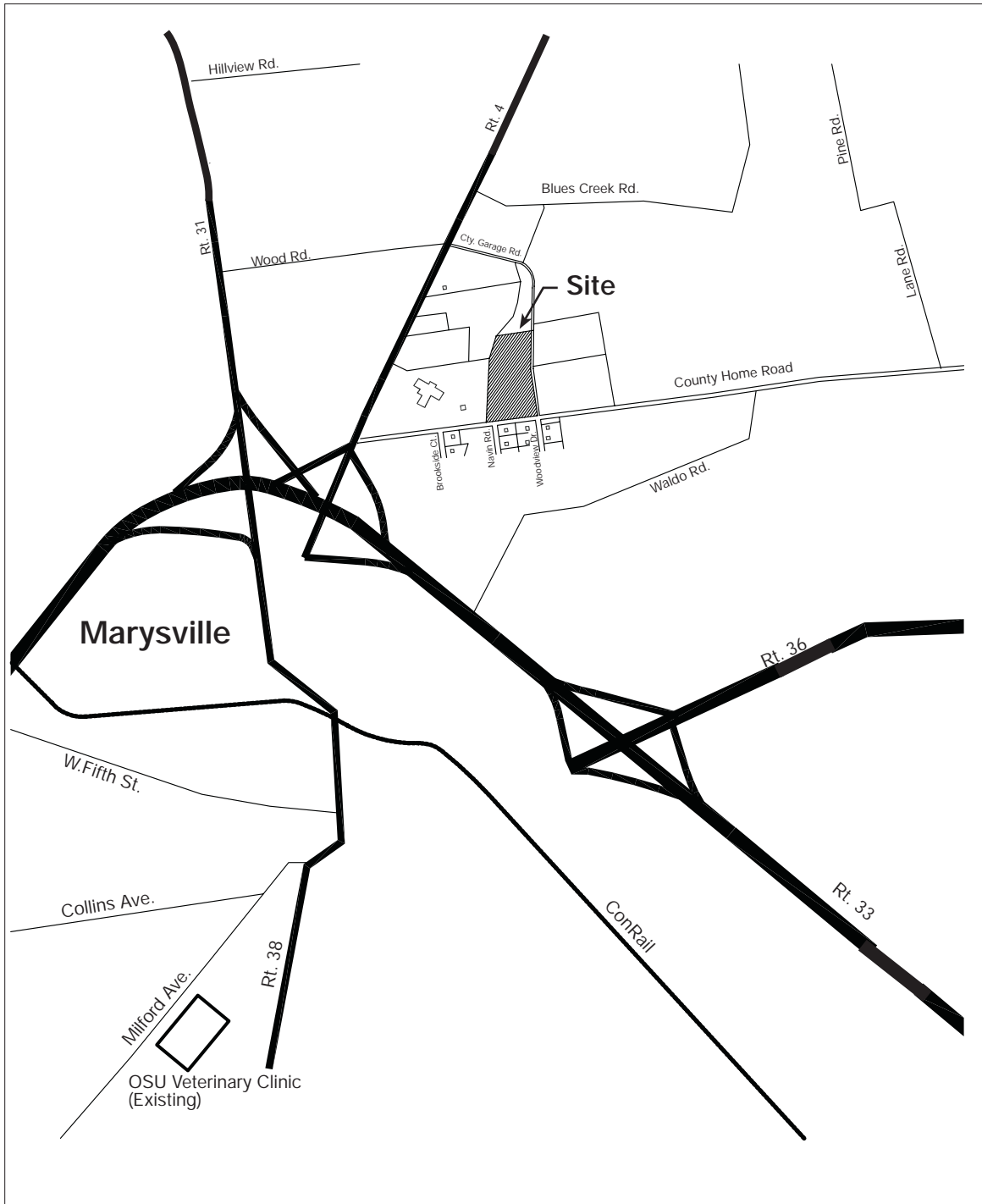
Treasurer's Debt Service Pool Loan	\$ 800,000	\$ -	\$ -
General Funds-Veterinary Medicine	600,000		
Total	\$ 800,000	\$ -	\$ -

USES:

Construction	\$ 1,000,000	\$ -	
Contingency	100,000		
Design	200,000		
Equipment	85,000		
Univ. Administration	15,000		
Total	\$ 1,400,000	\$ -	\$ -

COMMENTS:

Union County Large Animal Clinic Facility



Office of Business and Finance
Office of Facilities Planning and Development

October 10, 2001

Life Sciences Research Building

315-1993-036

PROJECT CAPTAIN:

Steve Middleton, Facilities Planning & Development - Architecture, 688-3576

REQUESTING AGENCY:

College of Biological Sciences

PROJECT LOCATION:

0131-LIFE SCIENCES RESEARCH BUILDING

AGE:

1999

GR. SQ. FT.:

PROJECT DESCRIPTION:

Construct a building of approximately 123,000 gross square feet of laboratory and office space for three departments: Zoology, Entomology, and Plant Biology.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	4/2/1993		4/2/1993
Bidding Approved B/T	4/2/1993	11/1/1996	11/1/1996
Arch/Engr Contract	4/20/1996	11/21/1996	4/3/1997
Schematic Design Approval	7/20/1996	2/15/1998	6/18/1998
Design Development Approval	9/20/1996	6/15/1998	10/23/1998
Construction Document Approval	12/15/1996	11/5/1999	11/5/1999
Bid Opening	1/15/1997	8/1/2001	8/1/2001
Award of Contracts	3/15/1997	9/28/2001	
Construction Start	4/1/1997	10/1/2001	
Report of Award to B/T	4/1/1997	11/2/2001	
Completion	1/1/1999	5/24/2003	

FUNDING INFORMATION:

Centrally Funded Capital Projects	\$ -	\$ 703,752	\$ -
Future Univ. Bond Issues	0	2,300,000	
Future Capital Appropriations	18,300,000	0	
HB748 Columbus Line Items	0	21,840,556	
HB904 Columbus Line Items	800,000	800,000	
Repair & Renovation Fiscal Yr 00-01	0	361,967	
Total	\$ 19,100,000	\$ 26,006,275	\$ -

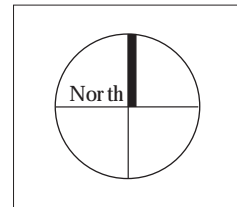
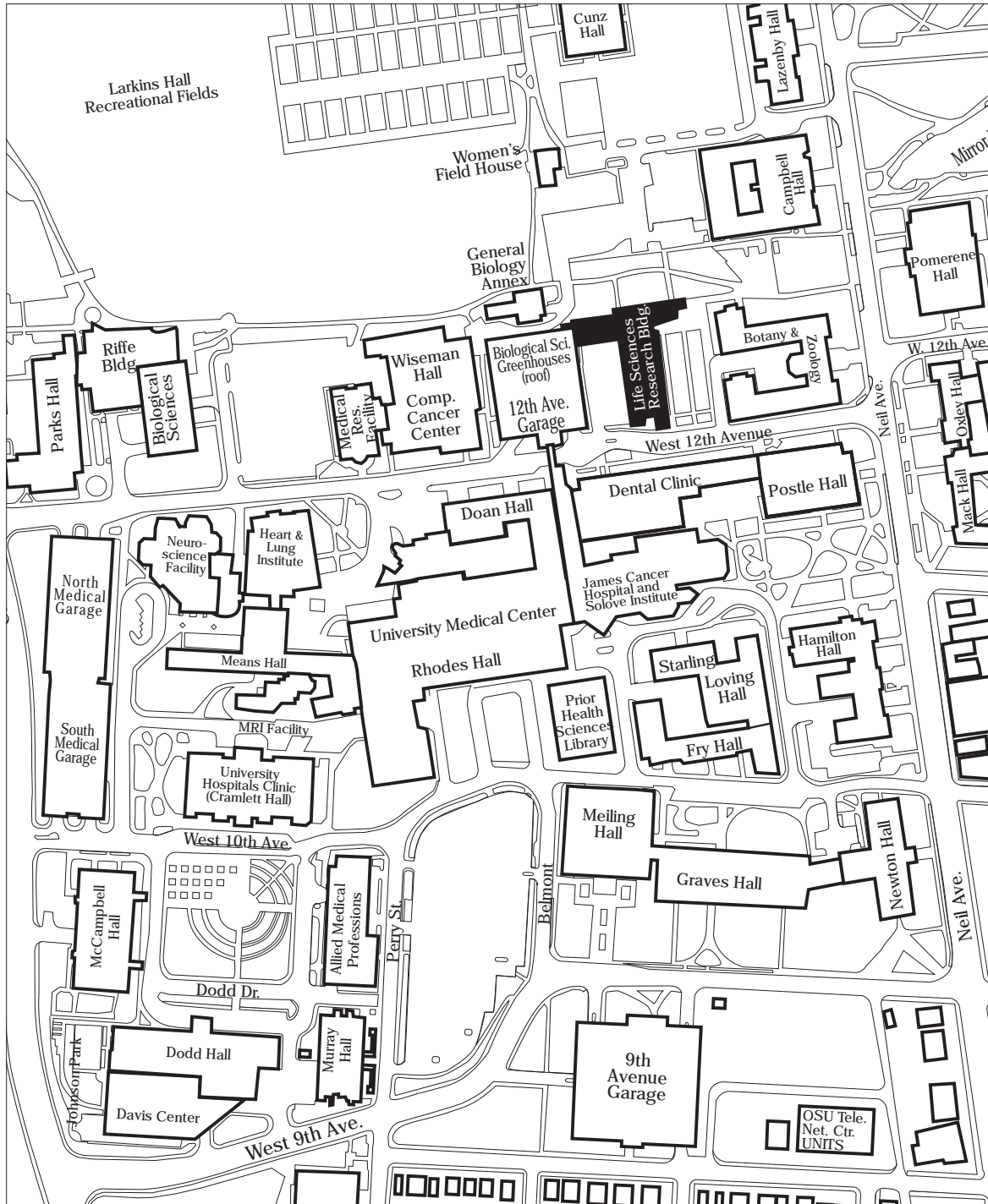
USES:

% of Art	\$ -	\$ 218,170	
Construction	15,300,000	0	
Contingency	1,000,000	1,768,886	
Design	1,404,375	2,022,370	
Electric	0	2,347,200	
Equipment	1,200,000	1,400,560	
General	0	11,670,000	
HVAC	0	3,959,493	
Plumbing	0	2,095,000	
Site Steam	0	266,000	
State Architect	195,625	258,596	
Total	\$ 19,100,000	\$ 26,006,275	\$ -

COMMENTS:

Project was placed on hold until construction funds had been prioritized in the 1997-1998 capital plan due to technological needs of the building. Project budget increased to reflect current anticipated construction costs. Site is between Botany and Zoology Building and the 12th Avenue Parking Garage.

Life Sciences Research Building



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

Postle Hall Research Labs Renovation

315-1999-907

PROJECT CAPTAIN:

Patrick Cuthbert, Facilities Planning & Development, 292-3186

REQUESTING AGENCY:

College of Dentistry

PROJECT LOCATION:

0024-POSTLE HALL, WENDELL D

AGE:

1950

GR. SQ. FT.:

279,254

PROJECT DESCRIPTION:

Convert fourth floor clinical facilities (4010/4038 complex) into 4 generic research labs, providing mechanical, electrical, communications and plumbing upgrades; replace ceiling, lighting, and flooring; and repainting. The College of Dentistry funded the additional monies required to accept an alternate to upgrade second floor lab (2214 suite).

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	9/1/1999		9/1/1999
Bidding Approved B/T	9/1/1999		9/1/1999
Arch/Engr Contract	9/6/1999		10/1/1999
Schematic Design Approval	10/15/1999	12/20/1999	3/15/2000
Design Development Approval	12/1/1999	1/3/2000	9/15/2000
Construction Document Approval	2/1/2000	4/13/2001	4/26/2001
Bid Opening	3/15/2000	6/1/2001	8/15/2001
Award of Contracts	5/1/2000	10/14/2001	
Construction Start	5/5/2000	10/15/2001	
Report of Award to B/T	6/2/2000	12/1/2001	
Completion	9/1/2000	2/18/2002	

FUNDING INFORMATION:

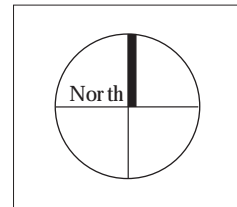
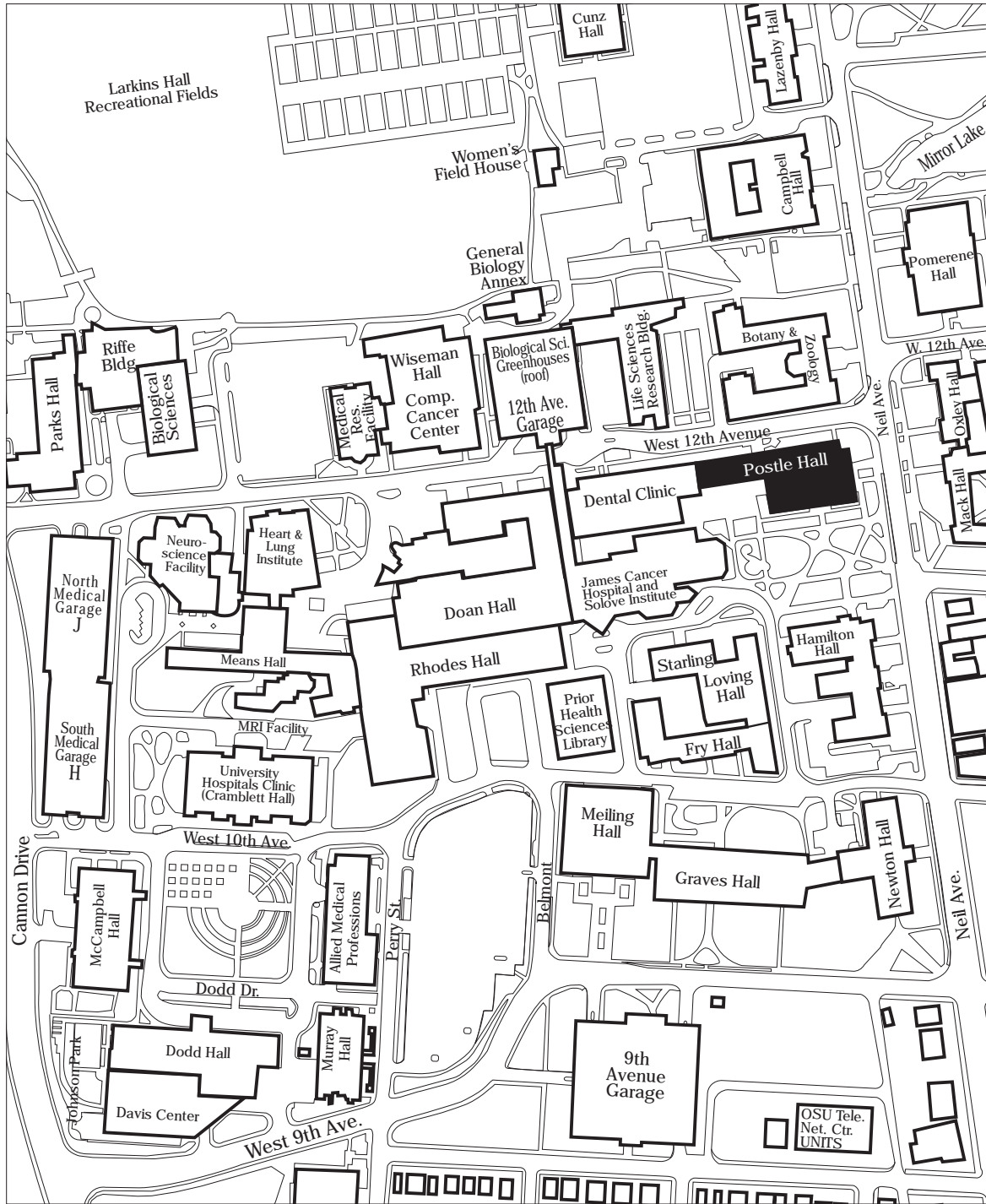
HB850 Columbus Basic Renovation	\$ 1,000,000	\$ 1,000,000	\$ -
Development-Dentistry	0	53,566	-
Total	\$ 1,000,000	\$ 1,053,566	\$ -

USES:

Asbestos	\$ 7,796	\$ 7,796	
Construction	\$ 785,400		
Contingency	99,600	87,185	
Design	103,219	81,450	
Electric	66,117	91,007	
General	430,700	430,700	
HVAC	189,350	189,350	
Plumbing	153,000	153,000	
Univ. Administration	11,781	13,078	
Total	\$ 1,846,963	\$ 1,053,566	\$ -

COMMENTS:

Postle Hall Research Lab Renovation



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

SALE OF REAL PROPERTY

3080 EAST MAIN STREET
COLUMBUS, OHIO 43209

Location and Description

The property consists of approximately 0.552 acres located at 3080 East Main Street, west of James Road in Columbus, Ohio. The property contains a one-story, brick medical office building of approximately 3,160 square feet.

The Board of Trustees of The Ohio State University purchased the property with Medical Center funds in 1987 (as part of a larger purchase of eight Humana Incorporated facilities) to operate a MedOhio facility. In July 2001, to better serve the east Columbus area market, the University Medical Center relocated the MedOhio facility to Reynoldsburg in a leased building at 7094 East Main Street.

Appraisal and Purchase Price

An MAI appraisal concluded in August 2001 by Wilhelm & Associates, valued the property between \$348,000.00 and \$380,000.00. Bexley Medical Building, LLC has offered to purchase the property at a price of \$370,000.00. Closing costs paid by The Ohio State University Medical Center are estimated at \$14,000, which includes a 3% real estate commission to Barnett Real Estate.

Sale of 3080 East Main Street Columbus, Ohio



No True Scale

Office of Business and Finance
Board of Trustees Meeting
November 2, 2001

Map Provided by University Engineer's Office

 Property to be sold

**The Ohio State University Board of Trustees
Fiscal Affairs Committee
November 2, 2001**

Interim Financial Plan for FY 2002

Scope of the Problem

6% rescission to annual appropriations for FY 2002:

State Share of Instruction for Columbus Campus	\$19.4M
Regional Campuses, ATI/OARDC	3.9M
All other line items	<u>4.9M</u>
	\$28.2M

Proposed Solutions

Reductions in line items will be absorbed
by the effected units

\$8.8M

Reductions in State Share of Instruction
will be absorbed as follows:

Rainy Day Fund	\$5.0M
Central Budgets	6.0
Support Units (2%) ¹	3.8
Colleges (1.25%)	<u>4.6</u>
Total	\$19.4M

¹ Percent of FY 2002 General Funds Budget Authority

Implications and Additional Considerations

- Student Financial Aid distributions will be protected.
- These are one-time funds only. Permanent reductions will need to be addressed as part of FY 2003 budget process.
- Decision on replenishment of Rainy Day Fund will be made later this fiscal year.
- Non-general fund units may be asked to contribute at a later date.
- Board will be asked to approve budget detail at the December 6th meeting.
- This plan addresses current reductions announced by Governor Taft only. If additional reductions in state support are implemented, additional corrective action will be necessary.
- \$28 million is the equivalent of between 500-600 full time positions across all campuses.
- NOTE: The figures above differ from those laid out in President Kirwan's October 11th speech, but are consistent with it. The figures in President Kirwan's speech dealt with redirection of funds for compensation increases in addition to state budget reductions anticipated in FY 2003, but for the Columbus Campus only. The figures above deal with state budget rescissions for FY 2002 only and apply to all campuses.

Office of Academic Affairs
Office of Business and Finance
10/31/01

THE OHIO STATE UNIVERSITY
OFFICIAL PROCEEDINGS OF THE
ONE THOUSAND THREE HUNDRED AND SEVENTY-EIGHTH MEETING
OF THE BOARD OF TRUSTEES

Columbus, Ohio, December 6, 2001

The Board of Trustees met at its regular monthly meeting on Thursday, December 6, 2001, at The Ohio State University Fisher College of Business, Columbus, Ohio, pursuant to adjournment.

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Minutes of the last meeting were approved.

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December 6, 2001 meeting, Board of Trustees

The Vice Chairman, Mr. Patterson, called the meeting of the Board of Trustees to order on December 6, 2001, at 10:40 a.m. He requested the Secretary to call the roll.

Present: James F. Patterson, Vice Chairman, Zuheir Sofia, Tami Longaberger, Daniel M. Slane, Robert M. Duncan, Karen L. Hendricks, Dimon R. McFerson, Jo Ann Davidson, Kevin R. Filiatraut, and Joseph A. Shultz.

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PRESIDENT'S REPORT

President William E. Kirwan:

Mr. Patterson and members of the Board of Trustees, today is the last day of the fall term. We all remember well the sense of national tragedy and trauma with which we began this term. While deep concerns remain for the security of our nation and the welfare of those responsible for ensuring our safety, it is important to note that many wonderful pieces of good news and signs of progress at the University continue to unfold.

For example, two days ago we held a reception for Zuheir and Susan Sofia recognizing them for upgrading The M.S. Sofia Professorship in Arabic Studies to chair status. Zuheir, we appreciate very much this latest evidence of your support and the many things you do to enhance our University.

Another piece of good news is the arrival of a very talented new member of our University community. Susan Metros is our deputy chief information officer and executive director for Educational Technology and Distributed Education. She will also join the College of the Arts as a professor of Industrial, Interior, and Visual Communication Design.

Susan comes to us from the University of Tennessee and will be responsible for facilitating the growth of technology-enriched education in classrooms and over networks – a major priority in the Academic Plan. She will also lead the development of programs, guidelines, policies, and strategies related to distributed educational technologies. Working with the vice president for Agricultural Administration and University Outreach and various academic units, she will also help implement a campus-wide distributed education strategic plan.

I believe Susan is with us today. Susan, would you please stand and let us welcome you to the Ohio State community?

I'm very pleased to note that some of our more recent good news came from downtown. First, we learned that higher education has been exempted from additional agency budget cuts beyond the six percent reduction in the Governor's October Executive Order. A note of caution is in order here, however. The issue of DeRolph school funding is still unresolved, and Ohio's economy remains uncertain at best.

At the same time, I think this decision reflects a growing consensus among our state's leaders about the importance of higher education to our state and to its economy. In fact in a speech to Columbus' Metropolitan Club yesterday, Governor Taft said his biggest regret in the first three years of his administration is the state's inability to do more for higher education.

A second piece of good news from the Statehouse is that the General Assembly wisely decided to retain \$44 million in the Biomedical Research and Technology Trust Fund, rather than diverting that money to help balance the budget. Ohio State led the effort to get these funds restored.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

Among those testifying to the Legislative Conference Committee at our request were: Dr. Fred Sanfilippo, senior vice president for Health Sciences and dean of the College of Medicine and Public Health; Chamber of Commerce President Sally Jackson; and Todd Ritterbush, president of the Columbus Technology Leadership Council. We also arranged for leaders from the Cincinnati and Cleveland communities to testify before the committee. Members of the Board were also very helpful in making our case with members of the General Assembly, as were our Alumni Advocates. I thank all of you for your assistance.

It's worth noting that this was a major save, and that we should thank the General Assembly, the Governor, the business community, and others for this demonstration of support for our efforts to build excellence in biomedical research. Elimination of these funds would have been devastating. With these funds, Ohio may finally be on the verge of taking a much-needed step to grow its knowledge economy.

Let me note that the various budget uncertainties with which we've been dealing have forced us to delay finalizing this year's update of our Academic Plan. That plan will be the subject of a retreat late next month, after which we will get back to you with a revised version of this transformational document.

Other good news today covers the arts, athletics, faculty, and student progress. I'll start with this year's Wexner Center Gala, which was a huge success – not only in surpassing the fundraising goal, but in attendance and interest as well. This year's total of \$3.7 million raised for the Center exceeded last year's \$3.5 million record, for which we thank the many individual, corporate, and Foundation donors. Perhaps even more astounding, almost 1,000 people attended this year's Gala, coming from Europe and Asia as well as various parts of the United States. Given the current climate, and the reluctance of many people to travel, this is truly extraordinary.

Earlier this fall we received a major piece of good news about student retention, one of the most important benchmarks of progress in our Academic Plan. The percentage of students who returned for their second year has reached an all-time high rate of 86 percent, marking the continuation of an upward trend that began in 1995, when the percentage of freshman returning for their sophomore year stood at about 77 percent -- in comparison to the 86 percent that we had this fall. This is additional affirmation that ongoing efforts to enhance the undergraduate student experience are working and will undoubtedly lead to a big jump in our graduation rates within a few years.

Two other impressive student achievements involved ROTC programs. A team of 10 Ohio State Army ROTC members placed first in a Ranger Challenge and second overall in a competition among thirty other university teams at Fort Knox, Kentucky. In addition, our Air Force ROTC detachment was the only one of forty-six nationwide to receive the highest rating by the Air Education and Training Command's Inspector General Team, which found every inspection area to be error-free at Ohio State.

On another front, our faculty members continue to be recognized for their excellence. For example, the American Association for the Advancement of Science recently awarded its rank of Fellow to three Ohio State faculty: Richard Boyd, professor of Physics and Astronomy; Leonard Brillson, professor of Physics and Electrical Engineering; and Audeen Fentiman, chair of the Nuclear Engineering Program and associate professor of Civil and Environmental Engineering and Geodetic Science.

PRESIDENT'S REPORT (contd)

President Kirwan: (contd)

We now have a total of fifty-four AAAS Fellows among our faculty – a very impressive number by any standard.

One very busy faculty member has been Dr. Alam Payind, director of our Middle East Studies Center under International Affairs. Dr. Payind is a native of Afghanistan, a student of Islamic law, and an expert in Middle Eastern Affairs.

Since September 11, Dr. Payind has given seventy-three lectures in Columbus and around the country on issues involving his homeland, Islam, and international terrorism. Last Saturday, he was quoted extensively in the *New York Times* about a new government for Afghanistan.

And speaking of good news, I hardly need to remind the Board of the success of our football team in concluding its regular season in Ann Arbor. As Coach Tressel predicted last January, we are extremely proud of these young men and we wish them well at the Outback Bowl in Tampa on New Year's Day.

You may not be aware of another major athletic accomplishment. The Big Ten Conference has named Ohio State head women's soccer coach Laurie Walker as its 2001 Coach of the Year. For only the second time in Ohio State women's soccer history, one of its team members, Lisa Grubb, was named the Big Ten Freshman of the Year.

As you would expect, recent weeks have contained sadness as well as such advances. Among the saddest bits of news was that of the passing of Ruth Hale, who earned a graduate degree in early and middle childhood education and devoted her life to that cause. Ruth was an exceptional elementary school teacher and a giving and admired member of our community. She was the wife of Vice Provost and Professor Emeritus Frank Hale, and we will miss her very much.

Finally, tomorrow marks Autumn Commencement, and we are delighted that the featured speaker will be our own Kenneth Lee. Chair of the Department of Food Science and Technology, Ken is a nationally recognized expert on the nutritional effects of food processing.

Among the honorary degrees we will present tomorrow is an honorary doctorate to John Phillip Sousa's biographer and Westerville native Paul Bierley. Paul graduated from Ohio State with a degree in aeronautical engineering and, following his retirement from the engineering field, wrote the definitive work on Sousa. Tomorrow evening at Weigel Hall, the internationally acclaimed Keith Brion and his New Sousa Band will appear in concert at 8:00 p.m. It should be another great day at Ohio State and a fitting conclusion to this quarter.

In closing, 2001 has been a particularly challenging year and 2002 could be similarly testing. Nonetheless, we continue to move forward and to build momentum. If we can maintain our focus and our resolve to make difficult decisions, our future will be bright.

Mr. Vice Chairman and members of the Board, that completes my report, except to wish you all a very happy holiday season and, on behalf of the entire University community, to thank you for your commitment and your many contributions to The Ohio State University.

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PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN

President Kirwan:

Mr. Vice Chairman, we are now going to hear a presentation from our distinguished Vice President for Research, Dr. Brad Moore. Joining Dr. Moore is Dr. Janice Kiecolt-Glaser. As you know, Dr. Glaser was recently elected to the National Institute of Medicine, which is the medical arm of the National Academy of Sciences – a high honor, indeed.

Dr. C. Bradley Moore: [PowerPoint Presentation]

Thank you very much. I'm pleased to have this opportunity to talk with you about research today. In this time of declining state budgets, research and fundraising are growth engines that will drive our University forward and allow us to progress with the Academic Plan.

Faculty members are opening new areas of research and establishing Ohio State as the leader in key areas faster than ever before. I believe that the research opportunities that are now opening are much bigger, more exciting, and more intellectually challenging than ever before, and that the impact of our work on human society, on Ohio, and on the nation will grow rapidly. The breadth and strength of our research programs gives us a tremendous advantage in exploring these new opportunities.

The quantitative picture of our sponsored research funding shows that sponsored research programs are growing at double digit rates in recent times. The growth in research funding is at least equal in magnitude to our state budget decrease. Mind you the money is for something different, so we can't just transfer it.

This momentum continues. October -- the last month for which we have our data complete -- was the biggest month ever in Ohio State history, at \$39 million in awards; compared to the previous best-ever month, June 2000, at \$28.7 million. That was a good month and I hope we see a few more of those.

I think many of you wonder, "What is the Office of Research?" You would naturally assume that we conduct research. The truth is that we don't conduct research. The faculty does research, and we support the faculty in doing that research. Our multidisciplinary centers here bring faculty together from many different areas to work on challenging and exciting problems. Our Office of Research Support Services provides all kinds of technical support and facilities for our faculty across the campus to use in pursuing their research.

Our administrative services include: the Research Foundation, which help faculty with proposals and grant administration, and all the financial pieces; and the Office of Research Risks Protection, which is about compliance. This office makes sure that scientific integrity, conflict of interest, human and animal subjects research, and our fiscal responsibilities are all met to the highest possible standards. There is the Office of Technology Transfer under Dave Allen, and the Office of Technology Licensing, which is the pipeline that gets the intellectual property created in our research work out into the community where it will do some good. You'll notice the names of a few people and they are the ones who are doing all the work that I am supposed to be doing.

The purpose of the University is to advance the well-being of the people of Ohio, and the global community through the creation and dissemination of knowledge. Research is about the creation of knowledge and its dissemination. Some of this knowledge leads to the creation of new products such as MRI machines or cell phones that improve our lives, health, and economy. City planners, humanists,

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

environmental scientists, and many others show us ways that we may better live together as we share our planet. Others, like Chuck Csuri, delight our senses by creating new art forms or lift our souls with pictures from the beginning of our universe or poetry from the heart.

Research is usually done by faculty and students together, and so it's an integral part of everything we do at the University. The student not only learns how to create knowledge in the process of doing research, but also becomes the primary vehicle for its dissemination and application as the student graduates and goes out into a future career. Thus research is really at the heart of our teaching, service, and outreach missions, as well as just the creation of new knowledge.

In order to fulfill our University's purpose, the overarching goal of the Academic Plan is that The Ohio State University will be among the world's truly great universities. Within this, the mission of the Office of Research is to help bring Ohio State University to a position of international leadership in research and its applications.

Why international leadership? That's because the leaders are opening new fields and creating new concepts upon which applications are built. The followers don't play the same role in terms of the economic development and application of new knowledge.

The goals of the Office of Research support particularly the Academic Plan strategies for faculty, for academic programs, and for building Ohio's future. Faculty is the heart of the issue. Faculty members create the great ideas, perform the groundbreaking research, teach the outstanding courses, attract the great students to come here, and perform the outreach and service that brings a strong and positive impact on human society. A great faculty is the sine qua non of a great university.

To sustain and enhance an outstanding faculty is our first goal. We do that by helping colleges and departments make great hires and execute urgent retentions. There is a lot of jawboning, persuasion, and a few dollars involved in that process.

Our second goal is to provide outstanding research support services at a competitive cost benchmarked against the top ten research universities. We do this through the Research Foundation and through things like the Campus Chemical Instrumentation Center or the University Laboratory Animal Resources Group, and many others.

Building recognition of our programs, of our research leaders, and programs locally, nationally, and internationally is also a key part of this. I believe our faculty are significantly under-recognized, but by no means unrecognized. We'll hear very shortly from Janice Kiecolt-Glaser, who was our most recently elected member of the Institute of Medicine. L. S. Fan was elected to the National Academy of Engineering this year. Lonnie Thompson was named one of America's best scientists by *Time* magazine, and was a major part of a *CNN* feature on scientific and medical research this last summer. Joel Morrison won the Carl Mannerfelt Gold Medal, a major award in his field of cartography. There are many other awards and I could go on and on.

But we do have a long way to go. You can see from this chart that among our benchmark peers we rank at the bottom in terms of the number of members of

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

the National Academy of Sciences, National Academy of Engineering, Institute of Medicine, and the American Academy of Arts and Sciences. These numbers are improving and during Brit Kirwan's presidency at the University of Maryland, Maryland went from one to 17 faculty members in this category. I'm sure we will greatly exceed the increase of 17 during his presidency here, and I'm hoping for the multiplier of 17, but that's perhaps not entirely realistic.

Our first academic program goal is to build research in areas of major opportunity, especially multidisciplinary programs. Create at least one major, nationally recognized new multidisciplinary center within five years. Our Cancer Center is a great model for such a center, and you'll hear about another one from Jan Kiecolt-Glaser.

Last year, we initiated a faculty planning activity and proposal writing activity in eight areas in response to faculty proposals. Two of those areas – Biomedical Research and the Institute for the Study of Race and Ethnicity in the Americas – are among the three top priorities for development under the Academic Plan.

Our second goal is to double the federal research support from the fiscal year 1999 level -- the most recent one we have data for comparing us with other universities -- by fiscal year 2004. We want to raise at least \$100 million in new private support by 2005 and push for major new State of Ohio research funding. I don't feel comfortable with quantitative estimates of receipts shown there.

Let me just give you a brief picture of our funding. Just drawing a line down the middle – on the right-hand side is the federal funding and on the left-hand side is the non-federal funding. I should tell you that our research expenditures this year are running 20 percent ahead of last year; the federal is up 36 percent; NIH funding, which is about a quarter of the total, is up 46 percent over last year; State of Ohio funding is off 37 percent; and the non-federal funding is off by 17 percent. If we could keep the state funding in place, we would be doing extraordinarily well. Nevertheless, this is an incredible record.

In industrial funding -- at \$52 million annually -- we're ranked number five nationally, behind MIT, Penn State, and a couple of others. This is truly outstanding. For federally funded R&D expenditures, we're not doing so well – we rank at the bottom of our peer group and 34th in the nation. I should point out that number is \$135 million for fiscal year 2001; two years later the same number is \$180 million. To get a preview of the future, the awards in 2001 -- which will be largely responsible for the expenditures in 2002 -- are at \$200 million. So we're moving quite rapidly in that respect.

Unfortunately, this chart is too small to read. It shows you the top 25 recipients of funds – people who brought in big awards this year. However, I want to point out that these are not individual faculty members. These are groups of faculty members with large, coherent programs that have been extremely effective in persuading primarily the federal government to fund our research endeavors. These people are real leaders.

Finally, our academic program goals end up with implementing a research facilities plan. We've hired a consultant to look at our facilities planning for the research we want to do in the future over the next decade or so. We're also involved in a very careful research space survey for facilities and in overhead negotiations that are beginning, so that we can recruit from the federal government those funds which we need for our facilities.

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

Building Ohio's future, this is what it's all about. Our goal is to move new knowledge and technologies created by research into applications, so as to capture the full value of research for the citizens of Ohio, the nation, and the world. In order to do that, we need to provide clear paths for faculty to protect and commercialize the intellectual property that they create.

In coming decades, the contributions of university research to addressing major societal problems will grow ever more important. New technologies can help build Ohio's economy and contribute to The Ohio State University's budget. Our income from licensing new faculty technologies has been growing and is beginning to replace the income from our home run on feline leukemia virus, which has been running out.

If you study the materials in your Board book, you can go through these numbers and see the progress which is being made in this respect. I should point out that income and expenses are comparable, and at the same time we have created in this year seven start-up companies – a considerable improvement on our past record. The University has built an exceptional pipeline for moving intellectual property from the research laboratory out into the community.

Today, I am particularly pleased to be able to introduce Professor Janice Kiecolt-Glaser, our newest member of the Institute of Medicine. You have a short description of her exceptional career in your agenda books and I'm not going to waste her time repeating that. Please listen carefully to her remarks, as she and her colleagues have already executed the strategy that I believe will define Ohio State University as one of the truly great universities in the world. Jan --

Dr. Janice Kiecolt-Glaser:

Thank you. What I want to say first is that the election to IOM reflects the efforts and prominence not only of the work that I have done, but very much so my friend, colleague, and husband Dr. Ronald Glaser, who is responsible for the immunological side of our collaboration in terms of his University hats. He is associate vice president for research and professor of Molecular Virology, Immunology, and Medical Genetics. It also reflects the efforts and prominences of other colleagues in the Ohio State Behavioral Medicine Research Institute. We now have 13 faculty from four colleges who have a combined total in active grants of over \$57 million, and we're one of five NIH-designated Mind-Body Centers.

Let me give you a very brief thumbnail sketch of how we got where we are now from where we came from. When Ron and I started collaborating in 1982, what we knew about stress and immune function in humans was really pretty minor. We knew that astronauts had poorer immune function after splashdown than they did before lift-off, and that bereaved spouses had poorer immune function than non-bereaved people in the community.

But that didn't tell us a lot about what might be happening in terms of health, because those were very large and novel events. What happened with every day kinds of events? That's what Ron and I first started to study. What we did was begin to follow medical students across the academic year, during periods when they were taking exams and not. We could watch immune function cycle in students in low and high stress periods, suggesting that the immune system could be moved by even relatively small stressors. That is important even if you're not taking examinations because it suggests that if you have similar

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Kiecolt-Glaser: (contd)

emotional responses to what our students have during exams, immune function may move as well under those conditions.

We then began a series of longitudinal efforts looking at chronic stress in caregivers -- spouses who are care giving for a spouse with Alzheimer's disease or another progressive dementia. Our focus was on the spouse who was providing care, not the spouse with dementia, and looking at what happened to their immune function and health, compared to very well matched non-caregivers in the community. What we found was that with chronic stress there was not adaptation, that caregivers continue to have poorer immune function than non-caregivers in the community.

We could show then these differences in immune function, but we needed to be able to show the health relevance of those changes. In terms of infectious disease, that's a little bit difficult because not everybody meets the same viruses and bacteria. So Ron had a really tremendous idea and that was to challenge everybody with the same thing and see what would happen.

So we began a series of vaccine studies, looking at how people responded to vaccines immunologically. We found that medical students who are more stressed and more anxious took substantially longer to develop an antibody response to hepatitis B vaccine. Among our caregivers, we found that they showed substantially poorer responses to influenza and pneumococcal vaccines than non-caregivers in the community. That is really important because together pneumonia and influenza are the fourth leading cause of death in people over 75-years of age.

In further studies, we began to look at the effects of stress on wound healing. The early stages of wound healing are immunologically mediated in particular. We found that when we created a small, standardized wound in caregivers, it then took them twenty-four percent longer to heal than non-caregivers.

And when we wounded students during high stress periods, they would take forty percent longer on average to heal the same small, standardized wound. Stress has very big effects on wound healing. We're currently looking at the effects of a pre-surgical intervention study to see if we can enhance surgical recovery.

What we've talked about are infectious disease and wound healing, but more broadly one of the big and novel things in terms of the immune system is its relationship to a whole host of age-related diseases. Conditions associated with aging include: cardiovascular disease, osteoporosis, arthritis, Type II diabetes, certain cancers, frailty, and functional decline. What those all have in common is they're all associated with deregulation of proinflammatory cytokines, particularly interleukin-6, which prompts the production of C-reactive protein.

What we're now showing in our work is that stress enhances production of IL-6. Our caregivers have higher levels of IL-6 and it goes up more sharply than non-caregivers across a period of years. What we're seeing is evidence of how psychological stress can enhance risk for a variety of different causes in morbidity and mortality.

Finally, let me close on a positive note. I've given you all the gloom and doom about stress. There are a variety of things you can do to reduce stress, but the

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Kiecolt-Glaser: (contd)

other theme in our work has been the importance of close positive relationships. What we've shown repeatedly in a variety of studies is that individuals who have better and more satisfactory close personal relationships have better immune function and health. Thank you.

Dr. Moore:

Let me assure you that the wounding of students was approved by the Institutional Review Board -- it does not represent a serious research risk. This is a topic that everybody else thought was too difficult to take a scientific approach to, and so this is a group of people that is not easily intimidated. It is a group that's very multidisciplinary, has tackled a new area, created it, made it, and brought it to the ultimate level of respectability with the Institute of Medicine election. It's a real thrill to be associated with this work. Both of us would be pleased to answer any questions.

Mr. McFerson:

Dr. Glaser, that's fascinating. It makes a lot of sense, too, to a non-medical person. With additional research dollars, which, hopefully, you'll secure, where would you like to see this research go next?

Dr. Kiecolt-Glaser:

We're starting to move in the area of cancer and also allergies are our newest and most promising areas. They are areas that haven't been well tackled before and they're the places we want to go. However, in terms of clinical applications, I think what the work we're doing is beginning to suggest is the importance of mental health and mental health treatment in a variety of ways.

The other thing that I think we're beginning to see is that there have been a number of public health campaigns for a variety of things from vegetables on, but in our work we know that close relationships are so important. What we don't see are public health campaigns about the importance of family and close relationships. Those are things that in many ways on a broader scale are going to be important to emphasize and study more in the future.

Ms. Hendricks:

Do either one of you see -- based on the current situation in the federal government because of the spending that's happened since September 11 -- any shifts or trends that could suggest that the NIH or NSF would not be as supportive for this research in the future? We seem to be very dependent on that research for our projections for the future. Do you have any sense of where the administration or Congress is with regards to research?

Dr. Moore:

Certainly the administration and Congress are both moving funds into areas that are responding to the terrorist threat. This really opens an area of research that has not been neglected and, in fact, we have some outstanding people. For example, Darrell Galloway is developing a new type of vaccine for anthrax and some of our faculty colleagues from the Mershon Center have been advising the security part of our government for some time on terrorism and terrorist threats with substantial accuracy.

PRESENTATION ON RESEARCH – DRIVER FOR THE ACADEMIC PLAN (contd)

Dr. Moore: (contd)

Frankly, we're the best university in the country to help our nation respond to this threat. This could be a significant opportunity in terms of the growth of our research program. That certainly remains to be seen.

President Kirwan:

I think a part of Karen's question is what is your best guess as to the total investment by the federal government in research? Is there any indication that the war effort in any way threatens the investment in research?

Dr. Moore:

I think that's a potential problem. One might see a leveling in the NIH and NSF budgets in the near term, but, frankly, I doubt it. I think that so many of the responses to terrorism and in anticipation of terrorist activities is science and technology problems, particularly health care problems and bioterrorism. This is more likely to lead to an increase in research areas that we can respond to. It also leads to greatly increasing the importance of humanities and social sciences, together with the sciences, in working on this problem. Because, fundamentally, it is a political problem. I would envision that our program would have political leadership.

Ms. Hendricks:

Considering the growth that you're projecting in research, how well-equipped do you feel we are for handling the support required for that kind of growth at the University?

Dr. Moore:

It's obviously key to support the faculty at a world class level if you want world class research to be conducted. We will have to get even more efficient with the services we deliver and expand those services as the magnitude of the program grows.

Mr. Patterson:

Dr. Moore and Dr. Glaser, thank you very much for being with us today.

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CONSENT AGENDA

President William E. Kirwan:

We have twelve resolutions on the Consent Agenda today and unless there are any objections, I would like to recommend the following resolutions to the Board:

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS

Resolution No. 2002-55

Synopsis: The amendments to the University Hospitals Board Bylaws are recommended for approval.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

WHEREAS pursuant to bylaw 3335-103-01 of the University Hospitals Board Bylaws, the University Hospitals Board Bylaws may be amended by The Ohio State University Board of Trustees; and

WHEREAS the amendments to the University Hospitals Board Bylaws have been endorsed and ratified by the University Hospitals Board on November 15, 2001, as follows:

Amended Hospitals Board Bylaws

3335-93-01 University hospitals board.

(A) The body responsible to the Ohio state university board of trustees for oversight of patient care services, financial performance, and the university hospitals' support of the Ohio state university health sciences academic programs, shall be known as the university hospitals board (herein called hospitals board).

(1)

(A) The hospitals board will be composed of two members of the Ohio state university board of trustees (trustee members), twelve citizens from the general public (citizen members), and the chairperson of the integrated faculty practice group or other senior faculty physician leader, all appointed by the Ohio state university board of trustees in consultation with the president of the university. ~~Except as otherwise specified in these bylaws, no employee of the university shall be eligible for board membership.~~

(2)

(B) The president of the Ohio state university ~~shall be an ex-officio member of the hospitals board.~~ The, the executive director, medical director and chief of the medical staff of university hospitals, and senior vice president for health sciences and dean of the college of medicine and public health, and vice president for health services shall be ex-officio members with vote of the hospitals board.

(3)

(C) Insofar as feasible, the selection criteria will ensure that the hospitals board membership will include persons with business expertise, persons with legal expertise, persons with knowledge of health care issues delivery, persons knowledgeable about the academic mission of university hospitals, and persons who can assist the hospitals in its relations with the public and governmental entities. Selection processes will incorporate the ~~affirmative action~~ diversity policies of the university.

(4)

(D) The term of office for the twelve citizen members will be three years. At the discretion of the Ohio state university board of trustees, any citizen member may serve three consecutive ~~full~~ terms.

(5)

(E) In addition to the hospitals board members, the following, at the hospitals board's request, will participate in deliberations, will receive agenda and minutes, and from time to time will be invited to attend hospitals board meetings and other activities, and to submit agenda items:

~~Persons designated by the university's board of trustees as emeritus
Hospitals board members
Deans of health sciences colleges~~

(6)

(F) The president of the Ohio state university will serve as the university's primary administrative contact with the hospitals board and shall interpret proposals and recommend actions of the hospitals board to the university's board of trustees.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

- (7)
- (G) The executive director of university hospitals will serve as the secretary to the hospitals board and provide such staff services for the hospitals board as may be requested.
- (B) ~~In carrying out its responsibilities as defined in rule 3335-93-02 of the Administrative Code, the board shall seek continuing guidance from health professionals, management specialists, and others with professional expertise. The hospitals board may choose to have a periodic review of university hospitals activities by a board of visitors. The visitors, consisting of persons of national eminence in health care fields, would be selected in consultation with the president of the university.~~
- (C) ~~In recognition of unique contributions to university hospitals, former members of the hospitals board may be designated by the university's board of trustees as emeritus hospitals board members. Emeritus hospitals board members will be non-voting members, and will serve for a three-year term of office. At the end of the three-year emeritus term their board service will conclude and there will be no further service or attendance requirements. (B/T 5/2/80, B/T 6/10/82, B/T 4/1/83, B/T 3/2/84, B/T 2/1/85, B/T 7/8/88, B/T 6/4/93, B/T 10/1/93, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)~~

3335-93-02 Powers and duties.

Members of the hospitals board shall be responsible to the university board of trustees for the oversight of patient care services in and financial performance of university hospitals and for ensuring that the hospitals' activities support the health sciences programs of the university. Although it is understood that the university board of trustees cannot delegate its ultimate authority over and responsibility for the hospitals--including determination of policy for the fiscal health of the university hospitals, its personnel policies, or the definition of the hospitals' mission--the hospitals board will be delegated the authority and responsibility set forth herein, consistent with Ohio law. The university hospitals board will be responsible for, subject to the authority and periodic review of the university board of trustees, the following:

- (A) ~~Assuring the quality of care including the delivery of patient services, the development of quality assurance policies and practices by the hospitals staff, and adequate~~ including formation of quality assessment and improvement mechanisms within the hospitals and monitoring the achievement of quality standards and goals.
- (B) Unchanged.
- (C) ~~Oversight of relationships with outside agencies, both private and public~~ Monitoring the implementation of the university medical center integrity program as adopted by the Ohio state university board of trustees.
- (D) ~~Oversight of hospitals' compliance with federal and state laws~~ Establishing educational programs for its members and periodically assessing its own performance.
- (E) Oversight of ~~hospitals'~~ the accreditation and licensure process.
- (F) Approval of medical and dental appointments, clinical privileges, and disciplinary actions upon the recommendation of the appropriate official, ~~subject where required to final action of the Ohio state university board of trustees~~ officials and/or medical staff administrative committee.
- (G) Approval of medical staff bylaws amendments and recommendation thereof to the Ohio state university board of trustees.
- (H) Review and approval of ~~current funds~~ operating and capital budgets prior to their submission to the Ohio state university board of trustees by the university president.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

- (I) ~~Advice and counsel to the president and/or his designee on matters of institutional income and expenditures, fees for institutional services, and utilization of hospitals services~~ Monitoring financial performance including the achievement of financial goals and targets.
- (J) Creating committees it deems necessary, which may be directed by the hospitals board to act on its behalf, to carry out its responsibilities, as consistent with its powers and duties described above.
- (K) ~~Developing a~~ Approving and monitoring strategic plans consistent with the strategic plan for the hospitals university medical center.
- (L) ~~Approve~~ Approval of the purpose and articles of incorporation of any organization wishing to establish itself as an auxiliary service organization to the university hospitals. (B/T 5/2/80, B/T 6/10/82, B/T 10/1/93, B/T 12/6/2001)

3335-93-03 Accountability.

To ensure that the Ohio state university board of trustees meets its governance obligations under Ohio law, the hospitals board will be accountable, through the president, the senior vice president for health sciences, and the vice president for health services to the Ohio state university board of trustees.

- ~~(A)~~ The appointment of the executive director of university hospitals will be approved by the Ohio state university board of trustees upon recommendation of the president, the senior vice president for health sciences, and the vice president for health services of the university following consultation with the hospitals board.
- ~~(B)~~ ~~Recommendations and reports of the hospitals board that require Ohio state university board of trustees' review or action will be communicated through the president of the university to the Ohio state university board of trustees.~~
- ~~(C)~~ The Ohio state university board of trustees will continue to hold the president of the university responsible, the senior vice president for health sciences, and the vice president for health services for the conduct of the academic programs and for ensuring that the hospitals are operated in a manner consistent with university policy and state and federal law. (B/T 5/2/80, B/T 6/10/82, B/T 2/1/85, B/T 12/6/2001)

3335-93-04 Meetings and notice.

- (A) Regular meetings. Regular meetings of the hospitals board may be held ~~at the hospital~~ each month, or on a schedule established by the board, at times which shall be set and publicly announced by the chairperson of the board, and/or at such other time or place as may be announced by the chairperson.
- (B) Special meetings. Special meetings may be called at the direction of the chairperson, ~~or~~ and shall be called by the chairperson at the request of three members of the board, provided that notice to all board members shall be given not less than five days prior to the meeting. The notice shall state the time, place, and purpose of the meeting.
- (C) Except as otherwise specified in these bylaws, all meetings of the hospitals board and its committees shall be conducted in accordance with the latest revision of "Robert's Rules of Order."
- (D) Meetings of the hospitals board shall be open to the public, except for executive sessions called for reasons permissible under Ohio law. (B/T 5/2/80, B/T 6/4/93, B/T 12/6/2001)

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

3335-93-05 Quorum.

At least a majority of the current voting membership of the hospitals board shall be necessary for a quorum. (B/T 5/2/80, B/T 10/1/93, B/T 12/6/2001)

3335-93-06 Vacancies.

Whenever a vacancy occurs on the hospitals board, notice shall be given immediately to the president of the university so that the Ohio state university board of trustees may appoint a new member as soon as possible to fill the unexpired term. (B/T 5/2/80, B/T 12/6/2001)

3335-93-08 Indemnification of hospitals board members.

Members of the hospitals board will be provided legal defense and indemnification against any claims or liabilities which might arise from the performance of their duties on behalf of the hospitals to the full extent permitted by Ohio law. (B/T 5/2/80, B/T 12/6/2001)

3335-93-09 Compensation of hospitals board and board committee members.

No hospitals board member shall receive compensation for services rendered in the capacity as a board member. However, nothing herein shall be construed to preclude any board member or committee member from receiving reimbursement for actual expenses incurred for serving the hospitals as a board or committee member. (B/T 5/2/80, B/T 12/6/2001)

3335-93-10 Responsibilities of executive director of university hospitals.

The executive director shall have such authority as may be conferred by the senior vice president for health sciences and dean of the college of medicine and public health, the vice president for health services, and the hospitals board. The executive director shall be responsible for the operation of university hospitals and shall serve as the chief executive and operating officer. The executive director will coordinate and prioritize matters of capital medical equipment, clinical space, and clinical programs with the medical director. (B/T 4/6/90, B/T 2/2/96, B/T 10/1/99, B/T 12/6/2001)

3335-93-11 Confidentiality and conflicts of interest.

In addition to any restrictions or obligations set forth in Chapter 102 of the Revised Code, and recognizing that board members and committee members have a duty of loyalty and fidelity to the university and to the university medical center and that they must govern its affairs honestly, exercising their best care, skill and judgment for the benefit of the university medical center so as to avoid even the appearance of impropriety, the members of the board and its committees shall disclose to the university hospitals board any situation wherein such person has a conflict of interest that could possibly cause that person to act in other than the best interest of the university medical center and university hospitals. In any such situation the member shall abstain from acquiring any information developed by the university medical center and university hospitals and from participating in any discussions or voting related to such situation. In addition, all members of the board and its committees shall keep confidential all sensitive information of every kind including the strategic goals of groups, entities or subdivisions within the university medical center to the extent permitted by law. Members of the board and its committees also shall abide by all confidentiality and conflict of interest policies and programs adopted by the Ohio state university board of trustees from time to time. (B/T 12/6/2001)

3335-95-01 Officers.

The officers of the board shall be members of the board and shall consist of a chairperson, vice chairperson, and such other officers as the board may deem advisable. The chairperson and ~~other officers~~ vice chairperson, chosen from among the citizen members, shall be ~~elected~~

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

appointed annually by the Ohio state university board of trustees upon recommendation of the president. No officer may serve more than two successive terms. (B/T 5/2/80, B/T 6/4/93, B/T 12/6/2001)

3335-95-02 Chairperson.

The chairperson of the board shall appoint all committee members; shall preside at all meetings of the board; shall be responsible for approving the agenda for board meetings; and shall make an annual report to the university board of trustees and such other reports as the Ohio state university board of trustees may require; ~~shall serve as an ex-officio member, without vote, on all standing and special committees unless otherwise specified in the bylaws.~~ The chairperson shall have such other and further duties and authority as may be prescribed elsewhere in these bylaws, or from time to time by the hospitals board. (B/T 5/2/80, B/T 6/4/93, B/T 12/6/2001)

3335-97-01 Committee designations.

The board shall establish an executive committee, a strategic planning committee, an administrative/operations committee, a professional affairs/education/research committee, a finance and audit committee, and special purpose committees. The chairperson of the board shall appoint the board's representatives to the committees; the president of the university may designate any officer of the university to attend meetings of the committees as ex-officio members without vote. The senior vice president for health sciences and dean of the college of medicine and public health and the medical director, may attend meetings as ex-officio members ~~without vote. As non-voting secretary of the board, the~~ The hospitals executive director shall attend all meetings and act as secretary. (B/T 5/2/80, B/T 4/6/90, B/T 2/2/96, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)

3335-97-02 Executive committee.

(A) Responsibilities. The executive committee shall:

- (1) Transact business of the hospitals board between regular meetings of the board.
- (2) Coordinate the board's education and self-evaluation activities, and interaction with the Ohio state university board of trustees. The summary of the annual self-evaluation will be shared with the full hospitals board and communicated to the university trustees in accordance with ~~paragraph (B) of~~ rule 3335-93-03 of the Administrative Code.
- (3) and (4) Unchanged.
- (5) ~~Receiving~~ Receive and ~~acting~~ act on reports from the medical staff administrative committee and/or the professional affairs/education/research committee regarding credentialing; ~~subject when required to final action of the Ohio state university board of trustees.~~

(B) Composition. The executive committee of the hospitals board shall consist of: the chairperson of the hospitals board, who will serve as chairperson of the committee; the vice chairperson of the hospitals board; the immediate past chairperson of the hospitals board; the president of the university; ~~and~~ the chairpersons of all standing committees of the hospitals board; ~~and~~ the two trustee members of the hospitals board. The senior vice president for health sciences and dean of the college of medicine and public health, or other officer designated by the president of the university, and the medical director, the hospitals executive director, the chief of the medical staff, and the chief of staff elect, shall serve as ex-officio members ~~without vote~~.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

- (C) Meetings. The executive committee shall meet at the call of the chairperson and shall advise the hospitals board of its activities. (B/T 5/2/80, B/T 2/1/85, B/T 4/6/90, B/T 6/4/93, B/T 10/1/93, B/T 2/2/96, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)

3335-97-03 Strategic planning committee.

- (A) Responsibilities. The strategic planning committee shall be responsible for the following specific duties:

(1) and (2) Unchanged.

(3) Updating the combined strategic plan of ~~hospital~~ university hospitals and college of medicine and public health with appropriate consideration of other health-related colleges and including monitoring external factors affecting all of the preceding.

(4) Unchanged.

(5) Such other responsibilities as assigned by the chairperson of the hospitals board.

- (B) Composition. The committee shall consist of four board members, appointed annually by the chairperson of the hospitals board, one of whom shall be appointed as chairperson of the committee; and the two members of the medical staff nominated by the medical staff.

- (C) Meetings. The strategic planning committee shall meet at the call of the chairperson and shall advise the hospitals board of its activities. (B/T 5/2/80, B/T 9/5/80, B/T 2/1/85, B/T 4/6/90, B/T 6/6/97, B/T 12/6/2001)

3335-97-04 Administrative/operations committee.

- (A) Responsibilities. The administrative/operations committee shall be responsible for the following specific duties:

(1) through (7) Unchanged.

(8) Such other responsibilities as assigned by the chairperson of the hospitals board.

- (B) Composition. The committee shall consist of four board members, appointed annually by the chairperson of the hospitals board, one of whom shall be appointed as chairperson of the committee; and two members of the medical staff nominated by the medical staff.

- (C) Meetings. The administrative/operations committee shall meet at the call of the chairperson and shall advise the hospitals board of its activities. (B/T 5/2/80, B/T 9/5/80, B/T 2/1/85, B/T 4/6/90, B/T 6/4/93, B/T 12/6/2001)

3335-97-05 Professional affairs/education/research committee.

- (A) Responsibilities. The professional affairs/education/research committee shall be responsible for the following specific duties:

(1) through (8) Unchanged.

(9) Such other responsibilities as assigned by the chairperson of the hospitals board.

- (B) Composition. The committee shall consist of four board members, appointed annually by the chairperson of the hospitals board, one of whom shall be appointed as chairperson of the committee; and the medical director, the chief of the medical staff and the chief of staff-elect.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

- (C) Meetings. The professional affairs/education/research committee shall meet at the call of the chairperson and shall advise the hospitals board of its activities. (B/T 5/2/80, B/T 9/5/80, B/T 11/7/80, B/T 9/4/81, B/T 2/1/85, B/T 4/6/90, B/T 6/4/93, B/T 10/1/93, B/T 12/6/2001)

3335-97-06 Finance and Audit Committee.

- (A) Responsibilities. The finance and audit committee shall be responsible for the following specific duties:

- (1) Reviewing and recommending of the annual operating and capital budgets to the university hospitals board.
- (2) Receiving and reviewing the annual fiscal audit of the hospitals and maintaining relationships with outside auditors.
- (3) Making recommendations for the investment and management of resources.
- (4) Approving policies regarding fiscal planning.

- (B) Composition. The committee shall include meet at the call of the chairperson and shall advise the hospitals board of its activities. (the vice president for health services, at least three other university hospitals board members, one of whom shall be appointed as the chairperson of the committee, a senior member of the medical staff, and such others as determined by the chairperson.

- (C) Meetings. The finance and audit committee shall B/T 12/6/2001)

3335-99-01 Relationships of hospitals to health sciences, academic, and research programs.

The health sciences colleges of the university carry out a significant portion of their educational and research activity in university hospitals. Although the hospitals board has not been delegated specific responsibilities for academic programs, it shall lend its best efforts to assure that the programs of the health sciences colleges are effectively supported in collaboration with the hospitals patient care programs. The senior vice president for health sciences and dean of the college of medicine and public health and vice president for health services shall be charged with maintaining an effective liaison between the health sciences colleges and the hospitals board to assure excellence in both academic and patient care programs. (B/T 5/2/80, B/T 2/1/85, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)

3335-101-01 General.

The hospitals board holds the medical staff organization accountable to the board for establishing and maintaining standards of medical care. The hospitals board will review and approve the medical staff bylaws before they are submitted to the Ohio state university board of trustees. (B/T 5/2/80, B/T 12/6/2001)

3335-101-02 Medical staff.

For purposes of Chapter 3335-101 of the Administrative Code, the words "medical staff" shall include all physicians, psychologists, podiatrists, and dentists who are authorized to attend patients in the hospital or in any other medical care activity administered by the hospitals, and may include such other professionals as the medical staff bylaws designate. (B/T 5/2/80, B/T 12/6/2001)

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

3335-101-03 Medical staff organization.

The hospitals board shall approve and authorize the organization of the medical staff to discharge those duties and responsibilities assigned to it by the hospitals board and specifically to accomplish the following purposes, among others:

- (A) To monitor the quality of medical care in the hospital and make recommendations to the hospitals board to ensure that all patients--admitted to or treated at any of the facilities, departments, or services of the hospitals--receive high quality medical care.
- (B) To recommend to the professional affairs/education/research committee of the hospitals board the appointment or reappointment of an applicant to the medical staff of the hospitals, the clinical privileges such applicant shall enjoy in the hospitals, and appropriate action that may be necessary in connection with any member of the medical staff.
- (C) and (D) Unchanged.

3335-101-04 Medical staff bylaws.

The medical staff organization shall recommend to the board medical staff bylaws, rules, and regulations that set forth the medical staff organization and the governance process for maintaining such bylaws, rules, and regulations to accomplish the purposes set forth in rule 3335-101-03 of the Administrative Code. When such bylaws, rules, and regulations are adopted by the board and the Ohio state university board of trustees, they shall become effective and become part of the bylaws, rules, and regulations of the hospitals. The medical staff organization shall also be responsible for reviewing these bylaws, rules, and regulations periodically and recommending appropriate revisions to the hospitals board. (B/T 5/2/80, B/T 12/6/2001)

3335-101-05 Appointment to the medical staff and assignment of clinical privileges.

Upon recommendation of the medical staff and in accordance with the medical staff bylaws, the hospitals board may appoint ~~faculty members who are graduates of recognized medical and dental schools,~~ physicians, dentists, psychologists, and podiatrists meeting the qualifications prescribed in the medical staff bylaws, to membership on the medical staff of the hospitals and shall grant clinical privileges to such persons, ~~subject to ratification of the Ohio state university board of trustees.~~ Appointment to the medical staff carries with it full responsibility for the treatment of ~~individual patients of the university~~ patients subject to such limitations as may be imposed by the hospitals board or the bylaws, rules, and regulations of the medical staff. Appointments to the medical staff shall be for two years, renewable in accordance with the reappointment procedure set forth in the medical staff bylaws. Reappointments to the medical staff will be made every other year by the board, and shall be for two years. (B/T 5/2/80, B/T 6/4/93, B/T 12/6/2001)

3335-101-06 Medical staff administrative committee.

- (A) Purpose. The medical staff administrative committee shall establish and maintain means of accountability to the hospitals board, in accordance with the medical staff bylaws. It shall concern itself primarily with the quality of medical care within the hospitals. It shall receive and act upon all medical staff committee reports and make recommendations regarding medical staff status and privileges to the hospitals board. Other specific duties of the medical staff administrative committee are identified in the medical staff bylaws.
- (B) and (C) Unchanged.

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

3335-101-07 Hospitals clinical departments.

- (A) Appointment of the chief of each clinical department is subject to approval by the hospitals board on the recommendation of the dean of the applicable professional school and the senior vice president for health sciences and dean of the college of medicine and public health. All such appointments shall be periodically reviewed by the hospitals board. Chiefs of clinical departments' vacancies may be filled on an interim basis at any time by action of the dean of the appropriate professional college, after consulting with the hospitals board. (In general, the chiefs of clinical departments will be chairpersons of corresponding academic departments in the medical college.)
- (B) The hospitals board may delegate, through approval of the medical staff bylaws or by appropriate board resolution, to the chiefs of the clinical departments responsibility for maintaining the quality of medical care in their services, and for recommending an applicant's appointment or reappointment to the medical staff of the hospitals and privileges for such an applicant.
- (C) The senior vice president for health sciences and dean of the college of medicine and public health shall appoint a medical director who ~~shall serve as the chief medical officer who reports to the senior vice president and dean~~ executive director of university hospitals, to the chief medical officer of the university medical center, and to the hospitals board. In matters relating to medical care in the hospitals, members of the clinical departments of the hospitals are accountable to the clinical chiefs, who are accountable to the medical director, ~~who is accountable to the senior vice president for health sciences and dean of the college of medicine and public health and to the board. The senior vice president and dean in this capacity is accountable directly to the board. If one individual holds both the dean and senior vice president position, the medical director will serve as the chief medical officer in the hospital.~~
- (D) The medical director shall be a physician and shall maintain an appointment as an attending ~~or courtesy~~ staff member of the medical staff. The medical director shall have authority as conferred by the senior vice president ~~and dean~~ for health sciences and the hospitals board; including the responsibility for clinical research and education programs and services at university hospitals, supervision of patient and clinical activity; and responsibility for the clinical organization of the hospitals. The medical director shall direct and supervise the medical staff quality assurance, utilization review, and credentialing activity. The medical director shall establish priorities, jointly with the executive director of the hospitals, for capital medical equipment, clinical space, and the establishment of new clinical programs, or the revision of existing clinical programs. (B/T 5/2/80, B/T 9/5/80, B/T 2/1/85, B/T 4/8/88, 4/6/90, B/T 6/4/93, B/T 2/2/96, B/T 6/6/97, B/T 10/1/99, B/T 12/6/2001)

Chapter 3335-104

SPECIALIZED BOARDS

3335-104-01 Designations.

From time to time, the Ohio state university board of trustees may establish one or more specialized boards dedicated to the unique mission of a specific facility, service, or program of university hospitals. All members of a specialized board shall be appointed by the Ohio state university board of trustees in consultation with the vice president for health services, the senior vice president for health sciences, and the president of the university. The term of office for each member of a specialized board, other than an ex-officio member, will be three years. The executive director and medical director of the facility or service respectively appointed by the vice president for health services and the senior vice president for health sciences shall be ex-officio members of the specialized board. At the discretion of the Ohio state university board of trustees, any member may serve three consecutive terms. (12/6/2001)

AMENDMENTS TO THE UNIVERSITY HOSPITALS BOARD BYLAWS (contd)

3335-104-02 OSU & Harding hospital board.

- (A) There is hereby created the OSU & Harding hospital board. It shall:
- (1) Review, recommend and monitor strategic initiatives for behavioral health services.
 - (2) Monitor financial performance of OSU & Harding including the achievement of financial goals and targets consistent with those set by the university hospitals board.
 - (3) Approve and monitor quality assessment and improvement mechanisms specifically for behavioral health services.
 - (4) Perform such other responsibilities as agreed upon by the Ohio state university hospitals board and the Ohio state university board of trustees.
- (B) The vice president for health services shall solicit nominations from Harding medical center for four members of the OSU & Harding hospital board.
- (C) The OSU & Harding hospital board shall meet at the call of its chairperson who shall advise the university hospitals board of its activities. (12/6/2001)

3335-104-03 University hospitals east board.

- (A) There is hereby created the university hospitals east board. It shall:
- (1) Review, recommend and monitor strategic initiatives for university hospitals east.
 - (2) Monitor the financial performance of university hospitals east including the achievement of financial goals and targets consistent with those set by the university hospitals board.
 - (3) Approve and monitor quality assessment and improvement mechanisms for university hospitals east.
 - (4) Perform such other responsibilities as agreed upon by the university hospitals board and the Ohio state university board of trustees.
- (B) The university hospitals east board shall meet at the call of its chairperson who shall advise the university hospitals board of its activities. (12/6/2001)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the University Hospitals Board Bylaws be adopted as recommended by the University Hospitals Board.

TRANSFER OF OFFICE AND PROGRAMS

Resolution No. 2002-56

Synopsis: Approval of the transfer of the Office of Continuing Education to the Office of Outreach and Engagement, with oversight by the Vice President for Agricultural Administration and University Outreach, and the approval of the transfer of the Bridge Program and Evening Degree and Certification Program to the Office of Undergraduate Studies, with oversight by the Vice Provost and Dean of Undergraduate Studies, is proposed.

TRANSFER OF OFFICE AND PROGRAMS (contd)

WHEREAS the Office of Continuing Education was an integral part of University College; and

WHEREAS University College merged with the Colleges of the Arts and Sciences Administration into a new unit named the Office of Undergraduate Student Academic Services, as approved by the Board of Trustees on May 4, 2001; and

WHEREAS the Vice Provost and Dean of Undergraduate Studies and the Vice President for Agricultural Administration and University Outreach agree that the Office of Continuing Education serves as an outreach program for Ohio's citizens who are not typically undergraduate students at The Ohio State University; and

WHEREAS the Vice Provost and Dean of Undergraduate Studies and the Vice President for Agricultural Administration and University Outreach agree that two programs within the Office of Continuing Education that largely serve undergraduate students -- the Bridge Program, for Ohio State employees who wish to begin college course work, and the Evening Degree and Certification Program -- would be better managed by transferring their administrative home to the Office of Undergraduate Studies; and

WHEREAS to provide an equitable exchange of funds with services rendered, some of the monies noted as "Instructional Funds" and "Credit Programs" in the Office of Continuing Education's General Unrestricted Funds Budget should be transferred immediately to the Office of Undergraduate Studies since these funds are designated for course funding; and

WHEREAS the remaining funds should continue as part of the budget of the Continuing Education program to provide support for innovative outreach instructional programs, including distance education, which are the responsibility of the Office of Outreach and Engagement:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby approves the transfer of the Office of Continuing Education to the Office of Outreach and Engagement, the transfer of the Bridge Program and the Evening Degree and Certification Program to the Office of Undergraduate Studies, and the immediate transfer of funds as outlined above, effective immediately.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY

Resolution No. 2002-57

Synopsis: Approval of the following amendments to the Rules of the University Faculty are recommended.

WHEREAS the University Senate pursuant to rule 3335-1-09 of the Administrative Code is authorized to recommend through the President to the Board of Trustees the adoption of amendments to the Rules of the University Faculty as approved by the University Senate; and

WHEREAS the proposed changes in the Rules of the University Faculty were approved by the University Senate on November 8, 2001:

Amended Rules

3335-5-484 Council on student affairs.

(A) Membership.

The council on student affairs shall consist of twenty members.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

Amended Rules (contd)

- (1) Unchanged.
- (2) ~~Nine~~ Ten students.
 - (a) ~~Two~~ Three graduate students selected by the council of graduate students. The term of service is one year.
 - (b) ~~One~~ Two professional ~~student~~ students selected by the inter-professional council. The term of service is one year.
 - (c) and (d) Unchanged.
 - (e) ~~One graduate or professional student appointed on an annually rotating basis by the council of graduate students and the inter-professional council. The term of service is one year.~~

(3) through (6) unchanged.

(B) and (C) unchanged.

3335-9-14 Time of registration and payment of fees; penalties.

(A) and (B) unchanged.

(C) All fees, including penalties, must be paid on or before the ~~fourteenth~~ fifteenth day after classes begin each quarter, semester, or session. The university reserves the right to refuse registration if fees are not paid by the date on which they are due. Individual exceptions to this provision may be made only upon the approval of the executive vice president and provost or designee. (B/T 4/4/97, B/T 12/4/98, B/T 12/6/2001)

3335-23-21 Administrative disenrollment and other restrictions.

(A) and (B) unchanged.

(C) A student who has been disenrolled; prohibited from university premises, university-related activities or registered student organization activities; or permitted to remain only under specified conditions may petition the vice president for student affairs for revision of that status. The petition must include supporting documentation or evidence that:

- (1) The conditions found to have existed under paragraph (A)(1) or (A)(2) of this rule no longer exist and will not recur, and
- (2) The student meets all normal and appropriate standards for admission and enrollment in any academic unit in which the student seeks to re-enroll.

Upon receipt of such a petition, the vice president for student affairs or designee shall evaluate the evidence and may consult with the student, any appropriate university personnel, and any other persons whom the vice president for student affairs or designee deems appropriate. The vice president for student affairs or designee may deny the petition, grant the petition in whole or in part under specified conditions, or grant the petition in whole or in part without condition. In the event of a negative determination by the vice president for student affairs, the student may request to have the decision reviewed by the executive vice president and provost.

AMENDMENTS TO THE RULES OF THE UNIVERSITY FACULTY (contd)

NOW THEREFORE

BE IT RESOLVED, That the foregoing amendments to the Rules of the University Faculty be adopted as recommended by the University Senate.

DEGREES AND CERTIFICATES - AUTUMN QUARTER COMMENCEMENT

Resolution No. 2002-58

Synopsis: Approval of Degrees and Certificates for Autumn Quarter is proposed.

WHEREAS pursuant to paragraph (E) of rule 3335-1-06 of the Administrative Code, the Board has authority for the issuance of degrees and certificates; and

WHEREAS the faculties of the colleges and schools shall transmit, in accordance with rule 3335-9-29 of the Administrative Code, for approval by the Board of Trustees the names of persons who have completed degree and certificate requirements:

NOW THEREFORE

BE IT RESOLVED, That the degrees and certificates be conferred on December 7, 2001, to those persons who have completed the requirements for their respective degrees and certificates and are recommended by the colleges and schools, and that the names of those persons awarded degrees and certificates be included in the minutes of this meeting.

PERSONNEL ACTIONS

Resolution No. 2002-59

BE IT RESOLVED, That the personnel actions as recorded in the Personnel Budget Records of the University since the November 2, 2001 meeting of the Board, including the following Appointments, Reappointment of Director, Professional Improvement Leaves, and Emeritus Titles, as detailed in the University Budget be approved; and

BE IT FURTHER RESOLVED, That the Medical Staff Appointments (The Ohio State University Medical Center and The Ohio State University Hospitals-East) approved November 14, 2001, by The Ohio State University Hospitals Board, and the Medical Staff Appointments approved November 20, 2001, by The James Cancer Hospital Board, be ratified.

Appointments

Name:	ALICIA L. BERTONE
Title:	Professor (The Trueman Chair in Equine Clinical Medicine and Surgery)
Department:	Veterinary Clinical Sciences
Term:	December 1, 2001 through November 30, 2006
Name:	MICHAEL V. KNOPP
Title:	Professor (The Novartis Pharmaceuticals Corporation Chair for Clinical Research)
Department/Division:	Radiology/Neuroscience
Term:	December 1, 2001, through November 30, 2006
Present Position:	Vice Chair, Diagnostic Radiology Department, Warren Grant Magnuson Clinical Center, National Institutes of Health, Bethesda, MD

PERSONNEL ACTIONS (contd)

Appointments (contd)

Name: CAROLINE C. WHITACRE
Title: Associate Vice President for Health Sciences Research and Vice Dean
for Research
Office/College: Health Sciences/Medicine and Public Health
Effective: December 1, 2001
Present Position: Interim Associate Vice President for Health Sciences Research and Vice
Dean for Research

Reappointment of Director

July 1, 2002 through June 30, 2006

School of Journalism and Communication

Carroll J. Glynn

Professional Improvement Leaves

JAN EDWARDS, Associate Professor, Department of Speech and Hearing Science, effective Autumn Quarter 2002, Winter Quarter and Spring Quarter 2003.

MARGARITIS FOURAKIS, Associate Professor, Department of Speech and Hearing Science, effective Winter Quarter, Spring Quarter, and Summer Quarter 2003.

Emeritus Titles

MARJORIE E. MURFIN, University Libraries, with the title Professor Emeritus, effective January 1, 2002.

FOREST V. MUIR, Department of Animal Sciences, with the title Professor Emeritus, effective January 1, 2002.

KARL E. NESTOR, SR., Department of Animal Sciences, with the title Professor Emeritus, effective January 1, 2002.

Medical Staff Appointments (The Ohio State University Medical Center)

October 2001

Roula Al-Dahhak, M.D., Neurology, PGY1 Limited, 07/01/2001-06/30/2003
Syed S. Ali, D.O., M.B.B.S., Surgery, PGY1 Limited, 07/01/2001-06/30/2003
Lisa M. Avery, M.D., Neurology, PGY1 Limited, 07/01/2001-06/30/2003
Curtis L. Binder, M.D., Radiology, PGY2 Limited, 07/01/2001-06/30/2003
Philippe Chahine, D.M.D., Dentistry, PGY1 Limited, 07/01/2001-06/30/2003
Anna K. Corbin, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Lance P. Cribblez, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Lazaro L. Delgado, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003
Ravi S. Dhawale, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Michelle A. Douglass, M.D., Anesthesiology, Community Affiliate, 10/25/2001-06/30/2003
Esther U. Elphick, M.D., Psychiatry, PGY1 Limited, 07/01/2001-06/30/2003
Wenshi Gao, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2003
Kenneth C. Graffeo, M.D., Surgery, PGY3 Limited (Rotating), 10/25/2001-12/01/2001
Atef N. Hanna, M.D., Pathology, PGY1 Limited, 07/01/2001-06/30/2003
Sheri L. Hart, M.D., Neurology, PGY2 Limited, 07/01/2001-06/30/2003
Jordan E. Hopkins, M.D., Internal Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Julianne C. Huefner, M.D., Internal Medicine, Attending, 08/14/2001-06/30/2003
Deborah L. Jacobson, M.D., Family Medicine, Fellowship 1st Year, 10/25/2001-06/30/2003
John M. Jonesco, D.O., Family Medicine, PGY2 Limited, 07/01/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

October 2001 (contd)

Matthew R. Karlen, M.D., Radiology, PGY2 Limited, 07/01/2001-06/30/2003
Kari L. Kendra, M.D., Ph.D., Internal Medicine, Attending, 10/25/2001-06/30/2003
Edward Kim, M.D., Radiology, PGY2 Limited, 07/01/2001-06/30/2003
Ralph D. Lach, M.D., Internal Medicine, Courtesy, 10/25/2001-06/30/2003
Juan F. Luque, M.D., D.D.S., Dentistry, PGY1 Limited, 10/25/2001-06/30/2003
Robert J. Masone, M.D., Anesthesiology, Attending, 10/25/2001-06/30/2002
Leon McDougale, M.D., Family Medicine, Attending, 10/25/2001-06/30/2002
Christina M. Medrano, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Hans B. Miller, M.D., Anesthesiology, PGY2 Limited, 10/25/2001-06/30/2002
Kevin S. Miller, M.D., Internal Medicine, Attending, 10/01/2001-06/30/2002
Roberta L. Mitch, C.N.P., Obstetrics and Gynecology, Licensed Healthcare Professional, 10/25/2001-06/30/2002
Betty M. Mitchell, M.D., Preventive Medicine, PGY2 Limited, 07/01/2001-06/30/2002
Marvin J. Ott, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
James C. Pavelka, M.D., Obstetrics and Gynecology, PGY1 Limited, 07/01/2001-06/30/2002
Anna A. Petrova, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Bhuvanewari Ramaswamy, M.D., Internal Medicine, PGY3 Limited (Rotating), 10/01/2001-10/31/2001
Amy K. Ramey, M.D., Emergency Medicine, PGY1 Limited, 07/01/2001-06/30/2002
Abhik Ray Chaudhury, M.B.B.S., Pathology, Attending, 10/25/2001-06/30/2002
Archana V. Reddy, M.D., Ophthalmology, PGY2 Limited, 07/01/2001-06/30/2002
Timothy L. Smullen, M.D., Radiology, PGY2 Limited, 07/01/2001-06/30/2002
Rundsarah M. Tahboub, M.D., Internal Medicine, PGY2 Limited, 07/01/2001-06/30/2002
Diane H. Tran, M.D., Anesthesiology, PGY2 Limited, 10/25/2001-06/30/2002
Kalioppe E. Varakis, M.D., Radiology, PGY6 Limited, 10/25/2001-06/30/2002
Jacqueline S. Ward, M.D., Internal Medicine, Fellowship 1st Year, 10/25/2001-06/30/2002
Marvin Williams, Jr., D.O., Obstetrics and Gynecology, Fellowship 1st Year, 07/01/2001-06/30/2002
Yiqing Xu, M.D., Internal Medicine, Fellowship 1st Year, 09/20/2001-06/30/2002
Larry Z. Zheng, M.D., Radiology, Fellowship 1st Year, 07/01/2001-06/30/2002

Request for Additional/Special Privileges (The Ohio State University Medical Center)

October 2001

Gregory E. Guy, M.D., Radiology, Attending, Conscious Sedation, 10/25/2001-06/30/2002
Robert J. Masone, M.D., Anesthesiology, Attending, Surgical Yag, Holmium Laser, 10/25/2001-06/30/2002
Amer Rajab, M.D., Ph.D., Transplant Surgery, Attending, Nephrectomy, 10/25/01-06/30/2002

Medical Staff Appointments (The Ohio State University Medical Center)

November 2001

Victor J. Barney, M.D., Anesthesiology, Fellowship 1st Year, 11/15/2001-06/30/2003
Inbar Ben-Shachar, M.D., Obstetrics and Gynecology, PGY5 Limited, 11/15/2001-06/30/2003
Rebecca J. Bruner, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2003
Kenneth O. Cayce, M.D., Family Medicine, PGY1 Limited, 07/01/2001-06/30/2003
Eileen Lee Cohen, Ph.D., Family Medicine, Attending/PhD, 11/15/2001-06/30/2003
Mirela Crisan, M.D., Family Practice, PGY1 Limited, 07/01/2001-06/30/2003
Bakri H. El-Sheikh Mohamed, M.B.B.S., Neurology, PGY2 Limited, 07/01/2001-06/30/2003
Gordon Y. Kim, D.O., Internal Medicine, PGY4 Limited, 11/15/2001-06/30/2003

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Ohio State University Medical Center) (contd)

November 2001 (contd)

Cheri L. Kovalenko, C.N.P., Family Medicine, Licensed Healthcare Professional, 11/15/2001-06/30/2003
Richard F. Maier, Jr., D.O., Anesthesiology, Attending, 11/15/2001-06/30/2002
Sachida N. Manocha, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2002
Dawn D. Mattern, M.D., Family Medicine, Attending, 11/15/2001-06/30/2002
Lili Miles, M.D., Pathology, Fellowship 1st Year, 07/01/2001-06/30/2002
Megann K. Moses, D.M.D., Dentistry, PGY1 Limited, 11/15/2001-06/30/2002
Sunil K. Muppala, M.D., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2002
Stephanie D. Neider, M.D., Internal Medicine, Fellowship 1st Year, 07/01/2001-06/30/2002
Patrick A. Pasion, D.O., Anesthesiology, PGY2 Limited, 07/01/2001-06/30/2002
Umesh K. Sharma, M.B.B.S., Neurology, PGY2 Limited, 07/01/2001-06/30/2002
Lina Wang, M.D., Neurology, PGY2 Limited, 07/01/2001-06/30/2002

Medical Staff Appointments (The Ohio State University Hospitals East)

October 2001

Curtis L. Binder, M.D., Radiology, PGY2 Limited, 10/25/2001-06/30/2003
Adina M. Cioc, M.D., Pathology, PGY4 Limited, 10/25/2001-06/30/2003
Lance P. Criblez, M.D., Family Medicine, PGY1 Limited, 10/25/2001-06/30/2003
Elizabeth D. Euscher, M.D., Pathology, PGY5 Limited, 10/25/2001-06/30/2003
Renata B. Fabia, M.D., Ph.D., Surgery, PGY4 Limited, 10/25/2001-06/30/2003
Melissa K. Fenner, M.D., Emergency Medicine, Active, 10/25/2001-06/30/2003
Wenshi Gao, M.D., Anesthesiology, PGY2 Limited, 10/25/2001-06/30/2003
Vamshi K. Garlapaty, M.D., Internal Medicine, Active, 10/25/2001-06/30/2003
Brian H. Gelb, M.D., Emergency Medicine, Active, 10/25/2001-06/30/2003
Gregory E. Guy, M.D., Radiology, Active, 10/25/2001-06/30/2003
John M. Jonesco, D.O., Family Medicine, PGY2 Limited, 10/25/2001-06/30/2003
Vivek Kadyan, M.D., Internal Medicine, Active, 10/25/2001-06/30/2003
Eiad B. Kahwash, M.D., Pathology, PGY3 Limited, 10/25/2001-06/30/2003
Edward Kim, M.D., Radiology, PGY2 Limited, 10/25/2001-06/30/2003
Leon McDougale, M.D., Family Medicine, Active, 11/01/2001-06/30/2002
Christina M. Medrano, M.D., Family Medicine, PGY1 Limited, 10/25/2001-06/30/2002
Jason W. Nash, D.O., Pathology, PGY2 Limited, 10/25/2001-06/30/2002
Abhik Ray Chaudhury, M.B.B.S., Pathology, Active, 10/25/2001-06/30/2002
Nada A. Rikabi, M.D., Pathology, PGY2 Limited, 10/25/2001-06/30/2002
Anjali A. Satoskar, M.D., Pathology, PGY4 Limited, 10/25/2001-06/30/2002
Misty A. Shimp, R.N., C.N.P., Internal Medicine, Allied Health Professional, 10/25/2001-06/30/2002
Timothy L. Smullen, M.D., Radiology, PGY2 Limited, 10/25/2001-06/30/2002
Thomas E. Taylor, P.A., Orthopedic Surgery, Allied Health Professional, 10/25/2001-06/30/2002
Yan Wang, M.D., Pathology, PGY2 Limited, 10/25/2001-06/30/2002
JoAnna D. Williams, M.D., Pathology, PGY 2 Limited, 10/25/2001-06/30/2002
Larry Z. Zheng, M.D., Radiology, Fellowship 1st Year, 10/25/2001-06/30/2002

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute)

September, October, and November 2001

Initial Appointments – Faculty

Aamer Ar'rajab (Amer Rajab), M.D., Ph.D., Surgery, Transplant, Assistant Professor, Associate Attending

PERSONNEL ACTIONS (contd)

Medical Staff Appointments (The Arthur G. James Cancer Hospital and Richard J. Solove Research Institute) (contd)

September, October, and November 2001 (contd)

Initial Appointments – Faculty (contd)

Christopher P. Caputo, D.O., Internal Medicine, Cardiology, Clinical Assistant Professor, Associate Attending

Scott Holliday, M.D., Internal Medicine, General Medicine, Assistant Professor, Associate Attending

Kari L. Kendra, M.D., Ph.D., Internal Medicine, Hematology/Oncology, Assistant Professor, Attending

Nilsa Del Carmen Ramirez-Milan, M.D., Pathology, Associate Professor, Associate Attending

Abhik Ray Chaudhury, M.D., Pathology, Assistant Professor - Clinical, Associate Attending

Initial Appointments – Allied Health Professionals

Sue Bell, B.S.N., M.S.

Lisa Rader, B.S.N., M.S.

Provisional to Full Appointment – Faculty

John Byrd, M.D., Attending, Internal Medicine, Hematology/Oncology

Miriam Emile, M.D., Associate Attending, Internal Medicine, Hematology/Oncology

Howard Fischbach, M.D., Community Associate, Anesthesiology

Robert J. Gewirtz, M.D., Community Associate, Neurosurgery

Michael C. Gong, M.D., Ph.D., Associate Attending, Surgery, Urology

Michael R. Grever, M.D., Attending, Internal Medicine, Hematology/Oncology

Ferdinand Santos, M.D., Community Attending, Anesthesiology

Haifeng Wu, M.D., Associate Attending, Pathology

Reappointment – Community

Neil Cole, M.D., Community Associate, Neurosurgery

Additional privileges – GammaKnife

Robert J. Gewirtz, M.D., Community Associate, Neurosurgery

John M. McGregor, M.D., Associate Attending, Neurosurgery

RESOLUTIONS IN MEMORIAM

Resolution No. 2002-60

Synopsis: Approval of Resolutions in Memoriam is proposed.

RESOLVED, That the Board adopt the following Resolutions in Memoriam and that the President be requested to convey a copy to the families of the deceased.

Dale T. Friday

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 7, 2001, of Dale T. Friday, Professor Emeritus in the Department of Horticulture and Crop Science (formerly Agronomy).

RESOLUTIONS IN MEMORIAM (contd)

Dale T. Friday (contd)

Professor Friday held a Bachelor of Science degree and a Master of Science degree from The Ohio State University. He began his career with The Ohio State University in the Ohio State University Extension as a county agricultural agent in Putnam County in 1945. In 1959, he became a state extension agronomist, serving in that capacity until 1962, when he was appointed the first manager of the Ohio State Farm Science Review. In 1973, he accepted the additional responsibility as manager of farm operations in the College of Food, Agricultural, and Environmental Sciences. He served in both capacities until his retirement in 1981.

Dale Friday was a noted agronomist who pioneered research on forestry fertilization by airplane and originated the first aerial application of dry fertilizer. He served as project supervisor for the application of the first two carloads of liquid nitrogen fertilizer to be applied in the United States. Professor Friday was nationally recognized for his conduct of the Farm Science Review and its elevation to national recognition under his direction. He remained active in assisting the Farm Science Review until the time of his death.

Professor Friday was recognized and honored by several organizations during his long career. Among his numerous awards were the Distinguished Service Award from The Ohio State University, induction into the Ohio Agricultural Hall of Fame, and induction into the Farm Science Review Hall of Fame. He was recognized in 1983 by having the main street in the exhibit area of the Farm Science Review named in his honor. In 1994, Professor Friday's former student employees endowed a scholarship in his honor to benefit The Ohio State University students working at the Farm Science Review.

Dale Friday was an active member of not only the University community but also his local community. He was a charter member of the North American Farm Show Council, in which he served as president, vice president, secretary, treasurer, and executive coordinator; and a member of the Worthington United Methodist Church, in which he served in many leadership roles. He belonged to the Worthington Historical Society, in which he served as president; the Aladdin Shrine Temple; the American Society of Agronomy; and Gamma Sigma Delta.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Dale T. Friday its deepest sympathy and sense of understanding of their loss. It was directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Keith E. Richardson

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on September 28, 2001, of Keith Erwin Richardson, Professor Emeritus in the Department of Molecular and Cellular Biochemistry.

Born in Tucson, Arizona, Professor Richardson was raised in Provo, Utah. He earned Bachelor of Science and Master of Science degrees in chemistry from Brigham Young University, and a Ph.D. in biochemistry from Purdue University. He was a missionary during his college years for The Church of Jesus Christ of Latter-Day Saints in the Northern States Mission from 1948-1950, serving mainly in Alliance and Canton, Ohio.

Starting in 1960, Professor Richardson taught physiological chemistry at The Ohio State University to medical, dental, and graduate students. He advanced from assistant professor to full professor, retiring in 1992 with the title of professor emeritus. He was vice chair of his department for many years, and chaired many college committees, including those overseeing promotion and tenure and radiation safety.

Professor Richardson was an enzymologist and an authority on hyperoxaluria (the condition which causes urinary tract stones). He introduced the self-study program for medical biochemistry, replacing the lecture-discussion program; after which the class went from average

RESOLUTION IN MEMORIAM (contd)

Keith E. Richardson (contd)

grades to honors on the National Board Exam Part 1 in Biochemistry. He also trained a number of Ph.D. students.

He served The Church of Jesus Christ of Latter-Day Saints faithfully all his life. His duties for the Church included functioning as bishop of the Columbus Second Ward from 1980-1987.

On behalf of the University community, the Board of Trustees expresses to the family of Professor Emeritus Keith E. Richardson its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

Frank R. Strong

The Board of Trustees of The Ohio State University expresses its sorrow upon the death on October 12, 2001, of Frank R. Strong, Dean and Professor Emeritus of The Michael E. Moritz College of Law.

Frank Strong attended Yale University, where he was elected to Phi Beta Kappa as a junior and graduated summa cum laude in the spring of 1929. After teaching economics at the University of Delaware for two years, Dean Strong returned to Yale to pursue a degree in law. He graduated in 1934 after having served as editor-in-chief of the *Yale Law Journal* and being elected to the Order of the Coif legal honorary.

Dean Strong began his lifetime career in legal education at the University of Iowa. Later he moved to The Ohio State University where, except for a period as a civilian lawyer for the Navy, he served for more than twenty-five years – the final thirteen years as dean. In 1965, he accepted appointment as the Boshamer Distinguished Professor of Law at the University of North Carolina, serving until his retirement in 1976.

Frank Strong was a prolific scholar, authoring several books and more than twenty-five articles, most in the area of constitutional law, his specialty. His reputation as an academic led to his invitation to serve as a visiting professor at other leading law schools, including Duke, Cornell, the University of Michigan, Northwestern, the University of Kansas, Brigham Young University, the University of Texas, and Willamette University.

Professor Strong served his profession at the highest levels. He served as president of the Association of American Law Schools and as national officer of the Order of the Coif for ten years. Beloved by students and colleagues, Dean Strong was honored with the creation of both The Frank and Gertrude Strong Moot Court Award for the College of Law and The Frank R. Strong Chair in Law at The Ohio State University. The University of North Carolina named its "Frank R. Strong Faculty Library" in his honor.

Frank Strong leaves an abiding legacy not only with his family, but with the hundreds of students he nurtured, his colleagues in legal education, the scholarships he endowed through The Strong Fund at The Ohio State University, and the Children's Literature Collection in the School of Education at the University of Kansas which he endowed as a memorial to Gertrude Way Strong, his wife of more than sixty-nine years.

On behalf of the University community, the Board of Trustees expresses to the family of Frank R. Strong its deepest sympathy and sense of understanding of their loss. It is directed that this resolution be inscribed upon the minutes of the Board of Trustees and that a copy be tendered to his family as an expression of the Board's heartfelt sympathy.

REPORT OF RESEARCH CONTRACTS AND GRANTS

Resolution No. 2002-61

Synopsis: The reports on research and other sponsored program contracts and grants and the summary for October 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from governmental, industrial, and other agencies in support of research, instructional activities, and service; and

WHEREAS such monies are received through The Ohio State University Research Foundation:

NOW THEREFORE

BE IT RESOLVED, That the research agreement between The Ohio State University and The Ohio State University Research Foundation for the contracts and grants reported herein during the month of October 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT

Resolution No. 2002-62

Synopsis: The report on the receipt of gifts and the summary for October 2001 are presented for Board acceptance.

WHEREAS monies are solicited and received on behalf of the University from alumni, industry, and various individuals in support of research, instructional activities, and service; and

WHEREAS such gifts are received through The Ohio State University Development Fund and The Ohio State University Foundation; and

WHEREAS this report includes the establishment of thirteen (13) new named endowed funds and the amendment of three (3) named endowed funds:

NOW THEREFORE

BE IT RESOLVED, That the acceptance of the report from The Ohio State University Development Fund and The Ohio State University Foundation during the month of October 2001 be approved.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT

July through October
2000 Compared to 2001

GIFT RECEIPTS BY DONOR TYPE

	Dollars		
	July through October		
	<u>2000</u>	<u>2001</u>	<u>%Change</u>
Individuals:			
Alumni (Current Giving)	\$6,057,114	\$6,050,265	0
Alumni (From Bequests)	<u>910,525</u>	<u>1,876,712</u>	106
Alumni Total	\$6,967,639	\$7,926,977	14
Non-Alumni (Current Giving)	\$3,074,837	\$3,621,221	18
Non-Alumni (From Bequests)	<u>2,221,455</u>	<u>1,107,441</u>	(50)
Non-Alumni Total	\$5,296,292	\$4,728,662	(11)
Individual Total	\$12,263,931	\$12,655,639	3
Corporations/Corp/Foundations	\$9,919,288	\$12,817,546	29 ^A
Private Foundations	\$3,148,711	\$4,438,054	41 ^B
Associations and Other Organizations	<u>\$1,310,038</u>	<u>\$1,297,440</u>	(1)
Total	\$26,641,968	\$31,208,679	17

NOTES

- A Corporate giving is up 29% largely due to the fact that gifts of \$10,000 or more were up 37% (185 gifts for \$7.8 million last year; 226 gifts for \$10.7 million this year).
- B Foundation giving at the \$10,000 or more level is up for the first four months of the fiscal year (\$2.9 million from 49 gifts last year; \$4.2 million from 57 gifts this year).

REPORT ON UNIVERSITY DEVELOPMENT (contd)

TOTAL UNIVERSITY PRIVATE SUPPORT (contd)

July-October
2000 Compared to 2001

GIFT RECEIPTS BY PURPOSE

	Dollars July through October		
	<u>2000</u>	<u>2001</u>	<u>% Change</u>
Gift Receipts to Current Use and Endowment Funds:			
Buildings/Equipment	\$2,931,710	\$4,369,546	49
Faculty Support	\$3,013,697	\$1,665,875	(45)
Program Support	\$14,346,149	\$18,421,810	28
Student Financial Aid	\$3,512,211	\$4,167,337	19
Annual Funds-Colleges/Departments	\$2,662,440	\$2,437,369	(8)
Annual Funds-University	<u>\$175,761</u>	<u>\$146,742</u>	(17)
Total	\$26,641,968	\$31,208,679	17

GIFT ADDITIONS TO ENDOWMENT

Dollars
July through October

<u>2000</u>	<u>2001</u>	<u>% Change</u>
\$6,693,489	\$8,688,048	30

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Ruth and S.N. McIntosh Memorial Scholarship Fund (Support scholarship/s to undergraduate students in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Shippley N. McIntosh and Ruth Merriman McIntosh)		\$145,000.00	\$145,000.00
The Dr. Ralph Clark Dunn Endowed Scholarship Fund (Support student interested in practicing veterinary medicine; provided by gifts from Evelyn Cahill honoring her brother-in-law Dr. Ralph C. Dunn)		\$75,000.00	\$75,000.00
The H.O.P.E.S. Endowment Fund (Support programs and activities in Health Outcomes, Policy and Evaluation Studies in the Health Sciences; provided by gifts from corporate, foundation, and individual donors)		\$40,000.00	\$40,000.00
The Alvin and Dan Reuwee Memorial Fund (Support program-related activities for student in the Alpha Zeta Partners Leadership Program in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Pauline Reuwee, Barbara Reuwee, family and friends, NOBA, and the Dairy Farmers of America in memory of Alvin D. Reuwee, Sr. and A. Daniel Reuwee, Jr.)		\$19,190.00	\$19,190.00
The Tappen Endowment Fund (For ongoing general support at the discretion of the Wexner Center for the Arts; provided by gifts from proceeds of Mark T. Tappen's Columbus Life policy)	\$15,000.00		\$15,000.00

Change in Name of Named Endowed Fund

From: The Tahlman Krumm Engineering Memorial Fund
 To: The Tahlman and Ione Beaton Krumm Engineering Memorial Fund

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds

From: The Martha King Scholarship Award
 To: The Martha King Scholarship Award Fund

From: The Moira McKenzie Award for Graduate Student Study
 To: The Moira McKenzie Scholarship Fund in Children's Literacy Learning

THE OHIO STATE UNIVERSITY FOUNDATION

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds</u>			
The Health Services Management and Policy Professorship Fund (Provide salary and program support for a distinguished teacher and scholar in the Health Services Management and Policy Program; provided by a gift from alumni and friends of the HSMP Program)		\$113,466.22	\$113,466.22
Morse Scholarship Fund for Mount Scholars/Leaders in the College of Engineering (Provide scholarship(s) to Mount Scholars/Leaders in engineering; provided by gifts from Alan Gordon Morse)		\$50,000.00	\$50,000.00
Michael F. Johnson Innovation Endowment Fund (To be used by the dean of The Max M. Fisher College of Business to support activities of the faculty, students, and staff; provided by gifts from Michael F. Johnson)		\$45,205.15	\$45,205.15
The Dr. Lee E. Watkins Vice President's Excellence Endowment Fund (Support programs in the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Dr. Lee E. Watkins with matching gifts from Eli Lilly)		\$30,351.96	\$30,351.96
The Milton and Floyd G. Bender Scholarship Fund in Pharmacy (Provide scholarship(s) to students in the College of Pharmacy; provided by a gift from Betsy and Floyd G. Bender)		\$26,204.94	\$26,204.94
The Susan and Ken Feintheil University Scholars Fund (Provide scholarships through the Merit Scholarship Initiative for University Scholars; provided by a gift from Susan and Ken Feintheil)		\$25,071.88	\$25,071.88

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

	<u>Previous Gifts</u>	<u>Current Gifts</u>	<u>Total Gifts</u>
<u>Establishment of Named Endowed Funds (contd)</u>			
The Samuel B. Erskine 4-H Scholarship Fund (Fund scholarships for 4-H members entering the College of Food, Agricultural, and Environmental Sciences; provided by gifts from Maxine Marquand Erskine and James W. Marquand)		\$25,000.00	\$25,000.00
The John and Linda Mercer Scholarship Fund (Support undergraduate student(s) of the College of Education and/or School of Music; provided by gifts from John Mercer and Linda Mercer)		\$25,000.00	\$25,000.00
	<hr/>	<hr/>	<hr/>
Total	\$15,000.00	\$619,490.15	\$634,490.15

THE OHIO STATE UNIVERSITY ENDOWMENT FUND

Establishment of Named Endowed Funds

The Ruth and S. N. McIntosh Memorial Scholarship Fund

The Ruth and S. N. McIntosh Memorial Scholarship Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from Shippley N. McIntosh (B.S.Agr. 1928) and Ruth Merriman McIntosh.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support scholarship(s) to undergraduate students enrolled in the College of Food, Agricultural, and Environmental Sciences who are from Ohio or West Virginia. First preference for scholarship recipients are those students enrolled in the Department of Human and Community Resource Development with an emphasis on extension education; second preference shall be for students enrolled in the Department of Agricultural, Environmental, and Development Economics; and third preference shall be for students enrolled in the Department of Animal Sciences who are specializing in dairy science.

Scholarship awards shall be based on student need, merit, and demonstrated leadership as determined by the College of Food, Agricultural, and Environmental Sciences Scholarship Committee in consultation with the vice president for Agricultural Administration and University Outreach or their designee, the director of the Ohio State University Extension or their designee, and the University Committee for Student Financial Aid. The vice president for Agricultural Administration and University Outreach or their designee shall direct the annual scholarship income.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board in consultation with the director of OSU Extension, chair of the

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The Ruth and S. N. McIntosh Memorial Scholarship Fund (contd)

Department of Human and Community Resource Development, and/or Animal Sciences or their designee(s) or the successor department chairs in order to carry out the desire of the donors.

\$145,000.00

The Dr. Ralph Clark Dunn Endowed Scholarship Fund

The Dr. Ralph Clark Dunn Endowed Scholarship Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from Mrs. Evelyn Cahill of Bryan, Texas, to honor her brother-in-law, Dr. Ralph C. Dunn (D.V.M. 1911).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support one (1) student per year based on merit who is interested in practicing veterinary medicine. First preference will be given to a student from Seneca County. If a student from Seneca County is not eligible, then the most qualified student from any county in Ohio may be selected. Recipients will be selected by the dean of the College of Veterinary Medicine in consultation with the associate dean for Student Affairs and the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees as recommended by the dean of the College of Veterinary Medicine in order to carry out the desire of the donor.

\$75,000.00

The H.O.P.E.S. Endowment Fund

The H.O.P.E.S. Endowment Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from corporate, foundation and individual donors who support the activities and programs of Health Outcomes, Policy and Evaluation Studies.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs and activities related to research, education, and training, and patient care in Health Outcomes, Policy, and Evaluation Studies in the Health Sciences. Fund allocation shall be made as recommended by the director of Health Outcomes, Policy, and Evaluation Studies in consultation with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health. The income may support, but is not limited to: scientific supplies, equipment, travel, conferences, salaries for research personnel and activities required for quality research and programs.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY ENDOWMENT FUND (contd)

Establishment of Named Endowed Funds (contd)

The H.O.P.E.S. Endowment Fund (contd)

shall be designated by the Board of Trustees in consultation with the director of Health Outcomes, Policy, and Evaluation Studies and with the senior vice president for Health Sciences and dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$40,000.00

The Alvin and Dan Reuwee Memorial Fund

The Alvin and Dan Reuwee Memorial Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from Pauline Reuwee, Barbara Reuwee (B.S.Soc.Wel. 1969), family and friends, NOBA, and the Dairy Farmers of America in memory of Alvin D. Reuwee, Sr. (B.S.Agr. 1935) and A. Daniel Reuwee, Jr. (B.S.Agr. 1965, M.A. 1969 Journalism).

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support program-related activities for a student participating in the Alpha Zeta Partners Leadership Program in the College of Food, Agricultural, and Environmental Sciences. The recipient shall be of sophomore or junior ranking. Preference shall go to a student who has demonstrated interest and/or has completed coursework in cooperative education and/or dairy marketing and/or agricultural journalism.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the vice president for Agricultural Administration and University Outreach, or their successor or an appropriate administrative officer or their successor in order to carry out the desire of the donors.

\$19,190.00 (Grandfathered)

The Tappen Endowment Fund

The Tappen Endowment Fund was established December 6, 2001, by the Board of Trustees of The Ohio State University with gifts from proceeds of Mark T. Tappen's (M.A. 1982) Columbus Life policy.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used for ongoing general support at the discretion of the Wexner Center for the Arts.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the director of the Wexner Center for the Arts in order to carry out the desire of the donor.

\$15,000.00 (Grandfathered)

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name of Named Endowed Fund

The Tahlman and Ione Beaton Krumm Engineering Memorial Fund

The Tahlman Krumm Engineering Memorial Fund was established February 2, 1973, by the Board of Trustees of The Ohio State University with a gift to The Ohio State University Development Fund from Ione Beaton Krumm (Edu-W 1936) of Columbus, Ohio, in memory of her husband, Tahlman Krumm (B.C.E. 1934), who passed away August 16, 1969. Mrs. Krumm died on October 6, 2001. At the request of her family and the Department of Civil and Environmental Engineering and Geodetic Science, the name of the fund was revised to include the addition of her name on December 6, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income is to be used as a discretionary fund to further the progress of the Department of Civil and Environmental Engineering and Geodetic Science. Disbursements are to be made upon recommendation of the chairperson of the Department of Civil and Environmental Engineering and Geodetic Science and the dean of the College of Engineering.

It is the desire of the donors that this fund should benefit the University in perpetuity. Should the Department of Civil and Environmental Engineering and Geodetic Science or the College of Engineering cease to exist through reorganization of the University, then the income may be used by the Board of Trustees, with preference being given to the recommendations of the appropriate administrative official of the University who is then responsible for engineering instruction.

Change in Name and Description of Named Endowed Funds

The Martha King Scholarship Award Fund

The Martha King Scholarship Award was established December 14, 1984, by the Board of Trustees of The Ohio State University with gifts from friends and colleagues of Martha L. King (Ph.D. 1957) in recognition for her work in the area of children's language and literacy. The name and description are being revised December 6, 2001.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one research award for an individual entering the doctoral program in the language arts, with preference given to one working in the expressive arts. Selection of the recipient shall be made by faculty in the area of language arts, in consultation with the College of Education Scholarship Committee. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the dean of the College of Education in order to carry out the desire of the donors.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY DEVELOPMENT FUND (contd)

Change in Name and Description of Named Endowed Funds (contd)

The Moira McKenzie Scholarship Fund in Children's Literacy Learning

The Moira McKenzie Award for Graduate Student Study in Children's Literacy Learning was established December 2, 1994, by the Board of Trustees of The Ohio State University with gifts from friends, colleagues, and family of Moira McKenzie (Ph.D. 1974). The name and description are being revised December 6, 2001.

Dr. Moira McKenzie, recipient of the College of Education Award of Distinction in 1992, personified international distinction with her contributions of the education of young people in both England and the United States. While pursuing a doctorate at The Ohio State University in 1974, she helped to develop the innovation pre-service education strand know as EPIC (Educational Programs in Integrated Classrooms). Returning to England, she was director of the Centre for Language in Primary Education of the Inner London Education Authority. In recognition of her contributions to young children's education in England, Queen Elizabeth II made her a Member of the British Empire. Dr. Moira McKenzie died October 14, 1993. The Moira McKenzie Scholarship in Children's Literacy Learning recognizes her commitment to early literacy and The Ohio State University, and stands as a memorial to her achievement.

All gifts are to be invested in the University's Permanent Endowment Fund, under the rules and regulations adopted by the Board of Trustees of The Ohio State University, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support a student who is preparing to be an elementary teacher. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Board of Trustees in consultation with the appropriate dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

THE OHIO STATE UNIVERSITY FOUNDATION

Establishment of Named Endowed Funds

The Health Services Management and Policy Professorship Fund

The Health Services Management and Policy Professorship Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from alumni and friends of the HSMP Program.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be added to the principal until the minimum amount for funding an endowed professorship (\$750,000) has been reached. At that time, the annual income shall be used to provide salary and program support for the work of a distinguished teacher and scholar in the Health Services Management and Policy Program. Distribution will be made at the recommendation of the director of the Health Services Management and Policy Program in consultation with the director of the School of Public Health, and the senior vice president of Health Sciences and the dean of the College of Medicine and Public Health.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Health Services Management and Policy Professorship Fund (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of Health Services Management and Policy Program, the director of the School of Public Health, and the senior vice president of Health Sciences and the dean of the College of Medicine and Public Health in order to carry out the desire of the donors.

\$113,466.22

Morse Scholarship Fund for Mount Scholars/Leaders in the College of Engineering

The Morse Scholarship Fund for Mount Scholars/Leaders in the College of Engineering was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Alan Gordon Morse (B.A. 1980, Communication), Westerville, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships to those students who are Mount Scholar/Leaders majoring in engineering, with first preference being students majoring in electrical engineering. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, school director, department chairperson, or program administrative officer in order to carry out the desire of the donor.

\$50,000.00

Michael F. Johnson Innovation Endowment Fund

The Michael F. Johnson Innovation Endowment Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Michael F. Johnson (B.S.Bus.Adm. 1974).

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used by the dean of The Max M. Fisher College of Business to support activities of the faculty, students, and staff in accordance with the strategic plans of the college. It is the preference of the donor that if a student from Mogadore High School (Mogadore, Ohio) is attending the University that a portion of the annual distribution from this endowment is offered to them as a scholarship during the first year of their enrollment. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

Michael F. Johnson Innovation Endowment Fund (contd)

shall be designated by the Foundation Board as recommended by the person or unit with spending authority and/or appropriate University official in order to carry out the desire of the donor.

\$45,205.15

The Dr. Lee E. Watkins Vice President's Excellence Endowment Fund

The Dr. Lee E. Watkins Vice President's Excellence Endowment Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Dr. Lee E. Watkins (B.S.Agr. 1967, M.S. 1969, Ph.D. 1974) of New Palestine, Indiana. Matching gifts were made by Eli Lilly.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support programs identified by the vice president for Agricultural Administration and University Outreach as current and critical priorities in the College of Food, Agricultural, and Environmental Sciences. Uses of the fund shall include, but not to be limited to, support of: positive, creative work environment for students, faculty, and staff; "outreach" to our external audiences; encouragement and promotion of student organizations; scholarships; professional development; college promotion and advancement; and other emerging college priorities.

The use of the annual income shall be directed by the vice president for Agricultural Administration and University Outreach or their designee.

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the vice president for Agricultural Administration and University Outreach, or their successor, in order to carry out the desire of the donor.

\$30,351.96

The Milton and Floyd G. Bender Scholarship Fund in Pharmacy

The Milton and Floyd G. Bender Scholarship Fund in Pharmacy was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Betsy and Floyd G. (B.S.Pharm. 1959) Bender of Middletown, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide one or more scholarships in varying amounts to deserving students in the College of Pharmacy who have difficulty meeting tuition and fee expenses and exhibit potential for success at The Ohio State University and in the profession of pharmacy. Preference will be given to those students of underrepresented groups. The scholarship(s) will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Milton and Floyd G. Bender Scholarship Fund in Pharmacy (contd)

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Pharmacy in order to carry out the desire of the donors.

\$26,204.94

The Susan and Ken Feintheil University Scholars Fund

The Susan and Ken Feintheil University Scholars Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with a gift from Susan and Ken (B.A. 1955) Feintheil of Loveland, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to provide scholarships through the Merit Scholarship Initiative for University Scholars. First preference shall be for students majoring in finance and/or banking in The Max M. Fisher College of Business. If there is not an eligible student in finance and/or banking, then a recipient shall be selected from among University Scholars enrolled in the Colleges of the Arts and Sciences. This fund will be administered by the University Honors and Scholars Center in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the appropriate college dean, department chairperson, or program administrative officer in order to carry out the desire of the donors.

\$25,071.88

The Samuel B. Erskine 4-H Scholarship Fund

The Samuel B. Erskine 4-H Scholarship Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from Maxine Marquand Erskine and James W. Marquand (B.S.Agr. 1948, M.S. 1951), Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to fund scholarships for 4-H members entering the College of Food, Agricultural, and Environmental Sciences including the Agricultural Technical Institute, with preference to 4-H members from Ross, Athens, and other Appalachian counties in Ohio. Applicants must be a senior in high school during the year of application. The recipient of the scholarship will be determined by the College of Food, Agricultural, and Environmental Sciences on an annual basis. The scholarship shall be equally divided and awarded for three consecutive quarters providing the recipient maintains a minimum G.P.A. of 2.0 or above. The applicant must have demonstrated active 4-H and community involvement in leadership, citizenship, and community activities. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

REPORT ON UNIVERSITY DEVELOPMENT (contd)

THE OHIO STATE UNIVERSITY FOUNDATION (contd)

Establishment of Named Endowed Funds (contd)

The Samuel B. Erskine 4-H Scholarship Fund (contd)

It is the desire of the donor that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the director of Ohio State University Extension in order to carry out the desire of the donor.

\$25,000.00

The John and Linda Mercer Scholarship Fund

The John and Linda Mercer Scholarship Fund was established December 6, 2001, in accordance with the guidelines approved by the Board of Directors of The Ohio State University Foundation, with gifts from John Mercer (B.S.Agr.E. 1952, B.S.Ed. 1962) and Linda Mercer (B.S.Ed. 1957; M.A. 1965, Music; Ph.D. 1974, Music) of Columbus, Ohio.

All gifts are to be invested by the Foundation, under the rules and regulations adopted by the Foundation's Board of Directors, with the right to invest and reinvest as occasion dictates.

The annual income shall be used to support an undergraduate student(s) of the College of Education and/or the School of Music who has an interest in teaching in the public school system, with first preference given to a student(s) pursuing a teaching career(s) in physical science or music. The annual income shall alternate between the College of Education and the School of Music each year in order to provide a single scholarship until the annual income exceeds \$2,000.00, at which time, the annual income shall be divided evenly between the College of Education and the School of Music. The scholarship will be awarded in consultation with the University Committee on Student Financial Aid.

It is the desire of the donors that this fund should benefit the University in perpetuity. If the need for this fund should cease to exist or so diminish as to provide unused income, then another use shall be designated by the Foundation Board in consultation with the dean of the College of Education and the director of the School of Music in order to carry out the desire of the donors.

\$25,000.00

**AUTHORIZATION FOR DESIGNATED OFFICIALS
TO BUY, SELL, ASSIGN AND TRANSFER SECURITIES,
TO DEPOSIT OR WITHDRAW FUNDS FROM BANK ACCOUNTS,
AND TO DESIGNATE DEPOSITORIES**

Resolution No. 2002-63

Synopsis: Reauthorization for designated officials to buy, sell, assign, and transfer securities, to deposit or withdraw funds from bank and investment accounts held in the name of The Ohio State University, to designate depositories, and to execute related agreements is proposed.

WHEREAS designated officials of the University buy, sell, assign, and transfer stocks, bonds, and other financial instruments owned by The Ohio State University; and

WHEREAS various financial institutions are designated as depositories of The Ohio State University; and

**AUTHORIZATION FOR DESIGNATED OFFICIALS
TO BUY, SELL, ASSIGN AND TRANSFER SECURITIES,
TO DEPOSIT OR WITHDRAW FUNDS FROM BANK ACCOUNTS,
AND TO DESIGNATE DEPOSITORIES (contd)**

WHEREAS accounts at various financial institutions are opened and maintained in the name of The Ohio State University:

NOW THEREFORE

BE IT RESOLVED, That the Treasurer and/or Senior Vice President for Business and Finance and Chief Financial Officer be authorized to buy, sell, assign, and transfer any and all stocks, bonds, evidence of interest and/or indebtedness, insurance investments, rights and options to acquire or to sell the same, and all other securities corporate or otherwise, standing in the name of or belonging to The Ohio State University in any capacity; and

BE IT FURTHER RESOLVED, That these officials be authorized to designate various financial institutions as depositories for The Ohio State University and to open and maintain accounts at various financial institutions in the name of The Ohio State University; to sign Agreements for bank, investment and financial services; and

BE IT FURTHER RESOLVED, That these officials be authorized, on behalf of The Ohio State University and in its name, to sign checks, drafts, notes, bills of exchange, letters of credit, acceptances or other orders for the payment of money from said accounts; to endorse in writing or by stamp checks, notes, bills, certificates of deposit or other instruments owned or held by this University for deposit in said accounts or for collection or discount by said banks; to accept drafts, acceptances, and other instruments payable to said banks; to waive, demand, protest, file notice of protest, or dishonor any check, note, bill, draft or other instrument made, drawn or endorsed by the University.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS**

Resolution No. 2002-64

DRACKETT AND TAYLOR TOWERS FIRE ALARM SYSTEM UPGRADE
MARION CAMPUS STUDENT SERVICES BUILDING
STATE ROUTE 315 ACCESS TO MEDICAL CENTER
STARLING-LOVING HALL - BIOSTATISTICS
HOPKINS HALL CERAMICS FACILITY RENOVATION – PHASE I
ARPS HALL LAB RENOVATION
CAMPBELL HALL – CHILLER REPLACEMENT
CAMPUS BUILDINGS – OSHA FUME HOOD MONITORS, PHASE I
CAMPUS GROUNDS STREET REBUILD
CAMPUS LIGHTING PHASE VII
CENTRAL CLASSROOM – ROOF REPLACEMENT
CONVERSE HALL – ROOF REPLACEMENT
DERBY HALL – ROOF REPLACEMENT
FAWCETT CENTER – UNIVERSITY DEVELOPMENT PHASE I
HUGHES HALL AUDITORIUM ACCESSIBILITY
JONES TOWER – FIRE ALARM SYSTEM REPLACEMENT
KINNEAR ROAD CENTER BUILDING “A” ROOF REPLACEMENT
McCRACKEN STEAM TURBINE VIBRATION MONITORING
MOUNT HALL – HVAC MODIFICATIONS
OXLEY HALL – ROOF REPLACEMENT
POMERENE HALL RENOVATION
STARLING-LOVING INSTALL FIRE PUMP

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

THOMPSON LIBRARY CARPETING
THOMPSON LIBRARY – ROOF REPLACEMENT
UTILITIES – HIGH VOLTAGE ELECTRIC CIRCUIT
VETERINARY HOSPITAL – ROOF REPLACEMENT PHASE I
WATERMAN LABORATORY HEADQUARTERS

Synopsis: Authorization to employ architect/engineering firms for the Drackett and Taylor Towers Fire Alarm System Upgrade, Marion Campus Student Services Building, State Route 315 Access to Medical Center, and Starling-Loving Hall – Biostatistics projects, request construction bids for the Drackett and Taylor Towers Fire Alarm System Upgrade, Marion Campus Student Services Building and Starling-Loving Hall – Biostatistics projects, and acceptance of the report of award of contracts for the Hopkins Hall Ceramics Facility Renovation – Phase I, Arps Hall Lab Renovation, Campbell Hall – Chiller Replacement, Campus Buildings – OSHA Fume Hood Monitors Phase I, Campus Grounds Street Rebuild, Campus Lighting Phase VII, Central Classroom – Roof Replacement, Converse Hall – Roof Replacement, Derby Hall – Roof Replacement, Fawcett Center – University Development Phase I, Hughes Hall Auditorium Accessibility, Jones Tower – Fire Alarm System Replacement, Kinnear Road Center Building “A” Roof Replacement, McCracken Steam Turbine Vibration Monitoring, Mount Hall – HVAC Modifications, Oxley Hall – Roof Replacement, Pomerene Hall Renovation, Starling-Loving Install Fire Pump, Thompson Library Carpeting, Thompson Library – Roof Replacement, Utilities High Voltage Electric Circuit, Veterinary Hospital – Roof Replacement Phase I, and Waterman Laboratory Headquarters projects are requested.

WHEREAS Student Affairs desires to replace the existing fire alarm system in Drackett and Taylor Towers; and

WHEREAS the total estimated project cost is \$1,034,431 and the total estimated construction cost is \$875,387, with funding provided by University bond proceeds with debt service paid by the Student Affairs Revenue Fund; and

WHEREAS the Marion Campus desires to construct a two-story structure to house the expanded student services, master of social work program, and nursing program; and

WHEREAS the total estimated project cost is \$3,730,169 and the total estimated construction cost is \$2,860,559, with funding provided by House Bill 640 (\$1,364,050), University bond proceeds with debt service paid by the Marion Campus (\$1,100,000), and Marion Campus (\$1,266,119); and

WHEREAS University Hospitals desires to finalize the design begun in 1982 for the construction of a northbound State Route 315 exit ramp to Cannon Drive and a southbound entrance ramp from Cannon Drive to State Route 315; and

WHEREAS the preliminary design and Cannon Drive widening was completed in early 1995 with the balance of the project placed on hold until the Ohio Department of Transportation received funding for the construction of the ramps; and

WHEREAS the estimated design cost is \$800,000, with funding provided by University Hospitals and the estimated construction cost is \$10,000,000, with funding provided jointly by the Ohio Department of Transportation and the OSU Medical Center and with project bidding and construction administration under the direction of the Ohio Department of Transportation; and

WHEREAS the Office of Research desires to renovate space in Starling-Loving Hall to expand Biostatistics space; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

WHEREAS the total estimated project cost is \$315,000 and the total estimated construction cost is \$252,000, with funding provided by the Office of Research; and

WHEREAS resolutions adopted by the Board of Trustees on December 2, 1994, December 6, 1996, February 5, 1999, July 2, 1999, November 5, 1999, August 30, 2000, October 6, 2000, November 3, 2000, February 2, 2001, and June 1, 2001 authorized the President and/or Vice President for Business and Administration to request construction bids in accordance with established State of Ohio and University procedures, and if satisfactory bids were received to award contracts for the following projects:

(*Recommended alternates included in these amounts)

Hopkins Hall Ceramics Facility Renovation – Phase I

This project upgrades the kiln area in the basement of the building. The total project cost is \$1,012,726; funding is provided by House Bill 850 (\$945,200), College of the Arts (\$41,526), and Central University funds (\$26,000). The completion date is December 2001. The contracts awarded are as follows:

Design:	Bogden Architects, Columbus, Ohio
General Contract:	Corporate Construction Services, Columbus, Ohio
Amount:	\$398,515 * +
Estimate:	\$366,600 * +
Plumbing Contract:	Corporate Construction Services, Columbus, Ohio
Amount:	\$41,580
Estimate:	\$51,350
HVAC Contract:	Martina Metals, Columbus, Ohio
Amount:	\$113,228
Estimate:	\$115,500
Electric Contract:	Buckeye Electric, Dayton, Ohio
Amount:	\$64,500
Estimate:	\$68,000
Total All Contracts	\$617,823
Contingency Allowance:	\$123,672
Total Project Cost:	\$1,012,726

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Arps Hall Lab Renovation

This project renovates areas in the basement of Arps Hall to provide learning laboratories for the College of Education students and faculty. The total project cost is \$420,860; funding is provided by House Bill 748 (\$12,000), House Bill 790 (\$371,860), Classroom Pool (\$15,000) and College of Education (\$22,000). The completion date is December 2001. The contracts awarded are as follows:

Design:	Triad Architects, Inc., Columbus, Ohio
General Contract:	Francis Wayne Ltd., Springfield, Ohio
Amount:	\$112,335 * +
Estimate:	\$103,500 * +
Combined Plumbing/ HVAC Contract:	Radico, Inc., Columbus, Ohio
Amount:	\$153,700 *
Estimate:	\$173,000 *

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Arps Hall Lab Renovation (contd)

Electric Contract:	Prime State Electric Inc., Columbus, Ohio
Amount:	\$77,575
Estimate:	\$92,000
Total All Contracts:	\$343,610 *
Contingency Allowance:	\$38,155
Total Project Cost:	\$420,860

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Campbell Hall – Chiller Replacement

This project replaces two existing 150-ton centrifugal chillers including correct sizing and configuration, and modification of the cooling tower, circulating pumps, and piping serving Campbell Hall. The total project cost is \$310,778; funding is provided by House Bill 748. The completion date is November 2001. The contracts awarded are as follows:

Design:	Prater Engineering, Columbus, Ohio
HVAC Contract:	General Temperature, Canal Winchester, Ohio
Amount:	\$253,750 *
Estimate:	\$306,813 *
Electric Contract:	Fetter Electric, Granville, Ohio
Amount:	\$12,950 *
Estimate:	\$19,500 *
Total All Contracts:	\$266,700
Contingency Allowance:	\$35,003
Total Project Cost:	\$310,778

Campus Buildings – OSHA Fume Hood Monitors, Phase I

This project installs OSHA required fume hood monitors on existing fume hoods that were not required to be so equipped when installed. The total project cost is \$500,000; funding is provided by House Bill 850. The completion date is April 2002. The contract awarded is as follows:

Design:	Prater Engineering, Columbus, Ohio
HVAC Contract:	Pete Miller Inc., Marion, Ohio
Amount:	\$415,939 *
Estimate:	\$564,825 *
Total All Contracts:	\$415,939
Contingency Allowance:	\$38,822
Total Project Cost:	\$500,000

Campus Grounds Street Rebuild

This project upgrades the base and repairs damages caused by excessive bus traffic resulting from rerouting of bus service onto street sections not constructed to support heavy traffic. The total project cost is \$515,595; funding is provided by House Bill 640 (\$500,000) and Transportation and Parking (\$15,595). The completion date is September 2001. The contract awarded is as follows:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Campus Grounds Street Rebuild (contd)

Design:	The Ohio State University
General Contract:	G. Marchi & Sons, Columbus, Ohio
Amount:	\$420,306 *
Estimate:	\$440,000 *
Total All Contracts:	\$420,306
Contingency Allowance:	\$59,563
Total Project Cost:	\$515,595

Campus Lighting Phase VII

This project continues to upgrade lighting to current standards in the north academic core to respond to continuing safety concerns. The total project cost is \$500,144; funding is provided by House Bill 640 (\$500,000) and Department of Physical Facilities (\$144). The completion date is March 2002. The contract awarded is as follows:

Design:	The Ohio State University
Electric Contract:	Jess Howard Electric, Blacklick, Ohio
Amount:	\$454,515 * +
Estimate:	\$433,889 * +
Total All Contracts:	\$454,515
Contingency Allowance:	\$22,726
Total Project Cost:	\$500,144

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Central Classroom Building – Roof Replacement

This project replaces the deteriorated, leaking roof system over the entire building and provides masonry repointing of the joints on the parapets. The total project cost is \$189,650; funding is provided by Department of Physical Facilities (\$119,196) and Department of Facilities Planning and Development (\$70,454). The completion date is November 2001. The contract awarded is as follows:

Design:	Schorr & Associates, Columbus, Ohio
General Contract:	Kalkrueth Roofing & Sheet Metal, Wheeling, West Virginia
Amount:	\$161,500 *
Estimate:	\$168,000 *
Total All Contracts:	\$161,500
Contingency Allowance:	\$16,150
Total Project Cost:	\$189,650

Converse Hall – Roof Replacement

This project replaces the built-up roofing and slate shingles with a modified roof system. The total project cost is \$183,498; funding is provided by House Bill 748 (\$175,000) and Department of Physical Facilities (\$8,498). The completion date is November 2001. The contract awarded is as follows:

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Converse Hall – Roof Replacement (contd)

Design:	The Ohio State University
General Contract:	Chemcote Roofing, Amlin, Ohio
Amount:	\$162,520 +
Estimate:	\$148,750 +
Total All Contracts:	\$162,520
Contingency Allowance:	\$8,127
Total Project Cost:	\$183,498

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Derby Hall – Roof Replacement

This project replaces deteriorated copper roofing on the building. The total project cost is \$439,357; funding is provided by House Bill 850. The completion date is January 2002. The contract awarded is as follows:

Design:	Jack D. Walters and Associates, Columbus, Ohio
General Contract:	Franklin Roofing, Painesville, Ohio
Amount:	\$358,200 *
Estimate:	\$378,400 *
Total All Contracts:	\$358,200
Contingency Allowance:	\$35,820
Total Project Cost:	\$439,357

Fawcett Center – University Development Phase I

This project renovates space in the Fawcett Center to provide calling center, conference room, and staff lounge for University Development. The total project cost is \$540,558; funding is provided by University Development. The completion date is December 2001. The contracts awarded are as follows:

Design:	DSI Architects, Columbus, Ohio
General Contract:	J & M Bennett Company, Columbus, Ohio
Amount:	\$135,400
Estimate:	\$214,466
Combined Plumbing/ HVAC Contract:	Fox Mechanical, Columbus, Ohio
Amount:	\$128,000 +
Estimate:	\$123,311 +
Electric Contract:	Knight Electric, Columbus, Ohio
Amount:	\$66,376
Estimate:	\$72,566
Total All Contracts:	\$329,776
Contingency Allowance:	\$37,462
Total Project Cost:	\$540,558

+ The Combined Plumbing/HVAC Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Hughes Hall Auditorium Accessibility

This project installs a chairlift in the south stairs from the auditorium to the basement level, improves accessibility of restrooms, and modifies front rows of fixed seating to provide wheelchair and companion seating location. The total project cost is \$200,000; funding is provided by House Bill 748 (\$14,789) and House Bill 790 (\$185,211). The completion date is December 2001. The contracts awarded are as follows:

Design:	Renouveau Design, Inc., Columbus, Ohio
General Contract:	GHM, Inc., Columbus, Ohio
Amount:	\$123,298 *
Estimate:	\$126,090 *
Plumbing Contract:	LTM Plumbing and HVAC Co., Inc., Columbus, Ohio
Amount:	\$28,000 +
Estimate:	\$27,496 +
Electric Contract:	States Electric, Columbus, Ohio
Amount:	\$16,700 +
Estimate:	\$15,282 +
Total All Contracts:	\$167,998
Contingency Allowance:	\$11,382
Total Project Cost:	\$200,000

+ The Plumbing and Electric Contracts are in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Jones Tower – Fire Alarm System Replacement

This project replaces the existing fire alarm system with new intelligent photoelectric smoke detectors and audible bases that are fully addressable with Fire-Print based technology. The total project cost is \$453,065; funding is provided by University bond proceeds with debt service paid by Student Affairs. The completion date is December 2001. The contract awarded is as follows:

Design:	Korda Nemeth Engineering, Columbus, Ohio
Electric Contract:	Accurate Electric Construction, Inc., Columbus, Ohio
Amount:	\$368,900
Estimate:	\$440,000
Total All Contracts:	\$368,900
Contingency Allowance:	\$31,890
Total Project Cost:	\$453,065

Kinnear Road Center Building "A" Roof Replacement

This project replaces the deteriorated membrane on the lower north side and the existing built-up roof over the upper roof to the south. The total project cost is \$164,608; funding is provided by House Bill 640. The completion date is November 2001. The contract awarded is as follows:

Design:	The Ohio State University
General Contract:	Harold J. Becker, Dayton, Ohio
Amount:	\$138,910
Estimate:	\$170,000
Total All Contracts:	\$138,910
Contingency Allowance:	\$13,891
Total Project Cost:	\$164,608

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

McCracken Steam Turbine Vibration Monitoring

This project installs a new vibration monitoring system including improved sensors as required by OSHA. The total project cost is \$257,809; funding is provided by House Bill 640. The completion date is February 2002. The contract awarded is as follows:

Design:	Schmidt Associates, Inc., Columbus, Ohio
General Contract:	Treharne Engineered Sales, East Aurora, New York
Amount:	\$207,900 *
Estimate:	\$272,525 *
Total All Contracts:	\$207,900
Contingency Allowance:	\$20,790
Total Project Cost:	\$257,809

Mount Hall – HVAC Modifications

This project modifies pumps, piping, and controls to the chilled water system that serves Mount, Bevis, and Rightmire Halls. The total project cost is \$518,196; funding is provided by House Bill 850 (\$509,018), Office of Research (\$6,650), and Department of Physical Facilities (\$2,528). The completion date is November 2001. The contracts awarded are as follows:

Design:	Golliver and Associates, Newark, Ohio
HVAC Contract:	C. D. Whitfield, Cleveland, Ohio
Amount:	\$384,452 *
Estimate:	\$473,200 *
Electric Contract:	Union Electric, Delaware, Ohio
Amount:	\$23,682 *
Estimate:	\$28,240 *
Asbestos Abatement Contract:	Columbus Abatement, Columbus, Ohio
Amount:	\$8,900
Estimate:	\$9,800
Total All Contracts:	\$417,034
Contingency Allowance:	\$45,982
Total Project Cost:	\$518,196

Oxley Hall – Roof Replacement

This project removes and replaces the existing roof, underlayment, copper flashings, valleys, and tile on the original portions of the building. The total project cost is \$331,932; funding is provided by House Bill 748 (\$131,500) and House Bill 790 (\$200,432). The completion date is August 2002. The contract awarded is as follows:

Design:	The Ohio State University
General Contract:	Kalkrueth Roofing & Sheet Metal, Wheeling, West Virginia
Amount:	\$290,760 *
Estimate:	\$330,278 *
Total All Contracts:	\$290,760
Contingency Allowance:	\$29,076
Total Project Cost:	\$331,932

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Pomerene Hall Renovation

This project renovates space in Pomerene Hall to provide more program space for the Office of Disability Services and the School of Physical Activity and Educational Services. The total project cost is \$567,906; funding is provided by House Bill 850 (\$547,906) and Student Affairs (\$20,000). The completion date is November 2001. The contracts awarded are as follows:

Design:	Hardlines Design Company, Columbus, Ohio
General Contract:	Two K General Company, Lewis Center, Ohio
Amount:	\$187,007 *
Estimate:	\$232,840 *
Combined Plumbing/ HVAC Contract:	Fox Mechanical, Columbus, Ohio
Amount:	\$183,080 * +
Estimate:	\$156,729 * +
Electric Contract:	Knight Electric Inc., Columbus, Ohio
Amount:	\$61,073
Estimate:	\$68,015
Total All Contracts:	\$431,160
Contingency Allowance:	\$43,019
Total Project Cost:	\$567,906

+ The Combined Plumbing/HVAC Contract is excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Starling-Loving Install Fire Pump

This project replaces the out of date fire protection system in this building. The total project cost is \$250,000; funding is provided by House Bill 640. The completion date is December 2001. The contracts awarded are as follows:

Design:	Prater Engineering Associates, Columbus, Ohio
General Contract:	The Righter Co., Columbus, Ohio
Amount:	\$164,671 *
Estimate:	\$174,650 *
Electric Contract:	Fetter Electric, Columbus, Ohio
Amount:	\$36,950 *
Estimate:	\$48,100 *
Total All Contracts:	\$201,621
Contingency Allowance:	\$20,975
Total Project Cost:	\$250,000

Thompson Library Carpeting

This project replaces the carpeting in several high traffic, patron areas of the building. The total project cost is \$730,346; funding is provided by House Bill 640 (\$200,000), House Bill 850 (\$530,000) and University Libraries (\$346). The completion date is July 2001. The contract awarded is as follows:

Design:	Machisa Design Services, Columbus, Ohio
Combined General/Asbestos Abatement Contract:	Field & Associates, Springfield, Ohio
Amount:	\$591,300 *
Estimate:	\$593,000 *

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Thompson Library Carpeting (contd)

Total All Contracts:	\$591,300
Contingency Allowance:	\$53,776
Total Project Cost:	\$730,346

Thompson Library – Roof Replacement

This project replaces the copper batten roof, built-up roofing, tapered insulation, and copper counter flashing and gutter. The total project cost is \$624,273; funding is provided by House Bill 850 (\$600,000) and Department of Physical Facilities (\$24,273). The completion date is December 2001. The contract awarded is as follows:

Design:	Robert H. Fuller and Associates, Columbus, Ohio
General Contract:	Field and Associates, Springfield, Ohio
Amount:	\$537,369 +
Estimate:	\$496,600 +
Total All Contracts:	\$537,369
Contingency Allowance:	\$26,868
Total Project Cost:	\$624,273

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Utilities – High Voltage Electric Circuit

This project replaces the cables to increase the current carrying capacity of circuit 202/303 from the Buckeye substation to the Midwest campus area. The total project cost is \$198,298; funding is provided by House Bill 850. The completion date is February 2002. The contract awarded is as follows:

Design:	The Ohio State University
Electric Contract:	Vaughn Industries, Carey, Ohio
Amount:	\$156,500
Estimate:	\$340,000
Total All Contracts:	\$156,500
Contingency Allowance:	\$15,650
Total Project Cost:	\$198,298

Veterinary Hospital – Roof Replacement Phase I

This project is the first of two phases to replace the entire roof of the building. This phase replaces the upper levels over the mechanical spaces, auditorium, entrance canopies, and separate roof on the southwest portion of the building. The total project cost is \$500,000; funding is provided by House Bill 850. The completion date is November 2001. The contract awarded is as follows:

Design:	Stacy and Asebrook Ltd., Columbus, Ohio
General Contract:	US Roofing, Columbus, Ohio
Amount:	\$415,000 +
Estimate:	\$412,000 +
Total All Contracts:	\$415,000
Contingency Allowance:	\$39,635
Total Project Cost:	\$500,000

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

Veterinary Hospital – Roof Replacement Phase I (contd)

+ The General Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

Waterman Laboratory Headquarters

This project provides a new building to serve as headquarters for the College of Food, Agricultural, and Environmental Sciences Waterman Laboratory. The new building includes space for two offices, break room, 40-person meeting room, sample drying room, sample preparation shop and repair area, and restrooms. The total project cost is \$640,000; funding is provided by Ohio Agricultural Research and Development Center (\$580,588), Horticulture and Crop Sciences (\$8,000), Food, Agriculture, and Environmental Sciences (\$34,275), and Extension (\$17,137). The completion date is November 2001. The contracts awarded are as follows:

Design:	The Ohio State University
General Contract:	C & N Construction Services Inc., Columbus, Ohio
Amount:	\$353,800
Estimate:	\$385,287
Plumbing Contract:	Aggressive Mechanical, Inc., Reynoldsburg, Ohio
Amount:	\$80,900
Estimate:	\$83,016
HVAC Contract:	Martina Metals, Columbus, Ohio
Amount:	\$58,600
Estimate:	\$70,554
Electric Contract:	Union Electric and Communications, Delaware, Ohio
Amount:	\$99,844 * +
Estimate:	\$98,732 * +
Total All Contracts:	\$593,144
Contingency Allowance:	\$37,959
Total Project Cost:	\$640,000

+ The Electric Contract is in excess of the filed estimate of cost; however the total price of all contracts is within 10% of the total estimate of cost and is recommended for award as provided by Section 153.12 of the Revised Code.

NOW THEREFORE

BE IT RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to select qualified architectural/engineering firms as necessary for the Drackett and Taylor Towers Fire Alarm System Upgrade, Marion Campus – Student Services Building, State Route 315 Access to Medical Center, and Starling-Loving Hall - Biostatistics projects and that the fees for these services be negotiated between the firms selected and the University; and

BE IT FURTHER RESOLVED, That the President and/or Senior Vice President for Business and Finance be authorized to request construction bids for the Drackett and Taylor Towers Fire Alarm System Upgrade, Marion Campus – Student Services Building and Starling-Loving Hall - Biostatistics projects in accordance with established University and State of Ohio procedures, and if satisfactory bids are received, to issue contracts with all actions to be reported to this Board at the appropriate time; and

**EMPLOYMENT OF ARCHITECTS/ENGINEERS,
REQUEST FOR CONSTRUCTION BIDS, AND
REPORT OF AWARD OF CONTRACTS (contd)**

BE IT FURTHER RESOLVED, That pursuant to the actions previously authorized by the Board, the report of award of contracts and establishment of contingency funds for the Hopkins Hall Ceramics Facility Renovation – Phase I, Arps Hall Lab Renovation, Campbell Hall – Chiller Replacement, Campus Buildings – OSHA Fume Hood Monitors Phase I, Campus Grounds Street Rebuild, Campus Lighting Phase VII, Central Classroom – Roof Replacement, Converse Hall – Roof Replacement, Derby Hall – Roof Replacement, Fawcett Center – University Development Phase I, Hughes Hall Auditorium Accessibility, Jones Tower – Fire Alarm System Replacement, Kinnear Road Center Building “A” Roof Replacement, McCracken Steam Turbine Vibration Monitoring, Mount Hall – HVAC Modifications, Oxley Hall – Roof Replacement, Pomerene Hall Renovation, Starling-Loving Install Fire Pump, Thompson Library Carpeting, Thompson Library – Roof Replacement, Utilities High Voltage Electric Circuit, Veterinary Hospital – Roof Replacement Phase I, and Waterman Laboratory Headquarters projects are hereby accepted.

(See Appendix XIX for background information and maps, page 617.)

FISCAL YEAR 2001-02 FIRST QUARTER BUDGET REPORT

Resolution No. 2002-65

Synopsis: The first quarter budget report for fiscal year 2001-2002 is being submitted for adoption.

WHEREAS the State of Ohio has cut FY 2002 funding to State assisted institutions of higher education, resulting in a \$19.4 million (6.0%) reduction in the Columbus Campus State Share of Instruction and a \$28.3 million overall reduction in all line items for all Campuses: and

WHEREAS the University's enrollments for Summer and Autumn quarters are now known, allowing for a more accurate picture of the University's student fee revenue; and

WHEREAS appropriate planning and consultation within the University has been accomplished and the President now recommends approval of the first quarter budget report:

NOW THEREFORE

BE IT RESOLVED, That the appropriate resources budgets be adjusted to reflect these changes as of the first quarter as described in the attached materials; and

BE IT FURTHER RESOLVED, That the \$19.4 million shortfall in the Columbus Campus State Share of Instruction be offset primarily by one-time reallocations from the colleges and offices, the rainy day fund, and other central sources in FY 2002; and

BE IT FURTHER RESOLVED, That this shortfall will be addressed on a permanent basis in the FY 2003 budget process and that a balanced budget will be submitted for FY 2003.

(See Appendix XX for background information, page 671.)

**CAMPUS PARTNERS -
RELEASE OF ADDITIONAL FUNDING**

Resolution No. 2002-66

Synopsis: Release of additional funding of \$600,000 for Campus Partners' initiatives from the previously identified \$25 million set-aside is recommended.

**CAMPUS PARTNERS -
RELEASE OF ADDITIONAL FUNDING (contd)**

WHEREAS in February and November 1995 the Board of Trustees recognized the critical need for revitalization of the High Street and residential areas adjacent to the Columbus Campus and the importance of the initiatives of Campus Partners for Community Urban Redevelopment, Inc., in this effort and identified \$25 million in Endowment funds that could be made available for real estate and related investments in support of the Campus Partners revitalization efforts; and

WHEREAS in May 1997, July 2000, and August 2000 the Board of Trustees authorized, subject to a memorandum of understanding of May 1, 1997, the release of up to \$18 million of the \$25 million previously identified for investment in the University Gateway Center, and the release of up to \$4.8 million for the purchase of additional real estate (and associated corporate stock), bringing the total amount released for the University Gateway Center and other real estate investments to \$22.8 million; and

WHEREAS Campus Partners has been presented with an opportunity to acquire a number of additional properties located close to the Gateway project in an area that is a priority for redevelopment:

NOW THEREFORE

BE IT RESOLVED, That the Board of Trustees hereby authorizes further investment in Campus Partners through the release of up to \$600,000 of the previously identified \$25 million in Endowment funds for Campus Partners' purchase of additional real estate outside the previously established acquisition area but important for the long-range plans for the entire Campus Partners area, bringing to \$23.4 million the total amount released from the \$25 million available for the University Gateway Center and other real estate investments; and

BE IT FURTHER RESOLVED, That this authorization and release of funds shall be subject to whatever further provisions amending the memorandum of understanding of May 1, 1997, as the Oversight Committee shall determine appropriate.

Upon motion of Ms. Hendricks, seconded by Ms. Longaberger, the Board of Trustees adopted the foregoing resolutions by unanimous roll call vote, cast by Messrs. Patterson, Sofia, Slane, McFerson, and Judge Duncan, and Meses. Longaberger, Hendricks, and Davidson.

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Mr. Patterson:

That concludes the business part of our agenda, but I neglected to say at the beginning of our meeting that Chairman Brennan is not with us today. As you know, he underwent multiple by-pass heart surgery. He's feeling good and we talked to him last night. I know he will be back soon.

Also, I'd like to draw your attention to what President Kirwan mentioned earlier -- and one of the highlights of any campus year -- commencement day is tomorrow.

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Thereupon the Board adjourned to meet Friday, February 2, 2002 at The Ohio State University Fisher College of Business, Columbus, Ohio.

Attest:

William J. Napier
Secretary

James F. Patterson
Vice Chairman

Drckett & Taylor Tower Fire Alarm System Upgrade**315-2001-933****PROJECT CAPTAIN:**

Craig C. Henry, Facilities Planning & Development - Architecture, 292-2156

REQUESTING AGENCY:

Student Affairs

PROJECT LOCATION:

V0001-Various Locations-Columbus

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

This project will replace the existing fire alarm system to reduce false alarms with new Intelligent Photoelectric Smoke Detectors and audible bases that are fully addressable with Fire-Print based technology. The fire alarm system will also be upgraded to be ADA compliant and provide an upgraded intercom system and fire fighter phones.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/6/2001		
Bidding Approved B/T	12/6/2001		
Arch/Engr Contract	1/18/2002		
Schematic Design Approval	3/22/2002		
Design Development Approval	3/22/2002		
Construction Document Approval	4/26/2002		
Bid Opening	5/23/2002		
Award of Contracts	7/23/2002		
Construction Start	7/23/2002		
Report of Award to B/T	8/1/2002		
Completion	3/28/2003		

FUNDING INFORMATION:

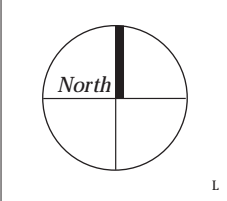
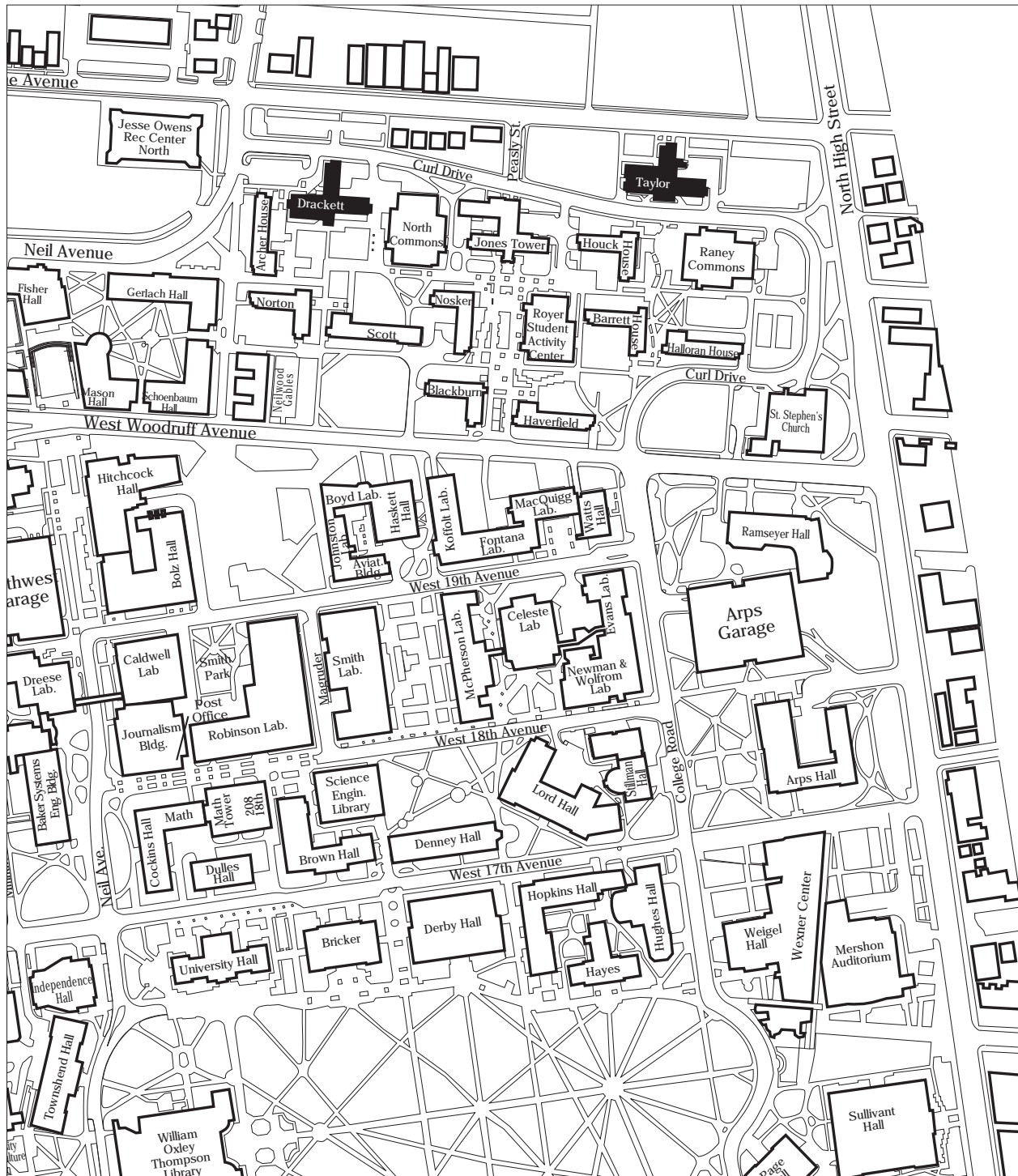
Future Univ. Bond Issues	\$	1,034,431	\$	-
Total	\$	1,034,431	\$	-

USES:

Construction	\$	875,387	\$	-
Contingency		87,539		-
Design		61,000		-
Univ. Administration		10,505		-
Total	\$	1,034,431	\$	-

COMMENTS:

Drackett and Taylor Tower Fire Alarm System Upgrade



Office of Business and Finance
Office of Facilities Planning and Development

November 20, 2001

PROJECT CAPTAIN:

Martin R. Bricker, Facilities Planning & Development - Architecture, 468-0024

REQUESTING AGENCY:

Marion Campus

PROJECT LOCATION:

V0010-Site - See Comments.

AGE:

GR. SQ. FT.:

PROJECT DESCRIPTION:

Construct a two story, concrete and masonry, administrative structure of approximately 17,500 square feet that will house the expanded student services of the Marion Campus. These will include admissions, advising, financial aid, registrar services, fee payment, career services, disability services, Master of Social Work Program and Nursing Program.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/6/2001		
Bidding Approved B/T	12/6/2001		
Arch/Engr Contract	1/30/2002		
Schematic Design Approval	4/1/2002		
Design Development Approval	10/1/2002		
Construction Document Approval	5/1/2003		
Bid Opening	6/1/2003		
Award of Contracts	7/15/2003		
Construction Start	10/1/2003		
Report of Award to B/T	11/1/2003		
Completion	12/1/2004		

FUNDING INFORMATION:

Future Univ. Bonds	\$ 1,100,000	\$ -	
HB640 Line Item Appropriation	1,364,050		
General Funds-Marion	1,266,119		
Total	\$ 3,730,169	\$ -	

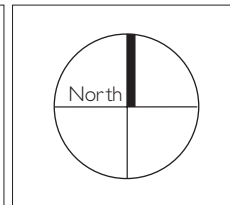
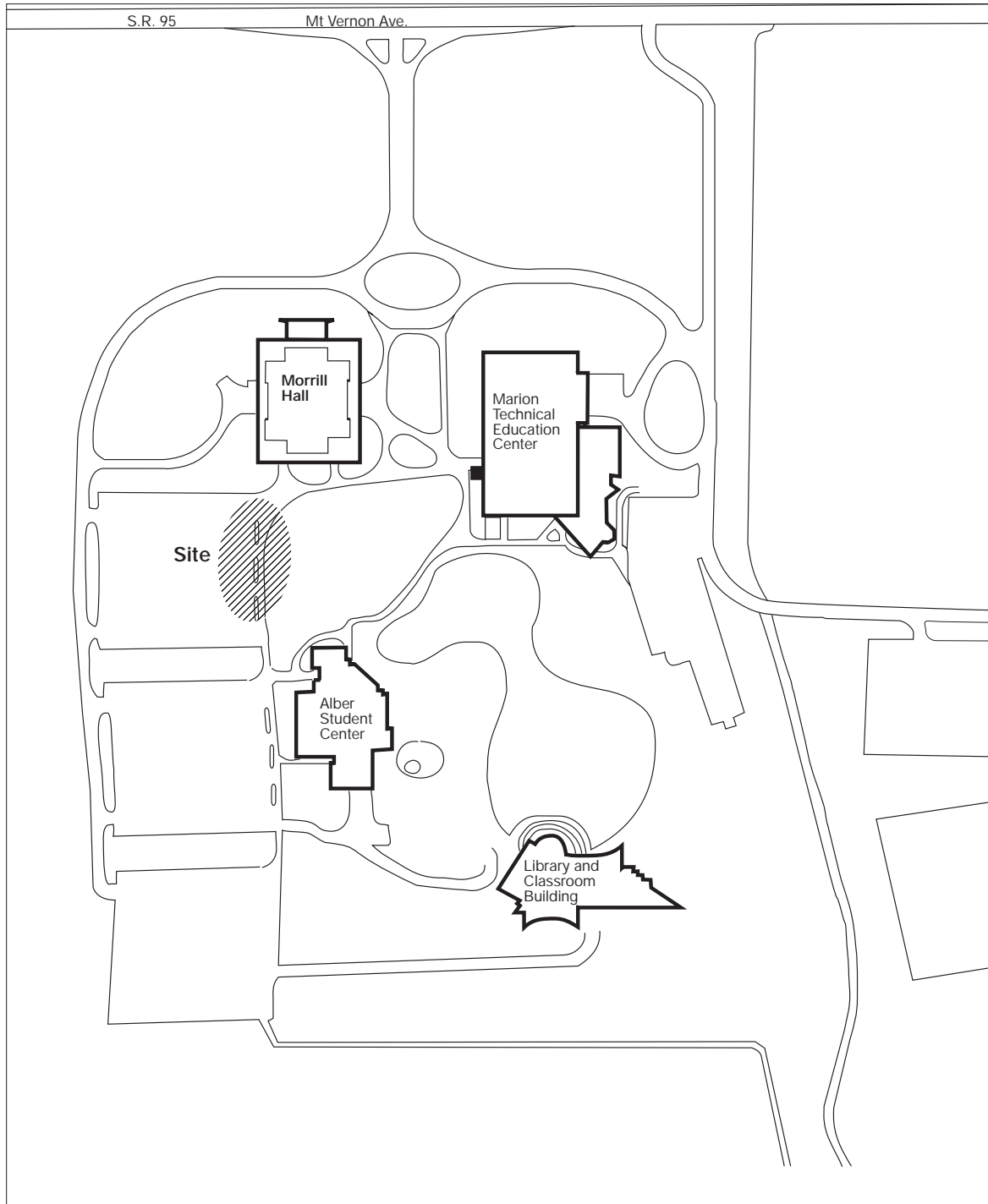
USES:

Construction	\$ 2,860,559	\$ -	
Contingency	286,056		
Design	257,450		
Equipment	286,056		
Univ. Administration	40,048		
Total	\$ 3,730,169	\$ -	

COMMENTS:

Selected site is on the parking lot between Alber Student Center and Morrill Hall.

Marion Campus Student Services Building



Office of Business and Finance
Office of the University Architect and Physical Planning

November 14, 2001

PROJECT CAPTAIN:

Tricia Petras, Physical Facilities UEO, 292-1349

REQUESTING AGENCY:

University Hospital

PROJECT LOCATION:

S0000-Unidentified Street-Col.

AGE:

GR. SQ. FT.:

PROJECT DESCRIPTION:

This project consists of providing a northbound SR 315 exit ramp to Cannon Drive at the intersection of 9th Avenue and a southbound entrance ramp from Cannon Drive at the intersection of 12th Avenue to SR 315. In addition, appropriate lighting, traffic control, traffic signals, signing, drainage and retaining walls are to be provided in any plans and specifications. A portion of the existing bike path shall also be relocated.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/6/2001		
Schematic Design Approval	8/29/2001		8/29/2001
Design Development Approval	12/30/2001		
Construction Document Approval	2/21/2002		
Bid Opening	4/25/2002		
Construction Start	10/14/2002		
Completion	12/31/2003		

FUNDING INFORMATION:

Auxiliaries-University Hospitals	\$	800,000	\$	-
Total	\$	800,000	\$	-

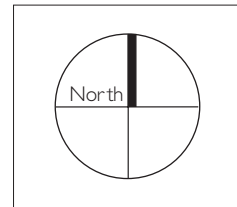
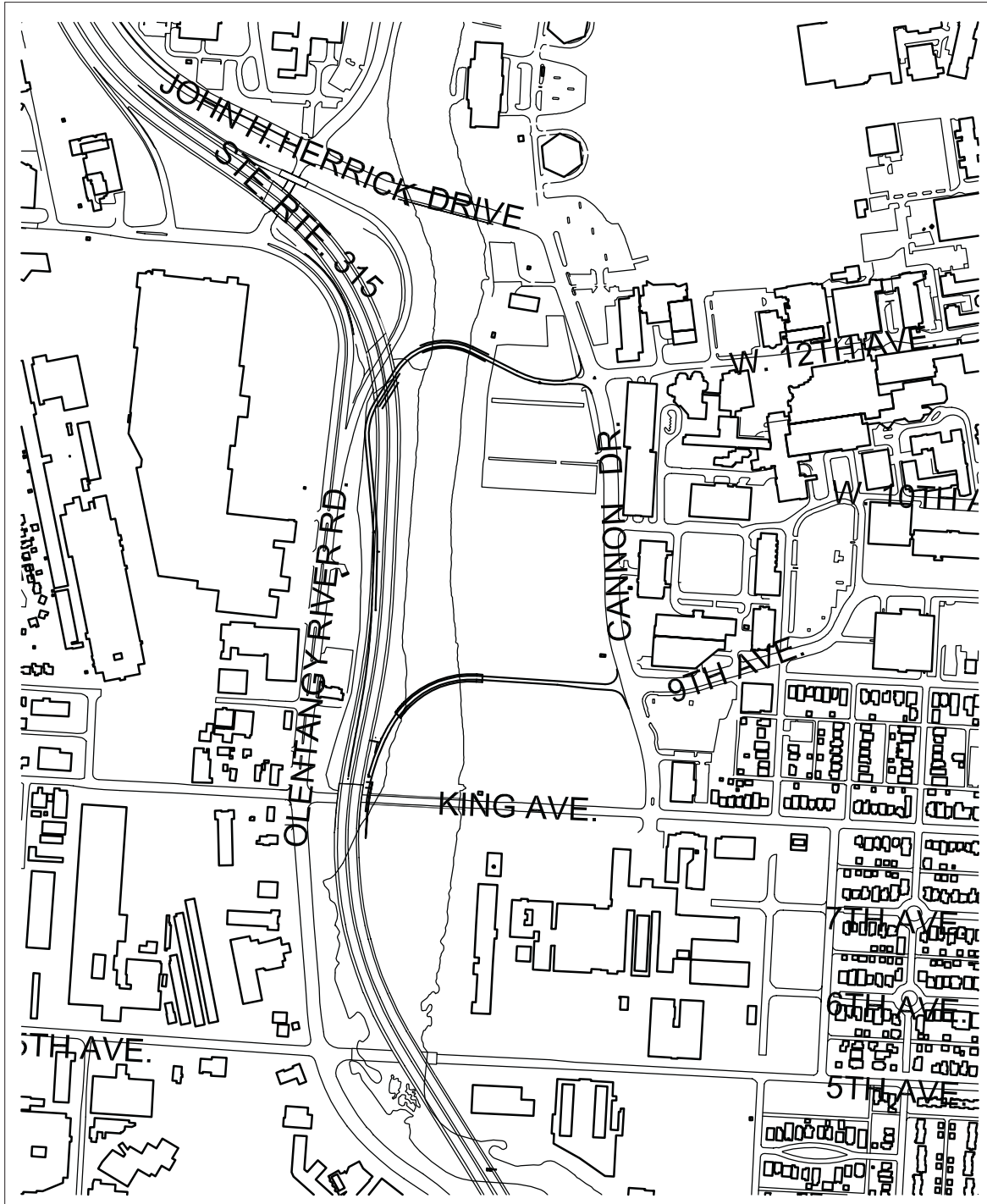
USES:

Design	\$	800,000	\$	-
Total	\$	800,000	\$	-

COMMENTS:

The budget and construction phases of this project will be administered by the Ohio Department of Transportation. Estimated construction cost is \$10 million.

State Route 315 Access to Medical Center



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

Starling-Loving Hall - Biostatistics**315-2001-928****PROJECT CAPTAIN:**

Sara Herridge, Facilities Planning & Development - Architecture, 292-0381

REQUESTING AGENCY:

Office of Research

PROJECT LOCATION:

0176-STARLING LOVING HALL

AGE:

1917

GR. SQ. FT.:

145,327

PROJECT DESCRIPTION:

This project renovates approximately 1,500 square feet of space in Starling-Loving Hall to be used as new additional office space for Biostatistics. The renovation includes additional wall partitions, new ceilings, repair of existing walls and ceilings, weather seal existing windows, update electrical and data outlets, and upgrade finishes condusive of office areas.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/6/2001		
Bidding Approved B/T	12/6/2001		
Construction Start	3/15/2002		
Report of Award to B/T	12/1/2002		
Completion	6/30/2002		

FUNDING INFORMATION:

Auxiliary - College of Medicine	\$	-	\$	-
General Funds-Research		315,000		
Total	\$	315,000	\$	-

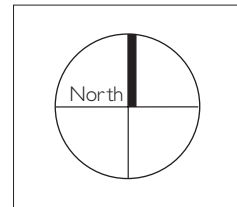
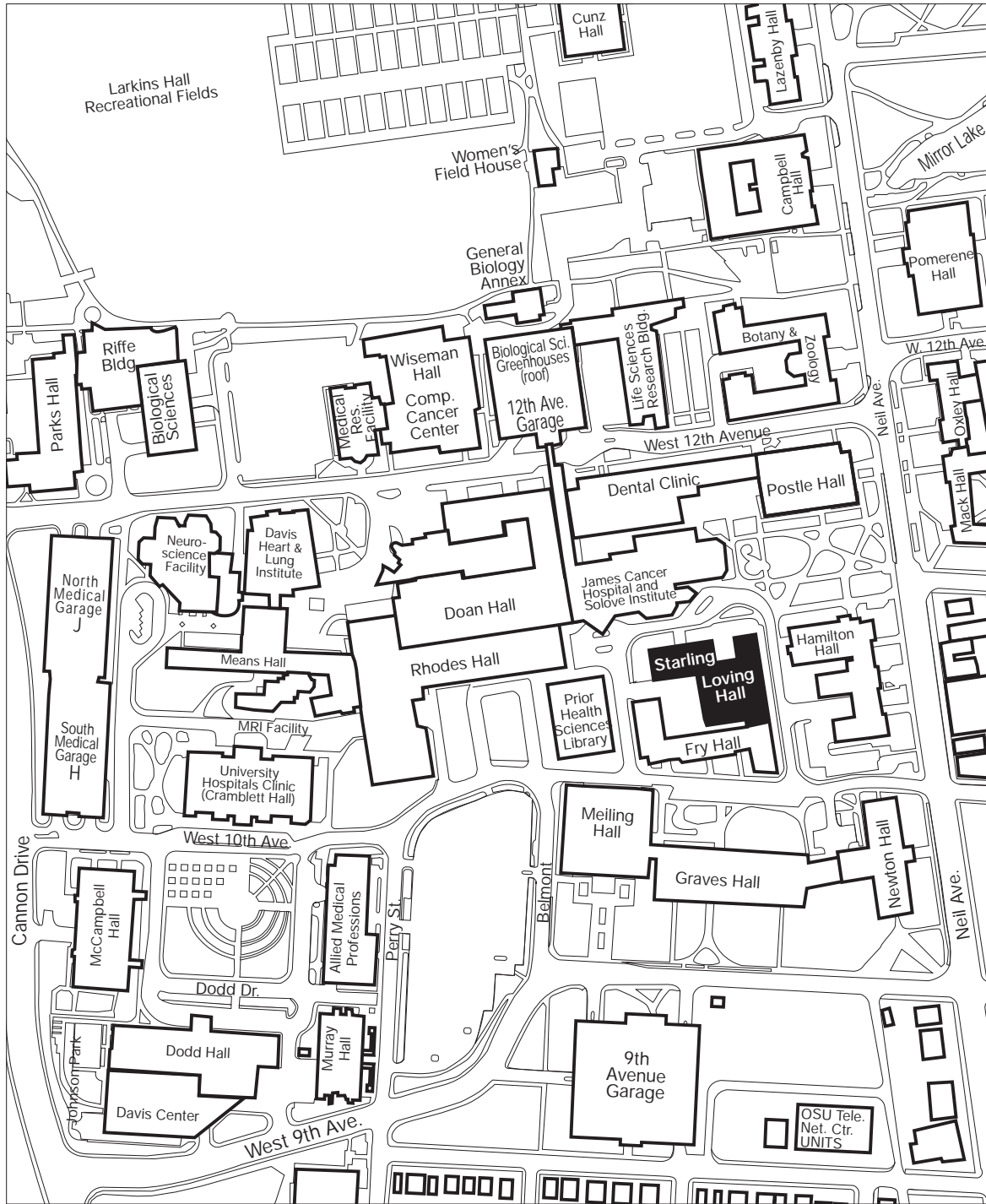
USES:

Construction	\$	252,000	\$	-
Contingency		32,508		
Design		25,200		
Univ. Administration		5,292		
Total	\$	315,000	\$	-

COMMENTS:

Formerly known as 50700-R015107

Starling - Loving Hall - Biostatistics



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

PROJECT CAPTAIN:

Steve Middleton, Facilities Planning & Development - Architecture, 688-3576

REQUESTING AGENCY:

College of the Arts

PROJECT LOCATION:

0149-HOPKINS HALL, JAMES R.

AGE:

1959

GR. SQ. FT.:

106856

PROJECT DESCRIPTION:

Upgrade the kiln area in the basement.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	6/1/1999	9/15/2000	8/18/2000
Schematic Design Approval	9/1/1999	12/21/2000	12/20/2000
Design Development Approval	12/1/1999	12/21/2000	12/20/2000
Construction Document Approval	4/1/2000	1/31/2001	3/21/2001
Bid Opening	5/15/2000	4/24/2001	4/24/2001
Award of Contracts	7/30/2000	6/15/2001	6/22/2001
Construction Start	8/1/2000	6/15/2001	7/2/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	2/1/2001	12/29/2001	

FUNDING INFORMATION:

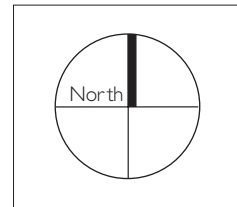
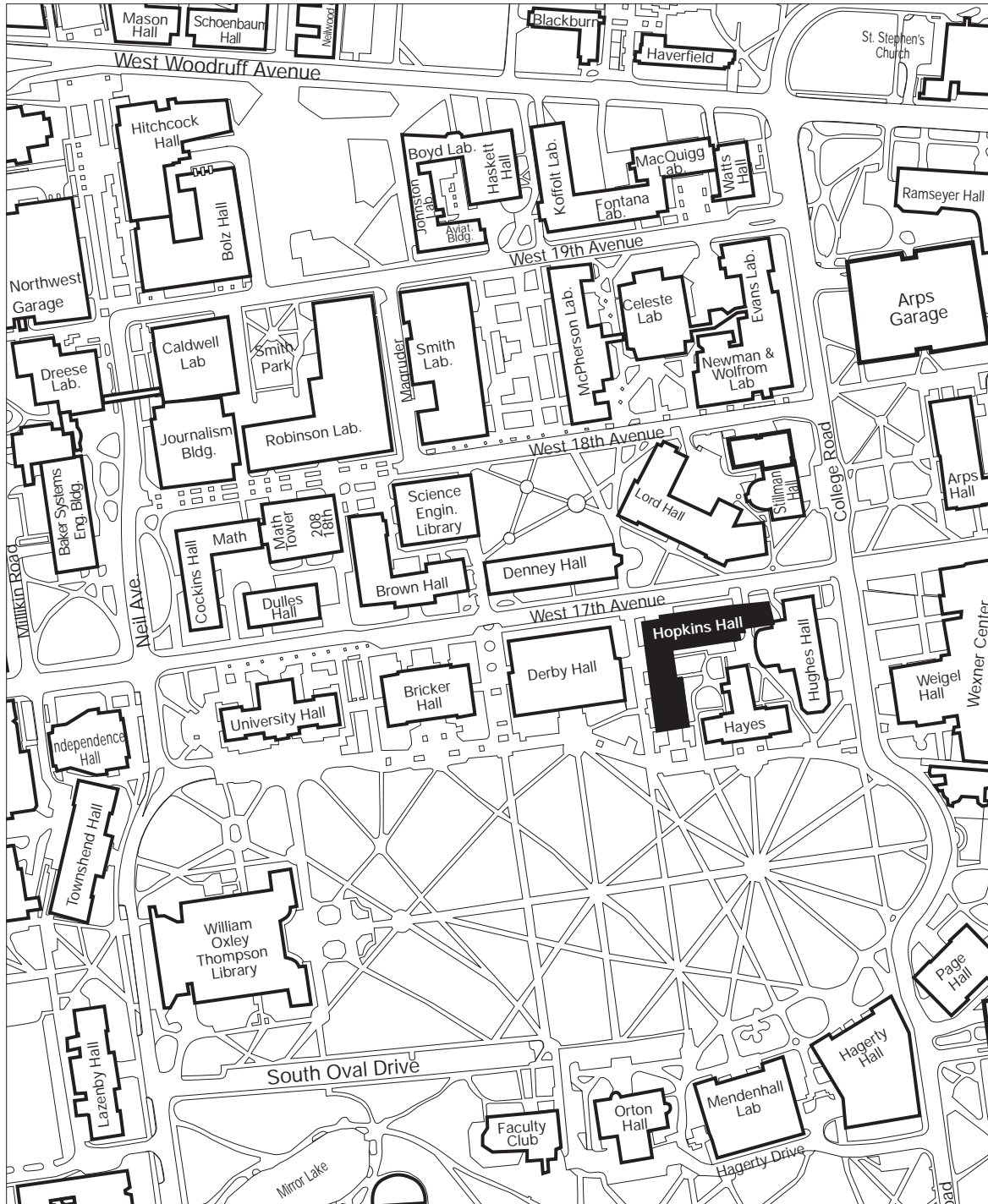
Centrally Funded Capital Projects	\$ 0	\$ 26,000	\$
General Funds-Arts	0	41,526	
HB850 Columbus Basic Renovation	920,000	945,200	
Total	\$ 920,000	\$ 1,012,726	\$

USES:

Asbestos	\$ 0	\$ 4,690	\$
Construction	723,300	0	
Contingency	90,900	123,672	
Design	94,950	95,800	
Equipment	0	161,403	
General	0	617,823	
Univ. Administr	10,850	9,338	
Total	\$ 920,000	\$ 1,012,726	\$

COMMENTS:

Hopkins Hall Ceramics Facility Renovation Phase I



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Jack Bargaheiser, Facilities Planning & Development - Architecture, 247-7607

REQUESTING AGENCY:

College of Education

PROJECT LOCATION:

0011-ARPS HALL, GEORGE F.

AGE:

1925

GR. SQ. FT.:

136070

PROJECT DESCRIPTION:

This project will renovate some areas in the basement of Arps Hall into learning laboratories for the College of Education, students and faculty.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	5/3/1996	3/1/1997	12/2/1994
Bidding Approved B/T	5/3/1996	3/1/1997	12/2/1994
Arch/Engr Contract			9/24/1999
Schematic Design Approval	1/15/1997	12/15/1999	1/10/2000
Design Development Approval	5/1/1997	2/1/2000	4/12/2000
Construction Document Approval	9/30/1997	4/3/2000	8/7/2000
Bid Opening	12/1/1997	6/13/2001	6/13/2001
Award of Contracts	2/15/1998	9/15/2001	10/15/2001
Construction Start	3/10/1998	10/17/2001	10/15/2001
Report of Award to B/T	12/1/1997	12/6/2001	
Completion		12/21/2001	

FUNDING INFORMATION:

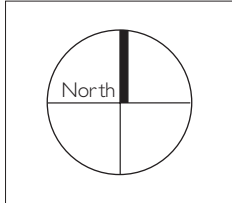
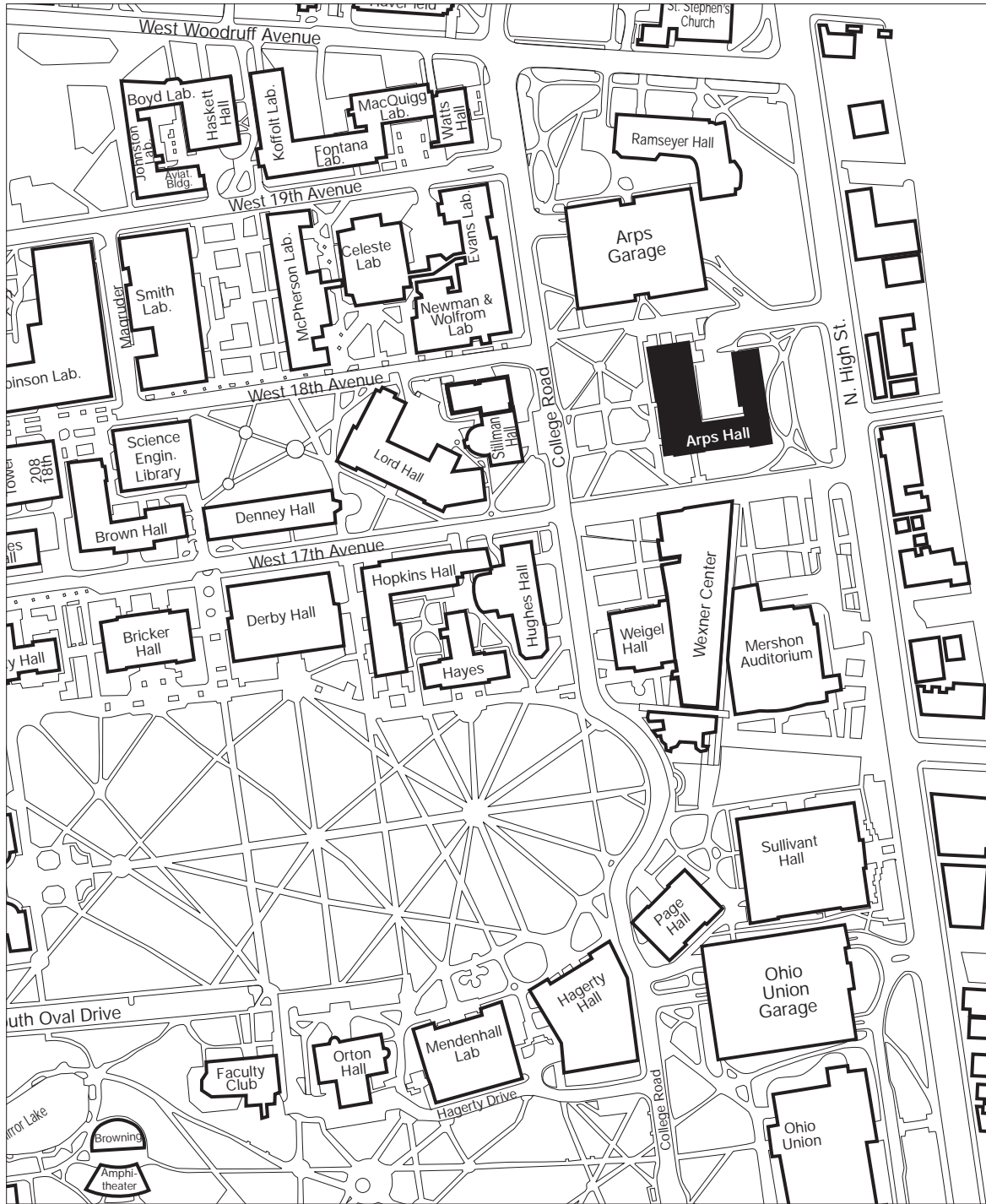
Gen. Funds - OIT Admin./Classroom Pool	\$ 0	\$ 15,000	\$
General Funds-Education	0	22,000	
HB748 Columbus ADA Modifications	0	12,000	
HB790 Columbus Suppl. Renov	350,000	371,860	
Total	\$ 350,000	\$ 420,860	\$

USES:

Asbestos	\$ 0	\$ 0	\$
Construction	290,000	0	
Contingency	35,350	38,155	
Design	20,300	33,950	
Electric	0	77,575	
General	0	112,335	
Plumbing/HVAC	0	153,700	
Univ. Administr	4,350	5,145	
Total	\$ 350,000	\$ 420,860	\$

COMMENTS:

Arps Hall Lab Renovation



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Glenn R. Gerhart, Physical Facilities, 688-5606

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0018-CAMPBELL HALL, ELIZABETH

AGE:

1916

GR. SQ. FT.:

109973

PROJECT DESCRIPTION:

Replace two existing 150-ton centrifugal chillers including correct sizing and configuration, and modification or replacement as appropriate the cooling tower, circulating pumps, and piping.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/8/1996		12/6/1996
Bidding Approved B/T	12/8/1996		12/6/1996
Schematic Design Approval	2/8/1997	12/1/1998	12/1/1998
Design Development Approval	5/8/1997	12/1/1998	12/1/1998
Construction Document Approval	8/8/1997	3/1/1999	2/8/1999
Bid Opening	10/8/1997	12/21/2000	12/21/2000
Award of Contracts	12/8/1997	2/20/2001	2/19/2001
Construction Start	6/15/1998	3/15/2001	2/26/2001
Report of Award to B/T	1/8/1998	12/6/2001	
Completion	12/15/1998	11/30/2001	

FUNDING INFORMATION:

HB748 Columbus Basic Renovation	\$ 350,000	\$ 310,778	\$
Repair & Renovation Fiscal Yr 00/01	0		
Total	\$ 350,000	\$ 310,778	\$

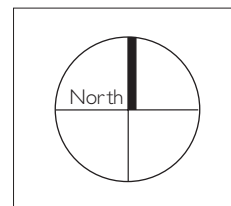
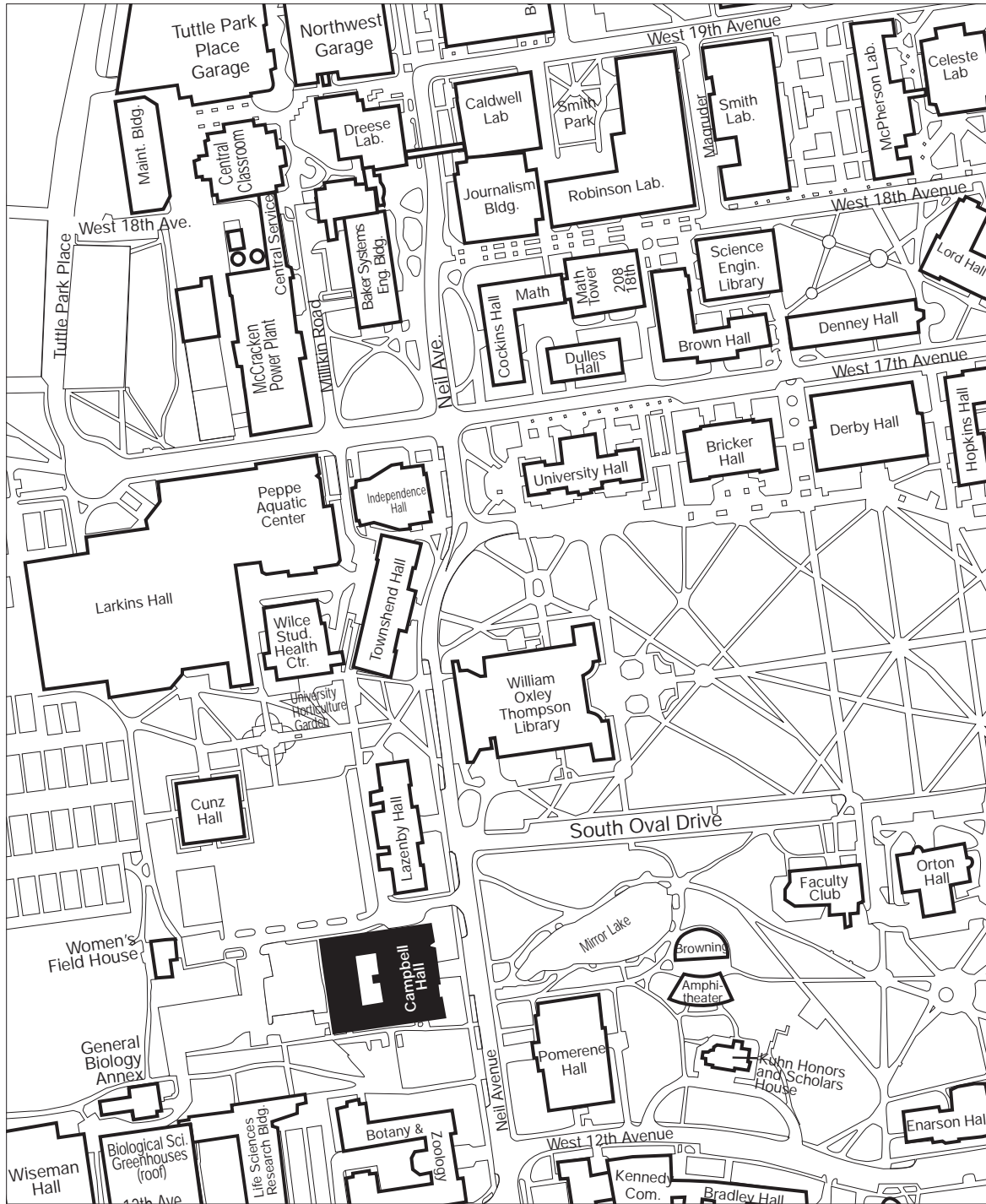
USES:

Asbestos	\$ 0	\$ 5,000	\$
Construction	295,000	0	
Contingency	22,550	35,003	
Design	28,025	0	
Electric	0	12,950	
HVAC	0	253,750	
Univ. Administr	4,425	4,076	
Total	\$ 350,000	\$ 310,778	\$

COMMENTS:

Local administration approved by the Board of Regents on 8/5/96.

Campbell Hall - Chiller Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Campus Buildings - OSHA Fume Hood Monitors, Ph I

315-1999-911

PROJECT CAPTAIN:

Glenn R. Gerhart, Physical Facilities, 688-5606

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

V0001-Various Locations-Columbus

AGE:

GR. SQ. FT.:

PROJECT DESCRIPTION:

Install OSHA required fume hood monitors on existing fume hoods that were not required to be so equipped when installed. Buildings are Agricultural Engineering, Evans Laboratory, Goss Laboratory, Kottman Hall, Rightmire Hall, Biological Sciences, Celeste Laboratory of Chemistry, Veterinary Hospital, MacQuigg Laboratory, Parks Hall, Johnston Laboratory, Sisson Hall and Botany & Zoology.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	8/30/1999	3/25/2000	3/22/2000
Schematic Design Approval	12/1/1999	8/1/2000	8/1/2000
Design Development Approval	2/1/2000	8/1/2000	8/1/2000
Construction Document Approval	5/1/2000	3/19/2001	3/19/2001
Bid Opening	8/30/2000	6/7/2001	6/7/2001
Award of Contracts	10/1/2000	7/15/2001	10/17/2001
Construction Start	10/10/2000	7/31/2001	12/5/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	4/15/2001	4/10/2002	

FUNDING INFORMATION:

HB850 Columbus Basic Renovation	\$	500,000	\$	-	\$	-
Total	\$	500,000	\$	-	\$	-

USES:

Construction	\$	412,000	\$	-		
Contingency		40,620		38,822		
Design		41,200		39,000		
HVAC		0		415,939		
Univ Administration		6,180		6,239		
Total	\$	500,000	\$	500,000	\$	-

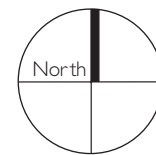
COMMENTS:

Campus Buildings - OSHA Fume Hood Monitors Phase I



Project Sites:

Evans Lab, Goss Lab, Kottman Hall, Rightmire Hall, Biological Sciences Building, Celeste Lab, Veterinary Hospital, MacQuigg Lab, Parks Hall, Johnston Lab, Sisson Hall, Botany and Zoology Building



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

Campus Grounds Street Rebuild**315-2000-924****PROJECT CAPTAIN:**

Michael E. Whitehead, Physical Facilities, 292-7387

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

S0000-Unidentified Street-Col.

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Upgrade the base and repair damages caused by excessive bus traffic. Bus routes have changed in the vicinity of west campus parking lots. The result is that several street sections, which had not been constructed to support heavy traffic, have failed.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/2/2001		2/2/2001
Bidding Approved B/T	2/2/2001		2/2/2001
Schematic Design Approval	12/1/2000	12/11/2000	12/13/2000
Design Development Approval	12/1/2000	12/11/2000	12/13/2000
Construction Document Approval	2/1/2001	3/23/2001	3/23/2001
Bid Opening	4/1/2001	5/4/2001	5/4/2001
Award of Contracts	6/1/2001		6/20/2001
Construction Start	7/1/2001		6/25/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	9/1/2001	9/30/2001	9/30/2001

FUNDING INFORMATION:

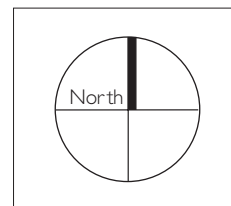
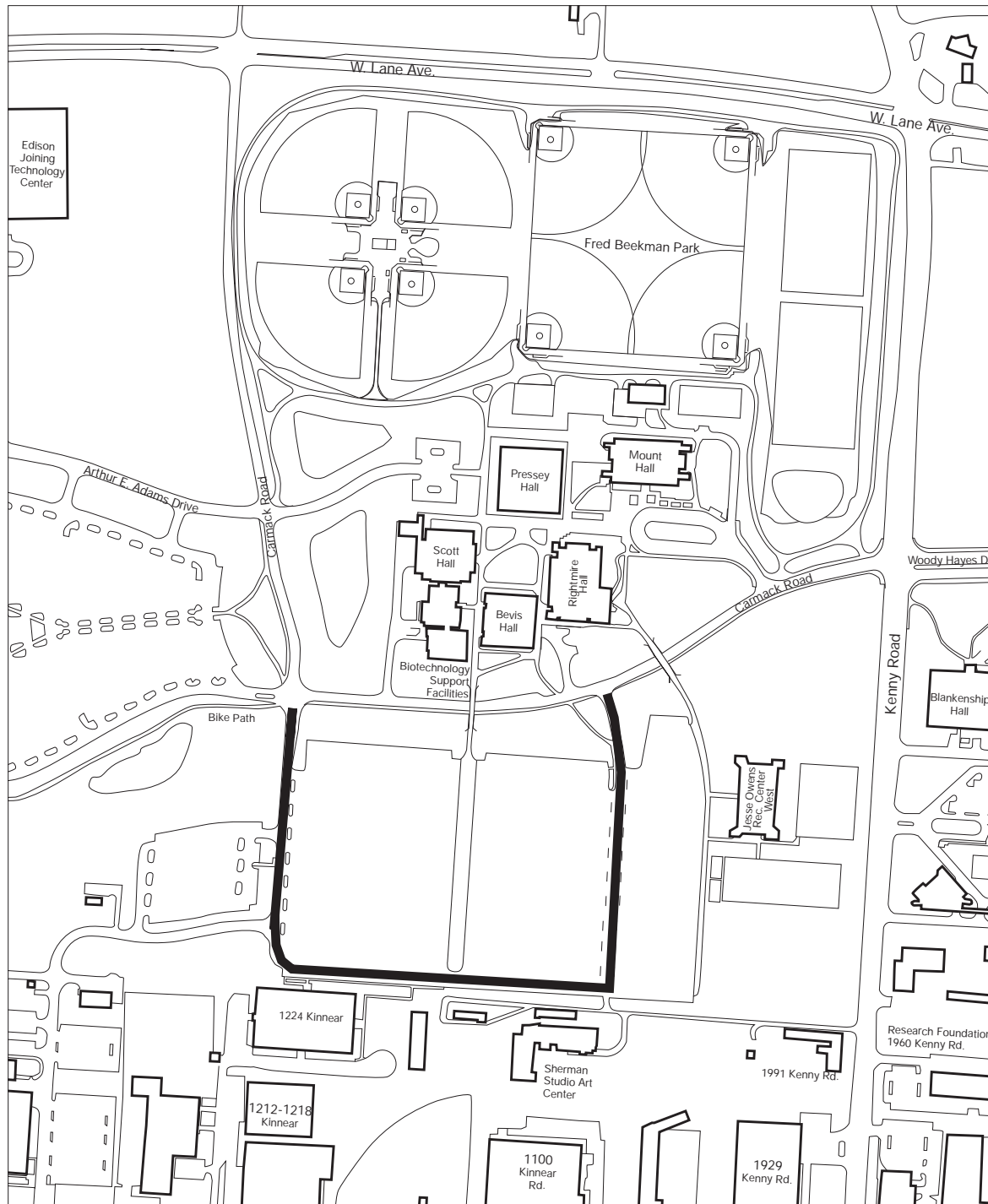
Auxiliaries-Trans. & Parking	\$	-	\$	15,595
HB640 Basic Renovations		500,000		500,000
Total	\$	500,000	\$	515,595

USES:

Construction	\$	425,000	\$	-
Contingency		38,875		59,563
Design		29,750		29,421
General		-		420,306
Univ. Administration		6,375		6,305
Total	\$	500,000	\$	515,595

COMMENTS:

Campus Grounds Street Rebuild



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

PROJECT CAPTAIN:

Michael E. Whitehead, Physical Facilities, 292-7387

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

U0000-Unidentified Utility-Col.

AGE: GR. SQ. FT:

PROJECT DESCRIPTION:

Continues to upgrade lighting to current standards in the north academic core to respond to continuing safety concerns.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/2/2001		2/2/2001
Bidding Approved B/T	2/2/2001		2/2/2001
Schematic Design Approval	9/15/2000		9/18/2000
Design Development Approval	9/15/2000		9/18/2000
Construction Document Approval	11/1/2000		11/2/2000
Bid Opening	3/1/2001	3/13/2001	3/20/2001
Award of Contracts	5/1/2001		5/12/2001
Construction Start	6/1/2001		6/18/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	3/1/2002	3/1/2002	

FUNDING INFORMATION:

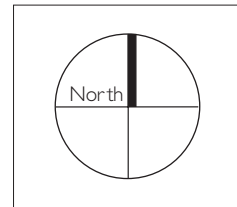
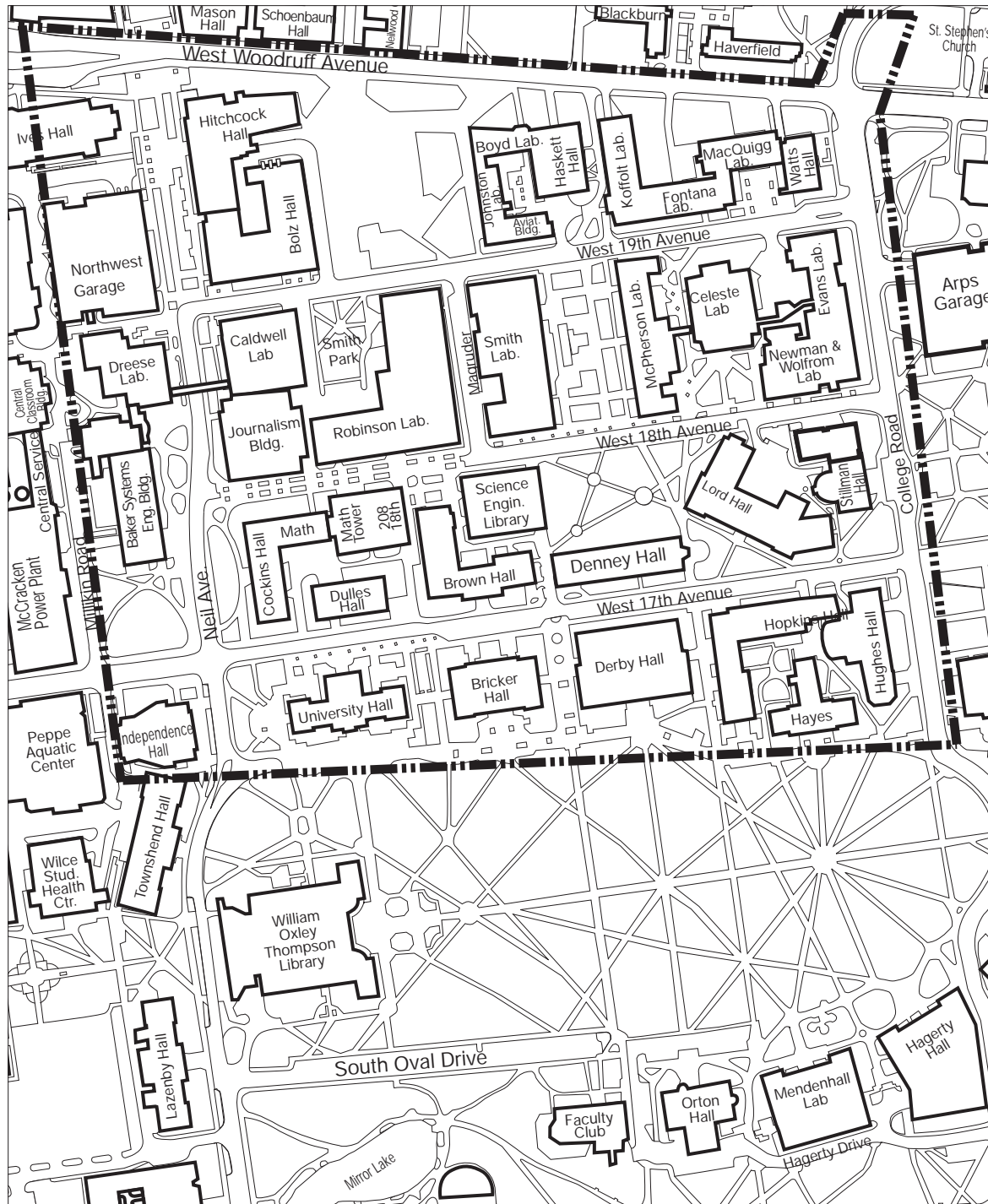
HB640 Basic Renovations	\$ 500,000	\$ 500,000	\$
Repair & Renovation Fiscal Yr 00/01	0	144	
Total	\$ 500,000	\$ 500,144	\$

USES:

Construction	\$ 425,000	\$ 0	\$
Contingency	38,875	22,726	
Design	29,750	16,085	
Electric	0	454,515	
Univ. Administr	6,375	6,818	
Total	\$ 500,000	\$ 500,144	\$

COMMENTS:

Campus Lighting Phase VII



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

Central Classroom - Roof Replacement**315-2001-900****PROJECT CAPTAIN:**

Steve Middleton, Facilities Planning & Development - Architecture, 688-3576

REQUESTING AGENCY:

Facilities Planning & Development/Physical Facilities

PROJECT LOCATION:

0072-CENTRAL CLASSROOM BUILDING

AGE:

1949

GR. SQ. FT.:

90,355

PROJECT DESCRIPTION:

Total removal of the roofing membranes, flashings, and insulation and a complete replacement with a Modified Bitumen roof system, flashings, and insulation. In addition masonry repointing of the joints will be performed on the parapets.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	6/1/2001		6/1/2001
Bidding Approved B/T	6/1/2001		6/1/2001
Construction Document Approval	6/1/2001		5/15/2001
Bid Opening	6/6/2001	7/17/2001	7/17/2001
Award of Contracts	6/30/2001		7/20/2001
Construction Start	7/1/2001	8/13/2001	8/6/2001
Report of Award to B/T	12/7/2001	12/6/2001	
Completion	9/1/2001	11/30/2001	

FUNDING INFORMATION:

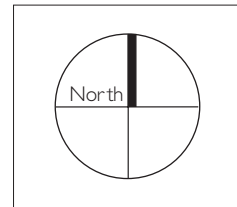
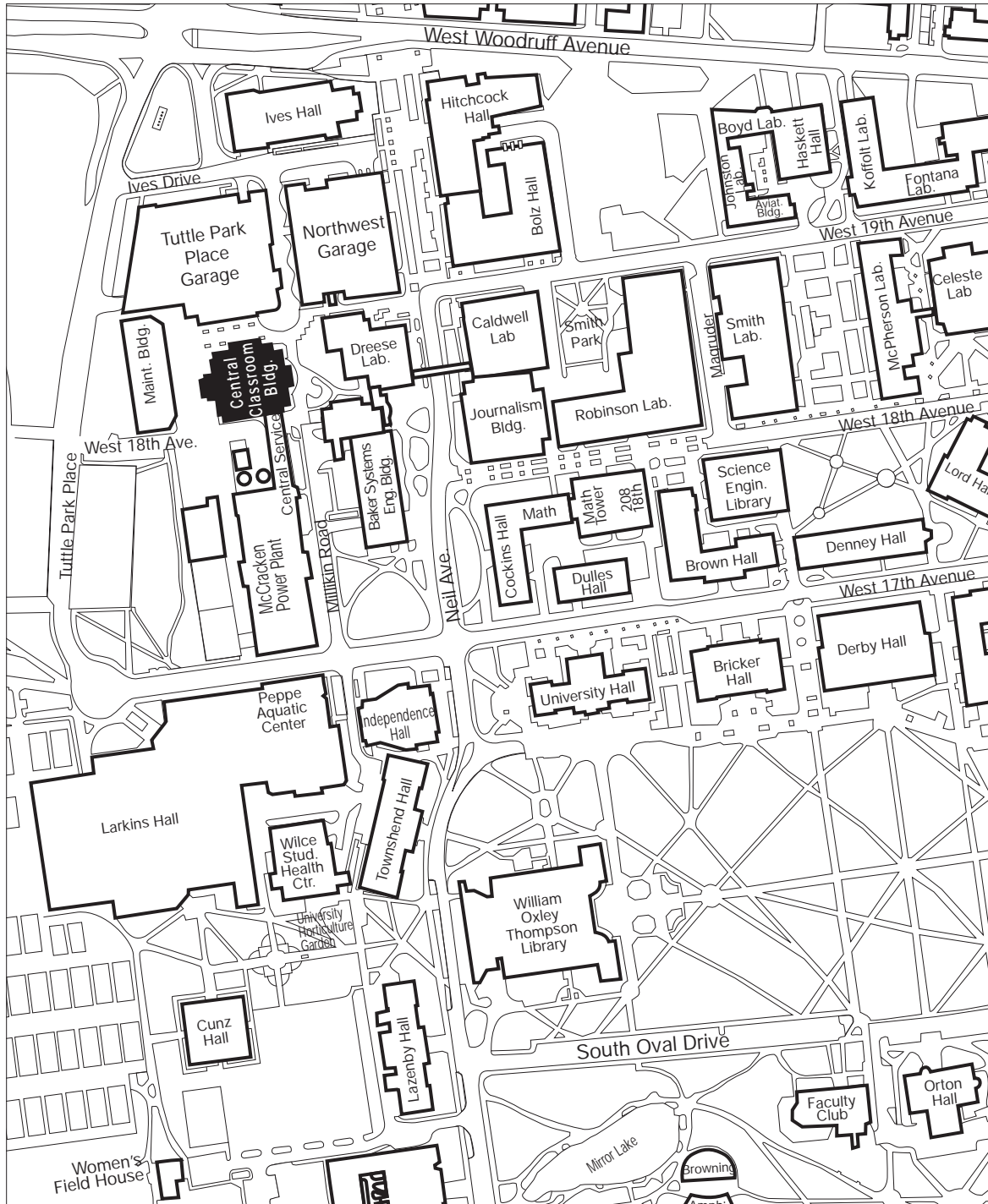
Repair & Renovation Fiscal Yr 00-01	\$ 38,953	\$ 119,196
Facilities Planning & Development	70,454	70,454
Total	\$ 109,407	\$ 189,650

USES:

Construction	\$ 81,103	-
Contingency	16,304	16,150
Design	12,000	12,000
General	-	161,500
Univ. Administration	-	-
Total	\$ 109,407	\$ 189,650

COMMENTS:

Central Classroom Building - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

May 16, 2001

Converse Hall - Roof Replacement**315-1996-926****PROJECT CAPTAIN:**

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0056-CONVERSE HALL, GEORGE L

AGE:

1941

GR. SQ. FT.:

58,912

PROJECT DESCRIPTION:

This project involves the removal of built-up roofing and slate shingles and replacement with a new modified roof system and new slate.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/8/1996		12/6/1996
Bidding Approved B/T	12/8/1996		12/6/1996
Schematic Design Approval	2/8/1997	1/15/2001	1/15/2001
Design Development Approval	2/8/1997	1/15/2001	1/15/2001
Construction Document Approval	5/8/1997	3/31/2001	3/5/2001
Bid Opening	7/8/1997	6/15/2001	6/18/2001
Award of Contracts	9/8/1997	8/6/2001	8/30/2001
Construction Start	5/1/1998	7/20/2001	9/17/2001
Report of Award to B/T	10/8/1997	12/6/2001	
Completion	8/1/1998	11/30/2001	

FUNDING INFORMATION:

HB748 Columbus Basic Renovation	\$ 175,000	\$ 175,000	\$ -
Repair & Renovation Fiscal Yr 01-02	0	8,498	
Total	\$ 175,000	\$ 183,498	\$ -

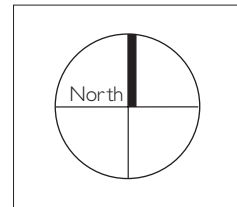
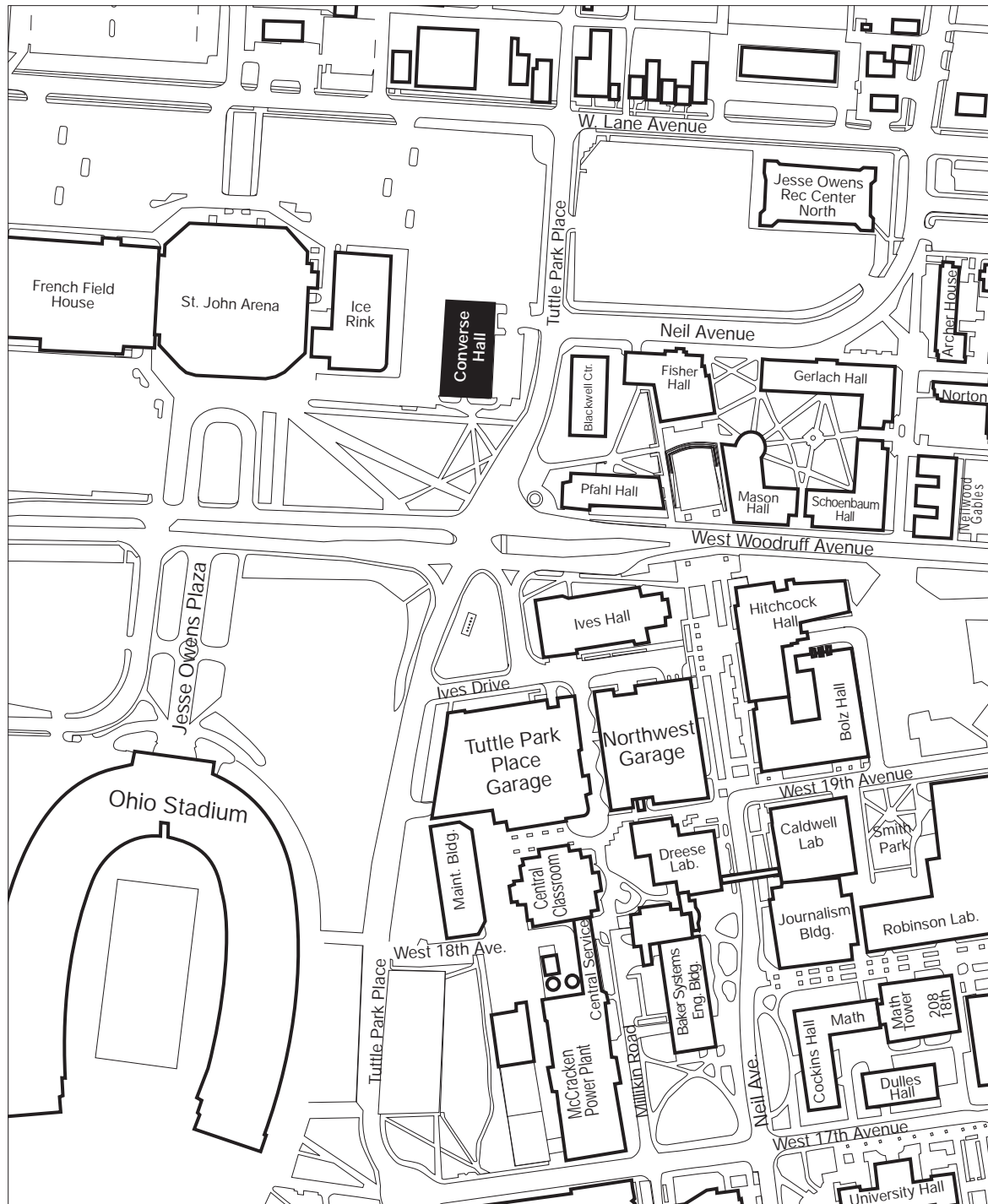
USES:

Construction	\$ 148,750	\$ -	
Contingency	13,606	8,127	
Design	10,413	10,413	
General	0	162,520	
Univ. Administration	2,231	2,438	
Total	\$ 175,000	\$ 183,498	\$ -

COMMENTS:

Local administration approved by the Board of Regents on 8/5/96.

Converse Hall - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0025-DERBY HALL, SAMUEL C.

AGE:

1906

GR. SQ. FT:

115201

PROJECT DESCRIPTION:

Replace approximately 16,300 square feet of copper roof, replace approximately 4,272 linear feet of copper lay-in gutter, and restore approximately 315 linear feet of cresting.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	7/31/1999		9/24/1999
Schematic Design Approval	9/15/1999	9/3/2000	8/28/2000
Design Development Approval	9/15/1999	9/3/2000	8/28/2000
Construction Document Approval	12/1/1999	12/4/2000	12/4/2000
Bid Opening	2/28/2000	5/10/2001	5/10/2001
Award of Contracts	4/1/2000	6/1/2001	7/5/2001
Construction Start	4/10/2000	6/15/2001	7/17/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	10/10/2000	1/13/2002	

FUNDING INFORMATION:

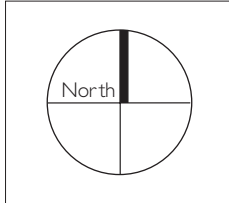
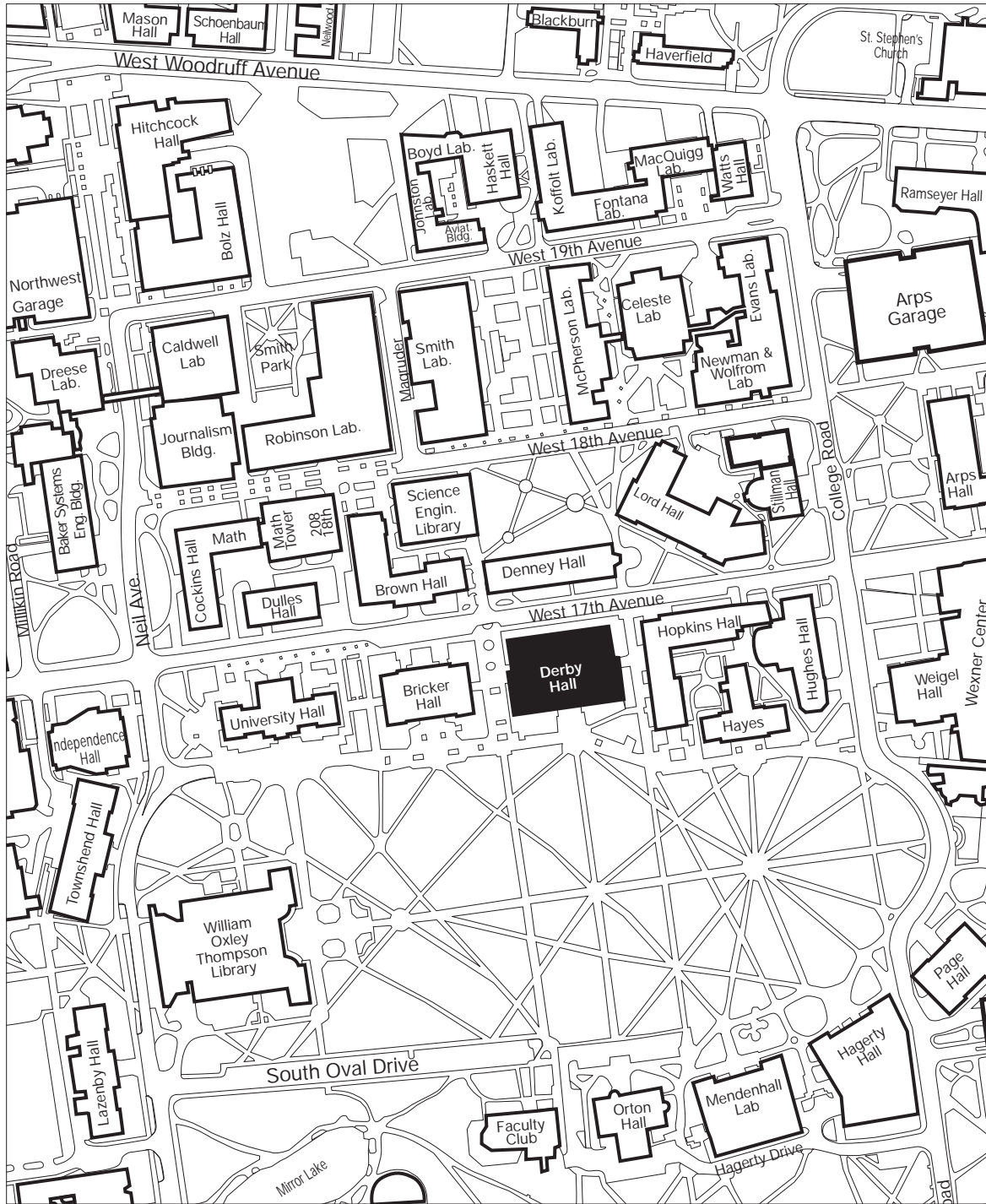
HB850 Columbus Basic Renovation	\$ 500,000	\$ 439,357	\$
Total	\$ 500,000	\$ 439,357	\$

USES:

Construction	\$ 412,000	\$ 0	\$
Contingency	40,620	35,820	
Design	41,200	39,964	
General	0	358,200	
Univ. Administr	6,180	5,373	
Total	\$ 500,000	\$ 439,357	\$

COMMENTS:

Derby Hall - Roof Replacement (Copper Roof)



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Fawcett Center-University Development Phase I

315-2000-922

PROJECT CAPTAIN:

Scott Conlon, Facilities Planning & Development - Architecture, 292-0983

REQUESTING AGENCY:

University Development

PROJECT LOCATION:

0284-FAWCETT CENTER FOR TOMORROW, NOVICEG

AGE:

1970

GR. SQ. FT.:

177,726

PROJECT DESCRIPTION:

Renovate space in the Fawcett Center for University Development. The renovation encompasses approximately 4,500 square feet of renovated space to provide University Development with a new calling center, conference room, and staff lounge.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	8/30/2000		8/30/2000
Bidding Approved B/T	8/30/2000		8/30/2000
Arch/Engr Contract			11/29/2000
Schematic Design Approval	11/1/2000	1/1/2001	1/19/2001
Design Development Approval	11/1/2000	1/1/2001	1/19/2001
Construction Document Approval	3/1/2001		2/26/2001
Bid Opening	5/1/2001	6/20/2001	6/20/2001
Award of Contracts	5/20/2001	7/10/2001	7/30/2001
Construction Start	6/1/2001	7/15/2001	8/13/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	12/1/2001	12/1/2001	

FUNDING INFORMATION:

Development-Development	\$	702,000	\$	540,558
Total	\$	702,000	\$	540,558

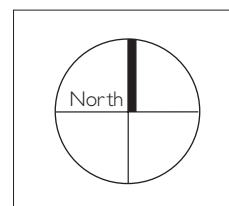
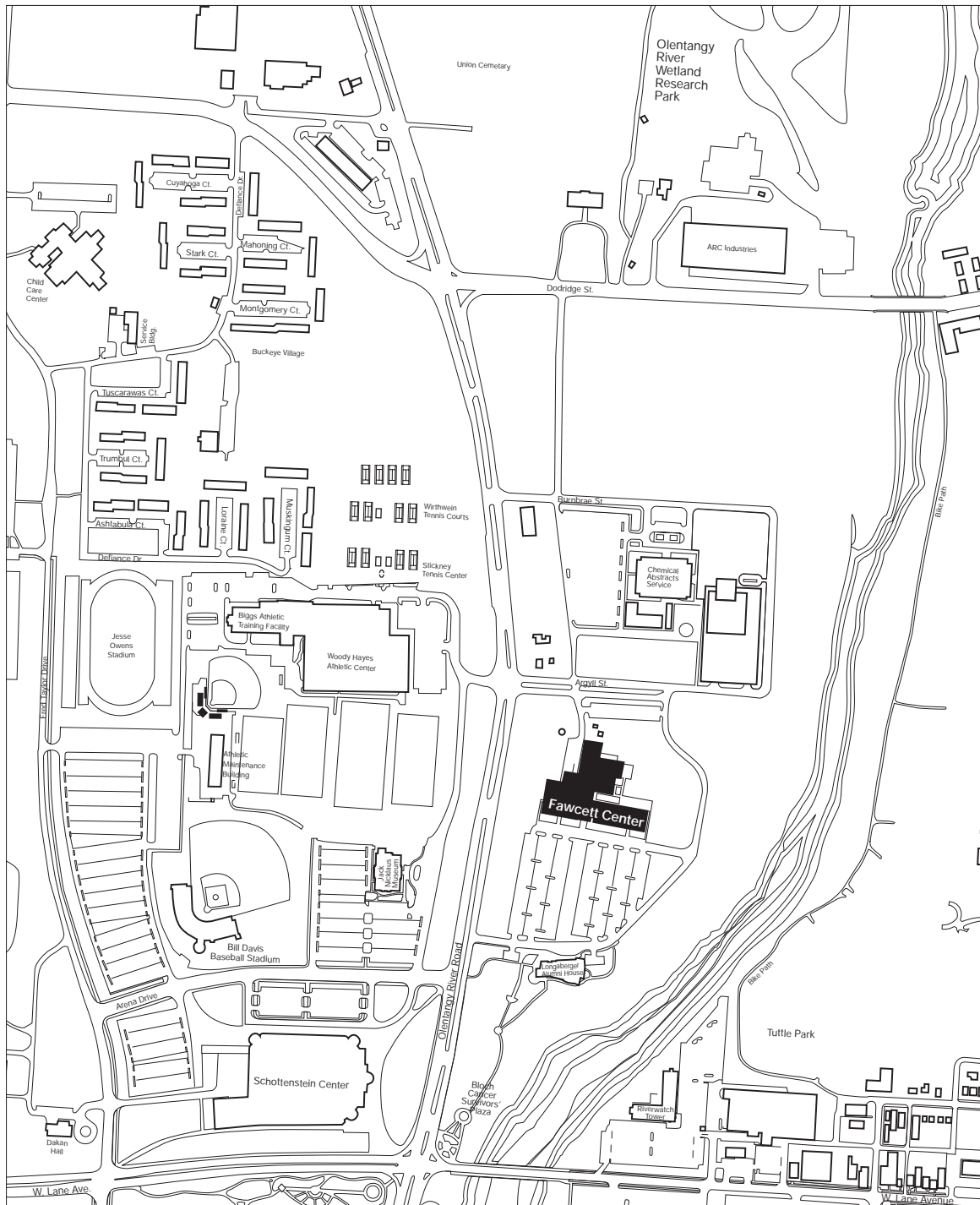
USES:

Asbestos	\$	20,205	\$	20,205
Construction		538,200		0
Contingency		52,756		37,462
Design		51,667		47,855
Electric		0		66,376
Equipment		0		75,000
General		0		135,400
HVAC/Plumbing		0		128,000
UNITS		24,640		24,640
Univ. Administration		14,532		5,620
Total	\$	702,000	\$	540,558

COMMENTS:

Formerly known as 5070-R001629.

Fawcett Center - University Development Phase I



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Michael E. Whitehead, Physical Facilities, 292-7387

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0042-HUGHES HALL, ROYAL D.

AGE:

1949

GR. SQ. FT.:

61564

PROJECT DESCRIPTION:

Install a chairlift in the south stairs from the auditorium to the basement level; improve accessibility of restrooms 12T and 4T; modify front rows of fixed seating to provide wheelchair and companion seating location.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	8/30/2000		8/30/2000
Bidding Approved B/T	8/30/2000		8/30/2000
Arch/Engr Contract	11/1/2000	4/1/2001	3/30/2001
Schematic Design Approval	1/1/2001	5/15/2001	5/1/2001
Design Development Approval	1/1/2001	5/15/2001	5/1/2001
Construction Document Approval	3/1/2001	5/15/2001	5/1/2001
Bid Opening	5/1/2001	6/6/2001	6/6/2001
Award of Contracts	6/1/2001	7/6/2001	6/26/2001
Construction Start	6/1/2001	7/6/2001	7/2/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	9/1/2001	12/31/2001	

FUNDING INFORMATION:

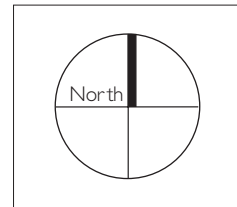
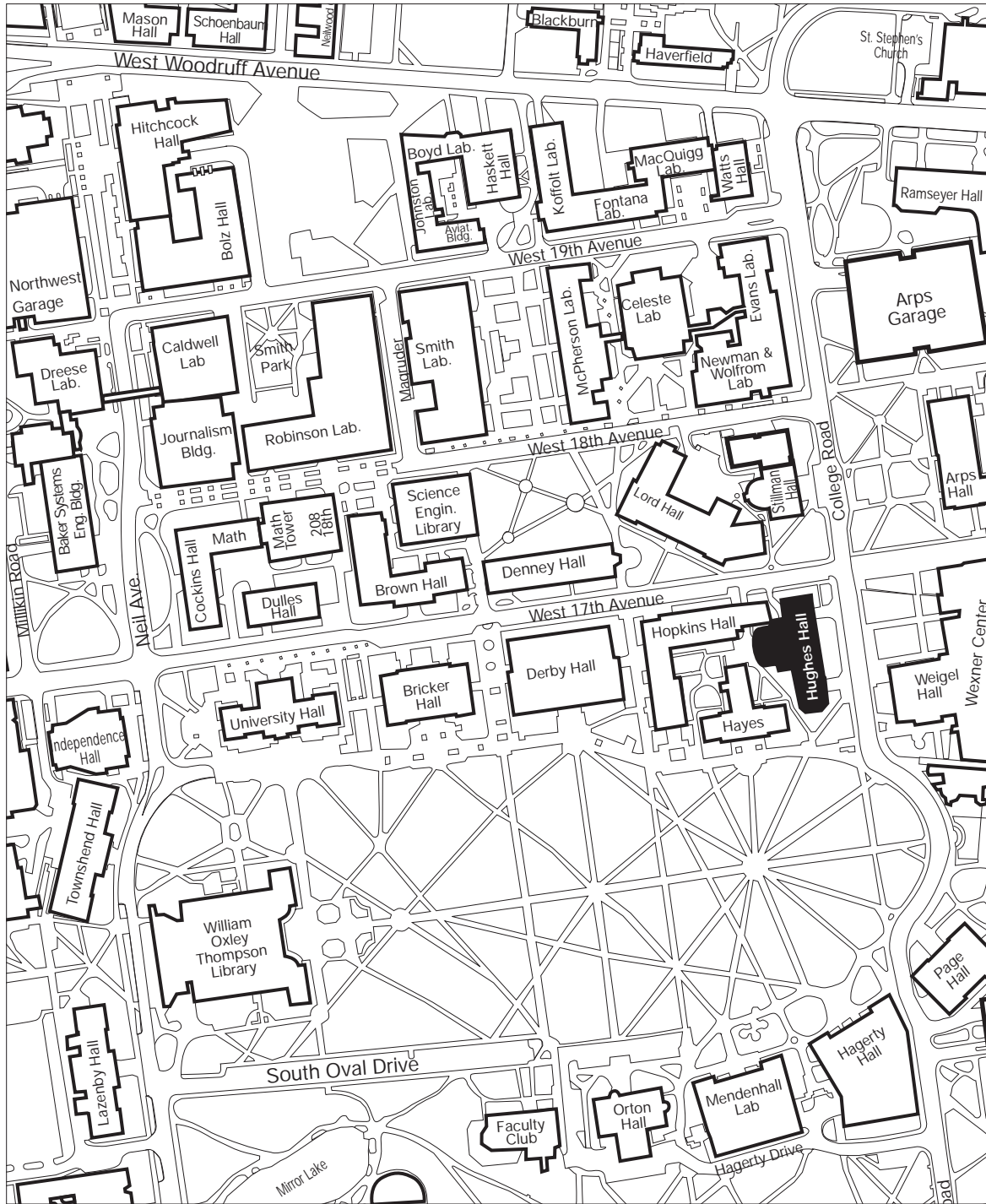
HB640 Basic Renovations	\$ 200,000	\$ 0	\$
HB748 Columbus ADA Modifications	0	14,789	
HB790 Columbus ADA Modifications	0	185,211	
Total	\$ 200,000	\$ 200,000	\$

USES:

Construction	\$ 166,000	\$ 0	\$
Contingency	14,910	11,382	
Design	16,600	18,100	
Electric	0	16,700	
General	0	123,298	
Plumbing	0	28,000	
Univ. Administr	2,490	2,520	
Total	\$ 200,000	\$ 200,000	\$

COMMENTS:

Hughes Hall Auditorium Accessibility



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Jones Tower - Fire Alarm System Replacement**315-1999-948****PROJECT CAPTAIN:**

Craig C. Henry, Facilities Planning & Development - Architecture, 292-2156

REQUESTING AGENCY:

Housing, Food Services & Event Centers

PROJECT LOCATION:

0267-JONES GRADUATE TOWER

AGE:

1969

GR. SQ. FT.:

158,465

PROJECT DESCRIPTION:

Replace existing fire alarm system with new Intelligent Photoelectric Smoke Detectors and audible bases that are fully addressable with Fire-Print based technology. The fire alarm system will also be upgraded to be ADA compliant and provide an upgraded intercom system and fire fighter phones.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	7/2/1999		7/2/1999
Bidding Approved B/T	7/2/1999		7/2/1999
Arch/Engr Contract	8/4/1999	4/3/2000	4/3/2000
Schematic Design Approval	10/15/1999	4/21/2000	4/21/2000
Design Development Approval	10/15/1999	4/21/2000	4/21/2000
Construction Document Approval	12/10/1999	9/15/2000	8/30/2000
Bid Opening	2/3/2000	10/31/2000	10/31/2000
Aware of Contracts	2/10/2000	11/30/2000	12/19/2000
Construction Start	3/1/2000	1/3/2001	1/3/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	7/31/2000	12/31/2001	

FUNDING INFORMATION:

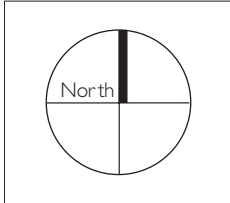
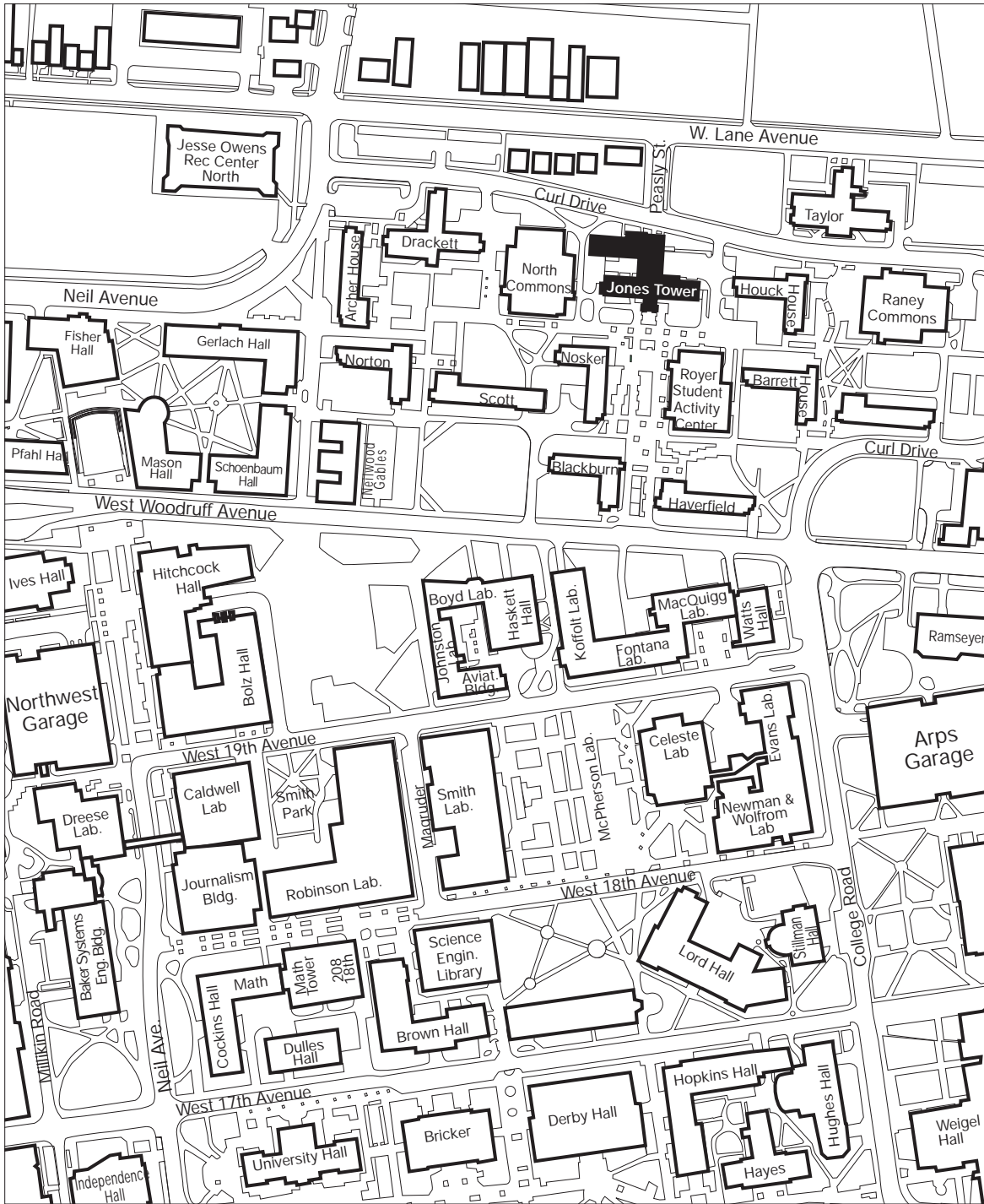
Future Univ. Bond Issues	\$	569,115	\$	453,065
Total	\$	569,115	\$	453,065

USES:

Construction	\$	465,000	\$	-
Contingency		47,500		31,890
Design		46,850		44,525
Electric		0		368,900
APO Administration		9,765		7,750
Total	\$	569,115	\$	453,065

COMMENTS:

Jones Tower - Fire Alarm System Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Kinnear Road Center Building "A" Roof Replacement

315-2000-930

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0364-KINNEAR ROAD CENTER-BUILDING A

AGE:

1962

GR. SQ. FT.:

16,147

PROJECT DESCRIPTION:

The existing EPDM (ethylene propylene diene terpolymer) roof membrane on the lower north side and the existing built-up roof over the upper roof to the south would be removed. New tapered ISO (Polyisocyanurate) insulation and a modified bitumen roof membrane would be installed. Also required are the improvement of roof drain system and removal of existing sky lights.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/2/2001		2/2/2001
Bidding Approved B/T	2/2/2001		2/2/2001
Schematic Design Approval	1/1/2001	2/20/2001	2/20/2001
Design Development Approval	1/1/2001	2/20/2001	2/20/2001
Construction Document Approval	3/1/2001	5/18/2001	5/15/2001
Bid Opening	5/1/2001	7/12/2001	7/12/2001
Award of Contracts	7/1/2001	8/12/2001	9/10/2001
Construction Start	7/15/2001	8/12/2001	10/1/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	10/15/2001	11/30/2001	

FUNDING INFORMATION:

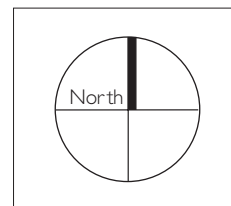
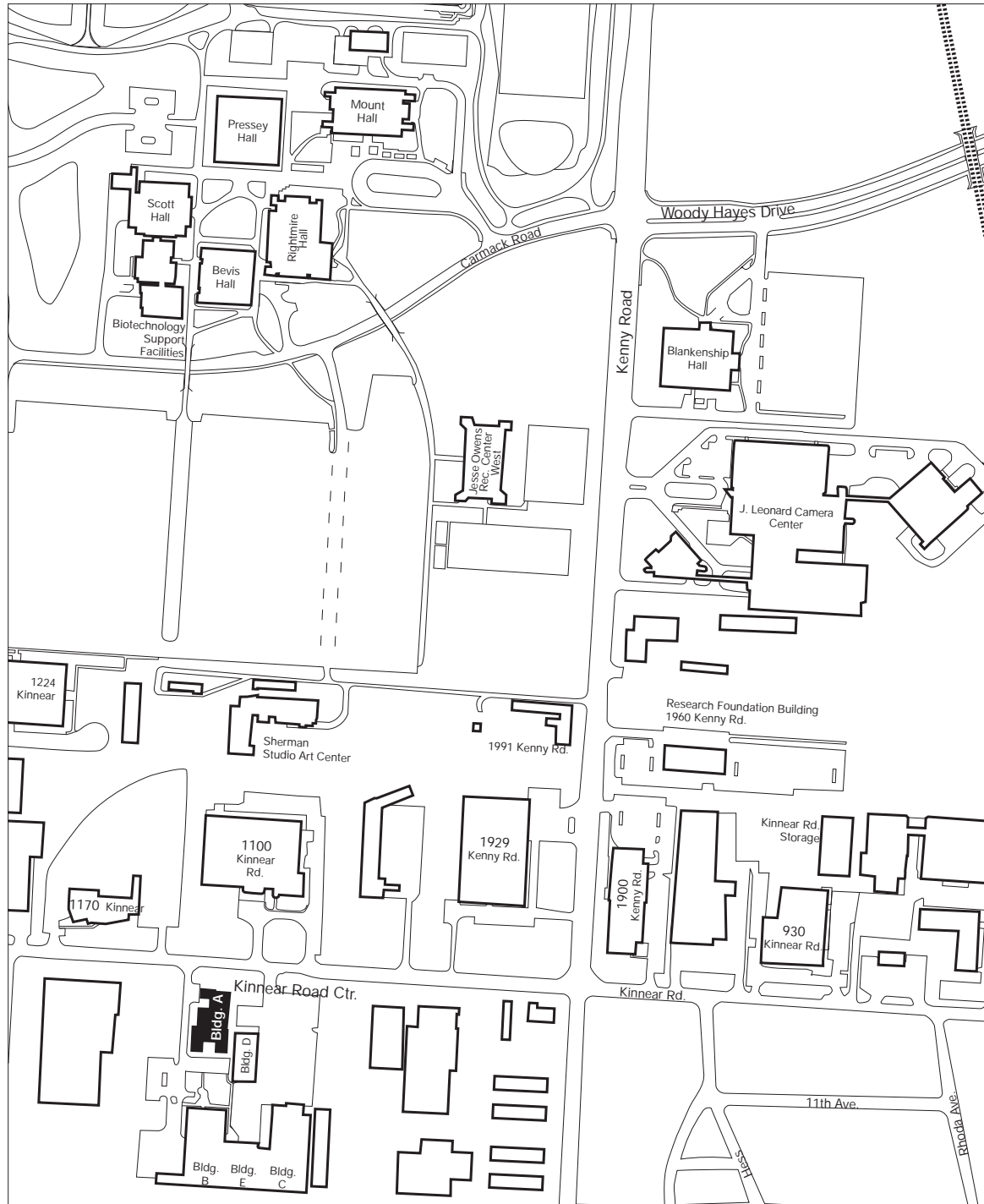
HB640 Basic Renovations	\$	200,000	\$	164,608
Total	\$	200,000	\$	164,608

USES:

Construction	\$	170,000	\$	-
Contingency		15,550		13,891
Design		11,900		9,724
General		0		138,910
Univ. Admnsitration		2,550		2,083
Total	\$	200,000	\$	164,608

COMMENTS:

Kinnear Road Center Building "A" Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

McCracken Steam Turbine Vibration Monitoring

315-2000-927

PROJECT CAPTAIN:

Rebecca Elliott, Physical Facilities, 292-0631

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0069-MCCRACKEN POWER PLANT, WILLIAM C

AGE:

1918

GR. SQ. FT.:

109,094

PROJECT DESCRIPTION:

The vibration monitor system for the backpressure turbine generator is outmoded and no longer supported by its manufacturer. This project would install a new vibration monitoring system including improved sensors that monitor vibration along two axes. This project would also provide similar monitoring and safety shutdown for the new steam turbine-driven chillers. This is an OSHA requirement.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	10/6/2000		10/6/2000
Bidding Approved B/T	10/6/2000		10/6/2000
Arch/Engr Contract	1/1/2001	2/28/2001	4/2/2001
Schematic Design Approval	3/1/2001	3/31/2001	3/22/2001
Design Development Approval	3/1/2001	3/31/2001	3/22/2001
Construction Document Approval	5/1/2001		5/7/2001
Bid Opening	7/1/2001	7/10/2001	7/10/2001
Award of Contracts	8/1/2001	8/10/2001	11/5/2001
Construction Start	9/1/2001	12/3/2001	
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	12/1/2001	2/4/2002	

FUNDING INFORMATION:

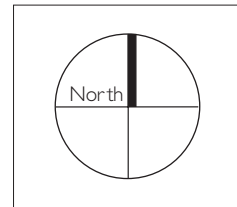
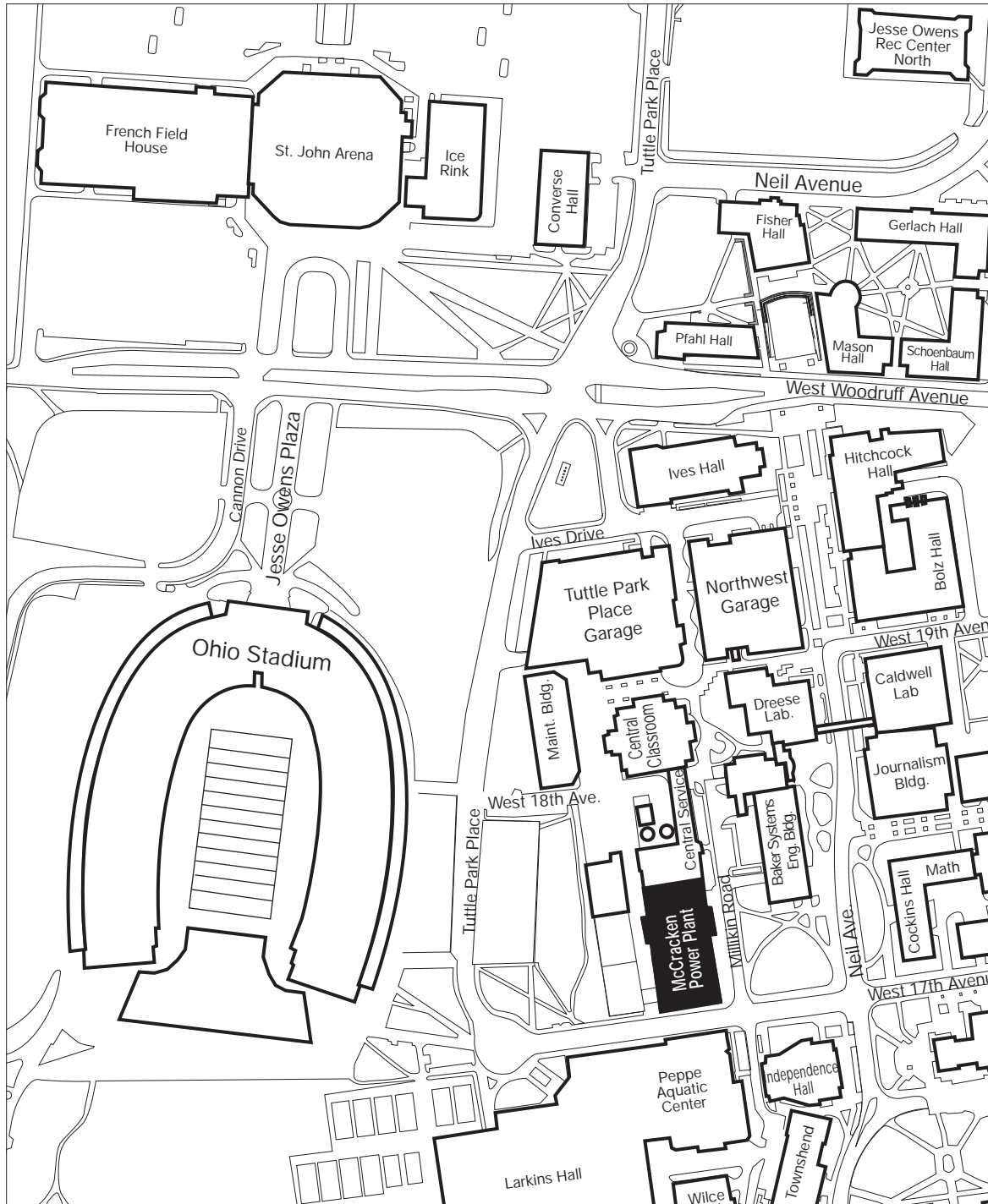
HB640 Basic Renovations	\$	300,000	\$	257,809	\$	-
Total	\$	300,000	\$	257,809	\$	-

USES:

Construction	\$	249,000	\$	-	\$	-
Contingency		22,365		20,790		
Design		24,900		26,000		
General		0		207,900		
Univ. Administration		3,735		3,119		
Total	\$	300,000	\$	257,809	\$	-

COMMENTS:

McCracken Power Plant Steam Turbine Vibration Monitoring



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Mount Hall - HVAC Modifications

315-1999-924

PROJECT CAPTAIN:

Stephen L. Paynter, Physical Facilities, 292-4816

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0311-MOUNT HALL, JOHN T

AGE:

1974

GR. SQ. FT.:

75,793

PROJECT DESCRIPTION:

Modify pumps, piping and controls to the chilled water system that serves Mount, Bevis, and Rightmire Halls. This will improve air conditioning reliability and reduce operating and maintenance costs.

MILESTONES:	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	7/31/1999		8/25/1999
Scematic Design Approval	1/15/2000		1/15/2000
Design Development Approval	3/15/2000	4/15/2000	5/1/2000
Construction Document Approval	6/1/2000	8/17/2000	8/17/2000
Bid Opening	9/30/2000	11/7/2000	11/7/2000
Award of Contracts	11/1/2000	1/15/2001	1/3/2001
Construction Start	11/10/2000	1/31/2001	1/16/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	5/10/2001	11/30/2001	

FUNDING INFORMATION:

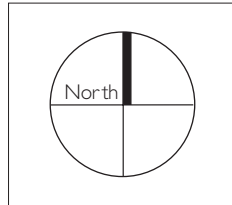
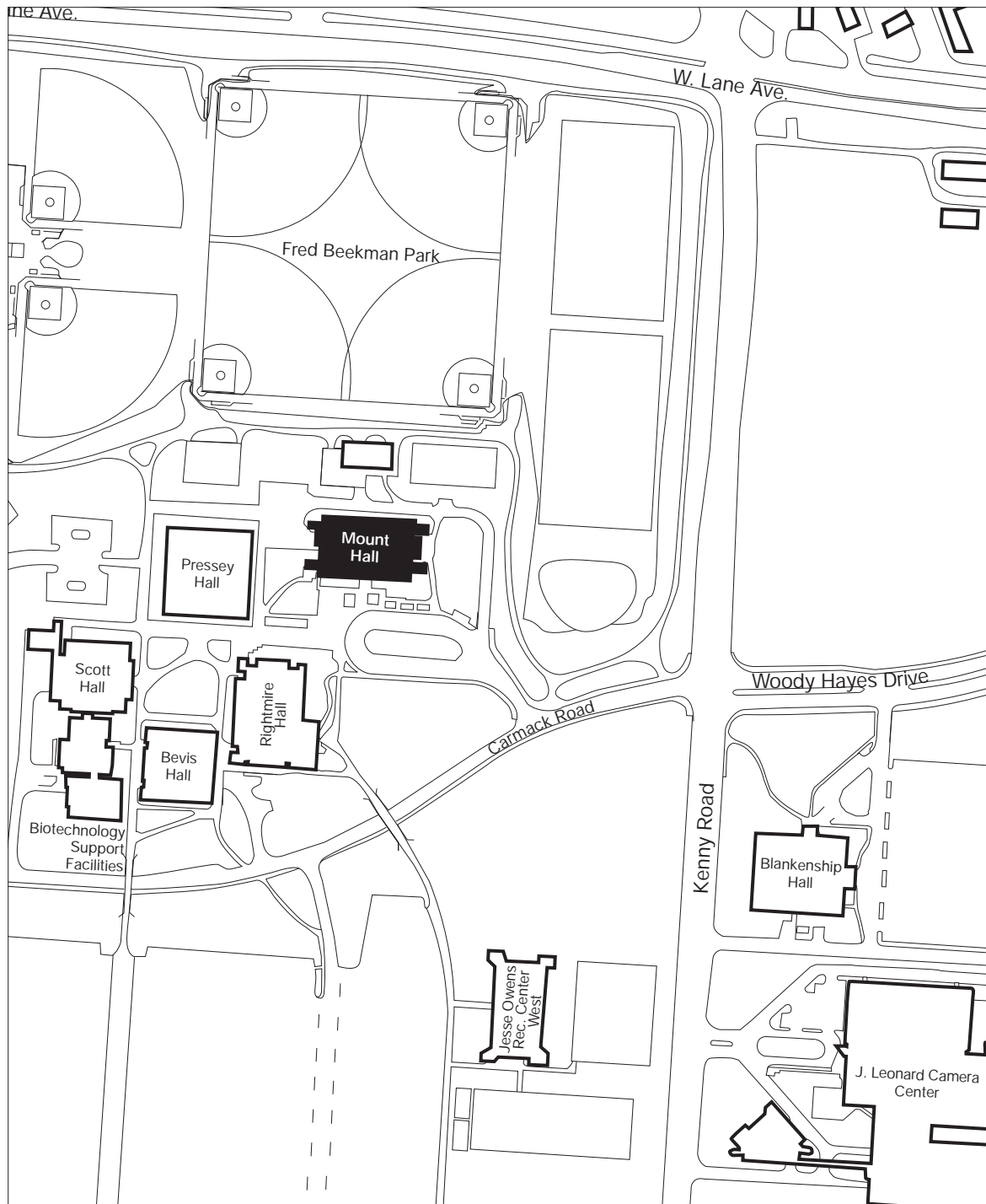
HB850 Columbus Basic Renovations	\$ 550,000	\$ 509,018
Repair & Renovations Fiscal Yr 01-02	0	2,528
Other Restricted-Research	0	6,650
Total	\$ 550,000	\$ 518,196

USES:

Asbestos	\$ -	\$ 8,900
Construction	455,000	0
Contingency	42,675	45,982
Design	45,500	48,925
Electric	0	23,682
HVAC	0	384,452
Univ. Administration	6,825	6,255
Total	\$ 550,000	\$ 518,196

COMMENTS:

Mount Hall - HVAC Modifications



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0102-OXLEY HALL

AGE:

1908

GR. SQ. FT.:

30765

PROJECT DESCRIPTION:

This project will remove and replace the existing roof and underlayment, copper flashings, valleys, and tile on the original portions of the building.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	12/8/1996		12/6/1996
Bidding Approved B/T	12/8/1996		12/6/1996
Schematic Design Approval	2/8/1997	10/7/1999	10/7/1999
Design Development Approval	2/8/1997	10/7/1999	10/7/1999
Construction Document Approval	5/8/1997	4/15/2000	4/20/2000
Bid Opening	7/8/1997	6/12/2001	6/12/2001
Award of Contracts	9/8/1997	7/15/2001	8/14/2001
Construction Start	5/1/1998	5/13/2002	
Report of Award to B/T	10/8/1997	12/6/2001	
Completion	8/1/1998	8/21/2002	

FUNDING INFORMATION:

HB748 Columbus Basic Renovation	\$ 130,000	\$ 131,500	\$
HB790 Columbus Basic Renovation	0	200,432	
Total	\$ 130,000	\$ 331,932	\$

USES:

Construction	\$ 110,500	\$ 0	\$
Contingency	10,107	29,076	
Design	7,735	7,735	
General	0	290,760	
Univ. Administr	1,658	4,361	
Total	\$ 130,000	\$ 331,932	\$

COMMENTS:

Local administration approved by the Board of Regents on 8/5/96.

The original project estimate and scope of work was provided in 1996. Since that time, costs have increased and the roof and deck on Oxley have continued to deteriorate resulting in more extensive work on the roof than originally estimated.

Pomerene Hall Renovation**315-1999-949****PROJECT CAPTAIN:**

Steve Middleton, Facilities Planning & Development - Architecture, 688-3576

REQUESTING AGENCY:

Disability Services and PAES

PROJECT LOCATION:

0067-POMERENE HALL, FRANK E.

AGE:

1922

GR. SQ. FT.:

69,269

PROJECT DESCRIPTION:

Renovate rooms 102, 102A, and 102B in Pomerene Hall to provide more program space for the Office of Disability Services. Additionally, Rooms 103, 103A, 105T, 109A, and 109B will be renovated to provide appropriate screening for the School of Physical Activity and Educational Services if funds are available.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	11/5/1999		11/5/1999
Bidding Approved B/T	11/5/1999		11/5/1999
Schematic Design Approval	10/1/2000	1/18/2001	1/12/2001
Design Development Approval	1/1/2001	2/15/2001	2/15/2001
Construction Document Approval	5/1/2001	4/16/2001	5/1/2001
Bid Opening	6/1/2001		5/31/2001
Construction Start	9/1/2001	8/1/2001	8/1/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	5/1/2002	11/29/2001	

FUNDING INFORMATION:

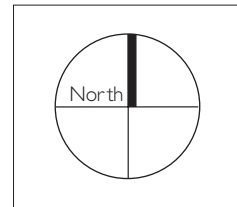
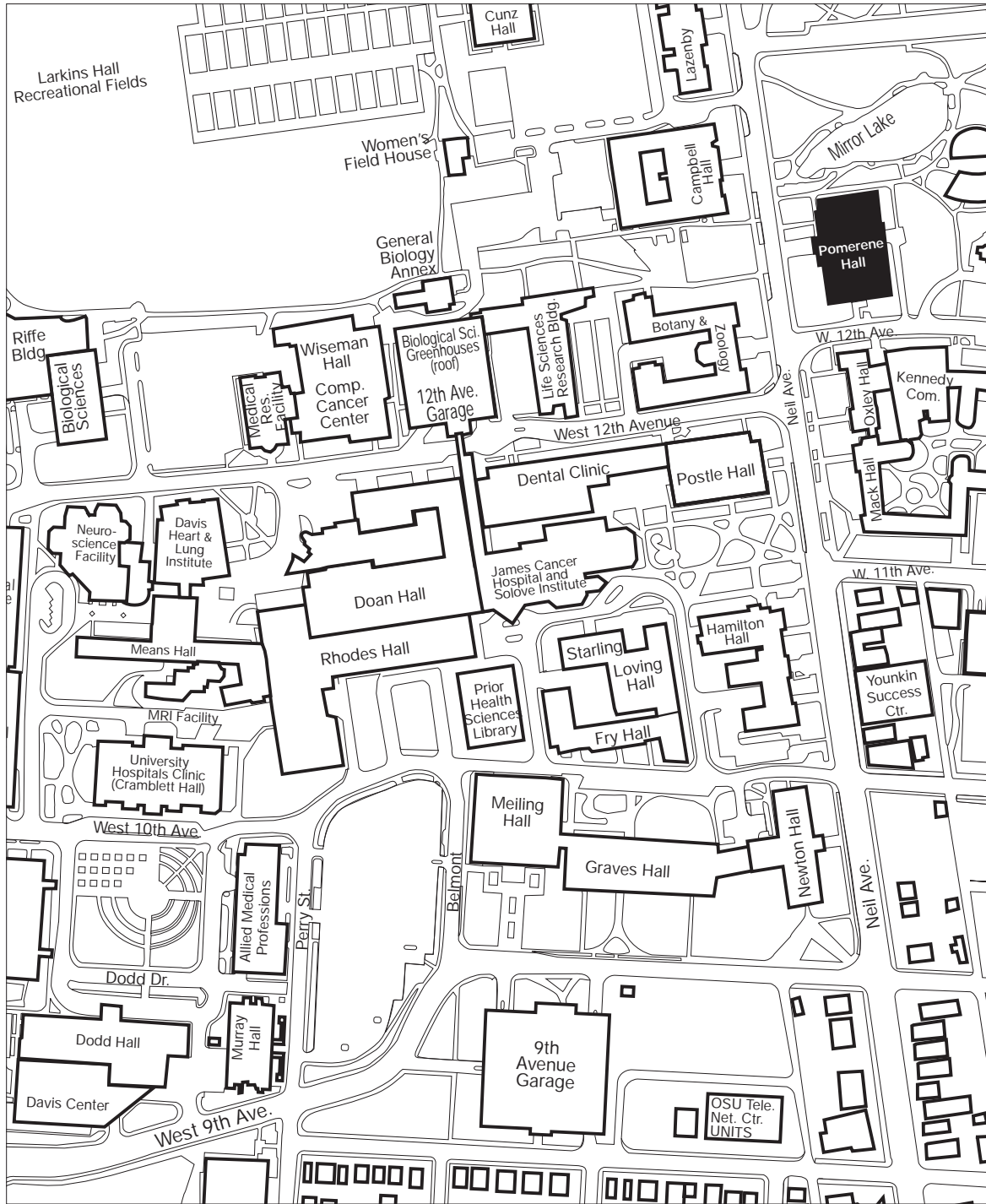
Auxiliaries-Housing/Food Serv	\$ -	\$ 20,000	
General Funds-Marion	-	-	
HB850 Columbus Basic Renovation	547,906	547,906	
Treasurer's Debt Service Pool Loan	-	-	
Total	\$ 547,906	\$ 567,906	

USES:

Construction	\$ 420,500	\$ -	
Contingency	41,204	43,019	
Design	37,845	40,722	
Electric	-	61,073	
Equipment	42,050	46,538	
General	-	187,007	
Plumbing/HVAC	-	183,080	
Univ. Administration	6,307	6,467	
Total	\$ 547,906	\$ 567,906	

COMMENTS:

Pomerene Hall Renovation



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Glenn R. Gerhart, Physical Facilities, 688-5606

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0176-STARLING LOVING HALL

AGE:

1917

GR. SQ. FT.:

145327

PROJECT DESCRIPTION:

The fire protection system in this building has been cited as being out of compliance due to low water pressure. The Board of Building Appeals has granted a variance for a period of two years from March 30, 2000. By the end of that period the entire fire protection system must be brought into compliance.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	8/30/2000		8/30/2000
Bidding Approved B/T	8/30/2000		8/30/2000
Arch/Engr Contract	11/1/2000	2/15/2001	2/10/2001
Schematic Design Approval	1/1/2001	3/15/2001	3/13/2001
Design Development Approval	1/1/2001	3/15/2001	3/13/2001
Construction Document Approval	4/1/2001	5/1/2001	4/30/2001
Bid Opening	6/1/2001	7/15/2001	7/10/2001
Award of Contracts	8/1/2001	8/15/2001	9/5/2001
Construction Start	8/15/2001		9/17/2001
Report of Award to B/T	12/1/2001	12/6/2001	
Completion	11/15/2001	12/31/2001	

FUNDING INFORMATION:

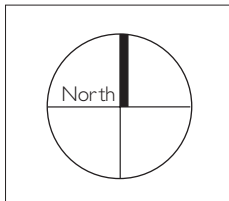
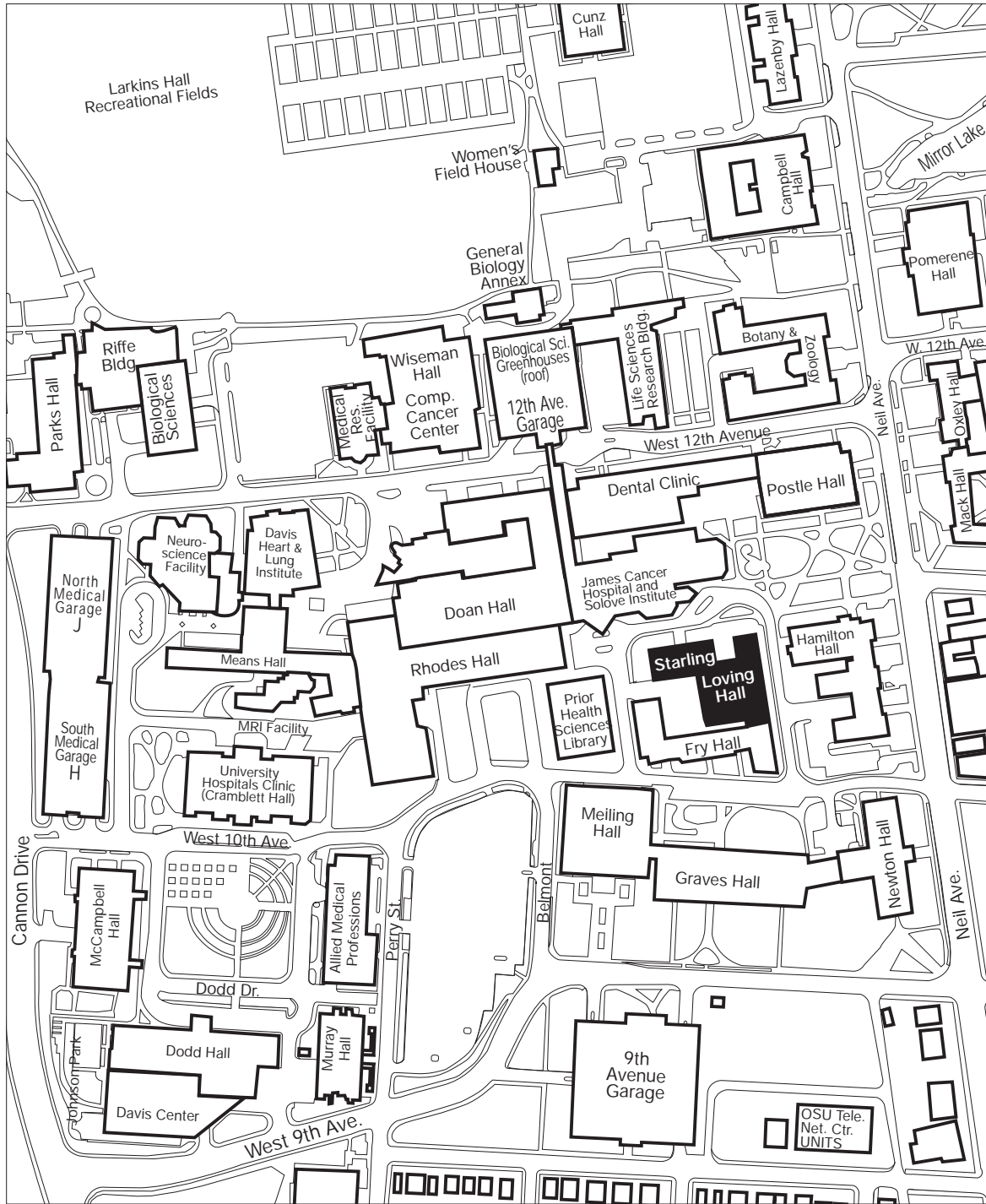
HB640 Basic Renovations	\$ 250,000	\$	\$
Total	\$ 250,000	\$	\$

USES:

Construction	\$ 207,500	\$ 0	\$
Contingency	18,640	20,975	
Design	20,750	24,380	
Electric	0	36,950	
General	0	164,671	
Univ. Administr	3,110	3,024	
Total	\$ 250,000	\$ 250,000	\$

COMMENTS:

Starling - Loving Hall Install Fire Pump



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Thompson Library Carpeting**315-1999-906****PROJECT CAPTAIN:**

Scott Conlon, Facilities Planning & Development - Architecture, 292-0983

REQUESTING AGENCY:

University Libraries

PROJECT LOCATION:

0050-LIBRARY, WM OXLEY THOMPSON MEMORIAL

AGE:

1912

GR. SQ. FT.:

304,433

PROJECT DESCRIPTION:

Replacement of the 19-20 year old carpeting in several high traffic patron areas.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	1/14/2000		2/11/2000
Schematic Design Approval	3/24/2000		4/11/2000
Design Development Approval	5/5/2000	10/3/2000	10/3/2000
Construction Document Approval	6/30/2000	10/3/2000	10/3/2000
Bid Opening	7/28/2000	11/8/2000	11/8/2000
Award of Contracts	1/3/2001		1/3/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Construction Start		3/5/2001	3/5/2001
Completion	7/28/2001	5/19/2001	7/31/2001

FUNDING INFORMATION:

HB640 Line Item Appropriation	\$	-	\$	200,000
HB850 Columbus Basic Renovation		530,000		530,000
General Funds-University Libraries		0		346
Total	\$	530,000	\$	730,346

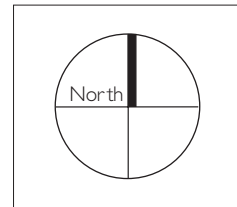
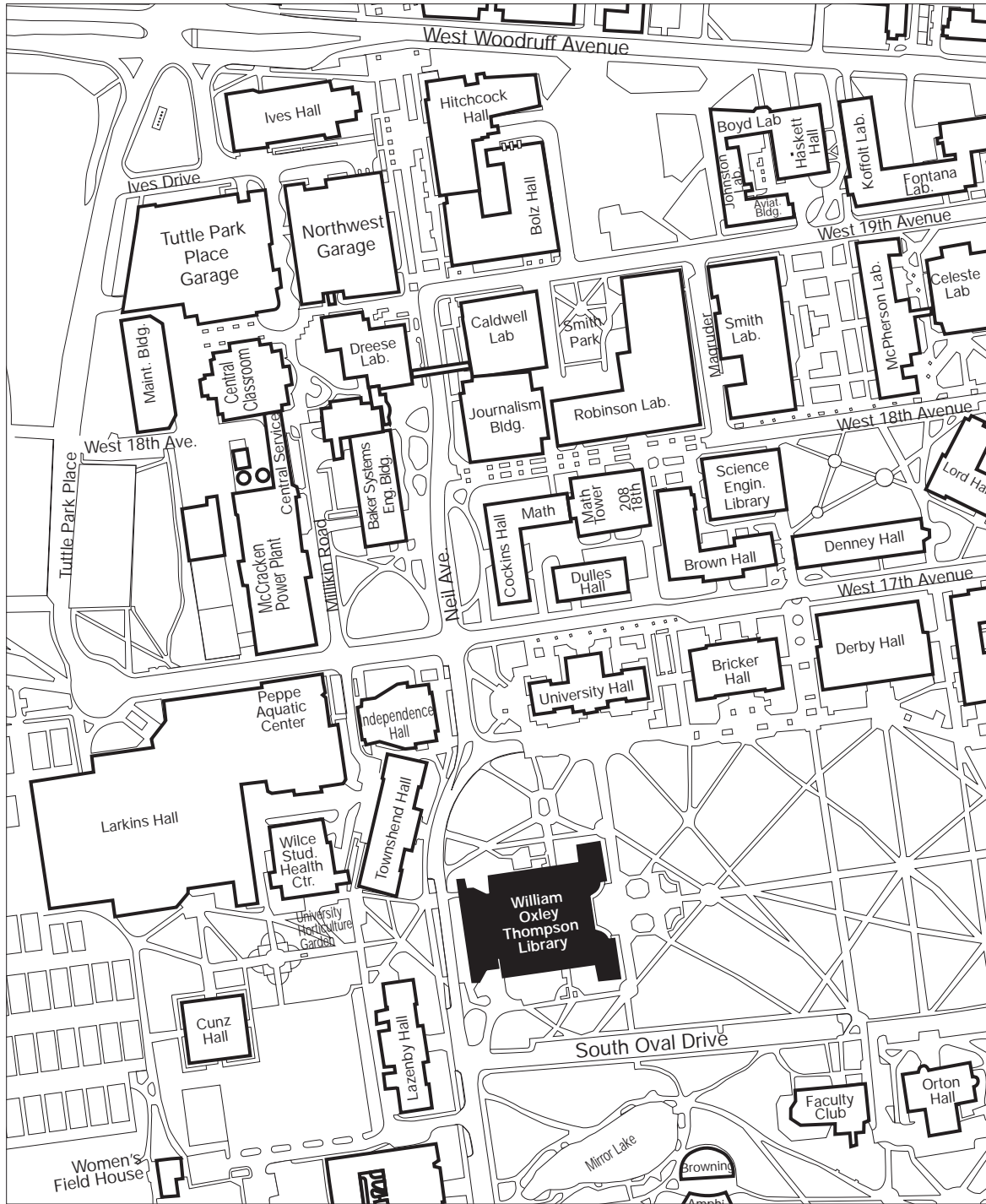
USES:

Construction	\$	417,200	\$	-
Contingency		52,600		53,776
Design		53,942		76,400
General		-		591,300
Univ. Administration		6,258		8,870
Total	\$	530,000	\$	730,346

COMMENTS:

Budget increased to include asbestos removal and move management. \$200,000 transferred from Main Library Feasibility Study.

Thompson Library Carpeting



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0050-LIBRARY, WM OXLEY THOMPSON MEMORIAL

AGE:

1912

GR. SQ. FT:

304433

PROJECT DESCRIPTION:

Remove approximately 10,500 square feet of copper batten roof, install a new copper batten roof, remove approximately 22,000 square feet of built-up roof, install new tapered insulation and a 4-ply asphalt built-up roof, remove approximately 2,500 square feet of built-up gutter, install new copper gutter, and remove approximately 1,200 linear feet of copper counter flashing, valleys, and gravel stop and replace with copper conductor pipe.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999	12/6/1999	2/5/1999
Bidding Approved B/T	2/5/1999	12/6/1999	2/5/1999
Arch/Engr Contract	7/31/1999		10/5/1999
Schematic Design Approval	1/1/2000	4/1/2000	3/7/2000
Design Development Approval	4/1/2000		3/7/2000
Construction Document Approval	7/1/2000	6/1/2000	5/4/2000
Bid Opening	10/31/2000	3/14/2001	3/14/2001
Award of Contracts	12/1/2000	4/16/2001	5/11/2001
Construction Start	12/10/2000	6/30/2001	6/18/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	6/10/2001	12/15/2001	

FUNDING INFORMATION:

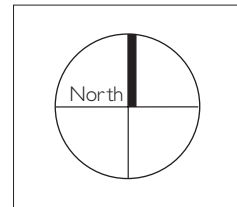
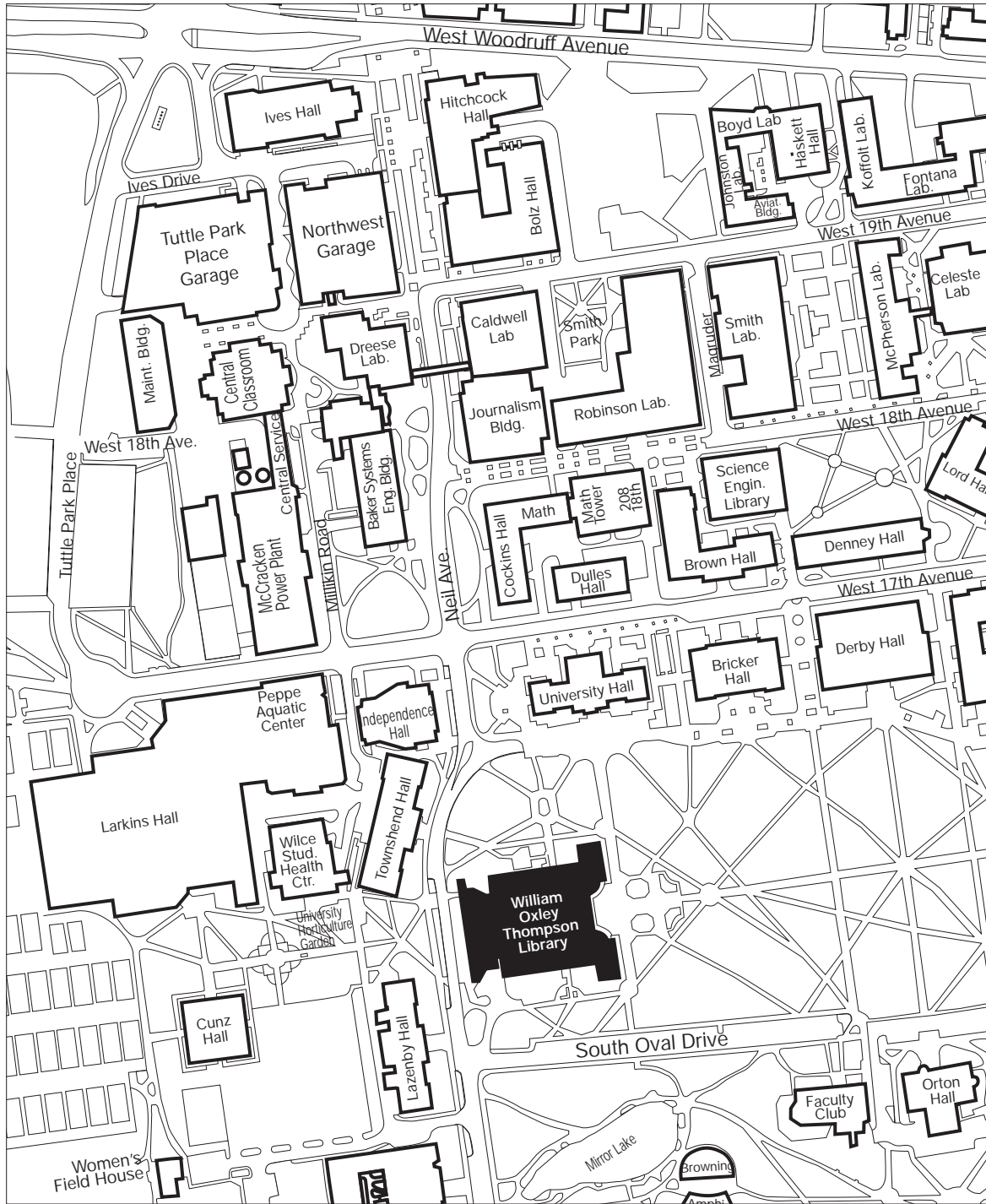
HB850 Columbus Basic Renovation	\$ 600,000	\$ 600,000	\$
Repair & Renovation Fiscal Yr 00/01	0	24,273	
Total	\$ 600,000	\$ 624,273	\$

USES:

Construction	\$ 495,000	\$ 0	\$
Contingency	48,075	26,868	
Design	49,500	51,975	
General	0	537,369	
Univ. Administr	7,425	8,061	
Total	\$ 600,000	\$ 624,273	\$

COMMENTS:

Thompson Library - Roof Replacement



Office of Business and Finance
Office of Facilities Planning and Development

December 9, 2000

Utilities - High Voltage Electric Circuit**315-1999-926****PROJECT CAPTAIN:**

Michael E. Whitehead, Physical Facilities, 292-7387

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

U0000-Unidentified Utility-Col.

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Replace the cables to increase the current carrying capacity of circuit 202/303 from the Buckeye substation to the midwest campus area.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Schematic Design Approval	3/31/1999	6/17/1999	6/14/1999
Design Development Approval	3/31/1999	6/17/1999	6/14/1999
Construction Document Approval	7/31/1999	8/31/1999	8/31/1999
Bid Opening	10/31/1999	5/15/2001	5/17/2001
Award of Contracts	12/31/1999	6/15/2001	7/13/2001
Construction Start	1/10/2000	6/15/2001	8/6/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	5/10/2000	2/2/2002	

FUNDING INFORMATION:

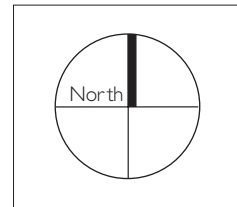
HB850 Columbus Basic Renovation	\$	400,000	\$	198,298	\$	-
Total	\$	400,000	\$	198,298	\$	-

USES:

Construction	\$	340,000	\$	-	\$	-
Contingency		31,100		15,650		
Design		23,800		23,800		
Electric		0		156,500		
Univ. Administration		5,100		2,348		
Total	\$	400,000	\$	198,298	\$	-

COMMENTS:

Utilities - High Voltage Electric Circuit



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

PROJECT CAPTAIN:

Scott L. Pike, Physical Facilities, 292-0743

REQUESTING AGENCY:

Department of Physical Facilities

PROJECT LOCATION:

0299-VETERINARY HOSPITAL

AGE:

1973

GR. SQ. FT:

226956

PROJECT DESCRIPTION:

The first phase of two phases to replace the entire roof (all levels) of the building. This phase will remove the upper levels over the mechanical spaces, auditorium, entrance canopies, and separate roof on the southwest portion of the building.

MILESTONES:

	Projected	Revised	Actual
Arch/Eng Approved B/T	2/5/1999		2/5/1999
Bidding Approved B/T	2/5/1999		2/5/1999
Arch/Engr Contract	8/30/1999	12/15/1999	11/29/1999
Schematic Design Approval	1/15/2000	4/1/2000	3/10/2000
Design Development Approval	4/1/2000		3/10/2000
Construction Document Approval	7/1/2000	9/15/2000	9/12/2000
Bid Opening	10/31/2000	1/16/2001	1/23/2001
Award of Contracts	12/1/2000	2/28/2001	4/14/2001
Construction Start	12/10/2000	4/24/2001	5/21/2001
Report of Award to B/T	12/1/2000	12/6/2001	
Completion	6/10/2001	11/30/2001	

FUNDING INFORMATION:

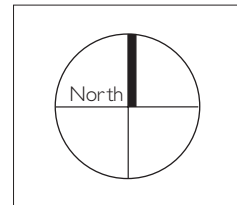
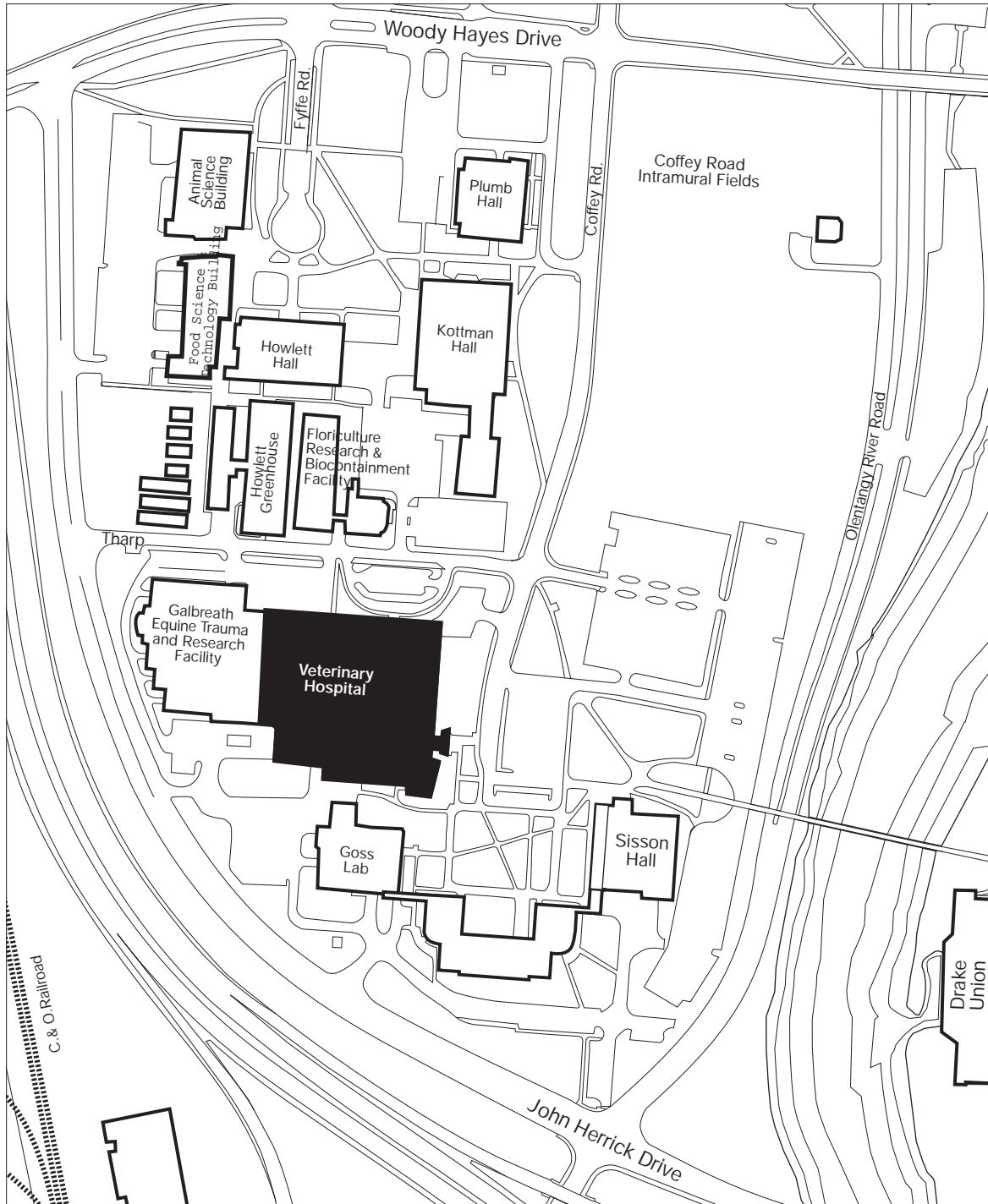
HB850 Columbus Basic Renovation	\$ 500,000	\$	\$
Total	\$ 500,000	\$	\$

USES:

Construction	\$ 412,000	\$ 0	\$
Contingency	40,620	39,635	
Design	41,200	39,140	
General	0	415,000	
Univ. Administr	6,180	6,225	
Total	\$ 500,000	\$ 500,000	\$

COMMENTS:

Veterinary Hospital - Roof Replacement Phase I



Office of Business and Finance
Office of Facilities Planning and Development

November 13, 2001

Waterman Laboratory Headquarters**315-2000-920****PROJECT CAPTAIN:**

John C. Frazier, Facilities Planning & Development - Architecture, 292-0958

REQUESTING AGENCY:

Food, Agriculture, and Environmental Sciences

PROJECT LOCATION:

V0010-Site - See Comments

AGE:**GR. SQ. FT.:****PROJECT DESCRIPTION:**

Provide a new building to serve as headquarters for the College of Food, Agricultural and Environmental Sciences' Waterman Laboratory. Include two offices, break room, 40-person meeting room, sample drying room, sample preparation room, machinery shop and repair area, and restrooms.

MILESTONES:

	Projected	Revised	Actual
Bidding Approved B/T	6/2/2000	11/3/2000	11/3/2000
Design Development Approval	4/28/2000	5/2/2000	5/5/2000
Construction Document Approval	7/5/2000	11/24/2000	12/6/2000
Bid Opening	8/21/2000	4/12/2001	4/12/2001
Award of Contracts	9/4/2000	5/14/2001	5/21/2001
Report of Award to B/T	9/4/2000	6/11/2001	7/2/2001
Construction Start	12/1/2000	12/6/2001	
Completion	4/1/2001	11/29/2001	

FUNDING INFORMATION:

Other Restricted Hort & Crop Science	\$	-	\$	8,000
OARDC	\$	622,000	\$	580,588
OSUE		-		17,137
General Funds-Food, Agr, & Env Sci		0		34,275
Total	\$	622,000	\$	640,000

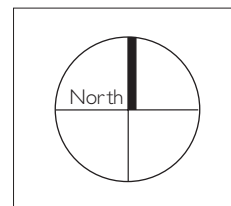
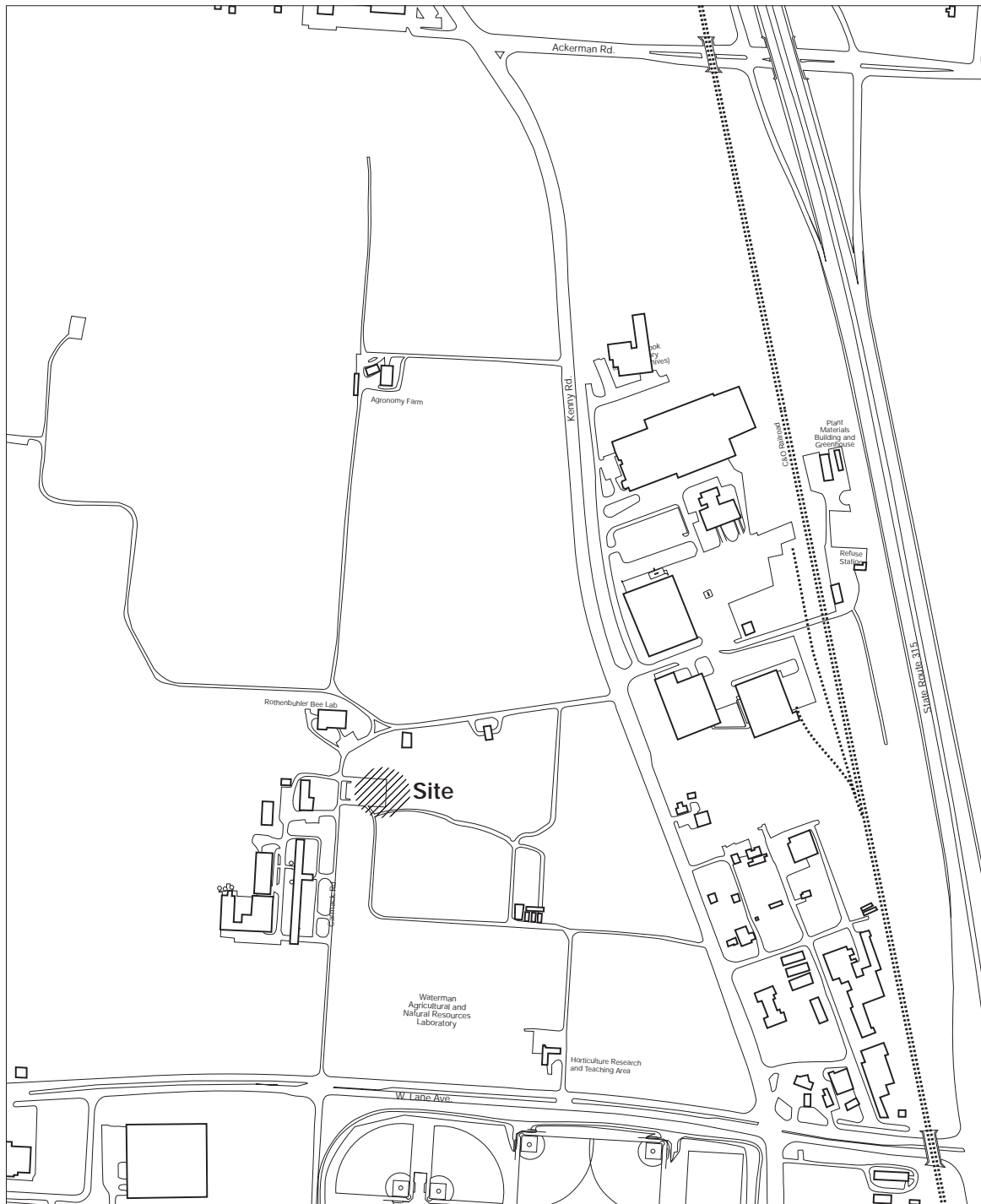
USES:

Construction	\$	580,000	\$	-
Contingency		34,000		37,959
Electric		0		99,844
General		0		353,800
HVAC		0		58,600
Plumbing		0		80,900
Univ. Administration		8,000		8,897
Total	\$	622,000	\$	640,000

COMMENTS:

Site: Waterman Laboratory complex.

Waterman Laboratory Headquarters



Office of Business and Finance
Office of Facilities Planning and Development

November 14, 2001

(APPENDIX XX)

Fiscal Affairs Committee
The Ohio State University Board of Trustees
December 6, 2001

OPIC:

First Quarter Budget Report

CONTEXT:

This is the first in a series of quarterly reports for FY 2002

HIGHLIGHTS:

- State Subsidy for the Columbus Campus was increased by \$1.9 million to reflect higher than estimated enrollments and reduced by \$19.4 million as a result of the 6% executive budget cut.
- Regional Campus Subsidy and other state line items were also reduced by 6% or \$8.9 million
- Summer and Fall Quarter enrollments were higher than projected (Attachment A)
 - ✓ Total enrollments up slightly (0.9%)
 - ✓ Financial impact is an increase in subsidy and tuition revenues for FY 2002 of \$4.4 million or about ½% of the General Funds Budget
 - ✓ Hold \$2 million of the projected increase in reserve until a later date and continue to monitor
- Ohio State University Health System finances improve (Attachment B)
 - ✓ Year-to-date minus \$223,000 compared to:
 - Minus \$1 million last year
 - Plus \$2 million budget target
 - ✓ Significant progress has been made, but we are not yet where we want to be
- Athletics and Schottenstein Center continue to be on target financially and will continue to be monitored

RECOMMENDATIONS:

- Revise the Current funds budget as of the first quarter to reflect state budget cut and revenue adjustments
- Use \$1.9 million in additional subsidy, \$5 million of the Rainy Day Fund, \$8.5 million in college and support unit one-time cash reallocations, and \$4 million in central capital and operating cash balance reallocations to offset the state budget cut.
- Address the state budget cut with permanent budget reallocations in FY 03.
- Method and timing to replenish the Rainy Day Fund still to be determined.

REQUESTED OF FISCAL AFFAIRS COMMITTEE:

Approval of the first quarter budget resolution.

Walker/pers/pol/franqier

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Office of Business and Finance
11/20/01

**SUMMARY OF BUDGETED RESOURCES AND EXPENDITURES
FY 2002 FIRST QUARTER BUDGET REPORT
(In Thousands)**

	Original Budget			1st. Quarter Budget Revision			Difference		
	General Funds	Other Funds	Total	General Funds	Other Funds	Total	General Funds	Other Funds	Total
Resources									
Government Support	404,109	329,698	733,807	383,566	323,771	707,337	(20,543)	(5,927)	(26,470) (1)
Student Fees	387,827	8,935	396,762	390,327	8,935	399,262	2,500	0	2,500 (1)
Auxillaries		170,079	170,079		170,079	170,079	0	0	0
Hospitals		725,040	725,040		725,040	725,040	0	0	0
Other	35,547	254,388	289,935	35,547	254,388	289,935	0	0	0
Total Resources	827,483	1,488,140	2,315,623	809,440	1,482,213	2,291,653	(18,043)	(5,927)	(23,970)
Expenditures									
Instructional & General	736,680	167,727	904,407	733,683	161,800	895,483	(2,997)	(5,927)	(8,924) (3)
Auxillaries	1,439	175,904	177,343	1,439	175,904	177,343	0	0	0
Hospitals		732,869	732,869		732,869	732,869	0	0	0
Other	88,796	404,109	492,905	88,796	404,109	492,905	0	0	0
Total Expenditures	826,915	1,480,609	2,307,524	823,918	1,474,682	2,298,600	(2,997)	(5,927)	(8,924)
Net	568	7,531	8,099	(14,478)	7,531	(6,947)	(15,046)	0	(15,046)
One Time Adjustments									(4)
College/Office Reallocation							8,517		8,517
Use of Rainy Day Fund							5,000		5,000
Other Central Funds							4,029		4,029
Net After Adjustments	568	7,531	8,099	(14,478)	7,531	(6,947)	2,500	0	2,500 (5)

(1) General Funds: An increase of \$1.9M Columbus Campus Subsidy for enrollment changes, A reduction of \$19.4M (6%) Columbus Campus Subsidy Executive Budget Cut, A reduction of \$1.2M (6%) Regional Campus Subsidy Executive Budget Cut, A reduction of \$1.8M (6%) line item appropriations.
Other Funds: A reduction of \$5.9M (6%) in other restricted State line item appropriations.
(2) General Funds: An increase of \$2.5M in Columbus Campus Fee Income due primarily to an increase in undergraduate enrollments.
(3) General Funds: A reduction of \$1.2M in Regional Campus expenditures due to a reduction in Instructional Subsidy, A reduction of \$1.8M line item appropriations expenditures due to the state budget cut.
Other Funds: A reduction of \$5.9M to reflect the reduction in other restricted State line item appropriations.
(4) One-Time Sources: The budget shortfall will be made up from one-time sources. The \$4.0M plus the \$1.9M additional Subsidy makes up the \$6.0M central allocation.
(5) Net After Adjustments: The \$2.5M increase in the Net after adjustment is due to the fee increases and will be held central pending possible additional state budget cuts.

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Headcount Enrollments
Summer and Autumn 2001

Category	Projected ¹	Actual ²	Difference	%
Undergraduate	46,744	47,319	+575	+1.2%
Graduate	16,569	16,469	-100	-0.6%
Professional	3,868	4,014	+146	+3.8%
Total	67,181	67,802	+621	+0.9%

NOTE: Includes total of Summer and Fall Quarters

SOURCE: Resource Planning and Institutional Analysis

¹ Projected in May for FY 2002 budget
² Reflects 14th day enrollment counts

THE OHIO STATE UNIVERSITY HEALTH SYSTEM
FINANCIAL SUMMARY

YTD ENDING OCTOBER 31, 2001
(IN THOUSANDS)

	Actual 2002	Budget 2002	Actual 2001
Net Patient Revenue	217,136	210,177	187,452
Other Income	14,627	14,510	13,376
Total Income	231,763	224,687	200,828
Expenses	231,986	222,696	201,811
Revenue over Expenses	(223)	1,991	(983)

Prepared by the Ohio State University Health System
November 20, 2001